Case 3:15-cv-00743-MMH-JRK Document 2 Filed 06/23/15 Page 1 of 1 PageID 88

AO 120 (Rev. 08/10)			REPORT ON THE		
Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		ice	FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
CLUL in the U.S. Distric		Distri	1116 you are hereby advised that a court action has been ct of Florida - Jacksonville on the following as 35 U.S.C. § 292.):		
			ATTRACT COUNT		
DOCKET NO. 3:15-cv-743-J-34JRK	6/19/2015		Middle District of Florida - Jacksonning		
PLAINTIFF			DEFENDANT		
Patent Asset Licensing, LLC			WIDEOPEN WEST FINANCE, LLC, AND KNOLOGY OF FLORIDA, INC.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 7,764,777 82	7/27/2010	Wa	od et al.		
2 8,155,298 B2	4/10/2012	012 Wood et al.			
	6/4/2013	2013 Wood et al.			
3 8,457,113 B2		+			
4					
5					

In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	iment Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDE	ER OF PATENT OR T	TRADEMAKK
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In the above-cntitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK Sheryl L. Loesch	(BY) DEPUTY CLERK Robin Hall	DATE 6/23/2015

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

1

Case 3:15-cv-00747-TJC-JBT Document 3 Filed 06/22/15 Page 1 of 1 PageID 88

O 120 (Rev. 08/10)				
Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
filed in the U.S. District Court Middle District O		strict of	1116 you are hereby advised that a court action has been Florida, Jacksonville Division on the following s 35 U.S.C. § 292.):	
DOCKET NO.	DATE FILED 6/19/2015	0.5. 0	Middle District of Florida, Jacksonville Division	
3:15-cv-747-J-32MCR	0/10/2010		DEFENDANT	
PLAINTIFF			T3 Communications, Inc	
Patent Asset Licensing, L				
PATENT OR TRADEMARK NO.			HOLDER OF PATENT OR TRADEMARK	
	7/27/2010	Pat	ent Asset Licensing, LLC	
1 US 7,764,777 B2	112112010	+		
2 US 8,155,298 B2	4/10/2012		ent Asset Licensing, LLC	
3 US 8,457,113 B2	6/4/2013	Pa	tent Asset Licensing, LLC	
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK SHERYL L. LOESCH	(BY) DEPUTY CLERK	DATE 6/22/2015

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Ex. 1007 YMax Corporation Page 2 of 294 Case 3:15-cv-00744-MMH-JBT Document 3 Filed 06/22/15 Page 1 of 1 PageID 88

AO 120 (Rev. 08/10) **REPORT ON THE** Mail Stop 8 FILING OR DETERMINATION OF AN Director of the U.S. Patent and Trademark Office TO: ACTION REGARDING A PATENT OR P.O. Box 1450 TRADEMARK Alexandria, VA 22313-1450 In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been on the following Middle District of Florida - Jacksonville filed in the U.S. District Court ☑ Patents. (□ the patent action involves 35 U.S.C. § 292.): Trademarks or U.S. DISTRICT COURT DATE FILED Middle District of Florida - Jacksonville DOCKET NO. 6/19/2015 3:15-cv-744-MMH-JBT DEFENDANT PLAINTIFF YMAX Corporation Patent Asset Licensing, LLC HOLDER OF PATENT OR TRADEMARK DATE OF PATENT PATENT OR

TRADEMARK NO.	OR TRADEMARK	
1 7,764,777	7/27/2010	Wood et al.
1 7,704,777		
2 8,155,298	4/10/2012	Wood et al.
	0/4/2012	Wood et al.
3 8,457,113	6/4/2013	
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	dment 🗌 Answer 🗌 Cross Bill 🗌 Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

	(BY) DEPUTY CLERK	DATE
CLERK		6/22/2015
Sheryl L. Loesch	Nicole Schaefer	

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)		
Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
filed in the U.S. Distr		5 U.S.C. § 1116 you are hereby advised that a court action has been dle District of Florida - Jacksonville on the following on involves 35 U.S.C. § 292.):
DOCKET NO. 3:15-cv-743-J-34JRK PLAINTIFF Patent Asset Licensing,	DATE FILED 6/19/2015 LLC	U.S. DISTRICT COURT Middle District of Florida - Jacksonville DEFENDANT WIDEOPEN WEST FINANCE, LLC, AND KNOLOGY OF FLORIDA, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,764,777 82	7/27/2010	Wood et al.
2 8,155,298 B2	4/10/2012	Wood et al.
3 8,457,113 B2	6/4/2013	Wood et al.
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	nent Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above-cntitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK Sheryl L. Loesch	(BY) DEPUTY CLERK Robin Hall	DATE 6/23/2015

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Ex. 1007 YMax Corporation Page 4 of 294

O: Director of the U.S. P.(Alexand)	/ail Stop 8 Patent and Trademark Of O. Box 1450 ria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
In Compliance	with 35 U.S.C. § 290 and/or 15	n involve	1116 you are hereby advised that a court action has been Florida, Jacksonville Division on the following as 35 U.S.C. § 292.):
	DATE FILED 6/19/2015 LC	U.S. DI	STRICT COURT Middle District of Florida, Jacksonville Division DEFENDANT T3 Communications, Inc
PATENT OR	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
TRADEMARK NO. 1 US 7,764,777 B2	7/27/2010		tent Asset Licensing, LLC
2 US 8,155,298 B2	4/10/2012		tent Asset Licensing, LLC
3 US 8,457,113 B2	6/4/2013	Pa	itent Asset Licensing, LLC
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	nt 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDEF	R OF PATENT OR '	TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(BY) DEPUTY CLERK	DATE 6/22/2015
SHERYL L. LOESCH		tion, mail this copy to Director

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Ex. 1007 YMax Corporation Page 5 of 294

1

Case 2:15-cv-01108 Document 4 Filed 06/19/15 Page 1 of 1 PageID #: 84

AO 120 (Rev. 08/10)				
Mail Stop 8 O: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		ffice	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
filed in the U.S. Distr		n Distric		
DOCKET NO. 2:15-cv-1108	DATE FILED 6/19/2015	U.S. E	DISTRICT COURT Eastern District of Texas, Marshall Division	
PLAINTIFF Fellowship Filtering Tech			DEFENDANT Salesforce.com, Inc.	
PATENT OR	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
TRADEMARK NO. 1 5,884,282	5/16/1999	Fell	owship Filtering Technologies, LLC	
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	ment Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

			DATE
1		(BY) DEPUTY CLERK	
	CLERK		

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Ex. 1007 YMax Corporation Page 6 of 294 Case 2:15-cv-03181-DDP-AS Document 14 Filed 06/18/15 Page 1 of 1 Page ID #:185

O: Director of the U.S P	Mail Stop 8 . Patent and Trademark Off .O. Box 1450 Jria, VA 22313-1450	ice	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
In Compliance filed in the U.S. Distri Trademarks or	with 35 U.S.C. § 290 and/or 15 U ict Court <u>Central District of Ca</u> Patents. (the patent action DATE FILED	involve	1116 you are hereby advised that a court action has been a on the following s 35 U.S.C. § 292.): ISTRICT COURT IDistrict of California
2:15-cv-03181 DDP (ASx)	April 29, 2015 ss America Trading Corp., and		DEFENDANT Hernan Slodowicz, et al.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 3696663	10/13/2009	Lear	Capital, Inc.
2			
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5			i () have been included.
	In the above—entitled case, the INCLUDED BY	e follow	ing patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	idment Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
	(BY) DEPUTY CLERK	DATE
CLERK		
moil this (ny to Director Copy 3—Upon termination of action, mail	this copy to Director
Copy 1––Upon initiation of action, mail this co Copy 2––Upon filing document adding patent	(s), mail this copy to Director Copy 4—Case file copy	American LegalNet, Inc.

American LegalNet, Inc. www.FormsWorkFlow.com EX. 1007

1

YMax Corporation Page 7 of 294

United States Patent and Trademar		UNITED STA United States Address: COMMI P.O. Box I	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/948,965	11/30/2007	Samuel F. Wood	357323-990125
			CONFIRMATION NO. 3783
26379		POWER O	F ATTORNEY NOTICE
DLA PIPER LLP (US)			
2000 UNIVERSITY AVENUE			CC000000070952567*
EAST PALO ALTO, CA 94	4303-2248	*(0C000000070952567*
			Date Mailed: 09/25/2014

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/19/2014.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/dtdinh/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

United States Patent and Trademar		UNITED STA' United States Address: COMMI PO. Box I	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/948,965	11/30/2007	Samuel F. Wood	002964.P075
			CONFIRMATION NO. 3783
8791 BLAKELY SOKOLOFF TAYLOR & ZAFMAN 1279 Oakmead Parkway Sunnyvale, CA 94085-4040		POA ACCI	EPTANCE LETTER
			C000000070952601*
2 ·			Date Mailed: 09/25/2014

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/19/2014.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/dtdinh/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

PTC/SB/81A (12:08) Approved for use through 11/30/2011 DM8 6851-0035 U.S. Patient and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Papervork Reduction Act of 1995, no persons are required to respond to a collection of infomation unless if displays a valid DM8 control number

PATENT - POWER OF ATTORNEY	Patent Number	7,764,777	>
ALCH FLOWER OF ALLOHNET	Issue Date	July 27, 2010	
REVOCATION OF POWER OF ATTORNEY	First Named Inventor	Samuel F. Wood	
WITH A NEW POWER OF ATTORNEY AND	Title	Branch Calling and Caller ID Based Call Routing Telephone Features	
CHANGE OF CORRESPONDENCE ADDRESS	Attorney Docket Number	002964.P075)

I hereby revoke all	previous powers of attorney given in th	ne above-identi	fied patent.	
A Power of At	torney is submitted herewith.			
attorney(s) or	int Practitioner(s) associated with the follow agent(s) with respect to the patent identifie ares Patent and Trademark Office connecte	d above, and to I		08791
I hereby apportant to	int Practitioner(s) named below as my/our a transact all business in the United States F	attorney(s) or age Patent and Trade	ant(s) with respect to the mark Office connected to	patent identified herewith:
	Practitioner(s) Name		Registration Number	
OR	ange the correspondence address for the above- sociated with the above-mentioned Customer Nu sociated with Customer Number:			
Individual Name				
Address				
City		Siate	Zi	0
Country			·····	
Telephone		Email		
DR Brinn Batani owner	nownership of the patent. In 37 CFR 3.73(b) (FormyFTD/SB/96) submitted I	nerewith or filed on		
	LEISNATURE of Inventor	or Patent Owner		
Signature	147/			26/2014
Name	Jeff Adeline		Telephone (323) 865	-9200
Title and Company	Manager, Focal IP, LLC	- in the sport chartering	in the constant Subort mills	no son it non
NOTE: Signatures of all signature is required, see	the inventors or patent ewiters of the entire interest or below.	ner tetroseriñavet	20 Bio LEGRIERO: ORDERI (HURI)	The route is store tool the
"Toisi ol	forms are submitted.			

This collection of minimization is required by 37 CFR 1.51, 1.22 and 1.33. The information is required to obtain or retain a bonefit by the public which is to tile (and by 35 US C 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gethering, preparing, and submitting the completed application form to the USFTO. Three will vary depending upon the includious case. Any comments on the amount of times you require to complete this form and/or suggestions for reducing this burde, should be sent to the Chief Information Officer, U.S. Department of Complete Distort auggestions for reducing the sent to the Chief Information Officer, U.S. Patent and Trademark Officer U.S. Department of Complete Distorts auggestions for reducing the sent to the Chief Information Officer, U.S. Patent and Trademark Officer U.S. Department of Complete, PO Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Ex. 1007 YMax Corporation Page 10 of 294

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numbe
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC
Approved for use through 07/31/2012, OMB 0651-003
PTO/SB/96 (07-0)

STATEMENT UNDER 37 CFR 3.73(b)	
Applicant/Patent Owner:	
Application No./Patent No.: 7,764,777 Filed/Issue Date: July 27, 2010	
Titled:	
Focal IP, LLC , a limited liability company	
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government and	jency, etc.
states that it is:	
1. X the assignee of the entire right, title, and interest in;	
2. an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is%); or	
3 the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors w	vas made)
the patent application/patent identified above, by virtue of either:	
 A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recluded the United States Patent and Trademark Office at Reel, Frame, or for copy therefore is attached. 	orded in r which a
B. X A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as	follows
1. From: Wood, Samuel F., Klein, Jerry A. To: Telemaze. Inc.	
The document was recorded in the United States Patent and Trademark Office at	
Reel <u>014034</u> , Frame <u>0043</u> , or for which a copy thereof is attac	hed.
2. From: Asprey, Margaret Susan To: Telemaze, Inc.	
The document was recorded in the United States Patent and Trademark Office at	
Reel <u>015613</u> , Frame <u>0259</u> , or for which a copy thereof is attac	ned.
3. From: <u>Telemaze, Inc.</u> To: <u>Telemaze LLC</u>	·····
The document was recorded in the United States Patent and Trademark Office at	
Reel016844, Frame0708, or for which a copy thereof is attack	ned.
X Additional documents in the chain of title are listed on a supplemental sheet(s).	
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the a or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.	
[NOTE: A separate copy (<i>i.e.</i> , a true copy of the original assignment document(s)) must be submitted to Assignment accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]	ent Division in
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.	
September 1, 2014	
Signature Date	
Farzad E. Amini, Reg. No. 42,261 Attorney for Patent Ov Printed or Typed Name Title	vner
This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the t process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the your required to the form and/or surgressions for reducing this burden should be sort to the Chief Information Officer U.S. Patent and Todewood Officer U	including

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you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Ex. 1007 YMax Corporation Page 11 of 294 B. A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as follows: (CONTINUED)

4. From: <u>Telemaze, LLC</u> To: Focal IP, LLC

The document was recorded in the United Sates Patent and Trademark Office at

Reel 032350 , Frame 0542

Ex. 1007 YMax Corporation Page 12 of 294

Electronic Acknowledgement Receipt		
EFS ID:	20193535	
Application Number:	11948965	
International Application Number:		
Confirmation Number:	3783	
Title of Invention:	BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES	
First Named Inventor/Applicant Name:	Samuel F. Wood	
Customer Number:	26379	
Filer:	Farzad Etemad Amini/Margaux Wolson	
Filer Authorized By:	Farzad Etemad Amini	
Attorney Docket Number:	357323-990125	
Receipt Date:	19-SEP-2014	
Filing Date:	30-NOV-2007	
Time Stamp:	16:28:35	
Application Type:	Utility under 35 USC 111(a)	

Payment information:

Submitted with Payment		no					
File Listing:							
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Power of Attorney	29	2964P075_PatentPOA_RevCha	700376	no	1	
·		nge_09_19_14.pdf	nge_09_19_14.pdf		e00ce435a4de6d58a3000d6e30ebcbdac5f 89e75	110	,
Warnings:						Ex. 1007	
Information:					YMax Co	orporation	

2	Assignee showing of ownership per 37	2964P075_State37CFR373b_09	73186	20	2	
2	CFR 3.73.	_19_14.pdf	5144820130ff46f4f5bbdb87a48502a9768c 3c14	no	2	

Warnings:

Information:

Total Files Size (in bytes):	773562
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED ST	ates Patent and Tradem	UNITED STA United States Address: COMMI PO. Box I	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/948,965	11/30/2007	Samuel F. Wood	357323-990125
26379 DLA PIPER LLP (US) 2000 UNIVERSITY AVENUE EAST PALO ALTO, CA 94303-2248			CONFIRMATION NO. 3783 ANEOUS NOTICE

A communication which cannot be delivered in electronic form has been mailed to the applicant.

Doc Code: N572

UNITED STATES DEPARTMENT OF COMMERCE

United States Default and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vuginia 22313-1450 www.uspto.gov

UNITED	STATES	PATENT AND	TRADEMARK	Office

APPLICATION NUMBERFILING DATEFIRST NAMED APPLICANTATTY. DOCKET NO./TITLE11/948,96511/30/2007Samuel F. Wood357323-990125

26379 DLA PIPER LLP (US) 2000 UNIVERSITY AVENUE EAST PALO ALTO, CA 94303-2248



Cc: BLAKELY SOKOLOFF TAYLOR & ZAFMAN 1279 OAKMEAD PARKWAY SUNNYVALE, CA 94085-4040

Date Mailed: 09/09/2014

DENIAL OF REQUEST FOR POWER OF ATTORNEY

The request for Power of Attorney filed <u>08/25/2014</u> is acknowledged. However, the request cannot be granted at this time for the reason stated below.

- The Power of Attorney you provided did not comply with the new Power of Attorney rules that became effective on June 25, 2004. See 37 CFR 1.32.
- The revocation is not signed by the applicant, the assignee of the entire interest, or one particular principal attorney having the authority to revoke.
- The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73(b) has not been received.
- The person signing for the assignee has omitted their empowerment to sign on behalf of the assignee.
- The inventor(s) is without authority to appoint attorneys since the assignee has intervened as provided by 37 CFR 3.71.
- The signature(s) of ______, a co-inventor in this application, has been omitted. The Power of Attorney will be entered upon receipt of confirmation signed by said co-inventor(s).
- The person(s) appointed in the Power of Attorney is not registered to practice before the U.S. Patent and Trademark Office.

Questions relating to this Notice should be directed to the Application Assistance Unit.

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

PTC/SB/81A (12:08) Approved for use through 11/30/2011 DM8 6851-0035 U.S. Patient and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Papervork Reduction Act of 1995, no persons are required to respond to a collection of infomation unless if displays a valid DM8 control number

PATENT - POWER OF ATTORNEY	Patent Number	7,764,777
ALCH FORCE OF ALLOHNET	Issue Date	July 27, 2010
REVOCATION OF POWER OF ATTORNEY	First Named Inventor	Samuel F. Wood
WITH A NEW POWER OF ATTORNEY AND	Title	Branch Calling and Caller ID Based Call Routing Telephone Features
CHANGE OF CORRESPONDENCE ADDRESS	Attorney Docket Number	002964.P075

I hereby revoke all previous powers of attorney given in the	he above-identified patent.
A Power of Attorney is submitted herewith.	
OR I hereby appoint Practitioner(s) associated with the follow altorney(s) or agent(s) with respect to the patent identifie the United States Patent and Trademark Office connects OR	id above, and to transact all business in 08791
1 hereby appoint Practitioner(s) named below as my/our above, and to transact all business in the United States I	attorney(s) or agent(s) with respect to the patent identified Patent and Trademark Office connected therewith:
Practitioner(s) Name	Registration Number
Please recognize or change the correspondence address for the above The address associated with the above-mentioned Customer Ni OR The address associated with Customer Number: OR Firm or Individual Name	
Address	
City	Siate Zin
Country	Email
Telsphone	
Inventor, having ownership of the patent. DR Patient owner. Statement under 37 CFR 3.73(b) (Form PD/SB/96) submitted i	herevalh or filed on
CRISNATURE of Inventor	
Signature	Date 6/26/2014
Name Jeff Adelmat	Telephone (323) 860-9200
Title and Company Manager, Focal IP, LLC NOTE: Signatures at all the knyshicts or patent owners of the entire Interest o	r man representative(s) are required. Submit multiple forms it more than one
NOTS: Signatures in an use knowned or parent denters of the ence interest of signature is required, see bolow*	
"Toist of forms are submitted,	

This collection of minimization is required by 37 CFR 1.51, 1.22 and 1.33. The information is required to obtain or retain a bonefit by the public which is to tile (and by 35 US C 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gethering, preparing, and submitting the completed application form to the USFTO. Three will vary depending upon the includious case. Any comments on the amount of times you require to complete this form and/or suggestions for reducing this burde, should be sent to the Chief Information Officer, U.S. Department of Complete Distort auggestions for reducing the sent to the Chief Information Officer, U.S. Patent and Trademark Officer U.S. Department of Complete Distorts auggestions for reducing the sent to the Chief Information Officer, U.S. Patent and Trademark Officer U.S. Department of Complete, PO Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Acknowledgement Receipt		
EFS ID:	19958280	
Application Number:	11948965	
International Application Number:		
Confirmation Number:	3783	
Title of Invention:	BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES	
First Named Inventor/Applicant Name:	Samuel F. Wood	
Customer Number:	26379	
Filer:	Farzad Etemad Amini/Margaux Wolson	
Filer Authorized By:	Farzad Etemad Amini	
Attorney Docket Number:	357323-990125	
Receipt Date:	25-AUG-2014	
Filing Date:	30-NOV-2007	
Time Stamp:	17:05:03	
Application Type:	Utility under 35 USC 111(a)	

Payment information:

Submitted with Payment			no				
File Listing:							
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1		29	2964P075_PatentPOA_RevCha	700370	no	1	
		nge_08_25_14.pdf	nge_08_25_14.pdf		c3561a1d6c50c4713af983f782baad240ba5 a34e	110	ľ
Warnings:						Ex. 1007	
Information:					YMax Co	orporation	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

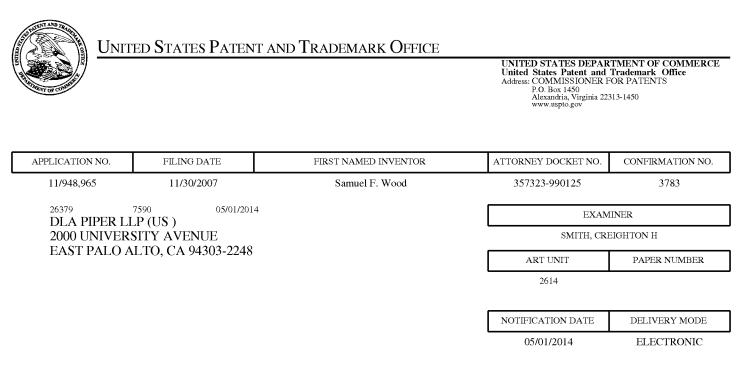
National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

> Ex. 1007 YMax Corporation Page 19 of 294



Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PatentDocketingUS-PaloAlto@dlapiper.com

Ex. 1007 YMax Corporation Page 20 of 294



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

In re Patent No. 7,764,777	:	
Issue Date: July 27, 2010	:	
Application No. 11/948,965	:	NOTICE
Filed: November 30, 2007	:	
Attorney Docket No. 357323-990125	:	

This is a notice regarding your request, filed February 25, 2014, for acceptance of a fee deficiency submission under 37 CFR 1.28.

On September 1, 1998, the Court of Appeals for the Federal Circuit held that 37 CFR 1.28(c) is the sole provision governing the time for correction of the erroneous payment of the issue fee as a small entity. <u>See DH Technology v. Synergystex International, Inc.</u> 154 F.3d 1333, 47 USPQ2d 1865 (Fed. Cir. Sept. 1, 1998).

The Office no longer investigates or rejects original or reissue applications under 37 CFR 1.56. **1098 Off. Gaz. Pat. Office 502 (January 3, 1989)**. Therefore, nothing in this Notice is intended to imply that an investigation was done.

Your fee deficiency submission under 37 CFR 1.28 is hereby ACCEPTED.

This patent is no longer entitled to small entity status. Accordingly, all future fees paid in this patent must be paid at the large entity rate.

/Liana Walsh/ Liana Walsh Petitions Paralegal Specialist Office of Petitions

> Ex. 1007 YMax Corporation Page 21 of 294

Office of Petitions: Routing Sheet



Application No. 11/948,965

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application.



Ex. 1007 YMax Corporation Page 22 of 294

Office of P	etitions: Dec	ision C		Mailing Month	4	
Application	ı No.	11948965		│	1 1 9 4 8 9 6 5	*
		-	no slashes or comm ling+last 5 numbers",		456 S05/12345, enter 5151234	5
Deciding C	Official:	WAL	SH, LIANA			
Count (1) - Pal	m Credit	11/94	8,965 FINANCE WORK NEEL)ED		
Decision: GF	RANT	3	Select Check Box fo		* G R A N T *	
Decision Type:	321 - 37 CFR	1.28 TO N	MAKE ENTITY STAT	US LARGE FI	* 3 2 1 *	
Notes:						
Count (2)			FI NANCE WORK NEEL	אברז		
Decision: n/a	1		Select Check Box fo			
Decision Type:	NONE					
Notes:						
Count (3)			_ FINANCE WORK NEED	DED		
Decision: n/a	3	1	Select Check Box fo			
Decision Type:	NONE					
Notes:						
Ini	itials of Approving O)fficial (if re	quired)		more than 3 decisions, attach d count sheet & mark this box	
Printed on: 4/2	25/2014			Office of Petitio	ons Internal Document - Ver. 5	5.0

Ex. 1007 YMax Corporation Page 23 of 294 FEB-25-2014 19:16 From:BSTZ

3108205988

Atty. Docket No.: 002964.P075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

)
) Examiner:
) Art Unit:
))
) Confirmation No.: 3783
)
)))

FEE DEFICIENCY SUBMISSION

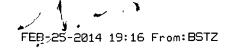
_)

Please fax to: Status & Entity Branch Office of Finance at 571-273-6500 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This is a Fee Deficiency Submission for the above-identified patent.

02/27/2014 MBANGURA 00000015 022666 7764777 01 FC:1599 800.60 DA



[]

FEES PAID INSUFFICIENTLY

R

Filing Date of Original Paper/Fee	Type of Fee Paid	Then Current Fcc Amount as Large Entity	Amount Originally Paid	Amount of Fee Deficiency
January 23, 2014	Maintenance Fee	\$1,600.00	\$800.00	\$800.00

EXPLANATION

The patent was assigned to a large entity. Accordingly, Applicant has requested the Office of Finance change the entity size to large, and requests that any and all deficient fees due be charged to Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated:

By: Eric S. Hypen, Reg. No. 30,139

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 (310) 207-3800

the USPTO Office of Finance via facsimile at 571-273-6500 on the date shown below.

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being submitted to

Alexis Karriker

* DURATION (mm-ss):00-31 Ex. 1007 YMax Corporation Page 25 of 294 Please fax to: Status & Entity Branch, Office of Finance at 571-273-6500 or submit to:

Mail Stop M Correspondence Director of the US Patent and Trademark Office PO Box 1450 Alexandria, VA 22313-1450

Re: US Patent No. 7,764,777 Our Ref: 002964.P075

Dear Sir:

Please note that the applicant for the above-referenced patent qualifies as a LARGE entity.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated:

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 (310) 207-3800 By:

Eric S. Hyman Reg. No. 30,139

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being submitted to the USPTO Office of Finance via facsimile at 571-273-6500on the date shown below.

Alexis Karriker

Date

United St	ates Patent and Trademai	UNITED STA' United States Address: COMMIS P.O. Box I	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/948,965	11/30/2007	Samuel F. Wood	357323.990125
			CONFIRMATION NO. 3783
26379		POA ACCI	EPTANCE LETTER
DLA PIPER LLP (US)			
2000 UNIVERSITY AVEN	IUE		DC000000052007127*
EAST PALO ALTO, CA 94	4303-2248	*(0C00000052007127*
			Date Mailed: 01/18/2012

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/09/2012.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/fstephanos/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

UNITED ST	ates Patent and Trademai	UNITED STA' United States Address: COMMI PO. Box I	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/948,965	11/30/2007	Samuel F. Wood	TLM-103C1DIV
49637 BERRY & ASSOCIATES 9229 SUNSET BOULEVA SUITE 630 LOS ANGELES, CA 9006	RD		CONFIRMATION NO. 3783 F ATTORNEY NOTICE

Date Mailed: 01/18/2012

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/09/2012.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/fstephanos/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

PT0/88/81 (01.00) Approved for use through 11/30/2011, OMB 0651-0036

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Linste the Paperment Radiation Act of 1986, no persons are required to respond to a collection of information unless I displays a valid OARB control num Patent Number 7,784,777 POWER OF ATTORNEY issue Date July 27, 2010 First Named Inventor \odot Wood **REVOCATION OF POWER OF ATTORNEY** Sranch Calling And Caller ID Based Call Title WITH A NEW POWER OF ATTORNEY Routing Telephone Features ANO Art Unit 2834 CHANGE OF CORRESPONDENCE ADDRESS Examinar Name Smith, Crelighton H Attorney Occket Number 357323-990125 I hereby revoke all previous powers of attorney given in the above-identified application. A Power of Attorney is submitted herewith. <u>o</u>s $[\times]$ I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application 26379 identified above, and to transact all business in the United States Patent and Trademark Office connected therewilly, 08 I hereby appoint Preditioner(s) named below as my/our abomey(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected thermath: Practitioner(s) Name Registration Number Please recognize or change the correspondence address for the above-identified application to: (\times) The address associated with the above mentioned Customer Number, ÔŴ The address associated with Customer Number. $\Omega \otimes$ Sign of Individual Name Addeess CityState Χφ Country Telephone Smail Tam that Applicant/leventor. 08 Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) (Porm PTO/SB/96) <u>submitted berewin</u> or filed on SIGNATURE of Applicant or Assignee of Record Signature 080 Name Jehr Kiein Yelephone S.44 & 1326 3 Tille and Company Managing Director, Telemaze LLC NOTE: Signatures of all the inventors or assignment of moord of this entire interest or their representation(s) are required. Submit multiple forms if more than one signature is required, see below" "Total of 1 forms are submitted The cullection of information is required by \$7 CFR 1.51, 1.32 and 1.33. The information is required to obtain or retain a banefit by the public which is to the (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 OFR 1.11 and 1.14. This collection is eventeed to base 3 minutes to complete. including genhering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to compare this form and/or suggestions for radicing this truster, should be sent to the Chief Information Officer, U.S. Patient and Trademan Office. U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ACORESS. BEND TO. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you must assistance in completing the form, call 1-900-PTCI-9199 and select option 2.

American Legaptin, Inc. www.Formati/Society.co

Ex. 1007

YMax Corporation Page 29 of 294

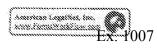
F7C/SB/66 (57-08) Approved for use Innugh (7/31/2512, CMB 9851-0031

Patient and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Order the Paperwork Reduction Act of 1995, no persons are required to respond to a distention of information unless it displays a valid Of	r or ocamience 18 contri rumper							
STATEMENT UNDER 37 CFR 3,73(b)								
Applicant/Patent Owner: Wood, Samuel F.; Klein, Jerry A. & Asprey, Margaret Susan								
Application No./Patent No.: 7.764,777 Filed/Issue Date: July 27, 2010								
Entitled: Branch Calling And Callor ID Based Call Rouding Telephone Features								
Telemaze LLC , a corporation								
(Name of Assignes) (Type of Assignes, e.g., corporation, pertnership, university, government ap	972X, 610.)							
states that it is:								
1. 🔀 the assignee of the entire right, title, and interest in:								
 an assignee of less than the entire right, title and interest in (The extent (by percentage) of its ownership interest is%); or 								
3. It is assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors	was made)							
in the patent application/patent identified above by vinue of either:								
A An assignment from the inventor(s) of the patent application/patent identified above. The assignment was rec in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof attached. OR	in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is affacted.							
3. 🔀 A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee i	is foliows:							
1. From: Wood, Samuel F. & Klein, Jerry A. To: Telemaze, Inc.								
The document was recorded in the United States Patent and Trademark Office at								
Real 014034, Frame 0043, or for which a copy thereof is attached.								
2. From: Asprey, Margaret Susan To: Telemaze, Inc.								
The document was recorded in the United States Patent and Trademark Office at								
Reel <u>Q15913</u> , Frame <u>Q259</u> , or for which a copy thereof is attached.								
3. From: Telemaze, Inc. To: Telemaze LLC								
The document was recorded in the United States Pateni and Trademark Office at								
Real <u>016844</u> , Frame <u>0708</u> , or for which a copy thereof is attached.								
Additional documents in the chain of title are listed on a supplemental sheet(s).								
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the a or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.	nignee wax,							
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignmer accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]	t Division in							
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignae.								
Signeture / Gate								
Jerry Kiein Managing Direc								
<u>Jeny Alein</u> Telemaze LL Printed or Typed Name Title								
This collection of information is required by 37 CFN 3.7.3.6). The information is required to obtain or retain a penetit by the public which is to the (and	by the USPTO to							
processe) an application. Confidentially is governed by 85 U.S.C. 122 and 87 CPR 1.11 and 1.14. The collection is estimated to take 12 mondes to co- gathering, preparing, and submitting the completed application form to the USPYO. Time will very depending upon the individual case. Any commenter time you require to complete this form and/or suggestions for reducing this purcers the start to the Complete This form and/or suggestions for reducing this purcers the start of the Complete This form and/or suggestions for reducing this purcers.	an the array of at							

Service property, and administration of administration with to the USPTO. Time well very depending upon the individual case. Any comments on the annual of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1460, DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDREES, SEND TO: Commissioner for Patentis, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and assist option 2.



YMax Corporation Page 30 of 294

Electronic Acknowledgement Receipt				
EFS ID:	11794868			
Application Number:	11948965			
International Application Number:				
Confirmation Number:	3783			
Title of Invention:	BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES			
First Named Inventor/Applicant Name:	Samuel F. Wood			
Customer Number:	49637			
Filer:	Alan A. Limbach/Kathleen LaBrie			
Filer Authorized By:	Alan A. Limbach			
Attorney Docket Number:	TLM-103C1DIV			
Receipt Date:	09-JAN-2012			
Filing Date:	30-NOV-2007			
Time Stamp:	19:03:32			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment no			no	no			
File Listing:							
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Power of Attorney 990'	9	990125_Power_of_Attorney.	405132	no	1	
'		pdf	pdf 9		91f23a19d29f90100cf432cfcf572bf9a91c94 55	110	
Warnings:				·		Ex. 1007	
Information:					YMax Co	orporation	

2 Assignee showing of ownership per 37 CFR 3.73(b).	pdf	390766 6f711727cc3ccca739ba7145b06f771586c1 0cfd	no	1	
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Warnings:

Information:

Total Files Size (in byt	es): 795898
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.





APPLICATION NO.	APPLICATION NO.		PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/948,965		07/27/2010	7764777	TLM-103C1DIV	3783	
49637	7590	07/07/2010				

BERRY & ASSOCIATES P.C. 9229 SUNSET BOULEVARD SUITE 630 LOS ANGELES, CA 90069

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Samuel F. Wood, Los Altos Hills, CA; Jerry A. Klein, Los Altos, CA; Margaret Susan Asprey, Los Altos, CA;

> Ex. 1007 YMax Corporation Page 33 of 294

Unr		UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov				
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
11/948,965	11/30/2007	Samuel F. Wood	TLM-103C1DIV	3783		
-	590 06/23/2010	EXAM	EXAMINER			
BERRY & ASSC	CIATES P.C.	SMITH, CRE	SMITH, CREIGHTON H			
9229 SUNSET E SUITE 630	BOULEVARD	ART UNIT	PAPER NUMBER			
LOS ANGELES,	CA 90069	2614				
			MAIL DATE	DELIVERY MODE		
			06/23/2010	PAPER		

NOTICE OF NON-COMPLIANT INFORMATION DISCLOSURE STATEMENT

An Information Disclosure Statement (IDS) filed $\underline{0_{b-07-10}}$ in the above-identified application fails to meet the requirements of 37 CFR 1.97(d) for the reason(s) specified below. Accordingly, the IDS will be placed in the file, but the information referred to therein has not been considered.

The IDS is not compliant with 37 CFR 1.97(d) because:

- The IDS lacks a statement as specified in 37 CFR 1.97(e).
- □ The IDS lacks the fee set forth in 37 CFR 1.17(p).
- The IDS was filed after the issue fee was paid. Applicant may wish to consider filing a petition to withdraw the application from issue under 37 CFR 1.313(c) to have the IDS considered. See MPEP 1308.

