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<sup>a</sup>(54) **SERIAL CONCATENATION OF INTERLEAVED CONVOLUTIONAL CODES FORMING TURBO-LIKE CODES**

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**H04B 1/66** (2006.01)

(52) **U.S. Cl.** ..... **375/240; 375/285; 375/296; 714/801; 714/804**

(58) **Field of Classification Search** ..... **375/240, 375/240.24, 254, 285, 295, 296, 260; 714/755, 714/758, 800, 801, 804, 805**

See application file for complete search history.

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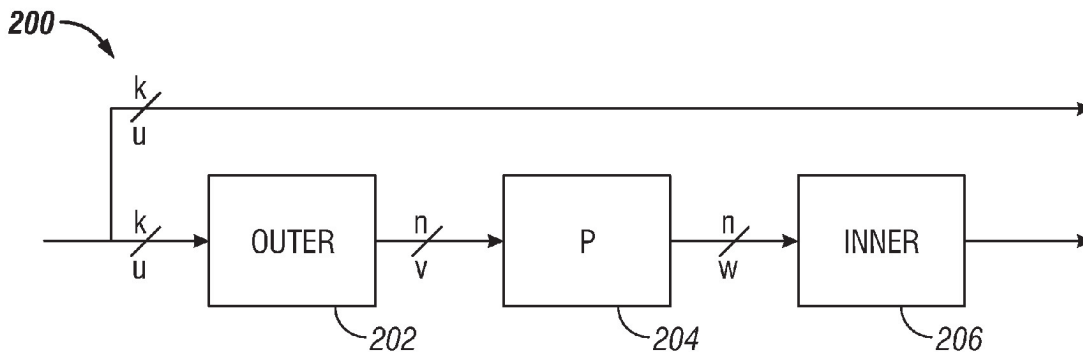
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(57) **ABSTRACT**

A serial concatenated coder includes an outer coder and an inner coder. The outer coder irregularly repeats bits in a data block according to a degree profile and scrambles the repeated bits. The scrambled and repeated bits are input to an inner coder, which has a rate substantially close to one.

**22 Claims, 5 Drawing Sheets**



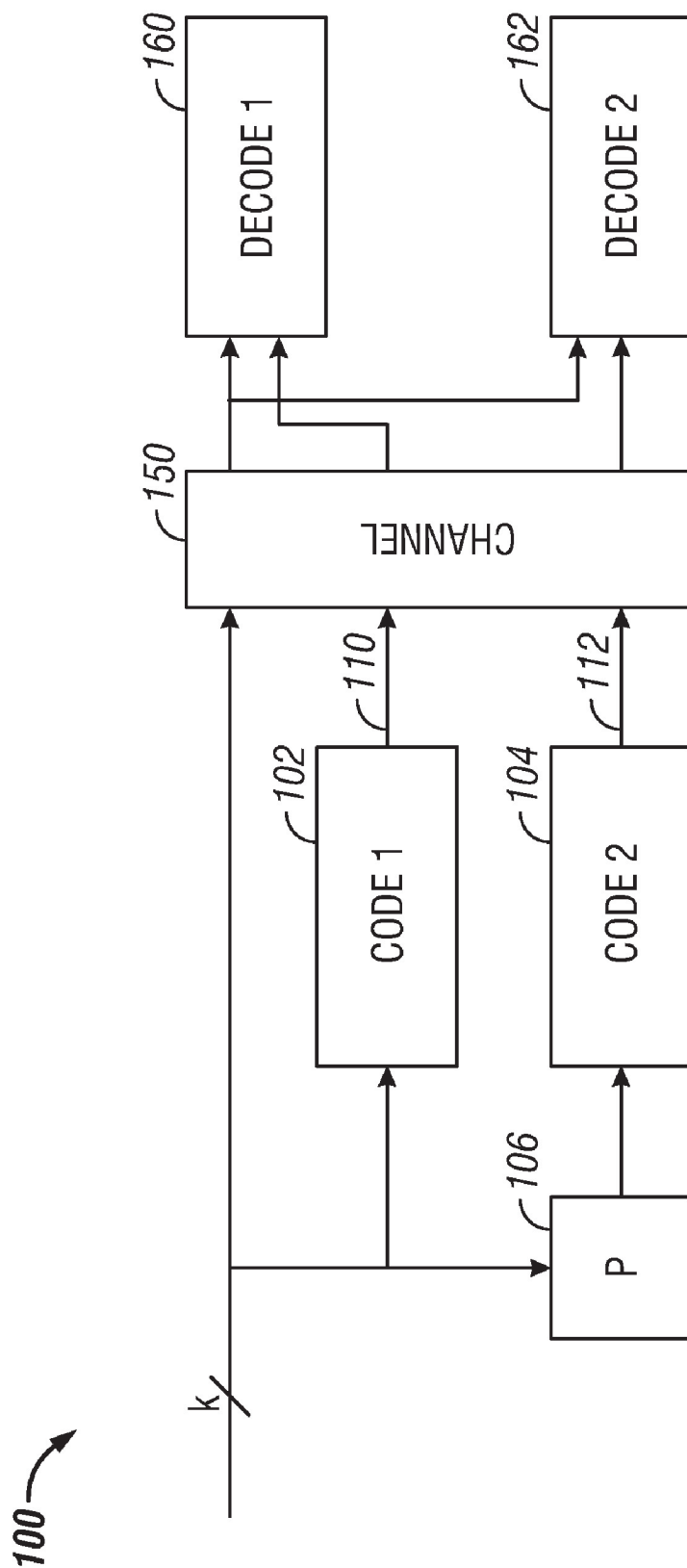
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**FIG. 1**  
*(Prior Art)*

