CaSese10404-01676/TRVDKDKID0Domene048 Filed 04/09/19 Page 1 of 1 PageID #: 8363

🛸 AO 120 (Rev. 3/04)

Mail Stop 8
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_\_ Southern District of Indiana on the following Patents or G Trademarks:

DOCKET NO 1:10-cv-1376-TWP-DML	DATE FILED 10/29/2010	U.S. DISTRICT COURT Southern District of Indiana		
PLAINTIFF		DEFENDANT		
ELI LILLY AND COMPA	ΝΥ	TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	G Amen	ndment G Answer G Cross Bill G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,772, 209 B2	8/10/2010	CLET NIYIKIZA, Inventor
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT	
Closed Judg	ment dated 3/31/2014, see attached.
•	
CLERK A.	(BY) DEPUTY CLEER DATE
Maura Margs	1/29/2014

Copy 1—Upon initiation of action, mail this copy to Director....Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

## NEPTUNE GENERICS 1002 - 00001 APOTEX 1002 - 0001

Case 1:14-cv-00104-TWP-DKL Document 28 Filed 01/23/14 Page 1 of 1 PageID #: 96

AO 120 (Rev. 08/10)

	TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office
		P.O. Box 1450
ļ		Alexandria, VA 22313-1450

#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the Southern District of Indiana on the following □ Trademarks or **?** Patents. (□ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 1:14-104-TWP-DKL	DATE FILED 1/23/2014	U.S. DISTRICT COURT for the Southern District of Indiana		
PLAINTIFF		DEFENDANT		
ELI LILLY AND COMPA	NY	GLENMARK GENERICS INC., USA GLENMARK PHARMACEUTICALS LTD GLENMARK GENERICS LTD.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
1 7,7772.209	8/10/2010	ELI LILLY AND COMPANY		
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			· · · · · · · · · · · · · · · · · · ·
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PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	IIOLDI	ER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK Jame Chiggs	(BY) DEPUTY CLERK	DATE 1/23/2014

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

## NEPTUNE GENERICS 1002 - 00002 APOTEX 1002 - 0002

🖄 AO 120 (Rev. 3/04)

TO:	Mail Stop 8
10.	Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
	Alexandria, VA 22313-1450

#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_\_\_ Southern District of Indiana on the following Patents or G Trademarks:

DOCKET NO 113-CV-1469-TWP-DML	DATE FILED 9/13/2013	U.S. DISTRICT COURT Southern District of Indiana		
PLAINTIFF		DEFENDANT		
ELI LILLY AND COMPA	NY	SUN PHARMACEUTICAL INDUSTRIES LTD.; SUN PHARMA GLOBAL FZE		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
1 7,772,209	8/10/2010	ELI LILLY AND COMPANY		
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#### In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	ment	G Answer	G Cross Bill	G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK			R OF PATENT OR T	
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In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK Jaur Biggs	(BY) DEPUTY CLERK	DATE 9/17/2013

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

## NEPTUNE GENERICS 1002 - 00003 APOTEX 1002 - 0003

Trials@uspto.gov 571-272-7822

Paper No. 13 Date Entered: October 1, 2013

## UNITED STATES PATENT AND TRADEMARK OFFICE

## BEFORE THE PATENT TRIAL AND APPEAL BOARD

## ACCORD HEALTHCARE, INC., USA Petitioner

ν.

## ELI LILLY & COMPANY Patent Owner

Case IPR2013-00356 Patent 7,772,209

# Before MICHAEL J. FITZPATRICK, RAMA G. ELLURU, and SCOTT E. KAMHOLZ, *Administrative Patent Judges*.

## KAMHOLZ, Administrative Patent Judge.

DECISION Denying Inter Partes Review 37 C.F.R. § 42.108

## NEPTUNE GENERICS 1002 - 00004 APOTEX 1002 - 0004

### I. INTRODUCTION

Accord Healthcare, Inc., USA ("Accord") filed a petition (Paper 4) on June 14, 2013 to institute an *inter partes* review of claims 1-22 of U.S. Patent 7,772,209 ("the '209 patent"). Accord later filed a corrected petition (Paper 6, "Pet."). Patent Owner Eli Lilly & Company ("Eli Lilly") filed a preliminary response (Paper 10, "Prelim. Resp."). The Board, acting on behalf of the Director, has jurisdiction under 35 U.S.C. § 314.

The '209 patent is involved in several civil actions for patent infringement, including *Eli Lilly & Co. v. Accord Healthcare, Inc., USA et al.*, 1:12-cv-00086-TWP-DKL (S.D. Ind.) ("the '086 action"), filed January 20, 2012 and served January 23, 2012, and *Eli Lilly & Co. v. Accord Healthcare, Inc., USA*, 1:13-cv-00335-TWP-DKL (S.D. Ind.) ("the '335 action"), filed February 28, 2013 and served March 7, 2013. Pet. 1; Prelim. Resp. 5-6.<sup>\*</sup> The '335 action has been consolidated into the '086 action. Prelim. Resp. 6-7.

We deny the petition because it is time-barred under 35 U.S.C. § 315(b).

## II. ANALYSIS

Eli Lilly served Accord with a complaint alleging infringement of the '209 patent on at least two occasions: the '086 action, on January 23, 2012, and the '355 action, on March 7, 2013. Ex. 2004 (return of service for the '086 action); Prelim. Resp. 5-6; *see also* Pet. 1. The earlier complaint was served more than one year before Accord filed the present petition; the latter, less than one year.

<sup>&</sup>lt;sup>\*</sup> The parties disagree as to whether the complaint in the '355 action was served on February 28, 2013 or March 7, 2013. For purposes of this decision, we accept Eli Lilly's representation that the complaint was served on March 7, 2013.

Section 315(b) of Title 35 of the United States Code provides:

(b) PATENT OWNER'S ACTION.—An inter partes review may not be instituted if the petition requesting the proceeding is filed more than 1 year after the date on which the petitioner, real party in interest, or privy of the petitioner is served with a complaint alleging infringement of the patent. The time limitation set forth in the preceding sentence shall not apply to a request for joinder under subsection (c).

Accord argues that its petition is timely because it was filed less than one year after the date on which it was served with a complaint in the '355 action. Pet. 2-3. Accord acknowledges service on January 23, 2012 of a complaint in the '086 action, but argues that the two infringement actions concern distinct products and are based on different sets of facts. *Id.* at 3 n.1.

We reject Accord's implicit argument that the one-year period set forth in § 315(b) should not be measured from the date of service of the complaint in the '086 action. The plain language of the statute does not indicate or suggest that the filing of a later lawsuit renders the service of a complaint in an earlier lawsuit a nullity. Moreover, as the legislative history of 35 U.S.C. § 315(b) indicates, Congress intended that *inter partes* reviews should not be used as "tools for harassment" by "repeated litigation and administrative attacks." H.R.Rep. No. 112-98 at 48 (2011). Allowing such attacks "would frustrate the purpose of the section as providing quick and cost effective alternatives to litigation." *Id*.

Accord was "served with a complaint alleging infringement of the patent" on January 23, 2012. Ex. 2004. The petition was filed more than one year after that date and is, therefore, barred. *See Universal Remote Control, Inc. v. Universal Elec., Inc.*, IPR2013-00168, Paper 9 at 4 (PTAB Aug. 26, 2013).

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## NEPTUNE GENERICS 1002 - 00006 APOTEX 1002 - 0006

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## III. CONCLUSION

The Board denies the petition because it was not filed within the time limit imposed by 35 U.S.C. § 315(b).

IV. ORDER

For the reasons given, it is

**ORDERED** that the petition challenging the patentability of claims 1-22 of U.S. Patent 7,772,209 is *denied*.

## NEPTUNE GENERICS 1002 - 00007 APOTEX 1002 - 0007

## IPR2013-00356 Patent 7,772,209

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For Petitioner:

Chidambaram S. Iyer Chandran B. Iyer Sughrue Mion PLLC

For Patent Owner:

Andrew V. Trask Williams & Connolly LLP

Mark J. Stewart Eli Lilly & Company

## NEPTUNE GENERICS 1002 - 00008 APOTEX 1002 - 0008

Case 1:13-cv-00335-TWP-DKL Document 29 Filed 07/01/13 Page 1 of 1 PageID #: 129

🛸 AO 120 (Rev. 3/04)

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#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

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DOCKET NO 1:13-CV-335-TWP-DKL	DATE FILED 2/28/2013	U.S. DISTRICT COURT Southern District of Indiana			
PLAINTIFF			EFENDANT		
ELI LILLY AND COMPA	NY		ACCORD HEALTHCARE INC., USA		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 7,772,209					
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED 6/24/2013	INCLUDED BY G Amendm	ent G Answer	G Cross Bill	G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		ER OF PATENT OR '	
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In the above-entitled case, the following decision has been rendered or judgement issued:

#### DECISION/JUDGEMENT

ORDER OF CONSOLIDATION - This cause of action is hereby consolidated under action 1:12-cv-86-TWP-DKL.

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CLERK	(BY) DEPUTY OLERK	DA	ATE
Name Dia			7/1/2012
prima Maggs	- CSILXIM	I nokeroon	7/1/2013

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

## NEPTUNE GENERICS 1002 - 00009 APOTEX 1002 - 0009

Case 1:13-cv-00335-TWP-DKL Document 9 Filed 03/11/13 Page 1 of 1 PageID #: 28

🛸 AO 120 (Rev. 3/04)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
ł	Alexandria, VA 22313-1450

### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_\_\_\_ Southern District of Indiana on the following Patents or G Trademarks:

DOCKET NO 1:13-CV-00335-TWP-DK	DATE FILED 2/28/2013	U.S. DISTRICT COURT Southern District of Indiana
PLAINTIFF		DEFENDANT
ELI LILLY AND COMPA	.NY	ACCORD HEALTHCARE INC., USA
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,772,209		
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#### In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
	G Amena	dment	G Answer	G Cross Bill	G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLD	ER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK James Briggs	(BY) DEPUTY CLERK	DATE 3/11/2013

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4---Case file copy

## NEPTUNE GENERICS 1002 - 00010 APOTEX 1002 - 0010

Case 1:10-cv-01376-TWP-DKL Document 154 Filed 10/02/12 Page 1 of 1 PageID #: 2592

& AO 120 (Rev. 3/04)

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### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_\_\_ Southern District of Indiana on the following Patents or G Trademarks:

DOCKET NO 1:10-cv-1376-P/L	DATE FILED 10/29/2010	U.S. DIS	TRICT COURT Southern District of Indiana
PLAINTIFF			DEFENDANT
ELI LILLY AND COMPA	NY		TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 7,772,209	8/10/2010		
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#### In the above-cntitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED 9/25/2012	INCLUDED BY	ndment (	G Answer	G Cross Bill	G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	R OF PATENT OR 1	FRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK I DQ.	(BY) DEPUTY ELERK	DATE
Jame Tonges	John Down	10/2/2012

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

## NEPTUNE GENERICS 1002 - 00011 APOTEX 1002 - 0011

Case 1:11-cv-00942-TWP-TAB Document 12 Filed 09/12/11 Page 1 of 1 PageID #: 54

SAO 120 (Rev. 3/04)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office
1	P.O. Box 1450
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### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_\_ Southern District of Indiana on the following G Patents or G Trademarks:

DOCKET NO 1.11-cv-942-TWP-TAB	DATE FILED 7/15/2011	U.S. DISTRICT COURT Southern District of Indiana			
PLAINTIFF		DEFENDANT			
ELI LILLY AND COMPANY		APP PHARMACEUTICALS, LLC			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 7,772,209	8/10/2010				
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#### In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
	G A	mendment	G Answer	G Cross Bill	G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDI	ER OF PATENT OR	TRADEMARK
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In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT See attached Order	of Consolidation.	
CLERK Jand Biggs	(BY) DEPUTY CLERK	DATE 9/12/2011

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

## NEPTUNE GENERICS 1002 - 00012 APOTEX 1002 - 0012

Case 1:10-cv-01376-TWP-DKL Document 78 Filed 09/26/11 Page 1 of 1 PageID #: 447

S AO 120 (Rev. 3/04)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
	Alexandria, VA 22313-1450

#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of Indiana on the following Patents or G Trademarks:

DOCKET NO 1:10-cv-1376-TWP-DML	DATE FILED 10/29/2010	U.S. DISTRICT COURT Southern District of Indiana			
PLAINTIFF			DEFENDANT		
ELI LILLY AND COMPANY		TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA I TEVA PHARMACEUTICALS USA INC., and BAR			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 7,772,209 B2	<b>8/10/</b> 2010	CLET NIYIKIZA, Inventor			
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#### In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	dment KAnswer	<b>G</b> Cross Bill	Other Pleading
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK Jame Riggs	(BY) DEPUTY CLERK DOUTON DATE 9/26/2011	

Copy 1—Upon initiation of action, mail this copy to Director\_Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

## NEPTUNE GENERICS 1002 - 00013 APOTEX 1002 - 0013

Case 1:11-cv-00942-TWP-TAB Document 8 Filed 07/25/11 Page 1 of 1 PageID #: 24

& AO 120 (Rev. 3/04)

TO:	Mail Stop 8
10.	Director of the U.S. Patent and Trademark Office
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	Alexandria, VA 22313-1450

### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_\_ Southern District of Indiana on the following G Patents or G Trademarks:

DOCKET NO 1:11-cv-942-TWP-TAB	DATE FILED 7715/2011	U.S. DISTRICT COURT Southern District of Indiana			
PLAINTIFF	••••••••••••••••••••••••••••••••••••••	DEFENDANT			
ELI LILLY AND COMPANY		APP PHARMACEUTICALS, LLC			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 <b>7,772,209</b>	8/10/2010	**SEE ATTACHED COMPLAINT**			
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#### In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT CLERK (BY) DEPUTY CLERK DATE August Augus 7/25/2011

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

## NEPTUNE GENERICS 1002 - 00014 APOTEX 1002 - 0014

### Case 1:10-cv-01376-TWP-DML Document 52 Filed 02/28/11 Page 1 of 1

🛸 AO 120 (Rev. 3/04)

P.O. Box 1450 Alexandria, VA 22313-1450 Alexandria VA 22313-1450 ACTION REGARDING A PATENT TRADEMARK	F AN F OR
Alexandria, VA 22313-1450 TRADEMARK	

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of Indiana on the following Patents or G Trademarks:

DOCKET NO 1:10-cv-1376-TWP-DML	DATE FILED 10/29/2010	U.S. DISTRICT COURT Southern District of Indiana	
PLAINTIFF		DEFENDANT	
ELI LILLY AND COMPA	NY	TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 7,772,209 B2	8/10/2010	CLET NIYIKIZA, Inventor	
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

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In the above-entitled case, the following decision has been rendered or judgement issued:

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## NEPTUNE GENERICS 1002 - 00015 APOTEX 1002 - 0015

Case 1:10-cv-01376-TWP-DML Document 44 Filed 02/14/11 Page 1 of 1

🗠 AO 120 (Rev. 3/04)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office
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#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_\_ Southern District of Indiana on the following Patents or G Trademarks:

DOCKET NO 1:10-cv-1376-TWP-DML	DATE FILED 10/29/2010	U.S. DI	STRICT COURT Southern District of Indiana
PLAINTIFF ELI LILLY AND COMPA	NY		DEFENDANT TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 7,772,209 B2	8/10/2010	CLE	T NIYIKIZA, Inventor
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY G Amen	dment 🔏 Answer	G Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLD	DER OF PATENT OR T	RADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT			
CLERK Jame Rigs	(BY) DEPUTYCLERK	Down	DATE 2/14/2011

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## NEPTUNE GENERICS 1002 - 00016 APOTEX 1002 - 0016

#### Case 1:10-cv-01376-TWP-DML Document 8 Filed 11/02/10 Page 1 of 1

SAO 120 (Rev. 3/04)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office	REPORT ON THE FILING OR DETERMINATION OF AN
	P.O. Box 1450 Alexandria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of Indiana on the following G Patents or G Trademarks:

DOCKET NO 1.10-cv-1376-TWP-DMI	DATE FILED 10/29/2010	U.S. DISTRICT COURT Southern District of Indiana
PLAINTIFF	• • <u> </u>	DEFENDANT
ELI LILLY AND COMPA	ANY .	TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,772,209 B2	8/10/2010	CLET NIYIKIZA, Inventor
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	G Amend	Iment G Answer G Cross Bill & Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,772, 209 B2	8/10/2010	***SEE ATTACHED COMPLAINT FILED ON 10/29/2010***
2		
3		
4		
5		

In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT			
CLERK James Ranges	(BY) DEPUTY CLERK	David	TE 11/2/2010

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

## NEPTUNE GENERICS 1002 - 00017 APOTEX 1002 - 0017

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

 PATENT NO.
 : 7,772,209 B2

 APPLICATION NO.
 : 11/776329

 DATED
 : August 10, 2010

 INVENTOR(S)
 : Clet Niyikiza

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page, Col. 2, Line 22, under Other Publications: Delete "Homocystein" and insert --Homocysteine--, therefor.

Title Page, Col. 2, Line 27, under other Publications: Delete "hydroxocobaltniin" and insert --hydroxocobalamin--, therefor.

Title Page, Col. 2, Line 28, under Other Publications: Delete "mce" and insert --mice--, therefor.

Title Page, Col. 2, Line 37, under Other Publications: Delete "2666" and insert --266--, therefor.

Column I, Line 5, Delete "12 May," and insert --5 Dec.--, therefor.

Column 10, Line 62, In Claim l, delete "hydroxycobalamin," and insert --hydroxocobalamin,--, therefor.

Column 11, Line 4, In Claim 4, delete "2," and insert --3,--, therefor.

Signed and Sealed this

Twenty-sixth Day of October, 2010

land J.K -g/pos

David J. Kappos Director of the United States Patent and Trademark Office

NEPTUNE GENERICS 1002 - 00018 APOTEX 1002 - 0018

#### PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U. S. Patent No.		7 772 200
U. S. Fatent No.	·	7,772,209
Issued:	:	August 10, 2010
First Applicant	:	Clet Niyikiza
Serial No.	:	11/776,329
Application Date	:	July 11, 2007
Entitled	:	Antifolate Combination Therapies
Docket No.	:	X14173B

## REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. 1.322

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The patentee of the above-identified patent respectfully requests that you issue a Certificate of Correction to correct errors in the printed patent. Attached is Form PTO 1050 on which the errors are specified.

Some of the errors are typographical and were made inadvertently. The remaining errors occurred during the printing of the patent.

Please charge the fee under 1.20(a) and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840 to cover the cost of this Certificate of Correction.

Respectfully submitted,

/Elizabeth A. McGraw/ Elizabeth A. McGraw Attorney for Applicant Registration No. 44,646 Phone: 317-277-7443

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288 September 20, 2010 PTO/SB/44 (09-07) Approved for use through 08/31/2010. OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. (Also Porm PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,772,209 APPLICATION NO.: 11/776,329 ISSUE DATE : August 10, 2010 INVENTOR(S) : Clet Niyikiza

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

First Page, Col. 2, Line 22, under Other Publications: Delete "Homocystein" and insert --Homocysteine--, therefor.

First Page, Col. 2, Line 27, under Other Publications: Delete "hydroxocobaltniin" and insert --hydroxocobalamin--, therefor.

First Page, Col. 2, Line 28, under Other Publications: Delete "mce" and insert --mice--, therefor.

First Page, Col. 2, Line 37, under Other Publications: Delete "2666" and insert -- 266--, therefor.

Column 1, Line 5: Delete "12 May," and insert --5 Dec. --, therefor.

Column 10, Line 62: In Claim 1, delete "hydroxycobalamin," and insert --hydroxocobalamin,--, therefor.

Column 11, Line 4: In Claim 4, delete "2," and insert --3, --, therefor.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Eli Lilly and Company P.O. Box 6288 Indianapolis, IN 46206-6288

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a banefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Three will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Patent Application Fee Transmittal						
Application Number:	11	776329				
Filing Date:	11-	-Jul-2007				
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES					
First Named Inventor/Applicant Name:	Clet Niyikiza					
Filer:	Eliz	zabeth Ann McGraw	//Linda Durbin			
Attorney Docket Number:	X1-	4173B				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Certificate of correction		1811	1	100	100	
Extension-of-Time:						

## NEPTUNE GENERICS 1002 - 00021

## APOTEX 1002 - 0021

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Total in USD (\$)			

Electronic Acl	Electronic Acknowledgement Receipt				
EFS ID:	8464324				
Application Number:	11776329				
International Application Number:					
Confirmation Number:	6568				
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES				
First Named Inventor/Applicant Name:	Clet Niyikiza				
Customer Number:	25885				
Filer:	Elizabeth Ann McGraw/Linda Durbin				
Filer Authorized By:	Elizabeth Ann McGraw				
Attorney Docket Number:	X14173B				
Receipt Date:	21-SEP-2010				
Filing Date:	11-JUL-2007				
Time Stamp:	15:28:58				
Application Type:	Utility under 35 USC 111(a)				

## Payment information:

Submitted with Payment	yes			
Payment Type	Deposit Account			
Payment was successfully received in RAM	\$100			
RAM confirmation Number	1875			
Deposit Account	050840			
Authorized User				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:				
Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)				

File Listin	g:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Request for Certificate of Correction	X14173BRequestCertificateofC	276775	no	2		
		orrection.pdf	3dfd3cab0967543cd0618f3e2c32e60ff567 1bd0				
Warnings:							
Information:							
2	Fee Worksheet (PTO-875)	fee-info.pdf	30372	no	2		
_			23f9dc93ad89b23edb112ce21d94211041f 77577		_		
Warnings:							
Information:							
		Total Files Size (in bytes):	30	)7147			
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.           National Stage of an International Application under 35 U.S.C. 371           If a timely submission to enter the national stage of an international application is compliant with the conditions of 35           U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.           New International Application Filed with the USPTO as a Receiving Office           If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.							



P.O. BOX 6288

INDIANAPOLIS, IN 46206-6288

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	PLICATION NO. ISSUE DATE		ISSUE DATE PATENT NO.		ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/776,329	08/10/2010	7772209	X14173B	6568			
25885 7590	07/21/2010						
ELI LILLY & COMPANY PATENT DIVISION							

## **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment is 162 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

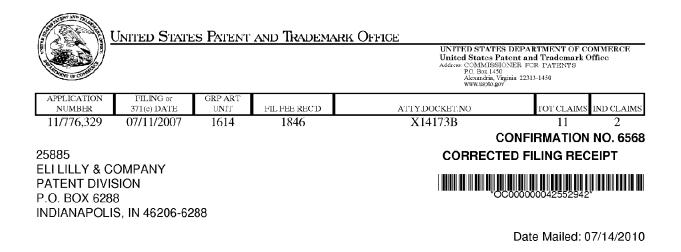
If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Clet Niyikiza, Indianapolis, IN;



Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Clet Niyikiza, Indianapolis, IN;

Power of Attorney: The patent practitioners associated with Customer Number 25885

#### Domestic Priority data as claimed by applicant

This application is a DIV of  $11/288,807 \ 11/29/2005 \ ABN$  which is a DIV of  $10/297,821 \ 12/05/2002 \ PAT \ 7,053,065$  which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of 60/215,310 06/30/2000 and claims benefit of 60/235,859 09/27/2000 ABN and claims benefit of 60/284,448 04/18/2001

#### **Foreign Applications**

#### If Required, Foreign Filing License Granted: 08/31/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 11/776,329** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

page 1 of 3

Title

#### NOVEL ANTIFOLATE COMBINATION THERAPIES

#### **Preliminary Class**

514

### **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### LICENSE FOR FOREIGN FILING UNDER

### Title 35, United States Code, Section 184

### Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

page 2 of 3

NEPTUNE GENERICS 1002 - 00027 APOTEX 1002 - 0027 set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

page 3 of 3



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addres: COMMISSIONER FOR PATENTS FC. Dox 1430 Alexandra, Yugina 22313-1450 www.uppugov

## 

Bib Data Sheet

## **CONFIRMATION NO. 6568**

SERIAL NUME 11/776,329		FILING OR 371(c) DATE 07/11/2007 RULE	(	<b>CLASS</b> 514	GRO	0 <b>UP AR</b> 1 1614	r unit		ATTORNEY OCKET NO. X14173B
APPLICANTS Clet Niyikiz	za, Inc	lianapolis, IN;							
This applic which is a which is a which claim and claims and claims ** FOREIGN APF	ation DIV o 371 o ns bei bene bene PLICA	A ************************************	11/29/20 2 PAT 7 15/2001 30/2000 /2000 Al /2001	7,053,065 BN					
Foreign Priority claime	35 USC 119 (a-d) conditions     Use Law to be after Met after     COUNTRY     DRAWING     CLAIMS     CLAIMS       ret     Allowance     IN     0     11     2								
ADDRESS 25885									
<b>TITLE</b> NOVEL ANTIFOL	_ATE	COMBINATION THER	APIES						
FILING FEE       FEES: Authority has been given in Paper         RECEIVED       No to charge/credit DEPOSIT ACCOUNT         1846       No for following:				□ 1.1 time )	6 Fees ( 7 Fees ( 8 Fees ( 1er	Proce	essing Ext. of		



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007	/11/2007 Clet Niyikiza		6568
25885 ELI LILLY & (	7590 07/13/201 COMPANY	EXAMINER		
PATENT DIVI P.O. BOX 6288		WEDDINGTON, KEVIN E		
	, IS, IN 46206-6288	ART UNIT	PAPER NUMBER	
		1614		
			NOTIFICATION DATE	DELIVERY MODE
			07/13/2010	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com



UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION		ATTORNEY DOCKET NO.
11776329	7/11/2007	NIYIKIZA, CLET	X14173B	
				EXAMINER
ELI LILLY & COMPAN PATENT DIVISION	ΙΥ		KEVIN	WEDDINGTON
P.O. BOX 6288 INDIANAPOLIS, IN 4	6206-6288		ART UNIT	PAPER
			1614	20100706

DATE MAILED:

# Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

In view of the papers filed July 11, 2007, the inventorship in this nonprovisional application has been changed by the deletion of Paolo Paoletti and James Jacob Rusthoven. The solely applicant is Clet Niyikiza.

> /KEVIN WEDDINGTON/ Primary Examiner Art Unit: 1614

PTO-90C (Rev.04-03)

NEPTUNE GENERICS 1002 - 00031 APOTEX 1002 - 0031

## Best Available Copy

			Best Available Co	ру		. <sup>.</sup>
•						Sheet I of 2
		ATION	(modified) DISCLOSURE CITATION TION	Atty: Docket No. X-14173B First Applicant NIYIKIZA Clet Filing Date	Group	6,329
			U.S.	PATENT DOCUME	· · · · · · · · · · · · · · · · · · ·	
	Examiner Initials*	Cite No. 1	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Pages or Relevant Figures Appear
//0	/KW/	AA	US 5,405,839	4/ 11/1995	Toraya	<u></u>
g5		AB	US 5,431,925	07/00/1995	Ohmori, et al.	
5.		AC	US 5,563,126	10/8/1996	Allen, et al.	
$U^{-}$		AD	US 5,736,402	4/7/1998	Francis, et al.	
		AE	US 6,207,651	3/27/2001	Allen, et al.	
		AF	US 6,297,224	10/2/2001	Allen, et al.	
		AG	US 6,528,496	3/4/2003	Allen, et al.	
		AII	US 03/0216350	11/20/2003	Alle <b>n, e</b> t al.	
		AI	US 03/0225030	12/4/2003	Allen, et al.	
		AJ	US 2,920,015	01/1960	Thompson, Robert E.	· .
		AK	US 2004/0005311 Al	01/2004	Pitman, Bradford D.	
	V	AL	US 5,344,932	09/1994	Taylor, Edward C.	
	/KW/	AM	US 7,053,065	05/2006	Niyikiza, et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Injtials*	Cite No. <sup>1</sup>	Foreign Patent Document - Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code5 (if known) -	Publication Date MM-DD-YY-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Line Where Relevant Passiges or Aslevant Figure: Apped	т <sup>б</sup>
/KW/	BA	EP 0 546 870	6/16/1993	EPO		

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Examiner Signature	/Kevin Weddington/ (02/11/2009)	Date Considered	02/11/2000
	at if reference considered, whether or not citation is in conformance with MPEP 509. I communication to applicant.	Draw line through citation if not in confo	mance and not considere a Include copy of
Applicant's unique	e citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO P stent Docume	als at www.useto.gen or MPEP 901.34.	<sup>3</sup> Enter Office that issued the document, by the
two-letter code (WI)	PO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the n	rign of the limperor must precede the ser	al number of the patent document. Skind of
Burden Horas State Any comments on the	proprinte symbols as indicated on the document under WIPO Standard 51. 16 if possible ment: This form is estimated to take 2.0 hours to complete. Three will vary depending the annount of time you are required to complete this form should be sent to the Chief Int an opposite the provide the transfer of the complete the form should be sent to the Chief Int	upon the needs of the individual case. formation Officer, U.S. Patent and Trader	nark Office, Washington OC 20231. DO
NOT SEND FEES (	OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commiss ouer for Pate	un, P.O. Box 1459, Alexandria, VA 223	13-1450.
	· · · · · ·	a	• • •

**NEPTUNE GENERICS 1002 - 00032** 

.....

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1

## OK TO ENTER: /K.W./ 05/24/2010

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Type or print name of person signing certification

Signature

Date

### <u>PATENT APPLICATION</u> <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

First Applicant:	NIYIKIZA Clet	
For:	NOVEL ANTIFOLATE COMBINATION T	HERAPIES
Docket No.:	X-14173B	

### AMENDMENT AND PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### 1. Amendment and Petition

This amendment and petition is to delete the names of the following persons originally named as inventors and who are not the inventors of the invention now being claimed: Paolo Paoletti, of Indianapolis, Indiana, and James Jacob Rusthoven, of Ancaster, Canada.

### 2. Claims Now On File

The claims in this application are as follows: New claims 29-39 filed on July 11, 2007

### 3. Diligence

This amendment and petition is being filed diligently after discovery that any claims for which the above named inventors who are being deleted are now no longer the inventors of the subject matter being claimed.

### 4. Fee Payment

Please charge \$130.00, the surcharge required by \$1.17(i), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840, in the name of Eli Lilly and Company. I enclose an original and two copies of this paper.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Telephone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

### PART B - FEE(S) TRANSMITTAL

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APPLICATION NO.	FILING DATE			FIRST NAMED INVENT	108		ATTOR	NEY DOCKET NO.	CONFIRMATIC	N NO.
TLE OF INVENTIO	N: NOVEL ANTIPOLAT	E COMBINA	TION THE	RAPIES						
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## NEPTUNE GENERICS 1002 - 00035 APOTEX 1002 - 0035

### PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant: NIYIKIZA Clet

Serial No.: 11/776329

Group Art Unit: 1614 Examiner: Weddington, Kevin E. Confirmation No.: 6568

Application Date: July 11, 2007

For: NOVEL ANTIFOLATE COMBINATION THERAPIES

Docket No.: X14173B

## <u>COMMUNICATION - REMINDER AT TIME OF ISSUE OF</u> <u>CHANGE OF INVENTORSHIP</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Attention: Mail Stop Issue Fee

Sir:

The above-captioned application has been allowed. In the Notice of Allowance and Issue Fee Due, the first named Applicant is identified as <u>Clet Niyikiza</u>. <u>Clet Niyikiza</u> is the first of three named Applicants: Clet Niyikiza, Paolo Paoletti, and James Jacob Rusthoven in the original filing of this application. However, a Petition to Correct Inventorship was submitted July 11, 2007, removing Applicants Paolo Paoletti and James Jacob Rusthoven.

Accordingly, we ask that the proper steps be taken to ensure that the patent issues solely in the name of <u>Clet Niyikiza</u>.

Respectfully submitted, /Elizabeth A McGraw/ Elizabeth A. McGraw Attorney for Applicants Registration No. 44,646 Phone: 317-277-7443

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288 April 26, 2010

Electronic Patent Application Fee Transmittal							
Application Number:	117	776329					
Filing Date:	11-	Jul-2007					
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES						
First Named Inventor/Applicant Name:	Cle	t Niyikiza					
Filer:	Eliz	zabeth Ann McGraw	v/Linda Durbin				
Attorney Docket Number:	X14	4173B					
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Utility Appl issue fee		1501	1	1510	1510		
Publ. Fee- early, voluntary, or normal		1504	1	300	300		

## NEPTUNE GENERICS 1002 - 00037

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Total in USD (\$)			

Electronic Acknowledgement Receipt						
EFS ID:	7485297					
Application Number:	11776329					
International Application Number:						
Confirmation Number:	6568					
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES					
First Named Inventor/Applicant Name:	Clet Niyikiza					
Customer Number:	25885					
Filer:	Elizabeth Ann McGraw/Linda Durbin					
Filer Authorized By:	Elizabeth Ann McGraw					
Attorney Docket Number:	X14173B					
Receipt Date:	26-APR-2010					
Filing Date:	11-JUL-2007					
Time Stamp:	13:47:13					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted wi	th Payment	yes						
Payment Type	2	Deposit Account	Deposit Account					
Payment was	successfully received in RAM	\$1810	\$1810					
RAM confirma	ation Number	9928	9928					
Deposit Acco	unt	050840	050840					
Authorized U	ser							
File Listing:								
Document Number	<b>Document</b> Description	File Name	File Size(Bytes)/ Message Digest	Multi Pages Part /.zip (if appl.)				

## NEPTUNE GENERICS 1002 - 00039

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Warnings:								
Information								
2	Post Allowance Communication - Incoming	X14173BInventorshipReminder	63107	no	1			
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Warnings:								
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3	Fee Worksheet (PTO-875)	'orksheet (PTO-875) fee-info.pdf		no	2			
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Information								
		Total Files Size (in bytes)	• 4	70490				
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.								
Acknowledg	nd MPEP 506), a Filing Receipt (37 CF	R 1.54) will be issued in due og date of the application.						



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1493 Advandra Vrginia 22313-1450 www.uspto.gov

## 

Bib Data Sheet

### **CONFIRMATION NO. 6568**

<b>SERIAL NUMBER</b> 11/776,329	FILING OR 371(c) DATE 07/11/2007 RULE	CLASS . 514	GROU	<b>GROUP ART UNIT</b> 1614		-	ATTORNEY OCKET NO. X14173B	
APPLICANTS Clet Niyikiza, Indianapolis, IN; ** CONTINUING DATA **********************************							ewc 4/16/ 10	
** 08/31/2007         Foreign Priority claimed       yes       no         35 USC 119 (a-d) conditions       yes       no         Met       Allowance       Met after         Verified and       Allowance       Initials         Acknowledged       Examiner's Signature       Initials								
ADDRESS 25885 TITLE NOVEL ANTIFOLATE COMBINATION THERAPIES FILING FEE RECEIVED 1546 FEES: Authority has been given in Paper Noto charge/credit DEPOSIT ACCOUNT 1546 I								



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Alexandria, Virginia 22313-1450 www.uspto.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

25885 7590 03/10/2010 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288

## EXAMINER WEDDINGTON, KEVIN E ART UNIT PAPER NUMBER

1614 DATE MAILED: 03/10/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568			
TITLE OF INVENTION: NOVEL ANTIFOLATE COMBINATION THERAPIES							

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(8) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	SO	\$1810	06/10/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

## NEPTUNE GENERICS 1002 - 00042 APOTEX 1002 - 0042

### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450

			or <u>Fax</u>	Aley (571	xandria, Virgi 1)-273-2885	inia 2	2313-1450		
INSTRUCTIONS: This appropriate. All further indicated unless corrected maintenance fee notification	ed below or directed oth	for transmitting the ISS or the Patent, advance of nerwise in Block 1, by (	UE FEE and PUBLIC orders and notification a) specifying a new c	CATIC of m	ON FEE (if requ aintenance fees v pondence address;	ired). E /ill be i and/or	Blocks 1 through 5 s mailed to the current (b) indicating a sep	hould be correspoi arate "FEI	completed where idence address as 2 ADDRESS" for
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use Bi	ock 1 for any change of address)		Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must					ter accompanying
25885	7590 03/10	/2010		have			ling or transmission.		
ELI LILLY & PATENT DIVIS P.O. BOX 6288	SION			I here State: addre transi	eby certify that th s Postal Service v essed to the Mail mitted to the USP	tificate is Fee(s vith suf Stop TO (57	of Mailing or Trans ) Transmittal is bein ficient postage for fir ISSUE FEE address 1) 273-2885, on the c	mission g deposite st class ma above, o late indica	d with the United ail in an envelope r being facsimile ted below.
INDIANAPOLI	S, IN 46206-6288								(Depositor's name)
									(Signature)
									(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTO	RNEY DOCKET NO.	CONFI	RMATION NO.
11/776,329	07/11/2007		Clet Niyikiza				X14173B		6568
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3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print	or type	e)				
PLEASE NOTE: Unl recordation as set forth (A) NAME OF ASSIG	h in 37 CFR 3.11. Com	ified below, no assignee sletion of this form is NC	data will appear on t T a substitute for filin (B) RESIDENCE: (0	g añ a	issignment.			ocument l	as been filed for
Please check the appropri-	iate assignee category or	categories (will not be p	rinted on the patent):		Individual 🗖 Co	orporati	on or other private gr	oup entity	Government
4a. The following fee(s) a	are submitted:	4	b. Payment of Fee(s): A check is enclosed		se first reapply a	іу ргеч	iously paid issue fee	shown ab	ove)
Publication Fee (N	lo small entity discount f	permitted)	Payment by cred	it card					
Advance Order - 🕴	# of Copies		The Director is he overpayment, to I	ereby a Depos	authorized to chan it Account Numbe	ge the r	required fee(s), any de (enclose a	ficiency, n extra co	or credit any py of this form).
5. Change in Entity Stat	<b>tus</b> (from status indicated s SMALL ENTITY statu	· · ·	<b>b</b> . Applicant is no	o long	er claiming SMA	LL ENI	TITY status. See 37 C	F <b>R</b> 1.27(g	)(2).
NOTE: The Issue Fee and interest as shown by the r	d Publication Fee (if req records of the United Sta	uired) will not be accepte tes Patent and Trademarl	ed from anyone other t « Office.	han th	e applicant; a regi	stered a	attorney or agent; or t	ne assigne	e or other party in
Authorized Signature					Date				
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This collection of inform an application. Confident submitting the completec this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223 Under the Paperwork Rec	tiality is governed by 35 1 application form to the ons for reducing this bu irginia 22313-1450. DC 13-1450.	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the NOT SEND FEES OR	1.14. This collection depending upon the e Chief Information C COMPLETED FORM	is estin indivio Officer IS TO	mated to take 12 f dual case. Any co r, U.S. Patent and THIS ADDRESS	ninutes mment Traden 5. SENI	to complete, includin s on the amount of ti aark Office, U.S. Dep D TO: Commissioner	ng gatherin me you re artment of for Patent	SPTO to process) ng, preparing, and quire to complete Commerce, P.O. s, P.O. Box 1450,

OMB 0651-0033

I-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



## UNITED STATES PATENT AND TRADEMARK OFFICE

		UNITED STATES DEPAR United States Patent and ' Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	<b>Frademark Office</b> OR PATENTS	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568
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ELI LILLY & CO	OMPANY		WEDDINGTO	DN, KEVIN E
PATENT DIVISIO	DN		ART UNIT	PAPER NUMBER
P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288			1614 DATE MAILED: 03/10/201	0

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 132 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 132 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
	11/776,329	NIYIKIZA ET AL.
Notice of Allowability	Examiner	Art Unit
	KEVIN WEDDINGTON	1614
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap ) or other appropriate communicatio (IGHTS. This application is subject	oplication. If not included n will be mailed in due course. <b>THIS</b>
1. This communication is responsive to <i>February 23, 2010</i> .		
2. X The allowed claim(s) is/are <u>40-44 and 47-63; renumbered</u>	<u>1-22</u> .	
<ul> <li>3. ☐ Acknowledgment is made of a claim for foreign priority u</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have</li> <li>2. ☐ Certified copies of the priority documents have</li> </ul>	e been received.	
3. Copies of the certified copies of the priority documents have		
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which giv		
5. CORRECTED DRAWINGS ( as "replacement sheets") mu	st be submitted.	
(a) [ including changes required by the Notice of Draftsper	son's Patent Drawing Review(PTC	9-948) attached
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner Paper No./Mail Date	's Amendment / Comment or in the	Office action of
Identifying indicia such as the application number (see 37 CFR f each sheet. Replacement sheet(s) should be labeled as such in		
6. DEPOSIT OF and/or INFORMATION about the deport attached Examiner's comment regarding REQUIREMENT		
Attachment(s) 1. Notice of References Cited (PTO-892)	5. 🔲 Notice of Informal I	Patent Application
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. 🛛 Interview Summary Paper No./Mail Da	y (PTO-413),
3. Information Disclosure Statements (PTO/SB/08),	7.  Examiner's Amend	
<ul> <li>Paper No./Mail Date <u>See Continuation Sheet</u></li> <li>4. Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	8. 🔲 Examiner's Statem	ent of Reasons for Allowance
	9. 🗌 Other	
/KEVIN WEDDINGTON/		
Primary Examiner		
U.O. Detect and Technical Office		
U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06) N	otice of Allowability	Part of Paper No./Mail Date 20100223

# NEPTUNE GENERICS 1002 - 00045

## APOTEX 1002 - 0045

### Continuation Sheet (PTOL-37)

Continuation of Attachment(s) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date: 11-13-2009; 12-15-2009.

	Application No.	Applicant(s)	
Interview Summary	11/776,329	NIYIKIZA ET AL	
interview Guinnary	Examiner	Art Unit	
	KEVIN WEDDINGTON	1614	
All participants (applicant, applicant's representative, PTO	personnel):		
(1) <u>KEVIN WEDDINGTON</u> .	(3)		
(2) <u>Elizabeth A. McGraw</u> .	(4)		
Date of Interview: <u>23 February 2010</u> .			
Type: a)⊠ ⊺elephonic b)⊡ Video Conference c)⊡ Personal [copy given to: 1)⊡ applicant 2	2) applicant's representative	9]	
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.		
Claim(s) discussed: <u>The claims in general</u> .			
Identification of prior art discussed: Nivikiza et al. (7.053.06	6 <u>5 B2)</u> .		
Agreement with respect to the claims f) was reached.	)] was not reached. h)⊠ N	I/A.	
<ul> <li>reached, or any other comments: <u>The attorney of record, M</u> cannot be used in an Obviousness-Type Double Patenting Nivikiza et al. (7.053.065 B2) which has a restriction require should not had been made.</li> <li>(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no c allowable is available, a summary thereof must be attached.</li> <li>THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS INT FILE A STATEMENT OF THE SUBSTANCE OF THE INTE requirements on reverse side or on attached sheet.</li> </ul>	rejection because the presen- ement. The Examiner agreed Iments which the examiner ag opy of the amendments that w d.) ACTION MUST INCLUDE THE last Office action has already OF ONE MONTH OR THIRTY ERVIEW SUMMARY FORM,	t application is a s that an ODP re reed would render yould render the SUBSTANCE ( been filed, APP ( DAYS FROM T WHICHEVER IS	Divisional of ejection er the claims claims DF THE LICANT IS THIS LATER, TO
/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614 U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03)	Summary	Panor	No. 20100223
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NEPTUNE GENERICS 1002 - 00047 APOTEX 1002 - 0047

#### Summary of Record of Interview Requirements

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

## NEPTUNE GENERICS 1002 - 00048 APOTEX 1002 - 0048

Continuation Sheet (PTOL-413)

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NEPTUNE GENERICS 1002 - 00049 APOTEX 1002 - 0049



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

### **BIB DATA SHEET**

### **CONFIRMATION NO. 6568**

SERIAL NUM 11/776,32		FILING or DAT 07/11/2	E		<b>CLASS</b> 510	GR	OUP ART 1614	UNIT	ΑΤΤΟ	RNEY DOCKET NO. X14173B
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Part of Paper No.: 20100223

# NEPTUNE GENERICS 1002 - 00051 APOTEX 1002 - 0051

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Part of Paper No.: 20100223

# NEPTUNE GENERICS 1002 - 00052 APOTEX 1002 - 0052

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	11776329	NIYIKIZA ET AL.
	Examiner	Art Unit
	KEVIN WEDDINGTON	1614

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	<u></u>			6)		А	6	1	к	31 / 685 (2006.01.01)			
	Gr			3)		А	6	1	к	31 / 50 (2006.01.01)			
CLASS	SUE	BCLASS (ONI	E SUBCLAS	S PER BLO	CK)	А	6	1	к	31 / 525 (2006.01.01)			
514	77	249	251	265.1		А	6	1	к	31 / 519 (2006.01.01)			
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	Claims renumbered in the same order as presented by applicant								СР	A [	] T.D.	C	] R.1.	47	
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NONE		Total Claim	ns Allowed:
(Assistant Examiner)	(Date)	2	2
/KEVIN WEDDINGTON/ Primary Examiner.Art Unit 1614	02/23/2010	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	NONE

U.S. Patent and Trademark Office

Part of Paper No. 20100223

# NEPTUNE GENERICS 1002 - 00053 APOTEX 1002 - 0053

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11776329	NIYIKIZA ET AL.
	Examiner	Art Unit
	Kevin E Weddington	1614

### SEARCHED

Class	Subclass	Date	Examiner
514	52	2/11/09	KEW
514	77	2/11/09	KEW
514	249	2/11/09	KEW
514	251	2/11/09	KEW
514	265.1	2/11/09	KEW

SEARCH NOTES		
Search Notes	Date	Examiner
Consultation with parent applications, 10/297,821 and 11/288,807	2/11/09	KEW
EAST and PALM for Inventors' Names	2/11/09	KEW
CAS-ONLINE search with MEDLINE, CA and USPATALL	9/1/2009	KEW
Updated Searches	2/23/2010	KEW

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner
514	52	2/23/2010	KEW
514	77	2/23/2010	KEW
514	249	2/23/2010	KEW
514	251	2/23/2010	KEW
514	265.1	2/23/2010	KEW

U.S. Patent and Trademark Office

Part of Paper No.: 20100223

# NEPTUNE GENERICS 1002 - 00054 APOTEX 1002 - 0054

<b>AND</b>	*			Applic	ation N	umber		11776329		/	<i>ø</i>
	<b>.</b>		юг	Filing	Date			2007-07-11			
	· · · ·	TION DISCLOSU		First N	lamed l	nventor	Clet	Niyikiza			
		ission under 37 CFR 1		Art Ur				1614			
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	Application Number		11776329	
	Filing Date		2007-07-11	
INFORMATION DISCLOSURE	First Named Inventor Clet N		Niyikiza	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614	
	Examiner Name			
	Attorney Docket Numb	er	Х14173В	

/K.	.w./1	ALIMTA, NDA 02	21462, Approved Label of 07/02/2009.	
	2	"Clinical Chemist	try: principle, procedures, correlations," 3rd edition, 1996, published by Lippincott: pp. 618-627.	
	3	Fluorouracil, Phy	vsicians Desk References, (c) 1998, pp 2463-2464.	
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	5	KISLIUK, RL., 19 2-68. Harcourt E	984. "The Biochemistry of Folates." In Sirotnak (Ed.), Folate Antagonists as Therapeutic Agents. pp. Brace Jovanovich, Publishers.	
	6		999. "Folate Biochemistry in RElation to Antifolate Selectivity." In Jackson (Ed.), Antifolate Drugs in . pp 13-36. Humana Press, New Jersey.	
	7	Leucovorin, Phys	sicians Desk Reference, (c) 1999. pp 1389-1391.	
**************************************	8	Methotrexate, Ph	nysicians Desk Reference, (c) 1999. pp. 1397-1413.	
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$\mathbf{V}$	10		al., "LY231514 (MTA): relationship of vitamin metabolite profile to toxicity," American Society of y (ASCO) Meeting Abstract No. 2139 (1998).	
/K.W	/./ 1'	Raltitrexed, The	Complete Drug Reference, Martindale, 32nd Ed., Pharmaceutical Press, London, pp 560. 1990	
			02/28/2010	

02/26/2010

# NEPTUNE GENERICS 1002 - 00056

## APOTEX 1002 - 0056

	Application Number		11776329	
	Filing Date		2007-07-11	
INFORMATION DISCLOSURE	First Named Inventor Clet N		Niyikiza	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614	
	Examiner Name			
	Attorney Docket Numb	er	Х14173В	

/K.W./	12	SHIH, C., et al., "LY231514, a Pyrrolo[2,3-d]pyrimidine-based Antifolate that Inhibits Multiple Folate-requiring Enzymes," Cancer Research. 57:1116-1123. 1997.					
/K.W./	13	SHIH, C., et al., "Preclinical Pharmacology Studies and the Clinical Development of a Novel Multitargeted Antifolate, MTA (LY231514)," In Jackson (Ed.), Antifolate Drugs in Cancer Therapy. pp 13-36. Humana Press, New Jersey. 1998					
/K.W./	14	VOLKOV, I., "The master key effect of vitamin B12 in treatment of malignancy - A potential therapy?", Medical Hypotheses. 70:324-328. 2008.					
	15						
If you wis	h to a	add additional non-patent literature document citation information ple	ase click the Add b	outton Add			
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Examiner	Examiner Signature /Kevin Weddington/ Date Considered 02/26/201						
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NEPTUNE GENERICS 1002 - 00058

	Application Number		11776329
	Filing Date		2007-07-11
INFORMATION DISCLOSURE	First Named Inventor	Clet N	IIYIKIZA
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614
	Examiner Name	Kevin	E. Weddington
	Attorney Docket Numb	er	X14173B_US

/K.W./	1	Maysishecheva, N.V., et al.: "Antitumor Activity of Methotrexate When Used in Combination with Cobalamine Derivatives", Eksperimentalnaya Onkologija (1982), vol. 4, no. 5:29-33.					
/K.W./	2	McDonald, A.C., et al.: "Clinical Phase I Study of LY231514, a Multitargeted Antifolate, Administered by Daily x 5 q 21 Schedule", Annals of Oncology (1996), vol. 7:85, Abstract No. 291.					
/K.W./	3	Sofyina, Z.P., et al.: "Possibility of Potentiating the Antineoplastic Action of Folic Acid Antagonist by Methylcobalamine Analogs", Vestnik Akademii Medicinskich Nauk SSSR (1979), vol. 1: 72-78.					
If you wis	h to ao	dd additional non-patent literature document citation	n information please click the Add b	utton Add			
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Examiner	Signa	ature /Kevin Weddington/	Date Considered	03/03/2010			
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here i English language translation is attached.							



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568
25885 ELI LILLY & (	7590 02/05/201 COMPANY	0	EXAN	IINER
PATENT DIVI P.O. BOX 6288			WEDDINGTO	DN, KEVIN E
	, IS, IN 46206-6288		ART UNIT	PAPER NUMBER
			1614	
			NOTIFICATION DATE	DELIVERY MODE
			02/05/2010	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)					
	11/776,329	NIYIKIZA ET AL.					
Office Action Summary	Examiner	Art Unit					
	KEVIN WEDDINGTON	1614					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earmed patent term adjustment. See 37 CFR 1.704(b).</li> </ul>							
Status							
1) Responsive to communication(s) filed on <u>13 N</u>	ovember 2009.						
	action is non-final.						
3) Since this application is in condition for allowa		osecution as to the merits is					
closed in accordance with the practice under E							
Disposition of Claims							
	li li						
4) Claim(s) $40-44$ and $47-63$ is/are pending in the	••						
4a) Of the above claim(s) is/are withdrav 5) ☐ Claim(s) is/are allowed.	with trom consideration.						
6) Claim(s) <u>40-44 and 47-63</u> is/are rejected.							
7) Claim(s) is/are objected to.	n a la atiana na guina ma ant						
8) Claim(s) are subject to restriction and/o	r election requirement.						
Application Papers							
9) The specification is objected to by the Examine	r.						
10) The drawing(s) filed on is/are: a) acc	epted or b) <mark>∏</mark> objected to by the ∣	Examiner.					
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correct	ion is required if the drawing(s) is ob	jected to. See 37 CFR 1.121(d).					
11) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.					
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. & 119/a	)-(d) or (f)					
a) All b) Some * c) None of:							
1. Certified copies of the priority document	s have been received						
2. Certified copies of the priority document		ion No					
3. Copies of the certified copies of the prior							
application from the International Bureau	•						
* See the attached detailed Office action for a list		be					
Attachmont(s)							
Attachment(s) 1) Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)					
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail D	ate					
3) X Information Disclosure Statement(s) (PTO/SB/08)	5) Notice of Informal F	Patent Application					
Paper No(s)/Mail Date <u>11-13-09; 12-15-09</u> .	6) 🛄 Other:						
U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06) Office Ad	tion Summary Pa	art of Paper No./Mail Date 20100128					

NEPTUNE GENERICS 1002 - 00061 APOTEX 1002 - 0061 Application/Control Number: 11/776,329 Art Unit: 1614

Claims 40-44 and 47-63 are presented for examination.

Applicants' amendment, response and information disclosure statement filed

November 13, 2009; and the information disclosure statement filed December 15, 2009

have been received and entered.

Accordingly, the rejection made under 35 USC 103(a) as being obvious over

Taylor (5,344,932) of PTO-1449 in view of Tsao et al., Pathobiology, vol. 61, No. 2, pp.

104-108 (1993) of PTO-1449, further in view of Worzalla et al., Anticancer Research,

Vol. 18, No. 5, pp. 3255-3239 of PTO-1449, and further in view of Cleare et al.

(4,149,707) as set forth in the Office action dated September 8, 2009 at pages 2-5 as

applied to claims 40-52 is hereby withdrawn because of applicants' remarks.

### **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

### Application/Control Number: 11/776,329 Art Unit: 1614

Claims 40-44 and 47-63 are rejected on the ground of nonstatutory obviousnesstype double patenting as being unpatentable over claims 1-7 of U.S. Patent No. 7,053,065 B2. Although the conflicting claims are not identical, they are not patentably distinct from each other because the only difference between the present claims and the patented claims lies in that in the present claims, addition agent(s) is administered with the presently claimed active agents (pemetrexed disodium and vitamin B12).

The present claims would anticipate the patented claims because the patented claims recite "**comprising**" and thus opens the claims to the inclusion of additional active agent(s).

Claims 40-44 and 47-63 are not allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KEVIN WEDDINGTON whose telephone number is (571)272-0587. The examiner can normally be reached on 12:30 pm - 9:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin Marschel can be reached on (571)272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 11/776,329 Art Unit: 1614

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> KEVIN WEDDINGTON Primary Examiner Art Unit 1614

/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614

	<b>8</b>		N			Application/Control No.						Applicant(s)/Patent Under Reexamination					
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U.S. Patent and Trademark Office

Part of Paper No.: 20100128

# NEPTUNE GENERICS 1002 - 00065 APOTEX 1002 - 0065

				A	Application/Control No.					Applicant(s)/Patent Under Reexamination					
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	41	√		√	✓										
	42	√		~	~										
	43	√		1	√										
	44	V		~	~										
	45	~		~	-										
	46	~		✓	-										
	47	√		✓	√										
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Part of Paper No.: 20100128

# NEPTUNE GENERICS 1002 - 00066 APOTEX 1002 - 0066

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	Application Number		11776329
	Filing Date		2007-07-11
INFORMATION DISCLOSURE	First Named Inventor Clet		liyikiza
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614
	Examiner Name		
	Attorney Docket Numb	er	Х14173В

/K.W./	1	ALIMTA, NDA 021462, Approved Label of 07/02/2009.	
	2	"Clinical Chemistry: principle, procedures, correlations," 3rd edition, 1996, published by Lippincott: pp. 618-627.	
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	Application Number		11776329
	Filing Date		2007-07-11
INFORMATION DISCLOSURE	First Named Inventor Clet Ni		Jiyikiza
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614
	Examiner Name		
	Attorney Docket Numb	er	Х14173В

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/K.W./	14		DV, I., "The master key effect of vitamin B12 in neses. 70:324-328. 2008.	treatment of malignancy - A potential the	erapy?", Medical							
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	Application Number		11776329
	Filing Date		2007-07-11
INFORMATION DISCLOSURE	First Named Inventor Clet		IYIKIZA
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614
	Examiner Name		E. Weddington
	Attorney Docket Numb	er	X14173B_US

/K.W./	1	Maysishecheva, N.V., et al.: "Antitumor Activit Derivatives", Eksperimentalnaya Onkologija (1	y of Methotrexate When Used in Combination w 982), vol. 4, no. 5:29-33.	ith Cobalamine								
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/K.W./	3	Sofyina, Z.P., et al.: "Possibility of Potentiating the Antineoplastic Action of Folic Acid Antagonist by Methylcobalamine Analogs", Vestnik Akademii Medicinskich Nauk SSSR (1979), vol. 1: 72-78.										
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	Application Number		11776329		
	Filing Date		2007-07-11		
	First Named Inventor	Clet N	NIYIKIZA		
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INFORMATION DISCLOSURE	First Named Inventor Clet N		NIYIKIZA	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614	
	Examiner Name	Kevin	E. Weddington	
	Attorney Docket Number		X14173B_US	

	Maysishecheva, N.V., et al.: "Antitumor Activity of Methotrexate When Used in Combination with Cobalamine Derivatives", Eksperimentalnaya Onkologija (1982), vol. 4, no. 5:29-33.					
2 McDonald, A.C., et al.: "Clinical Phase I Study of LY231514, a Multitargeted Antifolate, Administered by Daily x 5 q 21 Schedule", Annals of Oncology (1996), vol. 7:85, Abstract No. 291.						
	3 Sofyina, Z.P., et al.: "Possibility of Potentiating the Antineoplastic Action of Folic Acid Antagonist by Methylcobalamine Analogs", Vestnik Akademii Medicinskich Nauk SSSR (1979), vol. 1: 72-78.					
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	Application Number		11776329	
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	Examiner Name	Kevin	E. Weddington	
	Attorney Docket Numb	er	X14173B_US	

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	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).
	See attached certification statement.
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	ignature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the n of the signature.

Signature	/Elizabeth A. McGraw/	Date (YYYY-MM-DD)	2009-12-15
Name/Print	Elizabeth A. McGraw	Registration Number	44,646

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application Number:	11776329				
International Application Number:					
Confirmation Number:	6568				
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES				
First Named Inventor/Applicant Name:	Clet Niyikiza				
Customer Number:	25885				
Filer:	Elizabeth Ann McGraw/Linda Durbin				
Filer Authorized By:	Elizabeth Ann McGraw				
Attorney Docket Number:	X14173B				
Receipt Date:	15-DEC-2009				
Filing Date:	11-JUL-2007				
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Application Type:	Utility under 35 USC 111(a)				

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION	
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568
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PATENT DIVI	SION		WEDDINGTO	DN, KEVIN E
P.O. BOX 6288 INDIANAPOL	5 IS, IN 46206-6288		ART UNIT	PAPER NUMBER
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	Application No.	Applicant(s)					
Interview Summary	11/776,329	NIYIKIZA ET AL.					
	Examiner	Art Unit					
	KEVIN WEDDINGTON	1614					
All participants (applicant, applicant's representative, PTO personnel):							
(1) <u>KEVIN WEDDINGTON</u> .	(3) <u>Bill McMillen</u> .						
(2) <u>Elizabeth A. McGraw</u> .	(4)						
Date of Interview: <u>12 November 2009</u> .							
Type: a)⊠ Telephonic b)⊡ Video Conference c)⊡ Personal [copy given to: 1)⊡ applicant 2	2) applicant's representative	9]					
Exhibit shown or demonstration conducted: d)⊠ Yes If Yes, brief description: <u>Proposed Amendment (Right-</u>	e) <mark>∏</mark> No. <i>Faxed)</i> .						
Claim(s) discussed: <u>The claims in general</u> .							
Identification of prior art discussed: The pior art of record.							
Agreement with respect to the claims f) was reached. $c$	g)  was not reached. h)⊠ N	I/A.					
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>The attorney of record, Ms. McGraw, explained the proposed amendment with the response to the outstanding rejections.</u> The attorney will officially submit the proposed amendment. (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.) THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.							
/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614 U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03)	Summary	Paper I	No. 20091112				

NEPTUNE GENERICS 1002 - 00079 APOTEX 1002 - 0079

#### Summary of Record of Interview Requirements

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135, (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

### NEPTUNE GENERICS 1002 - 00080 APOTEX 1002 - 0080

#### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Type or print name of person signing certification

Date

### <u>PATENT APPLICATION</u> <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

First Applicant:	Clet Niyikiza	Group Art Un	it: 1614
Serial No.:	11/776,329	Examiner:	Kevin E. Weddington
Application Date	: July 11, 2007	Confirmation	No.: 6568
For:	NOVEL ANTIFOLATE COM	BINATION TH	IERAPIES
Docket No.:	X14173B		

### REPLY UNDER 37 C.F.R. 1.111 & AMENDMENT UNDER 37 C.F.R. 1.121

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Signature

Sir:

In response to the Office action of September 8, 2009, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims, which begin on page 2 of this paper.

Remarks begin on page 5 of this paper.

# NEPTUNE GENERICS 1002 - 00081 APOTEX 1002 - 0081

#### Amendments to the Claims

The following listing of claims will replace all prior versions, and listing, of claims in the application.

#### Listing of Claims:

Claims 1-39 (Cancelled)

40. (currently amended) A method for administering pemetrexed disodium to a patient in need thereof comprising <u>administering an effective amount of folic acid and an effective amount of a</u> <u>methylmalonic acid lowering agent followed by</u> administering an effective amount of pemetrexed disodium <del>in combination with a methylmalonic acid lowering agent,</del> wherein:

the methylmalonic acid lowering agent is selected from the group consisting of vitamin B12, hydroxycoboalamin, cyano-10-chlorocoboalamin, aquocoboalamin perchlorate, aquo-10-coboalamin perchlorate, azidocoboalamin, cobalamin, cyanocobalamin, or chlorocoboalamin;

the methylmalonic acid lowering agent is administered from about 1 week to about 3 weeks prior to the first administration of the pemetrexed disodium; and

the methylmalonic acid lowering agent administration is repeated about every 6 to about every 12 weeks until administration of the pemetrexed disodium is discontinued.

41. (currently amended) The method of claim 40, wherein the methylmalonic <u>acid</u> lowering agent is vitamin\_B12.

42. (previously presented) The method of claim 41, wherein the vitamin B12 is administered as an intramuscular injection of about 500  $\mu$ g to about 1500  $\mu$ g.

43. (previously presented) The method of claim 42, wherein the vitamin B12 is administered as an intramuscular injection of about  $1000 \mu g$ .

44. (currently amended) The method of claim 41, 42 or 43, wherein the vitamin B12 administration is repeated about every <u>9 weeks 6 to about every 12 weeks following the administration</u> <u>of vitamin B12</u> until the administration of the pemetrexed disodium is discontinued.

45 - 46. (cancelled)

# NEPTUNE GENERICS 1002 - 00082 APOTEX 1002 - 0082

47. (currently amended) The method of claim 46 <u>44</u> wherein the folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

48. (previously presented) The method of claim  $47 \underline{44}$  wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

49. (currently amended) The method according to any one of claims 40-4346-48, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

50. (previously presented) The method of claim 49 wherein about  $350\mu g$  to about  $1000 \ \mu g$  of folic acid is administered.

51. (previously presented) The method of claim 50 wherein 350  $\mu$ g to 600  $\mu$ g of folic acid is administered.

52. (currently amended) The method of claim 40  $\frac{1}{1000}$  further comprising the administration of cisplatin to the patient.

53. (new) An improved method for administering pemetrexed disodium to a patient in need of chemotherapeutic treatment, wherein the improvement comprises:

a) administration of between about 350  $\mu$ g and about 1000  $\mu$ g of folic acid prior to the first administration of pemetrexed disodium;

b) administration of about 500µg to about 1500µg of vitamin B12, prior to the first administration of pemetrexed disodium; and

c) administration of pemetrexed disodium.

54. (new) The method of claim 53 further comprising the administration of cisplatin to the patient.

55. (new) The method of claim 53, wherein vitamin B12 is administered as an intramuscular injection of about 500  $\mu$ g to about 1500  $\mu$ g.

56. (new) The method of claim 55, wherein vitamin B12 is administered as an intramuscular injection of about 1000  $\mu$ g.

57. (new) The method of claim 56, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

58. (new) The method of claim 57 wherein about  $350\mu g$  to about  $1000 \ \mu g$  of folic acid is administered.

59. (new) The method of claim 58 wherein 350 µg to 600 µg of folic acid is administered.

60. (new) The method of claim 59 wherein folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

61. (new) The method of claim 59 wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

62. (new) The method of claim 53, 59, or 60, wherein the vitamin B12 administration is repeated about every 6 to about every 12 weeks following the administration of vitamin B12 until administration of pemetrexed disodium is discontinued.

63. (new) The method of claim 62 further comprising the administration of cisplatin to the patient.

#### **Remarks**

Thank you for taking the time to discuss this case with me earlier today. I look forward to a timely allowance of this case. Please call me at the number provided below if during final review of the files an issue presents itself.

Claims 1-39, 45, and 46 have been cancelled. Claim 40 has been amended to a) introduce a new limitation, pretreatment with folic acid, b) remove the requirement for cyclic administration, c) to include cobalamin and cyanocobalamin in the Markush group, and d) correct spelling errors. Applicants submit that no new material has been introduced through this amendment. This amendment finds support at least at page 7, lines 5-8, page 9, lines 1-11, and page 15, line 20. Claim 41 has been amended to include a space between "vitamin" and "B12" and to add the term "acid" to the phrase "methylmalonic lowering agent." Claims 47, 49, and 52 have been amended to correct claim dependency. Applicants submit that no new material has been introduced through these amendments. Claims 53 - 62 are new and find support at least at page 13, lines 21 to 25, page 6, lines 3-5; page 7, lines 20-27; and page 14, line 3. No Claims are allowed and all claims stand rejected under 35 U.S.C. 103(a). In view of the reasons set forth below, Applicants submit that the rejection is improper and should be withdrawn. Entry of the amendments and reconsideration and allowance of the present application are respectfully requested.

#### Rejections Under 35 USC §103(a)

All claims stand rejected under 35 U.S.C. 103(a) as allegedly being unpatentable over Taylor (5,344,932) in view of Tsao et al., "Influence of Cobalamin on the Survival of Mice Bearing Ascites Tumor," Pathobiology, Vol. 61, No. 2, pp. 104-108 (1993), further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3235-3239, and further in view of Cleare et al. (4,149,707). Applicants submit that the Examiner meant to cite to Cleare et al. at 4,140,707 ("Malonato Platinum Anti-Tumor Compounds") and not 4,149,707 ("Spring Device"). Applicants address the Examiner's concerns below based upon the belief that Cleare et al. refers to US Patent #4,140,707. If this is incorrect, Applicants reserve the right to address the new art in a future communication.

The presently claimed invention is directed to improving the therapeutic utility of pemetrexed disodium by administering to a patient a methylmalonic acid lowering agent and folic acid followed by administering an effective amount of pemetrexed disodium. Applicants have discovered that the claimed method reduces mortality and nonhematologic events, such as skin rashes and fatigue events without compromising pemetrexed disodium's efficacy, see page 3,

lines 5-15 of the Specification. Prior to Applicant's invention a skilled artisan would not have been motivated to combine pemetrexed disodium with a methylmalonic acid lowering agent, such as vitamin B12, and folic acid and there would have been no reasonable expectation in the art that the claimed treatment method would provide a viable chemotherapy regimen, let alone reduce toxic events related to administration of pemetrexed disodium.

The Examiner alleges that in view of Taylor, Tsao, Worzalla, and Cleare a skilled artisan would have "assumed the combination of three antineoplastic agents into a single composition would give an additive effect in the absence of evidence to the contrary." *Office Action* (OA) dated 9/8/2009, page 4, paragraph 3. Applicants respectfully assert that the Examiner's obviousness rejection is inappropriate and should be withdrawn.

The *Graham v. John Deere Co. of Kansas City*, 383 U.S. 1 (1966), factors control an obviousness inquiry. *KSR International Co. v. Teleflex Inc.*, 127 S.Ct. 1727 (2007). Those factors are: 1) "the scope and content of the prior art"; 2) the "differences between the prior art and the claims"; 3) "the level of ordinary skill in the pertinent art"; and 4) objective evidence of nonobviousness. *KSR*, 127 S. Ct. at 1734 (quoting *Graham*, 383 U.S. at 17-18).

The Court in *KSR* acknowledged the importance of identifying "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does" in an obviousness determination. *KSR*, 127 S. Ct. at 1731. *KSR* also did not disturb the longstanding requirement that an obviousness determination requires that a skilled artisan would have perceived a reasonable expectation of success in making the invention in light of the prior art. *In re Kubin*, 561 F.3d 1351, 1352+ (Fed. Cir. 2009) (quoting *In re O'Farrell*, 853 F.2d 894, 903-904 (Fed. Cir. 1988)).

### Rejection based upon Taylor in view of Tsao

The Examiner alleges that pemetrexed disodium and vitamin B12 were known to be "antineoplastic agents" and therefore could be combined "into a single composition [that] would give an additive effect." OA, page 4, paragraph 3. However, the Examiner appears to have misinterpreted the understanding in the art concerning vitamin B12 antineoplastic activity and the teachings of Taylor. Particularly, the rejection overstates what Tsao as a whole fairly discloses. Tsao teaches that conflicting results have been found for the use of vitamin B12 as an antitumor agent in animals and in man (see page 104, column 1 at about line 13 through column 2 at about line 18). Tsao states:

"the results of two survey studies using data from several hospitals failed to confirm that B12 therapy was effective either when it was

### NEPTUNE GENERICS 1002 - 00086 APOTEX 1002 - 0086

administered alone of in conjunction with X-ray or chemotherapeutic agents...Experiments with laboratory animals also showed conflicting results."

(see p. 104, col. 1, lines 15-21). In fact, Tsao reports that cyanocobalamin "did not affect cell growth at a daily dose as high as 1,000 mg/kg body weight." Tsao, page 105, last paragraph. It is therefore submitted that when viewed as a whole, a person of ordinary skill in the art reading Tsao would not have perceived a reasonable expectation of success in making Applicant's invention in view of the scientific uncertainty concerning vitamin B12 and its use as an antitumor agent. In fact, Applicants submit that the activity of B12 as a potential antitumor therapeutic is still inconclusive even as of today (see Volkov 2008, attached, introductory paragraph, page 324, "Researchers have attempted to correlate vitamin B12 with malignancy ever since the multifunctional role of cobalamin has begun to be understood...There are many hypotheses about the role of vitamin B12 in growth of malignancy, but we still have many more questions than we have answers.").

Additionally, page 3 of the OA asserts that Taylor discloses certain glutamic acid derivatives, including pemetrexed disodium, as effective antineoplastic agents and that pemetrexed disodium can be combined with other antineoplastic agents. The OA admits that Taylor "does not teach the addition of a methylmalonic acid lowering agent." However, the OA goes on to suggest that "the secondary reference, Tsao et al., teaches a methylmalonic acid lowering agent such as cobalamin (vitamin B12) is effective as having antitumor activity (see the abstract)." *OA*, pp 3-4. The rejection particularly notes column 8, lines 64-68, of Taylor, which merely states the compounds of the invention "can be administered … with other therapeutic agents, including antineoplastic agents [which is another genus of compounds], steroids, etc. to a mammal suffering from neoplasm …" As discussed *supra*, at the time of Applicant's invention there was scientific uncertainty concerning vitamin B12 and its use as an antitumor agent. In fact, as will be further discussed below, the skilled artisan would have expected a decrease in the antineoplastic activity of pemetrexed disodium when administered in combination with vitamin B12, see Specification page 3, lines 7-8, not an additive or even a synergistic effect for antineoplastic activity, see Specification page 16, lines 6-9.

Applicants respectfully assert the Examiner has not made a *prima facie* showing of obviousness, at least because the rejection lacks support for why a skilled artisan would have combined pemetrexed disodium with a methylmalonic acid lowering agent and folic acid as claimed and that there would have been any reasonable expectation the claimed method would provide a viable chemotherapy regimen and reduce toxicity associated with pemetrexed disodium

-7-

administration. In view of the comments made *supra*, Applicants respectfully request reconsideration and allowance of the present application.

Although the Examiner has not set forth a *prima facie* showing of obviousness, to expedite allowance of the application, Applicants make the following additional remarks. The Supreme Court's ruling in KSR states that prior-art elements "work[ing] together in an unexpected and fruitful manner" is an indicia of nonobviousness. KSR at 416. A skilled artisan would have understood at the time that pemetrexed disodium is a multitargeted antifolate having specific activity at three enzymes in the biosynthesis of nucleic acids. The enzymes are dihydrofolate reductase (DHFR), thymidine synthase (TS), and GAR formyltransferase (GARFT). (Shih, 1999 and Shih, 1997, attached.) All of these enzymes need a folate derivative to function. DHFR obviously has dihydrofolate as a substrate: TS needs N<sup>5</sup>. N<sup>10</sup>-methylenetetrahydrofolate as a methyl source (returning folate as dihydrofolate); and GARFT has N<sup>10</sup>-formyltetrahydrofolate as a formyl source returning it as tetrahydrofolate. (Kisliuk, 1999 and Kisliuk, 1984, attached.) Pemetrexed disodium is, in simple terms, a folate analogue and acts by competing with folate at each of the enzymes' folate binding sites. If there is an excess of the natural ligand (the natural folate source) for the three enzymes then the effectiveness of pemetrexed disodium is reduced. This is shown for example in Table 1 of Worzalla. It can be seen that for the five cancer cell-lines reported, increasing the folic acid concentration from 1 µm to 10 µm gives up to a 14-fold decrease in efficacy of pemetrexed disodium (14-fold increase in IC<sub>50</sub>). The skilled person, if they indeed had all of the knowledge of Taylor, Tsao, and Worzalla, would understand that by adding vitamin B12 they could be releasing the pool of N<sup>5</sup>-methyltetrahydrofolate so causing an effective increase in the concentration of the natural folate substrate, thereby decreasing the efficacy of pemetrexed disodium. The skilled artisan would not have expected the reduction of severe toxicities associated with pemetrexed disodium, such as patient death, without the expected effect of reduction of pemetrexed disodium's efficacy.

At the time of the invention, the skilled artisan would have been aware it was standard of care to avoid vitamins in patients undergoing chemotherapy, because the usage of vitamins could decrease the effectiveness of the chemotherapy. See for example:

 AstraZeneca's compound, Tomudex® (raltitrexed), is a TS inhibitor approved in 1995 in the United Kingdom and marketed in Europe for the treatment of colorectal cancer. The monograph as provided in Martindale's 1999, "The Complete Drug Reference" (attached) states that "Raltitrexed should not be given with folic or folinic acid which may impair its cytotoxic action." (page 560, Interactions.)

2. Methotrexate is a DHFR inhibitor that was approved in 1959 in the United States. The 1999 monograph as published by the "Physicians' Desk References" clearly states:

"Vitamin preparations containing folic acid or its derivatives may decrease responses to systemically administered methotrexate. Preliminary animal and human studies have shown that small quantities of intravenously administered leucovorin enter the CSF primarily as 5-methyltetrahydrofolate and, in humans, remain 1-3 orders of magnitude lower than the usual methotrexate concentrations following intrathecal administration. However, high doses of leucovorin may reduce the efficacy of intrathecally-administered methotrexate. Folate deficiency states may increase methotrexate toxicity." (pages 1398-1399, *Drug Interactions*, attached.)

 Fluorouracil (5-FU) is an inhibitor of TS. In the 1998 monograph as published by the "Physicians' Desk References" for 5-FU, there is a warning that the administration of folinic acid is associated with increased toxicity "Leucovorin calcium may enhance the toxicity of fluorouracil." (page 2463, *Drug Interactions*, attached.)

Leucovorin or folinic acid is a 5-formyl derivative of tetrahydrofolic acid. The 1999 monograph from the "Physicians' Desk References" describes leucovorin as "one of several active, chemically reduced derivatives of folic acid. It is useful as an antidote to drugs which act as folic acid antagonists," and "[a]dministration of leucovorin can counteract the therapeutic and toxic effects of folic acid antagonists such as methotrexate, which act by inhibiting dihydrofolate reductase. In contrast, leucovorin can enhance the therapeutic and toxic effects of fluoropyrimidines used in cancer therapy, such as 5-fluorouracil." (page 1389, *Drug Interactions*, attached.)

Applicants unexpectedly discovered administering vitamin B12 and folic acid as claimed reduces toxicity of pemetrexed disodium. (See Specification at pg 15, lines 21-25 and pg 16, lines 6-9.) This is clearly demonstrated by the examples in the specification wherein treatment toxicities were reduced in tumor bearing mice with or without the addition of folic acid. For example, the Specification at pg 15, lines 24-25 states, "Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%." Page 15, lines 25-27 of the specification states, "The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated." The specification also explains that pilot studies in humans established that vitamin B12 given to patients receiving ALIMTA experienced fewer side effects. Clinical studies sponsored by Eli Lilly (Lilly) confirmed less overall pemetrexed disodium-related

-9-

toxicity. Specifically, as is shown in the table below, reductions in Grade 3/4 hematologic and nonhematologic toxicities such as neutropenia, febrile neutropenia, and infection with Grade 3/4 neutropenia were reported when pretreatment with folic acid and vitamin B12 was administered.

<i>,</i>	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N=78)
Hematologic Toxicity/Non-	37%	6.4%
Hematologic Toxicity		
Nentropenia	32%	2.6%
Mucositis	5%	1.3%
Diamhea	6%	2.6%
Neutropenia and Mucositis	3%	0%
Neutropenia and Diarrhea	3%	0%
Neutropenia and infection	2%	0%

Table 1

(See Specification, Table 1, page 16.)

Today, Lilly's pemetrexed disodium product, ALIMTA®, is an FDA approved product in the United States and its prescribing information (attached) includes the following information on the need to administer B12 and the effects of vitamin supplementation in reducing toxicity.

<u>Need for Folate and Vitamin B12 Supplementation</u> Patients treated with ALIMTA must be instructed to take folic acid and vitamin B12 as a prophylactic measure to reduce treatment-related hematologic and GI toxicity *[see Dosage and Administration (2.3)]*. In clinical studies, less overall toxicity and reductions in Grade 3/4 hematologic and nonhematologic toxicities such as neutropenia, febrile neutropenia, and infection with Grade 3/4 neutropenia were reported when pretreatment with folic acid and vitamin B12 was administered.

(Approved Label for NDA 021462, lines 118-122.) The Approved Label goes on to instruct that "Patients must also receive one (1) intramuscular injection of vitamin B12 during the week preceding the first dose of ALIMTA and every 3 cycles thereafter." (Approved Label for NDA 021462, lines 33-34.) And that "Patients treated with ALIMTA must be instructed to take folic acid and vitamin B12 as a prophylactic measure to reduce treatment-related hematologic and gastrointestinal toxicity *[see Dosage and Administration (2.3)]*." (Approved Label for NDA 021462, lines 696-697.)

Table 8 of the Approved Label compares the incidence (percentage of patients) of CTC Grade 3/4 toxicities in patients who received vitamin supplementation with daily folic acid and vitamin B12 from the time of enrollment in the study (fully supplemented) with the incidence in

patients who never received vitamin supplementation (never supplemented) during the study in the ALIMTA plus cisplatin arm.

Table 8: Selected Grade 3/4 Adverse Events Comparing Fully Supplemented versus Never Supplemented Patients in the
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ALIME	a bura cushiann alun (aa mentence)	
Adverse Even? (%)	Fully Supplemented Patients (N=168)	Never Supplemented Patients (N=32)
Neutropenia/granulocytopenia	23	38
Thromborytopenia	5	ġ
Vonsting	11	38
Pessile neutropenis	£	40
Infection with Grade 3/4 seutropenis	0	6
Diarshes	4	9

\* Refet to NCI CTC criteria for lab and non-laboratory values for each grade of toxicity (Version 2.9).

Clearly, Applicants have made a significant discovery not obvious in view of the references cited in the Office Action. A skilled artisan would not have expected the reduction of severe toxicities associated with pemetrexed disodium administration, such as patient death, without reduction of pemetrexed disodium's efficacy. (See Specification at pg 15, lines 21-25 and pg 16, lines 6-9.) Under the Supreme Court's decision in *KSR*, the combination of a methylmalonic acid lowering agent, particularly vitamin B12 or a pharmaceutical derivative, and pemetrexed disodium does more than yield predictable results, the combination works together in an unexpected and fruitful manner. Therefore, the rejection is clearly improper and should be withdrawn.

# Rejection based upon Taylor in view of Tsao, Worzolla, Cleare, and general knowledge in the prior art

Because the combination of a methylmalonic acid lowering agent, folic acid, and pemetrexed disodium is not obvious to one of skill in the art under 35 U.S.C. 103(a), then the additional limitation introduced by the remaining dependent claims cannot be held obvious. (*See Callaway Golf Co. v. Acushnet Co.*, 576 F.3d 1331 at 1344, 91 U.S.P.Q.2d 1705 (Fed. Cir. 2009). Furthermore, the Examiner has misinterpreted the teaching of Worzalla. In addition to the arguments made *supra*, Worzolla et.al. discloses that the addition of folic acid may reduce the effectiveness of pemetrexed disodium. (See for example table 1 of Worzalla: for the 5 cancer celllines reported, increasing the folic acid concentration from 1 µm to 10 µm gives up to a 14-fold decrease in efficacy of pemetrexed disodium.) Worzolla provides no suggestion that lowering methylmalonic acid levels would further reduce associated toxicities while maintaining the therapeutic efficacy of pemetrexed disodium. Cleare does not disclose or provide rationale for the combination of platinum anti-tumor compounds with Applicant's claimed method of treating patients with pemetrexed disodium.

## NEPTUNE GENERICS 1002 - 00091 APOTEX 1002 - 0091

Additionally, the Examiner has presented no reason, as is required under *KSR* that the claimed dosing cycles and ranges are obvious. A *prima facie* case of obviousness requires supporting objective evidence to be sustained. An examiner must substantiate his or her "suspicions" or "hunches" on the basis of facts drawn from the prior art. Application of Lunsford, 53 C.C.P.A. 1011, 357 F.2d 385, 391, 148 U.S.P.Q. (BNA) 721, 725 (1966). Applicants respectfully assert that the Examiner's allegation that "readily optimized effective and concurrent administration dosage forms" are available in the art or are within "the ability of tasks routinely performed...without undue experimentation" does not rise to the level of "supporting objective evidence" under Application of Lunsford. Applicants respectfully submit that the Examiner could not arrive at the presently claimed invention, its dosing ranges and/or its cyclic administration.

#### **Conclusion**

Applicants respectfully contend that a *prima facie* case of obviousness has not been established, the Applicants' claimed invention is unobvious. A skilled artisan would not have expected the reduction of severe toxicities associated with pemetrexed disodium, such as patient death, without the expected effect of reduction of pemetrexed disodium's efficacy. The rejection is improper and should be withdrawn.

Entry of the amendments and allowance of the claims in view of the amendments and discussion *supra* are respectfully requested.

Respectfully submitted,

/Elizabeth A McGraw/

Elizabeth A. McGraw Attorney for Applicants Registration No. 44,646 Phone: 317-277-7443

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

November 13, 2009

Doc code: IDS

PTO/SB/08a (07-09)

Doc description: Information Disclosure Statement (IDS) Filed Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		11776329
	Filing Date		2007-07-11
	First Named Inventor	Clet N	liyikiza
	Art Unit		1614
	Examiner Name		
	Attorney Docket Number		Х14173В

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	Application Number		11776329	
	Filing Date		2007-07-11	
INFORMATION DISCLOSURE	First Named Inventor	Clet N	liyikiza	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614	
	Examiner Name			
	Attorney Docket Numb	er	X14173B	

		1	
	1	ALIMTA, NDA 021462, Approved Label of 07/02/2009.	
	2	"Clinical Chemistry: principle, procedures, correlations," 3rd edition, 1996, published by Lippincott: pp. 618-627.	
	3	Fluorouracil, Physicians Desk References, (c) 1998, pp 2463-2464.	
	4	HAMMOND, L., et al., "A phase I and pharmacokinetic (PK) study of the multitarget antifol (MTA) LY231514 with folic acid, " American Society of Clinical Oncology (ASCO) Meeting Abstract No. 866 (1998).	
	5	KISLIUK, RL., 1984. "The Biochemistry of Folates." In Sirotnak (Ed.), Folate Antagonists as Therapeutic Agents. pp. 2-68. Harcourt Brace Jovanovich, Publishers.	
	6	KISLIUK, RL., 1999. "Folate Biochemistry in RElation to Antifolate Selectivity." In Jackson (Ed.), Antifolate Drugs in Cancer Therapy. pp 13-36. Humana Press, New Jersey.	
	7	Leucovorin, Physicians Desk Reference, (c) 1999. pp 1389-1391.	
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	9	MORGAN, et al., "Folic acid supplementation prevent deficient blood folate levels and hyperhomocysteinemia during long-term, low dose methotrexate therapy for rheumatoid arthritis: implications for cardiovascular disease prevent," J. Rheumatol. 25:441-446. (1998).	
	10	NIYIKIZA, C., et al., "LY231514 (MTA): relationship of vitamin metabolite profile to toxicity," American Society of Clinical Oncology (ASCO) Meeting Abstract No. 2139 (1998).	
	11	Raltitrexed, The Complete Drug Reference, Martindale, 32nd Ed., Pharmaceutical Press, London, pp 560.	
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# NEPTUNE GENERICS 1002 - 00094

	Application Number		11776329
	Filing Date		2007-07-11
INFORMATION DISCLOSURE	First Named Inventor CI	First Named Inventor Clet Niyikiza	
(Not for submission under 37 CFR 1.99)	Art Unit		1614
	Examiner Name		
	Attorney Docket Number		X14173B

	12	SHIH, C., et al., "LY231514, a Pyrrolo[2,3-d]pyrimidine-based Antifolate that Inhibits Multiple Folate-requiring Enzymes," Cancer Research. 57:1116-1123. 1997.						
	13	SHIH, C., et al., "Preclinical Pharmacology Studies and the Clinical Development of a Novel Multitargeted Antifolate, MTA (LY231514)," In Jackson (Ed.), Antifolate Drugs in Cancer Therapy. pp 13-36. Humana Press, New Jersey.						
	14	VOLKOV, I., "The master key effect of vitamin B12 in treatment of malignancy - A potential therapy?", Medical Hypotheses. 70:324-328. 2008.						
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	Application Number		11776329	
	Filing Date		2007-07-11	
INFORMATION DISCLOSURE	First Named Inventor	Clet N	liyikiza	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614	
	Examiner Name			
	Attorney Docket Number		Х14173В	

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):
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That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

**X** Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Elizabeth A McGraw/	Date (YYYY-MM-DD)	2009-11-13
Name/Print	Elizabeth A. McGraw	Registration Number	44646

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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## NEPTUNE GENERICS 1002 - 00097 APOTEX 1002 - 0097

Electronic Patent Application Fee Transmittal							
Application Number:	11	776329					
Filing Date:	11.	Jul-2007					
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES						
First Named Inventor/Applicant Name:	Cle	t Niyikiza					
Filer:	Eliz	zabeth Ann McGraw	//Lisa Capps				
Attorney Docket Number:	X1	4173B					
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:	Petition:						
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

# NEPTUNE GENERICS 1002 - 00098 APOTEX 1002 - 0098

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
Total in USD (\$)				180

Electronic Acl	knowledgement Receipt
EFS ID:	6448216
Application Number:	11776329
International Application Number:	
Confirmation Number:	6568
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES
First Named Inventor/Applicant Name:	Clet Niyikiza
Customer Number:	25885
Filer:	Elizabeth Ann McGraw/Lisa Capps
Filer Authorized By:	Elizabeth Ann McGraw
Attorney Docket Number:	X14173B
Receipt Date:	13-NOV-2009
Filing Date:	11-JUL-2007
Time Stamp:	12:13:46
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	8616
Deposit Account	050840
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	Multip	art Description/PDF files in .	zip description					
	Document Des	scription	Start	Eı	nd			
	Amendment/Req. Reconsiderati	on-After Non-Final Reject	1		1			
	Claims		2		4			
	Applicant Arguments/Remarks	Made in an Amendment	5	1	2			
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9	NPL Documents	X14173BN08.pdf	2259207	no	7
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### NEPTUNE GENERICS 1002 - 00102

## APOTEX 1002 - 0102

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## **NEPTUNE GENERICS 1002 - 00104**

### **APOTEX 1002 - 0104**

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568
25885 ELI LILLY & (	7590 09/08/200 COMPANY	9	EXAN	IINER
PATENT DIVI P.O. BOX 6288			WEDDINGTO	DN, KEVIN E
	, IS, IN 46206-6288		ART UNIT	PAPER NUMBER
			1614	
			NOTIFICATION DATE	DELIVERY MODE
			09/08/2009	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)					
	11/776,329	NIYIKIZA ET AL.					
Office Action Summary	Examiner	Art Unit					
	KEVIN WEDDINGTON	1614					
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address					
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>							
Status							
1)⊠ Responsive to communication(s) filed on <u>04 M</u>	<u>ay 2009</u> .						
2a) This action is <b>FINAL</b> . 2b)⊠ This	action is non-final.						
3) Since this application is in condition for allowar	nce except for formal matters, pro	osecution as to the merits is					
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.					
Disposition of Claims							
4)⊠ Claim(s) <u>40-52</u> is/are pending in the application	٦.						
4a) Of the above claim(s) is/are withdraw							
5) Claim(s) is/are allowed.							
6) Claim(s) $\frac{40-52}{10}$ is/are rejected.							
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/or	r election requirement.						
Application Papers							
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) acc		Eveniner					
Applicant may not request that any objection to the							
Replacement drawing sheet(s) including the correct							
11) The oath or declaration is objected to by the Ex							
		Action of John PTO-152.					
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:	priority under 35 U.S.C. § 119(a)	)-(d) or (f).					
1. Certified copies of the priority documents	s have been received.						
2. Certified copies of the priority documents	s have been received in Applicati	on No					
3. Copies of the certified copies of the prior	rity documents have been receive	ed in this National Stage					
application from the International Bureau	ı (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list	of the certified copies not receive	ed.					
Attachment(s)							
1) Notice of References Cited (PTO-892)	4) 🗌 Interview Summary	(PTO-413)					
2) TNotice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da	ate					
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>5-4-09</u> .	5) 🔛 Notice of Informal F 6) 🔲 Other:	atent Application					
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NEPTUNE GENERICS 1002 - 00106 APOTEX 1002 - 0106 Application/Control Number: 11/776,329 Art Unit: 1614

Claims 40-52 are presented for examination.

Applicants' amendment, response and information disclosure statement filed May

4, 2009 have been received and entered.

Accordingly, the rejection made under 35 USC 112, first paragraph (Written

Description) as set forth in the previous Office action dated February 18, 2009 at pages

2-4 as applied to claim 45 is hereby withdrawn because the applicants amended claim

45 to recite the preferred folic-binding protein agent.

Accordingly, the rejection made under 35 USC 112, second paragraph as set

forth in the previous Office action dated February 18, 2009 at page 4 as applied to

claims 40-52 is hereby withdrawn because the applicants amended claim 40 by the

insertion of -lowering agent --.

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148

USPQ 459 (1966), that are applied for establishing a background for determining

obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 40-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (5,344,932) of PTO-1449 in view of Tsao et al., "Influence of Cobalamin on the Survival of Mice Bearing Ascites Tumor", Pathobiology, Vol. 61, No. 2, pp. 104-108 (1993) of PTO-1449, further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3255-3239 of PTO-1449, and further in view of Cleare et al. (4,149,707).

Taylor teaches N-(pyrrolo(2,3-D)pyrimidin-3-ylacyl)-glutamic acid derivatives which includes LY 2315 (pemetrexe) and LY 231514-disodium (pemetrexed disodium) are effective an antineoplastic agents to inhibit the growth of tumors (see column 8, lines 57-63). Note particularly column 8, lines 64-68 states that other antineoplastic agents can be combined with LY 231514. Note particularly column 9, line 1 shows the various modes of administration such as parenteral routes (intramuscular) and oral.

