

Paper No. _____
Filed: February 10, 2017

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC.,
Petitioner,

v.

CALIFORNIA INSTITUTE OF TECHNOLOGY,
Patent Owner.

Case IPR2017-00701
Patent No. 7,421,032

**PATENT OWNER CALIFORNIA INSTITUTE OF TECHNOLOGY'S
MANDATORY NOTICES UNDER 37 C.F.R. § 42.8**

A. Real Party-In-Interest

In accordance with 37 C.F.R. § 42.8(b)(1), Patent Owner identifies California Institute of Technology as the real party-in-interest.

B. Related Matters

In accordance with 37 C.F.R. § 42.8(b)(2), Patent Owner identifies the following related matters:

California Institute of Technology v. Hughes Communs., Inc., CACD, 2:13-cv-07245, October 1, 2013; *California Institute of Technology v. Broadcom, Ltd. et al.*, CACD, 2:16-cv-03714, May 26, 2016. *Hughes Communs., Inc. v. California Institute of Technology*, PTAB, IPR2015-00059, October 14, 2014; *Hughes Communs., Inc. v. California Institute of Technology*, PTAB, IPR2015-00060, October 14, 2014; *Hughes Communs., Inc. v. California Institute of Technology*, PTAB, IPR2015-00061, October 14, 2014; *Hughes Communs., Inc. v. California Institute of Technology*, PTAB, IPR2015-00067, October 14, 2014; *Hughes Communs., Inc. v. California Institute of Technology*, PTAB, IPR2015-00068, October 14, 2014; *Hughes Communs., Inc. v. California Institute of Technology*, PTAB, IPR2015-00081, October 17, 2014; *Apple Inc v. California Institute of Technology*, PTAB, IPR2017-00210, November 15, 2016; *Apple Inc v. California Institute of Technology*, PTAB, IPR2017-00211, November 15, 2016; *Apple Inc v. California Institute of Technology*, PTAB, IPR2017-00219, November 15, 2016; *Apple Inc v. California Institute of*

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Technology, PTAB, IPR2017-00297, December 12, 2016; *Apple Inc v. California Institute of Technology*, PTAB, IPR2017-00423, December 12, 2016; *Apple Inc v. California Institute of Technology*, PTAB, IPR2017-00700, January 20, 2017; and *Apple Inc v. California Institute of Technology*, PTAB, IPR2017-00728, January 20, 2017.

C. Lead and Back-up Counsel

In accordance with 37 C.F.R. § 42.8(b)(3), Patent Owner provides the following designation of counsel:

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D. Electronic Service

Patent Owner consents to electronic service by email at the email addresses provided above.

Respectfully submitted,

Date: February 10, 2017

/ Michael T. Rosato /
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CERTIFICATE OF SERVICE

The undersigned certifies that the foregoing Patent Owner California Institute of Technology's Mandatory Notices Under 37 C.F.R. § 42.8 and accompanying Power of Attorney were served on February 10, 2017, at the following address of record for the subject patent:

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/ Michael T. Rosato /
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