Paper No.16 Filed: July 28, 2017

# UNITED STATES PATENT AND TRADEMARK OFFICE

### BEFORE THE PATENT TRIAL AND APPEAL BOARD

JUNIPER NETWORKS, INC., BROCADE COMMUNICATIONS SYSTEMS, INC., and RUCKUS WIRELESS, INC., Petitioner,

v.

MOBILE TELECOMMUNICATIONS TECHNOLOGIES, LLC, Patent Owner.

Case IPR2017-00640 Patent 5,659,891

Before MEREDITH C. PETRAVICK, SCOTT A. DANIELS, and MIRIAM L. QUINN, *Administrative Patent Judges*.

PETRAVICK, Administrative Patent Judge.

DECISION Decision Instituting Inter Partes Review 37 C.F.R. § 42.108



# I. INTRODUCTION

### A. Background

Petitioner, as captioned above, filed a Petition to institute an *inter partes* review of U.S. Patent No. 5,659,891 ("the '891 patent") pursuant to 35 U.S.C. § 311–319. Paper 1 ("Pet."). Mobile Telecommunications Technologies, LLC ("Patent Owner") timely filed a Preliminary Response (Paper 8) and a Corrected Preliminary Response (Paper 13, "Prelim. Resp."). We have authority to determine whether to institute an *inter partes* review under 35 U.S.C. § 314 and 37 C.F.R. § 42.4(a).

For the reasons discussed below, we determine that Petitioner establishes a reasonable likelihood of prevailing on the challenged claims. Accordingly, we institute an *inter partes* review of claims 1–5 of the '891 patent.

# B. Additional Proceedings

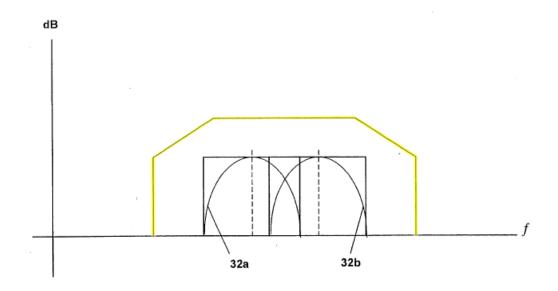
Both parties indicate that the '891 patent is the subject of numerous district court proceedings. Pet. 1–2; Paper 7, 1–2.

In addition, both parties indicate that the '891 patent is the subject of a number of *inter partes* review proceedings. Pet. 2–3; Paper 7, 2–3. *ARRIS Group, Inc. v. Mobile Telecommunications Technologies, LLC*, Case IPR2016-00766 (PTAB filed Mar. 16, 2016) and *Aruba Networks, Inc. v. Mobile Telecommunications Technologies, LLC*, Case IPR2016-00768 (PTAB filed Mar. 16, 2016) ("the *Aruba* IPR") are joined and pending a final written decision. The following *inter partes* review proceedings were all terminated pursuant to settlement agreements between the respective parties: *Apple Inc. v. Mobile Telecommunications Technologies, LLC*, Case IPR2014-01035 (PTAB June 27, 2014); *T-Mobile USA Inc. v. Mobile*  *Telecommunications Technologies, LLC*, Case IPR2015-00018 (PTAB filed Oct. 3, 2014), and *Samsung Electronics Co., Ltd. v. Mobile Telecommunications Technologies, LLC*, Case IPR2015-01726 (PTAB filed Aug. 13, 2015). Institution was denied in *Samsung Electronics Co., Ltd. v. Mobile Telecommunications Technologies, LLC*, Case IPR2015-01727 (PTAB filed Aug. 13, 2015).

# C. The '891 Patent

The '891 patent (Ex. 1001), titled "Multicarrier Techniques in Bandlimited Channels," generally relates to a method for multicarrier modulation ("MCM") using geographically co-located transmitters to achieve a higher frequency transmission capacity within FCC emission mask limits. The method provides for a plurality of overlapping subchannels within a single mask-defined bandlimited channel to provide higher data transmission capacity for a mobile paging system. Ex. 1001, 2:15–59. The technique involves transmitting a plurality of paging carriers, in corresponding overlapping subchannels, from the same location and within the mask-defined bandlimited channel, without bandlimiting each of the individual subchannels. *Id.* In this way, with the center frequencies of the plurality of modulated carriers within the single bandlimited channel, an optimum transmission capacity is provided and the plurality of carriers may emanate from the same transmission source, i.e., an antenna. *Id.* 

An annotated version of Figure 3B of the '891 patent, reproduced below, depicts two adjacent carriers asymmetrically located within a single, mask-defined, bandlimited channel.



As depicted by Figure 3B of the '891 patent, above, two carriers 32a and 32b are shown operating over two subchannels (no reference number) within a bandlimiting mask (annotated in yellow) defining the channel. The subchannels are asymmetrically aligned within the mask resulting in partial subchannel overlap. *Id.* at 4:24–30. The center frequencies of the carriers 32a and 32b are shown by the vertical dashed lines, and, concomitant with the subchannels, carriers 32a and 32b also overlap. According to the '891 patent, geographic co-location of the transmitters reduces interference problems between adjacent subcarriers, thus allowing the spacing between subchannels to be reduced. *Id.* at 4:12–20. The '891 patent explains that the practical implications of such an asymmetrical arrangement are a greater range of operating parameters, essentially because more subchannels can be fit within the bandlimited mask without undue interference. *Id.* at 4:36–46.

D. Illustrative Claim

Claims 1, 3, and 5 are independent. Dependent claims 2 and 4 depend directly from claims 1 and 3, respectively. Claim 1 illustrates the claimed subject matter and is reproduced below:

1. A method of operating a plurality of paging carriers in a single mask-defined, bandlimited channel comprising the step of transmitting said carriers from the same location with said carriers having center frequencies within said channel such that the frequency difference between the center frequency of the outer most of said carriers and the band edge of the mask defining said channel is more than half the frequency difference between the center frequency difference to the center frequency of each adjacent carrier.

# E. The Alleged Grounds of Unpatentability

Petitioner contends that the challenged claims are unpatentable on the following grounds.

References	Basis	Claims Challenged
MTel Petition <sup>1</sup>	§ 103	1-4
MTel Petition, the '960	§ 103	5
Publication <sup>2</sup> , and Louttit <sup>3,4</sup>		

<sup>&</sup>lt;sup>1</sup> Ex. 1005, In the Matter of Mobile Telecommunication Technologies Corporation, Petition for Rulemaking, dated November 12, 1991.

<sup>&</sup>lt;sup>2</sup> Ex. 1006, WO 94/11960, published May 24, 1994.

<sup>&</sup>lt;sup>3</sup> Ex. 1014, U.S. Patent No. 4,513,443, issued Apr. 23, 1985.

<sup>&</sup>lt;sup>4</sup> Petitioner did not include Louttit in its statement of the ground, per 37 C.F.R. § 42.104(B). *See* Pet. 5. Petitioner, however, did include a discussion of Louttit when addressing this ground in the Petition. *See* Pet. 63, n. 9. We, thus, determine that the omission of Louttit from the statement of the ground is harmless.

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