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🛸 AO 120 (Rev. 3/04)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office	REPORT ON THE FILING OR DETERMINATION OF AN
	P.O. Box 1450 Alexandria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Southern District of Indiana on the following Patents or G Trademarks: filed in the U.S. District Court U.S. DISTRICT COURT Southern District of Indiana DATE FILED 10/29/2010 DOCKET NO 1:10-cv-1376-TWP-DML PLAINTIFF DEFENDANT TEVA PARENTERAL MEDICINES, INC., APP ELI LILLY AND COMPANY PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O.,

			TEVA PHARMACEUTICALS USA INC., and BARR LAB
	PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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#### In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
	G Amer	ndment G Answer	G Cross Bill	G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOL	DER OF PATENT OR	TRADEMARK
1 7,772, 209 B2	8/10/2010	CLET NIYIKIZA	, Inventor	
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT Closed Judgment dated 3/31/2014, see attached. CLERK (BY) DÉPUTY/CLEI DATE Jame Dia 4/29/2014

Copy 1-Upon initiation of action, mail this copy to Director-Copy 3-Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

> Teva – Fresenius Exhibit 1002-00001

Case 1:14-cv-00104-TWP-DKL Document 28 Filed 01/23/14 Page 1 of 1 PageID #: 96

AO 120 (Rev. 08/10)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
	Alexandria, VA 22313-1450

### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the Southern District of Indiana on the following

 $\Box$  Trademarks or  $\blacksquare$  Patents. (  $\Box$  the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 1:14-104-TWP-DKL	DATE FILED 1/23/2014	U.S. DISTRICT COURT for the Southern District of Indiana		
PLAINTIFF	1/23/2014			
ELI LILLY AND COMPA	NY	GLENMARK GENERICS INC., USA GLENMARK PHARMACEUTICALS LTD GLENMARK GENERICS LTD.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
1 7,7772.209	8/10/2010	ELI LILLY AND COMPANY		
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			· · · · · · · · · · · · · · · · · · ·
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PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDE	R OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK Jame Riggs	(BY) DEPUTY CLERK	DATE 1/23/2014

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Case 1:13-cv-01469-TWP-DML Document 7 Filed 09/17/13 Page 1 of 1 PageID #: 27

\*> AO 120 (Rev. 3/04)

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR
P.O. Box 1450 Alexandria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of Indiana on the following Patents or G Trademarks:						
DOCKET NO 1.13-cv-1469-TWP-DMI	DATE FILED 9/13/2013	U.S. DISTRICT COURT Southern District of Indiana				
PLAINTIFF	<b></b>	DEFENDANT				
ELI LILLY AND COMPA	NY	SUN PHARMACEUTICAL INDUSTRIES LTD.; SUN PHARMA GLOBAL FZE				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK				
1 7,772,209	8/10/2010	ELI LILLY AND COMPANY				
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In the above—entitled case, the following patent(s)/trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
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In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK Jamed Brigs	(BY) DEPUTY CLERK	DATE 9/17/2013

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

<u>Trials@uspto.gov</u> 571-272-7822

Paper No. 13 Date Entered: October 1, 2013

# UNITED STATES PATENT AND TRADEMARK OFFICE

# BEFORE THE PATENT TRIAL AND APPEAL BOARD

# ACCORD HEALTHCARE, INC., USA Petitioner

v.

ELI LILLY & COMPANY Patent Owner

> Case IPR2013-00356 Patent 7,772,209

Before MICHAEL J. FITZPATRICK, RAMA G. ELLURU, and SCOTT E. KAMHOLZ, *Administrative Patent Judges*.

KAMHOLZ, Administrative Patent Judge.

# DECISION Denying Inter Partes Review 37 C.F.R. § 42.108

# IPR2013-00356 Patent 7,772,209

## I. INTRODUCTION

Accord Healthcare, Inc., USA ("Accord") filed a petition (Paper 4) on June 14, 2013 to institute an *inter partes* review of claims 1-22 of U.S. Patent 7,772,209 ("the '209 patent"). Accord later filed a corrected petition (Paper 6, "Pet."). Patent Owner Eli Lilly & Company ("Eli Lilly") filed a preliminary response (Paper 10, "Prelim. Resp."). The Board, acting on behalf of the Director, has jurisdiction under 35 U.S.C. § 314.

The '209 patent is involved in several civil actions for patent infringement, including *Eli Lilly & Co. v. Accord Healthcare, Inc., USA et al.*, 1:12-cv-00086-TWP-DKL (S.D. Ind.) ("the '086 action"), filed January 20, 2012 and served January 23, 2012, and *Eli Lilly & Co. v. Accord Healthcare, Inc., USA*, 1:13-cv-00335-TWP-DKL (S.D. Ind.) ("the '335 action"), filed February 28, 2013 and served March 7, 2013. Pet. 1; Prelim. Resp. 5-6.<sup>\*</sup> The '335 action has been consolidated into the '086 action. Prelim. Resp. 6-7.

We deny the petition because it is time-barred under 35 U.S.C. § 315(b).

## II. ANALYSIS

Eli Lilly served Accord with a complaint alleging infringement of the '209 patent on at least two occasions: the '086 action, on January 23, 2012, and the '355 action, on March 7, 2013. Ex. 2004 (return of service for the '086 action); Prelim. Resp. 5-6; *see also* Pet. 1. The earlier complaint was served more than one year before Accord filed the present petition; the latter, less than one year.

<sup>&</sup>lt;sup>\*</sup> The parties disagree as to whether the complaint in the '355 action was served on February 28, 2013 or March 7, 2013. For purposes of this decision, we accept Eli Lilly's representation that the complaint was served on March 7, 2013.

Section 315(b) of Title 35 of the United States Code provides:

(b) PATENT OWNER'S ACTION.—An inter partes review may not be instituted if the petition requesting the proceeding is filed more than 1 year after the date on which the petitioner, real party in interest, or privy of the petitioner is served with a complaint alleging infringement of the patent. The time limitation set forth in the preceding sentence shall not apply to a request for joinder under subsection (c).

Accord argues that its petition is timely because it was filed less than one year after the date on which it was served with a complaint in the '355 action. Pet. 2-3. Accord acknowledges service on January 23, 2012 of a complaint in the '086 action, but argues that the two infringement actions concern distinct products and are based on different sets of facts. *Id.* at 3 n.1.

We reject Accord's implicit argument that the one-year period set forth in § 315(b) should not be measured from the date of service of the complaint in the '086 action. The plain language of the statute does not indicate or suggest that the filing of a later lawsuit renders the service of a complaint in an earlier lawsuit a nullity. Moreover, as the legislative history of 35 U.S.C. § 315(b) indicates, Congress intended that *inter partes* reviews should not be used as "tools for harassment" by "repeated litigation and administrative attacks." H.R.Rep. No. 112-98 at 48 (2011). Allowing such attacks "would frustrate the purpose of the section as providing quick and cost effective alternatives to litigation." *Id*.

Accord was "served with a complaint alleging infringement of the patent" on January 23, 2012. Ex. 2004. The petition was filed more than one year after that date and is, therefore, barred. *See Universal Remote Control, Inc. v. Universal Elec., Inc.*, IPR2013-00168, Paper 9 at 4 (PTAB Aug. 26, 2013).

III. CONCLUSION

The Board denies the petition because it was not filed within the time limit imposed by 35 U.S.C. § 315(b).

IV. ORDER

For the reasons given, it is

**ORDERED** that the petition challenging the patentability of claims 1-22 of U.S. Patent 7,772,209 is *denied*.

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# IPR2013-00356 Patent 7,772,209

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For Petitioner:

Chidambaram S. Iyer Chandran B. Iyer Sughrue Mion PLLC

For Patent Owner:

Andrew V. Trask Williams & Connolly LLP

Mark J. Stewart Eli Lilly & Company Case 1:13-cv-00335-TWP-DKL Document 29 Filed 07/01/13 Page 1 of 1 PageID #: 129

🔊 AO 120 (Rev. 3/04)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR
Alexandria, VA 22313-1450	TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_\_Southern District of Indiana on the following Patents or G Trademarks:

DOCKET NO 1:13-CV-335-TWP-DKL	DATE FILED 2/28/2013	U.S. DISTRICT COURT Southern District of Indiana		
PLAINTIFF		DEFENDANT		
ELI LILLY AND COMPA	NY	ACCORD HEALTHCARE INC., USA		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
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#### In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED 6/24/2013	INCLUDED BY	~		0	
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

ORDER OF CONSOLIDATION - This cause of action is hereby consolidated under action 1:12-cv-86-TWP-DKL.

CLERK	(BY) DEPUTY OLERK		DATE
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Case 1:13-cv-00335-TWP-DKL Document 9 Filed 03/11/13 Page 1 of 1 PageID #: 28

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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of Indiana on the following Patents or G Trademarks:

DOCKET NO	DATE FILED 2/28/2013	U.S. DISTRICT COURT
DOCKET NO 1:13-CV-00335-TWP-DK		U.S. DISTRICT COURT Southern District of Indiana
PLAINTIFF		DEFENDANT
ELI LILLY AND COMPA	NY	ACCORD HEALTHCARE INC., USA
PATENT OR	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
TRADEMARK NO.	UK IKADEMAKK	
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
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PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLI	DER OF PATENT OR	TRADEMARK
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In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		11 - <u>19</u>
CLERK Jaur Clices	(BY) DEPUTY CLERK	DATE 3/11/2013
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Case 1:10-cv-01376-TWP-DKL Document 154 Filed 10/02/12 Page 1 of 1 PageID #: 2592

& AO 120 (Rev. 3/04)

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P.O. Box 1450
Alexandria, VA 22313-1450

## REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_\_\_ Southern District of Indiana on the following Patents or G Trademarks:

DOCKET NO. 1:10-cv-1376-P/L	DATE FILED 10/29/2010	U.S. DISTRICT COURT Southern District of Indiana		
PLAINTIFF	• • • • • • • • • • • • • • • • • • •		DEFENDANT	
ELI LILLY AND COMPA	NY		TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 7,772,209	8/10/2010			
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#### In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED 9/25/2012	INCLUDED BY	ndment G Answer	G Cross Bill	G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLD	DER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

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CISION/JUDGEMENT		
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 4—Case file copy EXhibit 1002-00011 Case 1:11-cv-00942-TWP-TAB Document 12 Filed 09/12/11 Page 1 of 1 PageID #: 54

& AO 120 (Rev. 3/04)

	TO: Dire	Mail Stop 8 ctor of the U.S. Patent and Trademark Office
1		P.O. Box 1450
		Alexandria, VA 22313-1450

## REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Southern District of Indiana on the following G Patents or G Trademarks:

DOCKET NO 1:11-cv-942-TWP-TAB	DATE FILED 7/15/2011	U.S. DISTRICT COURT Southern District of Indiana			
PLAINTIFF	• • • • • • • • • • • • • • • • • • • •	DEFENDANT			
ELI LILLY AND COMPANY		APP PHARMACEUTICALS, LLC			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
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In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

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See attached Order of Consolidation.

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DATE 9/12/2011

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copeVa – Fresenius Exhibit 1002-00012

Case 1:10-cv-01376-TWP-DKL Document 78 Filed 09/26/11 Page 1 of 1 PageID #: 447

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	TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of Indiana on the following Patents or G Trademarks:

DOCKET NO 1:10-cv-1376-TWP-DML	DATE FILED 10/29/2010	U.S. DISTRICT COURT Southern District of Indiana			
PLAINTIFF	I	DEFENDANT			
ELI LILLY AND COMPA	NY	TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT	
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Exhibit 1002-00013

Case 1:11-cv-00942-TWP-TAB Document 8 Filed 07/25/11 Page 1 of 1 PageID #: 24

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	Alexandria, VA 22313-1450

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DOCKET NO 1:11-cv-942-TWP-TAB	DATE FILED 7/15/2011	U.S. DISTRICT COURT Southern District of Indiana			
PLAINTIFF			DEFENDANT		
ELI LILLY AND COMPA	NY		APP PHARMACEUTICALS, LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT CLERK (BY) DEPUTY CLERK DATE Januar Augus 7/25/2011

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### Case 1:10-cv-01376-TWP-DML Document 52 Filed 02/28/11 Page 1 of 1

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TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office	
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DOCKET NO 1:10-cv-1376-TWP-DML	DATE FILED 10/29/2010	U.S. DISTRICT COURT Southern District of Indiana			
PLAINTIFF			DEFENDANT		
ELI LILLY AND COMPA	NY		TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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Case 1:10-cv-01376-TWP-DML Document 44 Filed 02/14/11 Page 1 of 1

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Director of the U.S. Patent and Trademark O	FILING OR DETERMINATION OF AN
P.O. Box 1450	ACTION REGARDING A PATENT OR
Alexandria, VA 22313-1450	TRADEMARK

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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY		<b>A</b>		
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PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLD	ER OF PATENT OR T	RADEMARK
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Jame Rigs	(BY) DEPUTY CLERK DOCUMENT DATE 2/14/2011

Copy 1-Upon initiation of action, mail this copy to Director. Copy 3-Upon termination of action, mail this copy to Director Copy 2-Upon filing document adding patent(s), mail this copy to Director Copy 4-Case file copy

# Case 1:10-cv-01376-TWP-DML Document 8 Filed 11/02/10 Page 1 of 1

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	TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
		Alexanuna, VA 22515-1450	IRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_\_\_ Southern District of Indiana on the following G Patents or G Trademarks:

DOCKET NO 1:10-cv-1376-TWP-DML	DATE FILED 10/29/2010	S. DISTRICT COURT Southern D	listrict of Indiana
PLAINTIFF	•	DEFENDANT	····
ELI LILLY AND COMPA	NY		EDICINES, INC., APP LLC, PLIVA HRVATSKA D.O.O., CALS USA INC., and BARR LAB
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATE	NT OR TRADEMARK
1 7,772,209 B2	8/10/2010	CLET NIYIKIZA, Inventor	
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4		· · · · · · · · · · · · · · · · · · ·	<u> </u>
5			

### In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
	G Amen	idment G Answer	G Cross Bill	G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLD	DER OF PATENT OR	TRADEMARK
1 7,772, 209 B2	8/10/2010	***SEE ATTACHE	D COMPLAINT FIL	ED ON 10/29/2010***
2				
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4		1		
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In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT			
·			
CLERK	(BY) DEPUTY CLERK		DATE
Jame Raigs	- totalam	Dowon	11/2/2010
Copy 1Upon initiation of action, mail this	conv to Director _ Conv 3-Upon ter	mination of action, mail this	conv to Director
Copy 2—Upon filing document adding pater			sopj to znotot

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

 PATENT NO.
 : 7,772,209 B2

 APPLICATION NO.
 : 11/776329

 DATED
 : August 10, 2010

 INVENTOR(S)
 : Clet Niyikiza

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page, Col. 2, Line 22, under Other Publications: Delete "Homocystein" and insert --Homocysteine--, therefor.

Title Page, Col. 2, Line 27, under other Publications: Delete "hydroxocobaltniin" and insert --hydroxocobalamin--, therefor.

Title Page, Col. 2, Line 28, under Other Publications: Delete "mce" and insert --mice--, therefor.

Title Page, Col. 2, Line 37, under Other Publications: Delete "2666" and insert --266--, therefor.

Column l, Line 5, Delete "12 May," and insert --5 Dec.--, therefor.

Column 10, Line 62, In Claim I, delete "hydroxycobalamin," and insert --hydroxocobalamin,--, therefor.

Column 11, Line 4, In Claim 4, delete "2," and insert --3,--, therefor.

Signed and Sealed this

Twenty-sixth Day of October, 2010

Javid J. Kgpos

David J. Kappos Director of the United States Patent and Trademark Office

# PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U. S. Patent No.	:	7,772,209
Issued:	:	August 10, 2010
First Applicant	:	Clet Niyikiza
Serial No.	:	11/776,329
Application Date	:	July 11, 2007
Entitled	:	Antifolate Combination Therapies
Docket No.	:	X14173B

## REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. 1.322

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The patentee of the above-identified patent respectfully requests that you issue a Certificate of Correction to correct errors in the printed patent. Attached is Form PTO 1050 on which the errors are specified.

Some of the errors are typographical and were made inadvertently. The remaining errors occurred during the printing of the patent.

Please charge the fee under 1.20(a) and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840 to cover the cost of this Certificate of Correction.

Respectfully submitted,

/Elizabeth A. McGraw/ Elizabeth A. McGraw Attorney for Applicant Registration No. 44,646 Phone: 317-277-7443

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288 September 20, 2010

PTO/SB/44 (09-07) Approved for use through 08/31/2010. OM8 0661-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. (Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1\_\_\_\_ of 1\_\_\_\_

PATENT NO.	7,772,209
APPLICATION NO.:	11/776,329
ISSUE DATE :	August 10, 2010
INVENTOR(S) :	Clet Niyikiza

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

First Page, Col. 2, Line 22, under Other Publications: Delete "Homocystein" and insert --Homocysteine--, therefor.

First Page, Col. 2, Line 27, under Other Publications: Delete "hydroxocobaltniin" and insert --hydroxocobalamin--, therefor.

First Page, Col. 2, Line 28, under Other Publications: Delete "mce" and insert --mice--, therefor.

First Page, Col. 2, Line 37, under Other Publications: Delete "2666" and insert -- 266--, therefor.

Column 1, Line 5: Delete "12 May," and insert --5 Dec.--, therefor.

Column 10, Line 62: In Claim 1, delete "hydroxycobalamin," and insert --hydroxocobalamin,--, therefor.

Column 11, Line 4: In Claim 4, delete "2," and insert --3,--, therefor.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Eli Lilly and Company P.O. Box 6288 Indianapolis, IN 46206-6288

This collection of information is required by 37 CFR 1.322, 1 323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Patent Application Fee Transmittal						
Application Number:	11776329					
Filing Date:	11	-Jul-2007				
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES					
First Named Inventor/Applicant Name:	Clet Niyikiza					
Filer:	Elizabeth Ann McGraw/Linda Durbin					
Attorney Docket Number: X14173B						
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description Eee (ade ()uantity (Amount )					Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Certificate of correction		1811	1	100	100	
Extension-of-Time: Teva – Fresenius Exhibit 1002-00021						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Total in USD (\$)			100

Electronic Acknowledgement Receipt					
EFS ID:	8464324				
Application Number:	11776329				
International Application Number:					
Confirmation Number:	6568				
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES				
First Named Inventor/Applicant Name:	Clet Niyikiza				
Customer Number:	25885				
Filer:	Elizabeth Ann McGraw/Linda Durbin				
Filer Authorized By:	Elizabeth Ann McGraw				
Attorney Docket Number:	X14173B				
Receipt Date:	21-SEP-2010				
Filing Date:	11-JUL-2007				
Time Stamp:	15:28:58				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$100				
RAM confirmation Number	1875				
Deposit Account	050840				
Authorized User					
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

File Listing:							
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Request for Certificate of Correction	X14173BRequestCertificateofC	276775	no	2		
	orrection.pdf		3dfd3cab0967543cd0618f3e2c32e60ff567 1bd0	110	2		
Warnings:							
Information:							
2	Fee Worksheet (PTO-875)	fee-info.pdf	30372	no	2		
			23f9dc93ad89b23edb112ce21d94211041f 77577				
Warnings:							
Information:			1				
		Total Files Size (in bytes)	: 30	07147			
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.							
National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.							



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	08/10/2010	7772209	X14173B	6568
25885 75 ELI LILLY & COM PATENT DIVISIO P.O. BOX 6288 INDIANAPOLIS, J	MPANY N			

# **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 162 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

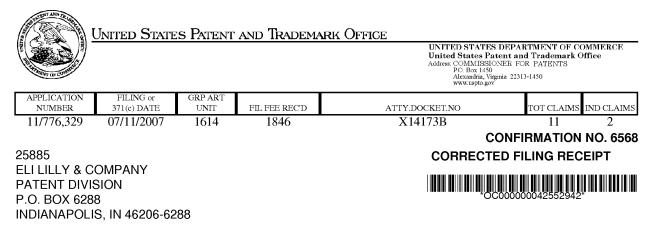
If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Clet Niyikiza, Indianapolis, IN;



Date Mailed: 07/14/2010

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

### Applicant(s)

Clet Niyikiza, Indianapolis, IN; Power of Attorney: The patent practitioners associated with Customer Number 25885

#### Domestic Priority data as claimed by applicant

This application is a DIV of 11/288,807 11/29/2005 ABN which is a DIV of 10/297,821 12/05/2002 PAT 7,053,065 which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of 60/215,310 06/30/2000 and claims benefit of 60/235,859 09/27/2000 ABN and claims benefit of 60/284,448 04/18/2001

**Foreign Applications** 

If Required, Foreign Filing License Granted: 08/31/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 11/776,329** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

### Title

### NOVEL ANTIFOLATE COMBINATION THERAPIES

### **Preliminary Class**

514

# **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER

# Title 35, United States Code, Section 184

# Title 37, Code of Federal Regulations, 5.11 & 5.15

### <u>GRANTED</u>

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page 2 of 3

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



#### UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Alexandra, Yugina 22313-1450 www.up/bgov

**CONFIRMATION NO. 6568** 

# 

Bib Data Sheet

SERIAL NUMB 11/776,329	ER	FILING OR 371(c) DATE 07/11/2007 RULE	C	CLASS 514	GRO	ROUP ART UNIT 1614		T ATTORNEY DOCKET NO. X14173B	
APPLICANTS Clet Niyikiza, Indianapolis, IN; ** CONTINUING DATA **********************************									
** 08/31/2007 Foreign Priority claimed Jest Total INDEPENDENT 35 USC 119 (a-d) conditions Jest Total Allowance Met after Met Allowance Initials NADDRESS									
25885 TITLE NOVEL ANTIFOLATE COMBINATION THERAPIES									
FILING FEE RECEIVED 1846       FEES: Authority has been given in Paper to charge/credit DEPOSIT ACCOUNT       Image: All Fees         Image: No					essing Ext. of				



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568
25885 ELI LILLY & (	7590 07/13/201 COMPANY	0	EXAN	IINER
PATENT DIVI			WEDDINGTO	ON, KEVIN E
P.O. BOX 6288 INDIANAPOL	5 IS, IN 46206-6288		ART UNIT	PAPER NUMBER
			1614	
			NOTIFICATION DATE	DELIVERY MODE
			07/13/2010	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com



#### UNITED STATES DEPARTMENT OF COMMERCE **U.S. Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION		ATTORNEY DOCKET NO.
11776329	7/11/2007	NIYIKIZA, CLET	X14173B	
				EXAMINER
ELI LILLY & COMPAN PATENT DIVISION	IY	KEVIN WEDDINGTON		
P.O. BOX 6288 INDIANAPOLIS, IN 40	6206-6288		ART UNIT	PAPER
			1614	20100706
			DATE MAILED	:

# Please find below and/or attached an Office communication concerning this application or proceeding.

#### **Commissioner for Patents**

In view of the papers filed July 11, 2007, the inventorship in this nonprovisional application has been changed by the deletion of Paolo Paoletti and James Jacob Rusthoven.

The solely applicant is Clet Niyikiza.

/KEVIN WEDDINGTON/ Primary Examiner Art Unit: 1614

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1449 (	modified)	Atty: Docket No X-14173B	Serial No	6,329
		First Applicant MIYIKIZA Clet		
		Filing Date	Group	· · · · · · · · · · · · · · · · · · ·
	<u>U.S.</u>	PATENT DOCUM	ENTS	
Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Li
No. 1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY ;		Where Relevant Pa or Relevant Figure Appear
AA	US 5,405,839	4/ 11/1995	Toraya	
AB	US 5,431,925	07/00/1995	Ohmori, et al.	
AČ	US 5,563,126	10/8/1996	Allen, et al.	
AD	US 5,736,402	4/7/1 )98	Francis, et al.	
AE	US 6,207,651	3/27/2001		
AF	US 6,297,224	10/2/2001		
AG	US 6,528,496	3/4/2003		
		1111 (1997) - A		
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	FOREI	N 7 1		•
Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4-</sup>	Publication Date Do	of Patentee or ant of Cited Pages, Columns, Li	
BA	Kind Code5 (if known)	MM-DD YYYY 16/199: EPO	Figure: App	
	Cite No. 1 AA AB AC AD AE AF AG AII AI AI AI AI AI AI AI Cite No. 1	Cite         Document Number           No. 1         Number-Kind Code <sup>2</sup> (if known)           AA         US 5,405,839           AB         US 5,431,925           AC         US 5,563,126           AD         US 5,736,402           AE         US 6,207,651           AF         US 6,297,224           AG         US 03/0216350           AII         US 03/0225030           AI         US 2,920,015           AK         US 2,004/0005311 A1           AL         US 7,053,065           FORE1           Cite           No. 1         Foreign Patent Document           No. 1         Country Code <sup>3</sup> -Number <sup>4-</sup> Kind Code5 (if known)	1449 (modified)       Atty. Docket No.         Cito Disclosure Citation       First Applicant         NVIKIZA Clet       Filing Date         U.S. PATENT DOCUMI         Cite No. 1       Document Number         Number-Kind Code2 (if known)       Publication Date         AA       US 5,405,839       4/ 11/1995         AB       US 5,431,925       07/0C/1995         AC       US 5,563,126       10/8/1996         AD       US 5,736,402       4/7/1.998         AE       US 6,207,651       3/27/2001         AF       US 6,297,224       10/2/2001         AG       US 03/0216350       11/2C/2003         AII       US 03/0225030       12/4/2003         AII       US 2,920,015       01/1960         AK       US 2,004/0005311 AI       01/2004         AI       US 7,053,065       05/2006         FOREIGN PATENT DOCU         No.1         No.1       Foreign Patent Document         No.1       Country Cod <sup>3</sup> -Number 4+       Publicati on Date         No.1       Foreign Patent Document       Applicati on Date         No.1       Country Cod <sup>3</sup> -Number 4+       Publicati on Date         No.	1449 (modified) TON DISCLOSURE CITATIONArty, Docket No X-14173BSerial No II/727Cite U.S. PATE/NT DOCUMENTSU.S. PATE/NT DOCUMENTSCite Document NumberPublication Date MM-DD-YYYYA.AUS 5,405,8394/ 11/1995Tetsuo, et al. Tof CA y $\alpha$ A.BUS 5,405,8394/ 11/1995Tetsuo, et al. Tof CA y $\alpha$ A.BUS 5,431,92507/0C/1995Ohmori, et al. Tof CA y $\alpha$ A.BUS 5,431,92507/0C/1995Ohmori, et al. Tof CA y $\alpha$ A.BUS 5,563,12610/8/1996Allen, et al. Allen, et al.A.DUS 5,736,4024//7/1998Francis, et al. Allen, et al.A.FUS 6,297,22410/2/2001Allen, et al.A.GUS 3/021635011/2C/2003Allen, et al.A.IIUS 03/022503012/4/2003Allen, et al.A.IUS 03/022503012/4/2003Allen, et al.A.IUS 2,920,01501/1560Thompsen, Robert E.A.KUS 2004/0005311 Al01/2004Taylor, Edward C.A.MUS 7,053,06505/2006No.Nage of Parentee or Toompsen, Bradford D.Cite Foreign Patent Document County Code <sup>3</sup> Number*Publication Date Publication Date Publication Date Publication Date Publication DatePublication Date Publication Date Publication Date Publication Date Publication Date Publication Date Publication Date Publication Date Publication Date Publication Date <br< td=""></br<>

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Examiner		Date Considered		
Signature	/Kevin Weddington/ (02/11/2009)		02/11/2009	•
*EXAMINER: Init	ial if reference considered, whether or not citation is in conformance with MPEP 609.	Draw line through citation if no	in conformance and not considere a	Include copy of
this form with next (	communication to applicant.	1		

this form with next communication to applicant. <sup>1</sup>Applicant's unique ritation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO P tent Documents at <u>www.igcvy.govy</u> or MPEP 901, 24. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the lineary or must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard S1. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Ti instanton is attached. Burden Hears Statement: <sup>7</sup>His form is estimated to take 20 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Tadenark Office, Washington. <sup>10</sup>C 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commiss ouer for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Teva – Fresenius Exhibit 1002-00032

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# OK TO ENTER: /K.W./ 05/24/2010

#### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Type or print name of person signing certification

Signature

Date

### <u>PATENT APPLICATION</u> IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	NIYIKIZA Clet	
For:	NOVEL ANTIFOLATE COMBINATION T	HERAPIES
Docket No.:	X-14173B	

## AMENDMENT AND PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### 1. Amendment and Petition

This amendment and petition is to delete the names of the following persons originally named as inventors and who are not the inventors of the invention now being claimed: Paolo Paoletti, of Indianapolis, Indiana, and James Jacob Rusthoven, of Ancaster, Canada.

### 2. Claims Now On File

The claims in this application are as follows: New claims 29-39 filed on July 11, 2007

### 3. Diligence

This amendment and petition is being filed diligently after discovery that any claims for which the above named inventors who are being deleted are now no longer the inventors of the subject matter being claimed.

## 4. Fee Payment

Please charge \$130.00, the surcharge required by \$1.17(i), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840, in the name of Eli Lilly and Company. I enclose an original and two copies of this paper.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Telephone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571)-273-2885 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION PEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and socification of maintenance less will be nucled to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(a) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of smalling or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block ) for any change of address) 25685 7590 03/10/2010 Certificate of Mailing or Transmission ELI LILLY & COMPANY I hereby certify that this Fer(3) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsunite transmitted to the IISPTO (571) 273-2885, on the date indicated below. PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288 Bepessien's cause Simstore (Dote APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY POCKET NO CONFIRMATION NO. 11/776 329 07/11/2/067 Clet Niyikiza X141738 6568 TITLE OF INVENTION: NOVEL ANTIFOLATE COMBINATION THERAPIES SMALL ENTERY APPLN, TYPE ISSUE FRE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL (TEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 06/10/2010 EXAMINER ART UNIT CLASS-SUBCLASS WEDDINGTON, KEVIN E 514-052000 3633 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list Elizabeth A. McGraw (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, Change of correspondence address (or Change of Correspondence Address form PTO/S8/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent aucrneys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form gTO/SB/47: Rev.03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (prim or type) PLEASE NOTE: Unless an assignce is identified below, no assignee data will appear on the patent. If an assignce is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (8) RESIDENCE: (CITY and STATE OR COUNTRY) Indianapolis, Indiana Eli Lilly and Company Please check the appropriate assignce category or categories (will not be printed on the patent) ; 💷 Individual 🖓 Corporation or other private group entity 🛄 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) Siscue Fee Dublication Fee (No small entity discount permitted) A check is enclosed. Dayment by credit card. Form PTC-2038 is attached. The Director is hereby authorized to charge the required for (s), any deficiency, or credit any overpayment, to Deposit Account Number  $\frac{0.5}{0.5} - \frac{0.84}{0.84} \frac{0}{0.84}$  (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Parent and Trademark Office. Date 22 2010 Authorized Signature Z Elizabeth My Graw Registration No. Typed or printed name This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 manutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. OMB 0651-0033

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

### PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant: NIYIKIZA Clet

Serial No.: 11/776329

Group Art Unit: 1614 Examiner: Weddington, Kevin E. Confirmation No.: 6568

Application Date: July 11, 2007

For: NOVEL ANTIFOLATE COMBINATION THERAPIES

Docket No.: X14173B

# <u>COMMUNICATION - REMINDER AT TIME OF ISSUE OF</u> <u>CHANGE OF INVENTORSHIP</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Attention: Mail Stop Issue Fee

Sir:

The above-captioned application has been allowed. In the Notice of Allowance and Issue Fee Due, the first named Applicant is identified as <u>Clet Niyikiza</u>. <u>Clet Niyikiza</u> is the first of three named Applicants: Clet Niyikiza, Paolo Paoletti, and James Jacob Rusthoven in the original filing of this application. However, a Petition to Correct Inventorship was submitted July 11, 2007, removing Applicants Paolo Paoletti and James Jacob Rusthoven.

Accordingly, we ask that the proper steps be taken to ensure that the patent issues solely in the name of <u>Clet Niyikiza</u>.

Respectfully submitted, /Elizabeth A McGraw/ Elizabeth A. McGraw Attorney for Applicants Registration No. 44,646 Phone: 317-277-7443

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288 April 26, 2010

Electronic Patent Application Fee Transmittal						
Application Number:	11776329					
Filing Date:	11-Jul-2007					
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES					
First Named Inventor/Applicant Name:	Cle	rt Niyikiza				
Filer:	Eliz	zabeth Ann McGrav	//Linda Durbin			
Attorney Docket Number:	X14173B					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Utility Appl issue fee	Utility Appl issue fee 1501 1 1510 1510					
Publ. Fee- early, voluntary, or normal		1504		eva – Preser		
			Exh	libit 1002-000	J37	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Total in USD (\$)			1810

Electronic Acknowledgement Receipt				
EFS ID:	7485297			
Application Number:	11776329			
International Application Number:				
Confirmation Number:	6568			
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES			
First Named Inventor/Applicant Name:	Clet Niyikiza			
Customer Number:	25885			
Filer:	Elizabeth Ann McGraw/Linda Durbin			
Filer Authorized By:	Elizabeth Ann McGraw			
Attorney Docket Number:	X14173B			
Receipt Date:	26-APR-2010			
Filing Date:	11-JUL-2007			
Time Stamp:	13:47:13			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

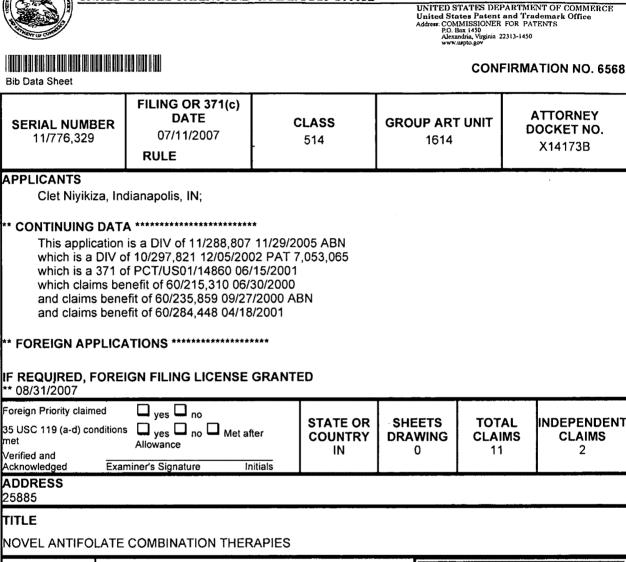
Submitted wit	h Payment	yes					
Payment Type		Deposit Account					
Payment was	successfully received in RAM	\$1810					
RAM confirmation Number		9928					
Deposit Accou	Deposit Account 050840						
Authorized Us	Authorized User						
File Listing	File Listing:						
Document Number	Document Description	File Name	FileSize(Bytes)/CSCM/Juti Pages Message Digest_0035/zip (if appl.)				

1	Issue Fee Payment (PTO-85B)	X14173BlssueFeeTransmittal.	375077	no	1		
		pdf	c0268b10a75768a1ebed7efd7501c3e70d8 91525				
Warnings:							
Information:							
2	Post Allowance Communication -	X14173BInventorshipReminder	63107	no	1		
	Incoming	.pdf	776e9a2738837599a42d628ebd80f93388f dc8be				
Warnings:							
Information:							
3	Fee Worksheet (PTO-875)	fee-info.pdf	32306	no	2		
	,		e4cfbb479aeedbf5315951f2ca4bb0926240 04ed				
Warnings:							
Information:							
		Total Files Size (in bytes)	4	70490			
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u>							
1.53(b)-(d) ai	ication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin	R 1.54) will be issued in due					
National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.							

w" 4/16

CLAIMS

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UNITED STATES PATENT AND TRADEMARK OFFICE

All Fees 1.16 Fees ( Filing ) □ 1.17 Fees ( Processing Ext. of FEES: Authority has been given in Paper FILING FEE No. \_\_\_\_\_\_ to charge/credit DEPOSIT ACCOUNT No. \_\_\_\_\_\_ for following: time) RECEIVED 1546 1.18 Fees ( Issue ) C Other Credit

**CONFIRMATION NO. 6568** 



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

25885 7590 03/10/2010

ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288

EXAMINER					
WEDDINGTON, KEVIN E					
ART UNIT PAPER NUMBER					
1614					

DATE MAILED: 03/10/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568	
TITLE OF INVENTION: NOVEL ANTIFOLATE COMBINATION THERAPIES					

APPLN. TYPESMALL ENTITYISSUE FEE DUEPUBLICATION FEE DUEPREV. PAID ISSUE FEETOTAL FEE(S) DUEDATE DUEnonprovisionalNO\$1510\$300\$0\$181006/10/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This appropriate. All further indicated unless correct maintenance fee notifica	correspondence includir ed below or directed oth	for transmitting the ISSU ng the Patent, advance on herwise in Block 1, by (a	JE FEE and PUBLICA' rders and notification of a) specifying a new corr	FION FEE (if requ maintenance fees v espondence address	ired). E vill be ; and/or	Blocks 1 through 5 sh mailed to the current ( (b) indicating a separ	ould be completed where correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	Fe	e(s) Transmittal. Th pers. Each addition:	is certif 1 paper	icate cannot be used for	domestic mailings of the or any other accompanying at or formal drawing, must
25885 ELI LILLY & PATENT DIVIS P.O. BOX 6288	SION	/2010	Ĭh	Cer ereby certify that th	<b>tificate</b>	of Mailing or Transm Transmittal is being	nission deposited with the United t class mail in an envelope above, or being facsimile te indicated below.
INDIANAPOLI	S, IN 46206-6288						(Depositor's name)
							(Signature)
			L				(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007		Clet Niyikiza			X14173B	6568
		E COMBINATION THE					
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE		E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0 T		\$1810	06/10/2010
EXAN		ART UNIT	CLASS-SUBCLASS				
	ON, KEVIN E	1614	514-052000	natant front nago li	ot		
<ul> <li>1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys, or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered attorney or agents. If no name is listed, no name will be printed.</li> <li>2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents on the names of up to 3 registered patent attorneys or agents on the names of up to 3 registered patent attorney or agents on the names of up to 2 registered patent attorney or agents. If no name is listed, no name will be printed.</li> </ul>							
PLEASE NOTE: Un	less an assignee is ident th in 37 CFR 3.11. Comp	A TO BE PRINTED ON ' ified below, no assignee sletion of this form is NO	data will appear on the	patent. If an assign assignment.			cument has been filed for
Please check the appropriate	riate assignee category or	categories (will not be pr	rinted on the patent):	Individual 🛛 C	orporati	on or other private gro	up entity 📮 Government
	are submitted: No small entity discount <u>p</u> # of Copies	permitted)	<ul> <li>D. Payment of Fee(s): (Plance)</li> <li>A check is enclosed.</li> <li>Payment by credit care</li> <li>The Director is herefore overpayment, to Depayment, to Depayment, to Depayment.</li> </ul>	ard. Form PTO-2038 by authorized to char	3 is atta	ched. required fee(s), any def	,
- ° ·	tus (from status indicate as SMALL ENTITY state	·	b. Applicant is no lo	nger claiming SMA	LL EN	TITY status. See 37 CF	R 1.27(g)(2).
NOTE: The Issue Fee ar interest as shown by the	d Publication Fee (if req records of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than Office.	the applicant; a reg	istered a	attorney or agent; or the	e assignee or other party in
Authorized Signature				Date			
Typed or printed nam	ie			Registration N	No		
Alexandria, Virginia 22.	313-1450.	ER 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th O NOT SEND FEES OR ( persons are required to re					by the USPTO to process) g gathering, preparing, and te you require to complete triment of Commerce, P.O. or Patents, P.O. Box 1450,
onder the raperwork Ke	aucuon Act 01 1993, 110	persons are required to re-	spond to a collection of fi	normation unless it			
					1 ev	/a – Freseniu	12

OMB 0651-0033 U.S. Patent and THEXAIDIT FILOO2 +00043ENT OF COMMERCE



## United States Patent and Trademark Office

			UNITED STATES DEPAR United States Patent and ' Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568
25885 75	i90 03/10/2010		EXAN	IINER
ELI LILLY & CO	OMPANY		WEDDINGTO	ON, KEVIN E
PATENT DIVISIO	DN		ART UNIT	PAPER NUMBER
P.O. BOX 6288 INDIANAPOLIS,	IN 46206-6288		1614 DATE MAILED: 03/10/201	0

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 132 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 132 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
Notice of Allowability	11/776,329 Examiner	NIYIKIZA ET AL.
	KEVIN WEDDINGTON	1614
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT F of the Office or upon petition by the applicant. See 37 CFR 1.31	6 (OR REMAINS) CLOSED in th ) or other appropriate communic <b>RIGHTS.</b> This application is sub	is application. If not included cation will be mailed in due course. <b>THIS</b>
1. This communication is responsive to <i>February 23, 2010</i> .		
2. The allowed claim(s) is/are <u>40-44 and 47-63; renumbered</u>	<u>1-22</u> .	
3. □ Acknowledgment is made of a claim for foreign priority u         a) □ All       b) □ Some*       c) □ None       of the:		f).
1. Certified copies of the priority documents hav		
2. Certified copies of the priority documents hav		
3. Copies of the certified copies of the priority do	ocuments have been received in	i this national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDON THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		reply complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subr INFORMAL PATENT APPLICATION (PTO-152) which give		
5. CORRECTED DRAWINGS ( as "replacement sheets") mu	ist be submitted.	
(a) including changes required by the Notice of Draftsper	son's Patent Drawing Review (	PTO-948) attached
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date		
(b) 🔲 including changes required by the attached Examiner	's Amendment / Comment or in	the Office action of
Paper No./Mail Date		
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in	1.84(c)) should be written on the o the header according to 37 CFR 1	drawings in the front (not the back) of I.121(d).
6. DEPOSIT OF and/or INFORMATION about the dependent attached Examiner's comment regarding REQUIREMENT		
Attachment(s)		
1. Notice of References Cited (PTO-892)		mal Patent Application
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	Paper No./Ma	il Date <u>2-23-2010</u> .
3. ⊠ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date <u>See Continuation Sheet</u>	7. 🔲 Examiner's An —	
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	—	atement of Reasons for Allowance
	9. 🗌 Other	
/KEVIN WEDDINGTON/		
Primary Examiner Art Unit: 1614		
U.S. Patent and Trademark Office		

### Continuation Sheet (PTOL-37)

Continuation of Attachment(s) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date: 11-13-2009; 12-15-2009.

	Application No.	Applicant(s)			
Intonvious Summary	11/776,329	NIYIKIZA ET AL.			
Interview Summary	Examiner	Art Unit			
	KEVIN WEDDINGTON	1614			
All participants (applicant, applicant's representative, PT	O personnel):				
(1) <u>KEVIN WEDDINGTON</u> .	(3)				
(2) <u>Elizabeth A. McGraw</u> .	(4)				
Date of Interview: <u>23 February 2010</u> .					
Type: a)⊠ Telephonic b)⊡ Video Conference c)⊡ Personal [copy given to: 1)⊡ applicant	2) applicant's representat	ive]			
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)🛛 No.				
Claim(s) discussed: <u>The claims in general</u> .					
Identification of prior art discussed: <i>Niyikiza et al. (7,053,</i>	<u>065 B2)</u> .				
Agreement with respect to the claims f) was reached.	g)∏ was not reached. h)⊠	] N/A.			
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>The attorney of record, Ms. McGraw, stated that the Niyikiz et al. (7,053,065 B2)</u> cannot be used in an Obviousness-Type Double Patenting rejection because the present application is a Divisional of <u>Niyikiza et al. (7,053,065 B2)</u> which has a restriction requirement. The Examiner agreeds that an ODP rejection should not had been made.					
(A fuller description, if necessary, and a copy of the ame allowable, if available, must be attached. Also, where no allowable is available, a summary thereof must be attach	copy of the amendments that				
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.					
/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614					
L U.S. Patent and Trademark Office	1	1			

PTOL-413 (Rev. 04-03)

Interview Summary

Paper No. 20100223

#### Summary of Record of Interview Requirements

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,

(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)

- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## **BIB DATA SHEET**

### **CONFIRMATION NO. 6568**

SERIAL NUM	BER	FILING or DAT			CLASS	GR	OUP ART	UNIT	ΑΤΤΟ	RNEY DOCKET NO.
11/776,32	9	07/11/2			510		1614			X14173B
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Index of Claims					Application/Control No.						Applicant(s)/Patent Under Reexamination NIYIKIZA ET AL.					
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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	11776329	NIYIKIZA ET AL.
	Examiner	Art Unit
	KEVIN WEDDINGTON	1614

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U.S. Patent and Trademark Office

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11776329	NIYIKIZA ET AL.
	Examiner	Art Unit
	Kevin E Weddington	1614

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514	77	2/11/09	KEW						
514	249	2/11/09	KEW						
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Consultation with parent applications, 10/297,821 and 11/288,807	2/11/09	KEW
EAST and PALM for Inventors' Names	2/11/09	KEW
CAS-ONLINE search with MEDLINE, CA and USPATALL	9/1/2009	KEW
Updated Searches	2/23/2010	KEW

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Class	Subclass	Date	Examiner
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514	77	2/23/2010	KEW
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		11776329		
Filing Date		2007-07-11		
First Named Inventor	Clet N	liyikiza		
Art Unit		1614		
Examiner Name				
Attorney Docket Numb	er	Х14173В		

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,	/K.W	/ <sup>1</sup>	ALIMTA, NDA 021462, Approved Label of 07/02/2009.	
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	Application Number		11776329
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INFORMATION DISCLOSURE	First Named Inventor	Clet N	liyikiza
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	Examiner Name		
	Attorney Docket Numb	er	Х14173В

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/K.W./	14	VOLKOV, I., "The master key effect of vitamin B12 in treatme Hypotheses. 70:324-328. 2008.	ent of malignancy - A potential ther	apy?", Medical	
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		of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04.			

<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here English language translation is attached.

PTO/SB/08a (07-09) Doc code: IDS Approved for use through 07/31/2012. OMB 0651-003 Dow description: Information Disclosure Statement (IDS) Filed U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMER Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. 11776329 Application Number Filing Date 2007-07-11 INFORMATION DISCLOSURE First Named Inventor Clet NIYIKIZA STATEMENT BY APPLICANT Art Unit 1614 (Not for submission under 37 CFR 1.99) Examiner Name Kevin E. Weddington Attorney Docket Number X14173B US Remove **U.S.PATENTS** Pages,Columns,Lines where Examiner Cite Kind Name of Patentee or Applicant Patent Number Relevant Passages or Relevant Issue Date Initial\* No Òode1 of cited Document Figures Appear 1 Add If you wish to add additional U.S. Patent citation information please click the Add button. U.S.PATENT APPLICATION PUBLICATIONS Remove Pages,Columns,Lines where Publication Examiner Cite Kind ame of Patentee or Applicant Publication Number Relevant Passages or Relevant of otted Document Initial\* No Code<sup>1</sup> Date Figures Appear 1 Add If you wish to add additional U.S. Published Application citation information please click the Add button. Remove FOREIGN PATENT DOCUMENTS Pages,Columns,Lines Name of Patentee or Country Examiner Cite Foreign Document Kind Publication where Relevant T⁵ Applicant of otted Initial\* Number<sup>3</sup> Code<sup>2</sup> j No Code<sup>4</sup> Date Passages or Relevant Document **Figures Appear** 1 If you wish to add additional Foreign Patent Document citation information please click the Add button ∖Xdd Remòve NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s Τ5 NØ Initials\* publisher, city and/or country where published.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		11776329		
Filing Date		2007-07-11		
First Named Inventor	Clet N	IIYIKIZA		
Art Unit		1614		
Examiner Name Kev		n E. Weddington		
Attorney Docket Numb	er	X14173B_US		

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/K.W./	2	McDonald, A.C., et al.: "Clinical Phase I Study of LY231514, a Multitargeted Antifolate, Administered by Daily x 5 q 21 Schedule", Annals of Oncology (1996), vol. 7:85, Abstract No. 291.		
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Standard ST <sup>4</sup> Kind of doe	F.3). <sup>3</sup> F cument	USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO or Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document of the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark he instation is attached.	For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the	ument



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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568
25885 ELI LILLY & (	7590 02/05/201 COMPANY	0	EXAN	IINER
PATENT DIVI			WEDDINGTO	ON, KEVIN E
P.O. BOX 6288 INDIANAPOL	5 IS, IN 46206-6288		ART UNIT	PAPER NUMBER
			1614	
			NOTIFICATION DATE	DELIVERY MODE
			02/05/2010	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)
	11/776,329	NIYIKIZA ET AL.
Office Action Summary	Examiner	Art Unit
	KEVIN WEDDINGTON	1614
The MAILING DATE of this communication ap	opears on the cover sheet with	h the correspondence address
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPL</li> <li>WHICHEVER IS LONGER, FROM THE MAILING I</li> <li>Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period</li> <li>Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the maili earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>	DATE OF THIS COMMUNICA .136(a). In no event, however, may a rep d will apply and will expire SIX (6) MONTI te, cause the application to become ABA	ATION. bly be timely filed HS from the mailing date of this communication. NDONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on <u>13 /</u>	<u>November 2009</u> .	
	is action is non-final.	
3) Since this application is in condition for allowa		-
closed in accordance with the practice under	Ex parte Quayle, 1935 C.D.	11, 453 O.G. 213.
Disposition of Claims		
4) Claim(s) <u>40-44 and 47-63</u> is/are pending in th	ne application.	
4a) Of the above claim(s) is/are withdra	awn from consideration.	
5) Claim(s) is/are allowed.		
6)⊠ Claim(s) <u>40-44 and 47-63</u> is/are rejected.		
7) Claim(s) is/are objected to.	or election requirement	
8) Claim(s) are subject to restriction and/	or election requirement.	
Application Papers		
9) The specification is objected to by the Examin	ier.	
10) The drawing(s) filed on is/are: a) ac	cepted or b) objected to b	y the Examiner.
Applicant may not request that any objection to the		
Replacement drawing sheet(s) including the correct		
11) The oath or declaration is objected to by the E	Examiner. Note the attached	Office Action of form $P10-152$ .
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreig	n priority under 35 U.S.C. § <sup>·</sup>	119(a)-(d) or (f).
a)  All b) Some * c) None of:		
1. Certified copies of the priority documer		
2. Certified copies of the priority documer	•	·
3. Copies of the certified copies of the privation from the International Burea	•	eceived in this National Stage
* See the attached detailed Office action for a lis		aceived
Attachment(s)		mmory (DTO 442)
<ul> <li>1) Notice of References Cited (PTO-892)</li> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> </ul>	Paper No(s)	mmary (PTO-413) /Mail Date
3) X Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>11-13-09; 12-15-09</u> .	5) 🛄 Notice of Info 6) 🔲 Other:	ormal Patent Application -

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

**Office Action Summary** 

Tereselhiuleate 20100128 Exhibit 1002-00061 Application/Control Number: 11/776,329 Art Unit: 1614

Claims 40-44 and 47-63 are presented for examination.

Applicants' amendment, response and information disclosure statement filed

November 13, 2009; and the information disclosure statement filed December 15, 2009

have been received and entered.

Accordingly, the rejection made under 35 USC 103(a) as being obvious over

Taylor (5,344,932) of PTO-1449 in view of Tsao et al., Pathobiology, vol. 61, No. 2, pp.

104-108 (1993) of PTO-1449, further in view of Worzalla et al., Anticancer Research,

Vol. 18, No. 5, pp. 3255-3239 of PTO-1449, and further in view of Cleare et al.

(4,149,707) as set forth in the Office action dated September 8, 2009 at pages 2-5 as

applied to claims 40-52 is hereby withdrawn because of applicants' remarks.

## **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Application/Control Number: 11/776,329 Art Unit: 1614

Claims 40-44 and 47-63 are rejected on the ground of nonstatutory obviousnesstype double patenting as being unpatentable over claims 1-7 of U.S. Patent No. 7,053,065 B2. Although the conflicting claims are not identical, they are not patentably distinct from each other because the only difference between the present claims and the patented claims lies in that in the present claims, addition agent(s) is administered with the presently claimed active agents (pemetrexed disodium and vitamin B12).

The present claims would anticipate the patented claims because the patented claims recite "**comprising**" and thus opens the claims to the inclusion of additional active agent(s).

Claims 40-44 and 47-63 are not allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KEVIN WEDDINGTON whose telephone number is (571)272-0587. The examiner can normally be reached on 12:30 pm - 9:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin Marschel can be reached on (571)272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 11/776,329 Art Unit: 1614

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> KEVIN WEDDINGTON Primary Examiner Art Unit 1614

/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614

Index of C	Application/	Application/Control No.				Applicant(s)/Patent Under Reexamination NIYIKIZA ET AL.			
	Examiner			Art Unit					
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U.S. Patent and Trademark Office

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		11776329					
Filing Date		2007-07-11					
First Named Inventor	Clet N	liyikiza					
Art Unit		1614					
Examiner Name							
Attorney Docket Numb	er	Х14173В					

/K.W./	1	ALIMTA, NDA 021462, Approved Label of 07/02/2009.	
	2	"Clinical Chemistry: principle, procedures, correlations," 3rd edition, 1996, published by Lippincott: pp. 618-627.	
	3	Fluorouracil, Physicians Desk References, (c) 1998, pp 2463-2464.	
	4	HAMMOND, L., et al., "A phase I and pharmacokinetic (PK) study of the multitarget antifol (MTA) LY231514 with folic acid, " American Society of Clinical Oncology (ASCO) Meeting Abstract No. 866 (1998).	
	5	KISLIUK, RL., 1984. "The Biochemistry of Folates." In Sirotnak (Ed.), Folate Antagonists as Therapeutic Agents. pp. 2-68. Harcourt Brace Jovanovich, Publishers.	
	6	KISLIUK, RL., 1999. "Folate Biochemistry in RElation to Antifolate Selectivity." In Jackson (Ed.), Antifolate Drugs in Cancer Therapy. pp 13-36. Humana Press, New Jersey.	
	7	Leucovorin, Physicians Desk Reference, (c) 1999. pp 1389-1391.	
	8	Methotrexate, Physicians Desk Reference, (c) 1999. pp. 1397-1413.	
	9	MORGAN, et al., "Folic acid supplementation prevent deficient blood folate levels and hyperhomocysteinemia during long-term, low dose methotrexate therapy for rheumatoid arthritis: implications for cardiovascular disease prevent," J. Rheumatol. 25:441-446. (1998).	
$\mathbf{V}$	10	NIYIKIZA, C., et al., "LY231514 (MTA): relationship of vitamin metabolite profile to toxicity," American Society of Clinical Oncology (ASCO) Meeting Abstract No. 2139 (1998).	
/K.W./	11	Raltitrexed, The Complete Drug Reference, Martindale, 32nd Ed., Pharmaceutical Press, London, pp 560.	
L		Tava Fracaziva	I

	Application Number		11776329	
	Filing Date		2007-07-11	
INFORMATION DISCLOSURE	First Named Inventor Clet N		Niyikiza	
(Not for submission under 37 CFR 1.99)	Art Unit		1614	
	Examiner Name			
	Attorney Docket Numb	er	Х14173В	

/K.W./	12	SHIH, C., et al., "LY231514, a Pyrrolo[2,3-d]pyrimidine-based Antifolate that Inhibits Multiple Folate-requiring Enzymes," Cancer Research. 57:1116-1123. 1997.								
/K.W./	13	SHIH, C., et al., "Preclinical Pharmacology Studies and the Clinical Development of a Novel Multitargeted Antifolate, MTA (LY231514)," In Jackson (Ed.), Antifolate Drugs in Cancer Therapy. pp 13-36. Humana Press, New Jersey.								
/K.W./	14	VOLKOV, I., "The master key effect of vitamin B12 in treatment of malignancy - A potential therapy?", Medical Hypotheses. 70:324-328. 2008.								
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PTO/SB/08a (07-09) Doc code: IDS Approved for use through 07/31/2012. OMB 0651-003 Dow description: Information Disclosure Statement (IDS) Filed U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMER Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. 11776329 Application Number Filing Date 2007-07-11 INFORMATION DISCLOSURE First Named Inventor Clet NIYIKIZA STATEMENT BY APPLICANT Art Unit 1614 (Not for submission under 37 CFR 1.99) Examiner Name Kevin E. Weddington Attorney Docket Number X14173B US Remove **U.S.PATENTS** Pages,Columns,Lines where Examiner Cite Kind Name of Patentee or Applicant Patent Number Relevant Passages or Relevant Issue Date Initial\* No Òode1 of cited Document Figures Appear 1 Add If you wish to add additional U.S. Patent citation information please click the Add button. U.S.PATENT APPLICATION PUBLICATIONS Remove Pages,Columns,Lines where Publication Examiner Cite Kind ame of Patentee or Applicant Publication Number Relevant Passages or Relevant of otted Document Initial\* No Code<sup>1</sup> Date Figures Appear 1 Add If you wish to add additional U.S. Published Application citation information please click the Add button. Remove FOREIGN PATENT DOCUMENTS Pages,Columns,Lines Name of Patentee or Country Examiner Cite Foreign Document Kind Publication where Relevant T⁵ Applicant of otted Initial\* Number<sup>3</sup> Code<sup>2</sup> j No Code<sup>4</sup> Date Passages or Relevant Document **Figures Appear** 1 If you wish to add additional Foreign Patent Document citation information please click the Add button ∖Xdd Remòve NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s Τ5 NØ Initials\* publisher, city and/or country where published.

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Exhibit 1002-00070

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		11776329					
Filing Date		2007-07-11					
First Named Inventor	Clet N	NIYIKIZA					
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Examiner Name	Kevin	E. Weddington					
Attorney Docket Numb	er	X14173B_US					

/K.W./	1	Maysishecheva, N.V., et al.: "Antitumor Activity of Methotrexate When Used in Combination with Cobalamine Derivatives", Eksperimentalnaya Onkologija (1982), vol. 4, no. 5:29-33.										
/K.W./	2	McDonald, A.C., et al.: "Clinical Phase I Study of LY231514, a Multitargeted Antifolate, Administered by Daily x 5 q 21 Schedule", Annals of Oncology (1996), vol. 7:85, Abstract No. 291.										
/K.W./	3	Sofyina, Z.P., et al.: "Possibility of Potentiating the Antineoplastic Action of Folic Acid Antagonist by Methylcobalamine Analogs", Vestnik Akademii Medicinskich Nauk SSSR (1979), vol. 1: 72-78.										
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	Application Number		11776329	
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,	Examiner Name Ke		E. Weddington	
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	1		sishecheva, N.V., et al.: "Antitumor Activity of Methotrexate When Used in Combination v atives", Eksperimentalnaya Onkologija (1982), vol. 4, no. 5:29-33.	vith Cobalamine		
	2		onald, A.C., et al.: "Clinical Phase I Study of LY231514, a Multitargeted Antifolate, Administered by Daily x 5 q 21 dule", Annals of Oncology (1996), vol. 7:85, Abstract No. 291.			
	3		ofyina, Z.P., et al.: "Possibility of Potentiating the Antineoplastic Action of Folic Acid Antagonist by Methylcobalamine nalogs", Vestnik Akademii Medicinskich Nauk SSSR (1979), vol. 1: 72-78.			
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here English language translation is attached.

INFORMATION DISCLOSURE	Application Number		11776329
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	First Named Inventor	t Named Inventor Clet NIYIKIZA	
(Not for submission under 37 CFR 1.99)	Art Unit		1614
	Examiner Name	Kevin	E. Weddington
	Attorney Docket Numb	er	X14173B_US

		CERTIFI	CATION STATEMENT			
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate	e selection(s):			
X	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).					
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	foreign patent of after making rea any individual de	information contained in the inform fice in a counterpart foreign applica sonable inquiry, no item of informati esignated in 37 CFR 1.56(c) more 87 CFR 1.97(e)(2).	ation, and, to the knowledge of th on contained in the information d	ne person signing the certification isclosure statement was known to		
	See attached cer	rtification statement.				
	Fee set forth in 3	7 CFR 1.17 (p) has been submitted	herewith.			
	None					
	ignature of the ap n of the signature.	plicant or representative is required i	SIGNATURE in accordance with CFR 1.33, 10.	18. Please see CFR 1.4(d) for the		
Sigr	nature	/Elizabeth A. McGraw/	Date (YYYY-MM-DD)	2009-12-15		
Nan	ne/Print	Elizabeth A. McGraw	Registration Number	44,646		
pub 1.14 app requ Pate FEE	lic which is to file ( 4. This collection i lication form to the uire to complete th ent and Trademar	rmation is required by 37 CFR 1.97 a (and by the USPTO to process) an a is estimated to take 1 hour to comple e USPTO. Time will vary depending his form and/or suggestions for reduc k Office, U.S. Department of Comme ED FORMS TO THIS ADDRESS. <b>S</b>	pplication. Confidentiality is gove ete, including gathering, preparing upon the individual case. Any co ing this burden, should be sent to erce, P.O. Box 1450, Alexandria, N	and submitting the completed mments on the amount of time you the Chief Information Officer, U.S. /A 22313-1450. DO NOT SEND		

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt				
EFS ID:	6638731			
Application Number:	11776329			
International Application Number:				
Confirmation Number:	6568			
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES			
First Named Inventor/Applicant Name:	Clet Niyikiza			
Customer Number:	25885			
Filer:	Elizabeth Ann McGraw/Linda Durbin			
Filer Authorized By:	Elizabeth Ann McGraw			
Attorney Docket Number:	X14173B			
Receipt Date:	15-DEC-2009			
Filing Date:	11-JUL-2007			
Time Stamp:	14:32:14			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted with Payment no						
File Listin	g:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Information Disclosure Statement (IDS) Filed (SB/08)	X14173BIDS1449.pdf	608355	no	4	
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Warnings:	Warnings:					
Information: Teva – Fre						
			Exhibit 1002-	00076		

A U.S. Patent Number Citation or a U.S. Publication Number Citation is required in the Information Disclosure Statement (IDS) form for autoloading of data into USPTO systems. You may remove the form to add the required data in order to correct the Informational Message if you are citing U.S. References. If you chose not to include U.S. References, the image of the form will be processed and be made available within the Image File Wrapper (IFW) system. However, no data will be extracted from this form. Any additional data such as Foreign Patent Documents or Non Patent Literature will be manually reviewed and keyed into USPTO systems.

2	NPL Documents	X14173BNO1Maysishecheva.	4383986	no	11		
		pdf	61122d809d2866ae8de8ef9aa6d04c98ba6 2f6b2				
Warnings:							
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3	NPL Documents	X14173BNO2McDonald.pdf	13863361	no	186		
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4	NPL Documents	X14173BNO3Sofyina.pdf	5238430	no	18		
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Information							
		Total Files Size (in bytes)	: 24	094132			
characterize	This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.						
<u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.							
1.53(b)-(d) a	ication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF	R 1.54) will be issued in due	•	-			
1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar	ication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF	R 1.54) will be issued in due g date of the application. Ider <u>35 U.S.C. 371</u> of an international applicati orm PCT/DO/EO/903 indicati	course and the date s ion is compliant with ing acceptance of the	hown on th the condition application	is ons of 35		



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329 07/11/2007 Clet Niyikiza		X14173B	6568	
25885 ELI LILLY & (	7590 11/19/200 COMPANY	EXAMINER		
PATENT DIVI		WEDDINGTON, KEVIN E		
P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288			ART UNIT PAPER NUMB	
		1614		
			NOTIFICATION DATE	DELIVERY MODE
			11/19/2009	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)				
	11/776,329	NIYIKIZA ET AL.				
Interview Summary	Examiner	Art Unit				
	KEVIN WEDDINGTON	1614				
All participants (applicant, applicant's representative, PTO personnel):						
(1) <u>KEVIN WEDDINGTON</u> .	(3) <u>Bill McMillen</u> .					
(2) <u>Elizabeth A. McGraw</u> . (4)						
Date of Interview: <u>12 November 2009</u> .						
Type: a)⊠ Telephonic b)⊡ Video Conference c)⊡ Personal [copy given to: 1)⊡ applicant	2) applicant's representativ	ve]				
Exhibit shown or demonstration conducted: d)⊠ Yes If Yes, brief description: <i>Proposed Amendment (Righ</i>	e) <u></u> No. <i>t-Faxed)</i> .					
Claim(s) discussed: <u>The claims in general</u> .						
Identification of prior art discussed: <u>The pior art of record</u>						
Agreement with respect to the claims f) was reached.	g)∏ was not reached. h)⊠	N/A.				
Substance of Interview including description of the generaries reached, or any other comments: <u>The attorney of record, response to the outstanding rejections</u> . The attorney will	<u>Ms. McGraw, explained the pr</u>	roposed amendment with the				
(A fuller description, if necessary, and a copy of the amer allowable, if available, must be attached. Also, where no allowable is available, a summary thereof must be attach	copy of the amendments that					
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.						
/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614						

U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03)

Interview Summary

Paper No. 20091112

#### Summary of Record of Interview Requirements

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,

(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)

- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Teva – Fresenius Exhibit 1002-00080

#### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Type or print name of person signing certification

Signature

Date

### <u>PATENT APPLICATION</u> <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

First Applicant:	Clet Niyikiza	Group Art Un	it: 1614
Serial No.:	11/776,329	Examiner:	Kevin E. Weddington
Application Date:	July 11, 2007	Confirmation	No.: 6568
For:	NOVEL ANTIFOLATE COM	BINATION T	HERAPIES
Docket No.:	X14173B		

### REPLY UNDER 37 C.F.R. 1.111 & AMENDMENT UNDER 37 C.F.R. 1.121

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office action of September 8, 2009, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims, which begin on page 2 of this paper.

Remarks begin on page 5 of this paper.

Teva – Fresenius Exhibit 1002-00081

#### Amendments to the Claims

The following listing of claims will replace all prior versions, and listing, of claims in the application.

#### **Listing of Claims:**

Claims 1-39 (Cancelled)

40. (currently amended) A method for administering pemetrexed disodium to a patient in need thereof comprising <u>administering an effective amount of folic acid and an effective amount of a methylmalonic acid lowering agent followed by</u> administering an effective amount of pemetrexed disodium in combination with a methylmalonic acid lowering agent, wherein:

the methylmalonic acid lowering agent is selected from the group consisting of vitamin B12, hydroxycoboalamin, cyano-10-chlorocoboalamin, aquocoboalamin perchlorate, aquo-10-coboalamin perchlorate, azidocoboalamin, cobalamin, cyanocobalamin, or chlorocoboalamin;

the methylmalonic acid lowering agent is administered from about 1 week to about 3 weeks prior to the first administration of the pemetrexed disodium; and

the methylmalonic acid lowering agent administration is repeated about every 6 to about every 12 weeks until administration of the pemetrexed disodium is discontinued.

41. (currently amended) The method of claim 40, wherein the methylmalonic <u>acid</u> lowering agent is vitamin\_B12.

42. (previously presented) The method of claim 41, wherein the vitamin B12 is administered as an intramuscular injection of about 500  $\mu$ g to about 1500  $\mu$ g.

43. (previously presented) The method of claim 42, wherein the vitamin B12 is administered as an intramuscular injection of about 1000  $\mu$ g.

44. (currently amended) The method of claim 41, 42 or 43, wherein the vitamin B12 administration is repeated about every <u>9 weeks 6 to about every 12 weeks following the administration of vitamin B12</u> until the administration of the pemetrexed disodium is discontinued.

45 - 46. (cancelled)

47. (currently amended) The method of claim 46 <u>44</u> wherein the folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

48. (previously presented) The method of claim 47  $\underline{44}$  wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

49. (currently amended) The method according to any one of claims 40-4346-48, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

50. (previously presented) The method of claim 49 wherein about  $350\mu$ g to about  $1000 \mu$ g of folic acid is administered.

51. (previously presented) The method of claim 50 wherein 350  $\mu$ g to 600  $\mu$ g of folic acid is administered.

52. (currently amended) The method of claim 40 <del>or 45</del> further comprising the administration of cisplatin to the patient.

53. (new) An improved method for administering pemetrexed disodium to a patient in need of chemotherapeutic treatment, wherein the improvement comprises:

a) administration of between about 350  $\mu$ g and about 1000  $\mu$ g of folic acid prior to the first administration of pemetrexed disodium;

b) administration of about 500µg to about 1500µg of vitamin B12, prior to the first administration of pemetrexed disodium; and

c) administration of pemetrexed disodium.

54. (new) The method of claim 53 further comprising the administration of cisplatin to the patient.

55. (new) The method of claim 53, wherein vitamin B12 is administered as an intramuscular injection of about 500  $\mu$ g to about 1500  $\mu$ g.

56. (new) The method of claim 55, wherein vitamin B12 is administered as an intramuscular injection of about 1000  $\mu$ g.

Teva – Fresenius Exhibit 1002-00083

57. (new) The method of claim 56, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

58. (new) The method of claim 57 wherein about  $350\mu$ g to about  $1000\mu$ g of folic acid is administered.

59. (new) The method of claim 58 wherein 350 µg to 600 µg of folic acid is administered.

60. (new) The method of claim 59 wherein folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

61. (new) The method of claim 59 wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

62. (new) The method of claim 53, 59, or 60, wherein the vitamin B12 administration is repeated about every 6 to about every 12 weeks following the administration of vitamin B12 until administration of pemetrexed disodium is discontinued.

63. (new) The method of claim 62 further comprising the administration of cisplatin to the patient.

#### **Remarks**

Thank you for taking the time to discuss this case with me earlier today. I look forward to a timely allowance of this case. Please call me at the number provided below if during final review of the files an issue presents itself.

Claims 1-39, 45, and 46 have been cancelled. Claim 40 has been amended to a) introduce a new limitation, pretreatment with folic acid, b) remove the requirement for cyclic administration, c) to include cobalamin and cyanocobalamin in the Markush group, and d) correct spelling errors. Applicants submit that no new material has been introduced through this amendment. This amendment finds support at least at page 7, lines 5-8, page 9, lines 1-11, and page 15, line 20. Claim 41 has been amended to include a space between "vitamin" and "B12" and to add the term "acid" to the phrase "methylmalonic lowering agent." Claims 47, 49, and 52 have been amended to correct claim dependency. Applicants submit that no new material has been introduced through these amendments. Claims 53 - 62 are new and find support at least at page 13, lines 21 to 25, page 6, lines 3-5; page 7, lines 20-27; and page 14, line 3. No Claims are allowed and all claims stand rejected under 35 U.S.C. 103(a). In view of the reasons set forth below, Applicants submit that the rejection is improper and should be withdrawn. Entry of the amendments and reconsideration and allowance of the present application are respectfully requested.

#### Rejections Under 35 USC §103(a)

All claims stand rejected under 35 U.S.C. 103(a) as allegedly being unpatentable over Taylor (5,344,932) in view of Tsao et al., "Influence of Cobalamin on the Survival of Mice Bearing Ascites Tumor," Pathobiology, Vol. 61, No. 2, pp. 104-108 (1993), further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3235-3239, and further in view of Cleare et al. (4,149,707). Applicants submit that the Examiner meant to cite to Cleare et al. at 4,14<u>0</u>,707 ("Malonato Platinum Anti-Tumor Compounds") and not 4,14<u>9</u>,707 ("Spring Device"). Applicants address the Examiner's concerns below based upon the belief that Cleare et al. refers to US Patent #4,14<u>0</u>,707. If this is incorrect, Applicants reserve the right to address the new art in a future communication.

The presently claimed invention is directed to improving the therapeutic utility of pemetrexed disodium by administering to a patient a methylmalonic acid lowering agent and folic acid followed by administering an effective amount of pemetrexed disodium. Applicants have discovered that the claimed method reduces mortality and nonhematologic events, such as skin rashes and fatigue events without compromising pemetrexed disodium's efficacy, see page 3,

lines 5-15 of the Specification. Prior to Applicant's invention a skilled artisan would not have been motivated to combine pemetrexed disodium with a methylmalonic acid lowering agent, such as vitamin B12, and folic acid and there would have been no reasonable expectation in the art that the claimed treatment method would provide a viable chemotherapy regimen, let alone reduce toxic events related to administration of pemetrexed disodium.

The Examiner alleges that in view of Taylor, Tsao, Worzalla, and Cleare a skilled artisan would have "assumed the combination of three antineoplastic agents into a single composition would give an additive effect in the absence of evidence to the contrary." *Office Action* (OA) dated 9/8/2009, page 4, paragraph 3. Applicants respectfully assert that the Examiner's obviousness rejection is inappropriate and should be withdrawn.

The *Graham v. John Deere Co. of Kansas City*, 383 U.S. 1 (1966), factors control an obviousness inquiry. *KSR International Co. v. Teleflex Inc.*, 127 S.Ct. 1727 (2007). Those factors are: 1) "the scope and content of the prior art"; 2) the "differences between the prior art and the claims"; 3) "the level of ordinary skill in the pertinent art"; and 4) objective evidence of nonobviousness. *KSR*, 127 S. Ct. at 1734 (quoting *Graham*, 383 U.S. at 17-18).

The Court in *KSR* acknowledged the importance of identifying "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does" in an obviousness determination. *KSR*, 127 S. Ct. at 1731. *KSR* also did not disturb the longstanding requirement that an obviousness determination requires that a skilled artisan would have perceived a reasonable expectation of success in making the invention in light of the prior art. *In re Kubin*, 561 F.3d 1351, 1352+ (Fed. Cir. 2009) (quoting *In re O'Farrell*, 853 F.2d 894, 903-904 (Fed. Cir. 1988)).

#### Rejection based upon Taylor in view of Tsao

The Examiner alleges that pemetrexed disodium and vitamin B12 were known to be "antineoplastic agents" and therefore could be combined "into a single composition [that] would give an additive effect." OA, page 4, paragraph 3. However, the Examiner appears to have misinterpreted the understanding in the art concerning vitamin B12 antineoplastic activity and the teachings of Taylor. Particularly, the rejection overstates what Tsao as a whole fairly discloses. Tsao teaches that conflicting results have been found for the use of vitamin B12 as an antitumor agent in animals and in man (see page 104, column 1 at about line 13 through column 2 at about line 18). Tsao states:

"the results of two survey studies using data from several hospitals failed to confirm that B12 therapy was effective either when it was administered alone of in conjunction with X-ray or chemotherapeutic agents...Experiments with laboratory animals also showed conflicting results."

(see p. 104, col. 1, lines 15-21). In fact, Tsao reports that cyanocobalamin "did not affect cell growth at a daily dose as high as 1,000 mg/kg body weight." Tsao, page 105, last paragraph. It is therefore submitted that when viewed as a whole, a person of ordinary skill in the art reading Tsao would not have perceived a reasonable expectation of success in making Applicant's invention in view of the scientific uncertainty concerning vitamin B12 and its use as an antitumor agent. In fact, Applicants submit that the activity of B12 as a potential antitumor therapeutic is still inconclusive even as of today (see Volkov 2008, attached, introductory paragraph, page 324, "Researchers have attempted to correlate vitamin B12 with malignancy ever since the multifunctional role of cobalamin has begun to be understood…There are many hypotheses about the role of vitamin B12 in growth of malignancy, but we still have many more questions than we have answers.").

Additionally, page 3 of the OA asserts that Taylor discloses certain glutamic acid derivatives, including pemetrexed disodium, as effective antineoplastic agents and that pemetrexed disodium can be combined with other antineoplastic agents. The OA admits that Taylor "does not teach the addition of a methylmalonic acid lowering agent." However, the OA goes on to suggest that "the secondary reference, Tsao et al., teaches a methylmalonic acid lowering agent such as cobalamin (vitamin B12) is effective as having antitumor activity (see the abstract)." *OA*, pp 3-4. The rejection particularly notes column 8, lines 64-68, of Taylor, which merely states the compounds of the invention "can be administered … with other therapeutic agents, including antineoplastic agents [which is another genus of compounds], steroids, etc. to a mammal suffering from neoplasm …" As discussed *supra*, at the time of Applicant's invention there was scientific uncertainty concerning vitamin B12 and its use as an antitumor agent. In fact, as will be further discussed below, the skilled artisan would have expected a decrease in the antineoplastic activity of pemetrexed disodium when administered in combination with vitamin B12, see Specification page 3, lines 7-8, not an additive or even a synergistic effect for antineoplastic activity, see Specification page 16, lines 6-9.

Applicants respectfully assert the Examiner has not made a *prima facie* showing of obviousness, at least because the rejection lacks support for why a skilled artisan would have combined pemetrexed disodium with a methylmalonic acid lowering agent and folic acid as claimed and that there would have been any reasonable expectation the claimed method would provide a viable chemotherapy regimen and reduce toxicity associated with pemetrexed disodium

-7-

administration. In view of the comments made *supra*, Applicants respectfully request reconsideration and allowance of the present application.

Although the Examiner has not set forth a prima facie showing of obviousness, to expedite allowance of the application, Applicants make the following additional remarks. The Supreme Court's ruling in KSR states that prior-art elements "work[ing] together in an unexpected and fruitful manner" is an indicia of nonobviousness. KSR at 416. A skilled artisan would have understood at the time that pemetrexed disodium is a multitargeted antifolate having specific activity at three enzymes in the biosynthesis of nucleic acids. The enzymes are dihydrofolate reductase (DHFR), thymidine synthase (TS), and GAR formyltransferase (GARFT). (Shih, 1999 and Shih, 1997, attached.) All of these enzymes need a folate derivative to function. DHFR obviously has dihydrofolate as a substrate; TS needs N<sup>5</sup>, N<sup>10</sup>-methylenetetrahydrofolate as a methyl source (returning folate as dihydrofolate); and GARFT has N<sup>10</sup>-formyltetrahydrofolate as a formyl source returning it as tetrahydrofolate. (Kisliuk, 1999 and Kisliuk, 1984, attached.) Pemetrexed disodium is, in simple terms, a folate analogue and acts by competing with folate at each of the enzymes' folate binding sites. If there is an excess of the natural ligand (the natural folate source) for the three enzymes then the effectiveness of pemetrexed disodium is reduced. This is shown for example in Table 1 of Worzalla. It can be seen that for the five cancer cell-lines reported, increasing the folic acid concentration from 1 µm to 10 µm gives up to a 14-fold decrease in efficacy of pemetrexed disodium (14-fold increase in IC<sub>50</sub>). The skilled person, if they indeed had all of the knowledge of Taylor, Tsao, and Worzalla, would understand that by adding vitamin B12 they could be releasing the pool of N<sup>5</sup>-methyltetrahydrofolate so causing an effective increase in the concentration of the natural folate substrate, thereby decreasing the efficacy of pemetrexed disodium. The skilled artisan would not have expected the reduction of severe toxicities associated with pemetrexed disodium, such as patient death, without the expected effect of reduction of pemetrexed disodium's efficacy.

At the time of the invention, the skilled artisan would have been aware it was standard of care to avoid vitamins in patients undergoing chemotherapy, because the usage of vitamins could decrease the effectiveness of the chemotherapy. See for example:

 AstraZeneca's compound, Tomudex® (raltitrexed), is a TS inhibitor approved in 1995 in the United Kingdom and marketed in Europe for the treatment of colorectal cancer. The monograph as provided in Martindale's 1999, "The Complete Drug Reference" (attached) states that "Raltitrexed should not be given with folic or folinic acid which may impair its cytotoxic action." (page 560, Interactions.)

2. Methotrexate is a DHFR inhibitor that was approved in 1959 in the United States. The 1999 monograph as published by the "Physicians' Desk References" clearly states:

"Vitamin preparations containing folic acid or its derivatives may decrease responses to systemically administered methotrexate. Preliminary animal and human studies have shown that small quantities of intravenously administered leucovorin enter the CSF primarily as 5-methyltetrahydrofolate and, in humans, remain 1-3 orders of magnitude lower than the usual methotrexate concentrations following intrathecal administration. However, high doses of leucovorin may reduce the efficacy of intrathecally-administered methotrexate. Folate deficiency states may increase methotrexate toxicity." (pages 1398-1399, *Drug Interactions*, attached.)

