Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

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	Application Number		
INFORMATION BIOOL COURT	Filing Date		
INFORMATION DISCLOSURE	First Named Inventor	tor Harold S. Mindlin II	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
(Not for Submission under or of K 1.00)	Examiner Name		
	Attorney Docket Number		1520-0013

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## Application Number Filing Date INFORMATION DISCLOSURE First Named Inventor Harold S. Mindlin II STATEMENT BY APPLICANT Art Unit ( Not for submission under 37 CFR 1.99) **Examiner Name** Attorney Docket Number 1520-0013 1 Add If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Examiner Signature** Date Considered \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO

<sup>&</sup>lt;sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

I		
Application Number		
Filing Date		
First Named Inventor Harol		d S. Mindlin II
Art Unit		
Examiner Name		
Attorney Docket Number		1520-0013

Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR	<b>!</b>						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached cer	rtification statement.					
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	1.				
×	None						
	:	SIGNAT		9. Diagram on CED 4.4(d) for the			
A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Sigr	nature	/Ronald Michael Reed/	Date (YYYY-MM-DD)	2011-04-12			
Nan	ne/Print	Ronald Michael Reed	Registration Number	59,066			
pub 1.14	This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you						

require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

**CERTIFICATION STATEMENT** 

VA 22313-1450.

#### 4

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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Appii	cation be	ala S	ileet 37	CI IX	1.70	Applicat	tion N	lumbe	er					
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	1520-0013		
Application Da	ila Sile	et 37 Cl K 1.70	Application Number		
Title of Invention System and Method of Progre			essive Hearing Device Adjustme	nt	
Customer Numbe	r	89320			
Email Address		mreed@patentwerks	.net	Add Email	Remove Email

### **Application Information:**

Title of the Invention	System and Method of Progressive Hearing Device Adjustment					
Attorney Docket Number	1520-0013		Small Entity Status Claimed 🔀			
Application Type	Nonprovisional	Nonprovisional				
Subject Matter	Utility					
Suggested Class (if any)			Sub Class (if any)			
Suggested Technology C	enter (if any)		·			
Total Number of Drawing	Sheets (if any)	4	Suggested Figure for Publication (if any)	2		

### **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
<b>Request Not to Publish.</b> I hereby request that the attached application not be published under 35 U.S. C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

# Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32).							
Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.							
Please Select One:	Customer Number	US Patent Practitioner	Limited Recognition (37 CFR 11.9)				
Customer Number	89320						

### **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status	Pending		Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
	non provisional of	61323841	2010-04-13
Prior Application Status	Pending		Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
	non provisional of	61350759	2010-06-02

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	1520-0013			
		Application Number				
Title of Invention	System and Method of Progressive Hearing Device Adjustment					
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the <b>Add</b> button.						

# **Foreign Priority Information:**

• •	0 .	rity and to identify any prior foreign applica et constitutes the claim for priority as requir				
			Remove			
Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed			
			Yes     No			
Additional Foreign Priority Data may be generated within this form by selecting the  Add button.						

# **Assignee Information:**

Providing this information in of the CFR to have an assignment			ith any requirement of part 3 of Title 37
Assignee 1			Remove
If the Assignee is an Org	janization check here.	X	
Organization Name	AUDIOTONIQ, Inc.		
Mailing Address Inform	nation:		
Address 1	206 South Wild Basin	Road, Building A, Suite 220	
Address 2			
City	Austin	State/Province	TX
Country i US		Postal Code	78746
Phone Number		Fax Number	
Email Address		·	
Additional Assignee Dat button.	a may be generated wit	thin this form by selecting the Ad	d Add

# Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.					
Signature	Signature /Ronald Michael Reed/		Date (YYYY-MM-DD)	2011-04-12	
First Name	Ronald	Last Name	Reed	Registration Number	59066

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	1520-0013
Application Da	ita Sileet 37 Cl K 1.70	Application Number	
Title of Invention	System and Method of Progre	essive Hearing Device Adjustme	nt

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal						
Application Number:	Application Number:					
Filing Date:						
Title of Invention:	Sys	tem and Method o	f Progressive Hea	aring Device Adju	stment	
First Named Inventor/Applicant Name:	Har	old S. Mindlin II				
Filer:	Ron	nald Michael Reed				
Attorney Docket Number:	152	0-0013				
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Utility filing Fee (Electronic filing)		4011	1	82	82	
Utility Search Fee		2111	1	270	270	
Utility Examination Fee		2311	1	110	110	
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						

Description	11 Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Total in USD (\$)		462	

Electronic Acknowledgement Receipt				
EFS ID:	9861341			
Application Number:	13085016			
International Application Number:				
Confirmation Number:	1249			
Title of Invention:	System and Method of Progressive Hearing Device Adjustment			
First Named Inventor/Applicant Name:	Harold S. Mindlin II			
Customer Number:	89320			
Filer:	Ronald Michael Reed			
Filer Authorized By:				
Attorney Docket Number:	1520-0013			
Receipt Date:	12-APR-2011			
Filing Date:				
Time Stamp:	15:44:36			
Application Type:	Utility under 35 USC 111(a)			
Payment information:				

Payment Type Deposit Account  Payment was successfully received in RAM \$462  RAM confirmation Number 2422  Deposit Account 504999  Authorized User	Submitted with Payment	yes
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Deposit Account 504999	Payment was successfully received in RAM	\$462
·	RAM confirmation Number	2422
Authorized User	Deposit Account	504999
	Authorized User	

# File Listing:

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1		13 1520-0013_Application.pdf	68370	yes	23	
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	Claims		18	22		
	Abstrac	t	23	23		
Warnings:						
Information:						
2	Drawings-only black and white line	1520-0013_Dwgs.pdf	221233		4	
2	drawings	1320 0013_bwgs.pui	6f1c3a46477917be15374b8ac44e7b11eb2 cbc93	no	4	
Warnings:						
Information:						
3	Oath or Declaration filed	1520-0013_Declaration.pdf	856903	no	4	
5 Oath of Declaration filed			0d1b963c19f8db4af15bc98135ed387b569 581e9			
<b>Warnings:</b>						
Information:						
4	Information Disclosure Statement (IDS)	1520-0013_IDS.pdf .	611544	no	4	
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Warnings:						
Information:						
5	Application Data Sheet	1520-0013_App_Data_Sheet.	1104499	no	5	
		pdf	abf822be17671b492abe803c26e380d0ad0 47beb			
Warnings:						
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6 Fee Worksheet (PTO-875)		fee-info.pdf	32588	no	2	
			62ec53f2a80b1d15a1a5cd8b8002d60a109 a62bc			
Warnings:						
Information:			1			
		Total Files Size (in bytes)	28	95137		

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#### System and Method of Progressive Hearing Device Adjustment

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#### CROSS REFERENCE TO RELATED APPLICATION(S)

[0001] This application is a non-provisional of and claims priority to U.S. Provisional patent application number 61/350,759, entitled "SYSTEM AND METHOD OF PROVIDING AN INCREMENTAL HEARING ADJUSTMENT FILTER," and filed on June 2, 2010, which is incorporated herein by reference in its entirety. Further, this application is a non-provisional of and claims priority to U.S. Provisional patent application number 61/323,841, entitled "SYSTEM AND METHOD OF PROGRESSIVE HEARING DEVICE ADJUSTMENT," and filed on April 13, 2010, which is incorporated herein by reference in its entirety.

#### **FIELD**

[0002] This disclosure relates generally to hearing aid adjustments, and more particularly, to hearing aids, computer-readable media, and computing devices for incremental hearing aid adjustment.

#### BACKGROUND

[0003] Hearing deficiencies can range from partial hearing impairment to complete hearing loss. Often, an individual's hearing ability varies across the range of audible sound frequencies, and many individuals have hearing impairment with respect to only select acoustic frequencies. For example, an individual's hearing loss may be greater at higher frequencies than at lower frequencies.

[0004] Hearing aids have been developed to alleviate the effects of hearing losses in individuals. Conventionally, hearing aids range from ear pieces configured to amplify sounds to configurable hearing devices offering adjustable operational parameters that can be configured by a hearing specialist to enhance the performance of the hearing aid. Parameters, such as volume or tone, often can be easily adjusted, and many hearing aids allow for the individual users to adjust these

parameters. However, other parameters may only be adjusted by the audiologist or by another health professional.

[10005] In instances where the individual's hearing loss varies across frequencies, such hearing aids can be tuned by an audiologist, for example, to compensate for the unique variations of the individual's hearing loss. The audiologist or health professional typically takes measurements using calibrated and specialized equipment to assess an individual's hearing capabilities in a variety of sound environments, and then adjusts the hearing aid based on the calibrated measurements to compensate for the individual's hearing loss. Subsequent adjustments to the hearing aid can require additional measurements and further calibration, which can be costly and time intensive.

[0006] However, for some users, the transition from not wearing a hearing aid to wearing a hearing aid can be traumatic. In particular, sounds that the user is not accustomed to hearing can suddenly be made audible to the user by the hearing aid. Some individuals, such as those wearing hearing aids for the first time, can experience psychological distress when hearing is restored to a normal level after years of suffering from hearing loss. Due to such distress, the first time user may have a difficult time adjusting to the hearing aid, and may give up on hearing aids altogether.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0007] FIG. 1 is a graph illustrating a representative example of decibel level (in dB) versus frequency (in Hertz) for a representative hearing sensitivity threshold, a representative user's hearing deficit, and a series of incremental adjustments to advance the user's hearing from the user's hearing deficit level to an adjusted hearing level associated with a hearing aid profile.

[0008] FIG. 2 is a block diagram of an embodiment of a hearing aid system for providing incremental hearing correction adjustments.

[0009] FIG. 3 is a flow diagram of an embodiment of a method for incrementally adjusting the hearing correction of a hearing aid.

[0010] FIG. 4 is a flow diagram of a second embodiment of a method for incrementally adjusting the hearing correction of a hearing aid.

[0011] In the following description, the use of the same reference numerals in different drawings indicates similar or identical items.

### DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

[0012] Embodiments of a hearing aid and methods are described below that provide an incremental or progressive hearing adjustment for a user particularly for easing the transition from not wearing a hearing aid to wearing a hearing aid. In particular, rather than abruptly implementing the hearing correction for the user immediately, the hearing aid progressively applies incremental adjustments to progressively or gradually adjust the user's experience from an uncompensated hearing level to a fully compensated hearing level. Such incremental adjustments allow the user to become accustomed to the hearing compensation in small increments over time, thereby reducing the psychological and/or physical distress associated with an abrupt transition from uncompensated to corrected hearing.

[0013] As used herein, the term "hearing aid profile" refers to a collection of acoustic configuration settings for a hearing aid, such as hearing aid 202 depicted in FIG. 2, which are used by a processor 210 (in FIG. 2) to shape acoustic signals to correct for a user's hearing loss. The shaped acoustic signals (or modulated output signals) are provided to a speaker or bone conduction element for reproduction for a user. Each of the hearing aid profiles are designed to compensate for the hearing loss of the user based on the user's particular hearing characteristics (impairment). In particular, processor 210 can apply a particular hearing aid profile that is customized for the particular user to compensate for hearing deficits of the user or otherwise enhance the sound-related signals. The hearing aid profile includes parameters that configure the hearing aid 202. For example, such parameters can include signal amplitude and gain characteristics, signal processing algorithms, frequency response characteristics, coefficients associated with one or more signal processing algorithms, or any combination thereof.

[0014] In some instances, one or more of the hearing aid profiles may also include filters and/or further adjustments configured to compensate the user's hearing impairment for a particular sound environment. In such instances, the hearing aid profile may be configured based on the user's hearing impairment and based on a particular environmental model.

[0015] As used herein, the term "hearing correction filter" refers to a collection of filters for hearing aid 202, which are applied by processor 210 within hearing aid 202 to a hearing aid profile to reduce the level of correction provided to the user by application of the hearing aid profile. The collection of hearing correction filters may include a series of hearing correction adjustments designed to be applied in a sequence over a period of time to provide incremental corrections for the user's hearing loss to ease the user's transition from uncompensated to corrected hearing. In such an instance, a first hearing correction filter attenuates the hearing aid profile by a pre-determined amount, limiting the adjustment provided by hearing aid 202. Each of subsequent hearing correction filter in the sequence increases the correction provided by (decreases the attenuation applied to) the hearing aid profile to some degree, until the sequence is complete and the hearing aid profile is fully applied to provide the desired hearing correction for the user. In an embodiment, an initial filter may decrease the compensation to allow hearing aid 202 to provide almost no correction initially. In this instance, the selected hearing aid profile represents a desired hearing compensation. Processor 210 can implement an algorithm to dynamically generate a plurality of intermediate filters that can be applied over a period of time to ease the user's transition. Alternatively, such filters can be created by a hearing health professional.

[0016] As used herein, the term "incremental hearing correction" refers to a collection of acoustic configuration settings for hearing aid 202 (such as a hearing aid profile described above), which are used by processor 210 within hearing aid 202 to shape acoustic signals to correct for a user's hearing loss. Each of the incremental hearing corrections represents an intermediate hearing adjustment to provide a modulated output signal having a level that is within a range between an uncompensated output level and the desired output level. In one embodiment, the incremental hearing corrections can be formed by applying one or more hearing correction filters to a selected hearing aid profile to produce the intermediate hearing aid profiles. In another embodiment, the incremental hearing corrections can be programmed by a hearing health professional. In still another embodiment, the incremental hearing corrections can be calculated dynamically as a function of a difference in decibels between the uncompensated level and the desired output level. The incremental hearing corrections are selectively applied over a period of time based on the length of time the user has been using hearing aids. In another

embodiment, the incremental hearing corrections include a series of hearing correction profiles designed to be applied in a sequence to provide incremental correction for the user's hearing loss. For example, the incremental hearing corrections are applied, one at a time for periods of time, to slowly adjust the hearing correction of the hearing aid until a desired hearing level is reached to allow time for the user to become accustomed to the corrected hearing level. In another example, incremental hearing corrections may be generated from a difference between a selected hearing aid profile and a level corresponding to no hearing correction using an algorithm for defining intermediate profiles based on a variable such as time or user-requested increments.FIG. 1 is a graph 100 of a representative example of decibel level (in dB) versus frequency (in Hertz) depicting an embodiment of a representative hearing sensitivity threshold, a representative user's hearing deficit, and a series of incremental adjustments to advance the user's hearing from the user's hearing deficit level to an adjusted hearing level associated with a hearing aid profile. A normal hearing sensitivity threshold is represented on the graph 100 by a straight line at a -20dB level, which is generally indicated at 102. Any hearing sensitivity at or above hearing sensitivity threshold 102 would be considered "normal" on an audiogram. The user's actual hearing levels are represented on the graph 100 by a hearing loss line 106. Hearing loss line 106 represents a particular user's actual hearing sensitivity, i.e., the user's uncompensated hearing. Under normal operating conditions, hearing aid 202 (depicted in FIG. 2) would apply a selected hearing aid profile that is customized to compensate for the user's hearing impairment to correct the user's hearing so that the user's hearing sensitivity would correspond to hearing aid profile correction line 108. In other words, hearing aid profile correction line 108 represents a desired (final or fully compensated) hearing correction for the user, which can be achieved by applying a selected hearing aid profile to sound signals using a processor of a hearing aid.

[0017] The graph 100 also includes a plurality of intermediate hearing sensitivity levels that fall within a range between hearing loss line 106 and hearing aid profile correction line 108, which intermediate hearing sensitivity levels are achieved by applying hearing correction filters to the selected hearing aid profile and/or by applying incremental hearing corrections to the audio signal to provide incremental (progressive) hearing adjustments. Each of the intermediate hearing sensitivity lines 110, 112, 114, 116, and 118 represents one or more adjustments to

enhance the user's hearing sensitivity by applying an incremental hearing filter the selected hearing aid profile, reducing its hearing correction by a predetermined amount. In the illustrated example, the plurality of incremental hearing corrections (or hearing correction filters) are applied in a sequence to produce hearing sensitivity lines 110, 112, 114, 116, and 118, over a period of time, gradually adjusting the hearing correction from the user's uncompensated hearing level at hearing loss line 106 to the desired hearing level represented by the hearing aid profile correction line 108.

**[0018]** In the illustrated example, the hearing sensitivity lines 110, 112, 114, 116, and 118 appear to indicate that the incremental hearing corrections adjust selected frequencies to the desired hearing level while providing less of an enhancement to other frequencies. However, it should be understood that other incremental hearing corrections could be used. For example, in one particular instance, the incremental hearing correction could dampen or otherwise apply filters to the selected hearing aid profile to incrementally adjust the hearing correction across the entire range of frequencies substantially evenly. In another instance, the incremental hearing correction could adjust selected frequencies by different amounts, providing a non-uniform hearing correction.

[0019] In a particular example, the user or an audiologist may select a hearing aid profile, such as the hearing aid profile associated with correction line 108, to configure a hearing aid, such as hearing aid 202 depicted in FIG. 2. The hearing aid profile is applied by a processor of the hearing aid to modulate the audio output signal to compensate for the user's hearing impairment represented by the user's hearing loss line 106, which indicates impairment of the user's acoustic sensitivity at higher frequencies. In conventional hearing aids, the hearing aid would immediately apply the hearing aid profile to correct the user's hearing up to hearing aid profile correction lien 108.

[0020] However, in embodiments of the hearing aid system described below, instructions executable by a processor of computing device 222, hearing aid 202, or another system may be used to produce multiple correction levels, which can be applied to the selected hearing aid profile, to make the hearing compensation more gradual to allow time for the user to become accustomed to the hearing aid and its audio compensation, easing the user into hearing aid by

compensating the audio output a little bit at a time, reducing the potential shock from a drastic change in hearing conditions.

[10021] In this example, a first incremental hearing correction may be applied initially to provide a hearing sensitivity corresponding to intermediate hearing line 110. After a period of time has passed or a trigger is received, hearing aid 202 applies a second incremental hearing correction, resulting in correction up to a second intermediate hearing line 112, further increasing the users hearing experience. The hearing aid continues applying the incremental hearing corrections to provide progressively enhanced hearing sensitivity as indicated intermediate hearing lines 112 and 114 and so on until the desired correction level of the selected hearing aid profile is reached, as indicated by hearing aid profile line 108.

[0022] By gradually adjusting the hearing correction over time through the sequential application of incremental hearing corrections (or through sequential application of hearing correction filters to the selected hearing aid profile), the hearing aid allows the user to gradually become acclimated to each acoustic adjustment before a next adjustment is applied, increasing the likelihood that the user will accept and continue to use the hearing aid. It should be understood that graph 100 in FIG. 1 depicts only a few hearing sensitivity levels corresponding to a few incremental hearing corrections corresponding to increments up to a particular hearing aid profile; however, any number of increments may be provided, depending on a number of factors including the specific implementation, the magnitude of the difference between the user's hearing level 106 and the hearing aid profile line 108, a pre-configured setting, or any number of other factors. Further, hearing correction filters can be applied to each hearing aid profile to produce a plurality of incremental hearing corrections for a give hearing aid profile. Thus, in operation, if hearing aid is switched from a first hearing aid profile to a second hearing aid profile, the currently selected hearing correction filter can be applied to the second hearing aid profile to continue to provide the desired, gradually progressive hearing adjustment.

[0023] Additionally, it should be appreciated that graph 100 represents an illustrative example only, and that other hearing aid profiles and other, more complex, incremental hearing correction lines (patterns or curves) may be used. Further, it should be understood that the filter or correction used to achieve the correction lines and ultimately the hearing aid profile is composed

of a plurality of coefficients, parameters, or other settings that are applied by a processor of the hearing aid to alter various characteristics of the sounds to modulate them to compensate for the user's hearing impairment.

**[0024]** FIG. 2 is a block diagram of an embodiment of a system 200 including a hearing aid 202 adapted to communicate with a computing device 252, either of which or both of which may be adapted to provide an incremental adjustment to a selected hearing aid profile. Hearing aid 202 includes a transceiver 216 that is configured to communicate with computing device 252 through a communication channel, which can be wired or wireless. In some instances, the communication channel can be a Bluetooth® communication channel. In some embodiments, transceiver 216 may be configurable to connect to a network 230 for receiving hearing aid profiles, filters, adjustment modules updates, incremental hearing corrections, other data, or any combination thereof. Hearing aid 102 also includes a processor 210 connected to transceiver device 216 and to a microphone 212 and a speaker 214. Hearing aid 202 further includes a memory 204 connected to processor 210 and configured to store processor executable instructions (signal processing instructions), parameter adjustment logic, one or more hearing aid profiles 218, one or more hearing correction filters 220, incremental adjustment logic 222, and incremental hearing corrections 224.

[0025] Computing device 252 is a personal digital assistant (PDA), smart phone, portable computer, tablet computer or other computing device adapted to send and receive radio frequency signals according to any protocol compatible with hearing aid 202. Representative examples of computing device 252 include the Apple iPhone®, which is commercially available from Apple, Inc. of Cupertino, California and the Blackberry®, available from Research In Motion Limited of Waterloo, Ontario. Other types of mobile telephone devices with short range wireless capability can also be used.

[0026] Computing device 252 includes a transceiver 264, which is connected to a processor 260, such that processor 260 may send and receive data packets to and from hearing aid 202 via transceiver device 264. Processor 260 is connected to a display interface 258 for displaying information to a user and to an input interface 256 for receiving user input. In some embodiments, a touch screen display may be used, in which case display interface 258 and input

interface 256 are combined. Computing device 252 further includes a network interface 266 that is configurable to connect to a network, such as the Internet. In an example, a user may interact with input interface 256 to cause computing device 252 to interact with the network, for example, to download hearing aid profiles, hearing correction filters, updated incremental adjustment instructions, and/or incremental hearing corrections from a remote device, such as a computer server.

[0027] Computing device 252 includes a memory 254, which is accessible by a processor 260. Memory 254 stores a plurality of instructions that are executable by processor 260, including graphical user interface (GUI) generator instructions. Memory 254 further includes a plurality of hearing aid profiles 270, hearing correction filters 272, incremental adjustment module 274, and incremental hearing corrections 276. Memory 254 may store a larger number of hearing aid profiles 270, hearing correction filters 272, and incremental hearing corrections 276 than memory 204, and processor 260 may selectively provide a desired hearing aid profile, hearing correction filter and/or incremental hearing correction to hearing aid 202 through the communication channel.

[0028] In an example, hearing aid 202 stores a selected hearing aid profile 218 and one or more hearing correction filters 220 in memory 204. In this instance, processor 210 selectively applies each a selected one of the hearing correction filters 220 to the selected hearing aid profile 218 to provide an incremental hearing correction for a period of time before advancing to a next incremental hearing correction by applying a next hearing correction filter 220 in a sequence. Thus, processor 210 selectively provides incremental hearing improvements to progressively enhance the user's hearing experience.

[0029] In a second example, hearing aid 202 stores the selected hearing aid profile 218 and one or more incremental hearing corrections 224. In this instance, processor selectively applies a selected one of the incremental hearing corrections 224 to provide an incremental hearing adjustment for a period of time before advancing to a next one of the incremental hearing corrections 224 in a sequence. Thus, processor 210 selectively provides incremental hearing improvements to progressively enhance the user's hearing experience.

[0030] In a third example, hearing aid 202 stores the selected hearing aid profile 218 and data related to the user's hearing impairment. During operation, processor 210 dynamically calculates a number of increments based on a difference between a normal hearing level and a hearing level associated with the user. The processor 210 then uses the number of increments to subdivide the difference into adjustment increments. Processor 210 can use the adjustment increments to dynamically generate incremental hearing corrections, each of which can be applied for a period of time before advancing to a next incremental hearing correction in a sequence. Thus, processor 210 selectively provides incremental hearing improvements to progressively enhance the user's hearing experience.

through the communication channel. In response to the trigger, processor 210 either selects an incremental hearing correction 224, selects a filter from hearing correction filters 222 for application to a selected hearing aid profile 218 to produce an incremental hearing correction, or extracts an incremental hearing correction from the trigger. Once the incremental hearing correction is determined, processor 210 applies the incremental hearing correction to modulate an output signal to a hearing sensitivity level that is between an uncompensated hearing level and a normal hearing level. Processor 210 may receive subsequent triggers and perform a similar operation to progressively advance the output level toward normal hearing levels.

