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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/462,049	04/03/2000	DETLEF WIESE	GK-EIS-1028	4612
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26418	7590	12/02/2004		
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REED SMITH, LLP  
ATTN: PATENT RECORDS DEPARTMENT  
599 LEXINGTON AVENUE, 29TH FLOOR  
NEW YORK, NY 10022-7650

EXAMINER

LAO, LUN S

ART UNIT	PAPER NUMBER
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2643

DATE MAILED: 12/02/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

RPX Exhibit 1007  
RPX v. DAF

<b>Office Action Summary</b>	<b>Application No.</b> 09/462,049	<b>Applicant(s)</b> WIESE ET AL.	
	<b>Examiner</b> Lun-See Lao	<b>Art Unit</b> 2643	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on 03 April 2000.
- 2a)  This action is FINAL.
- 2b)  This action is non-final.
- 3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4)  Claim(s) 37-72 is/are pending in the application.
  - 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5)  Claim(s) \_\_\_\_\_ is/are allowed.
- 6)  Claim(s) 37-72 is/are rejected.
- 7)  Claim(s) \_\_\_\_\_ is/are objected to.
- 8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9)  The specification is objected to by the Examiner.
- 10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
    - a)  All    b)  Some \*    c)  None of:
      - 1.  Certified copies of the priority documents have been received.
      - 2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
      - 3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)
- 2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3)  Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5)  Notice of Informal Patent Application (PTO-152)
- 6)  Other:

## DETAILED ACTION

### *Introduction*

1. This action response to the preliminary amendment filed on 12-30-1999. Claims 1-36 have been canceled and claims 37-72 have been added. Claims 37-72 are pending.

### *Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 37-38, 41, 44, 46-47, 49-51 and 54-55 are rejected under 35 U.S.C. 102(b) as being anticipated by Taniguchi. (EP 0417739).

Consider claim 37, Taniguchi teaches a method of encoding signals, in particular digitized audio signals, with an encoding device for encoding the signal in an encoding format and a processing device for processing of the encoded signal, comprising the steps of:

automatically selecting (see fig.2, 6) the encoding format dependent on the properties of the processing device; and  
employing at least one of the following steps for determining the selected coding format (see abstract).

ascertaining the properties of the processing device by a signal directed to the processing device (see page 6 line 3-37); and

calling out the properties (see fig.2, 4) of the processing device from a storage means (see page 7 line 31-39).

Consider claims 38, 41, Taniguchi teaches the processing device includes at least one of a transmitting device for transmission of the encoded signal (see fig.2, 1<sub>1</sub> -1<sub>m</sub>) and a storage device (4 and page 7 line 31-39) for storage of the encoded signal and a decoding device for decoding of the encoded signal (see page 6 line 4-15); and the prior to determining the encoding format, the properties of the processing device are ascertained a test signal directed to the processing device (see page 8 line 27-30).

Consider claim 44, 46,47, Taniguchi teaches the properties of the processing device are called up out of a storage means prior to encoding (see page 7 line 31-39); and the signal is digitized prior (such as CELP) to the encoding operation (see page 6 line 38-43); and the signal is encoded in a bit rate-reduced (LPC) encoding format (see page line 38-51).

Consider claims 49-50, Taniguchi teaches the method of the transmission and/or storage devices of varying capacity are available as processing devices and prior to transmission and / or storage of the signals, in the case of signals of higher quality, that is to say with a larger amount of data, a transmission device and/or storage device of larger capacity is selected and in the transmission and/or storage of signals of lower quality, that is to say with a smaller quantity of data, a transmission device and/or storage device of smaller capacity is selected (see page 7 line 31- page 8 line 21); and

Art Unit: 2643

the signals to be sent are audio signals, wherein the audio signals are encoded in bit rate-reduced form by the encoding device, wherein a plurality of transmission channels and/or bit rates are available for transmission of the signal and wherein the transmission channel and/or the bit rate in the transmission are so selected that the signal can be transmitted in real time (see page 8 line 27-50).

Consider claim 51, Taniguchi teaches a fixedly preset (see fig.5b, 306a, 306b, 306c) computing power (code rate) is inherently adopted for operation in real time (see col.1 line 10-37).

Consider claim 54, Taniguchi teaches a method of encoding signals, in particular digitized audio signals, with an encoding device (see fig.2,  $1_1 \dots 1_m$ ) for encoding the signal in an encoding format and a processing device (2-7) for processing of the encoded signal, said method including the step of determining the encoding format dependent on the properties of the encoding device (see abstract and page 5 line 39-page 6 line 37).

Consider claims 55 Taniguchi teaches the encoding format is determined by a control device (see fig.2, 4 and 5 and abstract and page 5 line 39-page 6 line 37).

4. Claims 56-60 are rejected under 35 U.S.C. 102(b) as being anticipated by Tomoyuki (EP0327101).

Consider claim 56, Tomoyuki teaches apparatus for encoding signals comprising:

a processing device (see fig.5a, 310,302,304); and

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