### UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE, INC., Petitioner,

v.

ANDREA ELECTRONICS CORP., Patent Owner.

Cases IPR2017-00626 and IPR2017-00627 Patent 6,363,345 B2

> Record of Oral Hearing Held: April 25, 2018

Before STEPHEN C. SIU, MICHAEL R. ZECHER, and JEREMY M. PLENZLER, *Administrative Patent Judges*.



#### **APPEARANCES:**

ON BEHALF OF THE PETITIONER, APPLE, INC.:

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ON BEHALF OF THE PATENT OWNER, ANDREA ELECTRONICS CORP.:

WILLIAM D. BELANGER, ESQUIRE BRADLEY T. LENNIE, ESQUIRE PEPPER HAMILTON, LLP 125 High Street 19th Floor, High Street Tower Boston, MA 02110 (617) 204-5100

The above-entitled matter came on for hearing on Wednesday, April 25, 2018, commencing at 1:00 p.m., at the U.S. Patent and Trademark Office, 1961 Stout Street, Denver, Colorado.



1	PROCEEDINGS
2	JUDGE ZECHER: Please be seated. All right. Good
3	afternoon. I'm Judge Zecher. I'm here with two of my
4	colleagues that are participating remotely as you can tell
5	(inaudible). We have Judge Siu who is on my left and Judge
6	Plenzler who is on my right.
7	This is an oral hearing for two proceedings. Cases
8	IPR2017-00626 and IPR2017-00627, both of which address U.S.
9	Patent No. 6,363,345. In each case we instituted an IPR as
10	to claims, 1 through 25 and 38 through 47 based on various
11	grounds.
12	As we outlined in the trial hearing order, we gave
13	each party a total of 45 minutes of arguments. We're going
14	to have Petitioner present first given that that they carry
15	the burden of persuasion here. They can reserve a certain
16	amount of rebuttal time at which point Patent Owner will
17	present their case and then Petitioner will use the remainder
18	of their rebuttal time.
19	Just to begin the proceeding and so the record is
20	clear, I'd like each person to step up each party starting
21	with Petitioner to step up to the microphone, state your name
22	and then followed by Patent Owner. And then just as a reminder
23	because we have two judges participating remotely,
24	any reference to slides need to be clear and explicit and
25	obviously when talking please be at the microphone.
26	Petitioner?



- 1 MR. KUSHAN: Good afternoon. My name is Jeff
- 2 Kushan. I'm with Sidley Austin (inaudible).
- 3 JUDGE ZECHER: Thank you.
- 4 MR. BELANGER: Good afternoon. William Belanger
- 5 with Pepper Hamilton on behalf of Andrea. With me is Brad
- 6 Lennie, also with Pepper Hamilton.
- 7 JUDGE ZECHER: Thank you very much. All right.
- 8 I'll turn the floor over to Mr. Kushan and how many -- how
- 9 much time would you like to reserve for rebuttal?
- MR. KUSHAN: We would like to reserve approximately
- 11 20 minutes for rebuttal.
- 12 JUDGE ZECHER: Okay. You may begin.
- MR. KUSHAN: Thank you, Your Honors. Today we are
- 14 going to focus, as you've asked us to, on the issue
- 15 (inaudible) proceedings. I'm going to be covering the 626
- 16 proceedings based on Hirsch and my colleague, Mr. Broughan,
- will be covering the proceeding based on Helf which is the
- 18 627 proceeding.
- 19 These proceedings -- oh, I'm sorry. Would you like
- 20 (inaudible)?
- JUDGE ZECHER: Oh, yes. Certainly approach. Thank
- 22 you.
- MR. KUSHAN: Thank you. So at a high level there
- 24 are essentially three fundamental problems we see in
- 25 responses (inaudible) patent owner.
- First, they've identified a number of supposed



- distinctions relative to the claims but the claims don't have
  language carrying those distinctions into relevance in the
- 3 case.
- 4 The second issue is that they've been using a
- 5 (inaudible) KSR.
- 6 And finally, we think there are a number of issues
- 7 in which they have mischaracterized what the references are
- 8 teaching and what actually the testimony has been.
- 9 If you could go to Slide 3. Now importantly, the
- 10 independent claims that are being contested have not been
- disputed as being anticipated by Hirsch or by Helf. In this
- 12 case Hirsch is the one I'm going to focus on. There's really
- three disputes in the 626 proceeding. The first one I'm
- 14 going to address is whether a skilled person would have
- 15 combined Hirsch with Martin.
- The second set of issues relate to whether Martin
- teaches the techniques that are reflected in some of the
- 18 Dependent Claims 4, 6 and 10.
- And the third disputed issue is whether a skilled
- 20 person would have looked to a variety of publications
- 21 describing conventional techniques of signal processing to
- find the (inaudible).
- 23 If you could go to Slide 4. And the first issue is
- just would a person skilled in the art have considered Martin
- 25 along with Hirsch and we think the evidence is pretty clear
- 26 the answer is yes.



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