571-272-4200 or 1-888-786-0101 Application Assistance Unit Office of Data Management

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 49637 7590 03/19/2010 **Certificate of Mailing or Transmission** I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. **BERRY & ASSOCIATES P.C.** 9229 SUNSET BOULEVARD **SUITE 630** LOS ANGELES, CA 90069 (Depositor's name (Signature (Date ATTORNEY DOCKET NO. CONFIRMATION NO. FIRST NAMED INVENTOR APPLICATION NO. FILING DATE TLM-103C1DIV 3783 Samuel F. Wood 11/948.965 11/30/2007 TITLE OF INVENTION: BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES PREV, PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE ISSUE FEE DUE PUBLICATION FEE DUE APPLN. TYPE SMALL ENTITY 06/21/2010 \$1055 \$300 \$0 YES \$755 nonprovisional CLASS-SUBCLASS ART UNIT EXAMINER 2614 379-142020 SMITH, CREIGHTON H 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list Berry & Associates P.C. (1) the names of up to 3 registered patent attorneys □ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) LOS ALTOS, CA TELEMAZE LLC Please check the appropriate assignee category or categories (will not be printed on the patent) : 🔲 Individual 🖾 Corporation or other private group entity 🗋 Government 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: A check is enclosed. X Issue Fee Payment by credit card. Form PTO-2038 is attached. Publication Fee (No small entity discount permitted) The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number <u>50-3102</u> (enclose an extra copy of this form). X Advance Order - # of Copies 6 5. Change in Entity Status (from status indicated above) b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignce or other party in interest as shown by the records of the United States Patent and Trademark Office. _{Date} June 16, 2010 /Reena Kuyper/ Authorized Signature Registration No. _ 33,830 Reena Kuyper Typed or printed name This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Electronic Patent Application Fee Transmittal									
Application Number:	11948965								
Filing Date:	30-	Nov-2007							
Title of Invention:		BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES							
First Named Inventor/Applicant Name:	Samuel F. Wood								
Filer:	Reena Kuyper								
Attorney Docket Number:	TLM-103C1DIV								
Filed as Small Entity									
Utility under 35 USC 111(a) Filing Fees									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:									
Pages:									
Claims:									
Miscellaneous-Filing:									
Publ. Fee- early, voluntary, or normal		1504	1	300	300				
Petition:									
Patent-Appeals-and-Interference:									
Post-Allowance-and-Post-Issuance:									
Utility Appl issue fee		2501	1	755 Y	بھتے Hax Corporation				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Printed copy of patent - no color	8001	6	3	18
	Tot	al in USD	(\$)	1073

Ex. 1007 YMax Corporation Page 37 of 294

Electronic Acl	knowledgement Receipt
EFS ID:	7831246
Application Number:	11948965
International Application Number:	
Confirmation Number:	3783
Title of Invention:	BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES
First Named Inventor/Applicant Name:	Samuel F. Wood
Customer Number:	49637
Filer:	Reena Kuyper
Filer Authorized By:	
Attorney Docket Number:	TLM-103C1DIV
Receipt Date:	16-JUN-2010
Filing Date:	30-NOV-2007
Time Stamp:	22:45:37
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1073
RAM confirmation Number	7923
Deposit Account	503102
Authorized User	
The Director of the USPTO is hereby authorized to charg	
Charge any Additional Fees required under 37 C.F.R. Se	ction 1.16 (National application filing, search, and examination fees) ${ m Ex.}~1007$
Charge any Additional Fees required under 37 C.F.R. Se	ction 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

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File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	TLM-103C1DIV_Issue_Fee_Tran	221318	no	1
		smittal_6-16-10.pdf	d10fd0e88a951eb5e4c9b0f3eee02cecd14 d839a		
Warnings:					
Information:					
2	Fee Worksheet (PTO-875)	fee-info.pdf	33627	no	2
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Warnings:					
Information:					
		Total Files Size (in bytes)	25	54945	
characterized Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) an Acknowledg	ledgement Receipt evidences receip d by the applicant, and including pa described in MPEP 503. tions Under 35 U.S.C. 111 ication is being filed and the applica nd MPEP 506), a Filing Receipt (37 Cl ement Receipt will establish the filir	ge counts, where applicable. ation includes the necessary c FR 1.54) will be issued in due ng date of the application.	It serves as evidence omponents for a filin	of receipt s g date (see	imilar to a 37 CFR
If a timely su U.S.C. 371 an national stag	ge of an International Application u bmission to enter the national stage of other applicable requirements a F ge submission under 35 U.S.C. 371 w cional Application Filed with the USI	e of an international applicati Form PCT/DO/EO/903 indicati Fill be issued in addition to the PTO as a Receiving Office	ng acceptance of the e Filing Receipt, in du	application e course.	as a
an internatio and of the In	national application is being filed a nal filing date (see PCT Article 11 ar ternational Filing Date (Form PCT/R urity, and the date shown on this Ac on.	nd MPEP 1810), a Notification O/105) will be issued in due c	of the International <i>I</i> ourse, subject to pres	Application scriptions co	Number oncerning

Ex. 1007 YMax Corporation Page 39 of 294

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	SERIAL NO.	
TLM-103C1DIV	11/948,965	
APPLICANT:		
Samuel F. WOOD, et al.		
FILING DATE:	GROUP:	
November 30, 2007	2614	

(Use several sheets if necessary)

		U.S. PAT	ENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	2004/0240657 A1	12-02-2004	Camarillo	379	221.02	
	2004/0264673 A1	12-30-2004	Novack	379	221.11	
	5,469,500	11-21-1995	Satter et al.	379	201	
	6,327,258	12-04-2001	Deschaine et al.	370	356	
	6,643,282	11-04-2003	Christie	370	352	
	7,123,708	10-17-2006	Gavillet	379	219	
	7,242,759	07-10-2007	Sanchez et al.	379	219	
	7,436,851	10-14-2008	Chambers et al.	370	325	

		FOREIGN	I PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YE	ISLATI NO

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
EXAMINER INITIAL	

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or	not citation is in conformance with MPEP 609; Draw
line through citation if not in conformance and not consider	ed. Include a copy of this form with next
communication to applicant	

Electronic Ac	knowledgement Receipt
EFS ID:	7764442
Application Number:	11948965
International Application Number:	
Confirmation Number:	3783
Title of Invention:	BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES
First Named Inventor/Applicant Name:	Samuel F. Wood
Customer Number:	49637
Filer:	Reena Kuyper
Filer Authorized By:	
Attorney Docket Number:	TLM-103C1DIV
Receipt Date:	07-JUN-2010
Filing Date:	30-NOV-2007
Time Stamp:	22:36:54
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with I	Payment		no			
File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	TLP	И-103C1DIV_IDS_placed_in_ file_final_6-7-10.pdf	27494 42ac8a6b84e55edf01bfb461c68ae081dc6f c9b2	no	3
Warnings: Information:					YMax Co	Ex. 1007

2	Information Disclosure Statement (IDS)	TLM-103C1DIV_PTO_1449_fina	26013	no	1
	Filed (SB/08)	l_6-7-10.pdf	b6afb8fbcae905fc062c45327b721ce2610f2 747		
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		Total Files Size (in bytes)	: 53	3507	
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characterizo Post Card, a <u>New Applic</u>	ed by the applicant, and including pag is described in MPEP 503. ations Under 35 U.S.C. 111	ge counts, where applicable.	It serves as evidence	of receipt s	imilar to
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characterize Post Card, a <u>New Applic</u> If a new app 1.53(b)-(d) a	ed by the applicant, and including pages as described in MPEP 503. <u>ations Under 35 U.S.C. 111</u> plication is being filed and the applica	ge counts, where applicable. tion includes the necessary c R 1.54) will be issued in due	It serves as evidence components for a filin	of receipt s g date (see	imilar to 37 CFR
characterize Post Card, a <u>New Applica</u> If a new app 1.53(b)-(d) a Acknowledg	ed by the applicant, and including pag is described in MPEP 503. <u>ations Under 35 U.S.C. 111</u> plication is being filed and the applica and MPEP 506), a Filing Receipt (37 CF	ge counts, where applicable. tion includes the necessary c R 1.54) will be issued in due g date of the application.	It serves as evidence components for a filin	of receipt s g date (see	imilar to 37 CFR
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<u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re t	the App	plication of: ()	Customer No.:	49,637
		Samuel F. WOOD, et al.	Confirmation No.:	3783
Serial	No.:	11/948,965	Group Art Unit:	2614
Filed:		June 7, 2006	Examiner:	Creighton H. Smith
For:	BASE	NCH CALLING AND CALLER ID) CD CALL ROUTING TELEPHONE) URES)	Docket No.:	TLM-103.C1DIV

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(i)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 CFR § 1.56, 37 CFR §§ 1.97, and 1.98, after receiving the Notice of Allowance, Applicants recently became aware of the following documents, none of which are relevant to the claims allowed. Nevertheless, Applicants request that these documents should be placed in the file wrapper in accordance with 37 C.F.R. 1.97(i) for future access by others. These documents are listed in the attached forms PTO-1449. These documents are also cited in related pending application serial numbers 11/428,822 and 11/428,825. Applicants indicate below a brief description of each reference. The references pertain to fundamental call routing architecture and operations executed within a single carrier network as opposed to the Applicants' inventions, which are directed to architecture and operations that apply call features to the fundamental call routing operations over multiple carrier networks.

1. U.S. Patent No. 5,469,500 describes a method and apparatus for delivering calling services by contemplating an AIN (Advanced Intelligent Network) architecture, different from the existing network structure, with software upgrades to this AIN to implement calling services.

2. Publication No. 2004/0264673 A1 describes an architecture to connect disparate peripherals in a network, not to execute features as in Applicants' inventions. In addition, it should be noted that this publication is dated December 30, 2004, <u>after</u> the effective filing date of this application, which is May 4, 2000. The filing date is June 30, 2003.

3. Publication No. 2004/0240657 A1 describes an architecture for routing schemes in the network to route calls to different tandems in the network. This publication is dated December 2, 2004, <u>after</u> the effective filing date of this application, which is May 4, 2000. The filing date is May 28, 2003.

4. U.S. Patent No. 7,436,851 describes using ATM (Asynchronous Transfer Mode) and other transmission facilities for routing within IP or PSTN networks. There is no disclosure of any calling features.

5. U.S. Patent No. 7,123,708 describes an architecture for IP routing of calls (by connecting different networks and carriers) within the internet. It does not describe applying any features to call routing operations of the network. This patent issued on October 14, 2008, and has a filing date of March 29, 1999.

6. U.S. Patent No. 7,242,759 describes an architecture for routing of calls in the network specifically to 800 numbers. The patent issued on October 14, 2008 and has a filing date of March 29, 1999.

7. U.S. Patent No. 6,643,282 describes a major network architecture proposed by Sprint Communications Company LP. There is no disclosure of applying features.

8. U.S. Patent No. 6,327,258 describes an architecture and operations for connecting the PSTN (Public Switched Telephone Network) with Internet data networks utilizing ATM. The architecture is for routing calls in a single network.

Applicants submit that the claims, as allowed, are distinct from the teachings in these references. Applicants also believe that there are no fees in connection with this submission; however, in the event the U.S. Patent Office determines that fees are necessary, please charge them to Berry & Associates P.C.'s Deposit Account No. **50-3102**.

Respectfully submitted, BERRY & ASSOCIATES P.C.

Dated: June 7, 2010

By: /Reena Kuyper/

Reena Kuyper Registration No. 33,830

9229 Sunset Blvd., Suite 630 Los Angeles, California 90069 (310) 247-2860 *Customer No. 49,637*

PTO/SB/08a (08-03)

Approved for use through 07/3 1/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a varid OMB control number.

· · · · · · · ·	Application Number	-	· · · · · · ·	· - ···	
	Filing Date		2007-11-30		••••
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	First Named Inventor	Samı	uel F. Wood		
	Art Unit		TBD		
	Examiner Name	Not y	et assigned		
	Attorney Docket Num	ber	TEL-M-8801-1P-1D		

				U.S	.PATENTS	Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	5958016		1999-09-28	Chang et al.			
	2	5974449		1999-10-26	Chang et al.			
$\mathcal{C}^{\mathcal{S}}_{\mathcal{Y},\mathcal{D}}$	3	59533892		1999-09-14	Rhie et al.			
5/11/10	4	6012088		2000-01-04	Li et al.	· .		
	5	6031836		2000-02-29	Haserodt			
	6	5566236		1996-10-15	MeLampy et al.			
	7	5982866		1999-11-09	Kowalski, Thaddeus Julius			
	8	6359892		2002-03-19	Szlam, Aleksander			

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number			:
Filing Date		2007-11-30	:
First Named Inventor	Samu	uel F. Wood	•
Art Unit		твр	1
Examiner Name Not y		et assigned	
Attorney Docket Number		TEL-M-8801-1P-1D	i

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	9	5878113		1999-03-02	Bhusri, Gurcharan S.			i a t
	10	6956941	B1	2005-10-18	Duncan et al.			
	11	6788775	B1	2004-09-07	Simpson, Anita Hogans			2
	12	6697461	B1	2004-02-24	Middleswarth et al.			
	13	6459780	B1	2002-10-01	Wurster et al.			
	14	6359892	B1	2002-03-19	Szlam	· · · · ·	· · · · · · · · · · · · · · · · · · ·	
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Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Releva	Columns,Lines wh nt Passages or Re Appear	
2	1	20030026403	A1	02/06/2003 2007-11-30_	Clapper, Edward O.			
110	2	20030156693	A1	2003-08-21	Goldman, Philip Y.			
	3	20030133553	A1	2003-07-17	Khakoo et al.			;

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YMax Corporation Page 47 of 294 ÷

PTO/SB/08A (07-05)

03/04/2009

Considered

Approved for use through (7/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEP. VRTMENT OF COMMERCE

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Substitute for form 1449/PTO	Co	mplete if Known	
	Application Number	11/948,965	
INFORMATION DISCLOSURE	Filing Date	November 30, 2007	
	First Named Inventor	Samuel F. Wood	
STATEMENT BY APPLICANT	Art Unit	2614	
(Use as many sheets as necessary)	Examiner Name	Not yet known	
Sheet 19 of 22	Attorney Docket Number	TEL-M-8801-1F-1D	

	U. S. PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages: Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		Number-Kind Code ² ((known)							
		US-							
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Signature

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	⊤⁰
		WO 98/20701	May., 1998			
		WO 98/23067	May., 1998			
		WO 98/23080	May., 1998			
		WO 98/26543	Jun., 1998			
EPX		₩ 0 0 851 653	Jul., 1998			
EPX		₩ 0 0 853 411 A2	Jul., 1998			
Examiner	1	(Casintana Casitta)		Date		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if 15t in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optio 13). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.cov</u>.or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (.\!IPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

/Creighton Smith/

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the puble which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.S./ Ex. 1007 YMax Corporation Page 48 of 294

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a vaid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		
Filing Date	2007-11-30	
First Named Inventor	Samuel F. Wood	
Art Unit	TBD	
Examiner Name	Not yet assigned	
Attorney Docket Num	per TEL-M-8801-1P-1D	

				U.S	PATENTS	Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	
	1	5958016		1999-09-28	Chang et al.		
	2	5974449		1999-10-26	Chang et al.	· · · · · · · · · · · · · · · · · · ·	
S	3	59533 92		1999-09-14	Rhie et al.		
5/~1'	4	6012088		2000-01-04	Li et al.		
	5	6031836		2000-02-29	Haserodt		
	6	5566236		1996-10-15	MeLampy et al.		
	7	5982866		1999-11-09	Kowalski, Thaddeus Julius		
	8	6359892		2002-03-19	Szlam, Aleksander		

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PTO/SB/08A (07-05)

Approved for use through (7/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEP. RTMENT OF COMMERCE

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Substitute for form 1449/PTO

19

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

Foreign Patent Document

of 22

Coi	mplete if Known	
Application Number	11/948,965	
Filing Date	November 30, 2007	
First Named Inventor	Samuel F. Wcod	
Art Unit	2614	
Examiner Name	Not yet known	_
Attorney Docket Number	TEL-M-8801-1P-1D)

	U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Rele /ant Passages or Relevan			
		Number-Kind Code ^{2 (# known)}		· · · · · · · · · · · · · · · · · · ·	Figures Appear			
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FOREIGN PATENT DOCUMENTS

Publication

Date

MM-DD-YYYY

Name of Patentee or

Applicant of Cited Document

Considered

Pages, Columns, Lines,

Where Relevant Passages

Or Relevant Figures Appear

03/04/2009

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Country Code³-Number ⁴-Kind Code⁵ (if known) WO 98/20701 May., 1998 WO 98/23067 May., 1998 WO 98/23080 May., 1998 WO 98/26543 Jun., 1998 WO 0 851 653 Jul., 1998 WO 0 853 411 A2 Jul., 1998 Date /Creighton Smith/

Examine Signature

Examiner

Initials*

Cite

No.

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.cov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (*NIPO* Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.S./ Ex. 1007 YMax Corporation Page 50 of 294 UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

49637 7590 03/19/2010

BERRY & ASSOCIATES P.C. 9229 SUNSET BOULEVARD SUITE 630 LOS ANGELES, CA 90069

SMITH, CREIGHTON H

ART UNIT PAPER NUMBER

2614 DATE MAILED: 03/19/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/948,965	11/30/2007	Samuel F. Wood	TLM-103C1DIV	3783

TITLE OF INVENTION: BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	06/21/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

appropriate. All further	correspondence includir ed below or directed oth	ig the Patent, advance	orders and notification of (a) specifying a new corre	maintenance fees w espondence address;	ill be n and/or	nailed to the current (b) indicating a separ	ould be completed where correspondence address as ate "FEE ADDRESS" for
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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTOR	NEY DOCKET NO.	CONFIRMATION NO.
11/948,965	11/30/2007		Samuel F. Wood		TL	M-103C1DIV	3783
APPLN. TYPE		ISSUE FEE DUE	ED CALL ROUTING TE			TOTAL FEE(S) DUE	DATE DUE
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SMITH, CRI		2614	379-142020				
 "Fee Address" ind PTO/SB/47; Rev 03-(Number is required. ASSIGNEE NAME A PLEASE NOTE: Uni recordation as set fort (A) NAME OF ASSIGNED 	ondence address (or Cha B/122) attached. ication (or "Fee Address' 22 or more recent) attach ND RESIDENCE DATA less an assignee is identi h in 37 CFR 3.11. Comp GNEE	nge of Correspondence " Indication form ed. Use of a Customer A TO BE PRINTED ON ified below, no assigne pletion of this form is N	listed, no name will b THE PATENT (print or t	o 3 registered paten tively, gle firm (having as a agent) and the name orneys or agents. If n e printed. yppe) patent. If an assigne assignment. Y and STATE OR C	t attorne membe so fup no name ee is ide OUNTH	r a 2 to is 3 entified below, the do	cument has been filed for
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NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if requered as the contract of the United States of t	uired) will not be accept tes Patent and Tradema	ed from anyone other than rk Office.	the applicant; a regi	stered at	ttorney or agent; or the	e assignee or other party in
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Page 52 of 294 OMB 0651-0033

	ITED STATES PATE	ENT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/948,965	11/30/2007	Samuel F. Wood	TLM-103C1DIV	3783
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BERRY & ASSO	OCIATES P.C.		SMITH, CRI	EIGHTON H
9229 SUNSET BO	ULEVARD		ART UNIT	PAPER NUMBER
SUITE 630 LOS ANGELES, (CA 90069		2614 DATE MAILED: 03/19/201	0

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)	
Notice of Allowability	11/948,965 Examiner	WOOD ET AL.	
	CREIGHTON SMITH	2614	
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap or other appropriate communication IGHTS. This application is subject to	plication. If not include will be mailed in due	ed course. THIS
1. X This communication is responsive to <u>rce filed on22 FEB '1</u>	<u>0</u> .		
2. X The allowed claim(s) is/are <u>1,3-7,10 and 12-50</u> .			
 3. Acknowledgment is made of a claim for foreign priority under a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 			
2. Certified copies of the priority documents have			
3. Copies of the certified copies of the priority do	cuments have been received in this	national stage applica	tion from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the rea	quirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			OTICE OF
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.		
(a) 🔲 including changes required by the Notice of Draftspers		948) attached	
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date			
(b) including changes required by the attached Examiner' Paper No./Mail Date	s Amendment / Comment or in the C	Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			back) of
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			Note the
 Attachment(s) 1. □ Notice of References Cited (PTO-892) 2. □ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☑ Information Disclosure Statements (PTO/SB/08), 	5. ☐ Notice of Informal F 6. ☐ Interview Summary Paper No./Mail Da 7. ☐ Examiner's Amendr	(PTO-413), te	
 Paper No./Mail Date <u>02.17.2010</u> 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material 	8. ⊠ Examiner's Stateme 9. □ Other		owance

REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance: The prior art fails to disclose edge switches for local and other switching facilities; a controlling apparatus that will receive a 1st call and then initiating a 2nd call in accordance with control criteria entered by a specified user thru the Internet, and then the controlling device coupling the 1st and 2nd calls together. No obvious combination of references would have taught one of ordinary skill in the art to make and use applicant's method as claimed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CREIGHTON SMITH whose telephone number is (571)272-7546. The examiner can normally be reached on 5-4-9.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Curt Kuntz can be reached on 27499. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Ex. 1007 YMax Corporation Page 55 of 294 Application/Control Number: 11/948,965 Art Unit: 2614

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/CREIGHTON SMITH/ Primary Examiner, Art Unit 2614 15 MAR '10

Ex. 1007 YMax Corporation Page 56 of 294

	Ind	ex of C	Claim	s	11 Ex	948965 aminer REIGHTON	Applicant(s)/Patent L Reexamination WOOD ET AL. Art Unit ON SMITH 2614						tent Unde	r
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U.S. Patent and Trademark Office

Part of Paper No. : 20100315 Ex. 1007 YMax Corporation Page 57 of 294

					Ar	oplication/	Con	trol N	ю.	Applica Reexan			ent Unde	r		
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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	11948965	WOOD ET AL.
	Examiner	Art Unit
	CREIGHTON SMITH	2614

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NE Total Claims Allowed		ns Allowed:	
(Assistant Examiner)	(Date)	46	
/CREIGHTON SMITH/ Primary Examiner.Art Unit 2614	15 MAR '10	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office

Part of Paper No. 120109315 EX. 1007

YMax Corporation Page 59 of 294

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11948965	WOOD ET AL.
	Examiner	Art Unit
	CREIGHTON SMITH	2614

	SEARCHED		
Class	Subclass	Date	Examiner
379	211.04	05 MAR '09	chs
379	220.01, 221.02, 201.01,	15 MAR '10	chs

SEARCH NOT	ES	
Search Notes	Date	Examiner
EAST	05 MAR '09	chs
EAST	08 OCT '09	chs
EAST	15 MAR '10	chs

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner
EAST		15 MAR '10	chs

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	50	(@ad<="20000504") and controller with tandem near4 switch	US- PGPUB; USPAT; EPO; JPO	OR	OFF	2010/03/15 14:00
L2	11	(@ad<="20000504") and controller with tandem adj switch	US- PGPUB; USPAT; EPO; JPO	OR	OFF	2010/03/15 14:01
L3	3	(@ad<="20000504") and tandem adj access adj controller	US- PGPUB; USPAT; EPO; JPO	OR	OFF	2010/03/15 14:01
L4	11	(@ad<="20000504") and controller with tandem adj switch	US- PGPUB; USPAT; EPO; JPO	OR	OFF	2010/03/15 14:02
L5	50	(@ad<="20000504") and controller with tandem near4 switch	US- PGPUB; USPAT; EPO; JPO	OR	OFF	2010/03/15 14:12
L6	6275	(@ad<="20000504") and (process\$3 or control\$3) with (edge near5 switch\$2 or central near5 office\$1)	US- PGPUB; USPAT; EPO; JPO	OR	OFF	2010/03/15 15:20
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EAST Search History (Interference)

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

 ATTY. DOCKET NO. TLM-103C1DIV
 SERIAL NO. 11/948,965

 APPLICANT: Samuel F. WOOD, et al.
 GROUP:

 FILING DATE: November 30, 2007
 GROUP:

(Use several sheets if necessary)

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	2001/0022784	09-2001	Menon et al.			
	2001/0030950	10-2001	Chen et al.			
	4,313,035	01-1982	Jordan et al.			
	4,348,554	09-1982	Asmuth			
	4,611,094	09-1986	Asmuth et al.			
	4,611,096	09-1986	Asmuth et al.			
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	4,973,837	11-1990	Bradbeer			
	5,297,191	03-1994	Gerszberg			
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	5,428,663	06-1995	Grimes et al.			
	5,448,623	09-1995	Wiedeman et al.			
	5,455,853	10-1995	Cebulka et al.			
	5,471,616	11-1995	Johnson et al.			
	5,495,567	02-1996	Iizawa et al.			
	5,497,339	03-1996	Bernard			
	5,557,658	09-1996	Gregorek et al.			
	5,563,937	10-1996	Bruno et al.			
	5,606,594	02-1997	Register et al.			
	5,628,004	051997	Gormley et al.			
	5,646,945	07-1997	Bergler			
	5,727,057	03-1998	Emery et al.			

	EXAMINER: /Creighton Smith/	DATE CONSIDERED:	03/15/2010
	EXAMINER: Initial if reference is considered, whether or	not citation is in conform	mance with MPEP 609; Draw
	line through citation if not in conformance and not consider	red. Include a copy of the	nis form with next
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
TLM-103C1DIVSERIAL NO.
11/948,965APPLICANT:
Samuel F. WOOD, et al.FILING DATE:
GROUP:
2614

(Use several sheets if necessary)

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,727,057	03-1998	Emery et al.			
	5,732,074	03-1998	Spaur et al.			
	5,732,216	03-1998	Logan et al.			
	5,737,533	04-1998	De Hond			
	5,742,905	04-1998	Pepe et al.			
	5,806,057	09-1998	Gormley et al.			
	5,838,665	11-1998	Kahn et al.			
	5,850,433	12-1998	Rondeau			
	5,859,972	01-1999	Subramaniam et al.			
	5,875,405	02-1999	Honda			
	5,878,418	03-1999	Polcyn et al.			
	5,894,473	04-1999	Dent			
	5,894,595	04-1999	Foladare et al.			
	5,913,029	06-1999	Shostak			
	5,915,008	06-1999	Dulman			
	5,918,172	06-1999	Saunders et al.			
	5,930,700	07-1999	Pepper et al.			
	5,933,778	08-1999	Buhrmann et al.			
	5,938,757	08-1999	Bertsch			
	5,960,340	09-1999	Fuentes			
	5,970,059	10-1999	Ahopelto et al.			
	5,991,394	11-1999	Dezonno et al.			

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 Disclosure Statement – Section 9 PTO-1449
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Information Disclosure Statement – Section 9 PTO-1449 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THE ROUPS Hard of C.S./

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. SERIAL NO. TLM-103C1DIV 11/948,965 APPLICANT: Samuel F. WOOD, et al. FILING DATE: GROUP: November 30, 2007 2614

(Use several sheets if necessary)

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,006,272	12-1999	Aravamudan et al.			
	6,014,437	01-2000	Acker et al.			
	6,020,916	02-2000	Gerszberg et al.			
	6,028,917	02-2000	Creamer et al.			
	6,031,904	02-2000	An et al.			
	6,044,403	03-2000	Gerszberg et al.			
	6,075,992	06-2000	Moon et al.			
	6,078,581	06-2000	Shtivelman et al.			
	6,084,584	07-2000	Nahi et al.			
	6,094,478	07-2000	Shepherd et al.			
	6,104,800	08-2000	Benson			
	6,141,341	10-2000	Jones et al.			
	6,161,134	12-2000	Wang et al.			
	6,163,598	12-2000	Moore			
	6,167,040	12-2000	Haeggstrom			
	6,175,860	01-2001	Gaucher			
	6,188,688	02-2001	Buskirk, Jr.			
	6,212,261	04-2001	Meubus et al.			
	6,216,158	04-2001	Luo et al.			
	6,240,097	05-2001	Wesloek et al.			
	6,259,692	07-2001	Shtivelman et al.			
	6,262,978	07-2001	Bruno et al.			

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2614

(Use several sheets if necessary)

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,266,539	07-2001	Pardo			
	6,301,609	10-2001	Aravamudan et al.			
	6,308,201	10-2001	Pivowar et al.			
	6,334,126	12-2001	Nagatomo et al.			
	6,337,858	01-2002	Petty et al.			
	6,359,892	03-2002	Szlam et al.			
	6,385,308	05-2002	Cohen et al.			
	6,404,764	06-2002	Jones et al.			
	6,411,615	06-2002	DeGolia et al.			
	6,411,965	06-2002	Klug			
	6,414,962	07-2002	Hall et al.			
	6,418,198	07-2002	Brablec et al.			
	6,421,235	07-2002	Ditzik			
	6,445,694	09-2002	Swartz			
	6,445,697	09-2002	Fenton			
	6,446,127	09-2002	Shuster et al.			
	6,448,978	09-2002	Salvador et al.			
	6,456,594	09-2002	Kaplan et al.			
	6,456,601	09-2002	Kozdon et al.			
	6,477,565	11-2002	Daswani et al.			
	6,477,576	11-2002	Angwin et al.			
	6,483,902	11-2002	Stewart et al.			

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Information Disclosure Statement – Section 9 PTO-1449 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THE ROUPS Hard of C.S./

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
TLM-103C1DIVSERIAL NO.
11/948,965APPLICANT:
Samuel F. WOOD, et al.GROUP:
2614

(Use several sheets if necessary)

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,493,338	12-2002	Preston et al.			
	6,496,477	12-2002	Perkins et al.			
	6,526,462	02-2003	Elabd			
	6,539,359	03-2003	Ladd et al.			
	6,577,622	06-2003	Shuster et al.			
	6,584,490	06-2003	Shuster et al.			
	6,650,901	11-2003	Shuster et al.			
	6,681,252	01-2004	Shuster et al.			
	6,731,630	05-2004	Shuster et al.			
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	6,785,266	08-2004	Swartz			
	6,795,429	09-2004	Shuster et al.			
	6,804,224	10-2004	Shuster et al.			
	6,822,957	11-2004	Shuster et al.			
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	6,856,616	02-2005	Shuster et al.			
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	6,870,830	03-2005	Shuster et al.			
	6,914,897	07-2005	Shuster et al.			
	6,937,699	08-2005	Shuster et al.			

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Samuel F. WOOD, et al.	
FILING DATE:	GROUP:
November 30, 2007	2614

(Use several sheets if necessary)

		FOREIG	N PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YE	NSLAT NO
	DE19813179	09-1999	DE			X	
	EP0578374	01-1994	EP				
	EP0704788	04-1996	EP				
	EP0858202	08-1998	EP				
	EP0869688	10-1998	EP				
	EP0881848	12-1998	EP				
	EP0918423	10-1998	EP				
	WO01/024496	04-2001	WO				
	WO01/024498	04-2001	WO				
	WO01/024500	04-2001	WO				
	WO01/024501	04-2001	WO				
	WO01/024502	04-2001	WO				
	WO01/024503	04-2001	WO				
	WO01/05078	01-2001	WO				
	WO94/05111	03-1994	WO				
	WO95/34985	12-1995	WO				
	WO97/31492	08-1997	WO				
	WO97/33421	09-1997	WO				
	WO97/44943	11-1997	WO				
	WO98/00988	01-1998	WO				
	WO98/04065	01-1998	WO				
	WO98/10538	03-1998	WO				

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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APPLICANT:	
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	FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YE	ISLATI NO
	WO98/16051	04-1998	WO				
	WO98/21911	05-1998	WO				
	WO98/30008	07-1998	wo				
	WO98/37665	08-1998	wo				
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	WO99/35802	07-1999	WO				
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	1	RFC 3298 Service in the PSTN, August 2002
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	2	Transaction on computers, Vol. 51, No. 10, October 2002
	3	New services demand integration, Electronic Engineering Times, Aug. 28, 2000, Iss. 1128; pg. 110
	4	Natural Microsystems, M2 Presswire. Coventry: Aug, 18, 2000
	5	This pipe dream will come true: Voice Over Internet Protocol (VoIP) technology will make the phone Box something that really talks, Businessline, Chennai: Apr 17, 2002
	6	Using Optimization to Achieve Efficient Quality of Service in Voice over IP Networks, IEEE 2003
	7	Broadsoft literature Broadworks overview, Copyright date 2002
	8	BroadSoft introduces industry's first complete service delivery and creation product suite for enhanced telephony services Broadworks, ATM Newsletter: Boston: March 2000, vol. 9, Iss. 3, pg 13
		BroadSoft unveils advanced architecture for the rapid and cost effective delivery of enhanced communications
	9	services, Website, August 25, 1999, Press releases, 3 pages.
	10	U.S. patent application Ser. No. 09/406,322, Schuster et al., filed Sep. 27, 1999
	11	U.S. patent application Ser. No. 09/515,798, Schuster et al., filed Feb. 29, 2000

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FORM PTO-1449	ATTY. DOCKET NO.	SERIAL NO.
	TLM-103C1DIV	11/948,965
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S	APPLICANT:	
INFORMATION DISCLOSURE STATEMENT	Samuel F. WOOD, et al.	
	FILING DATE:	GROUP:
(Use several sheets if necessary)	November 30, 2007	2614

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Filing Date June 7, 2006 Fransmittal Samuel F. Wood Address to: Samuel F. Wood Address to: Samuel F. Wood Address to: Art Unit 2814 Commissioner for Patents Creighton H. Smith Art Unit 2814 PO. Box 1450 Attorney Docket Number TuM-103CID/V Alexandria, VA 22313-1450 Attorney Docket Number TuM-104CID Attorney Docket Number Alexandria, VA 22313-1410 Attorethe application is requised memodensity appli	Under the Paperwork Reduction Act of 1995, no persons are red	quired to respond to a collection of inform	
Filing Date June 7, 2006 Fransmittal Samuel F. Wood Address to: Samuel F. Wood Address to: Samuel F. Wood Address to: Art Unit 2814 Commissioner for Patents Creighton H. Smith Art Unit 2814 PO. Box 1450 Attorney Docket Number TuM-103CID/V Alexandria, VA 22313-1450 Attorney Docket Number TuM-104CID Attorney Docket Number Alexandria, VA 22313-1410 Attorethe application is requised memodensity appli	Request	Application Number	11/948,965
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Mail Stop RCE Creighton H. Smith P.O. Box 1450 Attorney Docket Number TLM-103C1DIV Alexandria, VA 22313-1450 Attorney Docket Number TLM-103C1DIV Alexandria, VA 22313-1450 Attorney Docket Number TLM-103C1DIV Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 195, or to any design application. See Instruction Sheet for RCEs (not to be sutmitted to the USPT0) on page 2. 1. Submission required under 37 CFR 1.114) Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant must request non-entry of such amendment(s). a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. i. Consider the arguments in the Appeal Brief or Reply Brief previously filed on memory of such amendment(s). a. Other Checked ii. Other Other iii. Affdavit(s) Declaration(s) iv. iii. Affdavit(s) Declaration(s) iv. iii. Affdavit(s) Declaration(s) iv. iii. Affdavit(s) Declaration(s) iv. iii.		Art Unit	2614
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b. Other 3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 50-3102 i. ✓ RCE fee required under 37 CFR 1.17(e) ii. ✓ RCE fee required under 37 CFR 1.17(e) ii. ✓ RCE fee required under 37 CFR 1.17(e) iii. ✓ Deposit Account No. 50-3102 . ✓ RCE fee required under 37 CFR 1.17(e) iii. ✓ Dther			
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ii. Extension of time fee (37 CFR 1.136 and 1.17) iii. Other iii. Other b. Check in the amount of \$enclosed c. Payment by credit card (Form PTO-2038 enclosed) WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide crecard information and authorization on PTO-2038. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED Signature /Reena Kuyper/ Date Name (Print/Type) Reena Kuyper CERTIFICATE OF MAILING OR TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademaa Office on the date shown below.	a. V Deposit Account No. <u>50-3102</u>	·	
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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED Signature Prebruary 16, 2010 Reena Kuyper/ Date February 16, 2010 Name (Print/Type) Reena Kuyper Date February 16, 2010 CERTIFICATE OF MAILING OR TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademai Office on the date shown below.	b. Check in the amount of \$	enclosed	
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Signature /Reena Kuyper/ Name (Print/Type) Reena Kuyper Date February 16, 2010			

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PETITION FOR EXTENSION OF TIME UND	ER 37 CFR 1.136(a)	Docket Number (Option	nal)
FY 2009 (Fees pursuant to the Consolidated Appropriations	Act, 2005 (H.R. 4818).)	TLM-103C1DIV	
Application Number 11/948,965		Filed June 7, 2006	
For BRANCH CALLING AND CALLER ID E	BASED CALL ROUTIN	G TELEPHONE FEA	TURES
Art Unit 2614		Examiner Creighton	H. Smith
This is a request under the provisions of 37 CFR 1 application.	.136(a) to extend the per	iod for filing a reply in th	e above identified
The requested extension and fee are as follows (c	heck time period desired		te fee below):
	<u>Fee</u>	Small Entity Fee	\$ 65.00
✓ One month (37 CFR 1.17(a)(1))	\$130	\$65	\$ <u></u>
Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$
Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$
Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$
Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$
Applicant claims small entity status. See 37 C	FR 1.27.	02/23/2010 EAREC 02 FC:2051	AY1 0860008 503102
A check in the amount of the fee is enclosed	sed.	ac ru:c001	65.00 DA
Payment by credit card. Form PTO-2038	is attached.		
The Director has already been authorized	I to charge fees in this	application to a Depos	sit Account.
The Director is hereby authorized to char Deposit Account Number <u>50-3102</u>	ge any fees which may	/ be required, or credit	t any overpayment, to
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assignee of record of the e Statement under 37 CF			
attorney or agent of record.	Registration Number	33,830	
attorney or agent under 37 Registration number if acting		<u> </u>	
/Reena Kuyper/		February 16, 2	2010
Signature			Date
Reena Kuyper	·····	(310) 247-286	· · · · · · · · · · · · · · · · · · ·
Typed or printed name		Teleph	one Number

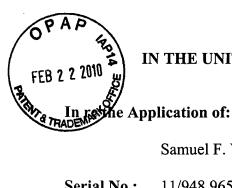
This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to life (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Ex. 1007 YMax Corporation Page 71 of 294



11/948,965



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Samuel F. WOOD, et al.

Serial No.: 11/948,965

Filed: June 7, 2006

For: BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES

Customer No.:	49,637
Confirmation No.:	3783
Group Art Unit:	2614
Examiner:	Creighton H. Smith
Docket No.:	TLM-103.C1DIV
Office Action dated:	October 13, 2009

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUBSTANCE OF PERSONAL INTERVIEW WITH THE EXAMINER

Dear Sir:

I. Participants (on behalf of the U.S. Patent Office)

Examiner Creighton Smith

Participants (on behalf of the Applicants) Samuel F. Wood (Inventor) Jerry A. Klein (Inventor) Reena Kuyper (Representative of the Applicant)

- II. Date of Interview: January 11, 2010
- Prior Art Discussed III.
 - Schwab, et al. (U.S. Patent 6,381,323) a.
 - Sidhu, et al. (U.S. Patent 6,744,759) & Shah, et al. (U.S. Patent 6,041,325) b.



III. <u>Discussion</u>

Applicants and Applicants' Representative presented the differences between the rejected claims and Schwab et al., and other prior art. The major difference lies in that Applicants' architecture permits subscribers to apply features via web access to a controlling device that connects to a switching facility (tandem access switch also referred by other terminology in the industry) so that the features are not limited to a local geographic area. In Schwab, the features are applied at the end office switch, therefore, they are limited only within a local geographic area.

Respectfully submitted,

BERRY & ASSOCIATES P.C.

Dated: February 16, 2010

By: /Reena Kuyper/ Reena Kuyper Registration No. 33,830

9229 Sunset Blvd., Suite 630 Los Angeles, CA 90069 (310) 247-2860

> Ex. 1007 YMax Corporation Page 73 of 294

Application No.: Reply to Office Action of: 11/948,965 October 13, 2009



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

 Th re the Application of:
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 Samuel F. WOOD, et al.
)

 Serial No.:
 11/948,965
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 Filed:
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 BRANCH CALLING AND CALLER ID
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 BASED CALL ROUTING TELEPHONE
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Customer No.:49,637Confirmation No.:3783Group Art Unit:2614Examiner:Creighton H. SmithDocket No.:TLM-103.C1DIVOffice Action dated:October 13, 2009

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT & RESPONSE TO FINAL OFFICE ACTION SUBMITTED WITH REQUEST FOR CONTINUED EXAMINATION ("RCE")

Dear Sir:

Prior to examination of this application and in response to the final office action dated October 13, 2009, and further to a personal interview on January 11, 2010, please amend the application as indicated in the following pages. Applicants appreciate the courtesy extended by the Examiner in granting the personal interview and during the interview.

The Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this submission.

-1-

The Remarks/Arguments begin on page 15 of this submission.

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 YMax
 Corporation
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 74 of 294

Application No.: Reply to Office Action of: 11/948,965 October 13, 2009

IN THE CLAIMS:

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Please amend the claims as indicated. A complete set of the claims is included below, reflecting added subject matter (*with underlining*) and deleted subject matter (*with strikethrough*), as well as the current status of each claim. This listing of claims will replace all prior versions and listings of the claims in this application:

1. (Currently Amended) A method performed by a web-enabled processing system connected within to operate at least in part with a communication network the public switched telephone network (PSTN) comprising edge switches for routing calls from and to subscribers within a local geographic area and switching facilities for routing calls to other edge switches or other switching facilities local or in other geographic areas, the method comprising the steps of:

receiving a call in response to a calling party entering a first number, using a communications device, intended for a subscriber, the <u>web-enabled</u> processing system <u>coupled to at least one switching facility of the communication network, the web-enabled</u> <u>processing system</u> processing the call pursuant to at least one calling feature designated by said subscriber, where the at least one calling feature had previously been communicated to the web-enabled processing system by said subscriber via the internet;

placing at least two calls simultaneously to at least two different communications devices designated by the subscriber;

detecting that the call has been answered at one of the communications devices; and

in response to the detecting, abandoning other calls to the remaining one or more communications devices and establishing a connection between the calling party's communications device and the answered communications device.

2. (Canceled)

 (Previously Presented) The method of Claim 1 further comprising: detecting first information about the source of the call; associating the first information with a calling feature, previously selected by the subscriber to be performed on the call, the calling feature being to forward the call to at least two communications devices; and

simultaneously placing at least two calls to at least two communications devices.

4. (Previously Presented) The method of Claim 1 wherein the at least two calls to the communications devices are any combination of local call, long distance call, cellular call, and VOIP call.

5. (Currently Amended) The method of Claim 1 wherein, when one of the calls to the communications devices is answered, an answer supervision signal is transmitted to the processing system, and the processing system terminates abandons the other calls.

6. (Original) The method of Claim 5 wherein the answer supervision is pursuant to the SS7 signaling protocol.

7. (Currently Amended) The method of Claim 1 wherein the <u>web-enabled</u> processing system is connected to <u>the switching facility</u>, <u>which is</u> a PSTN tandem switch within the <u>communication network</u>, <u>which is a public switched telephone network (PSTN)</u> PSTN, and wherein receiving a call from a calling party comprises <u>the steps of</u>:

receiving a first call through the tandem switch from the calling party intended for the subscriber after the calling party has entered a first telephone number, the first telephone number being the subscriber's public telephone number, said processing system simultaneously placing at least second and third calls using second and third telephone numbers different from the first telephone number.

8. (Canceled)

9. (Canceled)

Ex. 1007 YMax Corporation Page 76 of 294 10. (Currently Amended) A controller connected within the public switched telephone network (PSTN) and configured to perform enhanced routing operations, comprising:

a processing system connected within the PSTN and linked to <u>via</u> the internet for selecting at least one calling feature via the internet, the processing system programmed <u>configured</u> to perform <u>the steps of</u> the process comprising:

receiving a call from a calling party, using a communications device, intended for a subscriber, whereby said processing system implements a calling feature previously designated by said subscriber via the internet;

placing at least two calls simultaneously to at least two different communications devices previously designated by the subscriber;

detecting that the call has been answered at one of the communications devices; and

in response to the detecting, abandoning other calls to the remaining one or more communications devices and establishing a connection between the calling party's communications device and the answered communications device.

11. (Canceled)

12. (Currently Amended) The controller of Claim 10 wherein the processing system is programmed configured to perform the process comprising the additional steps of:

detecting first information about the source of the call;

associating the first information with a feature, selected by the subscriber, to be performed on the call, the feature being to forward the call to the at least two different communications devices; and

simultaneously placing the at least two calls to the at least two different communications devices.

13. (Previously Presented) The controller of Claim 10 wherein the at least two calls to the communications devices are any combination of local call, long distance call, cellular call, and VOIP call.

14. (Currently Amended) The controller of Claim 10 wherein, when one of the calls to the communications devices is answered, an answer supervision signal is transmitted to the processing system, and the processing system terminates <u>abandons</u> the other calls.

15. (Currently Amended) The controller of Claim 10 wherein the processing system is connected to a PSTN tandem switch within the PSTN, and wherein receiving a call from a calling party comprises <u>the step of</u>:

receiving a first call through the tandem switch from the calling party intended for the subscriber after the calling party has entered a first telephone number, the first telephone number being the subscriber's public telephone number, said processing system simultaneously placing at least second and third calls using second and third telephone numbers different from the first telephone number.

16. (Original) The controller of Claim 10 wherein the processing system is connected to the PSTN in the subscriber's local service area.

17. (Original) The controller of Claim 10 wherein the subscriber is a subscriber of residential telephone service.

18. (Previously Presented) The controller of Claim 10 wherein the subscriber is a subscriber of business telephone service.

19. (Currently Amended) A method performed by a processing system <u>coupled to a</u> <u>switching facility for routing calls to edge switches or other switching facilities in local or other</u> <u>geographic areas</u>, within the public switched telephone network (PSTN) <u>a communication</u> <u>network</u>, comprising the steps of:

placing at least two calls simultaneously to at least two different communications devices designated by a subscriber using a communications device, the processing system processing the calls pursuant to at least one calling feature designated by said subscriber, where the at least one calling feature had previously been communicated to the processing system by said subscriber via the internet;

receiving the at least two calls made simultaneously to said at least two different communications devices designated by said subscriber via the internet;

detecting that one of the calls has been answered at one of the communications devices; and

in response to the detecting, abandoning other calls to the remaining one or more communications devices and establishing a connection between said subscriber's communications device and the answered communications device.

20. (Currently Amended) A controller connected <u>to a tandem switch</u> within the public switched telephone network (PSTN) comprising:

a processing system linked to the internet for allowing a subscriber to select at least one calling feature over the web, the processing system programmed <u>configured</u> to perform the process comprising <u>the steps of</u>:

placing at least two calls simultaneously to at least two different communications devices designated by a subscriber using a communications device, the processing system processing the calls pursuant to at least one calling feature designated by said subscriber, where the at least one calling feature had previously been communicated to the processing system by said subscriber via the internet;

receiving at least two calls made simultaneously to said at least two different communications devices designated by the subscriber via the internet;

detecting that the call has been answered at one of the communications devices; and

in response to the detecting, abandoning other calls to the remaining one or more communications devices and establishing a connection between the calling party's communications device and the answered communications device.

- 6 -

21. (Currently Amended) A controller connected <u>to a switching facility allowing</u> <u>access to local and other geographic areas</u> within the public switched telephone network (PSTN) comprising:

a processing system linked to the internet for allowing a subscriber to select at least one calling feature via the internet, the processing system programmed <u>configured</u> to perform the process comprising <u>the steps of</u>:

receiving a call under the control of said controller from a calling party, using a communications device, intended for a subscriber, the processing system processing the call pursuant to at least one calling feature designated by said subscriber, where the at least one calling feature had previously been communicated to the processing system by said subscriber via the internet;

invoking the at least one calling feature prior to said received call reaching a terminating central office, said at least one calling feature placing at least two calls simultaneously to at least two different communications devices previously designated by said subscriber;

detecting that one of at least two calls has been answered at one of the communications devices; and

in response to the detecting, abandoning other calls to the remaining one or more communications devices and establishing a connection between the calling party's communications device and the answered communications device.

Please add the following new claims indicated below.

22. (New) A method for processing an incoming call from a switching facility on a communication network that comprises edge switches for routing calls to subscribers within a local geographic area and switching facilities for routing calls to edge switches, or other switching facilities local or in other geographic areas the method comprising the steps of:

receiving a first call, which is intended for a specified recipient, at a controlling device in communication with the switching facility;

identifying one or more control criteria previously associated with the specified recipient, wherein the one or more control criteria was entered via a web-based interface;

initiating a second call at the controlling device in accordance with the control criteria associated with the specified recipient; and

connecting the first and second calls at the controlling device after the second call is received by a communication device associated with the specified recipient.

23. (New) A method as defined in claim 22, wherein the communication network comprises any one or more of a switched network, a packet-based network, and a wireless network.

24. (New) A method as defined in claim 22, wherein the communication device is a digital device.

25. (New) A method as defined in claim 22, wherein the controlling device is implemented using a distributed architecture spanning at least two locations.

26. (New) A method as defined in claim 22, wherein the controlling device utilizes a programmed processor utilizing the TDM architecture.

27. (New) A method as defined in claim 22, wherein the controlling device utilizes a programmed processor utilizing packet switching.

28. (New) A method as defined in claim 22, wherein the controlling device utilizes a programmed processor utilizing a voice over IP (VoIP) architecture.

29. (New) A method as defined in claim 22, wherein at least one of the first and second calls is facilitated via a VoIP connection.

30. (New) A method as defined in claim 22, wherein at least either of the first call or the second call is routed within the communication network.

31. (New) A method as defined in claim 22, wherein both the first and second calls are facilitated via VoIP connections.

32. (New) A method as defined in claim 22, wherein the controlling device is located within a local service area corresponding to the specified recipient.

33. (New) A method as defined in claim 22, wherein the controlling device is configured as a tandem access controller.

34. (New) A method as defined in claim 33, wherein the tandem access controller is coupled to and operates in conjunction with at least one of the switching facilities located within the communication network.

35. (New) A method as defined in claim 22, wherein both the first and second calls are routed within the communication network.

36. (New) A method as defined in claim 22, wherein at least either one of the first and second calls is facilitated over a wireless link.

37. (New) A method as defined in claim 22, wherein the communication network may use any one of a wired telephone device, a computing device, a wireless device, a cellular device, a portable device with cellular capability, and an information appliance.

38. (New) A method as defined in claim 22, wherein the communication network comprises a network of switching facilities performing a class 4 switching function.

-9-

39. (New) A method as defined in claim 22, wherein the communication network comprises a network of class 4 switches.

40. (New) A method as defined in claim 22, where the control criteria are executed by the controlling device to perform one or more of the following operations:

a) Web-Based Telecom Navigator;

b) Manage Incoming Call Control;

c) Conditional Call Blocking/Forwarding/Alerting; Call Screening/Retrieval from Voice Mail;

d) Interactive Voice Response and Speech Recognition;

e) Manage Outgoing Call Control;

f) Click-to-Dial Calling;

g) Group Calling and Messaging;

h) Web-Based Billing;

i) Cost-Effective Single Phone Number Access;

j) Free Local Calls, Incoming Calls (not 800 Toll Service);

k) Retain Current Number (Local Number Portability);

l) Low-Cost Calling Throughout LATA;

m) Flat-Rate Foreign Exchange;

n) Standard DTMF and VoIP Phones;

o) Centralized and Consistent Personal Data;

p) Private/Public Phone Directories and Calendars;

q)"Post-It" Style Annotation of Numbers;

r) Web Dialing;

s) Click-to-Dial from Web Pages, Directories, Calendars; Multiple Phone List

Management; Voice Mail Access, Prompts, Alert Via Web; Mode-Based Definition and

Selection, comprising Time-of-Day, Day-of-Week, Follow-Me, Caller

Recognition/Password, Caller ID, Vacation, Dinner Time, Go Away, Family Call

Waiting; Learning Modes; Automatic Data Capture; Build Phone List Based on Collected

Usage Information; VoIP Link Degradation Detection; and Automatic Cutover to the public switched communication network.

41. (New) A method for processing an incoming call from a switching facility on a communication network that comprises edge switches for routing calls within a local geographic area and switching facilities for routing calls to other geographic areas, the method comprising the steps of:

receiving a first call, at a controlling device in communication with the switching facility; which is intended for a specified recipient;

identifying one or more control criteria previously associated with the specified recipient, wherein the one or more control criteria are entered via a web-based interface; initiating a second call at the controlling device via a packet-based connection in accordance with the control criteria associated with the specified recipient; and connecting the first and second calls at the controlling device after the second call is received by a communication device associated with the specified recipient.

42. (New) A method as defined in claim 41, wherein the specified recipient uses a communication device in communication with the controlling device via a web interface by which the specified recipient can view the status of calls or features designations.

43. (New) A method as defined in claim 42, wherein the communication device is any one of a wired telephone device, a computing device, a wireless device, a cellular device, a portable device with cellular capability, a digital device, and an information appliance.

44. (New) A method as defined in claim 41, wherein the control criteria are executed by the controlling device to perform one or more of the following operations:

a) Web-Based Telecom Navigator;

- b) Manage Incoming Call Control;
- c) Conditional Call Blocking/Forwarding/Alerting; Call Screening/Retrieval from Voice Mail;

- d) Interactive Voice Response and Speech Recognition;
- e) Manage Outgoing Call Control;
- f) Click-to-Dial Calling;
- g) Group Calling and Messaging;
- h) Web-Based Billing;
- i) Cost-Effective Single Phone Number Access;
- j) Free Local Calls, Incoming Calls (not 800 Toll Service);
- k) Retain Current Number (Local Number Portability);
- l) Low-Cost Calling throughout LATA;
- m) Flat-Rate Foreign Exchange;
- n) Standard DTMF and VoIP Phones;
- o) Centralized and Consistent Personal Data;
- p) Private/Public Phone Directories and Calendars;
- q)"Post-It" Style Annotation of Numbers;
- r) Web Dialing;

s) Click-to-Dial from Web Pages, Directories, Calendars; Multiple Phone List Management; Voice Mail Access, Prompts, Alert Via Web; Mode-Based Definition and Selection, comprising Time-of-Day, Day-of-Week, Follow-Me, Caller Recognition/Password, Caller ID, Vacation, Dinner Time, Go Away, Family Call Waiting; Learning Modes; Automatic Data Capture; Build Phone List Based on Collected Usage Information; VoIP Link Degradation Detection; and Automatic Cutover to the public switched communication network.

45. (New) A method as defined in claim 41, wherein the packet-based connection includes a VOIP connection.

46. (New) A method for processing an incoming call from a switching facility on a communication network that comprises edge switches for routing calls within a local geographic area and switching facilities for routing calls to other geographic areas, the method comprising the steps of:

receiving a first call, which is intended for a specified recipient, at a controlling device in communication with the switching facility;

identifying one or more control criteria previously associated with the specified recipient, wherein the one or more control criteria are previously entered via a web-based interface; and

initiating one or more calls at the controlling device in accordance with the control criteria associated with the specified recipient; and

if the one or more calls are not answered by the specified recipient, routing the first call from the controlling device to a voicemail server.

47. (New) A method as defined in claim 46, wherein the communication network comprises a network of switching facilities performing a class 4 switching function.

48. (New) A method as defined in claim 46, wherein the communication network comprises a network of class 4 switches.

49. (New) A method for processing an incoming call from a switching facility on a communication network that comprises edge switches for routing calls within a local geographic area and switching facilities for routing calls to other geographic areas, the method comprising the steps of:

receiving a first call, which is intended for a specified recipient, at a controlling device in communication with the switching facility;

identifying one or more control criteria previously associated with the specified recipient, wherein the one or more control criteria are entered via a web-based interface; and

routing the first call from the controlling device to a voicemail server in accordance with the control criteria associated with the specified recipient.

50. (New) A method for processing an incoming call from a switching facility on a communication network that comprises edge switches for routing calls within a local geographic

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area and switching facilities for routing calls to other geographic areas, the method comprising the steps of:

receiving a first call, which is intended for a specified recipient, at a controlling device in communication with one of the switching facilities;

identifying a control criteria previously associated with the specified recipient, wherein the control criteria is previously entered via a web-based interface and instructs the controlling device to block calls for the specified recipient; and

blocking the first call received at the controlling device in accordance with the control criteria.

Application No.: Reply to Office Action of: 11/948,965 October 13, 2009

REMARKS

In response to the final office action dated October 13, 2009, and a personal interview with the Examiner on January 11, 2010, Applicants submit a Request for Continued Examination ("RCE") and an Amendment. At the outset, Applicants and the undersigned appreciate the Examiner's courtesy during the personal interview. Claims 1, 3-7, 10, and 12-21 are pending in this application and stand rejected under new grounds for rejection stated in the final office action. In addition, contemporaneously with these submissions, Applicants also submit a Supplemental Information Disclosure citing references and information from the other pending related applications and of which the Applicants have become aware. By this Amendment, Applicants have amended claims 1, 5, 7, 10, 12, 14, 15, 19, 20, and 21, and present new claims 22-50 for the Examiner's continued consideration. Applicants have also demonstrated reasons why the claims in their present form are distinct from the art asserted by the Examiner and the art of record. Reconsideration of this application based on the amendments to the claims and the arguments urged here is respectfully requested.

35 U.S.C. § 103 Rejections

On page 2 of the office action, the Examiner rejected Claims 1, 4-7, 10, 13-21 under 35 U.S.C. Section 103(a) as unpatentable over Schwab et al. (U.S. Patent 6,381,323) in view of Sidhu et al. (U.S. Patent 6,744,759) or Shah et al. (U.S. Patent 6,041,325).

On page 4 of the office action, the Examiner rejected Claims 3 and 12 under 35 U.S.C. Section 103(a) as unpatentable over Schwab et al. (U.S. Patent 6,744,759) in view of Sidhu et al. (U.S. Patent 6,744,759) and further in view of Kugell et al. (U.S. Patent 5,802,160).

Applicants have described below the criteria for a 103 rejection and then, have demonstrated how the combination of the references does not teach all the elements of the Applicants' claims.

I. Governing Criteria for a 35 U.S.C. § 103 Rejection

For rejections under 35 U.S.C. Section 103, the establishment of a *prima facie* case of obviousness requires that <u>all</u> the claim limitations must be taught or suggested by the prior art.

MPEP § 2143.03 The establishment of a prima facie case of obviousness requires that the claimed combination cannot change the principle of operation of the primary reference or render the reference inoperable for its intended purpose. MPEP § 2143.03.

The Supreme Court set the standard for evaluating obviousness in its recent decision (*KSR International Co. v. Teleflex Inc. et al.* (550 U.S. 127 S. Ct. 1727 (2007)) to be "expansive and flexible" and "functional." However, the standard is not controlling, rather, the various noted factors only "can" or "might" be indicative of obviousness based on the facts. The Supreme Court in KSR enunciated the following principles:

"[w]hen a work is available in one field of endeavor, design incentives and other market forces can prompt variations of it, either in the same field or a different one. If a person of ordinary skill can implement a predictable variation, Section 103 likely bars it patentability. For the same reason, if a technique has been used to improve one device, and a person of ordinary skill in the art would recognize that it would improve similar devices in the same way, using the technique is obvious unless its actual application is beyond his or her skill....[A] court must ask whether the improvement is more than the predictable use of prior art elements according to their established functions.

Simply using the benefit of hindsight in combining references is improper. *In re Lee*, 277 F.3d 1338, 1342-45 (Fed. Cir. 2002); *In re Deminski*, 796 F.2d 436, 442 (Fed. Cir. 1986)). The Supreme Court while recognizing the need "to guard against slipping into the use of hindsight," acknowledged the following principles:

[r]ejection on obviousness grounds cannot be sustained by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness.

[I]t can be important to identify a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does.

One of the ways in which a patent's subject matter can be proved obvious is by noting that there existed at the time of invention a known problem for which there was an obvious solution encompassed by the patent's claims.

Rather, obviousness is to be determined from the vantage point of a hypothetical person having ordinary skill in the art to which the patent pertains. See 35 U.S.C. § 103(a). The legal construct

also presumes that all prior art references in the field of the invention are available to this hypothetical skilled artisan. *In re Carlson*, 983 F.2d 1032, 1038, 25 USPQ 2d 1207, 1211 (Fed. Cir. 1993). The Supreme Court in KSR stated that:

a patent composed of several elements is not proved obvious merely by demonstrating that each of its elements was independently, known in the prior art.

An examiner may often find every element of a claimed invention in the prior art. "Virtually all [inventions] are combinations of old elements." *Environmental Designs, Ltd. V. Union Oil Co.,* 713 F.2d 693, 698, 218 USPQ 865, 870 (Fed.Cir. 1983), cert. denied, 464 U.S. 1043 (1984); see also *Richel, Inc. v. Sunspool Corp.,* 714 F.2d 1573, 1579-80, 219 USPQ 8, 12 (Fed.Cir. 1983). If identification of each claimed element in the prior art were sufficient to negate patentability, very few patents would ever issue. Furthermore, rejecting patents solely by finding prior art corollaries for the claimed elements would permit an examiner to use the claimed invention itself as a blueprint for piecing together elements in the prior art to defeat the patentability of the claimed invention. Such an approach would be "an illogical and inappropriate process by which to determine patentability." *Sensonics, Inc. v. Aerosonic Corp.,* 81 F.3d 1566, 1570, 38 U.S.P.Q.2d 1551, 1554 (Fed.Cir.1996). In other words, the examiner must show reasons that the skilled artisan, confronted with the <u>same</u> problems as the inventor and with no knowledge of the claimed invention, would select the elements from the cited prior art references for combination in the manner claimed. The Supreme Court in KSR has also stated that:

[o]ften, it will be necessary for a court to look to interrelated teachings of multiple patents; the effects of demands known to the design community or present in the market place.

Further, the Supreme Court states that:

The Court relied upon the corollary principle that when the prior art **teaches away** from combining certain known elements, discovery of a successful means of combining them is more likely to be nonobvious.

II. Discussion of the 35 U.S.C. § 103 Rejections

On page 2 of the office action, the Examiner rejected claims 1, 4-7, 10, 13-21 as unpatentable over the patent to Schwab et al., in view of the patent to Sidhu et al., or the patent to Shah et al. The Examiner alleges that,

Schwab et al disclose in col. 1, lines 40 et seq. a method of routing incoming phone calls that includes the steps of coupling an incoming call to a subscriber to a platform, then in step (e) Schwab et al disclose "if it is determined that a 'simultaneous' search feature is active, then the incoming call will be directed to **all** number's on the subscriber's simultaneous list stored on the platform. In col. 4, lines 43, et seq. Schwab et al disclose that if the subscriber chooses simultaneous ring option, all of the phone numbers selected by the subscriber will ring at once until one of the phone numbers is answered. Schwab et al never specifically disclose that once a phone answers the call that the other phones will abandon the call, but this is inherently what is happening because once the call is answered the network will abandon/cease calling the other phones in order to conserve network resources and thus save money.

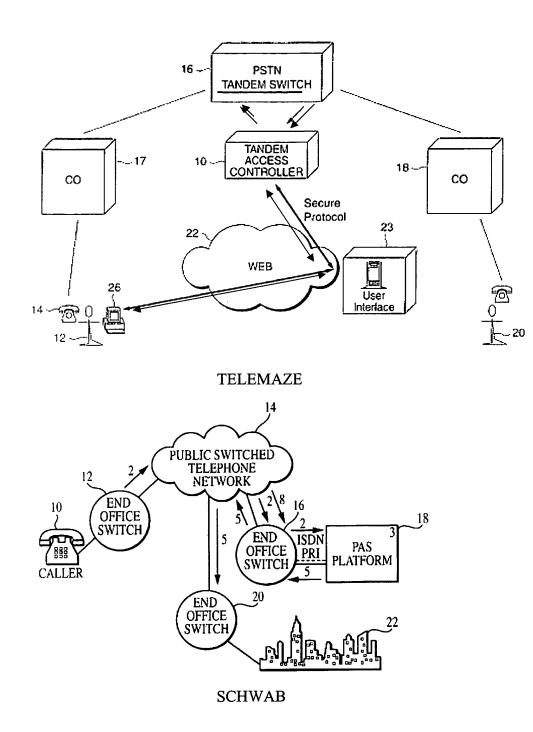
Schwab et al processing platform 18 is deemed to be within the PSTN, and is <u>NOT</u> considered to be an "edge device." According to Newton's Telecom Dictionary, page 352, 23rd Ed., en edge device is defined as a physical device capable of forwarding packets between legacy interworking interfaces and ATM interfaces. A physical device that sits on edges of the internet under control of the ISP. Newton's Dictionary defines the PSTN, page 748, as the "entire interconnected collection of local, long distance, and international phone companies. No where in Schwab et al is there any disclosure of the Internet or packets/frames. Neither does Schwab et al call their processing platform an "edge device." Therefore, since Schwab et al neither disclose the Internet or packet transmission nor do they disclose that platform 18 to be an "edge

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device," the Examiner disagrees with applicants['] assertion that platform 18 is an edge device. Even though Schwab et al has not included the EO (12, 16, 20), platform 18, and caller 10 within the PSTN cloud 14 in the drawings, all those elements are deemed to be within the PSTN according to Newton's definition of the PSTN.

Schwab et al do not disclose that the service features provisioning is done through the Internet. However, Sidhu et al disclose in claim 4 a provisioning function that provides a feature request form to a user on one of the data channels, with the feature request form to a user on one of the data channels, with the feature request form being presented to the user in a web browser. Shah at al teach in Fig. 6 and col. 11, lines 12 et seq. that access point 30 presents users with Internet browser screens the ability to provision services. Some of the specific service provisions shown in Fig. 5 are call waiting and call forwarding. To have provided either Sidhu or Shah et al teaching of allowing a user/subscriber to provision telephony service features through the Internet in Schwab et al device would have been obvious to a person having ordinary skill in the art because both references are teaching different service features available to users and skilled in artisan in this art would have used common sense to combine the features of the references together.

Applicants followed the Examiner's attempted reasoning and explained the differences, during the personal interview, between Schwab et. al., the other asserted prior art, and their system. As indicated during the personal interview, Schwab demonstrates an architecture (see below Schwab's figure in comparison with Applicants' figure, also discussed during the personal interview) distinct from Applicants' architecture that is claimed here.



Applicants respectfully submit that in Schwab, any "features" that are applied to calls being routed are <u>via an end office switch</u> (also referred to as an edge switch or a central office (CO) switch). The end office switch connects calls from calling (telephone company subscribers) parties to called parties <u>only</u> within a local geographic area. Consistent with Newton's definition, on which the Examiner relies, Schwab's "end office switch" could arguably

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be considered to be "within" the PSTN. The PSTN is a configuration of switching facilities for routing calls from calling parties to called parties, comprising a plurality of end office switches (also referred to as central office switches or edge switches (e.g., a class 5 switch)) and a plurality of interconnected switching facilities (also referred to as tandem switches). The end office switches connect calling parties to called parties <u>only</u> within a local geographic area. The tandem switching facilities route calls received via end office switches or other tandem switching facilities to called parties within other geographic areas (national or international, beyond the local geographic area that a subscriber is in). Typically, a telephone call involves an originating end office switch, a plurality of tandem switches, and a terminating end office switch. Therefore, in Schwab, the application of "features" to call routing operations is restricted within the local geographic area of a particular end office switch (local to the calling party that originates the call).

Applicants' architecture is not only distinct, but a significant improvement over Schwab or any of the other art asserted by the Examiner or of record, because it facilities application of "features" to call routing operations <u>anywhere</u> in the network (geographic areas beyond the local geographic area). Applicants' architecture permits subscribers (called parties or third parties) to access call routing operations at the switching facilities (also referred to as tandem switching facilities¹) that interconnect end office switches to other geographic areas that are not local to an end office switch. Applicants' have amended the claims here to emphasize this distinction. Rather than to simply refer to a "PSTN," the claims now define the various components of the PSTN architecture and indicate the point (switching facility within the PSTN) at which Applicants' system has access to apply the "features" requested by a subscriber to call routing operations. In addition, neither Sidhu nor Shah discloses the architecture that is lacking in Schwab and claimed here. The Examiner relies on these references for a teaching of web access, which he acknowledges is also lacking in Schwab. Even with a teaching of web access in either Sidhu or Shah, a combination of all three references does not meet all the elements of the claims at issue here.

¹ Any point in the switching fabric of converging networks, also referred to in industry as a signal transfer point (STP), signal control point (SCP), session border controller (SBC), gateway, access tandem, class 4 switch, wire center, toll office, toll center, PSTN switching center, intercarrier connection point, trunk gateway, hybrid switch, etc.

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Specifically, the claims define receiving first calls at a controller facility (also referred to as a tandem access controller) and applying "features" that were previously designated, via web access, by the subscribers, and then, making second calls, if necessary, to accomplish the designated features. Applicants have also presented some new claims for the Examiner's consideration, including ones that define the particular features, for example, routing to voice mail and call blocking.

Moreover, although Kugell discloses tandem switching facilities, it does not disclose Applicants' architecture for applying "features." Instead, Kugell suggests major modifications to the PSTN.

Applicants submit that claims 1, 4-7, 10, and 13-21 are distinct for the reasons demonstrated above. The Examiner is respectfully requested to withdraw the rejections of claims 1, 4-7, 10, and 13-21. In addition, claims 3 and 12 are distinct by virtue of their dependency on claims 1 and 10, respectively, from which they depend. Again, the Examiner is respectfully requested to withdraw the rejections of these claims and to allow them.

Conclusion

Favorable reconsideration of the rejected claims and the new claims presented here is respectfully requested. In addition, Applicants and the undersigned request the Examiner to kindly call the undersigned in the event outstanding issues remain, in order to expedite conclusion of prosecution of this application.

Respectfully submitted,

BERRY & ASSOCIATES P.C.

Dated: February 16, 2010

By: /Reena Kuyper/ Reena Kuyper Registration No. 33,830

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	First Named Inventor	Samuel F	. Wood		
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	APPLICATION SIZE 37 CFR 1.16(s))	FEE sheet is \$25 additi 35 U.	s of pape 50 (\$125 onal 50 s S.C. 41(a	ation and drawin er, the applicatic for small entity) sheets or fraction a)(1)(G) and 37	n size fee due for each n thereof. See						
_			,				TOTAL			TOTAL	
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	APPI	(Column 1)		(Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN
AMENDMENT	02/22/2010	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
OME	Total (37 CFR 1.16(i))	* 46	Minus	** 20	= 26		X \$26 =	676	OR	X \$ =	
IN I	Independent (37 CFR 1.16(h))	* 10	Minus	***5	= 5		X \$110 =	550	OR	X \$ =	
AMI	Application Si	ze Fee (37 CFR 1	.16(s))								
`	FIRST PRESEN	ITATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
						•	TOTAL ADD'L FEE	1226	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
г		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
N E	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
Π	Application Si	ze Fee (37 CFR 1	.16(s))								
AN		ITATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
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** lf *** i	he entry in column the "Highest Numbe the "Highest Number P	er Previously Paid er Previously Paid	For" IN TH For" IN T	IIS SPACE is less	than 20, enter "20' s than 3, enter "3".		/KIMBE	ISTRUMENT EX RLY PANNEL	.L/	er:	
	The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. his collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to										

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.16. The information is required to obtain of retain a benefit by the public which is to the quite by the quite by the public which is to the quite by the quite by the public which is to the quite by the quit

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Ex. 1007 YMax Corporation Page 98 of 294

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
TLM-103C1DIVSERIAL NO.
11/948,965APPLICANT:
Samuel F. WOOD, et al.GROUP:
2614

(Use several sheets if necessary)

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	2001/0022784	09-2001	Menon et al.			
	2001/0030950	10-2001	Chen et al.			
	4,313,035	01-1982	Jordan et al.			
	4,348,554	09-1982	Asmuth			
	4,611,094	09-1986	Asmuth et al.			
	4,611,096	09-1986	Asmuth et al.			
	4,953,198	08-1990	Daly et al.			
	4,973,837	11-1990	Bradbeer			
	5,297,191	03-1994	Gerszberg			
	5,311,582	05-1994	Davenport et al.			
	5,428,663	06-1995	Grimes et al.			
	5,448,623	09-1995	Wiedeman et al.			
	5,455,853	10-1995	Cebulka et al.			
	5,471,616	11-1995	Johnson et al.			
	5,495,567	02-1996	Iizawa et al.			
	5,497,339	03-1996	Bernard			
	5,557,658	09-1996	Gregorek et al.			
	5,563,937	10-1996	Bruno et al.			
	5,606,594	02-1997	Register et al.			
	5,628,004	051997	Gormley et al.			
	5,646,945	07-1997	Bergler			
	5,727,057	03-1998	Emery et al.			

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DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

 ATTY. DOCKET NO. TLM-103C1DIV
 SERIAL NO. 11/948,965

 APPLICANT: Samuel F. WOOD, et al.
 GROUP:

 FILING DATE: November 30, 2007
 GROUP:

(Use several sheets if necessary)

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,727,057	03-1998	Emery et al.			
	5,732,074	03-1998	Spaur et al.			
	5,732,216	03-1998	Logan et al.			
	5,737,533	04-1998	De Hond			
	5,742,905	04-1998	Pepe et al.			
	5,806,057	09-1998	Gormley et al.			
	5,838,665	11-1998	Kahn et al.			
	5,850,433	12-1998	Rondeau			
	5,859,972	01-1999	Subramaniam et al.			
	5,875,405	02-1999	Honda			
	5,878,418	03-1999	Polcyn et al.			
	5,894,473	04-1999	Dent			
	5,894,595	04-1999	Foladare et al.			
	5,913,029	06-1999	Shostak			
	5,915,008	06-1999	Dulman			
	5,918,172	06-1999	Saunders et al.			
	5,930,700	07-1999	Pepper et al.			
	5,933,778	08-1999	Buhrmann et al.			
	5,938,757	08-1999	Bertsch			
	5,960,340	09-1999	Fuentes			
	5,970,059	10-1999	Ahopelto et al.			
	5,991,394	11-1999	Dezonno et al.			

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Information Disclosure Statement – Section 9 PTO-1449

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TLM-103C1DIVSERIAL NO.
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Samuel F. WOOD, et al.GROUP:
2614

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		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,006,272	12-1999	Aravamudan et al.			
	6,014,437	01-2000	Acker et al.			
	6,020,916	02-2000	Gerszberg et al.			
	6,028,917	02-2000	Creamer et al.			
	6,031,904	02-2000	An et al.			
	6,044,403	03-2000	Gerszberg et al.			
	6,075,992	06-2000	Moon et al.			
	6,078,581	06-2000	Shtivelman et al.			
	6,084,584	07-2000	Nahi et al.			
	6,094,478	07-2000	Shepherd et al.			
	6,104,800	08-2000	Benson			
	6,141,341	10-2000	Jones et al.			
	6,161,134	12-2000	Wang et al.			
	6,163,598	12-2000	Moore			
	6,167,040	12-2000	Haeggstrom			
	6,175,860	01-2001	Gaucher			
	6,188,688	02-2001	Buskirk, Jr.			
	6,212,261	04-2001	Meubus et al.			
	6,216,158	04-2001	Luo et al.			
	6,240,097	05-2001	Wesloek et al.			
	6,259,692	07-2001	Shtivelman et al.			
	6,262,978	07-2001	Bruno et al.			

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		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,266,539	07-2001	Pardo			
	6,301,609	10-2001	Aravamudan et al.			
	6,308,201	10-2001	Pivowar et al.			
	6,334,126	12-2001	Nagatomo et al.			
	6,337,858	01-2002	Petty et al.			
	6,359,892	03-2002	Szlam et al.			
	6,385,308	05-2002	Cohen et al.			
	6,404,764	06-2002	Jones et al.			
	6,411,615	06-2002	DeGolia et al.			
	6,411,965	06-2002	Klug			
	6,414,962	07-2002	Hall et al.			
	6,418,198	07-2002	Brablec et al.			
	6,421,235	07-2002	Ditzik			
	6,445,694	09-2002	Swartz			
	6,445,697	09-2002	Fenton			
	6,446,127	09-2002	Shuster et al.			
	6,448,978	09-2002	Salvador et al.			
	6,456,594	09-2002	Kaplan et al.			
	6,456,601	09-2002	Kozdon et al.			
	6,477,565	11-2002	Daswani et al.			
	6,477,576	11-2002	Angwin et al.			
	6,483,902	11-2002	Stewart et al.			