The instant invention differs from the cited reference in that the cited reference does not teach the addition of a methylmalonic acid lowering agent. However, the

### Application/Control Number: 11/776,329 Art Unit: 1614

secondary reference, Tsao et al., teaches a methylmalonic acid lowering agent such as cobalamin (vitamin B<sub>12</sub>) is effective as having antitumor activity (see the abstract).

The instant invention differs from the cited references in that the cited references do not teach the addition of a folic-binding-protein agent. However, the tertiary reference, Worzalla et al., teaches the supplementation of folic acid with LY 231513 to enhance LY 231514 antitumor activity.

The instant invention differs from the cited references in that the cited references do not teach the addition of cisplatin. However, the quaternary reference, Cleare et al., teaches malonato platinum anti-tumor compounds such as cisplatin to treat malignant tumors (see the abstract).

Clearly, one skilled in the art would have assumed the combination of three antineoplastic agents into a single composition would give an additive effect in the absence of evidence to the contrary.

The instant invention differ from the cited references in that the cited references do not teach the applicants' preferred dosage range for the methylmalonic acid lowering agent. However, those skilled in the art would have been readily optimized effective dosages and concurrent administration dosage forms as determined by good medical practice and the clinical condition of the individual patient. Regardless of the manner of administration, the specific dose may be calculated according to body weight, body surface area or organ size. Further refinement of the calculations necessary to determine the appropriate dosage for treatment involving each of the above mentioned

### Application/Control Number: 11/776,329 Art Unit: 1614

formulations is routinely made by those skilled in the art and is within the ability of tasks routinely performed by them without undue experimentation.

Claims 40-52 are not allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KEVIN WEDDINGTON whose telephone number is (571)272-0587. The examiner can normally be reached on 12:30 pm - 9:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin Marschel can be reached on (571)272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> KEVIN WEDDINGTON Primary Examiner Art Unit 1614

/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614 Application/Control Number: 11/776,329 Art Unit: 1614 Page 6

Index of Claims				. 11	Application/Control No. 11776329 Examiner					Applicant(s)/Patent Under Reexamination NIYIKIZA ET AL. Art Unit				r	
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Part of Paper No.: 20090901

# NEPTUNE GENERICS 1002 - 00112 APOTEX 1002 - 0112

				A	Application/Control No.				Applicant(s)/Patent Under Reexamination				
	Inc	lex of (	Claim	IS	1	11776329			NIYIKIZ	NIYIKIZA ET AL.			
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# NEPTUNE GENERICS 1002 - 00113 APOTEX 1002 - 0113

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11776329	NIYIKIZA ET AL.
	Examiner	Art Unit
	Kevin E Weddington	1614

Class	Subclass	Date	Examiner
514	52	2/11/09	KEW
514	77	2/11/09	KEW
514	249	2/11/09	KEW
514	251	2/11/09	KEW
514	265.1	2/11/09	KEW

SEARCH NOTES		
Search Notes	Date	Examiner
Consultation with parent applications, 10/297,821 and 11/288,807	2/11/09	KEW
EAST and PALM for Inventors' Names	2/11/09	KEW
CAS-ONLINE search with MEDLINE, CA and USPATALL	9/1/2009	KEW

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# NEPTUNE GENERICS 1002 - 00114 APOTEX 1002 - 0114

NOT A US	SPTO FO	ORM	Atty. Docket No. X14173B		Serial No 11/776329		
INFORMA IN AN AP		DISCLOSURE CITATION	First Applicant Clet Niyikiza				
			Application Date July 11, 2007			Group Art Unit	
			US Nat'l Entry (if a	US Nat'l Entry (if applicable)		1614	
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/K.W./	BA	WO 95/27723	10-19-1995							
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/K.W./	CA		POYDOCK M. Effect of combined ascorbic acid and B-12 on survival of mice with implanted Ehrlich carcinoma and L1210 leukemia. <i>Am J Clin Nutr</i> 1991; 54:							
	СВ	POYDOCK M, et al. Mitogenic inhibition and effect on survival of mice bearing L1210 leukemia using a combination of dehydroascorbic acid and hydroxycobalamin. <i>Am J Clin Oncol</i> 1985; 8: 2666-269.								
	CC	POYDOCK M, et al. Influence of Vitamins C and B12 on the Survival Rate of Mice Bearing Ascites Tumor. <i>Expl Cell Biol</i> 1982; 50:88-91.								
000000000000000000000000000000000000000	CD	TOOHEY J. Dehydro 263:164-169.	ascorbic acid as an a	nti-cancer agent. Car	acer Letters 2008;					
	CE	SALLAH S, et al. Intrathecal methotrexate-induced megaloblastic anemia in patients with acute leukemia. <i>Archives of Pathology &amp; Laboratory Medicine</i> 1999; 123(9): 774-777.								
	CF	NISHIZAWA Y, et al. Effects of methylcobalamin on the proliferation of androgen- sensitive or estrogen-sensitive malignant cells in culture and in vivo. <i>International</i> <i>Journal for Vitamin and Nutrition Research</i> 1997; 67(3):164-170.								
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	CI	SHIMIZU N, et al. Ex 1987; 44(3): 169-73.	perimental study of	antitumor effect of m	ethyl-B12. Oncology					
V	CJ		HERBERT, V. The role of vitamin B12 and folate in carcinogenesis. <i>Advances in</i> <i>Experimental Medicine and Biology</i> 1986; 206 (Essent. Nutr. Carcinog.), 293-311.							
/K.W./ CK KROES A, et al. Effects of 5-fluorouracil treatment of rat leukemia with concomitant inactivation of cobalamin. <i>Anticancer Research</i> 1986; 6(4): 737-42.										

/Kevin Weddington/

08/30/2009

NOT A USP	TO FOR	2M	Atty. Docket No.	Serial No			
			X14173B 11/776329				
		SCLOSURE CITATION	First Applicant				
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			Application Date	Group Art Unit			
			July 11, 2007				
			US Nat'l Entry (if applicable)	1614			
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NEPTUNE GENERICS 1002 - 00117

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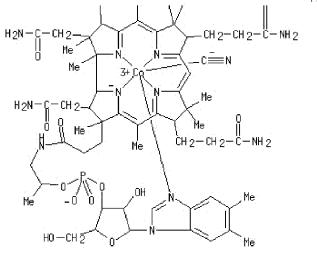
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E3	1> VITAMIN B12/CN			
E4	1 VITAMIN B12 (2-(METHYLTHIO)HYPOXANTHINE ANALOG)/CN			
E5	1 VITAMIN B12 (BENZOTRIAZOLE ANALOG)/CN			
E6	1 VITAMIN B12 5-HYDROXYBENZIMIDAZOLE ANALOG/CN			
E7	1 VITAMIN B12 ABC TRANSPORT ATP-BINDING PROTEIN (SALMONELLA EN			
E8	TERICA TYPHI STRAIN CT18 GENE STY1768)/CN 1 VITAMIN B12 ABC TRANSPORT ATP-BINDING PROTEIN (SALMONELLA EN			
	TERICA TYPHI STRAIN TY2 GENE BTUD)/CN			
E9	1 VITAMIN B12 ABC TRANSPORTER, ATP-BINDING PROTEIN BTUD (PHOTO			
	BACTERIUM PROFUNDUM STRAIN SS9 GENE SF1522)/CN			
E10	1 VITAMIN B12 ABC TRANSPORTER, ATP-BINDING PROTEIN BTUD (VIBRI			
E11	O CHOLERAE STRAIN N16961 GENE VC1245)/CN 1 VITAMIN B12 ABC TRANSPORTER, ATP-BINDING PROTEIN BTUD (VIBRI			
	O PARAHAEMOLYTICUS STRAIN O3:K6 GENE VP1312)/CN			
E12	1 VITAMIN B12 ABC TRANSPORTER, PERMEASE PROTEIN BTUC (PHOTOBAC			
	TERIUM PROFUNDUM STRAIN SS9 GENE SF1520)/CN			
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- CN Cobinamide, cyanide, dihydrogen phosphate (ester), inner salt, 3'-ester with 5,6-dimethyl-1- $\alpha$ -D-ribofuranosyl-1H-benzimidazole
- CN Cotel
- CN Covit CN Cromatonbic B12
- CN Crystamin
- CN Crystamine
- CN Cyano-5,6-dimethylbenzimidazolylcobamide
- CN Cyano-B12
- CN Cyanocobalamin
- CN Cyanocobalamine
- CN Cycolamin
- CN Cykobemin
- CN Cykobeminet
- CN Cyomin
- CN Cyredin
- CN Cytacon
- CN Cytamen
- Cytobion CN
- CN Depinar
- CN Dicopac Kit
- CN Dobetin
- CN Docemine
- CN Docibin
- CN Docigram
- ADDITIONAL NAMES NOT AVAILABLE IN THIS FORMAT Use FCN, FIDE, or ALL for DISPLAY
- DR 8023-26-5, 8039-03-0, 11037-08-4, 24436-34-8
- MF C63 H88 Co N14 O14 P
- CI
- CCS, COM STN Files: LС ADISINSIGHT, ADISNEWS, AGRICOLA, ANABSTR, AQUIRE, BEILSTEIN\*, SIN FILES: ADISINGIAL, ADISNEWS, ADRICOLA, ANADSIK, AQUIK, BELISTEIN BIOSIS, BIOTECHNO, CA, CABA, CAPLUS, CASREACT, CBNB, CHEMCATS, CHEMINFORMRX, CHEMLIST, CIN, CSCHEM, CSNB, DDFU, DRUGU, EMBASE, HSDB\*, IFICDB, IFIPAT, IFIUDB, IMSCOSEARCH, IPA, MEDLINE, MRCK\*, MSDS-OHS, PHAR, PIRA, PROMT, PS, RIECS\*, SPECINFO, SYNTHLINE, TOXCENTER, USAN, USPAT2, USPATFULL, USPATOLD, VETU (\*File contains numerically searchable property data) Other Sources: DSL\*\*, EINECS\*\*, TSCA\*\*, WHO (\*FERTOR CHEMLIST, Eine for up to data regulatory information)

(\*\*Enter CHEMLIST File for up-to-date regulatory information)

PAGE 1-A

PÁGE 2-A



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21671 REFERENCES IN FILE CA (1907 TO DATE) 401 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA 21717 REFERENCES IN FILE CAPLUS (1907 TO DATE)

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http://www.nlm.nih.gov/pubs/techbull/nd08/nd08 medline data changes 2009.html.

On February 21, 2009, MEDLINE was reloaded. See HELP RLOAD for details.

This file contains CAS Registry Numbers for easy and accurate substance identification.

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=> s l1 L2 16339 L1

- 0 COBOLAMIN
  - 0 AZIDOCOBOLAMIN
- L3 11438 (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCOBOL AMIN OR COBOLAMIN OR AZIDOCOBOLAMIN)

=> s 12 or 13 L4 20105 L2 OR L3

4

=> s (cancer or anti-neoplast? or neoplast? or carcin? or tumor?) 702915 CANCER 766313 ANTI 146280 NEOPLAST? 1149 ANTI-NEOPLAST? (ANTI(W)NEOPLAST?) 146280 NEOPLAST? 601058 CARCIN? 980216 TUMOR? L5 1707973 (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?) => s 14 and 15 773 L4 AND L5 1.6 => s leukemia? L7212559 LEUKEMIA? => s 16 and 17 66 L6 AND L7 г8 => d 1-66г8 ANSWER 1 OF 66 MEDLINE on STN Full Text AN 2008123050 MEDLINE PubMed ID: 18280345 DN ΤI CD4+ CD56+ hematodermic/plasmacytoid dendritic cell tumor with response to pralatrexate. ΑIJ Leitenberger Justin J; Berthelot Cindy N; Polder Kristel D; Pro Barbara; McLaughlin Peter; Jones Dan; Duvic Madeleine Department of Dermatology, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030-4009, USA. CS NC CA16672 (United States NCI NIH HHS) K24-CA86815 (United States NCI NIH HHS) SO Journal of the American Academy of Dermatology, (2008 Mar) Vol. 58, No. 3, pp. 480-4. Journal code: 7907132. E-ISSN: 1097-6787. CY United States (CASE REPORTS) DT Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, N.I.H., EXTRAMURAL) (RESEARCH SUPPORT, NON-U.S. GOV'T) LΑ English FS Priority Journals ΕM 200803 ΕD Entered STN: 20 Feb 2008 Last Updated on STN: 15 Mar 2008 Entered Medline: 14 Mar 2008 Г8 ANSWER 2 OF 66 MEDLINE on STN Full Text 2007755529 AN MEDLINE PubMed ID: 18092842 DN Generalized pruritus: a prospective study concerning etiology. ΤI AU Polat Muhterem; Oztas Pinar; Ilhan Mustafa N; Yalcin Basak; Alli Nuran CS 1st Dermatology Department, Ankara Numune Education and Research Hospital, Ankara, Turkey. <u>drmuhterempolat@mynet.com</u> American journal of clinical dermatology, (2008) Vol. 9, No. 1, pp. 39-44. SO Journal code: 100895290. ISSN: 1175-0561. CY New Zealand DT Journal; Article; (JOURNAL ARTICLE) LΑ English FS Priority Journals ΕM 200803 ΕD Entered STN: 21 Dec 2007 Last Updated on STN: 19 Mar 2008 Entered Medline: 18 Mar 2008 L8ANSWER 3 OF 66 MEDLINE on STN Full Text 2003557044 MEDLINE AN DN PubMed ID: 14636871

5

## NEPTUNE GENERICS 1002 - 00121 APOTEX 1002 - 0121

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Significance of elevated cobalamin (vitamin B12) levels in blood.
ΤT
     Ermens A A M; Vlasveld L T; Lindemans J
AU
     Clinical Laboratory, Amphia Hospital, lokatie Langendijk, Breda,
CS
     Netherlands.. aermens@amphia.nl
     Clinical biochemistry, (2003 Nov) Vol. 36, No. 8, pp. 585-90. Ref: 42
SO
     Journal code: 0133660. ISSN: 0009-9120.
CY
     United States
DT
     Journal; Article; (JOURNAL ARTICLE)
     General Review; (REVIEW)
LА
     English
FS
     Priority Journals
ΕM
     200409
     Entered STN: 26 Nov 2003
ED
     Last Updated on SIN: 21 Sep 2004
     Entered Medline: 17 Sep 2004
г8
     ANSWER 4 OF 66
                        MEDLINE on STN
<u>Full</u>
    Text
     2003214619
AN
                    MEDLINE
DN
     PubMed ID: 12735212
     Erythropoietin and chronic lymphocytic leukemia.
ΤI
AU
     Mauro Francesca R; Gentile Massimo; Foa Robin
CS
     Dipartimento di Biotecnologie Cellulari ed Ematologia, University La
     Sapienza, Rome, Italy.
SO
     Reviews in clinical and experimental hematology, (2002) Vol. Suppl 1, pp.
     21-31. Ref: 58
     Journal code: 9815344. ISSN: 1127-0020.
СҮ
     Italv
DT
     Journal; Article; (JOURNAL ARTICLE)
     General Review; (REVIEW)
     English
LA
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     Priority Journals
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     200307
     Entered STN: 9 May 2003
ED
     Last Updated on STN: 13 Jul 2003
     Entered Medline: 11 Jul 2003
г8
     ANSWER 5 OF 66
                        MEDLINE on STN
Full
     Text
     2002390475
AN
                    MEDLINE
     PubMed ID: 12138901
DN
ΤI
     A case of acute myeloid leukemia with t(7;11)(p15;p15) mimicking myeloid
     crisis of chronic myelogenous leukemia.
     Kawakami Keiki; Miyanishi Setsuko; Nishii Kazuhiho; Usui Eiji; Murata
AH
     Tetsuya; Shinsato Isaku; Shiku Hiroshi
CS
     Division of Hematology, Suzuka General Hospital, Mie, Japan..
     Kawakei@cocoa.ocn.ne.jp
     International journal of hematology, (2002 Jul) Vol. 76, No. 1, pp. 80-3.
SO
     Journal code: 9111627. ISSN: 0925-5710.
СҮ
     Ireland
DT
     (CASE REPORTS)
     Journal; Article; (JOURNAL ARTICLE)
LΑ
     English
FS
     Priority Journals
EM
     200209
ED
     Entered STN: 26 Jul 2002
     Last Updated on STN: 14 Sep 2002
     Entered Medline: 13 Sep 2002
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     ANSWER 6 OF 66
                        MEDLINE on STN
Full
     Text
     2002181127
                    MEDLINE
AN
     PubMed ID: 11913109
DN
     [The significance of an elevated cobalamin concentration in the blood].
ΤT
     De betekenis van een te hoge cobalamineconcentratie in het bloed.
     Ermens A A M; Vlasveld L Th; van Marion-Kievit J A; Lensen C J P A;
AIJ
     Lindemans J
CS
     Amphia Ziekenhuis, Klinisch-Chemisch en Hematologisch Laboratorium,
     locatie Langendijk, Langendijk 75, 4819 EV Breda.
     Nederlands tijdschrift voor geneeskunde, (2002 Mar 9) Vol. 146, No. 10,
SO
     pp. 459-64.
     Journal code: 0400770. ISSN: 0028-2162.
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6

### NEPTUNE GENERICS 1002 - 00122

CY Netherlands DT (ENGLISH ABSTRACT) Journal; Article; (JOURNAL ARTICLE) LA Dutch FS Priority Journals ΕM 200207 ED Entered STN: 1 Apr 2002 Last Updated on STN: 12 Jul 2002 Entered Medline: 10 Jul 2002 ANSWER 7 OF 66 T.8 MEDLINE on STN Full Text AN 2000188210 MEDLINE PubMed ID: 10723243 DN ТΤ Rapidly progressive, refractory eosinophilia with a 250,000/microliter eosinophil count. Noguchi M; Okumura K; Kato A; Hirano T; Oshimi K Department of Hematology, Juntendo University School of Medicine. AU CS SO [Rinsho ketsueki] The Japanese journal of clinical hematology, (2000 Feb) Vol. 41, No. 2, pp. 135-9. Journal code: 2984782R. ISSN: 0485-1439. Japan СҮ DT (CASE REPORTS) (ENGLISH ABSTRACT) Journal; Article; (JOURNAL ARTICLE) I.A Japanese FS Priority Journals ΕM 200005 Entered STN: 18 May 2000 Last Updated on STN: 18 May 2000 ΕD Entered Medline: 5 May 2000 г8 ANSWER 8 OF 66 MEDLINE on STN Full Text AN 1998291239 MEDLINE DN PubMed ID: 9627769 ТΤ Cobalamin metabolism in methionine-dependent human tumour and leukemia cell lines. Watkins D AU Department of Medicine, McGill University, Montreal, Que. CS SO Clinical and investigative medicine. Medecine clinique et experimentale, (1998 Jun) Vol. 21, No. 3, pp. 151-8. Journal code: 7804071. ISSN: 0147-958X. СҮ Canada Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, NON-U.S. GOV'T) DTLΑ English FS Priority Journals ΕM 199808 Entered SIN: 3 Sep 1998 ΕD Last Updated on STN: 3 Sep 1998 Entered Medline: 27 Aug 1998 ANSWER 9 OF 66 Г8 MEDLINE on STN Full Text AN 1998287116 MEDLINE PubMed ID: 9625434 DN Synthesis, characterization and nitric oxide release profile of ΤI nitrosylcobalamin: a potential chemotherapeutic agent. AU Bauer J A Department of Chemistry, University of Akron, OH 44325-3601, USA. Anti-cancer drugs, (1998 Mar) Vol. 9, No. 3, pp. 239-44. CS SO Journal code: 9100823. ISSN: 0959-4973. СҮ ENGLAND: United Kingdom DT Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals ΕM 199807 Entered STN: 11 Aug 1998 Last Updated on STN: 11 Aug 1998 ED Entered MedLine: 29 Jul 1998

7

г8 ANSWER 10 OF 66 MEDLINE on STN Full Text MEDLINE AN 1997450846 PubMed ID: 9307287 DN Cobalamin analogues modulate the growth of leukemia cells in vitro. ΤT AU McLean G R; Pathare P M; Wilbur D S; Morgan A C; Woodhouse C S; Schrader J W; Ziltener H J The Biomedical Research Centre, University of British Columbia, Vancouver, CS Canada. Cancer research, (1997 Sep 15) Vol. 57, No. 18, pp. 4015-22. Journal code: 2984705R. ISSN: 0008-5472. SO СҮ United States Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, NON-U.S. GOV'T) DT LΑ Enalish FS Priority Journals ΕM 199710 Entered STN: 5 Nov 1997 ED Last Updated on STN: 5 Nov 1997 Entered Medline: 20 Oct 1997 г8 ANSWER 11 OF 66 MEDLINE on STN Full Text 1997132938 AN MEDLINE DN PubMed ID: 8978297 Antibodies to transcobalamin II block in vitro proliferation of leukemic ΤТ cells. AU McLean G R; Quadros E V; Rothenberg S P; Morgan A C; Schrader J W; Ziltener H J CS Biomedical Research Centre, University of British Columbia, Vancouver, Canada. NC R01-DK28561-14 (United States NIDDK NIH HHS) Blood, (1997 Jan 1) Vol. 89, No. 1, pp. 235-42. Journal code: 7603509. ISSN: 0006-4971. SO СҮ United States Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, NON-U.S. GOV'T) (RESEARCH SUPPORT, U.S. GOV'T, P.H.S.) DT LA English Abridged Index Medicus Journals; Priority Journals FS 199701 ΕM ΕD Entered STN: 19 Feb 1997 Last Updated on STN: 19 Feb 1997 Entered Medline: 27 Jan 1997 Γ8 ANSWER 12 OF 66 MEDLINE on STN Full Text 1994083898 AN MEDLINE PubMed ID: 8260900 DN ΤI Induction of differentiation of myeloid leukemic cells by busulphan: in vivo and in vitro observations. Michaeli J; Fibach E; Rachmilewitz E A AU Department of Hematology, Hadassah University Hospital, Jerusalem, Israel. Leukemia & lymphoma, (1993 Oct) Vol. 11, No. 3-4, pp. 287-91. Journal code: 9007422. ISSN: 1042-8194. CS SO CY Switzerland (CASE REPORTS) DT Journal; Article; (JOURNAL ARTICLE) English LΑ FS Priority Journals БM 199401 Entered STN: 9 Feb 1994 ED Last Updated on STN: 3 Feb 1997 Entered Medline: 25 Jan 1994 г8 ANSWER 13 OF 66 MEDLINE on STN Full Text 1994030584 AN MEDLINE DN PubMed ID: 8216825 ΤI Influence of cobalamin on the survival of mice bearing ascites tumor. Tsao C S; Myashita K AU CS Linus Pauling Institute of Science and Medicine, Palo Alto, Calif. 94306.

8

## NEPTUNE GENERICS 1002 - 00124

Pathobiology : journal of immunopathology, molecular and cellular biology, (1993) Vol. 61, No. 2, pp. 104-8. SO Journal code: 9007504. ISSN: 1015-2008. СҮ Switzerland (COMPARATIVE STUDY) DT Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, NON-U.S. GOV'T) LA English FS Priority Journals ЕM 199312 ED Entered SIN: 17 Jan 1994 Last Updated on STN: 17 Jan 1994 Entered Medline: 17 Dec 1993 T.8 ANSWER 14 OF 66 MEDLINE on STN Full Text AN 1993231290 MEDLINE PubMed ID: 8472808 DN ΤI Misincorporation of uracil into the DNA of folate- and B12-deficient HL60 cells. AH Wickramasinghe S N; Fida S CS Dept. of Haematology, St. Mary's Hospital Medical School, Imperial College of Science, Technology & Medicine, London, U.K. European journal of haematology, (1993 Mar) Vol. 50, No. 3, pp. 127-32. Journal code: 8703985. ISSN: 0902-4441. SO CY Denmark DT Journal; Article; (JOURNAL ARTICLE) English LA Priority Journals FS ΕM 199305 Entered STN: 4 Jun 1993 ED Last Updated on SIN: 3 Feb 1997 Entered Medline: 20 May 1993 г8 ANSWER 15 OF 66 MEDLINE on STN Full Text. 1993043071 AN MEDLINE DN PubMed ID: 1421179 Effects of cobalamin, cobalamin analogues and cobalamin binding proteins ΤI on P388D1 mouse leukemic cells in culture. AU Kondo H; Iseki T; Goto S; Ohto M; Okuda K Department of Medicine, Shimizu Kousei Hospital, Shizuoka, Japan. International journal of hematology, (1992 Oct) Vol. 56, No. 2, pp. CS SO 167-77. Journal code: 9111627. ISSN: 0925-5710. CY Netherlands DT Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, NON-U.S. GOV'T) LΑ English FS Priority Journals ΕM 199212 Entered STN: 22 Jan 1993 ED Last Updated on STN: 3 Feb 1997 Entered Medline: 4 Dec 1992 т.8 ANSWER 16 OF 66 MEDLINE on STN Full Text 1992292362 MEDLINE AN DN PubMed ID: 1602609 Atypical leukemia accompanied by vitamin B12 deficiency. ΤI Tsukamoto N; Inose K; Matsushima T; Uchiyama T; Sugita Y; Takeuchi T; Sato AU S; Omine M; Naruse T CS Division of Internal Medicine, Takasaki National Hospital. [Rinsho ketsueki] The Japanese journal of clinical hematology, (1992 Apr) SO Vol. 33, No. 4, pp. 461-6. Journal code: 2984782R. ISSN: 0485-1439. CY Japan (CASE REPORTS) DT (ENGLISH ABSTRACT) Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, NON-U.S. GOV'T) LΑ Japanese

9

**APOTEX 1002 - 0125** 

## NEPTUNE GENERICS 1002 - 00125

FS Priority Journals БM 199207 ΕD Entered STN: 24 Jul 1992 Last Updated on STN: 24 Jul 1992 Entered Medline: 14 Jul 1992 г8 ANSWER 17 OF 66 MEDLINE on STN <u>Text</u> Full AN 1992159815 MEDLINE DN PubMed ID: 2133609 ΤТ [Chronic lymphocytic leukemia complicated by pernicious anemia during long-term remission]. Hronicna limfocitna leukemija komplikovana pojavom perniciozne anemije u toku dugotrajne remisije. AU Ruvidic R; Boskovic D Institute of Hematology, University Clinical Centre, Belgrade. CS SO Srpski arhiv za celokupno lekarstvo, (1990 Nov-Dec) Vol. 118, No. 11-12, pp. 495-7. Journal code: 0027440. ISSN: 0370-8179. СҮ Yugoslavia (CASE REPORTS) DT (ENGLISH ABSTRACT) Journal; Article; (JOURNAL ARTICLE) LA Serbian FS Priority Journals 199203 ΕM ED Entered STN: 10 Apr 1992 Last Updated on STN: 10 Apr 1992 Entered Medline: 25 Mar 1992 ANSWER 18 OF 66 г.8 MEDLINE on STN Full Text AN 1992074415 MEDLINE PubMed ID: 1962580 DN ΤI Effect of combined ascorbic acid and B-12 on survival of mice with implanted Ehrlich carcinoma and L1210 leukemia. ATT Poydock M E Cancer Research Institute, Mercyhurst College, Erie, PA 16546. CS SO The American journal of clinical nutrition, (1991 Dec) Vol. 54, No. 6 Suppl, pp. 12615-1265S. Journal code: 0376027. ISSN: 0002-9165. СҮ United States DT Journal; Article; (JOURNAL ARTICLE) LA English FS Abridged Index Medicus Journals; Priority Journals ΕM 199201 Entered STN: 24 Jan 1992 ED Last Updated on STN: 24 Jan 1992 Entered Medline: 6 Jan 1992  $\Gamma 8$ ANSWER 19 OF 66 MEDLINE on STN Full Text AN 1991203220 MEDLINE PubMed ID: 2016907 DN ΤI Effect of nitrous oxide and methotrexate on folate coenzyme pools of blast cells from **leukemia** patients. Ermens A A; Schoester M; Lindemans J; Abels J Institute of Hematology, Erasmus University, Rotterdam, The Netherlands. Leukemia research, (1991) Vol. 15, No. 2-3, pp. 165-71. Journal code: 7706787. ISSN: 0145-2126. AU CS SO CY ENGLAND: United Kingdom Journal; Article; (JOURNAL ARTICLE) DT English LA FS Priority Journals ΕM 199105 Entered STN: 7 Jun 1991 Last Updated on STN: 6 Feb 1998 ED Entered Medline: 17 May 1991 Γ8 ANSWER 20 OF 66 MEDLINE on STN Full Text AN 1991166723 MEDLINE

10

## NEPTUNE GENERICS 1002 - 00126 APOTEX 1002 - 0126

PubMed ID: 2076192 DN ΤI Cytotoxic activity of cobalamin in cultured malignant and nonmalignant cells. AU Tsao C S; Miyashita K; Young M Linus Pauling Institute of Science and Medicine, Palo Alto, Calif. CS Pathobiology : journal of immunopathology, molecular and cellular biology, (1990) Vol. 58, No. 5, pp. 292-6. Journal code: 9007504. ISSN: 1015-2008. SO СҮ Switzerland Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, NON-U.S. GOV'T) DT LA English FS Priority Journals ΕM 199104 ED Entered STN: 12 May 1991 Last Updated on SIN: 3 Feb 1997 Entered Medline: 25 Apr 1991 г8 ANSWER 21 OF 66 MEDLINE on STN Full Text 1991136708 AN MEDLINE DN PubMed ID: 2285461 ΤI [Peripheral pancytopenia]. Pancitopenia periferica. Bello-Gonzalez S A; Berges-Garcia A AU Depto. de Investigaciones Hematologicas, Hospital Infantil de Mexico CS Federico Gomez, Mexico, D.F. SO Boletin medico del Hospital Infantil de Mexico, (1990 Nov) Vol. 47, No. 11, pp. 737-45. Ref: 82 Journal code: 0414106. ISSN: 0539-6115. CY Mexico DT (ENGLISH ABSTRACT) Journal; Article; (JOURNAL ARTICLE) General Review; (REVIEW) LA Spanish FS Priority Journals ΕM 199103 ΕD Entered STN: 12 Apr 1991 Last Updated on STN: 12 Apr 1991 Entered Medline: 28 Mar 1991 Г8 ANSWER 22 OF 66 MEDLINE on STN Full Text 1991028218 AN MEDITNE PubMed ID: 2171697 DN [Active transport of cobalamins in leukemic cells of L-1210 mice]. ΤI Aktivnyi transport kobalaminov v leikemicheskie kletki myshei L-1210. ΑIJ Oreshkin A E; Miasishcheva N V Biulleten' eksperimental'noi biologii i meditsiny, (1990 Jul) Vol. 110, SO No. 7, pp. 85-7. Journal code: 0370627. ISSN: 0365-9615. СҮ USSR (COMPARATIVE STUDY) DT (ENGLISH ABSTRACT) Journal; Article; (JOURNAL ARTICLE) LA Russian FS Priority Journals 199012 ΕM Entered STN: 8 Feb 1991 ED Last Updated on STN: 3 Feb 1997 Entered Medline: 10 Dec 1990 г8 ANSWER 23 OF 66 MEDLINE on STN Full Text 1991002892 AN MEDLINE PubMed ID: 2169922 DN Expression of transcobalamin II receptors by human leukemia K562 and ΤT HL-60 cells. AU Amagasaki T; Green R; Jacobsen D W Department of Laboratory Hematology, Cleveland Clinic Foundation, OH CS 44195-5139. NC DK35265 (United States NIDDK NIH HHS)

11

NEPTUNE GENERICS 1002 - 00127

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Blood, (1990 Oct 1) Vol. 76, No. 7, pp. 1380-6.
SO
     Journal code: 7603509. ISSN: 0006-4971.
CY
     United States
     Journal; Article; (JOURNAL ARTICLE)
(RESEARCH SUPPORT, NON-U.S. GOV'T)
DT
      (RESEARCH SUPPORT, U.S. GOV'T, P.H.S.)
LA
     English
FS
     Abridged Index Medicus Journals; Priority Journals
ΕM
     199011
ED
     Entered STN: 17 Jan 1991
     Last Updated on STN: 17 Jan 1991
     Entered Medline: 6 Nov 1990
Г8
     ANSWER 24 OF 66
                            MEDLINE on STN
Full Text
ΔN
     1990266154
                      MEDLINE
DN
     PubMed ID: 2189194
     Nitrous oxide: a cause of cancer or chemotherapeutic adjuvant?.
ΤT
AU
     Koblin D D
CS
     Department of Anesthesia, Veterans Administration Medical Center, San
     Francisco, CA 94121.
NC
     P01 AG3104 (United States NIA NIH HHS)
     Seminars in surgical oncology, (1990) Vol. 6, No. 3, pp. 141-7. Ref: 56
SO
     Journal code: 8503713. ISSN: 8756-0437.
СҮ
     United States
     Journal; Article; (JOURNAL ARTICLE)
(RESEARCH SUPPORT, NON-U.S. GOV'T)
(RESEARCH SUPPORT, U.S. GOV'T, NON-P.H.S.)
DT
      (RESEARCH SUPPORT, U.S. GOV'T, P.H.S.)
     General Review; (REVIEW)
     English
LA
FS
     Priority Journals
EM
     199006
     Entered STN: 10 Aug 1990
ED
     Last Updated on STN: 10 Aug 1990
     Entered Medline: 29 Jun 1990
Г8
     ANSWER 25 OF 66
                            MEDLINE on STN
     Text
Full
AN
      1990070919
                      MEDLINE
     PubMed ID: 2588735
DN
ΤT
      [Disorders of intestinal absorption in patients treated with cytostatic
      chemotherapy].
     Storungen der intestinalen Resorption bei Patienten unter zytostatischer
     Chemotherapie.
     Hurter T; Reis H E; Borchard F
Medizinische Klinik I an den Medizinischen Einrichtungen der RWTH Aachen.
AU
CS
     Zeitschrift fur Gastroenterologie, (1989 Oct) Vol. 27, No. 10, pp. 606-10.
SO
     Journal code: 0033370. ISSN: 0044-2771.
GERMANY, WEST: Germany, Federal Republic of
СҮ
DT
      (ENGLISH ABSTRACT)
     Journal; Article; (JOURNAL ARTICLE)
LΑ
     German
FS
     Priority Journals
ΕM
     199001
ED
     Entered STN: 28 Mar 1990
     Last Updated on STN: 28 Mar 1990
     Entered Medline: 4 Jan 1990
^{\rm L8}
     ANSWER 26 OF 66
                            MEDLINE on STN
Full
     Text
      1990032992
AN
                      MEDLINE
     PubMed ID: 2553457
DN
     Uptake of transcobalamin II-bound cobalamin by HL-60 cells: effects of
ΤT
     differentiation induction.
     Lindemans J; Kroes A C; van Geel J; van Kapel J; Schoester M; Abels J
Institute of Hematology, Erasmus University Rotterdam, The Netherlands.
AU
CS
     Experimental cell research, (1989 Oct) Vol. 184, No. 2, pp. 449-60.
SO
     Journal code: 0373226. ISSN: 0014-4827.
CY
     United States
DT
     Journal; Article; (JOURNAL ARTICLE)
LA.
     English
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12

### NEPTUNE GENERICS 1002 - 00128

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FS
    Priority Journals
ΕM
    198912
     Entered STN: 28 Mar 1990
ΕD
     Last Updated on STN: 3 Feb 1997
     Entered Medline: 1 Dec 1989
г8
     ANSWER 27 OF 66
                            MEDLINE on STN
Full Text
AN
     1989336663
                      MEDLINE
DN
     PubMed ID: 2758400
     Spontaneous chromosome fragility in band 3q21, 11p11, or 11q13 of cultured
ΤТ
     bone marrow cells from two patients with hematologic disorders.
     Abe S; Nishida-Umehara C; Tamura T; Mikuni C; Sasaki M
Chromosome Research Unit, Faculty of Science, Hokkaido University,
AU
CS
     Sapporo, Japan.
     Cancer genetics and cytogenetics, (1989 Jul 1) Vol. 40, No. 1, pp. 47-53.
SO
     Journal code: 7909240. ISSN: 0165-4608.
CY
     United States
DT
      (CASE REPORTS)
     Journal; Article; (JOURNAL ARTICLE)
(RESEARCH SUPPORT, NON-U.S. GOV'T)
LA
     English
FS
     Priority Journals
ΕM
     198909
ΕD
     Entered STN: 9 Mar 1990
     Last Updated on STN: 29 Jan 1999
     Entered Medline: 20 Sep 1989
T.8
     ANSWER 28 OF 66
                            MEDLINE on STN
Full
     Text
      1989276217
                       MEDLINE
AN
DN
     PubMed ID: 2543552
ΤI
     Detection and characteristics of DNA polymerase activity in serum from
     patients with malignant, viral, or B12-deficiency disease.
Neumuller M; Kallander C F; Gronowitz J S
AU
     Department of Medical Virology, Biomedical Center, Uppsala University,
CS
     Sweden.
     Enzyme, (1989) Vol. 41, No. 1, pp. 6-16.
SO
     Journal code: 1262265. ISSN: 0013-9432.
СҮ
     Switzerland
     Journal; Article; (JOURNAL ARTICLE)
(RESEARCH SUPPORT, NON-U.S. GOV'T)
DT
LΑ
     English
FS
     Priority Journals
ΕM
     198907
ΕD
     Entered STN: 9 Mar 1990
     Last Updated on STN: 6 Feb 1998
     Entered Medline: 27 Jul 1989
Г8
     ANSWER 29 OF 66 MEDLINE on STN
Full Text
AN
      1989275033
                       MEDLINE
     PubMed ID: 2731156
DN
     Nitrous oxide selectively reduces the proliferation of the malignant cells
ΤI
     in experimental rat leukemia.
     Ermens A A; Vink N; Schoester M; van Lom K; Lindemans J; Abels J
Institute of Hematology, Erasmus University Rotterdam, The Netherlands.
AU
CS
     Cancer letters, (1989 May) Vol. 45, No. 2, pp. 123-8.
Journal code: 7600053. ISSN: 0304-3835.
SO
СҮ
     Netherlands
     Journal; Article; (JOURNAL ARTICLE)
DT
LΑ
     English
FS
     Priority Journals
ΕM
     198907
ΕD
     Entered STN: 9 Mar 1990
     Last Updated on STN: 3 Feb 1997
     Entered Medline: 20 Jul 1989
L8
     ANSWER 30 OF 66
                            MEDLINE on STN
Full Text
     1989111624
                       MEDLINE
AN
DN
     PubMed ID: 3216671
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13

Effect of cobalamin inactivation on folate metabolism of leukemic cells. ΤT AU Ermens A A; Kroes A C; Schoester M; van Lom K; Lindemans J; Abels J CS Institute of Hematology, Erasmus University Rotterdam, The Netherlands. Leukemia research, (1988) Vol. 12, No. 11-12, pp. 905-10. Journal code: 7706787. ISSN: 0145-2126. SO CY ENGLAND: United Kingdom DT Journal; Article; (JOURNAL ARTICLE) LA Enalish FS Priority Journals ЕM 198903 ED Entered SIN: 8 Mar 1990 Last Updated on STN: 3 Feb 1997 Entered Medline: 1 Mar 1989 T.8 ANSWER 31 OF 66 MEDLINE on STN Full Text AN 1986321824 MEDLINE PubMed ID: 3752954 DN ΤI Effects of 5-fluorouracil treatment of rat leukemia with concomitant inactivation of cobalamin. AH Kroes A C; Ermens A A; Lindemans J; Abels J SO Anticancer research, (1986 Jul-Aug) Vol. 6, No. 4, pp. 737-42. Journal code: 8102988. ISSN: 0250-7005. CY Greece Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, NON-U.S. GOV'T) DT LA English FS Priority Journals 198610 ΕM ΕD Entered STN: 21 Mar 1990 Last Updated on STN: 21 Mar 1990 Entered Medline: 10 Oct 1986 ANSWER 32 OF 66 г8 MEDLINE on STN Full Text 1986247319 AN MEDLINE PubMed ID: 3720639 DN ΤI [Kinetics of 57Co-cyanocobalamin distribution in the organs and tissues of mice with transplanted tumors]. Kinetika raspredeleniia 57Co-tsianokobalamina v organakh i tkaniakh myshei s perevivaemymi opukholiami. AU Vares Iu V; Miasishcheva N V SO Eksperimental nai a onkologii a, (1986) Vol. 8, No. 3, pp. 33-6. Journal code: 8406659. ISSN: 0204-3564. СҮ USSR DT (COMPARATIVE STUDY) (ENGLISH ABSTRACT) Journal; Article; (JOURNAL ARTICLE) Russian LΑ FS Priority Journals ΕM 198608 Entered STN: 21 Mar 1990 ED Last Updated on STN: 3 Feb 1997 Entered Medline: 14 Aug 1986 т.8 ANSWER 33 OF 66 MEDLINE on STN Full Text 1986217806 MEDLINE AN DN PubMed ID: 3458528 ΤT Factors influencing leukemic transformation in refractory anemias with excess of blasts, with ringed sideroblasts, and without ringed sideroblasts. Oguma S; Yoshida Y; Uchino H; Maekawa T Cancer research, (1986 Jul) Vol. 46, No. 7, pp. 3698-700. AU SO Journal code: 2984705R. ISSN: 0008-5472. CY United States Journal; Article; (JOURNAL ARTICLE) DT (RESEARCH SUPPORT, NON-U.S. GOV'T) LΑ English FS Priority Journals 198607 ΕM ED Entered STN: 21 Mar 1990

14

## NEPTUNE GENERICS 1002 - 00130

Last Updated on STN: 21 Mar 1990 Entered Medline: 23 Jul 1986 г8 ANSWER 34 OF 66 MEDLINE on STN Full Text AN 1986022753 MEDLINE DN PubMed ID: 4050746 ТΤ Mitogenic inhibition and effect on survival of mice bearing L1210 leukemia using a combination of dehydroascorbic acid and hydroxycobalamin. ΑIJ Poydock M E; Harguindey S; Hart T; Takita H; Kelly D SO American journal of clinical oncology, (1985 Jun) Vol. 8, No. 3, pp. 266 - 9. Journal code: 8207754. ISSN: 0277-3732. CY United States Journal; Article; (JOURNAL ARTICLE) DT (RESEARCH SUPPORT, NON-U.S. GOV'T) English LΑ FS Priority Journals ΕM 198511 Entered STN: 21 Mar 1990 ED Last Updated on STN: 21 Mar 1990 Entered Medline: 14 Nov 1985 г8 ANSWER 35 OF 66 MEDLINE on STN Full Text AN 1984280758 MEDLINE PubMed ID: 6590092 DN Acute myelogenous leukaemia modulated by B12 deficiency: a case with bone ΤT marrow blast cell assay corroboration. Ahmann F R; Durie B G AU SO British journal of haematology, (1984 Sep) Vol. 58, No. 1, pp. 91-4. Journal code: 0372544. ISSN: 0007-1048. ENGLAND: United Kingdom CY DT (CASE REPORTS) Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals 198410 EΜ Entered STN: 20 Mar 1990 ED Last Updated on STN: 20 Mar 1990 Entered Medline: 24 Oct 1984 ANSWER 36 OF 66 т.8 MEDLINE on STN Full Text AN 1984228545 MEDLINE PubMed ID: 6731467 DN ТΤ Unusual case of acute leukemia. Coexisting acute leukemia and pernicious anemia. AU Vogelsang G B; Spivak J L The American journal of medicine, (1984 Jun) Vol. 76, No. 6, pp. 1144-50. SO Journal code: 0267200. ISSN: 0002-9343. CY United States (CASE REPORTS) DT Journal; Article; (JOURNAL ARTICLE) LA English Abridged Index Medicus Journals; Priority Journals FS 198407 ΕM ED Entered STN: 20 Mar 1990 Last Updated on STN: 20 Mar 1990 Entered Medline: 17 Jul 1984 ANSWER 37 OF 66 г8 MEDLINE on STN <u>Full</u> Text AN 1984196444 MEDLINE DN PubMed ID: 6326284 [Changes in the mean corpuscular volume during the cytotoxic treatment of ΤT cancer and risk of secondary leukemia. Preliminary results]. L'evolution du volume globulaire moyen pendant le traitement cytotoxique des cancers et le risque de leucemie secondaire. Resultats preliminaires. de Gramont A; Rioux E; Drolet Y; Barry A; Delage J M AU SO La semaine des hopitaux : organe fonde par l'Association d'enseignement

15

### NEPTUNE GENERICS 1002 - 00131

medical des hopitaux de Paris, (1984 Mar 29) Vol. 60, No. 14, pp. 961-6. Journal code: 9410059. CY France DT (ENGLISH ABSTRACT) Journal; Article; (JOURNAL ARTICLE) LA French FS Priority Journals ЕM 198405 ΕD Entered STN: 19 Mar 1990 Last Updated on STN: 19 Mar 1990 Entered Medline: 30 May 1984 L8 ANSWER 38 OF 66 MEDLINE on STN Full Text AN 1982264737 MEDLINE DN PubMed ID: 7107216 ΤI Production of transcobalamin II by various murine and human cells in culture. AU Rabinowitz R; Rachmilewitz B; Rachmilewitz M; Schlesinger M Israel journal of medical sciences, (1982 Jul) Vol. 18, No. 7, pp. 740-5. Journal code: 0013105. ISSN: 0021-2180. SO СҮ Israel DT (COMPARATIVE STUDY) (IN VITRO) Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, NON-U.S. GOV'T) LA English FS Priority Journals 198210 ΕM ΕD Entered STN: 17 Mar 1990 Last Updated on STN: 17 Mar 1990 Entered Medline: 29 Oct 1982 ANSWER 39 OF 66 г8 MEDLINE on STN Full Text 1982187527 AN MEDLINE PubMed ID: 7075860 DN ΤI Influence of vitamins C and B12 on the survival rate of mice bearing ascites tumor. AU Poydock M E; Reikert D; Rice J Experimental cell biology, (1982) Vol. 50, No. 2, pp. 88-91. Journal code: 7701827. ISSN: 0304-3568. SO CY Switzerland (COMPARATIVE STUDY) DT Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, NON-U.S. GOV'T) LΑ English FS Priority Journals ΕM 198207 ΕD Entered STN: 17 Mar 1990 Last Updated on STN: 17 Mar 1990 Entered Medline: 8 Jul 1982 ANSWER 40 OF 66 Γ8 MEDLINE on STN Full Text AN 1981018502 MEDLINE PubMed ID: 6932166 DN ΤI Erythremia with special reference to sideroblastic anemia. ΑIJ Taki T; Wakabayashi T; Kishimoto H Acta pathologica japonica, (1980 Jul) Vol. 30, No. 4, pp. 565-78. Journal code: 0372637. ISSN: 0001-6632. SO CY Japan DT (CASE REPORTS) Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals 198011 ΕM Entered STN: 16 Mar 1990 ΕD Last Updated on STN: 16 Mar 1990 Entered Medline: 24 Nov 1980 T.8 ANSWER 41 OF 66 MEDLINE on STN

16

## NEPTUNE GENERICS 1002 - 00132 APOTEX 1002 - 0132

Full Text AN 1978172794 MEDLINE PubMed ID: 274499 DN ΤI The identification and measurement of a folate-binding protein in human serum by radioimmunoassay. da Costa M; Rothenberg S P; Fischer C; Rosenberg Z AU SO The Journal of laboratory and clinical medicine, (1978 Jun) Vol. 91, No. 6, pp. 901-7. Journal code: 0375375. ISSN: 0022-2143. СҮ United States DT Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, U.S. GOV'T, P.H.S.) LΑ English FS Abridged Index Medicus Journals; Priority Journals БM 197807 ΕD Entered STN: 14 Mar 1990 Last Updated on STN: 14 Mar 1990 Entered Medline: 26 Jul 1978 г8 ANSWER 42 OF 66 MEDLINE on STN Full Text AN 1978142124 MEDLINE PubMed ID: 416709 DN Vitamin B12-binding proteins in serum and plasma in various disorders. ΤI Effect of anticoagulants. AU Carmel R American journal of clinical pathology, (1978 Mar) Vol. 69, No. 3, pp. SO 319-25. Journal code: 0370470. ISSN: 0002-9173. СΥ United States Journal; Article; (JOURNAL ARTICLE) DT LA English FS Abridged Index Medicus Journals; Priority Journals 197805 ΕM ED Entered STN: 14 Mar 1990 Last Updated on STN: 14 Mar 1990 Entered Medline: 17 May 1978 г8 ANSWER 43 OF 66 MEDLINE on STN Full Text 1978117789 MEDLINE AN PubMed ID: 607423 DN ТΤ Vitamin B12 and vitamin B12 binding proteins in liver diseases. Areekul S; Panatampon P; Doungbarn J AU The Southeast Asian journal of tropical medicine and public health, (1977 SO Sep) Vol. 8, No. 3, pp. 322-8. Journal code: 0266303. ISSN: 0125-1562. CY Thailand Journal; Article; (JOURNAL ARTICLE) DT LA English FS Priority Journals ΕM 197804 Entered STN: 14 Mar 1990 ED Last Updated on STN: 14 Mar 1990 Entered Medline: 26 Apr 1978 ANSWER 44 OF 66 г8 MEDLINE on STN Full Text AN 1978076371 MEDLINE PubMed ID: 339530 DN ТΤ [Analysis of the cobalamin coenzymes in mouse splenic tumor cells]. Analiz kobalaminovykh kofermentov v opukholevykh kletkakh selezenki myshei. ΑIJ Vares Iu V; Miasishcheva N V Voprosy medit sinskoi khimii, (1977 Sep-Oct) Vol. 23, No. 5, pp. 681-4. SO Journal code: 0416601. ISSN: 0042-8809. CY USSR DT(ENGLISH ABSTRACT) Journal; Article; (JOURNAL ARTICLE) LA Russian FS Priority Journals ΕM 197802

17

**APOTEX 1002 - 0133** 

# NEPTUNE GENERICS 1002 - 00133

Entered STN: 14 Mar 1990 ED Last Updated on STN: 14 Mar 1990 Entered Medline: 23 Feb 1978 ANSWER 45 OF 66 L8 MEDLINE on STN Full Text AN 1977131707 MEDLINE PubMed ID: 265135 DN ΤI Hemoglobin A2 levels in health and various hematologic disorders. AU Alperin J B; Dow P A; Petteway M B SO American journal of clinical pathology, (1977 Mar) Vol. 67, No. 3, pp. 219-26. Journal code: 0370470. ISSN: 0002-9173. CY United States DT Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, U.S. GOV'T, P.H.S.) LA English Abridged Index Medicus Journals; Priority Journals FS 197704 ΕM Entered SIN: 13 Mar 1990 Last Updated on SIN: 13 Mar 1990 ΕD Entered Medline: 30 Apr 1977 г8 ANSWER 46 OF 66 MEDLINE on STN <u>Full Text</u> AN 1977080713 MEDLINE DN PubMed ID: 1006164 Pernicious anaemia and lymphoproliferative disease. ΤI ΑIJ Parker A C; Bennett M Scandinavian journal of haematology, (1976 Nov) Vol. 17, No. 5, pp. 395-7. Journal code: 0404507. ISSN: 0036-553X. SO СҮ Denmark DT (CASE REPORTS) Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals ΕM 197702 ED Entered STN: 13 Mar 1990 Last Updated on STN: 13 Mar 1990 Entered Medline: 24 Feb 1977 г8 ANSWER 47 OF 66 MEDLINE on STN Full Text 1977019051 AN MEDLINE PubMed ID: 9787 DN ΤI B12 -- dependent methionine synthetase as a potential target for cancer chemotherapy. Huennekens F M; DiGirolamo P M; Fujii K; Jacobsen D W; Vitols K S ΑIJ Advances in enzyme regulation, (1976) Vol. 14, pp. 187-205. Ref: 51 Journal code: 0044263. ISSN: 0065-2571. SO CY ENGLAND: United Kingdom Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, U.S. GOV'T, P.H.S.) DT General Review; (REVIEW) LA English FS Priority Journals 197611 ΕM Entered STN: 13 Mar 1990 ED Last Updated on STN: 6 Feb 1998 Entered Medline: 21 Nov 1976 ANSWER 48 OF 66 MEDLINE on STN T.8 Full Text 1976244023 MEDLINE AN PubMed ID: 951181 DN ΤT [Acute or subacute myelofibrosis]. Les myelofibroses aigues ou subaigues. AU Briere J; Castro-Malaspina H; Briere J F; Bernard J SO Nouvelle revue francaise d'hematologie, (1976 Jun) Vol. 16, No. 1, pp. 3-22. Journal code: 7909092. СҮ France

18

### NEPTUNE GENERICS 1002 - 00134

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(CASE REPORTS)
DT
     (ENGLISH ABSTRACT)
     Journal; Article; (JOURNAL ARTICLE)
LA
     French
FS
     Priority Journals
ΕM
     197610
ED
     Entered STN: 13 Mar 1990
     Last Updated on STN: 13 Mar 1990
     Entered Medline: 2 Oct 1976
     ANSWER 49 OF 66
T.8
                          MEDLINE on STN
Full Text
AN
     1976080662
                     MEDLINE
     PubMed ID: 812175
DN
ТΤ
     Granulocyte release of vitamin B12-binders in vivo and in vitro in
     leukaemia and non-neoplastic leucocytosis.
AU
     Gullberg R; Riezenstein P
     Scandinavian journal of haematology, (1975 Dec) Vol. 15, No. 5, pp.
SO
     377-83.
     Journal code: 0404507. ISSN: 0036-553X.
СҮ
     Denmark
DT
     Journal; Article; (JOURNAL ARTICLE)
LA
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FS
     Priority Journals
ΕM
     197603
     Entered STN: 13 Mar 1990
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     Last Updated on STN: 13 Mar 1990
     Entered Medline: 1 Mar 1976
г8
     ANSWER 50 OF 66
                          MEDLINE on STN
<u>Full</u>
     Text
AN
     1976078390
                     MEDLINE
DN
     PubMed ID: 1081693
     New approach to antifolate treatment of certain cancers as demonstrated in
ΤI
     tissue culture.
     Halpern R M; Halpern B C; Clark B R; Ashe H; Hardy D N; Jenkinson P Y;
AU
     Chou S C; Smith R A
     Proceedings of the National Academy of Sciences of the United States of
SO
     America, (1975 Oct) Vol. 72, No. 10, pp. 4018-22.
Journal code: 7505876. ISSN: 0027-8424.
     Report No.: NLM-PMC433129.
СҮ
     United States
DT
     Journal; Article; (JOURNAL ARTICLE)
LA
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     Priority Journals
     197603
ΕM
     Entered STN: 13 Mar 1990
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     Last Updated on STN: 13 Mar 1990
     Entered Medline: 1 Mar 1976
\Gamma 8
     ANSWER 51 OF 66
                          MEDLINE on STN
Full Text
AN
     1976024988
                     MEDLINE
     PubMed ID: 1176445
DN
ΤI
     Human plasma R-type vitamin B12-binding proteins. II. The role of
     transcobalamin I, transcobalamin III, and the normal granulocyte vitamin
     B12-binding protein in the plasma transport of vitamin B12.
AU
     Burger R L; Schneider R J; Mehlman C S; Allen R H
     The Journal of biological chemistry, (1975 Oct 10) Vol. 250, No. 19, pp.
SO
     7707-13.
     Journal code: 2985121R. ISSN: 0021-9258.
CY
     United States
     Journal; Article; (JOURNAL ARTICLE)
(RESEARCH SUPPORT, U.S. GOV'T, P.H.S.)
DT
LA
     English
FS
     Priority Journals
     197512
ΕM
     Entered STN: 13 Mar 1990
ΕD
     Last Updated on STN: 3 Feb 1997
     Entered Medline: 23 Dec 1975
T.8
     ANSWER 52 OF 66
                          MEDLINE on STN
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19

# NEPTUNE GENERICS 1002 - 00135

Full Text AN 1976018381 MEDLINE DN PubMed ID: 1164397 ΤI Differentiation of Friend virus-induced leukemia cells. Sugano H; Kawaguchi T; Furusawa M; Ikawa Y AU Bibliotheca haematologica, (1975) No. 40, pp. 221-8. SO Journal code: 0372513. ISSN: 0067-7957. CY Switzerland DT Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals ΕM 197512 ED Entered SIN: 13 Mar 1990 Last Updated on STN: 3 Feb 1997 Entered Medline: 4 Dec 1975 г8 ANSWER 53 OF 66 MEDLINE on STN Full Text 1975083933 AN MEDLINE DN PubMed ID: 4445153 Delivery of 57Co B12 to lymphoblasts derived from mice with transplanted ΤI 1210 ascites tumor cells by transcobalamins I, II, and III. Meyer L M; Gams R A; Ryel E M; Miller I E; Kumar S AU Proceedings of the Society for Experimental Biology and Medicine. Society SO for Experimental Biology and Medicine (New York, N.Y.), (1974 Dec) Vol. 147, No. 3, pp. 679-80. Journal code: 7505892. ISSN: 0037-9727. СҮ United States DT (IN VITRO) Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, U.S. GOV'T, NON-P.H.S.) (RESEARCH SUPPORT, U.S. GOV'T, P.H.S.) LA English FS Priority Journals  $\mathbf{E}\mathbf{M}$ 197503 Entered STN: 10 Mar 1990 Last Updated on STN: 10 Mar 1990 ED Entered Medline: 26 Mar 1975 г8 ANSWER 54 OF 66 MEDLINE on STN Text Full 1975082263 AN MEDLINE DNPubMed ID: 1053806 Extreme elevation of serum transcobalamin I in patients with metastatic ΤТ cancer. AU Carmel R The New England journal of medicine, (1975 Feb 6) Vol. 292, No. 6, pp. SO 282 - 4. Journal code: 0255562. ISSN: 0028-4793. United States СҮ DTJournal; Article; (JOURNAL ARTICLE) LA English FS Abridged Index Medicus Journals; Priority Journals 197504ΕM ΕD Entered STN: 10 Mar 1990 Last Updated on STN: 10 Mar 1990 Entered Medline: 11 Apr 1975 г8 ANSWER 55 OF 66 MEDLINE on STN Full Text 1974287001 AN MEDLINE PubMed ID: 4367719 DN Characteristics of a novel serum vitamin-B12-binding protein ΤI associated with hepatocellular carcinoma. AU Wasman S; Gilbert H S British journal of haematology, (1974 Jun) Vol. 27, No. 2, pp. 229-39. Journal code: 0372544. ISSN: 0007-1048. SO СҮ ENGLAND: United Kingdom DT Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals ΕM 197410

20

## NEPTUNE GENERICS 1002 - 00136 APOTEX 1002 - 0136

Entered STN: 10 Mar 1990 ED Last Updated on STN: 3 Feb 1997 Entered Medline: 7 Oct 1974 ANSWER 56 OF 66 L8 MEDLINE on STN Full Text AN 1974170781 MEDLINE PubMed ID: 4524624 DN ΤI The effect of replacement of methionine by homocystine on survival of malignant and normal adult mammalian cells in culture. Halpern B C; Clark B R; Hardy D N; Halpern R M; Smith R A ΑIJ SO Proceedings of the National Academy of Sciences of the United States of America, (1974 Apr) Vol. 71, No. 4, pp. 1133-6. Journal code: 7505876. ISSN: 0027-8424. Report No.: NLM-PMC388177. CY United States DT Journal; Article; (JOURNAL ARTICLE) English LA FS Priority Journals ΕM 197407 Entered STN: 10 Mar 1990 ED Last Updated on STN: 10 Mar 1990 Entered Medline: 31 Jul 1974 г8 ANSWER 57 OF 66 MEDLINE on STN Full Text AN 1974004406 MEDLINE PubMed ID: 4126370 DN A tumor-related vitamin B12 binding protein in adolescent hepatoma. ΤТ AU Waxman S; Gilbert H S The New England journal of medicine, (1973 Nov 15) Vol. 289, No. 20, pp. SO 1053-6. Journal code: 0255562. ISSN: 0028-4793. United States СҮ DTJournal; Article; (JOURNAL ARTICLE) LA English FS Abridged Index Medicus Journals; Priority Journals ΕM 197312 Entered STN: 10 Mar 1990 ED Last Updated on STN: 10 Mar 1990 Entered Medline: 11 Dec 1973 т.8 ANSWER 58 OF 66 MEDLINE on STN Full Text 1972200957 MEDLINE AN PubMed ID: 4555534 DN ΤI Unfavorable signs in patients with chronic myelocytic leukemia. ΑIJ Theologides A Annals of internal medicine, (1972 Jan) Vol. 76, No. 1, pp. 95-9. Ref: 54 Journal code: 0372351. ISSN: 0003-4819. SO CY United States Journal; Article; (JOURNAL ARTICLE) DT General Review; (REVIEW) LA English FS Abridged Index Medicus Journals; Priority Journals ΕM 197208 Entered STN: 10 Mar 1990 ED Last Updated on STN: 10 Mar 1990 Entered Medline: 7 Aug 1972 T.8 ANSWER 59 OF 66 MEDLINE on STN Full Text 1972041358 AN MEDLINE DN PubMed ID: 5000872 ΤI Gastric secretory and serologic studies on patients with **neoplastic** and immunologic disorders. ATT Twomey J J; Laughter A H; Villanueva N D; Kao Y S; Lidsky M D; Jordan P H Jr Archives of internal medicine, (1971 Nov) Vol. 128, No. 5, pp. 746-9. SO Journal code: 0372440. ISSN: 0003-9926. СΥ United States DT Journal; Article; (JOURNAL ARTICLE)

21

NEPTUNE GENERICS 1002 - 00137 APOTEX 1002 - 0137 LA English FS Abridged Index Medicus Journals; Priority Journals ΕM 197201 ED Entered STN: 10 Mar 1990 Last Updated on STN: 6 Feb 1998 Entered Medline: 25 Jan 1972 ANSWER 60 OF 66 1.8 MEDLINE on STN Full Text AN 1971281351 MEDLINE PubMed ID: 5284678 DN ΤI Increased transcobalamin I in a leukemoid reaction. Hall C A; Wanko M ΑIJ The Journal of laboratory and clinical medicine, (1971 Aug) Vol. 78, No. SO 2, pp. 298-301. Journal code: 0375375. ISSN: 0022-2143. СҮ United States DT Journal; Article; (JOURNAL ARTICLE) LA English FS Abridged Index Medicus Journals; Priority Journals 197111 ΕM ED Entered STN: 1 Jan 1990 Last Updated on STN: 1 Jan 1990 Entered Medline: 3 Nov 1971 MEDLINE on STN T.8 ANSWER 61 OF 66 Full Text 1970113051 MEDLINE AN PubMed ID: 5740509 DN ΤI [The mechanism of the emergence of hematological remissions (on the problem of **tumor** regression)]. O mekhanizme vozniknoveniia gematologicheskikh remissii (K voprosu ob opukholevoi regressii). AU Alekseev G A Terapevticheskii arkhiv, (1968 Apr) Vol. 40, No. 4, pp. 16-25. Journal code: 2984818R. ISSN: 0040-3660. SO CY USSR Journal; Article; (JOURNAL ARTICLE) DT LA Russian FS Priority Journals 197004 ΕM ΕD Entered STN: 1 Jan 1990 Last Updated on STN: 1 Jan 1990 Entered Medline: 2 Apr 1970 Γ8 ANSWER 62 OF 66 MEDLINE on STN Full Text 1969175359 AN MEDLINE PubMed ID: 5252793 DN ΤI Uptake of labelled vitamin B 12 and 4-iodophenylalanine in some tumors of mice. AU Blomquist L; Flodh H; Ullberg S Experientia, (1969 Mar 15) Vol. 25, No. 3, pp. 294-6. Journal code: 0376547. ISSN: 0014-4754. SO СҮ Switzerland DT Journal; Article; (JOURNAL ARTICLE) English LA FS Priority Journals 196906 ΕM Entered STN: 1 Jan 1990 ΕD Last Updated on STN: 1 Jan 1990 Entered Medline: 19 Jun 1969 г8 ANSWER 63 OF 66 MEDLINE on STN Full Text 1969057044 AN MEDLINE PubMed ID: 5724527 DN ΤI Accumulation of labelled vitamin B12 in some transplanted tumours. ΑIJ Flodh H; Ullberg S SO International journal of cancer. Journal international du cancer, (1968 Sep 15) Vol. 3, No. 5, pp. 694-9. Journal code: 0042124. ISSN: 0020-7136.

22

# NEPTUNE GENERICS 1002 - 00138

CY Denmark DT Journal; Article; (JOURNAL ARTICLE) LA English FS Priority Journals 196901 ΕM ΕD Entered STN: 1 Jan 1990 Last Updated on STN: 1 Jan 1990 Entered Medline: 30 Jan 1969 T.8 ANSWER 64 OF 66 MEDLINE on STN Full Text AN 1966098269 MEDLINE PubMed ID: 4159695 DN Excretion of formiminoglutamic acid in reticulosis and carcinoma. ΤI Noeypatimanond S; Watson-Williams E J; Israels M C Lancet, (1966 Feb 26) Vol. 1, No. 7435, pp. 454-6. Journal code: 2985213R. ISSN: 0140-6736. AU SO CY ENGLAND: United Kingdom DT Journal; Article; (JOURNAL ARTICLE) LA English FS Abridged Index Medicus Journals; Priority Journals ΕM 196605 ED Entered STN: 1 Jan 1990 Last Updated on STN: 6 Feb 1998 Entered Medline: 23 May 1966 г8 ANSWER 65 OF 66 MEDLINE on STN Full Text 1965135871 AN MEDLINE DN PubMed ID: 14331187 ADENOSYLMETHIONINE ELEVATION IN LEUKEMIC WHITE BLOOD CELLS. ΤI AU BALDESSARINI R J Science (New York, N.Y.), (1965 Aug 6) Vol. 149, pp. 644-5. Journal code: 0404511. ISSN: 0036-8075. SO CY United States DT Journal; Article; (JOURNAL ARTICLE) LA English FS OLDMEDLINE; NONMEDLINE ΕM 199612 ED Entered STN: 16 Jul 1999 Last Updated on STN: 16 Jul 1999 Entered Medline: 1 Dec 1996 т.8 ANSWER 66 OF 66 MEDLINE on STN Full Text AN 1960104214 MEDLINE PubMed ID: 13783966 DN Co58B12 absorption, plasma transport and excretion in patients with myeloproliferative disorders, solid **tumors** and non-**neoplastic** diseases. ТΤ AU WEINSTEIN I B; WATKIN D M The Journal of clinical investigation, (1960 Nov) Vol. 39, pp. 1667-74. Journal code: 7802877. ISSN: 0021-9738. SO DT Journal; Article; (JOURNAL ARTICLE) LA English OLDMEDLINE; NONMEDLINE FS OS NLMPMC293407 199811 ΕM Entered STN: 16 Jul 1999 ED Last Updated on STN: 16 Jul 1999 Entered Medline: 1 Nov 1998 => d his (FILE 'HOME' ENTERED AT 23:24:07 ON 31 AUG 2009) FILE 'REGISTRY' ENTERED AT 23:24:20 ON 31 AUG 2009 E VITAMIN B12/CN L1 1 S E3 FILE 'MEDLINE' ENTERED AT 23:24:53 ON 31 AUG 2009 L2 16339 S L1

# NEPTUNE GENERICS 1002 - 00139

11438 S (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCO L3 L420105 S L2 OR L3 1707973 S (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?) Ъ5 L6 773 S L4 AND L5 L7212559 S LEUKEMIA? г8 66 S L6 AND L7 => d an ti au si ab kwic 18 47 'SI' IS NOT A VALID FORMAT FOR FILE 'MEDLINE' The following are valid formats: The default display format is BIB. ABS ---- AB ALL ---- AN, DN, TI, AU, AUGR, AUCL, CS, NC, SO, CM, CY, DT, LA, FS, NCT, OS, EM, ED, AB, ST, CT, NA, RN, CN, GEN BIB ---- AN, DN, TI, AU, AUGR, AUCL, CS, NC, SO, CY, DT, LA, FS, NCT, OS, EM, ED CBIB --- AN, DN, TI, AU, AUGR, AUCL, CS, NC, SO, CY, DT, LA, FS, NCT, OS, EM, ED DALL --- ALL, delimited for post processing IABS --- ABS, with a text label IALL --- ALL, indented with text labels IBIB --- BIB, indented with text labels IND ---- ST, CT, NA, RN, CN, GEN TRIAL -- TI, ST, CT, NA, RN, CN, GEN (SAM, TRI, FREE) HIT ---- All fields containing hit terms HITIND - IND KWIC --- All hit terms plus 20 words on either side OCC ---- List of display fields containing hit terms Hit terms will be highlighted in all available fields except CM and PY. To display a particular field or fields, enter the display field codes. For a list of display field codes, enter 'HELP DFIELDS at an arrow prompt (=>). Examples of formats include: 'BIB'; 'AB'; 'SO,ST'. You may specify the format fields in any order, and the information will be displayed in the same order as the format specification. The same formats (except for HIT, HITIND, KWIC, and OCC) may be used with the DISPLAY ACC command to display the record for a specified Accession Number. ENTER DISPLAY FORMAT (BIB):end => d an ti au so ab kwic 18 47 T.8 ANSWER 18 OF 66 MEDLINE on STN Full Text AN 1992074415 MEDLINE Effect of combined ascorbic acid and B-12 on survival of mice with ΤT implanted Ehrlich carcinoma and L1210 leukemia. AU Poydock M E SO The American journal of clinical nutrition, (1991 Dec) Vol. 54, No. 6 Suppl, pp. 1261S-1265S. Journal code: 0376027. ISSN: 0002-9165. A combination of dehydroascorbic acid and hydroxycobalamin (vitamin B-12) AB inhibited mitoses of tumors in mice. The present study was performed to test the effect of these vitamins on the survival of mice bearing carcinomas and leukemias. In each assay 40 mice received 0.1 mL ip tumor cells (x10(5)). After 24 h, 20 mice were injected with 0.2 mL (0.4 g/kg body wt) of the vitamins daily for 10 d. All controls died by day 19, but greater than 50% of the treated mice were alive after 60 d. In vitro findings revealed inhibition of mitoses in L1210 leukemia cells, but not in normal L929 cells. In recent research with cobalt-ascorbate plus vitamin C, we demonstrated that when  $\ensuremath{\mathsf{B}}{-12}$  is combined with vitamin C, the cobalt nucleus of B-12 attaches to a carbon on vitamin C, forming cobalt ascorbate. Tests proved that cobalt ascorbate plus vitamin C also inhibited tumor cells. ΤI Effect of combined ascorbic acid and B-12 on survival of mice with implanted Ehrlich carcinoma and L1210 leukemia.

24

#### **NEPTUNE GENERICS 1002 - 00140**

A combination of dehydroascorbic acid and hydroxycobalamin (vitamin B-12) AR inhibited mitoses of **tumors** in mice. The present study was performed to test the effect of these vitamins on the survival of mice bearing carcinomas and leukemias. In each assay 40 mice received 0.1 mL ip tumor cells (x10(5)). After 24 h, 20 mice were injected with 0.2 mL (0.4 g/kg body wt) of the vitamins daily. . . than 50% of the treated mice were alive after 60 d. In vitro findings revealed inhibition of mitoses in L1210 leukemia cells, but not in normal L929 cells. In recent research with cobalt-ascorbate plus vitamin C, we demonstrated that when B-12. . . attaches to a carbon on vitamin C, forming cobalt ascorbate. Tests proved that cobalt ascorbate plus vitamin C also inhibited tumor cells. CT Check Tags: Female Animals \*Ascorbic Acid: PD, pharmacology \*Carcinoma, Ehrlich Tumor: MO, mortality Carcinoma, Ehrlich Tumor: PA, pathology Dehydroascorbic Acid: PD, pharmacology Drug Combinations \*Leukemia, Experimental: MO, mortality Mice Mice, Inbred ICR Neoplasm Transplantation Survival Analysis \*Vitamin B 12: PD, pharmacology RN 490-83-5 (Dehydroascorbic Acid); 50-81-7 (Ascorbic Acid); 68-19-9 (Vitamin B 12) ANSWER 47 OF 66 T.8 MEDLINE on STN Full Text 1977019051 MEDLINE AN ТΤ B12 -- dependent methionine synthetase as a potential target for cancer chemotherapy. Huennekens F M; DiGirolamo P M; Fujii K; Jacobsen D W; Vitols K S (1976) Vol 14 pp. 187-205. Ref: AII SO Advances in enzyme regulation, (1976) Vol. 14, pp. 187-205. Ref: 51 Journal code: 0044263. ISSN: 0065-2571. ΤТ B12 -- dependent methionine synthetase as a potential target for cancer chemotherapy. СТ . . S-Methyltransferase: IP, isolation & purification \*5-Methyltetrahydrofolate-Homocysteine S-Methyltransferase: ME, metabolism Animals Cells, Cultured Cobamides: BI, biosynthesis Enzyme Activation Flavoproteins: ME, metabolism Leukemia L1210: EN, enzymology Leukemia L1210: ME, metabolism Methionine: BI, biosynthesis \*Methyltransferases: ME, metabolism Mice NADP: ME, metabolism \*Neoplasms: ME, metabolism S-Adenosylmethionine: ME, metabolism RN 29908-03-0 (S-Adenosylmethionine); 53-59-8 (NADP); 63-68-3 (Methionine); 68-19-9 (Vitamin B 12) => file ca COST IN U.S. DOLLARS SINCE FILE TOTAL SESSION ENTRY 26.58 FULL ESTIMATED COST 18.48 FILE 'CA' ENTERED AT 23:34:40 ON 31 AUG 2009 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2009 AMERICAN CHEMICAL SOCIETY (ACS)

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25

**APOTEX 1002 - 0141** 

# NEPTUNE GENERICS 1002 - 00141

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26

## NEPTUNE GENERICS 1002 - 00142 APOTEX 1002 - 0142

Substrate-selective inhibition of pappalysin activity against insulin-like ΤT growth factor-binding protein 4 using substrate-binding site ligands ΤN Oxvig, Claus; Mikkelsen, Jakob Hauge; Nielsen, Claus Gyrup PA Aarhus Universitet, Den. PCT Int. Appl., 219pp. SO CODEN: PIXXD2 DT Patent L.A English FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_ ΡT WO 2009092806 A2 20090730 WO 2009-EP50796 20090123 W: AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SI, SV, SY, IJ, IM, IN, TR, IT, IZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM 20080125 PRAI US 2008-23631P Ρ DK 2008-148 20080201 А US 2008-25545P Ρ 20080201 L15 ANSWER 2 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 150:555809 CA AN Lipid compositions for the treatment and prevention of proliferative ΤI diseases and for the reduction of incidences of mutagenesis and carcinogenesis ΙN Bar Yosef, Fabiana Enzymotec Ltd., Israel U.S. Pat. Appl. Publ., 16pp. ΡA SO CODEN: USXXCO DT Patent LA English FAN.CNT 1 APPLICATION NO. PATENT NO. KIND DATE DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_ 20090521 US 20090131523 US 2008-285806 20081014 РT A1 P 20071015 PRAI US 2007-960798P ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT MARPAT 150:555809 OS ANSWER 3 OF 88 CA COPYRIGHT 2009 ACS on STN L15 Text Full AN 150:464210 CA ΤI Naphthalene-based inhibitors of anti-apoptotic proteins Pellecchia, Maurizio; Reed, John C. Burnham Institute for Medical Research, USA ΙN PΑ SO PCT Int. Appl., 114pp. CODEN: PIXXD2 DT Patent English LA FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ ΡT WO 2009052443 20090423 WO 2008-US80386 20081017 A1 W: AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD,

27

## NEPTUNE GENERICS 1002 - 00143 APOTEX 1002 - 0143

TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM 20090423 US 2008-253918 US 20090105319 Α1 20081017 PRAI US 2007-981400P Ρ 20071019 US 2008-35969P Ρ 20080312 US 2008-97171P Ρ 20080915 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT MARPAT 150:464210 0S RE.CNT 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 4 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 150:395435 CA AN Studies on similarity of hepatocarcinogenesis in liver cirrhosis to ΤI leukomogenesis Feng, Baozhang; Lei, Jianling; Fu, Yu; Liu, Fangjie; Zhou, Yingjie V-erb Lab, V-erb Gene Therapy Co., Ltd., Tianjin, 300020, Peop. Rep. China AU CS Zhongliu Yanjiu Yu Linchuang (2007), 19(6), 393-394 SO CODEN: ZYLIFJ; ISSN: 1006-9801 PB Zhongliu Yanjiu Yu Linchuang Zazhi Bianjibu DTJournal LA Chinese L15 ANSWER 5 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 150:268020 CA Transfer factor compositions and methods for therapeutic use thereof ΤI ΤN Ramaekers, Joseph C. ΡA USA U.S. Pat. Appl. Publ., 21pp. SO CODEN: USXXCO DT Patent English LA FAN.CNT 2 KIND PATENT NO. DATE APPLICATION NO. DATE \_\_\_\_ ΡI US 20090053197 A1 20090226 US 2007-762727 20070613 WO 2007149287 20071227 WO 2007-US13903 20070614 A2 WO 2007149287 A3 20081002 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA PRAI US 2006-814777P 20060614 Ρ US 2006-834739P 20060731 Ρ US 2007-762727 А 20070613 L15 ANSWER 6 OF 88 CA COPYRIGHT 2009 ACS on STN Text Full AN 149:386609 CA ΤI Cobalamin taxane bioconjugates useful as oral anti-cancer or anti-angiogenic drugs Gebhard, John R.; Vollmer, David; Patel, Dinesh; Daugherty, Claire ΤN Inflabloc Pharmaceuticals, Inc., USA PA PCT Int. Appl., 42pp. SO CODEN: PIXXD2 DT Patent LΑ English FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE WO 2008115805 20080925 ΡT A2 WO 2008-US57038 20080314 WO 2008115805 A3 20090115

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## NEPTUNE GENERICS 1002 - 00144 APOTEX 1002 - 0144

W: AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA US 20080233135 Al 20080925 US 2008-77060 20080314 PRAI US 2007-919121P Ρ 20070319 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT CASREACT 149:386609 20 L15 ANSWER 7 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 149:119595 CA Diagnosis and treatment of **cancer** related to human dormancy ΤI IΝ Powell, Michael PA USA SO U.S. Pat. Appl. Publ., 27pp. CODEN: USXXCO DT Patent English LA FAN.CNT<sup>1</sup> PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ US 20080160007 20080703 US 2008-6462 20080102 ΡI A1 PRAI US 2007-878343P Ρ 20070103 L15 ANSWER 8 OF 88 CA COPYRIGHT 2009 ACS on STN Text Full 149:111963 CA AN Vitamin B12-mediated transport: a potential tool for tumor targeting ΤI of antineoplastic drugs and imaging agents Gupta, Yashwant; Kohli, Dharm Veer; Jain, Sanjay K. AII Pharmaceutics Research Projects Laboratory, Department of Pharmaceutical CS Sciences, Dr. Hari Singh Gour Vishwavidyalaya, Sagar, 470003, India Critical Reviews in Therapeutic Drug Carrier Systems (2008), 25(4), SO 347-379 CODEN: CRTSEO; ISSN: 0743-4863 PB Begell House, Inc. DT Journal; General Review LΑ English OSC.G 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) RE.CNT 153 THERE ARE 153 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 9 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 148:375932 CA AN Markers of increased angiogenesis and their correlation with biological ΤI parameters identifying high-risk patients in early B-cell chronic lymphocytic leukemia Molica, Stefano; Cutrona, Giovanna; Vitelli, Gaetano; Mirabelli, Rosanna; Molica, Matteo; Digiesi, Giovanna; Ribatti, Domenico; Ferrarini, Manlio; AU Vacca, Angelo Hematology/Oncology Department, Azienda Ospedaliera Pugliese-Ciaccio, CS Catanzaro, 88100, Italy Leukemia Research (2007), 31(11), 1575-1578 SO CODEN: LEREDD; ISSN: 0145-2126 PΒ Elsevier Ltd. DT Journal LA English OSC.G THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS) 3 RE.CNT 8 THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 10 OF 88 CA COPYRIGHT 2009 ACS on STN

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## NEPTUNE GENERICS 1002 - 00145

AN TI IN PA SO DT LA	Text 148:186576 CA Method of detecting Li, Hongyan; Breau, Amgen Inc., USA PCT Int. Appl., 42p CODEN: PIXXD2 Patent English CNT 1	Alan; Sasu, Bar	ng hepcidin in a samp bra	le
	PATENT NO.	KIND DATE	APPLICATION NO.	DATE
PI	WO 2008011158 WO 2008011158	A2 20080124 A3 20080605		20070720
	<ul> <li>W: AE, AG, AL, CH, CN, CO, GB, GD, GE, KM, KN, KP, MG, MK, MN, PT, RO, RS, IR, TT, TZ,</li> </ul>	AM, AT, AU, AZ, CR, CU, CZ, DE, GH, GM, GT, HN, KR, KZ, LA, LC, MW, MX, MY, MZ, RU, SC, SD, SE, UA, UG, US, UZ,	BA, BB, BG, BH, BR, DK, DM, DO, DZ, EC, HR, HU, ID, IL, IN, LK, LR, LS, LT, LU, NA, NG, NI, NO, NZ, SG, SK, SL, SM, SV, VC, VN, ZA, ZM, ZW	EE, EG, ES, FI, IS, JP, KE, KG, LY, MA, MD, ME, OM, PG, PH, PL, SY, TJ, TM, TN,
	IS, IT, LT, BJ, CF, CG, GH, GM, KE,	LU, LV, MC, MT, CI, CM, GA, GN,	DK, EE, ES, FI, FR, NL, PL, PT, RO, SE, GQ, GW, ML, MR, NE, SD, SL, SZ, TZ, UG, AP, EA, EP, OA	SI, SK, TR, BF, SN, TD, TG, BW,
			CA 2007-2657307	GB, GR, HU, IE,
ASSI	AL, BA, HR, US 20090173876 US 2006-832625P WO 2007-US16477 GNMENT HISTORY FOR U	MK, RS A1 20090709 P 20060721 W 20070720 JS PATENT AVAILAE	US 2007-880313	20070720 RMAT
L15 Full AN TI IN PA SO DT LA	ANSWER 11 OF 88 CA Text 148:106222 CA Pharmaceutical comp and B vitamins, and deacetylase depende Shultz, Michael Novartis AG, Switz. PCT Int. Appl., 58 CODEN: PIXXD2 Patent English	COPYRIGHT 2009 cositions contain d methods of use ent diseases ; Novartis Pharm	ACS on STN ing inhibitors of his thereof in the treatm	tone deacetylase
FAN.	CNT 1 PATENT NO.	KIND DATE	APPLICATION NO.	DATE
ΡΙ	CH, CN, CO, GB, GD, GE, KM, KN, KP, MG, MK, MN, PT, RO, RS, TR, TT, TZ, RW: AT, BE, BG, IS, IT, LT, BJ, CF, CG, GH, GM, KE,	A1 20080103 AM, AT, AU, AZ, CR, CU, CZ, DE, GH, GM, GT, HN, KR, KZ, LA, LC, MW, MX, MY, MZ, RU, SC, SD, SE, UA, UG, US, UZ, CH, CY, CZ, DE, LU, LV, MC, MT, CI, CM, GA, GN,	WO 2007-US72004 BA, BB, BG, BH, BR, DK, DM, DO, DZ, EC, HR, HU, ID, IL, IN, LK, LR, LS, LT, LU, NA, NG, NI, NO, NZ, SG, SK, SL, SM, SV, VC, VN, ZA, ZM, ZW	EE, EG, ES, FI, IS, JP, KE, KG, LY, MA, MD, ME, OM, PG, PH, PL, SY, TJ, TM, TN, GB, GR, HU, IE, SI, SK, TR, BF, SN, TD, TG, BW,
	AU 2007265190 CA 2660782 EP 2034978 R: AT, BE, BG,	A1 20080103 A1 20080103 A1 20090318 CH, CY, CZ, DE, LT, LU, LV, MC,	CA 2007-2660782	

30

## **NEPTUNE GENERICS 1002 - 00146**

IN 2008DN10353 20090320 IN 2008-DN10353 20081215 А MX 2008016125 А 20090115 MX 2008-16125 20081216 KR 2008-731346 20081224 KR 2009023631 20090305 А CN 101478959 Α 20090708 CN 2007-80024079 20081226 PRAI US 2006-816459P 20060626 Ρ WO 2007-US72004 W 20070625 RE.CNT 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 12 OF 88 CA COPYRIGHT 2009 ACS on STN Text Full AN 148:85733 CA Transfer factor compositions and methods ΤT IΝ Ramaekers, Joseph C. Ramaekers Nutrition, LLC, USA ΡA SO PCT Int. Appl., 45pp. CODEN: PIXXD2 DT Patent LA English FAN.CNT 2 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ РT WO 2007149287 A2 20071227 WO 2007-US13903 20070614 A2 20071227 A3 20081002 WO 2007149287 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA 0053197 A1 20090226 US 2007-762727 20070613 US 20090053197 PRAI US 2006-814777P Ρ 20060614 20060731 US 2006-834739P Ρ US 2007-762727 20070613 А L15 ANSWER 13 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 147:491621 CA AN Nutraceutical composition comprising ΤI 2,3-dimethoxy-5-methyl-1,4-benzoquinone and method of use for treatment/prevention of cancer Mazzio, Elizabeth; Soliman, Karam ΤN USA ΡA U.S. Pat. Appl. Publ., 31pp., Cont.-in-part of U.S. Ser. No. 233,279. SO CODEN: USXXCO DT Patent LΑ English FAN.CNT 3 KIND DATE PATENT NO. APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ US 2007-711883 US 20070248693 20071025 20070227 A1 PΤ 20050920 US 20060035981 A1 20060216 US 2005-233279 P P PRAI US 2003-491841P 20030802 US 2004-540525P 20040129 US 2004-909590 в2 20040802 US 2005-233279 A2 20050920 L15 ANSWER 14 OF 88 CA COPYRIGHT 2009 ACS on STN Full <u>Text</u> 147:181566 CA AN Dietary and pharmaceutical compositions using ΤT N-acetyl-glucosamine-N-acetylmuramyl peptides for management and treatment of oxidative stress and conditions with elevated  $\gamma$ -glutamyl transferase activity and alterations of NF-KB expression Ellithorpe, Rita R.; Slesarev, Vladimir I.; Dimitrov, Todor V. IN ΡA USA

31

### NEPTUNE GENERICS 1002 - 00147

U.S. Pat. Appl. Publ., 11pp., Cont.-in-part of U.S. Ser. No. 794,285. SO CODEN: USXXCO DT Patent LA English FAN.CNT<sup>2</sup> PATENT NO. KIND DATE APPLICATION NO. DATE US 20070167355 20070719 РT Α1 US 2006-581623 20061017 US 20040258779 A1 20041223 US 2003-455123 20030606 US 20050059579 A1 20050317 US 2004-794285 20040308 PRAI US 2003-455123 A2 20030606 US 2004-794285 A2 20040308 L15 ANSWER 15 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text ΔN 147:125831 CA ΤI Transdermal delivery of pharmaceutical agent comprising genetic molecule Russell-Jones, Gregory J.; Luke, Michael R.; Himes, Stewart R. ΤN PA Apollo Life Sciences Limited, Australia SO PCT Int. Appl., 121pp. CODEN: PIXXD2 DT Patent LA English FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_ WO 2006-AU1999 РT WO 2007070983 A1 20070628 20061222 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM AU 2006326870 20070628 AU 2006-326870 A1 20061222 US 20070243132 20071018 US 2006-645122 20061222 Α1 EP 1978997 Α1 20081015 EP 2006-840407 20061222 R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LI, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR PRAI US 2005-753454P Р 20051222 AU 2006-905107 20060915 Α WO 2006-AU1999 W 20061222 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS) THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD OSC.G 3 2 RE.CNT ALL CITATIONS AVAILABLE IN THE RE FORMAT ANSWER 16 OF 88 CA COPYRIGHT 2009 ACS on STN L15 Full Text AN 146:476726 CA ΤI Protein and cDNA sequences of vWFA (von Willebrand factor type A), collagen, and Kunitz - domains containing proteins INSP150, and therapeutic and diagnostic use thereof IN Davies, Mark Douglas; Fagan, Richard Joseph; Yorke, Melanie; Power, Christine ΡA Ares Trading S. A., Switz. PCT Int. Appl., 146 pp. SO CODEN: PIXXD2 DT Patent LA English FAN.CNT 1 KIND PATENT NO. DATE APPLICATION NO. DATE \_\_\_\_ ΡI WO 2007049065 A2 20070503 WO 2006-GB4041 20061027 WO 2007049065 A3 20070809 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD,

32

## NEPTUNE GENERICS 1002 - 00148

GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA PRAI GB 2005-21958 A 20051027 ANSWER 17 OF 88 CA COPYRIGHT 2009 ACS on STN L15 Full Text 145:432186 CA AN Use of PI523 for treating cancers ΤТ Weiser, Michael; Serbin, Jeff; Rosenwald, Lindsay A. Hana Biosciences, Inc., USA IN ΡA PCT Int. Appl., 57 pp. SO CODEN: PIXXD2 DT Patent English LA FAN.CNT<sup>1</sup> PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ A2 20061026 ΡT WO 2006113536 WO 2006-US14250 20060413 WO 2006113536 A3 20061207 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM PRAI US 2005-671891P P 20050414 US 2005-735336P Ρ 20051110 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT 2 ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 18 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 145:348597 CA AN ТΤ Use of phenylmethimazoles, methimazole derivatives, and tautomeric cyclic thiones for the treatment of autoimmune/inflammatory diseases associated with toll-like receptor overexpression ΙN Kohn, Leonard D.; Harii, Norikazu; Benavides-Peralta, Uruguaysito; Gonzalez-Murguiondo, Mariana; Lewis, Christopher J.; Napolitano, Giorgio; Giuliani, Cesidio; Malgor, Ramiro; Goetz, Douglas J. The Interthyr Corporation, USA ΡA SO U.S. Pat. Appl. Publ., 102 pp., Cont.-in-part of U.S. Ser. No. 912,948. CODEN: USXXCO DT Patent English LA FAN.CNT 3 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ ------US 2005-130922 ΡT US 20060211752 A1 20060921 20050517 US 20050209295 20050922 US 2004-801986 20040316 A1 AU 2004317993 20051013 AU 2004-317993 20040316 Α1 CA 2559712 A1 20051013 CA 2004-2559712 20040316 EP 1725230 20061129 EP 2004-821836 A1 20040316 R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LI, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR JP 2007-503869 US 2004-912948 JP 2007529510 Т 20071025 20040316 US 20060058365 A1 20060316 20040806 AU 2006247504 A1 20061123 AU 2006-247504 20060511 CA 2606769 Α1 20061123 CA 2006-2606769 20060511

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## NEPTUNE GENERICS 1002 - 00149 APOTEX 1002 - 0149

20061123 WO 2006-US18554 WO 2006124676 A1 20060511 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM EP 1896015 EP 2006-770302 A1 20080312 20060511 R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LI, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR 545651 T 20081218 JP 2008-512377 20060 JP 2008545651 20060511 PRAI US 2004-801986 A2 20040316 US 2004-912948 A2 20040806 WO 2004-US7888 20040316 А US 2005-130922 20050517 Α WO 2006-US18554 W 20060511 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OS MARPAT 145:348597 OSC.G 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS) L15 ANSWER 19 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 144:286212 CA ΔN ΤI Diagnosis and treatment of human dormancy-related sequellae Powell, Michael ΙN ΡA USA SO U.S. Pat. Appl. Publ., 35 pp., Cont.-in-part of U.S. Ser. No. 444,845. CODEN: USXXCO DT Patent LA English FAN.CNT 3 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ US 20060052278 A1 20060309 US 2005-206564 ΡI 20050818 US 7485298 20090203 в2 20031211 US 20030228628 US 2003-444845 A1 20030523 US 7288257 B2 20071030 US 20090163448 20090625 US 2009-322488 20090202 Α1 PRAI US 2002-382913P Ρ 20020523 US 2002-383271P Ρ 20020524 US 2003-444845 A2 20030523 US 2005-206564 20050818 A1 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT 11 ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 20 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 144:219302 CA AN ΤI Composition comprising mixture of ubiquinones, lactic acid dehydrogenase inhibitor, compound capable of augmenting oxidative phosphorylation and compound that antagonize gluconeogenesis from non-glucose carbon based substrates for treatment of cancer IN Mazzio, Elizabeth Anne; Soliman, Karam F. PA USA SO U.S. Pat. Appl. Publ., 20 pp., Cont.-in-part of U.S. Ser. No. 909,590, abandoned. CODEN: USXXCO DT Patent LA English FAN.CNT 3 KIND APPLICATION NO. PATENT NO. DATE DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ US 20060035981 ΡT A1 20060216 US 2005-233279 20050920 US 20070240000 PRAI US 2003-491841P US 20070248693 A1 20071025 US 2007-711883 20070227 P 20030802 US 2004-540525P Р 20040129

