

I. Introduction and Qualifications

1. I have been retained by Meda Pharmaceuticals Inc. (“Meda”) and Cipla Ltd. (“Cipla”) (collectively, “Plaintiffs”) to formulate and test the composition described in EP 0780127 (Cramer) Example III.

2. I attach my *curriculum vitae* to this report as Appendix A. I am currently a Postdoctoral Research Fellow at the University of Texas, Austin, College of Pharmacy. I obtained my Ph.D in Pharmaceutics from the University of Texas, Austin.

3. I have never testified as an expert witness or prepared an expert report in connection with any litigation. A list of my publications is included in my CV.

4. My rate is \$300 per hour, and my compensation does not depend upon the ultimate outcome of this case. I will also be compensated for any reasonable expenses, including travel costs incurred in conducting activities at counsel’s request.

5. If called to testify, I am prepared to testify regarding the results of my experiments as reported here and the attached documents.

II. Summary of Work and Results

6. Plaintiffs retained me to formulate Cramer Example III using three different processes: (1) the process Cramer describes in Example I (“Cramer Example I Method”); (2) the process Geena Malhotra describes in her August 12, 2011 declaration (“Malhotra Method”); and (3) the first process Dr. Govindarajan used in his June 30, 20016 report (“Govindarajan Method”). I was instructed to document and photograph the formulation process. I attach the protocols for the Cramer Example I Method and the Malhotra Method as Appendix B.

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7. Plaintiffs also retained me to perform the following tests on the formulations prepared using the three different processes: (1) visually describe the final preparation; (2) measure the pH; (3) test the sprayability; (4) measure the pH 7 days after preparation; (5) visually describe the preparation after 7 days with and without mixing; (6) test the Delivered Dose Uniformity; (7) test the Bulk Blend Uniformity Assay for Triamcinolone Acetonide for freshly prepared samples, samples after 3 hours, and samples after 7 days; and (8) visually describe the preparation after 14 days with and without mixing. I did not conduct the Delivered Dose Uniformity tests and Bulk Blend Uniformity Assay for Triamcinolone Acetonide on formulations that failed the sprayability test (i.e., produced a jet spray). Additionally, the preparation made using the Govindarajan Method did not result in a large enough volume for me to perform the Delivered Dose Uniformity Testing and Bulk Blend Uniformity Assay for Triamcinolone Acetonide.

8. The Cramer Reference is silent as to the grade of HPMC to use and whether the triamcinolone acetonide is micronized or unmicronized. Therefore, I used two different grades of HPMC, a low-viscosity grade (HPMC-E3-LV) and a medium-viscosity grade (HPMC-E4M), and I used both micronized and unmicronized triamcinolone acetonide.

9. Exhibit A shows the following formulation matrix:

Formulation Matrix			
Formulation	Polymer	Method	API
HS-D	HPMC-E4M (4000 cps)	Cramer Example I	Micronized TAA
Mal-A	HPMC-E3-LV (3cps)	Malhotra	Raw TAA
Mal-B	HPMC-E4M (4000 cps)	Malhotra	Raw TAA
Mal-C	HPMC-E3-LV (3cps)	Malhotra	Micronized TAA
Mal-D	HPMC-E4M (4000 cps)	Malhotra	Micronized TAA
X-Form	HPMC-E3-LV (3cps)	Govindarajan	Micronized TAA

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10. The legend for the formulation matrix is:
 - a. TAA – Triamcinolone Acetonide;
 - b. Mal-A, Mal-B, Mal-C, and Mal-D were preparations using the Malhotra Method;
 - c. HS-D is a preparation using Cramer Example I Method;
 - d. X-Form recreated the Govindarajan Method.

11. Exhibit B reports the process steps, visual results, and pH testing for the Mal-A, Mal-B, Mal-C, and Mal-D preparations made using the Malhotra Method.

12. Exhibit C reports the process steps, visual results, and pH testing for HS-D. The exhibit also shows the preparation made using the Cramer Example I Method.

13. Exhibit R reports the Pump Delivery Shot Weight Raw Data and the Sprayability results for Mal-A, Mal-B, Mal-C, Mal-D, and HS-D formulations.

14. Exhibit D reports the visual results and pH testing results for X-form. I annotated a copy of Dr. Govindarajan's lab notebook.

15. Exhibit E reports the results of pH testing of each formulation (Mal-A, Mal-B, Mal-C, Mal-D, HS-D, and X-Form) 7 days after initial preparation.

16. Exhibit F reports the visual appearance of each formulation (Mal-A, Mal-B, Mal-C, Mal-D, HS-D, and X-Form) 7 days after initial preparation. It also reports the visual appearance of each formulation after attempting to re-disperse settled particles. Re-dispersion was done by swirling and shaking the vessel in a moderate action to minimize foaming.

17. Exhibit G reports the sprayability of formulations Mal-A and Mal-C after 7 days. Because formulations Mal-B, Mal-D, and HS-D only produced a jet during the initial test, I did not conduct another sprayability test 7 days after preparation of those formulations.

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18. Exhibit H reports the Delivered Dose Uniformity analysis and assay of formulation Mal-A.
19. Exhibit I reports the Delivered Dose Uniformity analysis and assay of formulation Mal-C.
20. Exhibit J reports the Delivered Dose Uniformity summary for Mal-A and Mal-C preparations.
21. Pictures from Mal-A preparation showing the formulation after step 11 and after 7 days are attached as Exhibit K.
22. Pictures from Mal-B preparation showing the formulation after step 11 and after 7 days are attached as Exhibit L.
23. Pictures from Mal-C preparation showing the formulation after step 11 and after 7 days are attached as Exhibit M.
24. Pictures from Mal-D preparation showing the formulation after step 11 and after 7 days are attached as Exhibit N.
25. Exhibit O contains pictures from the HS-D preparation that show the formulation after step 11, 7 days after preparation, and pictures of the undissolved EDTA taken during the initial preparation.
26. Pictures from the X-Form preparation show the formulation after the addition of azelastine hydrochloride, after the addition of HPMC, and 7 days after initial preparation are attached as Exhibit P.

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27. I also took videos of the sprays from Mal-A and Mal-B preparations, which were representative of the spray patterns of the low-viscosity HMPC and medium-viscosity HPMC formulations, respectively, which I attach as Exhibit Q.

28. Pictures for Mal-A, Mal-B, Mal-C, HS-D, and X-Form formulations 14 days after the initial preparation are attached as Exhibit S.

29. Exhibit T contains pictures of the visual appearance of each formulation (Mal-A, Mal-B, Mal-C, HS-D, and X-Form) 14 days after the initial preparation and after attempting to re-disperse any settled particles of the formulation. Re-dispersion was done by swirling and shaking the vessel in a moderate action to minimize foaming.

III. Declaration

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge.

Date: 7/28/2016

A handwritten signature in cursive script, appearing to read "Matthew Herpin", written over a horizontal line.

Matthew J. Herpin, Ph.D

Appendix A

MATTHEW J. HERPIN, Ph.D

601 Hearn Street #202 • Austin, Texas 78703 • 512.947.8626
matt.herpin@utexas.edu

I. Personal

Born October 31st 1981 in Corpus Christi, Texas. United States Citizen.

II. Education

Ph.D., Pharmaceutics, December 2015
Advisor: Dr. Hugh D.C. Smyth
The University of Texas, Austin, TX

Bachelor of Science, Biochemistry, May 2005
The University of Texas, Austin, TX

III. Positions Held

January 2016 to Current
Postdoctoral Research Fellow- University of Texas at Austin, College of Pharmacy

May 2015 to January 2016
Graduate Research Assistant- University of Texas at Austin, College of Pharmacy

January 2012 to January 2014
PhRMA Foundation Pre-Doctoral Fellow- University of Texas at Austin, College of Pharmacy

January 2011 to May 2015
Teaching Assistant- University of Texas at Austin, College of Pharmacy
Courses:

- Physical and Chemical Principles of Drugs & Laboratory, Fall: 2011
- Pharmaceutical Compounding Laboratory, Spring: 2011, 2014, 2015

2008 to July 2010
Research Associate
Appian Labs, LLC, Austin, TX
Aeonclad Biomedical, LLC, Austin, TX
Enavail, LLC, Austin, TX

- Responsible for leading a product development group with the overall responsibility of developing solid dosage forms. The development group is comprised of formulation development and analytical team members. Reports directly to the Director of Research and Development and company President.

Curriculum Vitae
Matthew J. Herpin
Page 2

- Responsible for the development of solid dosage forms with a strong emphasis on products utilizing diffusion based hydrogel controlled release systems, plasma enhanced chemical vapor deposition/polymer based controlled release coatings and particle engineering technologies to drug absorption profiles and improve bioavailability.
- Provide strategic, scientific and managerial leadership to the formulation and analytical teams to solve complex drug development issues
- Develop experimental plans based on client needs and overall project goals
- Coordinate the production and analytical testing of pharmaceutical formulations
- Interpret experimental observations according to sound scientific principles
- Interact with clients and consultants to provide project updates verbally and in the form of technical reports
- Work closely with the Operations group to coordinate the transfer of technology from R&D to cGMP manufacturing
- Prepare and review batch records and standard operating procedures
- Provide and submit intellectual property ideas relating to novel pharmaceutical formulations, processing techniques or analytical methods.

2006 to October 2008

Analytical Chemist, Quality Control

Inhalation Chemist, Platform Development, and Quality Control

Pharmaform, LLC, Austin, TX

- Responsible for the USP testing of pharmaceutical dosage forms in Research and Development and in Phase I to III clinical trials. Reported to the Vice President of Quality Control
 - Interacted with clients to determine project goals and to provide project updates
 - Planned, designed, and performed experiments relating to the development of a standard method of analysis for solid dosage forms and dry powder inhalers.
 - Conducted testing on physical and chemical properties pertaining to product purity potency and performance. Including: High Performance Liquid Chromatography, USP Dissolution Testing,
 - Worked closely with method development chemists and manufacturing to solve problems associated with production.
 - Provide manufacturing analytical support.
 - Coordinated product analytical testing
 - Wrote and reviewed technical reports submitted to clients

August 2003 to 2006

Compounding Pharmacy Technician (CPhT)

Peoples Pharmacy, Austin, TX

- Responsible for compounding preparation of various pharmaceutical dosage forms including: capsules, gels, lotions, creams, suppositories, rapid-disintegrating tablets, medicated lollipops and others.
 - Assists pharmacist in the dispensing of medication to patients
 - Managing inventory and ordering supplies

IV. Relevant Training Completed

- Inhalation Aerosol Technology Workshop: University of Maryland, Baltimore.
- Waters HPLC Operation and Utilization Course
- cGMP Certification Training: Compliance LLC

V. Relevant Coursework Completed

Biopolymers: Drug and Gene Delivery
Biopharmaceutics and Pharmacokinetics
Physical and Chemical Principles of Drugs
Pharmaceutics
Pharmaceutical Compounding
Modern Advances in Pharmaceutics
Advanced Manufacturing Pharmacy
Product Development
Statistics for Translational Scientists

VI. Professional Memberships

American Association of Pharmaceutical Scientists	2011 – present
American Chemical Society	2011 – present
American Association of Advancing Science	2012 – present

VII. Current Research Interests

- Precision ophthalmic drug delivery via tunable aerosol dynamics
- Drug particle engineering by way of super-heated processing and high pressure homogenization
- Ophthalmic and pulmonary drug delivery device design and development
- Enhanced aerodynamics and performance of inhalation powders
- Preformulation, formulation, and characterization of novel delivery systems.

VIII. Formulation Development Proficiencies

- Inhalation Aerosol Based Systems- Including various nebulizers, pMDI and evaporation/condensation aerosols
- Topical Ophthalmic drug delivery systems/vehicles-
- Diffusion Based Controlled Release Tablets (direct compression)
- Various Particle Engineering Technologies: High Pressure Homogenization, Solvent Precipitation/Evaporation, Spray Drying, Super-Heated Aqueous Particle Engineering
- Solubilization/Complexation with Cyclodextrins
- Dry Powder Inhalation formulations
- Powder Encapsulation

- Preparation of Emulsions, Creams, Lotions, Gels, Suspensions
- Excipient Uses and Functionality

IX . Technical Proficiencies and Research Experience

Analytical Instrumentation and Methodology:

- UV-Vis/Fluorescence Spectrophotometers
- Fourier Transform Infrared Spectroscopy
- Laser Diffraction Particle Sizing (Sympatec-Helos)
- High Performance Liquid Chromatography-Method Development/Qualification
- Inverse Gas Chromatography
- Dynamic Light Scattering
- Atomic Absorption
- Differential Scanning Calorimetry
- Faraday Cage for Electrostatic Analysis
- Powder X-Ray Diffraction

Physical Testing

- United States Pharmacopoeia (USP) Dissolution Tests
- Angle of Repose
- Moisture Analyzer
- Next Generation Impactor (aerodynamic assessment of fine particles)
- Anderson Cascade Impactor/ Twin Stage Impactor
- Instron Compression and Elongation Analysis
- Tablet Hardness
- Tablet Friability

Biochemical Techniques

- Polymerase Chain Reaction(PCR)
- Western Blotting
- PAGE/Agarose Gel Electrophoresis
- Various enzyme activity assays

Microscopy

- Atomic Force Microscopy (AFM)
- High Performance Liquid Chromatography-Method Development/Qualification
- Two Photon Microscopy
- Scanning Electron Microscopy
- Raman Microscopy

X. Awards and Honors

- PhRMA Foundation Pre-Doctoral Fellowship (2012 - 2014)
- Teaching Excellence Award- Outstanding Teaching Assistant- 2014
- Student Entrepreneur Acceleration and Launch, Program Graduate- 2015
- Texas Venture Labs- Founding Company Participant

XI. Publications and Presentations

Herpin MJ, Raffa-Carvalho S, Smyth HDC, McConville JT, Variable Flow Pattern Effects on Fine Particle Generation from a Dry Powder Inhaler, Littlefield Excellence in Research Poster Presentation, 2010

Bosselmann S, Owens III DE, Kennedy RL, **Herpin MJ**, Williams III RO. Plasma deposited stability enhancement coating for amorphous ketoprofen. *European Journal of Pharmaceutics and Biopharmaceutics*. 2011;78(1):67-74.

Donovan MJ, Gibbons A, **Herpin MJ**, Marek S, McGill S, Smyth HDC. Novel Dry Powder Inhaler Particle Dispersion Systems-A Review. *Future Medicine*. 2011

Herpin M.J, Smyth HDC, A Novel Ocular Soft Mist Aerosol Device for Tunable Drug Delivery, American Association of Pharmaceutical Scientists Conference, Poster Presentation, Oct. 2012

Herpin M.J, Smyth HDC, Non-Aqueous Aerosol Deposition for Ocular Drug Delivery, American Association of Pharmaceutical Scientists Conference, Poster Presentation, Oct. 2013

Moraga, D., Bahamondez, T., **Herpin, M.**, Maloney, A. Yazdi, A., Du, P., Du, J., Smyth, H., Hydrofluoroalkane Propellant Driven Metered Dose Inhaler Formulations. In *Textbook of Aerosol Medicine*.

Herpin M.J, Smyth HDC, Aqueous Based Aerosol Vehicles for Enhanced Ocular Drug Delivery, American Association of Pharmaceutical Scientists Conference, Poster Presentation, Oct. 2014

Herpin M.J., Ebi, Dominik, Clemens, N., Smyth H.D.C. Characterization of Toroidal Vortices Generated by a Novel Ocular Drug Delivery Device. *International Journal of Pharmaceutics*. 2016 (In Preparation)

Herpin M.J., Xinfei, X. Smyth, H.D.C., Super Heated Aqueous Particle Engineering for Poorly Water Soluble Drugs. *International Journal of Pharmaceutics*. 2016 (In Preparation)

Herpin M.J., Smyth, H.D.C. Precision Ocular Drug Delivery Via Aerosol Ring Vortices. *Drug Delivery in Translational Medicine*. 2016. (In Preparation)

Bandara, H. M. H. N., **Herpin M.J**, Kolaccny D., Harb A., Romanovicz D., Smyth H.D.C., . "Incorporation of farnesol significantly increases the efficacy of liposomal ciprofloxacin against *Pseudomonas aeruginosa* biofilms in vitro." *Molecular Pharmaceutics* (2016).

XI. Intellectual Property

1. Smyth, H.D.C., **Herpin M.J.**, Toroidal Pharmaceutical Formulations, U.S. Patent No. 61/501,671
2. Smyth, H.D.C., **Herpin M.J.**, Method for Fine Particle Manufacture, U.S. Patent No. 14/458,818
3. Cannon C., Parth, S., Smolen, J., Smyth H., Yazdi A., **Herpin M.J.**, Antimicrobial and Anti-Inflammatory Compositions. Provisional U.S. Patent Application. # 62/168,561

Appendix B

Final Protocol
7/7/16

Nasal Spray Manufacturing and Characterization Testing using E4MP HPMC and micronized Triamcinolone

I. Purpose

The purpose of this experiment is to re-create and evaluate the disclosure in EP 0780127 (“Cramer”) to determine whether it a nasal spray with properties that are suitable for nasal administration. Specifically, this experiment will recreate Example III for an intranasally administered composition comprising triamcinolone acetonide and azelastine HCL.

Example III from Cramer will be prepared following the mixing techniques described in Cramer Example I.

Samples will be evaluated for appearance, spray content uniformity, spray pattern, droplet size, particle size, viscosity, stability, and osmolality, for example.

II. Materials

INGREDIENT	Grade	SUPPLIER	NOTES
Polysorbate 80			
Benzalkonium chloride			
Glycerin			
Hydroxypropyl methylcellulose (HPMC)	E4MP (4000 mPas)	Dow	HPMC is available in multiple grades (viscosities) and chemical substitution. Since this is not defined within the patent example, E4MP will be tested
Sodium Chloride			
Ethylenediamine tetraacetic acid (EDTA)			
Distilled water -			
Triamcinolone acetonide			This drug will be in suspension and will therefore need to be procured in micronized form.
Azelastine HCl			

III. Preparation of Cramer Example III

Cramer Example III is:

Example III

The intranasally administered pharmaceutical composition of the present invention is prepared by combining the following components utilizing conventional mixing techniques similar to that described in Example I.

Component	Wgt %
triamcinolone acetonide	0.050
azelastine HCl	0.070
polysorbate 80	0.050
glycerin	2.000
hydroxypropyl methyl cellulose	1.000
sodium chloride	0.900
ethylenediamine tetraacetic acid	0.050
benzalkonium chloride	0.020
distilled water	q.s. to vol.

Administration of approximately 0.4 grams of the composition is used for topical nasal application to provide relief from allergy or allergy-like symptoms. Additionally, substantially similar results are also obtained using, in whole or in part, equivalent amounts of other glucocorticoid agents such as fluticasone, mometasone, budesonide, pharmaceutically acceptable salts thereof and mixtures thereof. Furthermore, the above described compositions may also contain a decongestant such as pseudoephedrine, phenylpropanolamine, phenylephrine, tetrahydrozoline, naphazoline, oxymetazoline, tramazoline, 5-(2-imidazolinyllamino)benzimidazoles, optically active racemates thereof, pharmaceutically acceptable salts thereof and mixtures thereof. Those skilled in the art will quickly realize other suitable ingredients, diluents and dosage forms (or readily ascertain such using routine experimentation) which may further be incorporated into the above compositions without departing from the scope and spirit of the present invention.

Preparation according to Example I

Example III will be prepared by combining the following components utilizing “conventional mixing techniques similar to that described in Example 1”:

In an appropriately sized vessel, the dextrose, polysorbate 80 and benzalkonium chloride are added one at a time to water with mixing, allowing each to dissolve or completely disperse before adding the next. To this is added, with mixing, a premixed slurry of the avicel and water. Upon forming a uniform solution, the beclomethasone, loratadine and phenylethyl alcohol are added. After all the ingredients are added, purified water is used to bring the batch to the appropriate weight.

Accordingly 500 mL of Example III will be made using the procedure outlined below:

1. In an appropriately sized vessel to manufacture 500 mL of product,
 - a. To 100 mL of distilled water
 - b. Add polysorbate 80
 - c. Mix step – Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
 - d. Add benzalkonium chloride
 - e. Mix - Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
 - f. Add glycerin

- g. Mix- Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
 - h. Add EDTA
 - i. Mix - Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
 - j. Add NaCl
 - k. Mix - Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
2. In a separate vessel the hydroxyl methylcellulose is prepared”
 - a. As per the Handbook of Pharmaceutical Excipients (2nd Edition, 1994) 20% of the required water is used to hydrate the HPMC (100 mL distilled water)
 - b. The water is vigorously stirred at RPMs adequate to disperse the powder and heated to approximately 90 deg C
 - c. While stirring, the HPMC is added, and mixing continues until all particles are thoroughly wetted
 - d. Then to the dispersed HPMC, 200 mL of cold distilled water is added while mixing
 - e. The mixture is then cooled to between 20 to 25°C (68 to 77°F) or below as according to the Methocel™ product guide (“How to Prepare Aqueous Solutions of METHOCEL™”).
 3. This premixed HPMC mixture is then added to the ingredients of step 1 to form a uniform solution.
 - a. Note: Since Example III does not list the grade of HPMC used, this experiment will be replicated using grades AAA, BBB, and CCC. The manufacturer has stated that each grade is suitable for nasal administration.
 4. To this solution Triamcinolone and Azelastine are added and mixed at 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed.
 - a. Perform bulk product testing (top, middle, and bottom) to ensure stability over a period (2-3 or more hours) sufficient to demonstrate uniformity of the bulk following production.
 5. The samples are then mixed using a high-speed homogenizer.
 6. The formulations are then filled into nasal spray bottles (approximately 16.5 g per bottle) and spray pumps fitted to the bottles.
 7. Filled bottles will be stored at room temperature and optionally additional bottles not stored at room temperature will be stored at accelerated stability conditions (40 deg C and 75% RH).
 8. Bottles will be tested at time points indicated below using various performance assays.

IV. Product Performance Testing and Characterization

Typically several tests are performed for nasal products as part of standard characterization testing protocols to determine pharmaceutical acceptability. For the current testing the selected methods to characterize the product in terms of formulation appearance, spray characterization, uniformity of dosing, viscosity, osmolarity, and physical stability of the suspension.

TEST	EQUIPMENT	Protocol #	Notes
Appearance	a. Visual Inspection	SMA-007-00	Qualitative assessment of homogeneity and pharmaceutical acceptability . Looks at sedimentation, separation of suspended particles, agglomeration, redispersability of suspension upon shaking. This will be done on both formulation and the filled bottles.
Assay	a. HPLC Assay to assess the potency of Suspended Triamcinolone Acetonide	HPLC Method	10 mLs of sample will be drawn off the top, middle and bottom of formulated composition, and then again after 3 Hrs to determine blend uniformity/settling stability
Pump Delivery	a. Analytical Balance	SMA-009-00	Quantitative assessment of the variability of formulation dispensing from the nasal spray pump. A Valois Nasal Spray Pump from Aptar will be used. Pump: VP7
Visual Inspection of spray quality	a. Visual observation of emission of formulation during actuation of pump	Observation of spray/jet/ failure to emit	Qualitatively assesses acceptability of spray
Spray Content Uniformity	a. Dose collection tubes b. HPLC drug assay c. Actuation Station d. Analytical Balance	SMA-001-00	Quantitative assessment of the variability of emitted dose from the nasal spray pump. Will be quantified using Triamcinolone acetonide assay.
*The Following Tests Only to be Conducted As Needed to Supplement Previous Findings			

Droplet Size Analysis*	a. Sympatec Laser Diffraction	SMA-003-00	Assesses the spray quality.
Viscosity*	a. Dynamic	SMA-004-00	Assesses the viscosity of the product and will assist in interpretation of product performance tests.
Solid Particle Size*	a. Light Microscopy	SMA-002-00	Assesses the stability of the suspended particles and can inform on particle aggregation/agglomeration.
Osmolality*	a. MicroOsmette- Freezing Point Depression Osmometer	SMA-008-00	
Plume Geometry*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.
Spray Pattern*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.

Time points will be evaluated at 0, 7, and 14 days. The samples will be stored in ambient storage conditions. pH will be measured at all time points.

V. Report

A report detailing the preparation methods and results of the testing will be provided.

Final Protocol
7/7/16

Nasal Spray Manufacturing and Characterization Testing Following Malhotra Method using E3 Prem HPMC and micronized Triamcinolone

I. Purpose

The purpose of this experiment is to re-create and evaluate the disclosure in EP 0780127 (“Cramer”) to determine whether it a nasal spray with properties that are suitable for nasal administration. Specifically, this experiment will recreate Example III for an intranasally administered composition comprising triamcinolone acetonide and azelastine HCL.

Example III from Cramer will be prepared following the method set forth in Geena Malhotra’s declaration submitted to the U.S. Patent and Trademark Office on August 12, 2011.

Samples will be evaluated for appearance, spray content uniformity, spray pattern, droplet size, particle size, viscosity, stability, and osmolality, for example.

II. Materials

INGREDIENT	Grade	SUPPLIER	NOTES
Polysorbate 80			
Benzalkonium chloride			
Glycerin			
Hydroxypropyl methylcellulose (HPMC)	Low viscosity: E3 PREM LV (3 mPas)	Dow	HPMC is available in multiple grades (viscosities) and chemical substitution. Since this is not defined within the patent example, E3 Prem will be tested
Sodium Chloride			
Ethylenediamine tetraacetic acid (EDTA)			
Distilled water -			
Triamcinolone acetonide			This drug will be in suspension and will therefore need to be procured in micronized form.
Azelastine HCl			

III. Preparation of Cramer Example III

Cramer Example III is:

Example III

The intranasally administered pharmaceutical composition of the present invention is prepared by combining the following components utilizing conventional mixing techniques similar to that described in Example I.

Component	Wgt %
triamcinolone acetonide	0.050
azelastine HCl	0.070
polysorbate 80	0.050
glycerin	2.000
hydroxypropyl methyl cellulose	1.000
sodium chloride	0.900
ethylenediamine tetraacetic acid	0.050
benzalkonium chloride	0.020
distilled water	q.s. to vol.

Administration of approximately 0.4 grams of the composition is used for topical nasal application to provide relief from allergy or allergy-like symptoms. Additionally, substantially similar results are also obtained using, in whole or in part, equivalent amounts of other glucocorticoid agents such as fluticasone, mometasone, budesonide, pharmaceutically acceptable salts thereof and mixtures thereof. Furthermore, the above described compositions may also contain a decongestant such as pseudoephedrine, phenylpropanolamine, phenylephrine, tetrahydrozoline, naphazoline, oxymetazoline, tramazoline, 5-(2-imidazolinyllamino)benzimidazoles, optically active racemates thereof, pharmaceutically acceptable salts thereof and mixtures thereof. Those skilled in the art will quickly realize other suitable ingredients, diluents and dosage forms (or readily ascertain such using routine experimentation) which may further be incorporated into the above compositions without departing from the scope and spirit of the present invention.

Preparation by Method Detailed in Geena Malhotra's 2011 Declaration

The technique will use the ingredients and process described below:

Process of preparation:

- 1) Part quantity of purified water was taken in a vessel.
- 2) Sodium chloride and EDTA was added and dissolved under stirring followed by heating the bulk.
- 3) Hydroxy propyl methyl cellulose was added and dispersed under stirring.
- 4) Stirring was done and bulk was held at 2-8°C overnight.
- 5) Glycerin was added and mixed in above bulk under stirring.
- 6) Part quantity of purified water was taken and Azelastine HCl was dissolved in it to form drug slurry.
- 7) Drug slurry of step # 6 was added in main bulk of step # 5 under stirring.
- 8) Polysorbate 80 was added and dissolved in part quantity of purified water. Triamcinolone was added to this solution under stirring.
- 9) Drug slurry of step # 8 was added in above bulk of step # 7 under stirring.

10) Benzalkonium chloride was added in part quantity of purified water and this solution was added in above bulk under stirring.

11) Volume was made-up with purified water.

12) Stirring was done with a high-speed homogenizer and pH was checked.

IV. Product Performance Testing and Characterization

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TEST	EQUIPMENT	Protocol #	Notes
Appearance	a. Visual Inspection	SMA-007-00	Qualitative assessment of homogeneity and pharmaceutical acceptability . Looks at sedimentation, separation of suspended particles, agglomeration, redispersability of suspension upon shaking. This will be done on both formulation and the filled bottles.
Assay	a. HPLC Assay to assess the potency of Suspended Triamcinolone Acetonide	HPLC Method	10 mLs of sample will be drawn off the top, middle and bottom of formulated composition, and then again after 3 Hrs to determine blend uniformity/settling stability
Pump Delivery	a. Analytical Balance	SMA-009-00	Quantitative assessment of the variability of formulation dispensing from the nasal spray pump. A Valois Nasal Spray Pump from Aptar will be used. Pump: VP7
Visual	a. Visual observation of emission of formulation	Observation	Qualitatively assesses

Inspection of spray quality	during actuation of pump	of spray/jet/ failure to emit	acceptability of spray
Spray Content Uniformity	a. Dose collection tubes b. HPLC drug assay c. Actuation Station d. Analytical Balance	SMA-001-00	Quantitative assessment of the variability of emitted dose from the nasal spray pump. Will be quantified using Triamcinolone acetonide assay.
*The Following Tests Only to be Conducted As Needed to Supplement Previous Findings			
Droplet Size Analysis*	a. Sympatec Laser Diffraction	SMA-003-00	Assesses the spray quality.
Viscosity*	a. Dynamic	SMA-004-00	Assesses the viscosity of the product and will assist in interpretation of product performance tests.
Solid Particle Size*	a. Light Microscopy	SMA-002-00	Assesses the stability of the suspended particles and can inform on particle aggregation/agglomeration.
Osmolality*	a. MicroOsmette- Freezing Point Depression Osmometer	SMA-008-00	
Plume Geometry*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.
Spray Pattern*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.

Time points will be evaluated at 0, 7, and 14 days. The samples will be stored in ambient storage conditions. pH will be measured at all time points.

V. Report

A report detailing the preparation methods and results of the testing will be provided.

Final Protocol
7/7/16

Nasal Spray Manufacturing and Characterization Testing Following Malhotra Method using E3 Prem HMPC and Unmicronized Triamcinolone

I. Purpose

The purpose of this experiment is to re-create and evaluate the disclosure in EP 0780127 (“Cramer”) to determine whether it a nasal spray with properties that are suitable for nasal administration. Specifically, this experiment will recreate Example III for an intranasally administered composition comprising triamcinolone acetonide and azelastine HCL.

Example III from Cramer will be prepared following the method set forth in Geena Malhotra’s declaration submitted to the U.S. Patent and Trademark Office on August 12, 2011.

Samples will be evaluated for appearance, spray content uniformity, spray pattern, droplet size, particle size, viscosity, stability, and osmolality, for example.

II. Materials

INGREDIENT	Grade	SUPPLIER	NOTES
Polysorbate 80			
Benzalkonium chloride			
Glycerin			
Hydroxypropyl methylcellulose (HPMC)	Low viscosity: E3 PREM LV (3 mPas)	Dow	HPMC is available in multiple grades (viscosities) and chemical substitution. Since this is not defined within the patent example, E3 Prem will be tested
Sodium Chloride			
Ethylenediamine tetraacetic acid (EDTA)			
Distilled water -			
Triamcinolone acetonide			
Azelastine HCl			

III. Preparation of Cramer Example III

Cramer Example III is:

Example III

The intranasally administered pharmaceutical composition of the present invention is prepared by combining the following components utilizing conventional mixing techniques similar to that described in Example I.

Component	Wgt %
triamcinolone acetonide	0.050
azelastine HCl	0.070
polysorbate 80	0.050
glycerin	2.000
hydroxypropyl methyl cellulose	1.000
sodium chloride	0.900
ethylenediamine tetraacetic acid	0.050
benzalkonium chloride	0.020
distilled water	q.s. to vol.

Administration of approximately 0.4 grams of the composition is used for topical nasal application to provide relief from allergy or allergy-like symptoms. Additionally, substantially similar results are also obtained using, in whole or in part, equivalent amounts of other glucocorticoid agents such as fluticasone, mometasone, budesonide, pharmaceutically acceptable salts thereof and mixtures thereof. Furthermore, the above described compositions may also contain a decongestant such as pseudoephedrine, phenylpropanolamine, phenylephrine, tetrahydrozoline, naphazoline, oxymetazoline, tramazoline, 5-(2-imidazolinyllamino)benzimidazoles, optically active racemates thereof, pharmaceutically acceptable salts thereof and mixtures thereof. Those skilled in the art will quickly realize other suitable ingredients, diluents and dosage forms (or readily ascertain such using routine experimentation) which may further be incorporated into the above compositions without departing from the scope and spirit of the present invention.

A. Preparation by Method Detailed in Geena Malhotra's 2011 Declaration

The technique will use the ingredients and process described below:

Process of preparation:

- 1) Part quantity of purified water was taken in a vessel.
- 2) Sodium chloride and EDTA was added and dissolved under stirring followed by heating the bulk.
- 3) Hydroxy propyl methyl cellulose was added and dispersed under stirring.
- 4) Stirring was done and bulk was held at 2-8°C overnight.
- 5) Glycerin was added and mixed in above bulk under stirring.
- 6) Part quantity of purified water was taken and Azelastine HCl was dissolved in it to form drug slurry.
- 7) Drug slurry of step # 6 was added in main bulk of step # 5 under stirring.
- 8) Polysorbate 80 was added and dissolved in part quantity of purified water. Triamcinolone was added to this solution under stirring.
- 9) Drug slurry of step # 8 was added in above bulk of step # 7 under stirring.

10) Benzalkonium chloride was added in part quantity of purified water and this solution was added in above bulk under stirring.

11) Volume was made-up with purified water.

12) Stirring was done with a high-speed homogenizer and pH was checked.

IV. Product Performance Testing and Characterization

Typically several tests are performed for nasal products as part of standard characterization testing protocols to determine pharmaceutical acceptability. For the current testing the selected methods to characterize the product in terms of formulation appearance, spray characterization, uniformity of dosing, viscosity, osmolarity, and physical stability of the suspension.

TEST	EQUIPMENT	Protocol #	Notes
Appearance	a. Visual Inspection	SMA-007-00	Qualitative assessment of homogeneity and pharmaceutical acceptability . Looks at sedimentation, separation of suspended particles, agglomeration, redispersability of suspension upon shaking. This will be done on both formulation and the filled bottles.
Assay	a. HPLC Assay to assess the potency of Suspended Triamcinolone Acetonide	HPLC Method	10 mLs of sample will be drawn off the top, middle and bottom of formulated composition, and then again after 3 Hrs to determine blend uniformity/settling stability
Pump Delivery	a. Analytical Balance	SMA-009-00	Quantitative assessment of the variability of formulation dispensing from the nasal spray pump. A Valois Nasal Spray Pump from Aptar will be used. Pump: VP7
Visual	a. Visual observation of emission of formulation	Observation	Qualitatively assesses

Inspection of spray quality	during actuation of pump	of spray/jet/ failure to emit	acceptability of spray
Spray Content Uniformity	a. Dose collection tubes b. HPLC drug assay c. Actuation Station d. Analytical Balance	SMA-001-00	Quantitative assessment of the variability of emitted dose from the nasal spray pump. Will be quantified using Triamcinolone acetonide assay.
*The Following Tests Only to be Conducted As Needed to Supplement Previous Findings			
Droplet Size Analysis*	a. Sympatec Laser Diffraction	SMA-003-00	Assesses the spray quality.
Viscosity*	a. Dynamic	SMA-004-00	Assesses the viscosity of the product and will assist in interpretation of product performance tests.
Solid Particle Size*	a. Light Microscopy	SMA-002-00	Assesses the stability of the suspended particles and can inform on particle aggregation/agglomeration.
Osmolality*	a. MicroOsmette- Freezing Point Depression Osmometer	SMA-008-00	
Plume Geometry*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.
Spray Pattern*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.

Time points will be evaluated at 0, 7, and 14 days. The samples will be stored in ambient storage conditions. pH will be measured at all time points.

V. Report

A report detailing the preparation methods and results of the testing will be provided.

Nasal Spray Manufacturing and Characterization Testing Following Malhotra's Method using E4MP HMPC and micronized Triamcinolone

I. Purpose

The purpose of this experiment is to re-create and evaluate the disclosure in EP 0780127 ("Cramer") to determine whether it a nasal spray with properties that are suitable for nasal administration. Specifically, this experiment will recreate Example III for an intranasally administered composition comprising triamcinolone acetonide and azelastine HCL.

Example III from Cramer will be prepared following the method set forth in Geena Malhotra's declaration submitted to the U.S. Patent and Trademark Office on August 12, 2011.

Samples will be evaluated for appearance, spray content uniformity, spray pattern, droplet size, particle size, viscosity, stability, and osmolality, for example.

II. Materials

INGREDIENT	Grade	SUPPLIER	NOTES
Polysorbate 80			
Benzalkonium chloride			
Glycerin			
Hydroxypropyl methylcellulose (HPMC)	E4MP (4000 mPas)	Dow	HPMC is available in multiple grades (viscosities) and chemical substitution. Since this is not defined within the patent example, E4MP will be tested.
Sodium Chloride			
Ethylenediamine tetraacetic acid (EDTA)			
Distilled water -			
Triamcinolone acetonide			This drug will be in suspension and will therefore need to be procured in micronized form.
Azelastine HCl			

III. Preparation of Cramer Example III

Cramer Example III is:

Example III

The intranasally administered pharmaceutical composition of the present invention is prepared by combining the following components utilizing conventional mixing techniques similar to that described in Example I.

Component	Wgt %
triamcinolone acetonide	0.050
azelastine HCl	0.070
polysorbate 80	0.050
glycerin	2.000
hydroxypropyl methyl cellulose	1.000
sodium chloride	0.900
ethylenediamine tetraacetic acid	0.050
benzalkonium chloride	0.020
distilled water	q.s. to vol.

Administration of approximately 0.4 grams of the composition is used for topical nasal application to provide relief from allergy or allergy-like symptoms. Additionally, substantially similar results are also obtained using, in whole or in part, equivalent amounts of other glucocorticoid agents such as fluticasone, mometasone, budesonide, pharmaceutically acceptable salts thereof and mixtures thereof. Furthermore, the above described compositions may also contain a decongestant such as pseudoephedrine, phenylpropanolamine, phenylephrine, tetrahydrozoline, naphazoline, oxymetazoline, tramazoline, 5-(2-imidazolinyllamino)benzimidazoles, optically active racemates thereof, pharmaceutically acceptable salts thereof and mixtures thereof. Those skilled in the art will quickly realize other suitable ingredients, diluents and dosage forms (or readily ascertain such using routine experimentation) which may further be incorporated into the above compositions without departing from the scope and spirit of the present invention.

Preparation by Method Detailed in Geena Malhotra's 2011 Declaration

The technique will use the ingredients and process described below:

Process of preparation:

- 1) Part quantity of purified water was taken in a vessel.
- 2) Sodium chloride and EDTA was added and dissolved under stirring followed by heating the bulk.
- 3) Hydroxy propyl methyl cellulose was added and dispersed under stirring.
- 4) Stirring was done and bulk was held at 2-8°C overnight.
- 5) Glycerin was added and mixed in above bulk under stirring.
- 6) Part quantity of purified water was taken and Azelastine HCl was dissolved in it to form drug slurry.
- 7) Drug slurry of step # 6 was added in main bulk of step # 5 under stirring.
- 8) Polysorbate 80 was added and dissolved in part quantity of purified water. Triamcinolone was added to this solution under stirring.
- 9) Drug slurry of step # 8 was added in above bulk of step # 7 under stirring.

10) Benzalkonium chloride was added in part quantity of purified water and this solution was added in above bulk under stirring.

11) Volume was made-up with purified water.

12) Stirring was done with a high-speed homogenizer and pH was checked.

IV. Product Performance Testing and Characterization

Typically several tests are performed for nasal products as part of standard characterization testing protocols to determine pharmaceutical acceptability. For the current testing the selected methods to characterize the product in terms of formulation appearance, spray characterization, uniformity of dosing, viscosity, osmolarity, and physical stability of the suspension.

TEST	EQUIPMENT	Protocol #	Notes
Appearance	a. Visual Inspection	SMA-007-00	Qualitative assessment of homogeneity and pharmaceutical acceptability . Looks at sedimentation, separation of suspended particles, agglomeration, redispersability of suspension upon shaking. This will be done on both formulation and the filled bottles.
Assay	a. HPLC Assay to assess the potency of Suspended Triamcinolone Acetonide	HPLC Method	10 mLs of sample will be drawn off the top, middle and bottom of formulated composition, and then again after 3 Hrs to determine blend uniformity/settling stability
Pump Delivery	a. Analytical Balance	SMA-009-00	Quantitative assessment of the variability of formulation dispensing from the nasal spray pump. A Valois Nasal Spray Pump from Aptar will be used. Pump: VP7
Visual	a. Visual observation of emission of formulation	Observation	Qualitatively assesses

Inspection of spray quality	during actuation of pump	of spray/jet/ failure to emit	acceptability of spray
Spray Content Uniformity	a. Dose collection tubes b. HPLC drug assay c. Actuation Station d. Analytical Balance	SMA-001-00	Quantitative assessment of the variability of emitted dose from the nasal spray pump. Will be quantified using Triamcinolone acetonide assay.
*The Following Tests Only to be Conducted As Needed to Supplement Previous Findings			
Droplet Size Analysis*	a. Sympatec Laser Diffraction	SMA-003-00	Assesses the spray quality.
Viscosity*	a. Dynamic	SMA-004-00	Assesses the viscosity of the product and will assist in interpretation of product performance tests.
Solid Particle Size*	a. Light Microscopy	SMA-002-00	Assesses the stability of the suspended particles and can inform on particle aggregation/agglomeration.
Osmolality*	a. MicroOsmette- Freezing Point Depression Osmometer	SMA-008-00	
Plume Geometry*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.
Spray Pattern*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.