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	U.S. PA	TENT DOCUMENTS			
DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
6,493,338	12-2002	Preston et al.			
6,496,477	12-2002	Perkins et al.			
6,526,462	02-2003	Elabd			
6,539,359	03-2003	Ladd et al.			
6,577,622	06-2003	Shuster et al.			
6,584,490	06-2003	Shuster et al.			
6,650,901	11-2003	Shuster et al.			
6,681,252	01-2004	Shuster et al.			
	09-2004	Shuster et al.			
	6,493,338 6,496,477 6,526,462 6,539,359 6,577,622 6,584,490	DOCUMENT NUMBER DATE 6,493,338 12-2002 6,496,477 12-2002 6,526,462 02-2003 6,539,359 03-2003 6,577,622 06-2003 6,577,622 06-2003 6,584,490 06-2003 6,650,901 11-2003 6,6681,252 01-2004 6,731,630 05-2004 6,741,586 05-2004 6,785,266 08-2004 6,795,429 09-2004 6,804,224 10-2004 6,853,714 02-2005 6,855,021 02-2005 6,857,021 02-2005 6,870,830 03-2005 6,870,830 03-2005	6,493,338 12-2002 Preston et al. 6,496,477 12-2002 Perkins et al. 6,526,462 02-2003 Elabd 6,539,359 03-2003 Ladd et al. 6,577,622 06-2003 Shuster et al. 6,570,622 01-2004 Shuster et al. 6,650,901 11-2003 Shuster et al. 6,6681,252 01-2004 Shuster et al. 6,731,630 05-2004 Shuster et al. 6,741,586 05-2004 Shuster et al. 6,785,266 08-2004 Swartz 6,795,429 09-2004 Shuster et al. 6,804,224 10-2004 Shuster et al. 6,822,957 11-2004 Shuster et al. 6,853,714 02-2005 Shuster et al. 6,856,616 02-2005 Shuster et al. 6,857,021 02-2005 Shuster et al. 6,870,830 03-2005 Shuster et al. <	DOCUMENT NUMBER DATE NAME CLASS 6,493,338 12-2002 Preston et al. 6,496,477 12-2002 Perkins et al. 6,526,462 02-2003 Elabd 6,539,359 03-2003 Ladd et al. 6,577,622 06-2003 Shuster et al. 6,584,490 06-2003 Shuster et al. 6,650,901 11-2003 Shuster et al. 6,681,252 01-2004 Shuster et al. 6,731,630 05-2004 Shuster et al. 6,741,586 05-2004 Shuster et al. 6,785,266 08-2004 Swartz 6,804,224 10-2004 Shuster et al. 6,853,714 02-2005 Liljestrand et al. 6,857,021 02-2005 Shuster et al. 6,870,830 03-2005 Shuster et al.	DOCUMENT NUMBER DATE NAME CLASS SUB CLASS 6,493,338 12-2002 Preston et al. 6,496,477 12-2002 Perkins et al. 6,526,462 02-2003 Elabd 6,539,359 03-2003 Ladd et al. 6,577,622 06-2003 Shuster et al. 6,577,622 06-2003 Shuster et al. 6,584,490 06-2003 Shuster et al. 6,650,901 11-2003 Shuster et al. 6,681,252 01-2004 Shuster et al. 6,731,630 05-2004 Shuster et al. 6,785,266 08-2004 Swartz 6,804,224 10-2004 Shuster et al. 6,853,714 02-2005

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EXAMINER: Initial if reference is considered, whether or	EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw					
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TLM-103C1DIV	11/948,965
APPLICANT:	
Samuel F. WOOD, et al.	
FILING DATE:	GROUP:
November 30, 2007	2614

(Use several sheets if necessary)

		FOREIG	N PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YE	ISLATI NO
	DE19813179	09-1999	DE			x	
	EP0578374	01-1994	EP				
	EP0704788	04-1996	EP				
	EP0858202	08-1998	EP				
	EP0869688	10-1998	EP				
	EP0881848	12-1998	EP				
	EP0918423	10-1998	EP				
	WO01/024496	04-2001	WO				
	WO01/024498	04-2001	WO				
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	WO01/024501	04-2001	WO				
	WO01/024502	04-2001	WO				
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	WO01/05078	01-2001	WO				
	WO94/05111	03-1994	WO				
	WO95/34985	12-1995	WO				
	WO97/31492	08-1997	WO				
	WO97/33421	09-1997	WO				
	WO97/44943	11-1997	WO				
	WO98/00988	01-1998	WO				
	WO98/04065	01-1998	WO				
	WO98/10538	03-1998	WO				

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(Use several sheets if necessary)

	FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATI YE NO	
	WO98/16051	04-1998	WO				
	WO98/21911	05-1998	WO				
	WO98/30008	07-1998	WO				
	WO98/37665	08-1998	WO				
	WO99/12365	03-1999	WO				
	WO99/19988	04-1999	WO				
	WO99/20059	04-1999	WO				
	WO99/35802	07-1999	WO				
	WO99/45687	09-1999	WO				

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EXAMINER INITIAL		
	1	RFC 3298 Service in the PSTN, August 2002
		Implementing Automatic Location Update for Follow-Me database using VoIP and Bluetooth Technologies, IEEE
	2	Transaction on computers, Vol. 51, No. 10, October 2002
	3	New services demand integration, Electronic Engineering Times, Aug. 28, 2000, Iss. 1128; pg. 110
	4	Natural Microsystems, M2 Presswire. Coventry: Aug, 18, 2000
	5	This pipe dream will come true: Voice Over Internet Protocol (VoIP) technology will make the phone Box something that really talks, Businessline, Chennai: Apr 17, 2002
	6	Using Optimization to Achieve Efficient Quality of Service in Voice over IP Networks, IEEE 2003
	7	Broadsoft literature Broadworks overview, Copyright date 2002
	8	BroadSoft introduces industry's first complete service delivery and creation product suite for enhanced telephony services Broadworks, ATM Newsletter: Boston: March 2000, vol. 9, Iss. 3, pg 13
		BroadSoft unveils advanced architecture for the rapid and cost effective delivery of enhanced communications
	9	services, Website, August 25, 1999, Press releases, 3 pages.
	10	U.S. patent application Ser. No. 09/406,322, Schuster et al., filed Sep. 27, 1999
	11	U.S. patent application Ser. No. 09/515,798, Schuster et al., filed Feb. 29, 2000

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FORM PTO-1449	ATTY. DOCKET NO.	SERIAL NO.		
	TLM-103C1DIV	11/948,965		
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S	APPLICANT:			
INFORMATION DISCLOSURE STATEMENT	Samuel F. WOOD, et al.	nuel F. WOOD, et al.		
	FILING DATE:	GROUP:		
(Use several sheets if necessary)	November 30, 2007	2614		

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communication to applicant		

Electronic Acknowledgement Receipt					
EFS ID:	7033680				
Application Number:	11948965				
International Application Number:					
Confirmation Number:	3783				
Title of Invention:	Branch Calling and Caller ID Based Call Routing Telephone Features				
First Named Inventor/Applicant Name:	Samuel F. Wood				
Customer Number:	49637				
Filer:	Reena Kuyper				
Filer Authorized By:					
Attorney Docket Number:	TLM-103C1DIV				
Receipt Date:	17-FEB-2010				
Filing Date:	30-NOV-2007				
Time Stamp:	23:50:25				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment		no				
File Listing:						
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Warnings:						Ex. 1007
Information:					YMax Co	orporation

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2	Information Disclosure Statement (IDS) Filed (SB/08)	TLM-103C1DIV_PTO_1449_Fin al_2-17-10.pdf	72249 d7a8ab9a280631c5a7707c4e31caeb1b936 ff079	no	8	
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/	Foreign Reference	EP0869688B1.pdf	f958a47e2a26aba3563b5db16dbfe1c0219 7ab30	no	23	
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Warnings:					
Information:					
18	Foreign Reference	WO95034985.pdf	600038	no	17
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Warnings:					
Information:					
19	Foreign Reference	WO97031492.pdf	1285593	20	E 440
ЧЧ 	Foreign Reference	w097051492.pdi	d4a886a0a90f6bcc4a79ff59a0c29ed956fad	no VMax C	Ex. 40
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22	Foreign Reference	WO98000988.pdf	2266457	no	52
22	roleigh Neierence	WO9000900.pu	bdf93ec36bf60109a1f511920595139e98c9 ea48	110	
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Information:					
23	Foreign Peference	WOOSOO4065 pdf	1288809		36
25	Foreign Reference	WO98004065.pdf	14d2df81e7313ab73aff9effa33dbbcfd0aef aca	no	
Warnings:		·	·		
Information:					
24	Foreign Reference		7075655	no	160
24	l'oreign Nerefence	WO98010538.pdf	cfbd96a14542f2583ebcef98d2d50dbd685 78568	110	
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25	Foreign Reference	WO98016051.pdf	423532		10
23	Foreign Reference	W098016051.pdf	29d7ec0045766cef5fb25961fb971789596f 99a7	no	12
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26	Fourier Defeuence	W002021011 - 4f	921175		
26	Foreign Reference	WO98021911.pdf	b4dd655f383a2312e1f2b4e6c9414b5df7f5 27e8	no	23
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27	Foreign Reference	WO98030008.pdf	d87e70a262ed966d9cfa31c823dbf4b2321 1fbb3	no	39
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			39cb2a09f30002c148e6d3c757522b44cb8 959ef	YMax C	brnoratic

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29	Foreign Reference	WO99012365.pdf	1325530	no	34
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30	Foreign Reference	WO99019988.pdf	1872215	no	45
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31	Foreign Reference	WO99020059.pdf	2143779	no	51
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32	Foreign Reference	WO99035802.pdf	2571273	no	80
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33	Foreign Reference	WO99045687.pdf	4089362	no	68
	-		ca99e76610fb79e387b9a3d6d0e7ae9c7d1 af6e9		
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34	NPL Documents	1_RFC3298.pdf	5451870	no	18
			24963b75831bcd7e9b3df2f7c6926c34b8fe 6ed5		
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35	NPL Documents	2_implementing_follow-medb.	6787819	no	15
		pdf	221cc5fe63a102df7abf19c82abae418a31b 1229		
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36	NPL Documents	3_NewServices.pdf	1139940		3
			681a03eada065cd55e5d7b5ef8f606d930d dcdf8	no	
Warnings:					
Information:					
37	NPL Documents	4_NaturalMicrosystem.pdf	1540011	no	Ex. 30
57		nataranmerosystem.put	7b3a095a168ba933f3d2607fa9f3c068f303 934e	YMax C	
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38	NPL Documents	5_Pipedream.pdf	1173452	no	3
50		5_, ipcarcampar	ede51c41d31d733bc908435850c929171fe b8c17	110	
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39	NPL Documents	6_voiceoverip.pdf	2706470	no	6
			b903c6eac21aacffac80c4e32e63f22fed362 bde		
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40	NPL Documents	7_Broadword_Overview.pdf	1739140	no	2
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41	NFL Documents	8_BroadSoft_introduces.pdf	8e326b95248b898cfb8882438dd05b1e46a 53dad	no	2
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43	NPL Documents	13_US_app_09406322_Schuste	1806792	no	41
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44	NPL Documents	14_US_app_09515798_Schuste	2302643	no	52
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		Total Files Size (in bytes)	849	93187	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

> Ex. 1007 YMax Corporation Page 113 of 294

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: ()		Customer No.:	49,637	
		Samuel F. WOOD, et al.	Confirmation No.:	3783
Serial	No.:	11/948,965	Group Art Unit:	2614
Filed:		June 7, 2006	Examiner:	Creighton H. Smith
For:	BASE	NCH CALLING AND CALLER ID) CD CALL ROUTING TELEPHONE) URES)	Docket No.:	TLM-103.C1DIV

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 CFR § 1.56, 37 CFR §§ 1.97, and 1.98, Applicants bring the following documents, of possible interest to the subject matter of this application, to the attention of the Examiner. Each of these documents is listed on the attached form PTO-1449. Applicants are providing copies of the listed documents, except for U.S. patents and U.S. patent publication applications. In the event the Examiner would like copies of the U.S. patents and published applications as well, the Examiner is requested to advise the undersigned. Applicants respectfully request the Examiner to consider and make these documents of record with respect to this application. In addition, for the Examiner's convenience, Applicants are providing below comments on select references. The Examiner is requested to make an independent determination on the relevance of the documents.

1. <u>RFC 3298 Service in the PSTN:</u>

This document describes protocols for use in an internet or other intelligent network (PSTN/IN). The protocols that are described are for use to optimize the network. This document also discloses "features" such as "call forwarding" that can be applied within a network, however, with modifications to the existing networks and limited routing only within a local geographic area. Moreover, this document does not disclose web-based access by subscribers to request features, nor first call and second functionalities.

2. <u>Implementing Automatic Location Update for Follow-Me Database Using VoIP and</u> <u>Bluetooth Technologies</u>

This document discloses a particular method of using databases in a VoIP network to enable a user to request call features such as "follow-me" using VoIP and Bluetooth technologies. Again, this document describes "features," but via a method that requires modifications to the existing networks and limited routing only within a local geographic area. Again, this document does not disclose web-based access by subscribers to request features, nor first call and second functionalities.

3. <u>New Services Demand Integration</u>

This document recognizes that networks, such as the public switched telephone network (PSTN) and the internet (packet-based) networks are fast converging and that the new emerging networks will require new services to facilitate user interfaces. It should be noted that the document publication is after Applicants' priority date (parent patent no. 6,574,328, filed on May 2000). This document is of background interest only and does not address application of "features," via web access or otherwise, nor first call and second functionalities.

4. <u>Natural Microsystems</u>

This document describes the SS7 software product that natural Microsystems introduced to the market. SS7 is a standard protocol used in the PSTN for signaling call management within the network. SS7 was widely known and used by carriers in the PSTN to perform circuit switching operations. Applicants' parent patent no. 6,574,328, filed on May 2000, describes the SS7 protocol.

The document is of background interest only and does not address application of "features," via web access or otherwise, nor first call and second functionalities.

5. <u>Voice Over Internet Protocol (VoIP) Technology will Make the Phone Box Something That</u> <u>Really Talks</u>

This document describes the advantages of VoIP and VoIP telephones that will make new technologies available to telephone users and the advantages of VoIP. The document is of background interest only and does not address application of "features," via web access or otherwise, nor first call and second functionalities.

6. Using Optimization to Achieve Efficient Quality of Service in Voice Over IP Networks

This document recognizes the need for improved quality service in VoIP networks. As recognized in Applicants' parent disclosure, VoIP's quality of service ("QOS") was less than the quality of the PSTN networks. The document is of background interest only and does not address application of "features," via web access or otherwise, nor first call and second functionalities.

7. <u>Broadsoft Literature Broadworks Overview</u>

This document describes Broadworks, a product that consists of software modules, which may be integrated into a hardware platform such as the Sun Solaris Network Platform for use at call centers and other such large enterprises. Broadworks discloses a system for adding "call features" to an existing Class 5^1 and packet networks. Broadworks discloses a set of tools that can be used to

Class 4 Office

Class 5 Office

See also, Engineering and Operations in the Bell system, Second edition, 1977,1983, Bell telephone Laboratories,

¹ Dictionary definitions, "Newton's Telecom Dictionary," 15th Edition, February 1999, ISBN Number 1-57820-001-8

The fourth level in AT&T's long distance toll switching hierarchy – the major switching center to which toll calls from Class 5 offices are sent. In U.S. common carrier telephony service, a toll center designated "Class 4C" is an office where assistance in completing incoming calls is provided in addition to other traffic. A toll center designated "Class 4P" is an office where operators handle only outbound calls, or where switching is performed without operator assistance.

An end office. Your local central office. The lowest level in the hierarchy of local and long distance switching which AT&T set up when it was "The Bell System." A class 5 office is a local Central Office that serves as a network entry point for station loops and certain special-service lines. Also called an End Office. Classes 1, 2, 3, and 4 are toll offices in the telephone network.

replace the existing Class 5 central office switches. This document discloses a web-based interface to provide users access, and "call features," but proposes an implementation (via replacement of the existing edge switch) and therefore, does not accommodate the existing network infrastructure. Moreover, the features are limited to a local geographic area because of the implementation via the edge switch and there are no first call and second call functionalities. It should be noted that this document antedates Applicants' parent patent no. 6,574,328, which has a priority date of May 2000.

8. <u>BroadSoft Introduces Industry's First Complete Service Delivery and Creation Product Suite</u> for Enhanced Telephony Services Broadworks

This document discloses a system for integrating services that are web-enabled and offer specific features such as group directories, call forwarding, option configurations, in to the PSTN and packet (VoIP) networks. The system disclosed is web-enabled and offers specific features such as group directories, call forwarding, option configuration, messaging, and auto attendant services. However, these features are implemented in a different way, by requiring replacement of the existing edge switch with a Broadsoft switch (unlike Applicants' implementation, which works with the existing edge switch). Again, the features are limited to a local geographic area and there are no first call and second call functionalities.

9. <u>Broadsoft Unveils Advanced Architecture for the Rapid and Cost Effective Delivery of</u> <u>Enhanced Communications Services</u>

This article discloses a set of software tools for building a processing platform directed to a VoIP implementation. This document discloses a system for integrating services for packet (VoIP) networks. The system disclosed is web enabled and offers features such as group directories, call forwarding, option configuration, messaging, and auto attendant services. However, these features are implemented in a different way, by requiring replacement of the existing edge switch with a Broadsoft switch (unlike Applicants' implementation, which works with the existing edge switch). Again, the features are limited to a local geographic area and there are no first call and second call functionalities.

e.g. Section 4 Network and Systems, Network Structures and Planning, also See index under Electronic Switching system(s)

10. U.S. Patent No. 6853714 to Liljestrand

This patent is directed to an apparatus and method for enhanced telecommunication services. This patent discloses another VoIP approach to changing the network on a large scale. It proposes an upgrade to the existing carriers' equipment and network architecture. The patent merely mentions the possibility of web access, but does not disclose how to accomplish it, and does not disclose first call and second call functionalities.

11. <u>U.S. Patent No. 4,348,554, U.S. Patent No. 4,611,094 & U.S. Patent No. 4,611,096 to</u> <u>Asmuth</u>

The Asmuth patents disclose a system and method to upgrade the architecture and infrastructure of the public switched network (PSTN) to enable the system to direct telephone calls to a selected group of customers with decisions controlled by the carrier. These patents also do not address web access application of features by subscribers, nor application of features in the entire fabric of networks, or first call and second call functionalities.

Finally, Applicants bring their related patents and applications to the Examiner's attention.

Patent or Application Number	Title	Filed	Docket Number
6529596	Web-Based Control of Telephone	5/4/00	TLM-101
6532288	Tandem Access Control Processor Connected to the Public Switched Telephone Network for Controlling Features	5/4/00	TLM-102
6574328	Telephone Call Control System for the Public Switched Telephone Network	5/4/00	TLM-103

Patent or Application Number	Title	Filed	Docket Number
7324635	Branch Calling and Caller ID Based Call Routing Telephone Features	4/30/03	TLM-103C1
7587036	Tandem Access Controller Within the Public Switched Telephone Network	7/5/06	TLM-103C1CON
11/428,822	Tandem Access Controller Within the Public Switched Telephone Network	10/26/06	TLM-103C1CON2
11/428,825	Tandem Access Controller Within the Public Switched Telephone Network	10/26/06	TLM-103C1CON3

This Supplemental Information Disclosure Statement is timely submitted under 37 CFR § 1.97(b)(4), that is, before mailing of a first office action after the filing of a request for continued examination under § 1.114. Thus, no petition or fee is required at this time. If the U.S. Patent Office determines that a fee is necessary, this submission should be considered a petition, and the U.S. Patent Office is hereby authorized to charge any fee necessary to Deposit Account No. **50-3102** of Berry & Associates P.C.

Respectfully submitted,

Dated: February 17, 2010

By: /Reena Kuyper/ Reena Kuyper

Registration No. 33,830

Berry & Associates P.C. 9229 Sunset Blvd., Suite 630 Los Angeles, California 90069 (310) 247-2860 *Customer No. 49,637* Document code: WFEE

United States Patent and Trademark Office Sales Receipt for Accounting Date: 03/01/2010

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Ex. 1007 YMax Corporation Page 120 of 294

	ed States Patent 4	and Trademark Office	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/948,965	11/30/2007	Samuel F. Wood	TEL-M-8801-1P-1D	3783
BERRY & AS	49637 7590 01/14/2010 BERRY & ASSOCIATES P.C. 9229 SUNSET BOULEVARD		EXAM SMITH, CRI	
SUITE 630 LOS ANGELE	S. CA 90069		ART UNIT	PAPER NUMBER
			2614	
			MAIL DATE	DELIVERY MODE
			01/14/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
	11/948,965	WOOD ET AL.				
Interview Summary	Examiner	Art Unit				
	CREIGHTON SMITH	2614				
All participants (applicant, applicant's representative, PTO personnel):						
(1) <u>Reena Kuyper</u> .	(3) <u>Sam Wood</u> .					
(2) <u>Creighton Smith</u> .	(4) <u>Jerry Klein</u> .					
Date of Interview: <u>11 JAN '10</u> .						
Type: a)☐ Telephonic b)☐ Video Conference c)⊠ Personal [copy given to: 1)∏ applicant	2) applicant's representative	e]				
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.					
Claim(s) discussed: <u>none</u> .						
Identification of prior art discussed: <u>Schwab et al</u> .						
Agreement with respect to the claims f) was reached.	g)⊠ was not reached. h)∏ N	J/A.				
Substance of Interview including description of the genera reached, or any other comments: <u>Applicant explained the major difference being that the subscriber is allowed to conaccess controller without any modification to the network.</u>	differences between Schwab e nnect to a tandem access swite	et al and their app ch directly throug	<u>paratus. The</u> <u>gh a tandem</u>			
(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no o allowable is available, a summary thereof must be attache	opy of the amendments that w					
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS INT FILE A STATEMENT OF THE SUBSTANCE OF THE INTE requirements on reverse side or on attached sheet.	e last Office action has already OF ONE MONTH OR THIRT ERVIEW SUMMARY FORM,	v been filed, APP Y DAYS FROM T WHICHEVER IS	LICANT IS THIS LATER, TO			
/CREIGHTON SMITH/ Primary Examiner, Art Unit 2614	11 JAN '10					
U.S. Patent and Trademark Office						

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Ex. 1007 YMax Corporation Page 123 of 294

United St	ates Patent and Tradema	UNITED STA' United States Address: COMMIS P.O. Box I	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/948,965	11/30/2007	Samuel F. Wood	TEL-M-8801-1P-1D
			CONFIRMATION NO. 3783
49637		POA ACCI	EPTANCE LETTER
BERRY & ASSOCIATES 9229 SUNSET BOULEVA SUITE 630 LOS ANGELES, CA 9006	RD		DC000000039343120*

Date Mailed: 12/28/2009

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/16/2009.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/sleutchit/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

UNITED ST	ates Patent and Tradema	UNITED STA' United States Address: COMMI P.O. Box I	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/948,965	11/30/2007	Samuel F. Wood	TEL-M-8801-1P-1D
			CONFIRMATION NO. 3783
32566		POWER O	F ATTORNEY NOTICE
PATENT LAW GROUP LI 2635 NORTH FIRST STF SUITE 223 SAN JOSE, CA 95134			C000000039343009*

Date Mailed: 12/28/2009

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/16/2009.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/sleutchit/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

PTO/SB/81 (01-09)

Approved for use through 11/30/2011. OMB 0651-0035 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<u></u>	rwork Reduction Act of 1995, no persons are require	Application Number	I	
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	OR	First Named Inven		ember 30, 2007 uel F. Wood
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WITH A NEV	V POWER OF ATTORNEY	Art Unit	2614	
	AND	Examiner Name		n, Creighton H.
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A Power of Atto	orney is submitted herewith.	· .		
I hereby appoint Practitioner(s) associated with the following C Number as my/our attorney(s) or agent(s) to prosecute the app identified above, and to transact all business in the United Stat and Trademark Office connected therewith:		pplication		49,637
	t Practitioner(s) named below as my/our atto usiness in the United States Patent and Trad			application identified above, and
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	or. ord of the entire interest. See 37 CFR 3.71. r 37 CFR 3.73(b) (Form PTO/SB/96) submitte	əd herəwith or filed or	n	· · · · · · · · · · · · · · · · · · ·
	SIGNATURE of Appli	cant or Assignee of	Record	
Signature	Alle		Date	12/11/29
Name	Jerrý A. Klein	<u> </u>	Telephone	650-948-1243
-	Managing Member, Telemaze, LL e inventors or assignees of record of the entire into		tive(s) are require	d. Submit multiple forms if more than one
signature is required, see b	forms are submitted.		постинани <u>, с страт, та с</u>	
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USPTO to process) an app	on is required by 37 CFR 1.31, 1.32 and 1.33. The ir ilication. Confidentiality is governed by 35 U.S.C. 12 ring, and submitting the completed application form	2 and 37 CFR 1.11 and	1.14. This collect	ion is estimated to take 3 minutes to comple

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ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

Ex. 1007 YMax Corporation Page 126 of 294

PTO/SB/96 (07-09)

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STATEMENT UNI	DER 37 CFR 3.73(b)
Applicant/Patent Owner: Samuel F. Wood	
Application No./Patent No.: 11/948,965	Filed/Issue Date: November 30, 2007
Titled: BRANCH CALLING AND CALLER ID BASED CAL	- ROUTING TELEPHONE FEATURES
TELEMAZE, LLC , a limite	ed liability company
	e of Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:	
1. the assignee of the entire right, title, and interest in;	
2. an assignee of less than the entire right, title, and intere (The extent (by percentage) of its ownership interest is	
3. The assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:	
A. An assignment from the inventor(s) of the patent applic the United States Patent and Trademark Office at Reel copy therefore is attached.	ation/patent identified above. The assignment was recorded in , Frame, or for which a
OR	
	ation/patent identified above, to the current assignee as follows:
1. From: Wood, Samuel F. and Klein, Jerry A.	
The document was recorded in the United St Reel <u>014034</u> , Frame <u>0043</u>	
2. From: Asprey, Margaret Susan	To: Telemaze, Inc.
The document was recorded in the United St Reel <u>015613</u> , Frame 0259	ates Patent and Trademark Office at , or for which a copy thereof is attached.
3. From: Telemaze, Inc.	To: Telemaze, LLC
The document was recorded in the United St Reel 016844 , Frame ⁰⁷⁰⁸	ates Patent and Trademark Office at
Additional documents in the chain of title are listed on	a supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evide or concurrently is being, submitted for recordation pursuant	ence of the chain of title from the original owner to the assignee was, to 37 CFR 3.11.
accordance with 37 CFR Part 3, to record the assignment in	
The undersigned (whose title is supplied below) is authorized to ac	t on behalf of the assignee.
Signature	12/11/09 Date
Jerry A. Klein, Telemaze, LLC	Managing Member
Printed or Typed Name	Title
This collection of information is required by 37 CFR 3.73(b). The information is requir process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.1	ed to obtain or retain a benefit by the public which is to file (and by the USPTO to 1 and 1.14. This collection is estimated to take 12 minutes to complete, including

gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ex. 1007 YMax Corporation Page 127 of 294

Electronic Acknowledgement Receipt							
EFS ID:	6653330						
Application Number:	11948965						
International Application Number:							
Confirmation Number:	3783						
Title of Invention:	Branch Calling and Caller ID Based Call Routing Telephone Features						
First Named Inventor/Applicant Name:	Samuel F. Wood						
Customer Number:	32566						
Filer:	Reena Kuyper						
Filer Authorized By:							
Attorney Docket Number:	TEL-M-8801-1P-1D						
Receipt Date:	16-DEC-2009						
Filing Date:	30-NOV-2007						
Time Stamp:	23:10:15						
Application Type:	Utility under 35 USC 111(a)						

Payment information:

Submitted with F	Payment		no					
File Listing:								
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Power of Attorney		M-103_DIV_Power_of_Attor	119664 		1		
·	rower of Attorney		ney_signed_12-11-09.pdf	777dbb2bee2e808c4a025a45862e6b9daaf 250b2				
Warnings:		-		· · · · ·		Ex. 1007		
Information:					YMax Co	prporation		

Page 128 of 294

	Assignee showing of ownership per 37	TLM-103_DIV_Statement_Und	129022			
2	CFR 3.73(b).	er_37_CFR_3_73_b_signed_12		no	1	
	CI N 3.7 5(0).	-11-09.pdf	6eeef68bdaa14415ffce41fa8b264c9876064			
			3c8			

Warnings:

Information:

Total Files Size (in bytes):	248686
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

	<u>ed States Patent a</u>	AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/948,965	11/30/2007	Samuel F. Wood	TEL-M-8801-1P-1D	3783
PATENT LAW	7590 10/13/2009 V GROUP LLP FIRST STREET		EXAM SMITH, CRI	
SUITE 223			ART UNIT	PAPER NUMBER
SAN JOSE, CA	A 95154		2614	
			MAIL DATE	DELIVERY MODE
			10/13/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)
		11/948,965	WOOD ET AL.
	Office Action Summary	Examiner	Art Unit
		CREIGHTON SMITH	2614
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover sheet with the c	orrespondence address
WHIC - Exter after - If NC - Failu Any r	ORTENED STATUTORY PERIOD FOR REPLY HEVER IS LONGER, FROM THE MAILING DA isions of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. Period for reply is specified above, the maximum statutory period w re to reply within the set or extended period for reply will, by statute, eply received by the Office later than three months after the mailing ad patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin vill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).
Status			
1)🖂	Responsive to communication(s) filed on 25 SI	<u>EP '09</u> .	
		action is non-final.	
3)	Since this application is in condition for allowar	nce except for formal matters, pro	osecution as to the merits is
	closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.
Dispositi	on of Claims		
4)🖂	Claim(s) 1.3-7.10 and 12-21 is/are pending in t	he application.	
	4a) Of the above claim(s) <u>2,8,9 and 11</u> is/are w		
	Claim(s) is/are allowed.		
	Claim(s) <u>1-21</u> is/are rejected.		
	Claim(s) is/are objected to.		
I	Claim(s) are subject to restriction and/or	r election requirement.	
Applicati	on Papers		
9)	The specification is objected to by the Examine	r.	
· ·	The drawing(s) filed on is/are: a) \Box acc		Examiner.
	Applicant may not request that any objection to the	. ,_ , .	
	Replacement drawing sheet(s) including the correct		
11)	The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.
 Priority ι	ınder 35 U.S.C. § 119		
12)	Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a))-(d) or (f).
	☐ All b) Some * c) None of:	······ · · · · · · · · · · · · · · · ·	
,	1. Certified copies of the priority documents	s have been received.	
	2. Certified copies of the priority documents		on No.
	3. Copies of the certified copies of the prior		
	application from the International Bureau	(PCT Rule 17.2(a)).	-
* 5	See the attached detailed Office action for a list	of the certified copies not receive	ed.
Attachmen	t(s)		
1) X Notic	e of References Cited (PTO-892)	4) 🔲 Interview Summary	
	e of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da 5) 🔲 Notice of Informal P	
	nation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date	6) Other:	
U.S. Patent and T	rademark Office		Ex_1007
PTOL-326 (R	ev. 08-06) Office Ac	tion Summary Pa	rt of Paper No./Mail Date 20091008

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1, 4-7, 10, 13-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schwab et al in view of Sidhu et al, U.S. Pat. #6,744,759 or Shah et al, U.S. Pat. #6,041,325.

Schwab et al disclose in col. 1, lines 40 et seq. a method of routing incoming phone calls that includes the steps of coupling an incoming call to a subscriber to a platform, then in step (e) Schwab et al disclose "if it is determined that a 'simultaneous' search feature is active, then the incoming call will be directed to *all* number's on the subscriber's simultaneous list stored on the platform. In col. 4, lines 43, et seq. Schwab et al disclose that if the subscriber chooses simultaneous ring option, all of the phone numbers selected by the subscriber will ring at once until one of the phone numbers is answered. Schwab et al never specifically disclose that once a phone answers the call that the other phones will abandon the call, but this is inherently what is happening because once the call is answered the network will abandon/cease calling the other phones in order to conserve network resources and thus save money.

Schwab et al processing platform 18 is deemed to be within the PSTN, and is <u>NOT</u> considered to be an "edge device." According to Newton's Telecom Dictionary, page 352, 23rd Ed., an edge device is defined as a physical device capable of forwarding packets between legacy interworking interfaces and ATM interfaces. A physical device

that sits on edges of the Internet under control of the ISP. Newton's Dictionary defines the PSTN, page 748, as "the entire interconnected collection of local, long distance, and international phone companies. No where in Schwab et al is there any disclosure of the Internet or packets/frames. Neither does Schwab et al call their processing platform an "edge device". Therefore, since Schwab et al neither disclose the Internet or packet transmission nor do they disclose that platform 18 to be an "edge device," examiner disagrees with applicant's assertion that platform 18 is an edge device. Even though Schwab et al has not included the EO (12, 16, 20), platform 18, and caller 10

available to users and skilled artisan in this art would have used common sense to combine the features of the references together. For claim 2, see Fig. 1, element #14.

within the PSTN cloud 14 in the drawings, all those elements are deemed to be within the PSTN according to Newton's definition of the PSTN. Schwab et al do not disclose that the service features provisioning is done through the Internet. However, Sidhu et al disclose in claim 4 a provisioning function that provides a feature request form to a user on one of the data channels, with the feature request form being presented to the user in a web browser. Shah et al teach in Fig. 6 and col. 11, lines 12 et seq. that access point 30 presents users with Internet browser screens the ability to provision services. Some of the specific service provisions shown in Fig. 5 are call waiting and call forwarding. To have provided either Sidhu or Shah et al teaching of allowing a user/subscriber to provision telephony service features through the Internet in Schwab et al device would have been obvious to a person having ordinary skill in the art because both references are teaching different service features

Page 3

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Claims 3, 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schwab et al in view of Sidhu et al or Shah et al and further in view of Kugell et al, U.S. Pat. #5,802,160.

Kugell et al disclose in col. 5, lines 48 et seq. simultaneous ringing at a home number 21 and a cellular number 24 when a 1-800 number is called. Kugell et al further disclose in col. 4, lines 54 et seq the use of ANI to determine who is calling. To have used Kugell's teaching of the use of ANI in Schwab et al routing system would have been obvious to a person having ordinary skill in the art because both references are teaching simultaneous ringing of forwarded calls.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

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Any inquiry concerning this communication should be directed to CREIGHTON SMITH at telephone number (571)272-7546.

08 OCT '09

/CREIGHTON SMITH/ Primary Examiner, Art Unit 2614

> Ex. 1007 YMax Corporation Page 135 of 294

Notice of References Cited	Application/Control No. 11/948,965	Applicant(s)/Pate Reexamination WOOD ET AL.	nt Under
Notice of Nerelences Cheu	Examiner	Art Unit	
	CREIGHTON SMITH	2614	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-6,744,759	06-2004	Sidhu et al.	370/356
*	В	US-6,041,325	03-2000	Shah et al.	707/10
	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
	Н	US-			
	Ι	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р					
	Q					
	R					
	s					
	Т					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	w	
	x	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

					A	oplication/(Cont	rol N	0.	Applica Reexam	nt(s)/Pate	ent Unde	r	
	Ind	ex of (Claim	IS	11	11948965				WOOD	WOOD ET AL.			
					E>	aminer				Art Unit	:			
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🗆 Cla	aims re	enumbered	in the s	ame o	order as pr	esented by ap	oplica	ant		СРА	🔲 Т.D.	. 🗆 I	R.1.47	
	CLA	IM							DATE					
Fina	al	Original	03/05/2	2009	10/08/2009									
		1	✓		\checkmark									
		2	✓		-									
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		19			\checkmark									
		20			✓									
		21			\checkmark									

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11948965	WOOD ET AL.
	Examiner	Art Unit
	CREIGHTON SMITH	2614

SEARCHED				
Class	Subclass	Date	Examiner	
379	211.04	05 MAR '09	chs	

SEARCH NOTES				
Search Notes	Date	Examiner		
EAST	05 MAR '09	chs		
EAST	08 OCT '09	chs		

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Samuel F. Wood, Margaret Susan Asprey, Jerry A. Klein			
Assignee:	Telemaze, Inc.			
Title:	Branch Calling and Caller ID	Based Call Routin	g Telephone Features	
Serial No.:	11/948,965	Filed:	November 30, 2007	
Examiner:	Creighton H. Smith	Group Art Unit:	2614	
Docket No.:	TEL-M-8801-1P-1D	Conf. no.:	3783	

San Jose, California September 25, 2009

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENT RESPONSE TO OFFICE ACTION-RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Dear Commissioner:

Applicants responded to the Office Action dated March 9, 2009, with a response dated July 27, 2009. The PTO sent a Notice of Non-Compliant Amendment on September 21, 2009, indicating that the added claims should not have been underlined. This response is identical to the July 27 response except the added claims are not underlined. The due date for this corrected response without fee is October 21, 2009.

Amendments to the claims begin on page 2 of this paper.

Remarks begin on page 9 of this paper.

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Amendments to the Claims

This listing of claims replaces all prior versions, and listings, of claims in the application.

Listing of Claims

1. (currently amended) A method performed by a <u>web-enabled</u> processing system <u>connected within the public switched telephone network (PSTN)</u> comprising:

receiving a call from in response to a calling party entering a first number, using a communications device, an end unit intended for to a subscriber, the processing system processing the call pursuant to at least one calling feature designated by said subscriber, where the at least one calling feature had previously been communicated to the processing system by said subscriber via the internet;

placing <u>at least</u> two or more calls simultaneously to <u>at least</u> two or more associated <u>different communications devices</u> end units designated by the subscriber;

detecting that the call has been answered at one of the <u>communications devices</u> end units; and

in response to the detecting, abandoning other calls to other the remaining one or more communications devices end units and establishing a connection between the calling party's <u>communications device</u> end unit and the answered <u>communications device</u> end unit.

2. (cancelled)

3. (currently amended) The method of Claim 1 further comprising:

detecting first information about the source of the call;

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 140 of 294 associating the first information with a <u>calling</u> feature, <u>previously</u> selected by the subscriber to be performed on the call, the <u>calling</u> feature being to forward the call to <u>at</u> <u>least</u> two or more <u>communications devices</u> end units; and

simultaneously placing <u>at least two calls</u> the call to <u>at least</u> the two or more <u>communications devices</u> end units.

4. (currently amended) The method of Claim 1 wherein <u>the at least</u> the two or more calls to the <u>communications devices</u> end units are any combination of local call, long distance call, and cellular call, <u>and VOIP call</u>.

5. (currently amended) The method of Claim 1 wherein, when one of the calls to the <u>communications devices</u> end units is answered, an answer supervision signal is transmitted to the processing system, and the processing system terminates the other calls.

6. (original) The method of Claim 5 wherein the answer supervision is pursuant to the SS7 signaling protocol.

7. (currently amended) The method of Claim 1 wherein the processing system is connected to a PSTN tandem switch within the PSTN, and wherein receiving a call from a calling party comprises:

receiving a first call through the tandem switch from the calling party intended for the subscriber after the calling party has <u>enter entered</u> a first telephone number, the first telephone number being the subscriber's public telephone number, said processing system simultaneously placing at least second and third calls using second and third telephone numbers different from the first telephone number.

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8. (cancelled)

9. (cancelled)

10. (currently amended) A controller connected <u>within the</u> to a public switched telephone network (PSTN) comprising:

a processing system <u>connected within the PSTN and linked to the internet for</u> <u>selecting at least one calling feature via the internet</u>, the processing system programmed to perform the process comprising:

receiving a call from a calling party, using <u>a communications device</u> an end unit, to <u>intended for</u> a subscriber, whereby said processing system implements <u>a calling feature previously designated by said subscriber via the internet;</u>

placing <u>at least</u> two or more calls simultaneously to <u>at least</u> two or more associated <u>different communications devices</u> end units <u>previously</u> designated by the subscriber;

detecting that the call has been answered at one of the <u>communications</u> <u>devices end units</u>; and

in response to the detecting, abandoning other calls to other <u>the remaining</u> <u>one or more communications devices end units</u> and establishing a connection between the calling party's <u>communications device</u> end unit and the answered <u>communications device</u> end unit.

