#### UNITED STATES PATENT AND TRADEMARK OFFICE

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#### BEFORE THE PATENT TRIAL AND APPEAL BOARD

GLOBALFOUNDRIES, INC.,

Petitioner,

v.

Godo Kaisha IP Bridge 1, Patent Owner.

IPR2017-00753

Patent 6,538,324 B1

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# PETITIONER'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(3)

Mail Stop "PATENT BOARD"

Patent Trial and Appeal Board U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450



Pursuant to 37 C.F.R. §42.8(a)(3), Petitioner, GlobalFoundaries, Inc. ("Global"), hereby submits the following Mandatory Notices. These notices include a change in backup counsel information. These notices are being timely filed within 21 days of January 23, 2017, the date Petitioner served the Petition for *Inter Partes* Review for the above-captioned case on Patent Owner.

#### I. REAL PARTY IN INTEREST -37 C.F.R. $\S42.8(b)(1)$

The Petitioner and real party in interest is:

GlobalFoundaries, Inc.

2600 Great America Way

Santa Clara, CA 95054

#### **II. RELATED MATTERS – 37 C.F.R.** §42.8(b)(2)

### A. Proceedings Before the USPTO Involving Related Patents

Petitioner is presently aware of the following related *Inter Partes* review proceedings:

- (1) IPR2016-01249 challenging claims 1-3, 5-7, and 9 of the '324 patent, filed on June 24, 2016, by Taiwan Semiconductor Manufacturing Company Limited (currently pending).
- (2) IPR2016-01264 challenging claims 1-3, 5-7, and 9 of the '324 patent, filed on June 24, 2016, by Taiwan Semiconductor Manufacturing Company Limited (currently pending).



(3) IPR2017-00757 challenging claims 1-3, 5-7, and 9 of the '324 patent, filed on January 23, 2017, by GlobalFoundaries, Inc. (currently pending).

### **B.** Litigation Involving the Patent-at-Issue

Petitioner is presently aware of the following litigations involving the patent-at-issue:

- (1) Gogo Kaisha IP Bridge 1 v. Broadcom Limited et al., USDC EDTEX 2:16-cv-00134-JRG-RSP; filed February 14, 2016 (currently pending): and
- (2) Gogo Kaisha IP Bridge 1 v. OminVision Technologies, Inc., USDC DEL 1:16-cv-00290-SLR; filed April 22, 2016 (currently pending).

### III. LEAD AND BACK-UP COUNSEL – 37 C.F.R. §42.8(b)(3)

**Lead Counsel:** Christopher P. Carroll

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#### IV. SERVICE INFORMATION – 37 C.F.R. §42.8(b)(4)

Please address all correspondence to both the lead and backup counsels as shown above. The Petitioner consents to electronic service to the email addresses shown above.

#### V. FEES

The Patent Trial and Appeal Board is hereby authorized to charge any fees owed by Global and associated with the *Inter Partes* Review No. IPR 2017-00753 of U.S. Patent No. 6,538,324 to Deposit Account No. 50-3672.

Date: January 25, 2017 Respectfully Submitted by:

/Christopher P. Carroll/

Christopher P. Carroll (Reg. No. 55776) 75 State Street

Boston, MA 02109-1814

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Lead Counsel for Petitioner GLOBALFOUNDRIES, INC.



# CERTIFICATION OF SERVICE

The undersigned certifies that, on the 25<sup>th</sup> day of January, a true copy of the forgoing:

#### PETITION'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(3)

was served to the Patent Owner by serving the correspondence address of record for the '324 Patent to:

Attn: Paul J. Esatto, Jr SCULLY SCOTT MURPHY & PRESSER, PC 400 Garden City Plaza Suite 300 Garden City NY 11530

Courtesy copies of the foregoing were also served by express mail on counsel of record for the Petitioner and Patent Owner in *Taiwan* Semiconductor Manufacturing Company Limited v. Godo Kaisha IP Bridge 1, Case No. IPR2016-01249 as follows:

E. Robert Yoches Finnegan, Henderson, Farabow, Garrett & Dunner LLP 901 New York Avenue, NW Washington DC 20001-4413

Michael J. Fink Greenblum & Bernstein, P.L.C. 1950 Roland Clarke Place Reston, VA 20191



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