UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD
CISCO SYSTEMS, INC., Petitioner
V.
Focal IP, LLC, Patent Owner

Case No. IPR2016-01257 U.S. Patent No. 8,457,113

PETITIONER'S SECOND SET OF OBJECTIONS TO PATENT OWNER'S EVIDENCE PURSUANT TO 37 C.F.R. 42.6



Pursuant to 37 C.F.R. § 42.64(b)(1), Petitioner Cisco Systems, Inc. ("Petitioner") hereby submits the following objections to Patent Owner Focal IP, LLC's ("Patent Owner") Exhibit 2070 and any reference to/reliance on the foregoing, in Patent Owner's Reply in Support of Patent Owner's Contingent Motion to Amend Claim 143 in the above-captioned *inter partes* review ("Motion to Amend Reply"). As required by 37 C.F.R. § 42.62, Petitioners' objections below apply the Federal Rules of Evidence ("F.R.E.").

Petitioners' objections are timely under 37 C.F.R. § 42.64(b)(1) because they are being filed and served within five (5) business days of the filing of Patent Owner's Motion to Amend Reply on July 31, 2017. Petitioners' objections provide notice to Patent Owner that Petitioners may move to exclude this exhibit under 37 C.F.R. § 42.64(c).

## I. OBJECTIONS TO EXHIBIT 2070 AND ANY REFERENCE TO/RELIANCE THEREON

Evidence objected to: Exhibit 2070 and any reference to or reliance thereon.

Exhibit 2070 is a Declaration of Regis J. "Bud" Bates in support of Patent Owner's Motion to Amend Reply.

## Grounds for objection:

Petitioners object to Exhibit 2070, and Patent Owner's reference to or reliance thereon, as being inadmissible under F.R.E. 702, 703 (expert testimony), and 37 C.F.R. § 42.20(c) because Mr. Bates's opinions are premised on Petitioners



bearing the burden of proving patentability of proposed substitute claim 184 which is the wrong legal standard. See, e.g., Exhibit 2070, ¶¶32, 47, 57-58; Motion to Amend Reply, 1 ("PO believes Petitioner bears the burden of proving that the Substitute Claim is not patentable."); cf. Nike v. Adidas AG, 812 F.3d 1326, 1333-34 (Fed. Cir. 2016) ("[T]he burden of proving patentability of a proposed substitute claim [is] on the movant: the patent owner."); MasterImage 3D v. RealD, IPR2015-00040, 2015 WL 4383224 (PTAB July 15, 2015) ("The burden is not on the petitioner to show unpatentability, but on the patent owner to show patentable distinction over the prior art of record and also prior art known to the patent owner.") Petitioners further object to Exhibit 2070, and Patent Owner's reference to or reliance thereon, as being inadmissible under F.R.E. 702, 703, and 37 C.F.R. § 42.20(c) because Mr. Bates fails to address combinations of the prior art of record and prior art known to the patent owner.

Petitioners further object to Exhibit 2070, and Patent Owner's reference to or reliance thereon, as being inadmissible under F.R.E. 702, 703, 403 (confusing, waste of time, unfair prejudice), 37 C.F.R. § 42.23(b) and Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,767 (Aug. 14, 2012), because Exhibit 2070 contains opinions and statements outside the proper scope of a Reply Declaration including, for example, untimely opinions and statements attempting to attribute patentability to claim limitations other than the two limitations argued



in the Motion to Amend and/or opinions and statements that could have been included in Mr. Bates's declaration in support of such Motion. *See, e.g.*, Exhibit 2070, ¶¶47-48, 50-51, 57; *cf.* Exhibit 2040, ¶¶116-145, 152.

Dated: August 7, 2017

BAKER BOTTS LLP ATTN: Wayne Stacy 101 California Street, Suite 3600 San Francisco, CA 94111

Tel: 415-291-6206 Fax: 415-291-6308 Respectfully submitted,

By: /Wayne Stacy/ Wayne Stacy USPTO Reg. No. 45,125



## **CERTIFICATE OF SERVICE**

Pursuant to 37 C.F.R. § 42.53, the undersigned certifies that on August 7, 2017, a complete and entire electronic copy of **Petitioner's Objections to Patent Owner's Evidence Pursuant to 37 C.F.R. § 42.6** were provided via the Patent Trial and Appeal Board End to End (PTAB E2E) System as well as delivering a copy electronically via email on the following:

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Dated August 7, 2017

LEAD COUNSEL FOR PETITIONERS