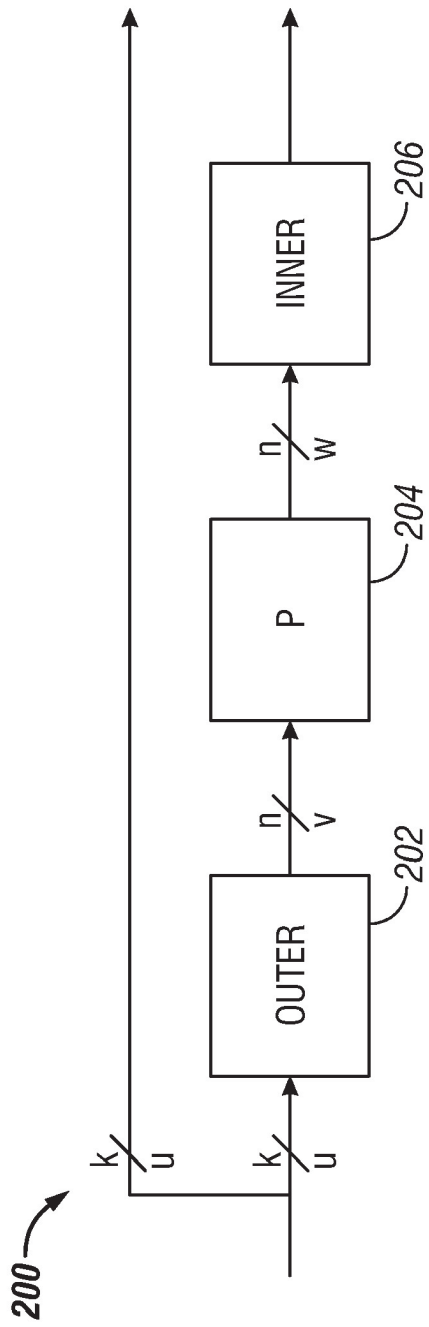


FIG. 2

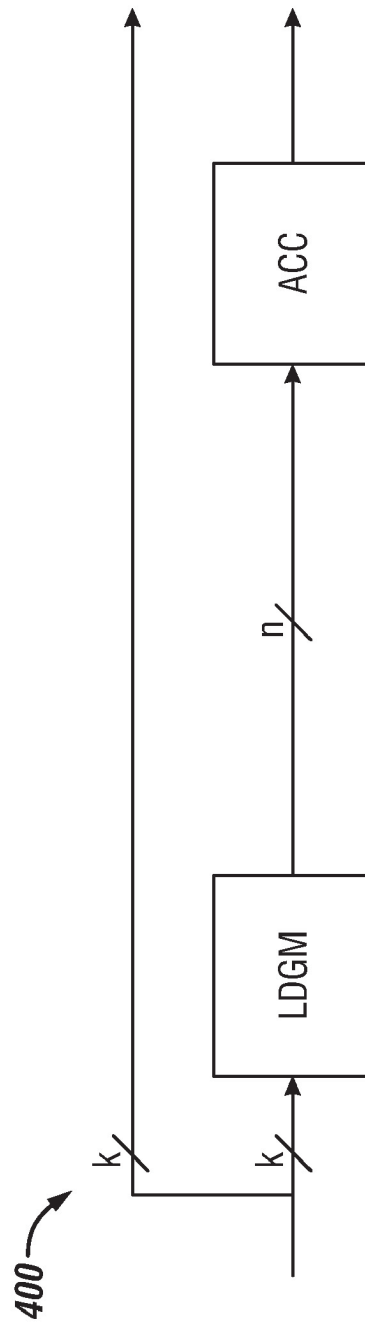


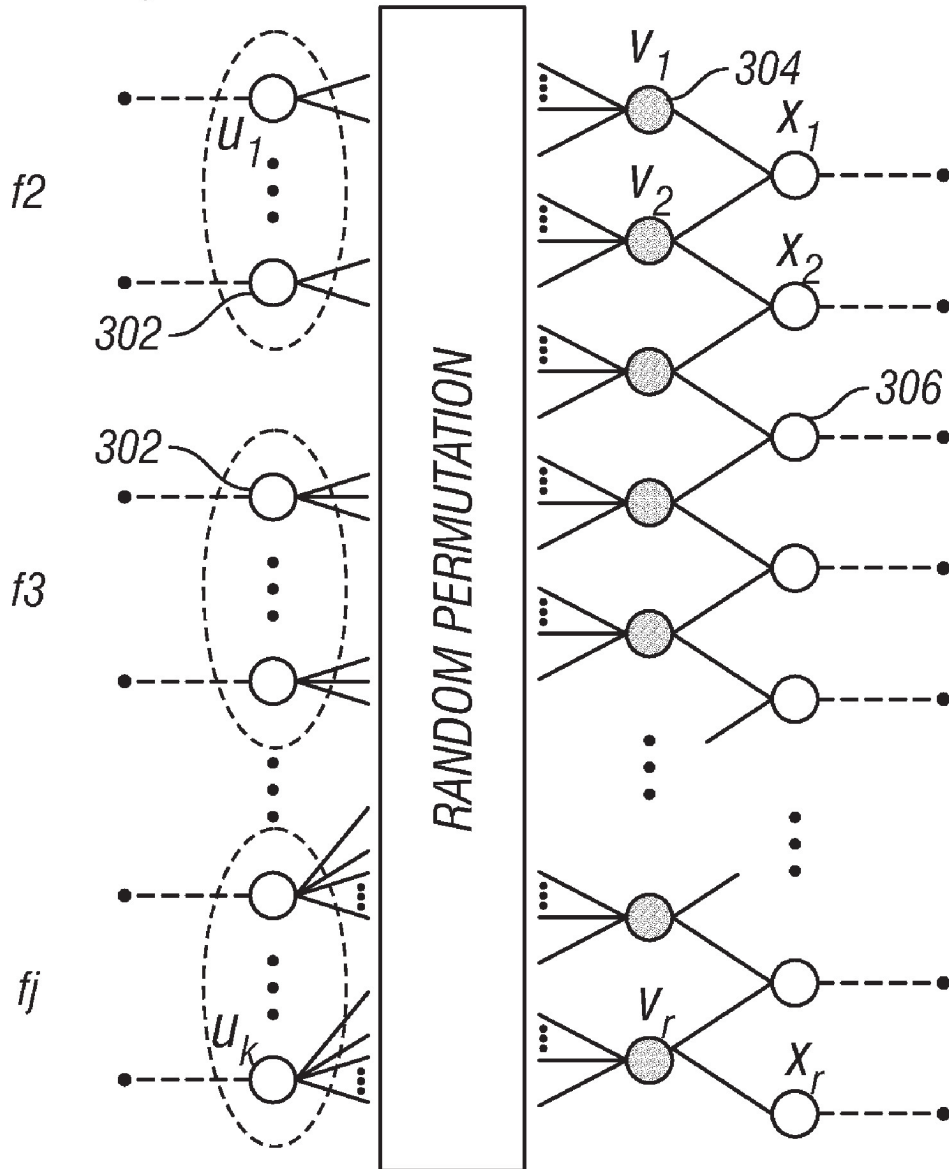
FIG. 4

**Variable Node**

Fraction of nodes  
degree  $i$

**Check Node**

degree  $a$



**FIG. 3**

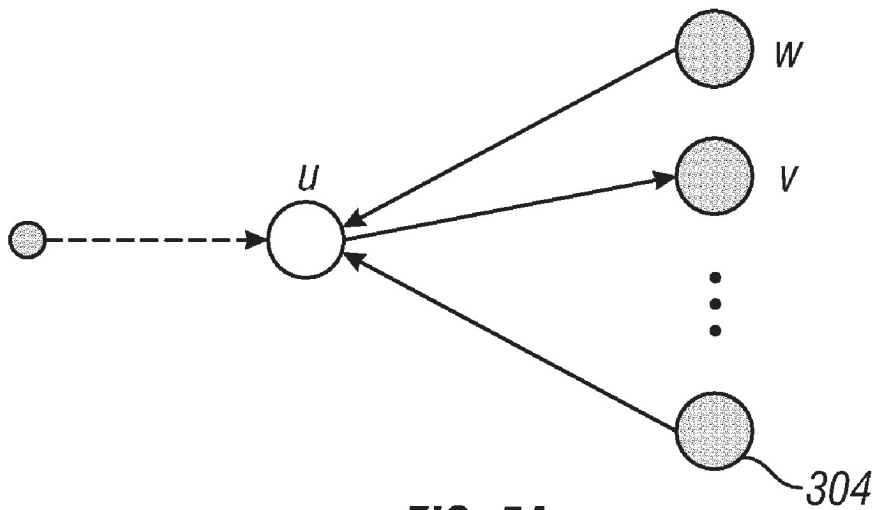


FIG. 5A

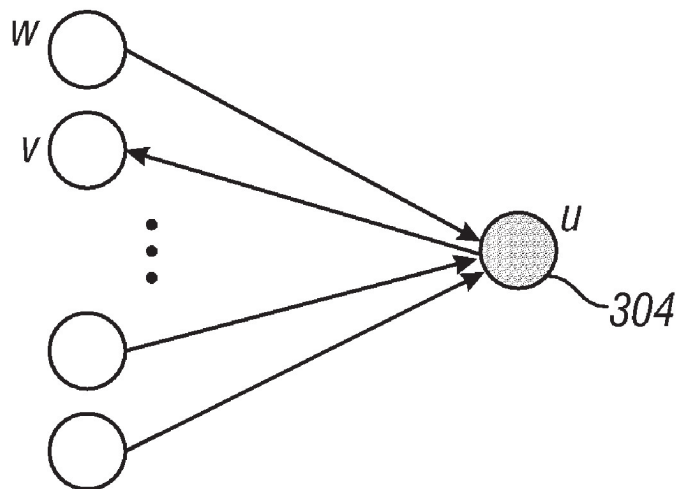


FIG. 5B

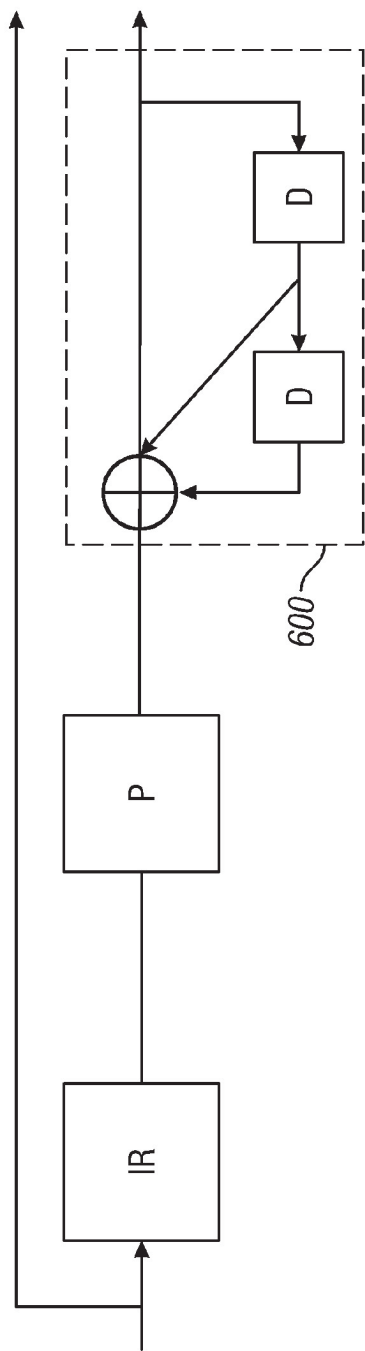


FIG. 6

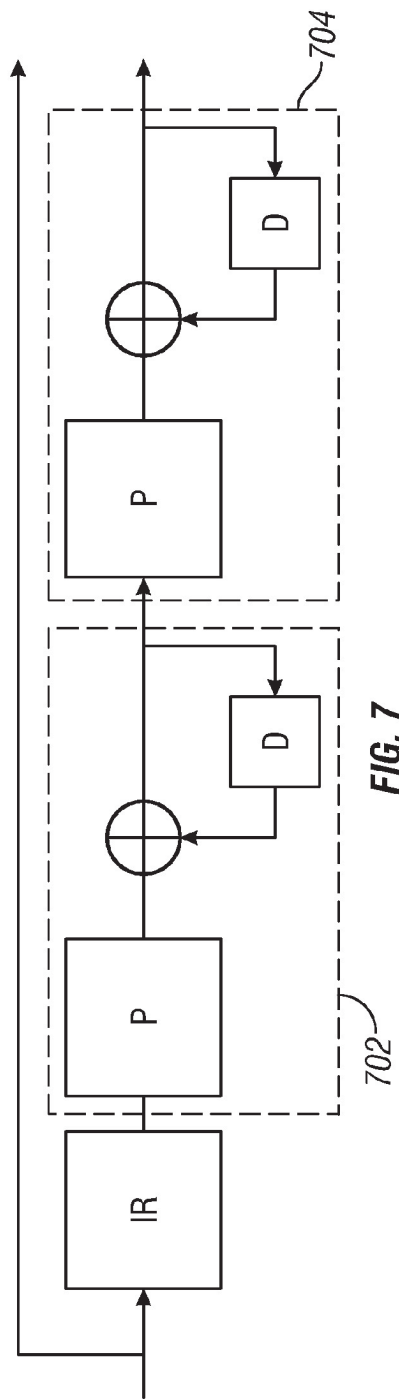


FIG. 7

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## SERIAL CONCATENATION OF INTERLEAVED CONVOLUTIONAL CODES FORMING TURBO-LIKE CODES

### CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation of U.S. application Ser. No. 11/542,950, filed Oct. 3, 2006 now U.S. Pat. No. 7,421,032, which is a continuation of U.S. application