### U.S. Patent No. 6,784,552 Claims 1-7 Petition for *Inter Partes* Review

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Filed on behalf of Qualcomm and GlobalFoundries

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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V.

DSS Technology Management, Inc.
Patent Owner

Case IPR2016-01311

PETITION FOR INTER PARTES REVIEW OF U.S. PATENT NO. 6,784,552 CHALLENGING CLAIMS 1-7 UNDER 35 U.S.C. § 312 AND 37 C.F.R. § 42.104



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3. Claim 4: "The structure of claim 1, wherein the insulating spacer has a surface portion in the contact region without overlaying etch stop material"	44
4. Claim 5: "The structure of claim 4, wherein the insulating spacer surface portion without overlying etch stop material comprises an insulating spacer surface portion most distant from said substrate"	45
5. Claim 6: "The structure of claim 1, further comprising a second insulating layer on the etch stop layer and over the conductive layer"	
6. Claim 7: "The structure of claim 6, further comprising a second conductive material in the contact region"	
B. Ground 2: Claim 3 Would Have Been Obvious Over Heath in View of Hawley and Chappell	47
1. Claim 3: "The semiconductor apparatus of claim 1 wherein said etch stop material comprises silicon dioxide"	
C. Ground 3: Claims 1-2, 4-7 Would Have Been Obvious Over Heath in View of Dennison	51
1. Heath, in combination with Dennison, renders the claims obvious under an overly narrow construction of the "angle" limitation— <i>e.g.</i> , limiting it to a <i>particular</i> portion of the "side" of the insulating spacer—recited in claim 1 (element 1(f))	
D. Ground 4: Claim 3 Would Have Been Obvious Over Heath in View of Dennison, Hawley and Chappell	61
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Petitioner respectfully requests *Inter Partes* Review of claims 1-7 of U.S. Patent No. 6,784,552 (the "'552 patent") (Ex. 1001) pursuant to 35 U.S.C. §§ 311-19 and 37 C.F.R. § 42.1 *et seq*. The above-listed claims of the '552 patent are presently the subject of a substantially identical petition for inter partes review styled *Intel Corporation v. DSS Technology Management, Inc.*, which was filed December 8, 2015 and assigned Case No. IPR2016-00287. Petitioner will seek joinder with that *inter partes* review under 35 U.S.C. § 315(c), 37 C.F.R. §§ 42.22 and 42.122(b).

#### I. INTRODUCTION

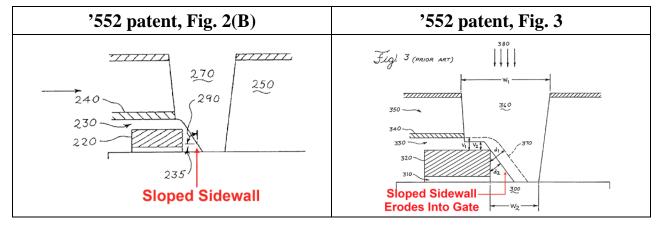
The '552 patent purports to provide a novel approach to semiconductor manufacturing but instead merely duplicates a well-known technique patented by inventor Barbara Heath nearly a decade before the alleged invention.

The '552 patent is directed to the manufacture of transistors used in semiconductor products such as microprocessors and memory. Transistors are one of the basic building blocks of semiconductors—they are microscopic switches that turn on and off to allow semiconductors to process data. Transistors include various components and "contacts" that are used to connect a component of one transistor to a component of another transistor. The '552 patent is directed to a particular technique for the formation of "contact openings"—openings created through the layers of a semiconductor device so that a contact can be formed



between components.

The patent asserts that prior art techniques for forming these contact openings resulted in an unacceptably high risk of creating unintentional connections (and thus a short-circuit) between the contacts and nearby components. Specifically, the patent explains that prior art techniques used non-conducting "sidewall spacers" between contact openings and nearby components to prevent unintentional connections. But the patent notes that during the process of creating the openings, these sidewall spacers could become sloped. According to the patent, a sloped sidewall spacer is particularly susceptible to erosion in subsequent fabrication steps such that it can be worn down to the point that the contact opening and a nearby component can make an unintentional connection:



In Fig. 2(B), described as "Prior Art," the patent shows a contact opening 270, a sidewall spacer 235 that has become sloped as a result of the creation of the contact opening, and a nearby component 220. In Fig. 3, also described as "Prior Art," the patent shows that in a subsequent step, the sloped sidewall spacer has



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