CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e) (Page 1 of 1)						
First Named Inventor:	Scharschmidt Nonprovisional Application Number (if known):					
Title of Invention:	METHODS OF THERAPEUTIC	MONITORING OF NI	TROGEN SCAVENGING DRUG			
APPLICANT HE THE ABOVE-ID	REBY CERTIFIES THE FOLLOWIN ENTIFIED APPLICATION.	IG AND REQUESTS PR	RIORITIZED EXAMINATION FOR			
1. The pro 37 CFR because and exa that any	<ol> <li>The processing fee set forth in 37 CFR 1.17(i)(1) and the prioritized examination fee set forth in 37 CFR 1.17(c) have been filed with the request. The publication fee requirement is met because that fee, set forth in 37 CFR 1.18(d), is currently \$0. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.</li> </ol>					
2. I unders indeper any req	stand that the application may not ident claims, more than thirty tota uest for an extension of time will o	contain, or be amend I claims, or any multip cause an outstanding	led to contain, more than four le dependent claims, and that Track I request to be dismissed.			
3. The app	blicable box is checked below:					
I. 🔽	Original Application (Track One	e) - Prioritized Exami	ination under <u>§</u> 1.102(e)(1)			
i. (a) The This	<ul> <li>(a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a). This certification and request is being filed with the utility application via EFS-Web.</li> </ul>					
(b) The This	application is an original nonprov certification and request is being	isional plant application filed with the plant application filed with the plant application of t	on filed under 35 U.S.C. 111(a). plication in paper.			
ii. An exec invento filed wit	ii. An executed inventor's oath or declaration under 37 CFR 1.63 or 37 CFR 1.64 for each inventor, <u>or</u> the application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is filed with the application.					
II. 🗌	Request for Continued Examin	ation - Prioritized Exa	amination under § 1.102(e)(2)			
<ul> <li>i. A request for continued examination has been filed with, or prior to, this form.</li> <li>ii. If the application is a utility application, this certification and request is being filed via EFS-Web.</li> <li>iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.</li> <li>iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.</li> <li>v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).</li> </ul>						
Signature /Laure	en L. STEVENS/		Date 7-31-2015			
Name (Print/Typed)	iren L. Stevens		Practitioner Registration Number 36691			
<u>Note</u> : This form in Submit multiple form	(Print/Typed) Lauren L. Slevens 50091 Registration Number 50091 Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required *					

\*Total of \_\_\_\_\_ forms are submitted.

LUPIN EX. 1022

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	HOR0026-201-C1US		
		Application Number			
Title of Invention	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS				
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.					

## Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

## **Inventor Information:**

Invent	or	1						Re	emove	
Legal I	Name									
Prefix	Prefix Given Name		Middle Name	9		Family	Name		Suffix	
	Bruc	e					Scharso	chmidt		
Resid	lence	Information	(Select One) 🤇	US Residency	0	Non US Re	sidency	<ul> <li>Active</li> </ul>	e US Military Servi	ce
City	San	Francisco	s	tate/Province	CA	Countr	y of Res	idence <sup>i</sup>	US	
Mailing	Addr	ess of Invent	tor:							
Addre	ss 1		45 St. Francis B	oulevard						
Addre	ss 2									
City		San Francisc	0			State/Prov	/ince	CA		
Postal	l Cod	5	94127		Cou	intry i	US			
Invent	Inventor 2 Remove									
Legal I	Name									
Prefix	Giv	en Name		Middle Name	9		Family	Name		Suffix
	Mas	bud					Mokhtarani			
Resid	lence	Information	(Select One)	US Residency	0	Non US Re	sidency	Active	e US Military Servi	ce
City	Walr	nut Creek	s	tate/Province	CA	Countr	y of Res	idence <sup>i</sup>	US	
1	1		L					+		
Mailing	Addr	ess of Invent	tor:							
Addre	ss 1		725 Castle Rock	Road						
Addre	ss 2									
City		Walnut Creel	κ			State/Prov	vince	CA		
Postal	l Cod	2	94598		Cou	intry i	US	_ <b>I</b>		
All Inv genera	ventor ated w	s Must Be L ithin this form	isted - Additior by selecting the	nal Inventor Infe Add button.	ormat	ion blocks	may be		Add	

## **Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	HOR0026-201-C1US	
		Application Number		
Title of Invention	METHODS OF THERAPEUT	EUTIC MONITORING OF NITROGEN SCAVENGING DRUGS		

An Address is being provided for the correspondence Information of this application.				
Customer Number	101325			
Email Address	admin@globalpatentgroup.com	Add Email	Remove Email	

## Application Information:

Title of the Invention	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS			
Attorney Docket Number	HOR0026-201-C1US Small Entity Status Claimed			
Application Type	Nonprovisional			
Subject Matter	Utility			
Total Number of Drawing	Sheets (if any)	3	Suggested Figure for Publication (if any)	

## Filing By Reference :

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country i

## **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

**Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	Customer Number	O US Patent Practitioner	<ul> <li>Limited Recognition (37 CFR 11.9)</li> </ul>
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	HOR0026-201-C1US
		Application Number	
Title of Invention	METHODS OF THERAPEUT	IC MONITORING OF NITROGE	N SCAVENGING DRUGS

## **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the application number blank.

Prior Applicati	Prior Application Status Pending		Remove				
Application Number		Continuity Type		Prior Application Number		Filing Date (YYYY-MM-DD)	
		Continuation of		13775000		2013-02-22	
Prior Application	on Status	Patented				Ren	nove
Application Number	Cont	tinuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Pat	ent Number	Issue Date (YYYY-MM-DD)
13775000	Continua	tion of	13417137	2012-03-09	8404215		2013-03-26
Prior Application	Prior Application Status			Remove			
Application N	umber	Continuity Type		Prior Application Number Filing Date (Y		te (YYYY-MM-DD)	
13417137		Claims benefit	t of provisional	61542100		2011-09-30	
Prior Application	on Status					Ren	nove
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13417137 Claims benefit of provisional		t of provisional	61564668		2011-11-29		
Additional Dome	Additional Domestic Benefit/National Stage Data may be generated within this form Add						

# **Foreign Priority Information:**

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) <sup>1</sup>the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
Additional Foreign Priority Add button.	Data may be generated wit	hin this form by selecting the	Add

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	HOR0026-201-C1US
		Application Number	
Title of Invention	METHODS OF THERAPEUT	C MONITORING OF NITROGE	N SCAVENGING DRUGS

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

# Authorization to Permit Access:

Authorization to Permit Access to the Instant Application by the Participating Offices

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

# **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

## PTO/AIA/14 (12-13)

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Under the Pa	aperwork R	Reduction A	Act of 1995, no per	sons are required to r	respond to a collection	on of information	unless it contains a valid OMB control number
Application Data Sheet 37 CEP 1 76				Attorney Docket Number         HOR002           Application Number		HOR0026-2	201-C1US
Title of Invention METHODS OF THERAPEUTIC MONITO					GOF NITROGE	N SCAVENG	ING DRUGS
Applicant 1							Remove
If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.							
Assignee			🔿 Legal R	epresentative un	der 35 U.S.C. <sup>2</sup>	117	<ul> <li>Joint Inventor</li> </ul>
Person to whom the	e invento	r is oblig	ated to assign.		O Person	who shows s	ufficient proprietary interest
If applicant is the leg	al repre	sentativ	/e, indicate th	ne authority to f	ile the patent a	application, t	he inventor is:
Name of the Deceas	ed or Le	egally li	ncapacitated	Inventor :			•
If the Applicant is a	n Organ	ization	check here.	×			
Organization Name	Но	rizon Th	erapeutics, Inc	D.			
Mailing Address Ir	nformat	ion:					
Address 1		520 La	ike Cook Road	ł			
Address 2		Suite 5	520				
City Deerfie		Deerfield		State/Provin	nce IL		
Country i US				Postal Code	60	015	
Phone Number				Fax Number			
Email Address	_					• 	
Additional Applicant [	Data may	v be aer	nerated within	this form by sel	ecting the Add	button.	Add

# Assignee Information including Non-Applicant Assignee Information:

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#### Assignee 1

Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication . An assignce-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.

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If the Assignee or Non-Applicant Assignee is an Organization check here.	

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	HOR0026-201-C1US	
		Application Number		
Title of Invention	tion METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS			

Prefix	Given Name		Middle Name		Family N	ame	Suffix
Mailing Address Information For Assignee including Non-Applicant Assignee:							
Address 1							
Address 2							
City				State/Pro	vince		
Country i				Postal Code			
Phone Numb	ber			Fax Number			
Email Addre	SS						
Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.							
Signature:							
NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications							
Signature /Lauren L. STEVENS/				Date (	YYYY-MM-DD	) 2015-07-31	
First Name	Lauren	Last Name	Stevens		Regist	ration Number	36691

Additional Signature may be generated within this form by selecting the Add button.

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

#### **RELATED APPLICATIONS**

[**0001**] The present application is a divisional of U.S. Patent Application No. 13/417,137, filed March 9, 2012 and now pending, which claims the benefit of U.S. Provisional Application No. 61/564,668, filed November 29, 2011, and U.S. Provisional Application No. 61/542,100, filed September 30, 2011, the disclosures of which are incorporated by reference herein in their entirety, including drawings.

#### BACKGROUND

[0002] Nitrogen retention disorders associated with elevated ammonia levels include urea cycle disorders (UCDs) and hepatic encephalopathy (HE).

[0003] UCDs include several inherited deficiencies of enzymes or transporters necessary for the synthesis of urea from ammonia, including enzymes involved in the urea cycle. The urea cycle is depicted in Figure 1, which also illustrates how certain ammonia-scavenging drugs act to assist in elimination of excessive ammonia. With reference to Figure 1, N-acetyl glutamine synthetase (NAGS)-derived *N*-acetylglutamate binds to carbamyl phosphate synthetase (CPS), which activates CPS and results in the conversion of ammonia and bicarbonate to carbamyl phosphate. In turn, carbamyl phosphate reacts with ornithine to produce citrulline in a reaction mediated by ornithine transcarbamylase (OTC). A second molecule of waste nitrogen is incorporated into the urea cycle in the next reaction, mediated by arginosuccinate synthetase (ASS), in which citrulline is condensed with aspartic acid to form argininosuccinic acid. Argininosuccinic acid is cleaved by argininosuccinic lyase (ASL) to produce arginine and fumarate. In the final reaction of the urea cycle, arginase (ARG) cleaves arginine to produce ornithine and urea. Of the two atoms of nitrogen incorporated into urea, one originates from free ammonia  $(NH_4^+)$  and the other from aspartate. UCD individuals born with no meaningful residual urea synthetic capacity typically present in the first few days of life (neonatal presentation). Individuals with residual function typically present later in childhood or even in adulthood, and symptoms may be precipitated by increased dietary protein or physiological stress (e.g., intercurrent illness).

**[0004]** Hepatic encephalopathy (HE) refers to a spectrum of neurologic signs and symptoms believed to result from hyperammonemia, which frequently occur in subjects with cirrhosis or

certain other types of liver disease. Subjects with HE typically show altered mental status ranging from subtle changes to coma, features similar to subjects with UCDs. [0005] Subjects with nitrogen retention disorders whose ammonia levels and/or symptoms are not adequately controlled by dietary restriction of protein and/or dietary supplements are generally treated with nitrogen scavenging agents such as sodium phenylbutyrate (NaPBA, approved in the United States as BUPHENYL<sup>®</sup> and in Europe as AMMONAPS<sup>®</sup>) or sodium benzoate. These are often referred to as alternate pathway drugs because they provide the body with an alternate pathway to urea for excretion of waste nitrogen (Brusilow 1980; Brusilow 1991). NaPBA is a phenylacetic acid (PAA) prodrug. Another nitrogen scavenging drug currently in development for the treatment of nitrogen retention disorders is glyceryl tri-[4phenylbutyrate](HPN-100), which is described in U.S. Patent No. 5,968,979. HPN-100, which is commonly referred to as GT4P or glycerol PBA, is a prodrug of PBA and a pre-prodrug of PAA. [0006] HPN-100 and NaPBA share the same general mechanism of action: PBA is converted to PAA via beta oxidation, and PAA is conjugated enzymatically with glutamine to form phenylacetylglutamine (PAGN), which is excreted in the urine. The structures of PBA, PAA, and PAGN are set forth below.



**[0007]** The clinical benefit of NaPBA and HPN-100 with regard to nitrogen retention disorders derives from the ability of PAGN to effectively replace urea as a vehicle for waste nitrogen excretion and/or to reduce the need for urea synthesis (Brusilow 1991; Brusilow 1993). Because

each glutamine contains two molecules of nitrogen, the body rids itself of two waste nitrogen atoms for every molecule of PAGN excreted in the urine. Therefore, two equivalents of nitrogen are removed for each mole of PAA converted to PAGN. PAGN represents the predominant terminal metabolite, and one that is stoichiometrically related to waste nitrogen removal, a measure of efficacy in the case of nitrogen retention states. The difference between HPN-100 and NaPBA with respect to metabolism is that HPN-100 is a triglyceride and requires digestion, presumably by pancreatic lipases, to release PBA (McGuire 2010).

**[0008]** In contrast to NaPBA or HPN-100, sodium benzoate acts when benzoic acid is combined enzymatically with glycine to form hippuric acid. For each molecule of hippuric acid excreted in the urine, the body rids itself of one waste nitrogen atom.

**[0009]** Methods of determining an effective dosage of PAA prodrugs such as NaPBA or HPN-100 for a subject in need of treatment for a nitrogen retention disorder are described in WO09/1134460 and WO10/025303. Daily ammonia levels, however, may vary greatly in a subject. This can lead to overestimation by the physician of the average daily ammonia levels, which may result in overtreatment. Thus, there is a need in the art for improved methods for PAA prodrug dose determination and adjustment based on ammonia levels in subjects with nitrogen retention disorders such as UCDs or HE.

#### **SUMMARY**

**[0010]** Provided herein in certain embodiments are methods for determining whether to increase a dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder by measuring a fasting blood ammonia level and comparing the fasting blood ammonia level to the upper limit of normal (ULN) for blood ammonia, where a fasting blood ammonia level that is greater than half the ULN for blood ammonia indicates that the dosage needs to be increased. In certain embodiments, the nitrogen retention disorder is a UCD or HE. In certain embodiments, the nitrogen scavenging drug is HPN-100, PBA, NaPBA, sodium benzoate, or any combination thereof (i.e., any combination of two or more of HPN-100, PBA, NaPBA). In certain embodiments, the uLN is around 35  $\mu$ mol/L or 59  $\mu$ g/mL. In certain embodiments, the methods include an additional step of administering an increased dosage of the nitrogen scavenging drug if the need exists, and in certain of these embodiments administration of the nitrogen scavenging drug produces a normal average daily ammonia level in the subject. In certain embodiments wherein a determination is made to administer an increased dosage of nitrogen scavenging drug

and wherein the nitrogen scavenging drug is a PAA prodrug, the methods include an additional step of measuring urinary PAGN excretion and determining an effective dosage of the PAA prodrug based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%. [0011] Provided herein in certain embodiments are methods for determining whether to administer a nitrogen scavenging drug to a subject with a nitrogen retention disorder by measuring a fasting blood ammonia level and comparing the fasting blood ammonia level to the ULN for blood ammonia, where a fasting blood ammonia level that is greater than half the ULN for blood ammonia indicates that the nitrogen scavenging drug needs to be administered. In certain embodiments, the nitrogen retention disorder is a UCD or HE. In certain embodiments, the nitrogen scavenging drug is HPN-100, PBA, NaPBA, sodium benzoate, or any combination thereof (i.e., any combination of two or more of HPN-100, PBA, NaPBA). In certain embodiments, the ULN is around 35 µmol/L or 59 µg/mL. In certain embodiments, the methods include an additional step of administering a nitrogen scavenging drug if the need exists, and in certain of these embodiments administration of the nitrogen scavenging drug produces a normal average daily ammonia level in the subject. In certain embodiments wherein a determination is made to administer a nitrogen scavenging drug and wherein the nitrogen scavenging drug is a PAA prodrug, the methods further include a step of determining an effective initial dosage of the PAA prodrug by determining a target urinary PAGN output based on a target nitrogen output and calculating an effective initial dosage that results in the target urinary PAGN output based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%. In certain embodiments, the methods include a step of administering the calculated effective initial dosage.

**[0012]** Provided herein in certain embodiments are methods for treating a nitrogen retention disorder in a subject who has previously been administered a nitrogen scavenging drug by measuring a fasting blood ammonia level, comparing the fasting blood ammonia level to the ULN for blood ammonia, and administering an increased dosage of the nitrogen scavenging drug if the fasting ammonia level is greater than half the ULN for blood ammonia. In certain embodiments, administration of an increased dosage of the nitrogen scavenging drug produces a normal average daily ammonia level in the subject. In certain embodiments, the nitrogen retention disorder is a UCD or HE. In certain embodiments, the nitrogen scavenging drug is HPN-100, PBA, NaPBA, sodium benzoate, or any combination thereof (i.e., any combination of two or more of HPN-100, PBA, NaPBA). In certain embodiments, the ULN is around 35

 $\mu$ mol/L or 59  $\mu$ g/mL. In certain embodiments wherein the nitrogen scavenging drug is a PAA prodrug, the methods include an additional step of measuring urinary PAGN excretion and determining an effective dosage of the PAA prodrug based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%. In certain embodiments, the methods include a step of administering the calculated effective dosage.

### BRIEF DESCRIPTION OF DRAWINGS

**[0013]** Figure 1: The urea cycle and how certain nitrogen-scavenging drugs may assist in elimination of excessive ammonia.

**[0014]** Figure 2: Relationship between fasting ammonia and average ammonia UCD patients.

**[0015]** Figure 3: Venous blood ammonia values over 24 hours in (A) adult and (B) pediatric UCD patients.

#### **DETAILED DESCRIPTION**

**[0016]** The following description of the invention is merely intended to illustrate various embodiments of the invention. As such, the specific modifications discussed are not to be construed as limitations on the scope of the invention. It will be apparent to one skilled in the art that various equivalents, changes, and modifications may be made without departing from the scope of the invention, and it is understood that such equivalent embodiments are to be included herein.

**[0017]** In subjects with a nitrogen retention disorder, the desired effect of treatment with a nitrogen scavenging drug is control of blood ammonia level. Control of blood ammonia level generally refers to ammonia values within the normal range and avoidance of hyperammonemic crises, which are often defined in the art as transient ammonia values exceeding 100  $\mu$ mol/L or 178  $\mu$ g/mL accompanied by clinical signs and symptoms of hyperammonemia. Dosing of nitrogen scavenging drugs is usually based upon clinical assessment and measurement of ammonia. However, assessment of treatment effect and interpretation of ammonia levels is confounded by the fact that individual ammonia values vary several-fold over the course of a day and are impacted by timing of the blood draw in relation to the last meal and dose of drug (see, e.g., Lee 2010; Lichter-Konecki 2011; Diaz 2011).

**[0018]** A random ammonia value obtained during an outpatient visit may fail to provide a reliable measure of a subject's status and the drug effect. For example, basing treatment on a blood sample taken after eating a meal might overestimate average daily ammonia level and

result in overtreatment. Conversely, basing treatment on a blood sample taken after drug administration might underestimate average daily ammonia level and result in undertreatment. A fasting ammonia level at or near the ULN might be taken as an indication of satisfactory control without appreciating the fact that the ammonia burden during the day (average and/or highest possible value) might be significantly higher. Thus, a fasting level at or near the ULN may actually reflect undertreatment in a subject already a receiving nitrogen scavenging drug or the need for treatment in a subject not currently prescribed a nitrogen scavenging drug. A more accurate view of daily ammonia level could be obtained by multiple blood draws in a controlled setting over an extended period of time. Although this is currently done in clinical trials, it is clinically impractical.

**[0019]** As set forth below, the relationship between fasting ammonia levels and daily ammonia exposure was evaluated in subjects with nitrogen retention disorders. It was found that fasting ammonia correlates strongly with daily ammonia exposure, assessed as a 24 hour area under the curve for ammonia, daily average, or maximal daily concentration, and that a target fasting value which does not exceed half of the ULN is a clinically useful and practical predictor of ammonia values over 24 hours. As such, provided herein are clinically practical methods of evaluating ammonia exposure in subjects with nitrogen retention disorders based on fasting ammonia levels, as well as methods of using the resultant information to adjust the dosage of a nitrogen scavenging drug, determine whether to administer a nitrogen scavenging drug, treat a nitrogen retention disorder, and predict daily ammonia burden. The use of fasting ammonia levels to predict ammonia exposure provides a significant advantage over previously developed methods by reducing the number of required blood draws and eliminating the confusion associated with conflicting ammonia levels over the course of the day.

**[0020]** As further disclosed herein, the relationship between ammonia control and neurocognitive outcome was evaluated in UCD patients. Previous research has demonstrated that UCD patients often exhibit lower IQ overall and deficient executive function manifested by difficulty in goal setting, planning, monitoring progress and purposeful problem solving. As set forth herein, it was found that ammonia control with GPB resulted in a significant improvement in executive functions in pediatric patients. Based on these results, methods are provided herein for improving executive function in a pediatric subject with a UCD by administering one or more nitrogen scavenging drugs.

**[0021]** As further disclosed herein, the relationship between elevated PAA levels and neurological adverse events (AEs) was analyzed. Many of the over 30 reports of administration of NaPBA and/or sodium PAA to humans describe AEs, particularly when administered intravenously. IV administration of PAA to cancer patients was shown previously to result in AEs that included fatigue, dizziness, dysgeusia, headache, somnolence, lightheadedness, pedal edema, nausea, vomiting, and rash (Thibault 1994; Thibault 1995). These AEs correlated with PAA levels from 499 to 1285 µg/mL. Although NaPBA has been used in UCD treatment for over two decades and AEs reportedly associated with PAA are similar to those associated with hyperammonemia, little was known previously about the relationship between PAA levels and neurological AEs in UCD patients. As shown herein, increased PAA levels did not correlate with increased neurological AEs in subjects with UCD. However, PAA levels were associated with an increase in neurological AEs in healthy subjects. Based on these results, methods are provided herein for predicting or diagnosing AEs in a subject by measuring PAA levels. Further provided herein are methods of treating and/or preventing AEs in a subject with elevated PAA levels by administering one or more nitrogen scavenging drugs.

**[0022]** Provided herein are specific target values for blood ammonia upon which an effective dosage of a nitrogen scavenging drug can be based. In certain embodiments, an effective dosage of a nitrogen scavenging drug may be an initial dosage, subsequent/maintenance dosage, improved dosage, or a dosage determined in combination with other factors. In certain embodiments, the effective dosage may be the same as or different than the initial dosage. In other embodiments, the effective dosage may be higher or lower than the initial dosage. In certain embodiments, methods are provided for adjusting the dose or regimen of a nitrogen scavenging drug to achieve a target ammonia level that is predictive of the average daily ammonia level and/or the highest ammonia value that the subject is likely to experience during the day.

**[0023]** Using the methods herein, a subject's fasting blood ammonia level may be used as a predictor of daily ammonia burden, average daily ammonia level, and/or highest daily ammonia value. Whether a subject with a nitrogen retention disorder is receiving an optimum dosage of nitrogen scavenging drug may be determined based on predicted daily ammonia exposure. By optimizing the therapeutic efficacy of a nitrogen scavenging drug, the therapeutic dosage of the nitrogen scavenging drug is adjusted so that the subject experiences the desired nitrogen

scavenging effect. In particular, the dose is adjusted so that the subject may experience a normal average daily ammonia level. In certain embodiments, the effective dosage of nitrogen scavenging drug is determined by adjusting (e.g., increasing) a dosage to achieve a fasting blood ammonia level for a subject that is less than or equal to half the ULN for blood ammonia. [0024] Provided herein in certain embodiments are methods of determining whether the dosage of a nitrogen scavenging drug needs to be increased in a subject with a nitrogen retention disorder comprising comparing a fasting blood ammonia level for the subject to a ULN for blood ammonia. If the fasting blood ammonia level has a value that greater than half the ULN, the dosage of the nitrogen scavenging drug needs to be increased. In certain embodiments, the methods further comprise increasing the dosage of the nitrogen scavenging drug if the need exists, and in certain of these embodiments the methods further comprise administering the increased dosage. In certain of these embodiments, administration of the increased dosage results in a normal average daily ammonia level in the subject.

**[0025]** Provided herein in certain embodiments are methods of determining whether the dosage of a nitrogen scavenging drug needs to be increased in a subject with a nitrogen retention disorder comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the dosage of the nitrogen scavenging drug needs to be increased. In certain embodiments, the methods further comprise increasing the dosage of the nitrogen scavenging drug if the need exists, and in certain of these embodiments the methods further comprise administering the increased dosage. In certain of these embodiments, administration of the increased dosage results in a normal average daily ammonia level in the subject.

**[0026]** Provided herein in certain embodiments are methods of adjusting the dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder comprising comparing a fasting blood ammonia level for the subject to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the dosage of the nitrogen scavenging drug is increased, and if the dosage is less than or equal to half the ULN the dosage of the nitrogen scavenging drug is not increased. In certain embodiments, the methods further comprise administering the increased dosage. In certain of these embodiments, administration of the increased dosage results in a normal average daily ammonia level in the subject.

**[0027]** Provided herein in certain embodiments are methods of adjusting the dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the dosage of the nitrogen scavenging drug is increased, and if the dosage is less than or equal to half the ULN the dosage of the nitrogen scavenging drug is not increased. In certain embodiments, the methods further comprise administering the increased dosage. In certain of these embodiments, administration of the increased dosage results in a normal average daily ammonia level in the subject.

**[0028]** Provided herein in certain embodiments are methods of adjusting the dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the dosage of the nitrogen scavenging drug is increased, and if the dosage is significantly less than half the ULN, the dosage of the nitrogen scavenging drug may be decreased. In certain embodiments, the methods further comprise administering the adjusted dosage. In certain of these embodiments, administration of the adjusted dosage results in a normal average daily ammonia level in the subject.

**[0029]** Provided herein in certain embodiments are methods of adjusting the dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder comprising administering an initial dosage of the nitrogen scavenging drug, measuring fasting blood ammonia level, and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, subsequent maintenance dosages of the nitrogen scavenging drug are adjusted to be greater than the initial dosage. In certain embodiments, the methods further comprise administering the increased maintenance dosage, and in certain of these embodiments, administration of the increased maintenance dosage results in a normal average daily ammonia level in the subject.

**[0030]** Provided herein in certain embodiments are methods of adjusting the dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder to achieve a fasting blood ammonia level that is less than or equal to half the ULN for blood ammonia comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood

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ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the subject is administered an increased dosage of the nitrogen scavenging drug. After a time period sufficient for the drug to reach steady state (e.g., 48 hours, 48 to 72 hours, 72 hours to 1 week, 1 week to 2 weeks, greater than 2 weeks), fasting blood ammonia level is measured again and compared to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the dosage of the nitrogen scavenging drug is increased. This process is repeated until a fasting blood ammonia level of less than or equal to half the ULN is obtained.

