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October 4, 2004

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Enclosed herewith for filing is a patent application, as follows:

Inventor(s):	Nathan E. Little, Brandon M. Beck, Brian K. Showers
Title:	Complex Configuration Processing Using Configuration Sub-Models
Docket No.:	T00121
Customer No.:	33438

- X Return Receipt Postcard
- X Check for \$1,650.00 for Filing Fee
- X Transmittal Letter
- 22 page(s) Specification (not including Claims)
- 10 page(s) Claims
- 1 page(s) Abstract
- 8 sheet(s) of Drawings
- 2 page(s) Declaration For Patent Application and Power of Attorney
- 1 page(s) Recordation Form Cover Sheet
- 1 page(s) Assignment
- 1 page(s) Nonpublication Request

#### CLAIMS AS FILED (fees computed under §1.9(f))

	For	Number <u>Filed</u>			Number <u>Extra</u>		Rate		\$ Basic Fee <u>790.00</u>
•	Total Claims	46	-20		26	x	\$18		\$ 468.00
¥.	Independent Claims	7	-3	=	4	X	\$88	=	\$ 352.00
	Application contai	ns one or m	ore mi	ltiple	dependent clain	ns (\$30	0 total fee	;)	\$ 0.00
	Fee for Recordation	Form Cove	er Shee	t and A	Assignment				\$40.00
	Check enclosed for	total fees in	the an	nount	of:				<u>\$1,650.00</u>

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EXPRESS MAIL LABEL NO: EV469744168US Respectfully submitted,

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839



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#### PTO/SB/35 (11-00) Approved through use through 10/31/2002 OMB 0651-0031

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NONPUBLICATION REQUEST UNDER	First Named Inventor	Nathan E. Little
35 U.S.C. 122(b)(2)(B)(i)	Title	Complex Configuration Processing Using Configuration Sub-Models
	Attorney Docket Number	T00121

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

ctober 4,2004

Signature

Kent B. Chambers, Reg. No. 38,839 Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

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If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

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	Check enclosed for	total fees in	the an	nount	of:				<u>\$1,650.00</u>

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"Express Mail" mailing label number:

EV 469744168 US

# COMPLEX CONFIGURATION PROCESSING USING CONFIGURATION SUB-MODELS

Nathan E. Little, Brandon M. Beck, and Brian K. Showers

#### BACKGROUND OF THE INVENTION

#### **Field of the Invention**

(1) The present invention relates in general to the field of information processing, and more specifically to a system and method for processing complex configuration problems using configuration sub-models.

#### **DESCRIPTION OF THE RELATED ART**

(2) Computer assisted product configuration continues to offer substantial benefits to a wide range of users and industries. Figure 1 depicts a conventional product configuration process 100 performed by a configuration engine 101. The configuration process 100 represents one embodiment of an inference procedure. In one embodiment of a conventional inference procedure, configuration query 102 is formulated based on user configuration input, a configuration engine performs the configuration query 102 using a configuration model 104, and the configuration engine provides an answer 106 to the configuration query 102 based on the configuration query 102 and the contents of the configuration model 104. The answer 106 represents a particular response to the configuration query 102.

(3) A configuration model 104 uses, for example, data, rules, and/or constraints (collectively referred to as "data") to define compatibility relationships between parts (also commonly referred to as "features") contained in a specific type of product. A part represents a single component or attribute from a larger, more complex system. Parts may be combined in different ways in accordance with rules and/or constraints to define different instances of the more complex system. For example, "V6 engine" or the exterior color "red" can be parts on a vehicle, and a specific hard disk drive can

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be a part on a computer. A part group, also called a group, represents a collection of related parts. For example, an "Engines" group might contain the parts "V6 engine" and "4 cylinder engine". A product configuration is a set of parts that define a product. For example, a vehicle configuration containing the parts "V6 engine" and "red" represents a physical vehicle that has a red exterior and a V6 engine. A product can be a physical product such as a vehicle, computer, or any other product that consists of a number of configurable features such as an insurance product. Additionally, a product can also represent a service. A configuration query (also referred to as a "query") is essentially a question that is asked about the parts and relationships in a configuration model. The answer returned from a configuration query will depend on the data in the configuration model, the approach used for answering the question, and the specifics of the question itself. For example, one possible configuration query, translated to an English sentence, is the following: For the given configuration model, are the parts "red" and "V6 engine" compatible with each other.

(4)The configuration model 104 can be used to determine, for example, which parts are compatible with other parts, and provide additional details around specific relationships. For example, a vehicle configuration model can indicate that "red" (a part) is the standard color feature for a specific vehicle, but that the color red is not compatible with "V6 engine" (a part). Configuration model 104 may also contain additional information needed to support specific product related queries. Configuration models can be developed in any number of ways. U.S. Patent no. 5,825,651 entitled "Method and Apparatus for Maintaining and Configuring Systems", inventors Gupta et al., and assigned to Trilogy Development Group, Inc., describes an example configuration engine and rules based configuration model. U.S. Patent no. 5,825,651 is incorporated herein by reference in its entirety. U.S. Patent no. 5,515,524 entitled "Method and Apparatus for Configuring Systems", inventors John Lynch and David Franke, and assigned to Trilogy Development Group, Inc., describes another example configuration engine and constraint based configuration model. U.S. Patent no. 5,515, 524 is also incorporated by reference in it entirety.

(5) Figure 2 depicts an example configuration model 200 of a product represented in a graphical, tree based form. The product can be configured to include part

-2-

combinations A1, B1 or B2, C1, X1 or X2, and Y1 or configured to include part combinations A2, B2, C2, X2, and Y1 or Y2. The configuration model 200 includes rules to define these part relationships. Table 1 represents an example rule set, wherein "S" represents "standard" and "O" represents optional. Configuration model 200 represents a relatively non-complex configuration model. Actual configuration models for a single product can include hundreds of thousands or more parts and rules.

	A1 S ALL	
	A2 O ALL	
	B1 S A1	
	B2 S A2	
	B2 O A1	
	C1 S A1	
	UI S AI	
	C2 S A2	
	X1 S C1	
	X2 S C2	
	X2 O C1	
·		
	Y1 O C1	
	Y1 S C2	,,,
	11502	
	Y2 S C1	

Table 1

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(6) Solving configuration problems using computer assisted technology often requires a significant amount of data processing capabilities. Consequently, configuration technologies have attempted to exploit increased data processing capabilities, memory capacities, and network data transfer throughput rates by increasing the capabilities of the configuration engines and/or enhancing the complexity of configuration models and configuration queries. The complexity of a configuration model can be defined in any number of ways, such as by the diversity of parts, part groups, rules, and constraints supported by the configuration model, by the number of parts, rules, and constraints, and by the complexity of part and part group relationships defined by configuration rules and constraints. In any event, the practical complexity achievable for configuration models has been limited by the ability of computer systems to process data within a given period of time, T, and/or limited by other processing constraints, such as a lack of memory. The time period, T, represents an amount of time considered reasonable to perform a configuration task. Time T can vary depending upon the application and expectation of configuration system users.

(7) Figure 3 depicts a graph 300 representing the practical limitations of configuration model and configuration query complexity in terms of data processing capabilities. Graph 300 compares data processing capabilities of a particular computer system being used to configure a product versus configuration model and query complexity. Conventional inference procedures, such as configuration processes, have an exponential complexity associated with them as depicted by exponential performance curve 302. Sufficient data processing capability exists to process a configuration model and configuration query having the complexity represented by point A. The dashed line 304 represents the maximum data processing capability of the particular computer system being used. Thus, the computer system could not reasonably process configuration models and configuration queries having a complexity represented by point B.

#### SUMMARY OF THE INVENTION

(8) In one embodiment, a sub-model inference procedure provides a way to scale queries to larger and more complicated configuration models. In one embodiment of

the present invention, a method for using computer assisted configuration technology to solve product configuration problems using configuration sub-models includes processing one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model a configurable product and generating an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models.

(9) In another embodiment of the present invention, a computer system to implement an inference procedure for solving product configuration problems using configuration sub-models includes a processor and a storage medium having data encoded therein. The data includes processor executable code for processing one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model a configurable product and generating an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models.

(10) In another embodiment of the present invention, a computer storage medium comprising data embedded therein to cause a computer system to solve product configuration problems using configuration. The data includes code for processing one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model a configurable product and generating an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models.

(11) In another embodiment of the present invention, a computer system to implement an inference procedure for solving product configuration problems using configuration sub-models. The system includes means for processing one or more configuration queries using configuration sub-models, wherein the configuration submodels collectively model a configurable product and means for generating an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

(12) The present invention may be better understood, and its numerous objects, features and advantages made apparent to those skilled in the art by referencing the accompanying drawings. The use of the same reference number throughout the several figures designates a like or similar element.

(13) Figure 1 (prior art) depicts a conventional product configuration process.

(14) Figure 2 (prior art) depicts a configuration model in graphical, tree based form.

(15) Figure 3 (prior art) depicts a graph representing data processing capabilities of a computer system versus configuration model and query complexity.

(16) Figure 4 depicts a configuration model dividing and configuration sub-model inference processing system that performs a configuration model dividing and configuration sub-model inference procedure.

(17) Figure 5 depicts the data processing capability of a computer system being used to configure a product versus configuration sub-model and sub-query complexity.

(18) Figure 6 depicts the division of a consolidated configuration model into configuration sub-models.

(19) Figure 7 depicts a block diagram illustrating a network environment in which the system and process of Figure 4 may be practiced.

(20) Figure 8 depicts an example data processing system used in the network of Figure 7.

#### **DETAILED DESCRIPTION**

(21) A configuration model dividing and configuration sub-model inference processing system and procedure addresses the issue of configuration model and

query complexity by breaking a configuration problem down into a set of smaller problems, solving them individually and recombining the results into a single result that is equivalent to a conventional inference procedure. In one embodiment, a configuration model is divided into configuration sub-models that can respectively be processed using existing data processing resources. The sub-model inference procedure does not change the exponential nature of configuration model and query complexity but instead generates configuration sub-models on the side of the achievable performance curve. Accordingly, a sub-model inference procedure provides a way to scale queries to larger and more complicated configuration models. Embodiments of the configuration model dividing and configuration sub-model processing system and inference procedure allows processing by a data processing system of configuration models and queries whose collective complexity exceeds the complexity of otherwise unprocessable conventional, consolidated configuration models and queries.

(22) Figure 4 depicts the configuration model dividing and configuration submodel inference processing system 400 (referred to herein as "sub-model processing system 400") that performs configuration model dividing and configuration submodel inference procedure 402 (referred to herein as "sub-model inference procedure 402"). The sub-model inference procedure 402 includes operations 404, 406, 408, and 410. The sub-model processing system 400 can include software code that is executable by a processor of a computer system, such as a server computer system. In a network environment, the sub-model processing system 400 can be accessed by and communicates with any number client systems 401(1) through 401(n).

(23) Operation 404 receives, as an input, a conventional, consolidated configuration model 412 and divides the consolidated configuration model 412 into a set of configuration sub-models CM1 through CMn, where n is an integer representing the number of configuration sub-models. The configuration sub-models are an input to this process. In one embodiment, the configuration sub-models meet the following criteria:

a. Each configuration sub-model should represent a portion of the source configuration model 412;

- b. The data collectively contained in the configuration sub-models should be sufficient to provide an answer for each of the sub-queries Q1 through Qn or query being processed; and
- c. The configuration sub-models should be divided in such a way that the results of the sub-queries or query can be recombined to provide an answer to the input configuration query 414.

(24) In another embodiment, a consolidated configuration model 412 is never actually created, and model developers develop only configuration sub-models to collectively model a configurable product.

(25) Figure 5 depicts the data processing capability of a computer system being used to configure a product versus configuration sub-model and sub-query complexity. In general, the consolidated configuration model 412 is divided sufficiently so that the complexity of each configuration sub-model CM1, CM2, through CMn is low enough to allow processing using available data processing capabilities while still representing the relationships included in the consolidated configuration model 412, which, in this embodiment, would otherwise not be cable of being processed by the computer system. Thus, the sub-model inference procedure 402 does not change the exponential nature of configuration model and query complexity but instead generates configuration sub-models on the side of the achievable performance curve. Accordingly, the sub-model inference procedure 402 provides a way to scale queries to larger and more complicated configuration models.

(26) In one embodiment, operation 406 divides the conventional, consolidated configuration query 414 into a set of sub-queries Q1 through Qn, which together contain enough information to represent the original query 414. Each sub-query generated will be used to query against at least one configuration sub-model. A query is divided into enough sub-queries to perform a query on at least enough of the sub-models to produce an answer.

(27) Whether to perform operation 406 and divide query 414 into sub-queries can depend upon the nature of the problem being solved. "Configuration completion" and "configuration validation" problems represent examples of two problems having

different natures that result in different sub-query approaches. Configuration completion relates to determining whether a configuration is valid according to the Configuration Model. A configuration is considered complete if there is a part present from every required part group in the configuration model. For example, when performing configuration completion, dividing the query 414 into sub-queries allows the sub-queries to each be processed using at least one configuration sub-model. Configuration validation relates to determining whether a configuration is "valid" or "not valid" according to the configuration model. "Valid" indicates that the parts are all compatible with each other according to the part relationships in the configuration model, and "not valid" indicates that the parts are not compatible with each other. In performing configuration validation, dividing the query 414 into sub-queries is unnecessary to determine validation, because the query 414 can be applied in whole against configuration sub-models. If any answer generated by processing query 414 in accordance with a configuration sub-model results in an invalid (i.e. a nonconfigurable) answer, then the configuration is invalid. Otherwise, the configuration is valid.

(28) In one embodiment, the following criteria are used by operation 406:

- A single sub-query can be used to query against multiple sub-models.
   In other words, each sub-model does not have to have to process one and only one unique sub-query;
- b. The query 414 can be processed as a sub-query;
- c. When dividing a query into sub-queries, it is possible that there will be overlapping pieces of information contained in the sub-queries. It is not necessarily desired or a requirement that the sub-queries contain entirely independent questions;
- d. The way the query is divided into sub-queries depends on the structure of the configuration sub-models. Specifically, it depends on the way the sub-models are related, and the relationships between the parts in the overall model. For example, assume the sub-models were originally generated by dividing up the model along family lines with some overlap. The sub-queries will generally (though are not required

to) be divided up along similar family lines, with at least as many families present; and

e. It will be understood by those of ordinary skill in the art that the way the query is divided into sub-queries also depends on the type of configuration query being performed. A configuration validation query might need a different Query Division approach than a Configuration Completion query.

(29) Operation 408 processes the individual sub-queries Q1 through Qn against the configuration sub-models, producing a set of sub-answers. Enough sub-queries should be processed such that the sub-answers contain enough information to recombine them into a single answer to the input configuration query.

(30) Operation 410 combines the sub-answers A1 through An together to create a single answer A. The answer A represents a correct answer for the input configuration query. However, for some queries, there are multiple correct answers. Thus, the answer determined by operation 410 is correct but may or may not be identical to an answer provided had the configuration query been performed using the conventional process depicted in Figure 1. For example, in the case where the conventional process would return an "optimal" answer, the sub-model inference procedure 402 may return a sub-optimal, albeit correct, answer. Also, in the case that there is more than one "optimal" answer, the sub-model inference procedure 402 may return a different optimal answer than the conventional process.

(31) In one embodiment, operations 404, 406, 408, and 410 are performed in order. However, operations 404, 406, 408, and 410 can be overlapping. For example, it is not required that any given operation finish completely before the next operation begins. For example, as each sub-query is processed in operation 408, the resulting sub-answer can be determined to generate a growing, cumulative answer A before the next sub-query is processed. (32) The following pseudo code represents the sub-model inference procedure 402:

result inference-procedure(model, query)

sub-models = divide-model(model) (Operation 404, implementation dependent on the specific problem) OR initially develop configuration sub-models

sub-queries = divide-query(query) (Operation 406, implementation dependent on the specific problem)

```
// This loop encompasses Operation 408 //
answers = {}
for(model in sub-models) {
    sub-query = find-sub-query(sub-queries, model)
    // Cat the right sub-guery(sub-queries, model)
```

// Get the right sub-query to be asking this sub-model //

answers[model] = model.inference-procedure(sub-query) // Run the inference procedure for this sub-query on the sub-

model //

}

ł

// Recombine the answers to each of the sub-queries into a single
unified answer (Operation 410) //
result = combine(answers)

return result

(33) The following examples illustrate embodiments of sub-model processing system 400 and sub-model inference procedure 402.

(34) Example: Configuration Validation

(35) The following example details sub-model inference procedure 402 in a context wherein an incoming configuration is complete (a part is present from every required part group). A query is generated using conventional processes to query against the configuration sub-models to determine if the configuration is valid.

(36) The following pseudo code represents the embodiment of sub-model inference procedure 402 used for configuration validation:

//for the complete feature string validation problem divide-model = procedure which breaks a consolidated model up into submodels along family lines OR initially develop configuration sub-models.

divide-query = returns the original query unchanged

combine = loop which takes each boolean answer and uses the logical AND operator to combine them

into a single boolean answer //

boolean isBuildable(sub-models, query)

{

}

}

sub-queries = divide(query) (Operation 406);

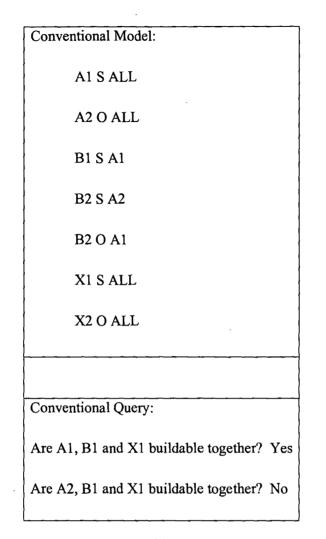
// Break the query into sub-queries. For a configuration validation query type, it is unnecessary to divide the query 414 into multiple sub-queries. Thus, in this embodiment of operation 406 query 414 = sub-query Q1 and n=1. In other words, operation 406 can just return the entire original query 414 //

```
answers = [] (operation 408)
for(model in sub-models) {
    sub-query = find(sub-queries, model);
    // Get the right sub-query to be asking this sub-model //
```

```
answers[model] = model.isBuildable(sub-query);
// Query against each sub-model //
```

```
result = True
for(answer in answers) // Operation 410 // {
    result = result && answer; // "&&" is a logical AND operator //
// Recombine answers to sub-queries (this particular query type can
just use a boolean and operator) //
}
return result;
```

(37) Table 2 represents a conventional configuration model and query for a configuration validation problem:

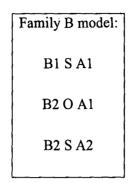


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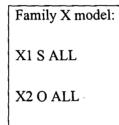
(38) The sub-model inference procedure 402 uses the conventional model as an input. In one embodiment, operation 404 divides the conventional model into the following configuration sub-models represented in Table 3, Table 4, and Table 5:

Family A model:
A1 S ALL
A2 O ALL

Table 3









(39) Table 6 and Table 7 represent one embodiment of sub-queries generated by operation 406 and sub-answers generated by operation 408:

Sub-Queries Generated by Operation 406:

- 1. Is A1 buildable? Yes
- 2. Are A1 and B1 buildable together? Yes
- 3. Is X1 buildable? Yes
  - Table 6

Sub-Queries Generated by Operation 406:

- 1. Is A1 buildable? Yes
- 2. Are A2 and B1, buildable together? No
- 3. Is X1 buildable? Yes

#### Table 7

(40) In operation 410, for this problem type, i.e. configuration validation problem, the sub-answers of the sub-queries can be AND-ed together and recombined into a

single answer that is equivalent to the answer provided by querying the conventional configuration model.

(41) Example: Configuration Completion

(42) The configuration completion example below details an exemplary usage of the sub-model inference procedure 402 for a configuration completion query, in the specific case that the incoming configuration is incomplete (a part is not present from every required part group). The goal of a configuration completion query is to complete the partial configuration with parts from the missing part groups in such a way that the resulting configuration is valid according to the traditional configuration model and contains a single part from each part group.

(43) To solve the incomplete feature string completion problem using configuration sub-models, the following process can be used:

divide-model = procedure which breaks a consolidated model up into sub-models in such a way that they are smaller than the original consolidated model and is sufficient to provide an answer for each sub-query being processed against it as previously described OR initially develop configuration sub-models;

divide-query = procedure which breaks the query up into n pieces where n is the number of sub-models (CM1, CM2, ... CMn);

Sub-query Qi has all of the parts from the original query that are from the part groups present in CMi; and

combine = set intersection operator which takes the set of buildables returned from executing each sub-query Qi on the sub-model CMi and intersects them together to find common components. The returned set of buildables is complete and contains all parts that were on the original consolidated input query.

(44) Figure 6 depicts the division of consolidated configuration model 602 into configuration sub-models CM1, CM2, and CM3 in accordance with operation 404.

-15-

(45) In one embodiment, the consolidated model 602 includes the rules contained in Table 8:

Consolidated Model 602 Rules:
A1 S ALL
A2 O ALL
B1 S A1
B2 S A2
B2 O A1
C1 S A1
C2 S A2
X1 S C1
X2 S C2
X2 O C1
Y1 O C1
Y1 S C2
Y2 S C2

Table 8

- (46) A conventional configuration completion process follows:
  - 1. Complete the partial configuration X1, A1.
    - This results in and inference procedure being run on the conventional

configuration model 602 which searches for at least one buildable product

configuration found that contains both X1 and A1. There are two configurations present in the model that satisfy these constraints:

A1, B1, C1, X1, Y1

A1, B2, C1, X1, Y1

2. Either one of these product configurations may be returned because both satisfy the original query.

(47) The sub-model inference procedure 402 solves the same configuration completion problem by performing operation 404 to divide configuration model 602 into sub-models CM1, CM2, and CM3.

(48) Operation 406 generates the following sub-queries, and operation 408processes the sub-queries in accordance with the designated configuration sub-modelto generate sub-answers:

Sub-Queries:

 What buildables are present involving A1 in the A, B, C model? (Note: X1 is ignored in this sub-query because the X part group is not present in the A, B, C sub-configuration model.) (Also, a "buildable" refers to a set of part or part group configurations that adhere to relationships contained in a configuration sub-model).

A1, B1, C1

A1, B2, C1

- 2. What buildables are present involving X1 in the C, X model? C1, X1
- 3. What buildables are present in the C, Y model? (Note: The original input query doesn't involve any of the part groups contained in the C, Y model, so the query results in asking for all buildables present in this sub-configuration model.)

Y1, C1

Y1, C2

Y2, C2

(49) Operation 410 combines the sub-answers to form a collective answer A. For this particular type of query, i.e. a configuration completion query, the combination operation 410 involves intersecting the resulting buildable spaces together into one space. Performing operation 410 results in the following buildables.

A1, B1, C1, X1, Y1

A1, B2, C1, X1, Y1,

which are the same buildables generated by the traditional completion query.

(50) The following sets forth an example, non-exhaustive list configuration problems that can be solved using sub-model processing system 400 and sub-model inference procedure 402:

- **Configuration Validation** This query indicates whether a configuration is "valid" or "not valid" according to the configuration model. "Valid" indicates that the parts are all compatible with each other according to the part relationships in the configuration model, and "not valid" indicates that the parts are not compatible with each other.
- **Configuration Completion** This query adds parts to a configuration until it becomes a complete, fully specified configuration, according to some heuristic. Configuration Completion attempts to guarantee that the resulting configuration is valid according to the Configuration Model. A configuration is considered complete if there is a part present from every required part group in the configuration model.

• **Configuration Correction** – This query corrects an invalid configuration in an automated fashion. If the set of parts in the configuration are incompatible, Configuration Correction will remove

-18-

and add enough parts to make the configuration valid according to some heuristic. Configuration Correction guarantees that the resulting configuration is valid according to the configuration model.

- Configuration Explanation This query returns human-readable explanations as to why an invalid configuration is invalid. Configuration Explanation gives enough information to provide the user assistance in manually correcting a feature string when multiple valid corrections apply.
- Attribute Tracing This query returns context-specific information about each part in a configuration. For example, if part descriptions depend on the market in which the vehicle is ordered, attribute tracing can return the descriptions of all of the parts in the configuration given the presence of a market part on the configuration.

(51) There are a number of different ways that configuration models can be represented. As a result, the specific technology that performs a configuration query can vary depending on the model used. The sub-model processing system 400 and sub-model inference procedure 402 are not specific to a single configuration model representation or configuration processing approach. More specifically, the particular data structure(s) used to represent queries, sub-queries, configuration models, configuration sub-models, sub-answers, and answers is a matter of design choice and depends upon, for example, configuration engine specifications, familiarity, etc. The particular data manipulation techniques used to perform operations 404, 406, 408, and 410 are also a matter of design choice and generally relate to the type of data structure used. In one embodiment, tries are used to represent the data and trie operations are used to manipulate the data. Example tries and trie operations are set forth in U.S. Patent Application Serial No. 10/404,891, entitled "Configuration Model Consistency Checking Using Flexible Rule Space Subsets", inventor Shawn A. P. Smith, filing date March 31, 2003, and assigned to Trilogy Development Group, Inc.. U.S. Patent Application Serial No. 10/404,891 is hereby incorporated by reference in its entirety.

**FORD 1004** 

-19-

(52) Figure 7 is a block diagram illustrating a network environment in which a submodel processing system 400 and sub-model inference procedure 402 may be practiced. Network 702 (e.g. a private wide area network (WAN) or the Internet) includes a number of networked server computer systems 704(1)-(N) that are accessible by client computer systems 706(1)-(N), where N is the number of server computer systems connected to the network. Communication between client computer systems 706(1)-(N) and server computer systems 704(1)-(N) typically occurs over a network, such as a public switched telephone network over asynchronous digital subscriber line (ADSL) telephone lines or high-bandwidth trunks, for example communications channels providing T1 or OC3 service. Client computer systems 706(1)-(N) typically access server computer systems 704(1)-(N) through a service provider, such as an internet service provider ("ISP") by executing application specific software, commonly referred to as a browser, on one of client computer systems 706(1)-(N).

(53) Client computer systems 706(1)-(N) and/or server computer systems 704(1)-(N) may be, for example, computer systems of any appropriate design, including a mainframe, a mini-computer, a personal computer system including notebook computers, a wireless, mobile computing device (including personal digital assistants). These computer systems are typically information handling systems, which are designed to provide computing power to one or more users, either locally or remotely. Such a computer system may also include one or a plurality of input/output ("I/O") devices coupled to the system processor to perform specialized functions. Mass storage devices such as hard disks, compact disk ("CD") drives, digital versatile disk ("DVD") drives, and magneto-optical drives may also be provided, either as an integrated or peripheral device. One such example computer system is shown in detail in Figure 8.

(54) Embodiments of the sub-model processing system 400 and sub-model inference procedure 402 can be implemented on a computer system such as a generalpurpose computer 800 illustrated in Figure 8. Input user device(s) 810, such as a keyboard and/or mouse, are coupled to a bi-directional system bus 818. The input user device(s) 810 are for introducing user input to the computer system and communicating that user input to processor 813. The computer system of Figure 8

-20-

generally also includes a video memory 814, main memory 815 and mass storage 809, all coupled to bi-directional system bus 818 along with input user device(s) 810 and processor 813. The mass storage 809 may include both fixed and removable media, such as other available mass storage technology. Bus 818 may contain, for example, 32 address lines for addressing video memory 814 or main memory 815. The system bus 818 also includes, for example, an n-bit data bus for transferring DATA between and among the components, such as CPU 809, main memory 815, video memory 814 and mass storage 809, where "n" is, for example, 32 or 64. Alternatively, multiplex data/address lines may be used instead of separate data and address lines.

(55) I/O device(s) 819 may provide connections to peripheral devices, such as a printer, and may also provide a direct connection to remote server computer systems via a telephone link or to the Internet via an ISP. I/O device(s) 819 may also include a network interface device to provide a direct connection to remote server computer systems via a direct network link to the Internet via a POP (point of presence). Such connection may be made using, for example, wireless techniques, including digital cellular telephone connection, Cellular Digital Packet Data (CDPD) connection, digital satellite data connection or the like. Examples of I/O devices include modems, sound and video devices, and specialized communication devices such as the aforementioned network interface.

(56) Computer programs and data are generally stored as instructions and data in mass storage 809 until loaded into main memory 815 for execution. Computer programs may also be in the form of electronic signals modulated in accordance with the computer program and data communication technology when transferred via a network.

(57) The processor 813, in one embodiment, is a microprocessor manufactured by Motorola Inc. of Illinois, Intel Corporation of California, or Advanced Micro Devices of California. However, any other suitable single or multiple microprocessors or microcomputers may be utilized. Main memory 815 is comprised of dynamic random access memory (DRAM). Video memory 814 is a dual-ported video random access memory. One port of the video memory 814 is coupled to video amplifier 816. The video amplifier 816 is used to drive the display 817. Video amplifier 816 is well

-21-

known in the art and may be implemented by any suitable means. This circuitry converts pixel DATA stored in video memory 814 to a raster signal suitable for use by display 817. Display 817 is a type of monitor suitable for displaying graphic images.

(58) The computer system described above is for purposes of example only. The sub-model processing system 400 and sub-model inference procedure 402 may be implemented in any type of computer system or programming or processing environment. It is contemplated that the sub-model processing system 400 and sub-model inference procedure 402 might be run on a stand-alone computer system, such as the one described above. The sub-model processing system 400 and sub-model inference procedure 402 might also be run from a server computer systems system that can be accessed by a plurality of client computer systems interconnected over an intranet network. Finally, the sub-model processing system 400 and sub-model inference procedure 402 may be run from a server computer system that is accessible to clients over the Internet.

(59) Many embodiments of the present invention have application to a wide range of industries and products including the following: computer hardware and software manufacturing and sales, professional services, financial services, automotive sales and manufacturing, telecommunications sales and manufacturing, medical and pharmaceutical sales and manufacturing, and construction industries.

(60) Although the present invention has been described in detail, it should be understood that various changes, substitutions and alterations can be made hereto without departing from the spirit and scope of the invention as defined by the appended claims.

**FORD 1004** 

-22-

### WHAT IS CLAIMED IS:

a **a** 

1	1. A	method for using computer assisted configuration technology to
2	solve product cor	ifiguration problems using configuration sub-models, the method
3	comprising:	•
4	processing	g one or more configuration queries using configuration sub-models,
5	wl	nerein the configuration sub-models collectively model a
6	со	nfigurable product; and
7	generating	g an answer to the configuration problem based upon the processed
8	on	e or more configuration queries and the configuration sub-models.
1	2. Th	e method of claim 1 further comprising:
2	dividing a	configuration query into multiple configuration sub-queries,
3	wł	nerein the one or more configuration queries include the multiple
4	co	nfiguration sub-queries.
1	3. Th	e method of claim 2 wherein the product configuration problems
2	include a configu	ration completion problem and when solving the configuration
3	completion proble	em, and processing one or more configuration queries further
4	comprises:	
5	processing	g each sub-query using at least one configuration sub-model per sub-
6	· qu	ery.
	,	
1		e method of claim 2 further comprising:
2	processing	g each sub-query using multiple configuration sub-models per sub-
3	qu	ery.
1	5. Th	e method of claim 2 wherein the product configuration problems
2	include a configu	ration validation problem and when solving the configuration
3	validation problem	m, and processing one or more configuration queries further
4	comprises:	
5	processing	g an undivided query using different configuration sub-models until
6	ac	configuration validation answer can be determined.

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6. The method of claim 2 wherein the data collectively included in the
 configuration sub-models is sufficient to provide an answer for each of the sub queries being processed.

- 7. The method of claim 2 wherein at least two sub-queries include
   overlapping information.
- 1 8. The method of claim 2 wherein: 2 dividing a consolidated configuration model into multiple configuration submodels comprises dividing the configuration sub-models in accordance 3 4 with a predetermined data structure; and 5 dividing a configuration query into multiple configuration sub-queries further 6 comprises dividing the sub-queries in accordance with the sub-model 7 structure. 9. 1 The method of claim 8 wherein the predetermined data structure 2 comprises a data structure divided along configuration model family lines. 10. The method of claim 1 wherein generating an answer to the 1 2 configuration problem based upon the processed one or more configuration queries 3 and the configuration sub-models further comprises: 4 generating a sub-answer for each processed configuration sub-model; and 5 combining each sub-answer to generate the answer. The method of claim 1 further comprising: 1 11. 2 dividing a consolidated configuration model into the configuration sub-3 models. 12. 1 The method of claim 11 wherein dividing the consolidated 2 configuration model into multiple configuration sub-models further comprises: 3 dividing the configuration model sufficiently so that complexity of each 4 configuration sub-model is low enough to allow processing using

5	available data processing capabilities while still representing the
6	relationships included in the consolidated configuration model.
1	13. The method of claim 11 wherein each configuration sub-model
2	represents a portion of the consolidated configuration model.
1	14. A method for using computer assisted configuration technology to
2	solve product configuration problems using configuration sub-models, the method
3	comprising:
4	dividing a consolidated configuration model into multiple configuration sub-
5	models;
6	processing one or more configuration queries using the configuration sub-
7	models; and
8	generating an answer to the configuration problem based upon the processed
9	one or more configuration queries and the configuration sub-models.
1	15. A computer system to implement an inference procedure for solving
2	product configuration problems using configuration sub-models, the system
3	comprising:
4	a processor; and
5	a storage medium having data encoded therein, the data comprising processor
6	executable code for:
7	processing one or more configuration queries using configuration sub-
8	models, wherein the configuration sub-models collectively
9	model a configurable product; and
10	generating an answer to the configuration problem based upon the
11	processed one or more configuration queries and the
12	configuration sub-models.

