


```
RN 394726-95-5 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
3-[(2S)-2-amino-2-(4,4-difluorocyclohexyl)acetyl]-6,6-dimethyl-N-[1-[2-oxo-
    2-(2-propen-1-ylamino) acetyl]-4-penten-1-yl]-, (1R,2S,5S)- (CA INDEX
    NAME)
```

Absolute stereochemistry.


RN 394727-13-0 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
3-[3,3-dimethyl-1-oxo-2-[(3-phenylbutyl)amino]butyl]-6,6-dimethyl-N-[1-[2-oxo-2-(2-propen-1-ylamino) acetyl]butyl]- (CA INDEX NAME)


RN 394727-14-1 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
3-[3,3-dimethyl-1-oxo-2-[(2-phenylethyl) amino]butyl]-6,6-dimethyl-N-[1-[2-oxo-2-(2-propen-1-ylamino) acetyl]butyl]- (CA INDEX NAME)


```
RN 394727-15-2 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
3-[2-[(3,3-dimethylbutyl)amino]-3,3-dimethyl-1-oxobutyl]-6,6-dimethyl-N-[1-
        [2-oxo-2-(2-propen-1-ylamino)acetyl]butyl]- (CA INDEX NAME)
```



RN 394727-18-5 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide, 3-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-N-[1-[2-(3-buten-1-ylamino)-2-oxoacetyl]-4-penten-1-yl]-6,6-dimethyl-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


[^0]

RN 394727-38-9 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide, N - [1-[2-(3-buten-1-ylamino)-2-oxoacetyl]-4-penten-1-yl]-3-[(2S)-2-
[[(dimethylamino) sulfonyl]amino]-3,3-dimethyl-1-oxobutyl]-6,6-dimethyl-, ( $1 \mathrm{R}, 2 \mathrm{~S}, 5 \mathrm{~S}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


```
RN 395649-30-6 HCAPLUS
CN Glycinamide,
(2S) -2-cyclohexyl-N-(3-methylbutyl)glycyl-(2S)-6,6-dimethyl-3-
        azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N,N-
        dimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)
Absolute stereochemistry.
```



RN 395649-34-0 HCAPLUS
CN Glycinamide, 3-methyl-N-(3-phenylbutyl)valyl-(2S)-6,6-dimethyl-3-azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N, $\mathrm{N}-$ dimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.


RN 395649-35-1 HCAPLUS
CN Glycinamide, 3-methyl-N-(2-phenylethyl)valyl-(2S)-6,6-dimethyl-3-azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N,N-dimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.


RN 395649-36-2 HCAPLUS
CN Glycinamide, N-(3,3-dimethylbutyl)-3-methylvalyl-(2S)-6,6-dimethyl-3-azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N,N-dimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.


```
RN 395652-00-3 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
3-[(2S)-2-amino-2-cyclohexylacetyl]-6,6-dimethyl-N-[1-[2-oxo-2-(2-propen-1-
    ylamino) acetyl]-4-penten-1-yl]-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


IT
398735-46-75 39.735-49-02
RL: IMF (Industrial manufacture); RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)
(preparation of peptides as NS3-serine protease inhibitors of hepatitis
C
virus)
RN 394735-46-7 HCAPLUS
CN Glycinamide, 3-methyl-L-valyl-(1R,2S,5S)-6,6-dimethyl-3-
azabicyclo[3.1.0]hexane-2-carbonyl- $\beta$-amino- $\alpha-$
oxocyclopropanebutanoylglycyl-N,N-dimethyl-2-phenyl-, monohydrochloride, (2S) - (CA INDEX NAME)

Absolute stereochemistry.


- HCl

RN 394735-49-0 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
N-[3-amino-1-(cyclopropylmethyl)-2,3-dioxopropyl]-3-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-6,6-dimethyl-, hydrochloride (1:1), (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


- HCl

| OS.CITING REF COUNT: | 35 THERE ARE 35 CAPLUS RECORDS THAT CITE THIS RECORD (40 CITINGS) |
| :---: | :---: |
| L49 ANSWER 77 OF 87 | HCAPLUS COPYRIGHT 2012 ACS on STN |
| ACCESSION NUMBER: | 2000:790173 HCAPLUS Eull-text |
| DOCUMENT NUMBER: | 133:350506 |
| TITLE: | Preparation of 2,3-methano-amino acid derivatives as anticoagulant agents |
| INVENTOR (S) : | De Nanteuil, Guillaume; Gloanec, Philippe; Verbeuren, Tony; Rupin, Alain |
| PATENT ASSIGNEE (S) : | Adir et Compagnie, Fr. |
| SOURCE: | Eur. Pat. Appl., 34 pp . |
|  | CODEN: EPXXDW |

## 13/308,658

DOCUMENT TYPE: Patent
LANGUAGE: French
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:

FR 2793248 A1 20001110 FR 1999-5601 $19990503<--$

FR 2793248 B1 20010629
PL 198571 B1 20080630 PL 2000-339967 $20000428<--$
PN1277961
CN 1130347 C 20031210
NO 2000002314 A 20001106 NO 2000-2314 $20000502<--$
NZ 504298 A 20010126 NZ 2000-504298 $20000502<--$
HU 2000001712 A2 20010328 HU 2000-1712 20000502 <--
HU 2000001712 A3 20020228
US 6288
AT 210131 T 20011215
MX 200000424
PT 1050534 E 20020531
$\begin{array}{lll}\text { ES } 2169716 & \text { T3 } & 20020716 \\ \text { CA } 2308780 & \text { A1 } & 20001103\end{array}$
CA 2308780 C 20030422
ZA 2000002152 A 20001107
AU 2000031325 A 20001130
AU 763670 B2 20030731
BR 2000002075
JP 2000344745 A 20001212
JP 3200053 B2 20010820
HK 1032237 A1 20040514
PRIORITY APPLN. INFO.:
ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
OTHER SOURCE (S): MARPAT 133:350506
ED Entered STN: 10 Nov 2000
GI


I

AB Amino acid derivs. $\mathrm{I}[\mathrm{X}=(\mathrm{CH} 2) \mathrm{n} ; \mathrm{n}=2$, 3; R1 = cycloalkyl; R2 = amino, alkyl, $\mathrm{OH}, \mathrm{guanidinoisothiourido;} \mathrm{Ar} \mathrm{=} \mathrm{aryl}, \mathrm{heteroaryl;} \mathrm{Xl} \mathrm{=} \mathrm{OH} ,\mathrm{substituted} \mathrm{amine]}$ were prepared as anticoagulants. Thus, 1-(N-carboxymethyl-(2R)-3-cyclohexylalanyl)-N-(4-amidinobenzyl)-(2S, 3R)-

## 13/308,658

2,3-methanoprolinamide hydrochloride was prepared and tested for its anticoagulant activity (IC50 = $5.3 \mu \mathrm{M}$ ).
308910-36-52
RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); RCT (Reactant); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); RACT (Reactant or reagent); USES (Uses)
(preparation of 2,3-methano-amino acid derivs. as anticoagulant agents)
RN 304910-16-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, 2-[(2R)-2-amino-3-cyclohexyl-1-oxopropyl]-N-[[4-(aminoiminomethyl)phenyl]methyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.


```
IT 304910-17m6% 304910-19m80 304910-20m49
    304910-21-2% 304910-22m32 304910-23-40
    304920-24-5% 304910-26m7% 304910-27m82
    304920-28-9% 304910-25-0% 304910-72-2F
    304910-72-3p
    RL: BAC (Biological activity or effector, except adverse); BSU (Biological
    study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use);
    BIOL (Biological study); PREP (Preparation); USES (Uses)
            (preparation of 2,3-methano-amino acid derivs. as anticoagulant agents)
RN 304910-17-6 HCAPLUS
CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[[4-
```

(aminoiminomethyl) phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2-yl]-1-(cyclohexylmethyl)-2-oxoethyl]-, hydrochloride (9CI) (CA INDEX NAME)

Absolute stereochemistry.


- XCl

RN 304910-19-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,
N-[[4-(aminoiminomethyl) phenyl]methyl]-2-[(2R)-1-oxo-3-phenyl-2-[[(phenylmethyl)sulfonyl]amino]propyl]-, hydrochloride (1:1), (1S,5R)(CA INDEX NAME)

Absolute stereochemistry.


- HCl

```
RN 304910-20-1 HCAPLUS
CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[[4-
```

(aminoiminomethyl) phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2-yl]-2-oxo-1-(phenylmethyl) ethyl]-, hydrochloride (9CI) (CA INDEX NAME)

Absolute stereochemistry.


- XCl

RN 304910-21-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, N - [ [4-(aminoiminomethyl) phenyl]methyl]-2-[(2R)-2-amino-1-oxo-3,3-diphenylpropyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.


- 2 HCl

```
RN 304910-22-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,
2-[(2R)-2-amino-1-oxo-3,3-diphenylpropyl]-N-[(6-amino-3-pyridinyl)methyl]-
    , hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)
```

Absolute stereochemistry.


RN 304910-23-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, 2-[(2R)-2-amino-3,3-dicyclohexyl-1-oxopropyl]-N-[ [4-(aminoiminomethyl)phenyl]methyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.


- 2 HCl

RN 304910-24-5 HCAPLUS
CN Glycine, $N-[(1 R)-2-[(1 S, 5 R)-1-[[[4-$
(aminoiminomethyl) phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2-yl]-1-(dicyclohexylmethyl)-2-oxoethyl]-, hydrochloride (9CI) (CA INDEX NAME)

Absolute stereochemistry.


- X HCl

RN 304910-26-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,
N - [ [4-(aminoiminomethyl) phenyl]methyl]-2-[(2R)-2-cyclohexyl-2-[[(phenylmethyl)sulfonyl]amino]acetyl]-, hydrochloride (1:1), (1S,5R)(CA INDEX NAME)

Absolute stereochemistry.


- HCl

RN 304910-27-8 HCAPLUS
CN Glycine, $N-[(1 R)-2-[(1 S, 5 R)-1-[[[[4-$
(aminoiminomethyl) phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2-
yl]-1-cyclohexyl-2-oxoethyl]-, hydrochloride (9CI) (CA INDEX NAME)
Absolute stereochemistry.


- XCl

RN 304910-28-9 HCAPLUS
CN Glycine, $N-[(1 R)-2-[(1 S, 5 R)-1-[[[[4-$

```
(aminoiminomethyl) phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2-yl]-1-cyclohexyl-2-oxoethyl]-, ethyl ester, dihydrochloride (9CI) (CA INDEX NAME)
```

Absolute stereochemistry.


- 2 HCl

```
RN 304910-29-0 HCAPLUS
CN Glycine, N-[(1R)-1-[[(1S,5R)-1-[[[[4-
```

(aminoiminomethyl) phenyl]methyl]amino] carbonyl]-2-azabicyclo[3.1.0]hex-2-
yllcarbonyl]-3-methylbutyl]-, dihydrochloride (9CI) (CA INDEX NAME)

Absolute stereochemistry.


- 2 HCl

RN 304910-71-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, N - [ [4-(aminoiminomethyl) phenyl]methyl]-2-[(2R)-2-amino-1-oxo-3,3-diphenylpropyl]-, (1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.


RN 304910-72-3 HCAPLUS
CN Glycine, $N-[(1 R)-2-[(1 S, 5 R)-1-[[[[4-$
(aminoiminomethyl) phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2-yll-1-cyclohexyl-2-oxoethyl]- (CA INDEX NAME)

Absolute stereochemistry.


```
IT 308920-25-6
    RL: RCT (Reactant); RACT (Reactant or reagent)
            (preparation of 2,3-methano-amino acid derivs. as anticoagulant agents)
RN 304910-25-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,
2-[(2R)-2-amino-2-cyclohexylacetyl]-N-[[4-(aminoiminomethyl)phenyl]methyl]-
    , hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
IT 304920-15-48 304920-18-72
    RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT
    (Reactant or reagent)
            (preparation of 2,3-methano-amino acid derivs. as anticoagulant agents)
RN 304910-15-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,
    2-[(2R)-2-amino-3-cyclohexyl-1-oxopropyl]-N-[(6-amino-2-methyl-3-
    pyridinyl)methyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)
```

Absolute stereochemistry.


- 2 HCl

RN 304910-18-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, N - [ [4-(aminoiminomethyl) phenyl]methyl]-2-[(2R)-2-amino-1-oxo-3-phenylpropyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.


- 2 HCl

REFERENCE COUNT: 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L49 ANSWER 78 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 1991:506007 HCAPLUS EuII-text
DOCUMENT NUMBER: 115:106007
ORIGINAL REFERENCE NO.: 115:17985a,17988a
TITLE: Treatment of cardiac and vascular hypertrophy and hyperplasia with angiotensin-converting enzyme inhibitors
INVENTOR(S): Linz, Wolfgang; Schoelkens, Bernward; Scholz, Wolfgang; Wiemer, Gabriele; Urbach, Hans Joerg; Henning, Rainer; Teetz, Volker
PATENT ASSIGNEE (S): Hoechst A.-G., Germany
SOURCE:

CODEN: GWXXBX
$\begin{array}{ll}\text { DOCUMENT TYPE: } & \text { Patent } \\ \text { LANGUAGE: } & \text { German }\end{array}$
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:
PATENT NO. KIND DATE

APPLICATION NO. DATE
DE 3926606 A1 19910214
DE 1989-3926606 $19890811<--$
EP 417473 A1 19910320
EP 417473 B1 19930915
R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE
AT 94409 T 19931015 AT 1990-115230 $19900808<--$
ES 2059931 T3 19941116 ES 1990-115230 $19900808<-$
US 5231083 A 19930727 US 1990-564618 19900809<--
19951031 I 1990-95327
CA 2023089 A1 19910212 CA 1990-2023089 $19900810<--$
$\begin{array}{lcccc}\text { CA } 2023089 & \text { C } & 20030114 \\ \text { NO } 9003532 & \text { A } & 19910212\end{array}$ NO $1990-3532 \quad 19900810<-$
NO 306979 B1 20000124
AU 9060920 A 19910214 AU 1990-60920 $19900810<--$
AU 631914 B2 19921210
HU 54504 A2 19910328
HU 205008 B 19920330
JP 03083957 A 19910409 JP 1990-210564 $19900810<--$
JP 3452199 B2 20030929
ZA 9006327 A 19910529 ZA 1990-6327 19900810<--
CS 277644 B6 19930317 CS 1990-3958 19900810<-
KR 185969 B1 19990501 KR 1990-12267 $19900810<--$
PRIORITY APPLN. INFO.:
DE 1989-3926606 A $19890811<--$
EP 1990-115230 A 19900808
<--
ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
OTHER SOURCE (S): MARPAT 115:106007
ED Entered STN: 23 Sep 1991
GI


AB The angiotensin-converging enzyme inhibitors
R (CH2) nCH (CO2R2) NHCHR1CONR5CHR4CO2R1 ( $\mathrm{R}=\mathrm{H}$, aliphatic radical, aryl, etc.; R1 = H, aliphatic radical, aryl, heterocyclyl, etc.; R2, R3 = H, aliphatic

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    radical, alicyclic radical, aryl, etc.; R4, R5 together with the carrier
    atoms are heterocyclyl; n = 1, 2) are drugs for the treatment of cardiac and
    vascular hypertrophy and hyperplasia, in newborns. Oral administration of
    tablets containing N-(1-S-carbetoxy-3-phenylpropyl)-S-alanyl-
    cis-endo-2-azabicyclo[3.3.0]octane-3,S-carboxylic acid (1 or 10 \mug/kg/day,
        for 3 wk) normalized the weight and wall thickness in the heart of rats with
        exptl. cardiac hypertrophy, induced by stricture of the abdominal aorta.
        Formulation examples are given.
99782-97-2
RL: BIOL (Biological study)
    (cardiac and vascular hypertrophy and hyperplasia treatment by)
RN 99781-97-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
[1S-[1\alpha,2[R* (R*)],3\beta,5\alpha]]- (9CI) (CA INDEX NAME)
```

IT

Absolute stereochemistry.


OS.CITING REF COUNT: 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS)

```
L49 ANSWER 79 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 1991:450274 HCAPLUS Eull-tert
DOCUMENT NUMBER: 115:50274
ORIGINAL REFERENCE NO.: 115:8757a,8760a
TITLE: Synthesis and conformational analysis of
    L-aspartylproline and L-aspartyl-2,3-methanoproline
    propyl esters
AUTHOR(S):
CORPORATE SOURCE:
SOURCE:
    Matsui, S.; Srivastava, V. P.; Holt, E. M.; Taylor, E.
    W.; Stammer, C. H.
    Sch. Chem. Sci., Univ. Georgia, Athens, GA, 30602, USA
    International Journal of Peptide & Protein Research
    (2992), 37(4), 306-14
    CODEN: IJPPC3; ISSN: 0367-8377
DOCUMENT TYPE: Journal
LANGUAGE: English
ED Entered STN: 10 Aug 1991
GI
```



II
$A B \quad$ The ( + ) - and ( - )-diastereomers of the title compds. I ( $\mathrm{n}=1,0$ ) were prepared and their conformations were studied via crystal structure, NMR, and mol. mechanics. The (+)- and (-)-isomers of 2,3 -methanoproline II ( $\mathrm{R}=\mathrm{H}$ ) were obtained from ( $\pm$ )-II ( $\mathrm{R}=\mathrm{CM}=3$ ) via resolution of $( \pm)-I I(\mathrm{R}=\mathrm{H})$. All solid dipeptides had a bitter taste with no indication of sweetness.
IT y34666-90-39 134732-59-55
RL: SPN (Synthetic preparation); PREP (Preparation)
(preparation, conformation, and taste of)
RN 134666-90-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-2-butanoic acid,
$\beta$-amino- $\gamma$-oxo-1-(propoxycarbonyl)-,
[1S-[1 $\left.\left.\alpha, 2\left(R^{*}\right), 5 \alpha\right]\right]-$ (9CI) (CA INDEX NAME)
Absolute stereochemistry.


RN 134732-59-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-2-butanoic acid,
$\beta$-amino- $\gamma$-oxo-1-(propoxycarbonyl)-,
[1R-[1 $\left.\left.\alpha, 2\left(S^{*}\right), 5 \alpha\right]\right]-(9 C I)$ (CA INDEX NAME)
Absolute stereochemistry.


## 13/308,658





## 13/308,658



## 13/308,658



```
AB Angiotensin-converting enzyme inhibitors
    R3O2CCHR4NR5COCHR1NHCH (CO2R2) (CH2)nR (I) [n = 1,2; R = H, (substituted)
    hydrocarbyl, alkoxy, alkylthio, etc.; R1 = H, (substituted) hydrocarbyl,
    (substituted) heteroaryl, (protected) amino acid side chain; R2, R3 = H,
    (substituted) hydrocarbyl; R4CHNR5 = C4-15 heterocyclic mono-, bi-, or
    tricyclic ring system] are inhibitors of blood platelet aggregation and are
    useful for treatment of atherosclerosis, thrombosis, and peripheral vascular
    disease. II, administered orally at 1.0-10.0 mg/kg to rabbits, inhibited
    platelet aggregation in vitro and potentiated the action of PGI2. Tablets
    were prepared by mixing II 10 and corn starch 140 with a solution of gelatin
    7.5 g in water, drying, granulating, adding microcryst. cellulose 2.5 and
    Mg stearate 2.5 g, and pressing into tablets each containing 10 mg II.
IT %%2St-00-8 g9%8%-97-2
    RL: BIOL (Biological study)
            (blood platelet aggregation inhibition by)
RN 97251-00-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
    2-[2-[(1-carboxy-3-phenylpropyl) amino]-1-oxopropyl]-,
    [1S-[1\alpha,2[R*(R*)],3\beta,5\alpha]]- (9CI) (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 99781-97-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
    2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
    [1S-[1 \(\left.\left.\alpha, 2\left[R^{*}\left(R^{*}\right)\right], 3 \beta, 5 \alpha\right]\right]-(9 C I) \quad(C A ~ I N D E X ~ N A M E)\)
```

Absolute stereochemistry.




AB The title compds. R302CCHR4NR5COCHR1NHCH (CO2R2) (CH2) nR (R = H, alkyl, aryl, R60, R6S, R6 = alkyl, aryl, etc.; R1 = H, alkyl, aryl, amino acyl, etc.; R2, R3 = H, alkyl, aryl, etc.; R4CHNR5 = heterocyclyl; $n=1,2$ ) are drugs for the treatment of glaucoma. Thus, tablets were made, containing N-(1-S-carbethoxy-3-phenylpropyl)-S-alanyl-1S, 3S,5S-2-
azabicyclo[3.3.0]octane-3-carboxylic acid 10, corn starch 140, gelatin 7.5, microcrystn. cellulose 2.5, and Mg stearate 2.5 g .
IT 99782-97-2
RL: BIOL (Biological study)
(angiotensin-converting-enzyme inhibitor, as drug for treatment of glaucoma)
RN 99781-97-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, $\left[1 S-\left[1 \alpha, 2\left[R^{*}\left(R^{\star}\right)\right], 3 \beta, 5 \alpha\right]\right]-$ (9CI) (CA INDEX NAME)

Absolute stereochemistry.


OS.CITING REF COUNT: 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS)

L49 ANSWER 83 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 1986:207685 HCAPLUS Eull-text
DOCUMENT NUMBER: 104:207685
ORIGINAL REFERENCE NO.: 104:32945a,32948a
TITLE
Amino acid derivatives as enzyme inhibitors
INVENTOR(S): Patchett, Arthur A.; Taub, David; Wyvratt, Matthew J. Jr.
PATENT ASSIGNEE(S): Merck and Co., Inc., USA
SOURCE:
S. African, 81 pp .


CM 2
CRN 76-05-1
CMF C2 H F3 O2


```
IT 101952-28-7p 101952-30-15 102044-73-58
    102044-74-62 102044-75-7% 102044-76-82
    102065-34-79
    RL: SPN (Synthetic preparation); PREP (Preparation)
            (preparation of, as angiotensin converting enzyme inhibitor)
RN 101952-28-7 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid,
    3-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]-,
    [1R-[1\alpha,2\beta,3[S*(S*)],5\alpha]]- (9CI) (CA INDEX NAME)
```

Absolute stereochemistry.


RN 101952-30-1 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid,
3-[6-amino-2-[(1-carboxy-3-phenylpropyl)amino]-1-oxohexyl]-, $\left[1 R-\left[1 \alpha, 2 \beta, 3\left[S^{\star}\left(R^{\star}\right)\right], 5 \alpha\right]\right]-(9 C I) \quad$ (CA INDEX NAME)

Absolute stereochemistry.


```
RN 102044-73-5 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid,
    3-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]-,
    [1R-[1\alpha,2\beta,3[S*(R*)],5\alpha]]- (9CI) (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 102044-74-6 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid,
    3-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
    [1R-[1\alpha,2\beta,3[S*(S*)],5\alpha]]- (9CI) (CA INDEX NAME)
```

Absolute stereochemistry.


RN 102044-75-7 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid,
3-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, $\left[1 R-\left[1 \alpha, 2 \beta, 3\left[S^{*}\left(R^{*}\right)\right], 5 \alpha\right]\right]-(9 C I) \quad(C A ~ I N D E X ~ N A M E)$

Absolute stereochemistry.


RN 102044-76-8 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]- (CA INDEX NAME)


RN 102045-14-7 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid,
3-[6-amino-2-[(1-carboxy-3-phenylpropyl) amino]-1-oxohexyl]-, [1R-[1 $\left.\left.\alpha, 2 \beta, 3\left[S^{*}\left(S^{*}\right)\right], 5 \alpha\right]\right]-(9 C I) \quad(C A ~ I N D E X ~ N A M E)$

Absolute stereochemistry.


```
IT v01952\cdots34m
    RL: RCT (Reactant); RACT (Reactant or reagent)
        (reductive alkylation of, by glyoxylic acid derivative)
RN 101952-34-5 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid,
    3-[2-amino-6-(1,3-dihydro-1,3-dioxo-2H-isoindol-2-yl)-1-oxohexyl]-,
    [1R-[1\alpha,2\beta,3(S*),5\alpha]]-, mono(trifluoroacetate) (9CI) (CA
    INDEX NAME)
```

    CM 1
    CRN 101952-33-4
    CMF C20 H23 N3 O5
    Absolute stereochemistry.



## 13/308,658

```
L49 ANSWER 84 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 1986:39761 HCAPLUS Full-text
DOCUMENT NUMBER: 104:39761
ORIGINAL REFERENCE NO.: 104:6423a,6426a
TITLE: Treatment of coronary insufficiency
INVENTOR(S): Henning, Rainer; Urbach, Hansjoerg; Teetz, Volker;
    Geiger, Rolf; Schoelkens, Bernward
PATENT ASSIGNEE(S): Hoechst A.-G., Fed. Rep. Ger.
SOURCE: Ger. Offen., 27 pp.
CODEN: GWXXBX
DOCUMENT TYPE: Patent
LANGUAGE: German
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:
```



```
ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
OTHER SOURCE (S): MARPAT 104:39761
ED Entered STN: 08 Feb 1986
GI
```



```
AB The angiotensin-converting enzyme inhibitors
    R(CH2)nCH(CO2R2) NHCHR1CONR5CHR4CO2R3 [R = H, (un) substituted alkyl, aryl,
    etc.; R1 = alkyl, cycloalkyl, heterocyclic radical; R2, R3 = H, alkyl, aryl,
    etc.; R4CHNR2 = heterocyclic radical; n = 1, 2] are drugs for the treatment
    of cardiac insufficiency. Thus, tablets are formulated, containing
    1-N-(1-S-carbethoxy-3-phenylpropyl)-S-alanyl-1S,3S,5S-2-
    azabicyclo[3.3.0]octane-3-carboxylic acid.
    99782-97-2
    RL: BIOL (Biological study)
            (pharmaceutical, for treatment of cardiac insufficiency)
RN 99781-97-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
    2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
    [1S-[1\alpha,2[R*(R*)],3\beta,5\alpha]]- (9CI) (CA INDEX NAME)
```

Absolute stereochemistry.


```
OS.CITING REF COUNT: 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD
                                    (3 CITINGS)
L49 ANSWER 85 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 1985:560858 HCAPLUS Full-text
DOCUMENT NUMBER: 103:160858
ORIGINAL REFERENCE NO.: 103:25849a,25852a
TITLE: N-Alkylated dipeptides and their esters
INVENTOR(S): Urbach, Hansjoerg; Henning, Rainer; Wissmann, Hans;
    Teetz, Volker
PATENT ASSIGNEE(S): Hoechst A.-G., Fed. Rep. Ger.
SOURCE:
    Eur. Pat. Appl., }32\mathrm{ pp.
```



HCl

 II


III

AB Title compds. R3O2CCHR4NR5COCHR1NHCH (CO2R2) (CH2) nR [I; $\mathrm{n}=1,2$; $\mathrm{R}=\mathrm{H}$, (un) substituted C1-8 aliphatic, C3-9 alicyclic, C6-12 aromatic, C7-14 araliph., or C7-14 alicyclic aliphatic residue, OR6, SR6 [R6 = (un) substituted C1-4 aliphatic, C6-12 aromatic, or heteroarom. residue]; R1 $=\mathrm{H}$, (un) substituted C3-9 alicyclic, C4-13 alicyclic aliphatic, C6-12 aromatic, C7-16 araliph., or heteroarom. residue, amino acid side chain; R2, R3 $=\mathrm{H}$, (un) substituted C1-6 aliphatic, C3-9 alicyclic, C6-12 aromatic, or C7-16 araliph. residue; CHR4NR5 = C5-15 heterocyclic mono-, bi-, or tricyclic ring system] were prepared via the condensation of
HO2CCHR1NHCH (CO2R2) (CH2) nR with R3O2CCHR4NHR5 in the presence of an alkanephosphoric acid anhydride. Thus, (S,S,S)-azabicyclo[3.3.0]octane II was condensed with (S) -PhCH2CH2CH (CO2Et) - (S) -Ala-OH by n-propanephosphonic acid anhydride in CH2Cl2 in the presence of N -ethylmorpholine to give peptide derivative III (R7 = CH2Ph), which was debenzylated to give III (R7 = H) (all-S isomer). I inhibit angiotensin-converting enzyme and can be used as antihypertensives (no data).
97250-98-12
RL: SPN (Synthetic preparation); PREP (Preparation)
(preparation of)
RN 97250-98-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, phenylmethyl ester, [1S-[1 $\left.\left.\alpha, 2\left[R^{*}\left(R^{*}\right)\right], 3 \beta, 5 \alpha\right]\right]-$ (9CI) (CA INDEX NAME)

Absolute stereochemistry.


OS.CITING REF COUNT: 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS)

L49 ANSWER 86 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 1985:454461 HCAPLUS Full-test
DOCUMENT NUMBER: 103:54461
ORIGINAL REFERENCE NO.: 103:8792h,8793a
TITLE: 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid derivatives, intermediates, and their use
INVENTOR (S) : PATENT ASSIGNEE (S): SOURCE: Urbach, Hansjoerg; Henning, Rainer; Becker, Reinhard Hoechst A.-G., Fed. Rep. Ger. Ger. Offen., 30 pp .

CODEN: GWXXBX

GI

$\mathrm{CO}_{2} \mathrm{R}^{2}$
I



II


III IV

Title derivs. $I \quad[R=H, C 1-6$ alkyl, C2-6 alkenyl, (C6-12 aryl)-C1-4 alkyl; R1 = H, (un) substituted C1-6 alkyl, C2-6 alkenyl, C5-9 cycloalkyl, C5-9 cycloalkenyl, etc.; R2 = $\mathrm{H}, \mathrm{Cl}-6$ alkyl, C2-6 alkenyl, (C6-12 aryl)-Cl-4 alkyl; R3 = H, OH, R4 = H; R3R4 = O; R5 = C1-6 alkyl, C2-6 alkenyl, C2-6 alkenyl, C5-9 cycloalkyl, (un) substituted C6-12 aryl; $n=0$, 1] were prepared as antihypertensives (no data) due to their ability to inhibit angiotensin-converting enzyme. Thus, cis-bicyclo[3.1.0]hexan-2-one was treated with H2NOSO3H and then subjected to the Beckman rearrangement to give cis-azabicyclo[4.1.0]heptane cis-II ( $\mathrm{R} 6=\mathrm{R} 7=\mathrm{H}$ ), which was chlorinated with PCl5 to give cis-II ( $\mathrm{R} 6=\mathrm{R} 7=\mathrm{Cl}$ ), which was dechlorinated by hydrogenation over Raney Ni to give cis-II (R6 = Cl, R7 = H) . The latter was hydrolyzed in the presence of $\mathrm{Ba}(\mathrm{OH}) 2$ to give
cis-azabicyclo[3.1.0]hexane-3-carboxylate cis-III, which was separated into its exo and endo isomers. The latter were esterified with PhCH2OH via SOCl2 to give the corresponding benzyl esters, which were condensed with (S) - PhCH2CH2CH (CO2Et) -L-Ala-OH by DCC/l-hydroxybenzotriazole to give the exo and endo isomers of title compound cis-IV (R8 = Et, R9 = CH2Ph), which were separated into the $3 S$-endo, $3 R$-endo, $3 S$-exo, and $3 R$-exo isomers. The latter were debenzylated by hydrogenolysis over Pd/C and then treated with HCl/EtOH to give the corresponding cis-IV.HCl (R8 = Et, R9 = H).
3S-endo-cis-IV.HCl (R8 = Et, R9 = H) was saponified to give 3S-endo-cis-IV (R8 = R9 = H) ; 3S-exo-cis-IV (R8 = R9 = H) was also prepared
IT $97250 \cdots 98-39 \quad 97277-17-32 \quad 97277-38-42$
97277-3.-3.SE
RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)
(preparation and hydrogenolysis of)
RN 97250-98-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, phenylmethyl ester, [1S-[1 $\left.\left.\alpha, 2\left[R^{*}\left(R^{*}\right)\right], 3 \beta, 5 \alpha\right]\right]-$ (9CI) (CA INDEX NAME)

Absolute stereochemistry.


RN 97277-17-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, phenylmethyl ester, [1R-[1 $\left.\left.\alpha, 2\left[S^{*}\left(S^{*}\right)\right], 3 \beta, 5 \alpha\right]\right]-$ (9CI) (CA

INDEX NAME)

Absolute stereochemistry.


```
RN 97277-18-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
    2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
    phenylmethyl ester, [1R-[1\alpha,2[S*(S*)],3\alpha,5\alpha]]- (9CI)
    (CA INDEX NAME)
Absolute stereochemistry.
```


RN 97277-19-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
phenylmethyl ester, [1S-[1 $\left.\left.\alpha, 2\left[R^{*}\left(R^{*}\right)\right], 3 \alpha, 5 \alpha\right]\right]-$ (9CI)
(CA INDEX NAME)
Absolute stereochemistry.


IT $97250-99-20 \quad 97277-23-9 p$
RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)
(preparation and saponification of)
RN 97250-99-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
monohydrochloride, [1S-[1 $\left.\left.\alpha, 2\left[R^{*}\left(R^{*}\right)\right], 3 \beta, 5 \alpha\right]\right]-$ (9CI) (CA
INDEX NAME)

Absolute stereochemistry.


HCl

```
RN 97277-21-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
    2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
    monohydrochloride, [1R-[1\alpha,2[S*(S*)],3\alpha,5\alpha]]- (9CI) (CA
    INDEX NAME)
```

Absolute stereochemistry.


```
- HCl
```

```
IT 37255-00-8% 9727%-20-8% 97277-22-0%
```

IT 37255-00-8% 9727%-20-8% 97277-22-0%
37334-49\cdots48
37334-49\cdots48
RL: SPN (Synthetic preparation); PREP (Preparation)
RL: SPN (Synthetic preparation); PREP (Preparation)
(preparation of)
(preparation of)
RN 97251-00-8 HCAPLUS
RN 97251-00-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
2-[2-[(1-carboxy-3-phenylpropyl) amino]-1-oxopropyl]-,
2-[2-[(1-carboxy-3-phenylpropyl) amino]-1-oxopropyl]-,
[1S-[1\alpha,2[R* (R*)],3\beta,5\alpha]]- (9CI) (CA INDEX NAME)

```
    [1S-[1\alpha,2[R* (R*)],3\beta,5\alpha]]- (9CI) (CA INDEX NAME)
```

Absolute stereochemistry.


RN 97277-20-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, monohydrochloride, $\left[1 R-\left[1 \alpha, 2\left[S^{*}\left(S^{*}\right)\right], 3 \beta, 5 \alpha\right]\right]-$ (9CI) (CA INDEX NAME)

Absolute stereochemistry.


- HCl

RN 97277-22-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, monohydrochloride, [1S-[1 $\left.\left.\alpha, 2\left[R^{*}\left(R^{*}\right)\right], 3 \alpha, 5 \alpha\right]\right]-(9 C I) \quad(C A$ INDEX NAME)

Absolute stereochemistry.


HCl

```
RN 97334-49-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
    2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,
    [1R-[1\alpha,2[S*(S*)],3\alpha,5\alpha]]- (9CI) (CA INDEX NAME)
```

Absolute stereochemistry.




AB The acylazabicyclohexanes I ( $\mathrm{R}, \mathrm{R} 1=\mathrm{H}, \mathrm{C} 1-6$ alkyl; $\mathrm{R} 2=\mathrm{H}, \mathrm{C} 1-6 \mathrm{alkyl}$, $\mathrm{C} 3-6$ cycloalkyl, Ph, halophenyl, furyl, adamantyl, naphthyl, norbornyl; R3, R5 = H, halo, C1-6 alkoxy; R4 = H, halo, C1-6 alkyl, C1-6 alkoxy, F3C, NO2, NH2, AcNH, HO) were prepared Thus, 1-phenyl-1,2-cyclopropanedicarboximide was reduced with $\mathrm{Na}(\mathrm{MeOCH} 2 \mathrm{CH} 2 \mathrm{O}) 2 \mathrm{AlH} 2$ to give
1-phenyl-3-azabicyclo[3.1.1]hexane, which was acylated with cyclopropanecarbonyl chloride to give I (R, R1, R3, R4, R5 = H, R2 = cyclopropyl).
IT 676442N…02
RL: SPN (Synthetic preparation); PREP (Preparation)
(preparation of)
RN 67644-24-0 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-3-propanamide, $\beta$-oxo-N,1-diphenyl- (CA


THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD ( 5 CITINGS)

## 13/308,658

```
=> d que nos l47
L1
L12
STR
L14 8057 SEA FILE=REGISTRY SSS FUL L12
L17 STR
L19 4 SEA FILE=REGISTRY SUB=L14 SSS FUL L17
L20 STR
L22 8057 SEA FILE=REGISTRY SUB=L14 SSS FUL L20
L23 8053 SEA FILE=REGISTRY SPE=ON ABB=ON PLU=ON L22 NOT L19
L24 QUE SPE=ON ABB=ON PLU=ON ROBL, J?/AU,AUTH,IN
L25 QUE SPE=ON ABB=ON PLU=ON SULSKY, R?/AU,AUTH,IN
L26 QUE SPE=ON ABB=ON PLU=ON SULSKY, D?/AU,AUTH,IN
L27 QUE SPE=ON ABB=ON PLU=ON AUGERI, D?/AU,AUTH,IN
L28 QUE SPE=ON ABB=ON PLU=ON MAGNIN, D?/AU,AUTH,IN
L29 QUE SPE=ON ABB=ON PLU=ON HAMANN, L?/AU,AUTH,IN
L30 QUE SPE=ON ABB=ON PLU=ON BETEBENNER, D?/AU,AUTH,IN
L39 STR
L41 6632 SEA FILE=REGISTRY SUB=L14 SSS FUL L39
L42 1421 SEA FILE=REGISTRY SPE=ON ABB=ON PLU=ON L23 NOT L41
L44 427 SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L42
L45 15 SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L44 AND (L24 OR L25
    OR L26 OR L27 OR L28 OR L29 OR L30)
L46 0 SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L1 NOT L45
L47 15 SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON (L45 OR L46)
=> d his l56
    (FILE 'MEDLINE, BIOSIS, EMBASE, CABA, BIOTECHNO, DRUGU, VETU, TOXCENTER,
    NAPRALERT' ENTERED AT 09:09:45 ON 01 MAY 2012)
CHARGED TO COST=TC1600
L56 10 S L55 AND L24-L30
=> d que nos l56
L12 STR
L14 8057 SEA FILE=REGISTRY SSS FUL L12
L17 STR
L19 4 SEA FILE=REGISTRY SUB=L14 SSS FUL L17
L20 STR
L22 8057 SEA FILE=REGISTRY SUB=L14 SSS FUL L20
L23 8053 SEA FILE=REGISTRY SPE=ON ABB=ON PLU=ON L22 NOT L19
L24 QUE SPE=ON ABB=ON PLU=ON ROBL, J?/AU,AUTH,IN
L25 QUE SPE=ON ABB=ON PLU=ON SULSKY, R?/AU,AUTH,IN
L26 QUE SPE=ON ABB=ON PLU=ON SULSKY, D?/AU,AUTH,IN
L27 QUE SPE=ON ABB=ON PLU=ON AUGERI, D?/AU,AUTH,IN
L28 QUE SPE=ON ABB=ON PLU=ON MAGNIN, D?/AU,AUTH,IN
L29 QUE SPE=ON ABB=ON PLU=ON HAMANN, L?/AU,AUTH,IN
L30 QUE SPE=ON ABB=ON PLU=ON BETEBENNER, D?/AU,AUTH,IN
L39 STR
L41 6632 SEA FILE=REGISTRY SUB=L14 SSS FUL L39
L42 1421 SEA FILE=REGISTRY SPE=ON ABB=ON PLU=ON L23 NOT L41
```

```
L54 961 SEA FILE=REGISTRY SPE=ON ABB=ON PLU=ON L42 AND (MEDLINE OR
                        BIOSIS OR EMBASE OR CABA OR BIOTECHNO OR DRUGU OR VETU OR
                        TOXCENTER OR NAPRALERT)/LC
L55 859 SEA L54
L56 10 SEA L55 AND (L24 OR L25 OR L26 OR L27 OR L28 OR L29 OR L30)
=> dup rem l47 156
FILE 'HCAPLUS' ENTERED AT 09:18:09 ON 01 MAY 2012
USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.
PLEASE SEE "HELP USAGETERMS" FOR DETAILS.
COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)
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FILE 'TOXCENTER' ENTERED AT 09:18:09 ON 01 MAY 2012
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CHARGED TO COST=TC1600
PROCESSING COMPLETED FOR L47
PROCESSING COMPLETED FOR L56
L57 16 DUP REM L47 L56 (9 DUPLICATES REMOVED)
                        ANSWERS '1-15' FROM FILE HCAPLUS
                        ANSWER '16' FROM FILE EMBASE
=> file stnguide
FILE 'STNGUIDE' ENTERED AT 09:18:22 ON 01 MAY 2012
USE IS SUBJECT TO THE TERMS OF YOUR CUSTOMER AGREEMENT
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CHARGED TO COST=TC1600
FILE CONTAINS CURRENT INFORMATION.
LAST RELOADED: Apr 27, 2012 (20120427/UP).
```


## 13/308,658

```
=> d ibib ed abs hitstr 1-15
YOU HAVE REQUESTED DATA FROM FILE 'HCAPLUS, EMBASE' - CONTINUE? (Y)/N:Y
```


(pharmacokinetics of dipeptidyl peptidase 4 inhibitor saxagliptin in rats, dogs, and monkeys and clin. projections)
RN 841302-24-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, ( $1 \mathrm{~S}, 3 \mathrm{~S}, 5 \mathrm{~S}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


```
IT 36x&&2~04-8, Saxagliptin
    RL: PKT (Pharmacokinetics); THU (Therapeutic use); BIOL (Biological
    study); USES (Uses)
        (pharmacokinetics of dipeptidyl peptidase 4 inhibitor saxagliptin in
        rats, dogs, and monkeys and clin. projections)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


| OS.CITING REF COUNT: | 20 | THERE ARE 20 CAPLUS RECORDS THAT CITE THIS |
| :--- | :--- | :--- |
| REFERENCE COUNT: | 16 | RECORD (20 CITINGS) |
|  |  |  |
|  |  | RECORD ARE 16 CITED REFERENCES AVAILABLE FOR THIS |
|  |  |  |

```
L57 ANSWER 2 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 2
ACCESSION NUMBER: 2008:187464 HCAPLUS Full-text
DOCUMENT NUMBER: 148:443309
TITLE: Involvement of DPP-IV catalytic residues in
    enzyme-saxagliptin complex formation
AUTHOR(S):
Metzler, William J.; Yanchunas, Joseph; Weigelt,
    Carolyn; Kish, Kevin; Klei, Herbert E.; Xie, Dianlin;
```

Zhang, Yaqun; Corbett, Martin; Tamura, James K.; He, Bin; Ramana, sammence G.; Kirby, Mark S.; Marcinkeviciene, Jovita
Department of Molecular Biosciences, Bristol-Myers
Squibb Research and Development, Princeton, NJ, 08543-4000, USA
SOURCE: Protein Science (2008), 17(2), 240-250
CODEN: PRCIEI; ISSN: 0961-8368
Cold Spring Harbor Laboratory Press
Journal
English
DOCUMENT TYPE:
LANGUAGE:
Engli
2008
ED Entered STN: 14 Feb 2008
AB The inhibition of DPP-IV by saxagliptin has been proposed to occur through formation of a covalent but reversible complex. To evaluate further the mechanism of inhibition, we determined the $x$-ray crystal structure of the DPP-IV:saxagliptin complex. This structure reveals covalent attachment between S630 and the inhibitor nitrile carbon ( $C-0$ distance <1.3 A). To investigate whether this serine addition is assisted by the catalytic His-Asp dyad, we generated two mutants of DPP-IV, S630A and H740Q, and assayed them for ability to bind inhibitor. DPP-IVH740Q bound saxagliptin with an .apprx.1000-fold reduction in affinity relative to DPP-IVWT, while DPP-IVS630A showed no evidence for binding inhibitor. An analog of saxagliptin lacking the nitrile group showed unchanged binding properties to the both mutant proteins, highlighting the essential role $S 630$ and H 740 play in covalent bond formation between $S 630$ and saxagliptin. Further supporting mechanism-based inhibition by saxagliptin, NMR spectra of enzyme-saxagliptin complexes revealed the presence of three downfield resonances with low fractionation factors characteristic of short and strong hydrogen bonds (SSHB). Comparison of the NMR spectra of various wild-type and mutant DPP-IV:ligand complexes enabled assignment of a resonance at . apprx. 14 ppm to H740. Two addnl. DPP-IV mutants, Y547F and Y547Q, generated to probe potential stabilization of the enzyme-inhibitor complex by this residue, did not show any differences in inhibitor binding either by ITC or NMR. Together with the previously published enzymic data, the structural and binding data presented here strongly support a histidine-assisted covalent bond formation between 5630 hydroxyl oxygen and the nitrile group of saxagliptin.
IT $84302 \cdots 20-3$, BMS 538305
RL: BSU (Biological study, unclassified); BIOL (Biological study)
(BMS 538305; involvement of dipeptidyl peptidase-IV catalytic residues in enzyme-saxagliptin complex formation)
RN 841302-20-3 HCAPLUS
CN Ethanone, 2-amino-1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

36\{s42-04-6णP, Saxagliptin, complex with dipeptidyl peptidase IV
RL: BPN (Biosynthetic preparation) ; BSU (Biological study, unclassified);
PRP (Properties); BIOL (Biological study); PREP (Preparation)
(involvement of dipeptidyl peptidase-IV catalytic residues in
enzyme-saxagliptin complex formation)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
( $1 \mathrm{~S}, 3 \mathrm{~S}, 5 \mathrm{~S}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