**[0031]** Provided herein in certain embodiments are methods for assessing whether a subject with a nitrogen retention disorder is more or less likely to need a dosage adjustment of a nitrogen scavenging drug comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia, wherein a fasting blood ammonia level that is greater than half the value of ULN indicates that the subject is more likely to need a dosage adjustment and a fasting blood ammonia level less than or equal to half the value of ULN indicates that the subject is less likely to need a dosage adjustment.

[0032] Provided herein in certain embodiments are methods of determining whether to administer a nitrogen scavenging drug to a subject with nitrogen retention disorder comprising comparing a fasting blood ammonia level for the subject to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, a nitrogen scavenging drug needs to be administered to the subject. In certain embodiments, these methods further comprise administering the nitrogen scavenging drug. In certain embodiments, the subject may not have been administered any nitrogen scavenging drugs prior to the determination. In other embodiments, the subject may have previously been administered a nitrogen scavenging drug other than the one being evaluated. In these embodiments, the methods provided herein can be used to determine whether to administer a new nitrogen scavenging drug to a subject. [0033] Provided herein in certain embodiments are methods of determining whether to administer a nitrogen scavenging drug to a subject with nitrogen retention disorder comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that

is greater than half the ULN, a nitrogen scavenging drug needs to be administered to the subject. In certain embodiments, these methods further comprise administering the nitrogen scavenging drug. In certain embodiments, the subject may not have been administered any nitrogen scavenging drugs prior to the determination. In other embodiments, the subject may have previously been administered a nitrogen scavenging drug other than the one being evaluated. In these embodiments, the methods provided herein can be used to determine whether to administer a new nitrogen scavenging drug to a subject.

**[0034]** Provided herein in certain embodiments are methods for selecting a dosage of a nitrogen scavenging drug for treating a nitrogen retention disorder in a subject based on blood ammonia levels comprising selecting a dosage that results in a fasting blood ammonia level that is less than or equal to half the ULN for blood ammonia. In certain embodiments, selecting the effective dosage is further based on diet, endogenous waste nitrogen excretion capacity, or any combination thereof. In certain embodiments, the methods further comprise administering the selected dosage.

**[0035]** Provided herein in certain embodiments are methods of treating a subject with a nitrogen retention disorder who has previously been administered a nitrogen scavenging drug comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the subject is administered an increased dosage of the nitrogen scavenging drug. If the fasting blood ammonia level has a value that is less than or equal to half the ULN, the subject is administered the same dosage or a decreased dosage of the nitrogen scavenging drug. In certain embodiments, administration of an increased dosage results in a normal average daily ammonia level in the subject.

**[0036]** Provided herein in certain embodiments are methods of treating a subject with a nitrogen retention disorder who has previously been administered an initial dosage of a nitrogen scavenging drug comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the subject is administered a maintenance dosage that is greater than the initial dosage of the nitrogen scavenging drug. If the fasting blood ammonia level has a value that is less than or equal to half the ULN, the subject is administration of an increased maintenance dosage results in a normal average daily ammonia level in the subject.

**[0037]** Provided herein in certain embodiments are methods of treating a subject with a nitrogen retention disorder comprising administering a nitrogen scavenging drug, then measuring a fasting blood ammonia level for the subject at some point after drug administration and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the subject is administered an increased dosage of the nitrogen scavenging drug. If the fasting blood ammonia level has a value that is less than or equal to half the ULN, the subject is administered the original or a lower dosage of the drug.

**[0038]** Provided herein in certain embodiments are methods of treating a subject with a nitrogen retention disorder comprising administering a first dosage of a nitrogen scavenging drug, measuring a fasting blood ammonia level for the subject, and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, a second dosage of a nitrogen scavenging drug that is greater than the first dosage is administered to the subject. A fasting ammonia blood level is measured again in the subject and compared to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, a third dosage of a nitrogen scavenging drug that is greater than the second dosage is administered to the subject. This process is repeated until the subject exhibits a fasting blood ammonia level with a value less than or equal to half the ULN. **[0039]** Provided herein in certain embodiments are methods of monitoring the efficacy of nitrogen scavenging drug administration in a subject with a nitrogen retention disorder who has previously been administered a nitrogen scavenging drug comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the previously administered dosage of the nitrogen scavenging drug is considered inadequate to treat the nitrogen retention disorder. If the fasting blood ammonia level has a value that is less than or equal to half the ULN, the previously administered dosage is considered adequate to treat the nitrogen retention disorder. In certain embodiments where the previously administered dosage is considered inadequate to treat the nitrogen retention disorder, the methods provided herein further comprise administering an increased dosage of the nitrogen scavenging drug. **[0040]** Provided herein in certain embodiments are methods for monitoring therapy with a nitrogen scavenging drug in a subject having a nitrogen retention disorder comprising measuring

a fasting blood ammonia level from the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia, wherein a fasting blood ammonia level that is greater than half the ULN indicates that the subject is more likely to need a dosage adjustment of the nitrogen scavenging drug, and wherein a fasting blood ammonia level less than or equal to half the ULN indicates that the subject is less likely to need a dosage adjustment.

[0041] A nitrogen retention disorder as used herein refers to any condition associated with elevated blood nitrogen/ammonia levels. In certain embodiments, a nitrogen retention disorder may be a UCD. In other embodiments, a nitrogen retention disorder may be HE.

[0042] A nitrogen scavenging drug as used herein refers to any drug that decreases blood nitrogen and/or ammonia levels. In certain embodiments, a nitrogen scavenging drug may remove nitrogen in the form of PAGN, and in certain of these embodiments the nitrogen scavenging drug may be an orally administrable drug that contains or is metabolized to PAA. For example, a nitrogen scavenging drug may be a PAA prodrug such as PBA or HPN-100, a pharmaceutically acceptable salt of PBA such as NaPBA, or a pharmaceutically acceptable ester, acid, or derivative of a PAA prodrug. In other embodiments, a nitrogen scavenging drug may remove nitrogen via hippuric acid. In certain of these embodiments, a nitrogen scavenging drug may be benzoic acid, a pharmaceutically acceptable salt of benzoic acid such as sodium benzoate, or a pharmaceutically acceptable ester, acid, or derivative of benzoic acid.

**[0043]** Increasing the dosage of a nitrogen scavenging drug may refer to increasing the amount of drug per administration (e.g., an increase from a 3 mL dosage to a 6 mL dosage), increasing the number of administrations of the drug (e.g., an increase from once-a-day dosing to twice- or three-times-a-day), or any combination thereof.

**[0044]** A subject that has previously been administered a nitrogen scavenging drug may have been administered the drug for any duration of time sufficient to reach steady state. For example, the subject may have been administered the drug over a period of 2 to 7 days, 1 week to 2 weeks, 2 weeks to 4 weeks, 4 weeks to 8 weeks, 8 weeks to 16 weeks, or longer than 16 weeks.

**[0045]** In certain embodiments of the methods disclosed herein, the fasting period for obtaining a fasting blood ammonia level is overnight. In certain embodiments, the fasting period is 4 hours or more, 5 hours or more, 6 hours or more, 7 hours or more, 8 hours or more, 9 hours or more, 10 hours or more, 11 hours or more, or 12 hours or more, and in certain embodiments the fasting

period is 4-8 hours, 6-8 hours, or 8-12 hours. During the fasting period, the subject preferably does not ingest any food. In certain embodiments, the subject may also refrain from ingesting certain non-food substances during the fasting period. For example, in certain embodiments the subject does not ingest any supplements and/or nitrogen scavenging drugs during the fasting period. In certain of these embodiments, the subject may nonetheless ingest one or more drugs other than nitrogen scavenging drugs during the fasting period. In certain of these embodiments, the subject does not ingest any high calorie liquids during the fasting period. In certain of these embodiments, the subject does not ingest any high calorie liquids during the fasting period. In certain of these embodiments, the subject does not ingest any high calorie liquids during the fasting period. In certain of these embodiments, the subject does not ingest any liquids other than water during the fasting period. In other embodiments, the subject may ingest small amounts of low calorie beverages, such as tea, coffee, or diluted juices.

[0046] In certain embodiments of the methods disclosed herein, blood samples used for measuring fasting blood ammonia levels and/or ULN blood ammonias are venous blood samples. In certain embodiments, a blood sample is a plasma blood sample. Any methods known in the art may be used to obtain a plasma blood sample. For example, blood from a subject may be drawn into a tube containing heparin or ethylenediaminetetraacetic acid (EDTA). In certain embodiments, the sample can be placed on ice and centrifuged to obtain plasma within 15 minutes of collection, stored at 2-8°C (36-46°F) and analyzed within 3 hours of collection. In other embodiments, the blood plasma sample is snap frozen, stored at  $\leq$ -18°C ( $\leq$ 0°F) and analyzed at a later time. For example, the sample may be analyzed at 0-12 hours, 12-24 hours, 24-48, 48-96 hours after freezing, or within any other timeframe over which the sample has demonstrated stability. In certain embodiments, blood samples are taken in a laboratory or hospital setting. In certain embodiments, a single fasting blood sample is used to measure fasting blood ammonia level. However, in other embodiments, multiple fasting blood samples may be obtained. In certain embodiments, a subject's blood ammonia level may be monitored throughout the day. Further, in certain embodiments, the methods disclosed herein comprise an additional step of obtaining one or more blood samples from a subject prior to or after measuring fasting blood ammonia level.

**[0047]** In certain embodiments, a blood sample is analyzed immediately after collection. In other embodiments, the blood sample is stored for some period between collection and analysis. In these embodiments, the sample may be stored for less than 1 hour, 1 hour to 6 hours, 1 hour to 12 hours, 1 hour to 24 hours, or 1 hour to 48 hours. In certain of these embodiments, the blood

sample is stored at a temperature between  $0-15^{\circ}$ C, such as  $2-8^{\circ}$ C. In other embodiments, the blood sample is stored below  $0^{\circ}$ C or below  $-18^{\circ}$ C.

[0048] Measurement of ammonia levels in a fasting blood sample is carried out using techniques known in the art. For example, ammonia levels may be measured using a colorimetric reaction or an enzymatic reaction. In certain embodiments, a colorimetric reaction may involve the use of bromophenol blue as an ammonia indicator. In these embodiments, ammonia may react with bromophenol blue to yield a blue dye. In certain embodiments, an enzymatic reaction may involve glutamate dehydrogenase catalyzing the reductive amination of 2-oxoglutarate with NH<sup>4+</sup> and NADPH to form glutamate and NADP<sup>+</sup>. The formation of NADP<sup>+</sup> formed is directly proportional to the amount of ammonia present in the blood sample. Therefore, the concentration of ammonia is measured based on a decrease in absorbance. [0049] In certain embodiments of the methods disclosed herein, a subject exhibiting a fasting blood ammonia level less than or equal to half the ULN for blood ammonia has an average likelihood within a confidence interval that their average daily ammonia level will remain within a normal average daily ammonia level. In certain embodiments, the average likelihood of having a normal daily ammonia value is 80% to 90%. In certain embodiments, one may predict with 95% confidence that a blood ammonia level will fall within a certain range. In certain embodiments, one can predict with 95% confidence that a true probability of predicting normal values based on fasting blood ammonia is between 65% and 93%. In other embodiments, one can predict with 80% confidence that a true probability of predicting normal values based on fasting blood ammonia is at least 70%. In certain embodiments, the average likelihood of predicting normal ammonia value based on fasting blood ammonia is about 84% with 95% confidence that the true probability is between 65% and 93%.

**[0050]** In certain embodiments of the methods disclosed herein, a subject exhibiting a fasting blood ammonia level less than or equal to half the ULN for blood ammonia has an average likelihood within a confidence interval that their maximum daily blood ammonia level will not exceed 1.5 times the ULN for blood ammonia. In certain of these embodiments, the average likelihood is about 70% to 80%. In certain embodiments, the confidence interval is a 95% confidence interval. In certain embodiments, the average likelihood is about 75% with 95% confidence that the true probability is between 58% and 86%.

**[0051]** In certain embodiments of the methods disclosed herein, a subject exhibiting a fasting blood ammonia level less than or equal to half the ULN for blood ammonia has an average likelihood within a confidence interval that their maximum daily blood ammonia level will be less than 100  $\mu$ mol/L. In certain of these embodiments, the average likelihood is 90% to 98%. In certain embodiments, the confidence interval is 95%. In certain embodiments, the average likelihood is about 93% with 95% confidence that the true probability is between 77% and 100%.

[0052] The maximal ammonia value refers to the maximum amount of ammonia that may be detected in a subject following consumption of meals, if repeated measurement of blood ammonia can be instituted to detect such maximum value over an extended period of time. Based on well-controlled clinical trials with repeated blood sampling over 24 hours, the maximum blood ammonia has been observed to occur following the third major meal of the day in the early to mid evening hours (4-8PM, assuming that breakfast is approximately 8AM; see, e.g., Lee 2010; Lichter-Konecki 2011).

**[0053]** The ULN for blood ammonia typically represents the highest level in the range of normal values, which may be influenced by a variety of factors such as the assay method, types of regents, standard reference samples used, and specifications and calibration of equipment used to perform the measurement. In certain embodiments of the methods disclosed herein, the ULN for blood ammonia is determined for a subject individually. In other embodiments, the ULN for blood ammonia may be based on measurements obtained across a range of subjects (i.e., subjects with UCD or with a particular subtype of UCD, subjects with HE, healthy subjects, etc.). In certain embodiments, the ULN for blood ammonia may represent a standard reference value disclosed in the art, such as a mean ULN developed across a particular subset of subjects. In other embodiments, the ULN for blood ammonia may represent a standard measurement that has been developed by a particular entity that performs blood draws and/or blood evaluations, such as a particular clinical laboratory. In certain embodiments, the ULN is a standard reference value utilized by the same entity that measures the fasting blood ammonia level. In these embodiments, one skilled in the art will appreciate that interpretation of average daily ammonia in subject with a nitrogen retention disorder must be made relative to the reference range of normal values at the laboratory in which the ammonia was measured. Furthermore, the units of ammonia measurement may also vary from lab to lab (e.g.,  $\mu g/mL$  or  $\mu moI/L$ ), emphasizing the

importance of interpreting the subject's ammonia levels relative to the ULN at the laboratory in which the measurement was performed. In certain embodiments, the ULN for blood ammonia may be in the range of 26-64  $\mu$ mol/L. In certain of these embodiments, the ULN for blood ammonia may be in the range of 32-38  $\mu$ mol/L or 34-36  $\mu$ mol/L, and in certain of these embodiments the ULN for blood ammonia is 35  $\mu$ mol/L. In certain embodiments, the ULN for blood for blood ammonia may be in the range of 50-65  $\mu$ g/mL. In certain of these embodiments, the ULN for blood ammonia may be in the range of 50-65  $\mu$ g/mL. In certain of these embodiments, the ULN for blood ammonia may be in the range of 55-63  $\mu$ g/mL or 57-61  $\mu$ g/mL, and in certain of these embodiments the ULN for blood ammonia is 59  $\mu$ g/mL.

**[0054]** In certain embodiments, the average daily ammonia is the average amount of ammonia an individual may experience during the day, if serial blood sampling were performed for ammonia measurements. In well-controlled clinical studies, it has been established that ammonia fluctuates several fold during the day, depending on the timing of blood draw relative to food and drug intake. Due to these fluctuations, the timing of individual or serial blood sampling should be controlled relative to the timing of food and drug intake. Even serial sampling may not be enough to capture the peaks and troughs of the fluctuating ammonia values, unless samples are taken frequently enough. Therefore, obtaining a simple average of several measurements may provide inadequate or misleading information regarding the total ammonia burden a subject may experience during the day.

**[0055]** Provided herein are methods to better estimate a subject's average daily ammonia assessed as the area under the curve for 24-hr ammonia (ammonia AUC<sub>0-24hr</sub>) obtained from adequate and well-spaced samples over 24 hours. This ammonia AUC<sub>0-24hr</sub> can be further normalized for the entire actual period of sampling, i.e., ammonia AUC<sub>0-24hr</sub> is divided by the sampling period (e.g., 24 hours). For example, if an AUC of 1440 µmol\*hr/L is calculated using the trapezoidal rule based on 8-11 ammonia values obtained over 24 hours, then the average daily ammonia value or time-normalized AUC<sub>0-24hr</sub> would be equal to 1440 µmol\*hr/ml divided by the sampling time of 24 hr, or 60 µmol/L. If the normal reference range at the laboratory which performed the ammonia analysis was 10-35 µmol/L, then the average daily ammonia value for this subject would be approximately 1.71 times the ULN of 35 µmol/L. Similarly, if the ammonia AUC<sub>0-24hr</sub> was determined to be equal to 840 µmol\*hr/L based on multiple, well-spaced samples over 24 hours and analyzed at the same laboratory, and the sampling period was 24 hours, then the time-normalized AUC<sub>0-24hr</sub> would be 35 µmol/L. This corresponds to an

average ammonia or daily ammonia burden within the ULN. Finally, subjects with nitrogen retention disorders such as UCDs may experience a hyperammonemic crisis, which is often defined clinically as a blood level exceeding 100 µmol/L and clinical manifestations of hyperammonemia, which may require intervention to prevent irreversible hard and enable recovery.

**[0056]** Provided herein are methods of adjusting nitrogen scavenging drug dosage by measuring fasting blood ammonia to minimize the likelihood a subject may experience an ammonia value (Cmax) over 24 hours that exceeds 100 µmol/L. It has been found that 100 µmol/L corresponds to approximately 2-3 times the ULN in most laboratories. Previously, if a subject with a nitrogen retention disorder such as UCD had a blood ammonia level within or slightly above the normal reference range for the laboratory which performed the analysis, the subject was considered to be in good clinical control regardless of the timing of the blood draw in relation to meals and last administration of drug dose. However, it has been shown that a subject with a UCD who has a fasting blood ammonia level between the ULN and 1.5 times the ULN (e.g., 35 to 52 µmol/L) has an average likelihood of only 45% (with a 95% confidence interval of 21% to 70%) that his or her average daily ammonia is within the normal range; an average likelihood of only 35% (with a 95% confidence interval of 13% to 60%) that his or her maximal level of ammonia during the day is less than 1.5 times the ULN (e.g., 52 µmol/L); and an average likelihood of 25% that his or her maximal daily ammonia level exceeds 100 µmol/L during the day. Thus, after measuring a UCD subject's fasting blood ammonia, the dosage of a nitrogen scavenging drug may be progressively increased and/or his or her protein intake progressively decreased until the fasting ammonia value is less than or equal to half of the ULN for the local laboratory in which the ammonia analysis was performed.

**[0057]** In certain embodiments of the methods disclosed herein, one or more factors other than ammonia level may be taken into consideration when evaluating nitrogen scavenging drug dosage. For example, blood ammonia measurements may be combined with urinary PAGN measurements in determining whether to administer a nitrogen scavenging drug, adjusting the dosage of a nitrogen scavenging drug, or treating a nitrogen retention disorder. US Patent Publication No. 2010/0008859 discloses that urinary PAGN levels correlate more closely to PBA prodrug dosage than plasma PAA, PBA, or PAGN levels, and further discloses that PBA prodrugs are converted to urinary PAGN with a mean efficiency of 60-75%. Therefore, certain

embodiments of the methods disclosed herein comprise an additional step wherein urinary PAGN levels are measured. In certain of these embodiments, calculation of an effective dosage of nitrogen scavenging drug is based in part on a mean 60-75% conversion of PAA prodrug to urinary PAGN. For example, in certain embodiments the methods disclosed herein for determining whether to administer a nitrogen scavenging drug to a subject comprise an additional step of measuring urinary PAGN and calculating an effective initial dosage based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%. Similarly, in certain embodiments the methods disclosed herein for adjusting the dosage of a nitrogen scavenging drug comprise an additional step of measuring urinary PAGN and calculating an effective dosage based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%. In certain of these embodiments, the effective dosage is calculated based on a target nitrogen output. In certain embodiments, urinary PAGN may be determined as a ratio of the concentration of urinary PAGN to urinary creatinine. In certain embodiments, urinary PAGN is a factor that is taken into consideration when determining whether to administer or increase the dosage of a nitrogen scavenging drug, i.e., urinary PAGN is evaluated in combination with ammonia level to determine whether to administer or increase the dosage of the drug. In other embodiments, ammonia level alone is used to determine whether to administer or increase the dosage of a nitrogen scavenging drug, and urinary PAGN is simply used to calculate the initial or adjusted dosage.

**[0058]** One skilled in the art will recognize that a variety of other factors may be taken into consideration when determining the effective dosage of a nitrogen scavenging drug. For example, factors such as diet (e.g., protein intake) and endogenous waste nitrogen capacity (e.g., urea synthesis capacity) may be considered.

**[0059]** Provided herein in certain embodiments are kits for carrying out the methods disclosed herein. In certain embodiments, kits are provided for determining whether to administer or adjust the dosage of a nitrogen scavenging drug for a subject with a nitrogen retention disorder. The kits disclosed herein may include one or more nitrogen scavenging drugs and/or one or more reagents (e.g., bromophenol blue) or enzymes (e.g., glutamate dehydrogenase) to measure blood ammonia levels in a sample. The kit may additionally include other pigments, binders, surfactants, buffers, stabilizers, and/or chemicals necessary to obtain a blood sample and to

measure the ammonia level in the sample. In certain embodiments, the kits provided herein comprise instructions in a tangible medium.

[0060] One of ordinary skill in the art will recognize that the various embodiments described herein can be combined.

**[0061]** The following examples are provided to better illustrate the claimed invention and are not to be interpreted as limiting the scope of the invention. To the extent that specific materials are mentioned, it is merely for purposes of illustration and is not intended to limit the invention. One skilled in the art may develop equivalent means or reactants without the exercise of inventive capacity and without departing from the scope of the invention. It will be understood that many variations can be made in the procedures herein described while still remaining within the bounds of the present invention. It is the intention of the inventors that such variations are included within the scope of the invention.

#### Examples

Example 1: Analysis of predictability of pharmacodynamic ammonia values from fasting ammonia in UCD patients:

**[0062]** This example demonstrates the relationship between fasting ammonia and the pharmacodynamic (PD) profile of daily ammonia in patients receiving PAA prodrugs for UCDs. Ammonia values vary many-fold over the course of 24 hours in UCD patients. As depicted in Figures 3a and 3b, venous ammonia was measured for 24 hours following one week of dosing with either NaPBA or glycerol phenylbutyrate (GPB). The graphs display ammonia values as mean ±SD over 24 hours, where time zero corresponds to just prior to dosing and breakfast (i.e., fasting state). In view of this variability in daily ammonia levels, a single measurement may not be very informative in determining whether a UCD patient is optimally dosed. The ability to predict the highest potential ammonia a UCD patient may experience during the day and the average 24-hour ammonia from a single measurement such as fasting levels has important practical implications for nitrogen scavenging drug dosing guidelines and patient management. **[0063]** Data from two Phase 2 studies and one Phase 3 study comparing ammonia control assessed by 24-hour sampling during steady state treatment with HPN-100 versus NaPBA in 65 UCD patients were used for the analysis. The two Phase 2 studies include protocols UP 1204-003 and HPN-100-005 (Lee 2010; Lichter-Konecki 2011). The Phase 3 study includes protocols from HPN-100-006 (Diaz 2011).

[0064] Ammonia values obtained from different hospital laboratories with different normal ranges were normalized to a standard laboratory range of 9-35  $\mu$ mol/L. The patient population included a broad range of ages, UCD subtypes, and doses of drug, and is summarized in Table 1 below.

Gender	Male	18 (27.7)
n (%)	Female	47 (72.3)
Age at screening	N	65
(years)	Mean (SD)	29.46 (15.764)
	Median	24.00
	Range	6.0-75.0
UCD diagnosis	OTC deficiency	57 (87.7)
n (%)	CPS1 deficiency	1 (1.5)
	ASS deficiency	5 (7.7)
	ASL deficiency	1 (1.5)
	Missing	1 (1.5)
Duration of NaPBA	N	63
treatment	Mean (SD)	114.14 (90.147)
(months)	Median	101.00
	Range	0.2-300.0
Daily dose NaPBA	N	64
	Mean (SD)	14.10 (6.255)
	Median	13.50
	Range	1.5-36.0

Table 1: UCD demographics in studies U	<b>P</b> 1204-003	, HPN-100-005, and	HPN-100-006:
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#### [0065] Exploratory analysis:

[0066] Several PD parameters for steady-state ammonia were explored:  $AUC_{0-24hr}$ , timenormalized AUC, log AUC, maximal ammonia value over 24 hours (Cmax), and average ammonia. Data from 65 subjects from all three studies with steady-state ammonia and fasting ammonia were used. Missing data were imputed per procedures specified in the protocol and statistical analysis plan, except that no imputations were made for subjects who had no PK sampling conducted while on a given study drug.

**[0067]** Sample collection times of 0-hr (before first daily dose) and 24-hours post-dose (before first daily dose of the following day) were both evaluated as representative of fasting ammonia. No noticeable difference in the shape or quality of the relationship due to the choice of time point was observed.

**[0068]** The relationship between fasting ammonia and pharmacokinetic profile was evaluated separately for HPN-100 and NaPBA, with no apparent difference in the strength or magnitude of

the relationship. Therefore, all data from both HPN-100 and NaPBA treatments were used and conclusions regarding fasting ammonia pertain to both HPN-100 and NaPBA.

**[0069]** The relationships between (1) fasting ammonia and  $AUC_{0-24hr}$  and (2) fasting ammonia and maximum observed ammonia (Cmax) were visually explored for the whole population. The effects of the following covariates were also observed: age, weight, gender, and dietary protein intake. A positive and strong relationship was observed between fasting ammonia and  $AUC_{0-24hr}$ , with increasing fasting ammonia being associated with higher  $AUC_{0-24hr}$  and maximum observed ammonia (Figure 2).

[0070] <u>Prediction of AUC<sub>0-24hr</sub> through GEE Modeling</u>:

**[0071]** The aim of this modeling was to predict average daily or highest achieved ammonia based on the subject's fasting ammonia. In order to take into account the differences in normal ranges at different laboratories, all ammonia values were normalized to a reference range of 9-35 µmol/L, and the predictions were referenced to the ULN rather than a fixed value.

**[0072]** Generalized Estimating Equations (GEE) were used to model the predictive ability of fasting ammonia against various ammonia PD properties. GEE methodology can be used to analyze repeated measures of categorical data, in which the repeated measures are assumed to be correlated (Liang 1986). The model allows for the specification of the assumed correlation structure without the knowledge of the magnitude of the correlation.

[0073] The 24-hour ammonia profile was divided into ordered categories using a variety of endpoints and cutpoints as follows:

1) AUC [0-1.0\*ULN, >1.0\*ULN];

2) AUC [0-1.5\*ULN, >1.5\*ULN];

3) Cmax [0-1.0\*ULN, >1.0\*ULN];

4) Cmax [0-1.5\*ULN, >1.5\*ULN]; and

5) Cmax [0-100] µmol/L.

[0074] Three levels of fasting ammonia were considered in separate models as input:

1) [0-0.5\*ULN];

2) [>0.5\*ULN-<1.0 ULN]; and

3) [>1.0\*ULN-1.5\*ULN].