Time points will be evaluated at 0, 7, and 14 days. The samples will be stored in ambient storage conditions. pH will be measured at all time points.

V. Report

A report detailing the preparation methods and results of the testing will be provided.

Nasal Spray Manufacturing and Characterization Testing Following Malhotra's Method using E4MP HPMC and Unmicronized Triamcinolone

I. Purpose

The purpose of this experiment is to re-create and evaluate the disclosure in EP 0780127 ("Cramer") to determine whether it a nasal spray with properties that are suitable for nasal administration. Specifically, this experiment will recreate Example III for an intranasally administered composition comprising triamcinolone acetonide and azelastine HCL.

Example III from Cramer will be prepared following the method set forth in Geena Malhotra's declaration submitted to the U.S. Patent and Trademark Office on August 12, 2011.

Samples will be evaluated for appearance, spray content uniformity, spray pattern, droplet size, particle size, viscosity, stability, and osmolality, for example.

II. Materials

INGREDIENT	Grade	SUPPLIER	NOTES
Polysorbate 80			
Benzalkonium chloride			
Glycerin			
Hydroxypropyl methylcellulose (HPMC)	E4MP (4000 mPas)	Dow	HPMC is available in multiple grades (viscosities) and chemical substitution. Since this is not defined within the patent example, E4MP will be tested.
Sodium Chloride			
Ethylenediamine tetraacetic acid (EDTA)			
Distilled water -			
Triamcinolone acetonide			
Azelastine HCl			

III. Preparation of Cramer Example III

Cramer Example III is:

Example III

The intranasally administered pharmaceutical composition of the present invention is prepared by combining the following components utilizing conventional mixing techniques similar to that described in Example I.

Component	Wgt %
triamcinolone acetonide	0.050
azelastine HCl	0.070
polysorbate 80	0.050
glycerin	2.000
hydroxypropyl methyl cellulose	1.000
sodium chloride	0.900
ethylenediamine tetraacetic acid	0.050
benzalkonium chloride	0.020
distilled water	q.s. to vol.

Administration of approximately 0.4 grams of the composition is used for topical nasal application to provide relief from allergy or allergy-like symptoms. Additionally, substantially similar results are also obtained using, in whole or in part, equivalent amounts of other glucocorticoid agents such as fluticasone, mometasone, budesonide, pharmaceutically acceptable salts thereof and mixtures thereof. Furthermore, the above described compositions may also contain a decongestant such as pseudoephedrine, phenylpropanolamine, phenylephrine, tetrahydrozoline, naphazoline, oxymetazoline, tramazoline, 5-(2-imidazolinyllamino)benzimidazoles, optically active racemates thereof, pharmaceutically acceptable salts thereof and mixtures thereof. Those skilled in the art will quickly realize other suitable ingredients, diluents and dosage forms (or readily ascertain such using routine experimentation) which may further be incorporated into the above compositions without departing from the scope and spirit of the present invention.

Process of preparation:

- 1) Part quantity of purified water was taken in a vessel.
- 2) Sodium chloride and EDTA was added and dissolved under stirring followed by heating the bulk.
- 3) Hydroxy propyl methyl cellulose was added and dispersed under stirring.
- 4) Stirring was done and bulk was held at 2-8°C overnight.
- 5) Glycerin was added and mixed in above bulk under stirring.
- 6) Part quantity of purified water was taken and Azelastine HCl was dissolved in it to form drug slurry.
- 7) Drug slurry of step # 6 was added in main bulk of step # 5 under stirring.
- 8) Polysorbate 80 was added and dissolved in part quantity of purified water. Triamcinolone was added to this solution under stirring.
- 9) Drug slurry of step # 8 was added in above bulk of step # 7 under stirring.
- 10) Benzalkonium chloride was added in part quantity of purified water and this solution was added in above bulk under stirring.
- 11) Volume was made-up with purified water.
- 12) Stirring was done with a high-speed homogenizer and pH was checked.

IV. Product Performance Testing and Characterization

Typically several tests are performed for nasal products as part of standard characterization testing protocols to determine pharmaceutical acceptability. For the current testing the selected methods to characterize the product in terms of formulation appearance, spray characterization, uniformity of dosing, viscosity, osmolarity, and physical stability of the suspension.

TEST	EQUIPMENT	Protocol #	Notes
Appearance	a. Visual Inspection	SMA-007-00	Qualitative assessment of homogeneity and pharmaceutical acceptability . Looks at sedimentation, separation of suspended particles, agglomeration, redispersability of suspension upon shaking. This will be done on both formulation and the filled bottles.
Assay	a. HPLC Assay to assess the potency of Suspended Triamcinolone Acetonide	HPLC Method	10 mLs of sample will be drawn off the top, middle and bottom of formulated composition, and then again after 3 Hrs to determine blend uniformity/settling stability
Pump Delivery	a. Analytical Balance	SMA-009-00	Quantitative assessment of the variability of formulation dispensing from the nasal spray pump. A Valois Nasal Spray Pump from Aptar will be used. Pump: VP7
Visual Inspection of spray quality	a. Visual observation of emission of formulation during actuation of pump	Observation of spray/jet/ failure to emit	Qualitatively assesses acceptability of spray
Spray	a. Dose collection tubes	SMA-001-00	Quantitative assessment of

Content Uniformity	b. HPLC drug assay c. Actuation Station d. Analytical Balance		the variability of emitted dose from the nasal spray pump. Will be quantified using Triamcinolone acetonide assay.
*The Following Tests Only to be Conducted As Needed to Supplement Previous Findings			
Droplet Size Analysis*	a. Sympatec Laser Diffraction	SMA-003-00	Assesses the spray quality.
Viscosity*	a. Dynamic	SMA-004-00	Assesses the viscosity of the product and will assist in interpretation of product performance tests.
Solid Particle Size*	a. Light Microscopy	SMA-002-00	Assesses the stability of the suspended particles and can inform on particle aggregation/agglomeration.
Osmolality*	a. MicroOsmette- Freezing Point Depression Osmometer	SMA-008-00	
Plume Geometry*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.
Spray Pattern*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.

Time points will be evaluated at 0, 7, and 14 days. The samples will be stored in ambient storage conditions. pH will be measured at all time points.

V. Report

A report detailing the preparation methods and results of the testing will be provided.

Exhibit A – Formulation Matrix

Formulation Matrix			
Formulation	Polymer	Method	API
HS-D	HPMC-E4M (4000 cps)	Cramer Example I	Micronized TAA
Mal-A	HPMC-E3-LV (3cps)	Malhotra	Raw TAA
Mal-B	HPMC-E4M (4000 cps)	Malhotra	Raw TAA
Mal-C	HPMC-E3-LV (3cps)	Malhotra	Micronized TAA
Mal-D	HPMC-E4M (4000 cps)	Malhotra	Micronized TAA
X-Form	HPMC-E3-LV (3cps)	Govindarajan	Micronized TAA

Exhibit B - Malhotra Preparations

Project No. _____

Book No. _____

TITLE Formulation Prep.

From Page No. N/A

Formulation: MAL-A

MSH

~~HS-A~~

July 12 2016

Mal-A

Molhatra - Cramer Preparation

- 1.) 300 mLs, part quantity of water taken into a vessel. (300mL)
- 2.) 4,5060 grams of NaCl (4.5grams) and 250,0 milligrams of EDTA (250mg) was added and dissolved under stirring and heating the bulk.
- 3.) 5.0081 grams Hydroxy propyl methylcellulose (5 gr), grade: E3-Prem LV was added and dispersed under stirring.
- 4.) Stirring and was done and bulk was held at 2-8 deg.C overnight
- 5. 10.1 grams of Glycerin was added and mixed into above bulk under stirring (10 gr.)
- 6. 50 mLs of waters, part quantity (50 mL) and Azelelastine HCl was dissolved in it to form drug slurry (350.2 mg)
- 7. Drug Slurry from step #6 was added to the main bulk in step #5 under stirring
- 8.) 50 mLs of water (50 mL) was taken into a vessel and 244.1 milligrams of Polysorbate 80 (250mg) was added under stirring until dissolved, then 250.0 mg of Triamcinolone Acetanide (250mg) was added to the solution and dispersed under stirring. (Raw)
- 9.) Drug Slurry from step #8 was added to the bulk of step #7 under stirring
- 10. 50 mLs of water water was taken into a vessel and 110.7 mgs of Benzalkonium chloride. (100mg) was added under stirring.
- 11.) Volume was made up with purified water
- 11a.) High speed Homogenization was done for 5 minutes @ 10,000rpm
- 12.) Stirring was done and pH was measured and recorded. pH: 2.66

Note: — Formulation resulted in a uniform white suspension with foam that dissipated in about 10 minutes. Settling of particulates was observed over this time period.

Witnessed & Understood by me,

MSH

Date

7/12/2016

Invented by:

Recorded by:

Date

To Page No

E Formulation Prep

Page No. N/A

Formulation: MAL-B MSH

Mal-B July 12 2016

Molhatra - Cramer Preparation

- 1.) 300 mLs, part quantity of water taken into a vessel. (300mL)
- 2.) 4,508.4 grams of NaCl (4.5grams) and 250.8 milligrams of EDTA (250mg) was added and dissolved under stirring and heating the bulk.
- 3.) 5.0116 grams Hydroxy propyl methylcellulose (5 gr), grade: E4M was added and dispersed under stirring.
- 4.) Stirring and was done and bulk was held at 2-8 deg.C overnight
- 5. 10.0 grams of Glycerin was added and mixed into above bulk under stirring (10 gr.)
- 6. 50 mLs of waters, part quantity (50 mL) and Azelelastine HCl was dissolved in it to form drug slurry (350 mg)
350.1 mg
- 7. Drug Slurry from step #6 was added to the main bulk in step #5 under stirring
- 8.) 50 mLs of water (50 mL) was taken into a vessel and 247.8 milligrams of Polysorbate 80 (250mg) was added under stirring until dissolved, then 250.0 mg of Triamcinolone Acetonide (250mg) was added to the solution and dispersed under stirring. (Raw)
- 9.) Drug Slurry from step #8 was added to the bulk of step #7 under stirring
- 10. 50 mLs of water water was taken into a vessel and 96.3 mgs of Benzalkonium chloride was added under stirring. (100mg)
- 11.) Volume was made up with purified water
High speed homogenization was done for 5 minutes @ 10,000rpm/s
- 12.) Stirring was done and pH was measured and recorded. pH: 2.67

Formulation resulted in a uniform white suspension with a high viscosity
+ Large air bubbles slowly rose to the top over a ~30 minute period

Prepared & Understood by me, <u>MSH</u>	Date <u>7/12/2016</u>	Invented by: _____ Recorded by: _____	Date _____
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To Page No. _____

Project No. _____

Book No. _____

TITLE Formulation Prep

From Page No. _____

Formulation: MAL-C msjt

MAL-C

E3-LU

July 12 2016

Molhatra - Cramer Preparation

- 1.) 300 mLs, part quantity of water taken into a vessel. (300mL)
- 2.) 4.5048 grams of NaCl (4.5grams) and 250.5 milligrams of EDTA (250mg) was added and dissolved under stirring and heating the bulk.
- 3.) 5.0108 grams Hydroxy propyl methylcellulose (5 gr), grade: E3-PREM-LU was added and dispersed under stirring.
- 4.) Stirring and was done and bulk was held at 2-8 deg.C overnight
- 5. 10.0 grams of Glycerin was added and mixed into above bulk under stirring (10 gr.)
- 6. 50 mLs of waters, part quantity (50 mL) and Azelelastine HCl was dissolved in it to form drug slurry (350mg) 350.6 mg
- 7. Drug Slurry from step #6 was added to the main bulk in step #5 under stirring
- 8.) 50 mLs of water (50 mL) was taken into a vessel and 246.1 milligrams of Polysorbate 80 (250mg) was added under stirring until dissolved, then 250.0 mg of Triamcinolone Acetanide (250mg) was added to the solution and dispersed under stirring. (micronized)
- 9.) Drug Slurry from step #8 was added to the bulk of step #7 under stirring
- 10. 50 mLs of water water was taken into a vessel and 94.8 mgs of Benzalkonium chloride was added under stirring. (100 mg)
- 11.) Volume was made up with purified water
High Speed Homogenization ~~to~~ for 5 min. @ 10,000 rpm.
- 12.) Stirring was done and pH was measured and recorded. pH: 2.66

Note: - Formulation resulted in a uniform white suspension with foam that quickly ~~dissipated~~ dissipates. Settling of particulates was observed over a 10-15min period

Witnessed & Understood by me,

MSjt

Date

7/12/2016

Invented by:

Recorded by:

Date

Project No. _____

Book No. _____

Formulation Prep

Page No. N/A

Formulation: MAL-D MSH

Mel-d July 12 2016

E4M

Molhatra - Cramer Preparation

- 1.) 300 mLs, part quantity of water taken into a vessel. (300mL)
- 2.) 4.5031 grams of NaCl (4.5grams) and 250.6 milligrams of EDTA (250mg) was added and dissolved under stirring and heating the bulk.
- 3.) 5.0287 grams Hydroxy propyl methylcellulose (5 gr), grade: E4M was added and dispersed under stirring.
- 4.) Stirring and was done and bulk was held at 2-8 deg.C overnight
- 5. 10.0 grams of Glycerin was added and mixed into above bulk under stirring (10 gr.)
- 6. 50 mLs of waters, part quantity (50 mL) and Azelelastine HCl was dissolved in it to form drug slurry (350mg) 350.1 mg
- 7. Drug Slurry from step #6 was added to the main bulk in step #5 under stirring
- 8.) 50 mLs of water (50 mL) was taken into a vessel and 247.9 milligrams of Polysorbate 80 (250mg) was added under stirring until dissolved, then 250.3 mg of Triamcinolone Acetanide (250mg) was added to the solution and dispersed under stirring. (micronized)
- 9.) Drug Slurry from step #8 was added to the bulk of step #7 under stirring
- 10. 50 mLs of water water was taken into a vessel and 91.9 mgs of Benzalkonium chloride was added under stirring. (100mg)
- 11.) Volume was made up with purified water
High speed homogenization for 5 min. @ 10,000 rpm's
- 12.) Stirring was done and pH was measured and recorded. pH: 7.66

Obs: - Formulation resulted in a uniform white suspension that contained bubbles that rose to the top over a 30 minute period.

Prepared & Understood by me, <u>MSH</u>	Date <u>7/12/2016</u>	Invented by:	Date
		Recorded by:	

To Page No. _____

Exhibit C – Cramer Example I

Project No. _____

Book No. _____

TITLE Formulation Prep

From Page No. N/A

Formulation: ~~HS-D~~ ~~HS-A~~ N/A
MJH

1. In an appropriately sized vessel to manufacture 500 mL of product,
 - a. To 100 mL mL of distilled water (100 mL)
 - b. Add 258.9 mgs polysorbate 80 (250 mg)
 - c. Mix step – Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
 - d. Add 96.4 mgs benzalkonium chloride (100 mg)
 - e. Mix - Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
 - f. Add 10.0 grams of glycerin (10 grams)
 - g. Mix- Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
 - h. Add 249.8 mgs of EDTA (250 mg)
 - i. Mix - Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed *note: did not visually dissolve (EDTA)*
 - j. Add 4.5131 grams of NaCl (4.5 grams)
 - k. Mix - Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed *→ did not fully dissolve @ this stage either note: (EDTA)*
2. In a separate vessel the hydroxyl methylcellulose is prepared"
 - a. As per the Handbook of Pharmaceutical Excipients (2nd Edition, 1994) 20% of the required water is used to hydrate the HPMC
 - b. 100 mLs of water is vigorously stirred at RPMs adequate to disperse the powder and heated to approximately 90 deg C. (100 mLs)
 - c. While stirring, 5.0122 grams HPMC (Grade: E4M) is added, and mixing continues until all particles are thoroughly wetted.
 - d. Then to the dispersed HPMC, 200 mL mL of cold distilled water is added while mixing (200 mL)
 - e. The mixture is then cooled to between 20 to 25°C (68 to 77°F) or below as according to the Methocel™ product guide ("How to Prepare Aqueous Solutions of METHOCEL™").

Witnessed & Understood by me,

MJH

Date

7/12/2016

Invented by:

Recorded by:

Date

To Page No

Exhibit D - Dr. Govindarajan Process

4

Formulation: X-form

Product: ^{ID} Triamcinolone Acetonide and Azelastine HCl nasal spray Book No. _____
 Lot No. 1345-004 Company: _____

Time Point: _____
 Analysis Performed: Trial Suspension preparation Initials/Date: S. Ly 10 Jun 2016

Purpose - Preparation of a trial batch of triamcinolone acetonide (0.05%) and azelastine HCl (0.07%) nasal spray. Expt. Ref. 1345-004

Composition for a 100ml batch (ingredient details - pag. 3)

Ingredient	Target qty	Actual quantity	Quantity
① Triamcinolone Acetonide	0.05g	0.05011g	50.3 mg
② Azelastine HCl	0.07g	0.07023g	69.7 mg
③ Polysorbate 80	0.05g	0.05040g	57.8 mg
④ Glycerin	2.00g	1.994g	1,991.2 mg
* ⑤ Hydroxypropylcellulose *	1.00g	0.9989g	1,009.4 mg
⑥ Sodium chloride	0.90g	0.9012g	0.8998 g
⑦ Edetic Acid	0.05g	0.05072g	52.9 mg
⑧ Benzalkonium chloride	0.02g	0.01981g	21.6 mg
⑨ Purified water	qs 100mL	qs 100mL	qs 100mL

MSH 7/14/16
 Q.S'd to 100mL

Procedure: In this attempt to prepare a nasal spray with the composition listed above ingredients ②, ③ and ④ will be weighed out and transferred to approximately ~~50~~ 50ml of deionized water under stirring till all of them dissolve. ⑤ will be added under vigorous stirring to generate a vortex. ⑥ will be slowly sprinkled into the solution. After addition is complete stirring will continue till a clear dispersion is obtained. ③, ④, ① and ⑦ will be added sequentially into a vial and the contents will be mixed well on a vortex mixer followed by sonication. A small portion of the purified water will be added under stirring to obtain a pourable dispersion. This dispersion will be added to the aqueous phase containing ②, ⑤, ①, ⑧ and a portion of the water, while being mixed with a homogenizer.

Analysis by: S. Ly-~~pkh~~ Reviewed by: _____

Date: 10 Jun 2016

Date: _____

To Page No. 5

Product: See page 4.

Book No

Lot No

Company

Time Point:

Analysis Performed

Initials/Date: S. Rp 10 Jun 2016

When homogenization is complete, sufficient water will be used to rinse the container originally used to prepare a mixture of ① ③ ④ & ⑦ and transferred to the bulk container. Homogenization will be continued till a uniform dispersion is visually confirmed. Additional water may be added to adjust the final volume to 100 ml and appropriate additional mixing will be carried out. The final preparation will be filled into a suitable container for observation.

11-Jun-2016 - This procedure will incorporate edetic acid as a chelator in glycerin ampoly-sorbate 80 and upon addition to the aqueous phase with homogenization is expected to dissolve to the extent of its solubility in the vehicle.

13-Jun-2016

☑ Benzalkonium chloride was transferred (weighed out) in a 20ml-vial and approximately 10ml of water was added. ☑ The contents were mixed manually to obtain a solution.

☑ In a 100ml beaker, approximately 30ml of water was transferred and the weighed quantity of sodium chloride was added to it under stirring using a magnetic stir-bar. When the sodium chloride had dissolved, ☑ the benzalkonium chloride solution was transferred to the beaker and additional 10ml of water was used to rinse out the vial and transferred to the beaker. ☑ Azelastine HCl was then weighed out and transferred to the aqueous solution under stirring. ☑ After about a minute of stirring

Analysis by: S. Rappold

Reviewed by:

Date: 13 Jun 2016

Date:

To Page No.

6

Product: See page 4 Book No. _____

Lot No. _____ Company: _____

Time Point: _____

Analysis Performed: _____ Initials/Date: S. P. 13 Jun 2016

the beaker was placed in an ultra sonic bath for 1min to break up the few remaining undissolved aggregates of azelastine HCl to facilitate dissolution. An additional 1 minute of stirring yielded a clear solution. ~~Did not dissolve~~ while stirring to create a vortex the hypromellose was weighed out and ~~spinked~~ sprinkled into the solution. The solution was continued to stir to hydrate and disperse the hypromellose to yield a clear solution. This aqueous solution was stirred using a magnetic stir bar while the second pre-mix of ingredients was prepared. ~~The HPMC mixture did not form a clear soln.~~

Glycerin, Polysorbate 80, triamcinolone acetonide and edetic acid were weighed out and transferred into a 20ml vial. The contents were mixed on a vortex mixer for 30 seconds to yield a uniform slurry. Approximately 8ml of water was added to this slurry while being mixed with a magnetic stir-bar. This yielded a hazy dispersion of the solids in the water + glycerin + polysorbate 80 vehicle. ~~Glycerin & Polysorbate 80 were weighed directly into the vial after taring the balance~~

The magnetic stir-bars were removed from both containers. The beaker containing the aqueous solution was placed on the homogenizer stand and the contents were mixed at 2800 rpm. ~~IKAT25 Ultra Turax was used @ same RR RPM~~ The dispersion containing the undissolved solids was added to the beaker while being mixed. Additional water was added to the vial, and the rinse water was added to the beaker in two portions of 10ml each. Homogenization was continued for 5 min at 6000 rpm. The homogenization tool was raised on the beaker cover. The contents were allowed to stand for

Analysis by: S. P. Le postol

Reviewed by: _____

Date: 13 - Jun - 2016

Date: _____

To Page No. 7

Product: See page 4

Book No.

Lot No.

Company:

Time Point:

Analysis Performed:

Initials/Date: S. P. 13 Jun 2016

the foam to subside. ^① The final volume was made up to 100 mL, and homogenized at 6000 rpm/5 min. The preparation was stored overnight to be observed the next day in a stoppered vial.

→ pH = 3.04

14-Jun-2016

The preparation was a cloudy dispersion. It exhibited presence of fine settled solid upon shaking. The solid was easily re-dispersed upon gentle agitation by over-titrating the vial 3-4 times.

The contents were transferred to a 250 mL beaker and homogenized at 1000 rpm for 10 min. The final preparation was transferred back to a stoppered 100 mL capacity serum vial and stored at room temperature.

① On 13-Jun-2016, the final volume was made up by transferring the contents to a 250 mL beaker, marked for 100 mL volume and making up volume by adding water used to rinse out the original container and S. P. 14-Jun-2016.

Conclusion - A uniform suspension product was prepared. Although settling of the suspended solid was observed, the sediment was readily and uniformly re-suspended with gentle agitation.

S. P. 14 Jun 2016

- formulation was uniformly dispersed
- Formulation was able to be sprayed

Analysis by: S. Rajesh

Reviewed by:

Date: 14-Jun-2016

Date:

To Page No. END

Exhibit E - 7 Day pH results

Project No. _____

Book No. _____

pH Testing

Page No. _____

7 day pH of Bulk Testing

MaL - A : 2.73

MaL - B : 2.71

MaL - C : 2.71

MaL - D : 2.71

HS-D in : 2.97 2.97

pen error
msid
7/22/2016

X - A : 2.75

To Page No. _____

Read & Understood by me,

MJH

Date

7/22/2016

Invented by:

Date

Recorded by:

Exhibit F - 7 Day Appearance

Project No. _____

Book No. _____

TITLE _____

From Page No. _____

7 day Appearance

Mal-A : Clearly ~~visible~~ settling w/ ^{cloudy} faint Aqueous phase

Mal-B : Slight settling of particulates w/a more Cloudy aqueous phase

Mal-C : Clearly visible settling w/ cloudy faint Aqueous phase

Mal-D : Very small amount of settled material at bottom of flask. Cloudy white aqueous phase,

Resuspendability observations

Mal-A : upon swirling settled particles were redispersed but within a few minutes they would begin to set.

Mal-B : upon swirling the increase thickness didn't allow for complete resuspension of particulates.

Mal-C : Upon swirling settle particles were redispersed but began to settle back within only a few minutes.

Mal-D : upon swirling there was obvious difficulty in redispersing the particles. Some particulates remained @ the bottom.

To Page No. _____

Witnessed & Understood by me,

MJR

Date

7/22/2016

Invented by:

Recorded by:

Date

Project No. _____

Book No. _____

Page No. _____

Redispersability Cont.:

HSD: upon swirling, there's difficulty resuspending the particulates however, most of the material eventually was ~~redispersed~~.

X-form: Particles were very easily dispersed but settled very rapidly

To Page No. _____

Read & Understood by me,

MST

Date

7/22/2016

Invented by:

Recorded by:

Date

Exhibit G - Sprayability after 7 days

Project No. _____

Book No. _____

Page No. _____

7-day Sprayability

Sp

Mat-A : Formulation produced a uniform spray

Mat-C : Formulation produced a uniform spray

To Page No. _____

Read & Understood by me,

M57

Date

7/22/2016

Invented by:

Recorded by:

Date

Exhibit H - Mal A Delivered Dose Uniformity and Assay

Bulk Blend Uniformity for Tramandolone Acetonide

Product Name: Tramandolone Acetonide /Azaxastine Nasal Spray
 Product Lot No.: MAL-A
 Product Attribute: Bulk Blend Uniformity
 Analyte: Tramandolone Acetonide
 Method: HPLC- Isocratic 40% CAN

Testing Type: Bulk Uniformity Assay
 HPLC Run Log: N/A
 Medium Formulation: Matrix + 70%ACN

HPMC Grade: E3 LV
 Tramandolone: Raw/Unprocessed

Sample Volume: 5.1 mL
 Theoretical Conc: 500 ug per sample

Reference	System suitability/Working Standard A Concentration (ug/mL)	Peak Area
	53.2867	2141220.091

Sample Lot No.	Sample No.	Sample Volume	Peak Area	Concentration (ug/mL)	% Theoretical
Freshly Prepared	MAL-A-T-1	MAL-A-Assay-1	314721	399.3	79.9
	MAL-A-T-2	MAL-A-Assay-2	338022	428.9	85.8
	MAL-A-T-3	MAL-A-Assay-3	292568	371.2	74.2
	MAL-A-M-1	MAL-A-Assay-4	397102	503.8	100.8
	MAL-A-M-2	MAL-A-Assay-5	392284	497.7	99.5
	MAL-A-M-3	MAL-A-Assay-6	390825	495.8	99.2
	MAL-A-B-1	MAL-A-Assay-7	393881	499.7	99.9
	MAL-A-B-2	MAL-A-Assay-8	408133	517.8	103.6
	MAL-A-B-3	MAL-A-Assay-9	403957	512.5	102.5
3 Hrs	MAL-A-T-1	MAL-A-Assay-10	112028	142.1	28.4
	MAL-A-T-2	MAL-A-Assay-11	117995	149.7	29.9
	MAL-A-T-3	MAL-A-Assay-12	113416	143.9	28.8
	MAL-A-M-1	MAL-A-Assay-13	116932	148.4	29.7
	MAL-A-M-2	MAL-A-Assay-14	120166	152.5	30.5
	MAL-A-M-3	MAL-A-Assay-15	116419	147.7	29.5
	MAL-A-B-1	MAL-A-Assay-16	122442	155.3	31.1
	MAL-A-B-2	MAL-A-Assay-17	123198	156.3	31.3
	MAL-A-B-3	MAL-A-Assay-18	121067	153.6	30.7
7 Day Redispersion	MAL-A-T-1	MAL-A-Assay-7Day-1	1289138	163.6	32.7
	MAL-A-T-2	MAL-A-Assay-7Day-2	1394666	169.3	33.9
	MAL-A-T-3	MAL-A-Assay-7Day-3	1324253	168.1	33.6
	MAL-A-M-1	MAL-A-Assay-7Day-4	1408801	178.3	35.7
	MAL-A-M-2	MAL-A-Assay-7Day-5	1419063	180.0	36.0
	MAL-A-M-3	MAL-A-Assay-7Day-6	1447232	183.6	36.7
	MAL-A-B-1	MAL-A-Assay-7Day-7	3265671	414.3	82.9
	MAL-A-B-2	MAL-A-Assay-7Day-8	3234240	410.3	82.1
	MAL-A-B-3	MAL-A-Assay-7Day-9	3180318	403.5	80.7

Samples Prepared on Date: 7/13/2016 - 7/14/2016
 Data Processed by and Date: *Matt Hays* 7/27/2016

Delivered Dose Uniformity for Triamcinolone Acetonide

Product Name: Triamcinolone Acetonide /Azelaic Acid Nasal Spray
 Product Lot No.: MAL-A-1
 Product Attribute: Dose Uniformity
 Analyte
 Method

Testing Type: Delivered Dose Uniformity
 HPLC Run Log: N/A
 Medium: Formulation Matrix + 70%ACN
 Sample Volume: 2.05 mL
 Label Claim: 50 µg per spray

System suitability Working Standard A		Peak Area
Reference	Concentration (µg/ml)	2141220.091
	53.2657	

22.77777778

Sample Lot No.	Sample No.	Dose Shot Weight (mg)	Peak Area	Concentration	Mass Delivered (µg)	% Label Claim
MAL-A-1-D1	1	90.4	384319	9.56	19.60	39.20
MAL-A-1-D2	2	90.5	358163	8.91	18.27	36.53
MAL-A-1-D3	3	91.5	392576	9.77	20.02	40.04
MAL-A-1-D4	4	93.6	399477	9.79	20.07	40.13
MAL-A-1-D5	5	90.4	374360	9.31	19.09	38.18
MAL-A-1-D6	6	92.9	376454	9.38	19.20	38.40
MAL-A-1-D7	7	92.7	466253	11.60	23.78	47.56
MAL-A-1-D8	8	93.7	593772	14.76	30.26	60.51
MAL-A-1-D9	9	93.0	690869	17.19	35.23	70.47
MAL-A-1-D10	10	93.1	713892	17.76	36.41	72.81
MAL-A-1-D51	11	95.6	741506	18.45	37.81	75.63
MAL-A-1-D52	12	95.9	809535	20.14	41.28	82.57
MAL-A-1-D53	13	95.7	801470	19.94	40.87	81.75
MAL-A-1-D54	14	94.5	798708	19.87	40.73	81.46
MAL-A-1-D55	15	95.3	804777	20.02	41.04	82.08
MAL-A-1-D56	16	94.1	792732	19.72	40.43	80.85
MAL-A-1-D57	17	94.2	795546	19.79	40.57	81.14
MAL-A-1-D58	18	95.9	814310	20.26	41.53	83.06
MAL-A-1-D59	19	95.7	820651	20.42	41.85	83.70
MAL-A-1-D60	20	95.3	807783	20.10	41.19	82.39
Mean Pump Delivery (mg)		93.80				54.32
Standard Deviation (mg)		2.01				19.62
%RSD		2.14				30.22
Min		90.40				36.53
Max		96.90				83.70

Samples Prepared on Date: 7/13/2016 - 7/14/2016
 Data Processed by and Date: Matthew 7/27/2016

Delivered Dose Uniformity for Tramadolone Acetonide

Product Name Tramadolone Acetonide /Aceclastine Nasal Spray
 Product Lot No. MAL-A-2

Analyte
 Method

Testing Type Delivered Dose Uniformity
 HPLC Run Log N/A
 Medium Formulation Matrix + 70%ACN

Sample Volume 2.05 mL
 Label Claim 50 ug per spray

System suitability Working Standard A	
Reference Concentration (ug/ml)	53.2567
Peak Area	2141220.091

22.77777778

Sample Lot No.	Sample No.	Pump Delivery Dose Shot Weight (mg)	Peak Area	Concentration	Mass Delivered (ug)	% Label Claim
MAL-A-2-01	1	91.8	545780	13.58	27.83	55.67
MAL-A-2-02	2	95.1	538563	13.90	28.49	56.97
MAL-A-2-03	3	95.6	618429	15.38	31.54	63.08
MAL-A-2-04	4	96.0	589679	14.17	29.05	58.10
MAL-A-2-05	5	94.7	537314	13.37	27.40	54.80
MAL-A-2-06	6	94.3	520911	12.96	26.57	53.13
MAL-A-2-07	7	96.0	552242	13.74	28.16	56.33
MAL-A-2-08	8	94.3	637127	15.85	32.49	64.98
MAL-A-2-09	9	96.5	735684	18.30	37.52	75.04
MAL-A-2-D10	10	97.3	796752	19.82	40.63	81.26
MAL-A-2-D51	11	95.5	701159	17.44	35.76	71.51
MAL-A-2-D52	12	97.6	773729	19.25	39.46	78.92
MAL-A-2-D53	13	96.1	834132	20.75	42.54	85.08
MAL-A-2-D54	14	94.5	805004	20.03	41.05	82.11
MAL-A-2-D55	15	95.3	808278	20.11	41.22	82.44
MAL-A-2-D56	16	95.1	798066	19.85	40.70	81.40
MAL-A-2-D57	17	95.0	817323	20.33	41.68	83.36
MAL-A-2-D58	18	96.5	817115	20.33	41.67	83.34
MAL-A-2-D59	19	95.2	823739	20.49	42.01	84.02
MAL-A-2-D60	20	95.7	823316	20.48	41.99	83.97
Mean Pump Delivery (mg)		95.41				71.78
Standard Deviation(mg)		1.24				12.29
%RSD		1.30				17.12
Min		91.80				53.13
Max		97.60				85.08

Samples Prepared on Date 7/13/2016 - 7/14/2016
 Data Processed by and Date Math Syrin 7/27/2016

Delivered Dose Uniformity for Tramadolone Acetonide

Product Name Tramadolone Acetonide /azelastine Nasal Spray
 Product Lot No. MAL-A-3
 Product Attribute Dose Uniformity
 Analyte
 Method

Testing Type Delivered Dose Uniformity
 HPLC Run Log N/A
 Medium Formulation Matrix + 70%ACN

Sample Volume 2.05 mL
 Label Claim 50 ug per spray

System suitability Working Standard A	
Reference Concentration (ug/mL)	Peak Area
53.2857	2141220.091

22.77777778

Sample Lot No.	Sample No.	Dose Shot Weight (mg)	Peak Area	Concentration (ug/ml)	Mass Delivered (ug)	% Label Claim
MAL-A-3-D1	1	93.2	388467	9.66	19.51	39.62
MAL-A-3-D2	2	96.0	496790	12.36	25.54	50.67
MAL-A-3-D3	3	94.2	660240	16.42	33.67	67.34
MAL-A-3-D4	4	94.6	689291	17.15	35.15	70.30
MAL-A-3-D5	5	94.5	675915	16.84	34.52	69.04
MAL-A-3-D6	6	93.9	702958	17.49	35.85	71.70
MAL-A-3-D7	7	93.8	743448	18.49	37.91	75.88
MAL-A-3-D8	8	93.2	716990	17.83	36.56	73.12
MAL-A-3-D9	9	99.7	745028	18.53	37.99	75.99
MAL-A-3-D10	10	95.6	686371	17.07	35.00	70.01
MAL-A-3-D51	11	95.2	638358	15.88	32.55	65.11
MAL-A-3-D52	12	98.3	710702	17.68	36.24	72.49
MAL-A-3-D53	13	97.4	765903	19.05	39.06	78.12
MAL-A-3-D54	14	96.6	758870	18.88	38.70	77.40
MAL-A-3-D55	15	97.0	760345	18.91	38.78	77.55
MAL-A-3-D56	16	95.6	753660	18.75	38.43	76.87
MAL-A-3-D57	17	95.4	751078	18.68	38.30	76.61
MAL-A-3-D58	18	94.8	742007	18.46	37.84	75.68
MAL-A-3-D59	19	95.1	740974	18.43	37.79	75.58
MAL-A-3-D60	20	96.4	743835	18.50	37.93	75.87
Mean Pump Delivery (mg)		95.68				
Standard Deviation(mg)		1.87				
%RSD		1.96				
Min		91.20				
Max		99.70				

Mean	Standard Deviation
70.74	9.66
13.65	13.65
39.62	39.62
78.12	78.12

Samples Prepared on Date 7/13/2016 - 7/14/2016
 Data Processed by and Date Maat Hyeok - 7/27/2016

Exhibit I - Mal-C Delivered Dose Uniformity and Assay

Delivered Dose Uniformity for Tramicholone Acetonide

Product Name Tramicholone Acetonide /Azelastine Nasal Spray
 Product Lot No. MAL-C-1
 Product Attribute Dose Uniformity
 Analyte
 Method

Testing Type Delivered Dose Uniformity
 HPLC Run Log N/A
 Medium Formulation Matrix + 70%ACN

Sample Volume 2.05 mL
 Label Claim 50 ug per spray

System suitability Working Standard A		
Reference #REF!	Concentration (ug/ml)	Peak Area
	53.2567	2141220.091

22.77777778

Sample Lot No.	Sample No.	Pump Delivery Dose Shot Weight (mg)	Peak Area	Concentration	Mass Delivered (ug)	% Label Claim
MAL-C-1-D1	1	91.6	883386	21.98	45.05	90.10
MAL-C-1-D2	2	88.1	861978	21.44	43.96	87.92
MAL-C-1-D3	3	91.5	909069	22.61	46.36	92.72
MAL-C-1-D4	4	93.5	915560	22.78	46.69	93.38
MAL-C-1-D5	5	91.7	904654	22.50	46.14	92.27
MAL-C-1-D6	6	93.6	924588	23.00	47.15	94.30
MAL-C-1-D7	7	94.6	924619	23.00	47.15	94.31
MAL-C-1-D8	8	92.0	902395	22.45	46.02	92.04
MAL-C-1-D9	9	96.3	941412	23.42	48.01	96.02
MAL-C-1-D10	10	97.4	899487	22.38	45.87	91.74
MAL-C-1-D51	11	95.2	901492	22.43	45.97	91.95
MAL-C-1-D52	12	95.1	913692	22.88	46.90	93.80
MAL-C-1-D53	13	95.3	935705	23.28	47.72	95.44
MAL-C-1-D54	14	95.8	942959	23.46	48.09	96.18
MAL-C-1-D55	15	95.7	951399	23.67	48.52	97.04
MAL-C-1-D56	16	95.2	940076	23.39	47.94	95.88
MAL-C-1-D57	17	95.4	943899	23.48	48.14	96.27
MAL-C-1-D58	18	95.1	940820	23.40	47.98	95.96
MAL-C-1-D59	19	96.8	950258	23.64	48.46	96.92
MAL-C-1-D60	20	95.6	948139	23.46	48.10	96.20
Mean Pump Delivery (mg)		94.28				94.02
Standard Deviation(mg)		2.27				2.48
%RSD		2.41				2.63
Min		88.10				87.92
Max		97.40				97.04

Samples Prepared on Date 7/13/2016 - 7/27/2016
 Data Processed by and Date Matt Hopie 7/27/2016

Delivered Dose Uniformity for Triamcinolone Acetonide

Product Name Triamcinolone Acetonide /Azelastine Nasal Spray
 Product Lot No. MAL-C-2
 Analyte
 Method

Testing Type Delivered Dose Uniformity
 HPLC Run Log N/A
 Medium Formulation Matrix + 70%ACN

Sample Volume 2.05 mL
 Label Claim 50 ug per spray

System suitability Working Standard A	
Reference Concentration (ug/ml)	Peak Area
53.2567	2141220.091

22.77777778

Sample Lot No.	Sample No.	Pump Delivery Dose Shot Weight (mg)	Peak Area	Concentration	Mass Delivered (ug)	% Label Claim
MAL-C-2-D1	1	86.0	851183	21.17	43.41	86.82
MAL-C-2-D2	2	87.7	863755	21.49	44.05	88.10
MAL-C-2-D3	3	89.7	888543	22.10	45.31	90.63
MAL-C-2-D4	4	91.2	894917	22.26	45.64	91.28
MAL-C-2-D5	5	91.9	909693	22.63	46.39	92.78
MAL-C-2-D6	6	91.7	900664	22.41	45.93	91.85
MAL-C-2-D7	7	92.6	904939	22.51	46.15	92.30
MAL-C-2-D8	8	93.6	913883	22.73	46.59	93.18
MAL-C-2-D9	9	92.3	902018	22.44	46.00	92.00
MAL-C-2-D10	10	92.7	906954	22.56	46.25	92.50
MAL-C-2-D51	11	92.7	887416	22.08	45.26	90.51
MAL-C-2-D52	12	93.7	910281	22.64	46.42	92.84
MAL-C-2-D53	13	93.9	932866	23.21	47.57	95.15
MAL-C-2-D54	14	93.6	920440	22.90	46.94	93.88
MAL-C-2-D55	15	93.3	928125	23.09	47.33	94.66
MAL-C-2-D56	16	93.7	913397	22.72	46.58	93.16
MAL-C-2-D57	17	93.9	913455	22.72	46.58	93.17
MAL-C-2-D58	18	92.4	905100	22.52	46.16	92.32
MAL-C-2-D59	19	76.7	751335	18.69	38.32	76.63
MAL-C-2-D60	20	79.0	774138	19.26	39.48	78.96
Mean Pump Delivery (mg)		90.82				90.64
Standard Deviation(mg)		5.01				4.82
%RSD		5.52				5.32
Min		76.70				76.63
Max		95.90				95.15

Samples Prepared on Date 7/13/2016 - 7/14/2016
 Data Processed by and Date Matt Knapl 7/27/2016