[0032] Thus, hearing aid 202 eases the user into the desired hearing level provided by the selected hearing aid profile over a period of time, reducing the psychological shock to the user of an abrupt change and reducing the probability that the user will reject the use of hearing aids altogether. In an alternative example, processor 210 or 260 may begin with the user's hearing level and dynamically generate incremental hearing corrections until a level associated with a selected hearing aid profile is reached. By dynamically creating each of the incremental hearing correction profiles for easing the user into normal hearing levels, the memory consumption is reduced and a wider range of increments can be provided, that can be more narrowly tailored to the user's actual hearing ability.

[0033] In general, either hearing aid 202 or computing device 252 may monitor the incremental hearing corrections. In a first embodiment, processor 210 in hearing aid 202 will monitor a clock

in hearing aid 202 and record the number of cycles in memory 204, thus establishing and tracking a time base. Once the number of clock cycles stored in memory 204 exceeds a preset limit (or threshold), processor 210 generates a trigger, causing processor 210 to apply a next hearing correction in the sequence and to reset the clock counter. In a second embodiment, processor 210 may generate a trigger based on a calendar, such as a number of hours, days, weeks, or a specific date. For example, processor 210 may generate a trigger every day, every week, every Tuesday, every 12 hours, or periodically with respect to some other time increment. The length of each period in this case could be programmed by the user using input interface 256 of computing device, providing more user-control and allowing the user to customize the adjustment process.

[0034] In an alternative example, processor 260 in computing device 252 generate triggers and send a signal including the trigger to hearing aid 202 through the communication channel, causing processor 210 to apply the incremental hearing correction profile. Processor 260 may, as described above with respect to processor 210, monitor a number of clock cycles during which hearing aid 202 is in use or use a calendar to determine when to generate a trigger for hearing aid 202, for example, based on numbers of days, weeks, hours, etc. By utilizing processor 260 instead of processor 210 in hearing aid 102, substantial processing and memory storage can be offloaded to computing device 252, saving space, battery life, and processing power for hearing aid 202. Further, processor 260 may provide the incremental hearing correction to hearing aid 202 as part of the trigger.

[0035] Additionally, computing device 252 may utilize display interface 258 and input interface 256 to allow a user to generate the trigger. In this embodiment, the user selects an icon, menu item, or other selectable element to launch an application that produces a graphical user interface (GUI) and provides it to display interface 258. The user interacts with the GUI through input interface 256 to cause computing device 252 to communicate the trigger to hearing aid 202 so that the hearing aid 202 applies the next incremental hearing correction.

[0036] As mentioned above, a trigger is a command executable by processor 210 of hearing aid 202, causing processor 210 to apply the next incremental hearing correction profile to shape

sound. In some instances, the trigger may also include the incremental hearing correction to be applied. For example, if the incremental hearing corrections are stored in memory 254 on computing device 252, processor 260 may transmit a selected one of the incremental hearing corrections 276 to hearing aid 202 through the communication channel. Either hearing aid 202 or computing device 252 may keep a record of which incremental hearing correction is next in the sequence.

[0037] Once a trigger is received by hearing aid 202, processor 210 obtains the next incremental hearing correction either from hearing aid corrections 224 in memory 204 or from the trigger received from computing device 252. In some instances, hearing aid 202 may signal computing device 252 to retrieve the next incremental hearing correction from incremental hearing corrections 276 in memory 254. Once processor 210 has received the selected incremental hearing correction, processor 210 applies it to shape the sound input received from microphone 212 to produce a modulated output signal for reproduction for the user by speaker 214.

10038] In general, system 200 is configurable to apply hearing aid corrections 224 and/or hearing aid corrections 276 received from computing device 252 over a period of time, with each progressive adjustment following an acclimation period for the user to become acclimated to the adjusted audio signal before a next progressive adjustment is applied. However, it should be appreciated that the incremental hearing corrections 224 or 276 within a sequence may not be uniformly distributed over the range of acoustic levels between the uncompensated hearing level and a normal hearing level. Further, the amount of time that a particular incremental hearing correction is applied before moving to the next incremental correction may also vary. In one instance, the amount of time is longer for larger incremental hearing corrections as compared to smaller incremental hearing corrections. In another instance, the amount of time becomes progressively shorter as the incremental hearing corrections approach the hearing correction provided by the selected hearing aid profile.

[0039] In a particular embodiment, incremental hearing corrections 224 and 276 are generated by applying hearing correction filters 222 and 274 to a selected one of hearing aid profiles 218 or 270, respectively. In this instance, hearing aid 202 may switch from a first hearing aid profile to

a second hearing aid profile based on environmental sound conditions or based on user input. In response to switching to the second hearing aid profile, the currently applied hearing correction filter is applied to the second hearing aid profile to attenuate the second hearing aid profile. Thus, the progressive hearing adjustment can continue across different hearing aid profiles over time until the user's hearing is fully compensated by hearing aid 202.

[0040] In one embodiment, processor 210 or processor 260 can divide a selected hearing aid profile into increments, beginning with a zero-adjustment point corresponding to the user's uncompensated hearing loss and ending at a fully-compensated hearing level, such as that provided by unattenuated application of a selected hearing aid profile. In the alternative the incremental hearing corrections 224 may be programmed by a hearing instrument specialist, audiologist, or ENT (ear noise and throat doctor) or generated by a remote computing device and downloaded onto computing device 252 via the network 230 (such as the Internet). In this instance, the number of incremental hearing corrections 224 or 276 can be a pre-determined number or can be determined based on an incremental correction limit per increment. In this latter instance, the number of increments can be determined by the magnitude of the correction; therefore, the number of increments varies based on the magnitude of the hearing correction provided by the hearing aid profile relative to a zero-adjustment baseline. In another embodiment, hearing correction filters 222 or 272 can be generated by a hearing instrument specialist, audiologist, or ENT or downloaded via the network 230. Hearing correction filters 222 or 272 may be attenuation filters designed to incrementally attenuate or dampen the adjustment provided by a selected hearing aid profile, such that each hearing correction filter provides incrementally less attenuation than a previous hearing correction filter in the sequence until the hearing aid profile is applied fully. In this instance, application of a hearing correction filter to a hearing aid profile produces an incremental hearing correction.

[0041] Once the filters are generated, processor 210 selectively applies a selected one of the incremental hearing correction filters to the selected hearing aid profile for a period of time before advancing to a next incremental hearing correction filter in the sequence, providing incremental hearing adjustments from the uncompensated baseline to the fully-compensated hearing experience provided by the unfiltered hearing aid profile. Thus, hearing aid 202 eases

the user into the desired hearing correction over a period of time, reducing the shock to the user and reducing the probability that the user will reject hearing aid 202 altogether.

[10042] In general, either hearing aid 202 or computing device 252 may monitor the incremental hearing correction steps. In an embodiment, processor 210 in hearing aid 202 will monitor a clock signal in hearing aid 202 and count the number of cycles in memory 204. Once the number of clock cycles exceeds a preset limit (or threshold), processor 210 is triggered to apply a next hearing correction filter in the sequence and to reset the clock counter. In another embodiment, processor 210 may generate a trigger based on a pre-defined calendar schedule, such as a number of days or weeks or a specific date. In an alternative embodiment, processor 260 in computing device 252 generates triggers (either automatically or initiated by the user through display interface 258 and input interface 256) and sends a signal including the trigger to hearing aid 202 through the communication channel, causing processor 210 to apply the incremental hearing correction filter to the selected hearing aid profile.

[10043] Once a trigger is received by hearing aid 202, processor 210 obtains the next incremental hearing filter either from one or more incremental hearing filters 220 in memory 204 or from a signal received from computing device 352. In some instances, hearing aid 202 may signal computing device 252 to retrieve the incremental hearing filter 276 from one or more incremental hearing filters 376 in memory 354. Once processor 210 has received the next incremental hearing filter, processor 210 applies it to the selected hearing aid profile to generate a set of instructions to shape sound input received from microphone 212 to produce a modulated output signal for reproduction for the user by speaker 214.

[0044] While FIG. 2 depicts a block diagram of one possible system for providing an incremental hearing correction using hearing correction profiles, such a system can be used to implement a wide array of methods. One possible example of a method of applying a hearing correction setting is described below with respect to FIG. 3.

[0045] FFIG. 3 is a flow diagram of an embodiment of a method 300 for incrementally adjusting the hearing correction of a hearing aid. At 302, a trigger is received to adjust a hearing aid to apply the next incremental hearing correction. The next incremental hearing correction

represents a next incremental hearing correction in a progressive sequence of incremental hearing corrections that can be used to gradually adjust the user's hearing aid experience from an uncompensated sound experience to a fully compensated sound experience. The incremental hearing correction may be a hearing correction profile executed by the processor in the hearing aid or a hearing correction filter applied to the hearing aid profile executed by the processor in the hearing aid. Alternatively, the hearing correction settings may be stored as incremental hearing corrections and/or generated by a computing device and sent to the hearing aid as part of the trigger. The trigger is a command executable by a processor in the hearing aid. In some instances, the trigger may also include the next incremental hearing correction setting or an identifier thereof to allow the hearing aid to retrieve the next incremental hearing correction from memory. Either the hearing aid or a computing device may keep a record of which is the next incremental hearing correction setting to apply when a trigger is received. A trigger may be generated by a circuit within the hearing aid, by a process executing on the hearing aid, or by an external source, such as the computing device.

[0046] Several methods of generating a trigger are contemplated. In one embodiment, the processor in the hearing aid or the processor in the computing device monitors clock cycles and record the number of cycles in memory. Once the number of clock cycles exceeds a preset limit, the process generates a trigger and resets the clock counter to zero, providing a periodic signal, such as every 10 hours. In a second embodiment, the processor may generate a trigger based on a calendar. For example, a trigger may be generated every day or every week. In another embodiment, the period of time is programmable by the user. In still another embodiment, the period is preset by the audiologist or hearing health professional. In yet another embodiment, the trigger may be user initiated. For example, the user may utilize an external device, such as the computing device to trigger the next incremental hearing correction setting via a user interface. The user selection could then be communicated to the hearing aid.

[0047] Proceeding to 304, the processor in the hearing aid determines if the hearing correction setting is already compensating the user at a desired level of hearing. If the hearing correction is already at a desired level, method 300 proceeds to 306 and the processor in the hearing aid provides an user alert indicating to the user that hearing is at desired levels and that the adjustment process is complete. In an example, the alert may be an audible alert reproduced

through a speaker of hearing aid. Alternatively, the alert may be sent to the computing device for display on the display interface. In yet another embodiment, the alert may be intended to notify the processor in the hearing aid or the processor in the computing device that the progressive adjustment process is complete, no further adjustments are needed or should be scheduled, and that the automatic adjustment process may terminate. In some instances, the alert may configure a register setting that disables the progressive (incremental) adjustment.

[0048] If at 304 the processor determines that the current hearing correction setting is not at the desired levels, the method 300 proceeds to 308 and a next incremental hearing correction setting is used to adjust the hearing correction by one or more increments. The incremental hearing correction setting may be retrieved from a memory on hearing aid, may be dynamically generated by applying a next hearing correction filter in a sequence of filters to the selected hearing aid profile, or may be included in the trigger received from the computing device.

[0049] Continuing to 310, the processor in the hearing aid applies the incremental hearing correction to the hearing aid. In an example, the processor in the hearing aid uses the incremental hearing correction to shape the input sound received at a microphone to produce a shaped output signal, which is at an intermediate output level and which will be played to the user through a speaker.

[0050] In some instances, it may be desirable to provide the incremental adjustment during an initial period after the user begins using the hearing aid and then to disable to incremental adjustment capability thereafter. An example of a method for providing the incremental adjustment during a first period and for disabling the incremental adjustment after the first period is described below with respect to FIG. 4.

**[0051]** FIG. 4 is a flow diagram of a second embodiment of a method 400 for incrementally adjusting the hearing correction of a hearing aid. At 402, on startup, the hearing aid determines a time in use, such as based on a number of clock cycles. After transition period, the user will have become accustomed to the hearing correction provided by the hearing aid, and will no longer need the incremental adjustments. The period of time may be configured by a user, determined based on the amount of correction required, programmed by a hearing health professional, or pre-programmed by the device manufacturer.

[0052] At 404, if the time exceeds a transition threshold, the method 400 advances to 406 and a processor of the hearing aid applies a selected hearing aid profile to modulate an audio output of the hearing aid. Otherwise, at 404, if the time does not exceed the transition threshold, the method 400 advances to 408 and an incremental hearing correction is selected from a sequence of incremental hearing corrections, where the incremental hearing correction is configured to modulate the audio output to an audio output level between an uncorrected hearing level and a corrected hearing level achieved by applying the selected hearing aid profile.

[0053] Moving to 410, the processor of the hearing aid applies the selected incremental hearing correction to audio signals to modulate the audio output of the hearing aid. Continuing to 412, a time from application of the selected incremental hearing correction is monitored. At 414, if the time does not exceed an increment threshold, the method 400 returns to 412 and time continues to be monitored.

[10054] At 414, if the time exceeds the increment threshold, the method 400 proceeds to 416. At 416, if there is no other incremental hearing correction in the sequence, the method 400 continues to 406 and the selected hearing aid profile is applied. Otherwise, at 414, if there is another incremental hearing correction, the method 400 advances to 418 and an incremental hearing correction that is next is selected from the sequence, where the selected incremental hearing correction is configured to modulate the audio output to a next audio output level that is closer to the corrected level than that provided by the previously applied incremental hearing correction. The method 400 then returns to 410 and the selected incremental hearing correction is applied to modulate the audio output of the hearing aid.

[0055] In conjunction with the systems and methods described above with respect to FIGs. 2-4, a system is disclosed that allows a hearing aid to incrementally adjust the hearing correction for a user over a period of time to ease the user to a "normal" hearing level. As described above, a sequence of incremental hearing corrections are applied over a period of time to progressively advance the quality of the user's hearing from an uncompensated state to a normal hearing level.

[0056] Although the present invention has been described with reference to preferred embodiments, workers skilled in the art will recognize that changes may be made in form and detail without departing from the scope of the invention.

### WHAT IS CLAIMED IS:

- 1. A hearing aid comprising:
  - a microphone to convert sound into an electrical signal;
  - a processor coupled to the microphone, the processor configured to apply a selected one of a sequence of incremental hearing corrections to the electrical signal to produce a modulated output signal to at least partially compensate for a hearing impairment of a user; and
  - a speaker coupled to the processor and configured to convert the modulated output signal into an audible sound.
- 2. The hearing aid of claim 1, wherein each of the incremental hearing corrections comprises a collection of acoustic configuration settings configured to modulate the electrical signal to a level that is within a range between an uncompensated hearing level of the user and a corrected hearing level of the user to produce the modulated output signal.
- 3. The hearing aid of claim 2, wherein the sequence of the incremental hearing corrections comprises:
  - a first hearing correction configured to modulate the electrical signal to a first level that is within the range; and
  - at least one second hearing correction configured to modulate the electrical signal to a second level that is within a second range between the first level and the corrected hearing level of the user.
- 4. The hearing aid of claim 1, further comprising a memory to store a hearing aid profile configured to compensate for the hearing impairment of the user to produce the modulated output signal at a level corresponding to a corrected hearing level of the user.

- 5. The hearing aid of claim 4, wherein the memory stores a plurality of hearing correction filters:
  - wherein the processor is configured to selectively apply particular ones of the plurality of hearing correction filters to the hearing aid profile to generate the selected one of the plurality of incremental hearing corrections; and
  - wherein the processor selectively applies another one of the plurality of hearing correction profiles to the hearing aid profile to generate another one of the plurality of incremental hearing corrections after a period of time.
- 6. The hearing aid of claim 4, wherein the memory includes the plurality of incremental hearing corrections.
- 7. The hearing aid of claim 1, further comprising:
  - a transceiver coupled to the processor and configurable to communicate with a computing device through a communication channel during operation, the transceiver to receive a signal from the computing device and to provide the signal to the processor;
  - wherein the processor applies the selected one of the sequence of incremental hearing corrections in response to receiving the signal.
- 8. The hearing aid of claim 7, wherein the signal includes the selected one of the sequence of incremental hearing corrections.
- 9. The hearing aid of claim 7, further comprising a memory to store the sequence of incremental hearing corrections; and
  - wherein the signal includes an indicator identifying the selected one of the incremental hearing corrections within the sequence; and
  - wherein, in response to receiving the signal, the processor retrieves the selected one of the incremental hearing corrections from the memory and applies the selected one to the electrical signals.

10. A computer-readable medium comprising instructions that, when executed by a processor, cause the processor to:

apply a first hearing correction to an electrical signal to produce a modulated output signal, the first hearing correction to partially compensate for hearing impairment of a user to a first level that is within a range between an uncompensated hearing level of the user and a corrected hearing level of the user;

determine an amount of time during which the first hearing correction is applied; and selectively apply at least one second hearing correction to the electrical signal to produce the modulated output signal when the amount of time exceeds a pre-determined threshold.

- 11. The computer-readable medium of claim 10, wherein the pre-determined threshold is configurable by a user.
- 12. The computer-readable medium of claim 10, further comprising second instructions that, when executed by the processor, cause the processor to receive the first hearing correction and the at least one second hearing correction from a transceiver configured to communicatively couple to a computing device during operation.
- 13. The computer-readable medium of claim 10, further comprising second instructions that, when executed by the processor, cause the processor to dynamically generate the first hearing correction and the at least one second hearing correction based on at least one of the hearing impairment of the user and a hearing aid profile including a collection of acoustic configuration settings for producing the modulated output signal at the corrected hearing level.

- 14. A computing device comprising:
  - a transceiver configurable to communicate with a hearing aid through a communication channel:
  - a processor coupled to the transceiver; and
  - a memory coupled to the processor and configured to store instructions that, when executed by the processor, cause the processor to:
    - provide a signal related to a first hearing correction of a sequence of incremental hearing corrections to the hearing aid through the communication channel; and
    - provide a second signal related to a next hearing correction of the sequence to the hearing aid when a period of time exceeds a threshold time increment.
- 15. The computing device of claim 14, wherein the memory stores further instructions that, when executed by the processor, cause the processor to:

initiate a timer to determine the period of time;

- iteratively select and provide selection signals related to subsequent ones of the incremental hearing corrections from the sequence to the hearing aid when the period of time exceeds the threshold time increment; and
- reset and restart the timer when each of the subsequent ones of the incremental hearing corrections is provided to the hearing aid.
- 16. The computing device of claim 14, wherein the threshold time increment varies with each of the incremental hearing corrections.
- 17. The computing device of claim 14, wherein the first signal and the second signal comprise triggers to initiate an adjustment to a currently selected incremental hearing correction executing on the hearing aid.

- 18. The computing device of claim 14, wherein the first hearing correction and the next hearing correction comprise collections of acoustic configuration settings for the hearing aid for modulating an audio output signal to compensate for a hearing impairment of a user, the next hearing correction representing an adjustment configured to modulate the audio output signal to an output level that is closer to a corrected hearing level than a first adjustment associated with the first hearing correction.
- 19. The computing device of claim 14, wherein the first signal and the second signal include the first hearing correction and the next hearing correction, respectively.
- 20. The computing device of claim 14, wherein the memory further comprises instructions that, when executed by the processor, cause the processor to progressively advance through the sequence of the incremental hearing corrections by providing each of the incremental hearing corrections to the hearing aid, one at a time, over a sequence of time increments to provide a progressive hearing aid adjustment from an uncompensated hearing level to a corrected hearing level to aid in the user in acclimating to the hearing aid.

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# System and Method of Progressive Hearing Device Adjustment

# ABSTRACT OF THE DISCLOSURE

[0057] A hearing aid includes a microphone to convert sound into an electrical signal and a processor coupled to the microphone. The processor is configured to apply a selected one of a sequence of incremental hearing corrections to the electrical signal to produce a modulated output signal to at least partially compensate for a hearing impairment of a user. The hearing aid further includes a speaker coupled to the processor and configured to convert the modulated output signal into an audible sound.

Inventor: Harold S. Mindlin II, et al. Docket No.: 1520-0013

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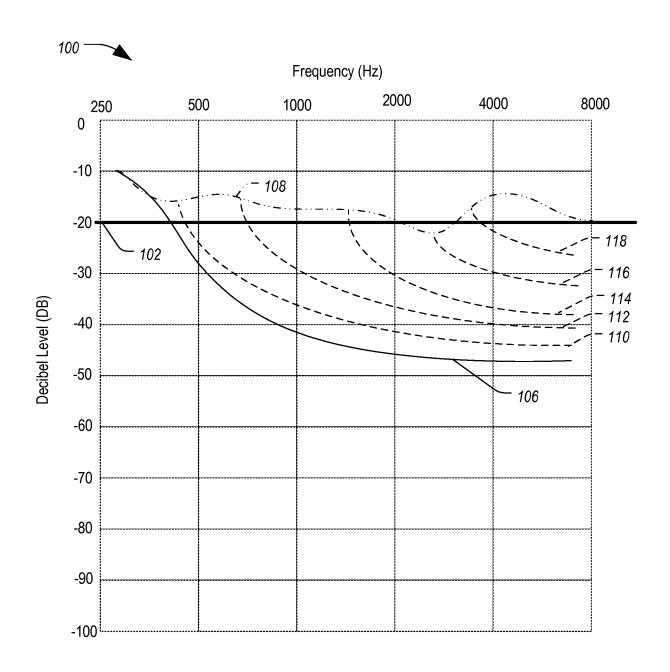


FIG. 1

Title: System and Method of Progressive Hearing Device Adjustment

Inventor: Harold S. Mindlin II, et al. Docket No.: 1520-0013

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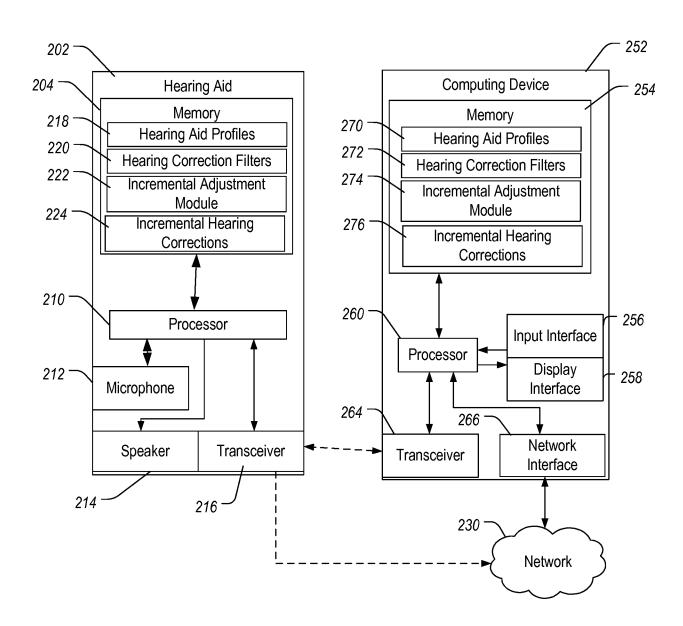


FIG. 2

Title: System and Method of Progressive Hearing Device Adjustment Inventor: Harold S. Mindlin II, et al.

