IPR2016-01268 U.S. Patent No. 8,365,742 "Electronic Cigarette"

R.J. Reynolds Vapor Company v. Fontem Holdings 1 B.V.

Oral Argument October 10, 2017

PETITIONER'S DEMONSTRATIVES

Before the Honorable Brian J. McNamara, Jeremy M. Plenzler, and Jo-Anne M. Kokoski,

Administrative Patent Judges

R.J. Reynolds Vapor IPR2016-01268 R.J. Reynolds Vapor v. Fontem Exhibit 1036-00001

U.S. Patent No. 8,365,742

- 2. An electronic cigarette, comprising:
- a battery assembly and an atomizer assembly within a housing with the battery assembly electrically connected to the atomizer assembly;
- a liquid storage component in the housing;
- with the housing having one or more through-air-inlets;
- the atomizer assembly including a porous component supported by a frame having a run-through hole;
- a heating wire wound on a part of the porous component in the path of air flowing through the run-through hole; and
- the porous component substantially surrounded by the liquid storage component.
- 3. An electronic cigarette, comprising:
- a battery assembly and an atomizer assembly within a housing with the battery assembly electrically connected to the atomizer assembly;
- with the housing having one or more through-air-inlets and an outlet;
- the atomizer assembly includes a frame having a run through hole, and a porous component between the frame and the outlet;
- a heating wire wound on a part of the porous component which is substantially aligned with the run-through hole; and
- with the porous component in contact with a liquid supply in the housing.

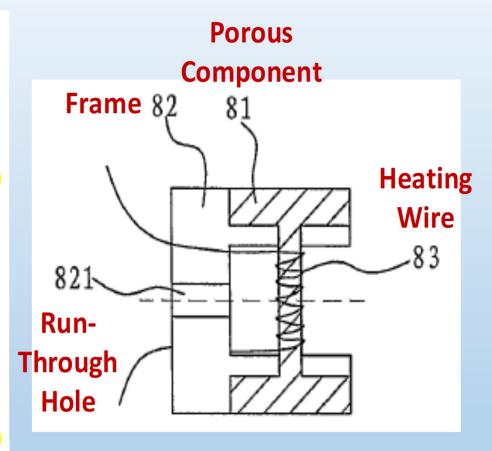
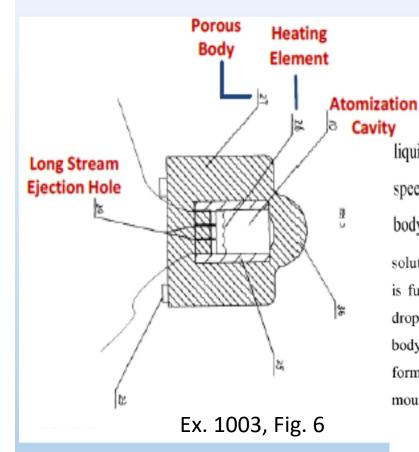


Fig. 18

Hon 043

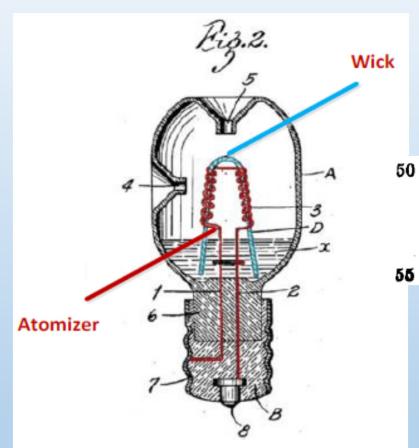


liquid separator 7, and flows into the atomization cavity 10 in the atomizer 9. The high speed stream passing through the ejection hole drives the nicotine solution in the porous body 27 to eject into the atomization cavity 10 in the form of droplet, where the nicotine

solution is subjected to the ultrasonic atomization by the first piezoelectric element 23 and is further atomized by the heating element 26. After the atomization, the large diameter droplets stick to the wall under the action of eddy flow and are reabsorbed by the porous body 27 via the overflow hole 29, whereas the small diameter droplets float in stream and forms aerosols, which are sucked out via the aerosol passage 12, gas vent 17 and mouthpiece 15. The solution storage porous body 28 in the liquid-supplying bottle 11 will

To simplify the design, the first piezoelectric element 23 on the atomizer 9 can be omitted, and the atomization of the nicotine solution will be made only by the heating element 26. The size of such an atomizer can be made smaller, and the structure of the

Whittemore



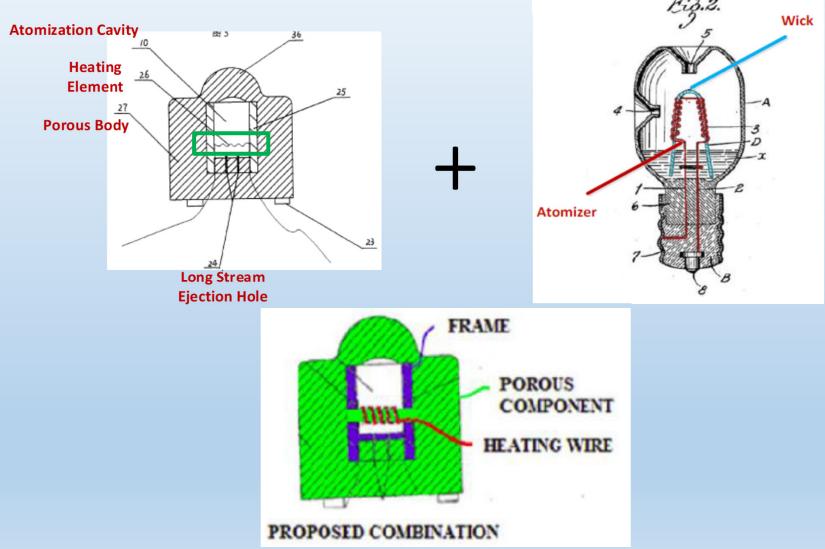
oven though the heating element or filament 3 is spaced a considerable distance above or away from the medicament x, the unit is equipped with a wick D made of any suitable material and combined with the heating element or filament 3 in such a way that a portion of said wick is always in contact or approximate contact with the heating element or filament 3, and a portion of said wick is always in contact with the medicament in the vaporizing vessel, whereby said medicament will be carried by capillary action to a point where it will be vaporized by the heat from the filament 3. In the form of my invention

Ex. 1004, Fig. 2

(Petition, paper 2, pp. 16-17; Whittemore, Ex. 1004-00002)

R.J. Reynolds Vapor Ex. 1036-00004

Hon 043 + Whittemore



(Petition, paper 2, pp. 14, 16; Sturges Reply Decl., Ex. 1027, ¶ 50)

R.J. Reynolds Vapor Ex. 1036-00005

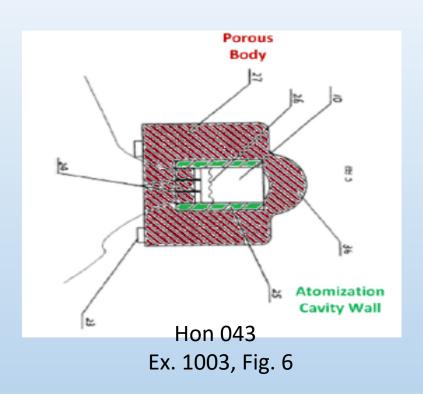
KSR Int'l v. Co. v. Teleflex Inc., 550 U.S. 398, 416 (2007)

Where a "patent claims a structure already known in the prior art that is altered by the mere substitution of one element for another known in the field, the combination must do more than yield predictable results."

U.S. Patent No. 8,365,742

- 2. An electronic cigarette, comprising:
- a battery assembly and an atomizer assembly within a housing with the battery assembly electrically connected to the atomizer assembly;
- a liquid storage component in the housing;
- with the housing having one or more through-air-inlets;
- the atomizer assembly including a porous component supported by a frame having a run-through hole;
- a heating wire wound on a part of the porous component in the path of air flowing through the run-through hole; and
- the porous component substantially surrounded by the liquid storage component.

Supported By



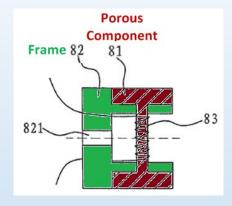
Porous
Component
Frame 82 81
821

742 Patent Ex. 1001, Fig. 18

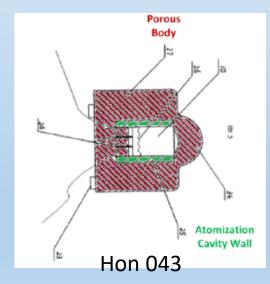
additionally provided in the atomizer; the porous body in the atomizer is made of foam nickel, stainless fiber felt, high molecule polymer foam and foam ceramic; the heating

(81) fits with the cigarette bottle assembly. The porous component (81) is made of foamed nickel, stainless steel fiber felt, macromolecular polymer foam or foamed ceramics.