```
IT 36\&42-04-8, Saxagliptin
    RL: BSU (Biological study, unclassified); BIOL (Biological study)
        (involvement of dipeptidyl peptidase-IV catalytic residues in
        enzyme-saxagliptin complex formation)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


| OS.CITING REF COUNT: | 19 | THERE ARE 19 CAPLUS RECORDS THAT CITE THIS RECORD (19 CITINGS) |
| :---: | :---: | :---: |
| REFERENCE COUNT: | 29 | THERE ARE 29 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT |
| L57 ANSWER 3 OF 16 | LUS | COPYRIGHT 2012 ACS on STN DUPLICATE 3 |
| ACCESSION NUMBER: | 2007 | 889960 HCAPLUS Full-text |

DOCUMENT NUMBER:
TITLE:

INVENTOR (S) :

PATENT ASSIGNEE (S): SOURCE:

DOCUMENT TYPE:
LANGUAGE:
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:

147:189414
Preparation of human glucagon-like peptide-1 receptor modulators and their use in the treatment of diabetes and related conditions
Haque, Tasir Shamsul; Ewing, William R.; Mapelli, Claudio; Lee, Ving G.; Sulsxy, Richence e.;
Riexinger, Douglas James; Martinez, Rogelio L.; Zhu, Yeheng; Ruan, Zheming
Bristol-Myers Squibb Company, USA
PCT Int. Appl., 193pp.
CODEN: PIXXD2
Patent
English
1


ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
OTHER SOURCE (S): CASREACT 147:189414; MARPAT 147:189414
ED Entered STN: 20 Jul 2007
AB The invention provides novel human glucagon-like peptide-1 (GLP-1) receptor modulators Xaa1-Xaa2-Xaa3-Xaa4-Xaa5-Xaa6-Xaa7-Xaa8-Xaa9-Xaa10- Xaall
[Xaal-Xaa3, Xaa5-Xaall are (certain) naturally or non-naturally occurring amino acid residues; Xaa4 is glycine] that have biol. activity similar or superior to native GLP-1 peptide and thus are useful for the treatment or prevention of diseases or disorders associated with GLP activity. The

## 13/308,658

compds. include chemical-modified peptides that not only stimulate insulin secretion in type II diabetics, but also produce other beneficial insulinotropic responses. These synthetic peptide GLP-1 receptor modulators exhibit increased stability to proteolytic cleavage making them ideal therapeutic candidates for oral or parenteral administration. The disclosed and claimed peptides show desirable pharmacokinetic properties and desirable potency in efficacy models of diabetes. Thus,
MeOCOHis-(S)- $\alpha$-MePro-EGT-L- $\alpha$-MePhe ( $2-f l u o r o$ )-
TSD-Bip (2'-ethyl-4'-methoxy)-(S)-2-amino-4-(3-phenylphenoxy) butanamide
(E, G, T, T, S and D are one-letter amino acid symbols, Bip = biphenylalanine residue) was prepared by the solid-phase method and shown to lower the plasma glucose in an IP glucose tolerance test after s.c. administration in ob/ob mice. NOTE: for 8016 keep the first index entry; for 7050 , keep both entries; for 7054, keep first entry.
366442~04-8, Saxagliptin
RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL
(Biological study); USES (Uses)
(codrug; preparation of human GLP-1 receptor modulators and their use in treatment of diabetes and related conditions)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, ( $1 \mathrm{~S}, 3 \mathrm{~S}, 5 \mathrm{~S}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


OS.CITING REF COUNT: 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS)

```
L57 ANSWER 4 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 4
ACCESSION NUMBER: 2007:1279241 HCAPLUS Full-text.
DOCUMENT NUMBER: 148:121939
TITLE:
AUTHOR(S):
CORPORATE SOURCE:
Potent non-nitrile dipeptidic dipeptidyl peptidase IV
inhibitors
Simpkins, Ligaya M.; Bolton, Scott; Pi, Zulan; Sutton,
James C.; Kwon, Chet; Zhao, Guohua; Magman, David
R,; Augexs, David J.; Gungor, Timur; Rotella, David
P.; Sun, Zhong; Liu, Yajun; Slusarchyk, William S.;
Marcinkeviciene, Jovita; Robertson, James G.; Wang,
Aiying; Robl, Jexwmey A.; Atwal, Karnail S.; Zahler,
Robert L.; Parker, Rex A.; Kirby, Mark S.; Bamama,
Mewrence G.
Bristol-Myers Squibb Research and Development,
Princeton, NJ, 08543-5400, USA
```


## 13/308,658

```
SOURCE: Bioorganic & Medicinal Chemistry Letters (2007),
    17(23), 6476-6480
    CODEN: BMCLE8; ISSN: 0960-894X
Elsevier Ltd.
Journal
English
CASREACT 148:121939
PUBLISHER:
DOCUMENT TYPE:
LANGUAGE:
OTHER SOURCE(S):
ED Entered STN: 09 Nov 2007
AB The synthesis and structure-activity relationships of novel dipeptidyl
    peptidase IV (DDP-IV) inhibitors replacing the classical cyanopyrrolidine
    P1 group with other small nitrogen heterocycles are described. A unique
    potency enhancement was achieved with \beta-branched natural and unnatural amino
    acids, particularly adamantylglycines, linked to a
    (2S,3R)-2,3-methanopyrrolidine based scaffold.
IT 36\s&2~04-8, Saxagliptin
    RL: PAC (Pharmacological activity); BIOL (Biological study)
            (preparation and DDP-IV-inhibiting activity of non-nitrile dipeptides as
            potential antidiabetes agents)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
IT
843302-24-4% 843302-27-0% 841302-28\cdots12
841302-51-0% 1000689-35-9% {000689-36-0%
1000689-37-19 1000689-38-2E 1000689-39-39
2000689-40-62 1000689-42-7% 1000689-43-92
1000689-44-6p 2000685-45-19 2000689-46-2%
1000689-47\cdots.32 1000689-48\cdots-4E 1000689-49\cdots.52
1000689m50-8% 1000689-52-0% 1000680m53\cdots12
1000689m54-2F 1000689\cdots55-32 1000685\cdots56-4F
1.000689-57-55 1.000689-59-7P x.000689-60-0%
1000689-63-4% 5000689-66-88
RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL
(Biological study); PREP (Preparation)
    (preparation and DDP-IV-inhibiting activity of non-nitrile dipeptides as
    potential antidiabetes agents)
RN 841302-21-4 HCAPLUS
CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-
dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


| RN | $841302-27-0$ HCAPLUS |
| :--- | :--- |
| CN | Ethanone, 2 -amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-(3,5- |
|  | dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME) |

Absolute stereochemistry.


RN 841302-28-1 HCAPLUS
CN Ethanone,
2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3-hydroxy-5,7-
dimethyltricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)
Absolute stereochemistry.


```
RN 841302-51-0 HCAPLUS
CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3-
    hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, hydrochloride (1:1), (2S)- (CA
    INDEX NAME)
```

Absolute stereochemistry.


HCl

RN 1000689-35-9 HCAPLUS
CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-phenyl-, (2S) (CA INDEX NAME)

Absolute stereochemistry.


RN 1000689-36-0 HCAPLUS
CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-phenyl-, (2S) - (CA INDEX NAME)

Absolute stereochemistry.


RN 1000689-37-1 HCAPLUS
CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(4-chlorophenyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 1000689-38-2 HCAPLUS
CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-(1H-
    imidazol-5-yl)-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 1000689-39-3 HCAPLUS
CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-(4-
    hydroxyphenyl)-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 1000689-40-6 HCAPLUS
CN 1-Propanone,
2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-(1H-indol-3-
    yl)-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


RN 1000689-41-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-2-butanamide, $\beta$-amino- $\gamma$-oxo-, ( $\beta \mathrm{S}, 1 \mathrm{~S}, 5 \mathrm{R}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


RN 1000689-43-9 HCAPLUS
CN 1-Pentanone, 2,5-diamino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-, (2S)(CA INDEX NAME)

Absolute stereochemistry.


RN 1000689-44-0 HCAPLUS
CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-hydroxy-, (2S) - (CA INDEX NAME)

Absolute stereochemistry.


RN 1000689-45-1 HCAPLUS
CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-methoxy-, (2S) - (CA INDEX NAME)

Absolute stereochemistry.


```
RN 1000689-46-2 HCAPLUS
CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-(1,1-
    dimethylethoxy)-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 1000689-47-3 HCAPLUS
CN 1-Butanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-4-hydroxy-,
    (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 1000689-48-4 HCAPLUS
CN 1-Butanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-hydroxy-,
    (2S,3R) - (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 1000689-49-5 HCAPLUS
CN 1-Hexanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-, (2S)- (CA
    INDEX NAME)
```

Absolute stereochemistry.


RN 1000689-50-8 HCAPLUS
CN 1-Pentanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-4-methyl-, (2S) - (CA INDEX NAME)

Absolute stereochemistry.


RN 1000689-52-0 HCAPLUS
CN 1-Pentanone,
2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-4,4-dimethyl, (2S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 1000689-53-1 HCAPLUS
CN 1-Butanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-methyl-,
    (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 1000689-54-2 HCAPLUS
CN 1-Pentanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-methyl-,
    (2S,3S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 1000689-55-3 HCAPLUS
CN 1-Pentanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-methyl-,
    ( \(2 \mathrm{~S}, 3 \mathrm{R}\) ) - (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 1000689-56-4 HCAPLUS
CN 1-Butanone,
2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3,3-dimethyl-,
    (2S)- (CA INDEX NAME)
Absolute stereochemistry.
```



```
RN 1000689-57-5 HCAPLUS
CN 1-Butanone,
2-amino-1-[(1R,5S)-2-azabicyclo[3.1.0]hex-2-yl]-3,3-dimethyl-,
    (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 1000689-59-7 HCAPLUS
CN 1-Pentanone,
2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-4-methyl-3-(1-
    methylethyl)-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


RN 1000689-60-0 HCAPLUS
CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3, 3,5,5-tetramethylcyclohexyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 1000689-61-1 HCAPLUS
CN Ethanone, 2-amino-1-[(1R,5S)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3-

```
hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)
```

A.bsolute stereochemistry.


RN 1000689-66-6 HCAPLUS
CN Ethanone, 1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-[(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)amino]- (CA INDEX NAME)

Absolute stereochemistry.


| OS.CITING REF COUNT: | 8 | THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD |
| :--- | :--- | :--- |
|  |  |  |
| REFERENCE COUNT: | 32 | CITINGS) |
|  |  | THERE ARE 32 CITED REFERENCES AVAILABLE FOR THIS |

```
L57 ANSWER 5 OF 16 HCAPLUS COPYRIGHT 2012 ACS ON STN DUPLICATE 5
ACCESSION NUMBER: 2005:1351306 HCAPLUS Full-text
DOCUMENT NUMBER: 144:186959
TITLE:
    Mechanism of Gly-Pro-pNA cleavage catalyzed by
    dipeptidyl peptidase-IV and its inhibition by
    saxagliptin (BMS-477118)
AUTHOR(S) :
    Kim, Young B.; Kopcho, Lisa M.; Kirby, Mark S.;
    Mamam, Lawremee @.; Weigelt, Carolyn A.; Metzler,
    William J.; Marcinkeviciene, Jovita
    Department of Chemical Enzymology, Pharmaceutical
    Research Institute, Bristol Myers-Squibb
    Pharmaceutical Company, Princeton, NJ, 08543-5400, USA
SOURCE:
PUBLISHER:
DOCUMENT TYPE:
LANGUAGE:
Archives of Biochemistry and Biophysics (2006),
445(1), 9-18
CODEN: ABBIA4; ISSN: 0003-9861
Elsevier
Journal
English
```


## 13/308,658

```
ED Entered STN: 30 Dec 2005
            Dipeptidyl peptidase-IV (DPP-IV) is a serine protease with a signature
    Asp-His-Ser motif at the active site. Our pH data suggest that Gly-Pro-pNA
    cleavage catalyzed by DPP-IV is facilitated by an ionization of a residue
    with a pK of 7.2\pm0.1. By analogy to other serine proteases this pK is
    suggestive of His-Asp assisted Ser addition to the Pl carbonyl carbon of the
    substrate to form a tetrahedral intermediate. Solvent kinetic isotope effect
    studies yielded a D20kcat/Km = 2.9\pm0.2 and a D20kcat = 1.7\pm0.2 suggesting
    that kinetically significant proton transfers contribute to rate limitation
    during acyl intermediate formation (leaving group release) and hydrolysis.
    A "burst" of product release during pre steady-state Gly-Pro-pNA cleavage
    indicated rate limitation in the deacylation half-reaction. Nevertheless,
    the amplitude of the burst exceeded the enzyme concentration significantly
    (.apprx.15-fold), which is consistent with a branching deacylation step.
    All of these data allowed us to better understand DPP-IV inhibition by
    saxagliptin (BMS-477118). We propose a two-step inhibition mechanism
    wherein an initial encounter complex is followed by covalent intermediate
    formation. Final inhibitory complex assembly (kon) depends upon the
    ionization of an enzyme residue with a pK of 6.2\pm0.1, and we assigned it to
    the catalytic His-Asp pair which enhances Ser nucleophilicity for covalent
    addition An ionization with a pK of 7.9\pm0.2 likely reflects the P2 terminal
    amine of the inhibitor hydrogen bonding to Glu205/Glu206 in the enzyme active
    site. The formation of the covalent enzyme-inhibitor complex was reversible
    and dissociated with a koff of (5.5\pm0.4) x 10-5 s-1, thus yielding a K*i (as
    koff/kon) of 0.35 nM, which is in good agreement with the value of 0.6 nM
    obtained from steady-state inhibition studies. Proton NMR spectra of DPP-IV
    showed a downfield resonance at 16.1 ppm. Two addnl. peaks in the 1H NMR
    spectra at 17.4 and 14.1 ppm were observed upon mixing the enzyme with
    saxagliptin. Fractionation factors (.vphi.) of 0.6 and 0.5 for the 17.4 and
    14.1 ppm peaks, resp., are suggestive of short strong hydrogen bonds in the
    enzyme-inhibitor complex.
IT 36ls&2-0s~8, Saxagliptin
    RL: BSU (Biological study, unclassified); BIOL (Biological study)
        (Glu205/Glu206 residue of dipeptidyl peptidase-IV plays important role
            in saxagliptin binding through short strong hydrogen bonds)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


42 THERE ARE 42 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 6 OF 16 HCAPLUS COPYRIGHT 2012 ACS On STN DUPLICATE 6
ACCESSION NUMBER: 2005:493507 HCAPLUS EuII-text
DOCUMENT NUMBER: 143:43869

TITLE: Preparation of nitrogen containing bicyclic pyridine-based derivatives as inhibitors of HMG CoA reductase
INVENTOR (S) :
O'Connor, Stephen P.; Robl, Jefexey; Ahmad, Saleem; Bisaha, Sharon; Murugesan, Natesan; Ngu, Khehyong; Shi, Yan; Stein, Philip D.; Soundararajan, Nachimuthu; Natalie, Kenneth J., Jr.; Kolla, Laxma R.; Sausker, Justin; Quinlan, Sandra L.; Fan, Junying; Petsch, Dejah; Guo, Zhenrong
PATENT ASSIGNEE (S)
SOURCE:

DOCUMENT TYPE:
LANGUAGE:
Bristol-Myers Squibb Company, USA
PCT Int. Appl., 193 pp.
CODEN: PIXXD2
Patent
English
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
| :---: | :---: | :---: | :---: | :---: |
| WO 2005051386 | A1 | 20050609 | WO 2004-US39051 | 20041119 |

$W: A E, A G, A L, A M, A T, A U, A Z, B A, B B, B G, B R, B W, B Y, B Z, C A, C H$, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
US 20050171140 A1 20050804 US 2004-989138 20041115

| US 7420059 | B2 | 20080902 |
| :--- | :--- | :--- | :--- | :--- |
| EP 1684754 | A1 | 20060802 | EP 2004-811719 20041119

R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, PL, SK, HR, IS, YU
PRIORITY APPLN. INFO.:

| US 2003-523546P | P | 20031120 |
| :--- | :--- | :--- |
| US 2004-989138 | A | 20041115 |
| WO 2004-US39051 | W | 20041119 |

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
OTHER SOURCE (S): MARPAT 143:43869
ED Entered STN: 10 Jun 2005
GI

* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT *

AB Title compds. I [Het $=5$ - to 8 -membered ring including at least one nitrogen atom with provisions; $n=0-1$; R1 and R2 independently $=\mathrm{H}, \mathrm{alkyl}$, alkenyl, etc.; R3 = H, aryl, cycloalkyl, etc.; R4 and R5 independently = H, alkyl; $\mathrm{X}=-\mathrm{CR} 6 \mathrm{R} 7-\mathrm{CR} 6 \mathrm{aR} 7 \mathrm{a}-$, CR6=CR7-; R6, R7, R6a and R7a independently $=\mathrm{H}, \mathrm{alkyl}]$ and their pharmaceutically acceptable salts, are prepared and disclosed as inhibitors of HMG CoA reductase. Thus, e.g., II was prepared by cyclization of Et 2-amino-4-(4-fluorophenyl)-6-isopropyl-5-methoxycarbonyl-3pyridinepropanoate (preparation given) followed by a reduction/sulfonylation/reduction sequence to give [4-(4-fluorophenyl)-2-isopropyl-8-methanesulfonyl-5, 6,7,8-tetrahydro[1,8]naphthyridin-3-yl]-methanol (III). III was oxidized to the resp. aldehyde and coupled with
1,1-dimethylethyl(4R, 6S)-2,2-dimethyl-6-(1-
phenyl-1H-tetrazole-5-sulfonylmethyl)-[1,3]dioxan-4-yl-acetate followed by ring opening to give II. I should display activity as inhibitors of HMG CoA reductase (no data given). I as inhibitors of HMG CoA reductase inhibitors should prove useful in the treatment of, but not limited to, hyperlipidemia, dyslipidemia, and atherosclerosis. Pharmaceutical compns. comprising I are disclosed.
IT 363442-04-8, BMS 477118
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
(claimed co-drug; preparation of nitrogen-containing bicyclic
pyridine-based
derivs. as inhibitors of HMG CoA reductase)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, ( $1 \mathrm{~S}, 3 \mathrm{~S}, 5 \mathrm{~S}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


OS.CITING REF COUNT: 6
REFERENCE COUNT: 7

THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS)
THERE ARE 7 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 7 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 7
ACCESSION NUMBER: 2005:543673 HCAPLUS EuII-text DOCUMENT NUMBER: 143:221803
TITLE:
Discovery and Preclinical Profile of Saxagliptin (BMS-477118): A Highly Potent, Long-Acting, Orally


CM 1
CRN 361441-53-4
CMF C15 H21 N3 O
Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 361441-75-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclopentyl]acetyl]-, (1s, 3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361441-74-9
CMF C14 H21 N3 O2
Absolute stereochemistry.


```
CM 2
CRN 76-05-1
CMF C2 H F3 O2
```



RN 361441-99-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-tricyclo[3.3.1.13,7]dec-1-ylacetyl]-, (1S, 3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361441-98-7
CMF C18 H25 N3 O

Absolute stereochemistry.


CM 2

CRN 76-05-1
CMF C2 H F3 O2


RN 361442-05-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-04-8
CMF C18 H25 N3 O2

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)
(discovery and preclin. profile of saxagliptin (BMS-477118) as highly potent and long-acting and orally active dipeptidyl peptidase IV inhibitor for treatment of type 2 diabetes)
RN 361442-09-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-fluorotricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361442-08-2
CMF C18 H24 F N3 O
Absolute stereochemistry.


CM 2

CRN 76-05-1
CMF C2 H F3 O2


RN 361442-44-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(1,2-dihydroxyethyl) cyclopentyl]acetyl]-,
(1S, 3S,5S)-
(CA INDEX NAME)
Absolute stereochemistry.


```
RN 841302-57-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 841302-24-7
    CMF C18 H25 N3 O3
```

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 862590-85-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-dimethyl-1-oxo-4-penten-1-yl]-, (1S, 3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1
CRN 361441-62-5
CMF C13 H19 N3 O
Absolute stereochemistry.


| CM | 2 |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |
| CRN | 76 | -0 | -1 |  |
| CMF | C2 | H | F3 | O2 |



RN 862590-86-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-diethyl-4-methylene-1-oxobutyl]-, (1S, 3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361441-63-6
CMF C15 H23 N3 O
Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 862590-87-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethenylcyclobutyl) acetyl]-, (1S, 3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1

```
CRN 361441-55-6
CMF Cl4 H19 N3 O
```

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 862590-88-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethenylcyclohexyl) acetyl]-, (1S, 3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-56-7
CMF C16 H23 N3 O

Absolute stereochemistry.


CM 2

CRN 76-05-1
CMF C2 H F3 O2


RN 862590-89-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcycloheptyl)acetyl]-, (1S, 3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1
CRN 361441-57-8
CMF C17 H25 N3 O
Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 862590-90-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(4-ethenyltetrahydro-2H-pyran-4-yl) acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

```
CM 1
CRN 361441-60-3
CMF C15 H21 N3 O2
```

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 862590-91-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethylcyclopentyl) acetyl]-, (1S, 3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-85-2
CMF C15 H23 N3 O
Absolute stereochemistry.

CM 2
CRN 76-05-1
CMF C2 H F3 O2

RN 862590-93-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-dimethyl-1-oxopentyl]-, (1S, 3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1
CRN 361441-90-9
CMF C13 H21 N3 O
Absolute stereochemistry.

CM 2
CRN 76-05-1
CMF C2 H F3 O2

RN 862590-94-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-diethyl-1-oxopentyl]-, (1S, 3S,5S)-,

```
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361441-91-0
CMF C15 H25 N3 O
Absolute stereochemistry.
```



```
CM 2
    CRN 76-05-1
    CMF C2 H F3 O2
C- \(\overbrace{\mathrm{F}}^{\mathrm{F}}-\mathrm{CO}_{2} \mathrm{H}\)
RN 862590-95-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(4-ethyltetrahydro-2H-pyran-4-yl)acetyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361441-89-6
    CMF C15 H23 N3 O2
```

Absolute stereochemistry.


```
                CM 2
        CRN 76-05-1
        CMF C2 H F3 O2
    F-
RN 862590-96-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclobutyl]acetyl]-, (1S,3S,5S)-,
        2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
        CM 1
        CRN 361441-77-2
        CMF C13 H19 N3 O2
Absolute stereochemistry.
```



```
CM 2
CRN 76-05-1
CMF C2 H F3 O2
```



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```
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclohexyl]acetyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361441-78-3
    CMF C15 H23 N3 O2
```

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2

362.842-04-8, Saxagliptin

RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)
(discovery and preclin. profile of saxagliptin (BMS-477118) as highly potent and long-acting and orally active dipeptidyl peptidase IV inhibitor for treatment of type 2 diabetes)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, ( $1 \mathrm{~S}, 3 \mathrm{~S}, 5 \mathrm{~S}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


| OS.CITING REF COUNT: | 205 | THERE ARE 205 CAPLUS RECORDS THAT CITE THIS |
| :--- | :--- | :--- |
| REFERENCE COUNT: |  | RECORD (206 CITINGS) |
|  |  | THERE ARE 64 CITED REFERENCES AVAILABLE FOR THIS |
|  |  | RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT |

L57 ANSWER 8 OF 16 HCAPLUS COPYRIGHT 2012 ACS On STN DUPLICATE 8
ACCESSION NUMBER: 2001:693281 HCAPLUS Eull-text
DOCUMENT NUMBER: 135:257147
TITLE:
Preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl peptidase IV
INVENTOR (S) :
Robl, Jecrwey A.; Subsky, Rechaxe B.; Augema, Devid J.; Magning David R.; Ramamm, Lasmenoe e.; Betebenner, bavid $A$.
PATENT ASSIGNEE (S): Bristol-Myers Squibb Co., USA
SOURCE: PCT Int. Appl., 135 pp .
CODEN: PIXXD2
DOCUMENT TYPE: Patent
LANGUAGE: English
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:


## 13/308,658

| HU 2003002792 | A2 | 20031229 |
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A1 20090529
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A 20100328
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R: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LI, LU, MC, NL, PT, SE, TR
IN 2002MN01154 A 20050304
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IN 2007MN00184 A 20080215
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| IN 2002-MN1154 | A3 20020823 |  |
| KR 2002-7011806 | A3 20020909 |  |

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE (S): MARPAT 135:257147
ED Entered STN: 21 Sep 2001
GI




III

AB Dipeptidyl peptidase IV inhibiting compds. I (x $=0$ or 1 and $y=0$ or 1 provided that $\mathrm{x}=1$ when $\mathrm{y}=0$ and $\mathrm{x}=0$ when $\mathrm{y}=1$; $\mathrm{n}=0,1$; $\mathrm{X}=\mathrm{H}, \mathrm{CN}$; R1, R2, R3 and R4 = same or different and independently selected from H, (un) substituted chain or cyclic components) and the pharmaceutically acceptable salts or prodrugs (no data) were prepared Thus L-pyroglutamic acid Et ester was protected, cyclopropanated and reacted further with (S)-N-BOC-isoleucine providing an intermediate II which reacted further to yield the fused cyclopropylpyrrolidine III in $57 \%$ yield. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases by employing a title DP 4 inhibitor or a combination of DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.
IT $\quad 1000689-56-4 \quad 1098535-02 \cdots 3 \quad 1098535-02 \cdots 4$ $1090535-63 \cdots 5 \quad 1098535-04-6 \quad 1098535-05 \cdots 7$ $1098535-06-9 \quad 1098535-07-9 \quad 1098535-08 \cdots$ $1098535-09-2 \quad 1098535-10-4 \quad 1098535-12-5$ $1098535-12 \sim 6 \quad 1098535-13-7 \quad 1098535-14-8$ 1098535-55-9 $1098535-46-0 \quad 1098535-17-7$ 1098535-23.7 $7098535-23.9$
RL: PRPH (Prophetic)
(Preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl peptidase IV)
RN 1000689-56-4 HCAPLUS
CN 1-Butanone,
2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3,3-dimethyl-, (2S) - (CA INDEX NAME)

Absolute stereochemistry.


```
RN 1098535-01-3 HCAPLUS
CN Ethanone, 2-amino-1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-
    tricyclo[3.3.1.13,7]dec-2-yl-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 1098535-02-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-(3-amino-4,4-dimethyl-1-oxopentyl)-, (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


RN 1098535-03-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-(3-amino-1-oxo-3-tricyclo[3.3.1.13,7]dec-1-ylpropyl)-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 1098535-04-6 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
    3-[(2R)-2-(aminomethyl)-4,4-dimethyl-1-oxopentyl]-, (1R,2S,5S)- (CA
INDEX
```

    NAME)
    Absolute stereochemistry.


RN 1098535-05-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2R)-2-methyl-3-(methylamino)-2-(1-methylcyclohexyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 1098535-06-8 HCAPLUS
CN 1-Pentanone, 3-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2,4,4-trimethyl-, (2R) - (CA INDEX NAME)

Absolute stereochemistry.


RN 1098535-07-9 HCAPLUS
CN INDEX NAME NOT YET ASSIGNED

Absolute stereochemistry.


RN 1098535-08-0 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[3-(methylamino)-3-(1-methylcyclohexyl)-1-oxopropyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 1098535-09-1 HCAPLUS
CN INDEX NAME NOT YET ASSIGNED
Absolute stereochemistry.


RN 1098535-10-4 HCAPLUS
CN Ethanone, 1-(3-azabicyclo[3.1.0]hex-3-yl)-2-(methylamino)-2-(1-methylcyclohexyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 1098535-11-5 HCAPLUS
CN 1-Pentanone, 1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-4,4-dimethyl-3(methylamino) - (CA INDEX NAME)

Absolute stereochemistry.


RN 1098535-12-6 HCAPLUS
CN 1-Pentanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-4,4-dimethyl-, (2S)(CA INDEX NAME)

Absolute stereochemistry.


RN 1098535-13-7 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2R)-3-amino-1-oxo-2-tricyclo[3.3.1.13,7]dec-2-ylbutyl]-, (1R,2S,5S)(CA INDEX NAME)

Absolute stereochemistry.


RN 1098535-14-8 HCAPLUS
CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-[1-(hydroxymethyl)cyclopentyl]-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 1098535-15-9 HCAPLUS
CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-tricyclo[3.3.1.13,7]dec-2-yl-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 1098535-16-0 HCAPLUS
CN Ethanone, 1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(methylamino)-2-(1-methylcyclohexyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 1098535-17-1 HCAPLUS
CN INDEX NAME NOT YET ASSIGNED

Absolute stereochemistry.


RN 1098535-21-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-3-amino-3-[1-(hydroxymethyl) cyclopentyl]-2-methyl-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 1098535-23-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(1-methylethyl)cyclobutyl]acetyl]-, (1S, 3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


| IT | 361480-65-52 | 361480-66-62 | 361440-73-52 |
| :---: | :---: | :---: | :---: |
|  | 361.840-77-92 | 3614*0-79-72 | 361. $80-88-28$ |
|  | 363460-95-7\% | $363840-95-39$ | 361.480-97-38 |
|  | $361460-99-59$ | $362842-02-29$ | $365482-03-48$ |
|  | $361845-04-5 \mathrm{e}$ | 362AA2-65-62 | 3614AL-06-72 |
|  | $361845-07-8 \mathrm{~F}$ | 362442-08-92 | $361482-09-0 \mathrm{E}$ |
|  | 3654.4-30-32 | 36244x-32-42 | $36248 x-82-58$ |
|  | 361442-33-62 | 362 $64 \mathrm{x}-34-7 \mathrm{~F}$ | 3624 $4 \mathrm{x}-35-8 \mathrm{E}$ |
|  | 362.482-36-9p | 36264.-17-0p | $361484-28-38$ |
|  | $361.442-39-62$ | $361842-53-42$ | 361442-54-52 |
|  | $361442-55-62$ | $361442 \times 55 \cdots 72$ | 361442-57mer |
|  | $361.442-58-92$ | $361482 \times 59 \mathrm{OR}$ | 361442-60-32 |
|  | $363442-61-4 p$ | 3614s2-62-52 | 361.42-63-62 |
|  | $363442-65-62$ | $362442-67-02$ | 361442-69-22 |
|  | 361445-72-62 | 362484-74-92 | 362445-75-08 |
|  | 361445-77-29 | 362485-78-36 | 3614RL-79-48 |
|  | $36184 \mathrm{~A}-80 \cdots 7 \mathrm{c}$ | 362Ast $-83-0 \mathrm{E}$ | 3624A2-85-28 |
|  | 361445-87-42 | $362442 \times 88-5 \mathrm{P}$ | $362442-89-68$ |
|  | $361442 \cdot 90-92$ | $362442-92 \cdots 02$ | 36244x-92-18 |

## 13/308,658

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    364.442-93-2% 364.442-90-85 36x442-05-92
    362442-09-35 364442-1.-7% 365442-25-4%
    362442\cdots26-2% 362442\cdots-28-4F 361442-19-52
    364842-23-19 361482-25-32 362442m30-02
    36{422-33-32 3624A2-35-5% 3624A2-38-8%
    361442-39-92 362442~40-2% 362442-41-3P
    36x 442-42-40 362442-44-6P 362442-88-02
    362.442-49-1p 364.442-50-42 364442-52-5p
    361442-52-62 365442-53-72 361442-58-82
    361442w55-92 365442-56m0p 36\442-58-2p
    361.485-95-25
    RL: BAC (Biological activity or effector, except adverse); BSU (Biological
    study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use);
    BIOL (Biological study); PREP (Preparation); USES (Uses)
            (preparation of fused cyclopropylpyrrolidine-based inhibitors of
dipeptidyl
            peptidase IV)
RN 361440-65-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)
Absolute stereochemistry.
```



```
RN 361440-66-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361440-65-5
    CMF C12 H19 N3 O
Absolute stereochemistry.
```



```
        CM 2
        CRN 76-05-1
        CMF C2 H F3 O2
        F-
RN 361440-73-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361440-72-4
    CMF C12 H19 N3 O
Absolute stereochemistry.
```



```
CM 2
        CRN 76-05-1
    CMF C2 H F3 O2
```



```
RN 361440-77-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
```

```
2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1R,3S,5R)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361440-76-8
CMF C12 H19 N3 O
Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 361440-79-1 HCAPLUS
CN 1-Pentanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-3-methyl-, hydrochloride (1:1), (2S,3S)- (CA INDEX NAME)

Absolute stereochemistry.


HCl

RN 361440-88-2 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,

```
3-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1R,2S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361440-87-1
CMF C12 H19 N3 O
Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 361440-91-7 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,2R,5R)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361440-90-6
CMF Cl2 H19 N3 O
Absolute stereochemistry.


## CM 2

CRN 76-05-1
CMF C2 H F3 O2


RN 361440-95-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2R)-2-amino-1-oxo-3-[(phenylmethyl)thio]propyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361440-97-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-(1H-indol-3-yl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361440-99-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-4-(methylthio)-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)

```
Absolute stereochemistry.
```



RN 361441-01-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-4-methyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-03-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-1-oxohexyl]-, ( $1 \mathrm{~S}, 3 \mathrm{~S}, 5 \mathrm{~S}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-04-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-3-methyl-2-(methylamino)-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-05-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3-methyl-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)
Absolute stereochemistry.

$\begin{array}{ll}\text { RN } & 361441-06-7 \quad \text { HCAPLUS } \\ \text { CN } & 2-\text { Azabicyclo[3.1.0]hexane-3-carbonitrile, } \\ & 2-[(2 S)-2-\text { amino-1-oxo-4-phenylbutyl]-, (1S,3S,5S)- (CA INDEX NAME) }\end{array}$
Absolute stereochemistry.


```
RN 361441-07-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-pyrrolidinylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 361441-08-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-1-oxo-3-phenylpropyl]-, (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


RN 361441-09-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2R)-2-amino-3-[(1,1-dimethylethyl)thio]-1-oxopropyl]-, (1S, 3S,5S)(CA INDEX NAME)

Absolute stereochemistry.


```
RN 361441-10-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-piperidinylcarbonyl]-,
    (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 361441-11-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[[(2S,3S)-3-methyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX
    NAME)
```

Absolute stereochemistry.


RN 361441-12-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
$2-[(2 S, 3 R)-2$-amino-3-methyl-1-oxopentyl]-, (1S, $3 \mathrm{~S}, 5 \mathrm{~S})-$ (CA INDEX NAME)
Absolute stereochemistry.


RN 361441-13-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, $2-[(2 S)-2$-amino-3-cyclohexyl-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-14-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-phenylacetyl]-, (1S, 3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361441-15-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-3-(3-cyanophenyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX
    NAME)
```

Absolute stereochemistry.


RN 361441-16-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-(4-cyanophenyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361441-17-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-3-(4-hydroxyphenyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX
    NAME)
```

Absolute stereochemistry.


RN 361441-28-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3-hydroxy-3-methyl-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-39-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-4-hydroxy-1-oxobutyl]-, ( $1 \mathrm{~S}, 3 \mathrm{~S}, 5 \mathrm{~S}$ )- (CA INDEX NAME)
Absolute stereochemistry.


RN 361441-53-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcyclopentyl)acetyl]-, (1s,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361441-54-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(1-ethenylcyclopentyl)acetyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361441-53-4
    CMF C15 H21 N3 O
Absolute stereochemistry.
```



CM 2

CRN 76-05-1
CMF C2 H F3 O2


RN 361441-55-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcyclobutyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-56-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethenylcyclohexyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-57-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethenylcycloheptyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-58-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcyclooctyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-59-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

```
2-[(2S)-2-amino-2-[(3R,4S)-1-ethenyl-3,4-dimethylcyclopentyl]acetyl]-,
(1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 361441-60-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(4-ethenyltetrahydro-2H-pyran-4-yl) acetyl]-,
(1S, 3S,5S)-
            (CA INDEX NAME)
```

Absolute stereochemistry.


RN 361441-61-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethenylcyclopropyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361441-62-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-3,3-dimethyl-1-oxo-4-penten-1-yl]-, (1S,3S,5S)- (CA
INDEX
    NAME)
```

```
Absolute stereochemistry.
```



RN 361441-63-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3,3-diethyl-1-oxo-4-pentenyl]-, (1S,3S,5S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361441-65-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-[1-(1-fluoroethenyl)cyclopentyl]acetyl]-, (1S,3S,5S)-
    (CA INDEX NAME)
```

Absolute stereochemistry.


RN 361441-67-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(1-fluoroethenyl) cyclobutyl]acetyl]-, (1S, 3S,5S)(CA INDEX NAME)

Absolute stereochemistry.


```
RN 361441-69-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-[1-(1-methylethenyl)cyclopentyl]acetyl]-, (1S,3S,5S)-
    (CA INDEX NAME)
```

Absolute stereochemistry.


RN 361441-71-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(1-methylethenyl)cyclobutyl]acetyl]-, (1S, 3S, 5S)(CA INDEX NAME)

Absolute stereochemistry.


RN 361441-74-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(hydroxymethyl) cyclopentyl]acetyl]-, (1S, 3S,5S)(CA

INDEX NAME)

Absolute stereochemistry.


```
RN 361441-75-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclopentyl]acetyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
        CM 1
        CRN 361441-74-9
        CMF C14 H21 N3 O2
```

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 361441-77-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclobutyl]acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-78-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclohexyl]acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-79-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(hydroxymethyl) cycloheptyl]acetyl]-, (1S, 3S,5S)-
(CA
INDEX NAME)

Absolute stereochemistry.


```
RN 361441-80-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-[(3R,4S)-1-(hydroxymethyl)-3,4-
    dimethylcyclopentyl]acetyl]-, (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 361441-83-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-4-hydroxy-3,3-dimethyl-1-oxobutyl]-, (1S,3S,5S)- (CA
    INDEX NAME)
```

Absolute stereochemistry.


RN 361441-85-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-ethylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361441-87-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(1-ethylcyclobutyl)acetyl]-, (1S,3S,5S)- (CA INDEX
    NAME)
```

Absolute stereochemistry.


RN 361441-88-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethylcycloheptyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-89-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(4-ethyltetrahydro-2H-pyran-4-yl) acetyl]-, (1S, 3S, 5S)(CA INDEX NAME)

Absolute stereochemistry.


RN 361441-90-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3,3-dimethyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-91-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3,3-diethyl-1-oxopentyl]-, (1S, 3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-92-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-ethyl-3-methyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361441-93-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(1-methylethyl)cyclopentyl]acetyl]-, (1S, 3S,5S)-
(CA
INDEX NAME)
Absolute stereochemistry.


RN 361441-99-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

```
2-[(2S)-2-amino-2-tricyclo[3.3.1.13,7]dec-1-ylacetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361441-98-7
CMF C18 H25 N3 O

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 361442-05-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl) acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361442-04-8
CMF C18 H25 N3 O2

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 361442-09-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-fluorotricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S, 3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361442-08-2
CMF C18 H24 F N3 O

Absolute stereochemistry.


```
CM 2
CRN 76-05-1
CMF C2 H F3 O2
```



RN 361442-11-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-tricyclo[3.3.1.13,7]dec-2-ylacetyl]-, (1S, 3S, 5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361442-10-6
CMF C18 H25 N3 O

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 361442-15-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-bicyclo[2.2.1]hept-1-ylacetyl]-, (1S, 3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1

```
    CRN 361442-14-0
    CMF C15 H21 N3 O
```

Absolute stereochemistry.


CM 2

CRN 76-05-1
CMF C2 H F3 O2


RN 361442-16-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(tetrahydro-2H-pyran-4-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361442-18-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(1-phenylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX
    NAME)
```

Absolute stereochemistry.


```
RN 361442-19-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-3-methyl-1-oxo-3-phenylbutyl]-, (1S,3S,5S)- (CA INDEX
    NAME)
```

Absolute stereochemistry.


RN 361442-23-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[[(2S)-3,3-dimethyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361442-25-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
$2-[[(2 S, 3 S)-3-e t h y l-2-p y r r o l i d i n y l] c a r b o n y l]-,(1 S, 3 S, 5 S)-$ (CA INDEX NAME)

Absolute stereochemistry.



```
RN 361442-30-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[[(2S,3R)-3-(1-methylethyl)-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA
    INDEX NAME)
```

Absolute stereochemistry.


RN 361442-33-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2R)-2-amino-3-[(1,1-dimethylethyl) sulfinyl]-1-oxopropyl]-,
(1S,3S,5S)-
(CA INDEX NAME)

Absolute stereochemistry.


```
RN 361442-35-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2R)-2-amino-3-[(1,1-dimethylethyl)sulfonyl]-1-oxopropyl]-,
(1S,3S,5S)-
            (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 361442-38-8 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
    3-[(2S)-2-amino-2-cyclohexylacetyl]-, (1R,2S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


CN

RN 361442-39-9 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-, (1R,2S,5S)- (CA INDEX NAME)
Absolute stereochemistry.


RN 361442-40-2 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile, $3-[(2 S)-2$-amino-3-methyl-1-oxobutyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
361442-41-3 HCAPLUS
```

CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile, 3-[(2S)-2-amino-2-(1-ethylcyclopentyl)acetyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361442-42-4 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile, 3-[(2S)-2-amino-2-(1-ethenylcyclopentyl)acetyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361442-44-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-[1-(1,2-dihydroxyethyl)cyclopentyl]acetyl]-,
(1S,3S,5S)-
            (CA INDEX NAME)
```

Absolute stereochemistry.


RN 361442-48-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

2-[(2S)-2-amino-2-(1-methylcyclohexyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361442-49-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(1-ethylcyclohexyl)acetyl]-, (1S,3S,5S)- (CA INDEX
    NAME)
```

Absolute stereochemistry.


RN 361442-50-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-methylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361442-51-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-[1-(2-propen-1-yl)cyclopentyl]acetyl]-, (1S, 3S,5S)-
(CA INDEX NAME)

Absolute stereochemistry.


RN 361442-52-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-propylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361442-53-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(1-methylcyclobutyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361442-54-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-cyclopentylacetyl]-, (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 361442-55-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-cyclohexylacetyl]-, (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


RN 361442-56-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-cyclobutylacetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361442-58-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
$2-[(1 S, 3 S, 5 S)-2$-azabicyclo[3.1.0]hex-3-ylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361485-95-2 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
    3-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, 2,2,2-trifluoroacetate (1:1)
    (CA INDEX NAME)
        CM 1
        CRN 361485-94-1
        CMF C12 H19 N3 O
Absolute stereochemistry.
```


CM 2
CRN 76-05-1
CMF C2 H F3 O2


| OS.CITING REF COUNT: | 36 | THERE ARE 36 CAPLUS RECORDS THAT CITE THIS |
| :--- | :--- | :--- |
|  |  | RECORD (61 CITINGS) |
| REFERENCE COUNT: | 11 | THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS |
|  |  | RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT |

L57 ANSWER 9 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 2010:1573900 HCAPLUS Full-test
DOCUMENT NUMBER: 155:171960
TITLE: The discovery of the dipeptidyl peptidase-4 (DPP4)
inhibitor onglyza: from concept to market
AUTHOR (S) :
CORPORATE SOURCE:
SOURCE: RSC Drug Discovery Series (2011), 4(Accounts in Drug
Discovery), 1-24

## 13/308,658

CODEN: RDDSA7; ISSN: 2041-3203
PUBLISHER:
DOCUMENT TYPE:
LANGUAGE:
Royal Society of Chemistry
Journal; General Review
English
ED Entered STN: 20 Dec 2010
AB A review. The modulation of glucagon like peptide-1 in the treatment of diabetes, role of dipeptidyl peptidase-4 (DPP4) as a target for diabetes treatment, early inhibitors of DPP4, design of Bristol-Myers Squibb's DPP4 medicinal chemical program, and design of cyclopropyl-fused nitrilo-pyrrolidines are briefly described. Structure-activity
relationship optimization leading to the discovery of saxagliptin, binding of saxagliptin to human DPP4, chemical stability of saxagliptin and analogs, in vivo efficacy of saxagliptin, peptidase selectivity of saxagliptin, synthesis of saxagliptin, and saxagliptin development are also shown. 36x442~0s-8, Onglyza
RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses) (understanding biol. function of target, ability to design small mol. to interact with critical element of target active site may lead to discovery of Onglyza which may be effective for treatment of patient with diabetes)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, ( $1 \mathrm{~S}, 3 \mathrm{~S}, 5 \mathrm{~S}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


REFERENCE COUNT:
73 THERE ARE 73 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 10 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 2009:288826 HCAPLUS Full-text
DOCUMENT NUMBER: 150:330127
TITLE: Preparation of biphenyls and biheteroaryls end-capped with amino acid or peptide derivatives as hepatitis c virus inhibitors
INVENTOR (S) :
Bachand, Carol; Belema, Makonen; Deon, Daniel H.; Good, Andrew C.; Goodrich, Jason; James, Clint A.; Lavoie, Rico; Lopez, Omar D.; Martel, Alain; Meanwell, Nicholas A.; Nguyen, Van N.; Romine, Jeffrey Lee; Ruediger, Edward H.; Snyder, Lawrence B.; St. Laurent, Denis R.; Yang, Fukang; Langley, David R.; Wang, Gan; Kamann. Kawsence G.