**[0075]** Using Statistical Analysis Software (SAS) Proc Genmod, generalized linear models were fit with a logit link function. Pre-dose fasting ammonia was the only predictor variable in

the model. The repeated nature of the data (two study periods per subject) was modeled using GEE with exchangeable correlation matrix. ULN for fasting ammonia was set at 35  $\mu$ mol/L. ULN for AUC over 24 hours was taken as 840 (35  $\mu$ mol/L \* 24 hours); i.e., the AUC which corresponds to an average daily ammonia less than or equal to 35  $\mu$ mol/L, which was the normalized ULN among the participating study sites and is derived by dividing the 24-hour area under the curve by the sampling time of 24 hours. The GEE model was bootstrap-resampled 1,000 times according to the method outlined in Davison, A.C. & Hinkley, D.V., Bootstrap Methods and their Application, Cambridge University Press, London (1997), pp.358-362. The results of these models are shown in Table 2 below.

<u>Table 2</u>: Summary of results from GEE model to predict ability of fasting ammonia against various ammonia PD properties:

Model #	Fasting ammonia	Ammonia PK outcome	Probability of outcome in	Bootstrap 95% c.i.	Bootstrap 80% c.i.	Bootstrap pred. error
	level		category			rate*
						(%)
1	[0-0.5	AUC in 24	0.84	0.67, 0.93	0.71, 0.89	11.5
	ULN]	hours [0-1.0				
		ULN]				
2		AUC in 24		Did not co	onverge	
		hours [0-1.5				
		ULN]				
3		Cmax	0.53	0.38, 0.65	0.42, 0.61	45.8
		observed [0-				
		1.0 ULN]				
4		Cmax	0.76	0.61, 0.86	0.66, 0.82	23.3
		observed [0-				
		1.5 ULN]				
5		Cmax	0.93	0.78, 1.00	0.85, 0.97	5.7
		observed [0-				
		100]				
6	[0-<1.0	AUC in 24	0.58	0.42, 0.73	0.48, 0.68	42.8
	ULN]	hours [0-1.0				
		ULN]				
7		AUC in 24	0.88	0.78, 0.97	0.82, 0.94	11.1
		hours [0-1.5				
		ULN]				
8		AUC in 24	0.97	0.90, 1.00	0.93, 1.00	2.2
		hours [0-2				
		ULN]				
9		Cmax	0.21	0.11, 0.38	0.14, 0.33	20.0
		observed [0-				

		1.0 ULN]						
10		Cmax	0.52	0.35, 0.66	0.42, 0.61	46.0		
		observed [0-						
		1.5 ULN]						
11		Cmax	0.74	0.62, 0.85	0.91, 1.00	27.2		
		observed [0-						
		2.0 ULN]						
12		Cmax	0.95	0.88, 1.00	0.66, 0.81	4.3		
		observed [0-						
		100]						
13	[>1.0-1.5	AUC in 24	0.45	0.24, 0.71	0.30, 0.63	43		
	ULN]	hours [0-1.0						
		ULN]						
14		AUC in 24	Did not converge					
		hours [0-1.5						
		ULN]						
15		AUC in 24	0.80	0.49, 0.99	0.63, 0.92	27		
		hours [0-2						
		ULN]						
16		Cmax		Did not co	onverge			
		observed [0-						
		1.0 ULN]						
17		Cmax	0.35	0.16, 0.58	0.23, 0.51	33		
		observed [0-						
		1.5 ULN]						
18		Cmax	Did not converge					
		observed [0-						
		2.0 ULN]						
19		Cmax		Did not co	onverge			
		observed [0-						
		100]						

**[0076]** From Table 2 above, we can conclude that in the population of UCD patients described in Table 1, we can be 95% confident that, given a fasting ammonia less than or equal to half the ULN, the true probability of having an AUC in the range [0-840] is on average 84%, at least 67%, and as high as 93%.

**[0077]** Row 1 of Table 2 above suggests that a UCD patient with a fasting ammonia of 17  $\mu$ mol/L as determined by a laboratory with a normal reference range of 9-35  $\mu$ mol/L (i.e., a fasting ammonia in the range [0-0.5 ULN]) has an 84% chance (with a 95% confidence interval of 67% to 93%) of having a time normalized AUC<sub>0-24hr</sub> in the normal range [AUC<sub>0-24hr</sub> of 0-840 or an average daily ammonia of 35  $\mu$ mol/L], a 76% chance (with a 95% confidence interval of 61% to 86%) of having a Cmax of less than 1.5 ULN, and a 93% chance (with a 95% confidence

interval of 78% to 100%) of never having an ammonia of more than 100  $\mu$ mol/L. Therefore, this patient would be optimally controlled and unlikely to suffer from high ammonia during the day. **[0078]** This Example shows that fasting ammonia correlates strongly with daily ammonia exposure, assessed as a daily average or as maximal daily concentration, and that a target fasting value which does not exceed half of the upper level of normal for the local lab appears to be a clinically useful as well as practical predictor of ammonia values over 24 hours as well. Furthermore, this Example shows that a subject with a fasting ammonia in the range 0-0.5 ULN has an 84% chance of having an AUC<sub>0-24hr</sub> in the normal range (0-840 or an average daily ammonia of 35  $\mu$ mol/L).

# Example 2: Selecting and adjusting HPN-100 dosage based on fasting blood ammonia levels in a patient with UCD:

**[0079]** Patient A is an adult with UCD being managed with amino acid supplements and dietary protein restriction only. Patient A consumes neither his supplements nor food for approximately 8 hours prior to a fasting morning blood draw. A venous blood draw is performed, and fasting blood ammonia level is determined to be 52 µmol/L. This fasting blood ammonia level is compared to the ULN for blood ammonia in the laboratory performing the blood draw, which is 35 µmol/L. Based on the correlation of fasting ammonia level to average ammonia level, it is determined that Patient A's fasting blood ammonia level of approximately 1.5 times the ULN represents only a 45% chance on average of having an average ammonia during the day within the normal range. Thus, the ratio of fasting blood ammonia level to ULN for blood ammonia indicates that Patient A will benefit from treatment with a nitrogen scavenging drug.

**[0080]** The physician elects to treat Patient A with HPN-100. Initial dosage is determined based on body surface area or as otherwise instructed according to HPN-100 drug labeling. Patient A's body surface area is  $1.4 \text{ m}^2$ , and therefore the initial dosage is determined to be 9 mL per day or 3 mL TID, which is approximately 60% of the maximum allowed dosage per HPN-100 label. Patient A is treated with 9mL/day of HPN-100 for at least 7 days, and returns for an additional blood draw. The fasting blood ammonia level at this time is 33 µmol/L, which is slightly below the ULN and falls into the range of 0.5 to 1.0 times normal. Patient A's blood ammonia level is monitored throughout the day after administration of a 3 mL dose of HPN-100 with each meal. It is observed that Patient A's maximum ammonia reaches 95 µmol/L after

dinner with an average daily ammonia of 66  $\mu$ mol/L, which is almost two times the upper normal range. Therefore, Patient A's dosage of HPN-100 is increased by approximately one-third to 12 mL total or 4 mL TID. Patient A returns after at least 7 days of treatment with HPN-100. Patient A's fasting ammonia level is 15  $\mu$ mol/L, which is less than half of the ULN range. It is determined that Patient A has reached satisfactory ammonia control.

**[0081]** It is expected that if Patient A adheres to his prescribed diet, his maximal daily ammonia is not expected to exceed approximately 52  $\mu$ mol/L, i.e., approximately 1.5 times the ULN, with an average likelihood of 75% with 95% confidence. The average ammonia level during the day is expected to remain within normal range with greater than 84% likelihood and 95% confidence. Moreover, Patient A's maximal daily ammonia is highly unlikely to reach 100  $\mu$ mol/L during the day.

Example 3: Adjusting HPN-100 dosage based on fasting blood ammonia levels in a patient with UCD:

**[0082]** Patient B is an 11-year UCD patient receiving 24 pills of BUPHENYL<sup>®</sup> per day, amino acid supplements, and restricted dietary protein intake. Patient B does not consume BUPHENYL<sup>®</sup>, supplements, or food for approximately 6 hours prior to a fasting morning blood draw. A venous blood draw is performed, and fasting blood ammonia level is determined to be 40  $\mu$ mol/L. This fasting blood ammonia level is compared to the ULN for blood ammonia for the laboratory performing the blood draw, which is 35  $\mu$ mol/L. Based on the correlation of fasting ammonia level to average ammonia level, it is determined that Patient B's fasting blood ammonia level falling between 1 and 1.5 times the ULN represents a 55% chance of having an average ammonia during the day that is greater than the normal range, and as high as a 65% chance that her ammonia will go above 52  $\mu$ mol/L or 1.5 times ULN during the day.

**[0083]** Based on discussion with the patient and her mother, the physician suspects that Patient B is noncompliant with her medication, and decides to change her to HPN-100. The initial dosage is determined based on the amount of BUPHENYL<sup>®</sup> Patient B was receiving, and it is determined that Patient B needs to take 10.5 mL of HPN-100 per day. Patient B is treated with 3.5mL of HPN-100 3 times a day for at least 7 days, and returns for additional blood draws. Her fasting blood ammonia level at this time is 17 µmol/L, which is below the ULN and falls into the range of 0 to 0.5 times normal. It is determined that Patient B has reached satisfactory ammonia control.

**[0084]** It is expected that if Patient B adheres to her prescribed diet, her maximal daily ammonia will not go above approximately 50  $\mu$ mol/L, which is less than 1.5 times the ULN. Her average ammonia level during the day is expected with greater than 84% average likelihood to remain within normal range. Moreover, there is only a small chance (7%) that Patient B's maximal daily ammonia will exceed 100  $\mu$ mol/L during the day.

Example 4: Selecting and adjusting sodium benzoate dosage based on fasting blood ammonia levels in a patient with UCD:

**[0085]** Patient C is an adult UCD patient who is allergic to PBA and is therefore being managed with amino acid supplements and dietary protein restriction only. Patient C complains of chronic headache and frequent nausea. Patient C consumes neither his supplements nor food for approximately 8 hours prior to a fasting morning blood draw. A venous blood draw is performed, and fasting blood ammonia level is determined to be 77  $\mu$ mol/L. This fasting blood ammonia level is compared to the ULN for blood ammonia for the laboratory performing the blood draw, which is 35  $\mu$ mol/L. Based on the correlation of fasting ammonia level to average ammonia level, it is determined that Patient C's fasting blood ammonia level of approximately 2 times the ULN represents a high likelihood of ammonia levels going over 100  $\mu$ mol/L during the day. Thus, the ratio of fasting blood ammonia level to ULN for blood ammonia indicates that Patient C will benefit from treatment with a nitrogen scavenging drug.

**[0086]** The physician decides to treat Patient C with 15 g of sodium benzoate per day since the patient is allergic to PBA. Patient C is treated with 15 g/day of sodium benzoate for at least 7 days, and returns for additional blood draws. Fasting blood ammonia level at this time is 35  $\mu$ mol/L, which is equal to the ULN. Patient C's dosage of sodium benzoate is increased by approximately 30% to 18 grams per day. After at least 7 days of treatment, Patient C's fasting ammonia level is 15  $\mu$ mol/L, which is less than half of the ULN. It is determined that Patient C has reached satisfactory ammonia control.

**[0087]** It is expected that if Patient C adheres to his prescribed diet and medication, his maximal daily ammonia will not exceed approximately 52  $\mu$ mol/L, which is approximately 1.5 times the ULN. His average ammonia level during the day is expected with greater than 80% likelihood to remain within normal range. Moreover, Patient C's maximal daily ammonia is highly unlikely to reach 100  $\mu$ mol/L during the day.

Example 5: Evaluation of the effect of ammonia control on neurocognitive outcome:
**[0088]** It has been shown that UCD patients are likely to suffer from diminished intelligence and impaired neurocognitive functions (Kirvitsky 2009). These neuropsychological impairments have been attributed to repeated episodes of acute hyperammonemia interspersed on chronically elevated ammonia. Abnormalities in neuropsychological function and/or brain imaging have been detected even in UCD patients with mild disorders who exhibit normal IQ and/or appear clinical normal (Gropman 2008a; Gropman 2008b). Therefore, it was hypothesized that maintaining average daily ammonia within normal limits and thereby reducing the long term ammonia burden could result in improved cognition.

**[0089]** The relationship between reducing ammonia burden by maintaining fasting ammonia at or close to half ULN and neuropsychological outcomes in pediatric UCD patients was explored in clinical trials. Eleven pediatric patients ages 6-17 were enrolled in short term switch over comparison of NaPBA and HPN-100 in controlling ammonia. These patients underwent 24-hr serial sample collection in a confined setting where the last sample at 24 hr was considered fasting and under supervision of the study personnel. At the end of treatment with HPN-100 the average fasting ammonia at 24-hr time point was 15.5 µmol/L or less than half ULN, indicating good clinical control. These 11 patients along with another 15 pediatric patients were enrolled in two long term studies and received HPN-100 for 12 months, during which monthly fasting ammonia were collected. At the time of enrollment and at the end of the study, all patients underwent assessment for neuropsychological outcomes including the following: BRIEF (Behavior Rating Inventory of Executive Function) to assess day-to-day executive functioning, CBCL (Child Behavior Checklist) to evaluate internalizing (e.g., mood/anxiety) and externalizing behaviors, and WASI (Wechsler Abbreviated Scale of Intelligence) to estimate of intellectual ability.

**[0090]** During the 12 month treatment with HPN-100, pediatric UCD patients experienced fewer episodes of acute hyperammonemia than in the 12 months preceding enrollment (5 episodes during the study versus 9 before enrollment), with peak ammonia dropping from a mean of 233  $\mu$ mol/L before enrollment to 166  $\mu$ mol/L during the study. Fasting ammonia remained controlled and monthly averages were at or close to half ULN, ranging from 17 to 22  $\mu$ mol/L. Although patients had been instructed to remain fasting before monthly study visits, some ammonia samples were taken in a non-fasted state, resulting in average monthly ammonia of slightly above half ULN.

[0091] In pediatric patients, WASI and CBCL scores were stable in comparison to baseline. The majority of the BRIEF subscales at baseline were at or close to 65, consistent with borderline and/or clinically significant dysfunction. Among 22 pediatric subjects who completed the neuropsychological testing at 12 months, all BRIEF domains were improved (lower T scores) with means (SD) at end of study compared to baseline for Behavioral Regulation Index 53.7 (9.79) vs. 60.4 (14.03) (p<0.05); Metacognition Index 57.5 (9.84) vs. 67.5 (13.72) (p<0.001), and Global Executive Scale 56.5 (9.71) vs. 66.2 (14.02) (p<0.001).

**[0092]** The significant improvement in executive functions in this group of pediatric UCD patients indicates the importance of long term ammonia control and achieving target levels of fasting ammonia.

Example 6: Correlation of elevated PAA levels to neurological AEs in UCD and healthy subjects:

**[0093]** Elevated plasma levels of PAA may cause symptoms that mimic those associated with hyperammonemia, including headache, nausea, somnolence, etc. Since such symptoms are common and nonspecific, an ammonia level below half the upper limit of normal in a subject with a nitrogen retention disorder who exhibits such symptoms and is receiving a PAA prodrug would prompt a physician to check plasma PAA levels.

**[0094]** The relationship between elevated PAA levels and neurological AEs was evaluated in three populations: (1) 130 healthy adults dosed with 4 to 12 mL TID of GPB in a thorough QTc study, (2) 54 adult and 11 pediatric UCD patients (ages 6-17) enrolled in one of 3 protocols involving short term (2-4 week) switchover comparisons of NaPBA vs. GPB, and (3) 77 patients enrolled in two nearly identical 12-month GPB treatment protocols. In populations 1 and 2, maximal PAA (i.e., Cmax) levels were analyzed in relation to neurological AEs as defined by MEDDRA using an Exact non-parametric Mann-Whitney test and Generalized Estimating Equations (GEE) with a logit link function and effects for dose and PAA level. The relationship between PAA levels and the occurrence of the AEs reported by Thiebault was also explored in population 3.

[0095] No statistically significant relationship was observed between neurological AEs and PAA levels for either GPB or NaPBA. The odds ratio of a neurological AE occurring for each  $20 \mu \text{g/mL}$  increase in PAA levels for the two drugs combined was 0.95, very close to 1. Thus, among UCD patients dosed with HPN-100 or NaPBA over the ranges used in these studies,

increasing levels of PAA (ranging up to 244  $\mu$ g/mL) were not associated with an increase in neurological AEs. Similarly, in population 3, PAA levels did not increase over time and exhibited no apparent relationship to neurological AEs, which also did not increase in frequency over time. The pediatric patient with the highest PAA level (410  $\mu$ g/mL) did not report neurological AEs close to the timing of the blood draw.

**[0096]** Unlike UCD subjects, healthy adult volunteers who reported a nervous system AE had statistically significantly higher PAA  $C_{max}$  levels than those who did not. While this analysis in healthy adults is compromised by the fact that PAA levels were not always available at the time of occurrence of the AEs, as well as by the small sample size in the higher dose groups, the odds ratio of 1.75 (p=0.006) suggests that increasing levels of PAA are associated with increased probability of experiencing a nervous system AE among healthy adults. AEs reported by healthy adults generally began within 36 hours of dosing and, among those adults who remained on study, most resolved with continued dosing.

[0097] A significant relationship between PAA levels and occurrence of neurological AEs, which generally resolved with continued dosing, was detected in healthy volunteers. Unlike in healthy adults, PAA  $C_{max}$  did not correlate with nervous system AEs in UCD patients over a similar range of doses and PAA levels. These findings may reflect metabolic differences among the populations (e.g., UCD patients exhibit high glutamine levels compared with healthy humans) and/or metabolic adaptation with continued dosing.

[0098] Population PK model building was performed on 65 UCD patients who participated in the short-term switchover Hyperion studies using NONMEM (version 7.2) based on 2981 ([PBA], [PAA], [PAGN], and urine PAGN [UPAGN])) data points from 53 adult and 11 pediatric UCD patients (ages 6-17) who participated in 3 switchover studies of NaPBA and GPB. The median GPB dose, expressed as grams of PBA per m2, was 8.85 and 7.01 for pediatric and adult subjects, respectively. Diagnostic plots and statistical comparisons were used to select among candidate models, and covariates were assessed by graphical analyses and covariate modeling. Using the final popPK model and parameter estimates, Monte Carlo simulations were performed in ~1000 virtual patients for a range of NaPBA and GPB doses to predict systemic metabolite exposure and UPAGN output.

**[0099]** The final model that best fit the data was characterized by (a) partial conversion of PBA to PAGN prior to reaching the systemic circulation, (b) saturable conversion of PAA to PAGN

(Km ~161ug/ml), and (c) ~60% slower PBA absorption when delivered as GPB vs. NaPBA. Body surface area (BSA) was a significant covariate such that metabolite clearance was proportionally related to BSA. Fractional presystemic metabolism of PBA was higher for adults than for pediatric patients receiving GPB (43% vs. 14%), whereas the reverse was true for NaPBA (23% vs. 43%). Predicted median PAA exposure based on simulated GPB dosing at the PBA equivalent of 13g/m2 of NaPBA was ~13%-22% lower in adults than NaPBA (Cmax = 82 vs. 106 µg/mL; AUC<sub>0-24</sub> = 649 vs. 829 µg.h/m) and ~13% higher in pediatric subjects ages 6-17 than NaPBA (Cmax = 154 vs. 138 µg/mL; AUC<sub>0-24</sub> = 1286 vs. 1154 µg.h/ml); predicted upper 95th percentile PAA exposure was below 500 µg/mL and 25%-40% lower for adult subjects on GPB versus NaPBA and similar for pediatric subjects. Simulated dosing at the PBA equivalent of ~5g/m<sup>2</sup> of NaPBA yielded similar and less variable PAA exposure for both drugs and for pediatric and adult patients. Recovery of PBA as UPAGN was very similar whether delivered orally as GPB or NaPBA.

**[00100]** These findings based on PopPK modeling and dosing simulations suggest that while most patients treated with PAA prodrugs including NaPBA or HPN-100 will have PAA levels below those reportedly associated with toxicity and while no relationship between PAA levels and neurological AEs was found on a population basis, individual patients exhibiting symptoms such as headache or nausea might be suffering from either hyperammonemia or high PAA levels and that a fasting ammonia level equal to or below half the upper limit of normal would prompt the physician to check plasma PAA levels.

**[00101]** As stated above, the foregoing is merely intended to illustrate various embodiments of the present invention. The specific modifications discussed above are not to be construed as limitations on the scope of the invention. It will be apparent to one skilled in the art that various equivalents, changes, and modifications may be made without departing from the scope of the invention, and it is understood that such equivalent embodiments are to be included herein. All references cited herein are incorporated by reference as if fully set forth herein.

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## Attorney Ref. HOR0026-201C1-US

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### Attorney Ref. HOR0026-201C1-US

What is claimed is:

1. A method for adjusting the dosage of glyceryl tri-[4-phenylbutyrate] in a subject being treated for a urea cycle disorder who has previously been administered an initial dosage of glyceryl tri-[4-phenylbutyrate], the method comprising:

(a) measuring a fasting plasma ammonia level for the subject;

(b) comparing the fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(c) administering an adjusted dosage of glyceryl tri-[4-phenylbutyrate], wherein the adjusted dosage is greater than the initial dosage if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level.

2. A method of treating a subject with a urea cycle disorder who has previously been administered an initial dosage of glyceryl tri-[4-phenylbutyrate], the method comprising:

(a) measuring a fasting plasma ammonia level for the subject;

(b) comparing the fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(c) administering an adjusted dosage of glyceryl tri-[4-phenylbutyrate] that is greater than the initial dosage if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level.

3. A method of administering glyceryl tri-[4-phenylbutyrate] to a subject having a urea cycle disorder, the method comprising:

(a) measuring a first fasting plasma ammonia level for the subject;

(b) comparing the first fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(c) administering an initial dosage of glyceryl tri-[4-phenylbutyrate] to the subject if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level.

4. The method of claim 1 or 2, wherein administering the adjusted dosage of glyceryl tri-[4-phenylbutyrate] produces a normal average daily ammonia level in the subject.

5. The method of claim 1 or 2, further comprising repeating steps (a) to (c) until the subject exhibits a fasting plasma ammonia level at or below half the upper limit of normal for plasma ammonia level.

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6. The method of claim 3, further comprising:

(d) measuring a second fasting plasma ammonia level for the subject;

(e) comparing the second fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(f) administering an adjusted dosage of glyceryl tri-[4-phenylbutyrate] that is greater than the initial dosage if the second fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level.

7. The method of any of claims 1-3, wherein the upper limit of normal for plasma ammonia level is  $35 \mu mol/L$ .

8. The method of any of claims 1-3, wherein the upper limit of normal is specific to the laboratory in which the fasting plasma ammonia level is measured.

9. The method of any of claims 1-3, further comprising the step of determining an upper limit of normal for plasma ammonia level for the subject prior to step (b).

10. The method of claim 1 or 2, wherein the adjusted dosage is calculated by:

(i) measuring urinary phenylacetyl glutamine (PAGN) output; and

(ii) calculating an effective adjusted dosage of glyceryl tri-[4-phenylbutyrate] based on the urinary PAGN output, wherein the effective adjusted dosage is calculated based on a mean conversion of glyceryl tri-[4-phenylbutyrate] to urinary PAGN of 60 to 75%.

11. The method of claim 3, wherein the initial dosage is calculated by:

(i) determining a target urinary phenylacetyl glutamine (PAGN) output; and

(ii) calculating an effective initial dosage of glyceryl tri-[4-phenylbutyrate] based on a mean conversion of glyceryl tri-[4-phenylbutyrate] to urinary PAGN of 60 to 75%.

# ABSTRACT

The present disclosure provides methods for evaluating daily ammonia exposure based on a single fasting ammonia blood level measurement, as well as methods that utilize this technique to adjust the dosage of a nitrogen scavenging drug, determine whether to administer a nitrogen scavenging drug, and treat nitrogen retention disorders.



# Figure 1















# PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	Scharschmidt et al.	)
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Serial No.	:	To be assigned	) To be assigned
Filed	:	Herewith	)
			) Examiner:
			) To be assigned

# Title: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

# NOTICE OF RELATED LITIGATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicant hereby notifies the U.S. Patent and Trademark Office that the subject matter of the present application is involved in litigation in the United States.

Specifically, Par Pharmaceutical, Inc. ("Par") sent a PIV notice letter to Hyperion Therapeutics, Inc. ("Hyperion") on March 12, 2014 providing notice that Par had filed an Abbreviated New Drug Application ("ANDA") with respect to RAVICTI<sup>®</sup> (Glycerol Phenylbutyrate) Oral Liquid, with a certification under 21 U.S.C. § 355(j)(2)(A)(vii)(IV) ("Paragraph IV") alleging that U.S. Patent Nos. 8,404,215 and 8,642,012 are invalid, unenforceable and/or will not be infringed by the commercial manufacture, use or sale of the Watson drug product.

Under 21 U.S.C. § 355(j)(5)(B)(iii), Hyperion had forty-five days from receipt of the ANDA notice letter to file suit against Watson for patent infringement. Accordingly, on April 23, 2014, Hyperion brought suit on those patents against Par in the United States District Court for the Eastern District of Texas, Marshall Division. The Complaint alleged that Par infringes U.S. Patent Nos. 8,404,215 and 8,642,012. Subsequently, in May of 2015, Horizon Pharma plc ("Horizon") acquired Hyperion Therapeutics, Inc. through a merger. The subject application is a

divisional of U.S. Patent No. 8,404,215. The Complaint is provided with an SB-08 filed concurrently herewith.

Respectfully submitted,

By /Lauren L. STEVENS/

Lauren L. Stevens Attorney for Applicant Registration No. 36,691 (650) 387-3813

# PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	Scharschmidt et al.	)
			) Group Art Unit:
Serial No.	:	To be assigned	) To be assigned
			)
Filed	:	Herewith	)
			) Examiner:
			) To be assigned

# Title: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.561 ELECTRONICALLY VIA EFS-WEB

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Applicant requests that, in accordance with 37 CFR §1.98(d), the Examiner review all applications relied on for an earlier effective filing date under 35 U.S.C. 120, including application no. 13/775,000, filed February 22, 2013, and application no. 13/417,137, filed March 9, 2012, now U.S. Patent 8,404,215, for copies of references of record therein that are not being

provided here; although Applicant would be pleased to provide copies of any such documents at the Examiner's request.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith. Authorization is hereby given to treat this and any future reply, which requires or might require a petition for an extension of time under 37 CFR § 1.136(a) for its timely submission or payment of fee, as incorporating a petition for extension of time for the appropriate length of time and an authorization to pay any required fees from Deposit Account No. 50-4297.