Docket No.: 1520-0013

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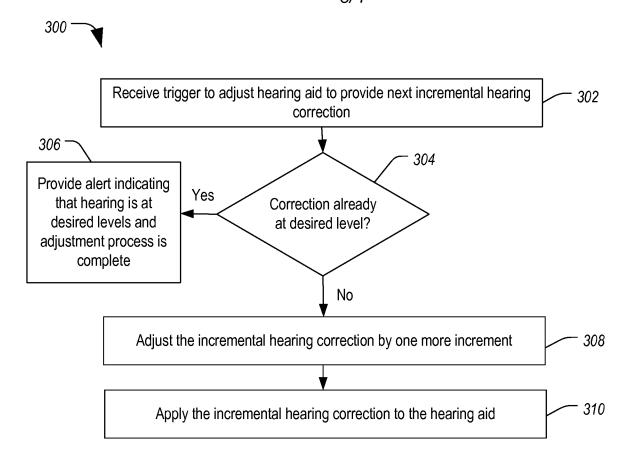


FIG. 3

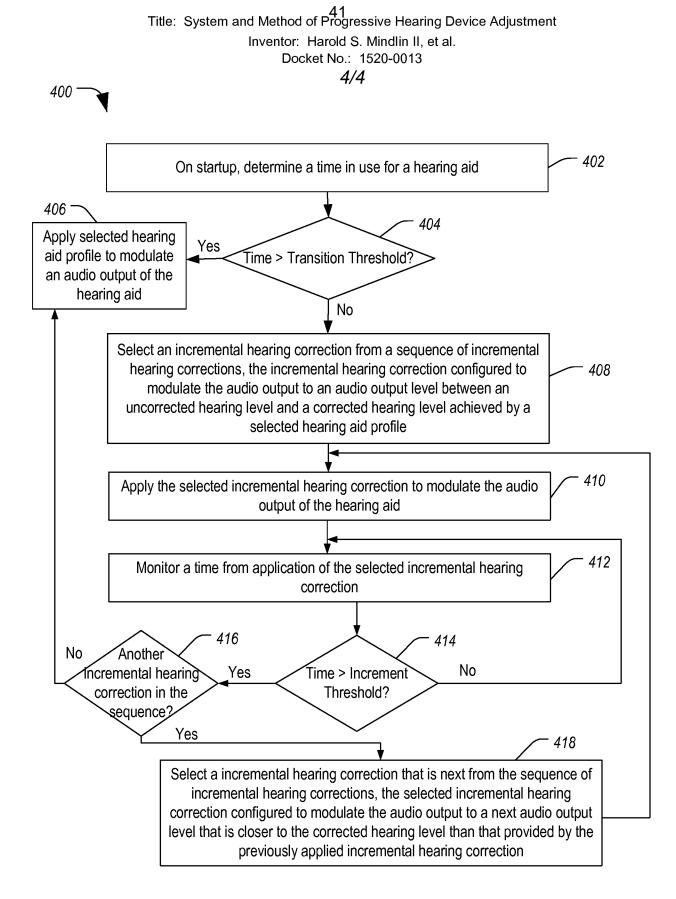


FIG. 4

Afterney Docket No : 1520-0013

# DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe Fain the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are fisted below) of the subject matter that is claimed and for which a patent is sought by way of the application entitled

# SYSTEM AND METHOD OF PROGRESSIVE HEARING DEVICE ADJUSTMENT

which (check)	is filed with this Declaration and Power of Attorney and marked with the above fifteend/or Attorney Docket Number, and which is the final application provided to me by POLANSKY & ASSOCIATES, PLLC and is amended by the Preliminary Amendment attached hereto.
	was filed onas Application Secial Noand was amended on(if applicable).
	hat I have reviewed and understand the contents of the above identified application.  laims, as amended by any amendment referred to above.

Lacknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

Thereby claim foreign priority benefits under Title 35. United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or under § 365(a) of any PCT international application(s) designating at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

	Priority	Claimed		
 Number	Country	Day/Month/Year Filed	Yes	No

Thereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Data (MM/DD/YYYY)
61/323,841	04/13/2010
61/350,759	06/02/2010

Thereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which

Aburney Docket No : 1520-0013

became available between the filing date of the prior application and the national or PCT international filing date of this application.

U. S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

I hereby appoint the attorney(s) and/or agent(s) associated with customer number 82320 to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Title 18. United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all correspondence concerning this application to the USPTO Customer Number, if provided, or otherwise to the firm named below:

# Customer Number 89320

POLANSKY & ASSOCIATES, PLLC

12117 BEE CAVES ROAD, SUITE 160 AUSTIN, TEXAS 78738 Telephone: 512-777-2010 Faccionils: 512-777-2017

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Full name of sole (or first joint) inventor.  Inventor's Signature:	Date: Citizenship:	4/1/1
Residence: Adsign, Texas	Curseasada	622.
Post Office Address: 3808 Turkey Creek Drive		
Austin, TX 78730		
Full name of sole (or second joint) inventor: David Matthew Land	cy	
Inventor's Signature:	Date;	
Residence: Austin, Texas	Citizenship:	U.S.A.
Post Office Address: 815A Brazos Street, Apt. 342 Austin, Texas 78701		

Attorney Docket No.: 1520-0013

# DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

below.

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought by way of the application entitled

# SYSTEM AND METHOD OF PROGRESSIVE HEARING DEVICE ADJUSTMENT

which (check)	and/	or Attorney Docket Numb ANSKY & ASSOCIATES, PI and is amended by the Pr was filed on	tion and Power of Attorney and noter, and which is the final applica LLC eliminary Amendment attached begin as Application Serial No (if applica	tion provide	ed to me by
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		Prior Foreign Appli	cation(s)	Priority	Claimed
Numbe	er	Country	Day/Month/Year Filed	Yes	No
Lhereby claim	the be	nefit under 35 U.S.C. 119	(e) of any United States provisio	 nal applicati	ion(s) listed

 Application Number(s)
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 61/323,841
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I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge

Attorney Docket No.: 1520-0013

the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U. S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

I hereby appoint the attorney(s) and/or agent(s) associated with **customer number 89320** to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all correspondence concerning this application to the USPTO Customer Number, if provided, or otherwise to the firm named below:

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# POLANSKY & ASSOCIATES, PLLC

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Full name of sole (or first joint) inventor: Harold S. Mindlin Inventor's Signature: Date: Residence: Austin, Texas Citizenship: US Post Office Address: 3808 Turkey Creek Drive Austin, TX 78730 Full name of sole (or second joint) inventor: David Matthew Landry Inventor's Signature: Date: 11 Apr 2011 Residence: Austin, Texas Citizenship: U.S.A. Post Office Address: 815A Brazos Street, Apt. 342 Austin, Texas 78701



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1	APPLICATION	FILING or	GRP ART				
	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
•	13/085.016	04/12/2011	2614	462	1520-0013	20	3

**CONFIRMATION NO. 1249** 

89320 Polansky & Associates, P.L.L.C. 12117 Bee Caves Road Suite 160 Austin, TX 78738

\*OC00000047280297\*

FILING RECEIPT

Date Mailed: 04/26/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Harold S. Mindlin, Austin, TX; David Matthew Landry, Austin, TX;

**Assignment For Published Patent Application** 

AUDIOTONIQ, INC., Austin, TX

Power of Attorney: The patent practitioners associated with Customer Number 89320

Domestic Priority data as claimed by applicant

This appln claims benefit of 61/323,841 04/13/2010 and claims benefit of 61/350.759 06/02/2010

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 04/20/2011

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 13/085,016** 

Projected Publication Date: 10/13/2011

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title** 

System and Method of Progressive Hearing Device Adjustment

# **Preliminary Class**

381

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875									Applica 13/08	tion or Docket Nun 5,016	nber
	APP	LICATION A	S FILE		umn 2)		SMALL	ENTITY	OR		R THAN ENTITY
	FOR	NUMBE	R FILE	D NUMBE	R EXTRA		RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)
	SIC FEE FR 1.16(a), (b), or (c))	N	I/A	N	I/A	T	N/A	82	1	N/A	
SEA	RCH FEE FR 1.16(k), (i), or (m))	N	l/A	١	I/A	Ī	N/A	270	1	N/A	
	MINATION FEE FR 1.16(o), (p), or (q))	N	I/A	١	I/A	T	N/A	110	1	N/A	
	AL CLAIMS FR 1.16(i))	20	minus	20= *		-	x 26 =	0.00	OR		
IND	PENDENT CLAIM	<sup>/S</sup> 3	minus	3 = *			x 110 =	0.00	1		
APPLICATION SIZE FEE (37 CFR 1.16(s))  If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$270 (\$135 for small entity) for each additiona 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			ze fee due is ch additional			0.00					
MUI	TIPLE DEPENDE	NT CLAIM PRE	SENT (3	7 CFR 1.16(j))		Ī		0.00	1		
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NT A		(Column 1)  CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	Ī	SMALL RATE(\$)	ADDITIONAL FEE(\$)	OR	OTHEF SMALL RATE(\$)	ATHAN ENTITY  ADDITIONAL FEE(\$)
ME	Total (37 CFR 1.16(i))	*	Minus	**	=	-	x =		OR	x =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	-	x =		OR	x =	
AME	Application Size Fe	e (37 CFR 1.16(s)				T			1		
	FIRST PRESENTA	TION OF MULTIP	LE DEPEN	IDENT CLAIM (37 C	CFR 1.16(j))				OR		
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		(Column 1)		(Column 2)	(Column 3)				_		
NT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
ME	Total (37 CFR 1.16(i))	*	Minus	**	=	1	x =		OR	x =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	[	x =		OR	x =	
AM	Application Size Fe	e (37 CFR 1.16(s)	1						]		
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*	<ul><li>* If the entry in co</li><li>* If the "Highest N</li><li>* If the "Highest Nu</li></ul>	umber Previous	ly Paid F	or" IN THIS SPA	CE is less than	20,	, enter "20".				

The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.



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APPLICATION NUMBER FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE FILING OR 371(C) DATE 13/085,016 04/12/2011

Harold S. Mindlin

1520-0013 **CONFIRMATION NO. 1249** 

89320 Polansky & Associates, P.L.L.C. 12117 FM 2244 3-160 Austin, TX 78738



**PUBLICATION NOTICE** 

Title:System and Method of Progressive Hearing Device Adjustment

Publication No.US-2011-0249839-A1

Publication Date: 10/13/2011

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part/88880 (11-08) Approved for use suggest 11/30/2011, CR69 0661-0035 Accordance for the amought of the NY OF COMMERCE.

U.S. Patient and Endermark Officer U.S. DEPARTMENT OF COMMERCE.

# U.S. Patert and Protestation (U.S. Liberton Min. 1 or Consecutive). U.S. Patert and Protestation Colors of Min. 1 or Consecutive (U.S. Patert and Protestation Liberton) and Colors of Co POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO Thereby revoke all provious powers of afterney given in the application densitied in the attached statement under 37 CFR 3.73(9) Thereby appoint: 29150 Prouditioners associated with the Customer Number: Practitionaria numed below (if more than ten patent practitioners are to be named, then a customer number must be used): Registration Numbili Registration Number Name as attendays) or equat(s) to represent the undersigned before the United Status Platent and Trademark Cifice (USPTO) is connection with any and all pattern applications assigned only to the undersigned according to the USPTO assignment records or assignment documents and status to this term in proceedings used. TY out 5 5 204.1 Please change the correspondence address for the application identified in the attached statement under 57 CFR 3.78(b) to: emached to this form in accordance with 37 CFR 3.73(b) 29150 The address associated with Customer Number: New . Lee & Hayes PLLC ORFam or J inclusional factor 601 West Riverside Avenue, Suite 1400 99201 333355A WA Spokerre City Emili (HDocket@techayes.com) US Çotaniy 509-324-9250 Percentage Assignee Name and Address: Audioloniq, Inc. 206 Wild Basin Road #220 A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/S8/96 or equivalent) is required to be Austin, TX 78746 filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed. SIGNATURE of Assignee of Record The individual winder agreeture and time is specified before a serification to set on behalf of the autispice Telephone Signatore RUSE ACTE Name

This collection of information is required by \$7.059 + 31, 1.52 and 1.55. The information a required to obtain a benefit by the public which is to the rank by the fulfill and 1.16. This collection is estimated to take 3 are taken in the USETO to process) an approximan. Confidentially is governed by 35.0 s.c. 122 and 37.059 1.11 and 1.16. This collection is estimated to take 3 are provided in process) an approximant and the process are provided in the confidential and the provided into the confidential and the provided and the provided in the confidential and the provided into the confidential and the provided and the confidential a in the control of society, we exposition. Concentedly is succeived by suitable from to the USPTO. This will vary depending upon the interdust case. Any in complete, including generating, and submitting the concented application from to the USPTO. This will vary depending upon the interdust case. Any to complete, including generals, properties, and supporting the completes approximately to the USFTO, these we very depending upon the intervals case. My comments on the amount of the year require to complete this form analysis suggestions for reducing this burden, should be sent to the Chief Intervalso Officer, comments on the amount of the year require to complete this form analysis are presented to the comment of Comments. P.C. Sox 1450, Alexandria, VA 22313-1450. 52 PTO/SB/96 (07-09)
Approved for use through 07/31/2012. OMB 0651-0031

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Atty Docket No: **A046 - 0025US** 

STATEMENT UNDER 37	CFR 3.73(b)
Applicant/Patent Owner: Harold S Mindlin II	
Application No./Patent No.: 13/085,016 Fil	ed/Issue Date: 4/12/2011
Titled: System and Method of Progressive Hearing Device Adjustment	
Audiotoniq, Inc. , a corporation	
(Type of Assignee)	nee, e.g., corporation, partnership, university, government agency, etc.
states that it is:	
1.  the assignee of the entire right, title, and interest in;	
2. an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is	%); or
3.	ete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:	
A. An assignment from the inventor(s) of the patent application/pathe United States Patent and Trademark Office at Reel opp therefore is attached.	tent identified above. The assignment was recorded in 18, Frame0905, or for which a
OR  B. A chain of title from the inventor(s), of the patent application/pat	tent identified above, to the current assignee as follows:
1. From:	To:
The document was recorded in the United States Pat	ent and Trademark Office at
Reel, Frame	, or for which a copy thereof is attached.
2. From:	То:
The document was recorded in the United States Pat	
Reel, Frame	, or for which a copy thereof is attached.
3. From:	To:
The document was recorded in the United States Pat	
Reel, Frame	, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supple	emental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of or concurrently is being, submitted for recordation pursuant to 37 CF	
[NOTE: A separate copy (i.e., a true copy of the original assignment accordance with 37 CFR Part 3, to record the assignment in the record	
The undersigned (whose title is supplied below) is authorized to act on beh	alf of the assignee.
/Andrew Eisenberg/	5/17/2012
Signature	Date
Andrew L. Eisenberg Reg. No. 69625	Attorney
Printed or Typed Name 512-456-5140	Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Electronic Acl	knowiedgement Receipt
EFS ID:	12813749
Application Number:	13085016
International Application Number:	
Confirmation Number:	1249
Title of Invention:	System and Method of Progressive Hearing Device Adjustment
First Named Inventor/Applicant Name:	Harold S. Mindlin
Customer Number:	89320
Filer:	David Alan Divine/Cherri Simon
Filer Authorized By:	David Alan Divine
Attorney Docket Number:	1520-0013
Receipt Date:	18-MAY-2012
Filing Date:	12-APR-2011
Time Stamp:	14:17:32
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment	no
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# File Listing:

Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	UQ7023.PDF	746551 11b3439668ad0248822b1cf68101eb7a8fc 42aef	no	2

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# Information:

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE A046 - 0025US

13/085,016

04/12/2011

Harold S. Mindlin

**CONFIRMATION NO. 1249** 

**POA ACCEPTANCE LETTER** 

29150 LEE & HAYES, PLLC 601 W. RIVERSIDE AVENUE **SUITE 1400** SPOKANE, WA 99201

Date Mailed: 05/25/2012

# NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 05/18/2012.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/ttkim/	

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89320

# United States Patent and Trademark Office

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APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

13/085,016 04/12/2011

Harold S. Mindlin 1520-0013

CONFIRMATION NO. 1249 POWER OF ATTORNEY NOTICE

\*OC00000054434946\*

Date Mailed: 05/25/2012

Polansky & Associates, P.L.L.C. 12117 FM 2244 3-160 Austin, TX 78738

# NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 05/18/2012.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/ttkim/						
		-				
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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
13/085,016	04/12/2011	Harold S. Mindlin	A046 - 0025US	1249		
<sup>29150</sup> LEE & HAYES	7590 04/04/201 S, PLLC	3	EXAM	INER		
	SIDE AVENUE		MCCARTY, TAUNYA A			
SPOKANE, WA	A 99201	ART UNIT	PAPER NUMBER			
			2651			
			NOTIFICATION DATE	DELIVERY MODE		
			04/04/2013	ELECTRONIC		

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

lhpto@leehayes.com

	Application No.	Applicant(s)				
	13/085,016	MINDLIN ET AL.				
Office Action Summary	Examiner	Art Unit				
	Taunya McCarty	2651				
The MAILING DATE of this communication app	-					
Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1)⊠ Responsive to communication(s) filed on 12 A	pril 2011					
	action is non-final.					
3) An election was made by the applicant in response		set forth during the interview on				
; the restriction requirement and election	·	<del>-</del>				
4) Since this application is in condition for allowar	•					
closed in accordance with the practice under E	•					
•	.x parte Quayre, 1909 G.D. 11, 40	0.0.210.				
Disposition of Claims						
5) Claim(s) <u>1-20</u> is/are pending in the application.						
5a) Of the above claim(s) is/are withdraw	wn from consideration.					
6) Claim(s) is/are allowed.						
7)⊠ Claim(s) <u>1-20</u> is/are rejected.	Claim(s) <u>1-20</u> is/are rejected.					
8) Claim(s) is/are objected to.						
9) Claim(s) are subject to restriction and/o	r election requirement.					
* If any claims have been determined <u>allowable</u> , you may program at a participating intellectual property office for thttp://www.uspto.gov/patents/init_events/pph/index.jsp.oo	he corresponding application. For	more information, please see				
Application Papers						
10) ☐ The specification is objected to by the Examine	r.					
11) The drawing(s) filed on 12 April 2011 is/are: a)		by the Examiner.				
Applicant may not request that any objection to the						
Replacement drawing sheet(s) including the correct						
Priority under 35 U.S.C. § 119		, <i>,</i>				
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	-(d) or (f).				
a) ☐ All b) ☐ Some * c) ☐ None of:						
<ol> <li>Certified copies of the priority document</li> </ol>	s have been received.					
<ol><li>Certified copies of the priority document</li></ol>	2. Certified copies of the priority documents have been received in Application No					
3. Copies of the certified copies of the prior	ity documents have been receive	d in this National Stage				
application from the International Bureau	ı (PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list	of the certified copies not receive	d.				
Attachment(s)	<u>_</u>					
1) Notice of References Cited (PTO-892)	3) Interview Summary					
Paper No(s)/Mail Date  Paper No(s)/Mail Date  Other:						

Art Unit: 2651

# **DETAILED ACTION**

# Claim Objections

1. Claims 5, 6, and 14 are objected to because of the following informalities:

Regarding claim 5, for the sake of consistency, "the plurality of hearing correction profiles" (lines 6-7) should be changed to "the plurality of hearing correction filters".

Regarding claim 6, "the plurality of incremental hearing corrections" (lines 1-2) should be changed to "a plurality of incremental hearing corrections".

Regarding claim 14, "provide a signal" (lines 7) should be changed to "provide a first signal".

Appropriate correction is required.

#### Claim Rejections - 35 USC § 101

2. The following is a quotation of 35 U.S.C. 101 that forms the basis for the rejections under this section made in this Office action:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

3. Claims 10-13 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

The specification fails to define the term "medium", therefore claim 10 has been given the broadest reasonable interpretation of a computer readable medium (also called machine readable medium and other such variations) which typically covers forms of non-transitory tangible media and transitory propagating signals per se in view of the ordinary and customary meaning of computer readable media, particularly when the specification is silent. When the

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broadest reasonable interpretation of a claim covers a signal per se, the claim must be rejected

under 35 U.S.C. § 101 as covering non-statutory subject matter. See In re Nuijten, 500 F.3d

1346, 1356-57 (Fed. Cir. 2007) (transitory embodiments are not directed to statutory subject

matter) and Interim Examination Instructions for Evaluating Subject Matter Eligibility Under 35

U.S.C. § 101, Aug. 24, 2009; p. 2.

Claim 10 may be amended to narrow the claim to cover only statutory embodiments to

avoid a rejection under 35 U.S.C. § 101 by adding the limitation "non-transitory" to the claim.

Cf. Animals-Patentability, 1 077 Off. Gaz. Pat. Office 24 (April 21, 1987). Such an amendment

would typically not raise the issue of new matter, even when the specification is silent because

the broadest reasonable interpretation relies on the ordinary and customary meaning that includes

signals per se. The limited situations in which such an amendment could raise issues of new

matter occur, for example, when the specification does not support a non-transitory embodiment

because a signal per se is the only viable embodiment such that the amended claim is

impermissibly broadened beyond the supporting disclosure. See, e.g., Gentry Gallery, Inc. v.

Berkline Corp., 134 F.3d 1473 (Fed. Cir. 1998).

Claims 11-13 are rejected because they are dependent claims of the rejected claim 10.

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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5. Claims 1, and 4 are rejected under 35 U.S.C. 102(b) as being anticipated by Janssen

(U.S. Patent Application Publication 2005/036637; hereafter **Janssen**).

Regarding claim 1, Janssen a hearing aid comprising:

a microphone to convert sound into an electrical signal (2 of Fig. 1);

a processor coupled to the microphone, the processor configured to apply a selected one

of a sequence of incremental hearing corrections to the electrical signal to produce a modulated

output signal to at least partially compensate for a hearing impairment of a user (4 of Fig. 1); and

a speaker coupled to the processor and configured to convert the modulated output signal

into an audible sound (3 of Fig. 1).

Regarding claim 4, Janssen further discloses further comprising a memory to store a

hearing aid profile configured to compensate for the hearing impairment of the user to produce

the modulated output signal at a level corresponding to a corrected hearing level of the user. (5 of

Fig. 1)

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are

such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the

manner in which the invention was made.

7. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459

(1966), that are applied for establishing a background for determining obviousness under 35

U.S.C. 103(a) are summarized as follows:

Art Unit: 2651

1. Determining the scope and contents of the prior art.

2. Ascertaining the differences between the prior art and the claims at issue.

3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness

Page 5

or nonobviousness.

8. Claims 2, 3, and 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over

**Janssen** in view of **Davis et al.** (U.S. Patent 6574342; hereafter **Davis**).

Regarding claim 2, **Janssen** discloses all of the limitations on which claim 2 depends.

However, **Janssen** may not specifically disclose wherein each of the incremental hearing corrections comprises a collection of acoustic configuration settings configured to modulate the electrical signal to a level that is within a range between an uncompensated hearing level of the

In a similar field of endeavor, **Davis** teaches a hearing aid fitting system.

user and a corrected hearing level of the user to produce the modulated output signal.

Specifically, **Davis** teaches "A method for fitting a hearing compensation device according to the present invention comprises selecting a plurality of loudness levels for a plurality of frequencies and comparing each loudness level for each frequency for perceived sameness. The loudness levels may then be adjusted as needed to achieve perceived sameness across the frequency spectrum. A gain curve for each frequency is calculated from the selected plurality of loudness levels." (col. 3, lines 3-10)

**Davis** further teaches "In the perceived loudness interface 10, loudness curves 12 representing various loudness levels are displayed on a graph with a horizontal axis representing frequency in Hertz, the vertical axis representing loudness in decibels. Each of the loudness curves 12 indicate a perceived level of loudness, from very soft to uncomfortably loud, across the entire frequency spectrum." (Fig. 1; col. 4, lines 4-10)

Art Unit: 2651

Therefore, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify Janssen by providing levels of loudness as taught by Davis for

the purpose of providing a collection of acoustic configuration settings to modulate a signal to a

level that is between an uncompensated hearing level of the user and a corrected hearing level of

the user. This would be desirable in order to provide a hearing device user with a good fitting.

Regarding claim 3, Davis further discloses wherein the sequence of the incremental

hearing corrections comprises:

a first hearing correction configured to modulate the electrical signal to a first level that is

within the range; and

at least one second hearing correction configured to modulate the electrical signal to a

second level that is within a second range between the first level and the connected hearing level

of the user. (Figs. 1 and 3; col. 5, lines 7-14)

Regarding independent claim 10, the claim, is similar in scope to the rejected claims 1, 2

and 3.

It is noted that although the combination of Janssen and Davis does not expressly

disclose a computer readable medium, one of ordinary skill in the art would recognize the

limitations may be provided through software and/or hardware.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify the combination of Janssen and Davis by providing a computer

readable medium comprising instructions executed by a processor.

9. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Janssen**.

Art Unit: 2651

Regarding claim 6, **Janssen** discloses all of the limitations on which claim 6 depends.

Page 7

However, **Janssen** may not specifically disclose wherein the memory includes the plurality of incremental hearing corrections.

Nevertheless, it is well known in the art and one of ordinary skill in the art would recognize that several or various types of information or data may be stored in memory.

Therefore, for lack of any criticality, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify **Janssen** by storing the plurality of incremental hearing corrections in memory for the purpose of being able to access correction data as desired.

10. Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Janssen** in view of **Sacha** (U.S. Patent Application Publication 2003/0215105; hereafter **Sacha**).

Regarding claim 5, **Janssen** discloses all of the limitations on which claim 5 depends.

However, **Janssen** may not specifically disclose wherein the memory stores a plurality of hearing correction filters;

wherein the processor is configured to selectively apply particular ones of the plurality of hearing correction filters to the hearing aid profile to generate the selected one of the plurality of incremental hearing corrections; and

wherein the processor selectively applies another one of the plurality of hearing correction profiles to the hearing aid profile to generate another one of the plurality of incremental hearing corrections after a period of time.

