	1958			1960
		09:03:11	1	THE COURT: Good morning. Let's get the witness
43:56 1	IN THE UNITED STATES DISTRICT COURT	09:03:16	2	back on the stand.
2	IN AND FOR THE DISTRICT OF DELAWARE	09:03:19	3	Do you have an issue, Mr. Andre?
3		09:03:21	4	MR. ANDRE: A housekeeping issue. I want to
4	FINJAN, INC., ) Civil Action )	09:03:24	5	make sure we don't waive any kind of Rule 50 motions. Whe
5	Plaintiff, )	09:03:28	6	Symantec finishes its case, they won't be officially
6	v. )		_	
7	SYMANTEC CORP., ) WEBROOT SOFTWARE, INC., )	09:03:31	7	resting. They will all rest this afternoon. We will do the
8	WEBSENSE INC., and SOPHOS, INC., )	09:03:35	8	Rule 50 motions all at one time, so we don't have to
9	Defendants. ) No. 10-593-GMS	09:03:40	9	piecemeal it.
10	Wilmington, Delaware	09:03:46	10	They may finish their case today. We didn't
11	Wednesday, December 12, 2012 9:00 a.m.	09:03:48	11	want to waive our Rule 50 motions.
12	Day 9 of Trial	09:03:52	12	MR. PAK: We are not going to argue it's
13	BEFORE: HONORABLE GREGORY M. SLEET, Chief Judge,	09:03:55	13	untimely.
14	and a Jury	09:03:56	14	MS. KOBIALKA: I am sorry, Your Honor. There is
15	APPEARANCES:	09:03:59		also an issue about the very next witness that they have
16	PHILIP A. ROVNER, ESQ. Potter Anderson & Corroon LLP			
17	PAUL J. ANDRE, ESQ.,	09:04:02		slated to testify.
18	LISA KOBIALKI, ESQ., JAMES HANNAH, ESQ.,	09:04:03		THE COURT: We will talk about it later.
19	HANNAH LEE, ESQ., and JONATHAN S. CAPLAN, ESQ.	09:04:05	18	MS. KOBIALKA: All right.
20	Kramer Levin (Redwood Shores, CA)	09:04:26	19	(Jury enters courtroom at 9:04 a.m.)
21	Counsel for Plaintiff	09:04:33	20	THE COURT: Good morning, members of the jury.
22		09:04:35	21	Please, take your seats.
23		09:04:37	22	We will resume.
24		09:04:43		MR. PAK: May I proceed, Your Honor.
23		09:04:45		
				THE COURT: Yes, you may.
	1959	09:04:47	25	BY MR. PAK.
1 4	APPEARANCES CONTINUED:			1961
2	DENISE SEASTONE KRAFT, ESQ.		1	BRUCE M. MAGGS, having been previously
-	DLA Piper LLP (US)		2	sworn as a witness, was examined and testified further
3	-and- JOHN ALLCOCK, ESQ.,		3	as follows
4	KATHRYN RILEY GRASSO, ESQ., and	09:04:48	4	DIRECT EXAMINATION CONTINUED
5	SEAN CUNNINGHAM, ESQ. DLA Piper LLP (US)	09:04:48	5	Q. Good morning, Doctor.
3	(San Diego, CA)		_	
6	Coursel for Defendant	09:04:50	6	A. Good morning.
7	Counsel for Defendant Sophos, Inc.	09:04:50	7	Q. Before we delve into the '194 patent, I want us to do
	MARVELLEN NORETVA ECO	09:04:54	8	a quick recap of where we were yesterday before we took ou
8	MARYELLEN NOREIKA, ESQ. Morris Nichols Arsht & Tunnell LLP	09:04:57	9	break for the night. To remind us, were you here, Doctor,
9	-and-	09:05:02	10	when Dr. Medvidovic demonstrated the Symantec web Gatew
10	JENNIFER A. KASH, ESQ., DAVID NELSON, ESQ., and	09:05:07	11	product?
	SEAN PAK, ESQ.	09:05:07		
11	Quinn Emanuel (San Francisco, CA)			
12		09:05:08		Q. And what was your understanding of what Dr. Medvido
13	Counsel for Defendant Symantec Group	09:05:10	14	was trying to demonstrative through that demonstration?
	·	09:05:14	15	A. It appeared to me that he was trying to demonstrate
14	THOMAS C. GRIMM, ESQ. Morris Nichols Arsht & Tunnell LLP	09:05:19	16	the Matrix software component of the web Gateway product,
15	-and-	09:05:27	17	preventing a downloadable from reaching the client in an
16	ANTHONY M. STIEGLER, ESQ., and JOHN KYLE, ESQ.	09:05:32	18	infringing manner.
	Cooley LLP	09:05:33		Q. And do you recall what type of file the downloadable
17	(San Diego, CA)			• •
18	Counsel for Websense Inc.	09:05:36		was in that particular demonstration?
19		09:05:38	21	A. It was an ActiveX file.