Respectfully submitted,

By /Lauren L. STEVENS/

Lauren L. Stevens Attorney for Applicant Registration No. 36,691 (650) 387-3813

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	INFORMATION	DISCI	OSURE	Application Number	To Be Assigned
	STATEMENT BY	Y APP	LICANT	Filing Date	ТВА
	Data Submittad: I	March	10 2012	First Named Inventor	Bruce Scharschmidt
	Dale Submitted. I	viaicii	12, 2012	Art Unit	ТВА
	(use as many shee	ets as	necessary)	Examiner Name	TBA
Sheet	1	of	13	Attorney Docket Number	HOR0026-201C1-US

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	P16	2008/0119554	5/22/2008	JALAN	

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	D44	International Search Report and Written Opinion for PCT/US2009/055256, mailed Dec. 30, 2009, 13 pages.	
	D45	INTER PARTES REVIEW OF U.S. PATENT NO. 8,404,215	
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	D102	Zeitlin, P., Novel Pharmacologic Therapies for Cystic Fibrosis, 103 J. Clinical Investigation 447 (1999).	

	Examiner Signature		Date Considered	
۴F	XAMINER Initia	al if reference considered, whether or not citation is in conformance with MPEP 609. D	raw line through citation if no	t in conformance and not

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$\frown$	Substitute for fo	rm 144	19/PTO	Co	Complete if Known		
	INFORMATION	DISCI	OSURE	Application Number	To Be Assigned		
	STATEMENT B	Y APP	LICANT	Filing Date	TBA		
	Data Submittad: I	March	10 0010	First Named Inventor	Bruce Scharschmidt		
	Date Submitted.	viarun	12, 2012	Art Unit	TBA		
(use as many sheets as necessary)				Examiner Name	TBA		
Sheet	11	of	13	Attorney Docket Number	HOR0026-201C1-US		

		NON PATENT LITERATURE DOCUMENTS	
Exami ner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Le
	D103	BATSHAW, M.L. et al. (1981, August) "New Approaches to the Diagnosis and Treatment of Inborn Errors of Urea Synthesis," <i>Pediatrics</i> 68(2):290-297.	
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Examiner	Date	
Signature	Considered	

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	INFORMATION	DISCL	OSURE	Application Number	To Be Assigned
	STATEMENT B	Y APP	LICANT	Filing Date	TBA
Data Submitted: March 10, 0010				First Named Inventor	Bruce Scharschmidt
	Date Submitted.	vialuri	12, 2012	Art Unit	ТВА
(use as many sheets as necessary)				Examiner Name	ТВА
Sheet	12	of	13	Attorney Docket Number	HOR0026-201C1-US

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	D114	HYPERION THERAPEUTICS "Hyperion Therapeutics Announces Enrollment of First Patient in Phase 1/2 Clinical Trial of GT4P in Patients with Urea Cycle Disorders" Announcement, 1 page (October 23, 2007).		
	D115	MERCURI, E., et al., (2004) "Pilot Trial of Phenylbutyrate in Spinal Muscular Atrophy," <i>Neuromuscul Disord</i> 14:130-135.		
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	D124	EUROPEAN PATENT OFFICE, Extended European Search Report for EP09739263 completed November 2, 2011.		
	D125	EUROPEAN PATENT OFFICE, International Search Report and Written Opinion for PCT/US2009/055256 completed December 18, 2009 and mailed December 30, 2009.		

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	D126	Examination Report for British Patent Application No. GB1013468.2 dated October 28, 2011.		
	D127	International Preliminary Report on Patentability (Ch I) for PCT/US2012/028620 completed June 4, 2012 and mailed on April 10, 2014.		
	D128	International Preliminary Report on Patentability (Ch II) for PCT/US2012/028620, completed August 22, 2013 and mailed September 4, 2013.		
	D129	UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written Opinion for PCT/US2009/030362 mailed March 2, 2009.		
	D130	UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written Opinion for PCT/US2012/028620 mailed June 20, 2012.		
	D131	UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written Opinion for PCT/US2012/54673 mailed November 20, 2012.		
	D132	UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written Opinion for PCT/US2013/71333 mailed March 28, 2014.		
	D133	LICHTER-KONECKI, U., et al., "Ammonia Control in Children with Urea Cycle Disorders (UCDs); Phase 2 Comparison of Sodium Phenylbutyrate and Glycerol Phenylbutyrate,", Mol. Genet. Metab. 103:323-329 (2011).		

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Signature		
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## PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

:	Scharschmidt et al.	)
:	To be assigned	) Group Art Unit: ) To be assigned
:	Herewith	) ) Examiner: ) To be assigned
	: :	<ul> <li>Scharschmidt et al.</li> <li>To be assigned</li> <li>Herewith</li> </ul>

# Title: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

## **PRELIMINARY AMENDMENT UNDER 37 CFR 1.115**

## ELECTRONICALLY VIA EFS-WEB

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to examination of the present Application, Applicants respectfully request that the application be amended as follows:

Amendments to the Specification begin on page 2 of this document.

Amendments to the Drawings being on page 3 of this document.

Amendments to the Claims are reflected in the listing of on page 4 of this document.

Remarks/Arguments begin following the Amendments to the Claims.

# **Amendments to the Specification**

Please amend paragraph [001] as follows:

[0001] The present application is a <u>continuation of U.S. Patent Application 13/775,000</u>, which is <u>now pending</u>, which is a continuation divisional of U.S. Patent Application No. 13/417,137, filed March 9, 2012 and now <del>pending</del> issued as U.S. Patent 8,404,215, which claims the benefit of U.S. Provisional Application No. 61/564,668, filed November 29, 2011, and U.S. Provisional Application No. 61/542,100, filed September 30, 2011, the disclosures of which are incorporated by reference herein in their entirety, including drawings.

# Amendments to the Drawings

Attached replacement FIG. 1, FIG. 2, and FIG. 3 should replace original FIG. 1, FIG. 2., and FIG 3, respectively.

# Amendments to the Claims

This listing of claims replaces all prior versions, and listings, of claims in the present application.

1.-11. (Cancelled)

12. (New) A method of treating a subject with a urea cycle disorder, the method comprising: administering to the subject in need thereof glyceryl tri-[4-phenylbutyrate] in an amount sufficient to produce a fasting plasma ammonia level that is less than half the upper limit of normal for plasma ammonia level.

13. (New) The method of claim 12, wherein the upper limit of normal for plasma ammonia level is 35 µmol/L.

14. (New) The method of claim 12, wherein the adjusted dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

## <u>Remarks</u>

Applicant submits herewith replacement figures. The specification has been amended to update the priority paragraph. No new matter has been added.

Claims 1-11 have been cancelled herein without prejudice or disclaimer. Claims 12-114 have been added. With entry of this amendment, claims 12-14 are pending.

Applicant respectfully requests that the foregoing amendments be made prior to examination of the present application. Applicant believes that the present application is now in condition for allowance. Favorable consideration of the application as amended is respectfully requested. If new issues of patentability are raised, the Examiner is invited to call or email the undersigned and arrange for an opportunity to discuss these issues.

Respectfully submitted,

By /Lauren L. STEVENS/

Lauren L. Stevens Attorney for Applicant Registration No. 36,691 Istevens@globalpatentgroup.com

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

No.

Title of Invention	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS			
As the below named inventor, I hereby declare that:				
This declaration	The attached application, or			
is directed to:	$\square$ United States application or PCT international application number <u>13/775,000</u>			
	filed on February 22, 2013.			
The above-identi	ified application was made or authorized to be made by me.			
I believe that I an application.	m the original inventor or an original joint inventor of a claimed invention in the			
I hereby state the including the cla	at I have reviewed and understand the contents of the above-identified specification, ims.			
I am aware of an information know	d acknowledge the duty to disclose to the U.S. Patent and Trademark Office all wn to me to be material to patentability as defined in 37 CFR 1.56.			
I hereby acknow U.S.C. 1001 by 1	ledge that any willful false statement made in this declaration is punishable under 18 fine or imprisonment of not more than five (5) years, or both.			
LEGAL NAME	LEGAL NAME OF INVENTOR: <u>Masoud Mokhtarani</u>			
Signature: <u>11</u> /	<u>Date: 3/15/2013</u>			

oie

Perkins

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS			
As the below named inventor, I hereby declare that:				
This declaration is directed to:	<ul> <li>The attached application, or</li> <li>United States application or PCT international application number <u>13/775,000</u></li> <li>filed on <u>February 22, 2013</u>.</li> </ul>			
The above-identi	fied application was made or authorized to be made by me.			
I believe that I ar application.	n the original inventor or an original joint inventor of a claimed invention in the			
I hereby state tha including the clai	t I have reviewed and understand the contents of the above-identified specification, ims.			
I am aware of and information know	I am aware of and acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR 1.56.			
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.				
LEGAL NAME	OF INVENTOR: <u>Bruce Scharschmidt</u>			
Signature:	au 7 Suland Curron Date: <u>8/15/13</u>			
	Perkins			



# Figure 1
Attorney Docket No.: HOR0026-201C1-US Sheet 2 of 3 REPLACEMENT SHEET

Figure 2



Attorney Docket No.: HOR0026-201C1-US Sheet 3 of 3 REPLACEMENT SHEET







Electronic Patent Application Fee Transmittal							
Application Number:							
Filing Date:							
Title of Invention:	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS						
First Named Inventor/Applicant Name:	Bruce Scharschmidt						
Filer:     Lauren Stevens/Vicki Truman							
Attorney Docket Number:	HOR0026-201C1-US						
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Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:							
Utility application filing	1011	1	280	280			
Utility Search Fee	1111	1	600	600			
Utility Examination Fee	1311	1	720	720			
Request for Prioritized Examination	1817	1	4000	4000			
Pages:							
Claims:							
Miscellaneous-Filing:							

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PROCESSING FEE, EXCEPT PROV. APPLS.	1830	1	140	140				
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								
Miscellaneous:								
Total in USD (\$) 5740								

Electronic Acknowledgement Receipt				
EFS ID:	23097643			
Application Number:	14816674			
International Application Number:				
Confirmation Number:	9599			
Title of Invention:	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS			
First Named Inventor/Applicant Name:	Bruce Scharschmidt			
Customer Number:	101325			
Filer:	Lauren Stevens/Vicki Truman			
Filer Authorized By:	Lauren Stevens			
Attorney Docket Number:	HOR0026-201C1-US			
Receipt Date:	03-AUG-2015			
Filing Date:				
Time Stamp:	16:29:46			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$5740				
RAM confirmation Number	3328				
Deposit Account	504297				
Authorized User	BENNETT, DENNIS A.				
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	Drawings-only black and t	white line drawings	3 3		3	
	Claims	4	4 4			
	Applicant Arguments/Remarks	Made in an Amendment	5	5 5		
Warnings:	•					
Information	:	-				
8	Oath or Declaration filed	20150703 Declaration pdf	156712	no	2	
Ũ			c4516e8242fe813642c9e788924fe0cbb016 39a9			
Warnings:						
Information	:					
0	Drawings-only black and white line	20150803_Replacement_Drawi	232781	20	3	
9	drawings	no 3758b55e524cbccad3058b4c0d977f854fdf 05fc				
Warnings:	·	·	·			
Information	:					
10	Fee Worksheet (SB06)	fee-info ndf	40423	no	2	
		547c92e17d0b387901745ff99a4d9ae3eda 78b1f				
Warnings:						
Information	:		1			
		Total Files Size (in bytes)	27	72971		

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### Doc Code: PA.. Document Description: Power of Attorney

PTO/AIA/82B (07-13) Approved for use through 11/30/2014, OMB 0651-0051 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE d to a collection of information unders it displays a valid OMB control number

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POWER OF ATTORNEY BY APPLICANT									
I hereby the boxe	I hereby revoke all previous powers of attorney given in the application identified in <u>either</u> the attached transmittal letter or the boxes below.								
		Application Number	lication Number Filing						
	(Note:	The boxes above may be left blank	if information is p	rovided on form PTO/AIA/					
	I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above: OR I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the patent application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above:								
Please letter o	recognize of r the boxes a The address as	r change the correspondence a above to: ssociated with the above-mentioned	address for the Customer Numbe	application identified i	in the attached transmittal				
	OR The address a: OR	ssociated with Customer Number:							
	Firm or Individual Nam	e							
Address									
City			State		Zip				
Country			1						
I elephon	e Annlicant (if the	Applicant is a juristic entity list the	Applicant name in	the box):					
Hori	zon The	erapeutics, Inc.							
	Inventor or Joi	nt Inventor (title not required below) ntative of a Deceased or Legally Inc	apacitated Invento	r (title not required below)					
	Assignee or Pe	rson to Whom the Inventor is Under	an Obligation to A	Assign (provide signer's title	e if applicant is a juristic entity)				
	Person Who O application or is	therwise Shows Sufficient Proprietar s concurrently being filed with this do	y Interest (e.g., a j cument) (provide	petition under 37 CFR 1.46 signer's title if applicant is	6(b)(2) was granted in the a juristic entity)				
SIGNATURE of Applicant for Patent									
The ur	ndersigned (who	se title is supplied below) is authorized	d to act on behalf o	f the applicant (e.g., where t	the applicant is a juristic entity).				
Signat	ure	KALK K		Date (Optional)	->////\$				
Title		$\Delta C = \Delta C = \Delta C$	eel						
NOTE and ce	: Signature - TI ertifications. If m	is form must be signed by the applica ore than one applicant, use multiple for	nt in accordance wi rms.	ith 37 CFR 1.33. See 37 CF	R 1.4 for signature requirements				
Total	of	forms are submitted.							
This collects	on of information is	required by 37 CF8 1,131, 1,32, and 1.33. Th	e information is require	d to obtain or retain a benefit by th	re public which is to file (and by the				

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a basent by the public which is to the card by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner** for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner** for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner** for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner** for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Acknowledgement Receipt				
EFS ID:	23099543			
Application Number:	14816674			
International Application Number:				
Confirmation Number:	9599			
Title of Invention:	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS			
First Named Inventor/Applicant Name:	Bruce Scharschmidt			
Customer Number:	101325			
Filer:	Lauren Stevens/Vicki Truman			
Filer Authorized By:	Lauren Stevens			
Attorney Docket Number:	HOR0026-201-C1US			
Receipt Date:	03-AUG-2015			
Filing Date:				
Time Stamp:	16:42:11			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted wit	h Payment		no							
File Listing:										
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1	Power of Attorney	Но	rizon_Therapeutics_Applica	106357	no	1				
			nt.pdf	f674dfc6ed140e4b2710f2e8e0c1dae0af85 3ed0	110					
Warnings:										
Information:										

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#### National Stage of an International Application under 35 U.S.C. 371

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#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Bruce Scharschmidt, San Francisco, CA; Masoud Mokhtarani, Walnut Creek, CA;

Applicant(s)

Horizon Therapeutics, Inc., Deerfield, IL;

Power of Attorney: The patent practitioners associated with Customer Number 101325

#### Domestic Priority data as claimed by applicant

This application is a CON of  $13/775,000\ 02/22/2013\ PAT\ 9095559$  which is a CON of  $13/417,137\ 03/09/2012\ PAT\ 8404215$  which claims benefit of  $61/542,100\ 09/30/2011$  and claims benefit of  $61/564,668\ 11/29/2011$ 

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. *Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.* 

#### If Required, Foreign Filing License Granted: 08/18/2015

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/816,674** 

Projected Publication Date: 11/26/2015

Non-Publication Request: No

Early Publication Request: No

page 1 of 3

Title

#### METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

#### **Preliminary Class**

423

#### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875								Applica 14/81	tion or Docket Num 6,674	ber	
	APPLICATION AS FILED - PART I (Column 1) (Column 2) SMALL ENTITY								OTHER THAN SMALL ENTITY		
	FOR	NUMBE	R FILED	NUMBE	R EXTRA	RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)	
BAS (37 C	SIC FEE N/A N/A		I/A	N/A		1	N/A	280			
SEA (37 C	RCH FEE FB 1.16(k), (i), or (m))	N	/A	N	I/A	N/A			N/A	600	
EXA	MINATION FEE	N	/A	N	J/A	N/A		1	N/A	720	
TOT	AL CLAIMS	3	minus 2	0 =				OR	× 80 =	0.00	
INDE		MS 1	minus 3	- *				1	× 420 =	0.00	
(37 CFR 1.16(h))       1       1       1         APPLICATION SIZE       If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(c)								0.00			
MUL	TIPLE DEPENDE	ENT CLAIM PRE	SENT (37	CFR 1.16(j))						0.00	
* If t	he difference in co	olumn 1 is less th	an zero, e	enter "0" in colur	nn 2.	TOTAL			TOTAL	1600	
		(Column 1) CLAIMS REMAINING		(Column 2) HIGHEST NUMBER	(Column 3) PRESENT	SMALL		OR		THAN ENTITY ADDITIONAL	
ENT A	Total	AFTER AMENDMENT		PREVIOUSLY PAID FOR	EXTRA	RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)	
DME	(37 CFR 1.16(i))		Minus		-	X =		OR	X =		
1EN	Independent (37 CFR 1.16(h))	*	Minus	***	-	X =		OR	X =		
ΑV	Application Size Fe	e (37 CFR 1.16(s))									
	FIRST PRESENTA	TION OF MULTIPL	E DEPEND	DENT CLAIM (37 C	FR 1.16(j))			OR			
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
		(Column 1)	,	(Column 2)	(Column 3)			-			
NT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)	
ME	Total (37 CFR 1.16(i))	*	Minus	**	=	X =		OR	X =		
DN I	Independent	*	Minus	***	=	x =		OR	X =		
AME	Application Size Fe	e (37 CFR 1.16(s))			·			1			
-	FIRST PRESENTA	TION OF MULTIPL	E DEPEND	ENT CLAIM (37 C	FR 1.16(j))			OR			
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
*	<ul> <li>If the entry in co</li> <li>If the "Highest N</li> <li>If the "Highest Nu</li> <li>The "Highest Num</li> </ul>	lumn 1 is less th lumber Previousl Imber Previously F ber Previously Paid	an the ent y Paid Fo Paid For" II For" (Total	ry in column 2, v r" IN THIS SPAC N THIS SPACE is or Independent) is	write "0" in colu CE is less than s less than 3, ent the highest found	mn 3. 20, enter "20". er "3". I in the appropriate box	in column 1.	_			

PTO/SB/06 (09-11) Approved for use through 1/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

P	ATENT APPLI	Under the ICATION F Substitute f	Paperwork F EE DETI or Form P	Reduction Act of 1995, ERMINATION TO-875	red to respond Applicatio 14	to a collection of information n or Docket Number 4/816,674	n unless it displays a v Filing Date 08/03/2015	alid OMB control number.	
					ATION AS EIL		ENTITY: 🛛 L	ARGE 🗌 SMA	
			(Column <sup>-</sup>		(Column 2)				
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	EE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), c	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A		
	EXAMINATION FE	E pr (g))	N/A		N/A		N/A		
TO (37	CFR 1.16(i))	(4))	mir	nus 20 = *			X \$ =		
IND (37	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			X \$ =		
D	APPLICATION SIZE (37 CFR 1.16(s))	FEE for frac FEE F	e specifica aper, the a small entity tion thereo R 1.16(s).	ation and drawing application size f y) for each additi of. See 35 U.S.C	gs exceed 100 s ee due is \$310 ( onal 50 sheets c . 41(a)(1)(G) and	heets \$155 or d 37			
	MULTIPLE DEPEN	IDENT CLAIM P	RESENT (3	7 CFR 1.16(j))					
* lf i	he difference in colu	ımn 1 is less tha	n zero, ente	r "0" in column 2.			TOTAL		
		(Column 1)		(Column 2)	ION AS AMEN (Column 3	IDED – P <i>I</i>	ART II		
NT	08/20/2015	CLAIMS REMAINING AFTER AMENDMENT	IG HIGHEST NUMBER PREVIOUSLY		PRESENT EX	TRA	RATE (\$)	ADDITIC	DNAL FEE (\$)
DME	Total (37 CFR 1.16(i))	* 3	Minus	** 20	= 0		x \$80 =		0
EN	Independent (37 CFR 1.16(h))	* 1	Minus	3	= 0	= 0			0
AN	Application Si	ze Fee (37 CFR	1.16(s))						
	FIRST PRESEN	ITATION OF MULT	IPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				_
		(Column 1)		(Column 2)	(Column 3	)	TOTAL ADD'L FE	E	0
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIC	DNAL FEE (\$)
EN	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
DM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
ΛEN	Application Si	ze Fee (37 CFR	1.16(s))						
AN	FIRST PRESEN	ITATION OF MULT	IPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
* If ** If *** The	TOTAL ADD'L FEE * If the entry in column 1 is less than the entry in column 2, write "0" in column 3. * If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.								

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/816,674	08/03/2015	Bruce Scharschmidt	HOR0026-201TC1-US	9599
101325 GLOBAL PAT	7590 08/27/201 ENT GROUP - HOR	5	EXAM	IINER
1005 NORTH V SUITE 404	WARSON ROAD	RAO, SAVITHA M		
SAINT LOUIS	, MO 63132		ART UNIT	PAPER NUMBER
			1621	
			NOTIFICATION DATE	DELIVERY MODE
			08/27/2015	ELECTRONIC

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

Lstevens@globalpatentgroup.com admin@globalpatentgroup.com vtruman@globalpatentgroup.com



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Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

> Doc Code: TRACK1.GRANT

	Decision Prior (Tra	Granting Request for itized Examination ck I or After RCE)	Application No.: 14/816,674						
1.	THE REQU	JEST FILED August 03, 2015	IS <b>GRANTED</b> .						
	The above-identified application has met the requirements for prioritized examination A. X for an original nonprovisional application (Track I). B. I for an application undergoing continued examination (RCE).								
2.	The above accorded s	-identified application will under pecial status throughout its entire	ergo prioritized examination. The application will be course of prosecution until one of the following occurs:						
	Α.	filing a <b>petition for extension o</b>	f time to extend the time period for filing a reply;						
	В.	filing an <b>amendment to amend</b>	the application to contain more than four independent						
		claims, more than thirty total of	claims, or a multiple dependent claim;						
	C.	filing a <b>request for continued e</b>	xamination;						
	D.	filing a notice of appeal;							
	E.	filing a request for suspension of	faction;						
	F.	mailing of a notice of allowance;							
	G.	mailing of a final Office action;							
	Н.	completion of examination as de	fined in 37 CFR 41.102; or						
	Ι.	abandonment of the application.							
	Telephone inquiries with regard to this decision should be directed to <u>JoAnne Burke</u> at <u>571-272-4584</u> . In his/her absence, calls may be directed to <u>Brian Brown</u> , <u>571-272-5338</u> .								
	/ <u>JoAnne</u> [Signatu	<u>e Burke</u> /	Paralegal Specialist, Office of Petitions						
	loignatu		(1105)						

U.S. Patent and Trademark Office PTO-2298 (Rev. 02-2012)

Office of Petitions: Dec	ision Count Sheet	Mailing Month
Application No.	14816674	* 1 4 8 1 6 6 7 4 *
For US serial numbers: enter nun For PCT: enter "51+single digit of	ber only, no slashes or commas. year of filing+last 5 numbers", Ex	Ex: 10123456 . for PCT/US05/12345, enter 51512345
Deciding Official:	BURKE, JOANNE	
Count (1) - Palm Credit Decision: GRANT	14/816,674 FINANCE WORK NEEDED	5 <b>*</b> G R A N T <b>*</b>
Decision Type: 643 - Track Or	ne request	★ 6 4 3 ★
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Count (2)		
Decision: n/a	Select Check Box for YE	is
Decision Type: NONE		<b>★</b>
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Count (3)	FINANCE WORK NEEDED	
Decision: n/a	Select Check Box for YE	S
Decision Type: NONE		
Notes:		
Initials of Approving O	fficial (if required)	If more than 3 decisions, attach 2nd count sheet & mark this box
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# **Office of Petitions: Routing Sheet**



**Application No. 14/816,674** 

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.





## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
14/816,674	08/03/2015	Bruce Scharschmidt	HOR0026-201TC1-US	9599	
101325 GLOBAL PAT	7590 11/03/201. ENT GROUP - HOR	5	EXAN	IINER	
1005 NORTH V SUITE 404	WARSON ROAD	RAO, SAVITHA M			
SAINT LOUIS	, MO 63132		ART UNIT	PAPER NUMBER	
			1621		
			NOTIFICATION DATE	DELIVERY MODE	
			11/03/2015	ELECTRONIC	

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

Lstevens@globalpatentgroup.com admin@globalpatentgroup.com vtruman@globalpatentgroup.com

	Application N 14/816,674	0.	Applicant(s	<b>S)</b> HMIDT ET AL.
Office Action Summary	Examiner SAVITHA RAC	)	<b>Art Unit</b> 1621	AIA (First Inventor to File) Status No
The MAILING DATE of this communication ap	ppears on the co	er sheet with the c	orresponder	nce address
Period for Heply A SHORTENED STATUTORY PERIOD FOR REPL THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory perioc - Failure to reply within the set or extended period for reply will, by statul Any reply received by the Office later than three months after the mailling earned patent term adjustment. See 37 CFR 1.704(b).	Y IS SET TO E 136(a). In no event, h will apply and will exp te, cause the application ing date of this commun	XPIRE <u>3</u> MONTHS owever, may a reply be tim re SIX (6) MONTHS from n to become ABANDONE lication, even if timely filed	S FROM TH hely filed the mailing date D (35 U.S.C. § 13 , may reduce any	E MAILING DATE OF of this communication. 33). /
Status				
1) Responsive to communication(s) filed on <u>08/0</u>	<u>03/2015</u> .			
A declaration(s)/affidavit(s) under 37 CFR 1	. <b>130(b)</b> was/wer	e filed on <u>.</u>		
2a) This action is <b>FINAL</b> . 2b) Thi	s action is non-	inal.		
3) An election was made by the applicant in res	ponse to a restri	ction requirement s	set forth dur	ing the interview on
; the restriction requirement and election	n have been ind	orporated into this	action.	
4) Since this application is in condition for allows	Exporte Ougur	ormal matters, pro	Secution as	to the merits is
	Ex parte Quayie	, 1935 G.D. 11, 40	00 U.G. 210.	
5)⊠       Claim(s) <u>12-14</u> is/are pending in the application         5)⊠       Claim(s) <u>12-14</u> is/are pending in the application         6)□       Claim(s) <u>12-14</u> is/are allowed.         7)⊠       Claim(s) <u>21-14</u> is/are rejected.         8)□       Claim(s) <u>21-14</u> is/are rejected to.         9)□       Claim(s) <u>are subject to restriction and/</u> * If any claims have been determined <u>allowable</u> , you may be of participating intellectual property office for the corresponding          http://www.uspto.gov/patents/init_events/pph/index.jsp       or sen         Application Papers       10)□       The specification is objected to by the Examina 11)□         The drawing(s) filed on is/are: a)□       ac         Applicant may not request that any objection to the Replacement drawing sheet(s) including the correspondence of the correspondence of the correspondence of the specification to the respondence of the specification to the respondence of the correspondence of the specification to the respondence of the specification to the responden	on. awn from consid or election requi eligible to benefit application. For m d an inquiry to <u>PF</u> er. cepted or b) $\Box$ d e drawing(s) be he ction is required if	eration. rement. rom the <b>Patent Pros</b> ore information, plea <u>Hfeedback@uspto.c</u> bbjected to by the fe eld in abeyance. See the drawing(s) is obj	Secution Hig Ise see 10V. Examiner. 9 37 CFR 1.85 ected to. See	<b>hway</b> program at a 5(a). 9 37 CFR 1.121(d).
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U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13) Office Action	n Summary		Part of Paper N	lo./Mail Date 20151029

The present application is being examined under the pre-AIA first to invent provisions.