Meyst - Supported By



742 Patent Ex. 1001, Fig. 18



Ex. 1003, Fig. 6

```
What -- what does the rigidity of the
   porous component have to do with why you would have
    this portion of frame positioned internal to the
   porous component?
10
             MR. HAMILTON: Objection. Form.
             THE WITNESS: I just mentioned that it
12
    is -- it could be -- based on testimony, it could be
13
14
    a very soft, pliable material. It needs to be
   supported in that function, in that position, in
15
   that location and that construction to work. So
    it's part of the design.
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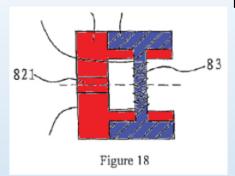
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Well, the porous component is not a

rigid -- necessarily a rigid material. It could be.

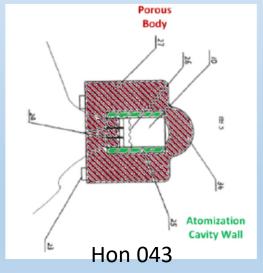
It could be -- have a wide range of properties.
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(Reply Brief, paper 30, p. 11; Meyst 1692 IPR Dep. Tr., Ex. 1035, 18:7-17, 17:21-23; Petitioner's Suppl. Brief, paper 51, pp. 1-2)

Meyst – Supported By



742 Patent Ex. 1001, Fig. 18



Ex. 1003, Fig. 6

Q. Okay. And my question simply is: If the seal or the point of attachment was the blue portion, the porous component to the shell, and not the red portion, would the frame be providing any weightbearing support for the porous component?

- A. Yes, in the direction of gravity.
- Q. And could you explain how so?
- A. Well, if we look at, for instance, Figure 18, if it is inside the shell, the red component is still holding up on the -- what would be the top part, so gravity acting downward as it normally does, that would be providing a force in the downward direction due to the mass, and even though the bottom part would be in touch with the shell, but the top part is still being held up.
- Q. And what portion of the frame would be providing that support you're referring to?
- A. Well, I guess what we have called the horizontal component.

(Reply Brief, paper 30, pp. 9-11; Meyst Decl., Ex. 2015, ¶ 29; Meyst Dep. Tr., Ex. 1023, 48:21-49:14)

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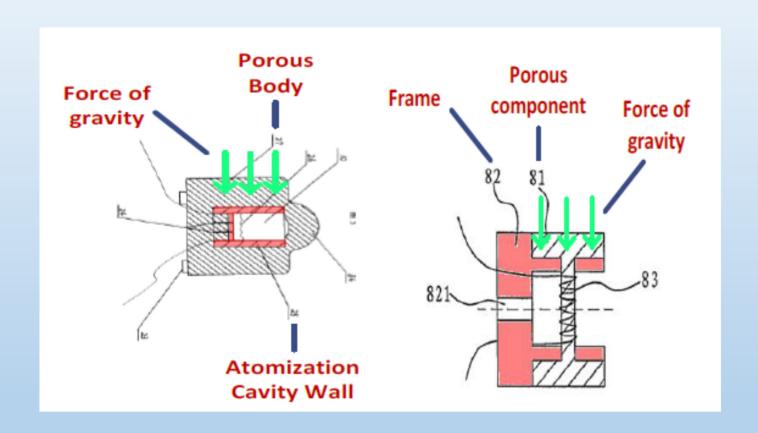
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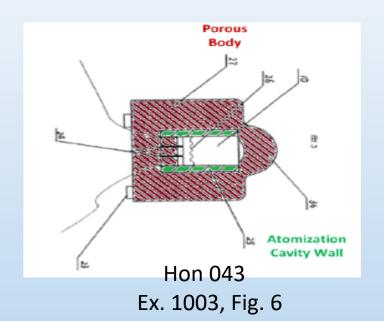
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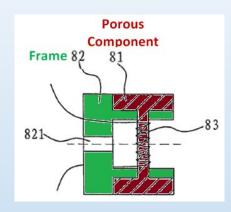
13

Weight-Bearing Support



Meyst – Supported By



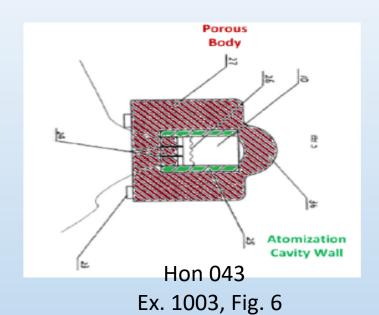


742 Patent Ex. 1001, Fig. 18

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9 Q. I want to be clear, though. The frame --
10 if the porous body is set on the frame, the frame is
11 necessarily supporting the weight of the porous
12 body?
13 A. Yes.
```

(Reply Brief, paper 30, p. 11; Meyst Dep. Tr., Ex. 1023, 40:9-13; Sturges Reply Decl., Ex. 1027, ¶ 22)

Supported By — Weight-Bearing Support (Dr. Sturges)



Porous
Component
Frame 82 81

742 Patent Ex. 1001, Fig. 18

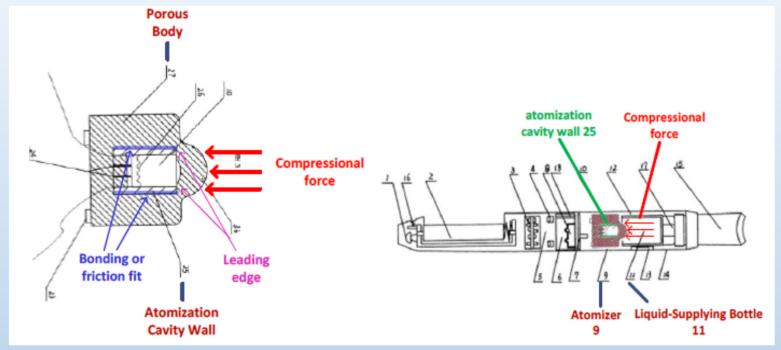
18:53:01

9	A Yes. The first one may be in the As I	18:52:19
10	mentioned before, the case in which the porous body is	18:52:24
11	full of liquid and but for the support of the cavity	18:52:30
12	wall, it would sag rather like a horse's back under the	18:52:39
13	weight of gravity if we're holding the electronic	18:52:48
14	cigarette in the position as shown in figures 1 and 2 of	18:52:53

(Reply Brief, paper 30, pp. 8-9, 11; Sturges Dep. Tr., Ex. 2016, 187:9-15)

the '043 patent.

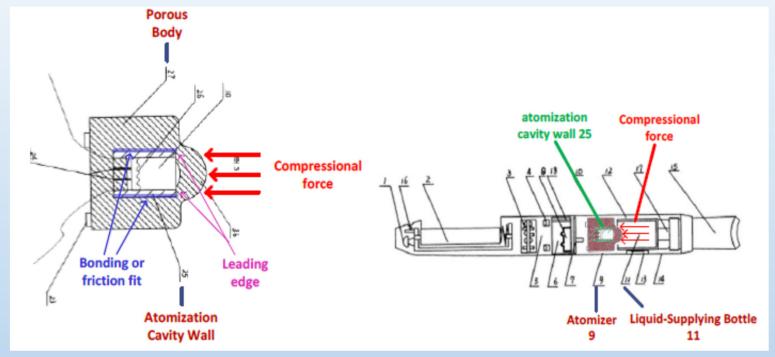
Supported By – Axial Support (Dr. Sturges)



cavity wall 25. The PHOSITA would have recognized that the porous body is attached to the cavity wall 25 via either a friction fit or through a bonding material to prevent axial displacement of the porous body under the shear forces exerted at the interface of cavity wall 25 with the porous body 27 when the porous body is inserted into the storage porous body 28. The shear forces could be particularly

(Reply Brief, paper 30, p. 12; Sturges Petition Decl., Ex. 1015, ¶ 45)

Supported By – Axial Support (Dr. Sturges)



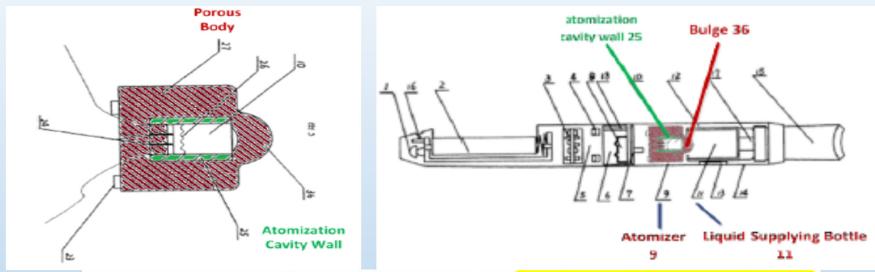
46. In addition, the PHOSITA would also have understood that the

leading edge of the atomization wall 25 provides further support to the porous

body 27 as it is inserted into the solution storage body 28.

(Reply Brief, paper 30, p. 12; Sturges Petition Decl., Ex. 1015, ¶ 46)

Supported By – Radial Support (Dr. Sturges)



47. The atomization cavity wall 25 also provides radial support when the

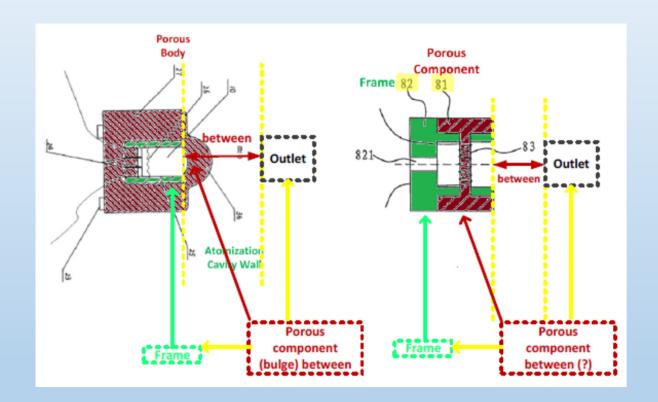
low pressure area surrounding the atomizer is raised due to blowing on the mouthpiece, particularly if the porous body 27 is made from a material with relatively low rigidity. More specifically, the PHOSITA would have understood that there normally is a lower pressure region surrounding the atomizer 9 since its flat face is at a higher pressure due to the fact that the air is not moving substantially and that the area around the cylindrical part of the atomizer is very restricted.

(Sturges Petition Decl., Ex. 1015, ¶¶ 44, 47; Reply Brief, paper 30, p. 11)

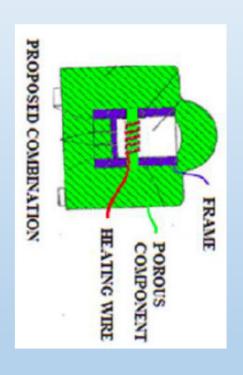
Claim 3

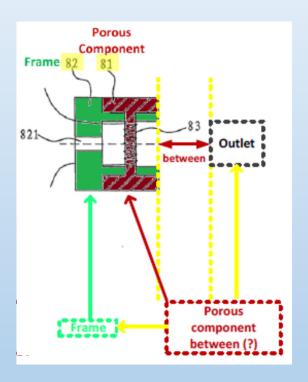
- 3. An electronic cigarette, comprising:
- a battery assembly and an atomizer assembly within a housing with the battery assembly electrically connected to the atomizer assembly;
- with the housing having one or more through-air-inlets and an outlet;
- the atomizer assembly includes a frame having a run through hole, and a porous component between the frame and the outlet;
- a heating wire wound on a part of the porous component which is substantially aligned with the run-through hole; and
- with the porous component in contact with a liquid supply in the housing.