 Fluorouracil (5-FU) is an inhibitor of TS. In the 1998 monograph as published by the "Physicians' Desk References" for 5-FU, there is a warning that the administration of folinic acid is associated with increased toxicity "Leucovorin calcium may enhance the toxicity of fluorouracil." (page 2463, *Drug Interactions*, attached.)

Leucovorin or folinic acid is a 5-formyl derivative of tetrahydrofolic acid. The 1999 monograph from the "Physicians' Desk References" describes leucovorin as "one of several active, chemically reduced derivatives of folic acid. It is useful as an antidote to drugs which act as folic acid antagonists," and "[a]dministration of leucovorin can counteract the therapeutic and toxic effects of folic acid antagonists such as methotrexate, which act by inhibiting dihydrofolate reductase. In contrast, leucovorin can enhance the therapeutic and toxic effects of fluoropyrimidines used in cancer therapy, such as 5-fluorouracil." (page 1389, *Drug Interactions*, attached.)

Applicants unexpectedly discovered administering vitamin B12 and folic acid as claimed reduces toxicity of pemetrexed disodium. (See Specification at pg 15, lines 21-25 and pg 16, lines 6-9.) This is clearly demonstrated by the examples in the specification wherein treatment toxicities were reduced in tumor bearing mice with or without the addition of folic acid. For example, the Specification at pg 15, lines 24-25 states, "Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%." Page 15, lines 25-27 of the specification states, "The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated." The specification also explains that pilot studies in humans established that vitamin B12 given to patients receiving ALIMTA experienced fewer side effects. Clinical studies sponsored by Eli Lilly (Lilly) confirmed less overall pemetrexed disodium-related

toxicity. Specifically, as is shown in the table below, reductions in Grade 3/4 hematologic and nonhematologic toxicities such as neutropenia, febrile neutropenia, and infection with Grade 3/4 neutropenia were reported when pretreatment with folic acid and vitamin B12 was administered.

ŕ	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N≈78)
Hematologic Toxicity/Non-	37%	6.4%
Hemstologic Toxicity		
Nentropessa	32%	2.6%
Mucositis	5%	1.3%
Diarrhea	6%	2.6%
Neutropenia and Mucositis	3%	0%
Neutropenia and Diarrhea	3%	0%
Neutropenia and infection	2%	0%

Table 1

(See Specification, Table 1, page 16.)

Today, Lilly's pemetrexed disodium product, ALIMTA®, is an FDA approved product in the United States and its prescribing information (attached) includes the following information on the need to administer B12 and the effects of vitamin supplementation in reducing toxicity.

<u>Need for Folate and Vitamin B12 Supplementation</u> Patients treated with ALIMTA must be instructed to take folic acid and vitamin B12 as a prophylactic measure to reduce treatment-related hematologic and GI toxicity *[see Dosage and Administration (2.3)]*. In clinical studies, less overall toxicity and reductions in Grade 3/4 hematologic and nonhematologic toxicities such as neutropenia, febrile neutropenia, and infection with Grade 3/4 neutropenia were reported when pretreatment with folic acid and vitamin B12 was administered.

(Approved Label for NDA 021462, lines 118-122.) The Approved Label goes on to instruct that "Patients must also receive one (1) intramuscular injection of vitamin B12 during the week preceding the first dose of ALIMTA and every 3 cycles thereafter." (Approved Label for NDA 021462, lines 33-34.) And that "Patients treated with ALIMTA must be instructed to take folic acid and vitamin B12 as a prophylactic measure to reduce treatment-related hematologic and gastrointestinal toxicity *[see Dosage and Administration (2.3)]*." (Approved Label for NDA 021462, lines 696-697.)

Table 8 of the Approved Label compares the incidence (percentage of patients) of CTC Grade 3/4 toxicities in patients who received vitamin supplementation with daily folic acid and vitamin B12 from the time of enrollment in the study (fully supplemented) with the incidence in

patients who never received vitamin supplementation (never supplemented) during the study in the ALIMTA plus cisplatin arm.

Table 8: Selected Grade 3/4 Adverse Events Comparing Fully Supplemented versus Never Supplemented Patients in the
ALIMTA plus Cisplatin arm (%) incidence)

Adverne Eveni <sup>a</sup> (%)	Fully Supplemented Patients (N=168)	Never Supplemented Patients (N=32)
Neutropenia/granulocytopenis	23	38
Thrombocytopenia	5	ğ
Vomiting	11	31
Febrile neutropenis	ž	ğ
Infection with Grade 3/4 neutropenis	0	6
Diarches	4	9

\* Refer to NCI CTC criteria for lab and non-laboratory values for each grade of toxicity (Version 2.9).

Clearly, Applicants have made a significant discovery not obvious in view of the references cited in the Office Action. A skilled artisan would not have expected the reduction of severe toxicities associated with pemetrexed disodium administration, such as patient death, without reduction of pemetrexed disodium's efficacy. (See Specification at pg 15, lines 21-25 and pg 16, lines 6-9.) Under the Supreme Court's decision in *KSR*, the combination of a methylmalonic acid lowering agent, particularly vitamin B12 or a pharmaceutical derivative, and pemetrexed disodium does more than yield predictable results, the combination works together in an unexpected and fruitful manner. Therefore, the rejection is clearly improper and should be withdrawn.

# Rejection based upon Taylor in view of Tsao, Worzolla, Cleare, and general knowledge in the

### <u>prior art</u>

Because the combination of a methylmalonic acid lowering agent, folic acid, and pemetrexed disodium is not obvious to one of skill in the art under 35 U.S.C. 103(a), then the additional limitation introduced by the remaining dependent claims cannot be held obvious. (*See Callaway Golf Co. v. Acushnet Co.*, 576 F.3d 1331 at 1344, 91 U.S.P.Q.2d 1705 (Fed. Cir. 2009). Furthermore, the Examiner has misinterpreted the teaching of Worzalla. In addition to the arguments made *supra*, Worzolla et.al. discloses that the addition of folic acid may reduce the effectiveness of pemetrexed disodium. (See for example table 1 of Worzalla: for the 5 cancer celllines reported, increasing the folic acid concentration from 1 µm to 10 µm gives up to a 14-fold decrease in efficacy of pemetrexed disodium.) Worzolla provides no suggestion that lowering methylmalonic acid levels would further reduce associated toxicities while maintaining the therapeutic efficacy of pemetrexed disodium. Cleare does not disclose or provide rationale for the combination of platinum anti-tumor compounds with Applicant's claimed method of treating patients with pemetrexed disodium.

Additionally, the Examiner has presented no reason, as is required under *KSR* that the claimed dosing cycles and ranges are obvious. A *prima facie* case of obviousness requires supporting objective evidence to be sustained. An examiner must substantiate his or her "suspicions" or "hunches" on the basis of facts drawn from the prior art. Application of Lunsford, 53 C.C.P.A. 1011, 357 F.2d 385, 391, 148 U.S.P.Q. (BNA) 721, 725 (1966). Applicants respectfully assert that the Examiner's allegation that "readily optimized effective and concurrent administration dosage forms" are available in the art or are within "the ability of tasks routinely performed…without undue experimentation" does not rise to the level of "supporting objective evidence" under Application of Lunsford. Applicants respectfully submit that the Examiner could not arrive at the presently claimed invention, its dosing ranges and/or its cyclic administration.

#### **Conclusion**

Applicants respectfully contend that a *prima facie* case of obviousness has not been established, the Applicants' claimed invention is unobvious. A skilled artisan would not have expected the reduction of severe toxicities associated with pemetrexed disodium, such as patient death, without the expected effect of reduction of pemetrexed disodium's efficacy. The rejection is improper and should be withdrawn.

Entry of the amendments and allowance of the claims in view of the amendments and discussion *supra* are respectfully requested.

Respectfully submitted,

/Elizabeth A McGraw/

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Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

November 13, 2009

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	Filing Date		2007-07-11	
	First Named Inventor Clet N		Niyikiza	
	Art Unit		1614	
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		1	
	1	ALIMTA, NDA 021462, Approved Label of 07/02/2009.	
	2	"Clinical Chemistry: principle, procedures, correlations," 3rd edition, 1996, published by Lippincott: pp. 618-627.	
	3	Fluorouracil, Physicians Desk References, (c) 1998, pp 2463-2464.	
	4	HAMMOND, L., et al., "A phase I and pharmacokinetic (PK) study of the multitarget antifol (MTA) LY231514 with folic acid, " American Society of Clinical Oncology (ASCO) Meeting Abstract No. 866 (1998).	
	5	KISLIUK, RL., 1984. "The Biochemistry of Folates." In Sirotnak (Ed.), Folate Antagonists as Therapeutic Agents. pp. 2-68. Harcourt Brace Jovanovich, Publishers.	
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	7	Leucovorin, Physicians Desk Reference, (c) 1999. pp 1389-1391.	
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	10	NIYIKIZA, C., et al., "LY231514 (MTA): relationship of vitamin metabolite profile to toxicity," American Society of Clinical Oncology (ASCO) Meeting Abstract No. 2139 (1998).	
	11	Raltitrexed, The Complete Drug Reference, Martindale, 32nd Ed., Pharmaceutical Press, London, pp 560.	
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	12	SHIH, C., et al., "LY231514, a Pyrrolo[2,3-d]pyrimidine-based Antifolate that Inhibits Multiple Folate-requiring Enzymes," Cancer Research. 57:1116-1123. 1997.							
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	14	VOLKOV, I., "The master key effect of vitamin B12 in treatment of malignancy - A potential therapy?", Medical Hypotheses. 70:324-328. 2008.							
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First Named Inventor/Applicant Name:	Clet Niyikiza			
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This collection of Information is required by 37 CFR 1.16. The information is required to obtain or relatin a genetic by the public vision is to file (and by the USPTO to provess) an application. Confidentially is governed by 35 U.S.O. 122 and 97 OFR 1.14. This collectivity below the individual case. Any commenter individual gathering, preparing, and submitting the complete application form to the USPTO. Time will vary dependently used to individual case. Any commenter individual of time you require to complete this form and/or suggestions for reducing this build be sent to the Chief Information Officer, U.S. Patent of the amound of time you require to complete this form and/or suggestions for reducing this build be sent to the Chief Information Officer, U.S. Patent and Trademark office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FREES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commission for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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P.O. BOX 6288 INDIANAPOL	S IS, IN 46206-6288		ART UNIT	PAPER NUMBER
			1614	
			NOTIFICATION DATE	DELIVERY MODE
			09/08/2009	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)
	11/776,329	NIYIKIZA ET AL.
Office Action Summary	Examiner	Art Unit
	KEVIN WEDDINGTON	1614
The MAILING DATE of this communication a Period for Reply	appears on the cover sheet with	h the correspondence address
A SHORTENED STATUTORY PERIOD FOR REF WHICHEVER IS LONGER, FROM THE MAILING - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory peri- Failure to reply within the set or extended period for reply will, by stat Any reply received by the Office later than three months after the ma earmed patent term adjustment. See 37 CFR 1.704(b). Status	DATE OF THIS COMMUNIC 1.136(a). In no event, however, may a rep od will apply and will expire SIX (6) MONT tute, cause the application to become ABA	ATION. ply be timely filed HS from the mailing date of this communication. NDONED (35 U.S.C. § 133).
	May 2000	
1) Responsive to communication(s) filed on $\underline{04}$ 2a) This action is <b>FINAL</b> . 2b) This action is <b>FINAL</b> .	<u>- May 2009</u> . his action is non-final.	
3) Since this application is in condition for allow		rs, prosecution as to the merits is
closed in accordance with the practice unde	-	-
Disposition of Claims		
<ul> <li>4) Claim(s) <u>40-52</u> is/are pending in the application 4a) Of the above claim(s)</li></ul>	rawn from consideration.	
Application Papers		
9) The specification is objected to by the Exami 10) The drawing(s) filed on is/are: a) a		v the Examiner
Applicant may not request that any objection to the		-
Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the		
Priority under 35 U.S.C. § 119		
<ul> <li>12) Acknowledgment is made of a claim for forei</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority docume</li> <li>2. Certified copies of the priority docume</li> <li>3. Copies of the certified copies of the priority application from the International Bure</li> <li>* See the attached detailed Office action for a literation for a literation for the formation for a literation for a litera</li></ul>	ents have been received. ents have been received in Ap riority documents have been r eau (PCT Rule 17.2(a)).	pplication No received in this National Stage
Attachment(s) 1)  Notice of References Cited (PTO-892)		ummary (PTO-413)
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>5-4-09</u>.</li> </ul>		/Mail Date formal Patent Application 

Application/Control Number: 11/776,329 Art Unit: 1614

Claims 40-52 are presented for examination.

Applicants' amendment, response and information disclosure statement filed May

4, 2009 have been received and entered.

Accordingly, the rejection made under 35 USC 112, first paragraph (Written

Description) as set forth in the previous Office action dated February 18, 2009 at pages

2-4 as applied to claim 45 is hereby withdrawn because the applicants amended claim

45 to recite the preferred folic-binding protein agent.

Accordingly, the rejection made under 35 USC 112, second paragraph as set

forth in the previous Office action dated February 18, 2009 at page 4 as applied to

claims 40-52 is hereby withdrawn because the applicants amended claim 40 by the

insertion of -lowering agent--.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148

USPQ 459 (1966), that are applied for establishing a background for determining

obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Teva – Fresenius Exhibit 1002-00107

## Application/Control Number: 11/776,329 Art Unit: 1614

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 40-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (5,344,932) of PTO-1449 in view of Tsao et al., "Influence of Cobalamin on the Survival of Mice Bearing Ascites Tumor", Pathobiology, Vol. 61, No. 2, pp. 104-108 (1993) of PTO-1449, further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3255-3239 of PTO-1449, and further in view of Cleare et al. (4,149,707).

Taylor teaches N-(pyrrolo(2,3-D)pyrimidin-3-ylacyl)-glutamic acid derivatives which includes LY 2315 (pemetrexe) and LY 231514-disodium (pemetrexed disodium) are effective an antineoplastic agents to inhibit the growth of tumors (see column 8, lines 57-63). Note particularly column 8, lines 64-68 states that other antineoplastic agents can be combined with LY 231514. Note particularly column 9, line 1 shows the various modes of administration such as parenteral routes (intramuscular) and oral.

The instant invention differs from the cited reference in that the cited reference does not teach the addition of a methylmalonic acid lowering agent. However, the

## Application/Control Number: 11/776,329 Art Unit: 1614

secondary reference, Tsao et al., teaches a methylmalonic acid lowering agent such as cobalamin (vitamin  $B_{12}$ ) is effective as having antitumor activity (see the abstract).

The instant invention differs from the cited references in that the cited references do not teach the addition of a folic-binding-protein agent. However, the tertiary reference, Worzalla et al., teaches the supplementation of folic acid with LY 231513 to enhance LY 231514 antitumor activity.

The instant invention differs from the cited references in that the cited references do not teach the addition of cisplatin. However, the quaternary reference, Cleare et al., teaches malonato platinum anti-tumor compounds such as cisplatin to treat malignant tumors (see the abstract).

Clearly, one skilled in the art would have assumed the combination of three antineoplastic agents into a single composition would give an additive effect in the absence of evidence to the contrary.

The instant invention differ from the cited references in that the cited references do not teach the applicants' preferred dosage range for the methylmalonic acid lowering agent. However, those skilled in the art would have been readily optimized effective dosages and concurrent administration dosage forms as determined by good medical practice and the clinical condition of the individual patient. Regardless of the manner of administration, the specific dose may be calculated according to body weight, body surface area or organ size. Further refinement of the calculations necessary to determine the appropriate dosage for treatment involving each of the above mentioned

Application/Control Number: 11/776,329 Art Unit: 1614

formulations is routinely made by those skilled in the art and is within the ability of tasks routinely performed by them without undue experimentation.

Claims 40-52 are not allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KEVIN WEDDINGTON whose telephone number is (571)272-0587. The examiner can normally be reached on 12:30 pm - 9:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin Marschel can be reached on (571)272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> KEVIN WEDDINGTON Primary Examiner Art Unit 1614

/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614

Application/Control Number: 11/776,329 Art Unit: 1614 Page 6

Index of Claims				11	Application/Control No. 11776329 Examiner					Applicant(s)/Patent Under Reexamination NIYIKIZA ET AL. Art Unit					
					Kevin E Weddington					1614					
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Part of Paper No. : 20090901

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Search Notes	11776329	NIYIKIZA ET AL.
	Examiner	Art Unit
	Kevin E Weddington	1614

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Class	Subclass	Date	Examiner
514	52	2/11/09	KEW
514	77	2/11/09	KEW
514	249	2/11/09	KEW
514	251	2/11/09	KEW
514	265.1	2/11/09	KEW

SEARCH NOTES		
Search Notes	Date	Examiner
Consultation with parent applications, 10/297,821 and 11/288,807	2/11/09	KEW
EAST and PALM for Inventors' Names	2/11/09	KEW
CAS-ONLINE search with MEDLINE, CA and USPATALL	9/1/2009	KEW

	INTERFERENCE SEARCH		
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## Sheet 1 of 2

NOT A USPTO FORM				Atty. Docket No. X14173B		Serial No 11/776329		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION				First Applicant Clet Niyikiza				
				Application Date		Group Art Unit		
				July 11, 2007 US Nat'l Entry (if applicable) 1614				
<u>U.S. PA</u>	ГЕМТ	<b>DOCUMENTS</b>						
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4-</sup> Kind Code5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
/K.W./	BA	WO 95/27723	10-19-1995			
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Examiner Initials*	Cite No. <sup>1</sup>		erial, symposium, catalog,		appropriate), title of the item ne-issue number(s) publisher,	т <sup>6</sup>
/K.W./	CA	POYDOCK M. Effect implanted Ehrlich card 1261S-5S,	of combined ascorb cinoma and L1210 le	ic acid and B-12 on a ukemia. <i>Am J Clin N</i>	Jutr 1991; 54:	
	СВ	POYDOCK M, et al.	a combination of de		al of mice bearing and hydroxycobalamin.	
	CC	POYDOCK M, et al. Bearing Ascites Tumo			Survival Rate of Mice	
	CD	TOOHEY J. Dehydro 263:164-169.	ascorbic acid as an ai	nti-cancer agent. Car	ncer Letters 2008;	
	CE	SALLAH S, et al. Intr with acute leukemia. 2 774-777.				
80000000000000000000000000000000000000	CF	NISHIZAWA Y, et al sensitive or estrogen-s Journal for Vitamin a	ensitive malignant co	ells in culture and in	vivo. International	
000000000000000000000000000000000000000	CG	TSAO C, et al. Influer Pathobiology 1993; 6	nce of cobalamin on			
	СН	KAMEI T, et al. Expe and vitamin B12 on sc 71(8): 2477-83.	quamous metaplasia o	of the bronchial epith	nelium. Cancer 1993;	
	CI	SHIMIZU N, et al. Ex 1987; 44(3): 169-73.				
$\mathbf{V}$	CJ	HERBERT, V. The ro Experimental Medicin	e and Biology 1986;	206 (Essent. Nutr. C	Carcinog.), 293-311.	
/K.W./	СК	KROES A, et al. Effect inactivation of cobalat				

/Kevin Weddington/

08/30/2009

## Sheet 2 of 2

NOT A USP	TO FOR	RM	Atty. Docket No.	Serial No
			X14173B	11/776329
INFORMAT	ION DI	SCLOSURE CITATION	First Applicant	
IN AN APPI	LICATIO	ON	Clet Niyikiza	
			Application Date	Group Art Unit
			July 11, 2007	
			US Nat'l Entry (if applicable)	1614
	CL	KROES A, et al. Enhanced th	nerapeutic effect of methotrexate in	experimental rat
/K.W./		leukemia after inactivation of	f cobalamin (vitamin B12) by nitrou	is oxide. Cancer
/13.994/		Chemotherapy and Pharmac		
/K.W./	CM		a possible adjunct in prevention of r	nethotrexate
/1\. ¥¥./		hepatotoxicity. Biochemical	4rchives 1985; 1(3): 139-42.	
	CN	HERBERT V. The inhibition	and promotion of cancers by folic a	acid, vitamin B12,
/K.W./		and their antagonists. ACS S	ymposium Series (1985); 277(Xeno	biot. Metab.: Nutr.
/13.99./		Eff.), 31-6.		
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Examiner	//	Kevin Weddington/	Date Considered	08/30/2009
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this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the

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E9	1 VITAMIN B12 ABC TRANSPORTER, ATP-BINDING PROTEIN BTUD (PHOTO BACTERIUM PROFUNDUM STRAIN SS9 GENE SF1522)/CN
E10	1 VITAMIN B12 ABC TRANSPORTER, ATP-BINDING PROTEIN BTUD (VIBRI O CHOLERAE STRAIN N16961 GENE VC1245)/CN
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CN Apikoba	
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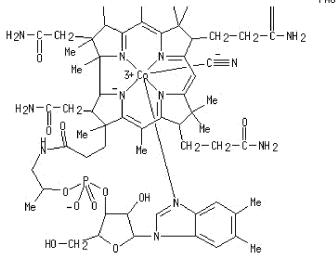
- CN Cobinamide, cyanide, dihydrogen phosphate (ester), inner salt, 3'-ester with 5,6-dimethyl-1- $\alpha$ -D-ribofuranosyl-1H-benzimidazole
- CN Cotel
- CN Covit
- CN Cromatonbic B12
- CN Crystamin
- CN Crystamine
- CN Cyano-5,6-dimethylbenzimidazolylcobamide
- CN Cyano-B12
- CN Cyanocobalamin
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http://www.nlm.nih.gov/pubs/techbull/nd08/nd08 medline data changes 2009.html.

On February 21, 2009, MEDLINE was reloaded. See HELP RLOAD for details.

This file contains CAS Registry Numbers for easy and accurate substance identification.

See HELP RANGE before carrying out any RANGE search.

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=> s (vitamin bl2 or hydroxycobolamin or chlorocobolamin or aquocobolamin or cobolamin or 150800 VITAMIN

- 14280 B12
- 11438 VITAMIN B12
  - (VITAMIN(W)B12)
  - 0 HYDROXYCOBOLAMIN 0 CHLOROCOBOLAMIN
  - 0 AQUOCOBOLAMIN
  - 0 COBOLAMIN
  - 0 AZIDOCOBOLAMIN
- L3 11438 (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCOBOL AMIN OR COBOLAMIN OR AZIDOCOBOLAMIN)

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Last Updated on STN: 21 Mar 1990 Entered Medline: 23 Jul 1986 Г8 ANSWER 34 OF 66 MEDLINE on STN Full Text AN 1986022753 MEDLINE PubMed ID: 4050746 DN ΤI Mitogenic inhibition and effect on survival of mice bearing L1210 leukemia using a combination of dehydroascorbic acid and hydroxycobalamin. Poydock M E; Harguindey S; Hart T; Takita H; Kelly D AU SO American journal of clinical oncology, (1985 Jun) Vol. 8, No. 3, pp. 266-9. Journal code: 8207754. ISSN: 0277-3732. СΥ United States Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, NON-U.S. GOV'T) DT LA. English FS Priority Journals ΕM 198511 ΕD Entered STN: 21 Mar 1990 Last Updated on STN: 21 Mar 1990 Entered Medline: 14 Nov 1985 Г8 ANSWER 35 OF 66 MEDLINE on STN Full Text AN 1984280758 MEDLINE PubMed ID: 6590092 DN Acute myelogenous leukaemia modulated by B12 deficiency: a case with bone ΤI marrow blast cell assay corroboration. AU Ahmann F R; Durie B G SO British journal of haematology, (1984 Sep) Vol. 58, No. 1, pp. 91-4. Journal code: 0372544. ISSN: 0007-1048. СҮ ENGLAND: United Kingdom DT (CASE REPORTS) Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals 198410 ΕМ Entered STN: 20 Mar 1990 ΕD Last Updated on STN: 20 Mar 1990 Entered Medline: 24 Oct 1984 ANSWER 36 OF 66 MEDLINE on STN L8 Full Text 1984228545 AN MEDLINE PubMed ID: 6731467 DN Unusual case of acute leukemia. Coexisting acute leukemia and ΤT pernicious anemia. AU Vogelsang G B; Spivak J L The American journal of medicine, (1984 Jun) Vol. 76, No. 6, pp. 1144-50. SO Journal code: 0267200. ISSN: 0002-9343. United States CY DT (CASE REPORTS) Journal; Article; (JOURNAL ARTICLE) LA English Abridged Index Medicus Journals; Priority Journals FS 198407 ΕM ΕD Entered STN: 20 Mar 1990 Last Updated on STN: 20 Mar 1990 Entered Medline: 17 Jul 1984 MEDLINE on STN L8 ANSWER 37 OF 66 Full Text AN 1984196444 MEDLINE DN PubMed ID: 6326284 [Changes in the mean corpuscular volume during the cytotoxic treatment of ΤI cancer and risk of secondary leukemia. Preliminary results]. L'evolution du volume globulaire moyen pendant le traitement cytotoxique des cancers et le risque de leucemie secondaire. Resultats preliminaires. AU de Gramont A; Rioux E; Drolet Y; Barry A; Delage J M La semaine des hopitaux : organe fonde par l'Association d'enseignement SO Teva – Fresenius

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Full Text AN 1978172794 MEDLINE PubMed ID: 274499 DN ΤI The identification and measurement of a folate-binding protein in human serum by radioimmunoassay. da Costa M; Rothenberg S P; Fischer C; Rosenberg Z АIJ The Journal of laboratory and clinical medicine, (1978 Jun) Vol. 91, No. SO 6, pp. 901-7. Journal code: 0375375. ISSN: 0022-2143. СҮ United States Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, U.S. GOV'T, P.H.S.) DT LA English Abridged Index Medicus Journals; Priority Journals FS ΕM 197807 ED Entered STN: 14 Mar 1990 Last Updated on STN: 14 Mar 1990 Entered Medline: 26 Jul 1978 Γ8 ANSWER 42 OF 66 MEDLINE on STN Full Text AN 1978142124 MEDLINE PubMed ID: 416709 DN Vitamin B12-binding proteins in serum and plasma in various disorders. ΤI Effect of anticoagulants. AU Carmel R SO American journal of clinical pathology, (1978 Mar) Vol. 69, No. 3, pp. 319-25. Journal code: 0370470. ISSN: 0002-9173. СҮ United States DT Journal; Article; (JOURNAL ARTICLE) LA English FS Abridged Index Medicus Journals; Priority Journals ΕM 197805 ΕD Entered STN: 14 Mar 1990 Last Updated on STN: 14 Mar 1990 Entered Medline: 17 May 1978 Γ8 ANSWER 43 OF 66 MEDLINE on STN Full Text AN 1978117789 MEDLINE PubMed ID: 607423 DN Vitamin B12 and vitamin B12 binding proteins in liver diseases. ΤT Areekul S; Panatampon P; Doungbarn J AU SO The Southeast Asian journal of tropical medicine and public health, (1977 Sep) Vol. 8, No. 3, pp. 322-8. Journal code: 0266303. ISSN: 0125-1562. CY Thailand DT Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals ΕM 197804 Entered STN: 14 Mar 1990 ED Last Updated on STN: 14 Mar 1990 Entered Medline: 26 Apr 1978 ANSWER 44 OF 66 MEDLINE on STN Γ8 <u>Full</u> Text AN 1978076371 MEDLINE PubMed ID: 339530 DN ΤI [Analysis of the cobalamin coenzymes in mouse splenic tumor cells]. Analiz kobalaminovykh kofermentov v opukholevykh kletkakh selezenki myshei. AU Vares Iu V; Miasishcheva N V Voprosy medit sinskoi khimii, (1977 Sep-Oct) Vol. 23, No. 5, pp. 681-4. Journal code: 0416601. ISSN: 0042-8809. SO СҮ USSR DT (ENGLISH ABSTRACT) Journal; Article; (JOURNAL ARTICLE) LA Russian FS Priority Journals 197802 ΕM

ΕD Entered STN: 14 Mar 1990 Last Updated on STN: 14 Mar 1990 Entered Medline: 23 Feb 1978 ANSWER 45 OF 66 Г8 MEDLINE on STN Full Text AN 1977131707 MEDLINE PubMed ID: 265135 DN ΤI Hemoglobin A2 levels in health and various hematologic disorders. AU Alperin J B; Dow P A; Petteway M B SO American journal of clinical pathology, (1977 Mar) Vol. 67, No. 3, pp. 219-26. Journal code: 0370470. ISSN: 0002-9173. CY United States DT Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, U.S. GOV'T, P.H.S.) LA English FS Abridged Index Medicus Journals; Priority Journals 197704 ΕM ED Entered STN: 13 Mar 1990 Last Updated on STN: 13 Mar 1990 Entered Medline: 30 Apr 1977 ANSWER 46 OF 66 MEDLINE on STN L8 Full Text 1977080713 AN MEDLINE DN PubMed ID: 1006164 ΤТ Pernicious anaemia and lymphoproliferative disease. AU Parker A C; Bennett M Scandinavian journal of haematology, (1976 Nov) Vol. 17, No. 5, pp. 395-7. SO Journal code: 0404507. ISSN: 0036-553X. СΥ Denmark DT (CASE REPORTS) Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals ΕM 197702 Entered STN: 13 Mar 1990 ED Last Updated on STN: 13 Mar 1990 Entered Medline: 24 Feb 1977 Γ8 ANSWER 47 OF 66 MEDLINE on STN Full Text 1977019051 MEDLINE AN PubMed ID: 9787 DN B12 -- dependent methionine synthetase as a potential target for cancer ΤI chemotherapy. AU Huennekens F M; DiGirolamo P M; Fujii K; Jacobsen D W; Vitols K S Advances in enzyme regulation, (1976) Vol. 14, pp. 187-205. Ref: 51 SO Journal code: 0044263. ISSN: 0065-2571. CY ENGLAND: United Kingdom Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, U.S. GOV'T, P.H.S.) DT General Review; (REVIEW) LA English Priority Journals FS 197611 ΕM Entered STN: 13 Mar 1990 ED Last Updated on STN: 6 Feb 1998 Entered Medline: 21 Nov 1976 L8 ANSWER 48 OF 66 MEDLINE on STN Text Full AN 1976244023 MEDLINE PubMed ID: 951181 DN ΤI [Acute or subacute myelofibrosis]. Les myelofibroses aigues ou subaigues. AU Briere J; Castro-Malaspina H; Briere J F; Bernard J Nouvelle revue francaise d'hematologie, (1976 Jun) Vol. 16, No. 1, pp. SO 3-22. Journal code: 7909092. СΥ France

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Full Text AN 1976018381 MEDLINE PubMed ID: 1164397 DN ΤI Differentiation of Friend virus-induced leukemia cells. AU Sugano H; Kawaguchi T; Furusawa M; Ikawa Y Bibliotheca haematologica, (1975) No. 40, pp. 221-8. SO Journal code: 0372513. ISSN: 0067-7957. CY Switzerland DT Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals ΕM 197512 Entered STN: 13 Mar 1990 ED Last Updated on STN: 3 Feb 1997 Entered Medline: 4 Dec 1975 Г8 ANSWER 53 OF 66 MEDLINE on STN Full Text 1975083933 AN MEDLINE DN PubMed ID: 4445153 ΤI Delivery of 57Co B12 to lymphoblasts derived from mice with transplanted 1210 ascites tumor cells by transcobalamins I, II, and III. Meyer L M; Gams R A; Ryel E M; Miller I E; Kumar S ΑIJ Proceedings of the Society for Experimental Biology and Medicine. Society SO for Experimental Biology and Medicine (New York, N.Y.), (1974 Dec) Vol. 147, No. 3, pp. 679-80. Journal code: 7505892. ISSN: 0037-9727. United States CY (IN VITRO) DT Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, U.S. GOV'T, NON-P.H.S.) (RESEARCH SUPPORT, U.S. GOV'T, P.H.S.) LA English FS Priority Journals ΕM 197503 ΕD Entered STN: 10 Mar 1990 Last Updated on STN: 10 Mar 1990 Entered Medline: 26 Mar 1975 L8 ANSWER 54 OF 66 MEDLINE on STN Full Text AN 1975082263 MEDLINE DN PubMed ID: 1053806 Extreme elevation of serum transcobalamin I in patients with metastatic ΤI cancer. AU Carmel R The New England journal of medicine, (1975 Feb 6) Vol. 292, No. 6, pp. SO 282 - 4.Journal code: 0255562. ISSN: 0028-4793. СҮ United States DT Journal; Article; (JOURNAL ARTICLE) LA English FS Abridged Index Medicus Journals; Priority Journals ΕМ 197504 ED Entered STN: 10 Mar 1990 Last Updated on STN: 10 Mar 1990 Entered Medline: 11 Apr 1975 Г8 ANSWER 55 OF 66 MEDLINE on STN Text Full AN 1974287001 MEDLINE PubMed ID: 4367719 DN ΤT Characteristics of a novel serum **vitamin-B12**-binding protein associated with hepatocellular carcinoma. AU Wasman S; Gilbert H S British journal of haematology, (1974 Jun) Vol. 27, No. 2, pp. 229-39. SO Journal code: 0372544. ISSN: 0007-1048. CY ENGLAND: United Kingdom DT Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals 197410 ΕM

ΕD Entered STN: 10 Mar 1990 Last Updated on STN: 3 Feb 1997 Entered Medline: 7 Oct 1974 ANSWER 56 OF 66 Г8 MEDLINE on STN Full Text 1974170781 AN MEDLINE PubMed ID: 4524624 DN ΤI The effect of replacement of methionine by homocystine on survival of malignant and normal adult mammalian cells in culture. Halpern B C; Clark B R; Hardy D N; Halpern R M; Smith R A AU SO Proceedings of the National Academy of Sciences of the United States of America, (1974 Apr) Vol. 71, No. 4, pp. 1133-6. Journal code: 7505876. ISSN: 0027-8424. Report No.: NLM-PMC388177. CY United States DT Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals ΕM 197407 Entered STN: 10 Mar 1990 ΕD Last Updated on STN: 10 Mar 1990 Entered Medline: 31 Jul 1974 Г8 ANSWER 57 OF 66 MEDLINE on STN Text Full AN 1974004406 MEDLINE PubMed ID: 4126370 DN A tumor-related vitamin B12 binding protein in adolescent hepatoma. ΤI AU Waxman S; Gilbert H S SO The New England journal of medicine, (1973 Nov 15) Vol. 289, No. 20, pp. 1053-6. Journal code: 0255562. ISSN: 0028-4793. СҮ United States Journal; Article; (JOURNAL ARTICLE) DT LA English FS Abridged Index Medicus Journals; Priority Journals 197312 ΕM Entered STN: 10 Mar 1990 ΕD Last Updated on STN: 10 Mar 1990 Entered Medline: 11 Dec 1973 т.8 ANSWER 58 OF 66 MEDLINE on STN Full Text AN 1972200957 MEDLINE PubMed ID: 4555534 DN Unfavorable signs in patients with chronic myelocytic leukemia. ΤI АIJ Theologides A Annals of internal medicine, (1972 Jan) Vol. 76, No. 1, pp. 95-9. Ref: 54 SO Journal code: 0372351. ISSN: 0003-4819. CY United States DT Journal; Article; (JOURNAL ARTICLE) General Review; (REVIEW) LA English FS Abridged Index Medicus Journals; Priority Journals ΕM 197208 Entered STN: 10 Mar 1990 ΕD Last Updated on STN: 10 Mar 1990 Entered Medline: 7 Aug 1972 Г8 ANSWER 59 OF 66 MEDLINE on STN Full Text 1972041358 AN MEDLINE PubMed ID: 5000872 DN Gastric secretory and serologic studies on patients with neoplastic and ΤI immunologic disorders. Twomey J J; Laughter A H; Villanueva N D; Kao Y S; Lidsky M D; Jordan P H AU Jr SO Archives of internal medicine, (1971 Nov) Vol. 128, No. 5, pp. 746-9. Journal code: 0372440. ISSN: 0003-9926. СҮ United States Journal; Article; (JOURNAL ARTICLE) DT

LA English FS Abridged Index Medicus Journals; Priority Journals 197201 ΕM ΕD Entered STN: 10 Mar 1990 Last Updated on STN: 6 Feb 1998 Entered Medline: 25 Jan 1972 ANSWER 60 OF 66 MEDLINE on STN T.8 Full Text AN 1971281351 MEDLINE PubMed ID: 5284678 DN Increased transcobalamin I in a leukemoid reaction. ΤI Hall C A; Wanko M AU The Journal of laboratory and clinical medicine, (1971 Aug) Vol. 78, No. SO 2, pp. 298-301. Journal code: 0375375. ISSN: 0022-2143. СҮ United States DT Journal; Article; (JOURNAL ARTICLE) T.A English FS Abridged Index Medicus Journals; Priority Journals ΕM 197111 ΕD Entered STN: 1 Jan 1990 Last Updated on STN: 1 Jan 1990 Entered Medline: 3 Nov 1971 ANSWER 61 OF 66 MEDLINE on STN Г8 Full Text 1970113051 MEDLINE AN PubMed ID: 5740509 DN [The mechanism of the emergence of hematological remissions (on the ΤI problem of **tumor** regression)]. O mekhanizme vozniknoveniia gematologicheskikh remissii (K voprosu ob opukholevoi regressii). AU Alekseev G A Terapevticheskii arkhiv, (1968 Apr) Vol. 40, No. 4, pp. 16-25. Journal code: 2984818R. ISSN: 0040-3660. SO СҮ USSR DT Journal; Article; (JOURNAL ARTICLE) LA Russian FS Priority Journals ΕM 197004 ΕD Entered STN: 1 Jan 1990 Last Updated on STN: 1 Jan 1990 Entered Medline: 2 Apr 1970 L8 ANSWER 62 OF 66 MEDLINE on STN Full Text 1969175359 AN MEDLINE PubMed ID: 5252793 DN ΤI Uptake of labelled vitamin B 12 and 4-iodophenylalanine in some tumors of mice. AU Blomquist L; Flodh H; Ullberg S Experientia, (1969 Mar 15) Vol. 25, No. 3, pp. 294-6. SO Journal code: 0376547. ISSN: 0014-4754. CY Switzerland DT Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals ΕM 196906 Entered STN: 1 Jan 1990 ΕD Last Updated on STN: 1 Jan 1990 Entered Medline: 19 Jun 1969 Г8 ANSWER 63 OF 66 MEDLINE on STN Full Text AN 1969057044 MEDLINE PubMed ID: 5724527 DN ΤI Accumulation of labelled vitamin B12 in some transplanted tumours. AU Flodh H; Ullberg S SO International journal of cancer. Journal international du cancer, (1968 Sep 15) Vol. 3, No. 5, pp. 694-9. Journal code: 0042124. ISSN: 0020-7136.

DT Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals ΕM 196901 ΕD Entered STN: 1 Jan 1990 Last Updated on STN: 1 Jan 1990 Entered Medline: 30 Jan 1969 MEDLINE on STN Г8 ANSWER 64 OF 66 Full Text AN 1966098269 MEDLINE PubMed ID: 4159695 DN Excretion of formiminoglutamic acid in reticulosis and carcinoma. ΤT AU Noeypatimanond S; Watson-Williams E J; Israels M C Lancet, (1966 Feb 26) Vol. 1, No. 7435, pp. 454-6. Journal code: 2985213R. ISSN: 0140-6736. SO CY ENGLAND: United Kingdom DT Journal; Article; (JOURNAL ARTICLE) LA English FS Abridged Index Medicus Journals; Priority Journals ΕM 196605 ΕD Entered STN: 1 Jan 1990 Last Updated on STN: 6 Feb 1998 Entered Medline: 23 May 1966 г8 ANSWER 65 OF 66 MEDLINE on STN Full Text 1965135871 MEDLINE AN PubMed ID: 14331187 DN ΤI ADENOSYLMETHIONINE ELEVATION IN LEUKEMIC WHITE BLOOD CELLS. AU BALDESSARINI R J Science (New York, N.Y.), (1965 Aug 6) Vol. 149, pp. 644-5. SO Journal code: 0404511. ISSN: 0036-8075. CY United States DT Journal; Article; (JOURNAL ARTICLE) LA English FS OLDMEDLINE; NONMEDLINE 199612 ΕМ Entered STN: 16 Jul 1999 ΕD Last Updated on STN: 16 Jul 1999 Entered Medline: 1 Dec 1996 ANSWER 66 OF 66 MEDLINE on STN L8 Full Text 1960104214 AN MEDLINE PubMed ID: 13783966 DN Co58B12 absorption, plasma transport and excretion in patients with ТΤ myeloproliferative disorders, solid tumors and non-neoplastic diseases. AU WEINSTEIN I B; WATKIN D M The Journal of clinical investigation, (1960 Nov) Vol. 39, pp. 1667-74. Journal code: 7802877. ISSN: 0021-9738. SO DT Journal; Article; (JOURNAL ARTICLE) LA English FS OLDMEDLINE; NONMEDLINE NLMPMC293407 OS ΕM 199811 Entered STN: 16 Jul 1999 ED Last Updated on STN: 16 Jul 1999 Entered Medline: 1 Nov 1998 => d his (FILE 'HOME' ENTERED AT 23:24:07 ON 31 AUG 2009) FILE 'REGISTRY' ENTERED AT 23:24:20 ON 31 AUG 2009 E VITAMIN B12/CN T.1 1 S E3 FILE 'MEDLINE' ENTERED AT 23:24:53 ON 31 AUG 2009 L2 16339 S L1

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11438 S (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCO L3 L4 20105 S L2 OR L3 L5 1707973 S (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?) 773 S L4 AND L5 Г6 Ц7 212559 S LEUKEMIA? г8 66 S L6 AND L7 => d an ti au si ab kwic 18 47 'SI' IS NOT A VALID FORMAT FOR FILE 'MEDLINE' The following are valid formats: The default display format is BIB. ABS ---- AB ALL ---- AN, DN, TI, AU, AUGR, AUCL, CS, NC, SO, CM, CY, DT, LA, FS, NCT, OS, EM, ED, AB, ST, CT, NA, RN, CN, GEN BIB ---- AN, DN, TI, AU, AUGR, AUCL, CS, NC, SO, CY, DT, LA, FS, NCT, OS, EM, ED CBIB --- AN, DN, TI, AU, AUGR, AUCL, CS, NC, SO, CY, DT, LA, FS, NCT, OS, EM, ED DALL --- ALL, delimited for post processing IABS --- ABS, with a text label IALL --- ALL, indented with text labels IBIB --- BIB, indented with text labels IND ---- ST, CT, NA, RN, CN, GEN TRIAL -- TI, ST, CT, NA, RN, CN, GEN (SAM, TRI, FREE) HIT ---- All fields containing hit terms HITIND - IND KWIC --- All hit terms plus 20 words on either side OCC ---- List of display fields containing hit terms Hit terms will be highlighted in all available fields except CM and PY. To display a particular field or fields, enter the display field codes. For a list of display field codes, enter 'HELP DFIELDS' at an arrow prompt (=>). Examples of formats include: 'BIB'; 'AB'; 'SO,ST'. You may specify the format fields in any order, and the information will be displayed in the same order as the format specification. The same formats (except for HIT, HITIND, KWIC, and OCC) may be used with the DISPLAY ACC command to display the record for a specified Accession Number. ENTER DISPLAY FORMAT (BIB):end => d an ti au so ab kwic 18 47 Г8 ANSWER 18 OF 66 MEDLINE on STN Full Text AN 1992074415 MEDLINE Effect of combined ascorbic acid and B-12 on survival of mice with ТΤ implanted Ehrlich carcinoma and L1210 leukemia. AU Poydock M E The American journal of clinical nutrition, (1991 Dec) Vol. 54, No. 6 SO Suppl, pp. 1261S-1265S. Journal code: 0376027. ISSN: 0002-9165. A combination of dehydroascorbic acid and hydroxycobalamin (vitamin B-12) AB inhibited mitoses of tumors in mice. The present study was performed to test the effect of these vitamins on the survival of mice bearing carcinomas and leukemias. In each assay 40 mice received 0.1 mL ip tumor cells (x10(5)). After 24 h, 20 mice were injected with 0.2 mL (0.4 g/kg body wt) of the vitamins daily for 10 d. All controls died by day 19, but greater than 50% of the treated mice were alive after 60 d. In vitro findings revealed inhibition of mitoses in L1210 leukemia cells, but not in normal L929 cells. In recent research with cobalt-ascorbate plus vitamin C, we demonstrated that when B-12 is combined with vitamin C, the cobalt nucleus of B-12 attaches to a carbon on vitamin C, forming cobalt ascorbate. Tests proved that cobalt ascorbate plus vitamin C also inhibited tumor cells. ΤI Effect of combined ascorbic acid and B-12 on survival of mice with implanted Ehrlich carcinoma and L1210 leukemia.

A combination of dehydroascorbic acid and hydroxycobalamin (vitamin B-12) inhibited mitoses of  ${\bf tumors}$  in mice. The present study was performed to AB test the effect of these vitamins on the survival of mice bearing carcinomas and leukemias. In each assay 40 mice received 0.1 mL ip tumor cells (x10(5)). After 24 h, 20 mice were injected with 0.2 mL (0.4 g/kg body wt) of the vitamins daily. . . than 50% of the treated mice were alive after 60 d. In vitro findings revealed inhibition of mitoses in L1210 leukemia cells, but not in normal L929 cells. In recent research with cobalt-ascorbate plus vitamin C, we demonstrated that when B-12. . . attaches to a carbon on vitamin C, forming cobalt ascorbate. Tests proved that cobalt ascorbate plus vitamin C also inhibited tumor cells. СТ Check Tags: Female Animals \*Ascorbic Acid: PD, pharmacology \*Carcinoma, Ehrlich Tumor: MO, mortality Carcinoma, Ehrlich Tumor: PA, pathology Dehydroascorbic Acid: PD, pharmacology Drug Combinations \*Leukemia, Experimental: MO, mortality Mice Mice, Inbred ICR Neoplasm Transplantation Survival Analysis \*Vitamin B 12: PD, pharmacology RN 490-83-5 (Dehydroascorbic Acid); 50-81-7 (Ascorbic Acid); 68-19-9 (Vitamin B 12) Г8 ANSWER 47 OF 66 MEDLINE on STN Full Text AN 1977019051 MEDLINE ΤI B12 -- dependent methionine synthetase as a potential target for cancer chemotherapy. AU Huennekens F M; DiGirolamo P M; Fujii K; Jacobsen D W; Vitols K S Advances in enzyme regulation, (1976) Vol. 14, pp. 187-205. Ref: 51 SO Journal code: 0044263. ISSN: 0065-2571. ΤT B12 -- dependent methionine synthetase as a potential target for cancer chemotherapy. СΤ . S-Methyltransferase: IP, isolation & purification \*5-Methyltetrahydrofolate-Homocysteine S-Methyltransferase: ME, metabolism Animals Cells, Cultured Cobamides: BI, biosynthesis Enzyme Activation Flavoproteins: ME, metabolism Leukemia L1210: EN, enzymology Leukemia L1210: ME, metabolism Methionine: BI, biosynthesis \*Methyltransferases: ME, metabolism Mice NADP: ME, metabolism \*Neoplasms: ME, metabolism S-Adenosylmethionine: ME, metabolism RΝ 29908-03-0 (S-Adenosylmethionine); 53-59-8 (NADP); 63-68-3 (Methionine); 68-19-9 (Vitamin B 12) => file ca COST IN U.S. DOLLARS SINCE FILE TOTAL. ENTRY SESSION 26.58 FULL ESTIMATED COST 18.48 FILE 'CA' ENTERED AT 23:34:40 ON 31 AUG 2009 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2009 AMERICAN CHEMICAL SOCIETY (ACS)

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26, 1996), unless otherwise indicated in the original publications. The CA Lexicon is the copyrighted intellectual property of the American Chemical Society and is provided to assist you in searching databases on STN. Any dissemination, distribution, copying, or storing of this information, without the prior written consent of CAS, is strictly prohibited. FILE COVERS 1907 - 27 Aug 2009 VOL 151 ISS 10 FILE LAST UPDATED: 27 Aug 2009 (20090827/ED) REVISED CLASS FIELDS (/NCL) LAST RELOADED: Jun 2009 USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Jun 2009 CA now includes complete International Patent Classification (IPC) reclassification data for the third guarter of 2009. CAS Information Use Policies apply and are available at: http://www.cas.org/legal/infopolicy.html This file contains CAS Registry Numbers for easy and accurate substance identification. The ALL, BIB, MAX, and STD display formats in the CA/CAplus family  $% \left( {{{\rm{ALL}}} \right) = {{\rm{ALL}}} \right)$ of databases have been updated to include new citing references information. This enhancement may impact record import into database management software. For additional information, refer to NEWS 9. => s 11 L9 21671 L1 => s (vitamin b12 or hydroxycobolamin or chlorocobolamin or aquocobolamin or cobolamin or 217802 VITAMIN 40353 B12 25073 VITAMIN B12 (VITAMIN(W)B12) 1 HYDROXYCOBOLAMIN 0 CHLOROCOBOLAMIN 0 AQUOCOBOLAMIN 3 COBOLAMIN 0 AZIDOCOBOLAMIN 25074 (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCOBOL L10 AMIN OR COBOLAMIN OR AZIDOCOBOLAMIN) => s 19 or 110 26800 L9 OR L10 L11 => s (cancer or anti-neoplast? or neoplast? or carcin? or tumor?) 385602 CANCER 525123 ANTI 69871 NEOPLAST? 1018 ANTI-NEOPLAST? (ANTI(W)NEOPLAST?) 69871 NEOPLAST? 307373 CARCIN? 553203 TUMOR? 881426 (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?) L12 => s 111 and 112 959 L11 AND L12 L13 => s leukemia? L14 121003 LEUKEMIA? => s 113 and 114 L15 88 L13 AND L14 => d 1 - 88L15 ANSWER 1 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 151:214450 CA ΑN

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Vandenberghe, P.; Wlodarska, I.; Michaux, L.; Zachee, P.; Boogaerts, M.; AU Vanstraelen, D.; Herregods, M-C.; Van Hoof, A.; Selleslag, D.; Roufosse, F.; Maerevoet, M.; Verhoef, G.; Cools, J.; Gilliland, D. G.; Hagemeijer, A.; Marynen, P. CS The Center for Human Genetics, University Hospital Leuven, Louvain, B-3000, Belg. Leukemia (2004), 18(4), 734-742 SO CODEN: LEUKED; ISSN: 0887-6924 PB Nature Publishing Group DT Journal English LA OSC.G 57 THERE ARE 57 CAPLUS RECORDS THAT CITE THIS RECORD (58 CITINGS) THERE ARE 21 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT 21 ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 26 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 140:241008 CA AN ТΤ Drug delivery and targeting with vitamin B12 conjugates IN Wilson, Stephen; Reinhard, Kathryn S.; Gao, Xiang PA USA SO U.S. Pat. Appl. Publ., 22 pp. CODEN: USXXCO DT Patent LA English FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ US 20040047917 US 2002-235857 20020906 ΡI A1 20040311 US 20070066561 A1 20070322 US 2006-601809 20061120 PRAI US 2002-235857 A3 20020906 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT L15 ANSWER 27 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 140:178997 CA Significance of elevated cobalamin (vitamin B12) levels in blood ΤI ΑIJ Ermens, A. A. M.; Vlasveld, L. T.; Lindemans, J. Clinical Laboratory, Lokatie Langendijk, Amphia Hospital, Breda, Neth. CS Clinical Biochemistry (2003), 36(8), 585-590 SO CODEN: CLBIAS; ISSN: 0009-9120 ΡB Elsevier Science Inc. DT Journal; General Review English LA THERE ARE 12 CAPLUS RECORDS THAT CITE THIS RECORD (12 CITINGS) OSC.G 12 THERE ARE 42 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT 42 ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 28 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 138:314549 CA ΤT Combination therapies using methyl donors or methyl donor enhancers and therapeutic agents for treatment of viral, proliferative and inflammatory diseases ΙN Cruz, Tony; Pastrak, Aleksandra PA Transition Therapeutics Inc., Can. SO PCT Int. Appl., 70 pp. CODEN: PIXXD2 DT Patent LA English FAN.CNT 6 DATE APPLICATION NO. PATENT NO. KIND DATE A1 20030417 WO 2002-CA1503 \_\_\_\_\_ \_\_\_\_\_ \_ 20021004 ΡI WO 2003030929 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
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<pre>ull Text N 135:71265 CA I Combinations of a receptor tyrosine kinase inhibitor with an organic compound capable of binding to α1-acidic glycoprotein N Gambacorti-Passerini, Carlo; Lecoutre, Philipp A Novartis AG., Switz.; Novartis-Erfindungen O PCT Int. Appl., 79 pp. CODEN: PIXXD2 I Patent A English AN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE</pre>	T Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z	US 20040023279 AU 2006203475 AU 2006213968 AU 2006225250 DE 2000-1001905 WO 2001-DE1486 DE 2000-1001917 DE 2000-1003252 DE 2000-1004382 AU 2001-275663 AU 2001-275663 EP 2001-969303 WO 2001-EP4016 EP 2002-90203 AU 2006-230475	A1 A1 A1 8 A W 3 A 9 A 6 A A 6 A A 3 A 3 A 3 A 3 4 A 3 W A A A 3	20040205 20060831 20061019 20061026 20000406 20010406 20000407 20000630 20000901 20010406 20010406 20010406 20010406 20010406 20010406 20010406 20020605 20060811	US 2003-455212 AU 2006-203475 AU 2006-213968 AU 2006-225250	20030605 20060811 20060915 20061005
compound capable of binding to αl-acidic glycoprotein N Gambacorti-Passerini, Carlo; Lecoutre, Philipp A Novartis AG., Switz.; Novartis-Erfindungen D PCT Int. Appl., 79 pp. CODEN: PIXXD2 I Patent A English AN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE	<u>111 -</u>	Text	CA COPYI	RIGHT 2009	ACS on STN	
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THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS) OSC.G 3 RE.CNT 1 THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 34 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 134:37051 CA Method for immune-system strengthening and development of a lipid ΤT transporter for anti-HIV and antibacterial gene therapy Worm, Richard; Correa, Michel; Mavoungou, Donatien IΝ ΡA Can. SO Fr. Demande, 16 pp. CODEN: FRXXBL DT Patent LA French FAN.CNT 1 APPLICATION NO. PATENT NO. KIND DATE DATE \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_ A1 20001020 FR 1999-4706 FR 2792201 РT 19990415 в1 20011102 FR 2792201 PRAI FR 1999-4706 19990415 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS) OSC.G 4 RE.CNT 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 35 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 132:58824 CA AN Compounds of vitamin B12 and its derivatives combined with ascorbic ΤI acid as potential antitumor agents Vol'pin, M. E.; Krainova, N. Yu.; Levitin, I. Ya.; Mityaeva, Z. Ya.; Novodarova, G. N.; Oganezov, V. K.; Pankratov, A. A.; Chissov, V. I.; ΑIJ Yakubovskaya, R. I. CS Inst. Elementoorg. Soedin. im. A. N. Nesmeyanova, RAN, Moscow, 117813, Russia SO Rossiiskii Khimicheskii Zhurnal (1998), 42(5), 116-127 CODEN: RKZHEZ; ISSN: 1024-6215 ΡB Rossiiskoe Khimicheskoe Obshchestvo im. D. I. Mendeleeva DT Journal LA Russian OSC.G 8 THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD (9 CITINGS) L15 ANSWER 36 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 131:208725 CA AN Intrathecal methotrexate-induced megaloblastic anemia in patients with ΤI acute leukemia Sallah, Sabah; Hanrahan, L. Robert, Jr.; Phillips, Debra L. АIJ Department of Medicine, Division of Hematology/Oncology, East Carolina CS University, School of Medicine, Greenville, NC, USA Archives of Pathology & Laboratory Medicine (1999), 123(9), 774-777 CODEN: APLMAS; ISSN: 0003-9985 SO ΡB College of American Pathologists Journal DT LA English OSC.G 1 RE.CNT 8 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS) THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 37 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 131:120695 CA AN Targeting **leukemia** cells with cobalamin bioconjugates ΤT Mitchell, Alice M.; Bayomi, Ashraf; Natarajan, Ettaya; Barrows, Louis R.; AU West, Frederick G.; Grissom, Charles B. CS Department of Chemistry, University of Utah, Salt Lake City, UT, 84112-0850, USA SO Biomedical and Health Research (1999), 27(Enzymatic Mechanisms), 150-154 CODEN: BIHREN; ISSN: 0929-6743 PB IOS Press DT Journal English LA

THERE ARE 9 CAPLUS RECORDS THAT CITE THIS RECORD (9 CITINGS) OSC.G 9 RE.CNT 18 THERE ARE 18 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 38 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 129:12414 CA AN OREF 129:2551a,2554a Synthesis, characterization and nitric oxide release profile of ΤT nitrosylcobalamin: a potential chemotherapeutic agent AU Bauer, Joseph A. Dep. Chem., Univ. Akron, Akron, OH, 44325-3601, USA Anti-Cancer Drugs (1998), 9(3), 239-244 CS SO CODEN: ANTDEV; ISSN: 0959-4973 PR Rapid Science Ltd. DT Journal English LA OSC.G 14 THERE ARE 14 CAPLUS RECORDS THAT CITE THIS RECORD (14 CITINGS) RE.CNT 31 THERE ARE 31 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 39 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 128:226232 CA AN OREF 128:44693a,44696a Cobalt complex bioconjugates, preparation thereof, and delivery of ΤI bioactive agents ΤN Grissom, Charles B.; West, Frederick G.; Howard, W. Allen, Jr. University of Utah Research Foundation, USA; Grissom, Charles B.; West, PA Frederick G.; Howard, W. Allen, Jr. SO PCT Int. Appl., 91 pp. CODEN: PIXXD2 DT Patent LA English FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ 
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Exhibit 1002-00160

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 EP 754189
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 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LI, LU, MC, NL, PT, SE
 IP 10502334
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 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LI, LU, MC, NL, PT, JP 10502334 T 19980303 JP 1995-526497 19950407 AT 225799 T 20021015 AT 1995-916284 19950407 US 6083926 A 20000704 US 1998-200422 19981123 PRAI US 1994-224831 A 19940408 US 1995-406191 A 19950316 US 1995-406192 A 19950316 US 1995-406194 A 19950316 US 1995-US4404 W 19950407 US 1995-US4404 W 19950407 US 1995-545151 A3 19951019 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OS MARPAT 124:176815 OSC.G 9 THERE ARE 9 CAPLUS RECORDS THAT CITE THIS RECORD (10 CITINGS) RE.CNT 3 THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT

L15 ANSWER 45 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 120: 120:227009 CA OREF 120:40121a,40124a ΤI Prevention of birth defects and childhood **cancer** with fluoride ΤN Grogan, Jack R., Jr. PA USA SO Can. Pat. Appl., 17 pp. CODEN: CPXXEB DT Patent LA English FAN.CNT 2 KIND DATE APPLICATION NO. PATENT NO. DATE CA 2071378 GB 2267824 CA 1992 \_\_\_\_\_ ----- -----A1 19931217 CA 1992-2071378 19920616 A 19931222 GB 1992-12672 19920615 ΡI А PRAI CA 1992-2071378 19920616 L15 ANSWER 46 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 119:131055 CA OREF 119:23285a,23288a Influence of cobalamin on the survival of mice bearing ascites  $\ensuremath{\textbf{tumor}}$ ΤT Tsao, Constance S.; Myashita, Koichi AU Linus Pauling Inst. Sci. Med., Palo Alto, CA, 94306, USA CS Pathobiology (1993), 61(2), 104-8 CODEN: PATHEF; ISSN: 1015-2008 SO DT Journal English LA OSC.G THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS) 5 L15 ANSWER 47 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 119:39993 CA OREF 119:7079a,7082a ΤI Vitamins as chemotherapeutic and chemopreventive agents АIJ Ryan, Donna H.; Starr, Barry CS Pennington Biomed. Res. Cent., Baton Rouge, LA, 70808, USA Pennington Center Nutrition Series (1993), 3 (Vitamins and Cancer SO Prevention), 147-60 CODEN: PCNSEW; ISSN: 1063-8822 DT Journal; General Review T.A English L15 ANSWER 48 OF 88 CA COPYRIGHT 2009 ACS on STN <u>Full Text</u> AN 116:75807 CA OREF 116:12671a,12674a Effect of combined ascorbic acid and B-12 on survival of mice with ΤI implanted Ehrlich carcinoma and L1210 leukemia Poydock, M. Eymard AU CS Cancer Res. Inst., Mercyhurst Coll., Erie, PA, 16546, USA American Journal of Clinical Nutrition (1991), 54(6, Suppl.), 1261S-1265S SO CODEN: AJCNAC; ISSN: 0002-9165 DT Journal LA English OSC.G THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS) 4 L15 ANSWER 49 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 115:126995 CA AN OREF 115:21549a,21552a New vitamin B12 derivatives, production thereof, and applications thereof ТΤ Toraya, Tetsuo; Ishida, Atsuhiko; Uejima, Yasuhide; Fujii, Katsuhiko IN PA Teijin Ltd., Japan PCT Int. Appl., 49 pp. SO CODEN: PIXXD2 DT Patent Japanese LA FAN.CNT 1 APPLICATION NO. PATENT NO. KIND DATE DATE \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_

Teva – Fresenius Exhibit 1002-00162

ΡI WO 9010014 A1 19900907 WO 1990-JP253 19900228 W: US RW: CH, DE, FR, GB, IT JP 02289597 19901129 А JP 1990-45905 19900228 JP 2962755 в2 19991012 19910508 EP 425680 A1 EP 1990-903929 19900228 R: CH, DE, FR, GB, IT, LI 19950411 US 5405839 US 1993-104606 19930811 А PRAI JP 1989-45172 А 19890228 WO 1990-JP253 W 19900228 US 1990-601778 в1 19901026 OS MARPAT 115:126995 THERE ARE 9 CAPLUS RECORDS THAT CITE THIS RECORD (11 CITINGS) OSC.G 9 RE.CNT 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 50 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 106:98888 CA AN OREF 106:16133a,16136a Rapid determination of serum transcobalamins ΤT Hu, Jiuru; Wang, Fumin; Dou, Huanfu; Wang, Liangxu Nav. Gen. Hosp., Peop. Rep. China AU CS Zhonghua Xueyexue Zazhi (1986), 7(7), 431-3 SO CODEN: CHTCD7; ISSN: 0253-2727 DT Journal LΑ Chinese L15 ANSWER 51 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 105:126980 CA OREF 105:20333a,20336a Effects of 5-fluorouracil treatment of rat leukemia with concomitant ΤT inactivation of cobalamin AU Kroes, A. C. M.; Ermens, A. A. M.; Lindemans, J.; Abels, J. Inst. Hematol., Erasmus Univ., Rotterdam, Neth. CS Anticancer Research (1986), 6(4), 737-42 SO CODEN: ANTRD4; ISSN: 0250-7005 DT Journal English LA OSC.G 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS) L15 ANSWER 52 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 105:108097 CA AN OREF 105:17335a,17338a Enhanced therapeutic effect of methotrexate in experimental rat leukemia ΤT after inactivation of cobalamin (vitamin B12) by nitrous oxide Kroes, A. C. M.; Lindemans, J.; Schoester, M.; Abels, J. AU CS Inst. Hematol., Erasmus Univ., Rotterdam, 3000 DR, Neth. SO Cancer Chemotherapy and Pharmacology (1986), 17(2), 114-20 CODEN: CCPHDZ; ISSN: 0344-5704 DT Journal LA English OSC.G THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS) 5 L15 ANSWER 53 OF 88 CA COPYRIGHT 2009 ACS on STN F<u>ull Text</u> AN 105:76826 CA OREF 105:12445a,12448a Kinetics of 57Co-cyanocobalamin distribution in organs and tissues of mice ΤI with transplanted tumors Vares, Yu. V.; Myasishcheva, N. V. AU Res. Inst. Carcinogen., Moscow, 115478, USSR CS Eksperimental'naya Onkologiya (1986), 8(3), 33-6 SO CODEN: EKSODD; ISSN: 0204-3564 DT Journal T.A Russian L15 ANSWER 54 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 104:84931 CA AN

OREF 104:13417a,13420a ΤT Simultaneous multiple assays and compounds and compositions useful in them Olson, Douglas Richard ΤN PΑ Micromedic Systems, Inc., USA Eur. Pat. Appl., 26 pp. SO CODEN: EPXXDW DT Patent English T.A FAN.CNT<sup>2</sup> KIND DATE PATENT NO. APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ EP 165716 A1 19851227 EP 165716 B1 19900131 19851227 EP 1985-303564 ΡI 19850521 R: AT, BE, CH, DE, FR, GB, IT, LI, LU, NL, SE US 4672028 A 19870609 US 1984-612979 19840523 T 19900215 A 19851128 B2 19890413 19900215 AT 50066 AT 1985-303564 19850521 AU 8542798 AU 1985-42798 19850523 AU 582970 JP 61000092 А 19860106 JP 1985-111312 19850523 PRAI US 1984-612979 А 19840523 EP 1985-303564 А 19850521 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OSC.G 8 THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD (9 CITINGS) L15 ANSWER 55 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 103: 103:213903 CA OREF 103:34477a,34480a Mitogenic inhibition and effect on survival of mice bearing L1210 ΤI leukemia using a combination of dehydroascorbic acid and hydroxycobalamin Poydock, M. E.; Harguindey, S.; Hart, T.; Takita, H.; Kelly, D. Cancer Res. Unit, Mercyhurst Coll., Erie, PA, USA American Journal of Clinical Oncology (1985), 8(3), 266-9 АIJ CS SO CODEN: AJCODI; ISSN: 0277-3732 DT Journal LA English OSC.G THERE ARE 7 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS) 7 L15 ANSWER 56 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 99:35419 CA OREF 99:5533a,5536a ТΤ Studies of the radioimmunoassay of serum haptocorrin and its clinical application AU Saito, Kainosuke CS Dep. Intern. Med., Sapporo Med. Coll., Sapporo, Japan Sapporo Igaku Zasshi (1983), 52(2), 237-52 SO CODEN: SIZSAR; ISSN: 0036-472X DT Journal LA Japanese L15 ANSWER 57 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 97:107723 CA OREF 97:17883a,17886a Production of transcobalamin II by various murine and human cells in ΤI culture Rabinowitz, R.; Rachmilewitz, B.; Rachmilewitz, M.; Schlesinger, M. ΑIJ CS Hadassah Med. Sch., Hebrew Univ., Jerusalem, 91010, Israel Israel Journal of Medical Sciences (1982), 18(7), 740-5 SO CODEN: IJMDAI; ISSN: 0021-2180 DT Journal English LA. OSC.G THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) 2 L15 ANSWER 58 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 97:5040 CA OREF 97:987a,990a ΤI Influence of vitamins C and B12 on the survival rate of mice bearing ascites tumor Poydock, M. Eymard; Reikert, D.; Rice, J. AU

Mercyhurst Coll., Erie, PA, 16546, USA Experimental Cell Biology (1982), 50(2), 88-91 CODEN: ECEBDI; ISSN: 0304-3568 CS SO DT Journal LA English OSC.G THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS) 4 L15 ANSWER 59 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 95:93426 CA OREF 95:15687a,15690a Determination of transcobalamins ΤI Selhub, Jacob; Rachmilewitz, Bracha; Grossowicz, Nathan IN Yissum Research Development Co., Israel PA SO U.S., 8 pp. Cont.-in-part of U.S. 4,167,556. CODEN: USXXAM DT Patent LA. English FAN.CNT 4 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ ΡI US 4273757 А 19810616 US 1978-961771 19781117 CA 1977-278950 CA 1092956 A1 19810106 19770520 US 4167556 19790911 US 1977-802379 19770602 А A2 PRAI US 1977-802379 19770602 A A IL 1976-49662 19760526 US 1978-961771 19781117 13 THERE ARE 13 CAPLUS RECORDS THAT CITE THIS RECORD (16 CITINGS) OSC.G L15 ANSWER 60 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 90:99501 CA AN OREF 90:15677a,15680a ΤI The identification and measurement of a folate-binding protein in human serum by radioimmunoassay AU Da Costa, Maria; Rothenberg, Sheldon P.; Fischer, Craig; Rosenberg, Zoltan Dep. Med., New York Med. Coll., New York, NY, USA Journal of Laboratory and Clinical Medicine (1978), 91(6), 901-10 CS SO CODEN: JLCMAK; ISSN: 0022-2143 DT Journal LA English OSC.G 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS) L15 ANSWER 61 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 89:40483 CA AN OREF 89:6263a,6266a Vitamin B12-binding proteins in serum and plasma in various disorders. ΤT Effect of anticoagulants AU Carmel, Ralph Dep. Med., Univ. Southern California Sch. Med., Los Angeles, CA, USA American Journal of Clinical Pathology (1978), 69(3), 319-25 CS SO CODEN: AJCPAI; ISSN: 0002-9173 DT Journal LA English THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS) OSC.G 5 L15 ANSWER 62 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 88:150028 CA OREF 88:23630h,23631a Vitamin B12 and vitamin B12 binding proteins in liver diseases ΤI Areekul, Suvit; Panatampon, Piangporn; Doungbarn, Jiraporn АIJ Fac. Trop. Med., Mahidol Univ., Bangkok, Thailand CS SO Southeast Asian Journal of Tropical Medicine and Public Health (1977), 8(3), 322-8 CODEN: SJTMAK; ISSN: 0125-1562 DT Journal English LA OSC.G 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) L15 ANSWER 63 OF 88 CA COPYRIGHT 2009 ACS on STN

Full Text AN 88:20262 CA OREF 88:3251a,3254a ΤI Analysis of cobalamin coenzymes in **tumor** cells of mice spleen Vares, Yu. V.; Myasishcheva, N. V. Oncol. Res. Cent., Moscow, USSR AU CS SO Voprosy Meditsinskoi Khimii (1977), 23(5), 681-4 CODEN: VMDKAM; ISSN: 0042-8809 DT Journal LA Russian L15 ANSWER 64 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 86:153564 CA AN OREF 86:24107a,24110a Hemoglobin A2 levels in health and various hematologic disorders ТΤ Alperin, Jack B.; Dow, Patricia A.; Petteway, Mozellar B. AU Dep. Intern. Med., Univ. Texas, Galveston, TX, USA CS American Journal of Clinical Pathology (1977), 67(3), 219-26 SO CODEN: AJCPAI; ISSN: 0002-9173 DT Journal LA English OSC.G 6 THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD (6 CITINGS) L15 ANSWER 65 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 86:137655 CA OREF 86:21624h,21625a ΤI Determination of the unsaturated vitamin B12 binding capacity in normal and physiopathological conditions Areekul, Suvit; Vongtapvanish, Srisuda АIJ CS Fac. Trop. Med., Mahidol Univ., Bangkok, Thailand Southeast Asian Journal of Tropical Medicine and Public Health (1976), SO 7(3), 496-8 CODEN: SJTMAK; ISSN: 0125-1562 DT Journal LА English L15 ANSWER 66 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 86:3 CA OREF 86:1a ТΤ B12-dependent methionine synthetase as a potential target for cancer chemotherapy AU Huennekens, F. M.; DiGirolamo, P. M.; Fujii, K.; Jacobsen, D. W.; Vitols, K. S. CS Dep. Biochem., Scripps Clin. Res. Found., La Jolla, CA, USA Advances in Enzyme Regulation (1976), 14, 187-205 SO CODEN: AEZRA2; ISSN: 0065-2571 DT Journal; General Review LA English OSC.G 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS) L15 ANSWER 67 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 82:29483 CA AN OREF 82:4708h,4709a Granulocyte colony stimulating activity and vitamin B12 binding ТΤ proteins in human urine AU Gibson, Emma L.; Herbert, Victor; Robinson, William A. Med. Cent., Univ. Colorado, Denver, CO, USA CS British Journal of Haematology (1974), 28(2), 191-7 SO CODEN: BJHEAL; ISSN: 0007-1048 DT Journal LA English L15 ANSWER 68 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 81:89342 CA AN OREF 81:14171a,14174a ТΤ Characteristics of a novel serum vitamin B12-binding protein associated with hepatocellular carcinoma

Waxman, Samuel; Gilbert, Harriet S. AU CS Mt. Sinai Sch. Med., City Univ. New York, New York, NY, USA British Journal of Haematology (1974), 27(2), 229-39 SO CODEN: BJHEAL; ISSN: 0007-1048 DT Journal LA English L15 ANSWER 69 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 80:131413 CA OREF 80:21193a,21196a N5-Methyltetrahydrofolate:homocysteine methyltransferase activity in ΤI extracts from normal, malignant, and embryonic tissue culture cells Ashe, Hilary; Clark, Brian R.; Chu, Fred; Hardy, Dorothy N.; Halpern, ΑIJ Barbara C.; Halpern, Richard M.; Smith, Roberts A. CS Mol. Biol. Inst., Univ. California, Los Angeles, CA, USA Biochemical and Biophysical Research Communications (1974), 57(2), 417-25 SO CODEN: BBRCA9; ISSN: 0006-291X DT Journal LA English OSC.G 6 THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD (6 CITINGS) L15 ANSWER 70 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 80:25638 CA AN OREF 80:4234h,4235a ΤI Glutathione peroxidase in human red cells in health and disease Hopkins, J.; Tudhope, G. R. AU Dep. Pharmacol. Ther., Univ. Dundee, Dundee, UK CS SO British Journal of Haematology (1973), 25(5), 563-75 CODEN: BJHEAL; ISSN: 0007-1048 DT Journal English T.A OSC.G 49 THERE ARE 49 CAPLUS RECORDS THAT CITE THIS RECORD (49 CITINGS) L15 ANSWER 71 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 77:138108 CA OREF 77:22717a,22720a Leukemogenesis by Rauscher virus in mice ΤI Irino, Šhozo; Miyoshi, Isao; Sezaki, Tatsuo; Nagao, Tadami; Taguchi, Hirokuni; Hara, Koichi; Hiraki, Kiyoshi AU CS Med. Sch., Okayama Univ., Okayama, Japan Exp. Leukemogenesis, Pap. Jap. Cancer Ass. Symp. Exp. Leuk. Res. SO Jap. (1972), Meeting Date 1970, 47-63. Editor(s): Yamamoto, Tadashi. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 25POAE DT Conference LA English L15 ANSWER 72 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 76:70733 CA AN OREF 76:11401a,11404a Formiminoglutamic acid excretion after histidine loading in folic ΤI acid-vitamin B12 metabolic disturbances AU Wilmanns, W. Med. Universitaetsklin., Tuebingen, Fed. Rep. Ger. CS SO Wissenschaftliche Veroeffentlichungen der Deutschen Gesellschaft fuer Ernaehrung (1971), 19, 30-46 CODEN: WVGEAP; ISSN: 0043-6828 DT Journal German LA. L15 ANSWER 73 OF 88 CA COPYRIGHT 2009 ACS on STN <u>Full Text</u> AN 75:96679 CA OREF 75:15287a,15290a Increased transcobalamin I in a leukemoid reaction ΤI Hall, Charles A.; Wanko, Maxine AU Hematol. Res. Lab., Albany Veterans Adm. Hosp., Albany, NY, USA Journal of Laboratory and Clinical Medicine (1971), 78(2), 298-301 CS SO Teva – Fresenius

Exhibit 1002-00167

CODEN: JLCMAK; ISSN: 0022-2143 DT Journal L.A English THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) OSC.G 2 L15 ANSWER 74 OF 88 CA COPYRIGHT 2009 ACS on STN <u>Full Text</u> AN 74:40522 CA OREF 74:6517a,6520a ΤI Acquired aplastic anemia AU Keiser, G. CS Med. Abt., Buergerspital, Zug, Switz. Deutsche Medizinische Wochenschrift (1970), 95(40), 2032-4 SO CODEN: DMWOAX; ISSN: 0012-0472 DT Journal LA German L15 ANSWER 75 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 71:28714 CA AN OREF 71:5289a,5292a Determination of blood folate activity in humans in healthy and in various ΤI pathological states Karlin, Rosalie AU Inst. Pasteur, Lyons, Fr. CS SO Internationale Zeitschrift fuer Vitaminforschung (1969), 39(1), 44-64 CODEN: IZVIAK; ISSN: 0020-9406 DT Journal French LA L15 ANSWER 76 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 71:11249 CA AN OREF 71:2051a,2054a ΤI Vitamin B12 and some indexes of nucleic acid metabolism in leukemia AU Sheremet, Z. I.; Myasishcheva, N. V. Inst. Eksp. Klin. Onkol., Moscow, USSR
Probl. Leikozov (1967), 164-70. Editor(s): Rostovtsev, N. F. Publisher: CS SO Izd. "Kolos", Moscow, USSR. CODEN: 20XPAO DT Conference T.A Russian L15 ANSWER 77 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 70:94909 CA AN OREF 70:17731a,17734a Uptake of labeled vitamin B12 and 4-iodophenylalanine in some tumors ΤT of mice AU Blomquist, Lars; Flodh, H.; Ullberg, Sven Dep. Pharmacol., Roy. Vet. Coll., Stockholm, Swed. CS SO Experientia (1969), 25(3), 294-6 CODEN: EXPEAM; ISSN: 0014-4754 DT Journal LA English OSC.G THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) 2 L15 ANSWER 78 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 69:84990 CA AN OREF 69:15874h,15875a Determination of formiminoglutamic acid excretion as a functional test for ΤI disturbances in folic acid and vitamin B12 metabolism AU Wilmanns, W.; Burgmann, T. Med. Universitaetsklin. Tuebingen, Tuebingen, Fed. Rep. Ger. CS SO Deutsche Medizinische Wochenschrift (1968), 93(38), 1801-6 CODEN: DMWOAX; ISSN: 0012-0472 DT Journal LA German L15 ANSWER 79 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text

63:91925 CA AN OREF 63:16915d-f Adenosylmethionine elevation in leukemic white blood cells ΤT Baldessarini, Ross J.; Carbone, Paul P. AU CS Natl. Cancer Inst., Bethesda, MD Science (Washington, DC, United States) (1965), 149(3684), 644-5 CODEN: SCIEAS; ISSN: 0036-8075 SO DT Journal English L.A L15 ANSWER 80 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 61:71260 CA AN OREF 61:12425g-h ΤI Some investigations of folic acid deficiency АIJ Kershaw, P. W.; Girdwood, R. H. Roy. Infirmary, Edinburgh Scot. Med. J. (1964), 9(5), 201-12 CS SO DT Journal LA Unavailable L15 ANSWER 81 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 60:41018 CA AN OREF 60:7258h,7259a ΤI Serum protein changes and organ dye concentrations in trypan blue carcinogenesis AU Brown, D. V.; Norlind, L. M.; Adamovics, A.; Bowen, A. Univ. of Washington, Seattle CS Proceedings of the Society for Experimental Biology and Medicine (1963), SO 114, 290-3 CODEN: PSEBAA; ISSN: 0037-9727 DT Journal LA Unavailable L15 ANSWER 82 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 60:5296 CA OREF 60:961a-d Red cell enzymes in anemia ΤI Vuopio, Pekka АIJ CS Finnish Red Cross Blood Transfusion Serv., Helsinki Scandinavian Journal of Clinical and Laboratory Investigation (1963), SO Suppl. 15(72), 90 pp. CODEN: SJCLAY; ISSN: 0036-5513 DT Journal Unavailable LΑ L15 ANSWER 83 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 55:18970 CA AN OREF 55:3798e-h Co58-[Vitamin]B12 absorption, plasma transport, and excretion in ΤT patients with myeloproliferative disorders, solid tumors, and non-neoplastic disease Weinstein, I. Bernard; Watkin, Donald M. AU Natl. Cancer Inst. Bethesda, MD CS Journal of Clinical Investigation (1960), 39, 1667-74 SO CODEN: JCINAO; ISSN: 0021-9738 DT Journal Unavailable LA L15 ANSWER 84 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 54:131385 CA OREF 54:25240i,25241a Clearance of intravenously injected radioactive cobalt-labeled vitamin ΤI B12 in chronic myeloid leukemia and other conditions AU Ritz, Norton D.; Meyer, Leo M. CS Maimonides Hosp., Brooklyn, NY SO Cancer (1960), 13, 1000-7 DT Journal

LA Unavailable L15 ANSWER 85 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 52:115884 CA OREF 52:20584a-b The diagnostic value of the determination of vitamin B12 in body ΤI fluids in diseases of the blood and liver AU Rachmilewitz, M.; Stein, Y. Rothschild Hadassah Univ. Hosp., Jerusalem, Israel CS Harefuah (1958), 54, 167-70 CODEN: HAREA6; ISSN: 0017-7768 SO DT Journal Unavailable LA. L15 ANSWER 86 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 52:78440 CA AN OREF 52:13964a-c ΤI Serum vitamin B12 concentrations determined by Lactobacillus leichmannii assay in patients with **neoplastic** disease Mendelsohn, Robert S.; Watkin, Donald M. AU CS Natl. Insts. Health, Bethesda, MD Journal of Laboratory and Clinical Medicine (1958), 51, 860-6 SO CODEN: JLCMAK; ISSN: 0022-2143 DT Journal LA Unavailable OSC.G 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) L15 ANSWER 87 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 52:46370 CA AN OREF 52:8346c-f Chromatography of serum proteins in normal and pathologic serums: the ΤT distribution of protein-bound carbohydrate and cholesterol, siderophilin, thyroxine-binding protein, vitamin B12-binding protein, alkaline and acid phosphatases, radioiodinated albumin, and myeloma proteins Fahey, John L.; McCoy, Patricia F.; Goulian, Mehran Natl. Insts. of Health, Bethesda, MD AU CS SO Journal of Clinical Investigation (1958), 37, 272-84 CODEN: JCINAO; ISSN: 0021-9738 DT Journal T.A Unavailable L15 ANSWER 88 OF 88 CA COPYRIGHT 2009 ACS on STN <u>Full Text</u> AN 50:90938 CA OREF 50:17113g-i,17114a Pathology and physiology of zinc metabolism ΤI AU Wolff, H. P. Univ. Marburg a.d. Lahn, Germany Klinische Wochenschrift (1956), 34, 409-18 CS SO CODEN: KLWOAZ; ISSN: 0023-2173 DT Journal LA Unavailable OSC.G THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS) 1 => d an ti in au so pi ab kwic 44 47 L15 ANSWER 44 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 124:176815 CA AN OREF 124:32818h, 32819a Preparation of vitamin B12 derivatives as receptor modulating agents ΤI for treating cancers Morgan, A. Charles; Wilbur, D. Scott; Pathare, Pradip M. IN Morgan, A. Charles; Wilbur, D. Scott; Pathare, Pradip M. IN SO PCT Int. Appl., 101 pp. CODEN: PIXXD2 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_

ΡI	WO	9527723		A1	19951019	WO 1995-US4404	19950407
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		RW: AT,	BE, CH	, DE,	DK, ES, FR,	GB, GR, IE, IT, LU,	MC, NL, PT, SE
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	ΕP	754189		A1	19970122	EP 1995-916284	19950407
	ΕP	754189		В1	20021009		
		R: AT,	BE, CH	, DE,	DK, ES, FR,	GB, GR, IE, IT, LI,	LU, MC, NL, PT, SE
	JP	10502334		Т	19980303	JP 1995-526497	19950407
	AT	225799		Т	20021015	AT 1995-916284	19950407
	US	6083926		A	20000704	US 1998-200422	19981123

AB

- Receptor modulating agents comprising a  $\ensuremath{\textit{vitamin B12}}$  targeting mol. coupled to a rerouting moiety (I; R1 - R7 = a linker, through which a rerouting moiety is coupled), which are capable of modulating cell surface receptors by affecting the cell surface receptor trafficking pathway via retaining an agent/receptor complex in an endosome, are prepd. Said rerouting moiety is preferably (1) a lysosomotropic moiety selected from aminoglycoside antibiotics such as gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin, ribostamycin, butirosin, and streptomycin, (2) a peptide sorting sequence selected from endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides, and clathrin-binding peptides., and (3) a conditional membrane binding peptide selected from charged glutamate, aspartate, and histidine. These receptor modulating agents are useful for treating **neoplastic** disorders such as leukemia, sarcoma, myeloma, carcinoma, neuroma, melanoma, cancers of the lung, liver, breast, colon, cervix, and prostate, Hodgkin's disease, and non-Hodgkin's lymphoma. Thus, a mixt. of 500 mg cyanocobalamin monocarboxylic acids I (R1 = R7 = OH, R2 - R6 = NH2; R1 = R3 - R6 = NH2, R2 = R7 = OH; R1 - R3 = R5 = R6 = NH2, R4 = R7 = OH) (prepn. given) and 3.6 g 1,12-diaminododecane in 100 mL H2O was adjusted to pH 6 with 1 N HCl, treated with 726 mg 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide hydrochloride, and stirred at room temp. for 22 h to give cyanocobalamin monocarboxylic acid N-(12-aminododecyl)amides I [R1 = NH(CH2)12NH2, R2 - R6 = NH2, R7 = OH] and I [R1 = R3 - R6 = NH2, R2 = NH(CH2)12NH2, R7 = OH] (II). II at 10  $\mu\text{M}$  in vitro killed 85% K562 cells.
- Preparation of **vitamin B12** derivatives as receptor modulating agents ΤI for treating cancers
- AB Receptor modulating agents comprising a vitamin B12 targeting mol. coupled to a rerouting moiety (I; R1 - R7 = a linker, through which a rerouting moiety is. . . a conditional membrane binding peptide selected from charged glutamate, aspartate, and histidine. These receptor modulating agents are useful for treating **neoplastic** disorders such as **leukemia**, sarcoma, myeloma, **carcinoma**, neuroma, melanoma, cancers of the lung, liver, breast, colon, cervix, and prostate, Hodgkin's disease, and non-Hodgkin's lymphoma. Thus, a mixt..
- SΤ vitamin B12 deriv prepn receptor modulating; anticancer vitamin B12 deriv; aminoglycoside antibiotic conjugate vitamin B12; peptide conjugate vitamin B12; conditional membrane binding peptide
- IΤ Peptides, preparation RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (peptide sorting sequence (e.g. endoplasmic retention peptides) or conditional membrane binding peptide; prepn. of vitamin B12-peptide conjugates as receptor modulating agents for treating cancers) ΤТ Receptors RL: BPR (Biological process); BSU (Biological study, unclassified); BIOL (Biological study); PROC (Process) (prepn. of vitamin B12 derivs. as receptor modulating agents affecting cell surface receptor trafficking pathway for treating cancers)
- IΤ Neoplasm inhibitors

(prepn. of vitamin B12 derivs. as receptor

modulating agents for treating cancers)

Antibiotics IΤ RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(aminoglycoside, prepn. of vitamin B12 -aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) 57-92-1DP, Streptomycin, vitamin B12 conjugate ΤТ 59-01-8DP, Kanamycin, vitamin B12 conjugate 1403-66-3DP, Gentamycin, vitamin B12 conjugate 1404-04-2DP, Neomycin, vitamin B12 conjugate 7542-37-2DP, Paromomycin, vitamin B12 conjugate 12772-35-9DP, Butirosin, vitamin B12 conjugate 25546-65-0DP, Ribostamycin, vitamin B12 conjugate 32385-11-8DP, Sisomicin, **vitamin B12** conjugate 32986-56-4DP, Tobramycin, **vitamin B12** conjugate 37517-28-5DP, Amikacin, **vitamin B12** conjugate 56391-56-1DP, Netilmicin, vitamin B12 conjugate 160927-56-0P 173341-36-1P 173341-37-2P 173341-38-3P 173341-39-4P 173341-40-7P 173341-41-8P 173341-42-9P 173341-43-0P 173341-44-1P 173341-45-2P 173341-46-3P 173341-47-4P 173341-48-5P 173341-52-1P 173341-54-3P 173341-53-2P RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (prepn. of vitamin B12 derivs. as receptor modulating agents for treating cancers) 99-31-0, 5-Aminoisophthalic acid IT **68-19-9**, Cyanocobalamin 99-63-8, 1,3-Benzenedicarbonyl dichloride 108-30-5, reactions 813-19-4, Bis(tributyltin) 2783-17-7, 1,12-Diaminododecane 769-39-1, 2,3,5,6-Tetrafluorophenol 1711-02-0, 4-Iodobenzoyl chloride 35013-72-0 110079-43-1 RL: RCT (Reactant); RACT (Reactant or reagent) (prepn. of vitamin B12 derivs. as receptor modulating agents for treating cancers) ΤТ 72040-64-3P 173341-22-5P 173341-23-6P 173341-24-7P 173341-25-8P 173341-26-9P 173341-28-1P 173341-27-0P 173341-29-2P 173341-30-5P 173341-31-6P 173341-32-7P 173341-33-8P 173341-34-9P 173341-35-0P 173341-49-6P 173341-50-9P 173341-51-0P 173341-59-8P RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (prepn. of vitamin B12 derivs. as receptor modulating agents for treating cancers) IΤ 173341-56-5P 173341-57-6P 173341-58-7P RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (prepn. of vitamin B12-aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) ΤТ 86-38-4, 6,9-Dichloro-2-methoxyacridine 51857-17-1 99008 - 43 - 2RL: RCT (Reactant); RACT (Reactant or reagent) (prepn. of vitamin B12-aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) ΤТ 7657-92-3P 121714-48-5P RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (prepn. of **vitamin B12**-aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) L15 ANSWER 47 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 119:39993 CA AN OREF 119:7079a,7082a Vitamins as chemotherapeutic and chemopreventive agents ΤI AU Ryan, Donna H.; Starr, Barry Pennington Center Nutrition Series (1993), 3 (Vitamins and Cancer SO Prevention), 147-60 CODEN: PCNSEW; ISSN: 1063-8822 AB A review with 45 refs. Therapy with retinoids has produced objective responses in patients with some types of skin **cancer**, and tretinoin is effective in producing terminal differentiation and complete remission in acute promyelocytic leukemia. Cancer chemoprevention trails are under way evaluating the activity of multiple vitamin prepns., beta-carotene, retinoids, vitamin C, vitamin E, vitamin B12, vitamin B6, and folate. Since carcinogenesis is a multistage process that can occur over decades

in humans, efficient evaluation of chemopreventive agents requires

research strategies utilizing intermediate biol. end points. Preneoplasia, classically defined histol. cellular change, is being redefined by advances in mol. and cell biol. Vitamins have been exploited as unproven remedies to vulnerable **cancer** patients, but now vitamins and their derivs. have an emerging role in **cancer** chemotherapy and chemoprevention.