20		09:05:40	22	Q. And do you recall that Dr. Medvidovic testified that
24		09:05:44	23	he believed that the Matrix component was the component th
21 22				
22 23		09:05:47	24	detected and locked the ActiveX file?
22		09:05:47 09:05:50		detected and locked the ActiveX file?  A. Yes. He said that.

	1962		1964
09:05:51	Q. Now, based on the actual source code reviewed and the	09:08:17 <b>1</b>	claim present? And if it is present, is it in the right
09:05:55 2	testimony that you heard from Mr. Coleman, is it possible	09:08:17	place, meaning, is it's in Symantec's software?
09:05:59	that Dr. Medvidovic's demonstration actually showed the	09:08:25 3	Q. Mr. Shirazi, let's have SYMDX12-2.
	operation of the accused Matrix components?	09:08:25 <b>3</b>	Doctor, I'd like to focus your attention on the
09:06:03 <b>4</b> 09:06:06 <b>5</b>	A. No. Matrix didn't block that ActiveX file.		•
•	_	_	second limitation that includes the phrase, "The
_	Q. Is it possible for Matrix to ever block ActiveX files?	09:08:38 6	downloadable security profile data includes a list of
09:06:09 7	A. Matrix can't scan ActiveX files. I have looked at the	09:08:41 7	suspicious computer operations."
09:06:15	code. In fact, Dr. Coleman showed the code where Matrix	09:08:45	Do you recall identifying that as the missing
09:06:18	only looks for Visual Basic Script or JavaScript or html.	09:08:47	limitation in the accused Symantec products?
09:06:23 10	And furthermore, it doesn't even make sense to perform the	09:08:49 10	A. Well, actually, the whole limitation is missing,
09:06:26 11	step of tokenization on an ActiveX file because that's not	09:08:53 11	starting with the word "comparing," but the primary reason
09:06:30 12	source code. It's machine code.	09:08:56 12	it's missing is because there is never a creation of a list
09:06:33 13	Q. And have you looked into the issue of which component	09:08:59 13	of suspicious computer operations that's included in a
09:06:37 14	inside of the Symantec product actually blocked the ActiveX	09:09:04 14	downloadable security profile data.
09:06:40 15	file that Dr. Medvidovic showed us?	09:09:07 15	Q. And based on the source code, is the Matrix component
09:06:42 16	A. Yes, I have.	09:09:11 16	capable of creating or extracting a downloadable security
09:06:43 17	Q. And which component was that again?	09:09:16 17	profile data that includes a list of suspicious computer
09:06:44 18	A. It's called the Trojan scanner.	09:09:20 18	operations?
09:06:47 19	Q. And what type of technology does the Trojan scanner	09:09:20 19	A. It doesn't do that.
09:06:52 <b>20</b>	use?	09:09:23 <b>20</b>	Q. And did Dr. Medvidovic, in performing his infringement
09:06:52 21	A. It's a signature-based technology.	09:09:25 21	presentation to us, actually cite or analyze any source code
09:06:55 <b>22</b>	Q. Thank you, Doctor.	09:09:28 <b>22</b>	for this particular notation?
09:06:56 23	Yesterday, we also discussed your views on the	09:09:31 23	A. No, he didn't. He didn't show any source code that
09:07:00 24	term "behavior" or "behavior-based technology" as a	09:09:34 24	does this or any source code at all.
25	manistina tama. Da man maali that?	09:09:36 25	O A.d
09:07:03 <b>25</b>	marketing term. Do you recall that?	09:09:36 23	Q. And were you here, sir, when Mr. Coleman testified and
09:07:03 <b>25</b>	1963	09:09:36 23	4. And were you nere, sir, when Mr. Coleman testified and
09:07:03 25	<u> </u>	09:09:36 25	
	1963		1965
1	1963 <b>A. Yes.</b>	09:09:39 1	1965 explained to us and showed us the actual source code that
1 09:07:06 <b>2</b>	A. Yes. Q. Yes or no, sir, do you believe that Finjan's patents	09:09:39 <b>1</b> 09:09:43 <b>2</b>	1965 explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix
1 09:07:06 <b>2</b> 09:07:08 <b>3</b>	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses	09:09:39 <b>1</b> 09:09:43 <b>2</b> 09:09:46 <b>3</b>	1965 explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?
1 09:07:06 2 09:07:08 3 09:07:13 4	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?	09:09:39 <b>1</b> 09:09:43 <b>2</b> 09:09:46 <b>3</b> 09:09:46 <b>4</b>	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6	A. Yes. Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware? A. No. Q. And why not?	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5 09:09:51 6	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7	A. Yes. Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware? A. No. Q. And why not? A. Well, it, with any patent, you actually have to look	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5 09:09:51 6 09:09:54 7	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7 09:07:21 8	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.  Q. And why not?  A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5 09:09:51 6 09:09:54 7 09:09:55 8	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7 09:07:21 8 09:07:24 9	A. Yes. Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware? A. No. Q. And why not? A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope of the patent is. And the language there is, it's pretty	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5 09:09:51 6 09:09:54 7 09:09:55 8 09:09:57 9	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before he presented it.
