

- RN 394726-95-5 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
- 3-[(2S)-2-amino-2-(4,4-difluorocyclohexyl)acetyl]-6,6-dimethyl-N-[1-[2-oxo-2-(2-propen-1-ylamino)acetyl]-4-penten-1-yl]-, (1R,2S,5S)- (CA INDEX NAME)



RN 394727-13-0 HCAPLUS

- CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
- 3-[3,3-dimethyl-1-oxo-2-[(3-phenylbutyl)amino]butyl]-6,6-dimethyl-N-[1-[2oxo-2-(2-propen-1-ylamino)acetyl]butyl]- (CA INDEX NAME)

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WOCK - EXHIBIT 1006 - PART 2 OF 2 0260



- RN 394727-14-1 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
- 3-[3,3-dimethyl-1-oxo-2-[(2-phenylethyl)amino]butyl]-6,6-dimethyl-N-[1-[2oxo-2-(2-propen-1-ylamino)acetyl]butyl]- (CA INDEX NAME)



- RN 394727-15-2 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
- 3-[2-[(3,3-dimethylbutyl)amino]-3,3-dimethyl-1-oxobutyl]-6,6-dimethyl-N-[1-[2-oxo-2-(2-propen-1-ylamino)acetyl]butyl]- (CA INDEX NAME)



- RN 394727-18-5 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide, 3-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-N-[1-[2-(3-buten-1-ylamino)-2oxoacetyl]-4-penten-1-yl]-6,6-dimethyl-, (1R,2S,5S)- (CA INDEX NAME)



RN 394727-36-7 HCAPLUS

```
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
N-[1-[2-(3-buten-1-ylamino)-2-oxoacetyl]-4-penten-1-yl]-3-[(2S)-2-
[[[(1R,4S)-7,7-dimethyl-2-oxobicyclo[2.2.1]hept-1-
yl]methyl]sulfonyl]amino]-3,3-dimethyl-1-oxobutyl]-6,6-dimethyl-,
(1R,2S,5S)- (CA INDEX NAME)
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RN 394727-38-9 HCAPLUS

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CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
N-[1-[2-(3-buten-1-ylamino)-2-oxoacetyl]-4-penten-1-yl]-3-[(2S)-2-
[[(dimethylamino)sulfonyl]amino]-3,3-dimethyl-1-oxobutyl]-6,6-dimethyl-,
(1R,2S,5S)- (CA INDEX NAME)
```



- RN 395649-30-6 HCAPLUS
- CN Glycinamide,
- (2S)-2-cyclohexyl-N-(3-methylbutyl)glycyl-(2S)-6,6-dimethyl-3azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N,Ndimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)



- RN 395649-34-0 HCAPLUS
- CN Glycinamide, 3-methyl-N-(3-phenylbutyl)valyl-(2S)-6,6-dimethyl-3azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N,Ndimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)



- RN 395649-35-1 HCAPLUS
- CN Glycinamide, 3-methyl-N-(2-phenylethyl)valyl-(2S)-6,6-dimethyl-3azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N,Ndimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)



- RN 395649-36-2 HCAPLUS
- CN Glycinamide, N-(3,3-dimethylbutyl)-3-methylvalyl-(2S)-6,6-dimethyl-3azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N,Ndimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)



- 395652-00-3 HCAPLUS RN
- 3-Azabicyclo[3.1.0]hexane-2-carboxamide, CN
- 3-[(2S)-2-amino-2-cyclohexylacetyl]-6,6-dimethyl-N-[1-[2-oxo-2-(2-propen-1ylamino)acetyl]-4-penten-1-yl]-, (2S)- (CA INDEX NAME)



IΤ 394735-46-7P 394735-49-0P RL: IMF (Industrial manufacture); RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (preparation of peptides as NS3-serine protease inhibitors of hepatitis С

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virus)
RN
     394735-46-7 HCAPLUS
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Glycinamide, 3-methyl-L-valyl-(1R,2S,5S)-6,6-dimethyl-3-
CN
     azabicyclo[3.1.0] hexane-2-carbonyl-\beta-amino-\alpha-
     oxocyclopropanebutanoylglycyl-N,N-dimethyl-2-phenyl-, monohydrochloride,
     (2S)- (CA INDEX NAME)
```



- RN 394735-49-0 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide, N-[3-amino-1-(cyclopropylmethyl)-2,3-dioxopropyl]-3-[(2S)-2-amino-3,3dimethyl-1-oxobutyl]-6,6-dimethyl-, hydrochloride (1:1), (1R,2S,5S)- (CA INDEX NAME)





OS.CITING REF COUNT:	35 THERE ARE 35 CAPLUS RECORDS THAT CITE THIS RECORD (40 CITINGS)
L49 ANSWER 77 OF 87	HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER:	2000:790173 HCAPLUS Full-text
DOCUMENT NUMBER:	133:350506
TITLE:	Preparation of 2,3-methano-amino acid derivatives as
	anticoagulant agents
INVENTOR (S):	De Nanteuil, Guillaume; Gloanec, Philippe; Verbeuren,
	Tony; Rupin, Alain
PATENT ASSIGNEE(S):	Adir et Compagnie, Fr.
SOURCE:	Eur. Pat. Appl., 34 pp.
	CODEN: EPXXDW

DOCUMENT TYPE: Patent French LANGUAGE: FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
EP 1050534	A1	20001108	EP 2000-401197		20000502 <
EP 1050534	B1	20011205			
R: AT, BE, CH,	DE, DK	, ES, FR, (	GB, GR, IT, LI, LU,	, NL, S	SE, MC, PT,
IE, SI, LT,	LV, FI	, RO			
FR 2793248	A1	20001110	FR 1999-5601		19990503 <
FR 2793248	В1	20010629			
PL 198571	B1	20080630	PL 2000-339967		20000428 <
CN 1277961	A	20001227	CN 2000-119227		20000430 <
CN 1130347	С	20031210			
NO 2000002314	A	20001106	NO 2000-2314		20000502 <
NZ 504298	A	20010126	NZ 2000-504298		20000502 <
HU 2000001712	A2	20010328	HU 2000-1712		20000502 <
HU 2000001712	A3	20020228			
US 6288077	B1	20010911	US 2000-561618		20000502 <
AT 210131	Т	20011215	AT 2000-401197		20000502 <
MX 2000004241	A	20020308	MX 2000-4241		20000502 <
PT 1050534	E	20020531	PT 2000-401197		20000502 <
ES 2169716	Т3	20020716	ES 2000-401197		20000502 <
CA 2308780	A1	20001103	CA 2000-2308780		20000503 <
CA 2308780	С	20030422			
ZA 2000002152	A	20001107	ZA 2000-2152		20000503 <
AU 2000031325	A	20001130	AU 2000-31325		20000503 <
AU 763670	В2	20030731			
BR 2000002075	А	20010102	BR 2000-2075		20000503 <
JP 2000344745	A	20001212	JP 2000-134144		20000508 <
JP 3200053	В2	20010820			
HK 1032237	A1	20040514	нк 2001-102869		20010423 <
IORITY APPLN. INFO.:			FR 1999-5601	A	19990503 <
SIGNMENT HISTORY FOR U	S PATEN	T AVAILABL	E IN LSUS DISPLAY 1	FORMAT	
THER SOURCE(S):	MARPAT	133:35050	6		
) Entered STN: 10 No	v 2000				
Γ					



Amino acid derivs. I [X = (CH2)n; n = 2, 3; R1 = cycloalkyl; R2 = amino, alkyl, AB OH, guanidinoisothiourido; Ar = aryl, heteroaryl; X1 = OH, substituted amine] were prepared as anticoagulants. Thus, 1-(N-carboxymethyl-(2R)-3-cyclohexylalanyl)-N-(4-amidinobenzyl)-(2S,3R)-

2,3-methanoprolinamide hydrochloride was prepared and tested for its anticoagulant activity (IC50 = 5.3  $\mu\text{M})$ .

IT 304910-16-5P
RL: BAC (Biological activity or effector, except adverse); BSU (Biological
study, unclassified); RCT (Reactant); SPN (Synthetic preparation); THU
(Therapeutic use); BIOL (Biological study); PREP (Preparation); RACT
(Reactant or reagent); USES (Uses)
 (preparation of 2,3-methano-amino acid derivs. as anticoagulant agents)
RN 304910-16-5 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,

2-[(2R)-2-amino-3-cyclohexyl-1-oxopropyl]-N-[[4-(aminoiminomethyl)phenyl]methyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.



2 HCl

- IΤ 304910-17-6P 304910-19-82 304910-20-1P 304910-21-2P 304910-22-3P 304910-23-4P 304910-24-59 304910-26-78 304910-27-8P 304910-28-92 304910-29-0P 304910-71-2P 304910-72-32 RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (preparation of 2,3-methano-amino acid derivs. as anticoagulant agents) RN 304910-17-6 HCAPLUS
- CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[[4-
- (aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2yl]-1-(cyclohexylmethyl)-2-oxoethyl]-, hydrochloride (9CI) (CA INDEX NAME)



- RN 304910-19-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, N-[[4-(aminoiminomethyl)phenyl]methyl]-2-[(2R)-1-oxo-3-phenyl-2-[[(phenylmethyl)sulfonyl]amino]propyl]-, hydrochloride (1:1), (1S,5R)-(CA INDEX NAME)



RN 304910-20-1 HCAPLUS

CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[[4-

(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2yl]-2-oxo-1-(phenylmethyl)ethyl]-, hydrochloride (9CI) (CA INDEX NAME)



- RN 304910-21-2 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, N-[[4-(aminoiminomethyl)phenyl]methyl]-2-[(2R)-2-amino-1-oxo-3,3diphenylpropyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)



- RN 304910-22-3 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,

2-[(2R)-2-amino-1-oxo-3,3-diphenylpropyl]-N-[(6-amino-3-pyridinyl)methyl] , hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)



- RN 304910-23-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, 2-[(2R)-2-amino-3,3-dicyclohexyl-1-oxopropyl]-N-[[4-(aminoiminomethyl)phenyl]methyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)



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2 HCl
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- RN 304910-24-5 HCAPLUS
- CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[[4-

```
(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2-
yl]-1-(dicyclohexylmethyl)-2-oxoethyl]-, hydrochloride (9CI) (CA INDEX
NAME)
```



- RN 304910-26-7 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, N-[[4-(aminoiminomethyl)phenyl]methyl]-2-[(2R)-2-cyclohexyl-2-[[(phenylmethyl)sulfonyl]amino]acetyl]-, hydrochloride (1:1), (1S,5R)-(CA INDEX NAME)





RN 304910-27-8 HCAPLUS CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[[4-

(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2yl]-1-cyclohexyl-2-oxoethyl]-, hydrochloride (9CI) (CA INDEX NAME)

13/308,658



RN 304910-28-9 HCAPLUS

CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[[4-

(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2yl]-1-cyclohexyl-2-oxoethyl]-, ethyl ester, dihydrochloride (9CI) (CA INDEX NAME)

Absolute stereochemistry.



●2 HCl

RN 304910-29-0 HCAPLUS CN Glycine, N-[(1R)-1-[[(1S,5R)-1-[[[[4-

(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2yl]carbonyl]-3-methylbutyl]-, dihydrochloride (9CI) (CA INDEX NAME)



- RN 304910-71-2 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, N-[[4-(aminoiminomethyl)phenyl]methyl]-2-[(2R)-2-amino-1-oxo-3,3diphenylpropyl]-, (1S,5R)- (CA INDEX NAME)



RN 304910-72-3 HCAPLUS CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[[4-

(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2yl]-1-cyclohexyl-2-oxoethyl]- (CA INDEX NAME)



- IT 304910-25-6
  RL: RCT (Reactant); RACT (Reactant or reagent)
   (preparation of 2,3-methano-amino acid derivs. as anticoagulant agents)
- RN 304910-25-6 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,
- 2-[(2R)-2-amino-2-cyclohexylacetyl]-N-[[4-(aminoiminomethyl)phenyl]methyl] , hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)



IT 304910-15-4P 304910-18-7P

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

- (preparation of 2,3-methano-amino acid derivs. as anticoagulant agents) RN 304910-15-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, 2-[(2R)-2-amino-3-cyclohexyl-1-oxopropyl]-N-[(6-amino-2-methyl-3pyridinyl)methyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)



- RN 304910-18-7 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, N-[[4-(aminoiminomethyl)phenyl]methyl]-2-[(2R)-2-amino-1-oxo-3phenylpropyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)





2	THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
APLUS	COPYRIGHT 2012 ACS on STN
1991:	506007 HCAPLUS <u>Full-text</u>
115:10	06007
115:1	7985a,17988a
Treat	ment of cardiac and vascular hypertrophy and
hyper inhib:	plasia with angiotensin-converting enzyme itors
Linz,	Wolfgang; Schoelkens, Bernward; Scholz,
Wolfga	ang; Wiemer, Gabriele; Urbach, Hans Joerg;
IIGIIIITI	ly, Kaller, Teelz, Voiker
Hoech	st AG., Germany
Ger. (	Offen., 12 pp.
	2 APLUS 1991: 115:10 Treatr hyperp inhib: Linz, Wolfga Hennin Hoech: Ger. (

DOCUMENT TYPE: LANGUAGE: FAMILY ACC. NUM. COUNT: PATENT INFORMATION:	CODEN Pater Germa 1	J: GWXXBX ht an				
PATENT NO.	KIND	DATE	APPLICATION NO.		DATE	
DE 3926606	A1	19910214	DE 1989-3926606		19890811 <-	
EP 417473	A1	19910320	EP 1990-115230		19900808 <-	
EP 417473	В1	19930915				
R: AT, BE, CH	, DE, I	DK, ES, FR,	GB, GR, IT, LI, LU,	NL, S	SE	
AT 94409	Т	19931015	AT 1990-115230		19900808 <-	
ES 2059931	Т3	19941116	ES 1990-115230		19900808 <-	
DD 297063	A5	19920102	DD 1990-343366		19900809 <-	
US 5231083	А	19930727	US 1990-564618		19900809 <-	
IL 95327	А	19951031	IL 1990-95327		19900809 <-	
CA 2023089	A1	19910212	CA 1990-2023089		19900810 <-	
CA 2023089	С	20030114				
NO 9003532	A	19910212	NO 1990-3532		19900810 <-	
NO 306979	B1	20000124				
AU 9060920	А	19910214	AU 1990-60920		19900810 <-	
AU 631914	В2	19921210				
HU 54504	A2	19910328	HU 1990-4966		19900810 <-	
HU 205008	В	19920330				
JP 03083957	А	19910409	JP 1990-210564		19900810 <-	
JP 3452199	В2	20030929				
ZA 9006327	A	19910529	ZA 1990-6327		19900810 <-	
CS 277644	В6	19930317	CS 1990-3958		19900810 <-	
KR 185969	В1	19990501	KR 1990-12267		19900810 <-	
PRIORITY APPLN. INFO.:			DE 1989-3926606	А	19890811 <-	
			EP 1990-115230	A	19900808	

<--ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): MARPAT 115:106007 ED Entered STN: 23 Sep 1991 GI



AB The angiotensin-converging enzyme inhibitors R(CH2)nCH(CO2R2)NHCHR1CONR5CHR4CO2R1 (R = H, aliphatic radical, aryl, etc.; R1 = H, aliphatic radical, aryl, heterocyclyl, etc.; R2, R3 = H, aliphatic

radical, alicyclic radical, aryl, etc.; R4, R5 together with the carrier atoms are heterocyclyl; n = 1, 2) are drugs for the treatment of cardiac and vascular hypertrophy and hyperplasia, in newborns. Oral administration of tablets containing N-(1-S-carbetoxy-3-phenylpropyl)-S-alanyl-

cis-endo-2-azabicyclo[3.3.0]octane-3,S-carboxylic acid (1 or 10  $\mu g/kg/day$ , for 3 wk) normalized the weight and wall thickness in the heart of rats with exptl. cardiac hypertrophy, induced by stricture of the abdominal aorta. Formulation examples are given.

IT 99781-97-2

RL: BIOL (Biological study)

(cardiac and vascular hypertrophy and hyperplasia treatment by)

- RN 99781-97-2 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, [1S-[1α,2[R\*(R\*)],3β,5α]]- (9CI) (CA INDEX NAME)



OS.CITING REF COUNT:	2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS)
L49 ANSWER 79 OF 87 HCA ACCESSION NUMBER: DOCUMENT NUMBER: ORIGINAL REFERENCE NO.: TITLE:	PLUS COPYRIGHT 2012 ACS on STN 1991:450274 HCAPLUS <u>Full-text</u> 115:50274 115:8757a,8760a Synthesis and conformational analysis of
	propyl esters
AUTHOR(S):	Watsui, S.; Srivastava, V. P.; Hoit, E. M.; Taylor, E. W.; Stammer, C. H.
CORPORATE SOURCE: SOURCE:	<pre>Sch. Chem. Sci., Univ. Georgia, Athens, GA, 30602, USA International Journal of Peptide &amp; Protein Research (1991), 37(4), 306-14 CODEN: IJPPC3; ISSN: 0367-8377</pre>
DOCUMENT TYPE: LANGUAGE: ED Entered STN: 10 Aug	Journal English
GI	

H-L-Asp I2)n Ι O2CH2Ph II

- AB The (+)- and (-)-diastereomers of the title compds. I (n = 1, 0) were prepared and their conformations were studied via crystal structure, NMR, and mol. mechanics. The (+)- and (-)-isomers of 2,3-methanoproline II (R = H) were obtained from (±)-II (R = CMe3) via resolution of (±)-II (R = H). All solid dipeptides had a bitter taste with no indication of sweetness.
- IT 134666-90-3P 134732-59-5P
  RL: SPN (Synthetic preparation); PREP (Preparation)
   (preparation, conformation, and taste of)
- RN 134666-90-3 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-2-butanoic acid,  $\beta$ -amino- $\gamma$ -oxo-1-(propoxycarbonyl)-, [1S-[1 $\alpha$ ,2(R\*),5 $\alpha$ ]]- (9CI) (CA INDEX NAME)



- RN 134732-59-5 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-2-butanoic acid,  $\beta$ -amino- $\gamma$ -oxo-1-(propoxycarbonyl)-, [1R-[1 $\alpha$ , 2(S\*), 5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT:	3	THERE ARE (3 CITING	3 CAPLUS RECORDS THAT CI S)	TE THIS RECORD
L49 ANSWER 80 OF 87 HC ACCESSION NUMBER: DOCUMENT NUMBER: ORIGINAL REFERENCE NO.: TITLE:	APLUS 1988:5 109:11 109:19 Nootro angiot inhibi	COPYRIGHT 516052 HC 6052 241a,1924 ppic pharma ensin-con tors) and	2012 ACS on STN APLUS <u>Full-text</u> 4a aceutical containing verting-enzyme inhibitors their use for the treatm	s (ACE nent of
INVENTOR(S): PATENT ASSIGNEE(S): SOURCE:	Hock, Hoechs Ger. C CODEN:	Franz; Scl st AG., 1 offen., 15 GWXXBX	holtholt, Josef Fed. Rep. Ger. pp.	
DOCUMENT TYPE:	Patent	-		
LANGUAGE :	German	1		
FAMILY ACC. NUM. COUNT: PATENT INFORMATION:	1			
PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
DE 3610391	A1	19871008	DE 1986-3610391	19860327 <
EP 243645	A2	19871104	EP 1987-103938	19870318 <
EP 243645	A3	19900124		
EP 243645	В1	19940316		
R: AT, BE, CH,	DE, ES	S, FR, GB,	GR, IT, LI, LU, NL, SE	
AT 102954	Т	19940415	AT 1987-103938	19870318 <
ES 2061447	ΤЗ	19941216	ES 1987-103938	19870318 <
FI 8701304	A	19870928	FI 1987-1304	19870325 <
FI 91876	В	19940513		
FI 91876	С	19940825		
HU 46046	A2	19880928	HU 1987-1308	19870325 <
HU 203117	В	19910528		
DD 280765	A5	19900718	DD 1987-301118	19870325 <
HU 202118	В	19910228	HU 1989-6609	19870325 <
DK 8701535	A	19870928	DK 1987-1535	198/0326 <
DK 172221	BI	19980112	NO 1007 1000	10070000
NO 8701282	A	19870928	NO 1987-1282	198/0326 <
NO 178546	В	19960108		
NU 1/8546		1996041/	ALL 1007 70C40	10070206 /
AU 6770649	A DO	10020212	AU 1987-70649	198/0326 <
AU 021270	D2 7	10071021	TD 1007-705/1	10070226 /
JF 02240090	A A	19071021	77 1997-2230	19870326 <
SII 1836335	7 3 7	19971028	SII 1987-4202302	19870326 <
CA 1341064	C C	20000801	CA 1987 - 533092	19870326 <
CN 87102304	Z	19871230	CN 1987 - 102304	19870327 <
CN 1031267	Ċ	19960313	SI 1907 102001	19070027 \
CS 276179	B6	19920415	CS 1987-2126	19870327 <
CS 276385	В6	19920513	CS 1989-6519	19870327 <

US 5231084	А	19930727	US 1991-711719		19910607 <
PRIORITY APPLN. INFO	) <b>.:</b>		DE 1986-3610391	А	19860327 <
			EP 1987-103938	A	19870318
<					
			US 1987-29905	B1	19870325 <
			US 1988-226521	B1	19880801 <
			US 1989-362288	В3	19890606 <
ASSIGNMENT HISTORY	FOR US PATH	ENT AVAILABLE	IN LSUS DISPLAY	FORMAT	
OTHER SOURCE(S):	MARPA	AT 109:116052			
ED Entered STN:	01 Oct 1988	3			
GI					

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 \begin{array}{c} {\mathbb R}^{3}{\operatorname{OOC}}-{\operatorname{CH}}-{\operatorname{N}}-{\operatorname{C}}-{\operatorname{CH}}-{\operatorname{NH}}-{\operatorname{CH}}-({\operatorname{CH}}_{2})_{n}-{\operatorname{R}}\\ {\operatorname{I}}_{R}4 \quad {\operatorname{I}}_{R}5 \quad {\operatorname{II}} \quad {\operatorname{II}}_{R}1 \quad {\operatorname{COOR}}2 \quad {\operatorname{II}} \end{array} \right.
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ACE inhibitors (I; R = H, optionally substituted C1-8 aliphatic, C3-9 AB alicyclic, C6-12 aromatic , C7-14 araliph., C7-14 aliphatic-alicyclic hydrocarbyl, SR6, OR6; R1 = H, optionally substituted C1-6 aliphatic, C3-9 alicyclic , C4-13 alicyclic-aliphatic , aryl, C7-16 araliph. hydrocarbyl, C5-12 heteroaryl or protected amino acid side chain; R2, R3 = C1-6 aliphatic , C3-9 alicyclic , C6-12 aromatic, C7-16 araliph. hydrocarbyl; CNR4R5 = C3-15 mono-, bi-, tricyclic heterocyclyl; R6 = C1-4 aliphatic , C5-12 aromatic hydrocarbyl , C5-12 heteroaryl; n = 1, 2) or their salts are nootropic pharmaceuticals. Gelatin capsules contained 1'-[N-(1-S-carbethoxy-3-phenylpropyl)-S-alanyl]-(3'S,5'S)spirobicyclo[2.2.2]octane-2,3'-pyrrolidin-5'-ylcarboxylic acid 10, Mg stearate 1, and lactose 214 mg. The nootropic efficacy of I was tested by the inhibitory passive avoidance test in mice using the step-through model. Scopolamine-induced amnesia was reversed with a min. ED (MED) of 1.0-30 mg/kg orally in mice, whereas for Piracetam, MED was 500-1000 mg/kg. IΤ 99781-97-2

RL: BIOL (Biological study)

- (nootropic drug) 99781-97-2 HCAPLUS
- RN 99781-97-2 HCAPLUS
  CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
  2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
  [1S-[1α,2[R\*(R\*)],3β,5α]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT:	3	THERE ARE 3 ( (3 CITINGS)	CAPLUS RECORDS TI	HAT CITE TH	IS RECORD
L49 ANSWER 81 OF 87 HCA ACCESSION NUMBER: DOCUMENT NUMBER: ORIGINAL REFERENCE NO.: TITLE:	APLUS 1987:5 107:19 107:30 Method angiot of ath	COPYRIGHT 20 591020 HCAPL 01020 0449a,30452a d and pharmac censin-conver nerosclerosis	12 ACS on STN US <u>Full-text</u> ceutical composit ting enzyme inhi , thrombosis, ar	cion contain ibitor for t nd periphera	ling an creatment
INVENTOR(S): PATENT ASSIGNEE(S): SOURCE: DOCUMENT TYPE: LANGUAGE: FAMILY ACC. NUM. COUNT: PATENT INFORMATION:	vascul Schoel Hoechs Ger. C CODEN: Patent German 1	ar disease. kens, Bernwa t AG., Fed ffen., 10 pp GWXXBX	rd . Rep. Ger.		
PATENT NO.	KIND	DATE	APPLICATION NO.	. DA:	ſΕ
DE 3536687 EP 219782 EP 219782 EP 219782 R: AT, BE, CH, AT 95064 ES 2059301 AU 8663890 AU 594711 DK 8604904 JP 62087524 ZA 8607771 CA 1320904 US 5231080 PRIORITY APPLN. INFO.:	A1 A2 A3 B1 DE, ES T T3 A B2 A A A C A	19870416 19870429 19900530 19930929 5, FR, GB, GR 19931015 19941116 19870416 19970416 19870416 19870422 19870527 19930803 19930727	DE 1985-3536687 EP 1986-114097 AT 1986-114097 ES 1986-114097 AU 1986-63890 DK 1986-4904 JP 1986-242206 ZA 1986-7771 CA 1986-520434 US 1991-678187 DE 1985-3536687 US 1986-917430 EP 1986-114097	198 198 198 198 198 198 198 198 198 198	51015 < 61011 < 61011 < 61014 < 61014 < 61014 < 61014 < 61014 < 10329 < 51015 < 61010 < 361011
ASSIGNMENT HISTORY FOR US OTHER SOURCE(S): ED Entered STN: 27 Nov GI	5 PATEN MARPAI 7 1987	T AVAILABLE 107:191020	US 1989-393058 IN LSUS DISPLAY	B1 198 FORMAT	90811 <



- AB Angiotensin-converting enzyme inhibitors R302CCHR4NR5COCHR1NHCH(CO2R2)(CH2)nR (I) [n = 1,2; R = H, (substituted) hydrocarbyl, alkoxy, alkylthio, etc.; R1 = H, (substituted) hydrocarbyl, (substituted) heteroaryl, (protected) amino acid side chain; R2, R3 = H, (substituted) hydrocarbyl; R4CHNR5 = C4-15 heterocyclic mono-, bi-, or tricyclic ring system] are inhibitors of blood platelet aggregation and are useful for treatment of atherosclerosis, thrombosis, and peripheral vascular disease. II, administered orally at 1.0-10.0 mg/kg to rabbits, inhibited platelet aggregation in vitro and potentiated the action of PGI2. Tablets were prepared by mixing II 10 and corn starch 140 with a solution of gelatin 7.5 g in water, drying, granulating, adding microcryst. cellulose 2.5 and Mg stearate 2.5 g, and pressing into tablets each containing 10 mg II. IΤ 97251-00-8 99781-97-2
- RL: BIOL (Biological study)

(blood platelet aggregation inhibition by)

- RN 97251-00-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]-, [1S-[1α,2[R\*(R\*)],3β,5α]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



- RN 99781-97-2 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, [1S-[1α,2[R\*(R\*)],3β,5α]]- (9CI) (CA INDEX NAME)



OS.CITING REF COUNT:	7	THERE ARE 7 ( (7 CITINGS)	CAPLUS RECORDS TH	HAT CITE	THIS RECORD
L49 ANSWER 82 OF 87 HCA ACCESSION NUMBER: DOCUMENT NUMBER: ORIGINAL REFERENCE NO.: TITLE: INVENTOR(S):	APLUS 1987:4 107:46 107:76 Treatm angiot Urbach Teetz,	COPYRIGHT 20 46283 HCAPL 283 13a,7616a ent of glauc ensin-conver , Hansjoerg; Volker	12 ACS on STN US <u>Full-text</u> oma using tings-enzyme inh Henning, Rainer	ibitors ; Geiger	r, Rolf;
SOURCE:	Ger. O CODEN:	ffen., 31 pp GWXXBX	. Kep. Gei.		
DOCUMENT TYPE: LANGUAGE: FAMILY ACC. NUM. COUNT: PATENT INFORMATION:	Patent German 1	-			
PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
DE 3410732 EP 158157 B: AT. BE. CH.	A1 A1 DE. FR	19850926 19851016 . GB. IT. LI	DE 1984-3410732 EP 1985-103022		19840323 < 19850315 <
DK 8501315 AU 8540288 AU 578079	A A B2	19850924 19850926 19881013	DK 1985-1315 AU 1985-40288		19850322 < 19850322 <
JP 60209527 ZA 8502156	A A	19851022 19851127	JP 1985-55779 ZA 1985-2156		19850322 < 19850322 <
PRIORITY APPLN. INFO.: OTHER SOURCE(S): ED Entered STN: 08 Aug GI	MARPAT g 1987	107:46283	DE 1984-3410732	A	19840323 <



- AB The title compds. R302CCHR4NR5COCHR1NHCH(CO2R2)(CH2)nR (R = H, alkyl, aryl, R60, R6S, R6 = alkyl, aryl, etc.; R1 = H, alkyl, aryl, amino acyl, etc.; R2, R3 = H, alkyl, aryl, etc.; R4CHNR5 = heterocyclyl; n = 1, 2) are drugs for the treatment of glaucoma. Thus, tablets were made, containing N-(1-S-carbethoxy-3-phenylpropyl)-S-alanyl-1S,3S,5S-2azabicyclo[3.3.0]octane-3-carboxylic acid 10, corn starch 140, gelatin 7.5, microcrystn. cellulose 2.5, and Mg stearate 2.5 g.
- IT 99781-97-2
  RL: BIOL (Biological study)
   (angiotensin-converting-enzyme inhibitor, as drug for treatment of
   glaucoma)
  RN 99781-97-2 HCAPLUS
- KN 99/81-9/-2 HCAPLOS
- CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, [1S-[1α,2[R\*(R\*)],3β,5α]]- (9CI) (CA INDEX NAME)



2

OS.CITING REF COUNT:

THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS)

L49 ANSWER 83 OF 87 HCA	APLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER:	1986:207685 HCAPLUS <u>Full-text</u>
DOCUMENT NUMBER:	104:207685
ORIGINAL REFERENCE NO.:	104:32945a,32948a
TITLE:	Amino acid derivatives as enzyme inhibitors
INVENTOR(S):	Patchett, Arthur A.; Taub, David; Wyvratt, Matthew J.
	Jr.
PATENT ASSIGNEE(S):	Merck and Co., Inc., USA
SOURCE:	S. African, 81 pp.

CODEN: SFXXAB DOCUMENT TYPE: Patent LANGUAGE: English FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION: PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ 19850227 ZA 1983-4454 19830617 <--А ZA 8304454 US 1982-389735 A 19820618 <--PRIORITY APPLN. INFO.: ΕD Entered STN: 14 Jun 1986 GI For diagram(s), see printed CA Issue. AB Dipeptides I (R, R3 = H, alkyl, aryl; R1 = H, (un)substituted alkyl, aryl, or heteroaryl, aralkyl, heteroarylalkyl; R2 = H, alkyl, aminoalkyl; system A is a mono- or bicyclic heterocycle), useful as angiotensin-converting enzyme inhibitors, were prepared Thus, the reductive N-alkylation of an alanylproline derivative with PhCH2CH2COCO2H and NaBH3CN gave dipeptide derivative II. IΤ 102044-77-92 RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (preparation and reductive alkylation of, by Et oxophenylbutyrate) 102044-77-9 HCAPLUS RN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-(2-amino-1-oxopropyl)-, CN  $[1R-[1\alpha, 2\beta, 3(S^*), 5\alpha]]$ -, mono(trifluoroacetate) (9CI) (CA INDEX NAME) СМ 1

CRN 101952-31-2 CMF C9 H14 N2 O3

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

$$F - \bigvee_{F} F -$$

$$3-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]-,$$
$$[1R-[1\alpha, 2\beta, 3[S^*(S^*)], 5\alpha]]- (9CI) \quad (CA \text{ INDEX NAME})$$



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RN 101952-30-1 HCAPLUS
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CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[6-amino-2-[(1-carboxy-3-phenylpropyl)amino]-1-oxohexyl]-, [1R-[1 $\alpha$ , 2 $\beta$ , 3[S\*(R\*)], 5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



- RN 102044-73-5 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]-, [1R-[1 $\alpha$ , 2 $\beta$ , 3[S\*(R\*)], 5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



Absolute stereochemistry.



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RN 102044-75-7 HCAPLUS
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CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid,

3-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,

[1R-[1\alpha, 2\beta, 3[S^*(R^*)], 5\alpha]]- (9CI) (CA INDEX NAME)
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Absolute stereochemistry.



- RN 102044-76-8 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]- (CA INDEX NAME)



- RN 102045-14-7 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[6-amino-2-[(1-carboxy-3-phenylpropyl)amino]-1-oxohexyl]-,  $[1R-[1\alpha, 2\beta, 3[S^*(S^*)], 5\alpha]]-$  (9CI) (CA INDEX NAME)



- IT 101952-34-5
  RL: RCT (Reactant); RACT (Reactant or reagent)
   (reductive alkylation of, by glyoxylic acid derivative)
- RN 101952-34-5 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[2-amino-6-(1,3-dihydro-1,3-dioxo-2H-isoindol-2-yl)-1-oxohexyl]-, [1R-[1 $\alpha$ , 2 $\beta$ , 3(S\*), 5 $\alpha$ ]]-, mono(trifluoroacetate) (9CI) (CA INDEX NAME)

CM 1

CRN 101952-33-4 CMF C20 H23 N3 05



CM 2 CRN 76-05-1 CMF C2 H F3 O2

$$F - C - CO_2H$$

 $[1R-[1\alpha, 2\beta, 3(S^*), 5\alpha]] - (9CI)$  (CA INDEX NAME)

Absolute stereochemistry.



- IT 101952~29~8
  RL: RCT (Reactant); RACT (Reactant or reagent)
   (sapoification of)
- RN 101952-29-8 HCAPLUS



L49 ANSWER 84 OF 87 HC ACCESSION NUMBER: DOCUMENT NUMBER: ORIGINAL REFERENCE NO.: TITLE: INVENTOR(S): PATENT ASSIGNEE(S): SOURCE: DOCUMENT TYPE: LANGUAGE: FAMILY ACC. NUM. COUNT: PATENT INFORMATION:	APLUS COPYRIGHT 1986:39761 HCAP 104:39761 104:6423a,6426a Treatment of cor Henning, Rainer; Geiger, Rolf; Sc Hoechst AG., F Ger. Offen., 27 CODEN: GWXXBX Patent German 1	2012 ACS on STN LUS <u>Full-text</u> onary insufficienc Urbach, Hansjoerg hoelkens, Bernward ed. Rep. Ger. pp.	:y ; Teetz, Volker; l
PATENT NO.	KIND DATE	APPLICATION NO.	DATE
DE 3413710 EP 158927 EP 158927 EP 158927 EP 551927 EP 551927 R: AT, BE, CH, AT 98128 AT 171376 CA 1246457 AU 8541048 AU 585502 JP 60231696 JP 07045410 ZA 8502685 US 5403856 US 5744496 US 5684016	A1 19851024 A2 19851023 A3 19890322 B1 19931208 DE, FR, GB, IT, A1 19930721 B1 19980923 DE, FR, GB, IT, T 19931215 T 19981015 A1 19881213 A 19851017 B2 19890622 A 19851118 B 19950517 A 19851127 A 19950404 A 19980428 A 19971104	DE 1984-3413710 EP 1985-104028 LI, LU, NL, SE EP 1993-102949 LI, LU, NL, SE AT 1985-104028 AT 1993-102949 CA 1985-478724 AU 1985-478724 AU 1985-41048 JP 1985-75489 ZA 1985-2685 US 1994-188745 US 1994-359860 US 1995-445543	19840412 < 19850403 < 19850403 < 19850403 < 19850403 < 19850410 < 19850411 < 19850411 < 19850411 < 19850411 < 19940131 < 19941220 < 19950522 <
HK 1012008	A 19980505 A1 20000811	HK 1998-113025	19980908 <
PRIORITY APPLN. INFO.:		DE 1984-3413710 EP 1985-104028	A 19840412 < A 19850403
<			
		US 1985-721705 US 1989-313491 US 1991-636001 US 1992-920173 US 1994-188745 US 1994-359860 US 1995-445543	B1 19850410 < B1 19890222 < B1 19910103 < B1 19920727 < A3 19940131 < A3 19941220 < A1 19950522 <
ASSIGNMENT HISTORY FOR U OTHER SOURCE(S): ED Entered STN: 08 Fe GI	S PATENT AVAILABL MARPAT 104:39761 b 1986	E IN LSUS DISPLAY	FORMAT



- AB The angiotensin-converting enzyme inhibitors R(CH2)nCH(CO2R2)NHCHR1CONR5CHR4CO2R3 [R = H, (un)substituted alkyl, aryl, etc.; R1 = alkyl, cycloalkyl, heterocyclic radical; R2, R3 = H, alkyl, aryl, etc.; R4CHNR2 = heterocyclic radical; n = 1, 2] are drugs for the treatment of cardiac insufficiency. Thus, tablets are formulated, containing 1-N-(1-S-carbethoxy-3-phenylpropyl)-S-alanyl-1S, 3S, 5S-2azabicyclo[3.3.0]octane-3-carboxylic acid.
- IT 99781-97-2

RL: BIOL (Biological study)

(pharmaceutical, for treatment of cardiac insufficiency)

- RN 99781-97-2 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, [1S-[1α,2[R\*(R\*)],3β,5α]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



2

OS.CITING REF COUNT:

THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L49 ANSWER 85 OF 87 HCA	APLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER:	1985:560858 HCAPLUS <u>Full-text</u>
DOCUMENT NUMBER:	103:160858
ORIGINAL REFERENCE NO.:	103:25849a,25852a
TITLE:	N-Alkylated dipeptides and their esters
INVENTOR(S):	Urbach, Hansjoerg; Henning, Rainer; Wissmann, Hans;
	Teetz, Volker
PATENT ASSIGNEE(S):	Hoechst AG., Fed. Rep. Ger.
SOURCE:	Eur. Pat. Appl., 32 pp.

DOCUMENT TYPE: LANGUAGE: FAMILY ACC. NUM. COUNT: PATENT INFORMATION:	CODEN: Patent German 1	EPXXDW			
PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
EP 135181	A2	19850327	EP 1984-110677		19840907 <
EP 135181	A3	19860402			
EP 135181	B1	19900131			
R: AT, BE, CH,	DE, FR	, GB, IT,	LI, LU, NL, SE		
DE 3333455	A1	19850411	DE 1983-3333455		19830916 <
AT 49979	Т	19900215	AT 1984-110677		19840907 <
HU 36140	A2	19850828	HU 1984-3417		19840910 <
HU 198303	В	19890928			
FI 8403591	A	19850317	FI 1984-3591		19840913 <
FI 80275	В	19900131			
FI 80275	С	19900510			
CA 1338162	С	19960312	CA 1984-463071		19840913 <
DK 8404404	A	19850317	DK 1984-4404		19840914 <
DK 166027	В	19930301			
DK 166027	С	19930712			
NO 8403663	A	19850318	NO 1984-3663		19840914 <
NO 167808	В	19910902			
NO 167808	С	19911218			
AU 8433071	A	19850321	AU 1984-33071		19840914 <
AU 575585	В2	19880804			
JP 60089498	A	19850520	JP 1984-191869		19840914 <
JP 07098836	В	19951025			
ZA 8407259	A	19850529	ZA 1984-7259		19840914 <
ES 535918	A1	19851001	ES 1984-535918		19840914 <
IL 72946	A	19900429	IL 1984-72946		19840914 <
US 5055591	A	19911008	US 1988–173024		19880323 <
PRIORITY APPLN. INFO.:			DE 1983-3333455	A	19830916 <
			EP 1984-110677	A	19840907
<					
			US 1984-650714	В1	19840914 <
			US 1986-943881	В1	19861219 <
ASSIGNMENT HISTORY FOR US	S PATEN	T AVAILABI	E IN LSUS DISPLAY	FORMAT	
ED Entered STN: 16 Nov GI	7 1985 7				



- AB Title compds. R302CCHR4NR5COCHR1NHCH(C02R2)(CH2)nR [I; n = 1, 2; R = H, (un)substituted C1-8 aliphatic, C3-9 alicyclic, C6-12 aromatic, C7-14 araliph., or C7-14 alicyclic aliphatic residue, OR6, SR6 [R6 = (un) substituted C1-4 aliphatic, C6-12 aromatic, or heteroarom. residue]; R1 = H, (un)substituted C3-9 alicyclic, C4-13 alicyclic aliphatic, C6-12 aromatic, C7-16 araliph., or heteroarom. residue, amino acid side chain; R2, R3 = H, (un)substituted C1-6 aliphatic, C3-9 alicyclic, C6-12 aromatic, or C7-16 araliph. residue; CHR4NR5 = C5-15 heterocyclic mono-, bi-, or tricyclic ring system] were prepared via the condensation of HO2CCHR1NHCH(CO2R2)(CH2)nR with R3O2CCHR4NHR5 in the presence of an alkanephosphoric acid anhydride. Thus, (S,S,S)-azabicyclo[3.3.0]octane II was condensed with (S)-PhCH2CH2CH(CO2Et)-(S)-Ala-OH by n-propanephosphonic acid anhydride in CH2Cl2 in the presence of N-ethylmorpholine to give peptide derivative III (R7 = CH2Ph), which was debenzylated to give III (R7 = H) (all-S isomer). I inhibit angiotensin-converting enzyme and can be used as antihypertensives (no data). IΤ 97250-98-1P RL: SPN (Synthetic preparation); PREP (Preparation)
- (preparation of)

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RN 97250-98-1 HCAPLUS
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CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, phenylmethyl ester, [1S-[1α,2[R\*(R\*)],3β,5α]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT:

2

THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS)

L49 ANSWER 86 OF 87 HCA	APLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER:	1985:454461 HCAPLUS Full-text
DOCUMENT NUMBER:	103:54461
ORIGINAL REFERENCE NO.:	103:8792h,8793a
TITLE:	2-Azabicyclo[3.1.0]hexane-3-carboxylic acid
	derivatives, intermediates, and their use
INVENTOR(S):	Urbach, Hansjoerg; Henning, Rainer; Becker, Reinhard
PATENT ASSIGNEE(S):	Hoechst AG., Fed. Rep. Ger.
SOURCE:	Ger. Offen., 30 pp.

DOCUMENT TYPE: LANGUAGE: FAMILY ACC. NUM. COUNT: PATENT INFORMATION:	CODEN: Patent German 1	GWXXBX			
PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
DE 3324263 EP 131226 EP 131226 EP 131226 EP 131226	A1 A2 A3 B1	19850117 19850116 19870826 19900530	DE 1983-3324263 EP 1984-107607		19830706 < 19840630 <
R: AT, BE, CH, AT 53203 HU 37803 HU 209413	DE, FR T A2 B	, GB, IT, LI 19900615 19860228 19940530	I, LU, NL, SE AT 1984-107607 HU 1984-2563		19840630 < 19840702 <
HU 39160 HU 194827	A2 B	19860828 19880328	HU 1985-4538		19840702 <
FI 8402691 ES 534001 DK 8403302 AU 8430298	A A A1 A A	19880327 19850107 19850416 19850107 19850110	FI 1984-2691 ES 1984-534001 DK 1984-3302 AU 1984-30298		19840703 < 19840704 < 19840704 < 19840705 < 19840705 <
AU 573227 ZA 8405160 JP 60051199 JP 07010879	B2 A A B	19880602 19850227 19850322 19950208	ZA 1984-5160 JP 1984-138111		19840705 < 19840705 <
CA 1263000 ES 535452 ES 535453 CA 1267902	A1 A1 A1 A2	19891114 19850516 19850516 19900417	CA 1984-458205 ES 1984-535452 ES 1984-535453 CA 1988-583193 DE 1983-3324263	Ζ	19840705 < 19840828 < 19840828 < 19881104 < 19830706 <
<			EP 1984-107607	A	19840630
ASSIGNMENT HISTORY FOR US OTHER SOURCE(S): ED Entered STN: 24 Aug GI	5 PATEN' MARPAT g 1985	I AVAILABLE 103:54461	CA 1984-458205 IN LSUS DISPLAY	A3 FORMAT	19840705 <
CO2R COCHR <sup>1</sup> NHCH (CH <sub>2</sub> ) nCR <sup>3</sup> CO2R <sup>2</sup>	R4R5 I		.R6 ∙R <sup>7</sup> ⁰ II		
PhC NH CO2H III	H2CH2	CO2R8	CO2R9		

166
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AB
     Title derivs. I [R = H, C1-6 alkyl, C2-6 alkenyl, (C6-12 aryl)-C1-4 alkyl;
     R1 = H, (un)substituted C1-6 alkyl, C2-6 alkenyl, C5-9 cycloalkyl, C5-9
     cycloalkenyl, etc.; R2 = H, C1-6 alkyl, C2-6 alkenyl, (C6-12 aryl)-C1-4
     alkyl; R3 = H, OH, R4 = H; R3R4 = O; R5 = C1-6 alkyl, C2-6 alkenyl, C2-6 alkenyl,
     C5-9 cycloalkyl, (un)substituted C6-12 aryl; n = 0, 1] were prepared as
     antihypertensives (no data) due to their ability to inhibit
     angiotensin-converting enzyme. Thus, cis-bicyclo[3.1.0]hexan-2-one was
     treated with H2NOSO3H and then subjected to the Beckman rearrangement to give
     cis-azabicyclo[4.1.0] heptane cis-II (R6 = R7 = H), which was chlorinated with
     PCl5 to give cis-II (R6 = R7 = Cl), which was dechlorinated by hydrogenation
     over Raney Ni to give cis-II (R6 = Cl, R7 = H). The latter was hydrolyzed
     in the presence of Ba(OH)2 to give
     cis-azabicyclo[3.1.0]hexane-3-carboxylate cis-III, which was separated
     into its exo and endo isomers. The latter were esterified with PhCH2OH via
     SOC12 to give the corresponding benzyl esters, which were condensed with
     (S)-PhCH2CH2CH(CO2Et)-L-Ala-OH by DCC/1-hydroxybenzotriazole to give the
     exo and endo isomers of title compound cis-IV (R8 = Et, R9 = CH2Ph), which
     were separated into the 3S-endo, 3R-endo, 3S-exo, and 3R-exo isomers. The
     latter were debenzylated by hydrogenolysis over Pd/C and then treated with
     HC1/EtOH to give the corresponding cis-IV.HCl (R8 = Et, R9 = H).
     3S-endo-cis-IV.HCl (R8 = Et, R9 = H) was saponified to give 3S-endo-cis-IV
     (R8 = R9 = H); 3S-exo-cis-IV (R8 = R9 = H) was also prepared
ΙT
     97250-98-1P
                   97277-17-32
                                 97277-18-42
     97277-19-5P
     RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT
     (Reactant or reagent)
        (preparation and hydrogenolysis of)
RN
     97250-98-1 HCAPLUS
     2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
CN
```

```
2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, phenylmethyl ester, [1S-[1\alpha, 2[R*(R*)], 3\beta, 5\alpha]]- (9CI) (CA INDEX NAME)
```



RN 97277-17-3 HCAPLUS

```
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
phenylmethyl ester, [1R-[1α,2[S*(S*)],3β,5α]]- (9CI) (CA
```

INDEX NAME)

Absolute stereochemistry.