34

## NEPTUNE GENERICS 1002 - 00150

US 2004-909590 20040802 В2 US 2005-233279 A2 20050920 L15 ANSWER 21 OF 88 CA COPYRIGHT 2009 ACS on STN Full1 Text 143:139157 CA AN ΤI Preparation of rigid liposomal cochleate Krause-Elsmore, Sara L.; Mannino, Raphael J. ΤN PA Biodelivery Sciences International, Inc., USA SO PCT Int. Appl., 50 pp. CODEN: PIXXD2 DT Patent LA English FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE A1 20050714 \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_ 20041220 20050714 WO 2004-US42927 WO 2005063213 ΡI W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, IG PRAI US 2003-531546P P 20031219 US 2004-565120P P 20040423 US 2004-565120P Ρ 20040423 OSC.G 4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS) RE.CNT 12 THERE ARE 12 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 22 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text. 142:291352 CA AN ΤI Cobalamin conjugates with antitumor drugs, their preparation, and their use in antitumor therapy Weinshenker, Ned M.; West, Frederick G.; Araneo, Barbara A.; Li, Weiping IN Inflabloc Pharmaceuticals, Inc., USA PA SO U.S. Pat. Appl. Publ., 41 pp. CODEN: USXXCO DT Patent English LA FAN.CNT 1 KIND DATE PATENT NO. APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ US 20050054607 US 7232805 A1 20050310 US 2003-659501 ΡT 20030910 в2 20070619 AU 2004272105 A1 20050324 AU 2004-272105 20040910 CA 2538748 CA 2004-2538748 20050324 20040910 Α1 WO 2005025512 20050324 WO 2004-US29879 20040910 A2 WO 2005025512 20050728 A3 AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, W: CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG EP 1672978 20060628 A2 EP 2004-783919 20040910 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, FI, RO, CY, TR, BG, CZ, EE, HU, PL, SK JP 2007505144 JP 2006-526379 KR 2006-704844 Т 20070308 20040910 KR 2007019942 А 20070216 20060309 PRAI US 2003-659501 20030910 Α WO 2004-US29879 ĪNĪ 20040910

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NEPTUNE GENERICS 1002 - 00151

RE.CNT 49 THERE ARE 49 CITED REFERENCES AVAILABLE FOR THIS RECOR ALL CITATIONS AVAILABLE IN THE RE FORMAT	RD
<pre>L15 ANSWER 23 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 141:384286 CA TI Novel encochleation methods, cochleates and methods of use IN Mannino, Raphael J.; Gould-Fogerite, Susan; Krause-Elsmore, Sar Delmarre, David; Lu, Ruying PA Biodelivery Sciences International, Inc., USA; University of Me Dentistry of New Jersey SO PCT Int. Appl., 195 pp. CODEN: PIXXD2 DT Patent LA English FAN.CNT 3</pre>	
	DATE
<ul> <li>WO 2004091578</li> <li>W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, RW: BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,</li> </ul>	GB, GD, KZ, LC, NA, NI, SL, SY, ZM, ZW AM, AZ, DK, EE, SE, SI,
EP 1624858       A2       20060215       EP 2004-759375       2         R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE,         IE, SI, FI, RO, CY, TR, BG, CZ, EE, HU, PL, SK         US 20070237814       A1       20071011       US 2007-653434       2	20070111
L15 ANSWER 24 OF 88 CA COPYRIGHT 2009 ACS on STN	
<ul> <li>Full Text</li> <li>AN 141:342745 CA</li> <li>TI Vitamin-mediated targeting as a potential mechanism to increase uptake by tumors</li> <li>AU Russell-Jones, Gregory; McTavish, Kirsten; McEwan, John; Rice, Nowotnik, David</li> <li>CS Targeted Delivery, Access Pharmaceuticals Australia Pty Ltd., S 2067, Australia</li> <li>SO Journal of Inorganic Biochemistry (2004), 98(10), 1625-1633</li> </ul>	John;
CODEN: JIBIDJ; ISSN: 0162-0134 PB Elsevier B.V.	
DT Journal; General Review LA English OSC.G 22 THERE ARE 22 CAPLUS RECORDS THAT CITE THIS RECORD (22 RE.CNT 44 THERE ARE 44 CITED REFERENCES AVAILABLE FOR THIS RECOR ALL CITATIONS AVAILABLE IN THE RE FORMAT	
L15 ANSWER 25 OF 88 CA COPYRIGHT 2009 ACS on STN <u>Full Text</u> AN 141:21306 CA TI Clinical and molecular teatures of FIP1L1-PDFGRA (+) chronic eo <b>leukemias</b>	osinophilic

36

NEPTUNE GENERICS 1002 - 00152

Vandenberghe, P.; Wlodarska, I.; Michaux, L.; Zachee, P.; Boogaerts, M.; AU Vanstraelen, D.; Herregods, M-C.; Van Hoof, A.; Selleslag, D.; Roufosse, F.; Maerevoet, M.; Verhoef, G.; Cools, J.; Gilliland, D. G.; Hagemeijer, A.; Marynen, P. CS The Center for Human Genetics, University Hospital Leuven, Louvain, B-3000, Belg. SO Leukemia (2004), 18(4), 734-742 CODEN: LEUKED; ISSN: 0887-6924 PB Nature Publishing Group DT Journal LA English OSC.G 57 THERE ARE 57 CAPLUS RECORDS THAT CITE THIS RECORD (58 CITINGS) 21 THERE ARE 21 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 26 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 140:241008 CA AN Drug delivery and targeting with vitamin B12 conjugates ΤI ΙN Wilson, Stephen; Reinhard, Kathryn S.; Gao, Xiang PA USA SO U.S. Pat. Appl. Publ., 22 pp. CODEN: USXXCO DT Patent LA English FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ US 20040047917 US 2002-235857 20040311 20020906 Α1 РT US 20070066561 A1 20070322 US 2006-601809 20061120 PRAI US 2002-235857 20020906 A3 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT L15 ANSWER 27 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 140:178997 CA AN Significance of elevated cobalamin (vitamin B12) levels in blood ΤI AU Ermens, A. A. M.; Vlasveld, L. T.; Lindemans, J. CS Clinical Laboratory, Lokatie Langendijk, Amphia Hospital, Breda, Neth. Clinical Biochemistry (2003), 36(8), 585-590 CODEN: CLBIAS; ISSN: 0009-9120 SO ΡВ Elsevier Science Inc. DT Journal; General Review English LA OSC.G 12 THERE ARE 12 CAPLUS RECORDS THAT CITE THIS RECORD (12 CITINGS) THERE ARE 42 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT 42 ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 28 OF 88 CA COPYRIGHT 2009 ACS on STN Text Full AN 138:314549 CA Combination therapies using methyl donors or methyl donor enhancers and ΤI therapeutic agents for treatment of viral, proliferative and inflammatory diseases IN Cruz, Tony; Pastrak, Aleksandra Transition Therapeutics Inc., Can. ΡA PCT Int. Appl., 70 pp. SO CODEN: PIXXD2 DT Patent LA English FAN.CNT 6 APPLICATION NO. DATE KIND PATENT NO. DATE \_\_\_\_ ΡT WO 2003030929 A1 20030417 WO 2002-CA1503 20021004 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES,

37

## NEPTUNE GENERICS 1002 - 00153 APOTEX 1002 - 0153

	CG, CI, CM, WO 2002100428 W: AE, AG, AL, CO, CR, CU, GM, HR, HU, LS, LT, LU, PL, PT, RO, UA, UG, US, RW: GH, GM, KE, CY, DE, DK, BF, BJ, CF, WO 2002100429 W: AE, AG, AL, CO, CR, CU, GM, HR, HU, LS, LT, LU, PL, PT, RO, UA, UG, US, RW: GH, GM, KE, CY, DE, DK, BF, BJ, CF, US 20030086901 US 6908611 US 20030152552 US 6894033 AU 2002331483 US 2001-327700P US 2001-334535P US 2002-167752 US 2002-167752 US 2002-167765 WO 2002-CA895 WO 2002-CA895 WO 2002-CA896 US 2001-971068 WO 2002-CA1503 GNMENT HISTORY FOR U. G 2 THERE ARE NT 7 THERE ARE	GA, GN, GQ, GW, ML, A1       20021219         AM, AT, AU, AZ, BA, CZ, DE, DK, DM, DZ, ID, IL, IN, IS, JP, LV, MA, MD, MG, MK, RU, SD, SE, SG, SI, UZ, VN, YU, ZA, ZM, LS, MW, MZ, SD, SL, ES, FI, FR, GB, GR, CG, CI, CM, GA, GN, A1         20021219         AM, AT, AU, AZ, BA, CZ, DE, DK, DM, DZ, ID, IL, IN, IS, JP, LV, MA, MD, MG, MK, RU, SD, SE, SG, SI, UZ, VN, YU, ZA, ZM, LS, MW, MZ, SD, SL, ES, FI, FR, GB, GR, CG, CI, CM, GA, GN, A1         20021219         AM, AT, AU, AZ, BA, CZ, DE, DK, DM, DZ, ID, IL, IN, IS, JP, LV, MA, MD, MG, MK, RU, SD, SE, SG, SI, UZ, VN, YU, ZA, ZM, LS, FI, FR, GB, GR, CG, CI, CM, GA, GN, A1         20030508         B2       20050517         A1       20030508         B2       20050517         A1       20030422         P       20011005         P       20011005         P       20012031         A2       20020611         A2	WO 2002-CA895 BB, BG, BR, BY, BZ, EC, EE, ES, FI, GB, KE, KG, KP, KR, KZ, MN, MW, MX, MZ, NO, SK, SL, TJ, TM, TN, ZW SZ, TZ, UG, ZM, ZW, IE, IT, LU, MC, NL, GQ, GW, ML, MR, NE, WO 2002-CA896 BB, BG, BR, BY, BZ, EC, EE, ES, FI, GB, KE, KG, KP, KR, KZ, MN, MW, MX, MZ, NO, SK, SL, TJ, TM, TN, ZW SZ, TZ, UG, ZM, ZW, IE, IT, LU, MC, NL, GQ, GW, ML, MR, NE, US 2002-167752 AU 2002-331483 CN LSUS DISPLAY FORMA AT CITE THIS RECORD ( AVAILABLE FOR THIS RECORD)	20020611 CA, CH, CN, GD, GE, GH, LC, LK, LR, NZ, OM, PH, TR, TT, TZ, AT, BE, CH, PT, SE, TR, SN, TD, TG 20020611 CA, CH, CN, GD, GE, GH, LC, LK, LR, NZ, OM, PH, TR, TT, TZ, AT, BE, CH, PT, SE, TR, SN, TD, TG 20020611 20020611 20021004
L15 Full AN TI IN PA SO DT LA FAN.	syndromes Ramaekers, Joseph C USA U.S., 13 pp. CODEN: USXXAM Patent English CNT 2 PATENT NO.	ning a transfer fact • KIND DATE	or for treating anim. APPLICATION NO.	al diseases and DATE
ΡI	CO, CR, CU, GM, HR, HU, LS, LT, LU, PL, PT, RO, UG, UZ, VN, RW: GH, GM, KE,	CZ, DE, DK, DM, DZ, ID, IL, IN, IS, JP, LV, MA, MD, MG, MK, RU, SD, SE, SG, SI, YU, ZA, ZM, ZW LS, MW, MZ, SD, SL,		GD, GE, GH, LC, LK, LR, NZ, OM, PH, TT, TZ, UA, AM, AZ, BY,

38

# NEPTUNE GENERICS 1002 - 00154 APOTEX 1002 - 0154

US 20030077254 A1 US 2002-136854 20030424 20020430 US 6962718 в2 20051108 EP 1390049 A1 B1 20040225 EP 2002-739205 20020430 EP 1390049 20060705 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR AT 2002-739205 AT 332142 Т 20060715 20020430 ES 2268048 TЗ 20070316 ES 2002-739205 20020430 A1 20060209 A1 20080221 A 20010430 US 2005-237316 20050927 US 20060029585 A1 A AU 2008200364 AU 2008-200364 20080124 PRAI US 2001-847036 20010430 AU 2002-311871 A3 20020430 US 2002-136854 A3 W 20020430 WO 2002-US13650 20020430 OSC.G 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS) RE.CNT 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 30 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 138:35768 CA ΑN ΤI Preparation of fluorescent cobalamins and uses for tumor tissue staining Grissom, Charles B.; West, Frederick G.; Mcgreevy, James; Bentz, Joel S.; IN Cannon, Michelle J. University of Utah Research Foundation, USA PA SO U.S. Pat. Appl. Publ., 31 pp., Cont.-in-part of Appl. No. PCT/US00/29370. CODEN: USXXCO DT Patent English LА FAN.CNT 3 KIND DATE PATENT NO. APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ A1 \_\_\_\_\_ ΡI US 20020192683 20021219 US 2002-97646 20020315 US 6797521 в2 20040928 A2 20010503 A3 20020221 WO 2001030967 WO 2000-US29370 20001026 001030967 A3 20020221 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, WO 2001030967 CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG AU 2002258546 A1 20021003 AU 2002-258546 AU 2002258546 B2 20060907 JP 2004535371 T 20041125 JP 2002-572885 20020315 T A1 B2 20020315 20041111 20050614 20080131 US 20040224921 US 2004-866988 20040615 US 6905884 
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 A1
 AU 2008200058 AU 2008-200058 20080104 19991026 20001026 20010316 20011030 20020315 20020315 WO 2002-US8285 Ŵ 20020315 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OS MARPAT 138:35768 OSC.G 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) THERE ARE 21 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT 21 ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 31 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 137:89412 CA ΑN Detection of variations in the DNA methylation profile of genes in the ΤI determining the risk of disease IΝ Berlin, Kurt; Piepenbrock, Christian; Olek, Alexander PA Epigenomics A.-G., Germany SO PCT Int. Appl., 636 pp.

39

## NEPTUNE GENERICS 1002 - 00155

CODEN: PIAADZ		CODEN:	PIXXD2
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DT Patent LA German FAN.CNT 69

	CNT 69 PATENT NO.	KIND	DATE		DATE
Γ	CR, CU, ID, IL, LV, MA, SE, SG, RW: GH, GM, DE, DK, CF, CG, DE 10019058 WO 2001077373 W: AE, AG, CR, CU, ID, IL,	A2 AL, AM, A3 CZ, DK, DM IN, IS, JH MD, MG, MH SI, SK, SJ KE, LS, MJ ES, FI, FH CI, CM, G2 A1 A2 AL, AM, A3 CZ, DK, DM IN, IS, JH	20011018 T, AU, AZ, 4, DZ, EE, 5, KE, KG, 4, MN, MW, 7, TJ, TM, 7, MZ, SD, 8, GB, GR, 4, GW, ML, 20011220 20011018 1, AU, AZ, 4, DZ, EE, 5, KE, KG,	WO 2001-XA1486 BA, BB, BG, BR, BY, BZ ES, FI, GB, GD, GE, GH KP, KR, KZ, LC, LK, LF MX, MZ, NO, NZ, PL, PI TR, TT, TZ, UA, UG, UZ SL, SZ, TZ, UG, ZW, AT IE, IT, LU, MC, NL, PT MR, NE, SN, TD, TG DE 2000-10019058 WO 2001-DE1486 BA, BB, BG, BR, BY, BZ ES, FI, GB, GD, GE, GH KP, KR, KZ, LC, LK, LF	I, GM, HR, HU, R, LS, LT, LU, F, RO, RU, SD, J, VN, YU, ZA, G, BE, CH, CY, C, SE, TR, BF, 20000406 20010406 G, CA, CH, CN, H, GM, HR, HU, R, LS, LT, LU,
	SE, SG, ZA, ZW RW: GH, GM, DE, DK,	SI, SK, SI KE, LS, MW ES, FI, FF	N, MZ, SD, R, GB, GR,	MX, MZ, NO, NZ, PL, PI TR, TT, TZ, UA, UG, US SL, SZ, TZ, UG, ZW, AI IE, IT, LU, MC, NL, PT GW, ML, MR, NE, SN, TE AU 2001-77487 EP 2001-955278	5, UZ, VN, YU, 7, BE, CH, CY, 7, SE, TR, BF,
	R: AT, BE, IE, SI, EP 2014776	CH, DE, DH LT, LV, FI A2	K, ES, FR, I, RO, MK, 20090114	GB, GR, IT, LI, LU, NL	, SE, MC, PT, 20010406
PRAI	NL, PT, AT 339520 ES 2272636 US 20040067491 AU 2003204553 AU 2003204553 JP 2004008217 US 2004008217 US 20040023279 AU 2006203475 AU 2006203475 AU 2006225250 DE 2000-1001905 WO 2001-DE1486 DE 2000-1001917 DE 2000-1004322 AU 2001-275663	W 23 A 29 A 26 A	20061015 20070501 20040408 20040108 20040115 20040205 20060831 20061019 20061026 20000406 20010406 20000407 20000630 20000901 20010406	AT 2002-90203 ES 2002-90203 US 2003-240454 AU 2003-204553 JP 2003-160375 US 2003-455212 AU 2006-203475 AU 2006-213968 AU 2006-225250	20020605 20020605 20030311 20030605 20030605 20030605 20060811 20060915 20061005
ASSIG	AU 2001-276331 AU 2001-75663 EP 2001-969303 WO 2001-EP4016 EP 2002-90203 AU 2006-230475		20010406 20010406 20010406 20010406 20020605 20060811	LE IN LSUS DISPLAY FORM	1AT
<u>Eull</u> N TI		a receptor e of bindir serini, Cari Switz.; No	r tyrosine ng to α1-a lo; Lecout	kinase inhibitor with cidic glycoprotein re, Philipp	an organic
	CNT <sup>1</sup>			APPLICATION NO.	
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# NEPTUNE GENERICS 1002 - 00156

	CR, CU, HU, ID, LU, LV,	CZ, DE, IL, IN, MA, MD, SG, SI,	DK, DM, DZ, IS, JP, KE, MG, MK, MN,	BA, BB, BG, BR, BY, B EE, ES, FI, GB, GD, G KG, KP, KR, KZ, LC, L MW, MX, MZ, NO, NZ, P TM, TR, TT, TZ, UA, U	E, GH, GM, HR, K, LR, LS, LT, L, PT, RO, RU,
	DE, DK,	ES, FI, CG, CI, A1 B	FR, GB, GR, CM, GA, GN, 20010627 20060111	TW 2000-89126229 CA 2000-2394944 BR 2000-16817	T, SE, TR, BF, D, TG 19991227 20001208 20001222 20001222
PRAI OS OSC.( RE.CI	R: AT, BE, IE, SI, JP 2003523325 CN 1304005 AT 432069 US 20030125343 IT 1999-MI2711 WO 2000-EP13161 WO 2000-EP31361 MARPAT 135:7126 G 10 THERE	CH, DE, LT, LV, T C I A1 A W W 5 ARE 10 C	DK, ES, FR, FI, RO, MK, 20030805 20070314 20090615 20030703 19991227 20001222 20001222 APLUS RECORD	GB, GR, IT, LI, LU, N CY, AL, TR JP 2001-548102 CN 2000-817897	20001222 20001222 20001222 20021007 D (10 CITINGS)
L15 <u>Full</u> AN TI IN PA	ANSWER 33 OF 88 Text 134:323120 CA Fluorescent cob	CA CO alamins a s B.; We	PYRIGHT 2009 and uses the st, Frederic	reof k G.; Mcgreevy, James;	Bentz, Joel S.
20	PCT Int. Appl.,	32 pp.			
SO DT LA FAN.(	CODEN: PIXXD2 Patent English CNT 3 PATENT NO.	KIN		APPLICATION NO.	DATE
DT LA	CODEN: PIXXD2 Patent English CNT 3 PATENT NO.  WO 2001030967	KIN  A2	20010503		
DT LA FAN.(	CODEN: PIXXD2 Patent English CNT 3 PATENT NO. 	KINI A2 A3 AL, AM, CZ, DE, IL, IN, MA, MD, SG, SI,	20010503 20020221 AT, AU, AZ, DK, DM, DZ, IS, JP, KE, MG, MK, MN,		20001026 Z, CA, CH, CN, E, GH, GM, HR, K, LR, LS, LT, L, PT, RO, RU,
DT LA FAN.(	CODEN: PIXXD2 Patent English CNT 3 PATENT NO. 	KINI A2 A3 AL, AM, CZ, DE, IL, IN, MA, MD, SG, SI, ZW KE, LS,	20010503 20020221 AT, AU, AZ, DK, DM, DZ, IS, JP, KE, MG, MK, MN, SK, SL, TJ, MW, MZ, SD,	WO 2000-US29370 BA, BB, BG, BR, BY, B EE, ES, FI, GB, GD, G KG, KP, KR, KZ, LC, L MW, MX, MZ, NO, NZ, P	20001026 Z, CA, CH, CN, E, GH, GM, HR, K, LR, LS, LT, L, PT, RO, RU, G, US, UZ, VN, T, BE, CH, CY,
DT LA FAN.(	CODEN: PIXXD2 Patent English CNT 3 PATENT NO. 	KINI A2 A3 AL, AM, CZ, DE, IL, IN, MA, MD, SG, SI, ZW KE, LS, ES, FI,	20010503 20020221 AT, AU, AZ, DK, DM, DZ, IS, JP, KE, MG, MK, MN, SK, SL, TJ, MW, MZ, SD, FR, GB, GR,	WO 2000-US29370 BA, BB, BG, BR, BY, B EE, ES, FI, GB, GD, G KG, KP, KR, KZ, LC, L MW, MX, MZ, NO, NZ, P TM, TR, TT, TZ, UA, U SL, SZ, TZ, UG, ZW, A IE, IT, LU, MC, NL, P ML, MR, NE, SN, TD, T CA 2000-2387503	20001026 Z, CA, CH, CN, E, GH, GM, HR, K, LR, LS, LT, L, PT, RO, RU, G, US, UZ, VN, T, BE, CH, CY, T, SE, BF, BJ, G 20001026
DT LA FAN.(	CODEN: PIXXD2 Patent English CNT 3 PATENT NO. 	KINI ––– A2 A3 AL, AM, CZ, DE, IL, IN, MA, MD, SG, SI, ZW KE, LS, ES, FI, CI, CM, A1 A B2 A2	20010503 20020221 AT, AU, AZ, DK, DM, DZ, IS, JP, KE, MG, MK, MN, SK, SL, TJ, MW, MZ, SD, FR, GB, GR, GA, GN, GW, 20010503 20010508 20060330 20020731	WO 2000-US29370 BA, BB, BG, BR, BY, B EE, ES, FI, GB, GD, G KG, KP, KR, KZ, LC, L MW, MX, MZ, NO, NZ, P TM, TR, TT, TZ, UA, U SL, SZ, TZ, UG, ZW, A IE, IT, LU, MC, NL, P ML, MR, NE, SN, TD, T CA 2000-2387503 AU 2001-12300 EP 2000-973834	20001026 Z, CA, CH, CN, E, GH, GM, HR, K, LR, LS, LT, L, PT, RO, RU, G, US, UZ, VN, T, BE, CH, CY, T, SE, BF, BJ, G 20001026 20001026
DT LA FAN.(	CODEN: PIXXD2 Patent English CNT 3 PATENT NO. 	KINI  A2 A3 AL, AM, CZ, DE, IL, IN, MA, MD, SG, SI, ZW KE, LS, ES, FI, CI, CM, A1 B2 A2 CH, DE, LT, LV, T A A1	20010503 20020221 AT, AU, AZ, DK, DM, DZ, IS, JP, KE, MG, MK, MN, SK, SL, TJ, MW, MZ, SD, FR, GB, GR, GA, GN, GW, 20010503 20060330 20020731 DK, ES, FR, FI, RO, MK, 20030924 20060630 20021219	WO 2000-US29370 BA, BB, BG, BR, BY, B EE, ES, FI, GB, GD, G KG, KP, KR, KZ, LC, L MW, MX, MZ, NO, NZ, P TM, TR, TT, TZ, UA, U SL, SZ, TZ, UG, ZW, A IE, IT, LU, MC, NL, P ML, MR, NE, SN, TD, T CA 2000-2387503 AU 2001-12300 EP 2000-973834 GB, GR, IT, LI, LU, N	20001026 Z, CA, CH, CN, E, GH, GM, HR, K, LR, LS, LT, L, PT, RO, RU, G, US, UZ, VN, T, BE, CH, CY, T, SE, BF, BJ, G 20001026 20001026
DT LA FAN.( PI	CODEN: PIXXD2 Patent English CNT 3 PATENT NO. 	KINI  A2 A3 AL, AM, CZ, DE, IL, IN, MA, MD, SG, SI, ZW KE, LS, ES, FI, CI, CM, A1 B2 A2 CH, DE, LT, LV, T A A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 B2 A1 A1 B2 A1 A1 B2 A1 A1 B2 A1 A1 B2 A1 A1 B2 A1 A1 B2 A1 A1 B2 A1 A1 A1 A1 A1 A1 A1 A1 A1 A1	20010503 20020221 AT, AU, AZ, DK, DM, DZ, IS, JP, KE, MG, MK, MN, SK, SL, TJ, MW, MZ, SD, FR, GB, GR, GA, GN, GW, 20010503 20010503 20010503 20020731 DK, ES, FR, FI, RO, MK, 20030924 20060630 20021219 20040928 2004111 20050614 19991026 20001026 20010316 20020315	WO 2000-US29370 BA, BB, BG, BR, BY, B EE, ES, FI, GB, GD, G KG, KP, KR, KZ, LC, L MW, MX, MZ, NO, NZ, P TM, TR, TT, TZ, UA, U SL, SZ, TZ, UG, ZW, A IE, IT, LU, MC, NL, P ML, MR, NE, SN, TD, T CA 2000-2387503 AU 2001-12300 EP 2000-973834 GB, GR, IT, LI, LU, N CY, AL JP 2001-533951 NZ 2000-519129	20001026 Z, CA, CH, CN, E, GH, GM, HR, K, LR, LS, LT, L, PT, RO, RU, G, US, UZ, VN, T, BE, CH, CY, T, SE, BF, BJ, G 20001026 20001026 L, SE, MC, PT, 20001026 20001026 20001026 20001026 20001026 20001026 20001025 20040615

# NEPTUNE GENERICS 1002 - 00157

OSC.G THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS) 3 RE.CNT 1 THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 34 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 134:37051 CA Method for immune-system strengthening and development of a lipid ΤT transporter for anti-HIV and antibacterial gene therapy ΤN Worm, Richard; Correa, Michel; Mavoungou, Donatien PA Can. SO Fr. Demande, 16 pp. CODEN: FRXXBL DT Patent LА French FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ 20001020 ΡI FR 2792201 A1 FR 1999-4706 19990415 FR 2792201 В1 20011102 PRAI FR 1999-4706 19990415 OSC.G 4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS) RE.CNT 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 35 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 132:58824 CA Compounds of vitamin B12 and its derivatives combined with ascorbic ΤT acid as potential antitumor agents Vol'pin, M. E.; Krainova, N. Yu.; Levitin, I. Ya.; Mityaeva, Z. Ya.; AU Novodarova, G. N.; Oganezov, V. K.; Pankratov, A. A.; Chissov, V. I.; Yakubovskaya, R. I. CS Inst. Elementoorg. Soedin. im. A. N. Nesmeyanova, RAN, Moscow, 117813, Russia SO Rossiiskii Khimicheskii Zhurnal (1998), 42(5), 116-127 CODEN: RKZHEZ; ISSN: 1024-6215 PB Rossiiskoe Khimicheskoe Obshchestvo im. D. I. Mendeleeva DT Journal LA Russian OSC.G THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD (9 CITINGS) 8 L15 ANSWER 36 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 131:208725 CA AN Intrathecal methotrexate-induced megaloblastic anemia in patients with ΤI acute leukemia Sallah, Sabah; Hanrahan, L. Robert, Jr.; Phillips, Debra L. Department of Medicine, Division of Hematology/Oncology, East Carolina ΑIJ CS University, School of Medicine, Greenville, NC, USA Archives of Pathology & Laboratory Medicine (1999), 123(9), 774-777 CODEN: APLMAS; ISSN: 0003-9985 SO ΡВ College of American Pathologists DT Journal LA English OSC.G 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS) 8 THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 37 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 131:120695 CA AN Targeting **leukemia** cells with cobalamin bioconjugates ΤI Mitchell, Alice M.; Bayomi, Ashraf; Natarajan, Ettaya; Barrows, Louis R.; AIJ West, Frederick G.; Grissom, Charles B. Department of Chemistry, University of Utah, Salt Lake City, UT, CS 84112-0850, USA SO Biomedical and Health Research (1999), 27 (Enzymatic Mechanisms), 150-154 CODEN: BIHREN; ISSN: 0929-6743 PBIOS Press Journa⊥ DT LA. English

42

### NEPTUNE GENERICS 1002 - 00158

THERE ARE 9 CAPLUS RECORDS THAT CITE THIS RECORD (9 CITINGS) OSC.G G RE.CNT 18 THERE ARE 18 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 38 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 129:12414 CA OREF 129:2551a,2554a ΤI Synthesis, characterization and nitric oxide release profile of nitrosylcobalamin: a potential chemotherapeutic agent ΑIJ Bauer, Joseph A. Dep. Chem., Univ. Akron, Akron, OH, 44325-3601, USA Anti-Cancer Drugs (1998), 9(3), 239-244 CS SO CODEN: ANTDEV; ISSN: 0959-4973 ΡB Rapid Science Ltd. DT Journal LA English OSC.G 14 THERE ARE 14 CAPLUS RECORDS THAT CITE THIS RECORD (14 CITINGS) RE.CNT 31 THERE ARE 31 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 39 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 128:226232 CA OREF 128:44693a,44696a Cobalt complex bioconjugates, preparation thereof, and delivery of ΤТ bioactive agents ΤN Grissom, Charles B.; West, Frederick G.; Howard, W. Allen, Jr. University of Utah Research Foundation, USA; Grissom, Charles B.; West, ΡA Frederick G.; Howard, W. Allen, Jr. PCT Int. Appl., 91 pp. SO CODEN: PIXXD2 DT Patent English LA FAN.CNT 1 KIND PATENT NO. DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_ ΡI WO 9808859 A1 19980305 WO 1997-US14140 19970822 W: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU RW: GH, KE, LS, MW, SD, SZ, UG, ZW, AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG CA 2264592 A1 19980305 CA 1997-2264592 19970822 AU 9741482 AU 1997-41482 19970822 А 19980319 AU 738431 в2 20010920 Ă1 EP 1007533 20000614 EP 1997-939382 19970822 20050622 EP 1007533 В1 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, FI NZ 334870 20001222 NZ 1997-334870 19970822 А JP 2001501596 Т 20010206 JP 1998-511674 19970822 AT 1997-939382 AT 298344 Т 20050715 19970822 ES 2244006 Т3 20051201 ES 1997-939382 19970822 В1 US 1999-202328 US 6315978 20011113 19991022 US 20020049154 A1 20020425 US 2001-982968 20011022 в2 US 6777237 20040817 US 20020111294 US 2001-982940 Α1 20020815 20011022 US 6790827 20040914 в2 US 20020115595 A1 20020822 US 2001-982892 20011022 US 6776976 в2 20040817 PRAI US 1996-24430P Ρ 19960827 US 1996-25036P Ρ 19960827 WO 1997-US14140 W 19970822 US 1999-202328 AЗ 19991022 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OS MARPAT 128:226232 OSC.G 15 THERE ARE 15 CAPLUS RECORDS THAT CITE THIS RECORD (20 CITINGS) RE.CNT THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD 4 ALL CITATIONS AVAILABLE IN THE RE FORMAT

43

### **NEPTUNE GENERICS 1002 - 00159**

L15 ANSWER 40 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 128:70422 CA OREF 128:13599a,13602a TI Experimental study evaluating the effect of combined methotrexate and fluorouracil therapy on anemia in mice with L1210 lymphoid leukemia ΔIJ Graczyk, Julia CS Dep. Pharmacology, Medical Univ. Lodz, Lodz, 90151, Pol. Pteridines (1997), 8(3), 216-227 SO CODEN: PTRDEO; ISSN: 0933-4807 PB International Society of Pteridinology DT Journal LA English L15 ANSWER 41 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 127:328691 CA AN OREF 127:64461a,64464a ΤI Immortalized human colon epithelial cell lines Blum, Stephanie; Pfeifer, Andrea; Troumvoukis, Yvonne ΤN PA Societe Des Produits Nestle S.A., Switz. SO Eur. Pat. Appl., 19 pp. CODEN: EPXXDW DT Patent LA. French FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ A1 19971022 B1 20020821 ΡI EP 802257 EP 1996-201064 19960419 EP 802257 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, PT, IE, SI, LT, LV Т AT 222598 AT 1996-201064 19960419 20020915 ES 2180689 тз 20030216 ES 1996-201064 19960419 CA 2202923 A1 19971019 CA 1997–2202923 19970416 CA 2202923 С 20080610 C2 RU 2220201 20031227 RU 1997-106170 19970416 A FI 1997-1628 FI 9701628 19971020 19970417 A B1 NO 9701757 19971020 NO 1997-1757 19970417 NO 319494 20050822 A B1 A 19971023 AU 9718933 AU 1997-18933 19970417 US 6194203 20010227 US 1997-839271 19970417 JP 10028580 JP 1997-102172 19980203 19970418 JP 3931212 в2 20070613 US 6395542 В1 20020528 US 2000-593134 20000614 US 2000-593135 US 6399381 20020604 20000614 В1 PRAI EP 1996-201064 19960419 А US 1997-839271 US 1998-6886 A3 B3 19970417 19980114 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT THERE ARE 7 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS) OSC.G 7 L15 ANSWER 42 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 125:164537 CA OREF 125:30763a,30766a Apoptosis in blood diseases. Review - new data ΤI ΑIJ Binet, J. L.; Mentz, F.; Merle-Beral, H. Department Hematology, Hopital Pitie-Salpetriere, Paris, F-75651/13, Fr. Hematology and Cell Therapy (1996), 38(3), 253-264 CODEN: HCTHFA; ISSN: 1430-2772 CS SO ΡB Springer DT Journal; General Review LA English THERE ARE 11 CAPLUS RECORDS THAT CITE THIS RECORD (11 CITINGS) OSC.G 11 L15 ANSWER 43 OF 88 CA COPYRIGHT 2009 ACS on STN <u>Full Text</u> AN 125:8488 CA OREF 125:1955a,1958a TI Anti-receptor and growth blocking agents to the vitamin

**APOTEX 1002 - 0160** 

NEPTUNE GENERICS 1002 - 00160

B12/transcobalamin II receptor and binding sites Morgan, A. Charles, Jr.; Quadros, Edward V.; Rothenberg, Sheldon P. Receptagen Corporation, USA; State University of New York ΤN PA SO PCT Int. Appl., 65 pp. CODEN: PIXXD2 DT Patent LA English FAN. CNT 3 KIND DATE PATENT NO. APPLICATION NO. DATE A1 19960321 WO 1995-US12207 19950913 \_\_\_\_\_ WO 9608515 РT LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG US 5688504 A 19971118 US 1994-306504 AU 9536833 A 19960329 AU 1995-36833 EP 783526 A1 19970716 EP 1995-934520 EP 783526 B1 20060301 19940913 19950913 19950913 EP /83526 B1 20060301 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LI, LU, MC, NL, PT, SE JP 10508831 T 19980902 JP 1995-510437 19950913 PRAI US 1994-306504 A 19940913 US 1995-381522 A 19950131 US 1995-476440 A 19950607 US 1992-880540 B2 19920508 WO 1995-US12207 W 19950913 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT RE.CNT 1 THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 44 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 124:176815 CA OREF 124:32818h, 32819a ТΤ Preparation of vitamin B12 derivatives as receptor modulating agents for treating cancers ΤN Morgan, A. Charles; Wilbur, D. Scott; Pathare, Pradip M. ΡA USA PCT Int. Appl., 101 pp. SO CODEN: PIXXD2 DTPatent English LA. FAN.CNT 6 
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 ΡT A1 19970122 B1 20021009 EP 754189 EP 754189 B1 20021009 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LI, LU, MC, NL, PT, SE JP 10502334 T 19980303 JP 1995-526497 19950407 AT 225799 T 20021015 AT 1995-916284 19950407 US 6083926 A 20000704 US 1998-200422 19981123 PRAI US 1994-224831 A 19940408 US 1995-406191 A 19950316 US 1995-406192 A 19950316 US 1995-406194 A 19950316 US 1995-406194 A 19950316 US 1995-406194 A 19950316 US 1995-405194 A 19950407 US 1995-545151 A3 19951019 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OS MARPAT 124:176815 OSC.G 9 RE.CNT 3 THERE ARE 9 CAPLUS RECORDS THAT CITE THIS RECORD (10 CITINGS) THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT

45

## NEPTUNE GENERICS 1002 - 00161

L15 ANSWER 45 OF 88 CA COPYRIGHT 2009 ACS on STN <u>Full Text</u> AN 120:227009 CA OREF 120:40121a,40124a TI Prevention of birth defects and childhood **cancer** with fluoride IN Grogan, Jack R., Jr. PA USA Can. Pat. Appl., 17 pp. SO CODEN: CPXXEB DT Patent English LА FAN.CNT 2 KIND DATE PATENT NO. APPLICATION NO. DATE -----\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ 19920616 19931217 CA 1992-2071378 ΡТ CA 2071378 A1 GB 2267824 19931222 GB 1992-12672 19920615 А PRAI CA 1992-2071378 19920616 L15 ANSWER 46 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 119:131055 CA AN OREF 119:23285a,23288a Influence of cobalamin on the survival of mice bearing ascites **tumor** ΤI AU Tsao, Constance S.; Myashita, Koichi CS Linus Pauling Inst. Sci. Med., Palo Alto, CA, 94306, USA Pathobiology (1993), 61(2), 104-8 CODEN: PATHEF; ISSN: 1015-2008 SO DT Journal LΑ English OSC.G 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS) L15 ANSWER 47 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 119: 119:39993 CA OREF 119:7079a,7082a ΤI Vitamins as chemotherapeutic and chemopreventive agents AU Ryan, Donna H.; Starr, Barry CS Pennington Biomed. Res. Cent., Baton Rouge, LA, 70808, USA SO Pennington Center Nutrition Series (1993), 3(Vitamins and Cancer Prevention), 147-60 CODEN: PCNSEW; ISSN: 1063-8822 DT Journal; General Review LА English L15 ANSWER 48 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 116: 116:75807 CA OREF 116:12671a,12674a TI Effect of combined ascorbic acid and B-12 on survival of mice with implanted Ehrlich carcinoma and L1210 leukemia ATT Poydock, M. Eymard Cancer Res. Inst., Mercyhurst Coll., Erie, PA, 16546, USA CS American Journal of Clinical Nutrition (1991), 54(6, Suppl.), 1261S-1265S SO CODEN: AJCNAC; ISSN: 0002-9165 DT Journal LA English THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS) OSC.G 4 L15 ANSWER 49 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 115:126995 CA OREF 115:21549a,21552a New vitamin B12 derivatives, production thereof, and applications thereof ΤI ΤN Toraya, Tetsuo; Ishida, Atsuhiko; Uejima, Yasuhide; Fujii, Katsuhiko ΡA Teijin Ltd., Japan PCT Int. Appl., 49 pp. SO CODEN: PIXXD2 DT Patent LА Japanese FAN.CNT 1 KIND DATE APPLICATION NO. DATE PATENT NO. \_\_\_\_\_

46

## NEPTUNE GENERICS 1002 - 00162 APOTEX 1002 - 0162

PI WO 9010014 A1 19900228 19900907 WO 1990-JP253 W: US RW: CH, DE, FR, GB, IT JP 02289597 Α 19901129 JP 1990-45905 19900228 JP 2962755 В2 19991012 19910508 EP 1990-903929 EP 425680 A1 19900228 R: CH, DE, FR, GB, IT, LI US 5405839 19950411 US 1993-104606 19930811 А PRAI JP 1989-45172 А 19890228 WO 1990-JP253 W 19900228 US 1990-601778 В1 19901026 0S MARPAT 115:126995 OSC.G 9 RE.CNT 2 THERE ARE 9 CAPLUS RECORDS THAT CITE THIS RECORD (11 CITINGS) THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 50 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 106:98888 CA AN OREF 106:16133a,16136a Rapid determination of serum transcobalamins ΤT Hu, Jiuru; Wang, Fumin; Dou, Huanfu; Wang, Liangxu Nav. Gen. Hosp., Peop. Rep. China AU CS Zhonghua Xueyexue Zazhi (1986), 7(7), 431-3 SO CODEN: CHTCD7; ISSN: 0253-2727 DT Journal LA Chinese L15 ANSWER 51 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 105: 105:126980 CA OREF 105:20333a,20336a ΤI Effects of 5-fluorouracil treatment of rat leukemia with concomitant inactivation of cobalamin Kroes, A. C. M.; Ermens, A. A. M.; Lindemans, J.; Abels, J. Inst. Hematol., Erasmus Univ., Rotterdam, Neth. Anticancer Research (1986), 6(4), 737-42 AU CS SO CODEN: ANTRD4; ISSN: 0250-7005 DT Journal LA English OSC.G THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS) - 3 L15 ANSWER 52 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 105:108097 CA OREF 105:17335a,17338a Enhanced therapeutic effect of methotrexate in experimental rat **leukemia** ΤI after inactivation of cobalamin (vitamin B12) by nitrous oxide Kroes, A. C. M.; Lindemans, J.; Schoester, M.; Abels, J. Inst. Hematol., Erasmus Univ., Rotterdam, 3000 DR, Neth. ΑIJ CS Cancer Chemotherapy and Pharmacology (1986), 17(2), 114-20 SO CODEN: CCPHDZ; ISSN: 0344-5704 DT Journal English LA OSC.G THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS) 5 L15 ANSWER 53 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 105:76826 CA AN OREF 105:12445a,12448a Kinetics of 57Co-cyanocobalamin distribution in organs and tissues of mice ТΤ with transplanted **tumors** Vares, Yu. V.; Myasishcheva, N. V. Res. Inst. Carcinogen., Moscow, 115478, USSR AU CS Eksperimental'naya Onkologiya (1986), 8(3), 33-6 SO CODEN: EKSODD; ISSN: 0204-3564 DT Journal Russian LA L15 ANSWER 54 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 104:84931 CA

47

## NEPTUNE GENERICS 1002 - 00163 APOTEX 1002 - 0163

OREF 104:13417a,13420a ТΤ Simultaneous multiple assays and compounds and compositions useful in them Olson, Douglas Richard ΤN Micromedic Systems, Inc., USA PA Eur. Pat. Appl., 26 pp. SO CODEN: EPXXDW DT Patent LA. English FAN.CNT 2 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ EP 165716 A1 19851227 ΡT EP 1985-303564 19850521 EP 165716 В1 19900131 R: AT, BE, CH, DE, FR, GB, IT, LI, LU, NL, SE US 4672028 A 19870609 US 1984-612979 19840523 AT 1985-303564 19900215 AT 50066 19850521 Т А В2 AU 8542798 19851128 AU 1985-42798 19850523 AU 582970 19890413 JP 1985-111312 JP 61000092 А 19860106 19850523 PRAI US 1984-612979 A 19840523 EP 1985-303564 19850521 А ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD (9 CITINGS) OSC.G 8 L15 ANSWER 55 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 103:213903 CA OREF 103:34477a,34480a TI Mitogenic inhibition and effect on survival of mice bearing L1210 leukemia using a combination of dehydroascorbic acid and hydroxycobalamin Poydock, M. E.; Harguindey, S.; Hart, T.; Takita, H.; Kelly, D. ΑIJ Cancer Res. Unit, Mercyhurst Coll., Erie, PA, USA American Journal of Clinical Oncology (1985), 8(3), 266-9 CODEN: AJCODI; ISSN: 0277-3732 CS SO DT Journal LA English THERE ARE 7 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS) OSC.G 7 L15 ANSWER 56 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 99:35419 CA AN OREF 99:5533a,5536a ТΤ Studies of the radioimmunoassay of serum haptocorrin and its clinical application AU Saito, Kainosuke CS Dep. Intern. Med., Sapporo Med. Coll., Sapporo, Japan Sapporo Igaku Zasshi (1983), 52(2), 237-52 SO CODEN: SIZSAR; ISSN: 0036-472X DT Journal LA Japanese L15 ANSWER 57 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 97:107723 CA AN OREF 97:17883a,17886a TI Production of transcobalamin II by various murine and human cells in culture AU Rabinowitz, R.; Rachmilewitz, B.; Rachmilewitz, M.; Schlesinger, M. CS Hadassah Med. Sch., Hebrew Univ., Jerusalem, 91010, Israel Israel Journal of Medical Sciences (1982), 18(7), 740-5 SO CODEN: IJMDAI; ISSN: 0021-2180 DT Journal English LA OSC.G 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) L15 ANSWER 58 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 97:5040 CA OREF 97:987a,990a ΤI Influence of vitamins C and B12 on the survival rate of mice bearing ascites **tumor** AIJ Poydock, M. Eymard; Reikert, D.; Rice, J.

48

NEPTUNE GENERICS 1002 - 00164

Mercyhurst Coll., Erie, PA, 16546, USA Experimental Cell Biology (1982), 50(2), 88-91 CS SO CODEN: ECEBDI; ISSN: 0304-3568 DT Journal English LA OSC.G 4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS) L15 ANSWER 59 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 95:93426 CA OREF 95:15687a,15690a ΤI Determination of transcobalamins ΤN Selhub, Jacob; Rachmilewitz, Bracha; Grossowicz, Nathan PA Yissum Research Development Co., Israel SO U.S., 8 pp. Cont.-in-part of U.S. 4,167,556. CODEN: USXXAM DT Patent LА English FAN.CNT 4 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ ΡI US 4273757 А 19810616 US 1978-961771 19781117 CA 1092956 A1 19810106 CA 1977-278950 19770520 US 1977-802379 US 4167556 А 19790911 19770602 A2 PRAI US 1977-802379 19770602 IL 1976-49662 19760526 А US 1978-961771 А 19781117 OSC G 13 THERE ARE 13 CAPLUS RECORDS THAT CITE THIS RECORD (16 CITINGS) L15 ANSWER 60 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 90:99501 CA OREF 90:15677a,15680a The identification and measurement of a folate-binding protein in human ΤI serum by radioimmunoassay Da Costa, Maria; Rothenberg, Sheldon P.; Fischer, Craig; Rosenberg, Zoltan AU CS Dep. Med., New York Med. Coll., New York, NY, USA Journal of Laboratory and Clinical Medicine (1978), 91(6), 901-10 SO CODEN: JLCMAK; ISSN: 0022-2143 DTJournal English LA OSC.G THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS) 1 L15 ANSWER 61 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 89:40483 CA AN OREF 89:6263a,6266a Vitamin B12-binding proteins in serum and plasma in various disorders. ΤT Effect of anticoagulants AU Carmel, Ralph CS Dep. Med., Univ. Southern California Sch. Med., Los Angeles, CA, USA American Journal of Clinical Pathology (1978), 69(3), 319-25 SO CODEN: AJCPAI; ISSN: 0002-9173 DT Journal LA English OSC.G 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS) L15 ANSWER 62 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 88:150028 CA AN OREF 88:23630h,23631a ΤT Vitamin B12 and vitamin B12 binding proteins in liver diseases Areekul, Suvit; Panatampon, Piangporn; Doungbarn, Jiraporn AU CS Fac. Trop. Med., Mahidol Univ., Bangkok, Thailand SO Southeast Asian Journal of Tropical Medicine and Public Health (1977), 8(3), 322-8 CODEN: SJTMAK; ISSN: 0125-1562 DTJournal LΑ English 2 OSC.G THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) L15 ANSWER 63 OF 88 CA COPYRIGHT 2009 ACS on STN

49

NEPTUNE GENERICS 1002 - 00165

Full Text AN 88:20262 CA OREF 88:3251a,3254a ΤI Analysis of cobalamin coenzymes in tumor cells of mice spleen Vares, Yu. V.; Myasishcheva, N. V. AU CS Oncol. Res. Cent., Moscow, USSR SO Voprosy Meditsinskoi Khimii (1977), 23(5), 681-4 CODEN: VMDKAM; ISSN: 0042-8809 DT Journal LA. Russian L15 ANSWER 64 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 86:153564 CA AN OREF 86:24107a,24110a Hemoglobin A2 levels in health and various hematologic disorders ΤТ Alperin, Jack B.; Dow, Patricia A.; Petteway, Mozellar B. Dep. Intern. Med., Univ. Texas, Galveston, TX, USA AU CS American Journal of Clinical Pathology (1977), 67(3), 219-26 SO CODEN: AJCPAI; ISSN: 0002-9173 DT Journal LA English OSC.G THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD (6 CITINGS) 6 L15 ANSWER 65 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 86:137655 CA OREF 86:21624h,21625a Determination of the unsaturated vitamin B12 binding capacity in ΤT normal and physiopathological conditions AU Areekul, Suvit; Vongtapvanish, Srisuda CS Fac. Trop. Med., Mahidol Univ., Bangkok, Thailand SO Southeast Asian Journal of Tropical Medicine and Public Health (1976), 7(3), 496-8 CODEN: SJIMAK; ISSN: 0125-1562 DT Journal LA English L15 ANSWER 66 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 86:3 AN CA OREF 86:1a ТΤ B12-dependent methionine synthetase as a potential target for cancer chemotherapy Huennekens, F. M.; DiGirolamo, P. M.; Fujii, K.; Jacobsen, D. W.; Vitols, AU K. S. CS Dep. Biochem., Scripps Clin. Res. Found., La Jolla, CA, USA Advances in Enzyme Regulation (1976), 14, 187-205 SO CODEN: AEZRA2; ISSN: 0065-2571 DT Journal; General Review English LΑ OSC.G THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS) -5 L15 ANSWER 67 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 82:29483 CA OREF 82:4708h,4709a Granulocyte colony stimulating activity and vitamin B12 binding ΤI proteins in human urine AU Gibson, Emma L.; Herbert, Victor; Robinson, William A. Med. Cent., Univ. Colorado, Denver, CO, USA British Journal of Haematology (1974), 28(2), 191-7 CS SO CODEN: BJHEAL; ISSN: 0007-1048 DT Journal LA English L15 ANSWER 68 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 81:89342 CA OREF 81:14171a,14174a TI Characteristics of a novel serum vitamin B12-binding protein associated with hepatocellular carcinoma

50

NEPTUNE GENERICS 1002 - 00166 APOTEX 1002 - 0166

Waxman, Samuel; Gilbert, Harriet S. ΑIJ Mt. Sinai Sch. Med., City Univ. New York, New York, NY, USA CS British Journal of Haematology (1974), 27(2), 229-39 SO CODEN: BJHEAL; ISSN: 0007-1048 DT Journal LA English L15 ANSWER 69 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 80:131413 CA OREF 80:21193a,21196a ΤI N5-Methyltetrahydrofolate:homocysteine methyltransferase activity in extracts from normal, malignant, and embryonic tissue culture cells AIJ Ashe, Hilary; Clark, Brian R.; Chu, Fred; Hardy, Dorothy N.; Halpern, Barbara C.; Halpern, Richard M.; Smith, Roberts A. CS Mol. Biol. Inst., Univ. California, Los Angeles, CA, USA SO Biochemical and Biophysical Research Communications (1974), 57(2), 417-25 CODEN: BBRCA9; ISSN: 0006-291X DT Journal LA English OSC.G THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD (6 CITINGS) 6 L15 ANSWER 70 OF 88 CA COPYRIGHT 2009 ACS on STN Text Full AN 80:25638 CA OREF 80:4234h,4235a ΤI Glutathione peroxidase in human red cells in health and disease Hopkins, J.; Tudhope, G. R. AU CS Dep. Pharmacol. Ther., Univ. Dundee, Dundee, UK SO British Journal of Haematology (1973), 25(5), 563-75 CODEN: BJHEAL; ISSN: 0007-1048 DT Journal LA English OSC.G THERE ARE 49 CAPLUS RECORDS THAT CITE THIS RECORD (49 CITINGS) 49 L15 ANSWER 71 OF 88 CA COPYRIGHT 2009 ACS on STN Full AN 77:138108 CA OREF 77:22717a,22720a Leukemogenesis by Rauscher virus in mice ΤI Irino, Ŝhozo; Miyoshi, Isao; Sezaki, Tatsuo; Nagao, Tadami; Taguchi, AU Hirokuni; Hara, Koichi; Hiraki, Kiyoshi Med. Sch., Okayama Univ., Okayama, Japan Exp. Leukemogenesis, Pap. Jap. Cancer Ass. Symp. Exp. Leuk. Res. (1972), Meeting Date 1970, 47-63. Editor(s): Yamamoto, Tadashi. CS SO Jap. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 25POAE Conference DT English LA L15 ANSWER 72 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 76:70733 CA AN OREF 76:11401a,11404a Formiminoglutamic acid excretion after histidine loading in folic ΤI acid-vitamin B12 metabolic disturbances AIJ Wilmanns, W. Med. Universitaetsklin., Tuebingen, Fed. Rep. Ger. CS SO Wissenschaftliche Veroeffentlichungen der Deutschen Gesellschaft fuer Ernaehrung (1971), 19, 30-46 CODEN: WVGEAP; ISSN: 0043-6828 DT Journal LA German L15 ANSWER 73 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 75:96679 CA AN OREF 75:15287a,15290a ТΤ Increased transcobalamin I in a leukemoid reaction AU Hall, Charles A.; Wanko, Maxine Hematol. Res. Lab., Albany Veterans Adm. Hosp., Albany, NY, USA CS SO Journal of Laboratory and Clinical Medicine (1971), 78(2), 298-301

51

NEPTUNE GENERICS 1002 - 00167

CODEN: JLCMAK; ISSN: 0022-2143 DT Journal LA English OSC.G 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) L15 ANSWER 74 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 74:40522 CA AN OREF 74:6517a,6520a ТΤ Acquired aplastic anemia ΑIJ Keiser, G. CS Med. Abt., Buergerspital, Zug, Switz. Deutsche Medizinische Wochenschrift (1970), 95(40), 2032-4 SO CODEN: DMWOAX; ISSN: 0012-0472 DT Journal German LA. L15 ANSWER 75 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 71:28714 CA OREF 71:5289a,5292a ΤI Determination of blood folate activity in humans in healthy and in various pathological states ATT Karlin, Rosalie Inst. Pasteur, Lyons, Fr. Internationale Zeitschrift fuer Vitaminforschung (1969), 39(1), 44-64 CS SO CODEN: IZVIAK; ISSN: 0020-9406 DT Journal French LA. L15 ANSWER 76 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 71:11249 CA OREF 71:2051a,2054a ΤI Vitamin B12 and some indexes of nucleic acid metabolism in leukemia Sheremet, Z. I.; Myasishcheva, N. V. AU Inst. Eksp. Klin. Önkol., Moscow, USSR Probl. Leikozov (1967), 164-70. Editor(s): Rostovtsev, N. F. Publisher: CS SO Izd. "Kolos", Moscow, USSR. CODEN: 20XPAO DT Conference LA Russian L15 ANSWER 77 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 70:94909 CA OREF 70:17731a,17734a Uptake of labeled vitamin B12 and 4-iodophenvlalanine in some tumors ТΤ of mice AU Blomquist, Lars; Flodh, H.; Ullberg, Sven Dep. Pharmacol., Roy. Vet. Coll., Stockholm, Swed. CS Experientia (1969), 25(3), 294-6 SO CODEN: EXPEAM; ISSN: 0014-4754 DT Journal LA English OSC.G THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) L15 ANSWER 78 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 69:8 69:84990 CA OREF 69:15874h,15875a ТΤ Determination of formiminoglutamic acid excretion as a functional test for disturbances in folic acid and vitamin B12 metabolism ΑIJ Wilmanns, W.; Burgmann, T. Med. Universitaetsklin. Tuebingen, Tuebingen, Fed. Rep. Ger. CS Deutsche Medizinische Wochenschrift (1968), 93(38), 1801-6 SO CODEN: DMWOAX; ISSN: 0012-0472 DT Journal German LΑ L15 ANSWER 79 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text

52

63:91925 CA AN OREF 63:16915d-f Adenosylmethionine elevation in leukemic white blood cells ТΤ Baldessarini, Ross J.; Carbone, Paul P. Natl. Cancer Inst., Bethesda, MD AU CS Science (Washington, DC, United States) (1965), 149(3684), 644-5 SO CODEN: SCIEAS; ISSN: 0036-8075 DT Journal LA English L15 ANSWER 80 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 61:71260 CA AN OREF 61:12425g-h Some investigations of folic acid deficiency ТΤ AIJ Kershaw, P. W.; Girdwood, R. H. Roy. Infirmary, Edinburgh Scot. Med. J. (1964), 9(5), 201-12 CS SO DT Journal LA Unavailable L15 ANSWER 81 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 60:41018 CA OREF 60:7258h,7259a ΤT Serum protein changes and organ dye concentrations in trypan blue carcinogenesis Brown, D. V.; Norlind, L. M.; Adamovics, A.; Bowen, A. AU Univ. of Washington, Seattle CS SO Proceedings of the Society for Experimental Biology and Medicine (1963), 114, 290-3 CODEN: PSEBAA; ISSN: 0037-9727 DT Journal Unavailable LA L15 ANSWER 82 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 60:5296 CA OREF 60:961a-d ΤI Red cell enzymes in anemia Vuopio, Pekka AU CS Finnish Red Cross Blood Transfusion Serv., Helsinki Scandinavian Journal of Clinical and Laboratory Investigation (1963), SO Suppl. 15(72), 90 pp. CODEN: SJCLAY; ISSN: 0036-5513 DT Journal Unavailable LA L15 ANSWER 83 OF 88 CA COPYRIGHT 2009 ACS on STN Text Full ΑN 55:18970 CA OREF 55:3798e-h Co58-[Vitamin] B12 absorption, plasma transport, and excretion in ΤT patients with myeloproliferative disorders, solid tumors, and non-neoplastic disease Weinstein, I. Bernard; Watkin, Donald M. Natl. Cancer Inst. Bethesda, MD AU CS Journal of Clinical Investigation (1960), 39, 1667-74 SO CODEN: JCINAO; ISSN: 0021-9738 DT Journal Unavailable LΑ L15 ANSWER 84 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 54:131385 CA OREF 54:25240i,25241a Clearance of intravenously injected radioactive cobalt-labeled vitamin ТΤ B12 in chronic myeloid leukemia and other conditions AU Ritz, Norton D.; Meyer, Leo M. CS Maimonides Hosp., Brooklyn, NY Cancer (1960), 13, 1000-7 SO DT Journal

## NEPTUNE GENERICS 1002 - 00169

LA Unavailable L15 ANSWER 85 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 52:115884 CA AN OREF 52:20584a-b ΤI The diagnostic value of the determination of **vitamin B12** in body fluids in diseases of the blood and liver AU Rachmilewitz, M.; Stein, Y. Rothschild Hadassah Univ. Hosp., Jerusalem, Israel Harefuah (1958), 54, 167-70 CS SO CODEN: HAREA6; ISSN: 0017-7768 DT Journal LA Unavailable L15 ANSWER 86 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 52:78440 CA AN OREF 52:13964a-c ТΤ Serum vitamin B12 concentrations determined by Lactobacillus leichmannii assay in patients with **neoplastic** disease Mendelsohn, Robert S.; Watkin, Donald M. Natl. Insts. Health, Bethesda, MD AU CS Journal of Laboratory and Clinical Medicine (1958), 51, 860-6 CODEN: JLCMAK; ISSN: 0022-2143 SO DT Journal LA Unavailable OSC.G 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) L15 ANSWER 87 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 52:46370 CA AN OREF 52:8346c-f Chromatography of serum proteins in normal and pathologic serums: the distribution of protein-bound carbohydrate and cholesterol, siderophilin, ΤI thyroxine-binding protein, vitamin B12-binding protein, alkaline and acid phosphatases, radioiodinated albumin, and myeloma proteins Fahey, John L.; McCoy, Patricia F.; Goulian, Mehran Natl. Insts. of Health, Bethesda, MD AII CS Journal of Clinical Investigation (1958), 37, 272-84 SO CODEN: JCINAO; ISSN: 0021-9738 DТ Journal Unavailable LA L15 ANSWER 88 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 50:9 50:90938 CA OREF 50:17113g-i,17114a Pathology and physiology of zinc metabolism ТΤ AU Wolff, H. P. Univ. Marburg a.d. Lahn, Germany CS Klinische Wochenschrift (1956), 34, 409-18 SO CODEN: KLWOAZ; ISSN: 0023-2173 DT Journal LA Unavailable OSC.G 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS) => d an ti in au so pi ab kwic 44 47 L15 ANSWER 44 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 124: 124:176815 CA OREF 124:32818h,32819a ΤI Preparation of **vitamin B12** derivatives as receptor modulating agents for treating cancers Morgan, A. Charles; Wilbur, D. Scott; Pathare, Pradip M. ΤN IN Morgan, A. Charles; Wilbur, D. Scott; Pathare, Pradip M. PCT Int. Appl., 101 pp. SO CODEN: PIXXD2 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_

54

NEPTUNE GENERICS 1002 - 00170 APOTEX 1002 - 0170

- 19951019 WO 1995-US4404 WO 9527723 19950407 РT A1 W: AU, CA, JP, KR, NO, NZ RW: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE US 5739287 А 19980414 US 1995-406192 19950316 US 5840880 19981124 US 1995-406191 19950316 А US 5869465 А 19990209 US 1995-406194 19950316 AU 9522835 А 19951030 AU 1995-22835 19950407 EP 1995-916284 EP 754189 19970122 Α1 19950407 EP 754189 в1 20021009 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LI, LU, MC, NL, PT, SE 10502334 T 19980303 JP 1995-526497 19950407 JP 10502334 AT 225799 Т 20021015 AT 1995-916284 19950407 US 6083926 20000704 US 1998-200422 19981123 А
- AB Receptor modulating agents comprising a vitamin B12 targeting mol. coupled to a rerouting moiety (I; R1 - R7 = a linker, through which a rerouting moiety is coupled), which are capable of modulating cell surface receptors by affecting the cell surface receptor trafficking pathway via retaining an agent/receptor complex in an endosome, are prepd. Said rerouting moiety is preferably (1) a lysosomotropic moiety selected from aminoglycoside antibiotics such as gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin, ribostamycin, butirosin, and streptomycin, (2) a peptide sorting sequence selected from endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides, and clathrin-binding peptides., and (3) a conditional membrane binding peptide selected from charged glutamate, aspartate, and histidine. These receptor modulating agents are useful for treating neoplastic disorders such as leukemia, sarcoma, myeloma, carcinoma, neuroma, melanoma, cancers of the lung, liver, breast, colon, cervix, and prostate, Hodgkin's disease, and non-Hodgkin's lymphoma. Thus, a mixt. of 500 mg cyanocobalamin monocarboxylic acids I (R1 = R7 = OH, R2 - R6 = NH2; R1 = R3 - R6 = NH2, R2 = R7 = OH; R1 - R3 = R5 = R6 = NH2, R4 = R7 = OH) (prepn. given) and 3.6 g 1,12-diaminododecane in 100 mL H2O was adjusted to pH 6 with 1 N HCl, treated with 726 mg 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide hydrochloride, and stirred at room temp. for 22 h to give cyanocobalamin monocarboxylic acid N-(12-aminododecyl)amides I [R1 = NH(CH2)12NH2, R2 - R6 = NH2, R7 = OH] and I [R1 = R3 - R6 = NH2, R2 = NH(CH2)12NH2, R7 = OH] (II). II at 10  $\mu$ M in vitro killed 85% K562 cells.
- TI Preparation of **vitamin B12** derivatives as receptor modulating agents for treating cancers
- AB Receptor modulating agents comprising a vitamin B12 targeting mol. coupled to a rerouting moiety (I; R1 - R7 = a linker, through which a rerouting moiety is. . . a conditional membrane binding peptide selected from charged glutamate, aspartate, and histidine. These receptor modulating agents are useful for treating **neoplastic** disorders such as **leukemia**, sarcoma, myeloma, **carcinoma**, neuroma, melanoma, cancers of the lung, liver, breast, colon, cervix, and prostate, Hodgkin's disease, and non-Hodgkin's lymphoma. Thus, a mixt. . .
- ST vitamin B12 deriv prepn receptor modulating; anticancer vitamin B12 deriv; aminoglycoside antibiotic conjugate vitamin B12; peptide conjugate vitamin B12; conditional membrane binding peptide
- TΤ Peptides, preparation RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (peptide sorting sequence (e.g. endoplasmic retention peptides) or conditional membrane binding peptide; prepn. of vitamin B12-peptide conjugates as receptor modulating agents for treating cancers) IΤ Receptors RL: BPR (Biological process); BSU (Biological study, unclassified); BIOL (Biological study); PROC (Process) (prepn. of vitamin B12 derivs. as receptor modulating agents affecting cell surface receptor trafficking pathway for treating cancers) ΤТ Neoplasm inhibitors (prepn. of vitamin B12 derivs. as receptor modulating agents for treating cancers) ТΤ Antibiotics RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use);
  - BIOL (Biological study); PREP (Preparation); USES (Uses)

55

(aminoglycoside, prepn. of vitamin B12 -aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) ΤT 57-92-1DP, Streptomycin, vitamin B12 conjugate 59-01-8DP, Kanamycin, vitamin B12 conjugate 1403-66-3DP, Gentamycin, vitamin B12 conjugate 1404-04-2DP, Neomycin, **vitamin B12** conjugate 7542-37-2DP, Paromomycin, **vitamin B12** conjugate 12772-35-9DP, Butirosin, vitamin B12 conjugate 25546-65-0DP, Ribostamycin, vitamin B12 conjugate 32385-11-8DP, Sisomicin, vitamin B12 conjugate 32986-56-4DP, Tobramycin, vitamin B12 conjugate 37517-28-5DP, Amikacin, **vitamin B12** conjugate 56391-56-1DP, Netilmicin, **vitamin B12** conjugate 160927-56-0P 173341-36-1P 173341-37-2P 173341-38-3P 173341-39-4P 173341-43-0P 173341-40-7P 173341-41-8P 173341-42-9P 173341-44-1P 173341-45-2P 173341-46-3P 173341-47-4P 173341-48-5P 173341-52-1P 173341-53-2P 173341-54-3P RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (prepn. of vitamin B12 derivs. as receptor modulating agents for treating cancers) IT 68-19-9, Cyanocobalamin 99-31-0, 5-Aminoisophthalic acid 99-63-8, 1,3-Benzenedicarbonyl dichloride 108-30-5, reactions 769-39-1, 2,3,5,6-Tetrafluorophenol 813-19-4, Bis(tributyltin) 1711-02-0, 4-Iodobenzoyl chloride 2783-17-7, 1,12-Diaminododecane 35013-72-0 110079-43-1 RL: RCT (Reactant); RACT (Reactant or reagent) (prepn. of vitamin B12 derivs. as receptor modulating agents for treating cancers) 72040-64-3P 173341-22-5P ΤТ 173341-23-6P 173341-24-7P 173341-25-8P 173341-26-9P 173341-27-0P 173341-28-1P 173341-29-2P 173341-30-5P 173341-32-7P 173341-34-9P 173341-31-6P 173341-33-8P 173341-35-0P 173341-49-6P 173341-50-9P 173341-51-0P 173341-59-8P RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (prepn. of vitamin B12 derivs. as receptor modulating agents for treating cancers) IΤ 173341-57-6P 173341-58-7P 173341-56-5P RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (prepn. of vitamin B12-aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) 86-38-4, 6,9-Dichloro-2-methoxyacridine 51857-17-1 RL: RCT (Reactant); RACT (Reactant or reagent) ΤТ 99008-43-2 (prepn. of vitamin B12-aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) ΤТ 7657-92-3P 121714-48-5P RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (prepn. of vitamin B12-aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) L15 ANSWER 47 OF 88 CA COPYRIGHT 2009 ACS on STN Text Full 119:39993 CA AN OREF 119:7079a,7082a ΤT Vitamins as chemotherapeutic and chemopreventive agents ΑIJ Ryan, Donna H.; Starr, Barry SO Pennington Center Nutrition Series (1993), 3(Vitamins and Cancer Prevention), 147-60 CODEN: PCNSEW; ISSN: 1063-8822 A review with 45 refs. Therapy with retinoids has produced objective AB responses in patients with some types of skin cancer, and tretinoin is effective in producing terminal differentiation and complete remission in acute promyelocytic leukemia. Cancer chemoprevention trails are under way evaluating the activity of multiple vitamin prepns., beta-carotene, retinoids, vitamin C, vitamin E, vitamin B12, vitamin B6, and folate. Since carcinogenesis is a multistage process that can occur over decades in humans, efficient evaluation of chemopreventive agents requires

56

## NEPTUNE GENERICS 1002 - 00172

research strategies utilizing intermediate biol. end points. Preneoplasia, classically defined histol. cellular change, is being redefined by advances in mol. and cell biol. Vitamins have been exploited as unproven remedies to vulnerable **cancer** patients, but now vitamins and their derivs. have an emerging role in **cancer** chemotherapy and chemoprevention.

- AB A review with 45 refs. Therapy with retinoids has produced objective responses in patients with some types of skin cancer, and tretinoin is effective in producing terminal differentiation and complete remission in acute promyelocytic leukemia. Cancer chemoprevention trails are under way evaluating the activity of multiple vitamin prepns., beta-carotene, retinoids, vitamin C, vitamin E, vitamin B12, vitamin B6, and folate. Since carcinogenesis is a multistage process that can occur over decades in humans, efficient evaluation of chemopreventive agents requires research strategies utilizing. . . change, is being redefined by advances in mol. and cell biol. Vitamins have been exploited as unproven remedies to vulnerable cancer patients, but now vitamins and their derivs. have an emerging role in cancer chemotherapy and chemoprevention.
- RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); BIOL (Biological study)

(cancer chemotherapeutic and chemopreventive activity of)

=> file uspatall COST IN U.S. DOLLARS SINCE FILE TOTAL. ENTRY SESSION FULL ESTIMATED COST 149.18 175.76 DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS) SINCE FILE TOTAL ENTRY SESSION CA SUBSCRIBER PRICE -1.56 -1.56 FILE 'USPATFULL' ENTERED AT 23:42:50 ON 31 AUG 2009 CA INDEXING COPYRIGHT (C) 2009 AMERICAN CHEMICAL SOCIETY (ACS) FILE 'USPATOLD' ENTERED AT 23:42:50 ON 31 AUG 2009 CA INDEXING COPYRIGHT (C) 2009 AMERICAN CHEMICAL SOCIETY (ACS) FILE 'USPAT2' ENTERED AT 23:42:50 ON 31 AUG 2009 CA INDEXING COPYRIGHT (C) 2009 AMERICAN CHEMICAL SOCIETY (ACS) => s l1 2261 L1 т.16 => s (vitamin b12 or hydroxycobolamin or chlorocobolamin or aquocobolamin or cobolamin or az 6738 (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCOBOL L17 AMIN OR COBOLAMIN OR AZIDOCOBOLAMIN) => s (vitamin b12 or hydroxycobolamin or chlorocobolamin or aquocobolamin or cobolamin or az L18 888 (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCOBOL AMIN OR COBOLAMIN OR AZIDOCOBOLAMIN)/CLM => s 116 or 117 L19 7872 L16 OR L17 => s 116 or 118 L20 2538 L16 OR L18 => s (cancer or anti-neoplast? or neoplast? or carcin? or tumor?) L21 271712 (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?) => s (cancer or anti-neoplast? or neoplast? or carcin? or tumor?)/clm 59768 (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?)/CLM L22 => s 119 and 121 4265 L19 AND L21 L23 => s 120 and 122 254 L20 AND L22 L24 => s leukemia?

57

## NEPTUNE GENERICS 1002 - 00173 APOTEX 1002 - 0173

T.25 72327 LEUKEMIA? => s leukemia?/clm L26 8743 LEUKEMIA?/CLM => s 123 and 125 L27 1851 L23 AND L25 => s 124 and 126 L28 24 L24 AND L26 => d 1-24L28 ANSWER 1 OF 24 USPATFULL on STN Full Text 2009:145928 USPATFULL  $\Delta M$ ΤI Lipid compositions for the treatment and prevention of proliferative diseases and for the reduction of incidences of mutagenesis and carinogenesis INYosef, Fabiana Bar, Haifa, ISRAEL Enzymotec Ltd., Migdal Haemek, ISRAEL (non-U.S. corporation) PA US 20090131523 ΡI A1 20090521 US 2008-285806 US 2007-960798P A1 20081014 (12) ΑI PRAI 20071015 (60) DT Utility APPLICATION FS LN.CNT 1226 INCL INCLM: 514/558.000 INCLS: 426 2 NCL NCLM: 514/558.000 NCLS: 426/002.000 A61K0031-20 [I,A]; A61K0031-185 [I,C\*]; A23D0007-005 [I,A]; TC IPCI A23D0007-04 [I,A]; A23D0007-02 [I,C\*]; A23L0001-29 [I,A] A61K0031-185 [I,C]; A61K0031-20 [I,A]; A23D0007-005 [I,C]; TPCR A23D0007-005 [I,A]; A23D0007-02 [I,C]; A23D0007-04 [I,A]; A23L0001-29 [I,C]; A23L0001-29 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. T.28 ANSWER 2 OF 24 USPATFULL on STN Text Full 2009:58740 USPATFULL AN ТΤ Transfer Factor Compositions and Methods Ramaekers, Joseph C., Aptos, CA, UNITED STATES US 20090053197 A1 20090226 ΤN ΡТ US 2007-762727 A1 20070613 (11) ΑI US 2006-814777P US 2006-834739P 20060614 (60) 20060731 (60) PRAI DT Utility APPLICATION FS LN.CNT 1798 INCL INCLM: 424/130.100 NCLM: 424/130.100 NCL A61K0039-395 [I,A]; A61P0003-00 [I,A] A61K0039-395 [I,C]; A61K0039-395 [I,A]; A61P0003-00 [I,C]; IPCI IC IPCR A61P0003-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 3 OF 24 USPATFULL on STN FullText 2008:253184 USPATFULL AN ΤI Advanced drug development and manufacturing Birnbaum, Eva R., Los Alamos, NM, UNITED STATES Koppisch, Andrew T., Flagstaff, AZ, UNITED STATES Baldwin, Sharon M., Santa Fe, NM, UNITED STATES ΤN Warner, Benjamin P., Los Alamos, NM, UNITED STATES McCleskey, T. Mark, Los Alamos, NM, UNITED STATES Stewart, Jeffrey Joseph, Los Alamos, NM, UNITED STATES Berger, Jennifer A., Los Alamos, NM, UNITED STATES Harris, Michael N., Los Alamos, NM, UNITED STATES Burrell, Anthony K., Los Alamos, NM, UNITED STATES A1 20080911 A1 20071010 (11) ΡT US 20080220441 ΑT US 2007-974156

## NEPTUNE GENERICS 1002 - 00174

RLI PRAI	Continuation-in-part of Ser. No. US 2001-859701, filed on 16 May 2001, PENDING Continuation-in-part of Ser. No. US 2002-206524, filed on 25 J 2002, ABANDONED Continuation-in-part of Ser. No. US 2003-621825, filed on 16 Jul 2003, Pat. No. US 6858148 US 2006-850594P 20061010 (60)	Jul	
DT	Utility		
FS LN.CNT	APPLICATION 10199		
INCL	INCLM: 435/071.000		
NCL	INCLS: 436/501.000; 436/172.000; 436/086.000; 378/045.000 NCLM: 435/007.100		
	NCLS: 378/045.000; 436/086.000; 436/172.000; 436/501.000		
IC	IPCI G01N0033-53 [I,A]; G01N0021-76 [I,A]; G01N0033-68 [I,A]; G01N0023-223 [I,A]; G01N0023-22 [I,C*]		
	IPCR G01N0033-53 [I,C]; G01N0033-53 [I,A]; G01N0021-76 [I,C];		
	G01N0021-76 [I,A]; G01N0023-22 [I,C]; G01N0023-223 [I,A]; G01N0033-68 [I,C]; G01N0033-68 [I,A]		
CAS IN	EXING IS AVAILABLE FOR THIS PATENT.		
	ISWER 4 OF 24 USPATFULL on SIN		
<u>Full T</u> AN	<u>xt</u> 2007:328349 USPATFULL		
TI	Modulation of Hyaluronan Synthesis and Degradation in the Treatment of	:	
IN	Disease Brown, Tracey Jean, Flemington, AUSTRALIA		
DZ	Brownlee, Gary Russell, East Burwood, AUSTRALIA ALCHEMIA ONCOLOGY LIMITED, Eight Mile Plains, AUSTRALIA, 4113 (non-U.S		
PA	corporation)	· •	
PI AI	US 20070286856 A1 20071213 US 2004-574903 A1 20041011 (10)		
111	WO 2004-AU1383 20041011		
PRAI	AU 2003-905551 20031010 2003-905551 20031010		
	AU 2003-3906658 20031201		
DT FS	Utility APPLICATION		
LN.CNT INCL	8892 INCLM: 424/133.100		
TNCL	INCLM: 424/133.100 INCLS: 424/130.100; 424/142.100; 514/044.000; 530/387.100; 530/387.300 530/388.100; 530/389.100; 536/022.100; 536/023.200; 536/024.500		
NCL	NCLM: 424/133.100		
	NCLS: 424/130.100; 424/142.100; 514/044.000A; 530/387.100; 530/387.30 530/388.100; 530/389.100; 536/022.100; 536/023.200; 536/024.500		
IC	IPCI A61K0048-00 [I,A]; A61K0039-395 [I,A]; A61P0043-00 [I,A];		
	C07H0021-04 [I,A]; C07H0021-00 [I,C*]; C07K0016-18 [I,A] IPCR A61K0048-00 [I,C]; A61K0048-00 [I,A]; A61K0031-395 [I,C*];		
	A61K0031-395 [I,A]; A61K0031-7105 [I,C*]; A61K0031-7105 [I,A]; A61K0031-711 [I,C*]; A61K0031-711 [I,A]; A61K0031-7115 [I,C*];		
	A61K0031-7115 [I,A]; A61K0031-712 [I,C*]; A61K0031-712 [I,A];		
	A61K0031-7125 [I,C*]; A61K0031-7125 [I,A]; A61K0039-395 [I,C]; A61K0039-395 [I,A]; A61P0035-00 [I,C*]; A61P0035-00 [I,A];		
	A61P0043-00 [I,C]; A61P0043-00 [I,A]; C07H0021-00 [I,C];		
	C07H0021-02 [I,A]; C07H0021-04 [I,A]; C07K0016-18 [I,C]; C07K0016-18 [I,A]; C07K0016-40 [I,C*]; C07K0016-40 [I,A]		
CAS IN	EXING IS AVAILABLE FOR THIS PATENT.		
L28 ANSWER 5 OF 24 USPATFULL on STN Full Text			
<u>Full T</u> AN	2007:284140 USPATFULL		
ΤI	Nutraceutical composition and method of use for treatment / prevention of cancer	1	
IN	Mazzio, Elizabeth, Tallahassee, FL, UNITED STATES		
PI	Soliman, Karam, Tallahassee, FL, UNITED STATES US 20070248693 A1 20071025		
AI	US 2007-711883 A1 20070227 (11)		
RLI	Continuation-in-part of Ser. No. US 2005-233279, filed on 20 Sep 2005, ABANDONED Continuation-in-part of Ser. No. US 2004-909590, filed on 2		
דימת	Aug 2004, ABANDONED		
PRAI	US 2003-491841P 20030802 (60) US 2004-540525P 20040129 (60)		
DT FS	Utility APPLICATION		
10			

59

## NEPTUNE GENERICS 1002 - 00175

LN.CNT 2576 INCL INCLM: 424/725.000 424/725.000 NCL NCLM: IC IPCI A61K0036-00 [I,A]; A61P0035-00 [I,A] A61K0036-00 [I,C]; A61K0036-00 [I,A]; A61P0035-00 [I,C]; TPCR A61P0035-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 6 OF 24 USPATFULL on STN Full Text 2007:257306 USPATFULL AN ΤI COBALAMIN COMPOSITIONS FOR THE TREATMENT OF CANCER Brown, Chad, Newport Beach, CA, UNITED STATES BEBAAS, INC. (U.S. corporation) ΤN ΡA РT US 20070225250 A1 20070927 US 2007-627816 20070126 (11) ΔТ A1 US 2006-762131P PRAI 20060126 (60) Utility DT FS APPLICATION LN.CNT 699 INCLM: 514/052.000 INCL NCL NCLM: 514/052.000 A61K0031-714 [I,A]; A61K0031-7135 [I,C\*] IC IPCI A61K0031-7135 [I,C]; A61K0031-714 [I,A] IPCR CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 7 OF 24 USPATFULL on SIN Full Text 2007:161483 USPATFULL ΔN Composition and procedure for tissue creation, regeneration and repair ΤI by a cell-bearing biological implant enriched with platelet concentrate and supplements IN Gorrochategui Barrueta, Alberto, Bilbao, SPAIN Simon Elizundia, Josu, Bilbao, SPAIN A1 20070621 ΡI US 20070141036 US 2007-704784 20070209 (11) A1 ΑI Continuation-in-part of Ser. No. US 2003-475866, filed on 24 Oct 2003, RLI PENDING A 371 of International Ser. No. WO 2002-EP7, filed on 9 Jan 2002 DT Utility APPLICATION FS LN.CNT 1406 INCL INCLM: 424/093.700 NCL NCLM: 424/093.700 A61K0035-14 [I,A] TPCT TC IPCR A61K0035-14 [I,C]; A61K0035-14 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. ANSWER 8 OF 24 USPATFULL on STN L28 Text Full 2007:155116 USPATFULL AN ТΤ Therapeutic molecules ΙN Collier, Greg, Victoria, AUSTRALIA Walder, Ken, Victoria, AUSTRALIA Kerr-Bayles, Lyndal, Victoria, AUSTRALIA ΡA Autogen Research Pty Ltd., North Brighton, Victoria, AUSTRALIA (non-U.S. corporation) Deakin University, Waurn Ponds, Victoria, AUSTRALIA (non-U.S. corporation) US 20070135335 US 2004-545099 ΡT 20070614 Α1 20040210 (10) ΑI Α1 WO 2004-AU147 20040210 20060504 PCT 371 date PRAI US 2003-446191P 20030210 (60) DT Utility FS APPLICATION LN.CNT 6649 INCLM: 514/012.000 INCL INCLS: 514/044.000; 530/350.000 NCL 514/012.000 NCLM: NCLS: 514/044.000R; 530/350.000 A61K0038-17 [I,A]; A61K0048-00 [I,A]; C07K0014-705 [I,A]; IC IPCI C07K0014-435 [I,C\*]

60

NEPTUNE GENERICS 1002 - 00176

A61K0038-17 [I,C]; A61K0038-17 [I,A]; A61K0048-00 [I,C]; TPCR A61K0048-00 [I,A]; C07K0014-435 [I,C]; C07K0014-705 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 9 OF 24 USPATFULL on STN Full Text AN 2007:30123 USPATFULL Detection of variations in the dna methylation profile ТΤ Berlin, Kurt, Stahnsdorf, GERMANY, FEDERAL REPUBLIC OF Piepenbrock, Christian, Berlin, GERMANY, FEDERAL REPUBLIC OF IN Olek, Alexander, Berlin, GERMANY, FEDERAL REPUBLIC OF US 20070026393 A1 20070201 ΡT US 2001-240970 WO 2001-DE1486 A1 20010406 (10) ΑT 20010406 20030711 PCT 371 date PRAT DE 2000-100190588 20000406 DT Utility FS APPLICATION LN.CNT 16100 INCL INCLM: 435/006.000 INCLS: 536/024.300 NCL NCLM: 435/006.000 NCLS: 536/024.300 C12Q0001-68 [I,A]; C07H0021-04 [I,A]; C07H0021-00 [I,C\*] TC IPCI IPCR C12Q0001-68 [I,C]; C12Q0001-68 [I,A]; C07H0021-00 [I,C]; C07H0021-04 [I,A]; C07K0014-435 [I,C\*]; C07K0014-47 [I,A]; C07K0014-82 [I,C\*]; C07K0014-82 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 10 OF 24 USPATFULL on STN Full Text AN 2006:248357 USPATFULL ΤI Use of phenylmethimazoles, methimazole derivatives, and tautomeric cyclic thiones for the treatment of autoimmune/inflammatory diseases associated with toll-like receptor overexpression Kohn, Leonard D., Athens, OH, UNITED STATES Harii, Norikazu, Yaminashi, JAPAN ΙN Benavides-Peralta, Uruguaysito, Montevideo, URUGUAY Gonzalez-Murguiondo, Mariana, Montevideo, URUGUAY Lewis, Christopher J., Athens, OH, UNITED STATES Napolitano, Giorgio, Pescara, ITALY Giuliani, Cesidio, Roccamonce, ITALY Malgor, Ramiro, Athens, OH, UNITED STATES Goetz, Douglas J., Athens, OH, UNITED STATES ΡT US 20060211752 A1 20060921 US 2005-130922 A1 20050517 (11) Continuation-in-part of Ser. No. US 2004-912948, filed on 6 Aug 2004, ΑI RT.T PENDING Continuation-in-part of Ser. No. US 2004-801986, filed on 16 Mar 2004, PENDING DT Utility FS APPLICATION LN.CNT 8384 INCL INCLM: 514/389.000 514/389.000 NCL NCLM: IC IPCI A61K0031-4166 [I,A]; A61K0031-4164 [I,C\*] IPCR A61K0031-4164 [I,C]; A61K0031-4166 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 11 OF 24 USPATFULL on STN Full Text 2006:41329 USPATFULL AN ТΤ Inhibition of anaerobic glucose metabolism and corresponding composition as a natural non-toxic approach to cancer treatment ΤN Mazzio, Elizabeth Anne, Tallahassee, FL, UNITED STATES Soliman, Karam F., Tallahassee, FL, UNITED STATES A1 20060216 A1 20050920 US 20060035981 ΡT US 2005-233279 20050920 (11) ΑT Continuation-in-part of Ser. No. US 2004-909590, filed on 2 Aug 2004, RLI ABANDONED PRAI US 2003-491841P 20030802 (60) US 2004-540525P 20040129 (60) DT Utility

61

**APOTEX 1002 - 0177** 

# NEPTUNE GENERICS 1002 - 00177

FS	APPLIC	ATION
LN.CNT INCL		514/690.000
		514/045.000; 514/051.000; 514/027.000; 514/251.000; 424/725.000; 424/748.000; 424/756.000; 424/745.000; 424/746.000; 424/729.000
NCL	NCLM: NCLS:	514/690.000 424/725.000; 424/729.000; 424/745.000; 424/746.000; 424/748.000;
IC	IPCI	424/756.000; 514/027.000; 514/045.000; 514/051.000; 514/251.000 A61K0031-12 [I,A]; A61K0031-7072 [I,A]; A61K0031-7076 [I,A];
		A61K0031-7042 [I,C*]; A61K0031-525 [I,A]; A61K0031-519 [I,C*]; A61K0036-328 [I,A]; A61K0036-23 [I,A]; A61K0036-185 [I,C*];
	IPCR	A61K0036-906 [I,A]; A61K0036-88 [I,C*] A61K0031-12 [I,A]; A61K0031-12 [I,C]; A61K0031-519 [I,C];
		A61K0031-525 [I,A]; A61K0031-7042 [I,C]; A61K0031-7072 [I,A]; A61K0031-7076 [I,A]; A61K0036-185 [I,C]; A61K0036-23 [I,A];
		A61K0036-328 [I,A]; A61K0036-537 [I,A]; A61K0036-82 [I,A]; A61K0036-88 [I,C]; A61K0036-906 [I,A]
CAS IN	DEXING	IS AVAILABLE FOR THIS PATENT.
L28 A Full T		2 OF 24 USPATFULL on STN
AN TI		9438 USPATFULL y and pharmaceutical compositions for management and treatment of
IN		ive stress orpe, Rita R., Santa Ana, CA, UNITED STATES
	Slesar	ev, Vladimir I., Coeur d'Alene, CA, UNITED STATES ov, Todor, Chestnut Hill, MA, UNITED STATES
PI AI	US 200	50059579 A1 20050317 4-794285 A1 20040308 (10)
PRAI DT		3-10455123 20030506
FS LN.CNT	APPLIC	
INCL NCL		514/008.000 514/008.000
IC	[7] ICM	A61K038-16
	IPCI	A61K038-16 [ICM,7] A23L0001-305 [I,C*]; A23L0001-305 [I,A]; A61K0031-01 [I,C*];
	IPCR	A61K0031-015 [I,A]; A61K0031-352 [I,C*]; A61K0031-352 [I,A];
03.0 TN		A61K0036-185 [I,C*]; A61K0036-185 [I,A]; A61K0038-16 [I,C*]; A61K0038-16 [I,A]
		IS AVAILABLE FOR THIS PATENT.
<u>Full T</u>	ext	3 OF 24 USPATFULL on STN
AN TI		ve method of standardized drinks and potable water production
IN PI	US 200	Fortunato, Linda-a-Velha, PORTUGAL 40013784 Al 20040122
AI	WO 200	
PRAI DT	Utilit	
FS LN.CNT		
INCL NCL	NCLM:	426/590.000 426/590.000
IC	[7] ICM	C12C001-00
	IPCI IPCR	C12C0001-00 [ICM,7] A23L0001-29 [I,C*]; A23L0001-29 [I,A]; A23L0002-52 [I,C*];
CAS IN	DEXING	A23L0002-52 [I,A]; C02F0001-68 [I,C*]; C02F0001-68 [I,A] IS AVAILABLE FOR THIS PATENT.
		4 OF 24 USPATFULL on STN
AN		82627 USPATFULL
TI IN DA	Genost Robert	ics s, Gareth Wyn, Cambs, UNITED KINGDOM IC PHARMA LIMITED (non-U.S. corporation)
PA PI		30198970 A1 20031023

