Filed: November 8, 2017

### **UPDATED EXHIBIT LIST – IPR2017-01101**

| EX   | Description  |
|------|--|
| 2001 | Declaration of Nicholas A. Peppas, Sc.D.                                     |
|      | In Support of Patent Owner's Preliminary Response                            |
| 2002 | Frye, D. K., Taxane Chemotherapy–Advances in Treatment for Breast            |
|      | Cancer. US Oncological Disease. 2006; 1(1):40–41                             |
| 2003 | Paclitaxel (Taxol®) Formulation and Prodrugs: The Chemistry and              |
|      | Pharmacology of Taxol® and its Derivatives, Elsevier B.V. 1995; 103–130      |
| 2004 | Gelderblom et al., Cremophor EL: the drawbacks and advantages of             |
|      | vehicle selection for drug formulation. Eur J Cancer 2001; 37:1590–1598      |
| 2005 | Desai et al., US 5,916,596, "Protein Stabilized Pharmacologically Active     |
|      | Agents, Methods for the Preparation Thereof and Methods for the Use          |
|      | Thereof' (issued Jun. 29,1999)   |
| 2006 | FDA News. "Phase III Trial of Tocosol Paclitaxel Does Not Meet Primary       |
|      | Endpoint" (published 2017)   |
| 2007 | Paz-Ares et al., Phase III trial comparing paclitaxel poliglumex vs          |
|      | docetaxel in the second-line treatment of non-small-cell lung cancer. Brit J |
|      | Cancer. 2008; 98:1608–1613   |
| 2008 | Langer et al., Phase III Trial Comparing Paclitaxel Poliglumex (CT-2103,     |
|      | PPX) in Combination with Carboplatin Versus Standard Paclitaxel and          |
|      | Carboplatin in the Treatment of PS 2 Patients with Chemotherapy-Naïve        |
|      | Advanced Non-small Cell Lung Cancer. J Thorac Oncol. 2008; 3:623–630         |
| 2009 | Hamaguchi et al., NK105, a paclitaxel-incorporating micellar nanoparticle    |
|      | formulation, can extend in vivo antitumour activity and reduce the           |
|      | neurotoxicity of paclitaxel, Brit J Cancer. 2005; 92:1240–1246               |
| 2010 | FirstWord Pharma, "Results of Phase III study of NK105, a novel              |
|      | macromolecular micelle encapsulating an anticancer drug" (created July       |
|      | 12, 2016)  |
| 2011 | Full Prescribing Information, Abraxane®, revised July 2015                   |
| 2012 | Schnitzer et al., Albondin-mediated Capillary Permeability to Albumin. J     |
|      | Biol Chem. 1994; 269(8):6072–6082  |
| 2013 | Schnitzer J.E., gp60 is an albumin-binding glycoprotein expressed by         |
|      | continuous endothelium involved in albumin transcytosis. Am J Physiol.       |



Filed: November 8 2017

| Filed:    | November 8, 2017  |
|-----------|---|
|           | 1992; 262:H246–H254   |
| 2014      | John <i>et al.</i> , Quantitative analysis of albumin uptake and transport in the rat microvessel endothelial monolayer. Am J Physiol-Lung C. 2003; |
|           | 284:L187–L196   |
| 2015      | Laino, C., June 3, 2009, "Abraxane Beats Standard Breast Cancer   |
|           | Treatment" www.webmd.com/breast-cancer/news/20090609/breast-  |
|           | cancer-drug-abraxane-is-effective   |
| 2016      | Blum et al., Phase II Study of Weekly Albumin-Bound Paclitaxel for  |
|           | Patients with Metastatic Breast Cancer Heavily Pretreated with Taxanes.   |
|           | Clin Breast Cancer. 2007; 7(11):850–856   |
| 2017      | Gradishar et al., Phase III Trial of Nanoparticle Albumin-Bound Paclitaxel  |
|           | Compared with Polyethylated Castor Oil-Based Paclitaxel in Women with   |
|           | Breast Cancer. J Clin Oncol. 2005; 23(31):7794–7803   |
| 2018      | Zhang et al., Nab-Paclitaxel is an Active Drug in Preclinical Model of  |
| • • • • • | Pediatric Solid Tumors. Clin Cancer Res. 2013; 19(21):5972–5983   |
| 2019      | Irizarry <i>et al.</i> , Cremophor EL-containing paclitaxel-induced anaphylaxis: a  |
|           | call to action. Community Oncology. 2009; 6(3):132–134  |
| 2020      | Rajeshkumar <i>et al.</i> , Superior Therapeutic Efficacy of nab-Paclitaxel over  |
|           | Cremophor-based paclitaxel in locally advanced and metastatic models of   |
| 2021      | human pancreatic cancer. Brit J Cancer. 2016; 115:442–453   |
| 2021      | Wani, et al., Plant antitumor agents. VI. The isolation and structure of taxol, a novel antileukemic and antitumor agent from Taxus brevifolia. J   |
|           | Am Chem Soc. 1971; 93(9):2325–7   |
| 2022      | Intentionally Left Blank  |
| 2023      | Chromatographic Techniques for the Characterization of Proteins: Physical   |
| 2020      | Methods to Characterize Pharmaceutical Proteins, Springer Science and   |
|           | Business Media, New York, NY, 1995, Vol. 7:243–299  |
| 2024      | Girard et al., Separation of Human Serum Albumin Components by RP-  |
|           | HPLC and CZE and their Characterization by ESI-MS. Chromatographia.   |
|           | 1999; 49: S21–S27   |
| 2025      | The Application of HPLC for Proteins, High Performance Liquid   |
|           | Chromatography: Principles and Methods in Biotechnology. John Wiley &   |
|           | Sons, Chichester, UK, 1996, 411–467   |
| 2026      | Sparreboom et al., Determination of paclitaxel in human plasma using  |
|           | single solvent extraction prior to isocratic reversed-phase high-   |