Mean	Standard Deviation	%RSD	Min	Max
90.64	4.82	5.32	76.63	95.15

Delivered Dose Uniformity for Tramadolone Acetonide

Product Name Tramadolone Acetonide /azelastine Nasal Spray
 Product Lot No. MAL-C-3
 Product Attribute Dose Uniformity
 Analyze Method

Testing Type Delivered Dose Uniformity
 HPLC Run Log N/A
 Medium Formulation Matrix + 70%ACN

Sample Volume 205 mL
 Label Claim 50 ug per spray

System suitability Working Standard A		
Reference #REF!	Concentration (ug/ml)	Peak Area
	59.2867	2141220.091

22.77777778

Sample Lot No.	Sample No.	Pump Delivery (mg)	Peak Area	Concentration	Mass Delivered (ug)	% Label Claim
MAL-C-3-D1	1	81.0	545573	13.57	27.82	55.65
MAL-C-3-D2	2	89.6	768647	19.12	39.20	78.40
MAL-C-3-D3	3	91.6	938351	23.34	47.85	95.71
MAL-C-3-D4	4	90.6	949997	23.63	48.45	96.89
MAL-C-3-D5	5	94.4	973566	24.22	49.55	99.30
MAL-C-3-D6	6	93.9	946823	23.55	48.29	96.57
MAL-C-3-D7	7	94.1	936160	23.29	47.74	95.48
MAL-C-3-D8	8	94.2	934169	23.24	47.64	95.28
MAL-C-3-D9	9	93.9	933174	23.21	47.59	95.18
MAL-C-3-D10	10	93.6	922441	22.95	47.04	94.08
MAL-C-3-D51	11	65.5	901703	22.43	45.38	91.97
MAL-C-3-D52	12	93.9	905648	22.53	46.15	92.37
MAL-C-3-D53	13	94.2	918922	22.86	46.86	93.73
MAL-C-3-D54	14	94.0	918210	22.84	46.83	93.65
MAL-C-3-D55	15	93.3	914183	22.74	46.62	93.24
MAL-C-3-D56	16	93.9	911727	22.68	46.50	92.99
MAL-C-3-D57	17	92.6	900444	22.40	45.92	91.84
MAL-C-3-D58	18	93.3	908540	22.80	46.33	92.67
MAL-C-3-D59	19	94.4	919272	22.87	46.88	93.76
MAL-C-3-D60	20	94.2	917349	22.82	46.78	93.56
Mean Pump Delivery (mg)		91.31				91.62
Standard Deviation(mg)		6.79				9.37
%RSD		7.44				10.22
Min		65.50				55.65
Max		94.40				99.30

Samples Prepared on Date 7/13/2016 - 7/14/2016
 Data Processed by and Date Maith Shergill 7/27/2016

Exhibit J - Delivered Dose Uniformity

Delivered Dose Uniformity

Formulation: Mal-A

	Bottle #1	Bottle #2	Bottle #3
Mean Dose %	60.88	67.31	66.34
Label Claim			
Standard			
Deviation	18.40	11.53	9.06
%RSD	30.22	17.12	13.65
Min	34.26	49.82	37.15
Max	78.49	79.78	73.25

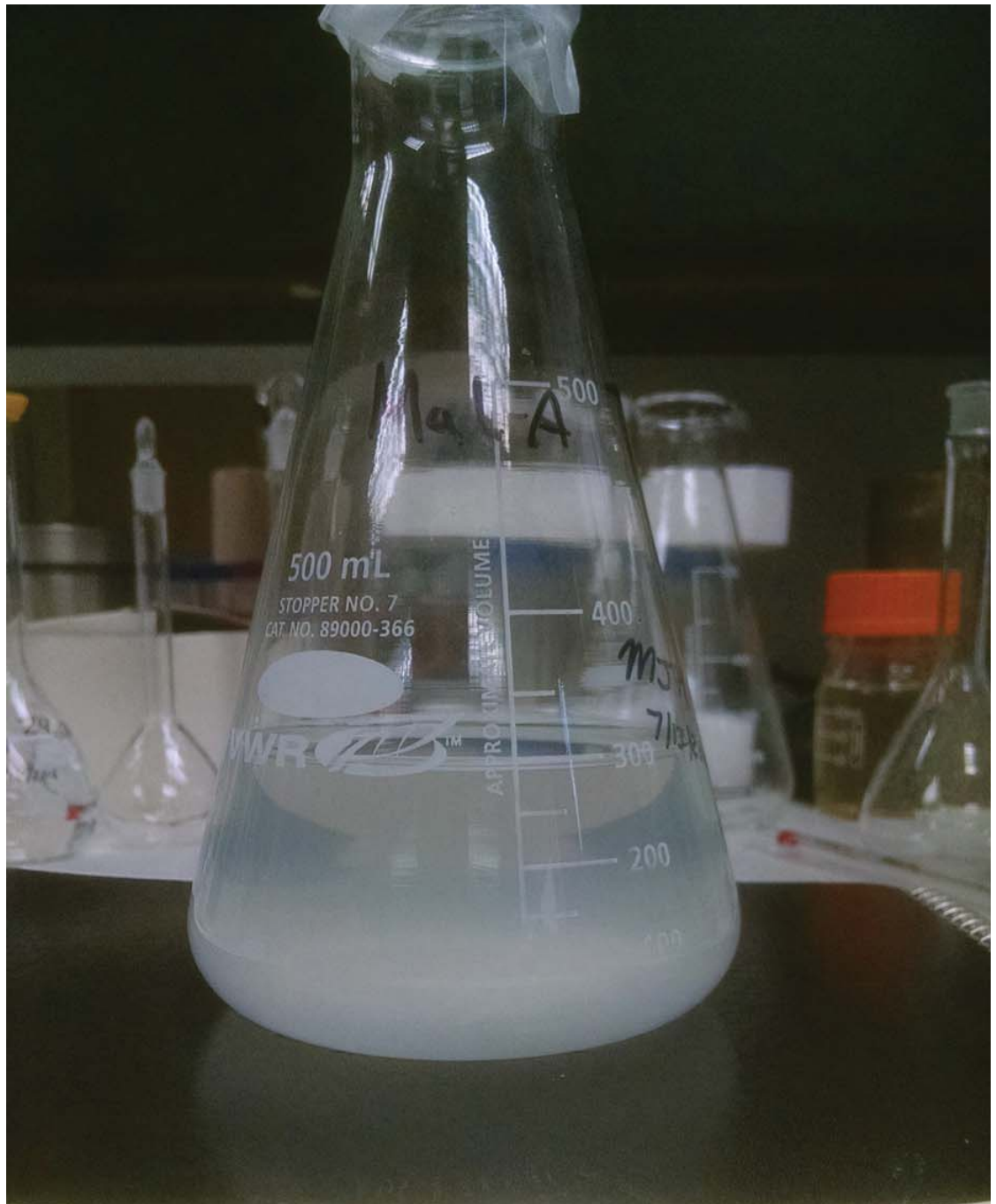
* Results calculated from the first 10 emitted doses after priming device. The middle 40 doses were wasted, and the final 10 doses were analyzed and averaged with the first 10 doses.

Formulation: Mal-C

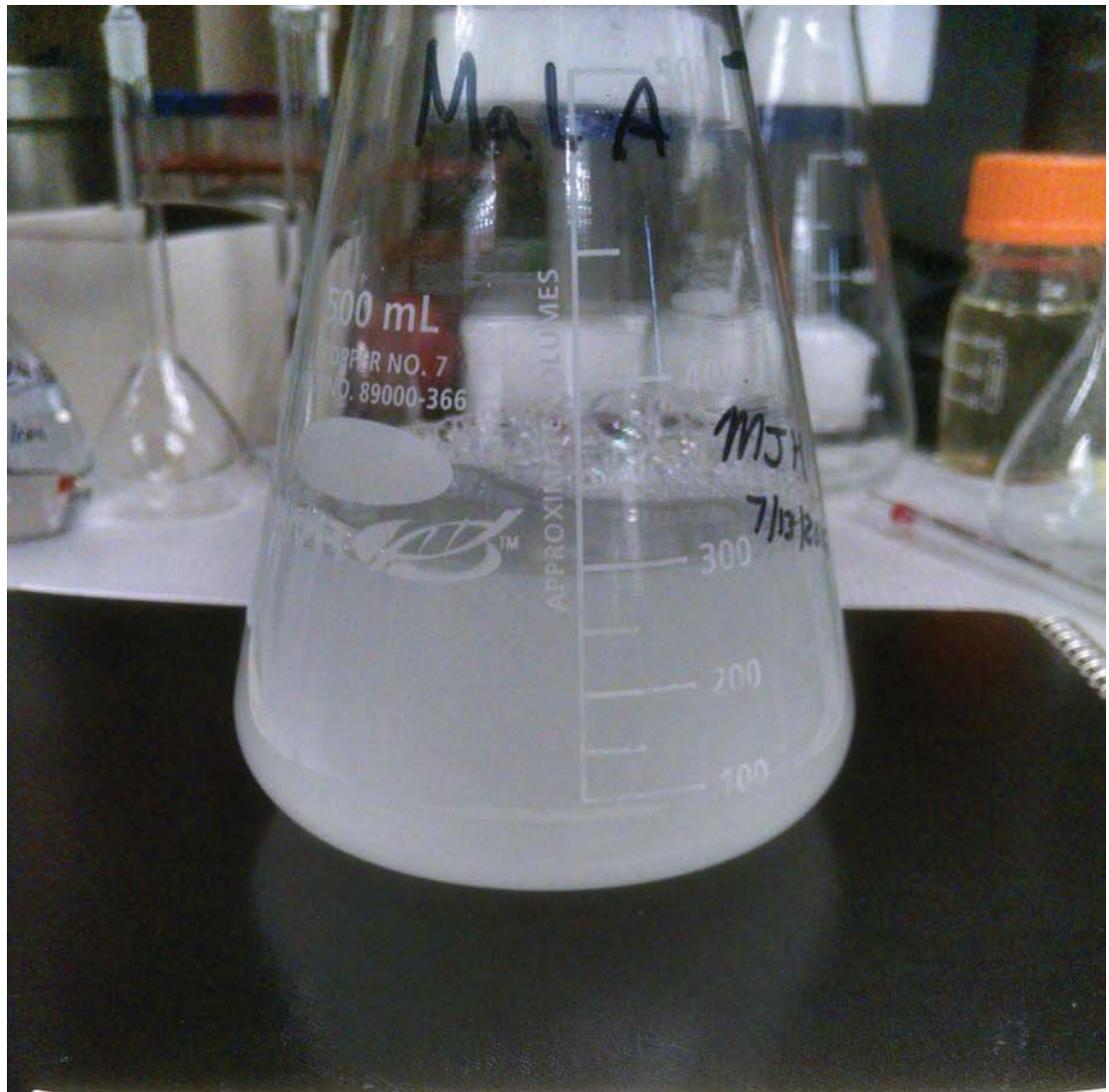
	Bottle #1	Bottle #2	Bottle #3
Mean Dose %	88.17	84.99	85.91
Label Claim			
Standard			
Deviation	2.32	4.52	8.78
%RSD	2.63	5.32	10.22
Min	82.44	71.86	52.18
Max	91.00	89.22	93.12

* Tests performed consistent with FDA Guidelines

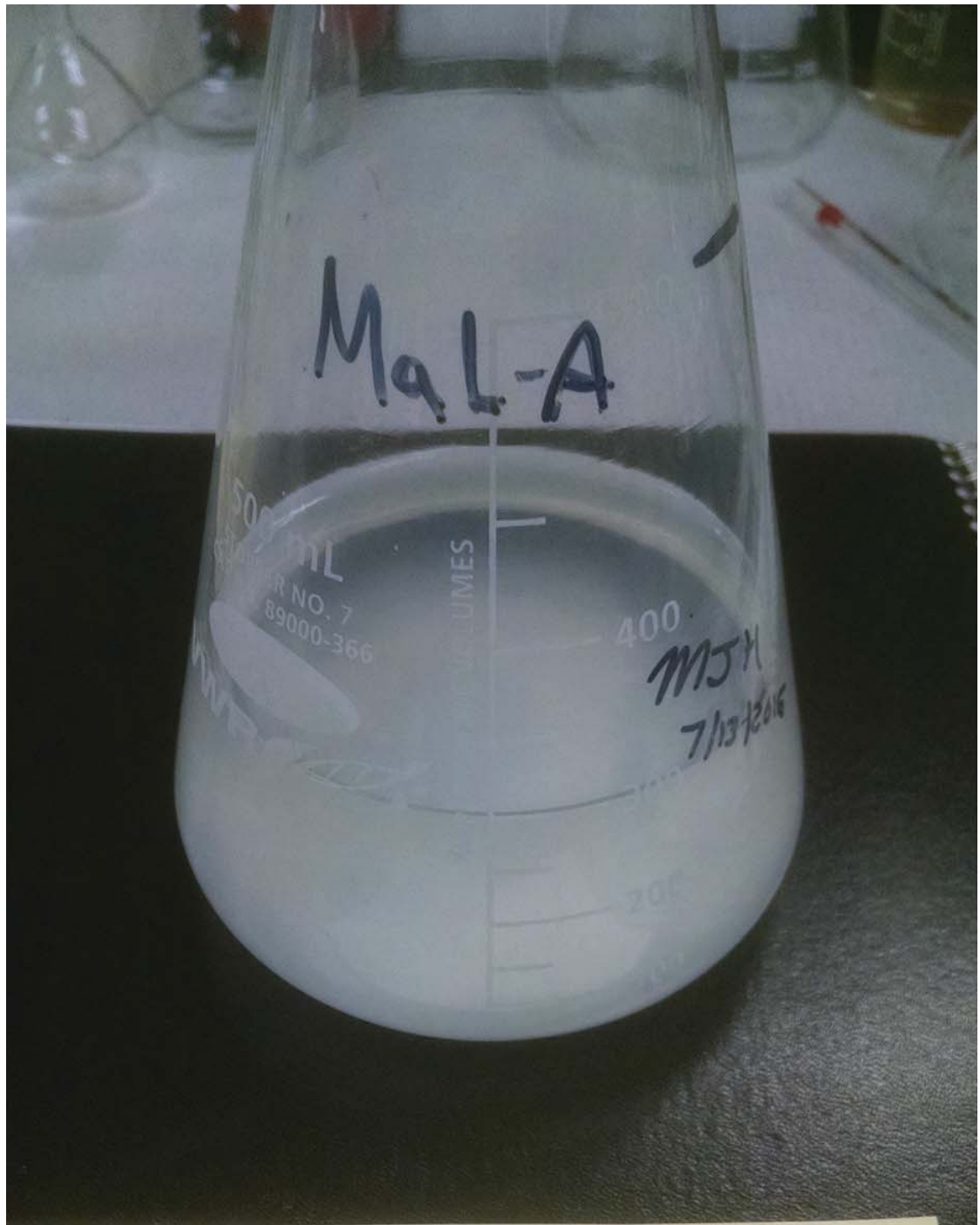
Exhibit K – Mal A



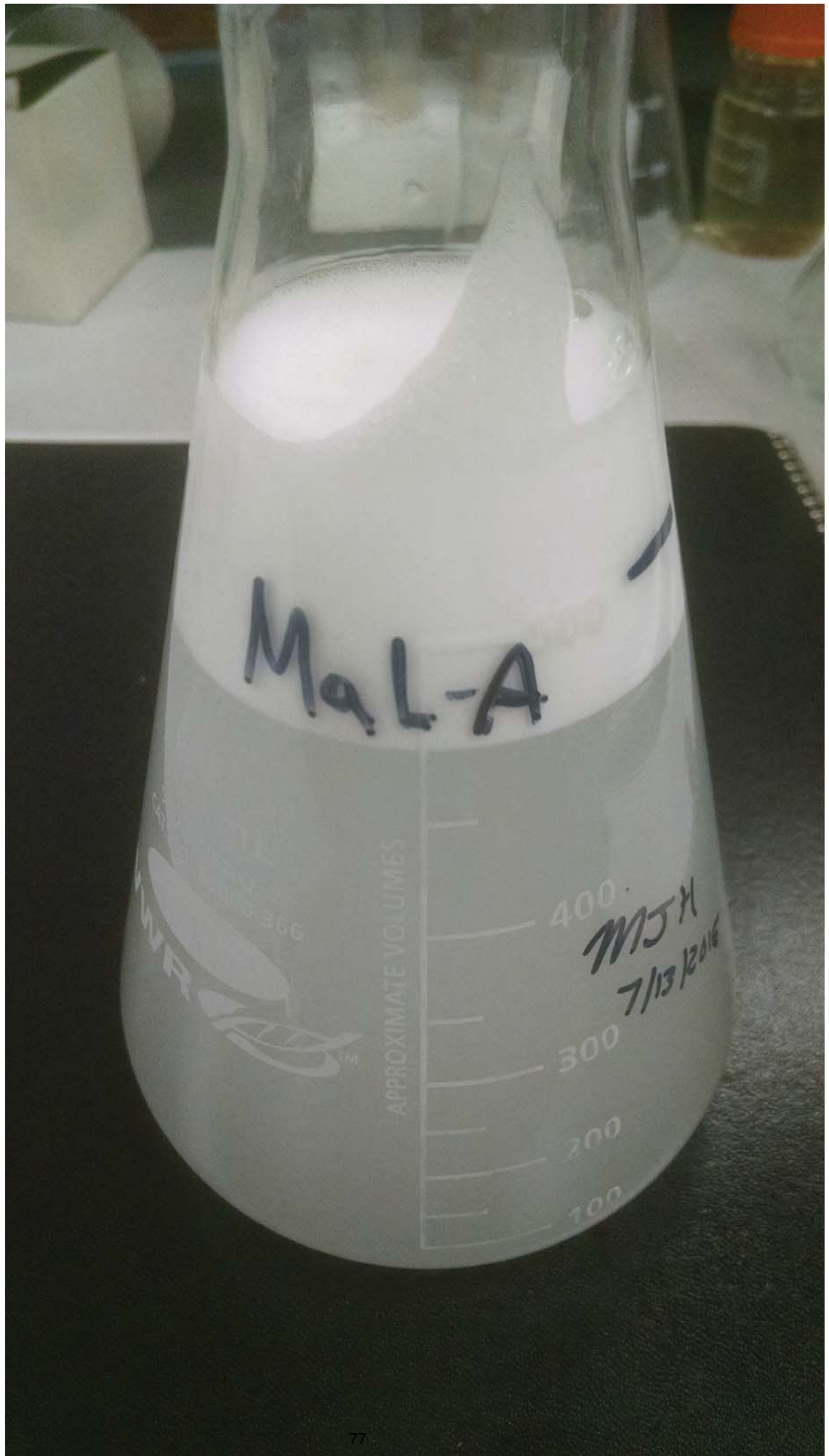
7-day Stability



7-day Stability
-After Mixing



7-day Stability



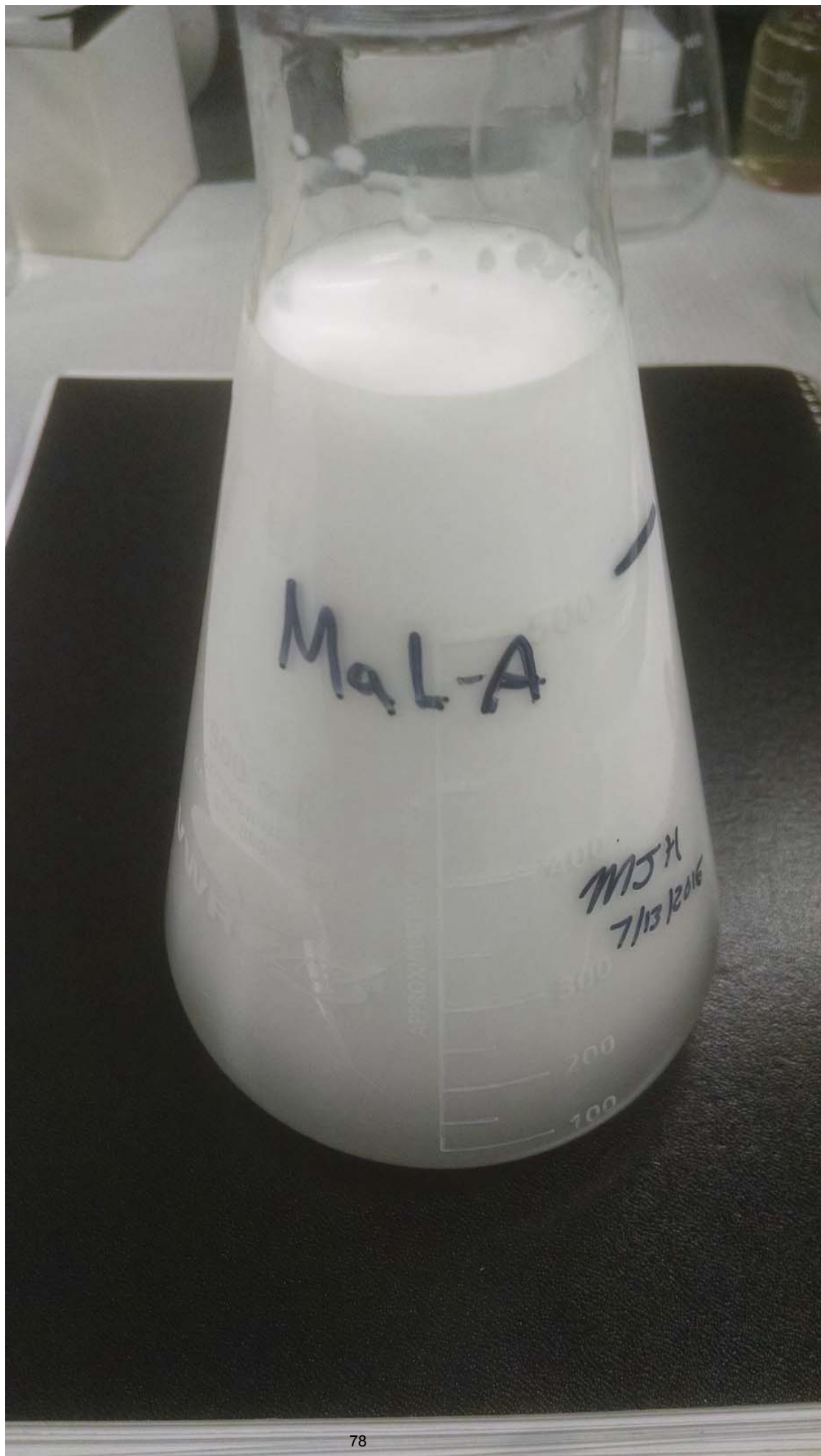
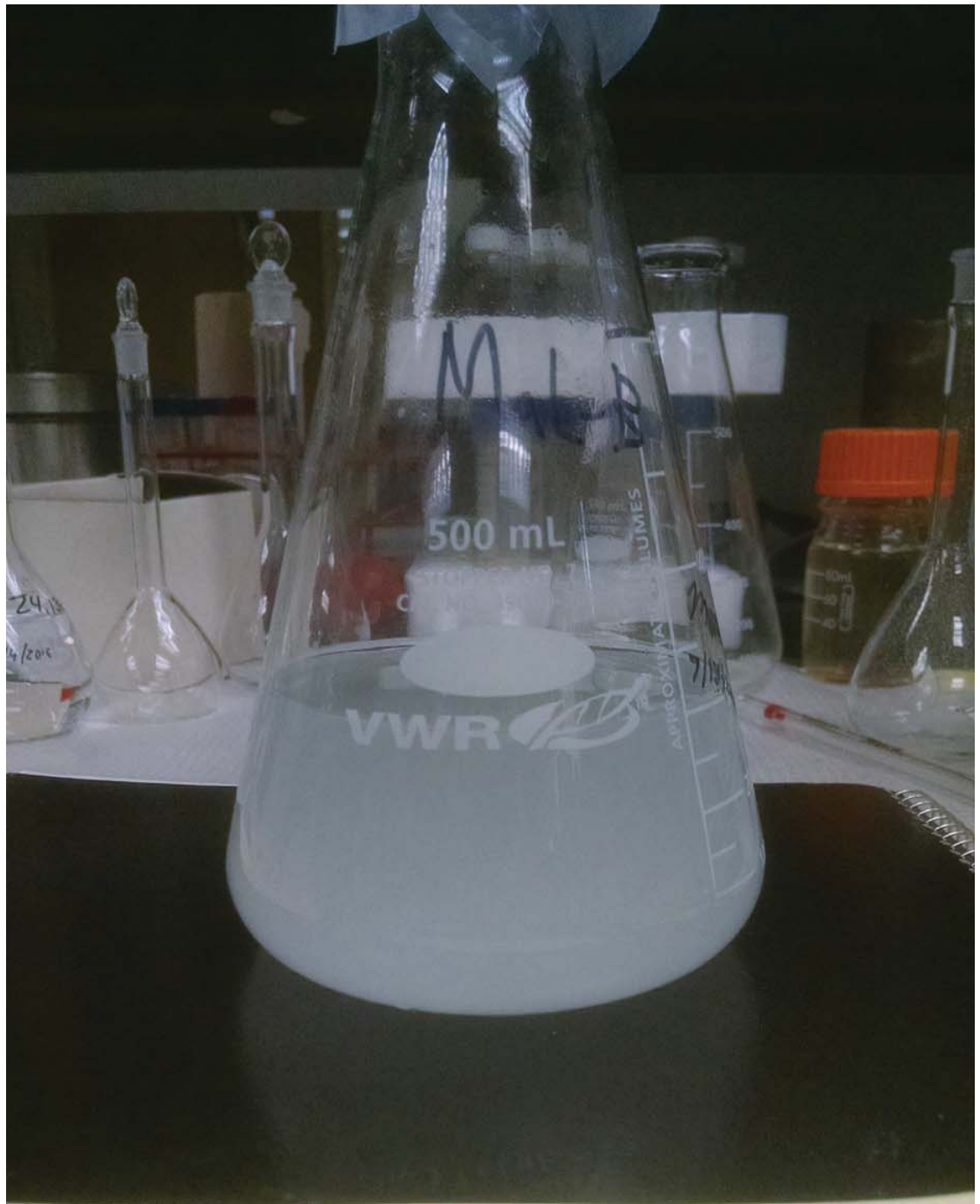
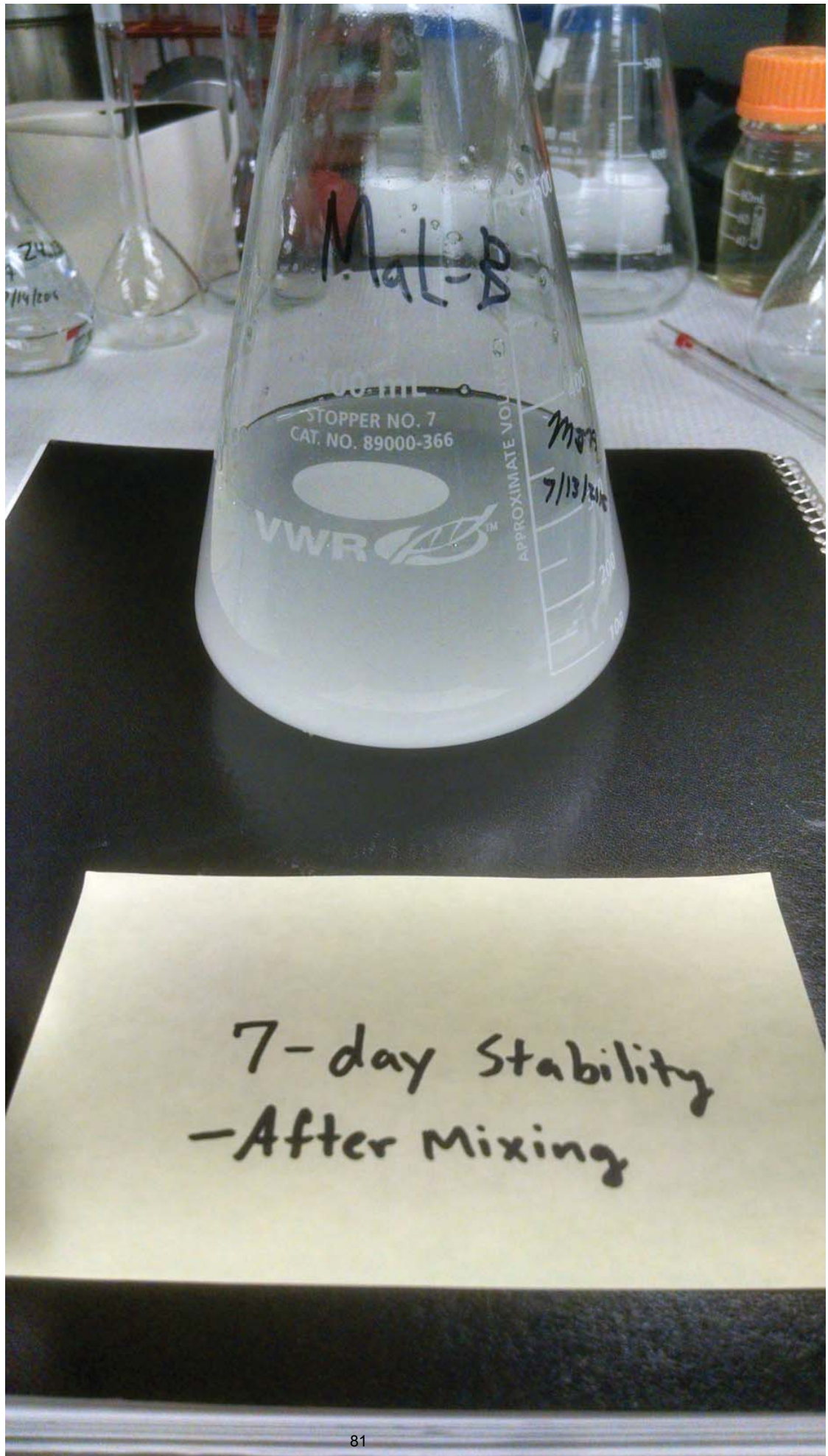
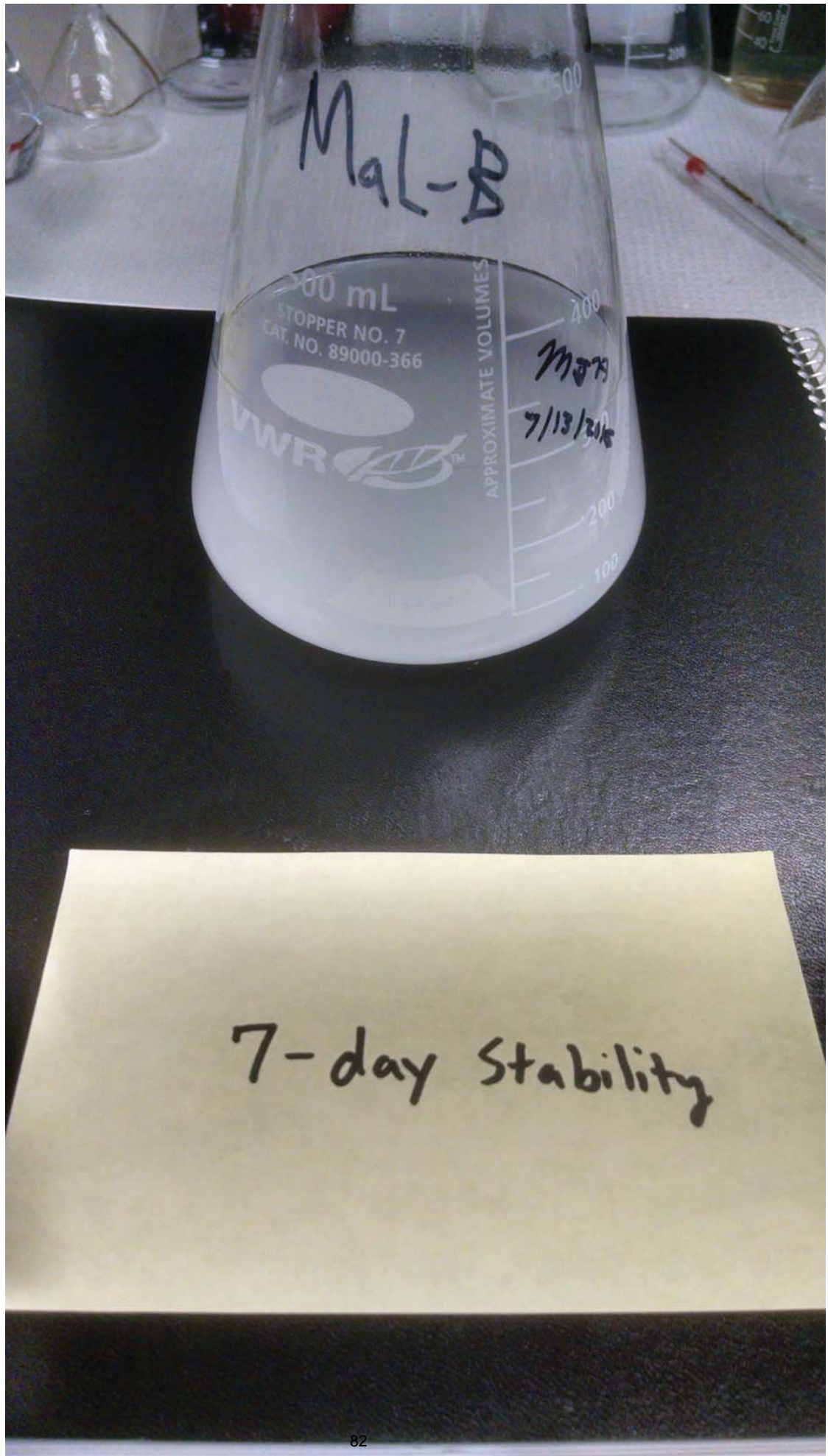