- AB A review with 45 refs. Therapy with retinoids has produced objective responses in patients with some types of skin cancer, and tretinoin is effective in producing terminal differentiation and complete remission in acute promyelocytic leukemia. Cancer chemoprevention trails are under way evaluating the activity of multiple vitamin prepns., beta-carotene, retinoids, vitamin C, vitamin E, vitamin B12, vitamin B6, and folate. Since carcinogenesis is a multistage process that can occur over decades in humans, efficient evaluation of chemopreventive agents requires research strategies utilizing. . . change, is being redefined by advances in mol. and cell biol. Vitamins have been exploited as unproven remedies to vulnerable cancer patients, but now vitamins and their derivs. have an emerging role in cancer chemotherapy and chemoprevention.
- RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); BIOL (Biological study)

(cancer chemotherapeutic and chemopreventive activity of)

=> file uspatall COST IN U.S. DOLLARS SINCE FILE TOTAL SESSION ENTRY FULL ESTIMATED COST 149.18 175.76 DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS) SINCE FILE TOTAL ENTRY SESSION CA SUBSCRIBER PRICE -1.56 -1.56 FILE 'USPATFULL' ENTERED AT 23:42:50 ON 31 AUG 2009 CA INDEXING COPYRIGHT (C) 2009 AMERICAN CHEMICAL SOCIETY (ACS) FILE 'USPATOLD' ENTERED AT 23:42:50 ON 31 AUG 2009 CA INDEXING COPYRIGHT (C) 2009 AMERICAN CHEMICAL SOCIETY (ACS) FILE 'USPAT2' ENTERED AT 23:42:50 ON 31 AUG 2009 CA INDEXING COPYRIGHT (C) 2009 AMERICAN CHEMICAL SOCIETY (ACS) => s 11 L16 2261 L1 => s (vitamin bl2 or hydroxycobolamin or chlorocobolamin or aquocobolamin or cobolamin or L17 6738 (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCOBOL AMIN OR COBOLAMIN OR AZIDOCOBOLAMIN) => s (vitamin b12 or hydroxycobolamin or chlorocobolamin or aquocobolamin or cobolamin or 888 (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCOBOL L18 AMIN OR COBOLAMIN OR AZIDOCOBOLAMIN)/CLM => s 116 or 117 T.19 7872 L16 OR L17 => s 116 or 118 2538 L16 OR L18 L20 => s (cancer or anti-neoplast? or neoplast? or carcin? or tumor?) 271712 (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?) L21 => s (cancer or anti-neoplast? or neoplast? or carcin? or tumor?)/clm L22 59768 (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?)/CLM => s 119 and 121 4265 L19 AND L21 L23 => s 120 and 122 254 L20 AND L22 T.24 => s leukemia?

57

L25 72327 LEUKEMIA? => s leukemia?/clm 8743 LEUKEMIA?/CLM L26 => s 123 and 125 L27 1851 L23 AND L25 => s 124 and 126 L28 24 L24 AND L26 => d 1-24L28 ANSWER 1 OF 24 USPATFULL on STN Full Text AN 2009:145928 USPATFULL ΤI Lipid compositions for the treatment and prevention of proliferative diseases and for the reduction of incidences of mutagenesis and carinogenesis IΝ Yosef, Fabiana Bar, Haifa, ISRAEL PA Enzymotec Ltd., Migdal Haemek, ISRAEL (non-U.S. corporation) ΡI US 20090131523 A1 20090521 A1 20081014 (12) US 2008-285806 ΑT PRAI US 2007-960798P 20071015 (60) DT Utility FS APPLICATION LN.CNT 1226 INCLM: 514/558.000 TNCL INCLS: 426 2 NCLM: 514/558.000 NCL NCLS: 426/002.000 A61K0031-20 [I,A]; A61K0031-185 [I,C\*]; A23D0007-005 [I,A]; A23D0007-04 [I,A]; A23D0007-02 [I,C\*]; A23L0001-29 [I,A] IC IPCI IPCR A61K0031-185 [I,C]; A61K0031-20 [I,A]; A23D0007-005 [I,C]; A23D0007-005 [I,A]; A23D0007-02 [I,C]; A23D0007-04 [I,A]; A23L0001-29 [I,C]; A23L0001-29 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 2 OF 24 USPATFULL on STN Full Text AN 2009:58740 USPATFULL ΤT Transfer Factor Compositions and Methods Ramaekers, Joseph C., Aptos, CA, UNITED STATES ΤN ΡI US 20090053197 A1 20090226 US 2007-762727 A1 20070613 (11) ΑI US 2006-814777P US 2006-834739P PRAI 20060614 (60) 20060731 (60) DT Utility APPLICATION FS LN.CNT 1798 INCL INCLM: 424/130.100 424/130.100 NCL NCLM: IPCI A61K0039-395 [I,A]; A61P0003-00 [I,A] TC IPCR A61K0039-395 [I,C]; A61K0039-395 [I,A]; A61P0003-00 [I,C]; A61P0003-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 3 OF 24 USPATFULL on STN Full Text 2008:253184 USPATFULL ΑN Advanced drug development and manufacturing ТΤ Birnbaum, Eva R., Los Alamos, NM, UNITED STATES Koppisch, Andrew T., Flagstaff, AZ, UNITED STATES ΤN Baldwin, Sharon M., Santa Fe, NM, UNITED STATES Warner, Benjamin P., Los Alamos, NM, UNITED STATES McCleskey, T. Mark, Los Alamos, NM, UNITED STATES Stewart, Jeffrey Joseph, Los Alamos, NM, UNITED STATES Berger, Jennifer A., Los Alamos, NM, UNITED STATES Harris, Michael N., Los Alamos, NM, UNITED STATES Burrell, Anthony K., Los Alamos, NM, UNITED STATES A1 20080911 A1 20071010 (11) ΡT US 20080220441 US 2007-974156 ΑT

RLI PRAI	PENDING Contin 2002, ABANDON	n-part of Ser. No. US 2001-859701, filed on 16 May 2001, uation-in-part of Ser. No. US 2002-206524, filed on 25 Jul D Continuation-in-part of Ser. No. US 2003-621825, filed , Pat. No. US 6858148 P 20061010 (60)					
DT	Utility						
FS LN.CNT	APPLICATION 10199						
INCL	INCLM: 435/07						
NCL	NCLM: 435/00	.000; 436/172.000; 436/086.000; 378/045.000 .100					
	NCLS: 378/04	.000; 436/086.000; 436/172.000; 436/501.000					
IC		3-53 [I,A]; G01N0021-76 [I,A]; G01N0033-68 [I,A]; 3-223 [I,A]; G01N0023-22 [I,C*]					
	IPCR G01N00	3-53 [I,C]; G01N0033-53 [I,A]; G01N0021-76 [I,C];					
		1-76 [I,A]; G01N0023-22 [I,C]; G01N0023-223 [I,A]; 3-68 [I,C]; G01N0033-68 [I,A]					
CAS IN		ABLE FOR THIS PATENT.					
L28 A	NSWER 4 OF 24	USPATFULL on STN					
<u>Full T</u>							
AN TI		SPATFULL Hyaluronan Synthesis and Degradation in the Treatment of					
<b>T</b> ) 1	Disease						
IN	Brownlee, Gar	Jean, Flemington, AUSTRALIA Russell, East Burwood, AUSTRALIA					
PA	ALCHEMIA ONCO	OGY LIMITED, Eight Mile Plains, AUSTRALIA, 4113 (non-U.S.					
PI	corporation) US 2007028685	A1 20071213					
AI	US 2004-57490 WO 2004-AU138						
		20070228 PCT 371 date					
PRAI	AU 2003-90555 AU 2003-39066						
DT	Utility	20031201					
FS LN.CNT	APPLICATION 8892						
INCL	INCLM: 424/13						
		.100; 424/142.100; 514/044.000; 530/387.100; 530/387.300; .100; 530/389.100; 536/022.100; 536/023.200; 536/024.500					
NCL	NCLM: 424/13	.100					
		.100; 424/142.100; 514/044.000A; 530/387.100; 530/387.300; .100; 530/389.100; 536/022.100; 536/023.200; 536/024.500					
IC	IPCI A61K00	8-00 [I,A]; A61K0039-395 [I,A]; A61P0043-00 [I,A];					
		1-04 [I,A]; C07H0021-00 [I,C*]; C07K0016-18 [I,A] 8-00 [I,C]; A61K0048-00 [I,A]; A61K0031-395 [I,C*];					
		1-395 [I,A]; A61K0031-7105 [I,C*]; A61K0031-7105 [I,A]; 1-711 [I,C*]; A61K0031-711 [I,A]; A61K0031-7115 [I,C*];					
	A61K00	1-7115 [I,A]; A61K0031-712 [I,C*]; A61K0031-712 [I,A];					
		1-7125 [I,C*]; A61K0031-7125 [I,A]; A61K0039-395 [I,C]; 9-395 [I,A]; A61P0035-00 [I,C*]; A61P0035-00 [I,A];					
	A61P00	3-00 [I,C]; A61P0043-00 [I,A]; C07H0021-00 [I,C];					
		1-02 [I,A]; C07H0021-04 [I,A]; C07K0016-18 [I,C]; 6-18 [I,A]; C07K0016-40 [I,C*]; C07K0016-40 [I,A]					
CAS IN		ABLE FOR THIS PATENT.					
L28 A	NSWER 5 OF 24	USPATFULL on STN					
<u>Full T</u>	<u>ext</u>						
AN TI		SPATFULL composition and method of use for treatment / prevention					
<b>T</b> ) 1	of cancer	• •					
IN		eth, Tallahassee, FL, UNITED STATES , Tallahassee, FL, UNITED STATES					
PI	US 20070248693 A1 20071025						
AI RLI	US 2007-71188: Continuation-	A1 20070227 (11) n-part of Ser. No. US 2005-233279, filed on 20 Sep 2005,					
		inuation-in-part of Ser. No. US 2004-909590, filed on 2					
PRAI	US 2003-49184	P 20030802 (60)					
DT	US 2004-54052. Utility	P 20040129 (60)					
FS	APPLICATION						
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LN.CNT 2576 INCL INCLM: 424/725.000 424/725.000 NCL NCLM: TC IPCI A61K0036-00 [I,A]; A61P0035-00 [I,A] IPCR A61K0036-00 [I,C]; A61K0036-00 [I,A]; A61P0035-00 [I,C]; A61P0035-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 6 OF 24 USPATFULL on STN Full Text AN 2007:257306 USPATFULL COBALAMIN COMPOSITIONS FOR THE TREATMENT OF CANCER ΤI ΤN Brown, Chad, Newport Beach, CA, UNITED STATES PA BEBAAS, INC. (U.S. corporation) US 20070225250 ΡI A1 20070927 US 2007-627816 ΑT A1 20070126 (11) PRAI US 2006-762131P 20060126 (60) DT Utility FS APPLICATION LN.CNT 699 INCL INCLM: 514/052.000 NCL NCLM: 514/052.000 TC A61K0031-714 [I,A]; A61K0031-7135 [I,C\*] TPCT A61K0031-7135 [I,C]; A61K0031-714 [I,A] IPCR CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 7 OF 24 USPATFULL on STN Full Text 2007:161483 USPATFULL AN ΤI Composition and procedure for tissue creation, regeneration and repair by a cell-bearing biological implant enriched with platelet concentrate and supplements Gorrochategui Barrueta, Alberto, Bilbao, SPAIN ΤN Simon Elizundia, Josu, Bilbao, SPAIN A1 20070621 РT US 20070141036 ΑI US 2007-704784 A1 20070209 (11) Continuation-in-part of Ser. No. US 2003-475866, filed on 24 Oct 2003, RLT. PENDING A 371 of International Ser. No. WO 2002-EP7, filed on 9 Jan 2002 DT Utility APPLICATION FS LN.CNT 1406 INCL INCLM: 424/093.700 NCL NCLM: 424/093.700 A61K0035-14 [I,A] IC IPCI IPCR A61K0035-14 [I,C]; A61K0035-14 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 8 OF 24 USPATFULL on STN Full Text AN 2007:155116 USPATFULL Therapeutic molecules ТΤ ΤN Collier, Greg, Victoria, AUSTRALIA Walder, Ken, Victoria, AUSTRALIA Kerr-Bayles, Lyndal, Victoria, AUSTRALIA PA Autogen Research Pty Ltd., North Brighton, Victoria, AUSTRALIA (non-U.S. corporation) Deakin University, Waurn Ponds, Victoria, AUSTRALIA (non-U.S. corporation) ΡI US 20070135335 A1 20070614 A1 20040210 (10) US 2004-545099 ΑI WO 2004-AU147 20040210 PCT 371 date 20060504 US 2003-446191P PRAI 20030210 (60) Utility DT FS APPLICATION LN.CNT 6649 INCLM: 514/012.000 INCL INCLS: 514/044.000; 530/350.000 NCL NCLM: 514/012.000 NCLS: 514/044.000R; 530/350.000 A61K0038-17 [I,A]; A61K0048-00 [I,A]; C07K0014-705 [I,A]; IC IPCI C07K0014-435 [I,C\*]

IPCR A61K0038-17 [I,C]; A61K0038-17 [I,A]; A61K0048-00 [I,C]; A61K0048-00 [I,A]; C07K0014-435 [I,C]; C07K0014-705 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 9 OF 24 USPATFULL on STN Full Text AN 2007:30123 USPATFULL Detection of variations in the dna methylation profile TΤ Berlin, Kurt, Stahnsdorf, GERMANY, FEDERAL REPUBLIC OF ΤN Piepenbrock, Christian, Berlin, GERMANY, FEDERAL REPUBLIC OF Olek, Alexander, Berlin, GERMANY, FEDERAL REPUBLIC OF A1 20070201 A1 20010406 (10) ΡI US 20070026393 US 2001-240970 ΑI WO 2001-DE1486 20010406 20030711 PCT 371 date PRAI DE 2000-100190588 20000406 DT Utility APPLICATION FS LN.CNT 16100 INCLM: 435/006.000 INCL INCLS: 536/024.300 NCL NCLM: 435/006.000 NCLS: 536/024.300 C12Q0001-68 [I,A]; C07H0021-04 [I,A]; C07H0021-00 [I,C\*] IC IPCI C12Q0001-68 [I,C]; C12Q0001-68 [I,A]; C07H0021-00 [I,C]; IPCR C07H0021-04 [I,A]; C07K0014-435 [I,C\*]; C07K0014-47 [I,A]; C07K0014-82 [I,C\*]; C07K0014-82 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 10 OF 24 USPATFULL on STN Full Text AN 2006:248357 USPATFULL Use of phenylmethimazoles, methimazole derivatives, and tautomeric ТΤ cyclic thiones for the treatment of autoimmune/inflammatory diseases associated with toll-like receptor overexpression Kohn, Leonard D., Athens, OH, UNITED STATES Harii, Norikazu, Yaminashi, JAPAN ΙN Benavides-Peralta, Uruguaysito, Montevideo, URUGUAY Gonzalez-Murguiondo, Mariana, Montevideo, URUGUAY Lewis, Christopher J., Athens, OH, UNITED STATES Napolitano, Giorgio, Pescara, ITALY Giuliani, Cesidio, Roccamonce, ITALY Malgor, Ramiro, Athens, OH, UNITED STATES Goetz, Douglas J., Athens, OH, UNITED STATES A1 20060921 РT US 20060211752 ΑT US 2005-130922 A1 20050517 (11) Continuation-in-part of Ser. No. US 2004-912948, filed on 6 Aug 2004, RLT. PENDING Continuation-in-part of Ser. No. US 2004-801986, filed on 16 Mar 2004, PENDING DT Utility FS APPLICATION LN.CNT 8384 INCL INCLM: 514/389.000 NCL NCLM: 514/389.000 A61K0031-4166 [I,A]; A61K0031-4164 [I,C\*] IC IPCI IPCR A61K0031-4164 [I,C]; A61K0031-4166 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 11 OF 24 USPATFULL on STN Full Text 2006:41329 USPATFULL AN ΤT Inhibition of anaerobic glucose metabolism and corresponding composition as a natural non-toxic approach to cancer treatment Mazzio, Elizabeth Anne, Tallahassee, FL, UNITED STATES IN Soliman, Karam F., Tallahassee, FL, UNITED STATES ΡT US 20060035981 A1 20060216 A1 US 2005-233279 20050920 (11) ΑI Continuation-in-part of Ser. No. US 2004-909590, filed on 2 Aug 2004, RLI ABANDONED US 2003-491841P 20030802 (60) PRAI US 2004-540525P 20040129 (60) DT Utility

FS	APPLIC.	ATION
LN.CNT INCL	INCLM:	514/690.000 514/045.000; 514/051.000; 514/027.000; 514/251.000; 424/725.000; 424/748.000; 424/756.000; 424/745.000; 424/746.000; 424/729.000
NCL	NCLM: NCLS:	424/748.000; 424/758.000; 424/745.000; 424/746.000; 424/729.000 514/690.000 424/725.000; 424/729.000; 424/745.000; 424/746.000; 424/748.000;
IC	IPCI	424/756.000; 514/027.000; 514/045.000; 514/051.000; 514/251.000 A61K0031-12 [I,A]; A61K0031-7072 [I,A]; A61K0031-7076 [I,A]; A61K0031-7042 [I,C*]; A61K0031-525 [I,A]; A61K0031-519 [I,C*]; A61K0036-328 [I,A]; A61K0036-23 [I,A]; A61K0036-185 [I,C*];
	IPCR	A61K0036-906 [I,A]; A61K0036-88 [I,C*] A61K0031-12 [I,A]; A61K0031-12 [I,C]; A61K0031-519 [I,C]; A61K0031-525 [I,A]; A61K0031-7042 [I,C]; A61K0031-7072 [I,A]; A61K0031-7076 [I,A]; A61K0036-185 [I,C]; A61K0036-23 [I,A]; A61K0036-328 [I,A]; A61K0036-537 [I,A]; A61K0036-82 [I,A]; A61K0036-88 [I,C]; A61K0036-906 [I,A]
CAS IN	DEXING	IS AVAILABLE FOR THIS PATENT.
L28 Al <u>Full T</u>		2 OF 24 USPATFULL on STN
AN TI		9438 USPATFULL y and pharmaceutical compositions for management and treatment of ive stress
IN	Ellith	orpe, Rita R., Santa Ana, CA, UNITED STATES ev, Vladimir I., Coeur d'Alene, CA, UNITED STATES
PI	Dimitr US 200	ov, Todor, Chestnut Hill, MA, UNITED STATES 50059579 A1 20050317
AI PRAI	SN 200	4-794285 A1 20040308 (10) 3-10455123 20030506
DT FS	Utilit APPLIC	
LN.CNT INCL		514/008.000
NCL IC	NCLM:	514/008.000
10	ICM	A61K038-16
	IPCI IPCR	A61K0038-16 [ICM,7] A23L0001-305 [I,C*]; A23L0001-305 [I,A]; A61K0031-01 [I,C*];
		A61K0031-015 [I,A]; A61K0031-352 [I,C*]; A61K0031-352 [I,A]; A61K0036-185 [I,C*]; A61K0036-185 [I,A]; A61K0038-16 [I,C*];
CAS IN	DEXING	A61K0038-16 [I,A] IS AVAILABLE FOR THIS PATENT.
L28 Al Full T		3 OF 24 USPATFULL on STN
AN	2004:1	
TI IN	Costa,	ve method of standardized drinks and potable water production Fortunato, Linda-a-Velha, PORTUGAL
PI AI	US 200	40013784 A1 20040122 3-239621 A1 20030127 (10)
PRAI	WO 200 PT 200	1-PT3 20010315 0-102430 20000316
DT FS	Utilit APPLIC	
LN.CNT INCL		426/590.000
NCL IC	NCLM:	426/590.000
10	ICM	C12C001-00 C12C0001-00 [ICM,7]
	IPCI IPCR	A23L0001-29 [I,C*]; A23L0001-29 [I,A]; A23L0002-52 [I,C*];
CAS IN	DEXING	A23L0002-52 [I,A]; C02F0001-68 [I,C*]; C02F0001-68 [I,A] IS AVAILABLE FOR THIS PATENT.
	NSWER 1 ext	4 OF 24 USPATFULL on STN
AN TI	2003:2 Genost	
IN	Robert	s, Gareth Wyn, Cambs, UNITED KINGDOM
PA PI		IC PHARMA LIMITED (non-U.S. corporation) 30198970 A1 20031023
		Teva – Fresenius

A1 20020729 (10) ΑI US 2002-206568 RLI Continuation of Ser. No. US 1999-325123, filed on 3 Jun 1999, ABANDONED 19980606 PRAT GB 1998-12098 GB 1998-28289 19981223 Utility DT FS APPLICATION LN.CNT 4299 INCLM: 435/006.000 TNCL INCLS: 536/024.300 NCL NCLM: 435/006.000 NCLS: 536/024.300 IC [7] ICM C12Q001-68 ICS C07H021-04 IPCI C12Q0001-68 [ICM,7]; C07H0021-04 [ICS,7]; C07H0021-00 [ICS,7,C\*] TPCR C07K0016-18 [I,C\*]; C07K0016-18 [I,A]; C12Q0001-68 [I,C\*]; C12Q0001-68 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 15 OF 24 USPATFULL on STN Full Text AN 2003:112524 USPATFULL Compositions for treating animal diseases and syndromes ТΤ IN Ramaekers, Joseph C., Aptos, CA, UNITED STATES US 20030077254 A1 20030424 РT US 6962718 В2 20051108 AI US 2002-136854 A1 20020430 (10) Continuation-in-part of Ser. No. US 2001-847036, filed on 30 Apr 2001, RLT. PENDING DT Utility FS APPLICATION LN.CNT 2396 INCL INCLM: 424/093.300 INCLS: 424/617.000; 424/602.000; 424/094.500; 424/703.000; 514/168.000; 514/558.000; 514/251.000; 514/393.000; 514/356.000; 514/276.000 424/535.000; 424/093.300 424/093.400; 424/093.510; 424/400.000; 424/520.000; 424/725.000; 424/094.500; 424/602.000; 424/617.000; 424/703.000; 514/168.000; NCL NCLM: NCLS: 514/251.000; 514/276.000; 514/356.000; 514/393.000; 514/558.000 IC [7] ICM A61K045-00 ICS A61K038-52; A61K031-525 TPCT A61K0045-00 [ICM,7]; A61K0038-52 [ICS,7]; A61K0038-43 [ICS,7,C\*]; A61K0031-525 [ICS,7]; A61K0031-519 [ICS,7,C\*] IPCI-2 A61K0035-20 [ICM,7]; A61K0035-72 [ICS,7]; A61K0035-74 [ICS,7]; A61K0035-66 [ICS,7,C\*]; A61K0035-78 [ICS,7] A61K0038-19 [I,C\*]; A61K0038-19 [I,A] IPCR CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 16 OF 24 USPATFULL on STN Full Text AN 2002:337325 USPATFULL Fluorescent cobalamins and uses thereof ТΤ IΝ Grissom, Charles B., Salt Lake City, UT, UNITED STATES West, Frederick G., Salt Lake City, UT, UNITED STATES McGreevy, James, Salt Lake City, UT, UNITED STATES Bentz, Joel S., Salt Lake City, UT, UNITED STATES Cannon, Michelle J., Price, UT, UNITED STATES ΡI US 20020192683 A1 20021219 20040928 US 6797521 В2 ΑT US 2002-97646 A1 20020315 (10) Continuation-in-part of Ser. No. WO 2000-US29370, filed on 26 Oct 2000, RLI UNKNOWN PRAI US 1999-161368P 19991026 (60) US 2001-276036P 20010316 (60) DT Utility FS APPLICATION LN.CNT 1337 INCL INCLM: 435/006.000 INCLS: 536/026.440 436/505.000; 435/006.000 435/004.000; 435/007.100; 435/007.210; 435/007.230; 436/063.000; NCL NCLM: NCLS: Teva – Fresenius

- 0		436/064.000; 436	5/164.000; 436/172.000; 514/052.000; 536/026.440	
IC CAS IN	IPCR	G01N0033-567 [IC A61B0001-04 [I,C A61B0001-313 [N, A61B0019-00 [N,C A61K0047-48 [I,A C07F0015-00 [I,C C09K0011-06 [I,A G01N0033-52 [I,C G01N0033-574 [I,	<pre>1,7]; C07H0023-00 [ICS,7] CM,7]; A61K0031-70 [ICS,7]; C07H0023-00 [ICS,7] C*]; A61B0001-04 [I,A]; A61B0001-313 [N,C*]; A]; A61B0005-00 [N,C*]; A61B0005-00 [N,A]; C*]; A61B0019-00 [N,A]; A61K0047-48 [I,C*]; A61K0049-00 [I,C*]; A61K0049-00 [I,A]; C*]; C07F0015-06 [I,A]; C09K0011-06 [I,C*]; A]; G01N0021-64 [N,C*]; G01N0021-64 [N,A]; C*]; G01N0033-52 [I,A]; G01N0033-574 [I,C*]; A]; G01N0033-58 [I,C*]; G01N0033-58 [I,A]; C*]; G02B0021-00 [I,A] THIS PATENT.</pre>	
		7 OF 24 USPATFUL	L on STN	
<u>Full T</u> AN		06597 USPATFULL		
TI IN			very of bioactive agents Alt Lake City, UT, UNITED STATES	
	West, 1	Frederick G., Sal	t Lake City, UT, UNITED STATES	
PI	US 2002	, Allen w., JR., 20111294 Al	Dexter, MI, UNITED STATES 20020815	
AI	US 6791	0827 В2	20040914 20011022 (9)	
RLI	Divisi	on of Ser. No. US	5 1999-202328, filed on 22 Oct 1999, PATENTED A	
	371 of UNKNOWI		er. No. WO 1997-US14140, filed on 22 Aug 1997,	
PRAI		6-24430P 6-25036P	19960827 (60) 19960827 (60)	
DT	Utilit		19900827 (80)	
FS LN.CNT	APPLIC 2337	ATION		
INCL	INCLM:	514/006.000		
NCL		514/044.000; 424 514/006.000	1/043.000	
	NCLS:		<pre>4/001.530; 424/001.690; 435/091.100; 435/091.310; 5/455.000; 514/001.000; 514/002.000; 514/004.000;</pre>	
			5/024.500; 424/043.000; 514/044.000A	
IC	[7] ICM	A61K048-00		
	ICS IPCI		<pre>K038-17; A61K009-00 [ICS,7]; A61K0038-17 [ICS,7]; </pre>	
		A61K0009-00 [ICS	5,7]	
	IPCI-2	C12N0011-00 [ICS	1,7]; A61K0051-00 [ICS,7]; C12N0011-06 [ICS,7]; 3,7,C*]; C12P0019-34 [ICS,7]; C12P0019-00 10021-04 [ICS,7]; C07H0021-00 [ICS,7,C*]	
	IPCR	A61K0041-00 [I,C	<pre>C*]; A61K0041-00 [I,A]; A61K0047-48 [I,C*];</pre>	
CAS IN	DEXING :	A61KUU47-48 [1,A IS AVAILABLE FOR	A]; C07H0021-00 [I,C*]; C07H0021-00 [I,A] THIS PATENT.	
	NSWER 1	8 OF 24 USPATFUL	L on STN	
<u>Full T</u> AN	<u>ext</u> 2002:92	2630 USPATFULL		
TI	Biocon	jugates and deliv	very of bioactive agents	
IN	West, 1	Frederick G., Sal	ALT LAKE CITY, UT, UNITED STATES T LAKE CITY, UT, UNITED STATES	
PA	Howard	, W. Allen, JR.,	Dexter, MN, UNITED STATES earch Foundation, Salt Lake City, UT, UNITED	
	STATES	, 84108 (U.S. cor	poration)	
PI	US 2002 US 677	20049154 A1 7237 B2	20020425 20040817	
AI	US 200	1-982968 A1	20011022 (9)	
RLI			3 1999-202328, filed on 22 Oct 1999, GRANTED, Pat. 5 International Ser. No. WO 1997-US14140, filed or	
PRAI	22 Aug	1997, UNKNOWN 6-24430P	19960827 (60)	
	US 1990	6-25036P	19960827 (60)	
DT FS	Utilit APPLIC	-		
			Tova Fresonius	

LN.CNT INCL		514/006.000				
NCL	INCLS: NCLM: NCLS:	514/044.000; 604/020.000 435/455.000; 514/006.000 424/001.110; 424/001.530; 424/001.690; 424/001.730; 435/091.100; 435/091.310; 435/181.000; 514/001.000; 514/002.000; 514/004.000; 514/006.000; 536/023.100; 536/024.500; 514/044.000A; 604/020.000				
IC	[7] ICM ICS IPCI IPCI-2	A61K038-16 A61K048-00; A61N001-30 A61K0038-16 [ICM,7]; A61K0048-00 [ICS,7]; A61N0001-30 [ICS,7] A61K0051-00 [ICM,7]; A61K0038-16 [ICS,7]; C12N0011-06 [ICS,7]; C12N0011-00 [ICS,7,C*]; C12P0019-34 [ICS,7]; C12P0019-00				
CAS IN	IPCR DEXING	<pre>[ICS,7,C*]; C07H0021-04 [ICS,7]; C07H0021-00 [ICS,7,C*] A61K0041-00 [I,C*]; A61K0041-00 [I,A]; A61K0047-48 [I,C*]; A61K0047-48 [I,A]; C07H0021-00 [I,C*]; C07H0021-00 [I,A] IS AVAILABLE FOR THIS PATENT.</pre>				
		9 OF 24 USPATFULL on STN				
Full T	<u>ext</u>					
AN TI	Compos	88 USPATFULL itions and method for simultaneous multiple array of analytes				
IN		radioisotope chelate labels Douglas R., Doylestown, PA, United States				
PA		cromedic Systems, Inc., Costa Mesa, CA, United States (U.S.				
PI	US 467	2028 19870609				
AI DT	US 198 Utilit	4-612979 19840523 (6)				
FS	Grante	2				
LN.CNT INCL		435/005.000				
		435/007.000; 435/017.000; 435/026.000; 435/810.000; 436/500.000;				
		436/505.000; 436/510.000; 436/536.000; 436/542.000; 436/545.000; 436/804.000; 436/808.000; 436/811.000; 436/813.000; 436/814.000;				
NCT	NCT M.	436/816.000; 436/817.000; 436/818.000; 436/820.000; 436/826.000 435/005.000				
NCL	NCLM: NCLS:	435/007.230; 435/007.400; 435/017.000; 435/026.000; 435/810.000;				
		435/973.000; 435/975.000; 436/500.000; 436/505.000; 436/510.000; 436/536.000; 436/542.000; 436/545.000; 436/804.000; 436/808.000;				
		436/811.000; 436/813.000; 436/814.000; 436/816.000; 436/817.000;				
IC	[4]	436/818.000; 436/820.000; 436/826.000				
	ICM ICS	G01N033-53 G01N033-567; G01N033-536				
	IPCI	G01N0033-53 [ICM,4]; G01N0033-567 [ICS,4]; G01N0033-536 [ICS,4]				
	IPCR	A61K0035-66 [I,C*]; A61K0035-74 [I,A]; A61K0038-00 [I,C*]; A61K0038-00 [I,A]; A61K0038-22 [I,C*]; A61K0038-22 [I,A];				
		A61K0038-24 [I,C*]; A61K0038-24 [I,A]; C07F0015-00 [I,C*]; C07F0015-00 [I,A]; C07H0015-00 [I,C*]; C07H0015-00 [I,A];				
		C07H0023-00 [I,C*]; C07H0023-00 [I,A]; G01N0033-534 [I,C*];				
		G01N0033-534 [I,A]; G01N0033-60 [I,C*]; G01N0033-60 [I,A]; G01N0033-74 [I,C*]; G01N0033-74 [I,A]				
EXF		6; 436/542; 436/545; 436/500; 436/505; 436/510; 436/804; 436/808;				
		1; 436/813; 436/814; 436/817; 436/818; 436/816; 436/820; 436/826; 435/7; 435/4; 435/17; 435/26; 435/810				
CAS INDEXING IS AVAILABLE FOR THIS PATENT.						
L28 ANSWER 20 OF 24 USPAT2 on STN						
<u>Full Text</u> AN 2005:49435 USPAT2						
TI	Methods of increasing delivery of active agents to brain comprising administering receptor associated protein (RAP) fragments conjugated to					
IN	active agents Zankel, Todd, San Francisco, CA, UNITED STATES					
PA	Starr, Christopher M., Sonoma, CA, UNITED STATES Raptor Pharmaceutical Inc., Novato, CA, UNITED STATES (U.S. corporation)					
PI	US 7569544 B2 20090804					
AI RLI	US 2004-812849 20040330 (10) Continuation-in-part of Ser. No. US 2003-600862, filed on 20 Jun 2003,					
DT	ABANDO Utilit					
		<u>∠</u> <u> </u>				

FS LN.CNT INCL NCL IC	INCLM: NCLM: IPCI	D 514/012.000 514/012.000 A61K0048-00 [ICM,7]; A61K0039-395 [ICS,7] A61K0038-18 [I,A]; C07K0019-00 [I,A]; C07K0014-435 [I,A]; C07K0014-48 [I,A]; C07K0014-485 [I,A]; C07K0014-50 [I,A] A61K0038-17 [I,C*]; A61K0038-17 [I,A]; A61K0039-395 [I,C*]; A61K0039-395 [I,A]; A61K0048-00 [I,C*]; A61K0048-00 [I,A]; C07K0014-435 [I,C*]; C07K0014-705 [I,A]					
CAS IN	DEXING :	IS AVAILABLE FOR THIS PATENT.					
	NSWER 21 ext	1 OF 24 USPAT2 on STN					
AN TI		3594 USPAT2 multiple antioxidant micronutrients as systemic biological					
IN	radiop	, Kedar N., Denver, CO, UNITED STATES					
PA	Haase, Cole, W	Gerald M., Greenwood Village, CO, UNITED STATES William C., Centennial, CO, UNITED STATES r Micronutrient Corporation, Nashville, TN, UNITED STATES (U.S.					
PI	corpora US 7449						
AI DT	US 2002 Utility	2-229274 20020828 (10)					
FS LN.CNT	GRANTEI						
INCL	INCLM:	514/052.000 514/251.000; 514/184.000; 514/393.000; 514/350.000; 514/167.000; 514/474.000; 514/458.000; 514/440.000; 514/552.000; 514/276.000;					
NCL	NCLM:	514/562.000; 514/494.000; 514/574.000; 514/763.000 514/052.000					
	NCLS:	514/167.000; 514/184.000; 514/251.000; 514/276.000; 514/350.000; 514/393.000; 514/440.000; 514/458.000; 514/474.000; 514/494.000;					
IC	IPCI	514/552.000; 514/562.000; 514/574.000; 514/763.000 A61K0031-714 [ICM,7]; A61K0031-7135 [ICM,7,C*]; A61K0031-59					
		<pre>[ICS,7]; A61k0031-555 [ICS,7]; A61k0031-525 [ICS,7]; A61k0031-519 [ICS,7,C*]; A61k0031-51 [ICS,7]; A61k0031-506 [ICS,7,C*];</pre>					
		A61K0031-4184 [ICS,7]; A61K0031-4164 [ICS,7,C*]; A61K0031-015 [ICS,7]; A61K0031-01 [ICS,7,C*]					
	IPCI-2	A61K0031-714 [I,A]; A61K0031-7135 [I,C*]; A61K0031-59 [I,A]; A61K0031-555 [I,A]; A61K0031-525 [I,A]; A61K0031-519 [I,C*];					
		A61K0031-51 [I,A]; A61K0031-506 [I,C*]; A61K0031-4184 [I,A]; A61K0031-4164 [I,C*]; A61K0031-015 [I,A]; A61K0031-01 [I,C*]					
	IPCR	A61K0031-7135 [I,C]; A61K0031-714 [I,A]; A61K0031-01 [I,C]; A61K0031-015 [I,A]; A61K0031-4164 [I,C]; A61K0031-4184 [I,A];					
		A61K0031-506 [I,C]; A61K0031-51 [I,A]; A61K0031-519 [I,C]; A61K0031-525 [I,A]; A61K0031-555 [I,C]; A61K0031-555 [I,A];					
EXF	514/52	A61K0031-59 [I,C]; A61K0031-59 [I,A]; 514/167; 514/184; 514/251; 514/276; 514/350; 514/393; 514/440;					
<u> </u>		8; 514/474; 514/494; 514/552; 514/562; 514/574; 514/763; 514/188;					
CAS IN		IS AVAILABLE FOR THIS PATENT.					
AN TI	2002:33	37325 USPAT2 scent cobalamins and uses thereof					
IN	Grisson	m, Charles B., Salt Lake City, UT, United States					
	McGreev	Frederick G., Salt Lake City, UT, United States vy, James, Salt Lake City, UT, United States					
	Cannon	Joel S., Salt Lake City, UT, United States , Michelle J., Price, UT, United States					
PA	States	sity of Utah Research Foundation, Salt Lake City, UT, United (U.S. corporation)					
PI AI		2-97646 20020315 (10)					
RLI PRAI	US 1999 US 2001	uation-in-part of Ser. No. WO 2000-US29370, filed on 26 Oct 2000 9-161368P 19991026 (60) 1-276036P 20010316 (60)					
DT FS	Utility GRANTE						

	1107				
LN.CNT INCL		436/505.000			
	INCLS:	514/052.000; 536/026.440; 435/004.000; 435/007.100; 435/007.210; 435/007.230; 436/063.000; 436/064.000; 436/164.000; 436/172.000			
NCL	NCLM:	436/505.000; 435/006.000			
	NCLS:	435/004.000; 435/007.100; 435/007.210; 435/007.230; 436/063.000; 436/064.000; 436/164.000; 436/172.000; 514/052.000; 536/026.440			
IC	[7]				
	ICM ICS	G01N033-567 A61K031-70; C07H023-00			
	IPCI	C12Q0001-68 [ICM,7]; C07H0023-00 [ICS,7]			
	IPCI-2 IPCR	G01N0033-567 [ICM,7]; A61K0031-70 [ICS,7]; C07H0023-00 [ICS,7] A61B0001-04 [I,C*]; A61B0001-04 [I,A]; A61B0001-313 [N,C*];			
		A61B0001-313 [N,A]; A61B0005-00 [N,C*]; A61B0005-00 [N,A]; A61B0019-00 [N,C*]; A61B0019-00 [N,A]; A61K0047-48 [I,C*];			
		A61K0047-48 [I,A]; A61K0049-00 [I,C*]; A61K0049-00 [I,A];			
		C07F0015-00 [I,C*]; C07F0015-06 [I,A]; C09K0011-06 [I,C*]; C09K0011-06 [I,A]; G01N0021-64 [N,C*]; G01N0021-64 [N,A];			
		G01N0033-52 [I,C*]; G01N0033-52 [I,A]; G01N0033-574 [I,C*]; G01N0033-574 [I,A]; G01N0033-58 [I,C*]; G01N0033-58 [I,A];			
		G02B0021-00 [I,C*]; G02B0021-00 [I,A]			
EXF CAS IN		.44; 514/52; 436/505 IS AVAILABLE FOR THIS PATENT.			
		3 OF 24 USPAT2 on STN			
L28 A Full T		S OF 24 USPAI2 ON SIN			
AN TI		06597 USPAT2 jugates and delivery of bioactive agents			
IN	Grisso	m, Charles B., Salt Lake City, UT, United States			
	Howard	Frederick G., Salt Lake City, UT, United States , Jr., W. Allen, Dexter, MI, United States			
PA		sity of Utah Research Foundation, Salt Lake City, UT, United (U.S. corporation)			
PI	US 679	0827 B2 20040914			
AI RLI		1-982940 20011022 (9) on of Ser. No. US 202328, now patented, Pat. No. US 6315978			
PRAI		6-24430P 19960827 (60) 6-25036P 19960827 (60)			
DT	Utilit	У			
FS LN.CNT	GRANTE 2388	D			
INCL		514/006.000 424/001.110; 424/001.530; 424/001.690; 435/091.310; 435/091.100;			
	111010.	435/181.000; 435/455.000; 514/001.000; 514/002.000; 514/004.000;			
NCL	NCLM:	536/023.100; 536/024.500 514/006.000			
	NCLS:	424/001.110; 424/001.530; 424/001.690; 435/091.100; 435/091.310; 435/181.000; 435/455.000; 514/001.000; 514/002.000; 514/004.000;			
- 0		536/023.100; 536/024.500; 424/043.000; 514/044.000A			
IC	[7] ICM	A61K038-16			
	ICS IPCI	A61K051-00; C12N011-06; C12P019-34; C07H021-04 A61K0048-00 [ICM,7]; A61K0051-00 [ICS,7]; A61K0038-17 [ICS,7];			
		A61K0009-00 [ICS,7]			
	IPCI-2	A61K0038-16 [ICM,7]; A61K0051-00 [ICS,7]; C12N0011-06 [ICS,7]; C12N0011-00 [ICS,7,C*]; C12P0019-34 [ICS,7]; C12P0019-00			
	IPCR	<pre>[ICS,7,C*]; C07H0021-04 [ICS,7]; C07H0021-00 [ICS,7,C*] A61K0041-00 [I,C*]; A61K0041-00 [I,A]; A61K0047-48 [I,C*];</pre>			
<b>T</b> .V.T		A61K0047-48 [I,A]; C07H0021-00 [I,C*]; C07H0021-00 [I,A]			
EXF	435/91	11; 424/1.69; 424/1.53; 424/9.361; 424/193.1; 435/6; 435/91.1; .31; 435/455; 435/181; 514/1; 514/2; 514/4; 514/6; 514/44;			
536/23.1; 536/24.5 CAS INDEXING IS AVAILABLE FOR THIS PATENT.					
<u>Full T</u>	<u>ext</u>				
AN TI	2002:9 Biocon	2630 USPAT2 jugates and delivery of bioactive agents			
IN	Grisso	m, Charles B., Salt Lake City, UT, United States			
	Howard	Frederick G., Salt Lake City, UT, United States , Jr., Allen W., Dexter, MI, United States			
PA	Univer	sity of Utah Research Foundation, Salt Lake City, UT, United			
		Teva – Fresenius			

Exhibit 1002-00183

PI AI RLI PRAI DT FS LN.CNT	US 677 US 200 Divisi US 199 US 199 Utilit GRANTE	1-982968 20011022 (9) on of Ser. No. US 202328, now pa 6-24430P 19960827 (60) 6-25036P 19960827 (60) Y	tented, Pat. No.	US 6315978		
	INCLS:	435/455.000 424/001.690; 424/001.110; 424/0 435/091.310; 435/181.000; 514/0 514/006.000; 536/023.100; 536/0	01.000; 514/002.0			
NCL	NCLM: NCLS:	435/455.000; 514/006.000 424/001.110; 424/001.530; 424/0 435/091.310; 435/181.000; 514/0 514/006.000; 536/023.100; 536/0	01.000; 514/002.0	000; 514/004.000;		
IC	ICS IPCI	A61K051-00 A61K038-16; C12N011-06; C12P019 A61K0038-16 [ICM, 7]; A61K0048-0 A61K0051-00 [ICM, 7]; A61K0038-1 C12N0011-00 [ICS, 7, C*]; C12P001 [ICS, 7, C*]; C07H0021-04 [ICS, 7] A61K0041-00 [I, C*]; A61K0041-00 A61K0047-48 [I, A]; C07H0021-00	0 [ICS,7]; A61N0( 6 [ICS,7]; C12N0( 9-34 [ICS,7]; C12; ; C07H0021-00 [IC [I,A]; A61K0047-	011-06 [ICS,7]; 2P0019-00 CS,7,C*] -48 [I,C*];		
EXF		435/91.1; 435/91.31; 435/181; 4 514/44; 424/1.11; 424/1.53; 424	35/455; 514/1; 51	14/2; 514/4;		
CAS INDEXING IS AVAILABLE FOR THIS PATENT.						
=> log y COST IN U.S. DOLLARS SINCE FILE TOTAL ENTRY SESSION						
FULL E	FULL ESTIMATED COST32.58208.34					
	NT AMOU SCRIBER	NTS (FOR QUALIFYING ACCOUNTS) PRICE	SINCE FILE ENTRY 0.00			

STN INTERNATIONAL LOGOFF AT 23:45:29 ON 31 AUG 2009

## <u>PATENT APPLICATION</u> IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	Clet Niyikiza	Group Art	Unit: 1614
Serial No.:	11/776,329	Examiner:	Weddington, Kevin
Application Date:	July 11, 2007	Conf No.: (	6568
For:	NOVEL ANTIFOLATE COMBI	NATION TH	HERAPIES
Docket No.:	X14173B		

## **COMMUNICATION**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated February 18, 2009, Applicants submit the following remarks in connection with the above-identified patent application:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.

#### Amendments to the Claims

The following listing of claims will replace all prior versions, and listings, of claims in the application:

#### Listing of Claims:

Claims 1-39 (Cancelled)

40. (Currently amended) A method for administering pemetrexed disodium to a patient in need thereof comprising administering an effective amount of pemetrexed disodium in combination with a methylmalonic acid lowering agent, wherein:

the methylmalonic <u>acid</u> lowering agent is selected from the group consisting of vitamin B<sub>12</sub>, hydroxycobolamin, cyano-10-chlorocobolamin, aquocobolamin perchlorate, aquo-10 cobolamin perchlorate, azidocobolamin or chlorocobolamin;

the methylmalonic acid lowering agent is administered from about 1 week to about 3 weeks prior to the first administration of the pemetrexed disodium; and

the methylmalonic acid <u>lowering agent</u> administration is repeated about every 6 to about every 12 weeks until administration of the pemetrexed disodium is discontinued.

41. (previously presented) The method of claim 40, wherein the methylmalonic lowering agent is vitaminB<sub>12</sub>.

42. (previously presented) The method of claim 41, wherein the vitamin  $B_{12}$  is administered as an intramuscular injection of about 500 µg to about 1500 µg.

43. (previously presented) The method of claim 42, wherein the vitamin  $B_{12}$  is administered as an intramuscular injection of about 1000 µg.

44. (previously presented) The method of claim 41, 42 or 43, wherein the vitamin B<sub>12</sub> administration is repeated about every 9 weeks until the administration of the pemetrexed disodium is discontinued.

45. (currently amended) The method of claim 44, further comprising administering a folicbinding protein binding agent to the patient, wherein the folic-binding protein binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid or (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically available salt or ester therof.

46. (previously presented) The method of claim 45 wherein the folic-binding-protein binding agent is folic acid and the folic acid is administered prior to the first administration of the pemetrexed disodium.

47. (previously presented) The method of claim 46 wherein the folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

48. (previously presented) The method of claim 47 wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

49. (previously presented) The method according to any one of claims 46-48, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

50. (previously presented) The method of claim 49 wherein about  $350\mu g$  to about  $1000 \ \mu g$  of folic acid is administered.

51. (previously presented) The method of claim 50 wherein 350  $\mu$ g to 600  $\mu$ g of folic acid is administered.

52. (previously presented) The method of claim 40 or 45 further comprising the administration of cisplatin to the patient.

#### Remarks

Claims 40-52 are pending in the application. No Claims are allowed. Claim 45 is rejected under 35 U.S.C. § 112, 1st paragraph. Claims 40-52 are rejected under 35 U.S.C. § 112, second paragraph and 35 U.S.C. 103(a).

In view of the present amendment and reasons set forth below, it is submitted that the rejections are improper and should be withdrawn. Reconsideration and reexamination of the present application is respectfully requested.

## Rejection Under 35 USC §112, first paragraph

Claim 45 is stands rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The Office Action asserts that the specification as originally filed fails to provide sufficient written bases of any of the agents demonstrating wherein possession of use of the broad term: "folic-binding-protein binding agents." In response, Claim 45 has been amended to disclose specific folic-binding-protein binding agent species recited in the specification. In light of this amendment, reconsideration and withdrawal of the rejection is respectfully requested.

## Rejection Under 35 USC §112, second paragraph

Claims 40-52 stand rejected under 35 U.S.C. 112, second paragraph, as being indefinite. The office action points out that the phrase "methylmalonic acid" appears to be missing the phrase "lowering agent" in one of the recitations of claim 40. In response, Claim 40 has been amended to add the inadvertently omitted phrase "lowering agent." In light of this amendment, reconsideration and withdrawal of the rejection is respectfully requested.

#### Rejection Under 35 USC §103(a)

Claims 40-52 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (5,344,932) of PTO-1449 in view of Poydock et al., IRCS Medical Science, Vol. 12, No. 9, pp. 813 (1984) of PTO-1449, further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3235-3239 of PTO-1449, and further in view of Cleare et al. (4,149,707). Specifically, the Office Action asserts that: "[t]he instant invention differs from the cited reference in that the cited reference does not teach the addition of a methylmalonic acid lowering agent. However, the secondary reference, Poydock et al., teaches a methylmalonic acid lowering agent such

as hydroxocobalamin is effective by inhibiting tumors implanted in mice (see the abstract)."

Applicants note at the outset that independent Claim 40 comprises administration of pemetrexed disodium with a methylmalonic acid lowering agent (e.g., vitamin B12). Applicants assert that since Poydock et al. was discredited prior to the present application's priority date, it cannot even be used to support an assertion that methylmalonic acid lowering agent (e.g., hydroxocobalamin) is effective at inhibiting tumors implanted in mice.

## Application No.: 11/776329

Poydock et al. teaches that mice given a mixture containing L-ascorbic acid, hydroxocobalamin (a methylmalonic acid lowering agent), and Na ascorbate is effective at inhibiting tumors implanted in mice. Shortly after this abstract was published, however, it was discovered that the antitumor activity was <u>not</u> associated with the L-ascorbic acid, the hydroxocobalamin (a methylmalonic acid lowering agent), or the Na ascorbate. In fact, the researchers found that the Lascorbic acid which they had used had oxidized to dehydroascorbic acid (see, e.g., Toohey, John I., Cancer Letters (Shannon, Ireland) (2008), 263(2), 164-169). In subsequent research with authentic materials, it was discovered that it was in fact the dehydroascorbic acid which was the active factor in the mixture (see Poydock et al., Experimental Cell Biology (1982), 50(2), 88-91; Poydock et al., American Journal of Clinical Oncology 8 (1985) 266-269; and particularly Poydock et al., American Journal of Clinical Nutrition 54 (1991) 1261S-1265S).

In addition, Poydock himself demonstrated that "[i]njections of ascorbic acid or of vitamin  $B_{12}$  alone had no effect on mitotic activity..." (see Poydock et al., American Journal of Clinical Nutrition 54 (1991) 1261S-1265S page 1262S 3<sup>rd</sup> paragraph) Moreover, in addition to reviewing the discovery of the antitumor activity of dehydroascorbic acid, Toohey, John I., Cancer Letters (Shannon, Ireland) (2008), 263(2), 164-169) also discusses the use of Vitamin  $B_{12}$  (a methylmalonic acid lowering agent) in studies by Poydock (see footnote page 164):

"It should be noted that Poydock continued to add Vitamin  $B_{12}$  to most treatment protocols although her own data showed that it was not needed and there was no good rationale for adding it....To this day there is no rationale for giving  $B_{12}$  and no known reaction between  $B_{12}$  and ascorbic acid or dehydroascorbic acid which could explain her result."

These clarification studies (at least those published prior to Applicant's priority date) demonstrate that vitamin B12 does, in fact, <u>not</u> possess anti-tumor activity, contrary to the teaching of Poydock et al. Therefore, Poydock et al. cannot be used to support the assertion in the Office Action that one skilled in the art would have combined pemetrexed disodium with vitamin B12 because both are anti-neoplastic agents. For the same reason, since Claims 41-52 depend from Claim 40, which contains the methylmalonic acid lowering agent limitation, the combination with folic-binding protein binding agent and/or cisplatin would not be obvious.

Application No.: 11/776329

In view of the foregoing remarks, Applicants respectfully assert that the rejection is improper and should be withdrawn. Reconsideration is, therefore, kindly solicited. For at least the reasons set forth above, it is respectfully submitted that the above identified application is in condition for allowance. Favorable reconsideration and prompt allowance of the claims are respectfully requested.

Respectfully submitted,

/John A. Cleveland, Jr/ John A. Cleveland, Jr. Attorney for Applicants Registration No. 50,697 Phone: 317-276-0307

Eli Lilly and Company Patent Division/JAC P.O. Box 6288 Indianapolis, Indiana 46206-6288

May 4, 2009

## PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	Clet Niyikiza	Group Art Unit: 1614			
Serial No.:	11/776,329	Examiner: Weddington, Kevin			
Application Date	Application Date: July 11, 2007Conf No.: 6568				
For:	NOVEL ANTIFOLATE COMBI THERAPIES	NATION			
Docket No.:	X14173B				

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Under the guidelines of 37 C.F.R. 1.97, Applicant submits a copy of each of the documents listed on the attached Form PTO-1449 (modified) for consideration by the Examiner.

Since this Statement is being filed after the period specified in §1.97(b), but before the mailing date of a final action or a notice of allowance, please charge the fee under 37 C.F.R. 1.17(p), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840.

Applicant requests consideration of this information.

Respectfully submitted,

/ John A Cleveland, Jr./ John A. Cleveland, Jr. Attorney for Applicant Registration No. 50,697 Phone: 317-276-0307

Application No.: 11/776329

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

May 4, 2009

## Sheet 1 of 2

NOT A USPTO FORM						Serial No 11/776329		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION				First Applicant Clet Niyikiza				
						Group Art U	Art Unit	
				July 11, 2007 US Nat'l Entry (if applicable) 1614				
<u>U.S. PA</u>	ГЕМТ	<b>DOCUMENTS</b>				•		
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)		lication Date I-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Pages or Relevant Figures Appear	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4-</sup>	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	т <sup>6</sup>	
	BA	Kind Code5 (if known) WO 95/27723	MM-DD-YYYY 10-19-1995		Figures Appear		
		NON PAT	TENT LITERAT	URE DOCUME	ENTS		
Examiner	Cite	Include name of the author	(in CAPITAL LETTERS	), title of the article (when	appropriate), title of the item	T6	
Initials*	No. 1	(book, magazine, journal, s		, etc.), date, page(s), volur ry where published.	ne-issue number(s) publisher,		
	CA	POYDOCK M. Effec					
		implanted Ehrlich car 1261S-5S,					
	CB	POYDOCK M, et al.					
		Am J Clin Oncol 1985	5; 8: 2666-269.	•	nd hydroxycobalamin.		
	CC	POYDOCK M, et al. Influence of Vitamins C and B12 on the Survival Rate of Mice Bearing Ascites Tumor. <i>Expl Cell Biol</i> 1982; 50:88-91.					
	CD	TOOHEY J. Dehydroascorbic acid as an anti-cancer agent. Cancer Letters 2008;         263:164-169.         SALLAH S, et al. Intrathecal methotrexate-induced megaloblastic anemia in patients with acute leukemia. Archives of Pathology & Laboratory Medicine 1999; 123(9): 774-777.					
	CE						
CF NISHIZAWA Y, et al. Effects of methylcobalamin on the proliferation of androgen- sensitive or estrogen-sensitive malignant cells in culture and in vivo. <i>International</i> <i>Journal for Vitamin and Nutrition Research</i> 1997; 67(3):164-170.					vivo. International		
	CG	TSAO C, et al. Influe Pathobiology 1993; 6	nce of cobalamin on 1(2): 1048	the survival of mice	bearing ascites tumor.		
	СН	and vitamin B12 on squamous metaplasia of the bronchial epithelium. <i>Cancer</i> 1993; 71(8): 2477-83.					
	CI						
	CJ	HERBERT, V. The ro Experimental Medicin	ne and Biology 1986;	206 (Essent. Nutr. C	Carcinog.), 293-311.		
CK KROES A, et al. Effects of 5-fluorouracil treatment of ra inactivation of cobalamin. <i>Anticancer Research</i> 1986; 6(							

## Sheet 2 of 2

			Atty. Docket No.	Serial No		
			X14173B	11/776329		
INFORMA	<b>TION DI</b>	SCLOSURE CITATION	First Applicant			
IN AN AP	PLICATI	ON	Clet Niyikiza			
			Application Date	Group Art Unit		
			July 11, 2007			
			US Nat'l Entry (if applicable)	1614		
	CL	KROES A, et al. Enhanced therapeutic effect of methotrexate in experimental rat				
			cobalamin (vitamin B12) by nitrous	s oxide. Cancer		
		Chemotherapy and Pharmace				
	CM		possible adjunct in prevention of methotrexate			
		hepatotoxicity. Biochemical	Archives 1985; 1(3): 139-42.			
	CN		and promotion of cancers by folic a	· · · · ·		
			mposium Series (1985); 277(Xenob	biot. Metab.: Nutr.		
		Eff.), 31-6.				
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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT) (51) International Patent Classification 6: (11) International Publication Number: WO 95/27723 C07H 23/00, G01N 33/82, A61K 31/68 **A1** (43) International Publication Date: 19 October 1995 (19.10.95) PCT/US95/04404 (21) International Application Number: (81) Designated States: AU, CA, JP, KR, NO, NZ, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, ٩ (22) International Filing Date: 7 April 1995 (07.04.95) NL, PT, SE). ų Published (30) Priority Data: 08/224,831 8 April 1994 (08.04.94) US With international search report. 16 March 1995 (16.03.95) 16 March 1995 (16.03.95) 08/406.191 US Before the expiration of the time limit for amending the 08/406,192 claims and to be republished in the event of the receipt of US 08/406,194 16 March 1995 (16.03.95) US amendments. (71)(72) Applicants and Inventors: MORGAN, A., Charles [US/US]; 803 Driftwood Place, Edmonds, WA 98020 (US). WILBUR, D., Scott [US/US]; 6015 137th Place S.W., Edmonds, WA 98026 (US). PATHARE, Pradip, M. [IN/US]; 13407 Greenwood Avenue N. #301C, Seattle, WA 98133 (US). (74) Agents: HERMANNS, Karl, R. et al.; Seed and Berry, 6300 Columbia Center, 701 Fifth Avenue, Seattle, WA 98104-7092 (US). (54) Title: RECEPTOR MODULATING AGENTS AND METHODS RELATING THERETO (57) Abstract CONH Receptor modulating agents capable of modulating cell surface receptors CH<sub>3</sub> by affecting the cell surface receptor trafficking pathway. The receptor CF modulating agents are comprised of a covalently bound rerouting moiety and HANOC targeting molety. 0-R2 H\_NOC сн3 Ьн, ·NH. Θ n HOCH 5  $R_1 = CN$ ;  $R_2 = NH_2$  (Cyanocobalamin)  $R_1 = CN$ ;  $R_2 = OH$  (Cyanocobalamin -(3)-free acid) R1 = CN ; R2 = HN-CH2-CH2-CH2-CO2H (GABA adduct) R1 = CN ; R2 = GABA - Peptide (where GABA = linker)  $R_1 = CN$ ;  $R_2 = Peptide$ R1 = CN ; R2 = HN-(linker)-tyramine-1251 R1 - CN ; R2 = HN-(linker)-lysosomotropic agent R1 = CN ; R2 = HN-(linker)-X-linking agent R1 = CN; R2 = HN-(linker)-biotin  $R_1 = CN; R_2 = NH-(CH_2)_{12}NH_2$ • • • Teva – Fresenius Exhibit 1002-00195

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#### Description

## RECEPTOR MODULATING AGENTS AND METHODS RELATING THERETO

#### **Technical Field**

pathway."

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The present invention is generally directed to receptor modulating agents which modulate cell surface receptors and, more specifically, to receptor modulating agents which bind to cell surface receptors and affect the receptor trafficking pathway and methods related thereto.

#### **Background of the Invention**

Cell surface receptors constitute a class of proteins which are responsible for receptor-mediated endocytosis of specific ligands. Basically, the receptors serve as escorts for ligand delivery to intracellular destinations.

Ligand delivery is generally achieved through coated regions on the plasma membrane called "coated pits." These pits continually invaginate and pinch off, forming "coated vesicles" in the cytoplasm. Coated pits and vesicles provide a pathway for receptor mediated endocytosis of specific ligands. The ligands that bind to specific

- 20 cell surface receptors are internalized via coated pits, enabling cells to ingest large numbers of specific ligands without taking in correspondingly large volume of extracellular fluid. The internalized coated vesicles may or may not lose their coats and bind with other vesicles to form larger vesicles called "endosomes." In the endosome the ligand and the receptor are separated or "sorted." Endosomes which sort ligands
- 25 and receptors are known as "compartment of uncoupling of receptor and ligand" or "CURL."

Endosomes may fuse with primary lysosomes, where their contents are digested, or they may be delivered to other intracellular destinations. The receptor proteins are generally not digested, but are rather recycled to the cell membrane surface through a process called "exocytosis," or transferred to early or late endosomes via multivesicular bodies. The entire pathway is referred to as the "receptor trafficking

Some receptors deliver their ligand directly to the cytoplasm or other specific intracellular locations. Perhaps one of the most studied receptor trafficking pathways is that of iron transport. In this pathway, a serum carrier protein, transferrin, binds iron and transports it to transferrin receptors on the plasma membrane surface.

> Teva – Fresenius Exhibit 1002-00197

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After binding and internalization, via coated pits, the resulting vesicle combines first with early endosomes and then with late endosomes. This process results in the gradual drop in pH in the vesicle. The drop in pH causes the transferrin carrier protein to lose its affinity to iron. When this occurs, the iron translocates through the membrane of the

5 vesicle and joins the intracellular pool of enzymes. The transferrin receptor may then recycle to the cell surface where it may repeat the process.

Other receptors may deliver their ligand directly to the lysosomes for digestion. For example, the epidermal growth factor ("EGF") receptor delivers its ligand directly to a lysosome for degradation (Prog. Histochem. Cytochem. 26:39-48,1992). The EGF receptor may recycle to the cell surface depending on its

10 26:39-48,1992). The EGF receptor may recycle to the cell surface depending on its state of phosphorylation (<u>Cancer Treat. Rep. 61</u>:139-160, 1992; <u>J. Cell. Biol. 116</u>:321-330, 1992).

A single receptor may utilize more than one receptor trafficking pathway within the same cell. For example in polarized cells, such as specialized transport epithelia cells, membrane trafficking is distinct between apical and basal sides of the cell (<u>Sem. Cell. Biol.</u> 2:387-396, 1991). Moreover, non-polarized epithelia cells may simultaneously follow two separate sorting pathways.

The control or regulation of cell surface receptors may be achieved by a variety of techniques. Regulation of cell surface receptors may be accomplished, at a very basic level, by the binding of naturally occurring ligands. As discussed above, receptor binding of a ligand will generally trigger the internalization of the ligand-receptor complex. Such internalization may desensitize the cell to further ligand binding. (J. Immunol. 150:3161-9, 1993; Mol. Endocrinol. 6:2090-102, 1992; J. Cell. Physiol. 154:281-8, 1993; Receptor 1:13-32, 1990-91; Biochem. J. 288:55-61, 1992; J.

25 Immunol. <u>148</u>:2709-11, 1992; J. Cell. Physiol. <u>148</u>:24-34, 1991). This type of regulation, however, is transient in nature and does not result in diminution of biologic response.

Regulation of cell surface receptors may also be accomplished by administration of receptor antagonists or agonists. Receptor antagonists are organic protein or peptide ligands generally derived through empirical structure-function studies, or through the use of detailed knowledge of ligand and receptor interaction. Essentially, an antagonist may constitute any molecule with similar binding activity to a natural ligand, but incapable of producing the biological response normally induced by the natural ligand. Thus, the antagonist competitively blocks receptor activity. With a

35 competitive antagonist, the regulation of receptor activity is dependent upon both the antagonist's affinity for the receptor, as well as its extracellular concentration over time.

Receptor agonists are protein or peptide ligands derived in a similar manner as antagonists. Essentially, an agonist may constitute any molecule which binds to the receptor in a manner superior to that of the natural ligand.

- One receptor of particular interest is the vitamin B<sub>12</sub> receptor. As has been demonstrated in experimental in vitro data, pre-clinical animal models, and patient studies, vitamin B<sub>12</sub> is a co-enzyme necessary in cell division, as well as cellular metabolism, in proliferating normal and neoplastic cells. Insufficient vitamin B<sub>12</sub> causes cellular division to be held in abeyance and ultimately may result in apoptosis. The nutrient is generally derived from dietary intake and is transported throughout the body complexed to transport proteins. The complex of transport protein and vitamin
- 10 body complexed to transport proteins. The complex of transport protein and vitamin  $B_{12}$  is recognized by a cellular receptor which internalizes the complex and releases the vitamin intracellularly. The overall process has been reviewed in <u>GUT 31:59</u>, 1991. Vitamin  $B_{12}$  is taken in through the diet. Binding proteins in the saliva (R-binder) and gut (intrinsic factor-(IF)) complex vitamin  $B_{12}$  after release from endogenous binding
- 15 proteins by action of enzymes and low pH in the stomach. Vitamin  $B_{12}$  is transferred across the intestinal epithelium in a receptor specific fashion to transcobalamin II (TcII). The vitamin  $B_{12}$ /transcobalamin II complex is then transported throughout the body and recognized by receptors present on dividing cells, internalized and released within the cell where it is utilized by certain enzymes as a co-factor.
- 20 The high affinity receptor in dividing tissues or cells responsible for internalization of vitamin  $B_{12}$  recognizes transcobalamin II complexed with vitamin  $B_{12}$ . The vitamin  $B_{12}$ /TcII receptor recognizes only the vitamin  $B_{12}$ /TcII complex and not the serum transport protein or the vitamin alone. The receptor is undetectable on non-dividing cells; the mechanism for supplying non-dividing cells with vitamin  $B_{12}$  is
- 25 poorly understood. However, it is known that more vitamin  $B_{12}$  is required during cell division than during metabolism, and that the vitamin  $B_{12}$ /TcII receptor is the only high affinity means for cellular uptake of vitamin  $B_{12}$  during cell division. When stimulated to divide, cells demonstrate transient expression of this receptor leading to vitamin  $B_{12}$ uptake which precedes actual DNA synthesis (J. Lab. Clin. Med. 103:70, 1984).
- 30 Vitamin  $B_{12}$  receptor levels may be measured by binding of <sup>57</sup>Co-vitamin  $B_{12}$  complexed to transcobalamin II (present in serum) on replicate cultures grown in chemically defined medium without serum. No receptor mediated uptake occurs in the absence of carrier protein.
- 35

Dividing cells, induced to differentiate, lose receptor expression and no longer take up vitamin  $B_{12}$ . More importantly, leukemic cells, deprived of vitamin  $B_{12}$ , will stop dividing and die (Acta Haemat. 81:61, 1989). In a typical experiment,

leukemic cell cultures were deprived of serum for 3 days, and then supplemented either with serum (a source of vitamin  $B_{12}$ ) or a non-metabolizable analogue of vitamin  $B_{12}$  and cultured up to five days. Cell cultures supplemented with vitamin  $B_{12}$  continued to grow, whereas those deprived of the active nutrient stopped growing and die.

- Based on these observations, it has been suggested that whole body deprivation of vitamin  $B_{12}$  may be useful in the treatment of cancer or other disorders characterized by uncontrolled growth of cells. Moreover, because of the critical role played by vitamin  $B_{12}$ -containing enzymes in cell division, it is believed that vitamin  $B_{12}$  deprivation may be used in combination with chemotherapeutic drugs which inhibit
- 10 cellular replication. For example, when vitamin B<sub>12</sub> depletion was combined with methotrexate, the two modalities together were more efficient in depleting folate levels in leukemic cells than either alone (FASEB J. 4:1450, 1990; Arch. Biochem. Biophys. 270:729, 1989; Leukemia Research 15:165, 1991). Folates are precursors in the production of DNA and proteins. In typical experiments, cultures of leukemic cells
- 15 were exposed to nitrous oxide for several hours to convert the active form of endogenous vitamin  $B_{12}$  to an inactive form. Replicate cultures were then left without further treatment, or additionally treated with methotrexate. Cellular folate levels were measured three days later. Cells treated with the combination (*i.e.*, both methotrexate and inactive vitamin  $B_{12}$ ) showed a more striking decrease in cellular folate levels than
- 20 with either of the two approaches alone. This combination also results in a higher cell kill in vitro. When this approach was applied to the treatment of highly aggressive leukemia/lymphoma in animal models (Am. J. Haematol. 34:128,1990; Anticancer Res. 6:737, 1986; Cancer Chemother. Pharmacol. 17:114, 1986; Br. J. Cancer 50:793, 1984), additive or synergy of anti-tumor action was observed, resulting in prolonged
- 25 remissions and cures.

A key finding in the experiments described above was that short-term (hours to days), whole body depletion of vitamin B<sub>12</sub> can act synergistically with chemotherapeutic drugs (such as methotrexate and 5-FU) to inhibit tumor growth and treat animals with leukemia/lymphoma. Despite synergistic anti-tumor activity, there was no toxicity attributable to the short-term vitamin B<sub>12</sub> depletion for proliferating normal cells. This combination therapy was demonstrated in multiple animal models. Observations in patients have indicated that long-term (months to years) vitamin B<sub>12</sub> depletion is required to produce significant normal tissue toxicity. Even in those cases, subsequent infusion of vitamin B<sub>12</sub> can readily reverse symptomology (Br. J. Cancer

35 <u>5</u>:810, 1989).