Ser. No. 09/861,102, filed May 18, 2001, now U.S. Pat. No. 7,116,710, which claims the priority of U.S. Provisional Application Ser. No. 60/205,095, filed May 18, 2000, and is a continuation-in-part of U.S. application Ser. No. 09/922,852, filed Aug. 18, 2000, now U.S. Pat. No. 7,089,477. The disclosure of the prior applications are considered part of (and are incorporated by reference in) the disclosure of this application.

### GOVERNMENT LICENSE RIGHTS

The U.S. Government has a paid-up license in this invention and the right in limited circumstances to require the patent owner to license others on reasonable terms as provided for by the terms of Grant No. CCR-9804793 awarded by the National Science Foundation.

### BACKGROUND

Properties of a channel affect the amount of data that can be handled by the channel. The so-called "Shannon limit" defines the theoretical limit of the amount of data that a channel can carry.

Different techniques have been used to increase the data rate that can be handled by a channel. "Near Shannon Limit Error-Correcting Coding and Decoding: Turbo Codes," by Berrou et al. ICC, pp 1064-1070, (1993), described a new "turbo code" technique that has revolutionized the field of error correcting codes. Turbo codes have sufficient randomness to allow reliable communication over the channel at a high data rate near capacity. However, they still retain sufficient structure to allow practical encoding and decoding algorithms. Still, the technique for encoding and decoding turbo codes can be relatively complex.