Between



Between





Motivation

Because of the obvious thermal inefficiencies of the "naked" heating 59. wire disclosed in Hon, the PHOSITA would have been highly motivated to modify Hon '043 by substituting the wick/heating element configuration of Whittemore for the heating element 26 of Hon '043. As the PHOSITA would have readily understood, the thermal efficiency of Hon '043 could be improved by simply including a wick inside the windings of the heating element as disclosed in Whittemore. The wick would thus pull liquid nicotine from the porous body 27 (via capillary action) into direct contact with the heating element 26. This

Predictable Results

Because of the obvious thermal inefficiencies of the "naked" heating wire disclosed in Hon, the PHOSITA would have been highly motivated to modify Hon '043 by substituting the wick/heating element configuration of Whittemore for the heating element 26 of Hon '043. As the PHOSITA would have readily understood, the thermal efficiency of Hon '043 could be improved by simply including a wick inside the windings of the heating element as disclosed in Whittemore. The wick would thus pull liquid nicotine from the porous body 27 (via capillary action) into direct contact with the heating element 26. This modification would also lead to a predictable result, namely, vaporization of the liquid nicotine. Moreover, and as the PHOSITA would have readily appreciated, because Whittemore's configuration requires that the heating element operates at lower temperatures than the configuration of Hon '043, modifying Hon '043 with the wick/heating element configuration of Whittemore would reduce the temperature required at the heating element and thus require less energy from the battery to create an aerosol. This leads to another expected benefit, which the PHOSITA would have readily appreciated, lower energy demand translates into improved battery life.

Meyst – Whittemore Is "Very Similar"

```
And my question is, with respect to
 6
                                                                                                Page 42
                                                                                      tification and is
      Whittemore's wire-wrapped wick, does that
                                                                                      Thank you.
      configuration atomize liquid any differently than
                                                                                      is, with respect to
                                                                                      d wick, does that
                                                                                      quid any differently than
                                                                                      component of Figure 18?
      the wire-wrapped porous component of Figure 18?
                                                                                      oes it atomise differently?
                   MR. HAMILTON: Objection.
10
                                                           Form.
                                                                                      function differently than
                                                                                      component of Figure 18?
                                                                                      ell, they both have a porous
                   THE WITNESS: Does it atomize differently?
11
                                                                                      liquid that's being provided
                                                                                      y touches it. So there is
                                                                                      o they're very similar, yes.
12
      BY MR. GABRIC:
                                                                                      2006 time frame, would one
                                                                                      art have understood that
             Q. Right. Does it function differently than
13
                                                                                      isclosed in Whittemore could
                                                                                      o, yes.
      the wire-wrapped porous component of Figure 18?
14
                                                                                      y Solutions - Chicago
www.deposition.com
15
                                        Well, they both have a porous
                                                                                         R.J. Reynolds Vapor Exhibit 1035-000
                   THE WITNESS:
      component that contains liquid that's being provided
16
      through capillary action, and the wire is wrapped
17
      around and intermittently touches it.
18
19
      contact between it and so they're very similar, yes.
```

(Meyst 1692 IPR Dep. Tr., Ex. 1035, 42:6-19; Petitioner's Suppl. Brief, paper 51, p. 5 (f.n. 5))

Meyst - Wire Wrapped Porous Component Achieves Predictable Results

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Q. What, if anything -- what, if any, role
does the heating wire wound on the porous component
play in improving the aerosol effects or atomizing
efficiency of the atomizer depicted in the '548
patent?
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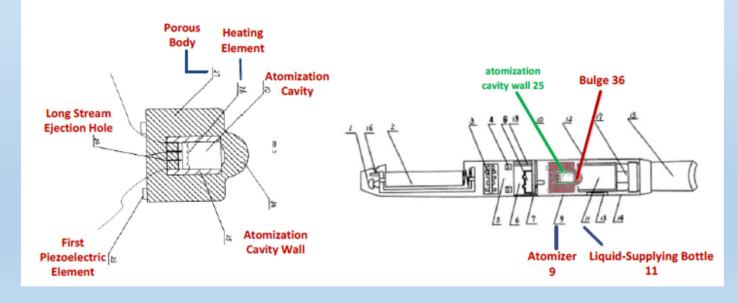
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10 THE WITNESS: I'm comparing and contrasting
11 this to the design in the '043 Hon patent.
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21 So what I was saying is that these elements
22 help to improve the aerosol efficiency because
23 there's direct contact, because there's a good feed
24 of liquid to the wire. It's a consistent feed
25 through capillary action.
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(Meyst 1692 IPR Dep. Tr., Ex. 1035, 46:4-8, 10-11, 21-25; Petitioner's Suppl. Brief, paper 51, pp. 4-5)

Petition – Supported By

The Petitioner here respectfully submits that on the basis of the current record, which is more fully developed on this issue, the evidence establishes that the PHOSITA would have understood that atomization cavity wall 25 provides support for porous body 27. As explained in the accompanying declaration of Dr. Sturges, cavity wall 25 provides support for porous body 27 in several ways.



(Petition, paper 2, p. 15)

Supported By

2	Q So let's back up a little bit. We have	14:24:56
3	compression forces at the end of the cavity walls; is	14:24:57
4	that correct?	14:25:00
5	A Yes.	14:25:01
6	Q And those forces are concentrated at the end of	14:25:01
7	the cavity wall; is that correct?	14:25:04
8	A Yes.	14:25:06
9	Q And then we have shear forces along the length	14:25:08
10	of the cavity walls; is that correct?	14:25:10
11	A That's correct.	14:25:12

(Sturges Dep. Tr., Ex. 2016, 122:2-11; Reply Brief, paper 30, pp. 12, 18)

Axial Displacement And Deformation

```
So let's look back at paragraph 44. You state
                                                                 14:19:00
     that the cavity wall provides support for the porous
                                                                 14:19:05
     body 27 against axial displacement. Is that not the
                                                                 14:19:07
     case?
                                                                 14:19:11
        A Yes, that is the case.
                                                                 14:19:11
         Q Just a minute ago you said it's not axial
                                                                 14:19:14
     displacement, it's against collapse of the porous body.
                                                                 14:19:15
    What's the difference?
                                                                 14:19:20
         A There is no difference. The axial displacement
                                                                 14:19:21
    can cause the porous body to collapse.
                                                                 14:19:27
         Q So when you say axial displacement, what do you
                                                                 14:19:30
15
    mean? What is displaced axially?
                                                                 14:19:32
         A The length of the porous body under that action
                                                                 14:19:36
17
    may be compressed in the axial direction so that the
                                                                 14:19:42
    cavity is not the same size as it was or the pieces in
                                                                 14:19:47
    it are not where they were originally intended.
                                                                 14:19:50
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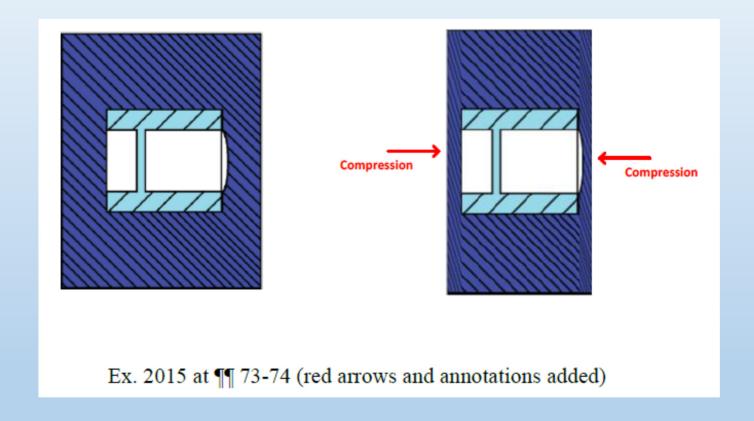
(Sturges Dep. Tr., Ex. 2016, 118:5-20; Reply Brief, paper 30, p. 12)

Axial Displacement And Deformation

9	Q Sure. Go ahead.	14:20:34
10	A The bulge is forcibly moved along its axis, and	14:20:36
11	that may tend to change the shape of the porous body	14:20:41
12	were it not for the cavity wall to support it.	14:20:50
13	Q And where does the cavity wall support that	14:20:55
14	bulge? Is that at the ends of the cavity wall, those	14:20:59
15	tips?	14:21:03
16	A The tip near the bulge would certainly be	14:21:06
17	involved. The reaction to that force would be taken up	14:21:09
18	preferentially by a shear force between the cavity wall	14:21:18
19	and the porous body because that area is larger and the	14:21:24
20	stress would be distributed to a lower level.	14:21:28

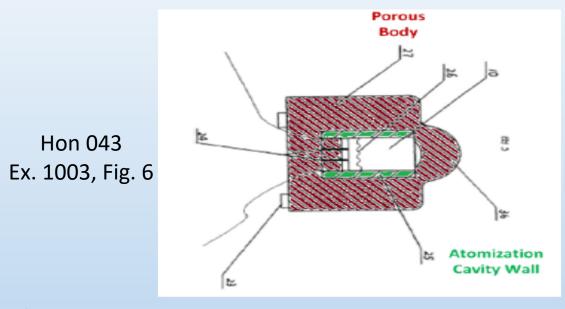
(Sturges Dep. Tr., Ex. 2016, 119:9-20; Reply Brief, paper 30, p. 12)

Meyst – Supported By



(Reply Brief, paper 30, p. 13; Meyst Decl., Ex. 2015, ¶¶ 73-74)

Meyst – Supported By



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Q. Does the cavity wall play any role in

preventing movement of the porous body relative to

the cavity wall?

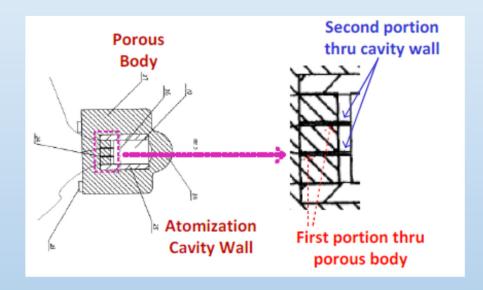
A. Well, you have a net fit, so the two parts

work together in cooperation to form one part which

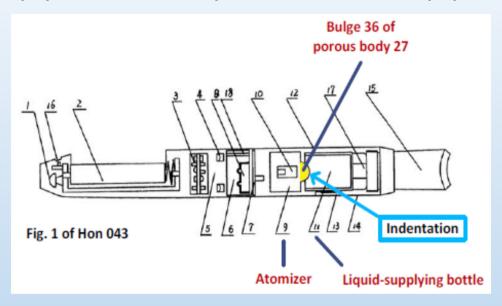
doesn't allow for any movement.
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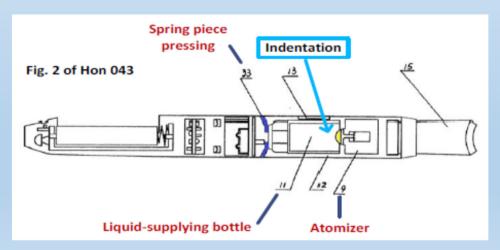
(Reply Brief, paper 30, p. 11; Meyst 1692 IPR Dep. Tr., Ex. 1035, 59:8-13; Petitioner's Suppl. Brief, paper 51, p. 4)

Supported By – Ejection Hole Alignment (Dr. Sturges)



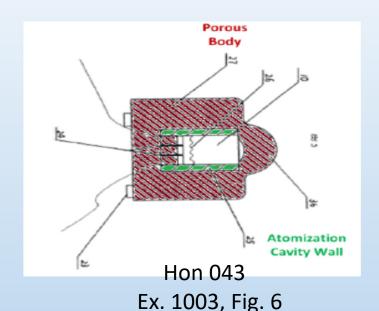
Supported By – Axial Support





(Reply Brief, paper 30, p. 14)

Supported By – Accidental Dropping (Dr. Sturges)



Porous
Component
Frame 82 81

742 Patent Ex. 1001, Fig. 18