## 13/308,658



```
* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT *
```

AB The invention is related to 4, 4'-disubstituted biphenyls and biheteroaryls in which the substituents in 4 and $4^{\prime}$ positions contain structures associated with amino acids and peptides, e.g., I, their pharmaceutical acceptable salts, pharmaceutical compns. and methods for the treatment of hepatitis C virus (HCV) infection. Thus, Pd-coupling of bromide II (preparation given) with boronate III (preparation given), hydrogenolysis, cleavage of the tert-butoxycarbonyl groups and coupling with $N$-(methoxycarbonyl)-L-valine gave I as an acetate salt. Compds. of the invention were active in an HCV replicon assay.
IT $1129634 \cdots 5 \cdots 62 \quad 1129638 \cdots 3502 \quad 1129634 \cdots 36 \cdots 2$
RL: DMA (Drug mechanism of action); PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)
(drug candidate; preparation of biphenyls and biheteroaryls end-capped
with
amino acid or peptide derivs. as hepatitis c virus inhibitors)
RN 1129634-15-6 HCAPLUS
CN 1-Butanone, 1, $1^{\prime-\left[\left[1,1^{\prime}-b i p h e n y l\right]-4,4 '-d i y l b i s[1 H-i m i d a z o l e-5,2-d i y l-~\right.}$ (1R, 3S,5R)-2-azabicyclo[3.1.0]hexane-3,2-diyl]]bis [2-amino-3-methyl-, ( $2 \mathrm{~S}, 2^{\prime} \mathrm{S}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


$$
\begin{array}{ll}
\text { RN } & 1129634-35-0 \text { HCAPLUS } \\
\mathrm{CN} & \text { l-Butanone, } 1,1^{\prime}-\left[\left[1,1^{\prime}-\text {-biphenyl]-4, } \mathbf{'}^{\prime}-\right.\right.\text { diylbis[1H-imidazole-5,2- } \\
& \text { diyl(1R,3S,5R)-2-azabicyclo[3.1.0]hexane-3,2-diyl]]bis[3-methyl-2-(2- } \\
& \text { pyrimidinylamino)-, (2S,2'S)- (CA INDEX NAME) }
\end{array}
$$

Absolute stereochemistry.

PAGE 1-A



RN 1129634-36-1 HCAPLUS
CN 1-Butanone, 1, $1^{\prime-\left[\left[1,1^{\prime}-b i p h e n y l\right]-4,4 '-d i y l b i s[1 H-i m i d a z o l e-5,2-~\right.}$ diyl(1R, 3S,5R)-2-azabicyclo[3.1.0]hexane-3,2-diyl]]bis[3-methyl-2-(2-pyrimidinylamino)-, (2S,2'S)-, 2,2,2-trifluoroacetate (1:?) (CA INDEX NAME)

CM 1

CRN 1129634-35-0
CMF C46 H50 N12 O2

Absolute stereochemistry.

PAGE 1-A



CM 2

CRN 76-05-1
CMF C2 H F3 O2


IT 1129634.16m7\%
RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)
(intermediate; preparation of biphenyls and biheteroaryls end-capped with
amino acid or peptide derivs. as hepatitis c virus inhibitors)
RN 1129634-16-7 HCAPLUS
CN 1-Butanone, 1, $1^{\prime}-\left[\left[1,1^{\prime}-\right.\right.$ biphenyl]-4, 4'-diylbis[1H-imidazole-5,2-diyl(1R, 3S, 5R)-2-azabicyclo[3.1.0]hexane-3,2-diyl]]bis [2-amino-3-methyl-, (2S,2'S)-, 2,2,2-trifluoroacetate (1:?) (CA INDEX NAME)

CM 1

CRN 1129634-15-6
CMF C38 H46 N8 O2

Absolute stereochemistry.

## 13/308,658



OS.CITING REF COUNT: 6 THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD ( 6 CITINGS)

```
L57 ANSWER 11 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 2006:119838 HCAPLUS EuII-text
DOCUMENT NUMBER: 144:213022
TITLE:
INVENTOR(S):
PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA
SOURCE:
DOCUMENT TYPE:
LANGUAGE:
FAMILY ACC. NUM. COUNT: 3
PATENT INFORMATION:
    PATENT NO. KIND DATE APPLICATION NO. DATE
```



EP 1773877 B1 20100317
R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LI, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, HR, LV, MK, YU
CN 101010339 A 20070801 CN 2005-80029543 20050630
CN 101010339 B 20111109
BR 2005011393 A 20071204
JP 2008505899
20080228
BR 2005-11393 20050630
AT 461218
JP 2007-520360 20050630
ES 2340181
20100531
AT 2005-763871 20050630
AR 49572
MX 2006015193
ZA 2006010786
IN 2006DN07816
AU 2005270129
20060816
ES 2005-763871 20050630

NO 2007000614
20070228
AR 2005-102778 20050704
MX 2006-15193 20061220
ZA 2006-10786 20061220
IN 2006-DN7816 20061222

KR 2007042162 A 20070327
$\begin{array}{ll}\text { NO 2005-270129 } & 20070102 \\ 20070201\end{array}$
KR 2007-7002645 20070201
PRIORITY APPLN. INFO.:

OTHER SOURCE (S) :
CASREACT 144:213022; MARPAT 144:213022
ED Entered STN: 09 Feb 2006
AB The invention provides novel human glucagon-like peptide-1 (GLP-1)-receptor modulators Xaa1-Xaa2-Xaa3-Xaa4-Xaa5-Xaa6-Xaa7-Xaa8-Xaa9- Xaa10-Xaa11
[Xaal-Xaa3, Xaa5-Xaall are (certain) naturally or non-naturally occurring amino acid residues; Xaa4 is glycine] that have biol. activity similar or superior to native GLP-1 peptide and thus are useful for the treatment or prevention of diseases or disorders associated with GLP activity. The novel, chemical modified peptides not only stimulate insulin secretion in type II diabetics, but also produce other beneficial insulinotropic responses. These synthetic peptide GLP-1 receptor modulators exhibit increased stability to proteolytic cleavage making them ideal therapeutic candidates for oral or parenteral administration. Peptides of the invention show desirable pharmacokinetic properties and desirable potency in efficacy models of diabetes. Thus, claimed peptide
H-H-Aib-EGT-L- $\alpha$-MePhe (2-fluoro) -TSD-Bip (2'-Et-4'-OMe)-4-(2'-
methylphenyl)-3-pyridylalanine-NH2 (H, E, G, T, S and D are one-letter amino acid symbols, Aib = $\alpha$-aminoisobutyric acid residue, Bip = biphenylalanine

## 13/308,658

```
    residue) was prepared by the solid-phase method and shown to produce a
        time-dependent statistically significant decrease in postprandial plasma
        glucose following s.c. administration in ob/ob mice.
IT
    36\842-04-8, Saxagliptin
    RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
            (preparation of human glucagon-like-peptide-1 modulators and their use
in
    treatment of diabetes and related conditions)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


| OS.CITING REF COUNT: | 3 | THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD |
| :--- | :--- | :--- |
|  |  |  |
| REFERENCE COUNT: | $4 \mathrm{CITINGS})$ |  |
|  |  | THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS |

L57 ANSWER 12 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 2005:120884 HCAPLUS Eull-text DOCUMENT NUMBER: 142:219555
TITLE: Preparation of adamantyglycinamide inhibitors of dipeptidyl peptidase IV
INVENTOR(S): Bamann, Eamxence G.; Khanna, Ashish; Kirby, Mark S.; Magnsi, Davä R.; Simpkins, Ligaya M.; Sutton, James C.; Robl, Jatraey

PATENT ASSIGNEE (S): Bristol-Myers Squibb Company, USA
SOURCE:
PCT Int. Appl., 69 pp.
CODEN: PIXXD2
DOCUMENT TYPE: Patent
LANGUAGE: English
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:


NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, $S I, S K, T R, B F, B J, C F, C G, C I, C M, G A, G N, G Q, G W, M L, M R, N E$, SN, TD, TG

| US 20050038020 | A1 | 20050217 | US 2004-899641 | 20040727 |
| :--- | :--- | :--- | :--- | :--- |
| US 6995183 | B2 | 20060207 |  |  |
| EP 1658066 | A2 | 20060524 | EP $2004-779352$ | 20040728 |

$\begin{array}{cc}\text { EP } 1658066 \\ \text { R: AT, } & \text { BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, } S E, M C, P T,\end{array}$ IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, PL, SK, HR JP 2007501231 T 20070125 JP 2006-522608 20040728 EP 1997489 A1 20081203 EP 2008-158967 20040728

R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LI, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, AL, HR, LT, LV, MK AT 444064 T 20091015 AT 2004-779352 20040728 ES 2332275 T3 20100201 ES 2004-779352 20040728 US 20050228021 A1 20051013 US 2005-149414 20050609 US 20050239839 A1 20051027 US 2005-149408 20050609 NO 2006000479 A 20060220 NO 2006-479 20060130 PRIORITY APPLN. INFO.: US 2003-491832P P 20030801 US 2004-899641 A 20040727
EP 2004-779352 A3 20040728
WO 2004-US24257 W 20040728 OTHER SOURCE (S): CASREACT 142:219555; MARPAT 142:219555 ED Entered STN: 11 Feb 2005
GI


AB Title compds. [I; $m, n=0-2 ; m+n \leq 2$; dashed bonds form a cyclopropyl ring when $Y=C H ; X=H, C N ; ~ Y=C H, C H 2, C H F, C F 2, ~ O, ~ S, ~ S O, ~ S O 2 ; ~ A=(s u b s t i t u t e d) ~$ adamantyl], were prepared Thus,
(S) - (3-hydroxy-5,7-dimethyladamantan-1-yl)glycine pyrrolidinamide (preparation from 3,5-dimethyladamantane-1-carboxylic acid given) at 3 $\mu \mathrm{mol} / \mathrm{kg}$ orally in rats gave a $39 \%$ reduction in serum glucose after 4 h .
IT 843302-20m3s 845302-22m42 841302-28m72 843302-26-92 $\quad 84302-27-08 \quad 84 \times 302-28-18$ 843302-29-2p $\quad 84.302-30-5 P \quad 84 \times 302-32-62$ 843302-32-72
RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES
(Uses)
(claimed compound; preparation of adamantyglycinamide inhibitors of
dipeptidyl peptidase IV)
RN 841302-20-3 HCAPLUS
CN Ethanone, 2-amino-1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 841302-21-4 HCAPLUS
CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-
    dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 841302-24-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl) acetyl]-,
    (1S,3S,5S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 841302-26-9 HCAPLUS
CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-
    tricyclo[3.3.1.13,7]dec-1-yl-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 841302-27-0 HCAPLUS
CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-(3,5-
    dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 841302-28-1 HCAPLUS
CN Ethanone,
2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3-hydroxy-5,7-
    dimethyltricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)
Absolute stereochemistry.
```



RN 841302-29-2 HCAPLUS
CN Acetamide, N-[3-[(1S)-1-amino-2-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-oxoethyl]tricyclo[3.3.1.13,7]dec-1-yl]- (CA INDEX NAME)

Absolute stereochemistry.


```
RN 841302-30-5 HCAPLUS
CN Propanamide, N-[3-[(1S)-1-amino-2-(1S,5R)-2-aza.bicyclo[3.1.0]hex-2-yl-2-
    oxoethyl]tricyclo[3.3.1.13,7]dec-1-yl]-2-methyl- (CA INDEX NAME)
```

Absolute stereochemistry.


```
RN 841302-31-6 HCAPLUS
CN Benzeneacetamide,
N-[3-[(1S)-1-amino-2-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-
    2-oxoethyl]tricyclo[3.3.1.13,7]dec-1-yl]- (CA INDEX NAME)
```

Absolute stereochemistry.


RN 841302-32-7 HCAPLUS
CN Benzamide, $N$-[3-[(1S)-1-amino-2-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-oxoethyl]tricyclo[3.3.1.13,7]dec-1-yl]- (CA INDEX NAME)

Absolute stereochemistry.

$843302 \cdots 5102 \quad 84302 \cdots 52-12 \quad 84302 \cdots 59-62$
RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)
(preparation of adamantyglycinamide inhibitors of dipeptidyl peptidase
IV)

RN 841302-51-0 HCAPLUS
CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3-
hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, hydrochloride (1:1), (2S)- (CA INDEX NAME)

Absolute stereochemistry.


- HCl

RN 841302-52-1 HCAPLUS
CN Ethanone, 2-amino-1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, hydrochloride (1:1), (2S)INDEX NAME)

Absolute stereochemistry.


- HCl

```
RN 841302-57-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S) -2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl) acetyl]-,
    (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 841302-24-7
    CMF C18 H25 N3 O3
Absolute stereochemistry.
```



CM 2

CRN 76-05-1
CMF C2 H F3 O2


| OS.CITING REF COUNT: | 8 | THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD |
| :--- | :--- | :--- |
|  |  |  |
| REFERENCE COUNT: | 6 | THEITINGS) |
|  |  | RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT |

```
L57 ANSWER 13 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 2005:760338 HCAPLUS Full-text
DOCUMENT NUMBER: 143:367574
TITLE:
AUTHOR(S):
CORPORATE SOURCE
SOURCE:
PUBLISHER:
DOCUMENT TYPE:
LANGUAGE:
OTHER SOURCE (S): CASREACT 143:367574
ED Entered STN: 15 Aug 2005
GI
```



I

AB Dipeptidyl peptidase IV (DPP4) is a multifunctional type II transmembrane serine peptidase which regulates various physiol. processes, most notably plasma glucose homeostasis by cleaving peptide hormones glucagon-like peptide-1 and glucose-dependent insulinotropic polypeptide. Inhibition of DPP4 is a potentially valuable therapy for type 2 diabetes. Synthesis and structure-activity relationships of a series of substituted diprolyl nitriles are described, leading to the identification of compound I with a measured DPP4 Ki of 3.6 nM .

```
RN 361441-07-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-pyrrolidinylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)
Absolute stereochemistry.
```



```
RN 361441-10-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-piperidinylcarbonyl]-,
    (1S,3S,5S)- (CA INDEX NAME)
Absolute stereochemistry.
```



RN 361441-11-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, $2-[[(2 S, 3 S)-3-m e t h y l-2-p y r r o l i d i n y l] c a r b o n y l]-,(1 S, 3 S, 5 S)-$ (CA INDEX NAME)

Absolute stereochemistry.


```
RN 361442-23-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[[(2S)-3,3-dimethyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX
    NAME)
```

Absolute stereochemistry.


RN 361442-25-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, $2-[[(2 S, 3 S)-3-e t h y l-2-p y r r o l i d i n y l] c a r b o n y l]-,(1 S, 3 S, 5 S)-$ (CA INDEX NAME)

Absolute stereochemistry.


RN 361442-30-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[[(2S,3R)-3-(1-methylethyl)-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 361442-58-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, $2-[(1 S, 3 S, 5 S)-2-a z a b i c y c l o[3.1 .0] h e x-3-y l c a r b o n y l]-$, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 866321-06-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[ [(2S, 4S)-4-[(3-chloro-4-cyanophenyl)amino]-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 866321-19-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2R)-2-pyrrolidinylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 866321-23-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-azetidinylcarbonyl]-, ( $1 \mathrm{~S}, 3 \mathrm{~S}, 5 \mathrm{~S}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


RN 866321-26-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(1S,2S,5R)-3-azabicyclo[3.1.0]hex-2-ylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


RN 866321-46-2 HCAPLUS
CN Carbamic acid, [(3S,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]carbonyl]-3-pyrrolidinyl]-, l,1-dimethylethyl ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.


RN 866321-48-4 HCAPLUS
CN Carbamic acid, [(3R,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]carbonyl]-3-pyrrolidinyl]-, 1,1-dimethylethyl ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.


RN 866321-50-8 HCAPLUS
CN Carbamic acid, [(3S,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-

```
yl]carbonyl]-3-pyrrolidinyl]-, 9H-fluoren-9-ylmethyl ester (9CI) (CA INDEX NAME)
```

Absolute stereochemistry.


RN 866321-52-0 HCAPLUS
CN Butanamide, $\mathrm{N}-[(3 \mathrm{~S}, 5 \mathrm{~S})-5-[[(1 S, 3 \mathrm{~S}, 5 \mathrm{~S})-3-\mathrm{cyano}-2$-azabicyclo[3.1.0]hex-2-yllcarbonyl]-3-pyrrolidinyl]-3,3-dimethyl- (CA INDEX NAME)

Absolute stereochemistry.


RN 866321-54-2 HCAPLUS
CN Benzenesulfonamide, 4-chloro-N-[(3S,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]carbonyl]-3-pyrrolidinyl]- (CA INDEX NAME)

Absolute stereochemistry.


| OS.CITING REF COUNT: | 11 | THERE ARE 11 CAPLUS RECORDS THAT CITE THIS |
| :--- | :--- | :--- |
| REFERENCE COUNT: | 23 | RECORD (11 CITINGS) |
|  |  |  |

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 14 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: $2004: 515478$ HCAPLUS Eull-text DOCUMENT NUMBER: 141:54618
TITLE:

INVENTOR (S) :
Preparation of cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl peptidase IV
Vu, Truc Chi; Brzozowski, David B.; Fox, Rita;
Godfrey, Jollie Duaine, Jr.; Hanson, Ronald L.;
Kolotuchin, Sergei V.; Mazzullo, John A., Jr.; Patel,
Ramesh N.; Wang, Jianji; Wong, Kwok; Yu, Jurong; Zhu, Jason; Magntn, Dawid R.; Augers, David J.;
Ramann, Lawrence $\Leftrightarrow$.
PATENT ASSIGNEE (S): Bristol-Myers Squibb Company, USA
SOURCE:

DOCUMENT TYPE:
LANGUAGE:
PCT Int. Appl., 101 pp .
CODEN: PIXXD2
Patent
English
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:


JP 2011006440 A 20110113 JP 2010-181557 20100816
JP 2011006441 A 20110113 JP 2010-181559 20100816
PRIORITY APPLN. INFO.:
US 2002-431814P P 20021209
US 2003-716012 A3 20031118
CN 2003-80109631 A3 20031204
JP 2004-559282 A3 20031204
WO 2003-US38558 W 20031204
IN 2005-DN2279 A3 20050530
US 2008-181216 A3 20080728
ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE (S): CASREACT 141:54618; MARPAT 141:54618
ED Entered STN: 27 Jun 2004
GI


AB The invention provides methods and compds. for the production of cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl peptidase IV. Also described are methods for the asym. reductive amination of (3-hydroxyadamantan-1-yl)oxoacetic acid. Adamantane derivs. I [R1 is H or OH; R2 is C(O)COR4, C(O)NR5R6, C(X) nCOR4 or C(NR7R8)COR4, where $X$ is halo, $n$ is $1-2, R 4$ is alkoxy, NH2 or OH, and R5-R8 are H or carbalkoxy; R3 is H, OH or NR9C(O)R10, where R9 is carboxy-substituted alkyl or aryl and Rlo is 3-cyano-2-azabicyclo[3.1.0]hex-2-yl] or their pharmaceutically-acceptable salts are claimed. Thus, adamantyl-substituted glycinamide derivative II (Boc = tert-butoxycarbonyl) was prepared via amidation of Boc-protected (S) - $\alpha$-amino-3-hydroxy-1-adamantaneacetic acid.

IT $362442 \cdots 04-85 \quad 709032-44 \cdots 7$
RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)
(preparation of cyclopropyl-fused pyrrolidine-based inhibitors of
dipeptidyl
peptidase IV)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, ( $1 \mathrm{~S}, 3 \mathrm{~S}, 5 \mathrm{~S}$ ) - (CA INDEX NAME)

Absolute stereochemistry.


```
RN 709031-44-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-,
    monobenzoate (salt) (9CI) (CA INDEX NAME)
    CM 1
    CRN 361442-04-8
    CMF C18 H25 N3 O2
```

Absolute stereochemistry.


CM 2
CRN 65-85-0
CMF C7 H6 O2


IT
709035-78-76
RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)
(preparation of cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl

> peptidase IV)

RN 709031-78-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, hydrochloride (1:1), (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.


- HCl

| OS.CITING REF COUNT: | 25 | THERE ARE 25 CAPLUS RECORDS THAT CITE THIS |
| :--- | :--- | :--- |
|  |  | RECORD ( 35 CITINGS) |
| REFERENCE COUNT: | 1 | THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS |
|  |  | RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT |




Absolute stereochemistry.


IT 361.440-73-52
RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL
(Biological study); PREP (Preparation)
(crystal structure; preparation and biol. activity of
methanoprolinenitrile-containing dipeptide mimetics as DPP-IV inhibitors
and as antidiabetic agents)
RN 361440-73-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
CM 1
CRN 361440-72-4

CMF C12 H19 N3 O
Absolute stereochemistry.


CM 2

CRN 76-05-1
CMF C2 H F3 O2


```
IT 363440-66-62 363.440-77-9P 36x440-88-2e
    700375-66-55 700378-67-69 700376-68-78
    700376-70-2g 700376-.7%-2% 700376-72-32
    700376m-73-4E 700376m74.5E 700376m75-62
    700376-76-7e 700376m77.8e 700376m78.9e
    700376-79-0% 700376-80-32 700376-83-4e
    700376-82-52
    RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL
    (Biological study); PREP (Preparation)
            (preparation and biol. activity of methanoprolinenitrile-containing
dipeptide
            mimetics as DPP-IV inhibitors and as antidiabetic agents)
RN 361440-66-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361440-65-5
    CMF C12 H19 N3 O
A.bsolute stereochemistry.
```



CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 361440-77-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1R, 3S,5R)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361440-76-8
CMF C12 H19 N3 O

Absolute stereochemistry.


CM 2

CRN 76-05-1
CMF C2 H F3 O2


RN 361440-88-2 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1R, 2S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361440-87-1
CMF C12 H19 N3 O

Absolute stereochemistry.


CM 2

CRN 76-05-1
CMF C2 H F3 O2


RN 700376-66-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carbonitrile,
2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,5R)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 700376-65-4
CMF C12 H19 N3 O

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


```
RN 700376-67-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-3-methyl-1-oxobutyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate
    (1:1) (CA INDEX NAME)
    CM 1
    CRN 361441-05-6
    CMF C11 H17 N3 O
```

Absolute stereochemistry.


CM 2
CRN 76-05-1

```
            CMF C2 H F3 O2
```



RN 700376-68-7 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S)-2-amino-3-methyl-1-oxobutyl]-, (1R, $2 \mathrm{~S}, 5 \mathrm{~S}$ )-,
2,2,2-trifluoroacetate
(1:1) (CA INDEX NAME)

CM 1

CRN 361442-40-2
CMF C11 H17 N3 O
Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 700376-70-1 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-, (1R,2S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

```
    CM 1
    CRN 361442-39-9
    CMF C12 H19 N3 O
Absolute stereochemistry.
```



```
        CM 2
        CRN 76-05-1
        CMF C2 H F3 O2
        F-
RN 700376-71-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-1-oxo-3-phenylpropyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361441-08-9
    CMF C15 H17 N3 O
Absolute stereochemistry.
```



```
            CM 2
            CRN 76-05-1
            CMF C2 H F3 O2
F-
RN 700376-72-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-3-(1H-indol-3-yl)-1-oxopropyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361440-97-3
    CMF C17 H18 N4 O
Absolute stereochemistry.
```



```
CM 2
        CRN 76-05-1
        CMF C2 H F3 O2
```



```
RN 700376-73-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-3-methyl-2-(methylamino)-1-oxobutyl]-, (1S,3S,5S)-,
```

```
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361441-04-5
    CMF C12 H19 N3 O
Absolute stereochemistry.
```



```
CM 2
CRN 76-05-1
CMF C2 H F3 O2
```



RN 700376-74-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-pyrrolidinylcarbonyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-07-8
CMF Cll H15 N3 O

Absolute stereochemistry.


```
        CM 2
        CRN 76-05-1
        CMF C2 H F3 O2
    F-
RN 700376-75-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-piperidinylcarbonyl]-,
    (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361441-10-3
    CMF C12 H17 N3 O
Absolute stereochemistry.
```



```
CM 2
    CRN 76-05-1
    CMF C2 H F3 O2
```



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```
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-4-(methylthio)-1-oxobutyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361440-99-5
    CMF C11 H17 N3 O S
```

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


RN 700376-77-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-cyclobutylacetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361442-56-0
CMF C12 H17 N3 O

Absolute stereochemistry.


```
            CM 2
        CRN 76-05-1
        CMF C2 H F3 O2
    F-
RN 700376-78-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-cyclopentylacetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate
    (1:1) (CA INDEX NAME)
    CM 1
    CRN 361442-54-8
    CMF C13 H19 N3 O
Absolute stereochemistry.
```



```
        CM 2
        CRN 76-05-1
        CMF C2 H F3 O2
```



```
RN 700376-79-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-cyclohexylacetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate
    (1:1) (CA INDEX NAME)
    CM 1
    CRN 361442-55-9
    CMF C14 H21 N3 O
Absolute stereochemistry.
```



```
        CM 2
        CRN 76-05-1
        CMF C2 H F3 O2
    F-
RN 700376-80-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(1-methylcyclobutyl)acetyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM 1
    CRN 361442-53-7
    CMF C13 H19 N3 O
Absolute stereochemistry.
```




RN 700376-81-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, $2-[(2 S)-2$-amino-2-(1-methylcyclopentyl) acetyl]-, (1S, 3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361442-50-4
CMF C14 H21 N3 O

Absolute stereochemistry.


CM 2

CRN 76-05-1
CMF C2 H F3 O2


RN 700376-82-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-methylcyclohexyl) acetyl]-, (1S, 3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361442-48-0
CMF C15 H23 N3 O

Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


| OS.CITING REF COUNT: | 57 | THERE ARE 57 CAPLUS RECORDS THAT CITE THIS |
| :--- | :--- | :--- |
| RECORD (57 CITINGS) |  |  |
| REFERENCE COUNT: | 90 | THERE ARE 90 CITED REFERENCES AVAILABLE FOR THIS |
|  |  | RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT |

=> d ibib ed abs ind 16
YOU HAVE REQUESTED DATA FROM FILE 'HCAPLUS, EMBASE' - CONTINUE? (Y)/N:Y

## 13/308,658

L57 ANSWER 16 OF 16 EMBASE COPYRIGHT (C) 2012 Elsevier B.V. All rights
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```
    drug potency
    drug selectivity
    drug synthesis
    mouse
    *non insulin dependent diabetes mellitus
    nonhuman
    *structure activity relation
CT Drug Descriptors:
    alogliptin: AN, drug analysis
    alogliptin: PD, pharmacology
    *azolopyrimidine: AN, drug analysis
    *azolopyrimidine: PD, pharmacology
    *dipeptidyl peptidase IV inhibitor: AN, drug analysis
    *dipeptidyl peptidase IV inhibitor: PD, pharmacology
    linagliptin: AN, drug analysis
    linagliptin: PD, pharmacology
    saxagliptin: AN, drug analysis
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    sitagliptin: AN, drug analysis
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unclassified drug
vildagliptin: AN, drug analysis
vildagliptin: PD, pharmacology
ST Azolopyrimidines; DPP4; GLP-1; SAR
RN (alogliptin) 850649-61-5; (linagliptin) 668270-12-0; (saxagliptin)
361842~04w8, 945667m22m; (sitagliptin) 486460-32-6, 654671-78-0;
(vildagliptin) 274901-16-5
=> file stnguide
FILE 'STNGUIDE' ENTERED AT 09:19:55 ON 01 MAY 2012
USE IS SUBJECT TO THE TERMS OF YOUR CUSTOMER AGREEMENT
COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)
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FILE CONTAINS CURRENT INFORMATION.
LAST RELOADED: Apr 27, 2012 (20120427/UP).
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## 13/308,658

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E US2001-788173/APPS
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L4 STR

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## 13/308,658

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    D QUE STAT
L19 4 SEA SUB=L14 SSS FUL L17
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    D SCAN
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L20
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CHARGED TO COST=TC1600
L21 50 SEA SUB=L14 SSS SAM L20
                                D QUE STAT
L22 8057 SEA SUB=L14 SSS FUL L20
                                SAVE TEMP L22 POL658RSET1/A
L23 8053 SEA SPE=ON ABB=ON PLU=ON L22 NOT L19
                                SAVE TEMP L23 POL658CROSS/A
                        D SCAN L19
    FILE 'STNGUIDE' ENTERED AT 08:48:55 ON 01 MAY 2012
CHARGED TO COST=TC1600
                        D SAVED
        FILE 'ZCAPLUS' ENTERED AT 08:49:36 ON 01 MAY 2012
CHARGED TO COST=TC1600
L24 QUE SPE=ON ABB=ON PLU=ON ROBL, J?/AU,AUTH,IN
L25 QUE SPE=ON ABB=ON PLU=ON SULSKY, R?/AU,AUTH,IN
L26 QUE SPE=ON ABB=ON PLU=ON SULSKY, D?/AU,AUTH,IN
L27 QUE SPE=ON ABB=ON PLU=ON AUGERI, D?/AU,AUTH,IN
L28 QUE SPE=ON ABB=ON PLU=ON MAGNIN, D?/AU,AUTH,IN
L29 QUE SPE=ON ABB=ON PLU=ON HAMANN, L?/AU,AUTH,IN
```


## 13/308,658

```
L30 QUE SPE=ON ABB=ON PLU=ON BETEBENNER, D?/AU,AUTH,IN
    FILE 'HCAPLUS' ENTERED AT 08:50:44 ON 01 MAY 2012
CHARGED TO COST=TC1600
L31 1 SEA SPE=ON ABB=ON PLU=ON L1 AND (L24 OR L25 OR L26 OR L27
    OR L28 OR L29 OR L30)
    D BIB
    FILE 'ZCAPLUS' ENTERED AT 08:51:00 ON 01 MAY 2012
CHARGED TO COST=TC1600
L32 QUE SPE=ON ABB=ON PLU=ON AY<2001 OR PY<2001 OR PRY<2001 OR
    MY<2001 OR REVIEW/DT
    FILE 'HCAPLUS' ENTERED AT 08:51:58 ON 01 MAY 2012
CHARGED TO COST=TC1600
L33 725 SEA SPE=ON ABB=ON PLU=ON L23
L34 26 SEA SPE=ON ABB=ON PLU=ON L33 AND (L24 OR L25 OR L26 OR L27
                                OR L28 OR L29 OR L30)
L35 0 SEA SPE=ON ABB=ON PLU=ON L1 NOT L34
L36 26 SEA SPE=ON ABB=ON PLU=ON (L34 OR L35)
L37 699 SEA SPE=ON ABB=ON PLU=ON L33 NOT L36
L38 142 SEA SPE=ON ABB=ON PLU=ON L37 AND L32
    FILE 'STNGUIDE' ENTERED AT 08:53:26 ON 01 MAY 2012
CHARGED TO COST=TC1600
    FILE 'LREGISTRY' ENTERED AT 08:55:08 ON 01 MAY 2012
CHARGED TO COST=TC1600
L39 STR L12
    FILE 'REGISTRY' ENTERED AT 08:55:41 ON 01 MAY 2012
CHARGED TO COST=TC1600
L40 50 SEA SUB=L14 SSS SAM L39
    FILE 'STNGUIDE' ENTERED AT 08:56:21 ON 01 MAY 2012
CHARGED TO COST=TC1600
                                    D QUE STAT
    FILE 'REGISTRY' ENTERED AT 08:59:05 ON 01 MAY 2012
CHARGED TO COST=TC1600
L41 6632 SEA SUB=L14 SSS FUL L39
                                SAVE TEMP L41 POL658NSET2/A
L42 1421 SEA SPE=ON ABB=ON PLU=ON L23 NOT L41
                        SAVE TEMP L42 POL658CROSS2/A
    FILE 'STNGUIDE' ENTERED AT 09:00:19 ON 01 MAY 2012
CHARGED TO COST=TC1600
                        D SAVED
    FILE 'HCAPLUS' ENTERED AT 09:00:54 ON 01 MAY 2012
CHARGED TO COST=TC1600
    FILE 'REGISTRY' ENTERED AT 09:01:03 ON 01 MAY 2012
CHARGED TO COST=TC1600
```


## 13/308,658

```
L43 27 SEA SPE=ON ABB=ON PLU=ON L16 NOT L42
    FILE 'HCAPLUS' ENTERED AT 09:02:03 ON 01 MAY 2012
CHARGED TO COST=TC1600
L44 427 SEA SPE=ON ABB=ON PLU=ON L42
L45 15 SEA SPE=ON ABB=ON PLU=ON L44 AND (L24 OR L25 OR L26 OR L27
                        OR L28 OR L29 OR L30)
L46 0 SEA SPE=ON ABB=ON PLU=ON L1 NOT L45
    15 SEA SPE=ON ABB=ON PLU=ON (L45 OR L46)
    4 1 2 \text { SEA SPE=ON ABB=ON PLU=ON L44 NOT L47}
    8 7 \text { SEA SPE=ON ABB=ON PLU=ON L48 AND L32}
    FILE 'REGISTRY' ENTERED AT 09:03:44 ON 01 MAY 2012
CHARGED TO COST=TC1600
    FILE 'HCAPLUS' ENTERED AT 09:03:55 ON 01 MAY 2012
CHARGED TO COST=TC1600
L50 TRA PLU=ON L49 1- RN HIT : }74\mathrm{ TERMS
    FILE 'REGISTRY' ENTERED AT 09:04:00 ON 01 MAY 2012
CHARGED TO COST=TC1600
L51 74 SEA SPE=ON ABB=ON PLU=ON L50
L52 74 SEA SPE=ON ABB=ON PLU=ON L51 NOT L2
                            E SAXAGLIPTIN/CN
L53 1 SEA SPE=ON ABB=ON PLU=ON SAXAGLIPTIN/CN
                        D SCAN
    FILE 'STNGUIDE' ENTERED AT 09:08:27 ON 01 MAY 2012
CHARGED TO COST=TC1600
    FILE 'REGISTRY' ENTERED AT 09:08:58 ON 01 MAY 2012
CHARGED TO COST=TC1600
L54 961 SEA SPE=ON ABB=ON PLU=ON L42 AND (MEDLINE OR BIOSIS OR
                        EMBASE OR CABA OR BIOTECHNO OR DRUGU OR VETU OR TOXCENTER OR
                        NAPRALERT) /LC
    FILE 'MEDLINE, BIOSIS, EMBASE, CABA, BIOTECHNO, DRUGU, VETU, TOXCENTER,
    NAPRALERT' ENTERED AT 09:09:45 ON 01 MAY 2012
CHARGED TO COST=TC1600
L55 859 SEA SPE=ON ABB=ON PLU=ON L54
L56 10 SEA SPE=ON ABB=ON PLU=ON L55 AND (L24 OR L25 OR L26 OR L27
                        OR L28 OR L29 OR L30)
    FILE 'STNGUIDE' ENTERED AT 09:10:15 ON 01 MAY 2012
CHARGED TO COST=TC1600
                            D QUE STAT L14
                            D QUE STAT L19
        D QUE STAT L22
        D QUE STAT L23
        D QUE STAT L41
        D QUE STAT L42
        D QUE NOS L49
    FILE 'HCAPLUS' ENTERED AT 09:12:53 ON 01 MAY 2012
```


## 13/308,658

```
CHARGED TO COST=TC1600
    SAVE TEMP L49 POL658MAINB/A
    FILE 'STNGUIDE' ENTERED AT 09:13:17 ON 01 MAY 2012
CHARGED TO COST=TC1600
    FILE 'EMBASE, TOXCENTER' ENTERED AT 09:14:16 ON 01 MAY 2012
CHARGED TO COST=TC1600
    FILE 'STNGUIDE' ENTERED AT 09:14:23 ON 01 MAY 2012
CHARGED TO COST=TC1600
    FILE 'HCAPLUS' ENTERED AT 09:14:48 ON 01 MAY 2012
CHARGED TO COST=TC1600
                            D L49 IBIB ED ABS HITSTR 1-30
    FILE 'STNGUIDE' ENTERED AT 09:14:51 ON 01 MAY 2012
CHARGED TO COST=TC1600
    FILE 'HCAPLUS' ENTERED AT 09:15:22 ON 01 MAY 2012
CHARGED TO COST=TC1600
                            D L49 IBIB ED ABS HITSTR 31-60
    FILE 'STNGUIDE' ENTERED AT 09:15:25 ON 01 MAY 2012
CHARGED TO COST=TC1600
    FILE 'HCAPLUS' ENTERED AT 09:15:39 ON 01 MAY 2012
CHARGED TO COST=TC1600
    D L49 IBIB ED ABS HITSTR 61-87
    FILE 'STNGUIDE' ENTERED AT 09:15:51 ON 01 MAY 2012
CHARGED TO COST=TC1600
    D QUE NOS L47
    D QUE NOS L56
    FILE 'HCAPLUS, EMBASE, TOXCENTER' ENTERED AT 09:18:09 ON 01 MAY 2012
CHARGED TO COST=TC1600
L57 16 DUP REM L47 L56 (9 DUPLICATES REMOVED)
                        ANSWERS '1-15' FROM FILE HCAPLUS
                                ANSWER '16' FROM FILE EMBASE
            SAVE TEMP L57 POL658INV/A
    FILE 'STNGUIDE' ENTERED AT 09:18:22 ON 01 MAY 2012
CHARGED TO COST=TC1600
    FILE 'HCAPLUS, EMBASE' ENTERED AT 09:18:58 ON 01 MAY 2012
CHARGED TO COST=TC1600
            D IBIB ED ABS HITSTR 1-15
    FILE 'STNGUIDE' ENTERED AT 09:19:17 ON 01 MAY 2012
CHARGED TO COST=TC1600
    FILE 'HCAPLUS, EMBASE' ENTERED AT 09:19:32 ON 01 MAY 2012
CHARGED TO COST=TC1600
```

D IBIB ED ABS IND 16

FILE 'STNGUIDE' ENTERED AT 09:19:33 ON 01 MAY 2012
CHARGED TO COST=TC1600

FILE 'STNGUIDE' ENTERED AT 09:19:55 ON 01 MAY 2012
CHARGED TO COST=TC1600

FILE HOME

FILE ZCAPLUS

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FILE COVERS 1907 - 1 May 2012 VOL 156 ISS 19
FILE LAST UPDATED: 30 Apr 2012 (20120430/ED)
REVISED CLASS FIELDS (/NCL) LAST RELOADED: Dec 2011
USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: DEc 2011

ZCAplus now includes complete International Patent Classification (IPC) reclassification data for the fourth quarter of 2011.

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FILE COVERS 1907 - 1 May 2012 VOL 156 ISS 19
FILE LAST UPDATED: 30 Apr 2012 (20120430/ED)
REVISED CLASS FIELDS (/NCL) LAST RELOADED: Dec 2011
USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: DEC 2011

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http://www.cas.org/support/stngen/stndoc/properties.html
FILE LREGISTRY
LREGISTRY IS A STATIC LEARNING FILE
CAS Information Use Policies apply and are available at:
http://www.cas.org/legal/infopolicy.html
FILE STNGUIDE
FILE CONTAINS CURRENT INFORMATION.
LAST RELOADED: Apr 27, 2012 (20120427/UP).
FILE MEDLINE
FILE LAST UPDATED: 28 Apr 2012 (20120428/UP). FILE COVERS 1946 TO DATE.
MEDLINE (R) is a registered trademark of the U.S. National Library of Medicine (NLM).

MEDLINE and LMEDLINE have been updated with the 2012 Medical Subject Headings (MeSH) vocabulary and tree numbers from the U.S. National Libra of Medicine (NLM). Additional information is available at:
http://www.nlm.nih.gov/pubs/techbull/nd1]/ndil meditne data changes 2012 .

```
    The 2012 Medline reload was completed on January 29, 2012.
    See HELP RLOAD for details.
    Get the Content You Need Sooner with ePub Ahead of Print Records
    Available in MEDLINE on STN! See NEWS for details.
    This file contains CAS Registry Numbers for easy and accurate
    substance identification.
    See HELP RANGE before carrying out any RANGE search.
FILE BIOSIS
FILE COVERS 1926 TO DATE.
CAS REGISTRY NUMBERS AND CHEMICAL NAMES (CNs) PRESENT
FROM JANUARY 1926 TO DATE.
RECORDS LAST ADDED: 26 April 2012 (20120426/ED)
BIOSIS has been augmented with 1.8 million archival records from 1926
through 1968. These records have been re-indexed to match current
BIOSIS indexing.
FILE EMBASE
    FILE COVERAGE: EMBASE-originated material 1947 to 30 Apr 2012 (20120430/E
                    Unique MEDLINE content 1948 to present
    EMBASE is now updated daily. SDI frequency remains weekly (default)
    and biweekly.
    This file contains CAS Registry Numbers for easy and accurate
    substance identification.
    For further assistance, please contact your local helpdesk.
FILE CABA
FILE LAST UPDATED: 25 APR 2012 <20120425/UP>
FILE COVERS 1973 TO DATE
<< SIMULTANEOUS LEFT AND RIGHT TRUNCATION IS AVAILABLE IN
        THE BASIC INDEX (/BI), ABSTRACT (/AB), AND TITLE (/TI) FIELDS >>>
FILE BIOTECHNO
FILE LAST UPDATED: 7 JAN 2004 <20040107/UP>
FILE COVERS 1980 TO 2003.
THIS FILE IS A STATIC FILE WITH NO UPDATES
>>> SIMULTANEOUS LEFT AND RIGHT TRUNCATION AVAILABLE IN
        /CT AND BASIC INDEX <<<
FILE DRUGU
```

```
FILE LAST UPDATED: 30 APR 2012 <20120430/UP>
>>> DERWENT DRUG FILE (SUBSCRIBER) <<<
>>> FILE COVERS 1983 TO DATE <<<
>>> SIMULTANEOUS LEFT AND RIGHT TRUNCATION HAS BEEN ADDED TO
    THE BASIC INDEX (/BI) FIELD <<<
FILE VETU
FILE LAST UPDATED: 2 JAN 2002 <20020102/UP>
FILE COVERS 1983-2001
FILE TOXCENTER
FILE COVERS 1907 TO 1 May 2012 (20120501/ED)
MEDLINE was last reloaded on January 29, 2011.
See HELP RLOAD for details.
This file contains CAS Registry Numbers for easy and accurate substance
identification.
FILE NAPRALERT
On March 30, the NAPRALERT database was updated with additional
content indexed between 2006 and 2011.
FILE COVERS 1650 TO 2011
This file contains CAS Registry Numbers for easy and accurate
substance identification.
=>
```

```
Connecting via Winsock to STN at stnc.cas.org on port 23
```




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```
*** YOU HAVE NEW MAIL ***
```

=> s saxagliptin/cn
L1 1 SAXAGLIPTIN/CN
$\Rightarrow$ d 11
L1 ANSWER 1 OF 1 REGISTRY COPYRIGHT 2012 ACS on STN
RN 361442-04-8 REGISTRY
ED Entered STN: 11 Oct 2001
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
( $1 \mathrm{~S}, 3 \mathrm{~S}, 5 \mathrm{~S}$ ) - (CA INDEX NAME)
OTHER CA INDEX NAMES:
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S, 3S,5S)-
(9CI)
OTHER NAMES:
CN BMS 477118
CN BMS 477118-11
CN Onglyza
CN Saxagisptin
FS STEREOSEARCH
DR 1339955-48-4
MF C18 H25 N3 O2
CI COM
SR CA
LC STN Files: ADISINSIGHT, ANABSTR, CA, CAPLUS, CASREACT, CBNB, CHEMCATS,
CHEMLIST, DDFU, DRUGU, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK*,
PATDPASPC, PS, RTECS*, TOXCENTER, USAN, USPAT2, USPATFULL
(*File contains numerically searchable property data)
Absolute stereochemistry.