Art Unit: 2651

In a similar field of endeavor, Sacha teaches a hearing aid with time varying

performance.

Specifically, Sacha teaches "Most often, a new hearing aid user is not fitted with the

optimal target response at the first audiologist visit. This is because a patient with a hearing

deficit that is suddenly compensated at an optimal level may find the new sounds uncomfortable

or even intolerable until adaptation occurs. Patients initially fitted with optimal compensation

may even discontinue using their hearing aid. Therefore, it is common practice for the

audiologist to initially fit the hearing aid with a sub-optimal degree of compensation which is

then ramped up to the optimal level during subsequent fittings at a rate the patient finds

comfortable." (Fig. 1; ¶3)

Therefore, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify Janssen by providing hearing aid compensation which is then

ramped up to an optimal level as taught by Sacha for the purpose of providing a first-time

hearing aid user a more comfortable hearing aid transition.

Regarding claims 7, 8 and 9, Sacha further discloses further comprising:

a transceiver coupled to the processor and configurable to communicate with a computing

device through a communication channel during operation, the transceiver to receive a signal

from the computing device and to provide the signal to the processor; wherein the processor

applies the selected one of the sequence of incremental hearing corrections in response to

receiving the signal (claim 7); wherein the signal includes the selected one of the sequence of

incremental hearing corrections (claim 8); and further comprising a memory to store the

sequence of incremental hearing corrections; and wherein the signal includes an indicator

Page 9

Application/Control Number: 13/085,016

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identifying the selected one of the incremental hearing corrections within the sequence; and

wherein, in response to receiving the signal, the processor retrieves the selected one of the

incremental hearing corrections from the memory and applies the selected one to the electrical

signals (claim 9). (Fig. 1; ¶12)

11. Claims 11-13 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Janssen** 

and Davis, and further in view of Sacha.

Regarding claim 11, the combination of Janssen and Davis discloses all of the

limitations on which claim 11 depends.

However, the combination of Janssen and Davis may not specifically disclose wherein

the pre-determined threshold is configurable by a user.

In a similar field of endeavor, **Sacha** teaches a hearing aid fitting system as stated earlier.

Specifically, Sacha teaches "The programming interface 210 allows user input of data to

a parameter modifying area of the memory 220 so that parameters affecting device operation

may be changed." (Fig. 1 and ¶8)

Therefore, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify the combination of Janssen and Davis by providing an interface

that allows user input as taught by Sacha for the purpose of providing a user configurable

device.

Regarding claim 12, which is similar in scope to rejected claim 7, is interpreted and

rejected in the same manner and for the same reasons as stated above regarding claim 7.

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Regarding claim 13, which is similar in scope to rejected claim 2, is interpreted and

Page 10

rejected in the same manner and for the same reasons as stated earlier regarding claim 2.

12. Claims 14 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over

**Janssen** in view of **Topholm** (U.S. Patent 5,202,927; hereafter **Topholm**).

Regarding independent claim 14, **Janssen** discloses

a transceiver configurable to communicate with a hearing aid through a communication

channel (21 of Fig. 1); and

a processor coupled to the transceiver (Fig. 1).

However Janssen, does not expressly disclose a memory coupled to the processor and

configured to store instructions that, when executed by the processor, cause the processor to:

provide a signal related to a first hearing correction of a sequence of incremental hearing

corrections to the hearing aid through the communication channel; and provide a second signal

related to a next hearing correction of the sequence to the hearing aid when a period of time

exceeds a threshold time increment.

In a similar field of endeavor, **Topholm** teaches a remote-controllable, programmable,

hearing aid system.

Specifically, Topholm teaches "FIG. 1 shows a remote-controllable, programmable

hearing aid system which consists of an external control unit 1 and an actual hearing aid 2" (Fig.

1; col. 1, lines 50-52)

Therefore, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify **Janssen** by a device with a memory coupled to a processor as

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taught by Topholm for the purpose of having a memory coupled to the processor and configured

to store instructions that, when executed by the processor, cause the processor to: provide a

signal related to a first hearing correction of a sequence of incremental hearing corrections to the

hearing aid through the communication channel; and provide a second signal related to a next

hearing correction of the sequence to the hearing aid when a period of time exceeds a threshold

time increment.

Regarding claim 15, Janssen further discloses wherein the memory stores further

instructions that, when executed by the processor, cause the processor to: initiate a timer to

determine the period of time; iteratively select and provide selection signals related to

subsequent ones of the incremental hearing corrections from the sequence to the hearing aid

when the period of time exceeds the threshold time increment; and reset and restart the timer

when each of the subsequent ones of the incremental hearing corrections is provided to the

hearing aid. (repeat interval 25 of Fig. 1)

13. Claims 16-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Janssen** 

and Topholm, and further in view of Sacha.

Regarding claim 16, the combination of Janssen and Davis discloses all of the

limitations on which claim 16 depends.

However, the combination of Janssen and Davis may not specifically disclose wherein

the threshold time increment varies with each of the incremental hearing corrections.

In a similar field of endeavor, **Sacha** teaches a hearing aid fitting system as stated earlier.

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Page 12

Specifically, Sacha teaches "The device may be programmed to select a signal

processing parameter set for specifying to the signal processing circuit from a group of such

parameter sets in a defined sequence based upon elapsed operating time intervals as measured by

a timer or upon a specified number of detected power events representing the device being

turned on.." (Fig. 1 and ¶4)

Therefore, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify the combination of Janssen and Davis by providing the teachings

of **Sacha** for the purpose of a variable device.

Regarding claim 17, Sacha further discloses wherein the first signal and the second

signal comprise triggers to initiate an adjustment to a. currently selected incremental hearing

correction executing on the hearing aid. (¶9: "As described above, the patient's hearing deficit is

compensated by selectively amplifying those frequencies at which the patient has a below

normal hearing threshold. Other signal processing functions may also be performed in particular

embodiments. The embodiment illustrated in FIG. 1, for example, also includes a gain control

module 130 and a noise reduction module 135. The gain control module 130 dynamically adjusts

the amplification in accordance with the amplitude of the input signal.")

Regarding claim 18, Sacha further discloses wherein the first hearing correction and the

next hearing correction comprise collections of acoustic configuration settings for the hearing aid

for modulating an audio output signal to compensate for a hearing impairment of a user, the next

hearing correction representing an adjustment configured to modulate the audio output signal to

an output level that is closer to a corrected hearing level than a first adjustment associated with

the first hearing correction. (¶11: "The programmable controller specifies one or more signal

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processing parameters to the filtering and amplifying module and/or other signal processing

modules that determine the manner in which the input signal IS is converted into the output

signal OS.")

Regarding claim 19, Sacha further discloses wherein the first signal and the second

signal include the first hearing correction and the next hearing correction, respectively. (¶11)

Regarding claim 20, Sacha further discloses, wherein the memory further comprises

instructions that, when executed by the processor, cause the processor to progressively advance

through the sequence of the incremental hearing corrections by providing each of the incremental

hearing corrections to the hearing aid, one at a time, over a sequence of time increments to

provide a progressive hearing aid adjustment from an uncompensated hearing level to a corrected

hearing level to aid in the user in acclimating to the hearing aid. (¶3)

Conclusion

14. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Taunya McCarty whose telephone number is (571)270-3692.

The examiner can normally be reached on M-F, 8:00 AM-5:00 PM (EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Yuwen Pan can be reached on 571-272-7855. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks P.O. Box 1450

Alexandria, VA 22313-1450

Or faxed to:

Art Unit: 2651

(571) 273-8300, for formal communications intended for entry and for informal or draft

communications, please label "PROPOSED" or "DRAFT".

Hand-delivered responses should be brought to:

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Alexandria, VA 22314

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Application Information Retrieval (PAIR) system. Status information for published applications

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system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

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like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Taunya McCarty/

Examiner, Art Unit 2651

/DUC NGUYEN/

Supervisory Patent Examiner, Art Unit

2651

# Notice of References Cited Application/Control No. 13/085,016 Examiner Taunya McCarty Applicant(s)/Patent Under Reexamination MINDLIN ET AL. Page 1 of 1

# U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,574,342	06-2003	Davis et al.	381/314
*	В	US-2003/0215105	11-2003	Sacha, Mike K.	381/312
*	С	US-5,202,927	04-1993	Topholm, Jan	381/315
	D	US-			
	Е	US-			
	H	US-			
	G	US-			
	Ι	US-			
	-	US-			
	7	US-			
	K	US-			
	L	US-			
	М	US-			

## FOREIGN PATENT DOCUMENTS

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#### **NON-PATENT DOCUMENTS**

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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		13085016 - GAU: 2651			
	Filing Date					
	First Named Inventor	Harole	old S. Mindlin II			
	Art Unit					
(Notice submission under or or it not)	Examiner Name					
	Attorney Docket Number	er	1520-0013			

U.S.PATENTS Remove										
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	)ate	of cited Document			Columns,Lines wher int Passages or Rele s Appear	
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Examiner Initial*	Examiner Initial*  Cite No  Publication Number  Kind Code¹  Publication Date  Name of Patentee or Applicant of cited Document  Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear									
	1	20050036637		2005-02	2-17	Janssen				
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		Application Numpaper		13085016 - GA	.U: 2651		
		Filing Date					
INFORMATION		First Named Inventor	Harolo	d S. Mindlin II			
(Not for submission u		Art Unit					
( NOT 101 SUBMISSION C	inder 07 OFK 1.99)	Examiner Name					
		Attorney Docket Numb	er	1520-0013			
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		EXAMINER SIGNA	ΓURE				
Examiner Signature /Taunya McCarty/ Date Considered 03/25/2013							
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
Standard ST.3). 3 For Japane	ese patent documents, the ind propriate symbols as indicated	SPTO.GOV or MPEP 901.04. <sup>2</sup> lication of the year of the reign of d on the document under WIPO	of the En	nperor must precede the ser	rial number of the patent doc	ument.	

### Index of Claims



Application/Control No.	Applicant(s)/Patent Under Reexamination
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TAUNYA MCCARTY	2651

✓	Rejected
=	Allowed

-	Cancelled
÷	Restricted

Z	Non-Elected
-	Interference

Α	Appeal
0	Objected

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.							R.1.47				
CL	AIM		DATE								
Final	Original	03/25/2013									
	1	✓									
	2	✓									
	3	✓									
	4	✓									
	5	<b>√</b>									
	6	✓									
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	16	✓									
	17	<b>√</b>									
	18	<b>✓</b>									
	19	<b>√</b>									
	20	<b>✓</b>									

### **EAST Search History**

#### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1		appliance or apparatus or piece or unit or	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 16:31
L2	1671	1 and (program\$5 adj (device or module))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 16:32
L3	501	381/314.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 16:32
L4	117	2 and 3	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 16:32
S1	1	((HAROLD) near2 (MINDLIN)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2013/03/25 12:25
S2	51	(((DAVID) near2 (LANDRY)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2013/03/25 12:26

 $3/\ 25/\ 2013\ 4:35:01\ PM$  C:\ Users\ tmccarty\ Documents\ EAST\ Workspaces\ 13085016.wsp



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### **BIB DATA SHEET**

#### **CONFIRMATION NO. 1249**

APPLICANTS Harold S. Mindlin, Austin, TX; David Matthew Landry, Austin, TX; This appln claims benefit of 61/323,841 04/13/2010 and claims benefit of 61/350,759 06/02/2010  **FOREIGN APPLICATIONS***  **IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** SMALL ENTITY ** 04/20/2011  Foreign Priority claimed	SERIAL NUM	IBER	BER FILING or 371(c) CLASS GF						ROUP ART UNIT ATTORNEY DOC			
APPLICANTS Harold S. Mindlin, Austin, TX; David Matthew Landry, Austin, TX;  *** CONTINUING DATA***********************************	13/085,01	6				381		2651		A		
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*** FOREIGN APPLICATIONS ************************************	Harold S. Mindlin, Austin, TX; David Matthew Landry, Austin, TX;  *** CONTINUING DATA ******************************  This appln claims benefit of 61/323,841 04/13/2010											
*** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 04/20/2011  Foreign Priority claimed				•								
Foreign Priority claimed	** IF REQUIRE	D, FOR					LL EN	NTITY **				
Acknowledged Examiner's Signature Initials  ADDRESS  LEE & HAYES, PLLC 601 W. RIVERSIDE AVENUE SUITE 1400 SPOKANE, WA 99201 UNITED STATES  TITLE  System and Method of Progressive Hearing Device Adjustment  FILING FEE RECEIVED  FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT    All Fees	Foreign Priority claimed Yes No 35 USC 119(a-d) conditions met Yes No Verified and /TAUNYA A			☐ Met af Allowa	ter ance	COUNTRY	_	WINGS	CLAII	MS		
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# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
13085016	MINDLIN ET AL.
Examiner	Art Unit
TAUNYA MCCARTY	2651

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED		
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED			
Examiner			

SEARCH NOTES		
Search Notes	Date	Examiner
Inventor search (EAST)	03/25/2013	tam
EAST (381/314)(combination text and class/subclass and picture)	03/25/2013	tam

INTERFERENCE SEARCH			
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner

/TAUNYA MCCARTY/ Examiner.Art Unit 2651	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO)		
Application Serial Number	13/085,016	
Confirmation Number	1249	
Filing Date	April 12, 2011	
Title of Application	System and Method of Progressive	
	Hearing Device Adjustment	
First Named Inventor	Harold S Mindlin II	
Assignee	Audiotoniq, Inc.	
Group Art Unit	2651	
Examiner	Taunya A. McCarty	
Attorney Docket Number	A046-0025US	

To: Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

From: Andrew L. Eisenberg (Tel. 509-324-9256; Fax 509-323-8979)

Lee & Hayes, PLLC

601 W. Riverside Ave, Suite 1400

Spokane, WA 99201

**Customer Number 29150** 

### Response to April 4, 2013 Non-Final Office Action

Applicant's representative pays fees through the EFS Web; however, Applicant's representative hereby authorizes the Commissioner to charge any deficiency of fees and credit any overpayments to Deposit Account Number 12-0769.

Claim Amendments begin on page 2 of this document.

A Statement of Substance of Interview begins on page 9 of this document.

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**Remarks** begin on page 10 of this document.

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**CLAIM AMENDMENTS** 

1. (Currently Amended) A hearing aid comprising:

a microphone to convert sound into an electrical signals;

a speaker to output audible sound;

a processor; and

a memory to store instructions, which when executed by the processor, cause the

processor to:

-coupled to the microphone, the processor configured to

apply a selected first one of a sequence of incremental hearing corrections to the

electrical signals to produce a modulated output signal to at least partially compensate for a

hearing impairment of a user when output by the speaker; and

select a second one of the sequence of incremental hearing corrections in response to

receiving a trigger, the second one being designated to follow the first one in the sequence of

incremental hearing corrections; and

cause the speaker to output an alert when a final one of the sequence of incremental

hearing corrections is being applied, the final one being the last hearing correction of the

sequence of incremental hearing corrections.

a speaker coupled to the processor and configured to convert the modulated output

signal into an audible sound.

2. (Original) The hearing aid of claim 1, wherein each of the incremental hearing

corrections comprises a collection of acoustic configuration settings configured to modulate

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Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg -2-

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the electrical signal to a level that is within a range between an uncompensated hearing level

of the user and a corrected hearing level of the user to produce the modulated output signal.

3. (Currently Amended) The hearing aid of claim 2, wherein the sequence of the

incremental hearing corrections comprises:

[a] the first hearing correction one of the sequence of incremental hearing corrections

is configured to modulate the electrical signal to a first level that is within the range; and

at least one second one of the sequence of incremental hearing corrections is hearing

correction-configured to modulate the electrical signal to a second level that is within a second

range between the first level and the corrected hearing level of the user.

4. (Original) The hearing aid of claim 1, further comprising a memory to store a hearing

aid profile configured to compensate for the hearing impairment of the user to produce the

modulated output signal at a level corresponding to a corrected hearing level of the user.

5. (Currently Amended) The hearing aid of claim 4, wherein the memory stores a plurality

of hearing correction filters;

wherein the processor is configured to selectively apply particular ones of the plurality

of hearing correction filters to the hearing aid profile to generate the selected one of the plurality

of incremental hearing corrections; and

wherein the processor selectively applies another one of the plurality of hearing

correction profiles to the hearing aid profile to generate another one of the plurality of

incremental hearing corrections after a period of time.

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Atty/Agent: Andrew L. Eisenberg

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6. (Currently Amended) The hearing aid of claim 4, wherein the memory includes the a

plurality of incremental hearing corrections.

7. (Original) The hearing aid of claim 1, further comprising:

a transceiver coupled to the processor and configurable to communicate with a

computing device through a communication channel during operation, the transceiver to receive

a signal from the computing device and to provide the signal to the processor;

wherein the processor applies the selected one of the sequence of incremental hearing

corrections in response to receiving the signal.

8. (Original) The hearing aid of claim 7, wherein the signal includes the selected one of

the sequence of incremental hearing corrections.

9. (Original) The hearing aid of claim 7, further comprising a memory to store the

sequence of incremental hearing corrections; and

wherein the signal includes an indicator identifying the selected one of the incremental

hearing corrections within the sequence; and

wherein, in response to receiving the signal, the processor retrieves the selected one of

the incremental hearing corrections from the memory and applies the selected one to the

electrical signals.

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Atty/Agent: Andrew L. Eisenberg

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10. (Currently Amended) A computer-readable medium device comprising instructions that,

when executed by a processor, cause the processor to:

apply a first hearing correction to an electrical signal to produce a modulated output

signal, the first hearing correction to partially compensate for hearing impairment of a user to a

first level that is within a range between an uncompensated hearing level of the user and a

corrected hearing level of the user;

determine an amount of time during which the first hearing correction is applied; and

selectively apply at least one second hearing correction to the electrical signal to

produce the modulated output signal when the amount of time exceeds a pre-determined

threshold, the pre-determined threshold is programmable by the user.

11. (Currently Amended) The computer-readable medium device of claim 10, wherein the

pre-determined threshold is configurable by a user.

12. (Currently Amended) The computer-readable medium device of claim 10, further

comprising second instructions that, when executed by the processor, cause the processor to

receive the first hearing correction and the at least one second hearing correction from a

transceiver configured to communicatively couple to a computing device during operation.

13. (Currently Amended) The computer-readable <del>medium</del> device of claim 10, further

comprising second instructions that, when executed by the processor, cause the processor to

dynamically generate the first hearing correction and the at least one second hearing

correction based on at least one of the hearing impairment of the user and a hearing aid

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Atty/Agent: Andrew L. Eisenberg

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profile including a collection of acoustic configuration settings for producing the modulated

output signal at the corrected hearing level.

14. (Currently Amended) A computing device comprising:

a transceiver configurable to communicate with a hearing aid through a

communication channel;

a processor coupled to the transceiver; and

a memory coupled to the processor and configured to store instructions that, when

executed by the processor, cause the processor to:

provide a first signal related to a first hearing correction of a sequence of

incremental hearing corrections to the hearing aid through the communication

channel; and

provide a second signal related to a next second hearing correction of the

sequence to the hearing aid in response to receiving a selection of the second hearing

correction from a user of the hearing aid when a period of time exceeds a threshold

time increment.

15. (Original) The computing device of claim 14, wherein the memory stores further

instructions that, when executed by the processor, cause the processor to:

initiate a timer to determine the period of time;

iteratively select and provide selection signals related to subsequent ones of the

incremental hearing corrections from the sequence to the hearing aid when the period of time

exceeds the threshold time increment; and

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Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg -6-

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reset and restart the timer when each of the subsequent ones of the incremental hearing

corrections is provided to the hearing aid.

16. (Original) The computing device of claim 14, wherein the threshold time increment

varies with each of the incremental hearing corrections.

17. (Original) The computing device of claim 14, wherein the first signal and the second

signal comprise triggers to initiate an adjustment to a currently selected incremental hearing

correction executing on the hearing aid.

18. (Currently Amended) The computing device of claim 14, wherein the first hearing

correction and the next second hearing correction comprise collections of acoustic

configuration settings for the hearing aid for modulating an audio output signal to

compensate for a hearing impairment of a user, the next second hearing correction

representing an adjustment configured to modulate the audio output signal to an output level

that is closer to a corrected hearing level than a first adjustment associated with the first

hearing correction.

19. (Currently Amended) The computing device of claim 14, wherein the first signal and

the second signal include the first hearing correction and the next-second hearing correction,

respectively.

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20. (Original) The computing device of claim 14, wherein the memory further comprises

instructions that, when executed by the processor, cause the processor to progressively

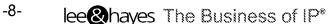
advance through the sequence of the incremental hearing corrections by providing each of

the incremental hearing corrections to the hearing aid, one at a time, over a sequence of time

increments to provide a progressive hearing aid adjustment from an uncompensated hearing

level to a corrected hearing level to aid in the user in acclimating to the hearing aid.

Serial No.: 13/085,016 Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg



STATEMENT OF SUBSTANCE OF INTERVIEW

Applicant would like to sincerely thank Examiner McCarty for conducting a

telephone interview with Applicant's representative, Andrew Eisenberg, on May 14,

2013.

During the interview, the independent claims and the cited documents were

discussed. Several possible amendments were proposed. Applicant's representative

understood the Examiner to agree that the currently cited documents do not fairly teach

or suggest the claims as amended. Accordingly, Applicant herein respectfully submits

that the independent claims are allowable over the currently cited documents base on

the discussion that took place during the interview. Applicant sincerely thanks Examiner

McCarty for her time, and respectfully submits that all pending claims stand allowable

based on the amendments and reasons discussed in the interview.

Serial No.: 13/085,016 Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg

JS

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**REMARKS** 

Applicant respectfully requests reconsideration and allowance of all of the claims

of the application. The status of the claims is as follows:

Claims1-20 were pending at the time of the action.

Applicant amends claims 1, 3, 5, 6, 10-14, 18 and 19

Applicant presents 1-20 for examination.

Support for the amendments to claims 1, 3, 5, 6, 10-14, 18 and 19 is found in the

specification, as originally filed, at least at paragraph [0035], [0042], [0047] and [0051].

The amendments submitted herein do not introduce new matter.

**Claim Objection** 

Claim 5 stands objected to as allegedly needing to be changed from, "the

plurality of hearing correction profiles" to "the plurality of hearing correction filters".

Claim 6 stands objected to as allegedly needing to be changed from, "the

plurality of incremental hearing corrections" to "a plurality of incremental hearing

connections".

Claim 14 stands objected to as allegedly needing to be changed from, "provide a

signal" to "provide a first signal".

Applicant herein amends claims 5, 6, and 14 as shown above.

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Claims 10-13 Recite Statutory Subject Matter Under § 101

Claims 10-13 stand rejected under 35 U.S.C. § 101 as allegedly being directed to

non-statutory subject matter. Applicant respectfully traverses this rejection.

Nevertheless, for the sole purpose of expediting allowance and without

commenting on the propriety of the Office's rejections, Applicant herein amends claims

10-13 as shown above. Applicant respectfully submits that these amendments render

the § 101 rejection moot.

**Cited Documents** 

The Office applies the following to reject one or more claims of the Application:

• Janssen: Janssen, Franciscus, H., U.S. Patent Application Publication No.

2005/036637

Davis: Davis, et al., U.S. Patent No. 6,574,342

Sacha: Sacha, Mike, K., U.S. Patent Application Publication No. 2003/0215105

**Topholm**: Jan Topholm, Holte, U.S. Patent No. 5,202,927

Claims 1-20 Are Allowable Over the Cited Documents

Claims 1, and 4 stand rejected under 35 U.S.C. § 102(b) as allegedly being

anticipated by Janssen. Claims 2, 3, and 5-20 stand rejected under 35 U.S.C. § 103(a)

as allegedly being obvious over a combination of one or more of Janssen, Davis, Sacha

Serial No.: 13/085,016

Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg -11lee@haves The Business of IP®

and Topholm. Applicant respectfully requests reconsideration in light of the

amendments presented herein.

Specifically, during the interview Applicant understood the Examiner to agree that

the cited documents do not teach or suggest all of the features of the independent

claims as amended. Accordingly, Applicant respectfully requests that the Office

withdraw the rejections of independent claims 1, 10 and 14.

Furthermore, dependent claims 2-9, 11-13 and 15-20 ultimately depend form one

of independent claims 1, 10 and 14. As discussed above, claims 1, 10 and 14 are

allowable over the cited documents. Therefore, claims 2-9, 11-13 and 15-20 are also

allowable over the cited documents at least due to the dependency of these claims from

an allowable base claim, as well as for the additional features that each recites.