Because of the promise of this therapeutic approach, various methods have been sought to efficiently and controllably perform a temporary depletion of vitamin  $B_{12}$ . Such methods, however, affect all of the body's stores of vitamin  $B_{12}$ . They include dietary restriction, high doses of vitamin B<sub>12</sub> analogues (nonmetabolizable-competitive antagonists which act as enzyme inhibitors), and nitrous oxide (transformation of vitamin B<sub>12</sub> to inactivate form). These different methods have been used in culture systems and in animals to deplete vitamin  $B_{12}$ . The most efficient and the most utilized method has been the inhalation of nitrous oxide (laughing gas). Animals are maintained typically under an atmosphere of 50% to 70% of nitrous oxide

10 for periods from a few hours to a few days, causing the conversion of endogenous vitamin  $B_{12}$  into an inactive form. This methodology has been utilized in combination with drugs for therapy of leukemia/lymphoma. A further method for vitamin B<sub>12</sub> depletion involves infusion of a non-metabolizable analogue of vitamin  $B_{12}$  which essentially dilutes out the active form. This form of therapy is not specific for dividing

15 cells but affects liver dependent metabolic processes. Another approach includes restricting the dietary intake of vitamin B<sub>12</sub>. This method, however, requires very long periods of dietary restriction and is offset by hepatic storage of vitamin B<sub>12</sub>. All of these methods suffer from problems of specificity, since they affect both vitamin B<sub>12</sub>dependent growth as well as basal metabolism, and therefore are not particularly suited

20 to the development of anti-proliferative pharmaceutical products. In view of the biological importance of cell surface receptors, receptor-

controlling agents have emerged as a class of pharmaceutical drugs. Moreover, with the advent of genetic engineering for the isolation and amplification of genes for cell surface receptors, as well as computer programs to model the interactions between ligands and receptors (*i.e.*, "rational" drug design), the production of receptor-

25 controlling drugs has been significantly enhanced.

To date, many months or even years of scientific research, as well as significant financial resources, are required to produce new receptor antagonists or agonists. To speed up this process, new screening technologies have been developed

which utilize peptide or antibody recombinant libraries (see, e.g., Gene 73:305, 1988; 30 Proc. Nat. Acad. Sci. (USA) 87:6378, 1990; Biochromatography 5:22, 1990; Protein Engineering 3:641, 1989). While library screening does not require the same degree of knowledge of a specific receptor/ligand system, it does involve an intensive screening effort utilizing functional receptor-specific assays. Moreover, the initial compounds 35 identified by such screening programs are generally only precursors to the development

of therapeutic products through more typical structure-functional assessments.

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While antagonists and agonists are generally capable of regulating a biological response, the surface receptors which bind such ligands are continually being re-expressed on the cell surface. Thus, effective regulation by antagonists or agonists must rely on a relatively high and sustained serum concentration in order to bind the new surface receptors continually being expressed on the cell surface.

Accordingly, there is a need in the art for agents which bind cell surface receptors and thus regulate biological responses associated therewith, and which further effect normal cellular trafficking of the bound receptor. There is also a need in the art for agents which, when bound by a cell surface receptor and internalized, promote

10 retention of the receptor within the cell. Moreover, there exists a need for methods relating to the administration of such agents to regulate a biological response. The present invention fulfills these needs and provides further related advantages.

Summary of the Invention

15 Briefly stated, the present invention provides receptor modulating agents which are capable of affecting a receptor trafficking pathway of the cell. Receptor modulating agents of the present invention are comprised of a rerouting moiety coupled to a targeting moiety.

Suitable targeting moieties include, by way of example, a vitamin B<sub>12</sub> 20 molecule or any one of several proteins and peptides.

Suitable rerouting moieties include, by way of example, lysosomotropic moieties, such as gentamycin, kanamycin, neomycin, and streptomycin; intracellular polymerizing moieties, such as dipeptide esters and leucine zippers; peptide sorting sequences, such as endoplasmic reticulum retention peptides, golgi retention peptides,

25 lysosomal retention peptides, organism specific retention peptides and clathrin-binding peptides; conditional membrane binding peptides, such as charged glutamate, aspartate, and histidine; and bi- or multi-valent receptor cross-linking moieties.

In a preferred embodiment of the present invention, a receptor modulating agent, is comprised of a vitamin  $B_{12}$  molecule coupled to a rerouting 30 moiety by a linker. Generally, the linker is at least 4 atoms in length, typically, the linker is about 6 to 20 atoms in length and preferably, the linker is 12 atoms in length. Suitable linkers include linkers which include an amino group, such as diaminoalkyl, diaminoalkylaryl, diaminoheteroalkyl, diaminoheteroalkylaryl and diaminoalkanes. Preferably, the linker is -NH(CH<sub>2</sub>)<sub>x</sub>NH- wherein x = 2-20 or -NH(CH<sub>2</sub>)<sub>y</sub>CO-, wherein 25 w = 2 12. In one embediment the linker is a trifference linker

y = 3-12. In one embodiment the linker is a trifunctional linker.

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In a preferred embodiment of this aspect of the present invention, a  $B_{12}$  molecule is coupled to a rerouting moiety at a *b*-, *d*- or *e*- coupling site. In a particularly preferred embodiment of the present invention, a  $B_{12}$  molecule is coupled to a rerouting moiety at a *d*- or *e*- coupling site. In another embodiment, the  $B_{12}$  molecule is coupled to a rerouting moiety at a ribose coupling site. In yet another embodiment, the receptor modulating agent is bound to transcobalamin.

Receptor modulating agents of the present invention may act by affecting a receptor trafficking pathway in any one of several ways, including, by redirecting an agent/receptor complex; by cross-linking one or more cell surface receptors; by anchoring a cell surface receptor in the membrane; and by retaining a

receptor in an endosome.

Another aspect of the present invention includes a vitamin  $B_{12}$  dimer comprising a first and a second vitamin  $B_{12}$  molecule coupled through a coupling site independently selected from the group consisting of coupling sites *a*-g, coupling sites *h*, and coupling sites *i*. In a preferred embodiment, the  $B_{12}$  molecule coupled through an

e- or d- coupling site.

In another embodiment,  $B_{12}$  molecules are coupled by a linker. Generally, the linker is at least 4 atoms in length, typically, the linker is about 10 to 55 atoms in length and preferably, the linker is 35 to 45 atoms in length. In a preferred embodiment, the linker is a trifunctional linker. Suitable linkers include linkers which include an amino group, such as diaminoalkyl, diaminoalkylaryl, diaminoheteroalkyl, diaminoheteroalkylaryl and diaminoalkanes. Preferably, the linker is -NH(CH<sub>2</sub>)<sub>x</sub>NH-wherein x = 2-20 or -NH(CH<sub>2</sub>)<sub>y</sub>CO-, wherein y = 3-12.

In another aspect of this embodiment, a vitamin B<sub>12</sub> dimer is coupled to at least one transcobalamin II molecule. In yet another aspect of this embodiment, at least one of said first and said second vitamin B<sub>12</sub> molecules of the dimer is a vitamin B<sub>12</sub> derivative.

These and other aspects of the present invention will become evident upon reference to the following detailed description and attached drawings. In addition, 30 various references set forth below which describe certain procedures or compositions in more detail are incorporated by reference in their entirety.

**Brief Description of the Drawings** 

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Figure 1 is a schematic illustrating a mechanism of action of a receptor modulating agent of the present invention. A healthy receptor will internalize when bound by the appropriate ligand, release the ligand within the cell and then recycle to

the cell surface. Receptor modulating agents of the present invention impede the receptor trafficking pathway by inhibiting the recycling of receptors to the cell surface. Essentially, the targeting moiety on receptor modulating agents bind the receptor and the rerouting mojety redirects the receptor/receptor modulating agent complex to other

points within the cell, where it may be retained or degraded. (Not shown in this 5 schematic are receptors synthesized de novo).

Figures 2-5 are formulae representing families of antibiotics which act as rerouting moieties. The preferred reactive groups for coupling with a targeting moiety are indicated. These rerouting moieties facilitate retention of the receptor/receptor

10 modulating agent complex through protonation of the complex, eventually delivering it to lysosomes for degradation.

Figure 2 illustrates formulae representing the gentamycin, sisomicin, and netilmicin families of antibiotics.

Figure 3 illustrates formulae representing the kanomycin, tobramycin, 15 and amikacin families of antibiotics.

Figure 4 illustrates formulae representing the neomycin, paromomycin, ribostamycin, and butirosin families of antibiotics.

Figure 5 illustrates formulae representing the streptomycin family of antibiotics.

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Figure 6 illustrates formulae representing substituted aminoquinolines (e.g., chloroquine) substituted aminoacridines (e.g., quinacrine), and substituted aminonapthalines (e.g., dansyl cadaverine), all of which are representative rerouting moleties of the present invention. These rerouting moleties impede the receptor trafficking pathway through protonation and intracellular retention.

25 Figure 7 illustrates formulae representing glycosylation inhibitors, all of which are representative rerouting moieties of the present invention. These sugars may be conjugated to targeting moieties using linkages typical of oligomeric carbohydrate chains. The resulting receptor modulating agent is recognized by internal glycosyl transferases, subject to intracellular retention, and, ultimately, degradation in the

30 lysosomes.

> Figure 8 illustrates a formula representing a vitamin  $B_{12}$ (cyanocobalamin) molecule and identifies a preferred coupling site suitable for use in the present invention for derivatization and conjugation.

Figure 9 is a schematic depicting a representative reaction scheme for 35 the synthesis of a vitamin B<sub>12</sub>-GABA adduct.

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Figure 10a is a schematic depicting a representative reaction scheme for the synthesis of a vitamin  $B_{12}$  derivative comprising a vitamin  $B_{12}$  molecule with a diaminododecane linker arm coupled to any one of coupling sites *d*-, *e*-, or *b*-.

Figure 10b is a schematic depicting a representative reaction scheme for coupling a succinic anhydride to a vitamin  $B_{12}$  diaminododecane adduct in preparation for coupling the adduct to a rerouting moiety, or other molecule, with an amino reaction site.

Figure 11 is a schematic depicting a representative reaction scheme for the synthesis of a vitamin B<sub>12</sub> derivative comprising a vitamin B<sub>12</sub> molecule and a diaminododecane linker arm coupled to a ribose coupling site.

Figure 12 is a schematic depicting a representative reaction scheme for coupling vitamin  $B_{12}$  or a vitamin  $B_{12}$ -GABA adduct to amikacin.

Figure 13 is a schematic depicting a representative reaction scheme for coupling vitamin  $B_{12}$  or a vitamin  $B_{12}$ -GABA adduct to streptomycin.

15 Figure 14 is a schematic depicting a representative reaction scheme for coupling a vitamin  $B_{12}$  carboxylate derivative or a vitamin  $B_{12}$ -GABA adduct to acridine.

Figure 15 is a schematic depicting a representative reaction scheme for the synthesis of a bivalent receptor modulating agent, a vitamin B<sub>12</sub> dimer, using a
trifunctional linker. The trifunctional linker allows for coupling with additional compounds (*e.g.*, R-NH<sub>2</sub>) such as, by way of example, aminoglucosides (Figures 2-5), aminoacridines (Figure 6), glycosylation inhibitors (Figure 7), and biotin.

Figure 16 is a schematic depicting a representative reaction scheme for the synthesis of a vitamin  $B_{12}$  dimer using a homobifunctional or homotrifunctional cross-linking reagent.

Figure 17 is a schematic depicting a representative reaction scheme for the synthesis of a vitamin  $B_{12}$  dimer using a heterobifunctional cross-linker.

Figures 18-21 are schematics depicting representative reaction schemes for the synthesis of various receptor modulating agents generally comprised of a rerouting moiety, designated by the reactive group and R, selected from those represented in Figures 2-7, and a vitamin B<sub>12</sub> molecule or derivative thereof as a targeting moiety.

Figure 22 is a graph illustrating the binding curve of Transcobalamin II to the cyanocobalamin monocarboxylic acids produced in Example 1. AD = Cyanocobalamin (1); AL = Cyanocobalamin b-monocarboxylic acid (2); AM = Cyanocobala

Cyanocobalamin e-monocarboxylic acid (3); and AN= Cyanocobalamin d-monocarboxylic acid (4).

Figure 23 is a graph illustrating the binding curve of Transcobalamin II to the cyanocobalamin diaminododecane adducts produced in Example 3 and 4. AH =

- 5 Cyanocobalamin *b*-monocarboxylic acid conjugate diaminododecane (7); AI = Cyanocobalamin *e*-monocarboxylic acid conjugate diaminododecane (8); AJ = Cyanocobalamin *d*-monocarboxylic acid conjugate diaminododecane (9); AK = Cobalamin *e*-monocarboxylic acid conjugate diaminododecane, and AE = Cyanocobalamin ribose-succinate (11).
- 10 Figure 24 is a graph illustrating the binding curve of Transcobalamin II to a series of vitamin  $B_{12}$  dimers. Dimer X = b-acid dimer with isophthaloyl dichloride (36); Dimer Y = e-acid dimer with isophthaloyl dichloride (37); dimer Z = d-acid dimer with isophthaloyl dichloride (38); Dimer A= b-acid Dimer with p-iodo benzoyl isophthaloyl dichloride (58); Dimer B = e-acid Dimer with p-iodo benzoyl isophthaloyl
- 15 dichloride (59); and Dimer C = d-acid Dimer with *p*-iodo benzoyl isophthaloyl dichloride (60). These dimers were prepared as set forth in the Examples below. (see Examples 13 and 16.)
- Figure 25 is a graph illustrating the binding curve of Transcobalamin II to a series of biotinylated vitamin  $B_{12}$  molecules. AA = Cyanocobalamin *b*-20 monocarboxylic acid conjugate diaminododecane and biotin (17); AB = Cyanocobalamin *e*-monocarboxylic acid conjugate diaminododecane and biotin (18); AC = Cyanocobalamin *d*-monocarboxylic acid conjugate diaminododecane and biotin (19); AF = Cyanocobalamin ribose-succinate conjugate diaminododecane (13); and AG = Cyanocobalamin ribose-succinate conjugate diaminododecane and biotin (20). These

25 biotinylated molecules were prepared as set forth in Examples below. (see Example 8.)

#### Detailed Description of the Invention

The present invention is generally directed to a receptor modulating agent which is capable of binding to a cell surface receptor to form a receptor modulating agent/receptor complex ("agent/receptor complex"). The binding of a suitable receptor modulating agent to a cell surface receptor generally results in invagination of the agent/receptor complex into the cell into the vesicular system in the same manner as the natural ligand. However, once internalized, or as part of the internalization process, a receptor modulating agent of the present invention affects the receptor trafficking pathway by effectively impeding, preventing, or delaying the receptor from recycling to the surface, thus depriving the cell of receptors able to engage in binding its natural ligand and triggering related biological responses.

Within the context of the present invention, "affecting the receptor trafficking pathway" refers to impeding the receptor trafficking pathway in such a 5 manner so as to affect biological response. This would include trapping, delaying, retaining, re-directing, or degrading the cell surface receptor. A "receptor modulating agent" is comprised of at least one targeting moiety covalently attached to at least one rerouting moiety. A "targeting moiety," as described in detail below, is a moiety capable of specifically binding to a cell surface receptor to yield an agent/receptor 10 complex and, in a preferred embodiment, has an affinity for the cell surface receptor of within 100-fold, and more preferably, within 10-fold, of the affinity of the natural ligand for the receptor. A preferred targeting moiety is a vitamin B<sub>12</sub> molecule. In contrast, a "rerouting moiety" is a moiety which redirects an agent/receptor complex, resulting in prolonged retention, degradation, and/or modulation of the receptor within 15 the interior of a cell or on the cell surface, including, by way of example, retaining the receptor in the cell membrane or directing the receptor to a lysosome within the cell. Suitable rerouting moieties are described in detail below.

A targeting moiety is coupled to a rerouting moiety to yield the receptor modulating agent by any suitable means known in the art, including direct covalent linkage of an appropriate chemical linker or through a very tight association in noncovalent attachment. By way of example for the latter, in one embodiment, coupling is accomplished through the combination of an avidin or streptavidin conjugate with a vitamin B<sub>12</sub>/biotin conjugate. Coupling of the targeting moiety and the rerouting moiety should be of a nature which resists cleavage by the enzymatic and low pH conditions normally encountered within the internal portion of the cell, including endosomes and lysosomes. Suitable linkers are noted below. The ability to resist cleavage may be detected by any means known in the art, including exposing the receptor modulating agent to enzymes at low pH and measuring release of the targeting or rerouting moiety using techniques known in the art.

30 Coupling of a targeting moiety and a rerouting moiety should not significantly hinder the ability of the targeting moiety to specifically bind the cell surface receptor. The receptor modulating agent may also include additional moieties, so long as they do not interfere with either the targeting or the rerouting moieties. For example, such moieties may be coupled to the receptor modulating agent through the use of a trifunctional linker or they may be coupled to a rerouting or targeting moiety. Optimal attachment of the two moieties may be determined by comparing the affinity of

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binding of the receptor modulating agent with free targeting moiety in assays of inhibition of binding.

These, and other suitable techniques, are described in detail in Sambrook et al., <u>Molecular Cloning: A Laboratory Manual</u>, Cold Spring Harbor, 1989.

Coupling of a targeting moiety and a rerouting moiety should also not significantly affect the ability of the rerouting moiety to retain or delay the agent/receptor complex within the cell. This may be empirically determined by any one of several methods known in the art, including using labeling techniques to compare intracellular retention of the targeting moiety versus that of the receptor modulating agent as exemplified below.

As noted above, targeting moieties of a receptor modulating agent include any moiety which specifically binds to a cell surface receptor. Suitable targeting moieties include proteins and peptides. Representative examples of suitable targeting moieties include peptides such as bombesin, gastrin-releasing peptide, cell

- 15 adhesion peptides, substance P, neuromedin-B, neuromedin-C and metenkephalin; hormones, including EGF, alpha- and beta-TGF, estradiol, neurotensin, melanocyte stimulating hormone, follicle stimulating hormone, luteinizing hormone, and human growth hormone; proteins corresponding to ligands for known cell surface receptors, including low density lipoproteins, transferrin and insulin; fibrinolytic enzymes; and
- 20 biological response modifiers, including interleukin, interferon, erythropoietin and colony stimulating factor also constitute targeting moieties of this invention. Moreover, analogs of the above targeting moieties that retain the ability to specifically bind to a cell surface receptor are suitable targeting moieties. Essentially, any analog having about the same affinity as a targeting moiety, herein specified, could be used in synthesis of receptor modulating agents.

In a preferred embodiment, a targeting moiety is a vitamin  $B_{12}$  molecule. Vitamin  $B_{12}$  is an essential nutrient for dividing cells. By inhibiting its uptake, the growth of dividing cells can be halted. The cell surface receptor for vitamin  $B_{12}$  is the transcobalamin II/vitamin  $B_{12}$  ("TcII/ $B_{12}$ ") receptor, which is characterized by a high affinity for the carrier protein, transcobalamin II (TcII), when complexed with vitamin  $B_{12}$  ("TcII/ $B_{12}$  complex"). The TcII/ $B_{12}$  receptor does not recognize vitamin  $B_{12}$ alone, but does recognize the carrier protein TcII with reduced affinity when not

complexed with vitamin  $B_{12}$ . In many respects, this receptor system is similar to that for transferrin/iron in that the goal of the receptor system is to deliver vitamin  $B_{12}$  into

35 cells such that it can be utilized by enzymes involved in DNA synthesis. Within the context of the present invention, the term "vitamin  $B_{12}$ " refers to the class of

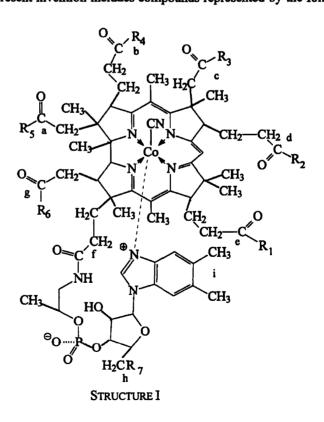
compounds known as cobalamins and derivatives thereof, including, by way of example, cyanocobalamin. The term "vitamin  $B_{12}$ " is used interchangeably with the term cyanocobalamin.

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formula:

Suitable vitamin  $B_{12}$  molecules includes any vitamin  $B_{12}$  capable of coupling to another molecule while maintaining its ability to form a TcII/B<sub>12</sub> complex. A preferred vitamin  $B_{12}$  targeting moiety is generally comprised of a vitamin  $B_{12}$  molecule, such as a cyanocobalamin, and a linker, described in detail below. The linker may be coupled to any one of several sites on a vitamin  $B_{12}$  molecule, including potential carboxyl coupling sites *a*- through *g*-, an alcohol (ribose) coupling site ("coupling site *h*") or a benzimidazole coupling site ("coupling site *i.*") (See structure I below.) Preferably, a linker is coupled to coupling sites *b*-, *d*- or *e*- on a vitamin  $B_{12}$  molecule. Even more preferably, a linker is coupled to coupling site *d*- or *e*-. This embodiment of the present invention includes compounds represented by the following



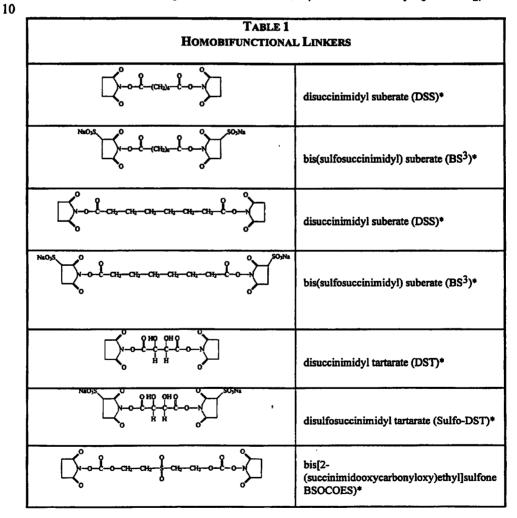
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wherein at least one of  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $R_5$ ,  $R_6$ , and  $R_7$  is a linker. One of ordinary skill in the art will appreciate that a number of other coupling sites on the vitamin  $B_{12}$ 

molecule may be chemically altered without affecting coupling of the molecule with a linker or TcII. Coupling sites which are not occupied by a linker may have a variety of chemical moieties attached thereto, including an amino, secondary amino, tertiary amino, hydroxy, lower alkyl, lower alkoxy, alkoxyalkyl, alkoxyalkoxy, cycloalkylalkoxy, and thioalkyl groups.

In a preferred embodiment,  $R_1$ ,  $R_2$  or  $R_4$  is a linker and the remaining R groups are -NH<sub>2</sub>, with the exception of  $R_7$ , which is preferably -OH. In an especially preferred embodiment,  $R_2$  is a linker,  $R_1$ ,  $R_3$ - $R_6$  are -NH<sub>2</sub> and  $R_7$  is -OH.

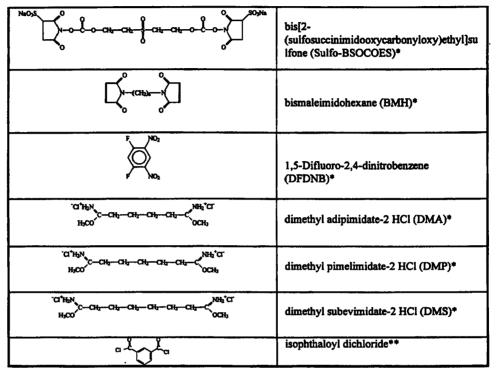
In another preferred embodiment,  $R_7$  is a linker and  $R_1$ - $R_6$  are -NH<sub>2</sub>.





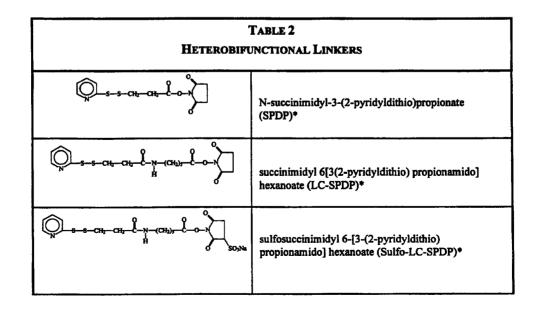
#### WO 95/27723

PCT/US95/04404



\*Pierce Chemical, Co., Rockford, Illinois

\*\*Aldrich Chemical Co., Milwaukee, Wisconsin



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Chert Com	succinimidyl 4-(N-maleimidomethyl)cyclohexane-1- carboxylate (SMCC)*
	sulfosuccinimidyl 4-(N- maleimidomethyl)cyclohexane-1-carboxylate (Sulfo- SMCC)*
L	m-maleimidobenzoyl-N-hydroxysuccinimide ester (MBS)*
NEOS Control	m-maleimidobenzoyl-N-hydroxysulfosuccinimide ester (Sulfo-MBS)*
<u>1-01-1-10-1-0-1</u>	N-succinimidyl(4-iodoacetyl)aminobenzoate (SIAB)*
	sulfosuccinimidyl(4-iodoacetyl)aminobenzoate (Sulfo-SIAB)*
	succinimidyl-4-(p-maleimidophenyl)butyrate (SMPB)*
	sulfosuccinimidyl-4-(p-maleimidophenyl)butyrate (Sulfo-SMPB)*

\*Pierce Chemical, Co., Rockford, Illinois

TABLE 3 TRIFUNCTIONAL LINKERS					
TFPO2C CO2TFP NHBoc	Derived from 5-amino isophthalic* acid - unreported synthesis (D.S. Wilbur, D.K. Hamlin, University of Washington)				
H <sub>2</sub> N V NH <sub>2</sub> CO <sub>2</sub> Me	Derived from 3,5-diaminovbenzoic acid* - unreported synthesis				
	S-(p-iodobenzoyl)amino-1,3-isophthaloyl ditetra-fluorophenyl ester - unreported synthesis (D.S. Wilbur, D.K. Hamlin, University of Washington)				
	5(p-tri-N-butylisomylbenzoyl)-amino-1,3- isophthaloyl ditchtrafluorophenyl ester - unreported synthesis (D.S. Wilbur, D.K. Hamlin, University of Washington)				
	D.S. Wilbur et al., <u>Bioconjugate Chem.</u> 5(3):220-235, 1994.				
Bageler	D.S. Wilbur et al., <u>Bioconjugate Chem.</u> 5(3):220-235, 1994.				

\*Aldrich Chemical Co., Milwaukee, Wisconsin

Suitable linkers include any one of several linkers, preferably containing at least two coupling or reactive groups, allowing the linker to bind to both vitamin B<sub>12</sub> and a rerouting moiety. In the context of the present invention, the terms "coupling group" and "reactive group" are used interchangeably. By way of example, a linker may be homobifunctional, heterobifunctional, homotrifunctional, or heterotrifunctional. Homobifunctional agents may facilitate cross-linking, or dimerization of vitamin B<sub>12</sub>

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molecules in a single step, hence a coupling reaction using these agents should be performed with an excess of homobifunctional agents, unless dimerization is the desired result, as in the synthesis of dimers described in detail below.

- Suitable homobifunctional agents include those listed in Table 1, as well as those described in detail below. Heterobifunctional agents facilitate cross-linking in a stepwise method, allowing more than one linker to be incorporated and a variety of targeting agents such as vitamin  $B_{12}$  molecules to be linked. Suitable heterobifunctional agents include those listed in Table 2 as well as those described in detail below. Homo- and hetero- trifunctional linkers are coupled to a rerouting moiety
- 10 and a vitamin  $B_{12}$  molecule as described above, with the additional advantage of a third coupling site on the linker. One of ordinary skill in the art will appreciate that this allows for any number of different molecules to couple with the rerouting moiety, including, by way of example, markers, such as radiolabeled and fluorescent molecules; proteins and peptides, such as antibodies; and conjugating molecules, such as biotin.
- 15 Suitable trifunctional linkers are listed in Table 3. Homobifunctional, heterobifunctional, homotrifunctional, and heterotrifunctional linkers are commercially available.

Suitable linkers are generally relatively linear molecules greater than 4 atoms in length, typically between 6 and 30 atoms in length, and preferably are 8 to 20 atoms in length. In a particularly preferred embodiment, the linker is a linear molecule of 12 atoms in length. In the context of the present invention, the term "atom" refers to a chemical element such as, by way of example, C, N, O, or S. The ranges provided above are based on the relatively linear accounting of the linker. One of ordinary skill in the art will appreciate that a linker may be linear, branched, and even contain cyclical elements.

Coupling or reactive groups include any functional group capable of coupling a linker to a vitamin  $B_{12}$  molecule. Suitable coupling groups include, nucleophilic and electrophilic functional groups. Suitable nucleophilic groups include hydroxy groups, amino groups, and thio groups. Suitable electrophilic groups include carboxylic acid groups and carboxylic acid derivatives including acid halides, acid

- anhydrides, and active esters such as NHS esters. Suitable homobifunctional linkers include, by way of example, diaminoalkanes, such as those represented by the formula  $NH_2(CH_2)_xNH_2$ , wherein x =
- 2-20. A preferred linker is a diaminododecane. Suitable heterobifunctional linkers include those represented by the formula  $NH_2(CH_2)_yCOOH$ , wherein y = 3-12. Those

of ordinary skill in the art will appreciate that a protecting group may be necessary when utilizing a heterobifunctional group.

A linker may be coupled to the preferred b-, d- or e- coupling sites (see Structure I above) by any one of several suitable means, including, by way of example, activating a vitamin B<sub>12</sub> molecule by hydrolyzing its propionamide groups to produce monocarboxylates, purifying the resulting monocarboxylates, and coupling a linker to a selected coupling site. Hydrolysis of the coupling sites may be accomplished by exposing vitamin B<sub>12</sub> to aqueous acid for a period of time and under suitable conditions to hydrolyze the desired propionamide groups. Preferably, hydrolysis is performed by exposure of the amide to dilute aqueous acid for a period of about 6 to 12 days, typically about 9 to 11 days, and most preferably about 10 days at room temperature. Suitable aqueous acids include, by way of example, 0.1N hydrochloric acid, 0.5N phosphoric acid or 0.5N sulfuric acid.

Purification of *b*-, *d*- and *e*- monocarboxylates can be accomplished by any one of several means, including column chromatography, such as gel permeation chromatography, adsorption chromatography, partition chromatography, ion exchange chromatography, and reverse phase chromatography. Preferably, column chromatography is preparative reverse phase liquid chromatography. These techniques are described in detail in Lim, <u>HPLC of Small Molecules</u>, IRL Press, Washington,

20 D.C., 1986. Purification of monocarboxylates by preparative liquid chromatography (LC) should be accomplished at a very slow flow rate. For example, LC purification may be conducted at a flow rate of 0.15 mL/min. on a 5  $\mu$ m, 4.6 X 250 mm propylamine column (RAININ microsorb-MV amino column) eluting with 58  $\mu$ M pyridine acetate, pH 4.4 in H<sub>2</sub>O : THF (96 : 4) solution. Even more preferably, the

25 coupling reaction is monitored using analytical high pressure liquid chromatography (HPLC). Reverse-phase HPLC chromatography is preferably carried out using an analytical version of above-noted propylamine column using a gradient solvent system at a flow rate of 1 mL/min. Within the context of the present invention, the *d*- isomer is identified as the longest retained peak (third), the *e*- isomer is identified as the second

30 retained peak, and the *b*- isomer is identified as the shortest retained peak (first) eluted from the LC column. The *d*- isomer may also be identified as that vitamin  $B_{12}$ derivative demonstrating the greatest biological activity as noted below.

A ribose coupling site (coupling site h, see structure I) may be activated by any one of several suitable means including, activating a hydroxyl group at coupling site h by reaction with a suitable reagent (e.g., succinic anhydride), to yield a ribose derivative which bears a reactive group (e.g., a carboxylate group). This technique is

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described in detail in Toraya, <u>Bioinorg. Chem.</u> 4:245-255, 1975. Separation and purification of the activated molecule may be accomplished on a C18 column as noted below. Once coupling site h has been activated, a linker may be coupled to this site in the same manner as described below.

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After activating the vitamin  $B_{12}$  molecule at a selected coupling site, linkers may be coupled to a vitamin  $B_{12}$  molecule to form a vitamin  $B_{12}$  linker adduct using any one of several means, including, by way of example, an amide forming reaction, employing an amine group on the linker and a carboxylate coupling site on a vitamin  $B_{12}$  molecule. Alternatively, a linker may be coupled to a vitamin  $B_{12}$ 

10 molecule through an amide forming reaction, employing a carboxylate group on the linker and an amino group on a  $B_{12}$  molecule. The amide forming reaction may include the use of a coupling agent. Suitable coupling agents include carbodiimide coupling agents, such as, by way of example, 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride (EDC), 1-benzyl-3-(3-dimethylaminopropyl), carbodiimide (BDC), 1-

15 cyclohexyl-3-(2-morpholinyl-4-ethyl)carbodiimide (CMC), and 1,3dicyclohexylcarbodiimide (DCC). Preferably, the coupling agent is water soluble. Even more preferably, the coupling agent is EDC.

Alternatively, the amide forming reaction coupling the linker to a  $B_{12}$ molecule may employ a reactive carboxylic acid group and an amine. Suitable reactive carboxylic acid groups include carboxylic acid derivatives which yield an amide upon reaction with an amine. Such reactive groups include, by way of example, any reactive carboxylic acid derivative, including, by way of example, carboxylic acid halides, such as acid chlorides and bromides; carboxylic acid anhydrides, such as acetic anhydrides and trifluoroacetic anhydrides; esters, such as p-nitrophenyl esters and N-

25 hydroxysuccinimide esters. Such techniques are described in detail in Bodanszky, <u>Principles of Peptide Synthesis</u>, Springer Verlag, Berlin, 1984.

Although coupling of a linker through a cyano coupling site is possible it is not preferred, due to the instability of linkers coupled to this site. Dolphin, D., [205] <u>Methods Enzymol. 18C</u>:34-52, 1971. Additionally, a linker may be coupled to a benzimidazole (coupling site *i*, see Structure I) using techniques described in detail in

30 benzimidazole (coupling site *i*, see Structure I) using techniques described in deta Jacobsen, <u>Anal. Biochem, 113</u>:164-171, 1981.

Vitamin  $B_{12}$  linker adducts may be separated and purified using any suitable means, including column chromatography, such as gel permeation chromatography, adsorption chromatography, partition chromatography, ion exchange chromatography, and reverse phase chromatography. Preferably, column

chromatography is preparative LC. These techniques are described in detail in Lim, <u>HPLC of Small Molecules</u>, IRL Press, Washington, D.C., 1986.

As noted above, the vitamin  $B_{12}$  receptor modulating agents of the present invention must be capable of binding transcobalamin II. The ability of a receptor modulating agent to bind TcII may be ascertained using any one of several means known in the art, including competitive binding assays with the receptor modulating agent competing with native vitamin  $B_{12}$ .

Rerouting moieties of the present invention include any moiety which is capable of affecting the receptor trafficking pathway. This characteristic can be assessed by employing a receptor modulating agent having a radiolabeled targeting moiety and following its path through the cell. This is accomplished using techniques known in the art, including using radiolabeled, biotinylated, or FITC labeled targeting moiety followed by binding assays, ELISA, or flow cytometry. A preferred receptor modulating agent is one which results in the removal of the highest percent of receptor for the longest period of time.

Suitable rerouting moieties of this invention do not significantly detract from the selectivity of the targeting moiety. Whether a rerouting moiety detracts from the selectivity of a targeting moiety may be determined by any one of several methods known in the art, including comparing binding of the receptor modulating agent on

20 receptor positive and receptor negative cells, as assessed by ELISA, flow cytometry, or other binding assays.

Rerouting moieties cause the retention/degradation of an agent/receptor complex within at least one cell type, but not necessarily in all cells. In like fashion, a rerouting moiety causes retention of an agent/receptor complex in some cells, but not

25 necessarily other agent/receptor complexes in other cells. Different rerouting moieties may also distinguish between receptor species, for example, as in polarized epithelium where the same receptor may independently traffic on the apical, basal, or basolateral sides of the cell. To determine if a particular rerouting moiety is suitable, a rerouting moiety is covalently attached to the targeting moiety, and the resulting receptor 30 modulating agent is compared for receptor modulation on different receptor-bearing cells using binding or functional assays known in the art.

Suitable rerouting moieties of this invention may be categorized into five different functional classes: (1) lysosmotropic moieties; (2) intracellular polymerizing moieties; (3) protein sorting signals or sequences; (4) conditional membrane binding peptides; and (5) bi- or multi-valent receptor cross linking moieties. While such rerouting moieties may have different functional mechanisms of action, all promote

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retention of the agent/receptor complex within the intracellular vesicular system. All of these classes of rerouting moieties will impart the ability to affect the receptor trafficking pathway.

In one aspect of the present invention, a first functional class of rerouting moieties, lysosomotropic moieties, are disclosed. Within the context of the present invention, the term "lysosomotropic moieties" refers to moieties which route the agent/receptor complex to the lysosomes. Numerous suitable lysosomotropic moieties are known, and are reviewed in <u>Biochem. Pharmacol. 23</u>:2495-2531, 1974.

A preferred lysosomotropic moiety includes an aminoglycoside 10 antibiotic marked by the characteristic ability to accumulate in lysosomes after intracellular protonation. Intracellular protonation occurs in the increasingly acidic conditions which occur during the transfer from early to late endosomes and, finally, to the lysosome. Strong positive charges prohibit the lysosomotropic moiety from leaving the membrane-enclosed vesicles, thus trapping the agent/receptor complex in the vessel.

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Aminoglycoside antibiotics are similar in structure, but are divided into structurally related families of compounds based upon the sugar units. Each of the families of aminoglycoside antibiotics, as well as representative members thereof, are set forth in Figures 2-5. These families include gentamycin, kanamycin, neomycin and streptomycin. The gentamycin family includes gentamycin  $C_1$ , gentamycin  $C_2$ ,

20 gentamycin C<sub>1a</sub>, sisomicin and netilmicin; the kanamycin family includes kanamycin A, tobramycin and amikacin; the neomycin family includes neomycin B, paromomycin, ribostamycin and bytirosin B; and the streptomycin family includes streptomycin A and streptomycin B.

In a particularly preferred embodiment of the present invention, the rerouting moiety is gentamycin, which accumulates in lysosomes in concentration as much as 300 fold that of the extracellular concentration (J. Pharmacol. Exp. Ther. 255:867-74, 1990; <u>Ren. Fail. 14</u>:351-7, 1992).

Suitable aminoglycosides have reactive amine groups capable of being coupled through peptide or other chemical linkers. Thus, a targeting moiety may be 30 readily attached via covalent linkage to these rerouting moieties using any one of several techniques known in the art to form covalent bonds, for example, using thioether, disulfide, ether, ester and peptide bonds. Since many of the aminoglycoside antibiotics have several amines which could be derivatized in a conjugation procedure, a primary amine contained in these compounds can be selectively reacted to favor

35 covalently attachment to the targeting moiety through this amine (*see* amine indicated with arrow in Figures 2-4). With regard to streptomycin, covalent attachment to the

targeting moiety may be accomplished by converting the aldehyde moiety indicated in Figure 5 to an amine, and attaching to the targeting moiety using carbodiimide or other suitable activated carboxylic acid. Aminoglycosides are water soluble and do not readily bind to other proteins, and thus do not impart non-specific binding to a receptor modulating agent.

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Particularly preferred aminoglycosides include those which allow for preferential derivation of a selected amine. Specifically, preferred aminoglycosides include those compounds to which protective groups can be added to various nitrogen atoms thereof and, subsequently, selectively deprotected to yield a single free amine.

10 The free amine can be further derivatized, for example, by addition of a peptide linker or covalently attached directly to the targeting moiety. These rerouting moieties include ribostamycin (see Figure 4), kanamycin (see Figure 3), amikacin, and streptomycin. Ribostamycin is particularly preferred, due to its relative low toxicity and its derivatization chemistry, allowing an acyl migration reaction to be effected on a

15 hydroxyl protected ribostamycin to yield a single amine adduct. Kanamycin may also be used in a selective protection/acylation reaction; Amikacin is commercially available in a form which allows attachment without deprotecting its amines or alcohol groups; and streptomycin can also be readily derivatized by protonating guanidinium groups under physiologic conditions to provide the polycations necessary for cellular or

20 lysosomal retention.

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In another aspect of the present invention, non-aminoglycoside lysosomotropic compounds which may accumulate after intracellular protonation are also suitable rerouting moieties (*see* Figure 6). Suitable non-aminoglycoside compounds exhibiting this characteristic are known in the art, a series of aminoacridine and amino quinoline dyes, typified by cholquinine and quinacrine; a group of amino

naphthalenes, typified by dansyl cadaverine; and derivatives thereof. Such dyes are characterized by cellular retention and low toxicity. All of these compounds have characteristic sites for covalent attachment to a targeting moiety via the nitrogen indicated in Figure 6 and may be attached thereto as described above.

30 Another aspect of the present invention utilizes a lysosomotropic peptide subject to charge modification under intracellular conditions is employed as a rerouting moiety. Once charge-modified, the rerouting peptide acts to retain an agent/receptor complex in the intracellular vesicular system until membrane flow delivers it to the lysosome for degradation. Preferably, these peptides are capable of being 35 phosphorylated by intracellular protein kinases. When phosphorylated by the intracellular enzymes, such peptides would be highly anionic.

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Charge-based retention can be an inherent property of the rerouting peptide or can be imparted by intracellular modification. Intracellular modification may be accomplished by any of several means known in the art, including phosphorylation of certain residues of some receptors (*e.g.*, the EGF receptor) may cause intracellular rerouting (Cancer Treat. Res. 61:139-160, 1992; J. Cell. Biol. 116:321-30, 1992).

The rerouting peptides may be covalently attached to a targeting moiety by any means, including, for example, covalently linking the peptide directly to the targeting moiety, or by use of an appropriate linker moiety, such as G-G-G, which may be derivatized and covalently attached to the targeting moiety.

10 Preferred rerouting peptides include protein kinase-substrate peptides that incorporate serine. These peptides are particularly preferred for enhancement of receptor rerouting in tumor target cells, which have increased levels of protein kinase activity for serines or tyrosines. Increased levels of kinase activity within tumor cells may be attributed to the presence of oncogene products, such as H-ras, on the cytoplasmic side of tumor cell plasma membranes (C.I.B.A. Found, Symp. 164:208-18, 1992).

Suitable rerouting peptides also include protein kinase substrates and peptides that possess a single positive charge. The latter type of rerouting peptide may form an ion pair with a "glutamate-like" residue of an attached or closely associated residue(s) of the receptor. Particularly preferred rerouting peptides may be derived, using technologies known in the art, from the proteins and the amino acid sequences identified in Table 4.

Table 4 Rerouting Peptides		
Peptide Source	AMINO ACID SEQUENCE	
EGF receptor	DVVDADEYLIPQ	
EGF fragment	CMHIESLDSYTC	
Phosphorylase kinase	RTKRSGSVYEPLKI	
Protein kinase C pseudosubstrate	RFARK-GALRQKNV	
Myelin basic protein	S/T-XAA-K/R (where XAA is an uncharged residue)	
Kemptide	RGYALG or RGYSLG	
Glycogen synthetase	PLSRTLSVAA	

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Transferrin receptor	FSLAR
III histone	ASGSFKL
Casein kinase II substrate	AAAAAASEEE or AAAAAASDDD
Insulin receptor auto-phosphorylation	DIYETDYYR
substrate calmodulin-dependent protein kinase	Waxman and Arenowski Biochem.
П	<u>32(11)</u> :2923-30, 1993
Neurogranin	Chen et al., Biochem. 32(4):1032-9, 1993
MARCKS	Heemskerk et al., Biochem, Biophys, Res. Commun, 190(1):236-41, 1993
Glycogen synthase	Marais et al., FEBS Letters 277:151-5, 1990
Ribosomal protein S6	Munro et al., <u>Biochem. Biophys. Acta</u> <u>1054</u> :225-30, 1990
Co-polymers which serve as substrates for protein kinase A, C, P	Abdel-Ghony et al., <u>Proc. Nat'l. Acad. Sci.</u> <u>86</u> :1761-5, 1989; Abdel-Ghony et al., <u>Proc.</u> <u>Nat'l. Acad. Sci. 85</u> :1408-11, 1988
Serine-threonine kinases	Abdel-Ghony et al., <u>Proc. Nat'l. Acad. Sci.</u> <u>86</u> :1761-5, 1989; Abdel-Ghony et al., <u>Proc.</u> <u>Nat'l. Acad. Sci. 85</u> :1408-11, 1988

In another aspect of the present invention, the rerouting moiety is a lysosomotropic amino acid ester which, in high concentration, can cause the lysis of granule containing cells, such as NK cells, cytolytic T cells and monocytes. The concentration must generally be maintained below 100 mM to avoid lysis. Suitable lysosomotropic amino acid esters and their sources are presented in Table 5.

TABLE 5 Lysosomotropic Amino Acid Esters		
Leu-O-Me	Res. Immunol. 143:893-901, 1992	
	Eur. J. Immunol. 23:562-5, 1993	
	Intl. Arch. Aller. & Immunol. 100:56-59, 1993	
	Cell. Immunol. 139:281-91, 1992	
	Exp. Pathol. 42:121-7, 1991	

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Iso-leu-O-Me	<u>Res. Immunol. 143</u> :893-901, 1992
L-Val-O-Me	<u>J. Immunol. 134</u> :786-93, 1985
Phe-O-Me	<u>J. Immunol. 148</u> :3950-7, 1992 <u>Blood 79</u> :964-71, 1992
Phe-, Ala-, Met-, Trp-, Cys-, Try-, Asp-, & Glu-O-Me	Int. J. Immunopharmacol. 13:401-9, 1991

The lysosomotropic amino acid esters identified in Table 5 can be used to retain the agent/receptor complex in lysosomes after intracellular cleavage of the ester. In one embodiment, such amino acid esters may be utilized as the C-terminal portion of a larger peptide containing a linker sequence and/or a phosphorylation substrate sequence, and with suitable residues, such as cysteine, for covalent attachment to a targeting moiety, such as a sequence encoding a peptide or protein ligand for a given cell surface receptor.

In another embodiment of the present invention, a second functional class of rerouting moieties is disclosed. This class includes peptides which undergo polymerization within endosomes or lysosomes, inhibiting their passage through intracellular membranes.

Intracellular polymerizing compounds can be incorporated into a larger peptide containing the targeting moiety and a linker. Suitable peptides include the dipeptide ester referenced in Table 5 (*i.e.*, L-Leucyl-L-Leucine-O-Me). When transported into cells, these dipeptide esters preferentially accumulate in lysosomes and secondary granules of cytotoxic cells. These dipeptides also undergo self-association and polymerization, which results in trapping at low concentrations, and membrane rupture at higher concentrations.

TABLE 6	
Polymerizing Di-peptide Ester: L-Leucyl-L-Leucine-O-Me	
J. Invest. Dermat. 99:805-825, 1992	
J. Clin. Invest. 84:1947-56, 1989	
Transpl. 53:1334-40, 1992	
J. Immunol. 138:51-7, 1987	
J. Immunol. 148:3950-7, 1992	

<u>J. Immunol. 136</u> :1038-48, 1986
<u>Cryobiology 29</u> :165-74, 1992
Acta. Biochem Biophys. Hung 24:299-311,1989
<u>Blood 79</u> :964-71, 1992
Blood 78:2131-8, 1991
J. Immunol. 139:2137-42, 1987
J. Exp. Med. 172:183-194, 1990
J. Clin. Invest. 78:1415-20, 1986
<u>PNAS 87</u> :83-7, 1990
J. Immunol. 137:1399-406, 1986
<u>PNAS 82</u> :2468-72, 1985

Suitable intracellular polymerizing compounds also include peptides that can self-associate into alpha-helical structures termed "leucine zippers". In the context of this invention, such structures may be used to form intracellular polymers that are incapable of exiting intracellular vesicles. Such sequences can be selected by observing self association of the compounds in solution, and the formation of polymers capable of binding to DNA. Suitable peptide sequences that can self-associate into alpha helical structures are presented in Table 7.

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TABLE 7
LEUCINE ZIPPERS
Boc(t-butoxycarbonyl)-Aib(alpha-aminoisobutyryl)
Glu(OB <sub>n</sub> l)-(benzoyl ester)-Leu-Aib-Ala-Leu-Aib-Ala-
Boc-Aib-Leu-Aib-Aib-Leu-Aib-Leu-Aib-O-Me
Proteins 12:324-30, 1992
Lys(Z)(benzyloxy-carbonyl)-Aib-O-Me
<u>PNAS 87</u> :7921-5, 1990
GELEELLKHLKELLKGER
<u>Biochem. 31</u> :1579-84, 1992

In another embodiment of the present invention, a third functional class of rerouting moieties is disclosed. This class includes moieties that can be recognized by intracellular receptors. Such sequences are identified by their ability to stop movement of endogenously synthesized proteins to the cell surface. Suitable peptides include certain peptide sequences (such as sorting or signal sequences) associated with

5 include certain peptide sequences (such as sorting or signal sequences) associated with the trafficking of endogenously synthesized proteins (<u>Cur. Opin. Cell. Biol. 3</u>:634-41, 1991). Such peptide sequences, when covalently attached to the C-terminus of an exogenously added targeting moiety, result in the retention of the agent/receptor complexes in the endoplasmic reticulum ("ER"), Golgi apparatus, or lysosomes.

Such peptide sequences are recognized by intracellular receptors, examples of which include both mammalian and bacterial versions of ER receptors described in detail in <u>J. Cell. Biol. 120</u>:325-8, 1993; <u>Embo. J. 11</u>:4187-95, 1992; <u>Nature 348</u>:162-3, 1990. Further exemplary peptide sequences and variants thereof (shown in parentheses) that can be recognized by intracellular receptors are set forth in Table 8,

15 Sections A and B.

Certain signal sequences may be preferred for retention by one type of organism versus another type. For example, REDLK is a preferred sequence recognized by prokaryotic cells and to a lesser degree by eukaryotic cells (*see* Table 8, section C). Thus, employing this sequence as the rerouting moiety, receptor modulating

20 agents can be constructed to selectively inhibit a receptor-mediated process in bacteria, while having little effect on mammalian cells.

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Table 8           Peptide Sequences Which Bind Intracellular Receptors		
A. Endoplas	mic Reticulum or Golgi Retention Peptides	
1. KDEL (DKEL, RDEL, KNEL, SDEL, KEEL, QDEL, KEDL, KDEL)	J. Biol. Chem, 265:5952-5, 1990 Biochem. Biophys. Res. Commun. 172:1384-91, 1990 J. Virol, 65:3938-42, 1991 Exp. Cell Res. 197:119-24, 1991 Growth Factors 5:243-53, 1991 J. Biol. Chem. 267(10):7022-6, 1992 J. Biol. Chem. 267:10631-7, 1992 J. Cell. Biol. 118:795-811, 1992 J. Cell. Biol. 119:85-97, 1992 Exp. Cell. Biol. 119:85-97, 1992 Exp. Cell. Res. 203:1-4, 1992 P.N.A.S. 90:2695-9, 1993 Mol. Biochem Parasitol 48:47-58, 1991 Embo J. 4:2345-55, 1992 J. Biol. Chem. 266:14277-82, 1991 Mol. Cell Biol. 11:4036-44, 1991	
2. HDEL (HVEL, HNEL, HTEL, TEHT, DDEL, HIEL)	<u>J. Biol. Chem. 268</u> :7728-32, 1993 <u>Mol. Biochem Parasitol 57</u> :193-202, 1993 <u>J. Cell SCI 102</u> :261-71, 1992 <u>Eur J. Biochem. 206</u> :801-6, 1992 <u>J. Biol. Chem. 266</u> :20498-503, 1991	
3. ADEL	Embo J. 11:1583-91, 1992	
4. REDLK	J. Biol. Chem. 266:17376-81, 1991	
5. SEKDEL	Growth Factors 5:243-53, 1991	
6. KTEL	<u>J. Virol. 66</u> :4951-6, 1992	
B.	Lysosomal Retention Peptides	
1. KFERQ	Trends Biochem SCI 15:305-9, 1990	
2. Tyrosine-containing polypeptides	<u>J. Cell Biol. 111</u> :955-66, 1990	
C. Org	ANISM-SPECIFIC RETENTION PEPTIDES	
1. REDLK	J. Biol. Chem. 266:17376-17381, 1991	

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	D. CLATHRIN-BINDING PEPTIDES (INTERNALIZATION SIGNALS)		
1.	LLAV	J. Cell. Biol. 199:249-57, 1992	
2.	YKYSKV	J. Cell. Biol. <u>199</u> :249-57, 1992 Embo. J. <u>7</u> :3331-6, 1988	
3.	PPGYE	<u>Cell 67</u> :1203-9, 1991 <u>Curr. Opin. Cell Biol, 3</u> :1062, 1991	

A further class of peptide sequences of this invention, termed "internalization signals," function by binding to clathrin, both in the coated pits, as well as those intracellular vesicles which maintain a clathrin coat. Representative examples of such clathrin-binding peptides (CBP) are disclosed in Table 8, section D. The CBP binds clathrin in the coated pits initially located on the cell surface causing retention of the targeting moiety to which it is conjugated.

A further class of moieties capable of recognizing intracellular receptors includes carbohydrates. Suitable carbohydrates include any carbohydrate which is capable of binding to intracellular carbohydrate (CHO) receptors but not cell surface CHO receptors. Such carbohydrates include: mannose-6-phosphate and glucose-6phosphate. Suitable carbohydrate moieties include those which bind to the insulin-like growth factor II/mannose-6-phosphate (IGF II/M6P) receptor, include analogs of mannose-6-phosphate, as well as other phosphorylated saccharides (<u>Carbohydrate Res.</u> 15 213:37-46, 1991; FEBS Lett. 262:142-4, 1990).

The affinity of the rerouting moiety can be varied by changes in the chemical nature of the phosphorylated saccharides (J. Biol. Chem. 264:7970-5, 1989; J. Biol. Chem. 264:7962-9, 1989) (monosaccharides bind with the lowest affinity, while di- or tri-saccharides bind with increasingly higher affinity). Clustering of phosphorylated saccharides on protein carriers can dramatically increase affinity to the intracellular receptor.

Synthesis of various oligosaccharides are reviewed in <u>Sem. Cell. Biol.</u> 2:319-326, 1991. Although, mannose-6-phosphate receptor expression is primarily intracellular, expression also occurs on cell surfaces. Thus, in the context of the present invention, covalent attachment of a targeting moiety with a carbohydrate which binds the mannose-6-phosphate receptor should be constructed so as to give at least 100-fold difference in binding affinity between the targeting moiety and the rerouting moiety. For example, a vitamin B<sub>12</sub>/transcobalamin II receptor targeting moiety, in this case vitamin B<sub>12</sub>, would have a binding affinity for the carrier protein, transcobalamin II (TcII), of  $\geq 10^{-10}$  M and an affinity for the IGF II/M-6-P receptor of  $10^{-8}$  M or less. This will maintain the specificity of the vitamin B<sub>12</sub> binding (via TcII), while allowing transfer of the receptor modulating agent from serum M-6-P soluble receptor to cell surface receptor.

5

In addition to IGF II/M-6-P receptor moieties, other carbohydrate-based rerouting moieties also promote retention of the modulating agent/receptor complex in the ER or Golgi complex. Such moieties are based on the recognition by various glycosyl transferases of carbohydrate moieties, either as a natural substrate or as an inhibitor. Such moieties are reviewed in <u>Sem. Cell. Biol.</u> 2:289-308, 1991. For example, saccharide recognition moieties include penultimate sugars, such as glucose and N-acetyl glucosamine (which are natural substrates). More preferred, however, are glycosylation inhibitors which are recognized by glycosyl transferases, but cannot serve to append further carbohydrate residues on growing chains (<u>Sem. Cell. Biol.</u> 2:309-318, 1991) (*see* Figure 7).

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In yet another embodiment of the present invention, a fourth functional class of rerouting moieties is disclosed. This class is generally comprised of rerouting moieties which anchor the receptor to the cell membrane. By way of example, this class includes membrane-binding peptides that exhibit conditional pH-dependent membrane binding. Such peptides exhibit  $\alpha$ -helical character in acid but not neutral pH

- 20 solutions. When a conditional membrane-binding peptide assumes a helical conformation at an acidic pH, it acquires the property of amphiphilicity, (*e.g.*, it has both hydrophobic and hydrophilic interfaces). More specifically, within a pH range of approximately 5.0-5.5, such a peptide forms an alpha-helical, amphiphilic structure that facilitates insertion of the peptide into a target membrane. An alpha helix-induced
- 25 acidic pH environment may be found, for example, in the low pH environment present within cellular endosomes or lysosomes. In aqueous solution at physiological pH, a conditional, membrane-binding peptide is unfolded (due to strong charge repulsion among charged amino acid side chains) and is unable to interact with membranes.

Suitable conditional membrane-binding peptide sequences include the 30 charged amino acids glutamate, aspartate, and histidine. A preferred conditional membrane-binding peptide includes those with a high percentage of helix-forming residues, such as glutamate, methionine, alanine, and leucine. Further, conditional membrane-binding peptide sequences include ionizable residues having pKas within the range of pH 5-7, so that a sufficiently uncharged membrane-binding domain will be present within the peptide at pH 5 to allow insertion into the target cell membrane. Conditional membrane-binding peptides can be incorporated through covalent bonds to

a chemical or peptide targeting moiety or synthesized as an entire peptide sequence including a linker and peptide targeting moiety.

A particularly preferred conditional membrane-binding peptide is aa1aa2-aa3-EAALA(EALA)<sub>4</sub>-EALEALAA-amide, which represents a modification of a published peptide sequence (<u>Biochemistry 26</u>:2964, 1987). Within this peptide sequence, the first amino acid residue (aa1) is preferably a unique residue such as cysteine or lysine, that facilitates chemical conjugation of the conditional membranebinding peptide to a targeting protein. The peptide can also be incorporated into a fusion protein with a protein or peptide targeting moiety (*see* Example 7). Amino acid

10 residues 2-3 (*i.e.*, aa2-aa3) may be selected to modulate the affinity of the translocating peptide for different membranes. For instance, if both residues 2 and 3 are lysine or arginine, the peptide will have the capacity to bind to membranes or patches of lipids having a negative surface charge. If residues 2-3 are neutral amino acids, the peptide will insert into neutral membranes.

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Yet another preferred conditional membrane-binding peptide can be derived from sequences of apo-lipoprotein A-1 and B; peptide toxins such as melittin, bombolittin, delta hemolysin and the pardaxins; antibiotic peptides, such as alamethicin; peptide hormones, such as calcitonin, corticotrophin releasing factor, beta endorphin, glucagon, parathyroid hormone, and pancreatic polypeptide. Such peptides

- 20 normally bind membranes at physiologic pH but through attachment of substituents the peptides can be enhanced in their ability to form alpha-helices at acidic pH and reduced in their membrane-binding at physiologic pH. An example of such a modified peptide having pH-dependent membrane binding at acidic pH is fully succinylated melittin. In this example, a peptide (melittin) that normally binds to membranes at physiological pH
- 25 is converted to a pH-dependent peptide through succinylation of lysines. Upon succinylation, the peptide displays an amphipathic character only at acidic pHs.

Insertion of a conditional membrane-binding peptide into a target cell membrane is enhanced through stabilization of the amphiphilic alpha helix. Helix stabilization may be achieved: (1) by adding repeating "EALA" units to form a longer

- 30 peptide; (2) by placing an amide at the C-terminus of the peptide, in order to counteract the helical dipole; (3) by polymerizing the peptide; (4) by substituting a natural helixformer for one or more of the stacked glutamates; or (5) by attaching the peptide to a targeting moiety through use of a longer linker, in order to provide sufficient distance between the membrane binding peptide and the targeting moiety for the peptide to
- 35 contact and interact with the target cell intracellular membranes.

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In yet another embodiment of the present invention, a fifth functional class of rerouting moieties is disclosed. In this context, the rerouting moiety merely functions as a modulating agent in that the moiety disables the receptors by crosslinking the same. This class includes bi- or multi-valent receptor crosslinking moieties formed from monovalent binding targeting moieties. Cross-linking of receptors in some receptor systems is sufficient to cause a rerouting of cell surface receptors to lysosomes for degradation, rather than their normal pathway of receptor recycling. The synthesis of a bivalent receptor modulating agent is exemplified in greater detail in the examples below.

10 A preferred cross-linking receptor modulating agent is a vitamin B<sub>12</sub> dimer. In this embodiment, each vitamin B<sub>12</sub> molecule acts as a targeting agent and a rerouting agent; cross-linking the B<sub>12</sub> dimer will cross-link the vitamin B<sub>12</sub> receptors, thus impeding the receptor trafficking pathway. A preferred vitamin B<sub>12</sub> dimer is generally comprised of two vitamin B<sub>12</sub> molecules, such as cyanocobalamin, coupled by one or more linkers through coupling sites independently selected from *a-g*, *h* (ribose), and *i* (benzimidazole). Preferably, cross-linking occurs between *d*- or *e*-coupling sites on both molecules. The dimer must be capable of forming a B<sub>12</sub>/TcII complex. As noted above, this characteristic may be assayed using any one of several techniques known in the art, including competitive binding assays.

20 A vitamin B<sub>12</sub> may be coupled to a second vitamin B<sub>12</sub> molecule in the same manner as described in detail for conjugation of rerouting moieties to vitamin B<sub>12</sub> targeting moieties. As noted above, dimers may be synthesized using one or more linkers of various lengths and any combination of homobifunctional, heterobifunctional, homotrifunctional, or heterotrifunctional linkers. As noted above, the use of a trifunctional linker allows for coupling with any number of additional moieties.

In selecting a linker for dimer synthesis, it should be noted that the total number of atoms comprising the linker between the vitamin  $B_{12}$  molecules should generally be greater than 10 atoms, typically be in the range of 30 to 55 atoms and, preferably be 45. As noted above, one of ordinary skill in the art will appreciate that although the number of atoms is calculated relative to a <u>linear</u> chain of atoms, <u>linear</u> chain, branched chain, and cyclical chain linkers or combinations thereof would be suitable. Hence, the structure of the atom chain in a linker would include, by way of example, alkyl, heteroalky, alkylaryl, and heteroalkyl aryl.

By way of example, a dimer may be synthesized by combining two 35 different vitamin  $B_{12}$  linker adducts in the presence of a coupling agent. The linkers

couple and dimers may then be separated and purified using the same methods outlined above.

Alternatively, activated vitamin  $B_{12}$  may simply be combined with a homobifunctional or homotrifunctional linker (Tables 1 and 3). Preferably, in this embodiment, the ratio of vitamin  $B_{12}$  to linker should be in the range of 2:1. Preferably, a 1:1 ratio is used in preparation of mixed dimers (*e.g.*, *b*- and *e*-acid derivatives) or mixed ligands (*e.g.*,  $B_{12}$  and hormone). Dimers may be separated and purified as noted above.

In still another alternative, vitamin B<sub>12</sub> linker adducts, synthesized as described, above may be coupled by a third linker. The third linker, a "cross-linker," serves to bridge the linkers on the vitamin B<sub>12</sub> linker adducts. Suitable cross-linkers include those noted in Tables 1, 2, and 3.

Polymerization of peptides may be accomplished by placing a cysteine residue at each end of a peptide, followed by oxidation using dissolved oxygen or other mild oxidizing agent, such as oxidized glutathione. The average length of a polymerized peptide may be controlled by varying the polymerization reaction conditions.

The amino acid sequence of any of the peptides of this invention may be selected to include all L-amino acids or all D-amino acids having a side chain  $pK_a$  from

5.0 to 9.0. D-amino acids may be advantageously used to form non-proteolyzable peptides, since the D-amino acids are not metabolized within the cell. Further, the peptides of the present invention may include a combination of L- and D-amino acids, wherein D-amino acids are substituted for L-amino acids on either side of a proteolytic cleavage site. Yet another preferred noncleavable peptide incorporates peptide bond analogs that are not susceptible to proteolytic cleavage by cellular enzymes.

As discussed above, the receptor modulating agents of this invention comprise a targeting moiety coupled to the rerouting moiety. The rerouting moieties identified above may be covalently attached to the targeting moiety by any one of several techniques known in the art, including (a) by chemical modifications such as a

- 30 disulfide formation, thioether formation, amide formation or a reduced or non-reduced Schiff's base, (b) by direct peptide bond formation as in a fusion protein, or (c) by use of a chemical and peptide linker. Suitable peptide linkers in this regard correspond to two or more amino acid residues that allow the rerouting peptide to assume its active conformation independent of its interaction with the targeting moiety, and which allows
- 35 sufficient distance for rerouting moiety access to, for example, intracellular membranes from the peptide attachment site on the targeting moiety.

In one embodiment, a rerouting moiety may be conjugated to a vitamin  $B_{12}$  targeting moiety by any one of several means, including, by way of example, coupling a rerouting moiety to a reactive group on a vitamin  $B_{12}$  linker adduct; coupling a vitamin  $B_{12}$  to a reactive group on a rerouting moiety linker adduct or an appropriate side chain thereof; coupling a vitamin  $B_{12}$  linker adduct to a rerouting moiety linker adduct or an appropriate side chain thereof; coupling a vitamin  $B_{12}$  linker adduct to a rerouting moiety linker adduct or an appropriate side chain thereof; coupling a rerouting a rerouting a rerouting moiety/biotin binding protein conjugate to a vitamin  $B_{12}$ /biotin conjugate; or coupling a rerouting moiety biotin conjugate to a vitamin  $B_{12}$ /biotin binding protein conjugate.

Coupling of a rerouting moiety to a vitamin  $B_{12}$  linker adduct, or a 10 vitamin  $B_{12}$  to a rerouting moiety linker adduct, may be accomplished using the same techniques noted above for coupling a vitamin  $B_{12}$  molecule with a linker. The only critical consideration of this aspect of the invention is that the total linker length must be sufficient to avoid steric hindrance. Preferably, the total linker length is at least 6 atoms.

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Coupling of a rerouting moiety/biotin binding protein conjugate to a vitamin  $B_{12}$ /biotin conjugate may be accomplished using any one of several means described in detail in <u>Avidin-Biotin Chemistry: A Handbook</u>, ed. D. Savage, Pierce Chemical Co., 1992. Briefly, a biotin binding protein conjugate is prepared using a rerouting moiety or, as in a second embodiment, a vitamin  $B_{12}$  molecule. Suitable biotin binding proteins include avidin or streptavidin. In some circumstances, a linker may be utilized to distance the molecules. For example, when coupling a vitamin  $B_{12}$  to an avidin, a linker of at least 6 atoms is preferred.

A biotin conjugate is prepared using a vitamin  $B_{12}$  molecule or, as in a second embodiment, a rerouting moiety. By way of example, a vitamin  $B_{12}$  molecule is combined with an NHS ester of biotin. Preferably, the vitamin  $B_{12}$  molecule is a vitamin  $B_{12}$  linker adduct as described above. Even more preferably, the vitamin  $B_{12}$ molecule is a vitamin  $B_{12}$  linker adduct characterized by a 12 atom linear linker coupled to the *d*- or *e*- coupling site.

Once formulated, coupling between the biotin conjugates and biotin 30 binding protein conjugates is easily accomplished by combining the complementing conjugates, *i.e.*, a vitamin B<sub>12</sub>/biotin conjugate with a rerouting moiety/avidin conjugate.

In another aspect of the present invention, a  $B_{12}$ /biotin conjugate is utilized to couple a vitamin  $B_{12}$  to any number of compounds through biotin binding protein conjugates. Using a vitamin  $B_{12}$ /biotin conjugate, any compound which is capable of coupling a biotin binding protein may be coupled to a vitamin  $B_{12}$  and

thereby internalized into cells expressing the vitamin  $B_{12}$  receptor. Such compounds include, in addition to the rerouting moieties described in detail below, hormones, enzymes, antibodies or fragments thereof, markers, or therapeutics. Coupling any of these compounds to a biotin binding protein, such as avidin or streptavidin, may be

5 accomplished using techniques described in detail in <u>Avidin-Biotin Chemistry:</u> <u>A Handbook</u>, ed. D. Savage, Pierce Chemical Co., 1992.

In one aspect of this embodiment, a vitamin  $B_{12}$ /biotin conjugate is coupled to a therapeutic/avidin conjugate directed at neoplastic disorders. Neoplastic disorder therapeutics which may be coupled to a vitamin  $B_{12}$ /biotin conjugate through avidin include doxorubicin, daunorubicin, etoposide, teniposide, vinblastine, vincristin,

10 avidin include doxorubicin, daunorubicin, etoposide, teniposide, vinblastine, vincristin, cyclophophamide, cisplatin and nucleoside antimetabolites such as arabinosylcytosine, arabinosyladenine and fludarabine.

In another aspect of this embodiment, a vitamin  $B_{12}$ /biotin conjugate is coupled to a marker conjugated with a biotin binding protein. Suitable markers include,

- 15 by way of example, fluorescent molecules or radiolabeled molecules. This combination may be utilized as a detection system incorporated into a screening device to identify patients with low receptor bearing cells or in the evaluation of receptor up-regulation, for example, following treatment of patients for any one of a wide variety of receptor modulation disorders.
- 20 In another aspect of this embodiment, a vitamin  $B_{12}$ /biotin conjugate is coupled to a radioisotope conjugated to a biotin binding protein. Suitable radioisotopes include, any high energy emitting radioisotopes capable of conjugating a biotin binding protein. This combination may be utilized as a targeted radiodiagnostic or radiotherapeutic.

25 In yet another aspect of this embodiment, a vitamin  $B_{12}$ /biotin conjugate is used to immobilize vitamin  $B_{12}$  to a solid matrix or avidin-coated substrate. By way of example, this would enable one to isolate TcII, TcII receptors, and evaluate coupling sites on the Vitamin  $B_{12}$ .

- The receptor modulating agents of this invention regulate receptor-30 dependent biological responses through alterations in the receptor trafficking pathway. As illustrated in Figure 1, with specific reference to the receptor for vitamin B<sub>12</sub>, cell surface receptors are often associated with clathrin-coated pits. When bound by the receptor modulating agent of the present invention, the coated pits invaginate to form vesicles. The vesicles are then directed by the rerouting agent to lysosomes for receptor
- 35 degradation or delivered to endosomes where the rerouting agent securely binds or

delays the agent/receptor complex. Thus, the receptor modulating agents can incapacitate the receptors normally undergoing recycling.

Newly synthesized receptors will eventually replace the internalized receptor on the cell surface. However, this process is far more time consuming than recycling—many cells require hours or days to achieve maximal receptor re-expression. Continued exposure of the cell to the receptor modulating agents will exhaust the intracellular receptor pools. Thus, by modulating a plasma membrane receptor, re-expression of the receptor can be substantially delayed, thereby regulating a biological response associated with that receptor for a prolonged period of time.

Biological activity of receptor modulating agents of the present invention may be ascertained in vitro by any one of several means known in the art including, competition binding assays or cell proliferation studies. These techniques are described in detail in Laboratory Techniques in Biochemistry and Molecular Biology: An Introduction to Radioimmunoassay and Related Techniques, 3rd Edition,

15 ed. Burdon and van Knippenberg, Elsevier, 1987. By way of example, a receptor modulating agent may be cultured with a suitable cell line, such as K562 cells (ATCC CCL 243), under conditions representing in vivo conditions. Such conditions would include the provision of a human source of TcII (such as human serum), vitamin B<sub>12</sub>, and, preferably by careful removal by chromatography, of all TcII from other medium

20 supplements such that proliferation is solely dependent on a known amount of exogenous TcII. Cell cultures deprived of vitamin B<sub>12</sub> gradually lose their proliferative capacity, eventually resulting in cell death. Biological activity may be evaluated in vivo using techniques described in detail in Shieh et al., <u>J. Immunol. 152(2):859-866</u>, 1994 in which human tumor cell lines are injected into nude mice, followed by therapy

25 with receptor modulating agents. Next, tumor cells are removed, single cell suspensions prepared and TcII cell surface receptor density may be evaluated by flow cytometry and biotinylated vitamin B<sub>12</sub> and avidin FITC.

The receptor modulating agent of the present invention may be administered in a therapeutically effective amount to treat a variety of disorders characterized in which control of the disease process or symptoms can be achieved by modulation of one or more receptor systems and the associated biological responses. Such disorders include neoplastic disorders, autoimmune diseases, rheumatic arthritis, cardiovascular disease, and neurodegenerative diseases.

Common to many non-neoplastic disease processes is a stage in which the disease process itself, or its symptoms, can be halted or ameliorated by the use of an anti-proliferative agent such as vitamin B<sub>12</sub>/TcII receptor modulating agents. These

commonly recognized stages include a sensitization or elicitation phase in which immune cells responsible for the disease become turned on by antigen specific or nonspecific means, followed by a proliferative phase in which the immune cells expand in number, and finally a symptomatic phase in which the expanded immune cells create

- 5 tissue damage directly or indirectly. Neoplastic disorders include, by way of example, leukemia, sarcoma, myeloma, carcinoma, neuroma, melanoma, cancers of the breast, lung, liver, brain, colon, cervix, prostrate, Hodgkin's disease, and non-Hodgkin's lymphoma. Because of this, anti-proliferative chemotherapeutic drugs are commonly utilized in the treatment of many diseases other than cancer, but are limited in use to life
- 10 threatening situations due to their associated toxicity. Anti-proliferative agents, such as the ones of the present invention (with little of the direct toxicity of chemotherapeutic drugs), may be used more widely. More specifically, the vitamin  $B_{12}$  receptor modulating agents of the present invention are not destructive to plasma membrane processes (*e.g.*, ion transport). In addition, the anti-proliferative activity is reversible by
- 15 administration of vitamin  $B_{12}$ . Furthermore, the agents of this invention may not be mutagenic, teratogenic, or carcinogenic since they act at the level of the plasma membrane, and not at the level of the nucleus, and DNA by intercalation or crosslinking (as many chemotherapeutic drugs act).
- An understanding of the pharmaceutical applications for B<sub>12</sub>/TcII 20 receptor modulating agents requires a knowledge of the cell types targeted by such therapy. To this end, various pharmaceutical applications are disclosed in Table 9 below.

	Table 9           Target Cells for Vitamin B <sub>12</sub> Receptor modulating agents		
25			
	TARGET CELL	Other Proliferation Associated Markers	POTENTIAL PHARMACEUTICAL APPLICATIONS
30	Activated T-Cell	IL-2 receptor Transferrin Receptor Insulin Receptor Class II Histocompatibility Antigens	Graft versus Host Disease Organ Transplants Auto-Immune Diseases Asthma Crohn's Disease
35	Tumor Cells	Tumor Assoc. Ags. Ki67 Transferrin Receptor	Tumor Therapy (alone and in combination with chemotherapeutic drugs)

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Bone Marrow	CD-34	Allogeneic Bone Marrow
Stem Cells	Transferrin Receptor	Transplants
	Class II Histocompatibility Antigens	Reduction in Toxicity of Chemotherapy
	IL-1, IL-3 Receptors	
Proliferating	Thy 1.1	Inhibition of Adhesions,
Fibroblasts	Transferrin Receptor	Scarring
	Insulin & Insulin-like Growth-Factor Receptors Fibroblast Growth-Factor	Scleroderma
	Receptor	
Proliferating	EGF Receptor	Psoriasis
Epithelium or Epidermal (Keratinocytes)	Proto-Oncogenes	

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Proliferating and activated T-cells can cause a wide variety of diseases ranging from the chronic inflammation of Crohn's disease to more acute organ graft rejection. In all of these diseases, the T-cell may serve a central pathogenic role or a more accessory role. Anti-proliferative chemotherapeutic drugs serve to reduce symptomotology and in some cases lead to long-term remission. Similarly, proliferating fibroblasts and epithelial cells may give rise to diseases characterized by

- cell overgrowth. Vitamin  $B_{12}$  receptor modulating agents may be used to replace or used in combination with existing chemotherapeutic regimens in these diseases. An important aspect of the use of anti-proliferative vitamin  $B_{12}$  receptor modulating agents in these diseases is not to apply it so aggressively or with improper timing such that
- 30 normal healing (adhesions, scarring) or cell renewal (psoriasis) processes are also inhibited. As such, low doses of receptor modulating agents may be used during healing and higher doses once healing is completed. Alternatively, receptor modulating agents may not be administered at all until after healing is completed.

As previously mentioned,  $B_{12}$ /TcII receptor modulating agents can be used to deprive neoplastic cells of vitamin  $B_{12}$ . It has already been shown that sufficient deprivation leads to the death of rapidly proliferating lymphoid neoplasms such as leukemia and lymphoma. Moreover, short term treatment to reduce cellular availability of this nutrient, combined with existing chemotherapeutic agents, markedly improves therapeutic efficacy.

For solid tumors, vitamin  $B_{12}$  depletion may induce cytostasis and differentiation as well as cell death. Thus,  $B_{12}$ /TcII receptor modulating agents may be used to induce differentiation in hormonally responsive solid tumors. An increase in the number of cells expressing a differentiated phenotype should translate into an increase in expression of hormone receptors. The hormone receptor status of tumors, such as breast and prostrate cancer, are directly correlated with their response to hormonal therapy. Accordingly,  $B_{12}$ /TcII receptor modulating agents can be used to

- increase the number of receptor positive tumor cells or increase receptor density in order to enhance efficacy of subsequent hormonal therapy.
   10 Vitamin B<sub>12</sub> receptor modulating agents may affect both replicating neoplastic and normal cells. However, bone marrow progenitors demonstrate
- differential sensitivity or response. Thus, B<sub>12</sub> receptor modulating agents can be used to modulate sensitivity of bone marrow progenitors so as to enhance their resistance to the toxic effects of chemotherapeutic agents. Such chemotherapeutic drugs act
  primarily on replicating cells, with non-replicating cells being much less sensitive.
- Decreasing the sensitivity of progenitors to toxic drugs would increase the bone marrow reserves and enhance subsequent response to colony stimulating factors, and enable higher doses of chemotherapy or reduce the interval to reconstitution. It should also be recognized that such positive effects on bone marrow progenitors, as a natural
- 20 consequence of  $B_{12}$  receptor therapy for cancer, is an additional mechanism by which the therapeutic index of chemotherapeutic drugs other than 5-FU and methotrexate can be improved.

In a variety of autoimmune diseases, graft versus host disease, ectopic allergy, and organ transplantation, an initial 'induction' phase, in which the patient becomes sensitized to self or allo-antigens, is followed by a "proliferative" phase in which forbidden or unregulated clones of B- or T-cells are expanded. It has long been known that treatment with anti-proliferative, chemotherapeutic drugs following induction can inhibit expansion of forbidden clones, inhibit progression of disease, and restore a stable state of tolerance.

- 30 Inflammation is an application for which antibodies are already being utilized in clinical trials. The primary emphasis has been on inhibiting the early manifestations of inflammation by inhibiting recruitment or binding of inflammatory cells to vascular endothelium of injured tissue. It also well recognized that proliferation of cells at the site of inflammation contributes to the pathology and tissue destruction of 25 beth and anti-pathology and tissue destruction of
- 35 both acute as well as chronic inflammation. To this end, anti-proliferative, chemotherapeutic drugs have been widely used to inhibit sequelae of inflammation.

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Methotrexate is one such drug commonly used to treat symptoms associated with rheumatoid arthritis. The drug acts to reduce both localized (e.g., synovium) and generalized inflammation associated with disease progression. Methotrexate acts synergistically with vitamin  $B_{12}$  depletion in therapy of leukemia.  $B_{12}$  receptor modulating agents can therefore be combined with methotrexate to enhance efficacy in rheumatoid arthritis. Other methotrexate applications include treating destructive inflammation associated with chronic heart disease and colitis.

Surgery, radiation or chemotherapy to the abdomen is often complicated by the development of tissue adhesions. These represent a considerable clinical problem because they lead to bowel blockage and require surgical intervention. Peritoneal adhesions arise as a result of proliferation of the cells of the peritoneal membrane lining the abdomen. A non-toxic means of interfering with such proliferation could lead to restoration of these normal cells to homeostatic control mechanisms and thereby inhibition of adhesion formation. A similar process of benign proliferation and subsequent scarring is a complication of retinal surgery. Direct

15 proliferation and subsequent scarring is a complication of retinal surgery. Direct instillation of a small molecule analog of an antibody receptor antagonist could prevent such disabling complications.