```
**PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT**
    3 1 3 ~ R E F E R E N C E S ~ I N ~ F I L E ~ C A ~ ( 1 9 0 7 ~ T O ~ D A T E )
    4 \text { REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA}
    330 REFERENCES IN FILE CAPLUS (1907 TO DATE)
=> s saxagliptin
L2 5 SAXAGLIPTIN
=> d l2 1-
YOU HAVE REQUESTED DATA FROM 5 ANSWERS - CONTINUE? Y/(N):Y
L2 ANSWER 1 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN
RN 945667-22-1 REGISTRY
ED Entered STN: 28 Aug 2007
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, hydrate
    (1:1), (1S,3S,5S)- (CA INDEX NAME)
OTHER NAMES:
CN Samagliptin hycrace
FS STEREOSEARCH
MF C18 H25 N3 O2 . H2 O
SR CAS Client Services
LC STN Files: ADISINSIGHT, CA, CAPLUS, CASREACT, CHEMCATS, EMBASE,
        IMSPATENTS, IMSRESEARCH, IPA, MRCK*, TOXCENTER, USAN, USPAT2, USPATFULL
            (*File contains numerically searchable property data)
CRN (361442-04-8)
```

Absolute stereochemistry.


- H 2 O

[^1]```
3 REFERENCES IN FILE CA (1907 TO DATE)
3 REFERENCES IN FILE CAPLUS (1907 TO DATE)
L2 ANSWER 2 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN
RN 841302-24-7 REGISTRY
ED Entered STN: 03 Mar 2005
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    (1S,3S,5S)- (CA INDEX NAME)
OTHER CA INDEX NAMES:
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-amino(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    (1S,3S,5S)- (9CI)
OTHER NAMES:
CN S-Rydxoxy saxaginpeta
CN BMS 510849
CN Me saxagajptin mycroxylateco metabolnte
FS STEREOSEARCH
MF C18 H25 N3 O3
CI COM
SR CA
LC STN Files: CA, CAPLUS, CASREACT, CHEMCATS, TOXCENTER, USPAT2, USPATFULL
```

Absolute stereochemistry.


```
**PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT**
    6 REFERENCES IN FILE CA (1907 TO DATE)
    7 REFERENCES IN FILE CAPLUS (1907 TO DATE)
L2 ANSWER 3 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN
RN 709031-78-7 REGISTRY
ED Entered STN: 13 Jul 2004
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    hydrochloride (1:1), (1S,3S,5S)- (CA INDEX NAME)
OTHER CA INDEX NAMES:
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    monohydrochloride, (1S,3S,5S)- (9CI)
OTHER NAMES:
CN Saxaghiptin hycrochnowide
FS STEREOSEARCH
MF C18 H25 N3 O2 . Cl H
SR CA
```

```
LC STN Files: CA, CAPLUS, CASREACT, CHEMCATS, PATDPASPC, TOXCENTER, USPAT2,
        USPATFULL
CRN (361442-04-8)
```

Absolute stereochemistry.


OHCl

```
            12 REFERENCES IN FILE CA (1907 TO DATE)
            12 REFERENCES IN FILE CAPLUS (1907 TO DATE)
L2 ANSWER 4 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN
RN 709031-43-6 REGISTRY
ED Entered STN: 13 Jul 2004
CN Carbamic acid, N-[(1S)-2-[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]-
    1-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-2-oxoethyl]-, 1,1-dimethylethyl
    ester (CA INDEX NAME)
OTHER CA INDEX NAMES:
CN Carbamic acid, [(1S)-2-[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]-1-
    (3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-2-oxoethyl]-, 1,1-dimethylethyl
    ester (9CI)
OTHER NAMES:
CN Boc-sexagliptxa
FS STEREOSEARCH
MF C23 H33 N3 O4
CI COM
SR CA
LC STN Files: CA, CAPLUS, CASREACT, CHEMCATS, CHEMLIST, TOXCENTER, USPAT2,
        USPATFULL
```

Absolute stereochemistry.


```
**PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT**
14 REFERENCES IN FILE CA (1907 TO DATE)
14 REFERENCES IN FILE CAPLUS (1907 TO DATE)
L2 ANSWER 5 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN
RN 361442-04-8 REGISTRY
ED Entered STN: 11 Oct 2001
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
        (1S,3S,5S)- (CA INDEX NAME)
OTHER CA INDEX NAMES:
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
        2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-
        (9CI)
OTHER NAMES:
CN BMS 477118
CN BMS 477118-11
CN Onglyza
CN Saxagliptin
FS STEREOSEARCH
DR 1339955-48-4
MF C18 H25 N3 O2
CI COM
SR CA
LC STN Files: ADISINSIGHT, ANABSTR, CA, CAPLUS, CASREACT, CBNB, CHEMCATS,
        CHEMLIST, DDFU, DRUGU, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK*,
        PATDPASPC, PS, RTECS*, TOXCENTER, USAN, USPAT2, USPATFULL
                    (*File contains numerically searchable property data)
Absolute stereochemistry.
```



```
**PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT**
    3 1 3 ~ R E F E R E N C E S ~ I N ~ F I L E ~ C A ~ ( 1 9 0 7 ~ T O ~ D A T E ) ~
    4 \text { REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA}
330 REFERENCES IN FILE CAPLUS (1907 TO DATE)
```

=> display set notice

```
SET PARAMETER CURRENT PERMANENT LOGIN DEFAULT
------------- ------- --------- ------ --------
NOTICE (USD)
    DISPLAY 'OFF' 'OFF' '100'
    SEARCH '1000' '1000' '1000'
=> FILE REG
FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012
USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.
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DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4
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TSCA INFORMATION NOW CURRENT THROUGH DECEMBER 23, 2011
    Please note that search-term pricing does apply when
    conducting SmartSELECT searches.
REGISTRY includes numerically searchable data for experimental and
predicted properties as well as tags indicating availability of
experimental property data in the original document. For information
on property searching in REGISTRY, refer to:
http://WwW.cas.org/support/stngen/stndoc/properties.html
=> STR 361442-04-8
:END
L3 STRUCTURE CREATED
=> S L3 FAM SAM
SAMPLE SEARCH INITIATED 15:46:20 FILE 'REGISTRY'
SAMPLE SCREEN SEARCH COMPLETED - 12 TO ITERATE
100.0% PROCESSED 12 ITERATIONS 2 ANSWERS
SEARCH TIME: 00.00.01
\begin{tabular}{llll} 
FULL FILE & PROJECTIONS: & \begin{tabular}{l} 
ONLINE \\
BATCH
\end{tabular} & \begin{tabular}{l}
\(* *\) COMPLETE** \\
\\
\\
\\
**COMPLETE**
\end{tabular} \\
PROJECTED ITERATIONS: & & 33 TO & 447 \\
PROJECTED ANSWERS: & & 2 TO & 124
\end{tabular}
```

```
L4
    2 SEA FAM SAM L3
=>
=> D SCAN
```

L4 2 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN
IN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-, (2Z)-2-butenedioate (1:1)
MF
C18 H25 N3 O2 . C4 H4 O4
CM 1

Absolute stereochemistry.


CM 2
Double bond geometry as shown.


HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1
L4 2 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN
IN 2-Azabicyclo[3.1.0]hexane-1,5-d2-3-carbonitrile, 2-[(2S)-2-amino-2-(7-hydroxytricyclo[3.3.1.13,7]dec-1-yl-$2,2,3,4,4,5,6,6,8,8,9,9,10,10-\mathrm{d} 14)$ acetyl]-, (1s,35,5s)C18 H9 D16 N3 O2

Absolute stereochemistry.


ALL ANSWERS HAVE BEEN SCANNED

```
=> fil REGISTRY
```

FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012
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Property values tagged with IC are from the ZIC/VINITI data file
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STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4
DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4
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TSCA INFORMATION NOW CURRENT THROUGH DECEMBER 23, 2011

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Please note that search-term pricing does apply when
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conducting SmartSELECT searches.

REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For information on property searching in REGISTRY, refer to:

## http://www.cas.org/support/stngen/stndoc/properties,html

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*** YOU HAVE NEW MAIL ***
# d l4 2
L4 ANSWER 2 OF 2 REGISTRY COPYRIGHT 2012 ACS on STN
RN 1227074-04-5 REGISTRY
ED Entered STN: 07 Jun 2010
CN 2-Azabicyclo[3.1.0]hexane-1,5-d2-3-carbonitrile,
    2-[(2S)-2-amino-2-(7-hydroxytricyclo[3.3.1.13,7]dec-1-yl-
    2,2,3,4,4,5,6,6,8,8,9,9,10,10-d14)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)
FS STEREOSEARCH
MF C18 H9 D16 N3 O2
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```
SR CA
LC STN Files: CA, CAPLUS, TOXCENTER, USPATFULL
Absolute stereochemistry.
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1 REFERENCES IN FILE CA (1907 TO DATE)
1 REFERENCES IN FILE CAPLUS (1907 TO DATE)
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=> d hist
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=> d hist
(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)
FILE 'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012
L1
5 S SAXAGLIPTIN
FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012
STR 361442-04-8
2 S L3 FAM SAM
FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012
=> s 361442-04-8/crn
L5 48 361442-04-8/CRN
=> d scan
L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN
IN Imidodicarbonimidic diamide, N,N-dimethyl-, mixt. with
(1S,3S,5S)-2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-
yl)acetyl]-2-azabicyclo[3.1.0]hexane-3-carbonitrile
MF C18 H25 N3 O2 . C4 H11 N5
CI MXS
CM 1
Absolute stereochemistry.

```


CM 2


HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1
L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN
IN Sulfuric acid diammonium salt, compd. with (1S, 3S, 5S) -2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1yl) acetyl]-2-azabicyclo[3.1.0]hexane-3-carbonitrile (1:1)
MF C18 H25 N3 O2 . 2 H 3 N . H2 O4 S
CM 1

Absolute stereochemistry.


CM 2


HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1
L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN
```

IN Butanedioic acid, compd. with (1S,3S,5S)-2-[(2S)-2-amino-2-(3-
hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-2-azabicyclo[3.1.0]hexane-3-
carbonitrile (1:1)
MF
C18 H25 N3 O2 . C4 H6 O4
CM 1

```

Absolute stereochemistry.


CM 2
\(\mathrm{HO}_{2} \mathrm{C}-\mathrm{CH}_{2}-\mathrm{CH}_{2}-\mathrm{CO}_{2} \mathrm{H}\)

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1
L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN
IN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13.7]dec-1-yl)acetyl]-, hydrate (2:1), (1S,3S,5S)-
MF C18 H25 N3 O2 . 1/2 H2 O
Absolute stereochemistry.


1/2 H2O

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):0
\(\Rightarrow\) d hist
(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)
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    S S SAXAGLIPTIN
    FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012
                STR 361442-04-8
            2 S L3 FAM SAM
    FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012
48 S 361442-04-8/CRN
=> fil caplus uspatful
FILE 'CAPLUS' ENTERED AT 15:50:20 ON 30 APR 2012
USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.
PLEASE SEE "HELP USAGETERMS" FOR DETAILS.
COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)
FILE 'USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012
CA INDEXING COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)
=> s l1
46 480 L1
=> s l1<chem>
Smartserzex TNYmTATEO
NEw TRANSFER and ANALYZE Commands Now Available
See HELP TRANSFER and HELP ANALYZE for Details
FILE 'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012
USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.
PLEASE SEE "HELP USAGETERMS" FOR DETAILS.
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*** YOU HAVE NEW MAIL ***
SET SMARTSELECT ON
SET COMMAND COMPLETED
SEL L1 1- CHEM
L7 SEL L1 1- CHEM : 6 TERMS
SET SMARTSELECT OFF
SET COMMAND COMPLETED
FILE 'CAPLUS' ENTERED AT 15:50:53 ON 30 APR 2012
USE IS SUBUECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.
PLEASE SEE "HELP USAGETERMS" FOR DETAILS.
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FILE 'USPATFULL' ENTERED AT 15:50:53 ON 30 APR 2012
CA INDEXING COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)
S L7
L8 1242 L7
=> dup remove l6
PROCESSING COMPLETED FOR L6
L9 454 DUP REMOVE L6 (26 DUPLICATES REMOVED)
=> dup remove l8
PROCESSING COMPLETED FOR L8
L10 1218 DUP REMOVE L8 (24 DUPLICATES REMOVED)
=> s 19 or 110
L11 }1228 L9 OR L1
=> s l11 and PD<20000309
L12 0 L11 AND PD<20000309
=> S l11 and AD<20000309
L13 0 L11 AND AD<20000309
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L19 1071 L11 AND AY>2000
=> s 111 and PRD<20020312
L20 1 L11 AND PRD<20020312
=> D IBIB ABS L20
L20 ANSWER 1 OF 1 USPATFULL on STN
ACCESSION NUMBER: 2009:320331 USPATFULL Full-text
TITLE:
INVENTOR(S):
Amide Compounds
Kitamura, Shuji, Osaka, JAPAN
Aicher, Thomas Daniel, Superior, CO, UNITED STATES
Gonzales, Steve, Media, PA, UNITED STATES
Le Huerou, Yvan, Boulder, CO, UNITED STATES
Pratt, Scott Alan, Longmont, CO, UNITED STATES
Turner, Tim, Longmont, CO, UNITED STATES
Nakada, Yoshihisa, Osaka, JAPAN

```

    \#\#STR1\#\#
    the formula (Ib):
    \#\#STR2\#\#
the formula (IC):
\#\#STR3\#\#
and the formula (Id):
\#\#STR4\#\#
wherein each symbol is as defined in the specification.
According to the present invention, these compounds have a DGAT inhibitory
activity and are useful for the prophylaxis, treatment or improvement of diseases
or pathologies caused by high expression or high activation of DGAT.
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
```

=> s l11 and ROBL/IN
L21 0 L11 AND ROBL/IN
=> s l11 and (ROBL JEFFREY A/IN)
L22 3 L11 AND (ROBL JEFFREY A/IN)
=> D TI L22 1-
YOU HAVE REQUESTED DATA FROM 3 ANSWERS - CONTINUE? Y/(N):Y
L22 ANSWER 1 OF 3 USPATFULL on STN
TI HYDROXY SUBSTITUTED THIENO PYRIMIDINONES AS MELANIN CONCENTRATING
HORMONE RECEPTOR-1 ANTAGONISTS
L22 ANSWER 2 OF 3 USPATFULL on STN
TI HMG-CoA reductase inhibitors
L22 ANSWER 3 OF 3 USPATFULL on STN
TI HMG-CoA reductase inhibitors and method
=> D IBIB L22 1-
YOU HAVE REQUESTED DATA FROM 3 ANSWERS - CONTINUE? Y/(N):Y
L22 ANSWER 1 OF 3 USPATFULL on STN
ACCESSION NUMBER: 2009:333876 USPATFULL Full-text
TITLE: HYDROXY SUBSTITUTED THIENO PYRIMIDINONES AS MELANIN
CONCENTRATING HORMONE RECEPTOR-1 ANTAGONISTS
INVENTOR(S): Washburn, William N., Titusville, NJ, UNITED STATES
Ahmad, Saleem, Wall, NJ, UNITED STATES
Devasthale, Pratik, Plainsboro, NJ, UNITED STATES
Robl, Yesemey A., Newtown, PA, UNITED STATES
Goswami, Animesh, Plainsboro, NJ, UNITED STATES
Guo, Zhiwei, Franklin Park, NJ, UNITED STATES
Patel, Ramesh N., Bridgewater, NJ, UNITED STATES
PATENT ASSIGNEE(S): Bristol-Myers Squibb Company (U.S. corporation)

|  | NUMBER | KIND | DATE |
| :--- | :--- | :--- | :---: | :---: |
| PATENT INFORMATION: | US 20090298794 | A1 | 20091203 |
|  | US 7989433 | B2 | 20110802 |
| APPLICATION INFO.: | US $2009-473346$ | A1 | 20090528 |(12)

                                    DATE
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    PRIORITY INFORMATION: US 2008-56949P 20080529 (61)
DOCUMENT TYPE: Utility
FILE SEGMENT: APPLICATION
LEGAL REPRESENTATIVE: LOUIS J. WILLE, BRISTOL-MYERS SQUIBB COMPANY, PATENT
DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000, US
23
NUMBER OF CLAIMS:
EXEMPLARY CLAIM: 1
LINE COUNT: 2167
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
L22 ANSWER 2 OF 3 USPATFULL on STN

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L23 ANSWER 1 OF 5 CAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 2004:515478 CAPLUS Full-text
DOCUMENT NUMBER: 141:54618
TITLE: Preparation of cyclopropyl-fused pyrrolidine-based
inhibitors of dipeptidyl peptidase IV
INVENTOR(S):
PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA
SOURCE:
DOCUMENT TYPE:
LANGUAGE:
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:

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LINE COUNT: 2619
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
L23 ANSWER 3 OF 5 USPATFULL on STN
ACCESSION NUMBER: 2009:320331 USPATFULL Full-text
TITLE:
INVENTOR(S): Kitamura, Shuji, Osaka, JAPAN
Aicher, Thomas Daniel, Superior, CO, UNITED STATES
Gonzales, Steve, Media, PA, UNITED STATES
Le Huerou, Yvan, Boulder, CO, UNITED STATES
Pratt, Scott Alan, Longmont, CO, UNITED STATES
Turner, Tim, Longmont, CO, UNITED STATES
Nakada, Yoshihisa, Osaka, JAPAN
PATENT ASSIGNEE(S): TAKEDA PHARMACEUTICAL COMPANY LIMITED, OSAKA, JAPAN
(non-U.S. corporation)
NUMBER KIND DATE
PATENT INFORMATION: US 20090286791 A1 20091119
APPLICATION INFO.: US 2007-309493 A1 20070720
WO 2007-US16425 20070720
20090414 PCT 371 date
NUMBER DATE

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L23 ANSWER 4 OF 5 USPATFULL on STN
ACCESSION NUMBER: 2009:19680 USPATFULL FuII-text
TITLE:
INVENTOR(S):
PATENT ASSIGNEE(S): BRISTOL-MYERS SQUIBB COMPANY, Princeton, NJ, UNITED

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EXEMPLARY CLAIM:
1
LINE COUNT:
2603
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

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name for an L\# list must end with '/L'. SDI request names must end
with '/S'. To see a list of all saved query, answer set, and L\# list
names for this loginid, enter "DISPLAY SAVED" at an arrow
prompt (=>). Enter "DISPLAY SAVED/S" to see a list of SDI request
names. Enter "DISPLAY SAVED/B" to see a list of BATCH search
requests.
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L24 2 US6395767/PN
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L25 2 DUP REMOV L24 ( 0 DUPLICATES REMOVED)
=> D IBIB L24 1-
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L24 ANSWER 1 OF 2 CAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 2001:693281 CAPLUS Fuli-text
DOCUMENT NUMBER: 135:257147
TITLE: Preparation of fused cyclopropylpyrrolidine-based
    inhibitors of dipeptidyl peptidase IV
INVENTOR (S) :
    Robl, Jeffrey A.; Sulsky, Richard B.; Augeri, David
    J.; Magnin, David R.; Hamann, Lawrence G.; Betebenner,
    David A.
PATENT ASSIGNEE(S): Bristol-Myers Squibb Co., USA
SOURCE: PCT Int. Appl., 135 pp .
    CODEN: PIXXD2
DOCUMENT TYPE: Patent
LANGUAGE: English
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:

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    CA 2402894 A1 20010920
    CA 2402894 C 20120417
    AU 2001045466
    EP 1261586 A2 20021204
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            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
    JP 2003531118 T 20031021 JP 2001-567699 20010305
    JP 4460205 B2 20100512
    HU 2003002792 A2 20031229
    HU 2003002792 A3 20070328
    BR 2001009115 A 20031230 BR 2001-9115 20010305
    NZ 520821 A 20041126 NZ 2001-520821 20010305
    AU 2001245466 B2 20050512 AU 2001-245466 20010305
    CN 1213028 C 20050803 CN 2001-806315 20010305
    EP 1559710 A2 20050803 EP 2005-5368 20010305
    EP 1559710 A3 20090722
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    CN 1698601 A 20051123 CN 2005-10078518 20010305
    TW 258468 B 20060721 TW 2001-104965 20010305
    RU 2286986 C2 20061110 RU 2002-125491 20010305
    AT 396176 T 20080615 AT 2001-918383 20010305
    PT 1261586 E 20080804 PT 2001-918383 20010305
    ES 2305062 T3 20081101 ES 2001-918383 20010305
    SG 152030 A1 20090529 SG 2004-5783 20010305
    IL 151372 A 20091224 IL 2001-151372 20010305
    IL 177018 A 20100328 IL 2001-177018 20010305
    PL 207041 B1 20101029 PL 2001-365520 20010305
    EP 2272825 A2 20110112 EP 2010-178907 20010305
    EP 2272825 A3 20110504
    R: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LI, LU, MC,
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    IN 2002MNO1154 A 20050304 IN 2002-MN1154 20020823
    ZA 2002006816 A 20031126 ZA 2002-6816 20020826
    NO 2002004295 A 20021106 NO 2002-4295 20020909
    NO 324227 B1 20070910
    KR 754089 B1 20070831
    MX 2002008837
    HK 1049330
    KR 758407 Bl 20070914 KR 2006-7004515 20060303
IN 2007MN00184 A 20080215 IN 2007-MN184 20070205
JP 2010077163 A 20100408 JP 2010-6181 20100114
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A 20030425
PRIORITY APPLN. INFO.:

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1242 S L7
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5 S L11 AND PRD<20030101
2 S US6395767/PN
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=> S L11 AND L25
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=> S 361442-04-8/RN
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=> S L32 AND PRD=20000310
L37 2 L32 AND PRD=20000310

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=> D L37 IBIB ABS 1-
YOU HAVE REQUESTED DATA FROM 2 ANSWERS - CONTINUE? Y/(N):Y
L37 ANSWER 1 OF 2 CAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 2001:693281 CAPLUS Full-text
DOCUMENT NUMBER: 135:257147
TITLE: Preparation of fused cyclopropylpyrrolidine-based
inhibitors of dipeptidyl peptidase IV
INVENTOR (S) :

PATENT ASSIGNEE (S): Bristol-Myers Squibb Co., USA
SOURCE:
DOCUMENT TYPE:
LANGUAGE:
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:

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NL, PT, SE,
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\hline IN 2002MN01154 & A & 20050304 & IN 2002-MN1154 & & 20020823 & <-- \\
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\hline NO 324227 & B1 & 20070910 & & & & \\
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\hline HK 1049330 & A1 & 20081114 & HK 2003-101079 & & 20030214 & <-- \\
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\hline IN 2007MN00184 & A & 20080215 & IN 2007-MN184 & & 20070205 & - \\
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\hline & & & KR 2002-7011806 & A3 & 20020909 & \\
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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE (S): MARPAT 135:257147
GI


II


AB
Dipeptidyl peptidase \(I V\) inhibiting compds. \(I \quad(x=0\) or 1 and \(y=0\) or 1 provided that \(x=1\) when \(y=0\) and \(x=0\) when \(y=1 ; n=0,1 ; X=H, C N ; R 1, R 2\), R3 and R4 = same or different and independently selected from H, (un) substituted chain or cyclic components) and the pharmaceutically acceptable salts or prodrugs (no data) were prepared Thus L-pyroglutamic acid Et ester was protected, cyclopropanated and reacted further with (S)-N-BOC-isoleucine providing an
intermediate II which reacted further to yield the fused cyclopropylpyrrolidine III in \(57 \%\) yield. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases by employing a title DP 4 inhibitor or a combination of DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.
OS.CITING REF COUNT: 35 THERE ARE 35 CAPLUS RECORDS THAT CITE THIS RECORD ( 60 CITINGS)
REFERENCE COUNT:
11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
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L37 ANSWER 2 OF 2 USPATFULL on STN
ACCESSION NUMBER: 2002:32589 USPATFULL Eul1-text
TITLE: Cyclopropyl-fused pyrrolidine-based inhibitors of
dipeptidyl peptidase IV and method
Robi, JeEFrey A., Newtown, PA, UNITED STATES
Sulsky, Richard B., West Trenton, NJ, UNITED STATES
Augeri, David J., Princeton, NJ, UNITED STATES
Magnin, David R., Hamilton, NJ, UNITED STATES
Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES
Betebenner, David A., Lawrenceville, NJ, UNITED STATES

|  | NUMBER | KIND | DATE |
| :--- | :--- | :--- | :---: | :---: |
| PATENT INFORMATION: | US 20020019411 | A1 | 20020214 |
|  | US 6395767 | B2 | 20020528 |
| APPLICATION INFO.: | US $2001-788173$ | A1 | 20010216 |


|  | NUMBER | DATE |  |
| :---: | :---: | :---: | :---: |
| PRIORITY INFORMATION: | US 2000-188555P | 20000310 (60) | <- |
| DOCUMENT TYPE: | Utility |  |  |
| FILE SEGMENT: | APPLICATION |  |  |
| LEGAL REPRESENTATIVE: | MARLA J MATHIAS, BRISTOL-MYERS SQUIBB COMPANY, PATENT DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000 |  |  |
| NUMBER OF CLAIMS: | 24 |  |  |
| EXEMPLARY CLAIM: | 1 |  |  |
| LINE COUNT: | 2767 |  |  |
| CAS INDEXING IS AVAILA | E FOR THIS PATEN |  |  |
| AB Dipeptidyl pep <br> formula \#\#ST | dase IV (DP 4) i | g compounds a | ided havi |

```
where \(x\) is 0 or 1 and \(y\) is 0 or 1 (provided that \(x=1\) when \(y=0\) and \(x=0\) when \(y=1\) );
n is 0 or 1; X is H or CN ;
and wherein R.sup.1, R.sup.2, R.sup. 3 and R.sup. 4 are as described herein.

A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases as set out herein, employing such DP 4 inhibitor or a combination of such DP 4 inhibitor and one or more of another
antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.
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=> S L37 AND 361442-04-8/RN
L38 0 L37 AND 361442-04-8/RN
=> S L37 AND 361442-04-8
L39 0 L37 AND 361442-04-8
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        1 S SAXAGLIPTIN/CN
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FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012
            STR 361442-04-8
        2 S L3 FAM SAM
FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012
        48 S 361442-04-8/CRN
FILE 'CAPLUS, USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012
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FILE 'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012
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        SEL L1 1- CHEM : 6 TERMS
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FILE 'CAPLUS, USPATFULL' ENTERED AT 15:50:53 ON 30 APR 2012
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        1218 DUP REMOVE L8 (24 DUPLICATES REMOVED)
        1228 S L9 OR L10
            0 S L11 AND PD<20000309
            0 S L11 AND AD<20000309
            0 S L11 AND AD<20000312
            0 S L11 AND AD<20010312
            0 S L11 AND AD<20020312
            0 S L11 AND AY<2002
        1071 S L11 AND AY>2002
        1071 S L11 AND AY>2000
            1 S L11 AND PRD<20020312
            0 S LII AND ROBL/IN
            3 S LII AND (ROBL JEFFREY A/IN)
            5 S L11 AND PRD<20030101
            2 S US6395767/PN
            2 DUP REMOV L24 (0 DUPLICATES REMOVED)
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L26 O S L11 AND L25
L27 472 S 361442-04-8/RN
L28 26 S L27 NOT L11
L29 O S L28 AND (ROBL JEFFREY A/IN)
L30 O S L28 AND US6395767/PN
L31 0 S L24 AND L27
L32 118 S (ROBL JEFFREY A/IN)
L33 56 S L32 AND PRD<20030101
L34 56 S L32 AND PRD<20020101
L35 48 S L32 AND PRD<20010101
L36 35 S L32 AND PRD<20000311
L37 2 S L32 AND PRD=20000310
L38 O S L37 AND 361442-04-8/RN
L39 O S L37 AND 361442-04-8
=> S L37 AND L5
L40 2 L37 AND L5
=> DUP REMOV L40
PROCESSING COMPLETED FOR L40
L41 2 DUP REMOV L40 (0 DUPLICATES REMOVED)
=> D IBIB ABS HITSTR
L41 ANSWER 1 OF 2 USPATFULL on STN
ACCESSION NUMBER: 2002:32589 USPATFULL Full-text
TITLE: Cyclopropyl-fused pyrrolidine-based inhibitors of
dipeptidyl peptidase IV and method
INVENTOR(S): Robl, Jewswey A., Newtown, PA, UNITED STATES
Sulsky, Richard B., West Trenton, NJ, UNITED STATES
Augeri, David J., Princeton, NJ, UNITED STATES
Magnin, David R., Hamilton, NJ, UNITED STATES
Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES
Betebenner, David A., Lawrenceville, NJ, UNITED STATES
NUMBER
(9)
NUMBER DATE
PRIORITY INFORMATION: US 2000-188555P 20000310 (60) <--
DOCUMENT TYPE: Utility
FILE SEGMENT: APPLICATION
LEGAL REPRESENTATIVE: MARLA J MATHIAS, BRISTOL-MYERS SQUIBB COMPANY, PATENT
DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000
NUMBER OF CLAIMS: 24
EXEMPLARY CLAIM: 1
LINE COUNT: 2767
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
AB Dipeptidyl peptidase IV (DP 4) inhibiting compounds are provided having the
formula \#\#STR1\#\#

```
where \(x\) is 0 or 1 and \(y\) is 0 or 1 (provided that \(x=1\) when \(y=0\) and \(x=0\) when \(y=1\) );
n is 0 or 1; X is H or CN ;
and wherein R.sup.1, R.sup.2, R.sup. 3 and R.sup. 4 are as described herein.

A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases as set out herein, employing such DP 4 inhibitor or a combination of such DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.
```

CAS INDEXING IS AVAILABLE FOR THIS PATENT.
IT 36\442-65-5%
(preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl
peptidase IV)
RN 361442-05-9 USPATFULL
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

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        CM 1
        CRN 361442-04-8
        CMF C18 H25 N3 O2
            Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2

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=> S L37 AND 361442-04-8/CRN
'CRN' IS NOT A VALID FIELD CODE
'CRN' IS NOT A VALID FIELD CODE
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=> S L37 AND "361442-04-8"
L43 0 L37 AND "361442-04-8"
=> FIL REG
FILE 'REGISTRY' ENTERED AT 16:39:15 ON 30 APR 2012
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STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4
DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4
CAS Information Use Policies apply and are available at:
http://www.cas.org/legal/infooolicy.html

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TSCA INFORMATION NOW CURRENT THROUGH DECEMBER 23, 2011
    Please note that search-term pricing does apply when
    conducting SmartSELECT searches.
REGISTRY includes numerically searchable data for experimental and
predicted properties as well as tags indicating availability of
experimental property data in the original document. For information
on property searching in REGISTRY, refer to:
http://www.cas.org/support/stngen/sthdoc/properties.htmI
*** YOU HAVE NEW MAIL ***
\(\Rightarrow S\) 361442-04-8/RN
L44 1 361442-04-8/RN
\(\Rightarrow D\) L44
L44 ANSWER 1 OF 1 REGISTRY COPYRIGHT 2012 ACS on STN
RN 3GLAE2w 0 - 8 REGISTRY
ED Entered STN: 11 Oct 2001
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
        (1S,3S,5S)- (CA INDEX NAME)
OTHER CA INDEX NAMES:
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S, 3S,5S)-
    (9CI)

OTHER NAMES:
CN BMS 477118
CN BMS 477118-11
CN Onglyza
CN Saxagliptin
FS STEREOSEARCH
DR 1339955-48-4
\(\mathrm{MF} \quad \mathrm{C} 18 \mathrm{H} 25 \mathrm{~N} 3 \mathrm{O} 2\)
CI COM
SR CA
LC STN Files: ADISINSIGHT, ANABSTR, CA, CAPLUS, CASREACT, CBNB, CHEMCATS, CHEMLIST, DDFU, DRUGU, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK*, PATDPASPC, PS, RTECS*, TOXCENTER, USAN, USPAT2, USPATFULL (*File contains numerically searchable property data)

Absolute stereochemistry.

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**PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT**
3 1 3 ~ R E F E R E N C E S ~ I N ~ F I L E ~ C A ~ ( 1 9 0 7 ~ T O ~ D A T E ) ~
4 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA
330 REFERENCES IN FILE CAPLUS (1907 TO DATE)
=> FIL CAPLUS USPATFUL
FILE 'CAPLUS' ENTERED AT 16:40:05 ON 30 APR 2012
USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.
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FILE 'USPATFULL' ENTERED AT 16:40:05 ON 30 APR 2012
CA INDEXING COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)
=> S L44
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L46 35 L32 AND PRD<20000311
=> S L32 AND PRD<20000310
L47 33 L32 AND PRD<20000310
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PROCESSING COMPLETED FOR L47
L48 31 DUP REMOV L47 (2 DUPLICATES REMOVED)
=> D IBIB ABS HITSTR
L48 ANSWER 1 OF 31 CAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 2008:764140 CAPLUS Full-text
DOCUMENT NUMBER: 149:96035
TITLE: Method for treating diabetes employing an aP2
inhibitor and combination
INVENTOR(S): Robl, Jesmaey A.; Parker, Rex A.; Biller, Scott A.;
Jamil, Haris; Jacobson, Bruce L.; Kodukula, Krishna
PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA; Pesident and
Fellows of Harvard College
SOURCE:
U.S., 19pp.
CODEN: USXXAM
DOCUMENT TYPE: Patent
LANGUAGE: English
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
| :---: | :---: | :---: | :---: | :---: |
| US 7390824 | B1 | 20080624 | US 1999-391053 | 19990907 |
| RITY APPLN. |  |  | US 1999-391053 | 19990907 |

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
OTHER SOURCE(S): MARPAT 149:96035
AB A method is provided for treating diabetes and related diseases, esp. Type II
diabetes, employing an aP2 inhibitor or a combination of an aP2 inhibitor and
another antidiabetic agent such as metformin, glyburide, troglitazone and/or
insulin.
REFERENCE COUNT: 27 THERE ARE 27 CITED REFERENCES AVAILABLE FOR THIS
RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
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(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)
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5 S SAXAGLIPTIN
L1
L2
FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012
STR 361442-04-8
L3
2 S L3 FAM SAM
FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012
L5
48 S 361442-04-8/CRN
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L6
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L7 SEL L1 1- CHEM : 6 TERMS

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            5 S L11 AND PRD<20030101
            2 S US6395767/PN
            2 \text { DUP REMOV L24 (0 DUPLICATES REMOVED)}
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            0 S L24 AND L27
        118 S (ROBL JEFFREY A/IN)
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            2 S L37 AND L5
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            0 S L37 AND 361442-04-8/CRN
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            1 S 361442-04-8/RN
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L54 0 L45 AND(ROBL JEFFREY A/IN)
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(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)
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1 S SAXAGLIPTIN/CN
5 S SAXAGLIPTIN
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STR 361442-04-8
2 S L3 FAM SAM
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480 S L1
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SEL L1 1- CHEM : 6 TERMS
SET SMARTSELECT OFF
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1242 S L7
4 5 4 ~ D U P ~ R E M O V E ~ L 6 ~ ( 2 6 ~ D U P L I C A T E S ~ R E M O V E D ) ~
1218 DUP REMOVE L8 (24 DUPLICATES REMOVED)
1228 S L9 OR L10
0 S L11 AND PD<20000309
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3 S L11 AND (ROBL JEFFREY A/IN)
5 S L11 AND PRD<20030101
2 S US6395767/PN
2 DUP REMOV L24 (0 DUPLICATES REMOVED)
O S L11 AND L25
472 S 361442-04-8/RN
26 S L27 NOT L11

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L29
L30
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=> F REG
L55 30092 REG
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FILE 'REGISTRY' ENTERED AT 16:46:53 ON 30 APR 2012
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http://www.cas.org/support/stngen/stndoc/properties.htm].
*** YOU HAVE NEW MAIL ***
=> S 361442-05-9/RN
L56 1 361442-05-9/RN
=> FIL CAPLUS
FILE 'CAPLUS' ENTERED AT 16:47:11 ON 30 APR 2012
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FILE COVERS 1907 - 30 Apr 2012 VOL 156 ISS 19
FILE LAST UPDATED: 29 Apr 2012 (20120429/ED)
REVISED CLASS FIELDS (/NCL) LAST RELOADED: Dec 2011
USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Dec 2011
CAplus now includes complete International Patent Classification (IPC)
reclassification data for the fourth quarter of 2011.
CAS Information Use Policies apply and are available at:
htto://www. Cas.org/legal/infopolioy.html
This file contains CAS Registry Numbers for easy and accurate
substance identification.
\(\Rightarrow\) S L56
L57 4 L56
\(\Rightarrow\) D IBIB ABS HITSTR 1-
YOU HAVE REQUESTED DATA FROM 4 ANSWERS - CONTINUE? Y/(N):Y
L57 ANSWER 1 OF 4 CAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: \(2012: 439526\) CAPLUS Fuli-text
DOCUMENT NUMBER: 156:432112
TITLE: Oral preparation of saxagliptin
INVENTOR(S):
    Lin, Fei
```

PATENT ASSIGNEE(S):
SOURCE:
DOCUMENT TYPE:
LANGUAGE:
Peop. Rep. China
Faming Zhuanli Shenqing, 18pp.
CODEN: CNXXEV
Patent
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:

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PRIORITY APPLN. INFO.:

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AB Application(for treating diabetes or the related diseases) of the invention should be covered in the abstract The title preparation contains saxagliptin \(1-40 \mathrm{mg}\) and the carrier. The title preparation contains saxagliptin hydrochloride \(0.1-50 \%\) and the carrier 50-99.9\%. The preparation method of dispersible tablet consists of pulverizing the saxagliptin hydrochloride, pulverizing the carrier, adding the loading agent, disintegrant, surfactant, flavoring, aromatic substance and colorant, mixing, adding the powder of saxagliptin hydrochloride, mixing, preparing the 2-15\% bond solution with bond and water or ethanol-water, adding the bond to make the damp mass, pelletizing, parching, adding the glidant, lubricant and disintegrant, mixing, tabletting.
IT 362442-05-9
RL: PKT (Pharmacokinetics); THU (Therapeutic use); BIOL (Biological study); USES (Uses)
(oral preparation of saxagliptin)
RN 361442-05-9 CAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-04-8
CMF C18 H25 N3 O2

Absolute stereochemistry.


CM 2

CRN 76-05-1
CMF C2 H F3 O2


L57 ANSWER 2 OF 4 CAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 2008:1300536 CAPLUS Eull-text
DOCUMENT NUMBER: 149:519052
TITLE: Preparation of crystal forms of saxagliptin
INVENTOR(S): Gougoutas, Jack Z.; Malley, Mary F.; DiMarco, John D.;
Yin, Xiaotian S.; Wei, Chenkou; Yu, Jurong; Vu, Truc
Chi; Jones, Gregory Scott; Savage, Scott A.
PATENT ASSIGNEE (S): Bristol-Myers Squibb Company, USA
SOURCE:
PCT Int. Appl., 134 pp .
CODEN: PIXXD2
DOCUMENT TYPE: Patent
LANGUAGE: English
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:
\begin{tabular}{|c|c|c|c|c|}
\hline PATENT NO. & KIND & DATE & APPLICATION NO. & DATE \\
\hline WO 2008131149 & A2 & 20081030 & WO 2008-US60711 & 20080418 \\
\hline WO 2008131149 & A3 & 20090625 & & \\
\hline
\end{tabular}

W: AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW
RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA
US 20090054303 A1 20090226 US 2008-105316 20080418
\(\begin{array}{lllll}\text { US } 7943656 & \text { B2 } & 20110517 \\ \text { AR } 66130 & \text { A1 } & 20090722 & \text { AR } 2008-101632 & 20080418\end{array}\)
EP 2137149 A2 20091230 EP 2008-746183 20080418
R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LI, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, MK, RS
JP 2010524966 T 20100722 JP 2010-504258 20080418
IN 2009DN06560 A 20100611 IN 2009-DN6560 20091014
CN 101687793 A 20100331 CN 2008-80021025 20091221
US 20110257085 A1 20111020 US 2011-81341 20110406
PRIORITY APPLN. INFO.:
US 2007-912950P P 20070420
US 2008-105316 A3 20080418
WO 2008-US60711 W 20080418
ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
\(A B \quad\) Phys. crystal structures of saxagliptin are provided including the free base monohydrate thereof (form H-1) and the hydrochloride thereof, including
hydrochlorde containing 0.75 equiv of H 2 O (form \(\mathrm{H} 0.75-3\) ) and hydrochloride containing 2 equivs of H 2 O (form \(\mathrm{H} 2-1\) ), and hydrochlorde Pattern \(\mathrm{P}-5\), preferably in substantially pure form, and other forms as described herein, pharmaceutical compns. containing these compds. processes for preparing the same, and methods of treating diseases such as diabetes.
362442-05-9
RL: PRP (Properties); RCT (Reactant); RACT (Reactant or reagent)
            (preparation of crystal forms of saxagliptin)
RN 361442-05-9 CAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361442-04-8
CMF C18 H25 N3 O2
Absolute stereochemistry.


CM 2
CRN 76-05-1
CMF C2 H F3 O2


OS.CITING REF COUNT: 4
THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS)

L57 ANSWER 3 OF 4 CAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: \(2005: 543673\) CAPLUS Fuli-text DOCUMENT NUMBER: 143:221803
TITLE:

AUTHOR (S) :
Discovery and Preclinical Profile of Saxagliptin (BMS-477118): A Highly Potent, Long-Acting, Orally Active Dipeptidyl Peptidase IV Inhibitor for the Treatment of Type 2 Diabetes Augeri, David J.; Robl, Jeffrey A.; Betebenner, David A.; Magnin, David R.; Khanna, Ashish; Robertson, James G.; Wang, Aiying; Simpkins, Ligaya M.; Taunk, Prakash;
```

    Huang, Qi; Han, Song-Ping; Abboa-Offei, Benoni; Cap,
    Michael; Xin, Li; Tao, Li; Tozzo, Effie; Welzel,
    Gustav E.; Egan, Donald M.; Marcinkeviciene, Jovita;
    Chang, Shu Y.; Biller, Scott A.; Kirby, Mark S.;
    Parker, Rex A.; Hamann, Lawrence G.
    CORPORATE SOURCE:
SOURCE:
PUBLISHER:
DOCUMENT TYPE:
LANGUAGE:
Department of Discovery Chemistry, Bristol-Myers
Squibb, Princeton, NJ, 08543-5400, USA
Journal of Medicinal Chemistry (2005), 48(15),
5025-5037
CODEN: JMCMAR; ISSN: 0022-2623
American Chemical Society
Journal
English
CASREACT 143:221803
OTHER SOURCE(S):
AB Efforts to further elucidate structure-activity relationships (SAR) within the
authors previously disclosed series of }\beta\mathrm{ -quaternary amino acid linked
L-cis-4,5-methanoprolinenitrile dipeptidyl peptidase IV (DPP-IV) inhibitors led
to the investigation of vinyl substitution at the \beta-position of
\alpha-cycloalkyl-substituted glycines. Despite poor systemic exposure,
vinyl-substituted compds. showed extended duration of action in acute rat ex vivo
plasma DPP-IV inhibition models. Oxygenated putative metabolites were prepared
and were shown to exhibit the potency and extended duration of action of their
precursors in efficacy models measuring glucose clearance in Zuckerfa/fa rats.
Extension of this approach to adamantylglycine-derived inhibitors led to the
discovery of highly potent inhibitors, including hydroxyadamantyl compound
BMS-477118 (saxagliptin), a highly efficacious, stable, and long-acting DPP-IV
inhibitor, which is currently undergoing clin. trials for treatment of type 2
diabetes.
IT 3624s2-05-9%
RL: PAC (Pharmacological activity); PKT (Pharmacokinetics); SPN (Synthetic
preparation); THU (Therapeutic use); BIOL (Biological study); PREP
(Preparation); USES (Uses)
(discovery and preclin. profile of saxagliptin (BMS-477118) as highly
potent and long-acting and orally active dipeptidyl peptidase IV
inhibitor for treatment of type 2 diabetes)
RN 361442-05-9 CAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

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    CM 1
    CRN 361442-04-8
    CMF C18 H25 N3 O2

Absolute stereochemistry.