Exhibit L – Mal B

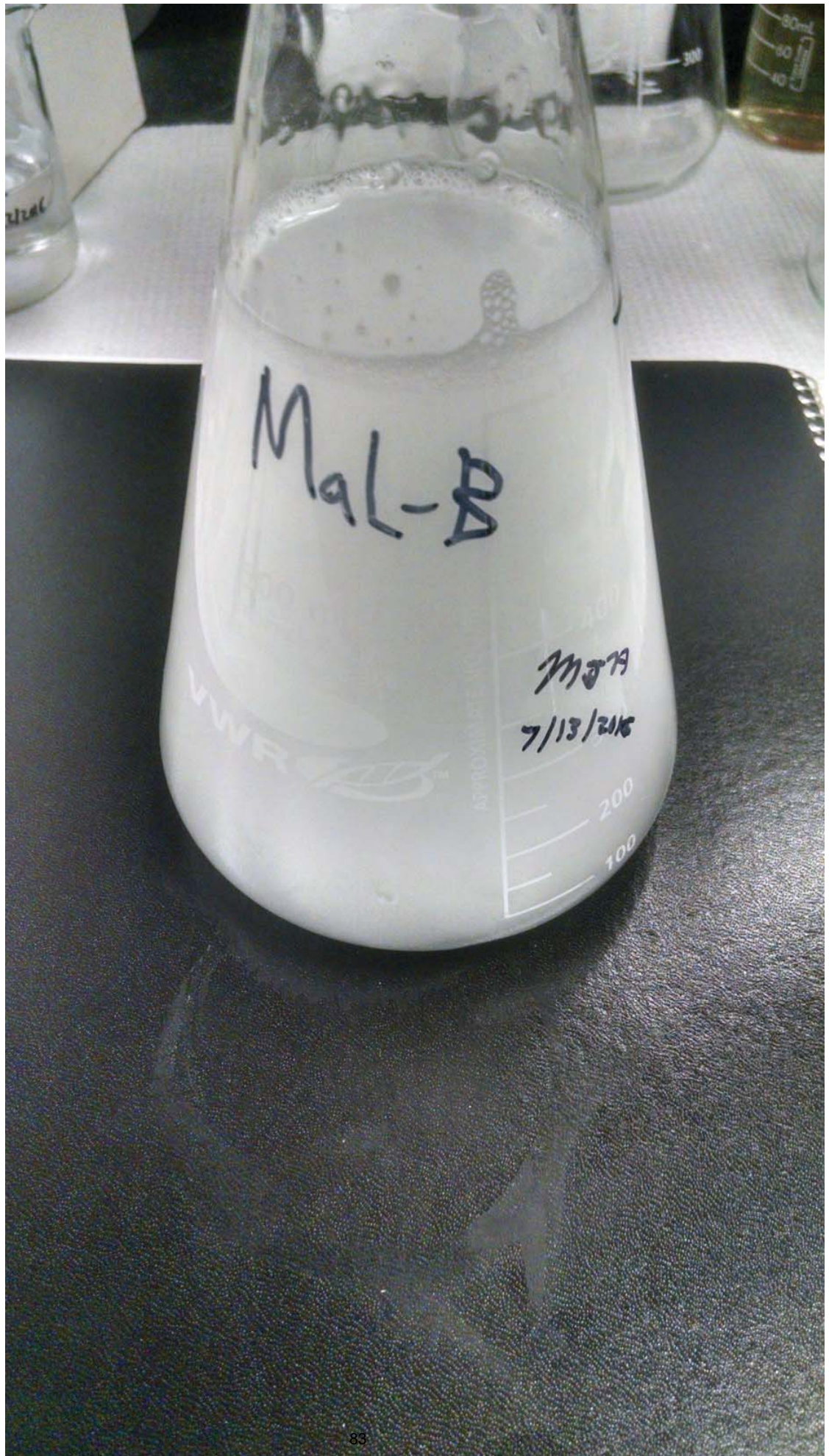


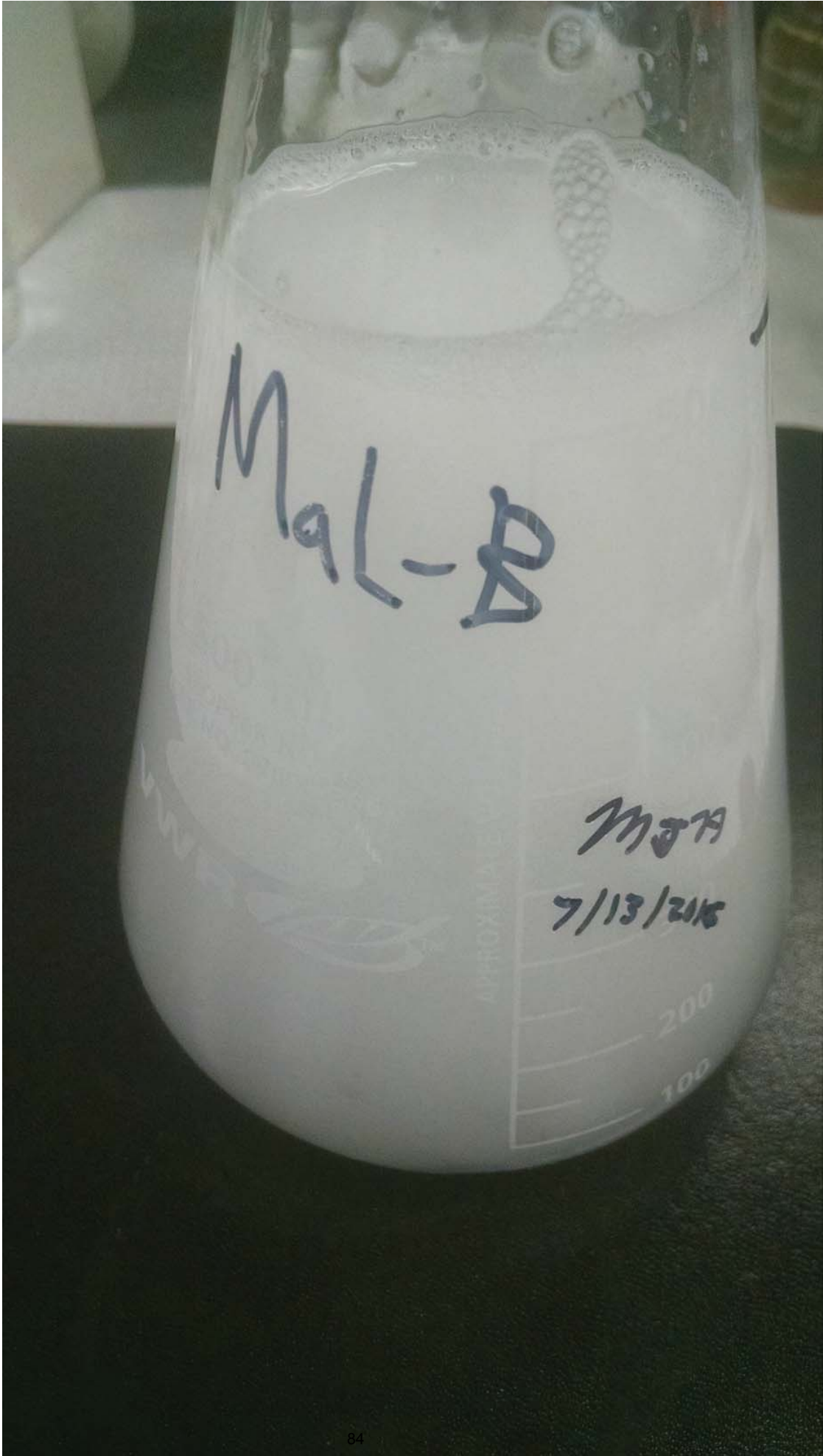
7-day Stability





7-day Stability

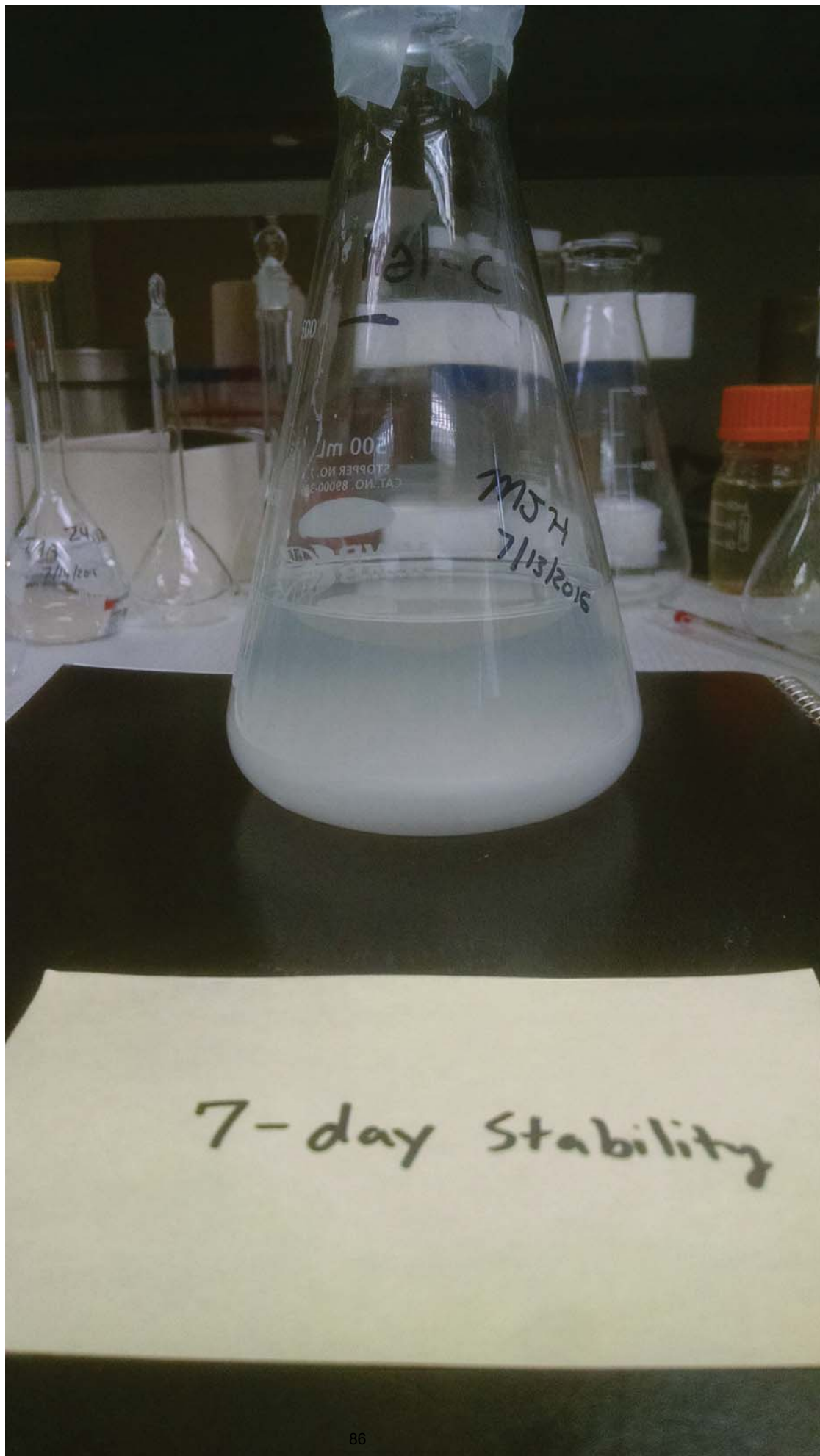




MAL-B

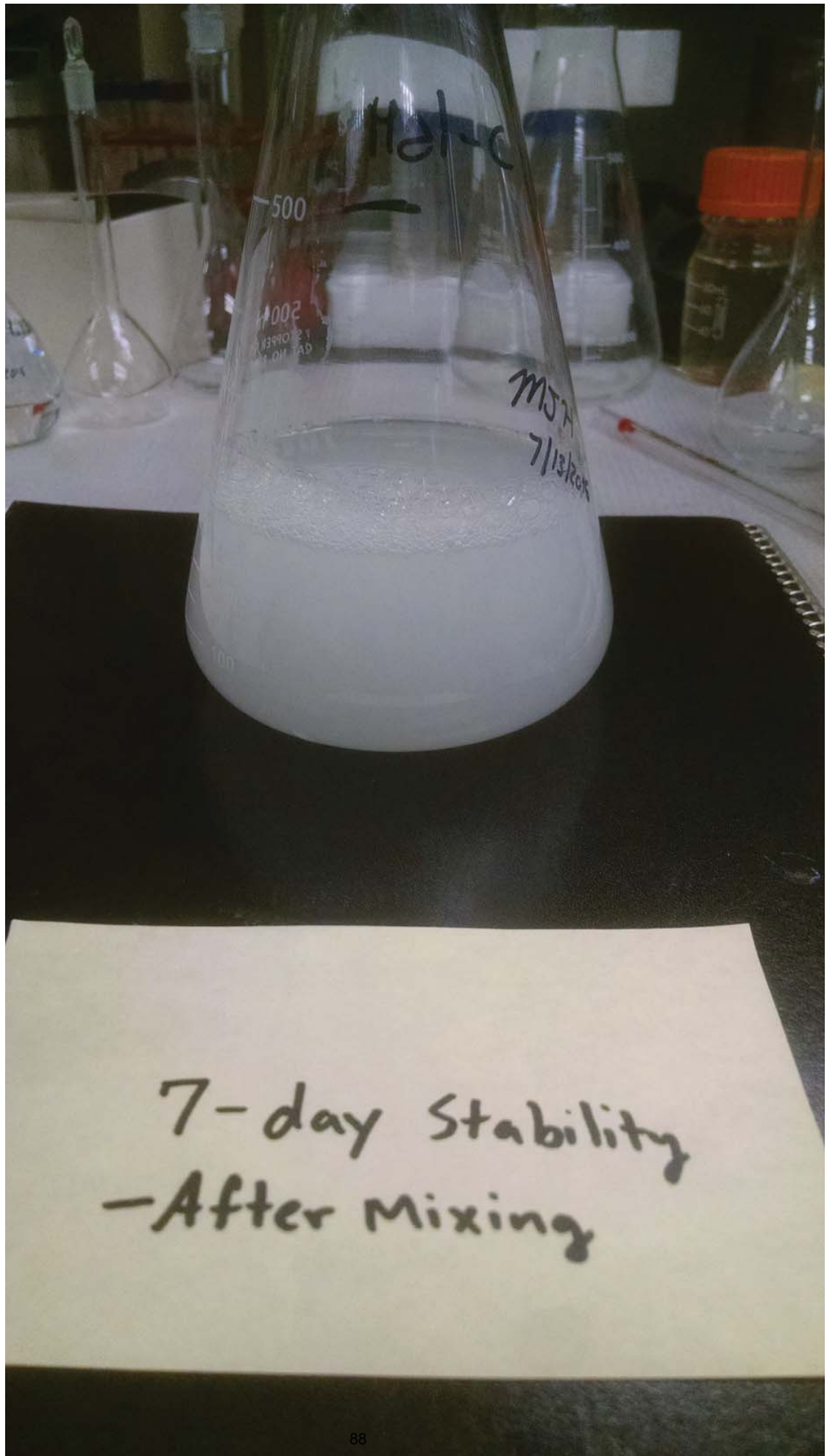
MST
7/13/2015

Exhibit M – Mal C

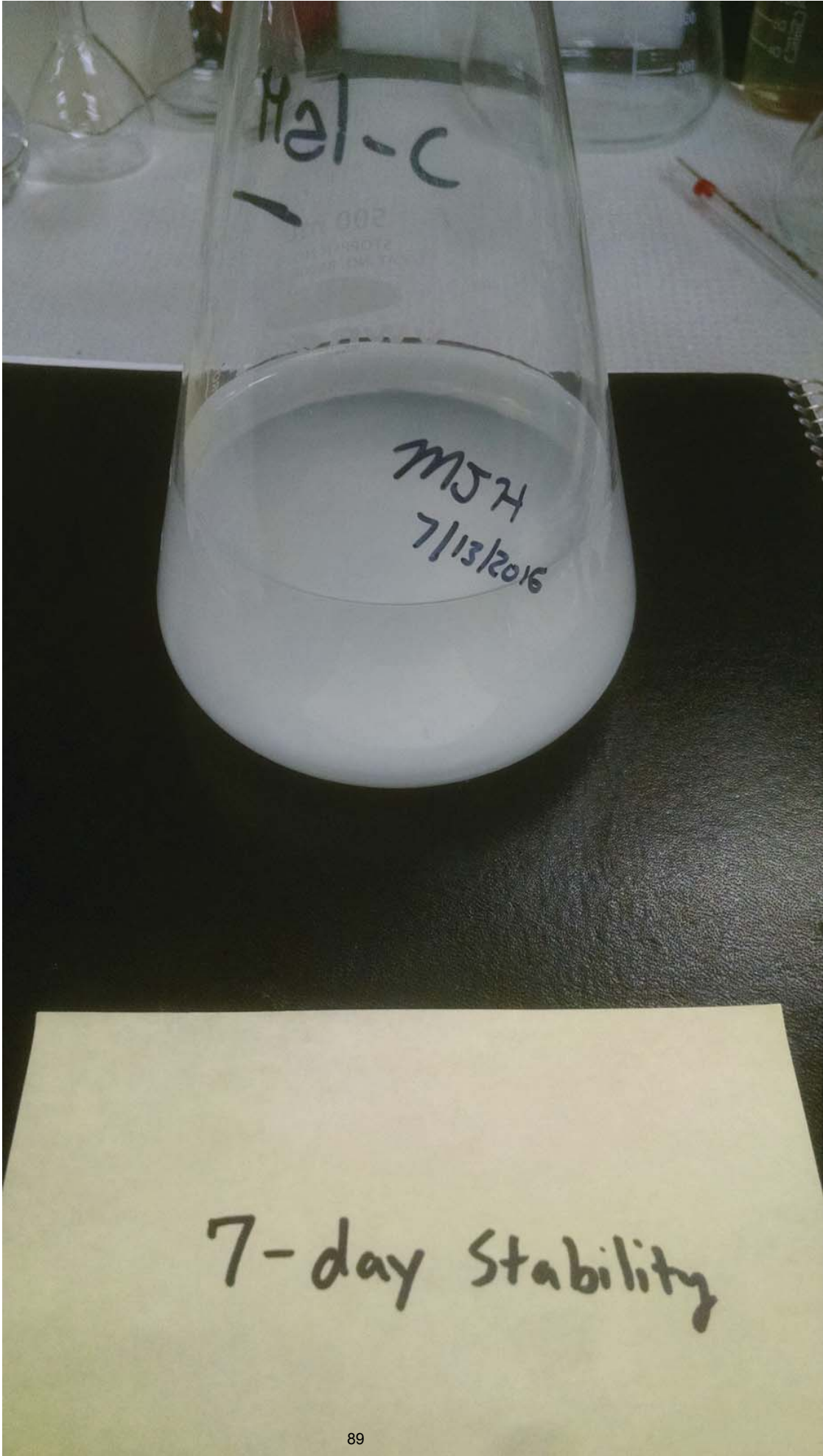


7-day Stability





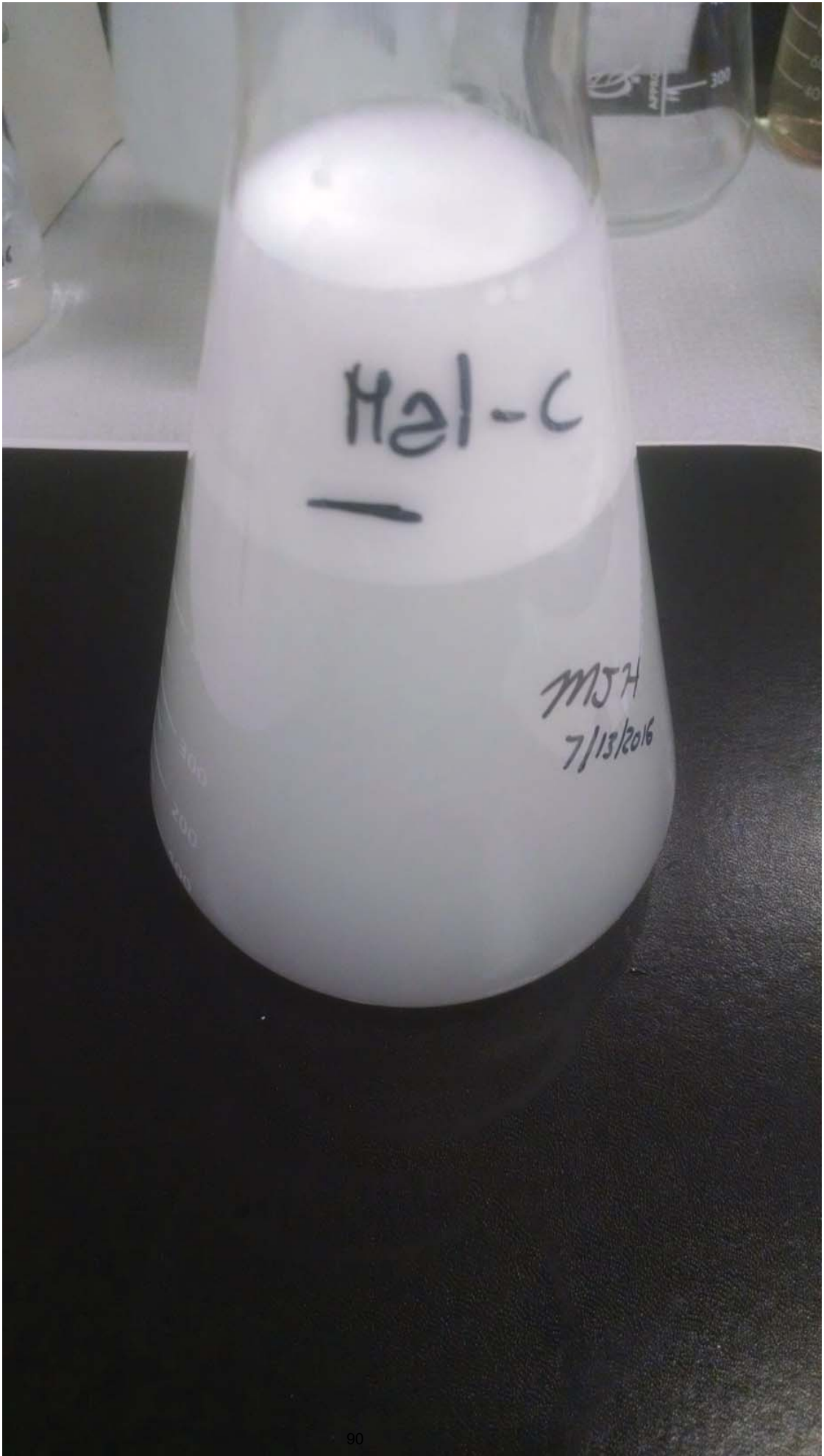
7-day Stability
-After Mixing



Hal-c

MSH
7/13/2016

7-day Stability



Hal-C

—

MSH
7/13/2016

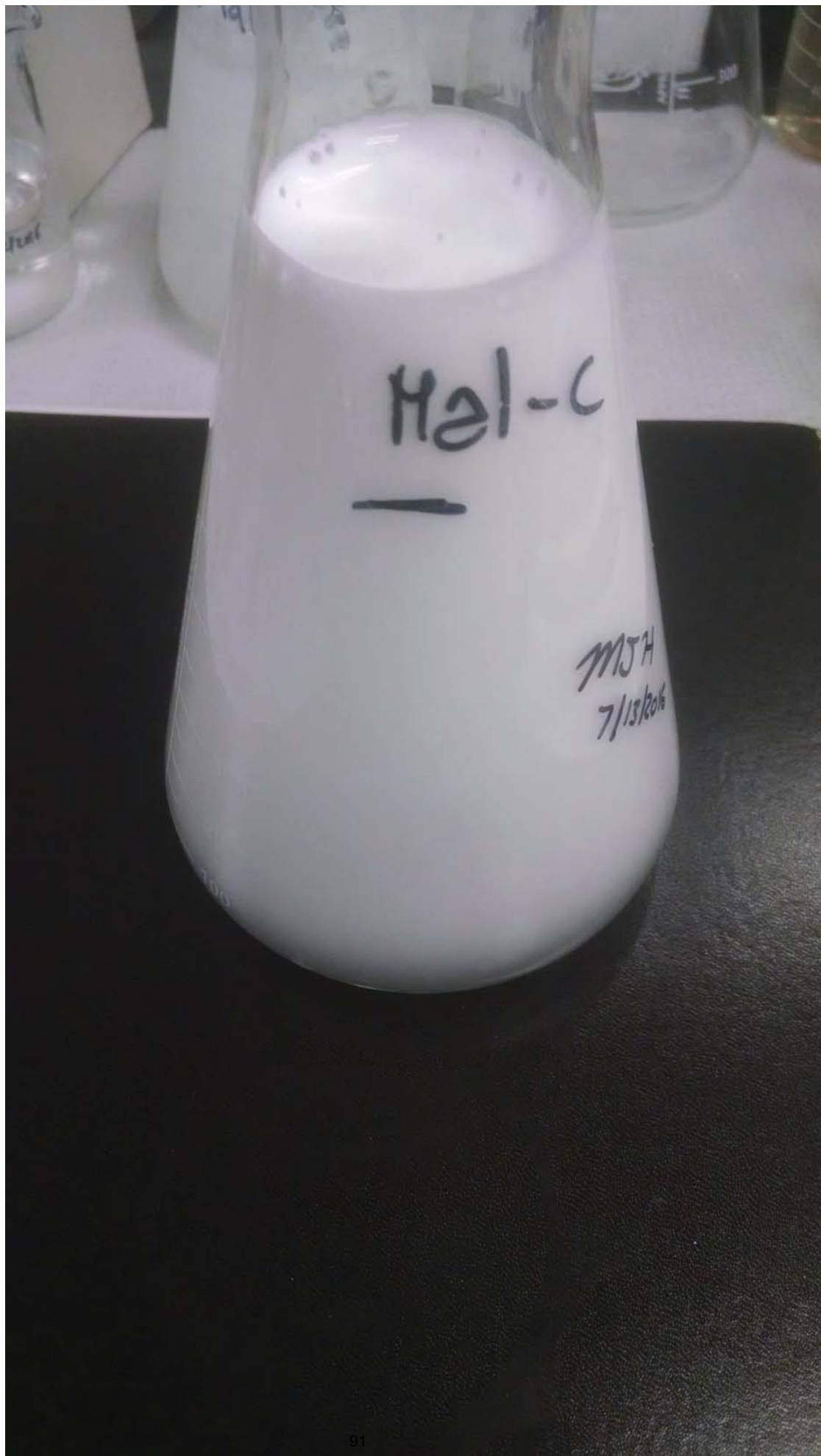
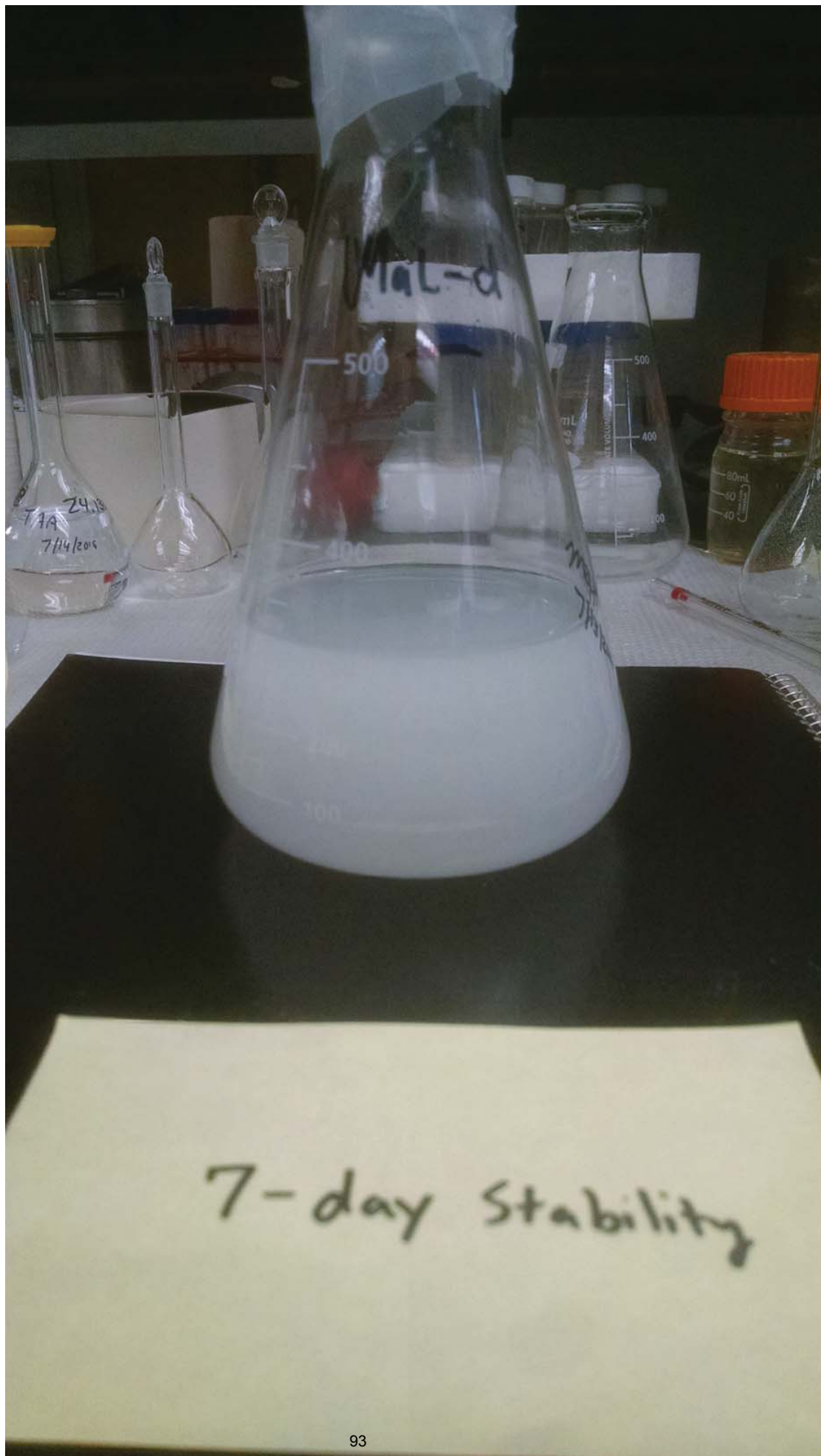
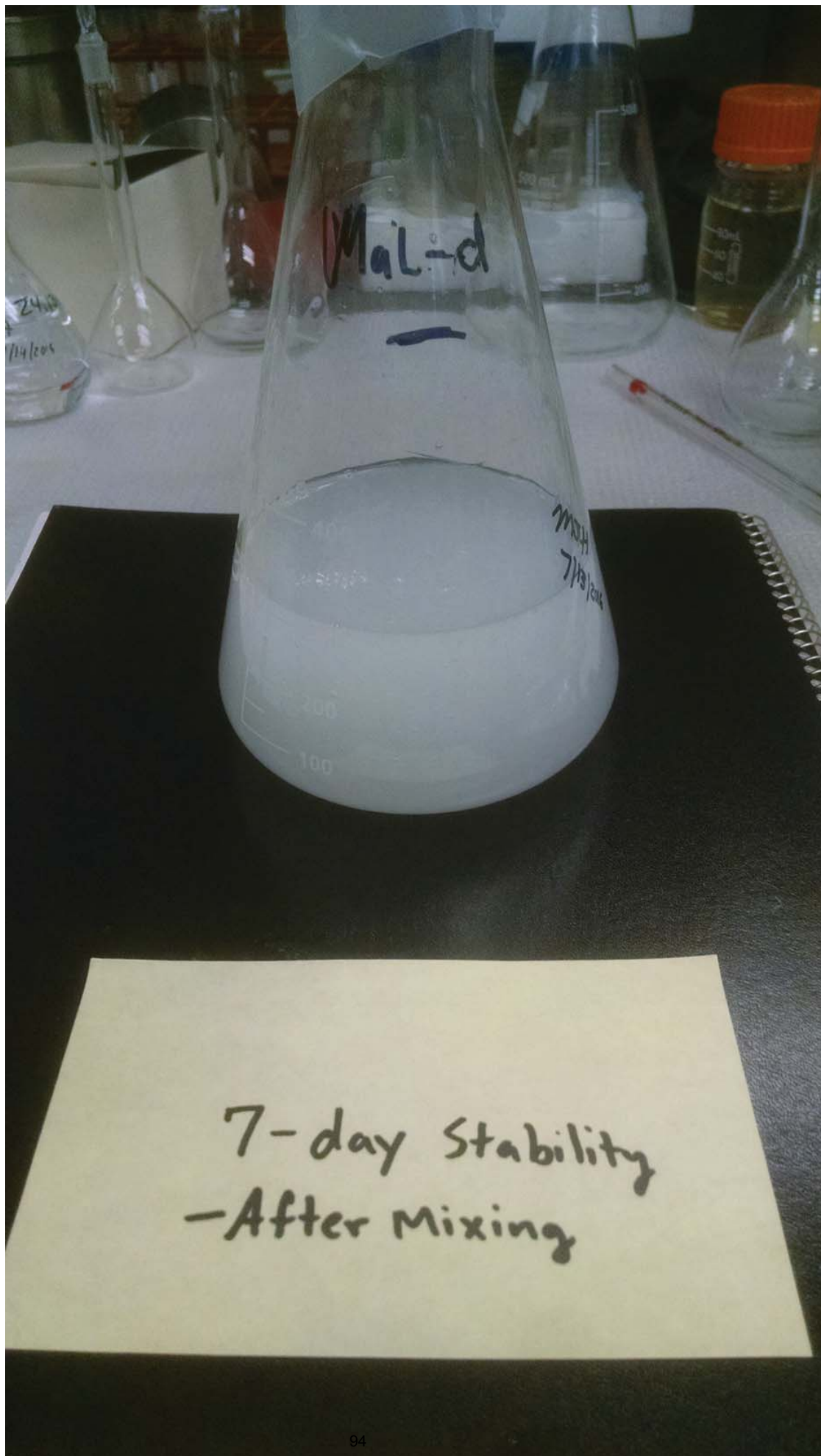
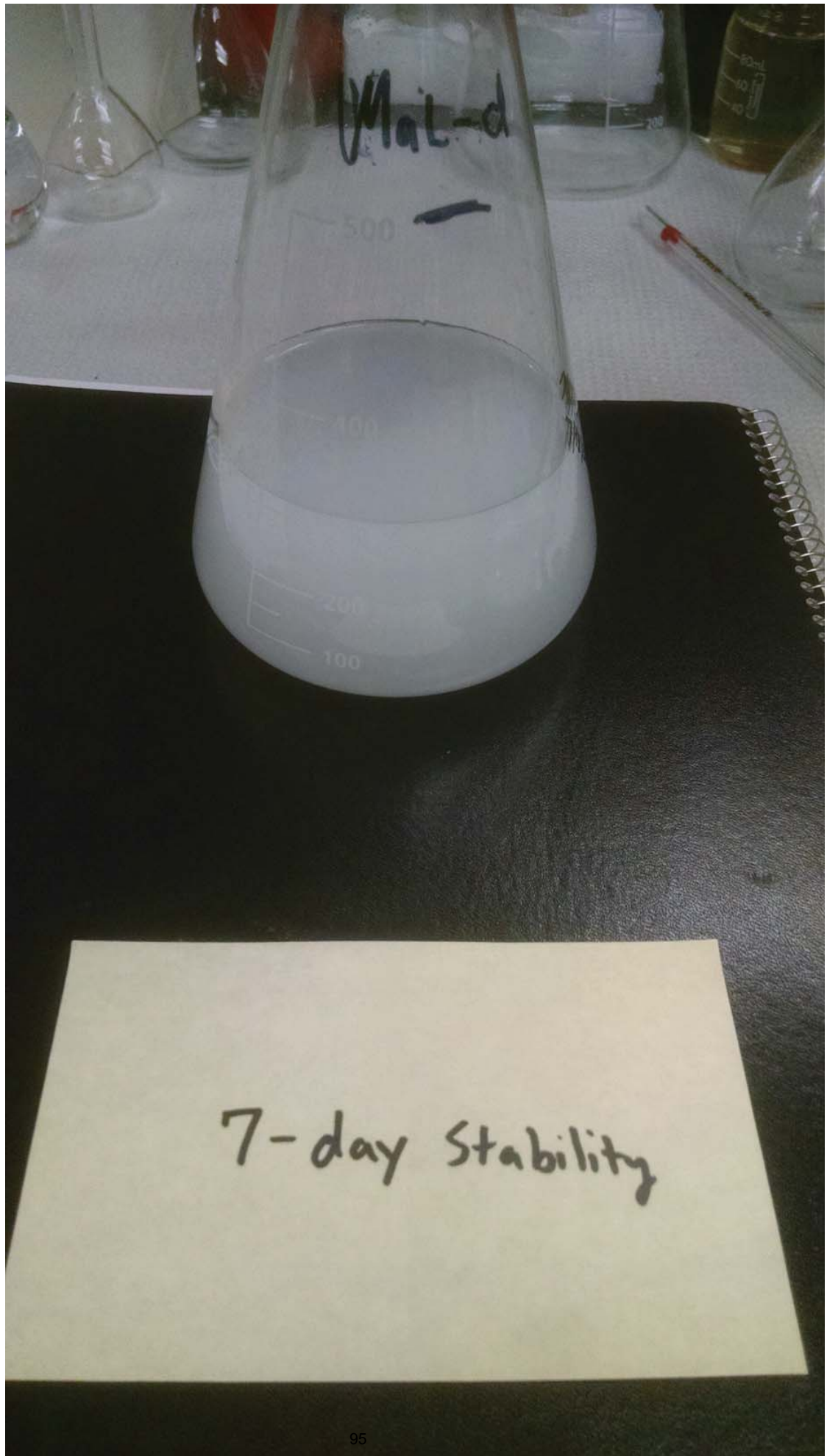


Exhibit N – Mal D









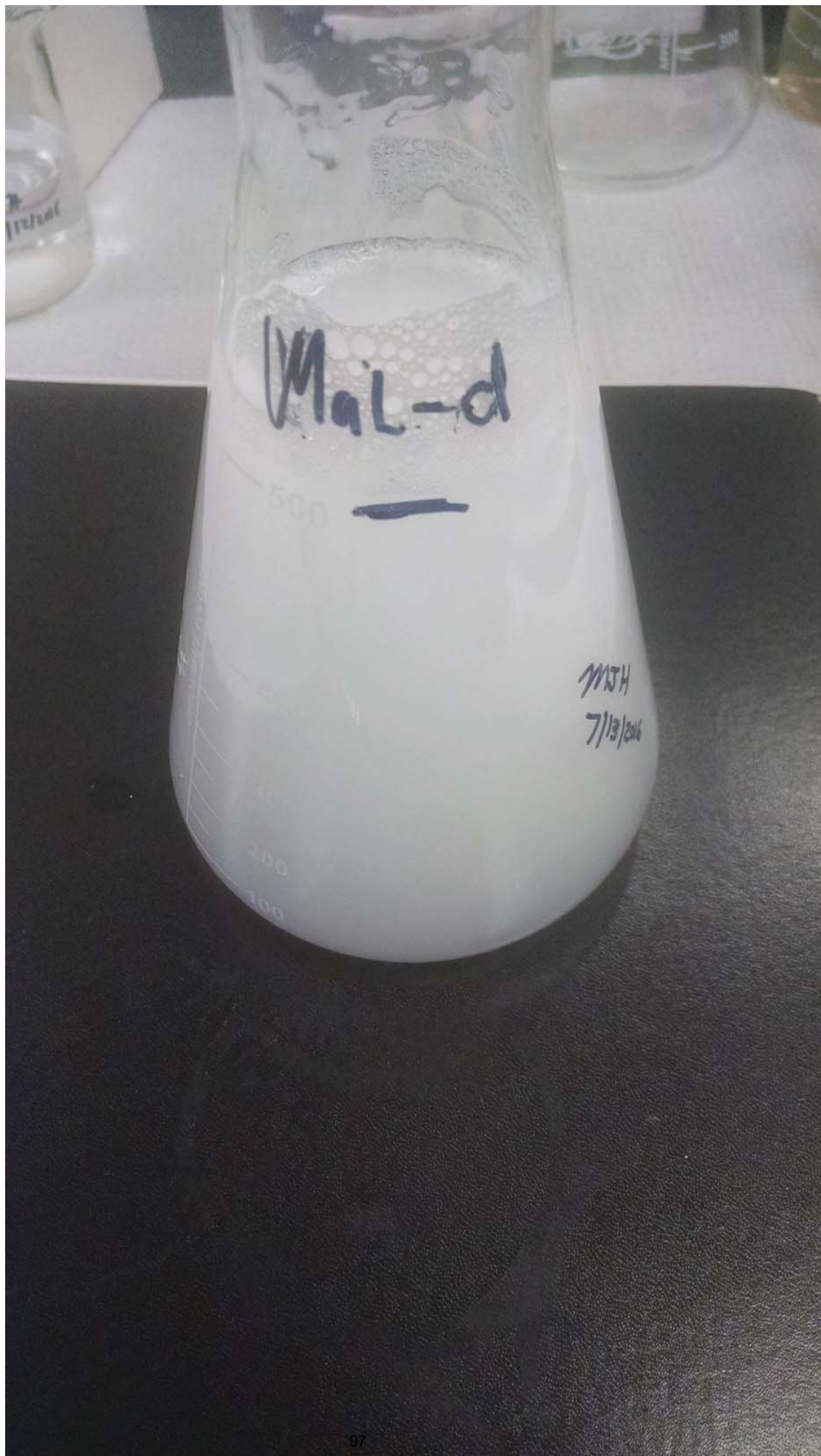
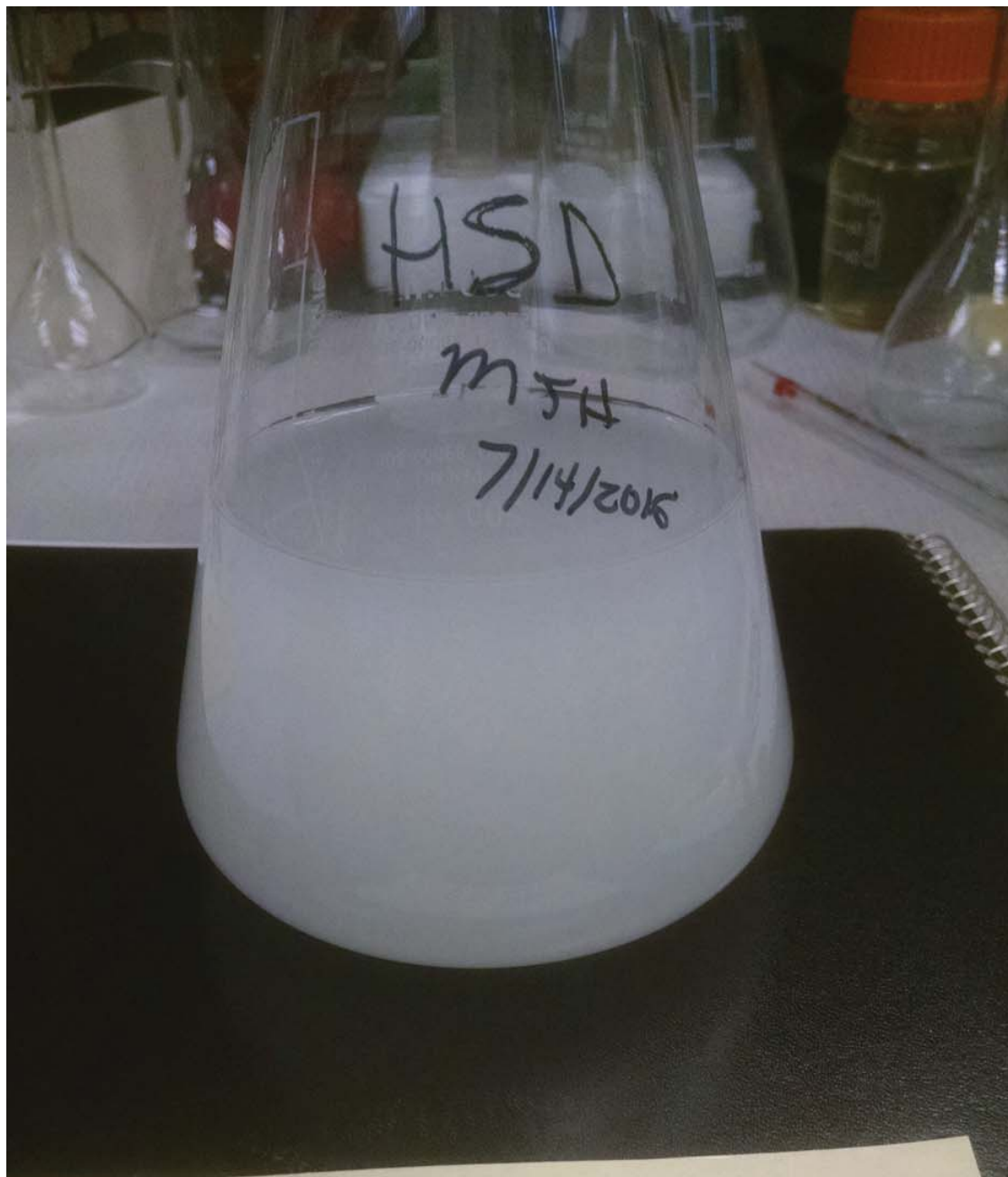
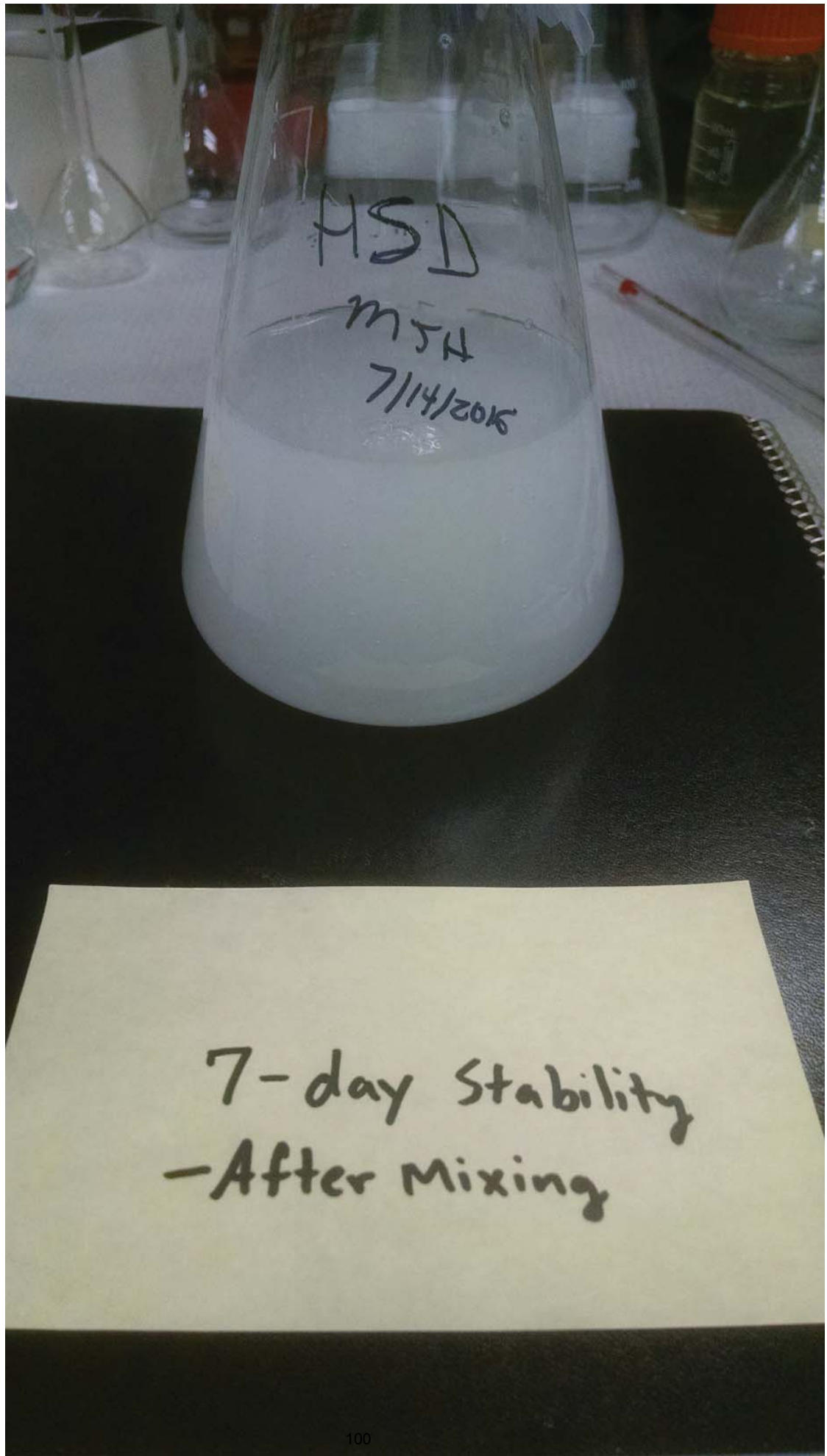