- RN 97277-18-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, phenylmethyl ester, [1R-[1α,2[S\*(S\*)],3α,5α]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



RN 97277-19-5 HCAPLUS

```
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
phenylmethyl ester, [1S-[1α,2[R*(R*)],3α,5α]]- (9CI)
(CA INDEX NAME)
```



- IT 97250-99-2P 97277-21-9P
  RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT
  (Reactant or reagent)
   (preparation and saponification of)
- RN 97250-99-2 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, monohydrochloride, [1S-[1α,2[R\*(R\*)],3β,5α]]- (9CI) (CA INDEX NAME)



```
RN 97277-21-9 HCAPLUS
```

```
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
```

```
monohydrochloride, [1R-[1\alpha, 2[S^*(S^*)], 3\alpha, 5\alpha]] - (9CI) (CA
INDEX NAME)
```



- HC1
- ΙT 97251-00-8P 97277-20-8P 97277-22-02 97334-49-12 RL: SPN (Synthetic preparation); PREP (Preparation) (preparation of)
- RN 97251-00-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]-,  $[1S-[1\alpha, 2[R^*(R^*)], 3\beta, 5\alpha]] - (9CI)$  (CA INDEX NAME)



- RN 97277-20-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, monohydrochloride,  $[1R-[1\alpha, 2[S^*(S^*)], 3\beta, 5\alpha]] - (9CI)$  (CA INDEX NAME)



- RN 97277-22-0 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, monohydrochloride, [1S-[1α,2[R\*(R\*)],3α,5α]]- (9CI) (CA INDEX NAME)





- RN 97334-49-1 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, [1R-[1a,2[S\*(S\*)],3a,5a]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 7 THERE ARE 7 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS) L49 ANSWER 87 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 1978:529383 HCAPLUS Full-text DOCUMENT NUMBER: 89:129383 ORIGINAL REFERENCE NO.: 89:20017a,20020a TITLE: Acylazabicyclohexanes INVENTOR(S): Fanshawe, William Joseph; Epstein, Joseph William; Crawley, Lantz Stephen; Hofmann, Corris Mabelle; Safir, Sidney Robert PATENT ASSIGNEE(S): American Cyanamid Co., USA SOURCE: U.S., 5 pp. CODEN: USXXAM DOCUMENT TYPE: Patent LANGUAGE: English FAMILY ACC. NUM. COUNT: 4 PATENT INFORMATION: PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ US 4088652 А 19780509 US 1976-749578 19761210 <--19770811 <--GB 1590901 19810610 GB 1977-33818 A US 1975-600559 A1 19750731 <--PRIORITY APPLN. INFO.: OTHER SOURCE(S): CASREACT 89:129383; MARPAT 89:129383 ΕD Entered STN: 12 May 1984 GI



AB The acylazabicyclohexanes I (R, R1 = H, C1-6 alkyl; R2 = H, C1-6 alkyl, C3-6 cycloalkyl, Ph, halophenyl, furyl, adamantyl, naphthyl, norbornyl; R3, R5 = H, halo, C1-6 alkoxy; R4 = H, halo, C1-6 alkyl, C1-6 alkoxy, F3C, NO2, NH2, AcNH, HO) were prepared Thus, 1-phenyl-1,2-cyclopropanedicarboximide was reduced with Na(MeOCH2CH2O)2AlH2 to give 1-phenyl-3-azabicyclo[3.1.1]hexane, which was acylated with cyclopropanecarbonyl chloride to give I (R, R1, R3, R4, R5 = H, R2 = cyclopropyl).
IT 67644-24-02 RL: SPN (Synthetic preparation); PREP (Preparation)

- (preparation of)
- RN 67644-24-0 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-3-propanamide,  $\beta$ -oxo-N,1-diphenyl- (CA

13/308,658

INDEX NAME)

N C CH2 C NHPh Ρh

OS.CITING REF COUNT: 4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS)

=> d que	nos 14	7
L1	1	SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON US2001-788173/APPS
L12		STR
L14	8057	SEA FILE=REGISTRY SSS FUL L12
L17		STR
L19	4	SEA FILE=REGISTRY SUB=L14 SSS FUL L17
L20		STR
L22	8057	SEA FILE=REGISTRY SUB=L14 SSS FUL L20
L23	8053	SEA FILE=REGISTRY SPE=ON ABB=ON PLU=ON L22 NOT L19
L24		QUE SPE=ON ABB=ON PLU=ON ROBL, J?/AU,AUTH,IN
L25		QUE SPE=ON ABB=ON PLU=ON SULSKY, R?/AU,AUTH,IN
L26		QUE SPE=ON ABB=ON PLU=ON SULSKY, D?/AU,AUTH,IN
L27		QUE SPE=ON ABB=ON PLU=ON AUGERI, D?/AU,AUTH,IN
L28		QUE SPE=ON ABB=ON PLU=ON MAGNIN, D?/AU,AUTH,IN
L29		QUE SPE=ON ABB=ON PLU=ON HAMANN, L?/AU,AUTH,IN
L30		QUE SPE=ON ABB=ON PLU=ON BETEBENNER, D?/AU,AUTH,IN
L39		STR
L41	6632	SEA FILE=REGISTRY SUB=L14 SSS FUL L39
L42	1421	SEA FILE=REGISTRY SPE=ON ABB=ON PLU=ON L23 NOT L41
L44	427	SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L42
L45	15	SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L44 AND (L24 OR L25
		OR L26 OR L27 OR L28 OR L29 OR L30)
L46	0	SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L1 NOT L45
L47	15	SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON (L45 OR L46)

=> d his 156

(FILE 'MEDLINE, BIOSIS, EMBASE, CABA, BIOTECHNO, DRUGU, VETU, TOXCENTER, NAPRALERT' ENTERED AT 09:09:45 ON 01 MAY 2012) CHARGED TO COST=TC1600 L56 10 S L55 AND L24-L30

=> d que	nos 150	5									
L12		STR									
L14	8057	SEA	FILE=REGI	STRY S	SSS F	UL L1	2				
L17		STR									
L19	4	SEA	FILE=REGI	STRY S	SUB=L	14 SS	S FUL	L17			
L20		STR									
L22	8057	SEA	FILE=REGI	STRY S	SUB=L	14 SS	S FUL	L20			
L23	8053	SEA	FILE=REGI	STRY S	SPE=O	n ab	B=ON	PLU=ON	L22	NOT J	L19
L24		QUE	SPE=ON	ABB=OI	N PL	U=ON	ROBL,	J?/AU	,AUTH,	,IN	
L25		QUE	SPE=ON	ABB=OI	N PL	U=ON	SULSF	KY, R?/	AU, AU	ΓΗ, IN	
L26		QUE	SPE=ON	ABB=01	N PL	U=ON	SULSK	(Y, D?/	AU, AU	ΓΗ, IN	
L27		QUE	SPE=ON	ABB=01	N PL	U=ON	AUGEF	RI, D?/	AU, AU	ΓΗ, IN	
L28		QUE	SPE=ON	ABB=01	N PL	U=ON	MAGNI	IN, D?/	AU, AU	ΓΗ, IN	
L29		QUE	SPE=ON	ABB=01	N PL	U=ON	HAMAN	JN, L?/	AU, AU	ΓΗ, IN	
L30		QUE	SPE=ON	ABB=OI	N PL	U=ON	BETEE	BENNER,	D?/AU	J <b>,</b> AUTH	H,IN
L39		STR									
L41	6632	SEA	FILE=REGI	STRY S	SUB=L	14 SS	S FUL	L39			
L42	1421	SEA	FILE=REGI	STRY :	SPE=O	n ab	B=ON	PLU=ON	L23	NOT J	L41

L54 961 SEA FILE=REGISTRY SPE=ON ABB=ON PLU=ON L42 AND (MEDLINE OR BIOSIS OR EMBASE OR CABA OR BIOTECHNO OR DRUGU OR VETU OR TOXCENTER OR NAPRALERT)/LC L55 859 SEA L54

L56 10 SEA L55 AND (L24 OR L25 OR L26 OR L27 OR L28 OR L29 OR L30)

=> dup rem 147 156 FILE 'HCAPLUS' ENTERED AT 09:18:09 ON 01 MAY 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)

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=> file stnguide FILE 'STNGUIDE' ENTERED AT 09:18:22 ON 01 MAY 2012 USE IS SUBJECT TO THE TERMS OF YOUR CUSTOMER AGREEMENT COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS) CHARGED TO COST=TC1600

FILE CONTAINS CURRENT INFORMATION. LAST RELOADED: Apr 27, 2012 (20120427/UP). => d ibib ed abs hitstr 1-15 YOU HAVE REQUESTED DATA FROM FILE 'HCAPLUS, EMBASE' - CONTINUE? (Y)/N:y L57 ANSWER 1 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 1 ACCESSION NUMBER: 2009:672585 HCAPLUS Full-text DOCUMENT NUMBER: 151:115551 TITLE: Pharmacokinetics of the dipeptidyl peptidase 4 inhibitor saxagliptin in rats, dogs, and monkeys and clinical projections AUTHOR(S): Fura, Aberra; Khanna, Ashish; Vyas, Viral; Koplowitz, Barry; Chang, Shu-Ying; Caporuscio, Christian; Boulton, David W.; Christopher, Lisa J.; Chadwick, Kristina D.; Hamann, Lawrence G.; Humphreys, W. Griffith; Kirby, Mark CORPORATE SOURCE: Pharmaceutical Candidate Optimization, Research and Development, Bristol-Myers Squibb, Princeton, NJ, USA SOURCE: Drug Metabolism and Disposition (2009), 37(6), 1164-1171 CODEN: DMDSAI; ISSN: 0090-9556 American Society for Pharmacology and Experimental PUBLISHER: Therapeutics DOCUMENT TYPE: Journal LANGUAGE: English ΕD Entered STN: 04 Jun 2009

Saxagliptin is a potent, selective, reversible dipeptidyl peptidase 4 (DPP4) AB inhibitor specifically designed for extended inhibition of the DPP4 enzyme and is currently under development for the treatment of type-2 diabetes. The pharmacokinetics of saxagliptin were evaluated in rats, dogs, and monkeys and used to predict its human pharmacokinetics. Saxagliptin was rapidly absorbed and had good bioavailability (50-75%) in the species tested. The plasma clearance of saxaqliptin was higher in rats (115 mL/min/kg) than in dogs (9.3 mL/min/kg) and monkeys (14.5 mL/min/kg) and was predicted to be low to moderate in humans. The plasma elimination half-life was between 2.1 and 4.4 h in rats, dogs, and monkeys, and both metabolism and renal excretion contributed to the overall elimination. The primary metabolic clearance pathway involved the formation of a significant circulating, pharmacol. active hydroxylated metabolite, M2. The volume of distribution values observed in rats, dogs, and monkeys  $(1.3-5.2 \ 1/kg)$  and predicted for humans (2.7 l/kg) were greater than those for total body water, indicating extravascular distribution. The in vitro serum protein binding was low (≤30%) in rats, dogs, monkeys, and humans. After intra-arterial administration of saxagliptin to Sprague-Dawley and Zucker diabetic fatty rats, higher levels of saxagliptin and M2 were observed in the intestine (a proposed major site of drug action) relative to that in plasma. Saxagliptin has prolonged pharmacodynamic properties relative to its plasma pharmacokinetic profile, presumably due to addnl. contributions from M2, distribution of saxagliptin and M2 to the intestinal tissue, and prolonged dissociation of both saxagliptin and M2 from DPP4.

IT 841302-24-7

RL: PKT (Pharmacokinetics); BIOL (Biological study)

(pharmacokinetics of dipeptidyl peptidase 4 inhibitor saxagliptin in rats, dogs, and monkeys and clin. projections)

- RN 841302-24-7 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
- 2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- IT 361442-04-8, Saxagliptin
  RL: PKT (Pharmacokinetics); THU (Therapeutic use); BIOL (Biological
  study); USES (Uses)
   (pharmacokinetics of dipeptidyl peptidase 4 inhibitor saxagliptin in
   rats, dogs, and monkeys and clin. projections)
- RN 361442-04-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT:	20 THERE ARE 20 CAPLUS RECORDS THAT CITE THIS RECORD (20 CITINGS)
REFERENCE COUNT:	16 THERE ARE 16 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
L57 ANSWER 2 OF 16 H ACCESSION NUMBER: DOCUMENT NUMBER:	CAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 2 2008:187464 HCAPLUS <u>Full-text</u> 148:443309
TITLE:	Involvement of DPP-IV catalytic residues in enzyme-saxagliptin complex formation
AUTHOR(S):	Metzler, William J.; Yanchunas, Joseph; Weigelt, Carolyn; Kish, Kevin; Klei, Herbert E.; Xie, Dianlin;

Zhang, Yaqun; Corbett, Martin; Tamura, James K.; He, Bin; Hamann, Lawrence G.; Kirby, Mark S.; Marcinkeviciene, Jovita CORPORATE SOURCE: Department of Molecular Biosciences, Bristol-Myers Squibb Research and Development, Princeton, NJ, 08543-4000, USA SOURCE: Protein Science (2008), 17(2), 240-250 CODEN: PRCIEI; ISSN: 0961-8368 PUBLISHER: Cold Spring Harbor Laboratory Press DOCUMENT TYPE: Journal LANGUAGE: English ΕD Entered STN: 14 Feb 2008

- AB The inhibition of DPP-IV by saxagliptin has been proposed to occur through formation of a covalent but reversible complex. To evaluate further the mechanism of inhibition, we determined the x-ray crystal structure of the DPP-IV:saxagliptin complex. This structure reveals covalent attachment between S630 and the inhibitor nitrile carbon (C-O distance <1.3 Å). To investigate whether this serine addition is assisted by the catalytic His-Asp dyad, we generated two mutants of DPP-IV, S630A and H740Q, and assayed them for ability to bind inhibitor. DPP-IVH740Q bound saxaqliptin with an .apprx.1000-fold reduction in affinity relative to DPP-IVWT, while DPP-IVS630A showed no evidence for binding inhibitor. An analog of saxagliptin lacking the nitrile group showed unchanged binding properties to the both mutant proteins, highlighting the essential role S630 and H740 play in covalent bond formation between S630 and saxagliptin. Further supporting mechanism-based inhibition by saxagliptin, NMR spectra of enzyme-saxagliptin complexes revealed the presence of three downfield resonances with low fractionation factors characteristic of short and strong hydrogen bonds (SSHB). Comparison of the NMR spectra of various wild-type and mutant DPP-IV: ligand complexes enabled assignment of a resonance at .apprx.14 ppm to H740. Two addnl. DPP-IV mutants, Y547F and Y547Q, generated to probe potential stabilization of the enzyme-inhibitor complex by this residue, did not show any differences in inhibitor binding either by ITC or NMR. Together with the previously published enzymic data, the structural and binding data presented here strongly support a histidine-assisted covalent bond formation between S630 hydroxyl oxygen and the nitrile group of saxagliptin.
- IT 841302-20-3, BMS 538305
  - RL: BSU (Biological study, unclassified); BIOL (Biological study)
     (BMS 538305; involvement of dipeptidyl peptidase-IV catalytic residues
     in enzyme-saxagliptin complex formation)
- RN 841302-20-3 HCAPLUS
- CN Ethanone, 2-amino-1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(3hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



- IT 361442-04-8DP, Saxagliptin, complex with dipeptidyl peptidase IV
  RL: BPN (Biosynthetic preparation); BSU (Biological study, unclassified);
  PRP (Properties); BIOL (Biological study); PREP (Preparation)
   (involvement of dipeptidyl peptidase-IV catalytic residues in
   enzyme-saxagliptin complex formation)
- RN 361442-04-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)



- IT 361442-04-8, Saxagliptin
  - RL: BSU (Biological study, unclassified); BIOL (Biological study) (involvement of dipeptidyl peptidase-IV catalytic residues in enzyme-saxagliptin complex formation)
- RN 361442-04-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 19 THERE ARE 19 CAPLUS RECORDS THAT CITE THIS RECORD (19 CITINGS) REFERENCE COUNT: 29 THERE ARE 29 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 3 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 3 ACCESSION NUMBER: 2007:789960 HCAPLUS <u>Full-text</u>

147:189414 DOCUMENT NUMBER: TITLE: Preparation of human glucagon-like peptide-1 receptor modulators and their use in the treatment of diabetes and related conditions INVENTOR(S): Haque, Tasir Shamsul; Ewing, William R.; Mapelli, Claudio; Lee, Ving G.; Sulsky, Richard B.; Riexinger, Douglas James; Martinez, Rogelio L.; Zhu, Yeheng; Ruan, Zheming PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA PCT Int. Appl., 193pp. SOURCE: CODEN: PIXXD2 DOCUMENT TYPE: Patent LANGUAGE: English FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION: KIND DATE PATENT NO. APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ WO 2007082264 A2 20070719 WO 2007-US60383 20070111 WO 2007082264 A3 20071221 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA US 20070238669 A1 20071011 US 2007-622142 20070111 EP 1976873 A2 20081008 EP 2007-717953 20070111 R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LI, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, HR T 20090618 JP 2008-550516 A 20080826 NO 2008-2958 JP 2009523177 20070111 NO 2008002958 20080703 A 20080926 IN 2008-DN6096 A 20090401 CN 2007-80008789 IN 2008DN06096 20080711 20080911 CN 101400699 US 2006-758096P P 20060111 PRIORITY APPLN. INFO.: US 2006-758107P P 20060111 P 20060111 US 2006-758164P P 20060111 US 2006-758165P W 20070111 WO 2007-US60383 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): CASREACT 147:189414; MARPAT 147:189414 ΕD Entered STN: 20 Jul 2007 AB The invention provides novel human glucagon-like peptide-1 (GLP-1) receptor modulators Xaa1-Xaa2-Xaa3-Xaa4-Xaa5-Xaa6-Xaa7-Xaa8-Xaa9-Xaa10- Xaa11 [Xaa1-Xaa3, Xaa5-Xaa11 are (certain) naturally or non-naturally occurring amino acid residues; Xaa4 is glycine] that have biol. activity similar or

superior to native GLP-1 peptide and thus are useful for the treatment or

prevention of diseases or disorders associated with GLP activity.

The

compds. include chemical-modified peptides that not only stimulate insulin secretion in type II diabetics, but also produce other beneficial insulinotropic responses. These synthetic peptide GLP-1 receptor modulators exhibit increased stability to proteolytic cleavage making them ideal therapeutic candidates for oral or parenteral administration. The disclosed and claimed peptides show desirable pharmacokinetic properties and desirable potency in efficacy models of diabetes. Thus, MeOCOHis-(S)- $\alpha$ -MePro-EGT-L- $\alpha$ -MePhe(2-fluoro)-TSD-Bip(2'-ethyl-4'-methoxy)-(S)-2-amino-4-(3-phenylphenoxy)butanamide (E, G, T, T, S and D are one-letter amino acid symbols, Bip = biphenylalanine residue) was prepared by the solid-phase method and shown to lower the plasma glucose in an IP glucose tolerance test after s.c. administration in ob/ob mice. NOTE: for 8016 keep the first index entry; for 7050, keep both entries; for 7054, keep first entry. 361442-04-8, Saxagliptin RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses) (codrug; preparation of human GLP-1 receptor modulators and their use in treatment of diabetes and related conditions)

RN 361442-04-8 HCAPLUS

IΤ

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)



OS.CITING REF COUNT:	5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS)
L57 ANSWER 4 OF 16 ACCESSION NUMBER: DOCUMENT NUMBER:	HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 4 2007:1279241 HCAPLUS <u>Full-text</u> 148:121939 Detect per mitrile dimentiale dimential
	inhibitors
AUTHOR(S):	<pre>Simpkins, Ligaya M.; Bolton, Scott; Pi, Zulan; Sutton, James C.; Kwon, Chet; Zhao, Guohua; Magnin, David R.; Augeri, David J.; Gungor, Timur; Rotella, David P.; Sun, Zhong; Liu, Yajun; Slusarchyk, William S.; Marcinkeviciene, Jovita; Robertson, James G.; Wang, Aiying; Robl, Jeffrey A.; Atwal, Karnail S.; Zahler, Robert L.; Parker, Rex A.; Kirby, Mark S.; Namann, Lawrence G.</pre>
CORPORATE SOURCE:	Bristol-Myers Squibb Research and Development, Princeton, NJ, 08543-5400, USA

SOURC	Έ:	Bioorganic & Medicinal Chemistry Letters (2007), 17(23), 6476-6480
ד דקוום	CUFD.	Elsowier Itd
FODLI		
DOCUM	ENT TYPE:	Journal
LANGU	AGE:	English
OTHER	SOURCE(S):	CASREACT 148:121939
ED	Entered STN: 09 Nov	7 2007
AB	The synthesis and s peptidase IV (DDP-I Pl group with other	tructure-activity relationships of novel dipeptidyl V) inhibitors replacing the classical cyanopyrrolidine small nitrogen heterocycles are described. A unique
	acids, particularly (2S, 3R)-2, 3-methano	adamantylglycines, linked to a pyrrolidine based scaffold.
ΙT	361442-04-8, Saxagli	.ptin
	RL: PAC (Pharmacolog (preparation and potential antidia	gical activity); BIOL (Biological study) DDP-IV-inhibiting activity of non-nitrile dipeptides as abetes agents)
RN	361442-04-8 HCAPLUS	
CN	2-Azabicyclo[3.1.0] 2-[(2S)-2-amino-2-(3 (1S,3S,5S)- (CA INI	nexane-3-carbonitrile, 3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, DEX NAME)



```
IΤ
     841302-21-4P
                    841302-27-0P 841302-28-1P
     841302-51-0P
                   1000689-35-9P
                                  1000689-36-0P
     1000689-37-1P
                    1000689-38-2P
                                    1000689-39-3P
     1000689-40-6P
                    1000689-41-7P
                                    1000689-43-9P
    1000689-44-0P
                   1000689-45-1P
                                    1000689-46-2P
     1000689-47-32
                    1000689-48-4P
                                    1000689-49-5P
     1000689-50-8P
                    1000689-52-0P
                                     1000689-53-1P
     1000689-54-22
                                     1000689-56-4P
                    1000689-55-3P
     1000689-57-59
                    1000689-59-7P
                                     1000689-60-0P
     1000689-61-1P
                    1000689-66-6P
     RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL
     (Biological study); PREP (Preparation)
        (preparation and DDP-IV-inhibiting activity of non-nitrile dipeptides as
        potential antidiabetes agents)
     841302-21-4 HCAPLUS
RN
CN
     Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-
     dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)
```



- RN 841302-27-0 HCAPLUS
- CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-(3,5dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)



RN 841302-28-1 HCAPLUS CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3-hydroxy-5,7dimethyltricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 841302-51-0 HCAPLUS
- CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, hydrochloride (1:1), (2S)- (CA INDEX NAME)





Absolute stereochemistry.



- RN 1000689-36-0 HCAPLUS
  CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-phenyl-,
  - (2S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 1000689-37-1 HCAPLUS
- CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(4-chlorophenyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 1000689-38-2 HCAPLUS
CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-(1Himidazol-5-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 1000689-39-3 HCAPLUS
- CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-(4-hydroxyphenyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 1000689-40-6 HCAPLUS CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-(1H-indol-3yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 1000689-41-7 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-2-butanamide,  $\beta$ -amino- $\gamma$ -oxo-, ( $\beta$ S,1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.



Absolute stereochemistry.



Absolute stereochemistry.



Absolute stereochemistry.

NH2 .OMe

RN 1000689-46-2 HCAPLUS
CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-(1,1dimethylethoxy)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 1000689-47-3 HCAPLUS
- CN 1-Butanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-4-hydroxy-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



Absolute stereochemistry.



```
RN 1000689-49-5 HCAPLUS
CN 1-Hexanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-, (2S)- (CA
INDEX NAME)
```



- RN 1000689-50-8 HCAPLUS
- CN 1-Pentanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-4-methyl-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



```
RN 1000689-52-0 HCAPLUS
CN 1-Pentanone,
2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-4,4-dimethyl-
, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.



Absolute stereochemistry.



Absolute stereochemistry.



- RN 1000689-55-3 HCAPLUS
- CN 1-Pentanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-methyl-, (2S,3R)- (CA INDEX NAME)

Absolute stereochemistry.



Absolute stereochemistry.



13/308,658

Absolute stereochemistry.



RN 1000689-59-7 HCAPLUS CN 1-Pentanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-4-methyl-3-(1methylethyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 1000689-60-0 HCAPLUS
- CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3,3,5,5-tetramethylcyclohexyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



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RN 1000689-61-1 HCAPLUS
CN Ethanone, 2-amino-1-[(1R,5S)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3-
```

hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 1000689-66-6 HCAPLUS
- CN Ethanone, 1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-[(3hydroxytricyclo[3.3.1.13,7]dec-1-yl)amino]- (CA INDEX NAME)



OS.CITING REF COUNT:	8	THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD
		(8 CITINGS)
REFERENCE COUNT:	32	THERE ARE 32 CITED REFERENCES AVAILABLE FOR THIS
		RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
L57 ANSWER 5 OF 16	HCAPLUS	COPYRIGHT 2012 ACS on STN DUPLICATE 5
ACCESSION NUMBER:	2005	:1351306 HCAPLUS Full-text
DOCUMENT NUMBER:	144:	186959
TITLE:	Mecha	anism of Gly-Pro-pNA cleavage catalyzed by
	diper	otidyl peptidase-IV and its inhibition by
	saxa	gliptin (BMS-477118)
AUTHOR(S):	Kim,	Young B.; Kopcho, Lisa M.; Kirby, Mark S.;
· · /	Hama	nn, Lawrence G.; Weigelt, Carolyn A.; Metzler,
	Will	iam J.; Marcinkeviciene, Jovita
CORPORATE SOURCE:	Depa	rtment of Chemical Enzymology, Pharmaceutical
	Rese	arch Institute, Bristol Myers-Squibb
	Phar	naceutical Company, Princeton, NJ, 08543-5400, USA
SOURCE:	Arch	ives of Biochemistry and Biophysics (2006).
	445(	1). 9-18
	CODEI	V· ABBIA4: ISSN• 0003-9861
PUBLISHER .	Else	vier
DOCUMENT TYPE .	Jour	
LANCUACE.	UOULI Engl	
LANGUAGE :	Engr.	1211

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ED Entered STN: 30 Dec 2005
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AB Dipeptidyl peptidase-IV (DPP-IV) is a serine protease with a signature Asp-His-Ser motif at the active site. Our pH data suggest that Gly-Pro-pNA cleavage catalyzed by DPP-IV is facilitated by an ionization of a residue with a pK of  $7.2\pm0.1$ . By analogy to other serine proteases this pK is suggestive of His-Asp assisted Ser addition to the P1 carbonyl carbon of the substrate to form a tetrahedral intermediate. Solvent kinetic isotope effect studies yielded a D20kcat/Km =  $2.9\pm0.2$  and a D20kcat =  $1.7\pm0.2$  suggesting that kinetically significant proton transfers contribute to rate limitation during acyl intermediate formation (leaving group release) and hydrolysis. A "burst" of product release during pre steady-state Gly-Pro-pNA cleavage indicated rate limitation in the deacylation half-reaction. Nevertheless, the amplitude of the burst exceeded the enzyme concentration significantly (.apprx.15-fold), which is consistent with a branching deacylation step. All of these data allowed us to better understand DPP-IV inhibition by saxagliptin (BMS-477118). We propose a two-step inhibition mechanism wherein an initial encounter complex is followed by covalent intermediate formation. Final inhibitory complex assembly (kon) depends upon the ionization of an enzyme residue with a pK of  $6.2\pm0.1$ , and we assigned it to the catalytic His-Asp pair which enhances Ser nucleophilicity for covalent addition An ionization with a pK of 7.9±0.2 likely reflects the P2 terminal amine of the inhibitor hydrogen bonding to Glu205/Glu206 in the enzyme active site. The formation of the covalent enzyme-inhibitor complex was reversible and dissociated with a koff of  $(5.5\pm0.4) \times 10-5$  s-1, thus yielding a K\*i (as koff/kon) of 0.35 nM, which is in good agreement with the value of 0.6 nM obtained from steady-state inhibition studies. Proton NMR spectra of DPP-IV showed a downfield resonance at 16.1 ppm. Two addnl. peaks in the 1H NMR spectra at 17.4 and 14.1 ppm were observed upon mixing the enzyme with saxagliptin. Fractionation factors (.vphi.) of 0.6 and 0.5 for the 17.4 and 14.1 ppm peaks, resp., are suggestive of short strong hydrogen bonds in the enzyme-inhibitor complex.

IT 361442-04-8, Saxagliptin

RL: BSU (Biological study, unclassified); BIOL (Biological study)
 (Glu205/Glu206 residue of dipeptidyl peptidase-IV plays important role
 in saxagliptin binding through short strong hydrogen bonds)

- RN 361442-04-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 30 THERE ARE 30 CAPLUS RECORDS THAT CITE THIS

	RECORD (31 CITINGS)
REFERENCE COUNT:	42 THERE ARE 42 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
L57 ANSWER 6 OF 16 HCA	PLUS COPYRIGHT 2012 ACS on STN DUPLICATE 6
ACCESSION NUMBER:	2005:493507 HCAPLUS Full-text
DOCUMENT NUMBER:	143:43869
TITLE:	Preparation of nitrogen containing bicyclic
	pyridine-based derivatives as inhibitors of HMG CoA
	reductase
INVENTOR(S):	O'Connor, Stephen P.; Robl, Jeffrey; Ahmad, Saleem;
	Bisaha, Sharon; Murugesan, Natesan; Ngu, Khehyong;
	Shi, Yan; Stein, Philip D.; Soundararajan, Nachimuthu;
	Natalie, Kenneth J., Jr.; Kolla, Laxma R.; Sausker,
	Justin; Quinlan, Sandra L.; Fan, Junying; Petsch,
	Dejah; Guo, Zhenrong
PATENT ASSIGNEE (S):	Bristol-Myers Squibb Company, USA
SOURCE:	CODEN, DIVUDO
DOCUMENT TYDE.	CODEN: PIXADZ
LANCUACE.	
EANGUAGE.	1
PATENT INFORMATION:	1

	PA	FENT 1	NO.			KIN	D	DATE			APPL	ICAT	ION	NO.		D.	ATE	
	WO	2005	0513	86		 A1	_	2005	0609	;	WO 2	004-	 US39	 051		2	0041	 119
		W:	ΑE,	AG,	AL,	AM,	ΑT,	AU,	ΑZ,	BA,	BB,	BG,	BR,	BW,	ΒY,	ΒZ,	CA,	CH,
			CN,	сο,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	ΕE,	ΕG,	ΕS,	FΙ,	GB,	GD,
			GE,	GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	KE,	KG,	ΚΡ,	KR,	KΖ,	LC,
			LK,	LR,	LS,	LT,	LU,	LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	MZ,	NA,	NI,
			NO,	NΖ,	OM,	PG,	PH,	PL,	ΡT,	RO,	RU,	sc,	SD,	SE,	SG,	SK,	SL,	SΥ,
			ΤJ,	ΤM,	ΤN,	TR,	ΤT,	ΤΖ,	UA,	UG,	US,	UΖ,	VC,	VN,	YU,	ZA,	ZM,	ΖW
		RW:	BW,	GH,	GM,	KE,	LS,	MW,	MZ,	NA,	SD,	SL,	SΖ,	ΤΖ,	UG,	ZM,	ZW,	AM,
			ΑΖ,	ΒY,	KG,	KΖ,	MD,	RU,	ΤJ,	ΤM,	ΑT,	ΒE,	BG,	CH,	CY,	CZ,	DE,	DK,
			ΕE,	ES,	FI,	FR,	GB,	GR,	HU,	IE,	IS,	IT,	LU,	MC,	NL,	PL,	ΡT,	RO,
			SE,	SI,	SK,	TR,	BF,	ΒJ,	CF,	CG,	CI,	CM,	GA,	GN,	GQ,	GW,	ML,	MR,
			NE,	SN,	ΤD,	ΤG												
	US	2005	0171	140		A1		2005	0804		US 2	004-	9891	38		2	0041	115
	US	7420	059			В2		2008	0902									
	ΕP	1684	754			A1		2006	0802		EP 2	004-	8117	19		2	0041	119
		R:	ΑT,	ΒE,	CH,	DE,	DK,	ES,	FR,	GB,	GR,	IT,	LI,	LU,	NL,	SE,	MC,	ΡT,
			IE,	SI,	LT,	LV,	FΙ,	RO,	MK,	CY,	AL,	TR,	BG,	CZ,	ΕE,	HU,	PL,	SK,
			HR,	IS,	YU													
PRIO	RIT	APP	LN.	INFO	.:						US 2	003-	5235	46P		P 2	0031	120
											US 2	004-	9891	38		A 2	0041	115
										1	WO 2	004-	US39	051	1	W 2	0041	119
ASSI	GNME	ENT H	ISTO	RY FO	OR U	S PA'	TENI	AVA	ILAB	LE I	N LS	US D	ISPL.	AY F	ORMA	Т		
OTHER	R SC	DURCE	(S):			MAR	PAT	143:	43869	9								
ED	Ent	cered	STN	: 1	0 Ju:	n 20	05											
GI																		

\* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT \*

- AB Title compds. I [Het = 5- to 8-membered ring including at least one nitrogen atom with provisions; n = 0-1; R1 and R2 independently = H, alkyl, alkenyl, etc.; R3 = H, aryl, cycloalkyl, etc.; R4 and R5 independently = H, alkyl; X = -CR6R7-CR6aR7a-, -CR6=CR7-; R6, R7, R6a and R7a independently = H, alkyl] and their pharmaceutically acceptable salts, are prepared and disclosed as inhibitors of HMG CoA reductase. Thus, e.g., II was prepared by cyclization of Et 2-amino-4-(4-fluorophenyl)-6-isopropyl-5-methoxycarbonyl-3pyridinepropanoate (preparation given) followed by a reduction/sulfonylation/reduction sequence to give [4-(4-fluorophenyl)-2-isopropyl-8-methanesulfonyl-5,6,7,8tetrahydro[1,8]naphthyridin-3-yl]-methanol (III). III was oxidized to the resp. aldehyde and coupled with 1,1-dimethylethyl(4R,6S)-2,2-dimethyl-6-(1phenyl-1H-tetrazole-5-sulfonylmethyl)-[1,3]dioxan-4-yl-acetate followed by ring opening to give II. I should display activity as inhibitors of HMG CoA reductase (no data given). I as inhibitors of HMG CoA reductase inhibitors should prove useful in the treatment of, but not limited to, hyperlipidemia, dyslipidemia, and atherosclerosis. Pharmaceutical compns. comprising I are disclosed. ΙT 361442-04-8, BMS 477118 RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
- (claimed co-drug; preparation of nitrogen-containing bicyclic
- pyridine-based

derivs. as inhibitors of HMG CoA reductase)

- RN 361442-04-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT:	6	THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS)
REFERENCE COUNT:	7	THERE ARE 7 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
L57 ANSWER 7 OF 16	HCAPLUS	COPYRIGHT 2012 ACS on STN DUPLICATE 7
ACCESSION NUMBER:	2005	:543673 HCAPLUS Full-text
DOCUMENT NUMBER:	143:	221803
TITLE:	Disc	overy and Preclinical Profile of Saxagliptin
	(BMS	-477118): A Highly Potent, Long-Acting, Orally

CORPORATE SOURCE: Department of Discovery Chemistry, Bristol-Myers Squibb, Princeton, NJ, 08543-5400, USA Journal of Medicinal Chemistry (2005), 48(15), 5025-5037 CODEN: JMCMAR; ISSN: 0022-2623	AUTHOR(S):	Active Dipeptidyl Peptidase IV Inhibitor for the Treatment of Type 2 Diabetes Augeri, David J.; Robl, Jeffrey A.; Betebenner, David A.; Magnin, David R.; Khanna, Ashish; Robertson, James G.; Wang, Aiying; Simpkins, Ligaya M.; Taunk, Prakash; Huang, Qi; Han, Song-Ping; Abboa-Offei, Benoni; Cap, Michael; Xin, Li; Tao, Li; Tozzo, Effie; Welzel, Gustav E.; Egan, Donald M.; Marcinkeviciene, Jovita; Chang, Shu Y.; Biller, Scott A.; Kirby, Mark S.; Parker, Rex A.; Namann, Lawrence
Squibb, Princeton, NJ, 08543-5400, USA SOURCE: Journal of Medicinal Chemistry (2005), 48(15), 5025-5037 CODEN: JMCMAR; ISSN: 0022-2623	CORPORATE SOURCE:	Department of Discovery Chemistry, Bristol-Myers
CODEN: JMCMAR; ISSN: 0022-2623	SOURCE:	Squibb, Princeton, NJ, 08543-5400, USA Journal of Medicinal Chemistry (2005), 48(15), 5025-5037
		CODEN: JMCMAR; ISSN: 0022-2623
PUBLISHER: American Chemical Society	PUBLISHER:	American Chemical Society
DOCUMENT TYPE: Journal	DOCUMENT TYPE:	Journal
LANGUAGE: English	LANGUAGE :	English
OTHER SOURCE(S): CASREACT 143:221803	OTHER SOURCE(S):	CASREACT 143:221803
ED Entered STN: 24 Jun 2005	ED Entered STN: 24 Jur	1 2005
AB Efforts to further elucidate structure-activity relationships (SAR) within	AB Efforts to further e	elucidate structure-activity relationships (SAR) within
the authors previously disclosed series of $eta$ -quaternary amino acid linked	the authors previou	sly disclosed series of $eta$ -quaternary amino acid linked
L-cis-4,5-methanoprolinenitrile dipeptidyl peptidase IV (DPP-IV)	L-cis-4,5-methanopr	olinenitrile dipeptidyl peptidase IV (DPP-IV)
inhibitors led to the investigation of vinyl substitution at the $eta$ -position	inhibitors led to th	he investigation of vinyl substitution at the $\beta$ -position
of $\alpha$ -cycloalkyl-substituted glycines. Despite poor systemic exposure, vinyl-substituted compds. showed extended duration of action in acute rat ex vivo plasma DPP-IV inhibition models. Oxygenated putative metabolites were prepared and were shown to exhibit the potency and extended duration of action of their precursors in efficacy models measuring glucose clearance in Zuckerfa/fa rats. Extension of this approach to adamantylglycine-derived inhibitors led to the discovery of highly potent inhibitors, including hydroxyadamantyl compound BMS-477118 (saxagliptin), a highly officacious, stable, and long pating DBP-IV inhibitors which is	of α-cycloalkyl-sub vinyl-substituted c ex vivo plasma DPP- were prepared and w of action of their pr in Zuckerfa/fa rats adamantylglycine-de inhibitors, includi	stituted glycines. Despite poor systemic exposure, ompds. showed extended duration of action in acute rat IV inhibition models. Oxygenated putative metabolites ere shown to exhibit the potency and extended duration recursors in efficacy models measuring glucose clearance . Extension of this approach to rived inhibitors led to the discovery of highly potent ng hydroxyadamantyl compound BMS-477118 (saxagliptin),
a highly efficacious, stable, and long-acting DPP-10 inhibitor, which is	a migniy emicaciou	a cline trials for treatment of type 2 diabetes
TT 361441-54-5p 361441-75-0p 361441-99-8p	TT 361441-54-50 3614/	9 crime criats for creatment of type 2 diabetes.
361442~05~90	361442-05-92	
RL: PAC (Pharmacological activity); PKT (Pharmacokinetics); SPN (Synthetic	RL: PAC (Pharmacoloc	rical activity); PKT (Pharmacokinetics); SPN (Synthetic
<pre>preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)</pre>	preparation); THU (I (Preparation); USES	Therapeutic use); BIOL (Biological study); PREP (Uses)
(discovery and preclin. profile of saxagliptin (BMS-477118) as highly potent and long-acting and orally active dipeptidyl peptidase IV	(discovery and pr potent and long-a	ceclin. profile of saxagliptin (BMS-477118) as highly acting and orally active dipeptidyl peptidase IV
Infibitor for treatment of type 2 diabetes)	INNIDILOF LOF LFE	salment of type 2 diabetes)
RN = 501441-54-5 = HCAPLOS	$CN = 2 - \lambda z_2 b_i cyclo [3, 1, 0] k$	o november: and the second se
2 - [(2S) - 2 - amino - 2 - (1 - ethenyloyclopentyl) = (1S - 3S - 5S) - (1 - ethenyloyclopentyl) = (1S - 3S - 5S) - (1 - ethenyloyclopentyl) = (1 - ethenyloyclopentylopentylopentyl) = (1 - ethenyloyclopentyl)	2 = AZabicycio[3.1.0]	-ethenvlcvclopentvl)acetvll- (15 35 55)-
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)	2,2,2-trifluoroaceta	ate (1:1) (CA INDEX NAME)
CM 1	CM 1	
CRN 361441-53-4	CRN 361441-53-4	
CMF C15 H21 N3 O	CMF C15 H21 N3 O	
Absolute stereochemistry.	Absolute stereochemistry	



CM 2 CRN 76-05-1 CMF C2 H F3 O2



```
RN 361441-75-0 HCAPLUS
```

```
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclopentyl]acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361441-74-9 CMF C14 H21 N3 O2

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2



```
RN 361441-99-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-tricyclo[3.3.1.13,7]dec-1-ylacetyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
```

```
CRN 361441-98-7
```

CMF C18 H25 N3 O

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2



```
RN 361442-05-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

```
CRN 361442-04-8
CMF C18 H25 N3 O2
```



CM 2

CRN 76-05-1 CMF C2 H F3 O2

СО2Н

```
ΙT
    361442-09-3P 361442-44-6P
                                 841302-57-6P
     862590-85-0P 862590-86-1P 862590-87-2P
     862590-88-3P 862590-89-4P
                                 862590-90-7P
     862590-91-8P 862590-93-0P
                                  862590-94-1P
     862590-95-2P 862590-96-3P
                                  862590-97-4P
    RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU
     (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES
     (Uses)
        (discovery and preclin. profile of saxagliptin (BMS-477118) as highly
       potent and long-acting and orally active dipeptidyl peptidase IV
        inhibitor for treatment of type 2 diabetes)
RN
     361442-09-3 HCAPLUS
CN
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
     2-[(2S)-2-amino-2-(3-fluorotricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
     (1S, 3S, 5S) -, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
     СМ
          1
     CRN 361442-08-2
     CMF C18 H24 F N3 O
Absolute stereochemistry.
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CM 2
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CRN 76-05-1 CMF C2 H F3 O2

$$F - \begin{bmatrix} F \\ I \\ F \end{bmatrix} = CO_2 H$$

Absolute stereochemistry.



RN 841302-57-6 HCAPLUS

```
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 841302-24-7 CMF C18 H25 N3 O3



CM 2 CRN 76-05-1

CMF C2 H F3 O2



```
RN 862590-85-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-dimethyl-1-oxo-4-penten-1-yl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1
CRN 361441-62-5
CMF C13 H19 N3 O
```

Absolute stereochemistry.



СМ

```
CRN 76-05-1
CMF C2 H F3 O2
```

2



```
CRN 361441-55-6
CMF C14 H19 N3 O
```



CM 2

CRN 76-05-1 CMF C2 H F3 O2

$$\mathbf{F} - \begin{bmatrix} \mathbf{F} \\ \mathbf{I} \\ \mathbf{C} - \mathbf{CO}_2 \mathbf{H} \\ \mathbf{I}_F \end{bmatrix}$$

```
RN 862590-88-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(1-ethenylcyclohexyl)acetyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361441-56-7 CMF C16 H23 N3 O



CM 2
```
CRN 76-05-1
CMF C2 H F3 O2
```

 $F - CO_2H$ 

```
RN 862590-89-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(1-ethenylcycloheptyl)acetyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
```

CRN 361441-57-8 CMF C17 H25 N3 O

Absolute stereochemistry.





CRN 76-05-1 CMF C2 H F3 O2



- RN 862590-90-7 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(4-ethenyltetrahydro-2H-pyran-4-yl)acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1 CRN 361441-60-3 CMF C15 H21 N3 O2

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2



```
RN 862590-91-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethylcyclopentyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361441-85-2 CMF C15 H23 N3 O

Absolute stereochemistry.



