

Filed on behalf of: Schaeffler Technologies AG & Co. KG

Date: December 22, 2016

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UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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Valeo North America, Inc.  
and Valeo Embrayages,  
Petitioners,

v.

Schaeffler Technologies AG  
& Co. KG,  
Patent Owner.

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Case IPR2017-00442

U.S. Patent No. 8,573,374

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**MANDATORY NOTICE**

Pursuant to 37 C.F.R. § 42.8, Patent Owner, Schaeffler Technologies AG & Co. KG submits the following Mandatory Notices in response to the Petition for *Inter Partes* Review of U.S. Patent No. 8,573,374 (hereinafter the “’374 patent”).

### **1. Real Party-In-Interest**

In accordance with 37 C.F.R. § 42.8(b) (1), Patent Owner identifies the real party-in-interest as the assignee Schaeffler Technologies AG & Co. KG. Schaeffler Technologies AG & Co. KG is a subsidiary of Schaeffler AG. Patent Owner further identifies LuK GmbH & Co. KG, a subsidiary of Schaeffler Technologies AG & Co. KG, as being an economic owner of the ’374 patent.

### **2. Related Matters**

In accordance with 37 C.F.R. § 42.8(b) (2), Patent Owner identifies the following related proceedings which may affect or be affected by a decision in this proceeding: IPR2017-00441 regarding the ’374 patent which is the subject of this proceeding.

### **3. Lead and Back-Up Counsel**

In accordance with 37 C.F.R. § 42.8(b)(3), Patent Owner identifies Cary Kappel as lead counsel and William Gehris and David Petroff as back-up counsel:

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#### **4. Service Information**

In accordance with 37 C.F.R. § 42.8(b) (4), Patent Owner identifies the following service information:

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Respectfully submitted,

December 22, 2016

By:                   /Cary Kappel/                  

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*Counsel for Patent Owner*

**CERTIFICATE OF  
SERVICE**

I hereby certify that on this 22nd day of December, 2016, a true and correct copy of the foregoing PATENT OWNER'S MANDATORY NOTICES was served by electronic mail and Federal Express overnight delivery, upon the following counsel of record for Petitioners Valeo North America, Inc. and Valeo Embrayages:

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