Mail Stop 8 Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P	i. Patent and Trademark Off P.O. Box 1450 dria, VA 22313-1450	ACTION REGARDING A PATENT O TRADEMARK	ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance	with 35 U.S.C. § 290 and/or 15 U	U.S.C. § 1116 you are hereby advised that a court action has been e District of Florida - Jacksonville on the follow n involves 35 U.S.C. § 292.):	ving		
OCKET NO. 3:15-cv-743-J-34JRK	DATE FILED 6/19/2015	U.S. DISTRICT COURT Middle District of Florida - Jacksonville			
AAINTIFF Patent Asset Licensing,	LLC	WIDEOPEN WEST FINANCE, LLC, AND KNOLOGY OF FLORIDA, INC.			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 7,764,777 B2	7/27/2010	Wood et al.			
2 8,155,298 B2	4/10/2012	Wood et al.			
3 8,457,113 B2	6/4/2013	Wood et al.			
4					
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	In the above—entitled case, the	e following patent(s)/ trademark(s) have been included:			
DATE INCLUDED	INCLUDED BY	endment Answer Cross Bill Other Plea	ding		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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In the a	bove—entitled case, the following	g decision has been rendered or judgement issued:			
DECISION/JUDGEMENT					
	l(B	BY) DEPUTY CLERK DATE	00/0045		
CLERK Sheryl L. Loesch		Robin Hall 6/	23/2015		

Copy 1-Upon initiation of action, mail this copy to Director Copy 3-Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

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REPORT ON THE

O: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
In Compliance	with 35 U.S.C. § 290 and/or 15	Strict of	Florida, Jacksonville Division on the following 35 U.S.C. § 292.):
OCKET NO	DATE FILED		TRICT COURT Middle District of Florida, Jacksonville Division
:15-cv-747-J-32MCR	6/19/2015	١	DEFENDANT
AINTIFF Patent Asset Licensing, I	LLC		T3 Communications, Inc
aten Assoc Electronist			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
US 7,764,777 B2	7/27/2010	Pate	ent Asset Licensing, LLC
US 8,155,298 B2	4/10/2012	Pate	ent Asset Licensing, LLC
US 8,457,113 B2	6/4/2013	Pate	ent Asset Licensing, LLC
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	In the above—entitled case, the	followin	g patent(s)/ trademark(s) have been included:
DATE INCLUDED	INCLUDED BY	followin	g patent(s)/ trademark(s) have been included: Answer Cross Bill Other Pleading
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PATENT OR	INCLUDED BY Ame DATE OF PATENT		☐ Answer ☐ Cross Bill ☐ Other Pleading
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PATENT OR TRADEMARK NO. 1 2 3 4 5	INCLUDED BY DATE OF PATENT OR TRADEMARK	endment	☐ Answer ☐ Cross Bill ☐ Other Pleading HOLDER OF PATENT OR TRADEMARK
PATENT OR TRADEMARK NO. 1 2 3 4 5	INCLUDED BY DATE OF PATENT OR TRADEMARK	endment	☐ Answer ☐ Cross Bill ☐ Other Pleading
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PATENT OR TRADEMARK NO. 1 2 3 4 5	INCLUDED BY DATE OF PATENT OR TRADEMARK	endment	☐ Answer ☐ Cross Bill ☐ Other Pleading HOLDER OF PATENT OR TRADEMARK
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REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

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		e District of Florida -	Jacksonville			
☐ Trademarks or	Patents. (the patent action					
CKET NO. :15-cv-744-MMH-JBT	DATE FILED 6/19/2015		District of Florida - Jacksonville			
AINTIFF		DEFENDANT				
atent Asset Licensing,	LLC	YMAX Corp	poration			
	DATE OF DATENT		DOD OF DATENET OR TRADEMARK			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOL	DER OF PATENT OR TRADEMARK			
7,764,777	7/27/2010	Wood et al.				
8,155,298	4/10/2012	Wood et al.				
8,457,113	6/4/2013	Wood et al.				
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PATENT OR TRADEMARK NO.	INCLUDED BY An DATE OF PATENT	endment	Cross Bill Other Pleading			
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REPORT ON THE

O: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			FILING OR DETERMINA ACTION REGARDING A TRADEMARI	PATENT OR
filed in the U.S. Distr	e with 35 U.S.C. § 290 and/or 15 ict Court Midd Patents.	le Distri	i 1116 you are hereby advised that a court action of Florida - Jacksonville as 35 U.S.C. § 292.):	n has been on the following
DOCKET NO.	DATE FILED		STRICT COURT Middle District of Florida - Jack	csonville
3:15-cv-743-J-34JRK PLAINTIFF	6/19/2015	<u> </u>	DEFENDANT	
Patent Asset Licensing,	LLC		WIDEOPEN WEST FINANCE, LLC, AND KNOLOGY OF FLORIDA, INC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRAI	DEMARK
1 7,764,777 82	7/27/2010	Wo	od et al.	
2 8,155,298 B2	4/10/2012	Wo	od et al.	
3 8,457,113 B2	6/4/2013	Wo	od et al.	
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DECISION/JUDGEMENT				
CLERK	[(B	Y) DEPU	TY CLERK	DATE
Sheryl L. Loesch	ſ	Robin	Hall	6/23/2015

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TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexand	ria, VA 22313-1450	
	Wilding Dio	J.S.C. § 1116 you are hereby advised that a court action has been trict of Florida, Jacksonville Division on the following
filed in the U.S. Distric	Patents. (the patent action	involves 35 U.S.C. § 292.):
		U.S. DISTRICT COURT
OCKET NO. 5:15-cv-747-J-32MCR	DATE FILED 6/19/2015	U.S. DISTRICT COURT Middle District of Florida, Jacksonville Division DEFENDANT
AINTIFF		T3 Communications, Inc
Patent Asset Licensing, L	LC	13 Communications, we
		HOLDER OF PATENT OR TRADEMARK
PATENT OR	DATE OF PATENT OR TRADEMARK	HOLDER OF FATENT OR TRUE
TRADEMARK NO.	7/27/2010	Patent Asset Licensing, LLC
US 7,764,777 B2		Patent Asset Licensing, LLC
US 8,155,298 B2	4/10/2012	
US 8,457,113 B2	6/4/2013	Patent Asset Licensing, LLC
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		e following patent(s)/ trademark(s) have been included:
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	10	BY) DEPUTY CLERK DATE 6/22/2015
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REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR

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In Compliance	e with 35 U.S.C. § 290 and/or 15	5 U.S.C. § 1116 you are hereby advised that a court action has been n District of Texas, Marshall Division on the following
☐ Trademarks or ☑	Patents. (the patent actio	
CKET NO. 2:15-cv-1108	DATE FILED	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division
AINTIFF	6/19/2015	DEFENDANT
Illowship Filtering Tech	nologies, LLC	Salesforce.com, Inc.
PATENT OR	DATE OF PATENT	HOLDER OF PATENT OR TRADEMARK
TRADEMARK NO.	OR TRADEMARK	Fellowship Filtering Technologies, LLC
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	In the above—entitled case, th	ne following patent(s)/ trademark(s) have been included:
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REPORT ON THE

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			FILING OR DETERMINATION OF A ACTION REGARDING A PATENT O TRADEMARK	AN OR
I. Cliono	a with 35 U.S.C. § 290 and/or 15	U.S.C. §	1116 you are hereby advised that a court action has been on the follow	wing
filed in the U.S. Dist	rict Court Central District of C	involves	35 U.S.C. § 292.):	
☐ Trademarks or	Patents. (the patent action	III S DE	STRICT COURT	
OCKET NO. 15-cv-03181 DDP (ASx)	DATE FILED April 29, 2015	Central	District of California	
	iss America Trading Corp., an	d Lear	DEFENDANT Hernan Slodowicz, et al.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

11/428,822 07/05/2006

Samuel F. Wood 002964.P073

8791 BLAKELY SOKOLOFF TAYLOR & ZAFMAN 1279 Oakmead Parkway Sunnyvale, CA 94085-4040 POA ACCEPTANCE LETTER

OC00000070986478

Date Mailed: 09/29/2014

CONFIRMATION NO. 4565

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/19/2014.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/ytbedada/			

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



26379

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

11/428,822

DLA PIPER LLP (US)

FILING OR 371(C) DATE 07/05/2006

FIRST NAMED APPLICANT Samuel F. Wood

ATTY. DOCKET NO./TITLE 357323-990123

CONFIRMATION NO. 4565 POWER OF ATTORNEY NOTICE

Date Mailed: 09/29/2014

2000 UNIVERSITY AVENUE EAST PALO ALTO, CA 94303-2248

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/19/2014.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/ytbedada/			

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

PTC/SB/81A (12-08)

Approved for use through 11/30/2011 OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

CHANGE OF CORRESPONDENCE ADDRESS	Attorney Docket Number	002964.P073
		000004 D070
WITH A NEW POWER OF ATTORNEY AND	Title	TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK
PATENT - POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY	First Named Inventor	Samuel F. Wood
	Issue Date	April 10. 2012
	Patent Number	8,155,298

I her	hereby revoke all previous powers of attorney given in the above-identified patent.				
	A Power of Attorney is submitted herewith.				
OR OR	I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) with respect to the patent identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:				
	I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) with respect to the patent identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:				
	Practitioner(s) Name Registration Number				

Please	recognize or ch	ange the correspondence address for the above-	identified patent to:	;	
\boxtimes		sociated with the above-mentioned Customer Nu	mber.		
Q.	R	[**************************************			
\Box	The address ass	sociated with Customer Number:			
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I am th	ie:				
		ownership of the patent.			
X	OR				
		SIGNATURE of Inventor	or Patent Owner		
Signa	ture	141		Date 6/	121. 12014
Name		Jeff Adelman		Telephone (323) 86	0-9200
Title a	and Company	Manager, Focal IP, LLC			
	Signatures of all three is required, see b	ne inventors or patent owners of the entire interest or selow*.	their representative(s	s) are required. Submit mul	tiple forms if more than one
	*Total of	forms are submitted.			

This collection of information is required by 37 CFR 1 31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1 11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Acl	Electronic Acknowledgement Receipt		
EFS ID:	20194113		
Application Number:	11428822		
International Application Number:			
Confirmation Number:	4565		
Title of Invention:	TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK		
First Named Inventor/Applicant Name:	Samuel F. Wood		
Customer Number:	26379		
Filer:	Farzad Etemad Amini/Margaux Wolson		
Filer Authorized By:	Farzad Etemad Amini		
Attorney Docket Number:	357323-990123		
Receipt Date:	19-SEP-2014		
Filing Date:	05-JUL-2006		
Time Stamp:	16:50:24		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Assignee showing of ownership per 37	2964P073_State37CFR373b_09	73994	no	2
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Warnings:

Information:

		Total Files Size (in bytes):	1	22220		
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	5 (4)	2964P073_PatentPOA_RevCha	48226 Cha			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Focal IP, LLC
Application No./Patent No.: 8,155,298 Filed/Issue Date: April 10, 2012
Titled:
Focal IP, LLC, alimited liability company
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:
1. X the assignee of the entire right, title, and interest in;
2. an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is%); or
3 the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel Frame , or for which a
copy therefore is attached. OR
B. X A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: <u>Wood, Samuel F., Klein, Jerry A.</u> To: <u>Telemaze, Inc.</u>
The document was recorded in the United States Patent and Trademark Office at
Reel <u>014034</u> , Frame <u>0043</u> , or for which a copy thereof is attached.
2. From: Asprey, Margaret Susan To: Telemaze, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel <u>015613</u> , Frame <u>0259</u> , or for which a copy thereof is attached.
3. From: <u>Telemaze, Inc.</u> To: <u>Telemaze LLC</u>
The document was recorded in the United States Patent and Trademark Office at
Reel <u>016844</u> , Frame <u>0708</u> , or for which a copy thereof is attached.
X Additional documents in the chain of title are listed on a supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
September 7, 2014
Signature
Farzad E. Amini, Reg. No. 42,261 Printed or Typed Name Attorney for Patent Owner Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

B.	A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as follows: (CONTINUED)
	4. From: Telemaze, LLC To: Focal IP, LLC
	The document was recorded in the United Sates Patent and Trademark Office at
	Reel <u>032350</u> , Frame <u>0549</u>



26379

11/428,822

DLA PIPER LLP (US) 2000 UNIVERSITY AVENUE EAST PALO ALTO, CA 94303-2248

UNITED STATES PATENT AND TRADEMARK OFFICE

07/05/2006

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

APPLICATION NUMBER FILING OR 371(C) DATE

Samuel F. Wood

357323-990123

CONFIRMATION NO. 4565
MISCELLANEOUS NOTICE

OC00000070624063

Date Mailed: 09/09/2014

A communication which cannot be delivered in electronic form has been mailed to the applicant.

Doc Code: N572



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APPLICATION NUMBER FILING DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE Samuel F. Wood 357323-990123 07/05/2006 11/428,822

CONFIRMATION NO. 4565

26379 DLA PIPER LLP (US) 2000 UNIVERSITY AVENUE EAST PALO ALTO, CA 94303-2248 *OC000000070624063*

Cc: BLAKELY SOKOLOFF TAYLOR & ZAFMAN 1279 OAKMEAD PARKWAY SUNNYVALE, CA 94085-4040

Date Mailed: 09/09/2014

DENIAL OF REQUEST FOR POWER OF ATTORNEY

The gra	e request for Power of Attorney filed <u>08/25/2014</u> is acknowledged. However, the request cannot be nted at this time for the reason stated below.
	The Power of Attorney you provided did not comply with the new Power of Attorney rules that became effective on June 25, 2004. See 37 CFR 1.32.
	The revocation is not signed by the applicant, the assignee of the entire interest, or one particular principal attorney having the authority to revoke.
Ø	The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73(b) has not been received.
	The person signing for the assignee has omitted their empowerment to sign on behalf of the assignee.
	The inventor(s) is without authority to appoint attorneys since the assignee has intervened as provided by 37 CFR 3.71.
	The signature(s) of, a co-inventor in this application, has been omitted. The Power of Attorney will be entered upon receipt of confirmation signed by said co-inventor(s).
	The person(s) appointed in the Power of Attorney is not registered to practice before the U.S. Patent and Trademark Office.
Qu	estions relating to this Notice should be directed to the Application Assistance Unit.
	A Gizw
Of	fice of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

PTO/SB/81A (12-08)
Approved for use through 11/30/2011 OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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PATENT - POWER OF ATTORNEY	Patent Number	8,155,298
OR	Issue Date	April 10, 2012
REVOCATION OF POWER OF ATTORNEY	First Named Inventor	Samuel F. Wood
WITH A NEW POWER OF ATTORNEY AND	Title	TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK
CHANGE OF CORRESPONDENCE ADDRESS	Attorney Docket Number	

I hereby revoke all previous powers of attorney given in the above-identified patent.								
\Box	A Power of Attorney is submitted herewith.							
OR								
OR	attorney(s) or	opoint Practitioner(s) associated with the following Customer Number as my/our or agent(s) with respect to the patent identified above, and to transact all business in States Patent and Trademark Office connected therewith:						
	I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) with respect to the patent identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:							
					Registration Numbe	r		
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I am the: Inventor, having ownership of the patent. OR Patent owner. Statement under 37 CFR 3.73(b) (Form F)O/SB/96) submitted herewith or filed on								
	SIGNATURE of Inventor or Patent Owner							
Signa	iture	4	11/				Date 6/	26/2014
Name		Jeff Adelinat					Telephone (323) 860	9200
	and Company	Manager, Foo						
	IOTE: Signatures of all the inventors or patent owners of the entire interest or thoir reprosentative(s) are required. Submit multiple forms if more than one ignature is required, see below*.							
	"Total of forms are submitted.							

This collection of information is required by 37 CFR 1 31, 1, 32 and 1,33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1,11 and 1,14. This collection is estimated to take 3 minutes to complete, including gathering, properting, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Ack	knowledgement Receipt
EFS ID:	19956181
Application Number:	11428822
International Application Number:	
Confirmation Number:	4565
Title of Invention:	TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK
First Named Inventor/Applicant Name:	Samuel F. Wood
Customer Number:	26379
Filer:	Farzad Etemad Amini/Margaux Wolson
Filer Authorized By:	Farzad Etemad Amini
Attorney Docket Number:	357323-990123
Receipt Date:	25-AUG-2014
Filing Date:	05-JUL-2006
Time Stamp:	15:53:13
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /₊zip	Pages (if appl.)
1	Power of Attorney	2964P073_PatentPOA_RevCha	46903	no	1
·	, one, or more,	nge_08_25_14.pdf	e7e4c4eb80856a69b3619ff5ce3560503057 e28f		

Warnings:

Information:

Ex. 1020

YMax Corporation

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

3108205988

To: 915712736500

Page: 1/1

Please fax to: Status & Entity Branch, Office of Finance at 571-273-6500 or submit to:

Mail Stop M Correspondence Director of the US Patent and Trademark Office PO Box 1450 Alexandria, VA 22313-1450

Re:

US Patent No. 8,155,298

Our Ref: 002964.P073

Dear Sir:

Please note that the applicant for the above-referenced patent qualifies as a LARGE entity.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Bν

Eric S. Hyman Reg. No. 30,139

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 (310) 207-3800 CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being submitted to the USPTO Office of Finance via facsimile at 571-273-6500

on the date shown below.

Date



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/428,822	04/10/2012	8155298	357323-990123	4565

26379

03/21/2012

DLA PIPER LLP (US) 2000 UNIVERSITY AVENUE EAST PALO ALTO, CA 94303-2248

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 1355 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Samuel F. Wood, Los Altos Hills, CA; Jerry A. Klein, Los Altos, CA; Margaret Susan Asprey, Los Altos, CA;

> Ex. 1020 **YMax Corporation** Page 21 of 283

FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

	ATTY. DOCKET NO.	SERIAL NO.
	TLM-103C1CON2	11/428,822
Г	APPLICANT:	
	Samuel F. WOOD, et al.	
	FILING DATE:	GROUP:
Ι.	July 5, 2006	2614

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	4,310,726	01-12-1982	Asmuth	179	18	02-04-1980
	5,673,262	09-30-1997	Shimizu	370	395	11-07-1995
	5,848,140	12-08-1998	Foladare et al.	379	201	12-29-1995
ange(s) applied	5,991,310	11-23-1999 07-09-1997	Katko	370	522	07-09-1997
W./	2007/0041526	02-2007	Hill et al.	379	88.21	10-27-2006
3/2012						

	FOREIGN PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	COUNTRY	CLASS	SUB CLASS	TRAN YES	ISLATI NO				

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
EXAMINER INITIAL		
		Dowden, Douglas C., et al., "The Future of Network-Provided Communications Services," Bell Labs Technical
	1	Journal, July-September 2000, pp. 3-10
		Foard, C.F., "Teaming Switches and Computers for Customer Applications," AT&T Technology, 1991; 6, 4;
	2	Research Library, pp. 32-38
		Foster, Robin Harris, "Computer-Telephone Integration Goes Global," <i>AT&T Technology</i> , Autumn 1995; 10, 3;
	3	Research Library, pp. 18-22
		Kozik, Jack, et al., "On Opening PSTN to Enhanced Voice/Data Services – The PINT Protocol Solution," Bell
	4	Labs Technical Journal, July-September 2000, pp. 153-165
		Lui, Anthony Y., et al., "The Enhanced Service Manager: A Service Management System for Next-Generation
	5	Networks," Bell Labs Technical Journal, July-September 2000, pp. 130-144
		Reisfield, E.S., "Customers Take Control of the AT&T Network," <i>AT&T Technology</i> , 1991; 6, 1; Research
	6	Library, pp. 44-48
		Sijben, Paul G., et al, "Bridging the Gap to IP Telephony," Bell Labs Technical Journal, October-December 1998,
	7	pp. 192-207

EXAMINER:	/Rasha Al Aubaidi/	DATE CONSIDERED: 09/30/2011	

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

Issue Classification



Application/Control No.	Applicant(s)/Patent Under Reexamination
11428822	WOOD ET AL.
Examiner	Art Unit

2614

		ORIGI	NAL							INTERNATIONAL	CLA	SS	FIC	ATI	ON
	CLASS		;	SUBCLASS		CLAIMED						NON-CLAIMED			
379			211.01			Н	0	4	М	3 / 42 (2006.01.01)		NON-CLAIMED			
		DOCC DEE		C/		Н	0	4	М	7 / 00 (2006.01.01)					
	CROSS REFERENCE(S)					Н	0	4	L	12 / 66 (2006.01.01)					
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379	224														
370	352														
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RASHA AL AUBAIDI

	Claims renumbered in the same order as presented by applicant							t						47	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1	20	30	38	46										
2	2	21	31	39	47										
3	3	23	32	40	48										
4	4	25	33	41	49										
5	5	26	34	22	50										
6	6	27	35	42	51										
7	7	15	36	31	52										
8	8	18	37	32	53										
9	9	19	38	33	54										
12	10	24	39	34	55										
13	11	28	40												
14	25	29	41												
16	26	30	42												
10	27	35	43												
11	28	36	44												
17	29	37	45												

NONE		Total Claims Allowed:			
(Assistant Examiner)	(Date)	4.	2		
/RASHA AL AUBAIDI/ Primary Examiner.Art Unit 2614	02/15/2012	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	1		

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.				
11/428,822	07/05/2006	Samuel F. Wood	357323-990123	4565				
26379 DLA PIPER LL	7590 03/13/201 LP (US)	EXAM	EXAMINER					
2000 UNIVERS	SITY AVENUE LTO, CA 94303-2248	AL AUBAIDI, RASHA S						
EAST FALO A	L10, CA 94303-2246		ART UNIT	PAPER NUMBER				
			2614					
			NOTIFICATION DATE	DELIVERY MODE				
			03/13/2012	ELECTRONIC				

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PatentDocketingUS-PaloAlto@dlapiper.com

	Application No.	Applicant(s)							
	11/428,822	WOOD ET AL.							
Notice of Allowability	Examiner	Art Unit							
	RASHA AL AUBAIDI	2614							
All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.								
1. \boxtimes This communication is responsive to <u>printer Rush dated 01/</u>	<u>/23/2012</u> .								
2. \square An election was made by the applicant in response to a rest requirement and election have been incorporated into this action.	riction requirement set forth during	the interview on; the restriction							
3. 🛮 The allowed claim(s) is/are 1-11 and 25-55 which have been	n renumbered as 1-42.								
 4. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some* c) None of the: Certified copies of the priority documents have been received. Certified copies of the priority documents have been received in Application No Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. 									
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.									
 (a) including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the sheet in t	6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of								
7. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FC									
Attachment(s) 1. Notice of References Cited (PTO-892) 2. Notice of Draftperson's Patent Drawing Review (PTO-948) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material 5. Notice of Informal Patent Application 6. Interview Summary (PTO-413), Paper No./Mail Date 7. Examiner's Amendment/Comment 8. Examiner's Statement of Reasons for Allowance									
/Rasha S AL-Aubaidi/ Primary Examiner, Art Unit 2614									



26379

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.tspto.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

APPLICATION NUMBER

DLA PIPER LLP (US)

2000 UNIVERSITY AVENUE EAST PALO ALTO, CA 94303-2248

FILING OR 371(C) DATE

Samuel F. Wood

357323-990123

11/428,822

07/05/2006

Samuel 1. Wood

CONFIRMATION NO. 4565
POA ACCEPTANCE LETTER

0.00000052087699

Date Mailed: 01/20/2012

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/06/2012.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/cbowen/				
				

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



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UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virgina 22313-1450 www.uspto.gov

ATTY. DOCKET NO./TITLE APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT 11/428,822 07/05/2006 Samuel F. Wood TLM-103C1CON2

49637 BERRY & ASSOCIATES P.C. 9229 SUNSET BOULEVARD SUITE 630 LOS ANGELES, CA 90069

CONFIRMATION NO. 4565 POWER OF ATTORNEY NOTICE



Date Mailed: 01/20/2012

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/06/2012.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/cbowen/	
Office of Data Management, Application Assistance Unit (571)	272-4000, or (571) 272-4200, or 1-888-786-010



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspio.gov

Bib Data Sheet

CONFIRMATION NO. 4565

SERIAL NUMBER 11/428,822	FILING OR 371(c) DATE 07/05/2006 RULE	CLASS 379	GROUP ART 2614	ROUP ART UNIT 2614		ATTORNEY OCKET NO. 7323-990123
Jerry A. Klein Margaret Sus ** CONTINUING DA This applicati which is a CII ** FOREIGN APPLI	ood, Los Altos Hills, CA; , Los Altos, CA; an Asprey, Los Altos, CA; ATA ***********************************	* 9 04/30/2003 PAT 732 00 PAT 6574328				
Foreign Priority claimed Ves no						
26379 TITLE TANDEM ACCESS	CONTROLLER WITHIN	THE PUBLIC SWITCH	ED TELEPHOI	NE NET	WOR	<
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PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents

P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

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appropriate. All further indicated unless correcte maintenance fee notificat	ed below or directed oth	ng the Patent, advance of the Patent, advance	orders and notification (a) specifying a new co	of m orresp	aintenance fees woondence address;	rill be and/or	mailed to the current of (b) indicating a separ	correspondence address as ate "FEE ADDRESS" for
CURRENT CORRESPONDE	ENCE ADDRESS (Note: Use Bl	•		Fee(s	s) Transmittal. Thi rs. Each additional	s certif l paper	icate cannot be used for	domestic mailings of the r any other accompanying t or formal drawing, must
BERRY & ASS 9229 SUNSET E SUITE 630 LOS ANGELES		DLA PIPER LI 2000 Universit East Palo Alto	LP (US) ty Ave. , CA 94303	I here State addre trans	Cert eby certify that thi s Postal Service w essed to the Mail mitted to the USPT	t ificate is Fee(s ith suf Stop ΓΟ (57	of Mailing or Transn s) Transmittal is being ficient postage for first ISSUE FEE address 1) 273-2885, on the dat	nission deposited with the United class mail in an envelope above, or being facsimile e indicated below.
	,			Ala	an A. Limbach	1		(Depositor's name)
				-	lan A. Limbac			(Signature)
				Ja	nuary 6, 2012	2		(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
11/428,822	07/05/2006	•	Samuel F. Wood			TL	LM-103C1CON2	4565
TITLE OF INVENTION APPLN, TYPE		ONTROLLER WITHIN						DATE DUE
	SMALL ENTITY		PUBLICATION FEE D	UE	PREV. PAID ISSUE	S FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$870	\$300		\$0		\$1170	01/09/2012
EXAM	INER	ART UNIT	CLASS-SUBCLASS	3				
AL AUBAID	I, RASHA S	2614	379-211010					
CFR 1.363). Change of corresponded address form PTO/SE "Fee Address" indi	ondence address or indication ondence address (or Cha 3/122) attached. ication (or "Fee Address' 12 or more recent) attach	nge of Correspondence	2. For printing on t (1) the names of u or agents OR, alter (2) the name of a s registered attorney 2 registered patent listed, no name wil	p to native single or ag attor	3 registered patent ely, firm (having as a gent) and the name neys or agents. If 1	memb	er a 2p to	er LLP (US)
3. ASSIGNEE NAME A			•	• •				
PLEASE NOTE: Unle recordation as set forth	ess an assignee is ident h in 37 CFR 3.11. Comp	ified below, no assigned pletion of this form is NO	e data will appear on th DT a substitute for filing	ne pa g an a	tent. If an assigne ssignment.	ee is id	lentified below, the do	cument has been filed for
(A) NAME OF ASSIC	GNEE		(B) RESIDENCE: (C	ITY	and STATE OR C	OUNT	'RY)	
TELEMAZE LLO	С		LOS ALTOS, CALIFORNIA					
Please check the appropri	iate assignee category or	categories (will not be p	orinted on the patent):		Individual 🛚 Co	rporati	on or other private gro	up entity Government
4a. The following fee(s) a Issue Fee Publication Fee (N Advance Order - #	To small entity discount p		Hb. Payment of Fee(s): (A check is enclosed) Payment by credit of The Director is he overnayment to F	ed. t card	l. Form PTO-2038	is atta	ched. required fee(s), any def	
5. Change in Entity Stat	tus (from status indicated s SMALL ENTITY statu	,					ΠΤΥ status. See 37 CF	
**	d Publication Fee (if requ	uired) will not be accept	ed from anyone other th					assignee or other party in
Authorized Signature	/Alan A. Limbach	n/			_{Date} _ Janu	ary 6	5, 2012	
Typed or printed name	_e Alan A. Limbac	h			Registration N	o. <u>39</u>	,749	
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	Application Number	11/428,822
1	Filing Date	76 S . 2008
	First Named Inventor	Wild
A Commence of the Commence of	Titte	Tandem Access Controller Within The Public Switched Telephone Network
	Art Unit	28.14
20000	Examiner Name	Al Aubsidi, Rasha S.
1	Attorney Docket Number	387323-990123

i here	iby revoke a	previous powers of attorney given in t	he above-ide	ntified application.	ang apparation and a second	**********
		omey is submitted herewith	***************************************	haring and a standard		***********
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	Applicant/inven	tor.				
OR	<u>.</u>					
	Assignee of rec	ord of the entire interest. See 37 CFR 3.71.				
minima i	Statement unde	r 37 CFR 3.73(b) (Form PTO/98/96) <u>submitted (</u>	present or filed o	38	*******************************	
	····	SIGNATURE OF Applican	Lor Assignee of	**************************************		
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This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public vetich is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete. Other Collaboration of a special control of the companies application form to the USPTC. Time will vary december upon the individual case. Any comments on including pathering, preparing, and submitting the companies application form to the USPTC. Time will vary december upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Orient Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES ON COMPLETED FORMS TO THIS ACCRESS BEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

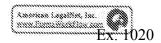
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STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Wood, Samuel F.; Klein, Jerry A. & Asprey, Margaret Susan
Application No./Patent No.: 11/428,822 Filed/Issue Date: July 5, 2006
Entitled: Tandem Access Controller Within The Public Switched Telephone Network
Telemaze LLC a Scriporation (Name of Assignee, e.g., corporation, perhereble, university, government agency, etc.)
states that it is:
1. Z The assignee of the entire right, title, and interest in:
an assignee of less than the entire right, title and interest in (The extent (by percentage) of its ownership interest is%); or
3. The assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made).
in the patent application/patent identified above by virtue of either:
A. An essignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel Frame, or for which a copy thereof is attached.
OR 8. A chain of little from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: Wood, Samuel F. & Klein, Jerry A. To: Telemaze, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel <u>014034</u> , Frame <u>0043</u> , or for which a copy thereof is attached.
2. From: Asprey Margaret Susan To: Telemaze, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel <u>015613</u> , Frame <u>0259</u> , or for which a copy thereof is attached.
3. From: Telemaze, Inc
The document was recorded in the United States Patent and Trademark Office at
Reel <u>016644</u> , Frame <u>0708</u> , or for which a copy thereof is allached.
Additional documents in the chain of title are listed on a supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO, See MPEP 302.08)
The understithed (whose title is supplied below) is suthorized to act on behalf of the assignee. 1/5/17 Significer
Sphare
Jerry Gein Managing Director, Telemaze LLC
Jerry Niem Telemaze LLC Printed or Typed Name Tille

This collection of information is required by \$7 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to this (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and \$7 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including comparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the form another suggestions for reducing this burden, should be sent to the Chief information Officer. U.S. Patent and Trademark Office, U.S. Oppartment of Commence, P.G. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FILES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1480.

If you need assistance in completing the form, call 1-800-PTO-9188 and select option 2.