Exhibit O – HS-D



7-day Stability

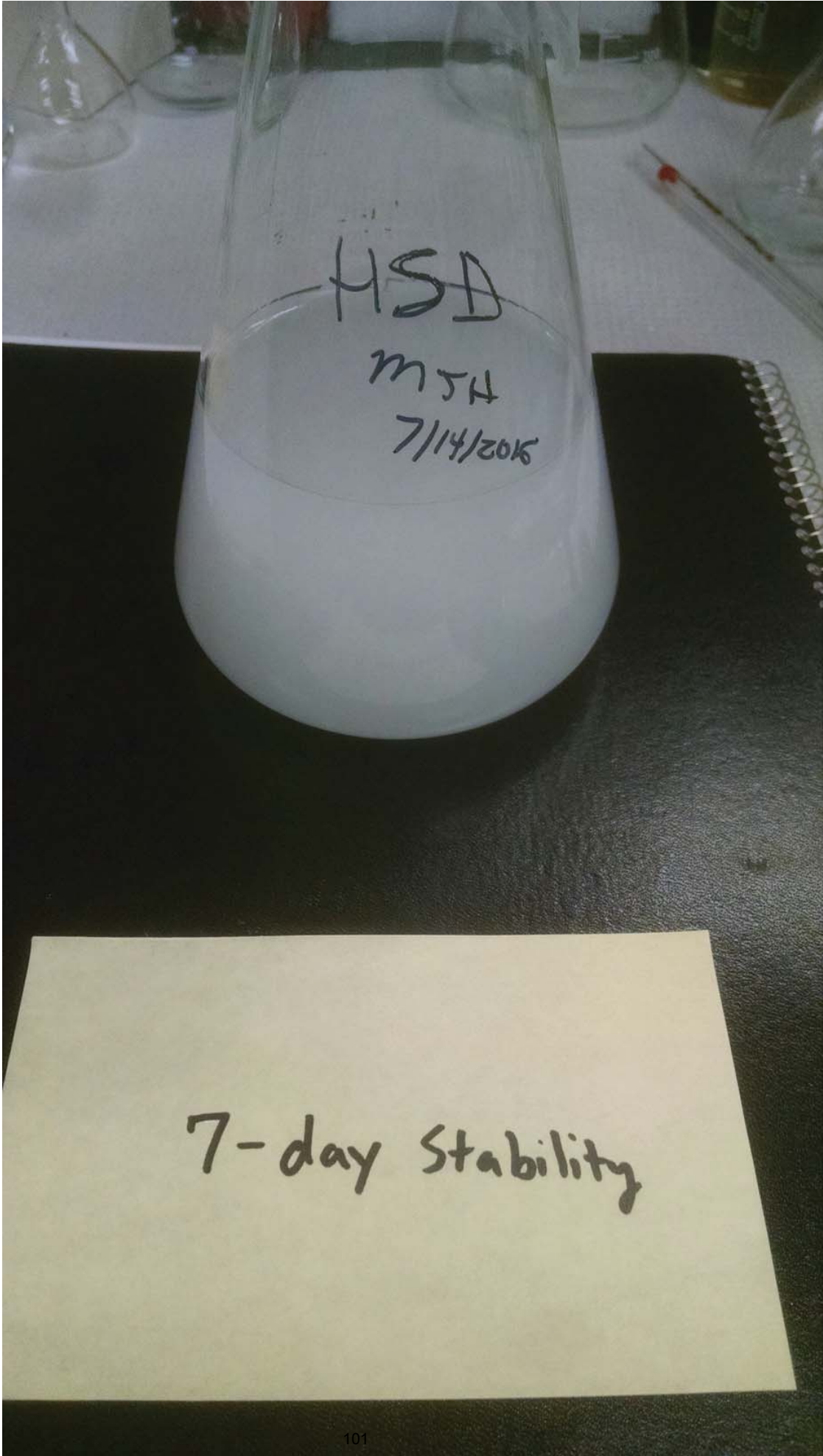


HSD

msh

7/14/2016

7-day Stability
-After Mixing

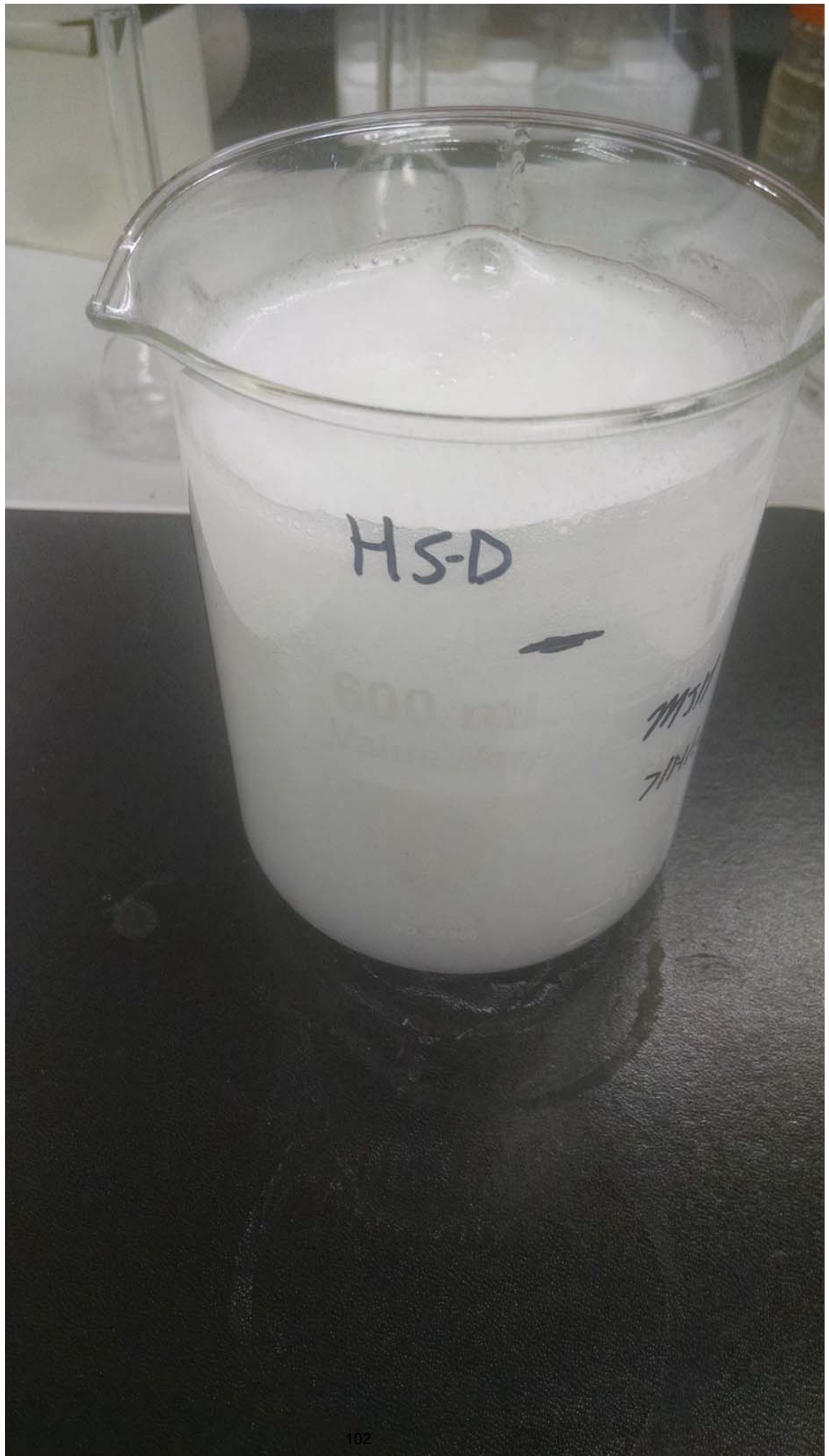


HSD

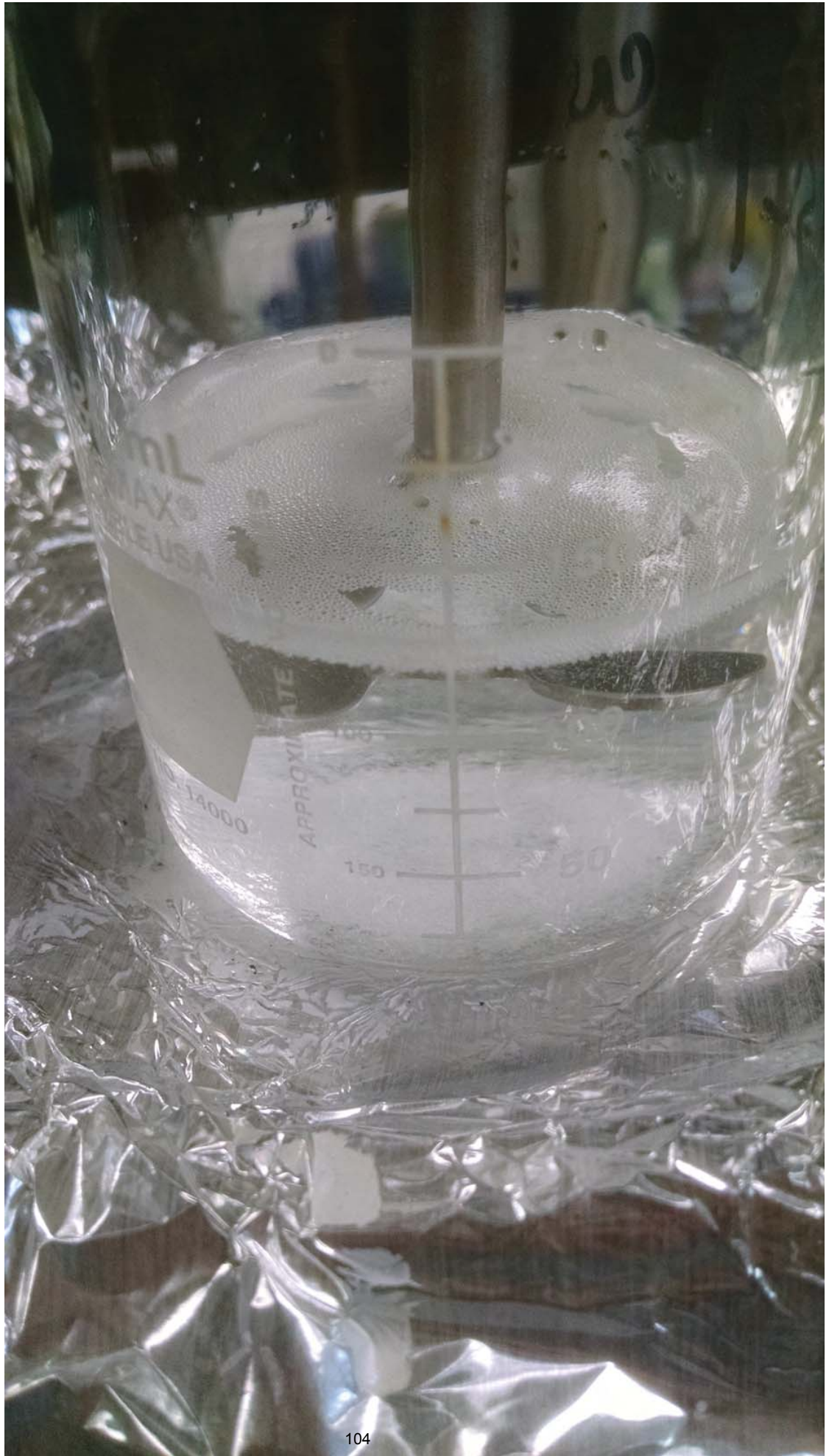
MSH

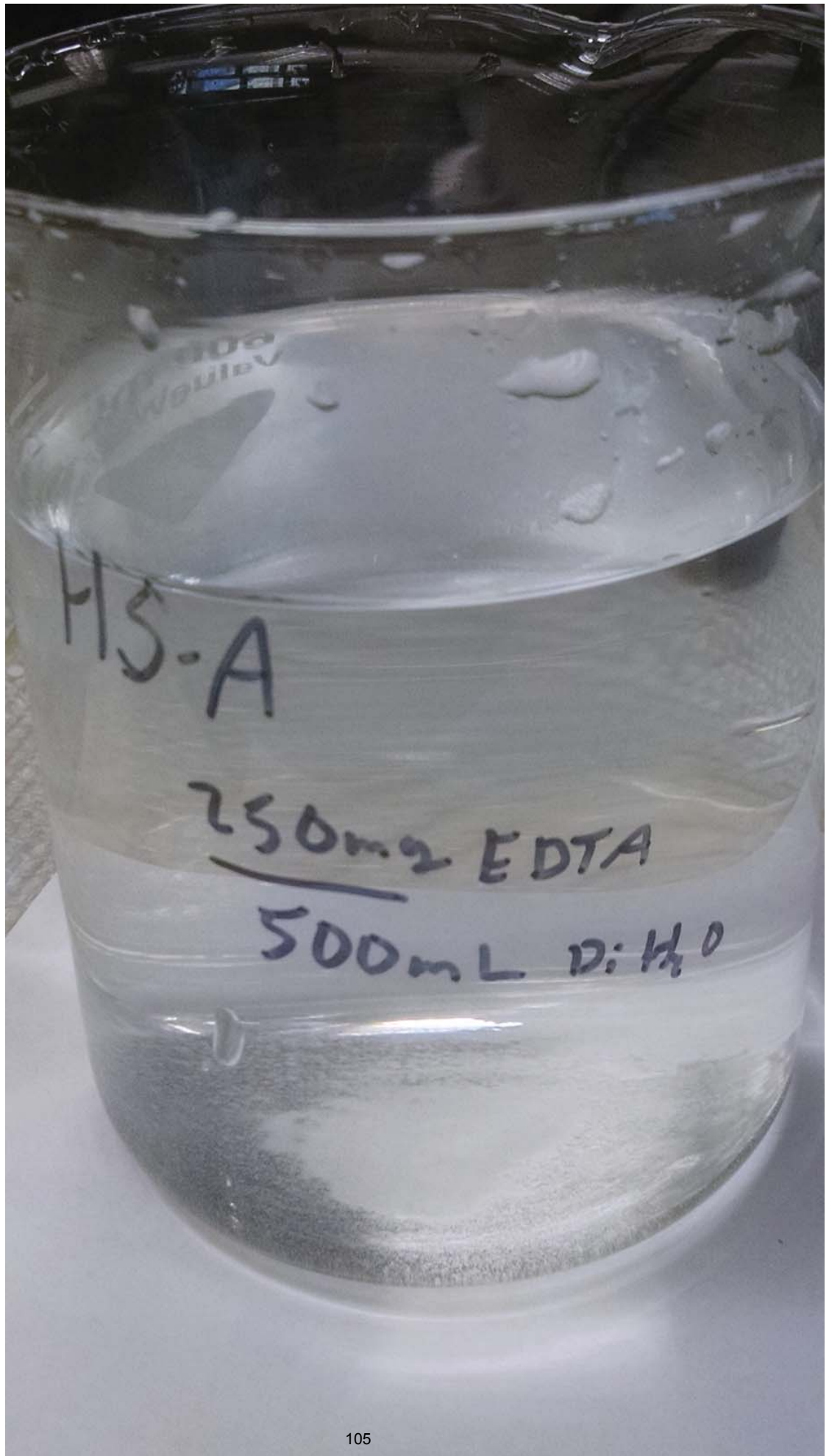
7/14/2016

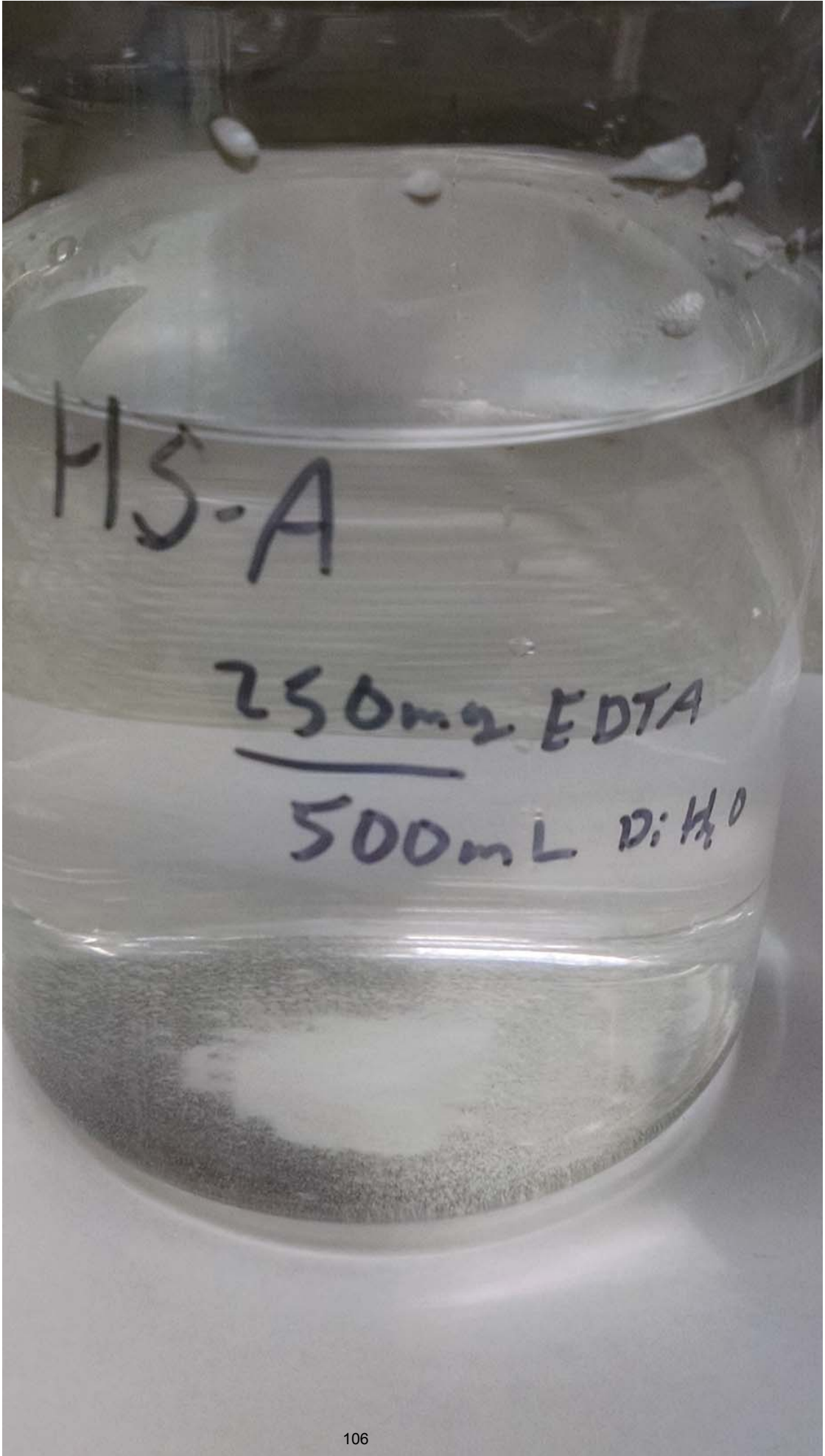
7-day Stability







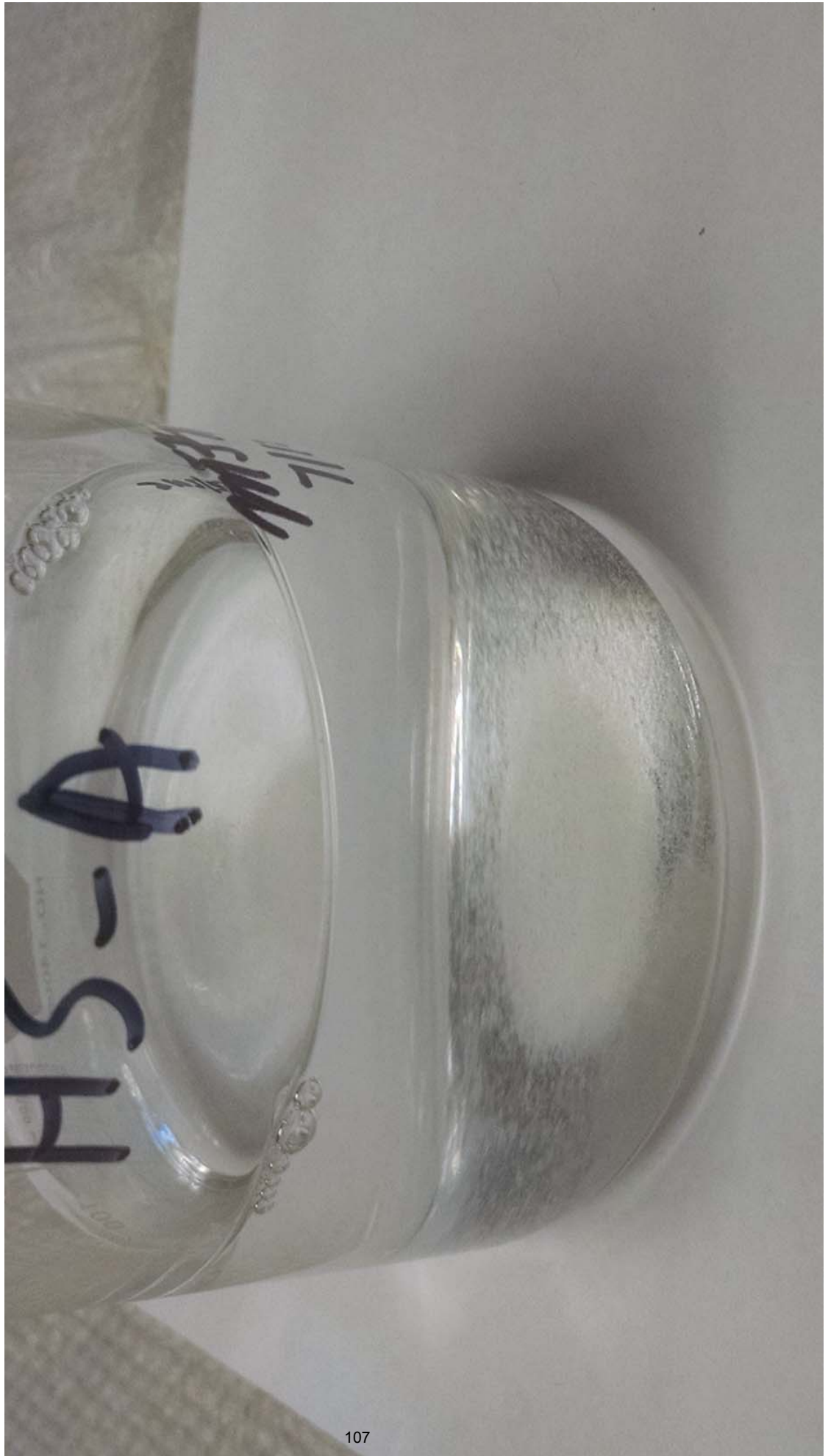




HIS-A

250mg EDTA

500mL DI: H₂O



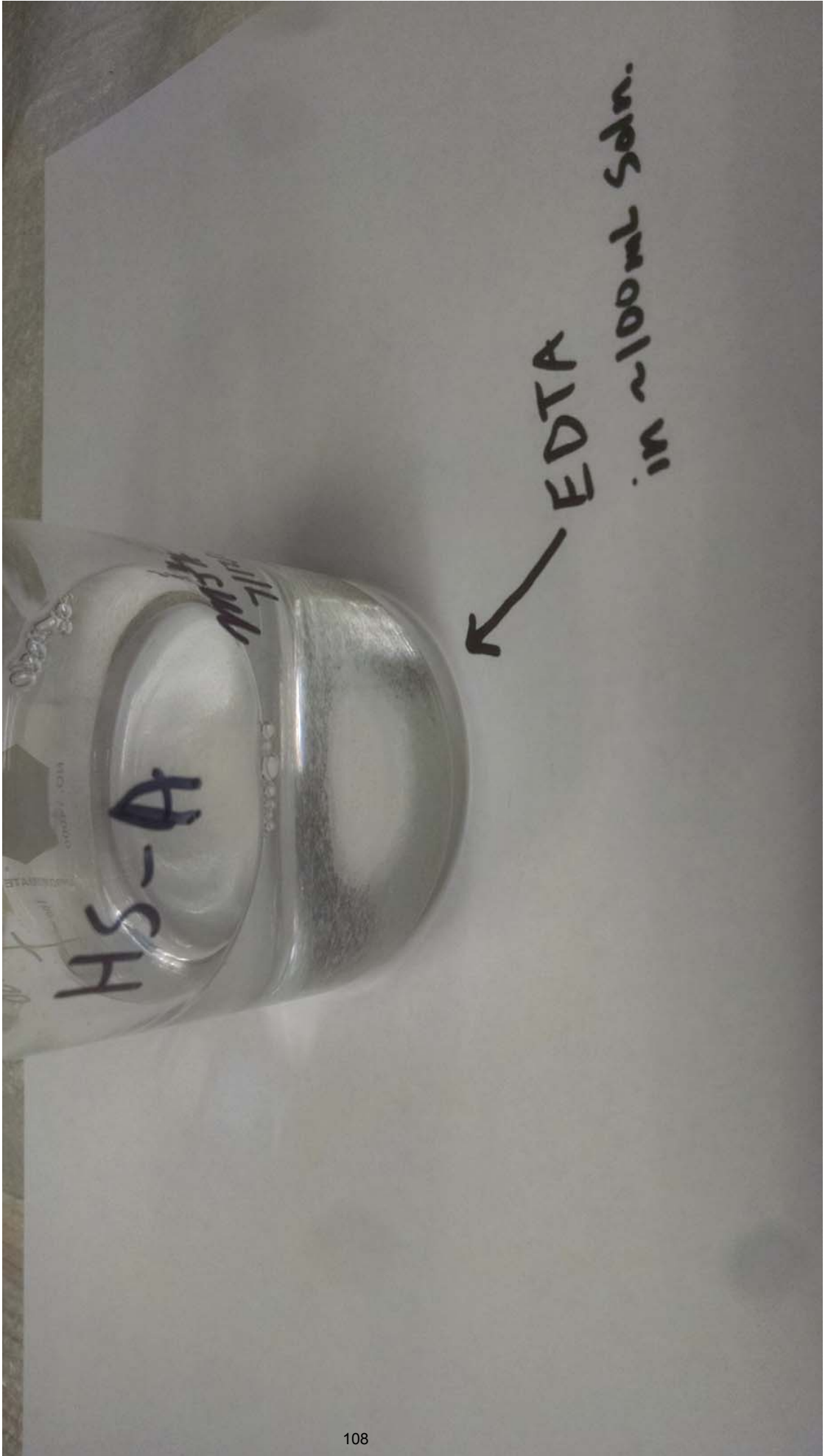
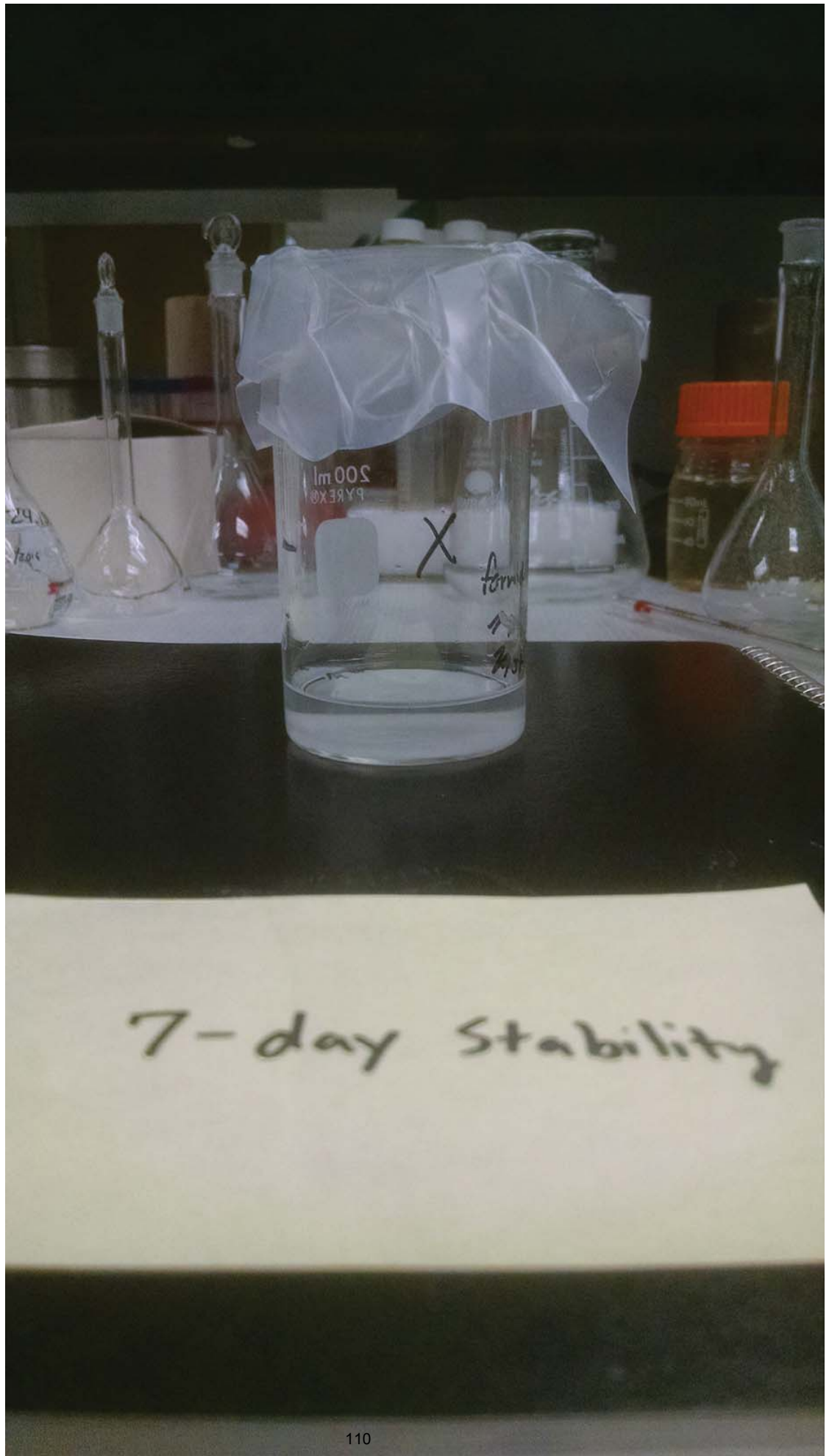
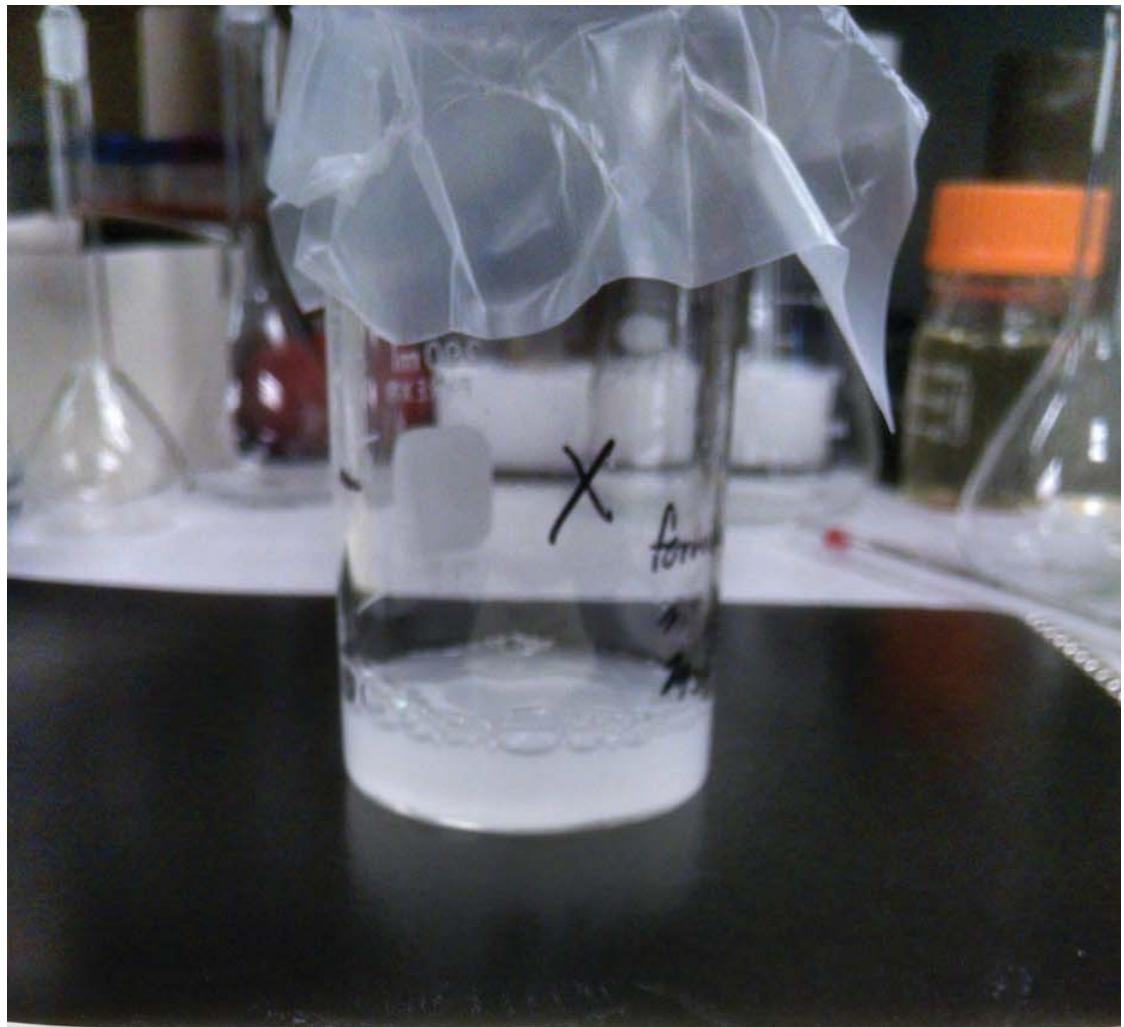


Exhibit P – X-Form

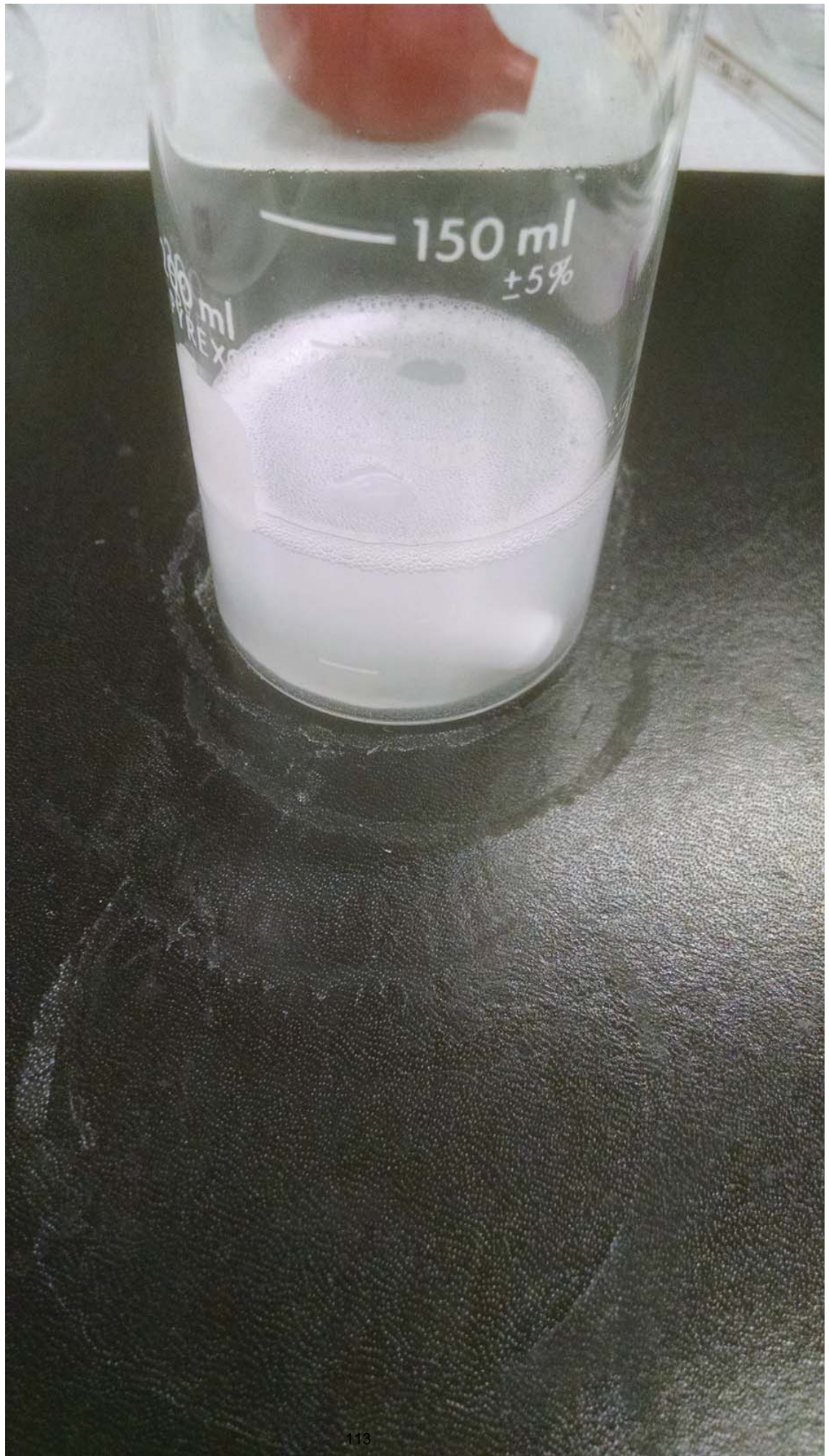


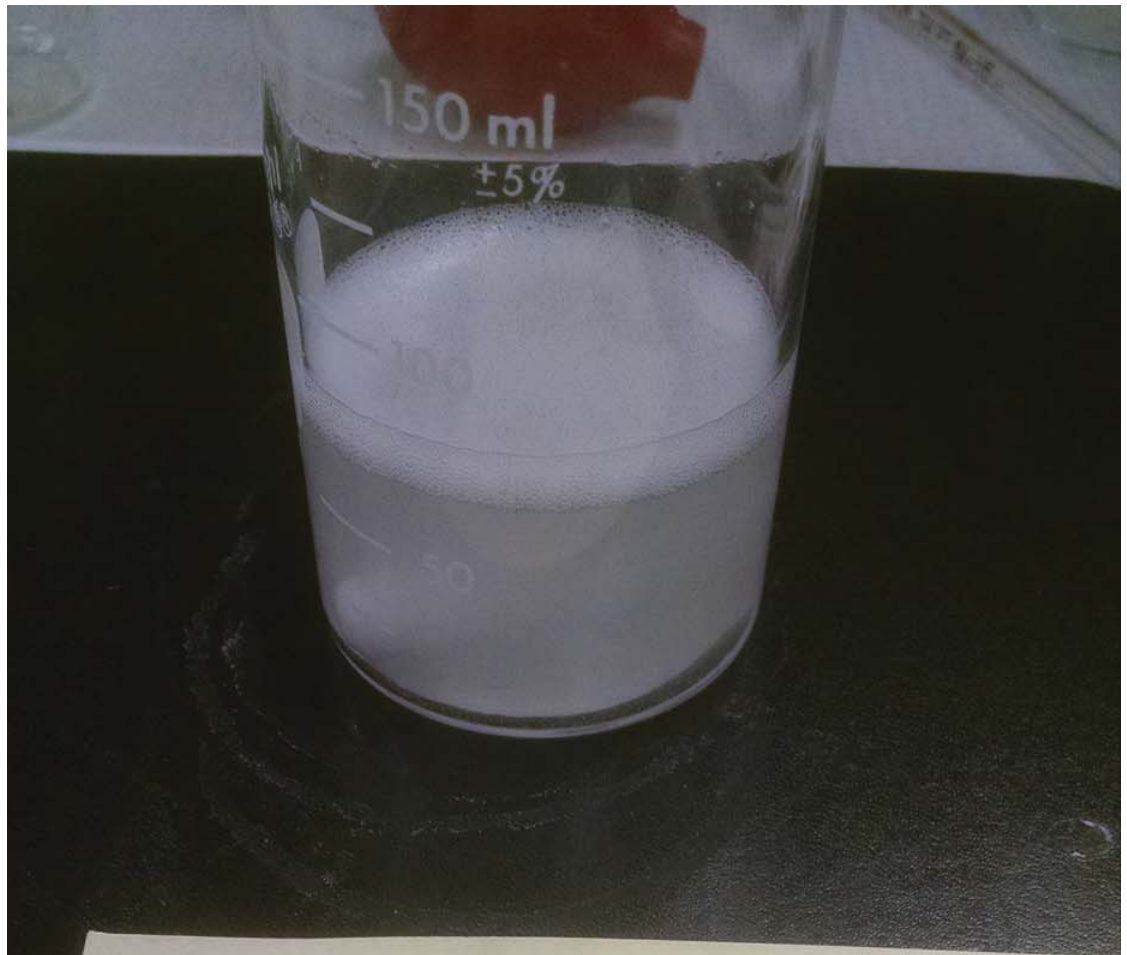


7-day Stability
-After Mixing



7-day Stability





After HIPMC



Exhibit R - Pump Delivery Shot Weight raw data and Sprayability Check

Mat-A 1

- primed 3 times / good spray

1	90.4	mg
2	90.5	
3	91.5	
4	93.6	
5	90.4	
6	92.9	
7	92.7	
8	93.7	
9	93.0	
10	93.1	