11. (cancelled)

12. (currently amended) The controller of Claim 10 wherein the processing system is programmed to perform the process comprising:

detecting first information about the source of the call;

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 142 of 294 associating the first information with a feature, selected by the subscriber, to be performed on the call, the feature being to forward the call to <u>the at least</u> two or more <u>different communications devices</u> end units; and

simultaneously placing <u>the at least two calls</u> the call to <u>the at least</u> the two or more <u>different communications devices</u> end units.

13. (currently amended) The controller of Claim 10 wherein <u>the at least two</u> the two or more calls to the <u>communications devices</u> end units are any combination of local call, long distance call, and cellular call, and VOIP call.

14. (currently amended) The controller of Claim 10 wherein, when one of the calls to the <u>communications devices</u> end units is answered, an answer supervision signal is transmitted to the processing system, and the processing system terminates the other calls.

15. (currently amended) The controller of Claim 10 wherein the processing system is connected to a PSTN tandem switch within the PSTN, and wherein receiving a call from a calling party comprises:

receiving a first call through the tandem switch from the calling party intended for the subscriber after the calling party has enter <u>entered</u> a first telephone number, the first telephone number being the subscriber's public telephone number, said processing system simultaneously placing at least second and third calls using second and third telephone numbers different from the first telephone number.

16. (original) The controller of Claim 10 wherein the processing system is connected to the PSTN in the subscriber's local service area.

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 143 of 294 17. (original) The controller of Claim 10 wherein the subscriber is a subscriber of residential telephone service.

18. (new) The controller of Claim 10 wherein the subscriber is a subscriber of business telephone service.

19. (new) A method performed by a processing system within the public switched telephone network (PSTN) comprising:

placing at least two calls simultaneously to at least two different communications devices designated by a subscriber using a communications device, the processing system processing the calls pursuant to at least one calling feature designated by said subscriber, where the at least one calling feature had previously been communicated to the processing system by said subscriber via the internet;

receiving the at least two calls made simultaneously to said at least two different communications devices designated by said subscriber via the internet;

detecting that one of the calls has been answered at one of the communications devices; and

in response to the detecting, abandoning other calls to the remaining one or more communications devices and establishing a connection between said subscriber's communications device and the answered communications device.

20. (new) A controller connected within the public switched telephone network (PSTN) comprising:

a processing system linked to the internet for allowing a subscriber to select at least one calling feature over the web, the processing system programmed to perform the process comprising:

placing at least two calls simultaneously to at least two different communications devices designated by a subscriber using a communications device, the processing system processing the calls pursuant to at least one calling

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 144 of 294 feature designated by said subscriber, where the at least one calling feature had previously been communicated to the processing system by said subscriber via the internet;

receiving at least two calls made simultaneously to said at least two different communications devices designated by the subscriber via the internet;

detecting that the call has been answered at one of the communications devices; and

in response to the detecting, abandoning other calls to the remaining one or more communications devices and establishing a connection between the calling party's communications device and the answered communications device.

21. (new) A controller connected within the public switched telephone network (PSTN) comprising:

a processing system linked to the internet for allowing a subscriber to select at least one calling feature via the internet, the processing system programmed to perform the process comprising:

receiving a call under the control of said controller from a calling party, using a communications device, intended for a subscriber, the processing system processing the call pursuant to at least one calling feature designated by said subscriber, where the at least one calling feature had previously been communicated to the processing system by said subscriber via the internet;

invoking the at least one calling feature prior to said received call reaching a terminating central office, said at least one calling feature placing at least two calls simultaneously to at least two different communications devices previously designated by said subscriber;

detecting that one of at least two calls has been answered at one of the communications devices; and

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 145 of 294 in response to the detecting, abandoning other calls to the remaining one or more communications devices and establishing a connection between the calling party's communications device and the answered communications device.

> Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 146 of 294

<u>Remarks</u>

Claims 1, 3-7, 10, and 12-21 are pending after the amendments. Claims 1, 3-5, 7, 10, 12-15 have been amended to overcome the Examiner's rejection. Claims 2, 8, 9, 11 have been cancelled. Claims 1 and 10 have been amended to include the limitations of Claims 2 and 11, respectively. Claims 18-21 have been added. Additionally, the term "end unit" used in the claims was changed to "communications device" to be clearer. All amendments are supported by Figs. 1, 2, and 5, page 8, line 5, to page 9, line 25, and the original claims.

The examiner rejected Claims 1, 2, 4-11, and 13-17 under 35 USC 102(e) as being anticipated by Schwab (US 6,381,323).

"Within the PSTN"

All independent Claims 1, 10, 19-21 now contain the limitation that the processing system is "within the public switched telephone network (PSTN)" to better distinguish the claims over Schwab.

Schwab discloses a "PAS platform 18" that can be programmed by subscribers to do simultaneous call forwarding. The PAS platform 18 is an edge device (see Fig. 1). Edge devices are discussed on page 2, lines 19-27, of Applicants' specification and suffer several disadvantages.

It is known to those of skill in the art that as part of the deregulation of the telecommunications industry in the 1980's the carriers agreed not to operate or maintain equipment or facilities located on the customer's premise. It was also agreed that equipment connected to the PSTN would be FCC registered, and that demarcation points (smart jacks) would be installed at the edge of the customer's premise to provide a boundary between the PSTN and the subscriber. Subscriber-operated equipment connected to the PSTN and the subscriber.

Schwab uses "personal access service (PAS)" numbers to provide a means for subscribers to access the platform 18 (see col. 4, lines 13-16). PAS numbers are similar

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 147 of 294 to direct inward dial (DID) numbers used to connect customer provided PABXs to the PSTN as the local exchange carrier would rent a block of numbers to a subscriber who would then assign them to its customers using the platform. Many carriers now use ISDN PRIs (primary rate interface) as a method of delivery of these numbers. If the PAS platform 18 was within the PSTN, then PAS numbers would be unnecessary as the carriers using the platform would simply provide local numbers from its number pool, or, even better, use local number portability to LNP the subscribers number directly to the platform. No discussion of local number portability appears in Schwab.

The edge device descriptions are found in Schwab as follows:

Connection to the PSTN Col. 5, line 45

FCC Col. 5, Line 50

PRI, BRI, Col. 5, lines 50-51

End Office Switch Col. 5, line 53

Smart Jack Col. 5, line 54

Line Side Connection Col. 6, line 8

Customer Premises Equipment Col. 6, lines 9-10

Thus the platform 18 in Schwab is not inside the PSTN and is exemplary of an edge device.

Therefore, since all of Applicants' independent claims include the limitation that the processing system is connected within the PSTN, these claims are not suggested by Schwab. There are many advantages to the processing system being connected within the PSTN so the difference is very significant.

"Web Enabled, Internet"

The limitation that the calling feature implemented by the processing system is programmed into the processing system via the internet has been added to all the independent claims.

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Although Schwab mentions the "web" just one time in passing at Col. 4, line 30, in the entire 76-page patent, it does not suggest or disclose any of the web-enabling features described and shown in detail in the specification and drawings of Applicants' application.

The "PAS PLATFORM 18" as shown in Schwab's Fig. 4 is deficient as it is missing key elements for web enablement, such as the web server and the link to the internet.

Applicants' claimed invention allows subscriber control, via the internet, over the claimed calling feature performed by the processing system. This is not suggested by Schwab.

Claims 1, 3-5, 7, 10, and 12-15, have been amended to distinctly point out these patentably distinguishable features not found in Schwab. New Claims 18-21 have been added to the application. These new claims patentably distinguish over Schwab for the same reasons as stated above with respect to Claims 1-17.

Dependent Claims 3 and 12 were rejected under 35 U.S.C. 103(a) as being unpatentable over Schwab in view of Kugell (U.S. patent 5,802,160). Schwab in combination with Kugell could not suggest the various amended independent claims, so the dependent claims are also non-obvious.

It is respectfully submitted that all claims are allowable. If the examiner would like to discuss the claims with Applicant's attorney, the examiner is invited to call the undersigned at 408-382-0480 x202.

Certificate of Electronic Transmission I hereby certify that this correspondence is being submitted electronically to the United States Patent and Trademark Office on the date shown below. /Brian D Ogonowsky/ September 25, 2009 Attorney for Applicant(s) Date

Respectfully submitted,

/Brian D Ogonowsky/

Brian D. Ogonowsky Attorney for Applicant(s) Reg. No. 31,988

> Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 149 of 294

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	6149573					
Application Number:	11948965					
International Application Number:						
Confirmation Number:	3783					
Title of Invention:	Branch Calling and Caller ID Based Call Routing Telephone Features					
First Named Inventor/Applicant Name:	Samuel F. Wood					
Customer Number:	32566					
Filer:	Brian D. Ogonowsky/Edith Fuentes					
Filer Authorized By:	Brian D. Ogonowsky					
Attorney Docket Number:	TEL-M-8801-1P-1D					
Receipt Date:	25-SEP-2009					
Filing Date:	30-NOV-2007					
Time Stamp:	19:06:23					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted wi	th Payment				
File Listin	g:				
Document Number	Document Description	Document Description File Name File Size(B Message D		Multi Part /.zip	Pages (if appl.)
1		TEL-M-8801-1P-1D_Supp-Resp-		yes	11
		OA-Notice-Non-Compliant.pdf		yes	

	Multipart Description/PDF files in .zip description								
	Document Description	Start	End						
	Supplemental Response or Supplemental Amendment	1	1						
	Claims	2	8						
	Applicant Arguments/Remarks Made in an Amendment	9	11						
Warnings:									
Information:									
	Total Files Size (in bytes):	98	934						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

> Ex. 1007 YMax Corporation Page 151 of 294

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respo PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875							pplication or l	of information unle Docket Number 8,965	Fil	plays a valid ing Date 30/2007	OMB control number.
APPLICATION AS FILED – PART I (Column 1) (Column 2)							SMALL	entity 🛛	OR		HER THAN
	FOR	NU	JMBER FIL	.ED NUI	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), c	or (c))	N/A		N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A			N/A	
	AL CLAIMS CFR 1.16(i))		min	us 20 = *			X\$ =		OR	X \$ =	
	EPENDENT CLAIM CFR 1.16(h))			nus 3 = *			X \$ =			X \$ =	
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			ι.								
* If t	he difference in colu	ımn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL	
	APPI	(Column 1)		ED – PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	09/25/2009	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
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		ITATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))				OR		
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Т		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
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AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
ШN	Application Si	ze Fee (37 CFR 1	.16(s))								
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	The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. his collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to										

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.16. The information is required to obtain of retain a benefit by the public which is to the quite by the quite by the public which is to the quite by the q

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Ex. 1007 YMax Corporation Page 152 of 294

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to response PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875							pplication or l	of information unle Docket Number 8,965	Fil	plays a valid ing Date 30/2007	OMB control number.
APPLICATION AS FILED – PART I (Column 1) (Column 2)							SMALL	entity 🛛	OR		HER THAN
	FOR	NU	JMBER FIL	.ED NUI	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), c	BASIC FEE N/A N/A (37 CFR 1.16(a), (b), or (c))			N/A			N/A			
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =		OR	X\$ =	
	EPENDENT CLAIM CFR 1.16(h))			nus 3 = *			X \$ =			X \$ =	
	APPLICATION SIZE (37 CFR 1.16(s))	FEE sheet is \$25 additi 35 U.	s of pape 50 (\$125 onal 50 s S.C. 41(a	ation and drawing er, the applicatio for small entity) sheets or fraction a)(1)(G) and 37	n size fee due for each n thereof. See						
							TOTAL			TOTAL	
^ IT U	he difference in colu						TOTAL			TOTAL	
	APPI	(Column 1)	AMEND	(Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ILL ENTITY
AMENDMENT	07/27/2009	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
OME	Total (37 CFR 1.16(i))	* 17	Minus	** 20	= 0		X \$26 =	0	OR	X \$ =	
Ľ.	Independent (37 CFR 1.16(h))	* 5	Minus	***3	= 2		X \$110 =	220	OR	X \$ =	
AME	Application Si	ze Fee (37 CFR 1	.16(s))								
`	FIRST PRESEN	ITATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))				OR		
						• •	TOTAL ADD'L FEE	220	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
Т		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ШN	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X\$ =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
ШN	Application Si	ze Fee (37 CFR 1	.16(s))								
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* f 1	the entry in column ²	1 is less than the e	ntry in col	umn 2. write "0" in	column 3.		TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
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	The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. his collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to										

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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32566 e 09/21/2009

PATENT LAW GROUP LLP 2635 NORTH FIRST STREET SUITE 223 SAN JOSE, CA 95134

Paper No.

Application No.:	11/948,965	Date Mailed:	09/21/2009
First Named Inventor:	Wood, Samuel, F.	Examiner:	SMITH, CREIGHTON H
Attorney Docket No.:	TEL-M-8801-1P-1D	Art Unit:	2614
Confirmation No.:	3783	Filing Date:	11/30/2007

Please find attached an Office communication concerning this application or proceeding.

Commissioner for Patents

Ex. 1007 YMax Corporation Page 154 of 294

Notice of Non-Compliant Amendment	Application No. 11/948,965	Applicant(s) WOOD ET AL.					
(37 CFR 1.121)		Art Unit 1700					
The MAILING DATE of this communication app	ears on the cover sheet with the co	orrespondence ad	dress				
The amendment document filed on <u>27 July, 2009</u> is cons requirements of 37 CFR 1.121 or 1.4. In order for the am item(s) is required.							
THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE 1. Amendments to the specification: A. Amended paragraph(s) do not include B. New paragraph(s) should not be under C. Other	markings.	BE NON-COMPLI	ANT:				
 2. Abstract: A. Not presented on a separate sheet. 37 B. Other 	CFR 1.72.						
 3. Amendments to the drawings: A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d). B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required. C. Other 							
 4. Amendments to the claims: A. A complete listing of all of the claims is not present. B. The listing of claims does not include the text of all pending claims (including withdrawn claims) C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended). D. The claims of this amendment paper have not been presented in ascending numerical order. K. Other: <u>Newly submitted claims should not be underlined</u>. 							
5. Other (e.g., the amendment is unsigned or no of the amendment format required by 37 CFR 1.12		CFR 1.4): For furth	er explanation				
 TIME PERIODS FOR FILING A REPLY TO THIS NOTION Applicant is given no new time period if the non-confiled after allowance, or a drawing submission (only) amendment with corrections, the entire corrected a 	mpliant amendment is an after-fir If applicant wishes to resubmit tl	ne non-compliant					
2. Applicant is given one month , or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action. If any of above boxes 1 to 4 are checked, the correction required is only the corrected section of the non-compliant amendment in compliance with 37 CFR 1.121.							
 <u>Extensions of time</u> are available under 37 CFR 1.136(a) <u>only</u> if the non-compliant amendment is a non-final amendment or an amendment filed in response to a <i>Quayle</i> action. <u>Failure to timely respond</u> to this notice will result in: <u>Abandonment</u> of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a <i>Quayle</i> action; or <u>Non-entry</u> of the amendment if the non-compliant amendment is a preliminary amendment or supplemental 							
	amendment. Legal Instruments Examiner (LIE), if applicable <u>/WANDA D. MITCHELL/</u> Telephone No: <u>(571)272-1032</u>						
U.S. Patent and Trademark Office PTOL-324 (04-06) Notice of Non-Complia	nt Amendment (37 CFR 1.121)	Part of Pape	r No. 20090920-1				

Ex. 1007 YMax Corporation Page 155 of 294

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Samuel F. Wood, Margaret Susan Asprey, Jerry A. Klein						
Assignee:	Telemaze, Inc.						
Title:	Branch Calling and Caller ID Based Call Routing Telephone Features						
Serial No.:	11/948,965	Filed:	November 30, 2007				
Examiner:	Creighton H. Smith	Group Art Unit:	2614				
Docket No.:	TEL-M-8801-1P-1D	Conf. no.:	3783				

San Jose, California July 27, 2009

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO OFFICE ACTION

Dear Commissioner:

This responds to the Office Action dated March 9, 2009.

Amendments to the claims begin on page 2 of this paper.

Remarks begin on page 9 of this paper.

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 156 of 294

Amendments to the Claims

This listing of claims replaces all prior versions, and listings, of claims in the application.

Listing of Claims

1. (currently amended) A method performed by a <u>web-enabled</u> processing system <u>connected within the public switched telephone network (PSTN)</u> comprising:

receiving a call from in response to a calling party entering a first number, using a communications device, an end unit intended for to a subscriber, the processing system processing the call pursuant to at least one calling feature designated by said subscriber, where the at least one calling feature had previously been communicated to the processing system by said subscriber via the internet;

placing <u>at least</u> two or more calls simultaneously to <u>at least</u> two or more associated <u>different communications devices</u> end units designated by the subscriber;

detecting that the call has been answered at one of the <u>communications devices</u> end units; and

in response to the detecting, abandoning other calls to other the remaining one or more communications devices end units and establishing a connection between the calling party's <u>communications device</u> end unit and the answered <u>communications device</u> end unit.

2. (cancelled)

3. (currently amended) The method of Claim 1 further comprising:

detecting first information about the source of the call;

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 157 of 294 associating the first information with a <u>calling</u> feature, <u>previously</u> selected by the subscriber to be performed on the call, the <u>calling</u> feature being to forward the call to <u>at</u> <u>least</u> two or more <u>communications devices</u> end units; and

simultaneously placing <u>at least two calls</u> the call to <u>at least</u> the two or more <u>communications devices</u> end units.

4. (currently amended) The method of Claim 1 wherein <u>the at least</u> the two or more calls to the <u>communications devices</u> end units are any combination of local call, long distance call, and cellular call, <u>and VOIP call</u>.

5. (currently amended) The method of Claim 1 wherein, when one of the calls to the <u>communications devices</u> end units is answered, an answer supervision signal is transmitted to the processing system, and the processing system terminates the other calls.

6. (original) The method of Claim 5 wherein the answer supervision is pursuant to the SS7 signaling protocol.

7. (currently amended) The method of Claim 1 wherein the processing system is connected to a PSTN tandem switch within the PSTN, and wherein receiving a call from a calling party comprises:

receiving a first call through the tandem switch from the calling party intended for the subscriber after the calling party has <u>enter entered</u> a first telephone number, the first telephone number being the subscriber's public telephone number, said processing system simultaneously placing at least second and third calls using second and third telephone numbers different from the first telephone number.

> Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 158 of 294

8. (cancelled)

9. (cancelled)

10. (currently amended) A controller connected <u>within the</u> to a public switched telephone network (PSTN) comprising:

a processing system <u>connected within the PSTN and linked to the internet for</u> <u>selecting at least one calling feature via the internet</u>, the processing system programmed to perform the process comprising:

receiving a call from a calling party, using <u>a communications device</u> an end unit, to <u>intended for</u> a subscriber, whereby said processing system implements <u>a calling feature previously designated by said subscriber via the internet;</u>

placing <u>at least</u> two or more calls simultaneously to <u>at least</u> two or more associated <u>different communications devices</u> end units <u>previously</u> designated by the subscriber;

detecting that the call has been answered at one of the <u>communications</u> <u>devices end units</u>; and

in response to the detecting, abandoning other calls to other <u>the remaining</u> <u>one or more communications devices end units</u> and establishing a connection between the calling party's <u>communications device</u> end unit and the answered <u>communications device</u> end unit.

11. (cancelled)

12. (currently amended) The controller of Claim 10 wherein the processing system is programmed to perform the process comprising:

detecting first information about the source of the call;

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 159 of 294 associating the first information with a feature, selected by the subscriber, to be performed on the call, the feature being to forward the call to <u>the at least</u> two or more <u>different communications devices</u> end units; and

simultaneously placing <u>the at least two calls</u> the call to <u>the at least</u> the two or more <u>different communications devices</u> end units.

13. (currently amended) The controller of Claim 10 wherein <u>the at least two</u> the two or more calls to the <u>communications devices</u> end units are any combination of local call, long distance call, and cellular call, and VOIP call.

14. (currently amended) The controller of Claim 10 wherein, when one of the calls to the <u>communications devices</u> end units is answered, an answer supervision signal is transmitted to the processing system, and the processing system terminates the other calls.

15. (currently amended) The controller of Claim 10 wherein the processing system is connected to a PSTN tandem switch within the PSTN, and wherein receiving a call from a calling party comprises:

receiving a first call through the tandem switch from the calling party intended for the subscriber after the calling party has enter <u>entered</u> a first telephone number, the first telephone number being the subscriber's public telephone number, said processing system simultaneously placing at least second and third calls using second and third telephone numbers different from the first telephone number.

16. (original) The controller of Claim 10 wherein the processing system is connected to the PSTN in the subscriber's local service area.

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 160 of 294 17. (original) The controller of Claim 10 wherein the subscriber is a subscriber of residential telephone service.

18. (new) The controller of Claim 10 wherein the subscriber is a subscriber of business telephone service.

19. (new) A method performed by a processing system within the public switched telephone network (PSTN) comprising:

placing at least two calls simultaneously to at least two different communications devices designated by a subscriber using a communications device, the processing system processing the calls pursuant to at least one calling feature designated by said subscriber, where the at least one calling feature had previously been communicated to the processing system by said subscriber via the internet;

receiving the at least two calls made simultaneously to said at least two different communications devices designated by said subscriber via the internet;

detecting that one of the calls has been answered at one of the communications devices; and

in response to the detecting, abandoning other calls to the remaining one or more communications devices and establishing a connection between said subscriber's communications device and the answered communications device.

20. (new) A controller connected within the public switched telephone network (PSTN) comprising:

a processing system linked to the internet for allowing a subscriber to select at least one calling feature over the web, the processing system programmed to perform the process comprising:

placing at least two calls simultaneously to at least two different communications devices designated by a subscriber using a communications device, the processing system processing the calls pursuant to at least one calling

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Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 161 of 294 feature designated by said subscriber, where the at least one calling feature had previously been communicated to the processing system by said subscriber via the internet;

receiving at least two calls made simultaneously to said at least two different communications devices designated by the subscriber via the internet;

detecting that the call has been answered at one of the communications devices; and

in response to the detecting, abandoning other calls to the remaining one or more communications devices and establishing a connection between the calling party's communications device and the answered communications device.

21. (new) A controller connected within the public switched telephone network (PSTN) comprising:

a processing system linked to the internet for allowing a subscriber to select at least one calling feature via the internet, the processing system programmed to perform the process comprising:

receiving a call under the control of said controller from a calling party, using a communications device, intended for a subscriber, the processing system processing the call pursuant to at least one calling feature designated by said subscriber, where the at least one calling feature had previously been communicated to the processing system by said subscriber via the internet;

invoking the at least one calling feature prior to said received call reaching a terminating central office, said at least one calling feature placing at least two calls simultaneously to at least two different communications devices previously designated by said subscriber;

detecting that one of at least two calls has been answered at one of the communications devices; and

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 162 of 294 in response to the detecting, abandoning other calls to the remaining one or more communications devices and establishing a connection between the calling party's communications device and the answered communications device.

> Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 163 of 294

<u>Remarks</u>

Claims 1, 3-7, 10, and 12-21 are pending after the amendments. Claims 1, 3-5, 7, 10, 12-15 have been amended to overcome the Examiner's rejection. Claims 2, 8, 9, 11 have been cancelled. Claims 1 and 10 have been amended to include the limitations of Claims 2 and 11, respectively. Claims 18-21 have been added. Additionally, the term "end unit" used in the claims was changed to "communications device" to be clearer. All amendments are supported by Figs. 1, 2, and 5, page 8, line 5, to page 9, line 25, and the original claims.

The examiner rejected Claims 1, 2, 4-11, and 13-17 under 35 USC 102(e) as being anticipated by Schwab (US 6,381,323).

"Within the PSTN"

All independent Claims 1, 10, 19-21 now contain the limitation that the processing system is "within the public switched telephone network (PSTN)" to better distinguish the claims over Schwab.

Schwab discloses a "PAS platform 18" that can be programmed by subscribers to do simultaneous call forwarding. The PAS platform 18 is an edge device (see Fig. 1). Edge devices are discussed on page 2, lines 19-27, of Applicants' specification and suffer several disadvantages.

It is known to those of skill in the art that as part of the deregulation of the telecommunications industry in the 1980's the carriers agreed not to operate or maintain equipment or facilities located on the customer's premise. It was also agreed that equipment connected to the PSTN would be FCC registered, and that demarcation points (smart jacks) would be installed at the edge of the customer's premise to provide a boundary between the PSTN and the subscriber. Subscriber-operated equipment connected to the PSTN and the subscriber.

Schwab uses "personal access service (PAS)" numbers to provide a means for subscribers to access the platform 18 (see col. 4, lines 13-16). PAS numbers are similar

Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 164 of 294 to direct inward dial (DID) numbers used to connect customer provided PABXs to the PSTN as the local exchange carrier would rent a block of numbers to a subscriber who would then assign them to its customers using the platform. Many carriers now use ISDN PRIs (primary rate interface) as a method of delivery of these numbers. If the PAS platform 18 was within the PSTN, then PAS numbers would be unnecessary as the carriers using the platform would simply provide local numbers from its number pool, or, even better, use local number portability to LNP the subscribers number directly to the platform. No discussion of local number portability appears in Schwab.

The edge device descriptions are found in Schwab as follows:

Connection to the PSTN Col. 5, line 45

FCC Col. 5, Line 50

PRI, BRI, Col. 5, lines 50-51

End Office Switch Col. 5, line 53

Smart Jack Col. 5, line 54

Line Side Connection Col. 6, line 8

Customer Premises Equipment Col. 6, lines 9-10

Thus the platform 18 in Schwab is not inside the PSTN and is exemplary of an edge device.

Therefore, since all of Applicants' independent claims include the limitation that the processing system is connected within the PSTN, these claims are not suggested by Schwab. There are many advantages to the processing system being connected within the PSTN so the difference is very significant.

"Web Enabled, Internet"

The limitation that the calling feature implemented by the processing system is programmed into the processing system via the internet has been added to all the independent claims.

> Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 165 of 294

Although Schwab mentions the "web" just one time in passing at Col. 4, line 30, in the entire 76-page patent, it does not suggest or disclose any of the web-enabling features described and shown in detail in the specification and drawings of Applicants' application.

The "PAS PLATFORM 18" as shown in Schwab's Fig. 4 is deficient as it is missing key elements for web enablement, such as the web server and the link to the internet.

Applicants' claimed invention allows subscriber control, via the internet, over the claimed calling feature performed by the processing system. This is not suggested by Schwab.

Claims 1, 3-5, 7, 10, and 12-15, have been amended to distinctly point out these patentably distinguishable features not found in Schwab. New Claims 18-21 have been added to the application. These new claims patentably distinguish over Schwab for the same reasons as stated above with respect to Claims 1-17.

Dependent Claims 3 and 12 were rejected under 35 U.S.C. 103(a) as being unpatentable over Schwab in view of Kugell (U.S. patent 5,802,160). Schwab in combination with Kugell could not suggest the various amended independent claims, so the dependent claims are also non-obvious.

It is respectfully submitted that all claims are allowable. If the examiner would like to discuss the claims with Applicant's attorney, the examiner is invited to call the undersigned at 408-382-0480 x202.

Certificate of Electronic Transmission					
I hereby certify that this correspondence is being submitted electronically to the United States Patent and Trademark Office on the date shown below.					
/Brian D Ogonowsky/	July 27, 2009				
Attorney for Applicant(s)	Date				

Respectfully submitted,

/Brian D Ogonowsky/

Brian D. Ogonowsky Attorney for Applicant(s) Reg. No. 31,988

> Ser. No. 11/948,965 Ex. 1007 YMax Corporation Page 166 of 294

Electronic Patent Application Fee Transmittal						
Application Number:	119	948965				
Filing Date:	30-	-Nov-2007				
Title of Invention:	Branch Calling and Caller ID Based Call Routing Telephone Features					
First Named Inventor/Applicant Name:	Sai	muel F. Wood				
Filer:	Bri	an D. Ogonowsky/E	dith Fuentes			
Attorney Docket Number:	TEI	M-8801-1P-1D				
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Independent claims in excess of 3		2201	2	110	220	
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:				VI	Ex. 1007 Max Corporation	
					Page 167 of 294	

Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
2252	1	245	245			
Total in USD (\$)						
	2252	2252 1	2252 1 245			

Ex. 1007 YMax Corporation Page 168 of 294

Electronic Ac	Electronic Acknowledgement Receipt						
EFS ID:	5777686						
Application Number:	11948965						
International Application Number:							
Confirmation Number:	3783						
Title of Invention:	Branch Calling and Caller ID Based Call Routing Telephone Features						
First Named Inventor/Applicant Name:	Samuel F. Wood						
Customer Number:	32566						
Filer:	Brian D. Ogonowsky/Edith Fuentes						
Filer Authorized By:	Brian D. Ogonowsky						
Attorney Docket Number:	TEL-M-8801-1P-1D						
Receipt Date:	27-JUL-2009						
Filing Date:	30-NOV-2007						
Time Stamp:	19:33:39						
Application Type:	Utility under 35 USC 111(a)						

Payment information:

Submitted with Payment	yes				
Payment Type	Credit Card				
Payment was successfully received in RAM	\$465				
RAM confirmation Number	5546				
Deposit Account	502226				
Authorized User OGONOWSKY,BRIAN D					
The Director of the USPTO is hereby authorized to charge					
Charge any Additional Fees required under 37 C.F.R. Se	Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees) $ m Ex.~1007$				
لي 20.1007 Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees) Max Corporation					

Charge a File Listing	ny Additional Fees required under 37 C.F.	R. Section 1.21 (Miscellaneous fee	s and charges)		
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		TEL-M-8801-1P-1D_Response-	98414	yes	11
		to-OA.pdf	869b95907540cf68c99ac23f7442bf26141b 490d	yes	
	Multip	art Description/PDF files in .	zip description		
	Document Des	scription	Start	E	nd
	Amendment/Req. Reconsiderati	on-After Non-Final Reject	1		1
	Claims	2		8	
	Applicant Arguments/Remarks	Made in an Amendment	9	11	
Warnings:					
Information:					
2	Fee Worksheet (PTO-875)	fee-info.pdf	32291	no	2
			cd2b7cb6c0241f7239a13ad27123d179fdd 75c9f		
Warnings:					
Information:			1		
		Total Files Size (in bytes)	13	30705	
Post Card, as o <u>New Applicati</u> If a new applic 1.53(b)-(d) and Acknowledge <u>National Stage</u> If a timely sub U.S.C. 371 and national stage <u>New Internation</u> If a new internation	by the applicant, and including pag described in MPEP 503. <u>ons Under 35 U.S.C. 111</u> ation is being filed and the applica d MPEP 506), a Filing Receipt (37 CF ment Receipt will establish the filin <u>e of an International Application un</u> mission to enter the national stage other applicable requirements a F e submission under 35 U.S.C. 371 wi <u>onal Application Filed with the USP</u> pational application is being filed ar al filing date (see PCT Article 11 an ernational Filing Date (Form PCT/RC	tion includes the necessary of R 1.54) will be issued in due g date of the application. Ider <u>35 U.S.C. 371</u> of an international applicati orm PCT/DO/EO/903 indicati Il be issued in addition to the <u>TO as a Receiving Office</u> ad the international applicat d MPEP 1810), a Notification	components for a filin course and the date s on is compliant with f ng acceptance of the e Filing Receipt, in du ion includes the nece of the International /	g date (see hown on th the condition application e course. ssary comp Application	37 CFR is ons of 35 as a onents for Number

Ex. 1007 YMax Corporation Page 170 of 294

	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	FOR PATENTS		
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/948,965	11/30/2007	Samuel F. Wood	TEL-M-8801-1P-1D	3783
PATENT LAW	7590 03/09/2009 V GROUP LLP FIRST STREET		EXAM SMITH, CRI	
SUITE 223 SAN JOSE, CA	A 95137		ART UNIT	PAPER NUMBER
SAN JOSE, CA	x /3134		2614	
			MAIL DATE	DELIVERY MODE
			03/09/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)
		11/948,965	WOOD ET AL.
	Office Action Summary	Examiner	Art Unit
		CREIGHTON SMITH	2614
Period fo	The MAILING DATE of this communication a r Reply	ppears on the cover sheet with th	e correspondence address
A SHO WHIC - Exter after - If NO - Failur Any r	ORTENED STATUTORY PERIOD FOR REP HEVER IS LONGER, FROM THE MAILING I sisons of time may be available under the provisions of 37 CFR 1 SIX (6) MONTHS from the mailing date of this communication. period for reply is specified above, the maximum statutory perio re to reply within the set or extended period for reply will, by statu eply received by the Office later than three months after the mail ad patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATI .136(a). In no event, however, may a reply be d will apply and will expire SIX (6) MONTHS fi tte, cause the application to become ABANDO	ON. e timely filed rom the mailing date of this communication. DNED (35 U.S.C. § 133).
Status			
1)	Responsive to communication(s) filed on		
		is action is non-final.	
I (<u></u>	Since this application is in condition for allow		prosecution as to the merits is
	closed in accordance with the practice under	•	
Dispositi	on of Claims		
	Claim(s) <u>1-17</u> is/are pending in the applicatio	n	
	4a) Of the above claim(s) is/are withdr		
	Claim(s) is/are allowed.		
· · _	Claim(s) <u>1-17</u> is/are rejected.		
· ·	Claim(s) is/are objected to.		
· · _	Claim(s) are subject to restriction and	or election requirement.	
Applicati	on Papers		
	-		
/ /—	The specification is objected to by the Examir The drawing(s) filed on is/are: a)∏ ac		
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	Applicant may not request that any objection to th		
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			ice Action of form PTO-152.
	inder 35 U.S.C. § 119		
· ·	Acknowledgment is made of a claim for foreig	n priority under 35 U.S.C. § 119)(a)-(d) or (f).
a)[☐ All b) Some * c) None of:		
	1. Certified copies of the priority docume		
	2. Certified copies of the priority docume		
	3. Copies of the certified copies of the pri	·	eived in this National Stage
	application from the International Bure	· · · · · · · · · · · · · · · · · · ·	
* S	see the attached detailed Office action for a lis	st of the certified copies not rece	ived.
Attachment	t(s)		
	e of References Cited (PTO-892)	4) Interview Summ	
	e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO/SB/08)	Paper No(s)/Mai 5) 🔲 Notice of Informa	al Patent Application
	r No(s)/Mail Date <u>11.30.07 12.04.07</u> .	6) 🗌 Other:	····
U.S. Patent and Tr PTOL-326 (R		Action Summary	Part of Paper No./Mail Date 20090305

Application/Control Number: 11/948,965 Art Unit: 2614

form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 2, 4-11, 13-17 are rejected under 35 U.S.C. 102(E) as being anticipated by Schwab et al, U.S. Pat. #6,381,323.

Schwab et al disclose in col. 1, lines 40 et seq. a method of routing incoming phone calls that includes the steps of coupling an incoming call to a subscriber to a platform, then in step (e) Schwab et al disclose "if it is determined that a 'simultaneous' search feature is active, then the incoming call will be directed to *all* number's on the subscriber's simultaneous list stored on the platform. In col. 4, lines 43, et seq. Schwab et al disclose that if the subscriber chooses simultaneous ring option, all of the phone numbers selected by the subscriber will ring at once until one of the phone numbers is answered. Schwab et al never specifically disclose that once a phone answers the call that the other phones will abandon the call, but this is inherently what is happening because once the call is answered the network will abandon/cease calling the other phones in order to conserve network resources and thus save money. For claim 2, see Fig. 1, element #14.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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Claims 3, 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schwab et al in view of Kugell et al, U.S. Pat. #5,802,160.

Kugell et al disclose in col. 5, lines 48 et seq. simultaneous ringing at a home number 21 and a cellular number 24 when a 1-800 number is called. Kugell et al further disclose in col. 4, lines 54 et seq the use of ANI to determine who is calling. To have used Kugell's teaching of the use of ANI in Schwab et al routing system would have been obvious to a person having ordinary skill in the art because both references are teaching simultaneous ringing of forwarded calls.

Any inquiry concerning this communication should be directed to CREIGHTON SMITH at telephone number (571)272-7546.