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7 09:07:21 8 09:07:24 9 09:07:29 10	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.  Q. And why not?  A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope of the patent is. And the language there is, it's pretty specific. It explains exactly what's covered. And it	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5 09:09:51 6 09:09:54 7 09:09:55 8 09:09:57 9 09:09:58 10	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before he presented it.  Q. And what does that source code tell us?
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7 09:07:21 8 09:07:24 9 09:07:24 11	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.  Q. And why not?  A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope of the patent is. And the language there is, it's pretty specific. It explains exactly what's covered. And it certainly doesn't encompass all behavior blocking	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5 09:09:51 6 09:09:54 7 09:09:55 8 09:09:57 9 09:09:58 10 09:10:01 11	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before he presented it.  Q. And what does that source code tell us?  A. What he showed was a portion of the source code where,
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7 09:07:21 8 09:07:24 9 09:07:29 10 09:07:32 11	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.  Q. And why not?  A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope of the patent is. And the language there is, it's pretty specific. It explains exactly what's covered. And it certainly doesn't encompass all behavior blocking technology, especially as that term is used, to cover a wide	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5 09:09:51 6 09:09:54 7 09:09:55 8 09:09:57 9 09:09:58 10 09:10:01 11 09:10:01 12	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before he presented it.  Q. And what does that source code tell us?  A. What he showed was a portion of the source code where, after the signatures have been applied against the tokenized
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7 09:07:21 8 09:07:24 9 09:07:29 10 09:07:32 11 09:07:36 12 09:07:40 13 09:07:41 14	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.  Q. And why not?  A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope of the patent is. And the language there is, it's pretty specific. It explains exactly what's covered. And it certainly doesn't encompass all behavior blocking technology, especially as that term is used, to cover a wide variety of things.  Q. Does the term "behavior" or "behavior blocking" ever	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5 09:09:51 6 09:09:54 7 09:09:55 8 09:09:57 9 09:09:58 10 09:10:01 11 09:10:05 12 09:10:10 13	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before he presented it.  Q. And what does that source code tell us?  A. What he showed was a portion of the source code where, after the signatures have been applied against the tokenized version of the JavaScript, there is a list of which
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:15 7 09:07:21 8 09:07:24 9 09:07:24 11 09:07:36 11 09:07:36 11 09:07:41 14 09:07:41 15	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.  Q. And why not?  A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope of the patent is. And the language there is, it's pretty specific. It explains exactly what's covered. And it certainly doesn't encompass all behavior blocking technology, especially as that term is used, to cover a wide variety of things.  Q. Does the term "behavior" or "behavior blocking" ever appear in the patents?	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5 09:09:51 6 09:09:54 7 09:09:55 8 09:09:57 9 09:09:58 10 09:10:01 11 09:10:05 12 09:10:10 13 09:10:13 14 09:10:16 15	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before he presented it.  Q. And what does that source code tell us?  A. What he showed was a portion of the source code where, after the signatures have been applied against the tokenized version of the JavaScript, there is a list of which signatures matched.  And the source code he showed was the point
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7 09:07:21 8 09:07:24 9 09:07:24 11 09:07:36 12 09:07:40 13 09:07:41 14 09:07:47 15 09:07:48 16	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.  Q. And why not?  A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope of the patent is. And the language there is, it's pretty specific. It explains exactly what's covered. And it certainly doesn't encompass all behavior blocking technology, especially as that term is used, to cover a wide variety of things.  Q. Does the term "behavior" or "behavior blocking" ever appear in the patents?  A. No. The term "behavior" isn't in the patents.	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5 09:09:51 6 09:09:54 7 09:09:55 8 09:09:58 10 09:10:10 11 09:10:05 12 09:10:10 13 09:10:10 13 09:10:16 15 09:10:19 16	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before he presented it.  Q. And what does that source code tell us?  A. What he showed was a portion of the source code where, after the signatures have been applied against the tokenized version of the JavaScript, there is a list of which signatures matched.  And the source code he showed was the point where a single one of those signatures is selected, and the
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7 09:07:21 8 09:07:24 9 09:07:29 10 09:07:32 11 09:07:36 12 09:07:40 13 09:07:41 14 09:07:47 15 09:07:48 16 09:07:52 17	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.  Q. And why not?  A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope of the patent is. And the language there is, it's pretty specific. It explains exactly what's covered. And it certainly doesn't encompass all behavior blocking technology, especially as that term is used, to cover a wide variety of things.  Q. Does the term "behavior" or "behavior blocking" ever appear in the patents?  A. No. The term "behavior" isn't in the patents.  Q. With that recap, let's go back to the '194 patent. As	09:09:39 1 09:09:46 3 09:09:46 4 09:09:46 5 09:09:51 6 09:09:51 7 09:09:55 8 09:09:57 9 09:09:58 10 09:10:01 11 09:10:05 12 09:10:10 13 09:10:11 14 09:10:16 15 09:10:19 16 09:10:23 17	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before he presented it.  Q. And what does that source code tell us?  A. What he showed was a portion of the source code where, after the signatures have been applied against the tokenized version of the JavaScript, there is a list of which signatures matched.  And the source code he showed was the point where a single one of those signatures is selected, and the identification for that signature is referred to the AV