Label:
Initial I

- wasted 40 sprays

1	96.6	
2	96.9	
3	95.7	
4	94.5	
5	95.3	
6	94.1	
7	94.2	
8	95.9	
9	95.7	
10	95.3	

End E

Flal - A 2

- Primed 3 times / good spray

1	91.8	mg
2	95.1	
3	95.6	
4	96	
5	94.7	
6	94.3	
7	96.0	
8	94.3	
9	96.5	
10	97.3	

Initial - I

Wasted 4 sprays

1	95.5
2	97.6
3	96.1
4	94.5
5	95.3
6	95.1
7	95
8	96.5
9	95.2
10	95.7

End - E

Mat A 3

Primed 3 times / spray good

1	91,2	}	Initial - I
2	96		
3	94,2		
4	94,6		
5	94,5		
6	93,9		
7	95,8		
8	93,2		
9	99,7 ??		
10	96,6		

Wasted 40 sprays

1	96,2	}	end E
2	98,3		
3	97,4		
4	96,6		
5	97		
6	96,6		
7	95,4		
8	94,8		
9	95,1		
10	96,4		

Mal-B Δ

primed 3 times / Spray not good, it's a
jet

1	93,3
2	97
3	98,8
4	99,3
5	98,2
6	99,5
7	99,6
8	98,7
9	98,7
10	99,7

Inch I

wasted 40 sprays

1	100,8
2	99,1
3	98,8 4
4	98,1
5	97,4
6	96,4
7	97,5
8	100,9
9	97,1
10	98,3

end - E

Real-B 2

primed 3 times / spray not good, it's a jet

1	97,2
2	98,7
3	97,6
4	100,3
5	97,8
6	99,7
7	99,3
8	99,7
9	100,1
10	100

waste 20 sprays

1	94,9
2	102,6
3	99,2
4	101,5
5	99,7
6	102,3
7	98,8
8	100,2
9	96,4
10	98,8

Mal B-3

Primed 3 times (spray not good, it's a jet)

1	98,4
2	102,5
3	101,5
4	100,4
5	99,3
6	99
7	100,3
8	101,7
9	101,4,8
10	105,2

waste 40 sprays

1	98,5
2	102,6
3	99,7
4	100,5
5	99,8
6	—
7	105,6
8	99,8
9	101,2
10	99,6
11	100,6

→ I accidentally tare before getting the wait

Kalc 1

Primed 3 times / spray good

1	91,6
2	88,1
3	91,5
4	93,4
5	91,7
6	93,6
7	94,6
8	92,0
9	96,3
10	97,4

waste 40 sprays

1	95,2
2	95,1
3	95,3
4	95,8
5	95,7
6	95,2
7	95,4
8	95,1
9	98,8
10	95,6

Mal-C 2

Primed 3 times / spray good

1	86,0 mg
2	87,7
3	89,7
4	91,2
5	91,9
6	91,7
7	92,6
8	93,6
9	92,3
10	92,7

waste 40 sprays

1	92,7
2	93,7
3	95,9
4	93,6
5	95,3
6	93,7
7	93,9
8	92,4
9	76,7
10	79,0

flal-c 3

Primed 3 times / spray good

1 81.0

2 -170.6

3 91.6

4 90.6

5 185.0

6 93.9

7 94.1

8 94.2

9 93.9

10 93.6

waste 40

51 95.5

52 93.9

53 94.2

54 94.0

55 187.3

56 93.9

57 92.6

58 93.3

59 187.7

60 94.2

Mal D - 1, 2, 3

Bottle #1

#2

#3

Prime - 3 sprays
3 sprays
3 spray

#1 - Spray is a Jet/Stream
#2 - Spray is a Jet or Jet
#3 - Spray is Stream/Jet

1	96.6
2	101.5
3	101.0
4	102.5
5	101.6
6	100.6
7	101.1 mg
8	102.7 mg
9	102.5
10	102.8

1	100.4
2	100.6
3	101.8
4	100.9
5	101.4
6	101.5
7	102.2
8	101.1
9	102.6
10	101.1

1	100.1
2	101.1
3	101.3
4	100.7
5	99.2
6	100.2
7	99.8
8	99.7
9	99.8
10	103.102.4

✓ waste 40

✓ waste 46

51	101.5
52	101.9
53	101.2
54	103.0
55	101.8
56	203.7
57	101.0
58	101.6
59	100.2
60	101.2

✓ waste 40

51	101.0
52	101.5
53	102.3
54	100.5
55	102.5
56	101.2
57	102.7
58	100.9
59	100.7
60	101.9

51	100.0 99.6
52	100.4
53	100.1
54	99.9
55	100.6
56	100.7
57	100.6
58	100.4
59	99.41
60	

Delivered Dose Uniformity

Primed 3 times

Formulation: HSD

Bottle # 1,2,3

#1 - No Spray/^{Formed a}Narrow Jet #2 No Spray/^{Formed a}Narrow Jet

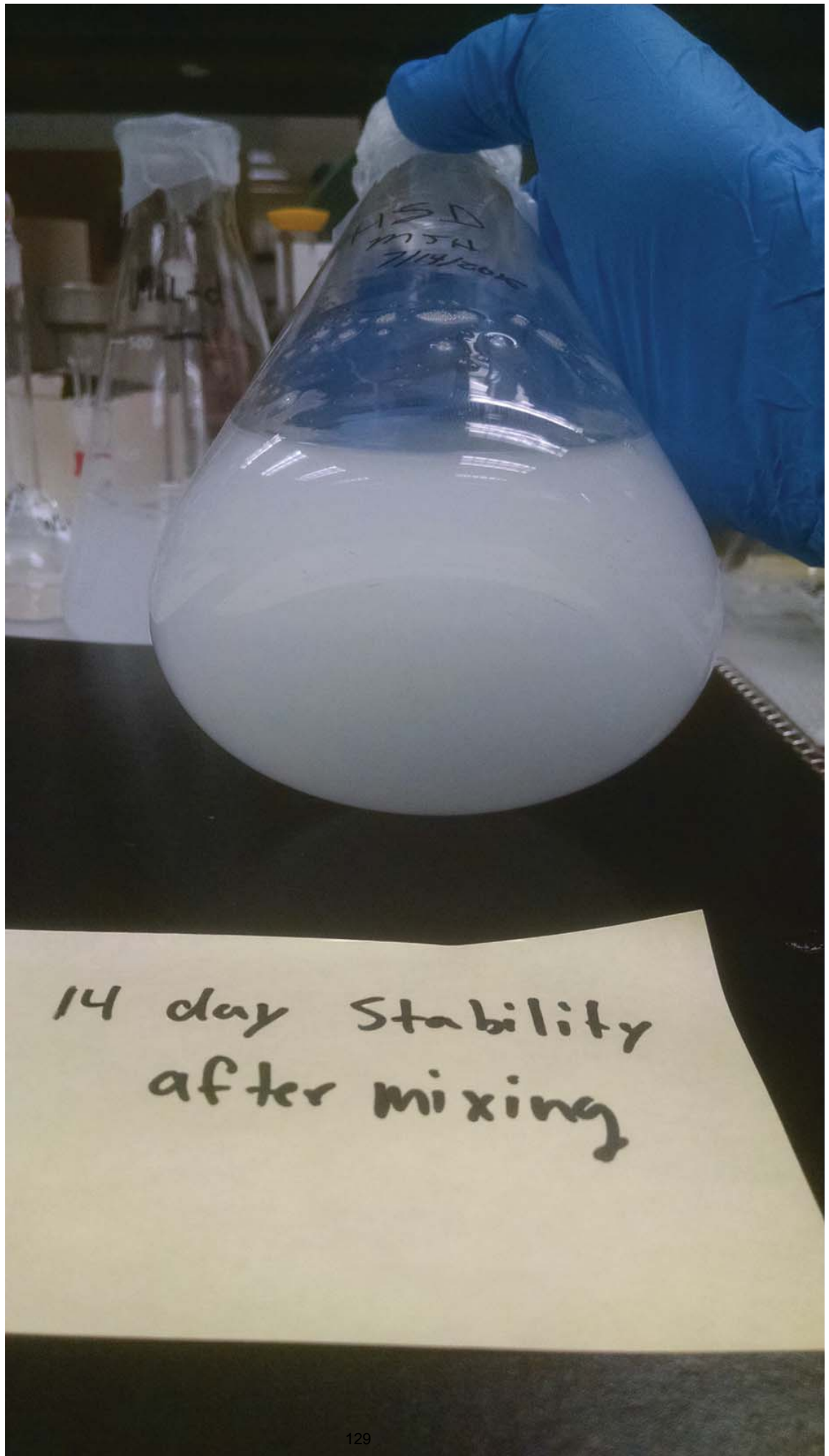
	Dose #	#1 shot weight(mg)	#2	#3	#3 No Spray/ ^{Formed a} Narrow Jet
First 10 Doses	1	101.3	97.4	101.7	
	2	* $\leftarrow 202.0 \rightarrow$	97.7	103.9	
	3	101.6	98.2	102.8	
	4	101.9	100.1	102.8	
	5	101.0	99.7	100.9	
	6	103.0	103.1	104.4	
	7	102.8	102.4	103.0	
	8	101.8	101.2	102	
	9	101.1	100.5	103.5	
	10	101.3	107.7	105.2	
Middle 40 Doses Wasted					
Last 10 Dose	51	107.0	98.0	106.2	
	52	103.0	103.5	102.7	
	53	103.2	103.3	102.8	
	54	102.8	99.9	102.9	
	55	102.2	98.8	99.3	
	56	100.1	100.0	103.7	
	57	86.7	101.5	103.3	
	58	96.2	100.2	102.1	
	59	94.8	100.7	102.8	
	60	96.0	104.6	103.9	