05 MAR '09

/CREIGHTON SMITH/ Primary Examiner, Art Unit 2614

Ex. 1007 YMax Corporation Page 174 of 294

Notice of References Cited	⁵ WOOD ET A	L.
Examiner	Art Unit	Page 1 of 1
CREIGHT	CREIGHTON SMITH 2614	

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-6,381,323	04-2002	Schwab et al.	379/211.02
*	В	US-5,802,160	09-1998	Kugell et al.	379/211.04
	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
	н	US-			
	Ι	US-			
	J	US-			
	к	US-			
	L	US-			
	М	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 3783

SERIAL NUME	BER	FILING or			CLASS	GRO	UP ART	UNIT	ΑΤΤΟ	RNEY DOCKET
11/948,965	11/948,965 DAT 11/30/2		_		379	2614 -		TEL	NO. -M-8801-1P-1D	
		RULE								
Samuel F. Jerry A. K	APPLICANTS Samuel F. Wood, Los Altos Hills, CA; Jerry A. Klein, Los Altos, CA; Margaret Susan Asprey, Los Altos, CA;									
This applie	** CONTINUING DATA ***********************************									
** FOREIGN AP	PLICA	TIONS *****	*******	******	*					
** IF REQUIRED 12/15/200		EIGN FILING	LICENS	E GRA	NTED ** ** SMA	LL EN	ITITY **			
Foreign Priority claimed 35 USC 119(a-d) condi	itions met		Met af Allowa	ter ance	STATE OR COUNTRY	-	EETS WINGS	TOT. CLAI		INDEPENDENT CLAIMS
S	CREIGHT(MITH/ Examiner's :		Initials		CA		11	17	,	2
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TITLE										
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EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L2	9	(@ad<="20000504") and ((plac\$3 or call\$3 or mak\$3) with (plural\$3 or multiple or two) with calls with subscriber with associat\$3 with (terminal or device or \$4phone\$1 or end adj unit\$1) with (simultaneously or time))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/03/05 10:57
S1	13	(@ad<="20050303") and (feature\$1 adj interaction\$1) and (access\$3 with subscriber \$3 near4 record\$1)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/12/31 10:29
S2	3	(ad<= "20050316") and ("455".clas. or "370".clas.) and (packet adj synchronization adj switch)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/02/05 11:29
S3	117	(ad<= "20050316") and ("455".clas. or "370".clas.) and ((switch\$3 or divert\$3 or shift\$3 or transfer\$4) with circuit with packet with (wireless or mobile or cell\$4) near4 network with tim\$3)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/02/05 11:33
S4	11631	(ad< = "20050316") and ("455".clas. or "370".clas.) and ((switch\$3 or divert\$3 or shift\$3 or transfer\$4) with circuit with packet close\$3 with (wireless or mobile or cell \$4) near4 network with tim \$3)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/02/05 11:36
S5	1	(ad<= "20050316") and ("455".clas. or "370".clas.) and ((switch\$3 or divert\$3 or shift\$3 or transfer\$4) with circuit with packet with close \$3 with (wireless or mobile or cell\$4) near4 network with tim \$3)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/02/05 11:37
S6	9244	(@ad<="20000504") and branch with call\$3	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/03/04 14:54

S7	576	(@ad<="20000504") and branch adj call\$3	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/03/04 14:54
S8	25	(@ad<="20000504") and branch adj calling	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/03/04 14:54
S9	25	(@ad<="20000504") and (branch adj calling)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/03/04 14:55
S10	576	(@ad<="20000504") and (branch adj call\$3)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/03/04 14:55
S11	1002	(@ad<="20000504") and ((plac\$3 or call\$3 or mak\$3) with (plural\$3 or multiple or two) with calls with subscriber)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/03/04 15:50
S12	67	(@ad<="20000504") and ((plac\$3 or call\$3 or mak\$3) with (plural\$3 or multiple or two) with calls with subscriber with associat\$3 with (terminal or device or \$4phone\$1 or end adj unit \$1))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/03/04 15:52

3/5/200911:02:19 AM

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EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1		(@ad< = "20000504") and ((plac\$3 or call\$3 or mak\$3) with (plural\$3 or multiple or two) with calls with subscriber with associat\$3 with (terminal or device or \$4phone\$1 or end adj unit\$1) with (simultaneously or time)). clm.	US-PGPUB	OR	OFF	2009/03/05 12:34

3/5/2009 12:35:18 PM

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11948965	WOOD ET AL.
	Examiner	Art Unit
	CREIGHTON SMITH	2614

	SEARCHED		
Class	Subclass	Date	Examiner
379	211.04	05 MAR '09	chs

SEARCH NOTES		
Search Notes	Date	Examiner
EAST	05 MAR '09	chs

INTERFERENCE SEARCH					
Class	Subclass	Date	Examiner		

					/	Application/Control No.					Applicant(s)/Patent Under Reexamination			
Index of Claims						11948965				WOOD	WOOD ET AL.			
					E	Examiner				Art Un	Art Unit			
					(CREIGHTON SMITH				2614				
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	CL/	MIA							DATE					
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		3	✓											
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		14	√											
		15	✓											
		16	√											
		17	✓											

Part of Paper No. : 20090305 Ex. 1007 YMax Corporation Page 181 of 294

EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	65	379/211.04.ccls.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/03/05 12:45
12	24	379/211.04.ccls. and (@ad< = "20000504")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/03/05 12:46
L3	3	379/211.04.ccls. and (@ad< = "20000504") and (simultaneous\$2 with (ring\$3 or rout\$3)) and (caller adj id or ani)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/03/05 14:43

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Application Number					
Filing Date		2007-11-30			
First Named Inventor	Samu	el F. Wood			
Art Unit		TBD			
Examiner Name Not ye		et assigned			
Attorney Docket Number		TEL-M-8801-1P-1D			

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Examiner Initial*	er Cite No Patent Number		Kind Code ¹ Issue Date		Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5958016		1999-09-28	Chang et al.	
	2	5974449		1999-10-26	Chang et al.	
	3	59533392		1999-09-14	Rhie et al.	
	4	6012088		2000-01-04	Li et al.	
	5	6031836		2000-02-29	Haserodt	
	6	5566236		1996-10-15	MeLampy et al.	
	7	5982866		1999-11-09	Kowalski, Thaddeus Julius	
	8	6359892		2002-03-19	Szlam, Aleksander	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT))

(Not for submission	າ under 37	CFR	1.99)
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Application Number				
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First Named Inventor Samu		l F. Wood		
Art Unit		TBD		
Examiner Name Not ye		et assigned		
Attorney Docket Numb	er	TEL-M-8801-1P-1D		

	3	20030133553	A1	2003-07-17	Khakoo et al.			
	2	20030156693	A1	2003-08-21	Goldman, Philip Y.			
	1	20030026403	A1	2007-11-30	Clapper, Edward O.			
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Releva		Lines where ges or Relevant
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	14	6359892	B1	2002-03-19	Szlam			
	13	6459780	B1	2002-10-01	Wurster et al.			
	12	6697461	B1	2004-02-24	Middleswarth et al.			
	11	6788775	B1	2004-09-07	Simpson, Anita Hogans			
	10	6956941	B1	2005-10-18	Duncan et al.			
	9	5878113		1999-03-02	Bhusri, Gurcharan S.			

Page 184 of 294

INFORMATION DISCLOSURE STATEMENT BY APPLICANT))

(Not for submission	under 37	CFR	1.99)
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Application Number				
Filing Date		2007-11-30		
First Named Inventor Samu		el F. Wood		
Art Unit		TBD		
Examiner Name Not ye		et assigned		
Attorney Docket Numb	er	TEL-M-8801-1P-1D		

	4	20030040325	A1	2003-02	2-27	Clark, David R.					
	5	20030095650	A1	2003-05	5-22	Mize, Gary W.					
	6	20040029568	A1	2004-02	2-12	DeLuca et al.					
	7	20050141500	A1	2005-06	3-30	Bhandari et al.					
	8	20050169445	A1	2005-08	3-04	Harris, Timothy M.					
	9	20050041526	A1	2005-02	2-24	ESMERSOY e	t al.				
	10	20050207557	A1	2005-09)-22	Dolan et al.					
	11	20030194078	A1	2003-10)-16	Wood et al.					
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	1	0738093	EP			1996-10-16					

INFORMATION DISCLOSURE Application Number 2007-11-30 Filing Date 2007-11-30 First Named Inventor Samuel F. Wood Art Unit TBD Examiner Name Not yet assigned Attorney Docket Number TEL-M-8801-1P-1D

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	2	089843 ⁻	1	EP		1999-02-24			
	3	0184859	9	wo	A2	2001-11-08	Telemaze, Inc.		
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	1	ADC Te	ADC Telecommunications; SS7 New Net SS7 Tutorial; © Copyright 1999.						
	2	Магу Са	armichael, "Calls	That Follow you A	Anywher	e," Newsweek, /	April 28, 2003, page 43.		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known						
Application Number	11/948,965					
Filing Date	November 30, 2007					
First Named Inventor	Samuel F. Wood					
Art Unit	2614					
Examiner Name	Not yet known					
Attorney Docket Number	TEL-M-8801-1P-1D					

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 4100377	Jul., 1978	Flanagan	
		^{US-} 4238851	Dec., 1980	Takahashi et al.	
		^{US-} 4569041	Feb., 1986	Takeuchi et al.	
		^{US-} 4608685	Aug., 1986	Jain et al.	
		^{US-} 4630260	Dec., 1986	Toy et al.	
		^{US-} 4630262	Dec., 1986	Callens et al.	
		^{US-} 4661947	Apr., 1987	Lea et al.	
		^{US-} 4674082	Jun., 1987	Flanagin et al.	
		^{US-} 4679190	Jul., 1987	Dias et al.	
		^{US-} 4679191	Jul., 1987	Nelson et al.	
		^{US-} 4707831	Nov., 1987	Weir, deceased et al.	
		^{US-} 4715026	Dec., 1987	Eberspaecher	
		^{US-} 4723238	Feb., 1988	Isreal et al.	
		^{US-} 4757497	Jul., 1988	Beierle et al.	
		^{US-} 4761779	Aug., 1988	Nara et al.	
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		^{US-} 4819228	Apr., 1989	Baran et al.	
		^{US-} 4862451	Aug., 1989	Closs et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date		Patentee or Sited Document	Pages, Columns, Lines, Where Relevant Passages	
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Examiner Signature /Creighton Smith/	Date Considered	03/04/2009
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Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATEN	F DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 4866704	Sep., 1989	Bergman	
		^{US-} 4872159	Oct., 1989	Hemmady et al.	
		^{US-} 4872160	Oct., 1989	Hemmady et al.	
		^{US-} 4885739	Dec., 1989	Read et al.	
		^{US-} 4903261	Feb., 1990	Baran et al.	
		^{US-} 4926416	May., 1990	Weik	
		^{US-} 4932022	Jun., 1990	Keeney et al.	
		^{US-} 4933931	Jun., 1990	Kokubo	
		^{US-} 4953158	Aug., 1990	Schreur	
		^{US-} 4958341	Sep., 1990	Hemmady et al.	
		^{US-} 4962497	Oct., 1990	Ferenc et al.	
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		^{US-} 4970721	Nov., 1990	Aczel et al.	
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		^{US-} 5020058	May., 1991	Holden et al.	

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Sheet 3

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5022071	Jun., 1991	Mozer et al.	
		^{US-} 5048081	Sep., 1991	Gavaras et al.	
		^{US-} 5051983	Sep., 1991	Kammerl	
		^{US-} 5093827	Mar., 1992	Franklin et al.	
		^{US-} 5115431	May., 1992	Williams et al.	
		^{US-} 5150357	Sep., 1992	Hopner et al.	
		^{US-} 5157662	Oct., 1992	Tadamura et al.	
		^{US-} 5197067	Mar., 1993	Fujimoto et al	
		^{US-} 5208806	May., 1993	Hasegawa	
		^{US-} 5218602	Jun., 1993	Grant et al.	
		^{US-} 5231633	Jul., 1993	Hluchyj et al.	
		^{US-} 4926416	May., 1990	Weik	
		^{US-} 4932022	Jun., 1990	Keeney et al.	
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		^{US-} 5247571	Sep., 1993	Kay et al.	
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Sheet 4

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5301189	Apr., 1994	Schmidt et al.	
		^{US-} 5305308	Apr., 1994	English et al.	
		^{US-} 5327428	Jul., 1994	Van As et al.	
		^{US-} 5341374	Aug., 1994	Lewen et al.	
		^{US-} 5351276	Sep., 1994	Doll, Jr. et al.	
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		^{US-} 5353283	Oct., 1994	Tsuchiya	
		^{US-} 5359598	Oct., 1994	Steagall et al.	
		^{US-} 5365521	Nov., 1994	Ohnishi et al.	
		^{US-} 5379293	Jan., 1995	Kanno et al.	
		^{US-} 5381405	Jan., 1995	Daugherty et al.	
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		^{US-} 5390184	Feb., 1995	Morris	
		^{US-} 5396491	Mar., 1995	Newman	
		^{US-} 5420858	May., 1995	Marshall et al.	
		^{US-} 5422882	Jun., 1995	Hiller et al.	
		^{US-} 5423003	Jun., 1995	Berteau	

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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No. ¹		_ Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	T 6
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)			Or Relevant Figures Appear	

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Sheet 5

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (if known)}			rigares Appear
		^{US-} 5426636	Jun., 1995	Hiller et al.	
		^{US-} 5428607	Jun., 1995	Hiller et al.	
		^{US-} 5428616	Jun., 1995	Field et al.	
		^{US-} 5430719	Jul., 1995	Weisser, Jr.	
		^{US-} 5434913	Jul., 1995	Tung et al.	
		^{US-} 5436898	Jul., 1995	Bowen et al.	
		^{US-} 5438614	Aug., 1995	Rozman et al.	
		^{US-} 5444709	Aug., 1995	Riddle	
		^{US-} 5452289	Sep., 1995	Sharma et al.	
		^{US-} 5453986	Sep., 1995	Davis et al.	
		^{US-} 5457684	Oct., 1995	Bharucha et al.	
		^{US-} 5471470	Nov., 1995	Sharma et al.	
		^{US-} 5479411	Dec., 1995	Klein	
		^{US-} 5485457	Jan., 1996	Aramaki	
		^{US-} 5521914	May., 1996	Mavraganis et al.	
		^{US-} 5526353	Jun., 1996	Henley et al.	
		^{US-} 5537403	Jul., 1996	Cloonan et al.	
		^{US-} 5541917	Jul., 1996	Farris	
		^{US-} 5544161	Aug., 1996	Bigham et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	Т
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Examiner Signature	/Creighton Smith/	Date Considered	03/04/2009
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Sheet 6

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5544163	Aug., 1996	Madonna	
		^{US-} 5544164	Aug., 1996	Baran	
		^{US-} 5544168	Aug., 1996	Jeffrey et al.	
		^{US-} 5553063	Sep., 1996	Dickson	
		^{US-} 5568475	Oct., 1996	Doshi et al.	
		^{US-} 5570355	Oct., 1996	Dail et al.	
		^{US-} 5572583	Nov., 1996	Wheeler, Jr. et al.	
		^{US-} 5577038	Nov., 1996	Miyahara	
		^{US-} 5577041	Nov., 1996	Sharma et al.	
		^{US-} 5579308	Nov., 1996	Humpleman	
		^{US-} 5590181	Dec., 1996	Hogan et al.	
		^{US-} 5592477	Jan., 1997	Farris et al.	
		^{US-} 5592538	Jan., 1997	Kosowsky et al.	
		^{US-} 5594732	Jan., 1997	Bell et al.	
		^{US-} 5600643	Feb., 1997	Robrock, II	
		^{US-} 5600649	Feb., 1997	Sharma et al.	
		^{US-} 5602991	Feb., 1997	Berteau	
		^{US-} 5604737	Feb., 1997	Iwami et al.	
		^{US-} 5608786	Mar., 1997	Gordon	

Examiner	Cite	Foreign Patent Document	IGN PATENT DOCU Publication	Name of Patentee or	Pages, Columns, Lines,	Г
	No.1		Date	Applicant of Cited Document	Where Relevant Passages	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	٦
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Examiner Signature	/Creighton Smith/	Date Considered	03/04/2009
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Sheet 7

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22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5613069	Mar., 1997	Walker	
		^{US-} H1641	Apr., 1997	Sharman	
		^{US-} 5621727	Apr., 1997	Vaudreuil	
		^{US-} 5625677	Apr., 1997	Feiertag et al.	
		^{US-} 5631897	May., 1997	Pacheco et al.	
		^{US-} 5640446	Jun., 1997	Everett et al.	
		^{US-} 5650999	Jul., 1997	Dickson	
		^{US-} 5654957	Aug., 1997	Koyama	
		^{US-} 5659541	Aug., 1997	Chan	
		^{US-} 5659542	Aug., 1997	Bell et al.	
		^{US-} 5680437	Oct., 1997	Segal	
		^{US-} 5684799	Nov., 1997	Bigham et al.	
		^{US-} 5689553	Nov., 1997	Ahuja et al.	
		^{US-} 5692126	Nov., 1997	Templeton et al.	
		^{US-} 5701301	Dec., 1997	Weisser, Jr.	
		^{US-} 5706286	Jan., 1998	Reiman et al.	
		^{US-} 5710769	Jan., 1998	Anderson et al.	
		^{US-} 5712903	Jan., 1998	Bartholomew et al.	
		^{US-} 5712908	Jan., 1998	Brinkman et al.	

Examiner Initials*	Cite No. ¹	Foreign Patent Document	IGN PATENT DOCU Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Sheet 8

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5724412	Mar., 1998	Srinivasan	
		^{US-} 5729544	Mar., 1998	Lev et al.	
		^{US-} 5732078	Mar., 1998	Arango	
		^{US-} 5737320	Apr., 1998	Madonna	
		^{US-} 5737331	Apr., 1998	Hoppal et al.	
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		^{US-} 5740231	Apr., 1998	Cohn et al.	
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		^{US-} 5751706	May., 1998	Land et al.	
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		^{US-} 5754641	May., 1998	Voit et al.	
		^{US-} 5764628	Jun., 1998	Davis et al.	
		^{US-} 5764736	Jun., 1998	Shachar et al.	
		^{US-} 5764750	Jun., 1998	Chau et al.	
		^{US-} 5764756	Jun., 1998	Onweller	
		^{US-} 5777991	Jul., 1998	Adachi et al.	
		^{US-} 5790538	Aug., 1998	Sugar	
		^{US-} 5793762	Aug., 1998	Penners et al.	

Examiner	Cite	Foreign Patent Document	IGN PATENT DOCU Publication	Name of Patentee or	Pages, Columns, Lines,	Т
Initials*	No.1		Date	Applicant of Cited Document	Where Relevant Passages	
			MM-DD-YYYY		Or Relevant Figures Appear	٦
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)				┢
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Sheet 9

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (if known)}			rigares Appear
		^{US-} 5793771	Aug., 1998	Darland et al.	
		^{US-} 5799154	Aug., 1998	Kuriyan	
		^{US-} 5805587	Sep., 1998	Norris et al.	
		^{US-} 5805588	Sep., 1998	Petersen	
		^{US-} 5809022	Sep., 1998	Byers et al.	
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		^{US-} 5812534	Sep., 1998	Davis et al.	
		^{US-} 5815505	Sep., 1998	Mills	
		^{US-} 5818912	Oct., 1998	Hammond	
		^{US-} 5825771	Oct., 1998	Cohen et al.	
		^{US-} 5828666	Oct., 1998	Focsaneanu et al.	
		^{US-} 5838665	Nov., 1998	Kahn et al.	
		^{US-} 5867494	Feb., 1999	Krishnaswamy et al.	
		^{US-} 5867495	Feb., 1999	Elliott et al.	
		^{US-} 5881060	Mar., 1999	Morrow et al.	
		^{US-} 5881131	Mar., 1999	Farris et al.	
		^{US-} 5889774	Mar., 1999	Mirashrafi et al.	
		^{US-} 5915008	Jun., 1999	Dulman	
		^{US-} 5922047	Jul., 1999	Newlin et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	Т
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Examiner Signature /Creighton Smith/	Date Considered	03/04/2009
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Sheet

10

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

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Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATEN	DOCUMENTS	
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		^{US-} 5933490	Aug., 1999	White et al.	
		^{US-} 5954799	Sep., 1999	Goheen et al.	
		^{US-} 5963551	Oct., 1999	Minko	
		^{US-} 5991291	Nov., 1999	Asai et al.	
		^{US-} 5999525	Dec., 1999	Krishnaswamy et al.	
		^{US-} 6009469	Dec., 1999	Mattaway et al.	
		^{US-} 6026083	Feb., 2000	Albrow et al.	
		^{US-} 6069890	May., 2000	White et al.	
		^{US-} 6134235	Oct., 2000	Goldman et al.	
		^{US-} 6278707	Aug., 2001	MacMillan et al.	
		^{US-} 6324183	Nov., 2001	Miller et al.	
		^{US-} 6327258	Dec., 2001	Deschaine et al.	
		^{US-} 6339594	Jan., 2002	Civanlar et al.	
		^{US-} 5946684	Aug., 1999	Lund	
		^{US-} 6094478	Jul., 2000	Shepherd et al.	
		^{US-} 6028917	Feb., 2000	Creamer et al.	
		^{US-} 6104800	Aug., 2000	Benson	
		^{US-} 6078581	Jun., 2000	Shtivelman et al.	
		^{US-} 6259692	Jul., 2001	Shtivelman et al.	

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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No. ¹		Date	Applicant of Cited Document	Where Relevant Passages	T 6
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		·				

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Sheet

11

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

22

Con	nplete if Known
Application Number	11/948,965
Filing Date	November 30, 2007
First Named Inventor	Samuel F. Wood
Art Unit	2614
Examiner Name	Not yet known
Attorney Docket Number	TEL-M-8801-1P-1D

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6014437	Jan., 2000	Acker et al.	
		^{US-} 5799072	Aug., 1998	Vulcan et al.	
		^{US-} 5946386	Aug., 1999	Rogers et al.	
		^{US-} 6005870	Dec., 1999	Leung et al.	
		^{US-} 6161128	Dec. 2000	Smyk	
		^{US-} 6614781	Sep., 2003	Elliott et al.	
		US-			

		FOREIGN	PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T6
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)				

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Sheet

12

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Con	nplete if Known
Application Number	11/948,965
Filing Date	November 30, 2007
First Named Inventor	Samuel F. Wood
Art Unit	2614
Examiner Name	Not yet known
Attorney Docket Number	TEL-M-8801-1P-1D

			U. S. PATENT D		
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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		Country Code ³⁻ Number ⁴⁻ Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	Т
		EP 0 789 470	Aug., 1997			
		EP 0 794 650	Sep., 1997			
		EP 0 797 373	Sep., 1997			
		EP 0 824 298	Feb., 1998			
		EP 0 829 995	Mar., 1998			
		EP 0 841 831	May., 1998			

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Sheet

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Application Number 11/948,965 Filing Date November 30, 2007 First Named Inventor Samuel F. Wood	Complete if Known				
	Application Number	11/948,965			
First Named Inventor Samuel F Wood	Filing Date	November 30, 2007			
Ganderr	First Named Inventor	Samuel F. Wood			
Art Unit 2614					
Examiner Name Not yet known	Examiner Name	Not yet known			
Attorney Docket Number TEL-M-8801-1P-1D	Attorney Docket Number	TEL-M-8801-1P-1D			

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		FORE	IGN PATENT DOCU	MENTS		
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		EP 0 847 176	Jun., 1998			
		EP 0 866 596	Sep., 1998			
		EP 0 872 998	Oct., 1998			
		GB 2 315 190	Jan., 1998			
		JP 10-23067	Jan., 1998			
		JP 10-51453	Feb., 1998			

03/04/2009	Examiner Signature	/Creighton Smith/	Date Considered	03/04/2009
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

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		JP 10-164135	Jun., 1998			
		JP 10-164257	Jun., 1998			
		WO 96/08935	Mar., 1996			L
		WO 96/15598	May., 1996			
		WO 97/14238	Apr., 1997			
		WO 97/14234 A2	Apr., 1997			

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number 11/948,965 Filing Date November 30, 2007 First Named Inventor Samuel F. Wood	Complete if Known				
	Application Number	11/948,965			
First Named Inventor Samuel F Wood	Filing Date	November 30, 2007			
Ganderr	First Named Inventor	Samuel F. Wood			
Art Unit 2614					
Examiner Name Not yet known	Examiner Name	Not yet known			
Attorney Docket Number TEL-M-8801-1P-1D	Attorney Docket Number	TEL-M-8801-1P-1D			

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	WO 97/16007	May., 1997			
	WO 97/22216	Jun., 1997			
	WO 97/23078	Jun., 1997			
	WO 97/27692	Jul., 1997			
	WO 97/28628	Aug., 1997			
	WO 97/29581	Aug., 1997			

Examiner /Creighton Smith/ Date Signature Considered	03/04/2009
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Con	nplete if Known
Application Number	11/948,965
Filing Date	November 30, 2007
First Named Inventor	Samuel F. Wood
Art Unit	2614
Examiner Name	Not yet known
Attorney Docket Number	TEL-M-8801-1P-1D

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Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	Т
	WO 97/31492	Aug., 1997			
	WO 97/33412	Sep., 1997			
	WO 97/38551	Oct., 1997			
	WO 97/39560	Oct., 1997			
	WO 97/38511 A2	Oct., 1997			
	WO 97/47118	Dec., 1997			

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)				
		WO 97/50217	Dec., 1997			
		WO 97/50271	Dec., 1997			
		WO 97/46073 A2	Dec., 1997			
		WO 97/50277 A2	Dec., 1997			
		WO 98/04989	Feb., 1998			
		WO 98/11704	Mar., 1998			Γ

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Application Number 11/948,965 Filing Date November 30, 2007 First Named Inventor Samuel F. Wood	Complete if Known				
	Application Number	11/948,965			
First Named Inventor Samuel F Wood	Filing Date	November 30, 2007			
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Art Unit 2614					
Examiner Name Not yet known	Examiner Name	Not yet known			
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		Wo 98/12860	Mar., 1998			
		WO 98/13974	Apr., 1998			
		WO 98/18238	Apr., 1998			
		WO 98/18289	Apr., 1998			
		WO 98/19425	May., 1998			
		WO 98/19445	May., 1998			

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Substitute	101	IUIII	1449/610

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		WO 98/20701	May., 1998			
		WO 98/23067	May., 1998			
		WO 98/23080	May., 1998			
		WO 98/26543	Jun., 1998			
		WO 0 851 653	Jul., 1998			
		WO 0 853 411 A2	Jul., 1998			

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	IGN PATENT DOCU Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	-
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T
		WO 98/28885	Jul., 1998			
		WO 98/30007	Jul., 1998			
		WO 98/30008	Jul., 1998			
		WO 98/34391	Aug., 1998			
		WO 98/34399	Aug., 1998			
		WO 98/36543	Aug., 1998			Γ

Examiner Signature	/Creighton Smith/	Date Considered	03/04/2009

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATENT D		
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	IGN PATENT DOCU Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	τ ^ε
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>) WO 98/37665	Aug., 1998			E
		WO 98/37688 A2	Aug., 1998			
		WO 98/39897	Sep., 1998			
		WO 98/42104	Sep., 1998			
		WO 98/42107	Sep., 1998			Γ
		WO 98/42146	Sep., 1998			Γ

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	FOREIG	N PATENT DOCU	MENTS		
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	Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
	WO 98/47256 A2	Oct., 1998			
	WO 98/51063	Nov., 1998			

Examiner Signature	/Creighton Smith/	Date Considered	03/04/2009
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United States Patent and Trademark Office



APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/948,965	11/30/2007	Samuel F. Wood	TEL-M-8801-1P-1D

CONFIRMATION NO. 3783

32566 PATENT LAW GROUP LLP 2635 NORTH FIRST STREET SUITE 223 SAN JOSE, CA95134

Title: Branch Calling and Caller ID Based Call Routing Telephone Features

Publication No. US-2008-0075262-A1 Publication Date: 03/27/2008

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

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Pre-Grant Publication Division, 703-605-4283

Ex 1007 YMax Corporation Page 209 of 294

	United State	<u>s Patent</u>	and Tradema	UNITED STATES DE United States Paten Addres: COMMISSIONER P.O. Box 1450 Alexandra, Vrginia www.uspto.gov	t and Trademark (R FOR PATENTS	
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
11/948,965	11/30/2007	2614	435	TEL-M-8801-1P-1D	17	2
				CON	FIRMATION	NO. 3783
32566				FILING RECE	IPT	
PATENT LAW	GROUP LLP					
2635 NORTH	FIRST STREE	Т				
SUITE 223				*0C00	0000027320757	*
SAN JOSE, C/	A 95134					

Date Mailed: 12/19/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Samuel F. Wood, Los Altos Hills, CA; Jerry A. Klein, Los Altos, CA; Margaret Susan Asprey, Los Altos, CA;

Assignment For Published Patent Application

TELEMAZE LLC, Los Altos, CA **Power of Attorney:** The patent practitioners associated with Customer Number <u>32566</u>

Domestic Priority data as claimed by applicant

This application is a DIV of 10/426,279 04/30/2003 which is a CIP of 09/565,565 05/04/2000 PAT 6,574,328

Foreign Applications

If Required, Foreign Filing License Granted: 12/15/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 11/948,965**

Projected Publication Date: 03/27/2008

Non-Publication Request: No

Early Publication Request: No ** SMALL ENTITY **

Branch Calling and Caller ID Based Call Routing Telephone Features

Preliminary Class

379

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Samuel F. Wood, Jerry A. Kl	ein, Margaret Susan	Asprey
Assignee:	Telemaze LLC		
Title:	Branch Calling and Caller ID	Based Call Routing	Telephone Features
Serial No.:	Not yet known	Filing Date:	November 29, 2007
Examiner:	Not yet known	Group Art Unit:	Not yet known
Docket No.:	TEL-M-8801-1P-1D		

San Jose, California December 4, 2007

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO/SB/08A are called to the attention of the Examiner for the above patent application. Copies of the References are not included because they were cited in the parent application no. 10/426,279, filing date April 30, 2003.

1. A very large quantity of prior art is cited in the PTO/SB/08A form (formerly PTO 1449) because the present invention is related to the invention in U.S. Patent 6,614,781 to Elliot, and the identified prior art is copied from the "References Cited" section of that patent. There is no other reason for why the Applicants believe the identified art is material to the present claims. Only a few of the prior art documents are discussed below.

2. Various prior art documents describe systems in which telephone features are only applied once a call reaches the called party's central office. In contrast, Applicants' claims specify that the telephone features are applied before the call reaches the terminating central office. This provides various important advantages, discussed in the application. Other distinguishing aspects of the claims also exist.

PATENT LAW GROUP LLP 2635 N. FIRST ST. SUTTE 223 SAN JOSE, CA 95134 (408) 382-0480 FAX (408) 382-0481 3. U.S. Patent 6,614,781 to Elliot discloses a method of implementing a telephone feature that requires a change, modification, or enhancement to the software of the central office of the PSTN. To use the features offered by the Elliot '781 patent, the call must reach the central office offering the feature.

4. U.S. Patents 6,445,694 and 6,785,266 to Swartz disclose methods to provide telephone features, where the processing that provides the features occurs outside of the PSTN.

5. U.S. Patent 6,094,478 to Shepherd describes a processor for providing features, where the processor is located at the terminating central office.

6. U.S. Patent 6,853,714 to Liljestrand is similar to the Shepherd patent in that the processing for providing telephone features occurs at the central office.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

2. a representation that a search has been made; or

3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Certificate of Electronic Transmission I hereby certify that this correspondence is being submitted electronically to the United States Patent and Trademark Office using EFS-Web on the date shown below.

/Brian D Ogonowsky/ Attorney for Applicant(s) December 4, 2007 Date of Signature Respectfully submitted,

/Brian D Ogonowsky/

Brian D. Ogonowsky Attorney for Applicant(s) Reg. No. 31,988

Patent Law Group LLP 2635 N. First St. Suite 223 San Jose, CA 95134 Tel (408) 382-0480 x202 Fax (408) 382-0481

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known		
Application Number	11/948,965	
Filing Date	November 30, 2007	
First Named Inventor	Samuel F. Wood	
Art Unit	2614	
Examiner Name	Not yet known	
Attorney Docket Number	TEL-M-8801-1P-1D	

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 4100377	Jul., 1978	Flanagan	
		^{US-} 4238851	Dec., 1980	Takahashi et al.	
		^{US-} 4569041	Feb., 1986	Takeuchi et al.	
		^{US-} 4608685	Aug., 1986	Jain et al.	
		^{US-} 4630260	Dec., 1986	Toy et al.	
		^{US-} 4630262	Dec., 1986	Callens et al.	
		^{US-} 4661947	Apr., 1987	Lea et al.	
		^{US-} 4674082	Jun., 1987	Flanagin et al.	
		^{US-} 4679190	Jul., 1987	Dias et al.	
		^{US-} 4679191	Jul., 1987	Nelson et al.	
		^{US-} 4707831	Nov., 1987	Weir, deceased et al.	
		^{US-} 4715026	Dec., 1987	Eberspaecher	
		^{US-} 4723238	Feb., 1988	Isreal et al.	
		^{US-} 4757497	Jul., 1988	Beierle et al.	
		^{US-} 4761779	Aug., 1988	Nara et al.	
		^{US-} 4771425	Sep., 1988	Baran et al.	
		^{US-} 4815071	Mar., 1989	Shimizu	
		^{US-} 4819228	Apr., 1989	Baran et al.	
		^{US-} 4862451	Aug., 1989	Closs et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	-
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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Sheet 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	11/948,965	
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First Named Inventor	Samuel F. Wood	
Art Unit	2614	
Examiner Name	Not yet known	
Attorney Docket Number	TEL-M-8801-1P-1D	

			U. S. PATEN	F DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 4866704	Sep., 1989	Bergman	
		^{US-} 4872159	Oct., 1989	Hemmady et al.	
		^{US-} 4872160	Oct., 1989	Hemmady et al.	
		^{US-} 4885739	Dec., 1989	Read et al.	
		^{US-} 4903261	Feb., 1990	Baran et al.	
		^{US-} 4926416	May., 1990	Weik	
		^{US-} 4932022	Jun., 1990	Keeney et al.	
		^{US-} 4933931	Jun., 1990	Kokubo	
		^{US-} 4953158	Aug., 1990	Schreur	
		^{US-} 4958341	Sep., 1990	Hemmady et al.	
		^{US-} 4962497	Oct., 1990	Ferenc et al.	
		^{US-} 4969184	Nov., 1990	Gordon et al.	
		^{US-} 4970721	Nov., 1990	Aczel et al.	
		^{US-} 4975695	Dec., 1990	Almond et al.	
		^{US-} 4996685	Feb., 1991	Farese et al.	
		^{US-} 5008929	Apr., 1991	Olsen et al.	
		^{US-} 5014266	May., 1991	Bales et al.	
		^{US-} 5018136	May., 1991	Gollub	
		^{US-} 5020058	May., 1991	Holden et al.	

			IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³⁻ Number ⁴⁻ Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	
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Sheet 3

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

22

Con	Complete if Known		
Application Number	11/948,965		
Filing Date	November 30, 2007		
First Named Inventor	Samuel F. Wood		
Art Unit	2614		
Examiner Name	Not yet known		
Attorney Docket Number	TEL-M-8801-1P-1D		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5022071	Jun., 1991	Mozer et al.	
		^{US-} 5048081	Sep., 1991	Gavaras et al.	
		^{US-} 5051983	Sep., 1991	Kammerl	
		^{US-} 5093827	Mar., 1992	Franklin et al.	
		^{US-} 5115431	May., 1992	Williams et al.	
		^{US-} 5150357	Sep., 1992	Hopner et al.	
		^{US-} 5157662	Oct., 1992	Tadamura et al.	
		^{US-} 5197067	Mar., 1993	Fujimoto et al	
		^{US-} 5208806	May., 1993	Hasegawa	
		^{US-} 5218602	Jun., 1993	Grant et al.	
		^{US-} 5231633	Jul., 1993	Hluchyj et al.	
		^{US-} 4926416	May., 1990	Weik	
		^{US-} 4932022	Jun., 1990	Keeney et al.	
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		^{US-} 5241588	Aug., 1993	Babson, III et al.	
		^{US-} 5247571	Sep., 1993	Kay et al.	
		^{US-} 5268900	Dec., 1993	Hluchyj et al.	
		^{US-} 5274635	Dec., 1993	Rahman et al.	
		^{US-} 5291489	Mar., 1994	Morgan et al.	

		FORE	IGN PATENT DOCU	MENTS		
	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	Т
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Sheet 4

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Application Number	11/948,965		
Filing Date	November 30, 2007		
First Named Inventor	Samuel F. Wood		
Art Unit	2614		
Examiner Name	Not yet known		
Attorney Docket Number	TEL-M-8801-1P-1D		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5301189	Apr., 1994	Schmidt et al.	
		^{US-} 5305308	Apr., 1994	English et al.	
		^{US-} 5327428	Jul., 1994	Van As et al.	
		^{US-} 5341374	Aug., 1994	Lewen et al.	
		^{US-} 5351276	Sep., 1994	Doll, Jr. et al.	
		^{US-} 5351286	Sep., 1994	Nici	
		^{US-} 5353283	Oct., 1994	Tsuchiya	
		^{US-} 5359598	Oct., 1994	Steagall et al.	
		^{US-} 5365521	Nov., 1994	Ohnishi et al.	
		^{US-} 5379293	Jan., 1995	Kanno et al.	
		^{US-} 5381405	Jan., 1995	Daugherty et al.	
		^{US-} 5381466	Jan., 1995	Shibayama et al.	
		^{US-} 5383183	Jan., 1995	Yoshida	
		^{US-} 5384840	Jan., 1995	Blatchford et al.	
		^{US-} 5390184	Feb., 1995	Morris	
		^{US-} 5396491	Mar., 1995	Newman	
		^{US-} 5420858	May., 1995	Marshall et al.	
		^{US-} 5422882	Jun., 1995	Hiller et al.	
		^{US-} 5423003	Jun., 1995	Berteau	

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	Cite No. ¹		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³⁻ Number ⁴⁻ Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
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Sheet 5

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Filing Date	November 30, 2007
First Named Inventor	Samuel F. Wood
Art Unit	2614
Examiner Name	Not yet known
Attorney Docket Number	TEL-M-8801-1P-1D

			U. S. PATEN	DOCUMENTS	
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		^{US-} 5426636	Jun., 1995	Hiller et al.	
		^{US-} 5428607	Jun., 1995	Hiller et al.	
		^{US-} 5428616	Jun., 1995	Field et al.	
		^{US-} 5430719	Jul., 1995	Weisser, Jr.	
		^{US-} 5434913	Jul., 1995	Tung et al.	
		^{US-} 5436898	Jul., 1995	Bowen et al.	
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		^{US-} 5537403	Jul., 1996	Cloonan et al.	
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		FOREIGN	PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Sheet 6

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

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Filing Date	November 30, 2007
First Named Inventor	Samuel F. Wood
Art Unit	2614
Examiner Name	Not yet known
Attorney Docket Number	TEL-M-8801-1P-1D

			U. S. PATEN	DOCUMENTS	
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		^{US-} 5544163	Aug., 1996	Madonna	
		^{US-} 5544164	Aug., 1996	Baran	
		^{US-} 5544168	Aug., 1996	Jeffrey et al.	
		^{US-} 5553063	Sep., 1996	Dickson	
		^{US-} 5568475	Oct., 1996	Doshi et al.	
		^{US-} 5570355	Oct., 1996	Dail et al.	
		^{US-} 5572583	Nov., 1996	Wheeler, Jr. et al.	
		^{US-} 5577038	Nov., 1996	Miyahara	
		^{US-} 5577041	Nov., 1996	Sharma et al.	
		^{US-} 5579308	Nov., 1996	Humpleman	
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		^{US-} 5592477	Jan., 1997	Farris et al.	
		^{US-} 5592538	Jan., 1997	Kosowsky et al.	
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		^{US-} 5600643	Feb., 1997	Robrock, II	
		^{US-} 5600649	Feb., 1997	Sharma et al.	
		^{US-} 5602991	Feb., 1997	Berteau	
		^{US-} 5604737	Feb., 1997	Iwami et al.	
		^{US-} 5608786	Mar., 1997	Gordon	

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	Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	-
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Sheet 7

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Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATEN	F DOCUMENTS	
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		^{US-} 5613069	Mar., 1997	Walker	
		^{US-} H1641	Apr., 1997	Sharman	
		^{US-} 5621727	Apr., 1997	Vaudreuil	
		^{US-} 5625677	Apr., 1997	Feiertag et al.	
		^{US-} 5631897	May., 1997	Pacheco et al.	
		^{US-} 5640446	Jun., 1997	Everett et al.	
		^{US-} 5650999	Jul., 1997	Dickson	
		^{US-} 5654957	Aug., 1997	Koyama	
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		^{US-} 5680437	Oct., 1997	Segal	
		^{US-} 5684799	Nov., 1997	Bigham et al.	
		^{US-} 5689553	Nov., 1997	Ahuja et al.	
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		^{US-} 5701301	Dec., 1997	Weisser, Jr.	
		^{US-} 5706286	Jan., 1998	Reiman et al.	
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Sheet 8

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Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
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Examiner Name	Not yet known			
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			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5724412	Mar., 1998	Srinivasan	
		^{US-} 5729544	Mar., 1998	Lev et al.	
		^{US-} 5732078	Mar., 1998	Arango	
		^{US-} 5737320	Apr., 1998	Madonna	
		^{US-} 5737331	Apr., 1998	Hoppal et al.	
		^{US-} 5737333	Apr., 1998	Civanlar et al.	
		^{US-} 5740164	Apr., 1998	Liron	
		^{US-} 5740231	Apr., 1998	Cohn et al.	
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		^{US-} 5751706	May., 1998	Land et al.	
		^{US-} 5751968	May., 1998	Cohen	
		^{US-} 5754641	May., 1998	Voit et al.	
		^{US-} 5764628	Jun., 1998	Davis et al.	
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		^{US-} 5764750	Jun., 1998	Chau et al.	
		^{US-} 5764756	Jun., 1998	Onweller	
		^{US-} 5777991	Jul., 1998	Adachi et al.	
		^{US-} 5790538	Aug., 1998	Sugar	
		^{US-} 5793762	Aug., 1998	Penners et al.	

