## UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN TONER SUPPLY CONTAINERS AND COMPONENTS THEREOF Inv. No. 337-TA-960

#### NOTICE OF INSTITUTION OF INVESTIGATION

Institution of investigation pursuant to 19 U.S.C. § 1337

AGENCY: U.S. International Trade Commission

ACTION: Notice

SUMMARY: Notice is hereby given that a complaint was filed with the U.S. International Trade Commission on June 12, 2015, under section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. § 1337, on behalf of Canon Inc. of Japan; Canon U.S.A., Inc. of Melville, New York; and Canon Virginia, Inc. of Newport News, Virginia. The complaint alleges violations of section 337 based upon the importation into the United States, the sale for importation, and the sale within the United States after importation of certain toner supply containers and components thereof by reason of infringement of certain claims of U.S. Patent No. 8,909,094 ("the '094 patent") and U.S. Patent No. 9,046,820 ("the '820 patent"). The complaint further alleges that an industry in the United States exists as required by subsection (a)(2) of section 337.

The complainants request that the Commission institute an investigation and, after the investigation, issue a limited exclusion order and cease and desist orders.

ADDRESSES: The complaint, except for any confidential information contained therein, is available for inspection during official business hours (8:45 a.m. to 5:15 p.m.) in the Office of the Secretary, U.S. International Trade Commission, 500 E Street, S.W., Room 112, Washington, D.C. 20436, telephone (202) 205-2000. Hearing impaired individuals are advised that information on this matter can be obtained by contacting the Commission's TDD terminal on (202) 205-1810. Persons with mobility impairments who will need special assistance in gaining access to the Commission should contact the Office of the Secretary at (202) 205-2000. General information concerning the Commission may also be obtained by accessing its internet server at <a href="http://www.usitc.gov">http://www.usitc.gov</a>. The public record for this investigation may be viewed on the Commission's electronic docket (EDIS) at <a href="http://edis.usitc.gov">http://edis.usitc.gov</a>.

FOR FURTHER INFORMATION CONTACT: The Office of the Secretary, Docketing Services Division, U.S. International Trade Commission, telephone (202) 205-1802.



AUTHORITY: The authority for institution of this investigation is contained in section 337 of the Tariff Act of 1930, as amended, and in section 210.10 of the Commission's Rules of Practice and Procedure, 19 C.F.R. § 210.10 (2015).

SCOPE OF INVESTIGATION: Having considered the complaint, the U.S. International Trade Commission, on July 9, 2015, ORDERED THAT –

- (1) Pursuant to subsection (b) of section 337 of the Tariff Act of 1930, as amended, an investigation be instituted to determine whether there is a violation of subsection (a)(1)(B) of section 337 in the importation into the United States, the sale for importation, or the sale within the United States after importation of certain toner supply containers and components thereof by reason of infringement of one or more of claims 1, 7-9, 11, 16-18, 29, and 38 of the '094 patent and claims 1, 7-9, and 16 of the '820 patent, and whether an industry in the United States exists as required by subsection (a)(2) of section 337;
- (2) For the purpose of the investigation so instituted, the following are hereby named as parties upon which this notice of investigation shall be served:
  - (a) The complainants are:

Canon Inc. 30-2, Shimomaruko 3-chome Ohta-ku, Tokyo 146-8501 Japan

Canon U.S.A., Inc. One Canon Park Melville, NY 11747

Canon Virginia, Inc. 12000 Canon Boulevard Newport News, VA 23606

(b) The respondents are the following entities alleged to be in violation of section 337, and are the parties upon which the complaint is to be served:

General Plastic Industrial Co., Ltd. 50 Tzu-Chiang Road Wu-Chi Town, Taichung County Taiwan

Color Imaging, Inc. 4350 Peachtree Industrial Blvd., Suite 100 Norcross, GA 30071



(3) For the investigation so instituted, the Chief Administrative Law Judge, U.S. International Trade Commission, shall designate the presiding Administrative Law Judge.

The Office of Unfair Import Investigations will not participate as a party in this investigation.