CM 2 CRN 76-05-1 CMF C2 H F3 O2

$$F - C - CO_2H$$

```
RN 862590-93-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-3,3-dimethyl-1-oxopentyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361441-90-9
    CMF C13 H21 N3 0
```

Absolute stereochemistry.



CM 2 CRN 76-05-1 CMF C2 H F3 O2



2,2,2-trifluoroacetate (1:1) (CA INDEX NAME) CM 1 CRN 361441-91-0 CMF C15 H25 N3 O

Absolute stereochemistry.



```
CM 2
CRN 76-05-1
CMF C2 H F3 O2
```

$$F - \begin{bmatrix} F \\ C - CO_2 H \\ F \end{bmatrix}$$

```
RN 862590-95-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(4-ethyltetrahydro-2H-pyran-4-yl)acetyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361441-89-6 CMF C15 H23 N3 O2

Absolute stereochemistry.



CM 2 CRN 76-05-1 CMF C2 H F3 O2

$$\mathbf{F} - \begin{bmatrix} \mathbf{F} \\ \mathbf{I} \\ \mathbf{C} - \mathbf{CO}_2 \mathbf{H} \\ \mathbf{F} \end{bmatrix}$$

```
RN 862590-96-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclobutyl]acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1
CRN 361441-77-2
CMF C13 H19 N3 O2
```

Absolute stereochemistry.





$$\mathbf{F} - \mathbf{F}_{\mathbf{F}}^{\mathbf{F}} = \mathbf{CO_{2H}}$$

RN 862590-97-4 HCAPLUS

```
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclohexyl]acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361441-78-3 CMF C15 H23 N3 O2

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

CO2H

```
IT 361442-04-8, Saxagliptin
RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL
(Biological study); USES (Uses)
      (discovery and preclin. profile of saxagliptin (BMS-477118) as highly
      potent and long-acting and orally active dipeptidyl peptidase IV
      inhibitor for treatment of type 2 diabetes)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
```

```
CN 2-Azabicyclo[3.1.0]nexane-3-Carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)- (CA INDEX NAME)
```



OS.CITING REF COUNT:	205	THERE ARE 205	CAPLUS RECORDS THA	T CITE THIS		
REFERENCE COUNT:	64 THERE ARE 64 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT					
L57 ANSWER 8 OF 16 HCA ACCESSION NUMBER:	APLUS C 2001:6	OPYRIGHT 2012 93281 HCAPLU	ACS on STN DUPLICA S Full-text	TE 8		
DOCUMENT NUMBER:	135:25	7147				
TITLE:	Preparation of fused cyclopropylpyrrolidine-based					
	inhibi	tors of dipep	tidyl peptidase IV			
INVENTOR(S):	Robl,	Jeffrey A.; S	ulsky, Richard B.;	Augeri,		
	David :	J.; Magnin, D	avid R.; Hamann, La	wrence G.;		
	Betebe	nner, David A	<i>.</i> .			
PATENT ASSIGNEE(S):	Bristo	l-Myers Squib	b Co., USA			
SOURCE:	PCT In	t. Appl., 135	p pp.			
DOCUMENT TYPE.	CODEN: Patant	PIXXDZ				
LANGUAGE •	Englis	h				
FAMILY ACC. NUM. COUNT:	1					
PATENT INFORMATION:	_					
PATENT NO.	KIND	DATE	APPLICATION NO.	DATE		
WO 2001068603	A2	20010920	WO 2001-US7151	20010305		
WO 2001068603	A3	20020214				
W: AE, AG, AL,	AM, AT	, AU, AZ, BA,	BB, BG, BR, BY, CA	, CH, CN, CR,		
CU, CZ, DE,	DK, DM	, DZ, EE, ES,	FI, GB, GD, GE, GH	, GM, HR, HU,		
ID, IL, IN,	IS, JP	, KE, KG, KP,	KR, KZ, LC, LK, LR	, LS, LT, LU,		
LV, MA, MD,	MG, MK	, MN, MW, MX,	NO, NZ, PL, PT, RO	, RU, SD, SE,		
SG, SI, SK,	SL, TJ,	, TM, TR, TT,	TZ, UA, UG, US, UZ,	VN, YU, ZA, ZW		
RW: GH, GM, KE,	LS, MW	, M4, SD, SL, CB CD IE	SZ, TZ, UG, ZW, AT	, BE, CH, CI,		
DE, DR, ES, BI CE CC	CT CM	, GD, GR, IL, GN GN GW	MI MR NE SN TD	, SE, IR, DF, TC		
US 20020019411	Δ1	20020214	IIS 2001–788173	20010216		
US 6395767	B2	20020528	00 2001 /001/0	20010210		
CA 2402894	A1	20010920	CA 2001-2402894	20010305		
CA 2402894	С	20120417				
AU 2001045466	А	20010924	AU 2001-45466	20010305		
EP 1261586	A2	20021204	EP 2001-918383	20010305		
EP 1261586	B1	20080521				
R: AT, BE, CH,	DE, DK	, ES, FR, GB,	GR, IT, LI, LU, NL	, SE, MC, PT,		
IE, SI, LT,	LV, FI	, RO, MK, CY,	AL, TR			
JP 2003531118	Т	20031021	JP 2001-567699	20010305		
JP 4460205	В2	20100512				

HU	20030027	92		A2	2003	1229	F	IU	2003	-279	2			2001	0305
HU	20030027	92		A3	2007	0328									
BR	20010091	15		А	2003	1230	E	BR	2001	-911	.5			2001	0305
ΝZ	520821			А	2004	1126	Ν	JΖ	2001	-520	821			2001	0305
AU	20012454	66		В2	2005	0512	I	ΑU	2001	-245	466			2001	0305
CN	1213028			С	2005	0803	C	CN	2001	-806	315			2001	0305
EP	1559710			A2	2005	0803	E	ΞP	2005	-536	8			2001	0305
EP	1559710			A3	2009	0722									
	R: AT,	BE,	CH,	DE,	DK, ES,	FR,	GB,	GR	, IT	, LI	, LU,	NL,	, SI	E, MC,	, PT,
	IE,	FI,	CY,	TR											
CN	1698601			А	2005	1123	C	CN	2005	-100	78518			2001	0305
TW	258468			В	2006	0721	1	ΓW	2001	-104	965			2001	0305
RU	2286986			C2	2006	1110	F	RU	2002	-125	491			2001	0305
AT	396176			Т	2008	0615	I	Υ	2001	-918	383			2001	0305
PT	1261586			Е	2008	0804	E	PT.	2001	-918	383			2001	0305
ES	2305062			тЗ	2008	1101	E	ES	2001	-918	383			2001	0305
SG	152030			A1	2009	0529	2	SG	2004	-578	3			2001	0305
IL	151372			А	2009	1224	]	ΓL	2001	-151	372			2001	0305
IL	177018			А	2010	0328	]	ΓL	2001	-177	018			2001	0305
PL	207041			В1	2010	1029	E	PL	2001	-365	520			2001	0305
EP	2272825			A2	2011	0112	F	ΞP	2010	-178	907			20010	0305
EP	2272825			A3	2011	0504				-					
	R: AT,	BE,	CH,	CY,	DE, DK,	ES,	FI,	FR	, GB	, GR	, IE,	IT,	, L	I, LU	, MC,
	NL,	PΤ,	SE,	TR											
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ZA	20020068	16		А	2003	1126	Z	ZA	2002	-681	6			20020	0826
NO	20020042	95		А	2002	1106	Ν	10	2002	-429	5			2002	0909
NO	324227			В1	2007	0910									
KR	754089			В1	2007	0831	ŀ	ΚR	2002	-701	1806			20020	0909
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HK	1049330			A1	2008	1114	F	łΚ	2003	-101	079			2003	0214
KR	758407			В1	2007	0914	ŀ	ΚR	2006	-700	4515			2006	0303
IN	2007MN00	184		А	2008	0215	]	ΕN	2007	-MN1	84			20070	0205
JP	20100771	63		А	2010	0408		JP	2010	-618	1			2010	0114
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							C	CN	2001	-806	315		A3	2001	0305
							E	ΞP	2001	-918	383		A3	2001	0305
							E	ΞP	2005	-536	8		A3	2001	0305
							]	ΓL	2001	-151	372		A3	2001	0305
							- - -	JP	2001	-567	699		A3	20010	0305
							V	VO	2001	-US7	151		W	2001	0305
								ΕN	2002	-MN1	154		A3	20020	0823
							F	KR.	2002	-701	1806		A3	20020	0909
ASSIGNM	ENT HISTO	RY F	OR U	S PA'	TENT AVA	ILAB	LE IN	1 L	SUS	DISE	LAY F	ORMA	λT		
OTHER SO	OURCE(S):			MAR	PAT 135:	2571	47								
ED Ent	tered STN	: 2	1 Sei	p 20	01	_									
				-											

GI



AB Dipeptidyl peptidase IV inhibiting compds. I (x = 0 or 1 and y = 0 or 1 provided that x = 1 when y = 0 and x = 0 when y = 1; n = 0, 1; X = H, CN; R1, R2, R3 and R4 = same or different and independently selected from H, (un) substituted chain or cyclic components) and the pharmaceutically acceptable salts or prodrugs (no data) were prepared Thus L-pyroglutamic acid Et ester was protected, cyclopropanated and reacted further with (S)-N-BOC-isoleucine providing an intermediate II which reacted further to yield the fused cyclopropylpyrrolidine III in 57% yield. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases by employing a title DP 4 inhibitor or a combination of DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.

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IΤ
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```
1000689-56-4
                    1098535-01-3
                                    1098535-02-4
     1098535-03-5
                    1098535-04-6
                                    1098535-05-7
     1098535-06-8
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                                    1098535-08-0
     1098535-09-1
                    1098535-10-4
                                    1098535-11-5
     1098535-12-6
                    1098535-13-7
                                   1098535-14-8
     1098535-15-9
                    1098535-16-0
                                    1098535-17-1
     1098535-21-7
                    1098535-23-9
     RL: PRPH (Prophetic)
        (Preparation of fused cyclopropylpyrrolidine-based inhibitors of
        dipeptidyl peptidase IV)
RN
     1000689-56-4 HCAPLUS
CN
     1-Butanone,
2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3,3-dimethyl-,
     (2S)- (CA INDEX NAME)
```



- RN 1098535-01-3 HCAPLUS
- CN Ethanone, 2-amino-1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2tricyclo[3.3.1.13,7]dec-2-yl-, (2S)- (CA INDEX NAME)



- RN 1098535-02-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-(3-amino-4,4-dimethyl-1-oxopentyl)-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 1098535-03-5 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-(3-amino-1-oxo-3-tricyclo[3.3.1.13,7]dec-1-ylpropyl)-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



```
Absolute stereochemistry.
```



RN 1098535-05-7 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2R)-2-methyl-3-(methylamino)-2-(1-methylcyclohexyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



Absolute stereochemistry.



RN 1098535-07-9 HCAPLUS CN INDEX NAME NOT YET ASSIGNED



Absolute stereochemistry.



RN 1098535-09-1 HCAPLUS CN INDEX NAME NOT YET ASSIGNED

Absolute stereochemistry.



- RN 1098535-10-4 HCAPLUS
- CN Ethanone, 1-(3-azabicyclo[3.1.0]hex-3-yl)-2-(methylamino)-2-(1methylcyclohexyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



```
RN 1098535-11-5 HCAPLUS
CN 1-Pentanone, 1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-4,4-dimethyl-3-
```

```
(methylamino) - (CA INDEX NAME)
```



- RN 1098535-12-6 HCAPLUS
- CN 1-Pentanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-4,4-dimethyl-, (2S)-(CA INDEX NAME)

Absolute stereochemistry.



Absolute stereochemistry.



RN 1098535-14-8 HCAPLUS

```
CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-[1-
(hydroxymethyl)cyclopentyl]-, (2S)- (CA INDEX NAME)
```



- RN 1098535-15-9 HCAPLUS
- CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2tricyclo[3.3.1.13,7]dec-2-yl-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 1098535-16-0 HCAPLUS
- CN Ethanone, 1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(methylamino)-2-(1methylcyclohexyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 1098535-17-1 HCAPLUS CN INDEX NAME NOT YET ASSIGNED

Absolute stereochemistry.



- RN 1098535-21-7 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-3-amino-3-[1-(hydroxymethyl)cyclopentyl]-2-methyl-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 1098535-23-9 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
  - 2-[(2S)-2-amino-2-[1-(1-methylethyl)cyclobutyl]acetyl]-, (1S,3S,5S)- (CA INDEX NAME)



ΙT	361440-65-5P	361440-66-62	361440-73-5P
	361440-77-92	361440-79-1P	361440-88-2P
	361440-91-7₽	361440-95-1P	361440-97-3P
	361440-99-5P	361441-01-29	361441-03-4P
	361441-04-5P	361441-05-6P	361441-06-7P
	361441-07-8P	361441-08-9P	361441-09-0P
	361441-10-3P	361441-11-4P	361441-12-5P
	361441-13-6P	361441-14-7P	361441-15-8P
	361441-16-9P	361441-17-0P	361441-28-3P
	361441-39-6P	361441-53-4P	361441-54-5P
	361441-55-6P	361441-56-72	361441-57-8P
	361441-58-9P	361441-59-0P	361441-60-3P
	361441-61-4P	361441-62-5P	361441-63-6P
	361441-65-8P	361441-67-02	361441-69-2P
	361441-71-6P	361441-74-9P	361441-75-0P
	361441-77-2P	361441-78-3P	361441-79-42
	361441-80-7P	361441-83-0P	361441-85-2P
	361441-87-4P	361441-88-5P	361441-89-6P
	361441-90-9P	361441-91-0P	361441-92-1P

361441-93-2P 361441-99-8P 361442-05-9P 361442-09-39 361442-11-72 361442-15-1P 361442-16-29 361442-18-4P 361442-19-5P 361442-23-1P 361442-25-3P 361442-30-0P 361442-33-3P 361442-35-5P 361442-38-8P 361442-39-9P 361442-40-2P 361442-41-3P 361442-42-4P 361442-44-6P 361442-48-02 361442-49-1P 361442-50-4P 361442-51-5P 361442-52-6P 361442-53-7P 361442-54-8P 361442-55-92 361442-56-02 361442-58-2P 361485-95-29 RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl peptidase IV) 361440-65-5 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN



```
361440-66-6 HCAPLUS
RN
```

```
CN
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
     2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,3S,5S)-,
     2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

СМ 1

CRN 361440-65-5 CMF C12 H19 N3 O



CM 2 CRN 76-05-1 CMF C2 H F3 O2

$$F - \begin{bmatrix} F \\ C - CO_2 H \end{bmatrix}$$

```
RN 361440-73-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1
```

CRN 361440-72-4 CMF C12 H19 N3 O

Absolute stereochemistry.



CM 2 CRN 76-05-1 CMF C2 H F3 O2



RN 361440-77-9 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

```
2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1R,3S,5R)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1
CRN 361440-76-8
CMF C12 H19 N3 O
```







RN 361440-79-1 HCAPLUS
CN 1-Pentanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-3-methyl-,
hydrochloride (1:1), (2S,3S)- (CA INDEX NAME)

Absolute stereochemistry.



🕒 нсі

- RN 361440-88-2 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,

```
3-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1R,2S,5S)-,
     2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
         1
     СМ
     CRN 361440-87-1
     CMF C12 H19 N3 O
Absolute stereochemistry.
                     Еt
                NH2
     СМ
          2
     CRN 76-05-1
     CMF C2 H F3 O2
     CO2H
     361440-91-7 HCAPLUS
RN
     3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
CN
     3-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,2R,5R)-,
     2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
     СМ
          1
     CRN 361440-90-6
     CMF C12 H19 N3 O
Absolute stereochemistry.
       CN
               NH2
```

CM 2 CRN 76-05-1 CMF C2 H F3 O2

RN 361440-95-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
 2-[(2R)-2-amino-1-oxo-3-[(phenylmethyl)thio]propyl]-, (1S,3S,5S)- (CA
 INDEX NAME)

Absolute stereochemistry.



- RN 361440-97-3 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-(1H-indol-3-yl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361440-99-5 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-4-(methylthio)-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)



RN 361441-01-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-4-methyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-03-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-1-oxohexyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 361441-04-5 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-3-methyl-2-(methylamino)-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)



RN 361441-05-6 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-methyl-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)

• • CN

Absolute stereochemistry.



- RN 361441-06-7 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-1-oxo-4-phenylbutyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-07-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-pyrrolidinylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



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RN 361441-08-9 HCAPLUS
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CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-1-oxo-3-phenylpropyl]-, (1S,3S,5S)- (CA INDEX NAME)
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- RN 361441-09-0 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2R)-2-amino-3-[(1,1-dimethylethyl)thio]-1-oxopropyl]-, (1S,3S,5S)-(CA INDEX NAME)

Absolute stereochemistry.



Absolute stereochemistry.



- RN 361441-11-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[[(2S,3S)-3-methyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)



RN 361441-12-5 HCAPLUS

```
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S,3R)-2-amino-3-methyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.



- RN 361441-13-6 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-cyclohexyl-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-14-7 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-phenylacetyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361441-15-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-(3-cyanophenyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361441-16-9 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-(4-cyanophenyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-17-0 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-(4-hydroxyphenyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)



RN 361441-28-3 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-hydroxy-3-methyl-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 361441-39-6 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

2-[(2S)-2-amino-4-hydroxy-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-53-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethenylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



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RN 361441-54-5 HCAPLUS
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CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcyclopentyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
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CM 1

CRN 361441-53-4 CMF C15 H21 N3 O

Absolute stereochemistry.



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CM 2
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CRN 76-05-1 CMF C2 H F3 O2



- RN 361441-55-6 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethenylcyclobutyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)



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RN 361441-56-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(1-ethenylcyclohexyl)acetyl]-, (1S,3S,5S)- (CA INDEX
    NAME)
```



- RN 361441-57-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
  - 2-[(2S)-2-amino-2-(1-ethenylcycloheptyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-58-9 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethenylcyclooctyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-59-0 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

2-[(2S)-2-amino-2-[(3R,4S)-1-ethenyl-3,4-dimethylcyclopentyl]acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



Absolute stereochemistry.



RN 361441-61-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
 2-[(2S)-2-amino-2-(1-ethenylcyclopropyl)acetyl]-, (1S,3S,5S)- (CA INDEX
 NAME)

Absolute stereochemistry.





Absolute stereochemistry.



- RN 361441-65-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(1-fluoroethenyl)cyclopentyl]acetyl]-, (1S,3S,5S)-(CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-67-0 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(1-fluoroethenyl)cyclobutyl]acetyl]-, (1S,3S,5S)-(CA INDEX NAME)



- RN 361441-69-2 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(1-methylethenyl)cyclopentyl]acetyl]-, (1S,3S,5S)-(CA INDEX NAME)



- RN 361441-71-6 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(1-methylethenyl)cyclobutyl]acetyl]-, (1S,3S,5S)-(CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-74-9 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclopentyl]acetyl]-, (1S,3S,5S)-(CA INDEX NAME)



RN 361441-75-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
 2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclopentyl]acetyl]-, (1S,3S,5S)-,
 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-74-9 CMF C14 H21 N3 O2

Absolute stereochemistry.





CRN 76-05-1 CMF C2 H F3 O2



RN 361441-77-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
 2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclobutyl]acetyl]-, (1S,3S,5S)- (CA
 INDEX NAME)



RN 361441-78-3 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclohexyl]acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- (CA

INDEX NAME)

Absolute stereochemistry.



RN 361441-80-7 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[(3R,4S)-1-(hydroxymethyl)-3,4dimethylcyclopentyl]acetyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361441-83-0 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-4-hydroxy-3,3-dimethyl-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361441-85-2 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-87-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethylcyclobutyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361441-88-5 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
  - 2-[(2S)-2-amino-2-(1-ethylcycloheptyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361441-89-6 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(4-ethyltetrahydro-2H-pyran-4-yl)acetyl]-, (1S,3S,5S)-(CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-90-9 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
  - 2-[(2S)-2-amino-3,3-dimethyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361441-91-0 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3,3-diethyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361441-92-1 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
  - 2-[(2S)-2-amino-3-ethyl-3-methyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 361441-93-2 HCAPLUS

```
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(1-methylethyl)cyclopentyl]acetyl]-, (1S,3S,5S)-
(CA
```

INDEX NAME)

Absolute stereochemistry.



RN 361441-99-8 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
```
2-[(2S)-2-amino-2-tricyclo[3.3.1.13,7]dec-1-ylacetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361441-98-7 CMF C18 H25 N3 O

Absolute stereochemistry.





CRN 76-05-1 CMF C2 H F3 O2



RN 361442-05-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1
CRN 361442-04-8
CMF C18 H25 N3 O2







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RN 361442-09-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3-fluorotricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361442-08-2
    CMF C18 H24 F N3 0
```



CM 2

CRN 76-05-1 CMF C2 H F3 O2



CRN 76-05-1 CMF C2 H F3 O2



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RN 361442-15-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-bicyclo[2.2.1]hept-1-ylacetyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361442-14-0 CMF C15 H21 N3 O

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2



RN 361442-16-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
 2-[(2S)-2-amino-2-(tetrahydro-2H-pyran-4-yl)acetyl]-, (1S,3S,5S)- (CA
 INDEX NAME)

Absolute stereochemistry.



- RN 361442-18-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-phenylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361442-19-5 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-methyl-1-oxo-3-phenylbutyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361442-23-1 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[[(2S)-3,3-dimethyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 361442-25-3 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[[(2S,3S)-3-ethyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361442-30-0 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[[(2S,3R)-3-(1-methylethyl)-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361442-33-3 HCAPLUS

Absolute stereochemistry.



NH2 O Bu-t

- RN 361442-38-8 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
  - 3-[(2S)-2-amino-2-cyclohexylacetyl]-, (1R,2S,5S)- (CA INDEX NAME)



- RN 361442-39-9 HCAPLUS
  CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
- 3-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361442-40-2 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile, 3-[(2S)-2-amino-3-methyl-1-oxobutyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.





- RN 361442-42-4 HCAPLUS
- CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
  - 3-[(2S)-2-amino-2-(1-ethenylcyclopentyl)acetyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



Absolute stereochemistry.



RN 361442-48-0 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

```
2-[(2S)-2-amino-2-(1-methylcyclohexyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)
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- RN 361442-49-1 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethylcyclohexyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361442-50-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-methylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361442-51-5 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

```
2-[(2S)-2-amino-2-[1-(2-propen-1-yl)cyclopentyl]acetyl]-, (1S,3S,5S)-
(CA
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INDEX NAME)

Absolute stereochemistry.



- RN 361442-52-6 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-propylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361442-53-7 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-methylcyclobutyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361442-54-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-cyclopentylacetyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361442-55-9 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
  - 2-[(2S)-2-amino-2-cyclohexylacetyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 361442-56-0 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-cyclobutylacetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361442-58-2 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(1S,3S,5S)-2-azabicyclo[3.1.0]hex-3-ylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



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RN 361485-95-2 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
    3-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, 2,2,2-trifluoroacetate (1:1)
    (CA INDEX NAME)
    CM 1
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CRN 361485-94-1
CMF C12 H19 N3 O
```





CRN 76-05-1 CMF C2 H F3 O2



OS.CITING REF COUNT:	36 THERE ARE 36 CAPLUS RECORDS THAT CITE THIS
	RECORD (61 CITINGS)
REFERENCE COUNT:	11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS
	RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
L57 ANSWER 9 OF 16	HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER:	2010:1573900 HCAPLUS <u>Full-text</u>
DOCUMENT NUMBER:	155:171960
TITLE:	The discovery of the dipeptidyl peptidase-4 (DPP4)
	inhibitor onglyza: from concept to market
AUTHOR(S):	Robl, Jeffrey A.; Hamann, Lawrence G.
CORPORATE SOURCE:	Bristol-Myers Squibb Research & Development,
	Department of Discovery Chemistry - Metabolic
	Diseases, Princeton, NJ, 08543, USA
SOURCE :	RSC Drug Discovery Series (2011), 4(Accounts in Drug
	Discovery), 1-24
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CODEN: RDDSA7; ISSN: 2041-3203
PUBLISHER:
                         Royal Society of Chemistry
DOCUMENT TYPE:
                         Journal; General Review
LANGUAGE:
                         English
    Entered STN: 20 Dec 2010
ΕD
     A review. The modulation of glucagon like peptide-1 in the treatment of
AB
     diabetes, role of dipeptidyl peptidase-4 (DPP4) as a target for diabetes
     treatment, early inhibitors of DPP4, design of Bristol-Myers Squibb's DPP4
     medicinal chemical program, and design of cyclopropyl-fused
     nitrilo-pyrrolidines are briefly described. Structure-activity
     relationship optimization leading to the discovery of saxagliptin, binding
     of saxagliptin to human DPP4, chemical stability of saxagliptin and analogs,
     in vivo efficacy of saxagliptin, peptidase selectivity of saxagliptin,
     synthesis of saxaqliptin, and saxaqliptin development are also shown.
IΤ
     361442-04-8, Onglyza
     RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL
     (Biological study); USES (Uses)
        (understanding biol. function of target, ability to design small mol.
        to interact with critical element of target active site may lead to
        discovery of Onglyza which may be effective for treatment of patient
        with diabetes)
     361442-04-8 HCAPLUS
RN
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
CN
     2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
```

(1S, 3S, 5S) - (CA INDEX NAME)

Absolute stereochemistry.



REFERENCE COUNT: 73 THERE ARE 73 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT L57 ANSWER 10 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2009:288826 HCAPLUS Full-text DOCUMENT NUMBER: 150:330127 TITLE: Preparation of biphenyls and biheteroaryls end-capped with amino acid or peptide derivatives as hepatitis c virus inhibitors INVENTOR(S): Bachand, Carol; Belema, Makonen; Deon, Daniel H.; Good, Andrew C.; Goodrich, Jason; James, Clint A.; Lavoie, Rico; Lopez, Omar D.; Martel, Alain; Meanwell, Nicholas A.; Nguyen, Van N.; Romine, Jeffrey Lee; Ruediger, Edward H.; Snyder, Lawrence B.; St. Laurent, Denis R.; Yang, Fukang; Langley, David R.; Wang, Gan; Hamann, Lawrence G.

PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA U.S. Pat. Appl. Publ., 515pp., Cont.-in-part of U.S. SOURCE: Ser. No. 835,462. CODEN: USXXCO DOCUMENT TYPE: Patent LANGUAGE: English FAMILY ACC. NUM. COUNT: 3 PATENT INFORMATION: KIND DATE APPLICATION NO. PATENT NO. DATE \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ A1 20090312 US 2008-30232 US 20090068140 20080213 US 20080050336 EP 2385048 A1 20080228 US 2007-835462 20070808 A1 20111109 EP 2011-171390 20070809 R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LI, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR, HR PRIORITY APPLN. INFO.: US 2007-835462 A2 20070808 US 2006-836996P P 20060811 A3 20070809 EP 2007-800058 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): CASREACT 150:330127; MARPAT 150:330127 ΕD Entered STN: 12 Mar 2009 GΙ

\* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT \*

- AB The invention is related to 4,4'-disubstituted biphenyls and biheteroaryls in which the substituents in 4 and 4' positions contain structures associated with amino acids and peptides, e.g., I, their pharmaceutical acceptable salts, pharmaceutical compns. and methods for the treatment of hepatitis C virus (HCV) infection. Thus, Pd-coupling of bromide II (preparation given) with boronate III (preparation given), hydrogenolysis, cleavage of the tert-butoxycarbonyl groups and coupling with N-(methoxycarbonyl)-L-valine gave I as an acetate salt. Compds. of the invention were active in an HCV replicon assay.
- IT 1129634-15-6P 1129634-35-0P 1129634-36-1P
  RL: DMA (Drug mechanism of action); PAC (Pharmacological activity); SPN
  (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study);
  PREP (Preparation); USES (Uses)

(drug candidate; preparation of biphenyls and biheteroaryls end-capped with

amino acid or peptide derivs. as hepatitis c virus inhibitors) RN 1129634-15-6 HCAPLUS

CN 1-Butanone, 1,1'-[[1,1'-biphenyl]-4,4'-diylbis[1H-imidazole-5,2-diyl-(1R,3S,5R)-2-azabicyclo[3.1.0]hexane-3,2-diyl]]bis[2-amino-3-methyl-, (2S,2'S)- (CA INDEX NAME)



- RN 1129634-35-0 HCAPLUS
- CN 1-Butanone, 1,1'-[[1,1'-biphenyl]-4,4'-diylbis[1H-imidazole-5,2diyl(1R,3S,5R)-2-azabicyclo[3.1.0]hexane-3,2-diyl]]bis[3-methyl-2-(2pyrimidinylamino)-, (2S,2'S)- (CA INDEX NAME)

PAGE 1-A



PAGE 1-B



- RN 1129634-36-1 HCAPLUS
- CN 1-Butanone, 1,1'-[[1,1'-biphenyl]-4,4'-diylbis[1H-imidazole-5,2diyl(1R,3S,5R)-2-azabicyclo[3.1.0]hexane-3,2-diyl]]bis[3-methyl-2-(2pyrimidinylamino)-, (2S,2'S)-, 2,2,2-trifluoroacetate (1:?) (CA INDEX NAME)
  - CM 1
  - CRN 1129634-35-0 CMF C46 H50 N12 O2

Absolute stereochemistry.

PAGE 1-A



PAGE 1-B



Absolute stereochemistry.



							_									-		
	WO	2006	0142	87		A1		2006	0209	1	WO 2	2005-1	US230	076		2	0050	630
		W:	AE.	AG.	AT.	AM.	AТ.	AII.	A7.	BA.	BB.	BG.	BR.	BW.	BY.	B7.	CA.	CH.
			CN	CO	CR	CII	CZ,	DE	אם,			EC	EE	EG	ES	FT	GR	GD
			GF	CH	CM	чр	чп	тр.	TT.	TN	тс,	. ЦС,	KE	KC	KM	rr,	KB	СD, к7
			υс,	σΠ, τν	TD	TC	по <b>,</b>	тт,	тт,	ти <b>,</b>	MD	MC	MK	MNI	MMT	MV	M7	N7
			ыс,	ыπ,	ыс,	цо, М7	шт <b>,</b>	ьο,	цν,	DT	μD,	, MG,	DII	rin,	en,	ria,	мд <b>,</b>	NA,
			NG,	NL,	NU,	ΝΔ,	ΟM,	PG,	гп <b>,</b>	гь, mm	гı,	, KU,	KU,	SC,	зD,	ъс, vc	SG,	Sr,
			ъь, г.	SM,	SI,	то,	тм,	ΤN,	TR,	ΤΤ,	ΤΔ,	, UA,	UG,	05,	ΟΔ,	vC,	VIN,	10,
		DII	ZA,	ZM,	ZW	au	017	0.5	<b>DH</b>	DI		Па		-				<b>- -</b>
		RW:	AT,	ве,	BG,	СН,	CY,	CΖ,	DE,	DK,	EE,	ES,	Γ⊥,	FR,	GB,	GR,	но,	1E,
			IS,	тт,	ьт,	LU,	MC,	ΝL,	ΡЬ,	РТ,	RO,	SE,	SI,	SK,	TR,	Βғ,	вJ,	CF,
			CG,	CI,	CM,	GA,	GN,	GQ,	GW,	ML,	MR,	, NE,	SN,	ΤD,	ΤG,	BW,	GH,	GM,
			KΕ,	LS,	MW,	ΜZ,	NA,	SD,	SL,	SΖ,	ΤZ,	, UG,	ZM,	ZW,	AM,	ΑZ,	BY,	KG,
			KΖ,	MD,	RU,	ΤJ,	ТΜ											
	CA	2571	794			A1		2006	0209	(	CA 2	2005-2	2571'	794		2	0050	630
	ΕP	1773	877			A1		20070	0418		EP 2	2005-'	7638'	71		2	0050	630
	ΕP	17738	877			В1		2010	0317									
		R:	ΑT,	ΒE,	BG,	CH,	CY,	CZ,	DE,	DK,	ΕE,	ES,	FI,	FR,	GB,	GR,	HU,	IE,
			IS,	IΤ,	LI,	LT,	LU,	MC,	NL,	PL,	ΡT,	RO,	SE,	SI,	SK,	TR,	HR,	LV,
			MK,	YU														
	CN	1010	1033	9		А		20070	0801	(	CN 2	2005-	8002	9543		2	0050	630
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H-H-Aib-EGT-L- $\alpha$ -MePhe(2-fluoro)-TSD-Bip(2'-Et-4'-OMe)-4-(2'-

> methylphenyl)-3-pyridylalanine-NH2 (H, E, G, T, S and D are one-letter amino acid symbols, Aib =  $\alpha$ -aminoisobutyric acid residue, Bip = biphenylalanine

residue) was prepared by the solid-phase method and shown to produce a time-dependent statistically significant decrease in postprandial plasma glucose following s.c. administration in ob/ob mice.

IT 361442-04-8, Saxagliptin

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RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
            (preparation of human glucagon-like-peptide-1 modulators and their use
in
```

treatment of diabetes and related conditions)

- RN 361442-04-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

OS.CITING REF C	OUNT:		3	T	HERE 3 CT	ARE TING	3 C S)	APLUS	S REC	ORDS	THA	T CI	TE T.	HISI	RECORD
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ACCESSION NUMBE DOCUMENT NUMBEF	CR: R:		200 142	5:12 :219	0884 555	HC.	APLU	JS <u>F</u>	ull-	text					
TITLE:			Prej dip	para epti	tion. dyl	of pept	adan idas	nanty se IV	glyc	inam	ide	inhi	bito	rs o	f
INVENTOR(S):			Hama Maga	ann, nin, Rot	Law Dav	renc id R effr	a G. .; S av	; Kh Simpk	anna ins,	, As Lig	hish aya 1	; Ki M.;	rby, Sutto	Mari on, d	k S.; James
PATENT ASSIGNEE	C(S):		Bri	stol	Mye	rs S	quik	b Co	mpan	y, U	SA				
SOURCE:			PCT CODI	Int EN:	. Ap PIXX	pl., D2	69	pp.							
DOCUMENT TYPE:			Pate	ent											
LANGUAGE:			Eng	lish	1										
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PATENT NO.			KIN	D	DATE			APPL	ICAT	ION	NO.		Dž	ATE	
WO 2005012	249		 A2	-	2005	0210		WO 2	004-1	US24	257		2	0040	728
WO 2005012	249		A3		2005	0506									
W: AB	2, AG,	AL,	AM,	ΑT,	AU,	ΑΖ,	ΒA,	BB,	BG,	BR,	BW,	BY,	ΒZ,	CA,	CH,
Cl	I, CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	ΕE,	EG,	ΕS,	FΙ,	GB,	GD,
GE	C, GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	KE,	KG,	ΚΡ,	KR,	KΖ,	LC,

LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, 258

NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG US 20050038020 Α1 20050217 US 2004-899641 20040727 US 6995183 B2 20060207 EP 1658066 A2 20060524 EP 2004-779352 20040728 В1 20090930 EP 1658066 AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, R: IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, PL, SK, HR JP 2007501231 Т 20070125 JP 2006-522608 20040728 EP 1997489 20081203 EP 2008-158967 20040728 Α1 R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LI, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, AL, HR, LT, LV, MK AT 444064 Т 20091015 AT 2004-779352 20040728 ES 2332275 ΤЗ 20100201 ES 2004-779352 20040728 US 20050228021 A1 20051013 US 2005-149414 20050609 US 20050239839 A1 20051027 US 2005-149408 20050609 NO 2006000479 А 20060220 NO 2006-479 20060130 US 2003-491832P P 20030801 PRIORITY APPLN. INFO.: A 20040727 US 2004-899641 EP 2004-779352 A3 20040728 WO 2004-US24257 W 20040728 CASREACT 142:219555; MARPAT 142:219555 OTHER SOURCE(S): ΕD Entered STN: 11 Feb 2005 GI

 $H_{2N} \xrightarrow{A} (V_{N} \xrightarrow{Y})_{m}$ 

AB Title compds. [I; m, n = 0-2; m+n  $\leq 2$ ; dashed bonds form a cyclopropyl ring when Y = CH; X = H, CN; Y = CH, CH2, CHF, CF2, O, S, SO, SO2; A =(substituted) adamantyl], were prepared Thus, (S)-(3-hydroxy-5,7-dimethyladamantan-1-yl)glycine pyrrolidinamide (preparation from 3,5-dimethyladamantane-1-carboxylic acid given) at 3  $\mu$ mol/kg orally in rats gave a 39% reduction in serum glucose after 4 h. IΤ 841302-20-32 841302-21-42 841302-24-72 841302-27-02 841302-26-9P 841302-28-1P 841302-29-29 841302-30-5P 841302-31-6P 841302-32-72 RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

(Uses) (claimed compound; preparation of adamantyglycinamide inhibitors of dipeptidyl peptidase IV)

- RN 841302-20-3 HCAPLUS
- CN Ethanone, 2-amino-1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(3hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 841302-21-4 HCAPLUS
- CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3,5dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 841302-24-7 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



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RN 841302-26-9 HCAPLUS
CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-
tricyclo[3.3.1.13,7]dec-1-yl-, (2S)- (CA INDEX NAME)
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RN 841302-27-0 HCAPLUS CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-(3,5dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



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RN 841302-28-1 HCAPLUS
CN Ethanone,
2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3-hydroxy-5,7-
dimethyltricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.



- RN 841302-29-2 HCAPLUS
- CN Acetamide, N-[3-[(1S)-1-amino-2-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2oxoethyl]tricyclo[3.3.1.13,7]dec-1-yl]- (CA INDEX NAME)



- RN 841302-30-5 HCAPLUS
- CN Propanamide, N-[3-[(1S)-1-amino-2-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2oxoethyl]tricyclo[3.3.1.13,7]dec-1-yl]-2-methyl- (CA INDEX NAME)



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RN 841302-31-6 HCAPLUS
CN Benzeneacetamide,
N-[3-[(1S)-1-amino-2-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-
2-oxoethyl]tricyclo[3.3.1.13,7]dec-1-yl]- (CA INDEX NAME)
```

Absolute stereochemistry.



- RN 841302-32-7 HCAPLUS
- CN Benzamide, N-[3-[(1S)-1-amino-2-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2oxoethyl]tricyclo[3.3.1.13,7]dec-1-yl]- (CA INDEX NAME)



- IT 841302-51-0P 841302-52-1P 841302-57-6P
  RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU
  (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES
  (Uses)
  - (preparation of adamantyglycinamide inhibitors of dipeptidyl peptidase
- IV) RN 841302-51-0 HCAPLUS
- CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, hydrochloride (1:1), (2S)- (CA INDEX NAME)



- RN 841302-52-1 HCAPLUS
- CN Ethanone, 2-amino-1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(3,5dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, hydrochloride (1:1), (2S)- (CA INDEX NAME)



CM 1

CRN 841302-24-7 CMF C18 H25 N3 O3

Absolute stereochemistry.



CMF C2 H F3 O2

$$\mathbf{F} - \mathbf{F}_{\mathbf{F}}^{\mathbf{F}} = \mathbf{CO_2H}$$

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L57 ANSWER 13 OF 16	HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER:	2005:760338 HCAPLUS Full-text
DOCUMENT NUMBER:	143:367574
TITLE:	Diprolyl nitriles as potent dipeptidyl peptidase IV inhibitors
AUTHOR(S):	Zhao, Guohua; Taunk, Prakash C.; Magnin, David R.; Simpkins, Ligaya M.; Robl, Jeffrey A.; Wang, Aiying; Robertson, James G.; Marcinkeviciene, Jovita; Sitkoff, Doree F.; Parker, Rex A.; Kirby, Mark S.; Mamann, Lawrence G.
CORPORATE SOURCE:	Department of Discovery Chemistry, Pharmaceutical Research Institute, Bristol-Myers Squibb, Princeton, NJ, 08543-5400, USA
SOURCE:	Bioorganic & Medicinal Chemistry Letters (2005), 15(18), 3992-3995 CODEN: BMCLE8; ISSN: 0960-894X
PUBLISHER:	Elsevier B.V.
DOCUMENT TYPE:	Journal
LANGUAGE:	English
OTHER SOURCE(S):	CASREACT 143:367574
ED Entered STN: 15 GI	Aug 2005



AB Dipeptidyl peptidase IV (DPP4) is a multifunctional type II transmembrane serine peptidase which regulates various physiol. processes, most notably plasma glucose homeostasis by cleaving peptide hormones glucagon-like peptide-1 and glucose-dependent insulinotropic polypeptide. Inhibition of DPP4 is a potentially valuable therapy for type 2 diabetes. Synthesis and structure-activity relationships of a series of substituted diprolyl nitriles are described, leading to the identification of compound I with a measured DPP4 Ki of 3.6 nM.

IΤ 361441-07-8P 361441-10-3P 361441-11-4P 361442-23-12 361442-25-32 361442-30-0P 361442-58-29 866321-06-4P 866321-19-9P 866321-23-5P 866321-26-8P 866321-46-2P 866321-48-4P 866321-50-8P 866321-52-0P 866321-54-2P RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL (Biological study); PREP (Preparation) (diprolyl nitriles as potent dipeptidyl peptidase IV inhibitors)

```
RN 361441-07-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-pyrrolidinylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)
```



Absolute stereochemistry.



- RN 361441-11-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[[(2S,3S)-3-methyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361442-23-1 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[[(2S)-3,3-dimethyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)



RN 361442-25-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
 2-[[(2S,3S)-3-ethyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX
 NAME)

Absolute stereochemistry.



- RN 361442-30-0 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
  - 2-[[(2S,3R)-3-(1-methylethyl)-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 361442-58-2 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(1S,3S,5S)-2-azabicyclo[3.1.0]hex-3-ylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 866321-06-4 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[[(2S,4S)-4-[(3-chloro-4-cyanophenyl)amino]-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 866321-19-9 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2R)-2-pyrrolidinylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 866321-23-5 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-azetidinylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



- RN 866321-26-8 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(1S,2S,5R)-3-azabicyclo[3.1.0]hex-2-ylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)



- RN 866321-46-2 HCAPLUS
- CN Carbamic acid, [(3S,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2yl]carbonyl]-3-pyrrolidinyl]-, 1,1-dimethylethyl ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.



- RN 866321-48-4 HCAPLUS
- CN Carbamic acid, [(3R,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2yl]carbonyl]-3-pyrrolidinyl]-, 1,1-dimethylethyl ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.



RN 866321-50-8 HCAPLUS
CN Carbamic acid, [(3S,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-

```
yl]carbonyl]-3-pyrrolidinyl]-, 9H-fluoren-9-ylmethyl ester (9CI) (CA
INDEX NAME)
```



- RN 866321-52-0 HCAPLUS
- CN Butanamide, N-[(3S,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2yl]carbonyl]-3-pyrrolidinyl]-3,3-dimethyl- (CA INDEX NAME)

Absolute stereochemistry.