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    CM 2
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    CRN 76-05-1
    CMF C2 H F3 O2


OS.CITING REF COUNT:
REFERENCE COUNT:

205

64 THERE ARE 64 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
L57 ANSWER 4 OF 4 CAPLUS COPYRIGHT 2012 ACS on STN
ACCESSION NUMBER: 2001:693281 CAPLUS Fuli-text
DOCUMENT NUMBER: 135:257147
TITLE: Preparation of fused cyclopropylpyrrolidine-based
    inhibitors of dipeptidyl peptidase IV
INVENTOR(S): Robl, Jeffrey A.; Sulsky, Richard B.; Augeri, David
    J.; Magnin, David R.; Hamann, Lawrence G.; Betebenner,
    David A.
PATENT ASSIGNEE(S): Bristol-Myers Squibb Co., USA
SOURCE: PCT Int. Appl., 135 pp .
    CODEN: PIXXD2
DOCUMENT TYPE:
    Patent
    English
LANGUAGE:
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:

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            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
    JP 2003531118 T 20031021 JP 2001-567699 20010305
    JP 4460205 B2 20100512
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    HU 2003002792 A3 20070328
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    CN 1213028 C 20050803 CN 2001-806315 20010305
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    EP 1559710 A3 20090722
        R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
        IE, FI, CY, TR
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    EP 2272825 A3 20110504
    R: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LI, LU, MC,
            NL, PT, SE, TR
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    KR 758407 B1 20070914 KR 2006-7004515 20060303
    IN 2007MN00184 A 20080215 IN 2007-MN184 20070205
    JP 2010077163 A 20100408 JP 2010-6181 20100114
    PRIORITY APPLN. INFO.:
US 2000-188555P P 20000310
CN 2001-806315 A3 20010305
EP 2001-918383 A3 20010305
EP 2005-5368 A3 20010305
IL 2001-151372 A3 20010305
JP 2001-567699 A3 20010305
WO 2001-US7151 W 20010305
IN 2002-MN1154 A3 20020823
KR 2002-7011806 A3 20020909
ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
OTHER SOURCE(S): MARPAT 135:257147
GI

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III

AB Dipeptidyl peptidase IV inhibiting compds. I ( \(\mathrm{x}=0\) or 1 and \(\mathrm{y}=0\) or 1 provided that \(x=1\) when \(y=0\) and \(x=0\) when \(y=1 ; n=0,1 ; X=H, C N ; R 1, R 2\), R3 and R4 \(=\) same or different and independently selected from H, (un) substituted chain or cyclic components) and the pharmaceutically acceptable salts or prodrugs (no data) were prepared Thus L-pyroglutamic acid Et ester was protected, cyclopropanated and reacted further with (S)-N-BOC-isoleucine providing an intermediate II which reacted further to yield the fused cyclopropylpyrrolidine III in 57\% yield. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases by employing a title DP 4 inhibitor or a combination of DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.
IT 361442-05-98
RL: BAC (Biological activity or effector, except adverse); BSU (Biological
study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)
(preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl
peptidase IV)
RN 361442-05-9 CAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
CRN 361442-04-8
CMF C18 H25 N3 O2
Absolute stereochemistry.

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        CM 2
        CRN 76-05-1
        CMF C2 H F3 O2
    F-
    OS.CITING REF COUNT: 35 THERE ARE 35 CAPLUS RECORDS THAT CITE THIS
RECORD (60 CITINGS)
THERE ARE }11\mathrm{ CITED REFERENCES AVAILABLE FOR THIS
RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
=> LOGOFF HOLD
SESSION WILL BE HELD FOR 120 MINUTES
STN INTERNATIONAL SESSION SUSPENDED AT 16:48:32 ON 30 APR 2012

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CourtLink, Lexis/Nexis and Dialog Litigation search for USP 6,395,767. Case 13/308,658.



CourtLink search for USP 6,395,767.

\section*{LexnNexis Comitink}

\section*{Shygle Search - with Terms and Comsbectors}



No Cases found in CourtLink Search.

\section*{Lexis}


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Searched the Utility, Design and Plant Patents database.


Lexis lists litigation at the top of its patents: No litigation listed.

\section*{Lexis}



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\section*{Lexis}



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\section*{Lexis}




\section*{Searched Lexis/Nexis News, All (English, Full Text) database.}

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United States Patent and Trademark Office
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United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www uspto.gov


Please find below and/or attached an Office communication concerning this application or proceeding.
The time period for reply, if any, is set in the attached communication.
Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):
eofficemonitor@woodcock.com
\begin{tabular}{|l|l|l|l|}
\hline \multirow{3}{*}{ Applicant-Initiated Interview Summary } & \multicolumn{2}{|l|}{\begin{tabular}{l} 
Application No. \\
\\
\end{tabular} \(13 / 308,658\)} & \multicolumn{2}{|l|}{\begin{tabular}{l} 
Applicant(s) \\
ROBL ET AL.
\end{tabular}} \\
\cline { 2 - 4 } & Examiner & Art Unit & \\
& Gregg Polansky & 1629 & \\
\hline
\end{tabular}

All participants (applicant, applicant's representative, PTO personnel):
(1) Gregg Polansky.
(2) James Anderson.

Date of Interview: 22 Mav 2012.
Type: \(\boxtimes\) Telephonic \(\square\) Video Conference \(\square\) Personal [copy given to: \(\square\) applicant \(\square\) applicant's representative]

Exhibit shown or demonstration conducted: \(\square\) Yes
® No.
(3) Maurice Valla.
(4) \(\qquad\) -.If Yes, brief description: \(\qquad\) .

Issues Discussed \(\square 101 \quad \square 112 \quad \square 102 \quad \square 103\) 囚Others
(For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)
Claim(s) discussed: pending claims.
Identification of prior art discussed: none.

\section*{Substance of Interview}
(For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc...)

Discussed the objections and rejections set forth in the Office action mailed on 5/08/2012. Attorney Valla spoke to his understanding of the issues presented in the Office action and the means to overcome them. The Examiner's provided clarification with regard to problems with Applicants' Oath and the incorporation of corrections provided by the Certificate of Correction in the original patent.

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.
\(\square\) Attachment
\begin{tabular}{|l|c|}
\hline \begin{tabular}{l} 
/JAMES D ANDERSON/ \\
Primary Examiner, Art Unit 1629
\end{tabular} & \begin{tabular}{c} 
/Gregg Polansky/ \\
Examiner, Art Unit 1629
\end{tabular} \\
\hline
\end{tabular}

\title{
Summary of Record of Interview Requirements
}

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record
 application whether or not an agreement with the examiner was reached at the interview.

\author{
Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews \\ Paragraph (b)
}

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as


\section*{37 CFR \(\S 1.2\) Business to be transacted in writing.}

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and
 any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:
- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:
1) A brief description of the nature of any exhibit shown or any demonstration conducted,
2) an identification of the claims discussed,
3) an identification of the specific prior art discussed,
4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
6) a general indication of any other pertinent matters discussed, and
7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.
Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

\section*{Examiner to Check for Accuracy}

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Jeffrey A. Robl
Application No.: 13/308,658
Filing Date: December 1, 2011

Confirmation No.: 7781
Group Art Unit: \(\mathbf{1 6 2 9}\)
Examiner: Gregg Polansky

\section*{For: Cyclopropyl-Fused Pyrrolidine-Based Inhibitors of Dipeptidyl Peptidase IV and Method}

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450
Dear Commissioner:

\section*{REPLY PURSUANT TO 37 CFR § 1.111}

In response to the Official Action dated May 8, 2012, reconsideration is respectfully requested in view of the amendments and/or remarks as indicated below:
\(\boxtimes \quad\) A Listing of Prior Changes to U.S. 6,395,767 ("the 767 patent") Made By Certificate of Correction begin on page 2 of this paper.
\(\boxtimes \quad\) Amendments to the Claims of the \(\mathbf{7 6 7}\) Patent begin on page 16 of this paper.
\(\boxtimes \quad\) A Complete Listing of the Claims as Amended, with status identifiers, begins on page 22 of this paper.
\(\boxtimes \quad\) Remarks begin on page 33 of this paper.
\(\boxtimes \quad\) The Commissioner is hereby authorized to charge any fee deficiency, charge any additional fees, or credit any overpayment of fees, associated with this application in connection with this filing, or any future filing, submitted to the U.S. Patent and Trademark Office during the pendency of this application, to Deposit Account No. 233050.

\section*{Changes to 767 Patent Previously Entered by Certificate of Correction}
1. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 7 , line 4-col. 8, line 7 of the 767 patent:

Alternately, the carboxamide group in 8 may be converted to the nitrile as described above to give compound 9 . Deprotection of \(\mathrm{PG}_{1}\) affords 10 which may be subject to standard peptide coupling conditions to afford 7, an intermediate in the synthesis of Ib . Compound 10 may also be generated by oxidation of the amine 2 (e.g. NCS) followed by hydrolysis and subsequent cyanide treatment. Compound 10 may be obtained as a mixture of stereoisomers or a single isomer/diastereomer which may be epimerized (employing conventional procedures) to afford a mixture of stereoisomers.
2. As indicated by the Certificate of Correction, please insert the following structure at col. 14, line 50 of the 767 patent:

3. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14 , lines 55-58 of the 767 patent:
The term "cycloheteroalkylalkyl" as used herein alone or as part of another group refers tocycloheteroalkyl groups as defined above linked through a C atom or heteroatom to a \(\left(\mathrm{CH}_{2}\right)_{\mathrm{r}}\) chain.
4. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 15 , lines 49-56 of the 767 patent:
The other antidiabetic agent may also preferably be a sulfonyl urea such as glyburide (also known as glibenclamide), glimepiride (disclosed in U.S. Pat. No. 4,379,785), glipizide, gliclazide or chlorpropamide, other known sulfonylureas or other

PATENT
Application No.: 13/308,658
Office Action Dated: May 8, 2012
antihyperglycemic agents which act on the ATP-dependent channel of the \(\beta\)-cells, with glyburide and glipizide being preferred, which may be administered in the same or in separate oral dosage forms.
5. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 20, lines 57-62 of the 767 patent:
The other type of therapeutic agent which may be optionally employed with the DP4 inhibitor of formula I may be 1, 2, 3 or more of an anti-obesity agent including a beta 3 adrenergic agonist, a lipase inhibitor, a serotonin (and dopamine) reuptake inhibitor, a thyroid receptor beta drug, an anorectic agent and/or a fatty acid oxidation upregulator.
6. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, lines 15-29 of the 767 patent:
To a stirred solution of (S)-N-tert-butoxycarbonyl-isoleucine ( \(231 \mathrm{mg}, 1 \mathrm{mmol}\) ) and benzotriazol-1-yloxytripyrrolidinophosphonium hexafluorophosphate ( \(780 \mathrm{mg}, 1.5\) \(\mathrm{mmol})\) in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(6 \mathrm{~mL})\) under nitrogen at rt was added 4-methylmorpholine ( \(0.33 \mathrm{~mL}, 3\) mmol ). After 5 min , Step 1 compound ( \(120 \mathrm{mg}, 1 \mathrm{mmol}\) ) was added in one portion. The reaction mixture was stirred under nitrogen at rt overnight and then diluted with \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) ( 30 mL ), washed with \(4.1 \% \mathrm{KHSO}_{4}(10 \mathrm{~mL})\) ), aqueous \(\mathrm{NaHCO}_{3}(10 \mathrm{~mL})\), brine \((10 \mathrm{~mL})\), dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\) and evaporated. Purification by flash chromatography on silica gel ( \(2.4 \times 20 \mathrm{~cm}\) column, 1:3 EtOAc/hexane) gave the title compound as a colorless oil, 290 \(\mathrm{mg}, 90 \%\) yield. LC/MS gave the correct molecular ion \(\left[(\mathrm{M}+\mathrm{H})^{+}=297\right]\) for the desired compound.
7. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, line 40 -col. 30 , line 3 of the 767 patent:
The reaction mixture of Step 2 compound ( \(220 \mathrm{mg}, 0.74 \mathrm{mmol}\) ) and 4 M HCl in dioxane \((1.5 \mathrm{~mL}, 6 \mathrm{mmol})\) was stirred at rt for 2 h and evaporated under reduced pressure. \(\mathrm{Et}_{2} \mathrm{O}\) was added to the residue and a precipitate was formed. \(\mathrm{Et}_{2} \mathrm{O}\) was decanted and this was

PATENT
done three times. The precipitate was dried in vacuo to give the title compound as a white powder, 130 mg ( \(76 \%\) yield), \(\mathrm{mp} 205-206^{\circ} \mathrm{C}\). LC/MS gave the correct molecular ion \(\left[(\mathrm{M}+\mathrm{H})^{+}=197\right]\) for the desired compound.
8. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32 , lines 54-63 of the 767 patent:

To a stirred solution of Step 2 compounds ( \(104 \mathrm{mg}, 0.32 \mathrm{mmol}\) ) in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(1 \mathrm{~mL})\) at rt was added TFA ( 1 mL ). The reaction mixture was stirred at rt for 2 h . The reaction mixture was added slowly to a precooled slurry of \(\mathrm{NaHCO}_{3}(2 \mathrm{~g})\) in \(\mathrm{H}_{2} \mathrm{O}(2 \mathrm{~mL})\). The mixture was extracted with \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(4 \mathrm{~mL} \times 4)\), and combined \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) layers were evaporated and purified by preparative HPLC to give the title compound Example 5 (36 mg ) and Example 5A ( 36 mg ). LC/MS gave the correct molecular ion \(\left[(\mathrm{M}+\mathrm{H})^{+}=222\right]\) for the desired compounds..
9. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32 , line \(66-\mathrm{col} .33\), line 12 of the 767 patent:
General Method A: Parallel array synthesis methods for preparation of inhibitors from commercially available amino acids. As shown in Scheme 3, the ester 11, described in Example 1 Step 1, was saponified to the acid with LiOH in \(\mathrm{THF} / \mathrm{H}_{2} \mathrm{O}\) and converted to the amide 12 by treatment with isobutyl chloroformate/NMM followed by ammonia in dioxane. The Boc protecting group was removed under acidic conditions using TFA in methylene chloride to give 13 . The TFA salt was coupled to Boc-t-butylglycine using either EDAC/HOBT/DMF or EDAC/DMAP/ \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) to give 14. The amide was dehydrated to the nitrile 15 using \(\mathrm{POCl}_{3} /\) imidazole in pyridine at \(-20^{\circ} \mathrm{C}\) and finally deprotected with TFA in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) at ambient temperature to afford the target 16 . SCHEME 3, GENERAL METHOD A (EXAMPLES 6-27)
10. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 34 , line 59-col. 35 , line 13 of the 767 patent:

An oven-dried \(15-\mathrm{mL}\) test tube was charged with Step 3 compound ( \(56 \mathrm{mg}, 0.22 \mathrm{mmol}\) ), N -tert-butoxycarbonyl-(L)-tert-leucine ( \(53 \mathrm{mg}, 0.23 \mathrm{mmol}\) ), dimethylaminopyridine ( \(0.11 \mathrm{~g}, 0.88 \mathrm{mmol}\) ), and \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(4 \mathrm{~mL})\). The tube was sealed under nitrogen atmosphere and treated with 1-[(3-(dimethyl)amino)propyl]-3-ethylcarbodiimide ( 84 mg , \(0.44 \mathrm{mmol})\). The mixture was placed in a shaker and vortexed overnight. The product was purified by solid phase extraction using a United Technology SCX column (2 g of sorbent in a 6 mL column) by loading the material on a SCX ion exchange column and successively washing with \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(5 \mathrm{~mL}), 30 \%\) methanol in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(5 \mathrm{~mL}), 50 \%\) methanol in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(5 \mathrm{~mL})\) and methanol \((10 \mathrm{~mL})\). The product containing fractions were concentrated under reduced pressure to give the desired amide. Further purification by reverse phase preparative column chromatography on a YMC S5 ODS \(20 \times 250 \mathrm{~mm}\) column gave the title compound, 50 mg ( \(68 \%\) yield). Purification conditions: Gradient elution from \(30 \%\) methanol/water/0.1 TFA to \(90 \%\) methanol/water/ 0.1 TFA over 15 min . 5 min . hold at \(90 \%\) methanol/water \(/ 0.1 \mathrm{TFA}\). Flow rate: \(20 \mathrm{~mL} / \mathrm{min}\). Detection wavelength: 220. Retention Time: 14 min .
11. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 41 , lines \(36-46\) of the 767 patent:

An oven-dried \(10-\mathrm{mL}\) round bottomed flask was charged with Step 2 compound ( 350 mg , 0.79 mmol ), imidazole ( \(108 \mathrm{mg}, 1.58 \mathrm{mmol}\) ), pyridine ( 3 mL ). The flask under argon was cooled to \(-30^{\circ} \mathrm{C}\). Slow addition of \(\mathrm{POCl}_{3}(0.30 \mathrm{~mL}, 3.16 \mathrm{mmol})\) gave after mixing a thick slurry. The slurry was mixed at \(-30^{\circ} \mathrm{C}\) for 3 h and the volatiles evaporated. Dichloromethane ( 5 mL ) was then added and the insoluble solid was removed by filtration. The organic layer was washed with \(\mathrm{H}_{2} \mathrm{O}, 10 \%\) citric acid, brine and dried over \(\mathrm{Na}_{2} \mathrm{SO}_{4}\). Removal of solvent gave crude desired nitrile ( 330 mg ) (LC/Mass, + ion): 424 \((\mathrm{M}+\mathrm{H})\).
12. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 20-29 of the 767 patent:
To a flame-dried \(500-\mathrm{mL}\) round-bottomed flask containing cyclopentylideneacetic acid ethyl ester ( \(17.5 \mathrm{~g}, 113 \mathrm{mmol}\) ) in 100 mL anhydrous toluene at \(-78^{\circ} \mathrm{C}\) under argon was added DIBAL-H ( 189 mL of a 1.5 M solution in toluene, \(284 \mathrm{mmol}, 2.50\) equiv) dropwise over a 30 min period through an addition funnel, and the mixture was then allowed to warm to rt, stirring for 18 h . The reaction mixture was then recooled to \(-78^{\circ} \mathrm{C}\), and quenched by the careful addition of 30 mL anhydrous MeOH . Upon warming to \(\mathrm{rt}, 1\) N Rochelle's salt ( 100 mL ) was added, and the mixture was stirred 90 min . The biphasic reaction mixture was then diluted with \(\mathrm{Et}_{2} \mathrm{O}(200 \mathrm{~mL})\) in a separatory funnel, and the layers were separated. The organic layer was then washed with brine \((100 \mathrm{~mL})\), dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\), and concentrated under reduced pressure. Purification by flash column chromatography (silica gel, \(\mathrm{CH}_{2} \mathrm{Cl}_{2} / \mathrm{EtOAc}, 10: 1\) ) gave 11.6 g ( \(92 \%\) ) of the desired allylic alcohol as a colorless oil.
13. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 53-67 of the 767 patent:

To a flame-dried \(500-\mathrm{mL}\) round-bottomed flask containing N -(tertbutyloxycarbonyl)glycine ( \(13.45 \mathrm{~g}, 76.75 \mathrm{mmol}\) ) in \(100 \mathrm{~mL} \mathrm{CH}_{2} \mathrm{Cl}_{2}\) at rt was added Step 2 compound ( \(8.61 \mathrm{~g}, 76.75 \mathrm{mmol}\), 1.00 equiv) in \(20 \mathrm{~mL} \mathrm{CH} \mathrm{Cl}_{2}\), followed by dicyclohexylcarbodiimide ( 16.63 g , mmol, 1.05 equiv) in \(80 \mathrm{~mL} \mathrm{CH} \mathrm{Cl}_{2}\). To this reaction mixture was then added 4-dimethylaminopyridine ( \(0.94 \mathrm{mg}, \mathrm{mmol}, 0.10\) equiv), and the mixture was allowed to stir overnight. The reaction mixture was then filtered through a medium sintered-glass funnel, rinsing with \(100 \mathrm{~mL} \mathrm{CH}_{2} \mathrm{Cl}_{2}\), and concentrated under reduced pressure. The crude product was then purified by flash chromatography (silica gel, hexanes/EtOAc, 20:1 to \(1: 1\) gradient) to give \(19.43 \mathrm{~g}(94 \%)\) of the desired glycinyl ester as a colorless oil.
14. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 44, lines 19-45 of the 767 patent:

A flame-dried \(500-\mathrm{mL}\) round-bottomed flask under argon was charged with \(\mathrm{ZnCl}_{2}\) (11.8 \(\mathrm{g}, \mathrm{mmol}, 1.20\) equiv) and 20 mL toluene. The mixture was heated under vacuum with vigorous stirring to azeotrope off any traces of moisture with the distilling toluene, repeating this process ( 2 x ). The flask was then cooled to rt under argon, (2cyclopentylideneethyl) N -(tert-butyloxycarbonyl)glycinate ( \(19.36 \mathrm{~g}, 71.88 \mathrm{mmol}\) ) was added via cannula as a solution in 180 mL THF, and the mixture was then cooled to \(78^{\circ} \mathrm{C}\). In a separate flame-dried \(200-\mathrm{mL}\) round-bottomed flask containing diisopropylamine ( 26.3 mL , mmol, 2.60 equiv) in 90 mL THF at \(-78^{\circ} \mathrm{C}\) was added n butyllithium ( 71.89 mL of a 2.5 M solution in hexanes, mmol, 2.5 equiv), and the mixture was allowed to warm to \(0^{\circ} \mathrm{C}\) for 30 min before recooling to \(-78^{\circ} \mathrm{C}\). The lithium diisopropylamine thus generated was then added via cannula to the \(\mathrm{ZnCl}_{2}\) ester mixture dropwise at a steady rate over 40 min , and the resultant reaction mixture was allowed to slowly warm to rt and stir overnight. The yellow reaction mixture was then poured into a separatory funnel, diluted with \(300 \mathrm{~mL} \mathrm{Et}_{2} \mathrm{O}\), and the resultant organic solution was washed successively with 300 mL 1 N HCl and 300 mL brine, dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\), and concentrated under reduced pressure. Purification by flash chromatography (silica gel, \(3 \% \mathrm{MeOH}\) in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) with \(0.5 \% \mathrm{HOAc}\) ) gave \(17.8 \mathrm{~g}(92 \%)\) of the desired amino acid product as a white solid. (FAB MH+270).
15. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col 46 , lines \(48-59\) of the 767 patent:

Step 2 compound ( \(32 \mathrm{mg}, 0.09 \mathrm{mmol}\) ) was dissolved in 1 mL of \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) and 1 mL of TFA was added and the reaction stirred for 30 min at rt and was evaporated to dryness. The product was purified by reverse phase preparative column chromatography on a YMC S5 ODS \(20 \times 250 \mathrm{~mm}\) column to give 12 mg of the TFA salt (lyophilized from water or isolated after evaporation of eluent and trituration with ether) the title compound. Purification conditions: gradient elution from \(10 \%\) methanol/water/0.1 TFA
to \(90 \%\) methanol/water/ 0.1 TFA over \(18 \mathrm{~min} ; 5 \mathrm{~min}\). hold at \(90 \%\) methanol/water/0.1 trifluoroacetic acid. Flow rate: \(20 \mathrm{~mL} / \mathrm{min}\). Detection wavelength: 220 .
16. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 46, lines 61-67 of the 767 patent:
Examples 30-39 were prepared by the methods outlined in General Method B and General Method C starting from cyclopentanone, cyclobutanone, cyclohexanone, cycloheptanone, cyclooctanone, cis-3,4-dimethylcyclopentanone, and 4-pyranone, cyclopropaneethylhemiacetal, acetone, and 3-pentanone respectively.
17. As indicated by the Certificate of Correction, at col. 52, line 64 of the 767 patent, change " 25 " to ---28--.
18. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53 , lines 28-45 of the 767 patent:

N-Boc protected cyclobutylvinyl compound (Example 31, prepared by general method C) \((0.16 \mathrm{~g}, 0.46 \mathrm{mmol})\) was dissolved in 10 mL of a \(1: 1\) mixture of THF:water and treated with \(\mathrm{OsO}_{4}\) ( 12 mg , catalyst) and \(\mathrm{NaIO}_{4}(0.59 \mathrm{~g}, 2.76 \mathrm{mmol}, 6\) equiv). After 2 h , the reaction mixture was diluted with 50 mL of ether and 10 mL of water. The layers were equilibrated and the organic fraction was washed one time with \(\mathrm{NaHCO}_{3}\) solution, dried over \(\mathrm{MgSO}_{4}\) and concentrated to give a dark oil. The oil was diluted with 10 mL of methanol and treated with \(\mathrm{NaBH}_{4}(0.08 \mathrm{~g}, 2.0 \mathrm{mmol})\). The mixture turned very dark and after 30 min was diluted with ether and the reaction was quenched with aqueous \(\mathrm{NaHCO}_{3}\) solution. The mixture was equilibrated and layers separated. The organic fraction was washed with solutions of \(\mathrm{NaHCO}_{3}\) and 0.1 M HCl . The organics were dried \(\left(\mathrm{MgSO}_{4}\right)\) and concentrated to give \(90 \mathrm{mg}(56 \%)\) of the Step 1 compound as a dark oil.
19. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 59-67 of the 767 patent:

Step 1 compound ( \(90 \mathrm{mg}, 0.26 \mathrm{mmol}\) ) was dissolved in 3 mL of \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\), cooled to \(0^{\circ} \mathrm{C}\) and treated with 3 mL of freshly distilled TFA. The reaction was complete in 80 min and evaporated to dryness and purified by preparative HPLC (YMC S5 ODS \(30 \times 100 \mathrm{~mm}\), 10 minute gradient \(100 \% \mathrm{~A}\) to \(100 \% \mathrm{~B}\), Solvent \(\mathrm{A}=10 \% \mathrm{MeOH}-90 \% \mathrm{H} 2 \mathrm{O}-0.1 \% \mathrm{TFA}\), Solvent B \(=90 \% \mathrm{MeOH}-10 \% \mathrm{H}_{2} \mathrm{O}-0.1 \%\) TFA, to give, after removal of water, 50 mg \((60 \%)\) of title compound. \((\mathrm{MH}+250)\).
20. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 62, lines 56-67 of the 767 patent:
The Step 2 compound ( \(95 \mathrm{mg}, 0.22 \mathrm{mmol}, 1\) equiv) was dissolved in anhydrous \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) \((2.5 \mathrm{~mL})\) under argon and cooled to \(-78^{\circ} \mathrm{C}\). The mixture was treated with diisopropylethylamine ( \(65 \mu \mathrm{~L}, 0.37 \mathrm{mmol}, 1.7\) equiv), and triethylsilyl triflate ( \(75 \mu \mathrm{~L}\), \(0.33 \mathrm{mmol}, 1.5\) equiv), and stirred at \(0^{\circ} \mathrm{C}\) for 1.5 h . The reaction was mixed with MeOH \((0.5 \mathrm{~mL})\), silica gel ( 200 mg ) and \(\mathrm{H}_{2} \mathrm{O}(2\) drops) and stirred at rt for 18 h . The solvent was removed by rotary evaporation and the residue purified flash column chromatography on silica \(\operatorname{gel}(2.5 \times 10 \mathrm{~cm})\) with \(4 \% \mathrm{MeOH} / \mathrm{CH}_{2} \mathrm{Cl}_{2}\) to afford the product \((92 \mathrm{mg}, 0.17 \mathrm{mmol}, 77 \%): \mathrm{MS} \mathrm{m} / \mathrm{e} 540(\mathrm{~m}+\mathrm{H})^{+}\).
21. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 66, lines 11-27 of the 767 patent:
An oven-dried flask equipped with a condenser and drying tube was charged with norbornane-2-carboxylic acid ( \(4.92 \mathrm{~g}, 35 \mathrm{mmol}, 1\) equiv) and treated with bromine ( 2.1 \(\mathrm{mL}, 41 \mathrm{mmol}, 1.15\) equiv) and phosphorous trichloride ( \(0.153 \mathrm{~mL}, 1.8 \mathrm{mmol}, 0.05\) equiv). The mixture was heated at \(85^{\circ} \mathrm{C}\) for 7 h protected from light. Additional bromine ( \(0.4 \mathrm{~mL}, 7.8 \mathrm{mmol}, 0.22\) equiv) was added with continued heating for 1 h . The mixture was cooled to rt , and \(\mathrm{Et}_{2} \mathrm{O}(100 \mathrm{~mL})\) was added. The mixture was washed with \(10 \% \mathrm{aq}\) \(\mathrm{NaHSO}_{3}(50 \mathrm{~mL}), \mathrm{H}_{2} \mathrm{O}(2 \times 50 \mathrm{~mL})\), and brine \((25 \mathrm{~mL})\). The ether fraction was dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\), filtered and concentrated by rotary evaporation. The product was purified by flash column chromatography on silica gel ( \(5 \times 15 \mathrm{~cm}\) ) with \(2 \%\) to \(4 \% \mathrm{MeOH} / \mathrm{CH}_{2} \mathrm{Cl}_{2}+\)
\(0.5 \%\) HOAc. The product was chased with hexanes to remove residual HOAc. The isolated material consists of two inseparable materials ( 4.7 g ), which was used without further purification in the next step.
22. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69 , lines 20-27 of the 767 patent:

To a \(50-\mathrm{mL}\) round-bottomed flask containing Step 2 compound ( \(0.72 \mathrm{~g}, 4.20 \mathrm{mmol}\) ) in 8 mL of water at rt was added \(\mathrm{NaCN}(0.20 \mathrm{~g}, 4.20 \mathrm{mmol})\) followed by \(\mathrm{NH}_{4} \mathrm{Cl}(0.20 \mathrm{~g}, 5.00\) \(\mathrm{mmol})\). To this reaction mixture was then added methanol ( 8 mL ) and the mixture was allowed to stir overnight. The reaction mixture was then extracted with ether ( \(2 \times 15 \mathrm{~mL}\) ), dried \(\left(\mathrm{MgSO}_{4}\right)\) and concentrated under reduced pressure to give the crude Strecker product.
23. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 28-44 of the 767 patent:

To a \(100-\mathrm{mL}\) round-bottomed flask containing the crude Strecker product was added 10 mL of HOAc and 10 mL of conc. HCl . The mixture was refluxed overnight. The mixture was concentrated under reduced pressure to give a yellow solid. The solid was triturated with 5 mL of 1:1 mixture of ether and hexanes. The white solid was treated with triethylamine ( \(1.4 \mathrm{~mL}, 9.99 \mathrm{mmol}\) ) and di-tert-butyldicarbonate ( \(1.00 \mathrm{~g}, 4.60 \mathrm{mmol}\) ) in 50 mL DMF. After 4 h the pH of the mixture was adjusted to 9 with saturated \(\mathrm{Na}_{2} \mathrm{CO}_{3}\) soln. After an additional 3 h of stirring the mixture was extracted with \(1: 1\) ether and hexanes and the aqueous fraction acidified to pH 2 with \(5 \% \mathrm{KHSO}_{4}\) solution. The aqueous phase was washed with ether ( 2 X 40 mL ), the organics dried \(\left(\mathrm{MgSO}_{4}\right)\), and evaporated to an oil that was purified by silica gel flash chromatography with 8:92 methanol: \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) to give \(0.3 \mathrm{~g}(23 \%)\) of the Boc-protected amino acid as a light oil (MH, 318).
24. As indicated by the Certificate of Correction, please move "Step 1" at col. 70, line 56 of the 767 patent to col. 70 , line 65.
25. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72 , lines 30-49 of the 767 patent:

Sodium ethoxide ( 940 mg of \(21 \mathrm{wt} \%\) solution in ethanol, 2.9 mmol ) in ethanol ( 2 mL ) was added to a stirred solution of diethyl acetamidomalonate ( \(4.31 \mathrm{~g}, 19.8 \mathrm{mmol}\) ) in EtOH \((23 \mathrm{~mL})\) at rt under argon. The reaction mixture was cooled to \(0^{\circ} \mathrm{C}\); and trans-2-pentenal \((1.51 \mathrm{~g}, 18.0 \mathrm{mmol})\) was added dropwise maintaining the reaction temperature at \(<5^{\circ} \mathrm{C}\). After the addition, the reaction was allowed to warm to rt , stirred for 4 h , then quenched with acetic acid \((460 \mu \mathrm{l})\). The solution was concentrated in vacuo, and the residue dissolved in EtOAc ( 25 mL ), washed with \(10 \% \mathrm{NaHCO}_{3}\) solution ( \(2 \times 5 \mathrm{~mL}\) ), brine and dried \(\left(\mathrm{MgSO}_{4}\right)\). The solution was filtered and concentrated to a 10 mL volume, then heated to reflux and diluted with hexane ( 20 mL ). Upon cooling to rt, the title compound precipitated and was collected to give \(3.0 \mathrm{~g}(50 \%)\) of the Step 1 compound (mp 106\(109^{\circ} \mathrm{C}\); LC/Mass: + ions, \(324 \mathrm{M}+\mathrm{Na}\) ).
26. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72 , line 64 -col. 73 , line 8 of the 767 patent:

To a solution of Step 1 compound ( \(2.87 \mathrm{~g}, 9.5 \mathrm{mmol}\) ) and triethylsilane ( \(2.28 \mathrm{~mL}, 14.3\) \(\mathrm{mmol})\) in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(30 \mathrm{~mL})\) under argon was added TFA ( \(7.35 \mathrm{~mL}, 95.3 \mathrm{mmol}\) ) dropwise with stirring while maintaining the internal temperature at \(25^{\circ} \mathrm{C}\) by means of an ice bath. After stirring for 4 h at rt , the solution was concentrated. The residue was diluted with \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(100 \mathrm{~mL})\), then treated with \(\mathrm{H}_{2} \mathrm{O}(50 \mathrm{~mL})\) and solid \(\mathrm{Na}_{2} \mathrm{CO}_{3}\) with vigorous stirring until the mixture was basic. The organic layer was separated, dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\), filtered, then concentrated to give the Step 2 compound as a yellow oil which was used without further purification (LC/Mass: + ions, \(308 \mathrm{M}+\mathrm{Na}\) ).
27. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 73 , lines 22-27 of the 767 patent:

Step 2 compound ( \(3.73 \mathrm{~g}, 9.5 \mathrm{mmol}\) ) was suspended in \(6 \mathrm{~N} \mathrm{HCl}(20 \mathrm{~mL})\) and HOAc ( 5 mL ) and heated at reflux for 20 h . The reaction mixture was then cooled, washed with EtOAc ( 20 mL ), then concentrated to give an oil which crystallized upon trituration with ether to give the title compound (1.2 g, 70.6\%) (LC/Mass, + ion): 144 (M+H).
28. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 74 , lines \(26-41\) of the 767 patent:

Sodium ethoxide ( \(940 \mathrm{mg}, 2.9 \mathrm{mmol} ; 21 \% \mathrm{w} / \mathrm{w}\) solution in ethanol) in ethanol ( 2 mL ) was added to a stirred solution of diethyl acetamidomalonate \((4.31 \mathrm{~g}, 19.8 \mathrm{mmol})\) in EtOH ( 23 mL ) at rt under argon. The reaction mixture was cooled to \(0^{\circ} \mathrm{C}\); and 4-methyl-2-pentenal ( \(1.77 \mathrm{~g}, 18.0 \mathrm{mmol}\) )was added dropwise maintaining the reaction temperature at \(<5^{\circ} \mathrm{C}\). After the addition, the reaction was allowed to warm to rt , stirred for 4 h , then quenched with acetic acid \((460 \mu \mathrm{l})\). The solution was concentrated and the remainder dissolved in EtOAc ( 25 mL ). The organics were washed with \(10 \% \mathrm{NaHCO}_{3}\) solution \((2 \times 5 \mathrm{~mL})\), brine and dried \(\left(\mathrm{MgSO}_{4}\right)\). The solution was filtered and concentrated to 10 mL volume, then heated to reflux and treated with hexane \((20 \mathrm{~mL})\). On cooling, the Step 1 compound precipitated and was collected (3.3 g) (LC/Mass, + ion): \(338(\mathrm{M}+\mathrm{Na})\).
29. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 79 , lines 54-67 of the 767 patent:

N-[((S)-cyclopentylvinyl)-N-tert-butoxycarbonylglycinyl]-(2S,4S,5S)-2-cyano-4,5-methano-L-prolylamide ( \(70 \mathrm{mg}, 0.19 \mathrm{mmol}\) ) described in General Method C, Step 2 was dissolved in a mixture of \(2 \mathrm{~mL} t-\mathrm{BuOH} / 3 \mathrm{~mL}\) THF and N -methylmorpholine-N-oxide ( \(33 \mathrm{mg}, 0.28 \mathrm{mmol}\) ) was added followed by osmium tetroxide ( \(0.1 \mathrm{mmol}, 50 \mathrm{~mol} \%\) ). The reaction was quenched with 1 mL of \(10 \%\) aqueous \(\mathrm{Na}_{2} \mathrm{SO}_{3}\) and was taken up in EtOAc and washed with \(\mathrm{H}_{2} \mathrm{O} 5 \mathrm{~mL}\), dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\), filtered, evaporated and purified by silica gel flash chromatography \(\left(5 \% \mathrm{MeOH} / \mathrm{CH}_{2} \mathrm{Cl}_{2}\right)\) to give \(41 \mathrm{mg}(55 \%)\) of the protected diol
as an oil. The title compound was obtained by deprotection of the amine functionality with TFA according to General Method C (FAB MH +294 ).
30. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 82 , lines 52-67 of the 767 patent:
According to literature (J. Org. Chem 1994, 59, 8215), a solution of Step 3 compound \((0.875 \mathrm{~g}, 3.83 \mathrm{mmol})\) in dry benzene \((4.0 \mathrm{~mL})\) was treated with triethylamine \((0.52 \mathrm{~mL}\), 3.83 mmol ) and diphenylphosphoryl azide ( \(0.85 \mathrm{~mL}, 3.83 \mathrm{mmol}\) ), refluxed under nitrogen for 1 h and cooled to rt . The solution was treated with benzyl alcohol \((0.60 \mathrm{~mL}\), 5.75 mmol or 1.5 equiv), refluxed for 17 h , cooled then diluted with ether ( 40 mL ). The solution was washed with \(10 \%\) aqueous citric acid ( \(2 \times 3 \mathrm{~mL}\) ), back-extracting the citric acid wash with ether ( 40 mL ). The combined organic extracts were washed with \(5 \%\) sodium bicarbonate \((2 \times 3 \mathrm{~mL})\), dried \(\left(\mathrm{MgSO}_{4}\right)\), filtered, and concentrated. Flash chromatography on silica gel of the crude product with \(10 \%\) EtOAc in hexane ( 1.0 L ) gave step 4 compound as a clear thick syrup. Yield: \(1.15 \mathrm{~g}(90 \%) . \mathrm{MS}(\mathrm{M}+\mathrm{H}) 334\).
31. As indicated by the Certificate of Correction, at col. 84, line 34 of the 767 patent, please replace "NS" with --MS--.
32. As indicated by the Certificate of Correction, please replace claim 8 at col. 91, lines 9-49 with the following corrected claim:
8. A compound having the structure:





or

or a pharmaceutically acceptable salt thereof.
33. As indicated by the Certificate of Correction, please replace claim 10 at col. 91 , line 54-col. 92 , line 18 with the following corrected claim:
10. A compound which is


A
(1S, 2 (2S) , 3S, 5S)
wherein \(R^{1}\) is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,
or


B
(1R,2S, \(3(2 S), 5 S)\)
wherein \(\mathrm{R}^{1}\) is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.
34. As indicated by the Certificate of Correction, please replace claim 15 at col. 92 , lines 36 to 44 of the 767 patent with the following corrected claim:
15. The combination as defined in Claim 14 wherein the antidiabetic agent is 1 , 2,3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.

\section*{Amendments to the Claims of the \(\mathbf{7 6 7}\) Patent:}

Please further amend the claims of the 767 patent as shown below (deletions and additions are shown relative to the claims as issued in the 767 patent):

Amend claim 1 as follows:
1. A compound having the structure

wherein x is 0 or 1 and y is 0 or 1 , provided that
\(x=1\) when \(y=0\) and
\(\mathrm{x}=0\) when \(\mathrm{y}=1\); and wherein
n is 0 or 1 ;

X is H or CN ;
\(R^{1}, R^{2}, R^{3}\) and \(R^{4}\) are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkylalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl,
cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro, cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylaminocarbonylamino, alkoxycarbonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;
and \(R^{1}\) and \(R^{3}\) may optionally be taken together to form \(\left(C R^{5} R^{6}\right)_{m}\) where \(m\) is 2 to 6 , and \(\mathrm{R}^{5}\) and \(\mathrm{R}^{6}\) are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or \(R^{1}\) and \(R^{4}\) may optionally be taken together to form \(\left(\mathrm{CR}^{7} \mathrm{R}^{8}\right)_{\mathrm{p}}\) wherein p is 2 to 6 , and \(\mathrm{R}^{7}\) and \(\mathrm{R}^{8}\) are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or optionally \(\mathrm{R}^{1}\) and \(\mathrm{R}^{3}\) together with

form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from \(\mathrm{N}, \mathrm{O}, \mathrm{S}, \mathrm{SO}\), or \(\mathrm{SO}_{2}\);
or optionally \(R^{1}\) and \(R^{3}\) together with

form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;
with the proviso that where \(x\) is 1 and \(y\) is \(0, X\) is \(H, n\) is \(o\), and one of \(R^{1}\) and \(R^{2}\) is \(H\) and the other is alkyl, then \(\mathrm{R}^{3}\) is other than pyridyl or substituted pyridyl;
including all stereoisomers thereof;
or [and] a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.

Amend claim 12 as follows:
12. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent.

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Amend claim 13 as follows:
13. The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound as defined in claim 1 and [an] the antidiabetic agent other than a DP4 inhibitor.

Amend claim 16 as follows:
16. The combination as defined in claim 13 wherein the compound as defined in claim 1 is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.

Amend claim 17 as follows:
17. The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.

Amend claim 21 as follows:
21. The combination as defined in claim 19 wherein the compound as defined in claim 1 [DP4 inhibitor] is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

Amend claim 22 as follows:
22. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing or inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.

Amend added claim 29 to read as follows:
29. The composition of claim 27 or 28 further comprising an antidiabetic agent other than a DP4 inhibitor.

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Amend added claim 30 to read as follows:
30. The composition of claim 29 wherein the antidiabetic agent is metformin.

Amend added claim 31 to read as follows:
31. The composition of claim 29 wherein the antidiabetic agent is a SGLT2 inhibitor.

Cancel added claims 36 and 37.

Amend added claim 38 to read as follows:
38. The method of any one of claims \(32,33,34\), or 35 , wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

Amend added claim 39 to read as follows:
39. The method of claim 38 wherein the antidiabetic agent is metformin.

Amend added claim 40 to read as follows: \(\backslash\)
40. The method of claim 38 wherein the antidiabetic agent is a SGLT2 inhibitor.

Add new claims 41 to 45 to read as follows:
41. A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is


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or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.
42. The method of claim 41, wherein the pharmaceutically acceptable salt is the
hydrochloride salt.
43. The method of any one of claims 41 or 42 , wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.
44. The method of claim 43, wherein the antidiabetic agent is metformin.
45. The method of claim 43, wherein the antidiabetic agent is a SGLT2 inhibitor.