Electronic Patent A	\ pp	olication Fee	Transm	ittal	
Application Number:	11428822				
Filing Date:	05-	-Jul-2006			
Title of Invention:	TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK				
First Named Inventor/Applicant Name:	Samuel F. Wood				
Filer:	Ala	ın A. Limbach/Kath	een LaBrie		
Attorney Docket Number:	TLI	M-103C1CON2			
Filed as Small Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
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Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1170

Electronic Acl	knowledgement Receipt
EFS ID:	11776334
Application Number:	11428822
International Application Number:	
Confirmation Number:	4565
Title of Invention:	TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK
First Named Inventor/Applicant Name:	Samuel F. Wood
Customer Number:	49637
Filer:	Alan A. Limbach/Kathleen LaBrie
Filer Authorized By:	Alan A. Limbach
Attorney Docket Number:	TLM-103C1CON2
Receipt Date:	06-JAN-2012
Filing Date:	05-JUL-2006
Time Stamp:	17:06:36
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1170
RAM confirmation Number	4357
Deposit Account	071896
Authorized User	

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1	Issue Fee Payment (PTO-85B)	lssue_Fee_Transmittal_PTOL85	516125	no	1
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3	Assignee showing of ownership per 37 CFR 3.73(b).	Statement_373b_357323-9901	390103	, no	1
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Information:					
		Total Files Size (in bytes)	13	43512	

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National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION						
11/428,822	11/428,822 07/05/2006 Samuel F. Wood		TLM-103C1CON2	4565					
	7590 11/23/201 SOCIATES P.C.	EXAMINER							
9229 SUNSET	BOULEVARD	AL AUBAIDI, RASHA S							
SUITE 630 LOS ANGELES	S, CA 90069	S, CA 90069		S, CA 90069		S, CA 90069		ART UNIT	PAPER NUMBER
		2614							
			NOTIFICATION DATE	DELIVERY MODE					
			11/23/2011	ELECTRONIC					

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The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

efiling@berrypc.com

	Application No. Applicant(s)								
_	. 5 . 2.2	11/428,822	WOOD ET AL.						
Respo	onse to Rule 312 Communication	Examiner	Art Unit						
		RASHA AL AUBAIDI	2614						
	The MAILING DATE of this communication ap	opears on the cover sheet with the	correspondence address –						
1. 🕅 The a	amendment filed on <i>04 November 2011</i> under 37 Cl	FR 1.312 has been considered, and h	nas been:						
a) 🗌	entered.	,							
b) 🛛	entered as directed to matters of form not affecting	the scope of the invention.							
c) 🔲	disapproved because the amendment was filed after	er the payment of the issue fee.							
Any amendment filed after the date the issue fee is paid must be accompanied by a petition under 37 CFR 1.313(c)(1) and the required fee to withdraw the application from issue.									
d) disapproved. See explanation below.									
e) 🔲	entered in part. See explanation below.								
		/Rasha S AL-Aubaidi/							
		Primary Examiner, Art Unit	2614						

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re tl	In re the Application of:) Customer No.:	49,637	
		Samuel F. WOOD, et al.) Confirmation No.	: 4565	
Serial 1	No.:	11/428,822) Group Art Unit:	2614	
Filed:		July 5, 2006) Examiner:	Al Aubaidi, Rasha S.	
For:	WITH	EM ACCESS CONTROLLER IN THE PUBLIC SWITCHED PHONE NETWORK)	TLM-103.C1CON2	

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT UNDER 312

Dear Madam:

Further to the Amendment please consider the following remarks.

The Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 10 of this paper.

IN THE CLAIMS:

Please amend the claims as indicated. A complete set of the claims is included below, reflecting added subject matter (*underlining*) and deleted subject matter (*strikethrough*), as well as the current status of each claim. This listing of claims will replace all prior versions, and listings, of claims in the application:

1. (Currently Amended) A method for providing user control selections for of routing of one or more communications between users of one or more communications networks, wherein the users either 1) initiate a communication, 2) receive a communication, or 3) control a communication, the user control selections performed provided by a user via access to a web server of a web-enabled processing system connected to operate at least in part with the one or more communication networks, wherein at least one of the communication networks is a network comprising edge switches for routing calls from and to users within a local geographic area and switching facilities for routing calls to other edge switches or other switching facilities local or in other geographic areas, the web server of the web-enabled processing system facilitating direct access by a user for providing user control selections to the at least one of the switching facilities, the user having a communications device with which to communicate with the web server of the web-enabled processing system, the method comprising the steps of:

facilitating access by authorized users to the web-enabled processing system, via Internet the web server, the web-enabled processing system coupled to at least one of the switching facilities of the network, the web-enabled processing system configured to route a communication from a specific one of the users to an intended recipient of the users,

executing control criteria, via the web-enabled processing system, to control the routing of the one or more communications via the web-enabled processing system, the control criteria predetermined by the users <u>control selections</u> via the <u>Internet web server</u> before the control criteria are executed via the web-enabled processing system,

wherein the web-enabled processing system is configured to perform the following operations to execute the control criteria:

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Application No.: 11/428,822

first, receive a message indicating a communication request from a user initiating a communication for an intended recipient user, wherein the message request <u>is</u> transmitted using a signalling protocol of the at least one communication network;

second, validate and acknowledge said communications request without first forwarding said request to a terminating edge switch within the geographic area of the intended recipient of the users;

third, determine the control criteria for <u>access to</u> the intended recipient of the users;

fourth, facilitate <u>selection of</u> a routing path over the at least one communication network in accordance with the control criteria for the intended recipient user;

fifth, route the communication in accordance with the control criteria, and sixth, complete a communications link between the user initiating the communication and the intended recipient of the users, when the intended recipient of the users accepts the communication from the user initiating the communication.

- 2. (Previously Presented) The method of claim 1, wherein the user initiating the communication uses a first telephone number, and the web-enabled processing system for routing the communication in accordance with the control criteria routes the communication to a communications device designated by the intended recipient of the users, which is reached by using a second telephone number.
- 3. (Currently Amended) The method of claim 1, wherein the communications device of the intended recipient of the users is a telephone, and wherein the intended recipient of the users, by accepting the communication causes the web-enabled processing system to connect a communications device of the user initiating the communication to the telephone of the intended recipient of the users.
- 4. (Currently Amended) The method of claim 1, wherein the communications device of the intended recipient of the users is a telephone, and wherein the intended recipient of the users can designate control criteria to the web-enabled processing system to connect a

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Application No.: 11/428,822

communications device of the user initiating the communication to a device other than the telephone of the intended recipient of the users.

- 5. (Currently Amended) The method of claim 1, wherein the communications device of the intended recipient of the users is a computer, and wherein upon accepting the communication, the web-enabled processing system connects a communications device of the user initiating a communication to the computer of the intended recipient of the users.
- 6. (Previously Presented) The method of claim 1, wherein the communication is initiated by the user initiating the communication through an edge switch in the local geographic area of the user initiating the communication to the web-enabled processing system, and the communication is routed via a non-toll communication network by the web-enabled processing system through an edge switch to the communications device of the intended recipient of the users.
- 7. (Currently Amended) The method of claim 1, wherein the control criteria execute any one of the following operations to implement features, including:
- a) selective call forwarding whereby the a call request from an initiating communications device is forwarded to a particular communications device of an intended recipient of the users based on either a time of the communication or the user initiating the communication; and
- b) conditional blocking of the communication based on either a time of the communication or the user initiating the communication.
- 8. (Previously Presented) The method of claim 1, wherein the web-enabled processing system receives the communication via the switching facility, which comprises a tandem switch in the network, which is a circuit switched network.
 - 9. (Currently Amended) The method of claim 1, further comprising the step of:

providing access to the web-enabled processing system, via the Internet web server for certain of the users to obtain information regarding the one or more communications either received or initiated by certain of the users.

10. (Currently Amended) The method of claim 1, further comprising the steps of: providing access to the web-enabled processing system via the web server, for certain of the users to initiate a communication to a third party, the web-enabled processing system routing the communication to the third party; and

establishing communication with the third party after the webenabled processing system connects a user's communications device to a communications device of the third party.

- 11. (Currently Amended) The method of claim 10, wherein said step of providing access to said web-enabled processing system <u>via the web server</u> comprises using the Internet to communicate a telephone number to said web-enabled processing system for placing a call to said third party.
 - 12-24. (Canceled)
- 25. (Previously Presented) The method of claim 1, wherein the communication is a call.
- 26. (Previously Presented) The method of claim 1, wherein the communication is a message.
- 27. (Previously Presented) The method of claim 9, wherein the information regarding the one or more communications either received or initiated by the users includes call detail recording.

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- 28. (Previously Presented) The method of claim 9, wherein the information regarding the one or more communications either received or initiated by the users includes web based billings.
- 29. (Currently Amended) The method of claim 1, wherein the control criteria for the intended recipient is configured to includes facilitating facilitate a communications link to the user initiating a communication.
- 30. (Currently Amended) A method of providing a user interaction system to enable users to control routing of one or more communications between a calling party and a called party through user input, the user interaction system comprising a web server coupled to the Internet, and a controller with access to at least two communication networks, wherein at least one of the networks is a packet network configured to support voice over IP ("VOIP"), and the second network is coupled to a switching facility of a network comprising at least one of the networks comprises edge switches for routing calls from and to users within a local geographic area and switching facilities for routing calls to other edge switches or other switching facilities local or in other geographic areas, comprising the steps of.

providing a website for the users to view features associated with the routing of the one or more communications;

facilitating certain of the users to sign up to become subscribers of the communication networks through the entry of user personal data through the website; granting access to authorized ones of the users;

providing a menu of available features, via the website, for the users to make feature selections;

processing of feature selections into control criteria;

receiving and storing the control criteria in a database associated with the server, the controller, or both;

receiving a communication request at the controller, from the calling party to an intended called party;

upon receiving the communication request, utilizing the controller to retrieve at least a portion of the control criteria relating to the user to determine a possible route for the one or more communications from the calling party; and

executing the control criteria to facilitate the routing of the one or more communications across at least one of the at least two networks.

- 31. (Previously Presented) A method according to claim 30, wherein the one or more communications is a call between the calling party and the called party.
- 32. (Previously Presented) A method according to claim 30, wherein the one or more communications is a message transmitted from the calling party for the called party.
- 33. (Previously Presented) A method according to claim 30, wherein the personal data entered by the users include a valid credit card number.
- 34. (Previously Presented) A method according to claim 30, wherein personal data entered by the users is verified via the Internet.
- 35. (Currently Amended) A method according to claim 30, wherein a user interaction before a user makes the feature selections includes the selection of a phone number.
- 36. (New) The method of claim 25 where routing of the first call comprises initiating a second call leg.
- 37. (New) The method of claim 1 where the web-enabled processing system has a distributed architecture located in multiple locations.
- 38. (New) The method of claim 1 where the web-enabled processing system has a distributed architecture incorporating multiple subsystems.

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- 39. (New) The method of claim 32 where a subsystem is used to complete the communications link between the user initiating the communication and the intended recipient of the users, when the intended recipient of the users accepts the communication from the user initiating the communication.
 - 40. (New) The method of claim 30, wherein the second network is a VOIP network.
- 41. (New) The method of claim 30, wherein the control criteria includes security measures.
- 42. (New) The method of claim 41, wherein the security measures include the prevention or denial of service attacks.
- 43. (New) The method of claim 30, wherein the switching facility performs a class 4 switching function.
 - 44. (New) The method of claim 30, wherein switching facility is a tandem switch.
- 45. (New) The method of claim 30, wherein the switching facility employs a signaling transfer point (STP).
- 46. (New) The method of claim 30, wherein the controller is a tandem access controller (TAC).
- 47. (New) The method of claim 30, wherein the controller utilizes the VOIP architecture.
- 48. (New) The method of claim 30, wherein the controller utilizes a circuit switching architecture.

- 49. (New) The method of claim 30, wherein the controller utilizes an ATM switching architecture.
- 50. (New) The method of claim 31, wherein the routing of the call includes initiating a second call leg.
- 51. (New) The method of claim 30, wherein the controller comprises a distributed architecture spanning multiple locations.
- 52. (New) The method of claim 41, wherein the security measures include call logging.
- 53. (New) The method of claim 41, wherein the security measures include web based billing.
- 54. (New) The method of claim 41, wherein the security measures include authentication of the calling party.
- 55. (New) The method of claim 41, wherein the security measures include conditional call blocking.

REMARKS

Further to the notice of allowance and a telephone interview with the Examiner on October 28, 2011, Applicants request the above amendments to the claims. The Applicants and the undersigned appreciate the Examiner's courtesy during the telephone interview. By this amendment, Applicants have amended claims 1, 3-5, 7, 9-11, 29-30, and 35 to further clarify them and have added dependent claims 36-55 for the Examiner's consideration.

Favorable consideration and continued allowance of the claims, as amended, is respectfully solicited. In the event these amendments are not acceptable to the Examiner, the Examiner is kindly requested to call the undersigned.

Respectfully submitted,

Dated: November 4, 2011 By: /Reena Kuyper/

Reena Kuyper Registration No. 33,830

9229 Sunset Blvd., Suite 630 Los Angeles, CA 90069 (310) 247-2860

Page 47 of 283

Electronic Patent Application Fee Transmittal					
Application Number:	11-	428822			
Filing Date:	05	-Jul-2006			
Title of Invention:	TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK				
First Named Inventor/Applicant Name:	Samuel F. Wood				
Filer:	Reena Kuyper				
Attorney Docket Number:	TLM-103C1CON2				
Filed as Small Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Claims in excess of 20	2202	19	30	570	
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension of Times					Ex. 1020

YMax Corporation
Page 48 of 283

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	570

Electronic Acknowledgement Receipt				
EFS ID:	11334752			
Application Number:	11428822			
International Application Number:				
Confirmation Number:	4565			
Title of Invention:	TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK			
First Named Inventor/Applicant Name:	Samuel F. Wood			
Customer Number:	49637			
Filer:	Reena Kuyper			
Filer Authorized By:				
Attorney Docket Number:	TLM-103C1CON2			
Receipt Date:	04-NOV-2011			
Filing Date:	05-JUL-2006			
Time Stamp:	02:09:56			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$570
RAM confirmation Number	7255
Deposit Account	503102
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Ex. 1020

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

YMax Corporation

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		TLM-103C1CON2_Amendment		yes	10
·		_Under_312_Final_11-4-11.pdf	5670d5c940c1283c4e5ab153e28f7fa878c5 20c8	yes	10
	Multip	oart Description/PDF files in .	zip description		
	Document De	scription	Start	Ei	nd
	Amendment after Notice of	1	1		
	Claims	2	9		
	Applicant Arguments/Remarks	10	10		
Warnings:					
Information:					
2	Fee Worksheet (SB06)	fee-info.pdf	30273 no		2
	, ,	·	f3ab4012582401a66780514672529b2de85 2ffe2		
Warnings:		·			
Information:					
		Total Files Size (in bytes)	7:	8133	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.go

NOTICE OF ALLOWANCE AND FEE(S) DUE

10/07/2011 BERRY & ASSOCIATES P.C. 9229 SUNSET BOULEVARD SUITE 630 LOS ANGELES, CA 90069

EXAMINER AL AUBAIDI, RASHA S ART UNIT PAPER NUMBER

2614

DATE MAILED: 10/07/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/428,822	07/05/2006	Samuel F. Wood	TLM-103C1CON2	4565

TITLE OF INVENTION: TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$870	\$300	\$0	\$1170	01/09/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:**

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Ex. 1020

Page 1 of 3 **YMax Corporation** Page 52 of 283

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

appropriate. All further condicated unless corrected maintenance fee notifications.	correspondence includir d below or directed oth	ng the Patent, advance on herwise in Block 1, by (a	rders and notification of many specifying a new corres	naintenance fees wil pondence address; a	l be mailed to the current nd/or (b) indicating a separate	correspondence address as arate "FEE ADDRESS" for
49637	NCE ADDRESS (Note: Use BI 7590 10/07 SOCIATES P.C.	ock 1 for any change of address) /2011	Fee(pape have	s) Transmittal. This rs. Each additional _I its own certificate o Certi l	certificate cannot be used for paper, such as an assignme if mailing or transmission.	or domestic mailings of the for any other accompanying int or formal drawing, must mission
9229 SUNSET B SUITE 630 LOS ANGELES,	OULEVARD		I her State addr trans	eby certify that this es Postal Service wit essed to the Mail S emitted to the USPTO	Fee(s) Transmittal is being h sufficient postage for first Stop ISSUE FEE address O (571) 273-2885, on the da	g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.
•	,					(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	F	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/428,822	07/05/2006		Samuel F. Wood	•	TLM-103C1CON2	4565
			THE PUBLIC SWITCHED			
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE I		
nonprovisional	YES	\$870	\$300	\$0	\$1170	01/09/2012
EXAMI	INER	ART UNIT	CLASS-SUBCLASS			
AL AUBAIDI, RASHA S 2614			379-211010			
"Fee Address" indip PTO/SB/47; Rev 03-0. Number is required. 3. ASSIGNEE NAME AN PLEASE NOTE: Unle	ondence address (or Cha 1/122) attached. cation (or "Fee Address 2 or more recent) attached ND RESIDENCE DATA ess an assignee is ident n in 37 CFR 3.11. Comp	nge of Correspondence Indication form ed. Use of a Customer A TO BE PRINTED ON This ified below, no assignee	2. For printing on the part (1) the names of up to or agents OR, alternative (2) the name of a single registered attorney or a 2 registered patent attor listed, no name will be part (1) print or type (1) the part (2) the part (3) as under (4) RESIDENCE: (CITY (5) the name of the part (4) as under (5) RESIDENCE: (CITY (5) the name of the part (6) RESIDENCE: (CITY (7) the name of the part (6) RESIDENCE: (CITY (7) the name of the part (6) the name of the part (6) RESIDENCE: (CITY (6) the name of the part (6) the name of the part (6) th	3 registered patent a rely, e firm (having as a n gent) and the names meys or agents. If no printed. e) ttent. If an assignee assignment.	nember a 2of up to o name is 3	ocument has been filed for
Please check the appropri	ate assignee category or	categories (will not be pr	inted on the patent):	Individual 🖵 Corp	poration or other private gro	oup entity 🗖 Government
	re submitted: o small entity discount p of Copies	permitted)	A check is enclosed. Payment by credit card	d. Form PTO-2038 is	the required fee(s) any de	
5. Change in Entity Stat	us (from status indicated S SMALL ENTITY statu	· · · · · · · · · · · · · · · · · · ·	_		ENTITY status. See 37 C	FR 1.27(g)(2).
NOTE: The Issue Fee and nterest as shown by the re	l Publication Fee (if requeecords of the United Sta	uired) will not be accepted tes Patent and Trademark	d from anyone other than the Office.	ne applicant; a regist	ered attorney or agent; or th	ne assignee or other party in
Authorized Signature _				Date		
Typed or printed name	2			Registration No.		
This collection of information application. Confidential application application application the completed his form and/or suggestic	nation is required by 37 C iality is governed by 35 application form to the	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary	on is required to obtain or re 1.14. This collection is esti- depending upon the indivi- e Chief Information Office	etain a benefit by the mated to take 12 mi idual case. Any com r. U.S. Patent and To	public which is to file (and nutes to complete, includir ments on the amount of ti- rademark Office U.S. Dep-	d by the USPTO to process) ng gathering, preparing, and me you require to complete artment of Commerce. P.O.

Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 10/07/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/428,822	07/05/2006	Samuel F. Wood	TLM-103C1CON2	4565
49637 75	90 10/07/2011		EXAM	INER
BERRY & ASSC			AL AUBAID	I, RASHA S
9229 SUNSET BO	ULEVARD			
SUITE 630			ART UNIT	PAPER NUMBER
LOS ANGELES, C	CA 90069		2614	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1065 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1065 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	tion No. Applicant(s)		
	11/428,822	WOOD ET AL.		
Notice of Allowability	Examiner	Art Unit		
	RASHA AL AUBAIDI	2614		
The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.				
1. 🛮 This communication is responsive to an RCE filed 08/12/20	<u>11</u> .			
2. \square An election was made by the applicant in response to a rest requirement and election have been incorporated into this action.	riction requirement set forth during t	he interview on	; the restriction	
3. 🛮 The allowed claim(s) is/are 1-11 and 25-35 which have been	n renumbered as 1-22.			
 4. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some* c) None of the: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 				
5. A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give			OTICE OF	
 6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must (a) ☐ including changes required by the Notice of Draftspers 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the standard of the paper No./Mail Draft of Branch attached Examiner's comment regarding REQUIREMENT FOR attached Examiner's comment regarding REQUIREMENT FOR Including the paper No./Mail Date 	on's Patent Drawing Review (PTO- s Amendment / Comment or in the C 84(c)) should be written on the drawir he header according to 37 CFR 1.121(c	office action of the	back) of	
Attachment(s) 1. Notice of References Cited (PTO-892) 2. Notice of Draftperson's Patent Drawing Review (PTO-948) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 08/12/2011 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material 5. Notice of Informal Patent Application 6. Interview Summary (PTO-413), Paper No./Mail Date 7. Examiner's Amendment/Comment 8. Examiner's Statement of Reasons for Allowance 9. Other				
/Rasha S AL-Aubaidi/ Primary Examiner, Art Unit 2614				

U.S. Patent and Trademark Office PTOL-37 (Rev. 03-11)

Notice of Allowability

Part of Paper No./Mail Date 20110929

Application/Control Number: 11/428,822 Page 2

Art Unit: 2614

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 08/12/2011 has been entered.

Allowable Subject Matter

2. The following is an examiner's statement of reasons for allowance:

in an independent claim 1, a method for providing user control of routing of one or more communications between users of one or more communications networks, the user control performed-by a web-enabled processing system connected to operate at least in part with one or more communication networks, wherein at least one of the communication networks is a network comprising edge switches for routing calls to other edge switches or other switching facilities local or in other geographic areas. The method of claim 1 also comprises the steps of: "facilitating access by authorized users to the web-enabled processing system, via the internet, the web enabled processing system coupled to at least one of the switching facilities of the network" and "executing control criteria, via the web-enabled processing system' to control the routing of the one or more communications via the web-enabled processing system, the control criteria predetermined by the users via the Internet before the control criteria are executed via

the web-enabled processing system" as recited in claim 1 is neither taught or made fairly obvious by the prior art or record.

Independent claim 30 carries a subject matter that is similar to independent claim 1.

Note that Applicant's IDS forms 1449 filed on 08/12/2011 have been carefully considered. However, none of the prior art found nor the IDS clearly teach or fairly suggest the subject matter if independent claims 1 and 30.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Rasha S. AL-Aubaidi whose telephone number is (571) 272-7481. The examiner can normally be reached on Monday-Friday from 8:30 am to 5:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ahmad Matar, can be reached on (571) 272-7488.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published

Page 3

Art Unit: 2614

applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Rasha S AL-Aubaidi/

Primary Examiner, Art Unit 2614

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	1	"6574328".pn.	US- PGPUB; USPAT	OR	ON	2011/09/29 17:53
L2	1162	379/211.02.ccls.	US- PGPUB; USP A T	OR	ON	2011/09/29 17:54
L3	225	379/224.ccls.	US- PGPUB; USPAT	OR	ON	2011/09/29 17:55
L4	537	379/221.08.ccls.	US- PGPUB; USPAT	OR	ON	2011/09/29 17:55
L5	132	379/221.11.ccls.	US- PGPUB; USPAT	OR	ON	2011/09/29 17:55
L6	172	((provid\$ or allow\$) near4 (user or subscriber)) near6 ((control or controlling) near5 (routing))	US- PGPUB; USPAT	OR	ON	2011/09/29 17:56
L7	18	(edge near2 switch) near4 (local near4 area)	US- PGPUB; USPAT	OR	ON	2011/09/29 17:57
L8	1665	(execut\$ near4 (control or controlling)) near5 (feature or criteria)	US- PGPUB; USPAT	OR	ON	2011/09/29 17:59
L9	0	6 same 8	US- PGPUB; USPAT	OR	ON	2011/09/29 17:59
L10	2	7 and 8	US- PGPUB; USPAT	OR	ON	2011/09/29 18:00
L11	1	"7324635".pn.	US- PGPUB; USPAT	OR	ON	2011/09/29 18:03

9/29/2011 6:03:58 PM

Search Notes



Application/	Control No
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11428822

Applicant(s)/Patent Under Reexamination

WOOD ET AL.

Examiner

Art Unit

RASHA S AL AUBAIDI

2614

SEARCHED

Class	Subclass	Date	Examiner
379	224, 221.11, 221.08	9/29/10	R.S
379	211.01 and updated search for 224, 221.00 & 221.08	09/29/2011	R.S

SF	ΔR	CH	NO	TES
\sim	~''		-110	-

Search Notes	Date	Examiner
Searched EAST (US PAT & PGPUB)	9/29/10	R.S
Updated EAST search (US PAT & PGPUB)	05/06/2011	R.S
Updated EAST search (US PAT & PGPUB)	09/29/2011	R.S

INTERFERENCE SEARCH

Class	Subclass	Date	Examiner
	Pgpub text search, see intereference search	05/06/2011	R.S
	Pgpub text search, see intereference search	09/29/2011	R.S

Ex. 1020

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	0	user near1 control near1 performed near1 by near1 web near1 enabled near1 processing near1 system near1 connected near1 operate near1 least near1 part near1 "one" near1 more near1 communication near1 networks.clm.	US- PGPUB; USPAT	OR	ON	2011/09/30 13:12
L2	0	communication near1 networks near1 network near1 comprising near1 edge near1 switches near1 routing near1 calls near1 from near2 users near1 within near1 local near1 geographic near1 area near1 switching near1 facilities near1 routing near1 calls near1 other near1 edge near1 switches.clm.		OR	ON	2011/09/30 13:14
L3	0	facilitating near1 access near1 authorized near1 users near2 web near1 enabled near1 processing near1 system near1 via near1 internet near2 web near1 enabled near1 processing near1 system near1 coupled near2 least near1 one near2 switching near1 facilities near2 network.clm.	US- PGPUB; USPAT	OR	ON	2011/09/30 13:17
L4	0	near1 web near1 enabled near1 processing	US- PGPUB; USPAT	OR	ON	2011/09/30 13:19
L5	0	control near1 criteria near1 predetermined near2 users near1 via near1 Internet near1 before near1 control near1 criteria near1 executed near2 web near1 enabled near1 processing near1 system.clm.		OR	ON	2011/09/30 13:22
L6	0	1 and 2 and 3 and 4 and 5	US- PGPUB; USPAT	OR	ON	2011/09/30 13:22

9/30/2011 1:22:59 PM

FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
TLM-103C1CON2	11/428,822
APPLICANT:	
Samuel F. WOOD, et al.	
FILING DATE:	GROUP:
July 5, 2006	2614

	U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
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EXAMINER: /Pacha Al Aubeidi/		DATE CONSIDERED:
	/Hasha Al Aubaidi/	09/30/2011

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

Issue Classification



Application/Control No.	Applicant(s)/Patent Under Reexamination
11428822	WOOD ET AL.
Examiner	Art Unit
RASHA AL AUBAIDI	2614

ORIGINAL CLASS SUBCLASS						INTERNATIONAL CLASSIFICATION								ON		
						CLAIMED					NON-CLAIMED					
379 211.01						211.01			4	М	M 3 / 42 (2006.0)					
CROSS REFERENCE(S)					Н	0	4	М	7 / 00 (2006.0)							
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	☐ Claims renumbered in the same order as presented by applicant							ant CPA T.D. R.1.47						47	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
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NONE			ns Allowed:
(Assistant Examiner)	(Date)	2	2
/RASHA AL AUBAIDI/ Primary Examiner.Art Unit 2614	09/29/2011	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

Doc code: RCEX Doc description: Request for Continued Examination (RCE)

PTO/SB/30EFS (07-09)

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL								
			(Submitted	d Only via EFS	-Web)				
Application Number	11428822	Filing Date	2006-07-05	Docket Number (if applicable)	TLM-103C1CON2	Art Unit	2614		
First Named Inventor	Samuel F. Wood			Examiner Name	Al Aubaidi, Rasha S.				
This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV									
		S	UBMISSION REQ	UIRED UNDER 37	CFR 1.114				
in which they	Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).								
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			ntified application is d 3 months; Fee und		CFR 1.103(c) for a period of mequired)	onths —			
Other									
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★ The Dire	The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 503102								
		SIGNATUR	RE OF APPLICANT	Γ, ATTORNEY, OF	R AGENT REQUIRED				
X Patent	Practitioner Signa	ature							
Applicant Signature									

Doc code: RCEX
Doc description: Request for Continued Examination (RCE)

PTO/SB/30EFS (07-09) Approved for use through 07/31/2012. OMB 0651-0031

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Signature of Registered U.S. Patent Practitioner							
Signature	/Reena Kuyper/	Date (YYYY-MM-DD)	2011-08-12				
Name	Reena Kuyper	Registration Number	33830				

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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Ev. 1020

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re tl	he App	lication of:) Customer No.:	49,637
		Samuel F. WOOD, et al.) Confirmation No.:	4565
Serial :	No.:	11/428,822) Group Art Unit:	2614
Filed:		July 5, 2006) Examiner:	Al Aubaidi, Rasha S.
For:	WITH	EM ACCESS CONTROLLER IN THE PUBLIC SWITCHED PHONE NETWORK)	TLM-103.C1CON2

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT SUBMITTED WITH REQUEST FOR CONTINUED EXAMINATION ("RCE")

Dear Sir:

The **Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this submission.

The Remarks/Arguments begin on page 8 of this submission.

IN THE CLAIMS:

Please amend the claims as indicated. A complete set of the claims is included below, reflecting added subject matter (*with underlining*) and deleted subject matter (*with strikethrough*), as well as the current status of each claim. This listing of claims will replace all prior versions and listings of the claims in this application:

1. (Currently Amended) A method for selectively routing providing user control of routing of one or more communications between users of one or more communications networks, wherein the users either 1) initiate a communication, 2) receive a communication, or 3) control a communication, the routing user control performed-by a web-enabled processing system connected to operate at least in part with the one or more communication networks, wherein at least one of the communication networks is a network comprising edge switches for routing calls from and to users within a local geographic area and switching facilities for routing calls to other edge switches or other switching facilities local or in other geographic areas, the web-enabled processing system facilitating direct access by a user to the at least one of the switching facilities, the user having a communications device with which to communicate with the web-enabled processing system, the method comprising the steps of:

facilitating access <u>by authorized users</u> to the web-enabled processing system, via the Internet <u>by authorized users</u>, <u>the web-enabled processing system</u> coupled to at least one <u>of the switching facilities of the network</u>, <u>facility for a local area with respect to a specific one of the users and the web-enabled processing system</u> configured to route a communication <u>via the web enabled processing system</u> from the <u>a</u> specific one of the users to an intended recipient of the users,

executing features control criteria, via the web-enabled processing system, to control the routing of the one or more communications via the web-enabled processing system, the features control criteria being predetermined by the users via the Internet before the features control criteria are executed via the web-enabled processing system,

wherein the web-enabled processing system performing is configured to perform the following operations to execute the features control criteria:

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first, receiving receive a message indicating a communication request from a user initiating a communication for an intended recipient user, wherein the message request is transmitted using a signalling protocol of the at least one communication network;

second, validating validate and acknowledging acknowledge said communications request without first forwarding said request to a terminating edge switch within the geographic area of the intended recipient of the users geographic area;

third, <u>determining</u> <u>determine</u> the <u>features</u> <u>control criteria</u> for the intended recipient <u>user of the users</u>;

fourth, <u>facilitate selecting</u> a routing path over the at least one communication network in accordance with the <u>features</u> <u>control criteria</u> for the intended recipient user;

fifth, <u>routing route</u> the communication in accordance with the <u>features control</u> <u>criteria</u>, and

sixth, completing complete a communications link between the user initiating the communication and the intended recipient user of the users, when the intended recipient of the users user accepts the communication from the user initiating the communication.

