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	Filing Date	2015-01-29
	First Named Inventor	Peter Wayne Marks
	Art Unit	1627
	Examiner Name	Jean-Louis Samira JM
	Attorney Docket Number	PAT034678-US-CNT

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	5	Öberg, "Guidelines for the Management of Gastroenteropancreatic Neuroendocrine Tumors (including Bronchopulmonary and Thymic Neoplasms)" Acta Oncologica, Vol 7, 2004	<input type="checkbox"/>
	6	Excerpt from Wikipedia "Response Evaluation Criteria in Solid Tumors" available at http://de.wikipedia.org/wiki/Response_Evaluation_Criteria_In_Solid_Tumors last accessed March 21, 2015	<input type="checkbox"/>
	7	Öberg, Kjell et al., "Guidelines for the Management of Gastroenteropancreatic Neuroendocrine Tumors (including Bronchopulmonary and Thymic Neoplasms) Part II" Acta Oncologica, Vol 43, 2004	<input type="checkbox"/>
	8	Tabernero et al., " A phase Study with Tumor molecular pharmacodynamics (MPD) evaluation of dose and schedule of the oral mTOR-inhibitor Everolimus (RAD001) in patients (pts) with advanced solid tumors" Journal of Clinical Oncology Vol.23, 2005	<input type="checkbox"/>
	9	Vignot et al., "mTOR targeted therapy of cancer with rapamycin derivatives" Annals of Oncology, Vol.16, 22 Feb 2005 pp525-537	<input type="checkbox"/>

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10	Suzuki et al.: "Monitoring of response to radiation therapy for human tumor xenografts using 99mTc-HL91 (4,9-diaza-3,3,10,10-tetramethyldodecan-2,11-dione dioxime)" Annals of Nuclear Medicine, Vol.17 (2) , 2003	<input type="checkbox"/>
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16	Excerpt of the Merck Index for Everolimus, section 3907, Merck Index, 14th edition, 2005	<input type="checkbox"/>
17	Yao, James C. et al., "Everolimus for advanced pancreatic neuroendocrine tumors" The New England Journal of Medicine, Vol.364, 2011 pp514-523	<input type="checkbox"/>
18	Öberg, K "Treatment of Neuroendocrine Tumours of the Gastrointestinal tract" Oncologia, Vol.27, No4, 2004 pp185-189	<input type="checkbox"/>
19	Rosewicz et al., "An Amphicrine pancreatic cell line :AR42J cells combine exocrine and neuroendocrine properties" European Journal of Cell Biology vol.59, pp80-91, 1992	<input type="checkbox"/>
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21	Von Wichert et al., "Insulin-like Growth Factor-I is an Autocrine Regulator of Chromogranin A Secretion and Growth in Human Neuroendocrine Tumor Cells", Cancer Research, Vol.60, pp4573-4581 15 Aug 2000	<input type="checkbox"/>
22	Evers et al., "The Human Carcinoid Cell Line , BON a Model System for the Study of Carcinoid Tumors", Annals of New York Academy Sciences, Vol.733, pp393-406, 1992	<input type="checkbox"/>
23	Dutcher Janice P., "Mammalian Target of Rapamycin Inhibition", Clinical Cancer Research, Vol.10, pp6382s-6387s, 2004	<input type="checkbox"/>
24	Crewe et al, "Regulation of Cell Growth and Cyclin D1 Expression by the Constitutively Active FRAP-p70s6k Pathway in Human Pancreatic Cancer Cells", Cancer Research, Vol.59, pp3581-3587, 1 August 1999	<input type="checkbox"/>
25	Shah et al., " FRAP-p70s6k Signaling is Required for Pancreatic Cancer Cell Proliferation", Journal of Surgical Research, Vol.97, pp123-130, 2001	<input type="checkbox"/>
26	Novartis Press Release on Pivotal Phase III trial of Novartis drug Afinitor®, June 2010	<input type="checkbox"/>
27	Guidance for Industry _ Information Program on Clinical Trials for Serious Diseases and Conditions US Dpt of Health and Human Services FDA March 2002	<input type="checkbox"/>
28	- M. A. Kouvaraki: "Fluorouracil, Doxorubicin, and Streptozocin in the Treatment of Patients With Locally Advanced and Metastatic Pancreatic Endocrine Carcinomas", Journal of Clinical Oncology, vol. 22, no. 23, 13 October 2004 (2004-10-13), pages 4762-4771, XP055093883, ISSN: 0732-183X, DOI: 10.1200/JCO.2004.04.024	<input type="checkbox"/>

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Gregory Ferraro/	Date (YYYY-MM-DD)	2015-04-01
Name/Print	Gregory Ferraro	Registration Number	36134

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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