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1 16. The computer system of claim 15 wherein the data further comprises 2 processor executable code for: 3 dividing a configuration query into multiple configuration sub-queries, 4 wherein the one or more configuration queries include the multiple 5 configuration sub-queries. 17. 1 The computer system of claim 16 wherein the product configuration 2 problems include a configuration completion problem and when solving the 3 configuration completion problem, and the code for processing one or more 4 configuration queries further comprises: 5 processing each sub-query using at least one configuration sub-model per sub-6 query. 18. 1 The computer system of claim 16 wherein the data further comprises 2 processor executable code for: 3 processing each sub-query using multiple configuration sub-models per sub-4 query. 19. 1 The computer system of claim 16 wherein the product configuration 2 problems include a configuration validation problem and when solving the 3 configuration validation problem, and the code for processing one or more 4 configuration queries further comprises: 5 processing an undivided query using different configuration sub-models until 6 a configuration validation answer can be determined. 20. 1 The computer system of claim 16 wherein the data collectively 2 included in the configuration sub-models is sufficient to provide an answer for each of 3 the sub-queries being processed. 1 21. The computer system of claim 16 wherein at least two sub-queries 2 include overlapping information.

-26-

1	22.	The computer system of claim 16 wherein:	
2	the code for dividing a consolidated configuration model into multiple		
3		configuration sub-models comprises code for dividing the	
4		configuration sub-models in accordance with a predetermined data	
5		structure; and	
6	the co	de for dividing a configuration query into multiple configuration sub-	
7		queries further comprises code for dividing the sub-queries in	
8		accordance with the sub-model structure.	
1	23.	The computer system of claim 22 wherein the predetermined data	
2	structure com	prises a data structure divided along configuration model family lines.	
1	24.	The computer system of claim 15 wherein the code for generating an	
2	answer to the	configuration problem based upon the processed one or more	
3	configuration	queries and the configuration sub-models further comprises code for:	
4	genera	ating a sub-answer for each processed configuration sub-model; and	
5	combi	ning each sub-answer to generate the answer.	
1	25.	The computer system of claim 15 wherein the code for dividing the	
2	consolidated	configuration model into multiple configuration sub-models further	
3	comprises co	de for:	
4	dividi	ng the configuration model sufficiently so that complexity of each	
5		configuration sub-model is low enough to allow processing using	
6		available data processing capabilities while still representing the	
7		relationships included in the consolidated configuration model.	
1	26.	The computer system of claim 15 wherein the data further comprises	
2	processor exe	cutable code for:	
3	dividing a consolidated configuration model into the configuration sub-		
4		models.	

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-27-

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1	27.	The computer system of claim 26 wherein the code for dividing the		
2	consolidated configuration model into multiple configuration sub-models further			
3	comprises code for:			
4	dividi	dividing the configuration model sufficiently so that complexity of each		
5		configuration sub-model is low enough to allow processing using		
6		available data processing capabilities while still representing the		
7		relationships included in the consolidated configuration model.		
1	28.	The computer system of claim 26 wherein each configuration sub-		
2	model represe	ents a portion of the consolidated configuration model.		
1	29.	A computer system to implement an inference procedure for solving		
2	product confi	guration problems using configuration sub-models, the system		
3	comprising:			
4	a proc	essor; and		
5	a stora	age medium having data encoded therein, the data comprising processor		
6		executable code for:		
7		dividing a consolidated configuration model into multiple		
8		configuration sub-models;		
9		processing one or more configuration queries using the configuration		
10		sub-models; and		
11		generating an answer to the configuration problem based upon the		
12		processed one or more configuration queries and the		
13		configuration sub-models.		
1	30.	A computer storage medium comprising data embedded therein to		
2	cause a comp	uter system to solve product configuration problems using configuration,		
3	wherein the data comprises processor executable code for:			
4	proces	ssing one or more configuration queries using configuration sub-models,		
5		wherein the configuration sub-models collectively model a		
6		configurable product; and		

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7	generating an answer to the configuration problem based upon the processed		
8	one or more configuration queries and the configuration sub-models.		
1	31. The computer storage medium of claim 30 wherein the data further		
2	comprises processor executable code for:		
3	dividing a configuration query into multiple configuration sub-queries,		
4	wherein the one or more configuration queries include the multiple		
5	configuration sub-queries.		
1	32. The computer storage medium of claim 31 wherein the product		
2	configuration problems include a configuration completion problem and when solving		
3	the configuration completion problem, and the code for processing one or more		
4	configuration queries further comprises:		
5	processing each sub-query using at least one configuration sub-model per sub-		
6	query.		
1	33. The computer storage medium of claim 31 wherein the data further		
2	comprises processor executable code for:		
3	processing each sub-query using multiple configuration sub-models per sub-		
4	query.		
1	34. The computer storage medium of claim 31 wherein the product		
2	configuration problems include a'configuration validation problem and when solving		
3	the configuration validation problem, and the code for processing one or more		
4	configuration queries further comprises:		
5	processing an undivided query using different configuration sub-models until		
6	a configuration validation answer can be determined.		
1	35. The computer storage medium of claim 31 wherein the data		
2	collectively included in the configuration sub-models is sufficient to provide an		
3	answer for each of the sub-queries being processed.		

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1 36. The computer storage medium of claim 31 wherein at least two sub-2 queries include overlapping information.

1 37. The computer storage medium of claim 31 wherein: 2 the code for dividing a consolidated configuration model into multiple 3 configuration sub-models comprises code for dividing the 4 configuration sub-models in accordance with a predetermined data 5 structure; and 6 the code for dividing a configuration query into multiple configuration sub-7 queries further comprises code for dividing the sub-queries in 8 accordance with the sub-model structure. 1 38. The computer storage medium of claim 37 wherein the predetermined 2 data structure comprises a data structure divided along configuration model family 3 lines. 39. 1 The computer storage medium of claim 30 wherein the code for 2 generating an answer to the configuration problem based upon the processed one or 3 more configuration queries and the configuration sub-models further comprises code 4 for: generating a sub-answer for each processed configuration sub-model; and 5 6 combining each sub-answer to generate the answer. 40. 1 The computer storage medium of claim 30 wherein the code for 2 dividing the consolidated configuration model into multiple configuration sub-models 3 further comprises code for: 4 dividing the configuration model sufficiently so that complexity of each 5 configuration sub-model is low enough to allow processing using 6 available data processing capabilities while still representing the 7 relationships included in the consolidated configuration model.

-30-

1	41.	The computer storage medium of claim 30 wherein the data further	
2	comprises processor executable code for:		
3	dividing a consolidated configuration model into the configuration sub-		
4		models.	
1	42.	The computer storage medium of claim 41 wherein the code for	
2	dividing the c	onsolidated configuration model into multiple configuration sub-models	
3	further comprises code for:		
4	dividii	ng the configuration model sufficiently so that complexity of each	
5		configuration sub-model is low enough to allow processing using	
6		available data processing capabilities while still representing the	
7		relationships included in the consolidated configuration model.	
1	43.	The computer storage medium of claim 41 wherein each configuration	
2	sub-model rep	presents a portion of the consolidated configuration model.	
1	44.	A computer storage medium comprising data embedded therein to	
2	cause a comp	uter system to solve product configuration problems using configuration,	
3	wherein the d	ata comprises code for:	
4		dividing a consolidated configuration model into multiple	
5		configuration sub-models;	
6		processing one or more configuration queries using the configuration	
7		sub-models; and	
8		generating an answer to the configuration problem based upon the	
9		processed one or more configuration queries and the	
10		configuration sub-models.	

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1	45. A computer system to implement an inference procedure for solving
2	product configuration problems using configuration sub-models, the system
3	comprising:
4	means for processing one or more configuration queries using configuration
5	sub-models, wherein the configuration sub-models collectively model
6	a configurable product; and
7	means for generating an answer to the configuration problem based upon the
8	processed one or more configuration queries and the configuration sub-
9	models.
1	46. The computer system of claim 45 further comprising:
2	means for dividing a consolidated configuration model into the configuration
3	sub-models.

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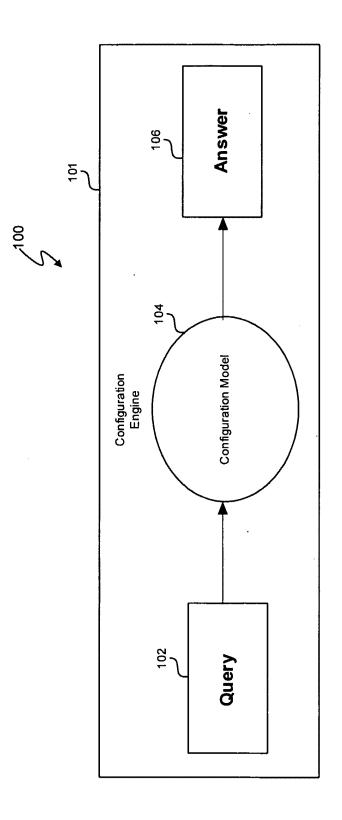
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# COMPLEX CONFIGURATION PROCESSING USING CONFIGURATION SUB-MODELS

Nathan E. Little, Brandon M. Beck, and Brian K. Showers

#### ABSTRACT OF THE DISCLOSURE

A configuration model dividing and configuration sub-model inference processing system and procedure addresses the issue of configuration model and query complexity by breaking a configuration problem down into a set of smaller problems, solving them individually and recombining the results into a single result that is equivalent to a conventional inference procedure. In one embodiment, a configuration model is divided into configuration sub-models that can respectively be processed using existing data processing resources. A sub-model inference procedure provides a way to scale queries to larger and more complicated configuration models. Thus, the configuration model dividing and configuration sub-model processing system and inference procedure allows processing by a data processing system of configuration models and queries whose collective complexity exceeds the complexity of otherwise unprocessable conventional, consolidated configuration models and queries.





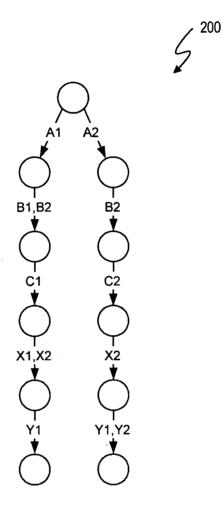


Figure 2 (prior art)

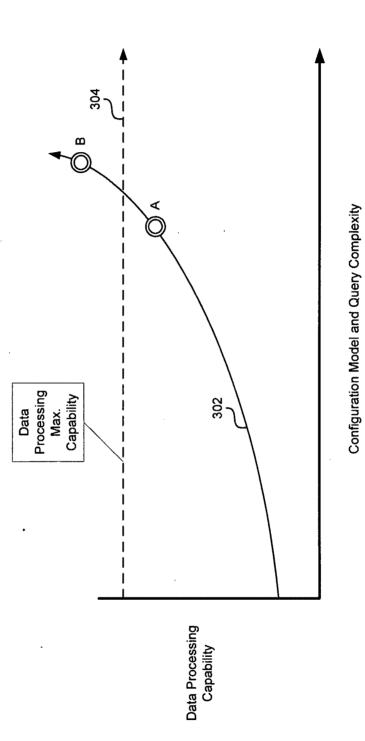


Figure 3 (prior art)

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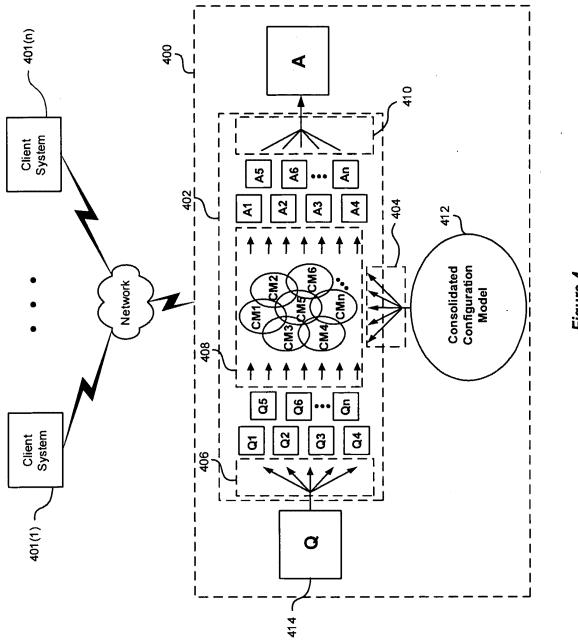


Figure 4

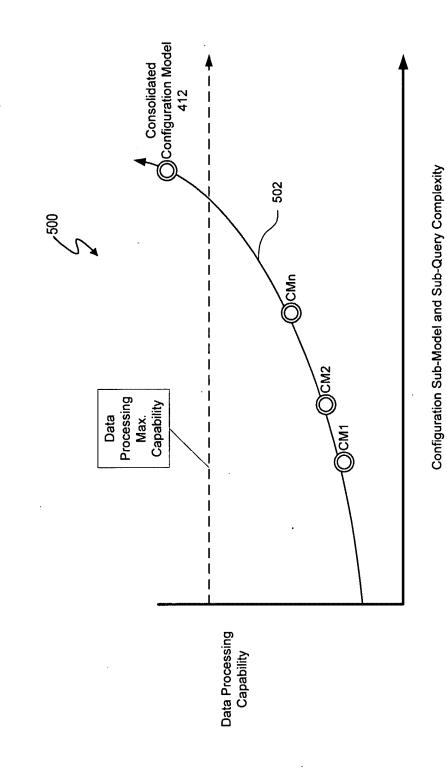
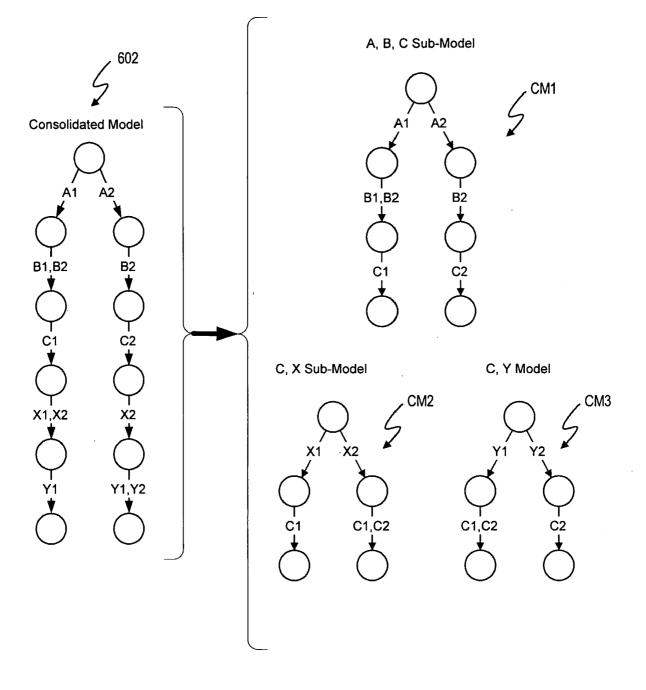


Figure 5

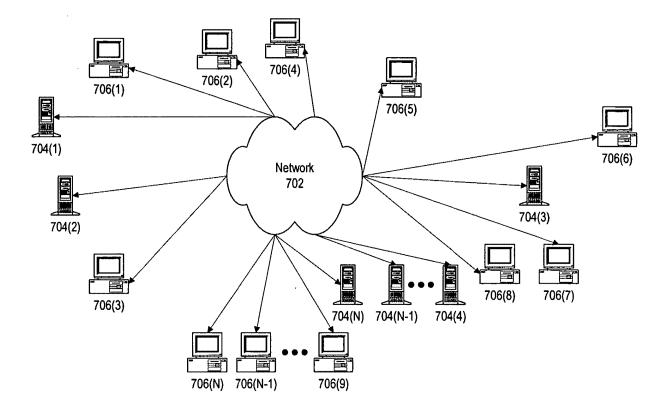
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Figure 6

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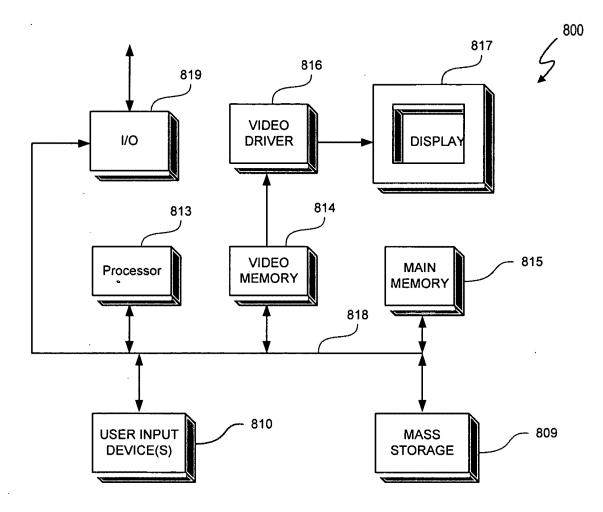


Figure 8

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Attorney Docket No.: T00121

#### **DECLARATION FOR PATENT APPLICATION** AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled:

#### **COMPLEX CONFIGURATION PROCESSING USING CONFIGURATION SUB-**MODELS

which (check)  $\boxtimes$  is attached hereto.

and is amended by the Preliminary Amendment attached hereto.

was filed on \_\_\_\_\_\_ as Application Serial No. \_\_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

	Priority	Claimed		
Number Country Day/Month/Year Filed				No
N/A				

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date					
N/A						

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

:512 874 8900

21 3

Attorney Docket No.: T00121

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
N/A		

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith: Stephen A. Terrile (32,946), Gary W. Hamilton (31,834), Rocky W. Holland (40,020), Michael Rocco Cannatti (34,791), and Kent B. Chambers (38,839).

Please address all correspondence and telephone calls to:

#### CUSTOMER NO. 33438

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Full name of first joint	inventor:	Nathan E. Little		
Inventor's Signature:			Date:	
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Post Office Address:	8200 Neely Dr. #250 Austin, Texas 78759		Citizenship:	US
Full name of second joi	int inventor:	Brandon M. Beck		
Inventor's Signature:	Amulan 9	Sell	Date:	10/04/2004
Residence:	Austin, Texas /			
Post Office Address:	3625 Duval Road, A Austin, Texas 78759		Citizenship:	US
Full name of third joint	inventor:	Brian K. Showers		
Inventor's Signature:			Date:	
Residence:	Cedar Park, Texas			
Post Office Address:	1104 West Park Stree Cedar Park, Texas 78		Citizenship:	US

# PATENT APPLICATION SERIAL NO.

# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

## 10/07/2004 KBETEMA1 00000024 10957919

	FC:1001	790.00 0	
20	FC:1202	468.00 0	
03	FC:1201	352.00 0	P

PTO-1556 (5/87)

\*U.S. Government Printing Office: 2002 --- 489-267/69033

Page 48 of 507

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MULTIPLE DEPENDENT CLAIM PRESENT			ł	+150=								
* If	the difference	in column 1 is	less than z	ero, enter	"0" in c	olumn 2	L	TOTAL				1610
	· c	LAIMS AS A	MENDE	) - PAR'	r II			10174	- L		OTHER	<b>L</b>
		(Column 1)	· · ·	(Colun	nn 2)	(Column 3)		SMAL	L ENTITY	OR	SMALL	
AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHI NUME PREVIC PAID I	BER OUSLY	PRESENT EXTRA		RATE	ADDI- TIONAI FEE	-	RATE	ADDI- TIONAL FEE
NON	Total	*	Minus	**		=		X\$ 9=		OR	X\$18=	
AME	Independent	*	Minus	***		=	ſ	X44=		OR	X88=	
Ľ	FIRST PRESE	NTATION OF M	JUNPLE DE	PENDENT	CLAIM		ſ	+150=		OR	+300=	
							L	TOTA			TOTAL	
		(Column 1)		(Colurr	n <b>n 2)</b> -	(Column 3)	A	ddit. Fe	E		ADDIT. FEE	
MENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHE NUME PREVIO PAID F	BER	PRESENT EXTRA		RATE	ADDI- TIONAL FEE	.]	RATE	ADDI- TIONAL FEE
NDN	Total	*	Minus	**		=		X\$ 9=		OR	X\$18=	
AMENDI		*	Minus	***		=	ſ	X44=		OR	X88=	
	FIRST PRESE	NTATION OF MU			CLAIM			+150=		OR	+300=	
							L	TOTA DDIT. FE		<b>י</b> הרי	TOTAL ADDIT. FEE	
		(Column 1)		(Colum	n 2)	(Column 3)	A				AUUII. FEE	
AMENDMENT C	-	CLAIMS REMAINING AFTER AMENDMENT		HIGHE NUMB PREVIO PAID F	IST ER USLY	PRESENT		RATE	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE
NON	Total	*	Minus	**		=		X\$ 9=	· .·	OR	X\$18=	
WU	Independent	*	Minus	***		=		X44=		1 1	X88=	
	FIRST PRESE	NTATION OF MU		PENDENT	CLAIM		$\left  - \right $			OR		·
* H	the entry in colur	nn 1 is less than th	e entry in colu	mn 2 write '	"O" in colu			+150=		OR	+300=	
**   ***	f the "Highest Nur f the "Highest Nur	nber Previously Pa nber Previously Pa nber Previously Pa ber Previously Pai	id For" IN THI id For" IN THI	S SPACE is S SPACE is	less than less thar	20, enter "20." 3, enter "3."		TOTAL DIT. FEE in the a	L		TOTAL ADDIT. FEE	

A COLOR OF COLOR		UNITED STATES DEPARTMENT OF COMMERCI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. DOX 1450 Alexandria, Vingiria 22313-1450 www.usplagov		
APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBE	

33438 HAMILTON & TERRILE, LLP P.O. BOX 203518 AUSTIN, TX 78720

# 

\*OC000000014685317\*

FORMALITIES LETTER

Date Mailed: 12/07/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: *Nathan E. Little and Brian K. Showers*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

.

Replies should be mailed to:	Mail Stop Missing Parts
	Commissioner for Patents
	P.O. Box 1450
	Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Phuma Sui Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 3 - OFFICE COPY

OIPE DEL 22 2004 THE THE TRADE MARK T	<u>'he United States Pate</u>	<u>nt And Traden</u>	MARK OFFICE		
Applicant(s):	Nathan E. Little, Brandon M	I. Beck, Brian K. S	howers		
Assignee:	Trilogy Development Group, Inc.				
Title:	Complex Configuration Processing Using Configuration Sub-Models				
Serial No.:	10/957,919	Filing Date:	October 4, 2004		
Examiner:	Unknown	Group Art Unit:	2121		
Docket No.:	T00121	Customer No.:	33438		
			Austin, Texa		

Austin, Texas December 14, 2004

MAIL STOP MISSING PARTS COMMISSIONER FOR PATENTS P.O. Box 1450 ALEXANDRIA, VA 22313-1450

# **RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON PROVISIONAL APPLICATION - FILING DATE GRANTED**

Dear Sir:

In response to the Notice to File Missing Parts of Non Provisional Application - Filing

Date Granted, dated December 7, 2004, the following documents are enclosed to complete the

filing of the above-identified patent application:

- 1. Declarations (executed in counterpart) by inventors Nathan E. Little and Brian K. Showers;
- 2. A copy of the Notice to File Missing Parts of Nonprovisional Application; and
- 3. A check for \$130 to cover the surcharge.

The Commissioner is hereby authorized to charge any additional fees which may be

required, or credit any overpayment to Deposit Account No. 502264.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application. Please telephone the undersigned at (512) 338-9100, if there are any questions.

14-2004

Date of Signature

1 hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 14, 2004.

Attorney for Applicant(s)

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Respectfully submitted,

Ú

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839

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	UNITED STATE	s Patent and Tradema	rk Office	* <sup>p</sup>
PE	AN A A A A A A A A A A A A A A A A A A		UNITED STA United State Address COMM PO. Dos	ria, Vingania 22313-1450
	PPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
DEL	10/957,919	10/04/2004	Nathan E. Little	T00121
PATENT	& THE			CONFIRMATION NO. 9162
	33438 HAMILTON & TERRILE, LLP P.O. BOX 203518			

P.O. BOX 203518 AUSTIN, TX 78720

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#### Date Mailed: 12/07/2004

\*OC000000014685317\*

Page 1 of 2

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Nathan E. Little and Brian K. Showers
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

		12/23/2004 SDENBOB	1 00000017 10957919
Replies should be mailed to:	Mail Stop Missing Parts	01 FC:1051	130.00 OP
	Commissioner for Patents		
	P.O. Box 1450		
	Alexandria VA 22313-1450		

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE



#### DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled:

#### COMPLEX CONFIGURATION PROCESSING USING CONFIGURATION SUB-MODELS

which (check)  $\boxtimes$  is attached hereto.

and is amended by the Preliminary Amendment attached hereto.

was filed on \_\_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Prior Foreign Application(s)				Claimed
Number Country Day/Month/Year Filed				No
N/A				

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
N/A	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
N/A		

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith: Stephen A. Terrile (32,946), Gary W. Hamilton (31,834), Rocky W. Holland (40,020), Michael Rocco Cannatti (34,791), and Kent B. Chambers (38,839).

Please address all correspondence and telephone calls to:

#### CUSTOMER NO. 33438

I declare that all statements made herein of my own knowledge are true, all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that whoever, in any matter within the jurisdiction of the Patent and Trademark Office, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be subject to the penalties including fine or imprisonment or both as set forth under 18 U.S.C. 1001, and that violations of this paragraph may jeopardize the validity of the application or this document, or the validity or enforceability of any patent, trademark registration, or certificate resulting therefrom.

Full name of first joint	inventor:	Nathan E. Little		
Inventor's Signature:	bet	$\sum$	Date:	10/13/04
Residence:	Austin, Texas			·
Post Office Address:	8200 Neely Dr. #250 Austin, Texas 78759		Citizenship:	US
Full name of second jo	int inventor:	Brandon M. Beck		
Inventor's Signature:			Date:	
Residence:	Austin, Texas		-	
Post Office Address:	3625 Duval Road, A Austin, Texas 78759		Citizenship:	US
Full name of third join	t inventor:	Brian K. Showers		
Inventor's Signature:			Date:	
Residence:	Cedar Park, Texas		_	
Post Office Address:	1104 West Park Stre Cedar Park, Texas 7		Citizenship:	US



#### DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

hand inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled:

#### COMPLEX CONFIGURATION PROCESSING USING CONFIGURATION SUB-MODELS

which (check)  $\boxtimes$  is attached hereto.

and is amended by the Preliminary Amendment attached hereto.
 was filed on \_\_\_\_\_\_ as Application Serial No. \_\_\_\_\_\_
 and was amended on \_\_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

	Prior Foreign Applica	tion(s)	Priority	Claimed
Number	Country	Day/Month/Year Filed	Yes	No
N/A				

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
N/A	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
N/A		

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith: Stephen A. Terrile (32,946), Gary W. Hamilton (31,834), Rocky W. Holland (40,020), Michael Rocco Cannatti (34,791), and Kent B. Chambers (38,839).

Please address all correspondence and telephone calls to:

#### CUSTOMER NO. 33438

I declare that all statements made herein of my own knowledge are true, all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that whoever, in any matter within the jurisdiction of the Patent and Trademark Office, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be subject to the penalties including fine or imprisonment or both as set forth under 18 U.S.C. 1001, and that violations of this paragraph may jeopardize the validity of the application or this document, or the validity or enforceability of any patent, trademark registration, or certificate resulting therefrom.

Full name of first joint	inventor:	Nathan E. Little		
Inventor's Signature:			Date:	-
Residence:	Austin, Texas		-	
Post Office Address:	8200 Neely Dr. #250 Austin, Texas 78759		Citizenship:	US
Full name of second jo	int inventor:	Brandon M. Beck		
Inventor's Signature:			Date:	
Residence:	Austin, Texas		-	
Post Office Address:	3625 Duval Road, A Austin, Texas 78759		Citizenship:	US
Full name of third join	t inventor:	Brian K. Showers		
Inventor's Signature:	Bm KS	him	Date:	10-11-2004
Residence:	Cedar Park, Texas			
Post Office Address:	1104 West Park Stre Cedar Park, Texas 7		Citizenship:	US

**EAST Search History** 

Ref #	Hits	Search Query	DBS	Default Operator	Plurals	Time Stamp
		"20030187950" and sub\$	US-PGPUB; USPAT	QR	OFF	2006/08/28 16:38
		<pre>@pd&lt;"20041004" and (multimedia with (database or knowledgebase or "knowledge base")) and (707/3.ccls. or 707/103.cls.)</pre>	US-PGPUB; USPAT	ß	OFF	2006/08/29 15:03
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Ц	0	@pd<"20041004" and 700/90.ccls.	IBM_TDB	S	OFF	2006/08/30 08:13
ៗ	0	@pd<"20041004" and 706/1.ccls.	IBM_TDB	ß	OFF	2006/08/30 08:14
L4	0	706/1.ccls.	IBM_TDB	g	OFF	2006/08/30 08:14
ទ	339	@pd<"20041004" and 700/1.ccls.	US-PGPUB; USPAT	ĸ	OFF	2006/08/30 08:14
9	848	@pd<"20041004" and (700/1.ccls. or 700/90.ccls.)	US-PGPUB; USPAT	Ŋ	OFF	2006/08/30 08:35
L7	1110	@pd<"20041004" and (706/1.ccls. or 706/15.ccls. or 706/45.ccls.)	US-PGPUB; USPAT	S	OFF	2006/08/30 08:35
81 L	4	<pre>@pd&lt;"20041004" and (706/1.ccls. or 706/15.ccls. or 706/45.ccls.) and ("sub query" or sub-query or subquery or "sub queries" or sub-queries or subqueries)</pre>	US-PGPUB; USPAT	S	OFF	2006/08/30 08:39
൭	259	<pre>@pd&lt;"20041004" and (707/3.ccls. or 707/10.ccls. or "709218".ccls.) and ("sub query" or sub-query or subquery or "sub queries" or sub-queries or subqueries)</pre>	US-PGPUB; USPAT	S	OFF	2006/08/30 08:51
L10	9	@pd<"20041004" and ("709/218".ccls.) and ("sub query" or sub-query or subquery or "sub queries" or sub-queries or subqueries)	US-PGPUB; USPAT	Ŋ	OFF	2006/08/30 08:50
E	263	<pre>@pd&lt;"20041004" and (707/3.ccls. or 707/10.ccls. or "709/218".ccls.) and ("sub query" or sub-query or subquery or "sub queries" or sub-queries or subqueries)</pre>	US-PGPUB; USPAT	S	OFF	2006/08/30 08:51
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S18	-1	"6175829".pn. and query	US-PGPUB; USPAT	Я	OFF	2006/08/24 13:28
S19	1	"6175829".pn. and "query specification"	US-PGPUB; USPAT	ß	OFF	2006/08/24 13:28
S20		"6175829".pn. and "knowledge base" and ((query or queries) same (subquery or "sub query" or "sub-query" or subqueries or "sub queries" or "sub-queries"))	US-PGPUB; USPAT	ß	OFF	2006/08/24 13:49
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S25	-1	"6175829".pn. and overlap	US-PGPUB; USPAT	QR	OFF	2006/08/24 16:59
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S27	0	"6175829".pn. and combining	US-PGPUB; USPAT	S	OFF	2006/08/24 17:38
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S29	-	"6175829".pn. and "matching"	US-PGPUB; USPAT	ĸ	OFF	2006/08/25 07:23
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S32	1	"6175829".pn. and threshold	US-PGPUB; USPAT	ß	OFF	2006/08/25 09:06

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Page 3

# Page 62 of 507

History
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<b>EAST S</b>

<b>S</b> 33	0	"6175829".pn. and requireme\$	US-PGPUB; USPAT	ĸ	OFF	2006/08/25 09:03
S34		"6175829".pn. and select\$	US-PGPUB; USPAT	ĸ	OFF	2006/08/25 09:07
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S36	Ŋ	<pre>@pd&lt;"20041004" and (multimedia with (database or knowledgebase or "knowledge base")) and heir\$</pre>	US-PGPUB; USPAT	QR	OFF	2006/08/25 10:48
S37	157	@pd<"20041004" and (multimedia with (database or knowledgebase or "knowledge base")) and (707/3.ccls. or 707/103.ccls.)	US-PGPUB; USPAT	QR	OFF	2006/08/25 10:50
S38	Ś	@pd<"20041004" and (multimedia with (database or knowledgebase or "knowledge base")) and (707/3.ccls. or 707/103.ccls.) and ("sub query" or sub-query or subquery or "sub queries" or sub-queries or subqueries)	US-PGPUB; USPAT	ĸ	OFF	2006/08/25 10:51
S39		"20040098376"	US-PGPUB; USPAT	SO	OFF	2006/08/28 16:33
S40	œ	"20040098376" or "20040167879" or "20040103433" or "20040088291" or "20040030682" or "20030187950" or "20010049824"	US-PGPUB; USPAT	Q	OFF	2006/08/28 16:38
S41	74	"20030187950" and ("sub query" or sub-query or subquery or "sub queries" or sub-queries or subqueries)	US-PGPUB; USPAT	ĸ	OFF	2006/08/28 17:00
S42		S40 and ("sub query" or sub-query or subquery or "sub queries" or sub-queries or subqueries)	US-PGPUB; USPAT	ĸ	OFF	2006/08/28 16:48
S43	1	"20030187950" and (memory or database or knowledgebase)	US-PGPUB; USPAT	QR	OFF	2006/08/28 17:02
S44	1	"20030187950" and (result\$ or answer)	US-PGPUB; USPAT	ĸ	OFF	2006/08/28 17:46
S45	0	"20030187950" and (validation)	US-PGPUB; USPAT	QR	OFF	2006/08/28 17:46
S46	0	"20030187950" and (threshold)	US-PGPUB; USPAT	ĸ	OFF	2006/08/28 17:46
S47	1	"20030187950" and (limit or boundry)	US-PGPUB; USPAT	ĸ	OFF	2006/08/28 17:47