- 2. (Currently Amended) The method of claim 1, wherein the user initiating the communication uses a first telephone number, and the web-enabled processing system for routing the communication in accordance with the <u>features control criteria</u> routes the communication to a communications device designated by the intended recipient <u>user of the users, which that</u> is reached by using a second telephone number.
- 3. (Currently Amended) The method of claim 1, wherein <u>communications device</u> of the intended recipient <u>user's of the users communications device</u> is a telephone, and wherein the intended recipient <u>user of the users</u>, by accepting the communication causes the web-enabled processing system to connect a communication device of the user initiating the communication to the telephone of the intended recipient <u>of the users user's telephone</u>.
- 4. (Currently Amended) The method of claim 1, wherein the <u>communication device</u> of the intended recipient of the users <u>user's communications device</u> is a telephone, and wherein

the intended recipient user of the users by answering the communication, causes can designate control criteria to the web-enabled processing system to connect a communication device of the user initiating the communication to a device other than the telephone of the intended recipient of the users user's telephone, as designated by the intended recipient user during the step of selecting features.

- 5. (Currently Amended) The method of claim 1, wherein the <u>communications device</u> of the intended recipient of the users user's communications device is a computer, and wherein upon answering accepting the communication, the web-enabled processing system causes the web-enabled processing system to connect connects a communication device of the user initiating a communication to a the computer of the intended recipient user of the users.
- 6. (Currently Amended) The method of claim 1, wherein the communication is initiated by the user initiating the communication through an edge switch in the local geographic area of the user initiating the communication to the web-enabled processing system, and the communication is routed via a non-toll communication network by by the web-enabled communication network processing system through an edge switch to the communications device of the intended recipient of the users user's communications device.
- 7. (Currently Amended) The method of claim 1, wherein the <u>features</u> <u>control criteria</u> <u>eomprise</u> <u>execute</u> any one of the following <u>operations to implement features</u>, <u>including</u>:
- a) selective call forwarding whereby the <u>a call request from an initiating</u> communication device is <u>forwarded</u> to a particular communications device <u>of an intended recipient of the users</u> based on either a time of the communication <u>and or</u> the user initiating the communication; and
- b) conditional blocking of the communication <u>based on either a time of the</u> <u>communication or the user initiating the communication.</u>
- 8. (Currently Amended) The method of claim 1, wherein the web-enabled processing system receives the communication via the switching facility, which comprises a tandem switch in a communication the network, which is a circuit switched network.

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- 9. (Currently Amended) The method of claim 1, further comprising the step of:

 <u>providing access to accessing</u> the web-enabled processing system, which

 <u>comprises a controller, by the users</u> via the Internet <u>for certain of the users</u> to obtain

 information regarding <u>the one or more</u> communications either received or initiated by

 <u>certain of the users</u>.
- 10. (Currently Amended) The method of claim 1, further comprising the steps of:

 <u>providing access to accessing</u> the web-enabled processing system, <u>for certain</u>

 <u>of the users</u> to initiate a communication by the user to a third party, the web-enabled processing system routing the communication to the third party; and

<u>establishing communication</u> <u>eommunicating</u> with the third party after the web-enabled processing system connects <u>the a</u> user's communications device to a communications device of the third party.

11. (Currently Amended) The method of claim 4 10, wherein said step of providing access to accessing said TAC web-enabled processing system comprises using the Internet to communicate a telephone number to said TAC web-enabled processing system for placing a call to said third party.

12-24. (Canceled)

Please add the following new claims:

- 25. (New) The method of claim 1, wherein the communication is a call.
- 26. (New) The method of claim 1, wherein the communication is a message.
- 27. (New) The method of claim 9, wherein the information regarding the one or more communications either received or initiated by the users includes call detail recording.

28. (New) The method of claim 9, wherein the information regarding the one or more communications either received or initiated by the users includes web based billings.

- 29. (New) The method of claim 1, wherein the control criteria for the intended recipient is configured to facilitate a communications link to the user initiating a communication.
- 30. (New) A method of providing a user interaction system to enable users to control routing of one or more communications between a calling party and a called party, through user input, the user interaction system comprising a server coupled to the Internet, and a controller with access to at least two communication networks, wherein at least one of the networks is a packet network configured to support voice over IP ("VOIP"), and at least one of the networks comprises edge switches for routing calls from and to users within a local geographic area and switching facilities for routing calls to other edge switches or other switching facilities local or in other geographic areas, comprising the steps of.

providing a website for the users to view features associated with the routing of the one or more communications;

facilitating certain of the users to sign up to become subscribers of the communication networks through the entry of user personal data through the website;

granting access to authorized ones of the users;

providing a menu of available features, via the website, for the users to make feature selections;

processing of feature selections into control criteria;

receiving and storing the control criteria in a database associated with the server, the controller, or both;

receiving a communication request at the controller, from the calling party to an intended called party;

upon receiving the communication request, utilizing the controller to retrieve at least a portion of the control criteria relating to the user to determine a possible route for the one or more communications from the calling party; and

executing the control criteria to facilitate the routing of the one or more communications across at least one of the at least two networks.

- 31. (New) A method according to claim 30, wherein the one or more communications is a call between the calling party and the called party.
- 32. (New) A method according to claim 30, wherein the one or more communications is a message transmitted from the calling party for the called party.
- 33. (New) A method according to claim 30, wherein the personal data entered by the users include a valid credit card number.
- 34. (New) A method according to claim 30, wherein personal data entered by the users is verified via the Internet.
- 35. (New) A method according to claim 30, wherein a user interaction before a user makes the feature selections includes the selection of a phone number.

REMARKS

This Amendment is submitted with a Request for Continued Examination ("RCE"). Claims 1-11 stand allowed and claims 2-24 were canceled, without prejudice. The Examiner's allowance of claims 1-11 is graciously accepted. By this Amendment, Applicants have refined the allowed claims to more clearly recite certain limitations and have introduced additional claims 25-35 for the Examiner's consideration.

Favorable reconsideration of the allowed claims, as amended, and the newly presented claims 25-35 is respectfully requested. In the event there are any issues with the claims presented for examination, Applicants request the Examiner to resolve them in a personal or telephonic interview to quickly conclude prosecution of this application.

Respectfully submitted,

BERRY & ASSOCIATES P.C.

Dated: August 12, 2011 By: /Reena Kuyper/

Reena Kuyper

Registration No. 33,830 9229 Sunset Blvd., Suite 630

Los Angeles, CA 90069 (310) 247-2860

Electronic Patent Application Fee Transmittal							
Application Number:	11	428822					
Filing Date:	05	Jul-2006					
Title of Invention:		NDEM ACCESS CON TWORK	ITROLLER WITHII	N THE PUBLIC SWI	TCHED TELEPHONE		
First Named Inventor/Applicant Name:	Sai	muel F. Wood					
Filer:	Re	Reena Kuyper					
Attorney Docket Number:	TLM-103C1CON2						
Filed as Small Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for continued examination	2801	1	405	405
	Tot	al in USD	(\$)	405

Electronic Acknowledgement Receipt				
EFS ID:	10731422			
Application Number:	11428822			
International Application Number:				
Confirmation Number:	4565			
Title of Invention:	TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK			
First Named Inventor/Applicant Name:	Samuel F. Wood			
Customer Number:	49637			
Filer:	Reena Kuyper			
Filer Authorized By:				
Attorney Docket Number:	TLM-103C1CON2			
Receipt Date:	12-AUG-2011			
Filing Date:	05-JUL-2006			
Time Stamp:	23:08:24			
Application Type:	Utility under 35 USC 111(a)			

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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$405
RAM confirmation Number	6170
Deposit Account	503102
Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		TLM-103C1CON2_IDS_after_RC E_before_OA_Final_8-12-11.	93545	yes	6
		pdf	eba59c6dc39a57163ce372a1386520e7b13 f58be	,	
	Multi	part Description/PDF files in .	zip description		
	Document De	escription	Start	E	nd
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	Applicant Arguments/Remarks	Made in an Amendment	8		3
-	Claims		2	7	7
-	Amendment Submitted/Entere	d with Filing of CPA/RCE	1	1	
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Information:					
 			2b793		
9	(RCE)	TLM-103C1CON2_RCE_8-12-11. pdf	628976b06d9bb80d1fe9fbdc0dc6c699527	no	3
	Request for Continued Examination	TIM 102C1CON2 BCE 9 12 11	768860		
Information:					
 			cdc7		
8	Non Patent Literature	7_Sijben_et_alEFS.pdf	a3a95926969696953f08580d8defed4cfb05	no	16
illioilliation.			3072587		
Information:					
 			cb6aead0d2cd8454ebdd7df8a2ec9b2d816 89dfd		
7	Non Patent Literature	6_ReisfieldEFS.pdf	1247566	no	5

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re t	the App	olication of:) C	ustomer No.:	49,637
		Samuel F. WOOD, et al.) C (onfirmation No.:	4565
Serial	No.:	11/428,822) G	roup Art Unit:	2614
Filed:		July 5, 2006) E :	xaminer:	Al Aubaidi, Rasha S
For:	WITH	DEM ACCESS CONTROLLER IIN THE PUBLIC SWITCHED PHONE NETWORK))))		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the continuing duty of disclosure under 37 CFR § 1.56, 37 CFR §§ 1.97, and 1.98, Applicants bring the following documents to the attention of the Examiner, which may be of possible interest to the subject matter of this application. Each of these documents is also listed on the attached form PTO-1449. Applicants are providing copies of the listed documents, except for U.S. patents and U.S. patent application publications, which Applicants assume are easily available to the Examiner. In the event the Examiner would like copies of these patents and patent application publications, the Examiner is requested to kindly advise the undersigned. Applicants respectfully request the Examiner to consider and make these documents of record with respect to this application.

U.S. Patents & Patent Application Publications:

U.S. Patent	Issue/Publication Date	<u>Inventor(s)</u>
4,310,726	01-12-1982	Asmuth
5,673,262	09-30-1997	Shimizu
5,848,140	12-08-1998	Foladare et al.
5,991,310	07-09-1997	Katko
2007/0041526	02-2007	Hill et al.

1. <u>U.S. Patent No. 4,310,726 - Method of Identifying a Calling Station at a Call Terminating Facility</u> Asmuth

This patent discloses a method of creating a database of call stations and routing calls to an identified called party and providing the identity of a calling Station to a call terminating facility.

2. <u>U.S. Patent No. 5,673,262 - Communication Network comprising transit Switches without Asynchronous transfer Mode Capability</u> Shimizu

This patent discloses a communication system comprising an ATM (Asynchronous Transfer Mode) cross-connect and a signaling network. This patent also discloses methods for managing the signaling network and the communication bandwidth.

3. <u>U.S. Patent No. 5,848,140 - Multi-Network Feature Application</u> Foladare

This patent discloses a method for a carrier to apply its services to a user that may originate from a different carrier. With this method, calls route all the way to the end office.

4. <u>U.S. Patent No. 5,991,310 - Method and Apparatus for Bypassing Local Exchange Carriers to Permit an Independent Central Office to Provide Calling Services.</u> Katko

This patent addresses a method and technique for bypassing a LEC (Local Exchange Carrier) in order to reduce or eliminate the access charges typically payable to an IXC (Interexchange

Carrier), which reduces subscriber costs. This patent is directed to network control and ways of connecting to the requisite facilities.

5. <u>U.S. Patent Publication US2007/0041526 A1-System and Method for Privacy Management</u> Hill et al

This published patent application discloses a privacy management system and method, whereby callers can manage and monitor their inbound calls and segregate between calls that are desired and those that are not. This published patent application is a continuation of several patent applications, which share the same specification, and have issued under Patent Nos. 6,542,596, 6,876,735, 7,136,472. This published patent application and its issued parent patents disclose a caller ID tagging feature.

Note: U.S. Patent No. 7,436,851, cited in an information disclosure statement submitted on June 7, 2010, inadvertently defined ATM as "Automated Teller Machine" instead of "Asynchronous Transfer Mode." This reference describes using ATM (Asynchronous Transfer Mode) and other transmission facilities for routing within IP or PSTN networks.

Other References:

Dowden, Douglas C., et al., "The Future of Network-Provided Communications Services," Bell Labs Technical Journal, July-September 2000, pp. 3-10

This article announces the next generation of services that will be enabled by endpoint intelligent servers. The article is an overview of the new services and is published <u>after Applicants</u>' filing date. This article is not prior art, and is cited simply to inform on emerging technologies.

Foard, C.F., "Teaming Switches and Computers for Customer Applications," AT&T Technology, 1991; 6, 4; Research Library, pp. 32-38

This article discusses new integration schemes of computers into PBXs. It discusses the many benefits that such integration of computers and PBXs would bring.

Foster, Robin Harris, "Computer-Telephone Integration Goes Global," AT&T Technology, Autumn 1995; 10, 3; Research Library, pp. 18-22

This article discloses new integration schemes of computer and telephony and the benefits obtained in the future from such integration. The article highlights Call Center applications.

4 Kozik, Jack, et al., "On Opening PSTN to Enhanced Voice/Data Services – The PINT Protocol Solution," Bell Labs Technical Journal, July-September 2000, pp. 153-165

This article discloses suggested protocols for connecting the PSTN to the internet. It is published <u>after Applicants</u>' filing date. This article is not prior art, and is cited simply to inform on emerging technologies.

5 Lui, Anthony Y., et al., "The Enhanced Service Manager: A Service Management System for Next-Generation Networks," Bell Labs Technical Journal, July-September 2000, pp. 130-144

This article discusses the next generation of intelligent networks and their architectures and benefits. Their architectures are different. Moreover, this article is published after Applicants' filing date and therefore, it is not prior art. It is disclosed here simply to inform on emerging trends.

Reisfield, E.S., "Customers Take Control of the AT&T Network," AT&T Technology, 1991; 6, 1; Research Library, pp. 44-48

This article discusses a product offering from AT& T, "The Accumasters Services Workstation," which gives users the ability, e.g., to monitor their inbound traffic and use the SDN (Software Defined Network) tools from AT&T. The article is an overview of the new services that were offered then.

Sijben, Paul G., et al, "Bridging the Gap to IP Telephony," Bell Labs Technical Journal, October-December 1998, pp. 192-207

This article discloses suggested protocols for connecting the PSTN to the internet and managing the converged network.

This Supplemental Information Disclosure Statement is timely submitted under 37 CFR \$1.97(b)(4), that is, before the mailing of a first Office action after the filing of a request for continued examination ("RCE") under \$1.114. Thus, no petition or fee is required. However, if the undersigned representative of Applicants is in error in this regard, then the Examiner is requested to consider this Supplemental Information Disclosure as filed under \$1.97(c) and is further authorized to charge any fee required by its filing to Berry & Associates P.C.'s Deposit Account No. **50-3102**.

Respectfully submitted,

BERRY & ASSOCIATES P.C.

Dated: August 12, 2011 By: /Reena Kuyper/

Reena Kuyper

Registration No. 33,830

9229 Sunset Blvd., Suite 630 Los Angeles, California 90069 (310) 247-2860 Customer No. 49,637

FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several	sheets i	f necessary))

ATTY. DOCKET NO.	SERIAL NO.
TLM-103C1CON2	11/428,822
APPLICANT:	
Samuel F. WOOD, et al.	
FILING DATE:	GROUP:
July 5, 2006	2614

	U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE	
	4,310,726	01-12-1982	Asmuth	179	18	02-04-1980	
	5,673,262	09-30-1997	Shimizu	370	395	11-07-1995	
	5,848,140	12-08-1998	Foladare et al.	379	201	12-29-1995	
	5,991,310	07-09-1997	Katko	370	522	07-09-1997	
	2007/0041526	02-2007	Hill et al.	379	88.21	10-27-2006	

	FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YES	ISLATI NO

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)				
EXAMINER INITIAL						
		Dowden, Douglas C., et al., "The Future of Network-Provided Communications Services," Bell Labs Technical				
	1	Journal, July-September 2000, pp. 3-10				
		Foard, C.F., "Teaming Switches and Computers for Customer Applications," AT&T Technology, 1991; 6, 4;				
2 Research Library, pp. 32-38						
Foster, Robin Harris, "Computer-Telephone Integration Goes Global," AT&T Technology, Autumn 1995; 10						
	3	Research Library, pp. 18-22				
		Kozik, Jack, et al., "On Opening PSTN to Enhanced Voice/Data Services – The PINT Protocol Solution," Bell				
	4	Labs Technical Journal, July-September 2000, pp. 153-165				
		Lui, Anthony Y., et al., "The Enhanced Service Manager: A Service Management System for Next-Generation				
	5	Networks," Bell Labs Technical Journal, July-September 2000, pp. 130-144				
		Reisfield, E.S., "Customers Take Control of the AT&T Network," <i>AT&T Technology</i> , 1991; 6, 1; Research				
	6	Library, pp. 44-48				
		Sijben, Paul G., et al, "Bridging the Gap to IP Telephony," Bell Labs Technical Journal, October-December 1998,				
	7	pp. 192-207				

EXAMINER:	DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application or Docket Number Filing Date PATENT APPLICATION FEE DETERMINATION RECORD 07/05/2006 11/428,822 To be Mailed Substitute for Form PTO-875 OTHER THAN APPLICATION AS FILED - PART I SMALL ENTITY SMALL ENTITY OR (Column 1) (Column 2) NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$) RATE (\$) FEE (\$) FOR ■ BASIC FEE N/A N/A N/A N/A (37 CFR 1.16(a), (b), or (c)) SEARCH FEE N/A N/A N/A N/A (37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** N/A N/A N/A N/A (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS OR X \$ X \$ minus 20 = (37 CFR 1.16(i)) INDEPENDENT CLAIMS X \$ X \$ minus 3 = (37 CFR 1.16(h)) If the specification and drawings exceed 100 sheets of paper, the application size fee due APPLICATION SIZE FEE is \$250 (\$125 for small entity) for each (37 CFR 1.16(s)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s) MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) TOTAL **TOTAL** * If the difference in column 1 is less than zero, enter "0" in column 2. APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY SMALL ENTITY OR (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST ADDITIONAL ADDITIONAL REMAINING NUMBER PRESENT 08/12/2011 RATE (\$) RATE (\$) FEE (\$) **PREVIOUSLY EXTRA** FEE (\$) **AFTER AMENDMENT** AMENDMENT PAID FOR Total (37 CFR 22 Minus ****** 24 = 0 X \$26 = 0 OR X \$ 1.16(i)) Independent (37 CFR 1.16(h)) 0 OR * 2 Minus ***3 = 0 X \$110 = X \$ = Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL 0 OR ADD'L ADD'L FEE FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST **ADDITIONAL** PRESENT ADDITIONAL REMAINING NUMBER RATE (\$) RATE (\$) FFF (\$) PREVIOUSLY **AFTER EXTRA** FEE (\$) AMENDMENT PAID FOR ENT Total (37 CFR OR X \$ Minus X S ENDM Independent (37 CFR 1.16(h) OR X \$ *** X \$ = Minus Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL OB ADD'L ADD'L

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Legal Instrument Examiner:

/DAVINA G. BUTLER/

^{***} If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

Application Number	Application/Col	R	pplicant(s)/Patent (eexamination	under
Document Code - DISQ		Internal Dod	cument – DC	NOT MAIL
TERMINAL DISCLAIMER	⊠ APPROVE	ED	☐ DISAPP	ROVED
Date Filed : 07 MAR 2011	This patent is subject to a Terminal Disclaimer			
Approved/Disapproved b	y:			

U.S. Patent and Trademark Office

FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several	sheets	if necessary)
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ATTY. DOCKET NO.	SERIAL NO.
TLM-103C1CON2	11/428,822
APPLICANT:	
Samuel F. WOOD, et al.	
FILING DATE:	GROUP:
July 5, 2006	2614

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	2001/0022784A1	09-2001	Menon et al.			
	2001/0030950A1	10-2001	Chen et al.			
hange(s) applied	2003/0026403A1	02~2003 11-2007	Clapper et al.			
document,	2003/0040325A1	02-2003	Clark			
GR.P./	2003/0095650A1	05-2003	Mize			
/25/2011	2003/0133553A1	07-2003	Khakoo et al.			
	2003/0156693A1	08-2003	Goldman			
	2003/0194078A1	10-2003	Wood et al.			
	2004/0029568A1	02-2004	DeLuca et al.			
	2005/0041526A1	02-2005	Esmersoy et al.			
	2005/0141500A1	06-2005	Bhandari et al.			
	2005/0169445A1	08-2005	Harris			
	2005/0207557A1	09-2005	Dolan et al.			
	4,313,035	01-1982	Jordan et al.			
	4,348,554	09-1982	Asmuth			
	4,611,094	09-1986	Asmuth et al.			
	4,611,096	09-1986	Asmuth et al.			
	4,953,198	08-1990	Daly et al.			
	4,973,837	11-1990	Bradbeer			
	5,297,191	03-1994	Gerszberg			
	5,311,582	05-1994	Davenport et al.			
	5,428,663	06-1995	Grimes et al.			

EXAMINER: /Rasha Al Aubaidi/	DATE CONSIDERED:	09/29/2010

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO			Complete if Known		
			Application Number	11/428,822	
IN	IFORMATION D	ISCI OSLIDE	Filing Date	July 5, 2006	
			First Named Inventor	Samuel F. Wood	
STATEMENT BY APPLICANT			Art Unit	2614	
(Use as many sheets as necessary)		Examiner Name	Not yet known		
Sheet	19 of	22	Attorney Docket Number	TEL-M-8801-1P-1C	

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Cite No.¹ Number-Kind Code² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages					
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵				
		WO 98/20701	May., 1998	_						
_		WO 98/23067	May., 1998							
pled		WO 98/23080	May., 1998							
		WO 98/26543	Jun., 1998							
	EP	W O 0 851 653	Jul., 1998	•						
	EP	₩O 0 853 411 A2	Jul., 1998							

Change(s) apple to document, /M.C.E./ 6/23/2011

Examiner Signature	/Rasha Al Aubaidi/	Date Considered	09/29/2010

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

BERRY & ASSOCIATES P.C.
9229 SUNSET BOULEVARD
SUITE 630
LOS ANGELES, CA 90069

EXAMINER

AL AUBAIDI, RASHA S

ART UNIT PAPER NUMBER

2614

DATE MAILED: 05/12/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/428,822	07/05/2006	Samuel F. Wood	TLM-103C1CON2	4565

TITLE OF INVENTION: TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	08/12/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Ex. 1020 YMax Corporation

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

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ppropriate. All further adicated unless corrected aintenance fee notifical	correspondence includir ed below or directed oth	ig the Patent, advance on herwise in Block 1, by (a	rders and notification a) specifying a new co	of m	aintenance fees woondence address;	ill be and/or	mailed to the current (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for
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49637 BERRY & ASS 9229 SUNSET I SUITE 630 LOS ANGELES		72011		I here State addre trans	Cert eby certify that thi s Postal Service w essed to the Mail mitted to the USPT	ificate s Fee(s ith suff Stop O (57	of Mailing or Transn s) Transmittal is being ficient postage for first ISSUE FEE address 1) 273-2885, on the dat	nission deposited with the United class mail in an envelope above, or being facsimile e indicated below.
								(Depositor's name)
				_				(Signature) (Date)
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APPLICATION NO.	FILING DATE		FIRST NAMED INVEN				RNEY DOCKET NO.	CONFIRMATION NO.
11/428,822 ITLE OF INVENTION	07/05/2006 : TANDEM ACCESS C	ONTROLLER WITHIN	Samuel F. Wood THE PUBLIC SWITC		TELEPHONE NE		.M-103C1CON2 RK	4565
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSUE	FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300		\$0		\$1055	08/12/2011
EXAM	INER	ART UNIT	CLASS-SUBCLASS	3				
AL AUBAID	OI, RASHA S	2614	379-211010					
FR 1.363). Change of corresp Address form PTO/SE "Fee Address" ind	ence address or indication ondence address (or Cha 3/122) attached. ication (or "Fee Address' 12 or more recent) attached	nge of Correspondence	2. For printing on t (1) the names of u or agents OR, alter (2) the name of a s registered attorney 2 registered patent listed, no name wil	ip to native single or ag attor	3 registered patent ely, firm (having as a gent) and the name neys or agents. If n	members of u	er a 2	
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/428,822	07/05/2006	Samuel F. Wood	TLM-103C1CON2	4565
49637 75	90 05/12/2011		EXAM	INER
BERRY & ASSC	0111111111		AL AUBAID	I, RASHA S
9229 SUNSET BO SUITE 630	ULEVARD		ART UNIT	PAPER NUMBER
LOS ANGELES, C	CA 90069		2614	

DATE MAILED: 05/12/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1065 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1065 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)	
	11/428,822	WOOD ET AL.	
Notice of Allowability	Examiner	Art Unit	
	RASHA AL AUBAIDI	2614	
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in the or other appropriate communi IGHTS. This application is sub-	is application. If not included cation will be mailed in due course	
1. This communication is responsive to <u>03/07/2011</u> .			
2. \boxtimes The allowed claim(s) is/are <u>1-11</u> .			
 Acknowledgment is made of a claim for foreign priority ur a) All b) Some* c) None of the: Certified copies of the priority documents have Certified copies of the priority documents have Copies of the certified copies of the priority documents have Copies of the certified copies of the priority documents have Copies of the certified copies of the priority documents have Copies of the certified copies of the priority documents have Tertified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" 	e been received. e been received in Application cuments have been received in	No n this national stage application fro	
noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subm	···	INER'S AMENDMENT or NOTICE	E OF
INFORMAL PATENT APPLICATION (PTO-152) which give 5. CORRECTED DRAWINGS (as "replacement sheets") mus (a) including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the deponsition of th	st be submitted. son's Patent Drawing Review (. s Amendment / Comment or in .84(c)) should be written on the he header according to 37 CFR sit of BIOLOGICAL MATEF	PTO-948) attached the Office action of drawings in the front (not the back) 1.121(d). HAL must be submitted. Note the	
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	6. ☐ Interview Sum Paper No./Ma 7. ☐ Examiner's Ar	mal Patent Application Imary (PTO-413), ail Date nendment/Comment atement of Reasons for Allowance	9
/Rasha S AL-Aubaidi/ Primary Examiner, Art Unit 2614			

Issue Classification



Application/Control No.	Applicant(s)/Patent Under Reexamination
11428822	WOOD ET AL.
Examiner	Art Unit

2614

ORIGINAL						INTERNATIONAL CLASSIFICATION								
	CLASS			SUBCLASS					С	LAIMED			NON	-CLAIMED
379			211.01			Н	0	4	М	3 / 42 (2006.0)				
	CROSS REFERENCE(S)				H 0 4 M 7/00()				+					
CLASS	SUB	CLASS (ONE	SUBCLAS	S PER BLO	CK)									
379	224													

RASHA AL AUBAIDI

	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1														
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NONE			ns Allowed:
(Assistant Examiner)	(Date)	1	1
/RASHA AL AUBAIDI/ Primary Examiner.Art Unit 2614	05/06/2011	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

Search Notes



Application/0	Control No.
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11428822

Applicant(s)/Patent Under Reexamination

WOOD ET AL.

Examiner

Art Unit

RASHA S AL AUBAIDI

2614

SEARCHED

Class	Subclass	Date	Examiner
379	224, 221.11, 221.08	9/29/10	R.S
379	211.01 and updated search for 224, 221.00 & 221.08	05/06/2011	R.S

SEARCH NOTES		
Search Notes	Date	Examiner
Searched EAST (US PAT & PGPUB)	9/29/10	R.S
Updated EAST search (US PAT & PGPUB)	05/06/2011	R.S

	INTERFERENCE SEARC	H	
Class	Subclass	Date	Examiner
	Pgpub text search, see intereference search	05/06/2011	R.S

Ex. 1020

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	0	selectively near1 routing near1 communications near1 between near1 users near1 "one" near1 more near1 communications near1 networks.clm.	US- PGPUB; USPAT	OR	ON	2011/05/06 09:45
2	O	"one" near2 communication near1 networks near1 comprising near1 edge near1 switches near1 routing near1 calls near1 from near2 users near1 within near1 local near1 geographic near1 area near1 switching near1 facilities near1 routing near1 calls near1 other near1 edge near1 switches near1 other near1 switching near1 facilities near1 local near2 other near1 geographic near1 geographic near1 areas.clm.	US- PGPUB; USPAT	OR	ON	2011/05/06 09:49
L3	0	web near1 enabled near1 processing near1 system near1 facilitating near1 direct near2 user.clm.	US- PGPUB; USPAT	OR	ON	2011/05/06 09:51
L4	0	facilitating near1 access near2 web near1 enabled near1 processing near1 system near2 internet near1 authorized near1 users.clm.	US- PGPUB; USPAT	OR	ON	2011/05/06 09:59

L5	0	"one" near1 switching near1 facility near2 local near1 service near1 area near1 respect near2 specific near1 "one" near2 users near1 configured near1 route near1 communication near2 web near1 enabled near1 system.clm.	US- PGPUB; USPAT	OR	ON	2011/05/06 10:02
L6	0	executing near1 features near2 web near1 enabled near1 processing near1 system near1 control near1 routing near1 communications near2 web near1 enabled near1 processing near1 system.clm.	US- PGPUB; USPAT	OR	ON	2011/05/06 10:04
L7	0	receiving near1 message near1 indicating near1 communication near1 request near1 from near1 user near1 initiating near1 communication near2 intended near1 recipient near1 user. clm.	US- PGPUB; USPAT	OR	ON	2011/05/06 10:08
L8	0	determining near1 features near2 intended near1 recipient near1 user near1 establishing near1 communications near1 link near2 user near1 initiating near1 communication.clm.	US- PGPUB; USPAT	OR	ON	2011/05/06 10:09
L9	0	1 and 2 and 3 and 4 and 5 and 6 and 7 and 8	US- PGPUB; USPAT	OR	ON	2011/05/06 10:10

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Ex. 1020

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	5	(selectively near3 routing) near5 (users or subscribers)	USPAT	OR	OFF	2011/05/06 10:30
L2	19	(selectively near3 routing) same (users or subscribers)	USPAT	OR	OFF	2011/05/06 10:30
L3	14	(edge near2 switch \$) near6 (local near3 area)	USPAT	OR	OFF	2011/05/06 10:31
L4	401	379/211.01.ccls.	USPAT	OR	OFF	2011/05/06 10:32
L5	0	2 and 3 and 4	USPAT	OR	OFF	2011/05/06 10:32
L6	0	2 and 3	USPAT	OR	OFF	2011/05/06 10:32
L7	1	(edge near2 switch \$) near6 (local near3 geographic near3 area)	USPAT	OR	OFF	2011/05/06 10:32
L8	0	((selectively near3 routing) same (users or subscribers)) near6 (access near5 (web near2 enabled))	USPAT	OR	OFF	2011/05/06 10:37
L9	0	((selectively near3 routing) same (users or subscribers)) near6 (access\$ near5 (web near2 enabled))	USPAT	OR	ON	2011/05/06 10:37

L10	285	(selectively near3 routing) same (users or subscribers)	US- PGPUB; USPAT	OR	ON	2011/05/06 10:37
L11	23	(edge near2 switch \$) near6 (local near3 area)	US- PGPUB; USPAT	OR	ON	2011/05/06 10:38
L12	23	(edge near2 switch \$) near6 (local near3 area)	US- PGPUB; USPAT	OR	ON	2011/05/06 10:39
L13	0	10 and 11	US- PGPUB; USPAT	OR	ON	2011/05/06 10:39
L14	4	4 and 10	US- PGPUB; USPAT	OR	ON	2011/05/06 10:39
L15	0	(execut\$ near5 featur\$) near6 ((web near2 enabled) near4 (processing near3 system))	US- PGPUB; USPAT	OR	ON	2011/05/06 10:43
L16	8	(featur\$) near6 ((web near2 enabled) near4 (processing near3 system))	US- PGPUB; USPAT	OR	ON	2011/05/06 10:44

5/ 6/ 11 10:47:00 AM C:\ Documents and Settings\ RAlaubaidi\ My Documents\ EAST\ Workspaces \ 09567297.wsp

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Docket Number (Optional) TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING TLM-103C1CON2 REJECTION OVER A "PRIOR" PATENT In re Application of: Samuel F. Wood, et al. Application No.: 11/428,822 Filed: July 5, 2006 For: TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK percent interest in the instant application hereby disclaims, The owner*, <u>TELEMAZE LLC</u> , of <u>100</u> except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond as the term of said prior patent is defined in 35 U.S.C. 154 the expiration date of the full statutory term **prior patent** No. <u>6,532,288</u> and 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the **prior patent**, "as the term of said **prior patent** is presently shortened by any terminal disclaimer," in the event that said **prior patent** later: expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; is reissued; or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer. Check either box 1 or 2 below, if appropriate. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on in formation and belief are belie ved to be true; and further that these statements were made with the knowledge that willful falses tatements and the like so made are punis hable by fine or imprisonment, or both, under Se ction 1001 of Title 18 of the United States Code and that such statements may jeopardize the validity of the application or any patent issued thereon. 2. The undersigned is an attorney or agent of record. Reg. No. 33,830 March 7, 2011 /Reena Kuyper/ Signature Date Reena Kuyper Typed or printed name (310) 247-2860 Telephone Number Terminal disclaimer fee under 37 CFR 1.20(d) included. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Statement_under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).

Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Application No.: 11/428,822 Reply to Office Action of: October 5, 2010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	the App	olication of:) Customer No.:	49,637	
		Samuel F. WOOD, et al.) Confirmation No.:	4565	
Serial No.:		11/428,822) Group Art Unit:	2614	
Filed:		July 5, 2006	Examiner:	Al Aubaidi, Rasha S	
		DEM ACCESS CONTROLLER IIN THE PUBLIC SWITCHED) Docket No.:	TLM-103.C1CON2	
	TELEPHONE NETWORK) Office Action dated:	October 5, 2010	

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT & RESPONSE TO OFFICE ACTION

Dear Sir:

In response to the office action dated October 5, 2010, please amend the application as indicated in the following pages. Applicants' representative requested a personal interview with the Examiner, the final date of which is still being determined. Applicants appreciate the courtesy extended by the Examiner in considering a personal interview. As the deadline for this response falls before such an interview can occur, Applicant's submit this Amendment and response, but may supplement it further to the personal interview with the Examiner.

The **Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this submission.

The **Remarks/Arguments** begin on page 6 of this submission.

IN THE CLAIMS:

Please amend the claims as indicated. A complete set of the claims is included below, reflecting added subject matter (*with underlining*) and deleted subject matter (*with strikethrough*), as well as the current status of each claim. This listing of claims will replace all prior versions and listings of the claims in this application:

1. (Currently Amended) A method for selectively routing communications between users of one or more communications networks, wherein the users either 1) initiate a communication, 2) receive a communication, or 3) control a communication, the routing performed by a user of a public switched telephone network (PSTN) by a web-enabled processing system connected to operate at least in part with the one or more communication networks, at least one of the communication networks comprising edge switches for routing calls from and to users within a local geographic area and switching facilities for routing calls to other edge switches or other switching facilities local or in other geographic areas, the web-enabled processing system facilitating direct access by a user, said the user having a communications device with which to communicate with the web-enabled processing system, said the method comprising the steps of:

facilitating access to accessing a remote tandem access controller (TAC) the webenabled processing system, via the Internet by said user-authorized users, said TAC being
within the PSTN the web-enabled processing system and coupled to a PSTN tandem
switch at least one switching facility for a local service area with respect to said user, said
TAC a specific one of the users and configured to route a communication via the webenabled processing system from the specific one of the users to an intended recipient of
the users, for processing incoming calls from a calling party, via said tandem switch,
intended by said calling party to be received by said user's communications device;

selecting executing features via the web-enabled processing system to control the routing of communications via the web-enabled processing system, the features being predetermined by the user the users via the Internet to be applied by said TAC to said incoming calls before the features are executed via the web-enabled processing system,

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Reply to Office Action of:

11/428,822 October 5, 2010

wherein said TAC the web-enabled processing system performing the following operations to execute the features:

first, receives receiving a message indicating a communication request from a user initiating a communication for an intended recipient user, the message request transmitted using a signalling protocol of the at least one communication network;

second, validating and acknowledging said communications request without first forwarding said request to a terminating edge switch within the intended recipient users geographic area;

third, determining the features for the intended recipient user and establishing a communications link to the user initiating the communication;

fourth, selecting a routing path over the at least one communication network in accordance with the features for the intended recipient user; first call from a calling party intended for said the user's communication device and processes said

fifth, routing the communication first call in accordance with said the features, prior to the first call reaching a terminating central office said method performed by said user further comprising: and

receiving a second call by said user from said TAC, via said tandem switch, after said first call has been processed by said TAC in accordance with said features selected and without the first call reaching the terminating central office; and

sixth, completing answering said second call to cause said TAC to complete a communications link between said calling party and said user the user initiating the communication and the intended recipient user, when the intended recipient user accepts the communication from the user initiating the communication.

2. (Currently Amended) The method of claim 1, wherein said TAC receiving a first eall from said calling party comprises said calling party calling the user initiating the communication uses a first telephone number, and said receiving a second call from said TAC comprises said TAC the web-enabled processing system for routing the communication in accordance with the features placing said routes the second call to a communication to a

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communications device designated by said the intended recipient user that is reached by using a second telephone number.

- 3. (Currently Amended) The method of claim 1, wherein said the intended recipient user's communications device is a telephone, and wherein the intended recipient user by answering said second call accepting the communication causes said TAC the web-enabled processing system to connect a calling party's communication device of the user initiating the communication to said the intended recipient user's telephone.
- 4. (Currently Amended) The method of claim 1, wherein said the intended recipient user's communications device is a telephone, and wherein the intended recipient user by answering said second call the communication, causes said TAC web-enabled processing system to connect a calling party's communication device of the user initiating the communication to other than said a device other than the intended recipient user's telephone, as designated by said the intended recipient user during said the step of selecting features.
- 5. (Currently Amended) The method of claim 1, wherein said the intended recipient user's communications device is a computer, and wherein upon answering said second call the communication, the web-enabled processing system causes said TAC the web-enabled processing system to connect a calling party's communication device of the user initiating a communication to said user's a computer of the intended recipient user.
- 6. (Currently Amended) The method of claim 1, wherein said first call the communication is placed initiated by said calling party the user initiating the communication through a PSTN central office an edge switch in the local geographic area of the user initiating the communication to said TAC the web-enabled processing system, and the communication is routed via said second call is a non-toll communication network call placed by said TAC by the web-enabled communication network through a central office an edge switch to said user's the intended recipient user's communications device.

Application No.: 11/428,822 Reply to Office Action of: October 5, 2010

7. (Currently Amended) The method of claim 1, wherein said the features are selected from the one of comprise any one of the following:

a) selective call forwarding wherein said second call whereby the communication device is to a particular communications device is based on one of either a time of said first eall the communication and the party making the first call user initiating the communication; and

b) conditional eall blocking of the communication.

8. (Currently Amended) The method of claim 1, wherein said TAC the web-enabled processing system receiving a first call from a calling party comprises receiving said first call receives the communication via said the switching facility, which comprises a tandem switch in a communication network, which is a circuit-switched network said PSTN.

9. (Currently Amended) The method of claim 1, further comprising the step of: accessing said TAC the web-enabled processing system, which comprises a controller, by said the users via the Internet to obtain information regarding ealls communications either received and or placed initiated by said the users.

10. (Currently Amended) The method of claim 1, further comprising the steps of: accessing said TAC the web-enabled processing system, to place a call initiate a communication by said the user to a third party, said TAC placing said call the web-enabled processing system routing the communication to said the third party; and communicating with said the third party after said TAC the web-enabled processing system connects said the user's communications device to a communications device of said the third party.

11. (Currently Amended) The method of claim 1 wherein said accessing said TAC comprises using the Internet to communicate a telephone number to said TAC for placing a call to said third party.

12-24. (Canceled)

11/428,822 October 5, 2010

REMARKS

This amendment is in response to the office action dated October 5, 2010. Applicants have amended claims 1-11. Claims 12-24 are canceled, without prejudice. In the office action, the Examiner rejected claims 1, 13, and 19 (as they appeared before amendment), as being unpatentable over claims 1 and 9 of U.S. Patent No. 6,532,288, on the basis of nonstatutory obviousness-type double patenting. The Examiner also indicates that although claims 1, 13, and 19 (before amendment) are not identical to claims 1 and 9 of U.S. Patent No. 6,532,288, they are broader, which is the reason for the Examiner's rejection. The Examiner rejects claims 2-24 for the same reasons. Although Applicants respectfully submit that there are differences in the claims, in order to expedite conclusion of this application, Applicants submit with this amendment a terminal disclaimer to obviate the double patenting rejection. Moreover, Applicants have amended the claims here to be more specific.

Conclusion

Favorable reconsideration of the rejected claims and the new claims presented here is respectfully requested. Finally, Applicants have requested a personal interview with the Examiner, the exact date for which is being determined. In the event there remain any issues with the claims as presented here, Applicants request the Examiner to resolve them in the personal interview to quickly conclude prosecution of this application.

Respectfully submitted,

BERRY & ASSOCIATES P.C.

Dated: March 7, 2011

By: /Reena Kuyper/
Reena Kuyper

Registration No. 33,830

9229 Sunset Blvd., Suite 630 Los Angeles, CA 90069 (310) 247-2860

Electronic Patent Application Fee Transmittal								
Application Number:	11-	11428822						
Filing Date:	05	-Jul-2006						
Title of Invention:	Tandem access controller within the public switched telephone network							
First Named Inventor/Applicant Name:	Sai	muel F. Wood						
Filer:	Re	ena Kuyper						
Attorney Docket Number:	TL	M-103C1CON2						
Filed as Small Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Statutory or terminal disclaimer		2814	1	70	70			
Extension-of-Time:					Ex. 1020			

YMax Corporation
Page 110 of 283

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Extension - 2 months with \$0 paid	2252	1	245	245				
Miscellaneous:								
	315							

Electronic Acl	Electronic Acknowledgement Receipt					
EFS ID:	9606022					
Application Number:	11428822					
International Application Number:						
Confirmation Number:	4565					
Title of Invention:	Tandem access controller within the public switched telephone network					
First Named Inventor/Applicant Name:	Samuel F. Wood					
Customer Number:	49637					
Filer:	Reena Kuyper					
Filer Authorized By:						
Attorney Docket Number:	TLM-103C1CON2					
Receipt Date:	07-MAR-2011					
Filing Date:	05-JUL-2006					
Time Stamp:	23:18:03					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$315
RAM confirmation Number	8055
Deposit Account	503102
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Ex. 1020

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

YMax Corporation

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	nt Description File Name		Multi Part /.zip	Pages (if appl.)				
1	Terminal Disclaimer Filed TLM-103C1CON2_terminal_dis		293706	no	1				
·		claimer_final_3-7-11.pdf	a6712a911690e5b15f2f85439b8408131c38 42e1	0	·				
Warnings:									
Information:									
2		TLM-103C1CON2_ROA_final_3-	51803	yes	6				
-		7-11.pdf	5a891c0ea126700f88c3dd3521e27dfbbce9 e6d5	,	·				
Multipart Description/PDF files in .zip description									
	Document De	escription	Start	E	nd				
	Amendment/Req. Reconsiderat	1	1						
	Claim	S	2	5					
	Applicant Arguments/Remarks	s Made in an Amendment	6	6					
Warnings:									
Information:									
3	Fee Worksheet (PTO-875)	fee-info.pdf	31606	no	2				
_			07956c637296bcb35ece2d77f180ad5351e e9a04		_				
Warnings:									
Information:									
		Total Files Size (in bytes)	37	77115					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						Application or Docket Number 11/428,822		Filing Date 07/05/2006		To be Mailed	
APPLICATION AS FILED – PART I (Column 1) (Column 2)							SMALL	ENTITY 🛛	OR		HER THAN
	FOR	N	JMBER FIL	ED NUM	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		1	N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A		1	N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),	Ε	N/A		N/A		N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		OR	X \$ =	
IND	EPENDENT CLAIM CFR 1.16(h))	IS	m	inus 3 = *			X \$ =		1	X \$ =	
	APPLICATION SIZE 37 CFR 1.16(s))	shee is \$2 addit 35 U	ts of pape 50 (\$125 ional 50 s S.C. 41(ation and drawing er, the applicatio for small entity) sheets or fraction a)(1)(G) and 37	n size fee due for each n thereof. See						
Щ	MULTIPLE DEPEN		,	377							
* If t	he difference in colu		,				TOTAL			TOTAL	
	APP	(Column 1)	AMENE	DED — PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
TN	03/07/2011	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 11	Minus	** 23	= 0		X \$26 =	0	OR	X \$ =	
NE NE	Independent (37 CFR 1.16(h))	* 1	Minus	***3	= 0		X \$110 =	0	OR	X \$ =	
4ME	Application S	ize Fee (37 CFR 1	.16(s))								
	FIRST PRESEN	NTATION OF MULTIF	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR		
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
Ш	Application S	ize Fee (37 CFR 1	.16(s))								
AN	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						OR				
						• '	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/428,822	07/05/2006	Samuel F. Wood	TLM-103C1CON2	4565
.,	7590 10/05/201 SOCIATES P.C.	0	EXAM	INER
9229 SUNSET			AL AUBAID	I, RASHA S
SUITE 630 LOS ANGELES	S, CA 90069		ART UNIT	PAPER NUMBER
			2614	
			MAIL DATE	DELIVERY MODE
			10/05/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)					
Office Action Commons	11/428,822	WOOD ET AL.					
Office Action Summary	Examiner	Art Unit					
	RASHA S. AL AUBAIDI	2614					
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status							
1)⊠ Responsive to communication(s) filed on <u>05 Ju</u>	ly 2006.						
,	action is non-final.						
3) Since this application is in condition for allowan	ce except for formal matters, pro	secution as to the merits is					
closed in accordance with the practice under E.	x parte Quayle, 1935 C.D. 11, 45	i3 O.G. 213.					
Disposition of Claims							
4) Claim(s) 1-24 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 1-24 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement.							
Application Papers							
9) ☐ The specification is objected to by the Examiner. 10) ☑ The drawing(s) filed on 05 July 2006 is/are: a) ☑ accepted or b) ☐ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.							
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.							
Attachment(s)	» П	(220, 110)					
 Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other:	ite					

Application/Control Number: 11/428,822 Page 2

Art Unit: 2614

DETAILED ACTION

Information Disclosure Statement

1. The information disclosure statement (IDS) submitted is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the Examiner.

Double Patenting

2. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

3. Claims 1, 13 and 19 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1, and 9 of U.S. Patent No. 6,532,288. Although the conflicting claims are not identical, they are not patentably

Application/Control Number: 11/428,822 Page 3

Art Unit: 2614

CCPA 1982).

distinct from each other because the claimed invention in the instant application is fully disclosed in patent number 6,532,288 and it is <u>broader</u> than the claimed invention in the patent. No new invention or new improvement is being claimed in the instant application. Applicant is now attempting to claim <u>broadly</u> that which had been previously described in more detail in the claims of the patent (In re Van Ornum, 214 USPQ 761

Claims 2-24 are rejected for the same reasons as discussed above with respect to claims 1, 13 and 19.

Conclusion

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Rasha S AL-Aubaidi whose telephone number is (571) 272-7481. The examiner can normally be reached on Monday-Friday from 8:30 am to 5:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ahmad Matar, can be reached on (571) 272-7488.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

Application/Control Number: 11/428,822

Art Unit: 2614

you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Rasha S. AL-Aubaidi/

Primary Examiner, Art Unit 2614

Page 4

Index of Claims 11428822 Examiner RASHA S AL AUBAIDI Applicant(s)/Patent Under Reexamination WOOD ET AL. Art Unit 2614

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected
☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47							D. 🔲 R.1.47

Claims	renumbered	in the same order	as presented by	applicant		□ СРА	□ т.с	D. 🗆	R.1.47
CL	CLAIM			DATE	DATE				
Final	Original	09/29/2010							
	1	✓							
	2	✓							
	3	✓							
	4	✓							
	5	✓							
	6	✓							
	7	✓							
	8	✓							
	9	✓							
	10	✓							
	11	✓							
	12	✓							
	13	✓							
	14	✓							
	15	✓							
	16	✓							
	17	✓							
	18	✓							
	19	✓							
	20	√							
	21	√							
	22	√							
	23	√							
	24	✓							

U.S. Patent and Trademark Office $\begin{array}{c} \text{Part of Paper No.: } 20100929 \\ \text{Ex. } 1020 \end{array}$

Search Notes



App	licatio	on/Cor	ntrol No
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11428822

Applicant(s)/Patent Under Reexamination

WOOD ET AL.

Examiner

Art Unit

RASHA S AL AUBAIDI

2614

SEARCHED

Class	Subclass	Date	Examiner
379	224, 221.11, 221.08	9/29/10	R.S

SEARCH NOT	ES	
Search Notes	Date	Examiner
Searched FAST (LIS PAT & PGPLIB)	9/29/10	RS

	INTERFERENCE SEA	RCH	
Class	Subclass	Date	Examiner

Ex. 1020



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 4565

SERIAL NUM	BER	FILING or			CLASS	GRO	OUP ART UNIT		P ART UNIT ATTORNEY DOC	
11/428,82	2	07/05/2	_		379		2614		TLN	M-103C1CON2
		RUL	E							
Jerry A. k	. Wood (lein, Lo	, Los Altos H os Altos, CA; Asprey, Los <i>i</i>								
** CONTINUING DATA ***********************************										
** FOREIGN AI	PPLICA	TIONS *****	******	*****	*					
** IF REQUIRE 07/19/200		EIGN FILING	LICENS	E GRA	ANTED ** ** SMA	LL E	NTITY **			
Foreign Priority claime		Yes No	☐ Metaf	iter	STATE OR	_	HEETS	TOTA		INDEPENDENT
	RASHA S		☐ Met af Allowa	ince	COUNTRY	DKA	WINGS	CLAII		CLAIMS 3
	AUBAIDI/ Examiner's	Signature	Initials		CA			24		3
ADDRESS										
9229 SUN SUITE 63	NSET B 30 GELES,	CIATES P.C. OULEVARD CA 90069 S								
TITLE										
Tandem a	access	controller with	nin the put	olic sw	itched telephone	netw	ork			
							☐ All Fe	es		
		A the a vite . he a a	book sike	sa ia D	0.004		☐ 1.16 F	ees (Fili	ng)	
		Authority has to			aper EPOSIT ACCOU l	_{NT}	☐ 1.17 F	ees (Pro	ocessi	ing Ext. of time)
525 No for following:							☐ 1.18 F	ees (lss	ue)	
☐ Other										
							☐ Credit	- -		
l										

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	831	PSTN and (tandem near2 switch)	US- PGPUB; USPAT	OR	ON	2010/09/29 13:44
L2	225	379/224.ccls.	US- PGPUB; USPAT	OR	ON	2010/09/29 13:44
L3	510	379/221.08.ccls.	US- PGPUB; USPAT	OR	ON	2010/09/29 13:44
L4	123	379/221.11.ccls.	US- PGPUB; USPAT	OR	ON	2010/09/29 13:44
L5	1126	379/201.01.ccls.	US- PGPUB; USPAT	OR	ON	2010/09/29 13:44
L6	17	TAc near5 PSTN	US- PGPUB; USPAT	OR	ON	2010/09/29 13:44
L7	17	TAC near5 PSTN	US- PGPUB; USPAT	OR	ON	2010/09/29 13:45
L8	12	TAC near5 ((select\$ or apply \$) near6 (feature))	US- PGPUB; USPAT	OR	ON	2010/09/29 13:54
L9	12	TAC near7 ((select\$ or apply \$) near6 (feature))	US- PGPUB; USPAT	OR	ON	2010/09/29 13:55
L10	11	1 and 9	US- PGPUB; USPAT	OR	ON	2010/09/29 13:55
L11	0	10 not 6	US- PGPUB; USPAT	OR	ON	2010/09/29 13:55

9/ 29/ 10 2:10:50 PM C:\ Documents and Settings\ RAlaubaidi\ My Documents\ EAST\ Workspaces\ 123. wsp

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
TLM-103C1CON2	11/428,822
APPLICANT:	
Samuel F. WOOD, et al.	
FILING DATE:	GROUP:
July 5, 2006	2614

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	2001/0022784A1	09-2001	Menon et al.			
	2001/0030950A1	10-2001	Chen et al.			
	2003/0026403A1	11-2007	Clapper			
	2003/0040325A1	02-2003	Clark			
	2003/0095650A1	05-2003	Mize			
	2003/0133553A1	07-2003	Khakoo et al.			
	2003/0156693A1	08-2003	Goldman			
	2003/0194078A1	10-2003	Wood et al.			
	2004/0029568A1	02-2004	DeLuca et al.			
	2005/0041526A1	02-2005	Esmersoy et al.			
	2005/0141500A1	06-2005	Bhandari et al.			
	2005/0169445A1	08-2005	Harris			
	2005/0207557A1	09-2005	Dolan et al.			
	4,313,035	01-1982	Jordan et al.			
	4,348,554	09-1982	Asmuth			
	4,611,094	09-1986	Asmuth et al.			
	4,611,096	09-1986	Asmuth et al.			
	4,953,198	08-1990	Daly et al.			
	4,973,837	11-1990	Bradbeer			
	5,297,191	03-1994	Gerszberg			
	5,311,582	05-1994	Davenport et al.			
	5,428,663	06-1995	Grimes et al.			

EXAMINER:/Rasha Al Aubaidi/	DATE CONSIDERED:	09/29/2010

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
TLM-103C1CON2	11/428,822
APPLICANT:	
Samuel F. WOOD, et al.	
FILING DATE:	GROUP:
July 5, 2006	2614

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,448,623	09-1995	Wiedeman et al.			
	5,455,853	10-1995	Cebulka et al.			
	5,471,616	11-1995	Johnson et al.			
	5,495,567	02-1996	Iizawa et al.			
	5,497,339	03-1996	Bernard			
	5,557,658	09-1996	Gregorek et al.			
	5,563,937	10-1996	Bruno et al.			
	5,566,236	10-1996	MeLampy et al.			
	5,606,594	02-1997	Register et al.			
	5,628,004	051997	Gormley et al.			
	5,646,945	07-1997	Bergler			
	5,727,057	03-1998	Emery et al.			
	5,727,057	03-1998	Emery et al.			
	5,732,074	03-1998	Spaur et al.			
	5,732,216	03-1998	Logan et al.			
	5,737,533	04-1998	De Hond			
	5,742,905	04-1998	Pepe et al.			
	5,802,160	09-1998	Kugell et al.			
	5,806,057	09-1998	Gormley et al.			
	5,838,665	11-1998	Kahn et al.			
	5,850,433	12-1998	Rondeau			
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EXAMINER:	/Rasha Al Aubaidi/	DATE CONSIDERED:	09/29/2010

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
TLM-103C1CON2	11/428,822
APPLICANT:	
Samuel F. WOOD, et al.	
FILING DATE:	GROUP:
July 5, 2006	2614

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,875,405	02-1999	Honda			
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	5,878,418	03-1999	Polcyn et al.			
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	5,915,008	06-1999	Dulman			
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	5,953,392	09-1999	Rhie et al.			
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	5,960,340	09-1999	Fuentes			
	5,970,059	10-1999	Ahopelto et al.			
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	5,982,866	11-1999	Kowalski			
	5,991,394	11-1999	Dezonno et al.			
	6,006,272	12-1999	Aravamudan et al.			
	6,012,088	01-2000	Li et al.			
	6,014,437	01-2000	Acker et al.			
	6,020,916	02-2000	Gerszberg et al.			

EXAMINER:	/Rasha Al Aubaidi/	DATE CONSIDERED:	09/29/2010	

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July 5, 2006	2614

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,028,917	02-2000	Creamer et al.			
	6,031,836	02-2000	Haserodt			
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EXAMINER:	/Rasha Al Aubaidi/	DATE CONSIDERED:	09/29/2010

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		FOREIGN	N PATENT DOCUMENTS			
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T1 5 2000	2614

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)					
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		BroadSoft introduces industry's first complete service delivery and creation product suite for enhanced telephony services Broadworks, ATM Newsletter: Boston: March 2000, vol. 9, Iss. 3, pg 13				
	9	BroadSoft unveils advanced architecture for the rapid and cost effective delivery of enhanced communications services, Website, August 25, 1999, Press releases, 3 pages.				
	10	ADC Telecommunications; SS7 New Net SS7 Tutorial; Copyright 1999.				
	11	Mary Carmichael, "Calls That Follow you Anywhere" Newsweek, April 28, 2003, page 43.				
	12	European Search Report, 3 pages, from European Application No. 04252483.5 (EP Patent No. 1473947B1).				
	13	U.S. patent application Ser. No. 09/406,322, Schuster et al., filed Sep. 27, 1999				
	14	U.S. patent application Ser. No. 09/515,798, Schuster et al., filed Feb. 29, 2000				

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1	Samuel F. WOOD, et al.					
ı	FILING DATE:	GROUP:				
1	July 5, 2006	2614				

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE	
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	5,469,500	11-21-1995	Satter et al.	379	201		
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YE	ISLATI NO

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)			
EXAMINER INITIAL				

EXAMINER:	/Rasha Al Aubaidi/	DATE CONSIDERED:	09/29/2010





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Samuel F. Wood, Jerry A. Klein, Margaret Susan Asprey

Assignee:

Telemaze LLC

Title:

Tandem Access Controller Within the Public Switched Telephone

Network

Serial No.:

11/428,822

Filing Date:

July 5, 2006

Examiner:

Not yet known

Group Art Unit:

2614

Docket No.:

TEL-M-8801-1P-1C

San Jose, California July 17, 2006

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO/SB/08A are called to the attention of the Examiner for the above patent application. Copies of the References are not included because they were cited in the parent application no. 10/426,279, filing date April 30, 2003.

- 1. A very large quantity of prior art is cited in the PTO/SB/08A form (formerly PTO 1449) because the present invention is related to the invention in U.S. Patent 6,614,781 to Elliot, and the identified prior art is copied from the "References Cited" section of that patent. There is no other reason for why the Applicants believe the identified art is material to the present claims. Only a few of the prior art documents are discussed below.
- 2. Various prior art documents describe systems in which telephone features are only applied once a call reaches the called party's central office. In contrast, Applicants' claims specify that the telephone features are applied before the call reaches the terminating central office. This provides various important advantages, discussed in the application. Other distinguishing aspects of the claims also exist.

PATENT LAW GROUP LLP 2635 N. FIRST ST. SUITE 223 SAN JOSE, CA 95134 (408) 382-0480 FAX (408) 382-0481

- 3. U.S. Patent 6,614,781 to Elliot discloses a method of implementing a telephone feature that requires a change, modification, or enhancement to the software of the central office of the PSTN. To use the features offered by the Elliot '781 patent, the call must reach the central office offering the feature.
- 4. U.S. Patents 6,445,694 and 6,785,266 to Swartz disclose methods to provide telephone features, where the processing that provides the features occurs outside of the PSTN.
- 5. U.S. Patent 6,094,478 to Shepherd describes a processor for providing features, where the processor is located at the terminating central office.
- 6. U.S. Patent 6,853,714 to Liljestrand is similar to the Shepherd patent in that the processing for providing telephone features occurs at the central office.

Citation of these documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
 - 2. a representation that a search has been made; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Attorney for Applicant(s)

Date

Respectfully submitted,

Brian D. Ogonowsky
Attorney for Applicant(s)

Reg. No. 31,988

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1

Col	Complete if Known			
Application Number	11/428,822			
Filing Date	July 5, 2006			
First Named Inventor	Samuel F. Wood			
Art Unit	2614			
Examiner Name	Not yet known			
Attorney Docket Number	TEL-M-8801-1P-1C			

	U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		^{US-} 4100377	Jul., 1978	Flanagan		
		^{US-} 4238851	Dec., 1980	Takahashi et al.		
		^{US-} 4569041	Feb., 1986	Takeuchi et al.		
		^{US-} 4608685	Aug., 1986	Jain et al.		
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Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2

Complete II Known			
Application Number	11/428,822		
Filing Date	July 5, 2006		
First Named Inventor	Samuel F. Wood		
Art Unit	2614		
Examiner Name	Not yet known		
Attorney Docket Number	TEL-M-8801-1P-1C	\neg	

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		^{US-} 4866704	Sep., 1989	Bergman	
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	FOREIGN PATENT DOCUMENTS						
Examiner Cite	Foreign Patent Document			Pages, Columns, Lines, Where Relevant Passages			
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Examiner Signature	/Rasha Al Aubaidi/	Date Considered	09/29/2010

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		Application Number	11/428,822	
INFORM	ATION DISCLOSURE	Filing Date	July 5, 2006	
		First Named Inventor	Samuel F. Wood	
	ENT BY APPLICANT	Art Unit	2614	
(Use as many sheets as necessary)		Examiner Name	Not yet known	
Sheet 3	of 22	Attorney Docket Number	TEL-M-8801-1P-1C	

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T*		

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Application Number 11/428,822

Filing Date July 5, 2006

First Named Inventor Samuel F. Wood

Art Unit 2614

Examiner Name Not yet known

Sheet 4

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ₆
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	Salate let form 1443/F 10	Application Number	11/428,822	_	
19	MENDMATION DISCLOSURE	Filing Date	July 5, 2006	_	
		First Named Inventor	Samuel F. Wood	_	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Art Unit	2614	_		
	(Use as many sheets as necessary)	Examiner Name	Not yet known	_	
Sheet	5 of 22	Attorney Docket Number	TEL-M-8801-1P-1C	7	

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Application Number 11/428,822

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First Named Inventor Samuel F. Wood

Art Unit 2614

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Sheet 6 of 22 Attorney Docket Number TEL-M-8801-1P-1C

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Examiner Initials*	Cite No.1		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
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			U. S. PATENT	DOCUMENTS	
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	L /Hasna Al Aubaidi∕		

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Application Number 11/428,822

Filing Date July 5, 2006

First Named Inventor Samuel F. Wood

Art Unit 2614

Examiner Name Not yet known

Sheet 8

			U. S. PATEN	T DOCUMENTS	
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Complete if Known Substitute for form 1449/PTO Application Number 11/428,822 Filing Date July 5, 2006 INFORMATION DISCLOSURE First Named Inventor Samuel F. Wood STATEMENT BY APPLICANT Art Unit 2614 (Use as many sheets as necessary) Examiner Name Not yet known Sheet 9 Attorney Docket Number | TEL-M-8801-1P-1C

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Complete if Known Substitute for form 1449/PTO **Application Number** 11/428,822 Filing Date July 5, 2006 INFORMATION DISCLOSURE First Named Inventor Samuel F. Wood STATEMENT BY APPLICANT Art Unit 2614 (Use as many sheets as necessary) **Examiner Name** Not yet known Sheet 10 Attorney Docket Number TEL-M-8801-1P-1C

Examiner	Cite	D		DOCUMENTS	T &
nitials* No.1			Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		^{US-} 5933490	Aug., 1999	White et al.	
		^{US-} 5954799	Sep., 1999	Goheen et al.	
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		^{US-} 6028917	Feb., 2000	Creamer et al.	
		^{US-} 6104800	Aug., 2000	Benson	
		^{US-} 6078581	Jun., 2000	Shtivelman et al.	
		^{US-} 6259692	Jul., 2001	Shtivelman et al.	