The term "treatment" as used within the context of the present invention, refers to reducing or alleviating symptoms in a subject, preventing symptoms from 20 worsening or progressing, inhibition or elimination of the causative agent, or prevention, of the infection or disorder in a subject who is free therefrom. Thus, for example, treatment of infection includes destruction of the infecting agent, inhibition of or interference with its growth or maturation, neutralization of its pathological effects and the like. A disorder is "treated" by partially or wholly remedying the deficiency which 25 causes the deficiency or which makes it more severe.

The receptor modulating agents of the present invention are administered in a therapeutically effective dose. A therapeutically effective dose may be determined by in <u>vitro</u> experiment followed by in <u>vivo</u> studies.

Pharmaceutical compositions containing the receptor modulating agents 30 in an admixture with a pharmaceutical carrier or diluent can be prepared according to conventional pharmaceutical compounding techniques. The carrier may take a wide variety of forms depending on the form of preparation desired for administration (*e.g.*, intravenous, oral topical, aerosol, suppository, parenteral or spinal injection). Preferably, administration is via stereotactical injection.

The following examples are offered by way of illustration, not limitation.

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## **EXAMPLES**

In summary, the examples which follow disclose the synthesis of several receptor modulating agents of this invention utilizing different functional classes of

5 rerouting moieties. More specifically, a series of examples are presented which employ vitamin B<sub>12</sub> as a targeting moiety in a receptor modulating agent.

All chemicals purchased from commercial sources were analytical grade or better and were used without further purification unless noted. Isophthaloyl dichloride was purchased from Lancaster Synthesis Inc. (Windham, NH). All other

10 reagents were obtained from Aldrich Chemical Co. (Milwaukee, WI). Solvents for HPLC analysis were obtained as HPLC grade and were filtered (0.2 μm) prior to use. Ion exchange chromatography was conducted with 200-400 mesh strongly basic anion 2% cross-linking Dowex-1-chloride (Aldrich Chemical Co). Amberlite XAD-2 nonionic polymeric adsorbent and octadecyl functionalized silica gel for column 15 chromatography were obtained from Aldrich Chemical Co.

<sup>1</sup>H NMR were obtained on Bruker AC-500 (500 MHz) instrument. The chemical shifts are expressed as ppm ( $\delta$ ) using tetramethylsilane as internal reference. IR data were obtained on a Perkin-Elmer 1420 infrared spectrophotometer. UV data were obtained on a Perkin-Elmer Lambda 2 UV/V is spectrophotometer. Mass spectral

20 data were obtained on a VG 7070H mass spectrometer using fast atom bombardment (FAB).

HPLC separations of compounds were obtained on Hewlett-Packard quaternary 1050 gradient pumping system with a UV detector. Analysis of the HPLC data were obtained on a Hewlett-Packard HPLC Chemstation software.

25 HPLC for Monomers: HPLC separations were conducted at a flow rate of 1 mL/min. on a 5 mm, 4.6 250 mm NH<sub>2</sub> column (RAININ microsorb-MV amino column) eluting with 58 mM pyridine acetate, pH 4.4 in H<sub>2</sub>O : THF (96 : 4) solution. Retention times were: 1= 4.3 min; 2 = 6.5 min; 3 = 8.0 min; 4 = 8.8 min; 5 = 10.9 min; 6 = 2.3 min; 7 = 2.3 min; 8 = 3.0 min; 9 = 2.9 min; 10 = 2.9 min; 13 = 3.4 min.

- 30 Reverse-phase HPLC chromatography was carried out using a Hewlett-Packard Lichrospher 100 RP-18 (5 mm, 125 X 4 mm) C-18 column using a gradient solvent system at a flow rate of 1 mL/min. Solvent A in the gradient was methanol. Solvent B was H<sub>2</sub>O. Starting from an 40% A, the gradient was increased to 100% A over 10 min. The gradient was then brought back to 40% A over a 5 min period. Retention times
- 35 under these conditions for biotin conjugates were: 17 = 7.1 min; 18 = 7.2 min; 19 = 6.9 min; 20 = 6.4 min.

Preparative LC was conducted to separate the mixture of monocarboxylic acids using RAININ Rabbit-plus peristaltic pumping system with a DYNAMAX (model UV-1) UV-visible absorbance detector at a flow rate of 0.15 mL/min. ID column (Alltech, 150 psi), (1000 mm X 25 mm) packed with aminopropyl silica (40-63 mm) was used.

HPLC for Dimers: For dimers 36, 37, and 38 solvent A in the gradient was methanol. Solvent B was H<sub>2</sub>O. The gradient was held at the starting mixture of 70% A for 2 min, then the percentage of A was linearly increased to 100% over the next 10 min. The gradient was held at 100% A for 20 min. Retention times under these conditions for dimers were: 36 = 8.7 min; 37 = 9.0 min; 38 = 8.9 min. For dimers 58-60 and 64-66 Solvent A in the gradient was methanol. Solvent B was aqueous 1% acetic acid. The gradient was begun at 40% A and was held at that composition for 2 min, then the percentage of A was linearly increased to 100% over the next 10 min. Retention times for the compounds examined under these conditions were: 58 = 14.0

15 min; 59 = 14.1 min; 60 = 13.9 min; 64 = 8.7 min; 65 = 8.6 min; 66 = 9.0 min.

#### EXAMPLE 1

# PREPARATION AND PURIFICATION OF CYANOCOBALAMIN MONOCARBOXYLATES: MODIFICATION ON THE CORRIN RING

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This example serves to demonstrate the hydrolysis of *b*-, *d*- and *e*propionamide sites on a vitamin  $B_{12}$  molecule using dilute acid in preparation for coupling of a linker to the sites. Importantly, the hydrolysis of the *b*-, *d*- and *e*propionamides is selective over the hydrolysis of *a*-, *c*- and *g*-acetamides, or the *f*-amide in the heterocyclic chain connecting the benzimidazole. An optimal yield of monocarboxylate to di- and tri-carboxylate derivatives was obtained at room temperature in 0.1 N HCl over a 10 day period. The non-hydrolyzed vitamin  $B_{12}$  and the di- and tri-carboxylates produced were readily isolated from the desired monocarboxylates by preparative liquid chromatography.

30 Specifically, cyanocobalamin (1) (3.7 mmol, 5 g) was dissolved in 500 mL of 0.1 N HCl and stirred at room temperature for 10 days under argon atmosphere. The solution was then neutralized with 6 N NaOH and the cobamides were desalted by extraction into phenol and applied to a 200 g (60 x 4 cm, 200-400 mesh) Dowex Cl<sup>-</sup> x 2 column (acetate form; prepared by washing with saturated sodium acetate until it was free from Cl<sup>-</sup>, then washing with 200 mL water). The column was eluted with water to

remove unreacted cyanocobalamin and then eluted with 0.04 M sodium acetate (pH 4.67).

The first fraction of the elution contained three monocarboxylic acids. These were desalted by extraction into 100 mL of 90% (w/w) phenol, twice with 25 mL

5 and once with 10 mL of phenol. Three volumes of ethyl ether (3 x 160 mL) and 1 volume of acetone (160 mL) were added to the combined phenol extracts. Monocarboxylic acids were removed from the organic phase by extraction with water (2 x 100 mL). The combined aqueous phases were extracted twice with 20 mL of ether to remove residual phenol. The aqueous solution of monocarboxylic acids was evaporated to dryness. Yield: 2.5 g (50%).

The mixture of three acids (0.350 g) was then applied to a 200 g (1000 mm x 25 mm) column of aminopropyl coated silica (40-63 mm) and was eluted with 58 mM pyridine acetate pH 4.4 in H<sub>2</sub>O : THF (96 : 4); the elute was collected with an automatic fraction collector. The first eluted acid was found to be *b*-monocarboxylic acid (2), the second eluted acid was *e*-monocarboxylic acid (3) and the third eluted acid

acid (2), the second eluted acid was *e*-monocarboxylic acid (3) and the third eluted acid was *d*-monocarboxylic acid (4). The acid fractions were desalted by phenol extraction.
 The solids obtained were crystallized from aqueous acetone.

*b-acid* (2): yield 0.122 g (35%), mp 267-270°C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ) 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.00 (m, 2H); 1.18 (s, 3H, C-46 CH<sub>3</sub>);

- 1.24 (d, 3H, Pr<sub>3</sub> CH<sub>3</sub>); 1.36 (br s, 9H, C-47 CH<sub>3</sub>, C-54 CH<sub>3</sub>); 1.4 (s, 3H, C-25 CH<sub>3</sub>);
  1.9 (d, 7H, C-36 CH<sub>3</sub>, C-30 CH<sub>2</sub>, C-48 CH<sub>2</sub>); 2.26 (d, 6H, B10 & B11, CH<sub>3</sub>); 2.36 (d, 2H, C-26 CH<sub>2</sub>); 2.57 (s, 10H, C-35 CH<sub>3</sub>, C-31 CH<sub>2</sub>, C-37 CH<sub>2</sub>, C-53 CH<sub>3</sub>); 2.8 (m, 2H, C-60 CH<sub>2</sub>); 3.3 (m, 3H, C-8H, C-13H); 3.6 (m, 2H, Pr<sub>1</sub> CH<sub>2</sub>); 3.7 (d, 1H, R<sub>5</sub>);
  3.9 (d, 1H, R<sub>5</sub>); 4.0 (m, 1H, R<sub>4</sub>); 4.12 (d, 1H, C-19); 4.17 (s, 1H, C-3); 4.3 (m, 1H,
- 25 R<sub>2</sub>); 4.5 (m, 1H); 4.7 (m, 1H, R<sub>3</sub>); 6.0 (s, 1H, C-10); 6.2 (s,1H, R<sub>1</sub>); 6.5 (s,1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7). MS (FAB<sup>+</sup>): m/e 1357 (M<sup>+</sup> +1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 23441)

e-acid (3): yield 0.168 g (48%), mp 245-250° C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ) 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.01 (m, 2H); 1.15 (s, 3H, C-46 CH<sub>3</sub>); 1.23 (d, 3H, Pr<sub>3</sub> CH<sub>3</sub>); 1.36 (br s, 9H, C-47 CH<sub>3</sub>, C-54 CH<sub>3</sub>); 1.4 (s, 3H, C-25 CH<sub>3</sub>); 1.83 (s, 4H, C-55 CH<sub>2</sub>); 1.93 (m, 6H, C-36 CH<sub>3</sub>, C-30 CH<sub>2</sub>, C-48 CH<sub>2</sub>); 2.22 (d, 6H, B10 & B11 CH<sub>3</sub>); 2.35 (s, 3H,C-26 CH<sub>2</sub>); 2.5 (d, 13H, C-35 CH<sub>3</sub>, C-31 CH<sub>2</sub>, C-37 CH<sub>2</sub>, C-53 CH<sub>3</sub>); 2.9 (m, 1H, C-60 H); 3.2 (m, 1H, C-13H); 3.4 (m, 1H, C-8 H); 3.6 (d, 1H, Pr1 CH); 3.7 (d, 1H); 3.9 (d, 1H); 4.0 (m, 2H); 4.1 (d, 1H); 4.2 (m, 2H); 4.6

35 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.0 (s, 1H, B2); 7.2 (s,

1H, B7). MS (FAB<sup>+</sup>): m/e 1357 (M<sup>+</sup> +1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 21 842)]

- $\begin{array}{l} d\text{-acid (4): yield 0.060 g (17\%), mp > 300° C, \ ^{1}H \ NMR \ (MeOH-d_4, \delta) \\ 0.43 \ (s, 3H, C-20 \ CH_3); \ 1.04 \ (m, 2H); \ 1.15 \ (s, 3H, C-46 \ CH_3); \ 1.25 \ (d, 3H, Pr_3 \ CH_3); \\ 5 \ 1.36 \ (br \ s, 9H, C-47 \ CH_3, C-54 \ CH_3); \ 1.4 \ (s, 3H, C-25 \ CH_3); \ 1.85 \ (s, 4H); \ 2.01 \ (s, 6H); \ 2.23 \ (d, 8H, B10 \ \& B11 \ CH_3); \ 2.38 \ (d, 3H, C-26 \ CH_2); \ 2.53 \ (d, 13H, C-36 \ CH_3, C-30 \ CH_2, C-48 \ CH_2); \ 2.6 \ (m, 5H); \ 2.9 \ (m, 1H, C-60 \ H); \ 3.3 \ (d, 1H, C-13H); \ 3.4 \ (m, 1H, C-8 \ H); \ 3.6 \ (d, 1H, Pr_1 \ CH); \ 3.7 \ (d, 1H); \ 3.9 \ (d, 1H); \ 4.0 \ (m, 2H); \ 4.1 \ (d, 1H); \ 4.3 \ (m, 2H); \ 6.0 \ (s, 1H, C-10); \ 6.3 \ (d, 1H, R1); \ 6.5 \ (s, 1H, B4); \ 7.1 \ (s, 1H, B2); \end{array}$
- 7.2 (s, 1H, B7); UV (MeOH): λ360 (ε22 127). MS (FAB<sup>+</sup>): m/e 1357 (M<sup>+</sup>+1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>.

#### EXAMPLE 2

### CYANOCOBALAMIN MODIFIED ON RIBOSE: SUCCINATE CONJUGATE (5)

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This example serves to demonstrate the activation of the ribose coupling site coupling site h (see structure I) with succinic anhydide. Cyanocobalamin (1) (0.15 mmoL, 200 mg) was dissolved in 40 mL of dimethylsulfoxide (DMSO) containing 8 g (80 mmoL) of succinic anhydride and 6.4 mL of pyridine. After 14-16 h at room temperature, the excess of succinic anhydride was destroyed by adding 500 mL of water

- and keeping the pH of the reaction mixture at 6 with 10% KOH. KCN was then added at a final concentration of 0.01 M and the pH of the solution was readjusted to 6 with 3 N HCl. After 1 h the cyanocobalamin components were desalted by phenol extraction and applied to a 100 g of Dowex Cl<sup>-</sup> (60 x 2.5 cm) column (acetate form, 200-400
- 25 mesh). The cyanocobalamin was eluted with water. Succinate conjugate (5) was eluted with NaOAc (0.04 M, pH 4.67) which yielded 180 mg (85 %) after isolation. The O2',O5'-disuccinyl derivative remained absorbed on the column under these conditions. mp 208-210° C with decomposition.

<sup>1</sup>H NMR (D<sub>2</sub>O-d<sub>4</sub>, δ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 0.95 (m, 2H); 1.15 (s, 30 3H); 1.2 (d, 3H); 1.35 (d, 7H); 1.4 (s, 3H); 1.8 (s, 3H); 1.9 (s, 12H); 2.2 (d, 6H); 2.36 (d, 2H); 2.5 (d, 10H); 2.6-2.7 (m, 7H); 3.0 (m, 1H); 3.3 (d, 1H); 3.37 (m, 1H); 3.5 (d, 1H); 4.0 (d. 1H); 4.18 (m, 2H); 4.25 (m, 3H); 4.54 (d, 1H); 6.0 (s, 1H); 6.3 (d, 1H); 6.4 (s, 1H); 7.0 (s, 1H); 7.2 (s, 1H). MS (FAB<sup>+</sup>): m/e 1455 (M<sup>+</sup> +1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV (MeOH):  $\lambda$ 360 (ε 26041).

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### EXAMPLE 3

# COUPLING OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS WITH 1,12-DIAMINODODECANE: REACTION WITHOUT SODIUM CYANIDE

This example serves to demonstrate the coupling of a linker to a cyanocobalamin monocarboxylate. Coupling of the monocarboxylates (2, 3, 4) with diaminododecane was first attempted using N-ethyl-N'-dimethylamino-propylcarbodiimide hydrochloride (EDC) in H<sub>2</sub>O according to Yamada and Hogenkamp, <u>J.</u> <u>Biol. Chem. 247</u>, 6266-6270, 1972. However, the products obtained did not have a reactive amino group. Alteration of the reaction conditions by changing the reaction

mixture to DMF/H<sub>2</sub>O and adding NaCN/N-hydroxysuccinimide (see Example 4) to the

reaction mixture gave the desired diaminododecane adducts. A mixture of cyanocobalamin monocarboxylic acid (0.370 mmoL, 500 mg) and 1,12-diaminododecane (3.6 g) in 100 mL H<sub>2</sub>O was adjusted to pH 6 with 1 N HCl. The solution was then treated with N-ethyl-N'-dimethylamino-propylcarbodiimide-hydrochloride (EDC) (726 mg) and stirred at room temperature for 22 h. In 5 intervals of 6 to 14 h, 650 mg of EDC was added to the reaction mixture. After a total reaction time of 4 days (HPLC monitoring) the solution was evaporated to

- 20 dryness, the residue was digested with 100 mL of acetone and the solvent was decanted. The solid residue was dissolved in 50 mL of water and applied to an 175 g Amberlite XAD-2 (60 x 4 cm) column. Contaminates were washed from the column with 1L water, then the crude product was eluted with 500 mL of methanol. The solution was evaporated to dryness, the residue was dissolved in 25 mL of water and was applied to a
- 25 100g Dowex Cl<sup>-</sup> (60 x 2.5 cm) column (acetate form, 200-400 mesh). The final product was eluted using 250 mL of water, thereby leaving non-converted acid bound to the column, which was later eluted with 0.04 mol/L sodium acetate buffer pH 4.67. The fraction containing the final product was evaporated to dryness.

The mass spectral value obtained indicated that HCN was lost from the 30 desired product. Further, <sup>1</sup>H NMR data suggested that some protons were being affected by the cobalt. Thus, this reaction was conducted with NaCN (Example 4) to drive the equilibrium towards retention of Co-CN. N-hydroxy succinimide was also added to facilitate the coupling reaction.

e-acid adduct (6): Yield: 222 mg (40%). mp 172-174° C with 35 decomposition. <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (m, 3H, C-20 CH<sub>3</sub>); 1.06 (t, 4H, C-46 CH<sub>3</sub>); 1.16 (m, 5H); 1.2 (m, 5H); 1.33 (m, 7H); 1.43 (s, 3H); 1.68 (m, 4H); 1.86 (m, 5H); 2.2 (m, 8H); 2.3 (m, 6H); 2.4 (m, 10H); 2.55 (m, 10H); 2.8 (m, 4H); 3.1 (m, 6H); 3.3 (m, 5H); 3.6 (m, 2H); 3.7 (m, 2H); 3.8 (m, 1H); 4.0 (m, 1H); 4.1 (m, 1H); 4.16 (m, 1H); 4.3 (m, 1H); 4.48 (m, 1H); 4.6 (m, 1H); 6.0 (d 1H, C-10); 6.2 (m, 1H, R1); 6.5 (m, 1H, B4); 7.1 (m, 1H, B2); 7.2 (m, 1H, B7). MS (FAB<sup>+</sup>): m/e 1512. IR (KBr): 3400, 3200, 2950, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH):  $\lambda$ 360 ( $\varepsilon$ 21 877). *d-acid adduct* (7): yield: 225 mg (45%), mp 195-198° C with decomposition. <sup>1</sup>H NMR (MeOH-d<sub>4</sub>,  $\delta$ ): 0.43 (m, 3H, C-20 CH<sub>3</sub>); 1.09 (m, 7H); 1.14 (m, 6H); 1.2 (m, 10H); 1.27 (m, 10H); 1.33 (m, 6H); 1.5 (m, 3H); 1.77 (s, 3H); 2.2 (m, 8H); 2.26 (s, 2H); 2.5 (m, 10H); 2.7 (m, 5H); 3.0 (m, 2H); 3.1 (m, 2H); 3.2 (m, 3H); 3.5 (m, 2H); 3.6 (m, 1H); 3.8 (m, 1H); 3.9 (m, 1H); 4.0 (m, 1H); 4.1 (m, 1H); 4.2 (m, 1H); 4.4 (m, 1H); 4.6 (m, 1H); 6.0 (d 1H, C-10); 6.1 (m, 1H, R<sub>1</sub>); 6.4 (m, 1H, B4); 7.0 (m, 1H, B2); 7.1 (m, 1H, B7); MS (FAB<sup>+</sup>): m/e 1512, IR (KBr): 3400, 3200, 2950, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV (MeOH):  $\lambda$ 360 ( $\varepsilon$ 22 680).

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## EXAMPLE 4

## COUPLING OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS WITH 1,12-DIAMINODODECANE: REACTION CONTAINING SODIUM CYANIDE

Cyanocobalamin monocarboxylic acid (2, 3, 4) (0.370 mmoL, 500 mg) 20 and N-hydroxysuccinimide (1.48 mmoL, 170 mg) were dissolved in a mixture of DMF: H<sub>2</sub>O (1:1) (18.4 mL) and 363 mg of NaCN was added. 1,12-Diaminododecane was dissolved in a mixture of DMF : H<sub>2</sub>O (1:1) (18.4 mL) and the pH was adjusted to 6 with 1 N HCl. The diaminododecane solution was then added in one portion to the cyanocobalamin solution. EDC (285 mg) was added and the pH of the solution was 25 readjusted to 5.5. The reaction mixture was then stirred overnight in the dark at room temperature. In 5 intervals of 6-14 h, 170 mg of N-hydroxysuccinimide and 285 mg of EDC were added to the solution, readjusting the pH value 5.5 each time. After a total reaction time of 4 days (reaction followed by HPLC), the solution was evaporated to dryness. The residue was digested with 100 mL of acetone and the solvent was decanted. The solid residue was dissolved in 50 mL of H<sub>2</sub>O and applied to an 200 g 30 Amberlite XAD-2 (60 x 4 cm) column. The column was eluted with 1 L water to remove undesired materials, then the desired product was eluted with 500 mL methanol. The solution was evaporated to dryness, the residue was dissolved in 25 mL of water and was applied to a 100 g Dowex Cl<sup>-</sup> (60 x 2.5 cm) column (acetate form, 200-400 35 mesh). The desired product was eluted from the column with 250 mL water, leaving any non-reacted acid bound to the column. This was followed by elution with 0.04 mol/L sodium acetate buffer pH 4.7. The fractions containing the final product were evaporated to dryness.

b-isomer (8): yield 410 mg (82%), mp 172-174° C with decomposition.
<sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ) 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.18 (s, 4H); 1.3 (m, 13H); 1.39 (m, 13H); 1.45 (s, 5H); 1.6 (m, 4H); 1.72 (m, 2H); 1.9 (s, 6H); 2.25 (d, 6H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 5H); 2.56 (m, 5H); 2.8-3.0 (m, 8H); 3.15 (m, 4H); 3.3 (m, 2H); 3.4 (m, 2H); 3.6 (m, 1H); 3.68 (m, 1H); 3.75 (m, 1H); 3.9 (d, 1H); 4.07 (m, 1H); 4.12 (d, 1H); 4.2 (br s, 1H); 4.3 (m, 1H); 4.47 (m, 1H); 4.7 (m, 1H); 6.0 (s, 1H, C-10); 6.2 (d,1H, R<sub>1</sub>); 6.5 (s,1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB<sup>+</sup>): m/e

10 1539 (M<sup>+</sup> +1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 15409).

*e-isomer* (9): yield: 430 mg (86%), mp 175-180° C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>,  $\delta$ ) 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.17 (s, 4H, C-46 CH<sub>3</sub>); 1.22 (d, 4H, Pr<sub>3</sub> CH<sub>3</sub>); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.6 (m, 3H);

1.87 (s, 8H); 2.05 (m, 2H); 2.25 (s, 6H, B10 & B11 CH<sub>3</sub>); 2.36 (m, 3H); 2.55 (d, 10H); 2.8 (s, 4H); 3.06 (t, 2H); 3.1 (m, 3H); 3.3 (s, 1H); 3.34 (m, 1H); 3.4 (m, 1H); 3.58 (m, 1H); 3.65 (m, 1H); 3.75 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 2H); 4.48 (m, 2H); 4.6 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.0 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB<sup>+</sup>): m/e 1539 (M<sup>+</sup>+1).

20 IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH): λ360 ( ε16 720)

*d-isomer* (10): yield: 400 mg (80%), mp 174-178° C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>,  $\delta$ ) 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.07 (m, 3H, C-46 CH<sub>3</sub>); 1.2 (d, 4H, Pr<sub>3</sub> CH<sub>3</sub>); 1.27 (m, 15H); 1.35 (br s, 9H); 1.42 (s, 3H); 1.53 (m,

- 25 2H); 1.6 (m, 4H); 1.86 (s, 4H); 2.25 (d, 6H, B10 & B11 CH<sub>3</sub>); 2.5 (d, 10H); 2.8 (s, 3H); 2.9 (m, 6H); 3.15 (m, 3H); 3.2 (m, 4H); 3.4 (m, 3H); 3.6 (d, 1H); 3.75 (d, 1H); 3.96 (d, 1H); 4.08 (m, 2H); 4.19 (m, 1H); 4.3 (m, 2H); 4.65 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R<sub>1</sub>); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 17 665). MS (FAB<sup>+</sup>): m/e 1539 (M<sup>+</sup> +1). IR (KBr): 3400, 3200, 2950,
- 30 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>.

## EXAMPLE 5

# COUPLING OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS WITH GAMMA-AMINOBUTYRIC ACID (GABA)

This example serves to demonstrate the coupling of a gammaaminobutyric acid (GABA) linker to a vitamin  $B_{12}$  molecule. This reaction scheme is represented in Figure 9.

Gamma-aminobutyric acid (GABA) *tert*-butyl ester (11) (1 mmol) and cyanocobalamin monocarboxylates (2, 3, 4) (0.1 mmol.) are mixed in 20 mL H<sub>2</sub>O and 10 sufficient 0.1 N HCl is added to adjust to pH to 6.0. N-ethyl-N<sup>1</sup>dimethylaminopropylcarbodiimide hydrochloride (EDC) (0.5 mmol) is added to the solution. The reaction mixture is stirred at room temperature for 24 hours and then the mixture is dried under vacuum. This reaction mixture is treated with TFA to remove the *tert*-butyl ester. A cyanocobalamin-GABA adduct (12) was purified. Reverse-

15 phase HPLC chromatography is carried out as described above. A cyanocobalamin-GABA adduct (12) can be further activated with a carbodiimide and coupled to a moiety as described below.

### EXAMPLE 6

## CYANOCOBALAMIN MODIFIED ON RIBOSE: SUCCINATE-DIAMINODODECANE CONJUGATE (13)

Cyanocobalamin-Ribose-Succinate (5) (0.370 mmoL, 538 mg) and N-hydroxyl succinimide (1.48 mmoL, 170 mg) were dissolved in a mixture of DMF :  $\rm H_2O$ 

- (1:1) (18.4 mL) and 363 mg of NaCN was added. This reaction scheme is represented in Figure 11. 1,12-Diaminododecane was taken in a mixture of DMF : H<sub>2</sub>O (1:1) (18.4 mL), pH was adjusted to 6 with 1N HCl. The diaminododecane solution was then added in a portion to the cyanocobalamin solution. EDC (285 mg) was added, the pH of the solution was readjusted to 5.5 and the reaction mix. was stirred overnight in the dark at room temperature. In 5 intervals of 6 to 14 h 170 mg of N-hydroxysuccinimide and 285 mg of EDC was added to the solution, readjusting the pH 5.5 each time. After a total reaction time of 4 days (HPLC monitored) the solution was decanted. The solid residue was digested with 100 mL of acetone and the solvent was decanted. The solid residue was dissolved in 50 mL of H<sub>2</sub>O and applied to an 200 g Amberlite XAD-2 (60 x 4 cm) column. Contaminates were washed from the column with 1 L
  - water and then the crude product was eluted with 500 mL methanol. The solution was

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evaporated to dryness, the residue was dissolved in 25 mL of water and was applied to a 100 g Dowex Cl<sup>-</sup> (60 x 2.5 cm) column (acetate form, 200-400 mesh). The final product was eluted using 250 mL water, thereby leaving non-converted acid bound to the column, which was later eluted with 0.04 mol/L sodium acetate buffer pH 4.7. The

5 fraction containing the final product (13) was evaporated to dryness. Yield : 425 mg (70%), mp 185-187° C with decomposition.

<sup>1</sup>H NMR (MeOH-d<sub>4</sub>,  $\delta$ ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.15 (s, 3H); 1.2 (d, 3H); 1.3 (s, 27H); 1.4 (m, 3H); 1.55 (m, 6H); 1.85 (m, 12H); 2.2 (d, 6H); 2.3 (d, 6H); 2.5 (d, 10H); 2.8 (m, 10H); 3.0 (t, 3H); 3.1 (t, 3H); 3.2 (s, 6H); 3.3 (m, 4H);

3.58 (m, 2H); 3.6 (d, 1H); 4.1 (d. 1H); 4.2 (m, 2H); 4.3 (m, 1H); 4.4 (d, 1H); 6.0 (s, 1H); 6.2 (d, 1H); 6.5 (s, 1H); 7.1 (s, 1H); 7.2 (s, 1H). MS (FAB<sup>+</sup>): m/e 1638 (M<sup>+</sup>). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV (MeOH): λ360.

## EXAMPLE 7

# 15 MODIFICATION OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS CONJUGATED WITH 1,12-DIAMINODODECANE: REACTION WITH SUCCINIC ANHYDRIDE

This example serves to demonstrate modification of an amino terminus linking moiety to a carboxylate terminus. Such a modification may be necessary for conjugating amino containing rerouting agents (*e.g.*, aminosugars) to cyanocobalamin derivatives containing a linker.

Cyanocobalamin carboxylic acid diaminododecane conjugate (8, 9, 10) (0.138 mmoL, 200 mg) was dissolved in 40 mL of dimethylsulfoxide (DMSO) containing 8 g (80 mmoL) of succinic anhydride and 6.4 mL of pyridine. After 14-16 h

- 25 at room temperature, the excess of succinic anhydride was destroyed by adding 500 mL of water and keeping the pH of the reaction mixture at 6 with 10% KOH. KCN was then added at a final concentration of 0.01 M and the pH of the solution was readjusted to 6 with 3 N HCl. After 1 h the cyanocobalamin components were desalted by phenol extraction. The residue was digested with 100 mL of acetone and the solvent was
- 30 decanted. It was dissolved in 40 mL of H<sub>2</sub>O. 1N NaOH (2 mL) was added to it and the reaction was stirred at room temperature for 15-20 min. It was then neutralized with 1N HCl and the cyanocobalamin components (14, 15, 16) were desalted by phenol extraction. Yield: 80 mg (40%); mp 190-198° C with decomposition.

<sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.17 (s, 4H, C-46
CH<sub>3</sub>); 1.23 (d, 4H, Pr<sub>3</sub> CH<sub>3</sub>); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.87 (s, 4H);
2.05 (m, 2H); 2.25 (s, 6H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 3H); 2.4 (m, 5H); 2.55 (d,

10H); 2.7 (s, 5H); 2.8 (m, 2H); 3.1 (m, 6H); 3.3 (s, 6H); 3.4 (m, 1H); 3.65 (m, 2H); 3.75 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 1H); 4.48 (m, 1H); 4.6 (m, 2H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R<sub>1</sub>); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7). MS (FAB<sup>+</sup>): m/e 1639 (M<sup>+</sup>). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH):  $\lambda$ 360 ( $\epsilon$  22 564).

#### Example 8

# CYANOCOBALAMIN MODIFIED ON MONOCARBOXYLIC ACID: DIAMINODODECANE-BIOTIN CONJUGATES

This example serves to demonstrate coupling a vitamin  $B_{12}$  derivative and biotin. Biotin conjugates (17, 18, 19) were obtained by reaction of activated cyanocobalamin monocarboxylic acid diaminododecane (14), (15), and (16) with the NHS ester of biotin (Sigma Chemical Co.).

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To a solution of cyanocobalamin monocarboxylic acid diaminododecane conjugate (14, 15, 16) (300 mg, 0.195 mmoL) in DMF (35 mL), was added triethylamine (0.027 mL, 0.195 mmoL). N-Hydroxysuccinimidobiotin (100 mg, 0.295 mmoL) was then added over a period of 10-15 min and evaporated to dryness. The solid residue was dissolved in 20 mL of water and applied to an 75 g of Dowex Cl<sup>-</sup> (40

- 20 x 2 cm) (acetate form, 200-400 mesh) column. The product was eluted using 250 mL of water. It was then evaporated to dryness, the residue was dissolved in a 10 mL of methanol water (7:3 v/v) and the solution was applied to a reverse phase C-18 column (500 mm x 25 mm, Alltech, 150 psi) which was developed with the same solvent. RAININ Rabbit-plus peristaltic pumping system was used with a DYNAMAX (model
- 25 UV-1) UV visible absorbance detector. The eluate was collected with an automatic fraction collector. The fractions containing the final product (HPLC monitored) were evaporated to dryness.

b-isomer (17): yield 159 mg (53%), mp 210-212° C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.18 (s, 4H); 1.3 (m, 13H); 1.39 (m, 13H); 1.45 (s, 5H); 1.6 (m, 4H); 1.72 (m, 2H); 1.9 (s, 6H); 2.2 (d, 8H, B10 & B11 CH<sub>3</sub>); 2.6 (d, 12H); 2.7 (m, 3H); 2.8-3.0 (m, 8H); 3.1 (m, 3H); 3.2 (m, 2H); 3.4 (s, 1H); 3.6 (m, 2H); 3.68 (d, 1H); 3.75 (m, 1H); 3.9 (d, 1H); 4.07 (m, 1H); 4.12 (d, 1H); 4.2 (s, 1H); 4.3 (m, 1H); 4.47 (m, 1H); 4.7 (m, 1H); 6.0 (s, 1H, C-10); 6.2 (d,1H, R1); 6.5 (s,1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB<sup>+</sup>): m/e 1764 (M<sup>+</sup>). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV

(MeOH): λ360 (ε23 746).

Anal. Calcd. for  $C_{85}H_{127}N_{17}O_{16}CoPS \cdot 11H_2O$ : C, 51.98; H, 7.59; N, 12.13. Found: C, 51.91; H, 7.81; N, 12.31.

e-isomer (18): yield 174 mg (58%), mp 222-224° C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.17 (s, 4H, C-46 5 CH<sub>3</sub>); 1.22 (d, 4H, Pr<sub>3</sub> CH<sub>3</sub>); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.6 (m, 4H); 1.72 (m, 2H); 1.87 (s, 4H); 2.17 (m, 3H); 2.25 (s, 6H, B10 & B11 CH<sub>3</sub>); 2.36 (m, 3H); 2.55 (d, 10H); 2.64 (m, 2H); 2.8 (s, 4H); 2.97 (s, 4H); 3.1 (m, 3H); 3.3 (m, 1H); 3.4 (m, 1H); 3.58 (m, 1H); 3.65 (m, 1H); 3.75 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 2H); 4.48 (m, 2H); 4.6 (m, 1H); 6.0 (s, 1H,

10 C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.0 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB<sup>+</sup>): m/e 1764 (M<sup>+</sup>). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 24 441).

Anal. Calcd. for C<sub>85</sub>H<sub>127</sub>N<sub>17</sub>O<sub>16</sub>CoPS•9H<sub>2</sub>O (13): C, 52.96; H, 7.53; N, 12.35. Found: C, 52.85; H, 7.55; N, 12.30.

- d-isomer (19): yield 165 mg (55%), mp 216-218° C with decomposition,
   <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.16 (s, 3H, C-46 CH<sub>3</sub>); 1.2 (d, 4H,
   Pr<sub>3</sub> CH<sub>3</sub>); 1.28 (s, 15H); 1.35 (br s, 9H); 1.42 (s, 3H); 1.53 (m, 2H); 1.6 (m, 4H);
   1.72 (m, 2H); 1.86 (s, 6H); 2.16 (m, 3H); 2.02 (m, 4H); 2.25 (d, 6H, B10 & B11 CH<sub>3</sub>); 2.5 (d, 10H); 2.7 (d, 1H); 2.8 (m, 5H); 3.1 (m, 6H); 3.2 (m, 3H); 3.4 (m, 1H);
- 20 3.57 (m, 1H); 3.6 (d, 1H); 3.7 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.11 (d, 1H); 4.17 (m, 1H); 4.3 (m, 2H); 4.4 (m, 2H); 4.6 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB<sup>+</sup>): m/e 1764 (M<sup>+</sup>); IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 29 824).

Anal. Calcd for C<sub>85</sub>H<sub>127</sub>N<sub>17</sub>O<sub>16</sub>CoPS•10H<sub>2</sub>O: C, 52.46; H, 7.56; N, 12.24. Found: C, 52.27; H, 7.56; N, 12.34.

### Example 9

### **CYANOCOBALAMIN MODIFIED ON RIBOSE:**

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SUCCINATE-DIAMINODODECANE-BIOTIN CONJUGATE (20)

This example serves to demonstrate the conjugation of the ribose-linked diaminododecane adduct (13) with biotin to produce a cyanocobalamin biotin conjugate (20).

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To a solution of (11) (300 mg, 0.183 mmoL) in DMF (35 mL), triethylamine (0.025 mL, 0.183 mmoL) was added. N-hydroxysuccinimidobiotin (100 mg, 0.295 mmoL) was added over a period of 10-15 min. and then evaporated to dryness. The solid residue was dissolved in 20 mL of water and adjusted to pH 10 with 1N NaOH and applied to an 75 g Dowex Cl<sup>-</sup> (40 x 2 cm) (200-400 mesh) column. The water fraction was discarded. The product was then eluted with 0.1N NH<sub>4</sub>OAc and was desalted by phenol extraction. The residue was dissolved in a 10 mL of methanol - water (7:3 v/v) and the solution was applied to a reverse phase column (octadecyl) which was developed with the same solvent. The fractions containing the final product (20) (HPLC monitored) were evaporated to dryness. Yield 135 mg (45 %), mp 198-205 ° C with decomposition.

<sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.15 (s, 3H); 1.2 (d, 3H); 1.3 (s, 27H); 1.36 (m, 6H); 1.4 (m, 3H); 1.6 (m, 4H); 1.7 (m, 2H); 1.85 (m, 12H); 2.0 (d, 3H); 2.17 (m, 3H); 2.2 (d, 6H); 2.3 (d, 6H); 2.5 (d, 10H); 2.64 (m, 2H); 2.8 (m, 10H); 3.1 (m, 6H); 3.25 (m, 6H); 3.58 (m, 2H); 4.0 (m, 1H); 4.1 (m, 1H); 4.16 (m, 1H); 4.4 (m, 1H); 4.6 (s, 2H); 4.7 (m, 1H); 6.0 (s, 1H); 6.2 (d, 1H);
 6.5 (s, 1H); 7.1 (s, 1H); 7.2 (s, 1H). MS (FAB<sup>+</sup>): m/e 1866 (M<sup>+</sup>). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH): λ360 (ε28 434).

### Example 10

# SYNTHESIS OF A CYANOCOBALAMIN/LYSOSOMOTROPIC COMPOUND (STREPTOMYCIN) RECEPTOR MODULATING AGENT

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This example demonstrates coupling of streptomycin to a cyanocobalamin or cobalamin derivative. Streptomycin (21) is conjugated with cyanocobalamin monocarboxylate (2, 3, 4) or a diaminoalkylsuccinate derivative (14, 15, 16) through the use of an oxime coupled linking moiety (Figure 13). The linking group, ((3-aminopropyl)aminoxy)acetamide (22) is prepared by reaction of the Nhydroxysuccinimidyl ester of 1,1-dimethylethoxycarbonyl-aminooxyacetic acid (23) (L. Med. Chem. 36:1255-126, 1993) with an excess of diaminopropane in anhydrous THF. The linking group is separated from other compounds in the reaction mixture by preparative chromatography. The linker (1 g) is then mixed with streptomycin (0.5g) in 10 mL of  $H_2O$  containing sodium acetate. The aqueous solution is warmed in a  $H_2O$ 

bath for 10 minutes to yield a crude streptomycin-linker adduct (25) which may be purified by chromatography on acid washed alumina (J. Am. Chem. Soc. 68:1460, 1946). The aqueous solution containing the streptomycin linker adduct (0.15 mmol) is
mixed with an aqueous solution of activated cyanocobalamin (2, 3, 4) (01. mmol) and EDC (0.5 mmol) is added. The reaction mixture is stirred at room temperature for 24

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hours, then run over a reversed-phase preparative chromatography column for purification of the cyanocobalamin-streptomycin receptor modulating agent (26).

#### EXAMPLE 11

# SYNTHESIS OF A CYANOCOBALAMIN/LYSOSOMOTROPIC Compound (Acridine) Receptor Modulating Agent

This example demonstrates the coupling of the vitamin  $B_{12}$  to acridine. Chloroquine, quinacrine and acridine are lysosomotropic dyes which are relatively nontoxic and concentrated as much as several hundred fold in lysosomes. Acridine

derivatives may be covalently attached to a targeting moiety (such as cyanocobalamin) by the reaction scheme illustrated in Figure 14, method A, or similarly as described in method B. Both reaction schemes produce a cyanocobalamin-acridine conjugate.

- Method A: A diamine side chain is first synthesized in a manner analogous to the side chain of quinacrine. Specifically, mono-phthaloyl protected 1,4diaminobutane (27) is reacted with 6,9-dichloro-2-methoxyacridine (28) in phenol (J. Am. Chem. Soc. <u>66:1921-1924</u>, 1944). The reaction mixture is then poured into an excess of 2 N NaOH and extracted with ether. The ether extract is washed with 1 M NaHCO<sub>3</sub>, then H<sub>2</sub>O, and dried over MgSO<sub>4</sub>. The crude product is recrystallized from
- 20 H<sub>2</sub>O-alcohol. The phthaloyl protecting group is removed using anhydrous hydrazine in MeOH (<u>Bioconjugate Chem. 2</u>:435-440, 1991) to yield the aminoacridine, (29). Aminoacridine (29) is then conjugated with vitamin B<sub>12</sub> monocarboxylic acid (2, 3, 4) to yield a cyanocobalamin-acridine conjugate (30).
- Method. B: Acridine derivative (31) (0.098 mmol, 0.045 g) was 25 dissolved in 0.5 mL of trifluoroacetic acid. This solution was stirred at room temperature for 0.5 h. TFA was removed by aspirator vacuum. The residue was dissolved in 5 mL of acetonitrile and was neutralized by few drops of triethylamine. Acetonitrile was then removed by aspirator vacuum. The residue was dissolved in DMSO (10 mL) and cyanocobalamin carboxylic acid-diaminododecane-succinyl
- 30 derivative (15, 16, 17) (0.098 mmol, 134 mg) was added followed by triethylamine (12 μL). The reaction mixture was then stirred at room temperature for 24 h. (HPLC monitored), and evaporated to dryness. The residue was digested with 100 mL of acetone and the solvent was decanted yielding a cyanocobalamin-acridine conjugate (32). Yield: 120 mg (62%). mp 182-188 °C.

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<sup>1</sup>H NMR (MeOH-d<sub>4</sub>,  $\delta$ ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.17 (s, 4H, C-46 CH<sub>3</sub>); 1.23 (d, 4H, Pr<sub>3</sub> CH<sub>3</sub>); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.65 (m,

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2H); 1.87 (s, 4H); 2.05 (m, 2H); 2.25 (s, 6H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 3H); 2.4 (d, 5H); 2.44 (d, 2H); 2.55 (d, 10H); 2.64 (s, 5H); 2.8-2.9 (m, 8H); 3.1-3.15 (m, 6H); 3.3 (s, 6H); 3.4 (m, 1H); 3.65 (m, 2H); 3.75 (d, 1H); 3.9 (d, 1H); 3.98 (s, 2H); 4.0 (m, 2H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 1H); 4.48 (m, 1H); 4.6 (m, 2H); 6.0 (s, 1H, C-10); 6.3 (d, 1H,  $R_1$ ); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); 7.3 (t, 1H); 7.4 (dd, 1H); 7.6 (dd, 1H); 7.7 (2dd, 2H); 7.8 (d, 1H); 7.9 (d, 1H); 8.4 (d, 1H).

#### EXAMPLE 12

## SYNTHESIS OF A CYANOCOBALAMIN/LYSOSOMOTROPIC COMPOUND (AMIKACIN) RECEPTOR MODULATING AGENT

This example demonstrates conjugation of amikacin я to cvanocobalamin molecule to form a cyanocobalamin-amikacin conjugate. A reaction scheme for the conjugation is depicted in Figure 12. As noted above, chemical moieties 15 that are retained subcellularly within lysosomes are termed lysosomotropic. Aminoglycosides are lysosomotropic compounds, and thus may be used as rerouting moieties of this invention. The primary long chain amine on the hydroxyaminobutyric acid side chain of the aminoglycoside, amikacin (see Figure 3), is preferentially reactive. Specifically, amikacin (33) (Sigma Chemical Co., St. Louis), is reacted with a 20 vitamin  $B_{12}$  monocarboxylate (2, 3, 4) in the presence of EDC. A cyanocobalaminamikacin conjugate (34) is then separated and purified by reverse-phase LC chromatography under conditions noted above.

#### EXAMPLE 13

## CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE Conjugate Dimer: Isophthaloyl dichloride Cross-Linking

This example demonstrates the production of a cyanocobalamin dimer suitable for use as a cross-linking receptor modulating agent. Cross-linking of receptors in some receptor systems is sufficient to cause a rerouting of cell surface receptors to lysosomes for degradation, rather than their normal pathway of receptor recycling.

To a solution of cyanocobalamin monocarboxylic acid diaminododecane conjugate (8, 9, 10) (0.192 mmol, 0.300 g) in DMF (30 mL), was added triethylamine (18  $\mu$ L). Isophthaloyl dichloride (35) (0.096 mmol, 0.0195 g) was added over a period of 10-15 min. The reaction mixture was stirred at 55-60°C for 48 h (HPLC monitored) and evaporated to dryness. The solid residue was dissolved in 20 mL of methanol :

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 $H_2O$  (7:3) and applied to a reverse phase C-18 column (500 mm x 25 mm, Alltech, 150 psi) which was developed with the same solvent. RAININ Rabbit-plus peristaltic pumping system was used with a DYNAMAX (model UV-1) UV visible absorbance detector; the elute was collected with an automatic fraction collector. The fractions containing the final product (HPLC monitored) were evaporated to dryness.

*b-acid dimer* (36): yield 96 mg (30%), mp 217-220° C with decomposition, <sup>1</sup>H NMR (D<sub>2</sub>O,  $\delta$ ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.18 (s, 8H); 1.3 (m, 36H); 1.37 (m, 12H); 1.46 (s, 10H); 1.6 (m, 8H); 1.9 (d, 12H); 2.05 (m, 10H); 2.2 (d, 16H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 8H); 2.6 (d, 18H); 2.8-3.0 (m, 16H); 3.15 (m,

6H); 3.3 (s, 8H); 3.37 (m, 14H); 3.6 (m, 4H); 3.76 (m, 2H); 3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.18 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.6 (s, 2H); 4.68 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.54 (t, 1H); 7.95 (d, 2H); 8.25 (s, 1H); MS (FAB<sup>+</sup>): m/e 3208. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV: λ360 (ε42 380).

e-acid dimer (37): yield 121 mg (38%), mp 220-222° C with decomposition, <sup>1</sup>H NMR (D<sub>2</sub>O, δ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.17 (s, 8H); 1.22 (d, 13H); 1.29 (s, 45H); 1.36 (d, 22H); 1.44 (s, 10H); 1.6 (m, 8H); 1.87 (s, 8H); 2.04 (m, 10H); 2.25 (s, 12H, B10 & B11 CH<sub>3</sub>); 2.36 (m, 8H); 2.55 (d, 20H); 2.8 (m, 8H); 3.15 (m, 8H); 3.29 (s, 10H); 3.36 (m, 14H); 3.6 (m, 4H); 3.73 (m, 2H); 3.9 (d, 2H);

- 4.07 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.6 (s, 2H);
  4.66 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d,2H, 2R1); 6.6 (s,2H, 2B4); 7.1 (s, 2H, 2B2);
  7.25 (s, 2H, 2B7); 7.54 (t, 1H); 7.93 (d, 2H); 8.25 (s, 1H); MS (FAB<sup>+</sup>): m/e 3208. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH): λ360 (ε 33 854)
- 25 d-acid dimer (38): yield 96 mg (30%), mp 225-228° C with decomposition, <sup>1</sup>H NMR (D<sub>2</sub>O, δ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.16 (s, 8H); 1.29 (m, 36H); 1.35 (d, 12H); 1.44 (s, 10H); 1.53 (m, 6H); 1.6 (m, 8H); 1.85 (s, 12H); 2.03 (m, 8H); 2.25 (d, 12H, B10 & B11 CH<sub>3</sub>); 2.33 (m, 8H); 2.54 (d, 20H); 2.8 (m, 8H); 3.13 (m, 8H); 3.28 (s, 12H); 3.35 (m, 12H); 3.6 (m, 4H); 3.73 (m, 2H); 3.9 (d, 2H); 30 4.07 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.64 (m, 2H);
  - 4.7 (s, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d,2H, 2R1); 6.6 (s,2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.54 (t, 1H); 7.93 (d, 2H); 8.25 (s, 1H); MS (FAB<sup>+</sup>): m/e 3208. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup> UV (MeOH): λ360 (ε 31 747).

#### EXAMPLE 14

### CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE CONJUGATE DIMER: ETAC CROSS-LINKING

5 This example serves to illustrate synthesis of a bivalent receptor modulating agent using a heterotrifunctional cross-linker. The reaction scheme for this synthesis is depicted in Figure 15. The heterotrifunctional cross-linker is formed an ETAC reagent (Bioconjugate Chem. 1:36-50, 1990; Bioconjugate Chem. 1:51-59, 1990; J. Am. Chem. Soc. 101:3097-3110, 1979). Bivalency, in addition to enhancing affinity of binding, also imparts the ability to cross-link neighboring receptors and trigger endocytosis. The bivalent "arms" of the agent may be lengthened with peptide

or other linking molecules to enable simultaneous binding of both "arms". In the case of vitamin B<sub>12</sub> this may be assessed by gel filtration. If the linkers allow simultaneous interaction, there will be 2 moles of TcII for every mole of ETAC dimer present in a single peak of 80,000 m.w. (versus 40,000 m.w. of monomeric TcII). Simultaneous

binding of 2 moles of TcII will then have the potential for bivalent binding to cell surface receptor. This can be tested by comparing the affinity of monomer and dimer binding to receptor. While the bivalent agent can be synthesized to include any rerouting moiety of this invention which enhances lysosomal targeting and retention,

20 the compound tyramine, useful for radio-labeling is disclosed for the purpose of illustration.

Referring to Figure 15, carboxy-ETAC (39) is prepared by the method of Liberatore et al. (Bioconjugate Chem. 1:1990). The carboxy-ETAC is converted to its acid chloride by reaction in thionyl chloride. Addition of amine (40) gives the amine-

- 25 ETAC adduct (41). Reaction of amine-ETAC (1 mmol) in CH<sub>3</sub>CN with 1 M aqueous cysteamine (10 mmol) is conducted by stirring at room temperature for 24 h. This compound is reduced with NaCNBH<sub>3</sub> under acidic conditions. The crude amine-ETAC-cysteamine adduct (42) is purified by reverse-phase LC, using conditions noted above. A vitamin B<sub>12</sub> monocarboxylate (2, 3, 4) is conjugated with tyramine-ETAC-
- 30 cysteamine compound by reaction with EDC in  $H_2O$ . The resultant vitamin  $B_{12}$ -ETAC-tyramine dimer (43) is purified by reverse phase LC, using conditions described above.

#### EXAMPLE 15

## CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE CONJUGATE DIMER: ISOPHTHLATE CROSS-LINKING WITH BIOTIN MOIETY

This example illustrates the synthesis of a bivalent receptor modulating agent which is additionally coupled to a biotin moiety (44). Further modification can be obtained by coupling of this molecule with an avidin or streptavidin moiety.

Reaction Step A: Biotin (12.3 mmol, 3 g) was dissolved in warm (bath temperature 70°C) DMF (60 mL) under argon atmosphere. It was then cool to ambient temperature and DCC (13.5 mmol, 2.79 g) was added, followed by tetrafluorophenol (24.6 mmol, 4.08g). The reaction mixture was then cooled to 0°C and stirred for 0.5 h. It was then brought back to ambient temperature and stirred for another 4-5 h. The reaction mixture was filtered and the filtrate was evaporated to dryness. The precipitate was washed with acetonitrile (50 mL) and was filtered to yield 5 g (98%) of white solid 15 (45).

<sup>1</sup>H NMR (DMSO,  $\delta$ ): 1.4 (m, 2H); 1.7 (m, 2H); 2.5 (t, 2H); 2.8 (t, 2H); 3.1 (m, 1H); 4.1 (m, 1H); 4.3 (m, 1H); 6.4 (d, 2H); 7.9 (m, 1H).

Reaction Step B: 6-Aminocaproic acid (46) (7.5 mmol, 0.99g) was dissolved in H<sub>2</sub>O (75 mL). Triethylamine (0.5 mL) was added followed by a solution of TFP ester of Biotin (5 mmol, 1.96 g) in warm acetonitrile (300 mL). The reaction was stirred overnight at room temperature. It was then filtered, washed with H<sub>2</sub>O (50 mL) and dried on high vacuum. Yield: 0.870 g (47%). The filtrate was evaporated to dryness. The residue was taken in boiling acetonitrile (75 mL) and was filtered, washed with hot acetonitrile. The solid (47) was dried on high vacuum to give 0.6 g, for a total yield of 1.47 g (79%).

<sup>1</sup>H NMR (DMSO-d<sub>6</sub>,  $\delta$ ): 1.2-1.6 (m, 8H); 2.0 (t, 2H); 2.2 (t, 2H); 2.5 (dd, 2H); 2.8 (dd, 2H); 3.1 (m, 3H); 4.1 (m, 1H); 4.3 (m, 1H); 6.4 (d, 2H); 7.7 (m, 1H).

Reaction Step C: Biotin conjugated caproic acid (47) (2.68 mmol, 1 g) 30 was dissolved in DMSO (50 mL). Triethylamine (0.4 mL) was added followed by TFP acetate (4.02 mmol, 1.05 g). The reaction mixture was then stirred at room temperature for 15-20 min (HPLC monitored). It was then evaporated to dryness. The residue was washed with ether and dichloromethane and dried on high vacuum (48). Yield: 1.24 g (89%).

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<sup>1</sup>H NMR (DMSO-d<sub>6</sub>,  $\delta$ ): 1.2 (t, 2H); 1.3-1.7 (m, 5H); 2.1 (t, 2H); 2.6 (dd, 2H); 2.8 (m, 4H); 3.1 (m, 4H); 4.2 (m, 1H); 4.4 (m, 1H); 6.4 (d, 2H); 7.8 (t, 1H); 8.0 (m, 1H).

Reaction Step D: TFP ester of Biotin-caproic acid (48) (0.67 mmol, 0.35 g) was dissolved in DMF (40 mL). Triethylamine (80  $\mu$ L) was added followed by aminoisophthalic acid (1.005 mmol, 0.182 g). The reaction was stirred at room temp. for 8 days (HPLC monitored) while adding triethylamine (80  $\mu$ L) every after 24 h. It was then evaporated to dryness. The residue was then applied to a column of silica and was initially eluted with acetonitrile (450 mL). It was then eluted with methanol, 20 mL of fractions were collected, at the fraction 2 the solvent was changed to DMF. The fractions applied to final product (LIDE C menitored) wave supported to dryness.

fractions containing the final product (HPLC monitored) were evaporated to dryness (49) to yield 230 mg (65%).

<sup>1</sup>H NMR (DMSO-d<sub>6</sub>, δ): 1.3-1.7 (m, 8H); 2.1 (t, 2H); 2.3 (t, 2H); 2.6 (m, 2H); 2.8 (m, 2H); 3.1 (m, 3H); 4.1 (m, 1H); 4.3 (m, 1H); 6.4 (d, 2H); 7.8 (t, 1H); 8.1 (m, 1H); 8.46 (s, 2H).

Reaction Step E: Biotin-caproic acid-isophthalic acid (49) (0.376 mmol, 200 mg) was dissolved in DMF (30 mL) under argon atmosphere. TFP acetate (0.94 mmol, 241 mg) was added by double ended needle, followed by triethylamine (112  $\mu$ L). The reaction was then stirred at room temp. for 24 h (HPLC monitored). It was

20 then evaporated to dryness. The light brownish oil was taken in ether, solid was filtered and was washed with ether (50 mL) (50) to yield 250 mg (86%).

<sup>1</sup>H NMR (DMSO-d<sub>6</sub>,  $\delta$ ): 1.3-1.7 (m, 8H); 2.1 (t, 2H); 2.3 (t, 2H); 2.6 (m, 2H); 2.8 (m, 2H); 3.1 (m, 3H); 4.2 (m, 1H); 4.4 (m, 1H); 6.4 (d, 2H); 7.8 (t, 1H); 8.1 (m, 2H); 8.57 (s, 1H); 8.9 (s, 2H).

- 25 <u>Reaction Step F:</u> In a solution of cyanocobalamin carboxylic acid diaminododecane conjugate (8, 9, 10) (0.130 mmol, 0.2 g) in a mixture of DMF : H<sub>2</sub>O (3:1) (40 mL) triethylamine (12 μL) was added. DiTFP ester of biotin-caproic acid-isophthalic acid (50) (0.065 mmol, 0.050 g) was added over a period of 5-10 min. The reaction mixture was stirred at room temperature for 3 h (HPLC monitored). It was
- 30 then evaporated to dryness. The residue was digested with 100 mL of acetone and the solvent was decanted to yield 230 mg (62%) (51). mp 195-198°C with decomposition.

#### EXAMPLE 16

### CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE CONJUGATE DIMER: ISOPHTHALATE CROSS-LINKING WITH PARA-IODOBENZOYL MOIETY

This is an example of a bivalent receptor modulating agent which is also conjugated to a *para*-iodobenzoyl moiety.

Reaction Step A: A 5g (28 mmol) quantity of 5-aminoisophthalic acid (52) was dissolved in 30 mL 1N NaOH and placed in an ice/water bath. To the cold solution was added 7.5g (28 mmol) 4-iodobenzoyl chloride (52) in 60 mL of acetonitrile, dropwise. The thick white precipitate was then stirred for 10 minutes before removing the ice/water bath and allowing the mixture to stir an additional 10 minutes. The reaction mixture was adjusted to pH 4 with acetic acid and the resulting solid collected. This solid was then dissolved in 30 mL 1N NaOH and washed with

ether (2 x 50 mL). The resulting aqueous solution was filtered and acidified to pH 4

- 15 with acetic acid. The white precipitate was the collected and dried on high vacuum to yield .6 g (99+%) of (54). mp >300 °C; IR (Nujol, cm<sup>-1</sup>) 3570(m), 3300(m), 1645, 1580(m), 1525(m), 760(m); <sup>1</sup>H NMR (DMSO-d<sub>6</sub>, δ), 8.51 (2H, d, J = 0.7 Hz), 8.27 (1H, s), 7.94 (2H, d, J = 4.2 Hz), 7.84 (2H, d, J = 4.1 Hz).
- Reaction Step B: A 5g (12.2 mmol) quantity of 5-[N-20 iodobenzoyl)amino]-isophthalic acid (54) was suspended in 100 mL anhydrous ethyl acetate. To this was added 12.5g (73 mmol) 2,3,5,6-tetrafluorophenol (55) followed by 5g (24.2 mmol) 1,3-dicyclohexylcarbodiimide. This suspension was then stirred at room temperature for 3 days before filtering off the solid and washing with an additional 20 mL of ethyl acetate. The filtrate was then evaporated to dryness. The
- resulting sticky white solid was suspended in 50 mL acetonitrile and stirred for 30 minutes. Filtering yielded 3.75g of white solid (43%) (56). mp 250-251 °C; IR (Nujol, cm-1) 3220(m), 3060(m), 1750, 1655, 1520, 1485, 1330, 1195, 1110, 1085, 955(m), 945(m); <sup>1</sup>H NMR (DMSO-d<sub>6</sub>, δ), 9.06 (2H, d, J = 0.7 Hz), 8.57 (1H, t, J = 1.4 Hz), 8.04 (2H, m), 7.94 (2H, d, J = 4.2 Hz), 7.81 (2H, d, J = 4.3 Hz).
- 30 <u>Reaction Step C</u>: To a solution of cyanocobalamin carboxylic acid diaminododecane conjugate (56) (0.192 mmol, 0.3 g) in a mixture of DMF : H<sub>2</sub>O (3:1) (40 mL) was added triethylamine (0.018 mL). To this solution, DiTFP ester of 5-[N-(p-Iodobenzoyl)amino]-Isophthalic acid (57)(0.096 mmol, 0.068 g) was added over a period of 5-10 min. The reaction mixture was stirred at room temperature for 4-5 h
- 35 (HPLC monitored). It was then evaporated to dryness. The solid residue was dissolved in 20 mL of methanol : H<sub>2</sub>O (8:2) and applied to a reverse phase C-18 column (500 mm

x 25 mm, Alltech, 150 psi) which was developed with the same solvent. RAININ Rabbit-plus peristaltic pumping system was used with a DYNAMAX (model UV-1). UV visible absorbance detector; the elute was collected with an automatic fraction collector. The fractions containing the final product (HPLC monitored) were evaporated to dryness.

*b-acid dimer* (58): yield: 280 mg (76%), mp 230-233 °C with decomposition, <sup>1</sup>H NMR ( $D_2O$ ,  $\delta$ ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.19 (s, 8H); 1.3 (m, 36H); 1.37 (d, 12H); 1.46 (s, 10H); 1.63 (m, 8H); 1.87 (s, 12H); 2.05 (m, 10H); 2.27 (d, 16H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 8H); 2.6 (d, 18H); 2.8 (s, 8H); 3.0 (s, 10H);

3.15 (m, 8H); 3.3 (d, 8H); 3.37 (m, 14H); 3.6 (m, 2H); 3.68 (d, 2H); 3.76 (m, 2H);
3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.18 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H);
4.64 (m, 4H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R<sub>1</sub>); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.7 (d, 2H); 7.9 (d, 2H); 7.99 (d, 1H); 8.28 (s, 2H); MS (FAB<sup>+</sup>): m/e 3453. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>.

15 UV (MeOH): λ360.6 (ε48 871)

*e-acid dimer* (59): yield: 258 mg (70%), mp 285-290 °C with decomposition, <sup>1</sup>H NMR ( $D_2O$ ,  $\delta$ ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.17 (s, 8H); 1.22 (d, 13H); 1.29 (s, 45H); 1.36 (d, 22H); 1.44 (s, 10H); 1.6 (m, 8H); 1.86 (s, 12H); 2.04 (m, 10H); 2.25 (s, 12H, B10 & B11 CH<sub>3</sub>); 2.36 (m, 8H); 2.55 (d, 20H); 2.83 (m, 8H);

3.15 (m, 8H); 3.29 (s, 10H); 3.36 (m, 8H); 3.58 (m, 2H); 3.65 (m, 2H); 3.75 (m, 2H); 3.9 (d, 2H); 4.06 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.57 (s, 2H); 4.65 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.5 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.7 (d, 2H); 7.89 (d, 2H); 7.98 (s, 1H); 8.26 (s, 2H); MS (FAB<sup>+</sup>): m/e 3453. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV (MeOH): λ360 (ε41 481).

*d-acid dimer* (60): yield 265 mg (72%), mp 253-255 °C with decomposition, <sup>1</sup>H NMR (D<sub>2</sub>O,  $\delta$ ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.16 (s, 8H); 1.22 (d, 12H); 1.33 (m, 36H); 1.43 (s, 10H); 1.53 (m, 6H); 1.6 (m, 8H); 1.86 (s, 12H); 2.03 (m, 8H); 2.25 (d, 12H, B10 & B11 CH<sub>3</sub>); 2.33 (m, 8H); 2.54 (d, 20H); 2.8 (s, 4H); 3.0 (s, 4H); 3.28 (s, 10H); 3.35 (m, 8H); 3.58 (m, 2H); 3.65 (m, 2H); 3.73 (m, 2H); 3.88 (d, 2H); 4.05 (m, 2H); 4.1 (m, 2H); 4.17 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.57 (s, 2H); 4.63 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R<sub>1</sub>); 6.5 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.7 (d, 2H); 7.89 (d, 2H); 7.98 (s, 1H); 8.26 (s, 2H); MS (FAB<sup>+</sup>): m/e 3453. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 48 245).

#### EXAMPLE 17

# CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE Conjugate Dimer: Isophtahate Cross-Linking with para-(tri-Butylstannyl)benzoyl Moiety

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This is an example of a bivalent receptor modulating agent coupled to a *para*-tri-N-butyl stannyl moiety.

Reaction Step A: A 2 g (2.8 mmol) quantity of the diTFP ester of 5-[N-(p-Iodobenzoyl)amino]-Isophthalic acid (57) (as prepared above) was dissolved in 20 mL dry toluene under argon. To this was added 2.8 mL (5.5 mmol) of *bis*(tributyltin)

(61) followed by 40 mg (0.04 mmol) tetrakis(triphenylphosphine)palladium (62). The mixture was stirred at room temperature for 15 minutes before heating to 80°C for 2 h. Since the mixture only darkened slightly over the 2 h period, an additional 40 mg of palladium catalyst was added. Within 1 hour the mixture had turned black. After

- 15 cooling to room temperature, the toluene was removed by rotary evaporation. The resulting black oil (containing solids), was then taken into 20 mL ethyl acetate and dried onto 10 g silica gel (via rotoevaporation). This solid was then added to a 250 g (40 x 3.5 cm) silica gel column and eluted initially with hexanes containing 5% acetic acid. After 600 mL, the solvent was changed to 90/10 hexanes/ethyl acetate (containing)
- 20 5% acetic acid). Fractions 14-16 were combined and dried to yield 1.5 g (62%) of white solid (62). mp 120-123 °C;

<sup>1</sup>H NMR (CDCl<sub>3</sub>,  $\delta$ ), 8.87 (2H, d, J = 0.7 Hz), 8.76 (1H, t, J = 1.6 Hz), 8.38 (1H, s), 7.84 (2H, d, J = 4.1 Hz), 7.62 (2H, d, J = 4.1 Hz), 7.07 (2H, m), 1.55 (6H, m), 1.36 (15H,m), 1.11 (6H,m), 0.89 (9H, t, J = 7.3 Hz); MS (FAB<sup>+</sup>) M+H patterns

25 calculated 870 (75.1%), 871 (52.9%), 872 (100%), 873 (41.0%), 874 (21.4%), found 870 (82.1%), 871 (55.1%), 872 (100%), 873 (42.1%), 874 (25.2%).

IR (Nujol, cm<sup>-1</sup>) 1750, 1645, 1520, 1480(m), 1185, 1100, 1085.

<u>Reaction Step B</u>: In a solution of cyanocobalamin carboxylic acid - diaminododecane conjugate (8, 9, 10) (0.065 mmol, 0.1 g) in a mixture of DMF : H<sub>2</sub>O
(3:1) (40 mL) triethylamine (0.006 mL) was added. DiTFP ester of 5-[N-(p-tributyltin benzoyl) amino]-Isophthalic acid (63)(0.0325 mmol, 0.028 g) was added over a period of 5-10 min. The reaction mixture was stirred at room temperature for 12-14 h (HPLC monitored). It was then evaporated to dryness. The residue was digested with 100 mL of acetone and the solvent was decanted.

35 *b-acid dimer* (64): yield: 90 mg (70%), mp 208-212 °C with decomposition, <sup>1</sup>H NMR (D<sub>2</sub>O, δ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 0.88 (t, 9H); 1.15 (t,

12H); 1.19 (s, 8H); 1.3 (m, 36H); 1.37 (d, 12H); 1.46 (s, 10H); 1.6 (m, 8H); 1.9 (s, 12H); 2.05 (m, 10H); 2.28 (d, 16H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 8H); 2.6 (d, 18H); 2.8-2.9 (m, 16H); 3.15 (m, 8H); 3.3 (s, 8H); 3.37 (m, 14H); 3.6 (m, 4H); 3.76 (m, 2H); 3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.18 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.68 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R<sub>1</sub>); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (d, 2H, 2B7); 7.6 (d, 2H); 7.9 (d, 2H); 7.99 (br s, 1H); 8.28 (br s, 2H); IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>.

e-acid dimer (65): yield: 93 mg (72%), mp >300 °C, <sup>1</sup>H NMR (D<sub>2</sub>O, δ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 0.88 (t, 9H); 1.12 (t, 12H); 1.17 (d, 8H); 1.22 (d, 13H);
1.29 (s, 45H); 1.36 (d, 22H); 1.44 (s, 10H); 1.6 (m, 8H); 1.87 (d, 12H); 2.04 (m, 10H); 2.25 (s, 12H, B10 & B11 CH<sub>3</sub>); 2.36 (m, 8H); 2.55 (d, 20H); 2.8 (m, 8H);
3.15 (m, 8H); 3.29 (s, 10H); 3.36 (m, 14H); 3.6 (m, 4H); 3.73 (m, 2H); 3.9 (d, 2H);
4.07 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.66 (m, 2H);
6.0 (s, 2H, 2C-10); 6.26 (d,2H, 2R<sub>1</sub>); 6.6 (s,2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.6 (d, 2H); 7.9 (d, 2H); 7.98 (br s, 1H); 8.28 (br s, 2H); IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>.

d-acid dimer (66): yield: 100 mg (78%), mp 202-205 °C with decomposition, <sup>1</sup>H NMR (D<sub>2</sub>O, δ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 0.88 (t, 9H); 1.12 (t, 12H); 1.15 (s, 8H); 1.29 (m, 36H); 1.35 (d, 12H); 1.44 (s, 10H); 1.53 (m, 6H); 1.6
(m, 8H); 1.86 (d, 12H); 2.03 (m, 8H); 2.25 (d, 12H, B10 & B11 CH<sub>3</sub>); 2.33 (m, 8H); 2.54 (d, 20H); 2.8 (m, 8H); 3.13 (m, 8H); 3.28 (s, 10H); 3.35 (m, 10H); 3.6 (m, 4H); 3.73 (m, 2H); 3.9 (d, 2H); 4.05 (m, 2H); 4.1 (m, 2H); 4.17 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.6 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.6 (d, 2H); 7.9 (d, 2H); 7.98 (br s, 1H); 8.28 (br s, 2H); IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>.

#### EXAMPLE 18

# EVALUATION OF THE ABILITY OF VITAMIN $B_{12}\,Receptor$ Modulating Agents to Bind to TCII

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This example serves to demonstrate a competitive binding assay suitable for evaluating the ability of vitamin  $B_{12}$  receptor modulating agents to bind TcII. Binding of the vitamin  $B_{12}$  derivatives to recombinant transcobalamin II was conducted in picomolar concentrations and the percent bound ascertained.

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In this competitive binding assay, various  $B_{12}$  derivatives, including vitamin  $B_{12}$  receptor modulating agents, were evaluated for their ability to bind to TcII

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relative to radiolabeled  $B_{12}$ . Varying concentrations of each derivative were incubated with immobilized TcII in the presence of a constant amount of radiolabeled  $B_{12}$ . After incubation for 20 minutes at 37° C, the free radiolabeled  $B_{12}$  was separated from the TcII bound tracer by removal of the supernatant. The radioactivity of the supernatant

- 5 solution was then measured to determine the amount of free radiolabeled  $B_{12}$  present at the end of each competition. By measuring the amount of free radiolabeled  $B_{12}$  for each competition, the ability of each derivative to inhibit radiolabeled  $B_{12}$  binding was determined. A binding curve was then be constructed for each  $B_{12}$  derivative where the amount of radiolabeled  $B_{12}$  bound (% radiolabel bound) was correlated with the
- 10 concentration of derivative present in the original mixture. The more effective the derivative is in binding to TcII, the lower the percent bound radiolabeled vitamin  $B_{12}$ .

Figure 22 illustrates the binding curve of Transcobalamin II to the cyanocobalamin monocarboxylic acids produced in Example 1. AD = Cyanocobalamin (1); AL = Cyanocobalamin b-monocarboxylic acid (2); AM = Cyanocobalamin e-monocarboxylic acid (3); and AN = Cyanocobalamin d-monocarboxylic acid (4). The

15 monocarboxylic acid (3); and AN= Cyanocobalamin d-monocarboxylic acid (4). The d-carboxylate (3) appears to bind nearly as well as cyanocobalamin. Two samples of vitamin B<sub>12</sub> were used, one as a known standard and the other as an unknown.

Figure 23 illustrates the binding curve of Transcobalamin II to the cyanocobalamin diaminododecane adducts (8, 9, 10) and succinate adduct (13) produced in Example 3 and 4 above. AH = Cyanocobalamin b-monocarboxylic acid conj Diaminododecane (7); AI = Cyanocobalamin e-monocarboxylic acid conj Diaminododecane (8); AJ = Cyanocobalamin d-monocarboxylic acid conj Diaminododecane (9); AK = Cobalamin e-monocarboxylic acid conj Diaminododecane, and AE = Cyanocobalamin Ribose-Succinate (11). The b-conjugate (17) has the least binding, whereas the e-conjugate (18) has intermediate binding, and the d-conjugate (19) binds quite well. The biotin conjugate attached to the ribose site (13) appears to bind very well, as does its precursor amino derivative (12). The additional compound studied is of unknown structure, but may have the amine group coordinated with the

30 minus HCN. It is clear that this unknown compound is not likely to bind TcII.

Figure 24 illustrates the binding curve of Transcobalamin II to a series of vitamin  $B_{12}$  dimers. Dimer X = b-acid dimer with Isophthaloyl dichloride (36); Dimer Y = e-acid dimer with Isophthaloyl dichloride (37); dimer Z = d-acid dimer with Isophthaloyl dichloride (38); Dimer A= b-acid Dimer with p-Iodo benzoyl Isophthaloyl dichloride (58); Dimer B = e-acid Dimer with p-Iodo benzoyl Isophthaloyl dichloride

cobalt atom as the mass spectrum indicates that it has the appropriate mass for (7)

(59); and Dimer C = d-acid Dimer with *p*-Iodo benzoyl Isophthaloyl dichloride (60).

Figure 25 illustrates the binding curve of Transcobalamin II to a series of biotinylated vitamin  $B_{12}$  molecules. AA = Cyanocobalamin *b*-monocarboxylic acid conj Diaminododecane and Biotin (17); AB = Cyanocobalamin *e*-monocarboxylic acid conj Diaminododecane and Biotin (18); AC = Cyanocobalamin *d*-monocarboxylic acid conj Diaminododecane and Biotin (19); AF = Cyanocobalamin Ribose-Succinate conj Diaminododecane (13); and AG = Cyanocobalamin Ribose-Succinate conj. Diaminododecane and Biotin (20).

#### EXAMPLE 19

# Assay for Biological Activity of Vitamin B<sub>12</sub> Receptor Modulating Agents

This example serves to demonstrate the use of an assay to ascertain biological activity of the receptor modulating agents of the present invention.

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Receptor down-modulation involves a comparison of treatment of a target cell line such as K562, each sample is treated with vitamin  $B_{12}$  or a vitamin  $B_{12}$  receptor modulating agent at 4°C for 24 hours. Following this period, cells of each sample are separated from a vitamin  $B_{12}$  or a vitamin  $B_{12}$  receptor modulating agent by centrifugation. The cells are then washed and resuspended in phosphate buffered saline

- 20 containing 2 mM EDTA for a brief period of time not to exceed 15 minutes at 4°C. Then, the cells are washed again and returned to a tissue culture medium at 4°C. The tissue culture medium containing TcII and a radiolabeled TcII/B<sub>12</sub> complex. The time course of TcII/B<sub>12</sub> binding to the cell receptor is determined by measuring the percent radiolabel bound to the cell at 0, 15, 30, 60, 120, and 240 minutes. Those samples
- 25 exposed to the vitamin B<sub>12</sub> receptor modulating agents of the present invention show significantly reduced TcII/B<sub>12</sub> complex binding compared to cells cultured in vitamin B<sub>12</sub>. Trypsin treated cells reveal any nonspecific binding or uptake of the labeled vitamin B<sub>12</sub> on or within the cell.

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#### EXAMPLE 20

## METHOD FOR ASSESSING BIOLOGICAL ACTIVITY OF A RECEPTOR MODULATING AGENT

This example serves to demonstrate a method suitable for assessing the biological activity of a receptor modulating agent of the present invention.

 $0.2 \times 10^6$  cells/ml K562 cells were cultured in RPMI medium modified by addition of 10  $\mu$ M MeTHF, 2.7 nM vitamin B<sub>12</sub> and 1% human serum. No folate was added. 10  $\mu$ M d-diamimododecane adduct (7) was added and cultured over 9 days at 37°C. 10  $\mu$ M vitamin B<sub>12</sub> cultured under identical conditions as (7) was utilized as a control. The cultures were then independently assessed for proliferation and cell death

by Trypan blue exclusion. The results are described in Table 10, below, in terms of the percent cell death.

#### Table 10

	Control	d-diaminododecane adduct (7)
Proliferation	98%	9%
Cell Death	8 %	85 %

The receptor modulating agent, in this case *d*-diaminododecane adduct (7), clearly demonstrates the marked biological activity of the receptor modulating agent.

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# Example 21 Synthesis Of An Anti-Inflammatory Receptor Modulating Agent

The synthetic peptide f-met-leu-phe is equivalent to a bacterial cell wall constituent (Biochem. Soc. Trans. 19:1127-9, 1991; Agents Actions Suppl. 35:3-8, 1991; Agents Actions Suppl. 35:11-6, 1991; J Immunol. 146:975-80, 1991). This peptide is recognized by receptors on PMN which can respond by chemotaxis to sites of local inflammation along a gradient of the peptide. During inflammation, receptor expression can be dramatically increased by mobilizing receptor from intracellular pools. Non-specific methods used to abrogate this up-regulation also inhibit chemotaxis and presumably the anti-inflammatory reaction associated with local inflammation (J. Immunol. 145:2633-8, 1990). The synthesis of a receptor modulation agent useful as an inhibitor of early inflammation is described below.

The peptide f-met-leu-phe-(gly)<sub>3</sub>-leu-O-Me is synthesized using tea-bag 30 methodology or solid phase peptide synthesis procedures described by Merrifield et al. (<u>Biochemistry 21</u>:5020-31, 1982) and Houghten (<u>Proc. Nat'l. Acad. Sci. (USA)</u> <u>82</u>:5131-35, 1985), or using a commercially available automated synthesizer, such as the Applied Biosystems 430 A peptide synthesizer. The peptide-amide is deprotected

in 45% trifluoroacetic acid-51% methylene chloride-2% ethanedithiol-2% anisole for 20 minutes, and cleaved from the 4-methylbenzhydrylamine resin using the Tam-Merrifield low-high HF procedure (J. P. Tam et al., J. Am. Chem. Soc. 105:6442-55, 1983). The peptide is then extracted from the resin using 0.1 M ammonium acetate buffer, pH 8, and is lyophilized. The crude peptide is purified using reverse phase HPLC on a Vydac C-4 analytical column (The Separations Group, Hesperia, Calif.), and a linear gradient of 0.5-1.0%/min. from 100% acetonitrile + 0.1%v/v trifluoroacetate to 100% acetonitrile + 0.1% trifluoroacetate. The HPLC-purified peptide is analyzed by amino acid analysis (R. L. Heinriksen and S. C. Meredith, Anal. Rischem 160:65 74, 1984) after and phase budgelusis (N. M. Meltrer et al. Anal.

- 10 Biochem. 160:65-74, 1984) after gas phase hydrolysis (N. M. Meltzer et al., Anal. Biochem. 160:356-61, 1987). The sequence of the purified peptide may be confirmed by Edman degradation on a commercially available sequencer (R. M. Hewick et al., J. Biol. Chem. 15:7990-8005, 1981). The peptide amide is converted to an O-methyl ester (*i.e.*, f-met-leu-phe-(gly)<sub>3</sub>-leu-O-Me) by treatment with dimethylformamide (5g/60 mL
- 15 with 1.3 equivalents of NaHCO<sub>3</sub> in excess methyl iodide (4 equivalents). The mixture is stirred under argon gas at room temperature for 40 hours. If required, the peptide is extracted to dryness with 150 mL of ethyl acetate. The receptor for modulating agent is used to treat PMN, activated with GM-CSF (to increase expression of fMLP receptors). Loss of binding of biotinylated fMLP is compared on fMLP versus f-MLP receptor
- 20 modulating agent treated cells.

