Handbook of PHARMACEUTICAL EXCIPIENTS

Second Edition

Edited by **Ainley Wade** and **Paul J Weller**

American Pharmaceutical Association Washington The Pharmaceutical Press London

1994

Find authenticated court documents without watermarks at docketalarm.com.

© Copyright 1986, 1994 by the American Pharmaceutical Association, 2215 Constitution Avenue NW, Washington, DC 20037-2985, USA, and The Pharmaceutical Press, Royal Pharmaceutical Society of Great Britain, 1 Lambeth High Street, London, SE1 7JN, England.

A catalogue record for this book is available from the British Library.

Library of Congress Catalog Card Number: 94-79492.

International Standard Book Number (ISBN) in the UK: 0 85369 305 6 International Standard Book Number (ISBN) in the USA: 0 91730 66 8

No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopy, recording, or any information storage or retrieval system, without prior written permission from the joint publishers.

Typeset in Great Britain by Alden Multimedia, Northampton. Printed and bound in Great Britain by

Find authenticated court documents without watermarks at docketalarm.com.

Carboxymethylcellulose Sodium

1. Nonproprietary Names

BP: Carmellose sodium PhEur: Carboxymethylcellulosum natricum USP: Carboxymethylcellulose sodium

2. Synonyms

Akucell; Blanose; Cekol; cellulose gum; CMC sodium; Courlose; E466; Nymcel; SCMC; sodium carboxymethylcellulose; sodium cellulose glycolate; sodium CMC; Tylose CB.

3. Chemical Name and CAS Registry Number

Cellulose, carboxymethyl ether, sodium salt [9004-32-4]

4. Empirical Formula Molecular Weight

The USP XXII describes carboxymethylcellulose sodium as the sodium salt of a polycarboxymethyl ether of cellulose. Typical molecular weight is 90 000-700 000.

5. Structural Formula



Structure shown with a degree of substitution (DS) of 1.0.

6. Functional Category

Coating agent; tablet and capsule disintegrant; tablet binder; stabilizing agent; suspending agent; viscosity-increasing agent.

7. Applications in Pharmaceutical Formulation or Technology

Carboxymethylcellulose sodium is widely used in oral and topical pharmaceutical formulations primarily for its viscosityincreasing properties. Viscous aqueous solutions are used to suspend powders intended for either topical application or oral and parenteral administration.⁽¹⁾ Carboxymethylcellulose sodium may also be used as a tablet binder and disintegrant,^(2,4) and to stabilize emulsions.⁽⁵⁾

Higher concentrations, usually 4-6%, of the medium viscosity grade is used to produce gels which can be used as the base for applications and pastes; glycerin is often included in such gels to prevent drying out. Carboxymethylcellulose sodium is additionally one of the main ingredients of self adhesive ostomy, wound care and dermatological patches where it is used to absorb wound exudate or transepidermal water and sweat.

Carboxymethylcellulose sodium is also used in cosmetics, toiletries $^{(6)}$ and food products.

| Use | Concentration (%) | |
|-------------------|-------------------|--|
| Emulsifying agent | 0.25-1.0 | |
| Gel-forming agent | 4.0-6.0 | |
| Injections | 0.05-0.75 | |
| Oral solutions | 0.1-1.0 | |
| Tablet binder | 1.0-6.0 | |

8. Description

Carboxymethylcellulose sodium occurs as a white to almost white colored, odorless, granular powder. *See also* Section 19.

9. Pharmacopeial Specifications

| Test | PhEur 1986 | USP XXII (Suppl 8) | |
|------------------------|------------|-----------------------|--|
| Identification | + | + | |
| pH (1% w/v solution) | 6.0-8.0 | 6.5-8.5 | |
| Appearance of solution | + | | |
| Viscosity | + | + | |
| Loss on drying | ≤ 10.0% | ≤ 10.0% | |
| Heavy metals | ≼ 20 ppm | ≤ 0.004% | |
| Chloride | ≤ 0.25% | | |
| Sodium glycolate | ≤ 0.4% | | |
| Sulfated ash | 20.0-33.3% | | |
| Assay (of sodium) | 6.5-10.8% | 6.5-9.5% | |

SEM: 1

Excipient: Carboxymethylcellulose sodium Manufacturer: Buckeye Cellulose Corp Lot No.: 9247 AP Magnification: 120x Voltage: 10 kV



Find authenticated court documents without watermarks at docketalarm.com.

SEM: 2

Excipient: Carboxymethylcellulose sodium Manufacturer: Buckeye Cellulose Corp Lot No.: 9247 AP Magnification: 600x Voltage: 10 kV



SEM: 3

DOCKET

Δ

Excipient: Carboxymethylcellulose sodium Manufacturer: Hercules Ltd Lot No.: 21 A-1 (44390) Magnification: 120x Voltage: 20 kV



SEM: 4 Excipient: Carboxymethylcellulose sodium Manufacturer: Hercules Ltd Lot No.: 21 A-1 (44390) Magnification: 600x Voltage: 20 kV



10. Typical Properties

Density (bulk): 0.75 g/cm³

Dissociation constant: $pK_a = 4.30$

Melting point: browns at approximately 227°C, chars at approximately 252°C.

Moisture content: typically, contains less than 10% of water. However, carboxymethylcellulose sodium is hygroscopic and absorbs significant amounts of water at temperatures up to 37°C at relative humidities of about 80%. *See also* HPE Data and Section 11.