FORD 1004

Page 4

Page 63 of 507

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S48		"20030187950" and (hit)	US-PGPUB;	ß	OFF	2006/08/28 17:47
S49	0	"20030187950" and carchase	US-PGPUB; USPAT	OR	OFF	2006/08/29 08:04
S50	<b></b> ₹	"20030187950" and "256"	US-PGPUB; USPAT	OR	OFF	2006/08/29 08:29
S51		"20030187950" and "term A"	US-PGPUB; USPAT	OR	OFF	2006/08/29 08:46
S52		"20030187950" and "query capture"	US-PGPUB; USPAT	QR	OFF	2006/08/29 08:57
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S54		"20030187950" and parser	US-PGPUB; USPAT	ß	OFF	2006/08/29 09:05
S55		"20030187950" and field\$	US-PGPUB; USPAT	Ŋ	OFF	2006/08/29 09:12
S56	0	"20030187950" and overlap\$	US-PGPUB; USPAT	Ŋ	OFF	2006/08/29 09:12
S57	<b></b>	"20030187950" and over\$	US-PGPUB; USPAT	QR	OFF	2006/08/29 09:13
S58	0	"20030187950" and threshold	US-PGPUB; USPAT	Ŋ	OFF	2006/08/29 09:13
S59	H	"20030187950" and (limit or boundry)	US-PGPUB; USPAT	QR	OFF	2006/08/29 09:39
S60	38136	<pre>@pd&lt;"20041004" and multimedia</pre>	US-PGPUB; USPAT	ĸ	OFF	2006/08/29 09:18
S61	154	<pre>@pd&lt;"20041004" and (multimedia with classification)</pre>	US-PGPUB; USPAT	Ŋ	OFF	2006/08/29 09:18
S62	22	@pd<"20041004" and (multimedia with classification) and multimedia.ab.	US-PGPUB; USPAT	ß	OFF	2006/08/29 09:26
S63	32	<pre>@pd&lt;"20041004" and (multimedia with classification) and multimedia.ab. and (multimedia with (search\$ or query\$))</pre>	US-PGPUB; USPAT	OR	OFF	2006/08/29 09:20
8/30/20	8/30/2006 8:51:47 AM					Pane 5

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FORD 1004

Page 64 of 507

Page 5

**EAST Search History** 

55         0         563 and threshold and (query with divids)         US-FRPUB: ID         OFF         2006/08/29 09:20           566         10         563 and (query with divids)         US-FRPUB: ID         OFF         2006/08/29 09:21           567         10         563 and (query with divids)         US-FRPUB: ID         OFF         2006/08/29 09:21           568         0         @pd-*2004100 <sup>+*</sup> and (multimedia with classification) and multimedia.ab.         US-FRPUB: ID         OFF         2006/08/29 09:21           569         0         @pd-*2004100 <sup>+*</sup> and (multimedia with classification) and multimedia.ab.         US-FRPUB: ID         OFF         2006/08/29 09:27           570         6         @pd-*2004100 <sup>+*</sup> and (multimedia with classification) and multimedia.ab.         US-FRPUB: ID         OFF         2006/08/29 09:29           571         2         20030187950' or "672148'; pn.         US-FRPUB: ID         OFF         2006/08/29 09:29           571         2         @pd-*2004100 <sup>+*</sup> and (multimedia with classification) and query with         US-FRPUB: ID         OFF         2006/08/29 09:29           571         2         @pd-*2004100 <sup>+*</sup> and (multimedia with classification) and query with         US-FRPUB: ID         OFF         2006/08/29 09:29           572         2         0         FS-T204106 <sup>**</sup> and (multimedi	S64	19	S63 and threshold	US-PGPUB; USPAT	QR	OFF	2006/08/29 09:21
0       S63 and (query with divid\$)       US-FGPUB;       OR       OF         10       S63 and threshold and overlap\$       US-FGPUB;       OR       OF         10       S63 and threshold and overlap\$       US-FGPUB;       OR       OF         11       S63 and threshold and overlap\$       US-FGPUB;       OR       OF         11       @pd<"20041004" and (multimedia with classification) and multimedia.ab.	S65	0	S63 and threshold and (query with	US-PGPUB; USPAT	QR	OFF	2006/08/29 09:20
10       563 and threshold and overlap\$       US-PGPUB;       OR       OF         0       @pd<"2004100*" and (multimedia with classification) and multimedia.ab.	S66	0		US-PGPUB; USPAT	QR	OFF	2006/08/29 09:20
0         @pd<"20041004" and (multimedia with classification) and multimedia.ab. and (queries with (overlap or overlapping))         US-PGPUB;         OR         OF           0         @pd<"20041004" and (multimedia with classification) and multimedia.ab. and (query with (overlap or overlapping))         US-PGPUB;         OR         OF           0         @pd<"20041004" and (multimedia with classification) and (query with (overlap or overlapping))         US-PGPUB;         OR         OF           2         @pd<"2004100" and (multimedia with classification) and (query with (overlap or overlapping))         US-PGPUB;         OR         OF           2         @pd<"2003187950" or "6721748".pn.	S67	10		US-PGPUB; USPAT	OR	OFF	2006/08/29 09:21
0         @pd<"20041004" and (multimedia with classification) and multimedia.ab.	S68	0	@pd<"20041004" and (multimedia and (queries with (overlap or overla	US-PGPUB; USPAT	QR	OFF	2006/08/29 09:27
6@pd<"20041004" and (multimedia with classification) and (query with usPATUS-PGPUB; US-PGPUB;OROFF2@pd<"20041004" and (multimedia with classification) and (query with toverlap or overlapping)) and (allow or permit) with processing )US-PGPUB; US-PGPUB;OROFF2"20030187950" or "6721748". pn.US-PGPUB; US-PGPUB;OROFF1\$72 and thresholdUS-PGPUB; US-PGPUB;OROFF3"20030149681" or "2003036939" or "20030129575"US-PGPUB; US-PGPUB;OROFF1\$75 and feedbackUS-PGPUB; US-PGPUB;OROFF2"20030149681" or "20030036939" or "20030129575"US-PGPUB; US-PGPUB;OROFF3"20030149681" or "20030036939" or "20030129575"US-PGPUB; US-PGPUB;OROFF4TTUS-PGPUB; US-PGPUB;OROFF5"2003003893914" or "20030038939" or "2003010016" or "20020108113" orUS-PGPUB; US-PGPUB;OROFF1"20050086189" and feedbackUS-PGPUB; US-PGPUB;OROFF2\$77 and feedbackUS-PGPUB; US-PGPUB;OROFF1"20050086189" and diagnosisUS-PGPUB; US-PGPUB;OROFF2\$77 and feedbackUS-PGPUB; US-PGPUB;OROFF3"20050086189" and diagnosisUS-PGPUB; US-PGPUB;OROFF4US-PGPUB; US-PGPUB;US-PGPUB; US-PGPUB;OROFF5S77 and feedbackUS-PGPUB; US-PGPUB;US-	S69	0	@pd<"20041004" and (multimedia and (query with (overlap or overlap	US-PGPUB; USPAT	OR	OFF	2006/08/29 09:27
2         @pd<"20041004" and (multimedia with classification) and (query with processing )	S70	Q	@pd<"20041004" and (multimedia (overlap or overlapping))	US-PGPUB; USPAT	S	OFF	2006/08/29 09:29
2       "20030187950" or "6721748".pn.       US-PGPUB;       OR       OF         0       572 and threshold       US-PGPUB;       OR       OF         1       572 and threshold       US-PGPUB;       OR       OF         3       "20030149681" or "20030036939" or "20030129575"       US-PGPUB;       OR       OFF         3       "20030149681" or "20030036939" or "20030129575"       US-PGPUB;       OR       OFF         1       575 and feedback       US-PGPUB;       OR       OFF         7       "20030033914" or "20030036939" or "20030129575"       US-PGPUB;       OR       OFF         7       "20030033914" or "20030036939" or "2003010016" or "20020108113" or       US-PGPUB;       OR       OFF         7       "20020032630" or "20030036939" or "20030010016" or "20020108113" or       US-PGPUB;       OR       OFF         7       "20020032630" or "20030036939" or "20030010016" or "20020108113" or       US-PGPUB;       OR       OFF         8       Tond feedback       US-PGPUB;       OR       OFF       OFF         1       "20050086189" and diagnosis       US-PGPUB;       OR       OFF         1       "20050086189" and diagnosis       US-PGPUB;       OR       OR	S71	7	@pd<"20041004" and (multimedia (overlap or overlapping)) and ((allo	US-PGPUB; USPAT	OR	OFF	2006/08/29 09:29
0         S72 and threshold         US-FGPUB;         OR         OFF           1         S72 and limit         US-FGPUB;         OR         OFF         OSPAT         OR         OFF         OSPAT         OR         OFF         OSPAT         OSPAT         OR         OFF         OSPAT         OR         OFF         OSPAT         OSPAT         OR         OFF         OSPAT         OR         OFF         OSPAT         OSPAT         OR         OFF         OSPAT         OSPAS	S72	7		US-PGPUB; USPAT	OR	OFF	2006/08/29 09:39
1         S72 and limit         US-PGPUB;         OR         OFF           3         "20030149681" or "20030036939" or "20030129575"         US-PGPUB;         OR         OFF           1         S75 and feedback         US-PGPUB;         OR         OFF           1         S75 and feedback         US-PGPUB;         OR         OFF           2         "20030083914" or "20030036939" or "20030016" or "20020108113" or         US-PGPUB;         OR         OFF           2         S77 and feedback         US-PGPUB;         OR         OFF         USPAT         OF           1         "20020083914" or "20030078900"         USPAT         US-PGPUB;         OR         OFF           2         S77 and feedback         USPAT         US-PGPUB;         OR         OFF           1         "20050086189" and diagnosis         US-PGPUB;         OR         OFF	S73	0		US-PGPUB; USPAT	OR	OFF	2006/08/29 09:40
3         "20030149681" or "20030036939" or "20030129575"         US-PGPUB;         OR         OFF           1         S75 and feedback         US-PGPUB;         OR         OFF         US-PGPUB;         OR         OFF           7         "20030083914" or "20030036939" or "20030010016" or "20020108113" or         US-PGPUB;         OR         OFF           7         "20030083914" or "20030078900"         US-PGPUB;         OR         OFF           2         S77 and feedback         US-PGPUB;         OR         OFF           1         "20050086189" and diagnosis         US-PGPUB;         OR         OFF           1         "20050086189" and diagnosis         US-PGPUB;         OR         OFF	S74	1	S72 and limit	US-PGPUB; USPAT	S	OFF	2006/08/29 09:40
1         S75 and feedback         US-PGPUB;         OR         OFF           7         "20030083914" or "20030036939" or "200300160" of "20020108113" or         US-PGPUB;         OR         OFF           2         "20020032630" or "20030078900"         USPAT         US-PGPUB;         OR         OFF           1         "20050086189" and diagnosis         US-PGPUB;         OR         US-PGPUB;         OR         OFF           1         "20050086189" and diagnosis         US-PGPUB;         OR         OFF         US-PGPUB;         OR         OFF	S75	m	"20030149681" or "20030036939"	US-PGPUB; USPAT	ĸ	OFF	2006/08/29 11:23
7         "20030083914" or "20030036939" or "20030016" or "20020108113" or US-PGPUB;         OR         OF           2         "20020032630" or "20030078900"         US-PGPUB;         OR         OF           2         S77 and feedback         US-PGPUB;         OR         OF           1         "20050086189" and diagnosis         US-PGPUB;         OR         OF	S76		S75 and feedback	US-PGPUB; USPAT	S	OFF	2006/08/29 12:47
2       S77 and feedback       US-PGPUB;       OR       OFF         1       "20050086189" and diagnosis       US-PGPUB;       OR       OFF         1       "20050086189" and diagnosis       USPAT       USPAT       OR       OFF	S77	7		US-PGPUB; USPAT	R	OFF	2006/08/29 11:26
1 "20050086189" and diagnosis USPAT USPAT USPAT	S78	7		US-PGPUB; USPAT	OR	OFF	2006/08/29 11:33
	579	Ħ	"20050086189" and diagnosis	US-PGPUB; USPAT	OR	OFF	2006/08/29 11:34

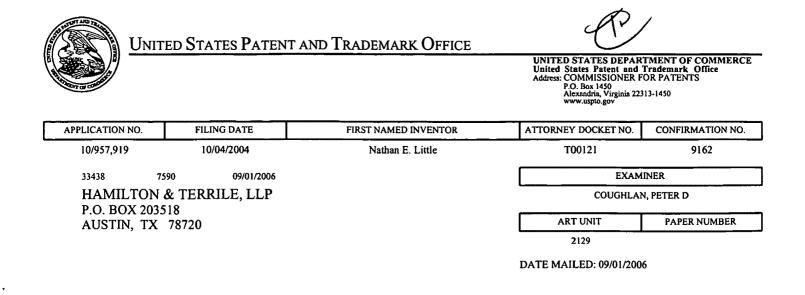
8/30/2006 8:51:47 AM C:\Documents and Settings\pcoughlan\My Documents\EAST\Workspaces\project88,10957919.wsp FORD 1004 .

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S80	1	"20030149681"	US-PGPUB; USPAT	ß	OFF	2006/08/29 12:47
S81	0	"20030149681" and harmony with level	US-PGPUB; USPAT	ß	OFF	2006/08/29 12:47
S82	0	"20030149681" and (harmony with level)	US-PGPUB; USPAT	ĸ	OFF	2006/08/29 13:16
S83	<b>1</b>	"20030149681" and (harmony )	US-PGPUB; USPAT	S	OFF	2006/08/29 12:47
S84	0	"20030149681" and (harmony same level)	US-PGPUB; USPAT	S	OFF	2006/08/29 13:16
S85	0	"20030149681" and (harmony same (value or score or rating or threshold))	US-PGPUB; USPAT	ß	OFF	2006/08/29 13:17
S86	0	"20030149681" and (harmony same (output or value or score or rating or threshold))	US-PGPUB; USPAT	ß	OFF	2006/08/29 13:19
S87	0	"20030149681" and (harmony same (evaluation or summary))	US-PGPUB; USPAT	ĸ	OFF	2006/08/29 13:19
588	0	"20030149681" and (harmony same (numeric))	US-PGPUB; USPAT	S	OFF	2006/08/29 13:19
S89	0	"20030149681" and (harmony same (num\$))	US-PGPUB; USPAT	ĸ	OFF	2006/08/29 13:19

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Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)
	10/957,919	LITTLE ET AL.
Office Action Summary	Examiner	Art Unit
	Peter Coughlan	2129
The MAILING DATE of this communication Period for Reply	appears on the cover sheet wi	th the correspondence address
A SHORTENED STATUTORY PERIOD FOR RE WHICHEVER IS LONGER, FROM THE MAILING - Extensions of time may be available under the provisions of 37 CF after SIX (6) MONTHS from the mailing date of this communication - If NO period for reply is specified above, the maximum statutory pe - Failure to reply within the set or extended period for reply will, by st Any reply received by the Office later than three months after the m earned patent term adjustment. See 37 CFR 1.704(b).	G DATE OF THIS COMMUNIC R 1.136(a). In no event, however, may a ro , riod will apply and will expire SIX (6) MON tatute, cause the application to become AB	CATION. eply be timely filed THS from the mailing date of this communication. ANDONED (35 U.S.C. § 133).
Status		
1) $\boxtimes$ Responsive to communication(s) filed on <u><math>Q</math></u>	4 October 2004.	
	This action is non-final.	
3) Since this application is in condition for all	owance except for formal matt	ers, prosecution as to the merits is
closed in accordance with the practice und	er <i>Ex parte Quayle</i> , 1935 C.D	. 11, 453 O.G. 213.
Disposition of Claims		
4) Claim(s) <u>1-46</u> is/are pending in the applica	tion.	
4a) Of the above claim(s) is/are with		
5) Claim(s) is/are allowed.		
6) Claim(s) <u>1-46</u> is/are rejected.		
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction an	nd/or election requirement.	
Application Papers		
9) The specification is objected to by the Exar	niner.	•
10) The drawing(s) filed on <u>04 October 2004</u> is	/are: a)⊠ accepted or b)□ o	bjected to by the Examiner.
Applicant may not request that any objection to		
Replacement drawing sheet(s) including the co		
11) The oath or declaration is objected to by the	e Examiner. Note the attached	d Office Action or form PTO-152.
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for for	eign priority under 35 U.S.C. §	; 119(a)-(d) or (f).
a) All b) Some * c) None of:		
1. Certified copies of the priority docum	nents have been received.	
2. Certified copies of the priority docum		
3. Copies of the certified copies of the		received in this National Stage
application from the International Bu	•	un anti-
* See the attached detailed Office action for a	list of the certified copies not	receivea.
Attachment(s)		
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> </ol>	) Paper No(s	Summary (PTO-413) s)/Mail Date
<ol> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SE Paper No(s)/Mail Date</li> </ol>	·	nformal Patent Application (PTO-152)
U.S. Patent and Trademark Office	A-4i	Dat of Dance No. (Mail Date 2050000
PTOL-326 (Rev. 7-05) Office Page 68 of 507	ce Action Summary	Part of Paper No./Mail Date 8252006 FORD 1004

Application/Control Number: 10/957,919 Art Unit: 2129

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# **Detailed Action**

1. Claims 1-46 are pending in this application.

## Specification Rejections

2. The specification is rejected due to the following. Claims12, 25, 27, 40, 42 use the term "low enough". This is not defined, addressed or explained in the specification.

The specification is rejected due to the following. Claims 9, 23, 30 use the term "family lines". This leads to assumptions based on lineage buy it is not addressed, defined or explained in the specification.

Per the MPEP, section 608.01(I) the claim(s) is/are treated on its merits and a requirement made to amend the drawing and description to show the subject matter.

### 35 USC § 101

3. 35 U.S.C. 101 reads as follows:

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Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-46 are rejected under 35 U.S.C. 101 for nonstatutory subject matter. The computer system must set forth a practical application of that § 101 judicial exception to produce a real-world result. <u>Benson</u>, 409 U.S. at 71-72, 175 USPQ at 676-77. Defining problem solving with models and sub-models without a practical application is nothing more than an exercise. There needs to be a purpose or a real world function for the invention. 'Solving product configuration' is an abstract concept. What is needed is a 'solving product configuration for the purpose of *alpha*'. The result has to be a practical application. Please see the interim guidelines for examination of patent applications for patent subject matter eligibility published November 22, 2005 in the official gazette.

In determining whether the claim is for a "practical application," the focus is not on whether the steps taken to achieve a particular result are useful, tangible and concrete, but rather that the <u>final result</u> achieved by the claimed invention is "useful, tangible and concrete." If the claim is directed to a practical application of the § 101 judicial exception producing a result tied to the physical world that does not preempt the judicial exception, then the claim meets the statutory requirement of 35 U.S.C. § 101. Is the purpose for processing queries for a car search on the Internet? Is 'dividing a consolidated configuration model' really the grid of intersections of a city with stop lights and the invention solves the best timing for all the lights for maximum traffic Application/Control Number: 10/957,919 Art Unit: 2129

flow? Is 'generating an answer' based upon queries and sub-models for the engineering parameters for a bridge? If so no such results have been claimed.

The invention must be for a practical application and either:

1) specify transforming (physical thing) or

2) have the FINAL RESULT (not the steps) achieve or produce a

useful (specific, substantial, AND credible),

concrete (substantially repeatable/ non-unpredictable), AND

tangible (real world/ non-abstract) result.

A claim that is so broad that it reads on both statutory and non-statutory subject

matter, must be amended, and if the specification discloses a practical application but

the claim is broader than the disclosure such that it does not require the practical

application, then the claim must be amended.

Claims that provide an abstract concept of 'Solving product configuration' and not

a result that is a real world application are not statutory.

# Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action: A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-6, 8-20, 22-35, 37-46 are rejected under 35 U.S.C. 102(b) (hereinafter

referred to as **Rising**) being anticipated by Rising, U.S. Patent Publication

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Caims 1, 14, 15, 29, 30, 44, 45

Rising anticipates a processor (**Rising**, abstract; 'Processor' of applicant is equivalent to 'search engine' of Rising.); and a storage medium having data encoded therein, the data comprising processor executable code for (Rising, ¶0007; 'Storage medium' of applicant is equivalent to 'database' of Rising.); dividing a consolidated configuration model into multiple configuration sub-models (Rising, Fig. 10 and ¶0065; Figure 10 illustrates a query builder and the contents of terms A, B and C can be seen as 'subquery'. 'Configuration model' of applicant is equivalent to 'query builder' of Rising. 'Sub-models' of applicant is equivalent to 'Terms A, B, C' of Rising.); processing one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model a configurable product (**Rising**, Fig. 10; 'Configuration sub-models' of applicant is equivalent to item 208 of Rising. Rising illustrates using Boolean operators with sub-models for a search parameter. 'Configuration query' of applicant is equivalent to the 'set of all subqueries' of Rising.); and generating an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models. (Rising, ¶0012; 'Generating an answer' of applicant is equivalent to 'search results' of Rising.)

### Claims 2, 16, 31

Rising anticipates dividing a configuration query into multiple configuration subqueries, wherein the one or more configuration queries include the multiple

configuration sub-queries. (**Rising,** Figure 10 illustrates that the query builder( configuration query) is composed of multiple sub-models (Terms A, B, C). Each submodel is composed of a sub-query, so a 'configuration query' is composed of subqueries.)

### Claims 3, 17, 32

Rising anticipates processing each sub-query using at least one configuration sub-model per sub-query. (**Rising,** Fig. 10; To process a sub-query you have to use the sub-model indicator in item 208 in Rising.)

### Claims 4, 18, 33

Rising anticipates processing each sub-query using multiple configuration submodels per sub-query. (**Rising**, 'Multiple configurations sub-models' of applicant is equivalent to '(A and B) or (A and C) where 'A' is used multiple times' of Rising.)

### Claims 5, 19, 34

Rising anticipates processing an undivided query using different configuration sub-models until a configuration validation answer can be determined. (**Rising, ¶**0056; 'Configuration validation' of applicant is equivalent to 'hit processing routine' of Rising.)

Claims 6, 20, 35

Page 6

Rising anticipates the data collectively included in the configuration sub-models is sufficient to provide an answer for each of the sub-queries being processed. (**Rising**, ¶0050 and Fig 12. 'Provide an answer for each of the sub-queries' of applicant is equivalent to 'query capture mechanism' of Rising.)

### Claims 8, 22, 37

Rising anticipates dividing a consolidated configuration model into multiple configuration sub-models comprises dividing the configuration sub-models in accordance with a predetermined data structure (**Rising**, Fig. 10;'Predetermined data structure' of applicant is equivalent to indicator fields used in the sub-models. For example of indicator fields would be 'action', 'prefer', 'background' and 'location,city' of Rising.); and dividing a configuration query into multiple configuration sub-queries further comprises dividing the sub-queries in accordance with the sub-model structure. (**Rising**, ¶0057; 'Dividing a sub-query' of applicant is accomplished by the 'parser' of Rising. The 'sub-model structure' of applicant is equivalent to 'a form that is optimized for use by a string search routine' of Rising.)

### Claims 9, 23, 38

Rising anticipates the predetermined data structure comprises a data structure divided along configuration model family lines. (**Rising,** Fig. 10 and ¶0065; 'Predetermined data structure' of applicant is equivalent to indicator fields used in

FORD 1004

the sub-models. Examples of these data structures that are 'divided along family lines' of applicant is equivalent to 'query statement field' or 'term entry field' of Rising.)

### Claims 10, 24, 39

Rising anticipates generating a sub-answer for each processed configuration sub-model (**Rising**, ¶0050 and Fig 12. 'Generating a sub-answer' of applicant is equivalent to 'query capture mechanism' of Rising.); and combining each sub-answer to generate the answer. (**Rising**, Fig. 10;'Combining each sub-answer' of applicant is demonstrated by item '208' in figure 10 of Rising. Rising is looking for a combination of sub-answers of 'A and B' or 'A and C'.)

### Claims 11, 26, 41, 46

Rising anticipates dividing a consolidated configuration model into the configuration sub-models. (**Rising,** Fig. 10; 'Configuration sub-models' of applicant is equivalent to 'Term A', 'Term B' and 'Term C' of Rising. 'Configuration model' of applicant is equivalent to 'query builder' of Rising. In Figure 10 of Rising illustrated that the 'query builder' is composed of 'Term A', 'Term B' and 'Term C'.)

### Claims 12, 25, 27, 40, 42

Rising anticipates dividing the configuration model sufficiently so that complexity of each configuration sub-model is low enough to allow processing using available data processing capabilities while still representing the relationships included in the

consolidated configuration model. (**Rising, ¶**0057; 'Dividing a sub-query' of applicant is accomplished by the 'parser' of Rising. The 'sub-model is low enough' of applicant is equivalent to 'a form that is optimized for use by a string search routine' of Rising.)

Claims 13, 28, 43

Rising anticipates each configuration sub-model represents a portion of the consolidated configuration model. (Rising, Fig. 10;'Sub-models' of applicant is equivalent to 'Term A', 'Term B' or 'Term C'. Each of these is a portion of the 'Query builder'. 'Configuration model' of applicant is equivalent to 'query builder' of Rising.)

### Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 7, 21, 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over

RIsing as set forth above, in view of Knight. (U. S. Patent 6721748, referred to as

Knight)

### Claims 7, 21, 36

Rising fails to particularly call for at least two sub-queries include overlapping information.

Knight teaches at least two sub-queries include overlapping information. (Knight, C16:39-54) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Rising by allowing resulting information to be shared by different queries as taught by Knight to have at least two sub-queries include overlapping information.

For the purpose of allowing the resulting information to be flexible and thus have increased accuracy based on different queries and relationships between different queries.

### Conclusion

6. The prior art of record and not relied upon is considered pertinent to the applicant's disclosure.

- -U. S. Patent Publication 20040167879: Cotner
- -U. S. Patent Publication 20040103433: Regeard
- -U. S. Patent Publication 20040098376: Li
- -U. S. Patent Publication 20040088291: Matsuzaki
- -U. S. Patent Publication 20040030682: Porter
- -U. S. Patent 6175829: Li

- -U. S. Patent Publication 20010049824: Baker
- -U. S. Patent 6470333: Baclawski
- -U. S. Patent 6081801: Cochrane
- -U. S. Patent 5873080: Coden
- -U. S. Patent 5778378: Rubin
- -U. S. Patent 6351762: Ludwig
- 7. Claims 1-46 are rejected.

### Correspondence Information

8. Any inquiry concerning this information or related to the subject disclosure should be directed to the Examiner Peter Coughlan, whose telephone number is (571) 272-5990. The Examiner can be reached on Monday through Friday from 7:15 a.m. to 3:45 p.m.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor David Vincent can be reached at (571) 272-3687. Any response to this office action should be mailed to:

Commissioner of Patents and Trademarks,

Washington, D. C. 20231;

Hand delivered to:

Receptionist, Customer Service Window, Randolph Building, 401 Dulany Street, Alexandria, Virginia 22313, (located on the first floor of the south side of the Randolph Building); or faxed to: (571) 273-8300 (for formal communications intended for entry.)

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <u>http://pair-direct.uspto.gov</u>. Should you have any questions on access to Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll free).

Peter Coughlan 8/21/2006

Jon Jon. J. P.E.

Notice of References Cited	Application/Control No. Applicant(s)/Patent L 10/957,919 LITTLE ET AL.		on
	Examiner	Art Unit	
	Peter Coughlan	2129	Page 1 of 1

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,721,748	04-2004	Knight et al.	707/3
*	в	US-2003/0187950	10-2003	Rising, Hawley K. III	709/218
	С	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	н	US-			
	1	US-			
	J	US-			
	к	US-			
	L	US-			
	м	US-			

#### FOREIGN PATENT DOCUMENTS

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#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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	x	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Part of Paper No. 8252006



### UNITED STATES PATENT AND TRADEMARK OFFICE

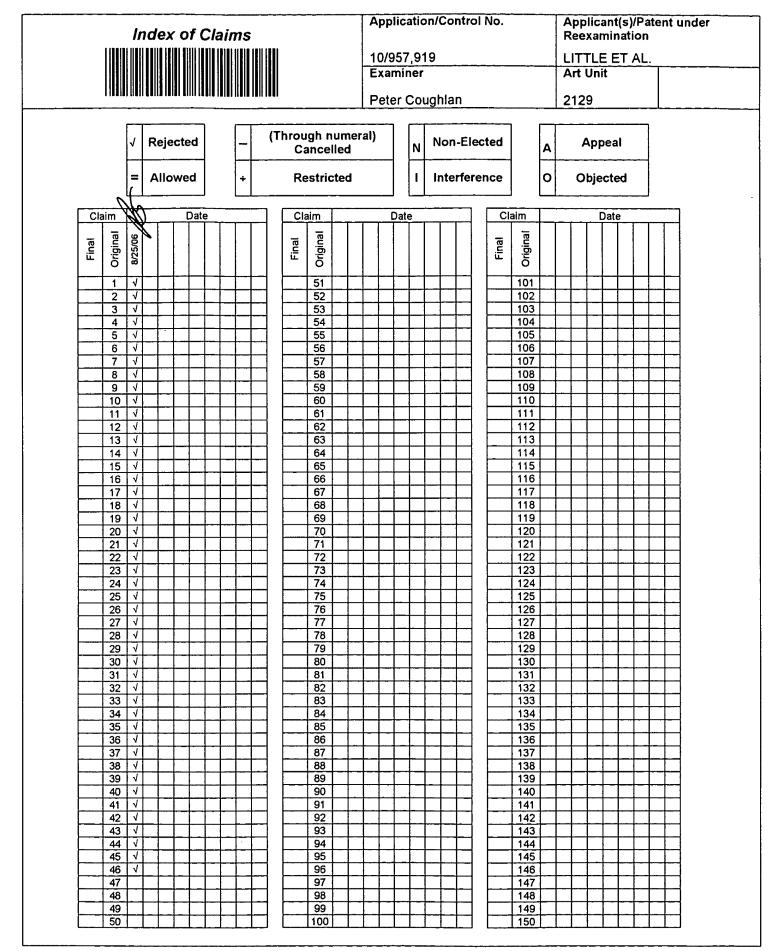
UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

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Bib Data Sheet

### **CONFIRMATION NO. 9162**

SERIAL NUMBER 10/957,919	FILING OR 371(c) DATE 10/04/2004 RULE	CLASS 706	GROUP ART 0 2129	JNIT		RNEY DOCKET NO. T00121
APPLICANTS Nathan E. Little, Austin, TX; Brandon M. Beck, Austin, TX; Brian K. Showers, Cedar Park, TX; ** CONTINUING DATA **********************************						
Foreign Priority claimed yes I no STATE OR SHEETS DRAWING CLAIMS COUNTRY B Add TX B ADDRESS						
33438						
TITLE Complex configuration	processing using configuration	on sub-models				
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U.S. Patent and Trademark Office

Part of Paper No. 8252006

### Page 82 of 507

### FORD 1004



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Applic	ation/	Cont	rol No.
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Applicant(s)/Patent under Reexamination

10/957,919 Examiner LITTLE ET AL. Art Unit

Peter Coughlan

2129

SEARCH NOTES

SEARCHED					
Class	Class Subclass Date Examiner				
706	1	8/25/2006	PDC		
706	15	8/25/2006	PDC		
706	45	8/25/2006	PDC		
700	1	8/25/2006	PDC		
700	90	8/25/2006	PDC		
707	3	8/25/2006	PDC		
707	10	8/25/2006	PDC		
709	218	8/25/2006	PDC		
INIT	ERFERENC	CE SEARCH	ED		
Class	Subclass	Date	Examiner		

(INCLUDING SEARCH STRATEGY)			
	DATE	EXMR	
Eastmultimedia, knowledgebase, structure, query, sub-query, model, sub0model, answer, sub-answer, processor, cpu	8/25/2006	PDC	
EastIIcentral procesing unit, rules, spcification, elements, sub-elements, database, overlap, common range	8/25/2006	PDC	
EastIIIcombining answers, matching, retrieving, images, requirements	8/25/2006	PDC	
IEEENathan E. Little, Brandon M. Beck, Brian K. Showers, combining answers, matching, retrieving, images, requirements	8/25/2006	PDC	
IEEEmultimedia, knowledgebase, structure, query, sub-query, model, sub0model, answer, sub-answer, processor, cpu	8/25/2006	PDC	
IEEEcentral procesing unit, rules, spcification, elements, sub-elements, database, overlap, common range	8/25/2006	PDC	
Inventors Nathan E. Little, Brandon M. Beck, Brian K. Showers	8/25/2006	PDC	
709/218 with images, queries, sub- queries, elements, structure	8/25/2006	PDC	

Part of Paper No. 8252006

U.S. Patent and Trademark Office

FORD 1004

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Applicant(s): Nathan E. Little, Brandon M. Beck, Brian K. Showers Assignee: Trilogy Development Group, Inc. Title: **Complex Configuration Processing Using Configuration Sub-Models** Serial No.: 10/957,919 Filing Date: October 4, 2004 Examiner: Peter D. Coughlan Group Art Unit: 2129 Docket No.: T00121 Customer No.: 33438

Austin, Texas February 28, 2007

### ELECTRONICALLY FILED

### **RESPONSE TO NON-FINAL OFFICE ACTION**

Dear Sir:

This paper is responsive to the Office Action dated September 1, 2006, having a shortened statutory period expiring December 1, 2006. Accompanying this response is a petition under 37 C.F.R. § 1.136 for extension of time by three (3) months setting a new time for response of March 1, 2007. Further examination and reconsideration are respectfully requested in view of the amendments and remarks set forth below.