```
Another support would be provided when the
18:53:03
17 e-cigarette may be accidentally dropped, in which case
18:53:09
18 there will be shock loadings coming from all directions,
18:53:17
19 and they would tend to move the porous body from its
18:53:20
20 usual shape but for the support of the cavity wall. And
18:53:28
21 these forces may be much larger than gravity.
18:53:36
```

(Reply Brief, paper 30, p. 11; Sturges Dep. Tr., Ex. 2016, 187:16-21)

Hon 043 Acknowledges Eddy Flow

solution is subjected to the ultrasonic atomization by the first piezoelectric element 23 and is further atomized by the heating element 26. After the atomization, the large diameter droplets stick to the wall under the action of eddy flow and are reabsorbed by the porous body 27 via the overflow hole 29, whereas the small diameter droplets float in stream and forms acrosols, which are sucked out via the acrosol passage 12, gas vent 17 and mouthpiece 15. The solution storage porous body 28 in the liquid-supplying bottle 11 will be in contact with the bulge 36 on the atomizer 9, thereby achieving the capillary infiltration liquid-supplying.

The mouthpiece 15 is threaded. When the nicotine solution in the liquid-supplying

droplets stick to the wall under the action of eddy flow and are reabsorbed by the porous body 27 via the overflow hole 29, whereas the small diameter droplets float in stream and forms aerosols, which are sucked out via the aerosol passage 12, gas vent 17 and mouthpiece 15. The solution storage porous body 28 in the liquid-supplying bottle 11 will

the center of the second piezoelectric element 35 to achieve the effect of strong ultrasonic accommendum.

As shown in FIG. 10, a silicon gel check valve 31 may cover the outside of the through hole on the vapor-liquid separator 7. During smoking, a stream reaches the through hole, as the air pressure in the through hole increases, the silicon gel check valve 31 is opened and the stream passes; otherwise, the silicon gel check valve 31 is closed.

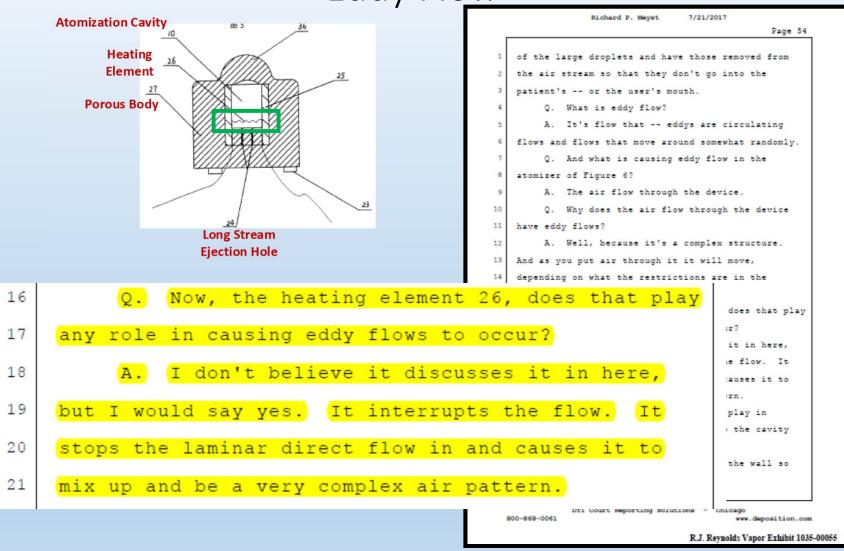
As shown in FIG. 5, the sensor 6 may also be designed into a structure with the

11

Logic Tooh, Davolopriant LLC EXHIBIT 1004 PAGE 0011

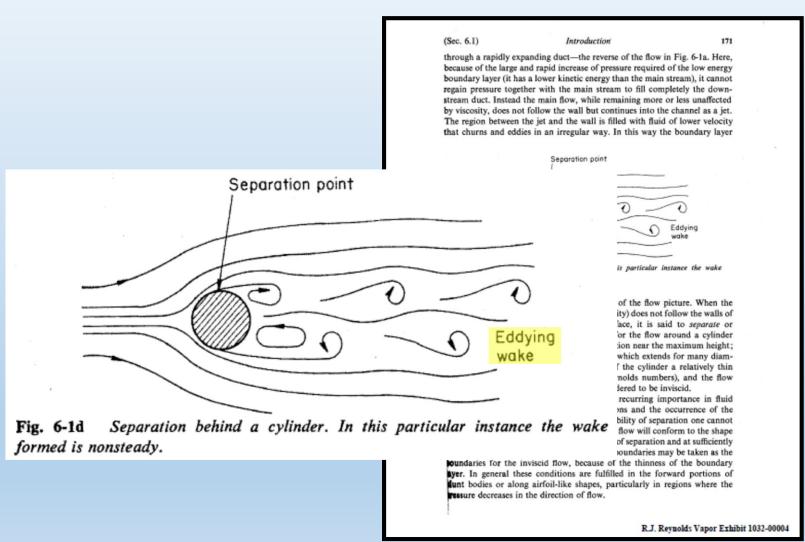
Exhibit 1003-00011

Meyst – Hon 043's Heating Wire Contributes To Eddy Flow



(Petition, paper 2, p. 14; Meyst 1692 IPR Dep. Tr., Ex. 1035, 54:16-21; Petitioner's Reply to P.O.'s Suppl. Brief, paper 56, pp. 2-3)

Majority of Hon 043's Droplets Will Bypass Heating Wire (Dr. Sturges)



Substantially Increasing Size Of Hon 043's Wire Problematic (Dr. Sturges)

58. Meyst's allegation that using a heating element in sheet form instead of a wire would lead to more direct contact of droplets with the heating element

58. Meyst's allegation that using a heating element in sheet form instead

of a wire would lead to more direct contact of droplets with the heating element and thus improve heating efficiency (Ex. 2015 at ¶¶ 100-102) is also flawed. If a sheet-form heating element is oriented with its cross section facing the ejection holes, such a heating element will likely significantly block the airflow from the ejection holes to downstream. Also, the air stream from the ejection holes will

olds Vapor Exhibit 1027-00040

is also flawed. If a acing the ejection is airflow from the jection holes will at cross section,

through the space around the heating wire too quickly to be adequately heated, also leading to reduced heating efficiency. Further, as explained above, according to Meyst, blocking the airflow with a sheet could lead to other problems such as sufficient liquid for atomization.

Substantially Increasing Size Of Hon 043's Wire Problematic (Dr. Sturges)