Solubility: practically insoluble in acetone, ethanol, ether and toluene. Easily dispersed in water at all temperatures, forming clear, colloidal solutions. The aqueous solubility varies with the degree of substitution (DS). *See* Section 19.

Viscosity: various grades of carboxymethylcellulose sodium are commercially available which have differing aqueous viscosities; aqueous 1% w/v solutions with viscosities of 5-4000 mPas (5-4000 cP) may be obtained. An increase in concentration results in an increase in aqueous solution viscosity.⁽⁶⁾ Viscosities of various grades of carboxymethylcellulose sodium are shown in Table I. *See also* Section 11.

Table I: Viscosity of aqueous carboxymethyl céllulose sodium solutions at $25^\circ\mathrm{C}.$

| Grade | Concentration (% w/v) | Viscosity (mPa s) | |
|------------------|--------------------------|----------------------|--|
| Low viscosity | 4 | 50-200 | |
| Medium viscosity | 2 | 400-800 | |
| High viscosity | 1 | 1500-3000 | |

| | HPE Laboratory Project Data | | | |
|------------------|-----------------------------|-------|-------------|--|
| | Method | Lab # | Results | |
| Moisture content | MC-10 | 10 | 8.5% | |
| Moisture content | MC-7 | 5 | 6.5% | |
| Moisture content | EMC-1 | 10 | See Fig. 1. | |

Supplier: Hercules Ltd (Lot #76493).



Fig. 1: Equilibrium moisture content of carboxymethylcellulose sodium.

11. Stability and Storage Conditions

Carboxymethylcellulose sodium is a stable, though hygroscopic material. Under high humidity conditions carboxymethylcellulose sodium can absorb a large quantity (> 50%) of water. In tablets, this has been associated with a decrease in tablet hardness and an increase in disintegration time.⁽⁷⁾

Aqueous solutions are stable between pH 2-10; below pH 2 precipitation can occur while above pH 10 solution viscosity rapidly decreases. Generally, solutions exhibit maximum viscosity and stability at pH 7-9.

Carboxymethylcellulose sodium may be sterilized in the dry state by maintaining it at a temperature of 160°C for 1 hour. However, this process results in a significant decrease in viscosity and some deterioration in the properties of solutions prepared from the sterilized material.

Aqueous solutions may similarly be sterilized by heating although this also results in some reduction in viscosity. After autoclaving, viscosity is reduced by about 25% although this reduction is less marked than for solutions prepared from material sterilized in the dry state. The extent of the reduction is dependent on the molecular weight and degree of substitution; higher molecular weight grades generally undergo a greater percentage reduction in viscosity. Sterilization of solutions by gamma irradiation also results in a reduction in viscosity.

Aqueous solutions stored for prolonged periods should contain an antimicrobial preservative.⁽⁸⁾

DOCKE

The bulk material should be stored in a well-closed container in a cool, dry, place.

12. Incompatibilities

Carboxymethylcellulose sodium is incompatible with strongly acidic solutions and with the soluble salts of iron and some other metals, such as aluminum, mercury and zinc; it is also incompatible with xanthan gum. Precipitation can occur at pH < 2 and when mixed with ethanol (95%).

Carboxymethylcellulose sodium also forms complex coacervates with gelatin and pectin. It additionally forms a complex with collagen and is capable of precipitating certain positively charged proteins.

13. Method of Manufacture

Alkali cellulose is prepared by steeping cellulose obtained from wood pulp or cotton fibres in sodium hydroxide solution. The alkali cellulose is then reacted with sodium monochloroacetate to produce carboxymethylcellulose sodium. Sodium chloride and sodium glycolate are obtained as by-products of this etherification.

14. Safety

Carboxymethylcellulose sodium is used in oral, topical and some parenteral formulations. It is also widely used in cosmetics, toiletries and food products and is generally regarded as a nontoxic and nonirritant material. However, oral consumption of large amounts of carboxymethylcellulose sodium can have a laxative effect; therapeutically 4-10 g, in daily divided doses, of the medium and high viscosity grades of carboxymethylcellulose sodium have been used as bulk laxatives.

The WHO has not specified an acceptable daily intake for carboxymethylcellulose sodium as a food additive since the levels necessary to achieve a desired effect were not considered to be a hazard to health.⁽⁹⁾

In animal studies, subcutaneous administration of carboxymethylcellulose sodium has been found to cause inflammation and in some cases of repeated injection fibrosarcomas have been found at the injection site.⁽¹⁰⁾

Hypersensitivity and anaphylactic reactions have occurred in cattle and horses which have been attributed to carboxy-methylcellulose sodium in parenteral formulations such as vaccines and penicillins.^(11,12)

 LD_{50} (guinea pig, oral): 16 g/kg⁽¹³⁾ LD_{50} (mouse, oral): > 27 g/kg LD_{50} (rabbit, oral): > 27 g/kg LD_{50} (rat, oral): 27 g/kg

15. Handling Precautions

Observe normal precautions appropriate to the circumstances and quantity of material handled. Carboxymethylcellulose sodium may be irritant to the eyes. Eye protection is recommended.

16. Regulatory Status

GRAS listed. Accepted as a food additive in Europe. Included in the FDA Inactive Ingredients Guide (dental preparations, inhalations, intra-articular, intrabursal, intradermal, intralesional, IM, intrasynovial and SC injections, oral capsules, drops, solutions, suspensions, syrups and tablets, topical and vaginal preparations). Included in nonparenteral medicines licensed in the UK.

DOCKET



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