### AMENDMENTS TO THE CLAIMS

1	1. (Original) A method for using computer assisted configuration technology
2	to solve product configuration problems using configuration sub-models, the method
3	comprising:
4	processing one or more configuration queries using configuration sub-models,
5	wherein the configuration sub-models collectively model a configurable
6	product; and
7	generating an answer to the configuration problem based upon the processed one
8	or more configuration queries and the configuration sub-models.
1	2. (Original) The method of claim 1 further comprising:
2	dividing a configuration query into multiple configuration sub-queries, wherein
3	the one or more configuration queries include the multiple configuration
4	sub-queries.
1	3. (Original) The method of claim 2 wherein the product configuration
2	problems include a configuration completion problem and when solving the configuration
3	completion problem, and processing one or more configuration queries further comprises:
4	processing each sub-query using at least one configuration sub-model per sub-
5	query.
1	4. (Original) The method of claim 2 further comprising:
2	processing each sub-query using multiple configuration sub-models per sub-
3	query.
1	5. (Original) The method of claim 2 wherein the product configuration
2	problems include a configuration validation problem and when solving the configuration
3	validation problem, and processing one or more configuration queries further comprises:
4	processing an undivided query using different configuration sub-models until a
5	configuration validation answer can be determined.

1	6.	(Original) The method of claim 2 wherein the data collectively included in
2	the configura	ation sub-models is sufficient to provide an answer for each of the sub-
3	queries being	g processed.
1	7.	(Original) The method of claim 2 wherein at least two sub-queries include
2	overlapping	information.
1	8.	(Original) The method of claim 2 wherein:
2	divid	ing a consolidated configuration model into multiple configuration sub-
3		models comprises dividing the configuration sub-models in accordance
4		with a predetermined data structure; and
5	divid	ing a configuration query into multiple configuration sub-queries further
6		comprises dividing the sub-queries in accordance with the sub-model
7		structure.
1	9.	(Currently Amended) The method of claim 8 wherein the predetermined
2	data structur	e comprises a data structure divided along configuration model family lines
3	part groups,	wherein the part groups are a collection of related parts.
1	10.	(Original) The method of claim 1 wherein generating an answer to the
2	configuration	n problem based upon the processed one or more configuration queries and
3	the configura	ation sub-models further comprises:
4	gener	rating a sub-answer for each processed configuration sub-model; and
5	comb	bining each sub-answer to generate the answer.
1	11.	(Original) The method of claim 1 further comprising:
2	divid	ing a consolidated configuration model into the configuration sub-models.

-3 of 19-

1	12. (Currently Amended) The method of claim 11 wherein dividing the
2	consolidated configuration model into multiple configuration sub-models further
3	comprises:
4	dividing the configuration model sufficiently so that complexity of each
5	configuration sub-model is low enough to allow processing using
6	available data processing capabilities of the computer assisted
7	configuration technology while still representing the relationships
8	included in the consolidated configuration model.
1	13. (Original) The method of claim 11 wherein each configuration sub-model
2	represents a portion of the consolidated configuration model.
1	14. (Original) A method for using computer assisted configuration technology
2	to solve product configuration problems using configuration sub-models, the method
2	comprising:
<i>3</i>	dividing a consolidated configuration model into multiple configuration sub-
5	models;
6	processing one or more configuration queries using the configuration sub-models;
7	and
8	generating an answer to the configuration problem based upon the processed one
9	or more configuration queries and the configuration sub-models.
1	15. (Original) A computer system to implement an inference procedure for
2	solving product configuration problems using configuration sub-models, the system
3	comprising:
4	a processor; and
5	a storage medium having data encoded therein, the data comprising processor
6	executable code for:
7	processing one or more configuration queries using configuration sub-
8	models, wherein the configuration sub-models collectively model a
9	configurable product; and

-4 of 19-

10		generating an answer to the configuration problem based upon the
11		processed one or more configuration queries and the configuration
12		sub-models.
1	1.6	
1	16.	(Original) The computer system of claim 15 wherein the data further
2	• •	ocessor executable code for:
3	dividi	ng a configuration query into multiple configuration sub-queries, wherein
4		the one or more configuration queries include the multiple configuration
5		sub-queries.
1	17.	(Original) The computer system of claim 16 wherein the product
2	configuration	problems include a configuration completion problem and when solving the
3	configuration	completion problem, and the code for processing one or more configuration
4	queries furthe	er comprises:
5	proces	ssing each sub-query using at least one configuration sub-model per sub-
6		query.
1	18.	(Original) The computer system of claim 16 wherein the data further
2	comprises pro	ocessor executable code for:
3	proces	ssing each sub-query using multiple configuration sub-models per sub-
4		query.
1	10	(Original) The commuter system of alaim 16 wherein the meduat
1	19.	(Original) The computer system of claim 16 wherein the product
2	Ū.	problems include a configuration validation problem and when solving the
3	e	validation problem, and the code for processing one or more configuration
4	queries furthe	-
5	proce	ssing an undivided query using different configuration sub-models until a
6		configuration validation answer can be determined.
1	20.	(Original) The computer system of claim 16 wherein the data collectively
2	included in th	ne configuration sub-models is sufficient to provide an answer for each of
3	the sub-queri	es being processed.

1	21.	(Original) The computer system of claim 16 wherein at least two sub-
2	queries incluc	le overlapping information.

1	22.	(Original) The computer system of claim 16 wherein:
2	the co	de for dividing a consolidated configuration model into multiple
3		configuration sub-models comprises code for dividing the configuration
4		sub-models in accordance with a predetermined data structure; and
5	the co	de for dividing a configuration query into multiple configuration sub-
6		queries further comprises code for dividing the sub-queries in accordance
7		with the sub-model structure.
1	23.	(Currently Amended) The computer system of claim 22 wherein the
2	predetermine	d data structure comprises a data structure divided along configuration
3	model <del>family</del>	lines part groups, wherein the part groups are a collection of related parts.
1	24.	(Original) The computer system of claim 15 wherein the code for
2	generating an	answer to the configuration problem based upon the processed one or more
3	configuration	queries and the configuration sub-models further comprises code for:
4	genera	ating a sub-answer for each processed configuration sub-model; and
5	combi	ning each sub-answer to generate the answer.
1	25.	(Currently Amended) The computer system of claim 15 wherein the code
2	for dividing the	he consolidated configuration model into multiple configuration sub-models
3	further comp	rises code for:
4	dividi	ng the configuration model sufficiently so that complexity of each
5		configuration sub-model is low enough to allow processing using
6		available data processing capabilities of the computer system while still
7		representing the relationships included in the consolidated configuration
8		model.

-6 of 19-

26.	(Original) The computer system of claim 15 wherein the data further
comprises pro	ocessor executable code for:
dividi	ng a consolidated configuration model into the configuration sub-models.
27.	(Currently Amended) The computer system of claim 26 wherein the code
for dividing the	he consolidated configuration model into multiple configuration sub-models
further compr	rises code for:
dividi	ng the configuration model sufficiently so that complexity of each
	configuration sub-model is low enough to allow processing using
	available data processing capabilities of the computer system while still
	representing the relationships included in the consolidated configuration
	model.
28.	(Original) The computer system of claim 26 wherein each configuration
sub-model rej	presents a portion of the consolidated configuration model.
29	(Original) A computer system to implement an inference procedure for
	configuration problems using configuration sub-models, the system
• •	et configuration problems using configuration sub-models, the system
	essor; and
-	age medium having data encoded therein, the data comprising processor
<i>w</i> 50010	executable code for:
	dividing a consolidated configuration model into multiple configuration
	sub-models;
	processing one or more configuration queries using the configuration sub-
	models; and
	generating an answer to the configuration problem based upon the
	processed one or more configuration queries and the configuration
	sub-models.
	comprises pro- dividi 27. for dividing the further compre- dividi 28. sub-model rep 29. solving produc comprising: a proc

1	30.	(Currently Amended) A computer storage medium comprising data
2	embedded the	erein to cause a computer system to solve product configuration problems
3	using configu	ration sub-models, wherein the data comprises processor executable code
4	for:	
5	proces	ssing one or more configuration queries using configuration sub-models,
6		wherein the configuration sub-models collectively model a configurable
7		product; and
8	genera	ating an answer to the configuration problem based upon the processed one
9		or more configuration queries and the configuration sub-models.
1	31.	(Original) The computer storage medium of claim 30 wherein the data
2	further compr	rises processor executable code for:
3	dividi	ng a configuration query into multiple configuration sub-queries, wherein
4		the one or more configuration queries include the multiple configuration
5		sub-queries.
1	20	(Onicinal) The commuter stemps and time of claim 21 when in the number
1	32.	(Original) The computer storage medium of claim 31 wherein the product
2	-	problems include a configuration completion problem and when solving the
3	configuration	completion problem, and the code for processing one or more configuration
4	queries furthe	er comprises:
5	proces	ssing each sub-query using at least one configuration sub-model per sub-
6		query.
	22	
1	33.	(Original) The computer storage medium of claim 31 wherein the data
2	•	rises processor executable code for:
3	proces	ssing each sub-query using multiple configuration sub-models per sub-
4		query.

1	34.	(Original) The computer storage medium of claim 31 wherein the product
2	configuration	problems include a configuration validation problem and when solving the
3	configuration	validation problem, and the code for processing one or more configuration
4	queries furthe	er comprises:
5	proces	ssing an undivided query using different configuration sub-models until a
6		configuration validation answer can be determined.
1	25	
1	35.	(Original) The computer storage medium of claim 31 wherein the data
2	-	ncluded in the configuration sub-models is sufficient to provide an answer
3	for each of th	e sub-queries being processed.
1	36.	(Original) The computer storage medium of claim 31 wherein at least two
2	sub-queries ir	nclude overlapping information.
1	37.	(Original) The computer storage medium of claim 31 wherein:
2	the co	de for dividing a consolidated configuration model into multiple
3		configuration sub-models comprises code for dividing the configuration
4		sub-models in accordance with a predetermined data structure; and
5	the co	de for dividing a configuration query into multiple configuration sub-
6		queries further comprises code for dividing the sub-queries in accordance
7		with the sub-model structure.
1	28	(Compating Among to 1) The compating of the second se
1	38.	(Currently Amended) The computer storage medium of claim 37 wherein
2	•	ined data structure comprises a data structure divided along configuration
3	model <del>family</del>	lines part groups, wherein the part groups are a collection of related parts.
1	39.	(Original) The computer storage medium of claim 30 wherein the code for
2		answer to the configuration problem based upon the processed one or more
3	• •	queries and the configuration sub-models further comprises code for:
4	•	ating a sub-answer for each processed configuration sub-model; and
5	•	ning each sub-answer to generate the answer.

1	40.	(Currently Amended) The computer storage medium of claim 30 wherein
2	the code for d	ividing the consolidated configuration model into multiple configuration
3	sub-models fi	arther comprises code for:
4	dividi	ng the configuration model sufficiently so that complexity of each
5		configuration sub-model is low enough to allow processing using
6		available data processing capabilities of the computer system while still
7		representing the relationships included in the consolidated configuration
8		model.
1	41.	(Original) The computer storage medium of claim 30 wherein the data
2	further compr	ises processor executable code for:
3	dividi	ng a consolidated configuration model into the configuration sub-models.
1	42.	(Currently Amended) The computer storage medium of claim 41 wherein
2	the code for d	ividing the consolidated configuration model into multiple configuration
3	sub-models fu	arther comprises code for:
4	dividi	ng the configuration model sufficiently so that complexity of each
5		configuration sub-model is low enough to allow processing using
6		available data processing capabilities of the computer system while still
7		representing the relationships included in the consolidated configuration
8		model.
1	43.	(Original) The computer storage medium of claim 41 wherein each
2	configuration	sub-model represents a portion of the consolidated configuration model.
1	44.	(Currently Amended) A computer storage medium comprising data
2	embedded the	rein to cause a computer system to solve product configuration problems
3	using configu	ration sub-models, wherein the data comprises code for:
4		dividing a consolidated configuration model into multiple configuration
5		sub-models;

6	processing one or more configuration queries using the configuration sub-
7	models; and
8	generating an answer to the configuration problem based upon the
9	processed one or more configuration queries and the configuration
10	sub-models.
1	45. (Original) A computer system to implement an inference procedure for
2	solving product configuration problems using configuration sub-models, the system
3	comprising:
4	means for processing one or more configuration queries using configuration sub-
5	models, wherein the configuration sub-models collectively model a
6	configurable product; and
7	means for generating an answer to the configuration problem based upon the
8	processed one or more configuration queries and the configuration sub-
9	models.
1	46. (Original) The computer system of claim 45 further comprising:
2	means for dividing a consolidated configuration model into the configuration sub-
3	models.
1	47. (New) The method of claim 1 further comprising:
2	generating data to display the answer on an electronic display medium.
1	48. (New) The method of claim 1 further comprising:
2	displaying the answer on an electronic display medium.
1	49. (New) The method of claim 1 wherein the configuration sub-models each
2	comprise data and rules to define compatibility relationships between parts included in a
3	product.
1	50. (New) The method of claim 1 wherein the configuration problem
2	comprises a configuration problem involving parts of a product.

### **REMARKS**

Claims 1-46 are pending.

Claims 1-46 stand rejected.

Claims 9, 12, 23, 25, 27, 30, 38, 40, 42, and 44 have been amended for clarity and not for reasons of patentability.

Claims 47-50 have been added.

### **Specification Rejections**

The Examiner has rejected the Specification because claims 12, 25, 27, 40, and 42 use the term "low enough". Office Action, p. 2. "This is not defined, addressed, or explained in the specification." *Id*.

Referring to Figure 5 and para. 25 of the Specification of the present application, the Specification recites:

Figure 5 depicts the data processing capability of a computer system being used to configure a product versus configuration sub-model and sub-query complexity. In general, the consolidated configuration model 412 is divided sufficiently so that the complexity of each configuration sub-model CM1, CM2, through CMn is low enough to allow processing using available data processing capabilities while still representing the relationships included in the consolidated configuration model 412, which, in this embodiment, would otherwise not be cable of being processed by the computer system. Present Application, para. 25. (emphasis added).

For clarity, Applicants have amended claims 12, 25, 27, 40, and 42 to clarify that "low enough to allow processing using available data processing capabilities" refers to "dividing the configuration model sufficiently so that complexity of each configuration sub-model is low enough to allow processing using available data processing capabilities of the computer system ["computer assisted configuration technology" - claim 12] while

still representing the relationships included in the consolidated configuration model." Claims 12, 25, 27, 40, and 42.

The Specification has also been rejected because "Claims 9, 23, [and] 30 use the term "family lines". Applicants respectfully submit that "family lines" is addressed in paragraph 28, 36, and Tables 3, 4, and 5. However, for clarity, Applicants have amended claims 9, 23, and 30 to replace "family lines" with "part groups, wherein the part groups are a collection of related parts." Support for the amendment can be found, for example, in para. 3. Applicants respectfully submit that the invention is defined by the claims and not by specific descriptive embodiments recited in the Specification.

Accordingly, Applicants respectfully submit that the specification including the claims meet the requirements of 35 U.S.C. § 112 and, particularly, paras. 1 and 2 of § 112.

Accordingly, Applicants respectfully request withdrawal of the rejection.

#### Claim Rejections – 35 U.S.C. § 101

Claims 1-46 stand rejected under 35 U.S.C. § 101. Applicants respectfully traverse the rejection.

The Examiner stated that "solving product configuration" is an abstract idea and that what is needed is 'solving product configuration for the purpose of \_\_\_\_\_.' Office Action, p. 3.

The Supreme Court in *Gottschalk v. Benson* reviewed a method claim directed towards "converting signals from binary coded decimal form into binary." *Gottschalk v. Benson*, 409 U.S. 63, 175 USPQ 673 (1972). The Court held that, "The mathematical formula involved here has no substantial practical application except in connection with a digital computer." Applicants respectfully submit that the claims of the present application are not directed towards an abstract idea. To the contrary, the claims are directed towards a very practical purpose, namely "to solve product configuration problems using configuration sub-models." Claim 1, 14, 15, 29, 30, 44, and 45.

-13 of 19-

Furthermore, Applicants respectfully submit that "an answer to the configuration problem based upon the processed one or more configuration queries and the configuration submodels" represents a useful, concrete, and tangible result of "processing one or more configuration queries using configuration sub-models, wherein the configuration submodels collectively model a configurable product."

In *State Street Bank*, the Federal Circuit stated that, "Unpatentable mathematical algorithms are identifiable by showing they are merely abstract ideas constituting disembodied concepts or truths that are not "useful"." *State Street Bank & Trust Company v. Signature Financial Group, Inc.*, 149 F.3d 1368 (Fed. Cir. 1998). "From a practical standpoint, this means that to be patentable an algorithm must be applied in a "useful" way." *Id.* 

In *State Street Bank*, the invention transformed data, representing discrete dollar amounts, into a final share price represented statutory subject matter. More specifically, the Federal Circuit held that:

the transformation of data, representing discrete dollar amounts, by a machine through a series of mathematical calculations into a final share price, constitutes a practical application of a mathematical algorithm, formula, or calculation, because it produces "a useful, concrete and tangible result"—a final share price momentarily fixed for recording and reporting purposes and even accepted and relied upon by regulatory authorities and in subsequent trades. (emphasis added).

Similarly, claim 1 recites "using a computer assisted configuration technology to solve product configuration problems using configuration sub-models", "processing one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model a configurable product,", and "generating an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models."

Thus, Applicants respectfully submit that the invention of claim 1 is <u>applied in a</u> <u>useful way</u>, i.e. "processing one or more configuration queries using configuration submodels, wherein the configuration sub-models collectively model a configurable product"

to produce a useful, concrete, and tangible result, i.e. the "answer to the configuration problem". Applicants respectfully submit that the same remarks apply to the other independent claims and, by extension, to all dependent claims.

The Examiner asks, "Is the purpose for processing queries for a car search on the Internet?", "[d]ividing a consolidated configuration model really the grid of intersections of a city with stop lights and the invention solves the best timing for all the lights for maximum traffic flow?", "[g]enerating an answer based upon queried and sub-models for the engineering parameters for a bridge?" Office Actino, pp. 3-4. Certainly the scope of configuration problems can be wide ranging. However, Applicants respectfully submit that whether the claims are directed towards an abstract idea is not an issue of scope but rather whether the claims recite "disembodied concepts or truths that are not "useful"." State Street Bank, 149 F.3d 1368 (Fed. Cir. 1998). As stated above, Applicants respectfully submit that the claims are directed towards a very practical purpose, namely "to solve product configuration problems using configuration sub-models." Claims 1, 14, 15, 29, 30, 44, and 45. Furthermore, Applicants respectfully submit that "an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models" represents a useful, concrete, and tangible result of "processing one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model a configurable product."

Accordingly, Applicants respectfully submit that claims 1, 14, 15, 29, 30, 44, and 45 are directed towards statutory subject matter and not simply towards an abstract idea or mathematical algorithm. See *State Street Bank & Trust Co. v. Signature Fin. Group, Inc.*, 149 F.3d 1368, 47 U.S.P.Q.2d 1596 (Fed. Cir. 1998) (holding that the transformation of data, representing discrete dollar amounts, by a machine through a series of mathematical calculations into a final share price, constitutes a practical application of a mathematical algorithm, formula, or calculation, because it produces "a useful, concrete and tangible result"—a final share price momentarily fixed for recording and reporting purposes and even accepted and relied upon by regulatory authorities and in subsequent trades.) See also, *In re Alappat*, 33 F.3d 1526, 31 USPQ2d 1545 (Fed. Cir. 1994) (en banc) (holding that data, transformed by a machine through a series of

-15 of 19-

mathematical calculations to produce a smooth waveform display on a rasterizer monitor, constituted a practical application of an abstract idea (a mathematical algorithm, formula, or calculation), because it produced "a useful, concrete and tangible result"—the smooth waveform.)

Applicants respectfully request withdrawal of the rejection.

### Claim Rejections - 35 U.S.C. § 102

Claims 1-6, 8-20, 22-35, and 37-46 stand rejected under 35 U.S.C. § 102(b) as being anticipated by Publication No. 20030187950 to Rising (hereinafter "*Rising*"). Applicants respectfully traverse the rejection.

*Rising* teaches an apparatus that include "an MPEG-7 content description query generation tool coupled to a search engine configured for searching and comparing embedded MPEG-7 META tag information within file headers, or database information thereof, to the MPEG-7 content description query." *Rising*, Abstract.

Claim 1 of the present application recites:

A method for using computer assisted configuration technology to solve product configuration problems using configuration sub-models, the method comprising:

processing one or more configuration queries using configuration submodels, wherein the configuration sub-models collectively model a configurable product; and

generating an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models.

### **FORD 1004**

In the rejection of Claims 1, 14, 15, 29, 30, 44, and 45, the Examiner has characterized several alleged equivalents between *Rising* and Claims 1, 14, 15, 29, 30, 44, and 45. For convenience, Applicants have presented a subset of the alleged equivalents in Table 1 below:

Alleged Equivalencies between Claim terms and <i>Rising</i>						
Claim Term		Examiner's Interpretation of <i>Rising</i>				
Sub-models	=	Terms A, B, C				
Configuration Sub-models	=	Item 208				

Table	1
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Applicants respectfully disagree with the Examiner's characterization of the teachings and suggestions of *Rising*. Although Applicants disagree with a number of the Examiner's characterizations of the teachings and suggestions of *Rising*, Applicants respectfully submit that if any of the Examiner's characterizations of the teachings and suggestions or *Rising* are not supported by *Rising* then a *prima facie* case of anticipation under 35 U.S.C. § 102 cannot be supported.

*Rising* teaches that "Terms A, B, C" are "query terms". *Rising*, para. 65. For example, *Rising* teaches that, "Query term "A" 178 can be described by a descriptive name field 180 and it can receive a series of query elements within a query element entry field 182." "Similar information is provided for a term "B"" and term C. *Id. Rising* further teaches that <u>item 208 is "a query statement field"</u> that connects terms in a multi-term query. *Id.* 

Applicants respectfully submit that the "configuration sub-models" recited in Claims 1, 14, 15, 29, 30, 44, and 45 are clearly not query terms or multi-term queries. Claims 1, 14, 15, 29, 30, 44, and 45 recite "processing one or more configuration queries using configuration sub-models." <u>Accordingly, configuration "queries" and</u>

<u>configuration "sub-models" are distinct terms</u> as used in claims 1, 14, 15, 29, 30, 44, and 45. Since "queries" and "sub-models" are demonstratively distinct within claims 1, 14, 15, 29, 30, 44, and 45 and *Rising* teaches that Terms A, B, and C and item 208 (or the contents therein) are queries, Applicants respectfully submit that Terms A, B, and C and item 208 cannot be the equivalent of the configuration sub-models of claims 1, 14, 15, 29, 30, 44, and 45. Thus, Applicants respectfully submit that *Rising* neither teaches nor suggests the present invention of claims 1, 14, 15, 29, 30, 44, and 45.

Accordingly, for at least the foregoing reasons, Applicants respectfully request withdrawal of the rejection of claims 1, 14, 15, 29, 30, 44, and 45. For at least the same reasons, Applicants respectfully request withdrawal of the rejection of claims directly or indirectly dependent upon claims 1, 14, 15, 29, 30, 44, and 45.

### Claim Rejections – 35 U.S.C. § 103

Claims 7, 21, and 36 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Rising in view of U.S. Patent No. 6,721,748 issued to Knight (hereinafter "*Knight*").

*Knight* relates to, "An intelligent data content provider system and method for subscriber postings and queries are monitored and evaluated to determine what types of content to retrieve, how to organize such content, and how to present the same." *Knight*, Abstract.

Claim 7 indirectly depends from independent claim 1, independent claim 21 indirectly depends from claim 15, and claim 36 indirectly depends from independent claim 30. For at least the same reasons presented above with respect to claims 1, 15, and 30, Applicants respectfully request withdrawal of the rejection of claims 7, 21, and 36.

#### **CONCLUSION**

In view of the amendments and remarks set forth herein, the application is believed to be in condition for allowance and a notice to that effect is solicited.

Nonetheless, should any issues remain that might be subject to resolution through a telephonic interview, the examiner is requested to telephone the undersigned.

ELECTRONICALLY FILED

Respectfully submitted,

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Nathan E. Little, Brandon M. Beck, Brian K. Showers						
Assignee:	Trilogy Development Group, Inc.						
Title:	Complex Configuration Proc	cessing Using Conf	figuration Sub-Models				
Serial No.:	10/957,919	Filing Date:	October 4, 2004				
Examiner:	Peter D. Coughlan	Group Art Unit:	2129				
Docket No.:	T00121	Customer No.:	33438				

Austin, Texas March 1, 2007

### ELECTRONICALLY FILED

### PETITION FOR EXTENSION OF TIME

Dear Sir:

Applicants respectfully petition for a three (3) month extension of time within which to respond to the Office Action mailed September 1, 2006, such extension allowing the undersigned until March 1, 2007, to respond.

The extension fee is being paid via the USPTO EFS. The Commissioner is authorized to deduct any additional fees which may be required or credit any overpayment to Deposit Account No. 502264.

FILED ELECTRONICALLY March 1, 2007 Respectfully submitted,

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839

Electronic Patent Application Fee Transmittal						
Application Number:	10957919					
Filing Date:	04	-Oct-2004				
Title of Invention:		Complex configuration processing using configuration sub-models				
First Named Inventor/Applicant Name:	Na	athan E. Little				
Filer:	Ke	ent Bryan Chambe	rs			
Attorney Docket Number:	тс	0121				
Filed as Large Entity						
Utility Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Claims in excess of 20		1202	4	50	200	
Miscellaneous-Filing:	Miscellaneous-Filing:					
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Page 104 of 507					FORD 1004	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Extension - 3 months with \$0 paid	1253	1	1020	1020		
Miscellaneous:						
	1220					

Electronic Acknowledgement Receipt						
EFS ID:	1558344					
Application Number:	10957919					
International Application Number:						
Confirmation Number:	9162					
Title of Invention:	Complex configuration processing using configuration sub-models					
First Named Inventor/Applicant Name:	Nathan E. Little					
Customer Number:	33438					
Filer:	Kent Bryan Chambers					
Filer Authorized By:						
Attorney Docket Number:	T00121					
Receipt Date:	01-MAR-2007					
Filing Date:	04-OCT-2004					
Time Stamp:	18:36:07					
Application Type:	Utility					

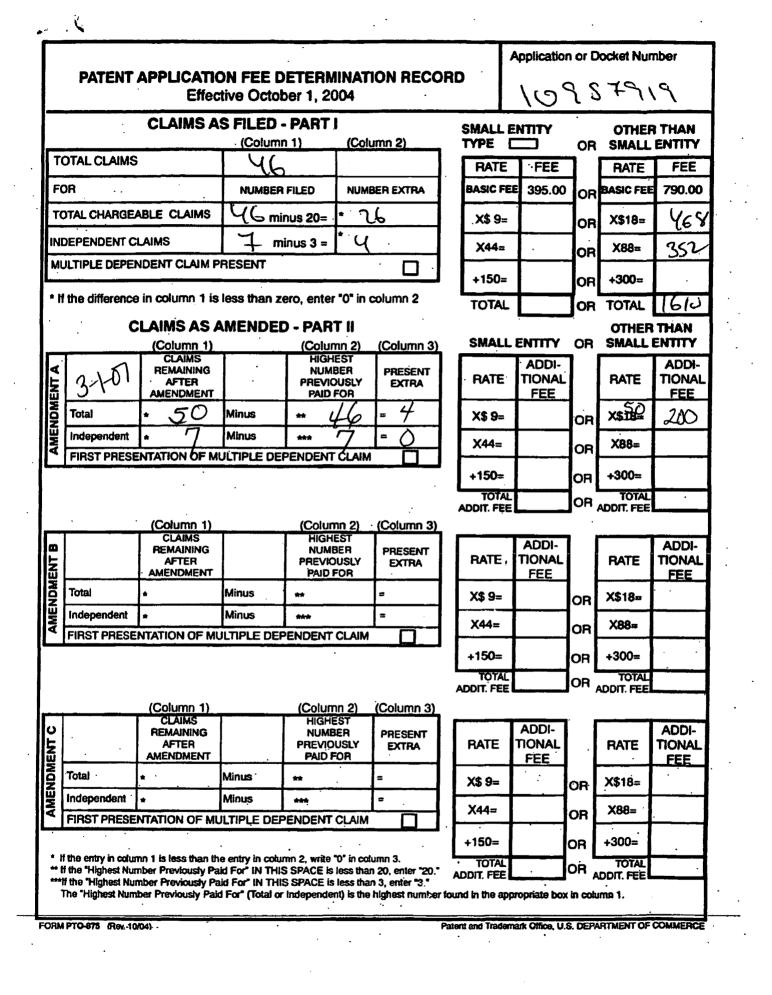
# Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 1220
RAM confirmation Number	922
Deposit Account	

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
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1	Amendment - After Non-Final Rejection	T000121_ROA_9_1_06.pdf	146706	no	19				
Warnings:									
Information:									
2	Extension of Time	T00121_Extension.pdf	20815	no	1				
Warnings:									
Information	:								
3	Fee Worksheet (PTO-06)	fee-info.pdf	8297	no	2				
Warnings:									
Information	:								
		Total Files Size (in bytes):	1	75818					
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.									
National Stage of an International Application under 35 U.S.C. 371         If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.         New International Application Filed with the USPTO as a Receiving Office         If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.									



