

Filed on behalf of Petitioners

By: Randy J. Pritzker  
Michael N. Rader  
Robert M. Abrahamsen  
Andrew J. Tibbetts  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Tel: (617) 646-8000  
Fax: (617) 646-8646  
RPritzker-PTAB@wolfgreenfield.com

Paper No. \_\_

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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SONY CORPORATION, SONY MOBILE COMMUNICATIONS (USA) INC.,  
SONY MOBILE COMMUNICATIONS AB & SONY MOBILE  
COMMUNICATIONS INC.,  
Petitioners,

v.

CREATIVE TECHNOLOGY LIMITED,  
Patent Owner.

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Case No. IPR2016-01407  
Patent No. 6,928,433

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**PETITIONERS' UPDATED MANDATORY NOTICES**

APPENDIX LISTING OF EXHIBITS

Exhibit	Description
1001	U.S. Patent No. 6,928,433 (“the ’433 patent”)
1002	Reexamination Certificate (469 <sup>th</sup> ) for U.S. Patent No. 6,928,433
1003	Original File History of U.S. Patent No. 6,928,433
1004	Reexamination File History of U.S. Patent No. 6,928,433
1005	Complaint Under Section 337 of the Tariff Act of 1930, <i>In the Matter of Certain Portable Electronic Devices and Components Thereof</i> , Investigation No. 337-TA-994 (public version, without confidential exhibit) (“ITC Complaint”)
1006	Declaration of Benjamin B. Bederson, PhD. (“Bederson”)
1007	File History of Application Serial No. 09/755,629
1008	File History of Application Serial No. 11/033,465
1009	U.S. Patent No. 5,969,283 (“Looney”)
1010	File History of U.S. Patent No. 5,969,283
1011	U.S. Patent No. 6,118,450 (“Proehl”)
1012	U.S. Patent No. 5,798,921 (“Johnson”)
1013	U.S. Patent No. 6,332,175 (“Birrell”)
1014	U.S. Patent No. 6,128,012 (“Seidensticker”)
1015	Curriculum Vitae of Benjamin B. Bederson, PhD.
1016	<i>Samsung Elecs. Co. v. Black Hills Media, LLC</i> , IPR2014-00737, Paper No. 7 (PTAB, Nov. 4, 2014)
1017	Final Initial Determination, <i>In the Matter of Certain Portable Electronic Devices and Components Thereof</i> , U.S. International Trade Commission Investigation No. 337-TA-994 (August 19, 2016)
1018	Notice Of Commission Determination Not To Review The 100-Day Initial Determination Finding The Asserted Claims Of U.S. Patent No. 6,928,433 Invalid Under 35 U.S.C. § 101; Termination Of Investigation, <i>In the Matter of Certain Portable Electronic Devices and Components Thereof</i> , U.S. International Trade Commission Investigation No. 337-

	TA-994 (September 21, 2016)
1019	Creative Technology Ltd. v. ITC, Case No. 16-2715, Doc. No. 01 (U.S. CAFC September 28, 2016) (Notice of Docketing)

Petitioners hereby submit the following updates to their Mandatory Notices.

**I. REAL PARTY-IN INTEREST**

No Change.

**II. RELATED MATTERS**

ITC Investigation No. 337-TA-994, where Patent Owner had asserted infringement of the '433 patent by Petitioners, was terminated on September 21, 2016. *See* Ex. 1017 (Final Initial Determination, construing the term “portable media player” and finding all claims invalid under 35 U.S.C. 101); Ex. 1018 (Notice Of Commission Determination Not To Review The 100-Day Initial Determination Finding The Asserted Claims Of U.S. Patent No. 6,928,433 Invalid Under 35 U.S.C. § 101; Termination Of Investigation).

Creative Technology Ltd. v. ITC, United States Court of Appeals for the Federal Circuit, Case No. 16-2715, is now a related matter, following the Patent Owner’s appeal of the ITC Investigation’s findings. *See* Ex. 1019 (Petition for Review).

**III. LEAD AND BACKUP COUNSEL**

No Change.

**IV. SERVICE INFORMATION**

No Change.

Dated: 11/3/2016

Respectfully submitted,  
*Sony Corporation et al.*

By           /Andrew J. Tibbetts/            
Randy J. Pritzker, Reg. No. 35,986  
Michael N. Rader, Reg. No. 52,146  
Robert M. Abrahamsen, Reg. No. 40,886  
Andrew J. Tibbetts, Reg. No. 65,139  
WOLF GREENFIELD & SACKS, P.C.  
600 Atlantic Ave.  
Boston, MA 02210-2206  
Tel: 617-646-8000/Fax: 617-646-8646

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