Filed: November 8 2017

| ed: November 8, 2017   |                  |
|--|------------------|
| performance liquid chromatography with ultraviolet detection                 | . J.             |
| Chromatogr B. 1998; 705:159–164  |                  |
| 7 Martin <i>et al.</i> , Assay of paclitaxel (Taxol) in plasma and urine     | by high-         |
| performance liquid chromatography. J. Chromatogr B. 1998;                    | 709:281–288      |
| 8 Tian et al., Degradation of Paclitaxel and Related Compounds               | in Aqueous       |
| Solutions I: Epimerization. J Pharm Sci. 2008; 97(3):1224–12                 | 35               |
| 9 Tian et al., Degradation of Paclitaxel and Related Compounds               | in Aqueous       |
| Solutions II: Nonepimerization Degradation Under Neutral to                  | Basic pH         |
| Conditions. J Pharm Sci. 2008; 97(8):3100–3108                               |                  |
| Tian et al., Degradation of Paclitaxel and Related Compound                  | -                |
| Solutions III: Degradation Under Acidic pH Conditions                        | and Overall      |
| Kinetics. J Pharm Sci. 2010; 99(3):1288–1298                                 |                  |
| Pillai et al., Pharmaceutical Glass Interactions: A Review of P              | Possibilities. J |
| Pharm Sci & Res. 2016; Vol. 8(2):103–111                                     |                  |
| 2 "Sticky Containers, Vanishing Drugs"                                       |                  |
| http://blogs.sciencemag.org/pipeline/archives/2008/08/29/sticl               | ky_container     |
| s_vanishing_drugs (August 29, 2008)  |                  |
| Mani <i>et al.</i> , Delivery of paclitaxel from cobalt–chromium allo        | _                |
| without polymeric carriers. Biomaterials. 2010; 31(20):5372–3                |                  |
| 4 Green <i>et al.</i> , Measurement of paclitaxel and its metabolites in     |                  |
| plasma using liquid chromatography/ion trap mass spectromet                  | <del>-</del>     |
| sonic spray ionization interface. Rapid Commun Mass Sp. 200 20(14):2183–2189 | <i>7</i> 0;      |
| 5 Heldman <i>et al.</i> , Paclitaxel Stent Coating Inhibits Neointimal H     | Ivnernlacia      |
| at 4 Weeks in a Porcine Model of Coronary Restenosis. Circul                 |                  |
| 103:2289–2295  | auton. 2001,     |
| 6 Fukazawa <i>et al.</i> , Reduction of non-specific adsorption of drug      | s to plastic     |
| containers used in bioassays or analyses. J Pharmacol Tox Me                 | -                |
| 61:329–333   | ,                |
| 7 Hunz et al., Plasma And Tissue Pharmacokinetics Of Epirubio                | in And           |
| Paclitaxel In Patients Receiving Neoadjuvant Chemotherapy F                  |                  |
| Advanced Primary Breast Cancer. Clin Pharmacol Ther. 2007                    | ; 81(5):659–     |
| 668  |                  |
| 8 Pfeifer et al., Precipitation of paclitaxel during infusion by pur         | mp. Am J         |
| Hosp Pharm. 1993; 50:2518–2521   |                  |