Page 108 of 507

### **FORD 1004**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
		@pd<"20041004" and multimedia and ("knowledge base" or knowledgebase) and structure and query and (subquery or sub-query or "sub query)	US-PGPUB; USPAT	S	NO	2007/04/21 10:56
3	4	<pre>@pd&lt;"20041004" and multimedia and ("knowledge base" or knowledgebase) and structure and query and (subquery or sub-query or "sub query")</pre>	US-PGPUB; USPAT	OR	NO	2007/04/21 10:56
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L8	1834	@pd<"20041004" and (database with retrieving) and images and requirement	US-PGPUB; USPAT	SO	NO	2007/04/21 11:01
൭	620	<pre>@pd&lt;"20041004" and (database with retrieving) and (database with image) and requirement</pre>	US-PGPUB; USPAT	S	NO	2007/04/21 11:02
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L12	m	@pd<"20041004" and (((model with configuration) with problem) same rule)	US-PGPUB; USPAT	S	NO	2007/04/21 11:04
L13	0	710/8.ccls and @pd<"20041004"	US-PGPUB; USPAT	OR	NO	2007/04/21 11:04
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**EAST Search History** 

Page 109 of 507

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L20	85	700/30.ccls. and @pd<"20041004" and model and configuration	US-PGPUB; USPAT	ĸ	NO	2007/04/21 11:05
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L22	95	706/46.ccls. and @pd<"20041004" and model and configuration and rule	US-PGPUB; USPAT	Ŋ	NO	2007/04/21 11:06
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125	372	124 or 123 or 122 or 121 or 120 or 119 or 117	US-PGPUB; USPAT	ĸ	NO	2007/04/21 11:07

**EAST Search History** 

Page 110 of 507

FORD 1004

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Page 2

	ed States Patent a	AND TRADEMARK OFFICE	UNITED STATES DEPAR United State Patent and Address: COMMISSIONER F P.O. Box 1930 Alexandria Virginia 22: www.spio.gov		
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/957,919	10/04/2004	Nathan E. Little	T00121	9162	
	7590 04/26/2007 2 TERRILE, LLP		EXAM	INER	
P.O. BOX 2035	518	, ,	COUGHLAN	I, PETER D	
AUSTIN, TX 7	8720		ART UNIT	PAPER NUMBER	
			2129		
SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE	DELIVER	Y MODE	
3 MOI	NTHS	04/26/2007	PAPER		

## Please find below and/or attached an Office communication concerning this application or proceeding.

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If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Applicant(s)
Peter Coughian     A SHORTEINED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3     WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMU     Extensions of time many be available under the provisions of 37 CFR 1.136(a). In no event, however, marker Sty (b) MONTHS from the mailing date of this communication.     If NO period for reply is specified above, the maximum statutory period will apply and will apply and will apply and will apply and will apply solved by the Office later than three months after the mailing date of this communication, ever earned patent term adjustment. See 37 CFR 1.704(b).     Status     1) Responsive to communication(S) filed on <u>01 March 2007</u> .     2a) This action is FINAL. 2b) This action is non-final.     3) Since this application is in condition for allowance except for formal m closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C     Disposition of Claims     4) Claim(s) <u>1.50</u> is/are pending in the application.     4a) Of the above claim(s) is/are withdrawn from consideration.     5) Claim(s) <u>1.50</u> is/are rejected.     7) Claim(s) <u>1.50</u> is/are rejected to.     8) Claim(s) <u>1.50</u> is/are rejected to.     8) Claim(s) filed on <u>04 October 2004</u> is/are: a) A accepted or b) Applicant may not request that any objection to the drawing(s) be held in abe Replacement drawing subject(s) including the correction is required if the draw     1) The oath or declaration is objected to by the Examiner. Note the attace     Priority under 35 U.S.C. § 119     12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C      a) All b) Some * c) None of:     1. Certified copies of the priority documents have been received.     2. Certified copies of the priority documents have been received i     3. Copies of the certified copies of the priority documents have been received i     3. Copies of the certified copies of the priority docu	LITTLE ET AL.
- The MAILING DATE of this communication appears on the cover sheel Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE	Art Unit
- The MAILING DATE of this communication appears on the cover sheel Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE	2129
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMU ■ Educations of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, main and Dox 6(b) for mpty from the main mean mean mean mean mean mean mean mea	
WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUTE         • Entension of time may be available and the provisions of 37 CFR 1.36(a). In an event, however, mains dirf SX (b) MOTHS from the mailing date of this communication values is SX (b) MOTHS from the set or extended period for reply will, by statute, cause the application to becom Any reply received by the Office later than three moths after the mailing date of this communication, evenenced patent term adjustment. See 37 CFR 1.704(b).         Status       1) Responsive to communication(s) filed on <u>01 March 2007</u> .         2a) This action is FINAL.       2b) This action is non-final.         3) Since this application is in condition for allowance except for formal r closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 (2)         Disposition of Claims         4) Claim(s) <u>1-50</u> is/are pending in the application.         4a) Of the above claim(s) is/are withdrawn from consideration.         5) Claim(s) <u>1-50</u> is/are rejected.         7) Claim(s) is/are objected to.         8) Claim(s) <u>1-50</u> is/are rejected.         7) Claim(s) is/are objected to by the Examiner.         10) The drawing(s) filed on <u>04 October 2004</u> is/are: a) accepted or b) Applicant may not request that any objection to the drawing(s) be held in abe Replacement drawing sheet(s) including the correction is required if the draw         11) The oath or declaration is objected to by the Examiner. Note the attacc         20] Acknowledgment is made of a claim for foreign priority under 35 U.S.C.         a) All	
<ul> <li>1) Responsive to communication(s) filed on <u>01 March 2007</u>.</li> <li>2a) This action is FINAL. 2b) This action is non-final.</li> <li>3) Since this application is in condition for allowance except for formal m closed in accordance with the practice under <i>Ex parte Quayle</i>, 1935 (20)</li> <li>Disposition of Claims</li> <li>4) Claim(s) <u>1-50</u> is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5) Claim(s) <u>1-50</u> is/are nejected.</li> <li>7) Claim(s) is/are objected to.</li> <li>8) Claim(s) <u>1-50</u> is/are rejected.</li> <li>7) Claim(s) is/are objected to.</li> <li>8) Claim(s) <u>1-50</u> is/are pendict to restriction and/or election requirement.</li> <li>Application Papers</li> <li>9) The specification is objected to by the Examiner.</li> <li>10) The drawing(s) filed on <u>04 October 2004</u> is/are: a) accepted or b) Applicant may not request that any objection to the drawing(s) be held in abe Replacement drawing sheet(s) including the correction is required if the draw 11) The oath or declaration is objected to by the Examiner. Note the attact Priority under 35 U.S.C. § 119</li> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C a) All b) Some * c) None of: <ol> <li>Copies of the certified copies of the priority documents have been received is application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies of the certified copies of the priority documents have been application from the International Bureau (PCT Rule 17.2(a)).</li> </ol> </li> </ul>	JNICATION. ay a reply be timely filed MONTHS from the mailing date of this communication. ne ABANDONED (35 U.S.C. § 133).
<ul> <li>2a) ∑ This action is FINAL. 2b) ☐ This action is non-final.</li> <li>3) ☐ Since this application is in condition for allowance except for formal m closed in accordance with the practice under <i>Ex parte Quayle</i>, 1935 (2)</li> <li>Disposition of Claims</li> <li>4) ∑ Claim(s) <u>1-50</u> is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5) ☐ Claim(s) is/are allowed.</li> <li>6) ∑ Claim(s) <u>1-50</u> is/are rejected.</li> <li>7) ☐ Claim(s) is/are objected to.</li> <li>8) ☐ Claim(s) is/are objected to.</li> <li>8) ☐ Claim(s) are subject to restriction and/or election requirement.</li> <li>Application Papers</li> <li>9) ☐ The specification is objected to by the Examiner.</li> <li>10) ∑ The drawing(s) filed on <u>04 October 2004</u> is/are: a) ∑ accepted or b) ☐ Applicant may not request that any objection to the drawing(s) be held in abe Replacement drawing sheet(s) including the correction is required if the draw.</li> <li>11) ☐ The oath or declaration is objected to by the Examiner. Note the attact</li> <li>Priority under 35 U.S.C. § 119</li> <li>12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C.</li> <li>a) ☐ All b) ☐ Some * c) ☐ None of:</li> <li>1. ☐ Certified copies of the priority documents have been received.</li> <li>2. ☐ Certified copies of the priority documents have been received i 3. ☐ Copies of the certified copies of the priority documents have been application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies of th</li></ul>	
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2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	iew Summary (PTO-413) No(s)/Mail Date
	of Informal Patent Application
Paper No(s)/Mail Date 6) Other:	·

## **Detailed Action**

1. This office action is in response to an AMENDMENT entered March 1, 2007 for the patent application 10/957919 filed on October 4, 2004.

2. The First Office Action of September 1, 2006 is fully incorporated into this Final Office Action by reference.

### Status of Claims

3. Claims 1-50 are pending.

## Claim Rejections - 35 USC § 112

4. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 12, 25, 27, 40, 42 are rejected under 35 U.S.C. 112, first paragraph, as

failing to comply with the written description requirement. The claim(s) contains subject

matter which was not described in the specification in such a way as to reasonably

convey to one skilled in the relevant art that the inventor(s), at the time the application

was filed, had possession of the claimed invention. These claims use the term 'low

enough' which is used as a value of complexity of a configuration of a sub-model such that a given computer system has the processing capabilities to handle. There is no algorithm, guidelines or system to aid in the determination the level of complexity in regards to a given computer system.

These claims must be amended or withdrawn from consideration.

## 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-50 are rejected under 35 U.S.C. 101 for nonstatutory subject matter.

The computer system must set forth a practical application of that § 101 judicial exception to produce a real-world result. <u>Benson</u>, 409 U.S. at 71-72, 175 USPQ at 676-77. Defining problem solving with models and sub-models without a practical application is nothing more than an exercise. There needs to be a purpose or a real world function for the invention. 'Solving product configuration' is an abstract concept. What is needed is a 'solving product configuration for the purpose of alpha'. The result has to be a practical application. Please see the interim guidelines for examination of patent applications for patent subject matter eligibility published November 22, 2005 in the official gazette.

In determining whether the claim is for a "practical application," the focus is not on whether the steps taken to achieve a particular result are useful, tangible and concrete, but rather that the <u>final result</u> achieved by the claimed invention is "useful, tangible and concrete." If the claim is directed to a practical application of the § 101 judicial exception producing a result tied to the physical world that does not preempt the judicial exception, then the claim meets the statutory requirement of 35 U.S.C. § 101. Is the purpose for processing queries for a car search on the Internet? Is 'dividing a consolidated configuration model' really the grid of intersections of a city with stop lights and the invention solves the best timing for all the lights for maximum traffic flow? Is 'generating an answer' based upon queries and sub-models for the engineering parameters for a bridge? If so no such results have been claimed.

The invention must be for a practical application and either:

1) specify transforming (physical thing) or

2) have the FINAL RESULT (not the steps) achieve or produce a

useful (specific, substantial, AND credible),

concrete (substantially repeatable/ non-unpredictable), AND

tangible (real world/ non-abstract) result.

A claim that is so broad that it reads on both statutory and non-statutory subject matter, must be amended.

Claims that provide an abstract concept of 'Solving product configuration' and not a result that is a real world application are not statutory. Upon reviewing the specification, the Examiner could not find a single specific real world function or

practical application which the invention would be employed. As stated within the claims and the specification, the invention has no practical application. The results

must be a practical application.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action: A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-6, 8-20, 22-35, 37-50 are rejected under 35 U.S.C. 102(b) (hereinafter referred to as **Rising**) being anticipated by Rising, U.S. Patent Publication 20030187950.

Claims 1, 14, 15, 29, 30, 44, 45

Rising anticipates a processor (**Rising**, abstract; 'Processor' of applicant is equivalent to 'search engine' of Rising.); and a storage medium having data encoded therein, the data comprising processor executable code for (**Rising**, ¶0007; 'Storage medium' of applicant is equivalent to 'database' of Rising.); dividing a consolidated configuration model into multiple configuration sub-models (**Rising**, Fig. 10 and ¶0065; Figure 10 illustrates a query builder and the contents of terms A, B and C can be seen as 'subquery'. 'Configuration model' of applicant is equivalent to 'query builder' of

Rising. 'Sub-models' of applicant is equivalent to 'Terms A, B, C' of Rising.); processing one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model a configurable product (**Rising**, Fig. 10; 'Configuration sub-models' of applicant is equivalent to item 208 of Rising. Rising illustrates using Boolean operators with sub-models for a search parameter. 'Configuration query' of applicant is equivalent to the 'set of all subqueries' of Rising. ); and generating an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models. (**Rising**, ¶0012; 'Generating an answer' of applicant is equivalent to 'search results' of Rising.)

### Claims 2, 16, 31

Rising anticipates dividing a configuration query into multiple configuration subqueries, wherein the one or more configuration queries include the multiple configuration sub-queries. (**Rising**, Figure 10 illustrates that the query builder( configuration query) is composed of multiple sub-models (Terms A, B, C). Each submodel is composed of a sub-query, so a 'configuration query' is composed of subqueries.)

### Claims 3, 17, 32

Rising anticipates processing each sub-query using at least one configuration sub-model per sub-query. (**Rising,** Fig. 10; To process a sub-query you have to use the sub-model indicator in item 208 in Rising.)

FORD 1004

### Claims 4, 18, 33

Rising anticipates processing each sub-query using multiple configuration submodels per sub-query. (**Rising**, 'Multiple configurations sub-models' of applicant is equivalent to '(A and B) or (A and C) where 'A' is used multiple times' of Rising.)

### Claims 5, 19, 34

Rising anticipates processing an undivided query using different configuration sub-models until a configuration validation answer can be determined. (**Rising, ¶**0056; 'Configuration validation' of applicant is equivalent to 'hit processing routine' of Rising.)

### Claims 6, 20, 35

Rising anticipates the data collectively included in the configuration sub-models is sufficient to provide an answer for each of the sub-queries being processed. (**Rising**, ¶0050 and Fig 12. 'Provide an answer for each of the sub-queries' of applicant is equivalent to 'query capture mechanism' of Rising.)

### Claims 8, 22, 37

Rising anticipates dividing a consolidated configuration model into multiple configuration sub-models comprises dividing the configuration sub-models in accordance with a predetermined data structure (**Rising,** Fig. 10;'Predetermined data structure' of applicant is equivalent to indicator fields used in the sub-models. For

example of indicator fields would be 'action', 'prefer', 'background' and 'location,city' of Rising.); and dividing a configuration query into multiple configuration sub-queries further comprises dividing the sub-queries in accordance with the sub-model structure. (**Rising, ¶**0057; 'Dividing a sub-query' of applicant is accomplished by the 'parser' of Rising. The 'sub-model structure' of applicant is equivalent to 'a form that is optimized for use by a string search routine' of Rising.)

#### Claims 9, 23, 38

Rising anticipates the predetermined data structure comprises a data structure divided along configuration model family lines. (**Rising,** Fig. 10 and **¶**0065; 'Predetermined data structure' of applicant is equivalent to indicator fields used in the sub-models. Examples of these data structures that are 'divided along family lines' of applicant is equivalent to 'query statement field' or 'term entry field' of Rising.)

### Claims 10, 24, 39

Rising anticipates generating a sub-answer for each processed configuration sub-model (**Rising, ¶**0050 and Fig 12. 'Generating a sub-answer' of applicant is equivalent to 'query capture mechanism' of Rising.); and combining each sub-answer to generate the answer. (**Rising,** Fig. 10; 'Combining each sub-answer' of applicant is demonstrated by item '208' in figure 10 of Rising. Rising is looking for a combination of sub-answers of 'A and B' or 'A and C'.)

Page 8

### Page 119 of 507

#### Claims 11, 26, 41, 46

Rising anticipates dividing a consolidated configuration model into the configuration sub-models. (**Rising,** Fig. 10; 'Configuration sub-models' of applicant is equivalent to 'Term A', 'Term B' and 'Term C' of Rising. 'Configuration model' of applicant is equivalent to 'query builder' of Rising. In Figure 10 of Rising illustrated that the 'query builder' is composed of 'Term A', 'Term B' and 'Term C'.)

#### Claims 12, 25, 27, 40, 42

Rising anticipates dividing the configuration model sufficiently so that complexity of each configuration sub-model is low enough to allow processing using available data processing capabilities while still representing the relationships included in the consolidated configuration model. (**Rising, ¶**0057; 'Dividing a sub-query' of applicant is accomplished by the 'parser' of Rising. The 'sub-model is low enough' of applicant is equivalent to 'a form that is optimized for use by a string search routine' of Rising.)

#### Claims 13, 28, 43

Rising anticipates each configuration sub-model represents a portion of the consolidated configuration model. (Rising, Fig. 10;'Sub-models' of applicant is equivalent to 'Term A', 'Term B' or 'Term C'. Each of these is a portion of the 'Query builder'. 'Configuration model' of applicant is equivalent to 'query builder' of Rising.)

Claim 47

Page 9

Rising anticipates generating data to display the answer on an electronic display medium. (**Rising,** ¶0015; 'Generate data to display' of applicant is equivalent to 'result display routine' of Rising.)

### Claim 48

Rising anticipates displaying the answer on an electronic display medium. (**Rising, ¶**0059; 'Displaying the answer' of applicant is accomplished by the 'browser interface' of Rising.)

### Claim 49

Rising anticipates wherein the configuration sub-models each comprise data and rules to define compatibility relationships between parts included in a product. (**Rising**, ¶0056; 'Rules to define' of applicant is equivalent to 'rules checking routine' of Rising. 'Sub-model' ('term A' of Rising) is generated by the rules in relation to the query tool.)

#### Claim 50

Rising anticipates wherein the configuration problem comprises a configuration problem involving parts of a product. (**Rising,** Fig. 2; A 'configuration problem involving parts of a problem' of applicant is equivalent to a query(inputted into item 180 being parsed by item 40 of Rising. The divided query is composed of parts of the query, which is equivalent to parts of a problem of applicant.)

#### Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 7, 21, 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over

RIsing as set forth above, in view of Knight. (U. S. Patent 6721748, referred to as

#### Knight)

Claims 7, 21, 36

Rising fails to particularly call for at least two sub-queries include overlapping information.

Knight teaches at least two sub-queries include overlapping information. (**Knight**, C16:39-54) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Rising by allowing resulting

information to be shared by different queries as taught by Knight to have at least two sub-queries include overlapping information.

For the purpose of allowing the resulting information to be flexible and thus have increased accuracy based on different queries and relationships between different queries.

## **Response to Arguments**

5. Applicant's arguments filed on March 1, 2007 for claims 1-50 have been fully

considered but are not persuasive.

### 6. In reference to the Applicant's argument:

#### **Specification Rejections**

The Examiner has rejected the Specification because claims 12, 25, 27, 40, and 42 use the term "low enough". Office Action, p. 2. "This is not defined, addressed, or explained in the specification." Id.

Referring to Figure 5 and para. 25 of the Specification of the present application, the Specification recites:

Figure 5 depicts the data processing capability of a computer system being used to configure a product versus configuration sub-model and sub-query complexity. In general, the consolidated configuration model 412 is divided sufficiently so that the complexity of each configuration sub-model CM1, CM2, through CMn is low enough to

allow processing using available data processing capabilities while still representing the relationships included in the consolidated configuration model 412, which, in this embodiment, would otherwise not be cable of being processed by the computer system. Present Application, para. 25.

For clarity, Applicants have amended claims 12, 25, 27, 40, and 42 to clarify that "low enough to allow processing using available data processing capabilities" refers to "dividing the configuration model sufficiently so that complexity of each configuration sub-model is low enough to allow processing using available data processing capabilities of the computer system [ "computer assisted configuration technology" - claim 12] while still representing the relationships included in the consolidated configuration model." Claims 12, 25, 27, 40, and 42.

Examiner's response:

Although the general meaning of 'low enough' is described, there is not enough

information about how to determine how low is 'low enough' and what computing

variables are needed to arrive at such a conclusion. Office Action stands.

7. In reference to the Applicant's argument:

The Specification has also been rejected because "Claims 9, 23, [and] 30 use the term "family lines". Applicants respectfully submit that "family lines" is addressed in paragraph 28, 36, and Tables 3, 4, and 5. However, for clarity, Applicants have amended claims 9, 23, and 30 to replace "family lines" with "part groups, wherein the part groups are a collection of related parts." Support for the amendment can be found, for example, in para. 3. Applicants respectfully submit that the invention is defined by the claims and not by specific descriptive embodiments recited in the Specification.

Accordingly, Applicants respectfully submit that the specification including the claims meet the requirements of 35 U.S.C. § 112 and, particularly, paras. 1 and 2 of § 112.

Accordingly, Applicants respectfully request withdrawal of the rejection.

Examiner's response:

The Examiner withdraws the specification rejection concerning the term 'family lines'.

8. In reference to the Applicant's argument:

Claim Rejections – 35 U.S.C. § 101

Claims 1-46 stand rejected under 35 U.S.C. § 101. Applicants respectfully traverse the rejection.

The Examiner stated that "solving product configuration" is an abstract idea and that what is needed is `solving product configuration for the purpose of Office Action, p. 3.

The Supreme Court in Gottschalk v. Benson reviewed a method claim directed towards "converting signals from binary coded decimal form into binary." Gottschalk v. Benson, 409 U.S. 63, 175 USPQ 673 (1972). The Court held that, "The mathematical formula involved here has no substantial practical application except in connection with a digital computer." Applicants respectfully submit that the claims of the present application are not directed towards an abstract idea. To the contrary, the claims are directed towards a very practical purpose, namely "to solve product configuration. problems using configuration sub-models." Claim 1, 14, 15, 29, 30, 44, and. 45. Furthermore, Applicants respectfully submit that "an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models" represents a useful, concrete, and tangible result of "processing one or more configuration sub-models, wherein the configuration sub-models collectively model a configurable product."

In State Street Bank, the Federal Circuit stated that, "Unpatentable mathematical algorithms are identifiable by showing they are merely abstract ideas constituting disembodied concepts or truths that are not "useful"." State Street Bank & Trust Company v. Signature Financial Group, Inc., 149 F.3d 1368 (Fed. Cit. 1998). "From a practical standpoint, this means that to be patentable an algorithm must be applied in a "useful" way." Id.

In State Street Bank, the invention transformed data, representing discrete dollar amounts, into a final. share price represented statutory subject matter. More specifically, the Federal. Circuit held that:

the transformation of data, representing discrete dollar amounts, by a machine through a series of mathematical calculations into a final share price, constitutes a practical application of a mathematical algorithm, formula, or calculation, because it produces "a useful, concrete and tangible result"—a final share price momentarily fixed for recording and reporting purposes and even accepted and relied upon by regulatory authorities and in subsequent trades. (emphasis added).

Similarly, claim 1 recites "using a computer assisted configuration technology to solve product configuration problems using configuration sub-models", "processing one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model a configurable product,", and "generating an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models."

Thus, Applicants respectfully submit that the invention of claim 1 is applied in a useful way, i.e. "processing one or more configuration queries 'using configuration sub-models, wherein the configuration sub-models collectively model a configurable product" to produce a useful, concrete, and tangible result, i.e. the "answer to the configuration problem". Applicants respectfully submit that the same remarks apply to the other independent claims and, by extension, to all dependent claims.

The Examiner asks, "Is the purpose for processing queries for a car search on the Internet?", "[d]ividing a consolidated configuration model really the grid of intersections of a city with stop lights and the invention solves the best timing for all the lights for maximum traffic flow?", [g]enerating an answer based upon gueried and sub-models for the engineering parameters for a bridge?" Office Action, pp. 3-4. Certainly the scope of configuration problems can be wide ranging. However, Applicants respectfully submit that whether the claims are directed towards an abstract idea is not an issue of scope but rather whether the claims recite "disembodied concepts or truths that are not "useful"." State Street Bank, 149 F.3d 1368 (Fed. Cir. 1998). As stated above, Applicants respectfully submit that the claims are directed towards a very practical purpose, namely "to solve product configuration problems using configuration submodels." Claims 1, 14, 15, 29, 30, 44, and 45. Furthermore, Applicants respectfully submit that "an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models" represents a useful, concrete, and tangible result of "processing one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model a configurable product."

Accordingly, Applicants respectfully submit that claims 1, 14, 15, 29, 30, 44, and 45 are directed towards statutory subject matter and not simply towards an abstract idea or mathematical algorithm. See State Street Bank & Trust Co. v. Signature Fin. Group, Inc., 149 F.3d 1368, 47 U.S.P.Q.2d 1596 (Fed. Cir. 1998) (holding that the transformation of data, representing discrete dollar amounts, by a machine through. a

series of mathematical calculations into a final share price, constitutes a practical application of a mathematical algorithm, formula, or calculation, because it produces "a useful, concrete and tangible result"—a final share price momentarily fixed for recording and reporting purposes and even accepted and relied upon by regulatory authorities and in subsequent trades.) See also, In re Alappat, 33 F.3d 1526, 31 USPQ2d 1545 (Fed. Cir. 1994) (en bane) (holding that data, transformed by a machine through a series of mathematical calculations to produce a smooth waveform display on a rasterizer monitor, constituted a practical application of an abstract idea (a mathematical. algorithm, formula, or calculation), because it produced. "a useful, concrete and tangible result"—the smooth waveform.)

Applicants respectfully request withdrawal of the rejection.

Examiner's response:

Applicant cites 'State Street' in which the final result is used in a practical

application, namely the final price of a share. 'State Street' is not a disembodied

concept as argued by the applicant. The result of 'State Street' is a final price of a

share and only the final price of a share. The Examiner could not find a single practical

application within the claims or specification. Office Action stands.

9. In reference to the Applicant's argument:

Claim Rejections – 35 U.S.C. § 102

Claims 1-6, 8-20, 22-35, and 37-46 stand rejected under 35 U.S.C. § 102(b) as being anticipated by Publication No. 20030187950 to Rising (hereinafter "Rising"). Applicants respectfully traverse the rejection.

Rising teaches an apparatus that include "an MPEG-7 content description query generation tool coupled to a search engine configured for searching and comparing embedded MPEG-7 META tag information within file headers, or database information thereof, to the MPEG-7 content description query." Rising, Abstract.

Claim 1 of the present application recites:

A method for using computer assisted configuration technology to solve product configuration problems using configuration sub-models, the method comprising:

processing one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model a configurable product; and

generating an answer to the configuration problem based upon the processed one or more configuration queries and the configuration sub-models.

In the rejection of Claims 1, 14, 15, 29, 30, 44, and 45, the Examiner has characterized several alleged equivalents between Rising and Claims 1, 14, 15, 29, 30, 44, and 45. For convenience, Applicants have presented a subset of the alleged equivalents in Table 1 below:

Claim term Examiner's Interpretation Sub-models terms A, B, C Configuration sub-models item 208

Applicants respectfully disagree with the Examiner's characterization of the teachings and suggestions of Rising. Although Applicants disagree with a number of the Examiner's characterizations of the teachings and suggestions of Rising, Applicants respectfully submit that if any of the Examiner's characterizations of the teachings and suggestions or Rising are not supported by Rising then a prima facie case of anticipation under 35 U.S.C. § 102 cannot be supported.

Rising teaches that "Terms A, B, C" are <sup>a</sup>query terms". Rising, para. 65. For example, Rising teaches that, "Query term "A" 178 can be described by a descriptive name field 180 and it can receive a series of query elements within a query element entry field .182." "Similar information is provided for a term. "B" and term C. Id. Rising further teaches that item 208 is "a query statement field" that connects terms in a multi-term query. Id.

Applicants respectfully submit that the "configuration sub-models" recited in Claims 1, 14, 15, 29, 30, 44, 45 are clearly not query terms or multi-terms queries. Claims 1, 14, 15, 29, 30, 44, 45 recite 'processing one or more configuration queries using configuration sub-models." Accordingly, configuration "queries" and configuration "sub-models" are distinct terms as used in claims 1, 14, 15, 29, 30, 44, and 45. Since "queries" and "sub-models" are demonstratively distinct within claims 1, 14, 15, 29, 30, 44, and 45. At and at a terms 4. At and 45. At a

and 45. Thus, Applicants respectfully submit that Rising neither teaches nor suggests the present invention of claims 1, 14, 15, 29, 30, 44, and 45.

Accordingly, for at least the foregoing reasons, Applicants respectfully request withdrawal of the rejection of claims 1, 14, 15, 29, 30, 44, and 45. For at least the same reasons, Applicants respectfully request withdrawal of the rejection of claims directly or indirectly dependent upon claims 1, 14, 15, 29, 30, 44, and 45.

Examiner's response:

Since applicant has intentionally not described a practical application, the

Examiner has chosen the concept of a submitting a query as a product configuration

problem. Queries often have multiple elements within them (terms A, B, C). These

'sub-queries' can have a defined structure (or a sub-model). Therefore sub-models are

directly related to query terms. Office Action stands.

### 10. In reference to the Applicant's argument:

Claim Rejections – 35 U.S.C. § 103

Claims 7, 21, and 36 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Rising in view of U.S. Patent No. 6,721,748 issued to Knight (hereinafter "Knight").

Knight relates to, "An intelligent data content provider system and method for subscriber postings and queries are monitored and evaluated to determine what types of content to retrieve, how to organize such content, and how to present the same." Knight, Abstract.

Claim 7 indirectly depends from independent claim 1, independent claim 21 indirectly depends from claim 15, and claim 36 indirectly depends from independent claim 30. For at least the same reasons presented above with respect to claims 1, 15, and 30.

Applicants respectfully request withdrawal of the rejection of claims 7, 21, and 36.

## FORD 1004

#### Examiner's response:

Applicant makes no arguments. Office Action stands.

### **Examination Considerations**

11. The claims and only the claims form the metes and bounds of the invention. "Office personnel are to give the claims their broadest reasonable interpretation in light of the supporting disclosure. *In re Morris*, 127 F.3d 1048, 1054-55, 44USPQ2d 1023, 1027-28 (Fed. Cir. 1997). Limitations appearing in the specification but not recited in the claim are not read into the claim. *In re Prater*, 415 F.2d, 1393, 1404-05, 162 USPQ 541, 550-551 (CCPA 1969)" (MPEP p 2100-8, c 2, I 45-48; p 2100-9, c 1, I 1-4). The Examiner has the full latitude to interpret each claim in the broadest reasonable sense. Examiner will reference prior art using terminology familiar to one of ordinary skill in the art. Such an approach is broad in concept and can be either explicit or implicit in meaning.

12. Examiner's Notes are provided to assist the applicant to better understand the nature of the prior art, application of such prior art and, as appropriate, to further indicate other prior art that maybe applied in other office actions. Such comments are entirely consistent with the intent and sprit of compact prosecution. However, and

**FORD 1004** 

unless otherwise stated, the Examiner's Notes are not prior art but link to prior art that one of ordinary skill in the art would find inherently appropriate.

13. Examiner's Opinion: Paragraphs 11 and 12 apply. The Examiner has full latitude to interpret each claim in the broadest reasonable sense.

### Conclusion

14. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

15. Claims 1-50 are rejected.

## Correspondence Information

16. Any inquiry concerning this information or related to the subject disclosure should be directed to the Examiner Peter Coughlan, whose telephone number is (571) 272-5990. The Examiner can be reached on Monday through Friday from 7:15 a.m. to 3:45 p.m.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor David Vincent can be reached at (571) 272-3080. Any response to this office action should be mailed to:

Commissioner of Patents and Trademarks,

Washington, D. C. 20231;

Hand delivered to:

Receptionist,

Customer Service Window,

Randolph Building,

401 Dulany Street,

Alexandria, Virginia 22313,

(located on the first floor of the south side of the Randolph Building);

or faxed to:

(571) 272-3150 (for formal communications intended for entry.)

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <u>http://pair-direct.uspto.gov</u>. Should you have any questions on access to Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll free).

Peter Coughlan 4/20/2007

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## Page 22



Application/Control No.	Applicant(s)/Patent un Reexamination	der
10/957,919	LITTLE ET AL.	
Examiner	Art Unit	
Peter Coughlan	2129	

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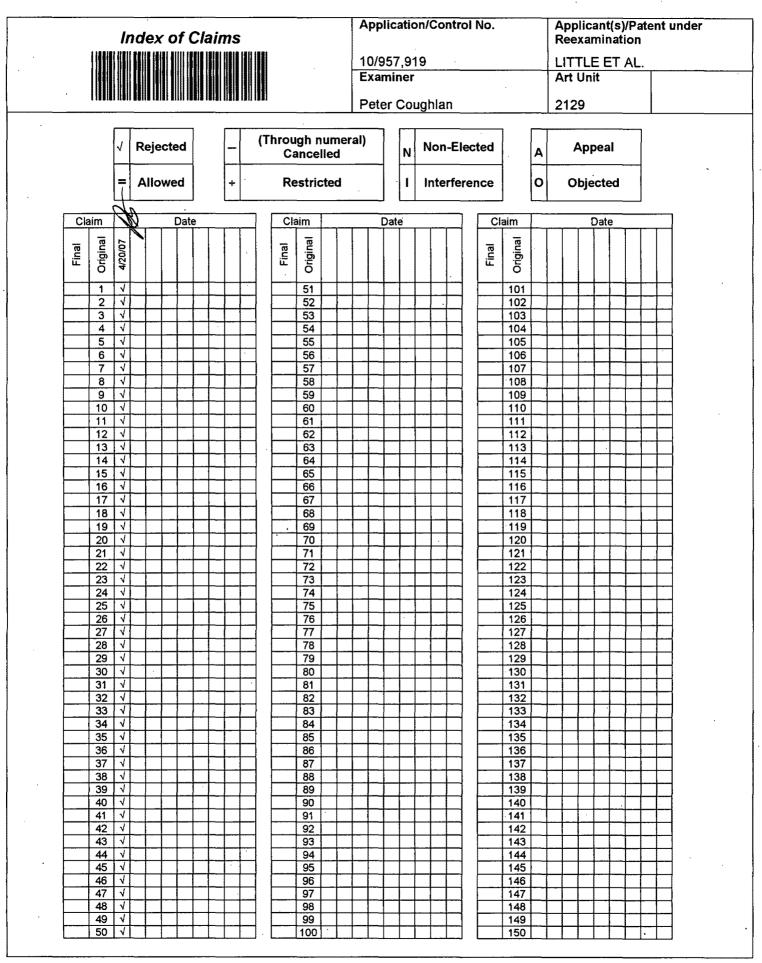
SEARCHED						
Class	Subclass	Date	Examiner			
710	8	4/20/2007	PDC			
703	25	4/20/2007	PDC			
700	30	4/20/2007	PDC			
706 46 4/20/2007 PDC						
706	47	4/20/2007	PDC			
706	6	4/20/2007	PDC			

Class	Subclass	Date	Examiner

SEARCH NOTES (INCLUDING SEARCH STRATEGY)					
	DATE	EXMR			
Eastmultimedia, knowledgebase, structure, query, sub-query, model, sub-model, answer, sub-answer, processor, cpu	4/20/2007	PDC			
EastIIcentral procesing unit, rules, spcification, elements, sub-elements, database, overlap, common range	4/20/2007	PDC			
EastIIIcombining answers, matching, retrieving, images, requirements	4/20/2007	PDC			
IEEENathan E. Little, Brandon M. Beck, Brian K. Showers, combining answers, matching, retrieving, images, requirements	4/20/2007	PDC			
IEEEmultimedia, knowledgebase, structure, query, sub-query, model, sub0model, answer, sub-answer, processor, cpu	4/20/2007	PDC			
IEEEcentral procesing unit, rules, spcification, elements, sub-elements, database, overlap, common range	4/20/2007	PDC			
Inventors Nathan E. Little, Brandon M. Beck, Brian K. Showers	4/20/2007	PDC			

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Page 135 of 507

**FORD 1004** 



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Bib Data Sheet

**CONFIRMATION NO. 9162** 

SERIAL NUMBER 10/957,919	FILING OR 371(c) DATE 10/04/2004 RULE	GROUP ART 1 2129	UNIT		NEY DOCKET NO. T00121	
APPLICANTS Nathan E. Little, Austin, TX; Brandon M. Beck, Austin, TX; Brian K. Showers, Cedar Park, TX; ** CONTINUING DATA **********************************						
Foreign Priority claimed 35 USC 119 (a-d) conditior Verlfled and Acknowledged	yes no ns met yes no Met after	TX			INDEPENDENT CLAIMS 7	
ADDRESS 33438						
TITLE Complex configuratio	n processing using configuration	on sub-models				•
FILING FEE RECEIVED 1740       FEES: Authority has been given in Paper to charge/credit DEPOSIT ACCOUNT         Image: Deposit of the paper         Image: Deposit of the paper						g Ext. of time )

PTO/SB/30EFS (05/07) Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	REQU	JEST FC			N(RCE)TRANSMITT	AL	
			(Submitted	d Only via EFS	-Web)		
Application Number	10/957,919	Filing Date	2004-10-04	Docket Number (if applicable)	T00121	Art Unit	2129
First Named Inventor	Nathan E. Little			Examiner Name	Peter D. Coughlan		
Request for C	ontinued Examina	ition (RCE)		FR 1.114 does not a	above-identified application pply to any utility or plant app NWW.USPTO.GOV		l prior to June 8,
		S	UBMISSION REQ	UIRED UNDER 37	7 CFR 1.114		
in which they	were filed unless a	applicant in		applicant does not wi	nents enclosed with the RCE ish to have any previously file		
	y submitted. If a fir on even if this box			any amendments file	ed after the final Office action	may be cor	isidered as a
□ Co	nsider the argume	ents in the A	Appeal Brief or Reply	Brief previously filed	l on		
Oti	her						
Enclosed							
🗙 Ar	nendment/Reply						
Inf	ormation Disclosu	re Statemer	nt (IDS)				
Aff	īdavit(s)/ Declarati	on(s)					
Ot	her						
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			ntified application is d 3 months; Fee und		CFR 1.103(c) for a period of quired)	months	
X Other	Petition for an Exte	ension of Ti	ime				
				FEES			
🗙 The Dire	ctor is hereby aut		s required by 37 CF harge any underpay		RCE is filed. lit any overpayments, to		
	S	SIGNATUF	RE OF APPLICAN	T, ATTORNEY, OF	R AGENT REQUIRED		
	Practitioner Signa ant Signature	ature					

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	Signature of Registered U.S. Patent Practitioner						
Signature	/Kent B. Chambers/	Date (YYYY-MM-DD)	2007-10-26				
Name	Kent B. Chambers	Registration Number	38839				

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Nathan E. Little, Brandon M. Beck, Brian K. Showers			
Versata Development Group, Inc.			
Complex Configuration Processing Using Configuration Sub-Models			

## FILED ELECTRONICALLY

## PETITION FOR EXTENSION OF TIME

### Dear Sir:

Applicants respectfully petition for a three (3) month extension of time within which to respond to the Office Action mailed April 26, 2007, such extension allowing the undersigned until October 26, 2007, to respond.