#### EXAMPLE 22

#### SYNTHESIS OF A FUSION PROTEIN RECEPTOR MODULATING AGENT

An EGF receptor modulating agent containing a genetically engineered fusion protein is hereby described. Briefly, the C-terminus of a DNA sequence encoding EGF, or its receptor binding domain, is ligated by conventional procedures (e.g., using T<sub>4</sub>DNA ligase) to a DNA sequence corresponding to a GGG spacer. The C-terminus of the EGF-GGG DNA sequence is then fused to the N-terminus of a DNA sequence encoding the conditional, membrane binding peptide KGEAALA(EALA)<sub>4</sub>-EALEALAA. Alternately, peptide-spacer DNA sequences may be synthesized *in vitro* using standard oligonucleotide synthesis procedures (*see, e.g.*, U.S. Pat. Nos. 4,500,707 and 4, 668,777). The recombinant EGF peptide DNA sequence is cloned in an *E. coli* expression vector using conventional procedures. *E. coli* strain HB101 is transformed
with the fused recombinant DNA sequence and cultured to produce the EGF peptide. The fusion protein is purified form the transformed *E. coli* culture by standard methods,

including anti-EGF affinity chromatography. The fusion protein may be eluted from the affinity matrix using standard techniques, such as high salt, chaotropic agents, or high or low pH. Loss of EGF receptor is measured by flow cytometry and mouse monoclonal antibody to EGF receptor.

From the foregoing, it will be appreciated that, although specific embodiments of this invention have been described herein for purposes of illustration, various modifications may be made without deviating from the spirit and scope of the invention. Accordingly, the invention is not limited except by the appended claims.

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#### **Claims**

1. A receptor modulating agent, comprising a vitamin  $B_{12}$  molecule coupled to a rerouting moiety.

2. The receptor modulating agent of claim 1 wherein said  $B_{12}$  molecule is coupled to said rerouting moiety by a linker.

3. The receptor modulating agent of claim 2 wherein said linker is at least 4 atoms in length.

4. The receptor modulating agent of claim 3 wherein said linker is 6 to 20 atoms in length.

5. The receptor modulating agent of claim 4 wherein said linker is 12 atoms in length.

6. The receptor modulating agent of claim 2 wherein said linker includes at least one amino group.

7. The receptor modulating agent of claim 6 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

8. The receptor modulating agent of claim 6 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkyls, diaminoheteroalkylaryls, and diaminoalkanes.

9. The receptor modulating agent of claim 6 wherein said linker is selected from the group consisting of  $-NH(CH_2)_xNH$ - wherein x = 2-20.

10. The receptor modulating agent of claim 6 wherein said linker is selected from the group consisting of -NH(CH<sub>2</sub>)<sub>y</sub>CO-, wherein y = 3-12.

11. The receptor modulating agent of claim 2 wherein said linker is coupled to said rerouting moiety through a coupling site on said vitamin  $B_{12}$  derivative selected from the group consisting of *b*-, *d*- and *e*-.

12. The receptor modulating agent of claim 11 wherein said linker is coupled through a coupling site selected from the group consisting of *d*- and *e*- coupling sites.

13. The receptor modulating agent of claim 2 wherein said linker is coupled to a ribose coupling site on said vitamin  $B_{12}$  molecule.

14. The receptor modulating agent of claim 2 wherein said linker is a trifunctional linker.

15. The receptor modulating agent of claim 14 wherein a biotin molecule is coupled through a reactive site on said trifunctional linker.

16. The receptor modulating agent of claim 1 wherein said rerouting moiety is selected from the group consisting of lysosomotropic moieties, intracellular polymerizing moieties, peptide sorting sequences, conditional membrane binding peptides and bi- or multi-valent receptor cross-linking moieties membrane anchors.

17. The receptor modulating agent of claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by redirecting an agent/receptor complex.

18. The receptor modulating agent of claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by cross-linking one or more receptors.

19. The receptor modulating agent of claim 18 wherein said receptor modulating agent is a vitamin  $B_{12}$  dimer.

20. The receptor modulating agent as in claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by anchoring a receptor in a cell membrane.

21. The receptor modulating agent as in claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by retaining an agent/receptor complex in an endosome.

22. The receptor modulating agent as in claim 1 wherein said rerouting moiety is a lysosomotropic moiety selected from the group consisting of gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin ribostamycin butirosin, and streptomycin.

23. The receptor modulating agent as in claim 1 wherein said rerouting moiety is an intracellular polymerizing moiety selected from the group consisting of dipeptide esters and leucine zippers.

24. The receptor modulating agent as in claim 1 wherein said rerouting moiety is a peptide sorting sequence selected from the group consisting of endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides and clathrin-binding peptides.

25. The receptor modulating agent as in claim 1 wherein said rerouting moiety is a conditional membrane binding peptide selected from the group consisting of charged glutamate, aspartate, and histidine.

26. A vitamin  $B_{12}$  dimer comprising a first and a second vitamin  $B_{12}$  molecule coupled through a coupling site independently selected from the group consisting of coupling sites *a-g*, coupling site h, and coupling site i.

27. The dimer of claim 26 wherein said first and second vitamin  $B_{12}$  molecules are coupled through a coupling site independently selected from the group consisting of *d*- and *e*- coupling sites on said first and said second vitamin  $B_{12}$  molecule.

28. The dimer of claim 26 wherein at least one of said first and said second vitamin  $B_{12}$  molecules is a vitamin  $B_{12}$  derivative.

29. The dimer of claim 26 wherein said first and second  $B_{12}$  molecules are coupled through at least one linker.

30. The dimer of claim 29 wherein said linker is at least 4 atoms in length.

31. The dimer of claim 30 wherein said linker is about 10 to 55 atoms in length.

32. The dimer of claim 31 wherein said linker is 35 to 45 atoms in length.

33. The dimer of claim 29 wherein said linker includes at least one amino group.

34. The dimer of claim 33 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

35. The dimer of claim 33 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkyls, diaminoheteroalkylaryls, and diaminoalkanes.

36. The dimer of claim 33 wherein said linker is selected from the group consisting of  $-NH(CH_2)_xNH$ - wherein x = 2-20.

37. The dimer of claim 33 wherein said linker is selected from the group consisting of  $-NH(CH_2)_yCO$ , wherein y = 3-12.

38. The dimer of claim 29 wherein said linker is a trifunctional linker.

39. A method for modulating a vitamin  $B_{12}$  receptor, comprising administering an effective amount of a receptor modulating agent to a warm-blooded animal such that a vitamin  $B_{12}$  receptor is modulated, said receptor modulating agent comprising a vitamin  $B_{12}$  molecule coupled to a rerouting moiety.

40. The method of claim 39 wherein said  $B_{12}$  molecule is coupled to said rerouting moiety by a linker.

41. The method of claim 40 wherein said linker is at least 4 atoms in length.

42. The method of claim 41 wherein said linker is 6 to 20 atoms in length.

43. The method of claim 42 wherein said linker is 12 atoms in length.

44. The method of claim 40 wherein said linker includes at least one amino group.

45. The method of claim 44 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

46. The method of claim 44 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkylaryls, and diaminoalkanes.

47. The method of claim 44 wherein said linker is selected from the group consisting of  $-NH(CH_2)_xNH$ - wherein x = 2-20.

48. The method of claim 44 wherein said linker is selected from the group consisting of  $-NH(CH_2)_vCO$ , wherein y = 3-12.

49. The method of claim 40 wherein said linker is coupled to said rerouting moiety through a coupling site on said vitamin  $B_{12}$  derivative selected from the group consisting of *b*-, *d*- and *e*-.

50. The method of claim 49 wherein said linker is coupled through a coupling site selected from the group consisting of *d*- and *e*- coupling sites.

51. The method of claim 40 wherein said linker is coupled to a ribose coupling site on said vitamin  $B_{12}$  molecule.

52. The method of claim 40 wherein said linker is a trifunctional linker.

53. The method of claim 39 wherein said rerouting moiety is selected from the group consisting of lysosomotropic moieties, intracellular polymerizing moieties, peptide sorting sequences, conditional membrane binding peptides and bi- or multi-valent receptor cross-linking moieties membrane anchors. 54. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by redirecting an agent/receptor complex.

55. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by cross-linking one or more receptors.

56. The method of claim 55 wherein said receptor modulating agent is a vitamin  $B_{12}$  dimer.

57. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by anchoring a receptor in a cell membrane.

58. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by retaining an agent/receptor complex in an endosome.

59. The method of claim 39 wherein said rerouting moiety is a lysosomotropic moiety selected from the group consisting of gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin ribostamycin butirosin, and streptomycin.

60. The method of claim 39 wherein said rerouting moiety is an intracellular polymerizing moiety selected from the group consisting of dipeptide esters and leucine zippers.

61. The method of claim 39 wherein said rerouting moiety is a peptide sorting sequence selected from the group consisting of endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides and clathrin-binding peptides.

62. The method of claim 52 wherein said rerouting moiety is a conditional membrane binding peptide selected from the group consisting of charged glutamate, aspartate, and histidine.

63. The method of claim 56 wherein said vitamin  $B_{12}$  dimer is comprised of a first and a second vitamin  $B_{12}$  molecule coupled through a coupling site independently selected from the group consisting of coupling sites *a-g*, coupling site h, and coupling site i. 64. The method of claim 63 wherein said first and second vitamin  $B_{12}$  molecules are coupled through a coupling site independently selected from the group consisting of *d*- and *e*- coupling sites on said first and said second vitamin  $B_{12}$  molecule.

65. The method of claim 63 wherein at least one of said first and said second vitamin  $B_{12}$  molecules is a vitamin  $B_{12}$  derivative.

66. The method of claim 65 wherein said first and second  $B_{12}$  molecules are coupled through at least one linker.

67. The method of claim 66 wherein said linker is at least 4 atoms in length.

68. The method of claim 67 wherein said linker is about 10 to 55 atoms in length.

69. The method of claim 68 wherein said linker is 35 to 45 atoms in length.

70. The dimer of claim 66 wherein said linker includes at least one amino group.

71. The dimer of claim 70 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

72. The dimer of claim 70 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkylaryls, and diaminoalkanes.

73. The dimer of claim 70 wherein said linker is selected from the group consisting of  $-NH(CH_2)_xNH$ - wherein x = 2-20.

74. The dimer of claim 70 wherein said linker is selected from the group consisting of  $-NH(CH_2)_vCO$ , wherein y = 3-12.

75. The dimer of claim 66 wherein said linker is a trifunctional linker.

76. The method of claim 75 wherein a reactive site on said trifunctional linker is coupled to a biotin molecule.

77. The method of claim 39 wherein said vitamin  $B_{12}$  receptor modulation is sufficient to treat a neoplastic disorder.

78. The method of claim 77 wherein said neoplastic disorder is selected from the group consisting of leukemia, sarcoma, myeloma, carcinoma, neuroma, melanoma, cancers of the lung, liver, breast, brain, colon, cervix, prostrate, Hodgkin's disease, and non-Hodgkin's lymphoma.

79. A method for regulating a biological response associated with a cell surface receptor, comprising administering an effective amount of a receptor modulating agent to a warm-blooded animal such that a biological response is regulated.

80. A vitamin  $B_{12}$  derivative comprising a vitamin  $B_{12}$  molecule coupled to a biotin molecule.

81. The vitamin  $B_{12}$  derivative of claim 80 wherein said vitamin  $B_{12}$  molecule is cyanocobalamin.

82. The vitamin  $B_{12}$  derivative of claim 80 wherein said vitamin  $B_{12}$  molecule is coupled to said biotin molecule by a linker.

83. The vitamin  $B_{12}$  derivative of claim 82 wherein said linker is at least 4 atoms in length.

84. The vitamin  $B_{12}$  derivative of claim 83 wherein said linker is 6 to 20 atoms in length.

85. The vitamin  $B_{12}$  derivative of claim 84 wherein said linker is 12 atoms in length.

86. The vitamin  $B_{12}$  derivative of claim 82 wherein said linker includes at least one amino group.

87. The vitamin  $B_{12}$  derivative of claim 86 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

88. The vitamin  $B_{12}$  derivative of claim 86 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkylaryls, and diaminoalkanes.

89. The vitamin  $B_{12}$  derivative of claim 86 wherein said linker is selected from the group consisting of -NH(CH<sub>2</sub>)<sub>x</sub>NH- wherein x = 2-20.

90. The vitamin  $B_{12}$  derivative of claim 87 wherein said linker is selected from the group consisting of -NH(CH<sub>2</sub>)<sub>v</sub>CO-, wherein y = 3-12.

91. The vitamin  $B_{12}$  derivative of claim 82 wherein said linker is coupled to said rerouting moiety through a coupling site on said vitamin  $B_{12}$  derivative selected from the group consisting of b-, d- and e-.

92. The vitamin  $B_{12}$  derivative of claim 91 wherein said linker is coupled through a coupling site selected from the group consisting of *d*- and *e*- coupling sites on said vitamin  $B_{12}$  molecule.

93. The vitamin  $B_{12}$  derivative of claim 82 wherein said linker is coupled to a ribose coupling site on said vitamin  $B_{12}$  molecule.

94. The receptor modulating agent of claim 82 wherein said linker is a trifunctional linker.

95. The vitamin  $B_{12}$  derivative of claim 80 wherein said biotin is additionally coupled to a rerouting moiety.

96. The vitamin  $B_{12}$  derivative of claim 95 wherein said biotin is coupled to said rerouting moiety by a biotin binding protein.

97. The vitamin  $B_{12}$  derivative of claim 96 wherein said biotin binding protein is selected from the group consisting of avidin and streptavidin.

98. A complex comprising a vitamin  $B_{12}$  derivative according any one of claims 80 to 97 bound to a transcobalamin II.

99. A kit for determining the presence or amount of transcobalamin in a sample using a vitamin  $B_{12}$  derivative according to any one of claims 80 to 97.

100. A pharmaceutical composition, comprising a vitamin  $B_{12}$  derivative according to any one of claims 80 to 97 and a suitable pharmaceutical carrier or diluent.

101. A receptor modulating agent, comprising a targeting moiety coupled to a rerouting moiety.

102. The receptor modulating agent as in claim 101 wherein said rerouting moiety is selected from the group consisting of lysosomotropic moieties, intracellular polymerizing moieties, peptide sorting sequences, conditional membrane binding peptides and bi- or multi-valent receptor cross-linking moieties.

103. The receptor modulating agent as in claim 101 wherein said targeting moiety is selected from the group consisting of proteins, peptides, and nonproteinacious molecules.

104. The receptor modulating agent as in claim 101 wherein the receptor modulating agent affects a receptor trafficking pathway by redirecting an agent/receptor complex.

105. The receptor modulating agent as in claim 101 wherein said receptor modulating agent affects a receptor trafficking pathway by cross-linking one or more cell surface receptors.

106. The receptor modulating agent as in claim 101 wherein said receptor modulating agent affects a receptor trafficking pathway by anchoring a cell surface receptor in a cell membrane. 107. The receptor modulating agent as in claim 101 wherein said receptor modulating agent affects a receptor trafficking pathway by retaining a receptor in an endosome.

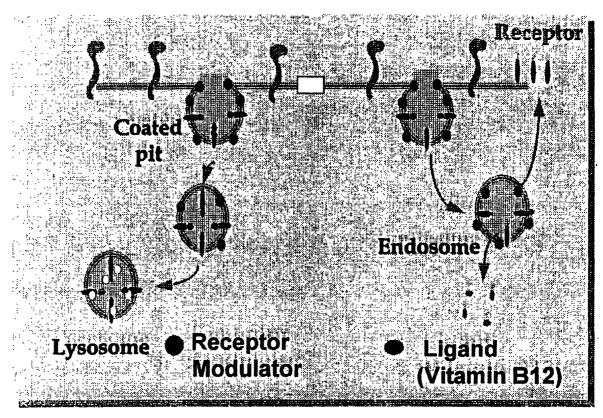
108. The receptor modulating agent as in claim 102 wherein said lysosomotropic moiety is selected from the group consisting of gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin ribostamycin butirosin, and streptomycin.

109. The receptor modulating agent as in claim 102 wherein said intracellular polymerizing moiety is selected from the group consisting of dipeptide esters and leucine zippers.

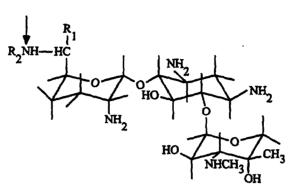
110. The receptor modulating agent as in claim 102 wherein said peptide sorting sequence is selected from the group consisting of endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides and clathrin-binding peptides.

111. The receptor modulating agent as in claim 102 wherein said conditional membrane binding peptide is selected from the group consisting of charged glutamate, aspartate, and histidine.

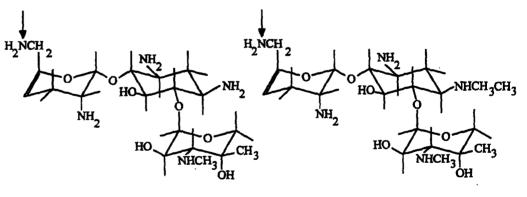
# Mechanism of Action







Gentamicin  $C_1$  : $R_1 = R_2 = CH_3$ Gentamicin  $C_2$  : $R_1 = CH_3$ ;  $R_2 = H$ Gentamicin  $C_{1a}$ : $R_1 = R_2 = H$ 



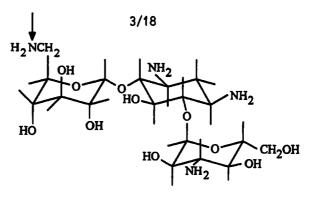
Sisomicin

Netilmicin

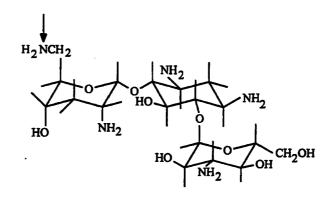
Fig. 2

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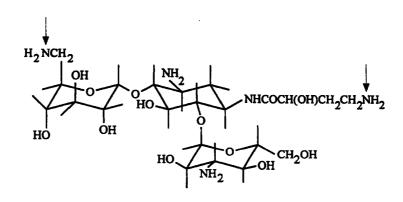
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Kanamycin A



Tobramycin



Amikacin

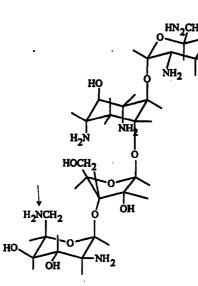
# Fig. 3

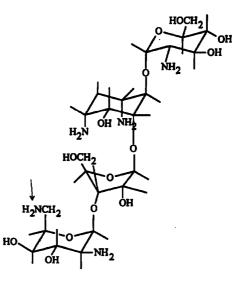
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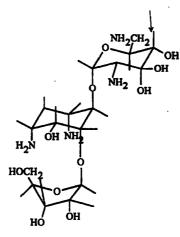


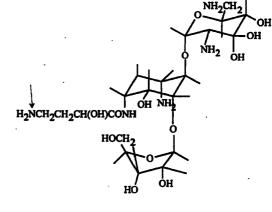
Paromomycin

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OH

OH





Ribostamycin



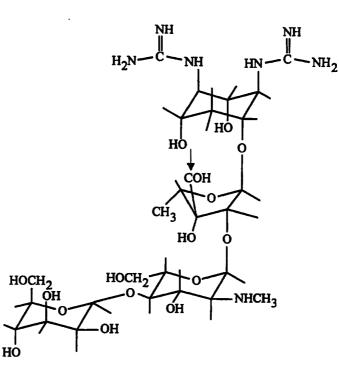
Fig. 4

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Streptomycin A

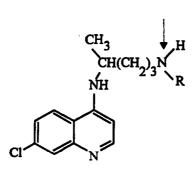


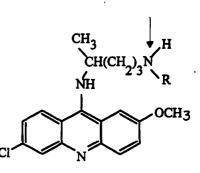
Streptomycin B

Fig. 5

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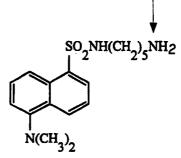
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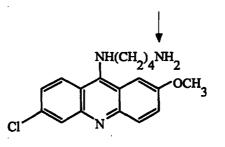
**Chloroquine Derivatives** 





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Dansyl Cadaverine



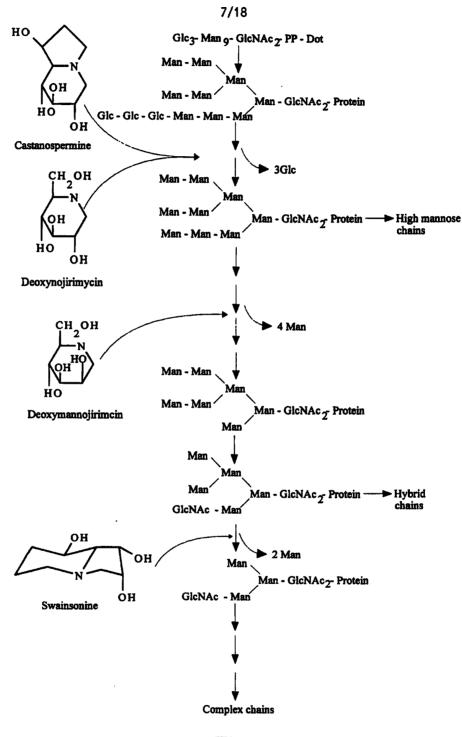
Amino Acridine

Fig. 6

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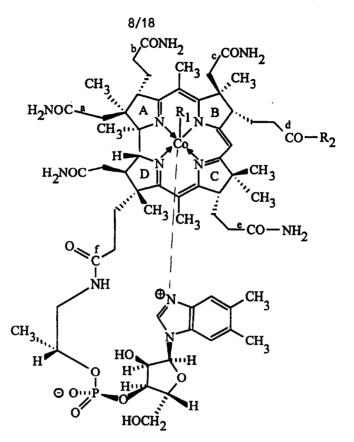
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**Fig.** 7

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- $$\begin{split} R_1 &= CN \ ; \ R_2 &= NH_2 \ (Cyanocobalamin) \\ R_1 &= CN \ ; \ R_2 &= OH \ (Cyanocobalamin -(3)-free acid) \\ R_1 &= CN \ ; \ R_2 &= OH \ (Cyanocobalamin -(3)-free acid) \\ R_1 &= CN \ ; \ R_2 &= HN-CH_2-CH_2-CO_2H \ (GABA adduct) \\ R_1 &= CN \ ; \ R_2 &= GABA Peptide \ (where GABA = linker) \\ R_1 &= CN \ ; \ R_2 &= GABA Peptide \ (where GABA = linker) \\ R_1 &= CN \ ; \ R_2 &= Peptide \\ R_1 &= CN \ ; \ R_2 &= HN-(linker)-tyramine^{-125}I \\ R_1 &= CN \ ; \ R_2 &= HN-(linker)-lysosomotropic \ agent \\ R_1 &= CN \ ; \ R_2 &= HN-(linker)-X-linking \ agent \\ R_1 &= CN \ ; \ R_2 &= HN-(linker)-biotin \end{split}$$
- $R_1 = CN$ ;  $R_2 = NH-(CH_2)_{12}NH_2$

Fig. 8

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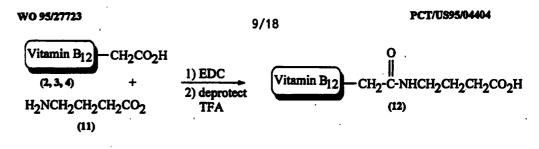


Fig. 9

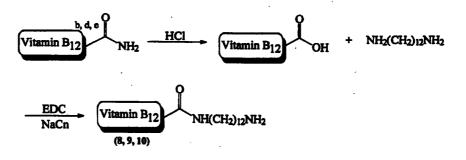


Fig. 10a

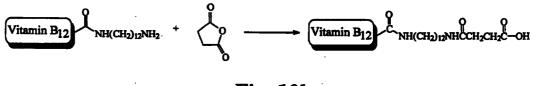


Fig. 10b

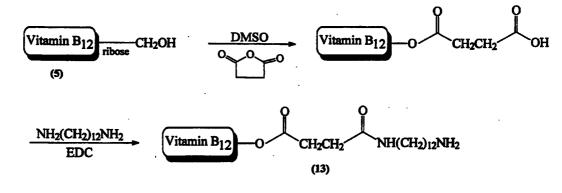
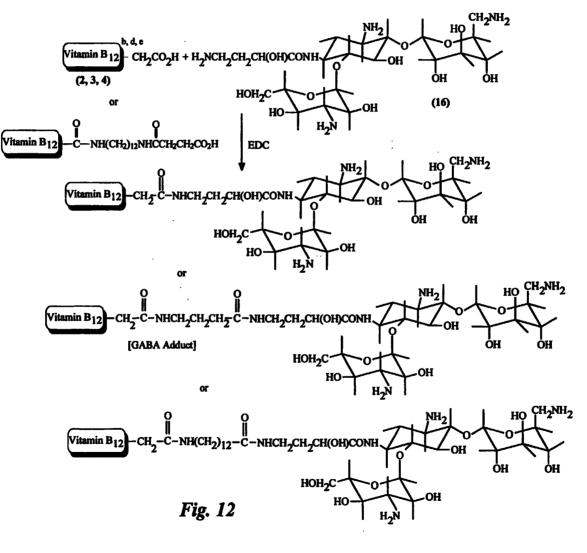


Fig. 11

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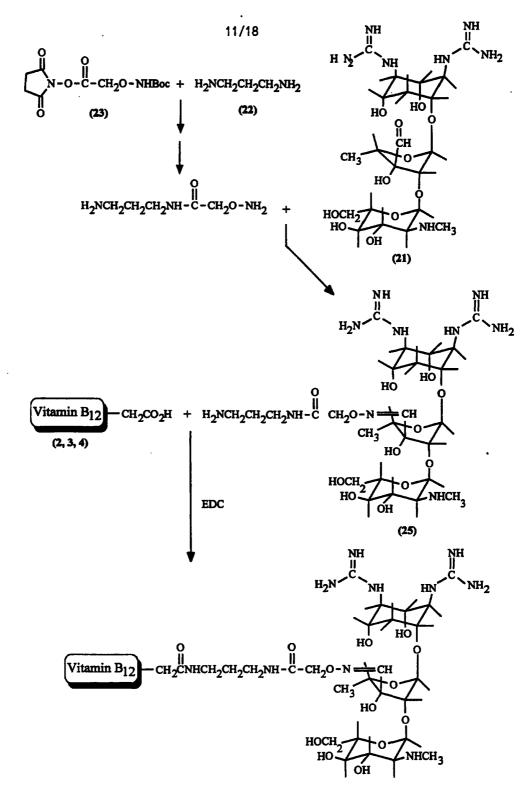
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# Fig. 13

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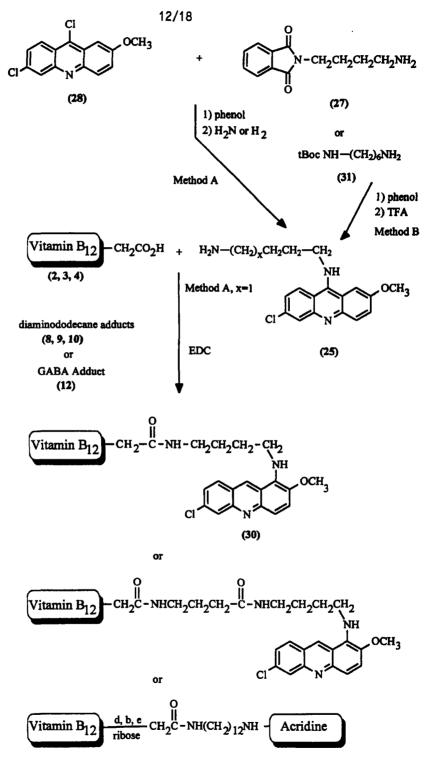


Fig. 14

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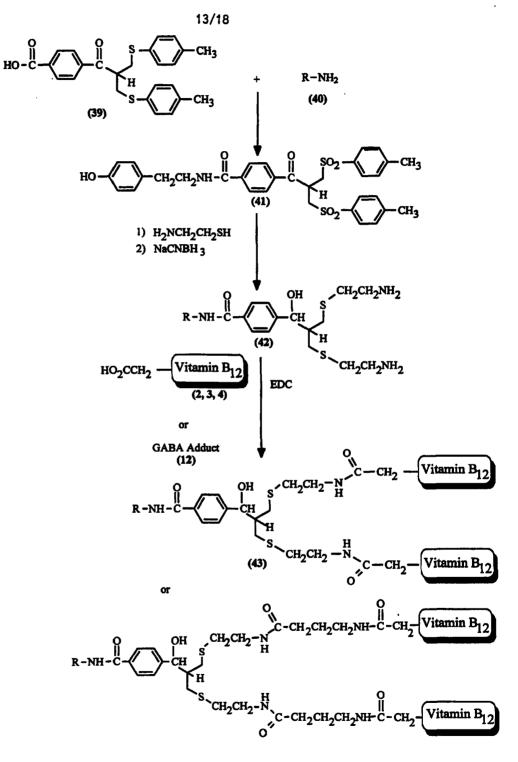
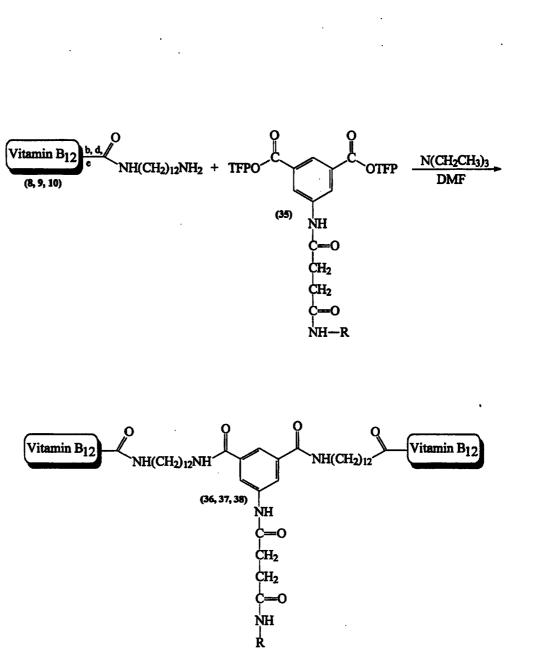


Fig. 15

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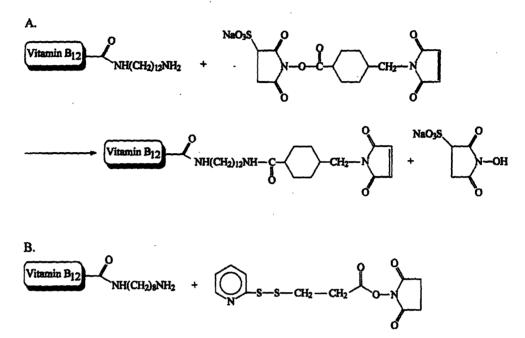
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Fig. 16

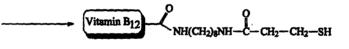
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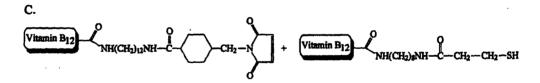
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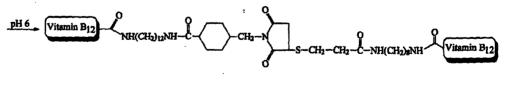
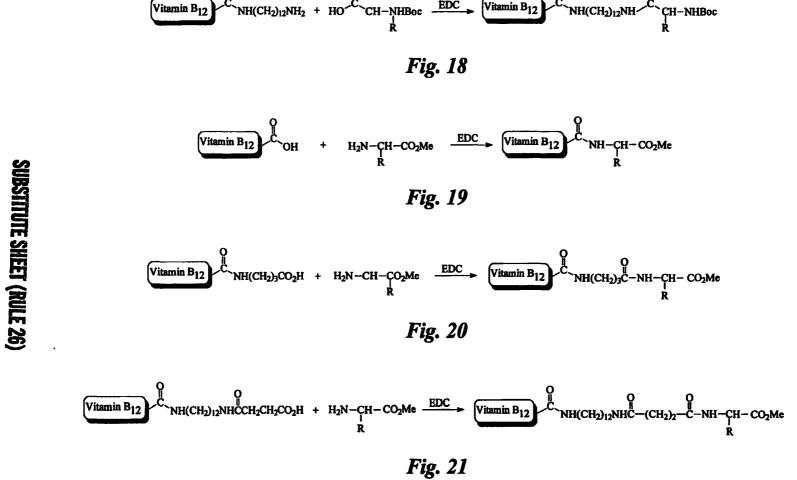


Fig. 17

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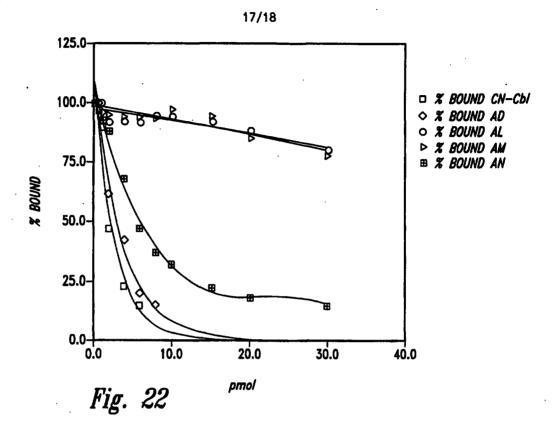


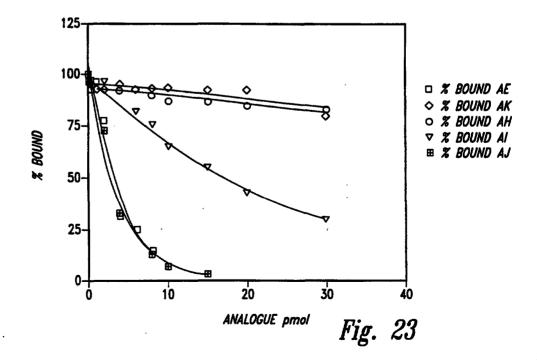
Vitamin B<sub>12</sub> EDC NH(CH<sub>2</sub>)<sub>12</sub>NH<sub>2</sub> + HO CH-NHBoc `NH(CH<sub>2</sub>)<sub>12</sub>NH-



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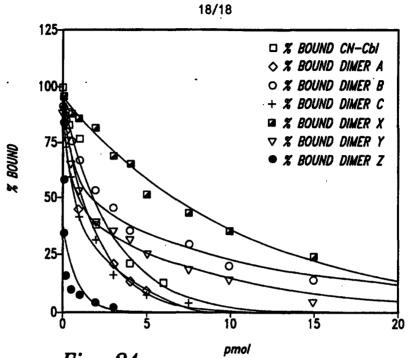
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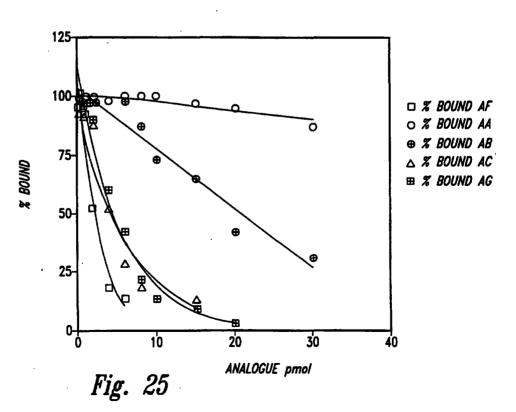


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**SUBSTITUTE SHEET (RULE 26)** Teva – Fresenius Exhibit 1002-00293 INTERNATIONAL SEARCH REPORT

Interr al Application No PCT/US 95/04404

A       EP,A,0 425 680 (TEIJIN LTD) 8 May 1991       1,26,39,79,80,101         see page 3 - page 5	A	TERATION OF SUBJECT MATTER		02 32/04404
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IPC 6       CO7H       GOIN       A61K         Decommendation meanshed other than minimum documentation to the cases that much documents are included in the fields searched         Electronic data base consulted during the international search (same of data base and, where practical, search terms used)         C. DOCUMENTS CONSIDERED TO BE RELEVANT         Campory       Classion of document, with indication, where appropriate, of the relevant passages       Relevant to data         A       EP, A, 0       425       680 (TEIJIN LTD) 8 May 1991       1, 26, 39, 79, 80, 101         A       EP, A, 0       69       450 (TECHNICON INSTR) 12       1, 26, 39, 79, 80, 101         January 1983       See example       101       1, 26, 39, 79, 80, 101         A       US, A, 4       167       556 (SELHUB JACOB ET AL) 11       1, 26, 39, 79, 80, 101         September 1979       see the whole document       101       101         September 1979       September 1979       79, 80, 101         September 1979       September 1979       75       60, 101         ************************************				
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A       US, A, 4 167 556 (SELHUB JACOB ET AL) 11       1, 26, 39, 79, 80, 101         see the whole document       101         Purcher documents are listed in the continuation of box C.       X       Patent family members are listed in ansaz.         *Special categories of cited documents :       *       Patent family members are listed in ansaz.         * Special categories of cited documents :       *       Patent family members are listed in ansaz.         * document defining the general state of the art which is not considered to be of particular relevance       *       News and not in conflict with the application but existing the general state of another distribution or other means         * document tegring to an oral disclosure, use, orbition or other means       *       *       *         * document referring to an oral disclosure, use, orbition or other means       *       *       *         * document referring to an oral disclosure, use, orbition or other means       *       *       *         * document referring to an oral disclosure, use, orbition or other means       *       *       *         * document spatiation or other means       *       *       *       *         * document spatiation or other means       *       *       *       *       *         * document spatiation or other means       *       *       *       *       *	A	EP,A,O 069 450 (TECHNICON INST	R) 12	79,80,
September 1979       79,80,101         see the whole document          'special categories of cited documents :          ''special categories of cited documents :       X         ''special categories of cited documents :       Y         ''special categories of cited documents :       ''         ''special categories of cited documents :       ''         '' document defining the general state of the srt which is not considered to be of particular relevance       ''         '' document dut published on or after the international filing date or other means       ''         '' document referring to an oral disclosure, use, exhibition or other means       ''         '' document referring to an oral disclosure, use, exhibition or other means       ''         '' document published prior to the international filing date but is for the series of citiend completion of the international filing date but is for means       ''         '' document published prior to the international filing date but is for the actual completion of the international filing date but is for the series       ''         '' document published prior to the international filing date but is for the series       ''         '' document published prior to the international filing date but is for the series       ''         '' document published prior to the international filing date but is for means       ''         '' document published prior to the int		see example		
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<ul> <li>* Special categories of cited documents :</li> <li>* A document defining the general state of the art which is not considered to be of particular relevance</li> <li>* earlier document but published on or after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention date.</li> <li>* document which may throw doubte on priority claim(s) or which is cited to establish the published on or after the international filing date of another citation or other means.</li> <li>* document published prior to the international filing date but later than the priority date claimed</li> <li>* document published prior to the international filing date but later than the priority date claimed</li> <li>* document published prior to the international filing date but later than the priority date claimed</li> <li>* document published prior to the international filing date but later than the priority date claimed</li> <li>* document published prior to the international filing date but later than the priority date claimed</li> <li>* document published prior to the international filing date but later than the priority date claimed</li> <li>* document published prior to the international filing date but later than the priority date claimed</li> <li>* document published prior to the international filing date but later of the actual completion of the international search</li> <li>* August 1995</li> <li>Name and mailing address of the ISA Burgest 1995</li> <li>Name and mailing address of the ISA Burgest 1995</li> <li>Authorized officer</li> </ul>		see the whole document		101
<ul> <li>* Special categories of cited documents :</li> <li>* A document defining the general state of the art which is not considered to be of particular relevance</li> <li>* T ister document published on or after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the involve an inventive stap when the document is taken alone "X" document of particular relevance; the claimed invention campot be considered novel or cannot be considered novel or cannot be considered inventive stap when the document is taken alone "which is cited to establish the publication date of another cited to establish the publication date of another cited to establish the publication date of another cited to actual completion of the international filing date but international filing date but is error to the international filing date but is error to the actual completion of the international search</li> <li>B August 1995</li> <li>Name and mailing address of the ISA Burgenen Patient Office, P.B. 5118 Patentiana 2</li> </ul>				
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filing date 'L' document which may throw doubts on priority claim(s) or which is cited to extablish the publication date of another citation or other special reason (as specified) 'O' document referring to an oral disclosure, use, exhibition or other means 'P' document published prior to the international filing date but ister than the priority date claimed Date of the actual completion of the international search 8 August 1995 Name and mailing address of the ISA Burgnean Patent Office, P.B. 5118 Patentiana 2	consid	ent defining the general state of the art which is not ered to be of particular relevance	or priority date and not in o cited to understand the print invention	onflict with the application but ciple or theory underlying the
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8 August 1995 1 8, 08, 95 Name and mailing address of the ISA Burgeoup Patent Office, P.B. 3818 Patentiaen 2	'P' docume	ent published prior to the international filing date but	in the art.	•
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Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fazz (+ 31-70) 340-301 6	Name and n	Buropean Patent Office, P.B. 5818 Patentiaan 2 NL - 2220 HV Rijswijk		

Form PCT/ISA/218 (second sheet) (July 1992)

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		national application No.
	INTERNATIONAL SEARCH REPORT	PCT/US 95/ 04404
Box I	Observations where certain claims were found unsearchable (Continuation of	item 1 of first sheet)
This inte	rnational search report has not been established in respect of certain claims under Art	cicle 17(2)(a) for the following reasons:
ı. 🗙	Claims Nos.: 39-69,77-79 because they relate to subject matter not required to be searched by this Authority, n	amely:
	Remark: Although claims 39-69,77-79 are directed to of treatment of the human/animal body, the search l	
	carried out and based on the alleged effects of the composition.	e compound/
2.	Claims Nos.: because they relate to parts of the international application that do not comply with t an extent that no meaningful international search can be carried out, specifically:	he prescribed requirements to such
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second	and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of fi	rst sheet)
This Inte	rnational Searching Authority found multiple inventions in this international applicati	on, as follows:
ı. 🔲	As all required additional search fees were timely paid by the applicant, this internatio searchable claims.	nai search report covers all
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r 🗌	As all searchable claims could be searches without effort justifying an additional fee, t of any additional fee.	his Authority did not invite payment
ı. 🗌	As only some of the required additional search fees were timely paid by the applicant, covers only those claims for which fees were paid, specifically claims Nos.:	this international search report
	covers only those claims for which fees were pash, specifically claims (vos.:	
	No required additional search fees were timely paid by the applicant. Consequently, the estimated to the invention first mentioned in the claims; it is covered by claims Nos.:	nis international search report is
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Remark o		companied by the applicant's protest.
	No protest accompanied the payn	nent of additional search fees.

Form PCT/ISA/210 (continuation of first sheet (1)) (July 1992)

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Internation on patent family members				Intern 1 Application No PCT/US 95/04404	
Patent document cited in search report	Publication date	Patent memi	family ber(s)	Publication date	
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#### INTERNATIONAL SEARCH REPORT

Form PCT/ISA/210 (patent family annex) (July 1992)

Electronic Patent Application Fee Transmittal						
Application Number:	117	11776329				
Filing Date:	11-	Jul-2007				
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES					
First Named Inventor/Applicant Name:	Cle	t Niyikiza				
Filer:	Joł	ın A. Cleveland/Lisa	l Capps			
Attorney Docket Number:	X14	4173B				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt					
EFS ID:	5267473				
Application Number:	11776329				
International Application Number:					
Confirmation Number:	6568				
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES				
First Named Inventor/Applicant Name:	Clet Niyikiza				
Customer Number:	25885				
Filer:	John A. Cleveland/Lisa Capps				
Filer Authorized By:	John A. Cleveland				
Attorney Docket Number:	X14173B				
Receipt Date:	04-MAY-2009				
Filing Date:	11-JUL-2007				
Time Stamp:	13:51:11				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Submitted with Payment	yes			
Payment Type	Deposit Account			
Payment was successfully received in RAM	\$180			
RAM confirmation Number	8339			
Deposit Account	050840			
Authorized User				
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Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges) Exhibit 1002-00299

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1		X14173BResponsetoOfficeActio	107974	yes	6				
		n.pdf	106bca2524c0b2c6d33ab602593f6d4a0a2 76cec	yes					
-	Multipart Description/PDF files in .zip description								
	Document Des	scription	Start	Eı	nd				
	Amendment/Req. Reconsiderati	on-After Non-Final Reject	1		1				
-	Claims		2	:	3				
-	Applicant Arguments/Remarks	Made in an Amendment	4	(	5				
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3	Information Disclosure Statement (IDS) Filed (SB/08)	X14173BForm1449.pdf	<b>94780</b> 3d372e423f79d7a9748d724bad969515d7a 1e065	no	2				
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4	Foreign Reference	X14173B_BA.pdf	0a1d5705afaa4d5ec80d0b2a46103efa7f97 8318	no	102				
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13	NPL Documents	X14173B_Cl.pdf	3284f4e625743e3617a825b45947ea8c2d1	no	5
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Information:					
			1173724		
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Warnings:					
Information:					
15	NPL Documents	X14173B_CK.pdf	613239	no	6
	NEL DOCUMENTS		6b77230bd42df74c4255275da308fce5e6d d49ec	no	
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Warnings:					
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18	NPL Documents	X14173B_CN.pdf	292186	no	6
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characterize Post Card, a <u>New Applica</u> If a new app 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 an national sta	vledgement Receipt evidences receip ed by the applicant, and including pages s described in MPEP 503. ations Under 35 U.S.C. 111 dication is being filed and the application and MPEP 506), a Filing Receipt (37 CF gement Receipt will establish the filin age of an International Application un ubmission to enter the national stage nd other applicable requirements a F ge submission under 35 U.S.C. 371 with ational Application Filed with the USP	ge counts, where applicable. Ation includes the necessary of FR 1.54) will be issued in due of ag date of the application. <u>Inder 35 U.S.C. 371</u> of an international applicati Form PCT/DO/EO/903 indicati ill be issued in addition to the	It serves as evidence components for a filin course and the date s on is compliant with ng acceptance of the	of receipt og date (see hown on th the condition application	similar to a 37 CFR nis ons of 35

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PATENT APPLICATION FEE DETERMINATION RECORD Application or Docket Number Filing Date 11/776.329 07/11/2007 To be Mailed Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN SMALL ENTITY SMALL ENTITY (Column 1) (Column 2) OR FOR NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$) RATE (\$) FEE (\$) BASIC FEE N/A N/A N/A N/A 37 CFR 1.16(a), (b), or (c) SEARCH FEE N/A N/A N/A N/A (37 CFR 1.16(k). (i), or (m) EXAMINATION FEE N/A N/A N/A N/A (37 CFR 1.16(o), (p), or (g) TOTAL CLAIMS OR X \$ = X \$ = minus 20 = (37 CFR 1.16(i)) INDEPENDENT CLAIMS minus 3 = X \$ = Х\$ = (37 CFR 1.16(h)) If the specification and drawings exceed 100 sheets of paper, the application size fee due APPLICATION SIZE FEE is \$250 (\$125 for small entity) for each (37 CFR 1.16(s)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s) MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(i)) TOTAL \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL APPLICATION AS AMENDED - PART II OTHER THAN (Column 1) (Column 2) (Column 3) SMALL ENTITY OR SMALL ENTITY HIGHEST CLAIMS ADDITIONAL ADDITIONAL REMAINING PRESENT NUMBER 05/04/2009 RATE (\$) RATE (\$) PREVIOUSLY AFTER FXTRA FEE (\$) FEE (\$) AMENDMENT PAID FOR Total (37 CFR \* 23 Minus \*\* 20 = 3 OR X \$52= 156 X \$ = Independent (37 CFR 1.16(h) \*\*\*3 = 0 = X \$220= 0 \* 1 Minus X \$ OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL 156 ADD'L OR ADD'L FFF FFF (Column 1) (Column 3) (Column 2) CLAIMS HIGHEST REMAINING NUMBER PRESENT ADDITIONAL ADDITIONAL RATE (\$) RATE (\$) AFTER PREVIOUSLY **EXTRA** FEE (\$) FEE (\$) AMENDMENT PAID FOR Total (37 CFR Minus X \$ OR X \$ = Independent (37 CFR 1.16(h) Minus \*\*\* X \$ = OR X \$ = Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR ΤΟΤΑΙ TOTAL ADD'L OR ADD'L FEE FEE \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. Legal Instrument Examiner: \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". /BRENDA MURPHY/ \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1

AMENDMENT

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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Teva – Fresenius Exhibit 1002-00303

PTO/SB/06 (07-06)

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568
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#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)
	11/776,329	NIYIKIZA ET AL.
Office Action Summary	Examiner	Art Unit
	Kevin E. Weddington	1614
The MAILING DATE of this communication	appears on the cover sheet wit	h the correspondence address
A SHORTENED STATUTORY PERIOD FOR RE WHICHEVER IS LONGER, FROM THE MAILING - Extensions of time may be available under the provisions of 37 CFF after SIX (6) MONTHS from the mailing date of this communication - If NO period for reply is specified above, the maximum statutory pe - Failure to reply within the set or extended period for reply will, by st Any reply received by the Office later than three months after the m earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNIC R 1.136(a). In no event, however, may a re riod will apply and will expire SIX (6) MONT atute, cause the application to become AB/	ATION. ply be timely filed THS from the mailing date of this communication. ANDONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on <u>0</u>	<u>9 December 2008</u> .	
2a) This action is <b>FINAL</b> . 2b) ⊠ 1	This action is non-final.	
3) Since this application is in condition for allo		-
closed in accordance with the practice und	er <i>Ex parte Quayle</i> , 1935 C.D.	11, 453 O.G. 213.
Disposition of Claims		
4)⊠ Claim(s) <u>40-52</u> is/are pending in the applica	ation.	
4a) Of the above claim(s) is/are with	drawn from consideration.	
5) Claim(s) is/are allowed.		
6)⊠ Claim(s) <u>40-52</u> is/are rejected.		
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction an	d/or election requirement.	
Application Papers		
9) The specification is objected to by the Exam	niner.	
10) The drawing(s) filed on is/are: a)	accepted or b) displayed to b	by the Examiner.
Applicant may not request that any objection to	the drawing(s) be held in abeyand	ce. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the cor		
11) The oath or declaration is objected to by the	Examiner. Note the attached	Office Action or form PTO-152.
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for fore a) All b) Some * c) None of:	ign priority under 35 U.S.C. §	119(a)-(d) or (f).
1. Certified copies of the priority docum	ents have been received.	
2. Certified copies of the priority docum	ents have been received in Ap	oplication No
3. Copies of the certified copies of the p	priority documents have been i	received in this National Stage
application from the International Bu		
* See the attached detailed Office action for a	list of the certified copies not r	eceived.
Attachment(s)	_	
<ul> <li>1) X Notice of References Cited (PTO-892)</li> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> </ul>		ummary (PTO-413) )/Mail Date
<ul> <li>3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>7-11-07</u>.</li> </ul>		formal Patent Application

Claim 40-52 are presented for examination.

Applicants' preliminary amendment filed December 9, 2008; and the information

disclosure statement filed July 11, 2007 have been received and entered.

#### Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 45 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

This is a written description rejection.

A lack of adequate written description issue arises if the knowledge and level of skill in the art would not permit one skilled in the art to immediately envisage the product claimed from the disclosed process. See, e.g., Fujikawa v. Wattanasin, 93 F.3d 1559, 1571, 39 USPQ2d 1895, 1905 (Fed. Cir. 1996) (a "laundry list" disclosure of every possible moiety does not constitute a written description of every species in a genus because it would not "reasonably lead" those skilled in the art to any particular species); In re Ruschig, 379 F.2d 990, 995, 154 USPQ 118, 123 (CCPA 1967).

An applicant may also show that an invention is complete by disclosure of sufficiently detailed, relevant identifying characteristics which provide evidence that

applicant was in possession of the claimed invention, i.e., complete or partial structure, other physical and/or chemical properties, functional characteristics when coupled with a known or disclosed correlation between function and structure, or some combination of such characteristics.

In particular, the specification as original filed fails to provide sufficient written bases of any of the agents demonstrating wherein possession of use of the broad term: **a folicbinding-protein agent**. The mere fact that Applicant may have discovered one type of folic-binding-protein agent is combined with the composition comprising pemetrexed disodium and a methylmalonic acid lowering agent is not sufficient to claim the entire genus.

The written description requirement for a claimed genus may be satisfied through sufficient description of a representative number of species by actual reduction to practice, reduction to drawings, or by disclosure of relevant, identifying characteristics, i.e., structure or other physical and/or chemical properties, by functional characteristics coupled with a known or disclosed correlation between function and structure, or by a combination of such identifying characteristics, sufficient to show the applicant was in possession of the claimed genus. See Eli Lilly, 119 F.3d at 1568, 43 USPQ2d at 1406.

A "representative number of species" means that the species which are adequately described are representative of the entire genus. Thus, when there is substantial variation within the genus, one must describe a sufficient variety of species to reflect the variation within the genus. The disclosure of only one species encompassed within a genus adequately describes a claim directed to that genus only if

the disclosure "indicates that the patentee has invented species sufficient to constitute the gen[us]."

Claim 45 is not allowed.

#### Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 40-52 are rejected under 35 U.S.C. 112, second paragraph, as being

indefinite for failing to particularly point out and distinctly claim the subject matter which

applicant regards as the invention.

Claim 40 is rendered indefinite because the phrase "methylmalonic acid", located

in line 9. The Examiner thinks the applicants left out some important words such as

"lowering agent". The remaining claims 41-52 are rendered indefinite to the extent that

they incorporate the above terminology.

Claims 40-52 are not allowed.

#### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148

USPQ 459 (1966), that are applied for establishing a background for determining

obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 40-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (5,344,932) of PTO-1449 in view of Poydock et al., IRCS Medical Science, Vol. 12, No. 9, pp. 813 (1984) of PTO-1449, further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3235-3239 of PTO-1449, and further in view of Cleare et al. (4,149,707).

Taylor teaches N-(pyrrolo(2,3-D)pyrimidin-3-ylacyl)-glutamic acid derivatives which includes LY 2315 (pemetrexe) and LY 231514-disodium, (pemetrexed disodium) are effective as antineoplastic agents to inhibit the growth of tumors (see column 8, lines 57-63). Note particularly column 8, lines 64-68 states that other antineoplastic agents can be combined with LY 231514. Note particularly column 9, line 1 shows the various modes of administration such as parenteral routes (intramuscular) and oral.

The instant invention differs from the cited reference in that the cited reference does not teach the addition of a methylmalonic acid lowering agent . However, the secondary reference, Poydock et al., teaches a methylmalonic acid lowering agent such as hydroxocobalamin is effective by inhibiting tumors implanted in mice (see the abstract).

The instant invention differs from the cited references in that the cited references do not teach the addition of a folic-binding-protein agent. However, the tertiary reference, Worzalla et al., teaches the supplementation of folic acid with LY 231514 to enhance LY 231514 antitumor activity.

The instant invention differs from the cited references in that the cited references do not teach the addition of cisplatin. However, the quaternary reference, Cleare et al., teaches malonato platinum anti-tumor compounds such as cisplatin to treat malignant tumors (see the abstract).

Clearly, one skilled in the art would have assumed the combination of three antineoplastic agents into a single composition would give an additive effect in the absence of evidence to the contrary.

The instant invention differs from the cited references in that the cited references do not teach the applicants' preferred dosage range for the methylmalonic acid lowering agent. However, those skilled in the art would have been readily optimized effective dosages and concurrent administration dosage forms as determined by good medical practice and the clinical condition of the individual patient. Regardless of the manner of administration, the specific dose may be calculated according to body weight, body

surface area or organ size. Further refinement of the calculations necessary to determine the appropriate dosage for treatment involving each of the above mentioned formulations is routinely made by those skilled in the art and is within the ability of tasks routinely performed by them without undue experimentation.

Claims 40-52 are not allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin E. Weddington whose telephone number is (571)272-0587. The examiner can normally be reached on 12:30 pm-9:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin Marschel can be reached on (571)272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> Kevin E. Weddington Primary Examiner Art Unit 1614

/Kevin E. Weddington/ Primary Examiner, Art Unit 1614

Application/Control No. 11/776,329	Applicant(s)/Patent Under Reexamination NIYIKIZA ET AL.		
Examiner	Art Unit		
Kevin E. Weddington	1614	Page 1 of 1	

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-4,140,707	02-1979	Cleare et al.	556/137
	В	US-			
	С	US-			
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#### FOREIGN PATENT DOCUMENTS

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## NON-PATENT DOCUMENTS

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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



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# **BIB DATA SHEET**

#### **CONFIRMATION NO. 6568**

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	Kevin E Weddington	1614

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514	251	2/11/09	KEW			
514	265.1	2/11/09	KEW			

SEARCH NOTES		
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Consultation with parent applications, 10/297,821 and 11/288,807	2/11/09	KEW
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Examiner Initials*	Cite         Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher,								
Initials*		city and/or country where published.							
/KW/	CA	Calvert H.: "Folate status and the safety profile of antifolates", Seminars in Oncology, 2002, 29/2 Suppl. 5, pp. 3-7, XP008005755							
000000000	СВ	Calvert H.: "Future directions in the development of pemetrexed", Seminars in Oncology, 2002, 29/2 Suppl. 5, pp. 54-61, XP008005744							
000000000000000000000000000000000000000	CC	Westerhof, et al: "Carrier-and receptor-mediated transport of folate antagonists targeting folate-dependent enzymes: correlates of molecularstructure and biological activity", Mol. Pharmacology, 1995, 48(3), pp. 459- 71, XP008005762							
	CD	Worzalla, et a]: "Role of folic acid in modulating the toxicity and efficacy of the multitargeted antifolate, LY231514", Anticancer Research (1998), 18(5A), pp. 3235-3239, XP008005757							
200000000000000000000000000000000000000	CE	Hanauske, et al: "Pemetrexed disodium: A novel antifolate clinically active against multiple solid tumors", Oncologist, Alphamed Press, US, Vol. 4, No. 6, 2001, pp. 363-373, XP008005751							
	CF	Bunn, et al: "Vitamin B 12 and folate reduce toxicity of Alimta (pemetrexed disodium, LY 231514, MTA), a novel antifolate/antimetabolite", Program/Proceedings - American Society of Clinical Oncology, the Society, US, Vol. 76A, No. 20, 2001, page 300, XPO08005885							
	CG	Dierkes, et al., Supplementation with Vitamin B12 Decreases Homocystein and Methylmalonic Acid but Also Serum Folate in Patients with End-Stage Renal Disease. Metabolism. May 1999. Vol. 48, No. 5, pages 631-635. See: abstract.							
	СН	Arsenyan et al. (Abstract: Onkol. Nauchn., (1978) 12(10):49-54							
	Cl	John, et al. (Cancer 2000, 88: 1807-13)							
$\mathbf{V}$	CJ	Poydock et al., "Growth-inhibiting effect of hydroxocobaltniin and L-ascorbic acid on two solid tumors in mce", IRCS Medical _Science, Vol. 12, No. 9, pp. 813 (1984).							
/KW/	СК	The Cecil Reference, TEXTBOOK of MEDICINE, 21st Edition (2000). Chapter 198. pps. 1060-1074.							

Examiner	/Kevin Weddington/ (02/11/2009)	Date Considered	02/11/2009				
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1Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. Burden Hours Statement: This form is estimated to take 20 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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L2 ANSWER 1 OF 1 MRCK COPYRIGHT (C) 2009 Merck and Co., Inc., Whitehouse Station, New Jersey, USA. All rights reserved. on STN (Number (MNO): 1402317 Registry No. (RN): **15663-27-1** MERCK Number CAS Registry No. MERCK Index Name (MIN): Cisplatin CA Index Name (CN): (SP-4-2)-Diamminedichloroplatinum (CN): Cis-diamminedichloroplatinum; Cis-platinum II; Cis-DDP; Synonym(s) CACP; CPDC; DDP (CN): NSC-119875 Drug Code(s) (CN): Blastolem (Lemery); Briplatin (Bristol-Myers Squibb Trade Name(s) Co.; BMS); Cisplatyl (Sanofi-Aventis Group; Sanofi-Aventis); Neoplatin (Bristol-Myers Squibb Co.; BMS); Platamine (Pfizer, Inc.; Pfizer); Platinex (Bristol-Myers Squibb Co.; BMS); Platiblastin (Pfizer, Inc.; Pfizer); Platinol (Bristol-Myers Squibb Co.; BMS); Platosin (Pharmachemie); Randa (Nippon Kayaku Co., Ltd.; Nippon Kayaku) File Segment. (FS): Active Monographs (MF): C12 H6 N2 Pt Molecular Form. Wqt Composition (COMP): Cl 23.63%, H 2.02%, N 9.34%, Pt 65.02%. Molecular Weight (MW): 300.05 (RE): Antitumor platinum coordination complex. Originally References known as Peyrone's salt or Peyrone's chloride; of interest in the development of coordination theory. Prepn: M. Peyrone, Ann. 51, 1 (1845); G. B. Kauffman, D. O. Cowan, Inorg. Synth. 7, 239 (1963); S. C. Dhara, Indian J. Chem. 8, 193 (1970). Early structural studies: R. Werner, Z. Anorg. Chem. 3, 267 (1893); H. D. K. Drew et al., J. Chem. Soc. 1932, 988. Discovery of anti-tumor activity: B. Rosenberg et al., Nature 205, 698 (1965); 222, 385 (1972). Use as neoplasm inhibitor: M. L. Tobe et al., DE 2318020 (1972 to Rustenburg Platinum Mines Ltd.), C.A. 80, 55897e (1974); M. J. Cleare et al., DE 2329485 (1972 to Research Corp.), C.A. 81, 21172v (1974). X-ray structure of cisplatin-DNA adduct: S. E. Sherman et al., Science 230, 412 (1985). Inhibition of in vitro DNA synthesis: A. L. Pinto, S. J. Lippard, Proc. Natl. Acad. Sci. USA 82, 4616 (1985). Pharmacology: A. Sirica et al., Proc. Am. Assoc. Cancer Res. 12, 4 (1971); C. L. Litterst et al., Cancer Res. 36, 2340 (1976); N. P. Johnson et al., Chem. Biol. Interact. 23, 267 (1978). Metabolism: R. C. Lange et al., J. Nucl. Med. 14, 191 (1973). Clinical studies: J. J. Ochs et al., Cancer Treat. Rep. 62, 239 (1978); H. M. Pinedo et al., Eur. J. Cancer 14, 1149 (1978). Toxicology: R. L. Dixon, Proc. 7th Int. Congr. Chemother. Vol. 2 (University Park Press, Baltimore, 1972) pp 241-243; R. W. Fleishman et al., Toxicol. Appl. Pharmacol. 33, 320 (1975). Review of carcinogenicity studies: IARC Monographs 26, 154-161 (1981); of neurotoxicity: R. J. Cersosimo, Cancer Treat. Rev. 16, 195-211 (1989). Comprehensive description: C. M. Riley, L. M. Sternson, Anal. Profiles Drug Subs. 14, 77-105 (1985). Book: Cisplatin, Current Status and New Developments, A. W. Prestayko et al., Eds. (Academic Press, New York, 1980) 527 pp. Review of mechanism of action: M. A. Fuertes et al., Curr. Med. Chem. 10, 257-266 (2003); Z. H. Siddik, Oncogene 22, 7265-7279 (2003). NH 3 | 2+ - C1 - Pt - C1 -Toxicity (TOX): LD50 in guinea pigs: 9.7 mg/kg i.p. (Fleishman). Other Properties (OCPP): Yellow to orange crystalline powder. Soly in water 0.253 g/100 g at 25°; slowly changes to trans-form in aq soln. Insol in most common solvents. Sol in DMF. LD50 in guinea pigs: 9.7 mg/kg i.p. (Fleishman) . Notes (NTE): Caution: This substance is reasonably anticipated to be a human carcinogen: Report on Carcinogens, Eleventh Edition (PB2005-104914, 2004) p III-67. Teva – Fresenius

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Exhibit 1002-00324

Therapeutic Codes (THER): Antineoplastic. Therapeutic Codes (Veterinary) (VTHER): Antineoplastic. Other Sources (OS): CA 80:55897; CA 81:21172 Referenced Patent (RPN): DE2318020; DE2329485

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=> s 11 L3 23661 L1 => s (cancer or tumor?) 360427 CANCER 526372 TUMOR? T.4 720567 (CANCER OR TUMOR?) => s 13 and 14 Г2 14478 L3 AND L4 => d 14400-14478 ANSWER 14400 OF 14478 CA COPYRIGHT 2009 ACS on STN L.5 Full Text AN 89:157244 CA OREF 89:24255a,24258a Platinum complexes as radiosensitizers of hypoxic mammalian cells ΤI Douple, E. B.; Richmond, R. C. АIJ Norris Cotton Cancer Cent., Dartmouth, NH, USA British Journal of Cancer, Supplement (1978), 37(3), 98-102 CS SO CODEN: BJCSB5; ISSN: 0306-9443 DT Journal LA English ANSWER 14401 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 89:140347 CA AN

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     Evaluation of single-agent therapy in human colorectal tumor xenografts
     Houghton, P. J.; Houghton, J. A.
AU
CS
     Dep. Radiopharmacol., Inst. Cancer Res., Sutton, UK
     British Journal of Cancer (1978), 37(5), 833-40
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     CODEN: BJCAAI; ISSN: 0007-0920
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     Distribution of a platinum anti-tumor drug in HeLa cells by analytical
ТΤ
     electron microscopy
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     Khan, M. U. A.; Sadler, P. J.
     Chem. Dep., Birkbeck Coll., London, UK
Chemico-Biological Interactions (1978), 21(2-3), 227-32
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     A general mechanism for microsomal activation of quinone anticancer agents
     to free radicals
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     Bachur, Nicholas R.; Gordon, Sandra L.; Gee, Malcolm V.
CS
     Baltimore Cancer Res. Cent., Natl. Cancer Inst., Baltimore, MD, USA
SO
     Cancer Research (1978), 38(6), 1745-50
     CODEN: CNREA8; ISSN: 0008-5472
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     Variation in response of xenografts of colorectal carcinoma to
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     Nowak, K.; Peckham, M. J.; Steel, G. G.
CS
     Div. Radiotherap. Biophys., Inst. Cancer Res., Sutton, UK
     British Journal of Cancer (1978), 37(4), 576-84
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     Chemotherapy of transplantable mouse tumors with
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     cis-dichlorodiammineplatinum(II) alone and in combination with sarcolysin
     Presnov, M. A.; Konovalova, A. L.; Romanova, L. F.; Sofina, Z. P.;
AU
     Stetsenko, A. I.
CS
     Lab. Exp. Cancer Chemother., Cancer Res. Cent., Moscow, USSR
     Cancer Treatment Reports (1978), 62(5), 705-12
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     Evaluation of single agents and combinations of chemotherapeutic agents in
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     mouse colon carcinomas
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     Corbett, T. H.; Griswold, D. P., Jr.; Roberts, B. J.; Peckham, J. C.;
     Schabel, F. M., Jr.
     Southern Res. Inst., Birmingham, AL, USA
Cancer (New York, NY, United States) (1977), 40(5, Suppl.), 2660-80
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Exhibit 1002-00326

CODEN: CANCAR; ISSN: 0008-543X DT Journal English LA L5 ANSWER 14407 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 89:36513 CA AN OREF 89:5535a,5538a ΤI Differential chemotherapeutic susceptibility of human T-lymphocytes and B-lymphocytes in culture Ohnuma, Tākao; Arkin, Hadara; Minowada, Jun; Holland, James F. AU Dep. Neoplast. Dis., Mt. Sinai Sch. Med., New York, NY, USA Journal of the National Cancer Institute (1940-1978) (1978), 60(4), 749-52 CS SO CODEN: JNCIAM; ISSN: 0027-8874 DT Journal LA English ANSWER 14408 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text AN 88:569 CA OREF 88:119a,122a Treating viral infections ΤI Davidson, James P.; Rosenberg, Barnett; Hinz, Ronald W. IN Research Corp., USA PA U.S., 5 pp. CODEN: USXXAM SO DT Patent English L.A FAN.CNT 1 KIND PATENT NO. DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ ΡI US 4053587 А 19771011 US 1975-540109 19750110 19770301 А US 4258051 19810324 US 1977-773216 US 4440782 A 19840403 US 1980-188343 19800918 A1 PRAI US 1973-350924 19730413 A1 US 1973-350929 US 1975-540109 19730413 19750110 A3 US 1977-773216 A3 19770301 ANSWER 14409 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 87:193675 CA AN OREF 87:30527a,30530a Effects of cytotoxic agents on 3H-thymidine incorporation and growth delay ΤI in human colonic **tumor** xenografts Houghton, P. J.; Houghton, J. A.; Taylor, D. M. AU Dep. Radiopharmacol., R. Marsden Hosp., Sutton, UK CS British Journal of Cancer (1977), 36(2), 206-14 SO CODEN: BJCAAI; ISSN: 0007-0920 DT Journal English LA ANSWER 14410 OF 14478 CA COPYRIGHT 2009 ACS on STN L.5 Full Text 87:127357 CA AN OREF 87:20161a,20164a Intravesical and systemic chemotherapy of murine bladder **cancer** ΤI AU Soloway, Mark S. CS Dep. Urol., Univ. Tennessee Cent. Health Sci., Memphis, TN, USA Cancer Research (1977), 37(8, Pt. 2), 2918-29 SO CODEN: CNREA8; ISSN: 0008-5472 DT Journal English L.A L5 ANSWER 14411 OF 14478 CA COPYRIGHT 2009 ACS on STN Full AN Text 87:111354 CA AN OREF 87:17585a,17588a Mutagenicity of **cancer** chemotherapeutic agents in the ΤI Salmonella/microsome test Benedict, William F.; Baker, Mary S.; Haroun, Lynne; Choi, Edmund; Ames, AU Bruce N.

