## UNITED STATES PATENT AND TRADEMARK OFFICE \_\_\_\_\_

#### BEFORE THE PATENT TRIAL AND APPEAL BOARD

BRIGHT HOUSE NETWORKS, LLC WIDEOPENWEST FINANCE, LLC KNOLOGY OF FLORIDA, INC. BIRCH COMMUNICATIONS, INC,

Petitioner

v.

FOCAL IP, LLC,

Patent Owner

Case IPR2016-01262 Patent Number: 7,764,777 B2

DECLARATION OF REGIS J. "BUD" BATES IN SUPPORT OF PATENT OWNER'S CONTINGENT MOTION TO AMEND



## **TABLE OF CONTENTS**

I.	QUA	UALIFICATIONS2		
II.	PERS	PERSON OF ORDINARY SKILL4		
III.	LEGAL UNDERSTANDING			
	A.	ANTICIPATION	5	
	B.	OBVIOUSNESS	6	
IV.		DISCUSSION OF THE PSTN AND OVERVIEW OF THE '777 PATENT		
V.	9 STAT	STATE OF THE ART AS OF THE PRIORITY DATE15		
VI.	UNIV	UNIVERSE OF PRIOR ART18		
VII.	SUMMARY OF THE REFERENCES2		21	
	A.	Summary of Schwab	21	
	B.	Summary of O'Neal	26	
	C.	Summary of Archer	31	
	D.	Summary of Chang	33	
	E.	Summary of Burger	36	
	F.	Summary of Alexander	39	
	G.	Summary of Shtivelman	41	
	H.	Summary of McMullin	42	
	I.	Summary of Swartz	44	
VIII.	ARG	UMENTS	45	

## Declaration of Regis J. "Bud" Bates

	A. The EXT Art does not disclose a Tandem Access Controlle	r		
	Communicating with a Tandem Switch without Passing through an Edg	e		
	Switch4	6		
	B. The EXT Art does not Disclose Additional Elements in the Proposed Substitute Claim			
	C. The Shtivelman and McMullin do not disclose a Tandem Access Controller Communicating with a Tandem Switch without Passing through at Edge Switch	n		
	D. The Intelligent Network Art (Chang)49	9		
IX.	OBVIOUSNESS52	2		
$\mathbf{v}$	CONCLUSION 55	5		



### I, Regis J. "Bud" Bates, declare as follows:

- 1. My name is Regis J. "Bud" Bates, and I have been retained as an expert witness for *Inter Partes* Review of IPR2016-01262.
- 2. This report contains statements of my opinions formed to date and the bases and reasons for those opinions. I may offer additional opinions based on further review of materials in this case, including opinions and/or testimony of other expert witnesses.
- 3. I understand that this Declaration is being submitted along with Patent Owner's Contingent Motion to Amend.
- 4. Capitalized terms found in this Declaration that are not defined herein have the meaning given them in Patent Owner's Contingent Motion to Amend Claim 46 of U.S. Patent No. 7,764,777.
- 5. In preparing this Declaration, I have reviewed the Petitions, the declarations that accompany the Petitions, the Institution Decision, and the exhibits that have been submitted in this IPR proceeding as well as the Related IPR Proceedings.
- 6. This Declaration is a statement of my opinions on issues related to the validity of the Proposed Substitute Claim and the other issues raised in the Contingent Motion to Amend.
- 7. I am of the opinion that the Proposed Substitute Claim is patentable over the IPR Art, as well as all other art about which I am aware.



### I. QUALIFICATIONS

- 8. This section summarizes my career history, education, publications, and other relevant qualifications. My full curriculum vitae is attached as Appendix A to this report.
- 9. I have been involved in and with the telecommunications industry for 50 years and have seen the development and growth of the various technologies, infrastructure, legal, regulatory, and technical services.
- 10. Notably, I am the author of 20 books which outline the use of Voice and Data technologies used throughout the industry. As author of these books, I am situated to opine upon the state of the Telephone Networks, as well as the conclusions that both parties in this proceeding draw therefrom.
- 11. I am the founder and president of TC International Consulting, Inc. (TCIC), based in Heber, Arizona. I have held this position since the inception of the company in October 1989. TCIC is a full service consulting and training firm specializing in communications and computer convergence.
- 12. My role is to assist our client companies with the analysis of options, selection of vendors or products to meet their strategic goals, and training for technologies including voice, telephone systems, data networks, video, Internet, wireless, wireless local area networks (LAN), voice over Internet Protocol (VoIP) systems and services, fiber optics, and infrastructure. We have been responsible for selecting and implementing over 100 private branch exchange (PBX) systems for our client companies. TCIC develops and conducts training for corporate users, equipment manufacturers, and Telephone and Internet carriers.



# DOCKET

## Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

#### API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

#### **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