1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7 09:07:21 8 09:07:24 9 09:07:29 10 09:07:32 11 09:07:36 12 09:07:40 13 09:07:41 14 09:07:47 15 09:07:52 17 09:07:55 18	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.  Q. And why not?  A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope of the patent is. And the language there is, it's pretty specific. It explains exactly what's covered. And it certainly doesn't encompass all behavior blocking technology, especially as that term is used, to cover a wide variety of things.  Q. Does the term "behavior" or "behavior blocking" ever appear in the patents?  A. No. The term "behavior" isn't in the patents.  Q. With that recap, let's go back to the '194 patent. As I promised you yesterday, what we are going to do is walk	09:09:39 1 09:09:46 3 09:09:46 4 09:09:46 5 09:09:51 6 09:09:54 7 09:09:55 8 09:09:57 9 09:09:58 10 09:10:01 11 09:10:05 12 09:10:10 13 09:10:11 14 09:10:16 15 09:10:19 16 09:10:23 17 09:10:29 18	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before he presented it.  Q. And what does that source code tell us?  A. What he showed was a portion of the source code where, after the signatures have been applied against the tokenized version of the JavaScript, there is a list of which signatures matched.  And the source code he showed was the point where a single one of those signatures is selected, and the identification for that signature is referred to the AV engine, which will then decide what to do about it.
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1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7 09:07:21 8 09:07:24 9 09:07:29 10 09:07:32 11 09:07:36 12 09:07:40 13 09:07:41 14 09:07:47 15 09:07:52 17 09:07:55 18 09:07:59 19 09:08:02 20 09:08:06 21	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.  Q. And why not?  A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope of the patent is. And the language there is, it's pretty specific. It explains exactly what's covered. And it certainly doesn't encompass all behavior blocking technology, especially as that term is used, to cover a wide variety of things.  Q. Does the term "behavior" or "behavior blocking" ever appear in the patents?  A. No. The term "behavior" isn't in the patents.  Q. With that recap, let's go back to the '194 patent. As I promised you yesterday, what we are going to do is walk through each piece of evidence that Dr. Medvidovic presented and have you respond to that evidence. Are you with me?  A. Yes, I am with you.	09:09:39 1 09:09:46 3 09:09:46 4 09:09:46 5 09:09:51 6 09:09:54 7 09:09:55 8 09:09:57 9 09:09:58 10 09:10:01 11 09:10:01 12 09:10:10 13 09:10:11 14 09:10:16 15 09:10:12 17 09:10:23 17 09:10:29 18 09:10:34 20 09:10:34 20	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before he presented it.  Q. And what does that source code tell us?  A. What he showed was a portion of the source code where, after the signatures have been applied against the tokenized version of the JavaScript, there is a list of which signatures matched.  And the source code he showed was the point where a single one of those signatures is selected, and the identification for that signature is referred to the AV engine, which will then decide what to do about it.  I note that that wasn't a list of operations.  That was just a list of identification numbers of signatures, not suspicious operations.
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1 09:07:06 2 09:07:08 3 09:07:13 4 09:07:14 5 09:07:15 6 09:07:16 7 09:07:21 8 09:07:24 9 09:07:29 10 09:07:32 11 09:07:36 12 09:07:40 13 09:07:41 14 09:07:47 15 09:07:55 18 09:07:59 19 09:08:02 20 09:08:06 21 09:08:07 22	A. Yes.  Q. Yes or no, sir, do you believe that Finjan's patents cover all forms of behavior technology for blocking viruses and malware?  A. No.  Q. And why not?  A. Well, it, with any patent, you actually have to look at the specific language in the claims to see what the scope of the patent is. And the language there is, it's pretty specific. It explains exactly what's covered. And it certainly doesn't encompass all behavior blocking technology, especially as that term is used, to cover a wide variety of things.  Q. Does the term "behavior" or "behavior blocking" ever appear in the patents?  A. No. The term "behavior" isn't in the patents.  Q. With that recap, let's go back to the '194 patent. As I promised you yesterday, what we are going to do is walk through each piece of evidence that Dr. Medvidovic presented and have you respond to that evidence. Are you with me?  A. Yes, I am with you.  Q. Great. Remind us, as we look at each claim	09:09:39 1 09:09:43 2 09:09:46 3 09:09:46 4 09:09:49 5 09:09:51 6 09:09:54 7 09:09:55 8 09:09:57 9 09:09:58 10 09:10:01 11 09:10:05 12 09:10:10 13 09:10:10 13 09:10:10 15 09:10:10 16 09:10:23 17 09:10:29 18 09:10:32 19 09:10:36 21 09:10:36 21 09:10:39 22	explained to us and showed us the actual source code that corresponded to the actual operation of the Matrix component?  A. He did show a portion of that, and I did see that.  Q. And have you had a chance to analyze the source code independently and verify that Mr. Coleman is correct about the operation of the source code?  A. Yes. I was well familiar with that source code before he presented it.  Q. And what does that source code tell us?  A. What he showed was a portion of the source code where, after the signatures have been applied against the tokenized version of the JavaScript, there is a list of which signatures matched.  And the source code he showed was the point where a single one of those signatures is selected, and the identification for that signature is referred to the AV engine, which will then decide what to do about it.  I note that that wasn't a list of operations.  That was just a list of identification numbers of signatures, not suspicious operations.  Q. And remind us again, what is actually returned back to

	1966		1968
09:10:50 1	Q. Is that a list of anything?	09:13:13 1	A. No other component does that. It's just not done.