Filed: November 8 2017

| Filed: | November 8, 2017   |
|--------|--|
| 2039   | Xu et al., Stability of paclitaxel in 5% dextrose injection or 0.9% sodium chloride injection at 4, 22, or 32 °C. Am J Hosp Pharm. 1994;51:3058–3060   |
| 2040   | Trissel <i>et al.</i> , Pharmaceutical properties of paclitaxel and their effects on preparation and administration. Pharmacotherapy. 1997; 17(5 Part 2):133S–139S   |
| 2041   | Kattige, Long-term physical and chemical stability of a generic paclitaxel infusion under simulated storage and clinical-use conditions. Eur J Hosp Pharm-S P. 2006; 12(6):129–134                         |
| 2042   | Lee <i>et al.</i> , Hydrotropic solubilization of paclitaxel: analysis of chemical structures for hydrotropic property. Pharmacol Res. 2003; 20(7):1022–1030   |
| 2043   | Feng, <i>et al.</i> , Effects of emulsifiers on the controlled release of paclitaxel (Taxol®) from nanospheres of biodegradable polymers. J Control Release. 2001; 71(1):53–69                             |
| 2044   | Vilker <i>et al.</i> , The Osmotic Pressure of Concentrated Protein Solutions:<br>Effect of Concentration and pH in Saline Solutions of Bovine Serum<br>Albumin. J Colloid Interf Sci. 1981; 79(2):548–566 |
| 2045   | Fogh-Andersen <i>et al.</i> , Ionic Binding, Net Charge, and Donnan Effect of Human Serum Albumin as a Function of pH. Clin Chem. 1993; 39(1):48–52  |
| 2046   | Curnis <i>et al.</i> , Improving Chemotherapeutic Drug Penetration in Tumors by Vascular Targeting and Barrier Alteration. J Clin Invest. 2002; 110(4):475–482   |
| 2047   | Yuan, F., Transvascular Drug Delivery in Solid Tumors. Semin in Radiat Oncol. 1998; 8(3):164–175   |
| 2048   | Intentionally Left Blank   |
| 2049   | Intentionally Left Blank   |
| 2050   | Ziller <i>et al.</i> , Control of Crystal Growth in Drug Suspension: 1) Design of a Control Unit and Application to Acteaminophen Suspensions). Drug Dev Ind Pharm. 1988; 14(15–17):2341–2370              |
| 2051   | USP Monograph, Paclitaxel. 30(40):1279<br>http://www.pharmacopeia.cn/v29240/usp29nf24s0_m60190.html  |
| 2052   | Garnett <i>et al.</i> , The effects of serum and human albumin on calcium hydroxyapatite crystal growth. Biochem J. 1990; 266:863–868  |



Filed: November 8, 2017

| Tilou. | November 6, 2017  |
|--------|---|
| 2053   | Kommanaboyina et al., Trends in Stability Testing, with Emphasis on       |
|        | Stability During Distribution and Storage. Drug Dev Ind Pharm. 1999;      |
|        | 25(7):857–868   |
| 2054   | Surapaneni et al., Designing Paclitaxel Drug Delivery Systems Aimed at    |
|        | Improved Patient Outcomes: Current Status and Challenges. ISRN            |
|        | Pharmacol. 2012; 1–15   |
| 2055   | Flynn, G.L., Solubility Concepts and Their Applications to the            |
|        | Formulation of Pharmaceutical Systems: Part I. Theoretical Foundations.   |
|        | PDA J Pharm Sci Tech. 1984; 38:202–209                                    |
| 2056   | Pyo et al., Preparation and Dissolution Profiles of the Amorphous,        |
|        | Dihydrated Crystalline, and Anhydrous Crystalline Forms of Paclitaxel.    |
|        | Drying Technol. 2007; 25(10):1759–1767                                    |
| 2057   | Steinhardt et al., Differences between Bovine and Human Serum             |
|        | Albumins: Binding Isotherms, Optical Rotatory Dispersion, Viscosity,      |
|        | Hydrogen Ion Titration, and Fluorescence Effects. Biochemistry-US.        |
|        | 1971; 10(22):4005–4015  |
| 2058   | U.S. Application No. 12/910,693, Notice of Allowance (mailed Dec. 27,     |
|        | 2011)   |
| 2059   | Diaz et al., Molecular Recognition of Taxol by Microtubules. J Biol Chem. |
|        | 2002; 275(34):26265–26276   |
| 2060   | Chen et al., Albumin-bound nanoparticle (nab) paclitaxel exhibits         |
|        | enhanced paclitaxel tissue distribution and tumor penetration. Cancer     |
|        | Chemoth Pharm. 2015; 76:699–712   |
| 2061   | Evangelio et al., Fluorescent Taxoids as Probes of the Microtubule        |
|        | Cytoskeleton. Cell Motil Cytoskel. 1998; 39:73–90                         |
| 2062   | Intentionally Left Blank  |
| 2063   | Declaration of Lisamarie LoGiudice  |
| 2064   | Declaration of Andrew S. Chalson in Support of Patent Owner's             |
|        | Unopposed Motion for Pro Hac Vice Admission                               |
| 2065   | Declaration of Daniel C. Wiesner in Support of Patent Owner's             |
|        | Unopposed Motion for Pro Hac Vice Admission                               |
|        |   |



# DOCKET A L A R M

# Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

# **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

#### API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

### **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

