

Filed on behalf of Valencell, Inc.

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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC.,
Petitioner,

v.

VALENCELL, INC.,
Patent Owner.

Case IPR2017-00321
U.S. Patent No. 8,923,941

PATENT OWNER'S RESPONSE

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Patent Trial and Appeal Board
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, Virginia 22313-1450

TABLE OF CONTENTS

I. Introduction.....1

II. Overview of the '941 Patent3

III. Claim Construction7

 A. Legal Principles.....7

 B. “PPG sensor” must be construed as “an optical sensor which obtains a plethysmogram that results from blood flow modulations caused by the subject’s heartbeat”9

IV. Grounds 1 and 2 fail because Petitioner cannot meet its burden to establish that the combination of Kosuda and Maekawa renders claims 14, 15, and 17-21 obvious.9

 A. An overview of the art shows that each piece of art lacks essential elements of claim 14 of the '941 patent.11

 1. Kosuda does not disclose a device with a housing enclosing either a chipset with a PPG sensor or non-air light transmissive material.11

 2. Maekawa does not discuss reduction of motion noise.13

 B. Neither Kosuda nor Maekawa discloses a chipset within the housing that encloses a PPG sensor.15

 C. A POSA would not have been motivated to combine Kosuda and Maekawa to meet element [14.7] because Maekawa would not solve the problem presented in Kosuda.18

V. Grounds 3 and 4 fail because Petitioner fails to meet its burden to establish that Aceti in view of Fricke renders claims 14-21 obvious.25

 A. An overview of the asserted prior art shows that each piece of art lacks at least one essential element of claim 14.25

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1.	Aceti discloses monitoring a physiological parameters from physiological characteristics present within an auditory canal using multiple housings.	25
2.	Fricke is directed to measurement of physiological signals, but not an apparatus containing a housing, window, or non-air light transmissive material.	28
B.	Neither Aceti nor Fricke discloses a window that optically exposes a PPG sensor to the body of a subject and a chipset in the same housing.	29
VI.	The dependent claims fail because Petitioner has not met its burden of showing that the independent claim from which they depend is obvious.....	33
VII.	Patent Owner does not consent to the PTAB adjudicating the patentability or validity of the '941 patent.	33
VIII.	Conclusion	34

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PATENT OWNER'S EXHIBIT LIST

Exhibit No.	Description
2001	Skip West, Valencell and RapidSOS Honored with CTA's 2016 Innovation Entrepreneur Awards
2002	Biometrics Lab: Performance of Leading Optical Heart Rate Monitors During Interval Exercise Conditions
2003	Valencell website (http://valencell.com/customers/)
2004	Electrical (ECG) vs. Optical-based (PPG) Biosensors in Wearable Devices
2005	Estimating Respiratory and Heart Rates from the Correntropy Spectral Density of the Photoplethysmogram
2006	Continuous Blood Pressure Measurement by Using the Pulse Transit Time: Comparison to a Cuff-Based Method
2007	How an LDV/LDA works
2008	A New Look at the Essence of the Imaging Photoplethysmography
2009	Declaration of T. William Kennedy - PHV Motion
2010	Declaration of Luca Pollonini
2011	Deposition of Majid Sarrafzadeh
2012	Tur, Ethel, et al. "Basal perfusion of the cutaneous microcirculation: measurements as a function of anatomic position." <i>Journal of Investigative Dermatology</i> 81.5 (1983): 442-446.
2013	Kamal, A. A. R., et al. "Skin Photoplethysmography—A Review." <i>Computer Methods and Programs in Biomedicine</i> 28.4 (1989): 257-269.
2014	Arimoto, Hidenobu, Mariko Egawa, and Yukio Yamada. "Depth Profile of Diffuse Reflectance Near-Infrared Spectroscopy for Measurement of Water Content in Skin." <i>Skin Research and Technology</i> 11.1 (2005): 27-35.
2015	Khalil, Omar S., et al. "Method For Modulating Light Penetration Depth In Tissue And Diagnostic Applications Using Same." U.S. Patent No. 7,043,287. 9 May 2006.

I. Introduction

Valencell's Patent No. 8,923,941 (the "'941 patent") describes a novel wearable device for processing signals from both a photoplethysmographic ("PPG") sensor and another physical or motion sensor. As particularly claimed in the apparatus claims 14-21 of the '941 patent, the signal processor within the chipset of said device uses data from the PPG sensor and motion sensor to reduce the motion noise artifacts from the PPG signals. This, along with the use of a non-air light transmissive material, allows a user wearing the device to receive accurate data from a PPG sensor (such as a heart rate reading), regardless of the type of physical activity in which the user is engaged. These were novel advancements in the art, and Petitioner Apple Inc. ("Petitioner") has not met its burden to prove that either of the two proposed primary combinations render the challenged claims obvious. Thus, all four instituted grounds fail.

Grounds 1 and 2 fail because the proposed combination of U.S. Patent Application Publication 2004/0186387 ("Kosuda") and Japanese Patent Application Publication No. 2005/270544 ("Maekawa") suffers from at least two defects, each of which is fatal to Petitioner's argument of unpatentability of claims 14 and all the claims that depend from it.

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