- RN 866321-54-2 HCAPLUS
- CN Benzenesulfonamide, 4-chloro-N-[(3S,5S)-5-[[(1S,3S,5S)-3-cyano-2azabicyclo[3.1.0]hex-2-yl]carbonyl]-3-pyrrolidinyl]- (CA INDEX NAME)



OS.CITING	REF COUNT:	11	THERE A	ARE 11	CAPLUS	6 RECORDS	THAT	CITE	THIS	
			RECORD	(11 C	ITINGS)					
REFERENCE	COUNT:	23	THERE A	RE 23	CITED 1	REFERENCES	5 AVA	ILABLE	FOR	THIS
										270

## RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 14 OF 16 H	CAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER:	2004:515478 HCAPLUS Full-text
DOCUMENT NUMBER:	141:54618
TITLE:	Preparation of cyclopropyl-fused pyrrolidine-based
	inhibitors of dipeptidyl peptidase IV
INVENTOR(S):	Vu, Truc Chi; Brzozowski, David B.; Fox, Rita;
	Godfrey, Jollie Duaine, Jr.; Hanson, Ronald L.;
	Kolotuchin, Sergei V.; Mazzullo, John A., Jr.; Patel,
	Ramesh N.; Wang, Jianji; Wong, Kwok; Yu, Jurong; Zhu,
	Jason; Magnín, David R.; Augeri, David J.;
	Ramann, Lawrence G.
PATENT ASSIGNEE(S):	Bristol-Myers Squibb Company, USA
SOURCE:	PCT Int. Appl., 101 pp.
	CODEN: PIXXD2
DOCUMENT TYPE:	Patent
LANGUAGE:	English
FAMILY ACC. NUM. COUNT:	1
PATENT INFORMATION:	

PA	FENT 1	NO.			KIN	D	DATE APPLICATION NO.						DATE					
WO WO	2004	 0528 0528	 50 50		A2 A3	_	 2004 2006	 0624 0302		WO	2003	3	3558		2	0031	204	
	W:	AE.	AG,	AL.	AM.	AT.	AU.	AZ.	BA,	BB	. BG	. BR.	BY.	ΒZ,	CA.	CH,	CN	
		co,	CR,	CU,	cΖ,	DE,	DK,	DM,	DZ,	ЕC	, EE	, EG,	ES,	FI,	GB,	GD,	GE	,
		GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	JP	, KE	, KG,	KP,	KR,	κΖ,	LC,	LΚ	,
		LR,	LS,	LT,	LU,	LV,	MA,	MD,	MG,	MK	, MN	, MW,	MX,	MZ,	NI,	NO,	ΝZ	,
		OM,	PG,	PH,	PL,	ΡΤ <b>,</b>	RO,	RU,	sc,	SD	, SE	, SG,	SK,	SL,	SY,	ΤJ,	ΤМ	,
		ΤN,	TR,	ΤT,	ΤΖ,	UA,	UG,	US,	UΖ,	VC	, VN	, YU,	ZA,	ZM,	ΖW			
	RW:	BW,	GH,	GM,	KE,	LS,	MW,	MZ,	SD,	SL	, SZ	, TZ,	UG,	ZM,	ZW,	AM,	ΑZ	,
		ΒY,	KG,	KΖ,	MD,	RU,	ΤJ,	ΤM,	ΑT,	ΒE	, BG	, СН,	CY,	CZ,	DE,	DK,	ΕE	,
		ΕS,	FΙ,	FR,	GB,	GR,	HU,	IE,	ΙT,	LU	, MC	, NL,	ΡT,	RO,	SE,	SI,	SK	,
		TR,	BF,	ΒJ,	CF,	CG,	CI,	CM,	GA,	GN,	, GQ,	GW,	ML,	MR,	NE,	SN,	ΤD,	ΤG
US	2005	0090	539		A1		2005	0428		US	2003	-7160	)12		2	0031	118	
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CA	2508	619			A1		2004	0624		CA	2003	-2508	3619		2	0031	204	
AU	2003	2976	47		A1		2004	0630		AU	2003	-2976	547		2	0031	204	
ΕP	1581	487			A2		2005	1005		ΕP	2003	-8127	'99		2	0031	204	
	R:	ΑT,	BE,	CH,	DE,	DK,	ΕS,	FR,	GB,	GR	., IT	, LI,	LU,	NL,	SE,	MC,	ΡT	,
		IE,	SI,	LT,	LV,	FΙ,	RO,	MK,	CY,	AL	, TR	, вG,	CZ,	ΕE,	HU,	SK		
BR	2003	0171	39		A		2005	1129		BR	2003	-1713	39		2	0031	204	
CN	1791	401	~ 1		A		2006	0621		CN	2003	-8010	9631		2	0031	204	
JP 	2006	5161	21		Т		2006	0622		JP	2004	-5592	282		2	0031	204	
JΡ	4886	193	-		B2		2012	0229										
CN	1020	/045	L		A		2011	0525		CN	2010	-1026	0/09	I	2	0031	204	
IN	2005	DNU2	279		A		2009	0123		ΙN	2005	-DN22	2/9		2	0050	530	
1N	2443	88	70		AL		2010	1210		Ъ. // S. /	0005	F 0 7 0	<b>`</b>		~	0050	<b>C D D</b>	
MX	2005	0059	/0		A		2005	0818		MX	2005	-59/(	)		2	0050	115	
TN	2008		4ZU		A		2008	0215		ΤΝ	2008	-DN42	.U		2	0080	115	
US	2009	0018	311		AL		2009	0115		05	2008	-1017	ΞT ()		2	0080	128	
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05	2010	02/4	020		AI		ZUIU	TUZS		05	ZUIU	-/125	008		2	UTUU	223	

JP 2011006440	Z	A 20	0110113	JP	2010-181557		20100816
JP 2011006441	1	A 20	0110113	JP	2010-181559		20100816
PRIORITY APPLN. INFO	D.:			US	2002-431814P	Ρ	20021209
				US	2003-716012	A3	20031118
				CN	2003-80109631	A3	20031204
				JP	2004-559282	A3	20031204
				WO	2003-US38558	W	20031204
				ΙN	2005-DN2279	A3	20050530
				US	2008-181216	A3	20080728
ASSIGNMENT HISTORY H	FOR US H	PATENT 2	AVAILABLE :	IN I	SUS DISPLAY FORM	AT	
OTHER SOURCE(S):	CA	ASREACT	141:54618	; MA	ARPAT 141:54618		
ED Entered STN: 2	27 Jun 2	2004					
GI							



AB The invention provides methods and compds. for the production of cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl peptidase IV. Also described are methods for the asym. reductive amination of (3-hydroxyadamantan-1-yl)oxoacetic acid. Adamantane derivs. I [R1 is H or OH; R2 is C(0)COR4, C(0)NR5R6, C(X)nCOR4 or C(NR7R8)COR4, where X is halo, n is 1-2, R4 is alkoxy, NH2 or OH, and R5-R8 are H or carbalkoxy; R3 is H, OH or NR9C(0)R10, where R9 is carboxy-substituted alkyl or aryl and R10 is 3-cyano-2-azabicyclo[3.1.0]hex-2-yl] or their pharmaceutically-acceptable salts are claimed. Thus, adamantyl-substituted glycinamide derivative II (Boc = tert-butoxycarbonyl) was prepared via amidation of Boc-protected (S)- $\alpha$ -amino-3-hydroxy-1-adamantaneacetic acid.

```
IT 361442-04-8P 709031-44-7P
RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU
(Therapeutic use); BIOL (Biological study); PREP (Preparation); USES
(Uses)
```

(preparation of cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl

peptidase IV)

RN 361442-04-8 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)



```
RN 709031-44-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-,
    monobenzoate (salt) (9CI) (CA INDEX NAME)
    CM 1
    CRN 361442-04-8
    CMF C18 H25 N3 O2
```

Absolute stereochemistry.



CM 2 CRN 65-85-0 CMF C7 H6 O2



IT 709031~78~7P RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

 $(\mbox{preparation of cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl$ 

peptidase IV)
RN 709031-78-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
 hydrochloride (1:1), (1S,3S,5S)- (CA INDEX NAME)



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OS.CITING REF COUNT:	25 THERE ARE 25 CAPLUS RECORDS THAT CITE THIS RECORD (35 CITINGS)
REFERENCE COUNT:	1 THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
L57 ANSWER 15 OF 16 ACCESSION NUMBER: DOCUMENT NUMBER: TITLE:	HCAPLUS COPYRIGHT 2012 ACS on STN 2004:300939 HCAPLUS <u>Full-text</u> 141:23891 Synthesis of Novel Potent Dipeptidyl Peptidase IV Inhibitors with Enhanced Chemical Stability: Interplay between the N-Terminal Amino Acid Alkyl Side Chain and the Cyclopropyl Group of
AUTHOR(S):	<ul> <li>α-Aminoacyl-L-cis-4,5-methanoprolinenitrile- Based Inhibitors</li> <li>Magnin, David R.; Robl, Jeffrey A.; Sulsky,</li> <li>Richard B.; Augeri, David J.; Huang, Yanting;</li> <li>Simpkins, Ligaya M.; Taunk, Prakash C.; Betebenner,</li> <li>David A.; Robertson, James G.; Abboa-Offei, Benoni</li> <li>E.; Wang, Aiying; Cap, Michael; Xin, Li; Tao, Li;</li> <li>Sitkoff, Doree F.; Malley, Mary F.; Gougoutas, Jack</li> </ul>
CORPORATE SOURCE:	Z.; Khanna, Ashish; Huang, Qi; Han, Song-Ping; Parker, Rex A.; Hamann, Lawrence G. Departments of Discovery Chemistry, Metabolic Research, Exploratory Pharmaceutics, Computer-Assisted Drug Design, Solid State Chemistry and Pharmaceutical Candidate Optimization, Bristol-Myers Squibb
SOURCE:	Pharmaceutical Research Institute, Princeton, NJ, 08543-5400, USA Journal of Medicinal Chemistry (2004), 47(10), 2587-2598 CODEN: JMCMAR; ISSN: 0022-2623
PUBLISHER:American Chemical SocietyDOCUMENT TYPE:JournalLANGUAGE:EnglishOTHER SOURCE(S):CASREACT 141:23891EDEntered STN:14 Apr 2004

AB A series of methanoprolinenitrile-containing dipeptide mimetics were synthesized and evaluated as inhibitors of the N-terminal sequence-specific serine protease dipeptidyl peptidase IV (DPP-IV). The catalytic action of DPP-IV is the principle means of degradation of glucagon-like peptide-1 (a key mediator of glucose-stimulated insulin secretion) and DPP-IV inhibition shows clin. benefit as a novel mechanism for treatment of type 2 diabetes. However, many of the reversible inhibitors to date suffer from chemical instability stemming from an amine to nitrile intramol. cyclization. Installation of a cyclopropyl moiety at either the 3,4- or 4,5-position of traditional 2-cyanopyrrolidide proline mimetics led to compds. with potent inhibitory activity against the enzyme. Addnl.,

cis-4,5-methanoprolinenitriles with  $\beta$ -branching in the N-terminal amino acid provided enhanced chemical stability and high inhibitory potency. This class of inhibitors also exhibited the ability to suppress prandial glucose elevations after an oral glucose challenge in male Zucker rats.

- IT 700376-83-6
  - RL: PRP (Properties)

(calcns. of energy barrier toward adopting the conformation required for intramol. cyclization by dipeptidyl prolinenitrile and methanoprolinenitrile)

- RN 700376-83-6 HCAPLUS
- CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



IT 361.440-73-5P

```
RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL
(Biological study); PREP (Preparation)
```

(crystal structure; preparation and biol. activity of

```
methanoprolinenitrile-containing dipeptide mimetics as DPP-IV inhibitors and as antidiabetic agents)
```

```
RN 361440-73-5 HCAPLUS
```

```
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361440-72-4

CMF C12 H19 N3 O

Absolute stereochemistry.

<u>NH2</u> Bu-t СМ 2 CRN 76-05-1 CMF C2 H F3 O2 -CO2H ΙT 361440-66-69 361440-77-9P 361440-88-2P 700376-66-5₽ 700376-67-62 700376-68-72 700376-70-1P 700376-71-2P 700376-72-32 700376-73-4P 700376-74-5P 700376-75-62 700376-76-79 700376-77-89 700376-78-92 700376-80-3P 700376-79-0P 700376-81-4P 700376-82-5P RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL (Biological study); PREP (Preparation) (preparation and biol. activity of methanoprolinenitrile-containing dipeptide mimetics as DPP-IV inhibitors and as antidiabetic agents) RN 361440-66-6 HCAPLUS 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, CN 2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME) СМ 1 CRN 361440-65-5 CMF C12 H19 N3 O Absolute stereochemistry.



```
361440-77-9 HCAPLUS
RN
    2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
CN
     2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1R,3S,5R)-,
     2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
         1
```

СМ

CRN 361440-76-8 CMF C12 H19 N3 O

Absolute stereochemistry.



СМ 2 CRN 76-05-1 CMF C2 H F3 O2



CRN 361440-87-1 CMF C12 H19 N3 O

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2



RN 700376-66-5 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-1-carbonitrile, 2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,5R)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 700376-65-4 CMF C12 H19 N3 O

Absolute stereochemistry.



CM 1

CRN 361441-05-6 CMF C11 H17 N3 O

Absolute stereochemistry.



CM 2 CRN 76-05-1

```
CMF C2 H F3 O2
```

$$\mathbf{F} - \mathbf{C} - \mathbf{CO_2H} \\ \mathbf{F} \\ \mathbf{F$$

CRN 361442-40-2

CMF C11 H17 N3 O

Absolute stereochemistry.



CM 2 CRN 76-05-1 CMF C2 H F3 O2



```
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1 CRN 361442-39-9 CMF C12 H19 N3 O

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2



```
RN 700376-71-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-1-oxo-3-phenylpropyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361441-08-9 CMF C15 H17 N3 O

Absolute stereochemistry.



CM 2 CRN 76-05-1 CMF C2 H F3 O2

$$F - C - CO_2H$$

```
RN 700376-72-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-3-(1H-indol-3-yl)-1-oxopropyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361440-97-3
```

CMF C17 H18 N4 O

Absolute stereochemistry.



```
CM 2
CRN 76-05-1
CMF C2 H F3 O2
```

 $\mathbf{F} - \begin{bmatrix} \mathbf{F} \\ \mathbf{I} \\ \mathbf{F} \end{bmatrix} = \mathbf{CO_2H}$ 

2,2,2-trifluoroacetate (1:1) (CA INDEX NAME) CM 1 CRN 361441-04-5 CMF C12 H19 N3 O

Absolute stereochemistry.



CM 2 CRN 76-05-1 CMF C2 H F3 O2

$$F - \begin{bmatrix} F \\ C \\ F \end{bmatrix}$$

- RN 700376-74-5 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-pyrrolidinylcarbonyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
  - CM 1

CRN 361441-07-8 CMF C11 H15 N3 O

Absolute stereochemistry.



CM 2 CRN 76-05-1 CMF C2 H F3 O2

$$\mathbf{F} - \begin{bmatrix} \mathbf{F} \\ \mathbf{L} \\ \mathbf{C} - \mathbf{CO_2H} \\ \mathbf{F} \end{bmatrix}$$

```
RN 700376-75-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-piperidinylcarbonyl]-,
    (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361441-10-3
    CMF C12 H17 N3 O
Absolute stereochemistry.
```



CM 2 CRN 76-05-1 CMF C2 H F3 O2

$$\mathbf{F} - \mathbf{F}_{\mathbf{F}}^{\mathbf{F}} = \mathbf{CO_2H}$$

RN 700376-76-7 HCAPLUS

```
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-4-(methylthio)-1-oxobutyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1
CRN 361440-99-5
CMF C11 H17 N3 O S
Absolute stereochemistry.
```



CM 2

CRN 76-05-1 CMF C2 H F3 O2

-Со2н

```
RN 700376-77-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-cyclobutylacetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate
    (1:1) (CA INDEX NAME)
    CM 1
```

CRN 361442-56-0 CMF C12 H17 N3 O

Absolute stereochemistry.



```
CM 2
CRN 76-05-1
CMF C2 H F3 O2
```

```
\mathbf{F} - \mathbf{F}_{\mathbf{F}}^{\mathbf{F}} - \mathbf{CO_{2}H}
```

```
RN 700376-78-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-cyclopentylacetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate
    (1:1) (CA INDEX NAME)
```

CM 1

CRN 361442-54-8 CMF C13 H19 N3 O

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2



RN 700376-79-0 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-cyclohexylacetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME) CM 1 CRN 361442-55-9 CMF C14 H21 N3 O

Absolute stereochemistry.



CRN 76-05-1 CMF C2 H F3 O2

$$F - CO_2H$$

```
RN 700376-80-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-methylcyclobutyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1
CRN 361442-53-7
CMF C13 H19 N3 O
Absolute stereochemistry.
```



CM 2

CRN 76-05-1 CMF C2 H F3 O2



```
RN 700376-81-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-methylcyclopentyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361442-50-4 CMF C14 H21 N3 O

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2



```
RN 700376-82-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(1-methylcyclohexyl)acetyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
```

CRN 361442-48-0 CMF C15 H23 N3 O

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2



OS.CITING REF COUNT: 57 THERE ARE 57 CAPLUS RECORDS THAT CITE THIS RECORD (57 CITINGS) REFERENCE COUNT: 90 THERE ARE 90 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

=> d ibib ed abs ind 16 YOU HAVE REQUESTED DATA FROM FILE 'HCAPLUS, EMBASE' - CONTINUE? (Y)/N:y

L57	ANSWER 16 OF 1	6 EMBASE COPYRIGHT (c) 2012 Elsevier B.V. All rights
	reserved on ST	N
ACCES	SION NUMBER:	2010425818 EMBASE <u>Full-text</u>
TITLE	:	Synthesis and SAR of azolopyrimidines as potent and
		selective dipeptidyl peptidase-4 (DPP4) inhibitors for type
		2 diabetes.
AUTHOR:		Brigance, Robert P.; Meng, Wei; Zahler, Robert; Namann,
		Lawrence G.
CORPORATE SOURCE:		Department of Discovery Chemistry, Bristol-Myers Squibb,
		Research and Development, PO Box 5400, Princeton, NJ
		08543-5400, United States. robert.brigance@bms.com;
		wei.meng@bms.com
AUTHC	R:	Fura, Aberra
CORPC	RATE SOURCE:	Department of Pharmaceutical Candidate Optimization,
		Bristol-Myers Squibb, Research and Development, PO Box
		5400, Princeton, NJ 08543-5400, United States.
AUTHC	R:	Harrity, Thomas; Wang, Aiying; Kirby, Mark S.
CORPC	RATE SOURCE:	Department of Metabolic Diseases, Bristol-Myers Squibb,
		Research and Development, PO Box 5400, Princeton, NJ
		08543-5400, United States.
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		Research and Development, PO Box 5400, Princeton, NJ
		08543-5400, United States. robert.brigance@bms.com
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		037 Drug Literature Index
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ENTRY	DATE:	Entered STN: 24 Aug 2010
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ED	Entered STN: 2	4 Aug 2010
	Last Updated of	n STN: 24 Jan 2011
AB	Several pyrazo	lo-, triazolo-, and imidazolopyrimidines were synthesized and
	evaluated as i	nhibitors of DPP4. Of these three classes of compounds, the
	imidazolopyrin	nidines displayed the greatest potency and demonstrated
	excellent sele	ctivity over the other dipeptidyl peptidases. SAR evaluation
	for these scaf:	folds was described as they may represent potential treatments
	for type 2 dia	abetesCOPYRGT. 2010 Elsevier Ltd. All rights reserved.
СТ	Medical Descri	ptors:
	animal experim	ent
	animal model	
	article	

drug potency drug selectivity drug synthesis mouse \*non insulin dependent diabetes mellitus nonhuman \*structure activity relation СΤ Drug Descriptors: alogliptin: AN, drug analysis alogliptin: PD, pharmacology \*azolopyrimidine: AN, drug analysis \*azolopyrimidine: PD, pharmacology \*dipeptidyl peptidase IV inhibitor: AN, drug analysis \*dipeptidyl peptidase IV inhibitor: PD, pharmacology linagliptin: AN, drug analysis linagliptin: PD, pharmacology saxagliptin: AN, drug analysis saxagliptin: PD, pharmacology sitagliptin: AN, drug analysis sitagliptin: PD, pharmacology unclassified drug vildagliptin: AN, drug analysis vildagliptin: PD, pharmacology STAzolopyrimidines; DPP4; GLP-1; SAR RN (alogliptin) 850649-61-5; (linagliptin) 668270-12-0; (saxagliptin) 361442~04~8, 945667~22~1; (sitagliptin) 486460-32-6, 654671-78-0;

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(vildagliptin) 274901-16-5

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L30 QUE SPE=ON ABB=ON PLU=ON BETEBENNER, D?/AU,AUTH,IN FILE 'HCAPLUS' ENTERED AT 08:50:44 ON 01 MAY 2012 CHARGED TO COST=TC1600 1 SEA SPE=ON ABB=ON PLU=ON L1 AND (L24 OR L25 OR L26 OR L27 L31 OR L28 OR L29 OR L30) D BIB FILE 'ZCAPLUS' ENTERED AT 08:51:00 ON 01 MAY 2012 CHARGED TO COST=TC1600 L32 OUE SPE=ON ABB=ON PLU=ON AY<2001 OR PY<2001 OR PRY<2001 OR MY<2001 OR REVIEW/DT FILE 'HCAPLUS' ENTERED AT 08:51:58 ON 01 MAY 2012 CHARGED TO COST=TC1600 L33 725 SEA SPE=ON ABB=ON PLU=ON L23 L34 26 SEA SPE=ON ABB=ON PLU=ON L33 AND (L24 OR L25 OR L26 OR L27 OR L28 OR L29 OR L30) L35 0 SEA SPE=ON ABB=ON PLU=ON L1 NOT L34 L36 26 SEA SPE=ON ABB=ON PLU=ON (L34 OR L35) L37 699 SEA SPE=ON ABB=ON PLU=ON L33 NOT L36 142 SEA SPE=ON ABB=ON PLU=ON L37 AND L32 L38 FILE 'STNGUIDE' ENTERED AT 08:53:26 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'LREGISTRY' ENTERED AT 08:55:08 ON 01 MAY 2012 CHARGED TO COST=TC1600 T.39 STR L12 FILE 'REGISTRY' ENTERED AT 08:55:41 ON 01 MAY 2012 CHARGED TO COST=TC1600 L40 50 SEA SUB=L14 SSS SAM L39 FILE 'STNGUIDE' ENTERED AT 08:56:21 ON 01 MAY 2012 CHARGED TO COST=TC1600 D QUE STAT FILE 'REGISTRY' ENTERED AT 08:59:05 ON 01 MAY 2012 CHARGED TO COST=TC1600 6632 SEA SUB=L14 SSS FUL L39 L41 SAVE TEMP L41 POL658NSET2/A L42 1421 SEA SPE=ON ABB=ON PLU=ON L23 NOT L41 SAVE TEMP L42 POL658CROSS2/A FILE 'STNGUIDE' ENTERED AT 09:00:19 ON 01 MAY 2012 CHARGED TO COST=TC1600 D SAVED FILE 'HCAPLUS' ENTERED AT 09:00:54 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'REGISTRY' ENTERED AT 09:01:03 ON 01 MAY 2012 CHARGED TO COST=TC1600

L43 27 SEA SPE=ON ABB=ON PLU=ON L16 NOT L42 FILE 'HCAPLUS' ENTERED AT 09:02:03 ON 01 MAY 2012 CHARGED TO COST=TC1600 427 SEA SPE=ON ABB=ON PLU=ON L42 L44 15 SEA SPE=ON ABB=ON PLU=ON L44 AND (L24 OR L25 OR L26 OR L27 L45 OR L28 OR L29 OR L30) L46 0 SEA SPE=ON ABB=ON PLU=ON L1 NOT L45 15 SEA SPE=ON ABB=ON PLU=ON (L45 OR L46) L47 412 SEA SPE=ON ABB=ON PLU=ON L44 NOT L47 L48 87 SEA SPE=ON ABB=ON PLU=ON L48 AND L32 L49 FILE 'REGISTRY' ENTERED AT 09:03:44 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'HCAPLUS' ENTERED AT 09:03:55 ON 01 MAY 2012 CHARGED TO COST=TC1600 L50 TRA PLU=ON L49 1- RN HIT : 74 TERMS FILE 'REGISTRY' ENTERED AT 09:04:00 ON 01 MAY 2012 CHARGED TO COST=TC1600 L51 74 SEA SPE=ON ABB=ON PLU=ON L50 L52 74 SEA SPE=ON ABB=ON PLU=ON L51 NOT L2 E SAXAGLIPTIN/CN L53 1 SEA SPE=ON ABB=ON PLU=ON SAXAGLIPTIN/CN D SCAN FILE 'STNGUIDE' ENTERED AT 09:08:27 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'REGISTRY' ENTERED AT 09:08:58 ON 01 MAY 2012 CHARGED TO COST=TC1600 L54 961 SEA SPE=ON ABB=ON PLU=ON L42 AND (MEDLINE OR BIOSIS OR EMBASE OR CABA OR BIOTECHNO OR DRUGU OR VETU OR TOXCENTER OR NAPRALERT) / LC FILE 'MEDLINE, BIOSIS, EMBASE, CABA, BIOTECHNO, DRUGU, VETU, TOXCENTER, NAPRALERT' ENTERED AT 09:09:45 ON 01 MAY 2012 CHARGED TO COST=TC1600 L55 859 SEA SPE=ON ABB=ON PLU=ON L54 L56 10 SEA SPE=ON ABB=ON PLU=ON L55 AND (L24 OR L25 OR L26 OR L27 OR L28 OR L29 OR L30) FILE 'STNGUIDE' ENTERED AT 09:10:15 ON 01 MAY 2012 CHARGED TO COST=TC1600 D QUE STAT L14 D QUE STAT L19 D QUE STAT L22 D QUE STAT L23 D QUE STAT L41 D QUE STAT L42 D QUE NOS L49 FILE 'HCAPLUS' ENTERED AT 09:12:53 ON 01 MAY 2012

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CHARGED TO COST=TC1600

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FILE 'STNGUIDE' ENTERED AT 09:19:55 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE HOME

FILE ZCAPLUS

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FILE LAST UPDATED: 30 Apr 2012 (20120430/ED)
REVISED CLASS FIELDS (/NCL) LAST RELOADED: Dec 2011
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FILE MEDLINE FILE LAST UPDATED: 28 Apr 2012 (20120428/UP). FILE COVERS 1946 TO DATE.

 $\texttt{MEDLINE}\left(R\right)$  is a registered trademark of the U.S. National Library of Medicine (NLM).

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## 13/308,658

http://www.nlm.nih.gov/pubs/techbull/ndl1/ndl1 medline data changes 2012.

The 2012 Medline reload was completed on January 29, 2012. See HELP RLOAD for details.

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See HELP RANGE before carrying out any RANGE search.

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RECORDS LAST ADDED: 26 April 2012 (20120426/ED)

BIOSIS has been augmented with 1.8 million archival records from 1926 through 1968. These records have been re-indexed to match current BIOSIS indexing.

FILE EMBASE FILE COVERAGE: EMBASE-originated material 1947 to 30 Apr 2012 (20120430/E Unique MEDLINE content 1948 to present

EMBASE is now updated daily. SDI frequency remains weekly (default) and biweekly.

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FILE CABA FILE LAST UPDATED: 25 APR 2012 <20120425/UP> FILE COVERS 1973 TO DATE

<< SIMULTANEOUS LEFT AND RIGHT TRUNCATION IS AVAILABLE IN
THE BASIC INDEX (/BI), ABSTRACT (/AB), AND TITLE (/TI) FIELDS >>>

FILE BIOTECHNOFILE LAST UPDATED: 7 JAN 2004<20040107/UP>FILE COVERS 1980 TO 2003.THIS FILE IS A STATIC FILE WITH NO UPDATES

>>> SIMULTANEOUS LEFT AND RIGHT TRUNCATION AVAILABLE IN /CT AND BASIC INDEX <<<

FILE DRUGU

## 13/308,658

FILE LAST UPDATED: 30 APR 2012<20120430/UP>>>> DERWENT DRUG FILE (SUBSCRIBER)<<</td>

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REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For information on property searching in REGISTRY, refer to:

http://www.cas.org/support/stngen/stndoc/properties.html

```
*** YOU HAVE NEW MAIL ***
=> s saxagliptin/cn
L1
            1 SAXAGLIPTIN/CN
=> d l1
    ANSWER 1 OF 1 REGISTRY COPYRIGHT 2012 ACS on STN
L1
     361442-04-8 REGISTRY
RN
    Entered STN: 11 Oct 2001
ED
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
CN
     2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
     (1S, 3S, 5S) - (CA INDEX NAME)
OTHER CA INDEX NAMES:
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
CN
     2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-
     (9CI)
OTHER NAMES:
    BMS 477118
CN
    BMS 477118-11
CN
CN
    Onglyza
CN
    Saxagliptin
FS
    STEREOSEARCH
DR
    1339955-48-4
MF
    C18 H25 N3 O2
CT
    COM
SR
    CA
LC
     STN Files:
                  ADISINSIGHT, ANABSTR, CA, CAPLUS, CASREACT, CBNB, CHEMCATS,
       CHEMLIST, DDFU, DRUGU, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK*,
       PATDPASPC, PS, RTECS*, TOXCENTER, USAN, USPAT2, USPATFULL
         (*File contains numerically searchable property data)
```

Absolute stereochemistry.



\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\*

313 REFERENCES IN FILE CA (1907 TO DATE) 4 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA 330 REFERENCES IN FILE CAPLUS (1907 TO DATE) => s saxagliptin 5 SAXAGLIPTIN L2 => d 12 1-YOU HAVE REQUESTED DATA FROM 5 ANSWERS - CONTINUE? Y/(N):y ANSWER 1 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN L2 RN 945667-22-1 REGISTRY ED Entered STN: 28 Aug 2007 CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, hydrate (1:1), (1S, 3S, 5S) - (CA INDEX NAME) OTHER NAMES: CN Saxagliptin hydrate STEREOSEARCH FS MF C18 H25 N3 O2 . H2 O SR CAS Client Services LCSTN Files: ADISINSIGHT, CA, CAPLUS, CASREACT, CHEMCATS, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK\*, TOXCENTER, USAN, USPAT2, USPATFULL (\*File contains numerically searchable property data) CRN (361442 - 04 - 8)

Absolute stereochemistry.



🗩 н20

\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\*

3 REFERENCES IN FILE CA (1907 TO DATE) 3 REFERENCES IN FILE CAPLUS (1907 TO DATE) ANSWER 2 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN L2 841302-24-7 REGISTRY RN Entered STN: 03 Mar 2005 ΕD 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, CN 2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S, 3S, 5S) - (CA INDEX NAME) OTHER CA INDEX NAMES: 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, CN 2-[(2S)-amino(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S, 3S, 5S) - (9CI)OTHER NAMES: 5-Bydroxy saxagliptin CN CN BMS 510849 CN M2 saxagliptin hydroxylated metabolite FS STEREOSEARCH MF C18 H25 N3 O3 CI COM SR CA CA, CAPLUS, CASREACT, CHEMCATS, TOXCENTER, USPAT2, USPATFULL LC STN Files:

Absolute stereochemistry.



\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\* 6 REFERENCES IN FILE CA (1907 TO DATE) 7 REFERENCES IN FILE CAPLUS (1907 TO DATE) L2 ANSWER 3 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN 709031-78-7 REGISTRY RN Entered STN: 13 Jul 2004 ED CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, hydrochloride (1:1), (1S,3S,5S) - (CA INDEX NAME) OTHER CA INDEX NAMES: 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, CN 2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, monohydrochloride, (1S, 3S, 5S) - (9CI) OTHER NAMES: CN Saxagliptin hydrochloride FS STEREOSEARCH MF C18 H25 N3 O2 . Cl H SR CA

LC STN Files: CA, CAPLUS, CASREACT, CHEMCATS, PATDPASPC, TOXCENTER, USPAT2, USPATFULL CRN (361442 - 04 - 8)

Absolute stereochemistry.



HC1

12 REFERENCES IN FILE CA (1907 TO DATE) 12 REFERENCES IN FILE CAPLUS (1907 TO DATE)

ANSWER 4 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN L2

- 709031-43-6 REGISTRY RN
- Entered STN: 13 Jul 2004 ΕD
- CN Carbamic acid, N-[(1S)-2-[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]-1-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-2-oxoethyl]-, 1,1-dimethylethyl ester (CA INDEX NAME)
- OTHER CA INDEX NAMES:

Carbamic acid, [(1S)-2-[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]-1-CN (3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-2-oxoethyl]-, 1,1-dimethylethyl ester (9CI) OTHER NAMES: CN Boc-saxagliptin

```
STEREOSEARCH
```

- FS C23 H33 N3 O4 MF
- CI COM
- SR CA
- STN Files: CA, CAPLUS, CASREACT, CHEMCATS, CHEMLIST, TOXCENTER, USPAT2, LC USPATFULL

Absolute stereochemistry.



\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\* 14 REFERENCES IN FILE CA (1907 TO DATE) 14 REFERENCES IN FILE CAPLUS (1907 TO DATE) Т.2 ANSWER 5 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN 361442-04-8 REGISTRY RN ΕD Entered STN: 11 Oct 2001 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, CN 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S, 3S, 5S) - (CA INDEX NAME)OTHER CA INDEX NAMES: 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, CN 2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-(9CI) OTHER NAMES: BMS 477118 CN CN BMS 477118-11 CN Onglyza CN Saxagliptin FS STEREOSEARCH 1339955-48-4 DR MF C18 H25 N3 O2 CI COM SR CA LCSTN Files: ADISINSIGHT, ANABSTR, CA, CAPLUS, CASREACT, CBNB, CHEMCATS, CHEMLIST, DDFU, DRUGU, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK\*, PATDPASPC, PS, RTECS\*, TOXCENTER, USAN, USPAT2, USPATFULL (\*File contains numerically searchable property data)

Absolute stereochemistry.



\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\*

313 REFERENCES IN FILE CA (1907 TO DATE)
4 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA
330 REFERENCES IN FILE CAPLUS (1907 TO DATE)

=> display set notice

=> FILE REG

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=> STR 361442-04-8

:END

L3 STRUCTURE CREATED

=> S L3 FAM SAM

SAMPLE SEARCH INITIATED 15:46:20 FILE 'REGISTRY' SAMPLE SCREEN SEARCH COMPLETED - 12 TO ITERATE

100.0% PROCESSED 12 ITERATIONS SEARCH TIME: 00.00.01

FULL FILE PROJECTIONS:ONLINE\*\*COMPLETE\*\*BATCH\*\*COMPLETE\*\*PROJECTED ITERATIONS:33 TO447PROJECTED ANSWERS:2 TO124

309

2 ANSWERS

L4 2 SEA FAM SAM L3

```
=>
```

```
=> D SCAN
```

CM 1

Absolute stereochemistry.

СМ 2

Double bond geometry as shown.

HO2C CO2H

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1

L4 2 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN

IN 2-Azabicyclo[3.1.0]hexane-1,5-d2-3-carbonitrile,

2-[(2S)-2-amino-2-(7-hydroxytricyclo[3.3.1.13,7]dec-1-yl-

2,2,3,4,4,5,6,6,8,8,9,9,10,10-d14)acetyl]-, (15,35,55)-

```
MF C18 H9 D16 N3 O2
```

Absolute stereochemistry.


ALL ANSWERS HAVE BEEN SCANNED

=> fil REGISTRY

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REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For information on property searching in REGISTRY, refer to:

http://www.cas.org/support/stngen/stndoc/properties.html

\*\*\* YOU HAVE NEW MAIL \*\*\*

=> d 14 2

L4 ANSWER 2 OF 2 REGISTRY COPYRIGHT 2012 ACS on STN

- RN 1227074-04-5 REGISTRY
- ED Entered STN: 07 Jun 2010
- CN 2-Azabicyclo[3.1.0]hexane-1,5-d2-3-carbonitrile, 2-[(2S)-2-amino-2-(7-hydroxytricyclo[3.3.1.13,7]dec-1-yl-2,2,3,4,4,5,6,6,8,8,9,9,10,10-d14)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)
- FS STEREOSEARCH
- MF C18 H9 D16 N3 O2

SR CA LC STN Files: CA, CAPLUS, TOXCENTER, USPATFULL

Absolute stereochemistry.

OH D D D D D · D-NH2 S CN

1 REFERENCES IN FILE CA (1907 TO DATE) 1 REFERENCES IN FILE CAPLUS (1907 TO DATE)

=> d hist

(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)

L1 L2	FILE 'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012 1 S SAXAGLIPTIN/CN 5 S SAXAGLIPTIN
L3 L4	FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 STR 361442-04-8 2 S L3 FAM SAM
	FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012
=> s L5	361442-04-8/crn 48 361442-04-8/CRN
=> d	scan
L5 IN MF CI	48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN Imidodicarbonimidic diamide, N,N-dimethyl-, mixt. with (1S,3S,5S)-2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1- yl)acetyl]-2-azabicyclo[3.1.0]hexane-3-carbonitrile C18 H25 N3 O2 . C4 H11 N5 MXS
	CM 1

Absolute stereochemistry.



CM 2

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1

```
L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN
IN Sulfuric acid diammonium salt, compd. with
  (1S,3S,5S)-2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-
   yl)acetyl]-2-azabicyclo[3.1.0]hexane-3-carbonitrile (1:1)
MF C18 H25 N3 O2 . 2 H3 N . H2 O4 S
```

CM 1

Absolute stereochemistry.



CM 2

HO-- OH

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1 L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN

- ΙN Butanedioic acid, compd. with (15,35,55)-2-[(25)-2-amino-2-(3hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-2-azabicyclo[3.1.0]hexane-3carbonitrile (1:1)
- MF C18 H25 N3 O2 . C4 H6 O4

1 СМ

Absolute stereochemistry.



СМ 2

 $\operatorname{HO_2C-CH_2-CH_2-CO_2H}$ 

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1

- L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN
- ΙN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
- 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, hydrate (2:1), (1S, 3S, 5S) -C18 H25 N3 O2 . 1/2 H2 O
- MF

Absolute stereochemistry.





HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):0

=> d hist

(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)

FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 STR 361442-04-8 L3 2 S L3 FAM SAM L4 FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 L5 48 S 361442-04-8/CRN => fil caplus uspatful FILE 'CAPLUS' ENTERED AT 15:50:20 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS) FILE 'USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012 CA INDEXING COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS) => s l1 480 L1 L6 => s l1<chem> SmartSELECT INITIATED New TRANSFER and ANALYZE Commands Now Available See HELP TRANSFER and HELP ANALYZE for Details FILE 'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS) \*\*\* YOU HAVE NEW MAIL \*\*\* SET SMARTSELECT ON SET COMMAND COMPLETED SEL L1 1- CHEM L7 SEL L1 1- CHEM : 6 TERMS SET SMARTSELECT OFF SET COMMAND COMPLETED FILE 'CAPLUS' ENTERED AT 15:50:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.

PLEASE SEE "HELP USAGETERMS" FOR DETAILS.

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FILE 'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012

1 S SAXAGLIPTIN/CN

5 S SAXAGLIPTIN

L1

L2

FILE 'USPATFULL' ENTERED AT 15:50:53 ON 30 APR 2012 CA INDEXING COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS) S L7 L8 1242 L7 => dup remove 16 PROCESSING COMPLETED FOR L6 454 DUP REMOVE L6 (26 DUPLICATES REMOVED) T.9 => dup remove 18 PROCESSING COMPLETED FOR L8 L10 1218 DUP REMOVE L8 (24 DUPLICATES REMOVED) => s 19 or 110 1228 L9 OR L10 L11 => s 111 and PD<20000309 0 L11 AND PD<20000309 L12 => s 111 and AD<20000309 0 L11 AND AD<20000309 L13 => s 111 and AD<20000312 0 L11 AND AD<20000312 T.14 => s 111 and AD<20010312 L15 0 L11 AND AD<20010312 => s 111 and AD<20020312 0 L11 AND AD<20020312 L16 => s 111 and AY<2002 L17 0 L11 AND AY<2002 => s 111 and AY>2002 L18 1071 L11 AND AY>2002 => s 111 and AY>2000 L19 1071 L11 AND AY>2000 => s 111 and PRD<20020312 L20 1 L11 AND PRD<20020312 => D IBIB ABS L20 L20 ANSWER 1 OF 1 USPATFULL on STN ACCESSION NUMBER: 2009:320331 USPATFULL Full-text TITLE: Amide Compounds INVENTOR(S): Kitamura, Shuji, Osaka, JAPAN Aicher, Thomas Daniel, Superior, CO, UNITED STATES Gonzales, Steve, Media, PA, UNITED STATES Le Huerou, Yvan, Boulder, CO, UNITED STATES Pratt, Scott Alan, Longmont, CO, UNITED STATES Turner, Tim, Longmont, CO, UNITED STATES Nakada, Yoshihisa, Osaka, JAPAN

PATENT ASSIGNEE(S): TAKEDA PHARMACEUTICAL COMPANY LIMITED, OSAKA, JAPAN (non-U.S. corporation) DATE NUMBER KIND \_\_\_\_\_ \_\_\_\_ US 20090286791 A1 20091119 PATENT INFORMATION: APPLICATION INFO.: US 2007-309493 A1 20070720 (12) WO 2007-US16425 20070720 20090414 PCT 371 date NUMBER DATE -----\_\_\_\_\_ EP 2001-12744220011127US 2006-832115P20060721 (60) PRIORITY INFORMATION: EP 2001-127442 <--DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION LEGAL REPRESENTATIVE: WENDEROTH, LIND & PONACK, L.L.P., 1030 15th Street, N.W.,, Suite 400 East, Washington, DC, 20005-1503, US 29 NUMBER OF CLAIMS: EXEMPLARY CLAIM: 1 7740 LINE COUNT: CAS INDEXING IS AVAILABLE FOR THIS PATENT. AB The present invention provides compounds represented by the formula (Ia): ##STR1## the formula (Ib): ##STR2## the formula (Ic): ##STR3## and the formula (Id): ##STR4## wherein each symbol is as defined in the specification. According to the present invention, these compounds have a DGAT inhibitory activity and are useful for the prophylaxis, treatment or improvement of diseases or pathologies caused by high expression or high activation of DGAT. CAS INDEXING IS AVAILABLE FOR THIS PATENT.

=> s ll1 and ROBL/IN L21 0 L11 AND F	COBL/IN	
=> s lll and (ROBL JEFFF L22 3 Lll AND (	EY A/IN) ROBL JEFFREY A/IN)	
=> D TI L22 1- YOU HAVE REQUESTED DATA	FROM 3 ANSWERS - CONT	'INUE? Y/(N):Y
L22 ANSWER 1 OF 3 USPA TI HYDROXY SUBSTITUT HORMONE RECEPTOR-	TFULL on STN 'ED THIENO PYRIMIDINON '1 ANTAGONISTS	IES AS MELANIN CONCENTRATING
L22 ANSWER 2 OF 3 USPA TI HMG-CoA reductase	TFULL on STN e inhibitors	
L22 ANSWER 3 OF 3 USPA TI HMG-CoA reductase	TFULL on STN inhibitors and methe	od
=> D IBIB L22 1- YOU HAVE REQUESTED DATA	FROM 3 ANSWERS - CONT	'INUE? Y/(N):Y
L22 ANSWER 1 OF 3 USPA ACCESSION NUMBER: TITLE: INVENTOR(S): PATENT ASSIGNEE(S):	TFULL on STN 2009:333876 USPATFUI HYDROXY SUBSTITUTED T CONCENTRATING HORMONE Washburn, William N., Ahmad, Saleem, Wall, Devasthale, Pratik, F Robl, Jeffrey A., New Goswami, Animesh, Pla Guo, Zhiwei, Franklir Patel, Ramesh N., Bri Bristol-Myers Squibb	L <u>Full-text</u> HIENO PYRIMIDINONES AS MELANIN C RECEPTOR-1 ANTAGONISTS Titusville, NJ, UNITED STATES NJ, UNITED STATES Plainsboro, NJ, UNITED STATES town, PA, UNITED STATES tinsboro, NJ, UNITED STATES A Park, NJ, UNITED STATES dgewater, NJ, UNITED STATES Company (U.S. corporation)
	NUMBER KIN	ID DATE
PATENT INFORMATION:	US 20090298794 A1 US 7989433 B2	20091203 20110802
APPLICATION INFO.:	US 2009-473346 A1	20090528 (12)
	NUMBER	DATE
PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE: NUMBER OF CLAIMS: EXEMPLARY CLAIM: LINE COUNT:	US 2008-56949P Utility APPLICATION LOUIS J. WILLE, BRIST DEPARTMENT, P O BOX 4 23 1 2167	20080529 (61) COL-MYERS SQUIBB COMPANY, PATENT 2000, PRINCETON, NJ, 08543-4000,
CAS INDEXING IS AVAILABI	E FOR THIS PATENT.	