09:10:51 <b>2</b>	A. No. It's just one number.	09:13:17 <b>2</b>	Q. Let's look at one more point of evidence from
09:10:54 <b>3</b>	Q. Even if multiple signatures were detected, does it	09:13:22 <b>3</b>	Dr. Medvidovic's presentation. That's PTX-1071. Thank you.
09:10:56 4	return a single number or multiple numbers?	09:13:30 4	This is the Software Design Document. Do you
09:11:00 <b>5</b>	A. One number.	09:13:33 <b>5</b>	recall that document?
09:11:01 6	Q. Now, let's look at the first document that	09:13:37 6	A. Yes. I have seen this.
09:11:04 <b>7</b>	Dr. Medvidovic presented to us as part of his infringement	09:13:40 7	Q. And, again, Dr. Medvidovic cited this document as
09:11:08	analysis. Let's put up PTX-856.	09:13:43 8	purported evidence that somehow the Matrix component
09:11:14	Do you recall, Doctor, this was the Script	09:13:46	extracts a list of suspicious operations?
09:11:16 10	Scanning document relating to the project Matrix, and it was	09:13:48 10	THE COURT: I guess you can ask him if he
09:11:21 11	written by Darren Chi. Do you recall that?	09:13:52 11	observed of the doctor.
09:11:25 12	A. Yes.	09:13:53 12	MR. PAK: Thank you.
09:11:25 13	Q. And let's turn to Page 5 in this document. I think we	09:13:55 13	BY MR. PAK:
09:11:28 14	have seen this figure several times in the trial.	09:13:55 14	Q. Did you observe Dr. Medvidovic testifying about this
09:11:32 15	Do you recall that Dr. Medvidovic relied on this	09:13:58 15	document?
09:11:34 16	block diagram as evidence with respect to the limitation we	09:13:58 16	A. Yes, I did.
09:11:38 17	are discussing now?	09:13:59 17	Q. What is your opinion with respect to this document and
09:11:39 18	A. Yes, I do.	09:14:01 18	how it relates to the limitation at issue?
09:11:40 19	Q. And, specifically, do you recall that Dr. Medvidovic	09:14:04 19	A. This document never says anything about extracting a
09:11:44 <b>20</b>	identified the threat definition execution unit as the	09:14:08 <b>20</b>	list of suspicious operations.
09:11:47 <b>21</b>	component that would perform this extraction of the list of	09:14:11 <b>21</b>	It talks about the signatures, which are also
09:11:51 <b>22</b>	suspicious operations?	09:14:14 <b>22</b>	known as "script definitions," just confirming that what
09:11:52 23	A. I do remember that he indicated that that component	09:14:20 <b>23</b>	Matrix does is it applies signatures against the JavaScript
09:11:56 <b>24</b>	was involved in infringing the patent, yes.	09:14:25 <b>24</b>	or Visual Basic Script.
09:12:01 <b>25</b>	Q. Have you had a chance now to analyze the actual source	09:14:27 <b>25</b>	Q. Can you remind us again, how are signature scanning
	1967		1969
09:12:05	code and also Mr. Coleman's deposition and trial testimony	09:14:30 <b>1</b>	technologies different than what's being claimed in the '194
09:12:08 <b>2</b>	related to this figure?	09:14:33 <b>2</b>	patent?
09:12:09 <b>3</b>	A. Yes, I have.	09:14:33 <b>3</b>	A. Well, with signature scanning, there is some employee
09:12:11 4	Q. And what has that analysis revealed to you about	09:14:38 4	at Symantec who, in advance, crafts a signature that is
09:12:13 <b>5</b>	whether all of the components in this block diagram are	09:14:45 <b>5</b>	specifies a pattern that you are looking for within the
09:12:16 6	actually present in the Matrix source code?	09:14:48 6	downloadable to which would indicate if the pattern
09:12:19 7	A. Well, as Mr. Coleman testified yesterday, that threat	09:14:52 <b>7</b>	matches, that there is something wrong with it, it's bad.
