

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APOTEX INC. and APOTEX CORP.,

Petitioners,

v.

NOVARTIS AG,

Patent Owner.

Case IPR2017-00854

U.S. Patent No. 9,187,405

PATENT OWNER'S MANDATORY NOTICES

Mail Stop Patent Board
Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. § 42.8, Patent Owner submits the following Mandatory Notices:

1. Real Parties-In-Interest (37 C.F.R. § 42.8(b)(1))

The real parties-in-interest are Novartis AG and Novartis Pharmaceuticals Corporation. Other Novartis AG subsidiaries may have an interest.

2. Related Matters (37 C.F.R. § 42.8(b)(2))

No other judicial and administrative matters would affect, or be affected by, a decision in this proceeding.

3. Lead and Back-Up Counsel (37 C.F.R. § 42.8(b)(3))

Patent Owner designates the following counsel as lead and back-up counsel, both with Gibson, Dunn & Crutcher LLP:

| Lead Counsel: | Back-up Counsel: |
|---|--|
| Jane M. Love, Ph.D. (Reg. No. 42,812) 200 Park Avenue, 47 th Floor New York, NY 10166 Phone: (212) 351-3922 Fax: (212) 351-6322 jlove@gibsondunn.com | Robert W. Trenchard (<i>pro hac vice</i> to be filed) 200 Park Avenue, 47 th Floor New York, NY 10166 Phone: (212) 351-3942 Fax: (212) 351-5242 rtrenchard@gibsondunn.com |

A power of attorney to transact all business in the United States Patent & Trademark Office in connection with the above-referenced *inter partes* review from Patent Owner to Gibson, Dunn & Crutcher LLP is attached hereto.

4. Service Information (37 C.F.R. § 42.8(b)(4))

Service of any documents via hand-delivery may be made at the postal mailing addresses of lead and back-up counsel identified above with courtesy copies to the following email addresses: jlove@gibsondunn.com; and rtrenchard@gibsondunn.com.

Patent Owner consents to electronic service at these same email addresses.

5. Fee Payment Authorization (37 C.F.R. § 42.103)

In accordance with 37 C.F.R. § 42.103(a), Patent Owner authorizes the United States Patent and Trademark Office to charge any applicable fees associated with this proceeding to Deposit Account No. 50-1408, and to credit any overpayment to the same account.

Date: April 11, 2017

Respectfully submitted,

By: /Jane M. Love, Ph.D./
Jane M. Love, Ph.D.
Reg. No. 42,812

Gibson, Dunn & Crutcher LLP
200 Park Avenue, 47th Floor
New York, NY 10166

Lead Counsel for Patent Owner

CERTIFICATE OF SERVICE

I hereby certify that on April 11, 2017, a true and accurate copy of PATENT OWNER'S MANDATORY NOTICES including a Power of Attorney was served via electronic mail on the following counsel of record for Petitioner:

Steven W. Parmlee: sparmlee@wsgr.com
Michael T. Rosato: mrosato@wsgr.com
Jad. A. Mills: jmills@wsgr.com

Wilson Sonsini Goodrich & Rosati
701 Fifth Avenue, Suite 5100
Seattle, WA 98104
Telephone: 206-883-2554

Dated: April 11, 2017

/Jane M. Love, Ph.D./
Jane M. Love, Ph.D.
Reg. No. 42,812
Lead Counsel for Patent Owners

NOVARTIS MANDATORY NOTICES.DOCX