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### Filed On Behalf Of:

Novartis AG

By:

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## UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

PAR PHARMACEUTICAL, INC.,

Petitioner,

v.

NOVARTIS AG,

Patent Owner.

Case IPR2016-01479

Patent No. 9,006,224

PATENT OWNER'S EXHIBIT LIST 3



Exhibit	Description	Abbreviation
2001	Expert Declaration Of Matthew H. Kulke, M.D., M.M.Sc.	Kulke Decl.
2002	Curriculum Vitae of Matthew H. Kulke, M.D., M.M.Sc.	Kulke C.V.
2003	Seeley, R. R., Stephens, T.D., & Tate, P., Anatomy & Physiology, 3rd Edition, pages 585 – 586 (1995)	Seeley
2004	Reserved	
2005	Laughlin, E.H., Coming To Terms With Cancer: A Glossary Of Cancer-Related Terms, page 4 (2002)	Laughlin Cancer Glossary
2006	Reserved	
2007	Reserved	
2008	Motzer, R.J. & Russo, P., "Systemic Therapy For Renal Cell Carcinoma," <i>J. Urology</i> 163:408-417 (2000)	Motzer
2009	Reserved	



Exhibit	Description	Abbreviation
2010	Pazdur, R., et al. (eds.), Chapters 6, 11, 14-16, 19, 25, Cancer Management: A Multidisciplinary Approach: Medical, Surgical, & Radiation Oncology, 9th Edition (2005)	Pazdur
2011	Kouvaraki, M.A. <i>et al.</i> , "Fluorouracil, Doxorubicin, And Streptozocin In The Treatment Of Patients With Locally Advanced And Metastatic Pancreatic Endocrine Carcinomas," <i>J. Clin. Oncol.</i> 22(23):4762-4771 (2004)	Kouvaraki
2012	Delaunoit, Th., et al., "The Doxorubicin-Streptozotocin Combination For The Treatment Of Advanced Well-Differentiated Pancreatic Endocrine Carcinoma: A Judicious Option?," Eur. J. Cancer 40:515-520 (2004)	Delaunoit
2013	Kulke, M.H., Chapter 110, "Neuroendocrine Cancer," Clinical Hematology and Oncology: Presentation, Diagnosis and Treatment (Furie, B., et al. eds. 2003)	Kulke 2003
2014	Kulke, M.H. <i>et al.</i> , "A Phase II Trial Of Gemcitabine For Metastatic Neuroendocrine Tumors," <i>Cancer</i> 101(5): 934-939 (2004)	Kulke 2004



Exhibit	Description	Abbreviation
2015	Margolin, K. <i>et al.</i> , "CCI-779 In Metastatic Melanoma: A Phase II Trial Of The California Cancer Consortium," <i>Cancer</i> 104(5): 1045-1048 (2005)	Margolin
2016	"Novartis Drug Afinitor Is First Treatment For Advanced Pancreatic NET To Provide Overall Survival Of More Than 3.5 Years In Phase III Trial," Novartis Institutes For Biomedical Research (September 27, 2014)	Novartis Press Release
2017	Ritschel, W.A., <i>Handbook of Basic Pharmacokinetics</i> , 4th Edition, pages 6-7, 264-267 (1992)	Ritschel
2018	Heitz, Ph.U., et al., Chapter 4, "Tumours Of The Endocrine Pancreas," World Health Organization Classification of Tumours, Pathology and Genetics, Tumours of Endocrine Organs, pages 177-182 (DeLellis, R.A. et al., eds. 2004)	WHO
2019	Physicians' Desk Reference, 59th Edition (2005), pages 1844-1849 (Entry for Gemzar®(Gemcitabine HCl))	Gemzar® Prescribing Information 2005
2020	Kulke, M.H. & Mayer, R.J., "Carcinoid Tumors," <i>N. Eng. J. Med.</i> 340(11): 858-868 (1999)	Kulke 1999



Exhibit	Description	Abbreviation
2021	Gennaro, A.R. (Ed.), <i>Remington's</i> , 18th Edition, pages 726-730 (1990)	Remington's
2022	Yao, J.C. <i>et al.</i> , "Everolimus For Advanced Pancreatic Neuroendocrine Tumors," <i>N. Eng. J. Med.</i> 364(6): 514- 523 (2011)	Yao
2023	Kola, I. & Landis, J., "Can The Pharmaceutical Industry Reduce Attrition Rates?," <i>Nat. Rev. Drug Discov.</i> , 3:711-715 (2004)	Kola & Landis
2024	Excerpts of Transcript of Trial Testimony of M. Ratain, <i>Pfizer Inc. et al. v. Mylan Pharms. Inc.</i> , (C.A. No. 10-528-GMS), (D. Del. November 29, 2012), Pages 778-79, 950, 995	Ratain Trial Tr. I
2025	Excerpts of Transcript of Trial Testimony of M. Ratain, <i>Novartis v. Breckenridge</i> , <i>Roxane and Par</i> (C.A. Nos. 14-1043-RGA, 14-1196-RGA and 14-1289-RGA) (D. Del. August 31, 2016), Pages 769-772, 957, 993-94, 1003, 1010, 1012, 1014	Ratain Trial Tr. II



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