Dep. Med., Child. Hosp., Los Angeles, CA, USA Cancer Research (1977), 37(7, Pt. 1), 2209-13 CS SO CODEN: CNREA8; ISSN: 0008-5472 DT Journal LA English L5 ANSWER 14412 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 87:78571 CA OREF 87:12437a,12440a ΤI High dose cis-platinumdiamminedichloride. Amelioration of renal toxicity by mannitol diuresis Hayes, Daniel M.; Cvitkovic, Esteban; Golbey, Robert B.; Scheiner, Ellen; AU Helson, Lawrence; Krakoff, Irwin H. Mem. Sloan-Kettering Cancer Cent., New York, NY, USA CS Cancer (New York, NY, United States) (1977), 39(4), 1372-81 CODEN: CANCAR; ISSN: 0008-543X SO DT Journal English LA L5ANSWER 14413 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 87:78408 CA AN OREF 87:12401a,12404a Origin of giant cells in regressing sarcoma-180 after cis-dichlorodiammine ΤI platinum(II) treatment: a fine structural study AU Sodhi, Ajit Dep. Zool., Banaras Hindu Univ., Varanasi, India CS Journal of Clinical Hematology and Oncology (1977), 7(2), 569-79 SO CODEN: JCHODP; ISSN: 0162-9360 DT Journal LA English L5ANSWER 14414 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 87:78193 CA AN OREF 87:12353a,12356a Phase I study of high-dose cis-dichlorodiammineplatinum(II) with forced ТΤ diuresis Chary, Kandala K.; Higby, Donald J.; Henderson, Edward S.; Swinerton, AU Kenneth D. CS Dep. Med. A, Roswell Park Mem. Inst., Buffalo, NY, USA Cancer Treatment Reports (1977), 61(3), 367-70 SO CODEN: CTRRDO; ISSN: 0361-5960 DT Journal LA English ANSWER 14415 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text AN 87:68321 CA OREF 87:10885a,10888a ΤI Phosphorus-nitrogen compounds. 30. Synthesis of platinum derivatives of polymeric and cyclic phosphazenes AU Allcock, Harry R.; Allen, Robert W.; O'Brien, John P. CS Dep. Chem., Pennsylvania State Univ., University Park, PA, USA Journal of the American Chemical Society (1977), 99(12), 3984-7 CODEN: JACSAT; ISSN: 0002-7863 SO DT Journal LA English L5 ANSWER 14416 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 87:62655 CA AN OREF 87:9887a,9890a Therapeutic potentiation in a mouse mammary **tumor** and an intracerebral ΤI rat brain **tumor** by combined treatment with cis-dichlorodiammineplatinum(II) and radiation AU Douple, Evan B.; Richmond, Robert C.; Logan, Mark E. Dep. Ther. Radiol., Dartmouth-Hitchcock Med. Cent., Hanover, NH, USA CS SO Journal of Clinical Hematology and Oncology (1977), 7(2), 585-603 CODEN: JCHODP; ISSN: 0162-9360 DT Journal

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L.5
Full Text
AN
     87:62521 CA
OREF 87:9855a,9858a
     Analog comparison, combination chemotherapy, and combined modality studies
ΤI
     with cis-platinum(II) diamminedichloride (NSC 119875) using in vivo animal
     tumor models
AU
     Merker, P. C.; Wodinsky, I.; Mabel, J.; Branfman, A.; Venditti, J. M.
CS
     Life Sci. Div., Arthur D. Little, Inc., Cambridge, MA, USA
SO
     Journal of Clinical Hematology and Oncology (1977), 7(1), 301-21
     CODEN: JCHODP; ISSN: 0162-9360
DT
     Journal
LA
     English
L5
     ANSWER 14418 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
     87:47932 CA
AN
OREF 87:7531a,7534a
     Antineoplastic effect of complex platinum(IV) compounds
ΤT
AU
     Konovalova, A. L.; Presnov, M. A.; Zheliqovskaya, N. N.; Treshchalina, E.
     Μ.
CS
     Onkol. Nauchn. Tsentr., Moscow, USSR
     Doklady Akademii Nauk SSSR (1977), 234(1), 223-6 [Biochem.]
SO
     CODEN: DANKAS; ISSN: 0002-3264
DT
     Journal
L.A
     Russian
     ANSWER 14419 OF 14478 CA COPYRIGHT 2009 ACS on STN
L5
Full
     Text
AN
     87:33558 CA
OREF 87:5237a,5240a
ΤI
     Spermine-platinum(II) chloride as a potential anti-tumor agent
     Tsou, K. C.; Yip, K. F.; Lo, K. W.; Ahmad, S. Sch. Med., Univ. Pennsylvania, Philadelphia, PA, USA
AU
CS
     Journal of Clinical Hematology and Oncology (1977), 7(1), 322-9
SO
     CODEN: JCHODP; ISSN: 0162-9360
DT
     Journal
     English
LA
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L5
Full Text
     87:33557 CA
AN
OREF 87:5237a,5240a
ΤI
     The enhanced antitumor activity of cis-diamminedichloroplatinum(II)
     against murine tumors when combined with other agents
     Page, R. H.; Talley, R. W.; Buhagiar, J.
АIJ
     Div. Oncol., Henry Ford Hosp., Detroit, MI, USA
CS
SO
     Journal of Clinical Hematology and Oncology (1977), 7(1), 96-104
     CODEN: JCHODP; ISSN: 0162-9360
DT
     Journal
     English
L.A
     ANSWER 14421 OF 14478 CA COPYRIGHT 2009 ACS on STN
L5
Full
     Text
     87:15862 CA
AN
OREF 87:2433a,2436a
ΤI
     The effect of cis-diamminedichloroplatinum(II) and cyclophosphamide on
     immune response and tumor rejection in BALBc and PL/Jax mice
     Page, R. H.; Talley, R. W.; Livermore, D. H.
Div. Oncol., Henry Ford Hosp., Detroit, MI, USA
Journal of Clinical Hematology and Oncology (1977), 7(1), 105-13
AU
CS
SO
     CODEN: JCHODP; ISSN: 0162-9360
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     Journal
LА
     English
L5
     ANSWER 14422 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
     87:299
AN
             CA
OREF 87:55a,58a
     Sulfato 1,2-diaminocyclohexane platinum(II): a potential new antitumor
ΤI
                                                                Teva – Fresenius
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English

LA

Exhibit 1002-00329

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agent
AU
     Speer, Robert J.; Ridgway, Helen; Stewart, David P.; Hall, Larry M.;
     Zapata, Alba; Hill, Joseph M.
     Wadley Inst. Mol. Med., Dallas, TX, USA
CS
SO
     Journal of Clinical Hematology and Oncology (1977), 7(1), 210-19
     CODEN: JCHODP; ISSN: 0162-9360
DT
     Journal
     English
T.A
L5
     ANSWER 14423 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full
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AN
     86:183312
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OREF 86:28685a,28688a
     Response of transferrin bound iron to treatment of rat lymphosarcoma with
ΤT
     cis-dichlorodiammineplatinum(II)
     Warner, F. W.; Demanuelle, M.; Stjernholm, R.; Cohn, I.; Baddley, W. H.
Div. Eng. Res., Louisiana State Univ., Baton Rouge, LA, USA
Journal of Clinical Hematology and Oncology (1977), 7(1), 180-9
АIJ
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SO
     CODEN: JCHODP; ISSN: 0162-9360
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LA
     English
Ъ5
     ANSWER 14424 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
     86:165238 CA
AN
OREF 86:25889a,25892a
ΤI
     Comparative nephrotoxicity of platinum cancer chemotherapeutic agents
     Ward, J. M.; Young, D. M.; Fauvie, K. A.; Wolpert, M. K.; Davis, R.;
AU
     Guarino, A. M.
     Lab. Toxicol., Natl. Cancer Inst., Bethesda, MD, USA
CS
     Cancer Treatment Reports (1976), 60(11), 1675-8
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     CODEN: CTRRDO; ISSN: 0361-5960
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     86:150511 CA
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     cis-Dichlorodiammineplatinum(II) chemotherapy in experimental murine
ΤI
     myeloma MOPC 104E
AU
     Ghanta, Vithal K.; Jones, M. Terry; Woodard, Dolores A.; Durant, John R.;
     Hiramoto, Raymond N.
     Comprehensive Cancer Cent., Univ. Alabama, Birmingham, AL, USA
CS
     Cancer Research (1977), 37(3), 771-4
SO
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AN
     86:115133 CA
OREF 86:18129a,18132a
ΤI
     Antineoplastic activity of cis-diamminedichloroplatinum(II)
     Nikolin, V. P.; Gruntenko, E. V.; Mal'chikov, G. D.; Sysoeva, G. M.
AU
     Inst. Tsitol. Genet., Novosibirsk, USSR
Voprosy Onkologii (1976), 22(12), 73-5
CODEN: VOONAW; ISSN: 0507-3758
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     Russian
     ANSWER 14427 OF 14478 CA COPYRIGHT 2009 ACS on STN
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Full Text
     86:83786 CA
AN
OREF 86:13189a,13192a
ΤI
     Effects of the cis-dichlorodiamminoplatinum(II)-deoxyribonucleic acid
     complex on normal and cancer cells
AU
     Heinen, E.; Desaive, C.; Houssier, C.; Gillet, M. C.; Chevremont, M.
CS
     Inst. Histol., Liege, Belg.
     Comptes Rendus des Seances de la Societe de Biologie et de Ses Filiales
SO
     (1976), 170(4), 919-21
     CODEN: CRSBAW; ISSN: 0037-9026
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DT
     Journal
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     French
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Full Text
     86:312
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OREF 86:55a,58a
     Ultrastructural changes of sarcoma-180 cells after treatment with
ΤT
     cis-dichlorodiammine platinum(II), in vivo and in vitro
AU
     Sodhi, Ajit
     Dep. Zool., Banaras Hindu Univ., Banaras, India
CS
     Indian Journal of Experimental Biology (1976), 14(4), 383-90
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     CODEN: IJEBA6; ISSN: 0019-5189
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LA
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Full Text
     85:186584 CA
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OREF 85:29765a,29768a
ТΤ
     Mode of action of cis-dichloro-diammine platinum(II) on mouse Ehrlich
     ascites tumor cells
     Heinen, Ernst; Bassleer, Roger
ΑIJ
     Inst. Histol., Univ. Liege, Liege, Belg.
CS
     Biochemical Pharmacology (1976), 25(16), 1871-5
SO
     CODEN: BCPCA6; ISSN: 0006-2952
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L.A
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AN
     85:171668
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OREF 85:27365a,27368a
ΤI
     Effects of dinitrato(1,2-diaminocyclohexane)platinum (NSC 239851) on
     murine myeloma and hemopoietic precursor cells
     Ogawa, Makio; Gale, Glen R.; Meischen, Sandra J.; Cooke, Victoria A. Dep. Med., Med. Univ. South Carolina, Charleston, SC, USA
AU
CS
     Cancer Research (1976), 36(9, Pt. 1), 3185-8
SO
     CODEN: CNREA8; ISSN: 0008-5472
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     Journal
LA
     English
Ъ5
     ANSWER 14431 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
     85:137309 CA
AN
OREF 85:21951a,21954a
     Synthesis, in vivo and in vitro studies on the antineoplastic effect of
ΤT
     cis-dichloro-dipeptide ester-platinum(II) complexes
     Beck, Wolfgang; Purucker, Bernhard; Girnth, Michael; Schoenenberger,
AU
     Helmut; Seidenberger, Horst; Ruckdeschel, Gotthard
CS
     Inst. Anorg. Chem., Univ. Muenchen, Munich, Fed. Rep. Ger.
SO
     Zeitschrift fuer Naturforschung, Teil B: Anorganische Chemie, Organische
     Chemie (1976), 31B(6), 832-45
     CODEN: ZNBAD2; ISSN: 0340-5087
DT
     Journal
     German
LА
L5
     ANSWER 14432 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
AN
     85:103109 CA
OREF 85:16457a,16460a
ΤI
     Platinum complexes and cancer
     Koros, Endre
АIJ
     Budapest, Hung.
CS
SO
     Termeszet Vilaga (1976), 107(4), 170-2
     CODEN: TEVIAS; ISSN: 0040-3717
DT
     Journal; General Review
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L5
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                                                              Teva – Fresenius
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Exhibit 1002-00331

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OREF 85:6598h,6599a
ΤT
      Effects of cis-dichlorodiammine platinum(II) on DNA synthesis in kidney
      and other tissues of normal and tumor-bearing rats
      Taylor, David M.; Tew, Kenneth D.; Jones, Julie D.
ΔIJ
      Radiopharmacol. Dep., Inst. Cancer Res., Sutton/Surrey, UK
European Journal of Cancer (1965-1981) (1976), 12(4), 249-54
CS
SO
      CODEN: EJCAAH; ISSN: 0014-2964
DT
      Journal
L.A
      English
      ANSWER 14434 OF 14478 CA COPYRIGHT 2009 ACS on STN
L5
Full Text
      84:130173
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OREF 84:21093a
ТΤ
      Inhibition by caffeine of post-replication repair in Chinese hamster cells
      treated with cis platinum(II) diamminedichloride: the extent of platinum binding to template DNA in relation to the size of low molecular weight
      nascent DNA
AU
      Van den Berg, H. W.; Roberts, J. J.
      Inst. Cancer Res., R. Cancer Hosp., Chalfont St. Giles/Bucks, UK Chemico-Biological Interactions (1976), 12(3-4), 375-90
CS
SO
      CODEN: CBINA8; ISSN: 0009-2797
DT
      Journal
      English
LA
      ANSWER 14435 OF 14478 CA COPYRIGHT 2009 ACS on STN
L5
Full Text
      84:38769 CA
AN
OREF 84:6319a,6322a
      Combined radiotherapy and chemotherapy of P388 leukemia in vivo
ΤI
      Wodinsky, I.; Kensler, C. J.; Venditti, J. M.
Arthur D. Little, Inc., Cambridge, MA, USA
Prog. Chemother. (Antibacterial, Antiviral, Antineoplast.), Proc. Int.
AU
CS
SO
      Congr. Chemother., 8th (1974), Meeting Date 1973, Volume 3, 95-100.
      Editor(s): Daikos, George K. Publisher: Hell. Soc. Chemother., Athens,
      Greece.
      CODEN: 31TFAO
DT
      Conference
LA
      English
L5
      ANSWER 14436 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full
      Text
AN
      83:172656 CA
OREF 83:27049a,27052a
      Single and combination chemotherapy for primary murine bladder cancer
ΤI
AU
      Soloway, Mark S.
CS
      Dep. Surg., Univ. Hosp., Cleveland, OH, USA
      Cancer (New York, NY, United States) (1975), 36(2), 333-40
SO
      CODEN: CANCAR; ISSN: 0008-543X
DT
      Journal
     English
LA
      ANSWER 14437 OF 14478 CA COPYRIGHT 2009 ACS on STN
L.5
Full Text
      83:108573 CA
AN
OREF 83:16985a,16988a
      Platinum-pyrimidine blues and related complexes. New class of potent
ΤI
      antitumor agents
      Davidson, James P.; Faber, Paula J.; Fischer, Robert G., Jr.; Mansy, Samir; Peresie, Henry J.; Rosenberg, Barnett; VanCamp, Loretta
AU
      Dep. Biophys., Michigan State Univ., East Lansing, MI, USA Cancer Chemotherapy Reports, Part 1 (1975), 59(2), 287-300
CS
SO
      CODEN: CCROBU; ISSN: 0576-6559
DT
      Journal
      English
LA
      ANSWER 14438 OF 14478 CA COPYRIGHT 2009 ACS on STN
L5
Full Text
AN
      83:3770 CA
OREF 83:695a,698a
ТΤ
      Platinum-195m, a new radionuclide. Its application to the monitoring of
      cancer chemotherapeutic agents
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Wolf, W.; Berman, J.; Leh, F.; Poggenburg, Ken AU CS Radiopharm. Program, Univ. South California, Los Angeles, CA, USA Recent Adv. Nucl. Med., Proc. World Congr. Nucl. Med., 1st (1974), 944-5 SO Publisher: Jpn. Radioisot. Assoc., Tokyo, Japan. CODEN: 30HHAX DT Conference LA English L.5 ANSWER 14439 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 83:572 CA OREF 83:111a,114a Inhibition of cytokinesis in mammalian cells by ΤI cis-dichlorodiammineplatinum (II) AU Aggarwal, S. K. CS Dep. Zool., Michigan State Univ., East Lansing, MI, USA Cytobiologie (1974), 8(3), 395-402 CODEN: CYTZAM; ISSN: 0070-2463 SO DT Journal LA English L5 ANSWER 14440 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 82:132827 CA AN OREF 82:21171a,21174a ΤI Chemical and biological effects of cis-dichlorodiammineplatinum (II), an antitumor agent, on DNA AU Munchausen, Linda L. CS Biol. Div., Oak Ridge Natl. Lab., Oak Ridge, TN, USA SO Proceedings of the National Academy of Sciences of the United States of America (1974), 71(11), 4519-22 CODEN: PNASA6; ISSN: 0027-8424 DT Journal LA English L5 ANSWER 14441 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 82:132786 CA OREF 82:21163a,21166a Renaturation effects of cis- and trans-platinum II and IV compounds on ΤI calf thymus deoxyribonucleic acid AU Harder, Harold C. Sch. Med., Yale Univ., New Haven, CT, USA CS Chemico-Biological Interactions (1975), 10(1), 27-39 SO CODEN: CBINA8; ISSN: 0009-2797 DT Journal English LА L5 ANSWER 14442 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 81:145909 AN CA OREF 81:22739a,22742a Effects of cis-dichlorodiammineplatinum(II) in the regression of Sarcoma ΤT 180. Fine structural study AU Sodhi, Ajit; Aggarwal, Surinder K. Dep. Zool., Michigan State Univ., East Lansing, MI, USA Journal of the National Cancer Institute (1940-1978) (1974), 53(1), 85-101 CS SO CODEN: JNCIAM; ISSN: 0027-8874 DT Journal LA English ANSWER 14443 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 81:58218 CA AN OREF 81:9231a,9234a ΤI Role of host defenses in cis-dichlorodiammineplatinum(II)-mediated regressions of Sarcoma 180 in mice AU Conran, Philip B. CS Michigan State Univ., East Lansing, MI, USA SO (1973) 119 pp. Avail.: Univ. Microfilms, Ann Arbor, Mich., Order No. 74-6025 From: Diss. Abstr. Int. B 1974, 34(9), 4469

DT Dissertation LA English Γ2 ANSWER 14444 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 81:45355 CA AN OREF 81:7205a,7208a Combination radiotherapy and chemotherapy for P388 lymphocytic leukemia in ΤT vivo AU Wodinsky, Isidore; Swiniarski, Joseph; Kensler, Charles J.; Venditti, John Μ. CS Arthur D. Little, Inc., Cambridge, MA, USA Cancer Chemotherapy Reports, Part 2 (1974), 4(1), 73-97 SO CODEN: CCSUBJ; ISSN: 0069-0120 DT Journal LA English ANSWER 14445 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text AN 81:45352 CA OREF 81:7205a,7208a Potentially useful combinations of chemotherapy detected in mouse tumor ΤI systems AU Kline, Ira CS Microbiol. Assoc., Inc., Bethesda, MD, USA SO Cancer Chemotherapy Reports, Part 2 (1974), 4(1), 33-43 CODEN: CCSUBJ; ISSN: 0069-0120 DT Journal English LA ANSWER 14446 OF 14478 CA COPYRIGHT 2009 ACS on STN Ъ5 Full Text 81:45271 CA AN OREF 81:7189a,7192a Fine structural analysis of Sarcoma-180 before and after ΤI cis-dichlorodiammineplatinum(II) in Swiss white mice, in vivo and in vitro studies Sodhi, Ajit АIJ CS Michigan State Univ., East Lansing, MI, USA SO (1973) 137 pp. Avail.: Univ. Microfilms, Ann Arbor, Mich., Order No. 74-6135 From: Diss. Abstr. Int B 1974, 34(9), 4759 DT Dissertation English LA ANSWER 14447 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Text Full 81:21172 CA AN OREF 81:3384h,3385a Platinum coordination compounds ΤI IΝ Cleare, Michael J.; Hoeschele, James D.; Rosenberg, Barnett; Van Camp, Loretta L. Research Corp. ΡA SO Ger. Offen., 23 pp. CODEN: GWXXBX DT Patent LA German FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ 19731220 ΡI DE 2329485 A1 DE 1973-2329485 19730608 В2 DE 2329485 19791122 DE 2329485 C3 19800731 CH 588505 A5 19770615 CH 1973-7999 19730604 A5 СН 1977-2036 CH 605550 19780929 19730604 A1 A CA 1023759 19780103 CA 1973-173182 19730605 NL 7307863 19731211 NL 1973-7863 19730606 NL 183724 В 19880801 NL 183724 С 19890102 A1 19740118 FR 2187345 FR 1973-20788 19730607 GB 1380228 А 19750108 GB 1973-27304 19730607 SE 1973-8050 SE 415182 19730607 В 19800915

C 19810115 A 19740511 B 19810709 A 19790220 A 19781010 B1 19891219 A 19720608 SE 415182 JP 49048621 JP 1973-64636 19730608 JP 56029676 US 4140707 US 1977–778955 19770318 SE 7810577 SE 1978-10577 19781010 US 4140707 PRAI US 1972-260989 US 1989-90001716 19890214 СН 1973-7999 19730604 US 1977-778955 A 19770318 OS MARPAT 81:21172 ANSWER 14448 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 80:141013 CA AN OREF 80:22713a,22716a ТΤ Effects of cis-dichlorodiammine platinum(II) on the fine structure of the mammalian cells in vitro Aggarwal, S. K.; Sodhi, A. AU Dep. Zool., Michigan State Univ., East Lansing, MI, USA CS SO Proceedings - Annual Meeting, Electron Microscopy Society of America (1973), 31, 546-7 CODEN: EMSPAR; ISSN: 0424-8201 DT Journal English LA ANSWER 14449 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 80:128231 CA AN OREF 80:20617a,20620a Effect of chemotherapeutic agents on bladder cancer. New animal model ΤI AU Soloway, Mark S.; DeKernion, Jean B.; Rose, Daniel; Persky, Lester CS Sch. Med., Case West. Reserve Univ., Cleveland, OH, USA SO Surgical Forum (1973), 24, 542-4 CODEN: SUFOAX; ISSN: 0071-8041 DT Journal English LA L5 ANSWER 14450 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 80:128133 CA AN OREF 80:20597a,20600a Fine structural analysis of sarcoma-180 tumor before and after ΤI cis-platinum(II) diamminodichloride Aggarwal, S. K.; Sodhi, A.; Van Camp, L. Dep. Zool., Michigan State Univ., East Lansing, MI, USA AU CS Proceedings - Annual Meeting, Electron Microscopy Society of America (1971), 29, 386-7 SO CODEN: EMSPAR; ISSN: 0424-8201 DT Journal LA English ANSWER 14451 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text AN 80:55897 CA OREF 80:9065a,9068a Antitumorous diamminedichloroplatinum complexes ΤT Tobe, Martin L.; Khokhar, Abdul R.; Braddock, Peter D. M. IΝ PA Rustenburg Platinum Mines Ltd. SO Ger. Offen., 13 pp. CODEN: GWXXBX Patent DT LА German FAN.CNT 1 KIND DATE APPLICATION NO. PATENT NO. DATE 

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 19730409

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 A1
 19731214
 FR
 1973-12664
 19730409

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 19720508
 19730407

ANSWER 14452 OF 14478 CA COPYRIGHT 2009 ACS on STN L5Full Text 80:43984 CA AN OREF 80:7135a,7138a ΤI Drug-induced inhibition of hematogeneously spread metastases Hellmann, Kurt; Salsbury, Allen, J.; Burrage, Karen S.; Le Serve, A. W.; ΑIJ James, Sandra E. Cancer Chemother. Dep., Imp. Cancer Res. Fund, London, UK CS SO Chemother. Cancer Dissemination Metastasis (1973), 355-9. Editor(s): Garattini, Silvio. Publisher: Raven, New York, N. Y. CODEN: 27IMAL DT Conference English LA L5ANSWER 14453 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 80:33650 CA AN OREF 80:5503a ТΤ Platinum coordination complexes in **cancer** chemotherapy AU Rosenberg, Barnett Dep. Biophys., Mich. State Univ., East Lansing, MI, USA Naturwissenschaften (1973), 60(9), 399-406 CODEN: NATWAY; ISSN: 0028-1042 CS SO DT Journal; General Review LA English L5 ANSWER 14454 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 79:73858 CA AN OREF 79:11889a,11892a ΤI Enhanced antigenicity as a possible mode of action of platinum antitumor druas ΑIJ Rosenberg, B. CS Biophys. Dep., Michigan State Univ., East Lansing, MI, USA Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. SO Chemother., 7th (1972), Meeting Date 1971, Volume 2, 101-2. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP DT Conference English LA ANSWER 14455 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 79:73783 CA AN OREF 79:11876h,11877a ΤI Cis-platinum(II) diamminedichloride (PDD) in combined therapy of leukemia L1210 Speer, R. J.; Lapis, S.; Ridgeway, H.; Meyers, T. D.; Hill, J. M. АIJ CS Wadley Inst. Mol. Med., Dallas, TX, USA SO Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. Chemother., 7th (1972), Meeting Date 1971, Volume 2, 253-4. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP DT Conference LA English ANSWER 14456 OF 14478 CA COPYRIGHT 2009 ACS on STN L5Text Full AN 79:73779 CA OREF 79:11873a,11876a ΤI Cis-platinum diamminedichloride(II)-induced regression of carcinogen-induced rat mammary tumors AU Welsch, C. W. Dep. Anat., Michigan State Univ., East Lansing, MI, USA CS SO Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. Chemother., 7th (1972), Meeting Date 1971, Volume 2, 231-2. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP DT Conference LA English Ъ5 ANSWER 14457 OF 14478 CA COPYRIGHT 2009 ACS on STN Teva – Fresenius

16

Exhibit 1002-00336

Full Text AN 79:73541 CA OREF 79:11821a,11824a ΤI Cis-dichlorodiammineplatinum(II). Irreversible inhibition of DNA synthesis and cell growth in tissue culture and inhibition of chick embryo cell transformation by Rous sarcoma virus Kara, J.; Svoboda, J.; Drobnik, J. Inst. Exp. Biol. Genet., Czech. Acad. Sci., Prague, Czech. AU CS Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. SO Chemother., 7th (1972), Meeting Date 1971, Volume 2, 205-7. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP DT Conference English L.A L5 ANSWER 14458 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 79:38643 CA AN OREF 79:6255a,6258a ΤI Whole-body counting and the distribution of platinum-195m-labeled cis-dichlorodiammineplatinum(II) in the major organs of Swiss white mice Hoeschele, J. D.; VanCamp, Loretta AU CS Biophys. Dep., Michigan State Univ., East Lansing, MI, USA Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. SO Chemother., 7th (1972), Meeting Date 1971, Volume 2, 241-2. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP DT Conference English LA L5 ANSWER 14459 OF 14478 CA COPYRIGHT 2009 ACS on STN <u>Full</u> Text AN 79:38642 CA OREF 79:6255a,6258a ΤI Combination therapy of cis-dichlorodiammineplatinum(II) with cytoxan against the sarcoma 180 tumor in Swiss white mice AU VanCamp, Loretta; Rosenberg, B. Dep. Biophys., Michigan State Univ., East Lansing, MI, USA CS Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. SO Chemother., 7th (1972), Meeting Date 1971, Volume 2, 239-40. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP DT Conference English LA ANSWER 14460 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 79:38641 CA AN OREF 79:6255a,6258a ΤI Role of host defenses in the regression of sarcoma-180 in mice treated with cis-dichlorodiammineplatinum(II) Conran, P. B.; Rosenberg, B. Biophys. Dep., Michigan State Univ., East Lansing, MI, USA ΑIJ CS SO Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. Chemother., 7th (1972), Meeting Date 1971, Volume 2, 235-6. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP Conference DT LA English ANSWER 14461 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 79:15069 CA AN OREF 79:2427a,2430a ΤI Antitumor agent cis-diamminedichloroplatinum. Distribution studies and dose calculations for platinum-193m and platinum-195m Lange, Robert C.; Spencer, Richard P.; Harder, Harold C. AU Sch. Med., Yale Univ., New Haven, CT, USA CS Journal of Nuclear Medicine (1973), 14(4), 191-5 SO CODEN: JNMEAQ; ISSN: 0161-5505 DT Journal English LA

L5 ANSWER 14462 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 78:105913 CA AN OREF 78:16927a,16930a Regression of sarcoma-180 after cis-dichlorodiammineplatinum (II). ΤT Fine-structural study ΑIJ Sodhi, Ajit CS Dep. Zool., Michigan State Univ., East Lansing, MI, USA SO Proceedings - Annual Meeting, Electron Microscopy Society of America (1972), 30, 68-9 CODEN: EMSPAR; ISSN: 0424-8201 DT Journal English L.A L5 ANSWER 14463 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 78:105899 CA AN OREF 78:16923a,16926a ΤI Antitumor platinum compounds. Relation between structure and activity АIJ Cleare, Michael J.; Hoeschele, J. D. Johnson Matthey and Co., Ltd., London, UK Platinum Metals Review (1973), 17(1), 2-13 CS SO CODEN: PTMRA3; ISSN: 0032-1400 DT Journal LA English ANSWER 14464 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 78:79753 CA AN OREF 78:12657a,12660a New platinum complexes with antitumour activity ΤI Connors, T. A.; Jones, M.; Ross, W. C. J.; Braddock, P. D.; Khokhar, A. AU R.; Tobel, M. L. CS Chester Beatty Res. Inst., Cancer Hosp., London, UK Chemico-Biological Interactions (1972), 5(6), 415-24 SO CODEN: CBINA8; ISSN: 0009-2797 DT Journal English LA L5 ANSWER 14465 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 78:67164 CA OREF 78:10619a,10622a ΤI Suppression of lymphocyte blastogenesis in man following cis-platinous diaminodichloride administration Khan, Amanullah; Hill, Joseph M. Wadley Inst. Mol. Med., Dallas, TX, USA AU CS Proceedings of the Society for Experimental Biology and Medicine (1973), SO 142(1), 324-6CODEN: PSEBAA; ISSN: 0037-9727 DT Journal English L.A ANSWER 14466 OF 14478 CA COPYRIGHT 2009 ACS on STN L5Full Text AN 77:124670 CA OREF 77:20561a,20564a ΤI Effect of cis-platinous diamminodichloride on graft rejection. Prolonged survival of skin grafts against H2 histocompatibility Khan, Amanullah; Álbayrak, Aydogan; Hill, Joseph M. Dep. Immunother., Wadley Inst. Mol. Med., Dallas, TX, USA AU CS Proceedings of the Society for Experimental Biology and Medicine (1972), SO 141(1), 7-9 CODEN: PSEBAA; ISSN: 0037-9727 DT Journal English LA L5 ANSWER 14467 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 77:83330 CA OREF 77:13689a,13692a

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ΤI
     Chemistry of complexes related to cis-dichlorodiamine platinum(II).
     Antitumor drug
АIJ
     Thomson, A. J.; Williams, R. J. P.; Reslova, S.
     Sch. Chem. Sci., Univ. East Anglia, Norwich/Norfolk, UK
CS
     Structure and Bonding (Berlin, Germany) (1972), 11, 1-46 CODEN: STBGAG; ISSN: 0081-5993
SO
DT
     Journal; General Review
T.A
     English
Г2
     ANSWER 14468 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full
     Text
AN
     77:59271 CA
OREF 77:9805a,9808a
     Synthesis and distribution of a radiolabeled antitumor agent:
ТΤ
     cis-diamminedichloroplatinum(II)
АIJ
     Lange, Robert C.; Spencer, Richard P.; Harder, Harold C.
     Sch. Med., Yale Univ., New Haven, CT, USA
Journal of Nuclear Medicine (1972), 13(5), 328-30
CS
SO
     CODEN: JNMEAQ; ISSN: 0161-5505
DT
     Journal
LA
     English
Ъ5
     ANSWER 14469 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
     76:148785 CA
AN
OREF 76:24163a,24166a
ΤI
     Cross-linking of complementary strands of DNA in mammalian cells by
     antitumor platinum compounds
     Roberts, J. J.; Pascoe, J. M.
AU
     Chester Beatty Res. Inst., R Cancer Hosp., London, UK
CS
SO
     Nature (London, United Kingdom) (1972), 235(5336), 282-4
     CODEN: NATUAS; ISSN: 0028-0836
DT
     Journal
LA
     English
L5
     ANSWER 14470 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full
     Text
     76:108073 CA
AN
OREF 76:17385a,17388a
     Suppression of graft-versus-host reaction by cis-platinum(II)
ΤI
     diaminodichloride
AU
     Khan, Amanullah; Hill, Joseph M.
CS
     Dep. Immunother., Wadley Inst. Mol. Med., Dallas, TX, USA
     Transplantation (1972), 13(1), 55-7
SO
     CODEN: TRPLAU; ISSN: 0041-1337
DT
     Journal
     English
LА
L5
     ANSWER 14471 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full
     Text
     76:94747 CA
AN
OREF 76:15213a,15216a
     Growth inhibition of rat mammary carcinoma induced by cis-platinum
ΤT
     diamminodichloride-II
AU
     Welsch, Clifford W.
     Dep. Anat., Michigan State Univ., East Lansing, MI, USA
Journal of the National Cancer Institute (1940-1978) (1971), 47(5), 1071-8
CS
SO
     CODEN: JNCIAM; ISSN: 0027-8874
DT
     Journal
LA
     English
     ANSWER 14472 OF 14478 CA COPYRIGHT 2009 ACS on STN
L5
Full
     Text
     76:81035 CA
AN
OREF 76:12993a,12996a
ΤI
     Effect of cis-diaminoplatinum chloride in viruses and virus-cell relations
AU
     Popescu, M.; Pascaru, Adina; Nicolau, Cl.
     Inst. Virusol. "St. S. Nicolau", Bucharest, Rom.
CS
SO
     Studii si Cercetari de Inframicrobiologie (1971), 22(4), 383-9
     CODEN: SCIBAJ; ISSN: 0039-3975
DT
     Journal
LA
     Romanian
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L5 ANSWER 14473 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 75:117024 CA OREF 75:18477a,18480a Distribution and histopathological effects of ТΤ cis-platinum(II)diamminodichloride on nontumored and tumored (sarcoma 180) Swiss white mice AU Toth-Allen, Jean E. CS Michigan State Univ., East Lansing, MI, USA SO (1970) 130 pp. Avail.: Univ. Microfilms, Ann Arbor, Mich., Order No. 71-11,774 From: Diss. Abstr. Int. B 1971, 31(11), 6445-6 DT Dissertation LA English L5 ANSWER 14474 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 75:74445 CA AN OREF 75:11797a,11800a ТΤ Cancer chemotherapeutic properties and toxicologic effects of cis-platinum(II) diammino dichloride ΑIJ Kociba, Richard J. Michigan State Univ., East Lansing, MI, USA CS SO (1970) 87 pp. Avail.: Univ. Microfilms, Ann Arbor, Mich., Order No. 71-2097 From: Diss. Abstr. Int. B 1971, 31(8), 4804 DT Dissertation English LA L5 ANSWER 14475 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 74:40885 CA AN OREF 74:6585a,6588a Inhibition of Dunning ascitic leukemia and Walker 256 carcinosarcoma with ΤI cis-diamminedichloroplatinum (NSC-119875) Kociba, Richard J.; Sleight, Stuart D.; Rosenberg, B. Pathol. Dep., Michigan State Univ., East Lansing, MI, USA AU CS Cancer Chemotherapy Reports, Part 1 (1970), 54(5), 325-8 SO CODEN: CCROBU; ISSN: 0576-6559 DT Journal LA English ANSWER 14476 OF 14478 CA COPYRIGHT 2009 ACS on STN L5Full Text 73:129299 CA AN OREF 73:21081a,21084a Cis-dichlorodiammineplatinum(II). Persistent and selective inhibition of ΤT deoxyribonucleic acid synthesis in vivo AU Howle, Jerry A.; Gale, Glen R. Veterans Adm. Hosp., Charleston, SC, USA Biochemical Pharmacology (1970), 19(10), 2757-62 CS SO CODEN: BCPCA6; ISSN: 0006-2952 DT Journal LA English ANSWER 14477 OF 14478 CA COPYRIGHT 2009 ACS on STN L5Text Full AN 73:118796 CA OREF 73:19349a,19352a ΤI Inhibitory effects of antitumor platinum compounds on DNA, RNA, and protein syntheses in mammalian cells in vitro AU Harder, Harold C.; Rosenberg, Barnett Biophys. Dep., Michigan State Univ., East Lansing, MI, USA International Journal of Cancer (1970), 6(2), 207-16 CS SO CODEN: IJCNAW; ISSN: 0020-7136 DT Journal LA English L5 ANSWER 14478 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 73:86239 CA AN Teva – Fresenius

OREF 73:14103a,14106a
TI Successful regression of large solid sarcoma 180 tumors by platinum
 compounds
AU Rosenberg, Barnett; VanCamp, Loretta
CS Biophys. Dep., Michigan State Univ., East Lansing, MI, USA
SO Cancer Research (1970), 30(6), 1799-802
 CODEN: CNREA8; ISSN: 0008-5472
DT Journal
LA English

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	11/776,329 07/11/2007 Clet Niyikiza		X14173B	6568
25885 ELI LILLY & (	7590 02/02/200 COMPANY	9	EXAM	IINER
PATENT DIVI			WEDDINGTO	ON, KEVIN E
P.O. BOX 6288 INDIANAPOL	5 IS, IN 46206-6288		ART UNIT	PAPER NUMBER
			1614	
			NOTIFICATION DATE	DELIVERY MODE
			02/02/2009	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)			
	11/776,329	NIYIKIZA ET AL.			
Interview Summary	Examiner	Art Unit			
	KEVIN WEDDINGTON	1614			
All participants (applicant, applicant's representative, PTO	All participants (applicant, applicant's representative, PTO personnel):				
(1) <u>KEVIN WEDDINGTON</u> .	(3) <u>MR. WILLIAM McMILL</u>	<u>EN</u> .			
(2) <u>DR. JOHN A. CLEVELAND, JR.</u> .	(4)				
Date of Interview: <u>27 January 2009</u> .					
Type: a) Telephonic b) Video Conference c)⊠ Personal [copy given to: 1) applicant	2)🛛 applicant's representativ	e]			
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description: <u>Binder with related application</u>	e) <mark>∏</mark> No. <u>≀s</u> .				
Claim(s) discussed: <u>The claims in general</u> .					
Identification of prior art discussed: <u>NONE</u> .					
Agreement with respect to the claims f) was reached.	g)∏ was not reached. h)⊠ I	N/A.			
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>The attorney of record, Dr. Cleveland, explained the importance of the present</u> <u>application and its related patent application. Upon examination of the present application, the Examiner will inform</u> <u>the attorney of any critical problems</u> . (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims					
allowable is available, a summary thereof must be attache					
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.					
/Kevin E Weddington/	1				
Primary Examiner, Art Unit					

U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03)

Interview Summary

Paper No. 20090127

## PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	Clet Niyikiza	Conf No.: 6	5568
Serial No.:	11/776,329		
Application Date:	: July 11, 2007		
For:	NOVEL ANTIFOLATE COMBI	NATION TH	IERAPIES
Docket No.:	X-14173B		

#### SECOND PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### **Introductory Comments**

Please amend the accompanying application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.

Serial No. 11/776,329

#### **Listing of Claims:**

Claims 1-39 (Cancelled)

40. (New) A method for administering pemetrexed disodium to a patient in need thereof comprising administering an effective amount of pemetrexed disodium in combination with a methylmalonic acid lowering agent, wherein:

the methylmalonic lowering agent is selected from the group consisting of vitamin  $B_{12}$ , hydroxycobolamin, cyano-10-chlorocobolamin, aquocobolamin perchlorate, aquo-10-cobolamin perchlorate, azidocobolamin or chlorocobolamin;

the methylmalonic acid lowering agent is administered from about 1 week to about 3 weeks prior to the first administration of the pemetrexed disodium; and

the methylmalonic acid administration is repeated about every 6 to about every 12 weeks until administration of the pemetrexed disodium is discontinued.

41. (New) The method of claim 40, wherein the methylmalonic lowering agent is vitamin  $B_{12}$ .

42. (New) The method of claim 41, wherein the vitamin  $B_{12}$  is administered as an intramuscular injection of about 500 µg to about 1500 µg.

43. (New) The method of claim 42, wherein the vitamin  $B_{12}$  is administered as an intramuscular injection of about 1000 µg.

44. (New) The method of claim 41, 42 or 43, wherein the vitamin  $B_{12}$  administration is repeated about every 9 weeks until the administration of the pemetrexed disodium is discontinued.

45. (New) The method of claim 44, further comprising administering a folic-bindingprotein binding agent to the patient.

46. (New) The method of claim 45 wherein the folic-binding-protein binding agent is folic acid and the folic acid is administered prior to the first administration of the pemetrexed disodium.

Serial No. 11/776,329

47. (New) The method of claim 46 wherein the folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

48. (New) The method of claim 47wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

49. (New) The method according to any one of claims 46-48, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

50. (New) The method of claim 49 wherein about 350 $\mu$ g to about 1000  $\mu$ g of folic acid is administered.

51. (New) The method of claim 50 wherein 350 µg to 600 µg of folic acid is administered.

52. (New) The method of claim 40 or 45 further comprising the administration of cisplatin to the patient.

#### **Remarks**

Applicants submit this paper and request entry of the amendments herein. Claims 1-39 are hereby cancelled and new Claims 40-52 are introduced. Support for new Claims 40-52 is found in the specification, as well as in the claims as originally filed. Applicants respectfully assert that no new matter has been introduced as a result of the amendments to the claims.

Applicants request prompt consideration and allowance of the claimed subject matter. If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicant's undersigned attorney invites the Examiner to telephone him at the number provided below.

Respectfully submitted,

/John A. Cleveland, Jr./ John A. Cleveland, Jr., Ph.D. Attorney for Applicant Registration No. 50,697 Phone: (317) 276-0307

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, IN 46206-6288 December 8, 2008

Electronic Patent Application Fee Transmittal					
Application Number:	11	776329			
Filing Date:	11.	11-Jul-2007			
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES				
First Named Inventor/Applicant Name:	Cle	Clet Niyikiza			
Filer:	John A. Cleveland/Lisa Capps				
Attorney Docket Number:	X14173B				
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Multiple dependent claims		1203	1	390	390
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:				eva – Freser bit 1002-003	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	390

Electronic Acknowledgement Receipt		
EFS ID:	4418432	
Application Number:	11776329	
International Application Number:		
Confirmation Number:	6568	
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES	
First Named Inventor/Applicant Name:	Clet Niyikiza	
Customer Number:	25885	
Filer:	John A. Cleveland/Lisa Capps	
Filer Authorized By:	John A. Cleveland	
Attorney Docket Number:	X14173B	
Receipt Date:	09-DEC-2008	
Filing Date:	11-JUL-2007	
Time Stamp:	10:37:54	
Application Type:	Utility under 35 USC 111(a)	

## Payment information:

Submitted with Payment	yes	
Payment Type	Deposit Account	
Payment was successfully received in RAM	\$390	
RAM confirmation Number	6258	
Deposit Account	050840	
Authorized User		
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:		

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges) Teva — Fresenius

Exhibit 1002-00350

File Listing:						
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1		X14173BUSPreliminaryAmend	86772		4	
I		ment.pdf	7939711f9c3fb4f3ab7acf30c9f7c8c20351c 515	yes	4	
	Multip	art Description/PDF files in .	zip description			
	Document De	scription	Start	E	nd	
	Preliminary Am	endment	1		1	
	Claims		2		3	
	Applicant Arguments/Remarks	Made in an Amendment	4		4	
Warnings:						
Information:						
2	Fee Worksheet (PTO-06)	fee-info.pdf	30193	no	2	
			62164f53fae261e03c8ca115834309e18a65 5863			
Warnings:						
Information:	Information:					
Total Files Size (in bytes): 116965						
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u>						
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.						
If a new international application rised with the OSP TO as a necerving once If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.						

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PATENT APPLICATION FEE DETERMINATION RECORD Application or Docket Number Filing Date 11/776.329 07/11/2007 To be Mailed Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN SMALL ENTITY SMALL ENTITY (Column 1) (Column 2) OR FOR NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$) RATE (\$) FEE (\$) BASIC FEE N/A N/A N/A N/A 37 CFR 1.16(a), (b), or (c) SEARCH FEE N/A N/A N/A N/A (37 CFR 1.16(k). (i), or (m) EXAMINATION FEE N/A N/A N/A N/A (37 CFR 1.16(o), (p), or (g) TOTAL CLAIMS OR X \$ = X \$ = minus 20 = (37 CFR 1.16(i)) INDEPENDENT CLAIMS minus 3 = X \$ = Х\$ = (37 CFR 1.16(h)) If the specification and drawings exceed 100 sheets of paper, the application size fee due APPLICATION SIZE FEE is \$250 (\$125 for small entity) for each (37 CFR 1.16(s)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s) MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(i)) TOTAL \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL APPLICATION AS AMENDED - PART II OTHER THAN (Column 1) (Column 2) (Column 3) SMALL ENTITY OR SMALL ENTITY HIGHEST CLAIMS ADDITIONAL ADDITIONAL REMAINING PRESENT NUMBER 12/09/2008 RATE (\$) RATE (\$) PREVIOUSLY AFTER FXTRA FEE (\$) FEE (\$) AMENDMENT PAID FOR Total (37 CFR \* 16 Minus \*\* 20 = 0 OR X \$52= 0 X \$ = Independent (37 CFR 1.16(h) \*\*\*3 = 0 = X \$220= 0 \* 1 Minus X \$ OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL 0 ADD'L OR ADD'L FFF FFF (Column 1) (Column 3) (Column 2) CLAIMS HIGHEST REMAINING NUMBER PRESENT ADDITIONAL ADDITIONAL RATE (\$) RATE (\$) AFTER PREVIOUSLY **EXTRA** FEE (\$) FEE (\$) AMENDMENT PAID FOR Total (37 CFR Minus X \$ OR X \$ = Independent (37 CFR 1.16(h) Minus \*\*\* X \$ = OR X \$ = Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR ΤΟΤΑΙ TOTAL ADD'L OR ADD'L FEE FEE \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. Legal Instrument Examiner: \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". /YOLANDA CHADWICK/ \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1

AMENDMENT

AMENDMEN<sup>-</sup>

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/776,329	07/11/2007	Clet Niyikiza	X14173B

## **CONFIRMATION NO. 6568**

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

Title: NOVEL ANTIFOLATE COMBINATION THERAPIES

Publication No. US-2008-0032948-A1 Publication Date: 02/07/2008

## NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

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APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/776,329	07/11/2007	Clet Niyikiza	X14173B

**CONFIRMATION NO. 6568** 

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

Date Mailed. 11/23/2007

## NOTICE OF NEW OR REVISED PROJECTED PUBLICATION DATE

The above-identified application has a new or revised projected publication date. The current projected publication date for this application is 02/07/2008. If this is a new projected publication date (there was no previous projected publication date), the application has been cleared by Licensing & Review or a secrecy order has been rescinded and the application is now in the publication queue.

If this is a revised projected publication date (one that is different from a previously communicated projected publication date), the publication date has been revised due to processing delays in the USPTO or the abandonment and subsequent revival of an application. The application is anticipated to be published on a date that is more than six weeks different from the originally-projected publication date.

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Questions relating to this Notice should be directed to the Office of Patent Publication at 1-888-786-0101.

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Γ	APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
	11/776,329	07/11/2007	1751	1000	X14173B	11	2

#### CONFIRMATION NO. 6568

#### UPDATED FILING RECEIPT

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

Date Mailed: 08/31/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Clet Niyikiza, Indianapolis, IN; Paolo Paoletti, Indianapolis, IN; James Jacob Rusthoven, Ancaster, CANADA;

Power of Attorney: The patent practitioners associated with Customer Number 25885

#### Domestic Priority data as claimed by applicant

This application is a DIV of 11/288,807 11/29/2005 which is a DIV of 10/297,821 12/05/2002 PAT 7,053,065 which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of 60/215,310 06/30/2000 and claims benefit of 60/235,859 09/27/2000 ABN and claims benefit of 60/284,448 04/18/2001

#### **Foreign Applications**

If Required, Foreign Filing License Granted: 08/31/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US11/776,329** 

Projected Publication Date: 12/13/2007

Non-Publication Request: No

#### Early Publication Request: No

Title

#### NOVEL ANTIFOLATE COMBINATION THERAPIES

#### Preliminary Class

#### 510

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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## <u>PATENT APPLICATION</u> IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	NIYIKIZA Clet					
Serial No.: 11/776,329						
Application Date:	7/11/2007	Conf No.: 6568				
For:	Information         NOVEL ANTIFOLATE COMBINATION THERAPIES					
Docket No.:	X14173B					

## **RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Commissioner for Patents Mail Stop Missing Parts P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to a "Notice to File Corrected Application Papers," dated July 18, 2007, noting the absence of a marked up and clean copy of a substitute specification, excluding claims.

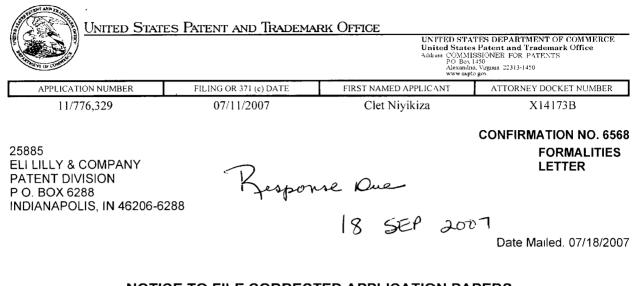
Enclosed herewith are: 1) a copy of the Notice; 2) a marked up copy of the specification, excluding claims, in compliance with 37 CFR 1.115 and 37 CFR 1.125; and 3) a clean copy of the specification, excluding claims, in compliance with 37 CFR 1.125(c). Applicants assert that the substitute specification contains no new matter.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Phone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

August 6, 2007



# NOTICE TO FILE CORRECTED APPLICATION PAPERS

## Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment

• A substitute specification excluding claims in compliance with 37 CFR 1 52, 1 121(b)(3), and 1 125 is required The substitute specification must be submitted with markings and be accompanied by a clean version (without markings) as set forth in 37 CFR 1.125(c) and a statement that the substitute specification contains no new matter (see 37 CFR 1.125(b)). Since a preliminary amendment was present on the filing date of the application and such amendment is part of the original disclosure of the application, the substitute specification must include all of the desired changes made in the preliminary amendment. See 37 CFR 1 115 and 1.215.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

Replies should be mailed to: Mail Stop Missing Parts Commissioner for Patents P.O Box 1450 Alexandria VA 22313-1450

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Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199 PART 1 - ATTORNEY/APPLICANT COPY

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#### NOVEL ANTIFOLATE COMBINATION THERAPIES

- 5 This application is a divisional of Application No. 11/288,807, filed 29 November 2005, which is a divisional of Application No. 10/297,821 filed 12 May 2002, now Patent Number 7,053,065, which claims priority under 35 USC 371, for PCT/US01/14860, filed 15 June 2001, which claims the priority of U.S. provisional applications No. 60/215,310, filed 30 June 2000, No. 60/235,859, filed 27 September 2000, and No. 60/284,448, filed
- 10 <u>18 April 2001.</u>

Potentially, life-threatening toxicity remains a major limitation to the optimal administration of antifolates. (see, generally, <u>Antifolate Drugs in Cancer Therapy</u>, edited by Jackman, Ann L., Humana Press, Totowa, NJ, 1999.) In some cases, a supportive intervention is routinely used to permit safe, maximal dosing. For example, steroids, such

15 as dexamethone, can be used to prevent the formation of skin rashes caused by the antifolate. (Antifolate, pg 197.)

Antifolates represent one of the most thoroughly studied classes of antineoplastic agents, with aminopterin initially demonstrating clinical activity approximately 50 years ago. Methotrexate was developed shortly thereafter, and today is a standard component

- 20 of effective chemotherapeutic regimens for malignancies such as lymphoma, breast cancer, and head and neck cancer. (Bonnadonna G, Zambetti M, Valagussa P. Sequential or alternating doxorubicin and CMF regimens in breast cancer with more than three positive nodes: Ten year results. JAMA 1995;273(7):542-547; Bonnadonna G, Valagussa P, Moliterni A, Zambetti M, Brambilla C. Adjuvant cyclophosphamide, methotrexate, and
- 25 fluorouracil in node-positive breast cancer: The results of 20 years of follow-up. N Engl J Med 1995;332(14):901-906; and Hong WK, Schaefer S, Issell B, et al. A prospective randomized trial of methotrexate versus cisplatin in the treatment of recurrent squamous cell carcinoma of the head and neck. Cancer 1983;52:206-210.) Antifolates inhibit one or several key folate-requiring enzymes of the thymidine and purine biosynthetic pathways,
- 30 in particular, thymidylate synthase (TS), dihydrofolate reductase (DHFR), and glycinamide ribonucleotide formyltransferase (GARFT), by competing with reduced folates for binding sites of these enzymes. (Shih C, Habeck LL, Mendelsohn LG, Chen

-2-

VJ, Schultz RM. Multiple folate enzyme inhibition: Mechanism of a novel pyrrolopyrimidine-based antifolate LY231514 (MTA). Advan Enzyme Regul, 1998;
38:135-152 and Shih C, Chen VJ, Gossett LS, et al. LY231514, a pyrrolo[2,3-d]pyrimidine-based antifolate that inhibits multiple folate-requiring enzymes. Cancer Res

- 5 1997;57:1116-1123.) Several antifolate drugs are currently in development. Examples of antifolates that have thymidylate synthase inhibiting ("TSI") characteristics include 5-fluorouracil and Tomudex®. An example of an antifolate that has dihydrofolate reductase inhibiting ("DHFRI") characteristic is Methotrexate®. An example of an antifolate that has glycinamide ribonucleotide formyltransferase inhibiting ("GARFTI")
- 10 characteristics is Lometrexol. Many of these antifolate drugs inhibit more than one biosynthetic pathway. For example Lometrexol is also an inhibitor of dihydrofolate reductase and pemetrexed disodium (Alimta®, Eli Lilly and Company, Indianapolis, IN) has demonstrated thymidylate synthase, dihydrofolate reductase, and glycinamide ribonucleotide formyltransferase inhibition.
- 15 A limitation to the development of these drugs is that the cytotoxic activity and subsequent effectiveness of antifolates may be associated with substantial toxicity for some patients. Additionally antifolates as a class are associated with sporadic severe mylosuppression with gastrointestinal toxicity which, though infrequent, carries a high risk of mortality. The inability to control these toxicities led to the abandonment of
- 20 clinical development of some antifolates and has complicated the clinical development of others, such as Lometrexol and raltitrexed. (Jackman AL, Calvert AH Folate-Based Thymidylate Synthase Inhibitors as Anticancer Drugs. Ann Oncol 1995;6(9):871-881; Laohavinij S, Wedge SR, Lind MJ, et al. A phase I clinical study of the antipurine antifolate Lometrexol (DDATHF) given with oral folic acid. Invest New Drugs
- 25 1996;14:325-335; and Maughan TS, James RD, Kerr D, et al., on behalf of the British MRC Colorectal Cancer Working Party. Preliminary results of a multicenter randomized trial comparing 3 chemotherapy regimens (deGramont, Lokich, and raltitrexed) in metastatic colorectal cancer. Proc ASCO 1999;18:Abst 1007.)

Initially, folic acid was used as a treatment for toxicities associated with GARFTI 30 see, e.g. U.S. Pat. No. 5,217,974. Folic acid has been shown to lower homocysteine levels (see e.g. Homocysteine Lowering Trialist's Collaboration. Lowering blood homocysteine with folic acid based supplements: meta-analysis of randomized trials. BMJ

-3-

1998;316:894-898 and Naurath HJ, Joosten E, Riezler R, Stabler SP, Allen RH, Lindenbaum J. Effects of vitamin B12, folate and vitamin B6 supplements in elderly people with normal serum vitamin concentrations. Lancet 1995;346:85-89), and homocysteine levels have been shown to be a predictor of cytotoxic events related to the

5 use of GARFT inhibitors, see e.g. U.S. Pat. No. 5,217,974. However, even with this treatment, cytotoxic activity of GARFT inhibitors and antifolates as a class remains a serious concern in the development of antifolates as pharmaceutical drugs. The ability to lower cytotoxic activity would represent an important advance in the use of these agents.

Surprisingly and unexpectedly, we have now discovered that certain toxic effects

- 10 such as mortality and nonhematologic events, such as skin rashes and fatigue, caused by antifolates, as a class, can be significantly reduced by the presence of a methylmalonic acid lowering agent, without adversely affecting therapeutic efficacy. The present invention thus provides a method for improving the therapeutic utility of antifolate drugs by administering to the host undergoing treatment with a methylmalonic acid lowering
- 15 agent. We have discovered that increased levels of methylmalonic acid is a predictor of toxic events in patients that receive an antifolate drug and that treatment for the increased methylmalonic acid, such as treatment with vitamin B12, reduces mortality and nonhematologic events, such as skin rashes and fatigue events previously associated with the antifolate drugs.
- 20 Additionally, we have discovered that the combination of a methylmalonic acid lowering agent and folic acid synergistically reduces the toxic events associated with the administration of antifolate drugs. Although, the treatment and prevention of cardiovascular disease with folic acid in combination with vitamin B12 is known, the use of the combination for the treatment of toxicity associated with the administration of
- 25 antifolate drugs was unknown heretofore.

The present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of reducing the toxicity 30 associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

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Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of administering an
antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering

10 to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an

15 antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent, alone or in combination with a FBP binding agent, in the preparation of a medicament useful in lowering the mammalian toxicity of an antifolate. A preferred FBP

20 binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

25 Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the manufacture of a medicament for use in a method of inhibiting tumor growth in mammals, which method comprises administering said methylmalonic acid lowering agent in combination with an antifolate.

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Furthermore, the present invention relates to a product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.

5 The current invention concerns the discovery that administration of a methylmalonic acid lowering agent in combination with an antifolate drug reduces the toxicity of the said antifolate drug.

The term "inhibit" as it relates to antifolate drugs refers to prohibiting, alleviating, ameliorating, halting, restraining, slowing or reversing the progression of, or reducing

10 tumor growth.

As used herein, the term "effective amount" refers to an amount of a compound or drug, which is capable of performing the intended result. For example, an effective amount of an antifolate drug that is administered in an effort to reduce tumor growth is that amount which is required to reduce tumor growth.

15 As used herein, the term "toxicity" refers to a toxic event associated with the administration on an antifolate. Such events include, but are not limited to, neutropenia, thrombopenia, toxic death, fatigue, anorexia, nausea, skin rash, infection, diarrhea, mucositis, and anemia. For further explanation of the types of toxicity experienced by patients receiving antifolates, see, generally, <u>Antifolate Drugs in Cancer Therapy</u>.

20 Preferably, toxicity refers to toxic death, fatigue, neutropenia, thrombopenia, and mucositis.

As used herein, the term "nonhematologic event" refers to the occurrence of skin rash or fatigue due to the administration of an antifolate.

As used herein, the term "in combination with" refers to the administration of the 25 methylmalonic acid lowering agent, the antifolate drug, and optionally the folic acid; in any order such that sufficient levels of methylmalonic acid lowering agent and optionally folic acid are present to reduce the toxicity of an antifolate in a mammal. The administration of the compounds maybe simultaneous as a single composition or as two separate compositions or can be administered sequentially as separate compositions such

30 that an effective amount of the agent first administered is in the patient's body when the second and/or third agent is administered. The antifolate drug may be administered to the mammal first, followed by treatment with the methylmalonic acid lowering agent.

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Alternatively, the mammal may be administered the antifolate drug simultaneously with the methylmalonic acid lowering agent. Preferably, the mammal is pretreated with the methylmalonic acid lowering agent and then treated with the antifolate. If folic acid is to be administered in addition to the methylmalonic acid lowering agent, the folic acid may

- 5 be administered at any time prior, post, or simultaneously to the administration of either the methylmalonic acid lowering agent or the antifolate. Preferably, the mammal is pretreated with the methylmalonic acid, and then treated with folic acid, followed by treatment with the antifolate compound.
- The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced folates for binding sites of these enzymes. Preferred examples of antifolates include <del>5-</del><del>fluorouracil, as manufactured by Glaxo;</del> Tomudex®, as manufactured by Zeneca;
- 15 Methotrexate®, as manufactured by Lederle; Lometrexol®, as manufactured by Tularik; pyrido[2,3-d]pyrimidine derivatives described by Taylor et al in U.S. Pat. Nos. 4,684,653, 4,833,145, 4,902,796, 4,871,743, and 4,882,334; derivatives described by Akimoto in U.S. Pat. No. 4,997,838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed Sodium Disodium (ALIMTA), as manufactured

20 by Eli Lilly & Co.

The terms "methylmalonic acid" and "MMA" refer to a structural isomer of succinic acid present in minute amounts in healthy human urine.

The term "methylmalonic acid lowering agent" refers to a substrate, which lowers the concentration of methylmalonic acid in a mammal. A preferred example of such a

- 25 substrate is vitamin B12. For methods of determining methylmalonic acid and substrates therefore, see, e.g., Matchar DB, Feussner JR, Millington DS, et al. Isotope dilution assay for urinary methylmalonic acid in the diagnosis of vitamin B12 deficiency. A prospective clinical evaluation. Ann Intern Med 1987; 106: 707-710; Norman EJ, Morrison JA. Screening elderly populations for cobalamin (vitamin B12) deficiency using the urinary
- methylmalonic acid assay by gas chromatography mass spectrometry. Am J Med 1993;
   94: 589-594; Norman EJ. Gas Chromatography mass spectrometry screening of urinary methylmalonic acid: early detection of vitamin B12 (cobalamin) deficiency to prevent

permanent neurologic disability. GC/MS News 1984; 12:120-129; Martin DC, Francis J, Protetch J, Huff FJ. Time dependency of cognitive recovery with cobalamin replacement: report of a pilot study. JAGS 1992; 40: 168-172; Norman EJ, Cronin C. Cobalamin deficiency. Neurol 1996; 47: 310-311; Rasmussen K, Moelby I, Jensen MK. Studies on

5 methylmalonic acid in humans; Savage DG, Lindenbaum J, Stabler SP, Allen RH. Sensitivity of methylmalonic acid and total homocysteine determination for diagnosing cobalamin and folate deficiency. Am J Med 1994; 96: 239-246.

The term "vitamin B12" refers to vitamin B12 and its pharmaceutical derivatives, such as hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-

10 10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.
 Preferably the term refers to vitamin B12, cobalamin, and chlorocobalamin.

The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, such as a sustained release formulation, as an aqueous solution added to drinking water, or as an aqueous parenteral formulation.

15 Preferably the methylmalonic acid lowering agent is administered as an intramuscular injection formulation. Such formulations are known in the art and are commercially available.

The skilled artisan will appreciate that the methylmalonic lowering agents are effective over a wide dosage range. For example, when cobalamin is used as the

- 20 methylmalonic lowering agent, the dosage of cobalamin may fall within the range of about 0.2 µg to about 3000 µg of cobalamin from once daily for a month to once every nine weeks for a year. Preferably, cobalamin will be dosed as an intramuscular injection of about 500 µg to about 1500 µg administered from about every 24 hours to about every 1680 hours. Preferably, it is an intramuscular injection of about 1000 µg administered
- 25 initially from about 1 to about 3 weeks prior to administration of the antifolate and repeated from about every 24 hours to about every 1680 hours, regardless of when treatment with the antifolate is started and continued until the administration of the antifolate is discontinued. Most preferred is an intramuscular injection of about 1000 µg administered initially from about 1 to about 3 weeks prior to the first administration of the
- 30 antifolate and repeated every 6 to 12 weeks, preferably about every 9 weeks, and continued until the discontinuation of the antifolate administrations. However, it will be

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understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of

- 5 the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.
- The term "FBP binding agent" as used herein refers to a folic binding protein binding agent which includes folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof. This latter compound is the (6R)-isomer of leucovorin as disclosed in J. Am. Chem. Soc., 74, 4215 (1952). Both of the tetrahydrofolic acid compounds are in the unnatural configuration at the 6-position. They are 10-20 fold more efficient in binding
- 15 the folate binding protein compared with their respective (6S)—isomer, see Ratnam, et. al., Folate and Antifolate Transport in Mammalian Cells Symposium, Mar. 21-22, 1991, Bethesda, MD. These compounds are usually prepared as a mixture with their natural form (6S) of diastereomers by non-stereoselective reduction from the corresponding dehydro precursors followed by separation through chromatographic or enzymatic
- 20 techniques. See e.g. PCT Patent Application Publication WO 880844 (also Derwent Abstract 88-368464/51) and Canadian Patent 1093554. See, e.g. Dietary Reference Intakes for Thiamin, Riboflavin, Niacin, Vitamin B6, Folate, Vitamin B12, Pantothenic Acid, Biotin, and Choline (2000), 8 Folate, pp. 196-305.
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"Physiologically-available salt" refers to potassium, sodium, lithium, magnesium, or preferably a calcium salt of the FBP binding agent. "Physiologically-available...ester" refers to esters which are easily hydrolyzed upon administration to a mammal to provide the corresponding FBP binding agent free acid, such as  $C_1$ - $C_4$  alkyl esters, mixed

30 anhydrides, and the like.

The FBP binding agent to be utilized according to this invention can be in its free acid form, or can be in the form of a physiologically-acceptable salt or ester which is

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converted to the parent acid in a biological system. The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, preferably as a sustained release formulation, as an aqueous solution added to drinking water, an aqueous parenteral formulation, e.g., an intravenous formulation, or the like.

- 5 The FBP binding agent is usually administered to the subject mammal prior to treatment with the antifolate. Pretreatment with the suitable amount of FBP binding agent from about 1 to about 24 hours is usually sufficient to substantially bind to and block the folate binding protein prior to administration of the antifolate. Although one single dose of the FBP binding agent, preferably an oral administration of folic acid, should be
- 10 sufficient to load the folate binding protein, multiple dosing of the FBP binding agent can be employed for periods up to weeks before treatment with the active agent to ensure that the folate binding protein is sufficiently bound in order to maximize the benefit derived from such pretreatment.

In the especially preferred embodiment of this invention, about 0.1 mg to about 30 15 mg, most preferably about 0.3 mg to about 5 mg, of folic acid is administered orally to a mammal about 1 to 3 weeks post administration of the methylmalonic acid lowering agent and about 1 to about 24 hours prior to the parenteral administration of the amount of an antifolate. However, it will be understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the

- 20 relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than
- 25 adequate, while in other cases still larger doses may be employed without causing any harmful side effect.

In general, the term "pharmaceutical" when used as an adjective means substantially non-toxic to living organisms.

30 <u>Methods</u>

To assess the effect of a methylmalonic acid lowering agent, alone or in combination with folic acid on the antitumor efficacy of an antifolate in a human tumor

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xenograft model, female nude mice bearing human MX-1 breast carcinoma were treated with ALIMTA alone or along with super-physiologic doses of folic acid or vitamin B12 (cobalamin).

The animals were maintained on sterilized standard lab chow ad libitum and sterilized water ad libitum. The human MX-1 tumor cells (5 x 10<sup>6</sup>) obtained from donor tumors were implanted subcutaneously in a thigh of female nude mice 8- to 10-weeks old. Beginning on day 7 post tumor cell implantation, the animals were treated with ALIMTA (100 mg/kg or 150 mg/kg) once daily on days 7 through 11 and 14 through 18 by intraperitoneal injection alone or along with folic acid (6 mg/kg or 60 mg/kg) and/or

10 vitamin B12 (165 mg/kg) by intraperitoneal injection on the same schedule.

Tumor response was monitored by tumor volume measurements twice weekly over the course of the experiment. Toxicity was monitored by body weight measurements made at the same time as the tumor volume measurements. Tumor growth delay was the difference in days for the treated and the controls tumors to reach 1000 mm<sup>3</sup>.

The human MX-1 breast carcinoma xenograft was responsive to treatment with ALIMTA with doses of 100 mg/kg and 150 mg/kg producing tumor growth delays of 17 days and 21 days, respectively. Folic acid was administered to the animals alone at two doses 6 mg/kg and 60 mg/kg on the same schedule as ALIMTA and produced tumor

20 growth delays of 7 days and 12 days, respectively. Vitamin B12 administered alone at a dose of 165 mg/kg resulted in a tumor growth delay of 12 days.

Combinations of ALIMTA at each of the two doses were administered along with each of the vitamins as simultaneous combination regimens. Administration of folic acid (6 mg/kg) along with ALIMTA did not alter the tumor growth delay produced from that

25 obtained with ALIMTA alone. The addition of folic acid at the higher dose (60 mg/kg) along with each dose of ALIMTA resulted in small increases in tumor growth delay to 22 days and 23 days at the ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively. The tumor growth delays with ALIMTA and vitamin B12 (165 mg/kg) treatment were 22 days and 24 days at ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively.

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Body weight was used as a general measure of toxicity for each of the treatment regimens. The body weight loss pattern reflected the treatment regimens with weight

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decrease during the treatment times of days 7 through 11 and 14 through 18 with some weight recovery during the intervening two days. The weight loss due to ALITMA was dose dependent but overall minor (3%). Folic acid alone at either 6 mg/kg or 60 mg/kg did not cause weight loss, in fact folic acid treated animals maintained weight and gained

5 weight over the course of the experiment better than the control animals. The animals treated with ALIMTA (100 mg/kg) and folic acid (60 mg/kg) gained weight (about 20%) over the course of the experiment.

Administration of vitamin B12 did not prevent weight gain in the animals over the time course of the experiment. The animals treated with ALIMTA (100 mg/kg) along

10 with vitamin B12 gained weight while those treated with ALIMTA (150 mg/kg) along with vitamin B12 maintained weight over the course of the experiment.

In conclusion, administration of super-physiologic but non-toxic doses of the vitamins, folic acid and vitamin B12, did not alter the antitumor activity of ALIMTA in the human MX-1 breast carcinoma xenograft tumor in nude mice and did not increase the

15 toxicity of ALIMTA as determined by body weight measurements of the animals.

The effect of vitamin B12, alone or in combination with folic acid, on antifolates can be demonstrated in standard tests commonly utilized to determine the antitumor activity and toxic effects of the antifolates themselves. In one such test, mice are

- 20 inoculated with the C3H strain of mammary adenocarcinoma by inserting a 2 mm by 2 mm section of tumor into the axillary region of the mice by trocar. The timing of administering the methylmalonic acid lowering agent, alone or in combination with the folic acid, and the antifolate may be varied. Ten animals are used at each dosage level. Antitumor activity is assessed on day ten (when day one is first dosage of antifolate) by
- 25 measuring the length and width of the tumor growth using vernier calipers, and the activity is expressed as a percent inhibition of tumor growth.

When the antifolate is administered to infected mice which are maintained on a diet totally free of vitamin B12 and optionally folic acid for two weeks prior to and during treatment, it exhibits moderated antitumor activity at very low doses, but also causes severe toxicity at a very low dose (measured as death of mice).

A test group of mice are maintained on a vitamin B12 and optionally folic acid free diet for two weeks before treatment. Vitamin B12 and optionally folic acid is then

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administered during the treatment by intramuscular injection of 0.0003% vitamin B12 (weight/volume) and optionally providing the animals drinking water containing 0.0003% folic acid (weight/volume). This concentration translates to about 1.75 mg of vitamin B12 and optionally folic acid per square meter of body surface per day. As the foregoing

5 results indicate, addition of the indicated level of vitamin B12 to the diet of a subject receiving an antifolate results in excellent antitumor activity at low doses, with little or no toxic effects.

The foregoing tests establish that for tumor bearing mice maintained on a vitamin B12 and optionally folic acid free diet prior to and during treatment with an antifolate, the

- 10 toxicity of the antifolate is very large, with 1 mg/kg/day being lethal to the majority of the mice, and lower antitumor activity is observed at non-toxic drug doses. Very low doses of vitamin B12 partially reverses drug toxicity and improved antitumor activity. Larger doses of vitamin B12 reduce antifolate toxicity even more significantly. Pretreatment of the mouse with vitamin B12 and then administering folic acid prior to administering the
- 15 antifolate demonstrates a striking reduction in toxicity, almost eliminating the antifolate toxicity completely. Thus, the use of vitamin B12 in combination with an antifolate reduces drug toxicity without adversely affecting antitumor activity, and the use of vitamin B12 in conjunction with folic acid synergistically reduces drug toxicity.

In a typical clinical evaluation involving cancer patients, all of whom have

- 20 histologically or cytologically confirmed diagnosis of cancer, an antifolate is administered in combination with vitamin B12. Vitamin B12 is administered as a 1000 µg intramuscular injection 1-3 weeks prior to treatment with the antifolate, and 1000 µg intramuscular injection of vitamin B12 is made approximately every 9 weeks until the patient discontinues from therapy. The antifolate is administered in four doses over a two
- 25 week period by rapid intravenous injection, followed by two weeks of non-therapy. Dosing is made on days 1, 4, 8 and 11 of any two week period. Patients will have an initial course of therapy at a dose of 5 mg/m<sup>2</sup>/dose, and depending upon the toxic effects observed in the initial course, their subsequent courses may be at the same dose, or may be escalated to 6 mg/m<sup>2</sup>, or may be attenuated to 4 mg/m<sup>2</sup>.
- 30 In preparation for the foregoing clinical study, pilot studies in humans have established that vitamin B12 given to patients receiving Alimta has effected reduced side

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effects due to the Alimta. One to two weeks prior to administration of ALIMTA urine is collected and blood is drawn from a human subject; and vitamin metabolite levels, methylmalonic acid and homocysteine, are determined. Homocysteine levels are determined in blood by a fluorescent polarization immunoassay kit manufactured by

5 Abbot Laboratories. Methylmalonic acid levels are determined by urine levels using a 24 hour urine collection kit available from Biolab Medical Unit (a United Kingdom company). Additionally urine and blood may be collected one week prior to administration of ALIMTA (after at least 5 days of folic acid supplementation and at least 1 week vitamin B12 supplementation), and up to 4 days prior to every cycle.

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## Method of administration and dosing procedures:

1. Folic Acid:

Folic acid will be supplied as one of the following options, with preference in order from option #1 to option #3:

- 1. 350 600 µg folic acid.
- 2. A multivitamin containing folic acid in the range of  $350 \ \mu g$  to  $600 \ \mu g$  is acceptable if option #1 is not available.
- 3. A dose of folic acid between 350 μg and 1000 μg is acceptable if neither option #1 or option # 2 is available.

For purposes of this study, patients should take oral folic acid daily beginning approximately 1 to 3 weeks before treatment with ALIMTA plus cisplatin or cisplatin alone and continuing daily until discontinuation from study therapy.

2. Vitamin B12

25 Vitamin B12 will be obtained and administered as a 1000 µg intramuscular injection. A vitamin B12 injection must be administered approximately 1 to 3 weeks before treatment with ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Folic acid supplementation,  $350 - 600 \mu g$  or equivalent should be taken orally

30 daily beginning approximately 1 to 3 weeks prior to the first dose of MTA plus cisplatin and continue daily until the patient discontinues from study therapy. A vitamin B12

injection, 1000  $\mu$ g, must be given intramuscularly approximately 1 to 3 weeks prior to the first dose of ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Compare presupplementation homocysteine and methylmalonic acid levels to a)

5 the level immediately prior to the initial dose of study drug, and b) to the level immediately prior to the second dose of study drug (i.e., after a full cycle of supplementation), and compare the prevalence of specific toxicities experienced in up to the first seven cycles of therapy in patients who have been supplemented from baseline to the prevalence seen in the earlier patients (n = 246) who were not supplemented (Farber

10 et al.)

Toxicity may be compared in specific patients in non-supplemented cycles versus supplemented cycles (cross-over patients).

The data to be compared are:

- 1) Patient numbers and baseline demographic data for those supplemented from baseline.
- Homocysteine and methylmalonic acid levels, levels at baseline, prior to first dose, prior to second dose, and prior to each therapy cycle depending of the type of cancer under study.

3) Grade 3 and 4 hematologic toxicity in these fully supplemented patients.

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4) Grade 3 and 4 nonhematologic toxicity in these fully supplemented patients.

The grading of toxicities in chemotherapuetic clinical trials is well known to a person of skill in the art. Examples of fatigue and skin rash grading are provided below.

## 25 Fatigue Grading --

Neuromotor

- Grade 0 none or no change
- Grade 1 subjective weakness; no objective findings
- Grade 2 mild objective weakness without significant impairment of function
- 30 Grade 3 objective weakness with impairment of function
  - Grade 4 paralysis

## Rash Grading --

## Skin

Grade 0 none or no change

Grade 1 scattered macular or papular eruption or erythema that is asymptomatic

5 Grade 2 scattered macular or papular eruption or erythema with pruritus or other associated eruption symptoms

Grade 3 generalized symptomatic macular, papular, or vesicular eruption

Grade 4 exfoliative dermatitis or ulcerating dermatitis

10 The vitamins (both folic acid and B12) to be used in the following studies may be obtained from Zenith Gold Line, Centrum, Folvite, or in Canada Apo-Folic. Cyanocobalamin is used as the methylmalonic acid lowering agent in these studies.

Current and past clinical trials show a 4% drug-related death total, 50% grade 3/4 neutropenia, 7% grade 4 thrombocytopenia, and 10% grade 3/4 diarrheas and mucositis in

15 patients administered ALIMTA and folic acid as described in U.S. Pat. No. 5,217,974. Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%. The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated. The combination of vitamin B12 and folic acid has</p>

20 lowered the drug related grade 3/4 toxic events, see Table 1.

#### Table 1

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	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N=78)
Hematologic Toxicity/Non-	37%	6.4%
Hematologic Toxicity		
Neutropenia	32%	2.6%
Mucositis	5%	1.3%
Diarrhea	6%	2.6%
Neutropenia and Mucositis	3%	0%
Neutropenia and Diarrhea	3%	0%
Neutropenia and Infection	2%	0%

Additionally, sixty-two chemonaive patients requiring chemotherapeutic treatment were divided into two groups. Seventeen of these patients received ALIMTA, but did not receive vitamin B12 or folic acid, as described *supra*. The remaining patients received

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treatment with vitamin B12, folic acid, and ALIMTA, as described *supra*. Of patients who received the combination treatment, 8 out of 45 responded to the chemotherapy. Of patients who did not receive the combination treatment, but rather, received only treatment with ALIMTA, only 1 out of 17 patients responded.

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# <u>Abstract</u>

A method of administering an antifolate to a mammal in need thereof, comprising

5 administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

#### NOVEL ANTIFOLATE COMBINATION THERAPIES

5 This application is a divisional of Application No. 11/288,807, filed 29 November 2005, which is a divisional of Application No. 10/297,821 filed 12 May 2002, now Patent Number 7,053,065, which claims priority under 35 USC 371, for PCT/US01/14860, filed 15 June 2001, which claims the priority of U.S. provisional applications No. 60/215,310, filed 30 June 2000, No. 60/235,859, filed 27 September 2000, and No. 60/284,448, filed

10 18 April 2001.

Potentially, life-threatening toxicity remains a major limitation to the optimal administration of antifolates. (see, generally, <u>Antifolate Drugs in Cancer Therapy</u>, edited by Jackman, Ann L., Humana Press, Totowa, NJ, 1999.) In some cases, a supportive intervention is routinely used to permit safe, maximal dosing. For example, steroids, such

15 as dexamethone, can be used to prevent the formation of skin rashes caused by the antifolate. (Antifolate, pg 197.)

Antifolates represent one of the most thoroughly studied classes of antineoplastic agents, with aminopterin initially demonstrating clinical activity approximately 50 years ago. Methotrexate was developed shortly thereafter, and today is a standard component

- 20 of effective chemotherapeutic regimens for malignancies such as lymphoma, breast cancer, and head and neck cancer. (Bonnadonna G, Zambetti M, Valagussa P. Sequential or alternating doxorubicin and CMF regimens in breast cancer with more than three positive nodes: Ten year results. JAMA 1995;273(7):542-547; Bonnadonna G, Valagussa P, Moliterni A, Zambetti M, Brambilla C. Adjuvant cyclophosphamide, methotrexate, and
- 25 fluorouracil in node-positive breast cancer: The results of 20 years of follow-up. N Engl J Med 1995;332(14):901-906; and Hong WK, Schaefer S, Issell B, et al. A prospective randomized trial of methotrexate versus cisplatin in the treatment of recurrent squamous cell carcinoma of the head and neck. Cancer 1983;52:206-210.) Antifolates inhibit one or several key folate-requiring enzymes of the thymidine and purine biosynthetic pathways,
- 30 in particular, thymidylate synthase (TS), dihydrofolate reductase (DHFR), and glycinamide ribonucleotide formyltransferase (GARFT), by competing with reduced folates for binding sites of these enzymes. (Shih C, Habeck LL, Mendelsohn LG, Chen

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VJ, Schultz RM. Multiple folate enzyme inhibition: Mechanism of a novel pyrrolopyrimidine-based antifolate LY231514 (MTA). Advan Enzyme Regul, 1998;
38:135-152 and Shih C, Chen VJ, Gossett LS, et al. LY231514, a pyrrolo[2,3-d]pyrimidine-based antifolate that inhibits multiple folate-requiring enzymes. Cancer Res

- 5 1997;57:1116-1123.) Several antifolate drugs are currently in development. Examples of antifolates that have thymidylate synthase inhibiting ("TSI") characteristics include 5-fluorouracil and Tomudex®. An example of an antifolate that has dihydrofolate reductase inhibiting ("DHFRI") characteristic is Methotrexate®. An example of an antifolate that has glycinamide ribonucleotide formyltransferase inhibiting ("GARFTI")
- 10 characteristics is Lometrexol. Many of these antifolate drugs inhibit more than one biosynthetic pathway. For example Lometrexol is also an inhibitor of dihydrofolate reductase and pemetrexed disodium (Alimta®, Eli Lilly and Company, Indianapolis, IN) has demonstrated thymidylate synthase, dihydrofolate reductase, and glycinamide ribonucleotide formyltransferase inhibition.
- 15 A limitation to the development of these drugs is that the cytotoxic activity and subsequent effectiveness of antifolates may be associated with substantial toxicity for some patients. Additionally antifolates as a class are associated with sporadic severe mylosuppression with gastrointestinal toxicity which, though infrequent, carries a high risk of mortality. The inability to control these toxicities led to the abandonment of
- 20 clinical development of some antifolates and has complicated the clinical development of others, such as Lometrexol and raltitrexed. (Jackman AL, Calvert AH Folate-Based Thymidylate Synthase Inhibitors as Anticancer Drugs. Ann Oncol 1995;6(9):871-881; Laohavinij S, Wedge SR, Lind MJ, et al. A phase I clinical study of the antipurine antifolate Lometrexol (DDATHF) given with oral folic acid. Invest New Drugs
- 25 1996;14:325-335; and Maughan TS, James RD, Kerr D, et al., on behalf of the British MRC Colorectal Cancer Working Party. Preliminary results of a multicenter randomized trial comparing 3 chemotherapy regimens (deGramont, Lokich, and raltitrexed) in metastatic colorectal cancer. Proc ASCO 1999;18:Abst 1007.)

Initially, folic acid was used as a treatment for toxicities associated with GARFTI 30 see, e.g. U.S. Pat. No. 5,217,974. Folic acid has been shown to lower homocysteine levels (see e.g. Homocysteine Lowering Trialist's Collaboration. Lowering blood homocysteine with folic acid based supplements: meta-analysis of randomized trials. BMJ

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1998;316:894-898 and Naurath HJ, Joosten E, Riezler R, Stabler SP, Allen RH, Lindenbaum J. Effects of vitamin B12, folate and vitamin B6 supplements in elderly people with normal serum vitamin concentrations. Lancet 1995;346:85-89), and homocysteine levels have been shown to be a predictor of cytotoxic events related to the

5 use of GARFT inhibitors, see e.g. U.S. Pat. No. 5,217,974. However, even with this treatment, cytotoxic activity of GARFT inhibitors and antifolates as a class remains a serious concern in the development of antifolates as pharmaceutical drugs. The ability to lower cytotoxic activity would represent an important advance in the use of these agents.

Surprisingly and unexpectedly, we have now discovered that certain toxic effects

- 10 such as mortality and nonhematologic events, such as skin rashes and fatigue, caused by antifolates, as a class, can be significantly reduced by the presence of a methylmalonic acid lowering agent, without adversely affecting therapeutic efficacy. The present invention thus provides a method for improving the therapeutic utility of antifolate drugs by administering to the host undergoing treatment with a methylmalonic acid lowering
- 15 agent. We have discovered that increased levels of methylmalonic acid is a predictor of toxic events in patients that receive an antifolate drug and that treatment for the increased methylmalonic acid, such as treatment with vitamin B12, reduces mortality and nonhematologic events, such as skin rashes and fatigue events previously associated with the antifolate drugs.
- 20 Additionally, we have discovered that the combination of a methylmalonic acid lowering agent and folic acid synergistically reduces the toxic events associated with the administration of antifolate drugs. Although, the treatment and prevention of cardiovascular disease with folic acid in combination with vitamin B12 is known, the use of the combination for the treatment of toxicity associated with the administration of
- 25 antifolate drugs was unknown heretofore.

The present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of reducing the toxicity 30 associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

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Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of administering an
antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering

10 to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an

15 antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent, alone or in combination with a FBP binding agent, in the preparation of a medicament useful in lowering the mammalian toxicity of an antifolate. A preferred FBP

20 binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

25 Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the manufacture of a medicament for use in a method of inhibiting tumor growth in mammals, which method comprises administering said methylmalonic acid lowering agent in combination with an antifolate.

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Furthermore, the present invention relates to a product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.

5 The current invention concerns the discovery that administration of a methylmalonic acid lowering agent in combination with an antifolate drug reduces the toxicity of the said antifolate drug.

The term "inhibit" as it relates to antifolate drugs refers to prohibiting, alleviating, ameliorating, halting, restraining, slowing or reversing the progression of, or reducing

10 tumor growth.

As used herein, the term "effective amount" refers to an amount of a compound or drug, which is capable of performing the intended result. For example, an effective amount of an antifolate drug that is administered in an effort to reduce tumor growth is that amount which is required to reduce tumor growth.

15 As used herein, the term "toxicity" refers to a toxic event associated with the administration on an antifolate. Such events include, but are not limited to, neutropenia, thrombopenia, toxic death, fatigue, anorexia, nausea, skin rash, infection, diarrhea, mucositis, and anemia. For further explanation of the types of toxicity experienced by patients receiving antifolates, see, generally, <u>Antifolate Drugs in Cancer Therapy</u>.

20 Preferably, toxicity refers to toxic death, fatigue, neutropenia, thrombopenia, and mucositis.

As used herein, the term "nonhematologic event" refers to the occurrence of skin rash or fatigue due to the administration of an antifolate.

As used herein, the term "in combination with" refers to the administration of the 25 methylmalonic acid lowering agent, the antifolate drug, and optionally the folic acid; in any order such that sufficient levels of methylmalonic acid lowering agent and optionally folic acid are present to reduce the toxicity of an antifolate in a mammal. The administration of the compounds maybe simultaneous as a single composition or as two separate compositions or can be administered sequentially as separate compositions such

30 that an effective amount of the agent first administered is in the patient's body when the second and/or third agent is administered. The antifolate drug may be administered to the mammal first, followed by treatment with the methylmalonic acid lowering agent.

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Alternatively, the mammal may be administered the antifolate drug simultaneously with the methylmalonic acid lowering agent. Preferably, the mammal is pretreated with the methylmalonic acid lowering agent and then treated with the antifolate. If folic acid is to be administered in addition to the methylmalonic acid lowering agent, the folic acid may

- 5 be administered at any time prior, post, or simultaneously to the administration of either the methylmalonic acid lowering agent or the antifolate. Preferably, the mammal is pretreated with the methylmalonic acid, and then treated with folic acid, followed by treatment with the antifolate compound.
- The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced folates for binding sites of these enzymes. Preferred examples of antifolates include Tomudex®, as manufactured by Zeneca; Methotrexate®, as manufactured by Lederle;
- 15 Lometrexol®, as manufactured by Tularik; pyrido[2,3-d]pyrimidine derivatives described by Taylor et al in U.S. Pat. Nos. 4,684,653, 4,833,145, 4,902,796, 4,871,743, and 4,882,334; derivatives described by Akimoto in U.S. Pat. No. 4,997,838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed Disodium (ALIMTA), as manufactured by Eli Lilly & Co.
- 20 The terms "methylmalonic acid" and "MMA" refer to a structural isomer of succinic acid present in minute amounts in healthy human urine.

The term "methylmalonic acid lowering agent" refers to a substrate, which lowers the concentration of methylmalonic acid in a mammal. A preferred example of such a substrate is vitamin B12. For methods of determining methylmalonic acid and substrates

- 25 therefore, see, e.g., Matchar DB, Feussner JR, Millington DS, et al. Isotope dilution assay for urinary methylmalonic acid in the diagnosis of vitamin B12 deficiency. A prospective clinical evaluation. Ann Intern Med 1987; 106: 707-710; Norman EJ, Morrison JA. Screening elderly populations for cobalamin (vitamin B12) deficiency using the urinary methylmalonic acid assay by gas chromatography mass spectrometry. Am J Med 1993;
- 30 94: 589-594; Norman EJ. Gas Chromatography mass spectrometry screening of urinary methylmalonic acid: early detection of vitamin B12 (cobalamin) deficiency to prevent permanent neurologic disability. GC/MS News 1984; 12:120-129; Martin DC, Francis J,

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Protetch J, Huff FJ. Time dependency of cognitive recovery with cobalamin replacement: report of a pilot study. JAGS 1992; 40: 168-172; Norman EJ, Cronin C. Cobalamin deficiency. Neurol 1996; 47: 310-311; Rasmussen K, Moelby I, Jensen MK. Studies on methylmalonic acid in humans; Savage DG, Lindenbaum J, Stabler SP, Allen RH.