US

ACCESSION NUMBER: TITLE: INVENTOR(S):	2007:285027 USPA HMG-CoA reductase Stein, Philip D., Seitz, Steven P., Carini, David J., Shi, Yan, Flourto Robl, Jeffrey A., Markwalder, Jay A He, Chunhong, Boo	TFULL inhib Penni Swart Walli wn, PA Newto ., New thwyn,	Full-text itors ngton, NJ, hmore, PA, ngford, CI , UNITED S wn, PA, UN London, E PA, UNITE	UNITED STATES UNITED STATES , UNITED STATES STATES UITED STATES PA, UNITED STATES ED STATES
PATENT ASSIGNEE(S):	Bristol-Myers Squ NUMBER	ibb Con KIND	mpany (U.S DATE	S. corporation)
PATENT INFORMATION: APPLICATION INFO.:	US 20070249583 US 7659281 US 2007-789335	A1 B2 A1	20071025 20100209 20070424	(11)
	NUMBER		DATE	
PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE: NUMBER OF CLAIMS: EXEMPLARY CLAIM: LINE COUNT: CAS INDEXING IS AVAILAB	US 2006-794733P Utility APPLICATION LOUIS J. WILLE, B DEPARTMENT, P O B 24 1 8226 LE FOR THIS PATENT	 RISTOL OX 400	 20060425 -MYERS SQU 0, PRINCEI	(60) JIBB COMPANY, PATENT CON, NJ, 08543-4000, US
L22 ANSWER 3 OF 3 USP. ACCESSION NUMBER: TITLE: INVENTOR(S):	ATFULL on STN 2005:99578 USPAT HMG-CoA reductase Ahmad, Saleem, Wa Robl, Jeffrey A., Ngu, Khehyong, Pe	FULL F inhib ll, NJ Newto nningt	ull-text itors and , UNITED S wn, PA, UN on, NJ, UN	method STATES NITED STATES NITED STATES
	NUMBER	KIND	DATE	
PATENT INFORMATION: APPLICATION INFO.:	US 20050085497 US 7371759 US 2004-946055	A1 B2 A1	20050421 20080513 20040921	(10)
	NUMBER		DATE	
PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE:	US 2003-505893P Utility APPLICATION STEPHEN B. DAVIS,	 BRIST	20030925 OL-MYERS S	(60) SQUIBB COMPANY, PATENT

=> s 111 and PRD<20030101

=> D IBIB L23 1-YOU HAVE REQUESTED DATA FROM 5 ANSWERS - CONTINUE? Y/(N):Y L23 ANSWER 1 OF 5 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2004:515478 CAPLUS Full-text DOCUMENT NUMBER: 141:54618 TITLE: Preparation of cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl peptidase IV Vu, Truc Chi; Brzozowski, David B.; Fox, Rita; INVENTOR(S): Godfrey, Jollie Duaine, Jr.; Hanson, Ronald L.; Kolotuchin, Sergei V.; Mazzullo, John A., Jr.; Patel, Ramesh N.; Wang, Jianji; Wong, Kwok; Yu, Jurong; Zhu, Jason; Magnin, David R.; Augeri, David J.; Hamann, Lawrence G. PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA SOURCE: PCT Int. Appl., 101 pp. CODEN: PIXXD2 DOCUMENT TYPE: Patent English LANGUAGE: FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION: DATE PATENT NO. KIND DATE APPLICATION NO. \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ WO 2004052850 A2 20040624 WO 2003-US38558 20031204 <--WO 2004052850 A3 20060302 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG US 20050090539 A1 20050428 US 2003-716012 20031118 <--US 7420079 В2 20080902 CA 2508619 A1 20040624 CA 2003-2508619 20031204 <--A1 AU 2003297647 20040630 AU 2003-297647 20031204 <--20051005 EP 2003-812799 EP 1581487 A2 20031204 <--R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK BR 2003017139 A 20051129 BR 2003-17139 20031204 <--CN 1791401 A 20060621 CN 2003-80109631 20031204 <--JP 2006516121 Т 20060622 JP 2004-559282 20031204 <--JP 4886193 B2 20120229 IN 2005005075 A IN 2005005075 20110525 20090123 CN 2010-10260709 20031204 <--IN244388A120090123IN2005-DN2279IN204388A120101210N200505970N20050818MX2005-5970IN2008DN00420A20080215IN2008-DN420N420US20090018311A120090115US2008-181216US7705033B220100427IN2008-181216 20050530 <--20050603 <--20080115 <--20080728 <--

US 20100274025 JP 2011006440 JP 2011006441 PRIORITY APPLN. INFO.:	A1 A A	20101028 20110113 20110113	US JP US US CN JP WO IN US	2010-712958 2010-181557 2010-181559 2002-431814P 2003-716012 2003-80109631 2004-559282 2003-US38558 2005-DN2279 2008-181216	20100225 < 20100816 < 20100816 < P 20021209 < A3 20031118 A3 20031204 A3 20031204 W 20031204 A3 20050530 A3 20080728							
ASSIGNMENT HISTORY FOR OTHER SOURCE(S):	US PATEN CASREA	NT AVAILABLE ACT 141:5461	IN I 8; MA	SUS DISPLAY FOR RPAT 141:54618	TAMY							
OS.CITING REF COUNT:	25	25 THERE ARE 25 CAPLUS RECORDS THAT CITE THIS RECORD (35 CITINGS)										
REFERENCE COUNT:	1	THERE ARE 1 RECORD. ALL	CITE CITA	D REFERENCES AN TIONS AVAILABLE	/AILABLE FOR THIS E IN THE RE FORMAT							
L23 ANSWER 2 OF 5 USPATFULL on STN ACCESSION NUMBER: 2010:307761 USPATFULL <u>Full-text</u> TITLE: METHODS AND COMPOUNDS FOR PRODUCING DIPEPTIDYL PEPTIDASE IV INHIBITORS AND INTERMEDIATES THEREOF												
INVENTOR(S): PATENT ASSIGNEE(S):	<pre>Vu, Truc Chi, Watchung, NJ, UNITED STATES THEREOF Vu, Truc Chi, Watchung, NJ, UNITED STATES Brzozowski, David B., Pattersonville, NY, UNITED STATES Fox, Rita, Princeton, NJ, UNITED STATES Godfrey, JR., Jollie Duaine, Ewing, NJ, UNITED STATES Hanson, Ronald L., Morris Plains, NJ, UNITED STATES Kolotuchin, Sergei V., Roselle Park, NJ, UNITED STATES Mazzullo, John A., Florence, SC, UNITED STATES Patel, Ramesh N., Bridgewater, NJ, UNITED STATES Wang, Jianji, Dayton, NJ, UNITED STATES Wong, Kwok, Lawrenceville, NJ, UNITED STATES Yu, Jurong, Dayton, NJ, UNITED STATES Zhu, Jason J., East Brunswick, NJ, UNITED STATES Magnin, David R., Sumter, SC, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES Hamann, Lawrence G., North Grafton, MA, UNITED STATES</pre>											
	STATES	(U.S. corpo JMBER	ratic KIND	DATE								
PATENT INFORMATION: APPLICATION INFO.: RELATED APPLN. INFO.:	US 2010 US 2010 Divisio 2008, H 2003-72	00274025 )-712958 on of Ser. N Pat. No. US 16012, filed	A1 A1 o. US 77050 on 1	20101028 20100225 (12) 2008-181216, 1 33 Division of 8 Nov 2003, Pat	filed on 28 Jul Ser. No. US c. No. US 7420079							
		NUMBER	_	DATE								
PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE: NUMBER OF CLAIMS:	US 2002 Utility APPLICZ McDonne Squibb, 22	2-431814P / ATION ell Boehnen 300 South	Hulbe Wacke	20021209 (60) ert & Berghoff I er Drive, Chicag	< LLP, Bristol-Myers go, IL, 60606, US							
EXEMPLARY CLAIM:	1											

LINE COUNT: 2619 CAS INDEXING IS AVAILABLE FOR THIS PATENT. L23 ANSWER 3 OF 5 USPATFULL on STN ACCESSION NUMBER: 2009:320331 USPATFULL Full-text TITLE: Amide Compounds INVENTOR(S): Kitamura, Shuji, Osaka, JAPAN Aicher, Thomas Daniel, Superior, CO, UNITED STATES Gonzales, Steve, Media, PA, UNITED STATES Le Huerou, Yvan, Boulder, CO, UNITED STATES Pratt, Scott Alan, Longmont, CO, UNITED STATES Turner, Tim, Longmont, CO, UNITED STATES Nakada, Yoshihisa, Osaka, JAPAN PATENT ASSIGNEE (S): TAKEDA PHARMACEUTICAL COMPANY LIMITED, OSAKA, JAPAN (non-U.S. corporation) NUMBER KIND DATE US 20090286791 A1 20091119 PATENT INFORMATION: APPLICATION INFO.: US 2007-309493 A1 20070720 (12) WO 2007-US16425 20070720 20090414 PCT 371 date DATE NUMBER \_\_\_\_\_ \_\_\_\_\_ EP 2001-12744220011127US 2006-832115P20060721 (60) PRIORITY INFORMATION: <--DOCUMENT TYPE: Utility APPLICATION FILE SEGMENT: LEGAL REPRESENTATIVE: WENDEROTH, LIND & PONACK, L.L.P., 1030 15th Street, N.W.,, Suite 400 East, Washington, DC, 20005-1503, US 29 NUMBER OF CLAIMS: EXEMPLARY CLAIM: 1 7740 LINE COUNT: CAS INDEXING IS AVAILABLE FOR THIS PATENT. L23 ANSWER 4 OF 5 USPATFULL on STN ACCESSION NUMBER: 2009:19680 USPATFULL Full-text METHODS AND COMPOUNDS FOR PRODUCING DIPEPTIDYL TITLE: PEPTIDASE IV INHIBITORS AND INTERMEDIATES THEREOF INVENTOR(S): Vu, Truc Chi, Watchung, NJ, UNITED STATES Brzozowski, David B., Pattersonville, NY, UNITED STATES Fox, Rita, Princeton, NJ, UNITED STATES Godfrey, JR., Jollie Duaine, Ewing, NJ, UNITED STATES Hanson, Ronald L., Morris Plains, NJ, UNITED STATES Kolotuchin, Sergei V., Roselle Park, NJ, UNITED STATES Mazzullo, John A., Florence, SC, UNITED STATES Patel, Ramesh N., Bridgewater, NJ, UNITED STATES Wang, Jianji, Dayton, NJ, UNITED STATES Wong, Kwok, Lawrenceville, NJ, UNITED STATES Yu, Jurong, Dayton, NJ, UNITED STATES Zhu, Jason J., East Brunswick, NJ, UNITED STATES Magnin, David R., Sumter, SC, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES Hamann, Lawrence G., North Grafton, MA, UNITED STATES BRISTOL-MYERS SQUIBB COMPANY, Princeton, NJ, UNITED PATENT ASSIGNEE(S):

STATES (U.S. corporation)

	NUMBER	KIND	DATE						
PATENT INFORMATION: APPLICATION INFO.: RELATED APPLN. INFO.:	US 2009001831: US 7705033 US 2008-181210 Division of Se 2003, Pat. No	1 A1 B2 6 A1 er. No. US . US 74200	20090115 20100427 20080728 2003-7160 79	(12) 012, fil∈	ed on 18 Nov				
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PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE: NUMBER OF CLAIMS: EXEMPLARY CLAIM: LINE COUNT: CAS INDEXING IS AVAILAE	US 2002-431814 Utility APPLICATION McDonnell Boel Squibb, 300 So 25 1 2646 BLE FOR THIS PAS	4P nnen Hulbe outh Wacke IENT.	20021209 rt & Bergh r Drive, (	(60) Noff LLP, Chicago,	< Bristol-Myers IL, 60606, US				
L23 ANSWER 5 OF 5 USE	PATFULL on STN								
ACCESSION NUMBER: TITLE:	Methods and co	SPATFULL ompounds f	or product	ing diper	otidyl				
INVENTOR(S):	<pre>peptidase IV inhibitors and intermediates thereof Vu, Truc Chi, Watchung, NJ, UNITED STATES Brzozowski, David B., Island Lake, IL, UNITED STATES Fox, Rita, Princeton, NJ, UNITED STATES Godfrey, Jollie Duaine JR., Ewing, NJ, UNITED STATES Hanson, Ronald L., Morris Plains, NJ, UNITED STATES Kolotuchin, Sergei V., Roselle Park, NJ, UNITED STATES Mazzullo, John A., Florence, SC, UNITED STATES Patel, Ramesh N., Bridgewater, NJ, UNITED STATES Wang, Jianji, Dayton, NJ, UNITED STATES Wong, Kwok, Lawrenceville, NJ, UNITED STATES Yu, Jurong, Dayton, NJ, UNITED STATES Zhu, Jason J., East Brunswick, NJ, UNITED STATES Magnin, David R., Hamilton, NJ, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES</pre>								
	NUMBER	KIND	DATE						
PATENT INFORMATION:	US 20050090539 US 7420079	9 A1 B2	20050428 20080902						
APPLICATION INFO.:	US 2003-716012	2 A1	20031118	(10)					
	NUMBER		DATE						
PRIORITY INFORMATION: DOCUMENT TYPE: FILE SEGMENT: LEGAL REPRESENTATIVE:	US 2002-431814 Utility APPLICATION STEPHEN B. DAV DEPARTMENT, P 31	4P VIS, BRIST O BOX 400	20021209 OL-MYERS S 0, PRINCE	(60) SQUIBB CC ION, NJ,	< DMPANY, PATENT 08543-4000, US				
MOLIDIA OF CLAINS:	JT								

EXEMPLARY CLAIM: 1 LINE COUNT: 2603 CAS INDEXING IS AVAILABLE FOR THIS PATENT. => D US6395767/PN 'US6395767' MUST END IN '/Q', '/A', '/L', '/S' OR '/B' The saved name for a query (or structure or screen set) must end with '/Q'. The saved name for an answer set must end with '/A'. The saved name for an L# list must end with '/L'. SDI request names must end with '/S'. To see a list of all saved query, answer set,, and L# list names for this loginid, enter "DISPLAY SAVED" at an arrow prompt (=>). Enter "DISPLAY SAVED/S" to see a list of SDI request names. Enter "DISPLAY SAVED/B" to see a list of BATCH search requests. => S US6395767/PN L24 2 US6395767/PN => DUP REMOV L24 PROCESSING COMPLETED FOR L24 2 DUP REMOV L24 (0 DUPLICATES REMOVED) L25 => D IBIB L24 1-YOU HAVE REQUESTED DATA FROM 2 ANSWERS - CONTINUE? Y/(N):Y L24 ANSWER 1 OF 2 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2001:693281 CAPLUS Full-text DOCUMENT NUMBER: 135:257147 TITLE: Preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl peptidase IV Robl, Jeffrey A.; Sulsky, Richard B.; Augeri, David INVENTOR(S): J.; Magnin, David R.; Hamann, Lawrence G.; Betebenner, David A. PATENT ASSIGNEE(S): Bristol-Myers Squibb Co., USA PCT Int. Appl., 135 pp. SOURCE: CODEN: PIXXD2 DOCUMENT TYPE: Patent LANGUAGE: English FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION: PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ WO2001068603A220010920WO2001068603A320020214 20010920 WO 2001-US7151 20010305 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG US 20020019411 A1 20020214 US 2001-788173 20010216 US 6395767 в2 20020528 <--

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REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT L24 ANSWER 2 OF 2 USPATFULL on STN ACCESSION NUMBER: 2002:32589 USPATFULL Full-text Cyclopropyl-fused pyrrolidine-based inhibitors of TITLE: dipeptidyl peptidase IV and method Robl, Jeffrey A., Newtown, PA, UNITED STATES INVENTOR(S): Sulsky, Richard B., West Trenton, NJ, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES Magnin, David R., Hamilton, NJ, UNITED STATES Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES Betebenner, David A., Lawrenceville, NJ, UNITED STATES NUMBER KIND DATE \_\_\_\_\_ \_\_\_\_ US 20020019411 A1 20020214 US 6395767 B2 20020528 US 2001-788173 A1 20010216 (9) PATENT INFORMATION: <--APPLICATION INFO.: NUMBER DATE \_\_\_\_\_ \_\_\_\_\_ PRIORITY INFORMATION: US 2000-188555P 20000310 (60) DOCUMENT TYPE: Utility FILE SEGMENT: APPLICAT APPLICATION FILE SEGMENT: LEGAL REPRESENTATIVE: MARLA J MATHIAS, BRISTOL-MYERS SQUIBB COMPANY, PATENT DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000 24 NUMBER OF CLAIMS: EXEMPLARY CLAIM: 1 2767 LINE COUNT: CAS INDEXING IS AVAILABLE FOR THIS PATENT. => D HIST (FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012) FILE 'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012 1 S SAXAGLIPTIN/CN L1L2 5 S SAXAGLIPTIN FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 L3 STR 361442-04-8 L4 2 S L3 FAM SAM FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 L5 48 S 361442-04-8/CRN FILE 'CAPLUS, USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012 480 S L1 Γ6 FILE 'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012 SET SMARTSELECT ON L7 SEL L1 1- CHEM : 6 TERMS SET SMARTSELECT OFF

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=> D L37 IBIB ABS 1-	
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ACCESSION NUMBER:	2001:693281 CAPLUS Full-text
DOCUMENT NUMBER:	135:257147
TITLE:	Preparation of fused cyclopropylpyrrolidine-based
	inhibitors of dipeptidyl peptidase IV
INVENTOR(S):	Robl, Jeffrey A.; Sulsky, Richard B.; Augeri, David
	J.; Magnin, David R.; Hamann, Lawrence G.; Betebenner,
	David A.
PATENT ASSIGNEE(S):	Bristol-Myers Squibb Co., USA
SOURCE:	PCT Int. Appl., 135 pp.
	CODEN: PIXXD2
DOCUMENT TYPE:	Patent
LANGUAGE :	English
FAMILY ACC. NUM. COUNT:	1

PATENT INFORMATION:

PATENT NO.	KIND DATE	APPLICATION NO.	DATE
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SG. SI. SK.	SI., T.I. TM. TR.	TT. TZ. IIA. IIG. IIS. IIZ.	VN. YII. ZA. ZW
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ASSIGNM	ENT HISTOP	RY FC	RU	S PA	ren i	. AVA	ILAB	LE I	N I	JSUS	DIS	SPLA	AY F	ORMA	Т			
OTHER SO	DURCE(S):			MARI	PAT	135:	2571	47										
GI																		



AB Dipeptidyl peptidase IV inhibiting compds. I (x = 0 or 1 and y = 0 or 1 provided that x = 1 when y = 0 and x = 0 when y = 1; n = 0, 1; X = H, CN; R1, R2, R3 and R4 = same or different and independently selected from H, (un)substituted chain or cyclic components) and the pharmaceutically acceptable salts or prodrugs (no data) were prepared Thus L-pyroglutamic acid Et ester was protected, cyclopropanated and reacted further with (S)-N-BOC-isoleucine providing an

intermediate II which reacted further to yield the fused cyclopropylpyrrolidine III in 57% yield. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases by employing a title DP 4 inhibitor or a combination of DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent. OS.CITING REF COUNT: THERE ARE 35 CAPLUS RECORDS THAT CITE THIS 35 RECORD (60 CITINGS) REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT L37 ANSWER 2 OF 2 USPATFULL on STN 2002:32589 USPATFULL Full-text ACCESSION NUMBER: Cyclopropyl-fused pyrrolidine-based inhibitors of TITLE: dipeptidyl peptidase IV and method INVENTOR(S): Robl, Jeffrey A., Newtown, PA, UNITED STATES Sulsky, Richard B., West Trenton, NJ, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES Magnin, David R., Hamilton, NJ, UNITED STATES Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES Betebenner, David A., Lawrenceville, NJ, UNITED STATES NUMBER KIND DATE \_\_\_\_\_ \_\_\_\_ US 20020019411 A1 20020214 US 6395767 B2 20020528 US 2001-788173 A1 20010216 (9) PATENT INFORMATION: APPLICATION INFO.: DATE NUMBER \_\_\_\_\_ \_\_\_\_\_ PRIORITY INFORMATION: US 2000-188555P 20000310 (60) <--DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION LEGAL REPRESENTATIVE: MARLA J MATHIAS, BRISTOL-MYERS SQUIBB COMPANY, PATENT DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000 NUMBER OF CLAIMS: 24 EXEMPLARY CLAIM: 1 LINE COUNT: 2767 CAS INDEXING IS AVAILABLE FOR THIS PATENT. AR Dipeptidyl peptidase IV (DP 4) inhibiting compounds are provided having the formula ##STR1##

where x is 0 or 1 and y is 0 or 1 (provided that x=1 when y=0 and x=0 when y=1);

n is 0 or 1; X is H or CN;

and wherein R.sup.1, R.sup.2, R.sup.3 and R.sup.4 are as described herein.

A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases as set out herein, employing such DP 4 inhibitor or a combination of such DP 4 inhibitor and one or more of another

antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

- => S L37 AND 361442-04-8/RN L38 0 L37 AND 361442-04-8/RN
- => S L37 AND 361442-04-8 L39 0 L37 AND 361442-04-8

=> D HIST

(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)

L1	FILE	'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012 1 S SAXAGLIPTIN/CN
L2		5 S SAXAGLIPTIN
L3 L4	FILE	'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 STR 361442-04-8 2 S L3 FAM SAM
L5	FILE	'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 48 S 361442-04-8/CRN
L6	FILE	'CAPLUS, USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012 480 S L1
	FILE	'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012 SET SMARTSELECT ON
L7		SEL L1 1- CHEM : 6 TERMS SET SMARTSELECT OFF
- 0	FILE	'CAPLUS, USPATFULL' ENTERED AT 15:50:53 ON 30 APR 2012
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цэ т.10		1218 DUP REMOVE LO (20 DUPLICATES REMOVED)
L11		1228 S L9 OR L10
L12		0 S L11 AND PD<20000309

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L20	1	S	L11	AND	PRD<20020312
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L24	2	S	US6	39576	57/PN
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L26 0 S L11 AND L25 472 S 361442-04-8/RN L27 L28 26 S L27 NOT L11 L29 0 S L28 AND (ROBL JEFFREY A/IN) L30 0 S L28 AND US6395767/PN L31 0 S L24 AND L27 L32 118 S (ROBL JEFFREY A/IN) L33 56 S L32 AND PRD<20030101 L34 56 S L32 AND PRD<20020101 L35 48 S L32 AND PRD<20010101 L36 35 S L32 AND PRD<20000311 2 S L32 AND PRD=20000310 L37 L38 0 S L37 AND 361442-04-8/RN 0 S L37 AND 361442-04-8 L39 => S L37 AND L5 2 L37 AND L5 L40 => DUP REMOV L40 PROCESSING COMPLETED FOR L40 2 DUP REMOV L40 (0 DUPLICATES REMOVED) L41 => D IBIB ABS HITSTR L41 ANSWER 1 OF 2 USPATFULL on STN 2002:32589 USPATFULL Full-text ACCESSION NUMBER: TITLE: Cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl peptidase IV and method INVENTOR(S): Robl, Jeffrey A., Newtown, PA, UNITED STATES Sulsky, Richard B., West Trenton, NJ, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES Magnin, David R., Hamilton, NJ, UNITED STATES Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES Betebenner, David A., Lawrenceville, NJ, UNITED STATES KIND DATE NUMBER ----- -----US 20020019411 A1 20020214 US 6395767 B2 20020528 US 2001-788173 A1 20010216 (9) PATENT INFORMATION: APPLICATION INFO.: NUMBER DATE \_\_\_\_\_ \_\_\_\_\_ US 2000-188555P PRIORITY INFORMATION: 20000310 (60) <--DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION LEGAL REPRESENTATIVE: MARLA J MATHIAS, BRISTOL-MYERS SQUIBB COMPANY, PATENT DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000 NUMBER OF CLAIMS: 24 EXEMPLARY CLAIM: 1 LINE COUNT: 2767 CAS INDEXING IS AVAILABLE FOR THIS PATENT. Dipeptidyl peptidase IV (DP 4) inhibiting compounds are provided having the AB formula ##STR1##

where x is 0 or 1 and y is 0 or 1 (provided that x=1 when y=0 and x=0 when y=1); n is 0 or 1; X is H or CN; and wherein R.sup.1, R.sup.2, R.sup.3 and R.sup.4 are as described herein. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases as set out herein, employing such DP 4 inhibitor or a combination of such DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent. CAS INDEXING IS AVAILABLE FOR THIS PATENT. IT 361442-05-9P (preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl peptidase IV) 361442-05-9 USPATFULL 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,

(1S, 3S, 5S) -, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

СМ 1

RN CN

> CRN 361442-04-8 CMF C18 H25 N3 O2

> > Absolute stereochemistry.



СМ 2

CRN	76-	-05	5-1	
CMF	C2	Η	FЗ	02



=> S L37 AND 361442-04-8/CRN
'CRN' IS NOT A VALID FIELD CODE
'CRN' IS NOT A VALID FIELD CODE
L42 0 L37 AND 361442-04-8/CRN

=> S L37 AND "361442-04-8" L43 0 L37 AND "361442-04-8"

=> FIL REG

FILE 'REGISTRY' ENTERED AT 16:39:15 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS)

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STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4

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TSCA INFORMATION NOW CURRENT THROUGH DECEMBER 23, 2011

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REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For information on property searching in REGISTRY, refer to:

http://www.cas.org/support/stngen/stndoc/properties.html

\*\*\* YOU HAVE NEW MAIL \*\*\* => S 361442-04-8/RN 1 361442-04-8/RN L44 => D L44 L44 ANSWER 1 OF 1 REGISTRY COPYRIGHT 2012 ACS on STN RN 361442-04-8 REGISTRY ΕD Entered STN: 11 Oct 2001 CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S, 3S, 5S) - (CA INDEX NAME)OTHER CA INDEX NAMES: 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, CN 2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-(9CI)

OTHER NAMES: CN BMS 477118 CN BMS 477118-11 CN Onglyza CN Saxaqliptin FS STEREOSEARCH 1339955-48-4 DR C18 H25 N3 O2 MF CI СОМ SR CA LC ADISINSIGHT, ANABSTR, CA, CAPLUS, CASREACT, CBNB, CHEMCATS, STN Files: CHEMLIST, DDFU, DRUGU, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK\*, PATDPASPC, PS, RTECS\*, TOXCENTER, USAN, USPAT2, USPATFULL (\*File contains numerically searchable property data)

Absolute stereochemistry.



\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\*

313 REFERENCES IN FILE CA (1907 TO DATE) 4 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA 330 REFERENCES IN FILE CAPLUS (1907 TO DATE)

=> FIL CAPLUS USPATFUL

FILE 'CAPLUS' ENTERED AT 16:40:05 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)

FILE 'USPATFULL' ENTERED AT 16:40:05 ON 30 APR 2012 CA INDEXING COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)

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=> S L32 AND PRD<20000310 L47 33 L32 AND PRD<20000310

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PROCESSING COMPLETED FOR L47 L48 31 DUP REMOV L47 (2 DUPLICATES REMOVED)

=> D IBIB ABS HITSTR

L48 ANSWER 1 OF 31 CA	PLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER:	2008:764140 CAPLUS Full-text
DOCUMENT NUMBER:	149:96035
TITLE:	Method for treating diabetes employing an aP2
	inhibitor and combination
INVENTOR(S):	Robl, Jeffrey A.; Parker, Rex A.; Biller, Scott A.;
	Jamil, Haris; Jacobson, Bruce L.; Kodukula, Krishna
PATENT ASSIGNEE(S):	Bristol-Myers Squibb Company, USA; Pesident and
	Fellows of Harvard College
SOURCE:	U.S., 19pp.
	CODEN: USXXAM
DOCUMENT TYPE:	Patent
LANGUAGE :	English
FAMILY ACC. NUM. COUNT:	1
PATENT INFORMATION:	

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE		
US 7390824	В1	20080624	US 1999-391053	19990907 <		
PRIORITY APPLN. INFO.:			US 1999-391053	19990907 <		
ASSIGNMENT HISTORY FOR	US PATEN	IT AVAILABLE	IN LSUS DISPLAY FORMAT			

OTHER SOURCE(S): MARPAT 149:96035

AB A method is provided for treating diabetes and related diseases, esp. Type II diabetes, employing an aP2 inhibitor or a combination of an aP2 inhibitor and another antidiabetic agent such as metformin, glyburide, troglitazone and/or insulin.

REFERENCE COUNT: 27 THERE ARE 27 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

=> D HIST

(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)

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- L2 5 S SAXAGLIPTIN

FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 L3 STR 361442-04-8

- L4 2 S L3 FAM SAM
- FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 L5 48 S 361442-04-8/CRN

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L16	0 S L11 AND AD<20020312
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L22	3 S L11 AND (ROBL JEFFREY A/IN)
L23	5 S L11 AND PRD<20030101
L24	2 S US6395767/PN
L25	2 DUP REMOV L24 (0 DUPLICATES REMOVED)
L26	0 S L11 AND L25
L27	472 S 361442-04-8/RN
L28	26 S L27 NOT L11
L29	0 S L28 AND (ROBL JEFFREY A/IN)
L30	0 S L28 AND US6395767/PN
L31	0 S L24 AND L27
L32	118 S (ROBL JEFFREY A/IN)
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L34	56 S L32 AND PRD<20020101
L35	48 S L32 AND PRD<20010101
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L38	0 S L37 AND 361442-04-8/RN
L39	0 S L37 AND 361442-04-8
L40	2 S L37 AND L5
L41	2 DUP REMOV L40 (0 DUPLICATES REMOVED)
L42	0 S L37 AND 361442-04-8/CRN
L43	0 S L37 AND "361442-04-8"
	FILE 'REGISTRY' ENTERED AT 16:39:15 ON 30 APR 2012
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	FILE 'CAPLUS, USPATFULL' ENTERED AT 16:40:05 ON 30 APR 2012
L45	480 S L44
L46	35 S L32 AND PRD<20000311
L47	33 S L32 AND PRD<20000310
L48	31 DUP REMOV L47 (2 DUPLICATES REMOVED)
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L49	0 L45 AND PRD<20000310
=> S	L45 AND PRD<20000311
L50	0 L45 AND PRD<20000311
=> S	L44 AND PRD<20000311

0 L44 AND PRD<20000311 L51 => S L44 AND PRD<20010311 L52 0 L44 AND PRD<20010311 => S L44 AND PRD=<20000310 L53 0 L44 AND PRD=<20000310 => S L45 AND (ROBL JEFFREY A/IN) L54 0 L45 AND (ROBL JEFFREY A/IN) => D HIST (FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012) FILE 'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012 1 S SAXAGLIPTIN/CN L1L2 5 S SAXAGLIPTIN FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 LЗ STR 361442-04-8 2 S L3 FAM SAM L4 FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 L5 48 S 361442-04-8/CRN FILE 'CAPLUS, USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012 L6 480 S L1 FILE 'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012 SET SMARTSELECT ON L7 SEL L1 1- CHEM : 6 TERMS SET SMARTSELECT OFF FILE 'CAPLUS, USPATFULL' ENTERED AT 15:50:53 ON 30 APR 2012 1242 S L7 L8 454 DUP REMOVE L6 (26 DUPLICATES REMOVED) Гð 1218 DUP REMOVE L8 (24 DUPLICATES REMOVED) L10 L11 1228 S L9 OR L10 0 S L11 AND PD<20000309 L12 L13 0 S L11 AND AD<20000309 L14 0 S L11 AND AD<20000312 0 S L11 AND AD<20010312 L15 L16 0 S L11 AND AD<20020312 L17 0 S L11 AND AY<2002 L18 1071 S L11 AND AY>2002 L19 1071 S L11 AND AY>2000 L20 1 S L11 AND PRD<20020312 L21 0 S L11 AND ROBL/IN L22 3 S L11 AND (ROBL JEFFREY A/IN) L23 5 S L11 AND PRD<20030101 L24 2 S US6395767/PN L25 2 DUP REMOV L24 (0 DUPLICATES REMOVED) L26 0 S L11 AND L25 L27 472 S 361442-04-8/RN L28 26 S L27 NOT L11

L30 0 S L28 AND US6395767/PN
L31 0 S L24 AND L27
L32 II8 S (ROBL JEFFREY A/IN)
L33 56 S L32 AND PRD<20030101
L34 56 S L32 AND PRD<20020101
L35 48 S L32 AND PRD<20010101
L36 35 S L32 AND PRD<20000311
L37 2 S L32 AND PRD=20000310
L38 0 S L37 AND 361442-04-8/RN
L39 0 S L37 AND 361442-04-8
L40 2 S L37 AND L5
L41 2 DUP REMOV L40 (0 DUPLICATES REMOVED)
L42 0 S L37 AND 361442-04-8/CRN
L43 0 S L37 AND "361442-04-8"
FILE 'REGISTRY' ENTERED AT 16.39.15 ON 30 APR 2012
1  All Clother and Clother
ETTE (CADING HODAWEINT! ENWEDED AW 16.40.05 ON 20 ADD 2012
FILE CAPLUS, USPATFULL' ENTERED AT 16:40:05 ON 30 APR 2012
L45 480 S L44
L46 35 S L32 AND PRD<20000311
L47 33 S L32 AND PRD<20000310
L48 31 DUP REMOV L47 (2 DUPLICATES REMOVED)
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=> F REG
=> F REG
=> F REG L55 30092 REG
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=> F REG L55 30092 REG => FIL REG
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=> F REG L55 30092 REG => FIL REG FILE 'REGISTRY' ENTERED AT 16:46:53 ON 30 APR 2012
=> F REG L55 30092 REG => FIL REG FILE 'REGISTRY' ENTERED AT 16:46:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.
=> F REG L55 30092 REG => FIL REG FILE 'REGISTRY' ENTERED AT 16:46:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS.
<pre>=&gt; F REG L55 30092 REG =&gt; FIL REG FILE 'REGISTRY' ENTERED AT 16:46:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS)</pre>
<pre>=&gt; F REG L55 30092 REG =&gt; FIL REG FILE 'REGISTRY' ENTERED AT 16:46:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS)</pre>
<pre>=&gt; F REG L55 30092 REG =&gt; FIL REG FILE 'REGISTRY' ENTERED AT 16:46:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS) Property values tagged with IC are from the ZIC/VINITI data file</pre>
<pre>=&gt; F REG L55 30092 REG =&gt; FIL REG FILE 'REGISTRY' ENTERED AT 16:46:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS) Property values tagged with IC are from the ZIC/VINITI data file provided by InfoChem.</pre>
<pre>=&gt; F REG L55 30092 REG =&gt; FIL REG FILE 'REGISTRY' ENTERED AT 16:46:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS) Property values tagged with IC are from the ZIC/VINITI data file provided by InfoChem.</pre>
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<pre>=&gt; F REG L55 30092 REG =&gt; FIL REG FILE 'REGISTRY' ENTERED AT 16:46:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS) Property values tagged with IC are from the ZIC/VINITI data file provided by InfoChem. STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 CAS Information Use Policies apply and are available at:</pre>
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<pre>=&gt; F REG L55 30092 REG =&gt; FIL REG FILE 'REGISTRY' ENTERED AT 16:46:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS) Property values tagged with IC are from the ZIC/VINITI data file provided by InfoChem. STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 CAS Information Use Policies apply and are available at: <u>http://www.cas.org/legal/infopolicy.html</u> TSCA INFORMATION NOW CURRENT THROUGH DECEMBER 23, 2011 Please note that search-term pricing does apply when conducting SmartSELECT searches.</pre>

REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For information on property searching in REGISTRY, refer to:

http://www.cas.org/support/stngen/stndoc/properties.html

\*\*\* YOU HAVE NEW MAIL \*\*\*

=> S 361442-05-9/RN L56 1 361442-05-9/RN

=> FIL CAPLUS

FILE 'CAPLUS' ENTERED AT 16:47:11 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)

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FILE COVERS 1907 - 30 Apr 2012 VOL 156 ISS 19
FILE LAST UPDATED: 29 Apr 2012 (20120429/ED)
REVISED CLASS FIELDS (/NCL) LAST RELOADED: Dec 2011
USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Dec 2011

CAplus now includes complete International Patent Classification (IPC) reclassification data for the fourth quarter of 2011.

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=> S L56 L57 4 L56

=> D IBIB ABS HITSTR 1-YOU HAVE REQUESTED DATA FROM 4 ANSWERS - CONTINUE? Y/(N):Y

L57 ANSWER 1 OF 4	CAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER:	2012:439526 CAPLUS <u>Full-text</u>
DOCUMENT NUMBER:	156:432112
TITLE:	Oral preparation of saxagliptin
INVENTOR(S):	Lin, Fei

PATENT ASSIGNEE(S):	Peop. Rep. China
SOURCE:	Faming Zhuanli Shenqing, 18pp.
	CODEN: CNAXEV
DOCUMENT TYPE:	Patent
LANGUAGE:	Chinese
FAMILY ACC. NUM. COUNT:	1
PATENT INFORMATION:	

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
CN 102379869	A	20120321	CN 2010-10267175	20100831
PRIORITY APPLN. INFO.:			CN 2010-10267175	20100831

AB Application (for treating diabetes or the related diseases) of the invention should be covered in the abstract The title preparation contains saxagliptin 1-40 mg and the carrier. The title preparation contains saxagliptin hydrochloride 0.1-50% and the carrier 50-99.9%. The preparation method of dispersible tablet consists of pulverizing the saxagliptin hydrochloride, pulverizing the carrier, adding the loading agent, disintegrant, surfactant, flavoring, aromatic substance and colorant, mixing, adding the powder of saxagliptin hydrochloride, mixing, preparing the 2-15% bond solution with bond and water or ethanol-water, adding the bond to make the damp mass, pelletizing, parching, adding the glidant, lubricant and disintegrant, mixing, tabletting.

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-04-8 CMF C18 H25 N3 O2

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

$$F - \begin{bmatrix} F \\ C \\ F \end{bmatrix}$$

L57 ANSWER 2 OF 4 CAPLUS COPYRIGHT 2012 ACS on STN 2008:1300536 CAPLUS Full-text ACCESSION NUMBER: 149:519052 DOCUMENT NUMBER: TITLE: Preparation of crystal forms of saxagliptin INVENTOR(S): Gougoutas, Jack Z.; Malley, Mary F.; DiMarco, John D.; Yin, Xiaotian S.; Wei, Chenkou; Yu, Jurong; Vu, Truc Chi; Jones, Gregory Scott; Savage, Scott A. PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA SOURCE: PCT Int. Appl., 134pp. CODEN: PIXXD2 DOCUMENT TYPE: Patent LANGUAGE: English FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION: KIND DATE PATENT NO. APPLICATION NO. DATE \_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ A2 WO 2008-US60711 WO 2008131149 20081030 20080418 WO 2008131149 A3 20090625 W: AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA US 20090054303 A1 20090226 US 2008-105316 20080418 US 7943656 В2 20110517 Α1 20090722 AR 2008-101632 AR 66130 20080418 EP 2008-746183 EP 2137149 A2 20091230 20080418 AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, R: IE, IS, IT, LI, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, MK, RS JP 2010524966 Т 20100722 JP 2010-504258 20080418 IN 2009DN06560 Α 20100611 IN 2009-DN6560 20091014 CN 101687793 Α 20100331 CN 2008-80021025 20091221 US 20110257085 Α1 20111020 US 2011-81341 20110406 PRIORITY APPLN. INFO.: US 2007-912950P P 20070420 US 2008-105316 A3 20080418 W 20080418 WO 2008-US60711 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

AB Phys. crystal structures of saxagliptin are provided including the free base monohydrate thereof (form H-1) and the hydrochloride thereof, including

hydrochlorde containing 0.75 equiv of H2O (form H0.75-3) and hydrochloride containing 2 equivs of H2O (form H2-1), and hydrochlorde Pattern P-5, preferably in substantially pure form, and other forms as described herein, pharmaceutical compns. containing these compds. processes for preparing the same, and methods of treating diseases such as diabetes.

IT 361442-05-9

RL: PRP (Properties); RCT (Reactant); RACT (Reactant or reagent)
 (preparation of crystal forms of saxagliptin)

RN 361442-05-9 CAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-04-8 CMF C18 H25 N3 O2

Absolute stereochemistry.



CM 2

CRN	76-	-05	5-1	
CMF	C2	Η	F3	02

CO2H

OS.CITING REF COUNT:	4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD									
	(4 CITINGS)									
L57 ANSWER 3 OF 4 CAPL	JS COPYRIGHT 2012 ACS on STN									
ACCESSION NUMBER:	2005:543673 CAPLUS Full-text									
DOCUMENT NUMBER:	143:221803									
TITLE:	Discovery and Preclinical Profile of Saxagliptin									
	(BMS-477118): A Highly Potent, Long-Acting, Orally									
	Active Dipeptidyl Peptidase IV Inhibitor for the									
Treatment of Type 2 Diabetes										
AUTHOR(S):	Augeri, David J.; Robl, Jeffrey A.; Betebenner, David									
	A.; Magnin, David R.; Khanna, Ashish; Robertson, James									
	G.; Wang, Aiying; Simpkins, Ligaya M.; Taunk, Prakash;									

		Huang, Qi; Han, Song-Ping; Abboa-Offei, Benoni; Cap, Michael; Xin, Li; Tao, Li; Tozzo, Effie; Welzel, Gustav E.; Egan, Donald M.; Marcinkeviciene, Jovita; Chang, Shu Y.; Biller, Scott A.; Kirby, Mark S.; Parker, Rex A.; Hamann, Lawrence G.									
CORPORAI	'E SOURCE:	Department of Discovery Chemistry, Bristol-Myers Squibb, Princeton, NJ, 08543-5400. USA									
SOURCE:		Journal of Medicinal Chemistry (2005), 48(15), 5025-5037 CODEN: IMCMAR: ISSN: 0022-2623									
PUBLISHE	'B •	American Chemical Society									
DOCUMENT	ик. • ТҮРЕ.•	Journal									
LANGUAGE	· · · · ·	English									
OTHER SC	URCE(S):	CASREACT 143:221803									
AB Ef	forts to further	elucidate structure-activity relationships (SAR) within the									
au L-	thors previously cis-4,5-methanopr	disclosed series of $\beta$ -quaternary amino acid linked olinenitrile dipeptidyl peptidase IV (DPP-IV) inhibitors led									
to	the investigatio	n of vinyl substitution at the $eta$ -position of									
α-vi pl and pr Ex di BM in di IT 361 RL: pre (Pr	cycloalkyl-substi nyl-substituted c asma DPP-IV inhib d were shown to e ecursors in effic tension of this a scovery of highly S-477118 (saxagli hibitor, which is abetes. A42-05-9P PAC (Pharmacolog eparation); THU (T ceparation); USES (discovery and pr potent and long-a	tuted glycines. Despite poor systemic exposure, ompds. showed extended duration of action in acute rat ex vivo ition models. Oxygenated putative metabolites were prepared xhibit the potency and extended duration of action of their acy models measuring glucose clearance in Zuckerfa/fa rats. pproach to adamantylglycine-derived inhibitors led to the potent inhibitors, including hydroxyadamantyl compound ptin), a highly efficacious, stable, and long-acting DPP-IV currently undergoing clin. trials for treatment of type 2 gical activity); PKT (Pharmacokinetics); SPN (Synthetic Therapeutic use); BIOL (Biological study); PREP (Uses) ceclin. profile of saxagliptin (BMS-477118) as highly acting and orally active dipeptidyl peptidase IV									
RN 361	442-05-9 CAPLUS	eacment of type 2 diabetes)									
CN 2-A	zabicvclo[3.1.0]	nexane-3-carbonitrile.									
2-[ (1s	((2S)-2-amino-2-(3 5,3S,5S)-, 2,2,2-t	3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, crifluoroacetate (1:1) (CA INDEX NAME)									
CM	1										
CRN CMF	I 361442-04-8 ' C18 H25 N3 O2										
Absolute	e stereochemistry.										



CM 2

CRN 76-05-1 CMF C2 H F3 O2

$$F - C - CO_2H$$

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REFEREN	SFERENCE COUNT: 64 THERE ARE 64 CITED REFERENCES AVAILABLE FOR TH RECORD. ALL CITATIONS AVAILABLE IN THE RE FORM														HIS MAT			
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AB Dipeptidyl peptidase IV inhibiting compds. I (x = 0 or 1 and y = 0 or 1 provided that x = 1 when y = 0 and x = 0 when y = 1; n = 0, 1; X = H, CN; R1, R2, R3 and R4 = same or different and independently selected from H, (un)substituted chain or cyclic components) and the pharmaceutically acceptable salts or prodrugs (no data) were prepared Thus L-pyroglutamic acid Et ester was protected, cyclopropanated and reacted further with (S)-N-BOC-isoleucine providing an intermediate II which reacted further to yield the fused cyclopropylpyrrolidine III in 57% yield. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases by employing a title DP 4 inhibitor or a combination of DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.

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IT 361442-05-9P
RL: BAC (Biological activity or effector, except adverse); BSU (Biological
study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use);
BIOL (Biological study); PREP (Preparation); USES (Uses)
        (preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl
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2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
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OS.CITING	REF COUNT:	35	THERE ARE 35 CAPLUS RECORDS THAT CITE THIS
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SESSION WILL BE HELD FOR 120 MINUTES STN INTERNATIONAL SESSION SUSPENDED AT 16:48:32 ON 30 APR 2012 CourtLink, Lexis/Nexis and Dialog Litigation search for USP 6,395,767. Case 13/308,658.

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INVENTOR: Robl, Jeffrey A. - Newtown, Pennsylvania ; Sulsky, Richard B. - West Trenton, New Jersey ; Augeri, David J. - Princeton, New Jersey ; Nagnin, David R. - Hamilton, New Jersey ; Hamann, Lawrence G. - Cherry Hill, New Jersey ; Betebenner, David A. - Lawrenceville, New Jersey

Lexis lists litigation at the top of its patents: No litigation listed.

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... improve glycemic control in adults with type 2 diabetes mellitus. Subsequent to this approval, the Patent and Trademark Office received a patent term restoration application for DNGLYZA (U.S. Patent No. 6,395,767) from Bristol-Myers Soubb Co., and the Patent and Trademark Office requested FDA's assistance in determining this patent's eligibility for patent term restoration. In a letter dated March 3, 2010,

One article found. No litigation is mentioned.

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- · Check that you are using parentheses correctly when you combine words with AND, OR, NOT.
- Check for misspelled words.

#### reture search

Litigation search for USP 6,395,767. Case 13/308,658.



## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

eofficemonitor@woodcock.com

Applicant-Initiated Interview Summary	Application No.	Applicant(s)		
	13/308,658	ROBL ET AL.		
	Examiner	Art Unit		
	Gregg Polansky	1629		
All participants (applicant, applicant's representative, PTO personnel):				
(1) <u>Gregg Polansky</u> .	(3) <u>Maurice Valla</u> .			
(2) <u>James Anderson</u> .	(4)			
Date of Interview: <u>22 May 2012</u> .				
Type: 🛛 Telephonic 🔲 Video Conference Personal [copy given to: ] applicant ] applicant's representative]				
Exhibit shown or demonstration conducted:  Yes No. If Yes, brief description:				
Issues Discussed 101 112 102 103 Others (For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)				
Claim(s) discussed: <u>pending claims</u> .				
Identification of prior art discussed: <u>none</u> .				
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc)				
Discussed the objections and rejections set forth in the Office action mailed on 5/08/2012. Attorney Valla spoke to his understanding of the issues presented in the Office action and the means to overcome them. The Examiner's provided clarification with regard to problems with Applicants' Oath and the incorporation of corrections provided by the Certificate of Correction in the original patent.				
Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.				
the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.				
/JAMES D ANDERSON/ Primary Examiner, Art Unit 1629	/Gregg Polansky/ Examiner, Art Unit 1629			

#### Summary of Record of Interview Requirements

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the guestion of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jeffrey A. Robl	Confirmation No.: 7781
Application No.: 13/308,658	Group Art Unit: 1629
Filing Date: December 1, 2011	Examiner: Gregg Polansky

# For: Cyclopropyl-Fused Pyrrolidine-Based Inhibitors of Dipeptidyl Peptidase IV and Method

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

## **REPLY PURSUANT TO 37 CFR § 1.111**

In response to the Official Action dated May 8, 2012, reconsideration is respectfully requested in

view of the amendments and/or remarks as indicated below:

- A Listing of Prior Changes to U.S. 6,395,767 ("the 767 patent") Made By Certificate of Correction begin on page 2 of this paper.
- Amendments to the Claims of the 767 Patent begin on page 16 of this paper.
- A Complete Listing of the Claims as Amended, with status identifiers, begins on page 22 of this paper.
- **Remarks** begin on page 33 of this paper.
- The Commissioner is hereby authorized to charge any fee deficiency, charge any additional fees, or credit any overpayment of fees, associated with this application in connection with this filing, or any future filing, submitted to the U.S. Patent and Trademark Office during the pendency of this application, to Deposit Account No. 23-3050.