09:12:23	definition unit was never implemented. It never went into	09:14:55	And with signature scanning, you take the entire
09:12:25	the source code. And in my analysis of the source code, I	09:14:58	downloadable and you run the signature against it and you
09:12:28 10	didn't find it.	09:15:00 10	see if you get a match. The patent describes something
09:12:29 11	Q. I will show you something that came up in	09:15:03 11	different, which is, you take the downloadable, you go
09:12:31 12	Mr. Coleman's deposition that's SYMDX12-10. It's a little	09:15:05 12	through it, and you extract the suspicious operations. That
09:12:40 13	bit difficult to see on the screen, but do you see these	09:15:12 13	list of suspicious operations is part of a downloadable
09:12:43 14	hash marks through the blocks labeled toward the lower	09:15:15 14	security profile, which you then use to compare against a
09:12:46 15	right-hand corner of this block diagram?	09:15:19 15	policy and determine whether the downloadable is malicious.
09:12:48 16	A. Yes, I do.	09:15:24 16	Q. If there were no signatures written for the Matrix
09:12:49 17	Q. And do you recall why those hash marks were made by	09:15:26 17	component, could the Matrix component protect against any
09:12:53 18	Mr. Coleman during his deposition?	09:15:31 18	type of downloadable?
09:12:54 19	A. Yeah. My understanding is during his deposition, he	09:15:36 19	A. No. Because the way it works is it scans the
09:12:56 <b>20</b>	pointed out that those blocks were never implemented. They	09:15:39 <b>20</b>	signatures and it only reports back a threat I.D. if there
09:12:59 <b>21</b>	didn't make it into the final source code.	09:15:42 <b>21</b>	is a match. If there were no signatures, there couldn't be
09:13:01 <b>22</b>	Q. So if there is no threat definition execution unit	09:15:45 <b>22</b>	a match and it wouldn't report anything.
09:13:05 23	inside the Matrix component, is there any other component	09:15:47 <b>23</b>	Q. Does the term "signature" appear anywhere in the '194
09:13:08 24	inside of Matrix that is capable of extracting a list of	09:15:52 <b>24</b>	patent?
09:13:11 <b>25</b>	suspicious operations?	09:15:52 <b>25</b>	A. No.

	1970	4	1972
09:15:53	Q. Let's take a look at one more piece of evidence from	09:18:29	A. No. In the '194 patent we saw Figure 7, which
09:15:56 2	Dr. Medvidovic's presentation. That's PTX-1224.	09:18:36 2	explains how each command, one after another, is examined,
09:16:04 3	If you could highlight for us this phrase,	09:18:39	and the suspicious ones are taken out and put on a list.
09:16:07 4	"Therefore, this sample."	09:18:44 4	Q. Thank you.
09:16:14 <b>5</b>	Do you recall the testimony of Dr. Medvidovic	09:18:45 5	Let's look at another piece of evidence that Dr.
09:16:17 6	regarding this particular document and the statement here on	09:18:49 6	Medvidovic presented. That's PTX-1022.
09:16:20 <b>7</b>	the screen?	09:18:54	This is taken from the Symantec Web Security
09:16:20	A. Yes, I do.	09:18:57	Implementation Guide.
09:16:23	Q. And do you recall what he actually said about this	09:19:00	If we could go to Page 279 in this document.
09:16:29 10	particular document?	09:19:08 10	Doctor, do you recall testimony from Finjan's
09:16:29 11	A. Well, he presented this, again, as evidence that this	09:19:10 11	expert regarding this particular diagram?
09:16:35 12	particular limitation is met.	09:19:14 12	A. Yes, I do.
09:16:36 13	Q. Do you agree with that assessment?	09:19:16 13	Q. And before we get your opinion, what are we looking at
09:16:39 14	A. No.	09:19:19 14	here? What is this showing?
09:16:39 15	Q. And why not?	09:19:21 15	A. Can you go back out so I can see the whole thing again
09:16:41 16	A. Well, can I explain what this document is?	09:19:24 16	just to refresh my memory? Okay. Now can we zoom back in?
09:16:43 17	Q. Absolutely.	09:19:34 17	Well, this is sort of a dialogue box for
09:16:45 18	A. This is a signature. Could you temporarily not	09:19:37 18	configuring the product.
09:16:49 19	highlight that so I can see the whole document in front of	09:19:38 19	Q. Now, is there anything on this page that describes or
09:16:52 <b>20</b>	me?	09:19:42 <b>20</b>	indicates the Matrix component as a component that somehow
09:16:53 21	Let me just take a quick look here.	09:19:46 21	generates a list of suspicious operations from the
09:16:58 22	Okay. Yeah. You can if you want to	09:19:48 22	downloadable?
09:17:00 23	highlight something, that's fine.	09:19:49 23	A. No. This diagram doesn't say anything about Matrix
09:17:02 24	But this is this is a portion of a file that	09:19:52 24	and it doesn't say anything about a list of suspicious
09:17:06 <b>25</b>	contains a number of signatures, and what we are looking at	09:19:54 <b>25</b>	operations.