62

## NEPTUNE GENERICS 1002 - 00178 APOTEX 1002 - 0178

A1 20020729 (10) ΑT US 2002-206568 RLT Continuation of Ser. No. US 1999-325123, filed on 3 Jun 1999, ABANDONED PRAT GB 1998-12098 19980606 GB 1998-28289 19981223 Utility DT FS APPLICATION LN.CNT 4299 INCL INCLM: 435/006.000 INCLS: 536/024.300 NCL NCLM: 435/006.000 NCLS: 536/024.300 IC [7] C120001-68 TCM ICS C07H021-04 TPCT C12Q0001-68 [ICM,7]; C07H0021-04 [ICS,7]; C07H0021-00 [ICS,7,C\*] C07K0016-18 [I,C\*]; C07K0016-18 [I,A]; C12Q0001-68 [I,C\*]; IPCR C12Q0001-68 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L2.8 ANSWER 15 OF 24 USPATFULL on STN Full Text AN 2003:112524 USPATFULL ΤI Compositions for treating animal diseases and syndromes Ramaekers, Joseph C., Aptos, CA, UNITED STATES US 20030077254 A1 20030424 ΙN ΡI B2 20051108 US 6962718 AI US 2002-136854 A1 20020430 (10) Continuation-in-part of Ser. No. US 2001-847036, filed on 30 Apr 2001, RLI PENDING DT Utility FS APPLICATION LN.CNT 2396 INCL INCLM: 424/093.300 INCLS: 424/617.000; 424/602.000; 424/094.500; 424/703.000; 514/168.000; 514/558.000; 514/251.000; 514/393.000; 514/356.000; 514/276.000 NCL 424/535.000; 424/093.300 NCLM: NCLS: 424/093.400; 424/093.510; 424/400.000; 424/520.000; 424/725.000; 424/094.500; 424/602.000; 424/617.000; 424/703.000; 514/168.000; 514/251.000; 514/276.000; 514/356.000; 514/393.000; 514/558.000 IC [7] ICM A61K045-00 ICS A61K038-52; A61K031-525 A61K0045-00 [ICM,7]; A61K0038-52 [ICS,7]; A61K0038-43 [ICS,7,C\*]; A61K0031-525 [ICS,7]; A61K0031-519 [ICS,7,C\*] TPCT IPCI-2 A61K0035-20 [ICM,7]; A61K0035-72 [ICS,7]; A61K0035-74 [ICS,7]; A61K0035-66 [ICS,7,C\*]; A61K0035-78 [ICS,7] A61K0038-19 [I,C\*]; A61K0038-19 [I,A] IPCR CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 16 OF 24 USPATFULL on STN Full Text 2002:337325 USPATFULL AN ТΤ Fluorescent cobalamins and uses thereof Grissom, Charles B., Salt Lake City, UT, UNITED STATES ΙN West, Frederick G., Salt Lake City, UT, UNITED STATES McGreevy, James, Salt Lake City, UT, UNITED STATES Bentz, Joel S., Salt Lake City, UT, UNITED STATES Cannon, Michelle J., Price, UT, UNITED STATES ΡT US 20020192683 Α1 20021219 US 6797521 В2 20040928 20020315 (10) ΑT US 2002-97646 A1 Continuation-in-part of Ser. No. WO 2000-US29370, filed on 26 Oct 2000, RLT UNKNOWN PRAT US 1999-161368P 19991026 (60) US 2001-276036P 20010316 (60) DT Utility FS APPLICATION LN.CNT 1337 INCL INCLM: 435/006.000 INCLS: 536/026.440 NCL NCLM: 436/505.000; 435/006.000 NCLS: 435/004.000; 435/007.100; 435/007.210; 435/007.230; 436/063.000;

63

#### NEPTUNE GENERICS 1002 - 00179

436/064.000; 436/164.000; 436/172.000; 514/052.000; 536/026.440 TC [7] TCM C12Q001-68 ICS C07H023-00 C12Q0001-68 [ICM, 7]; C07H0023-00 [ICS, 7] TPCT IPCI-2 G01N0033-567 [ICM,7]; A61K0031-70 [ICS,7]; C07H0023-00 [ICS,7] A61B0001-04 [I,C\*]; A61B0001-04 [I,A]; A61B0001-313 [N,C\*]; IPCR A61B0001-313 [N,A]; A61B0005-00 [N,C\*]; A61B0005-00 [N,A]; A61B0019-00 [N,C\*]; A61B0019-00 [N,A]; A61K0047-48 [I,C\*]; A61K0047-48 [I,A]; A61K0049-00 [I,C\*]; A61K0049-00 [I,A]; C07F0015-00 [I,C\*]; C07F0015-06 [I,A]; C09K0011-06 [I,C\*]; C09K0011-06 [I,A]; G01N0021-64 [N,C\*]; G01N0021-64 [N,A]; G01N0033-52 [I,C\*]; G01N0033-52 [I,A]; G01N0033-574 [I,C\*]; G01N0033-574 [I,A]; G01N0033-58 [I,C\*]; G01N0033-58 [I,A]; G02B0021-00 [I,C\*]; G02B0021-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 17 OF 24 USPATFULL on STN Full Text AN 2002:206597 USPATFULL Bioconjugates and delivery of bioactive agents TΤ Grissom, Charles B., Salt Lake City, UT, UNITED STATES West, Frederick G., Salt Lake City, UT, UNITED STATES Howard, Allen W., JR., Dexter, MI, UNITED STATES US 20020111294 A1 20020815 IΝ ΡI B2 20040914 US 6790827 20011022 (9) AI US 2001-982940 A1 Division of Ser. No. US 1999-202328, filed on 22 Oct 1999, PATENTED A RLI 371 of International Ser. No. WO 1997-US14140, filed on 22 Aug 1997, UNKNOWN US 1996-24430P 19960827 (60) PRAI US 1996-25036P 19960827 (60) DT Utility APPLICATION FS LN.CNT 2337 INCL INCLM: 514/006.000 INCLS: 514/044.000; 424/043.000 NCL NCLM: 514/006.000 424/001.110; 424/001.530; 424/001.690; 435/091.100; 435/091.310; 435/181.000; 435/455.000; 514/001.000; 514/002.000; 514/004.000; 536/023.100; 536/024.500; 424/043.000; 514/044.000A NCLS: IC [7] ICM A61K048-00 A61K051-00; A61K038-17; A61K009-00 TCS A61K0048-00 [ICM,7]; A61K0051-00 [ICS,7]; A61K0038-17 [ICS,7]; IPCI A61K0009-00 [ICS,7] IPCI-2 A61K0038-16 [ICM, 7]; A61K0051-00 [ICS, 7]; C12N0011-06 [ICS, 7]; C12N0011-00 [ICS,7,C\*]; C12P0019-34 [ICS,7]; C12P0019-00 [ICS,7,C\*]; C07H0021-04 [ICS,7]; C07H0021-00 [ICS,7,C\*] A61K0041-00 [I,C\*]; A61K0041-00 [I,A]; A61K0047-48 [I,C\*]; IPCR A61K0047-48 [I,A]; C07H0021-00 [I,C\*]; C07H0021-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 18 OF 24 USPATFULL on STN Text Full AN 2002:92630 USPATFULL Bioconjugates and delivery of bioactive agents Grissom, Charles B., Salt Lake City, UT, UNITED STATES West, Frederick G., Salt Lake City, UT, UNITED STATES Howard, W. Allen, JR., Dexter, MN, UNITED STATES ΤI IN University of Utah Research Foundation, Salt Lake City, UT, UNITED ΡA STATES, 84108 (U.S. corporation) US 20020049154 A1 20020425 ΡI US 6777237 в2 20040817 US 2001-982968 ΑT A1 20011022 (9) Division of Ser. No. US 1999-202328, filed on 22 Oct 1999, GRANTED, Pat. No. US 6315978 A 371 of International Ser. No. WO 1997-US14140, filed on RLT 22 Aug 1997, UNKNOWN US 1996-24430P 19960827 (60) PRAI US 1996-25036P 19960827 (60) DT Utility FS APPLICATION

64

## NEPTUNE GENERICS 1002 - 00180

LN.CNT 2360 INCL INCLM: 514/006.000						
INCLS: 514/044.000; 604/020.000 NCL NCLM: 435/455.000; 514/006.000 NCLS: 424/001.110; 424/001.530; 424/001.690; 424/001.730; 435/091 435/091.310; 435/181.000; 514/001.000; 514/002.000; 514/004 514/006.000; 536/023.100; 536/024.500; 514/044.000A; 604/02	.000;					
IC [7] ICM A61K038-16 ICS A61K048-00; A61N001-30 IPCI A61K0038-16 [ICM,7]; A61K0048-00 [ICS,7]; A61N0001-30 [ICS, IPCI-2 A61K0051-00 [ICM,7]; A61K0038-16 [ICS,7]; C12N0011-06 [ICS, C12N0011-00 [ICS,7,C*]; C12P0019-34 [ICS,7]; C12P0019-00 [ICS,7,C*]; C07H0021-04 [ICS,7]; C07H0021-00 [ICS,7,C*]						
IPCR A61K0041-00 [I,C*]; A61K0041-00 [I,A]; A61K0047-48 [I,C*]; A61K0047-48 [I,A]; C07H0021-00 [I,C*]; C07H0021-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT.						
L28 ANSWER 19 OF 24 USPATFULL on STN Full Text						
AN 87:41588 USPATFULL TI Compositions and method for simultaneous multiple array of analyte	s					
using radioisotope chelate labels						
PA ICN Micromedic Systems, Inc., Costa Mesa, CA, United States (U.S. corporation)						
PI US 4672028 19870609 AI US 1984-612979 19840523 (6) DT Utility FS Granted						
LN.CNT 784 INCL INCLM: 435/005.000						
INCLS: 435/007.000; 435/017.000; 435/026.000; 435/810.000; 436/500 436/505.000; 436/510.000; 436/536.000; 436/542.000; 436/545 436/804.000; 436/808.000; 436/811.000; 436/813.000; 436/814 436/816.000; 436/817.000; 436/818.000; 436/820.000; 436/826	.000; .000;					
NCL NCLM: 435/005.000 NCLS: 435/007.230; 435/007.400; 435/017.000; 435/026.000; 435/810 435/973.000; 435/975.000; 436/500.000; 436/505.000; 436/510						
436/536.000; 436/542.000; 436/545.000; 436/804.000; 436/808 436/811.000; 436/813.000; 436/814.000; 436/816.000; 436/817 436/818.000; 436/820.000; 436/826.000	.000;					
IC [4] ICM G01N033-53 ICS G01N033-567; G01N033-536						
ICS G01N033-567; G01N033-536 IPCI G01N0033-53 [ICM,4]; G01N0033-567 [ICS,4]; G01N0033-536 [IC IPCR A61K0035-66 [I,C*]; A61K0035-74 [I,A]; A61K0038-00 [I,C*];	S,4]					
A61K0038-00 [I,A]; A61K0038-22 [I,C*]; A61K0038-22 [I,A]; A61K0038-24 [I,C*]; A61K0038-24 [I,A]; C07F0015-00 [I,C*];						
C07F0015-00 [I,A]; C07H0015-00 [I,C*]; C07H0015-00 [I,A]; C07H0023-00 [I,C*]; C07H0023-00 [I,A]; G01N0033-534 [I,C*]; G01N0033-534 [I,A]; G01N0033-60 [I,C*]; G01N0033-60 [I,A];						
G01N0033-74 [I,C*]; G01N0033-74 [I,A] EXF 436/536; 436/542; 436/545; 436/500; 436/505; 436/510; 436/804; 436						
436/811; 436/813; 436/814; 436/817; 436/818; 436/816; 436/820; 436 435/5; 435/7; 435/4; 435/17; 435/26; 435/810	/826;					
CAS INDEXING IS AVAILABLE FOR THIS PATENT.						
L28 ANSWER 20 OF 24 USPAT2 on STN Full Text AN 2005:49435 USPAT2						
TI Methods of increasing delivery of active agents to brain comprisin- administering receptor associated protein (RAP) fragments conjugat						
active agents Zankel, Todd, San Francisco, CA, UNITED STATES Channe Christenhan M., Canana, CA, UNITED STATES						
Starr, Christopher M., Sonoma, CA, UNITED STATES Raptor Pharmaceutical Inc., Novato, CA, UNITED STATES (U.S. corporation) US 7569544 B2 20090804						
I US 2004-812849 20040330 (10) LI Continuation-in-part of Ser. No. US 2003-600862, filed on 20 Jun 2003,						
ABANDONED DT Utility						

### NEPTUNE GENERICS 1002 - 00181

## APOTEX 1002 - 0181

FS LN.CNT INCL NCL IC	GRANTED 5335 INCLM: 514/012.000 NCLM: 514/012.000 IPCI A61K0048-00 [ICM,7]; A61K0039-395 [ICS,7] IPCI-2 A61K0038-18 [I,A]; C07K0019-00 [I,A]; C07K0014-435 [I,A]; C07K0014-48 [I,A]; C07K0014-485 [I,A]; C07K0014-50 [I,A] IPCR A61K0038-17 [I,C*]; A61K0038-17 [I,A]; A61K0039-395 [I,C*]; A61K0039-395 [I,A]; A61K0048-00 [I,C*]; A61K0048-00 [I,A]; C07K0014-435 [I,C*]; C07K0014-705 [I,A]					
CAS IN	EXING IS AVAILABLE FOR THIS PATENT.					
L28 A <u>Full T</u> AN TI IN PA	SWER 21 OF 24 USPAT2 on STN <u>xt</u> 2003:93594 USPAT2 Use of multiple antioxidant micronutrients as systemic biological radioprotective agents against potential ionizing radiation risks Prasad, Kedar N., Denver, CO, UNITED STATES Haase, Gerald M., Greenwood Village, CO, UNITED STATES Cole, William C., Centennial, CO, UNITED STATES Premier Micronutrient Corporation, Nashville, TN, UNITED STATES (U.S. corporation)					
PI AI DT FS	US 7449451 B2 20081111 US 2002-229274 20020828 (10) Utility GRANTED					
LN.CNT INCL	1344 INCLM: 514/052.000 INCLS: 514/251.000; 514/184.000; 514/393.000; 514/350.000; 514/167.000; 514/474.000; 514/458.000; 514/440.000; 514/552.000; 514/276.000; 514/562.000; 514/494.000; 514/574.000; 514/763.000					
NCL	NCLM: 514/052.000 NCLS: 514/167.000; 514/184.000; 514/251.000; 514/276.000; 514/350.000; 514/393.000; 514/440.000; 514/458.000; 514/474.000; 514/494.000; 514/552.000; 514/562.000; 514/574.000; 514/763.000					
IC	<pre>S147532.000; 5147582.000; 5147582.000; 5147582.000 IPCI A61K0031-714 [ICM, 7]; A61K0031-7135 [ICM, 7, C*]; A61K0031-59 [ICS, 7]; A61K0031-555 [ICS, 7]; A61K0031-525 [ICS, 7]; A61K0031-519 [ICS, 7, C*]; A61K0031-51 [ICS, 7]; A61K0031-506 [ICS, 7, C*]; A61K0031-4184 [ICS, 7]; A61K0031-4164 [ICS, 7, C*]; A61K0031-015 [ICS, 7]; A61K0031-01 [ICS, 7, C*]</pre>					
	IPCI-2 A61K0031-714 [I,A]; A61K0031-7135 [I,C*]; A61K0031-59 [I,A]; A61K0031-555 [I,A]; A61K0031-525 [I,A]; A61K0031-519 [I,C*]; A61K0031-51 [I,A]; A61K0031-506 [I,C*]; A61K0031-4184 [I,A]; A61K0031-4164 [I,C*]; A61K0031-015 [I,A]; A61K0031-01 [I,C*]					
	<pre>IPCR A61K0031-7135 [I,C]; A61K0031-714 [I,A]; A61K0031-01 [I,C]; A61K0031-015 [I,A]; A61K0031-4164 [I,C]; A61K0031-4184 [I,A]; A61K0031-506 [I,C]; A61K0031-51 [I,A]; A61K0031-519 [I,C]; A61K0031-525 [I,A]; A61K0031-555 [I,C]; A61K0031-555 [I,A];</pre>					
EXF	A61K0031-59 [I,C]; A61K0031-59 [I,A] 514/52; 514/167; 514/184; 514/251; 514/276; 514/350; 514/393; 514/440; 514/458; 514/474; 514/494; 514/552; 514/562; 514/574; 514/763; 514/188; 514/725					
CAS IN	CAS INDEXING IS AVAILABLE FOR THIS PATENT.					
	SWER 22 OF 24 USPAT2 on STN xt					
AN TI IN	2002:337325 USPAT2 Fluorescent cobalamins and uses thereof Grissom, Charles B., Salt Lake City, UT, United States West, Frederick G., Salt Lake City, UT, United States McGreevy, James, Salt Lake City, UT, United States Bentz, Joel S., Salt Lake City, UT, United States Cannon, Michelle J., Price, UT, United States					
PA PI	University of Utah Research Foundation, Salt Lake City, UT, United States (U.S. corporation) US 6797521 B2 20040928					
AI RLI PRAI	US 2002-97646 20020315 (10) Continuation-in-part of Ser. No. WO 2000-US29370, filed on 26 Oct 2000 US 1999-161368P 19991026 (60) US 2001-276036P 20010316 (60)					
DT FS	Utility GRANTED					

## NEPTUNE GENERICS 1002 - 00182

## APOTEX 1002 - 0182

LN.CNT INCL	INCLM:	436/505.000 514/052.000; 536/026.440; 435/004.000; 435/007.100; 435/007.210;
NCL	NCLM: NCLS:	435/007.230; 436/063.000; 436/064.000; 436/164.000; 436/172.000 436/505.000; 435/006.000 435/004.000; 435/007.100; 435/007.210; 435/007.230; 436/063.000; 436/064.000; 435/007.100; 435/007.210; 514/052.000; 536/026.440
IC EXF CAS IN	IPCR 536/26	436/064.000; 436/164.000; 436/172.000; 514/052.000; 536/026.440 G01N033-567 A61K031-70; C07H023-00 C12Q001-68 [ICM,7]; C07H0023-00 [ICS,7] G01N0033-567 [ICM,7]; A61K0031-70 [ICS,7]; C07H0023-00 [ICS,7] A61B001-04 [I,C*]; A61B0001-04 [I,A]; A61B0001-313 [N,C*]; A61B001-313 [N,A]; A61B0005-00 [N,C*]; A61B0005-00 [N,A]; A61B0019-00 [N,C*]; A61B0019-00 [N,A]; A61K0047-48 [I,C*]; A61B0019-00 [N,C*]; A61B0019-00 [I,A]; A61K0049-00 [I,A]; C07F0015-00 [I,C*]; C07F0015-06 [I,A]; C09K0011-06 [I,C*]; C09K0011-06 [I,A]; G01N0021-64 [N,C*]; G01N0021-64 [N,A]; G01N0033-52 [I,C*]; G01N0033-52 [I,A]; G01N0033-574 [I,C*]; G02B0021-00 [I,C*]; G02B0021-00 [I,A] .44; 514/52; 436/505 IS AVAILABLE FOR THIS PATENT.
	NSWER 2 ext	3 OF 24 USPAT2 on STN
AN TI IN	2002:2 Biocon Grisso West, Howard	06597 USPAT2 jugates and delivery of bioactive agents m, Charles B., Salt Lake City, UT, United States Frederick G., Salt Lake City, UT, United States , Jr., W. Allen, Dexter, MI, United States
PA		sity of Utah Research Foundation, Salt Lake City, UT, United (U.S. corporation) 0827 B2 20040914
PI AI RLI PRAI	US 200 Divisi US 199 US 199	1-98294020011022 (9)on of Ser. No. US 202328, now patented, Pat. No. US 63159786-24430P19960827 (60)6-25036P19960827 (60)
DT FS	Utilit GRANTE	
LN.CNT INCL	INCLM:	514/006.000 424/001.110; 424/001.530; 424/001.690; 435/091.310; 435/091.100; 435/181.000; 435/455.000; 514/001.000; 514/002.000; 514/004.000; 536/023.100; 536/024.500
NCL	NCLM: NCLS:	514/006.000 424/001.110; 424/001.530; 424/001.690; 435/091.100; 435/091.310; 435/181.000; 435/455.000; 514/001.000; 514/002.000; 514/004.000; 536/023.100; 536/024.500; 424/043.000; 514/044.000A
IC	[7] ICM ICS IPCI	A61K038-16 A61K051-00; C12N011-06; C12P019-34; C07H021-04 A61K0048-00 [ICM,7]; A61K0051-00 [ICS,7]; A61K0038-17 [ICS,7]; A61K0009-00 [ICS,7]
		A61K0038-16 [ICM, 7]; A61K0051-00 [ICS, 7]; C12N0011-06 [ICS, 7]; C12N0011-00 [ICS, 7, C*]; C12P0019-34 [ICS, 7]; C12P0019-00 [ICS, 7, C*]; C07H0021-04 [ICS, 7]; C07H0021-00 [ICS, 7, C*]
EXF		A61K0041-00 [I,C*]; A61K0041-00 [I,A]; A61K0047-48 [I,C*]; A61K0047-48 [I,A]; C07H0021-00 [I,C*]; C07H0021-00 [I,A] 11; 424/1.69; 424/1.53; 424/9.361; 424/193.1; 435/6; 435/91.1;
CAS IN	536/23	.31; 435/455; 435/181; 514/1; 514/2; 514/4; 514/6; 514/44; .1; 536/24.5 IS AVAILABLE FOR THIS PATENT.
	NSWER 2 ext	4 OF 24 USPAT2 on STN
AN TI IN	2002:9 Biocon	2630 USPAT2 jugates and delivery of bioactive agents m, Charles B., Salt Lake City, UT, United States
	West, Howard	Frederick G., Salt Lake City, UT, United States , Jr., Allen W., Dexter, MI, United States
PA	Univer	sity of Utah Research Foundation, Salt Lake City, UT, United

### NEPTUNE GENERICS 1002 - 00183

## APOTEX 1002 - 0183

PI AI RLI PRAI DT FS	US 677 US 200 Divisi US 199 US 199 Utilit	1-982968 20011022 (9) on of Ser. No. US 202328, now pat 6-24430P 19960827 (60) 6-25036P 19960827 (60) y	ented,	Pat. No.	US 6315978	
LN.CNT						
	INCLS:	435/455.000 424/001.690; 424/001.110; 424/00 435/091.310; 435/181.000; 514/00 514/006.000; 536/023.100; 536/02	1.000;			
NCL		435/455.000; 514/006.000 424/001.110; 424/001.530; 424/00	1 690.	424/001 5	730, 435/091 100,	
	NCED.	435/091.310; 435/181.000; 514/00 514/006.000; 536/023.100; 536/02	1.000;	514/002.0	000; 514/004.000;	
IC EXF CAS IN	ICS IPCI IPCI-2 IPCR 435/6; 514/6; 536/24	A61K051-00 A61K038-16; C12N011-06; C12P019- A61K0038-16 [ICM,7]; A61K0048-00 A61K0051-00 [ICM,7]; A61K0038-16 C12N0011-00 [ICS,7,C*]; C12P0019 [ICS,7,C*]; C07H0021-04 [ICS,7]; A61K0041-00 [I,C*]; A61K0041-00 A61K0047-48 [I,A]; C07H0021-00 [ 435/91.1; 435/91.31; 435/181; 43 514/44; 424/1.11; 424/1.53; 424/ .5 IS AVAILABLE FOR THIS PATENT.	[ICS, [ICS, -34 [IC C07H00 [I,A]; I,C*]; 5/455;	7]; A61N00 7]; C12N00 CS,7]; C12 021-00 [IC A61K0047- C07H0021- 514/1; 51	D11-06 [ICS,7]; PO019-00 CS,7,C*] -48 [I,C*]; -00 [I,A] -4/2; 514/4;	
CAS INDEXING IS AVAILABLE FOR INIS PAIENI.						
=> log y COST IN U.S. DOLLARS SINCE FILE TOTAL ENTRY SESSION						
FULL E	FULL ESTIMATED COST32.58208.34					
DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS) SINCE FILE TOTAL ENTRY SESSION					SESSION	
CA SUB	CA SUBSCRIBER PRICE 0.00 -1.56					

STN INTERNATIONAL LOGOFF AT 23:45:29 ON 31 AUG 2009

NEPTUNE GENERICS 1002 - 00184 APOTEX 1002 - 0184

### PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	Clet Niyikiza	Group Art	Unit: 1614
Serial No.:	11/776,329	Examiner:	Weddington, Kevin
Application Date:	July 11, 2007	Conf No.: (	5568
For:	NOVEL ANTIFOLATE COMBI	NATION TH	IERAPIES
Docket No.:	X14173B		

### **COMMUNICATION**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated February 18, 2009, Applicants submit the following remarks in connection with the above-identified patent application:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.

### Amendments to the Claims

The following listing of claims will replace all prior versions, and listings, of claims in the application:

#### Listing of Claims:

Claims 1-39 (Cancelled)

40. (Currently amended) A method for administering pemetrexed disodium to a patient in need thereof comprising administering an effective amount of pemetrexed disodium in combination with a methylmalonic acid lowering agent, wherein:

the methylmalonic <u>acid</u> lowering agent is selected from the group consisting of vitamin B<sub>12</sub>, hydroxycobolamin, cyano-10-chlorocobolamin, aquocobolamin perchlorate, aquo-10 cobolamin perchlorate, azidocobolamin or chlorocobolamin;

the methylmalonic acid lowering agent is administered from about 1 week to about 3 weeks prior to the first administration of the pemetrexed disodium; and

the methylmalonic acid <u>lowering agent</u> administration is repeated about every 6 to about every 12 weeks until administration of the pemetrexed disodium is discontinued.

41. (previously presented) The method of claim 40, wherein the methylmalonic lowering agent is vitaminB<sub>12</sub>.

42. (previously presented) The method of claim 41, wherein the vitamin B<sub>12</sub> is administered as an intramuscular injection of about 500  $\mu$ g to about 1500  $\mu$ g.

43. (previously presented) The method of claim 42, wherein the vitamin  $B_{12}$  is administered as an intramuscular injection of about 1000 µg.

44. (previously presented) The method of claim 41, 42 or 43, wherein the vitamin B<sub>12</sub> administration is repeated about every 9 weeks until the administration of the pemetrexed disodium is discontinued.

45. (currently amended) The method of claim 44, further comprising administering a folicbinding protein binding agent to the patient, <u>wherein the folic-binding protein binding agent is</u> <u>selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid or (6R)-</u> <u>5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically available salt or ester therof</u>. 46. (previously presented) The method of claim 45 wherein the folic-binding-protein binding agent is folic acid and the folic acid is administered prior to the first administration of the pemetrexed disodium.

47. (previously presented) The method of claim 46 wherein the folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

48. (previously presented) The method of claim 47 wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

49. (previously presented) The method according to any one of claims 46-48, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

50. (previously presented) The method of claim 49 wherein about  $350\mu g$  to about  $1000 \ \mu g$  of folic acid is administered.

51. (previously presented) The method of claim 50 wherein 350  $\mu$ g to 600  $\mu$ g of folic acid is administered.

52. (previously presented) The method of claim 40 or 45 further comprising the administration of cisplatin to the patient.

#### **Remarks**

Claims 40-52 are pending in the application. No Claims are allowed. Claim 45 is rejected under 35 U.S.C. § 112, 1st paragraph. Claims 40-52 are rejected under 35 U.S.C. § 112, second paragraph and 35 U.S.C. 103(a).

In view of the present amendment and reasons set forth below, it is submitted that the rejections are improper and should be withdrawn. Reconsideration and reexamination of the present application is respectfully requested.

#### Rejection Under 35 USC §112, first paragraph

Claim 45 is stands rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The Office Action asserts that the specification as originally filed fails to provide sufficient written bases of any of the agents demonstrating wherein possession of use of the broad term: "folic-binding-protein binding agents." In response, Claim 45 has been amended to disclose specific folic-binding-protein binding agent species recited in the specification. In light of this amendment, reconsideration and withdrawal of the rejection is respectfully requested.

### Rejection Under 35 USC §112, second paragraph

Claims 40-52 stand rejected under 35 U.S.C. 112, second paragraph, as being indefinite. The office action points out that the phrase "methylmalonic acid" appears to be missing the phrase "lowering agent" in one of the recitations of claim 40. In response, Claim 40 has been amended to add the inadvertently omitted phrase "lowering agent." In light of this amendment, reconsideration and withdrawal of the rejection is respectfully requested.

#### Rejection Under 35 USC §103(a)

Claims 40-52 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (5,344,932) of PTO-1449 in view of Poydock et al., IRCS Medical Science, Vol. 12, No. 9, pp. 813 (1984) of PTO-1449, further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3235-3239 of PTO-1449, and further in view of Cleare et al. (4,149,707). Specifically, the Office Action asserts that: "[t]he instant invention differs from the cited reference in that the cited reference does not teach the addition of a methylmalonic acid lowering agent. However, the secondary reference, Poydock et al., teaches a methylmalonic acid lowering agent such as hydroxocobalamin is effective by inhibiting tumors implanted in mice (see the abstract)."

Applicants note at the outset that independent Claim 40 comprises administration of pemetrexed disodium with a methylmalonic acid lowering agent (e.g., vitamin B12). Applicants assert that since Poydock et al. was discredited prior to the present application's priority date, it cannot even be used to support an assertion that methylmalonic acid lowering agent (e.g., hydroxocobalamin) is effective at inhibiting tumors implanted in mice.

#### Application No.: 11/776329

Poydock et al. teaches that mice given a mixture containing L-ascorbic acid, hydroxocobalamin (a methylmalonic acid lowering agent), and Na ascorbate is effective at inhibiting tumors implanted in mice. Shortly after this abstract was published, however, it was discovered that the antitumor activity was <u>not</u> associated with the L-ascorbic acid, the hydroxocobalamin (a methylmalonic acid lowering agent), or the Na ascorbate. In fact, the researchers found that the Lascorbic acid which they had used had oxidized to dehydroascorbic acid (see, e.g., Toohey, John I., Cancer Letters (Shannon, Ireland) (2008), 263(2), 164-169). In subsequent research with authentic materials, it was discovered that it was in fact the dehydroascorbic acid which was the active factor in the mixture (see Poydock et al., Experimental Cell Biology (1982), 50(2), 88-91; Poydock et al., American Journal of Clinical Oncology 8 (1985) 266-269; and particularly Poydock et al., American Journal of Clinical Nutrition 54 (1991) 1261S-1265S).

In addition, Poydock himself demonstrated that "[i]njections of ascorbic acid or of vitamin  $B_{12}$  alone had no effect on mitotic activity..." (see Poydock et al., American Journal of Clinical Nutrition 54 (1991) 1261S-1265S page 1262S 3<sup>rd</sup> paragraph) Moreover, in addition to reviewing the discovery of the antitumor activity of dehydroascorbic acid, Toohey, John I., Cancer Letters (Shannon, Ireland) (2008), 263(2), 164-169) also discusses the use of Vitamin  $B_{12}$  (a methylmalonic acid lowering agent) in studies by Poydock (see footnote page 164):

"It should be noted that Poydock continued to add Vitamin  $B_{12}$  to most treatment protocols although her own data showed that it was not needed and there was no good rationale for adding it....To this day there is no rationale for giving  $B_{12}$  and no known reaction between  $B_{12}$  and ascorbic acid or dehydroascorbic acid which could explain her result."

These clarification studies (at least those published prior to Applicant's priority date) demonstrate that vitamin B12 does, in fact, <u>not</u> possess anti-tumor activity, contrary to the teaching of Poydock et al. Therefore, Poydock et al. cannot be used to support the assertion in the Office Action that one skilled in the art would have combined pemetrexed disodium with vitamin B12 because both are anti-neoplastic agents. For the same reason, since Claims 41-52 depend from Claim 40, which contains the methylmalonic acid lowering agent limitation, the combination with folic-binding protein binding agent and/or cisplatin would not be obvious.

Application No.: 11/776329

In view of the foregoing remarks, Applicants respectfully assert that the rejection is improper and should be withdrawn. Reconsideration is, therefore, kindly solicited. For at least the reasons set forth above, it is respectfully submitted that the above identified application is in condition for allowance. Favorable reconsideration and prompt allowance of the claims are respectfully requested.

Respectfully submitted,

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Eli Lilly and Company Patent Division/JAC P.O. Box 6288 Indianapolis, Indiana 46206-6288

May 4, 2009

### PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	Clet Niyikiza	Group Art Unit: 1614
Serial No.:	11/776,329	Examiner: Weddington, Kevin
Application Date	: July 11, 2007	Conf No.: 6568
For:	NOVEL ANTIFOLATE COMBI THERAPIES	NATION
Docket No.:	X14173B	

### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Under the guidelines of 37 C.F.R. 1.97, Applicant submits a copy of each of the documents listed on the attached Form PTO-1449 (modified) for consideration by the Examiner.

Since this Statement is being filed after the period specified in §1.97(b), but before the mailing date of a final action or a notice of allowance, please charge the fee under 37 C.F.R. 1.17(p), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840.

Applicant requests consideration of this information.

Respectfully submitted,

/ John A Cleveland, Jr./ John A. Cleveland, Jr. Attorney for Applicant Registration No. 50,697 Phone: 317-276-0307 Application No.: 11/776329

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

<u>May 4, 2009</u>

# NEPTUNE GENERICS 1002 - 00192 APOTEX 1002 - 0192

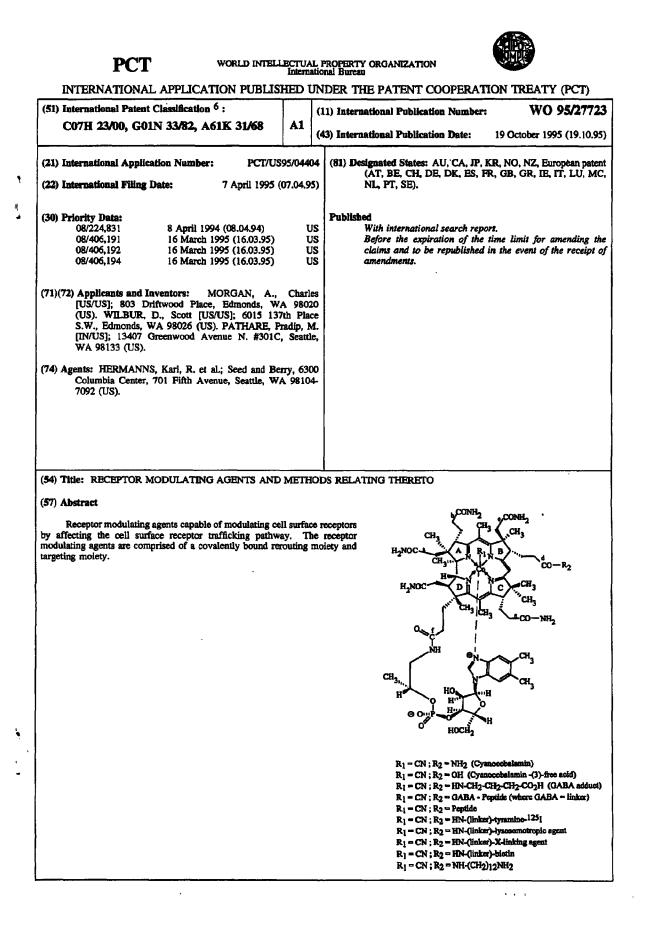
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	CA	POYDOCK M. Effect implanted Ehrlich card 1261S-5S,					
	СВ	POYDOCK M, et al. L1210 leukemia using Am J Clin Oncol 1985	, a combination of de		al of mice bearing nd hydroxycobalamin.		
	CC	POYDOCK M, et al. Influence of Vitamins C and B12 on the Survival Rate of Mice Bearing Ascites Tumor. <i>Expl Cell Biol</i> 1982; 50:88-91.					
	CD	TOOHEY J. Dehydroascorbic acid as an anti-cancer agent. <i>Cancer Letters</i> 2008; 263:164-169.					
	CE	SALLAH S, et al. Intr with acute leukemia. 774-777.					
CF NISHIZAWA Y, et al. Effects of methylcobalamin on the proliferation of androgen- sensitive or estrogen-sensitive malignant cells in culture and in vivo. <i>International</i> <i>Journal for Vitamin and Nutrition Research</i> 1997; 67(3):164-170.					vivo. International		
	CG	TSAO C, et al. Influer Pathobiology 1993; 6	nce of cobalamin on <sup>•</sup>				
	CH KAMEI T, et al. Experimental study of the therapeutic effects of folate, vitamin A, and vitamin B12 on squamous metaplasia of the bronchial epithelium. <i>Cancer</i> 1993; 71(8): 2477-83.						
	CI SHIMIZU N, et al. Experimental study of antitumor effect of methyl-B12. <i>Oncology</i> 1987; 44(3): 169-73.						
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	СК	KROES A, et al. Effection inactivation of cobalation			emia with concomitant 7-42.		

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			Application Date	Group Art Unit		
			July 11, 2007			
			US Nat'l Entry (if applicable)	1614		
	CL	KROES A, et al. Enhanced therapeutic effect of methotrexate in experimental rat				
		leukemia after inactivation of cobalamin (vitamin B12) by nitrous oxide. <i>Cancer</i> <i>Chemotherapy and Pharmacology</i> 1986; 17(2): 114-20.				
	СМ	17	possible adjunct in prevention of me	thotrexate		
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	CN	HERBERT V. The inhibition and promotion of cancers by folic acid, vitamin B12,				
		and their antagonists. ACS Syn	nposium Series (1985); 277(Xenobi	iot. Metab.: Nutr.		
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this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.ispfo.gov</u> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-lefter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Figure of must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. Burden Hours Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## NEPTUNE GENERICS 1002 - 00195 APOTEX 1002 - 0195

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#### Description

### RECEPTOR MODULATING AGENTS AND METHODS RELATING THERETO

#### **Technical Field**

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The present invention is generally directed to receptor modulating agents which modulate cell surface receptors and, more specifically, to receptor modulating agents which bind to cell surface receptors and affect the receptor trafficking pathway and methods related thereto.

#### **Background of the Invention**

Cell surface receptors constitute a class of proteins which are responsible for receptor-mediated endocytosis of specific ligands. Basically, the receptors serve as escorts for ligand delivery to intracellular destinations.

Ligand delivery is generally achieved through coated regions on the plasma membrane called "coated pits." These pits continually invaginate and pinch off, forming "coated vesicles" in the cytoplasm. Coated pits and vesicles provide a pathway for receptor mediated endocytosis of specific ligands. The ligands that bind to specific

- 20 cell surface receptors are internalized via coated pits, enabling cells to ingest large numbers of specific ligands without taking in correspondingly large volume of extracellular fluid. The internalized coated vesicles may or may not lose their coats and bind with other vesicles to form larger vesicles called "endosomes." In the endosome the ligand and the receptor are separated or "sorted." Endosomes which sort ligands
- 25 and receptors are known as "compartment of uncoupling of receptor and ligand" or "CURL."

Endosomes may fuse with primary lysosomes, where their contents are digested, or they may be delivered to other intracellular destinations. The receptor proteins are generally not digested, but are rather recycled to the cell membrane surface

30 through a process called "exocytosis," or transferred to early or late endosomes via multivesicular bodies. The entire pathway is referred to as the "receptor trafficking pathway."

Some receptors deliver their ligand directly to the cytoplasm or other specific intracellular locations. Perhaps one of the most studied receptor trafficking pathways is that of iron transport. In this pathway, a serum carrier protein, transferrin, binds iron and transports it to transferrin receptors on the plasma membrane surface.

### NEPTUNE GENERICS 1002 - 00197 APOTEX 1002 - 0197

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After binding and internalization, via coated pits, the resulting vesicle combines first with early endosomes and then with late endosomes. This process results in the gradual drop in pH in the vesicle. The drop in pH causes the transferrin carrier protein to lose its affinity to iron. When this occurs, the iron translocates through the membrane of the

5 vesicle and joins the intracellular pool of enzymes. The transferrin receptor may then recycle to the cell surface where it may repeat the process.

Other receptors may deliver their ligand directly to the lysosomes for digestion. For example, the epidermal growth factor ("EGF") receptor delivers its ligand directly to a lysosome for degradation (Prog. Histochem. Cytochem. 26:39-48,1992). The EGF receptor may recycle to the cell surface depending on its

10 26:39-48,1992). The EGF receptor may recycle to the cell surface depending on its state of phosphorylation (<u>Cancer Treat. Rep. 61</u>:139-160, 1992; <u>J. Cell. Biol. 116</u>:321-330, 1992).

A single receptor may utilize more than one receptor trafficking pathway within the same cell. For example in polarized cells, such as specialized transport epithelia cells, membrane trafficking is distinct between apical and basal sides of the cell (Sem. Cell. Biol. 2:387-396, 1991). Moreover, non-polarized epithelia cells may simultaneously follow two separate sorting pathways.

The control or regulation of cell surface receptors may be achieved by a variety of techniques. Regulation of cell surface receptors may be accomplished, at a

- 20 very basic level, by the binding of naturally occurring ligands. As discussed above, receptor binding of a ligand will generally trigger the internalization of the ligand-receptor complex. Such internalization may desensitize the cell to further ligand binding. (J. Immunol. 150:3161-9, 1993; Mol. Endocrinol. 6:2090-102, 1992; J. Cell. Physiol. 154:281-8, 1993; Receptor 1:13-32, 1990-91; Biochem. J. 288:55-61, 1992; J.
- 25 <u>Immunol. 148</u>:2709-11, 1992; <u>J. Cell. Physiol. 148</u>:24-34, 1991). This type of regulation, however, is transient in nature and does not result in diminution of biologic response.

Regulation of cell surface receptors may also be accomplished by administration of receptor antagonists or agonists. Receptor antagonists are organic protein or peptide ligands generally derived through empirical structure-function studies, or through the use of detailed knowledge of ligand and receptor interaction. Essentially, an antagonist may constitute any molecule with similar binding activity to a natural ligand, but incapable of producing the biological response normally induced by the natural ligand. Thus, the antagonist competitively blocks receptor activity. With a

35 competitive antagonist, the regulation of receptor activity is dependent upon both the antagonist's affinity for the receptor, as well as its extracellular concentration over time.

### NEPTUNE GENERICS 1002 - 00198 APOTEX 1002 - 0198

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Receptor agonists are protein or peptide ligands derived in a similar manner as antagonists. Essentially, an agonist may constitute any molecule which binds to the receptor in a manner superior to that of the natural ligand.

- One receptor of particular interest is the vitamin  $B_{12}$  receptor. As has 5 been demonstrated in experimental in vitro data, pre-clinical animal models, and patient studies, vitamin  $B_{12}$  is a co-enzyme necessary in cell division, as well as cellular metabolism, in proliferating normal and neoplastic cells. Insufficient vitamin  $B_{12}$ causes cellular division to be held in abeyance and ultimately may result in apoptosis. The nutrient is generally derived from dietary intake and is transported throughout the
- 10 body complexed to transport proteins. The complex of transport protein and vitamin B<sub>12</sub> is recognized by a cellular receptor which internalizes the complex and releases the vitamin intracellularly. The overall process has been reviewed in <u>GUT 31:59</u>, 1991. Vitamin B<sub>12</sub> is taken in through the diet. Binding proteins in the saliva (R-binder) and gut (intrinsic factor-(IF)) complex vitamin B<sub>12</sub> after release from endogenous binding
- 15 proteins by action of enzymes and low pH in the stomach. Vitamin  $B_{12}$  is transferred across the intestinal epithelium in a receptor specific fashion to transcobalamin II (TcII). The vitamin  $B_{12}$ /transcobalamin II complex is then transported throughout the body and recognized by receptors present on dividing cells, internalized and released within the cell where it is utilized by certain enzymes as a co-factor.
- 20 The high affinity receptor in dividing tissues or cells responsible for internalization of vitamin  $B_{12}$  recognizes transcobalamin II complexed with vitamin  $B_{12}$ . The vitamin  $B_{12}$ /TcII receptor recognizes only the vitamin  $B_{12}$ /TcII complex and not the serum transport protein or the vitamin alone. The receptor is undetectable on non-dividing cells; the mechanism for supplying non-dividing cells with vitamin  $B_{12}$  is
- 25 poorly understood. However, it is known that more vitamin  $B_{12}$  is required during cell division than during metabolism, and that the vitamin  $B_{12}$ /TcII receptor is the only high affinity means for cellular uptake of vitamin  $B_{12}$  during cell division. When stimulated to divide, cells demonstrate transient expression of this receptor leading to vitamin  $B_{12}$ uptake which precedes actual DNA synthesis (J. Lab. Clin. Med. 103:70, 1984).
- 30 Vitamin  $B_{12}$  receptor levels may be measured by binding of <sup>57</sup>Co-vitamin  $B_{12}$  complexed to transcobalamin II (present in serum) on replicate cultures grown in chemically defined medium without serum. No receptor mediated uptake occurs in the absence of carrier protein.

Dividing cells, induced to differentiate, lose receptor expression and no
 longer take up vitamin B<sub>12</sub>. More importantly, leukemic cells, deprived of vitamin B<sub>12</sub>, will stop dividing and die (<u>Acta Haemat. 81</u>:61, 1989). In a typical experiment,

# NEPTUNE GENERICS 1002 - 00199 APOTEX 1002 - 0199

leukemic cell cultures were deprived of serum for 3 days, and then supplemented either with serum (a source of vitamin  $B_{12}$ ) or a non-metabolizable analogue of vitamin  $B_{12}$ . and cultured up to five days. Cell cultures supplemented with vitamin  $B_{12}$  continued to grow, whereas those deprived of the active nutrient stopped growing and die.

- 5 Based on these observations, it has been suggested that whole body deprivation of vitamin B<sub>12</sub> may be useful in the treatment of cancer or other disorders characterized by uncontrolled growth of cells. Moreover, because of the critical role played by vitamin B<sub>12</sub>-containing enzymes in cell division, it is believed that vitamin B<sub>12</sub> deprivation may be used in combination with chemotherapeutic drugs which inhibit
- 10 cellular replication. For example, when vitamin B<sub>12</sub> depletion was combined with methotrexate, the two modalities together were more efficient in depleting folate levels in leukemic cells than either alone (FASEB J. 4:1450, 1990; Arch. Biochem. Biophys. 270:729, 1989; Leukemia Research 15:165, 1991). Folates are precursors in the production of DNA and proteins. In typical experiments, cultures of leukemic cells
- 15 were exposed to nitrous oxide for several hours to convert the active form of endogenous vitamin  $B_{12}$  to an inactive form. Replicate cultures were then left without further treatment, or additionally treated with methotrexate. Cellular folate levels were measured three days later. Cells treated with the combination (*i.e.*, both methotrexate and inactive vitamin  $B_{12}$ ) showed a more striking decrease in cellular folate levels than
- 20 with either of the two approaches alone. This combination also results in a higher cell kill in vitro. When this approach was applied to the treatment of highly aggressive leukemia/lymphoma in animal models (Am. J. Haematol. 34:128,1990; Anticancer Res. 6:737, 1986; Cancer Chemother. Pharmacol. 17:114, 1986; Br. J. Cancer 50:793, 1984), additive or synergy of anti-tumor action was observed, resulting in prolonged remissions and cures.

A key finding in the experiments described above was that short-term (hours to days), whole body depletion of vitamin  $B_{12}$  can act synergistically with chemotherapeutic drugs (such as methotrexate and 5-FU) to inhibit tumor growth and treat animals with leukemia/lymphoma. Despite synergistic anti-tumor activity, there

- 30 was no toxicity attributable to the short-term vitamin B<sub>12</sub> depletion for proliferating normal cells. This combination therapy was demonstrated in multiple animal models. Observations in patients have indicated that long-term (months to years) vitamin B<sub>12</sub> depletion is required to produce significant normal tissue toxicity. Even in those cases, subsequent infusion of vitamin B<sub>12</sub> can readily reverse symptomology (Br. J. Cancer 5.010, 1000)
- 35 <u>5</u>:810, 1989).

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Because of the promise of this therapeutic approach, various methods have been sought to efficiently and controllably perform a temporary depletion of vitamin  $B_{12}$ . Such methods, however, affect all of the body's stores of vitamin  $B_{12}$ . They include dietary restriction, high doses of vitamin  $B_{12}$  analogues (nonmetabolizable-competitive antagonists which act as enzyme inhibitors), and nitrous oxide (transformation of vitamin  $B_{12}$  to inactivate form). These different methods have been used in culture systems and in animals to deplete vitamin  $B_{12}$ . The most efficient and the most utilized method has been the inhalation of nitrous oxide (laughing gas). Animals are maintained typically under an atmosphere of 50% to 70% of nitrous oxide

- 10 for periods from a few hours to a few days, causing the conversion of endogenous vitamin  $B_{12}$  into an inactive form. This methodology has been utilized in combination with drugs for therapy of leukemia/lymphoma. A further method for vitamin  $B_{12}$  depletion involves infusion of a non-metabolizable analogue of vitamin  $B_{12}$  which essentially dilutes out the active form. This form of therapy is not specific for dividing
- 15 cells but affects liver dependent metabolic processes. Another approach includes restricting the dietary intake of vitamin B<sub>12</sub>. This method, however, requires very long periods of dietary restriction and is offset by hepatic storage of vitamin B<sub>12</sub>. All of these methods suffer from problems of specificity, since they affect both vitamin B<sub>12</sub>-dependent growth as well as basal metabolism, and therefore are not particularly suited to the development of anti-proliferative pharmaceutical products.

In view of the biological importance of cell surface receptors, receptorcontrolling agents have emerged as a class of pharmaceutical drugs. Moreover, with the advent of genetic engineering for the isolation and amplification of genes for cell surface receptors, as well as computer programs to model the interactions between ligands and receptors (*i.e.*, "rational" drug design), the production of receptorcontrolling drugs has been significantly enhanced.

To date, many months or even years of scientific research, as well as significant financial resources, are required to produce new receptor antagonists or agonists. To speed up this process, new screening technologies have been developed

- 30 which utilize peptide or antibody recombinant libraries (see, e.g., Gene 73:305, 1988; Proc. Nat. Acad. Sci. (USA) 87:6378, 1990; Biochromatography 5:22, 1990; Protein Engineering 3:641, 1989). While library screening does not require the same degree of knowledge of a specific receptor/ligand system, it does involve an intensive screening effort utilizing functional receptor-specific assays. Moreover, the initial compounds
- 35 identified by such screening programs are generally only precursors to the development of therapeutic products through more typical structure-functional assessments.

# NEPTUNE GENERICS 1002 - 00201 APOTEX 1002 - 0201

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While antagonists and agonists are generally capable of regulating a biological response, the surface receptors which bind such ligands are continually being re-expressed on the cell surface. Thus, effective regulation by antagonists or agonists must rely on a relatively high and sustained serum concentration in order to bind the new surface receptors continually being expressed on the cell surface.

Accordingly, there is a need in the art for agents which bind cell surface receptors and thus regulate biological responses associated therewith, and which further effect normal cellular trafficking of the bound receptor. There is also a need in the art for agents which, when bound by a cell surface receptor and internalized, promote retention of the receptor within the cell. Moreover, there exists a need for methods relating to the administration of such agents to regulate a biological response. The

present invention fulfills these needs and provides further related advantages.

#### Summary of the Invention

15 Briefly stated, the present invention provides receptor modulating agents which are capable of affecting a receptor trafficking pathway of the cell. Receptor modulating agents of the present invention are comprised of a rerouting moiety coupled to a targeting moiety.

Suitable targeting moieties include, by way of example, a vitamin B<sub>12</sub> 20 molecule or any one of several proteins and peptides.

Suitable rerouting moieties include, by way of example, lysosomotropic moieties, such as gentamycin, kanamycin, neomycin, and streptomycin; intracellular polymerizing moieties, such as dipeptide esters and leucine zippers; peptide sorting sequences, such as endoplasmic reticulum retention peptides, golgi retention peptides,

25 lysosomal retention peptides, organism specific retention peptides and clathrin-binding peptides; conditional membrane binding peptides, such as charged glutamate, aspartate, and histidine; and bi- or multi-valent receptor cross-linking moieties.

In a preferred embodiment of the present invention, a receptor modulating agent, is comprised of a vitamin  $B_{12}$  molecule coupled to a rerouting 30 moiety by a linker. Generally, the linker is at least 4 atoms in length, typically, the linker is about 6 to 20 atoms in length and preferably, the linker is 12 atoms in length. Suitable linkers include linkers which include an amino group, such as diaminoalkyl, diaminoalkylaryl, diaminoheteroalkyl, diaminoheteroalkylaryl and diaminoalkanes. Preferably, the linker is -NH(CH<sub>2</sub>)<sub>x</sub>NH- wherein x = 2-20 or -NH(CH<sub>2</sub>)<sub>y</sub>CO-, wherein 35 y = 3.12. In one embodiment the linker is a trifunctional linker

y = 3-12. In one embodiment the linker is a trifunctional linker.

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In a preferred embodiment of this aspect of the present invention, a  $B_{12}$  molecule is coupled to a rerouting moiety at a *b*-, *d*- or *e*- coupling site. In a particularly preferred embodiment of the present invention, a  $B_{12}$  molecule is coupled to a rerouting moiety at a *d*- or *e*- coupling site. In another embodiment, the  $B_{12}$  molecule is coupled to a rerouting moiety at a ribose coupling site. In yet another embodiment, the receptor modulating agent is bound to transcobalamin.

Receptor modulating agents of the present invention may act by affecting a receptor trafficking pathway in any one of several ways, including, by redirecting an agent/receptor complex; by cross-linking one or more cell surface receptors; by anchoring a cell surface receptor in the membrane; and by retaining a receptor in an endosome.

Another aspect of the present invention includes a vitamin  $B_{12}$  dimer comprising a first and a second vitamin  $B_{12}$  molecule coupled through a coupling site independently selected from the group consisting of coupling sites *a*-g, coupling sites *h*,

15 and coupling sites *i*. In a preferred embodiment, the B<sub>12</sub> molecule coupled through an *e*- or *d*- coupling site.

In another embodiment,  $B_{12}$  molecules are coupled by a linker. Generally, the linker is at least 4 atoms in length, typically, the linker is about 10 to 55 atoms in length and preferably, the linker is 35 to 45 atoms in length. In a preferred embodiment, the linker is a trifunctional linker. Suitable linkers include linkers which

20 embodiment, the linker is a trifunctional linker. Suitable linkers include linkers which include an amino group, such as diaminoalkyl, diaminoalkylaryl, diaminoheteroalkyl, diaminoheteroalkylaryl and diaminoalkanes. Preferably, the linker is  $-NH(CH_2)_xNH$ -wherein x = 2-20 or  $-NH(CH_2)_yCO$ -, wherein y = 3-12.

In another aspect of this embodiment, a vitamin B<sub>12</sub> dimer is coupled to at least one transcobalamin II molecule. In yet another aspect of this embodiment, at least one of said first and said second vitamin B<sub>12</sub> molecules of the dimer is a vitamin B<sub>12</sub> derivative.

These and other aspects of the present invention will become evident upon reference to the following detailed description and attached drawings. In addition, various references set forth below which describe certain procedures or compositions in more detail are incorporated by reference in their entirety.

#### **Brief Description of the Drawings**

Figure 1 is a schematic illustrating a mechanism of action of a receptor modulating agent of the present invention. A healthy receptor will internalize when bound by the appropriate ligand, release the ligand within the cell and then recycle to

# NEPTUNE GENERICS 1002 - 00203 APOTEX 1002 - 0203

the cell surface. Receptor modulating agents of the present invention impede the receptor trafficking pathway by inhibiting the recycling of receptors to the cell surface. Essentially, the targeting moiety on receptor modulating agents bind the receptor and the rerouting moiety redirects the receptor/receptor modulating agent complex to other

5 points within the cell, where it may be retained or degraded. (Not shown in this schematic are receptors synthesized <u>de novo</u>).

Figures 2-5 are formulae representing families of antibiotics which act as rerouting moieties. The preferred reactive groups for coupling with a targeting moiety are indicated. These rerouting moieties facilitate retention of the receptor/receptor

10 modulating agent complex through protonation of the complex, eventually delivering it to lysosomes for degradation.

Figure 2 illustrates formulae representing the gentamycin, sisomicin, and netilmicin families of antibiotics.

Figure 3 illustrates formulae representing the kanomycin, tobramycin, 15 and amikacin families of antibiotics.

Figure 4 illustrates formulae representing the neomycin, paromomycin, ribostamycin, and butirosin families of antibiotics.

Figure 5 illustrates formulae representing the streptomycin family of antibiotics.

Figure 6 illustrates formulae representing substituted aminoquinolines (e.g., chloroquine) substituted aminoacridines (e.g., quinacrine), and substituted aminonapthalines (e.g., dansyl cadaverine), all of which are representative rerouting moieties of the present invention. These rerouting moieties impede the receptor trafficking pathway through protonation and intracellular retention.

25 Figure 7 illustrates formulae representing glycosylation inhibitors, all of which are representative rerouting moieties of the present invention. These sugars may be conjugated to targeting moieties using linkages typical of oligomeric carbohydrate chains. The resulting receptor modulating agent is recognized by internal glycosyl transferases, subject to intracellular retention, and, ultimately, degradation in the

30 lysosomes.

Figure 8 illustrates a formula representing a vitamin  $B_{12}$  (cyanocobalamin) molecule and identifies a preferred coupling site suitable for use in the present invention for derivatization and conjugation.

Figure 9 is a schematic depicting a representative reaction scheme for 35 the synthesis of a vitamin B<sub>12</sub>-GABA adduct.

# NEPTUNE GENERICS 1002 - 00204 APOTEX 1002 - 0204

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Figure 10a is a schematic depicting a representative reaction scheme for the synthesis of a vitamin  $B_{12}$  derivative comprising a vitamin  $B_{12}$  molecule with a diaminododecane linker arm coupled to any one of coupling sites *d*-, *e*-, or *b*-.

Figure 10b is a schematic depicting a representative reaction scheme for
 coupling a succinic anhydride to a vitamin B<sub>12</sub> diaminododecane adduct in preparation
 for coupling the adduct to a rerouting moiety, or other molecule, with an amino reaction
 site.

Figure 11 is a schematic depicting a representative reaction scheme for the synthesis of a vitamin B<sub>12</sub> derivative comprising a vitamin B<sub>12</sub> molecule and a 10 diaminododecane linker arm coupled to a ribose coupling site.

Figure 12 is a schematic depicting a representative reaction scheme for coupling vitamin  $B_{12}$  or a vitamin  $B_{12}$ -GABA adduct to amikacin.

Figure 13 is a schematic depicting a representative reaction scheme for coupling vitamin  $B_{12}$  or a vitamin  $B_{12}$ -GABA adduct to streptomycin.

15 Figure 14 is a schematic depicting a representative reaction scheme for coupling a vitamin B<sub>12</sub> carboxylate derivative or a vitamin B<sub>12</sub>-GABA adduct to acridine.

Figure 15 is a schematic depicting a representative reaction scheme for the synthesis of a bivalent receptor modulating agent, a vitamin B<sub>12</sub> dimer, using a 20 trifunctional linker. The trifunctional linker allows for coupling with additional compounds (*e.g.*, R-NH<sub>2</sub>) such as, by way of example, aminoglucosides (Figures 2-5), aminoacridines (Figure 6), glycosylation inhibitors (Figure 7), and biotin.

Figure 16 is a schematic depicting a representative reaction scheme for the synthesis of a vitamin  $B_{12}$  dimer using a homobifunctional or homotrifunctional cross-linking reagent.

Figure 17 is a schematic depicting a representative reaction scheme for the synthesis of a vitamin  $B_{12}$  dimer using a heterobifunctional cross-linker.

Figures 18-21 are schematics depicting representative reaction schemes for the synthesis of various receptor modulating agents generally comprised of a rerouting moiety, designated by the reactive group and R, selected from those represented in Figures 2-7, and a vitamin B<sub>12</sub> molecule or derivative thereof as a targeting moiety.

Figure 22 is a graph illustrating the binding curve of Transcobalamin II to the cyanocobalamin monocarboxylic acids produced in Example 1. AD =Cyanocobalamin (1); AL = Cyanocobalamin *b*-monocarboxylic acid (2); AM =

# NEPTUNE GENERICS 1002 - 00205 APOTEX 1002 - 0205

Cyanocobalamin e-monocarboxylic acid (3); and AN= Cyanocobalamin d-monocarboxylic acid (4).

Figure 23 is a graph illustrating the binding curve of Transcobalamin II to the cyanocobalamin diaminododecane adducts produced in Example 3 and 4. AH = Cyanocobalamin b-monocarboxylic acid conjugate diaminododecane (7); AI = Cyanocobalamin e-monocarboxylic acid conjugate diaminododecane (8); AJ = Cyanocobalamin e-monocarboxylic acid conjugate diaminododecane (8); A = Cyanocobalamin e-monocarb

Cyanocobalamin *d*-monocarboxylic acid conjugate diaminododecane (9); AK = Cobalamin e-monocarboxylic acid conjugate diaminododecane, and AE = Cyanocobalamin ribose-succinate (11).

- 10 Figure 24 is a graph illustrating the binding curve of Transcobalamin II to a series of vitamin B<sub>12</sub> dimers. Dimer X = b-acid dimer with isophthaloyl dichloride (36); Dimer Y = e-acid dimer with isophthaloyl dichloride (37); dimer Z = d-acid dimer with isophthaloyl dichloride (38); Dimer A= b-acid Dimer with p-iodo benzoyl isophthaloyl dichloride (58); Dimer B = e-acid Dimer with p-iodo benzoyl isophthaloyl dichloride (58); Dimer B = e-acid Dimer with p-iodo benzoyl isophthaloyl
- 15 dichloride (59); and Dimer C = d-acid Dimer with p-iodo benzoyl isophthaloyl dichloride (60). These dimers were prepared as set forth in the Examples below. (see Examples 13 and 16.)

Figure 25 is a graph illustrating the binding curve of Transcobalamin II to a series of biotinylated vitamin B<sub>12</sub> molecules. AA = Cyanocobalamin bmonocarboxylic acid conjugate diaminododecane and biotin (17); AB = Cyanocobalamin *e*-monocarboxylic acid conjugate diaminododecane and biotin (18); AC = Cyanocobalamin *d*-monocarboxylic acid conjugate diaminododecane and biotin (19); AF = Cyanocobalamin ribose-succinate conjugate diaminododecane (13); and AG = Cyanocobalamin ribose-succinate conjugate diaminododecane and biotin (20). These

25 biotinylated molecules were prepared as set forth in Examples below. (see Example 8.)

#### Detailed Description of the Invention

The present invention is generally directed to a receptor modulating agent which is capable of binding to a cell surface receptor to form a receptor modulating agent/receptor complex ("agent/receptor complex"). The binding of a suitable receptor modulating agent to a cell surface receptor generally results in invagination of the agent/receptor complex into the cell into the vesicular system in the same manner as the natural ligand. However, once internalized, or as part of the internalization process, a receptor modulating agent of the present invention affects the

35 receptor trafficking pathway by effectively impeding, preventing, or delaying the

receptor from recycling to the surface, thus depriving the cell of receptors able to engage in binding its natural ligand and triggering related biological responses.

Within the context of the present invention, "affecting the receptor trafficking pathway" refers to impeding the receptor trafficking pathway in such a
manner so as to affect biological response. This would include trapping, delaying, retaining, re-directing, or degrading the cell surface receptor. A "receptor modulating agent" is comprised of at least one targeting moiety covalently attached to at least one rerouting moiety. A "targeting moiety," as described in detail below, is a moiety capable of specifically binding to a cell surface receptor to yield an agent/receptor
complex and, in a preferred embodiment, has an affinity for the cell surface receptor of within 100-fold, and more preferably, within 10-fold, of the affinity of the natural ligand for the receptor. A preferred targeting moiety is a vitamin B<sub>12</sub> molecule. In contrast, a "rerouting moiety" is a moiety which redirects an agent/receptor complex, resulting in prolonged retention, degradation, and/or modulation of the receptor within

15 the interior of a cell or on the cell surface, including, by way of example, retaining the receptor in the cell membrane or directing the receptor to a lysosome within the cell. Suitable rerouting moieties are described in detail below.

A targeting moiety is coupled to a rerouting moiety to yield the receptor modulating agent by any suitable means known in the art, including direct covalent linkage of an appropriate chemical linker or through a very tight association in noncovalent attachment. By way of example for the latter, in one embodiment, coupling is accomplished through the combination of an avidin or streptavidin conjugate with a vitamin B<sub>12</sub>/biotin conjugate. Coupling of the targeting moiety and the rerouting moiety should be of a nature which resists cleavage by the enzymatic and low pH conditions normally encountered within the internal portion of the cell, including endosomes and lysosomes. Suitable linkers are noted below. The ability to resist cleavage may be detected by any means known in the art, including exposing the

receptor modulating agent to enzymes at low pH and measuring release of the targeting or rerouting moiety using techniques known in the art.
 Coupling of a targeting moiety and a rerouting moiety should not significantly binder the shifting of the targeting moiety to gradifically hind the call

significantly hinder the ability of the targeting moiety to specifically bind the cell surface receptor. The receptor modulating agent may also include additional moieties, so long as they do not interfere with either the targeting or the rerouting moieties. For example, such moieties may be coupled to the receptor modulating agent through the

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use of a trifunctional linker or they may be coupled to a rerouting or targeting moiety. Optimal attachment of the two moieties may be determined by comparing the affinity of

# NEPTUNE GENERICS 1002 - 00207 APOTEX 1002 - 0207

binding of the receptor modulating agent with free targeting moiety in assays of inhibition of binding.

These, and other suitable techniques, are described in detail in Sambrook et al., <u>Molecular Cloning: A Laboratory Manual</u>, Cold Spring Harbor, 1989.

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Coupling of a targeting molety and a rerouting molety should also not significantly affect the ability of the rerouting molety to retain or delay the agent/receptor complex within the cell. This may be empirically determined by any one of several methods known in the art, including using labeling techniques to compare intracellular retention of the targeting molety versus that of the receptor modulating agent as exemplified below.

As noted above, targeting moieties of a receptor modulating agent include any moiety which specifically binds to a cell surface receptor. Suitable targeting moieties include proteins and peptides. Representative examples of suitable targeting moieties include peptides such as bombesin, gastrin-releasing peptide, cell

- 15 adhesion peptides, substance P, neuromedin-B, neuromedin-C and metenkephalin; hormones, including EGF, alpha- and beta-TGF, estradiol, neurotensin, melanocyte stimulating hormone, follicle stimulating hormone, luteinizing hormone, and human growth hormone; proteins corresponding to ligands for known cell surface receptors, including low density lipoproteins, transferrin and insulin; fibrinolytic enzymes; and
- 20 biological response modifiers, including interleukin, interferon, erythropoietin and colony stimulating factor also constitute targeting moieties of this invention. Moreover, analogs of the above targeting moieties that retain the ability to specifically bind to a cell surface receptor are suitable targeting moieties. Essentially, any analog having about the same affinity as a targeting moiety, herein specified, could be used in surface receptor methods and dulating accent.

25 synthesis of receptor modulating agents.

In a preferred embodiment, a targeting moiety is a vitamin  $B_{12}$  molecule. Vitamin  $B_{12}$  is an essential nutrient for dividing cells. By inhibiting its uptake, the growth of dividing cells can be halted. The cell surface receptor for vitamin  $B_{12}$  is the transcobalamin II/vitamin  $B_{12}$  ("TcII/B<sub>12</sub>") receptor, which is characterized by a high

- 30 affinity for the carrier protein, transcobalamin II (TcII), when complexed with vitamin  $B_{12}$  ("TcII/B<sub>12</sub> complex"). The TcII/B<sub>12</sub> receptor does not recognize vitamin  $B_{12}$  alone, but does recognize the carrier protein TcII with reduced affinity when not complexed with vitamin  $B_{12}$ . In many respects, this receptor system is similar to that for transferrin/iron in that the goal of the receptor system is to deliver vitamin  $B_{12}$  into
- 35 cells such that it can be utilized by enzymes involved in DNA synthesis. Within the context of the present invention, the term "vitamin  $B_{12}$ " refers to the class of

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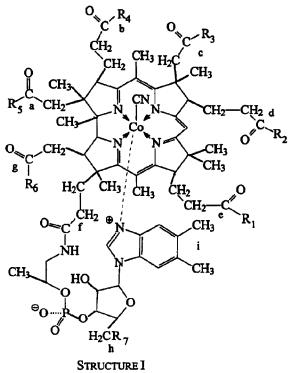
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compounds known as cobalamins and derivatives thereof, including, by way of example, cyanocobalamin. The term "vitamin  $B_{12}$ " is used interchangeably with the term cyanocobalamin.

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Suitable vitamin  $B_{12}$  molecules includes any vitamin  $B_{12}$  capable of coupling to another molecule while maintaining its ability to form a TcII/ $B_{12}$  complex. A preferred vitamin  $B_{12}$  targeting moiety is generally comprised of a vitamin  $B_{12}$  molecule, such as a cyanocobalamin, and a linker, described in detail below. The linker may be coupled to any one of several sites on a vitamin  $B_{12}$  molecule, including potential carboxyl coupling sites *a*- through *g*-, an alcohol (ribose) coupling site ("coupling site *h*") or a benzimidazole coupling site ("coupling site *i*.") (See structure I below.) Preferably, a linker is coupled to coupling site *b*-, *d*- or *e*- on a vitamin  $B_{12}$  molecule. Even more preferably, a linker is coupled to coupling site *d*- or *e*-. This embodiment of the present invention includes compounds represented by the following formula:



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wherein at least one of  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $R_5$ ,  $R_6$ , and  $R_7$  is a linker. One of ordinary skill in the art will appreciate that a number of other coupling sites on the vitamin  $B_{12}$ 

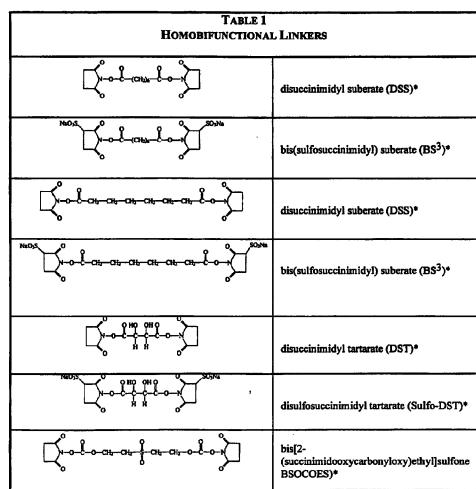
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molecule may be chemically altered without affecting coupling of the molecule with a linker or TcII. Coupling sites which are not occupied by a linker may have a variety of chemical moieties attached thereto, including an amino, secondary amino, tertiary amino, hydroxy, lower alkyl, lower alkoxy, alkoxyalkyl, alkoxyalkoxy, cycloalkylalkoxy, and thioalkyl groups.

In a preferred embodiment,  $R_1$ ,  $R_2$  or  $R_4$  is a linker and the remaining R groups are -NH<sub>2</sub>, with the exception of  $R_7$ , which is preferably -OH. In an especially preferred embodiment,  $R_2$  is a linker,  $R_1$ ,  $R_3$ - $R_6$  are -NH<sub>2</sub> and  $R_7$  is -OH.



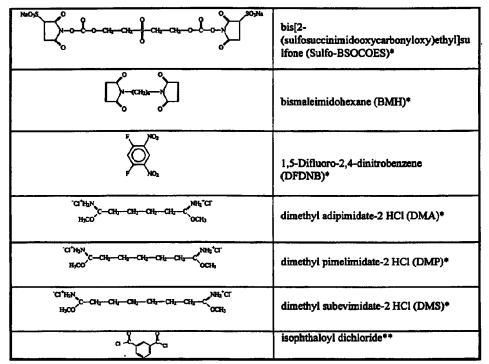
In another preferred embodiment,  $R_7$  is a linker and  $R_1$ - $R_6$  are -NH<sub>2</sub>.

NEPTUNE GENERICS 1002 - 00210 APOTEX 1002 - 0210 WO 95/27723

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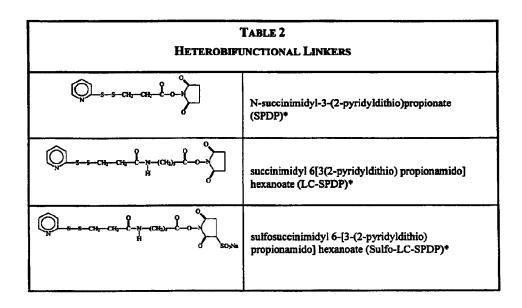
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\*Pierce Chemical, Co., Rockford, Illinois

\*\*Aldrich Chemical Co., Milwaukee, Wisconsin



NEPTUNE GENERICS 1002 - 00211 APOTEX 1002 - 0211 WO 95/27723

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Q~°C~~}	succinimidyl 4-(N-malcimidomethyl)cyclohexane-1- carboxylate (SMCC)*
	sulfosuccinimidyl 4-(N- maleimidomethyl)cyclohexane-1-carboxylate (Sulfo- SMCC)*
L-1-J	m-maleimidobenzoyl-N-hydroxysuccinimide ester (MBS)*
NOS Lock	m-maleimidobenzoyl-N-hydroxysulfosuccinimide ester (Sulfo-MBS)*
1-02- L. L. O. L. O. L. O. L.	N-succinimidyl(4-iodoacetyl)aminobenzoate (SIAB)*
	sulfosuccinimidyl(4-iodoacetyl)aminobenzoate (Sulfo-SIAB)*
	succinimidyl-4-(p-maleimidophenyl)butyrate (SMPB)*
	sulfosuccinimidyl-4-(p-maleimidophenyl)butyrate (Sulfo-SMPB)*

\*Pierce Chemical, Co., Rockford, Illinois

NEPTUNE GENERICS 1002 - 00212 APOTEX 1002 - 0212

TABLE 3 TRIFUNCTIONAL LINKERS				
DFPO2C CO2TFP	Derived from 5-amino isophthalic* acid - unreported synthesis (D.S. Wilbur, D.K. Hamlin, University of Washington)			
H <sub>3</sub> N, H <sub>3</sub> N, H <sub>3</sub> N, NH <sub>3</sub> CO <sub>3</sub> Me	Derived from 3,5-diaminovbenzoic acid* - unreported synthesis			
	5-(p-iodobenzoyl)amino-1,3-isophthaloyl ditetra-fluorophenyl ester - unreported synthesis (D.S. Wilbur, D.K. Hamlin, University of Washington)			
	5(p-tri-N-butylisomylbenzoyl)-amino-1,3- isophthaloyl ditchtrafluorophenyl ester - unreported synthesis (D.S. Wilbur, D.K. Hamlin, University of Washington)			
ı-{}-atai,Nii-È-{}-È-{-so,-O-at, so,-O-at,	D.S. Wilbur et al., <u>Bioconjugate Chem.</u> 5(3):220-235, 1994.			
	D.S. Wilbur et al., <u>Bioconjugate Chem.</u> 5(3):220-235, 1994.			

\*Aldrich Chemical Co., Milwaukee, Wisconsin

Suitable linkers include any one of several linkers, preferably containing at least two coupling or reactive groups, allowing the linker to bind to both vitamin B<sub>12</sub> and a rerouting moiety. In the context of the present invention, the terms "coupling group" and "reactive group" are used interchangeably. By way of example, a linker may be homobifunctional, heterobifunctional, homotrifunctional, or heterotrifunctional. Homobifunctional agents may facilitate cross-linking, or dimerization of vitamin B<sub>12</sub>

# NEPTUNE GENERICS 1002 - 00213 APOTEX 1002 - 0213

molecules in a single step, hence a coupling reaction using these agents should be performed with an excess of homobifunctional agents, unless dimerization is the desired result, as in the synthesis of dimers described in detail below.

- Suitable homobifunctional agents include those listed in Table 1, as well as those described in detail below. Heterobifunctional agents facilitate cross-linking in a stepwise method, allowing more than one linker to be incorporated and a variety of targeting agents such as vitamin B<sub>12</sub> molecules to be linked. Suitable heterobifunctional agents include those listed in Table 2 as well as those described in detail below. Homo- and hetero- trifunctional linkers are coupled to a rerouting moiety
- 10 and a vitamin  $B_{12}$  molecule as described above, with the additional advantage of a third coupling site on the linker. One of ordinary skill in the art will appreciate that this allows for any number of different molecules to couple with the rerouting moiety, including, by way of example, markers, such as radiolabeled and fluorescent molecules; proteins and peptides, such as antibodies; and conjugating molecules, such as biotin.
- 15 Suitable trifunctional linkers are listed in Table 3. Homobifunctional, heterobifunctional, homotrifunctional, and heterotrifunctional linkers are commercially available.

Suitable linkers are generally relatively linear molecules greater than 4 atoms in length, typically between 6 and 30 atoms in length, and preferably are 8 to 20 atoms in length. In a particularly preferred embodiment, the linker is a linear molecule of 12 atoms in length. In the context of the present invention, the term "atom" refers to a chemical element such as, by way of example, C, N, O, or S. The ranges provided above are based on the relatively linear accounting of the linker. One of ordinary skill in the art will appreciate that a linker may be linear, branched, and even contain cyclical

25 elements.

Coupling or reactive groups include any functional group capable of coupling a linker to a vitamin  $B_{12}$  molecule. Suitable coupling groups include, nucleophilic and electrophilic functional groups. Suitable nucleophilic groups include hydroxy groups, amino groups, and thio groups. Suitable electrophilic groups include carboxylic acid groups and carboxylic acid derivatives including acid halides, acid

anhydrides, and active esters such as NHS esters.

Suitable homobifunctional linkers include, by way of example, diaminoalkanes, such as those represented by the formula  $NH_2(CH_2)_XNH_2$ , wherein x = 2-20. A preferred linker is a diaminododecane. Suitable heterobifunctional linkers include those represented by the formula  $NH_2(CH_2)_VCOOH$ , wherein y = 3-12. Those

NEPTUNE GENERICS 1002 - 00214 APOTEX 1002 - 0214

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of ordinary skill in the art will appreciate that a protecting group may be necessary when utilizing a heterobifunctional group.

A linker may be coupled to the preferred b-, d- or e- coupling sites (see Structure I above) by any one of several suitable means, including, by way of example, activating a vitamin B<sub>12</sub> molecule by hydrolyzing its propionamide groups to produce monocarboxylates, purifying the resulting monocarboxylates, and coupling a linker to a selected coupling site. Hydrolysis of the coupling sites may be accomplished by exposing vitamin B<sub>12</sub> to aqueous acid for a period of time and under suitable conditions to hydrolyze the desired propionamide groups. Preferably, hydrolysis is performed by exposure of the amide to dilute aqueous acid for a period of about 6 to 12 days, typically about 9 to 11 days, and most preferably about 10 days at room temperature. Suitable aqueous acids include, by way of example, 0.1N hydrochloric acid, 0.5N phosphoric acid or 0.5N sulfuric acid.

Purification of b-, d- and e- monocarboxylates can be accomplished by 15 any one of several means, including column chromatography, such as gel permeation chromatography, adsorption chromatography, partition chromatography, ion exchange chromatography, and reverse phase chromatography. Preferably, column chromatography is preparative reverse phase liquid chromatography. These techniques are described in detail in Lim, <u>HPLC of Small Molecules</u>, IRL Press, Washington,

- 20 D.C., 1986. Purification of monocarboxylates by preparative liquid chromatography (LC) should be accomplished at a very slow flow rate. For example, LC purification may be conducted at a flow rate of 0.15 mL/min. on a 5 μm, 4.6 X 250 mm propylamine column (RAININ microsorb-MV amino column) eluting with 58 μM pyridine acetate, pH 4.4 in H<sub>2</sub>O : THF (96 : 4) solution. Even more preferably, the
- 25 coupling reaction is monitored using analytical high pressure liquid chromatography (HPLC). Reverse-phase HPLC chromatography is preferably carried out using an analytical version of above-noted propylamine column using a gradient solvent system at a flow rate of 1 mL/min. Within the context of the present invention, the *d* isomer is identified as the longest retained peak (third), the *e* isomer is identified as the second
- 30 retained peak, and the *b* isomer is identified as the shortest retained peak (first) eluted from the LC column. The *d*- isomer may also be identified as that vitamin  $B_{12}$ derivative demonstrating the greatest biological activity as noted below.

A ribose coupling site (coupling site h, see structure I) may be activated by any one of several suitable means including, activating a hydroxyl group at coupling

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site h by reaction with a suitable means mentaing, activating a hydroxyl group at coupling derivative which bears a reactive group (e.g., a carboxylate group). This technique is

# NEPTUNE GENERICS 1002 - 00215 APOTEX 1002 - 0215

described in detail in Toraya, <u>Bioinorg. Chem.</u> 4:245-255, 1975. Separation and purification of the activated molecule may be accomplished on a C18 column as noted below. Once coupling site h has been activated, a linker may be coupled to this site in the same manner as described below.

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After activating the vitamin  $B_{12}$  molecule at a selected coupling site, linkers may be coupled to a vitamin  $B_{12}$  molecule to form a vitamin  $B_{12}$  linker adduct using any one of several means, including, by way of example, an amide forming reaction, employing an amine group on the linker and a carboxylate coupling site on a vitamin  $B_{12}$  molecule. Alternatively, a linker may be coupled to a vitamin  $B_{12}$ 

- 10 molecule through an amide forming reaction, employing a carboxylate group on the linker and an amino group on a  $B_{12}$  molecule. The amide forming reaction may include the use of a coupling agent. Suitable coupling agents include carbodiimide coupling agents, such as, by way of example, 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride (EDC), 1-benzyl-3-(3-dimethylaminopropyl), carbodiimide (BDC), 1-
- 15 cyclohexyl-3-(2-morpholinyl-4-ethyl)carbodiimide (CMC), and 1,3dicyclohexylcarbodiimide (DCC). Preferably, the coupling agent is water soluble. Even more preferably, the coupling agent is EDC.

Alternatively, the amide forming reaction coupling the linker to a  $B_{12}$  molecule may employ a reactive carboxylic acid group and an amine. Suitable reactive

- 20 carboxylic acid groups include carboxylic acid derivatives which yield an amide upon reaction with an amine. Such reactive groups include, by way of example, any reactive carboxylic acid derivative, including, by way of example, carboxylic acid halides, such as acid chlorides and bromides; carboxylic acid anhydrides, such as acetic anhydrides and trifluoroacetic anhydrides; esters, such as p-nitrophenyl esters and Nhydroxysuccinimide esters. Such techniques are described in detail in Bodanszky,
- Principles of Peptide Synthesis, Springer Verlag, Berlin, 1984.

Although coupling of a linker through a cyano coupling site is possible it is not preferred, due to the instability of linkers coupled to this site. Dolphin, D., [205] <u>Methods Enzymol. 18C</u>:34-52, 1971. Additionally, a linker may be coupled to a

30 benzimidazole (coupling site *i, see* Structure I) using techniques described in detail in Jacobsen, <u>Anal. Biochem. 113</u>:164-171, 1981.

Vitamin  $B_{12}$  linker adducts may be separated and purified using any suitable means, including column chromatography, such as gel permeation chromatography, adsorption chromatography, partition chromatography, ion exchange chromatography, and reverse phase chromatography. Preferably, column

chromatography is preparative LC. These techniques are described in detail in Lim, HPLC of Small Molecules, IRL Press, Washington, D.C., 1986.

As noted above, the vitamin  $B_{12}$  receptor modulating agents of the present invention must be capable of binding transcobalamin II. The ability of a receptor modulating agent to bind TcII may be ascertained using any one of several means known in the art, including competitive binding assays with the receptor modulating agent competing with native vitamin  $B_{12}$ .

Rerouting moieties of the present invention include any moiety which is capable of affecting the receptor trafficking pathway. This characteristic can be 10 assessed by employing a receptor modulating agent having a radiolabeled targeting molety and following its path through the cell. This is accomplished using techniques known in the art, including using radiolabeled, biotinylated, or FITC labeled targeting moiety followed by binding assays, ELISA, or flow cytometry. A preferred receptor modulating agent is one which results in the removal of the highest percent of receptor for the longest period of time.

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Suitable rerouting moieties of this invention do not significantly detract from the selectivity of the targeting moiety. Whether a rerouting moiety detracts from the selectivity of a targeting moiety may be determined by any one of several methods known in the art, including comparing binding of the receptor modulating agent on receptor positive and receptor negative cells, as assessed by ELISA, flow cytometry, or

other binding assays.

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Rerouting moieties cause the retention/degradation of an agent/receptor complex within at least one cell type, but not necessarily in all cells. In like fashion, a rerouting moiety causes retention of an agent/receptor complex in some cells, but not

- 25 necessarily other agent/receptor complexes in other cells. Different rerouting moieties may also distinguish between receptor species, for example, as in polarized epithelium where the same receptor may independently traffic on the apical, basal, or basolateral sides of the cell. To determine if a particular rerouting moiety is suitable, a rerouting moiety is covalently attached to the targeting moiety, and the resulting receptor modulating agent is compared for receptor modulation on different receptor-bearing 30
- cells using binding or functional assays known in the art.

Suitable rerouting moieties of this invention may be categorized into five different functional classes: (1) lysosmotropic moieties; (2) intracellular polymerizing moieties; (3) protein sorting signals or sequences; (4) conditional membrane binding peptides; and (5) bi- or multi-valent receptor cross linking moieties. While such

rerouting moieties may have different functional mechanisms of action, all promote

# **NEPTUNE GENERICS 1002 - 00217 APOTEX 1002 - 0217**

retention of the agent/receptor complex within the intracellular vesicular system. All of these classes of rerouting moieties will impart the ability to affect the receptor trafficking pathway.

In one aspect of the present invention, a first functional class of rerouting moieties, lysosomotropic moieties, are disclosed. Within the context of the present invention, the term "lysosomotropic moieties" refers to moieties which route the agent/receptor complex to the lysosomes. Numerous suitable lysosomotropic moieties are known, and are reviewed in <u>Biochem. Pharmacol. 23</u>:2495-2531, 1974.

A preferred lysosomotropic moiety includes an aminoglycoside antibiotic marked by the characteristic ability to accumulate in lysosomes after intracellular protonation. Intracellular protonation occurs in the increasingly acidic conditions which occur during the transfer from early to late endosomes and, finally, to the lysosome. Strong positive charges prohibit the lysosomotropic moiety from leaving the membrane-enclosed vesicles, thus trapping the agent/receptor complex in the vessel.

15 Aminoglycoside antibiotics are similar in structure, but are divided into structurally related families of compounds based upon the sugar units. Each of the families of aminoglycoside antibiotics, as well as representative members thereof, are set forth in Figures 2-5. These families include gentamycin, kanamycin, neomycin and streptomycin. The gentamycin family includes gentamycin C<sub>1</sub>, gentamycin C<sub>2</sub>,

20 gentamycin C<sub>1a</sub>, sisomicin and netilmicin; the kanamycin family includes kanamycin A, tobramycin and amikacin; the neomycin family includes neomycin B, paromomycin, ribostamycin and bytirosin B; and the streptomycin family includes streptomycin A and streptomycin B.

In a particularly preferred embodiment of the present invention, the rerouting moiety is gentamycin, which accumulates in lysosomes in concentration as much as 300 fold that of the extracellular concentration (<u>J. Pharmacol. Exp. Ther.</u> <u>255</u>:867-74, 1990; <u>Ren. Fail. 14</u>:351-7, 1992).

Suitable aminoglycosides have reactive amine groups capable of being coupled through peptide or other chemical linkers. Thus, a targeting moiety may be 30 readily attached via covalent linkage to these rerouting moieties using any one of several techniques known in the art to form covalent bonds, for example, using thioether, disulfide, ether, ester and peptide bonds. Since many of the aminoglycoside antibiotics have several amines which could be derivatized in a conjugation procedure, a primary amine contained in these compounds can be selectively reacted to favor

35 covalently attachment to the targeting moiety through this amine (see amine indicated with arrow in Figures 2-4). With regard to streptomycin, covalent attachment to the

# NEPTUNE GENERICS 1002 - 00218 APOTEX 1002 - 0218

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targeting moiety may be accomplished by converting the aldehyde moiety indicated in Figure 5 to an amine, and attaching to the targeting moiety using carbodiimide or other suitable activated carboxylic acid. Aminoglycosides are water soluble and do not readily bind to other proteins, and thus do not impart non-specific binding to a receptor modulating agent.

Particularly preferred aminoglycosides include those which allow for preferential derivation of a selected amine. Specifically, preferred aminoglycosides include those compounds to which protective groups can be added to various nitrogen atoms thereof and, subsequently, selectively deprotected to yield a single free amine.

10 The free amine can be further derivatized, for example, by addition of a peptide linker or covalently attached directly to the targeting moiety. These rerouting moieties include ribostamycin (*see* Figure 4), kanamycin (*see* Figure 3), amikacin, and streptomycin. Ribostamycin is particularly preferred, due to its relative low toxicity and its derivatization chemistry, allowing an acyl migration reaction to be effected on a

15 hydroxyl protected ribostamycin to yield a single amine adduct. Kanamycin may also be used in a selective protection/acylation reaction; Amikacin is commercially available in a form which allows attachment without deprotecting its amines or alcohol groups; and streptomycin can also be readily derivatized by protonating guanidinium groups under physiologic conditions to provide the polycations necessary for cellular or

20 lysosomal retention.

In another aspect of the present invention, non-aminoglycoside lysosomotropic compounds which may accumulate after intracellular protonation are also suitable rerouting moieties (*see* Figure 6). Suitable non-aminoglycoside compounds exhibiting this characteristic are known in the art, a series of aminoacridine and amino quinoline dyes, typified by cholquinine and quinacrine; a group of amino naphthalenes, typified by dansyl cadaverine; and derivatives thereof. Such dyes are characterized by cellular retention and low toxicity. All of these compounds have characteristic sites for covalent attachment to a targeting moiety via the nitrogen indicated in Figure 6 and may be attached thereto as described above.

30 Another aspect of the present invention utilizes a lysosomotropic peptide subject to charge modification under intracellular conditions is employed as a rerouting moiety. Once charge-modified, the rerouting peptide acts to retain an agent/receptor complex in the intracellular vesicular system until membrane flow delivers it to the lysosome for degradation. Preferably, these peptides are capable of being phosphorylated by intracellular protein kinases. When phosphorylated by the intracellular enzymes, such peptides would be highly anionic.

# NEPTUNE GENERICS 1002 - 00219 APOTEX 1002 - 0219

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Charge-based retention can be an inherent property of the rerouting peptide or can be imparted by intracellular modification. Intracellular modification may be accomplished by any of several means known in the art, including phosphorylation of certain residues of some receptors (e.g., the EGF receptor) may cause intracellular

5 rerouting (Cancer Treat. Res. <u>61</u>:139-160, 1992; <u>J. Cell. Biol. <u>116</u>:321-30, 1992).</u>

The rerouting peptides may be covalently attached to a targeting moiety by any means, including, for example, covalently linking the peptide directly to the targeting moiety, or by use of an appropriate linker moiety, such as G-G-G, which may be derivatized and covalently attached to the targeting moiety.

10 Preferred rerouting peptides include protein kinase-substrate peptides that incorporate serine. These peptides are particularly preferred for enhancement of receptor rerouting in tumor target cells, which have increased levels of protein kinase activity for serines or tyrosines. Increased levels of kinase activity within tumor cells may be attributed to the presence of oncogene products, such as H-ras, on the cytoplasmic side of tumor cell plasma membranes (C.I.B.A. Found. Symp. 164:208-18,

1992).

Suitable rerouting peptides also include protein kinase substrates and peptides that possess a single positive charge. The latter type of rerouting peptide may form an ion pair with a "glutamate-like" residue of an attached or closely associated residue(s) of the receptor. Particularly preferred rerouting peptides may be derived, using technologies known in the art, from the proteins and the amino acid sequences identified in Table 4.

Table 4 Rerouting Peptides		
PEPTIDE SOURCE AMINO ACID SEQUENCE		
EGF receptor	DVVDADEYLIPQ	
EGF fragment	CMHIESLDSYTC .	
Phosphorylase kinase	RTKRSGSVYEPLKI	
Protein kinase C pseudosubstrate	RFARK-GALRQKNV	
Myelin basic protein	S/T-XAA-K/R (where XAA is an uncharged residue)	
Kemptide	RGYALG or RGYSLG	
Glycogen synthetase PLSRTLSVAA		

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### NEPTUNE GENERICS 1002 - 00220 APOTEX 1002 - 0220

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Transferrin receptor	FSLAR
III histone	ASGSFKL
Casein kinase II substrate	AAAAAASEEE or AAAAAASDDD
Insulin receptor auto-phosphorylation substrate	DIYETDYYR
calmodulin-dependent protein kinase	Waxman and Arenowski Biochem.
п	<u>32(11)</u> :2923-30, 1993
Neurogranin	Chen et al., Biochem. 32(4):1032-9, 1993
MARCKS	Heemskerk et al., Biochem, Biophys. Res.
	Commun. 190(1):236-41, 1993
Glycogen synthase	Marais et al., FEBS Letters 277:151-5, 1990
Ribosomal protein S6	Munro et al., Biochem, Biophys, Acta
	<u>1054</u> :225-30, 1990
Co-polymers which serve as	Abdel-Ghony et al., Proc. Nat'l. Acad. Sci.
substrates for protein kinase A, C, P	86:1761-5, 1989; Abdel-Ghony et al., Proc.
	Nat'l. Acad. Sci. 85:1408-11, 1988
Serine-threonine kinases	Abdel-Ghony et al., Proc. Nat'l. Acad. Sci.
	86:1761-5, 1989; Abdel-Ghony et al., Proc.
	Nat'l. Acad. Sci. 85:1408-11, 1988

In another aspect of the present invention, the rerouting moiety is a lysosomotropic amino acid ester which, in high concentration, can cause the lysis of granule containing cells, such as NK cells, cytolytic T cells and monocytes. The concentration must generally be maintained below 100 mM to avoid lysis. Suitable lysosomotropic amino acid esters and their sources are presented in Table 5.

