Chapter 2100 Patentability

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2152.02	Invention Prior Art Under AIA 35 U.S.C.		35 U.S.C. 102(b)(2)(B) to AIA 35 U.S.C. 102(a)(2) (Inventor or
2102.02	102(a)(1) (Patented, Described in a		Inventor-Originated Prior Public
	Printed Publication, or in Public Use,		Disclosure Exception)
	on Sale, or Otherwise Available to the	2154.02(0	,
	Public)		35 U.S.C. 102(b)(2)(C) to AIA
2152.02(a)	Patented		35 U.S.C. 102(a)(2) (Common
2152.02(b)	Described in a Printed Publication		Ownership or Obligation of
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2153 Pr	rior Art Exceptions Under 35 U.S.C.		Inventor
	2(b)(1) to AIA 35 U.S.C. 102(a)(1)	2155.02	Showing That the Subject Matter
2153.01	Prior Art Exception Under AIA 35		Disclosed Had Been Previously
	U.S.C. 102(b)(1)(A) To AIA 35		Publicly Disclosed by the Inventor or
	U.S.C. 102(a)(1) (Grace Period	2155.02	a Joint Inventor
	Inventor Or Inventor-Originated Disclosure Exception)	2155.03	Showing That the Disclosure was Made, or That Subject Matter had
2153.01(a)	Grace Period Inventor Disclosure		Been Previously Publicly Disclosed,
2133.01(a)	Exception Exception		by Another Who Obtained the Subject
2153.01(b)	Grace Period Inventor-Originated		Matter Disclosed Directly or
	Disclosure Exception		Indirectly From the Inventor or a Joint
2153.02	Prior Art Exception Under AIA 35		Inventor
	U.S.C. 102(b)(1)(B) to AIA 35 U.S.C.	2155.04	Enablement
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	Inventor-Originated Prior Public		Declaration Under 37 CFR 1.130
0151 B	Disclosure Exception)	2155.06	Situations in Which an Affidavit or
	rovisions Pertaining to Subject Matter	2156	Declaration Is Not Available
	a U.S. Patent or Application fectively Filed Before the Effective	2156 2157	Joint Research Agreements Improper Naming of Inventors
	ling Date of the Claimed Invention	2158	AIA 35 U.S.C. 103
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	102(a)(2) "U.S. Patent Documents"		Determining Whether an Application
2154.01(a)	WIPO Published Applications		Is Subject to the First Inventor To File
2154.01(b)	Determining When Subject		Provisions of the AIA
	Matter Was Effectively Filed	2159.01	Applications Filed Before March 16,
2154.01(c)	Under AIA 35 U.S.C. 102(d) Requirement Of "Names Another	2159.02	2013 Applications Filed on or After March
2134.01(C)	Inventor"	2137.02	16, 2013
2154.02	Prior Art Exceptions Under 35 U.S.C.	2159.03	Applications Subject to the AIA but
A4=46=43	102(b)(2) to AIA 35 U.S.C. 102(a)(2)		Also Containing a Claimed Invention
2154.02(a)	Prior Art Exception Under AIA		Having an Effective Filing Date
	35 U.S.C. 102(b)(2)(A) to AIA	2159.04	Before March 16, 2013
	35 U.S.C. 102(a)(2) (Inventor-Originated Disclosure	4139.04	Applicant Statement in Transition Applications Containing a Claimed
	Exception)		Invention Having an Effective Filing Date on or After March 16, 2013
			2 400 011 01 111001 1110011 10, 2013



MANUAL OF PATENT EXAMINING PROCEDURE

2160	[Reserved]	2164.05(b)	Specification Must Be Enabling
2161	Three Separate Requirements for		to Persons Skilled in the Art
	Specification Under 35 U.S.C. 112(a) or	2164.06	Quantity of Experimentation
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2161.01	Computer Programming and 35		Issues-Missing Information
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	112, First Paragraph		— Chemical Cases
2162	Policy Underlying 35 U.S.C. 112(a) or	2164.06(c)	•
	Pre-AIA 35 U.S.C. 112, First Paragraph		 Computer Programming Cases
2163	Guidelines for the Examination of	2164.07	Relationship of Enablement
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	U.S.C. 112(a) or Pre-AIA 35 U.S.C. 112,		of 35 U.S.C. 101
	para. 1, "Written Description"	2164.08	Enablement Commensurate in Scope
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2163.02	Standard for Determining Compliance	2164.08(c)	
	With the Written Description	2165	The Best Mode Requirement
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2163.07(a)			a Joint Inventor Regards as The
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210-1.05(a)	as of the Filing Date	2173.05(d)	
	as of the I fing Date	21/3.03(U)	example," "such as")