03:17:00	1971	03.13.54	1973
09:17:10 <b>1</b>	here is an explanation of of how a particular signature	09:19:57	Q. Let's look at one more piece of evidence, and this I
09:17:17 2	is going to work or what it's going to look for.	09:20:05 2	think is the final piece of evidence that Dr. Medvidovic
09:17:19 3	This actually may be an excerpt that was taken	09:20:07	presented. That's JTX-341. This is a Matrix API document.
09:17:22 4	out to sort of document the process of writing signatures.	09:20:15 4	Do you recall this document?
09:17:26 <b>5</b>	Q. And why is this statement about "this sample performs	5	A. Yes.
09:17:31	the following suspicious computer operations" not evidence	09:20:16	Q. Let's go to Bates No. 908.
09:17:34 7	of this limitation, in your opinion?	09:20:22 7	Let me know once you had a chance to look
09:17:34	A. Well, this isn't the downloadable. This is a	09:20:22	through this page and I want to focus your attention on the
		•	
09:17:39 9	signature that was prepared by a Symantec employee prior to	09:20:28 <b>9</b> 09:20:29 <b>10</b>	bottom portion.
09:17:45 <b>10</b> 09:17:50 <b>11</b>	any downloadable being received by the gateway. Okay.		A. Okay.
	This is it's true that in the signature,	09:20:30 11	Q. So if you blow up the "Detected threat list" section
09:17:53 12	there may be mention or even a list of suspicious operations	09:20:35 12	at the bottom.
09:17:56 13	that the signature wants to find, but what the patent talks	09:20:38 13	First of all, have you had a chance to analyze
09:18:00 14	about is extracting a list of suspicious operations from the	09:20:40 14	this particular description and compare it against the
09:18:04 15	downloadable. This is not the right place.	09:20:42 15	actual source code?
09:18:07 16	The list of operations of the signature is not	09:20:44 16	A. Yes, I have.
09:18:09 17			
	the same as extracting the list of suspicious operations	09:20:46 17	Q. And are the statements here accurate or inaccurate?
09:18:14 18	the same as extracting the list of suspicious operations from the downloadable.	09:20:49 18	<ul><li>Q. And are the statements here accurate or inaccurate?</li><li>A. They are accurate.</li></ul>
09:18:14 18	from the downloadable.	09:20:49 18	A. They are accurate.
09:18:14 <b>18</b> 09:18:15 <b>19</b>	from the downloadable.  Q. Again, who would have created signatures? Would it	09:20:49 <b>18</b> 09:20:51 <b>19</b>	<ul><li>A. They are accurate.</li><li>Q. Does this statement indicate to you that there is a</li></ul>
09:18:14 <b>18</b> 09:18:15 <b>19</b> 09:18:18 <b>20</b>	from the downloadable.  Q. Again, who would have created signatures? Would it have been the Matrix component or would it have been a	09:20:49 <b>18</b> 09:20:51 <b>19</b> 09:20:55 <b>20</b>	A. They are accurate.  Q. Does this statement indicate to you that there is a list of suspicious operations being extracted from the
09:18:14	from the downloadable.  Q. Again, who would have created signatures? Would it have been the Matrix component or would it have been a Symantec employee?	09:20:49 <b>18</b> 09:20:51 <b>19</b> 09:20:55 <b>20</b> 09:20:59 <b>21</b>	A. They are accurate.  Q. Does this statement indicate to you that there is a list of suspicious operations being extracted from the downloadable in the Matrix component?
09:18:14	from the downloadable.  Q. Again, who would have created signatures? Would it have been the Matrix component or would it have been a Symantec employee?  A. This signature was written by hand by a Symantec	09:20:49 18 09:20:51 19 09:20:55 20 09:20:59 21 09:21:01 22	A. They are accurate.  Q. Does this statement indicate to you that there is a list of suspicious operations being extracted from the downloadable in the Matrix component?  A. No. That's not what it indicates.

	1974		1976
09:21:13	Stream," and it says, "returns that it has detected a	09:24:09 1	Q. In the interest of time, I am only going the focus on
09:21:19 2	threat, it also returns a list of the detected threats."	09:24:12 2	one dependent claim from the '194 patent. That's Dependent
09:21:23	First of all, the detected threats, those are	09:24:18 3	Claim 58. Can I have that on the screen, PTX-1112.
09:21:25 4	the threat I.D.s, which are numbers indicating which	09:24:29 4	This is the evidence that Dr. Medvidovic
09:21:29 5	signatures matched. They are not operations. They are not	09:24:32 <b>5</b>	presented regarding the Dependent Claim 58.
09:21:32	suspicious operations.	09:24:37 6	THE COURT: Can you confirm that, Doctor?
09:21:33 7	Second, this is a function that's internal to	09:24:40 7	THE WITNESS: Could I see Dependent Claim 58?