```
holes and is directed at the heater; is that correct?
                                                                                                                                   11:50:22
                   Does the size of the heater wire affect how much
                                                                                                 11:50:30
                                                                                                                                   11:50:45
                                                                                                                                   11:50:47
       liquid or air would hit the heater wire?
                                                                                                 11:50:33 orm a significant barrier to
                                                                                                                                   11:50:55
                                                                                                            which case most of the fluid
                                                                                                                                   11:51:00
                   I would expect that the size of the heater wire
                                                                                                           r -- fluid in the airstream
                                                                                                                                   11-51-05
                                                                                                           t this wall at which they would
                                                                                                                                   11-51-10
                                                                                                                                   11:51:16
       would. In the extreme case, the size of the heater wire
                                                                                                            have that expectation given the
                                                                                                 11:50:47
                                                                                                                                   11:51:34
                                                                                                            we don't have that expectation,
                                                                                                                                   11:51:35
       could be very large and form a significant barrier to
                                                                                                 11:50:55
                                                                                                                                   11-51-37
                                                                                                            expect that the drawing is
                                                                                                                                   11:51:39
       the flow of the fluid, in which case most of the fluid
                                                                                                 11:51:00
                                                                                                           heater wire is on the same
                                                                                                                                   11:51:50
       would slow down in the air -- fluid in the airstream
                                                                                                                                  11:51:55
                                                                                                            scale in the drawing, is there
                                                                                                           n you would think the heater
                                                                                                                                   11-51-59
                                                                                                                                   11-52:01
       would slow down and impact this wall at which they would
                                                                                                 11:51:10
                                                                                                            in order to heat up, one would
                                                                                                                                  11:52:05
                                                                                                                                   11-52-08
       direct it. But we don't have that expectation given the
                                                                                                 11:51:16
                                                                                                            eded to the current flow in
                                                                                                                                   11:52:15
                                                                                                                                   11:52:25
                                                                                                            why we have coils of wire in
       drawing in front of us.
12
                                                                                                 11:51:34 ad other things
                                                                                         DTI Court Reporting Solutions
                                                                                      1-800-826-0277
                                                                                                                             Fontem Ex. 2016
                                                                                                                          1 B.V. IPR2016-01268
```

Substantially Increasing Size Of Hon 043's Wire Problematic (Dr. Sturges)

Meyst also suggests that a PHOSITA would have pursued ways of improving Hon 043's thermal inefficiency other than by substituting Whittemore's wire wrapped

be sufficiently small in diameter in order to provide the required resistance for

heating. Ex. 2016 (Sturges Dep. Tr.) at 69:3-25, 72:1-12. A PHOSITA would also
have understood that the resistance of a wire is directly proportional to the length

of the wire and inversely proportional to the cross-sectional area of the wire. Thus,

for example, if the diameter of the wire is doubled, the cross-sectional area grows

by four times and the resistance drops by four times. Accordingly, in order to

elength

ine. Thus,

for example, if the diameter of the wire is doubled, the cross-sectional area grows by four times and the resistance drops by four times. Accordingly, in order to provide the same heating power, the current must be discharged faster, leading to

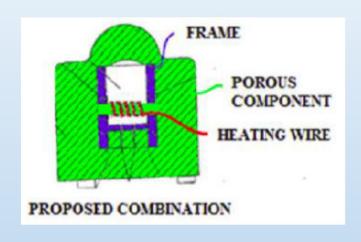
35

R.J. Reynolds Vapor Exhibit 1027-00039

Meyst – Increasing Size of Hon 043's Heating Element Involves Tradeoffs

```
So it's fair to say one of ordinary skill
13
    in the art looking at Hon's Figure 6, when it comes
14
15
    to the heating element, they would have understood
    that I could increase the surface area to increase
16
    the number of droplets that strike it but there
17
    potentially are tradeoffs, such as its effect on air
18
19
    flow and disrupting air flow through the atomization
    cavity.
20
2.1
             Is that fair?
         A. It's all interconnected.
23
         Q. And one of ordinary skill in the art would
    have understood that, right?
24
             I believe so, yes.
25
```

PHOSITA Would Retain Ejection Holes (Dr. Sturges)



51. Although I disagree with Meyst that there is an exit hole in the area of the bulge in Hon 043's atomizer, I agree that a PHOSITA would not have wrapped heating wire on the bulge part of the atomizer. See Ex. 2015 at ¶ 93-95. I also disagree with Meyst, that the "simplest way" to combine Hon 043 with Whittemore would be to entirely discard Hon 043's atomizer and replace it with Whittemore's wire wrapped wick, instead of merely substituting Whittemore's wire wrapped wick for Hon 043's heating wire. Id. at ¶ 96-97. The PHOSITA would simply substitute Whittemore's wick/wire for the heating element in the cavity of Hon 043 at the location where the hearing element is already located in Hon 043. See Petition at 19, 26-29, 34; Ex. 1015 at ¶ 55-63; Ex. 2016 (Sturges Dep. Tr.) at 154:10-157:21; Ex. 1012 at 38. This would be the simplest and most straightforward approach. The substitution I propose retains many of the features of Hon 043, including the porous body, cavity wall, and ejection holes, which

straightforward approach. The substitution I propose retains many of the features of Hon 043, including the porous body, cavity wall, and ejection holes, which would minimize disruption of airflow through Hon 043's device as modified to have Whittemore's wire wrapped wick. Moreover, by retaining cavity wall 25 and

wick/wire. A PHOSITA would have understood that providing the needed holes to accommodate the wick/wire could be achieved in view of the fact that Hon 043 discloses several different types of holes such as the ejection holes, overflow holes and the wire holes.

(Sturges Reply Decl., Ex. 1027, ¶¶ 50-51)

1027-00036

Meyst – Removing Hon 043's Atomizer Not An Improvement

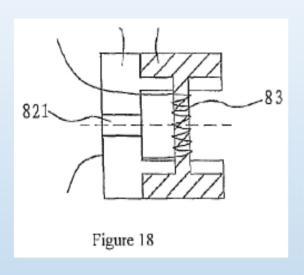
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6/7/2017
                                                                               Page 128
                                                    unmodified version, but there may be other ways that
                                                    resistance, they could modify this and put a
                                                    restriction in so the two could essentially be
                                                       Q. In reaching your opinion in this
                 In reaching your opinion in this case on
 6
     the point here that you're addressing in Figure 1, a
                                                                              inary
                                                                              to be an
     modified Hon to replace the atomizer with, in its
     entirety, with the wire-wrapped wick of Whittemore,
     did you form an opinion whether one of ordinary
10
     skill in the art would have considered this to be an
11
     improvement over unmodified Hon?
12
                  I don't consider it an improvement
13
                                                   800-868-0061
                                                                       R.J. Reynolds Vapor Exhibit 1023-00129
```

(Meyst Dep. Tr., Ex. 1023, 128:6-13; Reply Brief, paper 30, p. 26)

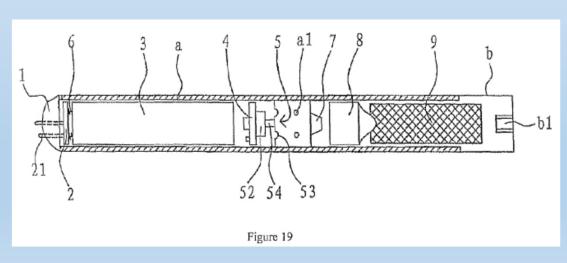
Supported By - Construction

P.O.'s Proposed Construction	Petitioner's Proposed Construction
"bear all or part of the weight of: hold up"	"to hold up, serve as a foundation or prop for, carry all or part of the weight of, or give strength to"

Supported By - Construction

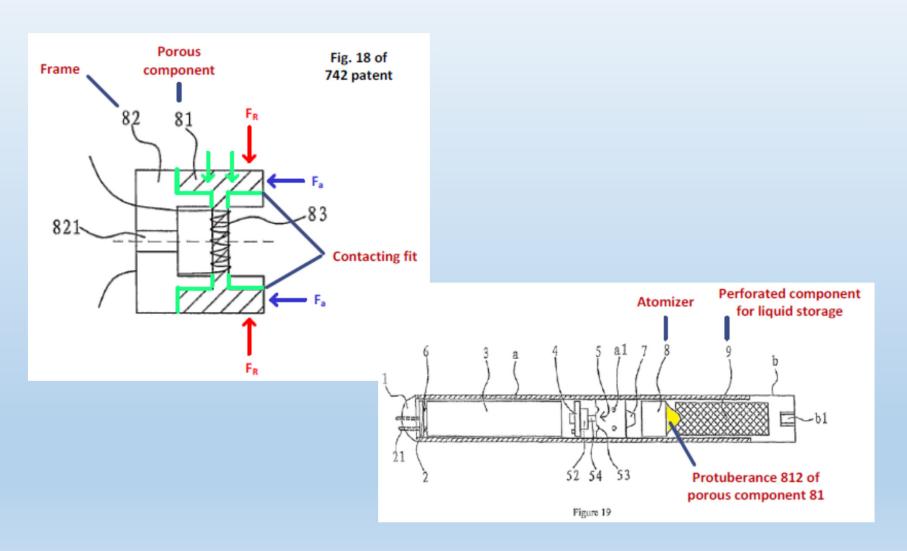


In the fifth preferred embodiment, as shown in FIGS. 17 and 18, the atomizer assembly is an atomizer (8), which includes a frame (82), the porous component (81) set on the frame (82), and the heating wire (83) wound on the porous 45 component (81). The frame (82) has a run-through hole (821) on it. The porous component (81) is wound with heating wire (83) in the part that is on the side in the axial direction of the run-through hole (821). One end of the porous component (81) fits with the cigarette bottle assembly. The porous com-



(742 Patent, Ex. 1001, 5:42-50, Figs. 18-19; Reply Brief, paper 30, p. 6; Sturges Reply Decl., Ex. 1027, ¶ 9)

Supported By - Construction



(Reply Brief, paper 30, pp. 6-7)

Hon 043's Ejection Holes Are Not Atomizers

solution is subjected to the ultrasonic atomization by the first piezoelectric element 23 and is further atomized by the heating element 26. After the atomization, the large diameter droplets stick to the wall under the action of eddy flow and are reabsorbed by the porous body 27 via the overflow hole 29, whereas the small diameter droplets float in stream and forms acrosols, which are sucked out via the acrosol passage 12, gas vent 17 and mouthpiece 15. The solution storage porous body 28 in the liquid-supplying bottle 11 will be in contact with the bulge 36 on the atomizer 9, thereby achieving the capillary infiltration liquid-supplying.

The mouthpiece 15 is threaded. When the nicotine solution in the liquid-supplying bottle 11 is used up, users can screw the mouthpiece 15 out to take the liquid-supplying bottle 11 out, refill the liquid-supplying bottle 11 with the nicotine solution, put the liquidsupplying bottle 11 into the shell 14 again, and then screw the mouthpiece 15.