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Sheet 9

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			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		^{US-} 5881131	Mar., 1999	Farris et al.	
		^{US-} 5889774	Mar., 1999	Mirashrafi et al.	
		^{US-} 5915008	Jun., 1999	Dulman	
		^{US-} 5922047	Jul., 1999	Newlin et al.	

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Cite No. ¹		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	-
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

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		^{US-} 6104800	Aug., 2000	Benson	
		^{US-} 6078581	Jun., 2000	Shtivelman et al.	
		^{US-} 6259692	Jul., 2001	Shtivelman et al.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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11/948,965			
November 30, 2007			
Samuel F. Wood			
2614			
Not yet known			
TEL-M-8801-1P-1D			

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		^{US-} 6614781	Sep., 2003	Elliott et al.	
		US-			

		FOREIGN	N PATENT DOCU	MENTS			
Examiner	Cite	Foreign Patent Document	Publication	Name of Pate		Pages, Columns, Lines,	
Initials*	No.1			Applicant of Cite	d Document	Where Relevant Passages	T 6
		Country Code ³ -Number ⁴⁻ Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY			Or Relevant Figures Appear	1-
Examiner					Date		

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Signature	

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Substitute		1443/110

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known				
Application Number 11/948,965				
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	- 6					
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		EP 0 797 373	Sep., 1997								
		EP 0 824 298	Feb., 1998								
		EP 0 829 995	Mar., 1998								
		EP 0 841 831	May., 1998								

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Sheet

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known				
Application Number 11/948,965				
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ₆
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Sheet 14

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T
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		WO 97/47118	Dec., 1997			

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Sheet 17

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		US-			

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T€
		WO 97/50217	Dec., 1997			
		WO 97/50271	Dec., 1997			
		WO 97/46073 A2	Dec., 1997			
		WO 97/50277 A2	Dec., 1997			
		WO 98/04989	Feb., 1998			
		WO 98/11704	Mar., 1998			

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	1
Initials*	No.1		Date	Applicant of Cited Document	Where Relevant Passages	-
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	
		Wo 98/12860	Mar., 1998			
		WO 98/13974	Apr., 1998			
		WO 98/18238	Apr., 1998			
		WO 98/18289	Apr., 1998			
		WO 98/19425	May., 1998			
		WO 98/19445	May., 1998			

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Substitute		1443/110

Sheet

19

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATENT D		
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ₆
		WO 98/20701	May., 1998			
		WO 98/23067	May., 1998			
		WO 98/23080	May., 1998			
		WO 98/26543	Jun., 1998			
		WO 0 851 653	Jul., 1998			
		WO 0 853 411 A2	Jul., 1998			

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATENT D		
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Τ ^ε
		WO 98/28885	Jul., 1998			
		WO 98/30007	Jul., 1998			
		WO 98/30008	Jul., 1998			
		WO 98/34391	Aug., 1998			
		WO 98/34399	Aug., 1998			
		WO 98/36543	Aug., 1998			

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Sheet 21

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known				
Application Number	11/948,965			
Filing Date	November 30, 2007			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1D			

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials*		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³⁻ Number ⁴⁻ Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T,
		WO 98/37665	Aug., 1998			
		WO 98/37688 A2	Aug., 1998			
		WO 98/39897	Sep., 1998			
		WO 98/42104	Sep., 1998			
		WO 98/42107	Sep., 1998			L
		WO 98/42146	Sep., 1998			

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22

Complete if Known			
Application Number	11/948,965		
Filing Date	November 30, 2007		
First Named Inventor	Samuel F. Wood		
Art Unit	2614		
Examiner Name	Not yet known		
Attorney Docket Number	TEL-M-8801-1P-1D		

			U. S. PATENT [DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		FOREI	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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		WO 98/47256 A2	Oct., 1998			
		WO 98/51063	Nov., 1998			
Examiner				Date		

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Ex. 1007 YMax Corporation Page 236 of 294

Electronic Ac	knowledgement Receipt
EFS ID:	2546473
Application Number:	11948965
International Application Number:	
Confirmation Number:	3783
Title of Invention:	Branch Calling and Caller ID Based Call Routing Telephone Features
First Named Inventor/Applicant Name:	Samuel F. Wood
Customer Number:	32566
Filer:	Brian D. Ogonowsky/Edith Fuentes
Filer Authorized By:	Brian D. Ogonowsky
Attorney Docket Number:	TEL-M-8801-1P-1D
Receipt Date:	04-DEC-2007
Filing Date:	
Time Stamp:	21:47:51
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted wi	th Payment		no						
File Listing:									
Document Number	Document Description		File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)			
1	Information Disclosure Statement		EL-M-8801-1P-1D_IDS-Tra	109759		2			
I	Letter		ns.pdf	b100d5a5184b66f9643639f841be75bbf ea54736	no	2			
Warnings:						Ex. 1007			
Information					YMax Co	orporation			

Page 237 of 294

2	Miscellaneous Incoming Letter	Form-PTO-SB-0008A_pages	2168449	no	22
_		01-22.pdf	797b88882ded6e8df0b5593d850e3c92 70cb2436	110	
Warnings:					
Information	:				
		Total Files Size (in bytes)	22	78208	
similar to a <u>New Applic</u> If a new app 37 CFR 1.53 shown on th <u>National Sta</u> If a timely s of 35 U.S.C. application in due court <u>New Interna</u> If a new inter component Internationa course, sub	ed by the applicant, and including Post Card, as described in MPEP ations Under 35 U.S.C. 111 blication is being filed and the app 8(b)-(d) and MPEP 506), a Filing Re his Acknowledgement Receipt will age of an International Application submission to enter the national st . 371 and other applicable requirer as a national stage submission un se. ational Application Filed with the U ernational application is being filed s for an international filing date (s al Application Number and of the la bject to prescriptions concerning r	503. Dication includes the neces eccipt (37 CFR 1.54) will be I establish the filing date of <u>nunder 35 U.S.C. 371</u> rage of an international app ments a Form PCT/DO/EO/9 nder 35 U.S.C. 371 will be is <u>JSPTO as a Receiving Offic</u> d and the international appl ee PCT Article 11 and MPE nternational Filing Date (Fo national security, and the data	sary components for issued in due cours the application. lication is complian 03 indicating accept sued in addition to <u>e</u> lication includes the P 1810), a Notification prm PCT/RO/105) will	or a filing d e and the o t with the o tance of th the Filing I e necessary on of the I be issued	ate (see date conditions le Receipt,

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	Attorney Docket No.	TEL-M-8801-1P-1D	
PATENT APPLICATION	First Inventor	Samuel F. Wood	

F	PATENT APPLICATIO	N	First Inventor	Samuel F. Wood			
	TRANSMITTAL		Title	Branch Calling and Caller ID Based Call			
(Only for i	new nonprovisional applications under 37 CF	R 1.53(b))	Express Mail Label No.	Electronic Filing			
See MPEP o	APPLICATION ELEMENTS chapter 600 concerning utility patent applicat	ion contents.	ADDRESS TO:	Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450			
	ansmittal Form (e.g., PTO/SB/17) t an original and a duplicate for fee processir		АССОМРА	IYING APPLICATION PARTS			
2. 🖌 Applic	ant claims small entity status. 7 CFR 1.27.	(<u>y</u>)	9. D Assignment	Papers (cover sheet & document(s))			
3. Specifi Both th	ication [Total Pages e claims and abstract must start on a new pa	<u>26</u>] ige	Name of As	signee			
	rmation on the preferred arrangement, see MPEP 6 ng(s) (35 U.S.C. 113) [Total Sheets _						
	wly executed (original or copy)		10. 37 CFR 3.73((when there	b) Statement Power of is an assignee) Attorney			
(for	opy from a prior application (37 CFR 1. r continuation/divisional with Box 18 cor DELETION OF INVENTOR(S)		11. 🔲 English Tran	slation Document (if applicable)			
	Signed statement attached deleting inventory name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	(s)	12. Information I Copie	Disclosure Statement (PTO/SB/08 or PTO-1449) s of citations attached			
6. 🖌 Applic	cation Data Sheet. See 37 CFR 1.76		13. Preliminary A	mendment			
Çomp	DM or CD-R in duplicate, large table or outer Program <i>(Appendix)</i> .andscape Table on CD		14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
	e and/or Amino Acid Sequence Subn //e, items a. – c. are required)	nission	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)				
	Computer Readable Form (CRF) Specification Sequence Listing on:		16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent.				
i. [ii. [CD-ROM or CD-R (2 copies); or Paper		17. Other:				
c. 🔲	Statements verifying identity of above	copies					
	NUING APPLICATION, check appropri llowing the title, or in an Application Dat			on below and in the first sentence of the			
Contir	nuation <i> </i>	Continua	ation-in-part (CIP) of	prior application No.: 10/426,279			
Prior application i	information: Examiner <u>Cre</u>	ighton H. Smith	Art (Jnit: <u>2614</u>			
	19.	CORRESPON	DENCE ADDRESS				
The addres	as associated with Customer Number:	325	566	OR Correspondence address below			
Name	Brian D. Ogonowsky						
Address	Patent Law Group LLP 2635 North First St., Suite 223						
City	San Jose	State	CA	Zip Code 95134			
Country	USA	Telephone	(408) 382-0480	Email brian@patentlawgroup.com			
Signature	/Brian D Ogonowsky/		D	ate 2007-11-30			
Name (Print/Type)	Brian D. Ogonowsky			Registration No. (Attorney/Agent) 31988			

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Application Da	ata Sheet 37 CFR 1.76	Attorney Docket Number	TEL-M-8801-1P-1D
Application Da		Application Number	
Title of Invention	Branch Calling and Caller ID I	Based Call Routing Telephone F	eatures
bibliographic data arrar	nged in a format specified by the Un	ited States Patent and Trademark O	being submitted. The following form contains the ffice as outlined in 37 CFR 1.76.

This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Applicant Information:

Applic	cant	1									Remove	
Applic	ant	Authority 🖲	Inventor	gal Representativ	Representative under 35 U.S.C. 117				7 OParty of Interest under 35 U.S.C. 118			
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Addre	ess 2											
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Application Data Sheet 37 CFR 1.76			1.70	Application Number			r						
Title of Invention Branch Calling and Caller ID				ler ID E	Based Call	l Routir	ng Tele	ephone F	eatures				
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Application Information:

Title of the Invention	Branch Calling and Caller ID Based Call Routing Telephone Features							
Attorney Docket Number	TEL-M-8801-1P-1[)	Small Entity Status Claimed 🔀					
Application Type	Nonprovisional							
Subject Matter	Utility							
Suggested Class (if any)	ny) Sub Class (if any)							
Suggested Technology C	enter (if any)		· · · ·					
Total Number of Drawing	Sheets (if any)	11	Suggested Figure for Publication (if any)	10				
Publication Information:								
Request Early Publica	tion (Fee required	at time of Request	37 CFR 1.219)					
and certify that the inv	vention disclosed in	n the attached app	application not be published under 35 U.S.C. 1 lication has not been and will not be the subject agreement, that requires publication at eighteer	tofan				

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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	TEL-M-8801-1P-1D
		Application Number	
Title of Invention	Branch Calling and Caller ID I	Based Call Routing Telephone F	eatures
Customer Number	32566		

Domestic Priority Information:

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a) (4), and need not otherwise be made part of the specification.

Prior Applicati	on Status	Pending		Remove			
Application N	lumber	Continuity Type		Prior Application Num	iber Filing Da	r Filing Date (YYYY-MM-DD)	
		Division of		10426279	2003-04-30	2003-04-30	
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10426279 Continua		tion in part of	09565565	2000-05-04	6574328	2003-06-03	
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Application Number	Application Number Country i Parent Filing Date (YYYY-MM-DD)		Priority Claimed			
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Assignee 1	Assignee 1 Remove					
If the Assignee is an Organization check here.						
Organization Name T	elemaze LLC					
Mailing Address Inform	Mailing Address Information:					
Address 1	101 North First Street					
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City	Los Altos	State/Province	CA			
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Application Da	ita Sheet 37 CFR 1.76	Attorney Docket Number	TEL-M-8801-1P-1D
		Application Number	
Title of Invention Branch Calling and Caller ID B		Based Call Routing Telephone F	eatures

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Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.							
Signature	Signature /Brian D Ogonowsky/				2007-11-30		
First Name	Brian Last Name Ogonowsky			Registration Number	31988		

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES

Samuel F. Wood Jerry A. Klein Margaret Susan Asprey

CROSS REFERENCE TO RELATED APPLICATIONS

This application is a division of U.S. Application Serial No. 10/426,279, filed April
30, 2003, entitled "Branch Calling and Caller ID Based Call Routing Telephone Features,"
which is a continuation-in-part of U.S. Application Serial No. 09/565,565, filed May 4, 2000,
entitled "Telephone Call Control System for the Public Switched Telephone Network," now
U. S. Paten No. 6,574,328, both incorporated herein by reference.

FIELD OF THE INVENTION

15 This invention relates to telephone services and, in particular, to a system for allowing a subscriber to select features of the subscriber's telephone service and to various novel features that can be selected.

BACKGROUND

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People have used various means for limiting interruptions due to the telephone. In the
past, people used switchboards and secretaries to screen incoming, or inbound, calls. Voice
mail systems took over some of this role both in the home and in the central office. Today,
there are web-based companies managing 3rd-party call control, via the toll-switch network,
which allow users to enter call control information through a web portal. There are also edge
devices in each of the public telephone company's central offices which provide local control,
but offer an extremely limited number of features and do not provide true 3rd-party call
control.

The web-based toll systems provide good user interaction but they are not economical and cannot take advantage of local number portability because they do not provide local control and connectivity. The Public Switched Telephone Network (PSTN) consists of a plurality of edge switches connected to telephones on one side and to a network of tandem switches on the other. The tandem switch network allows connectivity between all of the edge switches, and a signalling system is used by the PSTN to allow calling and to transmit both calling and

5 called party identity.

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Until now, optional features were provided by the local service telephone company (telco) through the edge switch at the central office (CO). It was not possible to provide optional features through any other means. Control of these features was done through the first party (calling party) or the second party (called party), or worse yet, manually by calling the business office.

In the past, numerous devices have been built that allow the connection of two lines together at an edge switch. These devices can be used to add features to a telephone network by receiving a call on one line and then dialing out on another line. The problem with these devices is that, because they are connected through an edge switch, transmission losses and impairments occur, degrading the overall connection. In addition, signalling limitations

prevent full control, by the subscriber or the system, over the call.

A preferred embodiment of the inventive system described herein connects at the tandem, thereby eliminating these problems.

In the edge devices residing in the PSTN central offices, the 1st party (the calling 20 party) has numerous features available (dialing options). The 2nd party (called party) also has options available such as call forwarding, but these features typically require access from the first or second party's device and are extremely awkward to program. The user interaction is not only awkward, it is limited and requires interaction with the telephone company to provision them. In other words, past systems for provisioning, meaning addition,

25 modification, or control of telephone features, required a subscriber to make the feature selection through the telephone business office. Central office workers would then implement the provisioning under request of the business office.

Call Forwarding is one popular provision. There is signification transmission degradation for Call Forwarding to take place. The calling party pays for a call to the edge

device, and the edge subscriber, the called party, pays for the call to the forwarding number. For enhanced inbound call control to occur, a direct 3rd-party call control means is needed.

A variety of services have arisen to address the problems mentioned above. Many of these systems allow the called party to make changes to his/her call forwarding attributes which do not allow direct 3rd-party call control. These services provide good user interaction,

some via the internet, but they rely upon the toll network through the use of "800" numbers.
This requires the subscriber to pay by the minute and does not allow the subscriber to take
advantage of number portability in order to obtain 3rd-party call control. There are other toll
network mechanisms for remote call forwarding. For example, MCI offers a service where

- 10 the customer can remotely change the forwarding target number for "800" numbers. Contacting the ultimate end-user before terminating the first incoming call is similar to the manner in which "800" credit calls and collect calls are processed, but these are not done at the local subscriber level.
- In addition to these toll services, there are edge devices that perform some of the same services. Edge devices such as phones and PBXs that include voice mail, inter-active voice response, call forwarding, speed calling, etc., have been used to provide additional call control. These devices allow the phone user direct control over incoming and outgoing calls. The disadvantage of edge devices is that they add cost, degrade voice and transmission quality, can be difficult to program, are not easily programmed remotely, can require the user
- 20 to pay for two lines, provide lower quality of service, and cannot provide the same level of functionality as a system that controls the PSTN directly. There are Voice Over Internet Protocol (VoiP) products emerging that provide better user interfaces and control but they do not take advantage and voice quality of the PSTN.

25 <u>SUMMARY</u>

5

A system for allowing a subscriber to remotely control features is described herein along with various telephone features that may be programmed into the system. A subscriber may be any customer using the telephone service, in contrast to employees of the PSTN who may use special communication networks within the PSTN. Two such features are caller ID

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(CID) based call routing and branch calling. The system allows the subscriber to set up a feature where the CID signal is detected within the PSTN and automatically associated with stored information relating to the caller. The stored information may have been previously entered into a memory within the PSTN by the subscriber via the world wide web. The CID signal may be also used to route the call to one of more forwarding numbers or to take any

5 signal may be also used to route the call to one of more forwarding numbers or to take any other action, such as blocking the call. This feature also allows the subscriber to use the CID signal to display certain information even though the caller may have her CID blocked.

Another feature described herein is referred to as branch calling, which allows a call to be forwarded to multiple telephones simultaneously, where the first telephone answered terminates the calling of the other telephones (or any other end units).

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The preferred system described herein adds direct control of third party call control features, but does not suffer from any of the disadvantages listed above, and allows the subscriber to manage his/her telephone system in a dynamic and exceptionally useful manner that is not currently available through the existing PSTN. The system allows enhanced direct

- 15 third-party call control features, such as selective call routing and remote dialing, to be added to the PSTN (Public Switched Telephone Network) using local call control and providing dynamic provisioning of the system by the subscriber. Direct 3rd-party control means that the ability to provision the 3rd-party features is directly available to a subscriber, eliminating the need to go through the telephone company (telco) business office.
- In one embodiment, the system includes a processor (referred to herein as a tandem access controller) connected to the PSTN which would allow anyone to directly provision, that is to say set-up and make immediate changes to, the configuration of his or her phone line. In another embodiment, a tandem access controller (TAC) subsystem is connected internally to the PSTN in a local service area. The TAC provides features, selected by the subscriber, to all edge switches connected to the PSTN tandem switch. Connecting directly to the PSTN tandem switch (or embedding the system into the tandem switch) eliminates the signal degradation problems previously described.

In one embodiment, the system allows provisioning of features via the internet under direct control of the subscriber. Recently, several products have been introduced that provide a means of controlling features via the public internet. However, all these devices fall short in

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that they require the subscriber to obtain an "800" number or some other number that requires the subscriber to pay a toll charge each time a call is made. The system connects locally, so no toll charges are incurred.

The offered features are implemented by software programs run by the processing 5 system.

The web-enhanced services in one embodiment of the invention coexist with and overlay the local phone service at the local level, thereby providing good economics and user interaction, single number access to multiple subscriber devices, connectivity without transmission impairments and true, direct 3rd-party call control.

10 The present system relies upon use of local telephone facilities thereby eliminating all the extra charges associated with making toll calls. It also allows the user to take advantage of number portability and keep his/her existing public phone number.

BRIEF DESCRIPTION OF THE DRAWINGS

15 Fig. 1 illustrates the tandem access controller (TAC) in one embodiment of the present invention connected to the existing PSTN tandem switch, the TAC providing features for the subscriber's telephone as requested by the subscriber via the web.

Fig. 2 illustrates a system similar to Fig. 1 but showing multiple tandem switches and TACs and also showing how the subscriber may, in additional to using the standard
telephone, make phone calls using Voice Over IP via a conventional digital telephone.

Fig. 3 is a flowchart of one method that a person may use to set up a subscriber account and to designate features the subscriber would like for his/her telephone.

Fig. 4 is a flowchart of a method that can be performed by the TAC in response to the subscriber (or other service) controlling the TAC, using the web (or other packet-based system), to change the subscriber's telephone provisioning or perform another function, such

as make a VoIP call.

25

Fig. 5 is a flowchart of a method that can be performed by the TAC in response to an inbound call to the subscriber.

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Fig. 6 is a flowchart of a method performed by the subscriber and the TAC when the subscriber desires to make an outbound call via the web or using a conventional telephone.

Fig. 7 illustrates a system, using the TAC, that allows wireless cell phones to obtain the same provisioning options as the conventional telephones.

Fig. 8 illustrates a system, using the TAC, that allows fax and modem calls to benefit from the provisioning offered by the TAC.

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Fig. 9 is a flowchart of possible scenarios using the caller ID based feature.

Fig. 10 is a flowchart of possible scenarios using the branch calling feature.

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DETAILED DESCRIPTION OF THE EMBODIMENTS

Fig. 1 shows a tandem access controller (TAC) 10 that allows an authorized subscriber 12 to establish 3rd-party control criteria for calls to the subscriber's telephone 14 (having a "public" phone number that callers dial). In one embodiment, the TAC 10 is a

5 programmed processor. The TAC 10 may use any combination of hardware, firmware, or software and, in one embodiment, is a conventional computer programmed to carry out the functions described herein.

The TAC 10 is connected to or inside the conventional PSTN tandem switch 16 such that calls may flow through the TAC 10 in the same manner as the existing PSTN tandem switch, except that additional 3rd-party features are applied to the call. As is well known, PSTN tandem switches are exchanges that direct telephone calls (or other traffic) to central offices 17,18 or to other tandem switches. Details of the operation of the existing phone network may be found in the publication entitled "New Net SS7 Tutorial," by ADC Telecommunications, copyright 1999, incorporated herein by reference. Additional details

15 may be found in the numerous books describing the PSTN.

The PSTN tandem switch 16 directs a first call (from the calling party 20 to the subscriber's phone 14 using the subscriber's public phone number) to the TAC 10, which in turn places a second call, subject to 3rd-party control information, to the subscriber's "private" phone number without yet terminating the first call. The TAC 10 is connected

- 20 within the subscriber's local service area so calls from TAC 10 to the subscriber do not incur a toll. When the subscriber 12 terminates (or answers) the second call, the TAC 10 terminates the first call and connects it to the second call, thereby connecting the calling party 20 to the subscriber 12. Hence, the calling party essentially calls the TAC 10, using the subscriber's public phone number, and the TAC 10, after processing the call using the selected features,
- 25 calls the subscriber, as appropriate, using the subscriber's private phone number and connects the two calls. The process is transparent to the parties.

The TAC 10 is connected inside the PSTN in the sense that it is not an edge device such as a PBX or central office (CO) switch because it does not connect directly to subscribers. Rather, it redirects calls to subscribers. The TAC 10 provides intelligent

30 interconnection between a calling party and a subscriber.

The reader should keep in mind that although only one tandem switch 16 is shown in Fig. 1, the invention will apply equally well to a network of tandem switches, as shown in Fig. 2. Fig. 2 also illustrates how the subscriber can make calls using voice over IP via a conventional digital telephone 21.

Fig. 1 illustrates the preferred method for an authorized subscriber to modify the 3rdparty control criteria by means of the world wide web 22 (and web server 23) using an internet browser. By "authorized" we mean a subscriber who is registered and has logged- in with appropriate security and password controls. The subscriber 12 interacts with the web 22 via the Internet to quickly and easily specify the enhanced 3rd-party call control features.

- 10 Web 22 then relays this information, in appropriate form, to the TAC 10. Preferably, the link to the TAC 10 uses a secure protocol. Examples of features that can be selected by the subscriber include: conditional call blocking, call forwarding, call altering, time of day conditions, day of week conditions, follow-me, caller recognition/password, caller ID, call screening/retrieval from voice mail, speed dialing, interactive voice response, and speech 15 recognition. Any other feature could be added. These features can be implemented in the
- TAC 10 using known software techniques since such features are known. Message outgoing call control includes: click-to-dial calling and group calling/messaging.

The invention may also include ivr/vm/voverip.

Fig. 1 uses a public internet portal connected via a data link to the TAC 10 or other interface system. As a registered subscriber, a user logs onto the portal (Fig. 3) and is granted access, allowing the user to make additions or changes to features such as speed calling, call forwarding, selection of such descriptors as time of day, busy status, caller ID status, etc. A user-friendly web page leads the subscriber through the various procedures and available features. The selections made by the subscriber are translated into provisioning data and transmitted to the TAC 10. The TAC 10 in turn keeps track of incoming and outgoing calls

based on this information.

The subscriber can also program a set of the call control features via a telephone link in the event a data link connection is unavailable.

Fig. 4 is a flowchart of actions that may be taken by the TAC 10 in response to the 30 subscriber (or other service) controlling the TAC, using the web or other packet-based system, to change the subscriber's telephone provisioning or perform another function, such as make a VoIP call.

Fig. 5 is a flowchart of actions taken by the TAC 10 in response to an inbound call (using the subscriber's public phone number) to the subscriber. Examples of some of the actions taken by the TAC 10 are:

Receives SS7 data indicating an incoming call Stores phone numbers downloaded from provisioning system Charts identity of calling party

Checks time of day

 10
 Stores lists of numbers in groups used for processing incoming calls

 Places outgoing calls in response to incoming calls according to information downloaded on the data link.

Incoming call data is received by the TAC 10 from the tandem switch 16. The TAC 10 processor checks calling and called numbers, class of service, time of day, number lists,

- 15 etc. In some cases additional data is gathered from the calling party via a DSP (Digital Signal Processing) system and stored in the system memory. The DSP system is used to play call progress tones and voice announcements as required. Voice announcements can be played through the DSP system. In response to the call data, an outgoing call to the subscriber 12 may be placed back through the tandem switch 16 by TAC 10. The TAC 10 links the two
- 20 calls and monitors the connection.

Information about the call may be collected by the TAC 10 and sent to the subscriber or a 3rd party for display. Such information may be the length of the call or information used to bill the subscriber for the use of the system. The provisioning system can also collect control information from a 3rd party and relay it back to the TAC 10, which will then affect the call accordingly

the call accordingly.

5

Fig. 6 is a flowchart of actions taken by the subscriber 12 and the TAC 10 when the subscriber desires to make an outbound call via the web or using a conventional telephone.

When using the web to place a call, the subscriber may simply click a name on the computer screen 26 using a mouse.

Fig. 7 illustrates a system, using the TAC 10, that allows wireless cell phones 28 to obtain the same provisioning options as the conventional telephones 14. A local cell 30 and a cell switch 32 are also shown in Fig. 7.

Fig. 8 illustrates a system, using the TAC 10, that allows fax and modem calls to benefit from the provisioning offered by the TAC 10. The TAC 10 may interface the ISP 36 through the web 22.

One embodiment of the invention allows a subscriber to view the current state of his/her telephone via the Internet. Internet is a term of art by which we mean an interconnection of packet switched networks. Prior to this system there was no way for a user to examine the status of a telephone line. Recently, several products have been introduced that provide a means of examining the voice message boxes.

An internet portal is connected via a data link to the TAC 10. When a user logs onto 15 the internet portal and is granted access to an individual subscription, the user can examine the status of calls/features. This information is transmitted from the TAC 10 to the web portal and translated into user viewables. The TAC 10 keeps track of incoming and outgoing calls based on this information.

The TAC 10 may be implemented using conventional processor hardware. The connection to the tandem switch 16 may be as simple as a telephone circuit, since the TAC 10 receives an incoming call from a caller and processes the call. Devising the software/firmware use to control the TAC 10 is well within the capability of those skilled in the art since the various control features that can be made available are generally already known.

25 Certain advantages that can be obtained using the invention include the following:

Web-Based Telecom Navigator

5

Manage Incoming Call Control

Conditional Call Blocking/Forwarding/Alerting

- Time-of-Day, Day-of-Week, Follow-Me, Caller Recognition/Password, Caller ID, etc.
- Call Screening/Retrieval from Voice Mail
- Interactive Voice Response and Speech Recognition
- 5 Manage Outgoing Call Control
 - Click-to-Dial Calling
 - Group Calling and Messaging

Web-Based Billing

10 Web-Driven Personal Communications Management

Cost-Effective Single Phone Number Access

On-Line "Personal Digital Assistant"

On-Line "Telcom Navigator"

Inspired User Interaction

15 Secure and Reliable Technology

Cost-Effective Single Phone Number Access

CLEC Status

20

- Free Local Calls, Incoming Calls (not 800 Toll Service)
- Retain Current Number (Local Number Portability)
 - Low-Cost Calling Throughout LATA
 - Flat-Rate Foreign Exchange
 - Single Installation Covers Entire LATA
 - VoIP Toll-Bypass

Compatible With Existing Devices, Standards

- Standard DTMF and VoIP Phones
- Wireless Phones
- Standard Wired/Wireless and PIM Browsers

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Web-Based Personal Digital Assistant

Centralized and Consistent Personal Data

- Build Once, Use Anywhere
- Private/Public Phone Directories and Calendars
- "Post-It" Style Annotation of Numbers

Web Dialing

- Click-to-Dial from Web Pages, Directories, Calendars
- Multiple Phone List Management

Unified Messaging

• Voice Mail Access, Prompts, Alert Via Web

User Interaction

Expected Behavior

- Compatible with Familiar Products (e.g. Palm Pilot)
- Commonality Between All Wired and Wireless

Mode-Based Definition and Selection

- Vacation, Dinner Time, Go Away, Family Call Waiting
- Templates

Learning Modes

- Persona-Based User Interaction Design
- Speech recognition
- Windows drag and drop

Automatic Data Capture

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- Build Phone List Based on Collected Usage Information
 - Drag and Drop Into Lists

Secure and Reliable Technology

Separate Web-Site and Link Gateway

- No Direct External Access to Gateway
 - Additional Security Layer
 - No Denial-of-Service to Voice Links

VoIP Link Degradation Detection

• Automatic Cutover to PSTN

15 E-Commerce Security

Billing Encryption

Caller ID Based Call Routing

One advantage of using TAC 10 is its ability to enhance caller ID information. Caller 20 ID is a common feature where a calling party's telephone number is transmitted to the called party's telephone so it can be displayed on a small display screen in the telephone. This caller ID information is provided by the calling party's central office switch. Signaling System No. 7 (SS7) is a global standard for telecommunications and defines the procedures and protocol by which network elements in the PSTN exchange information (including the

caller ID) over the telephone network for call set up, routing, and control. In some telephone

sets, including wireless telephones, the name of the caller associated with the telephone number is also displayed on the called party's display screen.

TAC 10 can use this automatically generated caller ID signal to provide an enhanced set of caller ID related features. One such feature is the association of the standard caller ID
information with additional information about the caller stored in a memory addressed by TAC 10. The enhanced caller ID information provided through TAC 10 provides a valuable tool to the subscriber in handling incoming calls. The basic caller ID information, such as the caller's telephone number and name, can still be sent to the subscriber's phone and displayed in a conventional manner while the enhanced caller ID information may be
displayed on the phone display or on the subscriber's computer monitor via the web.

The caller ID signals, pursuant to the SS7 protocol, are detected by TAC 10 when a calling party calls the subscriber using the subscriber's public telephone number, as previously described. TAC 10 then uses the basic caller ID data to address a look-up table (LUT) containing any additional information that the subscriber has entered into the LUT's memory locations for association with that caller ID data. Fig. 8 shows such a LUT 40

within or connected to TAC 10.

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In one example, the subscriber may identify a prospective calling party's telephone number to TAC 10 via the Internet and then associate the number with any other information for storing in LUT 40. Such other information may be all the possible callers using the calling telephone, personal information regarding the calling party, billing information, business information, account numbers, past discussions with the caller, or any other information. When TAC 10 detects the caller ID signals, TAC 10 addresses LUT 40 and downloads the retrieved information to the subscriber's telephone display or to the subscriber's computer via the web. Since TAC 10 (including LUT 40) stores this additional

25 information, the subscriber is not required to personally provide processing or memory devices for this feature.

Multiple subscribers use the same TAC 10 and LUT 40 but only the memory locations in LUT 40 authorized for access by a particular subscriber are available to that subscriber.

A subscriber may program TAC 10 using the various means described previously to perform any number of features on an incoming telephone call based upon the caller ID data. Such features include forwarding a call associated with that particular caller ID data to one or more other telephones, or blocking calls associated with that particular caller ID data. Such

calls may be forwarded or blocked only at certain times or on certain days as requested by the subscriber. All of the other features previously described may also be applied based upon the caller ID.

5

When the calling party elects to block her caller ID information, displaying the caller's number and name on the subscriber's telephone may violate the privacy act, so such
a restriction should be programmed into the system. However, TAC 10 may still use the caller ID information for various legal purposes. For example, the subscriber may not wish to receive phone calls from a particular phone number or calling party. The subscriber may transmit to TAC 10 the caller ID information (e.g., the telephone number and/or the name) and instruct TAC 10 to either forward the call, block the call, or transmit any additional
information from LUT 40 to the subscriber's phone display or computer monitor for screening the caller.

If caller ID information does not exist, such as where the local telephone company does not offer caller ID, TAC 10, when receiving the incoming call, can transmit an automatic message to the caller to enter identification information. TAC 10 then uses that 20 information to address LUT 40 to identify any associated information in LUT 40 for transmission to the subscriber. TAC 10, in a recorded or simulated voice, can request the caller to enter her phone number via the telephone keypad. Alternatively, TAC can request that the caller speak her name or number, which would then be played to the subscriber or

25 a personal identification number or any other type of code (e.g., the caller's name) via the keypad, which would identify the caller to TAC 10. Once obtained, the caller ID information entered can be used to route the incoming call via TAC 10 in any way programmed by the subscriber. Call routing can be based on time of day, the caller ID, any web input instructions, a direction by the calling party itself, or any other variable.

converted to text or a code by TAC 10 to address LUT 40. Alternatively, the caller can enter

This technique is contrasted with 800-type services, which are reverse long distance services requiring the owner of the 800 number to pay for the incoming call. With 800 numbers, the caller ID must be unblocked to identify the amount of the toll. With the inventive technique, even blocked caller ID calls can result in information about the caller being transmitted to the subscriber or used by TAC 10 to selectively perform a function.

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Fig. 9 is a flowchart of various scenarios that may be carried out using the caller ID feature.

In step 50 of Fig. 9, an incoming call is received by TAC 10, as previously described, by a calling party calling the subscriber's public telephone number. In all embodiments
described herein, the end unit called may be a residential telephone or other communication device connected to the PSTN via a central office, such as a computer, fax machine, or other communication device. The services provided by TAC 10 may be for residential telephone service or for business telephone service.

In step 52, using the SS7 protocol, TAC 10 detects the caller ID signal (CID), if any.
Even if the calling party has a blocked CID, the CID is still transmitted to TAC 10; however the blocked caller ID cannot be displayed on the called party's telephone. If the CID is detected, the process continues to steps 54 and 56, which determine whether the CID is associated with any data in a look-up table. Existing data in the look-up table associated with the CID indicates that the caller is a previous caller. If caller ID information is known without ever previously receiving a call from that party, the additional information can still

be entered into the look-up table, and the calling party will be treated as not a new caller in step 54.

Assuming the caller has information stored in the look-up table, this additional information is retrieved by TAC 10 and displayed on a web page (step 58) that is accessible by the subscriber via the web. In addition, the caller's name and telephone number may also by displayed (step 60). The information may also be transmitted to the subscriber's telephone for display.