PATENTABILITY § 2103

24=2.0=(
2173.05(e	
2173.05(f	
	Another Claim
2173.05(g	
2173.05(h	•
2173.05(i	
2173.05(j	Old Combination
2173.05(k) Aggregation
2173.05(1	[Reserved]
2173.05(m) Prolix
2173.05(n) Multiplicity
2173.05(o) Double Inclusion
2173.05(p	Claim Directed to Product-By-
•	Process or Product and Process
2173.05(q	"Use" Claims
2173.05(r	
2173.05(s	•
2173.05(t	Č
2173.05(u	
	Claim
2173.05(v	
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2174	Relationship Between the Requirements
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-2180	35 U.S.C. 112, First and Second Paragraphs [Reserved]
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-2180	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth
-2180 2181	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation
-2180	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior
-2180 2181 2182	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art
-2180 2181	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art Making a Prima Facie Case of
-2180 2181 2182 2183	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art Making a Prima Facie Case of Equivalence
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-2180 2181 2182 2183	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art Making a Prima Facie Case of Equivalence Determining Whether an Applicant Has Met the Burden of Proving
-2180 2181 2182 2183	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art Making a Prima Facie Case of Equivalence Determining Whether an Applicant Has Met the Burden of Proving Nonequivalence After a Prima Facie
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-2180 2181 2182 2183 2184	Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art Making a Prima Facie Case of Equivalence Determining Whether an Applicant Has Met the Burden of Proving Nonequivalence After a Prima Facie Case Is Made Related Issues Under 35 U.S.C. 112(a) or (b) and Pre-AIA 35 U.S.C. 112, First
-2180 2181 2182 2183 2184	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art Making a Prima Facie Case of Equivalence Determining Whether an Applicant Has Met the Burden of Proving Nonequivalence After a Prima Facie Case Is Made Related Issues Under 35 U.S.C. 112(a) or (b) and Pre-AIA 35 U.S.C. 112, First or Second Paragraphs
-2180 2181 2182 2183 2184	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art Making a Prima Facie Case of Equivalence Determining Whether an Applicant Has Met the Burden of Proving Nonequivalence After a Prima Facie Case Is Made Related Issues Under 35 U.S.C. 112(a) or (b) and Pre-AIA 35 U.S.C. 112, First or Second Paragraphs Relationship to the Doctrine of
-2180 2181 2182 2183 2184 2185 2186	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art Making a Prima Facie Case of Equivalence Determining Whether an Applicant Has Met the Burden of Proving Nonequivalence After a Prima Facie Case Is Made Related Issues Under 35 U.S.C. 112(a) or (b) and Pre-AIA 35 U.S.C. 112, First or Second Paragraphs Relationship to the Doctrine of Equivalents
-2180 2181 2182 2183 2184 2185 2186 2187	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art Making a Prima Facie Case of Equivalence Determining Whether an Applicant Has Met the Burden of Proving Nonequivalence After a Prima Facie Case Is Made Related Issues Under 35 U.S.C. 112(a) or (b) and Pre-AIA 35 U.S.C. 112, First or Second Paragraphs Relationship to the Doctrine of
-2180 2181 2182 2183 2184 2185 2186 2187 -2189	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art Making a Prima Facie Case of Equivalence Determining Whether an Applicant Has Met the Burden of Proving Nonequivalence After a Prima Facie Case Is Made Related Issues Under 35 U.S.C. 112(a) or (b) and Pre-AIA 35 U.S.C. 112, First or Second Paragraphs Relationship to the Doctrine of Equivalents [Reserved]
-2180 2181 2182 2183 2184 2185 2186 2187	35 U.S.C. 112, First and Second Paragraphs [Reserved] Identifying and Interpreting a 35 U.S.C. 112(f) or Pre-AIA 35 U.S.C. 112, Sixth Paragraph Limitation Search and Identification of the Prior Art Making a Prima Facie Case of Equivalence Determining Whether an Applicant Has Met the Burden of Proving Nonequivalence After a Prima Facie Case Is Made Related Issues Under 35 U.S.C. 112(a) or (b) and Pre-AIA 35 U.S.C. 112, First or Second Paragraphs Relationship to the Doctrine of Equivalents

-2102 [Reserved]

2103 Patent Examination Process [R-07.2015]

I. DETERMINE WHAT APPLICANT HAS INVENTED AND IS SEEKING TO PATENT

It is essential that patent applicants obtain a prompt yet complete examination of their applications. Under the principles of compact prosecution, each claim should be reviewed for compliance with every statutory requirement for patentability in the initial review of the application, even if one or more claims are found to be deficient with respect to some statutory requirement. Thus, USPTO personnel should state all reasons and bases for rejecting claims in the first Office action. Deficiencies should be explained clearly, particularly when they serve as a basis for a rejection. Whenever practicable, USPTO personnel should indicate how rejections may be overcome and how problems may be resolved. A failure to follow this approach can lead to unnecessary delays in the prosecution of the application.

Prior to focusing on specific statutory requirements, USPTO personnel must begin examination by determining what, precisely, the applicant has invented and is seeking to patent, and how the claims relate to and define that invention. USPTO personnel will review the complete specification, including the detailed description of the invention, any specific embodiments that have been disclosed, the claims and any specific, substantial, and credible utilities that have been asserted for the invention.

After obtaining an understanding of what applicant invented, the examiner will conduct a search of the prior art and determine whether the invention as claimed complies with all statutory requirements.

A. Identify and Understand Any Utility for the Invention

The claimed invention as a whole must be useful. The purpose of this requirement is to limit patent protection to inventions that possess a certain level of "real world" value, as opposed to subject matter



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