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Examiner Cite Initials* No.1	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
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Examiner Signature	/Rasha Al Aubaidi/	Date Considered	09/29/2010

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patient Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patient document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Sheet 11

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	11/428,822				
Filing Date	July 5, 2006				
First Named Inventor	Samuel F. Wood				
Art Unit	2614				
Examiner Name	Not yet known				
Attorney Docket Number	TEL-M-8801-1P-1C				

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6014437	Jan., 2000	Acker et al.	
		^{US-} 5799072	Aug., 1998	Vulcan et al.	
		^{US-} 5946386	Aug., 1999	Rogers et al.	
		^{US-} 6005870	Dec., 1999	Leung et al.	
		^{US-} 6161128	Dec. 2000	Smyk	
		^{US-} 6614781	Sep., 2003	Elliott et al.	
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Ĺ		FORE	IGN PATENT DOCU	MENTS		
	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Examiner Signature	/Rasha Al Aubaidi/	Date Considered	09/29/2010

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Sub	stitute for form 1449/PTO	Col	mplete if Known
		Application Number	11/428,822
in	IFORMATION DISCLOSURE	Filing Date	July 5, 2006
		First Named Inventor	Samuel F. Wood
S	TATEMENT BY APPLICANT	Art Unit	2614
	(Use as many sheets as necessary)	Examiner Name	Not yet known
Sheet	12 of 22	Attorney Docket Number	TEL-M-8801-1P-1C

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
		EP 0 789 470	Aug., 1997			
		EP 0 794 650	Sep., 1997			
		EP 0 797 373	Sep., 1997			
		EP 0 824 298	Feb., 1998			
		EP 0 829 995	Mar., 1998			
		EP 0 841 831	May., 1998			

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Attorney Docket Number | TEL-M-8801-1P-1C

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Complete if Known Substitute for form 1449/PTO Application Number 11/428,822 Filing Date July 5, 2006 INFORMATION DISCLOSURE First Named Inventor Samuel F. Wood STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) **Examiner Name** Not yet known Sheet 13

			U. S. PATENT D	OCUMENTS	·
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan
		Number-Kind Code ^{2 (# known)}	IVIIVI-DD-1111	Applicant of Cited Document	Figures Appear
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		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	⊤°
		EP 0 847 176	Jun., 1998			
		EP 0 866 596	Sep., 1998			
		EP 0 872 998	Oct., 1998			
		GB 2 315 190	Jan., 1998			
		JP 10-23067	Jan., 1998			
		JP 10-51453	Feb., 1998			

Examiner	/Racha Al Auhaidi/	Date
Signature	/Rasha Al Aubaidi/	Considered 09/29/2010

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Application Number 11/428,822

Filing Date July 5, 2006

First Named Inventor Samuel F. Wood

Art Unit 2614

Examiner Name Not yet known

Sheet	14	of 22		Attorney Docket Number TEL-M-8801-1P-1C	
			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Docu	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code ^{2 (# known)}			Figures Appear
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		JP 10-164135	Jun., 1998			
		JP 10-164257	Jun., 1998			
		WO 96/08935	Mar., 1996			L
		WO 96/15598	May., 1996			
		WO 97/14238	Apr., 1997			
		WO 97/14234 A2	Apr., 1997			

Examiner Signature	/Rasha Al Aubaidi/	Date Considered	09/29/2010

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U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
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		WO 97/16007	May., 1997					
		WO 97/22216	Jun., 1997	<u>-</u>				
		WO 97/23078	Jun., 1997		-			
		WO 97/27692	Jul., 1997		<u> </u>			
		WO 97/28628	Aug., 1997					
		WO 97/29581	Aug., 1997					

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Complete if Known Substitute for form 1449/PTO Application Number 11/428,822 Filing Date July 5, 2006 INFORMATION DISCLOSURE First Named Inventor Samuel F. Wood STATEMENT BY APPLICANT Art Unit 2614 (Use as many sheets as necessary) **Examiner Name** Not yet known Sheet 16 Attorney Docket Number TEL-M-8801-1P-1C

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Examiner Initials*	Cite No.¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		WO 97/31492	Aug., 1997			
		WO 97/33412	Sep., 1997			
		WO 97/38551	Oct., 1997			
		WO 97/39560	Oct., 1997			
		WO 97/38511 A2	Oct., 1997	_		
		WO 97/47118	Dec., 1997	-		

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		Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		WO 97/50217	Dec., 1997			
		WO 97/50271	Dec., 1997			
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		WO 98/04989	Feb., 1998			
		WO 98/11704	Mar., 1998			

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		First Named Inventor	Samuel F. Wood			
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Examiner	Cite	Document Number	U. S. PATENT DO	Name of Patentee or	Pages, Columns, Lines, Where
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		Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T
		Wo 98/12860	Mar., 1998			
		WO 98/13974	Apr., 1998			
		WO 98/18238	Apr., 1998			
		WO 98/18289	Apr., 1998			
		WO 98/19425	May., 1998			
		WO 98/19445	May., 1998			

Examiner	/Dacha Al Aubaidi/	Date	0.010.010.01.0
Signature	/Hasha Al Aubaidi/	Considered	09/29/2010

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Complete if Known Substitute for form 1449/PTO **Application Number** 11/428,822 Filing Date July 5, 2006 INFORMATION DISCLOSURE First Named Inventor Samuel F. Wood STATEMENT BY APPLICANT Art Unit 2614 (Use as many sheets as necessary) **Examiner Name** Not yet known Sheet 19 Attorney Docket Number | TEL-M-8801-1P-1C

	T		U. S. PATENT D		
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Т'	
		WO 98/20701	May., 1998				
		WO 98/23067	May., 1998				
		WO 98/23080	May., 1998				
		WO 98/26543	Jun., 1998				
		WO 0 851 653	Jul., 1998				
		WO 0 853 411 A2	Jul., 1998				

Examiner Signature	/Rasha Al Aubaidi/	Date Considered	09/29/2010

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1	449/PTO	Complete if Known		
		Application Number	11/428,822	
INICODMA	TION DISCLOSURE	Filing Date	July 5, 2006	
		First Named Inventor	Samuel F. Wood	
	ENT BY APPLICANT	Art Unit	2614	
(Use as many sheets as necessary)		Examiner Name	Not yet known	
heet 20	of 22	Attorney Docket Number	TEL-M-8801-1P-1C	

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear	
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		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY	77	Or Relevant Figures Appear	Т
		WO 98/28885	Jul., 1998			
		WO 98/30007	Jul., 1998	-		
		WO 98/30008	Jul., 1998			
		WO 98/34391	Aug., 1998			
		WO 98/34399	Aug., 1998			
_		WO 98/36543	Aug., 1998			

Examiner	/Dacha Al Aubaidi/	1	Date	00/20/2010
Signature	/Hasha Al Aubaidi/	1	Considered	03/23/2010
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO Application Number 11/428,822 Filing Date July 5, 2006 INFORMATION DISCLOSURE First Named Inventor Samuel F. Wood STATEMENT BY APPLICANT Art Unit 2614 (Use as many sheets as necessary) **Examiner Name** Not yet known Sheet 21 Attorney Docket Number | TEL-M-8801-1P-1C

			U. S. PATENT DO	OCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS						
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		Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY	•	Or Relevant Figures Appear	۲°	
		WO 98/37665	Aug., 1998				
_		WO 98/37688 A2	Aug., 1998				
		WO 98/39897	Sep., 1998				
		WO 98/42104	Sep., 1998				
-		WO 98/42107	Sep., 1998				
		WO 98/42146	Sep., 1998				

Examiner Signature	/Rasha Al Aubaidi/	Date Considered	09/29/2010

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (07-05)

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Substitute for form 1	449/PTO	Col	mplete if Known
		Application Number	11/428,822
INFORMA	ATION DISCLOSURE	Filing Date	July 5, 2006
		First Named Inventor	Samuel F. Wood
	ENT BY APPLICANT	Art Unit	2614
(Use as	s many sheets as necessary)	Examiner Name	Not yet known
neet 22	of 22	Attorney Docket Number	TEL-M-8801-1P-1C

	T		U. S. PATENT D		
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	<u> </u>	Number-Kind Code ^{2 (# known)}			Figures Appear
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	-
		WO 98/47256 A2	Oct., 1998			
		WO 98/51063	Nov., 1998			
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Examiner	/Dacha Al Aubaidi/	Date	00/00/0040
Signature	/Hasha Al Aubaidi/	Considered	l 09/29/2010
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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FORM PTO-1449 ATTY. DOCKET NO. TLM-103C1CON2 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S APPLICANT: ATTY. DOCKET NO. TLM-103C1CON2 11/428,822 APPLICANT:

PATENTS AND OTHER ITEMS FOR APPLICANT'S	
INFORMATION DISCLOSURE STATEMENT	

TLM-103C1CON2	11/428,822
APPLICANT:	
Samuel F. WOOD, et al.	
FILING DATE:	GROUP:
July 5, 2006	2614

(Use several sheets if necessary)

		U.S. P A 1	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	2004/0240657 A1	12-02-2004	Camarillo	379	221.02	
	2004/0264673 A1	12-30-2004	Novack	379	221.11	
	5,469,500	11-21-1995	Satter et al.	379	201	
	6,327,258	12-04-2001	Deschaine et al.	370	356	
	6,643,282	11-04-2003	Christie	370	352	
	7,123,708	10-17-2006	Gavillet	379	219	
	7,242,759	07-10-2007	Sanchez et al.	379	219	
	7,436,851	10-14-2008	Chambers et al.	370	325	

	FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YE	ISLATI NO

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)					
EXAMINER INITIAL						

EXAMINER:	DATE CONSIDERED:

Electronic Acknowledgement Receipt					
EFS ID:	7764571				
Application Number:	11428822				
International Application Number:					
Confirmation Number:	4565				
Title of Invention:	Tandem access controller within the public switched telephone network				
First Named Inventor/Applicant Name:	Samuel F. Wood				
Customer Number:	49637				
Filer:	Reena Kuyper				
Filer Authorized By:					
Attorney Docket Number:	TLM-103C1CON2				
Receipt Date:	07-JUN-2010				
Filing Date:	05-JUL-2006				
Time Stamp:	23:26:46				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /₊zip	Pages (if appl.)
1	Transmittal Letter	TLM-103C1CON2_IDS_before_	28028	no	3
1	mansmittai Lettei	OA_final_6-7-10.pdf	bfe8e832f334f23b9ba05398ad3a7f5cb85d 9e7b		

Warnings:

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2	Information Disclosure Statement (IDS) Filed (SB/08)	TLM-103C1CON2_PTO_1449_fi nal_6-7-10.pdf	25964	no	1		
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:) Customer No.:	49,637	
		Samuel F. WOOD, et al.) Confirmation No.:	4565	
Serial No.:		11/428,822) Group Art Unit:	2614	
Filed:		July 5, 2006) Examiner:	Al Aubaidi, Rasha S	
For:	WITH	DEM ACCESS CONTROLLER IN THE PUBLIC SWITCHED PHONE NETWORK) Docket No.:	TLM-103.C1CON2	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 CFR § 1.56, 37 CFR §§ 1.97, and 1.98, before receipt of a first office action, Applicants bring the following documents, of possible interest to the subject matter of this application, to the attention of the Examiner. Each of these documents is listed on the attached form PTO-1449. Each of the documents is either a published patent or pending application. In the event the Examiner would like copies of them, the Examiner is requested to advise the undersigned. Applicants respectfully request the Examiner to consider and make these documents of record with respect to this application. In addition, for the Examiner's convenience, Applicants are providing below comments on each of the references. The references pertain to fundamental call routing architecture and operations executed within a single carrier network as opposed to the Applicants' inventions, which are directed to architecture and operations that apply call features to the fundamental call routing operations over multiple carrier networks. The Examiner is requested to make an independent

Application No.: 11/428,822

determination if there is any relevance to these documents. These documents are also cited in related pending application serial numbers 11/428,825 and 11/948,965.

- 1. U.S. Patent No. 5,469,500 describes a method and apparatus for delivering calling services by contemplating an AIN (Advanced Intelligent Network) architecture, different from the existing network structure, with software upgrades to this AIN to implement calling services.
- 2. Publication No. 2004/0264673 A1 describes an architecture to connect disparate peripherals in a network, not to execute features as in Applicants' inventions. In addition, it should be noted that this publication is dated December 30, 2004, <u>after</u> the effective filing date of this application, which is May 4, 2000. The filing date is June 30, 2003.
- 3. Publication No. 2004/0240657 A1 describes an architecture for routing schemes in the network to route calls to different tandems in the network. This publication is dated December 2, 2004, <u>after</u> the effective filing date of this application, which is May 4, 2000. The filing date is May 28, 2003.
- 4. U.S. Patent No. 7,436,851 describes using ATM (Automatic Teller Machine) and other transmission facilities for routing within IP or PSTN networks. There is no disclosure of any calling features.
- 5. U.S. Patent No. 7,123,708 describes an architecture for IP routing of calls (by connecting different networks and carriers) within the internet. It does not describe applying any features to call routing operations of the network. This patent issued on October 14, 2008, and has a filing date of March 29, 1999.
- 6. U.S. Patent No. 7,242,759 describes an architecture for routing of calls in the network specifically to 800 numbers. The patent issued on October 14, 2008 and has a filing date of March 29, 1999.

Application No.: 11/428,822

7. U.S. Patent No. 6,643,282 describes a major network architecture proposed by Sprint

Communications Company LP. There is no disclosure of applying features.

8. U.S. Patent No. 6,327,258 describes an architecture and operations for connecting the

PSTN (Public Switched Telephone Network) with Internet data networks utilizing ATM. The

architecture is for routing calls in the network.

This Supplemental Information Disclosure Statement is timely submitted under 37 CFR §

1.97(b)(3), that is, before mailing of a first office action on the merits. Thus, no petition or fee is

required at this time. If the U.S. Patent Office determines that a fee is necessary, this submission

should be considered a petition, and the U.S. Patent Office is hereby authorized to charge any fee

necessary to Deposit Account No. 50-3102 of Berry & Associates P.C.

Respectfully submitted,

BERRY & ASSOCIATES P.C.

Dated: June 7, 2010

By: /Reena Kuyper/

Reena Kuyper

Registration No. 33,830

9229 Sunset Blvd., Suite 630

Los Angeles, California 90069

(310) 247-2860

Customer No. 49,637



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. Dex 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 11/428,822 07/05/2006 Samuel F. Wood TEL-M-8801-1P-1C

49637 BERRY & ASSOCIATES P.C. 9229 SUNSET BOULEVARD SUITE 630

LOS ANGELES, CA 90069

CONFIRMATION NO. 4565 POA ACCEPTANCE LETTER



Date Mailed: 02/26/2010

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 02/18/2010.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/mteklemichael/		
Office of Data Management Application Assistance Unit (571) 272-4000) or (571) 272-4200	or 1-888-786-0101



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER 11/428,822

FILING OR 371(C) DATE 07/05/2006

FIRST NAMED APPLICANT Samuel F. Wood

ATTY. DOCKET NO./TITLE TEL-M-8801-1P-1C

CONFIRMATION NO. 4565 POWER OF ATTORNEY NOTICE

32566 PATENT LAW GROUP LLP 2635 NORTH FIRST STREET SUITE 223 SAN JOSE, CA 95134

Date Mailed: 02/26/2010

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 02/18/2010.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/mteklemichael	1/			
Office of Data Management A	Application Assistance Unit (571)	272-4000	or (571) 272-4200	or 1-888-786-010

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby 37 CFR		previous powers of attorney g	iven in the app	lication identified	in the attached s	tatement under
	appoint:					
✓ Pra	ctitioners asso	ciated with the Customer Number:		49,637		
┌ OR						
Pra	ctitioner(s) nan	ned below (if more than ten patent pr	actitioners are to l	be named, then a cust	omer number must b	e used):
		Name	Registration Number	N	lame	Registration Number
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as attorne	y(s) or agent(s) to represent the undersigned before	the United States	s Patent and Tradema	rk Office (USPTO) in	connection with
		ations assigned <u>only</u> to the undersigr ccordance with 37 CFR 3.73(b).	ed according to the	ne USPTO assignment	t records or assignme	ent documents
Please ch	ange the corre	spondence address for the applicatio	n identified in the	attached statement un	nder 37 CFR 3.73(b)	to:
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		together with a statement unde ion in which this form is used.				
the pract	itioners app	ointed in this form if the appoi	nted practition	er is authorized to	act on behalf of t	he assignee,
and mus	t identily the	application in which this Pow			· · · · · · · · · · · · · · · · · · ·	
	The in	dividual whose signature and title is	JRE of Assignee supplied below is		behalf of the assigne	e
Signature	Sh	Mr			Date 12/11/	09
Name		Jerry A. Klei	n		Telephone 650	0-948-1243
Title			Managing M			
This collection	on of information	is required by 37 CED 1.21, 1.22 and 1.2	2 The information !	a required to obtain as set	tain a hanafit buttle	allo vubiobilo to filo /

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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STATEMENT UNDE	R 37 CFR 3.73(b)
Applicant/Patent Owner: Samuel F. Wood, et al.	
Application No./Patent No.: 11/428,822	Filed/Issue Date: July 5, 2006
Titled: TANDEM ACCESS CONTROLLER WITHIN THE PU	BLIC SWITCHED TELEPHONE NETWORK
TELEMAZE, LLC , a limited	liability company
	f Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:	
1. X the assignee of the entire right, title, and interest in;	
2. an assignee of less than the entire right, title, and interest (The extent (by percentage) of its ownership interest is	
3. the assignee of an undivided interest in the entirety of (a c	complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:	
	on/patent identified above. The assignment was recorded in, Frame, or for which a
OR	
	on/patent identified above, to the current assignee as follows:
1. From: Wood, Samuel F. and Klein, Jerry A.	To: Telemaze, Inc.
The document was recorded in the United State Reel 014034 , Frame 0043	
2. From: Asprey, Margaret Susan	To: Telemaze, Inc.
The document was recorded in the United State Reel 015613 Frame 0259	
3. From: Telemaze, Inc.	To: Telemaze, LLC
The document was recorded in the United State	es Patent and Trademark Office at
Reel 016844 , Frame 0708	, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a s	supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence or concurrently is being, submitted for recordation pursuant to	ce of the chain of title from the original owner to the assignee was, 37 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the original assignment accordance with 37 CFR Part 3, to record the assignment in the	nment document(s)) must be submitted to Assignment Division in e records of the USPTO. <u>See</u> MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act o	n behalf of the assignee.
/Reena Kuyper/	February 17, 2010
Signature	Date
Reena Kuyper, Reg. No. 33,830	Registered Patent Agent
Printed or Typed Name	Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissional for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt				
EFS ID:	7033705			
Application Number:	11428822			
International Application Number:				
Confirmation Number:	4565			
Title of Invention:	Tandem access controller within the public switched telephone network			
First Named Inventor/Applicant Name:	Samuel F. Wood			
Customer Number:	32566			
Filer:	Reena Kuyper			
Filer Authorized By:				
Attorney Docket Number:	TEL-M-8801-1P-1C			
Receipt Date:	18-FEB-2010			
Filing Date:	05-JUL-2006			
Time Stamp:	00:14:57			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	Telemaze_General_Power_of_	368786	no	1
	Tower of Automicy	Attorney_signed_12-11-09.pdf	9e15f0da052fc2fcb55372b9edb8c9864443 64a1		

Warnings:

Ex. 1020

Information:

YMax Corporation

2	Assignee showing of ownership per 37 CFR 3.73(b).	TLM-103C1CON2_Statement_3 _73b.pdf	439208 dd2e625efaa208349cc3133d57391f9a0183 f90c	no	2		
Warnings:	Warnings:						
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Total Files Size (in bytes)			8	07994			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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(Use several s	heets if necessary)
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ATTY. DOCKET NO.	SERIAL NO.			
TLM-103C1CON2	11/428,822			
APPLICANT:				
Samuel F. WOOD, et al.				
FILING DATE:	GROUP:			
July 5, 2006	2614			

	U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	2001/0022784A1	09-2001	Menon et al.			
	2001/0030950A1	10-2001	Chen et al.			
	2003/0026403A1	11-2007	Clapper			
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	5,297,191	03-1994	Gerszberg			
	5,311,582	05-1994	Davenport et al.			
	5,428,663	06-1995	Grimes et al.			

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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July 5, 2006	2614

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	5,448,623	09-1995	Wiedeman et al.			
	5,455,853	10-1995	Cebulka et al.			
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,875,405	02-1999	Honda			
	5,878,113	03-1999	Bhusari			
	5,878,418	03-1999	Polcyn et al.			
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,028,917	02-2000	Creamer et al.			
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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July 5, 2006	2614

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
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EXAMINER:	DATE CONSIDERED:

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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July 5, 2006	2614

	U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,477,565	11-2002	Daswani et al.			
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EXAMINER:	DATE CONSIDERED:

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
TLM-103C1CON2	11/428,822
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July 5, 2006	2614

	U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
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	DE19813179	09-1999	DE			X	
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	EP0898431	02-1999	EP				
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	WO01/024498	04-2001	WO				
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July 5, 2006	2614

EYAMINIER DOCUMENT SUB				TRANSLAT			
EXAMINER INITIAL	NUMBER	DATE	COUNTRY	CLASS	CLASS	YE	NC
	WO01/024502	04-2001	WO				
	WO01/024503	04-2001	WO				
	WO01/05078	01-2001	WO				
	WO94/05111	03-1994	WO				
	WO95/34985	12-1995	WO				
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	WO98/37665	08-1998	WO				
	WO99/12365	03-1999	WO				
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	WO99/20059	04-1999	WO				
	WO99/35802	07-1999	WO				
	WO99/45687	09-1999	WO				

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

LOSURE	STATEMENT	

ATTY. DOCKET NO.	SERIAL NO.
TLM-103C1CON2	11/428,822
APPLICANT:	
Samuel F. WOOD, et al.	
FILING DATE:	GROUP:
July 5, 2006	2614

(Use several sheets if necessary)

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
EXAMINER INITIAL		
	1	RFC 3298 Service in the PSTN, August 2002
	2	Implementing Automatic Location Update for Follow-Me database using VoIP and Bluetooth Technologies, IEEE Transaction on computers, Vol. 51, No. 10, October 2002
	3	New services demand integration, Electronic Engineering Times, Aug. 28, 2000, Iss. 1128; pg. 110
	4	Natural Microsystems, M2 Presswire. Coventry: Aug, 18, 2000
	5	This pipe dream will come true: Voice Over Internet Protocol (VoIP) technology will make the phone Box something that really talks, Businessline, Chennai: Apr 17, 2002
	6	Using Optimization to Achieve Efficient Quality of Service in Voice over IP Networks, IEEE 2003
	7	Broadsoft literature Broadworks overview, Copyright date 2002
	8	BroadSoft introduces industry's first complete service delivery and creation product suite for enhanced telephony services Broadworks, ATM Newsletter: Boston: March 2000, vol. 9, Iss. 3, pg 13
	9	BroadSoft unveils advanced architecture for the rapid and cost effective delivery of enhanced communications services, Website, August 25, 1999, Press releases, 3 pages.
	10	ADC Telecommunications; SS7 New Net SS7 Tutorial; Copyright 1999.
	11	Mary Carmichael, "Calls That Follow you Anywhere" Newsweek, April 28, 2003, page 43.
	12	European Search Report, 3 pages, from European Application No. 04252483.5 (EP Patent No. 1473947B1).
	13	U.S. patent application Ser. No. 09/406,322, Schuster et al., filed Sep. 27, 1999
	14	U.S. patent application Ser. No. 09/515,798, Schuster et al., filed Feb. 29, 2000

EXAMINER:	DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

Electronic Acknowledgement Receipt			
EFS ID:	7033719		
Application Number:	11428822		
International Application Number:			
Confirmation Number:	4565		
Title of Invention:	Tandem access controller within the public switched telephone network		
First Named Inventor/Applicant Name:	Samuel F. Wood		
Customer Number:	32566		
Filer:	Reena Kuyper		
Filer Authorized By:			
Attorney Docket Number:	TEL-M-8801-1P-1C		
Receipt Date:	18-FEB-2010		
Filing Date:	05-JUL-2006		
Time Stamp:	00:59:32		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	TLM-103C1CON2_IDS_before_ OA_Final_2-17-10.pdf	45553 744a5c17fab5d657772ba99c880be59d14dd	no	6

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Information:

YMax Corporation

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2	Information Disclosure Statement (IDS) Filed (SB/08)	TLM-103C1CON2_PTO_1449_Fi nal_2-17-10.pdf	81667	no	9
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4	Favaign Reference	ED0570274B1 mJf	1192645		22
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F	Faveign Defense	FD070470042 46	507567		11
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re t	he App	lication of:) Customer No.:	49,637
		Samuel F. WOOD, et al.) Confirmation No.:	4565
Serial	No.:	11/428,822) Group Art Unit:	2614
Filed:		July 5, 2006) Examiner:	Al Aubaidi, Rasha S
For:	WITH	DEM ACCESS CONTROLLER IN THE PUBLIC SWITCHED PHONE NETWORK)	TLM-103.C1CON2

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 CFR § 1.56, 37 CFR §§ 1.97, and 1.98, Applicants bring the following documents, of possible interest to the subject matter of this application, to the attention of the Examiner. Each of these documents is listed on the attached form PTO-1449. Applicants are providing copies of the listed documents, except for U.S. patents and U.S. patent publication applications. In the event the Examiner would like copies of the U.S. patents and published applications as well, the Examiner is requested to advise the undersigned. Applicants respectfully request the Examiner to consider and make these documents of record with respect to this application. In addition, for the Examiner's convenience, Applicants are providing below comments on select references. The Examiner is requested to make an independent determination on the relevance of the documents.

1. RFC 3298 Service in the PSTN:

This document describes protocols for use in an internet or other intelligent network (PSTN/IN). The protocols that are described are for use to optimize the network. This document also discloses "features" such as "call forwarding" that can be applied within a network, however, with modifications to the existing networks and limited routing only within a local geographic area. Moreover, this document does not disclose web-based access by subscribers to request features, nor first call and second functionalities.

2. Implementing Automatic Location Update for Follow-Me Database Using VoIP and Bluetooth Technologies

This document discloses a particular method of using databases in a VoIP network to enable a user to request call features such as "follow-me" using VoIP and Bluetooth technologies. Again, this document describes "features," but via a method that requires modifications to the existing networks and limited routing only within a local geographic area. Again, this document does not disclose web-based access by subscribers to request features, nor first call and second functionalities.

3. New Services Demand Integration

This document recognizes that networks, such as the public switched telephone network (PSTN) and the internet (packet-based) networks are fast converging and that the new emerging networks will require new services to facilitate user interfaces. It should be noted that the document publication is after Applicants' priority date (parent patent no. 6,574,328, filed on May 2000). This document is of background interest only and does not address application of "features," via web access or otherwise, nor first call and second functionalities.

4. Natural Microsystems

This document describes the SS7 software product that natural Microsystems introduced to the market. SS7 is a standard protocol used in the PSTN for signaling call management within the network. SS7 was widely known and used by carriers in the PSTN to perform circuit switching operations. Applicants' parent patent no. 6,574,328, filed on May 2000, describes the SS7 protocol.

The document is of background interest only and does not address application of "features," via web access or otherwise, nor first call and second functionalities.

5. <u>Voice Over Internet Protocol (VoIP) Technology will Make the Phone Box Something That Really Talks</u>

This document describes the advantages of VoIP and VoIP telephones that will make new technologies available to telephone users and the advantages of VoIP. The document is of background interest only and does not address application of "features," via web access or otherwise, nor first call and second functionalities.

6. <u>Using Optimization to Achieve Efficient Quality of Service in Voice Over IP Networks</u>
This document recognizes the need for improved quality service in VoIP networks. As recognized in Applicants' parent disclosure, VoIP's quality of service ("QOS") was less than the quality of the PSTN networks. The document is of background interest only and does not address application of "features," via web access or otherwise, nor first call and second functionalities.

7. Broadsoft Literature Broadworks Overview

This document describes Broadworks, a product that consists of software modules, which may be integrated into a hardware platform such as the Sun Solaris Network Platform for use at call centers and other such large enterprises. Broadworks discloses a system for adding "call features" to an existing Class 5¹ and packet networks. Broadworks discloses a set of tools that can be used to

Class 4 Office

The fourth level in AT&T's long distance toll switching hierarchy – the major switching center to which toll calls from Class 5 offices are sent. In U.S. common carrier telephony service, a toll center designated "Class 4C" is an office where assistance in completing incoming calls is provided in addition to other traffic. A toll center designated "Class 4P" is an office where operators handle only outbound calls, or where switching is performed without operator assistance.

Class 5 Office

An end office. Your local central office. The lowest level in the hierarchy of local and long distance switching which AT&T set up when it was "The Bell System." A class 5 office is a local Central Office that serves as a network entry point for station loops and certain special-service lines. Also called an End Office. Classes 1, 2, 3, and 4 are toll offices in the telephone network.

See also, Engineering and Operations in the Bell system, Second edition, 1977,1983, Bell telephone Laboratories,

¹ Dictionary definitions, "Newton's Telecom Dictionary," 15th Edition, February 1999, ISBN Number 1-57820-001-8

replace the existing Class 5 central office switches. This document discloses a web-based interface to provide users access, and "call features," but proposes an implementation (via replacement of the existing edge switch) and therefore, does not accommodate the existing network infrastructure. Moreover, the features are limited to a local geographic area because of the implementation via the edge switch and there are no first call and second call functionalities. It should be noted that this document antedates Applicants' parent patent no. 6,574,328, which has a priority date of May 2000.

8. BroadSoft Introduces Industry's First Complete Service Delivery and Creation Product Suite for Enhanced Telephony Services Broadworks

This document discloses a system for integrating services that are web-enabled and offer specific features such as group directories, call forwarding, option configurations, in to the PSTN and packet (VoIP) networks. The system disclosed is web-enabled and offers specific features such as group directories, call forwarding, option configuration, messaging, and auto attendant services. However, these features are implemented in a different way, by requiring replacement of the existing edge switch with a Broadsoft switch (unlike Applicants' implementation, which works with the existing edge switch). Again, the features are limited to a local geographic area and there are no first call and second call functionalities.

9. Broadsoft Unveils Advanced Architecture for the Rapid and Cost Effective Delivery of Enhanced Communications Services

This article discloses a set of software tools for building a processing platform directed to a VoIP implementation. This document discloses a system for integrating services for packet (VoIP) networks. The system disclosed is web enabled and offers features such as group directories, call forwarding, option configuration, messaging, and auto attendant services. However, these features are implemented in a different way, by requiring replacement of the existing edge switch with a Broadsoft switch (unlike Applicants' implementation, which works with the existing edge switch). Again, the features are limited to a local geographic area and there are no first call and second call functionalities.

e.g. Section 4 Network and Systems, Network Structures and Planning, also See index under Electronic Switching system(s)

10. U.S. Patent No. 6853714 to Liljestrand

This patent is directed to an apparatus and method for enhanced telecommunication services. This patent discloses another VoIP approach to changing the network on a large scale. It proposes an upgrade to the existing carriers' equipment and network architecture. The patent merely mentions the possibility of web access, but does not disclose how to accomplish it, and does not disclose first call and second call functionalities.

11. <u>U.S. Patent No. 4,348,554, U.S. Patent No. 4,611,094 & U.S. Patent No. 4,611,096 to Asmuth</u>

The Asmuth patents disclose a system and method to upgrade the architecture and infrastructure of the public switched network (PSTN) to enable the system to direct telephone calls to a selected group of customers with decisions controlled by the carrier. These patents also do not address web access application of features by subscribers, nor application of features in the entire fabric of networks, or first call and second call functionalities.

Finally, Applicants bring their related patents and applications to the Examiner's attention.

Patent or Application Number	Title	Filed	Docket Number
6529596	Web-Based Control of Telephone	5/4/00	TLM-101
6532288	Tandem Access Control Processor Connected to the Public Switched Telephone Network for Controlling Features	5/4/00	TLM-102
6574328	Telephone Call Control System for the Public Switched Telephone Network	5/4/00	TLM-103

Patent or Application Number	Title	Filed	Docket Number
7324635	Branch Calling and Caller ID Based Call Routing Telephone Features	4/30/03	TLM-103C1
7587036	Tandem Access Controller Within the Public Switched Telephone Network	7/5/06	TLM-103C1CON
11/428,825	Tandem Access Controller Within the Public Switched Telephone Network	10/26/06	TLM-103C1CON3
11/948,965	Branch Calling and Caller ID Based Call Routing and Telephone Features	3/27/08	TLM-103C1DIV

This Supplemental Information Disclosure Statement is timely submitted under 37 CFR § 1.97(b)(3), that is, before mailing of a first office action on the merits. Thus, no petition or fee is required at this time. If the U.S. Patent Office determines that a fee is necessary, this submission should be considered a petition, and the U.S. Patent Office is hereby authorized to charge any fee necessary to Deposit Account No. **50-3102** of Berry & Associates P.C.