#### **DETAILED ACTION**

Claims 12-14 are pending and are under consideration in the instant office action.

#### Information Disclosure Statement

The information disclosure statement (IDS) submitted on 08/03/2015 complies with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609. Accordingly, it has been placed in the application file and the information therein has been considered as to the merits. See attached copy of the PTO-1449.

#### Priority

This application is a continuation of application 13/775,000 dated 02/22/2013 (granted as patent 9,095,559) which is a continuation of application 13/147,317 dated 03/19/2012 (granted as a patent number 8,404,215) which claims priority under 35 U.S.C 119 (e) from provisional application serial No. 61/564668 filed 11/29/2011 and provisional application no 61/542100 filed on 09/30/2011.

#### **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the

unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the claims at issue are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the reference application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO internet Web site contains terminal disclaimer forms which may be used. Please visit http://www.uspto.gov/forms/. The filing date of the application will determine what form should be used. A web-based eTerminal Disclaimer may be filled out completely online using web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more Application/Control Number: 14/816,674 Art Unit: 1621 information about eTerminal Disclaimers, refer to http://www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

Claims 12-14 are rejected on the ground of nonstatutory double patenting over claim 3-6 of U. S. Patent No 8,404,215 ('215) and claims1-15 of U.S. Patent No 9,095,559 ('559) claims since the claims, if allowed, would improperly extend the "right to exclude" already granted in the patents.

An obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but an examined application claim not is patentably distinct from the reference claim(s) because the examined claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985).

The subject matter claimed in the instant application is fully disclosed in the patent and is covered by the patent since the patent and the application are claiming common subject matter.

Instant claims are as follows:

12. (New) A method of treating a subject with a usea cycle disorder, the method comprising: administering to the subject in need thereof glyceryl tri-[4-phenylhulyrate] in an amount sufficient to produce a fasting plasma ammonia level that is less than half the upper limit of normal for plasma ammonia level.

 (New) The method of claim 12, wherein the upper limit of normal for plasma answeria level is 35 pmol/L.

 (New) The method of claim 12, wherein the adjusted dosage of glyceryl tri-[4phenylbutyrate) is administered orally.

#### Claim 3 of '215 states as follows

3. A method of treating a subject with a nitrogen retantion disorder who has previously been administered an initial dosage of a nitrogen scavenging drug comprising:

a) measuring a fasting blood ammonia level for the subject;
b) comparing the fasting blood ammonia level to the upper limit of normal for blood ammonia level; and
c) administering an adjusted dosage of the nitrogen scavenging drug regeter than the initial dosage if the fasting blood ammonia level is greater than half the

upper limit of normal for blood ammonia level.

Dependent claims recite the nitrogen retention disorder to be urea cycle disorder ('215 claims 4) and the nitrogen scavenging drug to be glyceryl tri-(4-phenylbutyrate) (reference claim 6) which is instantly claimed. The other limitations instantly claimed in claims 13-14 are recited in the claims of parent patent '215. Although the conflicting claims are not identical, they are not patentably distinct from each other. The instant claims are rendered prima facia obvious to a person of ordinary skill in the art to utilize the specific agent instantly claimed which is taught in claim 6 of '215 in the methods of claim 1 and 3 of '215 where in the nitrogen retention disorder is an urea cycle disorder. It is also noted that the steps in following the instant method is the same as that claimed in '215.

Claims 1-3 of '559 recites as follows:

What is claimed is:

1. A method for adjusting the dosage of glyceryl tri-[4phenylbutyrate] in a subject being treated for a uree cycle disorder who has previously been administered an initial dosage of glyceryl tri-[4-phenylbutyrate] and who has a fasti ing plasma ammonia level less than the upper limit of normal

- for plasma ammonia level, the method comprising: (a) measuring a fasting plasma ammonia level for the sub-
- iect: (b) comparing the fasting plasma ammonia level to the
- upper limit of normal for plasma ammonia level; and (c) administering an adjusted dosage of glyceryl tri-{4phenylbutyrate], wherein the adjusted dosage is greater than the initial dosage if the fasting plasma ammonia level is greater than helf the upper limit of normal for plasma ammonía level.

2. A method of treating a subject with a urea cycle disorder who has previously been administered an initial dosage of glyceryl tri-{4-phenylbutyrate] and who has a fasting plasma ammonia level less than the upper limit of normal for plasma

- > smmonia level, the method comprising: (a) measuring a fasting plasma ammonia level for the sub-
  - (b) comparing the fasting plasme ammonia level to the upper limit of normal for plasma ammonia level; and
  - (c) administering an adjusted dosage of glyceryl tri-{4-
- phenyibutyrate] that is greater than the initial dosage if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level.
- 3. A method of administering givceryl tri-[4-phenylbu-> tyrate] to a subject having a urea cycle disorder, the method comprising:
  - (a) measuring a first fasting plasma ammonia level for the subject;
  - (b) comparing the first fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(c) administering an initial dosage of glyceryl tri-{4-phenylbutyrate] to the subject if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level and less than the upper limit of

normal for plasma ammonia level.

559 recites a method for treating urea cycle in a subject by administering glyceryl-tri-(4-phenylbutyrate). The other limitations instantly claimed in claims 13-14 are recited in the claims of parent patent '559. Although the conflicting claims are not identical, they are not patentably distinct from each other. The instant claims are rendered prima facia obvious to a person of ordinary skill in the art as they are both drawn to the same subject matter. It is also noted that the steps in following the instant method is the same as that claimed in '559.

Claim 12-14 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claim 1-12 of U.S. Patent No. 8,642,012 (co-pending '012)

# An obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but an examined application claim not is patentably distinct from the reference claim(s) because the examined claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985).

Instant claims 12-14 recite as stated above

Claims 1of '012 recite as follows:

1. A method of treating a patient having a usea cycle disorfer comprising (a) determining a usrget unnary phenylacetyl glutamine (PACM) output (b) calculating an effective initial dosage of a phenylacetic acid (PAA) prodrug selected from glycery: tri-14-phenylbryrstel (HPN-109) and phenylbutyric acid (PBA) or a phenmaceutically acceptable salt of PEA, wherein the effective dosage of PAA prodrug to urinary PAGN of about 60%; and (c) administering the effective initial dosage of PAA prodrug to the patient.

'012 recite a method for treating urea cycle in a subject by administering glyceryltri-(4-phenylbutyrate). The other limitations instantly claimed in claims 13-14 are recited in the claims of parent patent '012. Although the conflicting claims are not identical, they are not patentably distinct from each other. The instant claims are rendered prima facia obvious to a person of ordinary skill in the art as they are both drawn to the same Application/Control Number: 14/816,674 Page 8 Art Unit: 1621 subject matter. It is also noted that the steps in following the instant method is the same as that claimed in '012.

#### Conclusion

Claims 12-14 are rejected. No claims are allowed Any inquiry concerning this communication or earlier communications from the examiner should be directed to SAVITHA RAO whose telephone number is (571)270-5315. The examiner can normally be reached on Mon-Fri 7 am to 4 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Melanie McCormick can be reached at 571-272-8037. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <u>http://pair-direct.uspto.gov</u>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/SAVITHA RAO/ Primary Examiner, Art Unit 1621



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## **BIB DATA SHEET**

#### **CONFIRMATION NO. 9599**

SERIAL NUM	IBER	FILING	371(c)		CLASS	GR	OUP ART	UNIT	АТТС	RNEY DOCKET
14/816,67	'4	08/03/2	015		424		1621		HOR	026-201TC1-US
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APPLICANT Horizon T	APPLICANTS Horizon Therapeutics, Inc., Deerfield, IL:									
INVENTORS Bruce Sc Masoud I	INVENTORS Bruce Scharschmidt, San Francisco, CA; Masoud Mokhtarani, Walnut Creek, CA;									
** CONTINUIN This appl wh wh and ** FOREIGN A	** CONTINUING DATA **********************************									
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Approved for use through 03/31/2007. OMB 0651-0031

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	Data Submitted:	March	10 0010	First Named Inventor	Bruce Scharschmidt	
	Date Submitted.	March	12, 2012	Art Unit	TBA	
(use as many sheets as necessary)			Examiner Name	TBA		
Sheet	1	of	13	Attorney Docket Number	HOR0026-201C1-US	

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Document Number	Publication or Issue	Name of Datantas or	Pages, Columns,					
Number-Kind Code <sup>2</sup> ( <i>if</i> known) Tublication of issue Date MM-DD-YYYY		Applicant of Cited Document	Passages or Relevant Figures Appear					
,457,942	07-03-1984	Brusilow, S.W.						
654 333	09.05.1007	The United States Of						

ner Initials*	1 1	Number-Kind Code <sup>2</sup> ( <i>if</i> <i>known</i> )	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear
	P1	4,457,942	07-03-1984	Brusilow, S.W.	
	P2	5,654,333	08-05-1997	The United States Of America As Represented By The Department Of Health And Human Services	
	P3	8,094,521	01-10-2012	Nightengale Products LLC	
	P4	8,404,215	03-26-2013	Hyperion Therapeutics, Inc.	
	P5	2003/0195255	10-16-2003	Marshall L. Summar	
	P6	2005/0273359	12-08-2005	Young, D.E.	
	P7	2010/0016207	01-21-2010	Wurtman, RJ et al	
	P8	2013/0281530	10-24-2013	Scharschmidt, B et al	
	P9	2014/0142186	05-22-2014	Hyperion Therapeutics, Inc.	
	P10	6,219,567	4/17/2001	EGGERS	
	P11	8,642,012	2/4/2014	SCHARSCHMIDT	
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	P15	2013/0210914	8/15/2013	SCHARSCHMIDT	
	P16	2008/0119554	5/22/2008	JALAN	

Examiner	Data	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ALL REFERENCES GONSIDERED EXCEPTOWHERE MINED THROUGH. /S.R./

# 14816674 GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Application Number	To Be Assigned	
					Filing Date	TBA	
	Data Submitted: March 10, 2010				First Named Inventor	Bruce Scharschmidt	
	Date Submitted.	viaiuii	12,2012		Art Unit	TBA	
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			FOREIGN PATENT D	OCUMENTS		
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ner Initials*	Cite No.1	Country Code <sup>3.</sup> Number <sup>4.</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Relevant Passages or Relevant Figures Appear	Т <sup>6</sup>
	F1	WO1994/22494	10-13-1994	The DuPont Merck Pharmaceutical Company		
	F2	WO2013/048558	04-04-2013	Hyperion Therapeutics, Inc.		
	F3	WO2013/158145	10-24-2013	Hyperion Therapeutics, Inc.		
	F4	WO2007/005633				
	F5	WO2009/087474	7/16/2009	Akthelia Pharmaceuticals		
	F6	WO2012/028620				

		NON PATENT LITERATURE DOCUMENTS	
Exami ner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	L <sub>6</sub>
	D1	AMODIO, P., et al., "Detection of Minimal Hepatic Encephalopathy: Normalization and Optimization of the Psychometric Hepatic Encephalopathy Score. A Neuropsychological and Quantified EEG Study," J. Hepatol. 49:346-353 (2008).	
	D2	ANDA Notice Letter, Par Pharmaceutical, Inc. to Hyperion Therapeutics, inc Re: Glycerol Phenylbutyrate 1.1 gm/ml oral liquid; United States Patent Nos. 8,404,215 and 8,642,012 Notice of Paragraph IV Certification March 12, 2014.	
	D3	BAJAJ, J. S., et al., "Review Article: The Design of Clinical Trials in Hepatic Encephalopathy -An International Society for Hepatic Encephalopathy and Nitrogen Metabolism (ISHEN) Consensus Statement," Aliment Pharmacol Ther. 33 (7):739-747 (2011).	
	D4	Barsotti, Measurement of Ammonia in Blood, 138 J. Pediatrics, S11-S20 (2001)	
	D5	Batshaw, et al., Treatment of Carbamyl Phosphate Synthetase Deficiency with Keto Analogues of Essential Amino Acids, 292 The New England J. Medicine, 1085 390 (1975)	
	D6	Batshaw, M. L. et. al., Alternative Pathway Therapy for Urea Cycle Disorder: Twenty Years Later, 138 J. Pediatrics S46 (2001).	

Examiner	Date	
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Signature	Considered	
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	D77	Scientific Discussion for Ammonaps, EMEA 2005, available at http://www.ema.europa.eu/docs/en_GB/document_library/EPAR Scientific Discussion/human/000219/WC500024748.pdf					
	D78	Scottish Medicines Consortium, Carglumic Acid 200 mg Dispersible Tablets (Carbaglu®) No. 299/06 (Sept. 8, 2006).					

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	Data Submitted: N	Jaroh	10 2012	First Named Inventor	Bruce Scharschmidt			
	Date Submitted.	viaitui	12, 2012	Art Unit	TBA			
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	D79	Seakins, J.W.T., The Determination of Urinary Phenylacetylglutamine as Phenylacetic Acid: Studies on its Origin in Normal Subjects and Children with Cystic Fibrosis, 35 Clin. Chim. Acta.121 (1971).				
	D80	Search and Examination Report for British Patent Application No. GB 0915545.8, dated Oct. 8, 2009, 5 pages.				
	D81	Sherwin, C. et al., The Maximum Production of Glutamine by the Human Body as Measured by the Output of Phenylacetylglutamine, 37 J. Biol. Chem. 113 (1919).				
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	D84	Summar, M. and Tuchman, M., Proceedings of a Consensus Conference for the Management of Patients with Urea Cycle Disorders, 138 J. Pediatrics S6 (2001).				
	D85	Summar, M., Urea Cycle Disorders Overview, Gene Reviews, www.genetests.org (Apr. 2003).				
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	D87	The National Organization for Rare Disorders (2012). The Physician's Guide to Urea Cycle Disorders, at http://nordphysicianguides.org/wp- content/uploads/2012/02/NORD Physician Guide to Urea Cycle Disorders.pdf				
	D88	Todo, S. et al., Orthotopic Liver Transplantation for Urea Cycle Enzyme Deficiency, 15 Hepatology 419 (1992).				
	D89	Tuchman, M., and Yudkoff, M., Blood Levels of Ammonia and Nitrogen Scavenging Amino Acids in Patients with Inherited Hyperammonemia, 66 Molecular Genetics and Metabolism 10-15 (1999).				
	D90	UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written Opinion dated January 16, 2015 for PCT/US14/58489.				

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	D91	ONITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written Opinion for PCT/ US2014/060543 dated January 23, 2015.				
	D92	VILSTRUP, H., et al., "Hepatic Encephalopathy in Chronic Liver Disease: 2014 Practice Guideline by the American Association for the Study of Liver Diseases and the European Association for the Study of the Liver," Hepatology 60 (2):715-735 (2014).				
	D93	Walsh et al., Chemical Abstract vol. 112, No. 231744				
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	D104	BRAHE, C., et al., (2005) "Phenylbutyrate Increases SMN Gene Expression in Spinal Muscular Atrophy Patients," <i>Eur J Hum Genet</i> 13:256-259.					
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	D115	MERCURI, E., et al., (2004) "Pilot Trial of Phenylbutyrate in Spinal Muscular Atrophy," <i>Neuromuscul Disord</i> 14:130-135.					
	D116	MOKHTARANI, M., et al., (2012) "Elevated Phenylacetic Acid (PAA) Levels Appear Linked to Neurological Adverse Events in Healthy Adults But Not in Urea Cycle Disorder (UCD) Patients," <i>Mol Genet Metab</i> 105:342.					
	D117	MOLDAVE, K., et al., (1957) "Synthesis of Phenylacetylglutamine by Human Tissue," J. Biol. Chem. 229:463-476.					
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	D126	Examination Report for British Patent Application No. GB1013468.2 dated October 28, 2011.					
	D127	International Preliminary Report on Patentability (Ch I) for PCT/US2012/028620 completed June 4, 2012 and mailed on April 10, 2014.					
	D128	International Preliminary Report on Patentability (Ch II) for PCT/US2012/028620, completed August 22, 2013 and mailed September 4, 2013.					
	D129	UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written Opinion for PCT/US2009/030362 mailed March 2, 2009.					
	D130	UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written Opinion for PCT/US2012/028620 mailed June 20, 2012.					
	D131	UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written Opinion for PCT/US2012/54673 mailed November 20, 2012.					
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	Examiner Signature	/Savitha Rao/	Date Considered	10/29/2015
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## EAST Search History (Prior Art)

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S8	0	S1 and nitrogen	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/11/15 14:08
S9	8	S7 and nitrogen	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/11/15 14:08
S10	2	S9 and scavenging	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/11/15 14:08
S11	109	"nitrogen scavenging"	US-PGPUB; USPAT; USOCR;	OR	OFF	2012/11/15 14:12

	II	<u> </u>	DERWENT			
S12	4	S11 and PAA	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/11/15 14:12
S13	4	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/11/15 14:13
S14	9	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/11/15 14:13
S15	18	("4284647"   "6083984"   "6050510"   "6219567"   "20040229948"   "20080119554"   "20060135612"   "5968979"   "20100008859").PN.	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/11/16 07:11
S16	2	S15 and "nitrogen scavenging"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/11/16 07:11
S17	1	("6083984").PN.	USPAT; USOCR	OR	OFF	2012/11/16 07:12
S18	9	((Saul) near2 (Brusilow)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/11/16 07:13
S19	0	"13417137".rlan. or ("13".src. and "417137".ap.)	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 10:56
S20	4	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 10:56
S21	0	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 10:56
S22	9	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/12/20 10:56
S23	0	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 10:56
S24	0	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/12/20 10:56
\$25	18	("20040229948"   "20060135612"   "4284647"   "6083984"   "20080119554"   "6219567"   "20100008859"   "6050510"   "5968979"   "20100008859"	US-PGPUB; USPAT; USOCR; FPRS; EPO;	OR	OFF	2012/12/20 10:56

		"6219567").PN.	JPO; DERWENT; IBM_TDB			
S26	0	S19 and nitrogen	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 10:56
S27	8	S25 and nitrogen	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 10:56
S28	2	S27 and scavenging	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 10:56
S29	109	"nitrogen scavenging"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 10:56
S30	4	S29 and PAA	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 10:56
S31	4	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 10:56
S32	9	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/12/20 10:56
S33	18	("4284647"   "6083984"   "6050510"   "6219567"   "20040229948"   "20080119554"   "20060135612"   "5968979"   "20100008859").PN.	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 10:56
S34	2	S33 and "nitrogen scavenging"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 10:56
S35	1	("6083984").PN.	USPAT; USOCR	OR	OFF	2012/12/20 10:56
S36	9	((Saul) near2 (Brusilow)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 10:56
S37	0	"13417137".rlan. or ("13".src. and "417137".ap.)	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 16:43
S38	4	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 16:43
S39	0	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 16:43
S40	9	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT;	OR	OFF	2012/12/20 16:43

			USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			
S41	0	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 16:43
S42	0	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/12/20 16:43
S43	18	("20040229948"   "20060135612"   "4284647"   "6083984"   "20080119554"   "6219567"   "20100008859"   "6050510"   "5968979"   "20100008859"   "6219567").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/12/20 16:43
S44	0	S37 and nitrogen	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 16:43
S45	8	S43 and nitrogen	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 16:43
S46	2	S45 and scavenging	US-PGPUB;	OR	OFF	2012/12/20
			USPAT; USOCR; DERWENT	-		16:43
S47	109	"nitrogen scavenging"	USPAT; USOCR; DERWENT US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	16:43 2012/12/20 16:43
S47 S48	109	"nitrogen scavenging" S47 and PAA	USPAT; USOCR; DERWENT US-PGPUB; USPAT; USOCR; DERWENT US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	16:43 2012/12/20 16:43 2012/12/20 16:43
S47 S48 S49	109 4 4	"nitrogen scavenging" S47 and PAA ((BRUCE) near2 (SCHARSCHMIDT)).INV.	USPAT; USOCR; DERWENT US-PGPUB; USPAT; USOCR; DERWENT USPAT; USOCR; DERWENT US-PGPUB; USPAT; USPAT; USOCR	OR OR OR	OFF OFF OFF	16:43   2012/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43
S47 S48 S49 S50	109 4 4 9	"nitrogen scavenging" S47 and PAA ((BRUCE) near2 (SCHARSCHMIDT)).INV. ((BRUCE) near2 (SCHARSCHMIDT)).INV.	USPAT; USOCR; DERWENT US-PGPUB; USPAT; USOCR; DERWENT US-PGPUB; USPAT; USOCR; DERWENT US-PGPUB; USPAT; USOCR US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR OR OR OR	OFF OFF OFF	16:43   2012/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43
S47 S48 S49 S50 S51	109 4 9 18	"nitrogen scavenging" S47 and PAA ((BRUCE) near2 (SCHARSCHMIDT)).INV. ((BRUCE) near2 (SCHARSCHMIDT)).INV. ((BRUCE) near2 (SCHARSCHMIDT)).INV. ("4284647"   "6083984"   "6050510"   "6219567"   "20040229948"   "20080119554"   "20060135612"   "5968979"   "20100008859").FN.	USPAT; USOCR; DERWENT US-PGPUB; USPAT; USOCR; DERWENT US-PGPUB; USPAT; USOCR; DERWENT US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB US-PGPUB; USPAT; USOCR; DERWENT; USOCR; DERWENT;	OR OR OR OR	OFF OFF OFF OFF	10:12/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43   2012/12/20   16:43

S53	1	("6083984").PN.	USPAT; USOCR	OR	OFF	2012/12/20 16:43
S54	9	((Saul) near2 (Brusilow)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 16:43
S55	0	"13417137".rlan. or ("13".src. and "417137".ap.)	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 16:43
S56	4	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 16:43
S57	0	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 16:43
S58	9	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/12/20 16:43
S59	0	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 16:43
S60	0	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/12/20 16:43
S61	18	("20040229948"   "20060135612"   "4284647"   "6083984"   "20080119554"   "6219567"   "20100008859"   "6050510"   "5968979"   "20100008859"   "6219567").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/12/2 16:43
S62	0	S55 and nitrogen	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/2 16:43
S63	8	S61 and nitrogen	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 16:43
S64	2	S63 and scavenging	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 16:43
S65	109	"nitrogen scavenging"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 16:43
S66	4	S65 and PAA	US-PGPUB; USPAT; USOCR;	OR	OFF	2012/12/2 16:43

S67	4	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 16:43
S68	9	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/12/20 16:43
S69	18	("4284647"   "6083984"   "6050510"   "6219567"   "20040229948"   "20080119554"   "20060135612"   "5968979"   "20100008859").PN.	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 16:43
S70	2	S69 and "nitrogen scavenging"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 16:43
S71	1	("6083984"). <b>PN</b> .	USPAT; USOCR	OR	OFF	2012/12/20 16:43
S72	9	((Saul) near2 (Brusilow)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 16:43
S73	0	"14816674".rlan. or ("14".src. and "816674".ap.)	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/10/28 17:33
S74	64	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/10/28 17:33
S75	29	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/10/28 17:33
S76	51	("20030195255"   "20050273359"   "20080119554"   "20100008859"   "20100016207"   "20120022157"   "20130210914"   "20130281530"   "20140142186"   "4457942"   "5654333"   "6219567"   "8094521"   "8404215"   "8642012"   "9078865").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/10/29 09:56
S77	2	"US 9095559"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/10/29 09:57
S78	4	"US 8404215"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/10/29 09:57
S79	135	"nitrogen scavenging"	US-PGPUB; USPAT; USOCR;	OR	OFF	2015/10/29 09:59

I			DERWENT		l	l
S80	11	S76 and S79	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/10/29 09:59
S81	4	"US 8642012"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/10/29 10:02

## EAST Search History (Interference)

< This search history is empty>

10/ 29/ 2015 10:40:47 AM H:\ EAST - WKSP\ Workspaces\ 14 applications\ 14816674.wsp

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	14816674	SCHARSCHMIDT ET AL.
	Examiner	Art Unit
	SAVITHA RAO	1621

CPC- SEARCHED		
Symbol	Date	Examiner
A61K31/216 OR G01N31/221 OR Y10T436/175383	5/14/2015	SR

<b>CPC COMBINATION SETS - SEARCHED</b>					
Symbol Date Examiner					

US CLASSIFICATION SEARCHED					
Class	Subclass	Date	Examiner		
424	9.2	10/29/2015	SR		
514	432, 433, 544, 570, 533	10/29/2015	SR		
436	4,113	10/29/2015	SR		

SEARCH NOTES				
Search Notes	Date	Examiner		
eaST search (See attached)	10/29/2015	SR		
Inventor search in EAST and PALM	10/29/2015	SR		
Reviewed STN searches from the Parent application, further NPL search	10/29/2015	SR		
in Google				

INTERFERENCE SEARCH					
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner		
A61K	31/216	10/29/2015	SR		
G01N	31/221	10/29/2015	SR		
Y10T	436/175383	10/29/2015	SR		
424	9.2	10/29/2015	SR		
514	533, 432, 433, 544, 570	10/29/2015	SR		
435	4, 113	10/29/2015	SR		

	/SAVITHA RAO/ Primary Examiner.Art Unit 1621

U.S. Patent and Trademark Office

Part of Paper No.: 20151029

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## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# Title: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

Application. No.: 14/816,674

Filing Date: August 3, 2015

Examiner: Savitha M. Rao

Art Unit: 1621

Confirmation 9599 Number:

#### RESPONSE

## ELECTRONICALLY VIA EFS-WEB

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Sir:

Applicant responds to the Office action mailed November 3, 2015. Claims 12-14 are pending.

The claims are rejected on the ground of nonstatutory double patenting over claims 3-6 of U.S. Patent No. 8,404,215 and claims 1-15 of U.S. Patent No. 9,095,559. The claims are also rejected on the ground of nonstatutory double patenting over claims 3-6 of U.S. Patent No. 8,642,012. Solely to expedite prosecution and without in any way conceding to the rejections, Applicant submits herewith terminal disclaimers over those patents. Applicant requests that the rejection be withdrawn.

Applicant believes that the present application is now in condition for allowance. Favorable consideration of the application as amended is respectfully requested. If new issues of patentability are raised, the Examiner is invited to call or email the undersigned and arrange for an opportunity to discuss these issues.

Respectfully submitted,

By /Lauren L. STEVENS/

Lauren L. Stevens Attorney for Applicant Registration No. 36,691 Istevens@globalpatentgroup.com Atty Docket No.: HOR0026-201TC1-US

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	Scharschmidt et al.	)
			) Group Art Unit:
Serial No.	:	14/816,674	) 1621
			)
Filed	:	August 3, 2015	)
			) Examiner:
			) Rao, Savitha M.

# Title: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

## NOTICE OF RELATED LITIGATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Further to the Notice of Related Litigation filed August 3, 2015, Applicant hereby notifies the U.S. Patent and Trademark Office that the subject matter of the present application is involved in litigation in the United States.

