

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 2	<i>Application Number</i>	13/034,340
	<i>Filing Date</i>	February 24, 2011
	<i>First Named Inventor</i>	Alan H. Auerbach
	<i>Group Art Unit</i>	1629
	<i>Examiner Name</i>	San Ming R. Hui
	<i>Attorney Docket Number</i>	CGR5001USCNT1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	KindCode ⁵				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. ⁶ If possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 2 of 2	<i>Application Number</i>	13/034,340
	<i>Filing Date</i>	February 24, 2011
	<i>First Named Inventor</i>	Alan H. Auerbach
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	<i>Examiner Name</i>	San Ming R. Hui
	<i>Attorney Docket Number</i>	CGR5001USCNT1

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Berry, W. et al. Phase III Study of Mitoxantrone Plus Low Dose Prednisone Versus Low Dose Prednisone Alone in Patients with Asymptomatic Hormone Refractory Prostate Cancer, <i>The Journal of Urology</i> , 2002, pages 2439-2443, Volume 168.	
		Chang, Ching-Yi, et al. Glucocorticoids Manifest Androgenic Activity in a Cell Derived from a Metastatic Prostate Cancer, <i>Cancer Research</i> , 2001, pages 8712-8717, Volume 61.	
		Dorff, TB, Crawford, ED. Management and challenges of corticosteroid therapy in men with metastatic castrate-resistant prostate cancer, <i>Annals of Oncology</i> , 2013, pages 31-8, Volume 24(1).	
		Efstathiou, Eleni, et al. Effects of Abiraterone Acetate on Androgen Signaling in Castrate-Resistant Prostate Cancer in Bone, <i>American Society of Clinical Oncology, Journal of Clinical Oncology</i> , 2011, pages 1-8.	
		Huggins, Charles, et al. Studies on Prostatic Cancer.I. The Effect of Castration, of Estrogen and of Androgen Injection on Serum Phosphatases in Metastatic Carcinoma of the Prostate, <i>Cancer Research</i> , 1941, pages 293-297, Volume 1.	
		Mostaghel, EA. et al. Molecular Pathways: Targeting resistance in the androgen receptor for therapeutic benefit, <i>Clin Cancer Res</i> , 2013 Dec 4. [Epub ahead of print].	
		Nishimura, Kazuo, et al. Potential Mechanism for the Effects of Dexamethasone on Growth of Androgen-Independent Prostate Cancer, <i>Journal of the National Cancer Institute</i> , 2001, pages 1739-1746, Volume 93.	
		Oudar, Stephane, et al. Actualite dans le cancer de la prostate, <i>Synthese, Bull Cancer</i> 2005; 92 (10), pgs. 865-873 (relevance in English abstract)	
		Petrylak, et al. Docetaxel and Estramustine Compared with Mitoxantrone and Prednisone for Advanced Refractory Prostate Cancer, <i>The New England Journal of Medicine</i> , 2004, pages 1513-20, Volume 351.	
		Ryan, et al., Aberaterone Acetate in Metastatic Prostate Cancer Without Previous Chemotherapy, <i>The New England Journal of Medicine</i> , 2013, 368:138-148.	
		Sartor, et al, Abiraterone Prolongs Survival in Metastatic Prostate Cancer, <i>Nature Reviews Clinical Oncology</i> , 2011, pages 515-16, Volume 8.	
		Tannock, IF, et al. Docetaxel plus prednisone or mitoxantrone plus prednisone for advanced prostate cancer, <i>The New England Journal of Medicine</i> , 2004, pages 1502-1512, Volume 351(15).	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Confirmation Number	1597
Application Number	13/034340
Filing Date	February 24, 2011
First Named Inventor	Alan H. Auerbach
Group Art Unit	1629
Examiner Name	San Ming R. Hui
Attorney Docket Number	CGR5001USCNT1

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609.06.

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10- 0750/ CGR5001USCNT1/TET.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Timothy E. Tracy, Reg. No. 39,401/	Date (YYYY-MM-DD)	2014-01-10
Name/Print	Timothy E. Tracy	Registration Number	39,401

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being electronically filed via EFS-Web to the Commissioner for Patents with the U.S. Patent and Trademark Office on: January 14, 2014

Name (print/type)	Denise Mattos-Bosque		
Signature	/Denise Mattos-Bosque/	Date	January 10, 2014

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**