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SUBSTITUTE STATEMENT

| *************************************** | | *************************************** | *************************************** | | | | | |
|---|--|---|---|--|--|--|--|--|
| Circumstances permitting execution of this substitute statement: | | | | | | | | |
| Inventor is deceased. | | | | | | | | |
| Inventor is under legal incapacity. | | | | | | | | |
| Inventor cannot be found or reached after diligent effort, or | | | | | | | | |
| Inventor has refused to execute the ceth or decleration under 37 CFR 1.63. | | | | | | | | |
| If there are joint inventors, please check the appropriate box below: | | | | | | | | |
| An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted. | | | | | | | | |
| OR | | | | | | | | |
| Statement Supplementa | An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b). | | | | | | | |
| | WARNING: | | | | | | | |
| contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTC-2038 submitted for payment purposes) is never required by the USPTC to support a petition or an application. If this type of personal information is included in documents submitted to the USPTC, petitioners/applicants should consider reducting such personal information from the documents before submitting them to the USPTC. Petitioner/applicant is advised that the record of a patent application is evallable to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be evallable to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTC-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. | | | | | | | | |
| PERSON EXECUTING THIS SUBS | TITUTE STATEMENT: | *************************************** | *************************************** | | | | | |
| Debra D. Condino ////と:/ASS/5/MAY SECREMAY (ASS/6/ME) | | | | | | | | |
| Signature: DOS SUSS | | | | | | | | |
| Residence (unities provided in an a | pilcetion data sheet, PTO/AIA/14 or e | inivajeut): | *************************************** | | | | | |
| _{cw} Irvine | _{State} CA | Courney US | 000000000000000000000000000000000000000 | | | | | |
| Mailing Address (unless provided in an application data sheet, PTC/AIA/14 or equivalent) 2525 Dupont Drive-T2-7H | | | | | | | | |
| _{cw} Irvine | CA CA | _{zo} 92612 | Country US | | | | | |
| Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63. | | | | | | | | |

[Page 2 of 2]



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 opposing coursel in the course of settlement negotiations.
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- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records menagement practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
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Doc Code: TRACK1.REQ

Document Description: TrackOne Request

PTO/AIA/424 (03-14)

CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e) (Page 1 of 1)

| First Named Inventor: | Andrew Acheampong | Nonprovisional Application Number (if known): | |
|--------------------------|----------------------------|---|--|
| Title of Invention: | METHODS OF PROVIDING THERA | | |

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

- 1. The processing fee set forth in 37 CFR 1.17(i)(1), the prioritized examination fee set forth in 37 CFR 1.17(c), and if not already paid, the publication fee set forth in 37 CFR 1.18(d) have been filed with the request. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.
- 2. I understand that the application may not contain, or be amended to contain, more than four independent claims, more than thirty total claims, or any multiple dependent claims.
- 3. The applicable box is checked below:
 - I. Original Application (Track One) Prioritized Examination under § 1.102(e)(1)
- i. (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a).
 This certification and request is being filed with the utility application via EFS-Web.
 —OR—
 - (b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.
- ii. An executed inventor's oath or declaration under 37 CFR 1.63 or 37 CFR 1.64 for each inventor, <u>or</u> the application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is filed with the application.
 - II. Request for Continued Examination Prioritized Examination under § 1.102(e)(2)
- i. A request for continued examination has been filed with, or prior to, this form.
- ii. If the application is a utility application, this certification and request is being filed via EFS-Web.
- iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.
- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

| Signature/Laura L. Wine/ | _{Date} March 21, 2014 |
|-----------------------------|--------------------------------|
| Name | Practitioner |
| (Print/Typed) Laura L. Wine | Registration Number 68681 |

<u>Note</u>: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required.*



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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence
 to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of
 settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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Page 2

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| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | 17618CON6CON1(AP) | | | | |
|--|---|------------------------|-------------------|--|--|--|--|
| | | Application Number | | | | | |
| Title of Invention | METHODS OF PROVIDING THERAPEUTIC EFFECTS USING CYCLOSPORIN COMPONENTS | | | | | | |
| The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application. | | | | | | | |

Secrecy Order 37 CFR 5.2

| 7 Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to |
|---|
| [⊥] 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.) |

| Inven | tor | nformation | on: | | | | | | | | | |
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| Invent | or | 1 | | | | | | | | Re | emove | |
| Legal I | Name | | | | | | | | | | | |
| Prefix | ix Given Name | | | | Middle Name | | | Family Name | | | Suffix | |
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| City | | Irvine | | | Stat | | te/Prov | ovince CA | | | | |
| Postal | Code | • | 92604 | | | Cou | ıntry | | US | | | |
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| Legal I | Name | | | | | | | | | | | |
| Prefix | Give | en Name | | | Middle Name | | | Family Name | | | Suffix | |
| | Dian | e | | | D. | | | | Tang-Liu | | | |
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| Address 1 3726 Las Vega | | | gas | s Blvd., S Unit 3303 W | | | | | | | | |
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| Invent | or | 3 | | | | | | | | Re | emove | |
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