PATENT

#### Changes to 767 Patent Previously Entered by Certificate of Correction

1. As indicated by the Certificate of Correction, please substitute the following

paragraph for the paragraph at col. 7, line 4-col. 8, line 7 of the 767 patent:

Alternately, the carboxamide group in 8 may be converted to the nitrile as described above to give compound 9. Deprotection of PG<sub>1</sub> affords 10 which may be subject to standard peptide coupling conditions to afford 7, an intermediate in the synthesis of Ib. Compound 10 may also be generated by oxidation of the amine 2 (e.g. NCS) followed by hydrolysis and subsequent cyanide treatment. Compound 10 may be obtained as a mixture of stereoisomers or a single isomer/diastereomer which may be epimerized (employing conventional procedures) to afford a mixture of stereoisomers.

2. As indicated by the Certificate of Correction, please insert the following structure at col. 14, line 50 of the 767 patent:



3. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 55-58 of the 767 patent:

The term "cycloheteroalkylalkyl" as used herein alone or as part of another group refers tocycloheteroalkyl groups as defined above linked through a C atom or heteroatom to a (CH<sub>2</sub>)<sub>r</sub> chain.

4. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 15, lines 49-56 of the 767 patent:

The other antidiabetic agent may also preferably be a sulfonyl urea such as glyburide (also known as glibenclamide), glimepiride (disclosed in U.S. Pat. No. 4,379,785), glipizide, gliclazide or chlorpropamide, other known sulfonylureas or other

PATENT

antihyperglycemic agents which act on the ATP-dependent channel of the  $\beta$ -cells, with glyburide and glipizide being preferred, which may be administered in the same or in separate oral dosage forms.

5. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 20, lines 57-62 of the 767 patent:

The other type of therapeutic agent which may be optionally employed with the DP4 inhibitor of formula I may be 1, 2, 3 or more of an anti-obesity agent including a beta 3 adrenergic agonist, a lipase inhibitor, a serotonin (and dopamine) reuptake inhibitor, a thyroid receptor beta drug, an anorectic agent and/or a fatty acid oxidation upregulator.

6. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, lines 15-29 of the 767 patent:

To a stirred solution of (S)-N-tert-butoxycarbonyl-isoleucine (231 mg, 1 mmol) and benzotriazol-1-yloxytripyrrolidinophosphonium hexafluorophosphate (780 mg, 1.5 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (6 mL) under nitrogen at rt was added 4-methylmorpholine (0.33 mL, 3 mmol). After 5 min, Step 1 compound (120 mg, 1 mmol) was added in one portion. The reaction mixture was stirred under nitrogen at rt overnight and then diluted with CH<sub>2</sub>Cl<sub>2</sub> (30 mL), washed with 4.1% KHSO<sub>4</sub> (10 mL)), aqueous NaHCO<sub>3</sub> (10 mL), brine (10 mL), dried (Na<sub>2</sub>SO<sub>4</sub>) and evaporated. Purification by flash chromatography on silica gel (2.4x20 cm column, 1:3 EtOAc/hexane) gave the title compound as a colorless oil, 290 mg, 90% yield. LC/MS gave the correct molecular ion [(M+H)<sup>+</sup> =297] for the desired compound.

7. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, line 40-col. 30, line 3 of the 767 patent:

The reaction mixture of Step 2 compound (220 mg, 0.74 mmol) and 4 M HCl in dioxane (1.5 mL, 6 mmol) was stirred at rt for 2 h and evaporated under reduced pressure. Et<sub>2</sub>O was added to the residue and a precipitate was formed. Et<sub>2</sub>O was decanted and this was

done three times. The precipitate was dried *in vacuo* to give the title compound as a white powder, 130 mg (76% yield), mp 205-206°C. LC/MS gave the correct molecular ion  $[(M+H)^+ = 197]$  for the desired compound.

8. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, lines 54-63 of the 767 patent:

To a stirred solution of Step 2 compounds (104 mg, 0.32 mmol) in  $CH_2Cl_2$  (1 mL) at rt was added TFA (1 mL). The reaction mixture was stirred at rt for 2 h. The reaction mixture was added slowly to a precooled slurry of NaHCO<sub>3</sub> (2 g) in H<sub>2</sub>O (2 mL). The mixture was extracted with  $CH_2Cl_2$  (4 mL x 4), and combined  $CH_2Cl_2$  layers were evaporated and purified by preparative HPLC to give the title compound Example 5 (36 mg) and Example 5A (36 mg). LC/MS gave the correct molecular ion [(M+H)<sup>+</sup> = 222] for the desired compounds..

9. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, line 66-col. 33, line 12 of the 767 patent:

General Method A: Parallel array synthesis methods for preparation of inhibitors from commercially available amino acids. As shown in Scheme 3, the ester 11, described in Example 1 Step 1, was saponified to the acid with LiOH in THF/H<sub>2</sub>O and converted to the amide 12 by treatment with isobutyl chloroformate/NMM followed by ammonia in dioxane. The Boc protecting group was removed under acidic conditions using TFA in methylene chloride to give 13. The TFA salt was coupled to Boc-*t*-butylglycine using either EDAC/HOBT/DMF or EDAC/DMAP/CH<sub>2</sub>Cl<sub>2</sub> to give 14. The amide was dehydrated to the nitrile 15 using POCl<sub>3</sub>/imidazole in pyridine at  $-20^{\circ}$ C and finally deprotected with TFA in CH<sub>2</sub>Cl<sub>2</sub> at ambient temperature to afford the target 16. SCHEME 3, GENERAL METHOD A (EXAMPLES 6-27)

10. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 34, line 59-col. 35, line 13 of the 767 patent:

#### DOCKET NO.: BMS-2856 Application No.: 13/308,658 Office Action Dated: May 8, 2012

## PATENT

An oven-dried 15-mL test tube was charged with Step 3 compound (56 mg, 0.22 mmol), N-tert-butoxycarbonyl-(L)-tert-leucine (53 mg, 0.23 mmol), dimethylaminopyridine (0.11 g, 0.88 mmol), and CH<sub>2</sub>Cl<sub>2</sub> (4 mL). The tube was sealed under nitrogen atmosphere and treated with 1-[(3-(dimethyl)amino)propyl]-3-ethylcarbodiimide (84 mg, 0.44 mmol). The mixture was placed in a shaker and vortexed overnight. The product was purified by solid phase extraction using a United Technology SCX column (2 g of sorbent in a 6 mL column) by loading the material on a SCX ion exchange column and successively washing with CH<sub>2</sub>Cl<sub>2</sub> (5 mL), 30% methanol in CH<sub>2</sub>Cl<sub>2</sub> (5 mL), 50% methanol in CH<sub>2</sub>Cl<sub>2</sub> (5 mL) and methanol (10 mL). The product containing fractions were concentrated under reduced pressure to give the desired amide. Further purification by reverse phase preparative column chromatography on a YMC S5 ODS 20 x 250 mm column gave the title compound, 50 mg (68% yield). Purification conditions: Gradient elution from 30% methanol/water/0.1 TFA to 90% methanol/water/0.1 TFA over 15 min. 5 min. hold at 90% methanol/water/0.1 TFA. Flow rate: 20 mL/min. Detection wavelength: 220. Retention Time: 14 min.

11. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 41, lines 36-46 of the 767 patent:

An oven-dried 10-mL round bottomed flask was charged with Step 2 compound (350 mg, 0.79 mmol), imidazole (108 mg, 1.58 mmol), pyridine (3 mL). The flask under argon was cooled to  $-30^{\circ}$ C. Slow addition of POCl<sub>3</sub> (0.30 mL, 3.16 mmol) gave after mixing a thick slurry. The slurry was mixed at  $-30^{\circ}$ C for 3 h and the volatiles evaporated. Dichloromethane (5 mL) was then added and the insoluble solid was removed by filtration. The organic layer was washed with H<sub>2</sub>O, 10% citric acid, brine and dried over Na<sub>2</sub>SO<sub>4</sub>. Removal of solvent gave crude desired nitrile (330 mg) (LC/Mass, + ion): 424 (M+H).

12. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 20-29 of the 767 patent:

To a flame-dried 500-mL round-bottomed flask containing cyclopentylideneacetic acid ethyl ester (17.5 g, 113 mmol) in 100 mL anhydrous toluene at -78°C under argon was added DIBAL-H (189 mL of a 1.5 M solution in toluene, 284 mmol, 2.50 equiv) dropwise over a 30 min period through an addition funnel, and the mixture was then allowed to warm to rt, stirring for 18 h. The reaction mixture was then recooled to -78°C, and quenched by the careful addition of 30 mL anhydrous MeOH. Upon warming to rt, 1 N Rochelle's salt (100 mL) was added, and the mixture was stirred 90 min. The biphasic reaction mixture was then diluted with Et<sub>2</sub>O (200 mL) in a separatory funnel, and the layers were separated. The organic layer was then washed with brine (100 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash column chromatography (silica gel, CH<sub>2</sub>Cl<sub>2</sub> / EtOAc, 10:1) gave 11.6 g (92%) of the desired allylic alcohol as a colorless oil.

13. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 53-67 of the 767 patent:

То flame-dried 500-mL round-bottomed а flask containing N-(tertbutyloxycarbonyl)glycine (13.45 g, 76.75 mmol) in 100 mL CH<sub>2</sub>Cl<sub>2</sub> at rt was added Step 2 compound (8.61 g, 76.75 mmol, 1.00 equiv) in 20 mL CH<sub>2</sub>Cl<sub>2</sub>, followed by dicyclohexylcarbodiimide (16.63 g, mmol, 1.05 equiv) in 80 mL CH<sub>2</sub>Cl<sub>2</sub>. To this reaction mixture was then added 4-dimethylaminopyridine (0.94 mg, mmol, 0.10 equiv), and the mixture was allowed to stir overnight. The reaction mixture was then filtered through a medium sintered-glass funnel, rinsing with 100 mL CH<sub>2</sub>Cl<sub>2</sub>, and concentrated under reduced pressure. The crude product was then purified by flash chromatography (silica gel, hexanes/EtOAc, 20:1 to 1:1 gradient) to give 19.43 g (94%) of the desired glycinyl ester as a colorless oil.

14. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 44, lines 19-45 of the 767 patent:

A flame-dried 500-mL round-bottomed flask under argon was charged with  $ZnCl_2$  (11.8) g, mmol, 1.20 equiv) and 20 mL toluene. The mixture was heated under vacuum with vigorous stirring to azeotrope off any traces of moisture with the distilling toluene, repeating this process (2 x). The flask was then cooled to rt under argon, (2cyclopentylideneethyl) N-(tert-butyloxycarbonyl)glycinate (19.36 g, 71.88 mmol) was added via cannula as a solution in 180 mL THF, and the mixture was then cooled to -78°C. In a separate flame-dried 200-mL round-bottomed flask containing diisopropylamine (26.3 mL, mmol, 2.60 equiv) in 90 mL THF at -78°C was added nbutyllithium (71.89 mL of a 2.5 M solution in hexanes, mmol, 2.5 equiv), and the mixture was allowed to warm to 0°C for 30 min before recooling to -78°C. The lithium diisopropylamine thus generated was then added via cannula to the ZnCl<sub>2</sub> ester mixture dropwise at a steady rate over 40 min, and the resultant reaction mixture was allowed to slowly warm to rt and stir overnight. The yellow reaction mixture was then poured into a separatory funnel, diluted with 300 mL Et<sub>2</sub>O, and the resultant organic solution was washed successively with 300 mL 1N HCl and 300 mL brine, dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash chromatography (silica gel, 3% MeOH in CH<sub>2</sub>Cl<sub>2</sub> with 0.5% HOAc) gave 17.8 g (92%) of the desired amino acid product as a white solid. (FAB MH+ 270).

15. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col 46, lines 48-59 of the 767 patent:

Step 2 compound (32 mg, 0.09 mmol) was dissolved in 1 mL of  $CH_2Cl_2$  and 1 mL of TFA was added and the reaction stirred for 30 min at rt and was evaporated to dryness. The product was purified by reverse phase preparative column chromatography on a YMC S5 ODS 20 X 250 mm column to give 12 mg of the TFA salt (lyophilized from water or isolated after evaporation of eluent and trituration with ether) the title compound. Purification conditions: gradient elution from 10% methanol/water/0.1 TFA

to 90% methanol/water/0.1 TFA over 18 min; 5 min. hold at 90% methanol/water/0.1 trifluoroacetic acid. Flow rate: 20 mL/min. Detection wavelength: 220.

16. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 46, lines 61-67 of the 767 patent:

Examples 30–39 were prepared by the methods outlined in General Method B and General Method C starting from cyclopentanone, cyclobutanone, cyclohexanone, cyclohexanone, cycloheptanone, cyclooctanone, cis-3,4-dimethylcyclopentanone, and 4-pyranone, cyclopropaneethylhemiacetal, acetone, and 3-pentanone respectively.

- 17. As indicated by the Certificate of Correction, at col. 52, line 64 of the 767 patent, change "25" to ---28--.
- 18. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 28-45 of the 767 patent:

N-Boc protected cyclobutylvinyl compound (Example 31, prepared by general method C) (0.16 g, 0.46 mmol) was dissolved in 10 mL of a 1:1 mixture of THF:water and treated with OsO<sub>4</sub> (12 mg, catalyst) and NaIO<sub>4</sub> (0.59 g, 2.76 mmol, 6 equiv). After 2 h, the reaction mixture was diluted with 50 mL of ether and 10 mL of water. The layers were equilibrated and the organic fraction was washed one time with NaHCO<sub>3</sub> solution, dried over MgSO<sub>4</sub> and concentrated to give a dark oil. The oil was diluted with 10 mL of methanol and treated with NaBH<sub>4</sub> (0.08 g, 2.0 mmol). The mixture turned very dark and after 30 min was diluted with ether and the reaction was quenched with aqueous NaHCO<sub>3</sub> solution. The mixture was equilibrated and layers separated. The organic fraction was washed with solutions of NaHCO<sub>3</sub> and 0.1 M HCl. The organics were dried (MgSO<sub>4</sub>) and concentrated to give 90 mg (56%) of the Step 1 compound as a dark oil.

19. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 59-67 of the 767 patent:

Step 1 compound (90 mg, 0.26 mmol) was dissolved in 3 mL of  $CH_2Cl_2$ , cooled to 0°C and treated with 3 mL of freshly distilled TFA. The reaction was complete in 80 min and evaporated to dryness and purified by preparative HPLC (YMC S5 ODS 30 x 100 mm, 10 minute gradient 100%A to 100%B, Solvent A = 10% MeOH-90%H2O-0.1% TFA, Solvent B = 90% MeOH-10% H<sub>2</sub>O -0.1% TFA, to give, after removal of water, 50 mg (60%) of title compound. (MH+250).

20. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 62, lines 56-67 of the 767 patent:

The Step 2 compound (95 mg, 0.22 mmol, 1 equiv) was dissolved in anhydrous  $CH_2Cl_2$  (2.5 mL) under argon and cooled to  $-78^{\circ}C$ . The mixture was treated with diisopropylethylamine (65 µL, 0.37 mmol, 1.7 equiv), and triethylsilyl triflate (75 µL, 0.33 mmol, 1.5 equiv), and stirred at 0°C for 1.5 h. The reaction was mixed with MeOH (0.5 mL), silica gel (200 mg) and H<sub>2</sub>O (2 drops) and stirred at rt for 18 h. The solvent was removed by rotary evaporation and the residue purified flash column chromatography on silica gel(2.5x10 cm) with 4% MeOH/CH<sub>2</sub>Cl<sub>2</sub> to afford the product (92 mg, 0.17 mmol, 77%): MS m/e 540 (m+H)<sup>+</sup>.

21. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 66, lines 11-27 of the 767 patent:

An oven-dried flask equipped with a condenser and drying tube was charged with norbornane-2-carboxylic acid (4.92 g, 35 mmol, 1 equiv) and treated with bromine (2.1 mL, 41 mmol, 1.15 equiv) and phosphorous trichloride (0.153 mL, 1.8 mmol, 0.05 equiv). The mixture was heated at 85°C for 7 h protected from light. Additional bromine (0.4 mL, 7.8 mmol, 0.22 equiv) was added with continued heating for 1 h. The mixture was cooled to rt, and Et<sub>2</sub>O (100 mL) was added. The mixture was washed with 10% aq NaHSO<sub>3</sub> (50 mL), H<sub>2</sub>O (2x50 mL), and brine (25 mL). The ether fraction was dried (Na<sub>2</sub>SO<sub>4</sub>), filtered and concentrated by rotary evaporation. The product was purified by flash column chromatography on silica gel (5x15 cm) with 2% to 4% MeOH/CH<sub>2</sub>Cl<sub>2</sub> +

0.5% HOAc. The product was chased with hexanes to remove residual HOAc. The isolated material consists of two inseparable materials (4.7 g), which was used without further purification in the next step.

22. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 20-27 of the 767 patent:

To a 50-mL round-bottomed flask containing Step 2 compound (0.72 g, 4.20 mmol) in 8 mL of water at rt was added NaCN (0.20 g, 4.20 mmol) followed by NH<sub>4</sub>Cl (0.20 g, 5.00 mmol). To this reaction mixture was then added methanol (8 mL) and the mixture was allowed to stir overnight. The reaction mixture was then extracted with ether (2x15 mL), dried (MgSO<sub>4</sub>) and concentrated under reduced pressure to give the crude Strecker product.

23. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 28-44 of the 767 patent:

To a 100-mL round-bottomed flask containing the crude Strecker product was added 10 mL of HOAc and 10 mL of conc. HCl. The mixture was refluxed overnight. The mixture was concentrated under reduced pressure to give a yellow solid. The solid was triturated with 5 mL of 1:1 mixture of ether and hexanes. The white solid was treated with triethylamine (1.4 mL, 9.99 mmol) and di-*tert*-butyldicarbonate (1.00 g, 4.60 mmol) in 50 mL DMF. After 4 h the pH of the mixture was adjusted to 9 with saturated Na<sub>2</sub>CO<sub>3</sub> soln. After an additional 3 h of stirring the mixture was extracted with 1:1 ether and hexanes and the aqueous fraction acidified to pH 2 with 5% KHSO<sub>4</sub> solution. The aqueous phase was washed with ether (2 X 40 mL), the organics dried (MgSO<sub>4</sub>), and evaporated to an oil that was purified by silica gel flash chromatography with 8:92 methanol:CH<sub>2</sub>Cl<sub>2</sub> to give 0.3 g (23%) of the Boc-protected amino acid as a light oil (M-H, 318).

- 24. As indicated by the Certificate of Correction, please move "Step 1" at col. 70, line 56 of the 767 patent to col. 70, line 65.
- 25. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, lines 30-49 of the 767 patent:

Sodium ethoxide (940 mg of 21 wt% solution in ethanol, 2.9 mmol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to 0°C; and trans-2-pentenal (1.51 g, 18.0 mmol) was added dropwise maintaining the reaction temperature at  $< 5^{\circ}$ C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 µl). The solution was concentrated *in vacuo*, and the residue dissolved in EtOAc (25 mL), washed with 10% NaHCO<sub>3</sub> solution (2x5 mL), brine and dried (MgSO<sub>4</sub>). The solution was filtered and concentrated to a 10 mL volume, then heated to reflux and diluted with hexane (20 mL). Upon cooling to rt, the title compound precipitated and was collected to give 3.0 g (50%) of the Step 1 compound (mp 106-109°C; LC/Mass: + ions, 324 M+Na).

26. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, line 64-col. 73, line 8 of the 767 patent:

To a solution of Step 1 compound (2.87 g, 9.5 mmol) and triethylsilane (2.28 mL, 14.3 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (30 mL) under argon was added TFA (7.35 mL, 95.3 mmol) dropwise with stirring while maintaining the internal temperature at  $25^{0}$ C by means of an ice bath. After stirring for 4 h at rt, the solution was concentrated. The residue was diluted with CH<sub>2</sub>Cl<sub>2</sub> (100 mL), then treated with H<sub>2</sub>O (50 mL) and solid Na<sub>2</sub>CO<sub>3</sub> with vigorous stirring until the mixture was basic. The organic layer was separated, dried (Na<sub>2</sub>SO<sub>4</sub>), filtered, then concentrated to give the Step 2 compound as a yellow oil which was used without further purification (LC/Mass: + ions, 308 M+Na).

27. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 73, lines 22-27 of the 767 patent:

Step 2 compound (3.73 g, 9.5 mmol) was suspended in 6 N HCl (20 mL) and HOAc (5 mL) and heated at reflux for 20 h. The reaction mixture was then cooled, washed with EtOAc (20 mL), then concentrated to give an oil which crystallized upon trituration with ether to give the title compound (1.2 g, 70.6%) (LC/Mass, + ion): 144 (M+H).

28. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 74, lines 26-41 of the 767 patent:

Sodium ethoxide (940 mg, 2.9 mmol; 21% w/w solution in ethanol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31 g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to  $0^{\circ}$ C; and 4-methyl-2-pentenal (1.77 g, 18.0 mmol)was added dropwise maintaining the reaction temperature at < 5°C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 µl). The solution was concentrated and the remainder dissolved in EtOAc (25 mL). The organics were washed with 10% NaHCO<sub>3</sub> solution (2x5 mL), brine and dried (MgSO<sub>4</sub>). The solution was filtered and concentrated to 10 mL volume, then heated to reflux and treated with hexane (20 mL). On cooling, the Step 1 compound precipitated and was collected (3.3 g) (LC/Mass, + ion): 338 (M+Na).

29. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 79, lines 54-67 of the 767 patent:

N-[((S)-cyclopentylvinyl)-N-tert-butoxycarbonylglycinyl]-(2S,4S,5S)-2-cyano-4,5-

methano-L-prolylamide (70 mg, 0.19 mmol) described in General Method C, Step 2 was dissolved in a mixture of 2 mL *t*-BuOH / 3 mL THF and N-methylmorpholine-N-oxide (33mg, 0.28 mmol) was added followed by osmium tetroxide (0.1 mmol, 50 mol%). The reaction was quenched with 1 mL of 10% aqueous Na<sub>2</sub>SO<sub>3</sub> and was taken up in EtOAc and washed with H<sub>2</sub>O 5 mL, dried (Na<sub>2</sub>SO<sub>4</sub>), filtered, evaporated and purified by silica gel flash chromatography (5% MeOH/CH<sub>2</sub>Cl<sub>2</sub>) to give 41 mg (55%) of the protected diol

as an oil. The title compound was obtained by deprotection of the amine functionality with TFA according to General Method C (FAB MH+ 294).

30. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 82, lines 52-67 of the 767 patent:

According to literature (J. Org. Chem 1994, 59, 8215), a solution of Step 3 compound (0.875 g, 3.83 mmol) in dry benzene (4.0 mL) was treated with triethylamine (0.52 mL, 3.83 mmol) and diphenylphosphoryl azide (0.85 mL, 3.83 mmol), refluxed under nitrogen for 1 h and cooled to rt. The solution was treated with benzyl alcohol (0.60 mL, 5.75 mmol or 1.5 equiv), refluxed for 17 h, cooled then diluted with ether (40 mL). The solution was washed with 10% aqueous citric acid (2x3 mL),back-extracting the citric acid wash with ether (40 mL). The combined organic extracts were washed with 5% sodium bicarbonate (2x3 mL), dried (MgSO<sub>4</sub>), filtered, and concentrated. Flash chromatography on silica gel of the crude product with 10% EtOAc in hexane (1.0 L) gave step 4 compound as a clear thick syrup. Yield: 1.15 g (90%). MS(M+H) 334.

- 31. As indicated by the Certificate of Correction, at col. 84, line 34 of the 767 patent, please replace "NS" with --MS--.
- 32. As indicated by the Certificate of Correction, please replace claim 8 at col. 91, lines9-49 with the following corrected claim:
  - 8. A compound having the structure:

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or a pharmaceutically acceptable salt thereof.

- 33. As indicated by the Certificate of Correction, please replace claim 10 at col. 91, line54-col. 92, line 18 with the following corrected claim:
  - 10. A compound which is

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(1S,2(2S),3S,5S)

wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,

or



(1R,2S,3(2S),5S)

wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.

34. As indicated by the Certificate of Correction, please replace claim 15 at col. 92, lines36 to 44 of the 767 patent with the following corrected claim:

15. The combination as defined in Claim 14 wherein the antidiabetic agent is 1, 2, 3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.

## Amendments to the Claims of the 767 Patent:

Please further amend the claims of the 767 patent as shown below (deletions and additions are shown relative to the claims as issued in the 767 patent):

Amend claim 1 as follows:

1. A compound having the structure



wherein x is 0 or 1 and y is 0 or 1, provided that

x=1 when y=0 and

x=0 when y=1; and wherein

n is 0 or 1;

X is H or CN;

R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl,

cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro, cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylaminocarbonylamino, alkoxycarbonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;

and R<sup>1</sup> and R<sup>3</sup> may optionally be taken together to form  $(CR^5R^6)_m$  where m is 2 to 6, and R<sup>5</sup> and R<sup>6</sup> are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or R<sup>1</sup> and R<sup>4</sup> may optionally be taken together to form  $(CR^7R^8)_p$  wherein p is 2 to 6, and R<sup>7</sup> and R<sup>8</sup> are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, or alkylaminocarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or optionally R<sup>1</sup> and R<sup>3</sup> together with DOCKET NO.: BMS-2856 Application No.: 13/308,658 Office Action Dated: May 8, 2012



form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from N, O, S, SO, or SO<sub>2</sub>;

or optionally  $R^1$  and  $R^3$  together with



form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;

with the proviso that where x is 1 and y is 0, X is H, n is o, and one of  $R^1$  and  $R^2$  is H and the other is alkyl, then  $R^3$  is other than pyridyl or substituted pyridyl;

including all stereoisomers thereof;

<u>or [and]</u> a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.

Amend claim 12 as follows:

12. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent. Amend claim 13 as follows:

13. The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound <u>as defined in claim 1</u> and [an] <u>the antidiabetic agent other than a DP4 inhibitor</u>.

Amend claim 16 as follows:

16. The combination as defined in claim 13 wherein the compound <u>as defined in</u> <u>claim 1</u> is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.

Amend claim 17 as follows:

17. The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.

Amend claim 21 as follows:

21. The combination as defined in claim 19 wherein the <u>compound as defined in</u> <u>claim 1 [DP4 inhibitor]</u> is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

Amend claim 22 as follows:

22. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing <u>or</u> inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.

Amend added claim 29 to read as follows:

29. <u>The composition of claim 27 or 28 further comprising an antidiabetic agent other</u> <u>than a DP4 inhibitor</u>. Amend added claim 30 to read as follows:

30. The composition of claim 29 wherein the antidiabetic agent is metformin.

Amend added claim 31 to read as follows:

31. The composition of claim 29 wherein the antidiabetic agent is a SGLT2 inhibitor.

Cancel added claims 36 and 37.

Amend added claim 38 to read as follows:

38. <u>The method of any one of claims 32, 33, 34, or 35, wherein the pharmaceutical</u> composition further comprises an antidiabetic agent other than a DP4 inhibitor.

Amend added claim 39 to read as follows:

39. <u>The method of claim 38 wherein the antidiabetic agent is metformin.</u>

Amend added claim 40 to read as follows:\

40. The method of claim 38 wherein the antidiabetic agent is a SGLT2 inhibitor.

Add new claims 41 to 45 to read as follows:

41. A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

42. The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

43. The method of any one of claims 41 or 42, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

44. The method of claim 43, wherein the antidiabetic agent is metformin.

45. The method of claim 43, wherein the antidiabetic agent is a SGLT2 inhibitor.

# Complete Listing of Claims As Amended (including status identifiers):

1. (Amended) A compound having the structure



wherein x is 0 or 1 and y is 0 or 1, provided that

x=1 when y=0 and

x=0 when y=1; and wherein

n is 0 or 1;

# X is H or CN;

R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, cycloalkyl, bicycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl, cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro,

cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylaminocarbonylamino, alkoxycarbonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;

and R<sup>1</sup> and R<sup>3</sup> may optionally be taken together to form (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub> where m is 2 to 6, and R<sup>5</sup> and R<sup>6</sup> are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or R<sup>1</sup> and R<sup>4</sup> may optionally be taken together to form (CR<sup>7</sup>R<sup>8</sup>)<sub>p</sub> wherein p is 2 to 6, and R<sup>7</sup> and R<sup>8</sup> are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, arylarylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkyl, aryloxycarbonyl, aryloxyk, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkyl, arylalkyl, cycloheteroalkyl, cycloheteroalkyl, arylalkyl, alkylarbonylamino, arylcarbonylamino, alkoxycarbonyl, or alkylamino, arylcarbonylamino, alkoxycarbonyl, or alkylamino, arylcarbonylamino, alkoxycarbonyl, or alkylamino, alkoxycarbonyl, aryloxycarbonyl, or



form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from N, O, S, SO, or  $SO_2$ ;

or optionally  $R^1$  and  $R^3$  together with

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form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;

with the proviso that where x is 1 and y is 0, X is H, n is o, and one of  $R^1$  and  $R^2$  is H and the other is alkyl, then  $R^3$  is other than pyridyl or substituted pyridyl;

including all stereoisomers thereof;

or [and] a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.

2. (Original) The compound as defined in claim 1 having the structure:



3. (Original) The compound as defined in claim 1 having the structure:



4. (Original) The compound as defined in claim 1 having the structure:
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5. (Original) The compound as defined in claim 1 having the structure:



6. (Original) The compound as defined in claim 1 wherein:

R<sup>3</sup> is H, R<sup>1</sup> is H, alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl,

hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl hydroxybicycloalkyl, or hydroxytricycloalkyl,

 $R^2$  is H or alkyl, n is 0,

X is CN.

7. (Original) The compound as defined in claim 1 wherein the cyclopropyl fused to the pyrrolidine has the configuration:



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8. (Original) A compound having the structure:



or a pharmaceutically acceptable salt thereof.

9. (Original) The compound as defined in claim 8 wherein the pharmaceutically acceptable salt is the hydrochloride salt or the trifluoroacetic acid salt.

10. (Original) A compound which is



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wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,

or



wherein  $R^1$  is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.

11. (Original) A pharmaceutical composition comprising a compound as defined in claim 1 and a pharmaceutically acceptable carrier therefor.

12. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent.

13. (Twice Amended) The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound <u>as defined in claim 1</u> and [an] <u>the</u> antidiabetic agent <u>other than a DP4 inhibitor</u>.

14. (Original) The combination as defined in claim 13 wherein the antidiabetic agent is 1, 2, 3 or more of a biguanide, a sulfonyl urea, a glucosidase inhibitor, a PPAR agonist, a PPAR / dual agonist, an SGLT2 inhibitor, an aP2 inhibitor, a glycogen phosphorylase inhibitor, an AGE inhibitor, an insulin sensitizer, a glucagon-like peptide-1 (GLP-1) or mimetic thereof, insulin and/or a meglitinide.

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15. (Original) The combination as defined in Claim 14 wherein the antidiabetic agent is 1, 2, 3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4,

LY307161, NN2211, and/or LY315902.

16. (Amended) The combination as defined in claim 13 wherein the compound <u>as</u> <u>defined in claim 1</u> is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.

17. (Amended) The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.

18. (Original) The combination as defined in claim 17 wherein the anti-obesity agent is orlistat, ATL-962, AJ9677, L750355, CP331648, sibutramine, topiramate, axokine, dexamphetamine, phentermine, phenylpropanolamine, famoxin, and/or mazindol.

19. (Original) The combination as defined in claim 12 wherein the lipid modulating agent is an MTP inhibitor, an HMG CoA reductase inhibitor, a squalene synthetase inhibitor, a fibric acid derivative, an upregulator of LDL receptor activity, a lipoxygenase inhibitor, an ACAT inhibitor, a cholesteryl ester transfer protein inhibitor, or an ATP citrate lyase inhibitor.

20. (Original) The combination as defined in claim 19 wherein the lipid modulating agent is pravastatin, lovastatin, simvastatin, atorvastatin, cerivastatin, fluvastatin, nisvastatin, visastatin, fenofibrate, gemfibrozil, clofibrate, implitapide, CP-529,414, avasimibe, TS-962, MD-700, and/or LY295427.

21. (Amended) The combination as defined in claim 19 wherein the <u>compound as</u> <u>defined in claim 1 [DP4 inhibitor]</u> is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

22. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing <u>or</u> inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.

- 23. (Canceled)
- 24. (Canceled)
- 25. (New) A compound that is



26. (New) The compound as defined in claim 25, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

27. (New) A pharmaceutical composition comprising the compound of claim 25 and a pharmaceutically acceptable carrier therefor.

28. (New) A pharmaceutical composition comprising the compound of claim 26 and a pharmaceutically acceptable carrier therefor.

29. (New/Amended) The composition of claim 27 or 28 further comprising an antidiabetic agent other than a DP4 inhibitor.

<u>30. (New/Amended) The composition of claim 29 wherein the antidiabetic agent</u> is metformin.

<u>31. (New/Amended)</u> The composition of claim 29, wherein the antidiabetic agent is a SGLT2 inhibitor.

32. (New) A method for treating diabetes, insulin resistance, hyperglycemia, hyperinsulinemia, impaired glucose homeostasis, or impaired glucose tolerance in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

33. (New) The method of claim 32, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

34. (New) The method of claim 32, for treating diabetes.

35. (New) The method of claim 33, for treating diabetes.

<u>36.</u> (Canceled)

<u>37.</u> (Canceled)

<u>38. (New/Amended) The method of any one of claims 32, 33, 34, or 35 wherein</u> <u>the pharmaceutical composition further comprises an antidiabetic agent other than a DP4</u> <u>inhibitor.</u>

<u>39. (New/Amended) The method of claim 38, wherein the antidiabetic agent is</u> metformin.

40. (New/Amended) The method of claim 38, wherein the antidiabetic agent is a SGLT2 inhibitor.

41. (New) A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

42. (New) The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

43. (New) The method of any one of claims 41 or 42, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

44. (New) The method of claim 43, wherein the antidiabetic agent is metformin.

45. (New) The method of claim 43, wherein the antidiabetic agent is a SGLT2

<u>inhibitor.</u>

# Changes to 767 Patent Previously Entered by Certificate of Correction

1. As indicated by the Certificate of Correction, please substitute the following

paragraph for the paragraph at col. 7, line 4-col. 8, line 7 of the 767 patent:

Alternately, the carboxamide group in 8 may be converted to the nitrile as described above to give compound 9. Deprotection of  $PG_1$  affords 10 which may be subject to standard peptide coupling conditions to afford 7, an intermediate in the synthesis of Ib. Compound 10 may also be generated by oxidation of the amine 2 (e.g. NCS) followed by hydrolysis and subsequent cyanide treatment. Compound 10 may be obtained as a mixture of stereoisomers or a single isomer/diastereomer which may be epimerized (employing conventional procedures) to afford a mixture of stereoisomers.

2. As indicated by the Certificate of Correction, please insert the following structure at col. 14, line 50 of the 767 patent:



3. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 55-58 of the 767 patent:

The term "cycloheteroalkylalkyl" as used herein alone or as part of another group refers tocycloheteroalkyl groups as defined above linked through a C atom or heteroatom to a (CH<sub>2</sub>)<sub>r</sub> chain.

4. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 15, lines 49-56 of the 767 patent:

The other antidiabetic agent may also preferably be a sulfonyl urea such as glyburide (also known as glibenclamide), glimepiride (disclosed in U.S. Pat. No. 4,379,785), glipizide, gliclazide or chlorpropamide, other known sulfonylureas or other

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antihyperglycemic agents which act on the ATP-dependent channel of the  $\beta$ -cells, with glyburide and glipizide being preferred, which may be administered in the same or in separate oral dosage forms.

5. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 20, lines 57-62 of the 767 patent:

The other type of therapeutic agent which may be optionally employed with the DP4 inhibitor of formula I may be 1, 2, 3 or more of an anti-obesity agent including a beta 3 adrenergic agonist, a lipase inhibitor, a serotonin (and dopamine) reuptake inhibitor, a thyroid receptor beta drug, an anorectic agent and/or a fatty acid oxidation upregulator.

6. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, lines 15-29 of the 767 patent:

To a stirred solution of (S)-N-tert-butoxycarbonyl-isoleucine (231 mg, 1 mmol) and benzotriazol-1-yloxytripyrrolidinophosphonium hexafluorophosphate (780 mg, 1.5 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (6 mL) under nitrogen at rt was added 4-methylmorpholine (0.33 mL, 3 mmol). After 5 min, Step 1 compound (120 mg, 1 mmol) was added in one portion. The reaction mixture was stirred under nitrogen at rt overnight and then diluted with CH<sub>2</sub>Cl<sub>2</sub> (30 mL), washed with 4.1% KHSO<sub>4</sub> (10 mL)), aqueous NaHCO<sub>3</sub> (10 mL), brine (10 mL), dried (Na<sub>2</sub>SO<sub>4</sub>) and evaporated. Purification by flash chromatography on silica gel (2.4x20 cm column, 1:3 EtOAc/hexane) gave the title compound as a colorless oil, 290 mg, 90% yield. LC/MS gave the correct molecular ion [(M+H)<sup>+</sup> =297] for the desired compound.

7. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, line 40-col. 30, line 3 of the 767 patent:

The reaction mixture of Step 2 compound (220 mg, 0.74 mmol) and 4 M HCl in dioxane (1.5 mL, 6 mmol) was stirred at rt for 2 h and evaporated under reduced pressure. Et<sub>2</sub>O was added to the residue and a precipitate was formed. Et<sub>2</sub>O was decanted and this was

done three times. The precipitate was dried *in vacuo* to give the title compound as a white powder, 130 mg (76% yield), mp 205-206°C. LC/MS gave the correct molecular ion  $[(M+H)^+ = 197]$  for the desired compound.

8. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, lines 54-63 of the 767 patent:

To a stirred solution of Step 2 compounds (104 mg, 0.32 mmol) in  $CH_2Cl_2$  (1 mL) at rt was added TFA (1 mL). The reaction mixture was stirred at rt for 2 h. The reaction mixture was added slowly to a precooled slurry of NaHCO<sub>3</sub> (2 g) in H<sub>2</sub>O (2 mL). The mixture was extracted with  $CH_2Cl_2$  (4 mL x 4), and combined  $CH_2Cl_2$  layers were evaporated and purified by preparative HPLC to give the title compound Example 5 (36 mg) and Example 5A (36 mg). LC/MS gave the correct molecular ion [(M+H)<sup>+</sup> = 222] for the desired compounds..

9. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, line 66-col. 33, line 12 of the 767 patent:

General Method A: Parallel array synthesis methods for preparation of inhibitors from commercially available amino acids. As shown in Scheme 3, the ester 11, described in Example 1 Step 1, was saponified to the acid with LiOH in THF/H<sub>2</sub>O and converted to the amide 12 by treatment with isobutyl chloroformate/NMM followed by ammonia in dioxane. The Boc protecting group was removed under acidic conditions using TFA in methylene chloride to give 13. The TFA salt was coupled to Boc-*t*-butylglycine using either EDAC/HOBT/DMF or EDAC/DMAP/CH<sub>2</sub>Cl<sub>2</sub> to give 14. The amide was dehydrated to the nitrile 15 using POCl<sub>3</sub>/imidazole in pyridine at  $-20^{\circ}$ C and finally deprotected with TFA in CH<sub>2</sub>Cl<sub>2</sub> at ambient temperature to afford the target 16. SCHEME 3, GENERAL METHOD A (EXAMPLES 6-27)

10. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 34, line 59-col. 35, line 13 of the 767 patent:

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An oven-dried 15-mL test tube was charged with Step 3 compound (56 mg, 0.22 mmol), N-tert-butoxycarbonyl-(L)-tert-leucine (53 mg, 0.23 mmol), dimethylaminopyridine (0.11 g, 0.88 mmol), and CH<sub>2</sub>Cl<sub>2</sub> (4 mL). The tube was sealed under nitrogen atmosphere and treated with 1-[(3-(dimethyl)amino)propyl]-3-ethylcarbodiimide (84 mg, 0.44 mmol). The mixture was placed in a shaker and vortexed overnight. The product was purified by solid phase extraction using a United Technology SCX column (2 g of sorbent in a 6 mL column) by loading the material on a SCX ion exchange column and successively washing with CH<sub>2</sub>Cl<sub>2</sub> (5 mL), 30% methanol in CH<sub>2</sub>Cl<sub>2</sub> (5 mL), 50% methanol in CH<sub>2</sub>Cl<sub>2</sub> (5 mL) and methanol (10 mL). The product containing fractions were concentrated under reduced pressure to give the desired amide. Further purification by reverse phase preparative column chromatography on a YMC S5 ODS 20 x 250 mm column gave the title compound, 50 mg (68% yield). Purification conditions: Gradient elution from 30% methanol/water/0.1 TFA to 90% methanol/water/0.1 TFA over 15 min. 5 min. hold at 90% methanol/water/0.1 TFA. Flow rate: 20 mL/min. Detection wavelength: 220. Retention Time: 14 min.

11. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 41, lines 36-46 of the 767 patent:

An oven-dried 10-mL round bottomed flask was charged with Step 2 compound (350 mg, 0.79 mmol), imidazole (108 mg, 1.58 mmol), pyridine (3 mL). The flask under argon was cooled to  $-30^{\circ}$ C. Slow addition of POCl<sub>3</sub> (0.30 mL, 3.16 mmol) gave after mixing a thick slurry. The slurry was mixed at  $-30^{\circ}$ C for 3 h and the volatiles evaporated. Dichloromethane (5 mL) was then added and the insoluble solid was removed by filtration. The organic layer was washed with H<sub>2</sub>O, 10% citric acid, brine and dried over Na<sub>2</sub>SO<sub>4</sub>. Removal of solvent gave crude desired nitrile (330 mg) (LC/Mass, + ion): 424 (M+H).

12. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 20-29 of the 767 patent:

To a flame-dried 500-mL round-bottomed flask containing cyclopentylideneacetic acid ethyl ester (17.5 g, 113 mmol) in 100 mL anhydrous toluene at -78°C under argon was added DIBAL-H (189 mL of a 1.5 M solution in toluene, 284 mmol, 2.50 equiv) dropwise over a 30 min period through an addition funnel, and the mixture was then allowed to warm to rt, stirring for 18 h. The reaction mixture was then recooled to -78°C, and quenched by the careful addition of 30 mL anhydrous MeOH. Upon warming to rt, 1 N Rochelle's salt (100 mL) was added, and the mixture was stirred 90 min. The biphasic reaction mixture was then diluted with Et<sub>2</sub>O (200 mL) in a separatory funnel, and the layers were separated. The organic layer was then washed with brine (100 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash column chromatography (silica gel, CH<sub>2</sub>Cl<sub>2</sub> / EtOAc, 10:1) gave 11.6 g (92%) of the desired allylic alcohol as a colorless oil.

13. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 53-67 of the 767 patent:

То flame-dried 500-mL round-bottomed а flask containing N-(tertbutyloxycarbonyl)glycine (13.45 g, 76.75 mmol) in 100 mL CH<sub>2</sub>Cl<sub>2</sub> at rt was added Step 2 compound (8.61 g, 76.75 mmol, 1.00 equiv) in 20 mL CH<sub>2</sub>Cl<sub>2</sub>, followed by dicyclohexylcarbodiimide (16.63 g, mmol, 1.05 equiv) in 80 mL CH<sub>2</sub>Cl<sub>2</sub>. To this reaction mixture was then added 4-dimethylaminopyridine (0.94 mg, mmol, 0.10 equiv), and the mixture was allowed to stir overnight. The reaction mixture was then filtered through a medium sintered-glass funnel, rinsing with 100 mL CH<sub>2</sub>Cl<sub>2</sub>, and concentrated under reduced pressure. The crude product was then purified by flash chromatography (silica gel, hexanes/EtOAc, 20:1 to 1:1 gradient) to give 19.43 g (94%) of the desired glycinyl ester as a colorless oil.

14. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 44, lines 19-45 of the 767 patent:

A flame-dried 500-mL round-bottomed flask under argon was charged with ZnCl<sub>2</sub> (11.8 g, mmol, 1.20 equiv) and 20 mL toluene. The mixture was heated under vacuum with vigorous stirring to azeotrope off any traces of moisture with the distilling toluene, repeating this process (2 x). The flask was then cooled to rt under argon, (2cyclopentylideneethyl) N-(tert-butyloxycarbonyl)glycinate (19.36 g, 71.88 mmol) was added via cannula as a solution in 180 mL THF, and the mixture was then cooled to -78°C. In a separate flame-dried 200-mL round-bottomed flask containing diisopropylamine (26.3 mL, mmol, 2.60 equiv) in 90 mL THF at -78°C was added nbutyllithium (71.89 mL of a 2.5 M solution in hexanes, mmol, 2.5 equiv), and the mixture was allowed to warm to 0°C for 30 min before recooling to -78°C. The lithium diisopropylamine thus generated was then added via cannula to the ZnCl<sub>2</sub> ester mixture dropwise at a steady rate over 40 min, and the resultant reaction mixture was allowed to slowly warm to rt and stir overnight. The yellow reaction mixture was then poured into a separatory funnel, diluted with 300 mL Et<sub>2</sub>O, and the resultant organic solution was washed successively with 300 mL 1N HCl and 300 mL brine, dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash chromatography (silica gel, 3% MeOH in CH<sub>2</sub>Cl<sub>2</sub> with 0.5% HOAc) gave 17.8 g (92%) of the desired amino acid product as a white solid. (FAB MH+ 270).

15. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col 46, lines 48-59 of the 767 patent:

Step 2 compound (32 mg, 0.09 mmol) was dissolved in 1 mL of  $CH_2Cl_2$  and 1 mL of TFA was added and the reaction stirred for 30 min at rt and was evaporated to dryness. The product was purified by reverse phase preparative column chromatography on a YMC S5 ODS 20 X 250 mm column to give 12 mg of the TFA salt (lyophilized from water or isolated after evaporation of eluent and trituration with ether) the title compound. Purification conditions: gradient elution from 10% methanol/water/0.1 TFA

to 90% methanol/water/0.1 TFA over 18 min; 5 min. hold at 90% methanol/water/0.1 trifluoroacetic acid. Flow rate: 20 mL/min. Detection wavelength: 220.

16. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 46, lines 61-67 of the 767 patent:

Examples 30–39 were prepared by the methods outlined in General Method B and General Method C starting from cyclopentanone, cyclobutanone, cyclohexanone, cycloheptanone, cyclooctanone, cis-3,4-dimethylcyclopentanone, and 4-pyranone, cyclopropaneethylhemiacetal, acetone, and 3-pentanone respectively.

- 17. As indicated by the Certificate of Correction, at col. 52, line 64 of the 767 patent, change "25" to ---28--.
- 18. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 28-45 of the 767 patent:

N-Boc protected cyclobutylvinyl compound (Example 31, prepared by general method C) (0.16 g, 0.46 mmol) was dissolved in 10 mL of a 1:1 mixture of THF:water and treated with OsO<sub>4</sub> (12 mg, catalyst) and NaIO<sub>4</sub> (0.59 g, 2.76 mmol, 6 equiv). After 2 h, the reaction mixture was diluted with 50 mL of ether and 10 mL of water. The layers were equilibrated and the organic fraction was washed one time with NaHCO<sub>3</sub> solution, dried over MgSO<sub>4</sub> and concentrated to give a dark oil. The oil was diluted with 10 mL of methanol and treated with NaBH<sub>4</sub> (0.08 g, 2.0 mmol). The mixture turned very dark and after 30 min was diluted with ether and the reaction was quenched with aqueous NaHCO<sub>3</sub> solution. The mixture was equilibrated and layers separated. The organic fraction was washed with solutions of NaHCO<sub>3</sub> and 0.1 M HCl. The organics were dried (MgSO<sub>4</sub>) and concentrated to give 90 mg (56%) of the Step 1 compound as a dark oil.

19. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 59-67 of the 767 patent:

Step 1 compound (90 mg, 0.26 mmol) was dissolved in 3 mL of  $CH_2Cl_2$ , cooled to 0°C and treated with 3 mL of freshly distilled TFA. The reaction was complete in 80 min and evaporated to dryness and purified by preparative HPLC (YMC S5 ODS 30 x 100 mm, 10 minute gradient 100%A to 100%B, Solvent A = 10% MeOH-90%H2O-0.1% TFA, Solvent B = 90% MeOH-10% H<sub>2</sub>O -0.1% TFA, to give, after removal of water, 50 mg (60%) of title compound. (MH+250).

20. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 62, lines 56-67 of the 767 patent:

The Step 2 compound (95 mg, 0.22 mmol, 1 equiv) was dissolved in anhydrous  $CH_2Cl_2$  (2.5 mL) under argon and cooled to  $-78^{\circ}C$ . The mixture was treated with diisopropylethylamine (65 µL, 0.37 mmol, 1.7 equiv), and triethylsilyl triflate (75 µL, 0.33 mmol, 1.5 equiv), and stirred at 0°C for 1.5 h. The reaction was mixed with MeOH (0.5 mL), silica gel (200 mg) and H<sub>2</sub>O (2 drops) and stirred at rt for 18 h. The solvent was removed by rotary evaporation and the residue purified flash column chromatography on silica gel(2.5x10 cm) with 4% MeOH/CH<sub>2</sub>Cl<sub>2</sub> to afford the product (92 mg, 0.17 mmol, 77%): MS m/e 540 (m+H)<sup>+</sup>.

21. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 66, lines 11-27 of the 767 patent:

An oven-dried flask equipped with a condenser and drying tube was charged with norbornane-2-carboxylic acid (4.92 g, 35 mmol, 1 equiv) and treated with bromine (2.1 mL, 41 mmol, 1.15 equiv) and phosphorous trichloride (0.153 mL, 1.8 mmol, 0.05 equiv). The mixture was heated at 85°C for 7 h protected from light. Additional bromine (0.4 mL, 7.8 mmol, 0.22 equiv) was added with continued heating for 1 h. The mixture was cooled to rt, and Et<sub>2</sub>O (100 mL) was added. The mixture was washed with 10% aq NaHSO<sub>3</sub> (50 mL), H<sub>2</sub>O (2x50 mL), and brine (25 mL). The ether fraction was dried (Na<sub>2</sub>SO<sub>4</sub>), filtered and concentrated by rotary evaporation. The product was purified by flash column chromatography on silica gel (5x15 cm) with 2% to 4% MeOH/CH<sub>2</sub>Cl<sub>2</sub> +

0.5% HOAc. The product was chased with hexanes to remove residual HOAc. The isolated material consists of two inseparable materials (4.7 g), which was used without further purification in the next step.

22. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 20-27 of the 767 patent:

To a 50-mL round-bottomed flask containing Step 2 compound (0.72 g, 4.20 mmol) in 8 mL of water at rt was added NaCN (0.20 g, 4.20 mmol) followed by NH<sub>4</sub>Cl (0.20 g, 5.00 mmol). To this reaction mixture was then added methanol (8 mL) and the mixture was allowed to stir overnight. The reaction mixture was then extracted with ether (2x15 mL), dried (MgSO<sub>4</sub>) and concentrated under reduced pressure to give the crude Strecker product.

23. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 28-44 of the 767 patent:

To a 100-mL round-bottomed flask containing the crude Strecker product was added 10 mL of HOAc and 10 mL of conc. HCl. The mixture was refluxed overnight. The mixture was concentrated under reduced pressure to give a yellow solid. The solid was triturated with 5 mL of 1:1 mixture of ether and hexanes. The white solid was treated with triethylamine (1.4 mL, 9.99 mmol) and di-*tert*-butyldicarbonate (1.00 g, 4.60 mmol) in 50 mL DMF. After 4 h the pH of the mixture was adjusted to 9 with saturated Na<sub>2</sub>CO<sub>3</sub> soln. After an additional 3 h of stirring the mixture was extracted with 1:1 ether and hexanes and the aqueous fraction acidified to pH 2 with 5% KHSO<sub>4</sub> solution. The aqueous phase was washed with ether (2 X 40 mL), the organics dried (MgSO<sub>4</sub>), and evaporated to an oil that was purified by silica gel flash chromatography with 8:92 methanol:CH<sub>2</sub>Cl<sub>2</sub> to give 0.3 g (23%) of the Boc-protected amino acid as a light oil (M-H, 318).

- 24. As indicated by the Certificate of Correction, please move "Step 1" at col. 70, line 56 of the 767 patent to col. 70, line 65.
- 25. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, lines 30-49 of the 767 patent:

Sodium ethoxide (940 mg of 21 wt% solution in ethanol, 2.9 mmol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to 0°C; and trans-2-pentenal (1.51 g, 18.0 mmol) was added dropwise maintaining the reaction temperature at  $< 5^{\circ}$ C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 µl). The solution was concentrated *in vacuo*, and the residue dissolved in EtOAc (25 mL), washed with 10% NaHCO<sub>3</sub> solution (2x5 mL), brine and dried (MgSO<sub>4</sub>). The solution was filtered and concentrated to a 10 mL volume, then heated to reflux and diluted with hexane (20 mL). Upon cooling to rt, the title compound precipitated and was collected to give 3.0 g (50%) of the Step 1 compound (mp 106-109°C; LC/Mass: + ions, 324 M+Na).

26. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, line 64-col. 73, line 8 of the 767 patent:

To a solution of Step 1 compound (2.87 g, 9.5 mmol) and triethylsilane (2.28 mL, 14.3 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (30 mL) under argon was added TFA (7.35 mL, 95.3 mmol) dropwise with stirring while maintaining the internal temperature at  $25^{0}$ C by means of an ice bath. After stirring for 4 h at rt, the solution was concentrated. The residue was diluted with CH<sub>2</sub>Cl<sub>2</sub> (100 mL), then treated with H<sub>2</sub>O (50 mL) and solid Na<sub>2</sub>CO<sub>3</sub> with vigorous stirring until the mixture was basic. The organic layer was separated, dried (Na<sub>2</sub>SO<sub>4</sub>), filtered, then concentrated to give the Step 2 compound as a yellow oil which was used without further purification (LC/Mass: + ions, 308 M+Na).

27. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 73, lines 22-27 of the 767 patent:

Step 2 compound (3.73 g, 9.5 mmol) was suspended in 6 N HCl (20 mL) and HOAc (5 mL) and heated at reflux for 20 h. The reaction mixture was then cooled, washed with EtOAc (20 mL), then concentrated to give an oil which crystallized upon trituration with ether to give the title compound (1.2 g, 70.6%) (LC/Mass, + ion): 144 (M+H).

28. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 74, lines 26-41 of the 767 patent:

Sodium ethoxide (940 mg, 2.9 mmol; 21% w/w solution in ethanol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31 g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to  $0^{0}$ C; and 4-methyl-2-pentenal (1.77 g, 18.0 mmol)was added dropwise maintaining the reaction temperature at < 5°C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 µl). The solution was concentrated and the remainder dissolved in EtOAc (25 mL). The organics were washed with 10% NaHCO<sub>3</sub> solution (2x5 mL), brine and dried (MgSO<sub>4</sub>). The solution was filtered and concentrated to 10 mL volume, then heated to reflux and treated with hexane (20 mL). On cooling, the Step 1 compound precipitated and was collected (3.3 g) (LC/Mass, + ion): 338 (M+Na).

29. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 79, lines 54-67 of the 767 patent:

N-[((S)-cyclopentylvinyl)-N-tert-butoxycarbonylglycinyl]-(2S,4S,5S)-2-cyano-4,5-

methano-L-prolylamide (70 mg, 0.19 mmol) described in General Method C, Step 2 was dissolved in a mixture of 2 mL *t*-BuOH / 3 mL THF and N-methylmorpholine-N-oxide (33mg, 0.28 mmol) was added followed by osmium tetroxide (0.1 mmol, 50 mol%). The reaction was quenched with 1 mL of 10% aqueous Na<sub>2</sub>SO<sub>3</sub> and was taken up in EtOAc and washed with H<sub>2</sub>O 5 mL, dried (Na<sub>2</sub>SO<sub>4</sub>), filtered, evaporated and purified by silica gel flash chromatography (5% MeOH/CH<sub>2</sub>Cl<sub>2</sub>) to give 41 mg (55%) of the protected diol

as an oil. The title compound was obtained by deprotection of the amine functionality with TFA according to General Method C (FAB MH+ 294).

30. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 82, lines 52-67 of the 767 patent:

According to literature (J. Org. Chem 1994, 59, 8215), a solution of Step 3 compound (0.875 g, 3.83 mmol) in dry benzene (4.0 mL) was treated with triethylamine (0.52 mL, 3.83 mmol) and diphenylphosphoryl azide (0.85 mL, 3.83 mmol), refluxed under nitrogen for 1 h and cooled to rt. The solution was treated with benzyl alcohol (0.60 mL, 5.75 mmol or 1.5 equiv), refluxed for 17 h, cooled then diluted with ether (40 mL). The solution was washed with 10% aqueous citric acid (2x3 mL),back-extracting the citric acid wash with ether (40 mL). The combined organic extracts were washed with 5% sodium bicarbonate (2x3 mL), dried (MgSO<sub>4</sub>), filtered, and concentrated. Flash chromatography on silica gel of the crude product with 10% EtOAc in hexane (1.0 L) gave step 4 compound as a clear thick syrup. Yield: 1.15 g (90%). MS(M+H) 334.

- 31. As indicated by the Certificate of Correction, at col. 84, line 34 of the 767 patent, please replace "NS" with --MS--.
- 32. As indicated by the Certificate of Correction, please replace claim 8 at col. 91, lines9-49 with the following corrected claim:
  - 8. A compound having the structure:

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or a pharmaceutically acceptable salt thereof.

- 33. As indicated by the Certificate of Correction, please replace claim 10 at col. 91, line54-col. 92, line 18 with the following corrected claim:
  - 10. A compound which is

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(1S,2(2S),3S,5S)

wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,

or



(1R,2S,3(2S),5S)

wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.

34. As indicated by the Certificate of Correction, please replace claim 15 at col. 92, lines36 to 44 of the 767 patent with the following corrected claim:

15. The combination as defined in Claim 14 wherein the antidiabetic agent is 1, 2, 3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.

# Amendments to the Claims of the 767 Patent:

Please further amend the claims of the 767 patent as shown below (deletions and additions are shown relative to the claims as issued in the 767 patent):

Amend claim 1 as follows:

1. A compound having the structure



wherein x is 0 or 1 and y is 0 or 1, provided that

x=1 when y=0 and

x=0 when y=1; and wherein

n is 0 or 1;

X is H or CN;

R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl,

cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro, cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylaminocarbonylamino, alkoxycarbonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;

and R<sup>1</sup> and R<sup>3</sup> may optionally be taken together to form  $(CR^5R^6)_m$  where m is 2 to 6, and R<sup>5</sup> and R<sup>6</sup> are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or R<sup>1</sup> and R<sup>4</sup> may optionally be taken together to form  $(CR^7R^8)_p$  wherein p is 2 to 6, and R<sup>7</sup> and R<sup>8</sup> are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, or alkylaminocarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or optionally R<sup>1</sup> and R<sup>3</sup> together with DOCKET NO.: BMS-2856 Application No.: 13/308,658 Office Action Dated: May 8, 2012



form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from N, O, S, SO, or  $SO_2$ ;

or optionally  $R^1$  and  $R^3$  together with



form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;

with the proviso that where x is 1 and y is 0, X is H, n is o, and one of  $R^1$  and  $R^2$  is H and the other is alkyl, then  $R^3$  is other than pyridyl or substituted pyridyl;

including all stereoisomers thereof;

<u>or [and]</u> a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.

Amend claim 12 as follows:

12. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent. Amend claim 13 as follows:

13. The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound <u>as defined in claim 1</u> and [an] <u>the antidiabetic agent other than a DP4 inhibitor</u>.

Amend claim 16 as follows:

16. The combination as defined in claim 13 wherein the compound <u>as defined in</u> <u>claim 1</u> is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.

Amend claim 17 as follows:

17. The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.

Amend claim 21 as follows:

21. The combination as defined in claim 19 wherein the <u>compound as defined in</u> <u>claim 1 [DP4 inhibitor]</u> is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

Amend claim 22 as follows:

22. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing <u>or</u> inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.

Amend added claim 29 to read as follows:

29. <u>The composition of claim 27 or 28 further comprising an antidiabetic agent other</u> <u>than a DP4 inhibitor</u>. Amend added claim 30 to read as follows:

30. The composition of claim 29 wherein the antidiabetic agent is metformin.

Amend added claim 31 to read as follows:

31. The composition of claim 29 wherein the antidiabetic agent is a SGLT2 inhibitor.

Cancel added claims 36 and 37.

Amend added claim 38 to read as follows:

38. <u>The method of any one of claims 32, 33, 34, or 35, wherein the pharmaceutical</u> composition further comprises an antidiabetic agent other than a DP4 inhibitor.

Amend added claim 39 to read as follows:

39. <u>The method of claim 38 wherein the antidiabetic agent is metformin.</u>

Amend added claim 40 to read as follows:\

40. The method of claim 38 wherein the antidiabetic agent is a SGLT2 inhibitor.

Add new claims 41 to 45 to read as follows:

41. A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

42. The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

43. The method of any one of claims 41 or 42, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

44. The method of claim 43, wherein the antidiabetic agent is metformin.

45. The method of claim 43, wherein the antidiabetic agent is a SGLT2 inhibitor.

# Complete Listing of Claims As Amended (including status identifiers):

1. (Amended) A compound having the structure



wherein x is 0 or 1 and y is 0 or 1, provided that

x=1 when y=0 and

x=0 when y=1; and wherein

n is 0 or 1;

# X is H or CN;

R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, cycloalkyl, bicycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl, cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro,

cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylaminocarbonylamino, alkoxycarbonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;

and R<sup>1</sup> and R<sup>3</sup> may optionally be taken together to form (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub> where m is 2 to 6, and R<sup>5</sup> and R<sup>6</sup> are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or R<sup>1</sup> and R<sup>4</sup> may optionally be taken together to form (CR<sup>7</sup>R<sup>8</sup>)<sub>p</sub> wherein p is 2 to 6, and R<sup>7</sup> and R<sup>8</sup> are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, arylarylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, arylakyl, alkyl, alkenyl, alkynyl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, cycloheteroalkyl, arylalkyl, orgenos, aryloxycarbonyl, or alkylamino, arylcarbonylamino, alkoxycarbonyl, or alkylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylamino, alkoxycarbonyl, aryloxycarbonyl, or



form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from N, O, S, SO, or  $SO_2$ ;

or optionally  $R^1$  and  $R^3$  together with

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form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;

with the proviso that where x is 1 and y is 0, X is H, n is o, and one of  $R^1$  and  $R^2$  is H and the other is alkyl, then  $R^3$  is other than pyridyl or substituted pyridyl;

including all stereoisomers thereof;

or [and] a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.

2. (Original) The compound as defined in claim 1 having the structure:



3. (Original) The compound as defined in claim 1 having the structure:



4. (Original) The compound as defined in claim 1 having the structure:

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5. (Original) The compound as defined in claim 1 having the structure:



6. (Original) The compound as defined in claim 1 wherein:

R<sup>3</sup> is H, R<sup>1</sup> is H, alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl,

hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl hydroxybicycloalkyl, or hydroxytricycloalkyl,

 $R^2$  is H or alkyl, n is 0,

X is CN.

7. (Original) The compound as defined in claim 1 wherein the cyclopropyl fused to the pyrrolidine has the configuration:



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8. (Original) A compound having the structure:



or a pharmaceutically acceptable salt thereof.

9. (Original) The compound as defined in claim 8 wherein the pharmaceutically acceptable salt is the hydrochloride salt or the trifluoroacetic acid salt.

10. (Original) A compound which is



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wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,

or



wherein  $R^1$  is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.

11. (Original) A pharmaceutical composition comprising a compound as defined in claim 1 and a pharmaceutically acceptable carrier therefor.

12. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent.

13. (Twice Amended) The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound <u>as defined in claim 1</u> and [an] <u>the</u> antidiabetic agent <u>other than a DP4 inhibitor</u>.

14. (Original) The combination as defined in claim 13 wherein the antidiabetic agent is 1, 2, 3 or more of a biguanide, a sulfonyl urea, a glucosidase inhibitor, a PPAR agonist, a PPAR / dual agonist, an SGLT2 inhibitor, an aP2 inhibitor, a glycogen phosphorylase inhibitor, an AGE inhibitor, an insulin sensitizer, a glucagon-like peptide-1 (GLP-1) or mimetic thereof, insulin and/or a meglitinide.

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15. (Original) The combination as defined in Claim 14 wherein the antidiabetic agent is 1, 2, 3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4,

LY307161, NN2211, and/or LY315902.

16. (Amended) The combination as defined in claim 13 wherein the compound <u>as</u> <u>defined in claim 1</u> is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.

17. (Amended) The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.

18. (Original) The combination as defined in claim 17 wherein the anti-obesity agent is orlistat, ATL-962, AJ9677, L750355, CP331648, sibutramine, topiramate, axokine, dexamphetamine, phentermine, phenylpropanolamine, famoxin, and/or mazindol.

19. (Original) The combination as defined in claim 12 wherein the lipid modulating agent is an MTP inhibitor, an HMG CoA reductase inhibitor, a squalene synthetase inhibitor, a fibric acid derivative, an upregulator of LDL receptor activity, a lipoxygenase inhibitor, an ACAT inhibitor, a cholesteryl ester transfer protein inhibitor, or an ATP citrate lyase inhibitor.

20. (Original) The combination as defined in claim 19 wherein the lipid modulating agent is pravastatin, lovastatin, simvastatin, atorvastatin, cerivastatin, fluvastatin, nisvastatin, visastatin, fenofibrate, gemfibrozil, clofibrate, implitapide, CP-529,414, avasimibe, TS-962, MD-700, and/or LY295427.

21. (Amended) The combination as defined in claim 19 wherein the <u>compound as</u> <u>defined in claim 1 [DP4 inhibitor]</u> is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

22. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing <u>or</u> inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.

- 23. (Canceled)
- 24. (Canceled)
- 25. (New) A compound that is



26. (New) The compound as defined in claim 25, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

27. (New) A pharmaceutical composition comprising the compound of claim 25 and a pharmaceutically acceptable carrier therefor.
28. (New) A pharmaceutical composition comprising the compound of claim 26 and a pharmaceutically acceptable carrier therefor.

29. (New/Amended) The composition of claim 27 or 28 further comprising an antidiabetic agent other than a DP4 inhibitor.

<u>30. (New/Amended) The composition of claim 29 wherein the antidiabetic agent</u> is metformin.

<u>31. (New/Amended)</u> The composition of claim 29, wherein the antidiabetic agent is a SGLT2 inhibitor.

32. (New) A method for treating diabetes, insulin resistance, hyperglycemia, hyperinsulinemia, impaired glucose homeostasis, or impaired glucose tolerance in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

33. (New) The method of claim 32, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

34. (New) The method of claim 32, for treating diabetes.

35. (New) The method of claim 33, for treating diabetes.

<u>36.</u> (Canceled)

<u>37.</u> (Canceled)

<u>38. (New/Amended) The method of any one of claims 32, 33, 34, or 35 wherein</u> <u>the pharmaceutical composition further comprises an antidiabetic agent other than a DP4</u> <u>inhibitor.</u>

<u>39. (New/Amended) The method of claim 38, wherein the antidiabetic agent is</u> metformin.

40. (New/Amended) The method of claim 38, wherein the antidiabetic agent is a SGLT2 inhibitor.

41. (New) A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

42. (New) The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

43. (New) The method of any one of claims 41 or 42, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

44. (New) The method of claim 43, wherein the antidiabetic agent is metformin.

45. (New) The method of claim 43, wherein the antidiabetic agent is a SGLT2

<u>inhibitor.</u>

### REMARKS

Claims 1, 12, 13, 16, 17, 21, 22, 29, 30, 31, 38, 39, and 40 are amended herein. Claims 36 and 37 are canceled and new claims 41 to 45 are added herein. Support for each of the new claims and/or amendments is implicit in the prior versions of the claims, or is set forth in the chart that was submitted with the preliminary amendment filed December 1, 2011. No new matter is added.

After entry of the present amendments, claims 1-22, 25-35, and 38-45 will remain pending.

### Summary of the Interview

The undersigned thanks Examiners Polansky and Anderson for the courtesy of the telephonic interview conducted on May 22, 2012. The pending claims were discussed, as well as the objections and alleged rejections set forth in the May 8, 2012 Office Action. In particular, the undersigned thanks the Examiners for clarifying the objections to the Applicants' reissue declaration, the incorporation of corrections provided in the Certificates of Correction for the original patent, and the procedures to be followed to remedy any perceived errors.

### **Reissue Oath/Declaration**

The Office alleges that the reissue declaration is defective because it fails to identify at least one specific error which is relied upon to support the reissue application. Without conceding the propriety of this assertion and in the interest of advancing prosecution of the application, a supplemental declaration is filed herewith, which states that the specific error relied upon is that, while the patent included claims encompassing the compound below, the patentee failed to include claims that are specifically directed to the compound:



Page 33 of 36

or a pharmaceutical salt thereof, as set forth in added claims 25 to 35 and 38 to 45.

The supplemental declaration also sets forth the mailing addresses and residences of the inventors. Patent Owner asserts that the supplemental reissue declaration complies with 37 C.F.R. 1.175.

### **Certificates of Correction**

The Office has noted that changes to the specification and claims made via the Certificates of Correction for the original patent should be incorporated into the reissue patent. Said changes have been effected by the Patent Owner according to the procedure described in the Office Action. *See* MPEP 1453.VI.(C).

### **Claim Objections**

The Office objects to added claim 38 for reciting, "The method of any one of claims 32, 33, 34, **25**, **26**, or 37..." Added claim 38 has been amended to recite "The method of any one of claims 32, 33, 34, or **35** ..." Withdrawal of the objection is requested.

The Office objects to claim 38 for reciting "an agent for preventing inhibiting allograft rejection in transplantation..." As discussed in the telephonic interview, claim 22, not claim 38, recites the identified language. Claim 22 has accordingly been amended to recite, "an agent for preventing <u>or</u> inhibiting allograft rejection in transplantation.." Withdrawal of the objection is requested.

### Rejections under 35 U.S.C. § 112, Second Paragraph

Claims 1-7, 11-22, 29-31, and 38-40 stand rejected under 35 U.S.C. § 112, second paragraph, as allegedly indefinite. In light of the present claim amendments, withdrawal of the rejections is requested.

Claim 1 has been amended to more clearly identify pharmaceutically acceptable salts as an alternative, *i.e.*, "**or** a pharmaceutically acceptable salt thereof." The rejection of claim 1 therefore is considered moot.

#### DOCKET NO.: BMS-2856 Application No.: 13/308,658 Office Action Dated: May 8, 2012

PATENT

Claim 12 has been amended to recite "a [DP4 inhibitor] compound as defined in claim 1." Claim 13 has been similarly amended. The rejection of claims 12 and 13 is considered moot.

Claim 22 has been amended to recite "A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1." The rejection of claim 22 is considered moot. Patent Owner notes that claim 21 has been amended to recite, "The combination as defined in claim 19 wherein the <u>compound as defined in claim 1 [DP4 inhibitor]</u> is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1." Claim 16 has been amended similarly to claim 21.

Claim 17 has been amended to delete the limitation, "a serotonin (and dopamine) reuptake inhibitor." The rejection of claim 17 is considered moot.

Claim 29 has been amended to recite, "The composition of claim 27 or 28 further comprising <u>an</u> antidiabetic agent other than a DP4 inhibitor." The rejection of claim 29 is considered moot. Dependent claims 30 and 31 have been amended to recite, "wherein the antidiabetic agent is . . ."

Claim 38 has been amended to recite, "The method of any one of claims 32, 33, 34, or 35." The rejection is considered moot. . Claim 38 has also been amended to recite, "wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor." Dependent claims 39 and 40 have been amended to recite "wherein the antidiabetic agent is..." Dependent claim 40 has also been amended to recite "The method of claim 38..."

### Rejections under 35 U.S.C. § 112, First Paragraph

Claims 1-7 and 11-22 stand rejected under 35 U.S.C. §112, first paragraph, as allegedly not complying with the written description requirement for reciting the "prodrug esters" of the compounds of claim 1. While not conceding the propriety of the rejection, the term "prodrug ester thereof" has been deleted from claim 1 to advance prosecution. Withdrawal of the rejection is requested.

### PATENT

### CONCLUSION

Patent Owner believes that the foregoing addresses all issues raised in the Office Action dated May 8, 2012, and that the application is now in condition for allowance. If any further issues remain, the Examiner is invited to contact Patent Owner's undersigned representative at the contact number listed below.

Date: August 8, 2012

/S. Maurice Valla/ S. Maurice Valla Registration No. 43,966

Woodcock Washburn LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100 Facsimile: (215) 568-3439

Electronic Patent Application Fee Transmittal					
Application Number:	133	308658			
Filing Date:	01-	01-Dec-2011			
Title of Invention:	Cy4 An	clopropyl-Fused Pyr d Method	rolidine-Based	Inhibitors Of Diper	otidyl Peptidase IV
First Named Inventor/Applicant Name:	Jef	frey A. Robl			
Filer:	SA	MUEL VALLA/D. Mc	Carty		
Attorney Docket Number:	BN	S-2856			
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Claims in excess of 20		1202	3	60	180
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	) (\$)	180

Electronic Acknowledgement Receipt				
EFS ID:	13444151			
Application Number:	13308658			
International Application Number:				
Confirmation Number:	7781			
Title of Invention:	Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method			
First Named Inventor/Applicant Name:	Jeffrey A. Robl			
Customer Number:	23377			
Filer:	SAMUEL VALLA/D. McCarty			
Filer Authorized By:	SAMUEL VALLA			
Attorney Docket Number:	BMS-2856			
Receipt Date:	08-AUG-2012			
Filing Date:	01-DEC-2011			
Time Stamp:	11:20:56			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

-			
Submitted with Payment	yes		
Payment Type	Deposit Account		
Payment was successfully received in RAM	\$180		
RAM confirmation Number	8914		
Deposit Account 233050			
Authorized User			
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:			
Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)			
Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)			

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

#### **File Listing:** Document File Size(Bytes)/ Multi Pages **Document Description File Name** Number Message Digest Part /.zip (if appl.) 262560 BMS-2856-Transmittal-reply-2 1 **Transmittal Letter** no to-05-08-12.PDF f5c75d475478a99889c129e8444656fa3c18 9c57 Warnings: Information: 359181 BMS-2856-reply-to-05-08-12. 2 yes 36 PDF 85b8b25bcf56ed3f67b7e901298ecc99f2e cdee Multipart Description/PDF files in .zip description **Document Description** End Start Amendment/Req. Reconsideration-After Non-Final Reject 1 1 Claims 2 32 Applicant Arguments/Remarks Made in an Amendment 33 36 Warnings: Information: 86103 BMS-2856-Supplemental-3 Oath or Declaration filed 4 no Declaration.PDF d141ce311b111da19ff431467fc6fd89f40c 77f Warnings: Information: 30247 4 Fee Worksheet (SB06) fee-info.pdf 2 no a92269519b1df66b248102c534e402d4b5 0cadc Warnings: Information: Total Files Size (in bytes): 738091

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### Doc Code: TRAN.LET Document Description: Transmittal Letter

(to	Inder the Par TR	Perwork Reduction Act of 1995 CANSMITTAL FORM	<u>no persor</u> filina)	U.S. Application Number Filing Date First Named Inventor Art Unit Examiner Name	Patent and ollection of i 13/308,6 Decemb Jeffrey A 1629 Gregg P	Approved for use through 07/31/2012. OMB 0651-0031 Trademark Office; U.S. DEPARTMENT OF COMMERCE information unless it displays a valid OMB control number. 158 er 1, 2011 A. Robl
Tota	al Number of	f Pages in This Submission		Attorney Docket Number	BMS-28	56
			ENC	LOSURES (Check a	ll that app	lv)
	Fee Trans	smittal Form ee Attached ent/Reply fter Final ffidavits/declaration(s) n of Time Request Abandonment Request on Disclosure Statement Copy of Priority t(s) Missing Parts/ te Application eply to Missing Parts nder 37 CFR 1.52 or 1.53		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocati Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C rks	ion Address	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Supplemental Reissue Declaration
Firm N	ame	SIGNA	TURE (	OF APPLICANT, ATTO	ORNEY,	OR AGENT
	5.110	Woodcock Washburn, LL	2			
Signat	ure	/S. Maurice Valla/				
Printeo	name	S. Maurice Valla				
Date		August 8, 2012			Reg. No.	43,966
			EDTIEI	CATE OF TRANSMISS		

I hereby certify that this co sufficient postage as first c the date shown below:	respondence is being facsimile transmitted to the USPTO or deposited with the ass mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450	Jnited States Postal Service with , Alexandria, VA 22313-1450 on
Signature		
Typed or printed name	Dat	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to re	PTO/SB/52 (05-08) Approved for use through 08/31/2013. OMB 0681-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE espond to a collection of information unless it displays a valid OMB control number.
	Docket Number (optional)
REISSUE APPLICATION DECLARATION BY THE AS:	BMS-2856
l hereby declare that:	
The residence, mailing address and citizenship of the inventors	s are stated below.
I am authorized to act on behalf of the following assignee:	stol-Myers Squibb Company
and the title of my position with said assignee is: Assistant G	eneral Counsel
The entire title to the patent identified below is vested in said a	ssignee.
Inventor Jeffrey A. Robi	Citizenship United States
Residence/Mailing Address 7 Tulip Drive, Newtown, PA 18940	
Inventor Richard B <sub>*</sub> Sulsky	Citizenship United States
Residence/Mailing Address 311 Pennington-Rocky Hill Road, Pennington, NJ 08534	
Additional Inventors are named on separately number	ed sheets attached hereto.
Patent Number 6,395,767	Date of Patent Issued May 28, 2002
Cyclopropyl-Fused Pyrrolidine-Based Inhibitors of Dipe	otidyl Peptidase IV and Method
the specification of which	
is attached hereto.	
vas filed on	as reissue application number13/_308,658
and was amended on	·
(If applicable)	
I have reviewed and understand the contents of the above iden amendment referred to above.	tified specification, Including the claims, as amended by any
I acknowledge the duty to disclose information which is materia	I to patentability as defined in 37 CFR 1.56.
I hereby claim foreign priority benefits under 35 U.S.C. 11 (or equivalent) listing the foreign applications.	l9(a)-(d) or (f), or 365(b). Attached is form PTO/SB/02B
I verily believe the original patent to be wholly or partly inoperate below. (Check all boxes that apply.)	tive or invalid, for the reasons described
by reason of a defective specification or drawing.	
by reason of the patentee claiming more or less than he	had the right to claim in the patent.
by reason of other errors.	
[Page	1 of 2]

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This collection of information is required by 37 CFR 1.175. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/52 (05-08) Approved for use through 08/31/2013. OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMFRCF

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Under the Pa	perwork Reduction Act of 1995, no persons are requi	red to respond to a collection of	information unless it displays a valid OMB control number.	
REISSUE	APPLICATION DECLARATION BY	THE ASSIGNEE	Docket Number (Optional) BMS-2856	
At least one err	At least one error upon which relssue is based is described as follows:			
See attached	l sheet			
	[Attach add	itional sheets, if needed.		
L hereby appoin	ected in this reissue application arose	without any deceptive i	Intention on the part of the applicant.	
Practition	ners associated with Customer Number:	23377		
OR Practition	per(s) named below:	L		
	Name		Registration Number	
	· · · · · · · · · · · · · · · · · · ·			
		· .		
as my/our attor	ney(s) or agent(s) to prosecute the application	ation identified above, an	d to transact all business in the United	
States Patent a	nd Trademark Office connected therewith	•		
Correspondence	a Address: Direct all communications abo	ut the application to:		
Correspondence		de the application to.		
✓ The addre	ess associated with Customer Number:	23377		
OR				
Firm or Individual	· ·			
Name Address			······································	
City		State	Zip	
Country				
Telephone		Email		
		WARNING:	······································	
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization form sPTO-2038 submitted in the application file and therefore are not publicly available.				
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and bellef are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.				
Signature	- Moman CI Olle		1910 14 2017.	
Full name of pe	erson signing (given name, family name)	Narren K. Volles	0-1	
Address of Assignee Bristol-Myers Squibb Co.; Patent Department; P.O. Box 4000; Princeton, NJ 08543-4000				
I,				

[Page 2 of 2]

### Supplemental Declaration Additional Sheet

At least one error upon which reissue is based is described as follows:

While the patent included claims encompassing the compound below, the patent failed to include



claims that are specifically directed to the compound

or a pharmaceutical salt

thereof, as set forth in added claims 25 to 35 and 38 to 45.

### ADDITIONAL INVENTORS Page 1 of 1

David J. Augeri Citizenship: United States Residence/Mailing Address: Lexicon Pharma. 350 Carter Road Princeton, NJ 08640

David R. Magnin Citizenship: United States Residence/Mailing Address: Morris College Division of Science and Mathematics 100 W. College Street Sumter, SC 29150-3599

Lawrence G. Hamann Citizenship: United States Residence/Mailing Address: Novartis Institute for Biomedical Research 250 Massachusettes Avenue Cambridge, MA 02139

David A. Betebenner Citizenship: United States Residence/Mailing Address: 3 Easton Court Lawrenceville, NJ 08648



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

23377 е 2012-08-13

WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891

Paper No.

Application No.:	13/308,658	Date Mailed:	2012-08-13
First Named Inventor:	Robl, Jeffrey, A.	Examiner:	POLANSKY, GREGG
Attorney Docket No.:	BMS-2856	Art Unit:	1629
Confirmation No.:	7781	Filing Date:	2011-12-01

Please find attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

Notice of Non-Compliant Amendment	<b>Application No.</b> 13/308,658	Applicant(s) ROBL ET AL.
( <b>37 CFR 1.121</b> )		Art Unit 1700
The MAILING DATE of this communication app	ears on the cover sheet with the c	orrespondence address
The amendment document filed on <u>08 August, 2012</u> is c requirements of 37 CFR 1.121 or 1.4. In order for the am item(s) is required.	onsidered non-compliant becaus nendment document to be compli	e it has failed to meet the ant, correction of the following
THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE 1. Amendments to the specification: A. Amended paragraph(s) do not include B. New paragraph(s) should not be under C. Other	AMENDMENT DOCUMENT TO E markings. rlined.	BE NON-COMPLIANT:
<ul> <li>2. Abstract:</li> <li>A. Not presented on a separate sheet. 37</li> <li>B. Other</li> </ul>	′ CFR 1.72.	
<ul> <li>3. Amendments to the drawings:</li> <li>A. The drawings are not properly identifie "Annotated Sheet" as required by 37 C</li> <li>B. The practice of submitting proposed dr showing amended figures, without ma</li> <li>C. Other</li> </ul>	d in the top margin as "Replacem CFR 1.121(d). awing correction has been elimin rkings, in compliance with 37 CFI	nent Sheet," "New Sheet," or nated. Replacement drawings R 1.84 are required.
<ul> <li>4. Amendments to the claims:</li> <li>A. A complete listing of all of the claims is</li> <li>B. The listing of claims does not include t</li> <li>C. Each claim has not been provided with of each claim cannot be identified. No number by using one of the following s (Previously presented), (New), (Not en D. The claims of this amendment paper h</li> <li>E. Other:</li> </ul>	a not present. he text of all pending claims (incluent the proper status identifier, and te: the status of every claim mus status identifiers: (Original), (Curr ntered), (Withdrawn) and (Withdra ave not been presented in ascen	uding withdrawn claims) as such, the individual status at be indicated after its claim ently amended), (Canceled), awn-currently amended). ding numerical order.
5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4): For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.		
<ol> <li>TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:</li> <li>Applicant is given no new time period if the non-compliant amendment is an after-final amendment or an amendment filed after allowance, or a drawing submission (only) If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the entire corrected amendment must be resubmitted.</li> </ol>		
2. Applicant is given <b>one month</b> , or thirty (30) days, whe correction, if the non-compliant amendment is one o (including a submission for a request for continued e amendment filed within a suspension period under 3 Quayle action. If any of above boxes 1 to 4 are chec non-compliant amendment in compliance with 37 CF	hichever is longer, from the mail of f the following: a preliminary ame examination (RCE) under 37 CFR 7 CFR 1.103(a) or (c), and an an ked, the correction required is on FR 1.121.	late of this notice to supply the ndment, a non-final amendment 1.114), a supplemental nendment filed in response to a ly the corrected section of the
<ul> <li>Extensions of time are available under 37 CFR 1.136(a) <u>only</u> if the non-compliant amendment is a non-final amendment or an amendment filed in response to a <i>Quayle</i> action.</li> <li>Failure to timely respond to this notice will result in:</li> <li>Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a <i>Quayle</i> action; or</li> <li>Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment</li> </ul>		
Legal Instruments Examiner (LIE), if applicable /BRUCE	HARRISON/ Tele	phone No: <u>(571)272-1016</u>
U.S. Patent and Trademark Office PTOL-324 (04-06) Notice of Non-Complia	nt Amendment (37 CFR 1.121)	Part of Paper No. 20120809-1



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

23377 е 2012-08-21

WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891

Paper No.

Application No.:	13/308,658	Date Mailed:	2012-08-21
First Named Inventor:	Robl, Jeffrey, A.	Examiner:	POLANSKY, GREGG
Attorney Docket No.:	BMS-2856	Art Unit:	1629
Confirmation No.:	7781	Filing Date:	2011-12-01

Please find attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

Letter Withdrawing a Notice of Non-Compliant Amendment	Application No.: 13/308,658	Applicant(s): ROBL ET AL. Art Unit: 1700
		·

The Notice of Non-Compliant Amendment mailed on 13 August, 2012 was sent in error, and is hereby withdrawn. The application is being forwarded to the examiner for appropriate action. (Note: this letter does not apply to any Notice of Non-Compliant Amendment where the amendment was a reply to a final Office action.)

Legal Instruments Examiner (LIE):	Telephone Number:		
/BRUCE HARRISON/	(571)272-1016		

Letter Withdrawing a Notice of Non-Compliant Amendment (Rev. 6/04)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jeffrey A. Robl	Confirmation No.: 7781
Application No.: 13/308,658	Group Art Unit: 1629
Filing Date: December 1, 2011	Examiner: Gregg Polansky

# For: Cyclopropyl-Fused Pyrrolidine-Based Inhibitors of Dipeptidyl Peptidase IV and Method

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

### SUPPLEMENTAL REPLY PURSUANT TO 37 CFR § 1.111

In further response to the Official Action dated May 8, 2012, reconsideration is respectfully

requested in view of the amendments and/or remarks as indicated below:

- A Listing of Prior Changes to U.S. 6,395,767 ("the 767 patent") Made By Certificate of Correction begin on page 2 of this paper.
- Amendments to the Claims are reflected in the listing of the claims which begins on page of this paper.
- Amendments to the Drawings begin on page of this paper and include an attached replacement sheet.
- **Remarks** begin on page 6 of this paper.
- The Commissioner is hereby authorized to charge any fee deficiency, charge any additional fees, or credit any overpayment of fees, associated with this application in connection with this filing, or any future filing, submitted to the U.S. Patent and Trademark Office during the pendency of this application, to Deposit Account No. 23-3050.

### Changes to 767 Patent Previously Entered by Certificate of Correction

1. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 13-54 of the 767 patent:

Unless otherwise indicated, the term "heteroaryl" as used herein alone or as part of another group refers to a 5- or 6- membered aromatic ring which includes 1, 2, 3 or 4 hetero atoms such as nitrogen, oxygen or sulfur, and such rings fused to an aryl, cycloalkyl, heteroaryl or cycloheteroalkyl ring (e.g. benzothiophenyl, indolyl), and includes possible N-oxides. The heteroaryl group may optionally include 1 to 4 substituents such as any of the substituents set out above for alkyl. Examples of heteroaryl groups include the following:



and the like.

### PATENT

2. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 55-58 of the 767 patent:

The term "cycloheteroalkylalkyl" as used herein alone or as part of another group refers to cycloheteroalkyl groups as defined above linked through a C atom or heteroatom to a (CH<sub>2</sub>)<sub>r</sub> chain.

3. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 20-38 of the 767 patent:

To a flame-dried 500-mL round-bottomed flask containing cyclopentylideneacetic acid ethyl ester (17.5 g, 113 mmol) in 100 mL anhydrous toluene at -78°C under argon was added DIBAL-H (189 mL of a 1.5 M solution in toluene, 284 mmol, 2.50 equiv) dropwise over a 30 min period through an addition funnel, and the mixture was then allowed to warm to rt, stirring for 18 h. The reaction mixture was then recooled to -78°C, and quenched by the careful addition of 30 mL anhydrous MeOH. Upon warming to rt, 1 N Rochelle's salt (100 mL) was added, and the mixture was stirred 90 min. The biphasic reaction mixture was then diluted with Et<sub>2</sub>O (200 mL) in a separatory funnel, and the layers were separated. The organic layer was then washed with brine (100 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash column chromatography (silica gel, CH<sub>2</sub>Cl<sub>2</sub> / EtOAc, 10:1) gave 11.6 g (92%) of the desired allylic alcohol as a colorless oil.

4. As indicated by the Certificate of Correction, please substitute the following Scheme7 for the Scheme 7 at col. 52, line 37- col. 53, line 25 of the 767 patent:

DOCKET NO.: BMS-2856 Application No.: 13/308,658 Office Action Dated: May 8, 2012



Scheme 7

a.OsO<sub>4</sub>, THF:H<sub>2</sub>O, 1:1; NalO<sub>4</sub>; workup,then NaBH<sub>4</sub>, MeOH, RT. 56% b. TFA:CH<sub>2</sub>Cl<sub>2</sub>, 1:2, 0 degrees C to RT.



Step 1

Page 4 of 6

DOCKET NO.: BMS-2856 Application No.: 13/308,658 Office Action Dated: May 8, 2012

5. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 70, lines 55-65 of the 767 patent:

EXAMPLE 67





6. As indicated by the Certificate of Correction, please substitute the following Table 5 for the Table 5 at col. 84, lines 23-42 of the 767 patent:



Example #	Cycloalkane	R	MS Data M+H
79	cyclohexane	Methyl	262
80	cyclohexane	Ethyl	276
81	cyclopentane	Methyl	248
82	cyclopentane	Allyl	274
83	cyclopentane	Propyl	276
84	cyclobutane	Methyl	234

PATENT

### REMARKS

The Patent Owner thanks the examiner for the courtesy of the telephonic interview conducted on January 10, 2013 with Stephanie A. Barbosa, attorney for Patent Owner. Examiner Polansky requested that the Patent Owner file a supplemental response that addresses certain changes to U.S. 6,395,767 that were previously entered by certificate of correction. In particular, Examiner Polansky identified that all changes must be set forth *via* entire paragraph, scheme, and table replacements rather than single line replacements. This supplemental response also includes the changes from the Certificate of Correction for col. 14, lines 55-58 and col. 43, lines 20-38 to correct typographical errors from the previous reply. This supplemental paper is filed in response to the Examiner's request.