Complete Listing of Claims As Amended (including status identifiers):
1. (Amended) A compound having the structure

wherein x is 0 or 1 and y is 0 or 1 , provided that
\(x=1\) when \(y=0\) and
\(\mathrm{x}=0\) when \(\mathrm{y}=1\); and wherein
n is 0 or 1 ;

X is H or CN ;
\(R^{1}, R^{2}, R^{3}\) and \(R^{4}\) are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkylalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with \(1,2,3,4\) or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro,
cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylaminocarbonylamino, alkoxycarbonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;
and \(R^{1}\) and \(R^{3}\) may optionally be taken together to form \(\left(C R^{5} R^{6}\right)_{m}\) where \(m\) is 2 to 6 , and \(R^{5}\) and \(\mathrm{R}^{6}\) are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or \(R^{1}\) and \(R^{4}\) may optionally be taken together to form \(\left(\mathrm{CR}^{7} \mathrm{R}^{8}\right)_{p}\) wherein p is 2 to 6 , and \(\mathrm{R}^{7}\) and \(\mathrm{R}^{8}\) are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or optionally \(\mathrm{R}^{1}\) and \(\mathrm{R}^{3}\) together with

form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from \(\mathrm{N}, \mathrm{O}, \mathrm{S}, \mathrm{SO}\), or \(\mathrm{SO}_{2}\);
or optionally \(R^{1}\) and \(R^{3}\) together with

form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;
with the proviso that where \(x\) is 1 and \(y\) is \(0, X\) is \(H, n\) is \(o\), and one of \(R^{1}\) and \(R^{2}\) is \(H\) and the other is alkyl, then \(\mathrm{R}^{3}\) is other than pyridyl or substituted pyridyl; including all stereoisomers thereof; or [and] a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.
2. (Original) The compound as defined in claim 1 having the structure:

3. (Original) The compound as defined in claim 1 having the structure:

4. (Original) The compound as defined in claim 1 having the structure:

5. (Original) The compound as defined in claim 1 having the structure:

6. (Original) The compound as defined in claim 1 wherein:
\(R^{3}\) is \(H, R^{1}\) is \(H\), alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl hydroxybicycloalkyl, or hydroxytricycloalkyl,
\(R^{2}\) is \(H\) or alkyl, \(n\) is 0 ,
X is CN .
7. (Original) The compound as defined in claim 1 wherein the cyclopropyl fused to the pyrrolidine has the configuration:


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8. (Original) A compound having the structure:





or

or a pharmaceutically acceptable salt thereof.
9. (Original) The compound as defined in claim 8 wherein the pharmaceutically acceptable salt is the hydrochloride salt or the trifluoroacetic acid salt.
10. (Original) A compound which is


A
\((1 S, 2(2 S), 3 S, 5 S)\)
wherein \(\mathrm{R}^{1}\) is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,
or

\[
\stackrel{\mathrm{B}}{(1 \mathrm{R}, 2 \mathrm{~S}, 3(2 \mathrm{~S}), 5 \mathrm{~S})}
\]
wherein \(R^{1}\) is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.
11. (Original) A pharmaceutical composition comprising a compound as defined in claim 1 and a pharmaceutically acceptable carrier therefor.
12. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent.
13. (Twice Amended) The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound as defined in claim 1 and [an] the antidiabetic agent other than a DP4 inhibitor.
14. (Original) The combination as defined in claim 13 wherein the antidiabetic agent is \(1,2,3\) or more of a biguanide, a sulfonyl urea, a glucosidase inhibitor, a PPAR agonist, a PPAR / dual agonist, an SGLT2 inhibitor, an aP2 inhibitor, a glycogen phosphorylase inhibitor, an AGE inhibitor, an insulin sensitizer, a glucagon-like peptide-1 (GLP-1) or mimetic thereof, insulin and/or a meglitinide.

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15. (Original) The combination as defined in Claim 14 wherein the antidiabetic agent is \(1,2,3\) or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.
16. (Amended) The combination as defined in claim 13 wherein the compound as defined in claim 1 is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.
17. (Amended) The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.
18. (Original) The combination as defined in claim 17 wherein the anti-obesity agent is orlistat, ATL-962, AJ9677, L750355, CP331648, sibutramine, topiramate, axokine, dexamphetamine, phentermine, phenylpropanolamine, famoxin, and/or mazindol.
19. (Original) The combination as defined in claim 12 wherein the lipid modulating agent is an MTP inhibitor, an HMG CoA reductase inhibitor, a squalene synthetase inhibitor, a fibric acid derivative, an upregulator of LDL receptor activity, a lipoxygenase inhibitor, an ACAT inhibitor, a cholesteryl ester transfer protein inhibitor, or an ATP citrate lyase inhibitor.
20. (Original) The combination as defined in claim 19 wherein the lipid modulating agent is pravastatin, lovastatin, simvastatin, atorvastatin, cerivastatin, fluvastatin, nisvastatin, visastatin, fenofibrate, gemfibrozil, clofibrate, implitapide, CP-529,414, avasimibe, TS-962, MD-700, and/or LY295427.

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21. (Amended) The combination as defined in claim 19 wherein the compound as defined in claim 1 [DP4 inhibitor] is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.
22. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing or inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.
23. (Canceled)
24. (Canceled)
25. (New) A compound that is

; or a pharmaceutically acceptable salt thereof.
26. (New) The compound as defined in claim 25 , wherein the pharmaceutically acceptable salt is the hydrochloride salt.
27. (New) A pharmaceutical composition comprising the compound of claim 25 and a pharmaceutically acceptable carrier therefor.

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28. (New) A pharmaceutical composition comprising the compound of claim 26 and a pharmaceutically acceptable carrier therefor.
29. (New/Amended) The composition of claim 27 or 28 further comprising an antidiabetic agent other than a DP4 inhibitor.
30. (New/Amended) The composition of claim 29 wherein the antidiabetic agent is metformin.
31. (New/Amended) The composition of claim 29, wherein the antidiabetic agent is a SGLT2 inhibitor.
32. (New) A method for treating diabetes, insulin resistance, hyperglycemia, hyperinsulinemia, impaired glucose homeostasis, or impaired glucose tolerance in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is

or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.
33. (New) The method of claim 32, wherein the pharmaceutically acceptable salt is the hydrochloride salt.
34. (New) The method of claim 32, for treating diabetes.

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35. (New) The method of claim 33, for treating diabetes.
36. (Canceled)
37. (Canceled)
38. (New/Amended) The method of any one of claims 32, 33, 34, or 35 wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.
39. (New/Amended) The method of claim 38, wherein the antidiabetic agent is metformin.
40. (New/Amended) The method of claim 38, wherein the antidiabetic agent is a SGLT2 inhibitor.
41. (New) A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is

or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.
42. (New) The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.
43. (New) The method of any one of claims 41 or 42 , wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

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44. (New) The method of claim 43, wherein the antidiabetic agent is metformin.
45. (New) The method of claim 43, wherein the antidiabetic agent is a SGLT2
inhibitor.

\section*{Changes to 767 Patent Previously Entered by Certificate of Correction}
1. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 7, line 4-col. 8, line 7 of the 767 patent:
Alternately, the carboxamide group in 8 may be converted to the nitrile as described above to give compound 9 . Deprotection of \(\mathrm{PG}_{1}\) affords 10 which may be subject to standard peptide coupling conditions to afford 7 , an intermediate in the synthesis of Ib . Compound 10 may also be generated by oxidation of the amine 2 (e.g. NCS) followed by hydrolysis and subsequent cyanide treatment. Compound 10 may be obtained as a mixture of stereoisomers or a single isomer/diastereomer which may be epimerized (employing conventional procedures) to afford a mixture of stereoisomers.
2. As indicated by the Certificate of Correction, please insert the following structure at col. 14, line 50 of the 767 patent:

3. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 55-58 of the 767 patent:
The term "cycloheteroalkylalkyl" as used herein alone or as part of another group refers tocycloheteroalkyl groups as defined above linked through a C atom or heteroatom to a \(\left(\mathrm{CH}_{2}\right)_{\mathrm{r}}\) chain.
4. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 15 , lines 49-56 of the 767 patent:
The other antidiabetic agent may also preferably be a sulfonyl urea such as glyburide (also known as glibenclamide), glimepiride (disclosed in U.S. Pat. No. 4,379,785), glipizide, gliclazide or chlorpropamide, other known sulfonylureas or other

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antihyperglycemic agents which act on the ATP-dependent channel of the \(\beta\)-cells, with glyburide and glipizide being preferred, which may be administered in the same or in separate oral dosage forms.
5. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 20, lines 57-62 of the 767 patent:
The other type of therapeutic agent which may be optionally employed with the DP4 inhibitor of formula I may be 1, 2, 3 or more of an anti-obesity agent including a beta 3 adrenergic agonist, a lipase inhibitor, a serotonin (and dopamine) reuptake inhibitor, a thyroid receptor beta drug, an anorectic agent and/or a fatty acid oxidation upregulator.
6. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, lines 15-29 of the 767 patent:
To a stirred solution of (S)-N-tert-butoxycarbonyl-isoleucine ( \(231 \mathrm{mg}, 1 \mathrm{mmol}\) ) and benzotriazol-1-yloxytripyrrolidinophosphonium hexafluorophosphate ( \(780 \mathrm{mg}, 1.5\) \(\mathrm{mmol})\) in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(6 \mathrm{~mL})\) under nitrogen at rt was added 4-methylmorpholine ( \(0.33 \mathrm{~mL}, 3\) mmol ). After 5 min , Step 1 compound ( \(120 \mathrm{mg}, 1 \mathrm{mmol}\) ) was added in one portion. The reaction mixture was stirred under nitrogen at rt overnight and then diluted with \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) ( 30 mL ), washed with \(4.1 \% \mathrm{KHSO}_{4}(10 \mathrm{~mL})\) ), aqueous \(\mathrm{NaHCO}_{3}(10 \mathrm{~mL})\), brine \((10 \mathrm{~mL})\), dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\) and evaporated. Purification by flash chromatography on silica gel ( \(2.4 \times 20 \mathrm{~cm}\) column, 1:3 EtOAc/hexane) gave the title compound as a colorless oil, 290 \(\mathrm{mg}, 90 \%\) yield. LC/MS gave the correct molecular ion \(\left[(\mathrm{M}+\mathrm{H})^{+}=297\right]\) for the desired compound.
7. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, line 40-col. 30 , line 3 of the 767 patent:
The reaction mixture of Step 2 compound ( \(220 \mathrm{mg}, 0.74 \mathrm{mmol}\) ) and 4 M HCl in dioxane \((1.5 \mathrm{~mL}, 6 \mathrm{mmol})\) was stirred at rt for 2 h and evaporated under reduced pressure. \(\mathrm{Et}_{2} \mathrm{O}\) was added to the residue and a precipitate was formed. \(\mathrm{Et}_{2} \mathrm{O}\) was decanted and this was

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done three times. The precipitate was dried in vacuo to give the title compound as a white powder, 130 mg ( \(76 \%\) yield), \(\mathrm{mp} 205-206^{\circ} \mathrm{C}\). LC/MS gave the correct molecular ion \(\left[(\mathrm{M}+\mathrm{H})^{+}=197\right]\) for the desired compound.
8. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32 , lines 54-63 of the 767 patent:

To a stirred solution of Step 2 compounds ( \(104 \mathrm{mg}, 0.32 \mathrm{mmol}\) ) in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(1 \mathrm{~mL})\) at rt was added TFA ( 1 mL ). The reaction mixture was stirred at rt for 2 h . The reaction mixture was added slowly to a precooled slurry of \(\mathrm{NaHCO}_{3}(2 \mathrm{~g})\) in \(\mathrm{H}_{2} \mathrm{O}(2 \mathrm{~mL})\). The mixture was extracted with \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(4 \mathrm{~mL} \times 4)\), and combined \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) layers were evaporated and purified by preparative HPLC to give the title compound Example 5 (36 mg ) and Example 5A ( 36 mg ). LC/MS gave the correct molecular ion \(\left[(\mathrm{M}+\mathrm{H})^{+}=222\right]\) for the desired compounds..
9. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32 , line \(66-\mathrm{col} .33\), line 12 of the 767 patent:
General Method A: Parallel array synthesis methods for preparation of inhibitors from commercially available amino acids. As shown in Scheme 3, the ester 11, described in Example 1 Step 1, was saponified to the acid with LiOH in \(\mathrm{THF} / \mathrm{H}_{2} \mathrm{O}\) and converted to the amide 12 by treatment with isobutyl chloroformate/NMM followed by ammonia in dioxane. The Boc protecting group was removed under acidic conditions using TFA in methylene chloride to give 13 . The TFA salt was coupled to Boc-t-butylglycine using either EDAC/HOBT/DMF or EDAC/DMAP/ \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) to give 14. The amide was dehydrated to the nitrile 15 using \(\mathrm{POCl}_{3} /\) imidazole in pyridine at \(-20^{\circ} \mathrm{C}\) and finally deprotected with TFA in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) at ambient temperature to afford the target 16 . SCHEME 3, GENERAL METHOD A (EXAMPLES 6-27)
10. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 34 , line 59-col. 35 , line 13 of the 767 patent:

An oven-dried \(15-\mathrm{mL}\) test tube was charged with Step 3 compound ( \(56 \mathrm{mg}, 0.22 \mathrm{mmol}\) ), N -tert-butoxycarbonyl-(L)-tert-leucine ( \(53 \mathrm{mg}, 0.23 \mathrm{mmol}\) ), dimethylaminopyridine ( \(0.11 \mathrm{~g}, 0.88 \mathrm{mmol}\) ), and \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(4 \mathrm{~mL})\). The tube was sealed under nitrogen atmosphere and treated with 1-[(3-(dimethyl)amino)propyl]-3-ethylcarbodiimide ( 84 mg , \(0.44 \mathrm{mmol})\). The mixture was placed in a shaker and vortexed overnight. The product was purified by solid phase extraction using a United Technology SCX column (2 g of sorbent in a 6 mL column) by loading the material on a SCX ion exchange column and successively washing with \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(5 \mathrm{~mL}), 30 \%\) methanol in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(5 \mathrm{~mL}), 50 \%\) methanol in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(5 \mathrm{~mL})\) and methanol \((10 \mathrm{~mL})\). The product containing fractions were concentrated under reduced pressure to give the desired amide. Further purification by reverse phase preparative column chromatography on a YMC S5 ODS \(20 \times 250 \mathrm{~mm}\) column gave the title compound, 50 mg ( \(68 \%\) yield). Purification conditions: Gradient elution from \(30 \%\) methanol/water/0.1 TFA to \(90 \%\) methanol/water/ 0.1 TFA over 15 min . 5 min . hold at \(90 \%\) methanol/water \(/ 0.1 \mathrm{TFA}\). Flow rate: \(20 \mathrm{~mL} / \mathrm{min}\). Detection wavelength: 220. Retention Time: 14 min .
11. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 41 , lines \(36-46\) of the 767 patent:

An oven-dried \(10-\mathrm{mL}\) round bottomed flask was charged with Step 2 compound ( 350 mg , 0.79 mmol ), imidazole ( \(108 \mathrm{mg}, 1.58 \mathrm{mmol}\) ), pyridine ( 3 mL ). The flask under argon was cooled to \(-30^{\circ} \mathrm{C}\). Slow addition of \(\mathrm{POCl}_{3}(0.30 \mathrm{~mL}, 3.16 \mathrm{mmol})\) gave after mixing a thick slurry. The slurry was mixed at \(-30^{\circ} \mathrm{C}\) for 3 h and the volatiles evaporated. Dichloromethane ( 5 mL ) was then added and the insoluble solid was removed by filtration. The organic layer was washed with \(\mathrm{H}_{2} \mathrm{O}, 10 \%\) citric acid, brine and dried over \(\mathrm{Na}_{2} \mathrm{SO}_{4}\). Removal of solvent gave crude desired nitrile ( 330 mg ) (LC/Mass, + ion): 424 \((\mathrm{M}+\mathrm{H})\).
12. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 20-29 of the 767 patent:
To a flame-dried \(500-\mathrm{mL}\) round-bottomed flask containing cyclopentylideneacetic acid ethyl ester ( \(17.5 \mathrm{~g}, 113 \mathrm{mmol}\) ) in 100 mL anhydrous toluene at \(-78^{\circ} \mathrm{C}\) under argon was added DIBAL-H ( 189 mL of a 1.5 M solution in toluene, \(284 \mathrm{mmol}, 2.50\) equiv) dropwise over a 30 min period through an addition funnel, and the mixture was then allowed to warm to rt, stirring for 18 h . The reaction mixture was then recooled to \(-78^{\circ} \mathrm{C}\), and quenched by the careful addition of 30 mL anhydrous MeOH . Upon warming to \(\mathrm{rt}, 1\) N Rochelle's salt ( 100 mL ) was added, and the mixture was stirred 90 min . The biphasic reaction mixture was then diluted with \(\mathrm{Et}_{2} \mathrm{O}(200 \mathrm{~mL})\) in a separatory funnel, and the layers were separated. The organic layer was then washed with brine \((100 \mathrm{~mL})\), dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\), and concentrated under reduced pressure. Purification by flash column chromatography (silica gel, \(\mathrm{CH}_{2} \mathrm{Cl}_{2} / \mathrm{EtOAc}, 10: 1\) ) gave 11.6 g ( \(92 \%\) ) of the desired allylic alcohol as a colorless oil.
13. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 53-67 of the 767 patent:

To a flame-dried \(500-\mathrm{mL}\) round-bottomed flask containing N -(tertbutyloxycarbonyl)glycine ( \(13.45 \mathrm{~g}, 76.75 \mathrm{mmol}\) ) in \(100 \mathrm{~mL} \mathrm{CH}_{2} \mathrm{Cl}_{2}\) at rt was added Step 2 compound ( \(8.61 \mathrm{~g}, 76.75 \mathrm{mmol}\), 1.00 equiv) in \(20 \mathrm{~mL} \mathrm{CH} \mathrm{Cl}_{2}\), followed by dicyclohexylcarbodiimide ( 16.63 g , mmol, 1.05 equiv) in \(80 \mathrm{~mL} \mathrm{CH} \mathrm{Cl}_{2}\). To this reaction mixture was then added 4-dimethylaminopyridine ( \(0.94 \mathrm{mg}, \mathrm{mmol}, 0.10\) equiv), and the mixture was allowed to stir overnight. The reaction mixture was then filtered through a medium sintered-glass funnel, rinsing with \(100 \mathrm{~mL} \mathrm{CH}_{2} \mathrm{Cl}_{2}\), and concentrated under reduced pressure. The crude product was then purified by flash chromatography (silica gel, hexanes/EtOAc, 20:1 to \(1: 1\) gradient) to give \(19.43 \mathrm{~g}(94 \%)\) of the desired glycinyl ester as a colorless oil.
14. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 44, lines 19-45 of the 767 patent:

A flame-dried \(500-\mathrm{mL}\) round-bottomed flask under argon was charged with \(\mathrm{ZnCl}_{2}\) (11.8 \(\mathrm{g}, \mathrm{mmol}, 1.20\) equiv) and 20 mL toluene. The mixture was heated under vacuum with vigorous stirring to azeotrope off any traces of moisture with the distilling toluene, repeating this process ( 2 x ). The flask was then cooled to rt under argon, (2cyclopentylideneethyl) N -(tert-butyloxycarbonyl)glycinate ( \(19.36 \mathrm{~g}, 71.88 \mathrm{mmol}\) ) was added via cannula as a solution in 180 mL THF, and the mixture was then cooled to \(78^{\circ} \mathrm{C}\). In a separate flame-dried \(200-\mathrm{mL}\) round-bottomed flask containing diisopropylamine ( 26.3 mL , mmol, 2.60 equiv) in 90 mL THF at \(-78^{\circ} \mathrm{C}\) was added n butyllithium ( 71.89 mL of a 2.5 M solution in hexanes, mmol, 2.5 equiv), and the mixture was allowed to warm to \(0^{\circ} \mathrm{C}\) for 30 min before recooling to \(-78^{\circ} \mathrm{C}\). The lithium diisopropylamine thus generated was then added via cannula to the \(\mathrm{ZnCl}_{2}\) ester mixture dropwise at a steady rate over 40 min , and the resultant reaction mixture was allowed to slowly warm to rt and stir overnight. The yellow reaction mixture was then poured into a separatory funnel, diluted with \(300 \mathrm{~mL} \mathrm{Et}_{2} \mathrm{O}\), and the resultant organic solution was washed successively with 300 mL 1 N HCl and 300 mL brine, dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\), and concentrated under reduced pressure. Purification by flash chromatography (silica gel, \(3 \% \mathrm{MeOH}\) in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) with \(0.5 \% \mathrm{HOAc}\) ) gave \(17.8 \mathrm{~g}(92 \%)\) of the desired amino acid product as a white solid. (FAB MH+270).
15. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col 46 , lines \(48-59\) of the 767 patent:

Step 2 compound ( \(32 \mathrm{mg}, 0.09 \mathrm{mmol}\) ) was dissolved in 1 mL of \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) and 1 mL of TFA was added and the reaction stirred for 30 min at rt and was evaporated to dryness. The product was purified by reverse phase preparative column chromatography on a YMC S5 ODS \(20 \times 250 \mathrm{~mm}\) column to give 12 mg of the TFA salt (lyophilized from water or isolated after evaporation of eluent and trituration with ether) the title compound. Purification conditions: gradient elution from \(10 \%\) methanol/water/0.1 TFA
to \(90 \%\) methanol/water/ 0.1 TFA over \(18 \mathrm{~min} ; 5 \mathrm{~min}\). hold at \(90 \%\) methanol/water/0.1 trifluoroacetic acid. Flow rate: \(20 \mathrm{~mL} / \mathrm{min}\). Detection wavelength: 220 .
16. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 46, lines 61-67 of the 767 patent:
Examples 30-39 were prepared by the methods outlined in General Method B and General Method C starting from cyclopentanone, cyclobutanone, cyclohexanone, cycloheptanone, cyclooctanone, cis-3,4-dimethylcyclopentanone, and 4-pyranone, cyclopropaneethylhemiacetal, acetone, and 3-pentanone respectively.
17. As indicated by the Certificate of Correction, at col. 52, line 64 of the 767 patent, change " 25 " to ---28--.
18. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53 , lines 28-45 of the 767 patent:

N-Boc protected cyclobutylvinyl compound (Example 31, prepared by general method C) \((0.16 \mathrm{~g}, 0.46 \mathrm{mmol})\) was dissolved in 10 mL of a \(1: 1\) mixture of THF:water and treated with \(\mathrm{OsO}_{4}\) ( 12 mg , catalyst) and \(\mathrm{NaIO}_{4}(0.59 \mathrm{~g}, 2.76 \mathrm{mmol}, 6\) equiv). After 2 h , the reaction mixture was diluted with 50 mL of ether and 10 mL of water. The layers were equilibrated and the organic fraction was washed one time with \(\mathrm{NaHCO}_{3}\) solution, dried over \(\mathrm{MgSO}_{4}\) and concentrated to give a dark oil. The oil was diluted with 10 mL of methanol and treated with \(\mathrm{NaBH}_{4}(0.08 \mathrm{~g}, 2.0 \mathrm{mmol})\). The mixture turned very dark and after 30 min was diluted with ether and the reaction was quenched with aqueous \(\mathrm{NaHCO}_{3}\) solution. The mixture was equilibrated and layers separated. The organic fraction was washed with solutions of \(\mathrm{NaHCO}_{3}\) and 0.1 M HCl . The organics were dried \(\left(\mathrm{MgSO}_{4}\right)\) and concentrated to give \(90 \mathrm{mg}(56 \%)\) of the Step 1 compound as a dark oil.
19. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 59-67 of the 767 patent:

Step 1 compound ( \(90 \mathrm{mg}, 0.26 \mathrm{mmol}\) ) was dissolved in 3 mL of \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\), cooled to \(0^{\circ} \mathrm{C}\) and treated with 3 mL of freshly distilled TFA. The reaction was complete in 80 min and evaporated to dryness and purified by preparative HPLC (YMC S5 ODS \(30 \times 100 \mathrm{~mm}\), 10 minute gradient \(100 \% \mathrm{~A}\) to \(100 \% \mathrm{~B}\), Solvent \(\mathrm{A}=10 \% \mathrm{MeOH}-90 \% \mathrm{H} 2 \mathrm{O}-0.1 \% \mathrm{TFA}\), Solvent B \(=90 \% \mathrm{MeOH}-10 \% \mathrm{H}_{2} \mathrm{O}-0.1 \%\) TFA, to give, after removal of water, 50 mg \((60 \%)\) of title compound. \((\mathrm{MH}+250)\).
20. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 62, lines 56-67 of the 767 patent:
The Step 2 compound ( \(95 \mathrm{mg}, 0.22 \mathrm{mmol}, 1\) equiv) was dissolved in anhydrous \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) \((2.5 \mathrm{~mL})\) under argon and cooled to \(-78^{\circ} \mathrm{C}\). The mixture was treated with diisopropylethylamine ( \(65 \mu \mathrm{~L}, 0.37 \mathrm{mmol}, 1.7\) equiv), and triethylsilyl triflate ( \(75 \mu \mathrm{~L}\), \(0.33 \mathrm{mmol}, 1.5\) equiv), and stirred at \(0^{\circ} \mathrm{C}\) for 1.5 h . The reaction was mixed with MeOH \((0.5 \mathrm{~mL})\), silica gel ( 200 mg ) and \(\mathrm{H}_{2} \mathrm{O}(2\) drops) and stirred at rt for 18 h . The solvent was removed by rotary evaporation and the residue purified flash column chromatography on silica \(\operatorname{gel}(2.5 \times 10 \mathrm{~cm})\) with \(4 \% \mathrm{MeOH} / \mathrm{CH}_{2} \mathrm{Cl}_{2}\) to afford the product \((92 \mathrm{mg}, 0.17 \mathrm{mmol}, 77 \%): \mathrm{MS} \mathrm{m} / \mathrm{e} 540(\mathrm{~m}+\mathrm{H})^{+}\).
21. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 66, lines 11-27 of the 767 patent:
An oven-dried flask equipped with a condenser and drying tube was charged with norbornane-2-carboxylic acid ( \(4.92 \mathrm{~g}, 35 \mathrm{mmol}, 1\) equiv) and treated with bromine ( 2.1 \(\mathrm{mL}, 41 \mathrm{mmol}, 1.15\) equiv) and phosphorous trichloride ( \(0.153 \mathrm{~mL}, 1.8 \mathrm{mmol}, 0.05\) equiv). The mixture was heated at \(85^{\circ} \mathrm{C}\) for 7 h protected from light. Additional bromine ( \(0.4 \mathrm{~mL}, 7.8 \mathrm{mmol}, 0.22\) equiv) was added with continued heating for 1 h . The mixture was cooled to rt , and \(\mathrm{Et}_{2} \mathrm{O}(100 \mathrm{~mL})\) was added. The mixture was washed with \(10 \% \mathrm{aq}\) \(\mathrm{NaHSO}_{3}(50 \mathrm{~mL}), \mathrm{H}_{2} \mathrm{O}(2 \times 50 \mathrm{~mL})\), and brine \((25 \mathrm{~mL})\). The ether fraction was dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\), filtered and concentrated by rotary evaporation. The product was purified by flash column chromatography on silica gel ( \(5 \times 15 \mathrm{~cm}\) ) with \(2 \%\) to \(4 \% \mathrm{MeOH} / \mathrm{CH}_{2} \mathrm{Cl}_{2}+\)
\(0.5 \%\) HOAc. The product was chased with hexanes to remove residual HOAc. The isolated material consists of two inseparable materials ( 4.7 g ), which was used without further purification in the next step.
22. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69 , lines 20-27 of the 767 patent:

To a \(50-\mathrm{mL}\) round-bottomed flask containing Step 2 compound ( \(0.72 \mathrm{~g}, 4.20 \mathrm{mmol}\) ) in 8 mL of water at rt was added \(\mathrm{NaCN}(0.20 \mathrm{~g}, 4.20 \mathrm{mmol})\) followed by \(\mathrm{NH}_{4} \mathrm{Cl}(0.20 \mathrm{~g}, 5.00\) \(\mathrm{mmol})\). To this reaction mixture was then added methanol ( 8 mL ) and the mixture was allowed to stir overnight. The reaction mixture was then extracted with ether ( \(2 \times 15 \mathrm{~mL}\) ), dried \(\left(\mathrm{MgSO}_{4}\right)\) and concentrated under reduced pressure to give the crude Strecker product.
23. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 28-44 of the 767 patent:

To a \(100-\mathrm{mL}\) round-bottomed flask containing the crude Strecker product was added 10 mL of HOAc and 10 mL of conc. HCl . The mixture was refluxed overnight. The mixture was concentrated under reduced pressure to give a yellow solid. The solid was triturated with 5 mL of 1:1 mixture of ether and hexanes. The white solid was treated with triethylamine ( \(1.4 \mathrm{~mL}, 9.99 \mathrm{mmol}\) ) and di-tert-butyldicarbonate ( \(1.00 \mathrm{~g}, 4.60 \mathrm{mmol}\) ) in 50 mL DMF. After 4 h the pH of the mixture was adjusted to 9 with saturated \(\mathrm{Na}_{2} \mathrm{CO}_{3}\) soln. After an additional 3 h of stirring the mixture was extracted with \(1: 1\) ether and hexanes and the aqueous fraction acidified to pH 2 with \(5 \% \mathrm{KHSO}_{4}\) solution. The aqueous phase was washed with ether ( 2 X 40 mL ), the organics dried \(\left(\mathrm{MgSO}_{4}\right)\), and evaporated to an oil that was purified by silica gel flash chromatography with 8:92 methanol: \(\mathrm{CH}_{2} \mathrm{Cl}_{2}\) to give \(0.3 \mathrm{~g}(23 \%)\) of the Boc-protected amino acid as a light oil (MH, 318).
24. As indicated by the Certificate of Correction, please move "Step 1" at col. 70, line 56 of the 767 patent to col. 70 , line 65.
25. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72 , lines 30-49 of the 767 patent:

Sodium ethoxide ( 940 mg of \(21 \mathrm{wt} \%\) solution in ethanol, 2.9 mmol ) in ethanol ( 2 mL ) was added to a stirred solution of diethyl acetamidomalonate ( \(4.31 \mathrm{~g}, 19.8 \mathrm{mmol}\) ) in EtOH \((23 \mathrm{~mL})\) at rt under argon. The reaction mixture was cooled to \(0^{\circ} \mathrm{C}\); and trans-2-pentenal \((1.51 \mathrm{~g}, 18.0 \mathrm{mmol})\) was added dropwise maintaining the reaction temperature at \(<5^{\circ} \mathrm{C}\). After the addition, the reaction was allowed to warm to rt , stirred for 4 h , then quenched with acetic acid \((460 \mu \mathrm{l})\). The solution was concentrated in vacuo, and the residue dissolved in EtOAc ( 25 mL ), washed with \(10 \% \mathrm{NaHCO}_{3}\) solution ( \(2 \times 5 \mathrm{~mL}\) ), brine and dried \(\left(\mathrm{MgSO}_{4}\right)\). The solution was filtered and concentrated to a 10 mL volume, then heated to reflux and diluted with hexane ( 20 mL ). Upon cooling to rt, the title compound precipitated and was collected to give \(3.0 \mathrm{~g}(50 \%)\) of the Step 1 compound (mp 106\(109^{\circ} \mathrm{C}\); LC/Mass: + ions, \(324 \mathrm{M}+\mathrm{Na}\) ).
26. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72 , line 64 -col. 73 , line 8 of the 767 patent:

To a solution of Step 1 compound ( \(2.87 \mathrm{~g}, 9.5 \mathrm{mmol}\) ) and triethylsilane ( \(2.28 \mathrm{~mL}, 14.3\) \(\mathrm{mmol})\) in \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(30 \mathrm{~mL})\) under argon was added TFA ( \(7.35 \mathrm{~mL}, 95.3 \mathrm{mmol}\) ) dropwise with stirring while maintaining the internal temperature at \(25^{\circ} \mathrm{C}\) by means of an ice bath. After stirring for 4 h at rt , the solution was concentrated. The residue was diluted with \(\mathrm{CH}_{2} \mathrm{Cl}_{2}(100 \mathrm{~mL})\), then treated with \(\mathrm{H}_{2} \mathrm{O}(50 \mathrm{~mL})\) and solid \(\mathrm{Na}_{2} \mathrm{CO}_{3}\) with vigorous stirring until the mixture was basic. The organic layer was separated, dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\), filtered, then concentrated to give the Step 2 compound as a yellow oil which was used without further purification (LC/Mass: + ions, \(308 \mathrm{M}+\mathrm{Na}\) ).
27. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 73 , lines 22-27 of the 767 patent:

Step 2 compound ( \(3.73 \mathrm{~g}, 9.5 \mathrm{mmol}\) ) was suspended in \(6 \mathrm{~N} \mathrm{HCl}(20 \mathrm{~mL})\) and HOAc ( 5 mL ) and heated at reflux for 20 h . The reaction mixture was then cooled, washed with EtOAc ( 20 mL ), then concentrated to give an oil which crystallized upon trituration with ether to give the title compound (1.2 g, 70.6\%) (LC/Mass, + ion): 144 (M+H).
28. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 74 , lines \(26-41\) of the 767 patent:

Sodium ethoxide ( \(940 \mathrm{mg}, 2.9 \mathrm{mmol} ; 21 \% \mathrm{w} / \mathrm{w}\) solution in ethanol) in ethanol ( 2 mL ) was added to a stirred solution of diethyl acetamidomalonate \((4.31 \mathrm{~g}, 19.8 \mathrm{mmol})\) in EtOH ( 23 mL ) at rt under argon. The reaction mixture was cooled to \(0^{\circ} \mathrm{C}\); and 4-methyl-2-pentenal ( \(1.77 \mathrm{~g}, 18.0 \mathrm{mmol}\) )was added dropwise maintaining the reaction temperature at \(<5^{\circ} \mathrm{C}\). After the addition, the reaction was allowed to warm to rt , stirred for 4 h , then quenched with acetic acid \((460 \mu \mathrm{l})\). The solution was concentrated and the remainder dissolved in EtOAc ( 25 mL ). The organics were washed with \(10 \% \mathrm{NaHCO}_{3}\) solution \((2 \times 5 \mathrm{~mL})\), brine and dried \(\left(\mathrm{MgSO}_{4}\right)\). The solution was filtered and concentrated to 10 mL volume, then heated to reflux and treated with hexane \((20 \mathrm{~mL})\). On cooling, the Step 1 compound precipitated and was collected (3.3 g) (LC/Mass, + ion): \(338(\mathrm{M}+\mathrm{Na})\).
29. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 79 , lines 54-67 of the 767 patent:

N-[((S)-cyclopentylvinyl)-N-tert-butoxycarbonylglycinyl]-(2S,4S,5S)-2-cyano-4,5-methano-L-prolylamide ( \(70 \mathrm{mg}, 0.19 \mathrm{mmol}\) ) described in General Method C, Step 2 was dissolved in a mixture of \(2 \mathrm{~mL} t-\mathrm{BuOH} / 3 \mathrm{~mL}\) THF and N -methylmorpholine-N-oxide ( \(33 \mathrm{mg}, 0.28 \mathrm{mmol}\) ) was added followed by osmium tetroxide ( \(0.1 \mathrm{mmol}, 50 \mathrm{~mol} \%\) ). The reaction was quenched with 1 mL of \(10 \%\) aqueous \(\mathrm{Na}_{2} \mathrm{SO}_{3}\) and was taken up in EtOAc and washed with \(\mathrm{H}_{2} \mathrm{O} 5 \mathrm{~mL}\), dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\), filtered, evaporated and purified by silica gel flash chromatography \(\left(5 \% \mathrm{MeOH} / \mathrm{CH}_{2} \mathrm{Cl}_{2}\right)\) to give \(41 \mathrm{mg}(55 \%)\) of the protected diol
as an oil. The title compound was obtained by deprotection of the amine functionality with TFA according to General Method C (FAB MH +294 ).
30. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 82 , lines 52-67 of the 767 patent:
According to literature (J. Org. Chem 1994, 59, 8215), a solution of Step 3 compound \((0.875 \mathrm{~g}, 3.83 \mathrm{mmol})\) in dry benzene \((4.0 \mathrm{~mL})\) was treated with triethylamine \((0.52 \mathrm{~mL}\), 3.83 mmol ) and diphenylphosphoryl azide ( \(0.85 \mathrm{~mL}, 3.83 \mathrm{mmol}\) ), refluxed under nitrogen for 1 h and cooled to rt . The solution was treated with benzyl alcohol \((0.60 \mathrm{~mL}\), 5.75 mmol or 1.5 equiv), refluxed for 17 h , cooled then diluted with ether ( 40 mL ). The solution was washed with \(10 \%\) aqueous citric acid ( \(2 \times 3 \mathrm{~mL}\) ), back-extracting the citric acid wash with ether ( 40 mL ). The combined organic extracts were washed with \(5 \%\) sodium bicarbonate \((2 \times 3 \mathrm{~mL})\), dried \(\left(\mathrm{MgSO}_{4}\right)\), filtered, and concentrated. Flash chromatography on silica gel of the crude product with \(10 \%\) EtOAc in hexane ( 1.0 L ) gave step 4 compound as a clear thick syrup. Yield: \(1.15 \mathrm{~g}(90 \%) . \mathrm{MS}(\mathrm{M}+\mathrm{H}) 334\).
31. As indicated by the Certificate of Correction, at col. 84, line 34 of the 767 patent, please replace "NS" with --MS--.
32. As indicated by the Certificate of Correction, please replace claim 8 at col. 91, lines 9-49 with the following corrected claim:
8. A compound having the structure:





or

or a pharmaceutically acceptable salt thereof.
33. As indicated by the Certificate of Correction, please replace claim 10 at col. 91 , line 54-col. 92 , line 18 with the following corrected claim:
10. A compound which is


A
(1S, 2 (2S) , 3S, 5S)
wherein \(R^{1}\) is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,
or


B
(1R,2S, \(3(2 S), 5 S)\)
wherein \(\mathrm{R}^{1}\) is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.
34. As indicated by the Certificate of Correction, please replace claim 15 at col. 92 , lines 36 to 44 of the 767 patent with the following corrected claim:
15. The combination as defined in Claim 14 wherein the antidiabetic agent is 1 , 2,3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.

\section*{Amendments to the Claims of the \(\mathbf{7 6 7}\) Patent:}

Please further amend the claims of the 767 patent as shown below (deletions and additions are shown relative to the claims as issued in the 767 patent):

Amend claim 1 as follows:
1. A compound having the structure

wherein x is 0 or 1 and y is 0 or 1 , provided that
\(x=1\) when \(y=0\) and
\(\mathrm{x}=0\) when \(\mathrm{y}=1\); and wherein
n is 0 or 1 ;

X is H or CN ;
\(R^{1}, R^{2}, R^{3}\) and \(R^{4}\) are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkylalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl,
cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro, cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylaminocarbonylamino, alkoxycarbonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;
and \(R^{1}\) and \(R^{3}\) may optionally be taken together to form \(\left(C R^{5} R^{6}\right)_{m}\) where \(m\) is 2 to 6 , and \(\mathrm{R}^{5}\) and \(\mathrm{R}^{6}\) are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or \(R^{1}\) and \(R^{4}\) may optionally be taken together to form \(\left(\mathrm{CR}^{7} \mathrm{R}^{8}\right)_{\mathrm{p}}\) wherein p is 2 to 6 , and \(\mathrm{R}^{7}\) and \(\mathrm{R}^{8}\) are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or optionally \(\mathrm{R}^{1}\) and \(\mathrm{R}^{3}\) together with

form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from \(\mathrm{N}, \mathrm{O}, \mathrm{S}, \mathrm{SO}\), or \(\mathrm{SO}_{2}\);
or optionally \(R^{1}\) and \(R^{3}\) together with

form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;
with the proviso that where \(x\) is 1 and \(y\) is \(0, X\) is \(H, n\) is \(o\), and one of \(R^{1}\) and \(R^{2}\) is \(H\) and the other is alkyl, then \(\mathrm{R}^{3}\) is other than pyridyl or substituted pyridyl;
including all stereoisomers thereof;
or [and] a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.

Amend claim 12 as follows:
12. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent.

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Office Action Dated: May 8, 2012
Amend claim 13 as follows:
13. The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound as defined in claim 1 and [an] the antidiabetic agent other than a DP4 inhibitor.

Amend claim 16 as follows:
16. The combination as defined in claim 13 wherein the compound as defined in claim 1 is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.

Amend claim 17 as follows:
17. The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.

Amend claim 21 as follows:
21. The combination as defined in claim 19 wherein the compound as defined in claim 1 [DP4 inhibitor] is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

Amend claim 22 as follows:
22. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing or inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.

Amend added claim 29 to read as follows:
29. The composition of claim 27 or 28 further comprising an antidiabetic agent other than a DP4 inhibitor.

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Amend added claim 30 to read as follows:
30. The composition of claim 29 wherein the antidiabetic agent is metformin.

Amend added claim 31 to read as follows:
31. The composition of claim 29 wherein the antidiabetic agent is a SGLT2 inhibitor.

Cancel added claims 36 and 37.

Amend added claim 38 to read as follows:
38. The method of any one of claims \(32,33,34\), or 35 , wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

Amend added claim 39 to read as follows:
39. The method of claim 38 wherein the antidiabetic agent is metformin.

Amend added claim 40 to read as follows: \(\backslash\)
40. The method of claim 38 wherein the antidiabetic agent is a SGLT2 inhibitor.

Add new claims 41 to 45 to read as follows:
41. A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is


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or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.
42. The method of claim 41, wherein the pharmaceutically acceptable salt is the
hydrochloride salt.
43. The method of any one of claims 41 or 42 , wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.
44. The method of claim 43, wherein the antidiabetic agent is metformin.
45. The method of claim 43, wherein the antidiabetic agent is a SGLT2 inhibitor.

Complete Listing of Claims As Amended (including status identifiers):
1. (Amended) A compound having the structure

wherein x is 0 or 1 and y is 0 or 1 , provided that
\(x=1\) when \(y=0\) and
\(\mathrm{x}=0\) when \(\mathrm{y}=1\); and wherein
n is 0 or 1 ;

X is H or CN ;
\(R^{1}, R^{2}, R^{3}\) and \(R^{4}\) are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkylalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with \(1,2,3,4\) or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro,
cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylaminocarbonylamino, alkoxycarbonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;
and \(R^{1}\) and \(R^{3}\) may optionally be taken together to form \(\left(C R^{5} R^{6}\right)_{m}\) where \(m\) is 2 to 6 , and \(R^{5}\) and \(\mathrm{R}^{6}\) are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or \(R^{1}\) and \(R^{4}\) may optionally be taken together to form \(\left(\mathrm{CR}^{7} \mathrm{R}^{8}\right)_{p}\) wherein p is 2 to 6 , and \(\mathrm{R}^{7}\) and \(\mathrm{R}^{8}\) are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or optionally \(\mathrm{R}^{1}\) and \(\mathrm{R}^{3}\) together with

form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from \(\mathrm{N}, \mathrm{O}, \mathrm{S}, \mathrm{SO}\), or \(\mathrm{SO}_{2}\);
or optionally \(R^{1}\) and \(R^{3}\) together with

form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;
with the proviso that where \(x\) is 1 and \(y\) is \(0, X\) is \(H, n\) is \(o\), and one of \(R^{1}\) and \(R^{2}\) is \(H\) and the other is alkyl, then \(\mathrm{R}^{3}\) is other than pyridyl or substituted pyridyl; including all stereoisomers thereof; or [and] a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.
2. (Original) The compound as defined in claim 1 having the structure:

3. (Original) The compound as defined in claim 1 having the structure:

4. (Original) The compound as defined in claim 1 having the structure:

5. (Original) The compound as defined in claim 1 having the structure:

6. (Original) The compound as defined in claim 1 wherein:
\(R^{3}\) is \(H, R^{1}\) is \(H\), alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl hydroxybicycloalkyl, or hydroxytricycloalkyl,
\(R^{2}\) is \(H\) or alkyl, \(n\) is 0 ,
X is CN .
7. (Original) The compound as defined in claim 1 wherein the cyclopropyl fused to the pyrrolidine has the configuration:


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8. (Original) A compound having the structure:





or

or a pharmaceutically acceptable salt thereof.
9. (Original) The compound as defined in claim 8 wherein the pharmaceutically acceptable salt is the hydrochloride salt or the trifluoroacetic acid salt.
10. (Original) A compound which is


A
\((1 S, 2(2 S), 3 S, 5 S)\)
wherein \(\mathrm{R}^{1}\) is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,
or

\[
\stackrel{\mathrm{B}}{(1 \mathrm{R}, 2 \mathrm{~S}, 3(2 \mathrm{~S}), 5 \mathrm{~S})}
\]
wherein \(R^{1}\) is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.
11. (Original) A pharmaceutical composition comprising a compound as defined in claim 1 and a pharmaceutically acceptable carrier therefor.
12. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent.
13. (Twice Amended) The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound as defined in claim 1 and [an] the antidiabetic agent other than a DP4 inhibitor.
14. (Original) The combination as defined in claim 13 wherein the antidiabetic agent is \(1,2,3\) or more of a biguanide, a sulfonyl urea, a glucosidase inhibitor, a PPAR agonist, a PPAR / dual agonist, an SGLT2 inhibitor, an aP2 inhibitor, a glycogen phosphorylase inhibitor, an AGE inhibitor, an insulin sensitizer, a glucagon-like peptide-1 (GLP-1) or mimetic thereof, insulin and/or a meglitinide.

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15. (Original) The combination as defined in Claim 14 wherein the antidiabetic agent is \(1,2,3\) or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.
16. (Amended) The combination as defined in claim 13 wherein the compound as defined in claim 1 is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.
17. (Amended) The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.
18. (Original) The combination as defined in claim 17 wherein the anti-obesity agent is orlistat, ATL-962, AJ9677, L750355, CP331648, sibutramine, topiramate, axokine, dexamphetamine, phentermine, phenylpropanolamine, famoxin, and/or mazindol.
19. (Original) The combination as defined in claim 12 wherein the lipid modulating agent is an MTP inhibitor, an HMG CoA reductase inhibitor, a squalene synthetase inhibitor, a fibric acid derivative, an upregulator of LDL receptor activity, a lipoxygenase inhibitor, an ACAT inhibitor, a cholesteryl ester transfer protein inhibitor, or an ATP citrate lyase inhibitor.
20. (Original) The combination as defined in claim 19 wherein the lipid modulating agent is pravastatin, lovastatin, simvastatin, atorvastatin, cerivastatin, fluvastatin, nisvastatin, visastatin, fenofibrate, gemfibrozil, clofibrate, implitapide, CP-529,414, avasimibe, TS-962, MD-700, and/or LY295427.

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21. (Amended) The combination as defined in claim 19 wherein the compound as defined in claim 1 [DP4 inhibitor] is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.
22. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing or inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.
23. (Canceled)
24. (Canceled)
25. (New) A compound that is

; or a pharmaceutically acceptable salt thereof.
26. (New) The compound as defined in claim 25 , wherein the pharmaceutically acceptable salt is the hydrochloride salt.
27. (New) A pharmaceutical composition comprising the compound of claim 25 and a pharmaceutically acceptable carrier therefor.

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28. (New) A pharmaceutical composition comprising the compound of claim 26 and a pharmaceutically acceptable carrier therefor.
29. (New/Amended) The composition of claim 27 or 28 further comprising an antidiabetic agent other than a DP4 inhibitor.
30. (New/Amended) The composition of claim 29 wherein the antidiabetic agent is metformin.
31. (New/Amended) The composition of claim 29, wherein the antidiabetic agent is a SGLT2 inhibitor.
32. (New) A method for treating diabetes, insulin resistance, hyperglycemia, hyperinsulinemia, impaired glucose homeostasis, or impaired glucose tolerance in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is

or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.
33. (New) The method of claim 32, wherein the pharmaceutically acceptable salt is the hydrochloride salt.
34. (New) The method of claim 32, for treating diabetes.

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35. (New) The method of claim 33, for treating diabetes.
36. (Canceled)
37. (Canceled)
38. (New/Amended) The method of any one of claims 32, 33, 34, or 35 wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.
39. (New/Amended) The method of claim 38, wherein the antidiabetic agent is metformin.
40. (New/Amended) The method of claim 38, wherein the antidiabetic agent is a SGLT2 inhibitor.
41. (New) A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is

or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.
42. (New) The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.
43. (New) The method of any one of claims 41 or 42 , wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

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Office Action Dated: May 8, 2012
44. (New) The method of claim 43, wherein the antidiabetic agent is metformin.
45. (New) The method of claim 43, wherein the antidiabetic agent is a SGLT2
inhibitor.

\section*{REMARKS}

Claims \(1,12,13,16,17,21,22,29,30,31,38,39\), and 40 are amended herein. Claims 36 and 37 are canceled and new claims 41 to 45 are added herein. Support for each of the new claims and/or amendments is implicit in the prior versions of the claims, or is set forth in the chart that was submitted with the preliminary amendment filed December 1, 2011. No new matter is added.

After entry of the present amendments, claims 1-22, 25-35, and 38-45 will remain pending.

\section*{Summary of the Interview}

The undersigned thanks Examiners Polansky and Anderson for the courtesy of the telephonic interview conducted on May 22, 2012. The pending claims were discussed, as well as the objections and alleged rejections set forth in the May 8, 2012 Office Action. In particular, the undersigned thanks the Examiners for clarifying the objections to the Applicants' reissue declaration, the incorporation of corrections provided in the Certificates of Correction for the original patent, and the procedures to be followed to remedy any perceived errors.

\section*{Reissue Oath/Declaration}

The Office alleges that the reissue declaration is defective because it fails to identify at least one specific error which is relied upon to support the reissue application. Without conceding the propriety of this assertion and in the interest of advancing prosecution of the application, a supplemental declaration is filed herewith, which states that the specific error relied upon is that, while the patent included claims encompassing the compound below, the patentee failed to include claims that are specifically directed to the compound:


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or a pharmaceutical salt thereof, as set forth in added claims 25 to 35 and 38 to 45 .
The supplemental declaration also sets forth the mailing addresses and residences of the inventors. Patent Owner asserts that the supplemental reissue declaration complies with 37 C.F.R. 1.175.

\section*{Certificates of Correction}

The Office has noted that changes to the specification and claims made via the Certificates of Correction for the original patent should be incorporated into the reissue patent. Said changes have been effected by the Patent Owner according to the procedure described in the Office Action. See MPEP 1453.VI.(C).

\section*{Claim Objections}

The Office objects to added claim 38 for reciting, "The method of any one of claims 32, \(33, \mathbf{3 4 , 2 5}, \mathbf{2 6}\), or \(37 \ldots\) " Added claim 38 has been amended to recite "The method of any one of claims \(32,33,34\), or \(35 \ldots\) " Withdrawal of the objection is requested.

The Office objects to claim 38 for reciting "an agent for preventing inhibiting allograft rejection in transplantation..." As discussed in the telephonic interview, claim 22, not claim 38, recites the identified language. Claim 22 has accordingly been amended to recite, "an agent for preventing or inhibiting allograft rejection in transplantation." Withdrawal of the objection is requested.

\section*{Rejections under 35 U.S.C. § 112, Second Paragraph}

Claims 1-7, 11-22, 29-31, and 38-40 stand rejected under 35 U.S.C. § 112, second paragraph, as allegedly indefinite. In light of the present claim amendments, withdrawal of the rejections is requested.

Claim 1 has been amended to more clearly identify pharmaceutically acceptable salts as an alternative, i.e., "or a pharmaceutically acceptable salt thereof." The rejection of claim 1 therefore is considered moot.

Claim 12 has been amended to recite "a [DP4 inhibitor] compound as defined in claim 1." Claim 13 has been similarly amended. The rejection of claims 12 and 13 is considered moot.

Claim 22 has been amended to recite "A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1." The rejection of claim 22 is considered moot. Patent Owner notes that claim 21 has been amended to recite, "The combination as defined in claim 19 wherein the compound as defined in claim 1 [DP4 inhibitor] is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1." Claim 16 has been amended similarly to claim 21.

Claim 17 has been amended to delete the limitation, "a serotonin (and dopamine) reuptake inhibitor." The rejection of claim 17 is considered moot.

Claim 29 has been amended to recite, "The composition of claim 27 or 28 further comprising an antidiabetic agent other than a DP4 inhibitor." The rejection of claim 29 is considered moot. Dependent claims 30 and 31 have been amended to recite, "wherein the antidiabetic agent is . . ."

Claim 38 has been amended to recite, "The method of any one of claims \(32,33,34\), or 35." The rejection is considered moot. . Claim 38 has also been amended to recite, "wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor." Dependent claims 39 and 40 have been amended to recite "wherein the antidiabetic agent is..." Dependent claim 40 has also been amended to recite "The method of claim 38..."

\section*{Rejections under 35 U.S.C. § 112, First Paragraph}

Claims 1-7 and 11-22 stand rejected under 35 U.S.C. §112, first paragraph, as allegedly not complying with the written description requirement for reciting the "prodrug esters" of the compounds of claim 1. While not conceding the propriety of the rejection, the term "prodrug ester thereof" has been deleted from claim 1 to advance prosecution. Withdrawal of the rejection is requested.

\section*{CONCLUSION}

Patent Owner believes that the foregoing addresses all issues raised in the Office Action dated May 8, 2012, and that the application is now in condition for allowance. If any further issues remain, the Examiner is invited to contact Patent Owner's undersigned representative at the contact number listed below.

Date: August 8, 2012
/S. Maurice Valla/
S. Maurice Valla

Registration No. 43,966
Woodcock Washburn LLP
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2929 Arch Street, 12th Floor
Philadelphia, PA 19104-2891
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

\section*{Electronic Patent Application Fee Transmittal}
\begin{tabular}{|l|l|}
\hline Application Number: & 13308658 \\
\hline & \\
\hline & \\
\hline Filing Date: & \\
\hline Title of Invention: & \begin{tabular}{l} 
And Mec-2011 \\
Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV
\end{tabular} \\
\hline First Named Inventor/Applicant Name: & Jeffrey A. Robl \\
\hline Filer: & SAMUEL VALLA/D. McCarty \\
\hline Attorney Docket Number: & BMS-2856 \\
\hline
\end{tabular}

Filed as Large Entity
Utility under 35 USC 111 (a) Filing Fees
\begin{tabular}{|c|c|c|c|c|}
\hline Description & Fee Code & Quantity & Amount & \begin{tabular}{c} 
Sub-Total in \\
USD(\$)
\end{tabular} \\
\hline
\end{tabular}

\section*{Basic Filing:}

\section*{Pages:}

\section*{Claims:}
\begin{tabular}{|c|c|c|c|c|}
\hline Claims in excess of 20 & 1202 & 3 & 60 & 180 \\
\hline
\end{tabular}

\section*{Miscellaneous-Filing:}

\section*{Petition:}

Patent-Appeals-and-Interference:

\section*{Post-Allowance-and-Post-Issuance:}

Extension-of-Time:
\begin{tabular}{|c|c|c|c|c|}
\hline Description & Fee Code & Quantity & Amount & \begin{tabular}{c} 
Sub-Total in \\
USD(\$)
\end{tabular} \\
\hline
\end{tabular}

Miscellaneous:
\begin{tabular}{|c|c|}
\hline \multicolumn{2}{|r|}{Electronic Acknowledgement Receipt} \\
\hline EFS ID: & 13444151 \\
\hline Application Number: & 13308658 \\
\hline International Application Number: & \\
\hline Confirmation Number: & 7781 \\
\hline Title of Invention: & Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method \\
\hline First Named Inventor/Applicant Name: & Jeffrey A. Robl \\
\hline Customer Number: & 23377 \\
\hline Filer: & SAMUEL VALLA/D. McCarty \\
\hline Filer Authorized By: & SAMUEL VALLA \\
\hline Attorney Docket Number: & BMS-2856 \\
\hline Receipt Date: & 08-AUG-2012 \\
\hline Filing Date: & 01-DEC-2011 \\
\hline Time Stamp: & 11:20:56 \\
\hline Application Type: & Utility under 35 USC 111(a) \\
\hline
\end{tabular}

\section*{Payment information:}
\begin{tabular}{|l|l|}
\hline Submitted with Payment & yes \\
\hline Payment Type & Deposit Account \\
\hline Payment was successfully received in RAM & \(\$ 180\) \\
\hline RAM confirmation Number & 8914 \\
\hline Deposit Account & 233050 \\
\hline Authorized User & \\
\hline \begin{tabular}{l} 
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: \\
Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees) \\
Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)
\end{tabular} \\
\hline
\end{tabular}

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)
Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)
Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

\section*{File Listing:}
\begin{tabular}{|c|c|c|c|c|c|}
\hline Document Number & Document Description & File Name & File Size(Bytes)/ Message Digest & Multi
Part /.zip & Pages (if appl.) \\
\hline \multirow{2}{*}{1} & \multirow{2}{*}{Transmittal Letter} & \multirow[t]{2}{*}{BMS-2856-Transmittal-reply-to-05-08-12.PDF} & 262560 & \multirow{2}{*}{no} & \multirow{2}{*}{2} \\
\hline & & &  & & \\
\hline \multicolumn{6}{|l|}{Warnings:} \\
\hline \multicolumn{6}{|l|}{Information:} \\
\hline \multirow{2}{*}{2} & & \multirow[t]{2}{*}{BMS-2856-reply-to-05-08-12.
PDF} & 359181 & \multirow{2}{*}{yes} & \multirow{2}{*}{36} \\
\hline & & & 85b8b25bcf56ed3f67b7e901298ecc99f2ec cdee & & \\
\hline \multicolumn{6}{|c|}{Multipart Description/PDF files in .zip description} \\
\hline & \multicolumn{2}{|c|}{Document Description} & Start & \multicolumn{2}{|c|}{End} \\
\hline & \multicolumn{2}{|l|}{Amendment/Req. Reconsideration-After Non-Final Reject} & 1 & \multicolumn{2}{|c|}{1} \\
\hline & \multicolumn{2}{|c|}{Claims} & 2 & \multicolumn{2}{|c|}{32} \\
\hline & \multicolumn{2}{|l|}{Applicant Arguments/Remarks Made in an Amendment} & 33 & \multicolumn{2}{|c|}{36} \\
\hline \multicolumn{6}{|l|}{Warnings:} \\
\hline \multicolumn{6}{|l|}{Information:} \\
\hline \multirow{2}{*}{3} & \multirow{2}{*}{Oath or Declaration filed} & \multirow[t]{2}{*}{BMS-2856-SupplementalDeclaration.PDF} & 86103 & \multirow{2}{*}{no} & \multirow{2}{*}{4} \\
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\hline \multicolumn{6}{|l|}{Warnings:} \\
\hline \multicolumn{6}{|l|}{Information:} \\
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\hline \multicolumn{3}{|r|}{Total Files Size (in bytes):} & \multicolumn{3}{|c|}{738091} \\
\hline
\end{tabular}

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

\section*{New International Application Filed with the USPTO as a Receiving Office}

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.


\begin{tabular}{|l|l|l|l|}
\hline \multicolumn{1}{|l|}{ CERTIFICATE OF TRANSMISSION/MAILING } \\
\hline \begin{tabular}{l} 
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with \\
sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on \\
the date shown below:
\end{tabular} \\
\hline Signature & & Date & \\
\hline Typed or printed name & & \\
\hline
\end{tabular}

\footnotetext{
This collection of information is required by 37 CFR 1.5 . The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
}

\section*{Privacy Act Statement}

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:
1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

the specification of which

\section*{\(\square\) is attached hereto.}


I have reviewed and understand the contents of the above identifled specification, Including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 .
\(\square\) I hereby claim foreign priority benefits under 35 U.S.C. 119 (a)-(d) or ( f ), or \(365(\mathrm{~b})\). Attached is form PTO/SB/02B (or equivalent) listing the foreign appilcations.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)
by reason of a defective specification or drawing.
\(\square\) by reason of the patentee claiming more or less than he had the right to claim in the patent.by reason of other errors.
[Page 1 of 2]
This collection of information is required by 37 CFR 1.175. The informalion is required to obtaln or retain a benerit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14 . This collection is estimated to take 30 minutes to complete, inchuding gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestlons for reducing this burden, should be sent to the Chief information Officer, U.S. Palent and Trademark Offlce, U.S. Department of Commerce, P.O. Box 1450. Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

as mylour attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Correspondence Address: Direct all communications about the application to:


\section*{Supplemental Declaration Additional Sheet}

At least one error upon which reissue is based is described as follows:
While the patent included claims encompassing the compound below, the patent failed to include
claims that are specifically directed to the compound

or a pharmaceutical salt thereof, as set forth in added claims 25 to 35 and 38 to 45 .

\section*{ADDITIONAL INVENTORS}

\section*{Page 1 of 1}

\author{
David J. Augeri \\ Citizenship: United States \\ Residence/Mailing Address: \\ Lexicon Pharma. \\ 350 Carter Road \\ Princeton, NJ 08640 \\ David R. Magnin \\ Citizenship: United States \\ Residence/Mailing Address: \\ Morris College \\ Division of Science and Mathematics \\ 100 W. College Street \\ Sumter, SC 29150-3599 \\ Lawrence G. Hamann \\ Citizenship: United States \\ Residence/Mailing Address: \\ Novartis Institute for Biomedical Research \\ 250 Massachusettes Avenue \\ Cambridge, MA 02139 \\ David A. Betebenner \\ Citizenship: United States \\ Residence/Mailing Address: \\ 3 Easton Court \\ Lawrenceville, NJ 08648
}

UNITED STATES DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450
www.uspto.gov
23377
2012-08-13
WOODCOCK WASHBURN LLP
CIRA CENTRE, 12TH FLOOR
2929 ARCH STREET
PHILADELPHIA, PA 19104-2891
\begin{tabular}{|c|c|c|c|}
\hline Application No.: & \[
13 / 308,658
\] & Date Mailed: & 2012-08-13 \\
\hline First Named Inventor: & Robl, Jeffrey, A. & Examiner: & POLANSKY, GREGG \\
\hline Attorney Docket No.: & BMS-2856 & Art Unit: & 1629 \\
\hline Confirmation No.: & 7781 & Filing Date: & 2011-12-01 \\
\hline
\end{tabular}

Please find attached an Office communication concerning this application or proceeding.

\section*{Notice of Non-Compliant Amendment (37 CFR 1.121)}
\begin{tabular}{|l|l|}
\hline \begin{tabular}{l} 
Application No. \\
\(13 / 308,658\)
\end{tabular} & \begin{tabular}{l} 
Applicant(s) \\
ROBL ET AL.
\end{tabular} \\
\hline & \begin{tabular}{l} 
Art Unit \\
1700
\end{tabular} \\
\hline
\end{tabular}
-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
The amendment document filed on 08 Auqust, 2012 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:
\(\square\) 1. Amendments to the specification:
A. Amended paragraph(s) do not include markings.
B. New paragraph(s) should not be underlined.
C. Other \(\qquad\) .2. Abstract:
\(\square\) A. Not presented on a separate sheet. 37 CFR 1.72.
B. Other \(\qquad\) -
\(\square\) 3. Amendments to the drawings:
A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).

B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.C. Other \(\qquad\) _.
4. Amendments to the claims:
\(\square\) A. A complete listing of all of the claims is not present.
\(\square\) B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
\(\boxtimes C\). Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
\(\square\) D. The claims of this amendment paper have not been presented in ascending numerical order.
\(\square\) E. Other: \(\qquad\) .5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4): For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:
1. Applicant is given no new time period if the non-compliant amendment is an after-final amendment or an amendment filed after allowance, or a drawing submission (only) If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the entire corrected amendment must be resubmitted.
2. Applicant is given one month, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action. If any of above boxes 1 to 4 are checked, the correction required is only the corrected section of the non-compliant amendment in compliance with 37 CFR 1.121.

Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action.
Failure to timely respond to this notice will result in:
Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action; or
Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable /BRUCE HARRISON/
Telephone No: (571)272-1016

UNITED STATES DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450
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23377
2012-08-21
WOODCOCK WASHBURN LLP
CIRA CENTRE, 12TH FLOOR
2929 ARCH STREET
PHILADELPHIA, PA 19104-2891
\begin{tabular}{|c|c|c|c|}
\hline Application No.: & \[
13 / 308,658
\] & Date Mailed: & 2012-08-21 \\
\hline First Named Inventor: & Robl, Jeffrey, A. & Examiner: & POLANSKY, GREGG \\
\hline Attorney Docket No.: & BMS-2856 & Art Unit: & 1629 \\
\hline Confirmation No.: & 7781 & Filing Date: & 2011-12-01 \\
\hline
\end{tabular}

Please find attached an Office communication concerning this application or proceeding.
\(\left.\begin{array}{|l|l|l|}\hline & \begin{array}{l}\text { Application No.: } \\
\text { Letter Withdrawing a Notice of } \\
\text { Non-Compliant Amendment }\end{array} & 13 / 308,658\end{array} \quad \begin{array}{l}\text { Applicant(s): } \\
\text { ROBL ET AL. }\end{array}\right]\)\begin{tabular}{l} 
Art Unit: \\
\cline { 2 - 3 }
\end{tabular}\(\quad\)\begin{tabular}{l} 
\\
\hline
\end{tabular}

The Notice of Non-Compliant Amendment mailed on 13 August, 2012 was sent in error, and is hereby withdrawn. The application is being forwarded to the examiner for appropriate action. (Note: this letter does not apply to any Notice of Non-Compliant Amendment where the amendment was a reply to a final Office action.)
\begin{tabular}{|l|l|}
\hline Legal Instruments Examiner (LIE): & Telephone Number: \\
\hline /BRUCE HARRISON/ & \((571) 272-1016\) \\
\hline
\end{tabular}

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Jeffrey A. Robl
Application No.: 13/308,658
Filing Date: December 1, 2011

Confirmation No.: 7781
Group Art Unit: 1629
Examiner: Gregg Polansky

\section*{For: Cyclopropyl-Fused Pyrrolidine-Based Inhibitors of Dipeptidyl Peptidase IV and Method}

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450
Dear Commissioner:

\section*{SUPPLEMENTAL REPLY PURSUANT TO 37 CFR § 1.111}

In further response to the Official Action dated May 8, 2012, reconsideration is respectfully requested in view of the amendments and/or remarks as indicated below:
- A Listing of Prior Changes to U.S. 6,395,767 ("the 767 patent") Made By Certificate of Correction begin on page 2 of this paper.
\(\square\) Amendments to the Claims are reflected in the listing of the claims which begins on page of this paper.

Amendments to the Drawings begin on page of this paper and include an attached replacement sheet.
\(\boxtimes \quad\) Remarks begin on page 6 of this paper.
\(\boxtimes \quad\) The Commissioner is hereby authorized to charge any fee deficiency, charge any additional fees, or credit any overpayment of fees, associated with this application in connection with this filing, or any future filing, submitted to the U.S. Patent and Trademark Office during the pendency of this application, to Deposit Account No. 233050.

\section*{Changes to 767 Patent Previously Entered by Certificate of Correction}
1. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 13-54 of the 767 patent:

Unless otherwise indicated, the term "heteroaryl" as used herein alone or as part of another group refers to a 5 - or 6 - membered aromatic ring which includes \(1,2,3\) or 4 hetero atoms such as nitrogen, oxygen or sulfur, and such rings fused to an aryl, cycloalkyl, heteroaryl or cycloheteroalkyl ring (e.g. benzothiophenyl, indolyl), and includes possible N -oxides. The heteroaryl group may optionally include 1 to 4 substituents such as any of the substituents set out above for alkyl. Examples of heteroaryl groups include the following:

and the like.
2. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14 , lines 55-58 of the 767 patent:

The term "cycloheteroalkylalkyl" as used herein alone or as part of another group refers to cycloheteroalkyl groups as defined above linked through a C atom or heteroatom to a \(\left(\mathrm{CH}_{2}\right)_{\mathrm{r}}\) chain.
3. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43 , lines 20-38 of the 767 patent:

To a flame-dried \(500-\mathrm{mL}\) round-bottomed flask containing cyclopentylideneacetic acid ethyl ester ( \(17.5 \mathrm{~g}, 113 \mathrm{mmol}\) ) in 100 mL anhydrous toluene at \(-78^{\circ} \mathrm{C}\) under argon was added DIBAL-H ( 189 mL of a 1.5 M solution in toluene, \(284 \mathrm{mmol}, 2.50\) equiv) dropwise over a 30 min period through an addition funnel, and the mixture was then allowed to warm to rt , stirring for 18 h . The reaction mixture was then recooled to \(-78^{\circ} \mathrm{C}\), and quenched by the careful addition of 30 mL anhydrous MeOH . Upon warming to \(\mathrm{rt}, 1\) N Rochelle's salt ( 100 mL ) was added, and the mixture was stirred 90 min . The biphasic reaction mixture was then diluted with \(\mathrm{Et}_{2} \mathrm{O}(200 \mathrm{~mL})\) in a separatory funnel, and the layers were separated. The organic layer was then washed with brine ( 100 mL ), dried \(\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)\), and concentrated under reduced pressure. Purification by flash column chromatography (silica gel, \(\mathrm{CH}_{2} \mathrm{Cl}_{2} / \mathrm{EtOAc}, 10: 1\) ) gave \(11.6 \mathrm{~g}(92 \%)\) of the desired allylic alcohol as a colorless oil.
4. As indicated by the Certificate of Correction, please substitute the following Scheme 7 for the Scheme 7 at col. 52, line 37 - col. 53, line 25 of the 767 patent:

General Method E, Examples 4547



28
a. \(\mathrm{OsO}_{4}\), THF: \(\mathrm{H}_{2} \mathrm{O}, 1: 1 ; \mathrm{NaIO}_{4}\); workup, then \(\mathrm{NaBH}_{4}, \mathrm{MeOH}, \mathrm{RT} .56 \%\)
b. TFA: \(\mathrm{CH}_{2} \mathrm{Cl}_{2}, 1: 2,0\) degrees C to RT .


Step 1


Page 4 of 6
5. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 70 , lines 55-65 of the 767 patent:

EXAMPLE 67


Step 1
6. As indicated by the Certificate of Correction, please substitute the following Table 5 for the Table 5 at col. 84, lines 23-42 of the 767 patent:

TABLE 5

\begin{tabular}{cccc} 
Example \# & Cycloalkane & R & \begin{tabular}{c} 
MS Data \\
\(\mathrm{M}+\mathrm{H}\)
\end{tabular} \\
\hline 79 & cyclohexane & Methyl & 262 \\
80 & cyclohexane & Ethyl & 276 \\
81 & cyclopentane & Methyl & 248 \\
82 & cyclopentane & Allyl & 274 \\
83 & cyclopentane & Propyl & 276 \\
84 & cyclobutane & Methyl & 234 \\
\hline
\end{tabular}

\section*{REMARKS}

The Patent Owner thanks the examiner for the courtesy of the telephonic interview conducted on January 10, 2013 with Stephanie A. Barbosa, attorney for Patent Owner. Examiner Polansky requested that the Patent Owner file a supplemental response that addresses certain changes to U.S. \(6,395,767\) that were previously entered by certificate of correction. In particular, Examiner Polansky identified that all changes must be set forth via entire paragraph, scheme, and table replacements rather than single line replacements. This supplemental response also includes the changes from the Certificate of Correction for col. 14, lines 55-58 and col. 43, lines \(20-38\) to correct typographical errors from the previous reply. This supplemental paper is filed in response to the Examiner's request.

Date: January 18, 2013

\author{
/S. Maurice Valla/
}
S. Maurice Valla Registration No. 43,966

Woodcock Washburn LLP
Cira Centre
2929 Arch Street, 12th Floor
Philadelphia, PA 19104-2891
Telephone: (215) 568-3100
Facsimile: (215) 568-3439
\begin{tabular}{|c|c|}
\hline \multicolumn{2}{|r|}{Electronic Acknowledgement Receipt} \\
\hline EFS ID: & 14735292 \\
\hline Application Number: & 13308658 \\
\hline International Application Number: & \\
\hline Confirmation Number: & 7781 \\
\hline Title of Invention: & Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method \\
\hline First Named Inventor/Applicant Name: & Jeffrey A. Robl \\
\hline Customer Number: & 23377 \\
\hline Filer: & SAMUEL VALLA/Joanne Gallagher \\
\hline Filer Authorized By: & SAMUEL VALLA \\
\hline Attorney Docket Number: & BMS-2856 \\
\hline Receipt Date: & 18-JAN-2013 \\
\hline Filing Date: & 01-DEC-2011 \\
\hline Time Stamp: & 11:44:20 \\
\hline Application Type: & Utility under 35 USC 111(a) \\
\hline
\end{tabular}

\section*{Payment information:}
\begin{tabular}{|c|c|c|c|c|c|}
\hline \multicolumn{2}{|l|}{Submitted with Payment} & \multicolumn{4}{|l|}{no} \\
\hline \multicolumn{6}{|l|}{File Listing:} \\
\hline Document Number & Document Description & File Name & File Size(Bytes)/ Message Digest & \begin{tabular}{l}
Multi \\
Part /.zip
\end{tabular} & Pages (if appl.) \\
\hline \multirow{2}{*}{1} & \multirow{2}{*}{Transmittal Letter} & \multirow{2}{*}{BMS-2856_transmittal.PDF} & 262602 & \multirow{2}{*}{no} & \multirow{2}{*}{2} \\
\hline & & & 8e5106dlec81a 16 6c38022e973075a62ablc dd811 & & \\
\hline \multicolumn{6}{|l|}{Warnings:} \\
\hline \multicolumn{6}{|l|}{Information:} \\
\hline
\end{tabular}

\begin{tabular}{|c|c|c|c|}
\hline \multirow[t]{6}{*}{\begin{tabular}{l}
TRANSMITTAL FORM \\
(to be used for all correspondence after initia \\
Total Number of Pages in This Submission
\end{tabular}} & & Application Number & 13/308,658 \\
\hline & & Filing Date & December 1, 2011 \\
\hline & & First Named Inventor & Jeffrey A. Robol \\
\hline & & Art Unit & 1629 \\
\hline & & Examiner Name & Gregg Polansky \\
\hline & 8 & Attorney Docket Number & BMS-2856 \\
\hline
\end{tabular}

\begin{tabular}{|l|l|l|l|}
\hline \multicolumn{1}{|l|}{ CERTIFICATE OF TRANSMISSION/MAILING } \\
\hline \begin{tabular}{l} 
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with \\
sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on \\
the date shown below:
\end{tabular} \\
\hline Signature & & Date & \\
\hline Typed or printed name & & \\
\hline
\end{tabular}

\footnotetext{
This collection of information is required by 37 CFR 1.5 . The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
}

\section*{Privacy Act Statement}

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The information provided by you in this form will be subject to the following routine uses:
1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

\title{
NOTICE OF ALLOWANCE AND FEE(S) DUE
}

\author{
\(23377 \quad{ }^{7590} \quad\) 02/13/2013 \\ CIRA CENTRE, 12TH FLOOR \\ 2929 ARCH STREET \\ PHILADELPHIA, PA 19104-2891
}


DATE MAILED: 02/13/2013
\begin{tabular}{|c|c|c|c|c|}
\hline APPLICATION NO. & FILING DATE & FIRST NAMED INVENTOR & ATTORNEY DOCKET NO. & CONFIRMATION NO. \\
\hline \(13 / 308,658\) & \(12 / 01 / 2011\) & Jeffrey A. Robl & BMS-2856
\end{tabular}

TITLE OF INVENTION: Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline APPLN. TYPE & SMALL ENTITY & ISSUE FEE DUE & PUBLICATION FEE DUE & PREV. PAID ISSUE FEE & TOTAL FEE(S) DUE & DATE DUE \\
\hline nonprovisional & NO & \(\$ 1770\) & \(\$ 0\) & \(\$ 0\) & \(\$ 1770\) & \(05 / 13 / 2013\)
\end{tabular}

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

\section*{HOW TO REPLY TO THIS NOTICE:}
I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
B. If the status above is to be removed, check box 5 b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:
A. Pay TOTAL FEE(S) DUE shown above, or
B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and \(1 / 2\) the ISSUE FEE shown above.
II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section " 4 b " of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

\section*{Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE \\ Commissioner for Patents \\ P.O. Box 1450 \\ Alexandria, Virginia 22313-1450 \\ or Fax (571)-273-2885}

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)
\(\quad 23377 \quad{ }^{7590}{ }^{\text {WOODCOCK WASHBURN LLP }}\)
CIRA CENTRE, 12TH FLOOR
2929 ARCH STREET
PHLLADELPHIA, PA 19104-2891

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

\section*{Certificate of Mailing or Transmission}

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.
\begin{tabular}{|rr|}
\hline & (Depositor's name) \\
\hline (Signature) \\
\hline & (Date) \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|}
\hline APPLICATION NO. & FILING DATE & FIRST NAMED INVENTOR & ATTORNEY DOCKET NO. & CONFIRMATION NO. \\
\hline \(13 / 308,658\) & \(12 / 01 / 2011\) & Jeffrey A. Robl & BMS-2856
\end{tabular}

TITLE OF INVENTION: Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline APPLN. TYPE & SMALL ENTITY & ISSUE FEE DUE & PUBLICATION FEE DUE & PREV. PAID ISSUE FEE & TOTAL FEE(S) DUE & DATE DUE \\
\hline nonprovisional & NO & \$1770 & \$0 & \$0 & \$1770 & 05/13/2013 \\
\hline & & ART UNIT & CLASS-SUBCLASS & & & \\
\hline POLAN & GREGG & 1629 & 514-252190 & & & \\
\hline \multicolumn{3}{|l|}{\begin{tabular}{l}
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). \\
Change of correspondence address (or Change of Correspondence Address form \(\mathrm{PTO} / \mathrm{SB} / 122\) ) attached.
\(\square\) "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.
\end{tabular}} & \multicolumn{2}{|l|}{\begin{tabular}{l}
2. For printing on the patent front page, list \\
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
\end{tabular}} &  & \\
\hline
\end{tabular}
3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.
(A) NAME OF ASSIGNEE
(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): \(\square_{\text {Individual }} \square_{\text {Corporation or other private group entity }} \square_{\text {Government }}\)

4a. The following fee(s) are submitted:
\(\square\) Issue Fee
\(\square\) Publication Fee (No small entity discount permitted)
\(\square\) Advance Order - \# of Copies \(\qquad\)
Change in Entity Status (from status indicated above)
\(\square\) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. \(\square\) b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27 (g)(2).

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)
\(\square\) A check is enclosed.
\(\square\) Payment by credit card. Form PTO-2038 is attached.
\(\square\) The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number__(enclose an extra copy of this form).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

\section*{Authorized Signature}

Typed or printed name

\section*{Date}

Registration No.
This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
\begin{tabular}{|c|c|c|c|c|}
\hline APPLICATION NO. & FILING DATE & FIRST NAMED INVENTOR & ATTORNEY DOCKET NO. & CONFIRMATION NO. \\
\hline 13/308,658 & 12/01/2011 & \multirow[t]{6}{*}{Jeffrey A. Robl} & BMS-2856 & 7781 \\
\hline \multicolumn{2}{|l|}{23377 7590 02/13/2013} & & \multicolumn{2}{|c|}{EXAMINER} \\
\hline \multicolumn{2}{|l|}{WOODCOCK WASHBURN LLP} & & \multicolumn{2}{|c|}{POLANSKY, GREGG} \\
\hline \multicolumn{4}{|l|}{CIRA CENTRE, 12TH FLOOR} & \\
\hline \multicolumn{2}{|l|}{2929 ARCH STREET} & & ART UNIT & PAPER NUMBER \\
\hline \multicolumn{2}{|l|}{PHILADELPHIA, PA 19104-2891} & & 1629 & \\
\hline
\end{tabular}

\section*{Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)}

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

\section*{Privacy Act Statement}

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:
1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. \(552 \mathrm{a}(\mathrm{m})\).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14 , as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.
\begin{tabular}{|l|l|l|l|}
\hline \multirow{3}{*}{ Notice of A//Owabi/ity } & Application No. & \multicolumn{1}{|l|}{ Applicant(s) } \\
& \(13 / 308,658\) & ROBL ET AL. \\
\cline { 2 - 4 } & Examiner & Art Unit & \\
& Gregg Polansky & 1629 & \\
\hline
\end{tabular}
-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.
1. \(\boxtimes\) This communication is responsive to papers filed 8/08/2012 \& 1/18/2013.
2.An election was made by the applicant in response to a restriction requirement set forth during the interview on \(\qquad\) ; the restriction requirement and election have been incorporated into this action.
3. \(\boxtimes\) The allowed claim(s) is/are 1-22,25-35 and 38-45. As a result of the allowed claim(s), you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see http//wwousptogovipatents/init events/pph/index.isp or send an inquiry to PPHfeedback@uspto.gov.
4.Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) \(\square\) All
b)Some*
c)None of the:
1. \(\square\) Certified copies of the priority documents have been received.
2.Certified copies of the priority documents have been received in Application No. \(\qquad\) .
3.Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
* Certified copies not received: \(\qquad\) _.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

\section*{THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.}
5.CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
\(\square\) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \(\qquad\) -.
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6.DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

\section*{Attachment(s)}
1. \(\square\) Notice of References Cited (PTO-892)
2. \(\square\) Information Disclosure Statements (PTO/SB/08), Paper No./Mail DateExaminer's Comment Regarding Requirement for Deposit of Biological Material
4. \(\square\) \(\square\) Interview Summary (PTO-413), Paper No./Mail Date \(\qquad\) .
\begin{tabular}{|l|l|}
\hline /SAVITHA RAO/ & /Gregg Polansky/ \\
Primary Examiner, Art Unit 1629 & Examiner, Art Unit 1629 \\
& \\
\hline
\end{tabular}

\section*{EAST Search History}

\section*{EAST Search History (Prior Art)}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline \[
\begin{aligned}
& \text { Ref } \\
& \#
\end{aligned}
\] & Hits & Search Query & DBs & Default Operator & Plurals & Time Stamp \\
\hline L2 & 2 & ("6395767").PN. & US-PGPUB; USPAT; USOCR; FPRS; IEPO; JPO; DERWENT & OR & OFF & \[
\sqrt{2013 / 01 / 24}
\] \\
\hline L3 & 10 & onglyza & US-PGPUB; USPAT; USOCR; FPRS; EEPO; JPO; DERWENT & AND & ON & \[
\sqrt{2013 / 01 / 24}
\] \\
\hline L4 & 1478 & saxagliptin & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT & AND & ON & \[
\begin{aligned}
& 2013 / 01 / 24 \\
& 17: 16
\end{aligned}
\] \\
\hline L5 & 1480 & L3 or L4 & US-PGPUB; USPAT; USOCR; FPRS; EEPO; JPO; DERWENT & AND & ON & \[
\begin{aligned}
& 2013 / 01 / 24 \\
& 17: 16
\end{aligned}
\] \\
\hline L6 & 375 & BMS-477118 & US-PGPUB; USPAT; USOCR; FPRS; IEPO; JPO; DERWENT & AND & ON & \[
\begin{aligned}
& 2013 / 01 / 24 \\
& 17: 16 \\
& \hline
\end{aligned}
\] \\
\hline L7 & 476 & BMS adj & US-PGPUB; USPAT; USOCR; FPRS; EEPO; JPO; DERWENT & AND & ON & \[
12013 / 01 / 24
\] \\
\hline L8 & 476 & \[
\begin{gathered}
\text { BMS adj2 } \\
\hline 477118 " ~
\end{gathered}
\] & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT & AND & ON & \[
\} \begin{aligned}
& 2013 / 01 / 24 \\
& 17: 16
\end{aligned}
\] \\
\hline L9 & 476 & L6 or L7 & US-PGPUB; USPAT; USOCR; FPRS; IEPO; JPO; DERWENT & AND & ON & \[
\begin{aligned}
& 2013 / 01 / 24 \\
& 17: 16
\end{aligned}
\] \\
\hline L10 & 0 & "361442-05-9" & US-PGPUB; USPAT; USOCR; FPRS; EPPO; JPO; DERWENT & AND & ON & \[
\begin{aligned}
& 2013 / 01 / 24 \\
& 17: 16
\end{aligned}
\] \\
\hline L11 & 808 & 548/452.ccls. & US-PGPUB; USPAT; USOCR; FPRS; IEPO; JPO; DERWENT & AND & ON & \[
\begin{aligned}
& 2013 / 01 / 24 \\
& 17: 20
\end{aligned}
\] \\
\hline L12 & 1048 & 514/412.ccls. & US-PGPUB; USPAT; USOCR; FPRS; EPP; JPO; DERWENT & AND & ON & \[
\begin{aligned}
& 2013 / 01 / 24 \\
& 17: 20
\end{aligned}
\] \\
\hline
\end{tabular}

\section*{EAST Search History (Interference)}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline Ref \# & Hits & Search Query & DBs & Default Operator & Plurals & Time Stamp \\
\hline L13 & 464 & 514/412.ccls. & USPAT; UPAD & AND & ON & 2013/01/24 17:20 \\
\hline L14 & 506 & 548/452.ccls. & USPAT; UPAD & AND & ON & 2013/01/24 17:21 \\
\hline
\end{tabular}

1/24/2013 5:21:49 PM
C:\Users\gpolansky\Documents\EAST\Workspaces\13308658 Reissue of US 6395767.wsp
\begin{tabular}{|c|c|c|}
\hline \multirow[t]{2}{*}{Application Number
\(\square\)
\(\square\)} & Application No.
\[
13308658
\] & \begin{tabular}{l}
Applicant(s) \\
Robl et al.
\end{tabular} \\
\hline & \multicolumn{2}{|l|}{Notice of Reissue Published in OG on 02/14/2012} \\
\hline Original Patent Number of Patent To & Be Reissued is 6395767 & The Maintenance fee status is:
up to date.
not required. \\
\hline \multicolumn{3}{|l|}{This reissue patent is subject to A Terminal Disclaimer that:
was filed during the prosecution of the reissue application.
was of record prior to the filing of the reissue application.} \\
\hline \multicolumn{3}{|l|}{Physical surrender of the letters patent
was made.
was not made, but a statement of loss/inaccessibility was provided. is not required} \\
\hline
\end{tabular}

Final SPRE Review

BC
(INITIALS)

2/7/2013
(DATE)
U.S. Patent and Trademark Office
\begin{tabular}{|c|c|c|}
\hline Search Notes & Application/Control No.
\[
13308658
\] & \begin{tabular}{l}
Applicant(s)/Patent Under Reexamination \\
ROBL ET AL.
\end{tabular} \\
\hline  & Examiner GREGG POLANSKY & Art Unit 1629 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|}
\hline \multicolumn{3}{|c|}{ CPC- SEARCHED } \\
\hline Symbol & Date & Examiner \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|}
\hline \multicolumn{3}{|c|}{ CPC COMBINATION SETS - SEARCHED } \\
\hline Symbol & Date & Examiner \\
\hline
\end{tabular}
\begin{tabular}{|l|l|l|l|}
\hline \multicolumn{5}{|c|}{ US CLASSIFICATION SEARCHED } \\
\multicolumn{6}{|c|}{} \\
\hline Class & Subclass & Date & Examiner \\
\hline 514 & 412 & & \(1 / 24 / 2013\) \\
\hline 548 & 452 & \(1 / 24 / 2013\) & GP \\
\hline
\end{tabular}
\begin{tabular}{|l|c|c|}
\hline \multicolumn{3}{|c|}{ SEARCH NOTES } \\
\hline \multicolumn{1}{|c|}{ Search Notes } & Date & Examiner \\
\hline EAST Search: see EAST Search Histroy & \(5 / 2 / 2012\) & GP \\
\hline STN Search: see STN Search History & \(5 / 2 / 2012\) & GP \\
\hline Litigation Search: see Litigation Search History & \(5 / 2 / 2012\) & GP \\
\hline PALM Inventor Search & \(5 / 2 / 2012\) & GP \\
\hline EAST Search: see EAST Search Histroy & \(1 / 24 / 2013\) & GP \\
\hline Reviewed previous STN Search History & \(1 / 24 / 2013\) & GP \\
\hline PALM Inventor Search & \(1 / 24 / 2013\) & GP \\
\hline
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\begin{tabular}{|l|l|l|l|}
\hline \multicolumn{5}{|c|}{ INTERFERENCE SEARCH } \\
\hline US Class/ & US Subclass / CPC Group & Date & Examiner \\
CPC Symbol & & & \\
\hline 514 & 412 & \(1 / 24 / 2013\) & GP \\
\hline 548 & 452 & \(1 / 24 / 2013\) & GP \\
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\begin{tabular}{|l|}
\hline /GREGG POLANSKY/ \\
Examiner.Art Unit 1629 \\
\\
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\begin{tabular}{|l|l|}
\hline Application/Control No. & \begin{tabular}{l} 
Applicant(s)/Patent Under Reexamination \\
13308658
\end{tabular} \\
\hline ROBL ET AL. \\
\hline Gxaminer & Art Unit \\
\hline & 1629 \\
\hline
\end{tabular}



\begin{tabular}{|ll|c|c|}
\hline /GREGG POLANSKY/ \\
Examiner.Art Unit 1629 \\
(Assistant Examiner) & \(1 / 24 / 2013\) & Total Claims Allowed: \\
\hline & (Date) & 41 \\
(Primary Examiner) & & (Date) & O.G. Print Claim(s) \\
O.G. Print Figure \\
NONE \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|}
\hline Issue Classification & Application/Control No.
\[
13308658
\] & Applicant(s)/Patent Under Reexamination ROBL ET AL. \\
\hline  & \begin{tabular}{l}
Examiner \\
GREGG POLANSKY
\end{tabular} & Art Unit
\[
1629
\] \\
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\begin{tabular}{|c|c|c|c|}
\hline \begin{tabular}{l}
/GREGG POLANSKY/ \\
Examiner.Art Unit 1629 \\
(Assistant Examiner)
\end{tabular} & \begin{tabular}{l}
\[
1 / 24 / 2013
\] \\
(Date)
\end{tabular} & \multicolumn{2}{|l|}{Total Claims Allowed:
\[
41
\]} \\
\hline (Primary Examiner) & (Date) & O.G. Print Claim(s) & O.G. Print Figure NONE \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|}
\hline Issue Classification & Application/Control No.
\[
13308658
\] & Applicant(s)/Patent Under Reexamination ROBL ET AL. \\
\hline  & \begin{tabular}{l}
Examiner \\
GREGG POLANSKY
\end{tabular} & \begin{tabular}{l}
Art Unit \\
1629
\end{tabular} \\
\hline
\end{tabular}

\begin{tabular}{|lc|c|c|}
\hline \begin{tabular}{l} 
CGREGG POLANSKY/ \\
Examiner. Art Unit 1629
\end{tabular} & \(1 / 24 / 2013\) & \multicolumn{2}{|c|}{ Total Claims Allowed: } \\
(Assistant Examiner) & (Date) & 41 \\
\hline & & O.G. Print Claim(s) & O.G. Print Figure \\
(Primary Examiner) & (Date) & 1 & NONE \\
\hline
\end{tabular}