The extension fee is being paid via the USPTO EFS. The Commissioner is authorized to deduct any additional fees which may be required or credit any overpayment to Deposit Account No. 502264.

FILED ELECTRONICALLY October 26, 2007 Respectfully submitted,

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839 Austin, Texas October 26, 2007

	IN	<u>The United States Pati</u>	ent And Trade	EMARK OFFICE
Appli	cant(s):	Nathan E. Little, Brandon M. Beck, Brian K. Showers		
Assig	nee:	Trilogy Development Group	o, Inc.	
Title:		Complex Configuration Processing Using Configuration Sub-Models		
Serial	No.:	10/957,919	Filing Date:	October 4, 2004
Exam	iner:	Peter D. Coughlan	Group Art Unit:	2129
Dock	et No.:	T00121	Customer No.:	33438

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> Austin, Texas October 26, 2007

## ELECTRONICALLY FILED

## 37 C.F.R. § 1.114 RCE SUBMISSION

Dear Sir:

This paper is a submission in accordance with 37 C.F.R. § 1.114, which accompanies a request for continued examination in the above referenced patent application. This paper is responsive to the Office Action dated April 26, 2007, having a shortened statutory period expiring July 26, 2007. Accompanying this response is a petition under 37 C.F.R. § 1.136 for extension of time by three (3) months setting a new time for response of October 26, 2007. Further examination and reconsideration are respectfully requested in view of the amendments and remarks set forth below.

## **AMENDMENTS TO THE CLAIMS**

1	1.	(Currently Amended) A method for using computer assisted configuration		
2	technology to	solve product configuration problems respond to one or more configuration		
3	queries using	configuration sub-models, the method comprising:		
4	receiv	ing one or more configuration queries related to configuration of a		
5		configurable product;		
6	proces	ssing the one or more configuration queries using configuration sub-models,		
7		wherein the configuration sub-models collectively model [[a]] the		
8		configurable product and the configuration sub-models include data to		
9		define compatibility relationships between parts included in the		
10		configurable product; [[and]]		
11	genera	ating an answer a response to the configuration problem one or more		
12		configuration queries based upon the processed one or more configuration		
13		queries and the configuration sub-models: and		
14	preser	nting the response to the one or more configuration queries for display by a		
15		display device.		
1	2.	(Currently Amended) The method of claim 1 further comprising:		
2	dividi	ng [[a]] at least one of the configuration [[query]] queries into multiple		
3		configuration sub-queries, wherein the one or more configuration queries		
4		include the multiple configuration sub-queries.		
1	3.	(Currently Amended) The method of claim 2 wherein the product		
2	configuration	problems include one or more configuration queries relate to a		
3	configuration completion problem and when solving the configuration completion			
4	problem, and processing one or more configuration queries further comprises:			
5	processing each sub-query using at least one configuration sub-model per sub-			
6		query.		

S/N: 10/957,919

1	4.	(Original) The method of claim 2 further comprising:			
2	processing each sub-query using multiple configuration sub-models per sub-				
3		query.			
1	5.	(Currently Amended) The method of claim 2 wherein the product			
2	configuration	problems include one or more configuration queries relate to a			
3	configuration validation problem and when solving the configuration validation problem,				
4	and processing one or more configuration queries further comprises:				
5	processing an undivided query using different configuration sub-models until a				
6		configuration validation answer can be determined.			
1	6.	(Currently Amended) The method of claim 2 wherein the data collectively			
2	included in the configuration sub-models is sufficient to provide an answer a response for				
3	each of the su	b-queries being processed.			
1	7.	(Original) The method of claim 2 wherein at least two sub-queries include			
2	overlapping in	nformation.			
_	2				
1	8.	(Currently Amended) The method of claim 2 wherein <u>further comprising</u> :			
2	dividi	ng a consolidated configuration model into the multiple configuration sub-			
3		models comprises dividing the configuration sub-models in accordance			
4		with a predetermined data structure; [[and]]			
5	where	in [[a]] at least one of the configuration [[query]] queries into multiple			
6		configuration sub-queries further comprises dividing the sub-queries in			
7		accordance with the sub-model structure.			
1	9.	(Previously Presented) The method of claim 8 wherein the predetermined			
2	data structure comprises a data structure divided along configuration model part groups,				
3	wherein the part groups are a collection of related parts.				

1	10. (Currently Amended) The method of claim 1 wherein generating <del>an</del>				
2	answer a response to the configuration problem one or more configuration queries based				
3	upon the processed one or more configuration queries and the configuration sub-models				
4	further comprises:				
5	generating a sub-answer response for each processed configuration sub-model;				
6	and				
7	combining each response for each processed configuration sub-model to generate				
8	the answer.				
1	11. (Original) The method of claim 1 further comprising:				
2	dividing a consolidated configuration model into the configuration sub-models.				
1	12. (Currently Amended) The method of claim 11 wherein dividing the				
2	consolidated configuration model into multiple configuration sub-models further				
3	comprises:				
4	dividing the configuration model sufficiently so that complexity of each				
5	configuration sub-model is low enough to allow allows processing using				
6	available data processing capabilities of the computer assisted				
7	configuration technology while still representing the relationships				
8	included in the consolidated configuration model.				
1	13. (Original) The method of claim 11 wherein each configuration sub-model				
2	represents a portion of the consolidated configuration model.				
1	14. (Currently Amended) A method for using computer assisted configuration				
2	technology to solve product configuration problems respond to one or more configuration				
3	queries using configuration sub-models, the method comprising:				
4	dividing a consolidated configuration model into multiple configuration sub-				
5	models;				
6	responding to the one or more configuration queries, wherein responding to the				
7	one or more configuration queries comprises:				

S/N: 10/957,919

8	processing the one or more configuration queries using the configuration sub-			
9	models and the configuration sub-models include data to define			
10	compatibility relationships between parts included in the configurable			
11	<pre>product; [[and]]</pre>			
12	generating an answer a response to the configuration problem one or more			
13	configuration queries based upon the processed one or more configuration			
14	queries and the configuration sub-models; and			
15	presenting the response to the one or more configuration queries for display by a			
16	display device.			
1	15. (Currently Amended) A computer system to implement an inference			
2	procedure for solving product configuration problems responding to one or more			
3	configuration queries using configuration sub-models, the system comprising:			
4	4 a processor; and			
5	a storage medium having data encoded therein, the data comprising processor			
6	executable code for:			
7	receiving one or more configuration queries related to configuration of a			
8	8 <u>configurable product;</u>			
9	processing the one or more configuration queries using configuration sub-			
10	models, wherein the configuration sub-models collectively model			
11	[[a]] the configurable product and the configuration sub-models			
12	include data to define compatibility relationships between parts			
13	<u>included in the configurable product;</u> [[and]]			
14	4 generating an answer a response to the configuration problem one or more			
15	configuration queries based upon the processed one or more			
16	configuration queries and the configuration sub-models: and			
17	presenting the response to the one or more configuration queries for			
18	display by a display device.			

1	16.	(Currently Amended) The computer system of claim 15 wherein the data			
2	further comprises processor executable code for:				
3	dividing [[a]] at least one of the configuration [[query]] queries into multiple				
4		configuration sub-queries, wherein the one or more configuration queries			
5		include the multiple configuration sub-queries.			
1	17.	(Currently Amended) The computer system of claim 16 wherein the			
2	product config	guration problems include one or more configuration queries relate to a			
3	configuration	completion problem and when solving the configuration completion			
4	<del>problem,</del> and	the code for processing one or more configuration queries further			
5	comprises:				
6	proces	sing each sub-query using at least one configuration sub-model per sub-			
7		query.			
1	18.	(Original) The computer system of claim 16 wherein the data further			
2	comprises pro	cessor executable code for:			
3	proces	sing each sub-query using multiple configuration sub-models per sub-			
4		query.			
1	10				
1	19.	(Currently Amended) The computer system of claim 16 wherein the			
2	-	guration problems include one or more configuration queries relate to a			
3	configuration validation problem and when solving the configuration validation problem,				
4	and when solv	ving the configuration validation problem, and the code for processing one			
5	or more config	guration queries further comprises:			
6	proces	sing an undivided query using different configuration sub-models until a			
7		configuration validation answer can be determined.			
1	20	(Currently Amondod) The computer system of claim 16 wherein the date			
1	20.	(Currently Amended) The computer system of claim 16 wherein the data			
2		cluded in the configuration sub-models is sufficient to provide an answer $\underline{a}$			
3	response for e	ach of the sub-queries being processed.			

S/N: 10/957,919

2	further comp	rises code for:				
3	the code for dividing a consolidated configuration model into multiple					
4	configuration sub-models comprises code for dividing the configuration					
5		sub-models in accordance with a predetermined data struct	ure; and			
6	the co	de for dividing a configuration query into multiple configura	tion sub-			
7		queries further comprises code for dividing the sub-queries	in accordance			
8		with the sub-model structure.				
1	23.	(Previously Presented) The computer system of claim 22 w	herein the			
2	predetermine	d data structure comprises a data structure divided along con	figuration			
3	model part gr	roups, wherein the part groups are a collection of related parts	5.			
1	24.	(Currently Amended) The computer system of claim 15 wh	erein the code			
2	for generating an answer a response to the configuration problem one or more					
3	configuration queries based upon the processed one or more configuration queries and the					
4	configuration	sub-models further comprises code for:				
5	gener	ating a sub-answer response for each processed configuration	ı sub-model;			
6		and				
7	comb	ining each response for each processed configuration sub-mo	del to generate			
8		the answer.				
1	25.	(Currently Amended) The computer system of claim 15 wh	erein the code			
2	for dividing t	he consolidated configuration model into multiple configurat	ion sub-models			
3	further comprises code for:					
4	dividi	ng the configuration model sufficiently so that complexity of	each			
5		configuration sub-model is low enough to allow allows pro	cessing using			
6		available data processing capabilities of the computer syste	m while still			
		-7 of 19-	S/N: 10/957,919			

(Original) The computer system of claim 16 wherein at least two sub-

(Currently Amended) The computer system of claim 16 wherein the code

### Page 147 of 507

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2

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21.

22.

queries include overlapping information.

7	representing the relationships included in the consolidated configuration
8	model.
1	26. (Original) The computer system of claim 15 wherein the data further
2	comprises processor executable code for:
3	dividing a consolidated configuration model into the configuration sub-models.
1	27. (Currently Amended) The computer system of claim 26 wherein the code
2	for dividing the consolidated configuration model into multiple configuration sub-models
3	further comprises code for:
4	dividing the configuration model sufficiently so that complexity of each
5	configuration sub-model is low enough to allow allows processing using
6	available data processing capabilities of the computer system while still
7	representing the relationships included in the consolidated configuration
8	model.
1	28. (Original) The computer system of claim 26 wherein each configuration
2	sub-model represents a portion of the consolidated configuration model.
1	29. (Currently Amended) A computer system to implement an inference
2	procedure for solving product configuration problems for responding to one or more
3	configuration queries using configuration sub-models, the system comprising:
4	a processor; and
5	a storage medium having data encoded therein, the data comprising processor
6	executable code for:
7	dividing a consolidated configuration model into multiple configuration
8	sub-models;
9	responding to the one or more configuration queries, wherein responding
10	to the one or more configuration queries comprises:
11	processing the one or more configuration queries using the configuration
12	sub-models and the configuration sub-models include data to

S/N: 10/957,919

13	define compatibility relationships between parts included in the				
14	configurable product; [[and]]				
15	generating an answer a response to the configuration problem one or more				
16	configuration queries based upon the processed one or more				
17	configuration queries and the configuration sub-models; and				
18	presenting the response to the one or more configuration queries for				
19	display by a display device.				
1	30. (Currently Amended) A computer storage medium comprising data				
2	embedded therein to cause a computer system to solve product configuration problems to				
3	respond to one or more configuration queries using configuration sub-models, wherein				
4	the data comprises processor executable code for:				
5	receiving one or more configuration queries related to configuration of a				
6	configurable product;				
7	processing the one or more configuration queries using configuration sub-models,				
8	wherein the configuration sub-models collectively model [[a]] the				
9	configurable product and the configuration sub-models include data to				
10	define compatibility relationships between parts included in the				
11	<pre>configurable product; [[and]]</pre>				
12	generating an answer a response to the configuration problem one or more				
13	configuration queries based upon the processed one or more configuration				
14	queries and the configuration sub-models; and				
15	presenting the response to the one or more configuration queries for display by a				
16	display device.				
1	31. (Currently Amended) The computer storage medium of claim 30 wherein				
2	the data further comprises processor executable code for:				
3	dividing [[a]] at least one of the configuration [[query]] queries into multiple				
4	configuration sub-queries, wherein the one or more configuration queries				
5	include the multiple configuration sub-queries.				

1	32.	(Currently Amended) The computer storage medium of claim 31 wherein
2	the <del>product c</del>	onfiguration problems include one or more configuration queries relate to a
3	configuration	completion problem and when solving the configuration completion
4	<del>problem,</del> and	the code for processing one or more configuration queries further
5	comprises:	
6	proce	ssing each sub-query using at least one configuration sub-model per sub-
7		query.
1	33.	(Original) The computer storage medium of claim 31 wherein the data
2	further comp	rises processor executable code for:
3	proce	ssing each sub-query using multiple configuration sub-models per sub-
4		query.
1	34.	(Currently Amended) The computer storage medium of claim 31 wherein
2	the <del>product c</del>	onfiguration problems include one or more configuration queries relate to a
3	configuration	validation problem and when solving the configuration validation problem,
4	and the code	for processing one or more configuration queries further comprises:
5	proce	ssing an undivided query using different configuration sub-models until a
6		configuration validation answer can be determined.
1	35.	(Currently Amended) The computer storage medium of claim 31 wherein
2	the data colle	ctively included in the configuration sub-models is sufficient to provide an
3	<del>answer</del> <u>a resp</u>	oonse for each of the sub-queries being processed.
1	36.	(Original) The computer storage medium of claim 31 wherein at least two
2	sub-queries in	nclude overlapping information.

1	37.	(Currently Amended) The computer storage medium of claim 31 the code					
2	further comp	rises code for:					
3	the co	de for dividing a consolidated configuration model into multiple					
4		configuration sub-models comprises code for dividing the configuration					
5	sub-models in accordance with a predetermined data structure; and						
6	the co	de for dividing a configuration query into multiple configuration sub-					
7		queries further comprises code for dividing the sub-queries in accordance					
8		with the sub-model structure.					
1	38.	(Previously Presented) The computer storage medium of claim 37 wherein					
2	the predeterm	ined data structure comprises a data structure divided along configuration					
3	model part gr	oups, wherein the part groups are a collection of related parts.					
1	39.	(Currently Amended) The computer storage medium of claim 30 wherein					
2	the code for generating an answer a response to the configuration problem one or more						
3	configuration queries based upon the processed one or more configuration queries and the						
4	configuration sub-models further comprises code for:						
5	generating a sub-answer response for each processed configuration sub-model;						
6	and						
7	combi	ning each response for each processed configuration sub-model to generate					
8		the answer.					
1	40.	(Currently Amended) The computer storage medium of claim 30 wherein					
2	the code for d	lividing the consolidated configuration model into multiple configuration					
3	sub-models further comprises code for:						
4	dividi	ng the configuration model sufficiently so that complexity of each					
5		configuration sub-model is low enough to allow allows processing using					
6		available data processing capabilities of the computer system while still					
7		representing the relationships included in the consolidated configuration					
8		model.					

1	41.	(Original) The computer storage medium of claim 30 wherein the data
2	further comp	rises processor executable code for:
3	dividi	ing a consolidated configuration model into the configuration sub-models.
1	42.	(Currently Amended) The computer storage medium of claim 41 wherein
2	the code for a	lividing the consolidated configuration model into multiple configuration
3	sub-models f	urther comprises code for:
4	dividi	ing the configuration model sufficiently so that complexity of each
5		configuration sub-model is low enough to allow allows processing using
6		available data processing capabilities of the computer system while still
7		representing the relationships included in the consolidated configuration
8		model.
1	43.	(Original) The computer storage medium of claim 41 wherein each
2	configuration	sub-model represents a portion of the consolidated configuration model.
1	44.	(Currently Amended) A computer storage medium comprising data
2	embedded the	erein to cause a computer system to solve product configuration problems
3	respond to or	ne or more configuration queries using configuration sub-models, wherein
4	the data comp	prises code for:
5		dividing a consolidated configuration model into multiple configuration
6		sub-models;
7		responding to the one or more configuration queries, wherein responding
8		to the one or more configuration queries comprises:
9		processing the one or more configuration queries using the configuration
10		sub-models and the configuration sub-models include data to
11		define compatibility relationships between parts included in the
12		configurable product; [[and]]
13		generating an answer a response to the configuration problem one or more
14		configuration queries based upon the processed one or more
15		configuration queries and the configuration sub-models; and

-12 of 19-

S/N: 10/957,919

16	presenting the response to the one or more configuration queries for
17	display by a display device.
1	45. (Currently Amended) A computer system to implement an inference
2	procedure for solving product configuration problems responding to one or more
3	configuration queries using configuration sub-models, the system comprising:
4	means for receiving one or more configuration queries related to configuration of
5	a configurable product;
6	means for processing the one or more configuration queries using configuration
7	sub-models, wherein the configuration sub-models collectively model [[a]]
8	the configurable product and the configuration sub-models include data to
9	define compatibility relationships between parts included in the
10	configurable product; [[and]]
11	means for generating an answer a response to the configuration problem one or
12	more configuration queries based upon the processed one or more
13	configuration queries and the configuration sub-models; and
14	means for presenting the response to the one or more configuration queries for
15	display by a display device.
1	46. (Original) The computer system of claim 45 further comprising:
2	means for dividing a consolidated configuration model into the configuration sub-
3	models.
1	47. (Currently Amended) The method of claim 1 wherein the configurable
2	product is a vehicle. further comprising:
3	generating data to display the answer on an electronic display medium.
1	48. (Currently Amended) The method of claim 1 further comprising:
1	
2	displaying the <del>answer</del> <u>response</u> on <del>an electronic</del> display <del>medium</del> <u>device</u> .

-13 of 19-

S/N: 10/957,919

49. (Previously Presented) The method of claim 1 wherein the configuration
 sub-models each comprise data and rules to define compatibility relationships between
 parts included in a product.

50. (Previously Presented) The method of claim 1 wherein the configuration
 problem comprises a configuration problem involving parts of a product.

#### **REMARKS**

Claims 1-50 are pending.

Claims 1-50 stand rejected.

Claims 1-3, 5, 6, 8, 10, 12, 14-17, 19, 20, 22, 24, 25, 27, 29, 30-32, 34, 35, 37, 39, 40, 42, 44, 45, 47, and 48 have been amended.

#### Claim Rejections – 35 U.S.C. § 112

Claims 12, 25, 27, 40, and 42 stand rejected under 35 U.S.C. § 112, first paragraph, as failing to comply with the written description requirement. Applicants respectfully traverse the rejection.

Claims 12, 25, 27, 40, and 42 stand rejected because of the term "low enough" because "there is no algorithm, guidelines or system to aid in the determination [of] the level of complexity in regards to a given computer system." Office Action, p. 3. Applicants have amended claims 12, 25, 27, 40, and 42. Applicants respectfully submit that the claims themselves provide sufficient guidelines to aid in such determination. More specifically, the claims 12, 25, 27, 40, and 42 recite "dividing the configuration model" and the guidelines are "dividing the configuration model <u>sufficiently so that</u> complexity of each configuration sub-model allows processing using available data processing capabilities of the computer assisted configuration technology while still representing the relationships included in the consolidated configuration model."

Accordingly, Applicants respectfully request withdrawal of the rejection.

#### Claim Rejections – 35 U.S.C. § 101

Claims 1-50 stand rejected under 35 U.S.C. § 101. Applicants respectfully traverse the rejection.

-15 of 19-

S/N: 10/957,919

Applicants respectfully submit that the Present Application discloses a practical application as a matter of fact, and the claims are directed to statutory matter pursuant to 35 U.S.C. § 101. The Present Application sets forth the practical utility of computer assisted product configuration. Specifically, the Present Application states that, "Computer assisted product configuration continues to offer substantial benefits to a wide range of users and industries." Present Application, paras. 2. Product configuration processes utilize configuration queries and configuration models. Id., paras. 2-4. The Present Application also states that, "A configuration model dividing and configuration sub-model inference processing system and procedure addresses the issue of configuration model and query complexity." Id., para. 21. The claims, as relevantly represented by claim 1, are directed towards the practical application of "computer assisted configuration technology to respond to one or more configuration queries using configuration sub-models." Furthermore, the claims provide a useful, concrete, and tangible result by "receiving one or more configuration queries related to configuration of a configurable product" and "presenting [a] [generated] response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models] for display by a display device." Claim 1.

Independent claims 14, 15, 29, 30, 44, and 45 include similar recitations. Accordingly, Applicants respectfully request withdrawal of the rejection.

#### Claim Rejections – 35 U.S.C. § 102

Claims 1-6, 8-20, 22-35, and 37-50 stand rejected under 35 U.S.C. § 102(b) as being anticipated by Publication No. 20030187950 to Rising (hereinafter "*Rising*"). Applicants respectfully traverse the rejection.

*Rising* teaches an apparatus that include "an MPEG-7 content description query generation tool coupled to a search engine configured for searching and comparing embedded MPEG-7 META tag information within file headers, or database information thereof, to the MPEG-7 content description query." *Rising*, Abstract.

Claim 1 of the present application recites:

A method for using computer assisted configuration technology to respond to one or more configuration queries using configuration submodels, the method comprising:

> receiving one or more configuration queries related to configuration of a configurable product; processing the one or more configuration queries using configuration sub-models, wherein the configuration submodels collectively model the configurable product and the configuration sub-models include data to define compatibility relationships between parts included in the configurable product; generating a response to the one or more configuration queries based upon the processed one or more configuration

queries and the configuration sub-models; and presenting the response to the one or more configuration queries for display by a display device.

*Rising* teaches that "Terms A, B, C" are "query terms". *Rising*, para. 65. For example, *Rising* teaches that, "Query term "A" 178 can be described by a descriptive name field 180 and it can receive a series of query elements within a query element entry field 182." "Similar information is provided for a term "B"" and term C. *Id. Rising* further teaches that <u>item 208 is "a query statement field"</u> that connects terms in a multi-term query. *Id.* 

Applicants respectfully submit that the "configuration sub-models" recited in Claims 1, 14, 15, 29, 30, 44, and 45 are clearly not query terms or multi-term queries. Claims 1, 14, 15, 29, 30, 44, and 45 recite "processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and the configuration sub-models include data to define compatibility relationships between parts included in the configurable product." Thus, configuration "queries" and configuration "sub-models" represent distinct terms as used in claims 1, 14, 15, 29, 30, 44, and 45.

S/N: 10/957,919

## Page 157 of 507

Furthermore, not only are "queries" and "sub-models" demonstratively distinct within claims 1, 14, 15, 29, 30, 44, and 45, the "configuration sub-models of claims 1, 14, 15, 29, 30, 44, and 45 are specifically distinct from the queries taught and suggested by *Rising*. More specifically, *Rising* teaches that Terms A, B, and C and item 208 (or the contents therein) are queries. Applicants respectfully submit that Terms A, B, and C and item 208 cannot be the equivalent of the configuration sub-models of claims 1, 14, 15, 29, 30, 44, and 45 <u>because the queries of *Rising* clearly do **not** define an underlying <u>object</u>. The queries are formulated to detect data within an underlying object. In contrast, "the configuration sub-models include data **to define** compatibility relationships <u>between parts included in the configurable product.</u>" Thus, Applicants respectfully submit that *Rising* neither teaches nor suggests the present invention of claims 1, 14, 15, 29, 30, 44, and 45.</u>

Accordingly, for at least the foregoing reasons, Applicants respectfully request withdrawal of the rejection of claims 1, 14, 15, 29, 30, 44, and 45. For at least the same reasons, Applicants respectfully request withdrawal of the rejection of claims directly or indirectly dependent upon claims 1, 14, 15, 29, 30, 44, and 45.

#### Claim Rejections – 35 U.S.C. § 103

Claims 7, 21, and 36 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Rising in view of U.S. Patent No. 6,721,748 issued to Knight (hereinafter "*Knight*").

*Knight* relates to, "An intelligent data content provider system and method for subscriber postings and queries are monitored and evaluated to determine what types of content to retrieve, how to organize such content, and how to present the same." *Knight*, Abstract.

Claim 7 indirectly depends from independent claim 1, independent claim 21 indirectly depends from claim 15, and claim 36 indirectly depends from independent claim 30. For at least the same reasons presented above with respect to claims 1, 15, and 30, Applicants respectfully request withdrawal of the rejection of claims 7, 21, and 36.

S/N: 10/957,919

#### **CONCLUSION**

In view of the amendments and remarks set forth herein, the application is believed to be in condition for allowance and a notice to that effect is solicited. Nonetheless, should any issues remain that might be subject to resolution through a telephonic interview, the examiner is requested to telephone the undersigned.

Respectfully submitted,

ELECTRONICALLY FILED

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839

Electronic Patent Application Fee Transmittal					
Application Number:		10957919			
Filing Date:	04	-Oct-2004			
Title of Invention:		Complex configuration processing using configuration sub-models			
First Named Inventor/Applicant Name:	Na	athan E. Little			
Filer:	Ke	ent Bryan Chamber	rs		
Attorney Docket Number:	T00121				
Filed as Large Entity					
Utility Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					
Page 160 61 507 - 3 months with \$0 paid		1253	1	1050	FOR1059004

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for continued examination	1801	1	810	810
	Tota	al in USE	<b>)</b> (\$)	1860

Electronic Acknowledgement Receipt				
EFS ID:	2379658			
Application Number:	10957919			
International Application Number:				
Confirmation Number:	9162			
Title of Invention:	Complex configuration processing using configuration sub-models			
First Named Inventor/Applicant Name:	Nathan E. Little			
Customer Number:	33438			
Filer:	Kent Bryan Chambers			
Filer Authorized By:				
Attorney Docket Number:	T00121			
Receipt Date:	26-OCT-2007			
Filing Date:	04-OCT-2004			
Time Stamp:	19:14:58			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$1860
RAM confirmation Number	3612
Deposit Account	

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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Information	:								
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Information:									
3 Amendment Submitted/Entered with Filing of CPA/RCE T000121_RCE_Submission_ 4_26_07.pdf 79783 no 19									
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characterize similar to a <u>New Applica</u> If a new app 37 CFR 1.53 shown on th <u>National Sta</u> If a timely so of 35 U.S.C. application in due cours	This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.           National Stage of an International Application under 35 U.S.C. 371           If a timely submission to enter the national stage of an international application is compliant with the condition of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.								
If a new inte components Internationa course, sub	Itional Application Filed with the U ernational application is being filed s for an international filing date (se al Application Number and of the lu ject to prescriptions concerning n establish the international filing d	and the international applee PCT Article 11 and MPE nternational Filing Date (Fo national security, and the date	lication includes the P 1810), a Notificati orm PCT/RO/105) wi	on of the II be issued	d in due				

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

P/	Under the Par		E DETE	ERMINATION			pplication or l	of information unle Docket Number 7,919	Fil	plays a valid ing Date )4/2004	OMB control number.
	AF	PPLICATION A	AS FILE (Column 1		Column 2)		SMALL		OR		HER THAN
	FOR	NU	JMBER FIL	.ED NU	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))	N/A		N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A			N/A	
	AL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =		OR	X \$ =	
INDEPENDENT CLAIMS       minus 3 =       *       X \$ =         (37 CFR 1.16(h))       If the specification and drawings exceed 100							X\$ =				
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_	MULTIPLE DEPEN		ι.				TOTAL			TOTAL	
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	APPI	(Column 1)	AMEND	ED — PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	10/26/2007	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
OME	Total (37 CFR 1.16(i))	* 46	Minus	** 50	= 0		X \$ =		OR	X \$50=	0
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AMI	Application Si	ze Fee (37 CFR 1	.16(s))								
`	FIRST PRESEN	ITATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))				OR		
						•	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	0
		(Column 1)		(Column 2)	(Column 3)						
Т		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
Ч Ц	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
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AMENDMENT	Application Si	ze Fee (37 CFR 1	.16(s))								
AN		ITATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
* If t	he entry in column <sup>.</sup>	1 is less than the e	ntry in col	umn 2 write "0" in	column 3		TOTAL ADD'L FEE		OR	total Add'l Fee	
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Page 166 of 507

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Page 167 of 507

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**EAST Search History** 

Page 168 of 507

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	èd States Paten	T AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/957,919	10/04/2004	Nathan E. Little	T00121	9162
	7590 01/17/200	8	EXAM	INER
P.O. BOX 2035	2 TERRILE, LLP 518		COUGHLAI	N, PETER D
AUSTIN, TX 7	78720		ART UNIT	PAPER NUMBER
			2129	<u></u>
			NOTIFICATION DATE	DELIVERY MODE

#### Please find below and/or attached an Office communication concerning this application or proceeding.

01/17/2008

ELECTRONIC

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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		10/957,9	19	LITTLE ET AL.
Offic	ce Action Summary	Examine	٢	Art Unit
		Peter Cou	Ighlan	2129
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	m adjustment. See 37 CFR 1.704(b).	- -	· ·	
	nive to communication(a) file	d on 26 October 200	7	
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Disposition of Cl	aims			
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	is/are allowed.			· · · ·
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8) Claim(s)	are subject to restric	tion and/or election r	equirement.	
Application Pape	rs		. •	
9) The spec	ification is objected to by the	e Examiner.		
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11) The oath	or declaration is objected to	by the Examiner. N	ote the attached Offic	e Action or form PTO-152.
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•	edgment is made of a claim	for foreign priority un	der 35 U.S.C. & 110/	a)-(d) or (f)
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Page 2

## **Detailed Action**

1. This office action is in response to an AMENDMENT entered October 26, 2007 for the patent application 10/957919 filed on October 4, 2004

2. All previous Office Actions are fully incorporated into this Non-Final Office Action by reference.

#### Status of Claims

3. Claims 1-50 are pending.

#### Claim Rejections - 35 USC § 112

#### 4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

The term "sufficient" in claims 6, 12, 20, 25, 27, 35, 40, 42 is a relative term which renders the claim indefinite. The term "sufficient " is not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention. These claims need to be amended or withdrawn from consideration.

Page 3

Application/Control Number: 10/957,919 Art Unit: 2129

#### 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-46, 48-50 are rejected under 35 U.S.C. 101 for nonstatutory subject

matter. The computer system must set forth a practical application of that § 101 judicial exception to produce a real-world result. <u>Benson</u>, 409 U.S. at 71-72, 175 USPQ at 676-77. The invention is ineligible because it has <u>not been limited to a substantial practical application</u>. Claims that describe a model being broken down into sub-models with corresponding sub-queries is an invention in an abstract form. These claims can be used in numerous applications. As in claim 47 wherein the model is a vehicle or as in the specification ¶0052 the model is a network environment. These claims are broad enough to map onto different applications. The conclusive result has to be a practical application. Without the lack of a single practical application, the invention can be applied to physical objects as well as mathematical models.

In determining whether the claim is for a "practical application," the focus is not on whether the steps taken to achieve a particular result are useful, tangible and concrete, but rather that the <u>final result</u> achieved by the claimed invention is "useful, tangible and concrete." If the claim is directed to a practical application of the § 101

judicial exception producing a result tied to the physical world that does not preempt the judicial exception, then the claim meets the statutory requirement of 35 U.S.C. § 101. Results may pertain to a design of an automobile or a computer system, but no such results have not been claimed.

The invention must be for a practical application and either:

1) specify transforming (physical thing) or

2) have the FINAL RESULT (not the steps) achieve or produce a

useful (specific, substantial, AND credible),

concrete (substantially repeatable/ non-unpredictable), AND

tangible (real world/ non-abstract) result.