5 Sensitivity of methylmalonic acid and total homocysteine determination for diagnosing cobalamin and folate deficiency. Am J Med 1994; 96: 239-246.

The term "vitamin B12" refers to vitamin B12 and its pharmaceutical derivatives, such as hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.

Preferably the term refers to vitamin B12, cobalamin, and chlorocobalamin. The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, such as a sustained release formulation, as an

aqueous solution added to drinking water, or as an aqueous parenteral formulation.

Preferably the methylmalonic acid lowering agent is administered as an intramuscular

15 injection formulation. Such formulations are known in the art and are commercially available.

The skilled artisan will appreciate that the methylmalonic lowering agents are effective over a wide dosage range. For example, when cobalamin is used as the methylmalonic lowering agent, the dosage of cobalamin may fall within the range of

- 20 about 0.2 µg to about 3000 µg of cobalamin from once daily for a month to once every nine weeks for a year. Preferably, cobalamin will be dosed as an intramuscular injection of about 500 µg to about 1500 µg administered from about every 24 hours to about every 1680 hours. Preferably, it is an intramuscular injection of about 1000 µg administered initially from about 1 to about 3 weeks prior to administration of the antifolate and
- 25 repeated from about every 24 hours to about every 1680 hours, regardless of when treatment with the antifolate is started and continued until the administration of the antifolate is discontinued. Most preferred is an intramuscular injection of about 1000 µg administered initially from about 1 to about 3 weeks prior to the first administration of the antifolate and repeated every 6 to 12 weeks, preferably about every 9 weeks, and
- 30 continued until the discontinuation of the antifolate administrations. However, it will be understood that the amount of the methylmalonic acid lowering agent actually

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administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit

5 the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.

The term "FBP binding agent" as used herein refers to a folic binding protein binding agent which includes folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and

- (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof. This latter compound is the (6R)-isomer of leucovorin as disclosed in J. Am. Chem. Soc., 74, 4215 (1952). Both of the tetrahydrofolic acid compounds are in the unnatural configuration at the 6-position. They are 10-20 fold more efficient in binding the folate binding protein compared with their respective (6S)—isomer, see Ratnam, et.
- 15 al., Folate and Antifolate Transport in Mammalian Cells Symposium, Mar. 21-22, 1991, Bethesda, MD. These compounds are usually prepared as a mixture with their natural form (6S) of diastereomers by non-stereoselective reduction from the corresponding dehydro precursors followed by separation through chromatographic or enzymatic techniques. See e.g. PCT Patent Application Publication WO 880844 (also Derwent
- 20 Abstract 88-368464/51) and Canadian Patent 1093554. See, e.g. Dietary Reference Intakes for Thiamin, Riboflavin, Niacin, Vitamin B6, Folate, Vitamin B12, Pantothenic Acid, Biotin, and Choline (2000), 8 Folate, pp. 196-305.
- 25 "Physiologically-available salt" refers to potassium, sodium, lithium, magnesium, or preferably a calcium salt of the FBP binding agent. "Physiologically-available...ester" refers to esters which are easily hydrolyzed upon administration to a mammal to provide the corresponding FBP binding agent free acid, such as C<sub>1</sub>-C<sub>4</sub> alkyl esters, mixed anhydrides, and the like.
- 30 The FBP binding agent to be utilized according to this invention can be in its free acid form, or can be in the form of a physiologically-acceptable salt or ester which is converted to the parent acid in a biological system. The dosage generally will be

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provided in the form of a vitamin supplement, namely as a tablet administered orally, preferably as a sustained release formulation, as an aqueous solution added to drinking water, an aqueous parenteral formulation, e.g., an intravenous formulation, or the like.

The FBP binding agent is usually administered to the subject mammal prior to

- 5 treatment with the antifolate. Pretreatment with the suitable amount of FBP binding agent from about 1 to about 24 hours is usually sufficient to substantially bind to and block the folate binding protein prior to administration of the antifolate. Although one single dose of the FBP binding agent, preferably an oral administration of folic acid, should be sufficient to load the folate binding protein, multiple dosing of the FBP binding agent can
- 10 be employed for periods up to weeks before treatment with the active agent to ensure that the folate binding protein is sufficiently bound in order to maximize the benefit derived from such pretreatment.

In the especially preferred embodiment of this invention, about 0.1 mg to about 30 mg, most preferably about 0.3 mg to about 5 mg, of folic acid is administered orally to a

- 15 mammal about 1 to 3 weeks post administration of the methylmalonic acid lowering agent and about 1 to about 24 hours prior to the parenteral administration of the amount of an antifolate. However, it will be understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of
- 20 administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any
- 25 harmful side effect.

In general, the term "pharmaceutical" when used as an adjective means substantially non-toxic to living organisms.

#### Methods

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To assess the effect of a methylmalonic acid lowering agent, alone or in combination with folic acid on the antitumor efficacy of an antifolate in a human tumor xenograft model, female nude mice bearing human MX-1 breast carcinoma were treated

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with ALIMTA alone or along with super-physiologic doses of folic acid or vitamin B12 (cobalamin).

The animals were maintained on sterilized standard lab chow ad libitum and sterilized water ad libitum. The human MX-1 tumor cells ( $5 \times 10^6$ ) obtained from donor

- 5 tumors were implanted subcutaneously in a thigh of female nude mice 8- to 10-weeks old. Beginning on day 7 post tumor cell implantation, the animals were treated with ALIMTA (100 mg/kg or 150 mg/kg) once daily on days 7 through 11 and 14 through 18 by intraperitoneal injection alone or along with folic acid (6 mg/kg or 60 mg/kg) and/or vitamin B12 (165 mg/kg) by intraperitoneal injection on the same schedule.
- 10 Tumor response was monitored by tumor volume measurements twice weekly over the course of the experiment. Toxicity was monitored by body weight measurements made at the same time as the tumor volume measurements. Tumor growth delay was the difference in days for the treated and the controls tumors to reach 1000 mm<sup>3</sup>.
- 15 The human MX-1 breast carcinoma xenograft was responsive to treatment with ALIMTA with doses of 100 mg/kg and 150 mg/kg producing tumor growth delays of 17 days and 21 days, respectively. Folic acid was administered to the animals alone at two doses 6 mg/kg and 60 mg/kg on the same schedule as ALIMTA and produced tumor growth delays of 7 days and 12 days, respectively. Vitamin B12 administered alone at a dose of 165 mg/kg resulted in a tumor growth delay of 12 days.

Combinations of ALIMTA at each of the two doses were administered along with each of the vitamins as simultaneous combination regimens. Administration of folic acid (6 mg/kg) along with ALIMTA did not alter the tumor growth delay produced from that obtained with ALIMTA alone. The addition of folic acid at the higher dose (60 mg/kg)

- 25 along with each dose of ALIMTA resulted in small increases in tumor growth delay to 22 days and 23 days at the ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively. The tumor growth delays with ALIMTA and vitamin B12 (165 mg/kg) treatment were 22 days and 24 days at ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively.
- 30 Body weight was used as a general measure of toxicity for each of the treatment regimens. The body weight loss pattern reflected the treatment regimens with weight decrease during the treatment times of days 7 through 11 and 14 through 18 with some

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weight recovery during the intervening two days. The weight loss due to ALITMA was dose dependent but overall minor (3%). Folic acid alone at either 6 mg/kg or 60 mg/kg did not cause weight loss, in fact folic acid treated animals maintained weight and gained weight over the course of the experiment better than the control animals. The animals

5 treated with ALIMTA (100 mg/kg) and folic acid (60 mg/kg) gained weight (about 20%) over the course of the experiment.

Administration of vitamin B12 did not prevent weight gain in the animals over the time course of the experiment. The animals treated with ALIMTA (100 mg/kg) along with vitamin B12 gained weight while those treated with ALIMTA (150 mg/kg) along

10 with vitamin B12 maintained weight over the course of the experiment.

In conclusion, administration of super-physiologic but non-toxic doses of the vitamins, folic acid and vitamin B12, did not alter the antitumor activity of ALIMTA in the human MX-1 breast carcinoma xenograft tumor in nude mice and did not increase the toxicity of ALIMTA as determined by body weight measurements of the animals.

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The effect of vitamin B12, alone or in combination with folic acid, on antifolates can be demonstrated in standard tests commonly utilized to determine the antitumor activity and toxic effects of the antifolates themselves. In one such test, mice are inoculated with the C3H strain of mammary adenocarcinoma by inserting a 2 mm by 2

20 mm section of tumor into the axillary region of the mice by trocar. The timing of administering the methylmalonic acid lowering agent, alone or in combination with the folic acid, and the antifolate may be varied. Ten animals are used at each dosage level. Antitumor activity is assessed on day ten (when day one is first dosage of antifolate) by measuring the length and width of the tumor growth using vernier calipers, and the

25 activity is expressed as a percent inhibition of tumor growth.

When the antifolate is administered to infected mice which are maintained on a diet totally free of vitamin B12 and optionally folic acid for two weeks prior to and during treatment, it exhibits moderated antitumor activity at very low doses, but also causes severe toxicity at a very low dose (measured as death of mice).

A test group of mice are maintained on a vitamin B12 and optionally folic acid free diet for two weeks before treatment. Vitamin B12 and optionally folic acid is then administered during the treatment by intramuscular injection of 0.0003% vitamin B12

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(weight/volume) and optionally providing the animals drinking water containing 0.0003% folic acid (weight/volume). This concentration translates to about 1.75 mg of vitamin B12 and optionally folic acid per square meter of body surface per day. As the foregoing results indicate, addition of the indicated level of vitamin B12 to the diet of a subject

5 receiving an antifolate results in excellent antitumor activity at low doses, with little or no toxic effects.

The foregoing tests establish that for tumor bearing mice maintained on a vitamin B12 and optionally folic acid free diet prior to and during treatment with an antifolate, the toxicity of the antifolate is very large, with 1 mg/kg/day being lethal to the majority of the

- 10 mice, and lower antitumor activity is observed at non-toxic drug doses. Very low doses of vitamin B12 partially reverses drug toxicity and improved antitumor activity. Larger doses of vitamin B12 reduce antifolate toxicity even more significantly. Pretreatment of the mouse with vitamin B12 and then administering folic acid prior to administering the antifolate demonstrates a striking reduction in toxicity, almost eliminating the antifolate
- 15 toxicity completely. Thus, the use of vitamin B12 in combination with an antifolate reduces drug toxicity without adversely affecting antitumor activity, and the use of vitamin B12 in conjunction with folic acid synergistically reduces drug toxicity.

In a typical clinical evaluation involving cancer patients, all of whom have histologically or cytologically confirmed diagnosis of cancer, an antifolate is

- 20 administered in combination with vitamin B12. Vitamin B12 is administered as a 1000 μg intramuscular injection 1-3 weeks prior to treatment with the antifolate, and 1000 μg intramuscular injection of vitamin B12 is made approximately every 9 weeks until the patient discontinues from therapy. The antifolate is administered in four doses over a two week period by rapid intravenous injection, followed by two weeks of non-therapy.
- 25 Dosing is made on days 1, 4, 8 and 11 of any two week period. Patients will have an initial course of therapy at a dose of 5 mg/m<sup>2</sup>/dose, and depending upon the toxic effects observed in the initial course, their subsequent courses may be at the same dose, or may be escalated to 6 mg/m<sup>2</sup>, or may be attenuated to 4 mg/m<sup>2</sup>.

In preparation for the foregoing clinical study, pilot studies in humans have

30 established that vitamin B12 given to patients receiving Alimta has effected reduced side effects due to the Alimta. One to two weeks prior to administration of ALIMTA urine is

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collected and blood is drawn from a human subject; and vitamin metabolite levels, methylmalonic acid and homocysteine, are determined. Homocysteine levels are determined in blood by a fluorescent polarization immunoassay kit manufactured by Abbot Laboratories. Methylmalonic acid levels are determined by urine levels using a 24

5 hour urine collection kit available from Biolab Medical Unit (a United Kingdom company). Additionally urine and blood may be collected one week prior to administration of ALIMTA (after at least 5 days of folic acid supplementation and at least 1 week vitamin B12 supplementation), and up to 4 days prior to every cycle.

#### 10 Method of administration and dosing procedures:

1. Folic Acid:

Folic acid will be supplied as one of the following options, with preference in order from option #1 to option #3:

15 1. 350 - 600 μg folic acid.

- 2. A multivitamin containing folic acid in the range of  $350 \ \mu g$  to  $600 \ \mu g$  is acceptable if option #1 is not available.
- A dose of folic acid between 350 μg and 1000 μg is acceptable if neither option #1 or option # 2 is available.
- For purposes of this study, patients should take oral folic acid daily beginning approximately 1 to 3 weeks before treatment with ALIMTA plus cisplatin or cisplatin alone and continuing daily until discontinuation from study therapy.
   Vitamin B12

h, vitamin B12

Vitamin B12 will be obtained and administered as a 1000 µg intramuscular

25 injection. A vitamin B12 injection must be administered approximately 1 to 3 weeks before treatment with ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Folic acid supplementation,  $350 - 600 \mu g$  or equivalent should be taken orally daily beginning approximately 1 to 3 weeks prior to the first dose of MTA plus cisplatin

30 and continue daily until the patient discontinues from study therapy. A vitamin B12 injection, 1000 μg, must be given intramuscularly approximately 1 to 3 weeks prior to the

first dose of ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Compare presupplementation homocysteine and methylmalonic acid levels to a) the level immediately prior to the initial dose of study drug, and b) to the level

5 immediately prior to the second dose of study drug (i.e., after a full cycle of supplementation), and compare the prevalence of specific toxicities experienced in up to the first seven cycles of therapy in patients who have been supplemented from baseline to the prevalence seen in the earlier patients (n = 246) who were not supplemented (Farber et al.)

10 Toxicity may be compared in specific patients in non-supplemented cycles versus supplemented cycles (cross-over patients).

The data to be compared are:

- 1) Patient numbers and baseline demographic data for those supplemented from baseline.
- 15 2) Homocysteine and methylmalonic acid levels, levels at baseline, prior to first dose, prior to second dose, and prior to each therapy cycle depending of the type of cancer under study.
  - 3) Grade 3 and 4 hematologic toxicity in these fully supplemented patients.
  - 4) Grade 3 and 4 nonhematologic toxicity in these fully supplemented patients.

20

The grading of toxicities in chemotherapuetic clinical trials is well known to a person of skill in the art. Examples of fatigue and skin rash grading are provided below.

## Fatigue Grading --

25	

- Neuromotor
- Grade 0 none or no change
- Grade 1 subjective weakness; no objective findings
- Grade 2 mild objective weakness without significant impairment of function
- Grade 3 objective weakness with impairment of function
- 30 Grade 4 paralysis

#### **Rash Grading --**

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#### Skin

Grade 0 none or no change

Grade 1 scattered macular or papular eruption or erythema that is asymptomatic

Grade 2 scattered macular or papular eruption or erythema with pruritus or other

5 associated eruption symptoms

Grade 3 generalized symptomatic macular, papular, or vesicular eruption

Grade 4 exfoliative dermatitis or ulcerating dermatitis

The vitamins (both folic acid and B12) to be used in the following studies may be obtained from Zenith Gold Line, Centrum, Folvite, or in Canada Apo-Folic.

Cyanocobalamin is used as the methylmalonic acid lowering agent in these studies.

Current and past clinical trials show a 4% drug-related death total, 50% grade 3/4 neutropenia, 7% grade 4 thrombocytopenia, and 10% grade 3/4 diarrheas and mucositis in patients administered ALIMTA and folic acid as described in U.S. Pat. No. 5,217,974.

- 15 Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%. The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated. The combination of vitamin B12 and folic acid has lowered the drug related grade 3/4 toxic events, see Table 1.</p>
- 20

25

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Table 1

	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N=78)
Hematologic Toxicity/Non-	37%	6.4%
Hematologic Toxicity		
Neutropenia	32%	2.6%
Mucositis	5%	1.3%
Diarrhea	6%	2.6%
Neutropenia and Mucositis	3%	0%
Neutropenia and Diarrhea	3%	0%
Neutropenia and Infection	2%	0%

Additionally, sixty-two chemonaive patients requiring chemotherapeutic treatment were divided into two groups. Seventeen of these patients received ALIMTA, but did not receive vitamin B12 or folic acid, as described *supra*. The remaining patients received treatment with vitamin B12, folic acid, and ALIMTA, as described *supra*. Of patients

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who received the combination treatment, 8 out of 45 responded to the chemotherapy. Of patients who did not receive the combination treatment, but rather, received only treatment with ALIMTA, only 1 out of 17 patients responded.

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## <u>Abstract</u>

A method of administering an antifolate to a mammal in need thereof, comprising

5 administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

## <u>PATENT APPLICATION</u> <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

First Applicant: NIYIKIZA Clet				
11				
Serial No.: 11/776,329				
Seriar 10 11///0,525				
Application Date: July 11, 2007	Conf No.: 6568			
For: NOVEL ANTIFOLATE COMBINATION THERAPIES				
Docket No.: X14173B				

#### **REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents Office of Initial Patent Examination Customer Service Center P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant requests correction of the filing receipt for this application. A copy of the receipt, with the corrections noted, is enclosed.

With the transmittal of this application, an Amendment and Petition to Correct Inventorship under 37 CFR 1.48(b) was also submitted. The filing receipt does not reflect the corrected inventorship.

Applicant believes no fees are due; however, if any fees are due, please charge any fees that may be required by this or related papers, or credit any overpayment, to Deposit Account No. 05-0840 in the name of Eli Lilly and Company. Applicant therefore requests that the filing receipt be corrected.

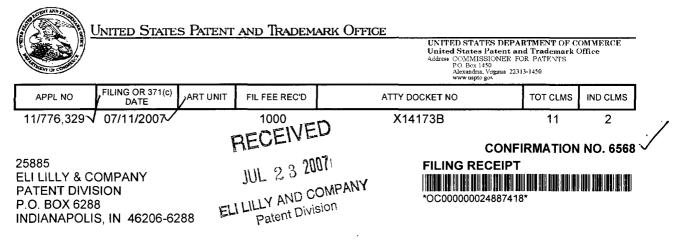
Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney/Agent for Applicant Registration No. 43,585 Phone: (317) 433-5333

Serial No. 11/776329

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288 August 7, 2007

Enclosure: Copy of Filing Receipt with the changes noted thereon.



Date Mailed: 07/18/2007

Receipt is acknowledged of this nonprovisional patent application "The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

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Power of Attorney: The patent practitioners associated with Customer Number 25885.

#### Domestic Priority data as claimed by applicant

This application is a DIV of  $11/288,807 \ 11/29/2005^{-1}$  which is a DIV of  $10/297,821 \ 12/05/2002 \ PAT \ 7,053,065$  which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of  $60/215,310 \ 06/30/2000$  and claims benefit of  $60/235,859 \ 09/27/2000 \ ABN$  and claims benefit of  $60/284,448 \ 04/18/2001 \ \sqrt{}$ 

#### **Foreign Applications**

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

# NOVEL ANTIFOLATE COMBINATION THERAPIES

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#### **Preliminary Class**

Title

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the US Government website, http://www.stopfakes.gov Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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EFS ID:	2057405			
Application Number:	11776329			
International Application Number:				
Confirmation Number:	6568			
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES			
First Named Inventor/Applicant Name:	Clet Niyikiza			
Customer Number:	25885			
Filer:	Manisha Arvind Desai/Lisa Capps			
Filer Authorized By:	Manisha Arvind Desai			
Attorney Docket Number:	X14173B			
Receipt Date:	07-AUG-2007			
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Time Stamp:	16:30:00			
Application Type:	Utility under 35 USC 111(a)			
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# Payment information:

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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO
11/776,329	07/11/2007		1000	X14173B

#### **CONFIRMATION NO. 6568**

FILING RECEIPT

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

Date Mailed: 07/18/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Clet Niyikiza, Indianapolis, IN; Paolo Paoletti, Indianapolis, IN; James Jacob Rusthoven, Ancaster, CANADA;

Power of Attorney: The patent practitioners associated with Customer Number 25885

#### Domestic Priority data as claimed by applicant

This application is a DIV of  $11/288,807 \ 11/29/2005$  which is a DIV of  $10/297,821 \ 12/05/2002 \ PAT \ 7,053,065$  which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of  $60/215,310 \ 06/30/2000$  and claims benefit of  $60/235,859 \ 09/27/2000 \ ABN$  and claims benefit of  $60/284,448 \ 04/18/2001$ 

**Foreign Applications** 

If Required, Foreign Filing License Granted:

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title

#### NOVEL ANTIFOLATE COMBINATION THERAPIES

#### **Preliminary Class**

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#### JNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION	N NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
11/776	,329	07/11/2007	Clet Niyikiza	X14173B

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288

#### CONFIRMATION NO. 6568 FORMALITIES LETTER

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office

Date Mailed: 07/18/2007

#### NOTICE TO FILE CORRECTED APPLICATION PAPERS

#### Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

• A substitute specification excluding claims in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125 is required. The substitute specification must be submitted with markings and be accompanied by a clean version (without markings) as set forth in 37 CFR 1.125(c) and a statement that the substitute specification contains no new matter (see 37 CFR 1.125(b)). Since a preliminary amendment was present on the filing date of the application and such amendment is part of the original disclosure of the application, the substitute specification must include all of the desired changes made in the preliminary amendment. See 37 CFR 1.115 and 1.215.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

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#### <u>PATENT APPLICATION</u> <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

First Applicant:	NIYIKIZA Clet	
Title:	NOVEL ANTIFOLATE COMBINATION T	HERAPIES
Docket No.:	X-14173B	

#### PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### **Introductory Comments**

Please amend the accompanying application as follows:

Amendments to the Specification are reflected on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims, which begins on page 3 of this paper.

Remarks/Arguments begin on page 6 of this paper.

#### Amendments to the Specification

At page 1, line 2, please insert the following replacement paragraph:

This application is a divisional of Application No. 11/288,807, filed 29 November 2005, which is a divisional of Application No. 10/297,821 filed 05 December 2002, now Patent Number 7,053,065, which claims priority under 35 USC 371, for PCT/US01/14860, filed 15 June 2001, which claims the priority of U.S. provisional applications No. 60/215,310, filed 30 June 2000, No. 60/235,859, filed 27 September 2000, and No. 60/284,448, filed 18 April 2001.

Please replace paragraph [0024], at page 6, lines 6-16, with the following amended paragraph:

[0024] The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced folates for binding sites of these enzymes. Preferred examples of antifolates include 5-fluorouracil, as manufactured by Glaxo; Tomudex®, as manufactured by Zeneca; Methotrexate®, as manufactured by Lederle; Lometrexol®, as manufactured by Tularik; pyrido[2,3-d]pyrimidine derivatives described by Taylor et al in U.S. Pat. Nos. 4,684,653, 4,833,145, 4,902,796, 4,871,743, and 4,882,334; derivatives described by Akimoto in U.S. Pat. No. 4,997,838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed Sodium-Disodium (ALIMTA), as manufactured by Eli Lilly & Co.

#### Amendments to the Claims

This listing of claims will replace all prior versions and listings of claims in the application.

#### Listing of Claims:

Claims 1-28. Cancelled

29. (New) An improved method for administering pemetrexed disodium to a patient in need of chemotherapeutic treatment, wherein the improvement comprises:

administration of between 350 µg and 1000 µg of folic acid, daily beginning approximately 1 to 3 weeks before treatment with pemetrexed disodium;

b) administration of a methylmalonic acid lowering agent selected from the group consisting of vitamin B<sub>12</sub>, hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent is administered from about 1 to about 3 weeks prior to the first administration of pemetrexed disodium; and

c) administration of pemetrexed disodium in combination with between 350  $\mu$ g and 1000 $\mu$ g of folic acid, daily, until administration of pemetrexed disodium is discontinued, and a methylmalonic acid lowering agent selected from the group consisting of vitamin B<sub>12</sub>, hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent administration is repeated from about every 6 weeks to about every 12 weeks, until administration of pemetrexed disodium is discontinued.

30. (New) The improved method of Claim 29 wherein the methylmalonic acid lowering agent is vitamin  $B_{12}$ .

31. (New) The improved method of Claim **30** wherein about 500 $\mu$ g to about 1500 $\mu$ g of vitamin B<sub>12</sub> is administered.

32. (New) The improved method of Claim 31 wherein about 1000  $\mu$ g of vitamin B<sub>12</sub> is administered.

33. (New) The improved method of **Claim 29** wherein the methylmalonic acid lowering agent is administered by an intramuscular injection, orally, or as a parenteral.

34. (New) The improved method of **Claim 33** wherein the methylmalonic acid lowering agent is administered by an intramuscular injection.

35. (New) The improved method of **Claim 34** wherein the methylmalonic acid lowering agent administration is repeated about every 9 weeks, until administration of pemetrexed disodium is discontinued.

36. (New) The improved method of **Claim 32** wherein vitamin  $B_{12}$  is administered by an intramuscular injection, orally, or as a parenteral.

37. (New) The improved method of Claim 36 wherein vitamin  $B_{12}$  is administered by an intramuscular injection.

38. (New) The improved method of **Claim 37** wherein the methylmalonic acid lowering agent administration is repeated about every 9 weeks, until administration of pemetrexed disodium is discontinued.

39. (New) An improved method for administering pemetrexed disodium to a patient in need of chemotherapeutic treatment, wherein the improvement comprises:

a) administration of between 350  $\mu$ g and 1000  $\mu$ g of folic acid, daily beginning approximately 1 to 3 weeks before treatment with pemetrexed disodium;

b) administration of a methylmalonic acid lowering agent selected from the group consisting of vitamin  $B_{12}$ , hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent is administered from about 1 to about 3 weeks prior to the first administration of pemetrexed disodium; and

c) administration of pemetrexed disodium in combination with between 350  $\mu$ g and 1000 $\mu$ g of folic acid, daily, until administration of pemetrexed disodium is discontinued, and a methylmalonic acid lowering agent selected from the group consisting of vitamin B<sub>12</sub>,

- 4 -

hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent is administered by an intramuscular injection and wherein administration is repeated from about every 24 hours to about every1680 hours, until administration of pemetrexed disodium is discontinued.

#### **Remarks**

Applicants submit this paper and request entry of the amendments herein.

The Specification has been amended to recite specific reference to earlier-filed applications from which this application claims priority. The Specification has also been amended to correct an obvious error in the name of the compound "Alimta," which is found on page 6, line 16. The name has been corrected to read "pemetrexed disodium." Support for the correction can be found at least on page 2, lines 6-7, where the correct name of the compound is recited.

Claims 1-28 have been cancelled, and new Claims 29-39 have been introduced. Support for new Claim 29-39 is generally found in the specification, at least on page 5, line 20 to page 6, line 5; page 6, line 19 to page 7, line 4; page 7, lines 5-8, and 18-27; page 12, lines 19-29; page 13, line 21 to page 14, line 6; as well as in the claims as originally filed. Support for the improved combination can be found at least on page 13, line 21 to page 14, line 6; as well as on page 16, lines 3-9, and Table 1. More specifically, support for each element of Claims 29-39 is listed in the table below.

Claim	Element	Basis at
29(a)	"administration of between 350µg and 1000µg of folic	Page 13, line 21 to 25.
	acid, daily beginning approximately 1 to 3 weeks before treatment with pemetrexed disodium"	
20(1-)		Dage 7 lines 5 %
29(b)	"administration of a methylmalonic acid lowering	Page 7, lines 5-8;
	agent selected from the group consisting of vitamin	Originally filed Claim 7.
	B <sub>12</sub> , hydroxocobalamin, cyano-10-chlorocobalamin,	
	aquocobalamin perchlorate, aquo-10-chlorocobalamin	
	perchlorate, azidocobalamin, chlorocobalamin and	
	cobalamin"	
29(b)	"wherein the methylmalonic acid lowering agent is	Page 7, lines 25-26.
	administered from about 1 to about 3 weeks prior to the	
	first administration of pemetrexed disodium"	
29(c)	"administration of pemetrexed disodium in	Page 5, lines 20-21;
	combination with"	Originally filed Claim 4.
29(c)	"between 350 µg and 1000µg of folic acid, daily, until	Page 13, line 21 to 25;
	administration of pemetrexed disodium is	Page 14, line 3.
	discontinued"	
29(c)	"a methylmalonic acid lowering agent selected from	Page 7, lines 5-8;
	the group consisting of vitamin $B_{12}$ , hydroxocobalamin,	Originally filed Claim 7.
	cyano-10-chlorocobalamin, aquocobalamin	
	perchlorate, aquo-10-chlorocobalamin perchlorate,	
	azidocobalamin, chlorocobalamin and cobalamin"	
29(c)	"wherein the methylmalonic acid lowering agent	Page 7, lines 26-27.
	administration is repeated from about every 6 weeks to	

		1
	about every 12 weeks, until administration of	
	pemetrexed disodium is discontinued"	
30	"methylmalonic acid lowering agent is vitamin $B_{12}$	Page 6, lines 20-21.
31	"about 500µg to about 1500µg of vitamin B <sub>12</sub> "	Page 7, lines 18-19.
32	"about 1000 μg of vitamin B <sub>12</sub> "	Page 7, lines 24-25;
		Page 12, lines 21-24;
		Page 13, lines 27-28;
		Page 14, lines 3-4.
33/36	"administered by an intramuscular injection, orally, or	Page 7, lines 9-13.
	as a parenteral"	
34/37	"administered by an intramuscular injection"	Page 7, lines 11-13, and
		18-25;
		Page 12, lines 21-24;
		Page 13, lines 27-30;
		Page 14, lines 3-6.
35/38	"methylmalonic acid lowering agent administration is	Page 7, lines 26-27;
	repeated about every 9 weeks, until administration of	Page 12, lines 23-24;
	pemetrexed disodium is discontinued"	Page 13, lines 29-30;
		Page 14, lines 5-6.
39		See basis for elements of
		Claim 29; and
		Page 7, lines 18-22.

Applicants respectfully assert that no new matter has been introduced as a result of amendment of the Claims. Applicants request prompt consideration and allowance of the claimed subject matter. If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone her at the number provided.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Phone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

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Declaration Submitted after Initial Filing	E	xaminer Name			
As a below named inventor, I hereby declare	that:				
My residence, post office address, and citizensh		w next to my name.			
I believe I am the original, first and sole Inventor below) of the subject matter which is claimed an	(if only one name is d for which a patent	listed below) or an original, f	ntitled:	ntor (if plural names are listed	
the specification of which         is attached hereto         OR         X was filed on         (MM/DD/YYYY)         Application         PCT/US01/14860         and was amended on         (MM/DD/YYYY)         I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.         I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.					
I hereby claim foreign priority benefits under Title Inventor's certificate, or § 365(a) of any PCT inte America, listed below and have also identified b PCT international application having a filing date	ernational application elow, by checking the	which designated at least or e box, any foreign application	ne country other the for patent or inve	an the United States of	
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Additional foreign application numbers a					
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60/215,310		June 2000	Additiona	I provisional application	
60/235,859				are listed on a supplemental neet attached hereto.	

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PTO/SB/01 (8-96) (MODIFIED)

Approved for use through 9/30/98. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1 56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.						
U.S. Parent						
Application Number Number (MM/DD/YYYY) (if applicable)						
Additional U.S. or PCT int	ernational application numbers are	listed on a supplemental priority sheet at	tached hereto.			

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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Thomas D. Webster	39,872
Lawrence T. Welch	29,487
Alexander Wilson	45,782
MaryAnn Wiskerchen	45,511
Dan L. Wood	48,613

Additional	registered practitioner	s) named on a suppler	mental s	neet attached	hereto.				
Direct all corresponde	nce to								
Name	ELI LILLY AND (	COMPANY							
Address	ATTN: Elizabeth	A. McGraw							
Address	Patent Division,	P.O. Box 6288							
City	INDIANAPOLIS	State	INE	IANA		ZI	P	46206-6288	
Country		Telephone			(317) 277	7-7443	Fax	(317) 276-	3861
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.									
	or First Inventor:			been filed i	for this u	nsigned	inventor		
Given Cler Name	t KU	Mide Nap			Family Name	Niyil		Suffix e.g. Jr.	
Inventor's Signati	Ire - Ali	inter th	45	<b>`</b>			Date	27 NOU	1.2002
Residence: City	Indianapolis	State	IN	0	Country	US		Citizenship	US
Address	6802 Antieta	m Place	•						
Post Office Addre	SAME AS A	BOVE							
City Indiana	polis	State	IN <sup>2</sup>	ip 4(	6278	Country	US		
<u> </u>	al Inventors are being			······					

Please type a plus sign (+) inside this box	+	
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PTO/SB/01 (8-96) (MODIFIED)

Approved for use through 9/30/98. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## DECLARATION

Name of Additio	n <mark>al Joint Inv</mark> entor, if an	iy:			A Petition ha	s been file	l for thi	s unsigned i	nventor
Given Name Paolo		Na	ddle me		Family Name	Paolett	i	Suffix e.g. Jr.	
Inventor's	alt Halls	7					Date	Dec. 4	,2002
Residence: City	ndianapolis	State	1	IN	Country	US		Citizenship	IT
Address	8015 Hayward Driv	e							
Post Office Address	SAME AS ABOVE								
city Indianap	olis	State	IN	Zip	46240	Country	US		

Name	of	Addition	nal Joint Inventor, i	f any:				A Pet	ition	has	s been filed	for this	s unsigned ir	ventor
Given Name		James	s 201	-	Middle Name	,	Jacob		Fami Nami		Rusthov	en	Suffix e.g. Jr.	
Invento Signati		Q	67 M	Z								Date	16Nov	euber 02
Reside	nce:	City A	ncaster	-	State	Or	ntario	Cour	itry	CA			Citizenship	US
Post O	ffice	Address	15 Lovers Lane				·							
Post O	ffice	Address	SAME AS ABOVI	Ę			,							
City	An	caster		State	Ontar	io	Zip	L9G	i 1G	4	Country	CA		

Name of A	Additior	nal Joint Inventor, if any:		A Petition h	as been fil	ed for thi	s unsig	ned inventor	
Given Name			Middle Name		Family Name		_	Suffix e.g. Jr.	
Inventor's Signature			1		1		Date		
Residence:	City	•····	State	Co	untry			Citizenship	
Post Office	Address								_
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City			State	Zip		Country			

Name of A	dditior	nal Joint Inventor, if any	y: [	] A Petiti	on has been	filed for th	is unsigr	ned inventor
Given Name			Middle Name		Family Name			Suffix e.g. Jr.
Inventor's Signature							Date	
Residence: C	ity	r	State		Country			Citizenship
Post Office A	ddress							
Post Office A	ddress	SAME AS ABOVE				<b></b>		
City			State	Zip		Country		

PTO/58/80 (12-83)

Approved for use through 11/30/2005, OMB 0651-0036 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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#### POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

******		************					
I hereby	appoint:						
Prac	ractitioners associated with the Customer Number: 25885						
OR	•						
Prac	titioner(s) named below (if more than ten patent pr	actitionen	s are to be named, then a cust	omer number must be used):			
	Name		Regisin	ation Number			
		*************					
	*****	****					
	r(s) or agent(s) to represent the undersigned before i patent applications assigned <u>only</u> to the undersign						
	this form in accordance with 37 CFR 3.73(b).						
Assignee	Name and Address:	************					
Eli Li	lly and Company						
Pater	nt Division						
POB	3ox 6288						
India	napolis, Indiana 46206-6288						
A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.							
	SIGNATL The individual whose signature and title is		signee of Record below is authorized to act on	behalf of the assignee			
Name	Douglas K. Norman	********	********				
Signature	Daughor K. Morrison		Date	10 August 2004			
Title	Deputy General Counsel, General Pa	stent Co	ounsel Telepho	<sup>ne</sup> 317-433-1651			
This collects	in of information is required by 37 CFR 1.31 and 1.38. Th	se informat	on is required to obtain or retain a	senefit by the public which is to file (and by the			

USPTO to process) an application. Confidentiality is governed by 35 IJ.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including galaxing, preparing, and submitting the complete application to the USPTO. Time will vary depending upon the individual case. Any comments on the anicult of time you require to complete this form and/or suggestions to reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/96 (8-96) (MODIFIED)
Approved for use through 9/30/98, OMB 0651-0027
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

First Applicant: NIYIKIZA Clet

Entitled: NOVEL ANTIFOLATE COMBINATION THERAPIES

Docket No.: X-14173B

#### ELI LILLY AND COMPANY, an Indiana Corporation

(Name of Assignee) (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.) certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. [X] An assignment from the inventor(s) of the patent application identified above.

[X] The assignment was recorded in the Patent and Trademark Office at Reel 014132, Frame 0597.[] The assignment is being submitted separately for recordation; a copy of this assignment is attached.

OR

- B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:
  - 1.
     From: \_\_\_\_\_\_ To: \_\_\_\_\_\_

     The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_\_, Frame \_\_\_\_\_\_, or for which a copy thereof is attached.
  - 2. From: \_\_\_\_\_ To: \_\_\_\_\_ The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame\_\_\_\_\_, or for which a copy thereof is attached.
  - 3. From: \_\_\_\_\_ To: \_\_\_\_\_ The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame\_\_\_\_\_, or for which a copy thereof is attached.
  - [] Additional documents in the chain of title are listed on a supplemental sheet.

[ ] Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

July 11, 2007	/Manisha A. Desai/
Date	Manisha A. Desai
	Patent Counsel

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Type or print name of person signing certification

Signature

Date

#### <u>PATENT APPLICATION</u> IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	NIYIKIZA Clet	
For:	NOVEL ANTIFOLATE COMBINATION T	HERAPIES
Docket No.:	X-14173B	

#### AMENDMENT AND PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### 1. Amendment and Petition

This amendment and petition is to delete the names of the following persons originally named as inventors and who are not the inventors of the invention now being claimed: Paolo Paoletti, of Indianapolis, Indiana, and James Jacob Rusthoven, of Ancaster, Canada.

#### 2. Claims Now On File

The claims in this application are as follows: New claims 29-39 filed on July 11, 2007

#### 3. Diligence

This amendment and petition is being filed diligently after discovery that any claims for which the above named inventors who are being deleted are now no longer the inventors of the subject matter being claimed.

#### 4. Fee Payment

Please charge \$130.00, the surcharge required by \$1.17(i), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840, in the name of Eli Lilly and Company. I enclose an original and two copies of this paper.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Telephone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

"Express Mail" mailing label number

Date of Deposit

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Printed Name

Signature

#### PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Appli	cant: NIYIKIZA Clet
Title:	NOVEL ANTIFOLATE COMBINATION THERAPIES
Docket No	: X-14173B

#### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure, Applicants submit an "Information Disclosure Citation In An Application" on a Form PTO-1449 (modified) for consideration by the Examiner. As permitted by 37 C.F.R. §1.98(d), Applicants refer to application Serial No. 11/288,807, filed November 29, 2005, for copies of the listed documents. Since this Statement is being filed in accordance with 37 C.F.R. 1.97(b), Applicants submit that no additional fee is required.

Applicants request consideration of this information.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Telephone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

FORM PT	O 1449 (	(modified)		Atty. Doc X-14173E			Serial No		
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		<u>U</u>	I.S. PAT	L FENT DO	OCUME	NTS			
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if know		Publication MM-DD-Y		Applicant of	of Patentee or of Cited Document	Where R or Rele	olumns, Lines elevant Pages vant Figures Appear
	AA	US 5,405,839	4/ 3	11/1995		Tetsuo, e	t al.		
	AB	US 5,431,925	07/	/00/1995		Ohmori,	et al.		
	AC	US 5,563,126	10/	/8/1996		Allen, et	al.		
	AD	US 5,736,402	4/7	/1998		Francis, e	et al.		
	AE	US 6,207,651	3/2	27/2001		Allen, et	al.		
	AF	US 6,297,224	10/	/2/2001		Allen, et	al.		
	AG	US 6,528,496	3/4	/2003		Allen, et	al.		
	AH	US 03/0216350	11/	/20/2003		Allen, et	al.		
	Al	US 03/0225030	12/	/4/2003		Allen, et	al.		
	AJ	US 2,920,015	01/	/1960		Thompso	on, Robert E.		
	AK	US 2004/0005311 Al	01/	/2004		Pitman, H	Bradford D.		
	AL	US 5,344,932	09/	/1994		Taylor, Ec	lward C.		
	AM	US 7,053,065	05/	/2006		Niyikiza,	et al.		
	1	EOD	FICN	PATENT	DOCU	MENTS		1	
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4-</sup>	Public	cation Date	Name of Applicar	Patentee or nt of Cited ument	Pages, Columns, Lir Relevant Passages c	r Relevant	т <sup>6</sup>
	BA	Kind Code5 (if known)           EP 0 546 870	мм-л 6/16/19	DD-YYYY 193	EPO		Figures App	ear	

Examiner	Date Considered	
Signature		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

document by the appropriate symbols as indicated on the document under WPO Standard ard ST. 16 if possible. <sup>6</sup>Application is to place a check mark here if English language Translation is attached. Burden Hours Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sheet 2 of 2

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	т6
Initials*	No. 1	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published.	1
	CA	Calvert H.: "Folate status and the safety profile of antifolates", Seminars in Oncology, 2002, 29/2 Suppl. 5, pp. 3-7, XP008005755	
	СВ	Calvert H.: "Future directions in the development of pemetrexed", Seminars in Oncology, 2002, 29/2 Suppl. 5, pp. 54-61, XP008005744	
	CC	Westerhof, et al: "Carrier-and receptor-mediated transport of folate antagonists targeting folate-dependent enzymes: correlates of molecularstructure and biological activity", Mol. Pharmacology, 1995, 48(3), pp. 459- 71, XP008005762	
	CD	Worzalla, et a]: "Role of folic acid in modulating the toxicity and efficacy of the multitargeted antifolate, LY231514", Anticancer Research (1998), 18(5A), pp. 3235-3239, XP008005757	
	CE	Hanauske, et al: "Pemetrexed disodium: A novel antifolate clinically active against multiple solid tumors", Oncologist, Alphamed Press, US, Vol. 4, No. 6, 2001, pp. 363-373, XP008005751	
	CF	Bunn, et al: "Vitamin B 12 and folate reduce toxicity of Alimta (pemetrexed disodium, LY 231514, MTA), a novel antifolate/antimetabolite", Program/Proceedings - American Society of Clinical Oncology, the Society, US, Vol. 76A, No. 20, 2001, page 300, XPO08005885	
	CG	Dierkes, et al., Supplementation with Vitamin B12 Decreases Homocystein and Methylmalonic Acid but Also Serum Folate in Patients with End-Stage Renal Disease. Metabolism. May 1999. Vol. 48, No. 5, pages 631-635. See: abstract.	
	СН	Arsenyan et al. (Abstract: Onkol. Nauchn., (1978) 12(10):49-54	
	Cl	John, et al. (Cancer 2000, 88: 1807-13)	
	CJ	Poydock et al., "Growth-inhibiting effect of hydroxocobaltniin and L-ascorbic acid on two solid tumors in mce", IRCS Medical _Science, Vol. 12, No. 9, pp. 813 (1984).	
	СК	The Cecil Reference, TEXTBOOK of MEDICINE, 21st Edition (2000). Chapter 198. pps. 1060-1074.	

Examiner		Date Considered	
Signature			
*EXAMINER: Init	ial if reference considered, whether or not citation is in conformance with MPEP 609. Dra	w line through citation if no	t in conformance and not considered. Include copy of

this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the

two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. Burden Hours Statement: This form is estimated to take 20 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Electronic Patent Application Fee Transmittal						
Application Number:						
Filing Date:						
Title of Invention:	NC	OVEL ANTIFOLAT	E COMBINATI	ON THERAPIES		
First Named Inventor/Applicant Name:	CI	et Niyikiza				
Filer:	Ма	anisha Arvind Desa	ai/Lisa Capps			
Attorney Docket Number:	X-	14173B				
Filed as Large Entity						
Utility Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Utility application filing		1011	1	300	300	
Utility Search Fee		1111	1	500	500	
Utility Examination Fee		1311	1	200	200	
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:				va – Fresen		

Exhibit 1002-00425

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tota	al in USC	) (\$)	1000

Electronic Acl	knowledgement Receipt
EFS ID:	1962281
Application Number:	11776329
International Application Number:	
Confirmation Number:	6568
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES
First Named Inventor/Applicant Name:	Clet Niyikiza
Customer Number:	25885
Filer:	Manisha Arvind Desai/Lisa Capps
Filer Authorized By:	Manisha Arvind Desai
Attorney Docket Number:	X-14173B
Receipt Date:	11-JUL-2007
Filing Date:	
Time Stamp:	17:06:59
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	yes				
Payment was successfully received in RAM	\$1000				
RAM confirmation Number	1835				
Deposit Account	050840				
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Charge any Additional Fees required under 37	C.F.R. Section 1.16 and 1.17				

### File Listing:

Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.
			129154		4
1	Transmittal of New Application	X14173BTransmittal.pdf	19a1005eee70a4910f01583eb9e90bba 92d1093c	no	1
Warnings:					
Information:					
2		X14173publishedAppl.pdf	1138024	yes	21
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8	Information Disclosure Statement	X14173BIDS.pdf -	72699	no	
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		Total Files Size (in bytes)	22	13339	

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Acknowledgement Receipt				
EFS ID:	1962281			
Application Number:	11776329			
International Application Number:				
Confirmation Number:	6568			
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES			
First Named Inventor/Applicant Name:	Clet Niyikiza			
Customer Number:	25885			
Filer:	Manisha Arvind Desai/Lisa Capps			
Filer Authorized By:	Manisha Arvind Desai			
Attorney Docket Number:	X-14173B			
Receipt Date:	11-JUL-2007			
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(54) Title: NOVEL ANTIFOLATE COMBINATION THERAPIES

(57) Abstract: A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount
 of said antifolate in combination with a methylmalonic acid lowering agent.

### NOVEL ANTIFOLATE COMBINATION THERAPIES

5 Potentially, life-threatening toxicity remains a major limitation to the optimal administration of antifolates. (see, generally, <u>Antifolate Drugs in Cancer Therapy</u>, edited by Jackman, Ann L., Humana Press, Totowa, NJ, 1999.) In some cases, a supportive intervention is routinely used to permit safe, maximal dosing. For example, steroids, such as dexamethone, can be used to prevent the formation of skin rashes caused by the

10 antifolate. (Antifolate, pg 197.)

Antifolates represent one of the most thoroughly studied classes of antineoplastic agents, with aminopterin initially demonstrating clinical activity approximately 50 years ago. Methotrexate was developed shortly thereafter, and today is a standard component of effective chemotherapeutic regimens for malignancies such as lymphoma, breast cancer,

- 15 and head and neck cancer. (Bonnadonna G, Zambetti M, Valagussa P. Sequential or alternating doxorubicin and CMF regimens in breast cancer with more than three positive nodes: Ten year results. JAMA 1995;273(7):542-547; Bonnadonna G, Valagussa P, Moliterni A, Zambetti M, Brambilla C. Adjuvant cyclophosphamide, methotrexate, and fluorouracil in node-positive breast cancer: The results of 20 years of follow-up. N Engl J
- 20 Med 1995;332(14):901-906; and Hong WK, Schaefer S, Issell B, et al. A prospective randomized trial of methotrexate versus cisplatin in the treatment of recurrent squamous cell carcinoma of the head and neck. Cancer 1983;52:206-210.) Antifolates inhibit one or several key folate-requiring enzymes of the thymidine and purine biosynthetic pathways, in particular, thymidylate synthase (TS), dihydrofolate reductase (DHFR), and glycinamide
- ribonucleotide formyltransferase (GARFT), by competing with reduced folates for binding sites of these enzymes. (Shih C, Habeck LL, Mendelsohn LG, Chen VJ, Schultz RM. Multiple folate enzyme inhibition: Mechanism of a novel pyrrolopyrimidine-based antifolate LY231514 (MTA). Advan Enzyme Regul, 1998; 38:135-152 and Shih C, Chen VJ, Gossett LS, et al. LY231514, a pyrrolo[2,3-d]pyrimidine-based antifolate that inhibits
- 30 multiple folate-requiring enzymes. Cancer Res 1997;57:1116-1123.) Several antifolate drugs are currently in development. Examples of antifolates that have thymidylate

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synthase inhibiting ("TSI") characteristics include 5-fluorouracil and Tomudex®. An example of an antifolate that has dihydrofolate reductase inhibiting ("DHFRI") characteristic is Methotrexate®. An example of an antifolate that has glycinamide ribonucleotide formyltransferase inhibiting ("GARFTI") characteristics is Lometrexol.

- 5 Many of these antifolate drugs inhibit more than one biosynthetic pathway. For example Lometrexol is also an inhibitor of dihydrofolate reductase and pemetrexed disodium (Alimta®, Eli Lilly and Company, Indianapolis, IN) has demonstrated thymidylate synthase, dihydrofolate reductase, and glycinamide ribonucleotide formyltransferase inhibition.
- 10 A limitation to the development of these drugs is that the cytotoxic activity and subsequent effectiveness of antifolates may be associated with substantial toxicity for some patients. Additionally antifolates as a class are associated with sporadic severe mylosuppression with gastrointestinal toxicity which, though infrequent, carries a high risk of mortality. The inability to control these toxicities led to the abandonment of clinical
- 15 development of some antifolates and has complicated the clinical development of others, such as Lometrexol and raltitrexed. (Jackman AL, Calvert AH Folate-Based Thymidylate Synthase Inhibitors as Anticancer Drugs. Ann Oncol 1995;6(9):871-881; Laohavinij S, Wedge SR, Lind MJ, et al. A phase I clinical study of the antipurine antifolate Lometrexol (DDATHF) given with oral folic acid. Invest New Drugs 1996;14:325-335; and Maughan
- 20 TS, James RD, Kerr D, et al., on behalf of the British MRC Colorectal Cancer Working Party. Preliminary results of a multicenter randomized trial comparing 3 chemotherapy regimens (deGramont, Lokich, and raltitrexed) in metastatic colorectal cancer. Proc ASCO 1999;18:Abst 1007.)

Initially, folic acid was used as a treatment for toxicities associated with GARFTI

- 25 see, e.g. U.S. Pat. No. 5,217,974. Folic acid has been shown to lower homocysteine levels (see e.g. Homocysteine Lowering Trialist's Collaboration. Lowering blood homocysteine with folic acid based supplements: meta-analysis of randomized trials. BMJ 1998;316:894-898 and Naurath HJ, Joosten E, Riezler R, Stabler SP, Allen RH, Lindenbaum J. Effects of vitamin B12, folate and vitamin B6 supplements in elderly
- 30 people with normal serum vitamin concentrations. Lancet 1995;346:85-89), and homocysteine levels have been shown to be a predictor of cytotoxic events related to the

use of GARFT inhibitors, see e.g. U.S. Pat. No. 5,217,974. However, even with this treatment, cytotoxic activity of GARFT inhibitors and antifolates as a class remains a serious concern in the development of antifolates as pharmaceutical drugs. The ability to lower cytotoxic activity would represent an important advance in the use of these agents.

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Surprisingly and unexpectedly, we have now discovered that certain toxic effects such as mortality and nonhematologic events, such as skin rashes and fatigue, caused by antifolates, as a class, can be significantly reduced by the presence of a methylmalonic acid lowering agent, without adversely affecting therapeutic efficacy. The present invention thus provides a method for improving the therapeutic utility of antifolate drugs by

- 10 administering to the host undergoing treatment with a methylmalonic acid lowering agent. We have discovered that increased levels of methylmalonic acid is a predictor of toxic events in patients that receive an antifolate drug and that treatment for the increased methylmalonic acid, such as treatment with vitamin B12, reduces mortality and nonhematologic events, such as skin rashes and fatigue events previously associated with
- 15 the antifolate drugs.

Additionally, we have discovered that the combination of a methylmalonic acid lowering agent and folic acid synergistically reduces the toxic events associated with the administration of antifolate drugs. Although, the treatment and prevention of cardiovascular disease with folic acid in combination with vitamin B12 is known, the use

20 of the combination for the treatment of toxicity associated with the administration of antifolate drugs was unknown heretofore.

The present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

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Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of inhibiting tumor growth 30 in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

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Furthermore, the present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

5 Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid
lowering agent, alone or in combination with a FBP binding agent, in the preparation of a
medicament useful in lowering the mammalian toxicity of an antifolate. A preferred FBP
binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

25 Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the manufacture of a medicament for use in a method of inhibiting tumor growth in mammals, which method comprises administering said methylmalonic acid lowering agent in combination with an antifolate.

Furthermore, the present invention relates to a product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.

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The current invention concerns the discovery that administration of a methylmalonic acid lowering agent in combination with an antifolate drug reduces the toxicity of the said antifolate drug.

The term "inhibit" as it relates to antifolate drugs refers to prohibiting, alleviating, ameliorating, halting, restraining, slowing or reversing the progression of, or reducing tumor growth.

As used herein, the term "effective amount" refers to an amount of a compound or drug, which is capable of performing the intended result. For example, an effective amount of an antifolate drug that is administered in an effort to reduce tumor growth is that amount which is required to reduce tumor growth.

As used herein, the term "toxicity" refers to a toxic event associated with the administration on an antifolate. Such events include, but are not limited to, neutropenia, thrombopenia, toxic death, fatigue, anorexia, nausea, skin rash, infection, diarrhea, mucositis, and anemia. For further explanation of the types of toxicity experienced by

15 patients receiving antifolates, see, generally, <u>Antifolate Drugs in Cancer Therapy</u>. Preferably, toxicity refers to toxic death, fatigue, neutropenia, thrombopenia, and mucositis.

As used herein, the term "nonhematologic event" refers to the occurrence of skin rash or fatigue due to the administration of an antifolate.

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As used herein, the term "in combination with" refers to the administration of the methylmalonic acid lowering agent, the antifolate drug, and optionally the folic acid; in any order such that sufficient levels of methylmalonic acid lowering agent and optionally folic acid are present to reduce the toxicity of an antifolate in a mammal. The administration of the compounds maybe simultaneous as a single composition or as two separate

25 compositions or can be administered sequentially as separate compositions such that an effective amount of the agent first administered is in the patient's body when the second and/or third agent is administered. The antifolate drug may be administered to the mammal first, followed by treatment with the methylmalonic acid lowering agent. Alternatively, the mammal may be administered the antifolate drug simultaneously with the

30 methylmalonic acid lowering agent. Preferably, the mammal is pretreated with the methylmalonic acid lowering agent and then treated with the antifolate. If folic acid is to

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be administered in addition to the methylmalonic acid lowering agent, the folic acid may be administered at any time prior, post, or simultaneously to the administration of either the methylmalonic acid lowering agent or the antifolate. Preferably, the mammal is pretreated with the methylmalonic acid, and then treated with folic acid, followed by treatment with the antifolate compound

5 the antifolate compound.

The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced

- 10 folates for binding sites of these enzymes. Preferred examples of antifolates include 5fluorouracil, as manufactured by Glaxo; Tomudex®, as manufactured by Zeneca; Methotrexate®, as manufactured by Lederle; Lometrexol®, as manufactured by Tularik; pyrido[2,3-d]pyrimidine derivatives described by Taylor et al. in U.S. Pat. Nos. 4684653, 4833145, 4902796, 4871743, and 4882,334; derivatives described by Akimoto in U.S.
- 15 Pat. No. 4997838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed Sodium (ALIMTA), as manufactured by Eli Lilly & Co.

The terms "methylmalonic acid" and "MMA" refer to a structural isomer of succinic acid present in minute amounts in healthy human urine.

The term "methylmalonic acid lowering agent" refers to a substrate, which lowers the concentration of methylmalonic acid in a mammal. A preferred example of such a substrate is vitamin B12. For methods of determining methylmalonic acid and substrates therefore, see, e.g., Matchar DB, Feussner JR, Millington DS, et al. Isotope dilution assay for urinary methylmalonic acid in the diagnosis of vitamin B12 deficiency. A prospective clinical evaluation. Ann Intern Med 1987; 106: 707-710; Norman EJ, Morrison JA.

- 25 Screening elderly populations for cobalamin (vitamin B12) deficiency using the urinary methylmalonic acid assay by gas chromatography mass spectrometry. Am J Med 1993; 94: 589-594; Norman EJ. Gas Chromatography mass spectrometry screening of urinary methylmalonic acid: early detection of vitamin B12 (cobalamin) deficiency to prevent permanent neurologic disability. GC/MS News 1984; 12:120-129; Martin DC, Francis J,
- 30 Protetch J, Huff FJ. Time dependency of cognitive recovery with cobalamin replacement: report of a pilot study. JAGS 1992; 40: 168-172; Norman EJ, Cronin C. Cobalamin

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deficiency. Neurol 1996; 47: 310-311; Rasmussen K, Moelby I, Jensen MK. Studies on methylmalonic acid in humans; Savage DG, Lindenbaum J, Stabler SP, Allen RH. Sensitivity of methylmalonic acid and total homocysteine determination for diagnosing cobalamin and folate deficiency. Am J Med 1994; 96: 239-246.

The term "vitamin B12" refers to vitamin B12 and its pharmaceutical derivatives, such as hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin. Preferably the term refers to vitamin B12, cobalamin, and chlorocobalamin.

The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, such as a sustained release formulation, as an aqueous solution added to drinking water, or as an aqueous parenteral formulation. Preferably the methylmalonic acid lowering agent is administered as an intramuscular injection formulation. Such formulations are known in the art and are commercially available.

The skilled artisan will appreciate that the methylmalonic lowering agents are

15 effective over a wide dosage range. For example, when cobalamin is used as the methylmalonic lowering agent, the dosage of cobalamin may fall within the range of about 0.2 µg to about 3000 µg of cobalamin from once daily for a month to once every nine weeks for a year. Preferably, cobalamin will be dosed as an intramuscular injection of about 500 µg to about 1500 µg administered from about every 24 hours to about every

20 1680 hours. Preferably, it is an intramuscular injection of about 1000 µg administered initially from about 1 to about 3 weeks prior to administration of the antifolate and repeated from about every 24 hours to about every 1680 hours, regardless of when treatment with the antifolate is started and continued until the administration of the antifolate is discontinued. Most preferred is an intramuscular injection of about 1000 µg

- 25 administered initially from about 1 to about 3 weeks prior to the first administration of the antifolate and repeated every 6 to 12 weeks, preferably about every 9 weeks, and continued until the discontinuation of the antifolate administrations. However, it will be understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances,
- 30 including the condition to be treated, the chosen route of administration, the actual agent

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administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.

The term "FBP binding agent" as used herein refers to a folic binding protein binding agent which includes folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof. This latter compound is the (6R)-isomer of leucovorin as disclosed in J. Am.

- 10 Chem. Soc., 74, 4215 (1952). Both of the tetrahydrofolic acid compounds are in the unnatural configuration at the 6-position. They are 10-20 fold more efficient in binding the folate binding protein compared with their respective (6S)—isomer, see Ratnam, et. al., Folate and Antifolate Transport in Mammalian Cells Symposium, Mar. 21-22, 1991, Bethesda, MD. These compounds are usually prepared as a mixture with their natural
- 15 form (6S) of diastereomers by non-stereoselective reduction from the corresponding dehydro precursors followed by separation through chromatographic or enzymatic techniques. See e.g. PCT Patent Application Publication WO 880844 (also Derwent Abstract 88-368464/51) and Canadian Patent 1093554. See, e.g. Dietary Reference Intakes for Thiamin, Riboflavin, Niacin, Vitamin B6, Folate, Vitamin B12, Pantothenic

20 Acid, Biotin, and Choline (2000), 8 Folate, pp. 196-305.

"Physiologically-available salt" refers to potassium, sodium, lithium, magnesium, or preferably a calcium salt of the FBP binding agent. "Physiologically-available...ester" refers to esters which are easily hydrolyzed upon administration to a mammal to provide the corresponding FBP binding agent free acid, such as  $C_1$ - $C_4$  alkyl esters, mixed anhydrides, and the like.

The FBP binding agent to be utilized according to this invention can be in its free acid form, or can be in the form of a physiologically-acceptable salt or ester which is converted to the parent acid in a biological system. The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, preferably as a

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sustained release formulation, as an aqueous solution added to drinking water, an aqueous parenteral formulation, e.g., an intravenous formulation, or the like.

The FBP binding agent is usually administered to the subject mammal prior to treatment with the antifolate. Pretreatment with the suitable amount of FBP binding agent from about 1 to about 24 hours is usually sufficient to substantially bind to and block the folate binding protein prior to administration of the antifolate. Although one single dose of the FBP binding agent, preferably an oral administration of folic acid, should be sufficient to load the folate binding protein, multiple dosing of the FBP binding agent can be employed for periods up to weeks before treatment with the active agent to ensure that

10 the folate binding protein is sufficiently bound in order to maximize the benefit derived from such pretreatment.

In the especially preferred embodiment of this invention, about 0.1 mg to about 30 mg, most preferably about 0.3 mg to about 5 mg, of folic acid is administered orally to a mammal about 1 to 3 weeks post administration of the methylmalonic acid lowering agent

- 15 and about 1 to about 24 hours prior to the parenteral administration of the amount of an antifolate. However, it will be understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the
- 20 individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.
- 25 In general, the term "pharmaceutical" when used as an adjective means substantially non-toxic to living organisms.

#### Methods

To assess the effect of a methylmalonic acid lowering agent, alone or in 30 combination with folic acid on the antitumor efficacy of an antifolate in a human tumor xenograft model, female nude mice bearing human MX-1 breast carcinoma were treated

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with ALIMTA alone or along with super-physiologic doses of folic acid or vitamin B12 (cobalamin).

The animals were maintained on sterilized standard lab chow ad libitum and sterilized water ad libitum. The human MX-1 tumor cells ( $5 \ge 10^6$ ) obtained from donor tumors were implanted subcutaneously in a thigh of female nude mice 8- to 10-weeks old. Beginning on day 7 post tumor cell implantation, the animals were treated with ALIMTA (100 mg/kg or 150 mg/kg) once daily on days 7 through 11 and 14 through 18 by intraperitoneal injection alone or along with folic acid (6 mg/kg or 60 mg/kg) and/or vitamin B12 (165 mg/kg) by intraperitoneal injection on the same schedule.

10 Tumor response was monitored by tumor volume measurements twice weekly over the course of the experiment. Toxicity was monitored by body weight measurements made at the same time as the tumor volume measurements. Tumor growth delay was the difference in days for the treated and the controls tumors to reach 1000 mm<sup>3</sup>.

The human MX-1 breast carcinoma xenograft was responsive to treatment with ALIMTA with doses of 100 mg/kg and 150 mg/kg producing tumor growth delays of 17 days and 21 days, respectively. Folic acid was administered to the animals alone at two doses 6 mg/kg and 60 mg/kg on the same schedule as ALIMTA and produced tumor growth delays of 7 days and 12 days, respectively. Vitamin B12 administered alone at a dose of 165 mg/kg resulted in a tumor growth delay of 12 days.

20 Combinations of ALIMTA at each of the two doses were administered along with each of the vitamins as simultaneous combination regimens. Administration of folic acid (6 mg/kg) along with ALIMTA did not alter the tumor growth delay produced from that obtained with ALIMTA alone. The addition of folic acid at the higher dose (60 mg/kg) along with each dose of ALIMTA resulted in small increases in tumor growth delay to 22

25 days and 23 days at the ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively. The tumor growth delays with ALIMTA and vitamin B12 (165 mg/kg) treatment were 22 days and 24 days at ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively.

Body weight was used as a general measure of toxicity for each of the treatment regimens. The body weight loss pattern reflected the treatment regimens with weight decrease during the treatment times of days 7 through 11 and 14 through 18 with some weight recovery during the intervening two days. The weight loss due to ALITMA was dose dependent but overall minor (3%). Folic acid alone at either 6 mg/kg or 60 mg/kg did not cause weight loss, in fact folic acid treated animals maintained weight and gained weight over the course of the experiment better than the control animals. The animals

5 treated with ALIMTA (100 mg/kg) and folic acid (60 mg/kg) gained weight (about 20%) over the course of the experiment.

Administration of vitamin B12 did not prevent weight gain in the animals over the time course of the experiment. The animals treated with ALIMTA (100 mg/kg) along with vitamin B12 gained weight while those treated with ALIMTA (150 mg/kg) along with vitamin B12 maintained weight over the course of the experiment.

In conclusion, administration of super-physiologic but non-toxic doses of the vitamins, folic acid and vitamin B12, did not alter the antitumor activity of ALIMTA in the human MX-1 breast carcinoma xenograft tumor in nude mice and did not increase the toxicity of ALIMTA as determined by body weight measurements of the animals.

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The effect of vitamin B12, alone or in combination with folic acid, on antifolates can be demonstrated in standard tests commonly utilized to determine the antitumor activity and toxic effects of the antifolates themselves. In one such test, mice are inoculated with the C3H strain of mammary adenocarcinoma by inserting a 2 mm by 2 mm

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section of tumor into the axillary region of the mice by trocar. The timing of administering the methylmalonic acid lowering agent, alone or in combination with the folic acid, and the antifolate may be varied. Ten animals are used at each dosage level. Antitumor activity is assessed on day ten (when day one is first dosage of antifolate) by measuring the length and width of the tumor growth using vernier calipers, and the activity is expressed as a percent inhibition of tumor growth.

When the antifolate is administered to infected mice which are maintained on a diet totally free of vitamin B12 and optionally folic acid for two weeks prior to and during treatment, it exhibits moderated antitumor activity at very low doses, but also causes severe toxicity at a very low dose (measured as death of mice).

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A test group of mice are maintained on a vitamin B12 and optionally folic acid free diet for two weeks before treatment. Vitamin B12 and optionally folic acid is then WO 02/02093

PCT/US01/14860

administered during the treatment by intramuscular injection of 0.0003% vitamin B12 (weight/volume) and optionally providing the animals drinking water containing 0.0003% folic acid (weight/volume). This concentration translates to about 1.75 mg of vitamin B12 and optionally folic acid per square meter of body surface per day. As the foregoing

5 results indicate, addition of the indicated level of vitamin B12 to the diet of a subject receiving an antifolate results in excellent antitumor activity at low doses, with little or no toxic effects.

The foregoing tests establish that for tumor bearing mice maintained on a vitamin B12 and optionally folic acid free diet prior to and during treatment with an antifolate, the toxicity of the antifolate is very large, with 1 mg/kg/day being lethal to the majority of the mice, and lower antitumor activity is observed at non-toxic drug doses. Very low doses of vitamin B12 partially reverses drug toxicity and improved antitumor activity. Larger doses of vitamin B12 reduce antifolate toxicity even more significantly. Pretreatment of the mouse with vitamin B12 and then administering folic acid prior to administering the

15 antifolate demonstrates a striking reduction in toxicity, almost eliminating the antifolate toxicity completely. Thus, the use of vitamin B12 in combination with an antifolate reduces drug toxicity without adversely affecting antitumor activity, and the use of vitamin B12 in conjunction with folic acid synergistically reduces drug toxicity.

In a typical clinical evaluation involving cancer patients, all of whom have

- 20 histologically or cytologically confirmed diagnosis of cancer, an antifolate is administered in combination with vitamin B12. Vitamin B12 is administered as a 1000 µg intramuscular injection 1-3 weeks prior to treatment with the antifolate, and 1000 µg intramuscular injection of vitamin B12 is made approximately every 9 weeks until the patient discontinues from therapy. The antifolate is administered in four doses over a two
- 25 week period by rapid intravenous injection, followed by two weeks of non-therapy. Dosing is made on days 1, 4, 8 and 11 of any two week period. Patients will have an initial course of therapy at a dose of 5 mg/m<sup>2</sup>/dose, and depending upon the toxic effects observed in the initial course, their subsequent courses may be at the same dose, or may be escalated to 6 mg/m<sup>2</sup>, or may be attenuated to 4 mg/m<sup>2</sup>.

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In preparation for the foregoing clinical study, pilot studies in humans have established that vitamin B12 given to patients receiving Alimta has effected reduced side effects due to the Alimta. One to two weeks prior to administration of ALIMTA urine is collected and blood is drawn from a human subject; and vitamin metabolite levels,

- 5 methylmalonic acid and homocysteine, are determined. Homocysteine levels are determined in blood by a fluorescent polarization immunoassay kit manufactured by Abbot Laboratories. Methylmalonic acid levels are determined by urine levels using a 24 hour urine collection kit available from Biolab Medical Unit (a United Kingdom company). Additionally urine and blood may be collected one week prior to administration of
- 10 ALIMTA (after at least 5 days of folic acid supplementation and at least 1 week vitamin B12 supplementation), and up to 4 days prior to every cycle.

#### Method of administration and dosing procedures:

15 1. Folic Acid:

Folic acid will be supplied as one of the following options, with preference in order from option #1 to option #3:

- 1. 350 600 µg folic acid.
- 2. A multivitamin containing folic acid in the range of 350  $\mu$ g to 600  $\mu$ g is
- 20
- acceptable if option #1 is not available.
  - A dose of folic acid between 350 μg and 1000 μg is acceptable if neither option #1 or option # 2 is available.

For purposes of this study, patients should take oral folic acid daily beginning approximately 1 to 3 weeks before treatment with ALIMTA plus cisplatin or cisplatin

- 25 alone and continuing daily until discontinuation from study therapy.
  - 2. Vitamin B12

Vitamin B12 will be obtained and administered as a 1000  $\mu$ g intramuscular injection. A vitamin B12 injection must be administered approximately 1 to 3 weeks before treatment with ALIMTA and should be repeated approximately every 9 weeks until

30 the patient discontinues from study therapy.

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Folic acid supplementation,  $350 - 600 \mu g$  or equivalent should be taken orally daily beginning approximately 1 to 3 weeks prior to the first dose of MTA plus cisplatin and continue daily until the patient discontinues from study therapy. A vitamin B12 injection, 1000  $\mu g$ , must be given intramuscularly approximately 1 to 3 weeks prior to the

5 first dose of ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Compare presupplementation homocysteine and methylmalonic acid levels to a) the level immediately prior to the initial dose of study drug, and b) to the level immediately prior to the second dose of study drug (i.e., after a full cycle of supplementation), and

10 compare the prevalence of specific toxicities experienced in up to the first seven cycles of therapy in patients who have been supplemented from baseline to the prevalence seen in the earlier patients (n = 246) who were not supplemented (Farber et al.)

Toxicity may be compared in specific patients in non-supplemented cycles versus supplemented cycles (cross-over patients).

15 The data to be compared are:

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- Patient numbers and baseline demographic data for those supplemented from baseline.
- Homocysteine and methylmalonic acid levels, levels at baseline, prior to first dose, prior to second dose, and prior to each therapy cycle depending of the type of cancer under study.
- 3) Grade 3 and 4 hematologic toxicity in these fully supplemented patients.
- 4) Grade 3 and 4 nonhematologic toxicity in these fully supplemented patients.

The grading of toxicities in chemotherapuetic clinical trials is well known to a person of skill in the art. Examples of fatigue and skin rash grading are provided below. ١.

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### Fatigue Grading --

Neuromotor

- Grade 0 none or no change
- Grade 1 subjective weakness; no objective findings
- 5 Grade 2 mild objective weakness without significant impairment of function
  - Grade 3 objective weakness with impairment of function
  - Grade 4 paralysis

#### **Rash Grading** --

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Grade 0 none or no change

Skin

Grade 1 scattered macular or papular eruption or erythema that is asymptomatic

Grade 2 scattered macular or papular eruption or erythema with pruritus or other associated eruption symptoms

Grade 3 generalized symptomatic macular, papular, or vesicular eruption
 Grade 4 exfoliative dermatitis or ulcerating dermatitis

The vitamins (both folic acid and B12) to be used in the following studies may be obtained from Zenith Gold Line, Centrum, Folvite, or in Canada Apo-Folic.

20 Cyanocobalamin is used as the methylmalonic acid lowering agent in these studies.

Current and past clinical trials show a 4% drug-related death total, 50% grade 3/4 neutropenia, 7% grade 4 thrombocytopenia, and 10% grade 3/4 diarrheas and mucositis in patients administered ALIMTA and folic acid as described in U.S. Pat. No. 5,217,974. Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related

25 toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%. The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated. The combination of vitamin B12 and folic acid has lowered the drug related grade 3/4 toxic events, see Table 1.</p>

	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N=78)	
Hematologic Toxicity/Non-	37%	6.4%	
Hematologic Toxicity			
Neutropenia	32%	2.6%	
Mucositis	5%	1.3%	
Diarrhea	6%	2.6%	
Neutropenia and Mucositis	3%	0%	
Neutropenia and Diarrhea	3%	0%	
Neutropenia and Infection	2%	0%	

Table 1

Additionally, sixty-two chemonaive patients requiring chemotherapeutic treatment were divided into two groups. Seventeen of these patients received ALIMTA, but did not receive vitamin B12 or folic acid, as described *supra*. The remaining patients received treatment with vitamin B12, folic acid, and ALIMTA, as described *supra*. Of patients who received the combination treatment, 8 out of 45 responded to the chemotherapy. Of patients who did not receive the combination treatment, but rather, received only treatment with ALIMTA, only 1 out of 17 patients responded.

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### We Claim:

 A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a
 methylmalonic acid lowering agent.

2. A method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

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3. A method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

15 4. A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and FBP binding agent.

A method of reducing the toxicity associated with the administration of an
 antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and FBP binding agent.

A method of inhibiting tumor growth in manimals comprising administering
 to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent and FBP binding agent.

 A method of any one of claims 1-6 wherein the methylmalonic acid lowering agent is selected from the group consisting of hydroxocobalamin, cyano-10 chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.

8. A method of any one of claims 4-6 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

9. A method of any one of claims 1-8 wherein the antifolate is ALIMTA.

10. A method of any one of claims 1-9 wherein the mammal is pretreated with10 methylmalonic acid lowering agent.

11. The use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

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12. The use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

20 13. The use any one of claims 11-12 wherein the methylmalonic acid lowering agent is selected from the group consisting of hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.

25 14. The use of any one of claims 11-13 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

15. The use of any one of claims 11-14 wherein the antifolate is ALIMTA.

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16. The use of any one of claims 11-15 wherein the mammal is pretreated with methylmalonic acid lowering agent.

17. Use of a methylmalonic acid lowering agent in the manufacture of a
5 medicament for lowering the mammalian toxicity associated with administration of an antifolate wherein said methylmalonic acid lowering agent is administered in combination with said antifolate.

18. Use of a methylmalonic acid lowering agent in the manufacture of a
 medicament for use in a method of inhibiting tumor growth in mammals, which method comprises administering said methylmalonic acid lowering agent in combination with an antifolate.

19. Use according to claim 17 or 18 wherein a FBP binding agent is alsoadministered in combination with said methylmalonic acid lowering agent and antifolate.

20. Use according to any one of claims 17-19 wherein the methylmalonic acid lowering agent, antifolate and optionally FBP binding agent is administered simultaneously, separately or sequentially of one another.

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21. The use any one of claims 17-20 wherein the methylmalonic acid lowering agent is selected from the group consisting of hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.

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22. The use of any one of claims 19-21 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

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23. The use of any one of claims 17-22 wherein the antifolate is ALIMTA.

24. The use of any one of claims 17-23 wherein the mammal is pretreated with the methylmalonic acid lowering agent.

5 25. A product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.

26. A product according to claim 25 wherein the methylmalonic acid lowering
 agent is selected from the group consisting of hydroxocobalamin, cyano-10 chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate,
 azidocobalamin, chlorocobalamin, and cobalamin.

27. A product according to claim 25 or 26 wherein the antifolate is ALIMTA.

28. A product according to anyone of claims 25-27 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

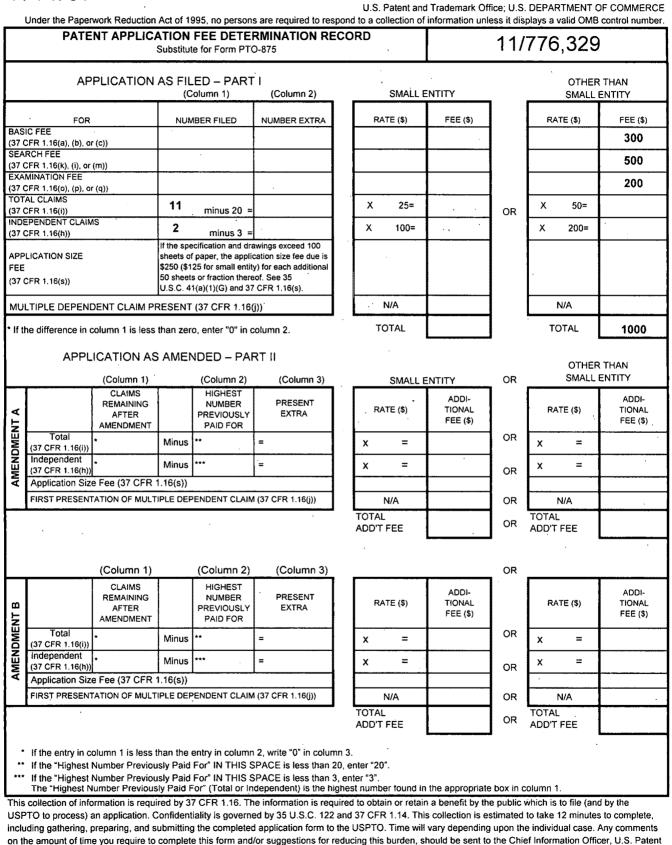
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PATENT APPLICATION FEE DETERMINATION RECORD 11/776,329 Substitute for Form PTO-875 **APPLICATION AS FILED - PART I** OTHER THAN (Column 1) SMALL ENTITY (Column 2) SMALL ENTITY NUMBER FILED NUMBER EXTRA RATE (S) FEE (\$) RATE (\$) FEE (\$) FOR BASIC FEE 300 (37 CFR 1.16(a), (b), or (c)) SEARCH FEE 500 (37 CFR 1.16(k), (i), ar (m)) EXAMINATION FEE 200 (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS 11 x 25= 50= х minus 20 + (37 CFR 1.16(i)) OR INDEPENDENT CLAIMS 2 x 100= x 200= ۰. (37 CFR 1.16(h)) minus 3 = If the specification and drawings exceed 100 APPLICATION SIZE sheets of paper, the application size fee due is FEE \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 (37 CFR 1.16(s)) U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.18())) N/A N/A TOTAL TOTAL 1000 If the difference in column 1 is less than zero, enter "0" in column 2. **APPLICATION AS AMENDED - PART II** OTHER THAN Ø (Column 1) (Column 2) (Column 3) SMALL ENTITY OR SMALL ENTITY CLAIMS HIGHEST ADDL ADDL DRESENT REMAINING NUMBER RATE (\$) TIONAL RATE (\$) TIONAL đ AFTER PREVIOUSLY EXTRA FEE (S) FEE (\$) AMENDMENT PAID FOR AMENDMENT Tota OR Minus X Ξ X = L 2-6 (37 CFR 1.16(i)) Independent Minus 2 x = x = 2 (37 CFR 1.16(h)) ÓR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(i)) OR N/A N/A TOTAL TOTAL OR ADD'T FEE ADD'T FEE (Column 2) (Column 1) (Column 3) OR CLAIMS HIGHEST ADDI-ADDI-PRESENT REMAINING NUMBER RATE (S) RATE (S) TIONAL TIONAL AFTER PREVIOUSLY EXTRA FEE (\$) FEE (S) AMENDMENT AMENOMENT PAID FOR Total OR Minus = X = х = (37 CFR 1.16(i)) Independent \*\*\* Minue = X = X = (37 CFR 1.16(h)) OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16()) N/A OR N/A TOTAL TOTAL OR ADD'T FEE ADD'T FEE \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. ADDRESS.