IPR2017-00824 PATENT NO. 6,088,802

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

KINGSTON TECHNOLOGY COMPANY, INC. Petitioner

v.

SPEX TECHNOLOGIES, INC. Patent Owner

Patent No. 6,088,802 Filing Date: June 4, 1997 Issue Date: July 11, 2000 Title: PERIPHERAL DEVICE WITH INTEGRATED SECURITY FUNCTIONALITY

SPEX TECHNOLOGIES, INC.'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. §42.8(a)(2)

Case No. IPR2017-00824

DOCKET

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Pursuant to 37 C.F.R. § 42.8(a)(2), Patent Owner, SPEX Technologies, Inc., hereby files its Mandatory Notices. This notice is timely filed within 21 days of service of the petition January 31, 2017.

I. 37 C.F.R § 42.8(b)(1) – REAL PARTY-IN-INTEREST

The patent owner and real party-in-interest is SPEX Technologies, Inc.

II. 37 C.F.R § 42.8(b)(2) – RELATED MATTERS

Pursuant to 37 C.F.R § 42.8(b)(2), Patent Owner notes the following related matters where Patent Owner asserted U.S. Patent No. 6,088,802 ("the '802 Patent"):

Patent Owner asserted the '802 Patent and the related U.S. Patent No. 6,003,135 ("the '135 Patent") in the following cases:

- SPEX Technologies, Inc. v. Kingston Technology Company Inc., et al., No. 8:16-cv-01790 (C.D. Cal. Filed Sept. 27, 2016);
- SPEX Technologies, Inc. v. Western Digital Corporation, et al., No.
 8:16-cv-01799 (C.D. Cal. Filed Sept. 28, 2016);
- SPEX Technologies, Inc. v. Toshiba America Electronics Components Inc., et al., No. 8:16-cv-01800 (C.D. Cal. Filed Sept. 28, 2016);
- SPEX Technologies, Inc. v. CMS Products, Inc., No. 8:16-cv-01801 (C.D. Cal. Filed Sept. 28, 2016);
- SPEX Technologies, Inc. v. Integral Memory, PLC, No. 8:16-cv-

01805 (C.D. Cal. Filed Sept. 28, 2016);

 SPEX Technologies, Inc. v. Apricorn, No. 2:16-cv-07349 (C.D. Cal. Filed Sept. 28, 2016).

The '802 Patent is also the subject of IPR No. IPR2017-00430.

Additionally, U.S. Patent No. 6,003,135 to Bialick, et al., entitled "Modular Security Device" issued from a commonly-owned patent application (U.S. Patent Appl. No. 08/869,120) filed on the same day as the '802 patent application (U.S. Patent Appl. No. 08/869,305), with the same inventive entity as the '305 application, which was identified as related in the '305 application, and the disclosure of which was incorporated by reference in the '305 application.

Patent Owner notes the following Inter Partes Review matters directed to the '135 Patent:

• Petition for inter partes review of the '135 Patent: IPR2017-00825.

III. 37 C.F.R § 42.8(b)(3) – DISCLOSURE OF COUNSEL

Pursuant to 37 C.F.R § 42.8(b)(3), Patent Owner identifies lead and back-up counsel as follows:

Lead Counsel: Vincent J. Rubino, III (Reg. No. 68,594)

Electronic Service Information: vrubino@brownrudnick.com

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Back-up Counsel:Peter Lambrianakos (Reg. No. 58,279)Electronic Service Information:plambrianakos@brownrudnick.comPost and Delivery:Brown Rudnick LLP, 7 Times Square, New York, NY 10036Telephone:212-209-4800Facsimile:212-209-4801

IV. 37 C.F.R § 42.8(b)(4) – CORRESPONDENCE

Pursuant to 37 C.F.R § 42.8(b)(4), Patent Owner requests that all electronic correspondence be directed to lead counsel and back-up counsel at the above address. Patent Owner also consents to electronic service by email at the following addresses: plambrianakos@brownrudnick.com; afabricant@brownrudnick.com; and vrubino@brownrudnick.com.

¹ Alfred Fabricant is identified as back-up counsel subject to appropriate *pro hac vice* motion to be filed upon authorization.

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Dated: February 21, 2017

By: /Vincent J. Rubino, III / Vincent J. Rubino, III (Reg. No. 68,594) Lead Counsel for Patent Owner Brown Rudnick LLP 7 Times Square New York, NY 10036 Telephone: 212-209-4800 Facsimile: 212-209-4801 Email: vrubino@brownrudnick.com

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