\section*{BIB DATA SHEET}

CONFIRMATION NO. 7781

\begin{tabular}{|c|c|c|}
\hline Index of Claims & Application/Control No.
\[
13308658
\] & \begin{tabular}{l}
Applicant(s)/Patent Under Reexamination \\
ROBL ET AL.
\end{tabular} \\
\hline  & Examiner GREGG POLANSKY & Art Unit 1629 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
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\hline\(=\) & Allowed \\
\hline- & Cancelled \\
\hline\(\div\) & Restricted \\
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\end{tabular}
\begin{tabular}{|l|l|}
\hline \(\mathbf{N}\) & Non-Elected \\
\hline \(\mathbf{I}\) & Interference \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline A & Appeal \\
\hline O & Objected \\
\hline
\end{tabular}

\begin{tabular}{|c|c|c|}
\hline Index of Claims & Application/Control No.
\[
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\] & \begin{tabular}{l}
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\end{tabular} \\
\hline  & \begin{tabular}{l}
Examiner \\
GREGG POLANSKY
\end{tabular} & Art Unit 1629 \\
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\end{tabular}
\begin{tabular}{|c|c|}
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\hline\(=\) & Allowed \\
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\end{tabular}
\begin{tabular}{|c|l|}
\hline- & Cancelled \\
\hline\(\div\) & Restricted \\
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\end{tabular}
\begin{tabular}{|l|l|}
\hline \(\mathbf{N}\) & Non-Elected \\
\hline I & Interference \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline A & Appeal \\
\hline \(\mathbf{O}\) & Objected \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline \multicolumn{6}{|l|}{\(\square\) Claims renumbered in the same order as presented by applicant} & & \(\square\) & CPA & \(\square\) & T.D & \(\square\) & R.1.47 \\
\hline \multicolumn{2}{|c|}{CLAIM} & \multicolumn{11}{|c|}{DATE} \\
\hline Final & Original & 05/01/2012 & 02/06/2013 & & & & & & & & & \\
\hline & 37 & \(\checkmark\) & - & & & & & & & & & \\
\hline 36 & 38 & \(\checkmark\) & \(=\) & & & & & & & & & \\
\hline 37 & 39 & \(\checkmark\) & = & & & & & & & & & \\
\hline 38 & 40 & \(\checkmark\) & = & & & & & & & & & \\
\hline 39 & 41 & & = & & & & & & & & & \\
\hline 40 & 42 & & = & & & & & & & & & \\
\hline 41 & 43 & & = & & & & & & & & & \\
\hline 42 & 44 & & \(=\) & & & & & & & & & \\
\hline 43 & 45 & & = & & & & & & & & & \\
\hline
\end{tabular}

\section*{Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 \\ Alexandria, Virginia 22313-1450 \\ or Fax (571)-273-2885}

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)
Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

\section*{Certificate of Mailing or Transmission}

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.
\begin{tabular}{|rr|}
\hline & (Depositor's name) \\
\hline (Signature) \\
\hline (Date) \\
\hline
\end{tabular}

\section*{Electronic Patent Application Fee Transmittal}
\begin{tabular}{|l|l|}
\hline Application Number: & 13308658 \\
\hline & \\
\hline & \\
\hline Filing Date: & \\
\hline Title of Invention: & \begin{tabular}{l} 
And Mec-2011 \\
Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV
\end{tabular} \\
\hline First Named Inventor/Applicant Name: & Jeffrey A. Robl \\
\hline Filer: & SAMUEL VALLA/Ann Trevisani \\
\hline Attorney Docket Number: & BMS-2856 \\
\hline
\end{tabular}

Filed as Large Entity
Utility under 35 USC 111 (a) Filing Fees
\begin{tabular}{|c|c|c|c|c|}
\hline Description & Fee Code & Quantity & Amount & \begin{tabular}{c} 
Sub-Total in \\
USD(\$)
\end{tabular} \\
\hline
\end{tabular}

\section*{Basic Filing:}

\section*{Pages:}
\begin{tabular}{|l|l|l|l|l|}
\hline Claims: \\
\hline Miscellaneous-Filing: \\
\hline Petition: \\
\hline Patent-Appeals-and-Interference: \\
\hline Post-Allowance-and-Post-Issuance: & & \\
\hline \multicolumn{1}{|c|}{ Utility Appl issue fee } & 1501 & 1 & 1770 & 1770 \\
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Extension-of-Time:
\begin{tabular}{|c|c|c|c|c|}
\hline Description & Fee Code & Quantity & Amount & \begin{tabular}{c} 
Sub-Total in \\
USD(\$)
\end{tabular} \\
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\end{tabular}

Miscellaneous:
\begin{tabular}{|c|c|}
\hline \multicolumn{2}{|r|}{Electronic Acknowledgement Receipt} \\
\hline EFS ID: & 14971738 \\
\hline Application Number: & 13308658 \\
\hline International Application Number: & \\
\hline Confirmation Number: & 7781 \\
\hline Title of Invention: & Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method \\
\hline First Named Inventor/Applicant Name: & Jeffrey A. Robl \\
\hline Customer Number: & 23377 \\
\hline Filer: & SAMUEL VALLA/Ann Trevisani \\
\hline Filer Authorized By: & SAMUEL VALLA \\
\hline Attorney Docket Number: & BMS-2856 \\
\hline Receipt Date: & 15-FEB-2013 \\
\hline Filing Date: & 01-DEC-2011 \\
\hline Time Stamp: & 14:29:16 \\
\hline Application Type: & Utility under 35 USC 111(a) \\
\hline
\end{tabular}

\section*{Payment information:}
\begin{tabular}{|l|l|}
\hline Submitted with Payment & yes \\
\hline Payment Type & Deposit Account \\
\hline Payment was successfully received in RAM & \(\$ 1770\) \\
\hline RAM confirmation Number & 897 \\
\hline Deposit Account & 233050 \\
\hline Authorized User & \\
\hline \begin{tabular}{l} 
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: \\
Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees) \\
Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)
\end{tabular} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|}
\hline \multicolumn{6}{|c|}{\begin{tabular}{l}
Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees) Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees) \\
Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)
\end{tabular}} \\
\hline \multicolumn{6}{|l|}{File Listing:} \\
\hline Document Number & Document Description & File Name & File Size(Bytes)/ Message Digest & \[
\begin{gathered}
\text { Multi } \\
\text { Part /.zip }
\end{gathered}
\] & Pages (if appl.) \\
\hline 1 & Issue Fee Payment (PTO-85B) & Issue_Fee_Transmittal.PDF &  & no & 1 \\
\hline \multicolumn{6}{|l|}{Warnings:} \\
\hline \multicolumn{6}{|l|}{Information:} \\
\hline \multirow{2}{*}{2} & \multirow{2}{*}{Fee Worksheet (SB06)} & \multirow{2}{*}{fee-info.pdf} & 30083 & \multirow{2}{*}{no} & \multirow{2}{*}{2} \\
\hline & & & \(39951163 а 67 \mathrm{f} 4 \mathrm{~d} 4 a 649 \mathrm{a} 4 \mathrm{c} 2438 \mathrm{c} 239 a 98 \mathrm{~d} 3\) eca4d & & \\
\hline \multicolumn{6}{|l|}{Warnings:} \\
\hline \multicolumn{6}{|l|}{Information:} \\
\hline \multicolumn{3}{|r|}{Total Files Size (in bytes):} & \multicolumn{3}{|c|}{1057179} \\
\hline \multicolumn{6}{|l|}{This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.} \\
\hline \multicolumn{6}{|l|}{New Applications Under 35 U.S.C. 111} \\
\hline \multicolumn{6}{|l|}{If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.} \\
\hline \multicolumn{6}{|l|}{National Stage of an International Application under 35 U.S.C. 371} \\
\hline \multicolumn{6}{|l|}{If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.} \\
\hline \multicolumn{6}{|l|}{New International Application Filed with the USPTO as a Receiving Office} \\
\hline \multicolumn{6}{|l|}{If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|}
\hline APPLICATION NO. & ISSUE DATE & PATENT NO. & ATTORNEY DOCKET NO. & CONFIRMATION NO. \\
\hline 13/308,658 & RE44186 & BMS-2856 & 7781 \\
23377 \(\quad 04 / 30 / 2013\) & & & \\
WOODCOCK WASHBURN LLP & & & \\
CIRA CENTRE, 12TH FLOOR & & \\
2929 ARCH STREET \\
PHILADELPHIA, PA 19104-2891
\end{tabular}

\section*{ISSUE NOTIFICATION}

The projected patent number and issue date are specified above.

\section*{Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)}

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

\section*{APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):}

\author{
Jeffrey A. Robl, Newtown, PA; \\ Richard B. Sulsky, West Trenton, NJ; \\ David J. Augeri, Princeton, NJ; \\ David R. Magnin, Hamilton, NJ; \\ Lawrence G. Hamann, Cherry Hill, NJ; \\ David A. Betebenner, Lawrenceville, NJ;
}

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit SelectUSA.gov.

\section*{IN THE UNITED STATES PATENT AND TRADEMARK OFFICE}

\section*{In Re Application of:}

Jeffrey A. Robl; Richard B. Sulsky; David
J. Augeri; David R. Magnin; Lawrence G.

\author{
Hamann; David A. Betebenner Confirmation No.: 7781
}

Patent No.: RE44,186 E
Application No.: 13/308,658
Issued: April 30, 2013

\section*{For: CYCLOPROPYL-FUSED PYRROLIDINE-BASED INHIBITORS OF DIPEPTIDYL PEPTIDASE IV AND METHOD}

Commissioner for Patents
Attn: Certificate of Correction Branch
P.O. Box 1450

Alexandria, VA 22313-1450
Dear Sir:

\section*{REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE PURSUANT TO 37 CFR § 1.322(a)}

It is respectfully requested that a Certificate of Correction be issued for the aboveidentified patent. In accordance with 37 CFR § 1.322(a), the patent has errors in it that occurred through the fault of the Patent and Trademark Office as clearly disclosed by the records and files of the office.

Enclosed herewith please find a completed Certificate of Correction form.
Since the errors are not due to applicants' mistake, no correction fee is due. Please charge any fees for copies and any additional fees to our Deposit Account No. 23-3050.

Date: July 3, 2013
Stephanie A. Lodise/
Stephanie A. Lodise
Registration No. 51,430

Woodcock Washburn LLP
Cira Centre
2929 Arch Street, 12th Floor
Philadelphia, PA 19104-2891
Telephone: (215) 568-3100
Facsimile: (215) 568-3439
\begin{tabular}{|c|}
\hline UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION \\
\hline \begin{tabular}{l}
\begin{tabular}{lll} 
PATENT NO & \(:\) & RE44,186 E \\
APPLICATION NO. & \(:\) & \(13 / 308,658\) \\
ISSUE DATE & \(:\) & April 30, 2013 \\
INVENTOR(S) & \(:\) & Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin; \\
& \multicolumn{2}{l}{ Lawrence G. Hamann; David A. Betebenner }
\end{tabular} \\
It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below: \\
Column 4, \\
Line 56, delete "alkylcyclo alkyl," and insert -- alkylcycloalkyl, --. Line 56, delete "hydroxytricyclo alkyl," and insert -hydroxytricycloalkyl, --. \\
Column 17, \\
Line 48, delete "a-phosphono-sulfonates" and insert -- \(\alpha\) -phosphono-sulfonates --. \\
Column 19, \\
Line 51, delete "lipoxygevase" and insert -- lipoxygenase --. \\
Column 28, \\
Lines 16-17, delete "butoxycarbonylisoleucine" and insert --butoxycarbonyl-isoleucine --. \\
Column 33, \\
Lines 38-39, delete "1-[(3-dimethypamino)propyl]" and insert -- 1-[(3-dimethyl)amino)propyl] --.
\end{tabular} \\
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\end{tabular}



\begin{tabular}{llll}
\hline & UNITED STATES PATENT AND TRADEMARK OFFICE \\
CATENT NO & \(:\) & RE44, 186 E \\
APPLICATION NO. & \(:\) & \(13 / 308,658\) \\
ISSUE DATE & \(:\) & April 30, 2013 \\
INVENTOR(S) & \(:\) & Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin; \\
& Lawrence G. Hamann; David A. Betebenner
\end{tabular}
\begin{tabular}{lll}
\hline & & \\
UNITED STATES PATENT AND TRADEMARK OFFICE \\
CERTIFICATE OF CORRECTION
\end{tabular}



MAILING ADDRESS OF SENDER (Please do not use customer number below):
Woodcock Washburn LLP
Cira Centre
2929 Arch Street, 12th Floor
Philadelphia, PA 19104-2891
\begin{tabular}{|c|c|}
\hline \multicolumn{2}{|r|}{Electronic Acknowledgement Receipt} \\
\hline EFS ID: & 16226296 \\
\hline Application Number: & 13308658 \\
\hline International Application Number: & \\
\hline Confirmation Number: & 7781 \\
\hline Title of Invention: & Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method \\
\hline First Named Inventor/Applicant Name: & Jeffrey A. Robl \\
\hline Customer Number: & 23377 \\
\hline Filer: & Stephanie A. Barbosa/Laura Taylor \\
\hline Filer Authorized By: & Stephanie A. Barbosa \\
\hline Attorney Docket Number: & BMS-2856 \\
\hline Receipt Date: & 03-JUL-2013 \\
\hline Filing Date: & 01-DEC-2011 \\
\hline Time Stamp: & 10:47:07 \\
\hline Application Type: & Utility under 35 USC 111(a) \\
\hline
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\section*{Payment information:}
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\hline \multicolumn{2}{|l|}{Submitted with Payment} & \multicolumn{4}{|l|}{no} \\
\hline \multicolumn{6}{|l|}{File Listing:} \\
\hline Document Number & Document Description & File Name & File Size(Bytes)/ Message Digest & \begin{tabular}{l}
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Part /.zip
\end{tabular} & Pages (if appl.) \\
\hline \multirow{2}{*}{1} & \multirow{2}{*}{Miscellaneous Incoming Letter} & \multirow{2}{*}{BMS-2856Transmittal.PDF} & 262282 & \multirow{2}{*}{no} & \multirow{2}{*}{2} \\
\hline & & &  & & \\
\hline \multicolumn{6}{|l|}{Warnings:} \\
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\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|}
\hline \multirow{2}{*}{2} & \multirow{2}{*}{Request for Certificate of Correction} & \multirow{2}{*}{BMS-2856ReqCertCorr.PDF} & 79227 & \multirow{2}{*}{no} & \multirow{2}{*}{2} \\
\hline & & & 213 fd 4 d 2 d 20 f 04 bd 0 a 7 d 68 fb 5 ba 2 c 2 aa 8 db \(7 a 560\) & & \\
\hline \multicolumn{6}{|l|}{Warnings:} \\
\hline \multicolumn{6}{|l|}{Information:} \\
\hline & \multirow{2}{*}{Request for Certificate of Correction} & \multirow{2}{*}{BMS-2856CertCorr.PDF} & 137994 & \multirow{2}{*}{no} & \multirow{2}{*}{8} \\
\hline & & &  & & \\
\hline \multicolumn{6}{|l|}{Warnings:} \\
\hline \multicolumn{6}{|l|}{Information:} \\
\hline \multicolumn{3}{|r|}{Total Files Size (in bytes)} & \multicolumn{2}{|c|}{479503} & \\
\hline \multicolumn{6}{|l|}{This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.} \\
\hline \multicolumn{6}{|l|}{New Applications Under 35 U.S.C. 111} \\
\hline \multicolumn{6}{|l|}{If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.} \\
\hline \multicolumn{6}{|l|}{National Stage of an International Application under 35 U.S.C. 371} \\
\hline \multicolumn{6}{|l|}{If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.} \\
\hline \multicolumn{6}{|l|}{New International Application Filed with the USPTO as a Receiving Office} \\
\hline \multicolumn{6}{|l|}{If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.} \\
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\end{tabular}
\begin{tabular}{|c|c|c|c|}
\hline \multirow[t]{6}{*}{\begin{tabular}{l}
TRANSMITTAL FORM \\
(to be used for all correspondence after initia \\
Total Number of Pages in This Submission
\end{tabular}} & & Application Number & 13/308,658 \\
\hline & & Filing Date & December 1, 2011 \\
\hline & & First Named Inventor & Jeffrey A. Robl \\
\hline & & Art Unit & 1629 \\
\hline & & Examiner Name & Gregg Polansky \\
\hline & 12 & Attorney Docket Number & BMS-2856 \\
\hline
\end{tabular}

\begin{tabular}{|l|l|l|l|}
\hline \multicolumn{1}{|l|}{ CERTIFICATE OF TRANSMISSION/MAILING } \\
\hline \begin{tabular}{l} 
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with \\
sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on \\
the date shown below:
\end{tabular} \\
\hline Signature & & Date & \\
\hline Typed or printed name & & \\
\hline
\end{tabular}

\footnotetext{
This collection of information is required by 37 CFR 1.5 . The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
}

\section*{Privacy Act Statement}

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:
1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

\section*{SPE RESPONSE FOR CERTIFICATE OF CORRECTION}
\(\qquad\)
DATE \(\qquad\)
TO SPE OF : ART UNIT 1629

SUBJECT : Request for Certificate of Correction for Appl. No.: \(\qquad\) Patent No.: RE44186

COCIN mailroom date: _July 3, 2013

Please respond to this request for a certificate of correction within 7 days.

\section*{FOR IFW FILES:}

Please review the requested changes/corrections as shown in the COCIN document(s) in the IFW application image. No new matter should be introduced nor should the scope or meaning of the claims be changed.

Please complete the response (see below) and forward the completed response to scanning using document code COCX.

\section*{FOR PAPER FILES:}

Please review the requested changes/corrections as shown in the attached certificate of correction. Please complete this form (see below) and forward it with the file to:

Certificates of Correction Branch (CofC)
Randolph Square -9D10-A
Palm Location 7580

In Particular note:

\section*{Valerie Jackson \\ Certificates of Correction Branch 703-756-1814}

Thank You For Your Assistance

The request for issuing the above-identified correction(s) is hereby:
Note your decision on the appropriate box.

Approved
Approved in Part
Denied

All changes apply.
Specify below which changes do not apply.
State the reasons for denial below.

Comments: \(\qquad\)
\(\qquad\)
\(\qquad\)
\(\qquad\)
\(\qquad\)

\section*{SPE RESPONSE FOR CERTIFICATE OF CORRECTION} SPE

Art Unit
\(\qquad\) DATE \(\qquad\) July 18,2013

TO SPE OF
: ART UNIT 1629

SUBJECT
: Request for Certificate of Correction for Appl. No.: \(\qquad\) Patent No.: RE44186

COCIN mailroom date: _July 3, 2013
Please respond to this request for a certificate of correction within 7 days.

\section*{FOR IFW FILES:}

Please review the requested changes/corrections as shown in the COCIN document(s) in the IFW application image. No new matter should be introduced nor should the scope or meaning of the claims be changed.
Please complete the response (see below) and forward the completed response to scanning using document code COCX.

\section*{FOR PAPER FILES:}

Please review the requested changes/corrections as shown in the attached certificate of correction. Please complete this form (see below) and forward it with the file to:

\section*{Certificates of Correction Branch (CofC) \\ Randolph Square - 9D10-A \\ Palm Location 7580}

In Particular note:

> Valerie Jackson
> Certificates of Correction Branch \(703-756-1814\)

Thank You For Your Assistance
The request for issuing the above-identified correction(s) is hereby:
Note your decision on the appropriate box.

X Approved
Approved in Part
Denied

All changes apply.
Specify below which changes do not apply.
State the reasons for denial below.

Comments: \(\qquad\)
\(\qquad\)
\(\qquad\)

\section*{SPE RESPONSE FOR CERTIEICATE OF CORRECTION} SPE

Art Unit

\title{
UNITED STATES PATENT AND TRADEMARK OFFICE \\ CERTIFICATE OF CORRECTION
}
\(\begin{array}{lll}\text { PATENT NO. } & : \text { RE44,186E } & \text { Page } 1 \text { of } 4 \\ \text { APPLICATION NO. } & : 13 / 308658 & \end{array}\)
APPLICATION NO. . \(13 / 308658\)
DATED : April 30, 2013
INVENTOR(S) : Jeffrey A. Robl et al.
It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Specifications:

\section*{Column 4,}

Line 56, delete "alkylcyclo alkyl," and insert -- alkylcycloalkyl, --.
Line 56, delete "hydroxytricyclo alkyl," and insert
-- hydroxytricycloalkyl, --.

\section*{Column 17,}

Line 48, delete "a-phosphono-sulfonates" and insert -- \(\alpha\)-phosphono-sulfonates --.

\section*{Column 19,}

Line 51, delete "lipoxygevase" and insert -- lipoxygenase --.

\section*{Column 28,}

Lines 16-17, delete "butoxycarbonylisoleucine" and insert
-- butoxycarbonyl-isoleucine --.

\section*{Column 33,}

Lines 38-39, delete "1-[(3-dimethypamino)propyl]" and insert
-- 1-[(3-dimethyl)amino)propyl] --.

Signed and Sealed this
Eighth Day of October, 2013


Teresa Stanek Rea

\section*{CERTIFICATE OF CORRECTION (continued)}
U.S. Pat. No. RE44,186 E

In the Specifications:

\section*{Column 51,}

a. \(\mathrm{OsO}_{4}\), THF: \(\mathrm{H}_{2} \mathrm{O}, 1: 1 ; \mathrm{NaIO}_{4}\); workup, then \(\mathrm{NaBH}_{4}, \mathrm{MeOH}, \mathrm{RT} .56 \%\) b. TFA:CH2 \(\mathrm{Cl}_{2}, 1: 2,0\) degrees C . o RT .

Lines 1-30, delete "
and insert
--Scheme 7
General Method E, Examples 4547

a. \(\mathrm{OsO}_{4}\), THF: \(\mathrm{H}_{2} \mathrm{O}, 1: 1\) : \(\mathrm{NaIO}_{4}\); workup then \(\mathrm{NaBH}_{4}, \mathrm{MeOH}, \mathrm{RT} .56 \%\)
b. TFA: \(\mathrm{CH}_{2} \mathrm{Cl}_{2}, 1: 2,0\) degrees C to RT.

Column 51,
Line 54, delete "OsO4" and insert -- \(\mathrm{OsO}_{4}\)--.

\section*{CERTIFICATE OF CORRECTION (continued)}

\section*{U.S. Pat. No. RE44,186 E}

In the Specifications:

\section*{Column 55,}

Lines 19-31, EXAMPLE 57, delete "


Step 3
\[
" \text { and }
\]
insert --


Column 63,


Lines 25-46, EXAMPLE 62, delete "


Step 1
\("\) and

insert --

\section*{CERTIFICATE OF CORRECTION (continued)}

In the Specifications:
Column 64,
Line 31, delete " \(\mathrm{NaHSO}_{3}\) " and insert -- \(\mathrm{NaHSO}_{3}-\).

Column 69,

\section*{EXAMPLE 67}

\section*{Step 1}


Lines 20-32, delete "
EXAMPLE 67

insert --
Step 1

Column 70,
Line 59, delete " 19,8 mmol" and insert -- \(19.8 \mathrm{mmol}-\)-.
Column 82,
Line 27, after "30 min" insert -- . --.

In the Claims:

Column 87,
Line 7, Claim 1, delete "R4" and insert -- \(R^{4}-\).
Column 92,
Line 21, Claim 36, delete "any one of claim" and insert -- any one of claims --.

Case 1:14-cv-00667-UNA Document 4 Filed 05/23/14 Page 1 of 1 PageID \#: 78
AO 120 (Rev. 08/10)
\begin{tabular}{|c|c|c|}
\hline TO: & \begin{tabular}{l}
Mail Stop 8 \\
Director of the U.S. Patent and Trademark Office
\[
\text { P.O. Box } 1450
\] \\
Alexandria, VA 22313-1450
\end{tabular} & \begin{tabular}{l}
REPORT ON THE \\
FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
\end{tabular} \\
\hline
\end{tabular}

In Compliance with 35 U.S.C. \(\S 290\) and/or 15 U.S.C. \(\S 1116\) you are hereby advised that a court action has been filed in the U.S. District Court United States District Court for the District of Delaware on the following \(\square\) Trademarks or \(\quad\) Patents. ( \(\square\) the patent action involves 35 U.S.C. \(\S 292\) ):
\begin{tabular}{|c|c|c|}
\hline DOCKET NO. & DATE FLLED & \begin{tabular}{l}
U.S. DISTRICT COURT \\
United States District Court for the District of Delaware
\end{tabular} \\
\hline \begin{tabular}{l}
PLAINTIFF \\
ASTRAZENECA AB
\end{tabular} & & \begin{tabular}{l}
DEFENDANT \\
WOCKHARDT BIO AG and WOCKHARDT USA LLC
\end{tabular} \\
\hline PATENT OR TRADEMARK NO. & DATE OF PATENT OR TRADEMARK & HOLDER OF PATENT OR TRADEMARK \\
\hline \(1 \mathrm{RE} 44,186\) & April 30, 2013 & AstraZeneca AB \\
\hline 2 7,951,400 & May 31, 2011 & AstraZeneca AB \\
\hline 3 & & \\
\hline 4 & & \\
\hline 5 & & \\
\hline
\end{tabular}

In the above-entitled case, the following patent(s)/trademark(s) have been included:
\begin{tabular}{|l|c|c|}
\hline DATE INCLUDED & INCLUDED BY \\
\hline \begin{tabular}{c} 
PATENT OR \\
TRADEMARK NO.
\end{tabular} & \begin{tabular}{c} 
DATE OF PATENT \\
OR TRADEMARK
\end{tabular} & \(\square\) Answer \(\quad \square\) Cross Bill \(\quad \square\) Other Pleading \\
\hline 1 & & \\
\hline 2 & & \\
\hline 3 & & \\
\hline 4 & & \\
\hline 5 & & \\
\hline
\end{tabular}

In the above entitled case, the following decision has been rendered or judgement issued:


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Case 3:14-cv-03552-MLC-DEA Document 3 Filed 06/03/14 Page 1 of 1 PageID: 94
\begin{tabular}{|c|c|c|}
\hline \multicolumn{3}{|l|}{AO 120 (Rev. 08/10)} \\
\hline TO: \(\quad\) Director & \begin{tabular}{l}
Mail Stop 8 \\
U.S. Patent and Trad Office \\
.O. Box 1450 \\
ria, VA 22313-1450
\end{tabular} & \begin{tabular}{l}
REPORT ON THE \\
FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
\end{tabular} \\
\hline \multicolumn{3}{|l|}{In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of New Jersey on the following:
\(\qquad\) Trademarks or X Patents. ( \(\qquad\) the patent action involves 35 U.S.C. § 292.)} \\
\hline \multicolumn{2}{|l|}{\begin{tabular}{|l|l}
\hline DOCKET NO. & DATE FILED \\
3:14-cv-03552-MLC-DEA & 6/3/2014
\end{tabular}} & U.S. DISTRICT COURT TRENTON, NJ \\
\hline \multicolumn{2}{|l|}{\[
\begin{aligned}
& \text { PLAINTIFF } \\
& \text { ASTRAZENECA AB }
\end{aligned}
\]} & DEFENDANT SUN PHARMA GLOBAL FZE \\
\hline PATENT OR TRADEMARK NO. & DATE OF PATENT OR TRADEMARK & HOLDER OF PATENT OR TRADEMARK \\
\hline 1 US RE44,186 E & April 30, 2013 & Bristol-Myers Squibb Company \\
\hline 2 US 7,951,400 B2 & May 31, 2011 & Bristol-Myers Squibb Company \\
\hline 3 US 8,628,799 B2 & January 14, 2014 & Bristol-Myers Squibb Company \\
\hline 4 & & \\
\hline 5 & & \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|}
\hline \multicolumn{6}{|c|}{In the above --entitled case, the following patent(s)/ trademark(s) have been included:} \\
\hline \multirow[t]{2}{*}{DATE INCLUDED} & \multicolumn{5}{|l|}{INCLUDED BY} \\
\hline & & Amendment & __ Answer & Cross Bill & __Other Pleading \\
\hline PATENT OR TRADEMARK NO. & DATE OF PATENT OR TRADEMARK & & \multicolumn{3}{|l|}{HOLDER OF PATENT OR TRADEMARK} \\
\hline 1 & & & & & \\
\hline \multicolumn{6}{|l|}{2} \\
\hline \multicolumn{6}{|l|}{3} \\
\hline \multicolumn{6}{|l|}{4} \\
\hline 5 & & & & & \\
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\end{tabular}
\(\square\)


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Case 1:14-cv-00694-GMS Document 4 Filed 06/02/14 Page 1 of 1 PageID \#: 95
AO 120 (Rev. 08/10)
\begin{tabular}{|c|c|}
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P.O. Box 1450 & REPORT ON THE \\
& FILING OR DETERMINATION OF AN \\
& ACTION REGARDING A PATENT OR \\
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\end{tabular}

In Compliance with 35 U.S.C. \(\S 290\) and/or 15 U.S.C. \(\S 1116\) you are hereby advised that a court action has been filed in the U.S. District Court District of Delaware on the following \(\square\) Trademarks or \(\quad \square\) Patents. ( \(\square\) the patent action involves 35 U.S.C. § 292.):
\begin{tabular}{|c|c|c|}
\hline DOCKET NO. & \begin{tabular}{|c} 
DATE FILED \\
\(6 / 2 / 2014\)
\end{tabular} & U.S. DISTRICT COURT District of Delaware \\
\hline \begin{tabular}{l}
PLAINTIFF \\
ASTRAZENECA AB
\end{tabular} & & \begin{tabular}{l}
DEFENDANT \\
SUN PHARMA GLOBAL FZE, SUN PHARMACEUTICAL INDUSTRIES LTD. and CARACO PHARMACEUTICAL LABORATORIES LTD.
\end{tabular} \\
\hline PATENT OR TRADEMARK NO. & DATE OF PATENT OR TRADEMARK & HOLDER OF PATENT OR TRADEMARK \\
\hline 1 RE44,186 & 4/30/2013 & AstraZeneca \(A B\) \\
\hline 2 7,951,400 & 5/31/2011 & AstraZeneca \(A B\) \\
\hline \(38,628,799\) & 1/14/2014 & AstraZeneca AB \\
\hline 4 & & \\
\hline 5 & & \\
\hline
\end{tabular}

In the above-entitled case, the following patent(s)/trademark(s) have been included:
\begin{tabular}{|l|c|c|}
\hline DATE INCLUDED & INCLUDED BY \\
\hline \begin{tabular}{c} 
PATENT OR \\
TRADEMARK NO.
\end{tabular} & \begin{tabular}{c} 
DATE OF PATENT \\
OR TRADEMARK
\end{tabular} & \(\square\) Amendment \\
\hline 1 & & \(\square\) Answer \(\quad \square\) Cross Bill \(\quad \square\) Other Pleading \\
\hline 2 & & \\
\hline 3 & & \\
\hline 4 & & \\
\hline 5 & & \\
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\end{tabular}

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Case 1:14-cv-00697-GMS Document 4 Filed 06/02/14 Page 1 of 1 PageID \#: 94
\begin{tabular}{|c|c|c|c|}
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\text { Mail Stop 8 } \\
\text { TO: Director of the U.S. Patent and Trademark Office } \\
\text { P.O. Box 1450 } \\
\text { Alexandria, VA 22313-1450 }
\end{gathered}
\]} & \begin{tabular}{l}
REPORT ON THE \\
FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
\end{tabular} \\
\hline \multicolumn{4}{|l|}{\begin{tabular}{l}
In Compliance with 35 U.S.C. \(\S 290\) and/or 15 U.S.C. \(\S 1116\) you are hereby advised that a court action has been \\
filed in the U.S. District Court \(\qquad\) on the following \\
Trademarks or \(\square\) Patents. ( \(\square\) the patent action involves 35 U.S.C. § 292.):
\end{tabular}} \\
\hline DOCKET NO. & DATE FILED
\(6 / 2 / 2014\) & \multicolumn{2}{|l|}{\begin{tabular}{l}
U.S. DISTRICT COURT \\
District of Delaware
\end{tabular}} \\
\hline \multicolumn{3}{|l|}{\begin{tabular}{l}
PLAINTIFF \\
ASTRAZENECA AB
\end{tabular}} & \begin{tabular}{l}
DEFENDANT \\
AMNEAL PHARMACEUTICALS LLC
\end{tabular} \\
\hline PATENT OR TRADEMARK NO. & DATE OF PATENT OR TRADEMARK & & HOLDER OF PATENT OR TRADEMARK \\
\hline 1 RE44,186 & 4/30/2013 & & aZeneca AB \\
\hline 2 7,951,400 & 5/31/2011 & & aZeneca AB \\
\hline \(38,628,799\) & 1/14/2014 & & aZeneca AB \\
\hline \multicolumn{4}{|l|}{4} \\
\hline 5 & & & \\
\hline
\end{tabular}

In the above-entitled case, the following patent(s)/ trademark(s) have been included:


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\begin{tabular}{|l|l|l|}
\hline CLERK & (BY) DEPUTY CLERK & DATE \\
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Case 1:14-cv-00696-GMS Document 4 Filed 06/02/14 Page 1 of 1 PageID \#: 94 AO 120 (Rev. 08/10)
\begin{tabular}{|c|c|c|c|}
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\text { TO: } \quad \text { Director of the U.S. Patent and Trademark Office } \\
\text { P.O. Box 1450 } \\
\text { Alexandria, VA 22313-1450 }
\end{array}
\]} & \begin{tabular}{l}
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\end{tabular} \\
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\hline \multicolumn{3}{|l|}{filed in the U.S. District Court} & ___ on the following \\
\hline \multicolumn{4}{|l|}{\(\square\) Trademarks or \(\quad \square\) Patents. ( \(\square\) the patent action involves 35 U.S.C. § 292.):} \\
\hline DOCKET NO. & DATE FILED
\(6 / 2 / 2014\) & U.S. D & STRICT COURT District of Delaware \\
\hline \multicolumn{3}{|l|}{PLAINTIFF ASTRAZENECA AB} & \begin{tabular}{l}
DEFENDANT \\
MYLAN PHARMACEUTICALS, INC.
\end{tabular} \\
\hline PATENT OR TRADEMARK NO. & DATE OF PATENT OR TRADEMARK & & HOLDER OF PATENT OR TRADEMARK \\
\hline 1 RE44,186 & 4/30/2013 & & aZeneca AB \\
\hline \(27,951,400\) & 5/31/2011 & & aZeneca AB \\
\hline \(38,628,799\) & 1/14/2014 & & aZeneca AB \\
\hline \multicolumn{4}{|l|}{4} \\
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Case 1:14-cv-00094-IMK Document 4 Filed 06/03/14 Page 1 of 1 PageID \#: 91
\[
1: 14-c v-94
\]


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PATENT OR \\
TRADEMARK NO.
\end{tabular} & \begin{tabular}{c} 
DATE OF PATENT \\
OR TRADEMARK
\end{tabular} & \(\square\) Amendment \\
\hline 1 & & \(\square\) Answer \(\quad \square\) Cross Bill \(\quad \square\) Other Pleading \\
\hline 2 & & \\
\hline 3 & & \\
\hline 4 & & \\
\hline 5 & & \\
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\hline DECISION/JUDGEMENT & \\
\hline \\
\hline CLERK & (BY) DEPUTY CLERK & DATE \\
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Case 1:14-cv-01051-GMS Document 4 Filed 08/15/14 Page 1 of 1 PageID \#: 69


In the above entitled case, the following patent(s)/trademark(s) have been included:
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PATENT OR \\
TRADEMARK NO.
\end{tabular}} & \begin{tabular}{c} 
DATE OF PATENT \\
OR TRADEMARK
\end{tabular} \\
\hline 1 & & \(\square\) Answer \(\quad \square\) Cross Bill \(\quad \square\) Other Pleading \\
\hline 2 & & & \\
\hline 3 & & & \\
\hline 4 & & & \\
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\end{tabular}

In the above entitled case, the following decision has been rendered or judgement issued:
DECISION/JUDGEMENT
\begin{tabular}{|l|l|l|}
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\end{tabular}

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Case 3:14-cv-03552-MLC-DEA Document 3 Filed 06/03/14 Page 1 of 1 PageID: 94

\begin{tabular}{|c|c|c|c|c|c|}
\hline \multicolumn{6}{|l|}{In the above --entitled case, the following patent(s)/trademark(s) have been included:} \\
\hline \multirow[t]{2}{*}{DATE INCLUDED} & \multicolumn{5}{|l|}{INCLUDED BY} \\
\hline & & Amendment & _ Answer & \(\ldots\) Cross Bill & Other Pleading \\
\hline PATENT OR TRADEMARK NO & DATE OF PATENT OR TRADEMARK & & \multicolumn{3}{|l|}{HOLDER OF PATENT OR TRADEMARK} \\
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\hline 2 & & & & & \\
\hline 3 & & & & & \\
\hline 4 & & & & & \\
\hline 5 & & & & & \\
\hline
\end{tabular}

In the above--entitled case, the following decision has been rendered or judgement issued:
DECISION/JUDGEMENT
\begin{tabular}{l|l|l}
\hline \begin{tabular}{c} 
CLERK \\
William T. Walsh
\end{tabular} & \begin{tabular}{c} 
(BY) DEPUTY CLERK \\
s/ Marlene Kalbach
\end{tabular} & \begin{tabular}{l} 
DATE \\
\(6 / 3 / 20\)
\end{tabular} \\
\hline
\end{tabular}
\begin{tabular}{l} 
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Copy 2-Upon filing document adding patent(s), mail this copy to Director Copy 4-Case file copy
\end{tabular}

Case 2:14-cv-05513-KSH Document 3 Filed 09/03/14 Page 1 of 1 PageID: 23
AO 120 (Rev. 08/10)
\begin{tabular}{|c|c|c|c|}
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\begin{array}{|c}
\text { Mail Stop } 8 \\
\text { TO: } \quad \text { Director of the U.S. Patent and Trademark Office } \\
\text { P.O. Box 1450 } \\
\\
\\
\text { Alexandria, VA 22313-1450 }
\end{array}
\]} & \begin{tabular}{l}
REPORT ON THE \\
FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
\end{tabular} \\
\hline \multicolumn{4}{|l|}{In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. \(\S 1116\) you are hereby advised that a court action has been filed in the U.S. District Court \(\qquad\) District of New Jersey on the following \(\square\) Trademarks or \(\square\) Patents. ( \(\square\) the patent action involves 35 U.S.C. § 292.):} \\
\hline \[
\begin{array}{|r|}
\hline \text { DOCKET NO. } \\
14-\mathrm{CV}-5513(\mathrm{KSH}) \\
\hline
\end{array}
\] & DATE FILED
\(9 / 3 / 2014\) & \multicolumn{2}{|l|}{U.S. DISTRICT COURT District of New Jersey} \\
\hline \multicolumn{4}{|l|}{\begin{tabular}{|l|l}
\hline PLAINTIFF & DEFENDANT \\
LifePort Sciences LLC & \begin{tabular}{l} 
C.R. Bard Inc. \\
Bard Peripheral Vascular Inc.
\end{tabular} \\
&
\end{tabular}} \\
\hline PATENT OR TRADEMARK NO. & DATE OF PATENT OR TRADEMARK & & HOLDER OF PATENT OR TRADEMARK \\
\hline 1 6,673,103 & 1/6/2004 & & Port Sciences LLC \\
\hline \multicolumn{4}{|l|}{2} \\
\hline \multicolumn{4}{|l|}{3} \\
\hline \multicolumn{4}{|l|}{4} \\
\hline 5 & & & \\
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\end{tabular}

In the above-entitled case, the following patent(s)/trademark(s) have been included:


In the above-entitled case, the following decision has been rendered or judgement issued:
\begin{tabular}{l}
\hline DECISION/JUDGEMENT \\
\hline \\
\begin{tabular}{|l|l|l|}
\hline CLERK \\
WILLIAM T. WALSH & \begin{tabular}{l} 
(BY) DEPUTY CLERK \\
LEROY DUNBAR
\end{tabular} & DATE \\
\hline
\end{tabular} \\
\hline
\end{tabular}

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Case 1:14-cv-01356-UNA Document 4 Filed 10/31/14 Page 1 of 1 PageID \#: 81


In the above entitled case, the following patent(s)/trademark(s) have been included:
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INCLUDED BY \\
PATENT OR \\
TRADEMARK NO.
\end{tabular}} & \begin{tabular}{c} 
DATE OF PATENT \\
OR TRADEMARK
\end{tabular} & \(\square\) Answer \(\quad \square\) Cross Bill \(\quad \square\) Other Pleading \\
\hline 1 & & & \\
\hline 2 & & & \\
\hline 3 & & & \\
\hline 4 & & & \\
\hline 5 & & & \\
\hline
\end{tabular}

In the above-entitled case, the following decision has been rendered or judgement issued:

\section*{DECISION/JUDGEMENT}
CLERK
(BY) DEPUTY CLERK
DATE

Copy 1-Upon initiation of action, mail this copy to Director Copy 3-Upon termination of action, mail this copy to Director Copy 2-Upon filing document adding patent(s), mail this copy to Director Copy 4-Case file copy
\begin{tabular}{|cc|c|}
\hline TO: & Mail Stop 8 \\
& Director of the U.S. Patent and Trademark Office \\
P.O. Box 1450 \\
Alexandria, VA 22313-1450
\end{tabular}\(\quad\)\begin{tabular}{c} 
FILING OR DETERMMNATION OF AN \\
ACTION REGARDING A PATENT OR \\
\\
\end{tabular}
\begin{tabular}{|c|c|c|}
\hline \multicolumn{3}{|l|}{In Compliance with 35 U.S.C. \(\S 290\) and/or 15 U.S.C. \(\S 1116\) you are hereby advised that a court action has been filed in the U.S. District Court for the District of Delaware on the following} \\
\hline \multicolumn{3}{|l|}{\(\square\) Trademarks or \(\square\) Patents. ( \(\square\) the patent action involves 35 U.S.C. § 292.):} \\
\hline DOCKET NO. & DATE FILED 12/9/2014 & U.S. DISTRICT COURT
for the District of Delaware \\
\hline PLAINTIFF ASTRAZENECA AB & & \begin{tabular}{l}
DEFENDANT \\
AUROBINDO PHARMA LTD., and AUROBINDO PHARMA U.S.A., INC.
\end{tabular} \\
\hline PATENT OR TRADEMARK NO. & DATE OF PATENT OR TRADEMARK & HOLDER OF PATENT OR TRADEMARK \\
\hline 1 RE 44,186 & 4/30/2013 & AstraZeneca AB \\
\hline 2 & & \\
\hline 3 & & \\
\hline 4 & & \\
\hline 5 & & \\
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\end{tabular}

In the above--entitled case, the following patent(s)/ trademark(s) have been included:


In the above-entitled case, the following decision has been rendered or judgement issued:
\begin{tabular}{|l|l|l|}
\hline DECISION/JUDGEMENT & DATE \\
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\end{tabular} \begin{tabular}{|l|l|l|}
\hline CLERK & (BY) DEPUTY CLERK & \\
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\end{tabular}

Copy 1-Upon initiation of action, mail this copy to Director Copy 3-Upon termination of action, mail this copy to Director Copy 2-Upon filing document adding patent(s), mail this copy to Director Copy 4-Case file copy```


[^0]:    RN 394727-36-7 HCAPLUS
    CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
    N-[1-[2-(3-buten-1-ylamino)-2-oxoacetyl]-4-penten-1-yl]-3-[(2S)-2-
    [[[[(1R, 4S)-7,7-dimethyl-2-oxobicyclo[2.2.1]hept-1-
    yl]methyl]sulfonyl]amino]-3,3-dimethyl-1-oxobutyl]-6,6-dimethyl-,
    (1R,2S,5S)- (CA INDEX NAME)

[^1]:    **PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT**