A standard turbo coder **100** is shown in FIG. **1**. A block of  $k$  information bits is input directly to a first coder **102**. A  $k$  bit interleaver **106** also receives the  $k$  bits and interleaves them prior to applying them to a second coder **104**. The second coder produces an output that has more bits than its input, that is, it is a coder with rate that is less than 1. The coders **102**, **104** are typically recursive convolutional coders.

Three different items are sent over the channel **150**: the original  $k$  bits, first encoded bits **110**, and second encoded bits **112**. At the decoding end, two decoders are used: a first constituent decoder **160** and a second constituent decoder **162**. Each receives both the original  $k$  bits, and one of the encoded portions **110**, **112**. Each decoder sends likelihood estimates of the decoded bits to the other decoders. The estimates are used to decode the uncoded information bits as corrupted by the noisy channel.

### SUMMARY

A coding system according to an embodiment is configured to receive a portion of a signal to be encoded, for example, a data block including a fixed number of bits. The coding system includes an outer coder, which repeats and scrambles bits in the data block. The data block is apportioned

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into two or more sub-blocks, and bits in different sub-blocks are repeated a different number of times according to a selected degree profile. The outer coder may include a repeater with a variable rate and an interleaver. Alternatively, the outer coder may be a low-density generator matrix (LDGM) coder.

The repeated and scrambled bits are input to an inner coder that has a rate substantially close to one. The inner coder may include one or more accumulators that perform recursive modulo two addition operations on the input bit stream.

The encoded data output from the inner coder may be transmitted on a channel and decoded in linear time at a destination using iterative decoding techniques. The decoding techniques may be based on a Tanner graph representation of the code.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. **1** is a schematic diagram of a prior "turbo code" system.

FIG. **2** is a schematic diagram of a coder according to an embodiment.

FIG. **3** is a Tanner graph for an irregular repeat and accumulate (IRA) coder.

FIG. **4** is a schematic diagram of an IRA coder according to an embodiment.

FIG. **5A** illustrates a message from a variable node to a check node on the Tanner graph of FIG. **3**.

FIG. **5B** illustrates a message from a check node to a variable node on the Tanner graph of FIG. **3**.

FIG. **6** is a schematic diagram of a coder according to an alternate embodiment.

FIG. **7** is a schematic diagram of a coder according to another alternate embodiment.

### DETAILED DESCRIPTION

FIG. **2** illustrates a coder **200** according to an embodiment. The coder **200** may include an outer coder **202**, an interleaver **204**, and inner coder **206**. The coder may be used to format blocks of data for transmission, introducing redundancy into the stream of data to protect the data from loss due to transmission errors. The encoded data may then be decoded at a destination in linear time at rates that may approach the channel capacity.

The outer coder **202** receives the uncoded data. The data may be partitioned into blocks of fixed size, say  $k$  bits. The outer coder may be an  $(n,k)$  binary linear block coder, where  $n > k$ . The coder accepts as input a block  $u$  of  $k$  data bits and produces an output block  $v$  of  $n$  data bits. The mathematical relationship between  $u$  and  $v$  is  $v = T_o u$ , where  $T_o$  is an  $n \times k$  matrix, and the rate of the coder is  $k/n$ .

The rate of the coder may be irregular, that is, the value of  $T_o$  is not constant, and may differ for sub-blocks of bits in the data block. In an embodiment, the outer coder **202** is a repeater that repeats the  $k$  bits in a block a number of times  $q$  to produce a block with  $n$  bits, where  $n = qk$ . Since the repeater has an irregular output, different bits in the block may be repeated a different number of times. For example, a fraction of the bits in the block may be repeated two times, a fraction of bits may be repeated three times, and the remainder of bits may be repeated four times. These fractions define a degree sequence, or degree profile, of the code.

The inner coder **206** may be a linear rate-1 coder, which means that the  $n$ -bit output block  $x$  can be written as  $x = T_I w$ , where  $T_I$  is a nonsingular  $n \times n$  matrix. The inner coder **210** can



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have a rate that is close to 1, e.g., within 50%, more preferably 10% and perhaps even more preferably within 1% of 1.

In an embodiment, the inner coder **206** is an accumulator, which produces outputs that are the modulo two (mod-2) partial sums of its inputs. The accumulator may be a truncated rate-1 recursive convolutional coder with the transfer function  $1/(1+D)$ . Such an accumulator may be considered a block coder whose input block  $[x_1, \dots, x_n]$  and output block  $[y_1, \dots, y_n]$  are related by the formula

$$y_1 = x_1$$

$$y_2 = x_1 \oplus x_2$$

$$y_3 = x_1 \oplus x_2 \oplus x_3$$

.

.

.

$$y_n = x_1 \oplus x_2 \oplus x_3 \oplus \dots \oplus x_n$$

where “ $\oplus$ ” denotes mod-2, or exclusive-OR (XOR), addition. An advantage of this system is that only mod-2 addition is necessary for the accumulator. The accumulator may be embodied using only XOR gates, which may simplify the design.