Specifically, Lupin Ltd. ("Lupin") sent a PIV notice letter to Horizon Therapeutics, Inc. ("Horizon") on Sept. 4, 2015 providing notice that Lupin had filed an Abbreviated New Drug Application ("ANDA") with respect to RAVICTI<sup>®</sup> (Glycerol Phenylbutyrate) Oral Liquid, with a certification under 21 U.S.C. § 355(j)(2)(A)(vii)(IV) ("Paragraph IV") alleging that U.S. Patent Nos. 8,404,215 and 8,642,012 are invalid, unenforceable and/or will not be infringed by the commercial manufacture, use or sale of the Lupin drug product.

Under 21 U.S.C. § 355(j)(5)(B)(iii), Horizon had forty-five days from receipt of the ANDA notice letter to file suit against Lupin for patent infringement. Accordingly, on October 19, 2015, Horizon brought suit on those patents against Lupin Ltd. And Lupin Pharmaceuticals, Inc. in the United States District Court for the District of New Jersey. The Complaint alleged that Lupin infringes U.S. Patent Nos. 8,404,215 and 8,642,012. The subject application is a

Atty Docket No.: HOR0026-201TC1-US

continuation of U.S. Patent No. 8,404,215. The Complaint is provided with an SB-08 filed concurrently herewith.

Respectfully submitted,

By /Lauren L. STEVENS/

Lauren L. Stevens Attorney for Applicant Registration No. 36,691 (650) 387-3813 Attorney Docket No.: HOR0026-201C1-US

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	Scharschmidt et al.	)
			) Group Art Unit:
Serial No.	:	14/816,674	) 1621
			)
Filed	:	8/03/2015	)
			) Examiner:
			) Rao, Savitha M.

# Title: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.561 ELECTRONICALLY VIA EFS-WEB

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Applicant requests that, in accordance with 37 CFR §1.98(d), the Examiner review all applications relied on for an earlier effective filing date under 35 U.S.C. 120, including application no. 13/775,000, filed February 22, 2013, now U.S. Patent No. 9,095,559, and application no. 13/417,137, filed March 9, 2012, now U.S. Patent 8,404,215, for copies of

#### Attorney Docket No.: HOR0026-201C1-US

references of record therein that are not being provided here; although Applicant would be pleased to provide copies of any such documents at the Examiner's request.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

The Commissioner is hereby authorized to charge any fees which may be due to Deposit Account No. 50-4297.

Respectfully submitted,

By /Lauren L. STEVENS/

Lauren L. Stevens Attorney for Applicant Registration No. 36,691 (650) 387-3813

Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

control number.							
$\frown$	Substitute for for	rm 144	9/PTO	Complete if Known			
	INFORMATION [	DISCL	OSURE	Application Number	14/816674		
	STATEMENT BY	APP (	LICANT	Filing Date	8/03/2015		
				First Named Inventor	Bruce Scharschmidt		
				Art Unit	1621		
(use as many sheets as necessary)			necessary)	Examiner Name	Rao, Savitha M.		
Sheet	1	of	10	Attorney Docket Number	HOR0026-201TC1-US		

U.S. PATENT DOCUMENTS							
Exami	Cite	Document Number	Publication or Issue	Name of Datantas or	Pages, Columns,		
ner Initials*	No. 1	Number-Kind Code <sup>2</sup> ( <i>if</i> <i>known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear		
	P1	2004/0229948	11/18/2004	SUMMAR et al.			
	P2	2006/0135612	06/22/2006	FERRANTE			
	P3	2012/0220661	08/30/2012	LEE			
	P4	4,284,647	08/18/1981	BRUSILOW et al.			
	P5	5,968,979	10/19/1999	BRUSILOW			
	P6	6,060,510	05/09/2000	BONNEWITZ			
	P7	6,083,984	07/04/2000	BRUSILOW			
	P8	2015/0094278	03/26/2015	SCHARSCHMIDT et al.			
	P9	2015/0105469	04/16/2015	SCHARSCHMIDT et al.			

			FOREIGN PATENT D	OCUMENTS	FOREIGN PATENT DOCUMENTS									
Evami		Foreign Patent Document			Pages, Columns, Lines, Where									
Initials*		Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Relevant Passages or Relevant Figures Appear	T6								
	F1	WO2005/053607	06/16/2005	MEDICIS PHARMACEUTICAL CORP.										
	F2	WO2006/056794	06/01/2006	UCL BUSINESS PLC										
	F3	WO2009/134460	11/05/2009	HYPERION THERAPEUTICS										
	F4	WO2010/025303	03/04/2010	HYPERION THERAPEUTICS										

Examiner	Data	
Signature		
Ŭ	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

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r.	INFORMATION I	DISCL	OSURE	Application Number	14/816674	
	STATEMENT BY	APP	LICANT	Filing Date	8/03/2015	
				First Named Inventor	Bruce Scharschmidt	
				Art Unit	1621	
(use as many sheets as necessary)				Examiner Name	Rao, Savitha M.	
Sheet	2	of	10	Attorney Docket Number	HOR0026-201TC1-US	

		NON PATENT LITERATURE DOCUMENTS	
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	D1	ANDA Notice Letter, Lupin Ltd. to Horizon Therapeutics, Inc Re: Notification of Invalidity, Unenforceability, and/or Noninfringement for U.S. Patent Nos. 8,404,215 and 8,642,012 Pursuant to § 505(j)(2)(B)(ii) and (iv) of the Federal Food, Drug, and Cosmetic Act, Sept. 4, 2015	
	D2	AHRENS, M. et al. (January 2001). "Consensus Statement From a Conference for the Management of Patients With Urea Cycle Disorders." Supp. Journal of Pediatrics 138(1):S1-S5.	
	D3	AMBROSE, A.M. et al. (1933). "Further Studies on the Detoxification of Phenylacetic Acid," J. Bio. Chem. 101:669-675.	
	D4	BATSHAW, M.L. et al. (December 1980). "Treatment of Hyperammonemic Coma Caused by Inborn Errors of Urea Synthesis," J. Pediatr. 97(6):893-900.	
	D5	BATSHAW M.L. et al. (June 10, 1982). "Treatment of Inborn Errors of Urea Synthesis: Activation of Alternative Pathways of Waste Nitrogen Synthesis and Excretion," N. Engl. J. Med. 306(23):1387-1392.	
	D6	BATSHAW, M.L. (1984). "Hyperammonemia," in Current Problems in Pediatrics, Lockhart, J.D. ed.: Year Book Medical Publishers, pp. 2-69.	
	D7	BERRY, G. T., et al., "Long-Term Management of Patients with Urea Cycle Disorders," J. Pediatrics (2001) 138:S56-S61.	
	D8	BRUSILOW, S., et al., "Amino Acid Acylation: A Mechanism of Nitrogen Excretion in Inborn Errors of Urea Synthesis," Science 207:659-661 (1980).	
	D9	BRUSILOW, S. W., et al., "Phenylacetylglutamine May Replace Urea as a Vehicle for Waste Nitrogen Excretion," Pediatr. Res. 29:147-150 (1991).	
	D10	BRUSILOW, S.W. et al. (September 1,1979). "New Pathways of Nitrogen Excretion in Inborn Errors of Urea Synthesis," Lancet 2(8140):452- 454.	
	D11	BRUSILOW, S.W. (June 21,1984). "Treatment of Episodic Hyperammonemia in Children With Inborn Errors of Urea Synthesis," N. Engl. J. Med. 310(25):1630-1634.	

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				Art Unit	1621		
(use as many sheets as necessary)				Examiner Name	Rao, Savitha M.		
Sheet	3	of	10	Attorney Docket Number	HOR0026-201TC1-US		

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	U12	BRUSILOW, S.W. (Amendment Dated July 25, 1994). "Protocols for Management of Intercurrent Hyperammonemia in Patients with Urea Cycle Disorders," FDA Application to Market A New Drug for Human Use or an Antibiotic Drug for Human Use, Fourteen pages.	
	D13	BRUSILOW, S. et al. (1991). "Treatment of Urea Cycle Disorders," Chapter 5 in Treatment of Genetic Diseases, Desnik, R.J. et al. eds, Churchill Livingstone, New York, New York, pp. 79-94.	
	D14	BRUSILOW, S.W. et al. (1995). "Urea Cycle Enzymes," Chapter 32 in The Metabolic and Molecular bases of Inherited Diseases, Scriver, C.R. et al. eds., McGraw-Hill, Inc. New York, New York, pp.1187-1232.	
	D15	BRUSILOW, S.W., et al. (1996)." Urea Cycle Disorders: Diagnosis, Pathophysiology, and Therapy," Adv. Pediatr. 43:127-170.	
	D16	BRUSILOW, S.W., et al. (1995). "Urea Cycle Disorders: Clinical Paradigm of Hyperammonemic Enecphalopathy," Progress in Liver Diseases (1995) 12:293-309.	
	D17	BRUSILOW, S. W., et al., "Restoration of Nitrogen Homeostasis in a Man with Ornithine Transcarbamylase Deficiency," J. Metabolism (1993) 42:1336-1339.	
	D18	CALLOWAY, D.H. et al. (1971). "Sweat and Miscellaneous Nitrogen Losses in Human Balance Studies," J. Nutrition 101:775-786.	
	D19	CALLOWAY, D.H. et al. (1971). "Variation in Endogenous Nitrogen Excretion and Dietary Nitrogen Utilization as Determinants of Human Protein Requirements," J. Nutrition 101:205- 216.	
	D20	CAMACHO, L.H. et al. (2007, e-pub. October 20,2006). "Phase I Dose Escalation Clinical Trial of Phenyl butyrate Sodium Administered Twice Daily to Patients With Advanced Solid Tumors," Invest. New Drugs 25:131-138.	
	D21	CHANG JG., et al., "Treatment of Spinal Muscular Atrophy by Sodium Butyrate," PNAS USA (2001) 98(17):9808-9813.	
	D22	ClinicalTrials.Gov/Archive View of NCT00551200 on 2007 12 11 "Dose- Escalation Safety Study of Glyceryl Tri (4-Phenylbutyrate)(GT4P) to Treat Urea Cycle Disorders" [accessed 5 October 2009], 4 pages.	

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Signature	Considered

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Sheet	4	of	10	Attorney Docket Number	HOR0026-201TC1-US	

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	D23	Combined Search and Examination Report mailed on September 9, 2010, for Great Britain Patent Application No. 1013468.2, filed on August 27, 2009, six pages.	
	D24	Combined Search and Examination Report mailed on October 9, 2009, for Great Britain Patent Application No. GB0915545.8, filed on August 27, 2009, eight pages.	
	D25	'Complaint for Patent Infringement', Hyperion Therapeutics, Inc. v. Par Pharmaceuticals, Inc. Filed in U.S. District Court for the Eastern District of Texas, April 23, 2014.	
	D26	'Complaint for Patent Infringement', Horizon Therapeutics, Inc. v. Lupin Ltd. and Lupin Pharmaceuticals Inc. Filed in U.S. District Court for the District of New Jersey, October 19, 2015.	
	D27	COMTE, B., et al., "Identification of Phenylbutyrylglutamine, A new Metabolite of Phenylbutyrate Metabolism in Humans," Journal of Mass Spectrometry (2002) 37(6):581- 590.	
	D28	DARZENS, G. et al.: "Preparation de quelques glycerides phenylaliphatiques et leur reduction en alcools ", COMPTES RENDUS HEBDOMADAIRES DES SEANCES DE L'ACADEMIE DES SCIENCES., vol. 205, 18 October 1937, pgs. 682-684.	
	D29	DEFERRARI, G. et al. (1981). "Brain Metabolism of Amino Acids and Ammonia in Patients with Chronic Renal Insufficiency," Kidney International 20:505-510.	
	D30	DIAZ, G.A., et al., "Phase 3 Blinded, Randomized, Crossover Comparison of Sodium Phenylbutyrate (NaPBA) and Glycerol Phenylbutyrate (GPB): Ammonia (NH3) Control in Adults with Urea Cycle Disorders (UCDs)," Mol. Genet. Metab. 102:276 (2011).	
	D31	DIAZ G.A.et al, "Ammonia (NH3) control and improved neurocognitive outcome among urea cycle disorder (UCD) patients treated with glycerol phenylbutyrate (GPB)." Mol. Genet. Metab. 2012, 105, 311, SIMD Abstract 24.	
	D32	Examination Report mailed on October 27, 2010, for United Kingdom Patent Application No. GB0915545.8, filed on August 27,2009, two pages.	
	D33	Examination Report mailed February 5, 2010, for United Kingdom Patent Application No. GB0915545.8, filed on August 27,2009, two page.	
	D34	Examination Report mailed May 11, 2010, for United Kingdom Patent Application No. GB0915545.8, filed on August 27,2009, one page.	

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				First Named Inventor	Bruce Scharschmidt				
				Art Unit	1621				
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	D35	FDA Label for Ammonul®, sixteen pages (Feb. 2005).					
	D36	FDA. (August 2003). "Buphenyl® (Sodium Phenylbutyrate) Label" nine pages.					
	D37	FDA Label for BUPHENYL, 6 pages.					
	D38	GARGOSKY, S. (2006). "High Ammonia Levels Are Associated With Increased Mortality and Coma," Ucyclyd Pharma, Inc., one page.					
	D39	GARGOSKY, S. et al. (October 14, 2005). "Results of a Twenty-two Year Clinical Trial: Actue, Adjunctive Pharmacological Treatment of Hyperammonemic Episodes in Patients with Deficiencies in Enzymes of the Urea Cycle," poster, Ucyclyd Pharma, Inc., one page.					
	D40	GARGOSKY, S. (August 2, 2005). "Improved Survival of Neonates Following Administration of Ammonul® (Sodium Phenyl acetate & Sodium Benzoate) 10% 110% Injection," SSIEM Poster, six pages.					
	D41	GHABRIL, M., et al., "Glycerol Phenylbutyrate (GPB) Administration in Patients with Cirrhosis and Episodic Hepatic Encephalopathy (HE)," accepted for presentation at Digestive Disease Week, 2012.					
	D42	GROPMAN, A. L., et al., "1 H MRS Allows Brain Phenotype Differentiation in Sisters with Late Onset Ornithine Transcarbamylase Deficiency (OTCD) and Discordant Clinical Presentations," Mol. Genet. Metab. 94(1):52-60 (2008).					
	D43	GROPMAN, A.L., et al., "1 H MRS Identifies Symptomatic and Asymptomatic Subjects with Partial Ornithine Transcarbamylase Deficiency," Mol. Genet. Metab. 95:21-30 (2008).					
	D44	HYPERION THERAPEUTICS. (March 30, 2009). "Hyperion Therapeutics Announces Results for Phase II Study in Urea Cycle Disorders," located at <http: 1238518388,="" pr="" press="" release="" www.hyperiontx.com=""> last visited on April 27, 2011, three pages.</http:>					

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	D45	HYPERION THERAPEUTICS. (June 2, 2009.) "Hyperion Therapeutics Announces Results of Phase I Study in Patients with Liver Cirrhosis" located at <http: 1243891161="" pr="" press="" release="" www.hyperiontx.com="">, last visited on April 27, 2011, three pages.</http:>	
	D46	International Preliminary Report on Patentability mailed on March 1, 2011, for PCT Application No. PCT/US2009/030362, filed on January 7, 2009, seven pages.	
	D47	International Preliminary Report on Patentability mailed on March 1, 2011, for PCT Application No. PCT/US2009/055256, filed on August 27, 2009, six pages.	
	D48	JAMES, M.O. et al. (1972). "The Conjugation of Phenylacetic Acid in Man, Sub-Human Primates and Some Other Non-Primates Species," Proc. R. Soc. London 182:25-35.	
	D49	JOHN, B.A. et al. (March 2009). "The Disposition of HPN-100, A Novel Pharmaceutical Under Development for Potential Treatment of Hyperammonemia, in Cynomologus Monkeys," abstract presented at ACMG 2009, one page.	
	D50	JOHN, B.A. et al. (March 2009). "The Disposition of HPN-100, A Novel Pharmaceutical Under Development for Potential Treatment of Hyperammonemia, in Cynomolgus Monkeys," ACMG 2009 ADME, poster, two pages.	
	D51	KASUMOV, T., et al., "New Secondary Metabolites of Phenylbutyrate in Humans and Rats," Drug Metabolism and Disposition (2004) 32(1):10-19.	
	D52	LEA et al., "Butyramide and Monobutyrin: Growth Inhibitory and Differentiating Agents", ANTICANCER RES., 13: 145-150 (1993).	
	D53	LEE, B. et al. (August 2009). "Dosing and Therapeutic Monitoring of Ammonia Scavenging Drugs and Urinary Phenylacetylglutamine (PAGN) as a Biomarker; Lessons From a Phase 2 Comparison of A Novel Ammonia Scavenging Agent With Sodium Phenylbutyrate (NaPBA),".abstract presented at ICIEM 2009, San Diego, CA, one page.	
	D54	LEE, B. et al. (August 2009). "Dosing and Therapeutic Monitoring of Ammona Scavenging Drugs and Urinary Phenylacetylglutamine (PAGN) as a Biomarker: Lessons From a Phase 2 Comparison of a Novel Ammonia Scavenging Agent with Sodium Phenyl butyrate (NAPBA)," presented at ICIEM 2009, San Diego, CA, poster, one page.	

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	D56	LEE, B. et al. (March 2009). "Phase 2 Study of A Novel Ammonia Scavenging Agent in Adults with Urea Cycle Disorders (UCDs)," presented at ACMG 2009, seventeen pages.	
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	D58	LEE, B. et al. (September 2008). "Preliminary Data on Adult Patients with Urea Cycle Disorders (UCO) in An Open-Label, Switch-Over, Dose Escalation Study Comparing A New Ammonia Scavenger, Glyceryl Tri (4-Phenylbutyrate) [HPN-1 00], to BUPHENYL® (Sodium Phenylbutyrate [PBA]," presented at SSIEM 2008, Lisbon, Portugal, Poster, one page.	
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	INFORMATIC	N DISCL	OSURE	Application Number	14/816674		
	STATEMENT	BY APP	LICANT	Filing Date	8/03/2015		
				First Named Inventor	Bruce Scharschmidt		
				Art Unit	1621		
	(use as many si	heets as	necessary)	Examiner Name	Rao, Savitha M.		
Sheet	8	of	10	Attorney Docket Number	HOR0026-201TC1-US		

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	D64	MACARTHUR, R. B., et al., "Pharmacokinetics of Sodium Phenylacetate and Sodium Benzoate Following Intravenous Administration as Both a Bolus and Continuous Infusion to Healthy Adult Volunteers," Mol. Genet. Metab. 81:S67-S73 (2004).				
	D65	MANSOUR, A. et al. (October 1997). "Abdominal Operations in Patients with Cirrhosis: Still A Major Surgical Challenge," Surgerv 122(4):730-735. (Abstract Only.)				
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	D70	MCGUIRE, B. et al. (April 2008). "Pharmacokeinetic (PK) Safety Study of Sodium Phenylacetate and Sodium Benzoate Administered to Subjects with Hepatic Impairment," abstract of The13th International Symposium, Abano (Padova), Italy, April 28-May 1,2008, two pages.				
	D71	MCQUADE P.S. (1984). "Analysis and the Effects of Some Drugs on the Metabolism of Phenylethylamine and Phenylacetic Acid," Neuropsychopharmacol. Bioi. Psychiat. 8:607-614.				
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		Date
	Signature	Considered
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	INFORMATION	DISCL	OSURE	Application Number	14/816674	
	STATEMENT BY	Y APP	LICANT	Filing Date	8/03/2015	
				First Named Inventor	Bruce Scharschmidt	
				Art Unit	1621	
(use as many sheets as necessary)			necessary)	Examiner Name	Rao, Savitha M.	
Sheet	9	of	10	Attorney Docket Number	HOR0026-201TC1-US	

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	D74	PROPST, A. et al. (August 1995). "Prognosis and Life Expectancy in Chronic Liver Disease," Dig Dis Sci 40(8):1805-1815. (Abstract Only).			
	D75	RILEY, T.R. et al. (November 15, 2001). "Preventive Strategies in Chronic Liver Disease: Part II. Cirrhosos," Am. Fam. Physician 64(10):1735-1740. (Abstract Only).			
	D76	RUDMAN, D., et al., "Maximal Rates of Excretion and Synthesis of Urea in Normal and Cirrhotic Subjects," J. Clin. Invest. (1973) 52:2241-2249.			
	D77	SEIKI et al., "Homogenous Pharmaceutical Emulsions Containing Nonsteriodal Analogesics and Inflammation Inhibitors"Chemical Abstract, Vol. 116, No. 46308.			
	D78	SHIPLE, G.J. et al. (1922). "Synthesis of Amino Acids in Animal Organisms. I. Synthesis of Glycocoll and Glutamine in the Human Organism," J. Am. Chem. Soc. 44:618-624.			
	D79	SIMELL, 0., et al., "Waste Nitrogen Excretion Via Amino Acid Acylation: Benzoate and Phenylacetate in Lysinuric Protein Intolerance," Pediatr. Res. 20(11):1117-1121 (1986).			
	D80	SINGH, "Consensus Statement from a Conference for the Management of Patients with Urea Cycle Disorders," Suppl. to J. Pediatrics (2001) 138(1):S1-S5.			
	D81	SUMMAR, M.L. et al. (October 2008, e-pub. July 17, 2008). "Diagnosis, Symptoms, Frequency and Mortality of 260 Patients with Urea Cycle Disorders From a 21-Year, Multicentre Study of Acute Hyperammonaemic Episodes," Acta Paediatr. 97:1420-1425.			
	D82	SUMMAR, M. et al. (2007). "Description and Outcomes of 316 Urea Cycle Patients From a 21-Year, Multicenter Study of Acute Hyperammonemic Episodes," Abstract, presented at Annual Symposium CCH - Congress Centre Hamburg, September 4-7,2007, GSSIEM 2007, two pages.			
	D83	SWEDISH ORPHAN INTERNATIONAL. (January 12, 2007). "Urea Cycle Disorders an International Perspective," Poster, Symposium Swedish Orphan International, Barcelona, Spain, January 12, 2007, one page.			
	D84	TANNER, L. M., et al., "Nutrient Intake in Lysinuric Protein Intolerance," J. Inherit. Metab. Dis. 30:716-721 (2007).			

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	INFORMATION I	DISCL	OSURE	Application Number	14/816674
	STATEMENT BY	APP	LICANT	Filing Date	8/03/2015
				First Named Inventor	Bruce Scharschmidt
				Art Unit	1621
(use as many sheets as necessary)			necessary)	Examiner Name	Rao, Savitha M.
Sheet	10	of	10	Attorney Docket Number	HOR0026-201TC1-US

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	D85	THIBAULT, A., et al., "A Phase I and Pharmacokinetic Study of Intravenous Phenylacetate in Patients with Cancer," Cancer Res. 54:1690-1694 (1994).					
	D86	THIBAULT, A., et al., "Phase I Study of Phenylacetate Administered Twice Daily to Patients with Cancer," Cancer 75:2932-2938 (1995).					
	D87	TUCHMAN, M. et al. (2008, e-pub. June 17, 2008). "Cross-Sectional Multicenter Study of Patients With Urea Cycle Disorders in the United States," Malec. Genetics Metab. 94:397-402.					
	D88	UMass Memorial Medical Center, Lab Updates, "Measurement of Ammonia in Blood." February 2007. Accessed at www.ummlabs.org/News/07Feb.pdf.					
	D89	WALSH et al., THE JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 265, no. 8, pp. 4374-4381 (1990), "sn-1,2-Diacylgylcerol Kinase of Escherichia coli".					
	D90	WATERLOW, J.C. (March 1963). "The Partition of Nitrogen in the Urine of Malnourished Jamaican Infants," Am. J. of Clin. Nutrition 12:235-240.					
	D91	ZEITLIN, P.L. et al. (July 2002). "Evidence of CFTR Function in Cystic Fibrosis After System Administration of 4-Phenylbutyrate," Mol. Therapy 6(1):119-126.					

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Signature	Dale	
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Electronic Patent Application Fee Transmittal						
Application Number:	Application Number: 14816674					
Filing Date:	03.	Aug-2015				
Title of Invention:	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS					
First Named Inventor/Applicant Name:	Bru	ice Scharschmidt				
Filer: Lauren Stevens			en Stevens/Vicki Truman			
Attorney Docket Number:	HOR0026-201TC1-US					
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:	Post-Allowance-and-Post-Issuance:					
Extension-of-Time:	Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	)(\$)	180

Electronic Acknowledgement Receipt				
EFS ID:	23998454			
Application Number:	14816674			
International Application Number:				
Confirmation Number:	9599			
Title of Invention:	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS			
First Named Inventor/Applicant Name:	Bruce Scharschmidt			
Customer Number:	101325			
Filer:	Lauren Stevens/Vicki Truman			
Filer Authorized By:	Lauren Stevens			
Attorney Docket Number:	HOR0026-201TC1-US			
Receipt Date:	05-NOV-2015			
Filing Date:	03-AUG-2015			
Time Stamp:	17:08:29			
Application Type:	Utility under 35 USC 111(a)			

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Application Number	14816674							
Filing Date	03-Aug-2015							
First Named Inventor	Bruce Scharschmidt							
Attorney Docket Number	HOR0026-201TC1-US							
Title of Invention         METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS								
Filing of terminal disclaimer do Office Action This electronic Terminal Disclai	es not obviate requirement for responses not obviate requirement for responses not being used for a Joint Res	onse unde æarch Agre	r 37 CFR 1.111 to outstanding eement.					
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Signature	/Lauren Stevens/						
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\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Electronic Patent Application Fee Transmittal						
Application Number:	14	14816674				
Filing Date:	03	03-Aug-2015				
Title of Invention:	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENG DRUGS				N SCAVENGING	
First Named Inventor/Applicant Name:	Bruce Scharschmidt					
Filer:	La	uren Stevens/Vicki T	ruman			
Attorney Docket Number:	нс	0R0026-201TC1-US				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Statutory or Terminal Disclaimer		1814	1	160	160	
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	160

Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved

Application No.: 14816674

Filing Date: 03-Aug-2015

Applicant/Patent under Reexamination: Scharschmidt et al.