A claim that is so broad that it reads on both statutory and non-statutory subject

matter, must be amended.

Claims that recite a model with associated sub-models and queries pertaining to both lack a practical application. There must be a result that is a practical application.

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action: A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-46, 48-50 are rejected under 35 U.S.C. 102(b) (hereinafter referred to as **Henson**) being anticipated by Henson, U. S. Patent 6167383.

Claim 1

Henson teaches receiving one or more configuration gueries related to configuration of a configurable product (Henson, Fig 3A through Fig 5; Being able to receive configuration queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson.); processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and the configuration submodels include data to define compatibility relationships between parts included in the configurable product (Henson, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer.); generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models (Henson, C5:19-27; 'Generating a response' of applicant is equivalent to the 'thank you page' of Henson.); and presenting the response to the one or more configuration queries for display by a display device. (Henson, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store.

'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson.)

#### Claim 2

Henson teaches dividing at least one of the configuration queries into multiple configuration sub-queries, wherein the one or more configuration queries include the multiple configuration sub-queries. (Henson Fig 3A; 'Dividing at least one of the configuration queries into multiple configuration sub-queries' of applicant is illustrated by the different types of 'storage products' of Henson. In this example, one of the 'configuration queries' of applicant is the type of 'storage products' of the computer are desired. In this example 'sub-queries' of applicant is equivalent to the different types of storage products' of applicant is equivalent to the different types of storage products of applicant is equivalent to the different types of storage products available from Henson.)

#### Claim 3

Henson teaches processing each sub-query using at least one configuration submodel per sub-query. (**Henson** Fig 3A; An example of 'sub-model' of applicant is equivalent to 'storage products' of Henson. There are three choices available is the user wants one. By checking off one of the boxes indicating the desire of a given 'storage product' this is equivalent to a 'sub-query' of applicant.)

Claim 4

Henson teaches processing each sub-query using multiple configuration submodels per sub-query. (**Henson,** C6:17-67; 'Processing each sub-query' of applicant is equivalent to 'build a customer configured machine by selecting options listed on the computer screen' of Henson.)

#### Claim 5

Henson teaches wherein the one or more configuration queries relate to a configuration validation problem and processing one or more configuration queries comprises: processing an undivided query using different configuration sub-models until a configuration validation answer can be determined. (**Henson,** 'Configuration validation' of applicant is equivalent to 'validation' of Henson. Henson will notify a user if a conflict of options are chosen and a 'warning message' which allows for a modification of the options.)

#### Claim 6

Henson teaches wherein the data collectively included in the configuration submodels is sufficient to provide a response for each of the sub-queries being processed. (Henson Figs 3A, 3B; 'Provide a response' of applicant is disclosed by the construction of a personal computer system of Henson.)

#### Claim 7

Henson teaches wherein at least two sub-queries include overlapping information. (**Henson** Fig 3A; For example in the 'storage products' sub model, there are three options which represent three sub-queries. All three pertain to 'storage products' thus they have 'overlapping information.')

#### Claim 8

Henson teaches dividing a consolidated model into the multiple configuration sub-model in accordance with a predetermined data structure. (**Henson** Fig 3A through Fig 3B; 'Multiple configuration sub-models' of applicant is equivalent to the different items that compose a personal computer system, such as printers, storage products' or 'hard drive' of Henson.)

#### Claim 9

Henson teaches wherein the predetermined data structure comprises a data structure divided along configuration model part groups, wherein the part groups are a collection of related parts. (**Henson** Fig 3A; A data structure divided along configuration model parts' of applicant is illustrated by only 'storage products' sub-model contains items which are only considered 'storage products' and not another sub-model category.)

Claim 10

Henson teaches generating response for each processed configuration submodel (**Henson,** Fig 3A; 'Generating a response for each processed configuration submodel' of applicant occurs when an incompatibility issue arises of Henson. If no response occurs, then the processed configuration sub-model passes a validation test without incident.); and combining each response for each processed configuration submodel to generate the answer. (**Henson,** Fig 3A; 'Combining each response ... to generate an answer' of applicant is equivalent to combining all the responses of options desired to make a personal computer system which the user designed of Henson.)

#### Claim 11

Henson teaches dividing a consolidated configuration model into the configuration sub-models. (**Henson,** Fig 3A; An example of a 'configuration sub-model' of applicant is equivalent to 'storage products.' Henson divides all the external storage devices under one category of 'storage products.')

#### Claim 12

Henson teaches dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer assisted configuration technology while still representing the relationships including in the consolidation configuration model. (**Henson,** Fig 3A; An example of a 'configuration sub-model' of applicant is equivalent to 'storage products.' Only 'storage products' are within the 'storage products' sub-model. In

Page 10

addition, there are no 'storage products' within another 'sub-model.' This indicates that the configuration model is sufficiently divided.)

#### Claim 13

Henson teaches wherein each configuration sub-model represents a portion of the consolidated model. (**Henson,** Fig 3A; An example of a 'model' of applicant is equivalent to 'Dell dimension XPS R' of Henson.) An example of a 'sub-model' of applicant is equivalent to 'storage products' of Henson.)

#### Claim 14

Henson teaches dividing a consolidated configuration model into multiple configuration sub-models (Henson, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer.); responding to the one or more configuration queries, wherein responding to the one or more configuration queries comprises: processing the one or more configuration queries using the configuration sub-models and the configuration submodels include data to define compatibility relationships between parts including in the configurable product (Henson, Fig 3A; An example of 'sub-model' of applicant is equivalent to 'video card' of Henson. In this figure there is a check mark next to the 'video card' sub-model.' This indicates there is a conflict between the chosen 'video card' and the chosen 'operating system' of Henson. This lack of validation between these two items indicates a 'compatibility relationship' of applicant.); generating a

Page 11

response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models (**Henson**, C5:19-27; 'Generating a response' of applicant is equivalent to the 'thank you page' of Henson.); and presenting the response to the one or more configuration queries for display by a display device. (**Henson**, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the 'thank you page' is outputted on a 'display' of Henson.)

#### Claim 15

Henson teaches a processor (**Henson**, Fig 11; 'Processor' of applicant is equivalent to 'CPU' of Henson.) a storage medium having data encoded therein, the data comprising processor executable code for (**Henson**, Fig 11; 'Storage medium' of applicant is equivalent to 'hard drive/disk' of Henson.): receiving one or more configuration queries related to configuration of a configurable product (**Henson**, Fig 3A through Fig 5; Being able to receive configuration queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson.); processing the one or more configuration queries using configuration sub-models, wherein the configurable sub-models collectively model the configurable product and the configuration sub-models includes data to define compatibility relationships between parts including in the configurable product (**Henson**, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are

available for that given computer.); generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub models (**Henson**, C5:19-27; 'Generating a response' of applicant is equivalent to the 'thank you page' of Henson.); and presenting the response to the one or more configuration queries for display by a display device. (**Henson**, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal

computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson.)

# Claim 16

Henson teaches dividing at least one of the configuration queries into multiple configuration sub-queries, wherein the one or more configuration queries include the multiple configuration sub-queries. (**Henson** Fig 3A; 'Dividing at least one of the configuration queries into multiple configuration sub-queries' of applicant is illustrated by the different types of 'storage products' of Henson. In this example, one of the 'configuration queries' of applicant is the type of 'storage products' of the computer are desired. In this example 'sub-queries' of applicant is equivalent to the different types of storage products of applicant is equivalent to the different types of storage products available from Henson.)

# Claim 17

Henson teaches wherein the one or more configuration queries relate to a configuration completion problem and the code for processing one or more

configuration queries further comprises: processing each sub-query using at least one configuration sub-model per sub-query. (**Henson** Fig 3A; An example of 'sub-model' of applicant is equivalent to 'storage products' of Henson. There are three choices available is the user wants one. By checking off one of the boxes indicating the desire of a given 'storage product' this is equivalent to a 'sub-query' of applicant.)

## Claim 18

Henson teaches processing each sub-query using multiple configuration submodels per sub-query. (**Henson,** C6:17-67; 'Processing each sub-query' of applicant is equivalent to 'build a customer configured machine by selecting options listed on the computer screen' of Henson.)

# Claim 19

Henson teaches processing an undivided query using different configuration submodels until a configuration validation answer can be determined. (**Henson**, 'Configuration validation' of applicant is equivalent to 'validation' of Henson. Henson will notify a user if a conflict of options are chosen and a 'warning message' which allows for

a modification of the options.)

# Claim 20

Henson teaches wherein the data collectively included in the configuration submodels is sufficient to provide a response for each of the sub-queries being processed.

Page 14

(**Henson** Figs 3A, 3B; 'Provide a response' of applicant is disclosed by the construction of a personal computer system of Henson.)

# Claim 21

Henson teaches wherein at least two sub-queries include overlapping information. (**Henson** Fig 3A; For example in the 'storage products' sub model, there are three options which represent three sub-queries. All three pertain to 'storage products' thus they have 'overlapping information.')

# Claim 22.

Henson teaches dividing the configuration sub-models in accordance with a predetermined data structure (**Henson** Fig 3A; A data structure divided along configuration model parts' of applicant is illustrated by only 'storage products' sub-model contains items which are only considered 'storage products' and not another sub-model category.); and dividing the sub-queries in accordance with sub-model structure. (**Henson** Fig 3A; 'Sub-queries' of applicant are only within a given sub-model. 'Storage products' of Henson is equivalent to a 'sub-model of applicant. A response to one of the choices within 'storage products' is equivalent to 'sub-queries' of applicant.)

# Claim 23

Henson teaches wherein the predetermined data structure comprises a data structure divided along configuration model part groups, wherein the part groups are a

collection of related parts. (**Henson,** Fig 3A; An example of a 'model part groups' of applicant is equivalent to 'storage products.' Henson divides all the external storage devices under one category or 'related parts' of 'storage products.')

# Claim 24

Henson teaches wherein the code for generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models further comprises code for (**Henson**, Fig 3A; 'Code for generating a response to the one or more configurations' of applicant is equivalent to the code needed to generate the web page which is illustrated in Fig. 3A. This web page is used to generate queries for the development of a design of a personal computer.): generating a response for each processed configuration sub-model (**Henson**, Fig 3A; 'Generating a response for each processed configuration sub-model (**Henson**, Fig 3A; 'Generating a response for each processed configuration sub-model (**Henson**, Fig 3A; 'Generating a response for each processed configuration sub-model (**Henson**, Fig 3A; 'Generating a response for each processed configuration sub-model (**Henson**, Fig 3A; 'Generating a response for each processed configuration sub-model (**Henson**, Fig 3A; 'Generating a response for each processed configuration sub-model (**Henson**, Fig 3A; 'Generating a response for each processed configuration sub-model (**Henson**, Fig 3A; 'Generating a response for each processed configuration sub-model (**Henson**, Fig 3A; 'Generating a response for each processed configuration sub-model incident.); and combining each response for each processed configuration sub-model to generate the answer. (**Henson**, Fig 3A; 'Combining each response ... to generate an answer' of applicant is equivalent to combining all the responses of options desired to make a personal computer system which the user designed of Henson.)

Claim 25

Page 184 of 507

Henson teaches dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer system while still representing the relationships including in the consolidated configuration model. (**Henson**, Fig 3A; An example of a 'configuration sub-model' of applicant is equivalent to 'storage products.' Only 'storage products' are within the 'storage products' sub-model. In addition, there are no 'storage products' within another 'sub-model.' This indicates that the configuration model is sufficiently divided.)

# Claim 26

Henson teaches dividing a consolidated configuration model into the configuration sub-models. (**Henson,** Fig 3A; 'Model of applicant is equivalent to 'Dell dimension XPS R' of Henson. 'Sub-model' of applicant is equivalent to 'storage products' of Henson.)

#### Claim 27

Henson teaches dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer system while still representing the relationships included in the consolidated configuration model. (**Henson,** Fig 3A; An example of a 'configuration sub-model' of applicant is equivalent to 'storage products.' Only 'storage products' are within the 'storage products' sub-model. In addition, there are no 'storage products'

within another 'sub-model.' This indicates that the configuration model is sufficiently divided.)

### Claim 28

Henson teaches wherein each configuration sub-model represents a portion of the consolidated configuration model. (**Henson**, Fig 3A; 'Model of applicant is equivalent to 'Dell dimension XPS R' of Henson. 'Sub-model' of applicant is equivalent to 'storage products' of Henson. 'Storage products', 'speakers' or 'video card' of all portions of a model.)

## Claim 29

Henson teaches a processor (**Henson**, Fig 11; 'Processor' of applicant is equivalent to 'CPU' of Henson.) a storage medium having data encoded therein, the data comprising processor executable code for (**Henson**, Fig 11; 'Storage medium' of applicant is equivalent to 'hard drive/disk' of Henson.): dividing a consolidated configuration model into multiple configuration sub-models (**Henson**, Fig 3A; 'Model of applicant is equivalent to 'Dell dimension XPS R' of Henson. 'Sub-model' of applicant is equivalent to 'storage products' of Henson.); responding to the one or more configuration queries, wherein responding to the one or more configuration queries comprises (**Henson**, Fig 3A through Fig 5; Being able to receive configuration queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson.); processing the one or more configuration queries using

the configuration sub-models, and the configuration sub-models include data to define compatibility relationships between parts including in the configurable product (**Henson**, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer.); generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models (**Henson**, C5:19-27; 'Generating a response' of applicant is equivalent to the 'thank you page' of Henson.); and presenting the response to the one or more configuration queries for display by a display device. (**Henson**, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson.)

# Claim 30

Henson teaches receiving one or more configuration queries related to configuration of a configurable product (**Henson**, Fig 3A through Fig 5; Being able to receive configuration queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson.); processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and the configuration submodels include data to define compatibility relationships between parts included in the configurable product (**Henson**, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer.);

Page 19

generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models (**Henson,** C5:19-27; 'Generating a response' of applicant is equivalent to the 'thank you page' of Henson.); and presenting the response to the one or more configuration queries for display by a display device. (**Henson,** C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the 'thank you page' is outputted on a 'display' of Henson.)

# Claim 31.

Henson teaches dividing at least one configuration queries into multiple configuration sub-queries, wherein the one or more configuration queries including the multiple configuration sub-queries. (**Henson** Fig 3A; 'Dividing at least one of the configuration queries into multiple configuration sub-queries' of applicant is illustrated by the different types of 'storage products' of Henson. In this example, one of the 'configuration queries' of applicant is the type of 'storage products' of the computer are desired. In this example 'sub-queries' of applicant is equivalent to the different types of storage products available from Henson.)

#### Claim 32

Henson teaches wherein the one or more configuration queries relate to a configuration completion problem and the code for processing one or more

#### Page 20

configuration queries further comprises: processing each sub-query using at least one configuration sub-model per sub-query. (**Henson** Fig 3A; An example of 'sub-model' of applicant is equivalent to 'storage products' of Henson. There are three choices available is the user wants one. By checking off one of the boxes indicating the desire of a given 'storage product' this is equivalent to a 'sub-query' of applicant.)

# Claim 33

Henson teaches processing each sub-query using multiple configuration submodels per sub-query. (**Henson**, C6:17-67; 'Processing each sub-query' of applicant is equivalent to 'build a customer configured machine by selecting options listed on the computer screen' of Henson.)

#### Claim 34

Henson teaches processing an undivided query using different configuration submodels until a configuration validation answer can be determined. (**Henson**, 'Configuration validation' of applicant is equivalent to 'validation' of Henson. Henson will notify a user if a conflict of options are chosen and a 'warning message' which allows for a modification of the options.)

# Claim 35

Henson teaches wherein the data collectively included in the configuration submodels is sufficient to provide a response for each of the sub-queries being processed.

Page 21

(**Henson** Figs 3A, 3B; 'Provide a response' of applicant is disclosed by the construction of a personal computer system of Henson.)

# Claim 36

Henson teaches wherein at least two sub-queries include overlapping information. (**Henson** Fig 3A; For example in the 'storage products' sub model, there are three options which represent three sub-queries. All three pertain to 'storage products' thus they have 'overlapping information.')

# Claim 37

Henson teaches dividing the configuration sub-models in accordance with a predetermined data structure (**Henson** Fig 3A; A data structure divided along configuration model parts' of applicant is illustrated by only 'storage products' sub-model contains items which are only considered 'storage products' and not another sub-model category.); and dividing the sub-queries in accordance with the sub-model structure. (**Henson** Fig 3A; 'Sub-queries' of applicant are only within a given sub-model. 'Storage products' of Henson is equivalent to a 'sub-model of applicant. A response to one of the choices within 'storage products' is equivalent to 'sub-gueries' of applicant.)

# Claim 38

Henson teaches wherein the predetermined data structure comprises a data structure divided along configuration model part groups, wherein the part groups are a

collection of related parts. (**Henson,** Fig 3A; An example of a 'model part group' of applicant is equivalent to 'storage products.' Henson divides all the external storage devices under one category of 'storage products.')

## Claim 39

Henson teaches generating a response for each processed configuration submodel (**Henson,** Fig 3A; 'Generating a response for each processed configuration submodel' of applicant occurs when an incompatibility issue arises of Henson. If no response occurs, then the processed configuration sub-model passes a validation test without incident.); and combining each response for each processed configuration submodel to generate the answer. (**Henson,** Fig 3A; 'Combining each response ... to generate an answer' of applicant is equivalent to combining all the responses of options desired to make a personal computer system which the user designed of Henson.)

# Claim 40

Henson teaches dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer system while still representing the relationship included in the consolidated model. (**Henson,** Fig 3A; An example of a 'configuration sub-model' of applicant is equivalent to 'storage products.' Only 'storage products' are within the 'storage products' sub-model. In addition, there are no 'storage products' within another 'sub-model.' This indicates that the configuration model is sufficiently divided.)

Page 22

#### Claim 41

Henson teaches dividing a consolidated configuration model into the configuration sub-models. (**Henson,** Fig 3A; An example of a 'model' of applicant is equivalent to 'Dell dimension XPS R' of Henson.) An example of a 'sub-model' of applicant is equivalent to 'storage products' of Henson.)

# Claim 42

Henson teaches dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing available data processing capabilities of the computer system while still representing the relationships including in the consolidated configuration model. (**Henson,** Fig 3A; An example of a 'configuration submodel' of applicant is equivalent to 'storage products.' Only 'storage products' are within the 'storage products' sub-model. In addition, there are no 'storage products' within another 'sub-model.' This indicates that the configuration model is sufficiently divided.)

#### Claim 43

Henson teaches wherein each configuration sub-model represents a portion of the consolidated configuration model. (**Henson**, Fig 3A; 'Model of applicant is equivalent to 'Dell dimension XPS R' of Henson. 'Sub-model' of applicant is equivalent to 'storage products' of Henson. 'Storage products', 'speakers' or 'video card' of all portions of a model.)

#### Claim 44

Henson teaches dividing a consolidated configuration model into multiple configuration sub-models (Henson Fig 3A through Fig 3B; 'Multiple sub-models' of applicant is equivalent to the different items that compose a personal computer system, such as printers, storage products' or 'hard drive' of Henson.); responding to the one or more configuration queries, wherein responding to the one or more configuration queries comprises (Henson, Fig 3A through Fig 5; Being able to receive configuration queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson.): processing the one or more configuration queries using the configuration sub-models and the configuration sub-models include data to define compatibility relationships between parts included in the configurable product(Henson, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer.); generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models; and presenting the response to the one or more configuration queries for display by a display device. (Henson, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson.)

Claim 45

Henson teaches means for receiving one or more configuration gueries related to configuration of a configurable product (Henson, Fig 3A through Fig 5; Being able to receive configuration gueries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson.); means for processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and the configuration sub-models include data to define compatibility relationships between parts included in the configurable product (Henson, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer.); means for generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models; and means for presenting the response to the one or more configuration queries for display by a display device. (Henson, C5:66 through C6:4: Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson. 'Generating a response' of applicant is the executing of the code which generates the 'thank you page.')

Page 25

#### Claim 46

Henson teaches means for dividing a consolidated configuration model into the configuration sub-models. (**Henson** Fig 3A through Fig 3B; 'Multiple sub-models' of

Page 26

applicant is equivalent to the different items that compose a personal computer system, such as printers, storage products' or 'hard drive' of Henson.)

#### Claim 48

Henson teaches displaying the response on the display device. (**Henson**, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson.)

### Claim 49

Henson teaches wherein the configuration sub-models each comprise data and rules to define compatibility relationships between parts included in a product. (**Henson**, Fig 3A; An example of 'sub-model' of applicant is equivalent to 'video card' of Henson. In this figure there is a check mark next to the 'video card' sub-model.' This indicates there is a conflict between the chosen 'video card' and the chosen 'operating system' of Henson. This lack of validation between these two items indicates a 'compatibility relationship' of applicant.)

#### Claim 50

Henson teaches wherein the configuration problem comprises a configuration problem involving parts of a product. (**Henson** Figs 3A and 3B; 'Parts of a product' of applicant is equivalent to the parts of a computer of Henson.)

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claim 47 is rejected under 35 U.S.C. 103(a) as being unpatentable over Henson

as set forth above, in view of Henson.

('http://web.archive.org/web/20030324212039/http://fordvehicles.com/', referred to as

FoMoCo)

Claim 47

Henson does not teach wherein the configurable product is a vehicle.

FoMoCo teaches wherein the configurable product is a vehicle. (FoMoCo, 1;

The web site for the Ford Motor Company is related to motor vehicles.) It would have

been obvious to a person having ordinary skill in the art at the time of applicant's

invention to modify the teachings of Henson by building cars with specific options as

taught by FoMoCo to have wherein the configurable product is a vehicle.

For the purpose of enabling the user to see what options are available in a vehicle to aid in the purchase decision making process.

# **Response to Arguments**

5. Applicant's arguments filed on October 26, 2007 for claims 1-50 have been fully

considered but are not persuasive.

6. In reference to the Applicant's argument:

Claim Rejections - 35 U.S.C. § 112

Claims 12, 25, 27, 40, and 42 stand rejected under 35 U.S.C. § 112, first paragraph, as failing to comply with the written description requirement. Applicants respectfully traverse the rejection.

Claims 12, 25, 27, 40, and 42 stand rejected because of the term "low enough" because "there is no algorithm, guidelines or system to aid in the determination [of] the level of complexity in regards to a given computer system." Office Action, p. 3. Applicants have amended claims 12, 25, 27, 40, and 42. Applicants respectfully submit that the claims themselves provide sufficient guidelines to aid in such determination. More specifically, the claims 12, 25, 27, 40, and 42 recite "dividing the configuration model" and the guidelines are "dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing capabilities

Page 29

of the computer assisted configuration technology while still representing the relationships included in the consolidated configuration model." (emphasis added).

Accordingly, Applicants respectfully request withdrawal of the rejection.

Examiner's response:

The Examiner note the change in claim language and withdraws the 35 U.S.C.

§112 1<sup>st</sup> paragraph rejection.

7. In reference to the Applicant's argument:

Claim Rejections - 35 U.S.C. § 101

Claims 1-50 stand rejected under 35 U.S.C. § 101. Applicants respectfully traverse the rejection.

Applicants respectfully submit that the Present Application discloses a practical application as a matter of fact, and the claims are directed to statutory matter pursuant to 35 U.S.C. § 101. The Present Application sets forth the practical utility of computer assisted product configuration. Specifically, the Present Application states that, "Computer assisted product configuration continues to offer substantial benefits to a wide range of users and industries." Present Application, paras. 2. Product configuration processes utilize configuration queries and configuration models. Id., paras. 2-4. The Present Application also states that, "A configuration model dividing and configuration sub-model inference processing system and procedure addresses the issue of configuration model and query complexity." Id., para. 21. The claims, as relevantly represented by claim 1, are directed towards the practical application of computer assisted configuration technology to respond to one or more configuration queries using configuration sub-models." Furthermore, the claims provide a useful, concrete, and tangible result by "receiving one or more configuration queries related to configuration of a configurable product" and "presenting [a] [generated] response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models] for display by a display device." Claim 1.

Independent claims 14, 15, 29, 30, 44, and 45 include similar recitations. Accordingly, Applicants respectfully request withdrawal of the rejection.

Examiner's response:

Claims 1-46, 48-50 are rejected for lack of a practical application and

preemption. All but one of the claims is explained as a abstract concept. They can be

employed in numerous applications. This is why the 35 U.S.C. §101 rejection for lacking

a practical application and preemption. Office Action stands.

8. In reference to the Applicant's argument:

Claim Rejections - 35 U.S.C. § 102

Claims 1-6, 8-20, 22-35, and 37-50 stand rejected under 35 U.S.C. § 102(b) as being anticipated by Publication No. 20030187950 to Rising (hereinafter "Rising"). Applicants respectfully traverse the rejection.

Rising teaches an apparatus that include "an MPEG-7 content description query generation tool coupled to a search engine configured for searching and comparing embedded MPEG-7 META tag information within file headers, or database information thereof, to the MPEG-7 content description query." Rising, Abstract.

Claim 1 of the present application recites:

A method for using computer assisted configuration technology to respond to one or more configuration queries using configuration sub- models, the method comprising:

receiving one or more configuration queries related to configuration of a configurable product;

processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and the configuration sub-models include data to define compatibility relationships between parts included in the configurable product; generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models; and

presenting the response to the one or more configuration queries for display by a display device.

Rising teaches that "Terms A, B, C" are "query terms". Rising, para. 65. For example, Rising teaches that, "Query term "A" 178 can be described by a descriptive name field 180 and it can receive a series of query elements within a query element entry field 182." "Similar information is provided for a term "B" and term C. Id. Rising further teaches that item 208 is "a query statement field" that connects terms in a multi- term query. Id.

Applicants respectfully submit that the "configuration sub-models" recited in Claims 1, 14, 15, 29, 30, 44, and 45 are clearly not query terms or multi-term queries. Claims 1, 14, 15, 29, 30, 44, and 45 recite "processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and the configuration sub-models include data to define compatibility relationships between parts included in the configurable product." Thus, configuration "queries" and configuration "sub-models" represent distinct terms as used in claims 1, 14, 15, 29, 30, 44, and 45.

Furthermore, not only are "queries" and "sub-models" demonstratively distinct within claims 1, 14, 15, 29, 30, 44, and 45, the "configuration sub-models of claims 1, 14, 15, 29, 30, 44, and 45 are specifically distinct from the queries taught and suggested by Rising. More specifically, Rising teaches that Terms A, B, and C and item 208 (or the contents therein) are queries. Applicants respectfully submit that Terms A, B, and C and item 208 cannot be the equivalent of the configuration sub-models of claims 1, 14, 15, 29, 30, 44, and 45 because the queries of Rising clearly do not define an underlying o\_b2b'ect. The queries are formulated to detect data within an underlying object. In contrast, "the configuration sub-models include data to define compatibility relationships between parts included in the configurable product." Thus, Applicants respectfully submit that Rising neither teaches nor suggests the present invention of claims 1, 14, 15, 29, 30, 44, and 45.

Accordingly, for at least the foregoing reasons, Applicants respectfully request withdrawal of the rejection of claims 1, 14, 15, 29, 30, 44, and 45. For at least the same reasons, Applicants respectfully request withdrawal of the rejection of claims directly or indirectly dependent upon claims 1, 14, 15, 29, 30, 44, and 45.

Examiner's response:

Rising is no longer used as a reference. Henson is used as a reference which describes a user being able to order a personal computer system via the Internet. The user can construct a computer with various components which indicate sub-models. The ordering of the specific sub-models is equivalent to sub-queries. Office Action stands.

# 9. In reference to the Applicant's argument:

Claim Rejections - 35 U.S.C. § 103

Claims 7, 21, and 36 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Rising in view of U.S. Patent No. 6,721,748 issued to Knight (hereinafter "Knight").

Knight relates to, "An intelligent data content provider system and method for subscriber postings and queries are monitored and evaluated to determine what types of content to retrieve, how to organize such content, and how to present the same." Knight, Abstract.

Claim 7 indirectly depends from independent claim 1, independent claim 21 indirectly depends from claim 15, and claim 36 indirectly depends from independent claim 30. For at least the same reasons presented above with respect to claims 1, 15, and 30, Applicants respectfully request withdrawal of the rejection of claims 7, 21, and 36.

Examiner's response:

Knight is no longer used as a reference. An old web site from the Ford Motor

Company (copyright 2003) is used to disclose the product is a vehicle. This is

illustrated under the pull down menu for cars or trucks links which enables the user to

construct a vehicle with specific components and compatibility issues regarding certain models of Ford cars and trucks. Office Action stands.

# **Examination Considerations**

10. The claims and only the claims form the metes and bounds of the invention. "Office personnel are to give the claims their broadest reasonable interpretation in light of the supporting disclosure. *In re Morris*, 127 F.3d 1048, 1054-55, 44USPQ2d 1023, 1027-28 (Fed. Cir. 1997). Limitations appearing in the specification but not recited in the claim are not read into the claim. *In re Prater*, 415 F.2d, 1393, 1404-05, 162 USPQ 541, 550-551 (CCPA 1969)" (MPEP p 2100-8, c 2, I 45-48; p 2100-9, c 1, I 1-4). The Examiner has the full latitude to interpret each claim in the broadest reasonable sense. Examiner will reference prior art using terminology familiar to one of ordinary skill in the art. Such an approach is broad in concept and can be either explicit or implicit in meaning.

11. Examiner's Notes are provided to assist the applicant to better understand the nature of the prior art, application of such prior art and, as appropriate, to further indicate other prior art that maybe applied in other office actions. Such comments are entirely consistent with the intent and sprit of compact prosecution. However, and

Page 34

unless otherwise stated, the Examiner's Notes are not prior art but link to prior art that one of ordinary skill in the art would find inherently appropriate.

12. Examiner's Opinion: Paragraphs 10 and 11 apply. The Examiner has full latitude to interpret each claim in the broadest reasonable sense.

# Conclusion

13. The prior art of record and not relied upon is considered pertinent to the applicant's disclosure.

-Archived Ford Motor Company web sites.

-U. S. Patent 6714937: Eynon

-U. S. Patent 6543047: Vrhel

-U. S. Patent 6378119: Raves

-U. S. Patent 6182275: Beelitz

14. Claims 1-50 are rejected.

# Correspondence Information

15. Any inquiry concerning this information or related to the subject disclosure should be directed to the Examiner Peter Coughlan, whose telephone number is (571) 272-5990. The Examiner can be reached on Monday through Friday from 7:15 a.m. to 3:45 p.m.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor David Vincent can be reached at (571) 272-3080. Any response to this office action should be mailed to:

Commissioner of Patents and Trademarks,

Washington, D. C. 20231;

Hand delivered to:

Receptionist,

Customer Service Window,

Randolph Building,

401 Dulany Street,

Alexandria, Virginia 22313,

(located on the first floor of the south side of the Randolph Building);

or faxed to:

(571) 272-3150 (for formal communications intended for entry.)

Page 36

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <u>http://pair-direct.uspto.gov</u>. Should you have any questions on access to Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll free).

Peter Coughlan 12/24/2007

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Notice of References Cited	Application/Control No.       Applicant(s)/Patent Under         10/957,919       Reexamination         LITTLE ET AL.			
	Examiner	Art Unit		
	Peter Coughlan	2129	Page 1 of 1	

#### **U.S. PATENT DOCUMENTS**

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	NON-PATENT DOCUMENTS				
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Page 206 of 507

Part of Paper No. 12212007



# UNITED STATES PATENT AND TRADEMARK OFFICE

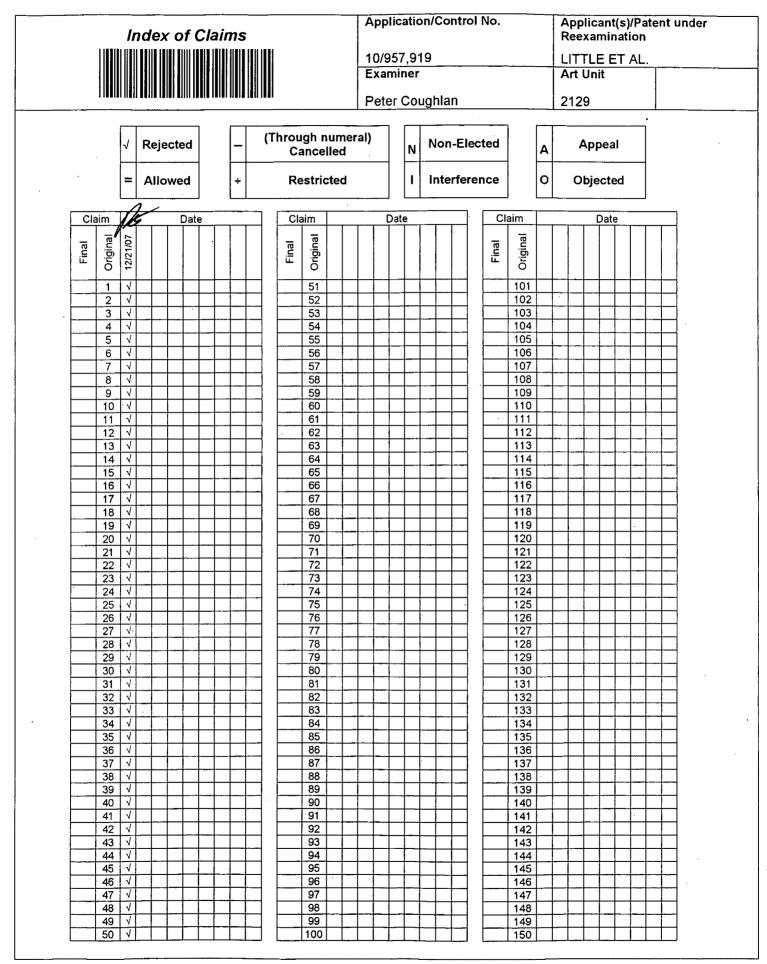
UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Tradamark Office Addres: COMMISSIONER FOR PATENTS P.O. Box 1410 Almandria, Viginis 72013-1450

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CONFIRMATION NO. 9162

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SERIAL NUMBE 10/957,919	FILING OR 371(c) DATE 10/04/2004 RULE	DATE         CLASS           10/04/2004         706		INIT ATTORNEY DOCKET NO. T00121
APPLICANTS Nathan E. Little, Austin, TX; Brandon M. Beck, Austin, TX; Brian K. Showers, Cedar Park, TX; ** CONTINUING DATA ** FOREIGN APPLICATIONS IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 12/07/2004				
Foreign Priority claimed vest on a state of the second state of th		SHEETS DRAWING 8	TOTAL INDEPENDENT CLAIMS 46 7	
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U.S. Patent and Trademark Office

Part of Paper No. 12212007

# Page 208 of 507



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Application/Control No.	Applicant(s)/Patent under Reexamination
10/957,919	LITTLE ET AL.
Examiner	Art Unit

SEARCHED Subclass Date Examiner Class PDC 705 56 12/24/2007 PDC 706 20 12/24/2007 .

