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APPLICATION NO.		FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/930,964		08/17/2001	Jang-Hoon Yeo	1316.1021CC	2291	
21171	7590	06/04/2004		EXAM	NER	
STAAS & SUITE 700		Y LLP		EDUN, MOHAMMAD N		
		VENUE, N.W.		ART UNIT	PAPER NUMBER	
WASHING		•	•	2655	15	
				DATE MAILED: 06/04/2004		

Please find below and/or attached an Office communication concerning this application or proceeding.

LG Electronics, Inc. et al.

**EXHIBIT 1014** 



PTO-90C (Rev. 10/03)

	Application No.	Applicant(s)					
Office Action Comments	09/930,964	YOO ET AL.					
Office Action Summary	Examiner	Art Unit					
	MUHAMMAD N EDUN	2655					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status							
1) Responsive to communication(s) filed on 1	<u>6 June 2003</u> .						
2a) This action is <b>FINAL</b> . 2b) ⊠ 1	This action is non-final.						
3) Since this application is in condition for allo	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
closed in accordance with the practice und	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims							
4) Claim(s) 1-37 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration.  5) Claim(s) 1-35 and 37 is/are allowed.  6) Claim(s) 36 is/are rejected.  7) Claim(s) is/are objected to.  8) Claim(s) are subject to restriction and/or election requirement.							
Application Papers							
9) The specification is objected to by the Examiner.							
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.							
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.							
Priority under 35 U.S.C. § 119							
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) Ali b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No. 09/049,988.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>							
Attachment(s)  1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date  4) Interview Summary (PTO-413) Paper No(s)/Mail Date  5) Notice of Informal Patent Application (PTO-152) Cother:							



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### **DETAILED ACTION**

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 36 is rejected under 35 U.S.C. 102(b) as being anticipated by Komma et al. (5,446,565).

Komma et al. discloses the invention as claimed. Fig. 19A-20 show the objective lens (46) for an optical pickup (shown in Figs. 21-62) comprising the holographic region (26, 32 or 42), wherein the region comprises a plurality of grating on the objective lens (see also column 42, lines 45-column 43, line 22), as set forth in claims 36.

## Allowable Subject Matter

Claims 1-35 and 37 are allowed.



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The following is a statement of reasons for the indication of allowable subject matter:

The prior art of record alone or in combination does not teach or suggest the objective lens having the specific recited structure, including the specific structure of the inner region, holographic region and diffractive region, along with functioning properties with light beams of different wavelengths, as set forth in claims 1-35 and 37.

#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Ju et al. (5,777,803) discloses an optical apparatus having an optical pickup head including an objective lens having holographic region.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MUHAMMAD N EDUN whose telephone number is 703-308-1550. The examiner can normally be reached on FLEXITIME.



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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, DORIS TO can be reached on 703-305-4827. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MUHAMMAD N EDUN Primary Examiner Art Unit 2655

AR UNIT 2000