Electronic Terminal Disclaimer filed on November 5, 2015

APPROVED

#### This patent is subject to a terminal disclaimer

DISAPPROVED

Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web

U.S. Patent and Trademark Office

Electronic Acknowledgement Receipt				
EFS ID:	24002742			
Application Number:	14816674			
International Application Number:				
Confirmation Number:	9599			
Title of Invention:	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS			
First Named Inventor/Applicant Name:	Bruce Scharschmidt			
Customer Number:	101325			
Filer:	Lauren Stevens/Vicki Truman			
Filer Authorized By:	Lauren Stevens			
Attorney Docket Number:	HOR0026-201TC1-US			
Receipt Date:	05-NOV-2015			
Filing Date:	03-AUG-2015			
Time Stamp:	17:27:04			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$160				
RAM confirmation Number	4320				
Deposit Account	504297				
Authorized User	BENNETT, DENNIS A.				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					
Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)					
Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)					

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:									
Document Number	Document Description	File Name	Multi Part /.zip	Pages (if appl.)					
1	Electronic Terminal Disclaimer-Filed	eTerminal-Disclaimer.pdf	36096	no	3				
	Liectionic reminal Disclamer filed		5b4ae1589fc1318399f70e9c8b9d605cfa0b c9a9						
Warnings:									
Information:									
2	Fee Worksheet (SB06)	fee-info.pdf	30482	no	2				
		fee-info.pdf c384922573637ba966dccefdf271b2dd 13ec0	c384922573637ba966dccefdf271b2dc85d 13ec0						
Warnings:									
Information:									
Total Files Size (in bytes): 66578									
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Acknowledgement Receipt				
EFS ID:	24003296			
Application Number:	14816674			
International Application Number:				
Confirmation Number:	9599			
Title of Invention:	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS			
First Named Inventor/Applicant Name:	Bruce Scharschmidt			
Customer Number:	101325			
Filer:	Dennis A. Bennett/Ronnie Almira			
Filer Authorized By:	Dennis A. Bennett			
Attorney Docket Number:	HOR0026-201TC1-US			
Receipt Date:	05-NOV-2015			
Filing Date:	03-AUG-2015			
Time Stamp:	17:57:48			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted with Payment			no					
File Listing	g:							
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Non Patent Literature	мс	KHTARANI_etal_2012_Abstr act78.pdf	<b>59499</b> 4ea4eb29a1a2b0cb26e046ed2b828d3e53 d9d0ab	no	2		
Warnings:								
Information:								

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

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#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# Title: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

Application. No.: 14/816,674

Filing Date: August 3, 2015

Examiner: Savitha M. Rao

Art Unit: 1621

Confirmation 9599 Number:

#### AMENDMENT

#### ELECTRONICALLY VIA EFS-WEB

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant respectfully requests that the application be amended as follows:

Amendments to the Claims are reflected in the listing of on page 2 of this document.

Remarks/Arguments begin following the Amendments to the Claims.

#### Amendments to the Claims

This listing of claims replaces all prior versions, and listings, of claims in the present application.

1.-11. (Cancelled)

12. (Previously Presented) A method of treating a subject with a urea cycle disorder, the method comprising:

administering to the subject in need thereof glyceryl tri-[4-phenylbutyrate] in an amount sufficient to produce a fasting plasma ammonia level that is less than half the upper limit of normal for plasma ammonia level.

13. (Previously Presented) The method of claim 12, wherein the upper limit of normal for plasma ammonia level is 35 μmol/L.

14. (Previously Presented) The method of claim 12, wherein the adjusted dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

15. (New) A method for adjusting the dosage of glyceryl tri-[4-phenylbutyrate] in a subject being treated for a urea cycle disorder who has previously been administered an initial dosage of glyceryl tri-[4-phenylbutyrate] and who has a fasting plasma ammonia level less than the upper limit of normal for plasma ammonia level, the method comprising:

(a) measuring a fasting plasma ammonia level for the subject;

(b) comparing the fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(c) administering an adjusted dosage of glyceryl tri-[4-phenylbutyrate], wherein the adjusted dosage is greater than the initial dosage if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level, and

wherein the method further comprises restricting the subject's dietary protein intake.

16. (New) The method of claim 15, further comprising repeating steps (a) to (c) until the subject exhibits a fasting plasma ammonia level at or below half the upper limit of normal for plasma ammonia level.

17. (New) The method of claim 15, wherein the initial dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

18. (New) The method of claim 15, wherein the adjusted dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

19. (New) A method for adjusting the dosage of glyceryl tri-[4-phenylbutyrate] in a subject being treated for a urea cycle disorder who has previously been administered an initial dosage of glyceryl tri-[4-phenylbutyrate] and who has a fasting plasma ammonia level less than the upper limit of normal for plasma ammonia level, the method comprising:

(a) measuring a fasting plasma ammonia level for the subject;

(b) comparing the fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(c) administering an adjusted dosage of glyceryl tri-[4-phenylbutyrate], wherein the adjusted dosage is greater than the initial dosage if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level, and

wherein the method further comprises monitoring the subject's ammonia levels if the glyceryl tri-[4-phenylbutyrate] is not being adequately digested by the subject's pancreatic lipases.

20. (New) The method of claim 19, further comprising repeating steps (a) to (c) until the subject exhibits a fasting plasma ammonia level at or below half the upper limit of normal for plasma ammonia level.

21. (New) The method of claim 19, wherein the initial dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

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22. (New) The method of claim 19, wherein the adjusted dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

23. (New) A method for adjusting the dosage of glyceryl tri-[4-phenylbutyrate] in a subject being treated for a urea cycle disorder who has previously been administered an initial dosage of sodium phenylbutyrate and who has a fasting plasma ammonia level less than the upper limit of normal for plasma ammonia level, the method comprising:

(a) measuring a fasting plasma ammonia level for the subject;

(b) comparing the fasting plasma ammonia level to the upper limit of normal for plasma ammonia level;

(c) administering an initial dosage of glyceryl tri-[4-phenylbutyrate], wherein the initial dosage is determined by the amount of the initial dosage of sodium phenylbutyrate, and

(d) administering an adjusted dosage of glyceryl tri-[4-phenylbutyrate], wherein the adjusted dosage is greater than the initial dosage of glyceryl tri-[4-phenylbutyrate] if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level.

24. (New) The method of claim 23, further comprising repeating steps (a) to (c) until the subject exhibits a fasting plasma ammonia level at or below half the upper limit of normal for plasma ammonia level.

25. (New) The method of claim 23, wherein the initial dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

26. (New) The method of claim 23, wherein the adjusted dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

#### **Remarks**

Claims 15-26 have been added. Support for this amendment can be found, e.g., in the specification as filed at [0005], [0007], [0069], [0079], [0082], [0083], and [0085]. See, also, the issued claims of U.S. Patent No. 9,095,559, which is the parent of the subject application. With entry of this amendment, claims 12-26 are pending.

Applicant believes that the present application is now in condition for allowance. Favorable consideration of the application as amended is respectfully requested. If new issues of patentability are raised, the Examiner is invited to call or email the undersigned and arrange for an opportunity to discuss these issues.

Respectfully submitted,

#### By /Lauren L. STEVENS/

Lauren L. Stevens Attorney for Applicant Registration No. 36,691 Istevens@globalpatentgroup.com

Electronic Patent Application Fee Transmittal						
Application Number:	14816674					
Filing Date:	03.	03-Aug-2015				
Title of Invention:       METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVE DRUGS				I SCAVENGING		
First Named Inventor/Applicant Name:	Bruce Scharschmidt					
Filer:	Lauren Stevens/Vicki Truman					
Attorney Docket Number:	нс	0R0026-201TC1-US				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Independent claims in excess of 3		1201	1	420	420	
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	420

Electronic Acknowledgement Receipt				
EFS ID:	24149163			
Application Number:	14816674			
International Application Number:				
Confirmation Number:	9599			
Title of Invention:	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS			
First Named Inventor/Applicant Name:	Bruce Scharschmidt			
Customer Number:	101325			
Filer:	Lauren Stevens/Vicki Truman			
Filer Authorized By:	Lauren Stevens			
Attorney Docket Number:	HOR0026-201TC1-US			
Receipt Date:	20-NOV-2015			
Filing Date:	03-AUG-2015			
Time Stamp:	15:38:16			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$420				
RAM confirmation Number	2270				
Deposit Account	504297				
Authorized User	BENNETT, DENNIS A.				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					
Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)					
Charge any Additional Fees required under 37 C.F.R. Se	ction 1.17 (Patent application and reexamination processing fees)				

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listin	a:								
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1		20151120 Perparent	34993	Vec	F				
ľ		20151120_kesponse.pat	07dd3f7efcf6729fa9fe8bb3a03b4c1c78339 312	yes	5				
	Multipart Description/PDF files in .zip description								
	Document Des	Start	E	nd					
	Amendment/Req. Reconsiderati	1		1					
	Claims	2		4					
	Applicant Arguments/Remarks	5		5					
Warnings:									
Information:		I							
2	Fee Worksheet (SB06)	fee-info.pdf	30755	no	2				
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Warnings:									
Information:			1						
		Total Files Size (in bytes	): 65	5748					
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.           National Stage of an International Application under 35 U.S.C. 371           If a timely submission to enter the national stage of an international application is compliant with the conditions of 35           U.S.C. 371 and other applicable requirements a Form PCT/D0/E0/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.           New International Application Filed with the USPTO as a Receiving Office           If a new international application is being filed and the international application includes the necessary components for an international application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application file of the application number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application </th									

PTO/SB/06 (09-11) Approved for use through 1/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875       Application or Docket Number 14/816,674       Filing Date 08/03/2015       To be Mailed									
	ENTITY: 🛛 LARGE 🗌 SMALL 🗌 MICRO									
				APPLIC	ATION AS FIL	ED – PAR	ті			
			(Column 1	)	(Column 2)					
FOR NUMBER FILED NUMBER EXTRA							RATE (\$)	F	EE (\$)	
	BASIC FEE (37 CFR 1.16(a), (b), c	or (c))	N/A		N/A		N/A			
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A			
	EXAMINATION FE	E or (a))	N/A		N/A		N/A			
TO1 (37)	TAL CLAIMS CFB 1.16(ii)	(4))	min	us 20 = *			X \$ =			
IND (37)	EPENDENT CLAIM	S	mi	inus 3 = *			X \$ =			
	Image: Construction of the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).									
	MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))									
* If t	* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL									
(Column 1) (Column 2) (Column 3)						ART II				
ENT	11/20/2015	CLAIMS REMAINING AFTER AMENDMEN <sup>-</sup>	-	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)	
ME	Total (37 CFR 1.16(i))	∗ <b>1</b> 5	Minus	** 20	= 0		x \$80 =		0	
EN	Independent (37 CFR 1.16(h))	* 4	Minus	***3	= 1		x \$420 =		420	
AM	Application Si	ze Fee (37 CFR	1.16(s))							
	FIRST PRESEN	ITATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))					
		(Column 1)		(Column 2)	(Column 3)		TOTAL ADD'L FE	E	420	
г		CLAIMS REMAINING AFTER AMENDMEN	-	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)	
Ľ	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =			
MO	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =			
1EN	Application Si	ze Fee (37 CFR	1.16(s))					4		
AN		ITATION OF MUL		DENT CLAIM (37 CFF	R 1.16(j))					
* If I ** If *** I The	TOTAL ADD'L FEE     If the entry in column 1 is less than the entry in column 2, write "0" in column 3.     If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".     //DORIS BURNS/     //DORIS BURNS/     Total or previously Paid For" (Total or previously Paid For" IN THIS SPACE is less than 3, enter "3".     Total ADD'L FEE     //DORIS BURNS/     //DORI									
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UNITED ST	ates Patent and Trademai	RK OFFICE UNITED STA' United States Address: COMMIS PO: Box I Aloxandri www.uspic	TES DEPARTMENT OF COMMERCE Patent and Trademark Office SSIONER FOR PATENTS 450 (, 'tigginia 22313-1450 gov
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/816,674	08/03/2015	Bruce Scharschmidt	HOR0026-201TC1-US
			<b>CONFIRMATION NO. 9599</b>
101325		PUBLICAT	TION NOTICE
GLOBAL PATENT GROU 1005 NORTH WARSON F	IP - HOR ROAD		

Title:METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

Publication No.US-2015-0335605-A1 Publication Date:11/26/2015

SUITE 404

SAINT LOUIS, MO 63132

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

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101325 7590 12/23/2015 GLOBAL PATENT GROUP - HOR 1005 NORTH WARSON ROAD SUITE 404 SAINT LOUIS, MO 63132

#### EXAMINER RAO, SAVITHA M

ART UNIT PAPER NUMBER

1621

DATE MAILED: 12/23/2015

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/816,674	08/03/2015	Bruce Scharschmidt	HOR0026-201TC1-US	9599

TITLE OF INVENTION: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	03/23/2016

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450

Alexandria, Virginia	22313-1450

#### or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

#### 101325 7590 12/23/2015 **GLOBAL PATENT GROUP - HOR** 1005 NORTH WARSON ROAD SUITE 404 SAINT LOUIS, MO 63132

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)	
(Signature)	
(Date)	

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/816,674	08/03/2015	Bruce Scharschmidt	HOR0026-201TC1-US	9599

TITLE OF INVENTION: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

	APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
	nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	03/23/2016
_					1		
	EXAN	IINER	ART UNIT	CLASS-SUBCLASS			
	RAO, SA	VITHA M	1621	424-009200			
1. Change of correspondence address or indication of "Fee Address" (37			2. For printing on the p	atent front page, list			
CF	CFR 1.363).			(1) The names of up to	3 registered patent attorn	eys 1	

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME	OF ASSIGNEE
----------	-------------

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): 🗖 Individual 📮 Corporation or other private group entity 📮 Government

4a. The following fee(s) are submitted:	4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)		
Issue Fee	A check is enclosed.		
Publication Fee (No small entity discount permitted)	Payment by credit card. Form PTO-2038 is attached.		
Advance Order - # of Copies	The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number (enclose an extra copy of this form).		
5. Change in Entity Status (from status indicated above)			
Applicant certifying micro entity status. See 37 CFR 1.29	<u>NOTE:</u> Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.		
Applicant asserting small entity status. See 37 CFR 1.27	<u>NOTE</u> : If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.		
Applicant changing to regular undiscounted fee status.	<u>NOTE:</u> Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.		
NOTE: This form must be signed in accordance with 37 CFR 1.31 ar	d 1.33. See 37 CFR 1.4 for signature requirements and certifications.		
Authorized Signature	Date		
Typed or printed name	Registration No		

Page 2 of 3

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UNITED STATES PATENT AND TRADEMARK OFFICE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov							
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
14/816,674	08/03/2015	Bruce Scharschmidt	HOR0026-201TC1-US	9599			
101325 75	90 12/23/2015		EXAM	INER			
GLOBAL PATE 1005 NORTH WA	NT GROUP - HOR RSON ROAD		RAO, SAV	VITHA M			
SUITE 404			ART UNIT	PAPER NUMBER			
SAINT LOUIS, M	O 63132		1621				
			DATE MAILED: 12/23/201	5			

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)		
Notice of Allowability	14/816,674 Examiner	Art Unit	AIA (First Inventor to	
Notice of Allowability	SAVITHA RAO	1621	File) Status	
			No	
The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiativ of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.				
1. ☑ This communication is responsive to <u>11/20/2015</u> .         □ A declaration(s)/affidavit(s) under <b>37 CFR 1.130(b)</b> was	s/were filed on			
2. An election was made by the applicant in response to a rearequirement and election have been incorporated into this a	striction requirement set forth during action.	the interview o	n; the restriction	
3. ☑ The allowed claim(s) is/are <u>13-26</u> . As a result of the allowe <b>Highway</b> program at a participating intellectual property off <u>http://www.uspto.gov/patents/init_events/pph/index.isp</u> or s	d claim(s), you may be eligible to be ice for the corresponding application end an inquiry to <u>PPHfeedback@us</u>	enefit from the I n. For more info <u>pto.gov</u> .	Patent Prosecution prmation, please see	
4. Acknowledgment is made of a claim for foreign priority unc	er 35 U.S.C. § 119(a)-(d) or (f).			
Certified copies:				
a) All b) Some *c) None of the:				
1. Certified copies of the priority documents hav	e been received.			
2. 🗌 Certified copies of the priority documents hav	e been received in Application No. <u>-</u>	·		
3. Copies of the certified copies of the priority de	ocuments have been received in this	national stage	application from the	
International Bureau (PCT Rule 17.2(a)).				
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDON THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	' of this communication to file a reply MENT of this application.	/ complying wit	h the requirements	
5. CORRECTED DRAWINGS ( as "replacement sheets") must	st be submitted.			
including changes required by the attached Examiner Paper No./Mail Date	's Amendment / Comment or in the	Office action of	:	
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in	1.84(c)) should be written on the draw the header according to 37 CFR 1.121	ings in the fron (d).	t (not the back) of	
6. DEPOSIT OF and/or INFORMATION about the deposit of attached Examiner's comment regarding REQUIREMENT F	BIOLOGICAL MATERIAL must be s OR THE DEPOSIT OF BIOLOGICA	ubmitted. Note L MATERIAL.	the	
Attacnment(s)	5. 🗍 Examiner's Ameno	Iment/Commer	ıt	
2. ⊠ Information Disclosure Statements (PTO/SB/08),	6. 🛛 Examiner's Statem	ent of Reasons	s for Allowance	
Paper No./Mail Date <u>11/05/2015</u> 3. Examiner's Comment Regarding Requirement for Deposit	7. 🗌 Other			
of Biological Material 4.  Interview Summary (PTO-413), Paper No./Mail Date				
/SAVITHA RAO/				
Primary Examiner, Art Unit 1621				
U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) No	tice of Allowability	Part of Pape	er No./Mail Date 20151215	

171 of 206

The present application is being examined under the pre-AIA first to invent provisions.

#### DETAILED ACTION

Claims 12-26 are pending in the instant application.

Applicants present new claims 15-26 with their response on 11/05/2015.

The terminal disclaimer filed on 11/05/2015 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of US patent nos. 8,404,215, 9,095,559 and 8,642,012 has been reviewed and is accepted. The terminal disclaimer has been recorded.

#### Information Disclosure Statement

The information disclosure statement (IDS) dated 11/05/2015 complies with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609. Accordingly, it has been placed in the application file and the information therein has been considered as to the merits.

#### **REASONS FOR ALLOWANCE**

In view of the applicants arguments filed on 11/20/2015 and terminal disclaimer filed on 11/05/2015 and the following examiners statement of reasons for allowance, claims 12-26 are found to be allowable. Upon further review, new claims 15-26 are also found to free of art and do not consist of any 112 issues and are supported in the original specification. Accordingly, new claims 15-26 are also found to be allowable along with originally presented claims 12-14.

Following a diligent search it was determined that the prior art neither teaches nor provides adequate motivation to arrive at the instantly claimed method of treating a subject with a urea cycle disorder, the method comprising: administering to the subject in need thereof glyceryl tri-[4-phenylbutyrate] in an amount sufficient to produce a fasting plasma ammonia level that is less than half the upper limit of normal for plasma ammonia level and method for adjusting the dosage of glyceryl tri-[4-phenylbutyrate] in a subject being treated for a urea cycle disorder who has previously been administered an initial dosage of glyceryl tri-[4-phenylbutyrate] and who has a fasting plasma ammonia level less than the upper limit of normal for plasma ammonia level, the method comprising:

(a) measuring a fasting plasma ammonia level for the subject;

(b) comparing the fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(c) administering an adjusted dosage of glyceryl tri-[4-phenylbutyrate], wherein the adjusted dosage is greater than the initial dosage if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level, and

wherein the method further comprises restricting the subject's dietary protein intake.

or

wherein the method further comprises monitoring the subject's ammonia levels if the glyceryl tri-[4-phenylbutyrate] is not being adequately digested by the subject's pancreatic lipases.

#### Conclusion

Claims 12-26 (renumbered 1-15) are allowed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SAVITHA RAO whose telephone number is (571)270-5315. The examiner can normally be reached on Mon-Fri 7.00 am to 4.00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Melanie McCormick can be reached at 571-272-8037. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/SAVITHA RAO/

Primary Examiner, Art Unit 1621

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	14816674	SCHARSCHMIDT ET AL.
	Examiner	Art Unit
	SAVITHA RAO	1621

CPC- SEARCHED				
Symbol	Date	Examiner		
A61K31/216 OR G01N31/221 OR Y10T436/175383	12/15/2015	SR		

CPC COMBINATION SETS - SEARCHED					
Symbol Date Examiner					

US CLASSIFICATION SEARCHED					
Class	Subclass	Date	Examiner		
424	9.2	10/29/2015	SR		
514	432, 433, 544, 570, 533	10/29/2015	SR		
436	4,113	10/29/2015	SR		

SEARCH NOTES					
Search Notes	Date	Examiner			
eaST search (See attached)	10/29/2015	SR			
Inventor search in EAST and PALM	10/29/2015	SR			
Reviewed STN searches from the Parent application, further NPL search in Google	10/29/2015	SR			
updated EAST search (see attached)	12/15/2015	SR			
updated inventor search in EAST	12/15/2015	SR			
Reviewed STN searches and NPL search in Google	12/15/2015				

	INTERFERENCE SEARCH					
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner			
A61K	31/216	12/15/2015	SR			
G01N	31/221	12/15/2015	SR			
Y10T	436/175383	12/15/2015	SR			

/SAVITHA RAO/ Primary Examiner.Art Unit 1621

U.S. Patent and Trademark Office

Part of Paper No. : 20151215

# 14816674 GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

control hi	Substitute for form 1449/PTO			Co	mplete if Known
INFORMATION DISCLOSURE			OSURE	Application Number	14/816674
STATEMENT BY APPLICANT			LICANT	Filing Date	8/03/2015
				First Named Inventor	Bruce Scharschmidt
				Art Unit	1621
	(use as many sheets as necessary)			Examiner Name	Rao, Savitha M.
Sheet	Sheet 1 of 10		Attorney Docket Number	HOR0026-201TC1-US	

	U.S. PATENT DOCUMENTS						
Exami Cite		Document Number	Publication or Issue	Name of Datantas or	Pages, Columns,		
ner Initials*	No.	Number-Kind Code <sup>2</sup> ( <i>if</i> <i>known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear		
	P1	2004/0229948	11/18/2004	SUMMAR et al.			
	P2	2006/0135612	06/22/2006	FERRANTE			
	P3	2012/0220661	08/30/2012	LEE			
	P4	4,284,647	08/18/1981	BRUSILOW et al.			
	P5	5,968,979	10/19/1999	BRUSILOW			
	P6	6,060,510	05/09/2000	BONNEWITZ			
	P7	6,083,984	07/04/2000	BRUSILOW			
	P8	2015/0094278	03/26/2015	SCHARSCHMIDT et al.			
	P9	2015/0105469	04/16/2015	SCHARSCHMIDT et al.			

	FOREIGN PATENT DOCUMENTS							
Evami		Foreign Patent Document			Pages, Columns, Lines, Where			
ner Cite Initials* No.1		Country Code <sup>3.</sup> Number <sup>4.</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )		Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
	F1	WO2005/053607	06/16/2005	MEDICIS				
		1102000,000000	00,10,2000	PHARMACEUTICAL CORP.				
	F2	WO2006/056794	06/01/2006	PHARMACEUTICAL CORP.				
	F2 F3	WO2006/056794 WO2009/134460	06/01/2006 11/05/2009	PHARMACEUTICAL CORP. UCL BUSINESS PLC HYPERION THERAPEUTICS				

Examiner	Data	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ALL REFERENCES-GONSIDERED EXCEPTOWHEREOLINED THROUGH. /S.R./

## 14816674 GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

r =	Substitute for form 1449/PTO			Co	mplete if Known	
	INFOR	MATION DISC	LOSURE	Application Number	14/816674	
	STATE	MENT BY APP	PLICANT	Filing Date	8/03/2015	
				First Named Inventor	Bruce Scharschmidt	
				Art Unit	1621	
	(use as m	any sheets as	necessary)	Examiner Name	Rao, Savitha M.	
Sheet	2	of	10	Attorney Docket Number	HOR0026-201TC1-US	
			NON PA	TENT LITERATURE DOCUMEN	TS	
Exami		Include nam	e of the author (in	CAPITAL LETTERS) title of the	article (when appropriate) title of the	T
ner Initials*	Cite No. <sup>1</sup>	item (bo	ook, magazine, jou BUSINESS nu	irnal, serial, symposium, catalog, mber(s), publisher, city and/or cou	etc.) date, page(s), volume-issue untry where published.	T6
	D1	ANDA Notio Unenforcea § 505(j)(2)(	ce Letter, Lupin Lt ability, and/or Noni B)(ii) and (iv) of th	d. to Horizon Therapeutics, Inc I infringement for U.S. Patent Nos. le Federal Food, Drug, and Cosm	Re: Notification of Invalidity, 8,404,215 and 8,642,012 Pursuant to etic Act, Sept. 4, 2015	
	D2	AHRENS, M. et al. (January 2001). "Consensus Statement From a Conference for the Management of Patients With Urea Cycle Disorders." Supp. Journal of Pediatrics 138(1):S1-S5.				
	D3	AMBROSE Phenylacet	SE, A.M. et al. (1933). "Further Studies on the Detoxification of certic Acid," J. Bio. Chem. 101:669-675.			
	D4	BATSHAW Inborn Erro	, M.L. et al. (Dec ors of Urea Synth	ecember 1980). "Treatment of Hyperammonemic Coma Caused by		
	D5	BATSHAW Activation of Med. 306(2	M.L. et al. (June of Alternative Pat 23):1387-1392.	10, 1982). "Treatment of Inborr hways of Waste Nitrogen Synthe	esis and Excretion," N. Engl. J.	
	D6	BATSHAW J.D. ed.: Ye	, M.L. (1984). "H ear Book Medica	yperammonemia," in Current Pro I Publishers, pp. 2-69.	oblems in Pediatrics, Lockhart,	
	D7	BERRY, G Disorders,"	. T., et al., "Long- J. Pediatrics (20	Term Management of Patients v 001) 138:S56-S61.	with Urea Cycle	
	D8	BRUSILOW Errors of U	V, S., et al., "Ami Irea Synthesis," S	no Acid Acylation: A Mechanism Science 207:659-661 (1980).	of Nitrogen Excretion in Inborn	
	D9	BRUSILOW for Waste	V, S. W., et al., "I Nitrogen Excretio	Phenylacetylglutamine May Repl n," Pediatr. Res. 29:147-150 (19	lace Urea as a Vehicle 991).	
	D10	BRUSILOW Excretion in	V, S.W. et al. (Se n Inborn Errors o	ptember 1,1979). "New Pathway f Urea Synthesis," Lancet 2(814)	∕s of Nitrogen 0):452- 454.	
	D11	BRUSILOW With Inbor	V, S.W. (June 2 n Errors of Urea	1,1984). "Treatment of Episodic Svnthesis." N. Engl. J. Med. 310	Hyperammonemia in Children 0(25):1630-1634.	