The Reed switch 19, the first magnetic steel 20, the second magnetic steel 21, the ripple film 22 can be replaced by a semiconductor strain gauge with sealed film, which is

To simplify the design, the first piezoelectric element 23 on the atomizer 9 can be

omitted, and the atomization of the nicotine solution will be made only by the heating

element 26. The size of such an atomizer can be made smaller, and the structure of the

As shown in FIG. 10, a silicon gel check valve 31 may cover the outside of the through hole on the vapor-liquid separator 7. During smoking, a stream reaches the through hole, as the air pressure in the through hole increases, the silicon gel check valve 31 is opened and the stream passes; otherwise, the silicon gel check valve 31 is closed.

As shown in FIG. 5, the sensor 6 may also be designed into a structure with the

11

Logic Tooh, Davolopriant LLC EXHIBIT 1004 PAGE 0011

Exhibit 1003-00011

Meyst – Hon 043's Ejection Holes Are Not Atomizers

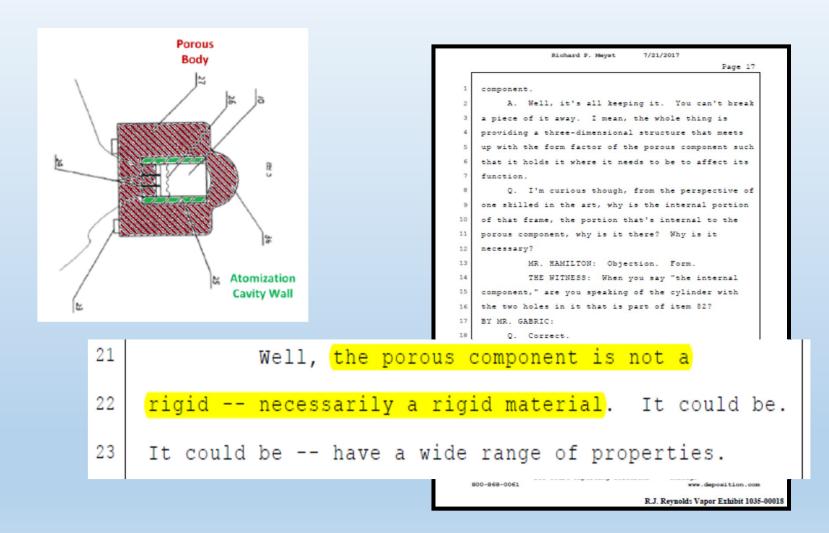
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Richard P. Meyst
                                                                                           6/7/2017
                                                                                                      Page 80
                                                                          MR. GABRIC: That's fine
                                                                          THE VIDEOGRAPHER: Should we go off?
12
                     Welcome back, Mr. Meyst.
                                                                So does Hon '043
13
      disclose any embodiment that lacks either the first
                                                                                               ck on the record
14
      piezoelectric element 23, the heating element 26 or
                                                                                               o does Hon '043
                                                                                               ither the first
      the second piezoelectric element 35?
15
                     I could not find one.
16
                                                                                               eclaration you
                                                                   that Hon '043 discloses an embodiment without
                                                                   requiring any of the first piesoelectric element 23,
                                                                   the heating element 26, or the second piesoelectric
                                                                          MR. HAMILTON: Objection, vague,
                                                                   mischaracterises the testimony.
                                                                  800-868-0061
                                                                                                  www.deposition.com
                                                                                           R.J. Reynolds Vapor Exhibit 1023-00081
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Meyst – Hon 043's Ejection Holes Are Not Atomizers

droplets. Hon '043 at 9–11 (Ex. 1003). The heating element and both piezoelectric elements are optional. Hon '043 at 11 (Ex. 1003). And were you in paragraph 37 trying to avity. Hon '043 at 11 (Ex. 1003). Fourth, a heat 23 ire" or a "sheet," can be included in the cavity Hon '043 at 9-11 (Ex. 1003). T tric elements are optional. Hon '043 at 11 convey to these three judges the notion that Hon 38. Hon '043 is concerned with making 24 shaled by the user, and that "large droplets" do no droplets to be reabsorbed without exiting the elect 25 '043 discloses an atomizer that does not require any 11 (Ex. 1003). Large droplets will stick to the cavi one of the first piezoelectric element, the -- 23 -the heating element 26 or the second piezoelectric Q. And you understood the element 35? three judges at the Patent Office 21 declaration; right? MR. HAMILTON: Objection, vague and A. Yes. mischaracterizes the testimony. 23 Q. And were you in paragra convey to these three judges the THE WITNESS: That was my opinion at the '043 discloses an atomizer that time. one of the first piezoelectric the heating element 26 or the se BY MR. GABRIC: MR. HAMILTON: Objecti Are you changing your opinion today? mischaracterizes the testimony. THE WITNESS: That was I'd like to have more time to review it, 10 BY MR. GABRIC: Q. Are you changing your 111 So my answer is I'd like to have more time A. I'd like to have more but yes. So my answer is I'd 1:12 to review it before I say I'm changing my opinion or to review it before I say I'm cl not.

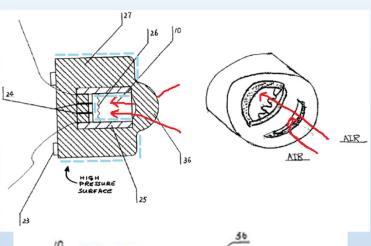
(Meyst Decl., Ex. 2015, ¶ 37; Meyst Dep. Tr., Ex. 1023, 81:23-82:13; Petitioner's Reply to P.O.'s Suppl. Brief, paper 56, pp. 1-2)

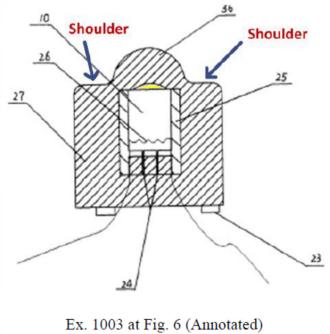
Meyst – Rigidity of Hon 043's Porous Body



(Reply Brief, paper 30, p. 11; Meyst 1692 IPR Dep. Tr., Ex. 1035, 17:21-23; Petitioner's Suppl. Brief, paper 51, pp. 1-2)

Hon 043 – Purported Exit Hole (Dr. Sturges)





35. A PHOSITA, however, would have understood that Hon 043 does not

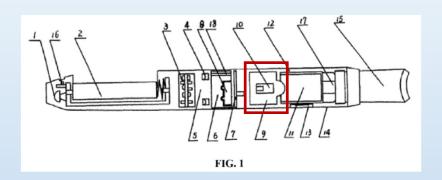
disclose an open exit at or near the bulge 36 at the downstream end of the atomizer

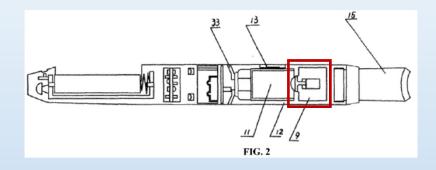
9. First, Hon 043 does not describe, nor do Hon 043's drawings show, an exit hole. Figs. 6 and 8 are sectional views of atomizer 9. Figs. 6 and 8 do not show any open exit through porous body 27 at or near bulge 36 at the downstream end of atomizer 9. The small scallop-shaped space (highlighted in yellow in annotated Fig. 6 below) at the downstream top of atomization cavity 10 is an inner dome shaped cavity behind the bulge, not an opening. A PHOSITA understanding standard drawing practices would have readily discerned this fact. Moreover, the top of the dome is below the shoulder surrounding the bulge 36. As such, the dome is buried under both bulge 36 and the shoulder. The dome thus does not run through porous body 27, and cannot be an open exit as Meyst argued.

(Meyst Decl., Ex. 2015, ¶ 79; Sturges Reply Decl., Ex. 1027, ¶ 35)

R.J. Reynolds Vapor Ex. 1036-00049

Meyst - Hon 043's Purported Exit Hole

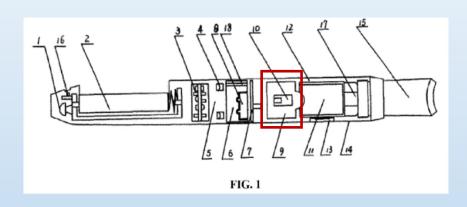




```
19
            So in reaching your opinions in this case,
20
    you did not study Figure 2 and whether the atomizer
    depicted in Figure 2 has an exit hole?
21
22
             MR. HAMILTON: Objection, mischaracterizes
    the testimony, form.
23
             THE WITNESS: I may have read through it.
24
25
    I didn't study it. And my focus was on the other
    embodiments.
1
```

(Hon 043, Ex. 1003, Figs. 1, 2; Meyst Dep. Tr., Ex. 1023, 122:19-123:1; Reply Brief, paper 30, p. 18)

Hon 043's Aerosol Passes Through The Porous Body



solution is subjected to the ultrasonic atomization by the first piezoelectric element 23 and is further atomized by the heating element 26. After the atomization, the large diameter droplets stick to the wall under the action of eddy flow and are reabsorbed by the porous body 27 via the overflow hole 29, whereas the small diameter droplets float in stream and forms acrosols, which are sucked out via the acrosol passage 12, gas vent 17 and mouthpiece 15. The solution storage porous body 28 in the liquid-supplying bottle 11 will be in contact with the bulge 36 on the atomizer 9, thereby achieving the capillary infiltration liquid-supplying.

The mouthpiece 15 is threaded. When the nicotine solution in the liquid-supplying bottle 11 is used up, users can screw the mouthpiece 15 out to take the liquid-supplying bottle 11 out, refill the liquid-supplying bottle 11 with the nicotine solution, put the liquidsupplying bottle 11 into the shell 14 ugain, and then screw the mouthpiece 15.

The Reed switch 19, the first magnetic steel 20, the second magnetic steel 21, the ripple film 22 can be replaced by a semiconductor strain gauge with sealed film, which is mounted in the place of the ripple film of the sensor.

