Page 1
UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD
INTER PARTES REVIEW IPR2016-01141,
IPR2016-01155 and IPR2016-01067
x
Microsoft Corporation,
Petitioner, Patent No. 8,458,245
vs. Patent No. 8,694,657
Windy City Innovations, LLC, Patent No. 8,407,356
Patent Owner.
x
DEPOSITION OF ROBERT STEIN
Wednesday, March 1, 2017
Sidley Austin LLP
60 State Street
Boston, Massachusetts
9:15 a.m. to 10:29 a.m.
Reporter: Karen A. Morgan, CSR/RPR

212-279-9424

Veritext Legal Solutions www.veritext.com



	Page 2
1	APPEARANCES:
2	
3	KLARQUIST SPARKMAN, LLP
4	By Todd M. Siegel, Esquire
5	One World Trade Center
6	121 SW Salmon Street, Suite 1600
7	Portland, Oregon 97204
8	503-595-5300
9	todd.siegel@klarquist.com
10	on behalf of Microsoft Corporation.
11	
12	BROWN RUDNICK LLP
13	By Vincent J. Rubino, III, Esquire
14	Seven Times Square
15	New York, New York 10036
16	212-209-4800
17	vrubino@brownrudnick.com
18	on behalf of Windy City Innovations, LLC.
19	
20	
21	
22	
23	
24	
25	

212-279-9424

Veritext Legal Solutions www.veritext.com



	Page 3	
1	INDEX	
2		
3	EXAMINATION OF: PAGE	
4	ROBERT STEIN	
5	By Mr. Rubino 4	
6	By Mr. Siegel 51	
7		
8		
9		
10	EXHIBITS	
11	NO. PAGE	
12	Exhibit 1 Declaration of Robert N. Stein 21	
13	Exhibit 2 Excerpt from Boardwatch 26	
14	Magazine	
15	Exhibit 3 Excerpt from Boardwatch 29	
16	Magazine	
17		
18		
19	*Original exhibits returned to Mr. Rubino	
20		
21		
22		
23		
24		
25		

212-279-9424

Veritext Legal Solutions www.veritext.com



	Page 4
1	PROCEEDINGS
2	ROBERT STEIN, having been
3	satisfactorily identified by the production of his
4	driver's license and duly affirmed that his
5	testimony would be the truth, the whole truth and
6	nothing but the truth, testified as follows in
7	answer to interrogatories by MR. RUBINO:
8	Q. Good morning, Mr. Stein. How are you?
9	A. Good morning. I'm fine. Thanks.
L O	Q. Have you ever been deposed before?
L1	A. I don't think so.
<b>L2</b>	Q. So let me just go over a few ground rules.
13	I'm going to be asking questions and you will be
L <b>4</b>	providing the answers. From time to time your
L 5	counsel counsel for Microsoft may object. To the
L 6	extent you can answer the question, unless he
L 7	directs you not to, you are to answer the question.
18	Do you understand that?
L 9	A. Yes.
20	Q. And the court reporter here will be taking
21	down your answers. She can't take down things like
22	head nods or gestures so all of your answers have to
23	be in the form of verbal communication. Do you
24	understand that?
25	A. Yes.

212-279-9424

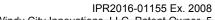
Veritext Legal Solutions www.veritext.com



	Page 5
1	Q. Great. A lot of people nod at that point.
2	A. With irony or not?
3	Q. I don't know why but it just happens all
4	the time. So do you understand that you're here
5	today to provide some testimony in the context of
6	Patent Office proceedings?
7	A. Yes.
8	Q. And those Patent Office proceedings are
9	for petitions filed on behalf of Microsoft?
10	A. Yes.
11	Q. And did you submit declarations in
12	connection with those proceedings?
13	A. One.
14	Q. One declaration. That declaration may
15	have been submitted in multiple proceedings; is that
16	right?
17	A. I don't know.
18	Q. To your understanding you only signed one
19	declaration?
20	A. Yes.
21	Q. When did you first start working with
22	Microsoft on this matter?
23	A. I believe April last year.
24	Q. And what was the context of that
25	relationship?



Veritext Legal Solutions www.veritext.com



# DOCKET A L A R M

# Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

## **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