The bits output from the outer coder **202** are scrambled before they are input to the inner coder **206**. This scrambling may be performed by the interleaver **204**, which performs a pseudo-random permutation of an input block  $v$ , yielding an output block  $w$  having the same length as  $v$ .

The serial concatenation of the interleaved irregular repeat code and the accumulate code produces an irregular repeat and accumulate (IRA) code. An IRA code is a linear code, and as such, may be represented as a set of parity checks. The set of parity checks may be represented in a bipartite graph, called the Tanner graph, of the code. FIG. 3 shows a Tanner graph **300** of an IRA code with parameters  $(f_1, \dots, f_j; a)$ , where  $f_i \geq 0$ ,  $\sum_i f_i = 1$  and “ $a$ ” is a positive integer. The Tanner graph includes two kinds of nodes: variable nodes (open circles) and check nodes (filled circles). There are  $k$  variable nodes **302** on the left, called information nodes. There are  $r$  variable nodes **306** on the right, called parity nodes. There are  $r = (k \sum_i f_i) / a$  check nodes **304** connected between the information nodes and the parity nodes. Each information node **302** is connected to a number of check nodes **304**. The fraction of information nodes connected to exactly  $i$  check nodes is  $f_i$ . For example, in the Tanner graph **300**, each of the  $f_2$  information nodes are connected to two check nodes, corresponding to a repeat of  $q=2$ , and each of the  $f_3$  information nodes are connected to three check nodes, corresponding to  $q=3$ .

Each check node **304** is connected to exactly “ $a$ ” information nodes **302**. In FIG. 3,  $a=3$ . These connections can be made in many ways, as indicated by the arbitrary permutation of the  $ra$  edges joining information nodes **302** and check nodes **304** in permutation block **310**. These connections correspond to the scrambling performed by the interleaver **204**.

In an alternate embodiment, the outer coder **202** may be a low-density generator matrix (LDGM) coder that performs an irregular repeat of the  $k$  bits in the block, as shown in FIG. 4. As the name implies, an LDGM code has a sparse (low-density) generator matrix. The IRA code produced by the coder **400** is a serial concatenation of the LDGM code and the

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accumulator code. The interleaver **204** in FIG. 2 may be excluded due to the randomness already present in the structure of the LDGM code.

If the permutation performed in permutation block **310** is fixed, the Tanner graph represents a binary linear block code with  $k$  information bits  $(u_1, \dots, u_k)$  and  $r$  parity bits  $(x_1, \dots, x_r)$ , as follows. Each of the information bits is associated with one of the information nodes **302**, and each of the parity bits is associated with one of the parity nodes **306**. The value of a parity bit is determined uniquely by the condition that the mod-2 sum of the values of the variable nodes connected to each of the check nodes **304** is zero. To see this, set  $x_0=0$ . Then if the values of the bits on the  $ra$  edges coming out the permutation box are

$$x_j = x_{j-1} + \sum_{i=1}^a v_{(j-1)a+i}$$

$(v_1, \dots, v_{ra})$ , then we have the recursive formula for  $j=1, 2, \dots, r$ . This is in effect the encoding algorithm.

Two types of IRA codes are represented in FIG. 3, a non-systematic version and a systematic version. The nonsystematic version is an  $(r, k)$  code, in which the codeword corresponding to the information bits  $(u_1, \dots, u_k)$  is  $(x_1, \dots, x_r)$ . The systematic version is a  $(k+r, k)$  code, in which the codeword is  $(u_1, \dots, u_k; x_1, \dots, x_r)$ .

The rate of the nonsystematic code is

$$R_{n,sys} = \frac{a}{\sum_i f_i}$$

The rate of the systematic code is

$$R_{sys} = \frac{a}{a + \sum_i f_i}$$

For example, regular repeat and accumulate (RA) codes can be considered nonsystematic IRA codes with  $a=1$  and exactly one  $f_i$  equal to 1, say  $f_q=1$ , and the rest zero, in which case  $R_{n,sys}$  simplifies to  $R=1/q$ .

The IRA code may be represented using an alternate notation. Let  $\lambda_i$  be the fraction of edges between the information nodes **302** and the check nodes **304** that are adjacent to an information node of degree  $i$ , and let  $\rho_i$  be the fraction of such edges that are adjacent to a check node of degree  $i+2$  (i.e., one that is adjacent to  $i$  information nodes). These edge fractions may be used to represent the IRA code rather than the corresponding node fractions. Define  $\lambda(x) = \sum_i \lambda_i x^{i-1}$  and  $\rho(x) = \sum_i \rho_i x^{i-1}$  to be

$$f_i = \frac{\lambda_i / i}{\sum_j \lambda_j / j}$$

the generating functions of these sequences. The pair  $(\lambda, \rho)$  is called a degree distribution. For  $L(x) = \sum_i f_i x^i$ ,

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The rate of the systematic IRA code given by the

$$L(x) = \int_0^x \lambda(t) dt / \int_0^1 \lambda(t) dt$$

$$\text{Rate} = \left( 1 + \frac{\sum_j \rho_j / j}{\sum_j \lambda_j / j} \right)^{-1}$$

degree distribution is given by

“Belief propagation” on the Tanner Graph realization may be used to decode IRA codes. Roughly speaking, the belief propagation decoding technique allows the messages passed on an edge to represent posterior densities on the bit associated with the variable node. A probability density on a bit is a pair of non-negative real numbers  $p(0)$ ,  $p(1)$  satisfying  $p(0)+p(1)=1$ , where  $p(0)$  denotes the probability of the bit being 0,  $p(1)$  the probability of it being 1. Such a pair can be represented by its log likelihood ratio,  $m=\log(p(0)/p(1))$ . The outgoing message from a variable node  $u$  to a check node  $v$  represents information about  $u$ , and a message from a check node  $u$  to a variable node  $v$  represents information about  $u$ , as shown in FIGS. 5A and 5B, respectively.