To simplify the design, the first piezoelectric element 23 on the atomizer 9 can be omitted, and the atomization of the nicotine solution will be made only by the heating

is further atomized by the heating element 26. After the atomization, the large diameter droplets stick to the wall under the action of eddy flow and are reabsorbed by the porous body 27 via the overflow hole 29, whereas the small diameter droplets float in stream and forms aerosols, which are sucked out via the aerosol passage 12, gas vent 17 and mouthpiece 15. The solution storage porous body 28 in the liquid-supplying bottle 11 will

Exhibit 1003-00011

Hon 043's Aerosol Passes Through The Porous Body (Dr. Sturges)

Thus, the PHOSITA would have readily appreciated that no exit hole is required in Hon 043's device, but to the contrary, the porous body 27 is rather made from a foam materials that have pore sizes large enough to allow Hon 043's aerosol to pass without the porous body reabsorbing the atomized liquid. Thus, just as an air stream can pass through the pores of the porous body at the upstream end - which Meyst admitted, an aerosol carrying small liquid droplets particles that are magnitudes smaller than the pores of the porous body can pass through the porous body at the downstream end.

1003 at 11. (As a PHOSITA would have understood, Hon's "small diameter droplets" are few microns in diameter. Ex. 1028 (Mitchell et al.) at Table 2

molecular weight polymer foam. Ex. 1003 at 9. A PHOSITA would have understood that these foam materials have a pore size ranging into the hundreds of microns in diameter. See, Ex. 1030 (U.S. Pat. No. 4,957,543) at 5:59-66 (teaching

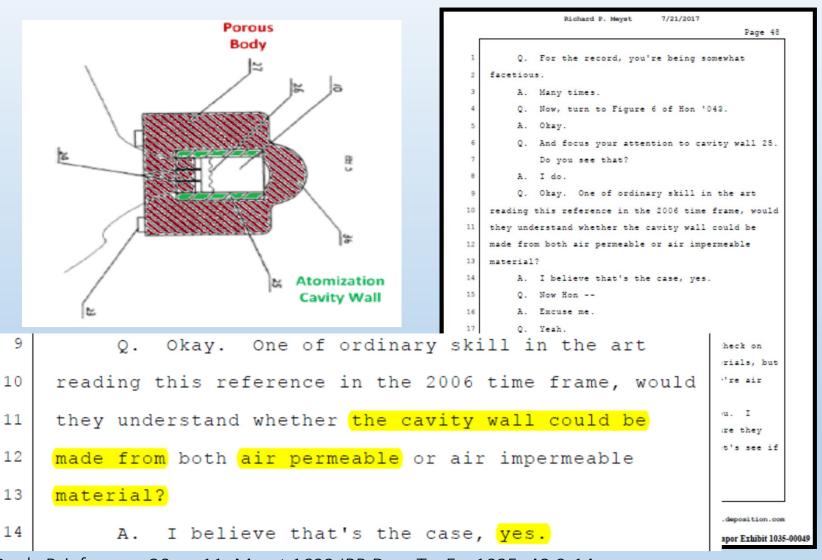
42. Meyst alleged that without the alleged exit hole, the atomized droplets ald be reabsorbed back into the porous body. Ex. 2015 at ¶ 45. I disagree. A OSITA would have understood that pore sizes in the porous body materials templated by Hon 043 are significantly larger than the small diameter droplets Hon 043's aerosol. Specifically, Hon 043 expressly distinguishes between ge diameter droplets" which stick to the cavity wall and are ultimately bsorbed by the porous body from the atomized "small diameter droplets" that n "aerosols, which are sucked out" by the user through the mouthpiece. Ex. 13 at 11. As a PHOSITA would have understood. Hon's "small diameter plets" are few microns in diameter. Ex. 1028 (Mitchell et al.) at Table 2 iching aerosol particle size in the range of 0.9-4.3 µm); Ex. 1029 (Breon at al.) Abstract (teaching cloud droplet size of 6-14 µm). Meyst similarly testified that believed a PHOSITA would have understood that aerosols "desirable and essary to get things in deep into the lung space' would have liquid droplets in range of 2-5 microns. Ex. 1023 at 97:22-98:18. Hon 043 also teaches that suitable materials for porous body 27 include foam nickel, foam ceramic, or high

----lecular weight polymer foam. Ex. 1003 at 9. A PHOSITA would have lerstood that these foam materials have a pore size ranging into the hundreds of rons in diameter. See, Ex. 1030 (U.S. Pat. No. 4,957,543) at 5:59-66 (teaching sel foam with pore size between 20 – 400 µm); Ex. 1031 (U.S. Pat. No. 6,932,925) at 4:16-19 (teaching ceramic foam with pore size of 200 – 500 µm).

Thus, the PHOSITA would have readily appreciated that no exit hole is required in 14-ra 043's device, but to the contrary, the porous body 27 is rather made from a m materials that have pore sizes large enough to allow Hon 043's aerosol to s without the porous body reabsorbing the atomized liquid. Thus, just as an air am can pass through the pores of the porous body at the upstream end - which yst admitted, an aerosol carrying small liquid droplets particles that are guitudes smaller than the pores of the porous body can pass through the porous body at the downstream end.

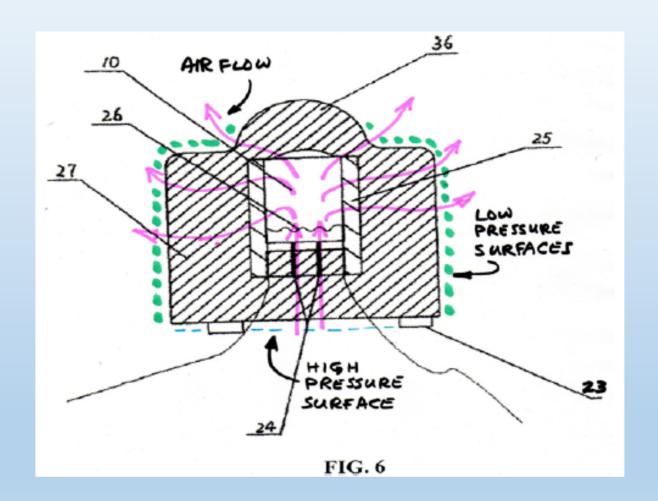
(Sturges Reply Decl., Ex. 1027, ¶ 42)

Meyst – Hon 043's Cavity Wall is Permeable to Airflow



(Reply Brief, paper 30, p. 11; Meyst 1692 IPR Dep. Tr., Ex. 1035, 48:9-14; Petitioner's Suppl. Brief, paper 51, p. 5 (f.n. 6))

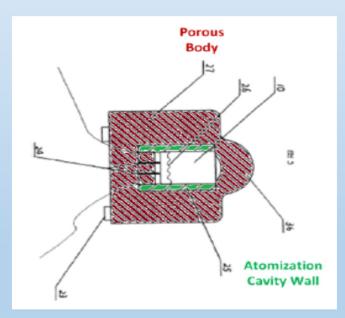
Hon 043 Airflow

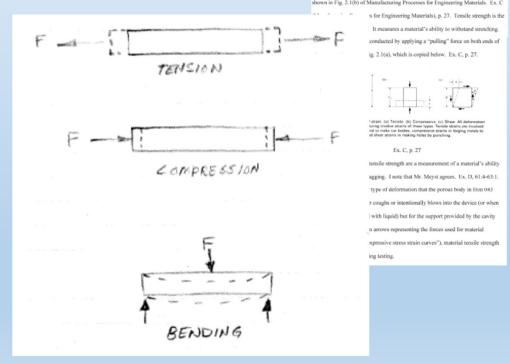


(Sturges Petition Decl., Ex. 1015-00035)

Hon 043's Porous Body - 2 psi (Dr. Sturges)

the stress-strain curves and the purported tensile strengths of certain materials on sponsor which Mr. Meyst relies is not relevant to the extent to which Hon 043's porous why should bend or sag but for the presence of cavity wall 27. The term





(Sturges Suppl. Evid. Decl., Ex. 1034, ¶ 11; Reply Brief, paper 30, p. 11)

Meyst - Stress Strain Curves Are Not Relevant

```
Page 69
                                                                                                         Q. And -- strike that.
                          Now, is this graph measuring how much of
20
21
                                                                                                                      30 save it's a similar
        material -- these materials will bend in response to
                                                                                                                      fibers taken from this
                                                                                                                      : 2026. So this is also a
        an applied stress?
                                                                                                                         7/21/2017
                                                                                                                                  Page 68
                          This is a straight compressive load.
                                                                                                                      on the X axis are
                                                                                                                      ressed and responds to
                          That's a different measurement than
                                                                                                                      per millimeter. I don't
                                                                                                                      rcentage. But it's --
                                                                                                                      ng dimension to the
        measuring how much a material may bend in response
                                                                                                                      essed. It's going to get
        to a stress?
                                                                                                                      py more -- less space as
                                                                                                                      y occupy less space.
                          Yes, it is.
                                                                                                         M. rean, it may buige out at the side. I
                                                                                                      don't know.
                                                                                                         Q. I see. Okay.
                                                                                                           Now, is this graph measuring how much of
                                                                                                      material -- these materials will bend in response to
                                                                                                         A. This is a straight compressive load.
                                                                                                         O. That's a different measurement than
                                                                                                      measuring how much a material may bend in response
                                                                                                            DTI Court Reporting Solutions - Chicago
                                                                                                                         R.J. Reynolds Vapor Exhibit 1035-0006
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(Meyst 1692 IPR Dep. Tr., Ex. 1035, 68:20-69:2; Petitioner's Suppl. Brief, paper 51, pp. 2-3)

R.J. Reynolds Vapor Ex. 1036-00056

Tensile Strength Not Relevant