Date: January 18, 2013

/S. Maurice Valla/ S. Maurice Valla Registration No. 43,966

Woodcock Washburn LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100 Facsimile: (215) 568-3439

Electronic Acl	knowledgement Receipt
EFS ID:	14735292
Application Number:	13308658
International Application Number:	
Confirmation Number:	7781
Title of Invention:	Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method
First Named Inventor/Applicant Name:	Jeffrey A. Robl
Customer Number:	23377
Filer:	SAMUEL VALLA/Joanne Gallagher
Filer Authorized By:	SAMUEL VALLA
Attorney Docket Number:	BMS-2856
Receipt Date:	18-JAN-2013
Filing Date:	01-DEC-2011
Time Stamp:	11:44:20
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment no						
File Listin	g:					
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	PMS-2856 transmittal PDE		262602	20	2
	Hansmittar Letter	-		8e5106d1ec81a16c38e02e973075a62abfc dd811		2
Warnings:						
Information:						

2	BMS-2856_supplemen onse_to_OA_dtd_05-0 PDF	BMS-2856_supplemental_resp onse_to_OA_dtd_05-08-2012. PDF		-2856_supplemental_resp e_to_OA_dtd_05-08-2012. PDF		yes	6
	Multipart Description/PDF files in		d5654				
	Document Description	Start	Eı	nd			
	Supplemental Response or Supplemental Amendment		1		1		
	Claims		2		5		
	Applicant Arguments/Remarks Made in an Amendment		6		6		
Warnings:							
Information:							
. 551 Curu, u	described in MPEP 503.			•			
New Applica If a new appl 1.53(b)-(d) a Acknowledg National Sta	tions Under 35 U.S.C. 111 lication is being filed and the application includes the neco nd MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued ement Receipt will establish the filing date of the applicat ge of an International Application under 35 U.S.C. 371 benission to enter the national stage of an international ap	essary co in due co tion.	mponents for a filin ourse and the date s	g date (see hown on th	37 CFR is		
New Applica If a new appl 1.53(b)-(d) an Acknowledg National Star If a timely su U.S.C. 371 an national stag	tions Under 35 U.S.C. 111 lication is being filed and the application includes the neco nd MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued ement Receipt will establish the filing date of the applicat ge of an International Application under 35 U.S.C. 371 bmission to enter the national stage of an international a nd other applicable requirements a Form PCT/DO/EO/903 i ge submission under 35 U.S.C. 371 will be issued in additio	essary co in due co tion. pplicatio indicatin on to the	omponents for a filin ourse and the date s n is compliant with t g acceptance of the Filing Receipt, in due	g date (see hown on th the conditic application e course.	37 CFR is ons of 35 as a		

### Doc Code: TRAN.LET Document Description: Transmittal Letter

	Inder the Pa	ANSMITTAL	<u>no perso</u>	ns are required to respond to a c Application Number Filing Date First Named Inventor Art Unit Examiner Name	ollection of ir 13/308,69 Decembe Jeffrey A 1629	nformation unless it displays a valid OMB control number. 58 er 1, 2011 . Robol
(to Tot	be used for al Number of	all correspondence after initial f	îling)	Attorney Docket Number	Gregg Po BMS-285	56
			ENC	LOSURES (Check a	ll that appi	ly)
	Fee Trans	smittal Form ee Attached ent/Reply fter Final ffidavits/declaration(s) n of Time Request Abandonment Request on Disclosure Statement Copy of Priority nt(s) Missing Parts/ te Application eply to Missing Parts nder 37 CFR 1.52 or 1.53	Rema Supple	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocati Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C arks mental Reply	on Address	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below):
Firm N	lame	SIGNA	<b>FURE</b>	OF APPLICANT, ATTO	DRNEY,	OR AGENT
	ano	Woodcock Washburn LLP				
Signat	ture	/S. Maurice Valla/				
Printe	d name	S. Maurice Valla				
Date		January 18, 2013			Reg. No.	43,966

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:					
Signature					
Typed or printed name	Da	te			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

23377 7590 02/13/2013 WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891 EXAMINER POLANSKY, GREGG ART UNIT PAPER NUMBER

1629

DATE MAILED: 02/13/2013

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/308,658	12/01/2011	Jeffrey A. Robl	BMS-2856	7781

TITLE OF INVENTION: Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1770	\$0	\$0	\$1770	05/13/2013

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

23377 WOODCOCK CIRA CENTRE, 2929 ARCH STI PHILADELPHIA	<sup>7590</sup> 02/13 WASHBURN LI 12TH FLOOR REET A, PA 19104-2891	ock 1 for any change of address) /2013 _P		Note Fee(s pape have I her State addre trans	:: A certificate of s) Transmittal. Thi rs. Each additiona its own certificate <b>Cer</b> eby certify that thi reby certify that thi reby certify that thi se Postal Service we essed to the Mail mitted to the USP	mailing s certifi l paper, of mail <b>tificate</b> is Fee(s vith suff Stop I TO (571	can only be used for cate cannot be used for such as an assignme ing or transmission. <b>of Mailing or Trans</b> ) Transmittal is being icient postage for fir (SSUE FEE address ) 273-2885, on the d	r domestic mailings of the or any other accompanying ont or formal drawing, must mission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below. (Depositor's name)
								(Signature)
								(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTOF	RNEY DOCKET NO.	CONFIRMATION NO.
13/308,658 TITLE OF INVENTION	12/01/2011 : Cyclopropyl-Fused Pyr	rrolidine-Based Inhibitors	Jeffrey A. Robl Of Dipeptidyl Peptida	ase IV	/ And Method		BMS-2856	7781
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	DUE	PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1770	\$0		\$0		\$1770	05/13/2013
EXAM	INER	ART UNIT	CLASS-SUBCLASS	5				
POLANSK	Y, GREGG	1629	514-252190					
Change of corresponde CFR 1.363). Change of corresponde Address form PTO/SE Tree Address' indi PTO/SB/47; Rev 03-0 Number is required.	nce address or indicatio ondence address (or Cha //122) attached. cation (or "Fee Address 2 or more recent) attach	n of "Fee Address" (37 nge of Correspondence " Indication form ed. Us <b>e of a Customer</b>	<ol> <li>For printing on t</li> <li>the names of u or agents OR, alter</li> <li>the name of a s registered attorney</li> <li>registered patent</li> <li>listed, no name will</li> </ol>	the pa ip to mativ single or a attor ll be j	atent front page, lis 3 registered paten ely, e firm (having as a gent) and the nam- neys or agents. If printed,	t attorne membe es of up no name	eys     1       er a     2       o to     0       e is     3	
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a. The following fee(s) a ☐ Issue Fee ☐ Publication Fee (N ☐ Advance Order - #	ure submitted: o small entity discount p of Copies	4t permitted)	<ul> <li>b. Payment of Fee(s): (</li> <li>A check is enclos</li> <li>Payment by credi</li> <li>The Director is he overpayment, to I</li> </ul>	( <b>Plea</b> sed. it card ereby Depos	se first reapply ar 1. Form PTO-2038 authorized to char sit Account Numbe	is attac ge the r	iously paid issue fee hed. equired fee(s), any de (enclose a	shown above) eficiency, or credit any in extra copy of this form).
5. Change in Entity Stat	us (from status indicate	d above)	D					
a. Applicant claims	SMALL ENTITY state	us. See 37 CFR 1.27.	b. Applicant is no	o long	ger claiming SMAI	L ENT	ITY status. See 37 C	FR 1.27(g)(2).
nterest as shown by the r	ecords of the United Sta	tes Patent and Trademark	c Office.		ie applicalit, a legi	stereu a	ttorney or agent, or u	le assignée of other party in
Authorized Signature					Date			
Typed or printed name	2				Registration N	lo		
This collection of informa in application. Confident ubmitting the completed his form and/or suggesti 30x 1450, Alexandria, V Mexandria Viroinia 223	ation is required by 37 C iality is governed by 35 application form to the ons for reducing this bu irginia 22313-1450. DO 13-1450.	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th NOT SEND FEES OR (	on is required to obtain 1.14. This collection i depending upon the i e Chief Information O COMPLETED FORM	n or re is esti indivi Office S TO	etain a benefit by th imated to take 12 r idual case. Any co r, U.S. Patent and P THIS ADDRESS	he publi ninutes mments Tradem . SEND	te which is to file (an to complete, includin s on the amount of ti ark Office, U.S. Dep D TO: Commissioner	t by the USPTO to process) g gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

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	TED STATES PATE	ENT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	TMENT OF COMMERCE Trademark Office OR PATENTS 313-1450
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/308,658	12/01/2011	Jeffrey A. Robl	BMS-2856	7781
23377 7590 02/13/2013 WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891			EXAMINER	
			POLANSKY, GREGG	
			ART UNIT	PAPER NUMBER
			1629	
		DATE MAILED: 02/13/2013		

### Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.
|  | Application No. | Applicant(s) |  |  |
|--|-----------------|--------------|--|--|
|  | 13/308,658      | ROBL ET AL.  |  |  |
| Notice of Allowability   | Examiner        | Art Unit     |  |  |
|  | Gregg Polansky  | 1629         |  |  |
| All claims being allowable, PROSECUTION ON THE MERTIS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. |                 |              |  |  |
| <ul> <li>2. An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.</li> </ul>   |                 |              |  |  |
| 3. The allowed claim(s) is/are <u>1-22,25-35 and 38-45</u> . As a result of the allowed claim(s), you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see <u>http://www.uspto.gov/patents/init_events/pph/index.jsp</u> or send an inquiry to <u>PPHfeedback@uspto.gov</u> .  |                 |              |  |  |
| <ul> <li>4. □ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) □ All b) □ Some* c) □ None of the:</li> </ul>  |                 |              |  |  |
| 1. 🔲 Certified copies of the priority documents have been received.  |                 |              |  |  |

- 2. Certified copies of the priority documents have been received in Application No.
- 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
- \* Certified copies not received: \_\_\_\_\_

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. **THIS THREE-MONTH PERIOD IS NOT EXTENDABLE**.

5. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.

including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

#### Attachment(s)

- 1. 🔲 Notice of References Cited (PTO-892)
- 2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date
- 6. 
  Examiner's Statement of Reasons for Allowance

7. 🗌 Other \_\_\_\_\_.

5. 
Examiner's Amendment/Comment

- 3. Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 4. Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_.

Primary Examiner, Art Unit 1629

/Gregg Polansky/ Examiner, Art Unit 1629

U.S. Patent and Trademark Office PTOL-37 (Rev. 09-12)

/SAVITHA RAO/

#### **EAST Search History**

#### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
12	2	("6395767").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2013/01/24 17:16
L3	10	onglyza	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	and	ON	2013/01/24 17:16
L4	1478	saxagliptin	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	and	ON	2013/01/24 17:16
L5	1480	L3 or L4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	and	ON	2013/01/24 17:16
L6	375	BMS-477118	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	and	ON	2013/01/24 17:16
L7	476	BMS adj "477118"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	AND	ON	2013/01/24 17:16
L8	476	BMS adj2 "477118"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	AND	ON	2013/01/24 17:16
L9	476	L6 or L7	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	and	ON	2013/01/24 17:16
L10	0	"361442-05-9"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	AND	ON	2013/01/24 17:16
L11	808	548/452.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	AND	ON	2013/01/24 17:20
L12	1048	514/412.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	AND	ON	2013/01/24 17:20

#### EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L13	464	514/412.ccls.	USPAT; UPAD	AND	ON	2013/01/24 17:20
L14	506	548/452.ccls.	USPAT; UPAD	AND	ON	2013/01/24 17:21

#### 1/24/2013 5:21:49 PM

C:\ Users\ gpolansky\ Documents\ EAST\ Workspaces\ 13308658 Reissue of US 6395767.wsp

Application Number	Application No.	Applicant(s)		
	Notice of Reissue Publishe	d in OG on 02/14/2012		
Original Patent Number of Patent T	o Be Reissued is 6395767	The Maintenance fee status is: ⊠ up to date. ☐ not required.		
This reissue patent is subject to A Terminal Disclaimer that:				
Physical surrender of the letters patent           was made.           was not made, but a statement of loss/inaccessibility was provided.           is not required				
Final SPRE Review				
	BC (INITIALS)	_		
-	2/7/2013			

(DATE)

U.S. Patent and Trademark Office

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	13308658	ROBL ET AL.
	Examiner	Art Unit
	GREGG POLANSKY	1629

CPC- SEARCHED		
Symbol	Date	Examiner

<b>CPC COMBINATION SETS - SEARCHED</b>		
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED			
Class	Subclass	Date	Examiner
514	412	1/24/2013	GP
548	452	1/24/2013	GP

SEARCH NOTES			
Search Notes	Date	Examiner	
EAST Search: see EAST Search Histroy	5/2/2012	GP	
STN Search: see STN Search History	5/2/2012	GP	
Litigation Search: see Litigation Search History	5/2/2012	GP	
PALM Inventor Search	5/2/2012	GP	
EAST Search: see EAST Search Histroy	1/24/2013	GP	
Reviewed previous STN Search History	1/24/2013	GP	
PALM Inventor Search	1/24/2013	GP	

INTERFERENCE SEARCH			
US Class/	US Class/ US Subclass / CPC Group Date Examiner		
CPC Symbol			
514	412	1/24/2013	GP
548	452	1/24/2013	GP

/GREGG POLANSKY/	/SAVITHA RAO/
Examiner.Art Unit 1629	Primary Examiner, Art Unit 1629

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13308658	ROBL ET AL.
	Examiner	Art Unit
	GREGG POLANSKY	1629

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CPC Combination Sets				
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/GREGG POLANSKY/ Examiner.Art Unit 1629	1/24/2013	Total Claims Allowed:				
(Assistant Examiner)	(Date)	41				
		O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1	NONE			

U.S. Patent and Trademark Office

Part of Paper No. 20130124

08658			Applicant(s)/Patent Under Reexamination ROBL ET AL.							
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/GREGG POLANSKY/ Examiner.Art Unit 1629	1/24/2013	Total Claims Allowed:				
(Assistant Examiner)	(Date)	41				
		O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1	NONE			

U.S. Patent and Trademark Office

Part of Paper No. 20130124

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13308658	ROBL ET AL.
	Examiner	Art Unit
	GREGG POLANSKY	1629

	Claims re	numbere	d in the s	ame orde	r as prese	ented by a	pplicant		СР	A [	] T.D.	г.D. 🗌 R.1.47				
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/GREGG POLANSKY/ Examiner.Art Unit 1629	1/24/2013	Total Claims Allowed:				
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(Primary Examiner)	(Date)	1	NONE			
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U.S. Patent and Trademark Office

Part of Paper No. 20130124



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# **BIB DATA SHEET**

#### **CONFIRMATION NO. 7781**

SERIAL NUM	BER	FILING or	371(c)		CLASS	GR	OUP ART	UNIT	ΑΤΤΟ	DRNEY DOCKET					
13/308,65	8	12/01/20	11		514		1629			BMS-2856					
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APPLICANT Jeffrey A. Richard E David J. A David R. Lawrence David A.	APPLICANTS Jeffrey A. Robl, Newtown, PA; Richard B. Sulsky, West Trenton, NJ; David J. Augeri, Princeton, NJ; David R. Magnin, Hamilton, NJ; Lawrence G. Hamann, Cherry Hill, NJ; David A. Betebenner, Lawrenceville, NJ; CONTINUING DATA **************														
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Part of Paper No. : 20130124

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#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

23377 WOODCOCK CIRA CENTRE, 2929 ARCH STI PHILADELPHI	7590 02/13 WASHBURN LI , 12TH FLOOR REET A, PA 19104-2891	ock 1 for any change of address) /2013 _P		Note Fee( pape have	:: A certificate of s) Transmittal. Thi rs. Each additiona e its own certificate <b>Cer</b> reby certify that th se Postal Service w essed to the Mail smitted to the USP	mailing is certific l paper, s of maili <b>tificate o</b> is Fee(s) vith suffi L Stop IS TO (571)	can only be u ate cannot be uch as an ass ng or transmis <b>f Mailing or</b> ' Transmittal is cient postage l SUE FEE ac 273-2885, on	sed for used for ignment sion. <b>Transm</b> being c for first idress al the date	domestic mailings of the any other accompanying or formal drawing, must ission leposited with the United class mail in an envelope bove, or being facsimile indicated below. (Depositor's name) (Signature) (Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTOR	NEY DOCKET	NO.	CONFIRMATION NO.
13/308,658	12/01/2011	•	Jeffrey A. Robl				BMS-2856		7781
TITLE OF INVENTION	: Cyclopropyl-Fused Pyr	rrolidine-Based Inhibitors	Of Dipeptidyl Peptida	ase IV	V And Method				
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	DUE	PREV. PAID ISSUI	E FEE	TOTAL FEE(S	) DUE	DATE DUE
nonprovisional	NO	\$1770	\$0		\$0		\$1770		05/13/2013
EXAM	INER	ART UNIT	CLASS-SUBCLASS	s					
POLANSK	Y, GREGG	1629	514-252190	2190					
<ul> <li>I. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ul>			<ul> <li>2. For printing on the patent front page, list <ol> <li>the names of up to 3 registered patent attorneys or agents OR, alternatively,</li> <li>the name of a single firm (having as a member a registered patent attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.</li> </ol> </li> <li>2. Woodcock Washburn LLP 3</li></ul>						
3. ASSIGNEE NAME A PLEASE NOTE: Unl recordation as set forth (A) NAME OF ASSIG Bristol-Myen	THE PATENT (print of data will appear on t T a substitute for filing (B) RESIDENCE: (0 Princeton,	or typ he pa g an a CITY <b>NJ</b>	e) utent. If an assign assignment. and STATE OR C	ee is ide: COUNTR	ntified below, Y)	the doc	ument has been filed for		
Please check the appropri	ate assignee category or	categories (will not be p	rinted on the patent) :		Individual X X Co	orporation	n or other priv	ate grouj	p entity 📮 Government
4a. The following fee(s) are submitted:       4         XXX ssue Fee       □         □ Publication Fee (No small entity discount permitted)       □         □ Advance Order - # of Copies       □			<ul> <li>b. Payment of Fee(s): (</li> <li>A check is enclosed in the second sec</li></ul>	( <b>Plea</b> sed. it care ereby Depos	se first reapply ar d. Form PTO-2038 authorized to char sit Account Numbe	is attach ge the re- cr _233	ed. quired fee(s), a 050(enc	<b>1e fee sh</b> any defic close an o	own above) ciency, or credit any extra copy of this form).
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NOTE: The Issue Fee and	s SMALL ENTITY state d Publication Fee (if req	uired) will not be accepte	d from anyone other t	o long han tl	ger claiming SMAI	stered att	orney or agen	$\frac{1}{1000}$ t; or the	assignee or other party in
interest as shown by the r	ecords of the United Sta	tes Patent and Trademark	c Office.						
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Typed or printed name	S. Maurice V	alla			Registration N	ιο. <b>43</b> ,	966		
This collection of inform an application. Confident submitting the completec this form and/or suggesti Box 1450, Alexandria, Virginia 223	ation is required by 37 C iality is governed by 35 I application form to the ons for reducing this bu irginia 22313-1450. DO 13-1450.	FR 1.311. The informati U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th NOT SEND FEES OR (	on is required to obtain 1.14. This collection is depending upon the e Chief Information C COMPLETED FORM	n or r is esti indiv Office IS TC	etain a benefit by the imated to take 12 r idual case. Any co r, U.S. Patent and THIS ADDRESS	he public minutes t omments Tradema S. SEND	which is to fi o complete, in on the amoun rk Office, U.S TO: Commiss	le (and b cluding t of time 5. Depart ioner for	y the USPTO to process) gathering, preparing, and you require to complete ment of Commerce, P.O. r Patents, P.O. Box 1450,

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Electronic Patent Application Fee Transmittal							
Application Number:	13308658						
Filing Date:	01-	-Dec-2011					
Title of Invention:		Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method					
First Named Inventor/Applicant Name:	Jef	frey A. Robl					
Filer:	SA	MUEL VALLA/Ann T	revisani				
Attorney Docket Number:	BMS-2856						
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Utility Appl issue fee		1501	1	1770	1770		
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	) (\$)	1770

Electronic Acl	knowledgement Receipt
EFS ID:	14971738
Application Number:	13308658
International Application Number:	
Confirmation Number:	7781
Title of Invention:	Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method
First Named Inventor/Applicant Name:	Jeffrey A. Robl
Customer Number:	23377
Filer:	SAMUEL VALLA/Ann Trevisani
Filer Authorized By:	SAMUEL VALLA
Attorney Docket Number:	BMS-2856
Receipt Date:	15-FEB-2013
Filing Date:	01-DEC-2011
Time Stamp:	14:29:16
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	yes			
Payment Type	Deposit Account			
Payment was successfully received in RAM	\$1770			
RAM confirmation Number	897			
Deposit Account	233050			
Authorized User				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:				
Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)				
Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)				

Charge any Additional Fees required under 37 C.F.R. Se	ection 1.19 (Document supply fees)
--	------------------------------------

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listin	g:					
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Issue Fee Payment (PTO-85B)	lssue_Fee_Transmittal.PDF	1027096	no	1	
			48570b69ef33e9f3be16d22f5b113851e671 90a1			
Warnings:						
Information:		1	1 1			
2	Fee Worksheet (SB06)	fee-info.pdf	30083	no	2	
			39951163a67f4d4a649a4c2438c239a98d3 eca4d			
Warnings:						
Information:						
		Total Files Size (in bytes)	: 10	57179		
This Acknow characterized Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) an	This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1 53(b)-(d) and MPEP 506) a Filing Receipt (37 CFR 1 54) will be issued in due course and the date shown on this					
Acknowledg	ement Receipt will establish the filir	ng date of the application.				
<u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.						
<u>New Internat</u> If a new inter an internatio and of the In national secu the applicati	tional Application Filed with the USF mational application is being filed a onal filing date (see PCT Article 11 ar ternational Filing Date (Form PCT/R urity, and the date shown on this Acl on.	PTO as a Receiving Office nd the international applicat nd MPEP 1810), a Notification O/105) will be issued in due c knowledgement Receipt will o	ion includes the nece of the International <i>I</i> ourse, subject to pres establish the internat	ssary comp Application criptions co ional filing	onents for Number oncerning date of	



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.iispto.gov

_						
	APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
	13/308,658	04/30/2013	RE44186	BMS-2856	7781	
	23377 759	90 04/10/2013				

WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891

# **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

## Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Jeffrey A. Robl, Newtown, PA; Richard B. Sulsky, West Trenton, NJ; David J. Augeri, Princeton, NJ; David R. Magnin, Hamilton, NJ; Lawrence G. Hamann, Cherry Hill, NJ; David A. Betebenner, Lawrenceville, NJ;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

#### PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin; Lawrence G. Hamann; David A. Betebenner Confirmation No.: 7781 Patent No.: RE44,186 E Issued: April 30, 2013 Application No.: 13/308,658 Filing Date: December 1, 2011 For: CYCLOPROPYL-FUSED PYRROLIDINE-BASED INHIBITORS OF

#### DIPEPTIDYL PEPTIDASE IV AND METHOD

Commissioner for Patents Attn: Certificate of Correction Branch P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

#### REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE PURSUANT TO 37 CFR § 1.322(a)

It is respectfully requested that a Certificate of Correction be issued for the aboveidentified patent. In accordance with 37 CFR § 1.322(a), the patent has errors in it that occurred through the fault of the Patent and Trademark Office as clearly disclosed by the records and files of the office.

Enclosed herewith please find a completed Certificate of Correction form.

Since the errors are not due to applicants' mistake, no correction fee is due. Please charge any fees for copies and any additional fees to our Deposit Account No. 23-3050.

#### DOCKET NO.: BMS-2856

PATENT

Date: July 3, 2013

/Stephanie A. Lodise/

Stephanie A. Lodise Registration No. 51,430

Woodcock Washburn LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100 Facsimile: (215) 568-3439

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION
PATENT NO:RE44,186 EAPPLICATION NO.:13/308,658ISSUE DATE:April 30, 2013INVENTOR(S):Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin; Lawrence G. Hamann; David A. Betebenner
It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:
<u>Column 4</u> , Line 56, delete "alkylcyclo alkyl," and insert alkylcycloalkyl, Line 56, delete "hydroxytricyclo alkyl," and insert hydroxytricycloalkyl,
Column 17, Line 48, delete "a-phosphono-sulfonates" and insert $\alpha$ -phosphono-sulfonates
<u>Column 19</u> , Line 51, delete "lipoxygevase" and insert lipoxygenase
<u>Column 28,</u> Lines 16-17, delete "butoxycarbonylisoleucine" and insert butoxycarbonyl-isoleucine
Column 33, Lines 38-39, delete "1-[(3-dimethypamino)propyl]" and insert 1-[(3-dimethyl)amino)propyl]





,,

a. OsO4, THF:H2O, 1:1; NaIO4; workup, then NaBH4, MeOH, RT. 56% b. TFA:CH2Cl2, 1:2, 0 degrees C. to RT.

0603



PATENT NO	:	RE44,186 E
APPLICATION NO.	:	13/308,658
ISSUE DATE	:	April 30, 2013
INVENTOR(S)	:	Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin; Lawrence G. Hamann; David A. Betebenner

Column 55, Lines 19-31, EXAMPLE 57, delete "



Step 3

,,





PATENT NO	:	RE44,186 E
APPLICATION NO.	:	13/308,658
ISSUE DATE	:	April 30, 2013
INVENTOR(S)	:	Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin; Lawrence G. Hamann; David A. Betebenner

Column 63, Lines 25-46, EXAMPLE 62, delete "



Step 1

,,



PATENT NO	:	RE44,186 E
APPLICATION NO.	:	13/308,658
ISSUE DATE	:	April 30, 2013
INVENTOR(S)	:	Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin; Lawrence G. Hamann; David A. Betebenner

<u>Column 69,</u> Lines 20-32, delete "

**EXAMPLE 67** 





and insert --

EXAMPLE 67



Step 1--.

Column 70, Line 59, delete "19,8 mmol" and insert -- 19.8 mmol --.

<u>Column 82,</u> Line 27, after "30 min" insert -- . --.

	UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION
PATENT NO APPLICATION NO. ISSUE DATE INVENTOR(S)	<ul> <li>RE44,186 E</li> <li>13/308,658</li> <li>April 30, 2013</li> <li>Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin; Lawrence G. Hamann; David A. Betebenner</li> </ul>
<u>Col</u> Lin	l <u>umn 87,</u> le 7, Claim 1, delete "R4" and insert R <sup>4</sup>
Col Lin of c	lumn 92, le 21, Claim 36, delete "any one of claim" and insert any one claims

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Woodcock Washburn LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891

Electronic Acknowledgement Receipt			
EFS ID:	16226296		
Application Number:	13308658		
International Application Number:			
Confirmation Number:	7781		
Title of Invention:	Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method		
First Named Inventor/Applicant Name:	Jeffrey A. Robl		
Customer Number:	23377		
Filer:	Stephanie A. Barbosa/Laura Taylor		
Filer Authorized By:	Stephanie A. Barbosa		
Attorney Docket Number:	BMS-2856		
Receipt Date:	03-JUL-2013		
Filing Date:	01-DEC-2011		
Time Stamp:	10:47:07		
Application Type:	Utility under 35 USC 111(a)		

# Payment information:

Submitted with Payment			no			
File Listin	g:					
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter		RMS-2856Transmittal PDF	262282	no	р
·	Miscellaneous incoming Letter			737646480d28b903831e03b6cac6fb353f3 ca960		2
Warnings:						
Information:						

		Total Files Size (in bytes)	): 4	79503	
Information	:				
Warnings:					
5			97e3cffefa5eb7df3ce58ae7eaee4e54e2adb 3ae	×	
3	Request for Certificate of Correction	BMS-2856CertCorr.PDF	137994	no	8
Information	:				
Warnings:					
2		bills zosonequel contra br	213fd4d2d20f04bd0a7d68fb5ba2c2aa8db 7a560	no	
2	Request for Certificate of Correction	BMS-2856BegCertCorr PDF	79227	no	2

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### Doc Code: TRAN.LET Document Description: Transmittal Letter

	Jnder the Par	perwork Reduction Act of 1995.	no persor	ns are required to respond to a construction Number	ollection of in 13/308,65	iformation unless it displays a valid OMB control number	
TRANSMITTAL			Filing Date	Decembe			
		FORM		First Named Inventor	Jeffrev A.	. Robl	
				Art Unit	1629		
				Examiner Name	Grega Pa	blansky	
(to	be used for	All correspondence after initial finitial finiti	ling) 2	Attorney Docket Number	BMS-285		
101							
				LOSURES (Check al	ll that appl		
	Fee Trans	smittal Form		Drawing(s)		After Allowance Communication to TC	
	L F€	ee Attached		Licensing-related Papers		of Appeals and Interferences	
	Amendme Af Extension Express A Informatic Certified C Documen Reply to N Incomplet Certified C	ent/Reply fter Final ffidavits/declaration(s) n of Time Request Abandonment Request on Disclosure Statement Copy of Priority t(s) Missing Parts/ te Application eply to Missing Parts nder 37 CFR 1.52 or 1.53		Petition Petition to Convert to a Provisional Application Power of Attorney, Revocati Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C arks	on Address	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Request for Certificate of Correction (2 pages) Certificate of Correction (8 pages)	
		SIGNA	URE (	OF APPLICANT, ATTO	ORNEY, (	OR AGENT	
Firm N	lame	Woodcock Washburn LLP					
Signat	ure	/Stephanie A. Lodise/					
Printe	d name	Stephanie A. Lodise					
Date		July 3, 2013			Reg. No.	51430	

I hereby certify that this con sufficient postage as first c the date shown below:	rrespondence is being facsimile transmitted to the USPTO or deposited with t lass mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1	the Un 1450, <i>A</i>	ited States Postal Service with Alexandria, VA 22313-1450 on
Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### 

Please respond to this request for a certificate of correction within 7 days.

## FOR IFW FILES:

Please review the requested changes/corrections as shown in the **COCIN** document(s) in the IFW application image. No new matter should be introduced nor should the scope or meaning of the claims be changed.

Please complete the response (see below) and forward the completed response to scanning using document code **COCX**.

## FOR PAPER FILES:

Please review the requested changes/corrections as shown in the attached certificate of correction. Please complete this form (see below) and forward it with the file to:

Certificates of Correction Branch (CofC) Randolph Square – 9D10-A Palm Location 7580

In Particular note:

# Valerie Jackson

Certificates of Correction Branch 703-756-1814

Thank You For Your Assistance

The request for issuing the above-identified correction(s) is hereby:
Note your decision on the appropriate box.

□ Approved

Approved in Part

Denied

All changes apply.

Specify below which changes **do not** apply.

State the reasons for denial below.

Comments: \_\_\_\_\_

SPE RESPON	<u>SEFOR CERTIFICATE OF CORRECTION</u>	Art Unit
· · ·		
	•	
	i	

. J. . T.

#### SPE RESPONSE FOR CERTIFICATE OF CORRECTION

DATE	Paper No.:
TO SPE OF	: ART UNIT1629
SUBJECT	: Request for Certificate of Correction for Appl. No.: <u>13308658</u> Patent No.: <u>RE44186</u> COCIN mailroom date: July 3, 2013

Please respond to this request for a certificate of correction within 7 days.

#### FOR IFW FILES:

È

Please review the requested changes/corrections as shown in the **COCIN** document(s) in the IFW application image. No new matter should be introduced nor should the scope or meaning of the claims be changed.

Please complete the response (see below) and forward the completed response to scanning using document code **COCX**.

#### FOR PAPER FILES:

Please review the requested changes/corrections as shown in the attached certificate of correction. Please complete this form (see below) and forward it with the file to:

Certificates of Correction Branch (CofC) Randolph Square – 9D10-A Palm Location 7580

In Particular note:

Valerie Jackson

Certificates of Correction Branch 703-756-1814

Thank You For Your Assistance

The request for issuing the above-identified correction(s) is	hereby:
Note your decision on the appropriate box.	

**X** Approved

Approved in Part

Denied

All changes apply.

Specify below which changes **do not** apply.

•

State the reasons for denial below.

Comments: \_\_\_\_\_

/Jeffrey S. Lundgren/ 1629 U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

SPE RESPONSE	FOR CERTIFICATE	OF CORRECTION

## Art Unit

8

PTOL-306 (REV. 7/03) U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

PATENT NO.: RE44,186 EAPPLICATION NO.: 13/308658DATED: April 30, 2013INVENTOR(S): Jeffrey A. Robl et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Specifications:

Column 4,

Line 56, delete "alkylcyclo alkyl," and insert -- alkylcycloalkyl, --. Line 56, delete "hydroxytricyclo alkyl," and insert -- hydroxytricycloalkyl, --.

<u>Column 17,</u> Line 48, delete "a-phosphono-sulfonates" and insert --  $\alpha$ -phosphono-sulfonates --.

<u>Column 19</u>, Line 51, delete "lipoxygevase" and insert -- lipoxygenase --.

<u>Column 28,</u> Lines 16-17, delete "butoxycarbonylisoleucine" and insert -- butoxycarbonyl-isoleucine --.

<u>Column 33</u>, Lines 38-39, delete "1-[(3-dimethypamino)propyl]" and insert -- 1-[(3-dimethyl)amino)propyl] --.

> Signed and Sealed this Eighth Day of October, 2013

hand the en.

Teresa Stanek Rea Deputy Director of the United States Patent and Trademark Office

Page 1 of 4

## **CERTIFICATE OF CORRECTION (continued) U.S. Pat. No. RE44,186 E**

In the Specifications:

#### Column 51,



,,

a.OsO<sub>4</sub>, THF:H<sub>2</sub>O, 1:1; NalO<sub>4</sub>; workup,then NaBH<sub>4</sub>, MeOH, RT. 56% b. TFA:CH<sub>2</sub>Cl<sub>2</sub>, 1:2, 0 degrees C to RT.

<u>Column 51</u>, Line 54, delete "OsO4" and insert -- OsO<sub>4</sub> --.
## CERTIFICATE OF CORRECTION (continued) U.S. Pat. No. RE44,186 E

In the Specifications:

### Column 55,



## **CERTIFICATE OF CORRECTION (continued)** U.S. Pat. No. RE44,186 E

In the Specifications:

#### Column 64,

Line 31, delete "NaHSO3" and insert -- NaHSO3 ---.

Column 69,

**EXAMPLE 67** 



Lines 20-32, delete "

Step 1

" and

**EXAMPLE 67** 

insert --

Step 1

<u>Column 70,</u> Line 59, delete "19,8 mmol" and insert -- 19.8 mmol --.

<u>Column 82</u>, Line 27, after "30 min" insert -- . --.

In the Claims:

Column 87, Line 7, Claim 1, delete "R4" and insert -- R<sup>4</sup> --.

Column 92, Line 21, Claim 36, delete "any one of claim" and insert -- any one of claims --. Case 1:14-cv-00667-UNA Document 4 Filed 05/23/14 Page 1 of 1 PageID #: 78

T

AO 120 (Rev. 08/10)

TO	Mail Stop 8
10:	Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
1	Alexandria, VA 22313-1450

#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court United States District Court for the District of Delaware on the following

□ Trademarks or Patents. (□ the patent action involves 35 U.S.C. § 292.):

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT United States District Court for the District of Delaware			
PLAINTIFF		DEFENDANT			
ASTRAZENECA AB		WOCKHARDT BIO AG and WOCKHARDT USA LLC			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 RE44,186	April 30, 2013	AstraZeneca AB			
2 7,951,400	May 31, 2011	AstraZeneca AB			
3					
4	· · · · · · · · · · · · · · · · · · ·				
5					

In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
	Amen	dment	Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	ER OF PATENT OR	TRADEMARK
1					
2					
3					
4					
5					

In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

AO 120 (Rev. 08/10)				
TO: Director o	Mail Stop 8 f the U.S. Patent and Tradema Office P.O. Box 1450 exandria, VA 22313–1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance w fi	ith 35 U.S.C. § 290 and/or 15 U led in the U.S. District Court fo _ Trademarks or X Patents. ( _	S.C. § 1116 you are hereby advised that a court action has been for the District of New Jersey on the following: the patent action involves 35 U.S.C. § 292.)		
DOCKET NO. 3:14-cv-03552-MLC-	DATE FILED DEA 6/3/2014	U.S. DISTRICT COURT TRENTON, NJ		
PLAINTIFF ASTRAZENECA AB		DEFENDANT SUN PHARMA GLOBAL FZE		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
1 US RE44,186 E	April 30, 2013	Bristol-Myers Squibb Company		
2 US 7,951,400 B2 May 31, 2011		Bristol–Myers Squibb Company		
3 US 8,628,799 B2 January 14, 2014		Bristol-Myers Squibb Company		
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In th	In the above—entitled case, the following patent(s)/ trademark(s) have been included:							
DATE INCLUDED	INCLUDED BY							
		Amendment	Answer	Cross Bill	Other Pleading			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF	PATENT OR TRAI	DEMARK			
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In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT

CLERK William T. Walsh (BY) DEPUTY CLERK s/ Marlene Kalbach

Case 1:14-cv-00694-GMS Document 4 Filed 06/02/14 Page 1 of 1 PageID #: 95

AO 120 (Rev. 08/10) **REPORT ON THE** Mail Stop 8 TO: FILING OR DETERMINATION OF AN Director of the U.S. Patent and Trademark Office P.O. Box 1450 **ACTION REGARDING A PATENT OR** Alexandria, VA 22313-1450 TRADEMARK In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been **District of Delaware** on the following filed in the U.S. District Court ☑ Patents. ( □ the patent action involves 35 U.S.C. § 292.): Trademarks or U.S. DISTRICT COURT DATE FILED DOCKET NO. District of Delaware 6/2/2014 DEFENDANT PLAINTIFF

ASTRAZENECA AB		SUN PHARMA GLOBAL FZE, SUN PHARMACEUTICAL INDUSTRIES LTD. and CARACO PHARMACEUTICAL LABORATORIES LTD.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 RE44,186	4/30/2013	AstraZeneca AB
2 7,951,400	5/31/2011	AstraZeneca AB
3 8,628,799	1/14/2014	AstraZeneca AB
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
	Amend	ment Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDE	ER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Case 1:14-cv-00697-GMS Document 4 Filed 06/02/14 Page 1 of 1 PageID #: 94

AO 120 (Rev. 08/10) **REPORT ON THE** Mail Stop 8 TO: FILING OR DETERMINATION OF AN Director of the U.S. Patent and Trademark Office **ACTION REGARDING A PATENT OR** P.O. Box 1450 Alexandria, VA 22313-1450 **TRADEMARK** In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been District of Delaware on the following filed in the U.S. District Court Trademarks or  $\blacksquare$  Patents. (  $\square$  the patent action involves 35 U.S.C. § 292.): DOCKET NO. DATE FILED U.S. DISTRICT COURT 6/2/2014 District of Delaware DEFENDANT PLAINTIFF ASTRAZENECA AB AMNEAL PHARMACEUTICALS LLC PATENT OR DATE OF PATENT HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK 1 RE44,186 AstraZeneca AB 4/30/2013 2 7,951,400 5/31/2011 AstraZeneca AB 3 8,628,799 1/14/2014 AstraZeneca AB 4 5

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
		ndment	Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	ER OF PATENT OR '	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Case 1:14-cv-00696-GMS Document 4 Filed 06/02/14 Page 1 of 1 PageID #: 94

AO 120 (Rev. 08/10)

TO:	Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPOF FILING OR DETH ACTION REGAR TRAI	RT ON THE ERMINATION OF AN DING A PATENT OR DEMARK
	In Compliance	ce with 35 U.S.C. § 290 and	/or 15 U.S.C. § 1	16 you are hereby advised that a	a court action has been
	filed in the U.S. District Court D		Distri	ct of Delaware	on the following
Ľ	Trademarks or	Patents. ( ] the patent	t action involves 3	5 U.S.C. § 292.):	
DOCKE	ET NO.	DATE FILED	U.S. DIST	RICT COURT District of D	elaware

	6/2/2014	District of Delaware
PLAINTIFF		DEFENDANT
ASTRAZENECA AB		MYLAN PHARMACEUTICALS, INC.
	· · · · · · · · · · · · · · · · · · ·	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 RE44,186	4/30/2013	AstraZeneca AB
2 7,951,400	5/31/2011	AstraZeneca AB
3 8,628,799	1/14/2014	AstraZeneca AB
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
	Amen	dment 🗌	Answer	Cross Bill	Other Pleading
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Case 1:14-cv-00094-IMK Document 4 Filed 06/03/14 Page 1 of 1 PageID #: 91

1:14-CV-94

FILER

AO 120 (Rev. 08/10)

то:	Mail Stop 8
	Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
	Alexandria, VA 22313-1450

#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Northern District of West Virginia on the following

Trademarks or Patents. ( [] the patent action involves 35 U.S.C. § 292.):

			E A
DOCKET NO.	DATE FILED 6/3/2014	U.S. DISTRICT COURT Northern District of West Virginia	
PLAINTIFF ASTRAZENECA AB		DEFENDANT MYLAN PHARMACEUTICALS, INC. WHEELING, WV	014 <b>RT-WVN</b> 26003
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 RE44,186	4/30/2013	AstraZeneca AB	
2 7,951,400	5/31/2011	AstraZeneca AB	
3 8,628,799	1/14/2014	AstraZeneca AB	
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In the above---entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
	Amendu	ment 🗌 Answer	🗌 Cross Bill	Other Pleading
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

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AO 120 (Rev. 08/10)			
Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
In Complianc filed in the U.S. Dist Trademarks or	e with 35 U.S.C. § 290 and/or 1 rict Court for the Dis Patents. ( 🗌 the patent action	5 U.S.C. § strict of D on involve	1116 you are hereby advised that a court action has been         elaware       on the following         es 35 U.S.C. § 292.):
DOCKET NO.	DATE FILED 8/15/2014	U.S. DI	STRICT COURT for the District of Delaware
PLAINTIFF ASTRAZENECA AB			DEFENDANT WATSON LABORATORIES, INC., ACTAVIS, INC. and ACTAVIS LLC
PATENT OR TRADEMARK NO	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 RE44,186	4/30/2013		AstraZeneca AB
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In the above--entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	ment Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDEI	R OF PATENT OR 7	FRADEMARK
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In the above --entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

AO 120 (Rev. 08/10)			
TO: Director o Ale	Mail Stop 8 f the U.S. Patent and Tradema Office P.O. Box 1450 exandria, VA 22313–1450	ark REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
In Compliance wi	ith 35 U.S.C. § 290 and/or 15 U led in the <b>U.S. District Court f</b> _ Trademarks or X Patents. (	J.S.C. § 1116 you are hereby advised that a court action has been for the District of New Jersey on the following: the patent action involves 35 U.S.C. § 292.)	
DOCKET NO. 3:14-cv-03552-MLC-DEA 6/3/2014		U.S. DISTRICT COURT TRENTON, NJ	
PLAINTIFF ASTRAZENECA AB		DEFENDANT SUN PHARMA GLOBAL FZE	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 US RE44,186 E	April 30, 2013	Bristol–Myers Squibb Company	
2 US 7,951,400 B2 May 31, 2011		Bristol–Myers Squibb Company	
3 US 8,628,799 B2 January 14, 2014		Bristol–Myers Squibb Company	
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In th	e aboveentitled case, the	e following patent(s)/ trademark(s) have been included
DATE INCLUDED	INCLUDED BY	
		_AmendmentAnswerCross BillOther Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT

OL DDV		
CLERK	(BY) DEPUTY CLERK	DATE
William T. Walsh	s/ Marlene Kalbach	6/3/2014
		0,2,2011

Case 2:14-cv-05513-KSH Document 3 Filed 09/03/14 Page 1 of 1 PageID: 23

AO 120 (Rev. 08/10)

TO: Mail Stop 8	
Director of the U.S. Patent and Trademark Office	
P.O. Box 1450	
Alexandria, VA 22313-1450	
]	CO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_\_\_ on the following

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT			
14-cv-5513 (KSH)	9/3/2014	District of New Jersey			
PLAINTIFF		DEFENDANT			
LifePort Sciences LLC		C.R. Bard Inc.			
		Bard Peripheral Vascular Inc.			
	<b>-</b>				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 6,673,103	1/6/2004	LifePort Sciences LLC			
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## In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				······································
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PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	R OF PATENT OR 1	FRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLEDK		
CLERK	(BY) DEPUTY CLERK	DATE
WILLIAM T. WALSH	LEROY DUNBAR	9/3/2014

# Case 1:14-cv-01356-UNA Document 4 Filed 10/31/14 Page 1 of 1 PageID #: 81

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § filed in the U.S. District Court for the District of Do		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK  § 1116 you are hereby advised that a court action has been Delaware on the following res 35 U.S.C. § 292.):		
Trademarks or	Patents. ( ] the patent acti		S J U.S.C. 8 272.9.	
DOCKET NO.	DATE FILED 10/31/2014	U.S. DI	for the District of Delaware	
PLAINTIFF ASTRAZENECA AB			DEFENDANT ACTAVIS LABORATORIES FL, INC. f/k/a WATSON LABORATORIES FL, INC., WATSON LABORATORIES, INC., ACTAVIS, INC., and ACTAVIS LLC,	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 RE44,186	4/30/2013		AstraZeneca AB	
2 8,628,799	1/14/2014	AstraZeneca AB		
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## In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	lenont [	Answer	Cross Bill	Other Pleading
		intent [			
PATENT OR TRADEMARK NO	DATE OF PATENT OR TRADEMARK		HOLDEI	R OF PATENT OR '	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(BY) DEPUTY CLERK	DATE

AO 120 (Rev. 08/10)

ГО:	Mail Stop 8 Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
	Alexandria, VA 22313-1450

#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of Delaware on the following

Trademarks or A Patents. ( The patent action involves 35 U.S.C. § 292.):

DOCKET NO.	DATE FILED 12/9/2014	U.S. DISTRICT COURT for the District of Delaware			
PLAINTIFF ASTRAZENECA AB		DEFENDANT AUROBINDO PHARMA LTD., and AUROBINDO PHARMA U.S.A., INC.			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 RE 44,186	4/30/2013	AstraZeneca AB			
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In the above---entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		dment Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLI	DER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE