drug potency drug selectivity drug synthesis mouse *non insulin dependent diabetes mellitus nonhuman *structure activity relation CT Drug Descriptors: alogliptin: AN, drug analysis alogliptin: PD, pharmacology *azolopyrimidine: AN, drug analysis *azolopyrimidine: PD, pharmacology *dipeptidyl peptidase IV inhibitor: AN, drug analysis *dipeptidyl peptidase IV inhibitor: PD, pharmacology linagliptin: AN, drug analysis linagliptin: PD, pharmacology saxagliptin: AN, drug analysis saxagliptin: PD, pharmacology sitagliptin: AN, drug analysis sitagliptin: PD, pharmacology unclassified drug vildagliptin: AN, drug analysis vildagliptin: PD, pharmacology SΤ Azolopyrimidines; DPP4; GLP-1; SAR RN (alogliptin) 850649-61-5; (linagliptin) 668270-12-0; (saxagliptin) 361442~04~8, 945667~22~1; (sitagliptin) 486460-32-6, 654671-78-0;

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(vildagliptin) 274901-16-5

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L3

FILE 'LREGISTRY' ENTERED AT 08:10:11 ON 01 MAY 2012 CHARGED TO COST=TC1600 L4 STR

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150 SEA SPE=ON ABB=ON PLU=ON L2 AND C3-NC4/ES

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50 SEA SSS SAM L4 T.5 FILE 'LREGISTRY' ENTERED AT 08:15:38 ON 01 MAY 2012 CHARGED TO COST=TC1600 L6 STR L4 FILE 'REGISTRY' ENTERED AT 08:16:15 ON 01 MAY 2012 CHARGED TO COST=TC1600 т.7 50 SEA SSS SAM L6 FILE 'STNGUIDE' ENTERED AT 08:16:57 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'LREGISTRY' ENTERED AT 08:17:39 ON 01 MAY 2012 CHARGED TO COST=TC1600 L8 STR L6 FILE 'REGISTRY' ENTERED AT 08:17:51 ON 01 MAY 2012 CHARGED TO COST=TC1600 50 SEA SSS SAM L8 Гð FILE 'STNGUIDE' ENTERED AT 08:18:53 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'REGISTRY' ENTERED AT 08:19:58 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'LREGISTRY' ENTERED AT 08:20:31 ON 01 MAY 2012 CHARGED TO COST=TC1600 L10 STR L8 FILE 'REGISTRY' ENTERED AT 08:21:03 ON 01 MAY 2012 CHARGED TO COST=TC1600 L11 50 SEA SSS SAM L10 FILE 'STNGUIDE' ENTERED AT 08:21:56 ON 01 MAY 2012 CHARGED TO COST=TC1600 D QUE STAT FILE 'LREGISTRY' ENTERED AT 08:28:12 ON 01 MAY 2012 CHARGED TO COST=TC1600 L12 STR L10 FILE 'REGISTRY' ENTERED AT 08:29:43 ON 01 MAY 2012 CHARGED TO COST=TC1600 L13 50 SEA SSS SAM L12 FILE 'STNGUIDE' ENTERED AT 08:30:11 ON 01 MAY 2012 CHARGED TO COST=TC1600 D QUE STAT FILE 'REGISTRY' ENTERED AT 08:32:40 ON 01 MAY 2012

FILE 'REGISTRY' ENTERED AT 08:15:03 ON 01 MAY 2012

CHARGED TO COST=TC1600

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0424

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FILE 'HCAPLUS' ENTERED AT 09:00:54 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'REGISTRY' ENTERED AT 09:01:03 ON 01 MAY 2012 CHARGED TO COST=TC1600

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6632 SEA SUB=L14 SSS FUL L39 T.41 1421 SEA SPE=ON ABB=ON PLU=ON L23 NOT L41

D QUE STAT FILE 'REGISTRY' ENTERED AT 08:59:05 ON 01 MAY 2012 CHARGED TO COST=TC1600

CHARGED TO COST=TC1600

L30

FILE 'STNGUIDE' ENTERED AT 08:56:21 ON 01 MAY 2012 CHARGED TO COST=TC1600

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FILE 'STNGUIDE' ENTERED AT 08:53:26 ON 01 MAY 2012

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FILE 'HCAPLUS' ENTERED AT 08:50:44 ON 01 MAY 2012 CHARGED TO COST=TC1600 1 SEA SPE=ON ABB=ON PLU=ON L1 AND (L24 OR L25 OR L26 OR L27 L31 OR L28 OR L29 OR L30) D BIB

QUE SPE=ON ABB=ON PLU=ON BETEBENNER, D?/AU,AUTH,IN

13/308,658

L43 27 SEA SPE=ON ABB=ON PLU=ON L16 NOT L42 FILE 'HCAPLUS' ENTERED AT 09:02:03 ON 01 MAY 2012 CHARGED TO COST=TC1600 427 SEA SPE=ON ABB=ON PLU=ON L42 T.44 15 SEA SPE=ON ABB=ON PLU=ON L44 AND (L24 OR L25 OR L26 OR L27 L45 OR L28 OR L29 OR L30) L46 0 SEA SPE=ON ABB=ON PLU=ON L1 NOT L45 15 SEA SPE=ON ABB=ON PLU=ON (L45 OR L46) L47 412 SEA SPE=ON ABB=ON PLU=ON L44 NOT L47 L48 87 SEA SPE=ON ABB=ON PLU=ON L48 AND L32 L49 FILE 'REGISTRY' ENTERED AT 09:03:44 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'HCAPLUS' ENTERED AT 09:03:55 ON 01 MAY 2012 CHARGED TO COST=TC1600 L50 TRA PLU=ON L49 1- RN HIT : 74 TERMS FILE 'REGISTRY' ENTERED AT 09:04:00 ON 01 MAY 2012 CHARGED TO COST=TC1600 L51 74 SEA SPE=ON ABB=ON PLU=ON L50 74 SEA SPE=ON ABB=ON PLU=ON L51 NOT L2 L52 E SAXAGLIPTIN/CN L53 1 SEA SPE=ON ABB=ON PLU=ON SAXAGLIPTIN/CN D SCAN FILE 'STNGUIDE' ENTERED AT 09:08:27 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'REGISTRY' ENTERED AT 09:08:58 ON 01 MAY 2012 CHARGED TO COST=TC1600 961 SEA SPE=ON ABB=ON PLU=ON L42 AND (MEDLINE OR BIOSIS OR T-54 EMBASE OR CABA OR BIOTECHNO OR DRUGU OR VETU OR TOXCENTER OR NAPRALERT)/LC FILE 'MEDLINE, BIOSIS, EMBASE, CABA, BIOTECHNO, DRUGU, VETU, TOXCENTER, NAPRALERT' ENTERED AT 09:09:45 ON 01 MAY 2012 CHARGED TO COST=TC1600 L55 859 SEA SPE=ON ABB=ON PLU=ON L54 L56 10 SEA SPE=ON ABB=ON PLU=ON L55 AND (L24 OR L25 OR L26 OR L27 OR L28 OR L29 OR L30) FILE 'STNGUIDE' ENTERED AT 09:10:15 ON 01 MAY 2012 CHARGED TO COST=TC1600 D OUE STAT L14 D QUE STAT L19 D QUE STAT L22 D OUE STAT L23 D QUE STAT L41 D QUE STAT L42 D QUE NOS L49 FILE 'HCAPLUS' ENTERED AT 09:12:53 ON 01 MAY 2012

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CHARGED TO COST=TC1600 SAVE TEMP L49 POL658MAINB/A FILE 'STNGUIDE' ENTERED AT 09:13:17 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'EMBASE, TOXCENTER' ENTERED AT 09:14:16 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'STNGUIDE' ENTERED AT 09:14:23 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'HCAPLUS' ENTERED AT 09:14:48 ON 01 MAY 2012 CHARGED TO COST=TC1600 D L49 IBIB ED ABS HITSTR 1-30 FILE 'STNGUIDE' ENTERED AT 09:14:51 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'HCAPLUS' ENTERED AT 09:15:22 ON 01 MAY 2012 CHARGED TO COST=TC1600 D L49 IBIB ED ABS HITSTR 31-60 FILE 'STNGUIDE' ENTERED AT 09:15:25 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'HCAPLUS' ENTERED AT 09:15:39 ON 01 MAY 2012 CHARGED TO COST=TC1600 D L49 IBIB ED ABS HITSTR 61-87 FILE 'STNGUIDE' ENTERED AT 09:15:51 ON 01 MAY 2012 CHARGED TO COST=TC1600 D QUE NOS L47 D QUE NOS L56 FILE 'HCAPLUS, EMBASE, TOXCENTER' ENTERED AT 09:18:09 ON 01 MAY 2012 CHARGED TO COST=TC1600 T.57 16 DUP REM L47 L56 (9 DUPLICATES REMOVED) ANSWERS '1-15' FROM FILE HCAPLUS ANSWER '16' FROM FILE EMBASE SAVE TEMP L57 POL658INV/A FILE 'STNGUIDE' ENTERED AT 09:18:22 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'HCAPLUS, EMBASE' ENTERED AT 09:18:58 ON 01 MAY 2012 CHARGED TO COST=TC1600 D IBIB ED ABS HITSTR 1-15 FILE 'STNGUIDE' ENTERED AT 09:19:17 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'HCAPLUS, EMBASE' ENTERED AT 09:19:32 ON 01 MAY 2012

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FILE 'STNGUIDE' ENTERED AT 09:19:33 ON 01 MAY 2012 CHARGED TO COST=TC1600

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FILE STNGUIDE
FILE CONTAINS CURRENT INFORMATION.
LAST RELOADED: Apr 27, 2012 (20120427/UP).

FILE MEDLINE FILE LAST UPDATED: 28 Apr 2012 (20120428/UP). FILE COVERS 1946 TO DATE.

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MEDLINE and LMEDLINE have been updated with the 2012 Medical Subject Headings (MeSH) vocabulary and tree numbers from the U.S. National Libra of Medicine (NLM). Additional information is available at:

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http://www.nlm.nih.gov/pubs/techbull/nd11/nd11 medline data changes 2012.

The 2012 Medline reload was completed on January 29, 2012. See HELP RLOAD for details. Get the Content You Need Sooner with ePub Ahead of Print Records Available in MEDLINE on STN! See NEWS for details. This file contains CAS Registry Numbers for easy and accurate substance identification. See HELP RANGE before carrying out any RANGE search. FILE BIOSIS FILE COVERS 1926 TO DATE. CAS REGISTRY NUMBERS AND CHEMICAL NAMES (CNs) PRESENT FROM JANUARY 1926 TO DATE. RECORDS LAST ADDED: 26 April 2012 (20120426/ED) BIOSIS has been augmented with 1.8 million archival records from 1926 through 1968. These records have been re-indexed to match current BIOSIS indexing. FILE EMBASE FILE COVERAGE: EMBASE-originated material 1947 to 30 Apr 2012 (20120430/E Unique MEDLINE content 1948 to present EMBASE is now updated daily. SDI frequency remains weekly (default) and biweekly. This file contains CAS Registry Numbers for easy and accurate substance identification. For further assistance, please contact your local helpdesk. FILE CABA FILE LAST UPDATED: 25 APR 2012 <20120425/UP> FILE COVERS 1973 TO DATE <<< SIMULTANEOUS LEFT AND RIGHT TRUNCATION IS AVAILABLE IN THE BASIC INDEX (/BI), ABSTRACT (/AB), AND TITLE (/TI) FIELDS >>> FILE BIOTECHNO FILE LAST UPDATED: 7 JAN 2004 <20040107/UP> FILE COVERS 1980 TO 2003. THIS FILE IS A STATIC FILE WITH NO UPDATES >>> SIMULTANEOUS LEFT AND RIGHT TRUNCATION AVAILABLE IN /CT AND BASIC INDEX <<< FILE DRUGU

300

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13/308,658

FILE LAST UPDATED: 30 APR 2012<20120430/UP>>>> DERWENT DRUG FILE (SUBSCRIBER)<<</td>

>>> FILE COVERS 1983 TO DATE <<<

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FILE VETU FILE LAST UPDATED: 2 JAN 2002 <20020102/UP> FILE COVERS 1983-2001

FILE TOXCENTER

FILE COVERS 1907 TO 1 May 2012 (20120501/ED)

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CN
     2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
     (1S, 3S, 5S) - (CA INDEX NAME)
OTHER CA INDEX NAMES:
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
CN
     2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-
     (9CI)
OTHER NAMES:
    BMS 477118
CN
    BMS 477118-11
CN
CN
    Onglyza
CN
    Saxagliptin
FS
    STEREOSEARCH
DR
   1339955-48-4
MF
    C18 H25 N3 O2
CT
    COM
SR
    CA
LC
    STN Files:
                 ADISINSIGHT, ANABSTR, CA, CAPLUS, CASREACT, CBNB, CHEMCATS,
      CHEMLIST, DDFU, DRUGU, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK*,
       PATDPASPC, PS, RTECS*, TOXCENTER, USAN, USPAT2, USPATFULL
         (*File contains numerically searchable property data)
```

Absolute stereochemistry.



PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT

313 REFERENCES IN FILE CA (1907 TO DATE) 4 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA 330 REFERENCES IN FILE CAPLUS (1907 TO DATE) => s saxagliptin 5 SAXAGLIPTIN L2 => d 12 1-YOU HAVE REQUESTED DATA FROM 5 ANSWERS - CONTINUE? Y/(N):y ANSWER 1 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN L2 RN 945667-22-1 REGISTRY ED Entered STN: 28 Aug 2007 CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, hydrate (1:1), (1S, 3S, 5S) - (CA INDEX NAME) OTHER NAMES: CN Saxaqliptin hydrate FS STEREOSEARCH MF C18 H25 N3 O2 . H2 O SR CAS Client Services LCSTN Files: ADISINSIGHT, CA, CAPLUS, CASREACT, CHEMCATS, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK*, TOXCENTER, USAN, USPAT2, USPATFULL (*File contains numerically searchable property data) CRN (361442 - 04 - 8)

Absolute stereochemistry.



🛡 Н2О

PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT

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3 REFERENCES IN FILE CA (1907 TO DATE) 3 REFERENCES IN FILE CAPLUS (1907 TO DATE) L2 ANSWER 2 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN 841302-24-7 REGISTRY RN Entered STN: 03 Mar 2005 ED 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, CN 2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S, 3S, 5S) - (CA INDEX NAME)OTHER CA INDEX NAMES: 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, CN 2-[(2S)-amino(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S, 3S, 5S) - (9CI)OTHER NAMES: 5-Bydroxy saxagliptin CN CN BMS 510849 CN M2 saxagliptin hydroxylated metabolite STEREOSEARCH FS C18 H25 N3 O3 MF CI COM SR CA STN Files: CA, CAPLUS, CASREACT, CHEMCATS, TOXCENTER, USPAT2, USPATFULL LC

Absolute stereochemistry.



PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT 6 REFERENCES IN FILE CA (1907 TO DATE) 7 REFERENCES IN FILE CAPLUS (1907 TO DATE) ANSWER 3 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN L2 709031-78-7 REGISTRY RN ΕD Entered STN: 13 Jul 2004 CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, hydrochloride (1:1), (1S, 3S, 5S) - (CA INDEX NAME) OTHER CA INDEX NAMES: 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, CN 2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, monohydrochloride, (1S, 3S, 5S) - (9CI) OTHER NAMES: Saxagliptin hydrochloride CN STEREOSEARCH FS MF C18 H25 N3 O2 . Cl H SR CA

LC STN Files: CA, CAPLUS, CASREACT, CHEMCATS, PATDPASPC, TOXCENTER, USPAT2, USPATFULL CRN (361442-04-8)

Absolute stereochemistry.



HC1

12 REFERENCES IN FILE CA (1907 TO DATE) 12 REFERENCES IN FILE CAPLUS (1907 TO DATE)

L2 ANSWER 4 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN

- RN 709031-43-6 REGISTRY
- ED Entered STN: 13 Jul 2004
- CN Carbamic acid, N-[(1S)-2-[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]-1-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-2-oxoethyl]-, 1,1-dimethylethyl ester (CA INDEX NAME)
- OTHER CA INDEX NAMES:

CN Carbamic acid, [(1S)-2-[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]-1-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-2-oxoethyl]-, 1,1-dimethylethyl ester (9CI) OTHER NAMES: CN Boc~saxagliptin

- FS STEREOSEARCH
- MF C23 H33 N3 O4
- CI COM
- SR CA
- LC STN Files: CA, CAPLUS, CASREACT, CHEMCATS, CHEMLIST, TOXCENTER, USPAT2, USPATFULL

Absolute stereochemistry.



PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT 14 REFERENCES IN FILE CA (1907 TO DATE) 14 REFERENCES IN FILE CAPLUS (1907 TO DATE) Ъ2 ANSWER 5 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN 361442-04-8 REGISTRY RΝ ED Entered STN: 11 Oct 2001 CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S, 3S, 5S) - (CA INDEX NAME)OTHER CA INDEX NAMES: 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, CN 2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-(9CI) OTHER NAMES: BMS 477118 CN CN BMS 477118-11 CN Onglyza CN Saxagliptin FS STEREOSEARCH 1339955-48-4 DR MF C18 H25 N3 O2 CI COM SR CA LC STN Files: ADISINSIGHT, ANABSTR, CA, CAPLUS, CASREACT, CBNB, CHEMCATS, CHEMLIST, DDFU, DRUGU, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK*, PATDPASPC, PS, RTECS*, TOXCENTER, USAN, USPAT2, USPATFULL (*File contains numerically searchable property data)

Absolute stereochemistry.

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PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT

313 REFERENCES IN FILE CA (1907 TO DATE)
4 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA
330 REFERENCES IN FILE CAPLUS (1907 TO DATE)

=> display set notice

Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 178 of 373

SET PARAMETER CURRENT PERMANENT LOGIN DEFAULT NOTICE (USD) DISPLAY 'OFF' '100' SEARCH '1000' '1000' '1000'

=> FILE REG

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STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4

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TSCA INFORMATION NOW CURRENT THROUGH DECEMBER 23, 2011

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REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For information on property searching in REGISTRY, refer to:

http://www.cas.org/support/stngen/stndoc/properties.html

=> STR 361442-04-8

:END

L3 STRUCTURE CREATED

=> S L3 FAM SAM

SAMPLE SEARCH INITIATED 15:46:20 FILE 'REGISTRY' SAMPLE SCREEN SEARCH COMPLETED - 12 TO ITERATE

100.0% PROCESSED 12 ITERATIONS SEARCH TIME: 00.00.01

FULL FILE PROJECTIONS:ONLINE**COMPLETE**BATCH**COMPLETE**PROJECTED ITERATIONS:33 TO447PROJECTED ANSWERS:2 TO124

309

2 ANSWERS

Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 179 of 373

```
L4 2 SEA FAM SAM L3
```

```
=>
```

```
=> D SCAN
```

```
L4 2 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN
IN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-, (2Z)-2-butenedioate (1:1)
MF C18 H25 N3 O2 . C4 H4 O4
```

CM 1

Absolute stereochemistry.

СМ 2

Double bond geometry as shown.

HO2C сорн

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1

L4 2 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN

IN 2-Azabicyclo[3.1.0]hexane-1,5-d2-3-carbonitrile,

2-[(2S)-2-amino-2-(7-hydroxytricyclo[3.3.1.13,7]dec-1-yl-

2,2,3,4,4,5,6,6,8,8,9,9,10,10-d14)acetyl]-, (1S,3S,5S)-

```
MF C18 H9 D16 N3 O2
```

Absolute stereochemistry.



ALL ANSWERS HAVE BEEN SCANNED

=> fil REGISTRY

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Property values tagged with IC are from the ZIC/VINITI data file provided by InfoChem.

STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4

CAS Information Use Policies apply and are available at:

http://www.cas.org/legal/infopolicy.html

TSCA INFORMATION NOW CURRENT THROUGH DECEMBER 23, 2011

Please note that search-term pricing does apply when conducting SmartSELECT searches.

REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For information on property searching in REGISTRY, refer to:

http://www.cas.org/support/stngen/stndoc/properties.html

*** YOU HAVE NEW MAIL ***

=> d 14 2

L4 ANSWER 2 OF 2 REGISTRY COPYRIGHT 2012 ACS on STN

RN 1227074-04-5 REGISTRY

ED Entered STN: 07 Jun 2010

CN 2-Azabicyclo[3.1.0]hexane-1,5-d2-3-carbonitrile,

- 2-[(2S)-2-amino-2-(7-hydroxytricyclo[3.3.1.13,7]dec-1-yl-
- 2,2,3,4,4,5,6,6,8,8,9,9,10,10-d14)acetyl]-, (1S,3S,5S)- (CA INDEX NAME) FS STEREOSEARCH
- MF C18 H9 D16 N3 O2

SR CA LC STN Files: CA, CAPLUS, TOXCENTER, USPATFULL

Absolute stereochemistry.

D - D ___ D D • D-NH2

1 REFERENCES IN FILE CA (1907 TO DATE) 1 REFERENCES IN FILE CAPLUS (1907 TO DATE)

=> d hist

(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)

L1 L2		FILE 'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012 1 S SAXAGLIPTIN/CN 5 S SAXAGLIPTIN							
L3 L4		FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 STR 361442-04-8 2 S L3 FAM SAM							
		FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012							
=> L5	S	361442-04-8/crn 48 361442-04-8/CRN							
=>	d	scan							
L5 IN MF CI		48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN Imidodicarbonimidic diamide, N,N-dimethyl-, mixt. with (1S,3S,5S)-2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1- yl)acetyl]-2-azabicyclo[3.1.0]hexane-3-carbonitrile C18 H25 N3 O2 . C4 H11 N5 MXS							
		CM 1							

Absolute stereochemistry.



CM 2

 $\underset{\text{Me}_{2}\text{N}}{\overset{\text{NH}}{\underset{\text{C}}{\overset{\text{NH}}{\underset{\text{NH}}{\underset{\text{C}}{\underset{\text{NH}}{\underset{\text{C}}{\underset{\text{MH}}{\underset{\text{C}}{\underset{\text{MH}}{\underset{\text{C}}{\underset{\text{MH}}{\underset{\text{C}}{\underset{\text{MH}}{\underset{\text{C}}{\underset{\text{MH}}{\underset{\text{C}}{\underset{\text{MH}}{\underset{\text{MH}}{\underset{\text{C}}{\underset{\text{MH}}{\underset{MH}}}{\underset{MH}}{\underset{MH}}{\underset{MH}}{\underset{MH}}{\underset{MH}}}{\underset{MH}}{\underset{MH}}}{\underset{MH}}{\underset{MH}}{\underset{MH}}}{\underset{MH}}{\underset{MH}}}{\underset{MH}}}{\underset{MH}}{\underset{MH}}}{\underset{M$

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1