Respectfully submitted,

Dated: February 17, 2010 By: /Reena Kuyper/

Reena Kuyper

Registration No. 33,830

Berry & Associates P.C. 9229 Sunset Blvd., Suite 630 Los Angeles, California 90069 (310) 247-2860 Customer No. 49,637

UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

PATENT LAW GROUP LLP 2635 NORTH FIRST STREET SUITE 223 SAN JOSE CA 95134

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DEC 1 7 2007

In re Application of

Samuel F. Wood et al.

Application No. 11/428,822

Filed: July 5, 2006

Attorney Docket No. TEL-M-8801-1P-1C

OFFICE OF PETITIONS

DECISION ON PETITION

TO MAKE SPECIAL UNDER

37 CFR 1.102(c)(1)

This is a decision on the petition under 37 CFR 1.102(c)(1), filed November 8, 2007, to make the above-identified application special based on applicant's age as set forth in M.P.E.P. § 708.02, Section IV.

The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102(c)(1) and MPEP § 708.02, Section IV: Applicant's Age, must be accompanied by evidence showing that at least one of the applicants is 65 years of age, or more, such as a birth certificate or a statement by applicant. No fee is required.

The instant petition includes a statement from one of the applicant's declaring that he is 65 years of age or older. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to Terri Williams at 571-272-2991.

All other inquiries concerning either the examination or status of the application should be directed to the Technology Center.

The application is being forwarded to the Technology Center Art Unit 2614 for action on the merits commensurate with this decision.

G. Williams
Terri Williams

Petitions Examiner

Office of Petitions

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Samuel F. Wood, Jerry A. Klein, Margaret Susan Asprey

Assignee: Telemaze LLC

Title: Tandem Access Controller Within the Public Switched Telephone

Network

Serial No.: 11/428,822 Filing Date: July 5, 2006

Examiner: Not yet known Group Art Unit: 2614

Docket No.: TEL-M-8801-1P-1C

San Jose, California November 8, 2007

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

PETITION TO MAKE SPECIAL UNDER 37 C.F.R. §1.102 (C)(1) DUE TO APPLICANT'S AGE

Dear Commissioner:

Applicant hereby petitions that the above application be made special under 37 C.F.R. §1.102 (c)(1) as stated in MPEP §708.02 for the reason that Applicant's age is 65 or greater. Attached is a Verified Statement of Applicant's Age.

Certificate of Electronic Transmission I hereby certify that this correspondence is being submitted electronically to the United States Patent and Trademark Office using EFS-Web on the date shown below.

/Brian D Ogonowsky/ Attorney for Applicant(s) November 8, 2007
Date of Signature

Respectfully submitted,

/Brian D Ogonowsky/

Brian D. Ogonowsky Attorney for Applicant Reg. No. 31,988

PATENT LAW GROUP LLP 2635 N. FIRST ST. SUITE 223 SAN JOSE, CA 95134 (408) 382-0480 FAX (408) 382-0481

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Samuel F. Wood, Jerry A. Klein, Margaret Susan Asprey

Assignee:

Telemaze LLC

Title:

Tandem Access Controller Within the Public Switched Telephone

Network

Serial No.:

11/428,822

Filing Date:

July 5, 2006

Examiner:

Not yet known

Group Art Unit:

2614

Docket No.:

TEL-M-8801-1P-1C

VERIFIED STATEMENT OF APPLICANT'S AGE

I am a co-inventor in the application identified above.

I declare that I am 68 years old. I was born on MARCH 4, 1939

I declare that all statements made herein of my own knowledge are true, all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both as set forth under 18 U.S.C. 1001, and that violations of this paragraph may jeopardize the validity of the application or this document, or the validity or enforceability of any patent, trademark registration, or certificate resulting therefrom.

Date: 11/5/07

Jerry A. Klein

671 Milverton Road

Los Altos, CA 94022

PATENT LAW GROUP LLP 2635 N. FIRST ST. SUITE 223 SAN JOSE, CA 95134 (408) 382-0480

Electronic Patent A	App	olication Fe	e Transm	nittal	
Application Number:	11	428822			
Filing Date:	05	-Jul-2006			
Title of Invention:	Та	ndem access cont	roller within the	e public switched	telephone network
First Named Inventor/Applicant Name:	Sa	muel F. Wood			
Filer:	Br	an D. Ogonowsky	/Edith Fuentes		
Attorney Docket Number:	TE	EL-M-8801-1P-1C			
Filed as Large Entity					
Utility Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Petition fee- 37 CFR 1.17(h) (Group III)		1464	1	130	130
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					Ex. 1020

YMax Corporation
Page 198 of 283

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Total in USD (\$)		130	

Electronic Acl	knowledgement Receipt
EFS ID:	2444680
Application Number:	11428822
International Application Number:	
Confirmation Number:	4565
Title of Invention:	Tandem access controller within the public switched telephone network
First Named Inventor/Applicant Name:	Samuel F. Wood
Customer Number:	32566
Filer:	Brian D. Ogonowsky/Edith Fuentes
Filer Authorized By:	Brian D. Ogonowsky
Attorney Docket Number:	TEL-M-8801-1P-1C
Receipt Date:	08-NOV-2007
Filing Date:	05-JUL-2006
Time Stamp:	19:31:43
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$130
RAM confirmation Number	3532
Deposit Account	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
					Ex. 1020

1	Petition for review by the Office of	TEL-M-8801-1P-1C_Petition-	94031	no	1
'	Petitions.	to-Make-Special.pdf	257cca86670d231cbc3db35a8b7ba97d 973b8402		'
Warnings:					
Information					
2	Miscellaneous Incoming Letter	TEL-M-8801-1P-1C_Verified	128095	no	1
	Miscellaneous incoming Letter	-Statement-of-Age.pdf	74eadb0a87660c8735a292f22362942f 41552e75	110	'
Warnings:					
Information				_	
3	Fee Worksheet (PTO-06)	fee-info.pdf	8189	no	2
3	r ee worksneet (i 10-00)	ree-imo.pai	d50b4cb23ad22587d5e5267bc754221 7b7d20ed2	110	2
Warnings:					
Information	:				
		Total Files Size (in bytes)	2:	30315	
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Samuel F. Wood, Jerry A. Klein, Margaret Susan Asprey

Assignee: Telemaze LLC

Title: Tandem Access Controller Within the Public Switched Telephone

Network

Serial No.: 11/428,822 Filing Date: July 5, 2006

Examiner: Not yet known Group Art Unit: 2614

Docket No.: TEL-M-8801-1P-1C

> San Jose, California July 17, 2006

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO/SB/08A are called to the attention of the Examiner for the above patent application. Copies of the References are not included because they were cited in the parent application no. 10/426,279, filing date April 30, 2003.

- A very large quantity of prior art is cited in the PTO/SB/08A form (formerly PTO 1449) because the present invention is related to the invention in U.S. Patent 6,614,781 to Elliot, and the identified prior art is copied from the "References Cited" section of that patent. There is no other reason for why the Applicants believe the identified art is material to the present claims. Only a few of the prior art documents are discussed below.
- 2. Various prior art documents describe systems in which telephone features are only applied once a call reaches the called party's central office. In contrast, Applicants' claims specify that the telephone features are applied before the call reaches the terminating central office. This provides various important advantages, discussed in the application. Other distinguishing aspects of the claims also exist.

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- 3. U.S. Patent 6,614,781 to Elliot discloses a method of implementing a telephone feature that requires a change, modification, or enhancement to the software of the central office of the PSTN. To use the features offered by the Elliot '781 patent, the call must reach the central office offering the feature.
- 4. U.S. Patents 6,445,694 and 6,785,266 to Swartz disclose methods to provide telephone features, where the processing that provides the features occurs outside of the PSTN.
- 5. U.S. Patent 6,094,478 to Shepherd describes a processor for providing features, where the processor is located at the terminating central office.
- 6. U.S. Patent 6,853,714 to Liljestrand is similar to the Shepherd patent in that the processing for providing telephone features occurs at the central office.

Citation of these documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
 - 2. a representation that a search has been made; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Attorney for Applicant(s)

Date

Respectfully submitted,

Brian D. Ogonowsky
Attorney for Applicant(s)

Reg. No. 31,988

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PTO/SB/08A (07-05)

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r form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

 Complete if Known

 Application Number
 11/428,822

 Filing Date
 July 5, 2006

 First Named Inventor
 Samuel F. Wood

 Art Unit
 2614

 Examiner Name
 Not yet known

 Attorney Docket Number
 TEL-M-8801-1P-1C

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 4100377	Jul., 1978	Flanagan	
		^{US-} 4238851	Dec., 1980	Takahashi et al.	
		^{US-} 4569041	Feb., 1986	Takeuchi et al.	
		^{US-} 4608685	Aug., 1986	Jain et al.	
	<u> </u>	^{US-} 4630260	Dec., 1986	Toy et al.	
		^{US-} 4630262	Dec., 1986	Callens et al.	
	<u> </u>	^{US-} 4661947	Apr., 1987	Lea et al.	
	<u> </u>	^{US-} 4674082	Jun., 1987	Flanagin et al.	
		^{US-} 4679190	Jul., 1987	Dias et al.	
	ļ	^{US-} 4679191	Jul., 1987	Nelson et al.	
	ļ	^{US-} 4707831	Nov., 1987	Weir, deceased et al.	
		^{US-} 4715026	Dec., 1987	Eberspaecher	
		^{US-} 4723238	Feb., 1988	Isreal et al.	
		us- 4757497	Jul., 1988	Beierle et al.	
		^{US-} 4761779	Aug., 1988	Nara et al.	
		^{US-} 4771425	Sep., 1988	Baran et al.	
	<u> </u>	^{US-} 4815071	Mar., 1989	Shimizu	
		^{US-} 4819228	Apr., 1989	Baran et al.	"
		^{US-} 4862451	Aug., 1989	Closs et al.	

		FORE	IGN PATENT DOCU	MENTS		_
Examiner Cite Foreign Patent Document No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	_
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Examiner	Date	
Signature	Considered	
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number 11/428,822

Filing Date July 5, 2006

First Named Inventor Samuel F. Wood

Art Unit 2614

STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Sheet 2 of 22

Art Unit 2614

Examiner Name Not yet known

Attorney Docket Number TEL-M-8801-1P-1C

				DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		^{US-} 4866704	Sep., 1989	Bergman	
· ·		^{US-} 4872159	Oct., 1989	Hemmady et al.	
		^{US-} 4872160	Oct., 1989	Hemmady et al.	
		^{US-} 4885739	Dec., 1989	Read et al.	
		^{US-} 4903261	Feb., 1990	Baran et al.	
		^{US-} 4926416	May., 1990	Weik	
		^{US-} 4932022	Jun., 1990	Keeney et al.	
		^{US-} 4933931	Jun., 1990	Kokubo	
		^{US-} 4953158	Aug., 1990	Schreur	
		^{US-} 4958341	Sep., 1990	Hemmady et al.	
		^{US-} 4962497	Oct., 1990	Ferenc et al.	
		^{US-} 4969184	Nov., 1990	Gordon et al.	
		^{US-} 4970721	Nov., 1990	Aczel et al.	
		^{US-} 4975695	Dec., 1990	Almond et al.	
		^{US-} 4996685	Feb., 1991	Farese et al.	
		^{US-} 5008929	Apr., 1991	Olsen et al.	
		^{US-} 5014266	May., 1991	Bales et al.	
		^{US-} 5018136	May., 1991	Gollub	
		^{US-} 5020058	May., 1991	Holden et al.	

FOREIGN PATENT DOCUMENTS							
Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages			
	Country Code ³ "Number ⁴ "Kind Code ⁵ (if known) MM-DD-YYYY		Or Relevant Figures Appear	T ⁶			
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		Cite No.1 Foreign Patent Document	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY	Cite No.1 Foreign Patent Document Publication Name of Patentee or Applicant of Cited Document MM-DD-YYYY	Cite No.1 Profession Patent Document Publication Name of Patentee or Applicant of Cited Document Where Relevant Passages MM-DD-YYYY MM-DD-YYY MM-DD-YYYY MM-DD		

Examiner	Date	
Signature	Considered	

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	Substitute for form 1449/PTO	C	Complete if Known		
		Application Number	11/428,822		
	INFORMATION DISCL	OSLIDE Filing Date	July 5, 2006		
		First Named Inventor	Samuel F. Wood		
	STATEMENT BY APPI		2614		
	(Use as many sheets as necessa	Examiner Name	Not yet known		
	Sheet 3 of 22	Attorney Docket Number	r TEL-M-8801-1P-1C		

			U. S. PATEN	F DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5022071	Jun., 1991	Mozer et al.	
		^{US-} 5048081	Sep., 1991	Gavaras et al.	
		^{US-} 5051983	Sep., 1991	Kammerl	
		^{US-} 5093827	Mar., 1992	Franklin et al.	
	<u></u> .	^{US-} 5115431	May., 1992	Williams et al.	
		^{US-} 5150357	Sep., 1992	Hopner et al.	
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		^{US-} 4933931	Jun., 1990	Kokubo	
		^{US-} 5241588	Aug., 1993	Babson, III et al.	
		^{US-} 5247571	Sep., 1993	Kay et al.	
		^{US-} 5268900	Dec., 1993	Hluchyj et al.	"
		^{US-} 5274635	Dec., 1993	Rahman et al.	
		^{US-} 5291489	Mar., 1994	Morgan et al.	

FOREIGN PATENT DOCUMENTS						
	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY	, , , , , , , , , , , , , , , , , , ,	Or Relevant Figures Appear	י
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Complete if Known Substitute for form 1449/PTO Application Number 11/428,822 Filing Date July 5, 2006 INFORMATION DISCLOSURE First Named Inventor Samuel F. Wood STATEMENT BY APPLICANT Art Unit 2614 (Use as many sheets as necessary) **Examiner Name** Not yet known Sheet 4 Attorney Docket Number TEL-M-8801-1P-1C

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5301189	Apr., 1994	Schmidt et al.	
		^{US-} 5305308	Apr., 1994	English et al.	
		^{US-} 5327428	Jul., 1994	Van As et al.	
		^{US-} 5341374	Aug., 1994	Lewen et al.	
		^{US-} 5351276	Sep., 1994	Doll, Jr. et al.	
		^{US-} 5351286	Sep., 1994	Nici	
		^{US-} 5353283	Oct., 1994	Tsuchiya	
		^{US-} 5359598	Oct., 1994	Steagall et al.	
		^{US-} 5365521	Nov., 1994	Ohnishi et al.	
		^{US-} 5379293	Jan., 1995	Kanno et al.	
		^{US-} 5381405	Jan., 1995	Daugherty et al.	
		^{US-} 5381466	Jan., 1995	Shibayama et al.	
		^{US-} 5383183	Jan., 1995	Yoshida	
		^{US-} 5384840	Jan., 1995	Blatchford et al.	
		us- 5390184	Feb., 1995	Morris	
		^{US-} 5396491	Mar., 1995	Newman	
		^{US-} 5420858	May., 1995	Marshall et al.	
		^{US-} 5422882	Jun., 1995	Hiller et al.	
		^{US-} 5423003	Jun., 1995	Berteau	

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear			
<u> </u>								

Examiner	Date	
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Substitute for form 1449/PTO	Coi	mplete if Known
	Application Number	11/428,822
INFORMATION DISCLOSURE	Filing Date	July 5, 2006
	First Named Inventor	Samuel F. Wood
STATEMENT BY APPLICANT	Art Unit	2614
(Use as many sheets as necessary)	Examiner Name	Not yet known
Sheet 5 of 22	Attorney Docket Number	TEL-M-8801-1P-1C

		,		DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5426636	Jun., 1995	Hiller et al.	
		^{US-} 5428607	Jun., 1995	Hiller et al.	
		^{US-} 5428616	Jun., 1995	Field et al.	
		^{US-} 5430719	Jul., 1995	Weisser, Jr.	
		^{US-} 5434913	Jul., 1995	Tung et al.	
		^{US-} 5436898	Jul., 1995	Bowen et al.	
		^{US-} 5438614	Aug., 1995	Rozman et al.	
		^{US-} 5444709	Aug., 1995	Riddle	
		^{US-} 5452289	Sep., 1995	Sharma et al.	
		^{US-} 5453986	Sep., 1995	Davis et al.	
		^{US-} 5457684	Oct., 1995	Bharucha et al.	
		^{US-} 5471470	Nov., 1995	Sharma et al.	
		^{US-} 5479411	Dec., 1995	Klein	
		^{US-} 5485457	Jan., 1996	Aramaki	
		us- 5521914	May., 1996	Mavraganis et al.	
		^{US-} 5526353	Jun., 1996	Henley et al.	
		^{US-} 5537403	Jul., 1996	Cloonan et al.	
		^{US-} 5541917	Jul., 1996	Farris	
		^{US-} 5544161	Aug., 1996	Bigham et al.	

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of once bootinent	Or Relevant Figures Appear	Т			
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Complete if Known Substitute for form 1449/PTO Application Number 11/428,822 Filing Date July 5, 2006 INFORMATION DISCLOSURE First Named Inventor Samuel F. Wood STATEMENT BY APPLICANT Art I Init

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				Examiner Name	Not yet k	nown
Sheet	6	of 22		Attorney Docket Number	TEL-M-8	801-1P-1C
			U. S. PATEN	T DOCUMENTS		
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee Applicant of Cited Doc		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5544163	Aug., 1996	Madonna		-
		^{US-} 5544164	Aug., 1996	Baran		
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Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		^{US-} 5544164	Aug., 1996	Baran	
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		^{US-} 5600649	Feb., 1997	Sharma et al.	
		^{US-} 5602991	Feb., 1997	Berteau	
		^{US-} 5604737	Feb., 1997	Iwami et al.	
		^{US-} 5608786	Mar., 1997	Gordon	

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Substitute for form 1449/PTO		Со	Complete if Known			
		Application Number	11/428,822			
IN	FORMATION DISCLOSU	Filing Date	July 5, 2006			
		First Named Inventor	Samuel F. Wood			
S	TATEMENT BY APPLICA	NT Art Unit	2614			
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Sheet	7 of 22	Attorney Docket Number	TEL-M-8801-1P-1C			

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	Cite No.1	Foreign Patent Document	Date A	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages					
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			U. S. PATENT	DOCUMENTS	
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		^{US-} 5729544	Mar., 1998	Lev et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ°
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		Application Number	11/428,822
INFORMA	TION DISCLOSURE	Filing Date	July 5, 2006
		First Named Inventor	Samuel F. Wood
	ENT BY APPLICANT	Art Unit	2614
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Sheet 9	of 22	Attorney Docket Number	TEL-M-8801-1P-1C

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		Application Number	11/428,822
IN	IFORMATION DISCLOSURE	Filing Date	July 5, 2006
		First Named Inventor	Samuel F. Wood
S	TATEMENT BY APPLICANT	Art Unit	2614
	(Use as many sheets as necessary)	Examiner Name	Not yet known
Sheet	10 of 22	Attorney Docket Number	TEL-M-8801-1P-1C

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		Number-Kind Code ^{2 (# known)}			Figures Appear
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Sheet 11

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of 22

Cor	mplete if Known	
Application Number	11/428,822	
Filing Date	July 5, 2006	
First Named Inventor	Samuel F. Wood	
Art Unit	2614	
Examiner Name	Not yet known	
Attorney Docket Number	TEL-M-8801-1P-1C	

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in	NFORMATION DISCLOSURE	Filing Date	July 5, 2006				
		First Named Inventor	Samuel F. Wood				
S	TATEMENT BY APPLICANT	Art Unit	2614				
	(Use as many sheets as necessary)	Examiner Name	Not yet known				
Sheet	12 of 22	Attorney Docket Number	TEL-M-8801-1P-1C				

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Subs	stitute for form 1449/PTO		Complete if Known			
			Application Number	11/428,822		
IN	FORMATION D	ISCI OSLIDE	Filing Date	July 5, 2006		
			First Named Inventor	Samuel F. Wood		
S	TATEMENT BY	—	Art Unit	2614		
	(Use as many sheets a	s necessary)	Examiner Name	Not yet known		
Sheet	13 of	22	Attorney Docket Number	TEL-M-8801-1P-1C		

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Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		EP 0 847 176	Jun., 1998			
		EP 0 866 596	Sep., 1998			
		EP 0 872 998	Oct., 1998			
		GB 2 315 190	Jan., 1998			
		JP 10-23067	Jan., 1998			
		JP 10-51453	Feb., 1998			

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Sub	Substitute for form 1449/PTO		Complete if Known		
			Application Number	11/428,822	•
IN	IFORMATION D	NISCI OSLIDE	Filing Date	July 5, 2006	
			First Named Inventor	Samuel F. Wood	_
S	TATEMENT BY		Art Unit	2614	
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Sheet	14 of	22	Attorney Docket Number	TEL-M-8801-1P-1C	

			U. S. PATENT DO	OCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan
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		JP 10-164135	Jun., 1998			
	L	JP 10-164257	Jun., 1998			
		WO 96/08935	Mar., 1996			
		WO 96/15598	May., 1996			
		WO 97/14238	Apr., 1997			
		WO 97/14234 A2	Apr., 1997	· · · · · · · · · · · · · · · · · · ·		

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Substitute for form 1449/PTO		Cor	Complete if Known			
	5	Application Number	11/428,822			
IN	IFORMATION DISCLOSURE	Filing Date	July 5, 2006			
		First Named Inventor	Samuel F. Wood			
S	STATEMENT BY APPLICANT	Art Unit	2614			
	(Use as many sheets as necessary)	Examiner Name	Not yet known			
Sheet	15 of 22	Attorney Docket Number	TEL-M-8801-1P-1C			

			U. S. PATENT D		
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		WO 97/16007	May., 1997			
		WO 97/22216	Jun., 1997			
		WO 97/23078	Jun., 1997		•	
		WO 97/27692	Jul., 1997			
		WO 97/28628	Aug., 1997			
		WO 97/29581	Aug., 1997			Г

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Complete if Known Substitute for form 1449/PTO **Application Number** 11/428,822 Filing Date July 5, 2006 INFORMATION DISCLOSURE First Named Inventor Samuel F. Wood STATEMENT BY APPLICANT Art Unit 2614 (Use as many sheets as necessary) **Examiner Name** Not yet known Sheet 16 Attorney Docket Number TEL-M-8801-1P-1C

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan
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	L	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	10.00-55-7777		Of Relevant Inguites Appear	<u>. </u>
		WO 97/31492	Aug., 1997			
		WO 97/33412	Sep., 1997			
		WO 97/38551	Oct., 1997			
		WO 97/39560	Oct., 1997			
		WO 97/38511 A2	Oct., 1997			
		WO 97/47118	Dec., 1997			

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Sheet 17 of 22 Attorney Docket Number TEL-M-8801-1P-1C **U. S. PATENT DOCUMENTS** Examiner Cite Document Number Name of Patentee or Publication Date Pages, Columns, Lines, Where Initials* MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Number-Kind Code^{2 (# known)} Figures Appear US-US-US-US US-US-US-US-US-US-US-US-US-US-US-US-

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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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		WO 97/50217	Dec., 1997			
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		WO 97/46073 A2	Dec., 1997			
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Substitute for form 1449/PTO	Cor	mplete if Known
	Application Number	11/428,822
INFORMATION DISCLOSURE	Filing Date	July 5, 2006
· · · · · · · · · · · · · · · · · · ·	First Named Inventor	Samuel F. Wood
	Art Unit	2614
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Examiner Name	Not yet known
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	Wo 98/12860	Mar., 1998			
	WO 98/13974	Apr., 1998			
	WO 98/18238	Apr., 1998			
	WO 98/18289	Apr., 1998			
	WO 98/19425	May., 1998			
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INFORMATION DISCLOSURE	Filing Date	July 5, 2006	
	First Named Inventor	Samuel F. Wood	
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		WO 98/20701	May., 1998			
		WO 98/23067	May., 1998			
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		WO 0 851 653	Jul., 1998			
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		First Named Inventor	Samuel F. Wood
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Sheet 20	of 22	Attorney Docket Number	TEL-M-8801-1P-1C

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		WO 98/28885	Jul., 1998			
		WO 98/30007	Jul., 1998			
		WO 98/30008	Jul., 1998			
		WO 98/34391	Aug., 1998			
		WO 98/34399	Aug., 1998			
		WO 98/36543	Aug., 1998			

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Sub	stitute for form 144	9/PTO		Complete if Known			
				Application Number	11/428,822		
IN	IEODMAT		ISCLOSURE	Filing Date	July 5, 2006		
				First Named Inventor	Samuel F. Wood		
S			APPLICANT	Art Unit	2614		
(Use as many sheets as necessary)				Examiner Name	Not yet known		
Sheet	21	of	22	Attorney Docket Number	TEL-M-8801-1P-1C		

			U. S. PATENT D			
xaminer Cite nitials* No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
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	FOREIGN PATENT DOCUMENTS									
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		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T				
		WO 98/37665	Aug., 1998							
		WO 98/37688 A2	Aug., 1998							
		WO 98/39897	Sep., 1998							
		WO 98/42104	Sep., 1998							
		WO 98/42107	Sep., 1998							
		WO 98/42146	Sep., 1998			Γ				

Examiner	Date	
Signature	Consid	ered

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PTO/SB/08A (07-05)

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			Application Number	11/428,822				
IN	FORMATION D	ISCI OSLIDE	Filing Date	July 5, 2006				
		- · -	First Named Inventor	Samuel F. Wood				
5	TATEMENT BY		Art Unit	2614				
	(Use as many sheets a	s necessary)	Examiner Name	Not yet known				
Sheet	22 of	22	Attorney Docket Number	TEL-M-8801-1P-1C				

Examiner	Cite	Document Number	U. S. PATENT De	Name of Patentee or	Pages, Columns, Lines, Where
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Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	TEL-M-8801-1P-1C
First Inventor	Samuel F. Wood
Title	Tandem Access Controller Within The Public
Express Mail Label No.	Electronic Filing

(Only for fice	w nonprovisional applications under or or it	1.00(1))	Express Mail Label N	0.				
	APPLICATION ELEMENTS apter 600 concerning utility patent application	contents.	ADDRESS TO:	P	O. Box 1450	er for Patents) A 22313-1450		
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2. Applican See 37 0 3. Specifica Both the co (For informal)	an original and a duplicate for fee processing) In claims small entity status. CFR 1.27. ation [Total Pages] 31 claims and abstract must start on a new page lation on the preferred arrangement, see MPEP 608.0 I(s) (35 U.S.C. 113) [Total Sheets]		9. Assignment Papers (cover sheet & document(s)) Name of Assignee					
b.	y executed (original or copy) by from a prior application (37 CFR 1.63) continuation/divisional with Box 18 comple ELETION OF INVENTOR(S) gned statement attached deleting inventor(s) ame in the prior application, see 37 CFR 63(d)(2) and 1.33(b).	(d))	11. English Tra	rè is ai inslati i Discl	n assignee) on Documen	Power of Attorney t (if applicable) nent (PTO/SB/08 or PTO-1449) shed		
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8. Nucleotide a (if applicable, a. Co b. Sp	 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent. 							
i	CD-ROM or CD-R (2 copies); or Paper		17. Other:					
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	UING APPLICATION, check appropriate wing the title, or in an Application Data S			ation b	elow and in th	e first sentence of the		
Continua	ation Divisional	Continua	ation-in-part (CIP)	of prior a	application No.:	.10/426,279		
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	19. CC	DRRESPON	DENCE ADDRESS					
	associated with Customer Number:	32	566	OR	Corre	spondence address below		
Name B	rian D. Ogonowsky							
	atent Law Group LLP 635 North First St., Suite 223							
City	an Jose	State	California		Zip Code	95134		
Country U	ISA	Telephone	(408) 382-0480		Email	brian@patentlawgroup.com		
Signature	/Brian D Ogonowsky/			Date	2006-07-05			
Name (Print/Type)	Brian D. Ogonowsky		•		Registration			

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	Samuel			F.				Wood	I		
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VMax Corporation Page 227 of 283 EFS Web 1.0

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City

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Application	n Data SI	neet 37 CFR	1.76	Attorne		ket Number umber	IEL-N	1-8801-1P-1C 	
Title of Invention Tandem Access Controller Within the Public Switched Telephone Network									
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☐ An Add	ress is beir	ng provided for	the co	rrespon	ndenc	e Information	of this	application.	
Customer N	umber	32566							
Email Addre	ess	brian@paten	brian@patentlawgroup.com			ı		Add Email	Remove Email
Application	on Inforr	nation:							
Title of the I	nvention	Tandem Acc	ess Con	itroller Wi	ithin the	e Public Switche	ed Telep	hone Network	
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Publication	Information	1:							
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Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.									
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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	TEL-M-8801-1P-1C
Application ba	ta officer of of it 1.70	Application Number	
Title of Invention Tandem Access Controller W		ithin the Public Switched Teleph	none Network
Customer Number 32566			

Domestic Priority Information:

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a) (4), and need not otherwise be made part of the specification.

Prior Application Status	Pending		Remove		
Application Number	Application Number Continuity Type		Filing Date (YYYY-MM-DD)		
	Continuation of	10/426279	2003-04-30		
Prior Application Status			Remove		
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)		
10/426279	Continuation in part of	09/565565	2000-05-04		
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Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

and 57 Of 17 1.35(a).						
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Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed			
			◯ Yes ⊙ No			
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Assignee Information:

button.

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

of the CFR to have an assignment recorded in the Office.						
Assignee 1	Remove					
If the Assignee is an Organization check here.						
Organization Name	Telemaze LLC					
Mailing Address Inform	nation:					
Address 1	101 North First Street					
Address 2						
City	Los Altos	State/Province	CA			
Country US	•	Postal Code	94022			
Phone Number		Fax Number				
Email Address						
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Application Da	ata Sheet 37 CFR 1.76	Attorney Docket Number	TEL-M-8801-1P-1C
Application Data Sheet 37 Cl K 1.70		Application Number	
Title of Invention	Tandem Access Controller Within the Public Switched Telephone Network		

Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.							
Signature	/Brian D Ogonowsky/			Date (YYYY-MM-DD)	2006-07-05		
First Name	Brian	Last Name	Ogonowsky	Registration Number	31988		

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TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK

Samuel F. Wood

Jerry A. Klein

Margaret Susan Asprey

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CROSS REFERENCE TO RELATED APPLICATIONS

This application is a continuation of U.S. Application Serial No. 10/426,279, filed April 30, 2003, entitled "Branch Calling and Caller ID Based Call Routing Telephone Features," which is a continuation-in-part of U.S. Application Serial No. 09/565,565, now Patent 6,574,328, filed May 4, 2000, entitled "Telephone Call Control System for the Public Switched Telephone Network," both documents being incorporated herein by reference.

FIELD OF THE INVENTION

This invention relates to telephone services and, in particular, to a system for allowing a subscriber to select features of the subscriber's telephone service and to various novel features that can be selected.

BACKGROUND

People have used various means for limiting interruptions due to the telephone. In the
past, people used switchboards and secretaries to screen incoming, or inbound, calls. Voice mail
systems took over some of this role both in the home and in the central office. Today, there are
web-based companies managing 3rd-party call control, via the toll-switch network, which allow
users to enter call control information through a web portal. There are also edge devices in each
of the public telephone company's central offices which provide local control, but offer an
extremely limited number of features and do not provide true 3rd-party call control.

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The web-based toll systems provide good user interaction but they are not economical and cannot take advantage of local number portability because they do not provide local control and connectivity.

The Public Switched Telephone Network (PSTN) consists of a plurality of edge switches connected to telephones on one side and to a network of tandem switches on the other. The tandem switch network allows connectivity between all of the edge switches, and a signaling system is used by the PSTN to allow calling and to transmit both calling and called party identity.

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Until now, optional features were provided by the local service telephone company (telco) through the edge switch at the central office (CO). It was not possible to provide optional features through any other means. Control of these features was done through the first party (calling party) or the second party (called party), or worse yet, manually by calling the business office.

In the past, numerous devices have been built that allow the connection of two lines together at an edge switch. These devices can be used to add features to a telephone network by receiving a call on one line and then dialing out on another line. The problem with these devices is that, because they are connected through an edge switch, transmission losses and impairments occur, degrading the overall connection. In addition, signalling limitations prevent full control, by the subscriber or the system, over the call.

A preferred embodiment of the inventive system described herein connects at the tandem, thereby eliminating these problems.

In the edge devices residing in the PSTN central offices, the 1st party (the calling party) has numerous features available (dialing options). The 2nd party (called party) also has options available such as call forwarding, but these features typically require access from the first or second party's device and are extremely awkward to program. The user interaction is not only awkward, it is limited and requires interaction with the telephone company to provision them. In other words, past systems for provisioning, meaning addition, modification, or control of telephone features, required a subscriber to make the feature selection through the telephone

business office. Central office workers would then implement the provisioning under request of the business office.

Call Forwarding is one popular provision. There is signification transmission degradation for Call Forwarding to take place. The calling party pays for a call to the edge device, and the edge subscriber, the called party, pays for the call to the forwarding number. For enhanced inbound call control to occur, a direct 3rd-party call control means is needed.

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A variety of services have arisen to address the problems mentioned above. Many of these systems allow the called party to make changes to his/her call forwarding attributes which do not allow direct 3rd-party call control. These services provide good user interaction, some via the internet, but they rely upon the toll network through the use of "800" numbers.

This requires the subscriber to pay by the minute and does not allow the subscriber to take advantage of number portability in order to obtain 3rd-party call control. There are other toll network mechanisms for remote call forwarding. For example, MCI offers a service where the customer can remotely change the forwarding target number for "800" numbers. Contacting the ultimate end-user before terminating the first incoming call is similar to the manner in which "800" credit calls and collect calls are processed, but these are not done at the local subscriber level.

In addition to these toll services, there are edge devices that perform some of the same services. Edge devices such as phones and PBXs that include voice mail, inter-active voice response, call forwarding, speed calling, etc., have been used to provide additional call control. These devices allow the phone user direct control over incoming and outgoing calls. The disadvantage of edge devices is that they add cost, degrade voice and transmission quality, can be difficult to program, are not easily programmed remotely, can require the user to pay for two lines, provide lower quality of service, and cannot provide the same level of functionality as a system that controls the PSTN directly. There are Voice Over Internet Protocol (VoiP) products emerging that provide better user interfaces and control but they do not take advantage and voice quality of the PSTN.

SUMMARY

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A system for allowing a subscriber to remotely control features is described herein along with various telephone features that may be programmed into the system. A subscriber may be any customer using the telephone service, in contrast to employees of the PSTN who may use special communication networks within the PSTN.

The present invention adds direct control of third party call control features, but does not suffer from any of the disadvantages listed above, and allows the subscriber to manage his/her telephone system in a dynamic and exceptionally useful manner that is not currently available through the existing PSTN. The invention allows enhanced direct third-party call control features, such as selective call routing and remote dialing, to be added to the PSTN (Public Switched Telephone Network) using local call control and providing dynamic provisioning of the system by the subscriber. Direct 3rd-party control means that the ability to provision the 3rd-party features is directly available to a subscriber, eliminating the need to go through the telephone company (telco) business office.

In one embodiment, the system includes a processor, referred to herein as a tandem access controller (TAC), connected to the PSTN, where the TAC allows a subscriber to set-up and make immediate changes to the configuration of his or her phone line or other communications device. In one embodiment, the TAC subsystem is connected internally to the PSTN in a local service area and is outside the central office of the subscriber. A calling party makes a first call to the subscriber using the subscriber's public telephone number. The TAC receives the first call prior to the call reaching the subscriber's terminating central office, which in some cases avoids a toll. The TAC then carries out the subscriber's instructions for the first call, such as making one or more second calls using telephone numbers different from the subscriber's public telephone number. When the second call is answered, the answering phone is connected by the TAC to the caller.

The TAC provides features, selected by the subscriber, to all edge switches connected to the PSTN tandem switch. Connecting directly to the PSTN tandem switch (or embedding the system into the tandem switch) eliminates the signal degradation problems previously described.

In one embodiment, the system allows provisioning of features via the internet under direct control of the subscriber. Recently, several products have been introduced that provide a means of controlling features via the public internet. However, all these devices fall short in that they require the subscriber to obtain an "800" number or some other number that requires the subscriber to pay a toll charge each time a call is made. The present invention connects locally, so no toll charges are incurred.

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The web-enhanced services in one embodiment of the invention coexist with and overlay the local phone service at the local level, thereby providing good economics and user interaction, single number access to multiple subscriber devices, connectivity without transmission impairments and true, direct 3rd-party call control.

The present invention relies upon use of local telephone facilities thereby eliminating all the extra charges associated with making toll calls. It also allows the user to take advantage of number portability and keep his/her existing public phone number.

Examples of two features that may be performed by the TAC are caller ID (CID) based call routing and branch calling. The system allows the subscriber to set up a feature where the CID signal is detected within the PSTN and automatically associated with stored information relating to the caller. The stored information may have been previously entered into a memory within the PSTN by the subscriber via the world wide web. The CID signal may be also used to route the call to one of more forwarding numbers or to take any other action, such as blocking the call. This feature also allows the subscriber to use the CID signal to display certain information even though the caller may have her CID blocked.

Another feature described herein is referred to as branch calling, which allows a call to be forwarded to multiple telephones simultaneously, where the first telephone answered terminates the calling of the other telephones (or any other end units).

The offered features are implemented by software programs run by the processing system.

The web-enhanced services in one embodiment of the invention coexist with and overlay the local phone service at the local level, thereby providing good economics and user

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interaction, single number access to multiple subscriber devices, connectivity without transmission impairments and true, direct 3rd-party call control.

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BRIEF DESCRIPTION OF THE DRAWINGS

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- Fig. 1 illustrates the tandem access controller (TAC) in one embodiment of the present invention connected to the existing PSTN tandem switch, the TAC providing features for the subscriber's telephone as requested by the subscriber via the web.
- Fig. 2 illustrates a system similar to Fig. 1 but showing multiple tandem switches and TACs and also showing how the subscriber may, in additional to using the standard telephone, make phone calls using Voice Over IP via a conventional digital telephone.
 - Fig. 3 is a flowchart of one method that a person may use to set up a subscriber account and to designate features the subscriber would like for his/her telephone.
- Fig. 4 is a flowchart of a method that can be performed by the TAC in response to the subscriber (or other service) controlling the TAC, using the web (or other packet-based system), to change the subscriber's telephone provisioning or perform another function, such as make a VoIP call.
- Fig. 5 is a flowchart of a method that can be performed by the TAC in response to an inbound call to the subscriber.
 - Fig. 6 is a flowchart of a method performed by the subscriber and the TAC when the subscriber desires to make an outbound call via the web or using a conventional telephone.
 - Fig. 7 illustrates a system, using the TAC, that allows wireless cell phones to obtain the same provisioning options as the conventional telephones.

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Fig. 8 illustrates a system, using the TAC, that allows fax and modem calls to benefit from the provisioning offered by the TAC.

Fig. 9 is a flowchart of possible scenarios using the caller ID based feature.

Fig. 10 is a flowchart of possible scenarios using the branch calling feature.

5 DETAILED DESCRIPTION OF THE EMBODIMENTS

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Fig. 1 shows a tandem access controller (TAC) 10 that allows an authorized subscriber 12 to establish 3rd-party control criteria for calls to the subscriber's telephone 14 (having a "public" phone number that callers dial). In one embodiment, the TAC 10 is a programmed processor. The TAC 10 may use any combination of hardware, firmware, or software and, in one embodiment, is a conventional computer programmed to carry out the functions described herein.

The TAC 10 is connected to or inside the conventional PSTN tandem switch 16 such that calls may flow through the TAC 10 in the same manner as the existing PSTN tandem switch, except that additional 3rd-party features are applied to the call. As is well known, PSTN tandem switches are exchanges that direct telephone calls (or other traffic) to central offices 17,18 or to other tandem switches. Details of the operation of the existing phone network may be found in the publication entitled "New Net SS7 Tutorial," by ADC Telecommunications, copyright 1999, incorporated herein by reference. Additional details may be found in the numerous books describing the PSTN.

The PSTN tandem switch 16 directs a first call (from the calling party 20 to the subscriber's phone 14 using the subscriber's public phone number) to the TAC 10, which in turn places a second call, subject to 3rd-party control information, to the subscriber's "private" phone number without yet terminating the first call. The TAC 10 is connected within the subscriber's local service area so calls from TAC 10 to the subscriber do not incur a toll. When the subscriber 12 terminates (or answers) the second call, the TAC 10 terminates the first call and connects it to the second call, thereby connecting the calling party 20 to the subscriber 12. Hence, the calling party essentially calls the TAC 10, using the subscriber's public phone number, and the TAC 10, after processing the call using the selected features, calls the

subscriber, as appropriate, using the subscriber's private phone number and connects the two calls. The process is transparent to the parties.

The TAC 10 is connected inside the PSTN in the sense that it is not an edge device such as a PBX or central office (CO) switch because it does not connect directly to subscribers. Rather, it redirects calls to subscribers. The TAC 10 provides intelligent interconnection between a calling party and a subscriber.

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The reader should keep in mind that although only one tandem switch 16 is shown in Fig. 1, the invention will apply equally well to a network of tandem switches, as shown in Fig. 2. Fig. 2 also illustrates how the subscriber can make calls using voice over IP via a conventional digital telephone 21.

Fig. 1 illustrates the preferred method for an authorized subscriber to modify the 3rd-party control criteria by means of the world wide web 22 (and web server 23) using an internet browser. By "authorized" we mean a subscriber who is registered and has logged- in with appropriate security and password controls. The subscriber 12 interacts with the web 22 via the Internet to quickly and easily specify the enhanced 3rd-party call control features. Web 22 then relays this information, in appropriate form, to the TAC 10. Preferably, the link to the TAC 10 uses a secure protocol. Examples of features that can be selected by the subscriber include: conditional call blocking, call forwarding, call altering, time of day conditions, day of week conditions, follow-me, caller recognition/password, caller ID, call screening/retrieval from voice mail, speed dialing, interactive voice response, and speech recognition. Any other feature could be added. These features can be implemented in the TAC 10 using known software techniques since such features are known. Message outgoing call control includes: click-to-dial calling and group calling/messaging.

The invention may also include ivr/vm/voverip.

Fig. 1 uses a public internet portal connected via a data link to the TAC 10 or other interface system. As a registered subscriber, a user logs onto the portal (Fig. 3) and is granted access, allowing the user to make additions or changes to features such as speed calling, call forwarding, selection of such descriptors as time of day, busy status, caller ID status, etc. A

user-friendly web page leads the subscriber through the various procedures and available features. The selections made by the subscriber are translated into provisioning data and transmitted to the TAC 10. The TAC 10 in turn keeps track of incoming and outgoing calls based on this information.

The subscriber can also program a set of the call control features via a telephone link in the event a data link connection is unavailable.

Fig. 4 is a flowchart of actions that may be taken by the TAC 10 in response to the subscriber (or other service) controlling the TAC, using the web or other packet-based system, to change the subscriber's telephone provisioning or perform another function, such as make a VoIP call.

Fig. 5 is a flowchart of actions taken by the TAC 10 in response to an inbound call (using the subscriber's public phone number) to the subscriber. Examples of some of the actions taken by the TAC 10 are:

Receives SS7 data indicating an incoming call

Stores phone numbers downloaded from provisioning system

Charts identity of calling party

Checks time of day

Stores lists of numbers in groups used for processing incoming calls

Places outgoing calls in response to incoming calls according to information

downloaded on the data link.

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Incoming call data is received by the TAC 10 from the tandem switch 16. The TAC 10 processor checks calling and called numbers, class of service, time of day, number lists, etc. In some cases additional data is gathered from the calling party via a DSP (Digital Signal Processing) system and stored in the system memory. The DSP system is used to play call progress tones and voice announcements as required. Voice announcements can be played through the DSP system. In response to the call data, an outgoing call to the subscriber 12 may

be placed back through the tandem switch 16 by TAC 10. The TAC 10 links the two calls and monitors the connection.

Information about the call may be collected by the TAC 10 and sent to the subscriber or a 3rd party for display. Such information may be the length of the call or information used to bill the subscriber for the use of the system. The provisioning system can also collect control information from a 3rd party and relay it back to the TAC 10, which will then affect the call accordingly.

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Fig. 6 is a flowchart of actions taken by the subscriber 12 and the TAC 10 when the subscriber desires to make an outbound call via the web or using a conventional telephone. When using the web to place a call, the subscriber may simply click a name on the computer screen 26 using a mouse.

Fig. 7 illustrates a system, using the TAC 10, that allows wireless cell phones 28 to obtain the same provisioning options as the conventional telephones 14. A local cell 30 and a cell switch 32 are also shown in Fig. 7.

Fig. 8 illustrates a system, using the TAC 10, that allows fax and modem calls to benefit from the provisioning offered by the TAC 10. The TAC 10 may interface the ISP 36 through the web 22.

One embodiment of the invention allows a subscriber to view the current state of his/her telephone via the Internet. Internet is a term of art by which we mean an interconnection of packet switched networks. Prior to this system there was no way for a user to examine the status of a telephone line. Recently, several products have been introduced that provide a means of examining the voice message boxes.

An internet portal is connected via a data link to the TAC 10. When a user logs onto the internet portal and is granted access to an individual subscription, the user can examine the status of calls/features. This information is transmitted from the TAC 10 to the web portal and translated into user viewables. The TAC 10 keeps track of incoming and outgoing calls based on this information.

The TAC 10 may be implemented using conventional processor hardware. The connection to the tandem switch 16 may be as simple as a telephone circuit, since the TAC 10 receives an incoming call from a caller and processes the call. Devising the software/firmware use to control the TAC 10 is well within the capability of those skilled in the art since the various control features that can be made available are generally already known.

Certain advantages that can be obtained using the invention include the following:

Web-Based Telecom Navigator

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Manage Incoming Call Control

- Conditional Call Blocking/Forwarding/Alerting
- Time-of-Day, Day-of-Week, Follow-Me, Caller Recognition/Password, Caller ID, etc.
 - Call Screening/Retrieval from Voice Mail
 - Interactive Voice Response and Speech Recognition

Manage Outgoing Call Control

- Click-to-Dial Calling
 - Group Calling and Messaging

Web-Based Billing

Web-Driven Personal Communications Management

20 Cost-Effective Single Phone Number Access

On-Line "Personal Digital Assistant"

On-Line "Telcom Navigator"

Inspired User Interaction

Secure and Reliable Technology

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Cost-Effective Single Phone Number Access

CLEC Status

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- Free Local Calls, Incoming Calls (not 800 Toll Service)
- Retain Current Number (Local Number Portability)
- Low-Cost Calling Throughout LATA
- Flat-Rate Foreign Exchange
- Single Installation Covers Entire LATA
- VoIP Toll-Bypass

10 Compatible With Existing Devices, Standards

- Standard DTMF and VoIP Phones
- Wireless Phones
- Standard Wired/Wireless and PIM Browsers

15 Web-Based Personal Digital Assistant

Centralized and Consistent Personal Data

- Build Once, Use Anywhere
- Private/Public Phone Directories and Calendars
- "Post-It" Style Annotation of Numbers

Web Dialing

- Click-to-Dial from Web Pages, Directories, Calendars
- Multiple Phone List Management

Unified Messaging

• Voice Mail Access, Prompts, Alert Via Web

User Interaction

5 Expected Behavior

- Compatible with Familiar Products (e.g. Palm Pilot)
- Commonality Between All Wired and Wireless

Mode-Based Definition and Selection

- Vacation, Dinner Time, Go Away, Family Call Waiting
- Templates

Learning Modes

- Persona-Based User Interaction Design
- Speech recognition
- Windows drag and drop

15 Automatic Data Capture

- Build Phone List Based on Collected Usage Information
- Drag and Drop Into Lists

Secure and Reliable Technology

20 Separate Web-Site and Link Gateway

- No Direct External Access to Gateway
- Additional Security Layer

No Denial-of-Service to Voice Links

VoIP Link Degradation Detection

Automatic Cutover to PSTN

E-Commerce Security

Billing Encryption

Caller ID Based Call Routing

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One advantage of using TAC 10 is its ability to enhance caller ID information. Caller ID is a common feature where a calling party's telephone number is transmitted to the called party's telephone so it can be displayed on a small display screen in the telephone. This caller ID information is provided by the calling party's central office switch. Signaling System No. 7 (SS7) is a global standard for telecommunications and defines the procedures and protocol by which network elements in the PSTN exchange information (including the caller ID) over the telephone network for call set up, routing, and control. In some telephone sets, including wireless telephones, the name of the caller associated with the telephone number is also displayed on the called party's display screen.

TAC 10 can use this automatically generated caller ID signal to provide an enhanced set of caller ID related features. One such feature is the association of the standard caller ID information with additional information about the caller stored in a memory addressed by TAC 10. The enhanced caller ID information provided through TAC 10 provides a valuable tool to the subscriber in handling incoming calls. The basic caller ID information, such as the caller's telephone number and name, can still be sent to the subscriber's phone and displayed in a conventional manner while the enhanced caller ID information may be displayed on the phone display or on the subscriber's computer monitor via the web.

The caller ID signals, pursuant to the SS7 protocol, are detected by TAC 10 when a calling party calls the subscriber using the subscriber's public telephone number, as previously described. TAC 10 then uses the basic caller ID data to address a look-up table (LUT)

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containing any additional information that the subscriber has entered into the LUT's memory locations for association with that caller ID data. Fig. 8 shows such a LUT 40 within or connected to TAC 10.

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In one example, the subscriber may identify a prospective calling party's telephone number to TAC 10 via the Internet and then associate the number with any other information for storing in LUT 40. Such other information may be all the possible callers using the calling telephone, personal information regarding the calling party, billing information, business information, account numbers, past discussions with the caller, or any other information. When TAC 10 detects the caller ID signals, TAC 10 addresses LUT 40 and downloads the retrieved information to the subscriber's telephone display or to the subscriber's computer via the web. Since TAC 10 (including LUT 40) stores this additional information, the subscriber is not required to personally provide processing or memory devices for this feature.

Multiple subscribers use the same TAC 10 and LUT 40 but only the memory locations in LUT 40 authorized for access by a particular subscriber are available to that subscriber.

A subscriber may program TAC 10 using the various means described previously to perform any number of features on an incoming telephone call based upon the caller ID data. Such features include forwarding a call associated with that particular caller ID data to one or more other telephones, or blocking calls associated with that particular caller ID data. Such calls may be forwarded or blocked only at certain times or on certain days as requested by the subscriber. All of the other features previously described may also be applied based upon the caller ID.

When the calling party elects to block her caller ID information, displaying the caller's number and name on the subscriber's telephone may violate the privacy act, so such a restriction should be programmed into the system. However, TAC 10 may still use the caller ID information for various legal purposes. For example, the subscriber may not wish to receive phone calls from a particular phone number or calling party. The subscriber may transmit to TAC 10 the caller ID information (e.g., the telephone number and/or the name) and instruct TAC 10 to either forward the call, block the call, or transmit any additional information from LUT 40 to the subscriber's phone display or computer monitor for screening the caller.

If caller ID information does not exist, such as where the local telephone company does not offer caller ID, TAC 10, when receiving the incoming call, can transmit an automatic message to the caller to enter identification information. TAC 10 then uses that information to address LUT 40 to identify any associated information in LUT 40 for transmission to the subscriber. TAC 10, in a recorded or simulated voice, can request the caller to enter her phone number via the telephone keypad. Alternatively, TAC can request that the caller speak her name or number, which would then be played to the subscriber or converted to text or a code by TAC 10 to address LUT 40. Alternatively, the caller can enter a personal identification number or any other type of code (e.g., the caller's name) via the keypad, which would identify the caller to TAC 10. Once obtained, the caller ID information entered can be used to route the incoming call via TAC 10 in any way programmed by the subscriber. Call routing can be based on time of day, the caller ID, any web input instructions, a direction by the calling party itself, or any other variable.

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This technique is contrasted with 800-type services, which are reverse long distance services requiring the owner of the 800 number to pay for the incoming call. With 800 numbers, the caller ID must be unblocked to identify the amount of the toll. With the inventive technique, even blocked caller ID calls can result in information about the caller being transmitted to the subscriber or used by TAC 10 to selectively perform a function.

Fig. 9 is a flowchart of various scenarios that may be carried out using the caller ID feature.

In step 50 of Fig. 9, an incoming call is received by TAC 10, as previously described, by a calling party calling the subscriber's public telephone number. In all embodiments described herein, the end unit called may be a residential telephone or other communication device connected to the PSTN via a central office, such as a computer, fax machine, or other communication device. The services provided by TAC 10 may be for residential telephone service or for business telephone service.

In step 52, using the SS7 protocol, TAC 10 detects the caller ID signal (CID), if any. Even if the calling party has a blocked CID, the CID is still transmitted to TAC 10; however the blocked caller ID cannot be displayed on the called party's telephone. If the CID is detected,

the process continues to steps 54 and 56, which determine whether the CID is associated with any data in a look-up table. Existing data in the look-up table associated with the CID indicates that the caller is a previous caller. If caller ID information is known without ever previously receiving a call from that party, the additional information can still be entered into the look-up table, and the calling party will be treated as not a new caller in step 54.

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Assuming the caller has information stored in the look-up table, this additional information is retrieved by TAC 10 and displayed on a web page (step 58) that is accessible by the subscriber via the web. In addition, the caller's name and telephone number may also by displayed (step 60). The information may also be transmitted to the subscriber's telephone for display.

In step 62, TAC 10 performs any programmed function on the call, such as forwarding the call to the subscriber's private telephone number or another number.

The placing of the second call by TAC 10 causes the called phone to ring (step 64) as well as causes the CID and additional information to be available to the subscriber (step 66) on the subscriber's telephone display. When the subscriber answers the phone, TAC 10 completes the connection between the two parties.

The retrieved information from LUT 40 that is transmitted over the web may appear as a screen pop-up on the subscriber's monitor. This CID information can then be reviewed and edited to include new information about the caller provided during the call. The subscriber then downloads this edited information to TAC 10 so future calls from the same caller would display the new information.

In step 54 if it is determined that the CID information is not associated with any existing information in the look-up table, TAC 10 determines whether the CID is blocked (identified in the SS7 protocol) in step 68. If the CID is not blocked, then the CID information is transmitted to the subscriber's telephone when TAC 10 places the call to the subscriber's private number (or any other forwarding number), and the CID is displayed on the subscriber's phone.

In step 68, if it is determined that the CID is blocked, TAC 10 will prompt the caller, via a recorded message or a simulated voice, to press the appropriate touch tone buttons to unblock

the CID (step 70). For example, TAC 10 may be programmed to detect that a "1" key is pressed by the caller to unblock CID and then treat the CID information as unblocked. Alternatively, the caller may be required to call back after pressing the proper touch tone keys to unblock the CID in a conventional way.

In step 72, if the CID is now unblocked, the CID is obtained from the caller in step 74. The process also goes to step 74 if, in step 52, the CID is not initially obtained.

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In step 76, TAC 10 determines whether the CID signal has been provided by the calling party from either the automatic CID signals or from the caller manually entering the caller's telephone number, name, or PIN, as previously described. If yes, then in step 54 TAC 10 uses the CID information to determine whether the caller is a new caller, and the remainder of the process continues as previously described.

In step 72, if it is determined that the CID remains blocked after TAC 10 has prompted the caller to unblock the CID, then in step 74 it is determined by TAC 10 is step 77 (after reviewing the subscriber's programmed instructions) whether the subscriber is accepting blocked calls. If yes, TAC 10 then places a call to the subscriber's private number or any other number identified by the subscriber, and puts the blocked call through. The blocked CID information would not be transmitted to the subscriber's phone.

If the subscriber's instructions are to not accept blocked calls, then in step 78 the blocked call is not forwarded to the subscriber's phone, or the blocked call is sent to voice mail. Voice mail may be a memory internal to TAC 10, or TAC 10 may transmit a special code to the subscriber's phone that automatically causes the call to be routed to a private voice mail system.

As seen, as long as the caller ID data received by TAC 10 has information associated with it in the look-up table, the stored information can be transmitted to the subscriber even if the caller ID is blocked. Further, even blocked caller IDs can still be used by TAC 10 to perform a routing function on the call. The caller ID feature may be implemented by a software program run by the processing system in TAC 10.

Because the conventional blocked CID information provided by the phone company is never displayed to the subscriber, the tagging system does not violate the privacy act. Known features such as call trace (where CID is provided to law enforcement people), or call return (where the blocked caller can be called back) have established a legal precedent that it is ok to use blocked CID information for certain purposes as long as the caller ID is not disclosed to the called party

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Branch Calling

Branch calling is an enhanced telephone feature not believed to be provided on today's public telephone networks. This feature can be easily provided using TAC 10.

Branch calling is a technique where a caller places a first call intended for a called party to TAC 10. After receiving the call, TAC 10 looks up the call handling instructions programmed into TAC 10 by the subscriber via the web, via the telephone, or via any other technique. One set of these instructions is branch calling, which instructs TAC 10 to simultaneously call any number of different telephone numbers programmed into TAC 10 by the subscriber. The called phone numbers may be any combination of local, long distance, or cellular numbers.

When a party answers one of the ringing lines, the answering party is connected to the calling party, and the other calls are abandoned.

For branch calling to operate in the most desirable manner, the system must detect that a call has been answered in order to terminate the calls to the other telephones (or other end units). Accordingly, some form of answer supervision must be present. Answer supervision is implemented inside the PSTN but generally not available to private networks (e.g., PABXs). Since SS7 signaling supports answer supervision, it is easy for this branch calling feature to be provided through TAC 10 since TAC 10 is connected inside the PSTN.

Prior art systems without answer supervision must call each forwarding number sequentially, whereby after a certain number of preprogrammed rings, the calling stops and the next number is called until someone answers the phone. Because a ringing time-out must occur before the next call can be tried, an unrealistically long delay can occur before the call is placed

to the proper telephone and finally answered. In contrast, the present invention allows TAC 10 to ring all the numbers simultaneously so the call can be answered quickly.

It is desirable that the answer supervision signaling not be delayed so that the calling and called parties may be connected quickly when the call is answered and so that during the delay time two parties do not answer two different ringing phones.

Fig. 10 is a flowchart of some scenarios in branch calling, whereby an incoming call to TAC 10 causes TAC 10 to place at least two new calls simultaneously and, when one of these phones is answered, the remaining calls are abandoned.

In step 80 of Fig. 10, TAC 10 receives an incoming call.

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In step 82, TAC 10 looks up the routing instructions for the DNIS (Dialed Number Identification Service) and caller ID (if any). The DNIS identifies the number that was called, and the caller ID (CID) identifies the calling telephone number and sometimes the caller. DNIS works by transmitting the touch tone digits to TAC 10. A subscriber for TAC 10 may program TAC 10, as previously described, to perform any number of functions based upon the DNIS number, the CID, the time of day, or based upon any other factor. Such instructions may be stored in a look-up table addressed by the subscriber's public phone number (identified by the DNIS number). Since multiple subscribers will be using the same TAC 10, TAC 10 needs to know what number was dialed in order to perform the function on the call selected by the subscriber.

In step 86, TAC 10 identifies the features to apply to the incoming call. If the instructions are to block the call, then TAC 10 blocks the call in step 87. In the present example, it is assumed that the feature the subscriber wants to apply is a branch calling feature where two telephone numbers are to be called by TAC 10.

In step 88, TAC 10 generates a ring back tone to the caller to indicate that a telephone is ringing.

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In step 90, TAC 10 places a call to a first telephone number, which causes the called phone to ring (step 92). Parallel operations are performed for a second phone number in steps 93 and 95.

In step 96, it is determined by TAC 10 whether the first phone has been answered using answer supervision signaling provided by SS7 (step 98).

In response to the answer supervision signaling, TAC 10 abandons the other call to the second telephone (step 100).

In step 102, TAC 10 completes the phone call by connecting the calling party to the answered telephone. This process may be applied to other than telephones, such as computers or other types of communication equipment.

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In step 96, if the call is not answered after the ring, it is determined whether the other telephone has been answered (step 104). If not, the two phones continue to ring.

If it is determined in step 104 that another telephone has been answered (i.e., the answer supervision signal has been received by TAC 10), the call to the first telephone is abandoned (step 106).

The same operation is performed with respect to the second telephone call in steps 108-112.

Any number of telephone calls may be simultaneously placed by TAC 10 in response to a branch calling instruction.

In step 86, if the instructions programmed by the subscriber are to block the call, then TAC 10 blocks the call in step 116.

While particular embodiments of the present invention have been shown and described, it will be obvious to those skilled in the art that changes and modifications may be made without departing from this invention in its broader aspects and, therefore, the appended claims are to encompass within their scope all such changes and modifications that fall within the true spirit and scope of this invention.

CLAIMS

What is claimed is:

1. A method performed by a user of a public switched telephone network (PSTN), said user having a communications device, said method comprising:

accessing a remote tandem access controller (TAC) via the Internet by said user, said TAC being within the PSTN and coupled to a PSTN tandem switch for a local service area with respect to said user, said TAC for processing incoming calls from a calling party, via said tandem switch, intended by said calling party to be received by said user's communications device;

selecting features by the user via the Internet to be applied by said TAC to said incoming calls,

wherein said TAC receives a first call from a calling party intended for said user's communication device and processes said first call in accordance with said features prior to the first call reaching a terminating central office, said method performed by said user further comprising:

receiving a second call by said user from said TAC, via said tandem switch, after said first call has been processed by said TAC in accordance with said features selected and without the first call reaching the terminating central office; and

answering said second call to cause said TAC to complete a communications link between said calling party and said user.

2. The method of claim 1 wherein said TAC receiving a first call from said calling party comprises said calling party calling a first telephone number, and said receiving a second call from said TAC comprises said TAC placing said second call to a communications device designated by said user using a second telephone number.

- 3. The method of claim 1 wherein said user's communications device is a telephone, and wherein answering said second call causes said TAC to connect a calling party's communication device to said user's telephone.
- 4. The method of claim 1 wherein said user's communications device is a telephone, and wherein answering said second call causes said TAC to connect a calling party's communication device to other than said user's telephone, as designated by said user during said step of selecting features.
- 5. The method of claim 1 wherein said user's communications device is a computer, and wherein answering said second call causes said TAC to connect a calling party's communication device to said user's computer.
- 6. The method of claim 1 wherein said first call is placed by said calling party through a PSTN central office to said TAC, and said second call is a non-toll call placed by said TAC through a central office to said user's communications device.
 - 7. The method of claim 1 wherein said features are selected from the one of:
- a) selective call forwarding wherein said second call is to a particular communications device is based on one of a time of said first call and the party making the first call; and
 - b) conditional call blocking.
- 8. The method of claim 1 wherein said TAC receiving a first call from a calling party comprises receiving said first call via said tandem switch in said PSTN.
- 9. The method of claim 1 further comprising accessing said TAC by said user via the Internet to obtain information regarding calls received and placed by said user.
 - 10. The method of claim 1 further comprising:

accessing said TAC to place a call by said user to a third party, said TAC placing said call to said third party; and

communicating with said third party after said TAC connects said user's communications device to a communications device of said third party.