Accordingly, Applicant respectfully requests that the Office withdraw the rejections of

claims 1-20.

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**Conclusion** 

For at least the foregoing reasons, all pending claims are in condition for

allowance. Applicant respectfully requests reconsideration and prompt issuance of the

application.

If any issues remain that would prevent allowance of this application, **Applicant** 

requests that the Examiner contact the undersigned representative before issuing

a subsequent Action.

Respectfully Submitted,

Lee & Hayes, PLLC Representatives for Applicant

By: \_\_\_/Andrew Eisenberg 69625/\_\_

Andrew L. Eisenberg (andrew@leehayes.com; 512-456-5140)

Registration No. 69625

Dated: May 21, 2013

Serial No.: 13/085,016 Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg

-13-

Electronic Acknowledgement Receipt	
EFS ID:	15829014
Application Number:	13085016
International Application Number:	
Confirmation Number:	1249
Title of Invention:	System and Method of Progressive Hearing Device Adjustment
First Named Inventor/Applicant Name:	Harold S. Mindlin
Customer Number:	29150
Filer:	Andrew L. Eisenberg/Amanda Sasser
Filer Authorized By:	Andrew L. Eisenberg
Attorney Docket Number:	A046 - 0025US
Receipt Date:	21-MAY-2013
Filing Date:	12-APR-2011
Time Stamp:	12:55:57
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	no
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		Y80149.PDF	278164	1/05	13
1		180149.PDF	631c781f61ab75ceb7eef7e9840563d6fb98 ca02	yes	15

	Multipart Description/PDF files in .zip description		
	Document Description	Start	End
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1
	Claims	2	8
	Applicant summary of interview with examiner	9	9
	Applicant Arguments/Remarks Made in an Amendment	10	13
rnings:			

#### War

#### Information:

Total Files Size (in bytes):	278164

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

ation unless it displays a valid OMB control num Under the Paperwork Reduction Act of 1995, no persons are required to re

P	ATENT APPL	ICATION		RMINATION		Application	or Docket Number /085,016	Filing Date 04/12/2011	To be Mailed
	ENTITY: LARGE SMALL MICRO								
				APPLICA	ATION AS FIL	ED – PAR	ΤΙ		
	(Column 1) (Column 2)								
	FOR		NUMBER FIL	ED	NUMBER EXTRA		RATE (\$)	F	EE (\$)
M	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))	N/A		N/A		N/A		165
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A		
	TAL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =		
IND	EPENDENT CLAIM CFR 1.16(h))	S	mi	nus 3 = *			X \$ =		
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).									
	MULTIPLE DEPEN	IDENT CLAIM	PRESENT (3	7 CFR 1.16(j))					
* If t	the difference in colu	ımn 1 is less t	than zero, ente	r "0" in column 2.			TOTAL		165
	APPLICATION AS AMENDED – PART II  (Column 1) (Column 2) (Column 3)								
AMENDMENT	05/21/2013	CLAIMS REMAINING AFTER AMENDME		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	DNAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 20	Minus	** 20	= 0		x \$40 =		0
EN	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		x \$210 =		0
AM	Application Size Fee (37 CFR 1.16(s))								
	FIRST PRESEN	ITATION OF MI	JLTIPLE DEPENI	DENT CLAIM (37 CFF	국 1.16(j))				
							TOTAL ADD'L FE	≣	0
		(Column	1)	(Column 2)	(Column 3	)			
ENT		CLAIMS REMAININ AFTER AMENDME	NG .	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	DNAL FEE (\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
1EN	Application Size Fee (37 CFR 1.16(s))					_			
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								
							TOTAL ADD'L FE		
** If *** I	the entry in column the "Highest Numbe If the "Highest Numb "Highest Number P	er Previously l per Previously	Paid For" IN TH Paid For" IN T	IIS SPACE is less HIS SPACE is less	than 20, enter "20" s than 3, enter "3".		LIE /TAMMY MCB		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/085,016	04/12/2011 Harold S. Mindlin		A046 - 0025US	1249
<sup>29150</sup> LEE & HAYES	7590 05/22/201 S, PLLC	EXAMINER		
	SIDE AVENUE	MCCARTY, TAUNYA A		
SPOKANE, WA	A 99201	ART UNIT	PAPER NUMBER	
			NOTIFICATION DATE	DELIVERY MODE
			05/22/2013	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

lhpto@leehayes.com

96	Application No.	Applicant(s)			
Applicant-Initiated Interview Summary	13/085,016	MINDLIN ET AL.			
Applicant-lintiated interview Summary	Examiner	Art Unit			
	Taunya McCarty	2651			
All participants (applicant, applicant's representative, PTO personnel):					
(1) <u>Taunya McCarty</u> .	(3)				
(2) Andrew Eisenberg (Registration No. 69625).	(4)				
Date of Interview: <u>05/14/2013</u> .					
Type: 🛛 Telephonic 🔲 Video Conference 🔲 Personal [copy given to: 🔲 applicant 📗	☐ applicant's representative]				
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	☑ No.				
Issues Discussed 101 112 102 103 Other (For each of the checked box(es) above, please describe below the issue and details					
Claim(s) discussed: <u>Please see below</u> .					
Identification of prior art discussed: <u>Janssen</u> .					
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments		dentification or clarific	ation of a		
Generally discussed the proposed modifications of claims 1, 10 and 14 as per the attached FAX. Mr. Eisenberg will send a written reply.					
Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview					
<b>Examiner recordation instructions</b> : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.					
/TAUNYA MCCARTY/ Examiner, Art Unit 2651	/DUC NGUYEN/ Supervisory Patent Examiner, Art Ur	nit 2651			

#### Summary of Record of Interview Requirements

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
  attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
  not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner.
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

13085016 98GAU: 2651

JAX

Date: 05/08/2013 12:16:17 PM 0700

Pages: 4

Subject:

Jo: Examiner McCarty

Organization: USPTO

Jan Number: 1-571-270-4692

Phone Number: 1-571-270-3692

J. Andrew Eisenberg

Organization: Lee & Hayes, PLLC

Jax Number: (512) 456-5140

Phone Number: (512) 456-5140

Email: andrew@leehayes.com

Comments:

Fax Mustern Systems

If you received this fax in error, or would like to opt-out, please call	, fax
or nearl	

13085016 99GAU: 2651

Application Serial Number: 13/085,016

Attorney Docket Number: A046-0025US

To: Examiner McCarty

Fax:

Phone: (571) 272-3692

From: Andrew L. Eisenberg (Reg. No. 69625)

Lee & Hayes, PLLC andrew@leehayes.com

(Tel.: 512-456-5140; Fax 509-323-8979)

### Agenda and Request for an Examiner Interview

- INFORMAL COMMUNICATION - FOR DISCUSSION PURPOSES ONLY -

As requested, please find herein an agenda for the interview scheduled for 5/14/2013 at 10:00 AM ET. Thank you for agreeing to discuss this matter.

#### **Interview Agenda:**

Discussion of possible amendments

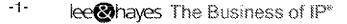
I wish to discuss possible amendments to claim 1 as follows:

- 1. A hearing aid comprising:
  - a microphone to convert sound into an electrical signals;
  - a speaker to output audible sound;

a processor; and

Serial No.: 13/023,084

Atty Docket No.: A046-0019US Atty/Agent: Andrew L. Eisenberg



Andrew Eisenberg 509-323-8979 (3/5) 05/08/2013 12:17:46 PM -0700

1308501610GAU: 2651

a memory to store instructions, which when executed by the processor, cause the

processor to:

-coupled to the microphone, the processor configured to

apply a selected first one of a sequence of incremental hearing corrections to the

electrical signals to produce a modulated output signal to at least partially compensate for a

hearing impairment of a user when output by the speaker; and

select a second one of the sequence of incremental hearing corrections in response to

receiving a trigger, the second one being designated to follow the first one in the sequence of

incremental hearing corrections; and

cause the speaker to output an alert when a final one of the sequence of incremental

hearing corrections is being applied, the final one being the last hearing correction of the

sequence of incremental hearing corrections.

a speaker coupled to the processor and configured to convert the modulated output

signal into an audible sound.

10. A computer-readable medium device comprising instructions that, when executed by a

processor, cause the processor to:

apply a first hearing correction to an electrical signal to produce a modulated output

signal, the first hearing correction to partially compensate for hearing impairment of a user to a

first level that is within a range between an uncompensated hearing level of the user and a

corrected hearing level of the user;

determine an amount of time during which the first hearing correction is applied; and

Serial No.: 13/023,084

Atty Docket No.: A046-0019US Atty/Agent: Andrew L. Eisenberg -2- lee@hayes The Business of IP\*

Andrew Eisenberg 509-323-8979 (4/5) 05/08/2013 12:18:17 PM -0700

1308501610GAU: 2651

selectively apply at least one second hearing correction to the electrical signal to

produce the modulated output signal when the amount of time exceeds a pre-determined

threshold, the pre-determined threshold is programmable by the user.

14. A computing device comprising:

a transceiver configurable to communicate with a hearing aid through a

communication channel;

a processor coupled to the transceiver; and

a memory coupled to the processor and configured to store instructions that, when

executed by the processor, cause the processor to:

provide a first signal related to a first hearing correction of a sequence of

incremental hearing corrections to the hearing aid through the communication

channel; and

provide a second signal related to a next hearing correction of the sequence

to the hearing aid in response to receiving a user selection of the next hearing

correction when a period of time exceeds a threshold time increment.

Serial No.: 13/023,084 Atty Docket No.: 4046-001

Atty Docket No.: A046-0019US Atty/Agent: Andrew L. Eisenberg -3- lee@hayes The Business of IP\*

### 1308501610&AU: 2651

I look forward to discussing this with you.

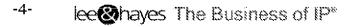
Respectfully Submitted,

Lee & Hayes, PLLC Representative for Applicant

Andrew L. Eisenberg (andrew@leehayes.com; 512-456-5140) Registration No. 69625

Assistant: Amanda Sasser (509) 944-4771 amandas@leehayes.com

Serial No.: 13/023,084 Atty Docket No.: A046-0019US Atty/Agent: Andrew L. Eisenberg



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION NO.	
13/085,016	04/12/2011 Harold S. Mindlin		A046 - 0025US	1249
29150 LEE & HAYES	7590 07/26/201 S, PLLC	EXAMINER		
601 W. RIVER	SIDE AVENUE	MCCARTY, TAUNYA A		
SUITE 1400 SPOKANE, WA	A 99201	ART UNIT	PAPER NUMBER	
			NOTIFICATION DATE	DELIVERY MODE
			07/26/2013	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

lhpto@leehayes.com

	Applicant(s)13/085,016Applicant(s)MINDLIN ET AL.		AL.			
Office Action Summary	Examiner Taunya McCarty	Art Unit 2651	AIA (First Inventor to File) Status No			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondend	e address			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on <u>amnotion</u> A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b>						
	action is non-final.					
3) An election was made by the applicant in respo	•		g the interview on			
; the restriction requirement and election	•					
4) Since this application is in condition for allowar closed in accordance with the practice under E			o the merits is			
	x parte Quayre, 1900 O.D. 11, 40	0.a. 210.				
Disposition of Claims  5) ☐ Claim(s) 1-20 is/are pending in the application.  5a) Of the above claim(s) is/are withdrawn from consideration.  6) ☐ Claim(s) is/are allowed.  7) ☐ Claim(s) 1-20 is/are rejected.  8) ☐ Claim(s) is/are objected to.  9) ☐ Claim(s) are subject to restriction and/or election requirement.  * If any claims have been determined allowable, you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see <a href="http://www.uspto.gov/patents/init_events/pph/index.jsp">http://www.uspto.gov/patents/init_events/pph/index.jsp</a> or send an inquiry to PPHfeedback@uspto.gov.  Application Papers  10) ☐ The specification is objected to by the Examiner.  11) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  Certified copies:  a) All b) Some c) None of the:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	3)					

Page 2

Application/Control Number: 13/085,016

Art Unit: 2651

#### **DETAILED ACTION**

1. This communication is responsive to the amendment dated 05/21/2013, where claims 1,

3, 5, 6, 10-14, 18 and 19 were amended.

### Response to Arguments

2. Applicant's arguments filed on 05/21/2013 with respect to claims 1, 10 and 14 have been fully considered but are **moot** in view of the new ground(s) of rejection presented below.

### Claim Objections

3. Claims 1, 5, 9 and 11 are objected to because of the following informalities:

Regarding claim 1 and 9, "signals" should be changed to "signal" (claim1, lines 2 and 9; and claim 9, line 7).

Regarding claim 5, "correction" should be changed to "corrections" (line 4).

Regarding claim 11, "a user" should be "the user".

Appropriate correction is required

#### Claim Rejections - 35 USC § 112

- 4. The following is a quotation of 35 U.S.C. 112(b):
  - (B) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor regards as the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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5. Claims 4 and 5 are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), second

paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject

matter which the inventor or a joint inventor, or for pre-AIA the applicant regards as the

invention.

Regarding claim 4, the claim recites "further comprising a memory" (line 1). Since claim

4 depends from claim 1, and claim 1 was amended to add a memory, it is not clear if the memory

in claim 4 is the same as or different from the memory in claim 1. In an effort to expedite

prosecution, Examiner interprets the memory in claim 4 to be the same as the memory in claim

1.

Claim 5 is rejected because it depends from the rejected claim 4.

6. For prior art rejection purposes, claims 4 and 5 are treated in view of the above 35 USC

112, 2<sup>nd</sup> rejection.

Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

8. Claims 10-13 are rejected under 35 U.S.C. 102(b) as being anticipated by **Sacha** (U.S.

Patent Application Publication 2003/0215105; hereafter Sacha).

Regarding independent claim 10, Sacha discloses a computer-readable device comprising

instructions that, when executed by a processor, cause the processor to:

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apply a first hearing correction to an electrical signal to produce a modulated output

signal, the first hearing correction to partially compensate for hearing impairment of a user to a

first level that is within a range between an uncompensated hearing level of the user and a

corrected hearing level of the user;

determine an amount of time during which the first hearing correction is applied; and

selectively apply at least one second hearing correction to the electrical signal to produce

the modulated output signal when the amount of time exceeds a pre-determined threshold, the

pre-determined threshold is programmable by the user. (a flash memory 220, timer 230 of Fig. 2,

the flash memory is read as the claimed computer-readable device; ¶¶13-15: "The progression

from each parameter set to another may occur after the same operating time interval, or different

operating time intervals may be defined for each parameter set."; Examiner interprets the

teachings of **Sacha** to read on the limitations as claimed.

Regarding claim 11, Sacha further discloses wherein the pre-determined threshold is

configurable by a user. (¶¶13-15: "The programming interface 210 represents a communications

channel by which the device may be configured with variable operating parameters that are

stored in the flash memory 220. One such parameter is an enable function for an event register

240 that, when enabled, records a power event input representing the powering up of the hearing

aid."; and "In an alternative embodiment, a timer 230 is provided that operates when the device

is powered on. The timer records the time during which the device is powered up and stores that

value in the flash memory when the device is powered down."; Examiner interprets these

teachings to read on the limitation as claimed.

Regarding claims 12 and 13, Sacha further discloses further comprising second

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instructions that, when executed by the processor, cause the processor to receive the first hearing correction and the at least one second hearing correction from a transceiver configured to communicatively couple to a computing device during operation (claim 12) and further comprising second instructions that, when executed by the processor, cause the processor to dynamically generate the first hearing correction and the at least one second hearing correction based on at least one of the hearing impairment of the user and a hearing aid profile including a collection of acoustic configuration settings for producing the modulated output signal at the corrected hearing level (claim 13). (¶12: "As noted above, a hearing aid programmed with a parameter set that provides optimal compensation may not be initially well tolerated by the patient. In order to provide for a gradual adjustment period, the controller is programmed to select a parameter set from a group of such sets in a defined sequence such that the hearing aid progressively adjusts from a sub-optimal to an optimal level of compensation delivered to the patient."; and "In one embodiment, the overall gain of the hearing aid is gradually increased with each successively selected signal processing parameter set."; since Sacha teaches that the controller is programmed to select a parameter set from a group of such sets, and that the overall gain of the hearing aid is gradually increased with each successively selected signal processing parameter set, Examiner interprets this teaching to clearly suggest it is understood that there is first hearing correction, at least one second hearing correction, and second instructions.)

### Claim Rejections - 35 USC § 103

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 10. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
  - 1. Determining the scope and contents of the prior art.
  - 2. Ascertaining the differences between the prior art and the claims at issue.
  - 3. Resolving the level of ordinary skill in the pertinent art.
  - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 11. Claims 1 and 4-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Janssen** (U.S. Patent Application Publication 2005/036637; hereafter **Janssen**) in view of **Sacha** (U.S. Patent Application Publication 2003/0215105; hereafter **Sacha**).

Regarding claim 1, **Janssen** discloses a hearing aid (hearing aid 1 of Fig.1) comprising:

- a microphone to convert sound into an electrical signals (microphone 2 of Fig. 1);
- a speaker to output audible sound (loudspeaker 3 of Fig. 1);
- a processor (processor 4 of Fig. 1); and

a memory to store instructions (memory 5 of Fig. 1; Examiner notes that it is well known in the art and one of ordinary skill in the art would recognize that several or various types of information such as instructions may be stored in a memory.), which when executed by the processor, cause the processor to:

However, while **Janssen** discloses a processor, **Janssen** may not expressly disclose that the processor is caused to apply a first one of a sequence of incremental hearing corrections to

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the electrical signals to produce a modulated output signal to at least partially compensate for a

hearing impairment of a user when output by the speaker; and select a second one of the

sequence of incremental hearing corrections in response to receiving a trigger, the second one

being designated to follow the first one in the sequence of incremental hearing corrections.

In a similar field of endeavor, Sacha teaches a hearing aid with time varying

performance.

Specifically, Sacha teaches a processor caused to apply a first one of a sequence of

incremental hearing corrections to the electrical signals to produce a modulated output signal to

at least partially compensate for a hearing impairment of a user when output by the speaker; and

select a second one of the sequence of incremental hearing corrections in response to receiving a

trigger, the second one being designated to follow the first one in the sequence of incremental

hearing corrections (Fig. 1; ¶2: "Adjusting a hearing aid's frequency specific amplification

characteristics to achieve a desire optimal target response for an individual patient is referred to

as fitting the hearing aid."; and ¶3: "Most often, a new hearing aid user is not fitted with the

optimal target response at the first audiologist visit. This is because a patient with a hearing

deficit that is suddenly compensated at an optimal level may find the new sounds uncomfortable

or even intolerable until adaptation occurs. Patients initially fitted with optimal compensation

may even discontinue using their hearing aid. Therefore, it is common practice for the

audiologist to initially fit the hearing aid with a sub-optimal degree of compensation which is

then ramped up to the optimal level during subsequent fittings at a rate the patient finds

comfortable.", since Sacha teaches that "it is common practice for the audiologist to initially fit

the hearing aid with a sub-optimal degree of compensation which is then ramped up to the

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optimal level during subsequent fittings at a rate the patient finds comfortable.", Examiner

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interprets this teaching to suggest that it is well known to one of ordinary skill in the art to

provide the limitations as claimed.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify Janssen by providing the teachings of Sacha for the purpose of

comfortable acclimatization in hearing aid use.

Examiner notes that the combination of Janssen and Sacha may not expressly disclose

that the speaker is caused to output an alert when a final one of the sequence of incremental

hearing corrections is being applied, the final one being the last hearing correction of the

sequence of incremental hearing corrections.

Official Notice is taken that both the concept and the advantages of causing a speaker to

output an alert is notoriously well known in the art. Outputting an alert to let a user know that,

for example, a desired level, limit or setting has been attained would be desired. It would have

been obvious to have a speaker to output an alert for the purpose of informing the user.

Regarding claim 4, Janssen further discloses further comprising a memory to store a

hearing aid profile configured to compensate for the hearing impairment of the user to produce

the modulated output signal at a level corresponding to a corrected hearing level of the user.

(memory 5 of Fig. 1; Examiner notes that it is well known in the art and one of ordinary skill in

the art would recognize that several or various types of information such as a hearing aid profile

may be stored in a memory.)

Regarding claim 5, Sacha further discloses wherein the memory stores a plurality of

hearing correction filters;

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wherein the processor is configured to selectively apply particular ones of the plurality of

hearing correction to the hearing aid profile to generate the selected one of the plurality of

incremental hearing corrections; and

wherein the processor selectively applies another one of the plurality of hearing

correction profiles to the hearing aid profile to generate another one of the plurality of

incremental hearing corrections after a period of time (Fig. 1; ¶3)

Regarding claim 6, it is noted that **Janssen** may not specifically disclose wherein the

memory includes a plurality of incremental hearing corrections.

Nevertheless, it is well known in the art and one of ordinary skill in the art would

recognize that several or various types of information or data may be stored in memory.

Therefore, for lack of any criticality, it would have been obvious to one of ordinary skill

in the art at the time the invention was made to modify Janssen by storing a plurality of

incremental hearing corrections in memory for the purpose of being able to access correction

data as desired

Regarding claims 7, 8 and 9, Sacha further discloses further comprising:

a transceiver coupled to the processor and configurable to communicate with a computing

device through a communication channel during operation, the transceiver to receive a signal

from the computing device and to provide the signal to the processor; wherein the processor

applies the selected one of the sequence of incremental hearing corrections in response to

receiving the signal (claim 7); wherein the signal includes the selected one of the sequence of

incremental hearing corrections (claim 8); and further comprising a memory to store the

sequence of incremental hearing corrections; and wherein the signal includes an indicator

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identifying the selected one of the incremental hearing corrections within the sequence; and

wherein, in response to receiving the signal, the processor retrieves the selected one of the

incremental hearing corrections from the memory and applies the selected one to the electrical

signals (claim 9). (Fig. 1;  $\P$ 12)

12. For prior art rejection purposes, claims 4 and 5 are treated in view of the above 35 USC

112, 2<sup>nd</sup> rejection.

13. Claims 2 and 3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Janssen

and Sacha, and further in view of Davis et al. (U.S. Patent 6574342; hereafter Davis).

Regarding claim 2, the combination of **Janssen** and **Sacha** discloses all of the limitations

on which claim 2 depends.

However, the combination of Janssen and Sacha may not specifically disclose wherein

each of the incremental hearing corrections comprises a collection of acoustic configuration

settings configured to modulate the electrical signal to a level that is within a range between an

uncompensated hearing level of the user and a corrected hearing level of the user to produce the

modulated output signal.

In a similar field of endeavor, **Davis** teaches a hearing aid fitting system.

Specifically, **Davis** teaches wherein each of the incremental hearing corrections

comprises a collection of acoustic configuration settings configured to modulate the electrical

signal to a level that is within a range between an uncompensated hearing level of the user and a

corrected hearing level of the user to produce the modulated output signal (col. 3, lines 3-10: "A

method for fitting a hearing compensation device according to the present invention comprises

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selecting a plurality of loudness levels for a plurality of frequencies and comparing each

loudness level for each frequency for perceived sameness. The loudness levels may then be

adjusted as needed to achieve perceived sameness across the frequency spectrum. A gain curve

for each frequency is calculated from the selected plurality of loudness levels."; and Fig. 1; col.

4, lines 4-10: "In the perceived loudness interface 10, loudness curves 12 representing various

loudness levels are displayed on a graph with a horizontal axis representing frequency in Hertz,

the vertical axis representing loudness in decibels. Each of the loudness curves 12 indicate a

perceived level of loudness, from very soft to uncomfortably loud, across the entire frequency

spectrum."

Therefore, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify the combination of Janssen and Sacha by providing levels of

loudness as taught by **Davis** for the purpose of providing a collection of acoustic configuration

settings to modulate a signal to a level that is between an uncompensated hearing level of the

user and a corrected hearing level of the user. This would be desirable in order to provide a

hearing device user with a good fitting.

Regarding claim 3, Davis further discloses wherein the first one of the sequence of

incremental hearing corrections is configured to modulate the electrical signal to a first level that

is within the range; and

second one of the sequence of incremental hearing corrections is configured to modulate

the electrical signal to a second level that is within a second range between the first level and the

connected hearing level of the user. (Figs. 1 and 3; col. 5, lines 7-14)

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14. Claims 14 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Janssen in view of Topholm (U.S. Patent 5,202,927; hereafter Topholm), and further in view of

**Zhang et al.** (U.S. Patent Application Publication 2010/0246869; hereafter **Zhang**).

Regarding independent claim 14, Janssen discloses

a transceiver configurable to communicate with a hearing aid through a communication

channel (communication means 21 of external unit 20 of Fig. 1); and

a processor coupled to the transceiver (Fig. 1).