The outgoing message from a node  $u$  to a node  $v$  depends on the incoming messages from all neighbors  $w$  of  $u$  except  $v$ . If  $u$  is a variable message node, this outgoing message is

$$m(u \rightarrow v) = \sum_{w \neq v} m(w \rightarrow u) + m_0(u)$$

where  $m_0(u)$  is the log-likelihood message associated with  $u$ . If  $u$  is a check node, the corresponding formula is

$$\tanh \frac{m(u \rightarrow v)}{2} = \prod_{w \neq v} \tanh \frac{m(w \rightarrow u)}{2}$$

Before decoding, the messages  $m(w \rightarrow u)$  and  $m(u \rightarrow v)$  are initialized to be zero, and  $m_0(u)$  is initialized to be the log-likelihood ratio based on the channel received information. If the channel is memoryless, i.e., each channel output only relies on its input, and  $y$  is the output of the channel code bit  $u$ , then  $m_0(u)=\log(p(u=0|y)/p(u=1|y))$ . After this initialization, the decoding process may run in a fully parallel and local manner. In each iteration, every variable/check node receives messages from its neighbors, and sends back updated messages. Decoding is terminated after a fixed number of iterations or detecting that all the constraints are satisfied. Upon termination, the decoder outputs a decoded sequence based on the messages

$$m(u) = \sum w_m(w \rightarrow u).$$

Thus, on various channels, iterative decoding only differs in the initial messages  $m_0(u)$ . For example, consider three memoryless channel models: a binary erasure channel (BEC); a binary symmetric channel (BSC); and an additive white Gaussian noise (AGWN) channel.

In the BEC, there are two inputs and three outputs. When 0 is transmitted, the receiver can receive either 0 or an erasure E.

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An erasure E output means that the receiver does not know how to demodulate the output. Similarly, when 1 is transmitted, the receiver can receive either 1 or E. Thus, for the BEC,  $y \in \{0, E, 1\}$ , and

$$m_0(u) = \begin{cases} +\infty & \text{if } y = 0 \\ 0 & \text{if } y = E \\ -\infty & \text{if } y = 1 \end{cases}$$

In the BSC, there are two possible inputs (0,1) and two possible outputs (0, 1). The BSC is characterized by a set of conditional probabilities relating all possible outputs to possible inputs. Thus, for the BSC  $y \in \{0, 1\}$ ,

$$m_0(u) = \begin{cases} \log \frac{1-p}{p} & \text{if } y = 0 \\ -\log \frac{1-p}{p} & \text{if } y = 1 \end{cases}$$

In the AWGN, the discrete-time input symbols  $X$  take their values in a finite alphabet while channel output symbols  $Y$  can take any values along the real line. There is assumed to be no distortion or other effects other than the addition of white Gaussian noise. In an AWGN with a Binary Phase Shift Keying (BPSK) signaling which maps 0 to the symbol with amplitude  $\sqrt{E_s}$  and 1 to the symbol with amplitude  $-\sqrt{E_s}$ , output  $y \in \mathbb{R}$ , then

$$m_0(u) = 4y\sqrt{E_s}N_0$$

where  $N_0/2$  is the noise power spectral density.

The selection of a degree profile for use in a particular transmission channel is a design parameter, which may be affected by various attributes of the channel. The criteria for selecting a particular degree profile may include, for example, the type of channel and the data rate on the channel. For example, Table 1 shows degree profiles that have been found to produce good results for an AWGN channel model.

TABLE 1

a	2	3	4
$\lambda_2$	0.139025	0.078194	0.054485
$\lambda_3$	0.2221555	0.128085	0.104315
$\lambda_5$		0.160813	
$\lambda_6$	0.638820	0.036178	0.126755
$\lambda_{10}$			0.229816
$\lambda_{11}$			0.016484
$\lambda_{12}$		0.108828	
$\lambda_{13}$		0.487902	
$\lambda_{14}$			
$\lambda_{16}$			
$\lambda_{27}$			0.450302
$\lambda_{28}$			0.017842
Rate	0.333364	0.333223	0.333218
$\sigma_G A$	1.1840	1.2415	1.2615
$\sigma^*$	1.1981	1.2607	1.2780
(Eb/N0) * (dB)	0.190	-0.250	-0.371
S.L. (dB)	-0.4953	-0.4958	-0.4958

Table 1 shows degree profiles yielding codes of rate approximately 1/3 for the AWGN channel and with  $a=2, 3, 4$ . For each sequence, the Gaussian approximation noise threshold, the actual sum-product decoding threshold and the corresponding energy per bit ( $E_b$ )-noise power ( $N_0$ ) ratio in dB are given. Also listed is the Shannon limit (S.L.).