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	Subst	Substitute for form 1449/PTO		Complete if Known			
	INFORM	ATION DISC	LOSURE	Application Number	14/816674		
	STATE	MENT BY APP	LICANT	Filing Date	8/03/2015		
				First Named Inventor	Bruce Scharschmidt		
				Art Unit	1621		
	(use as m	any sheets as	necessary)	Examiner Name	Rao, Savitha M.		
Sheet	3	of	10	Attorney Docket Number	HOR0026-201TC1-US		
			NON PATE	NT LITERATURE DOCUMENT	rs		
Exami ner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue BUSINESS number(s), publisher, city and/or country where published.					
	D12	BRUSILOW Hyperammo Drug for Hu	/, S.W. (Amendmen onemia in Patients uman Use or an An	t Dated July 25, 1994). "Protoc with Urea Cycle Disorders," tibiotic Drug for Human Use,	cols for Management of Intercurrent FDA Application to Market A New Fourteen pages.		
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I				Art Unit	1621	
(	(use as m	any sheets as .	necessary)	Examiner Name	Rao, Savitha M.	
Sheet	4	of	10	Attorney Docket Number	HOR0026-201TC1-US	
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	D24	Combined Patent Appl	Search and Exa lication No. GB0	mination Report mailed on Octobe 915545.8, filed on August 27, 20	er 9, 2009, for Great Britain 09, eight pages.	
	D25	'Complaint f Filed in U.S	for Patent Infringe District Court fo	ement', Hyperion Therapeutics, Inc r the Eastern District of Texas, Apr	. v. Par Pharmaceuticals, Inc. ril 23, 2014.	
	D26	'Complaint Pharmaceu	for Patent Infring ticals Inc. Filed in	ement', Horizon Therapeutics, Inc. 1 U.S. District Court for the District	v. Lupin Ltd. and Lupin of New Jersey, October 19, 2015.	
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	D28	DARZENS, alcools ", SCIENCES	G. et al.: "Prepar COMPTES REN ., vol. 205, 18 Oc	ration de quelques glycerides pher IDUS HEBDOMADAIRES DES SE :tober 1937, pgs. 682-684.	ylaliphatiques et leur reduction en ANCES DE L'ACADEMIE DES	
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	D33	Examination Application	n Report mailed No. GB0915545	February 5, 2010, for United King 5.8, filed on August 27,2009, two	gdom Patent page.	
	D34	Examination GB0915545	n Report mailed 5.8. filed on Augu	May 11, 2010, for United Kingdo	m Patent Application No.	

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					First Named Inventor	Bruce Scharschmidt		
					Art Unit	1621		
	(use as m	any shee	ts as	necessary)	Examiner Name	Rao, Savitha M.		
Sheet	5		of	10	Attorney Docket Number	HOR0026-201TC1-US		
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Sheet	6 of 10			Attorney Docket Number	HOR0026-201TC1-US					
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	D54	LEE, B. et Drugs and 2 Comparis	al. (August 2009). Urinary Phenylace son of a Novel Am	"Dosing and Therapeutic Monit stylglutamine (PAGN) as a Biom imonia Scavenging Agent with S M 2009, San Diego CA, poster	toring of Ammona Scavenging narker: Lessons From a Phase Sodium Phenyl butyrate					

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	D55	LEE, B. et al. (March 2009). "Phase 2 Study of A Novel Ammonia Scavenging Agent in Adults With Urea Cycle Disorders (UCDs)," abstract presented at ACMG 2009, one page.					
	D56	LEE, B. et al. (March 2009). "Phase 2 Study of A Novel Ammonia Scavenging Agent in Adults with Urea Cycle Disorders (UCDs)," presented at ACMG 2009, seventeen pages.					
	D57	LEE, B. et al. (August 2008). "Preliminary Data on Adult Patients with Urea Cycle Disorders (UCO) in an Open-Label, Switch-Over, Dose-Escalation Study Comparing a New Ammonia Scavenger, Glyceryl Tri (4-Phenylbutyrate) [HPN - 100], to Buphenyl® (Sodium Phenylbutyrate [PBA])," abstract presented at SSIEM 2008, Lisbon, Portugal, one page.					
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ner Initials*	Cite No.1	Cite No.1 item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue BUSINESS number(s), publisher, city and/or country where published.							
	D64	MACARTHUR, R. B., et al., "Pharmacokinetics of Sodium Phenylacetate and Sodium Benzoate Following Intravenous Administration as Both a Bolus and Continuous Infusion to Healthy Adult Volunteers," Mol. Genet. Metab. 81:S67-S73							
	D65	MANSOUF Still A Majo	(2004). MANSOUR, A. et al. (October 1997). "Abdominal Operations in Patients with Cirrhosis: Still A Major Surgical Challenge," Surgerv 122(4):730-735. (Abstract Only.)						
	D66	MASETRI, N.E. et al. (August 1992). "Plasma Glutamine Concentration: A Guide in the Management of Urea Cycle Disorders," J. Pediatr. 121 (2):259-261.							
	D67	MCGUIRE, B. M., et al., "Pharmacology and Safety of Glycerol Phenylbutyrate in Healthy Adults and Adults with Cirrhosis," Hepatol. 51:2077-2085 (2010).							
	D68	MCGUIRE, Ammonia-F DDW, May	MCGUIRE, B.M. et al. (May 2009). "Pharmacokinetic (PK) and Safety Analyses of a Novel Ammonia-Reducing Agent in Healthy Adults and Patients with Cirrhosis," abstract presented at						
	D69	MCGUIRE, Phenylacet Impairment	, B. et al. (April : tate and Sodium ts,' Liver Interna	2008). "Pharmacokinetic Safety Si Benzoate Administered to Subjectional 28:743. (Abstract Only).	tudy of Sodium cts With Hepatic				
	D70	MCGUIRE, Phenylacet abstract of Italy, April	, B. et al. (April : tate and Sodium f The13th Interna 28-May 1,2008,	2008). "Pharmacokeinetic (PK) Sa Benzoate Administered to Subject ational Symposium, Abano (Padov two pages.	fety Study of Sodium cts with Hepatic Impairment," /a),				
	D71	MCQUADE Metabolism Bioi. Psych	E P.S. (1984). "A n of Phenylethyla niat. 8:607-614.	analysis and the Effects of Some I amine and Phenylacetic Acid," New	Drugs on the uropsychopharmacol.				
	D72	MOKHTAR than metab Genet Meta	ANI et al., (2012 polite blood levels ab 105, 312, SIM	) "Urinary phenylacetylglutamine ap s for therapeutic monitoring of pheny D Abstract 78.	ppears to be a more useful marker ylacetic acid (PAA) prodrugs." Mol				
	D73	PISCITELL Phenylacet	I, S.C. et al. (19 tete and Phenyla	95). "Disposition of Phenyl butyra acetylglutamine," J. Clin. Pharmac	te and its Metabolites, al. 35:368-373.	+			

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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	INFORM STATE	MATION DISCL	LOSURE PLICANT	Application Number Filing Date	14/816674 8/03/2015				
				First Named Inventor	Bruce Scharschmidt				
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	D74	PROPST, A Disease," [	A. et al. (August 1 Dig Dis Sci 40(8):1	995). "Prognosis and Life Expe 805-1815. (Abstract Only).	ctancy in Chronic Liver				
	D75	RILEY, T.R Part II. Cirrl	. et al. (Novembe hosos," Am. Fam.	r 15, 2001). "Preventive Strateg . Physician 64(10):1735-1740. (	jies in Chronic Liver Disease: Abstract Only).				
	D76	RUDMAN, and Cirrhot	D., et al., "Maxima ic Subjects," J. C	al Rates of Excretion and Synthesis of Urea in Normal Xin. Invest. (1973) 52:2241-2249.					
	D77	SEIKI et al., Inflammatio	, "Homogenous Ph n Inhibitors"Chemi	armaceutical Emulsions Contain cal Abstract, Vol. 116, No. 46308	ing Nonsteriodal Analogesics and 3.				
	D78	SHIPLE, G.J. et al. (1922). "Synthesis of Amino Acids in Animal Organisms. I. Synthesis of Glycocoll and Glutamine in the Human Organism," J. Am. Chem. Soc. 44:618-624.							
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	D80	SINGH, "Co Urea Cycle	onsensus Stateme Disorders," Supp	nt from a Conference for the M I. to J. Pediatrics (2001) 138(1)	/anagement of Patients with ::S1-S5.				
	D81	SUMMAR, Frequency Multicentre	M.L. et al. (Octob and Mortality of 2 Study of Acute H	er 2008, e-pub. July 17, 2008). 60 Patients with Urea Cycle Dis yperammonaemic Episodes," A	"Diagnosis, Symptoms, sorders From a 21-Year, cta Paediatr. 97:1420-1425.				
	D82	SUMMAR, a 21-Year, at Annual S 2007, two p	M. et al. (2007). " Multicenter Study Symposium CCH oages.	Description and Outcomes of 3 of Acute Hyperammonemic Epi - Congress Centre Hamburg, Se	16 Urea Cycle Patients From isodes," Abstract, presented aptember 4-7,2007, GSSIEM				
	D83	SWEDISH Disorders a Internationa	ORPHAN INTERI In International Pe I, Barcelona, Spa	NATIONAL. (January 12, 2007) prspective," Poster, Symposium iin, January 12, 2007, one page	. "Urea Cycle Swedish Orphan e.				
	D84	TANNER, L 30:716-721	M., et al., "Nutrio (2007).	ent Intake in Lysinuric Protein I	ntolerance," J. Inherit. Metab. Dis.				

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	INFOR			Application Number	14/816674			
	STATE	MENT BY AP	PLICANT	Filing Date	8/03/2015			
	STATEMENT BY AFFEIDANT			First Named Inventor	Bruce Scharschmidt			
				Art Unit	1621			
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	D85 THIBAULT, A., et al., "A Phase I and Pharmacokinetic Study of Intravenous Phenylacetate in Patients with Cancer," Cancer Res. 54:1690-1694 (1994).							
	D86	THIBAULT with Canc	「, A., et al., "Pha er," Cancer 75:2	use I Study of Phenylacetate Admi 2932-2938 (1995).	nistered Twice Daily to Patients			
	D87	TUCHMAN Patients W Genetics	TUCHMAN, M. et al. (2008, e-pub. June 17, 2008). "Cross-Sectional Multicenter Study of Patients With Urea Cycle Disorders in the United States," Malec.					
	D88	UMass Men 2007. Acces	UMass Memorial Medical Center, Lab Updates, "Measurement of Ammonia in Blood." February 2007. Accessed at www.ummlabs.org/News/07Feb.pdf.					
	D89	WALSH et (1990), "sr	WALSH et al., THE JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 265, no. 8, pp. 4374-4381 (1990). "sn-1.2-Diacylgylcerol Kinase of Escherichia coli".					
	D90	WATERLO Malnouris	DW, J.C. (March ned Jamaican In	in the Urine of 2:235-240.				
	D91	ZEITLIN, System Ad	P.L. et al. (July 2 dministration of 4	2002). "Evidence of CFTR Functio 4-Phenylbutyrate," Mol. Therapy 6	n in Cystic Fibrosis After 5(1):119-126.			

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USPTO Patent Docu	uments at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued th	e document, by the two-letter code (WIF	0 Standard ST.3). 4 For
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Ins collection or information is required by 37 CFH 1.97 and 1.98. The information is required to obtain or relatin a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	0	"13417137".rlan. or ("13".src. and "417137".ap.)	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/11/15 13:46
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S70	2	S69 and "nitrogen scavenging"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2012/12/20 16:43
S71	1	("6083984"). <b>PN</b> .	USPAT; USOCR	OR	OFF	2012/12/20 16:43
S72	9	((Saul) near2 (Brusilow)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/20 16:43
S73	0	"14816674".rlan. or ("14".src. and "816674".ap.)	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/10/28 17:33
S74	64	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/10/28 17:33
S75	29	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/10/28 17:33
S76	51	("20030195255"   "20050273359"   "20080119554"   "20100008859"   "20100016207"   "20120022157"   "20130210914"   "20130281530"   "20140142186"   "4457942"   "5654333"   "6219567"   "8094521"   "8404215"   "8642012"   "9078865").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/10/29 09:56
S77	2	"US 9095559"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/10/29 09:57
S78	4	"US 8404215"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/10/29 09:57
S79	135	"nitrogen scavenging"	US-PGPUB; USPAT;	OR	OFF	2015/10/29 09:59

			USOCR; DERWENT			
S80	11	S76 and S79	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/10/29 09:59
S81	4	"US 8642012"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/10/29 10:02
S82	65	((BRUCE) near2 (SCHARSCHMIDT)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/12/15 10:24
S83	25	("20040229948"   "20060135612"   "4284647"   "6083984"   "20080119554"   "6219567"   "20100008859"   "6050510"   "5968979"   "20100008859"   "6219567").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/12/15 10:24
S84	8	S83 and nitrogen	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:24
S85	2	S84 and scavenging	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:24
S86	9	((Saul) near2 (Brusilow)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/12/15 10:24
S87	30	((MASOUD) near2 (MOKHTARANI)).INV.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/12/15 10:24
S88	136	"nitrogen scavenging"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:24
S89	17	S88 and PAA	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:24
S90	17	S88 and PAA	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:25
S91	1	"14816674".rlan. or ("14".src. and "816674".ap.)	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:41
S92	5880	( (A61K31/216 OR A61K9/0053 OR	US-PGPUB;	OR	OFF	2015/12/15

		G01N2800/085 OR G01N31/221 OR G01N33/4925 OR Y10T436/175383).CPC. )	USPAT; USOCR; DERWENT			10:42
S93	0	S92 with nitrogen	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:42
S94	1629	S92 and nitrogen	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:42
S95	93402	S94 an scavanging	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:42
S96	1	S94 and scavanging	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:42
S97	0	S94 and scavanger	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:42
S98	38	S92 and "urea cycle"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:43
S99	10	S92 and "glyceryl tri-[4- phenylbutyrate]"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:44
S100	0	"I20" and "glyceryl tri-[4- phenylbutyrate]"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/12/15 10:46

### EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S101	4229	( (A61K31/216 OR A61K9/0053 OR G01N2800/085 OR G01N31/221 OR G01N33/4925 OR Y10T436/175383).CPC. )	US- PGPUB; USPAT	OR	OFF	2015/12/15 10:45
S102	709	S101 and urea	US- PGPUB; USPAT	OR	OFF	2015/12/15 10:45
S103	10	S102 and "glyceryl tri-[4-phenylbutyrate]"	US- PGPUB; USPAT	OR	OFF	2015/12/15 10:46

### 12/16/2015 8:12:36 AM

 $\label{eq:c:loss} C: \ Users \ srao3 \ Documents \ EAST \ Autosave \ \sim EAST \ AutoSave. \\ wsp.asv$ 

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14816674	SCHARSCHMIDT ET AL.
	Examiner	Art Unit
	SAVITHA RAO	1621

CPC					
Symbol				Туре	Version
A61K	31	216	F		2013-01-01
Y10T	436	175383	А		2015-01-15
A61K	9	0053	1		2013-01-01
G01N	31	221	1		2013-01-01
G01N	33	4925	1		2013-01-01
G01N	2800	085	А		2013-01-01

CPC Combination Sets										
Symbol	Туре	Set	Ranking	Version						

NONE	Total Clain	ns Allowed:	
(Assistant Examiner)	(Date)	1	5
/SAVITHA RAO/ Primary Examiner.Art Unit 1621	12/15/2015	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1
U.S. Patent and Trademark Office		Pa	rt of Paper No. 20151215

195 of 206

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14816674	SCHARSCHMIDT ET AL.
	Examiner	Art Unit
	SAVITHA RAO	1621

US ORIGINAL CLASSIFICATION						INTERNATIONAL CLASSIFICATION									
	CLASS SUBCLASS				CLAIMED NON-CLAIMED							CLAIMED			
						А	6	1	к	49 / 00 (2006.01.01)					
	CB	OSS REF		S)		A	6	1	Р	13 / 00 (2006.0)					
			(	-7											
CLASS	SUB	CLASS (ONE	SUBCLAS	S PER BLO	CK)										

NONE	Total Clain	ns Allowed:	
(Assistant Examiner)	(Date)	1	5
/SAVITHA RAO/ Primary Examiner.Art Unit 1621	12/15/2015	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1
U.S. Patent and Trademark Office		Pa	rt of Paper No. 20151215

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14816674	SCHARSCHMIDT ET AL.
	Examiner	Art Unit
	SAVITHA RAO	1621

⊠	Claims renumbered in the same order as presented by applicant					СР	A D	T.D.	[	<b> R.1.</b>	47				
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original

NONE	Total Claims Allowed:					
(Assistant Examiner)	(Date)	1	5			
/SAVITHA RAO/ Primary Examiner.Art Unit 1621	12/15/2015	O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1	1			
U.S. Patent and Trademark Office Part of Paper N						

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE **Commissioner for Patents**

P.O. Box 1450

#### Alexandria, Virginia 22313-1450

#### or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

#### 101325 7590 12/23/2015 **GLOBAL PATENT GROUP - HOR** 1005 NORTH WARSON ROAD SUITE 404 SAINT LOUIS, MO 63132

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/816,674	08/03/2015	Bruce Scharschmidt	HOR0026-201TC1-US	9599

TITLE OF INVENTION: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

	APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional		UNDISCOUNTED	\$960	\$0	\$0 \$0		03/23/2016
F					1		
L	EXAM	IINER	ART UNIT	CLASS-SUBCLASS			
RAO, SAVITHA M		1621	424-009200				
1	. Change of corresponde	ence address or indicatio	n of "Fee Address" (37	2. For printing on the p	atent front page, list		
C	FR 1.363).			(1) The names of up to	3 registered patent attorn	eys 1	
	Address form PTO/SI	ondence address (or Cha B/122) attached.	nge of Correspondence	or agents OR, alternativ	zely,	2	
"Fee Address" indication (or "Fee Address" Indication form				(2) The name of a single registered attorney or a	e firm (having as a memb (gent) and the names of u	er a 2	
PTO/SB/7; Rev 03-02 or more recent) attached. Use of a Customer				2 registered patent attorneys or agents. If no name is 3			
	Number is required.			instea, no name win be	primeu.		

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE

Horizon Therapeutics, Inc.

#### Deerfield, IL

Please check the appropriate assignee category or categories (will not be printed on the patent) : 🗖 Individual 🕅 Corporation or other private group entity 🗖 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) X Issue Fee A check is enclosed. Dublication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The director is hereby authorized to charge the required fee(s), any deficiency, or credits any Advance Order - # of Copies \_ overpayment, to Deposit Account Number <u>50-4297</u> (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) <u>NOTE:</u> Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. Applicant certifying micro entity status. See 37 CFR 1.29 Applicant asserting small entity status. See 37 CFR 1.27 <u>NOTE:</u> If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status. <u>NOTE:</u> Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable. Applicant changing to regular undiscounted fee status. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications Authorized Signature /Lauren L. STEVENS/ 12-29-2015 Date Typed or printed name Lauren L. Stevens 36691 Registration No.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Electronic Patent Application Fee Transmittal										
Application Number:   14816674										
Filing Date:	03-Aug-2015									
Title of Invention:	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS									
First Named Inventor/Applicant Name:	Bruce Scharschmidt									
Filer:	Lauren Stevens/Vicki Truman									
Attorney Docket Number:	HOR0026-201TC1-US									
Filed as Large Entity										
Filing Fees for Utility under 35 USC 111(a)										
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)					
Basic Filing:										
Pages:										
Claims:										
Miscellaneous-Filing:										
Petition:										
Patent-Appeals-and-Interference:										
Post-Allowance-and-Post-Issuance:										
Utility Appl Issue Fee		1501	1	960	960					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Total in USD (\$)		960	

Electronic Acknowledgement Receipt				
EFS ID:	24485530			
Application Number:	14816674			
International Application Number:				
Confirmation Number:	9599			
Title of Invention:	METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS			
First Named Inventor/Applicant Name:	Bruce Scharschmidt			
Customer Number:	101325			
Filer:	Lauren Stevens/Vicki Truman			
Filer Authorized By:	Lauren Stevens			
Attorney Docket Number:	HOR0026-201TC1-US			
Receipt Date:	29-DEC-2015			
Filing Date:	03-AUG-2015			
Time Stamp:	20:12:37			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$960				
RAM confirmation Number	5895				
Deposit Account	504297				
Authorized User	BENNETT, DENNIS A.				
The Director of the USPTO is hereby authorized to charge	e indicated fees and credit any overpayment as follows:				
Charge any Additional Fees required under 37 CFR 1.16 (National application filing, search, and examination fees)					
Charge any Additional Fees required under 37 CFR 1.17 (Patent application and reexamination processing fees)					

Charge any Additional Fees required under 37 CFR 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 CFR 1.21 (Miscellaneous fees and charges)

#### File Listing: Document File Size(Bytes)/ Multi Pages **Document Description File Name** Number **Message Digest** Part /.zip (if appl.) 991881 1 1 Issue Fee Payment (PTO-85B) 20151229\_lssue\_Fee.pdf no d12c96929cdb75bbdbb05bda71ad7dc0e 0d1ae3 Warnings: Information: 30759 2 Fee Worksheet (SB06) fee-info.pdf no 2 2fa0c41d96ee56deaca52ff388a8e70feb71 f7a Warnings: Information: Total Files Size (in bytes): 1022640

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# Receipt date: 08/03/2015

Approved for use through 03/31/2007. OMB 0651-0031

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	Substitute for fo	orm 144	19/PTO		Complete if Known			
	INFORMATION	DISCL	LOSURE	Application Number	r To Be Assigned			
	STATEMENT B	Y APP	PLICANT	Filing Date	ТВА			
	Data Submittadu	Marah	10.0010	First Named Invento	or Bruce Scharschmidt			
	Date Submitted.	warch	12, 2012	Art Unit	ТВА			
	(use as many shee	ets as .	necessary)	Examiner Name	TBA			
Sheet	2	of	13	Attorney Docket Nu	Jmber HOR0026-201C1-US			

	FOREIGN PATENT DOCUMENTS								
	Fuerri		Foreign Patent Document			Pages, Columns, Lines, Where			
	ner Initials*	Cite No.1	Country Code <sup>3.</sup> Number <sup>4.</sup> Kind Code⁵ ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
Change(c)	pplied	F1	WO1994/22494	10-13-1994	The DuPont Merck Pharmaceutical Company				
to documer	ppnee	F2	WO2013/048558	04-04-2013	Hyperion Therapeutics, Inc.				
	· ,	F3	WO2013/158145	10-24-2013	Hyperion Therapeutics, Inc.				
/J.L.D./ 1/4/2016		F4	WO2007/005633	1/2007					
		F5	WO2009/087474	7/16/2009	Akthelia Pharmaceuticals				
		F6	WO2012/028620	3/2012					

NON PATENT LITERATURE DOCUMENTS					
Exami ner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T₽		
	D1	AMODIO, P., et al., "Detection of Minimal Hepatic Encephalopathy: Normalization and Optimization of the Psychometric Hepatic Encephalopathy Score. A Neuropsychological and Quantified EEG Study," J. Hepatol. 49:346-353 (2008).			
	D2	ANDA Notice Letter, Par Pharmaceutical, Inc. to Hyperion Therapeutics, inc Re: Glycerol Phenylbutyrate 1.1 gm/ml oral liquid; United States Patent Nos. 8,404,215 and 8,642,012 Notice of Paragraph IV Certification March 12, 2014.			
	D3	BAJAJ, J. S., et al., "Review Article: The Design of Clinical Trials in Hepatic Encephalopathy -An International Society for Hepatic Encephalopathy and Nitrogen Metabolism (ISHEN) Consensus Statement," Aliment Pharmacol Ther. 33 (7):739-747 (2011).			
	D4	Barsotti, Measurement of Ammonia in Blood, 138 J. Pediatrics, S11-S20 (2001)			
	D5	Batshaw, et al., Treatment of Carbamyl Phosphate Synthetase Deficiency with Keto Analogues of Essential Amino Acids, 292 The New England J. Medicine, 1085 390 (1975)			
	D6	Batshaw, M. L. et. al., Alternative Pathway Therapy for Urea Cycle Disorder: Twenty Years Later, 138 J. Pediatrics S46 (2001).			

Examiner		
Cignoture	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# Receipt date: 08/03/2015

control number

Approved for use through 03/31/2007. OMB 0651-0031

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Substitute for form 1449/PTO			Complete if Known				
	INFORMATION DISCLOSURE				Number	To Be Assigne	d
	STAT	EMENT BY APPLICANT	Filing Date		TBA		
	Date Submitted: March 12, 2012				Inventor	Bruce Scharsc	hmidt
			<b>、</b>	Art Unit		TBA	
(1	ise as .	many sneets as necessary	)	Examiner Na		IBA	
Sheet	1	of 13		Attorney Doc	cket Number	HOR0026-201	C1-US
			U.S.	PATENT DOC	UMENTS		
Fxami	Cite	Document Number	Public	ation or Issue			Pages, Columns,
ner	No.	Number-Kind Code <sup>2</sup> (if	1 45110	Date	Name of P	atentee or	Lines, Where Relevant
Initials*	1	known)	MM	-DD-YYYY	Applicant of C	ted Document	Figures Appear
	P1	4,457,942	07-03-1	1984	Brusilow, S.W.		rigaroo rippour
applied	P2	5,654,333	08-05-1	1997	The United States Of		
applied					America As Represented By		
ent,					The Departmen	nt Of Health	
	D3	8 004 521	01.10.0	2010	Mightongolo Dr		
		8 404 215	01-10-2	2012		$\overline{\mathbf{C}}$	
	P5	2003/0195255	10-16-2	2013	Marshall I Sur	nmar	charschmidt et al.
	P6	2000/0133233	12-08-3	2005	Vouna DE	iiiiai	
	P7	2010/0016207	01 01 0	2000	Wurtman PLo	tal	
	P8	2013/0281530	10-24-2	2010	Scharschmidt	Rotal	
	DQ	2014/0142186	05-22-2	2014	Ulmarian Thor	ration los <	
	P10	2014/0142100	00-22-2				charschmidt et al.
		6,219,567	4/1//20	001	EGGERS		
	P11	8,642,012	2/4/201	4	SCHARSCHM	DT	
	P12	2010/0008859	1/14/20	)10	SCHARSCHM	DT	
	P13	2012/0022157	1/26/20	)12	SCHARSCHM	DT	
	P14	9,078,865	7/14/20	)15	LEE		
			-				
	P15	2013/0210914	8/15/20	)13	SCHARSCHM	DT	

Examiner	Data	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# 14816674 GAU: 1621

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	INFORMATION DISCLOSURE					Number	14/816674	
	STAT	EMENT BY API	PLICANT		Filing Date		8/03/2015	
					First Named	Inventor	Bruce Scharsc	hmidt
					Art Unit		1621	
	(use as	many sheets as	necessary	)	Examiner Na	me	Rao, Savitha N	Λ
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	Exami ner Initials*	Cite No.1	Country Code <sup>3.</sup> Number <sup>4.</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Relevant Passages or Relevant Figures Appear	T6						
		F1	WO2005/053607	06/16/2005	MEDICIS PHARMACEUTICAL CORP.								
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		F3	WO2009/134460	11/05/2009	HYPERION THERAPEUTICS								
		F4	WO2010/025303	03/04/2010	HYPERION THERAPEUTICS								

Examiner Signature	Date Considered	
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APPLICATION NO.		ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/816,674		02/09/2016	9254278	HOR0026-201TC1-US	9599
101325	7590	01/20/2016			

GLOBAL PATENT GROUP - HOR 1005 NORTH WARSON ROAD SUITE 404 SAINT LOUIS, MO 63132

# **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Bruce Scharschmidt, San Francisco, CA; Horizon Therapeutics, Inc., Deerfield, IL; Masoud Mokhtarani, Walnut Creek, CA;

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