However Janssen, does not expressly disclose a memory coupled to the processor and

configured to store instructions.

In a similar field of endeavor, **Topholm** teaches a remote-controllable, programmable,

hearing aid system.

Specifically, **Topholm** teaches a memory coupled to the processor and configured to

store instructions (first memory 5, second memory 6, and processor 4 of external control unit 1

of Fig. 1; Fig. 1 clearly shows that the memory is connected to the processor).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify **Janssen** by a device with a memory coupled to the processor and

configured to store instructions as taught by **Topholm** for the purpose of storing program

instructions.

Topholm, while disclosing a memory coupled to the processor and configured to store

instructions, **Topholm** does not further expressly disclose that the instructions, when executed by

the processor, cause the processor to: provide a first signal related to a first hearing correction of

a sequence of incremental hearing corrections to the hearing aid through the communication

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channel; and provide a second signal related to a second hearing correction of the sequence to the

hearing aid in response to receiving a selection of the second hearing correction from a user of

the hearing aid.

In a similar field of endeavor, **Zhang** discloses a system for automatic fitting using real

ear measurement.

Zhang teaches that the instructions, when executed by the processor, cause the processor

to: provide a <u>first</u> signal related to a first hearing correction of a sequence of incremental hearing

corrections to the hearing aid through the communication channel; and provide a second signal

related to a <u>second</u> hearing correction of the sequence to the hearing aid <u>in response to receiving</u>

a selection of the second hearing correction from a user of the hearing aid. (993-5: "Various

prescriptive fitting formulae can be used to calculate custom targets for the hearing aid response.

A goal of the fitting is to adjust the gain of the hearing aid so that its output in the patient's ear

matches the prescribed targets. This is referred to as target matching."; and "Some known target

matching methods do not automatically adjust gain."; since Zhang teaches "Various

prescriptive fitting formulae", interpreted by Examiner to read on the claimed intructions, and

since Zhang teaches that known target matching methods do not automatically adjust,

interpreted by Examiner to read on the claimed in response to receiving a selection of the second

hearing correction from a user of the hearing aid.; Examiner interprets the teachings of **Zhang** to

suggest that it is well known to one of ordinary skill in the art to provide incremental hearing

corrections in response to a selection from a user.)

Therefore, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify the combination of **Janssen** and **Topholm** by causing a processor

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to provide incremental hearing corrections in response to a selection from a user as taught by

**Zhang.** This would be desirable in order to allow a hearing aid user to acclimate to a particular

target hearing correction at his or her own pace instead of at a preset or automatic pace.)

Regarding claim 15, Janssen further discloses wherein the memory stores further

instructions that, when executed by the processor, cause the processor to: initiate a timer to

determine the period of time; iteratively select and provide selection signals related to

subsequent ones of the incremental hearing corrections from the sequence to the hearing aid

when the period of time exceeds the threshold time increment; and reset and restart the timer

when each of the subsequent ones of the incremental hearing corrections is provided to the

hearing aid. (repeat interval 25 of Fig. 1)

15. Claims 16-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Janssen**,

**Topholm** and **Zhang**, and further in view of **Sacha**.

Regarding claim 16, the combination of **Janssen**, **Topholm** and **Zhang** discloses all of

the limitations on which claim 16 depends.

However, the combination of Janssen, Topholm and Zhang may not specifically

disclose wherein the threshold time increment varies with each of the incremental hearing

corrections.

In a similar field of endeavor, **Sacha** teaches a hearing aid fitting system as stated earlier.

Specifically, Sacha teaches "The device may be programmed to select a signal

processing parameter set for specifying to the signal processing circuit from a group of such

parameter sets in a defined sequence based upon elapsed operating time intervals as measured by

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a timer or upon a specified number of detected power events representing the device being

turned on.." (Fig. 1 and ¶4)

Therefore, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify the combination of Janssen, Topholm and Zhang by providing

the teachings of **Sacha** for the purpose of a variable device.

Regarding claim 17, Sacha further discloses wherein the first signal and the second

signal comprise triggers to initiate an adjustment to a currently selected incremental hearing

correction executing on the hearing aid. (¶9: "As described above, the patient's hearing deficit is

compensated by selectively amplifying those frequencies at which the patient has a below

normal hearing threshold. Other signal processing functions may also be performed in particular

embodiments. The embodiment illustrated in FIG. 1, for example, also includes a gain control

module 130 and a noise reduction module 135. The gain control module 130 dynamically adjusts

the amplification in accordance with the amplitude of the input signal.")

Regarding claim 18, Sacha further discloses wherein the first hearing correction and the

second hearing correction comprise collections of acoustic configuration settings for the hearing

aid for modulating an audio output signal to compensate for a hearing impairment of a user, the

second hearing correction representing an adjustment configured to modulate the audio output

signal to an output level that is closer to a corrected hearing level than a first adjustment

associated with the first hearing correction. (¶11: "The programmable controller specifies one or

more signal processing parameters to the filtering and amplifying module and/or other signal

processing modules that determine the manner in which the input signal IS is converted into the

output signal OS.")

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Regarding claim 19, Sacha further discloses wherein the first signal and the second

signal include the first hearing correction and the second hearing correction, respectively. (¶11)

Regarding claim 20, Sacha further discloses wherein the memory further comprises

instructions that, when executed by the processor, cause the processor to progressively advance

through the sequence of the incremental hearing corrections by providing each of the incremental

hearing corrections to the hearing aid, one at a time, over a sequence of time increments to

provide a progressive hearing aid adjustment from an uncompensated hearing level to a corrected

hearing level to aid in the user in acclimating to the hearing aid. (¶3)

Conclusion

16. Applicant's amendment necessitated the new ground(s) of rejection presented in this

Office action. Accordingly, THIS ACTION IS MADE FINAL. Applicant is reminded of the

extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

MONTHS of the mailing date of this final action and the advisory action is not mailed until after

the end of the THREE-MONTH shortened statutory period, then the shortened statutory period

will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

however, will the statutory period for reply expire later than SIX MONTHS from the date of this

final action.

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17. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Taunya McCarty whose telephone number is (571)270-3692.

The examiner can normally be reached on M-F, 8:00 AM-5:00 PM (EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Duc Nguyen can be reached on 571-272-7503. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks

P.O. Box 1450

Alexandria, VA 22313-1450

Or faxed to:

(571) 273-8300, for formal communications intended for entry and for informal or draft

communications, please label "PROPOSED" or "DRAFT".

Hand-delivered responses should be brought to:

Customer Service Window Randolph Building

401 Dulany Street

Alexandria, VA 22314

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like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Taunya McCarty/

Examiner, Art Unit 2651

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/DUC NGUYEN/
Supervisory Patent Examiner. Art Uni

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Supervisory Patent Examiner, Art Unit 2651

# Notice of References Cited Application/Control No. 13/085,016 Examiner Taunya McCarty Applicant(s)/Patent Under Reexamination MINDLIN ET AL. Page 1 of 1

### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-2010/0246869	09-2010	Zhang et al.	381/320
	В	US-			
	O	US-			
	D	US-			
	Ш	US-			
	F	US-			
	G	US-			
	Н	US-			
	_	US-			
	٦	US-			
	K	US-			
	┙	US-			
	М	US-			

### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
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	Т					

### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)				
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

# Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
13085016	MINDLIN ET AL.
Examiner	Art Unit
TAUNYA MCCARTY	2651

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED				
Symbol	Date	Examiner		

US CLASSIFICATION SEARCHED							
Class	Subclass	Date	Examiner				

SEARCH NOTES							
Search Notes	Date	Examiner					
Inventor search (EAST)	03/25/2013	tam					
EAST (381/314)(combination text and class/subclass and picture)	03/25/2013	tam					
Discussed Final with D. Nguyen (SPE-GAU2651)	06/11/2013	tam					
Updated search (EAST); NPL-google scholar-hearing aid acclimatization	07/22/2013;	tam					
	07/23/2013						
Discussed with B. Ensey (Primary-GAU2656)	07/23/2013	tam					

INTERFERENCE SEARCH						
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner			
_						

/TAUNYA MCCARTY/ Examiner.Art Unit 2651	

### **EAST Search History**

### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S7	30189	(hearing adj (device or aid\$2 or element or appliance or apparatus or piece or unit or instrument or system))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 12:20
S8	65530	"381"/\$.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 12:20
S9	229419	"455"/\$.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 12:20
S10	8716	(S8 or S9) and S7	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 12:21
S11	8239	S10 and (@pd or @ptad or @prad or @ad or @rlad)<"20100414"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 12:22
S12	0	S11 and (Advance\$3 near3 (gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or increasing\$2 or refinement or accommodation or adjustment or clos\$3) same (Prescribed near3 fitting))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 12:37
S13	0	S11 and (Advanc\$5 near3 (gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or increasing\$2 or refinement or accommodation or adjustment or clos\$3) same (Prescribed near3 fitting))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 12:38
S14	1	(Advanc\$5 near3 (gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or increasing\$2 or refinement or accommodation or adjustment or clos\$3) same (Prescribed near3 fitting))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 12:39
S15	1	S7 and ((Advanc\$5 near3 (gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or clos\$3)) same (fitting))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 12:41
S16	22	S7 and (prescrib\$3 with target)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 12:50
S17	18	S16 and (@pd or @ptad or @prad or @ad or @rlad)<"20100414"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 12:50
S18	30189	(hearing adj (device or aid\$2 or element or appliance or apparatus or piece or unit or instrument or system))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 13:41
S19	65530	"381"/\$.ccls.	US-PGPUB; USPAT; USOCR; FPRS;	OR	ON	2013/07/23 13:41

		125	EPO; JPO; DERWENT; IBM_TDB			
S20	229419	"455"/\$.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 13:41
S21	8716	(S19 or S20) and S18	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 13:41
S22	8239	S21 and (@pd or @ptad or @prad or @ad or @rlad)<"20100414"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 13:41
S23	12	S22 and (prescrib\$3 with target)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/07/23 13:41

7/ 23/ 2013 4:13:59 PM C:\ Users\ tmccarty\ Documents\ EAST\ Workspaces\ 13085016-Amnd.wsp

# Index of Claims



Application/Control No.	Applicant(s)/Patent Under Reexamination
13085016	MINDLIN ET AL.
Examiner	Art Unit
TAUNYA MCCARTY	2651

✓	Rejected
=	Allowed

-	Cancelled
÷	Restricted

Z	Non-Elected
	Interference

Α	Appeal
0	Objected

☐ Claims renumbered in the same order as presented by applicant ☐							□ СРА	□ т.с	D. 🗆	R.1.47
CLAIM						DATE				
Final	Original	03/25/2013	07/23/2013							
	1	✓	✓							
	2	✓	✓							
	3	✓	✓							
	4	✓	✓							
	5	✓	✓							
	6	✓	✓							
	7	✓	✓							
	8	✓	✓							
	9	✓	✓							
	10	✓	✓							
	11	✓	✓							
	12	✓	✓							
	13	✓	✓							
	14	✓	✓							
	15	✓	✓							
	16	✓	✓							
	17	✓	✓							
	18	✓	✓							
	19	✓	✓							
	20	✓	<b>√</b>							

PTO/SB/30 (07-09)

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are requir	ed to respond to a collection of informa	tion unless it contains a valid OMB control number.
Request	Application Number	13/085,016
for	Filing Date	4/12/2011
Continued Examination (RCE)  Transmittal  Address to:  Mail Stop RCE	First Named Inventor	Harold S Mindlin II
	Art Unit	2651
Commissioner for Patents	Examiner Name	Taunya A. McCarty
P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket Number	A046 - 0025US

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

	amen	Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).						
	а. [		Previously submitted. If a final Office action is outstanding, any amendment considered as a submission even if this box is not checked.	nts filed after the final	Office action may be			
	i	i. [	Consider the arguments in the Appeal Brief or Reply Brief previously	/ filed on				
	!	li. L	Other					
	b. <b>\</b>		Enclosed					
	-	I. 💽	Amendment/Reply jij. Infor	rmation Disclosure St	atement (IDS)			
	i	ii. 🗌	Affidavit(s)/ Declaration(s) iv. Othe	er				
2.	Misc	cellar	neous					
	а. Г		Suspension of action on the above-identified application is requested und	` '				
	а. <sub>L</sub>	╡	period of months. (Period of suspension shall not exceed 3 months; Other		(i) required)			
,		╣		_				
3. (	The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to							
	a. L		Deposit Account No. 12-0769					
	i	i. [	RCE fee required under 37 CFR 1.17(e)					
	i	ii. [	Extension of time fee (37 CFR 1.136 and 1.17)					
	i	iii.	Other					
	b. [		Check in the amount of \$enck	osed				
	c. ,	7	Payment by credit card (Form PTO-2038-enclosed)- Payment made vi	a EFS-Web.				
			mation on this form may become public. Credit card information sho and authorization on PTO-2038.	uld not be included	on this form. Provide credit			
				NT DECUMPED				
Signat	ture		SIGNATURE OF APPLICANT, ATTORNEY, OR AGE  /Andrew Eisenberg 69625/	NI REQUIRED Date	9/13/2013			
Name		Туре)	Andrew L. Eisenberg	Registration No.	69625			
			CERTIFICATE OF MAILING OR TRANSMIS	SION	30020			
	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope							
			top RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on hown below.	r facsimile transmitted to	the U.S. Patent and Trademark			
Signatu	ıre		Filed via EFS web					
Name (	(Print/T	Гуре)		Date				

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Ale xandria, VA 22313-1450. DO NOT SE ND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/30 (07-09)

Approved for use through 07/31/2012, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### Instruction Sheet for RCEs

(not to be submitted to the USPTO)

### NOTES:

An RCE is not a new application, and filing an RCE will not result in an application being accorded a new filing date.

### Filing Qualifications:

The application must be a utility or plant application filed on or after June 8, 1995. The application cannot be a provisional application, a utility or plant application filed before June 8, 1995, a design application, or a patent under reexamination. See 37 CFR 1.114(e).

### Filing Requirements:

Prosecution in the application must be closed. Prosecution is closed if the applicat ion is under appeal, or the last Office action is a final action, a notice of allowance, or an action that otherwise closes prosecution in the application (e.g., an Office action under Ex parte Quayle). See 37 CFR 1.114(b).

A submission and a fee are required at the time the RCE is filed. If reply to an Office action under 35 U.S.C. 132 is outstanding (e.g., the application is under final rejection), the submission must meet the reply requirements of 37 CFR 1.111. If there is no outstanding Office action, the submission can be an information disclosure statement, an amendment, new arguments, or new evidence. See 37 CFR 1.114(c). The submission may be a previously filed amendment (e.g., an amendment after final rejection).

### **WARNINGS:**

### Request for Suspension of Action:

All RCE filing requirements must be met before suspension of action is granted. A request for a suspension of action under 37 CFR 1.103(c) does not satisfy the submission requirement and does not permit the filing of the required submission to be suspended.

### Improper RCE will NOT toll Any Time Period:

Before Appeal - If the RCE is improper (e.g., prosecution in the application is not closed or the submission or fee has not been filed) and the application is not under appeal, the time period set forth in the last Office action will continue to run and the application will be abandoned after the statutory time period has expired if a reply to the Office action is not timely filed. No additional time will be given to correct the improper RCE.

Under Appeal - If the RCE is improper (e.g., the submission or the fee has not been filed) and the application is under appeal, the improper RCE is effective to withdraw the appeal. Withdrawal of the appeal results in the allowance or abandonment of the application depending on the status of the claims. If there are no allowed claims, the application is abandoned. If there is at least one allowed claim, the application will be passed to issue on the allowed claim(s). See MPEP 1215.01.

See MPEP 706.07(h) for further information on the RCE practice.

### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the
  Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from
  this system of records may be disclosed to the Department of Justice to determine whether
  disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal						
Application Number:	13085016					
Filing Date:	12-	Apr-2011				
Title of Invention:	System and Method of Progressive Hearing Device Adjustment					
First Named Inventor/Applicant Name:	Hai	rold S. Mindlin				
Filer:	An	drew L. Eisenberg/ <i>l</i>	Amanda Sasser			
Attorney Docket Number:	A0-	46 - 0025US				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	131 <sub>Fee Code</sub>	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for Continued Examination	1801	1	1200	1200
	Tot	al in USD	(\$)	1200

Electronic Acknowledgement Receipt				
EFS ID:	16851402			
Application Number:	13085016			
International Application Number:				
Confirmation Number:	1249			
Title of Invention:	System and Method of Progressive Hearing Device Adjustment			
First Named Inventor/Applicant Name:	Harold S. Mindlin			
Customer Number:	29150			
Filer:	Andrew L. Eisenberg/Amanda Sasser			
Filer Authorized By:	Andrew L. Eisenberg			
Attorney Docket Number:	A046 - 0025US			
Receipt Date:	13-SEP-2013			
Filing Date:	12-APR-2011			
Time Stamp:	16:11:06			
Application Type:	Utility under 35 USC 111(a)			
ayment information:				

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1200
RAM confirmation Number	3266
Deposit Account	
Authorized User	

# File Listing:

1		133 10P2878.PDF	292537	yes	13	
			fc0c08371c0d256b07ddbfa6cceaf6813fcfb faa	,		
	Multipart Description/PDF files in .zip description					
	Document Description		Start	End		
	Amendment Submitted/Entered with Filing of CPA/RCE		1	1		
	Claims		2	8		
	Applicant summary of interview with examiner		9	9		
	Applicant Arguments/Remarks Made in an Amendment		10	13		
Warnings:						
Information	:					
2	Request for Continued Examination (RCE)	10P4901.PDF	88621	no	3	
			51c42a599b393843aff069d2a967ecfc6466 9ae0			
Warnings:						
This is not a U	SPTO supplied RCE SB30 form.					
Information	:					
3	Fee Worksheet (SB06)	fee-info.pdf	30251	no	2	
			0067c85a76d9d32e3db32932ffa9c05e840c fcd0			
Warnings:						
Information	:				_	
		Total Files Size (in byt	es): 41	1409		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO)				
Application Serial Number	13/085,016			
Confirmation Number	1249			
Filing Date	April 12, 2011			
Title of Application	System and Method of Progressive Hearing			
	Device Adjustment			
First Named Inventor	Harold S Mindlin II			
Assignee	Audiotoniq, Inc.			
Group Art Unit	2651			
Examiner	Taunya A. McCarty			
Attorney Docket Number	A046-0025US			

To: Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

From: Andrew L. Eisenberg (Tel. 509-324-9256; Fax 509-323-8979)

Lee & Hayes, PLLC

601 W. Riverside Ave, Suite 1400

Spokane, WA 99201

**Customer Number 29150** 

## Response to July 26, 2013 Final Office Action

Applicant's representative files this communication with a Request for Continued Examination. Applicant's representative pays fees by credit card through the EFS Web; however, Applicant's representative hereby authorizes the Commissioner to charge any deficiency of fees and credit any overpayments to Deposit Account Number 12-0769.

Claim Amendments begin on page 2 of this document.

A Statement of Substance of Interview begins on page 9 of this document.

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Remarks begin on page 10 of this document.

**CLAIM AMENDMENTS** 

1. (Currently Amended) A hearing aid comprising:

a microphone to convert sound into [[an]] electrical signals;

a speaker to output audible sound;

a processor; and

a memory to store instructions, which when executed by the processor, cause the

processor to:

receive a selection of a hearing aid profile from a plurality of hearing aid profiles, the

selected hearing aid profile configured to modulate the electrical signals to a level to compensate

for a hearing impairment of a user;

apply a first one of a sequence of incremental hearing correction[[s]] filters to the

modulated electrical signals to produce a modulated output signal to reduce the amplitude of the

modulated electrical signals produced by the selected hearing aid profile to a first level that is

less than a level to compensate for the hearing impairment of the user to at least partially

compensate for a hearing impairment of a user when output by the speaker;

select a second one of the sequence of incremental hearing correction[[s]] filters in

response to receiving a trigger, the second one being designated to follow the first one in the

sequence of incremental hearing corrections filter and to reduce the amplitude of the modulated

electrical signals produced by the selected hearing aid profile to a second level that is greater

than the first level and less than the level to compensate for the hearing impairment of the user;

and

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Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg -2-

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cause the speaker to output an alert when a final one of the sequence of incremental

hearing correction[[s]] filters is being applied, the final one being the last hearing correction

<u>filter</u> of the sequence of incremental hearing correction[[s]] <u>filters</u>.

2. (Currently Amended) The hearing aid of claim 1, wherein each of the incremental

hearing corrections filters comprises a collection of acoustic configuration settings configured to

modulate the electrical signal to a level that is within a range between an uncompensated hearing

level of the user and the level to compensate for the hearing impairment of the user-a corrected

hearing level of the user to produce the modulated output signal.

3.-6. (Canceled)

7. (Original) The hearing aid of claim 1, further comprising:

a transceiver coupled to the processor and configurable to communicate with a computing

device through a communication channel during operation, the transceiver to receive a signal

from the computing device and to provide the signal to the processor;

wherein the processor applies the selected one of the sequence of incremental hearing

correction[[s]] <u>filters</u> in response to receiving the signal.

8. (Currently Amended) The hearing aid of claim 7, wherein the signal includes the

selected one of the sequence of incremental hearing correction[[s]] <u>filters</u>.

9. (Currently Amended) The hearing aid of claim 7, further comprising a memory to

store the sequence of incremental hearing correction[[s]] filters; and

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Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg -3-

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wherein the signal includes an indicator identifying the selected one of the incremental

hearing correction[[s]] filters within the sequence; and

wherein, in response to receiving the signal, the processor retrieves the selected one of

the incremental hearing correction[[s]] filters from the memory and applies the selected one to

the modulated electrical signals.

10. (Currently Amended) A computer-readable device comprising instructions that, when

executed by a processor, cause the processor to:

select a hearing aid profile from a plurality of hearing aid profiles, the selected hearing

aid profile configured to modulate an audio signal to a level to compensate for a hearing

impairment of a user;

apply a first hearing correction filter to an electrical signal the selected hearing aid

profile to produce a modulated output signal, the first hearing correction to reduce the amplitude

of the modulated audio signal produced by the selected hearing aid profile to partially

compensate for hearing impairment of a user to a first level that is within a range between an

uncompensated hearing level of the user and a less than the corrected hearing level to

compensate for the hearing impairment of the user;

determine an amount of time during which the first hearing correction filter is applied;

and

selectively apply at least one a second hearing correction filter to the selected hearing

aid profile to reduce the amplitude of the modulated audio signal produced by the selected

hearing aid profile to a second level that is greater than the first level and less than the level to

compensate for the hearing impairment of the user electrical signal to produce the modulated

Serial No.: 13/085,016 Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg

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output signal when the amount of time exceeds a pre-determined threshold, the pre-determined

threshold is programmable by the user.

11. (Currently Amended) The computer-readable device of claim 10, wherein the pre-

determined threshold is configurable by [[a]]the user.

12. (Currently Amended) The computer-readable device of claim 10, further comprising

second instructions that, when executed by the processor, cause the processor to receive the first

hearing correction <u>filter</u> and the <u>at least one</u> second hearing correction <u>filter</u> from a transceiver

configured to communicatively couple to a computing device during operation.

13. (Currently Amended) The computer-readable device of claim 10, further comprising

second instructions that, when executed by the processor, cause the processor to dynamically

generate the first hearing correction filter and the at least one second hearing correction filter

based on at least one of the hearing impairment of the user and a hearing aid profile including a

collection of acoustic configuration settings for producing the modulated output signal at the

corrected hearing level.

14. (Currently Amended) A computing device comprising:

a transceiver configurable to communicate with a hearing aid through a

communication channel;

a processor coupled to the transceiver; and

a memory coupled to the processor and configured to store instructions that, when

executed by the processor, cause the processor to:

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Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg -5-

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generate a sequence of incremental hearing correction filters based at least

in part on a magnitude of a difference between a hearing aid profile and a hearing loss

level associated with a user of the hearing aid, the sequence of incremental hearing

corrections filter including at least a first hearing correction filter and a second hearing

correction filter;

provide a first signal related to [[a]]the first hearing correction filter of

[[a]]the sequence of incremental hearing corrections to the hearing aid through the

communication channel; and

provide a second signal related to a second hearing correction filter of the

sequence to the hearing aid in response to receiving a selection of the second hearing

correction from a user of the hearing aid.