- 11. The method of claim 1 wherein said accessing said TAC comprises using the Internet to communicate a telephone number to said TAC for placing a call to said third party.
- 12. The method of claim 1, wherein the selection of features to be applied to said TAC to one of an incoming and an outgoing call are selected by the subscriber via the Internet using one of a computing device, a wireless device, a cellular device, a personal digital assistant (PDA), and an information appliance; and wherein said function selected by said subscriber comprises at least one of:

Web-Based Telecom Navigator;

Manage Incoming Call Control;

Conditional Call Blocking/Forwarding/Alerting;

Call Screening/Retrieval from Voice Mail;

Interactive Voice Response and Speech Recognition;

Manage Outgoing Call Control;

Click-to-Dial Calling;

Group Calling and Messaging;

Web-Based Billing;

Cost-Effective Single Phone Number Access;

Free Local Calls, Incoming Calls (not 800 Toll Service);

Retain Current Number (Local Number Portability);

Low-Cost Calling Throughout LATA;

Flat-Rate Foreign Exchange;

Standard DTMF and VoIP Phones:

Centralized and Consistent Personal Data;

Private/Public Phone Directories and Calendars;

"Post-It" Style Annotation of Numbers;

Web Dialing;

Click-to-Dial from Web Pages, Directories, Calendars;

Multiple Phone List Management;

Voice Mail Access, Prompts, Alert Via Web;

Mode-Based Definition and Selection, comprising Time-of-Day, Day-of-Week, Follow-

Me, Caller Recognition/Password, Caller ID, Vacation, Dinner Time, Go Away, Family

Call Waiting;

Learning Modes;

Automatic Data Capture;

Build Phone List Based on Collected Usage Information;

VoIP Link Degradation Detection; and

Automatic Cutover to PSTN.

13. A method performed by a processor coupled to a public switched telephone network (PSTN) tandem switch and within the PSTN comprising:

receiving a first call through said tandem switch from a calling party intended for a subscriber after said calling party has entered a first telephone number, said first telephone number being said subscriber's public telephone number, said processor applying features selected by said subscriber to said first call prior to the first call reaching a terminating central office;

placing a second call to said subscriber using a second telephone number different from said first telephone number, said second call being a non-toll call to said subscriber, said processor completing a communications path between said calling party and said subscriber after said subscriber has received said second call; and

activating features selected by said subscriber via the Internet to be applied to a call intended for said subscriber.

14. The method of claim 13 further comprising connecting a calling party's communication device to other than said subscriber's telephone, as designated by said subscriber pursuant to said features selected by said subscriber, after said subscriber has answered said second call.

15. The method of claim 13 wherein said first call is placed by said calling party through a PSTN central office to said processor, and said second call is placed by said processor through a central office.

16. The method of claim 13 wherein said features are selected from:

a) one of selective call forwarding wherein said second call to a particular communications device is based on one of a time of said first call and the particular calling party making said first call; and

b) conditional call blocking.

17. The method of claim 13 further comprising:

receiving a local third call by said processor, via said tandem switch, placed by said subscriber for a third party, said processor placing a fourth call to said third party, via said tandem switch; and

connecting said subscriber to said third party after said third party receives said fourth call.

TAC to one of an incoming and an outgoing call are selected by the subscriber via the Internet using one of a computing device, a wireless device, a cellular device, a personal digital assistant (PDA), and an information appliance; and wherein said function selected by said subscriber comprises at least one of:

Web-Based Telecom Navigator;

Manage Incoming Call Control;

Conditional Call Blocking/Forwarding/Alerting;

Call Screening/Retrieval from Voice Mail;

Interactive Voice Response and Speech Recognition;

Manage Outgoing Call Control;

Click-to-Dial Calling;

Group Calling and Messaging;

Web-Based Billing;

Cost-Effective Single Phone Number Access;

Free Local Calls, Incoming Calls (not 800 Toll Service);

Retain Current Number (Local Number Portability);

Low-Cost Calling Throughout LATA;

Flat-Rate Foreign Exchange;

Standard DTMF and VoIP Phones:

Centralized and Consistent Personal Data;

Private/Public Phone Directories and Calendars;

"Post-It" Style Annotation of Numbers;

Web Dialing;

Click-to-Dial from Web Pages, Directories, Calendars;

Multiple Phone List Management; Voice Mail Access, Prompts, Alert Via Web;

Mode-Based Definition and Selection, comprising Time-of-Day, Day-of-Week, Follow-

Me, Caller Recognition/Password, Caller ID, Vacation, Dinner Time, Go Away, Family

Call Waiting;

Learning Modes;

Automatic Data Capture;

Build Phone List Based on Collected Usage Information;

VoIP Link Degradation Detection; and

Automatic Cutover to PSTN.

19. A method of placing and receiving telephone calls through a public switched telephone network (PSTN) tandem switch and within the PSTN comprising the steps of:

receiving a first call from a tandem access controller (TAC) through said tandem switch from a calling party intended for a subscriber after said calling party has entered a first telephone number, said first telephone number being said subscriber's public telephone number, said TAC being in a local service area with respect to said subscriber, said TAC receiving the first call prior to the first call reaching a terminating central office;

activating features, selected by said subscriber via the Internet, to said first call prior to the first call reaching a terminating central office;

placing a second call to said subscriber using a second telephone number different from said first telephone number, said second call being a non-toll call to said subscriber; and

completing a communications path between said calling party and said subscriber after said subscriber has received said second call.

- 20. The method of claim 19 wherein answering said second call causes said TAC to connect a calling party's communication device to other than said subscriber's telephone, as designated by said subscriber pursuant to said features selected by said subscriber.
 - 21. The method of claim 19 wherein:

said first call is placed by said calling party through a PSTN central office to said TAC; and

said second call is placed by said TAC through a terminating central office.

- 22. The method of claim 19 wherein said features are selected from one of:
- a) selective call forward wherein said second call is made to a particular communications device based on one of a time of first call and the particular calling party making said first call; and
 - b) conditional call blocking.
 - 23. The method of claim 19 comprising said further steps of:

receiving a local third call at said TAC, via said tandem switch, placed by said subscriber for a third party,

placing a fourth call through said TAC to said third party, via said tandem switch; and connecting said subscriber through said TAC to said third party after said third party receives said fourth call.

24. The method of claim 19 wherein said function selected by said subscriber comprises at least one of:

Web-Based Telecom Navigator;

Manage Incoming Call Control;

Conditional Call Blocking/Forwarding/Alerting;

Call Screening/Retrieval from Voice Mail;

Interactive Voice Response and Speech Recognition;

Manage Outgoing Call Control;

Click-to-Dial Calling;

Group Calling and Messaging;

Web-Based Billing;

Cost-Effective Single Phone Number Access;

Free Local Calls, Incoming Calls (not 800 Toll Service);

Retain Current Number (Local Number Portability);

Low-Cost Calling Throughout LATA;

Flat-Rate Foreign Exchange;

Standard DTMF and VoIP Phones;

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Voice Mail Access, Prompts, Alert Via Web;

Mode-Based Definition and Selection, comprising Time-of-Day, Day-of-Week, Follow-

Me, Caller Recognition/Password, Caller ID, Vacation, Dinner Time, Go Away, Family

Call Waiting;

Learning Modes;

Automatic Data Capture;

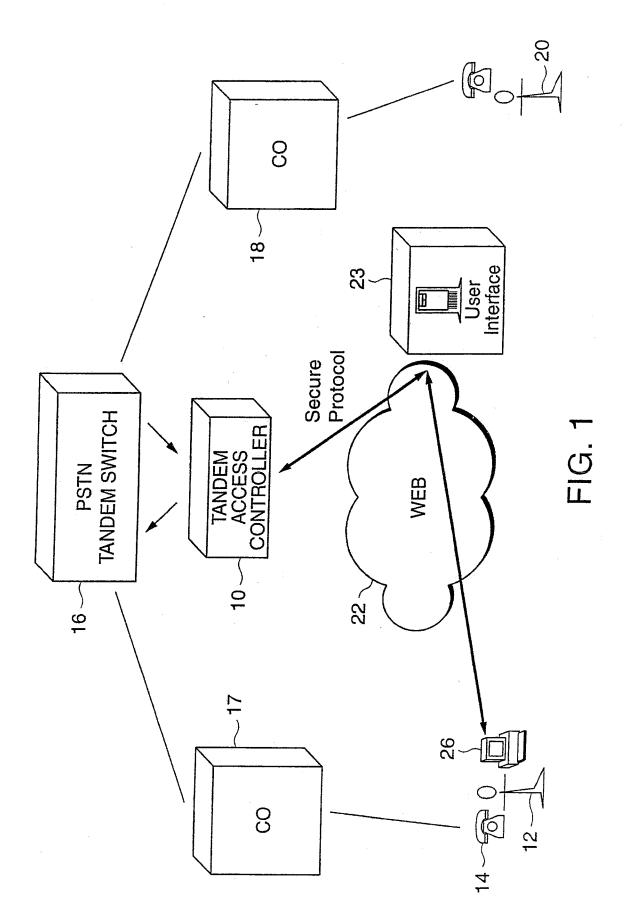
Build Phone List Based on Collected Usage Information;

VoIP Link Degradation Detection; and Automatic Cutover to PSTN.

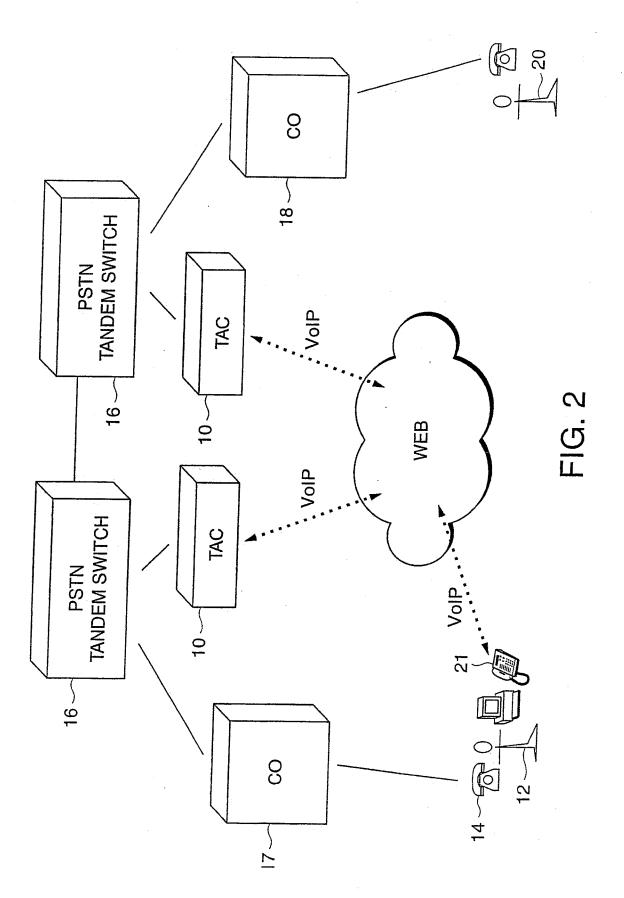
TANDEM ACCESS CONTROLLER WITHIN THE PUBLIC SWITCHED TELEPHONE NETWORK

ABSTRACT OF THE DISCLOSURE

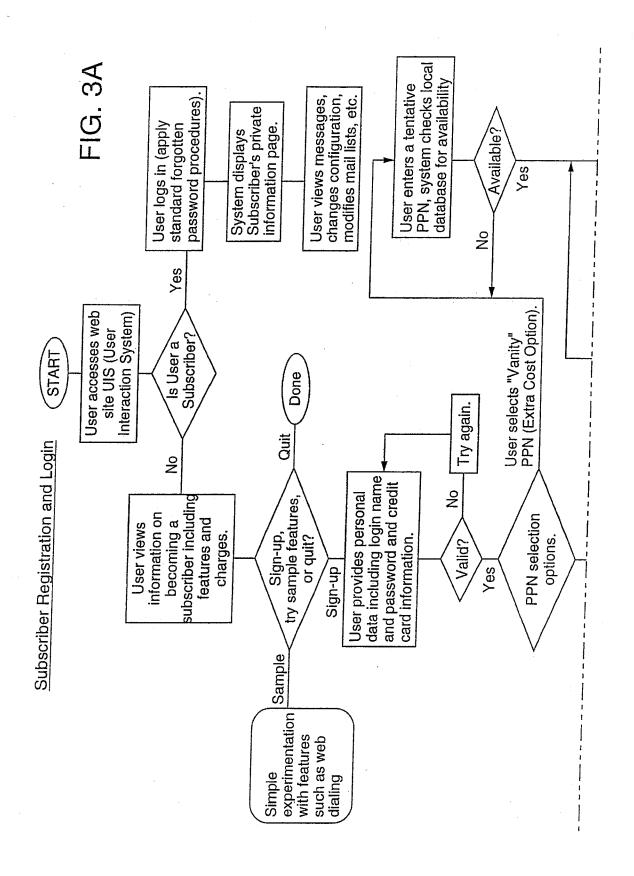
In one embodiment, the system includes a processor, referred to herein as a tandem access controller (TAC), coupled to the PSTN, where the TAC allows a subscriber to set-up and make changes to the configuration of his or her phone line or other communications device. Such changes include selective call forwarding. In one embodiment, the TAC is controlled by the subscriber using the web. The TAC is coupled internally to the PSTN in a local service area and is outside the central office of the subscriber. A calling party makes a first call to the subscriber using the subscriber's public telephone number. The TAC receives the first call prior to the call reaching the subscriber's terminating central office, which in some cases avoids a toll. The TAC then carries out the subscriber's instructions for the first call, such as making one or more second calls using telephone numbers different from the subscriber's public telephone number. When the second call is answered, the answering phone is connected by the TAC to the caller.



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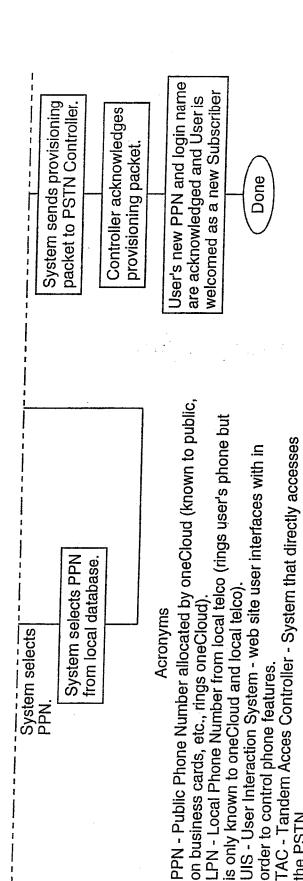
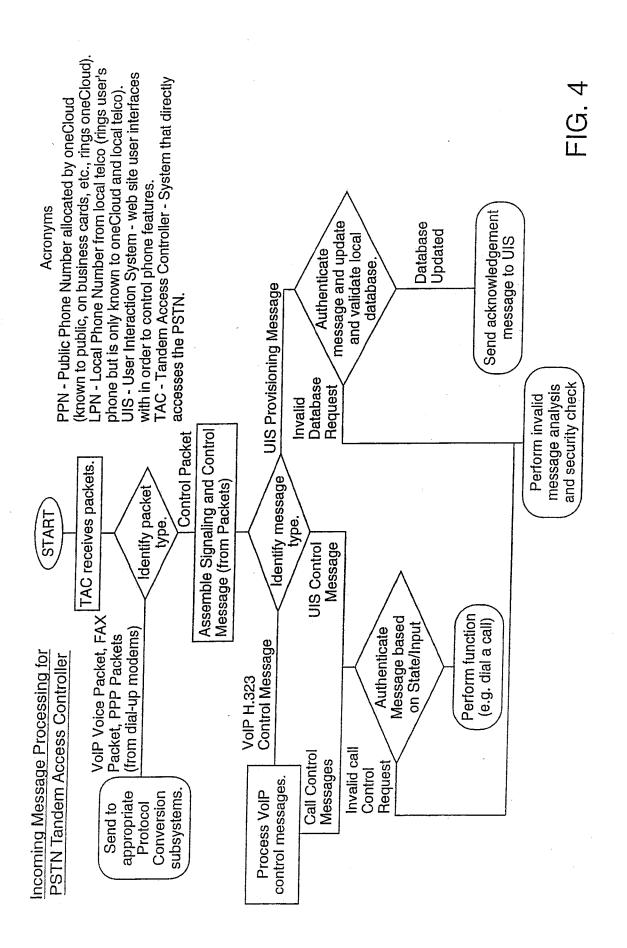


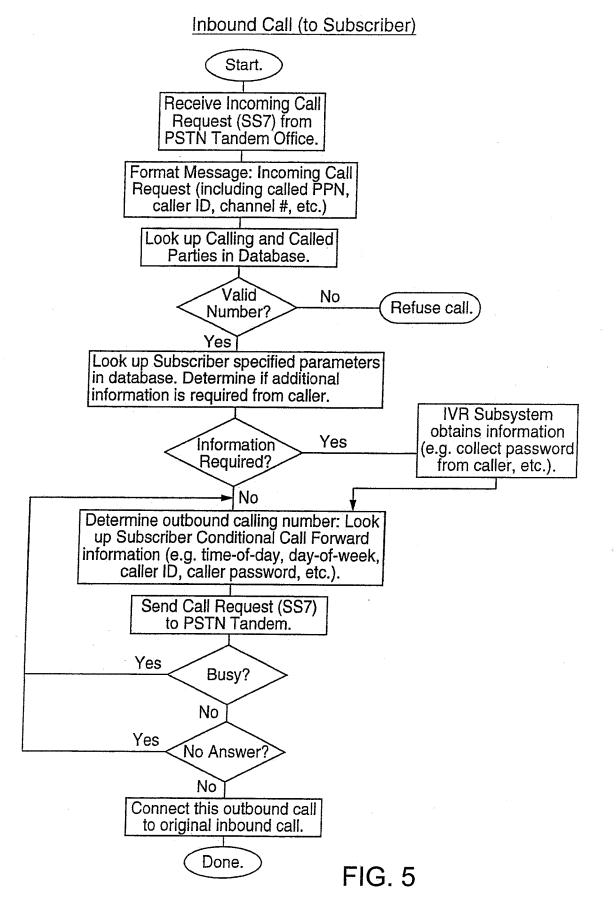
FIG. 3B

FIG. 3A

FIG. 3B

Ex. 1020 YMax Corporation Page 265 of 283





Outbound Call (from Subscriber)

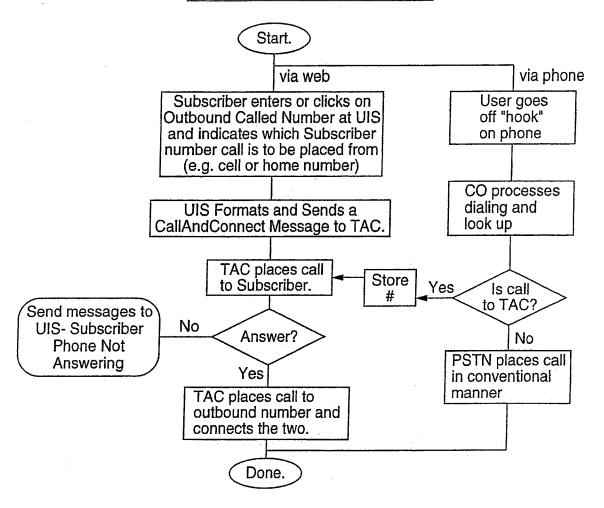
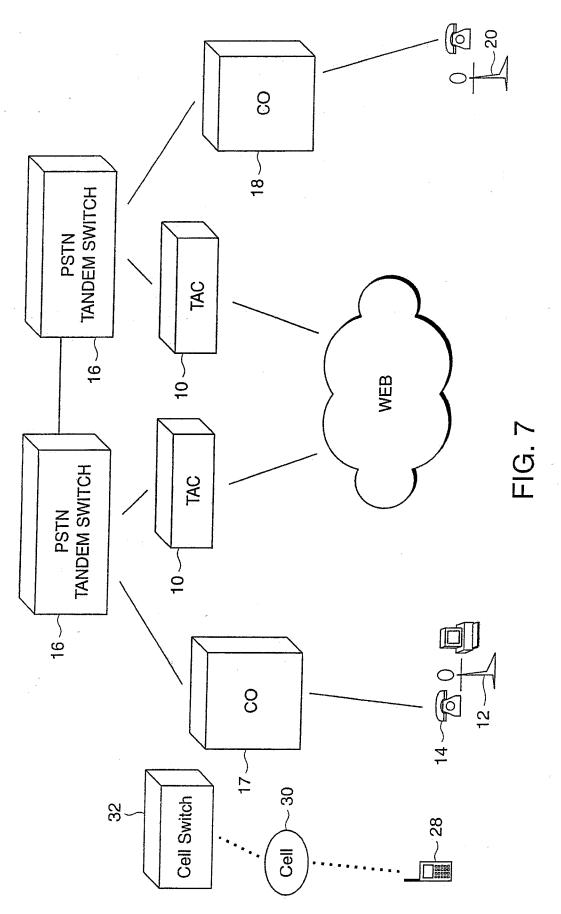
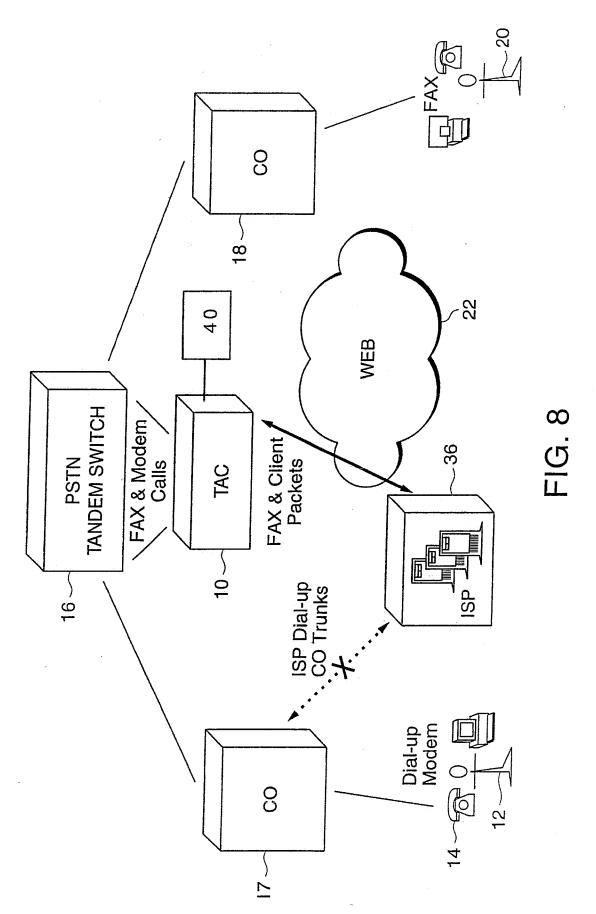


FIG. 6

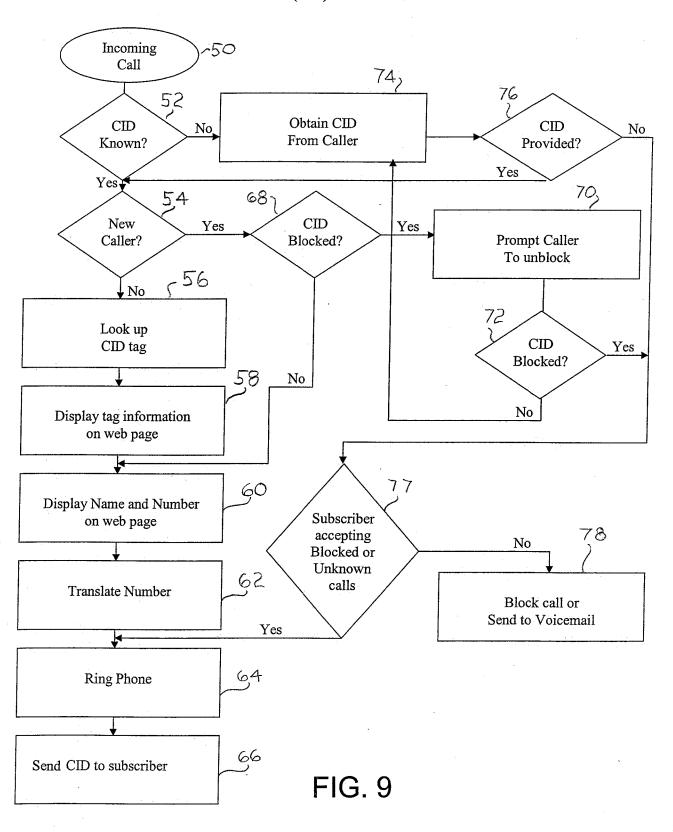


Ex. 1020 YMax Corporation Page 269 of 283



Ex. 1020 YMax Corporation Page 270 of 283

CALLER ID (CID) FLOWCHART



Branch Calling Flow Chart

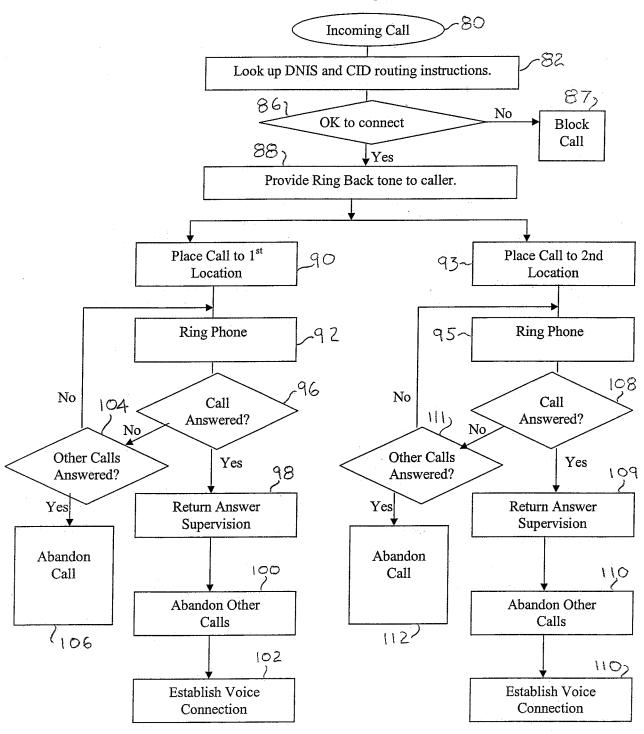


FIG. 10

Attorney Docket No.: TEL-M-8801-1P

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled

BRANCH CALLING AND CALLER ID BASED CALL ROUTING TELEPHONE FEATURES

which (check)		e Preliminary Amendment attach , 2003 as Application Serial No. (if applicable).			
I hereby state that I have including the claims, as		and the contents of the above idented in the contents of the above.	ntified spec	ification,	
I acknowledge the duty Code of Federal Regula		which is material to patentability	y as define	d in Title 37,	
I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:					
	Prior Foreign Applicat	tion(s)	Priority Claimed		
Number	Country	Day/Month/Year Filed	Yes	No	
N/A					
I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:					
Provisional Application Number		Filing Date			
N/A					

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
09/565,565	May 4, 2000	Now Patent 6,574,328

I hereby appoint the following practitioners to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

Customer Number

32566

PATENT TRADEMARK OFFICE

Please address all correspondence and telephone calls to:

Brian D. Ogonowsky
Patent Law Group LLP
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San Jose, California 95134-2049

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I declare that all statements made herein of my own knowledge are true, all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that whoever, in any matter within the jurisdiction of the Patent and Trademark Office, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be subject to the penalties including fine or imprisonment or both as set forth under 18 U.S.C. 1001, and that violations of this paragraph may jeopardize the validity of the application or this document, or the validity or enforceability of any patent, trademark registration, or certificate resulting therefrom.

Full name of first joint inventor:

Samuel F. Wood

Inventor's Signature:

1-10-05

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Full name of second joint inventor: Jerry A. Klein Inventor's Signature: Date: 1-10-05 Los Altos, California Residence: Citizenship: **USA** Post Office Address: 671 Milverton Road Los Altos, CA 94022 Full name of third joint inventor: Margaret Susan Asprey Date: Inventor's Signature Residence: Los Altos, California Citizenship: **USA** Post Office Address: 422 Traverso Court

Los Altos, CA 94022

Electronic Patent Application Fee Transmittal					
Application Number:					
Filing Date:					
Title of Invention:		Tandem Access Controller Within the Public Switched Telephone Network			
First Named Inventor:	Sa	muel F. Wood			
Filer:	Brian D. Ogonowsky/Edith Fuentes				
Attorney Docket Number:	TEL-M-8801-1P-1C				
Filed as Small Entity					
Utility Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Utility filing Fee (Electronic filing)		4011	1	75	75
Utility Search Fee		2111	1	250	250
Utility Examination Fee		2311	1	100	100
Pages:					
Claims:					
Claims in excess of 20		2202	4	25	100
Miscellaneous-Filing:					
Petition:					Ex. 1020

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tota	al in USE) (\$)	525

Electronic Acknowledgement Receipt				
EFS ID:	1103293			
Application Number:	11428822			
Confirmation Number:	4565			
Title of Invention:	Tandem Access Controller Within the Public Switched Telephone Network			
First Named Inventor:	Samuel F. Wood			
Customer Number:	32566			
Filer:	Brian D. Ogonowsky/Edith Fuentes			
Filer Authorized By:	Brian D. Ogonowsky			
Attorney Docket Number:	TEL-M-8801-1P-1C			
Receipt Date:	05-JUL-2006			
Filing Date:				
Time Stamp:	20:53:30			
Application Type:	Utility			
International Application Number:				

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$525
RAM confirmation Number	458
Deposit Account	502226

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

File Listing:

Ex. 1020 YMax Corporation

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Transmittal letter	TEL-M-8801-1P-1C_Div-App -Trans.pdf	301559	no	1
Warnings:					L
Information:					
2	Application Data Sheet	TEL-M-8801-1P-1C_ADS.pd f	757095	no	4
Warnings:					
Information:					
3		TEL-M-8801-1P-1C_App.pdf	136123	yes	31
		Multipart Descriptio	l n		
	Doc De	esc	Start	Er	nd
	Specifica	ition	1	21	
	Claim	Claims		30	
	Abstract		31	31	
Warnings:					
Information:					
4	Drawings	TEL-M-8801-1P-1C_Dwgs.p	268779	no	11
Warnings:					
Information:					
5	Oath or Declaration filed	TEL-M-8801-1P-1C_Dec.pdf	262555	no	3
Warnings:					<u> </u>
Information:					
6	Fee Worksheet (PTO-875)	fee-info.pdf	8499	no	2
Warnings:					
Information:					
		Total Files Size (in bytes):	17	34610	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

Electronic Acknowledgement Receipt				
EFS ID:	1103293			
Application Number:	11428822			
Confirmation Number:	4565			
Title of Invention:	Tandem Access Controller Within the Public Switched Telephone Network			
First Named Inventor:	Samuel F. Wood			
Customer Number:	32566			
Filer:	Brian D. Ogonowsky/Edith Fuentes			
Filer Authorized By:	Brian D. Ogonowsky			
Attorney Docket Number:	TEL-M-8801-1P-1C			
Receipt Date:	05-JUL-2006			
Filing Date:				
Time Stamp:	20:53:30			
Application Type:	Utility			
International Application Number:				

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$525
RAM confirmation Number	458
Deposit Account	502226

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

File Listing:

Ex. 1020 YMax Corporation

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Transmittal letter	TEL-M-8801-1P-1C_Div-App -Trans.pdf	301559	no	1
Warnings:					
Information:					
2	Application Data Sheet	TEL-M-8801-1P-1C_ADS.pd f	757095	no	4
Warnings:					
Information:					
3		TEL-M-8801-1P-1C_App.pdf	136123	yes	31
		Multipart Descriptio	n		
	Doc De	sc	Start	Er	nd
	Specifica	tion	1	21	
	Claims	Claims		30	
	Abstract		31	31	
Warnings:					
Information:					
4	Drawings	TEL-M-8801-1P-1C_Dwgs.p df	268779	no	11
Warnings:					
Information:					
5	Oath or Declaration filed	TEL-M-8801-1P-1C_Dec.pdf	262555	no	3
Warnings:					
Information:					
6	Fee Worksheet (PTO-875)	fee-info.pdf	8499	no	2
Warnings:					
Information:					
		Total Files Size (in bytes):	17	'34610	

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New Applications Under 35 U.S.C. 111

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National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.