In step 62, TAC 10 performs any programmed function on the call, such as forwarding the call to the subscriber's private telephone number or another number.

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The placing of the second call by TAC 10 causes the called phone to ring (step 64) as well as causes the CID and additional information to be available to the subscriber (step 66) on the subscriber's telephone display. When the subscriber answers the phone, TAC 10 completes the connection between the two parties.

The retrieved information from LUT 40 that is transmitted over the web may appear as a screen pop-up on the subscriber's monitor. This CID information can then be reviewed and edited to include new information about the caller provided during the call. The subscriber then downloads this edited information to TAC 10 so future calls from the same caller would display the new information.

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In step 54 if it is determined that the CID information is not associated with any existing information in the look-up table, TAC 10 determines whether the CID is blocked (identified in the SS7 protocol) in step 68. If the CID is not blocked, then the CID information is transmitted to the subscriber's telephone when TAC 10 places the call to the subscriber's private number (or any other forwarding number), and the CID is displayed on the subscriber's phone.

In step 68, if it is determined that the CID is blocked, TAC 10 will prompt the caller, via a recorded message or a simulated voice, to press the appropriate touch tone buttons to unblock the CID (step 70). For example, TAC 10 may be programmed to detect that a "1" key is pressed by the caller to unblock CID and then treat the CID information as unblocked. Alternatively, the caller may be required to call back after pressing the proper touch tone keys to unblock the CID in a conventional way.

In step 72, if the CID is now unblocked, the CID is obtained from the caller in step 74. The process also goes to step 74 if, in step 52, the CID is not initially obtained.

In step 76, TAC 10 determines whether the CID signal has been provided by the calling party from either the automatic CID signals or from the caller manually entering the caller's telephone number, name, or PIN, as previously described. If yes, then in step 54 TAC 10 uses the CID information to determine whether the caller is a new caller, and the remainder of the process continues as previously described.

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In step 72, if it is determined that the CID remains blocked after TAC 10 has prompted the caller to unblock the CID, then in step 74 it is determined by TAC 10 is step 77 (after reviewing the subscriber's programmed instructions) whether the subscriber is accepting blocked calls. If yes, TAC 10 then places a call to the subscriber's private number or any other number identified by the subscriber, and puts the blocked call through. The blocked CID information would not be transmitted to the subscriber's phone.

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If the subscriber's instructions are to not accept blocked calls, then in step 78 the blocked call is not forwarded to the subscriber's phone, or the blocked call is sent to voice mail. Voice mail may be a memory internal to TAC 10, or TAC 10 may transmit a special code to the subscriber's phone that automatically causes the call to be routed to a private voice mail system.

As seen, as long as the caller ID data received by TAC 10 has information associated with it in the look-up table, the stored information can be transmitted to the subscriber even if the caller ID is blocked. Further, even blocked caller IDs can still be used by TAC 10 to perform a routing function on the call. The caller ID feature may be implemented by a software program run by the processing system in TAC 10.

Because the conventional blocked CID information provided by the phone company is never displayed to the subscriber, the tagging system does not violate the privacy act. Known features such as call trace (where CID is provided to law enforcement people), or call return (where the blocked caller can be called back) have established a legal precedent that it is ok to use blocked CID information for certain purposes as long as the caller ID is not disclosed to the called party

Branch Calling

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Branch calling is an enhanced telephone feature not believed to be provided on today's public telephone networks. This feature can be easily provided using TAC 10.

Branch calling is a technique where a caller places a first call intended for a called party to TAC 10. After receiving the call, TAC 10 looks up the call handling instructions programmed into TAC 10 by the subscriber via the web, via the telephone, or via any other technique. One set of these instructions is branch calling, which instructs TAC 10 to simultaneously call any number of different telephone numbers programmed into TAC 10 by the subscriber. The called phone numbers may be any combination of local, long distance, or cellular numbers.

When a party answers one of the ringing lines, the answering party is connected to the calling party, and the other calls are abandoned.

For branch calling to operate in the most desirable manner, the system must detect that a call has been answered in order to terminate the calls to the other telephones (or other end units). Accordingly, some form of answer supervision must be present. Answer supervision is implemented inside the PSTN but generally not available to private networks (e.g., PABXs). Since SS7 signaling supports answer supervision, it is easy for this branch calling feature to be provided through TAC 10 since TAC 10 is connected inside the PSTN.

Prior art systems without answer supervision must call each forwarding number sequentially, whereby after a certain number of preprogrammed rings, the calling stops and
15 the next number is called until someone answers the phone. Because a ringing time-out must occur before the next call can be tried, an unrealistically long delay can occur before the call is placed to the proper telephone and finally answered. In contrast, the present invention allows TAC 10 to ring all the numbers simultaneously so the call can be answered quickly.

It is desirable that the answer supervision signaling not be delayed so that the calling and called parties may be connected quickly when the call is answered and so that during the delay time two parties do not answer two different ringing phones.

Fig. 10 is a flowchart of some scenarios in branch calling, whereby an incoming call to TAC 10 causes TAC 10 to place at least two new calls simultaneously and, when one of these phones is answered, the remaining calls are abandoned.

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In step 80 of Fig. 10, TAC 10 receives an incoming call.

In step 82, TAC 10 looks up the routing instructions for the DNIS (Dialed Number Identification Service) and caller ID (if any). The DNIS identifies the number that was called, and the caller ID (CID) identifies the calling telephone number and sometimes the caller. DNIS works by transmitting the touch tone digits to TAC 10. A subscriber for TAC 10 may

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program TAC 10, as previously described, to perform any number of functions based upon the DNIS number, the CID, the time of day, or based upon any other factor. Such instructions may be stored in a look-up table addressed by the subscriber's public phone number (identified by the DNIS number). Since multiple subscribers will be using the same

5 TAC 10, TAC 10 needs to know what number was dialed in order to perform the function on the call selected by the subscriber.

In step 86, TAC 10 identifies the features to apply to the incoming call. If the instructions are to block the call, then TAC 10 blocks the call in step 87. In the present example, it is assumed that the feature the subscriber wants to apply is a branch calling feature where two telephone numbers are to be called by TAC 10.

In step 88, TAC 10 generates a ring back tone to the caller to indicate that a telephone is ringing.

In step 90, TAC 10 places a call to a first telephone number, which causes the called phone to ring (step 92). Parallel operations are performed for a second phone number in steps 93 and 95.

In step 96, it is determined by TAC 10 whether the first phone has been answered using answer supervision signaling provided by SS7 (step 98).

In response to the answer supervision signaling, TAC 10 abandons the other call to the second telephone (step 100).

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In step 102, TAC 10 completes the phone call by connecting the calling party to the answered telephone. This process may be applied to other than telephones, such as computers or other types of communication equipment.

In step 96, if the call is not answered after the ring, it is determined whether the other telephone has been answered (step 104). If not, the two phones continue to ring.

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If it is determined in step 104 that another telephone has been answered (i.e., the answer supervision signal has been received by TAC 10), the call to the first telephone is abandoned (step 106).

The same operation is performed with respect to the second telephone call in steps 108-113.

Any number of telephone calls may be simultaneously placed by TAC 10 in response to a branch calling instruction.

In step 86, if the instructions programmed by the subscriber are to block the call, then TAC 10 blocks the call in step 87.

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While particular embodiments of the present invention have been shown and described, it will be obvious to those skilled in the art that changes and modifications may be made without departing from this invention in its broader aspects and, therefore, the appended claims are to encompass within their scope all such changes and modifications that fall within the true spirit and scope of this invention.

CLAIMS

What is claimed is:

1. A method performed by a processing system comprising:

receiving a call from a calling party, using an end unit, to a subscriber;

placing two or more calls simultaneously to two or more associated end units designated by the subscriber;

detecting that the call has been answered at one of the end units; and

in response to the detecting, abandoning other calls to other end units and establishing a connection between the calling party's end unit and the answered end unit.

2. The method of Claim 1 wherein the processing system is connected within the public switched telephone network (PSTN).

3. The method of Claim 1 further comprising:

detecting first information about the source of the call;

associating the first information with a feature, selected by the subscriber, to be performed on the call, the feature being to forward the call to two or more end units; and

simultaneously placing the call to the two or more end units.

4. The method of Claim 1 wherein the two or more calls to the end units are any combination of local call, long distance call, and cellular call.

5. The method of Claim 1 wherein, when one of the calls to the end units is answered, an answer supervision signal is transmitted to the processing system, and the processing system terminates the other calls.

6. The method of Claim 5 wherein the answer supervision is pursuant to the SS7 signaling protocol.

7. The method of Claim 1 wherein the processing system is connected to a PSTN tandem switch within the PSTN, and wherein receiving a call from a calling party comprises:

receiving a first call through the tandem switch from the calling party intended for the subscriber after the calling party has enter a first telephone number, the first telephone number being the subscriber's public telephone number, said processing system simultaneously placing at least second and third calls using second and third telephone numbers different from the first telephone number.

8. The method of Claim 1 wherein the number of calls simultaneously placed by the processing system is two.

9. The method of Claim 1 wherein the number of calls simultaneously placed by the processing system is three.

10. A controller connected to a public switched telephone network (PSTN) comprising:

a processing system, the processing system programmed to perform the process comprising:

receiving a call from a calling party, using an end unit, to a subscriber;

placing two or more calls simultaneously to two or more associated end units designated by the subscriber;

detecting that the call has been answered at one of the end units; and

in response to the detecting, abandoning other calls to other end units and establishing a connection between the calling party's end unit and the answered end unit.

11. The controller of Claim 10 wherein the processing system is connected within the public switched telephone network (PSTN).

12. The controller of Claim 10 wherein the processing system is programmed to perform the process comprising:

detecting first information about the source of the call;

associating the first information with a feature, selected by the subscriber, to be performed on the call, the feature being to forward the call to two or more end units; and simultaneously placing the call to the two or more end units.

13. The controller of Claim 10 wherein the two or more calls to the end units are any combination of local call, long distance call, and cellular call.

14. The controller of Claim 10 wherein, when one of the calls to the end units is answered, an answer supervision signal is transmitted to the processing system, and the processing system terminates the other calls.

15. The controller of Claim 10 wherein the processing system is connected to a PSTN tandem switch within the PSTN, and wherein receiving a call from a calling party comprises:

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receiving a first call through the tandem switch from the calling party intended for the subscriber after the calling party has enter a first telephone number, the first telephone number being the subscriber's public telephone number, said processing system simultaneously placing at least second and third calls using second and third telephone numbers different from the first telephone number.

16. The controller of Claim 10 wherein the processing system is connected to the PSTN in the subscriber's local service area.

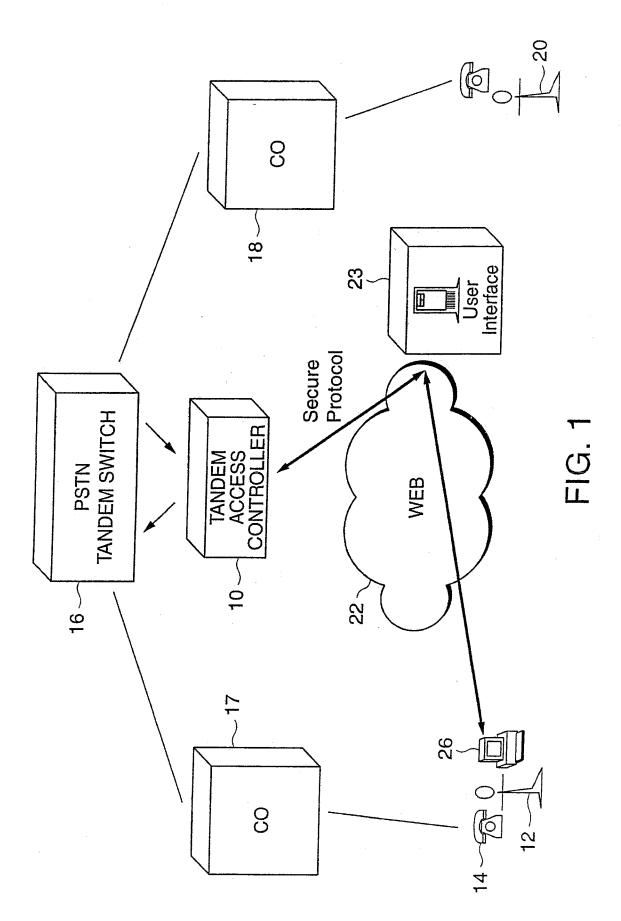
17. The controller of Claim 10 wherein the subscriber is a subscriber of residential telephone service.

BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES

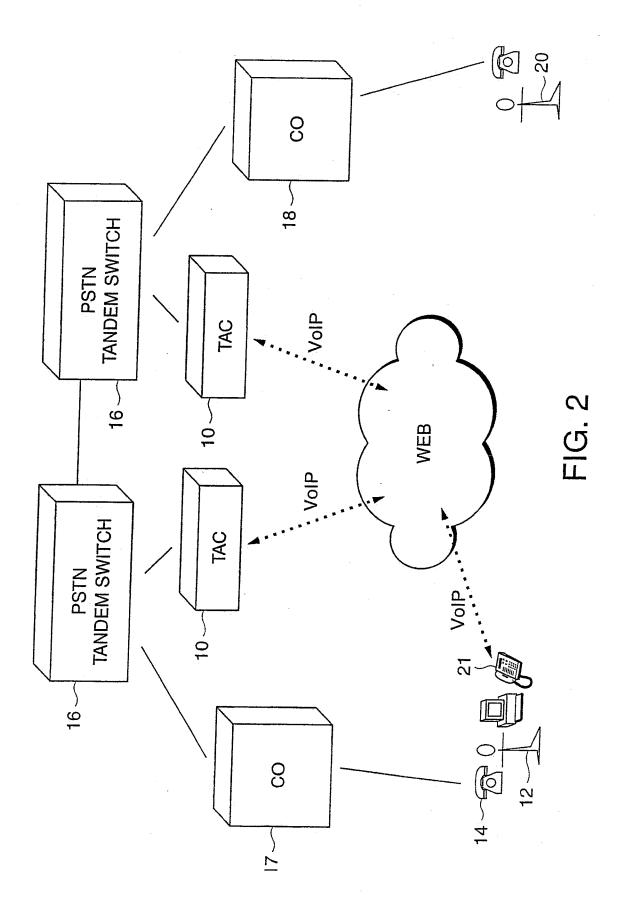
5 ABSTRACT OF THE DISCLOSURE

A caller ID based call routing feature is described for blocked and non-blocked caller ID's. A processing system in the public switched telephone network (PSTN) receives first identifying information for identify the source of a telephone call and associates additional information stored in a memory with the first identifying information. The additional

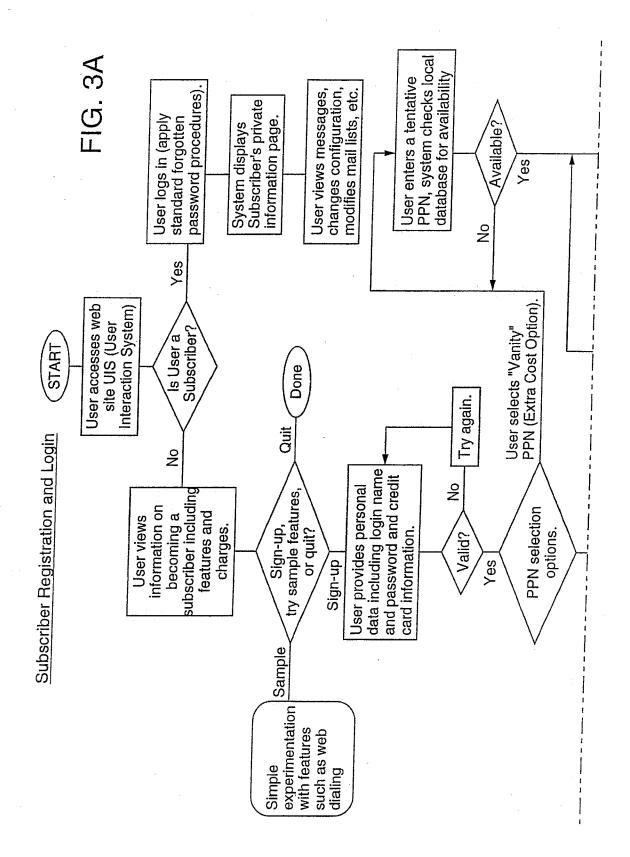
- 10 information may be information about the calling party initially downloaded to the memory by a subscriber. Once retrieved from the memory by the processing system, the additional information may then be transmitted to the subscriber via the Internet for display on a monitor or to the subscriber's telephone for display on a telephone display. Another feature described is a branch calling feature where the subscriber may program a processing system
- 15 within the PSTN to forward an incoming call to two or more end units (e.g., telephones) simultaneously. If the call at an end unit is answered, answer supervision signaling is transmitted back to the processing system which then terminates all other calls. The processing system then connects the calling party to the subscriber. The branch calling may be made for any combination of local, long distance, and cellular telephone numbers.



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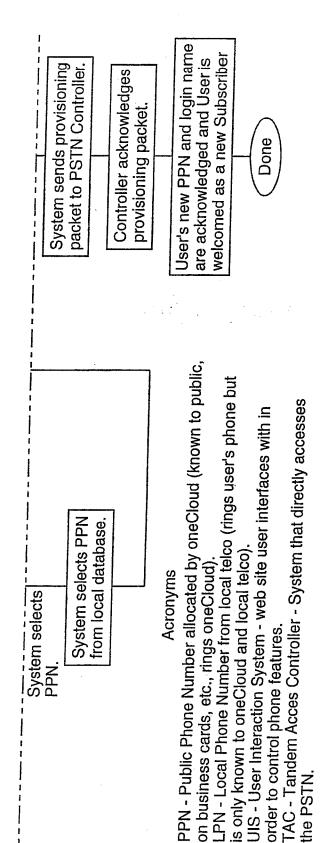


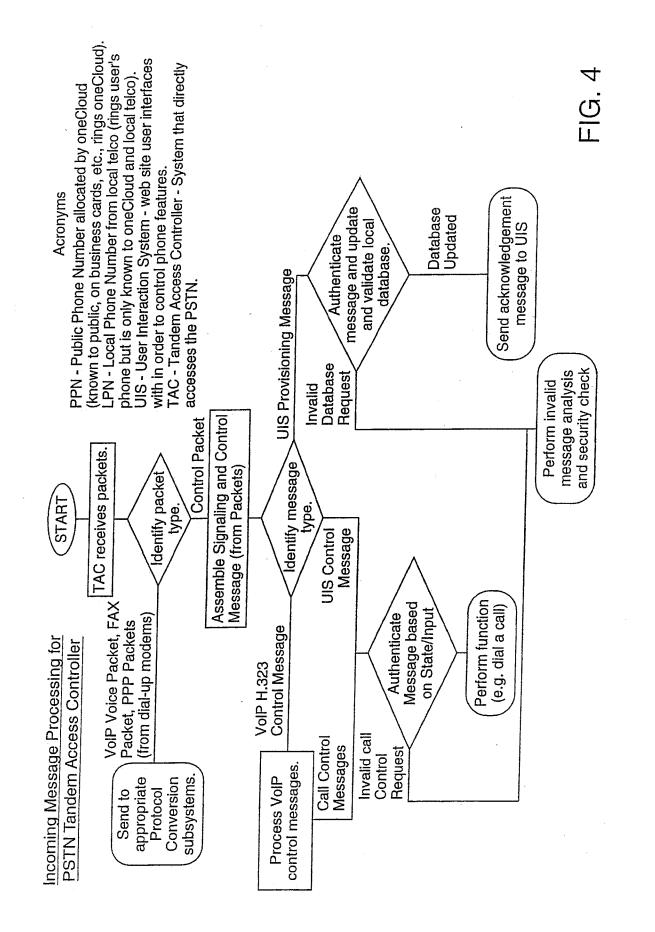
FIG. 3B

<u>П</u>G. Э

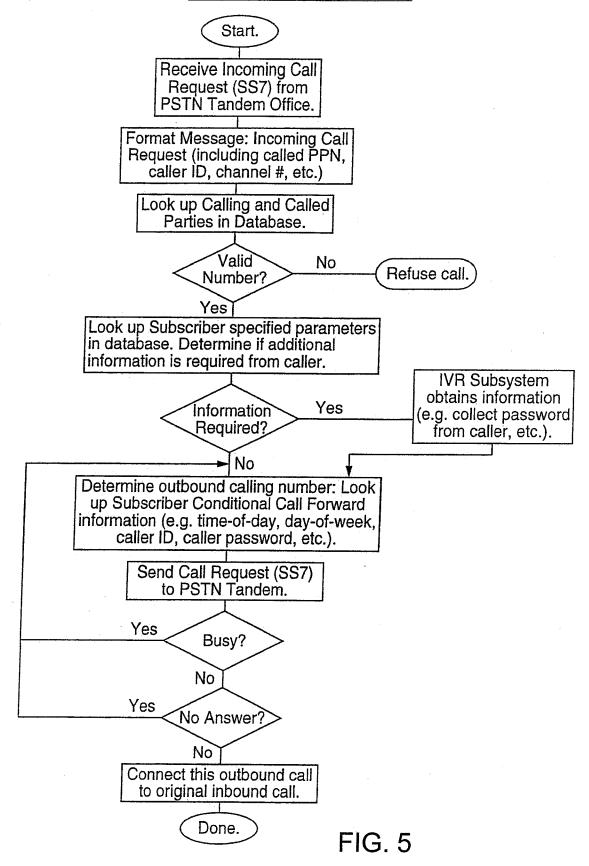
FIG. 3B

FIG. 3A

Ex. 1007 YMax Corporation Page 273 of 294



Ex. 1007 YMax Corporation Page 274 of 294 Inbound Call (to Subscriber)



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Outbound Call (from Subscriber)

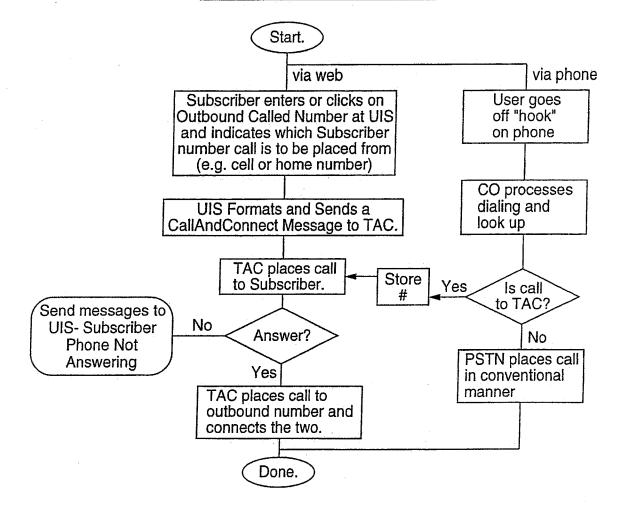
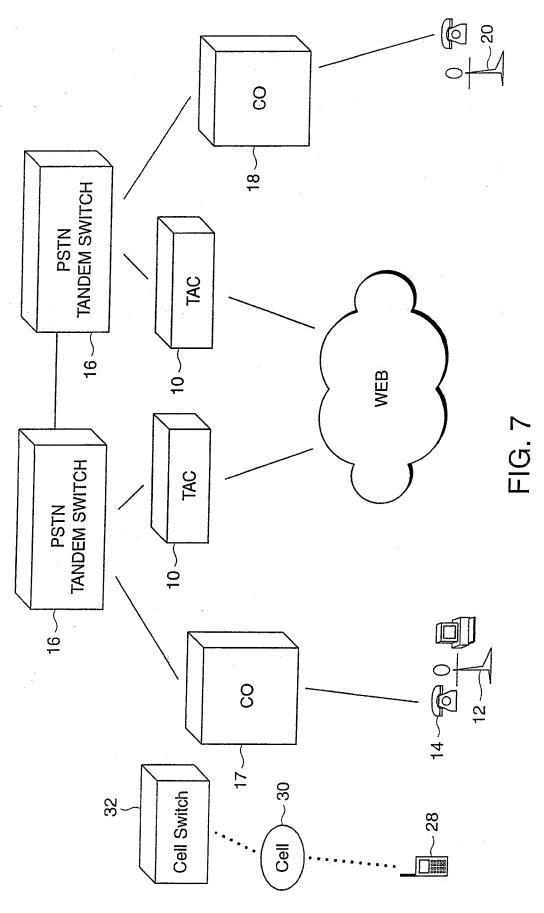
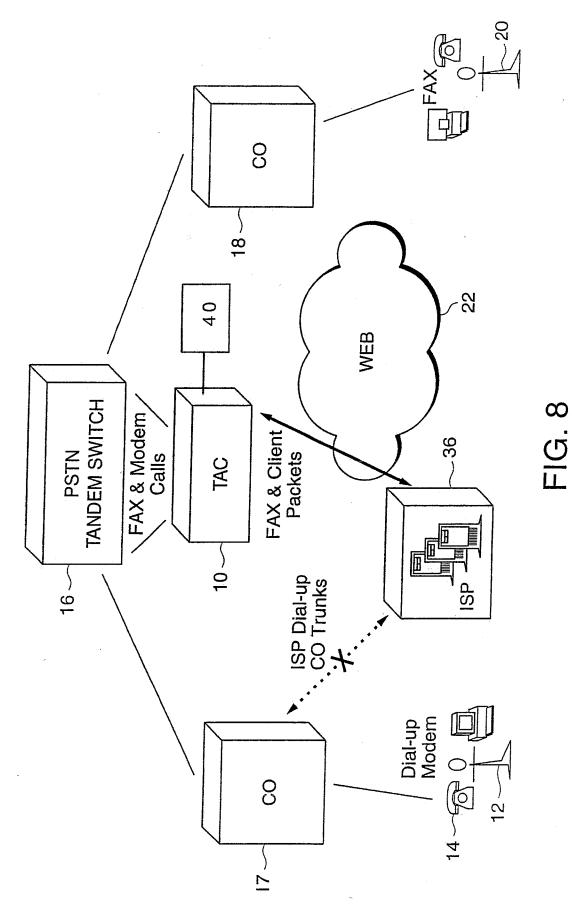


FIG. 6

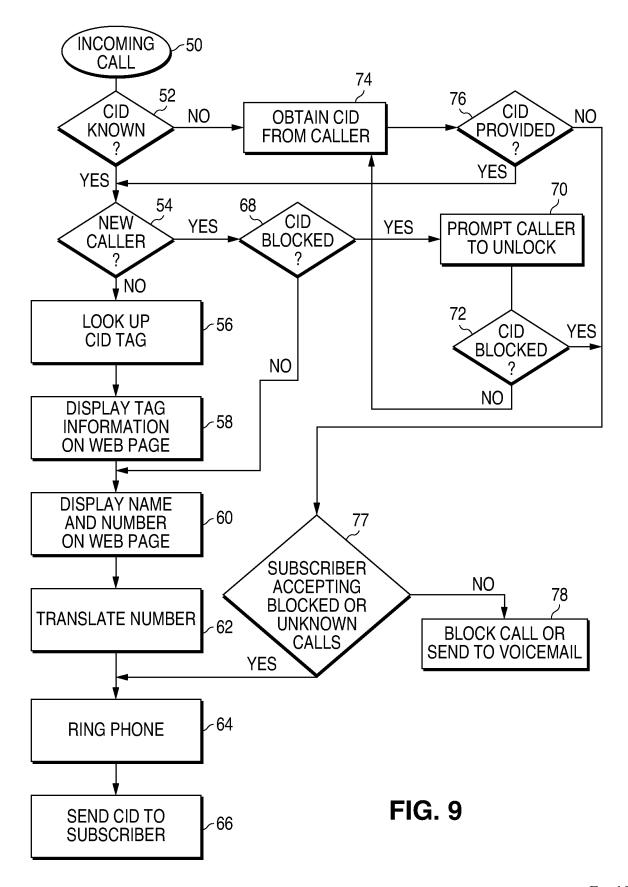
Ex. 1007 YMax Corporation Page 276 of 294



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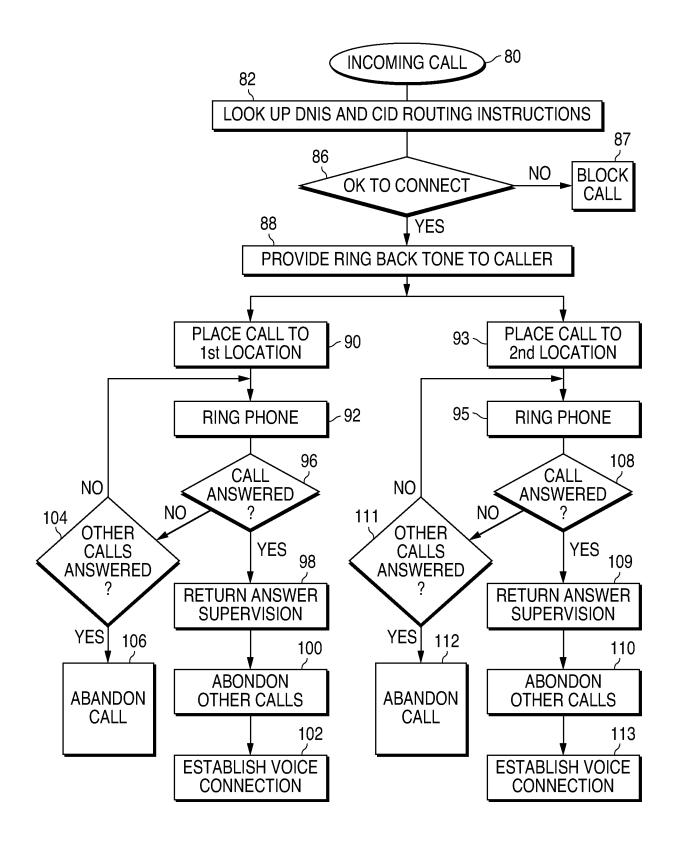


FIG. 10

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled

BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES

which (check)

is attached hereto. and is amended by the Preliminary Amendment attached hereto. was filed on April 30, 2003 as Application Serial No. 10/426,279 and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

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Provisional Application Number	Filing Date
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Application Serial No.	Filing Date	Status (patented, pending, abandoned)
09/565,565	May 4, 2000	Now Patent 6,574,328

I hereby appoint the following practitioners to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

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Inventor's Signature:	Mayn	-Shy	m	Date:	1/10/05
Residence:	U Los Altos, Ca	llifornia	0		

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Application Number		
Filing Date		2007-11-30
First Named Inventor Samu		el F. Wood
Art Unit		TBD
Examiner Name Not y		et assigned
Attorney Docket Number		TEL-M-8801-1P-1D

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT 3)

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Application Number			
Filing Date		2007-11-30	
First Named Inventor Samu		el F. Wood	
Art Unit		TBD	
Examiner Name Not y		et assigned	
Attorney Docket Number		TEL-M-8801-1P-1D	

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	3	20030133553	A1	2003-07-17	Khakoo et al.			

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Application Number			
Filing Date		2007-11-30	
First Named Inventor Samu		el F. Wood	
Art Unit		ТВО	
Examiner Name Not y		et assigned	
Attorney Docket Number		TEL-M-8801-1P-1D	

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	1	0738093	EP			1996-10-16					

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	3	01848	859	wo	A2	2001-11-08	Telemaze, Inc.		
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Examiner	r Signa	ature					Date Considered		
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Standard ST ⁴ Kind of do	T.3). ³ f cument	For Japa t by the a	anese patent docume	ents, the indication of	f the year	of the reign of the	r office that issued the docume Emperor must precede the se dard ST.16 if possible. ⁵ Appli	rial number of the patent doc	ument.

	Application Number		
	Filing Date		2007-11-30
INFORMATION DISCLOSURE	First Named Inventor	Samu	lel F. Wood
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		TBD
	Examiner Name	Not ye	et assigned
	Attorney Docket Numb	er	TEL-M-8801-1P-1D

CERTIFICATION S	STATEMENT
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Brian D Ogonowsky/	Date (YYYY-MM-DD)	2007-11-30
Name/Print	Brian D. Ogonowsky	Registration Number	31988

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

Electronic Patent A	pplication F	ee Transn	nittal	
Application Number:				
Filing Date:				
Title of Invention:	Branch Calling and	Caller ID Based	d Call Routing Te	lephone Features
First Named Inventor/Applicant Name:	Samuel F. Wood			
Filer:	Brian D. Ogonowsł	ky/Edith Fuentes		
Attorney Docket Number:	TEL-M-8801-1P-1[)		
Filed as Small Entity				
Utility Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Utility filing Fee (Electronic filing)	4011	1	75	75
Utility Search Fee	2111	1	255	255
Utility Examination Fee	2311	1	105	105
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:			v	Ex. 1007 Max Corporation

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tota	al in USE) (\$)	435

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Electronic Act-owledgement Receipt EFS ID: 2535329 Application Number: 11948965 International Application Number: 3763 Confirmation Number: 3763 Title of Invention: Branch Calling and Caller ID Based Call Routing Telephone Features First Named Inventor/Applicant Name: Samuel F. Wood Customer Number: 32566 Filer: Brian D. Ogonowsky/Edith Fuentes Filer Authorized By: Brian D. Ogonowsky Attorney Docket Number: TEL-M-8801-1P-1D						
EFS ID:	2535329					
Application Number:	11948965					
International Application Number:						
Confirmation Number:	3783					
Title of Invention:	Branch Calling and Caller ID Based Call Routing Telephone Features					
First Named Inventor/Applicant Name:	Samuel F. Wood					
Customer Number:	32566					
Filer:	Brian D. Ogonowsky/Edith Fuentes					
Filer Authorized By:	Brian D. Ogonowsky					
Attorney Docket Number:	TEL-M-8801-1P-1D					
Receipt Date:	30-NOV-2007					
Filing Date:						
Time Stamp:	21:30:03					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Document Number	Document Description	File Name	File Size(Bytes) Multi ^E yages /Message Digest Pavi a/sziopu(pioarpo)
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Payment was	s successfully received in RAM	\$435	
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Submitted wi	th Payment	yes	

1	Transmittal of New Application	TEL-M-8801-1P-1D_Division	292825	no	1	
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Warnings:						
Information:						
2	Application Data Sheet	TEL-M-8801-1P-1D_ADS.pd	762138	no	4	
		Ť	11ade7db45ee79071d6f1c90e56f327b 240589d9			
Warnings:		· · · ·				
Information:						
3		TEL-M-8801-1P-1D_App.pdf	126429	yes	26	
5			a7616f3cfa63e4a99f0033d9481acb2a3 574fe8e	yes	20	
	Multipa	rt Description/PDF files in	zip description			
	Document De	scription	Start	E	nd	
	Specifica	tion	1	2	21	
	Claims	5	22		25	
	Abstrac	ct	26	26		
Warnings:						
Information:						
4	Drawings-only black and white line	TEL-M-8801-1P-1D_Dwgs.p	241343	no	11	
	drawings	df	c9286b7a42ac4511830e1897717209a 9c454b1d5			
Warnings:						
Information:						
5	Oath or Declaration filed	TEL-M-8801-1P-1D_Dec.pdf	262555	no	3	
			962406661559db58985b0eac11441066 555aadd7		_	
Warnings:						
Information:						
6	Information Disclosure Statement	TEL-M-8801-1P-1D_IDS.pdf	735418	no	5	
Ŭ	(IDS) Filed		5d8513eaf78613c5929a36e753ef56de a2ac31e5	10) D	
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7	Fee Worksheet (PTO-06)	fee-info.pdf	8391	no	2	
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Information:]		Ex. 100	
		Total Files Size (in bytes):	242	2999Max C	orporatio	

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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Filing Date: 11/30/07

PTO/SB/06 (12-04) Approved for use through 7/31/2006. OMB 0651-0032

Appro

	PATE			FEE DETE	RMINATION REC	CORD		•••	n or Docket Numb 948,965	ber
	AP	PLICATION		ED – PART olumn 1)	l (Column 2)	SMALL E		OR	OTHER SMALL	
	FOR		NUN	IBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	IC FEE	(0))		N/A	N/A	N/A	75		N/A	
SEA	CFR 1.16(a), (b), or RCH FEE			N/A	N/A	N/A	255	1	N/A	
	CFR 1.16(k), (i), or MINATION FEE	<u>(m))</u>		N/A	N/A	N/A	105	1	N/A	
	CFR 1.16(0), (p), or AL CLAIMS	<u>(q))</u>	47		0	X\$ 25	0	1	X\$50	
	CFR 1.16(i)) PENDENT CLAIN	19	17	minus 20 =				OR		
	CFR 1.16(h))		2	minus 3 =	* 0	X\$105	0	4	X\$210	
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MU		DENT CLAIM P	RESEN	(37 CFR 1.16	6(j))	185			370	
lf ti	ne difference in o	column 1 is less	s than ze	ro, enter "0" in	column 2.	TOTAL	435		TOTAL	0
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MEN	Total (37 CFR 1.16(i))	*	Minus	**	=	x =		OR	x =	
AMENDMENT	Independent	*	Minus	***	=	x =		OR	x =	-
ΜA	(37 CFR 1.16(h)) Application Siz	e Fee (37 CFR	1.16(s))	L						
	FIRST PRESENT	ATION OF MULT	IPLE DEF	PENDENT CLAIN	4 (37 CFR 1.16(j))	N/A		OR	N/A	
					(2) (TOTAL ADD'T FEE		OR	TOTAL ADD'T FEE	
NT B		(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	RATE (\$)	ADDI- TIONAL FEE (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)
BMC	Total (37 CFR 1.16(i))	*	Minus	**	=	x =		OR	x =	
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٩N	· · · · ·	e Fee (37 CFR	1.16(s))	I	· · · · · · · · · · · · · · · · · · ·		·····			
	FIRST PRESENT	ATION OF MULT	IPLE DEF	PENDENT CLAIN	1 (37 CFR 1.16(j))	N/A		OR	N/A	<u> </u>
						TOTAL ADD'T FEE		OR	TOTAL ADD'T FEE	
					n 2, write "0" in colur	_				

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patern and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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