TABLE 5 Lysosomotropic Amino Acid Esters		
Leu-O-Me	Res. Immunol. 143:893-901, 1992	
	Eur. J. Immunol. 23:562-5, 1993	
	Intl. Arch. Aller. & Immunol. 100:56-59, 1993	
	Cell. Immunol. 139:281-91, 1992	
	Exp. Pathol. 42:121-7, 1991	

# NEPTUNE GENERICS 1002 - 00221 APOTEX 1002 - 0221

Iso-leu-O-Me	<u>Res. Immunol. 143</u> :893-901, 1992
L-Val-O-Me	<u>L.Immunol. 134</u> :786-93, 1985
Phe-O-Me	<u>J. Immunol. 148</u> :3950-7, 1992 <u>Blood 79</u> :964-71, 1992
Phe-, Ala-, Met-, Trp-, Cys-, Try-, Asp-, & Glu-O-Me	Int. J. Immunopharmacol. 13:401-9, 1991

The lysosomotropic amino acid esters identified in Table 5 can be used to retain the agent/receptor complex in lysosomes after intracellular cleavage of the ester. In one embodiment, such amino acid esters may be utilized as the C-terminal portion of a larger peptide containing a linker sequence and/or a phosphorylation substrate sequence, and with suitable residues, such as cysteine, for covalent attachment to a targeting moiety, such as a sequence encoding a peptide or protein ligand for a given cell surface receptor.

In another embodiment of the present invention, a second functional class of rerouting moieties is disclosed. This class includes peptides which undergo polymerization within endosomes or lysosomes, inhibiting their passage through intracellular membranes.

Intracellular polymerizing compounds can be incorporated into a larger peptide containing the targeting moiety and a linker. Suitable peptides include the dipeptide ester referenced in Table 5 (*i.e.*, L-Leucyl-L-Leucine-O-Me). When transported into cells, these dipeptide esters preferentially accumulate in lysosomes and secondary granules of cytotoxic cells. These dipeptides also undergo self-association and polymerization, which results in trapping at low concentrations, and membrane rupture at higher concentrations.

TABLE 6	
POLYMERIZING DI-PEPTIDE ESTER: L-LEUCYL-L-LEUCINE-O-ME	
J. Invest. Dermat. 99:805-825, 1992	
J. Clin. Invest. 84:1947-56, 1989	
Transpl. 53:1334-40, 1992	
J. Immunol. 138:51-7, 1987	
J. Immunol. 148:3950-7, 1992	

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# NEPTUNE GENERICS 1002 - 00222

**APOTEX 1002 - 0222** 

<u>J. Immunol. 136</u> :1038-48, 1986
<u>Cryobiology 29</u> :165-74, 1992
Acta. Biochem Biophys. Hung 24:299-311,1989
<u>Blood 79</u> :964-71, 1992
Blood 78:2131-8, 1991
J. Immunol. 139:2137-42, 1987
J. Exp. Med. 172:183-194, 1990
J. Clin. Invest. 78:1415-20, 1986
PNAS 87:83-7, 1990
J. Immunol. 137:1399-406, 1986
PNAS 82:2468-72, 1985

Suitable intracellular polymerizing compounds also include peptides that can self-associate into alpha-helical structures termed "leucine zippers". In the context of this invention, such structures may be used to form intracellular polymers that are incapable of exiting intracellular vesicles. Such sequences can be selected by observing self association of the compounds in solution, and the formation of polymers capable of binding to DNA. Suitable peptide sequences that can self-associate into alpha helical structures are presented in Table 7.

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# TABLE 7LEUCINE ZIPPERS

Boc(t-butoxycarbonyl)-Aib(alpha-aminoisobutyryl) Glu(OB<sub>n</sub>l)-(benzoyl ester)-Leu-Aib-Ala-Leu-Aib-Ala-

Boc-Aib-Leu-Aib-Aib-Leu-Leu-Aib-Leu-Aib-O-Me Proteins 12:324-30, 1992

Lys(Z)(benzyloxy-carbonyl)-Aib-O-Me

PNAS 87:7921-5, 1990

GELEELLKHLKELLKGER

Biochem. 31:1579-84, 1992

NEPTUNE GENERICS 1002 - 00223

In another embodiment of the present invention, a third functional class of rerouting moieties is disclosed. This class includes moieties that can be recognized by intracellular receptors. Such sequences are identified by their ability to stop movement of endogenously synthesized proteins to the cell surface. Suitable peptides include certain peptide sequences (such as sorting or signal sequences) associated with the trafficking of endogenously synthesized proteins (<u>Cur. Opin. Cell. Biol. 3</u>:634-41, 1991). Such peptide sequences, when covalently attached to the C-terminus of an exogenously added targeting moiety, result in the retention of the agent/receptor

complexes in the endoplasmic reticulum ("ER"), Golgi apparatus, or lysosomes.
 Such peptide sequences are recognized by intracellular receptors, examples of which include both mammalian and bacterial versions of ER receptors described in detail in J. Cell. Biol. 120:325-8, 1993; Embo. J. 11:4187-95, 1992; Nature 348:162-3, 1990. Further exemplary peptide sequences and variants thereof (shown in parentheses) that can be recognized by intracellular receptors are set forth in Table 8, Sections A and B.

Certain signal sequences may be preferred for retention by one type of

organism versus another type. For example, REDLK is a preferred sequence recognized by prokaryotic cells and to a lesser degree by eukaryotic cells (*see* Table 8, section C). Thus, employing this sequence as the rerouting moiety, receptor modulating agents can be constructed to selectively inhibit a receptor-mediated process in bacteria,

20 agents can be constructed to selectively inhib while having little effect on mammalian cells.

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Table 8 Peptide Sequences Which Bind Intracellular Receptors		
A. Endoplasmi	ic Reticulum or Golgi Retention Peptides	
1. KDEL (DKEL, RDEL, KNEL, SDEL, KEEL, QDEL, KEDL, KDEL)	<ul> <li>J. Biol. Chem. 265:5952-5, 1990</li> <li>Biochem. Biophys. Res. Commun. 172:1384-91, 1990</li> <li>J. Virol. 65:3938-42, 1991</li> <li>Exp. Cell Res. 197:119-24, 1991</li> <li>Growth Factors 5:243-53, 1991</li> <li>J. Biol. Chem. 267(10):7022-6, 1992</li> <li>J. Biol. Chem. 267:10631-7, 1992</li> <li>J. Cell. Biol. 118:795-811, 1992</li> <li>J. Cell. Biol. 119:85-97, 1992</li> <li>Exp. Cell. Res. 203:1-4, 1992</li> <li>P.N.A.S. 90:2695-9, 1993</li> <li>Mol. Biochem Parasitol 48:47-58, 1991</li> <li>Embo J. 4:2345-55, 1992</li> <li>J. Biol. Chem. 266:14277-82, 1991</li> <li>Mol. Cell Biol. 11:4036-44, 1991</li> </ul>	
2. HDEL (HVEL, HNEL, HTEL, TEHT, DDEL, HIEL)	I. Biol. Chem. 268:7728-32, 1993 Mol. Biochem Parasitol 57:193-202, 1993 I. Cell SCI 102:261-71, 1992 Eur J. Biochem. 206:801-6, 1992 I. Biol. Chem. 266:20498-503, 1991	
3. ADEL	Embo J. 11:1583-91, 1992	
4. REDLK	L. Biol. Chem. 266:17376-81, 1991	
5. SEKDEL	Growth Factors 5:243-53, 1991	
6. KTEL	<u>J. Virol. 66</u> :4951-6, 1992	
B. Lysosomal Retention Peptides		
1. KFERQ	Trends Biochem SCI 15:305-9, 1990	
2. Tyrosine-containing polypeptides	J. Cell Biol. 111:955-66, 1990	
C. ORGANISM-SPECIFIC RETENTION PEPTIDES		
1. REDLK	J. Biol. Chem. 266:17376-17381, 1991	

NEPTUNE GENERICS 1002 - 00225

	D. CLATHRIN-BINDING PEPTIDES (INTERNALIZATION SIGNALS)			
1.	1. LLAV J. Cell. Biol. 199:249-57, 1992			
2.	YKYSKV	J. Cell. Biol. <u>199</u> :249-57, 1992 Embo. J. <u>7</u> :3331-6, 1988		
3.	PPGYE	<u>Cell 67</u> :1203-9, 1991 <u>Curr. Opin. Cell Biol. 3</u> :1062, 1991		

A further class of peptide sequences of this invention, termed "internalization signals," function by binding to clathrin, both in the coated pits, as well as those intracellular vesicles which maintain a clathrin coat. Representative examples of such clathrin-binding peptides (CBP) are disclosed in Table 8, section D. The CBP binds clathrin in the coated pits initially located on the cell surface causing retention of the targeting moiety to which it is conjugated.

A further class of moieties capable of recognizing intracellular receptors includes carbohydrates. Suitable carbohydrates include any carbohydrate which is capable of binding to intracellular carbohydrate (CHO) receptors but not cell surface CHO receptors. Such carbohydrates include: mannose-6-phosphate and glucose-6phosphate. Suitable carbohydrate moieties include those which bind to the insulin-like growth factor II/mannose-6-phosphate (IGF II/M6P) receptor, include analogs of mannose-6-phosphate, as well as other phosphorylated saccharides (<u>Carbohydrate Res.</u> 15 <u>213</u>:37-46, 1991; <u>FEBS Lett. 262</u>:142-4, 1990).

The affinity of the rerouting moiety can be varied by changes in the chemical nature of the phosphorylated saccharides (J. Biol. Chem. 264:7970-5, 1989; J. Biol. Chem. 264:7962-9, 1989) (monosaccharides bind with the lowest affinity, while di- or tri-saccharides bind with increasingly higher affinity). Clustering of

20 phosphorylated saccharides on protein carriers can dramatically increase affinity to the intracellular receptor.

Synthesis of various oligosaccharides are reviewed in <u>Sem. Cell. Biol.</u> 2:319-326, 1991. Although, mannose-6-phosphate receptor expression is primarily intracellular, expression also occurs on cell surfaces. Thus, in the context of the present invention, covalent attachment of a targeting moiety with a carbohydrate which binds

25 invention, covalent attachment of a targeting moiety with a carbohydrate which binds the mannose-6-phosphate receptor should be constructed so as to give at least 100-fold difference in binding affinity between the targeting moiety and the rerouting moiety. For example, a vitamin  $B_{12}$ /transcobalamin II receptor targeting moiety, in this case vitamin  $B_{12}$ , would have a binding affinity for the carrier protein, transcobalamin II

### NEPTUNE GENERICS 1002 - 00226 APOTEX 1002 - 0226

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(TcII), of  $\geq 10^{-10}$  M and an affinity for the IGF II/M-6-P receptor of  $10^{-8}$  M or less. This will maintain the specificity of the vitamin B<sub>12</sub> binding (via TcII), while allowing transfer of the receptor modulating agent from serum M-6-P soluble receptor to cell surface receptor.

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In addition to IGF II/M-6-P receptor moieties, other carbohydrate-based rerouting moieties also promote retention of the modulating agent/receptor complex in the ER or Golgi complex. Such moieties are based on the recognition by various glycosyl transferases of carbohydrate moieties, either as a natural substrate or as an inhibitor. Such moieties are reviewed in <u>Sem. Cell. Biol.</u> 2:289-308, 1991. For example, saccharide recognition moieties include penultimate sugars, such as glucose and N-acetyl glucosamine (which are natural substrates). More preferred, however, are glycosylation inhibitors which are recognized by glycosyl transferases, but cannot serve to append further carbohydrate residues on growing chains (<u>Sem. Cell. Biol.</u> 2:309-318, 1991) (*see* Figure 7).

In yet another embodiment of the present invention, a fourth functional class of rerouting moieties is disclosed. This class is generally comprised of rerouting moieties which anchor the receptor to the cell membrane. By way of example, this class includes membrane-binding peptides that exhibit conditional pH-dependent membrane binding. Such peptides exhibit  $\alpha$ -helical character in acid but not neutral pH

20 solutions. When a conditional membrane-binding peptide assumes a helical conformation at an acidic pH, it acquires the property of amphiphilicity, (e.g., it has both hydrophobic and hydrophilic interfaces). More specifically, within a pH range of approximately 5.0-5.5, such a peptide forms an alpha-helical, amphiphilic structure that facilitates insertion of the peptide into a target membrane. An alpha helix-induced acidic pH environment may be found, for example, in the low pH environment present

within cellular endosomes or lysosomes. In aqueous solution at physiological pH, a conditional, membrane-binding peptide is unfolded (due to strong charge repulsion among charged amino acid side chains) and is unable to interact with membranes.

Suitable conditional membrane-binding peptide sequences include the charged amino acids glutamate, aspartate, and histidine. A preferred conditional membrane-binding peptide includes those with a high percentage of helix-forming residues, such as glutamate, methionine, alanine, and leucine. Further, conditional membrane-binding peptide sequences include ionizable residues having pKas within the range of pH 5-7, so that a sufficiently uncharged membrane-binding domain will be

35 present within the peptide at pH 5 to allow insertion into the target cell membrane. Conditional membrane-binding peptides can be incorporated through covalent bonds to

# NEPTUNE GENERICS 1002 - 00227 APOTEX 1002 - 0227

a chemical or peptide targeting moiety or synthesized as an entire peptide sequence including a linker and peptide targeting moiety.

A particularly preferred conditional membrane-binding peptide is aalaa2-aa3-EAALA(EALA)<sub>4</sub>-EALEALAA-amide, which represents a modification of a published peptide sequence (<u>Biochemistry 26</u>:2964, 1987). Within this peptide sequence, the first amino acid residue (aa1) is preferably a unique residue such as cysteine or lysine, that facilitates chemical conjugation of the conditional membranebinding peptide to a targeting protein. The peptide can also be incorporated into a fusion protein with a protein or peptide targeting moiety (*see* Example 7). Amino acid

10 residues 2-3 (*i.e.*, aa2-aa3) may be selected to modulate the affinity of the translocating peptide for different membranes. For instance, if both residues 2 and 3 are lysine or arginine, the peptide will have the capacity to bind to membranes or patches of lipids having a negative surface charge. If residues 2-3 are neutral amino acids, the peptide will insert into neutral membranes.

15 Yet another preferred conditional membrane-binding peptide can be derived from sequences of apo-lipoprotein A-1 and B; peptide toxins such as melittin, bombolittin, delta hemolysin and the pardaxins; antibiotic peptides, such as alamethicin; peptide hormones, such as calcitonin, corticotrophin releasing factor, beta endorphin, glucagon, parathyroid hormone, and pancreatic polypeptide. Such peptides

20 normally bind membranes at physiologic pH but through attachment of substituents the peptides can be enhanced in their ability to form alpha-helices at acidic pH and reduced in their membrane-binding at physiologic pH. An example of such a modified peptide having pH-dependent membrane binding at acidic pH is fully succinylated melittin. In this example, a peptide (melittin) that normally binds to membranes at physiological pH

25 is converted to a pH-dependent peptide through succinylation of lysines. Upon succinylation, the peptide displays an amphipathic character only at acidic pHs. Insertion of a conditional membrane-binding peptide into a target cell

membrane is enhanced through stabilization of the amphiphilic alpha helix. Helix stabilization may be achieved: (1) by adding repeating "EALA" units to form a longer

30 peptide; (2) by placing an amide at the C-terminus of the peptide, in order to counteract the helical dipole; (3) by polymerizing the peptide; (4) by substituting a natural helixformer for one or more of the stacked glutamates; or (5) by attaching the peptide to a targeting moiety through use of a longer linker, in order to provide sufficient distance between the membrane binding peptide and the targeting moiety for the peptide to

35 contact and interact with the target cell intracellular membranes.

# NEPTUNE GENERICS 1002 - 00228 APOTEX 1002 - 0228

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In yet another embodiment of the present invention, a fifth functional class of rerouting moieties is disclosed. In this context, the rerouting moiety merely functions as a modulating agent in that the moiety disables the receptors by crosslinking the same. This class includes bi- or multi-valent receptor crosslinking moieties formed from monovalent binding targeting moieties. Cross-linking of receptors in some receptor systems is sufficient to cause a rerouting of cell surface receptors to lysosomes for degradation, rather than their normal pathway of receptor recycling. The synthesis of a bivalent receptor modulating agent is exemplified in greater detail in the examples below.

10 A preferred cross-linking receptor modulating agent is a vitamin  $B_{12}$ dimer. In this embodiment, each vitamin  $B_{12}$  molecule acts as a targeting agent and a rerouting agent; cross-linking the  $B_{12}$  dimer will cross-link the vitamin  $B_{12}$  receptors, thus impeding the receptor trafficking pathway. A preferred vitamin  $B_{12}$  dimer is generally comprised of two vitamin  $B_{12}$  molecules, such as cyanocobalamin, coupled

15 by one or more linkers through coupling sites independently selected from a-g, h (ribose), and i (benzimidazole). Preferably, cross-linking occurs between d- or e-coupling sites on both molecules. The dimer must be capable of forming a B<sub>12</sub>/TcII complex. As noted above, this characteristic may be assayed using any one of several techniques known in the art, including competitive binding assays.

20 A vitamin B<sub>12</sub> may be coupled to a second vitamin B<sub>12</sub> molecule in the same manner as described in detail for conjugation of rerouting moieties to vitamin B<sub>12</sub> targeting moieties. As noted above, dimers may be synthesized using one or more linkers of various lengths and any combination of homobifunctional, heterobifunctional, homotrifunctional, or heterotrifunctional linkers. As noted above, the use of a trifunctional linker allows for coupling with any number of additional moieties.

In selecting a linker for dimer synthesis, it should be noted that the total number of atoms comprising the linker between the vitamin  $B_{12}$  molecules should generally be greater than 10 atoms, typically be in the range of 30 to 55 atoms and, preferably be 45. As noted above, one of ordinary skill in the art will appreciate that although the number of atoms is calculated relative to a <u>linear</u> chain of atoms, <u>linear</u> chain, branched chain, and cyclical chain linkers or combinations thereof would be suitable. Hence, the structure of the atom chain in a linker would include, by way of example, alkyl, heteroalky, alkylaryl, and heteroalkyl aryl.

By way of example, a dimer may be synthesized by combining two 35 different vitamin  $B_{12}$  linker adducts in the presence of a coupling agent. The linkers

### NEPTUNE GENERICS 1002 - 00229 APOTEX 1002 - 0229

couple and dimers may then be separated and purified using the same methods outlined above.

Alternatively, activated vitamin B<sub>12</sub> may simply be combined with a homobifunctional or homotrifunctional linker (Tables 1 and 3). Preferably, in this embodiment, the ratio of vitamin B<sub>12</sub> to linker should be in the range of 2:1. Preferably, a 1:1 ratio is used in preparation of mixed dimers (*e.g.*, *b*- and *e*-acid derivatives) or mixed ligands (*e.g.*, B<sub>12</sub> and hormone). Dimers may be separated and purified as noted above.

In still another alternative, vitamin B<sub>12</sub> linker adducts, synthesized as described, above may be coupled by a third linker. The third linker, a "cross-linker," serves to bridge the linkers on the vitamin B<sub>12</sub> linker adducts. Suitable cross-linkers include those noted in Tables 1, 2, and 3.

Polymerization of peptides may be accomplished by placing a cysteine residue at each end of a peptide, followed by oxidation using dissolved oxygen or other mild oxidizing agent, such as oxidized glutathione. The average length of a

15 mild oxidizing agent, such as oxidized glutathione. The average length of a polymerized peptide may be controlled by varying the polymerization reaction conditions.

The amino acid sequence of any of the peptides of this invention may be selected to include all L-amino acids or all D-amino acids having a side chain  $pK_a$  from

5.0 to 9.0. D-amino acids may be advantageously used to form non-proteolyzable peptides, since the D-amino acids are not metabolized within the cell. Further, the peptides of the present invention may include a combination of L- and D-amino acids, wherein D-amino acids are substituted for L-amino acids on either side of a proteolytic cleavage site. Yet another preferred noncleavable peptide incorporates peptide bond analogs that are not susceptible to proteolytic cleavage by cellular enzymes.

As discussed above, the receptor modulating agents of this invention comprise a targeting moiety coupled to the rerouting moiety. The rerouting moieties identified above may be covalently attached to the targeting moiety by any one of several techniques known in the art, including (a) by chemical modifications such as a

- 30 disulfide formation, thioether formation, amide formation or a reduced or non-reduced Schiff's base, (b) by direct peptide bond formation as in a fusion protein, or (c) by use of a chemical and peptide linker. Suitable peptide linkers in this regard correspond to two or more amino acid residues that allow the rerouting peptide to assume its active conformation independent of its interaction with the targeting moiety, and which allows
- 35 sufficient distance for rerouting moiety access to, for example, intracellular membranes from the peptide attachment site on the targeting moiety.

**APOTEX 1002 - 0230** 

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In one embodiment, a rerouting moiety may be conjugated to a vitamin  $B_{12}$  targeting moiety by any one of several means, including, by way of example, coupling a rerouting moiety to a reactive group on a vitamin  $B_{12}$  linker adduct; coupling a vitamin  $B_{12}$  to a reactive group on a rerouting moiety linker adduct or an appropriate side chain thereof; coupling a vitamin  $B_{12}$  linker adduct to a rerouting moiety linker adduct to a rerouting moiety linker adduct or an appropriate side chain thereof; coupling a vitamin  $B_{12}$  linker adduct to a rerouting moiety linker adduct or an appropriate side chain thereof; coupling a rerouting moiety linker adduct or an appropriate to a vitamin  $B_{12}$ /biotin conjugate; or coupling a rerouting moiety biotin conjugate to a vitamin  $B_{12}$ /biotin binding protein conjugate.

Coupling of a rerouting moiety to a vitamin  $B_{12}$  linker adduct, or a 10 vitamin  $B_{12}$  to a rerouting moiety linker adduct, may be accomplished using the same techniques noted above for coupling a vitamin  $B_{12}$  molecule with a linker. The only critical consideration of this aspect of the invention is that the total linker length must be sufficient to avoid steric hindrance. Preferably, the total linker length is at least 6 atoms.

15 Coupling of a rerouting moiety/biotin binding protein conjugate to a vitamin B<sub>12</sub>/biotin conjugate may be accomplished using any one of several means described in detail in <u>Avidin-Biotin Chemistry: A Handbook</u>, ed. D. Savage, Pierce Chemical Co., 1992. Briefly, a biotin binding protein conjugate is prepared using a rerouting moiety or, as in a second embodiment, a vitamin B<sub>12</sub> molecule. Suitable biotin binding proteins include avidin or streptavidin. In some circumstances, a linker may be utilized to distance the molecules. For example, when coupling a vitamin B<sub>12</sub> to an avidin, a linker of at least 6 atoms is preferred.

A biotin conjugate is prepared using a vitamin B<sub>12</sub> molecule or, as in a second embodiment, a rerouting moiety. By way of example, a vitamin B<sub>12</sub> molecule is combined with an NHS ester of biotin. Preferably, the vitamin B<sub>12</sub> molecule is a vitamin B<sub>12</sub> linker adduct as described above. Even more preferably, the vitamin B<sub>12</sub> molecule is a vitamin B<sub>12</sub> linker adduct characterized by a 12 atom linear linker coupled to the *d*- or *e*- coupling site.

Once formulated, coupling between the biotin conjugates and biotin 30 binding protein conjugates is easily accomplished by combining the complementing conjugates, *i.e.*, a vitamin B<sub>12</sub>/biotin conjugate with a rerouting moiety/avidin conjugate.

In another aspect of the present invention, a  $B_{12}$ /biotin conjugate is utilized to couple a vitamin  $B_{12}$  to any number of compounds through biotin binding protein conjugates. Using a vitamin  $B_{12}$ /biotin conjugate, any compound which is capable of coupling a biotin binding protein may be coupled to a vitamin  $B_{12}$  and

thereby internalized into cells expressing the vitamin  $B_{12}$  receptor. Such compounds include, in addition to the rerouting moieties described in detail below, hormones, enzymes, antibodies or fragments thereof, markers, or therapeutics. Coupling any of these compounds to a biotin binding protein, such as avidin or streptavidin, may be accomplished using techniques described in detail in Avidin-Biotin Chemistry: A

Handbook, ed. D. Savage, Pierce Chemical Co., 1992.

In one aspect of this embodiment, a vitamin  $B_{12}$ /biotin conjugate is coupled to a therapeutic/avidin conjugate directed at neoplastic disorders. Neoplastic disorder therapeutics which may be coupled to a vitamin  $B_{12}$ /biotin conjugate through avidin include doxorubicin daunorubicin etoposide teniposide vinblastine vincristin

10 avidin include doxorubicin, daunorubicin, etoposide, teniposide, vinblastine, vincristin, cyclophophamide, cisplatin and nucleoside antimetabolites such as arabinosylcytosine, arabinosyladenine and fludarabine.

In another aspect of this embodiment, a vitamin  $B_{12}$ /biotin conjugate is coupled to a marker conjugated with a biotin binding protein. Suitable markers include,

- 15 by way of example, fluorescent molecules or radiolabeled molecules. This combination may be utilized as a detection system incorporated into a screening device to identify patients with low receptor bearing cells or in the evaluation of receptor up-regulation, for example, following treatment of patients for any one of a wide variety of receptor modulation disorders.
- 20 In another aspect of this embodiment, a vitamin B<sub>12</sub>/biotin conjugate is coupled to a radioisotope conjugated to a biotin binding protein. Suitable radioisotopes include, any high energy emitting radioisotopes capable of conjugating a biotin binding protein. This combination may be utilized as a targeted radiodiagnostic or radiotherapeutic.
- 25 In yet another aspect of this embodiment, a vitamin  $B_{12}$ /biotin conjugate is used to immobilize vitamin  $B_{12}$  to a solid matrix or avidin-coated substrate. By way of example, this would enable one to isolate TcII, TcII receptors, and evaluate coupling sites on the Vitamin  $B_{12}$ .
- The receptor modulating agents of this invention regulate receptor-30 dependent biological responses through alterations in the receptor trafficking pathway. As illustrated in Figure 1, with specific reference to the receptor for vitamin  $B_{12}$ , cell surface receptors are often associated with clathrin-coated pits. When bound by the receptor modulating agent of the present invention, the coated pits invaginate to form vesicles. The vesicles are then directed by the recouting agent to lysosomes for receptor

35 degradation or delivered to endosomes where the rerouting agent securely binds or

### NEPTUNE GENERICS 1002 - 00232

APOTEX 1002 - 0232

delays the agent/receptor complex. Thus, the receptor modulating agents can incapacitate the receptors normally undergoing recycling.

Newly synthesized receptors will eventually replace the internalized receptor on the cell surface. However, this process is far more time consuming than recycling—many cells require hours or days to achieve maximal receptor re-expression. Continued exposure of the cell to the receptor modulating agents will exhaust the intracellular receptor pools. Thus, by modulating a plasma membrane receptor, re-expression of the receptor can be substantially delayed, thereby regulating a biological response associated with that receptor for a prolonged period of time.

10 Biological activity of receptor modulating agents of the present invention may be ascertained in vitro by any one of several means known in the art including, competition binding assays or cell proliferation studies. These techniques are described in detail in Laboratory Techniques in Biochemistry and Molecular Biology: An Introduction to Radioimmunoassay and Related Techniques, 3rd Edition,

- 15 ed. Burdon and van Knippenberg, Elsevier, 1987. By way of example, a receptor modulating agent may be cultured with a suitable cell line, such as K562 cells (ATCC CCL 243), under conditions representing in vivo conditions. Such conditions would include the provision of a human source of TcII (such as human serum), vitamin B<sub>12</sub>, and, preferably by careful removal by chromatography, of all TcII from other medium
- 20 supplements such that proliferation is solely dependent on a known amount of exogenous TcII. Cell cultures deprived of vitamin B<sub>12</sub> gradually lose their proliferative capacity, eventually resulting in cell death. Biological activity may be evaluated in vivo using techniques described in detail in Shieh et al., J. Immunol. 152(2):859-866, 1994 in which human tumor cell lines are injected into nude mice, followed by therapy

25 with receptor modulating agents. Next, tumor cells are removed, single cell suspensions prepared and TcII cell surface receptor density may be evaluated by flow cytometry and biotinylated vitamin  $B_{12}$  and avidin FITC.

The receptor modulating agent of the present invention may be administered in a therapeutically effective amount to treat a variety of disorders characterized in which control of the disease process or symptoms can be achieved by modulation of one or more receptor systems and the associated biological responses. Such disorders include neoplastic disorders, autoimmune diseases, rheumatic arthritis, cardiovascular disease, and neurodegenerative diseases.

Common to many non-neoplastic disease processes is a stage in which 35 the disease process itself, or its symptoms, can be halted or ameliorated by the use of an anti-proliferative agent such as vitamin B<sub>12</sub>/TcII receptor modulating agents. These

# NEPTUNE GENERICS 1002 - 00233 APOTEX 1002 - 0233

commonly recognized stages include a sensitization or elicitation phase in which immune cells responsible for the disease become turned on by antigen specific or nonspecific means, followed by a proliferative phase in which the immune cells expand in number, and finally a symptomatic phase in which the expanded immune cells create

- 5 tissue damage directly or indirectly. Neoplastic disorders include, by way of example, leukemia, sarcoma, myeloma, carcinoma, neuroma, melanoma, cancers of the breast, lung, liver, brain, colon, cervix, prostrate, Hodgkin's disease, and non-Hodgkin's lymphoma. Because of this, anti-proliferative chemotherapeutic drugs are commonly utilized in the treatment of many diseases other than cancer, but are limited in use to life
- 10 threatening situations due to their associated toxicity. Anti-proliferative agents, such as the ones of the present invention (with little of the direct toxicity of chemotherapeutic drugs), may be used more widely. More specifically, the vitamin  $B_{12}$  receptor modulating agents of the present invention are not destructive to plasma membrane processes (*e.g.*, ion transport). In addition, the anti-proliferative activity is reversible by
- 15 administration of vitamin  $B_{12}$ . Furthermore, the agents of this invention may not be mutagenic, teratogenic, or carcinogenic since they act at the level of the plasma membrane, and not at the level of the nucleus, and DNA by intercalation or crosslinking (as many chemotherapeutic drugs act).

An understanding of the pharmaceutical applications for B<sub>12</sub>/TcII 20 receptor modulating agents requires a knowledge of the cell types targeted by such therapy. To this end, various pharmaceutical applications are disclosed in Table 9 below.

ſ		TABLE 9	
25	TARGET CELLS FOR VITAMIN $B_{12}$ Receptor modulating agents		
	Target Cell	OTHER PROLIFERATION ASSOCIATED MARKERS	POTENTIAL PHARMACEUTICAL APPLICATIONS
30	Activated T-Cell	IL-2 receptor Transferrin Receptor Insulin Receptor Class II Histocompatibility Antigens	Graft versus Host Disease Organ Transplants Auto-Immune Diseases Asthma Crohn's Disease
35	Tumor Cells	Tumor Assoc. Ags. Ki67 Transferrin Receptor	Tumor Therapy (alone and in combination with chemotherapeutic drugs)

NEPTUNE GENERICS 1002 - 00234 APOTEX 1002 - 0234

PCT/US95/04404

	Bone Marrow	CD-34	Allogeneic Bone Marrow
	Stem Cells	Transferrin Receptor	Transplants
		Class II Histocompatibility Antigens	Reduction in Toxicity of Chemotherapy
5		IL-1, IL-3 Receptors	••
	Proliferating	Thy 1.1	Inhibition of Adhesions,
	Fibroblasts	Transferrin Receptor	Scarring
		Insulin & Insulin-like	Scleroderma
10		Growth-Factor Receptors	
		Fibroblast Growth-Factor	
		Receptor	
15	Proliferating	EGF Receptor	Psoriasis
	Epithelium or Epidermal	Proto-Oncogenes	
	(Keratinocytes)		. <u> </u>

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Proliferating and activated T-cells can cause a wide variety of diseases ranging from the chronic inflammation of Crohn's disease to more acute organ graft rejection. In all of these diseases, the T-cell may serve a central pathogenic role or a more accessory role. Anti-proliferative chemotherapeutic drugs serve to reduce symptomotology and in some cases lead to long-term remission. Similarly. 25 proliferating fibroblasts and epithelial cells may give rise to diseases characterized by cell overgrowth. Vitamin B<sub>12</sub> receptor modulating agents may be used to replace or used in combination with existing chemotherapeutic regimens in these diseases. An important aspect of the use of anti-proliferative vitamin B12 receptor modulating agents in these diseases is not to apply it so aggressively or with improper timing such that 30 normal healing (adhesions, scarring) or cell renewal (psoriasis) processes are also inhibited. As such, low doses of receptor modulating agents may be used during healing and higher doses once healing is completed. Alternatively, receptor modulating agents may not be administered at all until after healing is completed.

As previously mentioned, B<sub>12</sub>/TcII receptor modulating agents can be 35 used to deprive neoplastic cells of vitamin B<sub>12</sub>. It has already been shown that sufficient deprivation leads to the death of rapidly proliferating lymphoid neoplasms such as leukemia and lymphoma. Moreover, short term treatment to reduce cellular availability of this nutrient, combined with existing chemotherapeutic agents, markedly improves therapeutic efficacy.

# **NEPTUNE GENERICS 1002 - 00235** APOTEX 1002 - 0235

For solid tumors, vitamin  $B_{12}$  depletion may induce cytostasis and differentiation as well as cell death. Thus,  $B_{12}$ /TcII receptor modulating agents may be used to induce differentiation in hormonally responsive solid tumors. An increase in the number of cells expressing a differentiated phenotype should translate into an

5 increase in expression of hormone receptors. The hormone receptor status of tumors, such as breast and prostrate cancer, are directly correlated with their response to hormonal therapy. Accordingly,  $B_{12}$ /TcII receptor modulating agents can be used to increase the number of receptor positive tumor cells or increase receptor density in order to enhance efficacy of subsequent hormonal therapy.

10 Vitamin  $B_{12}$  receptor modulating agents may affect both replicating neoplastic and normal cells. However, bone marrow progenitors demonstrate differential sensitivity or response. Thus,  $B_{12}$  receptor modulating agents can be used to modulate sensitivity of bone marrow progenitors so as to enhance their resistance to the toxic effects of chemotherapeutic agents. Such chemotherapeutic drugs act

- 15 primarily on replicating cells, with non-replicating cells being much less sensitive. Decreasing the sensitivity of progenitors to toxic drugs would increase the bone marrow reserves and enhance subsequent response to colony stimulating factors, and enable higher doses of chemotherapy or reduce the interval to reconstitution. It should also be recognized that such positive effects on bone marrow progenitors, as a natural
- 20 consequence of  $B_{12}$  receptor therapy for cancer, is an additional mechanism by which the therapeutic index of chemotherapeutic drugs other than 5-FU and methotrexate can be improved.

In a variety of autoimmune diseases, graft versus host disease, ectopic allergy, and organ transplantation, an initial 'induction' phase, in which the patient becomes sensitized to self or allo-antigens, is followed by a "proliferative" phase in which forbidden or unregulated clones of B- or T-cells are expanded. It has long been known that treatment with anti-proliferative, chemotherapeutic drugs following induction can inhibit expansion of forbidden clones, inhibit progression of disease, and restore a stable state of tolerance.

- 30 Inflammation is an application for which antibodies are already being utilized in clinical trials. The primary emphasis has been on inhibiting the early manifestations of inflammation by inhibiting recruitment or binding of inflammatory cells to vascular endothelium of injured tissue. It also well recognized that proliferation of cells at the site of inflammation contributes to the pathology and tissue destruction of
- 35 both acute as well as chronic inflammation. To this end, anti-proliferative, chemotherapeutic drugs have been widely used to inhibit sequelae of inflammation.

### NEPTUNE GENERICS 1002 - 00236 APOTEX 1002 - 0236

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Methotrexate is one such drug commonly used to treat symptoms associated with rheumatoid arthritis. The drug acts to reduce both localized (e.g., synovium) and generalized inflammation associated with disease progression. Methotrexate acts synergistically with vitamin  $B_{12}$  depletion in therapy of leukemia.  $B_{12}$  receptor modulating agents can therefore be combined with methotrexate to enhance efficacy in rheumatoid arthritis. Other methotrexate applications include treating destructive inflammation associated with chronic heart disease and colitis.

Surgery, radiation or chemotherapy to the abdomen is often complicated by the development of tissue adhesions. These represent a considerable clinical problem because they lead to bowel blockage and require surgical intervention. Peritoneal adhesions arise as a result of proliferation of the cells of the peritoneal membrane lining the abdomen. A non-toxic means of interfering with such proliferation could lead to restoration of these normal cells to homeostatic control mechanisms and thereby inhibition of adhesion formation. A similar process of benign

15 proliferation and subsequent scarring is a complication of retinal surgery. Direct instillation of a small molecule analog of an antibody receptor antagonist could prevent such disabling complications.

The term "treatment" as used within the context of the present invention, refers to reducing or alleviating symptoms in a subject, preventing symptoms from

20 worsening or progressing, inhibition or elimination of the causative agent, or prevention, of the infection or disorder in a subject who is free therefrom. Thus, for example, treatment of infection includes destruction of the infecting agent, inhibition of or interference with its growth or maturation, neutralization of its pathological effects and the like. A disorder is "treated" by partially or wholly remedying the deficiency which causes the deficiency or which makes it more severe.

The receptor modulating agents of the present invention are administered in a therapeutically effective dose. A therapeutically effective dose may be determined by <u>in vitro</u> experiment followed by <u>in vivo</u> studies.

Pharmaceutical compositions containing the receptor modulating agents 30 in an admixture with a pharmaceutical carrier or diluent can be prepared according to conventional pharmaceutical compounding techniques. The carrier may take a wide variety of forms depending on the form of preparation desired for administration (*e.g.*, intravenous, oral topical, aerosol, suppository, parenteral or spinal injection). Preferably, administration is via stereotactical injection.

The following examples are offered by way of illustration, not limitation.

NEPTUNE GENERICS 1002 - 00237 APOTEX 1002 - 0237

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#### EXAMPLES

In summary, the examples which follow disclose the synthesis of several receptor modulating agents of this invention utilizing different functional classes of

5 rerouting moieties. More specifically, a series of examples are presented which employ vitamin  $B_{12}$  as a targeting moiety in a receptor modulating agent.

All chemicals purchased from commercial sources were analytical grade or better and were used without further purification unless noted. Isophthaloyl dichloride was purchased from Lancaster Synthesis Inc. (Windham, NH). All other

10 reagents were obtained from Aldrich Chemical Co. (Milwaukee, WI). Solvents for HPLC analysis were obtained as HPLC grade and were filtered (0.2 μm) prior to use. Ion exchange chromatography was conducted with 200-400 mesh strongly basic anion 2% cross-linking Dowex-1-chloride (Aldrich Chemical Co). Amberlite XAD-2 nonionic polymeric adsorbent and octadecyl functionalized silica gel for column 15 chromatography were obtained from Aldrich Chemical Co.

<sup>1</sup>H NMR were obtained on Bruker AC-500 (500 MHz) instrument. The chemical shifts are expressed as ppm ( $\delta$ ) using tetramethylsilane as internal reference. IR data were obtained on a Perkin-Elmer 1420 infrared spectrophotometer. UV data were obtained on a Perkin-Elmer Lambda 2 UV/V is spectrophotometer. Mass spectral

20 data were obtained on a VG 7070H mass spectrometer using fast atom bombardment (FAB).

HPLC separations of compounds were obtained on Hewlett-Packard quaternary 1050 gradient pumping system with a UV detector. Analysis of the HPLC data were obtained on a Hewlett-Packard HPLC Chemstation software.

- HPLC for Monomers: HPLC separations were conducted at a flow rate of 1 mL/min. on a 5 mm, 4.6 250 mm NH<sub>2</sub> column (RAININ microsorb-MV amino column) eluting with 58 mM pyridine acetate, pH 4.4 in H<sub>2</sub>O : THF (96 : 4) solution. Retention times were: 1= 4.3 min; 2 = 6.5 min; 3 = 8.0 min; 4 = 8.8 min; 5 = 10.9 min; 6 = 2.3 min; 7 = 2.3 min; 8 = 3.0 min; 9 = 2.9 min; 10 = 2.9 min; 13 = 3.4 min.
- 30 Reverse-phase HPLC chromatography was carried out using a Hewlett-Packard Lichrospher 100 RP-18 (5 mm, 125 X 4 mm) C-18 column using a gradient solvent system at a flow rate of 1 mL/min. Solvent A in the gradient was methanol. Solvent B was H<sub>2</sub>O. Starting from an 40% A, the gradient was increased to 100% A over 10 min. The gradient was then brought back to 40% A over a 5 min period. Retention times
- 35 under these conditions for biotin conjugates were: 17 = 7.1 min; 18 = 7.2 min; 19 = 6.9 min; 20 = 6.4 min.

# NEPTUNE GENERICS 1002 - 00238 APOTEX 1002 - 0238

Preparative LC was conducted to separate the mixture of monocarboxylic acids using RAININ Rabbit-plus peristaltic pumping system with a DYNAMAX (model UV-1) UV-visible absorbance detector at a flow rate of 0.15 mL/min. ID column (Alltech, 150 psi), (1000 mm X 25 mm) packed with aminopropyl silica (40-63 mm) was used.

*HPLC for Dimers*: For dimers 36, 37, and 38 solvent A in the gradient was methanol. Solvent B was H<sub>2</sub>O. The gradient was held at the starting mixture of 70% A for 2 min, then the percentage of A was linearly increased to 100% over the next 10 min. The gradient was held at 100% A for 20 min. Retention times under these conditions for dimers were: 36 = 8.7 min; 37 = 9.0 min; 38 = 8.9 min. For dimers 58-60 and 64-66 Solvent A in the gradient was methanol. Solvent B was aqueous 1% acetic acid. The gradient was begun at 40% A and was held at that composition for 2 min, then the percentage of A was linearly increased to 100% over the next 10 min. Retention times for the compounds examined under these conditions were: 58 = 14.0 min; 59 = 14.1 min; 60 = 13.9 min; 64 = 8.7 min; 65 = 8.6 min; 66 = 9.0 min.

#### EXAMPLE 1

### PREPARATION AND PURIFICATION OF CYANOCOBALAMIN MONOCARBOXYLATES: MODIFICATION ON THE CORRIN RING

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This example serves to demonstrate the hydrolysis of b-, d- and epropionamide sites on a vitamin B<sub>12</sub> molecule using dilute acid in preparation for coupling of a linker to the sites. Importantly, the hydrolysis of the b-, d- and epropionamides is selective over the hydrolysis of a-, c- and g-acetamides, or the f-amide in the heterocyclic chain connecting the benzimidazole. An optimal yield of monocarboxylate to di- and tri-carboxylate derivatives was obtained at room temperature in 0.1 N HCl over a 10 day period. The non-hydrolyzed vitamin B<sub>12</sub> and the di- and tri-carboxylates produced were readily isolated from the desired monocarboxylates by preparative liquid chromatography.

30 Specifically, cyanocobalamin (1) (3.7 mmol, 5 g) was dissolved in 500 mL of 0.1 N HCl and stirred at room temperature for 10 days under argon atmosphere. The solution was then neutralized with 6 N NaOH and the cobamides were desalted by extraction into phenol and applied to a 200 g (60 x 4 cm, 200-400 mesh) Dowex Cl<sup>-</sup> x 2 column (acetate form; prepared by washing with saturated sodium acetate until it was free from Cl<sup>-</sup>, then washing with 200 mL water). The column was eluted with water to

NEPTUNE GENERICS 1002 - 00239 APOTEX 1002 - 0239 remove unreacted cyanocobalamin and then eluted with 0.04 M sodium acetate (pH 4.67).

The first fraction of the elution contained three monocarboxylic acids. These were desalted by extraction into 100 mL of 90% (w/w) phenol, twice with 25 mL

5 and once with 10 mL of phenol. Three volumes of ethyl ether (3 x 160 mL) and 1 volume of acetone (160 mL) were added to the combined phenol extracts. Monocarboxylic acids were removed from the organic phase by extraction with water (2 x 100 mL). The combined aqueous phases were extracted twice with 20 mL of ether to remove residual phenol. The aqueous solution of monocarboxylic acids was evaporated to dramess. Viold: 2.5 c (50%)

10 evaporated to dryness. Yield: 2.5 g (50%).

The mixture of three acids (0.350 g) was then applied to a 200 g (1000 mm x 25 mm) column of aminopropyl coated silica (40-63 mm) and was eluted with 58 mM pyridine acetate pH 4.4 in H<sub>2</sub>O : THF (96 : 4); the elute was collected with an automatic fraction collector. The first eluted acid was found to be *b*-monocarboxylic

acid (2), the second eluted acid was e-monocarboxylic acid (3) and the third eluted acid was d-monocarboxylic acid (4). The acid fractions were desalted by phenol extraction.
The solids obtained were crystallized from aqueous acetone.

*b-acid* (2): yield 0.122 g (35%), mp 267-270°C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ) 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.00 (m, 2H); 1.18 (s, 3H, C-46 CH<sub>3</sub>);

- 1.24 (d, 3H, Pr<sub>3</sub> CH<sub>3</sub>); 1.36 (br s, 9H, C-47 CH<sub>3</sub>, C-54 CH<sub>3</sub>); 1.4 (s, 3H, C-25 CH<sub>3</sub>);
  1.9 (d, 7H, C-36 CH<sub>3</sub>, C-30 CH<sub>2</sub>, C-48 CH<sub>2</sub>); 2.26 (d, 6H, B10 & B11, CH<sub>3</sub>); 2.36 (d, 2H, C-26 CH<sub>2</sub>); 2.57 (s, 10H, C-35 CH<sub>3</sub>, C-31 CH<sub>2</sub>, C-37 CH<sub>2</sub>, C-53 CH<sub>3</sub>); 2.8 (m, 2H, C-60 CH<sub>2</sub>); 3.3 (m, 3H, C-8H, C-13H); 3.6 (m, 2H, Pr<sub>1</sub> CH<sub>2</sub>); 3.7 (d, 1H, R<sub>5</sub>);
  3.9 (d, 1H, R<sub>5</sub>); 4.0 (m, 1H, R<sub>4</sub>); 4.12 (d, 1H, C-19); 4.17 (s, 1H, C-3); 4.3 (m, 1H,
- 25 R<sub>2</sub>); 4.5 (m, 1H); 4.7 (m, 1H, R<sub>3</sub>); 6.0 (s, 1H, C-10); 6.2 (s, 1H, R<sub>1</sub>); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7). MS (FAB<sup>+</sup>): m/e 1357 (M<sup>+</sup> +1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 23441)

*e-acid* (3): yield 0.168 g (48%), mp 245-250° C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>,  $\delta$ ) 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.01 (m, 2H); 1.15 (s, 3H, C-46 CH<sub>3</sub>);

- 1.23 (d, 3H, Pr<sub>3</sub> CH<sub>3</sub>); 1.36 (br s, 9H, C-47 CH<sub>3</sub>, C-54 CH<sub>3</sub>); 1.4 (s, 3H, C-25 CH<sub>3</sub>);
  1.83 (s, 4H, C-55 CH<sub>2</sub>); 1.93 (m, 6H, C-36 CH<sub>3</sub>, C-30 CH<sub>2</sub>, C-48 CH<sub>2</sub>); 2.22 (d, 6H, B10 & B11 CH<sub>3</sub>); 2.35 (s, 3H,C-26 CH<sub>2</sub>); 2.5 (d, 13H, C-35 CH<sub>3</sub>, C-31 CH<sub>2</sub>, C-37 CH<sub>2</sub>, C-53 CH<sub>3</sub>); 2.9 (m, 1H, C-60 H); 3.2 (m, 1H, C-13H); 3.4 (m, 1H, C-8 H); 3.6 (d, 1H, Pr1 CH); 3.7 (d, 1H); 3.9 (d, 1H); 4.0 (m, 2H); 4.1 (d, 1H); 4.2 (m, 2H); 4.6
- 35 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.0 (s, 1H, B2); 7.2 (s,

1H, B7). MS (FAB<sup>+</sup>): m/e 1357 (M<sup>+</sup> +1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH): λ360 (ε21 842)]

- $\begin{array}{l} d\text{-acid (4): yield 0.060 g (17\%), mp > 300^{\circ} C, \ ^{1}H \ NMR \ (MeOH-d_{4}, \delta) \\ 0.43 \ (s, 3H, C-20 \ CH_{3}); \ 1.04 \ (m, 2H); \ 1.15 \ (s, 3H, C-46 \ CH_{3}); \ 1.25 \ (d, 3H, \ Pr_{3} \ CH_{3}); \\ 1.36 \ (br \ s, 9H, C-47 \ CH_{3}, C-54 \ CH_{3}); \ 1.4 \ (s, 3H, C-25 \ CH_{3}); \ 1.85 \ (s, 4H); \ 2.01 \ (s, \\ 6H); \ 2.23 \ (d, 8H, B10 \ \& B11 \ CH_{3}); \ 2.38 \ (d, 3H, C-26 \ CH_{2}); \ 2.53 \ (d, 13H, C-36 \ CH_{3}, \\ C-30 \ CH_{2}, \ C-48 \ CH_{2}); \ 2.6 \ (m, 5H); \ 2.9 \ (m, 1H, C-60 \ H); \ 3.3 \ (d, 1H, C-13H); \ 3.4 \ (m, 1H, C-8 \ H); \ 3.6 \ (d, 1H, \ Pr_{1} \ CH); \ 3.7 \ (d, 1H); \ 3.9 \ (d, 1H); \ 4.0 \ (m, 2H); \ 4.1 \ (d, \\ 1H); \ 4.3 \ (m, 2H); \ 6.0 \ (s, 1H, C-10); \ 6.3 \ (d, 1H, R1); \ 6.5 \ (s, 1H, B4); \ 7.1 \ (s, 1H, B2); \\ 10 \ 7.2 \ (s, 1H, B7); \ UV \ (MeOH): \ \lambda 360 \ (\epsilon 22 \ 127). \ MS \ (FAB^+): \ m/e \ 1357 \ (M^+ +1). \ IR \end{array}$
- (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>.

#### Example 2

#### CYANOCOBALAMIN MODIFIED ON RIBOSE: SUCCINATE CONJUGATE (5)

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This example serves to demonstrate the activation of the ribose coupling site coupling site h (see structure I) with succinic anhydide. Cyanocobalamin (1) (0.15 mmoL, 200 mg) was dissolved in 40 mL of dimethylsulfoxide (DMSO) containing 8 g (80 mmoL) of succinic anhydride and 6.4 mL of pyridine. After 14-16 h at room terms of preside and of a subscript the success of preside and build use determined by adding 500 mL of write

- 20 temperature, the excess of succinic anhydride was destroyed by adding 500 mL of water and keeping the pH of the reaction mixture at 6 with 10% KOH. KCN was then added at a final concentration of 0.01 M and the pH of the solution was readjusted to 6 with 3 N HCl. After 1 h the cyanocobalamin components were desalted by phenol extraction and applied to a 100 g of Dowex Cl<sup>-</sup> (60 x 2.5 cm) column (acetate form, 200-400
- 25 mesh). The cyanocobalamin was eluted with water. Succinate conjugate (5) was eluted with NaOAc (0.04 M, pH 4.67) which yielded 180 mg (85 %) after isolation. The O2',O5'-disuccinyl derivative remained absorbed on the column under these conditions. mp 208-210° C with decomposition.

<sup>1</sup>H NMR ( $D_2O-d_4$ ,  $\delta$ ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 0.95 (m, 2H); 1.15 (s,

3H); 1.2 (d, 3H); 1.35 (d, 7H); 1.4 (s, 3H); 1.8 (s, 3H); 1.9 (s, 12H); 2.2 (d, 6H);
2.36 (d, 2H); 2.5 (d, 10H); 2.6-2.7 (m, 7H); 3.0 (m, 1H); 3.3 (d, 1H); 3.37 (m, 1H);
3.5 (d, 1H); 4.0 (d. 1H); 4.18 (m, 2H); 4.25 (m, 3H); 4.54 (d, 1H); 6.0 (s, 1H); 6.3 (d, 1H); 6.4 (s, 1H); 7.0 (s, 1H); 7.2 (s, 1H). MS (FAB<sup>+</sup>): m/e 1455 (M<sup>+</sup> +1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV (MeOH): λ360 (ε

35 26041).

### NEPTUNE GENERICS 1002 - 00241

APOTEX 1002 - 0241

#### EXAMPLE 3

### COUPLING OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS WITH 1,12-DIAMINODODECANE: REACTION WITHOUT SODIUM CYANIDE

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This example serves to demonstrate the coupling of a linker to a cyanocobalamin monocarboxylate. Coupling of the monocarboxylates (2, 3, 4) with diaminododecane was first attempted using N-ethyl-N'-dimethylamino-propyl-carbodiimide hydrochloride (EDC) in H<sub>2</sub>O according to Yamada and Hogenkamp, <u>J.</u>

10 Biol. Chem. 247, 6266-6270, 1972. However, the products obtained did not have a reactive amino group. Alteration of the reaction conditions by changing the reaction mixture to DMF/H<sub>2</sub>O and adding NaCN/N-hydroxysuccinimide (see Example 4) to the reaction mixture gave the desired diaminododecane adducts.

A mixture of cyanocobalamin monocarboxylic acid (0.370 mmoL, 500 15 mg) and 1,12-diaminododecane (3.6 g) in 100 mL H<sub>2</sub>O was adjusted to pH 6 with 1 N HCl. The solution was then treated with N-ethyl-N'-dimethylamino-propylcarbodiimide-hydrochloride (EDC) (726 mg) and stirred at room temperature for 22 h. In 5 intervals of 6 to 14 h, 650 mg of EDC was added to the reaction mixture. After a total reaction time of 4 days (HPLC monitoring) the solution was evaporated to

- 20 dryness, the residue was digested with 100 mL of acetone and the solvent was decanted. The solid residue was dissolved in 50 mL of water and applied to an 175 g Amberlite XAD-2 (60 x 4 cm) column. Contaminates were washed from the column with 1L water, then the crude product was eluted with 500 mL of methanol. The solution was evaporated to dryness, the residue was dissolved in 25 mL of water and was applied to a
- 25 100g Dowex Cl<sup>-</sup> (60 x 2.5 cm) column (acetate form, 200-400 mesh). The final product was eluted using 250 mL of water, thereby leaving non-converted acid bound to the column, which was later eluted with 0.04 mol/L sodium acetate buffer pH 4.67. The fraction containing the final product was evaporated to dryness.
- The mass spectral value obtained indicated that HCN was lost from the 30 desired product. Further, <sup>1</sup>H NMR data suggested that some protons were being affected by the cobalt. Thus, this reaction was conducted with NaCN (Example 4) to drive the equilibrium towards retention of Co-CN. N-hydroxy succinimide was also added to facilitate the coupling reaction.

e-acid adduct (6): Yield: 222 mg (40%). mp 172-174° C with 35 decomposition. <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (m, 3H, C-20 CH<sub>3</sub>); 1.06 (t, 4H, C-46 CH<sub>3</sub>); 1.16 (m, 5H); 1.2 (m, 5H); 1.33 (m, 7H); 1.43 (s, 3H); 1.68 (m, 4H); 1.86 (m,

### NEPTUNE GENERICS 1002 - 00242 APOTEX 1002 - 0242

5H); 2.2 (m, 8H); 2.3 (m, 6H); 2.4 (m, 10H); 2.55 (m, 10H); 2.8 (m, 4H); 3.1 (m, 6H); 3.3 (m, 5H); 3.6 (m, 2H); 3.7 (m, 2H); 3.8 (m, 1H); 4.0 (m, 1H); 4.1 (m, 1H); 4.16 (m, 1H); 4.3 (m, 1H); 4.48 (m, 1H); 4.6 (m, 1H); 6.0 (d 1H, C-10); 6.2 (m, 1H, R1); 6.5 (m, 1H, B4); 7.1 (m, 1H, B2); 7.2 (m, 1H, B7). MS (FAB<sup>+</sup>): m/e 1512. IR
(KBr): 3400, 3200, 2950, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH): λ360 (ε21 877). *d-acid adduct* (7): yield: 225 mg (45%), mp 195-198° C with decomposition. <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (m, 3H, C-20 CH<sub>3</sub>); 1.09 (m, 7H); 1.14 (m, 6H); 1.2 (m, 10H); 1.27 (m, 10H); 1.33 (m, 6H); 1.5 (m, 3H); 1.77 (s, 3H); 2.2 (m, 8H); 2.26 (s, 2H); 2.5 (m, 10H); 2.7 (m, 5H); 3.0 (m, 2H); 3.1 (m, 2H); 3.2 (m, 3H); 3.5 (m, 2H); 3.6 (m, 1H); 3.8 (m, 1H); 3.9 (m, 1H); 4.0 (m, 1H); 4.1 (m, 1H); 4.2 (m, 1H); 4.4 (m, 1H); 4.6 (m, 1H); 6.0 (d 1H, C-10); 6.1 (m, 1H, R<sub>1</sub>); 6.4 (m, 1H, B4); 7.0 (m, 1H, B2); 7.1 (m, 1H, B7); MS (FAB<sup>+</sup>): m/e 1512, IR (KBr): 3400, 3200, 2950, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV (MeOH): λ360 (ε22 680).

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#### Example 4

### COUPLING OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS WITH 1,12-DIAMINODODECANE: REACTION CONTAINING SODIUM CYANIDE

- Cyanocobalamin monocarboxylic acid (2, 3, 4) (0.370 mmoL, 500 mg) 20 and N-hydroxysuccinimide (1.48 mmoL, 170 mg) were dissolved in a mixture of DMF: H<sub>2</sub>O (1:1) (18.4 mL) and 363 mg of NaCN was added. 1,12-Diaminododecane was dissolved in a mixture of DMF : H<sub>2</sub>O (1:1) (18.4 mL) and the pH was adjusted to 6 with 1 N HCl. The diaminododecane solution was then added in one portion to the cyanocobalamin solution. EDC (285 mg) was added and the pH of the solution was 25 readjusted to 5.5. The reaction mixture was then stirred overnight in the dark at room temperature. In 5 intervals of 6-14 h, 170 mg of N-hydroxysuccinimide and 285 mg of EDC were added to the solution, readjusting the pH value 5.5 each time. After a total reaction time of 4 days (reaction followed by HPLC), the solution was evaporated to dryness. The residue was digested with 100 mL of acetone and the solvent was 30 decanted. The solid residue was dissolved in 50 mL of H<sub>2</sub>O and applied to an 200 g Amberlite XAD-2 (60 x 4 cm) column. The column was eluted with 1 L water to remove undesired materials, then the desired product was eluted with 500 mL methanol. The solution was evaporated to dryness, the residue was dissolved in 25 mL of water and was applied to a 100 g Dowex Cl<sup>-</sup> (60 x 2.5 cm) column (acetate form, 200-400
- 35 mesh). The desired product was eluted from the column with 250 mL water, leaving any non-reacted acid bound to the column. This was followed by elution with 0.04

# NEPTUNE GENERICS 1002 - 00243 APOTEX 1002 - 0243

mol/L sodium acetate buffer pH 4.7. The fractions containing the final product were evaporated to dryness.

b-isomer (8): yield 410 mg (82%), mp 172-174° C with decomposition.
<sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ) 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.18 (s, 4H); 1.3 (m, 13H); 1.39 (m, 13H); 1.45 (s, 5H); 1.6 (m, 4H); 1.72 (m, 2H); 1.9 (s, 6H); 2.25 (d, 6H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 5H); 2.56 (m, 5H); 2.8-3.0 (m, 8H); 3.15 (m, 4H); 3.3 (m, 2H); 3.4 (m, 2H); 3.6 (m, 1H); 3.68 (m, 1H); 3.75 (m, 1H); 3.9 (d, 1H); 4.07 (m, 1H); 4.12 (d, 1H); 4.2 (br s, 1H); 4.3 (m, 1H); 4.47 (m, 1H); 4.7 (m, 1H); 6.0 (s, 1H, C-10); 6.2 (d, 1H, R<sub>1</sub>); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB<sup>+</sup>): m/e

10 1539 (M<sup>+</sup>+1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH): λ360 (ε15409).

*e-isomer* (9): yield: 430 mg (86%), mp 175-180° C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>,  $\delta$ ) 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.17 (s, 4H, C-46 CH<sub>3</sub>); 1.22 (d, 4H, Pr<sub>3</sub> CH<sub>3</sub>); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.6 (m, 3H);

- 1.87 (s, 8H); 2.05 (m, 2H); 2.25 (s, 6H, B10 & B11 CH<sub>3</sub>); 2.36 (m, 3H); 2.55 (d, 10H); 2.8 (s, 4H); 3.06 (t, 2H); 3.1 (m, 3H); 3.3 (s, 1H); 3.34 (m, 1H); 3.4 (m, 1H); 3.58 (m, 1H); 3.65 (m, 1H); 3.75 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 2H); 4.48 (m, 2H); 4.6 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.0 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB<sup>+</sup>): m/e 1539 (M<sup>+</sup>+1).
- 20 IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH): λ360 ( ε16 720)

*d-isomer* (10): yield: 400 mg (80%), mp 174-178° C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>,  $\delta$ ) 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.07 (m, 3H, C-46 CH<sub>3</sub>); 1.2 (d, 4H, Pr<sub>3</sub> CH<sub>3</sub>); 1.27 (m, 15H); 1.35 (br s, 9H); 1.42 (s, 3H); 1.53 (m,

- 25 2H); 1.6 (m, 4H); 1.86 (s, 4H); 2.25 (d, 6H, B10 & B11 CH<sub>3</sub>); 2.5 (d, 10H); 2.8 (s, 3H); 2.9 (m, 6H); 3.15 (m, 3H); 3.2 (m, 4H); 3.4 (m, 3H); 3.6 (d, 1H); 3.75 (d, 1H); 3.96 (d, 1H); 4.08 (m, 2H); 4.19 (m, 1H); 4.3 (m, 2H); 4.65 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R<sub>1</sub>); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 17 665). MS (FAB<sup>+</sup>): m/e 1539 (M<sup>+</sup> +1). IR (KBr): 3400, 3200, 2950,
- 30 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>.

#### EXAMPLE 5

### COUPLING OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS WITH GAMMA-AMINOBUTYRIC ACID (GABA)

This example serves to demonstrate the coupling of a gammaaminobutyric acid (GABA) linker to a vitamin  $B_{12}$  molecule. This reaction scheme is represented in Figure 9.

Gamma-aminobutyric acid (GABA) tert-butyl ester (11) (1 mmol) and cyanocobalamin monocarboxylates (2, 3, 4) (0.1 mmol.) are mixed in 20 mL H<sub>2</sub>O and 10 sufficient 0.1 N HCl is added to adjust to pH to 6.0. N-ethyl-N<sup>1</sup>dimethylaminopropylcarbodiimide hydrochloride (EDC) (0.5 mmol) is added to the solution. The reaction mixture is stirred at room temperature for 24 hours and then the mixture is dried under vacuum. This reaction mixture is treated with TFA to remove the *tert*-butyl ester. A cyanocobalamin-GABA adduct (12) was purified. Reverse-

15 phase HPLC chromatography is carried out as described above. A cyanocobalamin-GABA adduct (12) can be further activated with a carbodiimide and coupled to a moiety as described below.

#### Example 6

### CYANOCOBALAMIN MODIFIED ON RIBOSE: SUCCINATE-DIAMINODODECANE CONJUGATE (13)

Cyanocobalamin-Ribose-Succinate (5) (0.370 mmoL, 538 mg) and Nhydroxylsuccinimide (1.48 mmoL, 170 mg) were dissolved in a mixture of DMF : H<sub>2</sub>O (1:1) (18.4 mL) and 363 mg of NaCN was added. This reaction scheme is represented in Figure 11. 1,12-Diaminododecane was taken in a mixture of DMF : H<sub>2</sub>O (1:1) (18.4 mL), pH was adjusted to 6 with 1N HCl. The diaminododecane solution was then added in a portion to the cyanocobalamin solution. EDC (285 mg) was added, the pH of the solution was readjusted to 5.5 and the reaction mix. was stirred overnight in the 30 dark at room temperature. In 5 intervals of 6 to 14 h 170 mg of N-hydroxysuccinimide and 285 mg of EDC was added to the solution, readjusting the pH 5.5 each time. After a total reaction time of 4 days (HPLC monitored) the solution was evaporated to dryness, the residue was digested with 100 mL of acetone and the solvent was decanted.

35 XAD-2 (60 x 4 cm) column. Contaminates were washed from the column with 1 L water and then the crude product was eluted with 500 mL methanol. The solution was

The solid residue was dissolved in 50 mL of H<sub>2</sub>O and applied to an 200 g Amberlite

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# NEPTUNE GENERICS 1002 - 00245 APOTEX 1002 - 0245

evaporated to dryness, the residue was dissolved in 25 mL of water and was applied to a 100 g Dowex Cl<sup>-</sup> (60 x 2.5 cm) column (acetate form, 200-400 mesh). The final product was eluted using 250 mL water, thereby leaving non-converted acid bound to the column, which was later eluted with 0.04 mol/L sodium acetate buffer pH 4.7. The

5 fraction containing the final product (13) was evaporated to dryness. Yield : 425 mg (70%), mp 185-187° C with decomposition.

<sup>1</sup>H NMR (MeOH-d<sub>4</sub>,  $\delta$ ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.15 (s, 3H); 1.2 (d, 3H); 1.3 (s, 27H); 1.4 (m, 3H); 1.55 (m, 6H); 1.85 (m, 12H); 2.2 (d, 6H); 2.3 (d, 6H); 2.5 (d, 10H); 2.8 (m, 10H); 3.0 (t, 3H); 3.1 (t, 3H); 3.2 (s, 6H); 3.3 (m, 4H); 3.58 (m, 2H); 3.6 (d, 1H); 4.1 (d. 1H); 4.2 (m, 2H); 4.3 (m, 1H); 4.4 (d, 1H); 6.0 (s, 3H); 4.1 (d, 1H); 4.2 (m, 2H); 4.3 (m, 2H); 4.4 (d, 1H); 6.0 (s, 4H); 6.0 (s,

3.58 (m, 2H); 3.6 (d, 1H); 4.1 (d. 1H); 4.2 (m, 2H); 4.3 (m, 1H); 4.4 (d, 1H); 6.0 (s, 1H); 6.2 (d, 1H); 6.5 (s, 1H); 7.1 (s, 1H); 7.2 (s, 1H). MS (FAB<sup>+</sup>): m/e 1638 (M<sup>+</sup>). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV (MeOH): λ360.

#### EXAMPLE 7

### 15 MODIFICATION OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS CONJUGATED WITH 1,12-DIAMINODODECANE: REACTION WITH SUCCINIC ANHYDRIDE

This example serves to demonstrate modification of an amino terminus linking moiety to a carboxylate terminus. Such a modification may be necessary for conjugating amino containing rerouting agents (e.g., aminosugars) to cyanocobalamin

20 conjugating amino containing rerouting agents (e.g., aminosugars) to cyanocobalamin derivatives containing a linker.

Cyanocobalamin carboxylic acid diaminododecane conjugate (8, 9, 10) (0.138 mmoL, 200 mg) was dissolved in 40 mL of dimethylsulfoxide (DMSO) containing 8 g (80 mmoL) of succinic anhydride and 6.4 mL of pyridine. After 14-16 h

- 25 at room temperature, the excess of succinic anhydride was destroyed by adding 500 mL of water and keeping the pH of the reaction mixture at 6 with 10% KOH. KCN was then added at a final concentration of 0.01 M and the pH of the solution was readjusted to 6 with 3 N HCl. After 1 h the cyanocobalamin components were desalted by phenol extraction. The residue was digested with 100 mL of acetone and the solvent was
- 30 decanted. It was dissolved in 40 mL of H<sub>2</sub>O. 1N NaOH (2 mL) was added to it and the reaction was stirred at room temperature for 15-20 min. It was then neutralized with 1N HCl and the cyanocobalamin components (14, 15, 16) were desalted by phenol extraction. Yield: 80 mg (40%); mp 190-198° C with decomposition.

<sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.17 (s, 4H, C-46
 CH<sub>3</sub>); 1.23 (d, 4H, Pr<sub>3</sub> CH<sub>3</sub>); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.87 (s, 4H);
 2.05 (m, 2H); 2.25 (s, 6H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 3H); 2.4 (m, 5H); 2.55 (d,

### NEPTUNE GENERICS 1002 - 00246 APOTEX 1002 - 0246

10H); 2.7 (s, 5H); 2.8 (m, 2H); 3.1 (m, 6H); 3.3 (s, 6H); 3.4 (m, 1H); 3.65 (m, 2H); 3.75 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 1H); 4.48 (m, 1H); 4.6 (m, 2H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R<sub>1</sub>); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7). MS (FAB<sup>+</sup>): m/e 1639 (M<sup>+</sup>). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH):  $\lambda$ 360 ( $\varepsilon$  22 564).

#### Example 8

### CYANOCOBALAMIN MODIFIED ON MONOCARBOXYLIC ACID: DIAMINODODECANE-BIOTIN CONJUGATES

This example serves to demonstrate coupling a vitamin  $B_{12}$  derivative and biotin. Biotin conjugates (17, 18, 19) were obtained by reaction of activated cyanocobalamin monocarboxylic acid diaminododecane (14), (15), and (16) with the NHS ester of biotin (Sigma Chemical Co.).

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To a solution of cyanocobalamin monocarboxylic acid diaminododecane conjugate (14, 15, 16) (300 mg, 0.195 mmoL) in DMF (35 mL), was added triethylamine (0.027 mL, 0.195 mmoL). N-Hydroxysuccinimidobiotin (100 mg, 0.295 mmoL) was then added over a period of 10-15 min and evaporated to dryness. The solid residue was dissolved in 20 mL of water and applied to an 75 g of Dowex Cl<sup>-</sup> (40

20 x 2 cm) (acetate form, 200-400 mesh) column. The product was eluted using 250 mL of water. It was then evaporated to dryness, the residue was dissolved in a 10 mL of methanol - water (7:3 v/v) and the solution was applied to a reverse phase C-18 column (500 mm x 25 mm, Alltech, 150 psi) which was developed with the same solvent. RAININ Rabbit-plus peristaltic pumping system was used with a DYNAMAX (model)

25 UV-1) UV visible absorbance detector. The eluate was collected with an automatic fraction collector. The fractions containing the final product (HPLC monitored) were evaporated to dryness.

b-isomer (17): yield 159 mg (53%), mp 210-212° C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.18 (s, 4H); 1.3 (m, 13H); 1.39 (m, 13H); 1.45 (s, 5H); 1.6 (m, 4H); 1.72 (m, 2H); 1.9 (s, 6H); 2.2 (d, 8H, B10 & B11 CH<sub>3</sub>); 2.6 (d, 12H); 2.7 (m, 3H); 2.8-3.0 (m, 8H); 3.1 (m, 3H); 3.2 (m, 2H); 3.4 (s, 1H); 3.6 (m, 2H); 3.68 (d, 1H); 3.75 (m, 1H); 3.9 (d, 1H); 4.07 (m, 1H); 4.12 (d, 1H); 4.2 (s, 1H); 4.3 (m, 1H); 4.47 (m, 1H); 4.7 (m, 1H); 6.0 (s, 1H, C-10); 6.2 (d, 1H, R1); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB<sup>+</sup>): m/e 1764 (M<sup>+</sup>). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV

(MeOH): λ360 (ε23 746).

. . .

Anal. Calcd. for  $C_{85}H_{127}N_{17}O_{16}CoPS \cdot 11H_2O$ : C, 51.98; H, 7.59; N, 12.13. Found: C, 51.91; H, 7.81; N, 12.31.

e-isomer (18): yield 174 mg (58%), mp 222-224° C with decomposition, <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.17 (s, 4H, C-46
5 CH<sub>3</sub>); 1.22 (d, 4H, Pr<sub>3</sub> CH<sub>3</sub>); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.6 (m, 4H); 1.72 (m, 2H); 1.87 (s, 4H); 2.17 (m, 3H); 2.25 (s, 6H, B10 & B11 CH<sub>3</sub>); 2.36 (m, 3H); 2.55 (d, 10H); 2.64 (m, 2H); 2.8 (s, 4H); 2.97 (s, 4H); 3.1 (m, 3H); 3.3 (m, 1H); 3.4 (m, 1H); 3.58 (m, 1H); 3.65 (m, 1H); 3.75 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 2H); 4.48 (m, 2H); 4.6 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.0 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB<sup>+</sup>):

m/e 1764 (M<sup>+</sup>). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 24 441).

Anal. Calcd. for  $C_{85}H_{127}N_{17}O_{16}CoPS \cdot 9H_2O$  (13): C, 52.96; H, 7.53; N, 12.35. Found: C, 52.85; H, 7.55; N, 12.30.

- d-isomer (19): yield 165 mg (55%), mp 216-218° C with decomposition,
  <sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.16 (s, 3H, C-46 CH<sub>3</sub>); 1.2 (d, 4H,
  Pr<sub>3</sub> CH<sub>3</sub>); 1.28 (s, 15H); 1.35 (br s, 9H); 1.42 (s, 3H); 1.53 (m, 2H); 1.6 (m, 4H);
  1.72 (m, 2H); 1.86 (s, 6H); 2.16 (m, 3H); 2.02 (m, 4H); 2.25 (d, 6H, B10 & B11 CH<sub>3</sub>); 2.5 (d, 10H); 2.7 (d, 1H); 2.8 (m, 5H); 3.1 (m, 6H); 3.2 (m, 3H); 3.4 (m, 1H);
- 20 3.57 (m, 1H); 3.6 (d, 1H); 3.7 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.11 (d, 1H); 4.17 (m, 1H); 4.3 (m, 2H); 4.4 (m, 2H); 4.6 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB<sup>+</sup>): m/e 1764 (M<sup>+</sup>); IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 29 824).
- 25 Anal. Calcd for  $C_{85}H_{127}N_{17}O_{16}CoPS \cdot 10H_2O$ : C, 52.46; H, 7.56; N, 12.24. Found: C, 52.27; H, 7.56; N, 12.34.

#### EXAMPLE 9

#### CYANOCOBALAMIN MODIFIED ON RIBOSE:

#### SUCCINATE-DIAMINODODECANE-BIOTIN CONJUGATE (20)

This example serves to demonstrate the conjugation of the ribose-linked diaminododecane adduct (13) with biotin to produce a cyanocobalamin biotin conjugate (20).

35 To a solution of (11) (300 mg, 0.183 mmoL) in DMF (35 mL), triethylamine (0.025 mL, 0.183 mmoL) was added. N-hydroxysuccinimidobiotin (100

### NEPTUNE GENERICS 1002 - 00248 APOTEX 1002 - 0248

mg, 0.295 mmoL) was added over a period of 10-15 min. and then evaporated to dryness. The solid residue was dissolved in 20 mL of water and adjusted to pH 10 with 1N NaOH and applied to an 75 g Dowex Cl<sup>-</sup> (40 x 2 cm) (200-400 mesh) column. The water fraction was discarded. The product was then eluted with 0.1N NH4OAc and was desalted by phenol extraction. The residue was dissolved in a 10 mL of methanol water (7:3 v/v) and the solution was applied to a reverse phase column (octadecyl) which was developed with the same solvent. The fractions containing the final product (20) (HPLC monitored) were evaporated to dryness. Yield 135 mg (45 %), mp 198-205 ° C with decomposition.

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<sup>1</sup>H NMR (MeOH-d<sub>4</sub>,  $\delta$ ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.15 (s, 3H); 1.2 (d, 3H); 1.3 (s, 27H); 1.36 (m, 6H); 1.4 (m, 3H); 1.6 (m, 4H); 1.7 (m, 2H); 1.85 (m, 12H); 2.0 (d, 3H); 2.17 (m, 3H); 2.2 (d, 6H); 2.3 (d, 6H); 2.5 (d, 10H); 2.64 (m, 2H); 2.8 (m, 10H); 3.1 (m, 6H); 3.25 (m, 6H); 3.58 (m, 2H); 4.0 (m, 1H); 4.1 (m, 1H); 4.16 (m, 1H); 4.4 (m, 1H); 4.6 (s, 2H); 4.7 (m, 1H); 6.0 (s, 1H); 6.2 (d, 1H); 6.5 (s, 1H); 7.1 (s, 1H); 7.2 (s, 1H). MS (FAB<sup>+</sup>): m/e 1866 (M<sup>+</sup>). IR (KBr): 3400,

### 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH): λ360 (ε28 434).

#### EXAMPLE 10

### SYNTHESIS OF A CYANOCOBALAMIN/LYSOSOMOTROPIC COMPOUND (STREPTOMYCIN) RECEPTOR MODULATING AGENT

This example demonstrates coupling of streptomycin to a cyanocobalamin or cobalamin derivative. Streptomycin (21) is conjugated with cyanocobalamin monocarboxylate (2, 3, 4) or a diaminoalkylsuccinate derivative (14, 25 15, 16) through the use of an oxime coupled linking moiety (Figure 13). The linking group, ((3-aminopropyl)aminoxy)acetamide (22) is prepared by reaction of the Nhydroxysuccinimidyl ester of 1,1-dimethylethoxycarbonyl-aminooxyacetic acid (23) (L. Med. Chem. 36:1255-126, 1993) with an excess of diaminopropane in anhydrous THF. The linking group is separated from other compounds in the reaction mixture by preparative chromatography. The linker (1 g) is then mixed with streptomycin (0.5g) in 30 10 mL of H<sub>2</sub>O containing sodium acetate. The aqueous solution is warmed in a H<sub>2</sub>O bath for 10 minutes to yield a crude streptomycin-linker adduct (25) which may be purified by chromatography on acid washed alumina (J. Am. Chem. Soc. 68:1460, 1946). The aqueous solution containing the streptomycin linker adduct (0.15 mmol) is 35 mixed with an aqueous solution of activated cyanocobalamin (2, 3, 4) (01. mmol) and

EDC (0.5 mmol) is added. The reaction mixture is stirred at room temperature for 24

**NEPTUNE GENERICS 1002 - 00249** APOTEX 1002 - 0249 hours, then run over a reversed-phase preparative chromatography column for purification of the cyanocobalamin-streptomycin receptor modulating agent (26).

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### EXAMPLE 11 Synthesis OF A Cyanocobalamin/Lysosomotropic Compound (Acridine) Receptor Modulating Agent

This example demonstrates the coupling of the vitamin  $B_{12}$  to acridine. Chloroquine, quinacrine and acridine are lysosomotropic dyes which are relatively nontoxic and concentrated as much as several hundred fold in lysosomes. Acridine derivatives may be covalently attached to a targeting mojety (such as cvanocobalamin)

- derivatives may be covalently attached to a targeting moiety (such as cyanocobalamin) by the reaction scheme illustrated in Figure 14, method A, or similarly as described in method B. Both reaction schemes produce a cyanocobalamin-acridine conjugate.
- Method A: A diamine side chain is first synthesized in a manner analogous to the side chain of quinacrine. Specifically, mono-phthaloyl protected 1,4diaminobutane (27) is reacted with 6,9-dichloro-2-methoxyacridine (28) in phenol (<u>J.</u> <u>Am. Chem. Soc. 66:1921-1924</u>, 1944). The reaction mixture is then poured into an excess of 2 N NaOH and extracted with ether. The ether extract is washed with 1 M NaHCO<sub>3</sub>, then H<sub>2</sub>O, and dried over MgSO<sub>4</sub>. The crude product is recrystallized from
- 20 H<sub>2</sub>O-alcohol. The phthaloyl protecting group is removed using anhydrous hydrazine in MeOH (<u>Bioconjugate Chem.</u> 2:435-440, 1991) to yield the aminoacridine, (29). Aminoacridine (29) is then conjugated with vitamin B<sub>12</sub> monocarboxylic acid (2, 3, 4) to yield a cyanocobalamin-acridine conjugate (30).
- Method B: Acridine derivative (31) (0.098 mmol, 0.045 g) was 25 dissolved in 0.5 mL of trifluoroacetic acid. This solution was stirred at room temperature for 0.5 h. TFA was removed by aspirator vacuum. The residue was dissolved in 5 mL of acetonitrile and was neutralized by few drops of triethylamine. Acetonitrile was then removed by aspirator vacuum. The residue was dissolved in DMSO (10 mL) and cyanocobalamin carboxylic acid-diaminododecane-succinyl
- 30 derivative (15, 16, 17) (0.098 mmol, 134 mg) was added followed by triethylamine (12 μL). The reaction mixture was then stirred at room temperature for 24 h. (HPLC monitored), and evaporated to dryness. The residue was digested with 100 mL of acetone and the solvent was decanted yielding a cyanocobalamin-acridine conjugate (32). Yield: 120 mg (62%). mp 182-188 °C.

<sup>1</sup>H NMR (MeOH-d<sub>4</sub>, δ): 0.43 (s, 3H, C-20 CH<sub>3</sub>); 1.17 (s, 4H, C-46 CH<sub>3</sub>); 1.23 (d, 4H, Pr<sub>3</sub> CH<sub>3</sub>); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.65 (m,

# NEPTUNE GENERICS 1002 - 00250

APOTEX 1002 - 0250

2H); 1.87 (s, 4H); 2.05 (m, 2H); 2.25 (s, 6H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 3H); 2.4 (d, 5H); 2.44 (d, 2H); 2.55 (d, 10H); 2.64 (s, 5H); 2.8-2.9 (m, 8H); 3.1-3.15 (m, 6H); 3.3 (s, 6H); 3.4 (m, 1H); 3.65 (m, 2H); 3.75 (d, 1H); 3.9 (d, 1H); 3.98 (s, 2H); 4.0 (m, 2H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 1H); 4.48 (m, 1H); 4.6 (m, 2H); 6.0 (s, 1H, C-10); 6.3 (d, 1H,  $R_1$ ); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); 7.3 (t, 1H); 7.4 (dd, 1H); 7.6 (dd, 1H); 7.7 (2dd, 2H); 7.8 (d, 1H); 7.9 (d, 1H); 8.4 (d, 1H).

#### Example 12

### SYNTHESIS OF A CYANOCOBALAMIN/LYSOSOMOTROPIC COMPOUND (AMIKACIN) RECEPTOR MODULATING AGENT

This example demonstrates conjugation of amikacin to a cyanocobalamin molecule to form a cyanocobalamin-amikacin conjugate. A reaction scheme for the conjugation is depicted in Figure 12. As noted above, chemical moieties that are retained subcellularly within lysosomes are termed lysosomotropic. Aminoglycosides are lysosomotropic compounds, and thus may be used as rerouting moieties of this invention. The primary long chain amine on the hydroxyaminobutyric acid side chain of the aminoglycoside, amikacin (see Figure 3), is preferentially

20 vitamin  $B_{12}$  monocarboxylate (2, 3, 4) in the presence of EDC. A cyanocobalaminamikacin conjugate (34) is then separated and purified by reverse-phase LC chromatography under conditions noted above.

#### EXAMPLE 13

reactive. Specifically, amikacin (33) (Sigma Chemical Co., St. Louis), is reacted with a

### CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE Conjugate Dimer: Isophthaloyl dichloride Cross-Linking

This example demonstrates the production of a cyanocobalamin dimer suitable for use as a cross-linking receptor modulating agent. Cross-linking of receptors in some receptor systems is sufficient to cause a rerouting of cell surface receptors to lysosomes for degradation, rather than their normal pathway of receptor recycling.

To a solution of cyanocobalamin monocarboxylic acid diaminododecane conjugate (8, 9, 10) (0.192 mmol, 0.300 g) in DMF (30 mL), was added triethylamine (18  $\mu$ L). Isophthaloyl dichloride (35) (0.096 mmol, 0.0195 g) was added over a period of 10-15 min. The reaction mixture was stirred at 55-60°C for 48 h (HPLC monitored) and evaporated to dryness. The solid residue was dissolved in 20 mL of methanol :

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 $H_2O(7:3)$  and applied to a reverse phase C-18 column (500 mm x 25 mm, Alltech, 150 psi) which was developed with the same solvent. RAININ Rabbit-plus peristaltic pumping system was used with a DYNAMAX (model UV-1) UV visible absorbance detector; the elute was collected with an automatic fraction collector. The fractions containing the final product (HPLC monitored) were evaporated to dryness.

*b-acid dimer* (36): yield 96 mg (30%), mp 217-220° C with decomposition, <sup>1</sup>H NMR ( $D_2O$ ,  $\delta$ ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.18 (s, 8H); 1.3 (m, 36H); 1.37 (m, 12H); 1.46 (s, 10H); 1.6 (m, 8H); 1.9 (d, 12H); 2.05 (m, 10H); 2.2 (d, 16H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 8H); 2.6 (d, 18H); 2.8-3.0 (m, 16H); 3.15 (m, 6H); 3.3 (s, 8H); 3.37 (m, 14H); 3.6 (m, 4H); 3.76 (m, 2H); 3.9 (d, 2H); 4.07 (m, 6H); 3.76 (m, 2H); 3.9 (d, 2H); 4.07 (m, 6H); 4.07 (m, 6H)

- 6H); 3.3 (s, 8H); 3.37 (m, 14H); 3.6 (m, 4H); 3.76 (m, 2H); 3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.18 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.6 (s, 2H); 4.68 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.54 (t, 1H); 7.95 (d, 2H); 8.25 (s, 1H); MS (FAB<sup>+</sup>): m/e 3208. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>; UV: λ360 (ε42 380).
- e-acid dimer (37): yield 121 mg (38%), mp 220-222° C with decomposition, <sup>1</sup>H NMR (D<sub>2</sub>O, δ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.17 (s, 8H); 1.22 (d, 13H); 1.29 (s, 45H); 1.36 (d, 22H); 1.44 (s, 10H); 1.6 (m, 8H); 1.87 (s, 8H); 2.04 (m, 10H); 2.25 (s, 12H, B10 & B11 CH<sub>3</sub>); 2.36 (m, 8H); 2.55 (d, 20H); 2.8 (m, 8H); 3.15 (m, 8H); 3.29 (s, 10H); 3.36 (m, 14H); 3.6 (m, 4H); 3.73 (m, 2H); 3.9 (d, 2H);
- 4.07 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.6 (s, 2H);
  4.66 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d,2H, 2R1); 6.6 (s,2H, 2B4); 7.1 (s, 2H, 2B2);
  7.25 (s, 2H, 2B7); 7.54 (t, 1H); 7.93 (d, 2H); 8.25 (s, 1H); MS (FAB<sup>+</sup>): m/e 3208. IR
  (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. UV (MeOH): λ360 (ε
  33 854)
- 25 d-acid dimer (38): yield 96 mg (30%), mp 225-228° C with decomposition, <sup>1</sup>H NMR (D<sub>2</sub>O, δ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.16 (s, 8H); 1.29 (m, 36H); 1.35 (d, 12H); 1.44 (s, 10H); 1.53 (m, 6H); 1.6 (m, 8H); 1.85 (s, 12H); 2.03 (m, 8H); 2.25 (d, 12H, B10 & B11 CH<sub>3</sub>); 2.33 (m, 8H); 2.54 (d, 20H); 2.8 (m, 8H); 3.13 (m, 8H); 3.28 (s, 12H); 3.35 (m, 12H); 3.6 (m, 4H); 3.73 (m, 2H); 3.9 (d, 2H);
- 4.07 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.64 (m, 2H);
  4.7 (s, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d,2H, 2R1); 6.6 (s,2H, 2B4); 7.1 (s, 2H, 2B2);
  7.25 (s, 2H, 2B7); 7.54 (t, 1H); 7.93 (d, 2H); 8.25 (s, 1H); MS (FAB<sup>+</sup>): m/e 3208. IR
  (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup> UV (MeOH): λ360 (ε
  31 747).

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#### EXAMPLE 14

### CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE Conjugate Dimer: ETAC Cross-Linking

This example serves to illustrate synthesis of a bivalent receptor modulating agent using a heterotrifunctional cross-linker. The reaction scheme for this synthesis is depicted in Figure 15. The heterotrifunctional cross-linker is formed an ETAC reagent (Bioconjugate Chem. 1:36-50, 1990; Bioconjugate Chem. 1:51-59, 1990; J. Am. Chem. Soc. 101:3097-3110, 1979). Bivalency, in addition to enhancing affinity of binding, also imparts the ability to cross-link neighboring receptors and trigger endocytosis. The bivalent "arms" of the agent may be lengthened with peptide or other linking molecules to enable simultaneous binding of both "arms". In the case of vitamin B<sub>12</sub> this may be assessed by gel filtration. If the linkers allow simultaneous

interaction, there will be 2 moles of TcII for every mole of ETAC dimer present in a

15 single peak of 80,000 m.w. (versus 40,000 m.w. of monomeric TcII). Simultaneous binding of 2 moles of TcII will then have the potential for bivalent binding to cell surface receptor. This can be tested by comparing the affinity of monomer and dimer binding to receptor. While the bivalent agent can be synthesized to include any rerouting moiety of this invention which enhances lysosomal targeting and retention,

20 the compound tyramine, useful for radio-labeling is disclosed for the purpose of illustration.

Referring to Figure 15, carboxy-ETAC (39) is prepared by the method of Liberatore et al. (Bioconjugate Chem. 1:1990). The carboxy-ETAC is converted to its acid chloride by reaction in thionyl chloride. Addition of amine (40) gives the amine-

- 25 ETAC adduct (41). Reaction of amine-ETAC (1 mmol) in CH<sub>3</sub>CN with 1 M aqueous cysteamine (10 mmol) is conducted by stirring at room temperature for 24 h. This compound is reduced with NaCNBH<sub>3</sub> under acidic conditions. The crude amine-ETAC-cysteamine adduct (42) is purified by reverse-phase LC, using conditions noted above. A vitamin B<sub>12</sub> monocarboxylate (2, 3, 4) is conjugated with tyramine-ETAC-
- 30 cysteamine compound by reaction with EDC in  $H_2O$ . The resultant vitamin  $B_{12}$ -ETAC-tyramine dimer (43) is purified by reverse phase LC, using conditions described above.

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#### EXAMPLE 15

### CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE Conjugate Dimer: Isophthlate Cross-Linking with Biotin Moiety

This example illustrates the synthesis of a bivalent receptor modulating agent which is additionally coupled to a biotin moiety (44). Further modification can be obtained by coupling of this molecule with an avidin or streptavidin moiety.

Reaction Step A: Biotin (12.3 mmol, 3 g) was dissolved in warm (bath temperature 70°C) DMF (60 mL) under argon atmosphere. It was then cool to ambient temperature and DCC (13.5 mmol, 2.79 g) was added, followed by tetrafluorophenol (24.6 mmol, 4.08g). The reaction mixture was then cooled to 0°C and stirred for 0.5 h. It was then brought back to ambient temperature and stirred for another 4-5 h. The reaction mixture was filtered and the filtrate was evaporated to dryness. The precipitate was washed with acetonitrile (50 mL) and was filtered to yield 5 g (98%) of white solid (45).

<sup>1</sup>H NMR (DMSO,  $\delta$ ): 1.4 (m, 2H); 1.7 (m, 2H); 2.5 (t, 2H); 2.8 (t, 2H); 3.1 (m, 1H); 4.1 (m, 1H); 4.3 (m, 1H); 6.4 (d, 2H); 7.9 (m, 1H).

<u>Reaction Step B:</u> 6-Aminocaproic acid (46) (7.5 mmol, 0.99g) was dissolved in  $H_2O$  (75 mL). Triethylamine (0.5 mL) was added followed by a solution of TFP ester of Biotin (5 mmol, 1.96 g) in warm acetonitrile (300 mL). The reaction

was stirred overnight at room temperature. It was then filtered, washed with  $H_2O$  (50 mL) and dried on high vacuum. Yield: 0.870 g (47%). The filtrate was evaporated to dryness. The residue was taken in boiling acetonitrile (75 mL) and was filtered, washed with hot acetonitrile. The solid (47) was dried on high vacuum to give 0.6 g, for a total yield of 1.47 g (79%).