Responses to the complaint and the notice of investigation must be submitted by the named respondents in accordance with section 210.13 of the Commission's Rules of Practice and Procedure, 19 C.F.R. § 210.13. Pursuant to 19 C.F.R. §§ 201.16(e) and 210.13(a), such responses will be considered by the Commission if received not later than 20 days after the date of service by the Commission of the complaint and the notice of investigation. Extensions of time for submitting responses to the complaint and the notice of investigation will not be granted unless good cause therefor is shown.

Failure of a respondent to file a timely response to each allegation in the complaint and in this notice may be deemed to constitute a waiver of the right to appear and contest the allegations of the complaint and this notice, and to authorize the administrative law judge and the Commission, without further notice to the respondent, to find the facts to be as alleged in the complaint and this notice and to enter an initial determination and a final determination containing such findings, and may result in the issuance of an exclusion order or a cease and desist order or both directed against the respondent.

By order of the Commission.

Lisa R. Barton

Secretary to the Commission

Issued: July 10, 2015



# CERTAIN TONER SUPPLY CONTAINERS AND COMPONENTS THEREOF

### PUBLIC CERTIFICATE OF SERVICE

I, Lisa R. Barton, hereby certify that the attached **NOTICE OF INSTITUTION OF INVESTIGATION** has been served by hand upon the Office of Unfair Import Investigations and the following parties as indicated, on **July 10, 2015**.

Lisa R. Barton, Secretary U.S. International Trade Commission 500 E Street, SW, Room 112 Washington, DC 20436

### Complainants:

| Canon Inc. 30-2 Shimomaruko 3-chome Ohta-ku, Tokyo 146-8501 Japan                                    | <ul> <li>□ Via Hand Delivery</li> <li>□ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>□ Other:</li> </ul> |
|--|---|
| Canon U.S.A., Inc. One Canon Park Melville, NY 11747   | <ul> <li>□ Via Hand Delivery</li> <li>☑ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>□ Other:</li> </ul> |
| Canon Virginia, Inc.<br>12000 Canon Boulevard<br>Newport News, VA 23606                              | <ul> <li>□ Via Hand Delivery</li> <li>⋈ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>□ Other:</li> </ul> |
| On Behalf of Complainants:   |   |
| Edmund J. Haughey, Esq.  FITZPATRICK, CELLA, HARPER & SCINTO  975 F Street, NW  Washington, DC 20004 | <ul> <li>□ Via Hand Delivery</li> <li>⋈ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>□ Other:</li> </ul> |
| Respondents:   |   |
| General Plastic Industrial Co., Ltd.<br>50 Tzu-Chiang Road<br>Wu-Chi Town, Taichung County<br>Taiwan | <ul> <li>□ Via Hand Delivery</li> <li>⋈ Via Express Delivery</li> <li>□ Via First Class Mail</li> <li>□ Other:</li> </ul> |



## CERTAIN TONER SUPPLY CONTAINERS AND Inv. No. 337-TA-960 COMPONENTS THEREOF

Certificate of Service - Page 2 Color Imaging, Inc. ☐ Via Hand Delivery 4350 Peachtree Industrial Blvd., Suite 100 Norcross, GA 30071 ☐ Via First Class Mail ☐ Other: Embassy: Taipei Economic & Cultural Representative Office ☐ Via Hand Delivery 4201 Wisconsin Avenue, NW Washington, DC 20016 ☐ Via First Class Mail ☐ Other: **Government Agencies:** Edward T. Hand, Chief ☐ Via Hand Delivery Foreign Commerce Section ☐ Via Express Delivery Antitrust Division U.S. Department of Justice ☐ Other: 450 5th Street NW, Room 11000 Washington, DC 20530 Intellectual Property Rights Branch ☐ Via Hand Delivery Regulations and Rulings ☐ Via Express Delivery Office of International Trade U.S. Customs and Border Protection ☐ Other: 90 K Street, NE, 10th Floor Washington, DC 20229-1177 Elizabeth Kraus, Deputy Director ☐ Via Hand Delivery International Antitrust, Office of International Affairs ☐ Via Express Delivery Federal Trade Commission 600 Pennsylvania Avenue, Room 498 ☐ Other: Washington, DC 20580 Dale D. Berkley, Ph.D., J.D. ☐ Via Hand Delivery Office of General Counsel, PHD, NIH Branch ☐ Via Express Delivery National Institutes of Health 31 Center Drive ☐ Other: Bldg. 31, Rm. 47



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