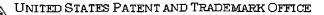
| A CONTRACTOR    |  |                      | UNITED STATES DEPAR<br>United States Patent and<br>Address: COMMISSIONER F<br>P.O. Box 1450<br>Alexandria, Virginia 223<br>www.uspto.gov | Trademark Office<br>OR PATENTS |
|-----------------|--|----------------------|--|--------------------------------|
| APPLICATION NO. | FILING DATE                                    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.  | CONFIRMATION NO.               |
| 90/007,542      | 05/13/2005                                     | 6331415              | 22338-10230  | 7585                           |
| 47554 75        | i90 02/25/2008                                 |                      | EXAM   | INER                           |
| SIDLEY AUS      | •  |                      |  |                                |
|                 | ATTN: DC PATENT DOCKETING<br>1501 K STREET, NW |                      |  |                                |

Please find below and/or attached an Office communication concerning this application or proceeding.



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(THIRD PARTY REQUESTER'S CORRESPONDENCE ADDRESS)

LISA V. MUELLER

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3800 WEST MADISON STREET, SUITE 3800

CHICAGO, IL 60661

### **EX PARTE REEXAMINATION COMMUNICATION TRANSMITTAL FORM**

#### REEXAMINATION CONTROL NO. 90/007,542.

PATENT NO. <u>6331415</u>.

ART UNIT 3991.

Enclosed is a copy of the latest communication from the United States Patent and Trademark Office in the above identified *ex parte* reexamination proceeding (37 CFR 1.550(f)).

Where this copy is supplied after the reply by requester, 37 CFR 1.535, or the time for filing a reply has passed, no submission on behalf of the *ex parte* reexamination requester will be acknowledged or considered (37 CFR 1.550(g)).

|   | Control No.<br>90/007,542                |                     | Patent Under Reexamination<br>6331415       |         |  |  |
|---|--|---------------------|---|---------|--|--|
| Office Action in Ex Parte Reexamination   | Examiner                                 |                     | Art Unit                                    |         |  |  |
|   | Bennett Celsa                            |                     | 3991  |         |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address   |  |                     |   |         |  |  |
| a Responsive to the communication(s) filed on <u>21 May 2007</u> . b This action is made FINAL.<br>c A statement under 37 CFR 1.530 has not been received from the patent owner.  |  |                     |   |         |  |  |
| A shortened statutory period for response to this action is set Failure to respond within the period for response will result in certificate in accordance with this action. 37 CFR 1.550(d). EX If the period for response specified above is less than thirty (3 will be considered timely. | termination of the <b>XTENSIONS OF</b> 1 | proceeding and issu | ance of an <i>ex pan</i><br>ED BY 37 CFR 1. | 550(c). |  |  |
| Part I THE FOLLOWING ATTACHMENT(S) ARE PART OF  | THIS ACTION:                             |                     |   |         |  |  |
| 1. X Notice of References Cited by Examiner, PTO-88   | 92. 3. 🗌                                 | Interview Summar    | y, PTO-474.                                 |         |  |  |
| 2. X Information Disclosure Statement, PTO/SB/08.   | 4.                                       |                     |   |         |  |  |
| Part II SUMMARY OF ACTION   |  |                     |   |         |  |  |
| 1a. 🛛 Claims <u>1-36</u> are subject to reexamination.  |  |                     |   |         |  |  |
| 1b. 🔲 Claims are not subject to reexamination.  |  |                     |   |         |  |  |
| 2. Claims have been canceled in the presen  | t reexamination p                        | oceeding.           |   |         |  |  |
| 3. 🔲 Claims are patentable and/or confirmed.  |  |                     |   |         |  |  |
| 4. 🛛 Claims <u>1-36</u> are rejected.   |  |                     |   |         |  |  |
| 5. Claims are objected to.  |  | ·                   |   |         |  |  |
| 6.  The drawings, filed on are acceptable.  |  |                     |   |         |  |  |
| 7. The proposed drawing correction, filed on  | has been (7a)                            | approved (7b)       | disapproved.                                |         |  |  |
| 8. Acknowledgment is made of the priority claim un  | der 35 U.S.C. § 1                        | 19(a)-(d) or (f).   |   |         |  |  |
| a) All b) Some* c) None of the certi  | fied copies have                         |                     |   |         |  |  |
| 1 been received.  |  |                     |   |         |  |  |
| 2 not been received.  |  |                     |   | /       |  |  |
| 3 been filed in Application No  |  |                     |   |         |  |  |
| 4 been filed in reexamination Control No  |  |                     |   |         |  |  |
| 5 been received by the International Bureau i   | n PCT application                        | No                  |   | •       |  |  |
| * See the attached detailed Office action for a list  | of the certified cop                     | oies not received.  | •   |         |  |  |
| 9. Since the proceeding appears to be in condition for issuance of an <i>ex parte</i> reexamination certificate except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte</i> Quayle, 1935 C.D. 11, 453 O.G. 213.                   |  |                     |   |         |  |  |
| 10. 🔲 Other:  |  |                     |   |         |  |  |
|   |  |                     |   |         |  |  |
|   |  |                     |   |         |  |  |
|   | ×.                                       |                     |   |         |  |  |
|   |  |                     |   |         |  |  |
|   |  |                     |   |         |  |  |
|   |  |                     |   |         |  |  |
| <b>DOCKET</b><br><b>LARM</b> Find authenticated court documents without watermarks at <u>docketalarm.com</u> .  |  |                     |   |         |  |  |