15. (Currently Amended) The computing device of claim 14, wherein the memory stores

further instructions that, when executed by the processor, cause the processor to:

initiate a timer to determine the period of time;

iteratively select and provide selection signals related to subsequent ones of the

incremental hearing correction[[s]] filters from the sequence to the hearing aid when the period

of time exceeds the threshold time increment; and

reset and restart the timer when each of the subsequent ones of the incremental hearing

correction[[s]] <u>filters</u> is provided to the hearing aid.

16. (Currently Amended) The computing device of claim 14, wherein the threshold time

increment varies with each of the incremental hearing correction[[s]] filters.

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17. (Currently Amended) The computing device of claim 14, wherein the first signal and

the second signal comprise triggers to initiate an adjustment to a currently selected incremental

hearing correction filter executing on the hearing aid.

18. (Canceled)

19. (Currently Amended) The computing device of claim 14, wherein the first signal and

the second signal include the first hearing correction filter and the second hearing correction

filter, respectively.

20. (Currently Amended) The computing device of claim 14, wherein the memory further

comprises instructions that, when executed by the processor, cause the processor to progressively

advance through the sequence of the incremental hearing correction[[s]] filters by providing each

of the incremental hearing correction[[s]] filter to the hearing aid, one at a time, over a sequence

of time increments to provide a progressive hearing aid adjustment from an uncompensated

hearing level to a corrected hearing level to aid in the user in acclimating to the hearing aid.

21. (New) The hearing aid of claim 1, further comprising instructions that, when executed

by the processor, cause the processor to generate the sequence of incremental hearing correction

filters based at least in part on a magnitude of a difference between a hearing aid profile and a

hearing loss level associated with the user of the hearing aid, the sequence of incremental hearing

corrections filter including at least the first hearing correction filter and the second hearing

correction filter.

Serial No.: 13/085,016

Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg -7- lee@hayes The Business of IP®

22. (New) The computer-readable device of claim 10, further comprising instructions that,

when executed by the processor, cause the processor to generate the sequence of incremental

hearing correction filters based at least in part on a magnitude of a difference between a hearing

aid profile and a hearing loss level associated with the user of the hearing aid, the sequence of

incremental hearing corrections filter including at least the first hearing correction filter and the

second hearing correction filter.

23. (New) The hearing aid of claim 1, further comprising instructions that, when executed

by the processor, cause the processor to:

determine an amount of time during which the first hearing correction filter is applied;

and

apply the second hearing correction filter when the amount of time exceeds a pre-

determined threshold.

24. (New) The hearing aid of claim 23, wherein the pre-determined threshold is adjustable

by the user.

25. (New) The computer-readable device of claim 14, further comprising instructions that,

when executed by the processor, cause the processor to receive:

a selection of a hearing aid profile; and

provide the hearing aid profile to the hearing aid.

Serial No.: 13/085,016 Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg

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**STATEMENT OF SUBSTANCE OF INTERVIEW** 

Applicant would sincerely like to thank Examiner McCarty for conducting a telephone

interview with Applicant's representative, Andrew L. Eisenberg, on September 12, 2013.

During the interview, the independent claims and the cited documents were discussed.

Several possible amendments were proposed. Applicant's representative understood the

Examiner to agree that the currently cited documents do not fairly teach or suggest the claims as

amended. Accordingly, Applicant herein respectfully submits that the independent claims are

allowable over the currently cited documents base on the discussion that took place during the

interview. Applicant sincerely thanks Examiner McCarty for her time, and respectfully submits

that all pending claims stand allowable based on the amendments and reasons discussed in the

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interview.

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**REMARKS** 

Applicant respectfully requests reconsideration and allowance of all of the claims of the

application. The status of the claims is as follows:

• Claims 1-20 were pending at the time of the action.

Applicant cancels claims 3-6 and 18.

• Applicant amends claims 1, 2, 8-17, 19, and 20.

• Applicant adds new claims 21-25.

• Applicant presents claims 1, 2, 7-17, and 19-25 for examination.

The Application supports the claim amendments at least at paragraph [0015] and [0022]

of the originally filed application. The amendments submitted herein do not introduce new

matter.

**Claim Objection** 

Claims 1, 5, 9 and 11 stand objected to as allegedly having informalities. Applicant

herein amends claims 1, 5 and 11 as shown above. Applicant respectfully submits that these

amendments render the objection moot.

Claims 4 and 5 Comply With § 112, Second Paragraph

Claims 4 and 5 stand rejected under 35 U.S.C. § 112, second paragraph, as allegedly

being indefinite. Applicant respectfully traverses this rejection. Nevertheless, for the sole

purpose of expediting allowance and without commenting on the propriety of the Office's

rejections, Applicant herein amends claim 4 as shown above. Applicant respectfully submits that

this amendment renders the § 112, second paragraph rejections moot.

Serial No.: 13/085,016

Atty Docket No.: A046-0025US

Atty/Agent: Andrew L. Eisenberg

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**Cited Documents** 

The Office applies the following to reject one or more claims of the Application:

• Sacha: Sacha, U.S. Patent Application Publication No. 2003/0215105

• Janssen: Janssen, U.S. Patent Application Publication No. 2005/036637

• **Davis**: Davis et al., U.S. Patent No. 6,574,342

• **Topholm**: Topholm, U.S. Patent No. 5,202,927

• Zhang: Zhang et al., U.S. Patent Application Publication No. 2010/0246869

Claims 2, 7-9, 11-13, 15-17, 19 and 20 are Allowable over the Cited Documents

Claims 10-13 stand rejected under 35 U.S.C. § 102(b) as allegedly being anticipated by

Sacha. Claims 1, 2, 7-9 and 14-17, 19, and 20 stand rejected under 35 U.S.C. § 103(a) as

allegedly being obvious over a combination of one or more of Sacha, Janssen, Davis, Topholm,

and Zhang. Applicant respectfully requests reconsideration in light of the amendments presented

herein.

Specifically, during the interview Applicant understood the Examiner to agree that the

cited documents do not teach or suggest all of the features of the independent claims as amended.

Accordingly, Applicant respectfully requests that the Office withdraw the rejections of

independent claims 1, 10 and 14.

Furthermore, dependent claims 2, 7-9, 11-13, 15-17, 19 and 20 ultimately depend form

one of independent claims 1, 10 and 14. As discussed above, claims 1, 10 and 14 are allowable

over the cited documents. Therefore, claims 2, 7-9, 11-13, 15-17, 19 and 20 are also allowable

over the cited documents at least due to the dependency of these claims from an allowable base

claim, as well as for the additional features that each recites. Accordingly, Applicant

respectfully requests that the Office withdraw the rejections of claims 1, 2, 7-17, 19 and 20.

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Atty Docket No.: A046-0025US Atty/Agent: Andrew L. Eisenberg -11-

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New Claims 21-15 are Allowable

New claims 21-25 depends from one of independent claims 1, 10, or 45. As discussed

above, claims 1, 10 and 14 are allowable over the cited documents. Therefore, claims 21-25 are

also allowable over the cited documents at least due to their dependency from an allowable base

claim, as well as for the additional features that each recites. For example, the cited documents

do not disclose, teach or suggest "the sequence of incremental hearing correction filters based at

least in part on a magnitude of a difference between a hearing aid profile and a hearing loss level

associated with the user of the hearing aid, the sequence of incremental hearing corrections filter

including at least the first hearing correction filter and the second hearing correction filter," as

recited in Applicant's claim 22.

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**Conclusion** 

For at least the foregoing reasons, all pending claims are in condition for allowance.

Applicant respectfully requests reconsideration and prompt issuance of the application.

If any issues remain that would prevent allowance of this application, Applicant

requests that the Examiner contact the undersigned representative before issuing a

subsequent Action.

Respectfully Submitted,

Lee & Hayes, PLLC Representatives for Applicant

By: \_\_/Andrew Eisenberg 69625/\_\_\_

Dated: <u>9/13/2013</u>

Andrew L. Eisenberg

(andrew@leehayes.com; 512-456-5140)

Registration No. 69625

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/085,016	04/12/2011	Harold S. Mindlin	A046 - 0025US	1249
29150 LEE & HAYES	7590 09/17/201 S. PLLC	EXAMINER		
601 W. RIVERSIDE AVENUE			MCCARTY, TAUNYA A	
SUITE 1400 SPOKANE, WA 99201		ART UNIT	PAPER NUMBER	
		2651		
			NOTIFICATION DATE	DELIVERY MODE
			09/17/2013	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

lhpto@leehayes.com

14	Application No.	Applicant(s)	
Applicant Initiated Interview Summers	13/085,016	MINDLIN ET AL.	
Applicant-Initiated Interview Summary	Examiner	Art Unit	
	Taunya McCarty	2651	
All participants (applicant, applicant's representative, PTO	personnel):		
(1) Taunya McCarty.	(3)		
(2) Andrew Eisenberg (Registration No. 69625).	(4)		
Date of Interview: <u>09/12/2013</u> .			
Type: X Telephonic Video Conference Personal [copy given to: Applicant [	applicant's representative]		
Exhibit shown or demonstration conducted:	⊠ No.		
Issues Discussed			
Claim(s) discussed: 10(1) and 14.			
Identification of prior art discussed: Sacha.			
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments.)		dentification or clarification of a	
Applicant's proposed modifications to the aforementioned of specifically, paras. 13 and 15 of the specification as filed (discorrection filter"); claim 10: "hearing correction filter" (same	stiction between "hearing aid p	profile" and "hearing	
discuss "select a second one of the sequence" for claim			
Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview			
<b>Examiner recordation instructions</b> : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.			
/TAUNYA MCCARTY/ Examiner, Art Unit 2651	/DUC NGUYEN/ Supervisory Patent Examiner, Art Ur	nit 2651	

#### Summary of Record of Agerview Requirements

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
  attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
  not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner.
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

13085016**50**AU:2651

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Date: 09/09/2013 08:20:08 AM -0700

Pages: 3

Subject: Interview Agenda 13/085,016

 $\mathcal{J}_{o:}$  Examiner McCarty

Organization: USPTO

Jax Number: 1-571-270-4692

Phone Number:

Jrom: Andrew Eisenberg

Organization: Lee & Hayes, PLLC

Jax Number: (512) 456-5140

Phone Number: (512) 456-5140

Email: andrew@leehayes.com

Comments:

raximo	

Application Serial Number: 13/085,016

Attorney Docket Number: A046-0025US

To: Examiner McCarty

Fax: (571)-270-4692 Phone: (571) 270-3692

From: Andrew L. Eisenberg (Reg. No. 69625)

Lee & Hayes, PLLC andrew@leehayes.com

(Tel.: 512-456-5140; Fax 509-323-8979)

## Agenda and Request for an Examiner Interview

- INFORMAL COMMUNICATION - FOR DISCUSSION PURPOSES ONLY -

As requested, please find herein an agenda for the interview scheduled for 9/12/2013 at 2:00 PM ET. Thank you for agreeing to discuss this matter.

#### **Interview Agenda:**

- Discussion of differences between the application/claims and the cited documents
  - o In particular, I wish to discuss at least the recitations of the independent claim 1. Specifically, I wish to discuss the cited references with respect to "select a second one of the sequence of incremental hearing corrections in response to receiving a trigger, the second one being designated to follow the first one in the sequence of incremental hearing corrections," as recited by claim 1.

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Discussion of possible amendments

I wish to discuss possible amendments to claim 1 as follows:

Serial No.: 13/023,084 Atty Docket No.: A046-0019US Atty/Agent: Andrew L. Eisenberg

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13085016**52**AU:2651

10. A computer-readable device comprising instructions that, when executed by a processor,

cause the processor to:

select a hearing aid profile from a plurality of hearing aid profiles, the selected hearing

aid profile configured to modulate an audio signal to a level to compensate for a hearing

impairment of a user;

apply a first hearing correction to an electrical signal the selected hearing aid profile to

produce a modulated output signal, the first hearing correction to reduce the amplitude of the

modulated audio signal produced by the selected hearing aid profile to partially compensate for

hearing impairment of a user to a first level that is within a range between an uncompensated

hearing level of the user and a less than the corrected hearing level to compensate for the hearing

impairment of the user;

determine an amount of time during which the first hearing correction is applied; and

selectively apply at least one second hearing correction to the selected hearing aid

profile to reduce the amplitude of the modulated audio signal produced by the selected hearing

aid profile to a second level that is greater than the first level and less than the level to

compensate for the hearing impairment of the user electrical signal to produce the modulated

output signal when the amount of time exceeds a pre-determined threshold, the pre-determined

threshold is programmable by the user.

14. A computing device comprising:

a transceiver configurable to communicate with a hearing aid through a

communication channel:

a processor coupled to the transceiver; and

a memory coupled to the processor and configured to store instructions that, when

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Atty Docket No.: A046-0019US Atty/Agent: Andrew L. Eisenberg -2-

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Andrew Eisenberg 509-323-8979 (4/4) 09/09/2013 08:22:17 AM -0700

1308501**653**AU:2651

executed by the processor, cause the processor to:

generate a sequence of incremental hearing corrections based at least in part

on a magnitude of a difference between a hearing aid profile and a hearing loss level

associated with a user of the hearing aid, the sequence of incremental hearing

corrections including at least a first hearing correction and a second hearing

correction;

provide a first signal related to [[a]]the first hearing correction of [[a]]the

sequence of incremental hearing corrections to the hearing aid through the

communication channel; and

provide a second signal related to a next the second hearing correction of

the sequence to the hearing aid in response to receiving a user selection of the next

hearing correction.

I look forward to discussing this with you.

Respectfully Submitted,

Lee & Hayes, PLLC

Representative for Applicant

Andrew L. Eisenberg (andrew@leehayes.com; 512-456-5140)

Registration No. 69625

Assistant: Amanda Sasser

(509) 944-4771

amandas@leehayes.com

Serial No.: 13/023,084

Atty Docket No.: A046-0019US Atty/Agent: Andrew L. Eisenberg

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

## NOTICE OF ALLOWANCE AND FEE(S) DUE

29150 7590 10/02/2013 LEE & HAYES, PLLC 601 W. RIVERSIDE AVENUE SUITE 1400 SPOKANE, WA 99201 EXAMINER

MCCARTY, TAUNYA A

ART UNIT PAPER NUMBER

2651

DATE MAILED: 10/02/2013

	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
Τ	13/085.016	04/12/2011	Harold S. Mindlin	A046 - 0025US	1249

TITLE OF INVENTION: System and Method of Progressive Hearing Device Adjustment

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$890	\$300	\$0	\$1190	01/02/2014

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# PART B - FEE(\$) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 29150 7590 10/02/2013 LEE & HAYES, PLLC 601 W. RIVERSIDE AVENUE **SUITE 1400** (Depositor's name SPOKANE, WA 99201 (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 13/085.016 04/12/2011 Harold S. Mindlin A046 - 0025US 1249 TITLE OF INVENTION: System and Method of Progressive Hearing Device Adjustment APPLN. TYPE ENTITY STATUS ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional **SMALL** \$300 \$1190 01/02/2014 EXAMINER ART UNIT CLASS-SUBCLASS MCCARTY, TAUNYA A 381-314000 2651 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🔲 Corporation or other private group entity 🖵 Government 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: ☐ Issue Fee ☐ A check is enclosed. ☐ Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any Advance Order - # of Copies \_ overpayment, to Deposit Account Number (enclose an extra copy of this form).

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5. Change in Entity Status (from status indicated above)	
☐ Applicant certifying micro entity status. See 37 CFR 1.29	NOTE: Absent a valid certification of Micro Entity Status (see form PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
☐ Applicant asserting small entity status. See 37 CFR 1.27	<u>NOTE:</u> If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.
Applicant changing to regular undiscounted fee status.	<u>NOTE:</u> Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.
NOTE: The Issue Fee and Publication Fee (if required) will not be accepte nterest as shown by the records of the United States Patent and Trademark	ed from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in c Office.
Authorized Signature	Date
Typed or printed name	Registration No
This collection of information is required by 37 CFR 1.311. The information application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR ubmitting the completed application form to the USPTO. Time will vary be form and/or supposed to the form and the production of the complete	on is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and

this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 10/02/2013

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/085,016	04/12/2011	Harold S. Mindlin	A046 - 0025US	1249
29150 75	90 10/02/2013		EXAM	INER
LEE & HAYES, PLLC			MCCARTY,	TAUNYA A
601 W. RIVERSID	E AVENUE		ART UNIT	PAPER NUMBER
SUITE 1400			ART UNIT	PAPER NUMBER
SPOKANE, WA 9	9201		2651	

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 296 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 296 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	13/085,016	MINDLIN E	
Notice of Allowability	Examiner	Art Unit	AIA (First Inventor to
House of Anowabinty	Taunya McCarty	2651	File) Status No
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGORY OF THE PROPERTY OF THE PROP	OR REMAINS) CLOSED in the or other appropriate communic GHTS. This application is sub-	nis application. If not cation will be mailed	ee address t included I in due course. THIS
This communication is responsive to <u>RCE 09/13/2013</u> .  ☐ A declaration(s)/affidavit(s) under <b>37 CFR 1.130(b)</b> was/			
2. An election was made by the applicant in response to a restr requirement and election have been incorporated into this ac		ıring the interview or	1; the restriction
3. The allowed claim(s) is/are 1, 2, 7-17, and 19-25 (renumbers benefit from the <b>Patent Prosecution Highway</b> program at a For more information, please see <a href="http://www.uspto.gov/pater-pp-Higedback@uspto.gov">http://www.uspto.gov/pater-pp-Higedback@uspto.gov</a> .	participating intellectual prope	erty office for the cor	
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority under Certified copies:</li> <li>a) ☐ All b) ☐ Some *c) ☐ None of the:</li> </ul>	r 35 U.S.C. § 119(a)-(d) or (f).		
1.   Certified copies of the priority documents have	been received.		
2.   Certified copies of the priority documents have	been received in Application I	No	
3. Copies of the certified copies of the priority doc	uments have been received ir	n this national stage	application from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" of noted below. Failure to timely comply will result in ABANDONMI THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		reply complying with	the requirements
5. $\square$ CORRECTED DRAWINGS ( as "replacement sheets") must	be submitted.		
including changes required by the attached Examiner's Paper No./Mail Date	Amendment / Comment or in	the Office action of	
Identifying indicia such as the application number (see 37 CFR 1.4 each sheet. Replacement sheet(s) should be labeled as such in the			(not the back) of
6. DEPOSIT OF and/or INFORMATION about the deposit of BI attached Examiner's comment regarding REQUIREMENT FO			the
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. ☑ Examiner's A	mendment/Commen	nt
2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date	6. 🛛 Examiner's S	tatement of Reasons	s for Allowance
<ul> <li>3. Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> <li>4. Interview Summary (PTO-413), Paper No./Mail Date</li> </ul>	7. 🔲 Other		
/Taunya McCarty/ Examiner, Art Unit 2651			

Application/Control Number: 13/085,016

Art Unit: 2651

**EXAMINER'S AMENDMENT** 

1. Please note that the examining group art unit number has changed from 2614 to 2651.

2. The present application is being examined under the pre-AIA first to invent provisions.

3. This communication is responsive to the request for continued examination dated

09/13/2013, where claims 1, 2, 7-17, 19 and 20 were amended, claims 3-6 and 18 were

cancelled, and new claims 21-25 were added. It is noted that claim 7 was amended, although the

amendment indicates that claim 7 is in original form.

Continued Examination Under 37 CFR 1.114

4. A request for continued examination under 37 CFR 1.114, including the fee set forth in

37 CFR 1.17(e), was filed in this application after final rejection. Since this application is

eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e)

has been timely paid, the finality of the previous Office action has been withdrawn pursuant to

37 CFR 1.114. Applicant's submission filed on 09/13/2013 has been entered.

5. An examiner's amendment to the record appears below. Should the changes and/or

additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR

1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the

payment of the issue fee.

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Art Unit: 2651

6. Authorization for this examiner's amendment was given in a telephone interview with Andrew Eisenberg (Registration No. 69625) on 09/25/2013.

7. The application has been amended as follows:

In the CLAIMS:

Claim 1, line 17, change "corrections filter" to --correction filters--;

Claim 1, line 10, after "filters", delete -- t --;

Claim 1, line 10, after "filters", insert -- to--;

Claim 2, line 2, change "corrections" to --correction--;

Claim 2, line 2, change "corrections" to --correction--;

Claims 10-13 and 22, line 1 of each claim, before "computer-readable device", insert --non-transitory --;

Claim 14, line 10, change "corrections filter including" to --correction filters including--;

Claim 14, line 13, change "corrections" to --correction--;

Claim 14, line 13, before "to", insert --filters --;

Claim 14, line 16, before "to" (first occurrence), insert --of incremental hearing correction filters --;

Claim 14, line 17, before "from", insert --filter --;

Claim 21, line 5, change "corrections filter including" to --correction filters including--; and

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Claim 22, line 5, change "corrections filter including" to --correction filters

including--.

Allowable Subject Matter

8. Claims 1, 2, 7-17, and 19-25 are allowed. The following is an examiner's statement of

reasons for the indication of allowable subject matter:

Regarding independent claim 1, the prior art of record, the combination of Janssen and

Sacha, fails to teach a hearing aid with the particular limitation(s) of a memory to store

instructions, which when executed by the processor, cause the processor to:

receive a selection of a hearing aid profile from a plurality of hearing aid profiles, the

selected hearing aid profile configured to modulate the electrical signals to a level to compensate

for a hearing impairment of a user;

apply a first one of a sequence of incremental hearing correction filters to the modulated

electrical signals to produce a modulated output signal to reduce the amplitude of the modulated

electrical signals produced by the selected hearing aid profile to a first level that is less than a

level to compensate for the hearing impairment of the user;

select a second one of the sequence of incremental hearing correction filters in response

to receiving a trigger, the second one being designated to follow the first one in the sequence of

incremental hearing corrections filter and to reduce the amplitude of the modulated electrical

signals produced by the selected hearing aid profile to a second level that is greater than the first

level and less than the level to compensate for the hearing impairment of the user; and

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art of record.

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cause the speaker to output an alert when a final one of the sequence of incremental hearing correction filters is being applied, the final one being the last hearing correction filter of the sequence of incremental hearing correction filters. These limitation(s), when considered in combination with the remaining limitations of claim 1, are not taught nor suggested by the prior art of record.

Regarding independent claim 10, the prior art of record, Sacha, fails to teach a hearing

aid with the particular limitation(s) of a non-transitory computer-readable device comprising instructions that, when executed by a processor, cause the processor to: select a hearing aid profile from a plurality of hearing aid profiles, the selected hearing aid profile configured to modulate an audio signal to a level to compensate for a hearing impairment of a user;

apply a first hearing correction filter to the selected hearing aid profile to reduce the amplitude of the modulated audio signal produced by the selected hearing aid profile to a first level that is less than the level to compensate for the hearing impairment of the user; and selectively apply a second hearing correction filter to the selected hearing aid profile to reduce the amplitude of the modulated audio signal produced by the selected hearing aid profile to a second level that is greater than the first level and less than the level to compensate for the hearing impairment of the user when the amount of time exceeds a pre-determined threshold, the pre-determined threshold is programmable by the user. These limitation(s), when considered in combination with the remaining limitations of claim 10, are not taught nor suggested by the prior

Regarding independent claim 16, the prior art of record, the combination of **Janssen**, **Topholm** and **Zhang**, **fails** to teach a computing device with the particular limitation(s) of a

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memory coupled to the processor and configured to store instructions that, when executed by the

processor, cause the processor to: generate a sequence of incremental hearing correction filters

based at least in part on a magnitude of a difference between a hearing aid profile and a hearing

loss level associated with a user of the hearing aid, the sequence of incremental hearing

corrections filter including at least a first hearing correction filter and a second hearing correction

filter. These limitation(s), when considered in combination with the remaining limitations of

claim 16, are not taught nor suggested by the prior art of record.

Any comments considered necessary by applicant must be submitted no later than the

payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

Such submissions should be clearly labeled "Comments on Statement of Reasons for

Allowance."

Conclusion

9. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Taunya McCarty whose telephone number is (571)270-3692.

The examiner can normally be reached on M-F, 8:00 AM-5:00 PM (EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Duc Nguyen can be reached on 571-272-7503. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks P.O. Box 1450

Alexandria, VA 22313-1450

Or faxed to:

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Art Unit: 2651

(571) 273-8300, for formal communications intended for entry and for informal or draft

communications, please label "PROPOSED" or "DRAFT".