As the parameter “a” is increased, the performance improves. For example, for a=4, the best code found has an iterative decoding threshold of  $E_b/N_0 = -0.371$  dB, which is only 0.12 dB above the Shannon limit.

The accumulator component of the coder may be replaced by a “double accumulator” **600** as shown in FIG. 6. The double accumulator can be viewed as a truncated rate 1 convolutional coder with transfer function  $1/(1+D+D^2)$ .

Alternatively, a pair of accumulators may be added, as shown in FIG. 7. There are three component codes: the “outer” code **700**, the “middle” code **702**, and the “inner” code **704**. The outer code is an irregular repetition code, and the middle and inner codes are both accumulators.

IRA codes may be implemented in a variety of channels, including memoryless channels, such as the BEC, BSC, and AWGN, as well as channels having non-binary input, non-symmetric and fading channels, and/or channels with memory.

A number of embodiments have been described. Nevertheless, it will be understood that various modifications may be made without departing from the spirit and scope of the invention. Accordingly, other embodiments are within the scope of the following claims.

What is claimed is:

1. A method of encoding a signal, comprising:
  - receiving a block of data in the signal to be encoded, the block of data including information bits;
  - performing a first encoding operation on at least some of the information bits, the first encoding operation being a linear transform operation that generates L transformed bits; and
  - performing a second encoding operation using the L transformed bits as an input, the second encoding operation including an accumulation operation in which the L transformed bits generated by the first encoding operation are accumulated, said second encoding operation producing at least a portion of a codeword, wherein L is two or more.
2. The method of claim 1, further comprising: outputting the codeword, wherein the codeword comprises parity bits.
3. The method of claim 2, wherein outputting the codeword comprises:
  - outputting the parity bits; and
  - outputting at least some of the information bits.
4. The method of claim 3, wherein outputting the codeword comprises:
  - outputting the parity bits following the information bits.
5. The method of claim 2, wherein performing the first encoding operation comprises transforming the at least some of the information bits via a low density generator matrix transformation.
6. The method of claim 5, wherein generating each of the L transformed bits comprises mod-2 or exclusive-OR summing of bits in a subset of the information bits.
7. The method of claim 6, wherein each of the subsets of the information bits includes a same number of the information bits.
8. The method of claim 6, wherein at least two of the information bits appear in three subsets of the information bits.
9. The method of claim 6, wherein the information bits appear in a variable number of subsets.
10. The method of claim 2, wherein performing the second encoding operation comprises using a first of the parity bits in the accumulation operation to produce a second of the parity bits.

11. The method of claim 10, wherein outputting the codeword comprises outputting the second of the parity bits immediately following the first of the parity bits.

12. The method of claim 2, wherein performing the second encoding operation comprises performing one of a mod-2 addition and an exclusive-OR operation.

13. A method of encoding a signal, comprising:
 

- receiving a block of data in the signal to be encoded, the block of data including information bits; and
- performing an encoding operation using the information bits as an input, the encoding operation including an accumulation of mod-2 or exclusive-OR sums of bits in subsets of the information bits, the encoding operation generating at least a portion of a codeword, wherein the information bits appear in a variable number of subsets.

14. The method of claim 13, further comprising: outputting the codeword, wherein the codeword comprises parity bits.

15. The method of claim 14, wherein outputting the codeword comprises:

outputting the parity bits; and  
outputting at least some of the information bits.

16. The method of claim 15, wherein the parity bits follow the information bits in the codeword.

17. The method of claim 13, wherein each of the subsets of the information bits includes a constant number of the information bits.

18. The method of claim 13, wherein performing the encoding operation further comprises:
 

- performing one of the mod-2 addition and the exclusive-OR summing of the bits in the subsets.

19. A method of encoding a signal, comprising:
 

- receiving a block of data in the signal to be encoded, the block of data including information bits; and
- performing an encoding operation using the information bits as an input, the encoding operation including an accumulation of mod-2 or exclusive-OR sums of bits in subsets of the information bits, the encoding operation generating at least a portion of a codeword, wherein at least two of the information bits appear in three subsets of the information bits.

20. A method of encoding a signal, comprising:
 

- receiving a block of data in the signal to be encoded, the block of data including information bits; and
- performing an encoding operation using the information bits as an input, the encoding operation including an accumulation of mod-2 or exclusive-OR sums of bits in subsets of the information bits, the encoding operation generating at least a portion of a codeword, wherein performing the encoding operation comprises:
  - mod-2 or exclusive-OR adding a first subset of information bits in the collection to yield a first sum;
  - mod-2 or exclusive-OR adding a second subset of information bits in the collection and the first sum to yield a second sum.

21. A method comprising:
 

- receiving a collection of information bits;
- mod-2 or exclusive-OR adding a first subset of information bits in the collection to yield a first parity bit;
- mod-2 or exclusive-OR adding a second subset of information bits in the collection and the first parity bit to yield a second parity bit; and
- outputting a codeword that includes the first parity bit and the second parity bit.

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22. The method of claim 21, wherein:  
the method further comprises mod-2 or exclusive-OR adding additional subsets of information bits in the collection and parity bits to yield additional parity bits; and

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the information bits in the collection appear in a variable number of subsets.

\* \* \* \* \*