### Reexamination of US Patent No. 6,331,415 (Cabilly 2 patent).

### Status of the Claims

Claims 1-36 are pending and under reexamination. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

### **Procedural Posture:**

Merger of 3rd Partly Requests 90/007,542 and 90/007,859

| i. 90/007542 ('7542 Proce    | eding): | ii. 90/007859 ('7859 Proceeding) |
|------------------------------|---------|----------------------------------|
| Reexamination request filed: | 5/13/05 | 12/23/05                         |
| Reexamination ordered:       | 7/7/05. | 1/23/06                          |
| Patent Owner Statement:      | none    | none                             |
| First Office Action mailed:  | 9/13/05 | N/A                              |
| Patent Owner Response dated  | 1/25/05 | N/A                              |
| '7542 AND '7859 merged:      | 6/6/C   | 06                               |

Following merger of the 90/007,542 and 90/007,859 proceedings, the First Office Action dated September 13, 2005 in the '7542 proceeding was withdrawn in light of the Non-Final Office Action dated August 16, 2006.

Patent owner's November 25, 2005 response (with Declarations) and October 30, 2006 response (with Declarations) to the September 13, 2005 and subsequent August 16, 2006 office actions, respectively in the 90/007,542 proceeding were filed.

Final rejection of claims 1-36 was mailed February 16, 2007 including raising a new ground of rejection over the Moore 5,840,545 patent included in the IDS submitted December 14, 2006 and January 16, 2007 information disclosure statements.

A Patent Owner Response After-Final rejection (dated 5/21/07) that included:

a. 132 Declarations by Michael Botchan, Steven Lanier McNight, Mathhew P. Scott, and Sidney Altman;

b. An Information Disclosure Statement (IDS);

c. A Confidential Information Disclosure Statement (Artifact Sheet);

d. Exhibit B (54 pages) Moore 06/358,414 application with original claims 1-25; and e. 181/182 Petition and Renewed Petition to Reopen Prosecution To Withdraw Finality or alternatively for the Filing of a Request for Continued Reexamination (RCR) is acknowledged. Application/Control Number: 90/007,542; 90/007,859 Art Unit: 3991

The Petition decision of June 1, 2007 resulted in the granting of this RCR. The finality of the February 16, 2007 Office Action is hereby withdrawn, and the prosecution is reopened for consideration of the patent owner May 21, 2007 response and Declaration submissions.

### Information Disclosure Statement (IDS)

The 9/6/07 IDS submitted listing references on a PTO-1449 has been considered as indicated by the enclosed Examiner-initaled copy. It is to be noted, however, that consideration by the examiner of the information submitted in an IDS means nothing more than considering the documents in the same manner as other documents in Office search files are considered by the examiner while conducting a search of the prior art in a proper field of search. See MPEP 609, at page 600-125, Revision 2, May 2004.

# Information Submitted Under MPEP § 724.02 in Petition Under 37 CFR 1.59 (b) and 1.182 (expunge) and 1.183 (3rd Party service):

The owner has submitted papers on 5/21/07 and 10/24/07 deemed confidential and/or proprietary along with a petition for expungement of this material and director waiver of the 37 CFR § 1.550(f) 3rd party service requirement.

On October 9, 2007, the petition under 37 CFR 1.183 to waive the 3<sup>rd</sup> party service requirement was granted and the submitted documents provisionally sealed pending a materiality determination regarding the expungement of these documents.

Pursuant to MPEP § 724.04 the submitted information is found <u>immaterial</u> to the patentability and/or confirmation of the instant reexamination claims.

### Priority

The 6,331,425 (Cabilly 2) patent undergoing reexamination issued on December 18, 2001 from application 07/205,419 (filed 6/10/88) which was a continuation of 06/483,457 (filed 4/8/83) now the 4,816,567 (Cabilly 1) patent.

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