

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC.,
Petitioner,

v.

CALIFORNIA INSTITUTE OF TECHNOLOGY,
Patent Owner.

Case IPR2017-00423
Patent 7,916,781

**CALIFORNIA INSTITUTE OF TECHNOLOGY'S MANDATORY
NOTICES PURSUANT TO 37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8, California Institute of Technology (“Caltech”) timely files these mandatory notices with the Patent Trial and Appeal Board.

I. REAL PARTY IN INTEREST (37 C.F.R. § 42.8(b)(1))

The real party in interest is California Institute of Technology. Caltech is the owner of all right to U.S. Patent No. 7,916,781 (“the ’781 patent”). Evidence supporting Caltech’s status as the owner of the ’781 patent is found at reel/frame 021710/0863 showing assignment of the ’781 patent to Caltech.

II. RELATED MATTERS (37 C.F.R. § 42.8(b)(2))

Caltech identifies the following district court and PTAB proceedings involving the ’781 patent:

- *The California Institute of Technology v. Broadcom Limited et al.*, Case No. 2:16-cv-03714 (C.D. Cal.), filed on May 26, 2016; and
- Petition for *Inter Partes* Review No. IPR2017-00297, filed by Apple Inc. on December 12, 2016.

Caltech further identifies the following concluded district court and PTAB proceedings involving the ’781 patent:

- *The California Institute of Technology v. Hughes Communications, Inc. et al.*, Case No. 2:15-cv-01108 (C.D. Cal.), filed on February 17, 2015;
- *The California Institute of Technology v. Hughes Communications, Inc. et al.*, Case No. 2:13-cv-07245 (C.D. Cal.), filed on October 1, 2013; and
- Petition for *Inter Partes* Review No. IPR2015-00059, filed by Hughes Communications Inc. on October 14, 2014.

III. LEAD AND BACKUP COUNSEL (37 C.F.R. § 42.8(b)(3))

Caltech provides the following designation of counsel:

Lead Counsel: James M. Glass (Registration No. 46,729)
QUINN EMANUEL URQUHART & SULLIVAN LLP
51 Madison Avenue, 22nd Floor
New York, NY 10010
Telephone: (212) 849-7000
Email: jimglass@quinnemanuel.com

Back-up Counsel: Kevin P.B. Johnson (Registration No. 38,927)
Email: kevinjohnson@quinnemanuel.com
Todd M. Briggs (Registration No. 44,040)
Email: toddbriggs@quinnemanuel.com
QUINN EMANUEL URQUHART & SULLIVAN LLP
555 Twin Dolphin Dr., 5th Floor
Redwood Shores, CA 94065
Telephone: (650) 801-5000

IV. SERVICE INFORMATION

Caltech consents to service by electronic mail at
jimglass@quinnemanuel.com, kevinjohnson@quinnemanuel.com, and
toddbriggs@quinnemanuel.com.

Case IPR2017-00423
Patent No. 7,916,781

Date: January 3, 2017

Respectfully submitted,

By: /s/ James M. Glass

James M. Glass (Reg. 46,729)

QUINN EMANUEL URQUHART &
SULLIVAN

51 Madison Ave., 22nd Fl.

New York, NY 10010

T: (212) 849-7000

F: (212) 849-7100

jimglass@quinnemanuel.com

*Attorney for Patent Owner California Institute of
Technology*

CERTIFICATE OF SERVICE

The undersigned hereby certifies that the foregoing Mandatory Notices of The California Institute of Technology were served on counsel of record for the Petitioner, Richard Goldberg and Brian M. Seeve, on January 3, 2017, at the following email address:

richard.goldenberg@wilmerhale.com

Date: January 3, 2017

By: /s/ James M. Glass

James M. Glass (Reg. 46,729)

QUINN EMANUEL URQUHART &
SULLIVAN

51 Madison Ave., 22nd Fl.

New York, NY 10010

T: (212) 849-7000

F: (212) 849-7100

jimglass@quinnemanuel.com

*Attorney for Patent Owner California Institute of
Technology*