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	Application Number	14608644
	Filing Date	2015-01-29
	First Named Inventor	Peter Wayne Marks
	Art Unit	1627
	Examiner Name	Jean-Louis Samira JM
	Attorney Docket Number	PAT034678-US-CNT

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	2	Dorland's illustrated Medical Dictionnary,32nd Ed., p955, 2011	☐
	3	Excerpt of the Merck Index for Everolimus P718, section 3950, Merck Index, 15th edition, 2013	☐
	4	Hanin et al. "Effect of Interferon-a Treatment on Octreoide uptake in CA20948 tumors: A small animal PET study" The journal of Nuclear Medecine, 2011,52, N°4 pp580-585	☐
	5	Öberg, "Guidelines for the Management of Gastroenteropancreatic Neuroendocrine Tumors (including Bronchopulmonray and Thymic Neoplasms)" Acta Oncologica, Vol 7, 2004	☐
	6	Excerpt from Wikipedia "Response Evaluation Criteria in Solid Tumors" available at http://de.wikipedia.org/wiki/ Response_Evaluation_Criteria_In_Solid_Tumors last accessed March 21, 2015	☐
	7	Öberg, Kjell et al., "Guidelines for the Management of Gastroenteropancreatic Neuroendocrine Tumors (including Bronchopulmonary and Thymic Neoplasms) Part II" Acta Oncologica, Vol 43, 2004	☐
	8	Tabernero et al., " A phase Study with Tumor molecular pharmacodynamics (MPD) evaluation of dose and schedule of the oral mTOR-inhibitor Everolimus (RAD001) in patients (pts) with advanced solid tumors" Journal of Clinical Oncology Vol.23, 2005	☐
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	13	Excerpt from the database clinical trials.gov Efficacy and Safety of Everolimus (RAD001) Compared to Placebo in Patients V Neuroendocrine Tumors (Radiant-3) January 4, 2015	☐
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	15	Yao et al., "Phase II Study of RAD001 (everolimus) and depot octreotide (Sandostatin LAR) in patients with advanced low grade neuroendocrine carcinoma (LGNET) Journal of Clinical Oncology, Vol.24, No18S, 2006	☐
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	17	Yao, James C. et al., "Everolimus for advanced pancreatic neuroendocrine tumors* The New England Journal of Medecine, Vol.364, 2011 pp514-523	☐
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	21	Von Wichert et al., "Insulin-like Growth Factor-I is an Autocrine Regulator of Chromogranin A Secretion and Growth in Human Neuroendocrine Tumor Cells", Cancer Research, Vol.60, pp4573-4581 15 Aug 2000	☐
	22	Evers et al., "The Human Carcinoid Cell Line , BON a Model System for the Study of Carcinoid Tumors", Annals of New York Academy Sciences, Vol.733, pp393-406, 1992	☐
	23	Dutcher Janice P., "Mammalian Target of Rapamyicin Inhibition", Clinical Cancer Research, Vol.10, pp6382s-6387s, 2004	☐
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	26	Novartis Press Release on Pivotal Phase III trial of Novartis drug Afinitor®, June 2010	☐
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☐ A certification statement is not submitted herewith.

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Signature	/Gregory Ferraro/	Date (YYYY-MM-DD)	2015-04-01
Name/Print	Gregory Ferraro	Registration Number	36134

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