```
17
         Q. What's tensile strength?
         A. It's a measure of mechanical strength.
18
         Q. And what does it -- how is this strength
19
20
    measured?
             Typically it's in tension, as opposed to
21
    compression.
23
            And tension is what, pulling on the
24
    material rather than --
25
             Yes.
             -- compressing it?
         A. Yes.
```

Path of Airflow – Whittemore (Dr. Sturges)

54. The PHOSITA would have understood that Hon 043's heating wire is

in the path of airflow. The PHOSITA would have also understood that

wire, the PHOSITA k extends through the

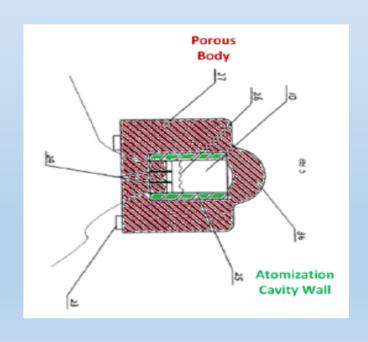
Whittemore's wire wrapped wick is also in the path of airflow, as shown in the

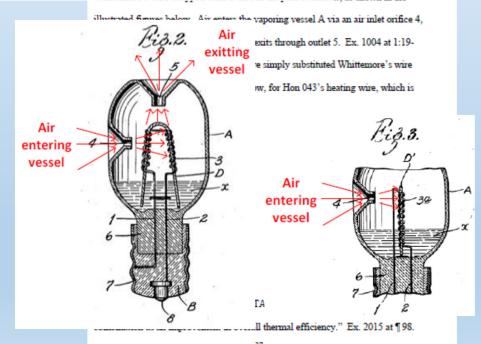
n 043's heating wire is

illustrated figures below. Air enters the vaporing vessel A via an air inlet orifice 4,

w. as shown in the

rstood that

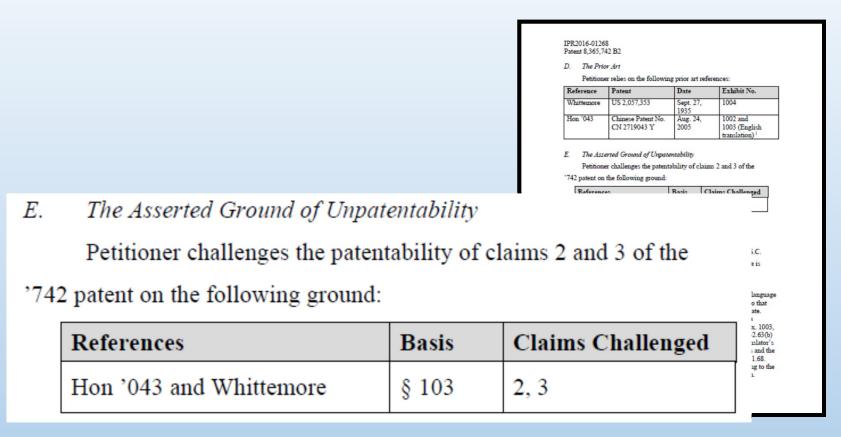




(Sturges Reply Decl., Ex. 1027, ¶ 54; Reply Brief, paper 30, p. 11)

R.J. Reynolds Vapor Exhibit 1027-00038 R.J. Reynolds Vapor Ex. 1036-00058

Institution Decision



Level of Skill

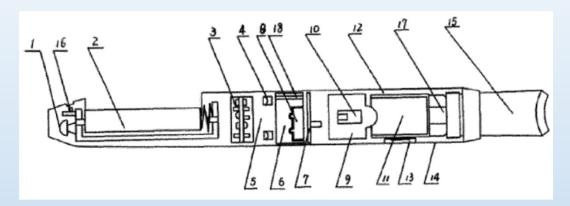
Mr. Meyst's Definition

"a person with a mechanical or electrical engineering degree, industrial design degree, or similar technical degree, or equivalent work experience, and 5-10 years of working in the area of electromechanical devices, including medical devices"

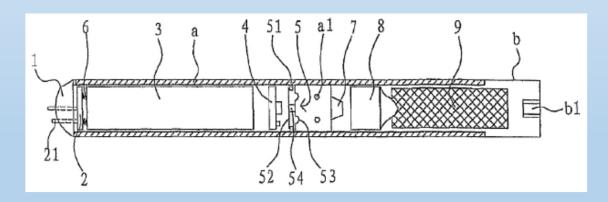
Dr. Sturges' Definition

"a person with at least the equivalent of a Bachelor's degree in electrical engineering, mechanical engineering, or biomedical engineering or related fields, along with at least 5 years of experience designing electromechanical devices, including those involving circuits, fluid mechanics and heat transfer"

Axial Displacement



Hon 043, Ex. 1003, Fig. 1



742 Patent, Ex. 1001, Fig. 1

(Hon 043, Ex. 1003, Fig. 1; 742 Patent, Ex. 1001, Fig. 1)

P.O. Asked Dr. Sturges About "Other" Forces

```
Q So other than this axial displacement from the
                                                              14:32:05
interaction during insertion of the liquid supply into
                                                              14:32:09
the device between the bulge and the liquid supply, is
                                                              14:32:14
there anything else that would cause a shear force on
                                                              14:32:24
the walls of the -- between the cavity walls and the
                                                              14:32:29
porous body?
                                                              14:32:33
   A Yes, there could be several reasons for that.
                                                              14:32:35
Several sources.
                                                              14:32:39
    O What other reasons?
                                                              14:32:41
    A Well, there could be the supporting of the
                                                              14:32:43
porous body under its own weight when filled with
                                                              14:32:53
liquid. And you wouldn't want the porous body to deform
                                                              14:32:58
under those conditions, so you'd want it to be supported
                                                              14:33:05
by the cavity wall.
                                                              14:33:08
          There's also the likelihood that the electronic
                                                              14:33:13
cigarette may be dropped on the ground, in which case
                                                              14:33:21
there would be shock forces well in excess of gravity
                                                              14:33:26
being felt by the porous body, and you wouldn't want it
                                                              14:33:33
to deform. You'd want a rigid material to support it.
                                                              14:33:36
          And there's also the possibility that I
                                                              14:33:43
mentioned in my report of an inadvertent overpressure in
                                                              14:33:47
the cavity area tending to collapse the porous body on
                                                              14:33:55
itself, and you'd want the cavity wall in order to
                                                              14:34:03
support that.
                                                              14:34:07
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(Sturges Dep. Tr., Ex. 2016, 126:4-127:2; Reply Brief, paper 30, pp. 9-10)

Dr. Sturges – Rigidity

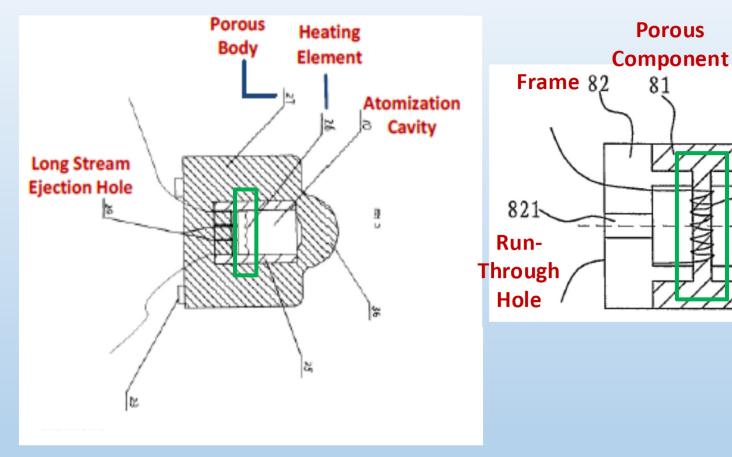
inserted into the storage porous body 28. The shear forces could be particularly significant when the porous body and the solution storage body 28 are made from materials that have similar and relatively high rigidity. See Ex. 1003 at 9-10 (noting that porous body 27 may be made from "nickel, stainless steel fiber felt, high molecule polymer foam and foam ceramic," and that solution storage body 28 "can be filled with polypropylene fiber, terylene fiber, nylon fiber, or be filled with plastic that are shaped by foaming, such as polyamine resin foam column or polypropylene foam column; alternatively, it may be made of a column formed by molding polyvinyl chloride, polypropylene, polycarbonate into a stack of laminated layers."). The PHOSITA would have recognized that the foregoing materials can have a wide range of rigidities.

(Sturges Petition Decl., Ex. 1015, ¶ 45)

Meyst Opined About A Purported Lack Of Weight-Bearing Support

person of ordinary skill in the art would not have understood Hon '043 as disclosing a frame supporting a porous component. The Board previously found that Hon '043's cavity wall 25 does not support porous body 27 according to the plain and ordinary meaning of "support." VMR Decision at 15–16 (Ex. 1011). I agree with that finding. As the cavity wall 25 is inside of the porous body 27, it does not hold up the porous body 27.

Hon 043 Compared To 742 Patent



Hon 043, Ex. 1003, Fig. 6

742 Patent, Ex. 1001, Fig. 18

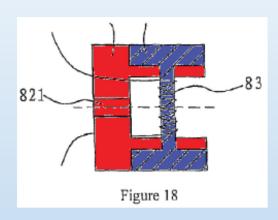
(Petition, paper 2, pp. 9, 15)

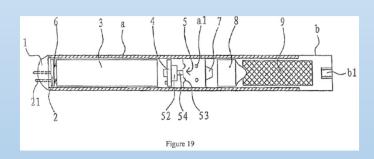
Heating

Wire

83

Meyst – 742 Patent's Atomizer





742 Patent, Ex. 1001, Figs. 18-19

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Q. What is the basis for that assumption, that
    the vertical component of the frame is being held?
    Where in the '742 patent is that assumption
    supported?
         A. I think that's something that a POSITA
    would look at and understand that it has to be
    supported, because it's not just in the vapor.
14
         Q. Well, that -- so I -- my question is where
   in the '742 patent does it disclose that the frame,
   this red piece, the frame, is attached to anything?
17
   Where is it disclosed?
             MR. HAMILTON: Objection, asked and
    answered and vague.
             THE WITNESS: The elements shown in Figure
20
   17 and 18 are part of the atomizer, so it is inside
   item No. 8, which is in Figure 19, and 8 is the
   atomizer.
             MR. GABRIC: Right.
             THE WITNESS: So for this to work properly
   it has to be inside the atomizer and it needs to be
   supported. I mean, that's -- it does not say in
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(Meyst Decl., Ex. 2015, ¶ 29; 742 Patent, Ex. 1001, Figs. 18-19 Meyst Dep. Tr., Ex. 1023, 21:7-22:2; Reply Brief, paper 30, pp. 9-10)