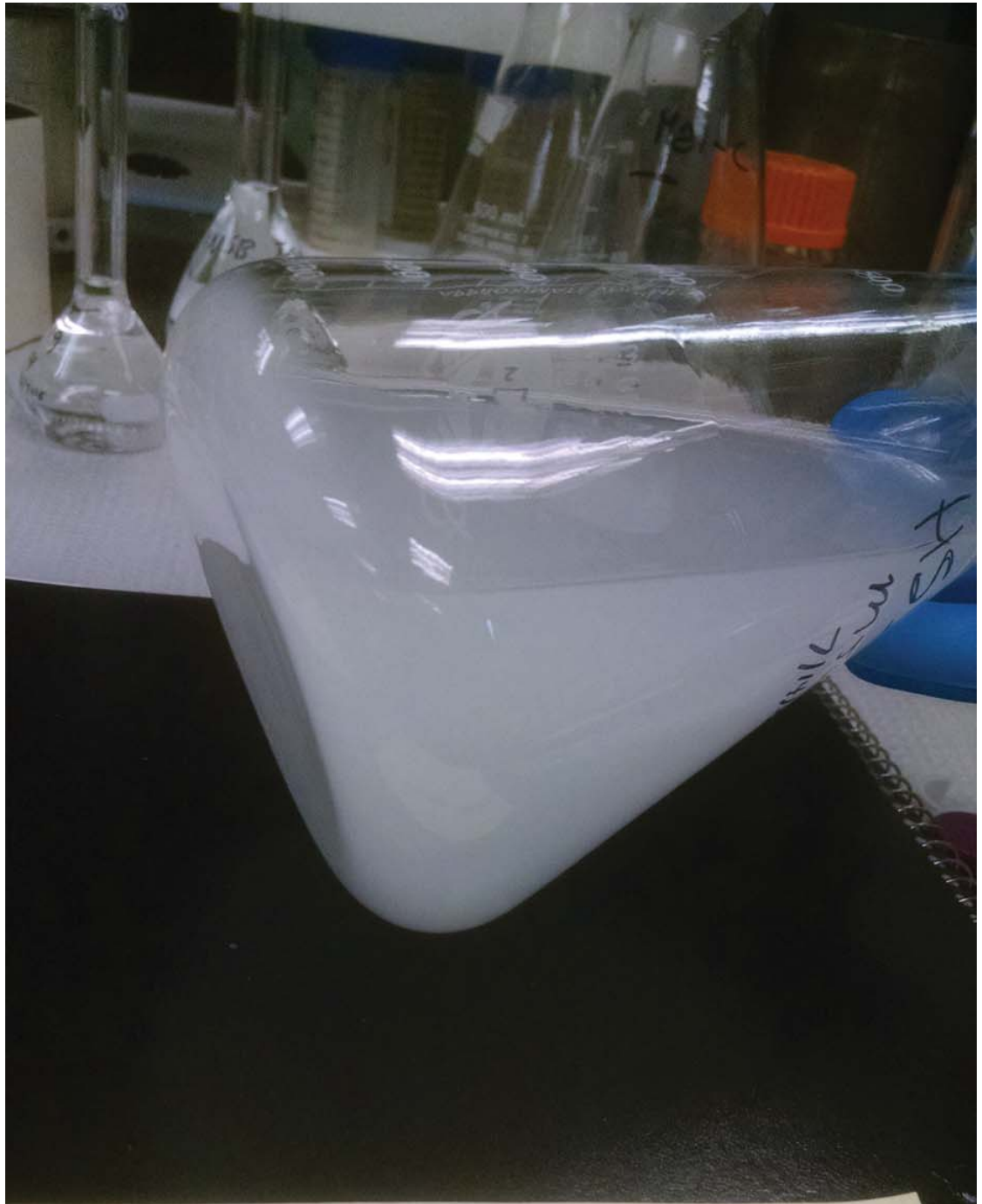
* Subtract from previous shot weight

□ Diluent Volume: 2mL

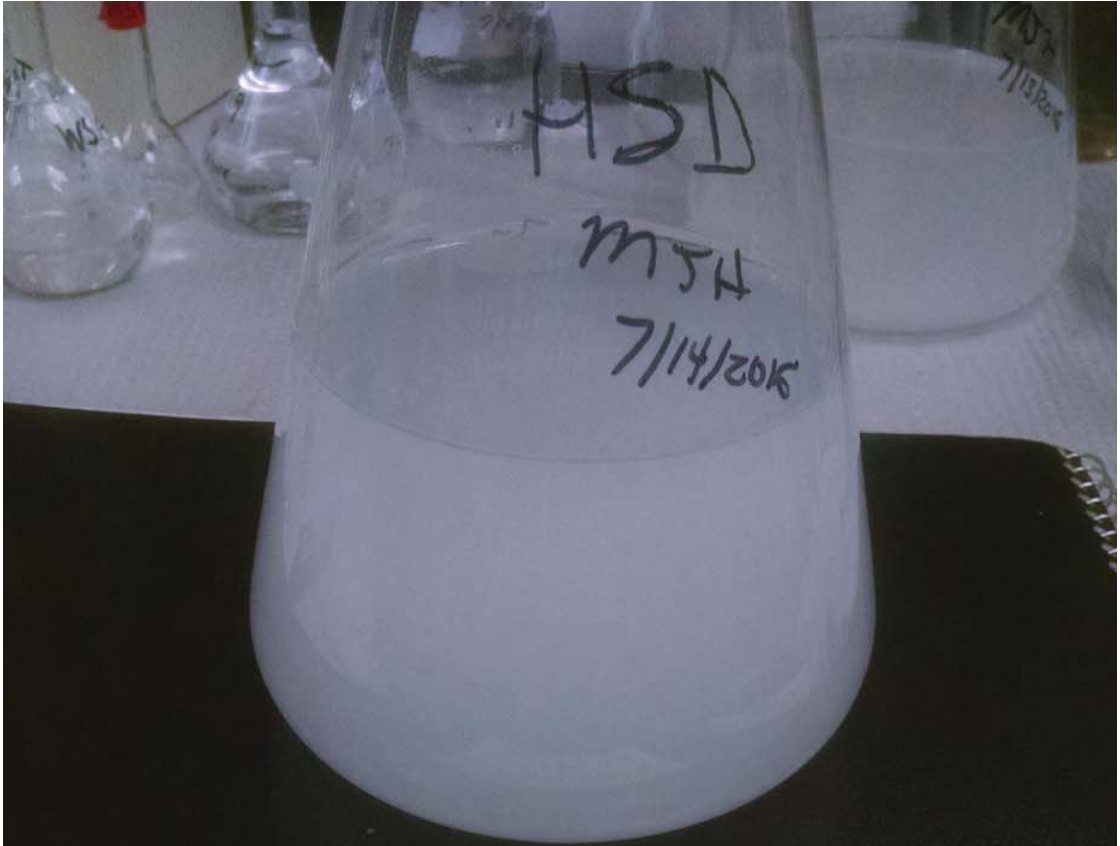
□ Diluent Comp: 70% ACN

Exhibit S – 14 Day Stability Photos

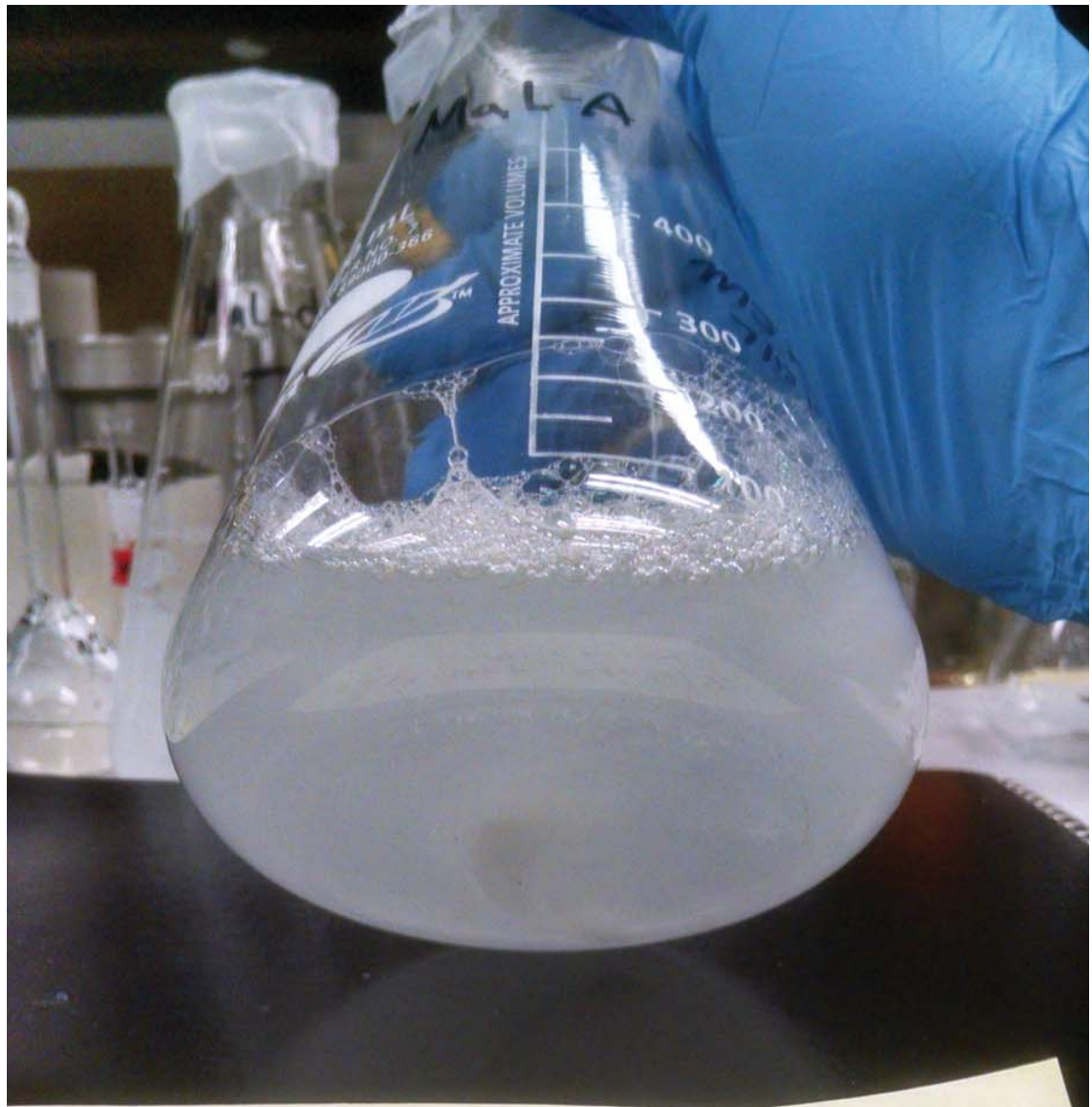




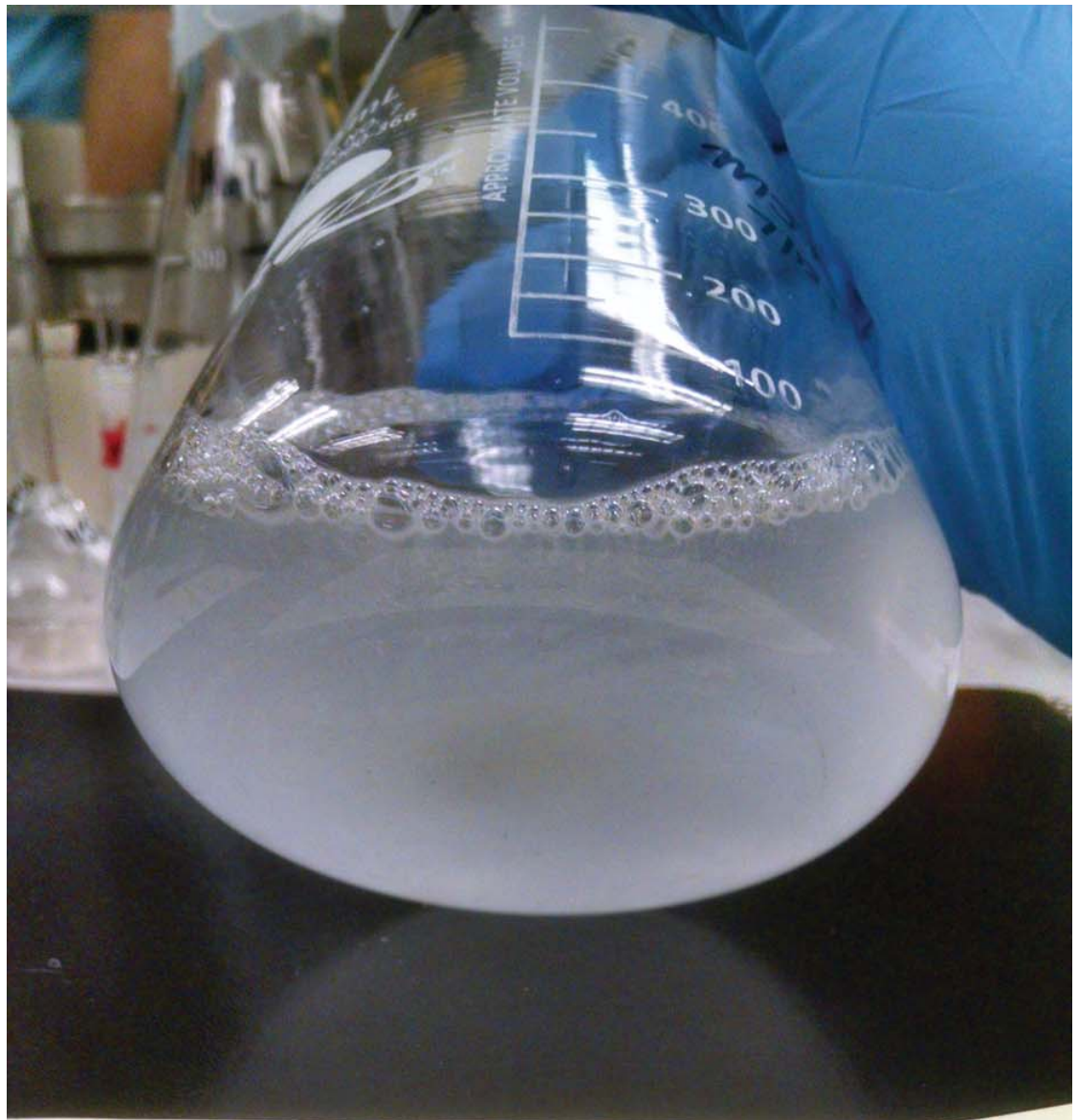
14 day Stability



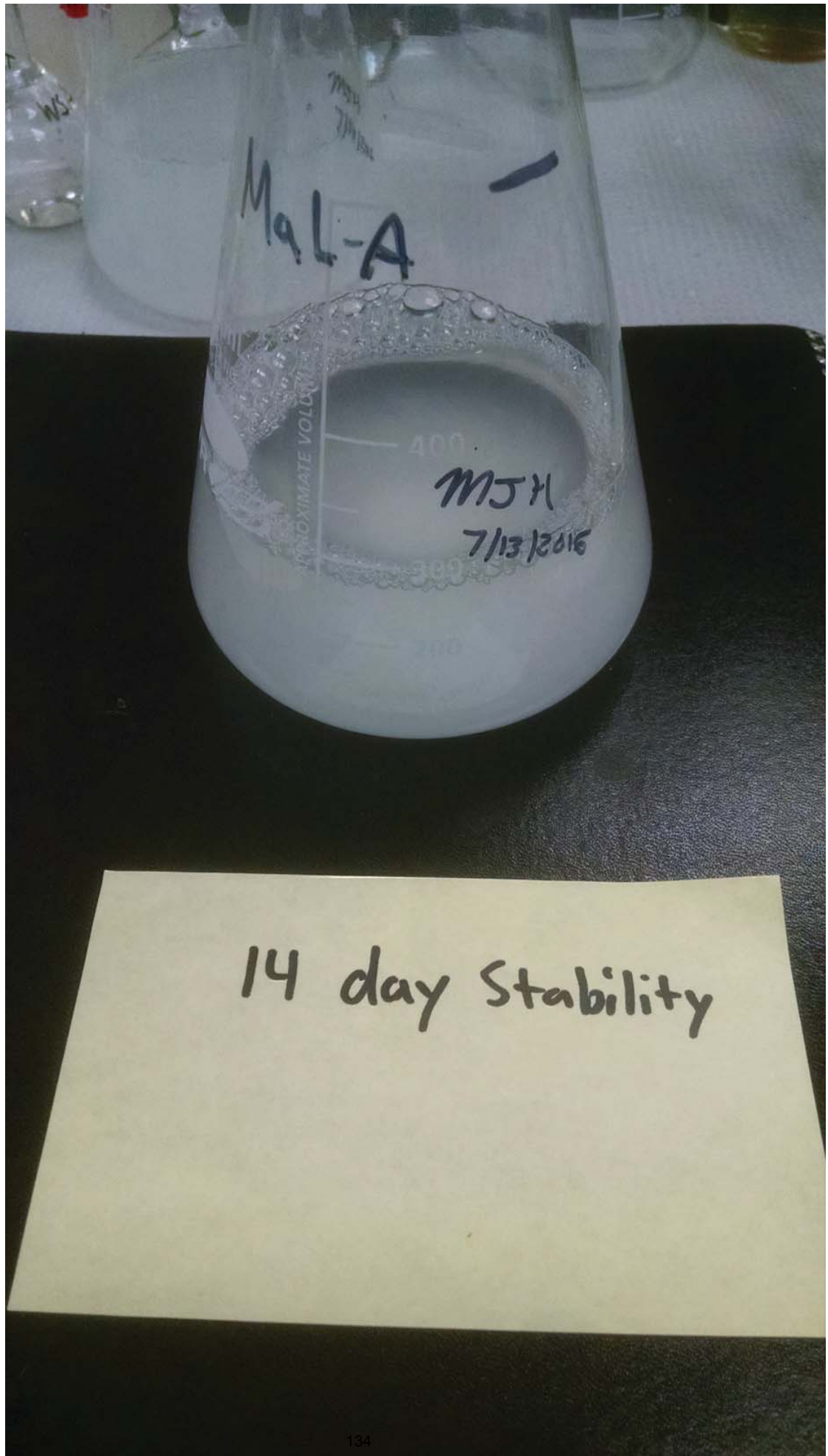
14 day Stability



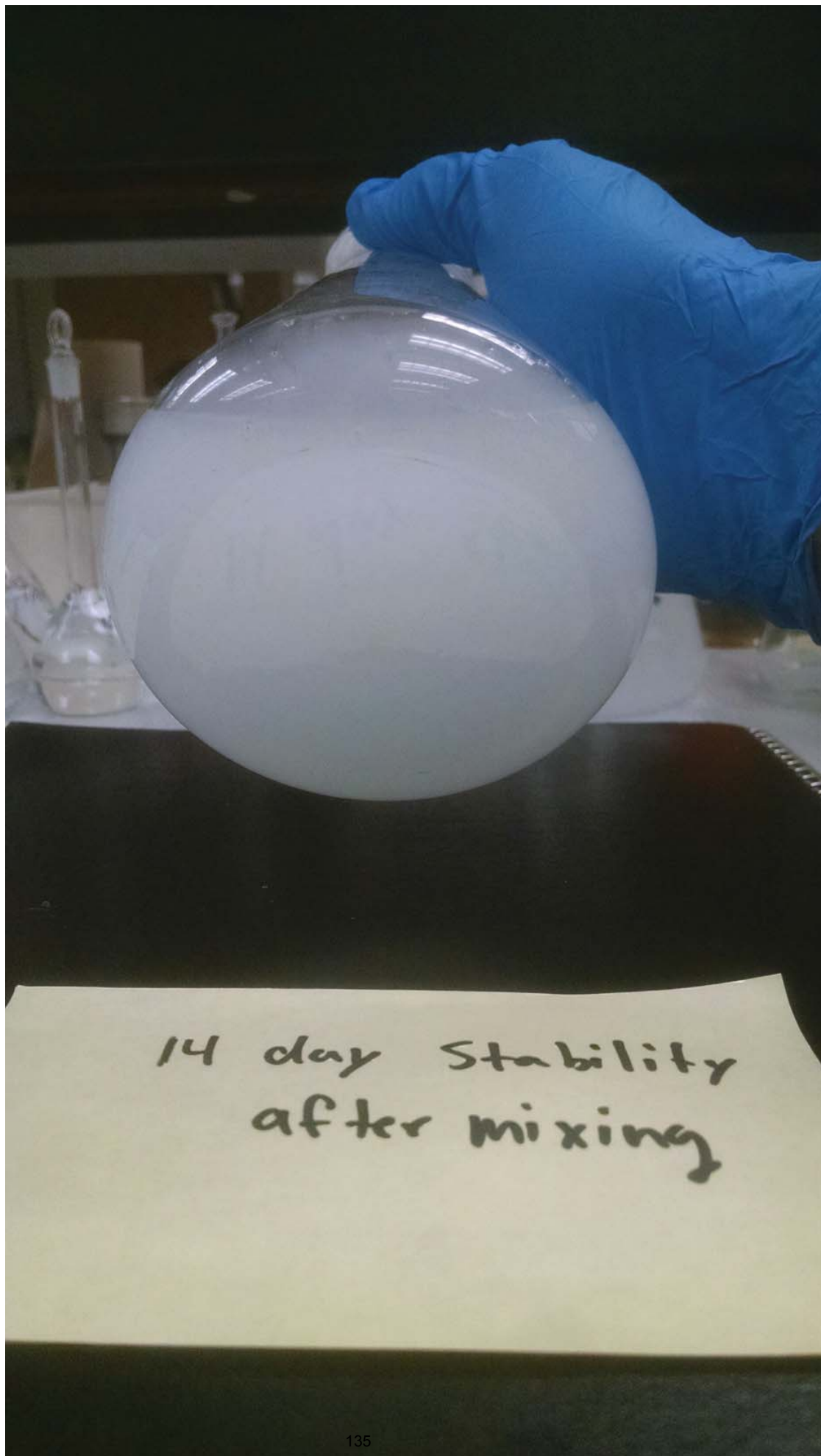
14 day Stability
after mixing

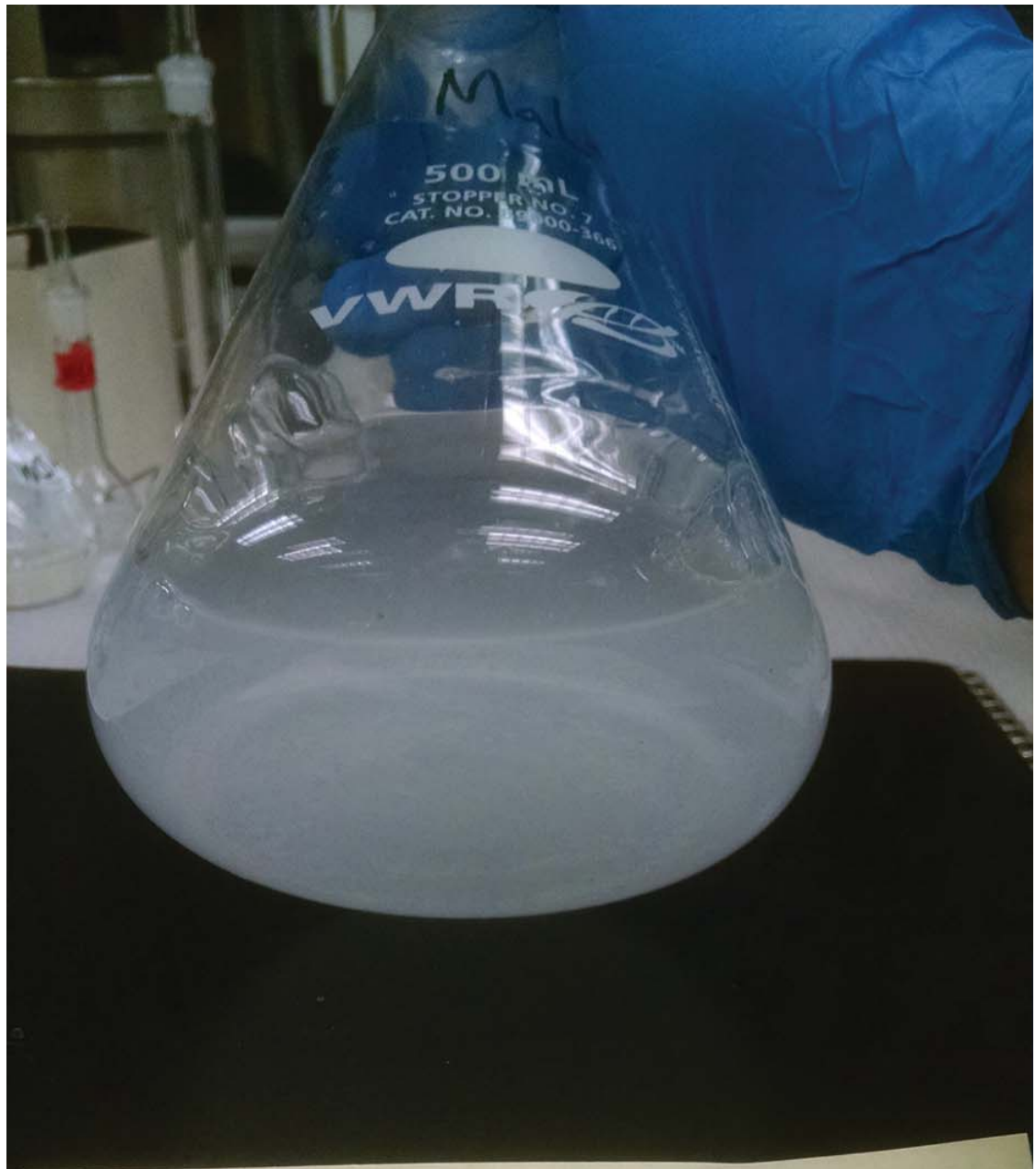


14 day Stability

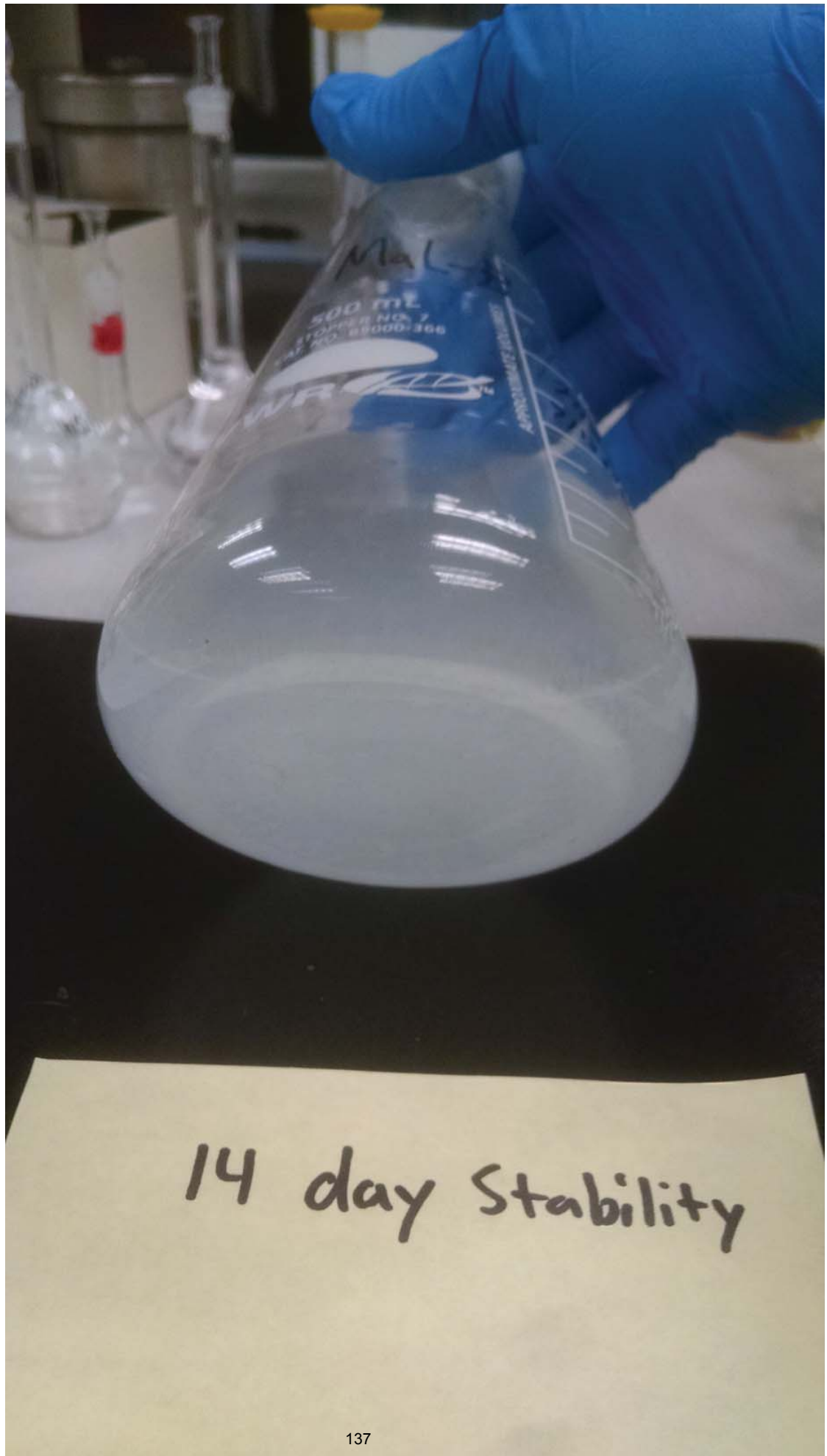


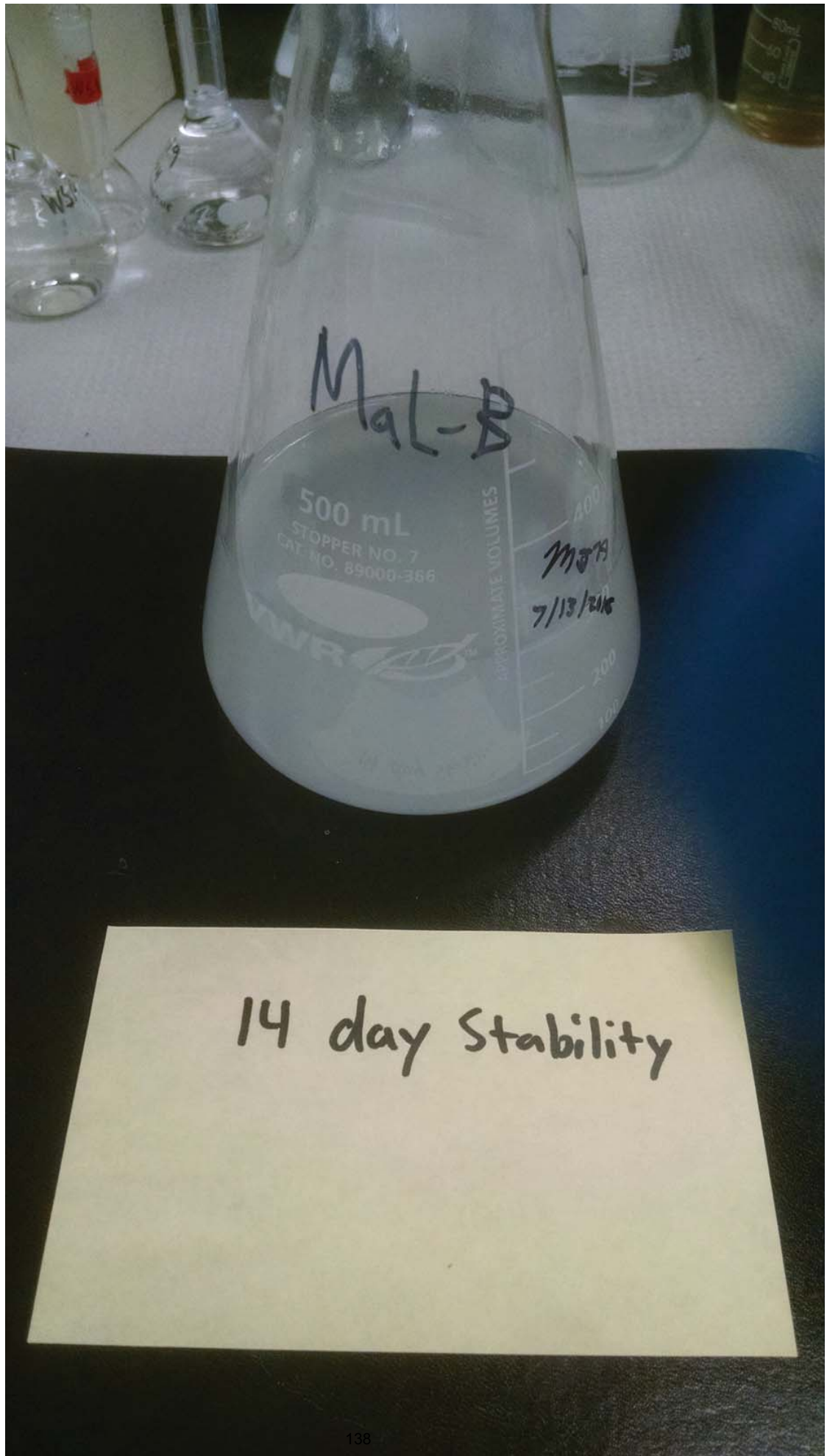
14 day Stability





14 day Stability
after mixing





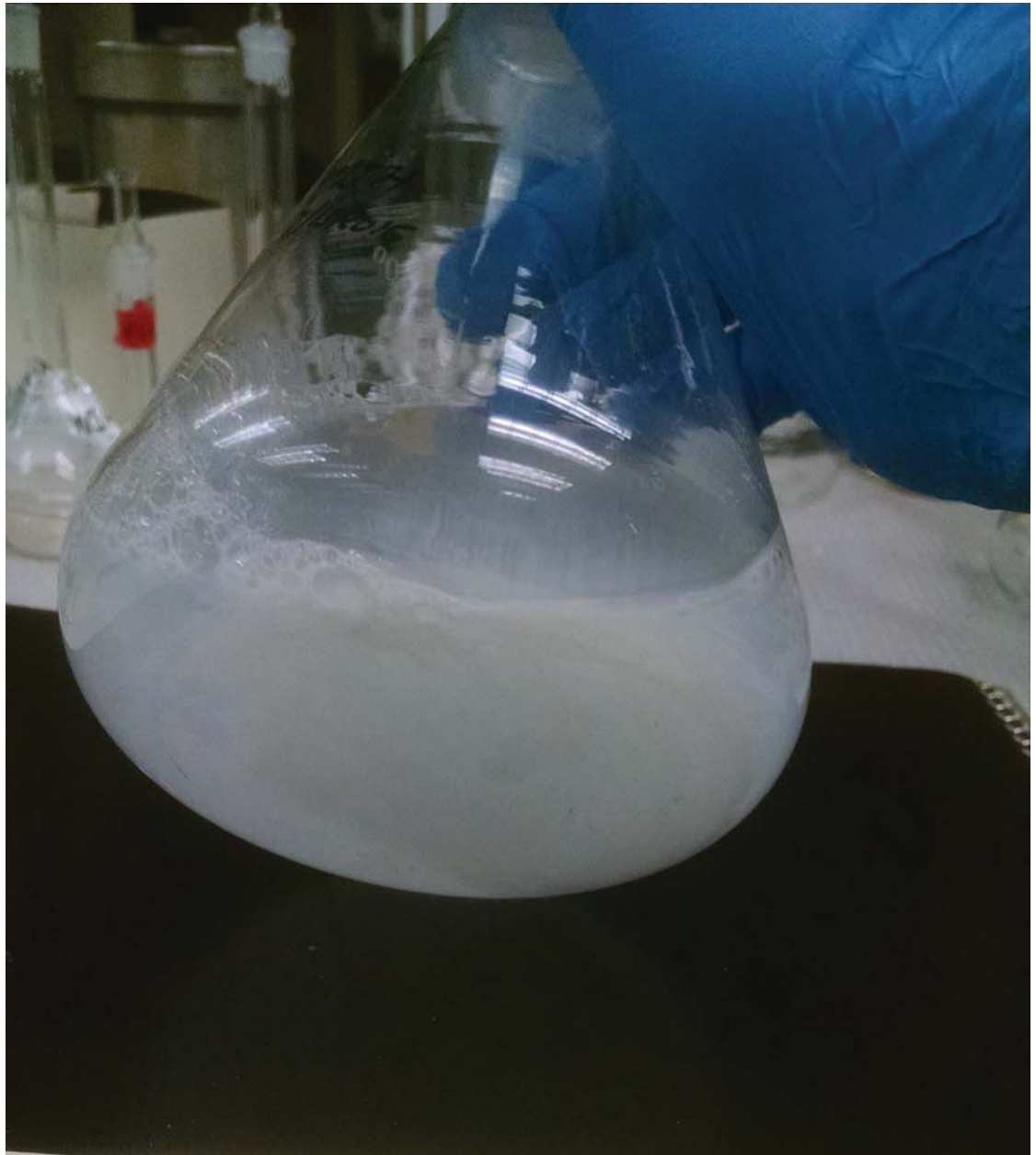
MAL-B

500 mL
STOPPER NO. 7
CAT. NO. 89000-366

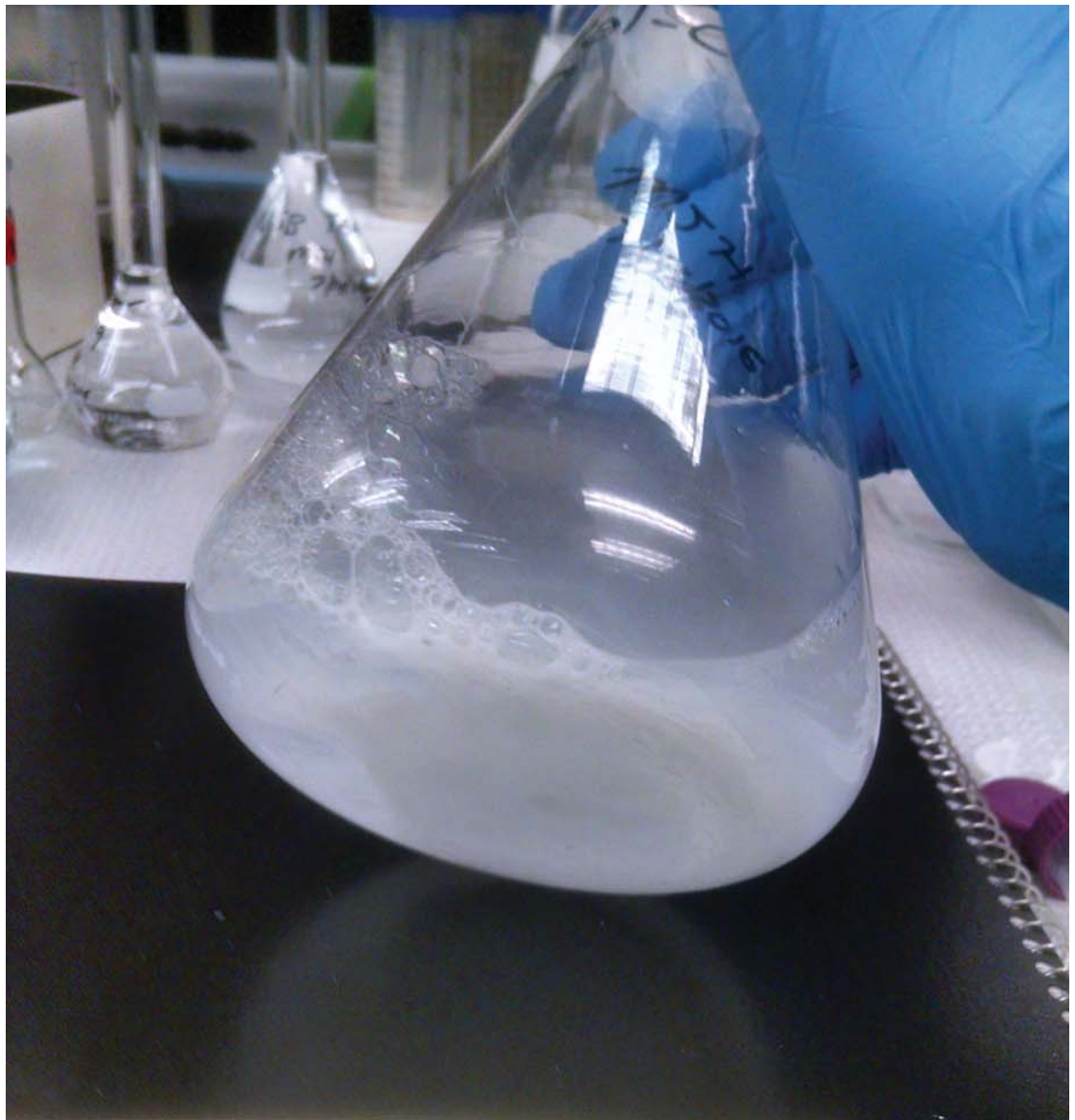
M3A

7/13/2016

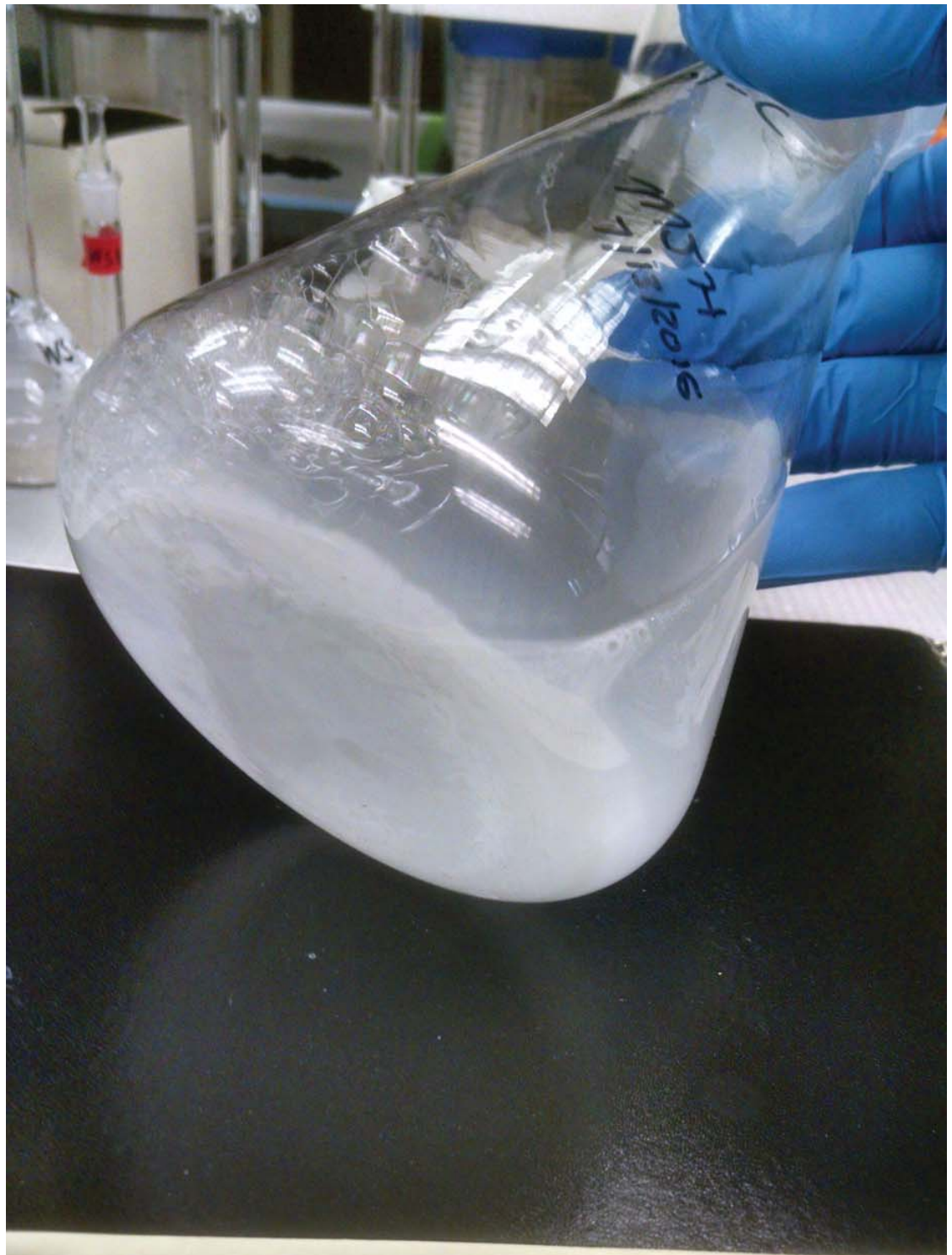
14 day Stability



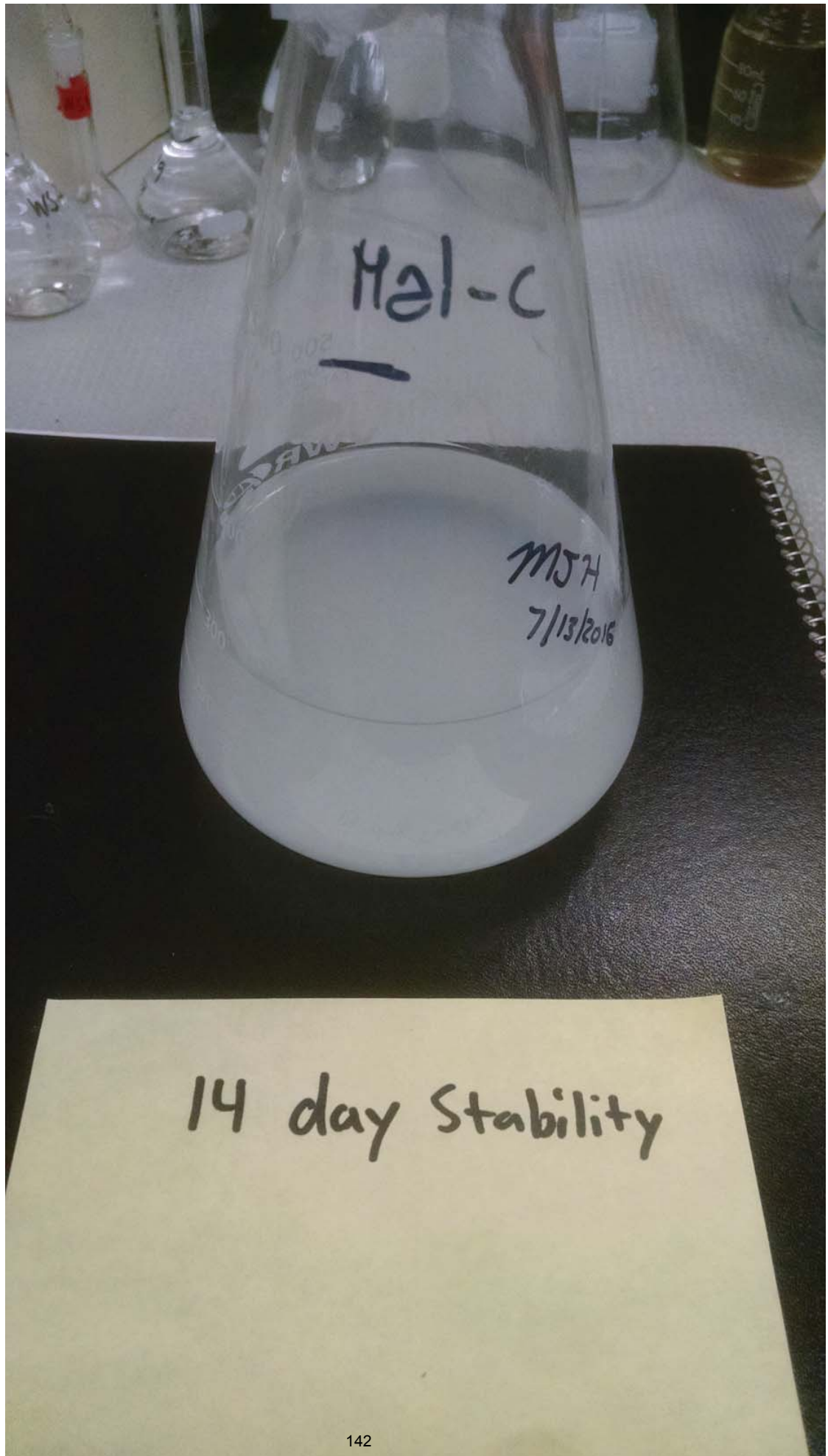
14 day Stability
after mixing

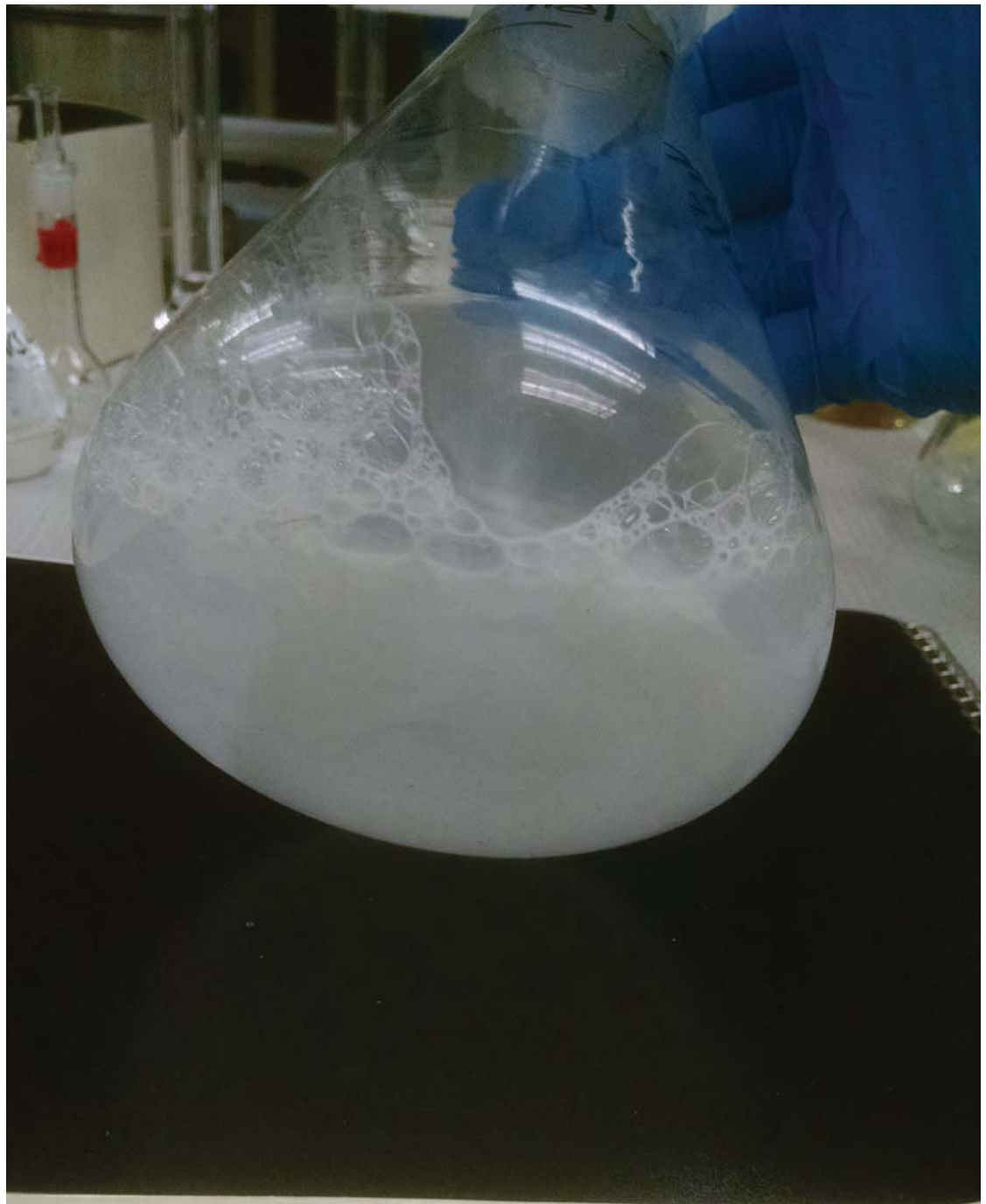


14 day Stability
after mixing



14 day Stability

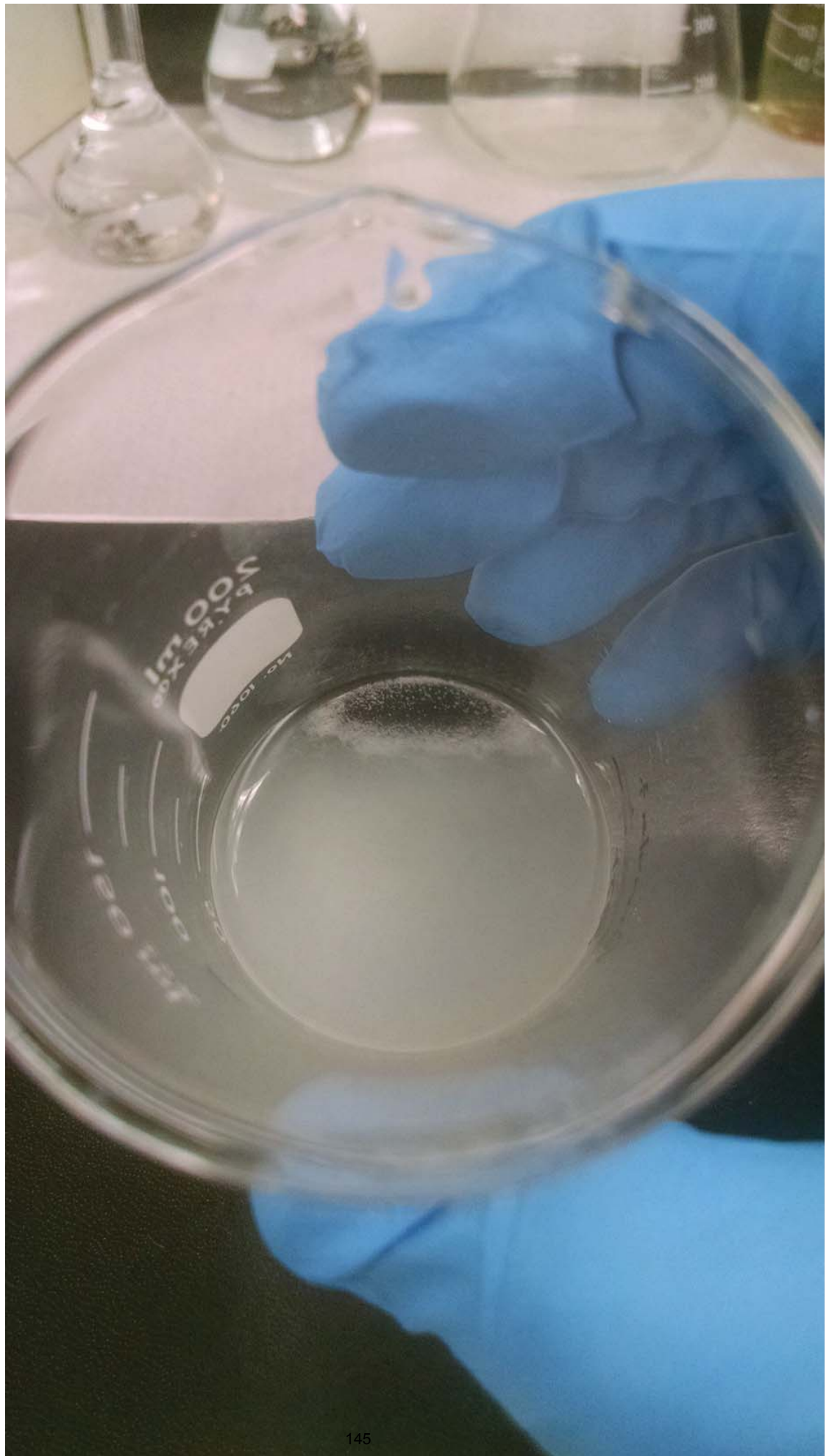


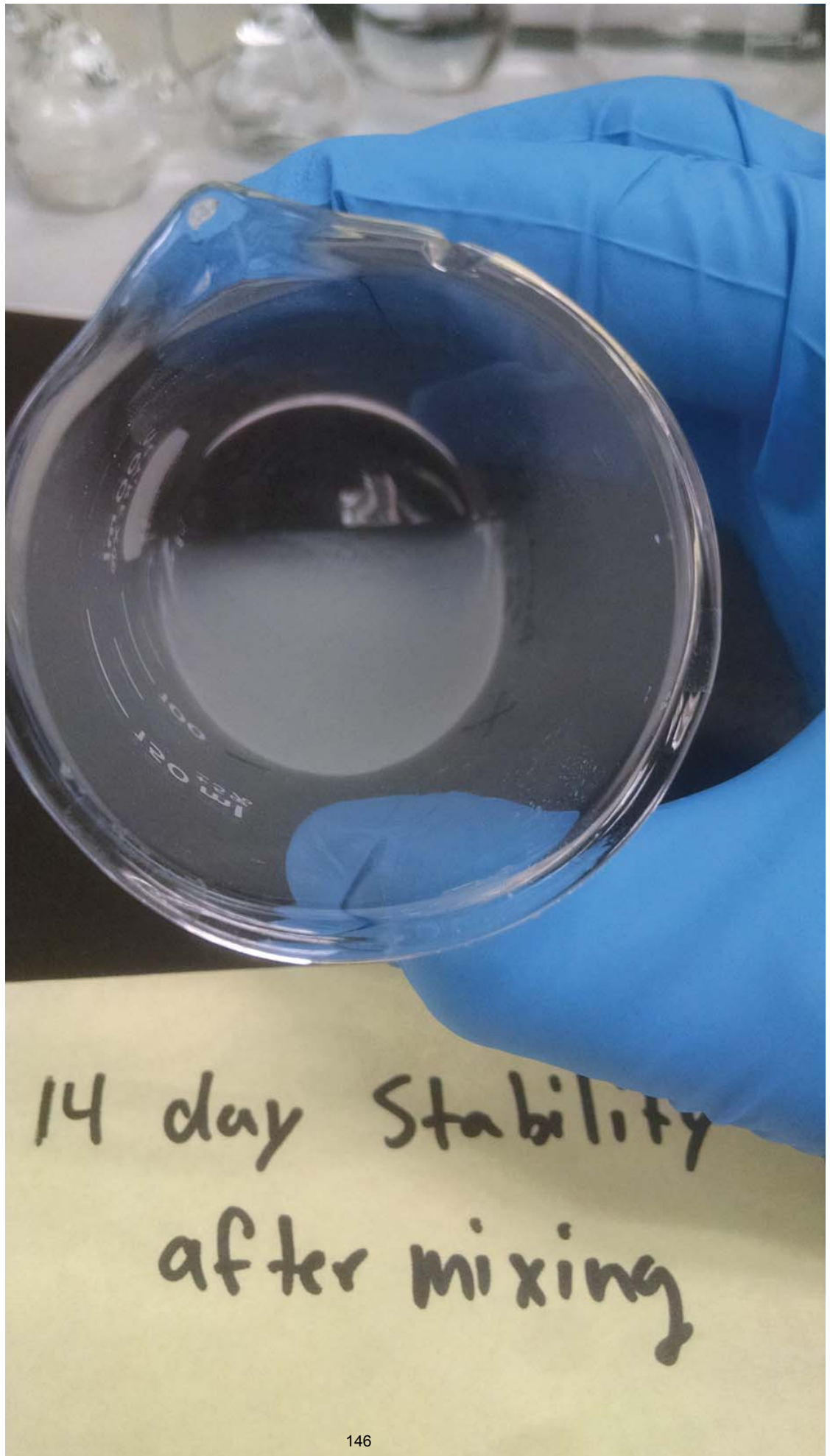


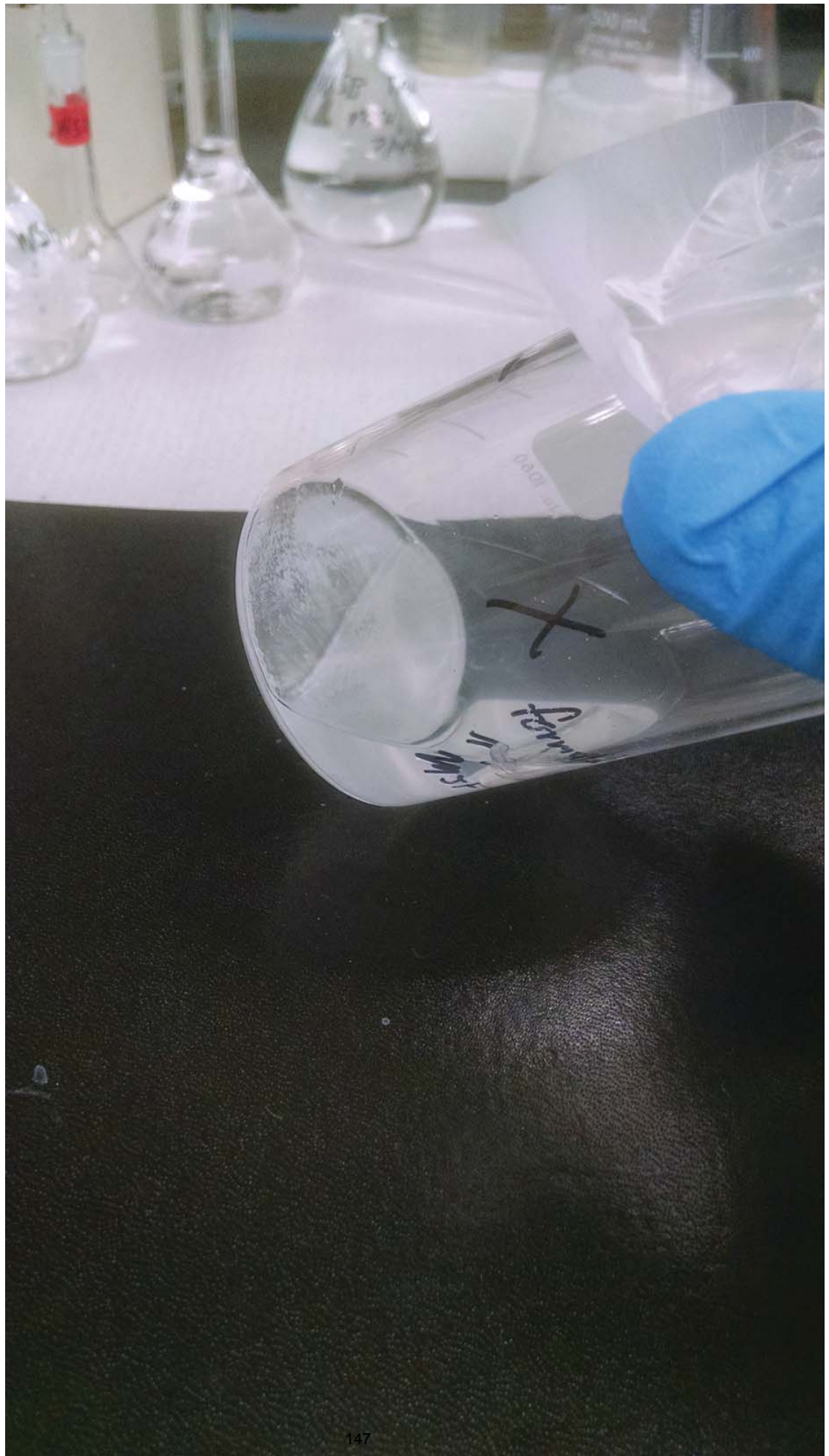
14 day Stability

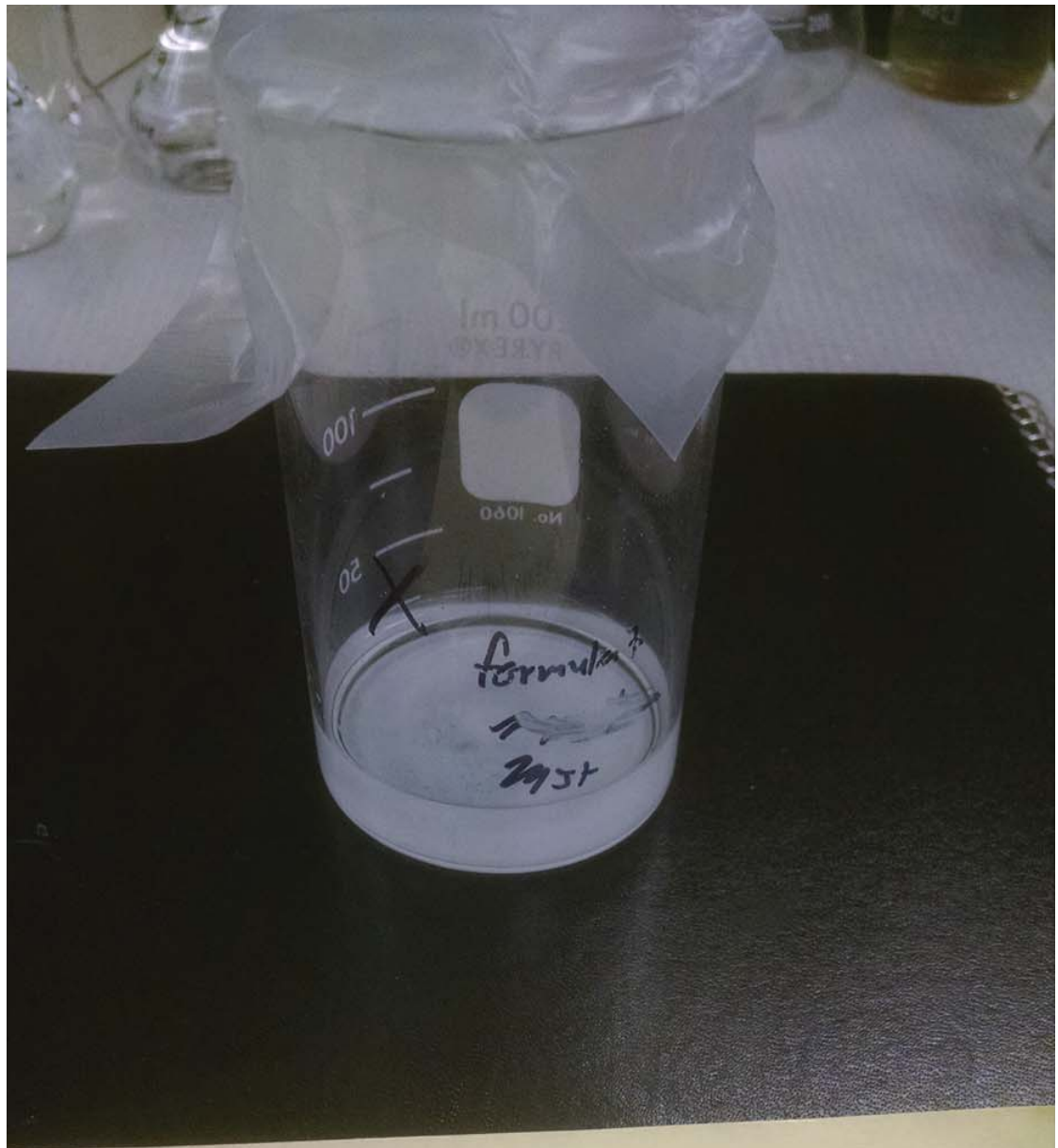


14 day Stability
after mixing









14 day Stability

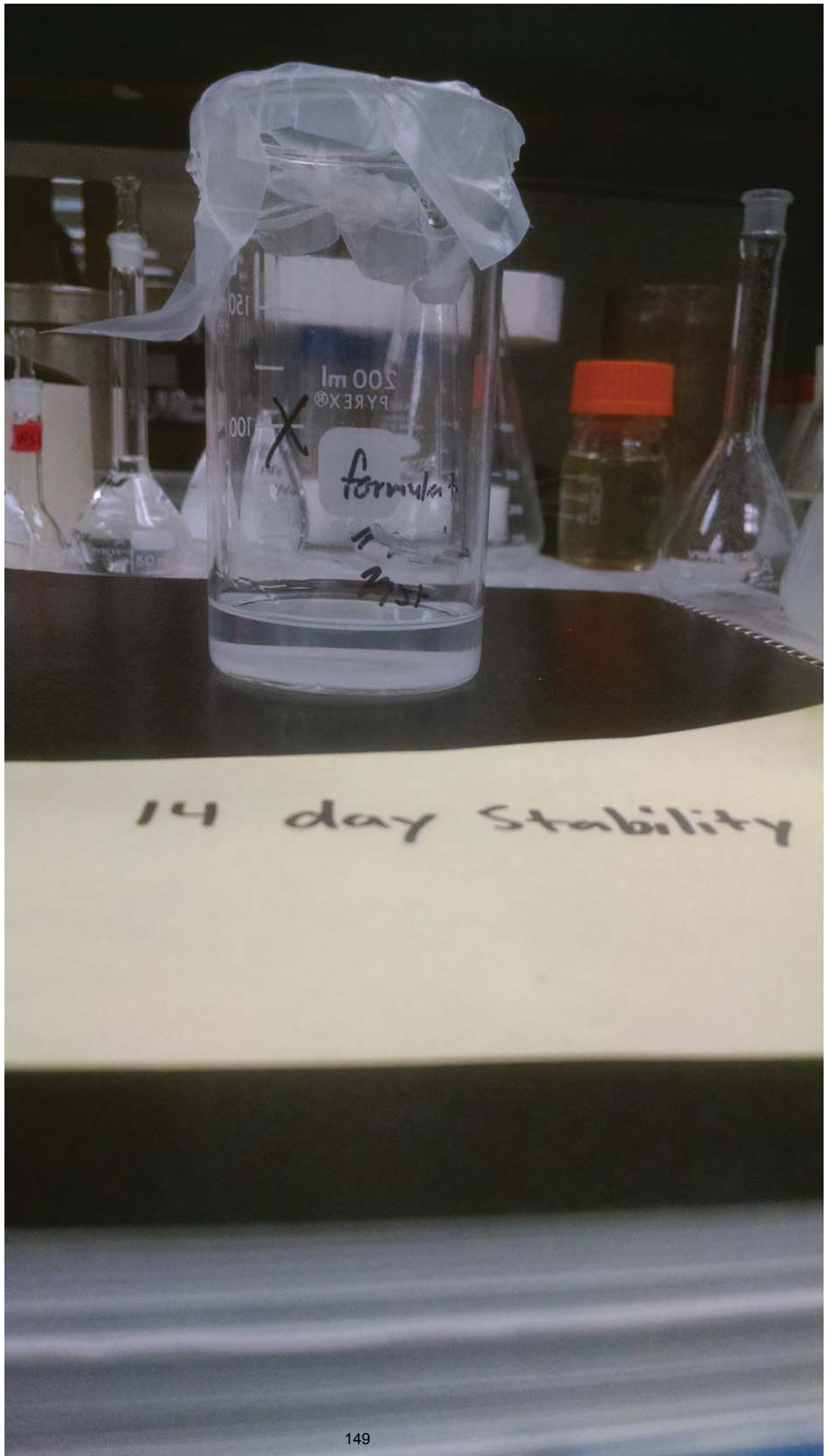


Exhibit T - 14 Day Stability Appearance Notebook pages

14 day Appearance

Mal-A : Clearly visible settling w/ cloudy faint aqueous phase. Majority of particulates were settled in the center of the flask.

Mal-B : Visible particle settling over the bottom of the flask and the aqueous phase is more cloudy than in a other observation.

Mal-C : Clearly visible settling over the bottom of the flask and there is a faint, cloudy aqueous phase.

Mal-D : Visible particle settling at the bottom of the flask with a cloudy white aqueous phase

HSD : Visible particle settling at the bottom of the flask with a cloudy white aqueous phase

X-form : Nearly transparent aqueous phase with nearly all particulates deposited over the bottom of the flask.

Witnessed & Understood by me,

MSH

Date

7/28/2016

Invented by:

Date

Recorded by:

Project No. _____

Book No. _____

LE _____

Page No. _____

14 day Redispersability Observations

Mal-A : Upon mixing particles began to disperse but a portion remained adhered to the center of the flask.

Mal-B : upon mixing noticeable energy was needed to disperse the particles and a small amount remained at the bottom of the flask

Mal-C : upon mixing some of the particulates began to disperse however a large portion remained stuck or caked on the bottom of the flask.

Mal-D : upon mixing there was obvious difficulty in dispersing the particles but nearly all of them eventually detached.

HSD : upon mixing there was obvious difficulty in dispersing the particles but a majority of them eventually were dispersed.

X-form : upon mixing particles were easily dispersed however large particles or agglomerates quickly settled to the bottom within a few seconds.

To Page No. _____

Inspected & Understood by me,

MTH

Date

7/28/2016

Invented by:

Recorded by:

Date

Appendix A

MATTHEW J. HERPIN, Ph.D

601 Hearn Street #202 • Austin, Texas 78703 • 512.947.8626
matt.herpin@utexas.edu

I. Personal

Born October 31st 1981 in Corpus Christi, Texas. United States Citizen.