<sup>1</sup>H NMR (DMSO-d<sub>6</sub>,  $\delta$ ): 1.2-1.6 (m, 8H); 2.0 (t, 2H); 2.2 (t, 2H); 2.5 (dd, 2H); 2.8 (dd, 2H); 3.1 (m, 3H); 4.1 (m, 1H); 4.3 (m, 1H); 6.4 (d, 2H); 7.7 (m, 1H).

Reaction Step C: Biotin conjugated caproic acid (47) (2.68 mmol, 1 g) 30 was dissolved in DMSO (50 mL). Triethylamine (0.4 mL) was added followed by TFP acetate (4.02 mmol, 1.05 g). The reaction mixture was then stirred at room temperature for 15-20 min (HPLC monitored). It was then evaporated to dryness. The residue was washed with ether and dichloromethane and dried on high vacuum (48). Yield: 1.24 g (89%).

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<sup>1</sup>H NMR (DMSO-d<sub>6</sub>, δ): 1.2 (t, 2H); 1.3-1.7 (m, 5H); 2.1 (t, 2H); 2.6 (dd, 2H); 2.8 (m, 4H); 3.1 (m, 4H); 4.2 (m, 1H); 4.4 (m, 1H); 6.4 (d, 2H); 7.8 (t, 1H); 8.0 (m, 1H).

Reaction Step D: TFP ester of Biotin-caproic acid (48) (0.67 mmol, 0.35
g) was dissolved in DMF (40 mL). Triethylamine (80 μL) was added followed by aminoisophthalic acid (1.005 mmol, 0.182 g). The reaction was stirred at room temp. for 8 days (HPLC monitored) while adding tricthylamine (80 μL) every after 24 h. It was then evaporated to dryness. The residue was then applied to a column of silica and was initially eluted with acetonitrile (450 mL). It was then eluted with methanol, 20
mL of fractions were collected, at the fraction 2 the solvent was changed to DMF. The fractions containing the final product (HPLC monitored) were evaporated to dryness (49) to yield 230 mg (65%).

<sup>1</sup>H NMR (DMSO-d<sub>6</sub>,  $\delta$ ): 1.3-1.7 (m, 8H); 2.1 (t, 2H); 2.3 (t, 2H); 2.6 (m, 2H); 2.8 (m, 2H); 3.1 (m, 3H); 4.1 (m, 1H); 4.3 (m, 1H); 6.4 (d, 2H); 7.8 (t, 1H); 8.1 (m, 1H); 8.46 (s, 2H).

<u>Reaction Step E:</u> Biotin-caproic acid-isophthalic acid (49) (0.376 mmol, 200 mg) was dissolved in DMF (30 mL) under argon atmosphere. TFP acetate (0.94 mmol, 241 mg) was added by double ended needle, followed by triethylamine (112  $\mu$ L). The reaction was then stirred at room temp. for 24 h (HPLC monitored). It was

20 then evaporated to dryness. The light brownish oil was taken in ether, solid was filtered and was washed with ether (50 mL) (50) to yield 250 mg (86%).

<sup>1</sup>H NMR (DMSO-d<sub>6</sub>,  $\delta$ ): 1.3-1.7 (m, 8H); 2.1 (t, 2H); 2.3 (t, 2H); 2.6 (m, 2H); 2.8 (m, 2H); 3.1 (m, 3H); 4.2 (m, 1H); 4.4 (m, 1H); 6.4 (d, 2H); 7.8 (t, 1H); 8.1 (m, 2H); 8.57 (s, 1H); 8.9 (s, 2H).

- Reaction Step F: In a solution of cyanocobalamin carboxylic acid diaminododecane conjugate (8, 9, 10) (0.130 mmol, 0.2 g) in a mixture of DMF :  $H_2O$ (3:1) (40 mL) triethylamine (12 µL) was added. DiTFP ester of biotin-caproic acidisophthalic acid (50) (0.065 mmol, 0.050 g) was added over a period of 5-10 min. The reaction mixture was stirred at room temperature for 3 h (HPLC monitored). It was
- 30 then evaporated to dryness. The residue was digested with 100 mL of acetone and the solvent was decanted to yield 230 mg (62%) (51). mp 195-198°C with decomposition.

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#### Example 16

### CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE CONJUGATE DIMER: ISOPHTHALATE CROSS-LINKING WITH PARA-IODOBENZOYL MOIETY

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This is an example of a bivalent receptor modulating agent which is also conjugated to a *para*-iodobenzoyl moiety.

<u>Reaction Step A</u>: A 5g (28 mmol) quantity of 5-aminoisophthalic acid (52) was dissolved in 30 mL 1N NaOH and placed in an ice/water bath. To the cold solution was added 7.5g (28 mmol) 4-iodobenzoyl chloride (52) in 60 mL of

- 10 acetonitrile, dropwise. The thick white precipitate was then stirred for 10 minutes before removing the ice/water bath and allowing the mixture to stir an additional 10 minutes. The reaction mixture was adjusted to pH 4 with acetic acid and the resulting solid collected. This solid was then dissolved in 30 mL 1N NaOH and washed with ether (2 x 50 mL). The resulting aqueous solution was filtered and acidified to pH 4
- 15 with acetic acid. The white precipitate was the collected and dried on high vacuum to yield .6 g (99+%) of (54). mp >300 °C; IR (Nujol, cm<sup>-1</sup>) 3570(m), 3300(m), 1645, 1580(m), 1525(m), 760(m); <sup>1</sup>H NMR (DMSO-d<sub>6</sub>, δ), 8.51 (2H, d, J = 0.7 Hz), 8.27 (1H, s), 7.94 (2H, d, J = 4.2 Hz), 7.84 (2H, d, J = 4.1 Hz).
- Reaction Step B: A 5g (12.2 mmol) quantity of 5-[Niodobenzoyl)amino]-isophthalic acid (54) was suspended in 100 mL anhydrous ethyl acetate. To this was added 12.5g (73 mmol) 2,3,5,6-tetrafluorophenol (55) followed by 5g (24.2 mmol) 1,3-dicyclohexylcarbodiimide. This suspension was then stirred at room temperature for 3 days before filtering off the solid and washing with an additional 20 mL of ethyl acetate. The filtrate was then evaporated to dryness. The
- resulting sticky white solid was suspended in 50 mL acetonitrile and stirred for 30 minutes. Filtering yielded 3.75g of white solid (43%) (56). mp 250-251 °C; IR (Nujol, cm-1) 3220(m), 3060(m), 1750, 1655, 1520, 1485, 1330, 1195, 1110, 1085, 955(m), 945(m); <sup>1</sup>H NMR (DMSO-d<sub>6</sub>, δ), 9.06 (2H, d, J = 0.7 Hz), 8.57 (1H, t, J = 1.4 Hz), 8.04 (2H, m), 7.94 (2H, d, J = 4.2 Hz), 7.81 (2H, d, J = 4.3 Hz).
- 30 <u>Reaction Step C</u>: To a solution of cyanocobalamin carboxylic acid diaminododecane conjugate (56) (0.192 mmol, 0.3 g) in a mixture of DMF : H<sub>2</sub>O (3:1) (40 mL) was added triethylamine (0.018 mL). To this solution, DiTFP ester of 5-[N-(p-Iodobenzoyl)amino]-Isophthalic acid (57)(0.096 mmol, 0.068 g) was added over a period of 5-10 min. The reaction mixture was stirred at room temperature for 4-5 h
- 35 (HPLC monitored). It was then evaporated to dryness. The solid residue was dissolved in 20 mL of methanol : H<sub>2</sub>O (8:2) and applied to a reverse phase C-18 column (500 mm

## NEPTUNE GENERICS 1002 - 00256 APOTEX 1002 - 0256

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x 25 mm, Alltech, 150 psi) which was developed with the same solvent. RAININ Rabbit-plus peristaltic pumping system was used with a DYNAMAX (model UV-1). UV visible absorbance detector; the elute was collected with an automatic fraction The fractions containing the final product (HPLC monitored) were collector. evaporated to dryness.

b-acid dimer (58): yield: 280 mg (76%), mp 230-233 °C with decomposition, <sup>1</sup>H NMR (D<sub>2</sub>O, δ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.19 (s, 8H); 1.3 (m, 36H); 1.37 (d, 12H); 1.46 (s, 10H); 1.63 (m, 8H); 1.87 (s, 12H); 2.05 (m, 10H); 2.27 (d, 16H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 8H); 2.6 (d, 18H); 2.8 (s, 8H); 3.0 (s, 10H); 3.15 (m, 8H); 3.3 (d, 8H); 3.37 (m, 14H); 3.6 (m, 2H); 3.68 (d, 2H); 3.76 (m, 2H);

3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.18 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.64 (m, 4H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.7 (d, 2H); 7.9 (d, 2H); 7.99 (d, 1H); 8.28 (s, 2H); MS (FAB<sup>+</sup>): m/e 3453. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>. 15 UV (MeOH): λ360.6 (ε48 871)

e-acid dimer (59): yield: 258 mg (70%), mp 285-290 °C with decomposition, <sup>1</sup>H NMR (D<sub>2</sub>O, δ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.17 (s, 8H); 1.22 (d, 13H); 1.29 (s, 45H); 1.36 (d, 22H); 1.44 (s, 10H); 1.6 (m, 8H); 1.86 (s, 12H); 2.04 (m, 10H); 2.25 (s, 12H, B10 & B11 CH<sub>3</sub>); 2.36 (m, 8H); 2.55 (d, 20H); 2.83 (m, 8H);

- 20 3.15 (m, 8H); 3.29 (s, 10H); 3.36 (m, 8H); 3.58 (m, 2H); 3.65 (m, 2H); 3.75 (m, 2H); 3.9 (d, 2H); 4.06 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.57 (s, 2H); 4.65 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.5 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.7 (d, 2H); 7.89 (d, 2H); 7.98 (s, 1H); 8.26 (s, 2H); MS (FAB<sup>+</sup>): m/e 3453. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 25
  - 1490, 1060 cm<sup>-1</sup>; UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 41 481).

d-acid dimer (60): yield 265 mg (72%), mp 253-255 °C with decomposition, <sup>1</sup>H NMR ( $D_2O$ ,  $\delta$ ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 1.16 (s, 8H); 1.22 (d, 12H); 1.33 (m, 36H); 1.43 (s, 10H); 1.53 (m, 6H); 1.6 (m, 8H); 1.86 (s, 12H); 2.03 (m, 8H); 2.25 (d, 12H, B10 & B11 CH<sub>3</sub>); 2.33 (m, 8H); 2.54 (d, 20H); 2.8 (s, 4H); 3.0 (s, 4H); 3.28 (s, 10H); 3.35 (m, 8H); 3.58 (m, 2H); 3.65 (m, 2H); 3.73 (m, 2H);

30 3.88 (d, 2H); 4.05 (m, 2H); 4.1 (m, 2H); 4.17 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.57 (s, 2H); 4.63 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R<sub>1</sub>); 6.5 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.7 (d, 2H); 7.89 (d, 2H); 7.98 (s, 1H); 8.26 (s, 2H); MS (FAB<sup>+</sup>): m/e 3453. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 35 cm<sup>-1</sup>; UV (MeOH):  $\lambda$ 360 ( $\epsilon$ 48 245).

### **NEPTUNE GENERICS 1002 - 00257**

APOTEX 1002 - 0257

#### EXAMPLE 17

### CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE Conjugate Dimer: Isophtahate Cross-Linking with para-(tri-Butylstannyl)benzoyl Moiety

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This is an example of a bivalent receptor modulating agent coupled to a *para*-tri-N-butyl stannyl moiety.

Reaction Step A: A 2 g (2.8 mmol) quantity of the diTFP ester of 5-[N-(p-Iodobenzoyl)amino]-Isophthalic acid (57) (as prepared above) was dissolved in 20 10 mL dry toluene under argon. To this was added 2.8 mL (5.5 mmol) of *bis*(tributyltin) (61) followed by 40 mg (0.04 mmol) tetrakis(triphenylphosphine)palladium (62). The mixture was stirred at room temperature for 15 minutes before heating to 80°C for 2 h.

Since the mixture only darkened slightly over the 2 h period, an additional 40 mg of

- palladium catalyst was added. Within 1 hour the mixture had turned black. After cooling to room temperature, the toluene was removed by rotary evaporation. The resulting black oil (containing solids), was then taken into 20 mL ethyl acetate and dried onto 10 g silica gel (via rotoevaporation). This solid was then added to a 250 g (40 x 3.5 cm) silica gel column and eluted initially with hexanes containing 5% acetic acid. After 600 mL, the solvent was changed to 90/10 hexanes/ethyl acetate (containing)
- 20 5% acetic acid). Fractions 14-16 were combined and dried to yield 1.5 g (62%) of white solid (62). mp 120-123 °C;

<sup>1</sup>H NMR (CDCl<sub>3</sub>, δ), 8.87 (2H, d, J = 0.7 Hz), 8.76 (1H, t, J = 1.6 Hz), 8.38 (1H, s), 7.84 (2H, d, J = 4.1 Hz), 7.62 (2H, d, J = 4.1 Hz), 7.07 (2H, m), 1.55 (6H, m), 1.36 (15H,m), 1.11 (6H,m), 0.89 (9H, t, J = 7.3 Hz); MS (FAB<sup>+</sup>) M+H patterns calculated 870 (75.1%), 871 (52.9%), 872 (100%), 873 (41.0%), 874 (21.4%), found

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 calculated \$70 (75.1%), \$71 (52.9%), \$72 (100%), \$73 (41.0%), \$74 (21.4%), fou

 870 (82.1%), \$71 (55.1%), \$72 (100%), \$73 (42.1%), \$74 (25.2%).

IR (Nujol, cm<sup>-1</sup>) 1750, 1645, 1520, 1480(m), 1185, 1100, 1085.

Reaction Step B: In a solution of cyanocobalamin carboxylic acid - diaminododecane conjugate (8, 9, 10) (0.065 mmol, 0.1 g) in a mixture of DMF : H<sub>2</sub>O
30 (3:1) (40 mL) triethylamine (0.006 mL) was added. DiTFP ester of 5-[N-(p-tributyltin benzoyl) amino]-Isophthalic acid (63)(0.0325 mmol, 0.028 g) was added over a period of 5-10 min. The reaction mixture was stirred at room temperature for 12-14 h (HPLC monitored). It was then evaporated to dryness. The residue was digested with 100 mL of acetone and the solvent was decanted.

35 b-acid dimer (64): yield: 90 mg (70%), mp 208-212 °C with decomposition, <sup>1</sup>H NMR (D<sub>2</sub>O, δ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 0.88 (t, 9H); 1.15 (t,

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12H); 1.19 (s, 8H); 1.3 (m, 36H); 1.37 (d, 12H); 1.46 (s, 10H); 1.6 (m, 8H); 1.9 (s, 12H); 2.05 (m, 10H); 2.28 (d, 16H, B10 & B11 CH<sub>3</sub>); 2.35 (m, 8H); 2.6 (d, 18H); 2.8-2.9 (m, 16H); 3.15 (m, 8H); 3.3 (s, 8H); 3.37 (m, 14H); 3.6 (m, 4H); 3.76 (m, 2H); 3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.18 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.68 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (d, 2H, 2B7); 7.6 (d, 2H); 7.9 (d, 2H); 7.99 (br s, 1H); 8.28 (br s, 2H); IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>.

e-acid dimer (65): yield: 93 mg (72%), mp >300 °C, <sup>1</sup>H NMR (D<sub>2</sub>O, δ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 0.88 (t, 9H); 1.12 (t, 12H); 1.17 (d, 8H); 1.22 (d, 13H);

- 10 1.29 (s, 45H); 1.36 (d, 22H); 1.44 (s, 10H); 1.6 (m, 8H); 1.87 (d, 12H); 2.04 (m, 10H); 2.25 (s, 12H, B10 & B11 CH<sub>3</sub>); 2.36 (m, 8H); 2.55 (d, 20H); 2.8 (m, 8H); 3.15 (m, 8H); 3.29 (s, 10H); 3.36 (m, 14H); 3.6 (m, 4H); 3.73 (m, 2H); 3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.66 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d,2H, 2R1); 6.6 (s,2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 15 2B7); 7.6 (d, 2H); 7.9 (d, 2H); 7.98 (br s, 1H); 8.28 (br s, 2H); IR (KBr): 3400, 3200,
- 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>.

yield: 100 mg (78%), mp 202-205 °C with d-acid dimer (66): decomposition, <sup>1</sup>H NMR ( $D_2O$ ,  $\delta$ ) 0.43 (s, 6H, C-20 CH<sub>3</sub>); 0.88 (t, 9H); 1.12 (t, 12H); 1.15 (s, 8H); 1.29 (m, 36H); 1.35 (d, 12H); 1.44 (s, 10H); 1.53 (m, 6H); 1.6

20 (m, 8H); 1.86 (d, 12H); 2.03 (m, 8H); 2.25 (d, 12H, B10 & B11 CH<sub>3</sub>); 2.33 (m, 8H); 2.54 (d, 20H); 2.8 (m, 8H); 3.13 (m, 8H); 3.28 (s, 10H); 3.35 (m, 10H); 3.6 (m, 4H); 3.73 (m, 2H); 3.9 (d, 2H); 4.05 (m, 2H); 4.1 (m, 2H); 4.17 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.6 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.6 (d, 2H); 7.9 (d, 2H); 7.98 (br s, 1H); 8.28 (br s, 25 2H); IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm<sup>-1</sup>.

### EXAMPLE 18

### EVALUATION OF THE ABILITY OF VITAMIN B12 RECEPTOR MODULATING AGENTS TO BIND TO TCII

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This example serves to demonstrate a competitive binding assay suitable for evaluating the ability of vitamin B<sub>12</sub> receptor modulating agents to bind TcII. Binding of the vitamin B<sub>12</sub> derivatives to recombinant transcobalamin II was conducted in picomolar concentrations and the percent bound ascertained.

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In this competitive binding assay, various B<sub>12</sub> derivatives, including vitamin B<sub>12</sub> receptor modulating agents, were evaluated for their ability to bind to TcII

> **NEPTUNE GENERICS 1002 - 00259** APOTEX 1002 - 0259

relative to radiolabeled  $B_{12}$ . Varying concentrations of each derivative were incubated with immobilized TcII in the presence of a constant amount of radiolabeled  $B_{12}$ . After incubation for 20 minutes at 37° C, the free radiolabeled  $B_{12}$  was separated from the TcII bound tracer by removal of the supernatant. The radioactivity of the supernatant

5 solution was then measured to determine the amount of free radiolabeled  $B_{12}$  present at the end of each competition. By measuring the amount of free radiolabeled  $B_{12}$  for each competition, the ability of each derivative to inhibit radiolabeled  $B_{12}$  binding was determined. A binding curve was then be constructed for each  $B_{12}$  derivative where the amount of radiolabeled  $B_{12}$  bound (% radiolabel bound) was correlated with the

10 concentration of derivative present in the original mixture. The more effective the derivative is in binding to TcII, the lower the percent bound radiolabeled vitamin  $B_{12}$ .

Figure 22 illustrates the binding curve of Transcobalamin II to the cyanocobalamin monocarboxylic acids produced in Example 1. AD = Cyanocobalamin (1); AL = Cyanocobalamin b-monocarboxylic acid (2); AM = Cyanocobalamin e-

15 monocarboxylic acid (3); and AN= Cyanocobalamin d-monocarboxylic acid (4). The d-carboxylate (3) appears to bind nearly as well as cyanocobalamin. Two samples of vitamin B<sub>12</sub> were used, one as a known standard and the other as an unknown.

Figure 23 illustrates the binding curve of Transcobalamin II to the cyanocobalamin diaminododecane adducts (8, 9, 10) and succinate adduct (13)

- 20 produced in Example 3 and 4 above. AH = Cyanocobalamin b-monocarboxylic acid conj Diaminododecane (7); AI = Cyanocobalamin e-monocarboxylic acid conj Diaminododecane (8); AJ = Cyanocobalamin d-monocarboxylic acid conj Diaminododecane (9); AK = Cobalamin e-monocarboxylic acid conj Diaminododecane, and AE = Cyanocobalamin Ribose-Succinate (11). The b-conjugate (17) has the least
- 25 binding, whereas the *e*-conjugate (18) has intermediate binding, and the *d*-conjugate (19) binds quite well. The biotin conjugate attached to the ribose site (13) appears to bind very well, as does its precursor amino derivative (12). The additional compound studied is of unknown structure, but may have the amine group coordinated with the cobalt atom as the mass spectrum indicates that it has the appropriate mass for (7) minus HCN. It is clear that this unknown compound is not likely to bind TcII.

Figure 24 illustrates the binding curve of Transcobalamin II to a series of vitamin  $B_{12}$  dimers. Dimer X = b-acid dimer with Isophthaloyl dichloride (36); Dimer Y = e-acid dimer with Isophthaloyl dichloride (37); dimer Z = d-acid dimer with Isophthaloyl dichloride (38); Dimer A= b-acid Dimer with p-Iodo benzoyl Isophthaloyl

dichloride (58); Dimer B = e-acid Dimer with p-Iodo benzoyl Isophthaloyl dichloride
 (59); and Dimer C = d-acid Dimer with p-Iodo benzoyl Isophthaloyl dichloride (60).

## NEPTUNE GENERICS 1002 - 00260 APOTEX 1002 - 0260

Figure 25 illustrates the binding curve of Transcobalamin II to a series of biotinylated vitamin  $B_{12}$  molecules. AA = Cyanocobalamin *b*-monocarboxylic acid conj Diaminododecane and Biotin (17); AB = Cyanocobalamin *e*-monocarboxylic acid conj Diaminododecane and Biotin (18); AC = Cyanocobalamin *d*-monocarboxylic acid conj Diaminododecane and Biotin (19); AF = Cyanocobalamin Ribose-Succinate conj Diaminododecane (13); and AG = Cyanocobalamin Ribose-Succinate conj. Diaminododecane and Biotin (20).

#### EXAMPLE 19

### ASSAY FOR BIOLOGICAL ACTIVITY OF VITAMIN B<sub>12</sub> Receptor Modulating Agents

This example serves to demonstrate the use of an assay to ascertain biological activity of the receptor modulating agents of the present invention.

- 15 Receptor down-modulation involves a comparison of treatment of a target cell line such as K562, each sample is treated with vitamin B<sub>12</sub> or a vitamin B<sub>12</sub> receptor modulating agent at 4°C for 24 hours. Following this period, cells of each sample are separated from a vitamin B<sub>12</sub> or a vitamin B<sub>12</sub> receptor modulating agent by centrifugation. The cells are then washed and resuspended in phosphate buffered saline
- 20 containing 2 mM EDTA for a brief period of time not to exceed 15 minutes at 4°C. Then, the cells are washed again and returned to a tissue culture medium at 4°C. The tissue culture medium containing TcII and a radiolabeled TcII/B<sub>12</sub> complex. The time course of TcII/B<sub>12</sub> binding to the cell receptor is determined by measuring the percent radiolabel bound to the cell at 0, 15, 30, 60, 120, and 240 minutes. Those samples
- 25 exposed to the vitamin  $B_{12}$  receptor modulating agents of the present invention show significantly reduced TcII/ $B_{12}$  complex binding compared to cells cultured in vitamin  $B_{12}$ . Trypsin treated cells reveal any nonspecific binding or uptake of the labeled vitamin  $B_{12}$  on or within the cell.

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#### Example 20

### METHOD FOR ASSESSING BIOLOGICAL ACTIVITY OF A RECEPTOR MODULATING AGENT

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This example serves to demonstrate a method suitable for assessing the biological activity of a receptor modulating agent of the present invention.

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# NEPTUNE GENERICS 1002 - 00261 APOTEX 1002 - 0261

 $0.2 \times 10^6$  cells/ml K562 cells were cultured in RPMI medium modified by addition of 10  $\mu$ M MeTHF, 2.7 nM vitamin B<sub>12</sub> and 1% human serum. No folate was added. 10  $\mu$ M d-diamimododecane adduct (7) was added and cultured over 9 days at 37°C. 10  $\mu$ M vitamin B<sub>12</sub> cultured under identical conditions as (7) was utilized as a

5 control. The cultures were then independently assessed for proliferation and cell death by Trypan blue exclusion. The results are described in Table 10, below, in terms of the percent cell death.

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	Control	d-diaminododecane adduct (7)
Proliferation	98%	9%
Cell Death	8 %	85 %

The receptor modulating agent, in this case d-diaminododecane adduct (7), clearly demonstrates the marked biological activity of the receptor modulating agent.

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### Example 21 Synthesis Of An Anti-Inflammatory Receptor Modulating Agent

The synthetic peptide f-met-leu-phe is equivalent to a bacterial cell wall constituent (Biochem. Soc. Trans. 19:1127-9, 1991; Agents Actions Suppl. 35:3-8, 1991; Agents Actions Suppl. 35:11-6, 1991; J. Immunol. 146:975-80, 1991). This peptide is recognized by receptors on PMN which can respond by chemotaxis to sites of local inflammation along a gradient of the peptide. During inflammation, receptor expression can be dramatically increased by mobilizing receptor from intracellular pools. Non-specific methods used to abrogate this up-regulation also inhibit chemotaxis and presumably the anti-inflammatory reaction associated with local inflammation (J. Immunol. 145:2633-8, 1990). The synthesis of a receptor modulation agent useful as an inhibitor of early inflammation is described below.

The peptide f-met-leu-phe-(gly)<sub>3</sub>-leu-O-Me is synthesized using tea-bag 30 methodology or solid phase peptide synthesis procedures described by Merrifield et al. (Biochemistry 21:5020-31, 1982) and Houghten (Proc. Nat'l. Acad. Sci. (USA) 82:5131-35, 1985), or using a commercially available automated synthesizer, such as the Applied Biosystems 430 A peptide synthesizer. The peptide-amide is deprotected

## NEPTUNE GENERICS 1002 - 00262 APOTEX 1002 - 0262

in 45% trifluoroacetic acid-51% methylene chloride-2% ethanedithiol-2% anisole for 20 minutes, and cleaved from the 4-methylbenzhydrylamine resin using the Tam-Merrifield low-high HF procedure (J. P. Tam et al., J. Am. Chem. Soc. 105:6442-55, 1983). The peptide is then extracted from the resin using 0.1 M ammonium acetate

- 5 buffer, pH 8, and is lyophilized. The crude peptide is purified using reverse phase HPLC on a Vydac C-4 analytical column (The Separations Group, Hesperia, Calif.), and a linear gradient of 0.5-1.0%/min. from 100% acetonitrile + 0.1%v/v trifluoroacetate to 100% acetonitrile + 0.1% trifluoroacetate. The HPLC-purified peptide is analyzed by amino acid analysis (R. L. Heinriksen and S. C. Meredith, <u>Anal.</u> Biochem, 160:65-74, 1984) after gas phase hydrolysis (N. M. Meltzer et al., <u>Anal.</u>
- 10 Biochem. 160:65-74, 1984) after gas phase hydrolysis (N. M. Meltzer et al., Anal. Biochem. 160:356-61, 1987). The sequence of the purified peptide may be confirmed by Edman degradation on a commercially available sequencer (R. M. Hewick et al., J. Biol. Chem. 15:7990-8005, 1981). The peptide amide is converted to an O-methyl ester (*i.e.*, f-met-leu-phe-(gly)<sub>3</sub>-leu-O-Me) by treatment with dimethylformamide (5g/60 mL
- 15 with 1.3 equivalents of NaHCO<sub>3</sub> in excess methyl iodide (4 equivalents). The mixture is stirred under argon gas at room temperature for 40 hours. If required, the peptide is extracted to dryness with 150 mL of ethyl acetate. The receptor for modulating agent is used to treat PMN, activated with GM-CSF (to increase expression of fMLP receptors). Loss of binding of biotinylated fMLP is compared on fMLP versus f-MLP receptor 20 modulating agent treated cells.

## Example 22

#### SYNTHESIS OF A FUSION PROTEIN RECEPTOR MODULATING AGENT

- An EGF receptor modulating agent containing a genetically engineered fusion protein is hereby described. Briefly, the C-terminus of a DNA sequence encoding EGF, or its receptor binding domain, is ligated by conventional procedures (e.g., using T<sub>4</sub>DNA ligase) to a DNA sequence corresponding to a GGG spacer. The C-terminus of the EGF-GGG DNA sequence is then fused to the N-terminus of a DNA sequence encoding the conditional, membrane binding peptide KGEAALA(EALA)<sub>4</sub>-EALEALAA. Alternately, peptide-spacer DNA sequences may be synthesized *in vitro* using standard oligonucleotide synthesis procedures (see, e.g., U.S. Pat. Nos. 4,500,707 and 4, 668,777). The recombinant EGF peptide DNA sequence is cloned in an *E. coli* expression vector using conventional procedures. *E. coli* strain HB101 is transformed
- 35 with the fused recombinant DNA sequence and cultured to produce the EGF peptide. The fusion protein is purified form the transformed *E. coli* culture by standard methods,

## NEPTUNE GENERICS 1002 - 00263 APOTEX 1002 - 0263

including anti-EGF affinity chromatography. The fusion protein may be eluted from the affinity matrix using standard techniques, such as high salt, chaotropic agents, or high or low pH. Loss of EGF receptor is measured by flow cytometry and mouse monoclonal antibody to EGF receptor.

5

From the foregoing, it will be appreciated that, although specific embodiments of this invention have been described herein for purposes of illustration, various modifications may be made without deviating from the spirit and scope of the invention. Accordingly, the invention is not limited except by the appended claims.

# NEPTUNE GENERICS 1002 - 00264 APOTEX 1002 - 0264

#### 69

#### <u>Claims</u>

1. A receptor modulating agent, comprising a vitamin  $B_{12}$  molecule coupled to a rerouting moiety.

2. The receptor modulating agent of claim 1 wherein said  $B_{12}$  molecule is coupled to said rerouting moiety by a linker.

3. The receptor modulating agent of claim 2 wherein said linker is at least 4 atoms in length.

4. The receptor modulating agent of claim 3 wherein said linker is 6 to 20 atoms in length.

5. The receptor modulating agent of claim 4 wherein said linker is 12 atoms in length.

6. The receptor modulating agent of claim 2 wherein said linker includes at least one amino group.

7. The receptor modulating agent of claim 6 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

 The receptor modulating agent of claim 6 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkyls, diaminoheteroalkylaryls, and diaminoalkanes.

9. The receptor modulating agent of claim 6 wherein said linker is selected from the group consisting of  $-NH(CH_2)_xNH$ - wherein x = 2-20.

10. The receptor modulating agent of claim 6 wherein said linker is selected from the group consisting of  $-NH(CH_2)_yCO$ , wherein y = 3-12.

11. The receptor modulating agent of claim 2 wherein said linker is coupled to said rerouting moiety through a coupling site on said vitamin  $B_{12}$  derivative selected from the group consisting of *b*-, *d*- and *e*-.

# NEPTUNE GENERICS 1002 - 00265 APOTEX 1002 - 0265

12. The receptor modulating agent of claim 11 wherein said linker is coupled through a coupling site selected from the group consisting of *d*- and *e*- coupling sites.

13. The receptor modulating agent of claim 2 wherein said linker is coupled to a ribose coupling site on said vitamin  $B_{12}$  molecule.

14. The receptor modulating agent of claim 2 wherein said linker is a trifunctional linker.

15. The receptor modulating agent of claim 14 wherein a biotin molecule is coupled through a reactive site on said trifunctional linker.

16. The receptor modulating agent of claim 1 wherein said rerouting moiety is selected from the group consisting of lysosomotropic moieties, intracellular polymerizing moieties, peptide sorting sequences, conditional membrane binding peptides and bi- or multi-valent receptor cross-linking moieties membrane anchors.

17. The receptor modulating agent of claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by redirecting an agent/receptor complex.

18. The receptor modulating agent of claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by cross-linking one or more receptors.

19. The receptor modulating agent of claim 18 wherein said receptor modulating agent is a vitamin  $B_{12}$  dimer.

20. The receptor modulating agent as in claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by anchoring a receptor in a cell membrane.

21. The receptor modulating agent as in claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by retaining an agent/receptor complex in an endosome.

# NEPTUNE GENERICS 1002 - 00266 APOTEX 1002 - 0266

71

22. The receptor modulating agent as in claim 1 wherein said rerouting moiety is a lysosomotropic moiety selected from the group consisting of gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin ribostamycin butirosin, and streptomycin.

23. The receptor modulating agent as in claim 1 wherein said rerouting moiety is an intracellular polymerizing moiety selected from the group consisting of dipeptide esters and leucine zippers.

24. The receptor modulating agent as in claim 1 wherein said rerouting moiety is a peptide sorting sequence selected from the group consisting of endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides and clathrin-binding peptides.

25. The receptor modulating agent as in claim 1 wherein said rerouting moiety is a conditional membrane binding peptide selected from the group consisting of charged glutamate, aspartate, and histidine.

26. A vitamin  $B_{12}$  dimer comprising a first and a second vitamin  $B_{12}$  molecule coupled through a coupling site independently selected from the group consisting of coupling sites *a*-*g*, coupling site h, and coupling site i.

27. The dimer of claim 26 wherein said first and second vitamin  $B_{12}$  molecules are coupled through a coupling site independently selected from the group consisting of *d*- and *e*- coupling sites on said first and said second vitamin  $B_{12}$  molecule.

28. The dimer of claim 26 wherein at least one of said first and said second vitamin  $B_{12}$  molecules is a vitamin  $B_{12}$  derivative.

29. The dimer of claim 26 wherein said first and second  $B_{12}$  molecules are coupled through at least one linker.

30. The dimer of claim 29 wherein said linker is at least 4 atoms in length.

PCT/US95/04404

31. The dimer of claim 30 wherein said linker is about 10 to 55 atoms in length.

32. The dimer of claim 31 wherein said linker is 35 to 45 atoms in length.

The dimer of claim 29 wherein said linker includes at least one amino group.

34. The dimer of claim 33 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

35. The dimer of claim 33 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkyls, diaminoheteroalkylaryls, and diaminoalkanes.

36. The dimer of claim 33 wherein said linker is selected from the group consisting of  $-NH(CH_2)_xNH$ - wherein x = 2-20.

37. The dimer of claim 33 wherein said linker is selected from the group consisting of  $-NH(CH_2)_yCO$ , wherein y = 3-12.

38. The dimer of claim 29 wherein said linker is a trifunctional linker.

39. A method for modulating a vitamin  $B_{12}$  receptor, comprising administering an effective amount of a receptor modulating agent to a warm-blooded animal such that a vitamin  $B_{12}$  receptor is modulated, said receptor modulating agent comprising a vitamin  $B_{12}$  molecule coupled to a rerouting moiety.

40. The method of claim 39 wherein said  $B_{12}$  molecule is coupled to said rerouting moiety by a linker.

41. The method of claim 40 wherein said linker is at least 4 atoms in length.

42. The method of claim 41 wherein said linker is 6 to 20 atoms in length.

# NEPTUNE GENERICS 1002 - 00268 APOTEX 1002 - 0268

#### PCT/US95/04404

43. The method of claim 42 wherein said linker is 12 atoms in length.

44. The method of claim 40 wherein said linker includes at least one amino group.

45. The method of claim 44 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

46. The method of claim 44 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkylaryls, and diaminoalkanes.

47. The method of claim 44 wherein said linker is selected from the group consisting of  $-NH(CH_2)_xNH$ - wherein x = 2-20.

48. The method of claim 44 wherein said linker is selected from the group consisting of  $-NH(CH_2)_yCO$ , wherein y = 3-12.

49. The method of claim 40 wherein said linker is coupled to said rerouting moiety through a coupling site on said vitamin  $B_{12}$  derivative selected from the group consisting of *b*-, *d*- and *e*-.

50. The method of claim 49 wherein said linker is coupled through a coupling site selected from the group consisting of d- and e- coupling sites.

51. The method of claim 40 wherein said linker is coupled to a ribose coupling site on said vitamin  $B_{12}$  molecule.

52. The method of claim 40 wherein said linker is a trifunctional linker.

53. The method of claim 39 wherein said rerouting moiety is selected from the group consisting of lysosomotropic moieties, intracellular polymerizing moieties, peptide sorting sequences, conditional membrane binding peptides and bi- or multi-valent receptor cross-linking moieties membrane anchors.

# NEPTUNE GENERICS 1002 - 00269 APOTEX 1002 - 0269

54. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by redirecting an agent/receptor complex.

55. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by cross-linking one or more receptors.

56. The method of claim 55 wherein said receptor modulating agent is a vitamin  $B_{12}$  dimer.

57. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by anchoring a receptor in a cell membrane.

58. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by retaining an agent/receptor complex in an endosome.

59. The method of claim 39 wherein said rerouting moiety is a lysosomotropic moiety selected from the group consisting of gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin ribostamycin butirosin, and streptomycin.

60. The method of claim 39 wherein said rerouting moiety is an intracellular polymerizing moiety selected from the group consisting of dipeptide esters and leucine zippers.

61. The method of claim 39 wherein said rerouting moiety is a peptide sorting sequence selected from the group consisting of endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides and clathrin-binding peptides.

62. The method of claim 52 wherein said rerouting moiety is a conditional membrane binding peptide selected from the group consisting of charged glutamate, aspartate, and histidine.

63. The method of claim 56 wherein said vitamin  $B_{12}$  dimer is comprised of a first and a second vitamin  $B_{12}$  molecule coupled through a coupling site independently selected from the group consisting of coupling sites *a-g*, coupling site h, and coupling site i.

# NEPTUNE GENERICS 1002 - 00270 APOTEX 1002 - 0270

75

64. The method of claim 63 wherein said first and second vitamin  $B_{12}$  molecules are coupled through a coupling site independently selected from the group consisting of *d*- and *e*- coupling sites on said first and said second vitamin  $B_{12}$  molecule.

65. The method of claim 63 wherein at least one of said first and said second vitamin  $B_{12}$  molecules is a vitamin  $B_{12}$  derivative.

66. The method of claim 65 wherein said first and second  $B_{12}$  molecules are coupled through at least one linker.

67. The method of claim 66 wherein said linker is at least 4 atoms in length.

68. The method of claim 67 wherein said linker is about 10 to 55 atoms in length.

69. The method of claim 68 wherein said linker is 35 to 45 atoms in length.

70. The dimer of claim 66 wherein said linker includes at least one amino group.

71. The dimer of claim 70 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

72. The dimer of claim 70 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkyls, diaminoheteroalkylaryls, and diaminoalkanes.

73. The dimer of claim 70 wherein said linker is selected from the group consisting of  $-NH(CH_2)_xNH$ - wherein x = 2-20.

74. The dimer of claim 70 wherein said linker is selected from the group consisting of  $-NH(CH_2)_yCO$ , wherein y = 3-12.

# NEPTUNE GENERICS 1002 - 00271 APOTEX 1002 - 0271

75. The dimer of claim 66 wherein said linker is a trifunctional linker.

76. The method of claim 75 wherein a reactive site on said trifunctional linker is coupled to a biotin molecule.

77. The method of claim 39 wherein said vitamin  $B_{12}$  receptor modulation is sufficient to treat a neoplastic disorder.

78. The method of claim 77 wherein said neoplastic disorder is selected from the group consisting of leukemia, sarcoma, myeloma, carcinoma, neuroma, melanoma, cancers of the lung, liver, breast, brain, colon, cervix, prostrate, Hodgkin's disease, and non-Hodgkin's lymphoma.

79. A method for regulating a biological response associated with a cell surface receptor, comprising administering an effective amount of a receptor modulating agent to a warm-blooded animal such that a biological response is regulated.

80. A vitamin  $B_{12}$  derivative comprising a vitamin  $B_{12}$  molecule coupled to a biotin molecule.

81. The vitamin  $B_{12}$  derivative of claim 80 wherein said vitamin  $B_{12}$  molecule is cyanocobalamin.

82. The vitamin  $B_{12}$  derivative of claim 80 wherein said vitamin  $B_{12}$  molecule is coupled to said biotin molecule by a linker.

83. The vitamin  $B_{12}$  derivative of claim 82 wherein said linker is at least 4 atoms in length.

84. The vitamin  $B_{12}$  derivative of claim 83 wherein said linker is 6 to 20 atoms in length.

85. The vitamin  $B_{12}$  derivative of claim 84 wherein said linker is 12 atoms in length.

86. The vitamin  $B_{12}$  derivative of claim 82 wherein said linker includes at least one amino group.

87. The vitamin  $B_{12}$  derivative of claim 86 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

88. The vitamin  $B_{12}$  derivative of claim 86 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkyls, diaminoheteroalkylaryls, and diaminoalkanes.

89. The vitamin  $B_{12}$  derivative of claim 86 wherein said linker is selected from the group consisting of -NH(CH<sub>2</sub>)<sub>x</sub>NH- wherein x = 2-20.

90. The vitamin  $B_{12}$  derivative of claim 87 wherein said linker is selected from the group consisting of -NH(CH<sub>2</sub>)<sub>v</sub>CO-, wherein y = 3-12.

91. The vitamin  $B_{12}$  derivative of claim 82 wherein said linker is coupled to said rerouting moiety through a coupling site on said vitamin  $B_{12}$  derivative selected from the group consisting of *b*-, *d*- and *e*-.

92. The vitamin  $B_{12}$  derivative of claim 91 wherein said linker is coupled through a coupling site selected from the group consisting of *d*- and *e*- coupling sites on said vitamin  $B_{12}$  molecule.

93. The vitamin  $B_{12}$  derivative of claim 82 wherein said linker is coupled to a ribose coupling site on said vitamin  $B_{12}$  molecule.

94. The receptor modulating agent of claim 82 wherein said linker is a trifunctional linker.

95. The vitamin  $B_{12}$  derivative of claim 80 wherein said biotin is additionally coupled to a rerouting moiety.

96. The vitamin  $B_{12}$  derivative of claim 95 wherein said biotin is coupled to said rerouting moiety by a biotin binding protein.

97. The vitamin  $B_{12}$  derivative of claim 96 wherein said biotin binding protein is selected from the group consisting of avidin and streptavidin.

98. A complex comprising a vitamin  $B_{12}$  derivative according any one of claims 80 to 97 bound to a transcobalamin II.

99. A kit for determining the presence or amount of transcobalamin in a sample using a vitamin  $B_{12}$  derivative according to any one of claims 80 to 97.

100. A pharmaceutical composition, comprising a vitamin  $B_{12}$  derivative according to any one of claims 80 to 97 and a suitable pharmaceutical carrier or diluent.

101. A receptor modulating agent, comprising a targeting moiety coupled to a rerouting moiety.

102. The receptor modulating agent as in claim 101 wherein said rerouting moiety is selected from the group consisting of lysosomotropic moieties, intracellular polymerizing moieties, peptide sorting sequences, conditional membrane binding peptides and bi- or multi-valent receptor cross-linking moieties.

103. The receptor modulating agent as in claim 101 wherein said targeting moiety is selected from the group consisting of proteins, peptides, and nonproteinacious molecules.

104. The receptor modulating agent as in claim 101 wherein the receptor modulating agent affects a receptor trafficking pathway by redirecting an agent/receptor complex.

105. The receptor modulating agent as in claim 101 wherein said receptor modulating agent affects a receptor trafficking pathway by cross-linking one or more cell surface receptors.

106. The receptor modulating agent as in claim 101 wherein said receptor modulating agent affects a receptor trafficking pathway by anchoring a cell surface receptor in a cell membrane.

# NEPTUNE GENERICS 1002 - 00274 APOTEX 1002 - 0274

107. The receptor modulating agent as in claim 101 wherein said receptor modulating agent affects a receptor trafficking pathway by retaining a receptor in an endosome.

108. The receptor modulating agent as in claim 102 wherein said lysosomotropic moiety is selected from the group consisting of gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin ribostamycin butirosin, and streptomycin.

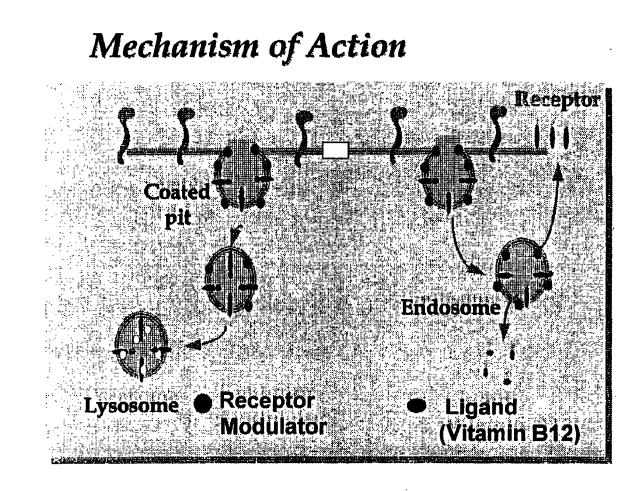
109. The receptor modulating agent as in claim 102 wherein said intracellular polymerizing moiety is selected from the group consisting of dipeptide esters and leucine zippers.

110. The receptor modulating agent as in claim 102 wherein said peptide sorting sequence is selected from the group consisting of endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides and clathrin-binding peptides.

111. The receptor modulating agent as in claim 102 wherein said conditional membrane binding peptide is selected from the group consisting of charged glutamate, aspartate, and histidine.

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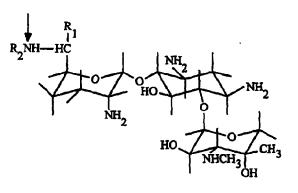




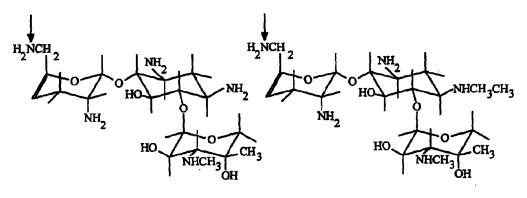
WO 95/27723

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NEPTUNE GENERICS 1002 - 00276 APOTEX 1002 - 0276



Gentamicin $C_1$ :	$R_1 = R_2 = CH_3$
Gentamicin C <sub>2</sub> :	$R_1 = CH_3; R_2 = H$
Gentamicin C <sub>1a</sub> :	$\mathbf{R}_1 = \mathbf{R}_2 = \mathbf{H}$



Sisomicin

Netilmicin

Fig. 2

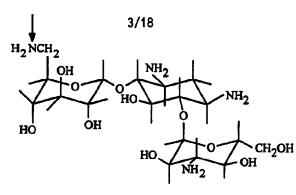
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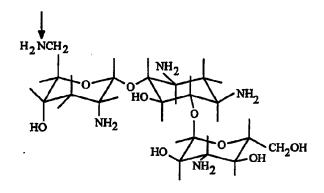
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WO 95/27723

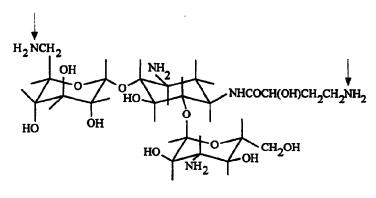
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Kanamycin A



Tobramycin



Amikacin

Fig. 3

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WO 95/27723

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OH

OH

ОН

HOCH

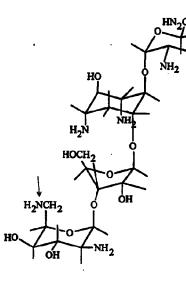
ŃH2

ÓH Ń

H<sub>2</sub>N

HOCH

4/18



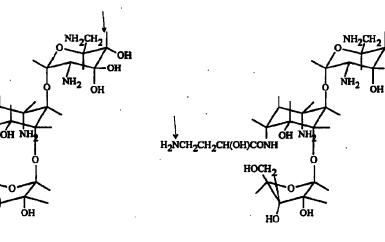


Paromomycin

ÓН

H2NCH2

HO



Ribostamycin

H21

HOCH

НÓ

**Butirosin B** 

Fig. 4

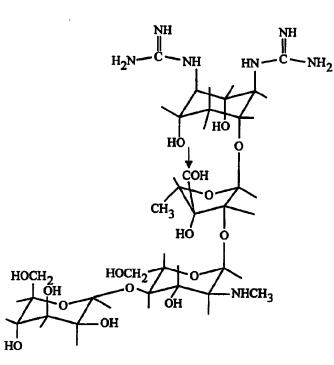
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## APOTEX 1002 - 0279

5/18

Streptomycin A



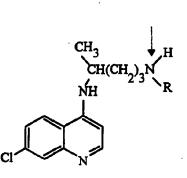
Streptomycin B

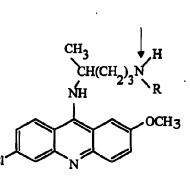
Fig. 5

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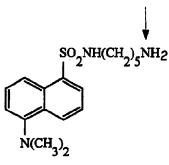
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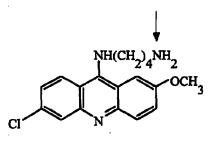
**Chloroquine Derivatives** 

Quinacrine Derivatives



6/18

Dansyl Cadaverine



Amino Acridine

Fig. 6

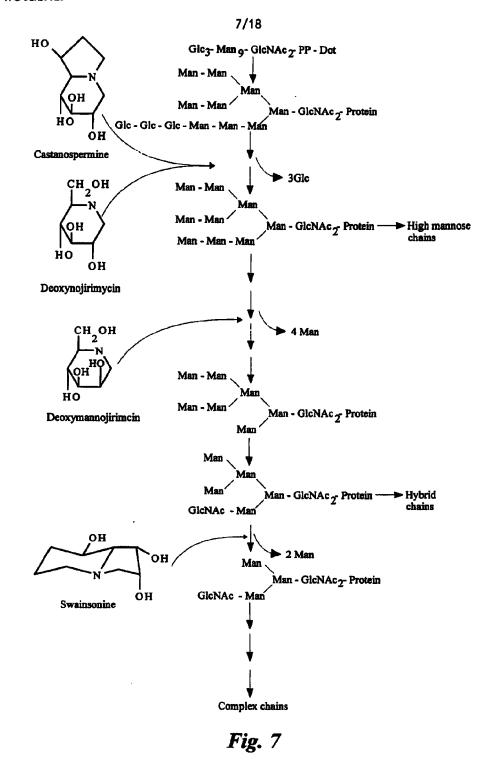
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WO 95/27723

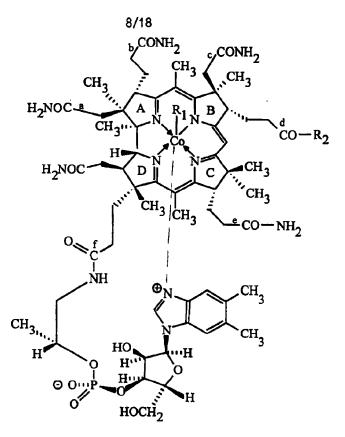
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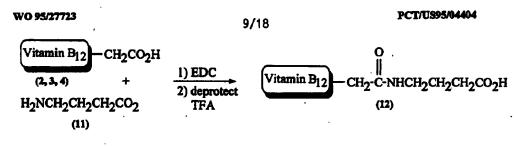


$$\begin{split} R_1 &= CN \ ; \ R_2 &= NH_2 \ (Cyanocobalamin) \\ R_1 &= CN \ ; \ R_2 &= OH \ (Cyanocobalamin -(3)-free acid) \\ R_1 &= CN \ ; \ R_2 &= HN-CH_2-CH_2-CO_2H \ (GABA adduct) \\ R_1 &= CN \ ; \ R_2 &= GABA - Peptide \ (where GABA = linker) \\ R_1 &= CN \ ; \ R_2 &= Peptide \\ R_1 &= CN \ ; \ R_2 &= Peptide \\ R_1 &= CN \ ; \ R_2 &= HN-(linker)-tyramine^{-125}I \\ R_1 &= CN \ ; \ R_2 &= HN-(linker)-lysosomotropic agent \\ R_1 &= CN \ ; \ R_2 &= HN-(linker)-lysosomotropic agent \\ R_1 &= CN \ ; \ R_2 &= HN-(linker)-biotin \\ R_1 &= CN \ ; \ R_2 &= HN-(linker)-biotin \\ R_1 &= CN \ ; \ R_2 &= NH-(CH_2)_{12}NH_2 \end{split}$$

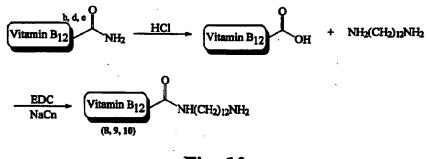
*Fig.* 8

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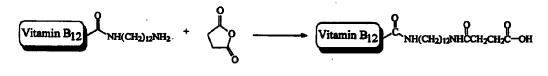
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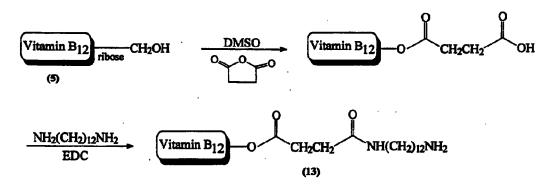
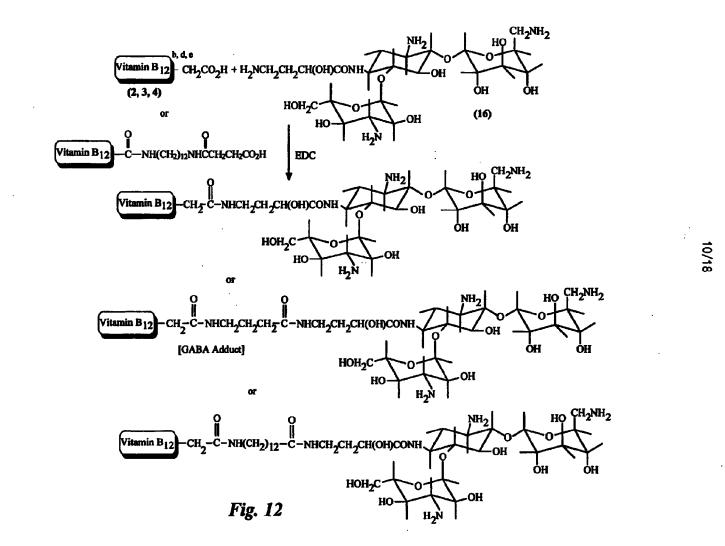


Fig. 11

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## APOTEX 1002 - 0284



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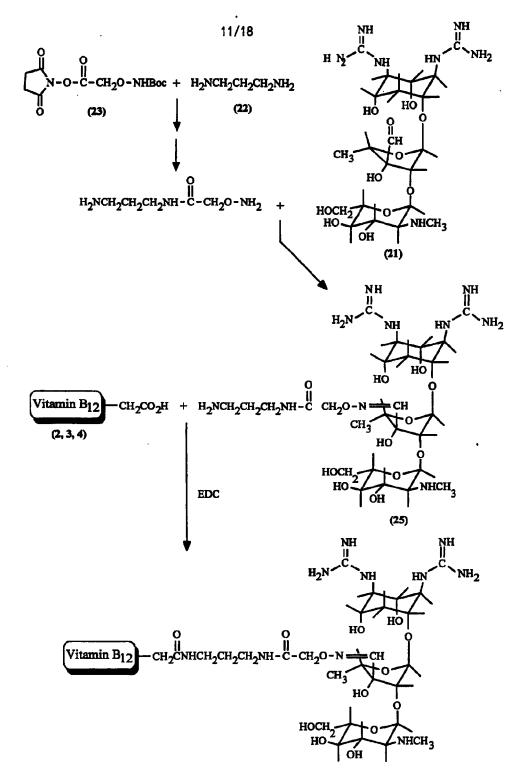
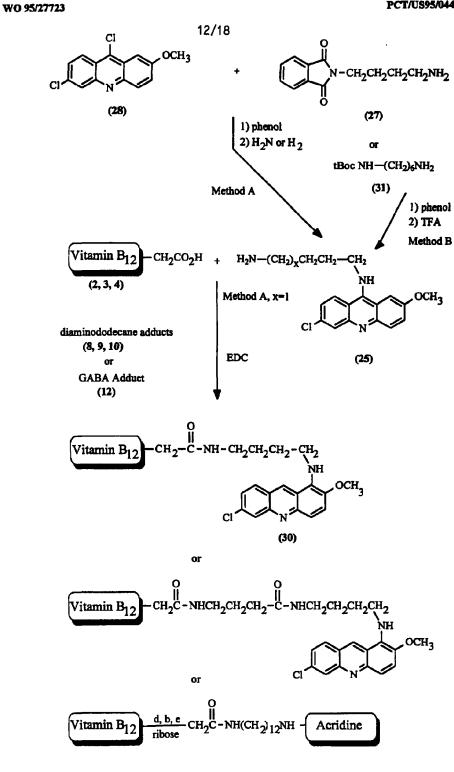


Fig. 13

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PCT/US95/04404



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Fig. 14

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PCT/US95/04404

WO 95/27723

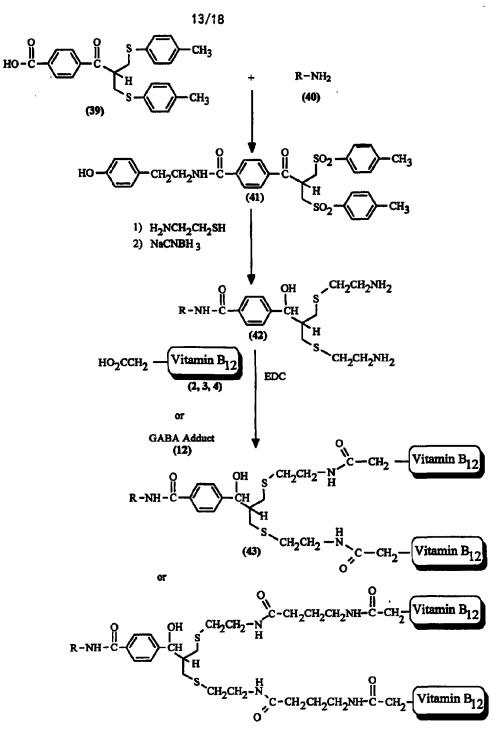


Fig. 15

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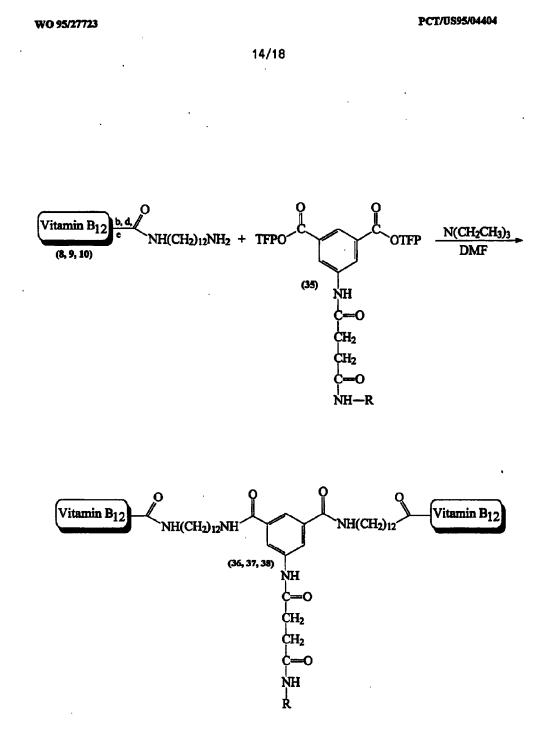


Fig. 16

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## APOTEX 1002 - 0289

WO 95/27723

PCT/US95/04404



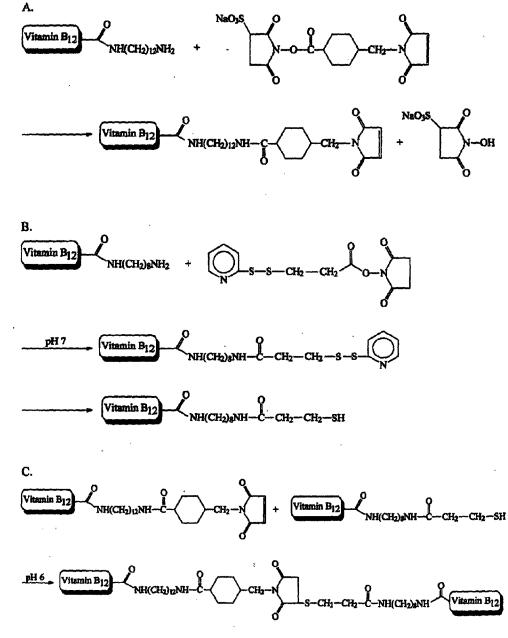
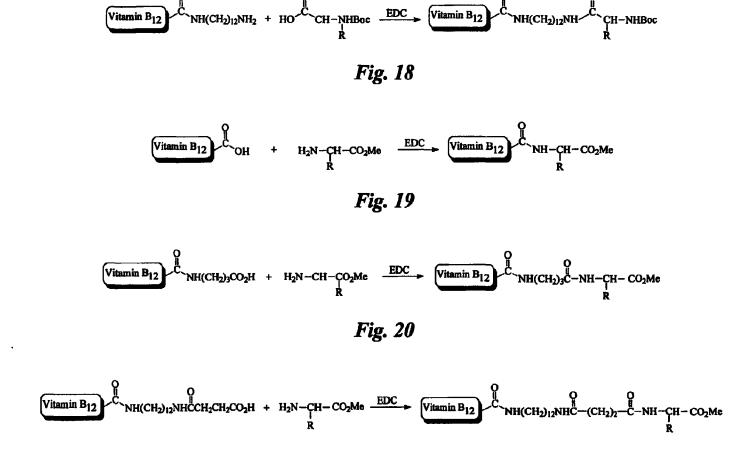


Fig. 17

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APOTEX 1002 - 0290



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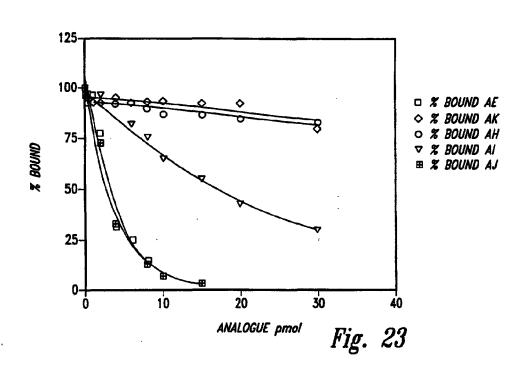


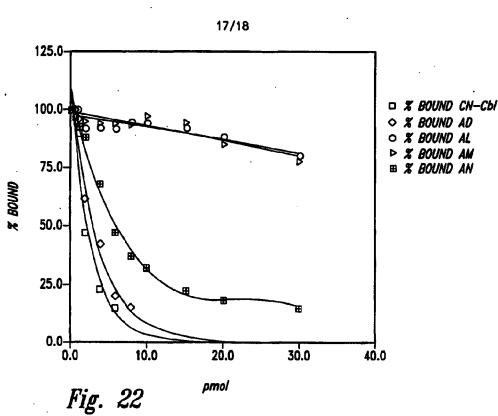
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# SUBSTITUTE SHEET (RULE 26)



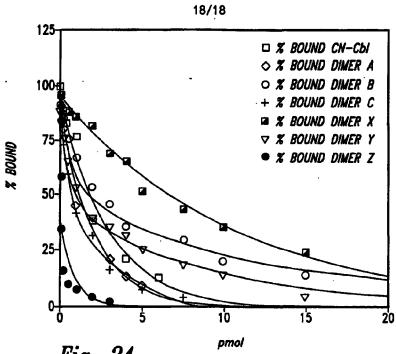


WO 95/27723

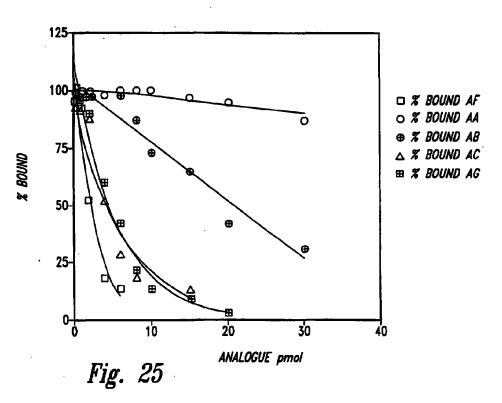
PCT/US95/04404

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PCT/US95/04404







SUBSTITUTE SHEET (RULE 26)

NEPTUNE GENERICS 1002 - 00293

Interr al Application No PCT/US 95/04404

	CO7H23/00 GO1N33/82 A61K31/		
	to International Patent Classification (IPC) or to both national class \$ \$EARCHED	incation and IPC	· · · · · · · · · · · · · · · · · · ·
	documentation surched (classification system followed by classifica CO7H GO1N A61K	ilon symbols)	
	tion searched other than minimum documentation to the extent that data base committed during the international search (name of data ba		izrobed.
C. DOCUM	AENTS CONSIDERED TO BE RELEVANT		
Calegory*	Citation of document, with indication, where appropriate, of the r	tiovant pessaget	Relevant to claim No.
٨	EP,A,O 425 680 (TEIJIN LTD) 8 Mag	y 1991	1,26,39, 79,80, 101
	see page 3 - page 5		
A	EP,A,O 069 450 (TECHNICON INSTR) January 1983	12	1,26,39, 79,80, 101
	see example		
٨	US,A,4 167 556 (SELHUB JACOB ET / September 1979	L) 11	1,26,39, 79,80, 101
	see the whole document		
Purt	her documents are listed in the continuation of box C.	X Patent family members are listed i	n annez.
"A" docum consid "E" earisr filing "L' docum which citato "O" docum	ent which may throw doubt on priority claim(s) or is cited to establish the publication date of another a or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or	<ul> <li>T" later document published after the interest or priority date and not in conflict will cited to understand the principle or the investigation</li> <li>*X' document of particular relevance; the cannot be considered novel or cannot involve an inventive any when the do</li> <li>*Y' document of particular relevance; the cannot be considered to involve an inventive any means, such ecombination being obviou in the art.</li> <li>*A' document member of the same patent</li> </ul>	h the application but scory underlying the claimed invention be considered to cument is taken alone claimed invention eventive stop when the ore other such docu- us to a person skilled
	actual completion of the international search	Date of mailing of the international sea	
	August 1995	1 8, 08, 95	-
Name and 1	mailing address of the ISA Buropean Patent Office, P.B. 5818 Patentiaen 2 NL - 2280 HV Rijswijk Td. (+ 31-70) 340-2040, Tz. 31 651 epo nl, Patz (+ 31-70) 340-3016	Authorized officer Moreno, C	

Form PCT/ISA/218 (second sheet) (July 1992)

<u>.</u> 1

### NEPTUNE GENERICS 1002 - 00294

		and the second se
		national application No.
	INTERNATIONAL SEARCH REPORT	PCT/US 95/ 04404
Box I	Observations where certain claims were found unsearchable (Continuation of	f item 1 of first sheet)
This int	ernational search report has not been established in respect of certain claims under Ar	ticle 17(2)(a) for the following reasons:
ι. <b>Χ</b>	Claims Nos.: 39-69, 77-79 because they relate to subject matter not required to be searched by this Authority, s	•
	Remark: Although claims 39-69,77-79 are directed to	
	of treatment of the human/animal body, the search l carried out and based on the alleged effects of the composition.	
2.	Claims Nos.: because they relate to parts of the international application that do not comply with i an extent that no meaningful international search can be carried out, specifically:	the prescribed requirements to such
3. 🗌	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second	and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of fi	rst sheet)
This Int	rnational Searching Authority found multiple inventions in this international applicat	on, as follows:
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1.	As all required additional search fees were timely paid by the applicant, this internatic searchable claims.	onai search report covers all
		1
2.	As all searchable claims could be searches without effort justifying an additional fee, of any additional fee.	this Authority did not invite payment
3.	As only some of the required additional search fees were timely paid by the applicant covers only those claims for which fees were paid, specifically claims Noz.:	, this international search report
4. 🗌	No required additional search fees were timely paid by the applicant. Consequently, t restricted to the invention first mentioned in the claims; it is covered by claims Nos.:	his international search report is
		2
Remark	on Protest The additional search fees were a	ccompanied by the applicant's protest.
	No protest accompanied the payr	nent of additional search fees.

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Form PCT/ISA/210 (continuation of first sheet (1)) (July 1992)

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NEPTUNE GENERICS 1002 - 00295

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Patent document	Publication	Paten	t family iber(s)	95/04404 Publicatio
cited in search report	date			date
EP-A-0425680	08-05-91	JP-A-	2289597	29-11-90
		WO-A- US-A-	9010014 5405820	07-09-90
	و چا چا باز بارد ها کا کا کا کا خاند ها برای کا ک	US-A-	5405839	11-04-95
EP-A-0069450	12-01-83	CA-A-	1180273	01-01-85
		JP-C-	1848006	07-06-94
		JP-A-	58000997	06-01-83
ه خذ برا، زیر چراری رگ آنه افار خذ خد عند چراری رو برو انه		US-A-	4465775	14-08-84
US-A-4167556	11-09-79	US-A-	4273757	16-06-81
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### INTERNATIONAL SEARCH REPORT

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NEPTUNE GENERICS 1002 - 00296

### APOTEX 1002 - 0296

Electronic Patent Application Fee Transmittal					
Application Number:	11	11776329			
Filing Date:	11.	Jul-2007			
Title of Invention:	NC	NOVEL ANTIFOLATE COMBINATION THERAPIES			
First Named Inventor/Applicant Name:	Cle	Clet Niyikiza			
Filer:	Joł	John A. Cleveland/Lisa Capps			
Attorney Docket Number:	X1	X14173B			
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees	under 35 USC 111(a) Filing Fees				
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

## NEPTUNE GENERICS 1002 - 00297 APOTEX 1002 - 0297

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Total in USD (\$)		180	

Electronic Acl	Electronic Acknowledgement Receipt				
EFS ID:	5267473				
Application Number:	11776329				
International Application Number:					
Confirmation Number:	6568				
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES				
First Named Inventor/Applicant Name:	Clet Niyikiza				
Customer Number:	25885				
Filer:	John A. Cleveland/Lisa Capps				
Filer Authorized By:	John A. Cleveland				
Attorney Docket Number:	X14173B				
Receipt Date:	04-MAY-2009				
Filing Date:	11-JUL-2007				
Time Stamp:	13:51:11				
Application Type:	Utility under 35 USC 111(a)				

## Payment information:

Submitted with Payment	yes			
Payment Type	Deposit Account			
Payment was successfully received in RAM	\$180			
RAM confirmation Number	8339			
Deposit Account	050840			
Authorized User				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:				
Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)				
Charge any Additional Fees required under 37 C.F.R. Se	ction 1.21 (Miscellaneous fees and charges)			

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		X14173BResponsetoOfficeActio	107974	yes	6
		n.pdf	106bca2524c0b2c6d33ab602593f6d4a0a2 76cec	yes	
	Multip	art Description/PDF files in .	zip description		
	Document Des	scription	Start	E	nd
	Amendment/Req. Reconsideration-After Non-Final Reject		1		1
-	Claims		2		3
-	Applicant Arguments/Remarks	Made in an Amendment	4		5
Warnings:			, 1		
Information:					
2	Transmittal Letter	X14173BIDS.pdf	63433	no	2
2	Hansmittal Ectter	хтчтузывз.раг	42c011576465e495e84cf4c5df64867c6d18 50fc		
Warnings:					
Information:					
3	Information Disclosure Statement (IDS) Filed (SB/08)	X14173BForm1449.pdf	94780 3d372e423f79d7a9748d724bad969515d7a	no	2
Warnings:			1e065		
Information:					
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			4670342		
4	Foreign Reference	X14173B_BA.pdf	0a1d5705afaa4d5cc80d0b2a46103cfa7f97 8318	no	102
Warnings:			I <u> </u>		
Information:					
5		V14172D CAndf	489414		
5	NPL Documents	X14173B_CA.pdf	5067а69ab4fa754dbf578136f1ee3b9ce319 7b78	no	5
Warnings:					
Information:					
6	NPL Documents	X14173B_CB.pdf	343042	no	4
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Warnings:	· · · · · · · · · · · · · · · · · · ·				

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8	NPL Documents	X14173B_CD.pdf	412088 9fc7805d20162418a566b54a310241a0c25 37b58	no	6
Warnings:		1			1
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9	NPL Documents	X14173B_CE.pdf	986409	no	4
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10	NPL Documents	X14173B_CF.pdf	492089	no	7
			7caf2773b9a8fbefee633ca53cc54931278f0 eU1		
Warnings:					
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11	NPL Documents	X14173B_CG.pdf	474729	no	5
			f303b2797fa32ede58b67368d302f5458916 e167		
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12	NPL Documents	X14173B_CH.pdf	805699	no	7
			806647df60ac62d65838b3ca717c87b61b5 0223d		
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13	NPL Documents	X14173B_CI.pdf	355708	no	5
			3284f4e625743e3617a825b45947ea8c2d1 95aa0		
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			d888574719ea1e5c023836b7d78c99613dc e8d6a		
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NPL Documents

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Information			1				
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17	NPL Documents	X14173B_CM.pdf	0eb5f04c1a31507c60c8135318ef8f00364e 027c	no	4		
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Total Files Size (in bytes): 12675736							
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.           National Stage of an International Application under 35 U.S.C. 371           If a timely submission to enter the national stage of an international application is compliant with the conditions of 35           U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.           New International Application is being filed and the international application includes the necessary components for an international application is being filed and the titernational application includes the necessary components for an international application seen filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.							

PTO/SB/06 (07-06) Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

P/	Under the Pa	ICATION FI	EE DETI	ERMINATION	id to			ess it dis Fili		OMB control number.	
		Substitute f	or Form P	10-875			11/17	0,329	011	172007	
	AF	PPLICATION	AS FILE (Column 1		Column 2)		SMALL	ENTITY	OR		HER THAN
	FOR		NUMBER FI	_ED NUM	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c))			N/A		N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), (i)		N/A		N/A		N/A			N/A	
Ш	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A			N/A	
	AL CLAIMS CFR 1.16(i))		mir	nus 20 = *			x \$ =		OR	X\$ =	
	EPENDENT CLAIM CFR 1.16(h))	s	m	inus 3 = *			X\$ =			X \$ =	
	APPLICATION SIZE 37 CFR 1.16(s))	FEE she is \$ add 35 t	ets of pap 250 (\$125 itional 50 J.S.C. 41(	ation and drawing er, the applicatio for small entity) sheets or fractior a)(1)(G) and 37	n size fee due for each n thereof. See						
* 15 4							TOTAL			TOTAL	
" IT 1	he difference in colu						TOTAL			TOTAL	
	APPI	(Column 1)	SAMENL	)ED – PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	05/04/2009	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	additional Fee (\$)
<b>BM</b>	T <b>otal</b> (37 CFR 1.16(i))	* 23	Minus	** 20	= 3		X \$ =		OR	X \$52=	156
Ľ	Independent (37 CFR 1.16(h))	* 1	Minus	***3	= 0		X \$ =		OR	X \$220=	0
AM	Application Si	ze Fee (37 CFR	1.16(s))								
					OR						
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	156
		(Column 1)		(Column 2)	(Column 3)				•		
Ь		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ENT	Total (37 CFR 1.16(I))	*	Minus	**	=		X \$ =		OR	X \$ =	
AMENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
μ Π	Application Si	Application Size Fee (37 CFR 1.16(s))									
AN		TATION OF MULT	PLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR		
TOTAL TOTAL ADD'L OR ADD'L FEE FEE											
** If *** I The	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. his collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to										

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including agthering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**NEPTUNE GENERICS 1002 - 00303 APOTEX 1002 - 0303**  Document code: WFEE

United States Patent and Trademark Office Sales Receipt for Accounting Date: 06/26/2009

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NEPTUNE GENERICS 1002 - 00304 APOTEX 1002 - 0304



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568
25885 ELI LILLY & (	7590 02/18/200 COMPANY	9	EXAN	IINER
PATENT DIVI P.O. BOX 6288			WEDDINGTO	DN, KEVIN E
	, IS, IN 46206-6288		ART UNIT	PAPER NUMBER
			1614	
			NOTIFICATION DATE	DELIVERY MODE
			02/18/2009	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)					
	11/776,329	NIYIKIZA ET AL.					
Office Action Summary	Examiner	Art Unit					
	Kevin E. Weddington	1614					
The MAILING DATE of this communication app Period for Reply	-	correspondence address					
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>							
Status							
1) Responsive to communication(s) filed on <u>09 December 2008</u> .							
	action is non-final.						
3) Since this application is in condition for allowa		osecution as to the merits is					
closed in accordance with the practice under E							
Disposition of Claims							
4) Claim(s) <u>40-52</u> is/are pending in the application							
4a) Of the above claim(s) is/are withdraw	wn from consideration.						
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>40-52</u> is/are rejected.							
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/o	r election requirement.						
Application Papers							
9) The specification is objected to by the Examine	r						
10) The drawing(s) filed on is/are: a) acc		Examinar					
Applicant may not request that any objection to the							
Replacement drawing sheet(s) including the correct	• • • •						
11) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action of form P10-152.					
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:	priority under 35 U.S.C. § 119(a	)-(d) or (f).					
1. Certified copies of the priority document	s have been received						
2. Certified copies of the priority document		ion No					
3. Copies of the certified copies of the priority document							
application from the International Bureau	•	ed in this National Stage					
* See the attached detailed Office action for a list of the certified copies not received.							
Attachment(s)							
1) X Notice of References Cited (PTO-892)	4) 🔛 Interview Summary Paper No(s)/Mail D						
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08)	5) Notice of Informal F						
Paper No(s)/Mail Date <u>7-11-07</u> .	6) 🗌 Other:	<b>••</b>					
L U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06) Office Ad	tion Summary Pa	art of Paper No./Mail Date 20090211					

## NEPTUNE GENERICS 1002 - 00306 APOTEX 1002 - 0306

Claim 40-52 are presented for examination.

Applicants' preliminary amendment filed December 9, 2008; and the information

disclosure statement filed July 11, 2007 have been received and entered.

#### Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 45 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

This is a written description rejection.

A lack of adequate written description issue arises if the knowledge and level of skill in the art would not permit one skilled in the art to immediately envisage the product claimed from the disclosed process. See, e.g., Fujikawa v. Wattanasin, 93 F.3d 1559, 1571, 39 USPQ2d 1895, 1905 (Fed. Cir. 1996) (a "laundry list" disclosure of every possible moiety does not constitute a written description of every species in a genus because it would not "reasonably lead" those skilled in the art to any particular species); In re Ruschig, 379 F.2d 990, 995, 154 USPQ 118, 123 (CCPA 1967).

An applicant may also show that an invention is complete by disclosure of sufficiently detailed, relevant identifying characteristics which provide evidence that

applicant was in possession of the claimed invention, i.e., complete or partial structure, other physical and/or chemical properties, functional characteristics when coupled with a known or disclosed correlation between function and structure, or some combination of such characteristics.

In particular, the specification as original filed fails to provide sufficient written bases of any of the agents demonstrating wherein possession of use of the broad term: **a folicbinding-protein agent**. The mere fact that Applicant may have discovered one type of folic-binding-protein agent is combined with the composition comprising pemetrexed disodium and a methylmalonic acid lowering agent is not sufficient to claim the entire genus.

The written description requirement for a claimed genus may be satisfied through sufficient description of a representative number of species by actual reduction to practice, reduction to drawings, or by disclosure of relevant, identifying characteristics, i.e., structure or other physical and/or chemical properties, by functional characteristics coupled with a known or disclosed correlation between function and structure, or by a combination of such identifying characteristics, sufficient to show the applicant was in possession of the claimed genus. See Eli Lilly, 119 F.3d at 1568, 43 USPQ2d at 1406.

A "representative number of species" means that the species which are adequately described are representative of the entire genus. Thus, when there is substantial variation within the genus, one must describe a sufficient variety of species to reflect the variation within the genus. The disclosure of only one species encompassed within a genus adequately describes a claim directed to that genus only if

the disclosure "indicates that the patentee has invented species sufficient to constitute the gen[us]."

Claim 45 is not allowed.

### Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 40-52 are rejected under 35 U.S.C. 112, second paragraph, as being

indefinite for failing to particularly point out and distinctly claim the subject matter which

applicant regards as the invention.

Claim 40 is rendered indefinite because the phrase "methylmalonic acid", located

in line 9. The Examiner thinks the applicants left out some important words such as

"lowering agent". The remaining claims 41-52 are rendered indefinite to the extent that

they incorporate the above terminology.

Claims 40-52 are not allowed.

#### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148

USPQ 459 (1966), that are applied for establishing a background for determining

obviousness under 35 U.S.C. 103(a) are summarized as follows:

NEPTUNE GENERICS 1002 - 00309 APOTEX 1002 - 0309

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 40-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (5,344,932) of PTO-1449 in view of Poydock et al., IRCS Medical Science, Vol. 12, No. 9, pp. 813 (1984) of PTO-1449, further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3235-3239 of PTO-1449, and further in view of Cleare et al. (4,149,707).

Taylor teaches N-(pyrrolo(2,3-D)pyrimidin-3-ylacyl)-glutamic acid derivatives which includes LY 2315 (pemetrexe) and LY 231514-disodium, (pemetrexed disodium) are effective as antineoplastic agents to inhibit the growth of tumors (see column 8, lines 57-63). Note particularly column 8, lines 64-68 states that other antineoplastic agents can be combined with LY 231514. Note particularly column 9, line 1 shows the various modes of administration such as parenteral routes (intramuscular) and oral.

### NEPTUNE GENERICS 1002 - 00310 APOTEX 1002 - 0310

The instant invention differs from the cited reference in that the cited reference does not teach the addition of a methylmalonic acid lowering agent . However, the secondary reference, Poydock et al., teaches a methylmalonic acid lowering agent such as hydroxocobalamin is effective by inhibiting tumors implanted in mice (see the abstract).

The instant invention differs from the cited references in that the cited references do not teach the addition of a folic-binding-protein agent. However, the tertiary reference, Worzalla et al., teaches the supplementation of folic acid with LY 231514 to enhance LY 231514 antitumor activity.

The instant invention differs from the cited references in that the cited references do not teach the addition of cisplatin. However, the quaternary reference, Cleare et al., teaches malonato platinum anti-tumor compounds such as cisplatin to treat malignant tumors (see the abstract).

Clearly, one skilled in the art would have assumed the combination of three antineoplastic agents into a single composition would give an additive effect in the absence of evidence to the contrary.

The instant invention differs from the cited references in that the cited references do not teach the applicants' preferred dosage range for the methylmalonic acid lowering agent. However, those skilled in the art would have been readily optimized effective dosages and concurrent administration dosage forms as determined by good medical practice and the clinical condition of the individual patient. Regardless of the manner of administration, the specific dose may be calculated according to body weight, body

### NEPTUNE GENERICS 1002 - 00311 APOTEX 1002 - 0311

surface area or organ size. Further refinement of the calculations necessary to determine the appropriate dosage for treatment involving each of the above mentioned formulations is routinely made by those skilled in the art and is within the ability of tasks routinely performed by them without undue experimentation.

Claims 40-52 are not allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin E. Weddington whose telephone number is (571)272-0587. The examiner can normally be reached on 12:30 pm-9:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin Marschel can be reached on (571)272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> Kevin E. Weddington Primary Examiner Art Unit 1614

/Kevin E. Weddington/ Primary Examiner, Art Unit 1614

Notice of References Cited	Application/Control No. 11/776,329	Applicant(s)/Patent Under Reexamination NIYIKIZA ET AL.		
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	Kevin E. Weddington	1614	Page 1 of 1	

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U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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NEPTUNE GENERICS 1002 - 00314 APOTEX 1002 - 0314



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### **BIB DATA SHEET**

#### **CONFIRMATION NO. 6568**

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Search Notes	11776329	NIYIKIZA ET AL.
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514	77	2/11/09	KEW
514	249	2/11/09	KEW
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SEARCH NOTES		
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Sheet 1 of 2

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Sheet 2 of 2

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CN Citoplatino CN CPDC CN CPDD CN CPPD CN DDP CN DDP (antitumor agent) CN Fauldiscipla Lederplatin CN Lipoplatin CN CN Neoplatin NSC 119875 CN CN Platamine CN Platiblastin Platidiam CN CN Platinex Platinol CN CN Platinol AQ Platinoxan CN CN Platistin CN Platosin CN Rand ADDITIONAL NAMES NOT AVAILABLE IN THIS FORMAT - Use FCN, FIDE, or ALL for DISPLAY DR 936542-99-3, 96081-74-2 MF C12 H6 N2 Pt СT CCS, COM IN Files: ADISINSIGHT, ADISNEWS, AGRICOLA, ANABSTR, AQUIRE, BIOSIS, BIOTECHNO, CA, CAPLUS, CASREACT, CBNB, CHEMCATS, CHEMLIST, CIN, CSCHEM, CSNB, DDFU, DRUGU, EMBASE, GMELIN\*, HSDB\*, IFICDB, IFIPAT, IFIUDB, IMSPATENTS, IMSPRODUCT, IMSRESEARCH, IPA, MEDLINE, MRCK\*, MSDS-OHS, PATDPASPC, PHAR, PIRA, PROMT, PROUSDDR, PS, RTECS\*, SYNTHLINE, TOYCENTER USANT, USDATE, USDATEUL, VETU LC STN Files: TOXCENTER, USAN, USPAT2, USPATFULL, VETU (\*File contains numerically searchable property data) Other Sources: EINECS\*\*, NDSL\*\*, TSCA\*\*, WHO (\*\*Enter CHEMLIST File for up-to-date regulatory information) NH 3 -C1 - Pt --C1-ŇНЗ. \*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\* 23661 REFERENCES IN FILE CA (1907 TO DATE) 755 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA 23758 REFERENCES IN FILE CAPLUS (1907 TO DATE) => file merck COST IN U.S. DOLLARS SINCE FILE TOTAL ENTRY SESSION FULL ESTIMATED COST 7.88 8.32 FILE 'MRCK' ENTERED AT 18:13:09 ON 11 FEB 2009 COPYRIGHT (C) 2009 Merck & Co., Inc., Whitehouse Station, New Jersey, USA. All Rights Reserv FILE COVERS FROM LATE 19TH CENTURY TO PRESENT. LAST UPDATE: AUGUST 2008 THE MERCK INDEX ONLINE is a service mark of Merck & Co., Inc., Whitehouse Station, NJ, USA and is registered in the United States Patent and Trademark Office. => s 12 L2 NOT FOUND The L-number entered has not been defined in this session, or it has been deleted. To see the L-numbers currently defined in this session, enter DISPLAY HISTORY at an arrow prompt (->).

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#### **NEPTUNE GENERICS 1002 - 00323**

### **APOTEX 1002 - 0323**

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ANSWER 1 OF 1 MRCK COPYRIGHT (C) 2009 Merck and Co., Inc., L2 Whitehouse Station, New Jersey, USA. All rights reserved. on STN MERCK Number (MNO): 1402317 (RN): 15663-27-1 CAS Registry No. MERCK Index Name (MIN): Cisplatin (CN): (SP-4-2)-Diamminedichloroplatinum (CN): Cis-diamminedichloroplatinum; Cis-platinum II; Cis-DDP; CA Index Name Svnonvm(s) CACP; CPDC; DDP Drug Code(s) (CN): NSC-119875 (CN): Blastolem (Lemery); Briplatin (Bristol-Myers Squibb Trade Name(s) Co.; BMS); Cisplatyl (Sanofi-Aventis Group; Sanofi-Aventis); Neoplatin (Bristol-Myers Squibb Co.; BMS); Platamine (Pfizer, Inc.; Pfizer); Platinex (Bristol-Myers Squibb Co.; BMS); Platiblastin (Pfizer, Inc.; Pfizer); Platinol (Bristol-Myers Squibb Co.; BMS); Platosin (Pharmachemie); Randa (Nippon Kayaku Co., Ltd.; Nippon Kayaku) File Segment. (FS): Active Monographs Molecular Form. (MF): C12 H6 N2 Pt Wgt Composition (COMP): C1 23.63%, H 2.02%, N 9.34%, Pt 65.02%. Molecular Weight (MW): 300.05 (RE): Antitumor platinum coordination complex. Originally References known as Peyrone's salt or Peyrone's chloride; of interest in the development of coordination theory. Prepri M. Peyrone, Ann. 51, 1 (1845); G. B. Kauffman, D. O. Cowan, Inorg. Synth. 7, 239 (1963); S. C. Dhara, Indian J. Chem. 8, 193 (1970). Early structural studies: R. Werner, Z. Anorg. Chem. 3, 267 (1893); H. D. K. Drew et al., J. Chem. Soc. 1932, 988. Discovery of anti-tumor activity: B. Rosenberg et al., Nature 205, 698 (1965); 222, 385 (1972). Use as neoplasm inhibitor: M. L. Tobe et al., DE 2318020 (1972 to Rustenburg Platinum Mines Ltd.), C.A. 80, 55897e (1974); M. J. Cleare et al., DE 2329485 (1972 to Research Corp.), C.A. 81, 21172v (1974). X-ray structure of cisplatin-DNA adduct: S. E. Sherman et al., Science 230, 412 (1985). Inhibition of in vitro DNA synthesis: A. L. Pinto, S. J. Lippard, Proc. Natl. Acad. Sci. USA 82, 4616 (1985). Pharmacology: A. Sirica et al., Proc. Am. Assoc. Cancer Res. 12, 4 (1971); C. L. Litterst et al., Cancer Res. 36, 2340 (1976); N. P. Johnson et al., Chem. Biol. Interact. 23, 267 (1978). Metabolism: R. C. Lange et al., J. Nucl. Med. 14, 191 (1973). Clinical studies: J. J. Ochs et al., Cancer Treat. Rep. 62, 239 (1978); H. M. Pinedo et al., Eur. J. Cancer 14, 1149 (1978). Toxicology: R. L. Dixon, Proc. 7th Int. Congr. Chemother. Vol. 2 (University Park Press, Baltimore, 1972) pp 241-243; R. W. Fleishman et al., Toxicol. Appl. Pharmacol. 33, 320 (1975). Review of carcinogenicity studies: IARC Monographs 26, 154-161 (1981); of neurotoxicity: R. J. Cersosimo, Cancer Treat. Rev. 16, 195-211 (1981), Comprehensive description: C. M. Riley, L. M. Sternson, Anal. Profiles Drug Subs. 14, 77-105 (1985). Book: Cisplatin, Current Status and New Developments, A. W. Prestayko et al., Eds. (Academic Press, New York, 1980) 527 pp. Review of mechanism of action: M. A. Fuertes et al., Curr. Med. Chem. 10, 257-266 (2003); Z. H. Siddik, Oncogene 22, 7265-7279 (2003). NH 3 - C1 - Pt - C1 -NH 31

Toxicity (TOX): LD50 in guinea pigs: 9.7 mg/kg i.p. (Fleishman). Other Properties (OCPP): Yellow to orange crystalline powder. Soly in water 0.253 g/100 g at 25°; slowly changes to trans-form in aq soln. Insol in most common solvents. Sol in DMF. LD50 in guinea pigs: 9.7 mg/kg i.p. (Fleishman) . Notes (NTE): Caution: This substance is reasonably anticipated to be a human carcinogen: Report on Carcinogens, Eleventh Edition (PB2005-104914, 2004) p III-67.