Hand-delivered responses should be brought to:

Customer Service Window Randolph Building

401 Dulany Street

Alexandria, VA 22314

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Taunya McCarty/

Examiner, Art Unit 2651

/DUC NGUYEN/

Supervisory Patent Examiner, Art Unit

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# Examiner-Initiated Interview Summary

Application No.	Applicant(s)
13/085,016	MINDLIN ET AL.
Examiner	Art Unit
Taunya McCarty	2651

	Examiner	Art Onit		
	Taunya McCarty	2651		
All participants (applicant, applicant's representative, PTO	personnel):			
(1) <u>Taunya McCarty</u> .	(3)			
(2) Andrew Eisenberg (Registration No. 69625).	(4)			
Date of Interview: 25 September 2013.				
Type:	applicant's representative]			
Exhibit shown or demonstration conducted: Yes [ If Yes, brief description:	☑ No.			
Issues Discussed 101 112 112 103 Other (For each of the checked box(es) above, please describe below the issue and detail				
Claim(s) discussed: <u>1, 2, 1-14, 21 and 22</u> .				
Identification of prior art discussed:				
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments.		dentification or clarific	cation of a	
Applicant's representative agreed to and gave authorization Examiner's proposed modifications/typographical correction			e the	
Applicant recordation instructions: It is not necessary for applicant to provide a separate record of the substance of interview.				
<b>Examiner recordation instructions</b> : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.				
Attachment				
/Taunya McCarty/ Examiner, Art Unit 2651				
I				

# Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
13085016	MINDLIN ET AL.
Examiner	Art Unit
TAUNYA MCCARTY	2651

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED				
Symbol	Date	Examiner		

US CLASSIFICATION SEARCHED					
Class	Subclass	Date	Examiner		
381	314	03/25/2013	tam		

SEARCH NOTES					
Search Notes	Date	Examiner			
Inventor search (EAST)	03/25/2013	tam			
EAST (381/314)(combination text and class/subclass and picture)	03/25/2013	tam			
Discussed Final with D. Nguyen (SPE-GAU2651)	06/11/2013	tam			
Updated search (EAST); NPL-google scholar-hearing aid acclimatization	07/22/2013;	tam			
	07/23/2013				
Discussed with B. Ensey (Primary-GAU2656)	07/23/2013	tam			
Updated search (EAST); NPL-google scholar-hearing aid acclimatization	09/24/2013	tam			
Discussed with D. Nguyen (SPE-GAU2651) via collaboration tools (non-transitory)	09/25/2013	tam			

INTERFERENCE SEARCH				
US Class/	US Subclass / CPC Group	Date	Examiner	
CPC Symbol				
	Please see attached search history.	09/25/2013	tam	

/TAUNYA MCCARTY/ Examiner.Art Unit 2651	

# **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	2	((HAROLD) near2 (MINDLIN)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2013/09/24 10:37
S2	53	((DAVID) near2 (LANDRY)).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2013/09/24 10:37
S3	47715	(hearing adj (device or aid\$2 or element or appliance or apparatus or piece or unit or instrument or system) or earpiece)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S4	1806	S3 and (program\$5 adj (device or module))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S5	600	381/314.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S6	124	S4 and S5	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S7	30746	(hearing adj (device or aid\$2 or element or appliance or apparatus or piece or unit or instrument or system))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S8	67940	"381"/\$.cds.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S9	242483	"455"/\$.cds.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S10	9173	(S8 or S9) and S7	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S11	8527	S10 and (@pd or @ptad or @prad or @ad or @rlad)<"20100414"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S12	0	S11 and (Advance\$3 near3 (gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or increasing\$2 or refinement or accommodation or adjustment or clos\$3)	US-PGPUB; USPAT; USOCR; FPRS;	OR	ON	2013/09/24 10:37

		same (Prescribed near3 fitting)) 169	EPO; JPO; DERWENT; IBM_TDB			
S13	0	S11 and (Advanc\$5 near3 (gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or increasing\$2 or refinement or accommodation or adjustment or clos\$3) same (Prescribed near3 fitting))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S14	1	(Advanc\$5 near3 (gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or increasing\$2 or refinement or accommodation or adjustment or clos\$3) same (Prescribed near3 fitting))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S15	1	S7 and ((Advanc\$5 near3 (gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or clos\$3)) same (fitting))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S16	25	S7 and (prescrib\$3 with target)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S17	19	S16 and (@pd or @ptad or @prad or @ad or @rlad)<"20100414"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S18	30746	(hearing adj (device or aid\$2 or element or appliance or apparatus or piece or unit or instrument or system))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S19	67940	"381"/\$.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB	OR	ON	2013/09/24 10:37
S20	242483	"455"/\$.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S21	9173	(S19 or S20) and S18	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S22	8527	S21 and (@pd or @ptad or @prad or @ad or @rlad)<"20100414"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S23	13	S22 and (prescrib\$3 with target)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:37
S24	O	acclimati?at\$3 same ((Advanc\$5 near3 (gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or clos\$3)) same (fitting))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 10:58

S25	1	\$70 S1 and ((acclimat\$4 or accustomed or familiar\$4 or seasoned or habituated) same (Advanc\$5 or gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or clos\$3))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:03
S26	48670	((acclimat\$4 or accustomed or familiar\$4 or seasoned or habituated) same (Advanc\$5 or gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or clos\$3))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:03
S27	1	S1 and ((acclimat\$4 or accustomed or familiar\$4 or seasoned or habituated) same3 (Advanc\$5 or gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or clos\$3))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:04
S28	1	S1 and ((acclimat\$4 or accustomed or familiar\$4 or seasoned or habituated) same10 (Advanc\$5 or gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or clos\$3))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:04
S29	1	S1 and (acclimat\$4 or accustomed or familiar\$4 or seasoned or habituated) and (Advanc\$5 or gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or clos\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:05
S30	10197	(acclimat\$4 or accustomed or familiar\$4 or seasoned or habituated) with (Advanc\$5 or gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or clos\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:06
S31	379	S30 and hearing	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:06
S32	56	S30 same hearing	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:06
S33	51	S32 and (@pd or @ptad or @prad or @ad or @rlad)< "20100414"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:39
S34	203	hearing same ((second\$3 or next or pair or group or couple or other or another or many or several or numerous or plural or plurality or multi or multiple) near5 profile)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:46
S35	971	hearing same ((second\$3 or next or pair or group or couple or other or another or many or several or numerous or plural or plurality or multi or multiple) near5 filter)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:47
S36	21	S34 and S35	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 11:48
S37	20	S36 and (@pd or @ptad or @prad or @ad or @rlad)<"20100414"	US-PGPUB; USPAT;	OR	ON	2013/09/24 11:48

		171	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			
S38	1	S33 and S37	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 12:24
S39	3	("2005/0036637").URPN.	USPAT	OR	ON	2013/09/24 12:31
S40	1	(11/911120).APP.	US-PGPUB; USOCR	OR	ON	2013/09/24 12:32
S41	8	("20020078161"   "20020191806"   "20040013280"   "5825894"   "6035050").PN. OR ("7885416").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2013/09/24 12:34
S42	30	("4049930"   "4777474"   "4821247"   "4972487"   "5210803"   "6008720"   "6320969").PN. OR ("6785394").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2013/09/24 12:34
S43	45	("3784750"   "3818149"   "3928733"   "3989904"   "4099035"   "4118604"   "4119814"   "4276781"   "4335281"   "4393275"   "4425481"   "4454609"   "4471171"   "4484345"   "4575586"   "4577641"   "4634815"   "4638125"   "4731850"   "4792977").PN. OR ("4941179").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2013/09/24 13:01
S44	37	hearing same ((second\$3 or next or pair or group or couple or other or another or many or several or numerous or plural or plurality or multi or multiple) with (filter and profile))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 15:17
S45	34	S44 and (@pd or @ptad or @prad or @ad or @rlad)<"20100414"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/09/24 15:18

## EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	2	((HAROLD) near2 (MINDLIN)).INV.	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 13:50
L2	53	((DAVID) near2 (LANDRY)).INV.	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 13:51
L3	531	381/314.ccls.	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 13:51
L4	303	(hearing same ((second\$3 or next or pair or group or couple or other or another or many or several or numerous or plural or plurality or multi or multiple) near5 filter)).CLM.	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 13:53
L5	54	(hearing same ((second\$3 or next or pair or group or couple or other or another or many or several or numerous or plural or plurality or multi or multiple) near5 profile)).CLM.	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 13:54
L6	3	(hearing same ((second\$3 or next or pair or group or couple or other or another or many or several or numerous or plural or plurality or multi or multiple) with (filter and profile))).CLM.	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 13:56
L7	74	((acclimat\$4 or accustomed or familiar\$4 or seasoned or habituated) with (Advanc\$5 or gradual\$2 or slow\$2 or regular\$2 or progressive\$2 or clos\$3 or incremental)).CLM.	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 13:58
L8	1	7 and 4	US-	OR	ON	2013/09/25

		172	PGPUB; USPAT; UPAD			13:59
L9	1	7 and 5	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 13:59
L10	1	7 and 6	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 13:59
L11	1	3 and 7	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 14:01
L12	3	3 and 6	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 14:01
L13	16	3 and 5	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 14:02
L14	16	3 and 4	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 14:03
L15	14	14 not 13	US- PGPUB; USPAT; UPAD	OR	ON	2013/09/25 14:03

9/ 25/ 2013 2:04:16 PM C:\ Users\ tmccarty\ Documents\ EAST\ Workspaces\ 13085016-RCE.wsp

Issue C	Classi	ifica	tion
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173	
Application/Control No.	Applicant(s)/Patent Under Reexamination
13085016	MINDLIN ET AL.
Examiner	Art Unit
TAUNYA MCCARTY	2651

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	1		

CPC Combination Sets											
Symbol	Туре	Set	Ranking	Version							

/TAUNYA MCCARTY/ Examiner.Art Unit 2651  (Assistant Examiner)	09/25/2013 (Date)	Total Claims Allowed: 20			
/DUC NGUYEN/ Supervisory Patent Examiner.Art Unit 2651	09/26/2013	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	2		



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	Application/Control No.	Applicant(s)/Patent Under Reexamination							
	13085016	MINDLIN ET AL.							
	Examiner	Art Unit							
	TALINYA MCCARTY	2651							

US ORIGINAL CLASSIFICATION						INTERNATIONAL CLASSIFICATION									
CLASS SUBCLASS						CLAIMED							N	ON-CLAIMED	
381 314				Н	0	4	R	25 / 00 (2006.01.01)							
	С	ROSS REF	FERENCE(	S)											
CLASS	SU	BCLASS (ON	NE SUBCLAS	S PER BLO	CK)										
381	60	312													
	<u> </u>														

/TAUNYA MCCARTY/ Examiner.Art Unit 2651	09/25/2013	Total Claims Allowed:				
(Assistant Examiner)	(Date)	20				
/DUC NGUYEN/ Supervisory Patent Examiner.Art Unit 2651	09/26/2013	O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1	2			

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170	
Application/Control No.	Applicant(s)/Patent Under Reexamination
13085016	MINDLIN ET AL.
Examiner	Art Unit
TAUNYA MCCARTY	2651

	Claims renumbered in the same order as presented by applicant							CPA						47	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1	13	17												
2	2	-	18												
-	3	14	19												
-	4	15	20												
-	5	16	21												
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/TAUNYA MCCARTY/ Examiner.Art Unit 2651	09/25/2013	Total Claims Allowed:		
(Assistant Examiner)	(Date)	20		
/DUC NGUYEN/ Supervisory Patent Examiner.Art Unit 2651	09/26/2013	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	2	

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/085,016	13/085,016 04/12/2011 Harold S. Mindlin		A046 - 0025US	1249
29150 LEE & HAYES	7590 11/14/201 <b>5, PLLC</b>	EXAMINER		
601 W. RIVERSIDE AVENUE		MCCARTY, TAUNYA A		
SUITE 1400 SPOKANE, W	A 99201		ART UNIT	PAPER NUMBER
			2651	
			NOTIFICATION DATE	DELIVERY MODE
			11/14/2013	ELECTRONICELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

lhpto@leehayes.com



# 1 UNITED STATES DEPARTMENT OF COMMERCE

**Ú.S. Patent and Trademark Office**Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET	
13/085,016	12 April, 2011	MINDLIN ET AL.		A046 - 0025US
			E	XAMINER
LEE & HAYES, PLLC 601 W. RIVERSIDE AVE	NUE		RICH	HARD ELLIS
SUITE 1400 SPOKANE, WA 99201			ART UNIT	PAPER
			OPIM	A-75879

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

The attached addendum forms part of the previously mailed PTOL-85 (Notice of Allowance and Fees Due). This addendum does NOT change the time period set in the PTOL-85 for payment of the issue fee.
ANY QUESTIONS REGARDING THIS COMMUNICATION SHOULD BE DIRECTED TO THE OFFICE OF PATENT LEGAL ADMINISTRATION AT (571) 272-7701.

PTO-90C (Rev.04-03)

# Notices of Allowance and Fee(s) Due mailed between October 1, 2013 and December 31, 2013

(Addendum to PTOL-85)

If the "Notice of Allowance and Fee(s) Due" has a mailing date on or after October 1, 2013 and before January 1, 2014, the following information is applicable to this application.

If the issue fee is being timely paid on or after January 1, 2014, the amount due is the issue fee and publication fee in effect January 1, 2014. On January 1, 2014, the issue fees set forth in 37 CFR 1.18 decrease significantly and the publication fee set forth in 37 CFR 1.18(d)(1) decreases to \$0.

If an issue fee or publication fee has been previously paid in this application, applicant is not entitled to a refund of the difference between the amount paid and the amount in effect on January 1, 2014.

# PART B - FEE(\$), TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Customer Number 29150 Lee & Hayes PLLC 601 W Riverside Ave Suite 1400 Spokane, WA 99201

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

#### Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

N/A filed via EFS-Web	(Depositor's name)
	(Signature)
	(Date)

						(Date)
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
13/085,016	4/12/2011	•	Harold S Mindlin II	A046	- 0025US	1249
TITLE OF INVENTION	<sup>:</sup> System and Me	thod of Progressiv	re Hearing Device A	djustment		
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	480	0		480	1/2/2014
EXAM	INER	ART UNIT	CLASS-SUBCLASS	]		
Taunya A	. McCarty	2651		•		
<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ol>			or agents OR, alternative (2) the name of a single registered attorney or a	3 registered patent attorwely, e firm (having as a membagent) and the names of urneys or agents. If no nan	per a 2	yes, PLLC
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or typ	pe)		
PLEASE NOTE: Unl recordation as set fortl	ess an assignee is ident n in 37 CFR 3.11. Comp	ified below, no assignee pletion of this form is NO	data will appear on the pa T a substitute for filing an	atent. If an assignee is is assignment.	dentified below, the do	ocument has been filed for
(A) NAME OF ASSIC	GNEE		(B) RESIDENCE: (CITY	and STATE OR COUNT	ΓRY)	
Audiotoniq, I	nc.		Austin	TX		
Please check the appropri	iate assignee category or	categories (will not be p	rinted on the patent) : $\Box$	Individual 🛭 Corporat	ion or other private gro	up entity 🔲 Government
4a. The following fee(s) a  ✓ Issue Fee	are submitted:  o small entity discount i		b. Payment of Fee(s): ( <b>Plea</b> A check is enclosed.  Payment by credit car			

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. /Andrew Eisenberg 69625/ Janurary 2, 2014 Authorized Signature \_

Andrew L. Eisenberg 69625 Typed or printed name Registration No.

an application. Confidentiality is governed by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Advance Order - # of Copies

5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

(enclose an extra copy of this form).

☑ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 12-0769 (enclose an extra copy of this fo

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

Electronic Patent Application Fee Transmittal						
Application Number:	13085016					
Filing Date:	12-Apr-2011					
Title of Invention:	System and Method of Progressive Hearing Device Adjustment					
First Named Inventor/Applicant Name:	Harold S. Mindlin					
Filer:	Andrew L. Eisenberg/Linda Clark					
Attorney Docket Number:	ttorney Docket Number: A046-0025US					
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Utility Appl Issue Fee		2501	1	480	480	
Publ. Fee- Early, Voluntary, or Normal 1504 1 0 0						

Description	181 <sub>Fee</sub> Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	Total in USD (\$)		480

Electronic Acknowledgement Receipt				
EFS ID:	17796192			
Application Number:	13085016			
International Application Number:				
Confirmation Number:	1249			
Title of Invention:	System and Method of Progressive Hearing Device Adjustment			
First Named Inventor/Applicant Name:	Harold S. Mindlin			
Customer Number:	29150			
Filer:	Andrew L. Eisenberg/Linda Clark			
Filer Authorized By:	Andrew L. Eisenberg			
Attorney Docket Number:	A046-0025US			
Receipt Date:	02-JAN-2014			
Filing Date:	12-APR-2011			
Time Stamp:	11:18:07			
Application Type:	Utility under 35 USC 111(a)			
Payment information:				

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$480
RAM confirmation Number	11514
Deposit Account	
Authorized User	

# File Listing:

1	Issue Fee Payment (PTO-85B)	183 11V4197.PDF	37243	no	1
'	issue reer dyment (r 10 05b)	1104137.1 01	4167d01807493e1983f524eb9b5ab4fb4db db54a		'
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Information:					
2	Fee Worksheet (SB06)	fee-info.pdf	31826	no	2
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Warnings:					
Information:					
	Total Files Size (in bytes): 69069		9069		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/085,016	02/18/2014	8654999	A046-0025US	1249

29150

01/29/2014

LEE & HAYES, PLLC 601 W. RIVERSIDE AVENUE

**SUITE 1400** 

SPOKANE, WA 99201

### ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 296 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Harold S. Mindlin, Austin, TX; David Matthew Landry, Austin, TX;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit SelectUSA.gov.

PTO/SB/80 (11-08)

Approved for use through 11/30/2011. OM8 0651-0035

U.S. Patent and Tradsmark Office; U.S. DEPARTMENT OF COMMERCE

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## POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b) I hereby appoint: Practitioners associated with the Customer Number: 25096 OR Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used): Registration Registration Name Name Number Number as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned grity to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CPR 3.73(b). Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to: The address associated with Customer Number: 25096 QRFirm or Individual Nama Address Zip State City Email Telephone Country Assignee Name and Address: III Holdings 4, LLC 2711 Centerville Rd Suite 400 Wilmington, Delaware 19808 A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTC/SB/86 or aquivalent) is required to be flied in each application in which this form is used. The statement under 37 CFR 3.73(b) may be compisted by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on bahalf of the assignee, and must identify the application in which this Power of Attorney is to be filed. SIGNATURE of Assignee of Record The individual whose signature and title is supplied below is authorized to act on behalf of the assignment Date Signature Tracy Lengke Telephone Name Authorized Person for III Holdings 4, LLC Title

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 36 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gettering, propering, and submitting the completed application form to the USPTO. Time will very depending upon the hidwidual case. Any comments on this amount of time you require to complete this form antifer suggestions for motioning this burdon, should be sent to the Crist Information Officer, U.S. Patent and Traitement Cities. U.S. Department of Commences, P.D. Bux 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ACORDED. SEND TO: Commissioner for Patents, P.D. Box 1450, Alexandria, VA 22313-1450.

# DECLARATION REGARDING AUTHORITY TO SIGN ON BEHALF OF A LEGAL ENTITY 37 C.F.R. 3.73(b)(2)(i)

I, Tracy Lemke (whos	e title is supplied b	elow), hereby	declare that I ar	m authorized t	o sign
documents on behalf of	of III Holdings 4, L	LC			

Tracy Lemke Authorized Person for III Holdings 4, LLC

\_\_\_\_7/\$//

Date

PTO/SB/96 (01-08)
Approved for use through 01/31/2008. OMB 0551-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no paracas are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Harold S. Mindlin, David Matthew Landry
Application No./Patent No.: 8,654,999 Filed/Issue Date: February 18, 2014
Entitled: System and Method of Progressive Hearing Device Adjustment
III Holdings 4, LLC , a Limited Liability Company (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:
1. x the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is%)
in the patent application/patent identified above by virtue of either:
An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel Frame , or for which a copy thereof is attached.
OR , or for which a copy thereof is attached.
A shall at title from the incorporate of the national confinction/patent identified above to the current sections so follows:
A chain of the front the inventor(s), of the patent application/patent identified above, to the content assigned as follows:      To: Audiotoniq, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 036586 , Frame 0001 , or for which a copy thereof is attached.
2. From: Audiatoniq, Inc. To: III Holdings 4, LLC
The document was recorded in the United States Patent and Trademark Office at
Reel 036536 , Frame 0249 , or for which a copy thereof is attached.
3. From:To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy ( <i>i.e.,</i> a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. <u>See</u> MPEP 302.08]
* * *
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
Signature Cate
Aaron J. Poliedna, Reg. No. 54,675 (206) 359-8000 Printed or Typed Name Telephone Number
Attorney for Assignee

Docket No.: 0320525667US2

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Mindlin et al.

Patent No.: 8,654,999

Confirmation No.: 1249

Issued: February 18, 2014

Art Unit: 2651

For: System and Method of Progressive Hearing

Examiner: T. A. McCarty

Device Adjustment

# NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Madam:

Assignee, III Holdings 4, LLC, hereby notifies the Patent and Trademark Office that it is no longer entitled to status as a small entity, and that the claim for small entity status previously filed by the Applicant is hereby withdrawn.

Application No.: 13/085,016

Docket No.: 0320525667US2

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 50-0665, under Order No. 0320525667US2 from which the undersigned is authorized to draw.

Dated: 1/22/16

Respectfully submitted,

Aaron J. Poledna

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Attorney for Applicant

Electronic Acknowledgement Receipt			
EFS ID:	23576700		
Application Number:	13085016		
International Application Number:			
Confirmation Number:	1249		
Title of Invention:	System and Method of Progressive Hearing Device Adjustment		
First Named Inventor/Applicant Name:	Harold S. Mindlin		
Customer Number:	29150		
Filer:	Aaron J. Poledna/Pamela Stenslie		
Filer Authorized By:	Aaron J. Poledna		
Attorney Docket Number:	A046-0025US		
Receipt Date:	23-SEP-2015		
Filing Date:	12-APR-2011		
Time Stamp:	11:27:42		
Application Type:	Utility under 35 USC 111(a)		

# Payment information:

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		2015_09_23_320525667US2_P	211923	ves	3
	1	OA.PDF	abefc6275c31abd1f661d19df47160f32748 0f58	, l	3

	Multipart Desc <b>ղigt</b> ion/PDF files in .zip description				
	Document De	Start	End		
	Power of Att	1	1		
	Miscellaneous Incoming Letter		2	2	
	Assignee showing of owner	rship per 37 CFR 3.73	3	3	
Warnings:					
Information:					
2	Maintenance Fee Address Change	2015_09_23_320525667US2_F	87377	no 1	
-	mantenance recondaress enange	ee Address. PDF	8c1b4c80e1d43ba13693a060ad52e04d2c8 2f26f		
Warnings:					
Information:					
3	Notification of loss of entitlement to	2015_09_23_320525667US2_L	52288	no 2	2
	small entity status	ossSmallEntity.PDF	7a612788492301009edd49509f8bb11be44 77f53		
Warnings:					
Information:					
		Total Files Size (in bytes)	35′	1588	

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/47 (03-09)
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address associated with:	3	
X Customer Number: 25096		
OR  The attached Request for Customer Number (P)	FO/SB/125) form.	
PATENT NUMBER (if known)	APPLICATION NUMBER	
8,654,999	13/085,016 Confirmation #1249	
Completed by (check one):		
Applicant/Inventor		
X Attorney or Agent of record 54,675 (Reg. No.)	Aaron J. Poledna Typed or printed name	
Assignee of record of the entire interest. See 37 CFR Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	Requester's telephone number	
Assignee recorded at Reel Frame	4/21/5 Date	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more that one signature is required, see below*.		
X * Total of forms are submitted.		



25096

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APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE A046-0025US

13/085,016

SEATTLE, WA 98111-1247

PERKINS COIE LLP - SEA General

04/12/2011

Harold S. Mindlin

**CONFIRMATION NO. 1249** 

**POA ACCEPTANCE LETTER** 



Date Mailed: 10/01/2015

### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/23/2015.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/zabraha/



29150

**SUITE 1400** 

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## United States Patent and Trademark Office

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APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

13/085,016 04/12/2011 Harold S. Mindlin

A046-0025US

CONFIRMATION NO. 1249
POWER OF ATTORNEY NOTICE



Date Mailed: 10/01/2015

### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/23/2015.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/zabraha/	