II. Education

Ph.D., Pharmaceutics, December 2015
Advisor: Dr. Hugh D.C. Smyth
The University of Texas, Austin, TX

Bachelor of Science, Biochemistry, May 2005
The University of Texas, Austin, TX

III. Positions Held

January 2016 to Current
Postdoctoral Research Fellow- University of Texas at Austin, College of Pharmacy

May 2015 to January 2016
Graduate Research Assistant- University of Texas at Austin, College of Pharmacy

January 2012 to January 2014
PhRMA Foundation Pre-Doctoral Fellow- University of Texas at Austin, College of Pharmacy

January 2011 to May 2015
Teaching Assistant- University of Texas at Austin, College of Pharmacy
Courses:

- Physical and Chemical Principles of Drugs & Laboratory, Fall: 2011
- Pharmaceutical Compounding Laboratory, Spring: 2011, 2014, 2015

2008 to July 2010
Research Associate
Appian Labs, LLC, Austin, TX
Aeonclad Biomedical, LLC, Austin, TX
Enavail, LLC, Austin, TX

- Responsible for leading a product development group with the overall responsibility of developing solid dosage forms. The development group is comprised of formulation development and analytical team members. Reports directly to the Director of Research and Development and company President.

Curriculum Vitae
Matthew J. Herpin
Page 2

- Responsible for the development of solid dosage forms with a strong emphasis on products utilizing diffusion based hydrogel controlled release systems, plasma enhanced chemical vapor deposition/polymer based controlled release coatings and particle engineering technologies to drug absorption profiles and improve bioavailability.
- Provide strategic, scientific and managerial leadership to the formulation and analytical teams to solve complex drug development issues
- Develop experimental plans based on client needs and overall project goals
- Coordinate the production and analytical testing of pharmaceutical formulations
- Interpret experimental observations according to sound scientific principles
- Interact with clients and consultants to provide project updates verbally and in the form of technical reports
- Work closely with the Operations group to coordinate the transfer of technology from R&D to cGMP manufacturing
- Prepare and review batch records and standard operating procedures
- Provide and submit intellectual property ideas relating to novel pharmaceutical formulations, processing techniques or analytical methods.

2006 to October 2008

Analytical Chemist, Quality Control

Inhalation Chemist, Platform Development, and Quality Control

Pharmaform, LLC, Austin, TX

- Responsible for the USP testing of pharmaceutical dosage forms in Research and Development and in Phase I to III clinical trials. Reported to the Vice President of Quality Control
 - Interacted with clients to determine project goals and to provide project updates
 - Planned, designed, and performed experiments relating to the development of a standard method of analysis for solid dosage forms and dry powder inhalers.
 - Conducted testing on physical and chemical properties pertaining to product purity potency and performance. Including: High Performance Liquid Chromatography, USP Dissolution Testing,
 - Worked closely with method development chemists and manufacturing to solve problems associated with production.
 - Provide manufacturing analytical support.
 - Coordinated product analytical testing
 - Wrote and reviewed technical reports submitted to clients

August 2003 to 2006

Compounding Pharmacy Technician (CPhT)

Peoples Pharmacy, Austin, TX

- Responsible for compounding preparation of various pharmaceutical dosage forms including: capsules, gels, lotions, creams, suppositories, rapid-disintegrating tablets, medicated lollipops and others.
 - Assists pharmacist in the dispensing of medication to patients
 - Managing inventory and ordering supplies

IV. Relevant Training Completed

- Inhalation Aerosol Technology Workshop: University of Maryland, Baltimore.
- Waters HPLC Operation and Utilization Course
- cGMP Certification Training: Compliance LLC

V. Relevant Coursework Completed

Biopolymers: Drug and Gene Delivery
Biopharmaceutics and Pharmacokinetics
Physical and Chemical Principles of Drugs
Pharmaceutics
Pharmaceutical Compounding
Modern Advances in Pharmaceutics
Advanced Manufacturing Pharmacy
Product Development
Statistics for Translational Scientists

VI. Professional Memberships

American Association of Pharmaceutical Scientists	2011 – present
American Chemical Society	2011 – present
American Association of Advancing Science	2012 – present

VII. Current Research Interests

- Precision ophthalmic drug delivery via tunable aerosol dynamics
- Drug particle engineering by way of super-heated processing and high pressure homogenization
- Ophthalmic and pulmonary drug delivery device design and development
- Enhanced aerodynamics and performance of inhalation powders
- Preformulation, formulation, and characterization of novel delivery systems.

VIII. Formulation Development Proficiencies

- Inhalation Aerosol Based Systems- Including various nebulizers, pMDI and evaporation/condensation aerosols
- Topical Ophthalmic drug delivery systems/vehicles-
- Diffusion Based Controlled Release Tablets (direct compression)
- Various Particle Engineering Technologies: High Pressure Homogenization, Solvent Precipitation/Evaporation, Spray Drying, Super-Heated Aqueous Particle Engineering
- Solubilization/Complexation with Cyclodextrins
- Dry Powder Inhalation formulations
- Powder Encapsulation

- Preparation of Emulsions, Creams, Lotions, Gels, Suspensions
- Excipient Uses and Functionality

IX . Technical Proficiencies and Research Experience

Analytical Instrumentation and Methodology:

- UV-Vis/Fluorescence Spectrophotometers
- Fourier Transform Infrared Spectroscopy
- Laser Diffraction Particle Sizing (Sympatec-Helos)
- High Performance Liquid Chromatography-Method Development/Qualification
- Inverse Gas Chromatography
- Dynamic Light Scattering
- Atomic Absorption
- Differential Scanning Calorimetry
- Faraday Cage for Electrostatic Analysis
- Powder X-Ray Diffraction

Physical Testing

- United States Pharmacopoeia (USP) Dissolution Tests
- Angle of Repose
- Moisture Analyzer
- Next Generation Impactor (aerodynamic assessment of fine particles)
- Anderson Cascade Impactor/ Twin Stage Impactor
- Instron Compression and Elongation Analysis
- Tablet Hardness
- Tablet Friability

Biochemical Techniques

- Polymerase Chain Reaction(PCR)
- Western Blotting
- PAGE/Agarose Gel Electrophoresis
- Various enzyme activity assays

Microscopy

- Atomic Force Microscopy (AFM)
- High Performance Liquid Chromatography-Method Development/Qualification
- Two Photon Microscopy
- Scanning Electron Microscopy
- Raman Microscopy

X. Awards and Honors

- PhRMA Foundation Pre-Doctoral Fellowship (2012 - 2014)
- Teaching Excellence Award- Outstanding Teaching Assistant- 2014
- Student Entrepreneur Acceleration and Launch, Program Graduate- 2015
- Texas Venture Labs- Founding Company Participant

XI. Publications and Presentations

Herpin MJ, Raffa-Carvalho S, Smyth HDC, McConville JT, Variable Flow Pattern Effects on Fine Particle Generation from a Dry Powder Inhaler, Littlefield Excellence in Research Poster Presentation, 2010

Bosselmann S, Owens III DE, Kennedy RL, **Herpin MJ**, Williams III RO. Plasma deposited stability enhancement coating for amorphous ketoprofen. *European Journal of Pharmaceutics and Biopharmaceutics*. 2011;78(1):67-74.

Donovan MJ, Gibbons A, **Herpin MJ**, Marek S, McGill S, Smyth HDC. Novel Dry Powder Inhaler Particle Dispersion Systems-A Review. *Future Medicine*. 2011

Herpin M.J, Smyth HDC, A Novel Ocular Soft Mist Aerosol Device for Tunable Drug Delivery, American Association of Pharmaceutical Scientists Conference, Poster Presentation, Oct. 2012

Herpin M.J, Smyth HDC, Non-Aqueous Aerosol Deposition for Ocular Drug Delivery, American Association of Pharmaceutical Scientists Conference, Poster Presentation, Oct. 2013

Moraga, D., Bahamondez, T., **Herpin, M.**, Maloney, A. Yazdi, A., Du, P., Du, J., Smyth, H., Hydrofluoroalkane Propellant Driven Metered Dose Inhaler Formulations. In *Textbook of Aerosol Medicine*.

Herpin M.J, Smyth HDC, Aqueous Based Aerosol Vehicles for Enhanced Ocular Drug Delivery, American Association of Pharmaceutical Scientists Conference, Poster Presentation, Oct. 2014

Herpin M.J., Ebi, Dominik, Clemens, N., Smyth H.D.C. Characterization of Toroidal Vortices Generated by a Novel Ocular Drug Delivery Device. *International Journal of Pharmaceutics*. 2016 (In Preparation)

Herpin M.J., Xinfei, X. Smyth, H.D.C., Super Heated Aqueous Particle Engineering for Poorly Water Soluble Drugs. *International Journal of Pharmaceutics*. 2016 (In Preparation)

Herpin M.J., Smyth, H.D.C. Precision Ocular Drug Delivery Via Aerosol Ring Vortices. *Drug Delivery in Translational Medicine*. 2016. (In Preparation)

Bandara, H. M. H. N., **Herpin M.J**, Kolacny D., Harb A., Romanovicz D., Smyth H.D.C., . "Incorporation of farnesol significantly increases the efficacy of liposomal ciprofloxacin against *Pseudomonas aeruginosa* biofilms in vitro." *Molecular Pharmaceutics* (2016).

XI. Intellectual Property

1. Smyth, H.D.C., **Herpin M.J.**, Toroidal Pharmaceutical Formulations, U.S. Patent No. 61/501,671
2. Smyth, H.D.C., **Herpin M.J.**, Method for Fine Particle Manufacture, U.S. Patent No. 14/458,818
3. Cannon C., Parth, S., Smolen, J., Smyth H., Yazdi A., **Herpin M.J.**, Antimicrobial and Anti-Inflammatory Compositions. Provisional U.S. Patent Application. # 62/168,561

Appendix B

Final Protocol
7/7/16

Nasal Spray Manufacturing and Characterization Testing using E4MP HPMC and micronized Triamcinolone

I. Purpose

The purpose of this experiment is to re-create and evaluate the disclosure in EP 0780127 (“Cramer”) to determine whether it a nasal spray with properties that are suitable for nasal administration. Specifically, this experiment will recreate Example III for an intranasally administered composition comprising triamcinolone acetonide and azelastine HCL.

Example III from Cramer will be prepared following the mixing techniques described in Cramer Example I.

Samples will be evaluated for appearance, spray content uniformity, spray pattern, droplet size, particle size, viscosity, stability, and osmolality, for example.

II. Materials

INGREDIENT	Grade	SUPPLIER	NOTES
Polysorbate 80			
Benzalkonium chloride			
Glycerin			
Hydroxypropyl methylcellulose (HPMC)	E4MP (4000 mPas)	Dow	HPMC is available in multiple grades (viscosities) and chemical substitution. Since this is not defined within the patent example, E4MP will be tested
Sodium Chloride			
Ethylenediamine tetraacetic acid (EDTA)			
Distilled water -			
Triamcinolone acetonide			This drug will be in suspension and will therefore need to be procured in micronized form.
Azelastine HCl			

III. Preparation of Cramer Example III

Cramer Example III is:

Example III

The intranasally administered pharmaceutical composition of the present invention is prepared by combining the following components utilizing conventional mixing techniques similar to that described in Example I.

Component	Wgt %
triamcinolone acetonide	0.050
azelastine HCl	0.070
polysorbate 80	0.050
glycerin	2.000
hydroxypropyl methyl cellulose	1.000
sodium chloride	0.900
ethylenediamine tetraacetic acid	0.050
benzalkonium chloride	0.020
distilled water	q.s. to vol.

Administration of approximately 0.4 grams of the composition is used for topical nasal application to provide relief from allergy or allergy-like symptoms. Additionally, substantially similar results are also obtained using, in whole or in part, equivalent amounts of other glucocorticoid agents such as fluticasone, mometasone, budesonide, pharmaceutically acceptable salts thereof and mixtures thereof. Furthermore, the above described compositions may also contain a decongestant such as pseudoephedrine, phenylpropanolamine, phenylephrine, tetrahydrozoline, naphazoline, oxymetazoline, tramazoline, 5-(2-imidazolinyllamino)benzimidazoles, optically active racemates thereof, pharmaceutically acceptable salts thereof and mixtures thereof. Those skilled in the art will quickly realize other suitable ingredients, diluents and dosage forms (or readily ascertain such using routine experimentation) which may further be incorporated into the above compositions without departing from the scope and spirit of the present invention.

Preparation according to Example I

Example III will be prepared by combining the following components utilizing “conventional mixing techniques similar to that described in Example 1”:

In an appropriately sized vessel, the dextrose, polysorbate 80 and benzalkonium chloride are added one at a time to water with mixing, allowing each to dissolve or completely disperse before adding the next. To this is added, with mixing, a premixed slurry of the avicel and water. Upon forming a uniform solution, the beclomethasone, loratadine and phenylethyl alcohol are added. After all the ingredients are added, purified water is used to bring the batch to the appropriate weight.

Accordingly 500 mL of Example III will be made using the procedure outlined below:

1. In an appropriately sized vessel to manufacture 500 mL of product,
 - a. To 100 mL of distilled water
 - b. Add polysorbate 80
 - c. Mix step – Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
 - d. Add benzalkonium chloride
 - e. Mix - Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
 - f. Add glycerin

- g. Mix- Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
 - h. Add EDTA
 - i. Mix - Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
 - j. Add NaCl
 - k. Mix - Approximately 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed
2. In a separate vessel the hydroxyl methylcellulose is prepared”
 - a. As per the Handbook of Pharmaceutical Excipients (2nd Edition, 1994) 20% of the required water is used to hydrate the HPMC (100 mL distilled water)
 - b. The water is vigorously stirred at RPMs adequate to disperse the powder and heated to approximately 90 deg C
 - c. While stirring, the HPMC is added, and mixing continues until all particles are thoroughly wetted
 - d. Then to the dispersed HPMC, 200 mL of cold distilled water is added while mixing
 - e. The mixture is then cooled to between 20 to 25°C (68 to 77°F) or below as according to the Methocel™ product guide (“How to Prepare Aqueous Solutions of METHOCEL™”).
 3. This premixed HPMC mixture is then added to the ingredients of step 1 to form a uniform solution.
 - a. Note: Since Example III does not list the grade of HPMC used, this experiment will be replicated using grades AAA, BBB, and CCC. The manufacturer has stated that each grade is suitable for nasal administration.
 4. To this solution Triamcinolone and Azelastine are added and mixed at 100-300 rpm with an overhead stirrer with a impeller stirring system, until visually mixed.
 - a. Perform bulk product testing (top, middle, and bottom) to ensure stability over a period (2-3 or more hours) sufficient to demonstrate uniformity of the bulk following production.
 5. The samples are then mixed using a high-speed homogenizer.
 6. The formulations are then filled into nasal spray bottles (approximately 16.5 g per bottle) and spray pumps fitted to the bottles.
 7. Filled bottles will be stored at room temperature and optionally additional bottles not stored at room temperature will be stored at accelerated stability conditions (40 deg C and 75% RH).
 8. Bottles will be tested at time points indicated below using various performance assays.

IV. Product Performance Testing and Characterization

Typically several tests are performed for nasal products as part of standard characterization testing protocols to determine pharmaceutical acceptability. For the current testing the selected methods to characterize the product in terms of formulation appearance, spray characterization, uniformity of dosing, viscosity, osmolarity, and physical stability of the suspension.

TEST	EQUIPMENT	Protocol #	Notes
Appearance	a. Visual Inspection	SMA-007-00	Qualitative assessment of homogeneity and pharmaceutical acceptability . Looks at sedimentation, separation of suspended particles, agglomeration, redispersability of suspension upon shaking. This will be done on both formulation and the filled bottles.
Assay	a. HPLC Assay to assess the potency of Suspended Triamcinolone Acetonide	HPLC Method	10 mLs of sample will be drawn off the top, middle and bottom of formulated composition, and then again after 3 Hrs to determine blend uniformity/settling stability
Pump Delivery	a. Analytical Balance	SMA-009-00	Quantitative assessment of the variability of formulation dispensing from the nasal spray pump. A Valois Nasal Spray Pump from Aptar will be used. Pump: VP7
Visual Inspection of spray quality	a. Visual observation of emission of formulation during actuation of pump	Observation of spray/jet/ failure to emit	Qualitatively assesses acceptability of spray
Spray Content Uniformity	a. Dose collection tubes b. HPLC drug assay c. Actuation Station d. Analytical Balance	SMA-001-00	Quantitative assessment of the variability of emitted dose from the nasal spray pump. Will be quantified using Triamcinolone acetonide assay.
*The Following Tests Only to be Conducted As Needed to Supplement Previous Findings			

Droplet Size Analysis*	a. Sympatec Laser Diffraction	SMA-003-00	Assesses the spray quality.
Viscosity*	a. Dynamic	SMA-004-00	Assesses the viscosity of the product and will assist in interpretation of product performance tests.
Solid Particle Size*	a. Light Microscopy	SMA-002-00	Assesses the stability of the suspended particles and can inform on particle aggregation/agglomeration.
Osmolality*	a. MicroOsmette- Freezing Point Depression Osmometer	SMA-008-00	
Plume Geometry*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.
Spray Pattern*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.

Time points will be evaluated at 0, 7, and 14 days. The samples will be stored in ambient storage conditions. pH will be measured at all time points.

V. Report

A report detailing the preparation methods and results of the testing will be provided.

Final Protocol
7/7/16

Nasal Spray Manufacturing and Characterization Testing Following Malhotra Method using E3 Prem HPMC and micronized Triamcinolone

I. Purpose

The purpose of this experiment is to re-create and evaluate the disclosure in EP 0780127 (“Cramer”) to determine whether it a nasal spray with properties that are suitable for nasal administration. Specifically, this experiment will recreate Example III for an intranasally administered composition comprising triamcinolone acetonide and azelastine HCL.

Example III from Cramer will be prepared following the method set forth in Geena Malhotra’s declaration submitted to the U.S. Patent and Trademark Office on August 12, 2011.

Samples will be evaluated for appearance, spray content uniformity, spray pattern, droplet size, particle size, viscosity, stability, and osmolality, for example.

II. Materials

INGREDIENT	Grade	SUPPLIER	NOTES
Polysorbate 80			
Benzalkonium chloride			
Glycerin			
Hydroxypropyl methylcellulose (HPMC)	Low viscosity: E3 PREM LV (3 mPas)	Dow	HPMC is available in multiple grades (viscosities) and chemical substitution. Since this is not defined within the patent example, E3 Prem will be tested
Sodium Chloride			
Ethylenediamine tetraacetic acid (EDTA)			
Distilled water -			
Triamcinolone acetonide			This drug will be in suspension and will therefore need to be procured in micronized form.
Azelastine HCl			

III. Preparation of Cramer Example III

Cramer Example III is:

Example III

The intranasally administered pharmaceutical composition of the present invention is prepared by combining the following components utilizing conventional mixing techniques similar to that described in Example I.

Component	Wgt %
triamcinolone acetonide	0.050
azelastine HCl	0.070
polysorbate 80	0.050
glycerin	2.000
hydroxypropyl methyl cellulose	1.000
sodium chloride	0.900
ethylenediamine tetraacetic acid	0.050
benzalkonium chloride	0.020
distilled water	q.s. to vol.

Administration of approximately 0.4 grams of the composition is used for topical nasal application to provide relief from allergy or allergy-like symptoms. Additionally, substantially similar results are also obtained using, in whole or in part, equivalent amounts of other glucocorticoid agents such as fluticasone, mometasone, budesonide, pharmaceutically acceptable salts thereof and mixtures thereof. Furthermore, the above described compositions may also contain a decongestant such as pseudoephedrine, phenylpropanolamine, phenylephrine, tetrahydrozoline, naphazoline, oxymetazoline, tramazoline, 5-(2-imidazolinyllamino)benzimidazoles, optically active racemates thereof, pharmaceutically acceptable salts thereof and mixtures thereof. Those skilled in the art will quickly realize other suitable ingredients, diluents and dosage forms (or readily ascertain such using routine experimentation) which may further be incorporated into the above compositions without departing from the scope and spirit of the present invention.

Preparation by Method Detailed in Geena Malhotra's 2011 Declaration

The technique will use the ingredients and process described below:

Process of preparation:

- 1) Part quantity of purified water was taken in a vessel.
- 2) Sodium chloride and EDTA was added and dissolved under stirring followed by heating the bulk.
- 3) Hydroxy propyl methyl cellulose was added and dispersed under stirring.
- 4) Stirring was done and bulk was held at 2-8°C overnight.
- 5) Glycerin was added and mixed in above bulk under stirring.
- 6) Part quantity of purified water was taken and Azelastine HCl was dissolved in it to form drug slurry.
- 7) Drug slurry of step # 6 was added in main bulk of step # 5 under stirring.
- 8) Polysorbate 80 was added and dissolved in part quantity of purified water. Triamcinolone was added to this solution under stirring.
- 9) Drug slurry of step # 8 was added in above bulk of step # 7 under stirring.

10) Benzalkonium chloride was added in part quantity of purified water and this solution was added in above bulk under stirring.

11) Volume was made-up with purified water.

12) Stirring was done with a high-speed homogenizer and pH was checked.

IV. Product Performance Testing and Characterization

Typically several tests are performed for nasal products as part of standard characterization testing protocols to determine pharmaceutical acceptability. For the current testing the selected methods to characterize the product in terms of formulation appearance, spray characterization, uniformity of dosing, viscosity, osmolarity, and physical stability of the suspension.

TEST	EQUIPMENT	Protocol #	Notes
Appearance	a. Visual Inspection	SMA-007-00	Qualitative assessment of homogeneity and pharmaceutical acceptability . Looks at sedimentation, separation of suspended particles, agglomeration, redispersability of suspension upon shaking. This will be done on both formulation and the filled bottles.
Assay	a. HPLC Assay to assess the potency of Suspended Triamcinolone Acetonide	HPLC Method	10 mLs of sample will be drawn off the top, middle and bottom of formulated composition, and then again after 3 Hrs to determine blend uniformity/settling stability
Pump Delivery	a. Analytical Balance	SMA-009-00	Quantitative assessment of the variability of formulation dispensing from the nasal spray pump. A Valois Nasal Spray Pump from Aptar will be used. Pump: VP7
Visual	a. Visual observation of emission of formulation	Observation	Qualitatively assesses

Inspection of spray quality	during actuation of pump	of spray/jet/ failure to emit	acceptability of spray
Spray Content Uniformity	a. Dose collection tubes b. HPLC drug assay c. Actuation Station d. Analytical Balance	SMA-001-00	Quantitative assessment of the variability of emitted dose from the nasal spray pump. Will be quantified using Triamcinolone acetonide assay.
*The Following Tests Only to be Conducted As Needed to Supplement Previous Findings			
Droplet Size Analysis*	a. Sympatec Laser Diffraction	SMA-003-00	Assesses the spray quality.
Viscosity*	a. Dynamic	SMA-004-00	Assesses the viscosity of the product and will assist in interpretation of product performance tests.
Solid Particle Size*	a. Light Microscopy	SMA-002-00	Assesses the stability of the suspended particles and can inform on particle aggregation/agglomeration.
Osmolality*	a. MicroOsmette- Freezing Point Depression Osmometer	SMA-008-00	
Plume Geometry*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.
Spray Pattern*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.

Time points will be evaluated at 0, 7, and 14 days. The samples will be stored in ambient storage conditions. pH will be measured at all time points.

V. Report

A report detailing the preparation methods and results of the testing will be provided.

Final Protocol
7/7/16

Nasal Spray Manufacturing and Characterization Testing Following Malhotra Method using E3 Prem HMPC and Unmicronized Triamcinolone

I. Purpose

The purpose of this experiment is to re-create and evaluate the disclosure in EP 0780127 (“Cramer”) to determine whether it a nasal spray with properties that are suitable for nasal administration. Specifically, this experiment will recreate Example III for an intranasally administered composition comprising triamcinolone acetonide and azelastine HCL.

Example III from Cramer will be prepared following the method set forth in Geena Malhotra’s declaration submitted to the U.S. Patent and Trademark Office on August 12, 2011.

Samples will be evaluated for appearance, spray content uniformity, spray pattern, droplet size, particle size, viscosity, stability, and osmolality, for example.

II. Materials

INGREDIENT	Grade	SUPPLIER	NOTES
Polysorbate 80			
Benzalkonium chloride			
Glycerin			
Hydroxypropyl methylcellulose (HPMC)	Low viscosity: E3 PREM LV (3 mPas)	Dow	HPMC is available in multiple grades (viscosities) and chemical substitution. Since this is not defined within the patent example, E3 Prem will be tested
Sodium Chloride			
Ethylenediamine tetraacetic acid (EDTA)			
Distilled water -			
Triamcinolone acetonide			
Azelastine HCl			

III. Preparation of Cramer Example III

Cramer Example III is:

Example III

The intranasally administered pharmaceutical composition of the present invention is prepared by combining the following components utilizing conventional mixing techniques similar to that described in Example I.

Component	Wgt %
triamcinolone acetonide	0.050
azelastine HCl	0.070
polysorbate 80	0.050
glycerin	2.000
hydroxypropyl methyl cellulose	1.000
sodium chloride	0.900
ethylenediamine tetraacetic acid	0.050
benzalkonium chloride	0.020
distilled water	q.s. to vol.

Administration of approximately 0.4 grams of the composition is used for topical nasal application to provide relief from allergy or allergy-like symptoms. Additionally, substantially similar results are also obtained using, in whole or in part, equivalent amounts of other glucocorticoid agents such as fluticasone, mometasone, budesonide, pharmaceutically acceptable salts thereof and mixtures thereof. Furthermore, the above described compositions may also contain a decongestant such as pseudoephedrine, phenylpropanolamine, phenylephrine, tetrahydrozoline, naphazoline, oxymetazoline, tramazoline, 5-(2-imidazolinyllamino)benzimidazoles, optically active racemates thereof, pharmaceutically acceptable salts thereof and mixtures thereof. Those skilled in the art will quickly realize other suitable ingredients, diluents and dosage forms (or readily ascertain such using routine experimentation) which may further be incorporated into the above compositions without departing from the scope and spirit of the present invention.

A. Preparation by Method Detailed in Geena Malhotra's 2011 Declaration

The technique will use the ingredients and process described below:

Process of preparation:

- 1) Part quantity of purified water was taken in a vessel.
- 2) Sodium chloride and EDTA was added and dissolved under stirring followed by heating the bulk.
- 3) Hydroxy propyl methyl cellulose was added and dispersed under stirring.
- 4) Stirring was done and bulk was held at 2-8°C overnight.
- 5) Glycerin was added and mixed in above bulk under stirring.
- 6) Part quantity of purified water was taken and Azelastine HCl was dissolved in it to form drug slurry.
- 7) Drug slurry of step # 6 was added in main bulk of step # 5 under stirring.
- 8) Polysorbate 80 was added and dissolved in part quantity of purified water. Triamcinolone was added to this solution under stirring.
- 9) Drug slurry of step # 8 was added in above bulk of step # 7 under stirring.

10) Benzalkonium chloride was added in part quantity of purified water and this solution was added in above bulk under stirring.

11) Volume was made-up with purified water.

12) Stirring was done with a high-speed homogenizer and pH was checked.

IV. Product Performance Testing and Characterization

Typically several tests are performed for nasal products as part of standard characterization testing protocols to determine pharmaceutical acceptability. For the current testing the selected methods to characterize the product in terms of formulation appearance, spray characterization, uniformity of dosing, viscosity, osmolarity, and physical stability of the suspension.

TEST	EQUIPMENT	Protocol #	Notes
Appearance	a. Visual Inspection	SMA-007-00	Qualitative assessment of homogeneity and pharmaceutical acceptability . Looks at sedimentation, separation of suspended particles, agglomeration, redispersability of suspension upon shaking. This will be done on both formulation and the filled bottles.
Assay	a. HPLC Assay to assess the potency of Suspended Triamcinolone Acetonide	HPLC Method	10 mLs of sample will be drawn off the top, middle and bottom of formulated composition, and then again after 3 Hrs to determine blend uniformity/settling stability
Pump Delivery	a. Analytical Balance	SMA-009-00	Quantitative assessment of the variability of formulation dispensing from the nasal spray pump. A Valois Nasal Spray Pump from Aptar will be used. Pump: VP7
Visual	a. Visual observation of emission of formulation	Observation	Qualitatively assesses

Inspection of spray quality	during actuation of pump	of spray/jet/ failure to emit	acceptability of spray
Spray Content Uniformity	a. Dose collection tubes b. HPLC drug assay c. Actuation Station d. Analytical Balance	SMA-001-00	Quantitative assessment of the variability of emitted dose from the nasal spray pump. Will be quantified using Triamcinolone acetonide assay.
*The Following Tests Only to be Conducted As Needed to Supplement Previous Findings			
Droplet Size Analysis*	a. Sympatec Laser Diffraction	SMA-003-00	Assesses the spray quality.
Viscosity*	a. Dynamic	SMA-004-00	Assesses the viscosity of the product and will assist in interpretation of product performance tests.
Solid Particle Size*	a. Light Microscopy	SMA-002-00	Assesses the stability of the suspended particles and can inform on particle aggregation/agglomeration.
Osmolality*	a. MicroOsmette- Freezing Point Depression Osmometer	SMA-008-00	
Plume Geometry*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.
Spray Pattern*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.

Time points will be evaluated at 0, 7, and 14 days. The samples will be stored in ambient storage conditions. pH will be measured at all time points.

V. Report

A report detailing the preparation methods and results of the testing will be provided.

Nasal Spray Manufacturing and Characterization Testing Following Malhotra's Method using E4MP HMPC and micronized Triamcinolone

I. Purpose

The purpose of this experiment is to re-create and evaluate the disclosure in EP 0780127 ("Cramer") to determine whether it a nasal spray with properties that are suitable for nasal administration. Specifically, this experiment will recreate Example III for an intranasally administered composition comprising triamcinolone acetonide and azelastine HCL.

Example III from Cramer will be prepared following the method set forth in Geena Malhotra's declaration submitted to the U.S. Patent and Trademark Office on August 12, 2011.

Samples will be evaluated for appearance, spray content uniformity, spray pattern, droplet size, particle size, viscosity, stability, and osmolality, for example.

II. Materials

INGREDIENT	Grade	SUPPLIER	NOTES
Polysorbate 80			
Benzalkonium chloride			
Glycerin			
Hydroxypropyl methylcellulose (HPMC)	E4MP (4000 mPas)	Dow	HPMC is available in multiple grades (viscosities) and chemical substitution. Since this is not defined within the patent example, E4MP will be tested.
Sodium Chloride			
Ethylenediamine tetraacetic acid (EDTA)			
Distilled water -			
Triamcinolone acetonide			This drug will be in suspension and will therefore need to be procured in micronized form.
Azelastine HCl			

III. Preparation of Cramer Example III

Cramer Example III is:

Example III

The intranasally administered pharmaceutical composition of the present invention is prepared by combining the following components utilizing conventional mixing techniques similar to that described in Example I.

Component	Wgt %
triamcinolone acetonide	0.050
azelastine HCl	0.070
polysorbate 80	0.050
glycerin	2.000
hydroxypropyl methyl cellulose	1.000
sodium chloride	0.900
ethylenediamine tetraacetic acid	0.050
benzalkonium chloride	0.020
distilled water	q.s. to vol.

Administration of approximately 0.4 grams of the composition is used for topical nasal application to provide relief from allergy or allergy-like symptoms. Additionally, substantially similar results are also obtained using, in whole or in part, equivalent amounts of other glucocorticoid agents such as fluticasone, mometasone, budesonide, pharmaceutically acceptable salts thereof and mixtures thereof. Furthermore, the above described compositions may also contain a decongestant such as pseudoephedrine, phenylpropanolamine, phenylephrine, tetrahydrozoline, naphazoline, oxymetazoline, tramazoline, 5-(2-imidazolinyllamino)benzimidazoles, optically active racemates thereof, pharmaceutically acceptable salts thereof and mixtures thereof. Those skilled in the art will quickly realize other suitable ingredients, diluents and dosage forms (or readily ascertain such using routine experimentation) which may further be incorporated into the above compositions without departing from the scope and spirit of the present invention.

Preparation by Method Detailed in Geena Malhotra's 2011 Declaration

The technique will use the ingredients and process described below:

Process of preparation:

- 1) Part quantity of purified water was taken in a vessel.
- 2) Sodium chloride and EDTA was added and dissolved under stirring followed by heating the bulk.
- 3) Hydroxy propyl methyl cellulose was added and dispersed under stirring.
- 4) Stirring was done and bulk was held at 2-8°C overnight.
- 5) Glycerin was added and mixed in above bulk under stirring.
- 6) Part quantity of purified water was taken and Azelastine HCl was dissolved in it to form drug slurry.
- 7) Drug slurry of step # 6 was added in main bulk of step # 5 under stirring.
- 8) Polysorbate 80 was added and dissolved in part quantity of purified water. Triamcinolone was added to this solution under stirring.
- 9) Drug slurry of step # 8 was added in above bulk of step # 7 under stirring.

10) Benzalkonium chloride was added in part quantity of purified water and this solution was added in above bulk under stirring.

11) Volume was made-up with purified water.

12) Stirring was done with a high-speed homogenizer and pH was checked.

IV. Product Performance Testing and Characterization

Typically several tests are performed for nasal products as part of standard characterization testing protocols to determine pharmaceutical acceptability. For the current testing the selected methods to characterize the product in terms of formulation appearance, spray characterization, uniformity of dosing, viscosity, osmolarity, and physical stability of the suspension.

TEST	EQUIPMENT	Protocol #	Notes
Appearance	a. Visual Inspection	SMA-007-00	Qualitative assessment of homogeneity and pharmaceutical acceptability . Looks at sedimentation, separation of suspended particles, agglomeration, redispersability of suspension upon shaking. This will be done on both formulation and the filled bottles.
Assay	a. HPLC Assay to assess the potency of Suspended Triamcinolone Acetonide	HPLC Method	10 mLs of sample will be drawn off the top, middle and bottom of formulated composition, and then again after 3 Hrs to determine blend uniformity/settling stability
Pump Delivery	a. Analytical Balance	SMA-009-00	Quantitative assessment of the variability of formulation dispensing from the nasal spray pump. A Valois Nasal Spray Pump from Aptar will be used. Pump: VP7
Visual	a. Visual observation of emission of formulation	Observation	Qualitatively assesses

Inspection of spray quality	during actuation of pump	of spray/jet/ failure to emit	acceptability of spray
Spray Content Uniformity	a. Dose collection tubes b. HPLC drug assay c. Actuation Station d. Analytical Balance	SMA-001-00	Quantitative assessment of the variability of emitted dose from the nasal spray pump. Will be quantified using Triamcinolone acetonide assay.
*The Following Tests Only to be Conducted As Needed to Supplement Previous Findings			
Droplet Size Analysis*	a. Sympatec Laser Diffraction	SMA-003-00	Assesses the spray quality.
Viscosity*	a. Dynamic	SMA-004-00	Assesses the viscosity of the product and will assist in interpretation of product performance tests.
Solid Particle Size*	a. Light Microscopy	SMA-002-00	Assesses the stability of the suspended particles and can inform on particle aggregation/agglomeration.
Osmolality*	a. MicroOsmette- Freezing Point Depression Osmometer	SMA-008-00	
Plume Geometry*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.
Spray Pattern*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.

Time points will be evaluated at 0, 7, and 14 days. The samples will be stored in ambient storage conditions. pH will be measured at all time points.

V. Report

A report detailing the preparation methods and results of the testing will be provided.

Nasal Spray Manufacturing and Characterization Testing Following Malhotra's Method using E4MP HPMC and Unmicronized Triamcinolone

I. Purpose

The purpose of this experiment is to re-create and evaluate the disclosure in EP 0780127 ("Cramer") to determine whether it a nasal spray with properties that are suitable for nasal administration. Specifically, this experiment will recreate Example III for an intranasally administered composition comprising triamcinolone acetonide and azelastine HCL.

Example III from Cramer will be prepared following the method set forth in Geena Malhotra's declaration submitted to the U.S. Patent and Trademark Office on August 12, 2011.

Samples will be evaluated for appearance, spray content uniformity, spray pattern, droplet size, particle size, viscosity, stability, and osmolality, for example.

II. Materials

INGREDIENT	Grade	SUPPLIER	NOTES
Polysorbate 80			
Benzalkonium chloride			
Glycerin			
Hydroxypropyl methylcellulose (HPMC)	E4MP (4000 mPas)	Dow	HPMC is available in multiple grades (viscosities) and chemical substitution. Since this is not defined within the patent example, E4MP will be tested.
Sodium Chloride			
Ethylenediamine tetraacetic acid (EDTA)			
Distilled water -			
Triamcinolone acetonide			
Azelastine HCl			

III. Preparation of Cramer Example III

Cramer Example III is:

Example III

The intranasally administered pharmaceutical composition of the present invention is prepared by combining the following components utilizing conventional mixing techniques similar to that described in Example I.

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azelastine HCl	0.070
polysorbate 80	0.050
glycerin	2.000
hydroxypropyl methyl cellulose	1.000
sodium chloride	0.900
ethylenediamine tetraacetic acid	0.050
benzalkonium chloride	0.020
distilled water	q.s. to vol.

Administration of approximately 0.4 grams of the composition is used for topical nasal application to provide relief from allergy or allergy-like symptoms. Additionally, substantially similar results are also obtained using, in whole or in part, equivalent amounts of other glucocorticoid agents such as fluticasone, mometasone, budesonide, pharmaceutically acceptable salts thereof and mixtures thereof. Furthermore, the above described compositions may also contain a decongestant such as pseudoephedrine, phenylpropanolamine, phenylephrine, tetrahydrozoline, naphazoline, oxymetazoline, tramazoline, 5-(2-imidazolinyllamino)benzimidazoles, optically active racemates thereof, pharmaceutically acceptable salts thereof and mixtures thereof. Those skilled in the art will quickly realize other suitable ingredients, diluents and dosage forms (or readily ascertain such using routine experimentation) which may further be incorporated into the above compositions without departing from the scope and spirit of the present invention.

Process of preparation:

- 1) Part quantity of purified water was taken in a vessel.
- 2) Sodium chloride and EDTA was added and dissolved under stirring followed by heating the bulk.
- 3) Hydroxy propyl methyl cellulose was added and dispersed under stirring.
- 4) Stirring was done and bulk was held at 2-8°C overnight.
- 5) Glycerin was added and mixed in above bulk under stirring.
- 6) Part quantity of purified water was taken and Azelastine HCl was dissolved in it to form drug slurry.
- 7) Drug slurry of step # 6 was added in main bulk of step # 5 under stirring.
- 8) Polysorbate 80 was added and dissolved in part quantity of purified water. Triamcinolone was added to this solution under stirring.
- 9) Drug slurry of step # 8 was added in above bulk of step # 7 under stirring.
- 10) Benzalkonium chloride was added in part quantity of purified water and this solution was added in above bulk under stirring.
- 11) Volume was made-up with purified water.
- 12) Stirring was done with a high-speed homogenizer and pH was checked.

IV. Product Performance Testing and Characterization

Typically several tests are performed for nasal products as part of standard characterization testing protocols to determine pharmaceutical acceptability. For the current testing the selected methods to characterize the product in terms of formulation appearance, spray characterization, uniformity of dosing, viscosity, osmolarity, and physical stability of the suspension.

TEST	EQUIPMENT	Protocol #	Notes
Appearance	a. Visual Inspection	SMA-007-00	Qualitative assessment of homogeneity and pharmaceutical acceptability . Looks at sedimentation, separation of suspended particles, agglomeration, redispersability of suspension upon shaking. This will be done on both formulation and the filled bottles.
Assay	a. HPLC Assay to assess the potency of Suspended Triamcinolone Acetonide	HPLC Method	10 mLs of sample will be drawn off the top, middle and bottom of formulated composition, and then again after 3 Hrs to determine blend uniformity/settling stability
Pump Delivery	a. Analytical Balance	SMA-009-00	Quantitative assessment of the variability of formulation dispensing from the nasal spray pump. A Valois Nasal Spray Pump from Aptar will be used. Pump: VP7
Visual Inspection of spray quality	a. Visual observation of emission of formulation during actuation of pump	Observation of spray/jet/ failure to emit	Qualitatively assesses acceptability of spray
Spray	a. Dose collection tubes	SMA-001-00	Quantitative assessment of

Content Uniformity	b. HPLC drug assay c. Actuation Station d. Analytical Balance		the variability of emitted dose from the nasal spray pump. Will be quantified using Triamcinolone acetonide assay.
*The Following Tests Only to be Conducted As Needed to Supplement Previous Findings			
Droplet Size Analysis*	a. Sympatec Laser Diffraction	SMA-003-00	Assesses the spray quality.
Viscosity*	a. Dynamic	SMA-004-00	Assesses the viscosity of the product and will assist in interpretation of product performance tests.
Solid Particle Size*	a. Light Microscopy	SMA-002-00	Assesses the stability of the suspended particles and can inform on particle aggregation/agglomeration.
Osmolality*	a. MicroOsmette- Freezing Point Depression Osmometer	SMA-008-00	
Plume Geometry*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.
Spray Pattern*	a. Spray View or Comparable Analysis Software b. Actuation Station	SMA-005-00	Quantifies spray characteristics.

Time points will be evaluated at 0, 7, and 14 days. The samples will be stored in ambient storage conditions. pH will be measured at all time points.

V. Report

A report detailing the preparation methods and results of the testing will be provided.