4

Therapeutic Codes (THER): Antineoplastic. Therapeutic Codes (Veterinary) (VTHER): Antineoplastic. Other Sources (OS): CA 80:55897; CA 81:21172 Referenced Patent (RPN): DE2318020; DE2329485

=> file ca COST IN U.S. DOLLARS

FULL ESTIMATED COST

SINCE FILE	TOTAL
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OREF 89:21617a,21620a
ТΤ
     Evaluation of single-agent therapy in human colorectal tumor xenografts
     Houghton, P. J.; Houghton, J. A.
AU
     Dep. Radiopharmacol., Inst. Cancer Res., Sutton, UK
British Journal of Cancer (1978), 37(5), 833-40
CS
SO
     CODEN: BJCAAI; ISSN: 0007-0920
DT
     Journal
     English
LA.
Ъ5
     ANSWER 14402 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
AN 89:140186 CA
OREF 89:21585a,21588a
ΤI
     Distribution of a platinum anti-tumor drug in HeLa cells by analytical
     electron microscopy
ΔII
     Khan, M. U. A.; Sadler, P. J.
     Chem. Dep., Birkbeck Coll., London, UK
Chemico-Biological Interactions (1978), 21(2-3), 227-32
CS
SO
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DT
     Journal
     English
LA
г2
     ANSWER 14403 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
AN
     89:99746 CA
OREF 89:15115a,15118a
ΤI
     A general mechanism for microsomal activation of quinone anticancer agents
     to free radicals
     Bachur, Nicholas R.; Gordon, Sandra L.; Gee, Malcolm V.
Baltimore Cancer Res. Cent., Natl. Cancer Inst., Baltimore, MD, USA
Cancer Research (1978), 38(6), 1745-50
ΔII
CS
SO
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DT
     Journal
LA
     English
г2
     ANSWER 14404 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full
     Text
AN
     89:99480 CA
OREF 89:15047a,15050a
ΤI
     Variation in response of xenografts of colorectal carcinoma to
     chemotherapy
AU
     Nowak, K.; Peckham, M. J.; Steel, G. G.
     Div. Radiotherap. Biophys., Inst. Cancer Res., Sutton, UK British Journal of Cancer (1978), 37(4), 576-84
CS
SO
     CODEN: BJCAAI; ISSN: 0007-0920
DT
     Journal
LA
     English
     ANSWER 14405 OF 14478 CA COPYRIGHT 2009 ACS on STN
Ъ5
     Text
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AΝ
      89:84661 CA
OREF 89:12869a
ΤI
     Chemotherapy of transplantable mouse tumors with
     cis-dichlorodiammineplatinum(II) alone and in combination with sarcolysin
AU
     Presnov, M. A.; Konovalova, A. L.; Romanova, L. F.; Sofina, Z. P.;
     Stetsenko, A. I.
     Lab. Exp. Cancer Chemother., Cancer Res. Cent., Moscow, USSR Cancer Treatment Reports (1978), 62(5), 705-12
CS
SO
     CODEN: CTRRDO; ISSN: 0361-5960
DT
     Journal
LΑ
     English
     ANSWER 14406 OF 14478 CA COPYRIGHT 2009 ACS on STN
L5
Full Text
     89:70802 CA
AN
OREF 89:10819a,10822a
     Evaluation of single agents and combinations of chemotherapeutic agents in
ΤT
     mouse colon carcinomas
     Corbett, T. H.; Griswold, D. P., Jr.; Roberts, B. J.; Peckham, J. C.; Schabel, F. M., Jr.
AU
     Southern Res. Inst., Birmingham, AL, USA
CS
     Cancer (New York, NY, United States) (1977), 40(5, Suppl.), 2660-80
SO
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NEPTUNE GENERICS 1002 - 00326

CODEN: CANCAR; ISSN: 0008-543X DT Journal English LA ANSWER 14407 OF 14478 CA COPYRIGHT 2009 ACS on STN Ъ5 Full Text AN 89:36513 CA OREF 89:5535a,5538a ΤI Differential chemotherapeutic susceptibility of human T-lymphocytes and B-lymphocytes in culture Ohnuma, Takao; Arkin, Hadara; Minowada, Jun; Holland, James F. ΑIJ Dep. Neoplast. Dis., Mt. Sinai Sch. Med., New York, NY, USA Journal of the National Cancer Institute (1940-1978) (1978), 60(4), 749-52 CODEN: JNCIAM; ISSN: 0027-8874 CS SO DT Journal English LA. ANSWER 14408 OF 14478 CA COPYRIGHT 2009 ACS on STN Ъ5 Full Text AN 88:569 CA OREF 88:119a,122a ΤI Treating viral infections Davidson, James P.; Rosenberg, Barnett; Hinz, Ronald W. IN PA Research Corp., USA U.S., 5 pp. CODEN: USXXAM SO DT Patent English LA FAN.CNT 1 KIND DATE PATENT NO. APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ A 19771011 19750110 US 1975-540109 ΡT US 4053587 A US 1977-773216 US 1980-188343 19810324 19840403 US 4258051 19770301 US 4440782 А 19800918 PRAI US 1973-350924 A1 19730413 19730413 US 1973-350929 US 1975-540109 A1 19750110 A3 US 1977-773216 A3 19770301 ANSWER 14409 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 87:193675 CA AN OREF 87:30527a,30530a Effects of cytotoxic agents on 3H-thymidine incorporation and growth delay ΤI in human colonic **tumor** xenografts AU Houghton, P. J.; Houghton, J. A.; Taylor, D. M. CS Dep. Radiopharmacol., R. Marsden Hosp., Sutton, UK British Journal of Cancer (1977), 36(2), 206-14 SO CODEN: BJCAAI; ISSN: 0007-0920 DT Journal LΑ English ANSWER 14410 OF 14478 CA COPYRIGHT 2009 ACS on STN L5Text Full AN 87:127357 CA OREF 87:20161a,20164a Intravesical and systemic chemotherapy of murine bladder cancer ΤI Soloway, Mark S. AU Dep. Urol., Univ. Tennessee Cent. Health Sci., Memphis, TN, USA Cancer Research (1977), 37(8, Pt. 2), 2918-29 CS SO CODEN: CNREA8; ISSN: 0008-5472 DT Journal English LA L5 ANSWER 14411 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 87:111354 CA AN OREF 87:17585a,17588a ΤТ Mutagenicity of cancer chemotherapeutic agents in the Salmonella/microsome test AU Benedict, William F.; Baker, Mary S.; Haroun, Lynne; Choi, Edmund; Ames, Bruce N.

7

Dep. Med., Child. Hosp., Los Angeles, CA, USA Cancer Research (1977), 37(7, Pt. 1), 2209-13 CS SO CODEN: CNREA8; ISSN: 0008-5472 DT Journal English LA ь5 ANSWER 14412 OF 14478 CA COPYRIGHT 2009 ACS on STN <u>Text</u> F11]] AN 87:78571 CA OREF 87:12437a,12440a High dose cis-platinumdiamminedichloride. Amelioration of renal toxicity ΤТ by mannitol diuresis Hayes, Daniel M.; Cvitkovic, Esteban; Golbey, Robert B.; Scheiner, Ellen; Helson, Lawrence; Krakoff, Irwin H. ΑIJ CS Mem. Sloan-Kettering Cancer Cent., New York, NY, USA Cancer (New York, NY, United States) (1977), 39(4), 1372-81 CODEN: CANCAR; ISSN: 0008-543X SO DT Journal LA English ANSWER 14413 OF 14478 CA COPYRIGHT 2009 ACS on STN T.5 <u>Full Text</u> AN 87:78408 CA OREF 87:12401a,12404a ΤI Origin of giant cells in regressing sarcoma-180 after cis-dichlorodiammine platinum(II) treatment: a fine structural study AU Sodhi, Ajit CS Dep. Zool., Banaras Hindu Univ., Varanasi, India Journal of Clinical Hematology and Oncology (1977), 7(2), 569-79 SO CODEN: JCHODP; ISSN: 0162-9360 DT Journal LA English τ.5 ANSWER 14414 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 87:78193 CA AN OREF 87:12353a,12356a ΤI Phase I study of high-dose cis-dichlorodiammineplatinum(II) with forced diuresis Chary, Kandala K.; Higby, Donald J.; Henderson, Edward S.; Swinerton, AU Kenneth D. CS Dep. Med. A, Roswell Park Mem. Inst., Buffalo, NY, USA SO Cancer Treatment Reports (1977), 61(3), 367-70 CODEN: CTRRDO; ISSN: 0361-5960 DT Journal LA English ANSWER 14415 OF 14478 CA COPYRIGHT 2009 ACS on STN Ъ5 <u>Text</u> 87:68321 CA Full AN OREF 87:10885a,10888a Phosphorus-nitrogen compounds. 30. Synthesis of platinum derivatives of ΤI polymeric and cyclic phosphazenes Allcock, Harry R.; Allen, Robert W.; O'Brien, John P. Dep. Chem., Pennsylvania State Univ., University Park, PA, USA Journal of the American Chemical Society (1977), 99(12), 3984-7 CODEN: JACSAT; ISSN: 0002-7863 AU CS SO DT Journal LA English ANSWER 14416 OF 14478 CA COPYRIGHT 2009 ACS on STN Ъ5 Full Text 87:62655 CA AN OREF 87:9887a,9890a ΤI Therapeutic potentiation in a mouse mammary tumor and an intracerebral rat brain tumor by combined treatment with cis-dichlorodiammineplatinum(II) and radiation Douple, Evan B.; Richmond, Robert C.; Logan, Mark E. AU Dep. Ther. Radiol., Dartmouth-Hitchcock Med. Cent., Hanover, NH, USA Journal of Clinical Hematology and Oncology (1977), 7(2), 585-603 CS SO CODEN: JCHODP; ISSN: 0162-9360 DT Journal

8

**NEPTUNE GENERICS 1002 - 00328** 

English LA ANSWER 14417 OF 14478 CA COPYRIGHT 2009 ACS on STN Ъ5 Full Text 87:62521 CA AN OREF 87:9855a,9858a ΤI Analog comparison, combination chemotherapy, and combined modality studies with cis-platinum(II) diamminedichloride (NSC 119875) using in vivo animal tumor models Merker, P. C.; Wodinsky, I.; Mabel, J.; Branfman, A.; Venditti, J. M. Life Sci. Div., Arthur D. Little, Inc., Cambridge, MA, USA AU CS SO Journal of Clinical Hematology and Oncology (1977), 7(1), 301-21 CODEN: JCHODP; ISSN: 0162-9360 DT Journal LA English г2 ANSWER 14418 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 87:47932 CA OREF 87:7531a,7534a Antineoplastic effect of complex platinum(IV) compounds ΤI AU Konovalova, A. L.; Presnov, M. A.; Zheliqovskaya, N. N.; Treshchalina, E. м. CS Onkol. Nauchn. Tsentr., Moscow, USSR SO Doklady Akademii Nauk SSSR (1977), 234(1), 223-6 [Biochem.] CODEN: DANKAS; ISSN: 0002-3264 DT Journal Russian LA L5 ANSWER 14419 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 87:33558 CA OREF 87:5237a,5240a Spermine-platinum(II) chloride as a potential anti-tumor agent ΤI Tsou, K. C.; Yip, K. F.; Lo, K. W.; Ahmad, S. Sch. Med., Univ. Pennsylvania, Philadelphia, PA, USA AU CS Journal of Clinical Hematology and Oncology (1977), 7(1), 322-9 CODEN: JCHODP; ISSN: 0162-9360 SO DT Journal English LA L5ANSWER 14420 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 87:33557 CA AN OREF 87:5237a,5240a The enhanced antitumor activity of cis-diamminedichloroplatinum(II) ΤI against murine tumors when combined with other agents Page, R. H.; Talley, R. W.; Buhagiar, J. ΑIJ Div. Oncol., Henry Ford Hosp., Detroit, MI, USA Journal of Clinical Hematology and Oncology (1977), 7(1), 96-104 CS SO CODEN: JCHODP; ISSN: 0162-9360 DT Journal English LA Г2 ANSWER 14421 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 87:15862 CA AN OREF 87:2433a,2436a ΤТ The effect of cis-diamminedichloroplatinum(II) and cyclophosphamide on immune response and tumor rejection in BALBc and PL/Jax mice ΑIJ Page, R. H.; Talley, R. W.; Livermore, D. H. CS Div. Oncol., Henry Ford Hosp., Detroit, MI, USA Journal of Clinical Hematology and Oncology (1977), 7(1), 105-13 SO CODEN: JCHODP; ISSN: 0162-9360 DT Journal LА English L5ANSWER 14422 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 87:299 CA OREF 87:55a,58a Sulfato 1,2-diaminocyclohexane platinum(II): a potential new antitumor ΤT

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NEPTUNE GENERICS 1002 - 00329

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agent
AU
      Speer, Robert J.; Ridgway, Helen; Stewart, David P.; Hall, Larry M.;
      Zapata, Alba; Hill, Joseph M.
     Wadley Inst. Mol. Med., Dallas, TX, USA
Journal of Clinical Hematology and Oncology (1977), 7(1), 210-19
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AN
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     Response of transferrin bound iron to treatment of rat lymphosarcoma with
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     cis-dichlorodiammineplatinum(II)
     Warner, F. W.; Demanuelle, M.; Stjernholm, R.; Cohn, I.; Baddley, W. H.
Div. Eng. Res., Louisiana State Univ., Baton Rouge, LA, USA
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AN
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     Comparative nephrotoxicity of platinum cancer chemotherapeutic agents Ward, J. M.; Young, D. M.; Fauvie, K. A.; Wolpert, M. K.; Davis, R.;
ΤI
AU
     Guarino, A. M.
CS
     Lab. Toxicol., Natl. Cancer Inst., Bethesda, MD, USA
     Cancer Treatment Reports (1976), 60(11), 1675-8
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     cis-Dichlorodiammineplatinum(II) chemotherapy in experimental murine
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     myeloma MOPC 104E
AU
     Ghanta, Vithal K.; Jones, M. Terry; Woodard, Dolores A.; Durant, John R.;
     Hiramoto, Raymond N.
     Comprehensive Cancer Cent., Univ. Alabama, Birmingham, AL, USA
CS
     Cancer Research (1977), 37(3), 771-4
CODEN: CNREA8; ISSN: 0008-5472
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LА
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      86:115133 CA
AN
OREF 86:18129a,18132a
     Antineoplastic activity of cis-diamminedichloroplatinum(II)
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AU
     Nikolin, V. P.; Gruntenko, E. V.; Mal'chikov, G. D.; Sysoeva, G. M.
     Inst. Tsitol. Genet., Novosibirsk, USSR
Voprosy Onkologii (1976), 22(12), 73-5
CODEN: VOONAW; ISSN: 0507-3758
CS
SO
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     Journal
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     Russian
     ANSWER 14427 OF 14478 CA COPYRIGHT 2009 ACS on STN
Ъ5
Full Text
      86:83786 CA
AN
OREF 86:13189a,13192a
     Effects of the cis-dichlorodiamminoplatinum(II)-deoxyribonucleic acid complex on normal and cancer cells
ΤT
AU
     Heinen, E.; Desaive, C.; Houssier, C.; Gillet, M. C.; Chevremont, M.
CS
     Inst. Histol., Liege, Belg.
SO
     Comptes Rendus des Seances de la Societe de Biologie et de Ses Filiales
     (1976), 170(4), 919-21
CODEN: CRSBAW; ISSN: 0037-9026
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NEPTUNE GENERICS 1002 - 00330

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DT
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LA
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     Ultrastructural changes of sarcoma-180 cells after treatment with
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     cis-dichlorodiammine platinum(II), in vivo and in vitro
AIJ
     Sodhi, Ajit
CS
     Dep. Zool., Banaras Hindu Univ., Banaras, India
SO
     Indian Journal of Experimental Biology (1976), 14(4), 383-90
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     Mode of action of cis-dichloro-diammine platinum(II) on mouse Ehrlich
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     ascites tumor cells
     Heinen, Ernst; Bassleer, Roger
Inst. Histol., Univ. Liege, Liege, Belg.
Biochemical Pharmacology (1976), 25(16), 1871-5
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     85:171668 CA
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     Effects of dinitrato(1,2-diaminocyclohexane)platinum (NSC 239851) on
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     murine myeloma and hemopoietic precursor cells
     Ogawa, Makio; Gale, Glen R.; Meischen, Sandra J.; Cooke, Victoria A.
Dep. Med., Med. Univ. South Carolina, Charleston, SC, USA
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Full Text
AN 85:137309 CA
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     Synthesis, in vivo and in vitro studies on the antineoplastic effect of
     cis-dichloro-dipeptide ester-platinum(II) complexes
     Beck, Wolfgang; Purucker, Bernhard; Girnth, Michael; Schoenenberger,
ΑIJ
     Helmut; Seidenberger, Horst; Ruckdeschel, Gotthard
     Inst. Anorg. Chem., Univ. Muenchen, Munich, Fed. Rep. Ger.
CS
     Zeitschrift fuer Naturforschung, Teil B: Anorganische Chemie, Organische
SO
     Chemie (1976), 31B(6), 832-45
CODEN: ZNBAD2; ISSN: 0340-5087
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ТΤ
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OREF 85:6598h,6599a
ТΤ
      Effects of cis-dichlorodiammine platinum(II) on DNA synthesis in kidney
      and other tissues of normal and tumor-bearing rats
      Taylor, David M.; Tew, Kenneth D.; Jones, Julie D.
Radiopharmacol. Dep., Inst. Cancer Res., Sutton/Surrey, UK
AU
CS
      European Journal of Cancer (1965-1981) (1976), 12(4), 249-54
SO
      CODEN: EJCAAH; ISSN: 0014-2964
DT
      Journal
LA
      English
      ANSWER 14434 OF 14478 CA COPYRIGHT 2009 ACS on STN
Ъ5
Full Text
      84:130173
AN
                   CA
OREF 84:21093a
ТΤ
      Inhibition by caffeine of post-replication repair in Chinese hamster cells
      treated with cis platinum (II) diamminedichloride: the extent of platinum binding to template DNA in relation to the size of low molecular weight
      nascent DNA
     Van den Berg, H. W.; Roberts, J. J.
Inst. Cancer Res., R. Cancer Hosp., Chalfont St. Giles/Bucks, UK
Chemico-Biological Interactions (1976), 12(3-4), 375-90
AU
CS
SO
      CODEN: CBINA8; ISSN: 0009-2797
DT
      Journal
LA
      English
      ANSWER 14435 OF 14478 CA COPYRIGHT 2009 ACS on STN
L5
Full Text
      84:38769 CA
ΑN
OREF 84:6319a,6322a
      Combined radiotherapy and chemotherapy of P388 leukemia in vivo Wodinsky, I.; Kensler, C. J.; Venditti, J. M.
ΤI
AU
      Arthur D. Little, Inc., Cambridge, MA, USA
Prog. Chemother. (Antibacterial, Antiviral, Antineoplast.), Proc. Int.
Congr. Chemother., 8th (1974), Meeting Date 1973, Volume 3, 95-100.
CS
SO
      Editor(s): Daikos, George K. Publisher: Hell. Soc. Chemother., Athens,
      Greece.
      CODEN: 31TFAO
DT
      Conference
LA
     English
      ANSWER 14436 OF 14478 CA COPYRIGHT 2009 ACS on STN
L5
Full Text
AN
      83:172656
                   CA
OREF 83:27049a,27052a
      Single and combination chemotherapy for primary murine bladder cancer
ΤI
AU
      Soloway, Mark S.
CS
      Dep. Surg., Univ. Hosp., Cleveland, OH, USA
      Cancer (New York, NY, United States) (1975), 36(2), 333-40
CODEN: CANCAR; ISSN: 0008-543X
SO
DT
      Journal
LA
     English
      ANSWER 14437 OF 14478 CA COPYRIGHT 2009 ACS on STN
L5
Full Text
AN
      83:108573 CA
OREF 83:16985a,16988a
      Platinum-pyrimidine blues and related complexes. New class of potent
ΤI
      antitumor agents
ΑIJ
      Davidson, James P.; Faber, Paula J.; Fischer, Robert G., Jr.; Mansy, Samir; Peresie, Henry J.; Rosenberg, Barnett; VanCamp, Loretta
      Dep. Biophys., Michigan State Univ., East Lansing, MI, USA
Cancer Chemotherapy Reports, Part 1 (1975), 59(2), 287-300
CS
SO
      CODEN: CCROBU; ISSN: 0576-6559
DT
      Journal
LA
     English
г2
      ANSWER 14438 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
AN
      83:3770 CA
OREF 83:695a,698a
TI Platinum-195m, a new radionuclide. Its application to the monitoring of
      cancer chemotherapeutic agents
```

### NEPTUNE GENERICS 1002 - 00332

```
Wolf, W.; Berman, J.; Leh, F.; Poggenburg, Ken
AU
CS
     Radiopharm. Program, Univ. South California, Los Angeles, CA, USA
SO
     Recent Adv. Nucl. Med., Proc. World Congr. Nucl. Med., 1st (1974), 944-5
     Publisher: Jpn. Radioisot. Assoc., Tokyo, Japan.
     CODEN: 30HHAX
DT
     Conference
LA
     English
L5
     ANSWER 14439 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full
     Text
     83:572 CA
AN
OREF 83:111a,114a
     Inhibition of cytokinesis in mammalian cells by
ТΤ
     cis-dichlorodiammineplatinum (II)
ΑIJ
     Aggarwal, S. K.
     Dep. Zool., Michigan State Univ., East Lansing, MI, USA
CS
     Cytobiologie (1974), 8(3), 395-402
CODEN: CYTZAM; ISSN: 0070-2463
SO
DT
     Journal
LA
    English
L5
    ANSWER 14440 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
AN 82:132827 CA
OREF 82:21171a,21174a
    Chemical and biological effects of cis-dichlorodiammineplatinum (II), an
ΤT
     antitumor agent, on DNA
AU
     Munchausen, Linda L.
     Biol. Div., Oak Ridge Natl. Lab., Oak Ridge, TN, USA
CS
SO
     Proceedings of the National Academy of Sciences of the United States of
     America (1974), 71(11), 4519-22
     CODEN: PNASA6; ISSN: 0027-8424
\mathsf{DT}
     Journal
LA
     English
г2
     ANSWER 14441 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full
    Tex
AN
     82:132786 CA
OREF 82:21163a,21166a
     Renaturation effects of cis- and trans-platinum II and IV compounds on
ΤI
     calf thymus deoxyribonucleic acid
AU
     Harder, Harold C.
CS
     Sch. Med., Yale Univ., New Haven, CT, USA
     Chemico-Biological Interactions (1975), 10(1), 27-39
SO
     CODEN: CBINA8; ISSN: 0009-2797
DT
     Journal
LA
     English
     ANSWER 14442 OF 14478 CA COPYRIGHT 2009 ACS on STN
Ъ5
     Text
Full
AΝ
     81:145909 CA
OREF 81:22739a,22742a
ΤI
     Effects of cis-dichlorodiammineplatinum(II) in the regression of Sarcoma
     180. Fine structural study
AU
     Sodhi, Ajit; Aggarwal, Surinder K.
     Dep. Zool., Michigan State Univ., East Lansing, MI, USA
Journal of the National Cancer Institute (1940-1978) (1974), 53(1), 85-101
CS
SO
     CODEN: JNCIAM; ISSN: 0027-8874
DT
     Journal
LA
     English
Ъ5
     ANSWER 14443 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
     81:58218 CA
AN
OREF 81:9231a,9234a
ΤT
     Role of host defenses in cis-dichlorodiammineplatinum(II)-mediated
     regressions of Sarcoma 180 in mice
AU
     Conran, Philip B.
CS
     Michigan State Univ., East Lansing, MI, USA
SO
     (1973) 119 pp. Avail.: Univ. Microfilms, Ann Arbor, Mich., Order No.
     74-6025
     From: Diss. Abstr. Int. B 1974, 34(9), 4469
```

NEPTUNE GENERICS 1002 - 00333

DTDissertation LA English L5 ANSWER 14444 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 81:45355 CA AN OREF 81:7205a,7208a Combination radiotherapy and chemotherapy for P388 lymphocytic leukemia in ΤT vivo AIJ Wodinsky, Isidore; Swiniarski, Joseph; Kensler, Charles J.; Venditti, John М. Arthur D. Little, Inc., Cambridge, MA, USA Cancer Chemotherapy Reports, Part 2 (1974), 4(1), 73-97 CS SO CODEN: CCSUBJ; ISSN: 0069-0120 DT Journal English LA. L5 ANSWER 14445 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 81:45352 CA OREF 81:7205a,7208a ΤI Potentially useful combinations of chemotherapy detected in mouse tumor systems AU Kline, Ira Microbiol. Assoc., Inc., Bethesda, MD, USA Cancer Chemotherapy Reports, Part 2 (1974), 4(1), 33-43 CS SO CODEN: CCSUBJ; ISSN: 0069-0120 DT Journal English LA. ANSWER 14446 OF 14478 CA COPYRIGHT 2009 ACS on STN ь5 Full Text AN 81:45271 CA OREF 81:7189a,7192a ΤI Fine structural analysis of Sarcoma-180 before and after cis-dichlorodiammineplatinum(II) in Swiss white mice, in vivo and in vitro studies AU Sodhi, Ajit CS Michigan State Univ., East Lansing, MI, USA SO (1973) 137 pp. Avail.: Univ. Microfilms, Ann Arbor, Mich., Order No. 74-6135 From: Diss. Abstr. Int B 1974, 34(9), 4759 DTDissertation English LA L5ANSWER 14447 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 81:21172 CA OREF 81:3384h,3385a ΤI Platinum coordination compounds Cleare, Michael J.; Hoeschele, James D.; Rosenberg, Barnett; Van Camp, ΙN Loretta L. ΡA Research Corp. Ger. Offen., 23 pp. SO CODEN: GWXXBX DT Patent German LA FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_ 19731220 DE 1973-2329485 19730608 ΡT DE 2329485 A1 DE 2329485 19791122 B2 DE 2329485 C3 19800731 CH 588505 Α5 19770615 CH 1973-7999 19730604 CH 1977-2036 CH 605550 Α5 19780929 19730604 CA 1023759 19780103 CA 1973-173182 19730605 A1 NL 7307863 19731211 NL 1973-7863 19730606 А NL 183724 В 19880801 NL 183724 FR 2187345 С 19890102 A1 19740118 FR 1973-20788 19730607 GB 1380228 19750108 GB 1973-27304 19730607 А SE 415182 В 19800915 SE 1973-8050 19730607

# NEPTUNE GENERICS 1002 - 00334 APOTEX 1002 - 0334

SE 415182 С 19810115 JP 49048621 А 19740511 JP 1973-64636 19730608 JP 56029676 В 19810709 US 4140707 А 19790220 US 1977–778955 19770318 SE 7810577 19781010 SE 1978-10577 19781010 А US 1989-90001716 19890214 US 4140707 В1 19891219 PRAI US 1972-260989 А 19720608 CH 1973-7999 19730604 US 1977-778955 19770318 А 0S MARPAT 81:21172 L5 ANSWER 14448 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 80:141013 CA OREF 80:22713a,22716a ТΤ Effects of cis-dichlorodiammine platinum(II) on the fine structure of the mammalian cells in vitro AU Aggarwal, S. K.; Sodhi, A. CS Dep. Zool., Michigan State Univ., East Lansing, MI, USA SO Proceedings - Annual Meeting, Electron Microscopy Society of America (1973), 31, 546-7 CODEN: EMSPAR; ISSN: 0424-8201 DT Journal LA English ANSWER 14449 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 80:128231 CA ΑN OREF 80:20617a,20620a ΤI Effect of chemotherapeutic agents on bladder cancer. New animal model Soloway, Mark S.; DeKernion, Jean B.; Rose, Daniel; Persky, Lester AU CS Sch. Med., Case West. Reserve Univ., Cleveland, OH, USA Surgical Forum (1973), 24, 542-4 CODEN: SUFOAX; ISSN: 0071-8041 SO DT Journal English LA L5 ANSWER 14450 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 80:128133 CA AN OREF 80:20597a,20600a Fine structural analysis of sarcoma-180 tumor before and after ΤI cis-platinum(II) diamminodichloride Aggarwal, S. K.; Sodhi, A.; Van Camp, L. AU CS Dep. Zool., Michigan State Univ., East Lansing, MI, USA Proceedings - Annual Meeting, Electron Microscopy Society of America (1971), 29, 386-7 SO CODEN: EMSPAR; ISSN: 0424-8201 DT Journal LA English ANSWER 14451 OF 14478 CA COPYRIGHT 2009 ACS on STN Ъ5 Full Text 80:55897 CA AN OREF 80:9065a,9068a ΤI Antitumorous diamminedichloroplatinum complexes Tobe, Martin L.; Khokhar, Abdul R.; Braddock, Peter D. M. ΤN Rustenburg Platinum Mines Ltd. PA SO Ger. Offen., 13 pp. CODEN: GWXXBX DT Patent LA German FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_ A1 19731108 ΡT DE 2318020 DE 1973-2318020 19730410 19731012 NL 7304882 NL 1973-4882 19730409 А 19731214 A1 FR 2182943 FR 1973-12664 19730409 19740205 A A A JP 49013316 JP 1973-40779 19730410 PRAI GB 1972-16350 19720410 GB 1972-21389 19720508

15

# NEPTUNE GENERICS 1002 - 00335 APOTEX 1002 - 0335

ANSWER 14452 OF 14478 CA COPYRIGHT 2009 ACS on STN Ъ5 Full Text 80:43984 CA AN OREF 80:7135a,7138a Drug-induced inhibition of hematogeneously spread metastases ТΤ AU Hellmann, Kurt; Salsbury, Allen, J.; Burrage, Karen S.; Le Serve, A. W.; James, Sandra E. Cancer Chemother. Dep., Imp. Cancer Res. Fund, London, UK CS Chemother. Cancer Dissemination Metastasis (1973), 355-9. Editor(s): Garattini, Silvio. Publisher: Raven, New York, N. Y. SO CODEN: 27IMAL DT Conference LA English Ъ5 ANSWER 14453 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 80:33650 CA OREF 80:5503a ΤI Platinum coordination complexes in **cancer** chemotherapy AU Rosenberg, Barnett Dep. Biophys., Mich. State Univ., East Lansing, MI, USA CS SO Naturwissenschaften (1973), 60(9), 399-406 CODEN: NATWAY; ISSN: 0028-1042 DT Journal; General Review LA English г2 ANSWER 14454 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 79:73858 CA AN OREF 79:11889a,11892a Enhanced antigenicity as a possible mode of action of platinum antitumor ΤI druas AU Rosenberg, B. CS Biophys. Dep., Michigan State Univ., East Lansing, MI, USA Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. SO Chemother., 7th (1972), Meeting Date 1971, Volume 2, 101-2. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 2602AP DT Conference English LA ANSWER 14455 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 79:73783 CA AN OREF 79:11876h,11877a Cis-platinum(II) diamminedichloride (PDD) in combined therapy of leukemia ΤI L1210 ΑIJ Speer, R. J.; Lapis, S.; Ridgeway, H.; Meyers, T. D.; Hill, J. M. CS Wadley Inst. Mol. Med., Dallas, TX, USA SO Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. Chemother., 7th (1972), Meeting Date 1971, Volume 2, 253-4. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP DT Conference LA English ANSWER 14456 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text AN 79:73779 CA OREF 79:11873a,11876a ТΤ Cis-platinum diamminedichloride(II)-induced regression of carcinogen-induced rat mammary tumors AU Welsch, C. W. CS Dep. Anat., Michigan State Univ., East Lansing, MI, USA Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. SO Chemother., 7th (1972), Meeting Date 1971, Volume 2, 231-2. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP DT Conference LA English L5 ANSWER 14457 OF 14478 CA COPYRIGHT 2009 ACS on STN

16

### **NEPTUNE GENERICS 1002 - 00336**

#### Full Text

- AN 79:73541 CA
- OREF 79:11821a,11824a
- ΤI Cis-dichlorodiammineplatinum(II). Irreversible inhibition of DNA synthesis and cell growth in tissue culture and inhibition of chick embryo cell transformation by Rous sarcoma virus
- AU
- Kara, J.; Svoboda, J.; Drobnik, J. Inst. Exp. Biol. Genet., Czech. Acad. Sci., Prague, Czech. CS
- SO Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. Chemother., 7th (1972), Meeting Date 1971, Volume 2, 205-7. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP
- DT Conference
- LA English
- T.5 ANSWER 14458 OF 14478 CA COPYRIGHT 2009 ACS on STN
- Full Text
- 79:38643 CA AN
- OREF 79:6255a,6258a
- Whole-body counting and the distribution of platinum-195m-labeled cis-dichlorodiammineplatinum(II) in the major organs of Swiss white mice ΤТ AU Hoeschele, J. D.; VanCamp, Loretta
- CS Biophys. Dep., Michigan State Univ., East Lansing, MI, USA
- SO Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. Chemother., 7th (1972), Meeting Date 1971, Volume 2, 241-2. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP
- DT Conference
- English LA.
- ANSWER 14459 OF 14478 CA COPYRIGHT 2009 ACS on STN ь5
- Full Text
- AN 79:38642 CA
- OREF 79:6255a,6258a
- Combination therapy of cis-dichlorodiammineplatinum(II) with cytoxan ΤI against the sarcoma 180 tumor in Swiss white mice
- AII VanCamp, Loretta; Rosenberg, B.
- CS Dep. Biophys., Michigan State Univ., East Lansing, MI, USA
- Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. SO Chemother., 7th (1972), Meeting Date 1971, Volume 2, 239-40. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP DTConference
- English LA.
- Ъ5 ANSWER 14460 OF 14478 CA COPYRIGHT 2009 ACS on STN
- Full Text
- 79:38641 CA AN
- OREF 79:6255a,6258a
- ΤI Role of host defenses in the regression of sarcoma-180 in mice treated with cis-dichlorodiammineplatinum(II)
- Conran, P. B.; Rosenberg, B. AU
- Biophys. Dep., Michigan State Univ., East Lansing, MI, USA Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr. CS
- SO Chemother., 7th (1972), Meeting Date 1971, Volume 2, 235-6. Editor(s): Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 26QZAP
- DT Conference
- LA English

ANSWER 14461 OF 14478 CA COPYRIGHT 2009 ACS on STN Ъ5

Full Text

79:15069 CA AN

- OREF 79:2427a,2430a
- ΤI Antitumor agent cis-diamminedichloroplatinum. Distribution studies and dose calculations for platinum-193m and platinum-195m ATT
- Lange, Robert C.; Spencer, Richard P.; Harder, Harold C. CS Sch. Med., Yale Univ., New Haven, CT, USA
- Journal of Nuclear Medicine (1973), 14(4), 191-5 SO
- CODEN: JNMEAQ; ISSN: 0161-5505
- DT Journa⊥

17

LA English

Ъ5 ANSWER 14462 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 78:105913 CA OREF 78:16927a,16930a Regression of sarcoma-180 after cis-dichlorodiammineplatinum (II). ΤI Fine-structural study AH Sodhi, Ajit CS Dep. Zool., Michigan State Univ., East Lansing, MI, USA SO Proceedings - Annual Meeting, Electron Microscopy Society of America (1972), 30, 68-9 CODEN: EMSPAR; ISSN: 0424-8201 DT Journal LA English Ъ5 ANSWER 14463 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 78:105899 CA AN OREF 78:16923a,16926a Antitumor platinum compounds. Relation between structure and activity Cleare, Michael J.; Hoeschele, J. D. ΤI AU Johnson Matthey and Co., Ltd., London, UK Platinum Metals Review (1973), 17(1), 2-13 CS SO CODEN: PTMRA3; ISSN: 0032-1400 DT Journal LA. English τ.5 ANSWER 14464 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 78:79753 CA AN OREF 78:12657a,12660a ΤI New platinum complexes with antitumour activity AU Connors, T. A.; Jones, M.; Ross, W. C. J.; Braddock, P. D.; Khokhar, A. R.; Tobel, M. L. Chester Beatty Res. Inst., Cancer Hosp., London, UK Chemico-Biological Interactions (1972), 5(6), 415-24 CS SO CODEN: CBINA8; ISSN: 0009-2797 DT Journal English LA L5 ANSWER 14465 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 78:67164 CA OREF 78:10619a,10622a Suppression of lymphocyte blastogenesis in man following cis-platinous ΤI diaminodichloride administration AU Khan, Amanullah; Hill, Joseph M. Wadley Inst. Mol. Med., Dallas, TX, USA CS Proceedings of the Society for Experimental Biology and Medicine (1973), SO 142(1), 324-6 CODEN: PSEBAA; ISSN: 0037-9727 Journal DT English LA L5ANSWER 14466 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 77:124670 CA OREF 77:20561a,20564a ТΤ Effect of cis-platinous diamminodichloride on graft rejection. Prolonged survival of skin grafts against H2 histocompatibility Khan, Amanullah; Albayrak, Aydogan; Hill, Joseph M. AU Dep. Immunother., Wadley Inst. Mol. Med., Dallas, TX, USA CS Proceedings of the Society for Experimental Biology and Medicine (1972), SO 141(1), 7-9 CODEN: PSEBAA; ISSN: 0037-9727 DT Journal English LΑ Ъ5 ANSWER 14467 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 77:83330 CA OREF 77:13689a,13692a

18

# NEPTUNE GENERICS 1002 - 00338