09:21:35	Matrix, when Matrix finally goes back to the AV engine and	09:24:43	MR. PAK: Sure. Absolutely. If we could have
09:21:39	says, I found something, you decide what to do about it, it	09:24:45	the patent and Dependent Claim 58.
09:21:44 10	sends back only a single threat I.D., not a list.	09:24:55 10	BY MR. PAK:
09:21:52 11	Q. Having gone through the independent claim, I want to	09:24:56 11	Q. Doctor, do you see that Claim 58 describes a
09:21:54 12	have you take a look at the three other asserted independent	09:25:00 12	"comparator for comparing a URL from which the downloadable
09:21:59 13	claims of the '194 patent. That's SYMDX12-3.	09:25:04 13	originated from originated against a known URL"?
09:22:07 14	And Doctor, do you see that we have Claim 32,	09:25:08 14	A. Yes. Thank you for refreshing my memory.
09:22:09 15	Claim 65, and Claim 66 on the screen?	09:25:10 15	Q. Let's go back to the document, PTX-1112.
09:22:13 16	A. Yes, I see that.	09:25:13 16	Do you recall whether Dr. Medvidovic presented
09:22:14 17	Q. And with respect to your opinions about the comparing	09:25:15 17	this document as evidence against this particular claim?
09:22:21 18	a downloadable security profile data containing a list of	09:25:17 18	A. Yes, he did.
09:22:25 19	suspicious operations, what are your opinions with respect	09:25:18 19	Q. Have you had a chance to look at this particular
09:22:28 <b>20</b>	to each of these independent claims?	09:25:20 <b>20</b>	document?
09:22:30 <b>21</b>	A. Well, just as for Claim 1, each of these claims	09:25:20 <b>21</b>	A. I have.
09:22:35 <b>22</b>	contains a limitation which has this language that indicates	09:25:21 <b>22</b>	Q. Does this document provide any indication relating to
09:22:40 <b>23</b>	that the downloadable security profile data includes a list	09:25:26 23	the limitations set forth in Claim 58 with respect to the
09:22:44 <b>24</b>	of suspicious security operations.	09:25:30 <b>24</b>	Matrix component?
09:22:49 <b>25</b>	Perhaps Mr. Shirazi can highlight that in each	09:25:30 <b>25</b>	A. Could I see the claim one more time?
	1975		1977
09:22:52 1	of the claims for me.	09:25:37	Q. Sure.
09:22:55 <b>2</b>	So for Claim 32, that's just not present in the	09:25:45 2	A. Okay. Could we go back, then, to the document?
09:22:59 3	Matrix software.	09:25:51 3	The document doesn't indicate that it's talking
09:23:00 4	For Claim 65, the same language is there.	09:25:54 4	about the Matrix component.
09:23:03 <b>5</b>	That's not present in the Matrix software.	09:25:57 <b>5</b>	Q. And are there other components inside of the Symantec
09:23:07 6	And then for Claim 66, the same language is	09:26:00 6	products other than the Matrix component that might be using
09:23:10 7	there.	09:26:03 7	this particular technology?
09:23:12 8	Every independent claim in this patent requires	09:26:05	A. It could be that anything described on this page was
09:23:14	that the downloadable security profile includes a list of	09:26:07	implemented by some other component of Symantec's product,
09:23:18 10	suspicious computer operations.	09:26:10 10	but there is no indication that anything specific here is
09:23:20 11	Q. You also understand, sir, that there is some dependent	09:26:15 11	performed by the Matrix.
09:23:23 12	claims that have been asserted in this case for the '194	09:26:18 12	Q. Now we are going to turn to the other patent. That's
09:23:26 13	patent?	09:26:20 13	the '962 patent.
09:23:27 14	A. Yes, I do.	09:26:22 14	And if you could remind us, is that the gateway
09:23:27 15	Q. What are your opinions with respect to the dependent	09:26:25 15	patent or the client patent?
09:23:29 16	claims that depend from these independent claims that we	09:26:26 16	A. No. The '962 patent is a little bit different. It
09:23:32 17	have discussed?	09:26:29 17	describes software that runs on the client, the actual end
09:23:33 18	A. My understanding of dependent claims in patent is that	09:26:36 18	user's computer, and it's essentially looking at a
09:23:37 19	a dependent claim must satisfy all of the in order for it	09:26:41 19	downloadable after it's already begun execution on the
09:23:43 <b>20</b>	to be infringed, all the limitations in the independent	09:26:44 <b>20</b>	client to see if it might be up to no good.
09:23:47 <b>21</b>	claim from which it derives must be met, in addition to	09:26:48 21	Q. And remind us again, what is the accused technology
09:23:51 <b>22</b>	whatever is specified in the dependent claims.	09:26:52 <b>22</b>	from Symantec for the '962?
09:23:55 23	Since all of the dependent claims depend on	09:26:54 23	A. It's a software component called BASH Version 6.0 and
1			
09:24:00 <b>24</b>	these four independent claims, it's my opinion that none of	09:26:58 <b>24</b> 09:26:59 <b>25</b>	later.

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