```
L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN
IN Sulfuric acid diammonium salt, compd. with
  (1s,3s,5s)-2-[(2s)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-
   yl)acetyl]-2-azabicyclo[3.1.0]hexane-3-carbonitrile (1:1)
MF C18 H25 N3 O2 . 2 H3 N . H2 O4 S
```

CM 1

Absolute stereochemistry.



CM 2

но-• OH

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1 L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN

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- IN Butanedioic acid, compd. with (1s,3s,5s)-2-[(2s)-2-amino-2-(3hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-2-azabicyclo[3.1.0]hexane-3carbonitrile (1:1)
- MF C18 H25 N3 O2 . C4 H6 O4

CM 1

Absolute stereochemistry.



CM 2

 ${\rm HO_2C-CH_2-CH_2-CO_2H}$

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1

- L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN IN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
- 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, hydrate (2:1), (1S,3S,5S)-
- MF C18 H25 N3 O2 . 1/2 H2 O

Absolute stereochemistry.





HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):0

=> d hist

(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)

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Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 185 of 373

FILE 'CAPLUS' ENTERED AT 15:50:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)

SEL L1 1- CHEM L7 SEL L1 1- CHEM : 6 TERMS

SET SMARTSELECT ON SET COMMAND COMPLETED

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SmartSELECT INITIATED New TRANSFER and ANALYZE Commands Now Available See HELP TRANSFER and HELP ANALYZE for Details

FILE 'USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012 CA INDEXING COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)

USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.

FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 L5 48 S 361442-04-8/CRN => fil caplus uspatful

FILE 'CAPLUS' ENTERED AT 15:50:20 ON 30 APR 2012

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PLEASE SEE "HELP USAGETERMS" FOR DETAILS.

FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012

FILE 'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012 1 S SAXAGLIPTIN/CN

STR 361442-04-8 2 S L3 FAM SAM

480 L1

*** YOU HAVE NEW MAIL ***

SET SMARTSELECT OFF SET COMMAND COMPLETED

L3

T.4

=> s l1

=> s l1<chem>

Lб

FILE 'USPATFULL' ENTERED AT 15:50:53 ON 30 APR 2012 CA INDEXING COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS) S L7 L8 1242 L7 => dup remove 16 PROCESSING COMPLETED FOR L6 454 DUP REMOVE L6 (26 DUPLICATES REMOVED) T.9 => dup remove 18 PROCESSING COMPLETED FOR L8 L10 1218 DUP REMOVE L8 (24 DUPLICATES REMOVED) => s 19 or 110 L11 1228 L9 OR L10 => s l11 and PD<20000309 0 L11 AND PD<20000309 T.12 => s 111 and AD<20000309 0 L11 AND AD<20000309 L13 => s 111 and AD<20000312 0 L11 AND AD<20000312 T.14 => s 111 and AD<20010312 L15 0 L11 AND AD<20010312 => s 111 and AD<20020312 L16 0 L11 AND AD<20020312 => s 111 and AY<2002 L17 0 L11 AND AY<2002 => s 111 and AY>2002 L18 1071 L11 AND AY>2002 => s 111 and AY>2000 L19 1071 L11 AND AY>2000 => s 111 and PRD<20020312 L20 1 L11 AND PRD<20020312 => D IBIB ABS L20 L20 ANSWER 1 OF 1 USPATFULL on STN ACCESSION NUMBER: 2009:320331 USPATFULL Full-text TITLE: Amide Compounds Kitamura, Shuji, Osaka, JAPAN INVENTOR(S): Aicher, Thomas Daniel, Superior, CO, UNITED STATES Gonzales, Steve, Media, PA, UNITED STATES Le Huerou, Yvan, Boulder, CO, UNITED STATES Pratt, Scott Alan, Longmont, CO, UNITED STATES Turner, Tim, Longmont, CO, UNITED STATES Nakada, Yoshihisa, Osaka, JAPAN

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PATENT ASSIGNEE(S): TAKEDA PHARMACEUTICAL COMPANY LIMITED, OSAKA, JAPAN (non-U.S. corporation) DATE NUMBER KIND _____ _ US 20090286791 A1 20091119 US 2007-309493 A1 20070720 PATENT INFORMATION: APPLICATION INFO.: A1 20070720 (12) WO 2007-US16425 20070720 20090414 PCT 371 date NUMBER DATE -----_____ EP 2001-12744220011127US 2006-832115P20060721 (60) PRIORITY INFORMATION: EP 2001-127442 <--Utility APPLICATION DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE: WENDEROTH, LIND & PONACK, L.L.P., 1030 15th Street, N.W.,, Suite 400 East, Washington, DC, 20005-1503, US 29 NUMBER OF CLAIMS: EXEMPLARY CLAIM: 1 7740 LINE COUNT: CAS INDEXING IS AVAILABLE FOR THIS PATENT. AB The present invention provides compounds represented by the formula (Ia): ##STR1## the formula (Ib): ##STR2## the formula (Ic): ##STR3## and the formula (Id): ##STR4## wherein each symbol is as defined in the specification. According to the present invention, these compounds have a DGAT inhibitory activity and are useful for the prophylaxis, treatment or improvement of diseases or pathologies caused by high expression or high activation of DGAT. CAS INDEXING IS AVAILABLE FOR THIS PATENT.

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=> s l11 and ROBL/IN L21 0 L11 AND F	ROBL/IN								
=> s ll1 and (ROBL JEFFF L22 3 L11 AND (REY A/IN) (ROBL JEFFREY A/IN)	,							
=> D TI L22 1- YOU HAVE REQUESTED DATA	FROM 3 ANSWERS - (CONTINUE? Y/(N):Y						
22 ANSWER 1 OF 3 USPATFULL on STN I HYDROXY SUBSTITUTED THIENO PYRIMIDINONES AS MELANIN CONCENTRATING HORMONE RECEPTOR-1 ANTAGONISTS									
L22 ANSWER 2 OF 3 USPA TI HMG-CoA reductase	ATFULL on STN e inhibitors								
L22 ANSWER 3 OF 3 USPA TI HMG-CoA reductase	ATFULL on STN e inhibitors and me	ethod							
=> D IBIB L22 1- YOU HAVE REQUESTED DATA	FROM 3 ANSWERS - (CONTINUE? Y/(N):Y						
L22 ANSWER 1 OF 3 USPA ACCESSION NUMBER: TITLE: INVENTOR(S): PATENT ASSIGNEE(S):	ATFULL on STN 2009:333876 USPA HYDROXY SUBSTITUTH CONCENTRATING HORN Washburn, William Ahmad, Saleem, Wa Devasthale, Prati Robl, Jeffrey A., Goswami, Animesh, Guo, Zhiwei, Fran Patel, Ramesh N., Bristol-Myers Squ	CFULL <u>Full-tex</u> ED THIENO PYRI 40NE RECEPTOR- N., Titusvill Ll, NJ, UNITED c, Plainsboro, Newtown, PA, Plainsboro, N clin Park, NJ, Bridgewater, ibb Company (U	t MIDINONES AS MELANIN 1 ANTAGONISTS e, NJ, UNITED STATES STATES NJ, UNITED STATES UNITED STATES UNITED STATES UNITED STATES NJ, UNITED STATES .S. corporation)						
	NUMBER	KIND DATE	_						
PATENT INFORMATION:	US 20090298794 US 7989433	A1 2009120 B2 2011080	3 2						
APPLICATION INFO.:	US 2009-473346	A1 2009052	8 (12)						
PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE: NUMBER OF CLAIMS: EXEMPLARY CLAIM: LINE COUNT: CAS INDEXING IS AVAILABI	US 2008-56949P Utility APPLICATION LOUIS J. WILLE, BH DEPARTMENT, P O BC 23 1 2167 JE FOR THIS PATENT	 2008052 RISTOL-MYERS S DX 4000, PRINC	- 9 (61) QUIBB COMPANY, PATENT ETON, NJ, 08543-4000,						
L22 ANSWER 2 OF 3 USPA	ATFULL on STN								

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US

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ACCESSION NUMBER: TITLE: INVENTOR(S):	2007:285027 USPATFULL Full-text HMG-CoA reductase inhibitors Stein, Philip D., Pennington, NJ, UNITED STATES Seitz, Steven P., Swarthmore, PA, UNITED STATES Carini, David J., Wallingford, CT, UNITED STATES Shi, Yan, Flourtown, PA, UNITED STATES Robl, Jeffrey A., Newtown, PA, UNITED STATES Markwalder, Jay A., New London, PA, UNITED STATES He, Chunhong, Boothwyn, PA, UNITED STATES Bristol-Myers Squibb Company (U.S. corporation)							
	NUMBER	KIND	DATE	· corporation,				
PATENT INFORMATION: APPLICATION INFO.:	US 20070249583 US 7659281 US 2007-789335	A1 B2 A1	20071025 20100209 20070424	(11)				
	NUMBER		DATE					
PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE: NUMBER OF CLAIMS: EXEMPLARY CLAIM: LINE COUNT: CAS INDEXING IS AVAILAB:	US 2006-794733P Utility APPLICATION LOUIS J. WILLE, B DEPARTMENT, P O B 24 1 8226 LE FOR THIS PATENT	RISTOL- OX 4000	20060425 -MYERS SQU D, PRINCEI	(60) NIBB COMPANY, PATENT CON, NJ, 08543-4000, US				
L22 ANSWER 3 OF 3 USPATFULL on STN ACCESSION NUMBER: 2005:99578 USPATFULL <u>Full-text</u> FITLE: HMG-CoA reductase inhibitors and method INVENTOR(S): Ahmad, Saleem, Wall, NJ, UNITED STATES Robl, Jeffrey &., Newtown, PA, UNITED STATES Ngu, Khehyong, Pennington, NJ, UNITED STATES								
	NUMBER	KIND	DATE					
PATENT INFORMATION: APPLICATION INFO.:	US 20050085497 US 7371759 US 2004-946055	A1 B2 A1	20050421 20080513 20040921	(10)				
	NUMBER		DATE					
PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE: NUMBER OF CLAIMS: EXEMPLARY CLAIM: LINE COUNT: CAS INDEXING IS AVAILAB	US 2003-505893P Utility APPLICATION STEPHEN B. DAVIS, DEPARTMENT, P O B 25 1 2114 LE FOR THIS PATENT	BRISTO OX 4000	20030925 DL-MYERS S D, PRINCET	(60) QUIBB COMPANY, PATENT YON, NJ, 08543-4000, US				

=> s 111 and PRD<20030101

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=> D IBIB L23 1-YOU HAVE REQUESTED DATA FROM 5 ANSWERS - CONTINUE? Y/(N):Y L23 ANSWER 1 OF 5 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2004:515478 CAPLUS Full-text DOCUMENT NUMBER: 141:54618 Preparation of cyclopropyl-fused pyrrolidine-based TITLE: inhibitors of dipeptidyl peptidase IV INVENTOR(S): Vu, Truc Chi; Brzozowski, David B.; Fox, Rita; Godfrey, Jollie Duaine, Jr.; Hanson, Ronald L.; Kolotuchin, Sergei V.; Mazzullo, John A., Jr.; Patel, Ramesh N.; Wang, Jianji; Wong, Kwok; Yu, Jurong; Zhu, Jason; Magnin, David R.; Augeri, David J.; Hamann, Lawrence G. PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA PCT Int. Appl., 101 pp. SOURCE: CODEN: PIXXD2 DOCUMENT TYPE: Patent LANGUAGE: English FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION: DATE PATENT NO. KIND DATE APPLICATION NO. _____ ____ _____ _____ _____ WO 2004052850 A2 20040624 WO 2003-US38558 20031204 <--WO 2004052850 A3 20060302 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG US 20050090539 A1 20050428 US 2003-716012 20031118 <--US 7420079 В2 20080902 A1 20040624 CA 2003-2508619 CA 2508619 20031204 <--A1 20040630 AU 2003-297647 A2 20051005 EP 2003-812799 AU 2003297647 20031204 <--EP 1581487 20031204 <--R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK BR 2003017139 A 20051129 BR 2003-17139 20031204 <--CN 1791401 A 20060621 CN 2003-80109631 20031204 <--20060622 JP 2004-559282 JP 2006516121 Т 20031204 <--

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ASSIGNMENT HISTORY FOR (OTHER SOURCE(S): OS.CITING REF COUNT:	US PATEI CASREI 25	NT AVAILABLE ACT 141:5461 THERE ARE 2	IN I 8; MA 5 CAE	SUS DISPLAY F RPAT 141:5461 PLUS RECORDS T	ORMAT 8 HAT CI	TE THIS			
REFERENCE COUNT:	1	THERE ARE 1 RECORD. ALL	CITE	D REFERENCES	AVAILA LE IN	BLE FOR THIS THE RE FORMAT			
L23 ANSWER 2 OF 5 USPATFULL on STN ACCESSION NUMBER: 2010:307761 USPATFULL <u>Full-text</u> TITLE: METHODS AND COMPOUNDS FOR PRODUCING DIPEPTIDYL									
INVENTOR(S): PATENT ASSIGNEE(S):	<pre>Vu, Truc Chi, Watchung, NJ, UNITED STATES THEREOF Vu, Truc Chi, Watchung, NJ, UNITED STATES Brzozowski, David B., Pattersonville, NY, UNITED STATES Fox, Rita, Princeton, NJ, UNITED STATES Godfrey, JR., Jollie Duaine, Ewing, NJ, UNITED STATES Hanson, Ronald L., Morris Plains, NJ, UNITED STATES Hanson, Ronald L., Morris Plains, NJ, UNITED STATES Kolotuchin, Sergei V., Roselle Park, NJ, UNITED STATES Mazzullo, John A., Florence, SC, UNITED STATES Patel, Ramesh N., Bridgewater, NJ, UNITED STATES Wang, Jianji, Dayton, NJ, UNITED STATES Wong, Kwok, Lawrenceville, NJ, UNITED STATES Yu, Jurong, Dayton, NJ, UNITED STATES Zhu, Jason J., East Brunswick, NJ, UNITED STATES Magnin, David R., Sumter, SC, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES Hamann, Lawrence G., North Grafton, MA, UNITED STATES BRISTOL-MYERS SQUIBB COMPANY, Princeton, NJ, UNITED</pre>								
	N	JMBER	KIND	DATE					
PATENT INFORMATION: APPLICATION INFO.: RELATED APPLN. INFO.:	US 201 US 201 Divisio 2008, 2 2003-7	00274025)-712958 on of Ser. N Pat. No. US 16012, filed	A1 A1 0. US 77050 on 1	20101028 20100225 (1 2008-181216, 33 Division o 8 Nov 2003, P	2) filed f Ser. at. No	on 28 Jul No. US . US 7420079			
		NUMBER	_	DATE					
PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE: NUMBER OF CLAIMS: EXEMPLARY CLAIM:	US 2002 Utility APPLIC2 McDonne Squibb 22 1	2-431814P Y ATION ell Boehnen , 300 South	Hulbe Wacke	20021209 (60 ert & Berghoff er Drive, Chic) LLP, ago, I	< Bristol-Myers L, 60606, US			

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LINE COUNT: 2619 CAS INDEXING IS AVAILABLE FOR THIS PATENT. L23 ANSWER 3 OF 5 USPATFULL on STN ACCESSION NUMBER: 2009:320331 USPATFULL Full-text TITLE: Amide Compounds Kitamura, Shuji, Osaka, JAPAN INVENTOR(S): Aicher, Thomas Daniel, Superior, CO, UNITED STATES Gonzales, Steve, Media, PA, UNITED STATES Le Huerou, Yvan, Boulder, CO, UNITED STATES Pratt, Scott Alan, Longmont, CO, UNITED STATES Turner, Tim, Longmont, CO, UNITED STATES Nakada, Yoshihisa, Osaka, JAPAN TAKEDA PHARMACEUTICAL COMPANY LIMITED, OSAKA, JAPAN PATENT ASSIGNEE (S): (non-U.S. corporation) NUMBER KIND DATE _____ ____ PATENT INFORMATION: US 20090286791 A1 20091119 APPLICATION INFO.: US 2007-309493 A1 20070720 (12) WO 2007-US16425 20070720 20090414 PCT 371 date NUMBER DATE -----EP 2001-12744220011127US 2006-832115P20060721 (60) PRIORITY INFORMATION: <--DOCUMENT TYPE: Utility APPLICATION FILE SEGMENT: LEGAL REPRESENTATIVE: WENDEROTH, LIND & PONACK, L.L.P., 1030 15th Street, N.W.,, Suite 400 East, Washington, DC, 20005-1503, US 29 NUMBER OF CLAIMS: EXEMPLARY CLAIM: 1 7740 LINE COUNT: CAS INDEXING IS AVAILABLE FOR THIS PATENT. L23 ANSWER 4 OF 5 USPATFULL on STN ACCESSION NUMBER: 2009:19680 USPATFULL Full-text METHODS AND COMPOUNDS FOR PRODUCING DIPEPTIDYL TITLE: PEPTIDASE IV INHIBITORS AND INTERMEDIATES THEREOF INVENTOR(S): Vu, Truc Chi, Watchung, NJ, UNITED STATES Brzozowski, David B., Pattersonville, NY, UNITED STATES Fox, Rita, Princeton, NJ, UNITED STATES Godfrey, JR., Jollie Duaine, Ewing, NJ, UNITED STATES Hanson, Ronald L., Morris Plains, NJ, UNITED STATES Kolotuchin, Sergei V., Roselle Park, NJ, UNITED STATES Mazzullo, John A., Florence, SC, UNITED STATES Patel, Ramesh N., Bridgewater, NJ, UNITED STATES Wang, Jianji, Dayton, NJ, UNITED STATES Wong, Kwok, Lawrenceville, NJ, UNITED STATES Yu, Jurong, Dayton, NJ, UNITED STATES Zhu, Jason J., East Brunswick, NJ, UNITED STATES Magnin, David R., Sumter, SC, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES Hamann, Lawrence G., North Grafton, MA, UNITED STATES BRISTOL-MYERS SQUIBB COMPANY, Princeton, NJ, UNITED PATENT ASSIGNEE (S):

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STATES (U.S. corporation)

	NUMBER	KIND	DATE					
PATENT INFORMATION: APPLICATION INFO.: RELATED APPLN. INFO.:	US 20090018311 US 7705033 US 2008-181216 Division of Ser. 2003, Pat. No. U	A1 B2 A1 No. US S 74200	20090115 20100427 20080728 2003-7160 79	(12) D12, file	ed on 18 Nov			
	NUMBER		DATE					
PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE: NUMBER OF CLAIMS: EXEMPLARY CLAIM: LINE COUNT: CAS INDEXING IS AVAILAB!	US 2002-431814P 20021209 (60) < Utility APPLICATION McDonnell Boehnen Hulbert & Berghoff LLP, Bristol-Myers Squibb, 300 South Wacker Drive, Chicago, IL, 60606, US 25 1 2646 LE FOR THIS PATENT.							
L23 ANSWER 5 OF 5 USP	ATFULL on STN	۸ m ביו ז ד	Full_toxt					
TITLE:	Methods and comp	ounds f	or produc:	ing diper	otidyl			
INVENTOR(S):	peptidase IV inhibitors and intermediates thereof Vu, Truc Chi, Watchung, NJ, UNITED STATES Brzozowski, David B., Island Lake, IL, UNITED STATES Fox, Rita, Princeton, NJ, UNITED STATES Godfrey, Jollie Duaine JR., Ewing, NJ, UNITED STATES Hanson, Ronald L., Morris Plains, NJ, UNITED STATES Kolotuchin, Sergei V., Roselle Park, NJ, UNITED STATES Mazzullo, John A., Florence, SC, UNITED STATES Patel, Ramesh N., Bridgewater, NJ, UNITED STATES Wang, Jianji, Dayton, NJ, UNITED STATES Wong, Kwok, Lawrenceville, NJ, UNITED STATES Yu, Jurong, Dayton, NJ, UNITED STATES Zhu, Jason J., East Brunswick, NJ, UNITED STATES Magnin, David R., Hamilton, NJ, UNITED STATES Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES							
	NUMBER	KIND	DATE					
PATENT INFORMATION:	US 20050090539 US 7420079	A1 B2	20050428 20080902					
APPLICATION INFO.:	US 2003-716012	A1	20031118	(10)				
	NUMBER		DATE					
PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE: NUMBER OF CLAIMS:	US 2002-431814P Utility APPLICATION STEPHEN B. DAVIS DEPARTMENT, P O 31	, BRIST BOX 400	20021209 OL-MYERS : 0, PRINCE:	(60) SQUIBB CC ION, NJ,	< DMPANY, PATENT 08543-4000, US			

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EXEMPLARY CLAIM: 1 LINE COUNT: 2603 CAS INDEXING IS AVAILABLE FOR THIS PATENT. => D US6395767/PN 'US6395767' MUST END IN '/Q', '/A', '/L', '/S' OR '/B' The saved name for a query (or structure or screen set) must end with '/Q'. The saved name for an answer set must end with '/A'. The saved name for an L# list must end with '/L'. SDI request names must end with '/S'. To see a list of all saved query, answer set,, and L# list names for this loginid, enter "DISPLAY SAVED" at an arrow prompt (=>). Enter "DISPLAY SAVED/S" to see a list of SDI request names. Enter "DISPLAY SAVED/B" to see a list of BATCH search requests. => S US6395767/PN L24 2 US6395767/PN => DUP REMOV L24 PROCESSING COMPLETED FOR L24 2 DUP REMOV L24 (0 DUPLICATES REMOVED) L25 => D IBIB L24 1-YOU HAVE REQUESTED DATA FROM 2 ANSWERS - CONTINUE? Y/(N):Y L24 ANSWER 1 OF 2 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2001:693281 CAPLUS Full-text DOCUMENT NUMBER: 135:257147 Preparation of fused cyclopropylpyrrolidine-based TITLE: inhibitors of dipeptidyl peptidase IV Robl, Jeffrey A.; Sulsky, Richard B.; Augeri, David INVENTOR(S): J.; Magnin, David R.; Hamann, Lawrence G.; Betebenner, David A. PATENT ASSIGNEE(S): Bristol-Myers Squibb Co., USA PCT Int. Appl., 135 pp. SOURCE: CODEN: PIXXD2 DOCUMENT TYPE: Patent LANGUAGE: English FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION: KIND DATE APPLICATION NO. DATE PATENT NO. _____ ____ _____ _____ _____ WO2001068603A220010920WO2001068603A320020214 WO 2001-US7151 20010305 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG US 20020019411 A1 20020214 US 2001-788173 20010216 US 6395767 B2 20020528 <--

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RECORD (60 CITINGS)													

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REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT L24 ANSWER 2 OF 2 USPATFULL on STN ACCESSION NUMBER: 2002:32589 USPATFULL Full-text Cyclopropyl-fused pyrrolidine-based inhibitors of TITLE: dipeptidyl peptidase IV and method Robl, Jeffrey A., Newtown, PA, UNITED STATES INVENTOR(S): Sulsky, Richard B., West Trenton, NJ, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES Magnin, David R., Hamilton, NJ, UNITED STATES Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES Betebenner, David A., Lawrenceville, NJ, UNITED STATES NUMBER KIND DATE _____ ____

 PATENT INFORMATION:
 US 20020019411
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 APPLICATION INFO.:
 US 2001-788173
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 (9)

 <--NUMBER DATE _____ _____ PRIORITY INFORMATION: US 2000-188555P 20000310 (60) DOCUMENT TYPE: Utility FILE SEGMENT: APPLICA APPLICATION FILE SEGMENT: LEGAL REPRESENTATIVE: MARLA J MATHIAS, BRISTOL-MYERS SQUIBB COMPANY, PATENT DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000 24 NUMBER OF CLAIMS: EXEMPLARY CLAIM: 1 -2767 LINE COUNT: CAS INDEXING IS AVAILABLE FOR THIS PATENT. => D HIST (FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012) FILE 'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012 L1 1 S SAXAGLIPTIN/CN L2 5 S SAXAGLIPTIN FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 L3 STR 361442-04-8 L4 2 S L3 FAM SAM FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 L5 48 S 361442-04-8/CRN FILE 'CAPLUS, USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012 Lб 480 S L1 FILE 'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012 SET SMARTSELECT ON L7 SEL L1 1- CHEM : 6 TERMS SET SMARTSELECT OFF

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Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 196 of 373
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=> D L37 IBIB ABS 1-	
YOU HAVE REQUESTED DATA	FROM 2 ANSWERS - CONTINUE? Y/(N):Y
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ACCESSION NUMBER:	2001:693281 CAPLUS Full-text
DOCUMENT NUMBER:	135:257147
TITLE:	Preparation of fused cyclopropylpyrrolidine-based
	inhibitors of dipeptidyl peptidase IV
INVENTOR(S):	Robl, Jeffrey A.; Sulsky, Richard B.; Augeri, David
	J.; Magnin, David R.; Hamann, Lawrence G.; Betebenner,
	David A.
PATENT ASSIGNEE(S):	Bristol-Myers Squibb Co., USA
SOURCE:	PCT Int. Appl., 135 pp.
	CODEN: PIXXD2
DOCUMENT TYPE:	Patent
LANGUAGE:	English
FAMILY ACC. NUM. COUNT:	1

PATENT INFORMATION:

PATENT NO.	KIND DATE	APPLICATION NO.	DATE
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ID, IL, IN,	IS, JP, KE, KG,	KP, KR, KZ, LC, LK, LR,	LS, LT, LU,
LV, MA, MD,	MG, MK, MN, MW,	MX, NO, NZ, PL, PT, RO,	RU, SD, SE,
SG, SI, SK,	SL, TJ, TM, TR,	TT, TZ, UA, UG, US, UZ,	VN, YU, ZA, ZW
RW: GH, GM, KE,	LS, MW, MZ, SD,	SL, SZ, TZ, UG, ZW, AT,	BE, CH, CY,
DE, DK, ES,	FI, FR, GB, GR,	IE, IT, LU, MC, NL, PT,	SE, TR, BF,
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328

Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 198 of 373

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								KR	20	02-	7011	1806		A3	20020	909)	
ASSIGNM	ENT HISTORY F	OR U	S PA	ren:	r ava	ILAB	LE]	IN I	SU	IS D	ISPI	LAY F	ORMA	Т			
OTHER SO	OURCE(S):		MAR	PAT	135:	2571	47										
GI																	



AB Dipeptidyl peptidase IV inhibiting compds. I (x = 0 or 1 and y = 0 or 1 provided that x = 1 when y = 0 and x = 0 when y = 1; n = 0, 1; X = H, CN; R1, R2, R3 and R4 = same or different and independently selected from H, (un)substituted chain or cyclic components) and the pharmaceutically acceptable salts or prodrugs (no data) were prepared Thus L-pyroglutamic acid Et ester was protected, cyclopropanated and reacted further with (S)-N-BOC-isoleucine providing an

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intermediate II which reacted further to yield the fused cyclopropylpyrrolidine III in 57% yield. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases by employing a title DP 4 inhibitor or a combination of DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent. OS.CITING REF COUNT: 35 THERE ARE 35 CAPLUS RECORDS THAT CITE THIS RECORD (60 CITINGS) REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT L37 ANSWER 2 OF 2 USPATFULL on STN 2002:32589 USPATFULL Full-text ACCESSION NUMBER: TITLE: Cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl peptidase IV and method INVENTOR(S): Robl, Jeffrey A., Newtown, PA, UNITED STATES Sulsky, Richard B., West Trenton, NJ, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES Magnin, David R., Hamilton, NJ, UNITED STATES Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES Betebenner, David A., Lawrenceville, NJ, UNITED STATES NUMBER KIND DATE _____ ____ PATENT INFORMATION:US 20020019411A120020214US 6395767B220020528APPLICATION INFO.:US 2001-788173A120010216 DATE NUMBER _____ _____ PRIORITY INFORMATION: US 2000-188555P 20000310 (60) <--DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION LEGAL REPRESENTATIVE: MARLA J MATHIAS, BRISTOL-MYERS SQUIBB COMPANY, PATENT DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000 NUMBER OF CLAIMS: 24 EXEMPLARY CLAIM: 1 LINE COUNT: 2767 CAS INDEXING IS AVAILABLE FOR THIS PATENT. AR Dipeptidyl peptidase IV (DP 4) inhibiting compounds are provided having the formula ##STR1##

where x is 0 or 1 and y is 0 or 1 (provided that x=1 when y=0 and x=0 when y=1);

n is 0 or 1; X is H or CN;

and wherein R.sup.1, R.sup.2, R.sup.3 and R.sup.4 are as described herein.

A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases as set out herein, employing such DP 4 inhibitor or a combination of such DP 4 inhibitor and one or more of another

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antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

- => S L37 AND 361442-04-8/RN L38 0 L37 AND 361442-04-8/RN
- => S L37 AND 361442-04-8 L39 0 L37 AND 361442-04-8

=> D HIST

L25

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L3 L4	FILE	'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 STR 361442-04-8 2 S L3 FAM SAM
L5	FILE	'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 48 S 361442-04-8/CRN
L6	FILE	'CAPLUS, USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012 480 S L1
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L8 L9 L10 L11 L12 L13 L14 L15 L16 L17 L18 L19 L20 L21 L22 L23	FILE	<pre>'CAPLUS, USPATFULL' ENTERED AT 15:50:53 ON 30 APR 2012 1242 S L7 454 DUP REMOVE L6 (26 DUPLICATES REMOVED) 1218 DUP REMOVE L8 (24 DUPLICATES REMOVED) 1228 S L9 OR L10 0 S L11 AND PD<20000309 0 S L11 AND AD<20000309 0 S L11 AND AD<20000312 0 S L11 AND AD<20010312 0 S L11 AND AD<20020312 0 S L11 AND AJ<2002 1071 S L11 AND AY<2002 1071 S L11 AND AY<2002 1071 S L11 AND PRD<20020312 0 S L11 AND PRD<20020312 0 S L11 AND PRD<20020312 0 S L11 AND PRD<20020312 5 S L11 AND PRD<20020312 0 S L11 AND PRD<20030101 </pre>
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2 DUP REMOV L24 (0 DUPLICATES REMOVED)

331

Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 201 of 373

L26 0 S 111 7... 472 S 361442-04-8/RN 0 S L11 AND L25 L27 26 S L27 NOT L11 L28 0 S L28 AND (ROBL JEFFREY A/IN) L29 L30 0 S L28 AND US6395767/PN L31 0 S L24 AND L27 L32 118 S (ROBL JEFFREY A/IN) L33 56 S L32 AND PRD<20030101 56 S L32 AND PRD<20020101 L34 L35 48 S L32 AND PRD<20010101 L36 35 S L32 AND PRD<20000311 L37 2 S L32 AND PRD=20000310 L38 0 S L37 AND 361442-04-8/RN L39 0 S L37 AND 361442-04-8 => S L37 AND L5 2 L37 AND L5 L40 => DUP REMOV L40 PROCESSING COMPLETED FOR L40 L41 2 DUP REMOV L40 (0 DUPLICATES REMOVED) => D IBIB ABS HITSTR L41 ANSWER 1 OF 2 USPATFULL on STN 2002:32589 USPATFULL Full-text ACCESSION NUMBER: TITLE: Cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl peptidase IV and method INVENTOR(S): Robl, Jeffrey A., Newtown, PA, UNITED STATES Sulsky, Richard B., West Trenton, NJ, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES Magnin, David R., Hamilton, NJ, UNITED STATES Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES Betebenner, David A., Lawrenceville, NJ, UNITED STATES NUMBER KIND DATE ----- -----US 20020019411 A1 20020214 US 6395767 B2 20020528 US 2001-788173 A1 20010216 (9) PATENT INFORMATION: APPLICATION INFO.: NUMBER DATE _____ _____ PRIORITY INFORMATION: US 2000-188555P 20000310 (60) <--DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION LEGAL REPRESENTATIVE: MARLA J MATHIAS, BRISTOL-MYERS SQUIBB COMPANY, PATENT DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000 NUMBER OF CLAIMS: 24 EXEMPLARY CLAIM: 1 LINE COUNT: 2767 CAS INDEXING IS AVAILABLE FOR THIS PATENT. AB Dipeptidyl peptidase IV (DP 4) inhibiting compounds are provided having the formula ##STR1##

where x is 0 or 1 and y is 0 or 1 (provided that x=1 when y=0 and x=0 when y=1); n is 0 or 1; X is H or CN; and wherein R.sup.1, R.sup.2, R.sup.3 and R.sup.4 are as described herein. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases as set out herein, employing such DP 4 inhibitor or a combination of such DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent. CAS INDEXING IS AVAILABLE FOR THIS PATENT. IT 361442-05-9P (preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl peptidase IV) 361442-05-9 USPATFULL 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,

(1S, 3S, 5S) -, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

СМ 1

RN

CN

CRN 361442-04-8 CMF C18 H25 N3 O2

Absolute stereochemistry.



СМ 2

CRN	76-	-05	5-1	
CMF	C2	Η	FЗ	02



=> S L37 AND 361442-04-8/CRN
'CRN' IS NOT A VALID FIELD CODE
'CRN' IS NOT A VALID FIELD CODE
L42 0 L37 AND 361442-04-8/CRN

=> S L37 AND "361442-04-8" L43 0 L37 AND "361442-04-8"

=> FIL REG

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Property values tagged with IC are from the ZIC/VINITI data file provided by InfoChem.

STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4

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TSCA INFORMATION NOW CURRENT THROUGH DECEMBER 23, 2011

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REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For information on property searching in REGISTRY, refer to:

http://www.cas.org/support/stngen/stndoc/properties.html

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=> S 361442-04-8/RN
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L44
=> D L44
L44 ANSWER 1 OF 1 REGISTRY COPYRIGHT 2012 ACS on STN
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ED
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CN
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OTHER CA INDEX NAMES:
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Absolute stereochemistry.



PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT

313 REFERENCES IN FILE CA (1907 TO DATE) 4 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA 330 REFERENCES IN FILE CAPLUS (1907 TO DATE)

=> FIL CAPLUS USPATFUL

FILE 'CAPLUS' ENTERED AT 16:40:05 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)

FILE 'USPATFULL' ENTERED AT 16:40:05 ON 30 APR 2012 CA INDEXING COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)

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PROCESSING COMPLETED FOR L47 L48 31 DUP REMOV L47 (2 DUPLICATES REMOVED)

=> D IBIB ABS HITSTR

L48 ANSWER 1 OF 31 CAE	PLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER:	2008:764140 CAPLUS <u>Full-text</u>
DOCUMENT NUMBER:	149:96035
TITLE:	Method for treating diabetes employing an aP2 inhibitor and combination
INVENTOR(S):	Robl, Jeffrey A.; Parker, Rex A.; Biller, Scott A.; Jamil, Haris; Jacobson, Bruce L.; Kodukula, Krishna
PATENT ASSIGNEE(S):	Bristol-Myers Squibb Company, USA; Pesident and Fellows of Harvard College
SOURCE:	U.S., 19pp. CODEN: USXXAM
DOCUMENT TYPE:	Patent
LANGUAGE:	English
FAMILY ACC. NUM. COUNT: PATENT INFORMATION:	1

PATENT NO.		KIND	DATE	APPLICATION NO.		DATE	
US 7390824		B1	20080624	US 1999-391053		19990907	<
PRIORITY APPLN.	INFO.:			US 1999-391053		19990907	<
ASSIGNMENT HISTO	DRY FOR US	S PATENI	AVAILABLE	IN LSUS DISPLAY F	FORMAT		

OTHER SOURCE(S): MARPAT 149:96035

AB A method is provided for treating diabetes and related diseases, esp. Type II diabetes, employing an aP2 inhibitor or a combination of an aP2 inhibitor and another antidiabetic agent such as metformin, glyburide, troglitazone and/or insulin.

REFERENCE COUNT: 27 THERE ARE 27 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

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- L2 5 S SAXAGLIPTIN

FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 L3 STR 361442-04-8

L4 2 S L3 FAM SAM

FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 L5 48 S 361442-04-8/CRN

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FILE 'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012

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SET SMARTSELECT OFF

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L21	0 S L11 AND ROBL/IN	
L22	3 S L11 AND (ROBL JEFFREY A/I	N)
L23	5 S L11 AND PRD<20030101	
L24	2 S US6395767/PN	
L25	2 DUP REMOV L24 (0 DUPLICATES	REMOVED)
L26	0 S L11 AND L25	
L27	472 S 361442-04-8/RN	
L28	26 S L27 NOT L11	
L29	0 S L28 AND (ROBL JEFFREY A/I	N)
L30	0 S L28 AND US6395767/PN	
L31	0 S L24 AND L27	
L32	118 S (ROBL JEFFREY A/IN)	
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L35	48 S L32 AND PRD<20010101	
L36	35 S L32 AND PRD<20000311	
L37	2 S L32 AND PRD=20000310	
L38	0 S L37 AND 361442-04-8/RN	
L39	0 S L37 AND 361442-04-8	
L40	2 S L37 AND L5	、
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L42	0 S L37 AND 361442-04-8/CRN	
L43	0 S L37 AND "361442-04-8"	
		20 100 0010
T 4 4	FILE 'REGISTRY' ENTERED AT 16:39:15 ON	30 APR 2012
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		40.05 ON 20 ADD 2012
тип	FILE CAPLOS, USPATFULL' ENTERED AT 16	:40:05 ON 30 APR 2012
L4Э тас	25 C 122 AND DD 20000211	
L46 т 47	35 5 L32 AND PRD<20000311	
L4/ т 40	33 5 L32 AND PRD<20000310	
Ц48	31 DUP REMOV L47 (2 DUPLICATES	REMOVED)
-> 0	C 145 AND DDC20000210	
-/ S	0 145 AND PRD~20000510	
Ц49	0 L45 AND PRD<20000310	
=> 9	S 1.45 AND PRD<20000311	
-> 3 T.50	0 I.45 AND PRD<20000311	
0.01		
=> S	S L44 AND PRD<20000311	

337

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0 L44 AND PRD<20000311 L51 => S L44 AND PRD<20010311 L52 0 L44 AND PRD<20010311 => S L44 AND PRD=<20000310 T-53 0 L44 AND PRD=<20000310 => S L45 AND (ROBL JEFFREY A/IN) L54 0 L45 AND (ROBL JEFFREY A/IN) => D HIST (FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012) FILE 'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012 1 S SAXAGLIPTIN/CN T.1 L2 5 S SAXAGLIPTIN FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 L3 STR 361442-04-8 2 S L3 FAM SAM L4 FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 Ъ5 48 S 361442-04-8/CRN FILE 'CAPLUS, USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012 L6 480 S L1 FILE 'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012 SET SMARTSELECT ON L7 SEL L1 1- CHEM : 6 TERMS SET SMARTSELECT OFF FILE 'CAPLUS, USPATFULL' ENTERED AT 15:50:53 ON 30 APR 2012 1242 S L7 L8 L9 454 DUP REMOVE L6 (26 DUPLICATES REMOVED) 1218 DUP REMOVE L8 (24 DUPLICATES REMOVED) L10 1228 S L9 OR L10 L11 L12 0 S L11 AND PD<20000309 L13 0 S L11 AND AD<20000309 L14 0 S L11 AND AD<20000312 L15 0 S L11 AND AD<20010312 L16 0 S L11 AND AD<20020312 L17 0 S L11 AND AY<2002 L18 1071 S L11 AND AY>2002 L19 1071 S L11 AND AY>2000 L20 1 S L11 AND PRD<20020312 L21 0 S L11 AND ROBL/IN L22 3 S L11 AND (ROBL JEFFREY A/IN) L23 5 S L11 AND PRD<20030101 L24 2 S US6395767/PN L25 2 DUP REMOV L24 (0 DUPLICATES REMOVED) L26 0 S L11 AND L25 472 S 361442-04-8/RN L27 26 S L27 NOT L11 L28

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1.42 0 5 1.37 AND 3.01442 04 07 0140
145 0 5 157 AND 501442 04 0
THE INCLOSED AN IC. 20.15 ON 20 AND 2010
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L44 I S 361442-04-8/RN
FILE 'CAPLUS, USPATFULL' ENTERED AT 16:40:05 ON 30 APR 2012
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L46 35 S L32 AND PRD<20000311
L47 33 S L32 AND PRD<20000310
L48 31 DUP REMOV L47 (2 DUPLICATES REMOVED)
L49 0 S L45 AND PRD<20000310
L50 0 S L45 AND PRD<20000311
L51 0 S L44 AND PRD<20000311
L52 0 S $L44$ AND PRD<20010311
1.52 0 S 1.44 AND PRD=<20000310
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US4 0 5 D45 AND (NODE OFFICEI A/IN)
-> E DEC
L55 30092 REG
=> FIL REG
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מיסט 1371115_50_A ספר 20 אין דרטבירי 1371115_50_A
DICULONARY FILE UPDATES, 29 AFR 2012 HIGHEST NN 13/1143-30-4
DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 13/1145-50-4
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=> S 361442-05-9/RN L56 1 361442-05-9/RN

=> FIL CAPLUS

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FILE COVERS 1907 - 30 Apr 2012 VOL 156 ISS 19
FILE LAST UPDATED: 29 Apr 2012 (20120429/ED)
REVISED CLASS FIELDS (/NCL) LAST RELOADED: Dec 2011
USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Dec 2011

CAplus now includes complete International Patent Classification (IPC) reclassification data for the fourth quarter of 2011.

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This file contains CAS Registry Numbers for easy and accurate substance identification.

=> S L56 L57 4 L56

=> D IBIB ABS HITSTR 1-YOU HAVE REQUESTED DATA FROM 4 ANSWERS - CONTINUE? Y/(N):Y

CAPLUS COPYRIGHT 2012 ACS on STN
2012:439526 CAPLUS <u>Full-text</u>
156:432112
Oral preparation of saxagliptin
Lin, Fei

340

Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 210 of 373

PATENT ASSIGNEE(S):	Peop. Rep. China
SOURCE:	Faming Zhuanli Shenqing, 18pp. CODEN: CNXXEV
DOCUMENT TYPE:	Patent
LANGUAGE:	Chinese
FAMILY ACC. NUM. COUNT:	1
PATENT INFORMATION:	

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
CN 102379869	A	20120321	CN 2010-10267175	20100831
PRIORITY APPLN. INFO.:			CN 2010-10267175	20100831

AB Application(for treating diabetes or the related diseases) of the invention should be covered in the abstract The title preparation contains saxagliptin 1-40 mg and the carrier. The title preparation contains saxagliptin hydrochloride 0.1-50% and the carrier 50-99.9%. The preparation method of dispersible tablet consists of pulverizing the saxagliptin hydrochloride, pulverizing the carrier, adding the loading agent, disintegrant, surfactant, flavoring, aromatic substance and colorant, mixing, adding the powder of saxagliptin hydrochloride, mixing, preparing the 2-15% bond solution with bond and water or ethanol-water, adding the bond to make the damp mass, pelletizing, parching, adding the glidant, lubricant and disintegrant, mixing, tabletting.

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-04-8 CMF C18 H25 N3 O2

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

$$\mathbf{F} - \begin{bmatrix} \mathbf{F} \\ \mathbf{I} \\ \mathbf{C} - \mathbf{CO_2H} \\ \mathbf{I} \\ \mathbf{F} \end{bmatrix}$$

L57 ANSWER 2 OF 4 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2008:1300536 CAPLUS Full-text DOCUMENT NUMBER: 149:519052 TITLE: Preparation of crystal forms of saxagliptin Gougoutas, Jack Z.; Malley, Mary F.; DiMarco, John D.; INVENTOR(S): Yin, Xiaotian S.; Wei, Chenkou; Yu, Jurong; Vu, Truc Chi; Jones, Gregory Scott; Savage, Scott A. PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA PCT Int. Appl., 134pp. SOURCE: CODEN: PIXXD2 DOCUMENT TYPE: Patent LANGUAGE: English FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION: PATENT NO. KIND DATE APPLICATION NO. DATE ____ _____ _____ _____ _____ WO 2008-US60711 WO 2008131149 A2 20081030 20080418 A3 20090625 WO 2008131149 W: AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA A1 US 20090054303 20090226 US 2008-105316 20080418 US 7943656 В2 20110517 AR 66130 A1 20090722 AR 2008-101632 20080418 EP 2137149 A2 20091230 EP 2008-746183 20080418 R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LI, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, MK, RS JP 2010524966 Т 20100722 JP 2010-504258 20080418 A A IN 2009DN06560 20100611 IN 2009-DN6560 20091014 CN 101687793 20100331 CN 2008-80021025 20091221 A1 US 20110257085 20111020 US 2011-81341 20110406 P 20070420 PRIORITY APPLN. INFO.: US 2007-912950P US 2008-105316 A3 20080418 W 20080418 WO 2008-US60711 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT Phys. crystal structures of saxagliptin are provided including the free base AB

monohydrate thereof (form H-1) and the hydrochloride thereof, including

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Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 212 of 373

hydrochlorde containing 0.75 equiv of H2O (form H0.75-3) and hydrochloride containing 2 equivs of H2O (form H2-1), and hydrochlorde Pattern P-5, preferably in substantially pure form, and other forms as described herein, pharmaceutical compns. containing these compds. processes for preparing the same, and methods of treating diseases such as diabetes.

IT 361442-05-9

RL: PRP (Properties); RCT (Reactant); RACT (Reactant or reagent) (preparation of crystal forms of saxagliptin)

RN 361442-05-9 CAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-04-8 CMF C18 H25 N3 O2

Absolute stereochemistry.



CM 2

CRN	76-	-05	5-1	
CMF	С2	Η	FЗ	02

$$F - \begin{bmatrix} F \\ C \\ F \end{bmatrix} = CO_2 H$$

OS.CITING REF COUNT: 4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS) L57 ANSWER 3 OF 4 CAPLUS COPYRIGHT 2012 ACS on STN 2005:543673 CAPLUS Full-text ACCESSION NUMBER: DOCUMENT NUMBER: 143:221803 Discovery and Preclinical Profile of Saxagliptin TITLE: (BMS-477118): A Highly Potent, Long-Acting, Orally Active Dipeptidyl Peptidase IV Inhibitor for the Treatment of Type 2 Diabetes AUTHOR(S): Augeri, David J.; Robl, Jeffrey A.; Betebenner, David A.; Magnin, David R.; Khanna, Ashish; Robertson, James G.; Wang, Aiying; Simpkins, Ligaya M.; Taunk, Prakash;

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Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 213 of 373

		Huang, Qi; Han, Song-Ping; Abboa-Offei, Benoni; Cap, Michael; Xin, Li; Tao, Li; Tozzo, Effie; Welzel, Gustav E.; Egan, Donald M.; Marcinkeviciene, Jovita; Chang, Shu Y.; Biller, Scott A.; Kirby, Mark S.; Parker, Rex A.; Hamann, Lawrence G.
CORPO	RATE SOURCE:	Department of Discovery Chemistry, Bristol-Myers Squibb, Princeton, NJ, 08543-5400, USA
SOURC	Ε:	Journal of Medicinal Chemistry (2005), 48(15), 5025-5037 CODEN: JMCMAR; ISSN: 0022-2623
PUBLI DOCUM LANGU OTHER	SHER: ENT TYPE: AGE: SOURCE(S):	American Chemical Society Journal English CASREACT 143:221803 elucidate structure-activity relationships (SAR) within the
112	authors previously L-cis-4,5-methanopr to the investigatio	disclosed series of β -quaternary amino acid linked colinenitrile dipeptidyl peptidase IV (DPP-IV) inhibitors led on of vinyl substitution at the β -position of
	α -cycloalkyl-substivinyl-substituted c plasma DPP-IV inhib and were shown to e precursors in effice Extension of this a discovery of highly BMS-477118 (saxagli inhibitor, which is diabetes.	tuted glycines. Despite poor systemic exposure, ompds. showed extended duration of action in acute rat ex vivo ition models. Oxygenated putative metabolites were prepared exhibit the potency and extended duration of action of their acy models measuring glucose clearance in Zuckerfa/fa rats. pproach to adamantylglycine-derived inhibitors led to the potent inhibitors, including hydroxyadamantyl compound ptin), a highly efficacious, stable, and long-acting DPP-IV currently undergoing clin. trials for treatment of type 2
IT	361442-05-9P RL: PAC (Pharmacolog preparation); THU ((Preparation); USES (discovery and pr potent and long-a inhibitor for tree	gical activity); PKT (Pharmacokinetics); SPN (Synthetic Therapeutic use); BIOL (Biological study); PREP (Uses) reclin. profile of saxagliptin (BMS-477118) as highly acting and orally active dipeptidyl peptidase IV eatment of type 2 diabetes)
RN CN	361442-05-9 CAPLUS 2-Azabicyclo[3.1.0]H 2-[(2S)-2-amino-2-(3 (1S,3S,5S)-, 2,2,2-1	nexane-3-carbonitrile, 3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, trifluoroacetate (1:1) (CA INDEX NAME)
	CM 1	
	CRN 361442-04-8 CMF C18 H25 N3 O2	
Absol	ute stereochemistry	



CM 2

CRN 76-05-1 CMF C2 H F3 O2

$$F - C - CO_2H$$

OS.CITI	NG RE	F CO	UNT:		205 THERE ARE 205 CAPLUS RECORDS THAT CITE THIS RECORD (206 CITINGS)													
REFEREN	CE CO	UNT:			64	64 THERE ARE 64 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT							HIS MAT					
L57 AN	SWER	4 OF	4	CAPL	US	СОРУ	RIGH	т 20	12 A	CS of	n ST	N						
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					inh	ibit	ors	of d	ipep	tidy	l pe	ptid	ase	IV				
INVENTO	R(S):				Rob	l, j	Jeffr	еу А	.; S	ulsk	y, R	icha	rd B	.; A	uger	i, D	avid	
					J.;	Mac	nin,	Dav.	id R	.; H	aman	n, L	awre	nce	G.; 1	Betel	benn	er,
					Dav	id P	Δ.											
PATENT 2	ASSIG	NEE (S):		Bri	stol	-Mye	rs So	quib	b Co	., U	SA						
SOURCE:					PCT	Int	:. Ap	pl.,	135	pp.								
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DOCUMEN'	I TYP	Е:			Pat	ent												
LANGUAG	E:				Eng	lish	1											
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PATENT	INFOR	MATI	ON:															
PA	TENT	NO.			KIN	D	DATE			APPL	ICAT	ION	NO.		D	ATE		
WO	2001	0686	 03		 A2	_	2001	0920		 WO 2	001-	 US71	 51		2	0010	305	
WO	2001	0686	0.3		A.3		2002	0214			001	00,1	0 1		-	0010	000	
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		DE,	DK,	ES,	FI,	FR,	GB,	GR,	IE,	IT,	LU,	MC,	NL,	ΡT,	SE,	TR,	BF,	
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	R:	AT,	BE,	CH,	DE,	DK,	ΕS,	FR,	GB,	GR,	IT,	LI,	LU,	NL,	SE,	MC,	ΡT,	

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Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 215 of 373

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JP	2003531118		Т	20031021	JP 2001-567699		20010305
JP	4460205		В2	20100512			
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BR	2001009115		A	20031230	BR 2001-9115		20010305
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EP	1559710		A2	20050803	EP 2005-5368		20010305
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ат Ат	396176		T	20080615	AT 2001-918383		20010305
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DI T	207041		д р1	20100320	DI 2001_365520		20010305
E LI E D	207041		70	20101029	ED 2010-170007		20010305
	2272025		73	20110112	EF 2010-170907		20010505
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TN	2002MN0115	т, 56, Л	717	20050304	TN 2002-MN1154		20020823
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NO	324227		л р1	20021100	NO 2002 4299		20020505
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NK MV	2002009937			20070031	MY 2002-9937		20020909
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KK TN	/384U/	л	BI	20070914	KR 2006-7004515		20060303
	200/MN0018	4	A	20080215	IN 2007-MN184		20070205
JP	2010077163		A	20100408	JP 2010-6181	D	20100114
PRIORITY	APPLN. IN	FO.:			US 2000-188555P	P 70	20000310
					CN 2001-806315	A3	20010305
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					WO 2001-US7151	W	20010305
					IN 2002-MN1154	A3	20020823
			~		KR 2002-7011806	A3	20020909
ASSIGNME	SNT HISTORY	FOR U	S PA	LENT AVAILAB	LE IN LSUS DISPLAY FORM	A'l'	
OTHER SC	JURCE(S):		MARI	AT 135:2571-	4 /		



- AB Dipeptidyl peptidase IV inhibiting compds. I (x = 0 or 1 and y = 0 or 1 provided that x = 1 when y = 0 and x = 0 when y = 1; n = 0, 1; X = H, CN; R1, R2, R3 and R4 = same or different and independently selected from H, (un)substituted chain or cyclic components) and the pharmaceutically acceptable salts or prodrugs (no data) were prepared Thus L-pyroglutamic acid Et ester was protected, cyclopropanated and reacted further with (S)-N-BOC-isoleucine providing an intermediate II which reacted further to yield the fused cyclopropylpyrrolidine III in 57% yield. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases by employing a title DP 4 inhibitor or a combination of DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.
- IT 361442-05-9P RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl peptidase IV)
- RN 361442-05-9 CAPLUS

```
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2s)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1s,3s,5s)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
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CM 1

CRN 361442-04-8 CMF C18 H25 N3 O2

Absolute stereochemistry.



$$F - \begin{bmatrix} F \\ C - CO_2 H \end{bmatrix}_{F}$$

OS.CITING	REF COUNT:	35	THERE ARE 35 CAPLUS RECORDS THAT CITE THIS
			RECORD (60 CITINGS)
REFERENCE	COUNT:	11	THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS
			RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

=> LOGOFF HOLD

SESSION WILL BE HELD FOR 120 MINUTES STN INTERNATIONAL SESSION SUSPENDED AT 16:48:32 ON 30 APR 2012 CourtLink, Lexis/Nexis and Dialog Litigation search for USP 6,395,767. Case 13/308,658.

<u>My Brielcase</u> : Order Runner Bocuments : <u>Available Courts</u> :]

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Patent Search 6395767 4/16/2012

No cases found.

No Cases found in CourtLink Search.

Litigation search for USP 6,395,767. Case 13/308,658.

Page 1 of 7

Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 219 of 373

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Searched the Utility, Design and Plant Patents database.

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INVENTOR: Robl, Jeffrey A. - Newtown, Pennsylvania ; Sulsky, Richard B. - West Trenton, New Jersey ; Augeri, David J. - Princeton, New Jersey ; Nagnin, David R. - Hamilton, New Jersey ; Hamann, Lawrence G. - Cherry Hill, New Jersey ; Betebenner, David A. - Lawrenceville, New Jersey

Lexis lists litigation at the top of its patents: No litigation listed.

Litigation search for USP 6,395,767. Case 13/308,658.

Page 2 of 7

Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 220 of 373

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Terms & Connectors (6395767 or 6,395,767)	Suggest terms Suggest terms for my search

Searched the Patent Cases from Federal Courts and Administrative Materials Database.

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- 08 -

Click "Search Using Natural Language" to run your search as Natural Language search.

- 88 -

Click "Edit Search" to return to the search form and modify your search.

Suggestions:

- Check for spelling errors.
- Remove some search terms.
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- Use a less restrictive date range.
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Litigation search for USP 6,395,767. Case 13/308,658.

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... improve glycemic control in adults with type 2 diabetes mellious. Subsequent to this approval, the Patent and Trademark Office received a patent term restoration application for ONGLYZA (U.S. Patent No. 6,395,767) from Bristol-Myers Squibb Co., and the Patent and Trademark Office requested FDA's assistance in determining this patent's eligibility for patent term restoration. In a letter dated March 3, 2010,

One article found. No litigation is mentioned.

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Litigation search for USP 6,395,767. Case 13/308,658.

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Litigation search for USP 6,395,767. Case 13/308,658. Page 7 of 7 Sun-Amneal-IPR2016-01104- Ex. 1006. Part 2, p. 225 of 373

	ed States Paten	t and Trademark Office	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	TMENT OF COMMERCE Trademark Office OR PATENTS 313-1450
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/308,658	12/01/2011	Jeffrey A. Robl	BMS-2856	7781
23377 7590 05/30/2012 WOODCOCK WASHBUBN LLD			EXAMINER	
CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891		POLANSKY, GREGG		
			ART UNIT	PAPER NUMBER
			1629	
			NOTIFICATION DATE	DELIVERY MODE
			05/30/2012	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

eofficemonitor@woodcock.com

	Application No.	Applicant(s)		
Applicant-Initiated Interview Summary	13/308,658	ROBL ET AL.		
	Examiner	Art Unit		
	Gregg Polansky	1629		
All participants (applicant, applicant's representative, PTO	personnel):			
(1) <u>Gregg Polansky</u> .	(3) <u>Maurice Valla</u> .			
(2) <i>James Anderson</i> .	(4)			
Date of Interview: <u>22 May 2012</u> .				
Type: 🛛 Telephonic 🔲 Video Conference 🗌 Personal [copy given to: 🗌 applicant	applicant's representative]			
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	🖾 No.			
Issues Discussed 101 112 102 103 Others (For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)				
Claim(s) discussed: <u>pending claims</u> .				
Identification of prior art discussed: none.				
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc)				
Discussed the objections and rejections set forth in the Office action mailed on 5/08/2012. Attorney Valla spoke to his understanding of the issues presented in the Office action and the means to overcome them. The Examiner's provided clarification with regard to problems with Applicants' Oath and the incorporation of corrections provided by the Certificate of Correction in the original patent.				
Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview				
Examiner recordation instructions : Examiners must summarize the sult the substance of an interview should include the items listed in MPEP 713 general thrust of each argument or issue discussed, a general indication of general results or outcome of the interview, to include an indication as to	ostance of any interview of record. A c 3.04 for complete and proper recordati of any other pertinent matters discusse whether or not agreement was reache	omplete and proper recordation of on including the identification of the ed regarding patentability and the d on the issues raised.		
Attachment				
/JAMES D ANDERSON/ Primary Examiner, Art Unit 1629	/Gregg Polansky/ Examiner, Art Unit 1629			
U.S. Patent and Trademark Office PTOL-413 (Rev. 8/11/2010)Sun-Amneal-IPR2016-01/1919/2019	 	of 373 Paper No. 20120522		

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jeffrey A. Robl	Confirmation No.: 7781
Application No.: 13/308,658	Group Art Unit: 1629
Filing Date: December 1, 2011	Examiner: Gregg Polansky

For: Cyclopropyl-Fused Pyrrolidine-Based Inhibitors of Dipeptidyl Peptidase IV and Method

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

REPLY PURSUANT TO 37 CFR § 1.111

In response to the Official Action dated May 8, 2012, reconsideration is respectfully requested in

view of the amendments and/or remarks as indicated below:

- A Listing of Prior Changes to U.S. 6,395,767 ("the 767 patent") Made By Certificate of Correction begin on page 2 of this paper.
- Amendments to the Claims of the 767 Patent begin on page 16 of this paper.
- A Complete Listing of the Claims as Amended, with status identifiers, begins on page 22 of this paper.
- **Remarks** begin on page 33 of this paper.
- The Commissioner is hereby authorized to charge any fee deficiency, charge any additional fees, or credit any overpayment of fees, associated with this application in connection with this filing, or any future filing, submitted to the U.S. Patent and Trademark Office during the pendency of this application, to Deposit Account No. 23-3050.

PATENT

Changes to 767 Patent Previously Entered by Certificate of Correction

1. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 7, line 4-col. 8, line 7 of the 767 patent:

Alternately, the carboxamide group in 8 may be converted to the nitrile as described above to give compound 9. Deprotection of PG_1 affords 10 which may be subject to standard peptide coupling conditions to afford 7, an intermediate in the synthesis of Ib. Compound 10 may also be generated by oxidation of the amine 2 (e.g. NCS) followed by hydrolysis and subsequent cyanide treatment. Compound 10 may be obtained as a mixture of stereoisomers or a single isomer/diastereomer which may be epimerized (employing conventional procedures) to afford a mixture of stereoisomers.

2. As indicated by the Certificate of Correction, please insert the following structure at col. 14, line 50 of the 767 patent:



3. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 55-58 of the 767 patent:

The term "cycloheteroalkylalkyl" as used herein alone or as part of another group refers tocycloheteroalkyl groups as defined above linked through a C atom or heteroatom to a (CH₂)_r chain.

4. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 15, lines 49-56 of the 767 patent:

The other antidiabetic agent may also preferably be a sulfonyl urea such as glyburide (also known as glibenclamide), glimepiride (disclosed in U.S. Pat. No. 4,379,785), glipizide, gliclazide or chlorpropamide, other known sulfonylureas or other

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antihyperglycemic agents which act on the ATP-dependent channel of the β -cells, with glyburide and glipizide being preferred, which may be administered in the same or in separate oral dosage forms.

5. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 20, lines 57-62 of the 767 patent:

The other type of therapeutic agent which may be optionally employed with the DP4 inhibitor of formula I may be 1, 2, 3 or more of an anti-obesity agent including a beta 3 adrenergic agonist, a lipase inhibitor, a serotonin (and dopamine) reuptake inhibitor, a thyroid receptor beta drug, an anorectic agent and/or a fatty acid oxidation upregulator.

6. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, lines 15-29 of the 767 patent:

To a stirred solution of (S)-N-tert-butoxycarbonyl-isoleucine (231 mg, 1 mmol) and benzotriazol-1-yloxytripyrrolidinophosphonium hexafluorophosphate (780 mg, 1.5 mmol) in CH₂Cl₂ (6 mL) under nitrogen at rt was added 4-methylmorpholine (0.33 mL, 3 mmol). After 5 min, Step 1 compound (120 mg, 1 mmol) was added in one portion. The reaction mixture was stirred under nitrogen at rt overnight and then diluted with CH₂Cl₂ (30 mL), washed with 4.1% KHSO₄ (10 mL)), aqueous NaHCO₃ (10 mL), brine (10 mL), dried (Na₂SO₄) and evaporated. Purification by flash chromatography on silica gel (2.4x20 cm column, 1:3 EtOAc/hexane) gave the title compound as a colorless oil, 290 mg, 90% yield. LC/MS gave the correct molecular ion [(M+H)⁺ =297] for the desired compound.

7. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, line 40-col. 30, line 3 of the 767 patent:

The reaction mixture of Step 2 compound (220 mg, 0.74 mmol) and 4 M HCl in dioxane (1.5 mL, 6 mmol) was stirred at rt for 2 h and evaporated under reduced pressure. Et₂O was added to the residue and a precipitate was formed. Et₂O was decanted and this was

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PATENT

done three times. The precipitate was dried *in vacuo* to give the title compound as a white powder, 130 mg (76% yield), mp 205-206°C. LC/MS gave the correct molecular ion $[(M+H)^+ = 197]$ for the desired compound.

8. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, lines 54-63 of the 767 patent:

To a stirred solution of Step 2 compounds (104 mg, 0.32 mmol) in CH_2Cl_2 (1 mL) at rt was added TFA (1 mL). The reaction mixture was stirred at rt for 2 h. The reaction mixture was added slowly to a precooled slurry of NaHCO₃ (2 g) in H₂O (2 mL). The mixture was extracted with CH_2Cl_2 (4 mL x 4), and combined CH_2Cl_2 layers were evaporated and purified by preparative HPLC to give the title compound Example 5 (36 mg) and Example 5A (36 mg). LC/MS gave the correct molecular ion [(M+H)⁺ = 222] for the desired compounds..

9. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, line 66-col. 33, line 12 of the 767 patent:

General Method A: Parallel array synthesis methods for preparation of inhibitors from commercially available amino acids. As shown in Scheme 3, the ester 11, described in Example 1 Step 1, was saponified to the acid with LiOH in THF/H₂O and converted to the amide 12 by treatment with isobutyl chloroformate/NMM followed by ammonia in dioxane. The Boc protecting group was removed under acidic conditions using TFA in methylene chloride to give 13. The TFA salt was coupled to Boc-*t*-butylglycine using either EDAC/HOBT/DMF or EDAC/DMAP/CH₂Cl₂ to give 14. The amide was dehydrated to the nitrile 15 using POCl₃/imidazole in pyridine at -20° C and finally deprotected with TFA in CH₂Cl₂ at ambient temperature to afford the target 16. SCHEME 3, GENERAL METHOD A (EXAMPLES 6-27)

10. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 34, line 59-col. 35, line 13 of the 767 patent:

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DOCKET NO.: BMS-2856 Application No.: 13/308,658 Office Action Dated: May 8, 2012

PATENT

An oven-dried 15-mL test tube was charged with Step 3 compound (56 mg, 0.22 mmol), N-tert-butoxycarbonyl-(L)-tert-leucine (53 mg, 0.23 mmol), dimethylaminopyridine (0.11 g, 0.88 mmol), and CH_2Cl_2 (4 mL). The tube was sealed under nitrogen atmosphere and treated with 1-[(3-(dimethyl)amino)propyl]-3-ethylcarbodiimide (84 mg, 0.44 mmol). The mixture was placed in a shaker and vortexed overnight. The product was purified by solid phase extraction using a United Technology SCX column (2 g of sorbent in a 6 mL column) by loading the material on a SCX ion exchange column and successively washing with CH₂Cl₂ (5 mL), 30% methanol in CH₂Cl₂ (5 mL), 50% methanol in CH₂Cl₂ (5 mL) and methanol (10 mL). The product containing fractions were concentrated under reduced pressure to give the desired amide. Further purification by reverse phase preparative column chromatography on a YMC S5 ODS 20 x 250 mm column gave the title compound, 50 mg (68% yield). Purification conditions: Gradient elution from 30% methanol/water/0.1 TFA to 90% methanol/water/0.1 TFA over 15 min. 5 min. hold at 90% methanol/water/0.1 TFA. Flow rate: 20 mL/min. Detection wavelength: 220. Retention Time: 14 min.

11. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 41, lines 36-46 of the 767 patent:

An oven-dried 10-mL round bottomed flask was charged with Step 2 compound (350 mg, 0.79 mmol), imidazole (108 mg, 1.58 mmol), pyridine (3 mL). The flask under argon was cooled to -30° C. Slow addition of POCl₃ (0.30 mL, 3.16 mmol) gave after mixing a thick slurry. The slurry was mixed at -30° C for 3 h and the volatiles evaporated. Dichloromethane (5 mL) was then added and the insoluble solid was removed by filtration. The organic layer was washed with H₂O, 10% citric acid, brine and dried over Na₂SO₄. Removal of solvent gave crude desired nitrile (330 mg) (LC/Mass, + ion): 424 (M+H).

12. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 20-29 of the 767 patent:

To a flame-dried 500-mL round-bottomed flask containing cyclopentylideneacetic acid ethyl ester (17.5 g, 113 mmol) in 100 mL anhydrous toluene at -78°C under argon was added DIBAL-H (189 mL of a 1.5 M solution in toluene, 284 mmol, 2.50 equiv) dropwise over a 30 min period through an addition funnel, and the mixture was then allowed to warm to rt, stirring for 18 h. The reaction mixture was then recooled to -78°C, and quenched by the careful addition of 30 mL anhydrous MeOH. Upon warming to rt, 1 N Rochelle's salt (100 mL) was added, and the mixture was stirred 90 min. The biphasic reaction mixture was then diluted with Et₂O (200 mL) in a separatory funnel, and the layers were separated. The organic layer was then washed with brine (100 mL), dried (Na₂SO₄), and concentrated under reduced pressure. Purification by flash column chromatography (silica gel, CH₂Cl₂ / EtOAc, 10:1) gave 11.6 g (92%) of the desired allylic alcohol as a colorless oil.

13. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 53-67 of the 767 patent:

То flame-dried 500-mL round-bottomed a flask containing N-(tertbutyloxycarbonyl)glycine (13.45 g, 76.75 mmol) in 100 mL CH₂Cl₂ at rt was added Step 2 compound (8.61 g, 76.75 mmol, 1.00 equiv) in 20 mL CH₂Cl₂, followed by dicyclohexylcarbodiimide (16.63 g, mmol, 1.05 equiv) in 80 mL CH₂Cl₂. To this reaction mixture was then added 4-dimethylaminopyridine (0.94 mg, mmol, 0.10 equiv), and the mixture was allowed to stir overnight. The reaction mixture was then filtered through a medium sintered-glass funnel, rinsing with 100 mL CH₂Cl₂, and concentrated under reduced pressure. The crude product was then purified by flash chromatography (silica gel, hexanes/EtOAc, 20:1 to 1:1 gradient) to give 19.43 g (94%) of the desired glycinyl ester as a colorless oil.

14. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 44, lines 19-45 of the 767 patent:

A flame-dried 500-mL round-bottomed flask under argon was charged with ZnCl₂ (11.8 g, mmol, 1.20 equiv) and 20 mL toluene. The mixture was heated under vacuum with vigorous stirring to azeotrope off any traces of moisture with the distilling toluene, repeating this process (2 x). The flask was then cooled to rt under argon, (2cyclopentylideneethyl) N-(tert-butyloxycarbonyl)glycinate (19.36 g, 71.88 mmol) was added via cannula as a solution in 180 mL THF, and the mixture was then cooled to -78°C. In a separate flame-dried 200-mL round-bottomed flask containing diisopropylamine (26.3 mL, mmol, 2.60 equiv) in 90 mL THF at -78°C was added nbutyllithium (71.89 mL of a 2.5 M solution in hexanes, mmol, 2.5 equiv), and the mixture was allowed to warm to 0°C for 30 min before recooling to -78°C. The lithium diisopropylamine thus generated was then added via cannula to the ZnCl₂ ester mixture dropwise at a steady rate over 40 min, and the resultant reaction mixture was allowed to slowly warm to rt and stir overnight. The yellow reaction mixture was then poured into a separatory funnel, diluted with 300 mL Et₂O, and the resultant organic solution was washed successively with 300 mL 1N HCl and 300 mL brine, dried (Na₂SO₄), and concentrated under reduced pressure. Purification by flash chromatography (silica gel, 3% MeOH in CH₂Cl₂ with 0.5% HOAc) gave 17.8 g (92%) of the desired amino acid product as a white solid. (FAB MH+ 270).

15. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col 46, lines 48-59 of the 767 patent:

Step 2 compound (32 mg, 0.09 mmol) was dissolved in 1 mL of CH_2Cl_2 and 1 mL of TFA was added and the reaction stirred for 30 min at rt and was evaporated to dryness. The product was purified by reverse phase preparative column chromatography on a YMC S5 ODS 20 X 250 mm column to give 12 mg of the TFA salt (lyophilized from water or isolated after evaporation of eluent and trituration with ether) the title compound. Purification conditions: gradient elution from 10% methanol/water/0.1 TFA

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to 90% methanol/water/0.1 TFA over 18 min; 5 min. hold at 90% methanol/water/0.1 trifluoroacetic acid. Flow rate: 20 mL/min. Detection wavelength: 220.

16. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 46, lines 61-67 of the 767 patent:

Examples 30–39 were prepared by the methods outlined in General Method B and General Method C starting from cyclopentanone, cyclobutanone, cyclohexanone, cyclohexanone, cycloheptanone, cyclooctanone, cis-3,4-dimethylcyclopentanone, and 4-pyranone, cyclopropaneethylhemiacetal, acetone, and 3-pentanone respectively.

- 17. As indicated by the Certificate of Correction, at col. 52, line 64 of the 767 patent, change "25" to ---28--.
- 18. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 28-45 of the 767 patent:

N-Boc protected cyclobutylvinyl compound (Example 31, prepared by general method C) (0.16 g, 0.46 mmol) was dissolved in 10 mL of a 1:1 mixture of THF:water and treated with OsO_4 (12 mg, catalyst) and $NaIO_4$ (0.59 g, 2.76 mmol, 6 equiv). After 2 h, the reaction mixture was diluted with 50 mL of ether and 10 mL of water. The layers were equilibrated and the organic fraction was washed one time with NaHCO₃ solution, dried over MgSO₄ and concentrated to give a dark oil. The oil was diluted with 10 mL of methanol and treated with NaBH₄ (0.08 g, 2.0 mmol). The mixture turned very dark and after 30 min was diluted with ether and the reaction was quenched with aqueous NaHCO₃ solution. The mixture was equilibrated and layers separated. The organic fraction was washed with solutions of NaHCO₃ and 0.1 M HCl. The organics were dried (MgSO₄) and concentrated to give 90 mg (56%) of the Step 1 compound as a dark oil.

19. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 59-67 of the 767 patent:

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Step 1 compound (90 mg, 0.26 mmol) was dissolved in 3 mL of CH_2Cl_2 , cooled to 0°C and treated with 3 mL of freshly distilled TFA. The reaction was complete in 80 min and evaporated to dryness and purified by preparative HPLC (YMC S5 ODS 30 x 100 mm, 10 minute gradient 100%A to 100%B, Solvent A = 10% MeOH-90%H2O-0.1% TFA, Solvent B = 90% MeOH-10% H₂O -0.1% TFA, to give, after removal of water, 50 mg (60%) of title compound. (MH+250).

20. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 62, lines 56-67 of the 767 patent:

The Step 2 compound (95 mg, 0.22 mmol, 1 equiv) was dissolved in anhydrous CH_2Cl_2 (2.5 mL) under argon and cooled to $-78^{\circ}C$. The mixture was treated with diisopropylethylamine (65 µL, 0.37 mmol, 1.7 equiv), and triethylsilyl triflate (75 µL, 0.33 mmol, 1.5 equiv), and stirred at 0°C for 1.5 h. The reaction was mixed with MeOH (0.5 mL), silica gel (200 mg) and H₂O (2 drops) and stirred at rt for 18 h. The solvent was removed by rotary evaporation and the residue purified flash column chromatography on silica gel(2.5x10 cm) with 4% MeOH/CH₂Cl₂ to afford the product (92 mg, 0.17 mmol, 77%): MS m/e 540 (m+H)⁺.

21. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 66, lines 11-27 of the 767 patent:

An oven-dried flask equipped with a condenser and drying tube was charged with norbornane-2-carboxylic acid (4.92 g, 35 mmol, 1 equiv) and treated with bromine (2.1 mL, 41 mmol, 1.15 equiv) and phosphorous trichloride (0.153 mL, 1.8 mmol, 0.05 equiv). The mixture was heated at 85°C for 7 h protected from light. Additional bromine (0.4 mL, 7.8 mmol, 0.22 equiv) was added with continued heating for 1 h. The mixture was cooled to rt, and Et₂O (100 mL) was added. The mixture was washed with 10% aq NaHSO₃ (50 mL), H₂O (2x50 mL), and brine (25 mL). The ether fraction was dried (Na₂SO₄), filtered and concentrated by rotary evaporation. The product was purified by flash column chromatography on silica gel (5x15 cm) with 2% to 4% MeOH/CH₂Cl₂ +

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0.5% HOAc. The product was chased with hexanes to remove residual HOAc. The isolated material consists of two inseparable materials (4.7 g), which was used without further purification in the next step.

22. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 20-27 of the 767 patent:

To a 50-mL round-bottomed flask containing Step 2 compound (0.72 g, 4.20 mmol) in 8 mL of water at rt was added NaCN (0.20 g, 4.20 mmol) followed by NH₄Cl (0.20 g, 5.00 mmol). To this reaction mixture was then added methanol (8 mL) and the mixture was allowed to stir overnight. The reaction mixture was then extracted with ether (2x15 mL), dried (MgSO₄) and concentrated under reduced pressure to give the crude Strecker product.

23. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 28-44 of the 767 patent:

To a 100-mL round-bottomed flask containing the crude Strecker product was added 10 mL of HOAc and 10 mL of conc. HCl. The mixture was refluxed overnight. The mixture was concentrated under reduced pressure to give a yellow solid. The solid was triturated with 5 mL of 1:1 mixture of ether and hexanes. The white solid was treated with triethylamine (1.4 mL, 9.99 mmol) and di-*tert*-butyldicarbonate (1.00 g, 4.60 mmol) in 50 mL DMF. After 4 h the pH of the mixture was adjusted to 9 with saturated Na₂CO₃ soln. After an additional 3 h of stirring the mixture was extracted with 1:1 ether and hexanes and the aqueous fraction acidified to pH 2 with 5% KHSO₄ solution. The aqueous phase was washed with ether (2 X 40 mL), the organics dried (MgSO₄), and evaporated to an oil that was purified by silica gel flash chromatography with 8:92 methanol:CH₂Cl₂ to give 0.3 g (23%) of the Boc-protected amino acid as a light oil (M-H, 318).

- 24. As indicated by the Certificate of Correction, please move "Step 1" at col. 70, line 56 of the 767 patent to col. 70, line 65.
- 25. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, lines 30-49 of the 767 patent:

Sodium ethoxide (940 mg of 21 wt% solution in ethanol, 2.9 mmol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to 0°C; and trans-2-pentenal (1.51 g, 18.0 mmol) was added dropwise maintaining the reaction temperature at $< 5^{\circ}$ C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 µl). The solution was concentrated *in vacuo*, and the residue dissolved in EtOAc (25 mL), washed with 10% NaHCO₃ solution (2x5 mL), brine and dried (MgSO₄). The solution was filtered and concentrated to a 10 mL volume, then heated to reflux and diluted with hexane (20 mL). Upon cooling to rt, the title compound precipitated and was collected to give 3.0 g (50%) of the Step 1 compound (mp 106-109°C; LC/Mass: + ions, 324 M+Na).

26. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, line 64-col. 73, line 8 of the 767 patent:

To a solution of Step 1 compound (2.87 g, 9.5 mmol) and triethylsilane (2.28 mL, 14.3 mmol) in CH₂Cl₂ (30 mL) under argon was added TFA (7.35 mL, 95.3 mmol) dropwise with stirring while maintaining the internal temperature at 25^{0} C by means of an ice bath. After stirring for 4 h at rt, the solution was concentrated. The residue was diluted with CH₂Cl₂ (100 mL), then treated with H₂O (50 mL) and solid Na₂CO₃ with vigorous stirring until the mixture was basic. The organic layer was separated, dried (Na₂SO₄), filtered, then concentrated to give the Step 2 compound as a yellow oil which was used without further purification (LC/Mass: + ions, 308 M+Na).

27. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 73, lines 22-27 of the 767 patent:

Step 2 compound (3.73 g, 9.5 mmol) was suspended in 6 N HCl (20 mL) and HOAc (5 mL) and heated at reflux for 20 h. The reaction mixture was then cooled, washed with EtOAc (20 mL), then concentrated to give an oil which crystallized upon trituration with ether to give the title compound (1.2 g, 70.6%) (LC/Mass, + ion): 144 (M+H).

28. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 74, lines 26-41 of the 767 patent:

Sodium ethoxide (940 mg, 2.9 mmol; 21% w/w solution in ethanol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31 g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to 0^{0} C; and 4-methyl-2-pentenal (1.77 g, 18.0 mmol)was added dropwise maintaining the reaction temperature at < 5°C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 µl). The solution was concentrated and the remainder dissolved in EtOAc (25 mL). The organics were washed with 10% NaHCO₃ solution (2x5 mL), brine and dried (MgSO₄). The solution was filtered and concentrated to 10 mL volume, then heated to reflux and treated with hexane (20 mL). On cooling, the Step 1 compound precipitated and was collected (3.3 g) (LC/Mass, + ion): 338 (M+Na).

29. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 79, lines 54-67 of the 767 patent:

N-[((S)-cyclopentylvinyl)-N-tert-butoxycarbonylglycinyl]-(2S,4S,5S)-2-cyano-4,5-

methano-L-prolylamide (70 mg, 0.19 mmol) described in General Method C, Step 2 was dissolved in a mixture of 2 mL *t*-BuOH / 3 mL THF and N-methylmorpholine-N-oxide (33mg, 0.28 mmol) was added followed by osmium tetroxide (0.1 mmol, 50 mol%). The reaction was quenched with 1 mL of 10% aqueous Na_2SO_3 and was taken up in EtOAc and washed with H_2O 5 mL, dried (Na_2SO_4), filtered, evaporated and purified by silica gel flash chromatography (5% MeOH/CH₂Cl₂) to give 41 mg (55%) of the protected diol

as an oil. The title compound was obtained by deprotection of the amine functionality with TFA according to General Method C (FAB MH+ 294).

30. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 82, lines 52-67 of the 767 patent:

According to literature (J. Org. Chem 1994, 59, 8215), a solution of Step 3 compound (0.875 g, 3.83 mmol) in dry benzene (4.0 mL) was treated with triethylamine (0.52 mL, 3.83 mmol) and diphenylphosphoryl azide (0.85 mL, 3.83 mmol), refluxed under nitrogen for 1 h and cooled to rt. The solution was treated with benzyl alcohol (0.60 mL, 5.75 mmol or 1.5 equiv), refluxed for 17 h, cooled then diluted with ether (40 mL). The solution was washed with 10% aqueous citric acid (2x3 mL),back-extracting the citric acid wash with ether (40 mL). The combined organic extracts were washed with 5% sodium bicarbonate (2x3 mL), dried (MgSO₄), filtered, and concentrated. Flash chromatography on silica gel of the crude product with 10% EtOAc in hexane (1.0 L) gave step 4 compound as a clear thick syrup. Yield: 1.15 g (90%). MS(M+H) 334.

- 31. As indicated by the Certificate of Correction, at col. 84, line 34 of the 767 patent, please replace "NS" with --MS--.
- 32. As indicated by the Certificate of Correction, please replace claim 8 at col. 91, lines9-49 with the following corrected claim:
 - 8. A compound having the structure:

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O CN,

or a pharmaceutically acceptable salt thereof.

- 33. As indicated by the Certificate of Correction, please replace claim 10 at col. 91, line54-col. 92, line 18 with the following corrected claim:
 - 10. A compound which is

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(1S, 2(2S), 3S, 5S)

wherein R¹ is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,

or



(1R,2S,3(2S),5S)

wherein R¹ is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.

34. As indicated by the Certificate of Correction, please replace claim 15 at col. 92, lines36 to 44 of the 767 patent with the following corrected claim:

15. The combination as defined in Claim 14 wherein the antidiabetic agent is 1, 2, 3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.

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Amendments to the Claims of the 767 Patent:

Please further amend the claims of the 767 patent as shown below (deletions and additions are shown relative to the claims as issued in the 767 patent):

Amend claim 1 as follows:

1. A compound having the structure



wherein x is 0 or 1 and y is 0 or 1, provided that

x=1 when y=0 and

x=0 when y=1; and wherein

n is 0 or 1;

X is H or CN;

R¹, R², R³ and R⁴ are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl,

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cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro, cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkenylamino, alkylsulfonylamino, alkylaminocarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylaminocarbonylamino, alkoxycarbonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;

and R¹ and R³ may optionally be taken together to form (CR⁵R⁶)_m where m is 2 to 6, and R⁵ and R⁶ are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or R¹ and R⁴ may optionally be taken together to form (CR⁷R⁸)_p wherein p is 2 to 6, and R⁷ and R⁸ are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkyl, arylalkyl, alkynyl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, arylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, or alkylamino, arylcarbonylamino, alkoxycarbonyl, or alkylamino, arylcarbonylamino, alkoxycarbonyl, or alkylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylamino, carbonylamino, or optionally R¹ and R³ together with

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form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from N, O, S, SO, or SO₂;

or optionally R^1 and R^3 together with



form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;

with the proviso that where x is 1 and y is 0, X is H, n is o, and one of R^1 and R^2 is H and the other is alkyl, then R^3 is other than pyridyl or substituted pyridyl;

including all stereoisomers thereof;

<u>or [and]</u> a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.

Amend claim 12 as follows:

12. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent. Amend claim 13 as follows:

13. The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound <u>as defined in claim 1</u> and [an] <u>the antidiabetic agent other than a DP4 inhibitor</u>.

Amend claim 16 as follows:

16. The combination as defined in claim 13 wherein the compound <u>as defined in</u> <u>claim 1</u> is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.

Amend claim 17 as follows:

17. The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.

Amend claim 21 as follows:

21. The combination as defined in claim 19 wherein the <u>compound as defined in</u> <u>claim 1 [DP4 inhibitor]</u> is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

Amend claim 22 as follows:

22. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing <u>or</u> inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.

Amend added claim 29 to read as follows:

29. <u>The composition of claim 27 or 28 further comprising an antidiabetic agent other</u> <u>than a DP4 inhibitor</u>. Amend added claim 30 to read as follows:

30. <u>The composition of claim 29 wherein the antidiabetic agent is metformin.</u>

Amend added claim 31 to read as follows:

31. The composition of claim 29 wherein the antidiabetic agent is a SGLT2 inhibitor.

Cancel added claims 36 and 37.

Amend added claim 38 to read as follows:

38. <u>The method of any one of claims 32, 33, 34, or 35, wherein the pharmaceutical</u> composition further comprises an antidiabetic agent other than a DP4 inhibitor.

Amend added claim 39 to read as follows:

39. <u>The method of claim 38 wherein the antidiabetic agent is metformin.</u>

Amend added claim 40 to read as follows:\

40. The method of claim 38 wherein the antidiabetic agent is a SGLT2 inhibitor.

Add new claims 41 to 45 to read as follows:

41. A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

42. The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

43. The method of any one of claims 41 or 42, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

44. The method of claim 43, wherein the antidiabetic agent is metformin.

45. The method of claim 43, wherein the antidiabetic agent is a SGLT2 inhibitor.

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Complete Listing of Claims As Amended (including status identifiers):

1. (Amended) A compound having the structure



wherein x is 0 or 1 and y is 0 or 1, provided that

x=1 when y=0 and

x=0 when y=1; and wherein

n is 0 or 1;

X is H or CN;

R¹, R², R³ and R⁴ are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, bicycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl, cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro,

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cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylaminocarbonylamino, alkoxycarbonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;

and R¹ and R³ may optionally be taken together to form $(CR^5R^6)_m$ where m is 2 to 6, and R⁵ and R⁶ are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or R¹ and R⁴ may optionally be taken together to form $(CR^7R^8)_p$ wherein p is 2 to 6, and R⁷ and R⁸ are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, or alkylaminocarbonylamino, or optionally R¹ and R³ together with



form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from N, O, S, SO, or SO_2 ;

or optionally R^1 and R^3 together with

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form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;

with the proviso that where x is 1 and y is 0, X is H, n is o, and one of R^1 and R^2 is H and the other is alkyl, then R^3 is other than pyridyl or substituted pyridyl;

including all stereoisomers thereof;

or [and] a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.

2. (Original) The compound as defined in claim 1 having the structure:



3. (Original) The compound as defined in claim 1 having the structure:



4. (Original) The compound as defined in claim 1 having the structure:

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5. (Original) The compound as defined in claim 1 having the structure:



6. (Original) The compound as defined in claim 1 wherein:

R³ is H, R¹ is H, alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl,

hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl hydroxybicycloalkyl, or hydroxytricycloalkyl,

 R^2 is H or alkyl, n is 0,

X is CN.

7. (Original) The compound as defined in claim 1 wherein the cyclopropyl fused to the pyrrolidine has the configuration:



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8. (Original) A compound having the structure:



or a pharmaceutically acceptable salt thereof.

9. (Original) The compound as defined in claim 8 wherein the pharmaceutically acceptable salt is the hydrochloride salt or the trifluoroacetic acid salt.

10. (Original) A compound which is



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wherein R¹ is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,

or



wherein R¹ is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.

11. (Original) A pharmaceutical composition comprising a compound as defined in claim 1 and a pharmaceutically acceptable carrier therefor.

12. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent.

13. (Twice Amended) The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound <u>as defined in claim 1</u> and [an] <u>the</u> antidiabetic agent <u>other than a DP4 inhibitor</u>.

14. (Original) The combination as defined in claim 13 wherein the antidiabetic agent is 1, 2, 3 or more of a biguanide, a sulfonyl urea, a glucosidase inhibitor, a PPAR agonist, a PPAR / dual agonist, an SGLT2 inhibitor, an aP2 inhibitor, a glycogen phosphorylase inhibitor, an AGE inhibitor, an insulin sensitizer, a glucagon-like peptide-1 (GLP-1) or mimetic thereof, insulin and/or a meglitinide.

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15. (Original) The combination as defined in Claim 14 wherein the antidiabetic agent is 1, 2, 3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.

16. (Amended) The combination as defined in claim 13 wherein the compound <u>as</u> <u>defined in claim 1</u> is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.

17. (Amended) The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.

18. (Original) The combination as defined in claim 17 wherein the anti-obesity agent is orlistat, ATL-962, AJ9677, L750355, CP331648, sibutramine, topiramate, axokine, dexamphetamine, phentermine, phenylpropanolamine, famoxin, and/or mazindol.

19. (Original) The combination as defined in claim 12 wherein the lipid modulating agent is an MTP inhibitor, an HMG CoA reductase inhibitor, a squalene synthetase inhibitor, a fibric acid derivative, an upregulator of LDL receptor activity, a lipoxygenase inhibitor, an ACAT inhibitor, a cholesteryl ester transfer protein inhibitor, or an ATP citrate lyase inhibitor.

20. (Original) The combination as defined in claim 19 wherein the lipid modulating agent is pravastatin, lovastatin, simvastatin, atorvastatin, cerivastatin, fluvastatin, nisvastatin, visastatin, fenofibrate, gemfibrozil, clofibrate, implitapide, CP-529,414, avasimibe, TS-962, MD-700, and/or LY295427.

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21. (Amended) The combination as defined in claim 19 wherein the <u>compound as</u> <u>defined in claim 1 [DP4 inhibitor]</u> is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

22. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing <u>or</u> inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.

- 23. (Canceled)
- 24. (Canceled)
- 25. (New) A compound that is



26. (New) The compound as defined in claim 25, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

27. (New) A pharmaceutical composition comprising the compound of claim 25 and a pharmaceutically acceptable carrier therefor.

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28. (New) A pharmaceutical composition comprising the compound of claim 26 and a pharmaceutically acceptable carrier therefor.

29. (New/Amended) The composition of claim 27 or 28 further comprising an antidiabetic agent other than a DP4 inhibitor.

<u>30. (New/Amended)</u> The composition of claim 29 wherein the antidiabetic agent is metformin.

<u>31. (New/Amended)</u> The composition of claim 29, wherein the antidiabetic agent is a SGLT2 inhibitor.

32. (New) A method for treating diabetes, insulin resistance, hyperglycemia, hyperinsulinemia, impaired glucose homeostasis, or impaired glucose tolerance in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

33. (New) The method of claim 32, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

34. (New) The method of claim 32, for treating diabetes.

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35. (New) The method of claim 33, for treating diabetes.

<u>36.</u> (Canceled)

<u>37.</u> (Canceled)

<u>38. (New/Amended) The method of any one of claims 32, 33, 34, or 35 wherein</u> the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

<u>39. (New/Amended)</u> The method of claim 38, wherein the antidiabetic agent is metformin.

<u>40. (New/Amended)</u> The method of claim 38, wherein the antidiabetic agent is a <u>SGLT2 inhibitor.</u>

41. (New) A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

42. (New) The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

43. (New) The method of any one of claims 41 or 42, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

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44. (New) The method of claim 43, wherein the antidiabetic agent is metformin.

45. (New) The method of claim 43, wherein the antidiabetic agent is a SGLT2

<u>inhibitor.</u>

Changes to 767 Patent Previously Entered by Certificate of Correction

1. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 7, line 4-col. 8, line 7 of the 767 patent:

Alternately, the carboxamide group in 8 may be converted to the nitrile as described above to give compound 9. Deprotection of PG_1 affords 10 which may be subject to standard peptide coupling conditions to afford 7, an intermediate in the synthesis of Ib. Compound 10 may also be generated by oxidation of the amine 2 (e.g. NCS) followed by hydrolysis and subsequent cyanide treatment. Compound 10 may be obtained as a mixture of stereoisomers or a single isomer/diastereomer which may be epimerized (employing conventional procedures) to afford a mixture of stereoisomers.

2. As indicated by the Certificate of Correction, please insert the following structure at col. 14, line 50 of the 767 patent:



3. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 55-58 of the 767 patent:

The term "cycloheteroalkylalkyl" as used herein alone or as part of another group refers tocycloheteroalkyl groups as defined above linked through a C atom or heteroatom to a (CH₂)_r chain.

4. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 15, lines 49-56 of the 767 patent:

The other antidiabetic agent may also preferably be a sulfonyl urea such as glyburide (also known as glibenclamide), glimepiride (disclosed in U.S. Pat. No. 4,379,785), glipizide, gliclazide or chlorpropamide, other known sulfonylureas or other

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antihyperglycemic agents which act on the ATP-dependent channel of the β -cells, with glyburide and glipizide being preferred, which may be administered in the same or in separate oral dosage forms.

5. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 20, lines 57-62 of the 767 patent:

The other type of therapeutic agent which may be optionally employed with the DP4 inhibitor of formula I may be 1, 2, 3 or more of an anti-obesity agent including a beta 3 adrenergic agonist, a lipase inhibitor, a serotonin (and dopamine) reuptake inhibitor, a thyroid receptor beta drug, an anorectic agent and/or a fatty acid oxidation upregulator.

6. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, lines 15-29 of the 767 patent:

To a stirred solution of (S)-N-tert-butoxycarbonyl-isoleucine (231 mg, 1 mmol) and benzotriazol-1-yloxytripyrrolidinophosphonium hexafluorophosphate (780 mg, 1.5 mmol) in CH₂Cl₂ (6 mL) under nitrogen at rt was added 4-methylmorpholine (0.33 mL, 3 mmol). After 5 min, Step 1 compound (120 mg, 1 mmol) was added in one portion. The reaction mixture was stirred under nitrogen at rt overnight and then diluted with CH₂Cl₂ (30 mL), washed with 4.1% KHSO₄ (10 mL)), aqueous NaHCO₃ (10 mL), brine (10 mL), dried (Na₂SO₄) and evaporated. Purification by flash chromatography on silica gel (2.4x20 cm column, 1:3 EtOAc/hexane) gave the title compound as a colorless oil, 290 mg, 90% yield. LC/MS gave the correct molecular ion [(M+H)⁺ =297] for the desired compound.

7. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, line 40-col. 30, line 3 of the 767 patent:

The reaction mixture of Step 2 compound (220 mg, 0.74 mmol) and 4 M HCl in dioxane (1.5 mL, 6 mmol) was stirred at rt for 2 h and evaporated under reduced pressure. Et₂O was added to the residue and a precipitate was formed. Et₂O was decanted and this was

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done three times. The precipitate was dried *in vacuo* to give the title compound as a white powder, 130 mg (76% yield), mp 205-206°C. LC/MS gave the correct molecular ion $[(M+H)^+ = 197]$ for the desired compound.

8. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, lines 54-63 of the 767 patent:

To a stirred solution of Step 2 compounds (104 mg, 0.32 mmol) in CH_2Cl_2 (1 mL) at rt was added TFA (1 mL). The reaction mixture was stirred at rt for 2 h. The reaction mixture was added slowly to a precooled slurry of NaHCO₃ (2 g) in H₂O (2 mL). The mixture was extracted with CH_2Cl_2 (4 mL x 4), and combined CH_2Cl_2 layers were evaporated and purified by preparative HPLC to give the title compound Example 5 (36 mg) and Example 5A (36 mg). LC/MS gave the correct molecular ion [(M+H)⁺ = 222] for the desired compounds..

9. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, line 66-col. 33, line 12 of the 767 patent:

General Method A: Parallel array synthesis methods for preparation of inhibitors from commercially available amino acids. As shown in Scheme 3, the ester 11, described in Example 1 Step 1, was saponified to the acid with LiOH in THF/H₂O and converted to the amide 12 by treatment with isobutyl chloroformate/NMM followed by ammonia in dioxane. The Boc protecting group was removed under acidic conditions using TFA in methylene chloride to give 13. The TFA salt was coupled to Boc-*t*-butylglycine using either EDAC/HOBT/DMF or EDAC/DMAP/CH₂Cl₂ to give 14. The amide was dehydrated to the nitrile 15 using POCl₃/imidazole in pyridine at -20° C and finally deprotected with TFA in CH₂Cl₂ at ambient temperature to afford the target 16. SCHEME 3, GENERAL METHOD A (EXAMPLES 6-27)

10. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 34, line 59-col. 35, line 13 of the 767 patent:

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An oven-dried 15-mL test tube was charged with Step 3 compound (56 mg, 0.22 mmol), N-tert-butoxycarbonyl-(L)-tert-leucine (53 mg, 0.23 mmol), dimethylaminopyridine (0.11 g, 0.88 mmol), and CH_2Cl_2 (4 mL). The tube was sealed under nitrogen atmosphere and treated with 1-[(3-(dimethyl)amino)propyl]-3-ethylcarbodiimide (84 mg, 0.44 mmol). The mixture was placed in a shaker and vortexed overnight. The product was purified by solid phase extraction using a United Technology SCX column (2 g of sorbent in a 6 mL column) by loading the material on a SCX ion exchange column and successively washing with CH₂Cl₂ (5 mL), 30% methanol in CH₂Cl₂ (5 mL), 50% methanol in CH₂Cl₂ (5 mL) and methanol (10 mL). The product containing fractions were concentrated under reduced pressure to give the desired amide. Further purification by reverse phase preparative column chromatography on a YMC S5 ODS 20 x 250 mm column gave the title compound, 50 mg (68% yield). Purification conditions: Gradient elution from 30% methanol/water/0.1 TFA to 90% methanol/water/0.1 TFA over 15 min. 5 min. hold at 90% methanol/water/0.1 TFA. Flow rate: 20 mL/min. Detection wavelength: 220. Retention Time: 14 min.

11. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 41, lines 36-46 of the 767 patent:

An oven-dried 10-mL round bottomed flask was charged with Step 2 compound (350 mg, 0.79 mmol), imidazole (108 mg, 1.58 mmol), pyridine (3 mL). The flask under argon was cooled to -30° C. Slow addition of POCl₃ (0.30 mL, 3.16 mmol) gave after mixing a thick slurry. The slurry was mixed at -30° C for 3 h and the volatiles evaporated. Dichloromethane (5 mL) was then added and the insoluble solid was removed by filtration. The organic layer was washed with H₂O, 10% citric acid, brine and dried over Na₂SO₄. Removal of solvent gave crude desired nitrile (330 mg) (LC/Mass, + ion): 424 (M+H).

12. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 20-29 of the 767 patent:

To a flame-dried 500-mL round-bottomed flask containing cyclopentylideneacetic acid ethyl ester (17.5 g, 113 mmol) in 100 mL anhydrous toluene at -78°C under argon was added DIBAL-H (189 mL of a 1.5 M solution in toluene, 284 mmol, 2.50 equiv) dropwise over a 30 min period through an addition funnel, and the mixture was then allowed to warm to rt, stirring for 18 h. The reaction mixture was then recooled to -78°C, and quenched by the careful addition of 30 mL anhydrous MeOH. Upon warming to rt, 1 N Rochelle's salt (100 mL) was added, and the mixture was stirred 90 min. The biphasic reaction mixture was then diluted with Et₂O (200 mL) in a separatory funnel, and the layers were separated. The organic layer was then washed with brine (100 mL), dried (Na₂SO₄), and concentrated under reduced pressure. Purification by flash column chromatography (silica gel, CH₂Cl₂ / EtOAc, 10:1) gave 11.6 g (92%) of the desired allylic alcohol as a colorless oil.

13. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 53-67 of the 767 patent:

То flame-dried 500-mL round-bottomed a flask containing N-(tertbutyloxycarbonyl)glycine (13.45 g, 76.75 mmol) in 100 mL CH₂Cl₂ at rt was added Step 2 compound (8.61 g, 76.75 mmol, 1.00 equiv) in 20 mL CH₂Cl₂, followed by dicyclohexylcarbodiimide (16.63 g, mmol, 1.05 equiv) in 80 mL CH₂Cl₂. To this reaction mixture was then added 4-dimethylaminopyridine (0.94 mg, mmol, 0.10 equiv), and the mixture was allowed to stir overnight. The reaction mixture was then filtered through a medium sintered-glass funnel, rinsing with 100 mL CH₂Cl₂, and concentrated under reduced pressure. The crude product was then purified by flash chromatography (silica gel, hexanes/EtOAc, 20:1 to 1:1 gradient) to give 19.43 g (94%) of the desired glycinyl ester as a colorless oil.

14. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 44, lines 19-45 of the 767 patent:

A flame-dried 500-mL round-bottomed flask under argon was charged with ZnCl₂ (11.8 g, mmol, 1.20 equiv) and 20 mL toluene. The mixture was heated under vacuum with vigorous stirring to azeotrope off any traces of moisture with the distilling toluene, repeating this process (2 x). The flask was then cooled to rt under argon, (2cyclopentylideneethyl) N-(tert-butyloxycarbonyl)glycinate (19.36 g, 71.88 mmol) was added via cannula as a solution in 180 mL THF, and the mixture was then cooled to -78°C. In a separate flame-dried 200-mL round-bottomed flask containing diisopropylamine (26.3 mL, mmol, 2.60 equiv) in 90 mL THF at -78°C was added nbutyllithium (71.89 mL of a 2.5 M solution in hexanes, mmol, 2.5 equiv), and the mixture was allowed to warm to 0°C for 30 min before recooling to -78°C. The lithium diisopropylamine thus generated was then added via cannula to the ZnCl₂ ester mixture dropwise at a steady rate over 40 min, and the resultant reaction mixture was allowed to slowly warm to rt and stir overnight. The yellow reaction mixture was then poured into a separatory funnel, diluted with 300 mL Et₂O, and the resultant organic solution was washed successively with 300 mL 1N HCl and 300 mL brine, dried (Na₂SO₄), and concentrated under reduced pressure. Purification by flash chromatography (silica gel, 3% MeOH in CH₂Cl₂ with 0.5% HOAc) gave 17.8 g (92%) of the desired amino acid product as a white solid. (FAB MH+ 270).

15. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col 46, lines 48-59 of the 767 patent:

Step 2 compound (32 mg, 0.09 mmol) was dissolved in 1 mL of CH_2Cl_2 and 1 mL of TFA was added and the reaction stirred for 30 min at rt and was evaporated to dryness. The product was purified by reverse phase preparative column chromatography on a YMC S5 ODS 20 X 250 mm column to give 12 mg of the TFA salt (lyophilized from water or isolated after evaporation of eluent and trituration with ether) the title compound. Purification conditions: gradient elution from 10% methanol/water/0.1 TFA

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to 90% methanol/water/0.1 TFA over 18 min; 5 min. hold at 90% methanol/water/0.1 trifluoroacetic acid. Flow rate: 20 mL/min. Detection wavelength: 220.

16. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 46, lines 61-67 of the 767 patent:

Examples 30–39 were prepared by the methods outlined in General Method B and General Method C starting from cyclopentanone, cyclobutanone, cyclohexanone, cyclohexanone, cycloheptanone, cyclooctanone, cis-3,4-dimethylcyclopentanone, and 4-pyranone, cyclopropaneethylhemiacetal, acetone, and 3-pentanone respectively.

- 17. As indicated by the Certificate of Correction, at col. 52, line 64 of the 767 patent, change "25" to ---28--.
- 18. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 28-45 of the 767 patent:

N-Boc protected cyclobutylvinyl compound (Example 31, prepared by general method C) (0.16 g, 0.46 mmol) was dissolved in 10 mL of a 1:1 mixture of THF:water and treated with OsO_4 (12 mg, catalyst) and $NaIO_4$ (0.59 g, 2.76 mmol, 6 equiv). After 2 h, the reaction mixture was diluted with 50 mL of ether and 10 mL of water. The layers were equilibrated and the organic fraction was washed one time with NaHCO₃ solution, dried over MgSO₄ and concentrated to give a dark oil. The oil was diluted with 10 mL of methanol and treated with NaBH₄ (0.08 g, 2.0 mmol). The mixture turned very dark and after 30 min was diluted with ether and the reaction was quenched with aqueous NaHCO₃ solution. The mixture was equilibrated and layers separated. The organic fraction was washed with solutions of NaHCO₃ and 0.1 M HCl. The organics were dried (MgSO₄) and concentrated to give 90 mg (56%) of the Step 1 compound as a dark oil.

19. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 59-67 of the 767 patent:

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Step 1 compound (90 mg, 0.26 mmol) was dissolved in 3 mL of CH_2Cl_2 , cooled to 0°C and treated with 3 mL of freshly distilled TFA. The reaction was complete in 80 min and evaporated to dryness and purified by preparative HPLC (YMC S5 ODS 30 x 100 mm, 10 minute gradient 100%A to 100%B, Solvent A = 10% MeOH-90%H2O-0.1% TFA, Solvent B = 90% MeOH-10% H₂O -0.1% TFA, to give, after removal of water, 50 mg (60%) of title compound. (MH+250).

20. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 62, lines 56-67 of the 767 patent:

The Step 2 compound (95 mg, 0.22 mmol, 1 equiv) was dissolved in anhydrous CH_2Cl_2 (2.5 mL) under argon and cooled to $-78^{\circ}C$. The mixture was treated with diisopropylethylamine (65 µL, 0.37 mmol, 1.7 equiv), and triethylsilyl triflate (75 µL, 0.33 mmol, 1.5 equiv), and stirred at 0°C for 1.5 h. The reaction was mixed with MeOH (0.5 mL), silica gel (200 mg) and H₂O (2 drops) and stirred at rt for 18 h. The solvent was removed by rotary evaporation and the residue purified flash column chromatography on silica gel(2.5x10 cm) with 4% MeOH/CH₂Cl₂ to afford the product (92 mg, 0.17 mmol, 77%): MS m/e 540 (m+H)⁺.

21. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 66, lines 11-27 of the 767 patent:

An oven-dried flask equipped with a condenser and drying tube was charged with norbornane-2-carboxylic acid (4.92 g, 35 mmol, 1 equiv) and treated with bromine (2.1 mL, 41 mmol, 1.15 equiv) and phosphorous trichloride (0.153 mL, 1.8 mmol, 0.05 equiv). The mixture was heated at 85°C for 7 h protected from light. Additional bromine (0.4 mL, 7.8 mmol, 0.22 equiv) was added with continued heating for 1 h. The mixture was cooled to rt, and Et₂O (100 mL) was added. The mixture was washed with 10% aq NaHSO₃ (50 mL), H₂O (2x50 mL), and brine (25 mL). The ether fraction was dried (Na₂SO₄), filtered and concentrated by rotary evaporation. The product was purified by flash column chromatography on silica gel (5x15 cm) with 2% to 4% MeOH/CH₂Cl₂ +

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0.5% HOAc. The product was chased with hexanes to remove residual HOAc. The isolated material consists of two inseparable materials (4.7 g), which was used without further purification in the next step.

22. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 20-27 of the 767 patent:

To a 50-mL round-bottomed flask containing Step 2 compound (0.72 g, 4.20 mmol) in 8 mL of water at rt was added NaCN (0.20 g, 4.20 mmol) followed by NH₄Cl (0.20 g, 5.00 mmol). To this reaction mixture was then added methanol (8 mL) and the mixture was allowed to stir overnight. The reaction mixture was then extracted with ether (2x15 mL), dried (MgSO₄) and concentrated under reduced pressure to give the crude Strecker product.

23. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 28-44 of the 767 patent:

To a 100-mL round-bottomed flask containing the crude Strecker product was added 10 mL of HOAc and 10 mL of conc. HCl. The mixture was refluxed overnight. The mixture was concentrated under reduced pressure to give a yellow solid. The solid was triturated with 5 mL of 1:1 mixture of ether and hexanes. The white solid was treated with triethylamine (1.4 mL, 9.99 mmol) and di-*tert*-butyldicarbonate (1.00 g, 4.60 mmol) in 50 mL DMF. After 4 h the pH of the mixture was adjusted to 9 with saturated Na₂CO₃ soln. After an additional 3 h of stirring the mixture was extracted with 1:1 ether and hexanes and the aqueous fraction acidified to pH 2 with 5% KHSO₄ solution. The aqueous phase was washed with ether (2 X 40 mL), the organics dried (MgSO₄), and evaporated to an oil that was purified by silica gel flash chromatography with 8:92 methanol:CH₂Cl₂ to give 0.3 g (23%) of the Boc-protected amino acid as a light oil (M-H, 318).

- 24. As indicated by the Certificate of Correction, please move "Step 1" at col. 70, line 56 of the 767 patent to col. 70, line 65.
- 25. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, lines 30-49 of the 767 patent:

Sodium ethoxide (940 mg of 21 wt% solution in ethanol, 2.9 mmol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to 0°C; and trans-2-pentenal (1.51 g, 18.0 mmol) was added dropwise maintaining the reaction temperature at $< 5^{\circ}$ C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 µl). The solution was concentrated *in vacuo*, and the residue dissolved in EtOAc (25 mL), washed with 10% NaHCO₃ solution (2x5 mL), brine and dried (MgSO₄). The solution was filtered and concentrated to a 10 mL volume, then heated to reflux and diluted with hexane (20 mL). Upon cooling to rt, the title compound precipitated and was collected to give 3.0 g (50%) of the Step 1 compound (mp 106-109°C; LC/Mass: + ions, 324 M+Na).

26. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, line 64-col. 73, line 8 of the 767 patent:

To a solution of Step 1 compound (2.87 g, 9.5 mmol) and triethylsilane (2.28 mL, 14.3 mmol) in CH₂Cl₂ (30 mL) under argon was added TFA (7.35 mL, 95.3 mmol) dropwise with stirring while maintaining the internal temperature at 25° C by means of an ice bath. After stirring for 4 h at rt, the solution was concentrated. The residue was diluted with CH₂Cl₂ (100 mL), then treated with H₂O (50 mL) and solid Na₂CO₃ with vigorous stirring until the mixture was basic. The organic layer was separated, dried (Na₂SO₄), filtered, then concentrated to give the Step 2 compound as a yellow oil which was used without further purification (LC/Mass: + ions, 308 M+Na).

27. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 73, lines 22-27 of the 767 patent:

Step 2 compound (3.73 g, 9.5 mmol) was suspended in 6 N HCl (20 mL) and HOAc (5 mL) and heated at reflux for 20 h. The reaction mixture was then cooled, washed with EtOAc (20 mL), then concentrated to give an oil which crystallized upon trituration with ether to give the title compound (1.2 g, 70.6%) (LC/Mass, + ion): 144 (M+H).

28. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 74, lines 26-41 of the 767 patent:

Sodium ethoxide (940 mg, 2.9 mmol; 21% w/w solution in ethanol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31 g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to 0^{0} C; and 4-methyl-2-pentenal (1.77 g, 18.0 mmol)was added dropwise maintaining the reaction temperature at < 5°C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 µl). The solution was concentrated and the remainder dissolved in EtOAc (25 mL). The organics were washed with 10% NaHCO₃ solution (2x5 mL), brine and dried (MgSO₄). The solution was filtered and concentrated to 10 mL volume, then heated to reflux and treated with hexane (20 mL). On cooling, the Step 1 compound precipitated and was collected (3.3 g) (LC/Mass, + ion): 338 (M+Na).

29. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 79, lines 54-67 of the 767 patent:

N-[((S)-cyclopentylvinyl)-N-tert-butoxycarbonylglycinyl]-(2S,4S,5S)-2-cyano-4,5-

methano-L-prolylamide (70 mg, 0.19 mmol) described in General Method C, Step 2 was dissolved in a mixture of 2 mL *t*-BuOH / 3 mL THF and N-methylmorpholine-N-oxide (33mg, 0.28 mmol) was added followed by osmium tetroxide (0.1 mmol, 50 mol%). The reaction was quenched with 1 mL of 10% aqueous Na₂SO₃ and was taken up in EtOAc and washed with H₂O 5 mL, dried (Na₂SO₄), filtered, evaporated and purified by silica gel flash chromatography (5% MeOH/CH₂Cl₂) to give 41 mg (55%) of the protected diol

as an oil. The title compound was obtained by deprotection of the amine functionality with TFA according to General Method C (FAB MH+ 294).

30. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 82, lines 52-67 of the 767 patent:

According to literature (J. Org. Chem 1994, 59, 8215), a solution of Step 3 compound (0.875 g, 3.83 mmol) in dry benzene (4.0 mL) was treated with triethylamine (0.52 mL, 3.83 mmol) and diphenylphosphoryl azide (0.85 mL, 3.83 mmol), refluxed under nitrogen for 1 h and cooled to rt. The solution was treated with benzyl alcohol (0.60 mL, 5.75 mmol or 1.5 equiv), refluxed for 17 h, cooled then diluted with ether (40 mL). The solution was washed with 10% aqueous citric acid (2x3 mL),back-extracting the citric acid wash with ether (40 mL). The combined organic extracts were washed with 5% sodium bicarbonate (2x3 mL), dried (MgSO₄), filtered, and concentrated. Flash chromatography on silica gel of the crude product with 10% EtOAc in hexane (1.0 L) gave step 4 compound as a clear thick syrup. Yield: 1.15 g (90%). MS(M+H) 334.

- 31. As indicated by the Certificate of Correction, at col. 84, line 34 of the 767 patent, please replace "NS" with --MS--.
- 32. As indicated by the Certificate of Correction, please replace claim 8 at col. 91, lines9-49 with the following corrected claim:
 - 8. A compound having the structure:

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or a pharmaceutically acceptable salt thereof.

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- 33. As indicated by the Certificate of Correction, please replace claim 10 at col. 91, line54-col. 92, line 18 with the following corrected claim:
 - 10. A compound which is

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(1S, 2(2S), 3S, 5S)

wherein R¹ is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,

or



(1R,2S,3(2S),5S)

wherein R¹ is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.

34. As indicated by the Certificate of Correction, please replace claim 15 at col. 92, lines36 to 44 of the 767 patent with the following corrected claim:

15. The combination as defined in Claim 14 wherein the antidiabetic agent is 1, 2, 3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.

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Amendments to the Claims of the 767 Patent:

Please further amend the claims of the 767 patent as shown below (deletions and additions are shown relative to the claims as issued in the 767 patent):

Amend claim 1 as follows:

1. A compound having the structure



wherein x is 0 or 1 and y is 0 or 1, provided that

x=1 when y=0 and

x=0 when y=1; and wherein

n is 0 or 1;

X is H or CN;

R¹, R², R³ and R⁴ are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, bicycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl,

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cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro, cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkenylamino, alkylsulfonylamino, alkylaminocarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylaminocarbonylamino, alkoxycarbonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;

and R¹ and R³ may optionally be taken together to form (CR⁵R⁶)_m where m is 2 to 6, and R⁵ and R⁶ are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or R¹ and R⁴ may optionally be taken together to form (CR⁷R⁸)_p wherein p is 2 to 6, and R⁷ and R⁸ are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkyl, arylalkyl, alkynyl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, arylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, or alkylamino, arylcarbonylamino, alkoxycarbonyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, or alkylamino, arylcarbonylamino, alkoxycarbonyl, or alkylamino, cor optionally R¹ and R³ together with