```
Chemistry of complexes related to cis-dichlorodiamine platinum(II).
ΤT
     Antitumor drug
AU
     Thomson, A. J.; Williams, R. J. P.; Reslova, S.
CS
     Sch. Chem. Sci., Univ. East Anglia, Norwich/Norfolk, UK
     Structure and Bonding (Berlin, Germany) (1972), 11, 1-46
SO
     CODEN: STBGAG; ISSN: 0081-5993
DT
     Journal; General Review
LA.
     English
Ъ5
     ANSWER 14468 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
     77:59271 CA
AN
OREF 77:9805a,9808a
     Synthesis and distribution of a radiolabeled antitumor agent:
ΤI
     cis-diamminedichloroplatinum(II)
ΔII
     Lange, Robert C.; Spencer, Richard P.; Harder, Harold C.
     Sch. Med., Yale Univ., New Haven, CT, USA
Journal of Nuclear Medicine (1972), 13(5), 328-30
CS
SO
     CODEN: JNMEAQ; ISSN: 0161-5505
DT
     Journal
     English
LA
г2
     ANSWER 14469 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full
    Text
AN
     76:148785 CA
OREF 76:24163a,24166a
ΤI
     Cross-linking of complementary strands of DNA in mammalian cells by
     antitumor platinum compounds
ΔII
     Roberts, J. J.; Pascoe, J. M.
     Chester Beatty Res. Inst., R Cancer Hosp., London, UK
Nature (London, United Kingdom) (1972), 235(5336), 282-4
CS
SO
     CODEN: NATUAS; ISSN: 0028-0836
DT
     Journal
LA
     English
г2
     ANSWER 14470 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full
     Text
AN
     76:108073 CA
OREF 76:17385a,17388a
     Suppression of graft-versus-host reaction by cis-platinum(II)
ΤI
     diaminodichloride
AU
     Khan, Amanullah; Hill, Joseph M.
     Dep. Immunother., Wadley Inst. Mol. Med., Dallas, TX, USA Transplantation (1972), 13(1), 55-7
CS
SO
     CODEN: TRPLAU; ISSN: 0041-1337
DT
     Journal
LA
     English
     ANSWER 14471 OF 14478 CA COPYRIGHT 2009 ACS on STN
Ъ5
     Text
Full
ΑN
     76:94747 CA
OREF 76:15213a,15216a
ΤT
     Growth inhibition of rat mammary carcinoma induced by cis-platinum
     diamminodichloride-II
AU
     Welsch, Clifford W.
     Dep. Anat., Michigan State Univ., East Lansing, MI, USA
Journal of the National Cancer Institute (1940-1978) (1971), 47(5), 1071-8
CS
SO
     CODEN: JNCIAM; ISSN: 0027-8874
DT
     Journal
LA
     English
Ъ5
     ANSWER 14472 OF 14478 CA COPYRIGHT 2009 ACS on STN
Full Text
     76:81035 CA
AN
OREF 76:12993a,12996a
ΤI
     Effect of cis-diaminoplatinum chloride in viruses and virus-cell relations
     Popescu, M.; Pascaru, Adina; Nicolau, Cl.
ΑIJ
CS
     Inst. Virusol. "St. S. Nicolau", Bucharest, Rom.
SO
     Studii si Cercetari de Inframicrobiologie (1971), 22(4), 383-9
     CODEN: SCIBAJ; ISSN: 0039-3975
DT
     Journa⊥
LA
     Romanian
```

### **NEPTUNE GENERICS 1002 - 00339**

Ъ5 ANSWER 14473 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 75:117024 CA OREF 75:18477a,18480a ΤI Distribution and histopathological effects of cis-platinum(II)diamminodichloride on nontumored and tumored (sarcoma 180) Swiss white mice AU Toth-Allen, Jean E. Michigan State Univ., East Lansing, MI, USA (1970) 130 pp. Avail.: Univ. Microfilms, Ann Arbor, Mich., Order No. CS SO 71-11,774 From: Diss. Abstr. Int. B 1971, 31(11), 6445-6 DT Dissertation LA English г2 ANSWER 14474 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 75:74445 CA AN OREF 75:11797a,11800a Cancer chemotherapeutic properties and toxicologic effects of ΤI cis-platinum(II) diammino dichloride AU Kociba, Richard J. CS Michigan State Univ., East Lansing, MI, USA SO (1970) 87 pp. Avail.: Univ. Microfilms, Ann Arbor, Mich., Order No. 71-2097 From: Diss. Abstr. Int. B 1971, 31(8), 4804 DT Dissertation English LА ANSWER 14475 OF 14478 CA COPYRIGHT 2009 ACS on STN ь5 Full Text AN 74:40885 CA OREF 74:6585a,6588a ΤI Inhibition of Dunning ascitic leukemia and Walker 256 carcinosarcoma with cis-diamminedichloroplatinum (NSC-119875) Kociba, Richard J.; Sleight, Stuart D.; Rosenberg, B. ATT CS Pathol. Dep., Michigan State Univ., East Lansing, MI, USA Cancer Chemotherapy Reports, Part 1 (1970), 54(5), 325-8 CODEN: CCROBU; ISSN: 0576-6559 SO DT Journal LA English ANSWER 14476 OF 14478 CA COPYRIGHT 2009 ACS on STN т.5 Full Text AN 73:129299 CA OREF 73:21081a,21084a ΤТ Cis-dichlorodiammineplatinum(II). Persistent and selective inhibition of deoxyribonucleic acid synthesis in vivo AU Howle, Jerry A.; Gale, Glen R. CS Veterans Adm. Hosp., Charleston, SC, USA Biochemical Pharmacology (1970), 19(10), 2757-62 SO CODEN: BCPCA6; ISSN: 0006-2952 DT Journal LA English г2 ANSWER 14477 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 73:118796 CA OREF 73:19349a,19352a ТΤ Inhibitory effects of antitumor platinum compounds on DNA, RNA, and protein syntheses in mammalian cells in vitro Harder, Harold C.; Rosenberg, Barnett Biophys. Dep., Michigan State Univ., East Lansing, MI, USA International Journal of Cancer (1970), 6(2), 207-16 AU CS SO CODEN: IJCNAW; ISSN: 0020-7136 DT Journal English LA L5 ANSWER 14478 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 73:86239 CA

20

**APOTEX 1002 - 0340** 

# NEPTUNE GENERICS 1002 - 00340

OREF 73:14103a,14106a
TI Successful regression of large solid sarcoma 180 tumors by platinum
 compounds
AU Rosenberg, Barnett; VanCamp, Loretta
CS Biophys. Dep., Michigan State Univ., East Lansing, MI, USA
SO Cancer Research (1970), 30(6), 1799-802
 CODEN: CNREA8; ISSN: 0008-5472
DT Journal
LA English
=> log y
COST IN U.S. DOLLARS SINCE FILE TOTAL

COST IN U.S. DOLLARS	SINCE FILE	TOTAL
	ENTRY	SESSION
FULL ESTIMATED COST	105.09	117.03

STN INTERNATIONAL LOGOFF AT 18:21:56 ON 11 FEB 2009

21

NEPTUNE GENERICS 1002 - 00341 APOTEX 1002 - 0341



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568
25885 ELI LILLY & (	7590 02/02/200 COMPANY	9	EXAN	IINER
PATENT DIVI P.O. BOX 6288			WEDDINGTO	DN, KEVIN E
	, IS, IN 46206-6288		ART UNIT	PAPER NUMBER
			1614	
			NOTIFICATION DATE	DELIVERY MODE
			02/02/2009	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)						
Intension Summany	11/776,329	NIYIKIZA ET AL						
Interview Summary	Examiner	Art Unit						
	KEVIN WEDDINGTON	1614						
All participants (applicant, applicant's representative, PTO	personnel):							
(1) <u>KEVIN WEDDINGTON</u> . (3) <u>MR. WILLIAM McMILLEN</u> .								
(2) <u>DR. JOHN A. CLEVELAND, JR.</u> .	(4)							
Date of Interview: <u>27 January 2009</u> .								
Type: a)  Telephonic b)  Video Conference c)  Personal [copy given to: 1)  applicant	2)⊠ applicant's representative	9]						
Exhibit shown or demonstration conducted: d) X Yes If Yes, brief description: <u>Binder with related application</u>	e) <mark>∏</mark> No. <u>∖s</u> .							
Claim(s) discussed: <u>The claims in general</u> .								
Identification of prior art discussed: <u>NONE</u> .								
Agreement with respect to the claims f) was reached.	)] was not reached. h)⊠ ו	1/A.						
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>The attorney of record, Dr. Cleveland, explained the importance of the present</u> <u>application and its related patent application. Upon examination of the present application, the Examiner will inform the attorney of any critical problems</u> . (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.) THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILLING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.								
/Kevin E Weddington/ Primary Examiner, Art Unit								
U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03) Interview	<b>y</b> Summary	Paper	No. 20090127					

NEPTUNE GENERICS 1002 - 00343 APOTEX 1002 - 0343

### <u>PATENT APPLICATION</u> <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

First Applicant:	Clet Niyikiza	Conf No.: 6568	
Serial No.:	11/776,329		
Application Date:	: July 11, 2007		
For:	NOVEL ANTIFOLATE COMBI	NATION THER	APIES
Docket No.:	X-14173B		

#### SECOND PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### **Introductory Comments**

Please amend the accompanying application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.

Scrial No. 11/776,329

#### Listing of Claims:

Claims 1-39 (Cancelled)

40. (New) A method for administering pemetrexed disodium to a patient in need thereof comprising administering an effective amount of pemetrexed disodium in combination with a methylmalonic acid lowering agent, wherein:

the methylmalonic lowering agent is selected from the group consisting of vitamin  $B_{12}$ , hydroxycobolamin, cyano-10-chlorocobolamin, aquocobolamin perchlorate, aquo-10-cobolamin perchlorate, azidocobolamin or chlorocobolamin;

the methylmalonic acid lowering agent is administered from about 1 week to about 3 weeks prior to the first administration of the pemetrexed disodium; and

the methylmalonic acid administration is repeated about every 6 to about every 12 weeks until administration of the pemetrexed disodium is discontinued.

41. (New) The method of claim 40, wherein the methylmalonic lowering agent is vitamin  $B_{12}$ .

42. (New) The method of claim 41, wherein the vitamin  $B_{12}$  is administered as an intramuscular injection of about 500 µg to about 1500 µg.

43. (New) The method of claim 42, wherein the vitamin  $B_{12}$  is administered as an intramuscular injection of about 1000 µg.

44. (New) The method of claim 41, 42 or 43, wherein the vitamin  $B_{12}$  administration is repeated about every 9 weeks until the administration of the pemetrexed disodium is discontinued.

45. (New) The method of claim 44, further comprising administering a folic-bindingprotein binding agent to the patient.

46. (New) The method of claim 45 wherein the folic-binding-protein binding agent is folic acid and the folic acid is administered prior to the first administration of the pemetrexed disodium.

Serial No. 11/776,329

47. (New) The method of claim 46 wherein the folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

48. (New) The method of claim 47wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

49. (New) The method according to any one of claims 46-48, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

50. (New) The method of claim 49 wherein about  $350\mu g$  to about  $1000 \ \mu g$  of folic acid is administered.

51. (New) The method of claim 50 wherein 350  $\mu$ g to 600  $\mu$ g of folic acid is administered.

52. (New) The method of claim 40 or 45 further comprising the administration of cisplatin to the patient.

#### **Remarks**

Applicants submit this paper and request entry of the amendments herein. Claims 1-39 are hereby cancelled and new Claims 40-52 are introduced. Support for new Claims 40-52 is found in the specification, as well as in the claims as originally filed. Applicants respectfully assert that no new matter has been introduced as a result of the amendments to the claims.

Applicants request prompt consideration and allowance of the claimed subject matter. If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicant's undersigned attorney invites the Examiner to telephone him at the number provided below.

Respectfully submitted,

/John A. Cleveland, Jr./ John A. Cleveland, Jr., Ph.D. Attorney for Applicant Registration No. 50,697 Phone: (317) 276-0307

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, IN 46206-6288 December 8, 2008

-4-

Electronic Patent Application Fee Transmittal							
Application Number:	11	776329					
Filing Date:	11.	-Jul-2007					
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES						
First Named Inventor/Applicant Name:	Cle	et Niyikiza					
Filer:	Jol	nn A. Cleveland/Lisa	a Capps				
Attorney Docket Number:	X1	4173B					
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Multiple dependent claims		1203	1	390	390		
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:	Post-Allowance-and-Post-Issuance:						
Extension-of-Time:							

# NEPTUNE GENERICS 1002 - 00348

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	390

Electronic Acknowledgement Receipt					
EFS ID:	4418432				
Application Number:	11776329				
International Application Number:					
Confirmation Number:	6568				
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES				
First Named Inventor/Applicant Name:	Clet Niyikiza				
Customer Number:	25885				
Filer:	John A. Cleveland/Lisa Capps				
Filer Authorized By:	John A. Cleveland				
Attorney Docket Number:	X14173B				
Receipt Date:	09-DEC-2008				
Filing Date:	11-JUL-2007				
Time Stamp:	10:37:54				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$390				
RAM confirmation Number	6258				
Deposit Account 050840					
Authorized User					
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					
Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)					

File Listin	g:								
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1		X14173BUSPreliminaryAmend	86772	yes	4				
•		ment.pdf	7939711f9c3fb4f3ab7acf30c9f7c8c20351c 515	yes	-				
	Multip	art Description/PDF files in .	zip description						
	Document De	scription	Start	E	nd				
	Preliminary Am	endment	1		1				
	Claims		2		3				
	Applicant Arguments/Remarks	Made in an Amendment	4		4				
Warnings:									
Information:				i					
2	Fee Worksheet (PTO-06)	fee-info.pdf	30193	no	2				
			62164f53fae261e03c8ca115834309e18a65 5863						
Warnings:									
Information:			1						
		Total Files Size (in bytes)	11	16965					
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.									
National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for on international disc data (can DCT Article 11 and MDED 1010).									
and of the In national secu	an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.								

# NEPTUNE GENERICS 1002 - 00351 APOTEX 1002 - 0351

PTO/SB/06 (07-06) Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respon PATENT APPLICATION FEE DETERMINATION RECORD						d to	pplication or l	Docket Number	Fili	ing Date	1
		Substitute	for Form P	TO-875			11/77	6,329	077	1/2007	To be Mailed
APPLICATION AS FILED – PART I (Column 1) (Column 2)							SMALL	ENTITY 🗌	OR		HER THAN
	FOR		NUMBER FI	_ED NUI	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i),	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A			N/A	
	FAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		OR	X\$ =	
	EPENDENT CLAIM CFR 1.16(h))	s	m	inus 3 = *			X \$ =			X\$ =	
	APPLICATION SIZE (37 CFR 1.16(s))	FEE she is \$ ado 35	ets of pap 250 (\$125 litional 50 U.S.C. 41(	ation and drawing er, the application for small entity) sheets or fraction a)(1)(G) and 37	n size fee due for each n thereof. See						
* 15 1	MULTIPLE DEPEN						TOTAL			TOTAL	
							TOTAL			TOTAL	
	APP	(Column 1)	5 AMENL	)ED – PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		R THAN LL ENTITY
AMENDMENT	12/09/2008	CLAIMS REMAINING AFTER AMENDMENT	-	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	Additional Fee (\$)
ME	Total (37 CFR 1.16(i))	* 16	Minus	** 20	= 0		x \$ =		OR	X \$52=	0
Ľ.	Independent (37 CFR 1.16(h))	* 1	Minus	***3	= 0		X \$ =		OR	X \$220=	0
AMI	Application S	ze Fee (37 CFR	1.16(s))								
		TATION OF MUL		DEN⊤ CLAIM (37 CFI	R 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	0
		(Column 1)		(Column 2)	(Column 3)						
Γ		CLAIMS REMAINING AFTER AMENDMEN1	-	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ENT	Total (37 CFR 1.16(I))	*	Minus	**	=		X \$ =		OR	X \$ =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X\$ =	
AMENDM	Application S	ze Fee (37 CFR	1.16(s))								
AM		NTATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If *** I The This c	the entry in column the "Highest Numb f the "Highest Numt "Highest Number F xollection of informa	er Previously Pa per Previously Pa reviously Paid F tion is required b	id For" IN Th aid For" IN T or" (Total or y 37 CFR 1	HS SPACE is less HIS SPACE is less Independent) is th 16. The informatio	than 20, enter "20" s than 3, enter "3". e highest number f	oun ain d	Legal Ir /YOLAN d in the appro pr retain a ber	lefit by the public	CK/ mn 1. which is	er: a to file (and b	

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**NEPTUNE GENERICS 1002 - 00352 APOTEX 1002 - 0352** 



UNITED STATES PATENT AND TRADEMARK OFFICE

TRAY OF COM		Alexandı www.ns	ia, Virginia 22313-1450 to.gov
APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/776,329	07/11/2007	Clet Niyikiza	X14173B

**CONFIRMATION NO. 6568** 

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

Title: NOVEL ANTIFOLATE COMBINATION THERAPIES

Publication No. US-2008-0032948-A1 Publication Date: 02/07/2008

### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Pre-Grant Publication Division, 703-605-4283



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/776,329	07/11/2007	Clet Niyikiza	X14173B

**CONFIRMATION NO. 6568** 

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

Date Mailed. 11/23/2007

## NOTICE OF NEW OR REVISED PROJECTED PUBLICATION DATE

The above-identified application has a new or revised projected publication date. The current projected publication date for this application is 02/07/2008. If this is a new projected publication date (there was no previous projected publication date), the application has been cleared by Licensing & Review or a secrecy order has been rescinded and the application is now in the publication queue.

If this is a revised projected publication date (one that is different from a previously communicated projected publication date), the publication date has been revised due to processing delays in the USPTO or the abandonment and subsequent revival of an application. The application is anticipated to be published on a date that is more than six weeks different from the originally-projected publication date.

More detailed publication information is available through the private side of Patent Application Information Retrieval (PAIR) System. The direct link to access PAIR is currently http://pair.uspto.gov. Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Questions relating to this Notice should be directed to the Office of Patent Publication at 1-888-786-0101.

PART 1 - ATTORNEY/APPLICANT COPY

UNITED S	States Paten	<u>it and Trad</u>	emark Office	UNITED STATES DEPARTMI United States Patent and Tr. Addres: COLMINSSIONER FOR PA PO. Box 1450 Alexandris, Virginia 22313-1450 www.upto.gov	ademark Office TENTS	E
APPLICATION NUMBER	FI∐NG or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
11/776,329	07/11/2007	1751	1000	X14173B	11	2

#### **CONFIRMATION NO. 6568**

#### UPDATED FILING RECEIPT

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

Date Mailed: 08/31/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Clet Niyikiza, Indianapolis, IN; Paolo Paoletti, Indianapolis, IN; James Jacob Rusthoven, Ancaster, CANADA;

Power of Attorney: The patent practitioners associated with Customer Number 25885

#### Domestic Priority data as claimed by applicant

This application is a DIV of  $11/288,807 \ 11/29/2005$ which is a DIV of  $10/297,821 \ 12/05/2002 \ PAT \ 7,053,065$ which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of  $60/215,310 \ 06/30/2000$ and claims benefit of  $60/235,859 \ 09/27/2000 \ ABN$ and claims benefit of  $60/284,448 \ 04/18/2001$ 

**Foreign Applications** 

If Required, Foreign Filing License Granted: 08/31/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US11/776,329** 

Projected Publication Date: 12/13/2007

Non-Publication Request: No

#### Early Publication Request: No

Title

#### NOVEL ANTIFOLATE COMBINATION THERAPIES

#### Preliminary Class

510

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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#### Title 35, United States Code, Section 184

### Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

### PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	NIYIKIZA Clet	
Serial No.: 11	/776,329	
Application Date:	7/11/2007	Conf No.: 6568
For:	NOVEL ANTIFOLATE COMBI	NATION THERAPIES
Docket No.:	X14173B	

#### **RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Commissioner for Patents Mail Stop Missing Parts P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to a "Notice to File Corrected Application Papers," dated July 18, 2007, noting the absence of a marked up and clean copy of a substitute specification, excluding claims.

Enclosed herewith are: 1) a copy of the Notice; 2) a marked up copy of the specification, excluding claims, in compliance with 37 CFR 1.115 and 37 CFR 1.125; and 3) a clean copy of the specification, excluding claims, in compliance with 37 CFR 1.125(c). Applicants assert that the substitute specification contains no new matter.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Phone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

August 6, 2007

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# NOTICE TO FILE CORRECTED APPLICATION PAPERS

### Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment

• A substitute specification excluding claims in compliance with 37 CFR 1 52, 1 121(b)(3), and 1 125 is required The substitute specification must be submitted with markings and be accompanied by a clean version (without markings) as set forth in 37 CFR 1.125(c) and a statement that the substitute specification contains no new matter (see 37 CFR 1.125(b)). Since a preliminary amendment was present on the filing date of the application and such amendment is part of the original disclosure of the application, the substitute specification must include all of the desired changes made in the preliminary amendment. See 37 CFR 1 115 and 1.215.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

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NEPTUNE GENERICS 1002 - 00360 APOTEX 1002 - 0360

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#### NOVEL ANTIFOLATE COMBINATION THERAPIES

5 This application is a divisional of Application No. 11/288,807, filed 29 November 2005, which is a divisional of Application No. 10/297,821 filed 12 May 2002, now Patent Number 7,053,065, which claims priority under 35 USC 371, for PCT/US01/14860, filed 15 June 2001, which claims the priority of U.S. provisional applications No. 60/215,310, filed 30 June 2000, No. 60/235,859, filed 27 September 2000, and No. 60/284,448, filed

10 <u>18 April 2001.</u>

Potentially, life-threatening toxicity remains a major limitation to the optimal administration of antifolates. (see, generally, <u>Antifolate Drugs in Cancer Therapy</u>, edited by Jackman, Ann L., Humana Press, Totowa, NJ, 1999.) In some cases, a supportive intervention is routinely used to permit safe, maximal dosing. For example, steroids, such

15 as dexamethone, can be used to prevent the formation of skin rashes caused by the antifolate. (Antifolate, pg 197.)

Antifolates represent one of the most thoroughly studied classes of antineoplastic agents, with aminopterin initially demonstrating clinical activity approximately 50 years ago. Methotrexate was developed shortly thereafter, and today is a standard component

- 20 of effective chemotherapeutic regimens for malignancies such as lymphoma, breast cancer, and head and neck cancer. (Bonnadonna G, Zambetti M, Valagussa P. Sequential or alternating doxorubicin and CMF regimens in breast cancer with more than three positive nodes: Ten year results. JAMA 1995;273(7):542-547; Bonnadonna G, Valagussa P, Moliterni A, Zambetti M, Brambilla C. Adjuvant cyclophosphamide, methotrexate, and
- 25 fluorouracil in node-positive breast cancer: The results of 20 years of follow-up. N Engl J Med 1995;332(14):901-906; and Hong WK, Schaefer S, Issell B, et al. A prospective randomized trial of methotrexate versus cisplatin in the treatment of recurrent squamous cell carcinoma of the head and neck. Cancer 1983;52:206-210.) Antifolates inhibit one or several key folate-requiring enzymes of the thymidine and purine biosynthetic pathways,
- 30 in particular, thymidylate synthase (TS), dihydrofolate reductase (DHFR), and glycinamide ribonucleotide formyltransferase (GARFT), by competing with reduced folates for binding sites of these enzymes. (Shih C, Habeck LL, Mendelsohn LG, Chen

# NEPTUNE GENERICS 1002 - 00361 APOTEX 1002 - 0361

VJ, Schultz RM. Multiple folate enzyme inhibition: Mechanism of a novel pyrrolopyrimidine-based antifolate LY231514 (MTA). Advan Enzyme Regul, 1998;
38:135-152 and Shih C, Chen VJ, Gossett LS, et al. LY231514, a pyrrolo[2,3-d]pyrimidine-based antifolate that inhibits multiple folate-requiring enzymes. Cancer Res

- 5 1997;57:1116-1123.) Several antifolate drugs are currently in development. Examples of antifolates that have thymidylate synthase inhibiting ("TSI") characteristics include 5-fluorouracil and Tomudex®. An example of an antifolate that has dihydrofolate reductase inhibiting ("DHFRI") characteristic is Methotrexate®. An example of an antifolate that has glycinamide ribonucleotide formyltransferase inhibiting ("GARFTI")
- 10 characteristics is Lometrexol. Many of these antifolate drugs inhibit more than one biosynthetic pathway. For example Lometrexol is also an inhibitor of dihydrofolate reductase and pemetrexed disodium (Alimta®, Eli Lilly and Company, Indianapolis, IN) has demonstrated thymidylate synthase, dihydrofolate reductase, and glycinamide ribonucleotide formyltransferase inhibition.
- 15 A limitation to the development of these drugs is that the cytotoxic activity and subsequent effectiveness of antifolates may be associated with substantial toxicity for some patients. Additionally antifolates as a class are associated with sporadic severe mylosuppression with gastrointestinal toxicity which, though infrequent, carries a high risk of mortality. The inability to control these toxicities led to the abandonment of
- 20 clinical development of some antifolates and has complicated the clinical development of others, such as Lometrexol and raltitrexed. (Jackman AL, Calvert AH Folate-Based Thymidylate Synthase Inhibitors as Anticancer Drugs. Ann Oncol 1995;6(9):871-881; Laohavinij S, Wedge SR, Lind MJ, et al. A phase I clinical study of the antipurine antifolate Lometrexol (DDATHF) given with oral folic acid. Invest New Drugs
- 25 1996;14:325-335; and Maughan TS, James RD, Kerr D, et al., on behalf of the British MRC Colorectal Cancer Working Party. Preliminary results of a multicenter randomized trial comparing 3 chemotherapy regimens (deGramont, Lokich, and raltitrexed) in metastatic colorectal cancer. Proc ASCO 1999;18:Abst 1007.)

Initially, folic acid was used as a treatment for toxicities associated with GARFTI see, e.g. U.S. Pat. No. *5*,217,974. Folic acid has been shown to lower homocysteine

30 see, e.g. U.S. Pat. No. 5,217,974. Folic acid has been shown to lower homocysteine levels (see e.g. Homocysteine Lowering Trialist's Collaboration. Lowering blood homocysteine with folic acid based supplements: meta-analysis of randomized trials. BMJ

-2-

# NEPTUNE GENERICS 1002 - 00362 APOTEX 1002 - 0362

1998;316:894-898 and Naurath HJ, Joosten E, Riezler R, Stabler SP, Allen RH, Lindenbaum J. Effects of vitamin B12, folate and vitamin B6 supplements in elderly people with normal serum vitamin concentrations. Lancet 1995;346:85-89), and homocysteine levels have been shown to be a predictor of cytotoxic events related to the

- 5 use of GARFT inhibitors, see e.g. U.S. Pat. No. 5,217,974. However, even with this treatment, cytotoxic activity of GARFT inhibitors and antifolates as a class remains a serious concern in the development of antifolates as pharmaceutical drugs. The ability to lower cytotoxic activity would represent an important advance in the use of these agents. Surprisingly and unexpectedly, we have now discovered that certain toxic effects
- 10 such as mortality and nonhematologic events, such as skin rashes and fatigue, caused by antifolates, as a class, can be significantly reduced by the presence of a methylmalonic acid lowering agent, without adversely affecting therapeutic efficacy. The present invention thus provides a method for improving the therapeutic utility of antifolate drugs by administering to the host undergoing treatment with a methylmalonic acid lowering
- 15 agent. We have discovered that increased levels of methylmalonic acid is a predictor of toxic events in patients that receive an antifolate drug and that treatment for the increased methylmalonic acid, such as treatment with vitamin B12, reduces mortality and nonhematologic events, such as skin rashes and fatigue events previously associated with the antifolate drugs.
- 20 Additionally, we have discovered that the combination of a methylmalonic acid lowering agent and folic acid synergistically reduces the toxic events associated with the administration of antifolate drugs. Although, the treatment and prevention of cardiovascular disease with folic acid in combination with vitamin B12 is known, the use of the combination for the treatment of toxicity associated with the administration of
- antifolate drugs was unknown heretofore.

The present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of reducing the toxicity
associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

### -3-

# NEPTUNE GENERICS 1002 - 00363 APOTEX 1002 - 0363

-4-

Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of administering an
antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering

10 to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an

15 antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent, alone or in combination with a FBP binding agent, in the preparation of a medicament useful in lowering the mammalian toxicity of an antifolate. A preferred FBP

20 binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

25 Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the manufacture of a medicament for use in a method of inhibiting tumor growth in mammals, which method comprises administering said methylmalonic acid lowering agent in combination with an antifolate.

# NEPTUNE GENERICS 1002 - 00364 APOTEX 1002 - 0364

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-5-

Furthermore, the present invention relates to a product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.

The current invention concerns the discovery that administration of a methylmalonic acid lowering agent in combination with an antifolate drug reduces the toxicity of the said antifolate drug.

The term "inhibit" as it relates to antifolate drugs refers to prohibiting, alleviating, ameliorating, halting, restraining, slowing or reversing the progression of, or reducing tumor growth.

As used herein, the term "effective amount" refers to an amount of a compound or drug, which is capable of performing the intended result. For example, an effective amount of an antifolate drug that is administered in an effort to reduce tumor growth is that amount which is required to reduce tumor growth.

15 As used herein, the term "toxicity" refers to a toxic event associated with the administration on an antifolate. Such events include, but are not limited to, neutropenia, thrombopenia, toxic death, fatigue, anorexia, nausea, skin rash, infection, diarrhea, mucositis, and anemia. For further explanation of the types of toxicity experienced by patients receiving antifolates, see, generally, <u>Antifolate Drugs in Cancer Therapy</u>.

20 Preferably, toxicity refers to toxic death, fatigue, neutropenia, thrombopenia, and mucositis.

As used herein, the term "nonhematologic event" refers to the occurrence of skin rash or fatigue due to the administration of an antifolate.

As used herein, the term "in combination with" refers to the administration of the 25 methylmalonic acid lowering agent, the antifolate drug, and optionally the folic acid; in any order such that sufficient levels of methylmalonic acid lowering agent and optionally folic acid are present to reduce the toxicity of an antifolate in a mammal. The administration of the compounds maybe simultaneous as a single composition or as two separate compositions or can be administered sequentially as separate compositions such

30 that an effective amount of the agent first administered is in the patient's body when the second and/or third agent is administered. The antifolate drug may be administered to the mammal first, followed by treatment with the methylmalonic acid lowering agent.

# NEPTUNE GENERICS 1002 - 00365 APOTEX 1002 - 0365

-6-

Alternatively, the mammal may be administered the antifolate drug simultaneously with the methylmalonic acid lowering agent. Preferably, the mammal is pretreated with the methylmalonic acid lowering agent and then treated with the antifolate. If folic acid is to be administered in addition to the methylmalonic acid lowering agent, the folic acid may

5 be administered at any time prior, post, or simultaneously to the administration of either the methylmalonic acid lowering agent or the antifolate. Preferably, the mammal is pretreated with the methylmalonic acid, and then treated with folic acid, followed by treatment with the antifolate compound.

The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced folates for binding sites of these enzymes. Preferred examples of antifolates include <del>5-</del> <del>fluorouracil, as manufactured by Glaxo;</del> Tomudex®, as manufactured by Zeneca;

- Methotrexate®, as manufactured by Lederle; Lometrexol®, as manufactured by Tularik; pyrido[2,3-d]pyrimidine derivatives described by Taylor et al in U.S. Pat. Nos. 4,684,653, 4,833,145, 4,902,796, 4,871,743, and 4,882,334; derivatives described by Akimoto in U.S. Pat. No. 4,997,838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed Sodium-Disodium (ALIMTA), as manufactured
- 20 by Eli Lilly & Co.

The terms "methylmalonic acid" and "MMA" refer to a structural isomer of succinic acid present in minute amounts in healthy human urine.

The term "methylmalonic acid lowering agent" refers to a substrate, which lowers the concentration of methylmalonic acid in a mammal. A preferred example of such a

- 25 substrate is vitamin B12. For methods of determining methylmalonic acid and substrates therefore, see, e.g., Matchar DB, Feussner JR, Millington DS, et al. Isotope dilution assay for urinary methylmalonic acid in the diagnosis of vitamin B12 deficiency. A prospective clinical evaluation. Ann Intern Med 1987; 106: 707-710; Norman EJ, Morrison JA. Screening elderly populations for cobalamin (vitamin B12) deficiency using the urinary
- methylmalonic acid assay by gas chromatography mass spectrometry. Am J Med 1993;
   94: 589-594; Norman EJ. Gas Chromatography mass spectrometry screening of urinary methylmalonic acid: early detection of vitamin B12 (cobalamin) deficiency to prevent

### NEPTUNE GENERICS 1002 - 00366 APOTEX 1002 - 0366

-7-

permanent neurologic disability. GC/MS News 1984; 12:120-129; Martin DC, Francis J, Protetch J, Huff FJ. Time dependency of cognitive recovery with cobalamin replacement: report of a pilot study. JAGS 1992; 40: 168-172; Norman EJ, Cronin C. Cobalamin deficiency. Neurol 1996; 47: 310-311; Rasmussen K, Moelby I, Jensen MK. Studies on

5 methylmalonic acid in humans; Savage DG, Lindenbaum J, Stabler SP, Allen RH. Sensitivity of methylmalonic acid and total homocysteine determination for diagnosing cobalamin and folate deficiency. Am J Med 1994; 96: 239-246.

The term "vitamin B12" refers to vitamin B12 and its pharmaceutical derivatives, such as hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-

10 10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.
 Preferably the term refers to vitamin B12, cobalamin, and chlorocobalamin.

The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, such as a sustained release formulation, as an aqueous solution added to drinking water, or as an aqueous parenteral formulation.

15 Preferably the methylmalonic acid lowering agent is administered as an intramuscular injection formulation. Such formulations are known in the art and are commercially available.

The skilled artisan will appreciate that the methylmalonic lowering agents are effective over a wide dosage range. For example, when cobalamin is used as the

- 20 methylmalonic lowering agent, the dosage of cobalamin may fall within the range of about 0.2 µg to about 3000 µg of cobalamin from once daily for a month to once every nine weeks for a year. Preferably, cobalamin will be dosed as an intramuscular injection of about 500 µg to about 1500 µg administered from about every 24 hours to about every 1680 hours. Preferably, it is an intramuscular injection of about 1000 µg administered
- 25 initially from about 1 to about 3 weeks prior to administration of the antifolate and repeated from about every 24 hours to about every 1680 hours, regardless of when treatment with the antifolate is started and continued until the administration of the antifolate is discontinued. Most preferred is an intramuscular injection of about 1000 µg administered initially from about 1 to about 3 weeks prior to the first administration of the
- 30 antifolate and repeated every 6 to 12 weeks, preferably about every 9 weeks, and continued until the discontinuation of the antifolate administrations. However, it will be

### NEPTUNE GENERICS 1002 - 00367 APOTEX 1002 - 0367

-8-

understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of

5 the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.

The term "FBP binding agent" as used herein refers to a folic binding protein binding agent which includes folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof. This latter compound is the (6R)-isomer of leucovorin as disclosed in J. Am. Chem. Soc., 74, 4215 (1952). Both of the tetrahydrofolic acid compounds are in the unnatural configuration at the 6-position. They are 10-20 fold more efficient in binding

- 15 the folate binding protein compared with their respective (6S)—isomer, see Ratnam, et. al., Folate and Antifolate Transport in Mammalian Cells Symposium, Mar. 21-22, 1991, Bethesda, MD. These compounds are usually prepared as a mixture with their natural form (6S) of diastereomers by non-stereoselective reduction from the corresponding dehydro precursors followed by separation through chromatographic or enzymatic
- 20 techniques. See e.g. PCT Patent Application Publication WO 880844 (also Derwent Abstract 88-368464/51) and Canadian Patent 1093554. See, e.g. Dietary Reference Intakes for Thiamin, Riboflavin, Niacin, Vitamin B6, Folate, Vitamin B12, Pantothenic Acid, Biotin, and Choline (2000), 8 Folate, pp. 196-305.
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"Physiologically-available salt" refers to potassium, sodium, lithium, magnesium, or preferably a calcium salt of the FBP binding agent. "Physiologically-available...ester" refers to esters which are easily hydrolyzed upon administration to a mammal to provide the corresponding FBP binding agent free acid, such as  $C_1$ - $C_4$  alkyl esters, mixed

30 anhydrides, and the like.

The FBP binding agent to be utilized according to this invention can be in its free acid form, or can be in the form of a physiologically-acceptable salt or ester which is

### NEPTUNE GENERICS 1002 - 00368 APOTEX 1002 - 0368

-9-

converted to the parent acid in a biological system. The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, preferably as a sustained release formulation, as an aqueous solution added to drinking water, an aqueous parenteral formulation, e.g., an intravenous formulation, or the like.

- 5 The FBP binding agent is usually administered to the subject mammal prior to treatment with the antifolate. Pretreatment with the suitable amount of FBP binding agent from about 1 to about 24 hours is usually sufficient to substantially bind to and block the folate binding protein prior to administration of the antifolate. Although one single dose of the FBP binding agent, preferably an oral administration of folic acid, should be
- 10 sufficient to load the folate binding protein, multiple dosing of the FBP binding agent can be employed for periods up to weeks before treatment with the active agent to ensure that the folate binding protein is sufficiently bound in order to maximize the benefit derived from such pretreatment.

In the especially preferred embodiment of this invention, about 0.1 mg to about 30 15 mg, most preferably about 0.3 mg to about 5 mg, of folic acid is administered orally to a mammal about 1 to 3 weeks post administration of the methylmalonic acid lowering agent and about 1 to about 24 hours prior to the parenteral administration of the amount of an antifolate. However, it will be understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the

- 20 relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than
- 25 adequate, while in other cases still larger doses may be employed without causing any harmful side effect.

In general, the term "pharmaceutical" when used as an adjective means substantially non-toxic to living organisms.

30 Methods

To assess the effect of a methylmalonic acid lowering agent, alone or in combination with folic acid on the antitumor efficacy of an antifolate in a human tumor

# NEPTUNE GENERICS 1002 - 00369 APOTEX 1002 - 0369

xenograft model, female nude mice bearing human MX-1 breast carcinoma were treated with ALIMTA alone or along with super-physiologic doses of folic acid or vitamin B12 (cobalamin).

The animals were maintained on sterilized standard lab chow ad libitum and sterilized water ad libitum. The human MX-1 tumor cells (5 x 10<sup>6</sup>) obtained from donor tumors were implanted subcutaneously in a thigh of female nude mice 8- to 10-weeks old. Beginning on day 7 post tumor cell implantation, the animals were treated with ALIMTA (100 mg/kg or 150 mg/kg) once daily on days 7 through 11 and 14 through 18 by intraperitoneal injection alone or along with folic acid (6 mg/kg or 60 mg/kg) and/or

10 vitamin B12 (165 mg/kg) by intraperitoneal injection on the same schedule.

Tumor response was monitored by tumor volume measurements twice weekly over the course of the experiment. Toxicity was monitored by body weight measurements made at the same time as the tumor volume measurements. Tumor growth delay was the difference in days for the treated and the controls tumors to reach 1000  $\text{mm}^3$ .

The human MX-1 breast carcinoma xenograft was responsive to treatment with ALIMTA with doses of 100 mg/kg and 150 mg/kg producing tumor growth delays of 17 days and 21 days, respectively. Folic acid was administered to the animals alone at two doses 6 mg/kg and 60 mg/kg on the same schedule as ALIMTA and produced tumor

20 growth delays of 7 days and 12 days, respectively. Vitamin B12 administered alone at a dose of 165 mg/kg resulted in a tumor growth delay of 12 days.

Combinations of ALIMTA at each of the two doses were administered along with each of the vitamins as simultaneous combination regimens. Administration of folic acid (6 mg/kg) along with ALIMTA did not alter the tumor growth delay produced from that

25 obtained with ALIMTA alone. The addition of folic acid at the higher dose (60 mg/kg) along with each dose of ALIMTA resulted in small increases in tumor growth delay to 22 days and 23 days at the ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively. The tumor growth delays with ALIMTA and vitamin B12 (165 mg/kg) treatment were 22 days and 24 days at ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively.

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Body weight was used as a general measure of toxicity for each of the treatment regimens. The body weight loss pattern reflected the treatment regimens with weight

# NEPTUNE GENERICS 1002 - 00370 APOTEX 1002 - 0370

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decrease during the treatment times of days 7 through 11 and 14 through 18 with some weight recovery during the intervening two days. The weight loss due to ALITMA was dose dependent but overall minor (3%). Folic acid alone at either 6 mg/kg or 60 mg/kg did not cause weight loss, in fact folic acid treated animals maintained weight and gained

5 weight over the course of the experiment better than the control animals. The animals treated with ALIMTA (100 mg/kg) and folic acid (60 mg/kg) gained weight (about 20%) over the course of the experiment.

Administration of vitamin B12 did not prevent weight gain in the animals over the time course of the experiment. The animals treated with ALIMTA (100 mg/kg) along

10 with vitamin B12 gained weight while those treated with ALIMTA (150 mg/kg) along with vitamin B12 maintained weight over the course of the experiment.

In conclusion, administration of super-physiologic but non-toxic doses of the vitamins, folic acid and vitamin B12, did not alter the antitumor activity of ALIMTA in the human MX-1 breast carcinoma xenograft tumor in nude mice and did not increase the toxicity of ALIMTA as determined by body weight measurements of the animals.

The effect of vitamin B12, alone or in combination with folic acid, on antifolates can be demonstrated in standard tests commonly utilized to determine the antitumor activity and toxic effects of the antifolates themselves. In one such test, mice are

- 20 inoculated with the C3H strain of mammary adenocarcinoma by inserting a 2 mm by 2 mm section of tumor into the axillary region of the mice by trocar. The timing of administering the methylmalonic acid lowering agent, alone or in combination with the folic acid, and the antifolate may be varied. Ten animals are used at each dosage level. Antitumor activity is assessed on day ten (when day one is first dosage of antifolate) by
- 25 measuring the length and width of the tumor growth using vernier calipers, and the activity is expressed as a percent inhibition of tumor growth.

When the antifolate is administered to infected mice which are maintained on a diet totally free of vitamin B12 and optionally folic acid for two weeks prior to and during treatment, it exhibits moderated antitumor activity at very low doses, but also

30 causes severe toxicity at a very low dose (measured as death of mice).

A test group of mice are maintained on a vitamin B12 and optionally folic acid free diet for two weeks before treatment. Vitamin B12 and optionally folic acid is then

### NEPTUNE GENERICS 1002 - 00371 APOTEX 1002 - 0371

administered during the treatment by intramuscular injection of 0.0003% vitamin B12 (weight/volume) and optionally providing the animals drinking water containing 0.0003% folic acid (weight/volume). This concentration translates to about 1.75 mg of vitamin B12 and optionally folic acid per square meter of body surface per day. As the foregoing

5 results indicate, addition of the indicated level of vitamin B12 to the diet of a subject receiving an antifolate results in excellent antitumor activity at low doses, with little or no toxic effects.

The foregoing tests establish that for tumor bearing mice maintained on a vitamin B12 and optionally folic acid free diet prior to and during treatment with an antifolate, the toxicity of the antifolate is very large, with 1 mg/kg/day being lethal to the majority of the mice, and lower antitumor activity is observed at non-toxic drug doses. Very low doses of vitamin B12 partially reverses drug toxicity and improved antitumor activity. Larger doses of vitamin B12 reduce antifolate toxicity even more significantly. Pretreatment of the mouse with vitamin B12 and then administering folic acid prior to administering the

15 antifolate demonstrates a striking reduction in toxicity, almost eliminating the antifolate toxicity completely. Thus, the use of vitamin B12 in combination with an antifolate reduces drug toxicity without adversely affecting antitumor activity, and the use of vitamin B12 in conjunction with folic acid synergistically reduces drug toxicity.

In a typical clinical evaluation involving cancer patients, all of whom have

- 20 histologically or cytologically confirmed diagnosis of cancer, an antifolate is administered in combination with vitamin B12. Vitamin B12 is administered as a 1000 µg intramuscular injection 1-3 weeks prior to treatment with the antifolate, and 1000 µg intramuscular injection of vitamin B12 is made approximately every 9 weeks until the patient discontinues from therapy. The antifolate is administered in four doses over a two
- 25 week period by rapid intravenous injection, followed by two weeks of non-therapy. Dosing is made on days 1, 4, 8 and 11 of any two week period. Patients will have an initial course of therapy at a dose of 5 mg/m<sup>2</sup>/dose, and depending upon the toxic effects observed in the initial course, their subsequent courses may be at the same dose, or may be escalated to 6 mg/m<sup>2</sup>, or may be attenuated to 4 mg/m<sup>2</sup>.
- 30 In preparation for the foregoing clinical study, pilot studies in humans have established that vitamin B12 given to patients receiving Alimta has effected reduced side

-12-

### NEPTUNE GENERICS 1002 - 00372 APOTEX 1002 - 0372

-13-

effects due to the Alimta. One to two weeks prior to administration of ALIMTA urine is collected and blood is drawn from a human subject; and vitamin metabolite levels, methylmalonic acid and homocysteine, are determined. Homocysteine levels are determined in blood by a fluorescent polarization immunoassay kit manufactured by

5 Abbot Laboratories. Methylmalonic acid levels are determined by urine levels using a 24 hour urine collection kit available from Biolab Medical Unit (a United Kingdom company). Additionally urine and blood may be collected one week prior to administration of ALIMTA (after at least 5 days of folic acid supplementation and at least 1 week vitamin B12 supplementation), and up to 4 days prior to every cycle.

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Method of administration and dosing procedures:

1. Folic Acid:

Folic acid will be supplied as one of the following options, with preference in order from option #1 to option #3:

- 1. 350 600 µg folic acid.
- 2. A multivitamin containing folic acid in the range of  $350 \ \mu g$  to  $600 \ \mu g$  is acceptable if option #1 is not available.
- 3. A dose of folic acid between 350  $\mu$ g and 1000  $\mu$ g is acceptable if neither option #1 or option #2 is available.

For purposes of this study, patients should take oral folic acid daily beginning approximately 1 to 3 weeks before treatment with ALIMTA plus cisplatin or cisplatin alone and continuing daily until discontinuation from study therapy.

2. Vitamin B12

25 Vitamin B12 will be obtained and administered as a 1000 µg intramuscular injection. A vitamin B12 injection must be administered approximately 1 to 3 weeks before treatment with ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Folic acid supplementation, 350 – 600 µg or equivalent should be taken orally
daily beginning approximately 1 to 3 weeks prior to the first dose of MTA plus cisplatin and continue daily until the patient discontinues from study therapy. A vitamin B12

# NEPTUNE GENERICS 1002 - 00373 APOTEX 1002 - 0373

injection, 1000  $\mu$ g, must be given intramuscularly approximately 1 to 3 weeks prior to the first dose of ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Compare presupplementation homocysteine and methylmalonic acid levels to a)
the level immediately prior to the initial dose of study drug, and b) to the level immediately prior to the second dose of study drug (i.e., after a full cycle of supplementation), and compare the prevalence of specific toxicities experienced in up to the first seven cycles of therapy in patients who have been supplemented from baseline to the prevalence seen in the earlier patients (n = 246) who were not supplemented (Farber

10 et al.)

Toxicity may be compared in specific patients in non-supplemented cycles versus supplemented cycles (cross-over patients).

The data to be compared are:

- 1) Patient numbers and baseline demographic data for those supplemented from baseline.
- 2) Homocysteine and methylmalonic acid levels, levels at baseline, prior to first dose, prior to second dose, and prior to each therapy cycle depending of the type of cancer under study.
- 3) Grade 3 and 4 hematologic toxicity in these fully supplemented patients.

4) Grade 3 and 4 nonhematologic toxicity in these fully supplemented patients.

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The grading of toxicities in chemotherapuetic clinical trials is well known to a person of skill in the art. Examples of fatigue and skin rash grading are provided below.

#### 25 Fatigue Grading --

Neuromotor

Grade 0	none or no	change
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- Grade 1 subjective weakness; no objective findings
- Grade 2 mild objective weakness without significant impairment of function
- 30 Grade 3 objective weakness with impairment of function
  - Grade 4 paralysis

# NEPTUNE GENERICS 1002 - 00374 APOTEX 1002 - 0374

#### **Rash Grading --**

#### Skin

Grade 0 none or no change

- Grade 1 scattered macular or papular eruption or erythema that is asymptomatic
- 5 Grade 2 scattered macular or papular eruption or erythema with pruritus or other associated eruption symptoms

Grade 3 generalized symptomatic macular, papular, or vesicular eruption

Grade 4 exfoliative dermatitis or ulcerating dermatitis

10 The vitamins (both folic acid and B12) to be used in the following studies may be obtained from Zenith Gold Line, Centrum, Folvite, or in Canada Apo-Folic. Cyanocobalamin is used as the methylmalonic acid lowering agent in these studies.

Current and past clinical trials show a 4% drug-related death total, 50% grade 3/4 neutropenia, 7% grade 4 thrombocytopenia, and 10% grade 3/4 diarrheas and mucositis in

15 patients administered ALIMTA and folic acid as described in U.S. Pat. No. 5,217,974. Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%. The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated. The combination of vitamin B12 and folic acid has</p>

20 lowered the drug related grade 3/4 toxic events, see Table 1.

	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N=78)
Hematologic Toxicity/Non-	37%	6.4%
Hematologic Toxicity		
Neutropenia	32%	2.6%
Mucositis	5%	1.3%
Diarrhea	6%	2.6%
Neutropenia and Mucositis	3%	0%
Neutropenia and Diarrhea	3%	0%
Neutropenia and Infection	2%	0%

Table 1

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Additionally, sixty-two chemonaive patients requiring chemotherapeutic treatment were divided into two groups. Seventeen of these patients received ALIMTA, but did not receive vitamin B12 or folic acid, as described *supra*. The remaining patients received

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-16-

treatment with vitamin B12, folic acid, and ALIMTA, as described *supra*. Of patients who received the combination treatment, 8 out of 45 responded to the chemotherapy. Of patients who did not receive the combination treatment, but rather, received only treatment with ALIMTA, only 1 out of 17 patients responded.

-17-

#### <u>Abstract</u>

A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

#### NOVEL ANTIFOLATE COMBINATION THERAPIES

5 This application is a divisional of Application No. 11/288,807, filed 29 November 2005, which is a divisional of Application No. 10/297,821 filed 12 May 2002, now Patent Number 7,053,065, which claims priority under 35 USC 371, for PCT/US01/14860, filed 15 June 2001, which claims the priority of U.S. provisional applications No. 60/215,310, filed 30 June 2000, No. 60/235,859, filed 27 September 2000, and No. 60/284,448, filed

10 18 April 2001.

Potentially, life-threatening toxicity remains a major limitation to the optimal administration of antifolates. (see, generally, <u>Antifolate Drugs in Cancer Therapy</u>, edited by Jackman, Ann L., Humana Press, Totowa, NJ, 1999.) In some cases, a supportive intervention is routinely used to permit safe, maximal dosing. For example, steroids, such

15 as dexamethone, can be used to prevent the formation of skin rashes caused by the antifolate. (Antifolate, pg 197.)

Antifolates represent one of the most thoroughly studied classes of antineoplastic agents, with aminopterin initially demonstrating clinical activity approximately 50 years ago. Methotrexate was developed shortly thereafter, and today is a standard component

- 20 of effective chemotherapeutic regimens for malignancies such as lymphoma, breast cancer, and head and neck cancer. (Bonnadonna G, Zambetti M, Valagussa P. Sequential or alternating doxorubicin and CMF regimens in breast cancer with more than three positive nodes: Ten year results. JAMA 1995;273(7):542-547; Bonnadonna G, Valagussa P, Moliterni A, Zambetti M, Brambilla C. Adjuvant cyclophosphamide, methotrexate, and
- 25 fluorouracil in node-positive breast cancer: The results of 20 years of follow-up. N Engl J Med 1995;332(14):901-906; and Hong WK, Schaefer S, Issell B, et al. A prospective randomized trial of methotrexate versus cisplatin in the treatment of recurrent squamous cell carcinoma of the head and neck. Cancer 1983;52:206-210.) Antifolates inhibit one or several key folate-requiring enzymes of the thymidine and purine biosynthetic pathways,
- 30 in particular, thymidylate synthase (TS), dihydrofolate reductase (DHFR), and glycinamide ribonucleotide formyltransferase (GARFT), by competing with reduced folates for binding sites of these enzymes. (Shih C, Habeck LL, Mendelsohn LG, Chen

### NEPTUNE GENERICS 1002 - 00378 APOTEX 1002 - 0378

VJ, Schultz RM. Multiple folate enzyme inhibition: Mechanism of a novel pyrrolopyrimidine-based antifolate LY231514 (MTA). Advan Enzyme Regul, 1998; 38:135-152 and Shih C, Chen VJ, Gossett LS, et al. LY231514, a pyrrolo[2,3d]pyrimidine-based antifolate that inhibits multiple folate-requiring enzymes. Cancer Res

- 5 1997;57:1116-1123.) Several antifolate drugs are currently in development. Examples of antifolates that have thymidylate synthase inhibiting ("TSI") characteristics include 5-fluorouracil and Tomudex®. An example of an antifolate that has dihydrofolate reductase inhibiting ("DHFRI") characteristic is Methotrexate®. An example of an antifolate that has glycinamide ribonucleotide formyltransferase inhibiting ("GARFTI")
- 10 characteristics is Lometrexol. Many of these antifolate drugs inhibit more than one biosynthetic pathway. For example Lometrexol is also an inhibitor of dihydrofolate reductase and pemetrexed disodium (Alimta®, Eli Lilly and Company, Indianapolis, IN) has demonstrated thymidylate synthase, dihydrofolate reductase, and glycinamide ribonucleotide formyltransferase inhibition.
- 15 A limitation to the development of these drugs is that the cytotoxic activity and subsequent effectiveness of antifolates may be associated with substantial toxicity for some patients. Additionally antifolates as a class are associated with sporadic severe mylosuppression with gastrointestinal toxicity which, though infrequent, carries a high risk of mortality. The inability to control these toxicities led to the abandonment of
- 20 clinical development of some antifolates and has complicated the clinical development of others, such as Lometrexol and raltitrexed. (Jackman AL, Calvert AH Folate-Based Thymidylate Synthase Inhibitors as Anticancer Drugs. Ann Oncol 1995;6(9):871-881; Laohavinij S, Wedge SR, Lind MJ, et al. A phase I clinical study of the antipurine antifolate Lometrexol (DDATHF) given with oral folic acid. Invest New Drugs
- 25 1996;14:325-335; and Maughan TS, James RD, Kerr D, et al., on behalf of the British MRC Colorectal Cancer Working Party. Preliminary results of a multicenter randomized trial comparing 3 chemotherapy regimens (deGramont, Lokich, and raltitrexed) in metastatic colorectal cancer. Proc ASCO 1999;18:Abst 1007.)

Initially, folic acid was used as a treatment for toxicities associated with GARFTI see, e.g. U.S. Pat. No. *5*,217,974. Folic acid has been shown to lower homocysteine

30 see, e.g. U.S. Pat. No. 5,217,974. Folic acid has been shown to lower homocysteine levels (see e.g. Homocysteine Lowering Trialist's Collaboration. Lowering blood homocysteine with folic acid based supplements: meta-analysis of randomized trials. BMJ

-2-

# NEPTUNE GENERICS 1002 - 00379 APOTEX 1002 - 0379

1998;316:894-898 and Naurath HJ, Joosten E, Riezler R, Stabler SP, Allen RH, Lindenbaum J. Effects of vitamin B12, folate and vitamin B6 supplements in elderly people with normal serum vitamin concentrations. Lancet 1995;346:85-89), and homocysteine levels have been shown to be a predictor of cytotoxic events related to the

- 5 use of GARFT inhibitors, see e.g. U.S. Pat. No. 5,217,974. However, even with this treatment, cytotoxic activity of GARFT inhibitors and antifolates as a class remains a serious concern in the development of antifolates as pharmaceutical drugs. The ability to lower cytotoxic activity would represent an important advance in the use of these agents. Surprisingly and unexpectedly, we have now discovered that certain toxic effects
- 10 such as mortality and nonhematologic events, such as skin rashes and fatigue, caused by antifolates, as a class, can be significantly reduced by the presence of a methylmalonic acid lowering agent, without adversely affecting therapeutic efficacy. The present invention thus provides a method for improving the therapeutic utility of antifolate drugs by administering to the host undergoing treatment with a methylmalonic acid lowering
- 15 agent. We have discovered that increased levels of methylmalonic acid is a predictor of toxic events in patients that receive an antifolate drug and that treatment for the increased methylmalonic acid, such as treatment with vitamin B12, reduces mortality and nonhematologic events, such as skin rashes and fatigue events previously associated with the antifolate drugs.
- 20 Additionally, we have discovered that the combination of a methylmalonic acid lowering agent and folic acid synergistically reduces the toxic events associated with the administration of antifolate drugs. Although, the treatment and prevention of cardiovascular disease with folic acid in combination with vitamin B12 is known, the use of the combination for the treatment of toxicity associated with the administration of
- antifolate drugs was unknown heretofore.

The present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of reducing the toxicity
associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

#### -3-

### NEPTUNE GENERICS 1002 - 00380 APOTEX 1002 - 0380

-4-

Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of administering an
antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering

10 to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an

15 antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent, alone or in combination with a FBP binding agent, in the preparation of a medicament useful in lowering the mammalian toxicity of an antifolate. A preferred FBP

20 binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

25 Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the manufacture of a medicament for use in a method of inhibiting tumor growth in mammals, which method comprises administering said methylmalonic acid lowering agent in combination with an antifolate.

# NEPTUNE GENERICS 1002 - 00381 APOTEX 1002 - 0381

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-5-

Furthermore, the present invention relates to a product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.

The current invention concerns the discovery that administration of a methylmalonic acid lowering agent in combination with an antifolate drug reduces the toxicity of the said antifolate drug.

The term "inhibit" as it relates to antifolate drugs refers to prohibiting, alleviating, ameliorating, halting, restraining, slowing or reversing the progression of, or reducing tumor growth.

As used herein, the term "effective amount" refers to an amount of a compound or drug, which is capable of performing the intended result. For example, an effective amount of an antifolate drug that is administered in an effort to reduce tumor growth is that amount which is required to reduce tumor growth.

15 As used herein, the term "toxicity" refers to a toxic event associated with the administration on an antifolate. Such events include, but are not limited to, neutropenia, thrombopenia, toxic death, fatigue, anorexia, nausea, skin rash, infection, diarrhea, mucositis, and anemia. For further explanation of the types of toxicity experienced by patients receiving antifolates, see, generally, <u>Antifolate Drugs in Cancer Therapy</u>.

20 Preferably, toxicity refers to toxic death, fatigue, neutropenia, thrombopenia, and mucositis.

As used herein, the term "nonhematologic event" refers to the occurrence of skin rash or fatigue due to the administration of an antifolate.

As used herein, the term "in combination with" refers to the administration of the 25 methylmalonic acid lowering agent, the antifolate drug, and optionally the folic acid; in any order such that sufficient levels of methylmalonic acid lowering agent and optionally folic acid are present to reduce the toxicity of an antifolate in a mammal. The administration of the compounds maybe simultaneous as a single composition or as two separate compositions or can be administered sequentially as separate compositions such

30 that an effective amount of the agent first administered is in the patient's body when the second and/or third agent is administered. The antifolate drug may be administered to the mammal first, followed by treatment with the methylmalonic acid lowering agent.

# NEPTUNE GENERICS 1002 - 00382 APOTEX 1002 - 0382

-6-

Alternatively, the mammal may be administered the antifolate drug simultaneously with the methylmalonic acid lowering agent. Preferably, the mammal is pretreated with the methylmalonic acid lowering agent and then treated with the antifolate. If folic acid is to be administered in addition to the methylmalonic acid lowering agent, the folic acid may

5 be administered at any time prior, post, or simultaneously to the administration of either the methylmalonic acid lowering agent or the antifolate. Preferably, the mammal is pretreated with the methylmalonic acid, and then treated with folic acid, followed by treatment with the antifolate compound.

The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced folates for binding sites of these enzymes. Preferred examples of antifolates include Tomudex®, as manufactured by Zeneca; Methotrexate®, as manufactured by Lederle;

- 15 Lometrexol®, as manufactured by Tularik; pyrido[2,3-d]pyrimidine derivatives described by Taylor et al in U.S. Pat. Nos. 4,684,653, 4,833,145, 4,902,796, 4,871,743, and 4,882,334; derivatives described by Akimoto in U.S. Pat. No. 4,997,838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed Disodium (ALIMTA), as manufactured by Eli Lilly & Co.
- 20 The terms "methylmalonic acid" and "MMA" refer to a structural isomer of succinic acid present in minute amounts in healthy human urine.

The term "methylmalonic acid lowering agent" refers to a substrate, which lowers the concentration of methylmalonic acid in a mammal. A preferred example of such a substrate is vitamin B12. For methods of determining methylmalonic acid and substrates

- 25 therefore, see, e.g., Matchar DB, Feussner JR, Millington DS, et al. Isotope dilution assay for urinary methylmalonic acid in the diagnosis of vitamin B12 deficiency. A prospective clinical evaluation. Ann Intern Med 1987; 106: 707-710; Norman EJ, Morrison JA. Screening elderly populations for cobalamin (vitamin B12) deficiency using the urinary methylmalonic acid assay by gas chromatography mass spectrometry. Am J Med 1993;
- 30 94: 589-594; Norman EJ. Gas Chromatography mass spectrometry screening of urinary methylmalonic acid: early detection of vitamin B12 (cobalamin) deficiency to prevent permanent neurologic disability. GC/MS News 1984; 12:120-129; Martin DC, Francis J,

### NEPTUNE GENERICS 1002 - 00383 APOTEX 1002 - 0383

Protetch J, Huff FJ. Time dependency of cognitive recovery with cobalamin replacement: report of a pilot study. JAGS 1992; 40: 168-172; Norman EJ, Cronin C. Cobalamin

deficiency. Neurol 1996; 47: 310-311; Rasmussen K, Moelby I, Jensen MK. Studies on methylmalonic acid in humans; Savage DG, Lindenbaum J, Stabler SP, Allen RH.

5 Sensitivity of methylmalonic acid and total homocysteine determination for diagnosing cobalamin and folate deficiency. Am J Med 1994; 96: 239-246.

The term "vitamin B12" refers to vitamin B12 and its pharmaceutical derivatives, such as hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.

10 Preferably the term refers to vitamin B12, cobalamin, and chlorocobalamin.

The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, such as a sustained release formulation, as an aqueous solution added to drinking water, or as an aqueous parenteral formulation. Preferably the methylmalonic acid lowering agent is administered as an intramuscular

15 injection formulation. Such formulations are known in the art and are commercially available.

The skilled artisan will appreciate that the methylmalonic lowering agents are effective over a wide dosage range. For example, when cobalamin is used as the methylmalonic lowering agent, the dosage of cobalamin may fall within the range of

- 20 about 0.2 µg to about 3000 µg of cobalamin from once daily for a month to once every nine weeks for a year. Preferably, cobalamin will be dosed as an intramuscular injection of about 500 µg to about 1500 µg administered from about every 24 hours to about every 1680 hours. Preferably, it is an intramuscular injection of about 1000 µg administered initially from about 1 to about 3 weeks prior to administration of the antifolate and
- 25 repeated from about every 24 hours to about every 1680 hours, regardless of when treatment with the antifolate is started and continued until the administration of the antifolate is discontinued. Most preferred is an intramuscular injection of about 1000 μg administered initially from about 1 to about 3 weeks prior to the first administration of the antifolate and repeated every 6 to 12 weeks, preferably about every 9 weeks, and
- 30 continued until the discontinuation of the antifolate administrations. However, it will be understood that the amount of the methylmalonic acid lowering agent actually

#### -7-

# NEPTUNE GENERICS 1002 - 00384 APOTEX 1002 - 0384

-8-

administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit

5 the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.

The term "FBP binding agent" as used herein refers to a folic binding protein binding agent which includes folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and

- 10 (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof. This latter compound is the (6R)-isomer of leucovorin as disclosed in J. Am. Chem. Soc., 74, 4215 (1952). Both of the tetrahydrofolic acid compounds are in the unnatural configuration at the 6-position. They are 10-20 fold more efficient in binding the folate binding protein compared with their respective (6S)—isomer, see Ratnam, et.
- 15 al., Folate and Antifolate Transport in Mammalian Cells Symposium, Mar. 21-22, 1991, Bethesda, MD. These compounds are usually prepared as a mixture with their natural form (6S) of diastereomers by non-stereoselective reduction from the corresponding dehydro precursors followed by separation through chromatographic or enzymatic techniques. See e.g. PCT Patent Application Publication WO 880844 (also Derwent
- 20 Abstract 88-368464/51) and Canadian Patent 1093554. See, e.g. Dietary Reference Intakes for Thiamin, Riboflavin, Niacin, Vitamin B6, Folate, Vitamin B12, Pantothenic Acid, Biotin, and Choline (2000), 8 Folate, pp. 196-305.
- 25 "Physiologically-available salt" refers to potassium, sodium, lithium, magnesium, or preferably a calcium salt of the FBP binding agent. "Physiologically-available...ester" refers to esters which are easily hydrolyzed upon administration to a mammal to provide the corresponding FBP binding agent free acid, such as  $C_1$ - $C_4$  alkyl esters, mixed anhydrides, and the like.

30 The FBP binding agent to be utilized according to this invention can be in its free acid form, or can be in the form of a physiologically-acceptable salt or ester which is converted to the parent acid in a biological system. The dosage generally will be

### NEPTUNE GENERICS 1002 - 00385 APOTEX 1002 - 0385

-9-

provided in the form of a vitamin supplement, namely as a tablet administered orally, preferably as a sustained release formulation, as an aqueous solution added to drinking water, an aqueous parenteral formulation, e.g., an intravenous formulation, or the like.

The FBP binding agent is usually administered to the subject mammal prior to treatment with the antifolate. Pretreatment with the suitable amount of FBP binding agent

- 5 treatment with the antifolate. Pretreatment with the suitable amount of FBP binding agent from about 1 to about 24 hours is usually sufficient to substantially bind to and block the folate binding protein prior to administration of the antifolate. Although one single dose of the FBP binding agent, preferably an oral administration of folic acid, should be sufficient to load the folate binding protein, multiple dosing of the FBP binding agent can
- 10 be employed for periods up to weeks before treatment with the active agent to ensure that the folate binding protein is sufficiently bound in order to maximize the benefit derived from such pretreatment.

In the especially preferred embodiment of this invention, about 0.1 mg to about 30 mg, most preferably about 0.3 mg to about 5 mg, of folic acid is administered orally to a

- 15 mammal about 1 to 3 weeks post administration of the methylmalonic acid lowering agent and about 1 to about 24 hours prior to the parenteral administration of the amount of an antifolate. However, it will be understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of
- 20 administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any
- 25 harmful side effect.

In general, the term "pharmaceutical" when used as an adjective means substantially non-toxic to living organisms.

#### Methods

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To assess the effect of a methylmalonic acid lowering agent, alone or in combination with folic acid on the antitumor efficacy of an antifolate in a human tumor xenograft model, female nude mice bearing human MX-1 breast carcinoma were treated

### NEPTUNE GENERICS 1002 - 00386 APOTEX 1002 - 0386

-10-

with ALIMTA alone or along with super-physiologic doses of folic acid or vitamin B12 (cobalamin).

The animals were maintained on sterilized standard lab chow ad libitum and sterilized water ad libitum. The human MX-1 tumor cells (5 x  $10^6$ ) obtained from donor

5 tumors were implanted subcutaneously in a thigh of female nude mice 8- to 10-weeks old. Beginning on day 7 post tumor cell implantation, the animals were treated with ALIMTA (100 mg/kg or 150 mg/kg) once daily on days 7 through 11 and 14 through 18 by intraperitoneal injection alone or along with folic acid (6 mg/kg or 60 mg/kg) and/or vitamin B12 (165 mg/kg) by intraperitoneal injection on the same schedule.

10 Tumor response was monitored by tumor volume measurements twice weekly over the course of the experiment. Toxicity was monitored by body weight measurements made at the same time as the tumor volume measurements. Tumor growth delay was the difference in days for the treated and the controls tumors to reach 1000 mm<sup>3</sup>.

15 The human MX-1 breast carcinoma xenograft was responsive to treatment with ALIMTA with doses of 100 mg/kg and 150 mg/kg producing tumor growth delays of 17 days and 21 days, respectively. Folic acid was administered to the animals alone at two doses 6 mg/kg and 60 mg/kg on the same schedule as ALIMTA and produced tumor growth delays of 7 days and 12 days, respectively. Vitamin B12 administered alone at a

20 dose of 165 mg/kg resulted in a tumor growth delay of 12 days.

Combinations of ALIMTA at each of the two doses were administered along with each of the vitamins as simultaneous combination regimens. Administration of folic acid (6 mg/kg) along with ALIMTA did not alter the tumor growth delay produced from that obtained with ALIMTA alone. The addition of folic acid at the higher dose (60 mg/kg)

25 along with each dose of ALIMTA resulted in small increases in tumor growth delay to 22 days and 23 days at the ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively. The tumor growth delays with ALIMTA and vitamin B12 (165 mg/kg) treatment were 22 days and 24 days at ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively.

30 Body weight was used as a general measure of toxicity for each of the treatment regimens. The body weight loss pattern reflected the treatment regimens with weight decrease during the treatment times of days 7 through 11 and 14 through 18 with some

### NEPTUNE GENERICS 1002 - 00387 APOTEX 1002 - 0387

weight recovery during the intervening two days. The weight loss due to ALITMA was dose dependent but overall minor (3%). Folic acid alone at either 6 mg/kg or 60 mg/kg did not cause weight loss, in fact folic acid treated animals maintained weight and gained weight over the course of the experiment better than the control animals. The animals

5 treated with ALIMTA (100 mg/kg) and folic acid (60 mg/kg) gained weight (about 20%) over the course of the experiment.

Administration of vitamin B12 did not prevent weight gain in the animals over the time course of the experiment. The animals treated with ALIMTA (100 mg/kg) along with vitamin B12 gained weight while those treated with ALIMTA (150 mg/kg) along with vitamin B12 maintained weight over the course of the experiment.

In conclusion, administration of super-physiologic but non-toxic doses of the vitamins, folic acid and vitamin B12, did not alter the antitumor activity of ALIMTA in the human MX-1 breast carcinoma xenograft tumor in nude mice and did not increase the toxicity of ALIMTA as determined by body weight measurements of the animals.

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The effect of vitamin B12, alone or in combination with folic acid, on antifolates can be demonstrated in standard tests commonly utilized to determine the antitumor activity and toxic effects of the antifolates themselves. In one such test, mice are inoculated with the C3H strain of mammary adenocarcinoma by inserting a 2 mm by 2

- 20 mm section of tumor into the axillary region of the mice by trocar. The timing of administering the methylmalonic acid lowering agent, alone or in combination with the folic acid, and the antifolate may be varied. Ten animals are used at each dosage level. Antitumor activity is assessed on day ten (when day one is first dosage of antifolate) by measuring the length and width of the tumor growth using vernier calipers, and the
- 25 activity is expressed as a percent inhibition of tumor growth.

When the antifolate is administered to infected mice which are maintained on a diet totally free of vitamin B12 and optionally folic acid for two weeks prior to and during treatment, it exhibits moderated antitumor activity at very low doses, but also causes severe toxicity at a very low dose (measured as death of mice).

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A test group of mice are maintained on a vitamin B12 and optionally folic acid free diet for two weeks before treatment. Vitamin B12 and optionally folic acid is then administered during the treatment by intramuscular injection of 0.0003% vitamin B12

### NEPTUNE GENERICS 1002 - 00388 APOTEX 1002 - 0388

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(weight/volume) and optionally providing the animals drinking water containing 0.0003% folic acid (weight/volume). This concentration translates to about 1.75 mg of vitamin B12 and optionally folic acid per square meter of body surface per day. As the foregoing results indicate, addition of the indicated level of vitamin B12 to the diet of a subject

5 receiving an antifolate results in excellent antitumor activity at low doses, with little or no toxic effects.

The foregoing tests establish that for tumor bearing mice maintained on a vitamin B12 and optionally folic acid free diet prior to and during treatment with an antifolate, the toxicity of the antifolate is very large, with 1 mg/kg/day being lethal to the majority of the

- 10 mice, and lower antitumor activity is observed at non-toxic drug doses. Very low doses of vitamin B12 partially reverses drug toxicity and improved antitumor activity. Larger doses of vitamin B12 reduce antifolate toxicity even more significantly. Pretreatment of the mouse with vitamin B12 and then administering folic acid prior to administering the antifolate demonstrates a striking reduction in toxicity, almost eliminating the antifolate
- 15 toxicity completely. Thus, the use of vitamin B12 in combination with an antifolate reduces drug toxicity without adversely affecting antitumor activity, and the use of vitamin B12 in conjunction with folic acid synergistically reduces drug toxicity.

In a typical clinical evaluation involving cancer patients, all of whom have histologically or cytologically confirmed diagnosis of cancer, an antifolate is

- administered in combination with vitamin B12. Vitamin B12 is administered as a 1000 µg intramuscular injection 1-3 weeks prior to treatment with the antifolate, and 1000 µg intramuscular injection of vitamin B12 is made approximately every 9 weeks until the patient discontinues from therapy. The antifolate is administered in four doses over a two week period by rapid intravenous injection, followed by two weeks of non-therapy.
- 25 Dosing is made on days 1, 4, 8 and 11 of any two week period. Patients will have an initial course of therapy at a dose of 5 mg/m<sup>2</sup>/dose, and depending upon the toxic effects observed in the initial course, their subsequent courses may be at the same dose, or may be escalated to 6 mg/m<sup>2</sup>, or may be attenuated to 4 mg/m<sup>2</sup>.

In preparation for the foregoing clinical study, pilot studies in humans have established that vitamin B12 given to patients receiving Alimta has effected reduced side

effects due to the Alimta. One to two weeks prior to administration of ALIMTA urine is

-12-

-13-

collected and blood is drawn from a human subject; and vitamin metabolite levels, methylmalonic acid and homocysteine, are determined. Homocysteine levels are determined in blood by a fluorescent polarization immunoassay kit manufactured by Abbot Laboratories. Methylmalonic acid levels are determined by urine levels using a 24

5 hour urine collection kit available from Biolab Medical Unit (a United Kingdom company). Additionally urine and blood may be collected one week prior to administration of ALIMTA (after at least 5 days of folic acid supplementation and at least 1 week vitamin B12 supplementation), and up to 4 days prior to every cycle.

### 10 Method of administration and dosing procedures:

1. Folic Acid:

Folic acid will be supplied as one of the following options, with preference in order from option #1 to option #3:

15 1. 350 - 600 μg folic acid.

- 2. A multivitamin containing folic acid in the range of  $350 \ \mu g$  to  $600 \ \mu g$  is acceptable if option #1 is not available.
- A dose of folic acid between 350 μg and 1000 μg is acceptable if neither option #1 or option # 2 is available.
- For purposes of this study, patients should take oral folic acid daily beginning approximately 1 to 3 weeks before treatment with ALIMTA plus cisplatin or cisplatin alone and continuing daily until discontinuation from study therapy.
   Vitamin B12

Vitamin B12 will be obtained and administered as a 1000 µg intramuscular

25 injection. A vitamin B12 injection must be administered approximately 1 to 3 weeks before treatment with ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Folic acid supplementation,  $350 - 600 \mu g$  or equivalent should be taken orally daily beginning approximately 1 to 3 weeks prior to the first dose of MTA plus cisplatin

30 and continue daily until the patient discontinues from study therapy. A vitamin B12 injection, 1000 µg, must be given intramuscularly approximately 1 to 3 weeks prior to the

# NEPTUNE GENERICS 1002 - 00390 APOTEX 1002 - 0390

first dose of ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Compare presupplementation homocysteine and methylmalonic acid levels to a) the level immediately prior to the initial dose of study drug, and b) to the level

5 immediately prior to the second dose of study drug (i.e., after a full cycle of supplementation), and compare the prevalence of specific toxicities experienced in up to the first seven cycles of therapy in patients who have been supplemented from baseline to the prevalence seen in the earlier patients (n = 246) who were not supplemented (Farber et al.)

Toxicity may be compared in specific patients in non-supplemented cycles versus supplemented cycles (cross-over patients).

The data to be compared are:

- 1) Patient numbers and baseline demographic data for those supplemented from baseline.
- Homocysteine and methylmalonic acid levels, levels at baseline, prior to first dose, prior to second dose, and prior to each therapy cycle depending of the type of cancer under study.
  - 3) Grade 3 and 4 hematologic toxicity in these fully supplemented patients.
  - 4) Grade 3 and 4 nonhematologic toxicity in these fully supplemented patients.

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The grading of toxicities in chemotherapuetic clinical trials is well known to a person of skill in the art. Examples of fatigue and skin rash grading are provided below.

#### Fatigue Grading --

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Neuromotor

- Grade 0 none or no change
- Grade 1 subjective weakness; no objective findings
- Grade 2 mild objective weakness without significant impairment of function
- Grade 3 objective weakness with impairment of function
- 30 Grade 4 paralysis

Rash Grading --

-15-

#### Skin

Grade 0	none or no change	
Grade 1	scattered macular or papular eruption or erythema that is asymptomatic	
Grade 2	scattered macular or papular eruption or erythema with pruritus or other	
associated eruption symptoms		
Grade 3	generalized symptomatic macular, papular, or vesicular eruption	
Grade 4	exfoliative dermatitis or ulcerating dermatitis	

The vitamins (both folic acid and B12) to be used in the following studies may be

10 obtained from Zenith Gold Line, Centrum, Folvite, or in Canada Apo-Folic.

Cyanocobalamin is used as the methylmalonic acid lowering agent in these studies.

Current and past clinical trials show a 4% drug-related death total, 50% grade 3/4 neutropenia, 7% grade 4 thrombocytopenia, and 10% grade 3/4 diarrheas and mucositis in patients administered ALIMTA and folic acid as described in U.S. Pat. No. 5,217,974.

- 15 Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%. The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated. The combination of vitamin B12 and folic acid has lowered the drug related grade 3/4 toxic events, see Table 1.</p>
- 20

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Table 1

	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N=78)
Hematologic Toxicity/Non-	37%	6.4%
Hematologic Toxicity		
Neutropenia	32%	2.6%
Mucositis	5%	1.3%
Diarrhea	6%	2.6%
Neutropenia and Mucositis	3%	0%
Neutropenia and Diarrhea	3%	0%
Neutropenia and Infection	2%	0%

Additionally, sixty-two chemonaive patients requiring chemotherapeutic treatment were divided into two groups. Seventeen of these patients received ALIMTA, but did not receive vitamin B12 or folic acid, as described *supra*. The remaining patients received treatment with vitamin B12, folic acid, and ALIMTA, as described *supra*. Of patients

### X14173B

-16-

who received the combination treatment, 8 out of 45 responded to the chemotherapy. Of patients who did not receive the combination treatment, but rather, received only treatment with ALIMTA, only 1 out of 17 patients responded.

-17-

#### <u>Abstract</u>

A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

#### PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant: NIYIKIZA Clet		
Serial No.: 11/776,329		
Application Date: July 11, 2007	Conf No.: 6568	
For: NOVEL ANTIFOLATE COMBINATION THERAPIES		
Docket No.: X14173B		

#### **REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents Office of Initial Patent Examination Customer Service Center P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant requests correction of the filing receipt for this application. A copy of the receipt, with the corrections noted, is enclosed.

With the transmittal of this application, an Amendment and Petition to Correct Inventorship under 37 CFR 1.48(b) was also submitted. The filing receipt does not reflect the corrected inventorship.

Applicant believes no fees are due; however, if any fees are due, please charge any fees that may be required by this or related papers, or credit any overpayment, to Deposit Account No. 05-0840 in the name of Eli Lilly and Company. Applicant therefore requests that the filing receipt be corrected.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney/Agent for Applicant Registration No. 43,585 Phone: (317) 433-5333 Serial No. 11/776329

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288 <u>August 7, 2007</u>

Enclosure: Copy of Filing Receipt with the changes noted thereon.



Date Mailed: 07/18/2007

Receipt is acknowledged of this **no**pprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Clet Niyikiza, Indianapolis, IN; P<del>aolo Paoletti, Indianapolis, I</del>N; J<del>ames Jacob Rusthoven, Ancaster, CANADA;</del>

Power of Attorney: The patent practitioners associated with Customer Number 25885.

Domestic Priority data as claimed by applicant

This application is a DIV of 11/288,807 11/29/2005 <sup>d</sup> which is a DIV of 10/297,821 12/05/2002 PAT 7,053,065 which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of 60/215,310 06/30/2000 and claims benefit of 60/235,859 09/27/2000 ABN and claims benefit of 60/284,448 04/18/2001  $\checkmark$ 

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

NEPTUNE GENERICS 1002 - 00397

Title / NOVEL ANTIFOLATE COMBINATION THERAPIES

#### **Preliminary Class**

#### **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U S Government website, http://www.stopfakes.gov Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof

NEPTUNE GENERICS 1002 - 00398

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Electronic Acknowledgement Receipt			
EFS ID:	2057405		
Application Number:	11776329		
International Application Number:			
Confirmation Number:	6568		
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES		
First Named Inventor/Applicant Name:	Clet Niyikiza		
Customer Number:	25885		
Filer:	Manisha Arvind Desai/Lisa Capps		
Filer Authorized By:	Manisha Arvind Desai		
Attorney Docket Number:	X14173B		
Receipt Date:	07-AUG-2007		
Filing Date:	11-JUL-2007		
Time Stamp:	16:30:00		
Application Type:	Utility under 35 USC 111(a)		

## Payment information:

Submitted with Payment	no
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Applicant Response to Pre-Exam	Pre-Exam X14173BResptoRequestforC	150572 no		3
1	Formalities Notice	orrectedFiling.pdf	541d6d75d68eb420aff19840ee863d0c5 f3aaf09		0
Warnings:					

Information					
2		X14173BAmendedSpecMark	162063		17
2		edupcopy.pdf	3054d6e3790327768bd692b03327756 34e56213c	yes	17
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Information					
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	Abstract		17	1	7
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Information					
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATE	es Patent and Trade	emark Office	UNITED STATES DEPARTY United States Patent and Tr Address COMMISSIONER FOR P PO. Box 1450 Alexandria, Vignina 22313-143 www.usplo.gov	rademark Office ATENTS
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET.NO
11/776,329	07/11/2007		1000	X14173B
			CONFIRM	ATION NO. 6568

FILING RECEIPT

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

#### Date Mailed: 07/18/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Clet Niyikiza, Indianapolis, IN; Paolo Paoletti, Indianapolis, IN; James Jacob Rusthoven, Ancaster, CANADA;

Power of Attorney: The patent practitioners associated with Customer Number 25885

#### Domestic Priority data as claimed by applicant

This application is a DIV of 11/288,807 11/29/2005 which is a DIV of 10/297,821 12/05/2002 PAT 7,053,065 which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of 60/215,310 06/30/2000 and claims benefit of 60/235,859 09/27/2000 ABN and claims benefit of 60/284,448 04/18/2001

#### **Foreign Applications**

If Required, Foreign Filing License Granted:

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title

## NEPTUNE GENERICS 1002 - 00403 APOTEX 1002 - 0403

#### NOVEL ANTIFOLATE COMBINATION THERAPIES

#### **Preliminary Class**

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 37, Code of Federal Regulations, 5.11 & 5.15

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UNITED STAT	ES PATENT AND TRADEMA	UNITED ST United Stat Address: COMM P.O. Bo	Iria, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
11/776,329	07/11/2007	Clet Niyikiza	X14173B
			CONFIRMATION NO. 6568

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288

Date Mailed: 07/18/2007

LETTER

FORMALITIES

#### NOTICE TO FILE CORRECTED APPLICATION PAPERS

#### Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

• A substitute specification excluding claims in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125 is required. The substitute specification must be submitted with markings and be accompanied by a clean version (without markings) as set forth in 37 CFR 1.125(c) and a statement that the substitute specification contains no new matter (see 37 CFR 1.125(b)). Since a preliminary amendment was present on the filing date of the application and such amendment is part of the original disclosure of the application, the substitute specification must include all of the desired changes made in the preliminary amendment. See 37 CFR 1.115 and 1.215.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

Replies should be mailed to: Mail Stop Missing Parts

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

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### NEPTUNE GENERICS 1002 - 00406

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"Express Mail" mailing label number	
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	with the United States Postal Service "Express Mail Post Office to icated above and is addressed to the Commissioner for Patents, P.O.
Printed Name	Signature

#### <u>PATENT APPLICATION</u> <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

First Applicant:	NIYIKIZA Clet	
Title:	NOVEL ANTIFOLATE COMBINATION T	HERAPIES
Docket No.:	X-14173B	

#### PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### **Introductory Comments**

Please amend the accompanying application as follows:

Amendments to the Specification are reflected on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims, which begins on page 3 of this paper.

Remarks/Arguments begin on page 6 of this paper.

#### Amendments to the Specification

At page 1, line 2, please insert the following replacement paragraph:

This application is a divisional of Application No. 11/288,807, filed 29 November 2005, which is a divisional of Application No. 10/297,821 filed 05 December 2002, now Patent Number 7,053,065, which claims priority under 35 USC 371, for PCT/US01/14860, filed 15 June 2001, which claims the priority of U.S. provisional applications No. 60/215,310, filed 30 June 2000, No. 60/235,859, filed 27 September 2000, and No. 60/284,448, filed 18 April 2001.

Please replace paragraph [0024], at page 6, lines 6-16, with the following amended paragraph:

[0024] The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced folates for binding sites of these enzymes. Preferred examples of antifolates include 5-fluorouracil, as manufactured by Glaxo; Tomudex®, as manufactured by Zeneca; Methotrexate®, as manufactured by Lederle; Lometrexol®, as manufactured by Tularik; pyrido[2,3d]pyrimidine derivatives described by Taylor et al in U.S. Pat. Nos. 4,684,653, 4,833,145, 4,902,796, 4,871,743, and 4,882,334; derivatives described by Akimoto in U.S. Pat. No. 4,997,838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed <del>Sodium Disodium</del> (ALIMTA), as manufactured by Eli Lilly & Co.

#### Amendments to the Claims

This listing of claims will replace all prior versions and listings of claims in the application.

#### Listing of Claims:

Claims 1-28. Cancelled

29. (New) An improved method for administering pemetrexed disodium to a patient in need of chemotherapeutic treatment, wherein the improvement comprises:

 administration of between 350 µg and 1000 µg of folic acid, daily beginning approximately 1 to 3 weeks before treatment with pemetrexed disodium;

b) administration of a methylmalonic acid lowering agent selected from the group consisting of vitamin B<sub>12</sub>, hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent is administered from about 1 to about 3 weeks prior to the first administration of pemetrexed disodium; and

c) administration of pemetrexed disodium in combination with between  $350 \ \mu g$ and  $1000 \ \mu g$  of folic acid, daily, until administration of pemetrexed disodium is discontinued, and a methylmalonic acid lowering agent selected from the group consisting of vitamin B<sub>12</sub>, hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent administration is repeated from about every 6 weeks to about every 12 weeks, until administration of pemetrexed disodium is discontinued.

30. (New) The improved method of Claim 29 wherein the methylmalonic acid lowering agent is vitamin  $B_{12}$ .

31. (New) The improved method of Claim **30** wherein about 500 $\mu$ g to about 1500 $\mu$ g of vitamin B<sub>12</sub> is administered.

32. (New) The improved method of Claim 31 wherein about 1000  $\mu$ g of vitamin B<sub>12</sub> is administered.

Docket No. X-14173B

33. (New) The improved method of **Claim 29** wherein the methylmalonic acid lowering agent is administered by an intramuscular injection, orally, or as a parenteral.

34. (New) The improved method of **Claim 33** wherein the methylmalonic acid lowering agent is administered by an intramuscular injection.

35. (New) The improved method of **Claim 34** wherein the methylmalonic acid lowering agent administration is repeated about every 9 weeks, until administration of pemetrexed disodium is discontinued.

36. (New) The improved method of Claim 32 wherein vitamin  $B_{12}$  is administered by an intramuscular injection, orally, or as a parenteral.

37. (New) The improved method of Claim 36 wherein vitamin  $B_{12}$  is administered by an intramuscular injection.

38. (New) The improved method of **Claim 37** wherein the methylmalonic acid lowering agent administration is repeated about every 9 weeks, until administration of pemetrexed disodium is discontinued.

39. (New) An improved method for administering pemetrexed disodium to a patient in need of chemotherapeutic treatment, wherein the improvement comprises:

a) administration of between 350 µg and 1000 µg of folic acid, daily beginning approximately 1 to 3 weeks before treatment with pemetrexed disodium;

b) administration of a methylmalonic acid lowering agent selected from the group consisting of vitamin B<sub>12</sub>, hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent is administered from about 1 to about 3 weeks prior to the first administration of pemetrexed disodium; and

c) administration of pemetrexed disodium in combination with between  $350 \ \mu g$ and  $1000 \ \mu g$  of folic acid, daily, until administration of pemetrexed disodium is discontinued, and a methylmalonic acid lowering agent selected from the group consisting of vitamin B<sub>12</sub>,

- 4 -

#### Docket No. X-14173B

hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent is administered by an intramuscular injection and wherein administration is repeated from about every 24 hours to about every1680 hours, until administration of pemetrexed disodium is discontinued.

## NEPTUNE GENERICS 1002 - 00412 APOTEX 1002 - 0412

#### **Remarks**

Applicants submit this paper and request entry of the amendments herein.

The Specification has been amended to recite specific reference to earlier-filed applications from which this application claims priority. The Specification has also been amended to correct an obvious error in the name of the compound "Alimta," which is found on page 6, line 16. The name has been corrected to read "pemetrexed disodium." Support for the correction can be found at least on page 2, lines 6-7, where the correct name of the compound is recited.

Claims 1-28 have been cancelled, and new Claims 29-39 have been introduced. Support for new Claim 29-39 is generally found in the specification, at least on page 5, line 20 to page 6, line 5; page 6, line 19 to page 7, line 4; page 7, lines 5-8, and 18-27; page 12, lines 19-29; page 13, line 21 to page 14, line 6; as well as in the claims as originally filed. Support for the improved combination can be found at least on page 13, line 21 to page 14, line 6; as well as on page 16, lines 3-9, and Table 1. More specifically, support for each element of Claims 29-39 is listed in the table below.

Claim	Element	Basis at
29(a)	"administration of between 350µg and 1000µg of folic acid, daily beginning approximately 1 to 3 weeks	Page 13, line 21 to 25.
	before treatment with pemetrexed disodium"	
29(b)	"administration of a methylmalonic acid lowering agent selected from the group consisting of vitamin $B_{12}$ , hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin	Page 7, lines 5-8; Originally filed Claim 7.
	perchlorate, azidocobalamin, chlorocobalamin and cobalamin"	
29(b)	"wherein the methylmalonic acid lowering agent is administered from about 1 to about 3 weeks prior to the first administration of pemetrexed disodium"	Page 7, lines 25-26.
29(c)	"administration of pemetrexed disodium in combination with"	Page 5, lines 20-21; Originally filed Claim 4.
29(c)	"between 350 µg and 1000µg of folic acid, daily, until administration of pemetrexed disodium is discontinued"	Page 13, line 21 to 25; Page 14, line 3.
29(c)	"a methylmalonic acid lowering agent selected from the group consisting of vitamin B <sub>12</sub> , hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin"	Page 7, lines 5-8; Originally filed Claim 7.
29(c)	"wherein the methylmalonic acid lowering agent administration is repeated from about every 6 weeks to	Page 7, lines 26-27.

#### Docket No. X-14173B

	al and another 12 meeters with a desiriate stration of	
	about every 12 weeks, until administration of	
	pemetrexed disodium is discontinued"	
30	"methylmalonic acid lowering agent is vitamin B <sub>12</sub>	Page 6, lines 20-21.
31	"about 500µg to about 1500µg of vitamin B <sub>12</sub> "	Page 7, lines 18-19.
32	"about 1000 $\mu$ g of vitamin B <sub>12</sub> "	Page 7, lines 24-25;
		Page 12, lines 21-24;
		Page 13, lines 27-28;
		Page 14, lines 3-4.
33/36	"administered by an intramuscular injection, orally, or	Page 7, lines 9-13.
	as a parenteral"	
34/37	"administered by an intramuscular injection"	Page 7, lines 11-13, and
		18-25;
		Page 12, lines 21-24;
		Page 13, lines 27-30;
		Page 14, lines 3-6.
35/38	"methylmalonic acid lowering agent administration is	Page 7, lines 26-27;
	repeated about every 9 weeks, until administration of	Page 12, lines 23-24;
	pemetrexed disodium is discontinued"	Page 13, lines 29-30;
		Page 14, lines 5-6.
39		See basis for elements of
		Claim 29; and
		Page 7, lines 18-22.

Applicants respectfully assert that no new matter has been introduced as a result of amendment of the Claims. Applicants request prompt consideration and allowance of the claimed subject matter. If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone her at the number provided.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Phone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

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As a below named inventor,	hereby declare	that:						
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I believe I am the original, first			. , , , , , , , , , , , , , , , , , , ,		and joint inver	tor (if plural nam	es are listed	
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I acknowledge the duty to disc	lose information w	mich is material to p	atentability as define	a in 1itie 3	Code of Feder	rai Regulations,	§ 1.55.	
I hereby claim foreign priority I Inventor's certificate, or § 365 America, listed below and hav	(a) of any PCT inte e also identified be	ernational application slow, by checking the	h which designated a e box, any foreign ap	t least one plication fi	country other the or patent or inve	an the United S	tates of	
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I hereby claim the benefit und	er Title 35, United	States Code § 119(	e) of any United State	es provisio	nal applications	(s) listed below		
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60/235,859 60/284,448			eptember 2000 April 2001		numbers are listed on a supplemental priority sheet attached hereto.			

[Page 1]

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	DEC	LARATION	
application designating the United 3 disclosed in the prior United States 112, I acknowledge the duty to disc	States of America, listed below ar or PCT international application ( lose information which is material	of any United States application(s), or § 3 Id, Insofar as the subject matter of each in the manner provided by the first parag I to patentability as defined in Title 37, C in and the national or PCT international	of the claims of this application is not raph of Title 35, United States Code § ode of Federal Regulations § 1 56
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
		listed on a supplemental priority sheet a	

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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	CERTIFICATE UNDER 37 CFR 3.73(b)
First Applica	nt: NIYIKIZA Clet
Entitled: NC	OVEL ANTIFOLATE COMBINATION THERAPIES
Docket No.:	X-14173B
(Name of Assig	AND COMPANY, an Indiana Corporation (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.) is the assignee of the entire right, title and interest in the patent application identified above by virtue of
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[]	Additional documents in the chain of title are listed on a supplemental sheet.
[] Copies of a	ssignments or other documents in the chain of title are attached.
The undersigne	d (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.
information and false statements	e that all statements made herein of my own knowledge are true, and that all statements made on d belief are believed to be true; and further, that these statements are made with the knowledge that willful s, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the bode, and that such willful false statements may jeopardize the validity of the application or any patent.
July 11, 2007 Date	/Manisha A. Desai/ Manisha A. Desai Patent Counsel

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Type or print name of person signing certification

Signature

Date

#### PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	NIYIKIZA Clet	
For:	NOVEL ANTIFOLATE COMBINATION T	HERAPIES
Docket No.:	X-14173B	

#### AMENDMENT AND PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### 1. Amendment and Petition

This amendment and petition is to delete the names of the following persons originally named as inventors and who are not the inventors of the invention now being claimed: Paolo Paoletti, of Indianapolis, Indiana, and James Jacob Rusthoven, of Ancaster, Canada.

#### 2. Claims Now On File

The claims in this application are as follows: New claims 29-39 filed on July 11, 2007

#### 3. Diligence

This amendment and petition is being filed diligently after discovery that any claims for which the above named inventors who are being deleted are now no longer the inventors of the subject matter being claimed.

#### 4. Fee Payment

Please charge \$130.00, the surcharge required by \$1.17(i), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840, in the name of Eli Lilly and Company. I enclose an original and two copies of this paper.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Telephone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

"Express Mail" mailing label number

Date of Deposit

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Printed Name

Signature

#### <u>PATENT APPLICATION</u> IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant: NIYIKIZA Clet

Title: NOVEL ANTIFOLATE COMBINATION THERAPIES

Docket No.: X-14173B

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure, Applicants submit an "Information Disclosure Citation In An Application" on a Form PTO-1449 (modified) for consideration by the Examiner. As permitted by 37 C.F.R. §1.98(d), Applicants refer to application Serial No. 11/288,807, filed November 29, 2005, for copies of the listed documents. Since this Statement is being filed in accordance with 37 C.F.R. 1.97(b), Applicants submit that no additional fee is required.

Applicants request consideration of this information.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Telephone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

Sheet 1 of 2

ORM PT	O 1449 (	(modified)	Atty. Docl X-14173B		Serial No			
NFORMA N AN AP	-	DISCLOSURE CITATION TON	First Applic NIYIKIZA	cant Clet				
			Filing Dat	e	Group			
		<u>U.</u>	S. PATENT DO	<b>CUMENTS</b>				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known		YYY Applicant	e of Patentee or of Cited Document	Pages, Columns, Lines Where Relevant Pages or Relevant Figures Appear		
	AA	US 5,405,839	4/ 11/1995	Tetsuo,	et al.			
	AB	US 5,431,925	07/00/1995	Ohmori,	, et al.			
	AC	US 5,563,126	10/8/1996	Allen, et	t al.			
	AD	US 5,736,402	4/7/1998	Francis,	et al.			
	AE	US 6,207,651	3/27/2001	Allen, et	t al.			
	AF	US 6,297,224	10/2/2001	Allen, et	t al.			
	ΛG	US 6,528,496	3/4/2003	Allen, et	t al.			
	ΛН	US 03/0216350	11/20/2003	Allen, et	t al.			
	Al	US 03/0225030	12/4/2003	Allen, et	t al.			
	AJ	US 2,920,015	01/1960	Thomps	on, Robert E.			
	АК	US 2004/0005311 Al	01/2004	Pitman,	Bradford D.			
AL US 5,344,932		09/1994	Taylor, E	dward C.				
	AM	US 7,053,065	05/2006	Niyikiza,	et al.			
	1	EUDE	ICN PATENT	DOCUMENTS		1		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4-</sup> Kind Code5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lir Relevant Passages o Figures App	r Relevant		
	BA		6/16/1993	EPO				

Examiner		Date Considered		
Signature				
*EXAMINER: Init	ial if reference considered, whether or not citation is in conformance with MPEP 609. Dra	w line through citation if no	t in conformance and not considered. Include	copy of

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance a this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.isprio.gov</u> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. Burden Hours Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments of this on the amount of fine your are required to complete this form should be sent to the Chief Information Office; U.S. Patent and Trademark Office, Washington, DC20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alcandria, VA 22313-1450.

Sheet 2 of 2

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	т <sup>6</sup>
Initials*	No. 1	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published.	1
	CA	Calvert H.: "Folate status and the safety profile of antifolates", Seminars in Oncology, 2002, 29/2 Suppl. 5, pp. 3-7, XP008005755	
	CB	Calvert H.: "Future directions in the development of pemetrexed", Seminars in Oncology, 2002, 29/2 Suppl. 5, pp. 54-61, XP008005744	
	CC	Westerhof, et al: "Carrier-and receptor-mediated transport of folate antagonists targeting folate-dependent enzymes: correlates of molecularstructure and biological activity", Mol. Pharmacology, 1995, 48(3), pp. 459- 71, XP008005762	
	CD	Worzalla, et a]: "Role of folic acid in modulating the toxicity and efficacy of the multitargeted antifolate, LY231514", Anticancer Research (1998), 18(5A), pp. 3235-3239, XP008005757	
	CE	Hanauske, et al: "Pemetrexed disodium: A novel antifolate clinically active against multiple solid tumors", Oncologist, Alphamed Press, US, Vol. 4, No. 6, 2001, pp. 363-373, XP008005751	
	CF	Bunn, et al: "Vitamin B 12 and folate reduce toxicity of Alimta (pemetrexed disodium, LY 231514, MTA), a novel antifolate/antimetabolite", Program/Proceedings - American Society of Clinical Oncology, the Society, US, Vol. 76A, No. 20, 2001, page 300, XPO08005885	
	CG	Dierkes, et al., Supplementation with Vitamin B12 Decreases Homocystein and Methylmalonic Acid but Also Serum Folate in Patients with End-Stage Renal Disease. Metabolism. May 1999. Vol. 48, No. 5, pages 631-635. See: abstract.	
	СН	Arsenyan et al. (Abstract: Onkol. Nauchn., (1978) 12(10):49-54	
	Cl	John, et al. (Cancer 2000, 88: 1807-13)	
	CJ	Poydock et al., "Growth-inhibiting effect of hydroxocobaltniin and L-ascorbic acid on two solid tumors in mce", IRCS Medical _Science, Vol. 12, No. 9, pp. 813 (1984).	
	СК	The Cecil Reference, TEXTBOOK of MEDICINE, 21st Edition (2000). Chapter 198. pps. 1060-1074.	

Examiner		Date Considered					
Signature							
*EXAMINER: Initi	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include conv of						

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. I this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.isprio.gov</u> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. Burden Hours Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments of this on the amount of fine your are required to complete this form should be sent to the Chief Information Office; U.S. Patent and Trademark Office, Washington, DC20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alcandria, VA 22313-1450.

Electronic Patent Application Fee Transmittal					
Application Number:					
Filing Date:					
Title of Invention:		NOVEL ANTIFOLATE COMBINATION THERAPIES			
First Named Inventor/Applicant Name:	CI	et Niyikiza			
Filer:	Ma	anisha Arvind Desa	ai∕Lisa Capps		
Attorney Docket Number:		14173B			
Filed as Large Entity					
Utility Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Utility application filing		1011	1	300	300
Utility Search Fee		1111	1	500	500
Utility Examination Fee		1311	1	200	200
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					

NEPTUNE GENERICS 1002 - 00425

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						
Miscellaneous:						
Total in USD (\$)				1000		

Electronic Acknowledgement Receipt			
EFS ID:	1962281		
Application Number:	11776329		
International Application Number:			
Confirmation Number:	6568		
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES		
First Named Inventor/Applicant Name:	Clet Niyikiza		
Customer Number:	25885		
Filer:	Manisha Arvind Desai/Lisa Capps		
Filer Authorized By:	Manisha Arvind Desai		
Attorney Docket Number:	X-14173B		
Receipt Date:	11-JUL-2007		
Filing Date:			
Time Stamp:	17:06:59		
Application Type:	Utility under 35 USC 111(a)		

## Payment information:

Submitted with Payment	yes				
Payment was successfully received in RAM	\$1000				
RAM confirmation Number	1835				
Deposit Account	050840				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					
Charge any Additional Fees required under 37	C.F.R. Section 1.16 and 1.17				

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)		
			129154				
1	Transmittal of New Application	X14173BTransmittal.pdf	19a1005eee70a4910i01583eb9e90bba 92d1093c	no	1		
Warnings:							
Information:		I	1				
2		X14173publishedAppl.pdf	1138024	yes	21		
			0f549be3a4511647423084e1b13e3t87 25fd7d25				
	Multipa	rt Description/PDF files in	.zip description				
	Document De	scription	Start	E	nd		
	Abstrac	ct	1		1		
	Specifica	tion	2	1	6		
	Claims	3	17	2	21		
Warnings:			·				
Information:							
3		X14173BPreliminaryAmnmt.	112177	yes	7		
_		pdf	4055bc969280ff4da212364e0fe0dc4c1 32066fe				
	Multipart Description/PDF files in .zip description						
	Document De	Start	End				
	Preliminary Am	1	1				
	Specifica	2	2				
	Claims	3	5				
	Applicant Arguments/Remarks	6	7				
Warnings:							
Information:							
	Oath or Declaration filed		180049		3		
4	Oath of Declaration filed	X14173Declaration.pdf	819e1183c8bc8719ce2800c6624c0dedd 8101 b1 a	no	3		
Warnings:							
Information:		i .	· · · · ·				
5	Power of Attorney	X14173BPOA.pdf	317670	no	1		
			06c7d70ef336416e59316cc6408d288e e9cdeea2				

# NEPTUNE GENERICS 1002 - 00428

Warnings:					
Information	:				
6	Assignee showing of ownership per	X14173BCertificate373.pdf	86295	no	1
	37 CFR 3.73(b).		1 beacc36de17ef3782173894dc9e3ba2 d122cb44		
Warnings:					
Information	:				
7	Miscellaneous Incoming Letter	X14173BCorrectInventorship	82734	no	2
		.pdf	5d0fd58fa29a8f476a4e8e9945ca5bfa5 121128e		
Warnings:					
Information	:				
8	Information Disclosure Statement (IDS) Filed	X14173BIDS.pdf	72699	no	1
	()		8b14cc73cae338l95afeb5c7c94ee7db0 494793a		
Warnings:					
Information	:				
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9	Information Disclosure Statement	X14173B1449.pdf	86170	no	2
	(IDS) Filed	хтчт/овтччэ.раг	24dd6c5e029b6f159c7b50f5ad7bd1c0 de0182ab		
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10		6	8367		
10	Fee Worksheet (PTO-06)	fee-info.pdf	67fa482bd169ee319f9746149efd933c8 0a90c8d	no	2
Warnings:					
Information	:				
		Total Files Size (in bytes)	22	13339	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Acknowledgement Receipt			
EFS ID:	1962281		
Application Number:	11776329		
International Application Number:			
Confirmation Number:	6568		
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES		
First Named Inventor/Applicant Name:	Clet Niyikiza		
Customer Number:	25885		
Filer:	Manisha Arvind Desai/Lisa Capps		
Filer Authorized By:	Manisha Arvind Desai		
Attorney Docket Number:	X-14173B		
Receipt Date:	11-JUL-2007		
Filing Date:			
Time Stamp:	17:06:59		
Application Type:	Utility under 35 USC 111(a)		

## Payment information:

Submitted with Payment	yes				
Payment was successfully received in RAM	\$1000				
RAM confirmation Number	1835				
Deposit Account	050840				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					
Charge any Additional Fees required under 37	C.F.R. Section 1.16 and 1.17				

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)		
			129154				
1	Transmittal of New Application	X14173BTransmittal.pdf	19a1005eee70a4910i01583eb9e90bba 92d1093c	no	1		
Warnings:							
Information:		I	1				
2		X14173publishedAppl.pdf	1138024	yes	21		
			0f549be3a4511647423084e1b13e3t87 25fd7d25				
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	Abstrac	ct	1		1		
	Specifica	tion	2	1	6		
	Claims	3	17	2	21		
Warnings:			·				
Information:							
3		X14173BPreliminaryAmnmt.	112177	yes	7		
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	Specifica	2	2				
	Claims	3	5				
	Applicant Arguments/Remarks	6	7				
Warnings:							
Information:							
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4	Oath of Declaration filed	X14173Declaration.pdf	819e1183c8bc8719ce2800c6624c0dedd 8101 b1 a	no	3		
Warnings:							
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5	Power of Attorney	X14173BPOA.pdf	317670	no	1		
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## NEPTUNE GENERICS 1002 - 00432

Warnings:					
Information	:				
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Warnings:					
Information	:				
7	Miscellaneous Incoming Letter	X14173BCorrectInventorship	82734	no	2
	Miscellaneous incoming Letter	.pdf	5d0fd58fa29a8f476a4e8e9945ca5bfa5 121128e		
Warnings:					
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8	Information Disclosure Statement (IDS) Filed	X14173BIDS.pdf	72699	no	1
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Warnings:					
Information	:				
		Total Files Size (in bytes)	22	13339	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

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#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Please type a plus sign (+) inside this	s box $\rightarrow$ +	PTO/SB/05 (12/97)			
UTILITY	re required to respor.	Note to a collection of information unless it displays a valid OMB control number. Attorney Docket No. X14173B			
PATENT APPLICATION	Fira	rst Named Inventor or Application Identifier			
<b>TRANSMITTAL</b> (Only) for new corprovisional applications under	Express Mail	-			
37 CFR 1.53(b)					
Application Elements See MPEP chapter 600 concerning utilit application contents.		ADDRESS TO: Commissioner for Patents Mail Stop Patent Application 2.0. Box 1450 Alexandria, VA 22313-1450 6. Microfiche Computer Program (Appendix)			
original, and a duplicate for fee proces					
2. X Specification [Total (preferred arrangement Pages set forth below)	21 ]	<ol> <li>Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</li> </ol>			
<ul> <li>Descriptive title of the Invention</li> <li>Cross References to Related Applications</li> </ul>		<ul> <li>Computer Readable Copy</li> <li>Paper Copy (identical to computer copy)</li> </ul>			
<ul> <li>Statement Regarding Fed sponsored R &amp; D</li> </ul>		c. Statement verifying identity of above copies			
<ul> <li>Reference to Microfiche Appendix</li> <li>Background of the Invention</li> <li>Brief Summary of the Inventior.</li> </ul>					
- Brief Description of the Drawings (15 fil	led)	ACCOMPANYING APPLICATION PARTS			
- Detailed Description - Claims		8. Assignment Papers (cover sheet & dccument(s)			
- Abstract of the Disclosure		9. X 37 CFR 3.73(b) Statement X Power of			
		X     Strik 5.15(b) blacklicht     X     Tower of (when there is an assignee)       10.     English Translation Document (if applicable)			
3. Drawing(s) (35 USC [Total		11. X Information Disclosure Copies of IDS			
4. Oath or Declaration [Total	3	Statement (IDS)/PTO-1449     Citations       12.     X     Preliminary Amendment			
Pages a. Newly executed (original or co		13. Return Receipt Postcard (MPEP 503) (Should be			
		specifically itemized)			
b. X Copy from a prior application 1.63(d)) (for continuation/div		14.         Small Entity         Statement filed in prior           Statement(s)         application, Status still			
Eox 17 completed) [Note Box 5 i. DELETION OF INVENIOR(S) statement attached delet	Signed	proper and desired 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)			
inventor(s) named in the prior application, s	37 CFD				
1.63(d)(2) and 1.33(b).					
5. X Incorporation By Reference (useab is checked) The entire disclosure		16. X Other: Amendment and Petition to Correct Inventorship			
application, from which a copy of	the oath or				
declaration is supplied under Box considered as being part of the d					
the accomparying application and incorporated by reference therein					
17. If a CONTINUING APPLICATION, ch	neck appropriate	e box and supply the requisite information:			
Continuation X Divisional		-in-part (CIP) of prior application No: 11/288,807			
	18. CORRESP	PONDENCE ADDRESS			
Customer Number or Bar Code Label (In	isert Custoner No	25885 or Correspondence No. Cr Attach Ear code label here or Address below			
Eli Lilly and Company					
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Patent Division					
P.O. Box 6288		25885			
CITY Indianapolis S	STATE Ind	PATENT TRADEMARK OFFICE			
		17-433-5333 FAX 317-276-3861			
SUBMITTED BY		Complete (if applicable)			
Typed or Manisha A. Desai, Ph.D. Reg. 43,585					
Printed Name     Number       Signature     /Manisha A. Desai/     Date     07/11/2007					
"Express Mail" mailing label number					
Date of Deposit					
to Addressee" service under 37 C.F.R. 1.10 c		With the United States Fostal Service "Express Mail Post Office licated above and is addressed to the Commissioner for Patents,			
P.O. Box 1450, Alexandria, VA 22313-1450.					
		Signature			

# NEPTUNE GENERICS 1002 - 00435

### APOTEX 1002 - 0435

#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

#### (19) World Intellectual Property Organization International Bureau

#### (43) International Publication Date 10 January 2002 (10.01.2002)



РСТ

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(54) Title: NOVEL ANTIFOLATE COMBINATION THERAPIES

(57) Abstract: A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

> **NEPTUNE GENERICS 1002 - 00436** APOTEX 1002 - 0436

#### NOVEL ANTIFOLATE COMBINATION THERAPIES

5 Potentially, life-threatening toxicity remains a major limitation to the optimal administration of antifolates. (see, generally, <u>Antifolate Drugs in Cancer Therapy</u>, edited by Jackman, Ann L., Humana Press, Totowa, NJ, 1999.) In some cases, a supportive intervention is routinely used to permit safe, maximal dosing. For example, steroids, such as dexamethone, can be used to prevent the formation of skin rashes caused by the

10 antifolate. (Antifolate, pg 197.)

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Antifolates represent one of the most thoroughly studied classes of antineoplastic agents, with aminopterin initially demonstrating clinical activity approximately 50 years ago. Methotrexate was developed shortly thereafter, and today is a standard component of effective chemotherapeutic regimens for malignancies such as lymphoma, breast cancer,

- 15 and head and neck cancer. (Bonnadonna G, Zambetti M, Valagussa P. Sequential or alternating doxorubicin and CMF regimens in breast cancer with more than three positive nodes: Ten year results. JAMA 1995;273(7):542-547; Bonnadonna G, Valagussa P, Moliterni A, Zambetti M, Brambilla C. Adjuvant cyclophosphamide, methotrexate, and fluorouracil in node-positive breast cancer: The results of 20 years of follow-up. N Engl J
- 20 Med 1995;332(14):901-906; and Hong WK, Schaefer S, Issell B, et al. A prospective randomized trial of methotrexate versus cisplatin in the treatment of recurrent squamous cell carcinoma of the head and neck. Cancer 1983;52:206-210.) Antifolates inhibit one or several key folate-requiring enzymes of the thymidine and purine biosynthetic pathways, in particular, thymidylate synthase (TS), dihydrofolate reductase (DHFR), and glycinamide
- ribonucleotide formyltransferase (GARFT), by competing with reduced folates for binding sites of these enzymes. (Shih C, Habeck LL, Mendelsohn LG, Chen VJ, Schultz RM. Multiple folate enzyme inhibition: Mechanism of a novel pyrrolopyrimidine-based antifolate LY231514 (MTA). Advan Enzyme Regul, 1998; 38:135-152 and Shih C, Chen VJ, Gossett LS, et al. LY231514, a pyrrolo[2,3-d]pyrimidine-based antifolate that inhibits
- 30 multiple folate-requiring enzymes. Cancer Res 1997;57:1116-1123.) Several antifolate drugs are currently in development. Examples of antifolates that have thymidylate

-2-

synthase inhibiting ("TSI") characteristics include 5-fluorouracil and Tomudex®. An example of an antifolate that has dihydrofolate reductase inhibiting ("DHFRI") characteristic is Methotrexate®. An example of an antifolate that has glycinamide ribonucleotide formyltransferase inhibiting ("GARFTI") characteristics is Lometrexol.

- 5 Many of these antifolate drugs inhibit more than one biosynthetic pathway. For example Lometrexol is also an inhibitor of dihydrofolate reductase and pernetrexed disodium (Alimta®, Eli Lilly and Company, Indianapolis, IN) has demonstrated thymidylate synthase, dihydrofolate reductase, and glycinamide ribonucleotide formyltransferase inhibition.
- 10 A limitation to the development of these drugs is that the cytotoxic activity and subsequent effectiveness of antifolates may be associated with substantial toxicity for some patients. Additionally antifolates as a class are associated with sporadic severe mylosuppression with gastrointestinal toxicity which, though infrequent, carries a high risk of mortality. The inability to control these toxicities led to the abandonment of clinical
- 15 development of some antifolates and has complicated the clinical development of others, such as Lometrexol and raltitrexed. (Jackman AL, Calvert AH Folate-Based Thymidylate Synthase Inhibitors as Anticancer Drugs. Ann Oncol 1995;6(9):871-881; Laohavinij S, Wedge SR, Lind MJ, et al. A phase I clinical study of the antipurine antifolate Lometrexol (DDATHF) given with oral folic acid. Invest New Drugs 1996;14:325-335; and Maughan
- 20 TS, James RD, Kerr D, et al., on behalf of the British MRC Colorectal Cancer Working Party. Preliminary results of a multicenter randomized trial comparing 3 chemotherapy regimens (deGramont, Lokich, and raltitrexed) in metastatic colorectal cancer. Proc ASCO 1999;18:Abst 1007.)

Initially, folic acid was used as a treatment for toxicities associated with GARFTI

- 25 see, e.g. U.S. Pat. No. 5,217,974. Folic acid has been shown to lower homocysteine levels (see e.g. Homocysteine Lowering Trialist's Collaboration. Lowering blood homocysteine with folic acid based supplements: meta-analysis of randomized trials. BMJ 1998;316:894-898 and Naurath HJ, Joosten E, Riezler R, Stabler SP, Allen RH, Lindenbaum J. Effects of vitamin B12, folate and vitamin B6 supplements in elderly
- 30 people with normal serum vitamin concentrations. Lancet 1995;346:85-89), and homocysteine levels have been shown to be a predictor of cytotoxic events related to the

### NEPTUNE GENERICS 1002 - 00438 APOTEX 1002 - 0438

#### WO 02/02093

-3-

use of GARFT inhibitors, see e.g. U.S. Pat. No. 5,217,974. However, even with this treatment, cytotoxic activity of GARFT inhibitors and antifolates as a class remains a serious concern in the development of antifolates as pharmaceutical drugs. The ability to lower cytotoxic activity would represent an important advance in the use of these agents.

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Surprisingly and unexpectedly, we have now discovered that certain toxic effects such as mortality and nonhematologic events, such as skin rashes and fatigue, caused by antifolates, as a class, can be significantly reduced by the presence of a methylmalonic acid lowering agent, without adversely affecting therapeutic efficacy. The present invention thus provides a method for improving the therapeutic utility of antifolate drugs by

10 administering to the host undergoing treatment with a methylmalonic acid lowering agent. We have discovered that increased levels of methylmalonic acid is a predictor of toxic events in patients that receive an antifolate drug and that treatment for the increased methylmalonic acid, such as treatment with vitamin B12, reduces mortality and nonhematologic events, such as skin rashes and fatigue events previously associated with

15 the antifolate drugs.

Additionally, we have discovered that the combination of a methylmalonic acid lowering agent and folic acid synergistically reduces the toxic events associated with the administration of antifolate drugs. Although, the treatment and prevention of cardiovascular disease with folic acid in combination with vitamin B12 is known, the use

20 of the combination for the treatment of toxicity associated with the administration of antifolate drugs was unknown heretofore.

The present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

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Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

## NEPTUNE GENERICS 1002 - 00439 APOTEX 1002 - 0439

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-4-

Furthermore, the present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid
lowering agent, alone or in combination with a FBP binding agent, in the preparation of a
medicament useful in lowering the mammalian toxicity of an antifolate. A preferred FBP
binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

25 Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the manufacture of a medicament for use in a method of inhibiting tumor growth in mammals, which method comprises administering said methylmalonic acid lowering agent in combination with an antifolate.

Furthermore, the present invention relates to a product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.

### NEPTUNE GENERICS 1002 - 00440 APOTEX 1002 - 0440

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-5-

The current invention concerns the discovery that administration of a methylmalonic acid lowering agent in combination with an antifolate drug reduces the toxicity of the said antifolate drug.

The term "inhibit" as it relates to antifolate drugs refers to prohibiting, alleviating, ameliorating, halting, restraining, slowing or reversing the progression of, or reducing tumor growth.

As used herein, the term "effective amount" refers to an amount of a compound or drug, which is capable of performing the intended result. For example, an effective amount of an antifolate drug that is administered in an effort to reduce tumor growth is that amount which is required to reduce tumor growth.

As used herein, the term "toxicity" refers to a toxic event associated with the administration on an antifolate. Such events include, but are not limited to, neutropenia, thrombopenia, toxic death, fatigue, anorexia, nausea, skin rash, infection, diarrhea, mucositis, and anemia. For further explanation of the types of toxicity experienced by

15 patients receiving antifolates, see, generally, <u>Antifolate Drugs in Cancer Therapy</u>. Preferably, toxicity refers to toxic death, fatigue, neutropenia, thrombopenia, and mucositis.

As used herein, the term "nonhematologic event" refers to the occurrence of skin rash or fatigue due to the administration of an antifolate.

- 20 As used herein, the term "in combination with" refers to the administration of the methylmalonic acid lowering agent, the antifolate drug, and optionally the folic acid; in any order such that sufficient levels of methylmalonic acid lowering agent and optionally folic acid are present to reduce the toxicity of an antifolate in a mammal. The administration of the compounds maybe simultaneous as a single composition or as two separate
- 25 compositions or can be administered sequentially as separate compositions such that an effective amount of the agent first administered is in the patient's body when the second and/or third agent is administered. The antifolate drug may be administered to the mammal first, followed by treatment with the methylmalonic acid lowering agent. Alternatively, the mammal may be administered the antifolate drug simultaneously with the
- 30 methylmalonic acid lowering agent. Preferably, the mammal is pretreated with the methylmalonic acid lowering agent and then treated with the antifolate. If folic acid is to

### NEPTUNE GENERICS 1002 - 00441 APOTEX 1002 - 0441

-6-

be administered in addition to the methylmalonic acid lowering agent, the folic acid may be administered at any time prior, post, or simultaneously to the administration of either the methylmalonic acid lowering agent or the antifolate. Preferably, the mammal is pretreated with the methylmalonic acid, and then treated with folic acid, followed by treatment with

5 the antifolate compound.

The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced

- 10 folates for binding sites of these enzymes. Preferred examples of antifolates include 5fluorouracil, as manufactured by Glaxo; Tomudex®, as manufactured by Zeneca; Methotrexate®, as manufactured by Lederle; Lometrexol®, as manufactured by Tularik; pyrido[2,3-d]pyrimidine derivatives described by Taylor et al. in U.S. Pat. Nos. 4684653, 4833145, 4902796, 4871743, and 4882,334; derivatives described by Akimoto in U.S.
- 15 Pat. No. 4997838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed Sodium (ALIMTA), as manufactured by Eli Lilly & Co.

The terms "methylmalonic acid" and "MMA" refer to a structural isomer of succinic acid present in minute amounts in healthy human urine.

- The term "methylmalonic acid lowering agent" refers to a substrate, which lowers the concentration of methylmalonic acid in a mammal. A preferred example of such a substrate is vitamin B12. For methods of determining methylmalonic acid and substrates therefore, see, e.g., Matchar DB, Feussner JR, Millington DS, et al. Isotope dilution assay for urinary methylmalonic acid in the diagnosis of vitamin B12 deficiency. A prospective clinical evaluation. Ann Intern Med 1987; 106: 707-710; Norman EJ, Morrison JA.
- 25 Screening elderly populations for cobalamin (vitamin B12) deficiency using the urinary methylmalonic acid assay by gas chromatography mass spectrometry. Am J Med 1993; 94: 589-594; Norman EJ. Gas Chromatography mass spectrometry screening of urinary methylmalonic acid: early detection of vitamin B12 (cobalamin) deficiency to prevent permanent neurologic disability. GC/MS News 1984; 12:120-129; Martin DC, Francis J,
- 30 Protetch J, Huff FJ. Time dependency of cognitive recovery with cobalamin replacement: report of a pilot study. JAGS 1992; 40: 168-172; Norman EJ, Cronin C. Cobalamin

NEPTUNE GENERICS 1002 - 00442 APOTEX 1002 - 0442 2

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deficiency. Neurol 1996; 47: 310-311; Rasmussen K, Moelby I, Jensen MK. Studies on methylmalonic acid in humans; Savage DG, Lindenbaum J, Stabler SP, Allen RH. Sensitivity of methylmalonic acid and total homocysteine determination for diagnosing cobalamin and folate deficiency. Am J Med 1994; 96: 239-246.

The term "vitamin B12" refers to vitamin B12 and its pharmaceutical derivatives, such as hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin. Preferably the term refers to vitamin B12, cobalamin, and chlorocobalamin.

The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, such as a sustained release formulation, as an aqueous solution added to drinking water, or as an aqueous parenteral formulation. Preferably the methylmalonic acid lowering agent is administered as an intramuscular injection formulation. Such formulations are known in the art and are commercially available.

The skilled artisan will appreciate that the methylmalonic lowering agents are
effective over a wide dosage range. For example, when cobalamin is used as the methylmalonic lowering agent, the dosage of cobalamin may fall within the range of about 0.2 µg to about 3000 µg of cobalamin from once daily for a month to once every nine weeks for a year. Preferably, cobalamin will be dosed as an intramuscular injection of about 500 µg to about 1500 µg administered from about every 24 hours to about every

20 1680 hours. Preferably, it is an intramuscular injection of about 1000 µg administered initially from about 1 to about 3 weeks prior to administration of the antifolate and repeated from about every 24 hours to about every 1680 hours, regardless of when treatment with the antifolate is started and continued until the administration of the antifolate is discontinued. Most preferred is an intramuscular injection of about 1000 µg

- 25 administered initially from about 1 to about 3 weeks prior to the first administration of the antifolate and repeated every 6 to 12 weeks, preferably about every 9 weeks, and continued until the discontinuation of the antifolate administrations. However, it will be understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances,
- 30 including the condition to be treated, the chosen route of administration, the actual agent

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-8-

administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.

The term "FBP binding agent" as used herein refers to a folic binding protein

binding agent which includes folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof. This latter compound is the (6R)-isomer of leucovorin as disclosed in J. Am.

- 10 Chem. Soc., 74, 4215 (1952). Both of the tetrahydrofolic acid compounds are in the unnatural configuration at the 6-position. They are 10-20 fold more efficient in binding the folate binding protein compared with their respective (6S)—isomer, see Ratnam, et. al., Folate and Antifolate Transport in Mammalian Cells Symposium, Mar. 21-22, 1991, Bethesda, MD. These compounds are usually prepared as a mixture with their natural
- 15 form (6S) of diastereomers by non-stereoselective reduction from the corresponding dehydro precursors followed by separation through chromatographic or enzymatic techniques. See e.g. PCT Patent Application Publication WO 880844 (also Derwent Abstract 88-368464/51) and Canadian Patent 1093554. See, e.g. Dietary Reference Intakes for Thiamin, Riboflavin, Niacin, Vitamin B6, Folate, Vitamin B12, Pantothenic

20 Acid, Biotin, and Choline (2000), 8 Folate, pp. 196-305.

"Physiologically-available salt" refers to potassium, sodium, lithium, magnesium, or preferably a calcium salt of the FBP binding agent. "Physiologically-available...ester"

25 refers to esters which are easily hydrolyzed upon administration to a mammal to provide the corresponding FBP binding agent free acid, such as  $C_1$ - $C_4$  alkyl esters, mixed anhydrides, and the like.

The FBP binding agent to be utilized according to this invention can be in its free acid form, or can be in the form of a physiologically-acceptable salt or ester which is

30 converted to the parent acid in a biological system. The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, preferably as a

### NEPTUNE GENERICS 1002 - 00444 APOTEX 1002 - 0444

#### WO 02/02093

4

-9-

sustained release formulation, as an aqueous solution added to drinking water, an aqueous parenteral formulation, e.g., an intravenous formulation, or the like.

The FBP binding agent is usually administered to the subject mammal prior to treatment with the antifolate. Pretreatment with the suitable amount of FBP binding agent from about 1 to about 24 hours is usually sufficient to substantially bind to and block the folate binding protein prior to administration of the antifolate. Although one single dose of the FBP binding agent, preferably an oral administration of folic acid, should be sufficient to load the folate binding protein, multiple dosing of the FBP binding agent can be employed for periods up to weeks before treatment with the active agent to ensure that

10 the folate binding protein is sufficiently bound in order to maximize the benefit derived from such pretreatment.

In the especially preferred embodiment of this invention, about 0.1 mg to about 30 mg, most preferably about 0.3 mg to about 5 mg, of folic acid is administered orally to a mammal about 1 to 3 weeks post administration of the methylmalonic acid lowering agent

- 15 and about 1 to about 24 hours prior to the parenteral administration of the amount of an antifolate. However, it will be understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the
- 20 individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.

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In general, the term "pharmaceutical" when used as an adjective means substantially non-toxic to living organisms.

#### <u>Methods</u>

To assess the effect of a methylmalonic acid lowering agent, alone or in 30 combination with folic acid on the antitumor efficacy of an antifolate in a human tumor xenograft model, female nude mice bearing human MX-1 breast carcinoma were treated

### NEPTUNE GENERICS 1002 - 00445 APOTEX 1002 - 0445

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-10-

with ALIMTA alone or along with super-physiologic doses of folic acid or vitamin B12 (cobalamin).

The animals were maintained on sterilized standard lab chow ad libitum and sterilized water ad libitum. The human MX-1 tumor cells (5 x 10<sup>6</sup>) obtained from donor tumors were implanted subcutaneously in a thigh of female nude mice 8- to 10-weeks old. Beginning on day 7 post tumor cell implantation, the animals were treated with ALIMTA (100 mg/kg or 150 mg/kg) once daily on days 7 through 11 and 14 through 18 by intraperitoneal injection alone or along with folic acid (6 mg/kg or 60 mg/kg) and/or vitamin B12 (165 mg/kg) by intraperitoneal injection on the same schedule.

10 Tumor response was monitored by tumor volume measurements twice weekly over the course of the experiment. Toxicity was monitored by body weight measurements made at the same time as the tumor volume measurements. Tumor growth delay was the difference in days for the treated and the controls tumors to reach 1000 mm<sup>3</sup>.

The human MX-1 breast carcinoma xenograft was responsive to treatment with ALIMTA with doses of 100 mg/kg and 150 mg/kg producing tumor growth delays of 17 days and 21 days, respectively. Folic acid was administered to the animals alone at two doses 6 mg/kg and 60 mg/kg on the same schedule as ALIMTA and produced tumor growth delays of 7 days and 12 days, respectively. Vitamin B12 administered alone at a dose of 165 mg/kg resulted in a tumor growth delay of 12 days.

- 20 Combinations of ALIMTA at each of the two doses were administered along with each of the vitamins as simultaneous combination regimens. Administration of folic acid (6 mg/kg) along with ALIMTA did not alter the tumor growth delay produced from that obtained with ALIMTA alone. The addition of folic acid at the higher dose (60 mg/kg) along with each dose of ALIMTA resulted in small increases in tumor growth delay to 22
- 25 days and 23 days at the ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively. The tumor growth delays with ALIMTA and vitamin B12 (165 mg/kg) treatment were 22 days and 24 days at ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively.

Body weight was used as a general measure of toxicity for each of the treatment regimens. The body weight loss pattern reflected the treatment regimens with weight decrease during the treatment times of days 7 through 11 and 14 through 18 with some

### NEPTUNE GENERICS 1002 - 00446 APOTEX 1002 - 0446

weight recovery during the intervening two days. The weight loss due to ALITMA was dose dependent but overall minor (3%). Folic acid alone at either 6 mg/kg or 60 mg/kg did not cause weight loss, in fact folic acid treated animals maintained weight and gained weight over the course of the experiment better than the control animals. The animals

5 treated with ALIMTA (100 mg/kg) and folic acid (60 mg/kg) gained weight (about 20%) over the course of the experiment.

Administration of vitamin B12 did not prevent weight gain in the animals over the time course of the experiment. The animals treated with ALIMTA (100 mg/kg) along with vitamin B12 gained weight while those treated with ALIMTA (150 mg/kg) along with vitamin B12 maintained weight over the course of the experiment.

In conclusion, administration of super-physiologic but non-toxic doses of the vitamins, folic acid and vitamin B12, did not alter the antitumor activity of ALIMTA in the human MX-1 breast carcinoma xenograft tumor in nude mice and did not increase the toxicity of ALIMTA as determined by body weight measurements of the animals.

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The effect of vitamin B12, alone or in combination with folic acid, on antifolates can be demonstrated in standard tests commonly utilized to determine the antitumor activity and toxic effects of the antifolates themselves. In one such test, mice are inoculated with the C3H strain of mammary adenocarcinoma by inserting a 2 mm by 2 mm

20 section of tumor into the axillary region of the mice by trocar. The timing of administering the methylmalonic acid lowering agent, alone or in combination with the folic acid, and the antifolate may be varied. Ten animals are used at each dosage level. Antitumor activity is assessed on day ten (when day one is first dosage of antifolate) by measuring the length and width of the tumor growth using vernier calipers, and the activity is expressed as a percent inhibition of tumor growth.

When the antifolate is administered to infected mice which are maintained on a diet totally free of vitamin B12 and optionally folic acid for two weeks prior to and during treatment, it exhibits moderated antitumor activity at very low doses, but also causes severe toxicity at a very low dose (measured as death of mice).

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A test group of mice are maintained on a vitamin B12 and optionally folic acid free diet for two weeks before treatment. Vitamin B12 and optionally folic acid is then

-12-

administered during the treatment by intramuscular injection of 0.0003% vitamin B12 (weight/volume) and optionally providing the animals drinking water containing 0.0003% folic acid (weight/volume). This concentration translates to about 1.75 mg of vitamin B12 and optionally folic acid per square meter of body surface per day. As the foregoing

5 results indicate, addition of the indicated level of vitamin B12 to the diet of a subject receiving an antifolate results in excellent antitumor activity at low doses, with little or no toxic effects.

The foregoing tests establish that for tumor bearing mice maintained on a vitamin B12 and optionally folic acid free diet prior to and during treatment with an antifolate, the

- 10 toxicity of the antifolate is very large, with 1 mg/kg/day being lethal to the majority of the mice, and lower antitumor activity is observed at non-toxic drug doses. Very low doses of vitamin B12 partially reverses drug toxicity and improved antitumor activity. Larger doses of vitamin B12 reduce antifolate toxicity even more significantly. Pretreatment of the mouse with vitamin B12 and then administering folic acid prior to administering the
- 15 antifolate demonstrates a striking reduction in toxicity, almost eliminating the antifolate toxicity completely. Thus, the use of vitamin B12 in combination with an antifolate reduces drug toxicity without adversely affecting antitumor activity, and the use of vitamin B12 in conjunction with folic acid synergistically reduces drug toxicity.
- In a typical clinical evaluation involving cancer patients, all of whom have histologically or cytologically confirmed diagnosis of cancer, an antifolate is administered in combination with vitamin B12. Vitamin B12 is administered as a 1000 µg intramuscular injection 1-3 weeks prior to treatment with the antifolate, and 1000 µg intramuscular injection of vitamin B12 is made approximately every 9 weeks until the patient discontinues from therapy. The antifolate is administered in four doses over a two
- 25 week period by rapid intravenous injection, followed by two weeks of non-therapy. Dosing is made on days 1, 4, 8 and 11 of any two week period. Patients will have an initial course of therapy at a dose of 5 mg/m<sup>2</sup>/dose, and depending upon the toxic effects observed in the initial course, their subsequent courses may be at the same dose, or may be escalated to 6 mg/m<sup>2</sup>, or may be attenuated to 4 mg/m<sup>2</sup>.

## NEPTUNE GENERICS 1002 - 00448 APOTEX 1002 - 0448

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-13-

In preparation for the foregoing clinical study, pilot studies in humans have established that vitamin B12 given to patients receiving Alimta has effected reduced side effects due to the Alimta. One to two weeks prior to administration of ALIMTA urine is collected and blood is drawn from a human subject; and vitamin metabolite levels,

- 5 methylmalonic acid and homocysteine, are determined. Homocysteine levels are determined in blood by a fluorescent polarization immunoassay kit manufactured by Abbot Laboratories. Methylmalonic acid levels are determined by urine levels using a 24 hour urine collection kit available from Biolab Medical Unit (a United Kingdom company). Additionally urine and blood may be collected one week prior to administration of
- 10 ALIMTA (after at least 5 days of folic acid supplementation and at least 1 week vitamin B12 supplementation), and up to 4 days prior to every cycle.

#### Method of administration and dosing procedures:

15 1. Folic Acid:

Folic acid will be supplied as one of the following options, with preference in order from option #1 to option #3:

- 1. 350 600 µg folic acid.
- 2. A multivitamin containing folic acid in the range of 350  $\mu$ g to 600  $\mu$ g is
- 20
- acceptable if option #1 is not available.
- 3. A dose of folic acid between 350  $\mu$ g and 1000  $\mu$ g is acceptable if neither option #1 or option #2 is available.

For purposes of this study, patients should take oral folic acid daily beginning

approximately 1 to 3 weeks before treatment with ALIMTA plus cisplatin or cisplatin

- 25 alone and continuing daily until discontinuation from study therapy.
  - 2. Vitamin B12

Vitamin B12 will be obtained and administered as a  $1000 \ \mu g$  intramuscular injection. A vitamin B12 injection must be administered approximately 1 to 3 weeks before treatment with ALIMTA and should be repeated approximately every 9 weeks until

-

30 the patient discontinues from study therapy.

# NEPTUNE GENERICS 1002 - 00449

APOTEX 1002 - 0449

-14-

Folic acid supplementation,  $350 - 600 \ \mu g$  or equivalent should be taken orally daily beginning approximately 1 to 3 weeks prior to the first dose of MTA plus cisplatin and continue daily until the patient discontinues from study therapy. A vitamin B12 injection, 1000  $\mu g$ , must be given intramuscularly approximately 1 to 3 weeks prior to the

5 first dose of ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Compare presupplementation homocysteine and methylmalonic acid levels to a) the level immediately prior to the initial dose of study drug, and b) to the level immediately prior to the second dose of study drug (i.e., after a full cycle of supplementation), and

10 compare the prevalence of specific toxicities experienced in up to the first seven cycles of therapy in patients who have been supplemented from baseline to the prevalence seen in the earlier patients (n = 246) who were not supplemented (Farber et al.)

Toxicity may be compared in specific patients in non-supplemented cycles versus supplemented cycles (cross-over patients).

15 The data to be compared are:

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- Patient numbers and baseline demographic data for those supplemented from baseline.
- Homocysteine and methylmalonic acid levels, levels at baseline, prior to first dose, prior to second dose, and prior to each therapy cycle depending of the type of cancer under study.
- 3) Grade 3 and 4 hematologic toxicity in these fully supplemented patients.
- 4) Grade 3 and 4 nonhematologic toxicity in these fully supplemented patients.

The grading of toxicities in chemotherapuetic clinical trials is well known to a person of skill in the art. Examples of fatigue and skin rash grading are provided below.

### NEPTUNE GENERICS 1002 - 00450 APOTEX 1002 - 0450

-15-

#### Fatigue Grading --

	Neuromotor
Grade 0	none or no change
Grade 1	subjective weakness; no objective findings
Grade 2	mild objective weakness without significant impairment of function
Grade 3	objective weakness with impairment of function
Grade 4	paralysis

#### **Rash Grading** ---

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Grade 0 none or no change

Skin

scattered macular or papular eruption or erythema that is asymptomatic Grade 1

Grade 2 scattered macular or papular eruption or erythema with pruritus or other associated eruption symptoms

15 Grade 3 generalized symptomatic macular, papular, or vesicular cruption Grade 4 exfoliative dermatitis or ulcerating dermatitis

The vitamins (both folic acid and B12) to be used in the following studies may be obtained from Zenith Gold Line, Centrum, Folvite, or in Canada Apo-Folic.

20 Cyanocobalamin is used as the methylmalonic acid lowering agent in these studies.

Current and past clinical trials show a 4% drug-related death total, 50% grade 3/4 neutropenia, 7% grade 4 thrombocytopenia, and 10% grade 3/4 diarrheas and mucositis in patients administered ALIMTA and folic acid as described in U.S. Pat. No. 5,217,974. Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related

25 toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%. The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated. The combination of vitamin B12 and folic acid has lowered the drug related grade 3/4 toxic events, see Table 1.

### **NEPTUNE GENERICS 1002 - 00451** APOTEX 1002 - 0451

	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N=78)
Hematologic Toxicity/Non-	37%	6.4%
Hematologic Toxicity		
Neutropenia	32%	2.6%
Mucositis	5%	1.3%
Diarrhea	6%	2.6%
Neutropenia and Mucositis	3%	0%
Neutropenia and Diarrhea	3%	0%
Neutropenia and Infection	2%	0%

Additionally, sixty-two chemonaive patients requiring chemotherapeutic treatment were divided into two groups. Seventeen of these patients received ALIMTA, but did not

5 receive vitamin B12 or folic acid, as described *supra*. The remaining patients received treatment with vitamin B12, folic acid, and ALIMTA, as described *supra*. Of patients who received the combination treatment, 8 out of 45 responded to the chemotherapy. Of patients who did not receive the combination treatment, but rather, received only treatment with ALIMTA, only 1 out of 17 patients responded.

## NEPTUNE GENERICS 1002 - 00452 APOTEX 1002 - 0452

PCT/US01/14860

#### We Claim:

 A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

2. A method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

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3. A method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

- 15 4. A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and FBP binding agent.
- A method of reducing the toxicity associated with the administration of an
   antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and FBP binding agent.

A method of inhibiting tumor growth in manimals comprising administering
 to said manimals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent and FBP binding agent.

 A method of any one of claims 1-6 wherein the methylmalonic acid lowering agent is selected from the group consisting of hydroxocobalamin, cyano-10 chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate,

azidocobalamin, chlorocobalamin, and cobalamin.

NEPTUNE GENERICS 1002 - 00453 APOTEX 1002 - 0453 8. A method of any one of claims 4-6 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

9. A method of any one of claims 1-8 wherein the antifolate is ALIMTA.

10. A method of any one of claims 1-9 wherein the mammal is pretreated withmethylmalonic acid lowering agent.

11. The use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

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12. The use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

20 13. The use any one of claims 11-12 wherein the methylmalonic acid lowering agent is selected from the group consisting of hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.

25 14. The use of any one of claims 11-13 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

30 15. The use of any one of claims 11-14 wherein the antifolate is ALIMTA.

## NEPTUNE GENERICS 1002 - 00454 APOTEX 1002 - 0454

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16. The use of any one of claims 11-15 wherein the mammal is pretreated with methylmalonic acid lowering agent.

17. Use of a methylmalonic acid lowering agent in the manufacture of a
5 medicament for lowering the mammalian toxicity associated with administration of an antifolate wherein said methylmalonic acid lowering agent is administered in combination with said antifolate.

18. Use of a methylmalonic acid lowering agent in the manufacture of a
 medicament for use in a method of inhibiting tumor growth in mammals, which method comprises administering said methylmalonic acid lowering agent in combination with an antifolate.

19. Use according to claim 17 or 18 wherein a FBP binding agent is alsoadministered in combination with said methylmalonic acid lowering agent and antifolate.

20. Use according to any one of claims 17-19 wherein the methylmalonic acid lowering agent, antifolate and optionally FBP binding agent is administered simultaneously, separately or sequentially of one another.

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21. The use any one of claims 17-20 wherein the methylmalonic acid lowering agent is selected from the group consisting of hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.

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22. The use of any one of claims 19-21 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

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23. The use of any one of claims 17-22 wherein the antifolate is ALIMTA.

### NEPTUNE GENERICS 1002 - 00455 APOTEX 1002 - 0455

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24. The use of any one of claims 17-23 wherein the mammal is pretreated with the methylmalonic acid lowering agent.

- 5 25. A product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.
- 26. A product according to claim 25 wherein the methylmalonic acid lowering
   agent is selected from the group consisting of hydroxocobalamin, cyano-10 chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate,
   azidocobalamin, chlorocobalamin, and cobalamin.
  - 27. A product according to claim 25 or 26 wherein the antifolate is ALIMTA.

28. A product according to anyone of claims 25-27 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

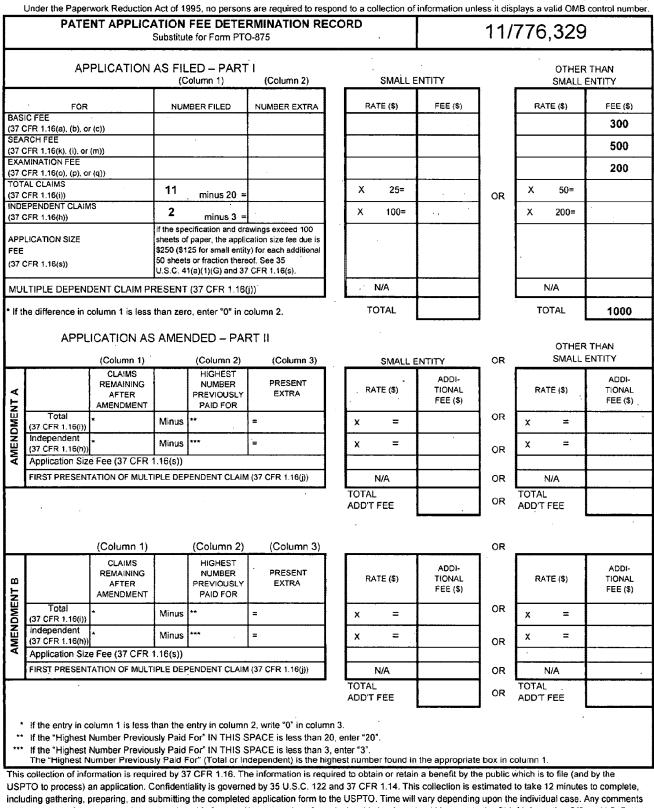
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### NEPTUNE GENERICS 1002 - 00456 APOTEX 1002 - 0456

# 7/11/07

PTO/SB/06 (12-04) Approved for use through 7/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



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### **NEPTUNE GENERICS 1002 - 00457**

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PATENT APPLICATION FEE DETERMINATION RECORD 11/776.329 Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN (Column 2) SMALL ENTITY (Column 1) SMALL ENTITY NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$) RATE (\$) FEE (\$) FOR BASIC FEE 300 (37 CFR 1.16(a), (b), or (c)) SEARCH FEE 500 (37 CFR 1.16(k), (i), or (m)) EXAMINATION FEE 200 (37 CFR 1.16(o), (p), or (q)) OTAL CLAIMS 11 X 25= X 50**=** (37 CFR 1.16(i)) minus 20 OR INDEPENDENT CLAIMS 2 х 100: 200= x ۰. (37 CFR 1.16(h)) minus 3 If the specification and drawings exceed 100 APPLICATION SIZE sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional FEE its or fraction there . xl. See 35 (37 CFR 1.16(s)) U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). .. N/A N/A MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16()) TOTAL TOTAL If the difference in column 1 is less than zero, enter "0" in column 2. 1000 APPLICATION AS AMENDED - PART II OTHER THAN 57 SMALL ENTITY (Column 1) (Column 2) (Column 3) SMALL ENTITY OR CLAIMS HIGHEST ADD1-ADDI REMAINING NUMBER ORESENT RATE (\$) TIONAL RATE (\$) TIONAL PREVIOUSLY AFTER EXTRA FEE (\$) FEE (\$) AMENDMENT AMENDMENT PAID FOR Total OR Minus X = = ١. x 20 (37 CFR 1.16(i)) Independent Minus 2 X = = X (37 CFR 1.16(h)) ÓR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(i)) OR N/A N/A TOTAL TOTAL OR ADD'T FEE ADD'T FEE (Column 1) (Column 2) (Column 3) OR CLAIMS HIGHEST ADDH ADDI-PRESENT REMAINING NUMBER RATE (S) RATE (S) TIONAL TIONAL 8 AFTER PREVIOUSLY EXTRA FEE (\$) FEE (\$) AMENDMENT AMENOMENT PAID FOR Total OR Minus ----Х = x = (37 CFR 1.16(i)) Independent \*\*\* Minus -X = = (97 CFR 1.16(h)) OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) N/A OR N/A TOTAL TOTAL OR ADD'T FÉE ADD'T FEE If the entry in column 1 is less than the entry in column 2, write "0" in column 3. en. If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### NEPTUNE GENERICS 1002 - 00458

**APOTEX 1002 - 0458**