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EastIIcentral procesing unit, rules, spcification, elements, sub-elements, database, overlap, common range	12/24/2007	PDC
EastIIIcombining answers, matching, retrieving, images, requirements, computer configuring, order, sales, internet	12/24/2007	PDC
IEEENathan E. Little, Brandon M. Beck, Brian K. Showers, combining answers, matching, retrieving, images, requirements	12/24/2007	PDC
IEEEmultimedia, knowledgebase, structure, query, sub-query, model, sub-model, answer, sub-answer, processor, cpu	12/24/2007	PDC
IEEEcentral procesing unit, rules, spcification, elements, sub-elements, database, overlap, common range	12/24/2007	PDC
Inventors Nathan E. Little, Brandon M. Beck, Brian K. Showers	12/24/2007	PDC

Peter Coughlan

2129

**SEARCH NOTES** 

(INCLUDING SEARCH STRATEGY)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Applicant(s): Nathan E. Little, Brandon M. Beck, Brian K. Showers Assignee: Trilogy Development Group, Inc. Title: **Complex Configuration Processing Using Configuration Sub-Models** Serial No.: 10/957.919 Filing Date: October 4, 2004 Examiner: Peter D. Coughlan Group Art Unit: 2129 Docket No.: T00121 Customer No.: 33438

Austin, Texas July 12, 2008

# ELECTRONICALLY FILED

# **RESPONSE TO NON-FINAL OFFICE ACTION**

Dear Sir:

This paper is responsive to the Office Action dated January 17, 2008, having a shortened statutory period expiring April 17, 2008. Accompanying this response is a petition under 37 C.F.R. § 1.136 for extension of time by three (3) months setting a new time for response of July 17, 2008. Further examination and reconsideration are respectfully requested in view of the amendments and remarks set forth below.

# **AMENDMENTS TO THE CLAIMS**

1	1. (Currently Amended) A method for using computer ass	isted configuration
2	technology to respond to one or more configuration queries using conf	iguration sub-
3	models, the method comprising:	
4	receiving one or more configuration queries related to represen	ting a questions
5	involving parts and part relationships in a configuration	of a configurable
6	product;	
7	processing the one or more configuration queries using configu	aration sub-models,
8	wherein the configuration sub-models collectively mod	el the configurable
9	product and [[the]] <u>each</u> configuration sub-models inclu	<del>ide</del> <u>sub-model</u>
10	includes data to define compatibility relationships betw	een parts included
11	in the configuration sub-model configurable product;	
12	generating a response to the one or more configuration queries	based upon the
13	processed one or more configuration queries and the co	nfiguration sub-
14	models; and	
15	presenting the response to the one or more configuration querie	es for display by a
16	display device.	
1	2. (Previously Presented) The method of claim 1 further c	omprising:
2	dividing at least one of the configuration queries into multiple	
3	queries, wherein the one or more configuration queries	include the
4	multiple configuration sub-queries.	
1	3. (Previously Presented) The method of claim 2 wherein	
2	configuration queries relate to a configuration completion problem and	l processing one or
3	more configuration queries further comprises:	
4	processing each sub-query using at least one configuration sub	-model per sub-
5	query.	

1	4.	(Original) The method of claim 2 further comprising:
2	proces	ssing each sub-query using multiple configuration sub-models per sub-
3		query.
1	5.	(Previously Presented) The method of claim 2 wherein the one or more
2	configuration	queries relate to a configuration validation problem and processing one or
3	more configu	ration queries further comprises:
4	proces	ssing an undivided query using different configuration sub-models until a
5		configuration validation answer can be determined.
1	6.	(Currently Amended) The method of claim 2 wherein the data collectively
2	included in th	e configuration sub-models is sufficient to provide provides a response for
3	each of the su	b-queries being processed.
1	7.	(Original) The method of claim 2 wherein at least two sub-queries include
2	overlapping i	nformation.
1	8.	(Previously Presented) The method of claim 2 further comprising:
2	dividi	ng a consolidated configuration model into the multiple configuration sub-
3		models in accordance with a predetermined data structure;
4	where	in at least one of the configuration queries into multiple configuration sub-
5		queries further comprises dividing the sub-queries in accordance with the
6		sub-model structure.
1	9.	(Previously Presented) The method of claim 8 wherein the predetermined
2	data structure	comprises a data structure divided along configuration model part groups,
3	wherein the p	art groups are a collection of related parts.

-3 of 21-

10.	(Previously Presented) The method of claim 1 wherein generating a				
response to th	e one or more configuration queries based upon the processed one or more				
configuration queries and the configuration sub-models further comprises:					
generating a response for each processed configuration sub-model; and					
combi	ning each response for each processed configuration sub-model to generate				
	the answer.				
11.	(Original) The method of claim 1 further comprising:				
dividi	ng a consolidated configuration model into the configuration sub-models.				
12.	(Currently Amended) The method of claim 11 wherein dividing the				
consolidated of	configuration model into multiple configuration sub-models further				
comprises:					
dividi	ng the configuration model sufficiently so that complexity of each				
	configuration sub-model allows processing using available data processing				
	capabilities of the computer assisted configuration technology while still				
	representing the relationships included in the consolidated configuration				
	model.				
13.	(Original) The method of claim 11 wherein each configuration sub-model				
represents a p	ortion of the consolidated configuration model.				
14.	(Currently Amended) A method for using computer assisted configuration				
technology to	respond to one or more configuration queries using configuration sub-				
models, the m	nethod comprising:				
dividi	ng a consolidated configuration model into multiple configuration sub-				
	models;				
respor	nding to the one or more configuration queries, wherein responding to the				
	one or more configuration queries comprises:				
proces	ssing the one or more configuration queries using configuration sub-models,				
	wherein the configuration sub-models collectively model the configurable				
	response to the configuration genera combi- 11. dividi 12. consolidated comprises: dividi 13. represents a p 14. technology to models, the m dividi				

10	product and [[the]] <u>each</u> configuration sub-models include sub-model		
11	includes data to define compatibility relationships between parts included		
12	in the configuration sub-model configurable product;		
13	generating a response to the one or more configuration queries based upon the		
14	processed one or more configuration queries and the configuration sub-		
15	models; and		
16	presenting the response to the one or more configuration queries for display by a		
17	display device.		
1	15. (Currently Amended) A computer system to implement an inference		
2	procedure for responding to one or more configuration queries using configuration sub-		
3	models, the system comprising:		
4	a processor; and		
5	a storage medium having data encoded therein, the data comprising processor		
6	executable code for:		
7	receiving one or more configuration queries related to representing a		
8	questions involving parts and part relationships in a configuration		
9	of a configurable product;		
10	processing the one or more configuration queries using configuration sub-		
11	models, wherein the configuration sub-models collectively model		
12	the configurable product and [[the]] <u>each</u> configuration sub-models		
13	include sub-model includes data to define compatibility		
14	relationships between parts included in the configuration sub-		
15	model configurable product;		
16	generating a response to the one or more configuration queries based upon		
17	the processed one or more configuration queries and the		
18	configuration sub-models; and		
19	presenting the response to the one or more configuration queries for		
20	display by a display device.		

1	16.	(Previously Presented) The computer system of claim 15 wherein the data			
2	further comprises processor executable code for:				
3	dividing at least one of the configuration queries into multiple configuration sub-				
4		queries, wherein the one or more configuration queries include the			
5		multiple configuration sub-queries.			
1	17.	(Previously Presented) The computer system of claim 16 wherein the one			
2		guration queries relate to a configuration completion problem and the code			
3	for processing one or more configuration queries further comprises:				
4	processing each sub-query using at least one configuration sub-model per sub-				
5	-	query.			
1	18.	(Original) The computer system of claim 16 wherein the data further			
2	comprises processor executable code for:				
3	processing each sub-query using multiple configuration sub-models per sub-				
4		query.			
1	19.	(Previously Presented) The computer system of claim 16 wherein the one			
2	or more confi	guration queries relate to a configuration validation problem and when			
3	solving the configuration validation problem, and the code for processing one or more				
4	configuration queries further comprises:				
5	processing an undivided query using different configuration sub-models until a				
6		configuration validation answer can be determined.			
1	20.	(Currently Amended) The computer system of claim 16 wherein the data			
2	collectively included in the configuration sub-models is sufficient to provide provides a				
3	response for each of the sub-queries being processed.				
1	21.	(Original) The computer system of claim 16 wherein at least two sub-			
2	queries include overlapping information.				

1	22.	(Previously Presented) The computer system of claim 16 wherein the code			
2	further comprises code for:				
3	dividing the configuration sub-models in accordance with a predetermined data				
4		structure; and			
5	dividi	ng the sub-queries in accordance with the sub-model structure.			
1	23.	(Previously Presented) The computer system of claim 22 wherein the			
2	predetermined	d data structure comprises a data structure divided along configuration			
3	model part groups, wherein the part groups are a collection of related parts.				
1	24.	(Previously Presented) The computer system of claim 15 wherein the code			
2	for generating	a response to the one or more configuration queries based upon the			
3	processed one or more configuration queries and the configuration sub-models further				
4	comprises code for:				
5	generating a response for each processed configuration sub-model; and				
6	combining each response for each processed configuration sub-model to generate				
7		the answer.			
1	25.	(Currently Amended) The computer system of claim 15 wherein the code			
2	for dividing the consolidated configuration model into multiple configuration sub-models				
3	further comprises code for:				
4	dividi	ng the configuration model sufficiently so that complexity of each			
5		configuration sub-model allows processing using available data processing			
6		capabilities of the computer system while still representing the			
7		relationships included in the consolidated configuration model.			
1	26.	(Original) The computer system of claim 15 wherein the data further			
2	comprises processor executable code for:				
3	dividing a consolidated configuration model into the configuration sub-models.				

1	27.	(Currently Amended) The computer system of claim 26 wherein the code
2	for dividing t	he consolidated configuration model into multiple configuration sub-models
3	further comp	rises code for:
4	dividi	ng the configuration model sufficiently so that complexity of each
5		configuration sub-model allows processing using available data processing
6		capabilities of the computer system while still representing the
7		relationships included in the consolidated configuration model.
1	28.	(Original) The computer system of claim 26 wherein each configuration
2	sub-model re	presents a portion of the consolidated configuration model.
1	29.	(Currently Amended) A computer system to implement an inference
2	procedure for	[[for]] responding to one or more configuration queries using configuration
3	sub-models,	the system comprising:
4	a proc	cessor; and
5	a stor	age medium having data encoded therein, the data comprising processor
6		executable code for:
7		dividing a consolidated configuration model into multiple configuration
8		sub-models;
9		responding to the one or more configuration queries, wherein responding
10		to the one or more configuration queries comprises:
11		processing the one or more configuration queries using configuration sub-
12		models, wherein the configuration sub-models collectively model
13		the configurable product and [[the]] <u>each</u> configuration sub-models
14		include sub-model includes data to define compatibility
15		relationships between parts included in the configuration sub-
16		model configurable product;
17		generating a response to the one or more configuration queries based upon
18		the processed one or more configuration queries and the
19		configuration sub-models; and

20	presenting the response to the one or more configuration queries for	
21	display by a display device.	
1	30. (Currently Amended) A computer storage medium comprising data	
2	embedded therein to cause a computer system to [[to]] respond to one or more	
3	configuration queries using configuration sub-models, wherein the data comprises	
4	processor executable code for:	
5	receiving one or more configuration queries related to representing a questions	
6	involving parts and part relationships in a configuration of a configurable	:
7	product;	
8	processing the one or more configuration queries using configuration sub-models	5,
9	wherein the configuration sub-models collectively model the configurable	e
10	product and [[the]] <u>each</u> configuration sub-models include sub-model	
11	includes data to define compatibility relationships between parts included	l
12	in the configuration sub-model configurable product;	
13	generating a response to the one or more configuration queries based upon the	
14	processed one or more configuration queries and the configuration sub-	
15	models; and	
16	presenting the response to the one or more configuration queries for display by a	
17	display device.	
1	31. (Previously Presented) The computer storage medium of claim 30 wherei	n
2	the data further comprises processor executable code for:	
3	dividing at least one of the configuration queries into multiple configuration sub-	
4	queries, wherein the one or more configuration queries include the	
5	multiple configuration sub-queries.	
1	32. (Previously Presented) The computer storage medium of claim 31 wherei	n
2	the one or more configuration queries relate to a configuration completion problem and	
3	the code for processing one or more configuration queries further comprises:	
4	processing each sub-query using at least one configuration sub-model per sub-	
5	query.	

S/N: 10/957,919

20

33.	(Original) The computer storage medium of claim 31 wherein the data
further compr	rises processor executable code for:
proces	ssing each sub-query using multiple configuration sub-models per sub-
	query.
34.	(Previously Presented) The computer storage medium of claim 31 wherein
the one or mo	re configuration queries relate to a configuration validation problem and the
code for proc	essing one or more configuration queries further comprises:
proces	ssing an undivided query using different configuration sub-models until a
	configuration validation answer can be determined.
35.	(Currently Amended) The computer storage medium of claim 31 wherein
the data colle	ctively included in the configuration sub-models is sufficient to provide
provides a res	sponse for each of the sub-queries being processed.
36.	(Original) The computer storage medium of claim 31 wherein at least two
sub-queries ir	nclude overlapping information.
37.	(Previously Presented) The computer storage medium of claim 31 the code
further compr	rises code for:
dividi	ng the configuration sub-models in accordance with a predetermined data
	structure; and
dividi	ng the sub-queries in accordance with the sub-model structure.
38.	(Previously Presented) The computer storage medium of claim 37 wherein
the predeterm	ined data structure comprises a data structure divided along configuration
model part gr	oups, wherein the part groups are a collection of related parts.
	further comprocess 34. the one or more code for process 35. the data colle provides a ress 36. sub-queries in 37. further compredividi dividi dividi 38.

-10 of 21-

1	39.	(Previously Presented) The computer storage medium of claim 30 wherein
2	the code for g	generating a response to the one or more configuration queries based upon
3	the processed	one or more configuration queries and the configuration sub-models further
4	comprises co	de for:
5	genera	ating a response for each processed configuration sub-model; and
6	combi	ining each response for each processed configuration sub-model to generate
7		the answer.
1	40.	(Currently Amended) The computer storage medium of claim 30 wherein
2	the code for d	lividing the consolidated configuration model into multiple configuration
3	sub-models fu	urther comprises code for:
4	dividi	ng the configuration model sufficiently so that complexity of each
5		configuration sub-model allows processing using available data processing
6		capabilities of the computer system while still representing the
7		relationships included in the consolidated configuration model.
1	41.	(Original) The computer storage medium of claim 30 wherein the data
1 2		(Original) The computer storage medium of claim 30 wherein the data rises processor executable code for:
	further comp	
2	further comp	rises processor executable code for:
2 3	further compr dividi 42.	rises processor executable code for: ng a consolidated configuration model into the configuration sub-models.
2 3 1	further compr dividi 42. the code for d	rises processor executable code for: ng a consolidated configuration model into the configuration sub-models. (Currently Amended) The computer storage medium of claim 41 wherein
2 3 1 2	further compr dividi 42. the code for d sub-models fu	rises processor executable code for: ng a consolidated configuration model into the configuration sub-models. (Currently Amended) The computer storage medium of claim 41 wherein lividing the consolidated configuration model into multiple configuration
2 3 1 2 3	further compr dividi 42. the code for d sub-models fu	rises processor executable code for: ng a consolidated configuration model into the configuration sub-models. (Currently Amended) The computer storage medium of claim 41 wherein lividing the consolidated configuration model into multiple configuration urther comprises code for:
2 3 1 2 3 4	further compr dividi 42. the code for d sub-models fu	rises processor executable code for: ng a consolidated configuration model into the configuration sub-models. (Currently Amended) The computer storage medium of claim 41 wherein lividing the consolidated configuration model into multiple configuration urther comprises code for: ng the configuration model sufficiently so that complexity of each
2 3 1 2 3 4 5	further compr dividi 42. the code for d sub-models fu	rises processor executable code for: ng a consolidated configuration model into the configuration sub-models. (Currently Amended) The computer storage medium of claim 41 wherein lividing the consolidated configuration model into multiple configuration urther comprises code for: ng the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing
2 3 1 2 3 4 5 6	further compr dividi 42. the code for d sub-models fu	rises processor executable code for: ng a consolidated configuration model into the configuration sub-models. (Currently Amended) The computer storage medium of claim 41 wherein lividing the consolidated configuration model into multiple configuration urther comprises code for: ng the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer system while still representing the

1	44. (Currently Amended) A computer storage medium comprising data	44.	
2	embedded therein to cause a computer system to respond to one or more configuration	embedded ther	l
3	queries using configuration sub-models, wherein the data comprises code for:	queries using c	
4	dividing a consolidated configuration model into multiple configuration		1
5	sub-models;		
6	responding to the one or more configuration queries, wherein responding		ıg
7	to the one or more configuration queries comprises:		
8	processing the one or more configuration queries using configuration sub-		ıb-
9	models, wherein the configuration sub-models collectively model		el
10	the configurable product and [[the]] <u>each</u> configuration sub-models		<del>lels</del>
11	include sub-model includes data to define compatibility		
12	relationships between parts included in the configuration sub-		
13	<u>model</u> <del>configurable product</del> ;		
14	generating a response to the one or more configuration queries based upon		on
15	the processed one or more configuration queries and the		
16	configuration sub-models; and		
17	presenting the response to the one or more configuration queries for		
18	display by a display device.		
1	45. (Currently Amended) A computer system to implement an inference	45.	
2	procedure for responding to one or more configuration queries using configuration sub-	procedure for 1	)-
3	models, the system comprising:	models, the sys	
4	means for receiving one or more configuration queries related to representing a	means	l
5	questions involving parts and part relationships in a configuration of a		
6	configurable product;		
7	means for processing the one or more configuration queries using configuration	means	n
8	sub-models, wherein the configuration sub-models collectively model the		he
9	configurable product and [[the]] <u>each</u> configuration sub-models include		<b>)</b>
10	sub-model includes data to define compatibility relationships between		
11	parts included in the configuration sub-model configurable product;		

12	means for generating a response to the one or more configuration queries b	ased
13	upon the processed one or more configuration queries and the	
14	configuration sub-models; and	
15	means for presenting the response to the one or more configuration queries	for
16	display by a display device.	
1	46. (Original) The computer system of claim 45 further comprising:	
2	means for dividing a consolidated configuration model into the configuration	on sub-
3	models.	
1	47. (Previously Presented) The method of claim 1 wherein the configuration	rable
2	product is a vehicle.	
1	48. (Previously Presented) The method of claim 1 further comprising:	
2	displaying the response on display device.	
1	49. (Previously Presented) The method of claim 1 wherein the configur	ration
2	sub-models each comprise data and rules to define compatibility relationships betw	veen
3	parts included in a product.	
1	50. (Previously Presented) The method of claim 1 wherein the configur	ration
2	problem comprises a configuration problem involving parts of a product.	

### **REMARKS**

Claims 1-50 are pending.

Claims 1-50 stand rejected.

Claims 6, 12, 20, 25, 27, 35, 40, and 42 have been amended with non-narrowing amendments.

Claims 1, 14, 15, 29, 30, 44, and 45 have been amended to better define queries and to better define the parts included in each configuration sub-model and have not been amended for reasons of patentability.

Claims 29 and 30 have been amended to correct minor grammatical errors.

### Claim Rejections – 35 U.S.C. § 112

Claims 6, 12, 20, 25, 27, 35, 40, and 42 stand rejected under 35 U.S.C. § 112, second paragraph, as indefinite for including the term "sufficient" or "sufficiently".

Claims 6, 12, 20, 25, 27, 35, 40, and 42 have been amended to delete references to "sufficient" or "sufficiently".

Accordingly, Applicants respectfully request withdrawal of the rejection.

### Claim Rejections – 35 U.S.C. § 101

Claims 1-46 and 48-50 stand rejected under 35 U.S.C. § 101. Applicants respectfully traverse the rejection.

In the January 17, 2008 Office Action, page 4, the Examiner states that:

The invention must be for a practical application and either: 1) specify transforming (physical thing) or 2) have the FINAL RESULT (not the steps) achieve or produce a useful (specific, substantial, AND credible), concrete (substantially repeatable/non-unpredictable), AND tangible (real world/non-abstract) result. A claim that is so broad that it reads on both statutory and non-statutory subject matter, must be

S/N: 10/957,919

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amended. Claims that recite a model with associated sub-models and queries pertaining to both lack a practical application. There must be a result that is a practical application.

Applicants respectfully submit that the Present Application discloses a practical application, and the claims are directed to statutory matter pursuant to 35 U.S.C. § 101.

In Arrhythmia Research Technology Inc. v. Corazonix Corp., 958 F.2d 1053 (Fed. Cir. 1992), the Federal Circuit reviewed the following claim for compliance with 35 U.S.C. § 101:

1. A method for analyzing electrocardiograph signals to determine the presence or absence of a predetermined level of high frequency energy in the late QRS signal, comprising the steps of:

converting a series of QRS signals to time segments, each segment having a digital value equivalent to the analog value of said signals at said time;

applying a portion of said time segments in reverse time order to high pass filter means;

determining an arithmetic value of the amplitude of the output of said filter; and

comparing said value with said predetermined level.

The court held that the resultant output is not an abstract number, but is a signal

related to the patient's heart activity.

In *In re Alappat*, 33 F.3d 1526 (Fed. Cir. 1994), the Federal Circuit reviewed the following claim:

A rasterizer for converting vector list data representing sample magnitudes of an input waveform into anti-aliased pixel illumination intensity data to be displayed on a display means comprising:

(a) means for determining the vertical distance between the endpoints of each of the vectors in the data list;

(b) means for determining the elevation of a row of pixels that is spanned by the vector;

(c) means for normalizing the vertical distance and elevation; and

(d) means for outputting illumination intensity data as a predetermined function of the normalized vertical distance and elevation.

In <u>Alappat</u>, the court held that data, transformed by a machine through a series of mathematical calculations to produce a smooth waveform display on a rasterizer monitor, constituted a practical application of an abstract idea (a mathematical algorithm, formula, or calculation), because it produced "a useful, concrete and tangible result"—the smooth waveform.

The Examiner appears to focus on the recitation of "a model with associated submodels and queries." Office Action, p. 4. However, Applicants respectfully submit that examination should be refocused. Claims 1, 14, 15, 29, 30, and 45 recite:

receiving one or more configuration queries representing a questions involving parts and part relationships in a configuration of a configurable product; processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model;

generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration submodels; and

presenting **the response to the one or more configuration queries** for display by a display device.

Claim 44 recites the above limitations using 35 U.S.C. § 112, para. 6 means plus function language.

<u>Thus, the final result is not a model with associated sub-models and queries to</u> <u>both. The final result is a generated "**response** to the one or more configuration queries</u>

based upon the processed one or more configuration queries and the configuration submodels" and presenting the "**response**" for display.

The Examiner states that the "Results may pertain to a design of an automobile or a computer system, but no such results have [] been claimed" in claims 1-46 and 48-50. Office Action, p. 4.

However, Applicants respectfully submit that a result has clearly been claimed, i.e. "**a response** to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models" which is presented for display. In *In re Alappat*, the Federal Circuit held that "illumination intensity data as a predetermined function of the normalized vertical distance and elevation" was a useful, concrete, and tangible result." Applicants respectfully submit that "**a response** to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models" which is presented for display is a useful, concrete, and tangible result in at least the same manner as ""illumination intensity data as a predetermined function of the normalized vertical distance and elevation."

Furthermore, the Present Application itself sets forth the practical application of computer assisted product configuration and, thus, the practical application of "**a response** to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models" which is presented for display. Specifically, the Present Application states that, "Computer assisted product configuration continues to offer substantial benefits to a wide range of users and industries." Present Application, paras. 2. The claims are directed towards the practical application of "computer assisted configuration technology to <u>respond to one or more configuration queries</u> using configuration sub-models." Furthermore, the claims provide a useful, concrete, and tangible result by "generating a **response** to the one or more configuration queries based upon the processed one or more configuration queries and the processed one or more configuration sub-models." Furthermore, the claims provide a useful, concrete, and tangible result by "generating a **response** to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models." Furthermore, such and the configuration queries based upon the processed one or more configuration queries and the configuration sub-models." Furthermore, the claims provide a useful, concrete, and tangible result by "generating a **response** to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models" and "presenting the **response** to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models] for display by a display device." Claims 1, 14, 15, 29, 30, 44, and 45.

Accordingly, Applicants respectfully request withdrawal of the rejection.

#### Claim Rejections – 35 U.S.C. § 102

Claims 1-46 and 48-50 stand rejected under 35 U.S.C. § 102(b) as being anticipated by U.S. Patent No. 6,167,383 to Henson (hereinafter "*Henson*"). Applicants respectfully traverse the rejection.

*Henson* relates to a "web-based online store [that] includes a configurator, a cart, a checkout, and a database, further in which a user interface of the online store enables a custom configuration of a computer system according to an identification of a user belonging to a prescribed customer set." *Henson*, Abstract. "The configurator is provided for configuring a computer system with options selected according to a prescribed user input." *Id*.

Referring to Figures 3A and 3B of *Henson*, the configuration screen 70 includes a variety of configuration options for the customer. For example, the customer can select a particular memory, a particular display, a particular storage product, available printers, and so on.

The Examiner has identified the "different type of 'printers' which are available for a given computer" as an example of a configuration sub-model. Applicants respectfully submit that the different types of printers and other components are only available selections and are not a "configuration sub-model [that] includes data to define compatibility relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45.

Applicants also respectfully submit that *Henson* teaches that after selection of different components, such as a printer, the selections themselves are used to form a configuration-type query. However, Applicants respectfully submit that *Henson* fails to teach or suggest processing such configuration-type query "using configuration submodels, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility

relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45.

More specifically, once the customer using the configuration screen makes a series of selections, such as selection of a printer and of other components, it is desirable to determine if the selections represent a valid configurable build. Determining whether a set of selections represents a valid configurable build can be an example of a configuration query. In fact, *Henson* contemplates this very scenario. *Henson* teaches that "The on-line store further includes validation of a configuration built by a customer." *Henson*, col. 7, lines 57-58. The validation logic of *Henson* responds to a configuration-type query. More specifically, *Henson* teaches that:

Validation (or compatibility) provides the customer with a validation message indicating an occurrence of when the options selected for a particular system are not correct. If the options selected for a particular system will adversely affect the shipment of the configured system, then a warning message is issued to enable the user to modify options accordingly. In other words, the validation enhancement lets the customer know when one or more options are not compatible for one reason or another. The validation enhancement includes built-in logic which checks the particular configuration built by the customer and indicates whether or not the selected options are incompatible, then in one embodiment, the validation enhancement returns a message indicating that the options are incompatible, as further discussed herein. *Id.*, col. 7, line 58 through col. 8, line 6.

Thus, Applicants respectfully submit that the option selections by the customer in *Henson* are submitted to validation logic as a type of configuration query, which is then processed. Applicants respectfully submit that the mere selection of a part from a choice of parts, such as selection of a printer from a choice of multiple printers, is not a configuration query "representing [a question] involving parts and part relationships in a configuration of a configurable product" as required by claims 1, 14, 15, 29, 30, 44, and 45

Once the printer, memory, and so on are selected by the customer in *Henson* and a configuration-type query is formed, Applicants respectfully submit that *Henson* fails to

teach or suggest "processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45. *Henson* teaches some "built-in logic" to process a configuration-type query; however, *Henson* fails to teach or suggest any type of configuration sub-model or "processing the one or more configuration queries using configuration sub-models."

Accordingly, for at least the foregoing reasons, Applicants respectfully request withdrawal of the rejection of claims 1, 14, 15, 29, 30, 44, and 45 and of claims directly or indirectly dependent upon claims 1, 14, 15, 29, 30, 44, and 45.

### Claim Rejections – 35 U.S.C. § 103

Claim 47 stands rejected under 35 U.S.C. § 103(a) as being unpatentable over Henson in view of Ford Motor Company http://web.archive.org/web/20030324212039/http://fordvehicles.com/.

Claim 47 depends on claim 1. For at least the foregoing reasons given with regard to claim 1, Applicants respectfully request withdrawal of the rejection of claim 47.

### **CONCLUSION**

In view of the amendments and remarks set forth herein, the application is believed to be in condition for allowance and a notice to that effect is solicited. Nonetheless, should any issues remain that might be subject to resolution through a telephonic interview, the examiner is requested to telephone the undersigned 512-338-9100.

CERTIFICATE OF TRANSMISSION

I hereby certify that on July 12, 2008 this correspondence is being transmitted via the U.S. Patent & Trademark Office's electronic filing system.

/Kent B. Chambers/

Respectfully submitted,

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Nathan E. Little, Brandon M. Beck, Brian K. Showers			
Trilogy Development Group, Inc.			
Complex Configuration Processing Using Configuration Sub-Models			
10/957,919         Filing Date:         October 4, 2004			
Peter D. Coughlan	Group Art Unit:	2129	
T00121	Customer No.:	33438	
	Trilogy Development Group Complex Configuration Prod 10/957,919 Peter D. Coughlan	Trilogy Development Group, Inc.Complex Configuration Processing Using Confi10/957,919Filing Date:Peter D. CoughlanGroup Art Unit:	

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### **PETITION FOR EXTENSION OF TIME**

Dear Sir:

Applicants respectfully petition for a three (3) month extension of time within which to respond to the Office Action mailed January 17, 2008, such extension allowing the undersigned until July 17, 2008, to respond.

The extension fee is being paid via the USPTO EFS. The Commissioner is authorized to deduct any additional fees which may be required or credit any overpayment to Deposit Account No. 502264.

#### CERTIFICATE OF TRANSMISSION

I hereby certify that on July 12, 2008 this correspondence is being transmitted via the U.S. Patent & Trademark Office's electronic filing system.

/Kent B. Chambers/

Respectfully submitted,

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839 Austin, Texas July 12, 2008

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/Kent B. Chambers/

Respectfully submitted,

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839 Austin, Texas July 12, 2008

Electronic Patent Application Fee Transmittal					
Application Number:	10	957919			
Filing Date:	04	-Oct-2004			
Title of Invention:		Complex configuration processing using configuration sub-models			
First Named Inventor/Applicant Name:	Na	athan E. Little			
Filer:	Kent Bryan Chambers				
Attorney Docket Number:	Attorney Docket Number: T00121				
Filed as Large Entity					
Utility Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:	Petition:				
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					
Page 233 61 507 - 3 months with \$0 paid		1253	1	1050	FORD59004

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tota	al in USE	) (\$)	1050

Electronic Acknowledgement Receipt					
EFS ID:	3607035				
Application Number:	10957919				
International Application Number:					
Confirmation Number:	9162				
Title of Invention:	Complex configuration processing using configuration sub-models				
First Named Inventor/Applicant Name:	Nathan E. Little				
Customer Number:	33438				
Filer:	Kent Bryan Chambers				
Filer Authorized By:					
Attorney Docket Number:	T00121				
Receipt Date:	12-JUL-2008				
Filing Date:	04-OCT-2004				
Time Stamp:	11:43:00				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Document Pagen235 of 5	Cument Description	File Name	File Size(Bytes) /Message Digest	Multi Pages Par <b>F/QPR Q</b> f <b>100.4</b>	
File Listing:					
Authorized User					
Deposit Account					
RAM confirmation	Number	4313			
Payment was succ	essfully received in RAM	\$1050			
Payment Type		Credit Card	Credit Card		
Submitted with Pay	rment	yes			

1	Amendment - After Non-Final Rejection	T00121_ROA_1_17_08.pdf	139873	no	21					
	Rejection		06d25b51a7a8cd51aa5ca3c98b18e690 dbedea9d							
Warnings:										
Information										
2	Extension of Time	T00121_Extension_7_12_08	70304	no	1					
		.pdf	3dcdfd08e9d21640fd86621abf8e61e7e 49a0145							
Warnings:										
Information										
3	Fee Worksheet (PTO-06)	fee-info.pdf	8136	no	2					
			e6a971aff281291ca51dbc45b4b4c680 9503e43d							
Warnings:	Warnings:									
Information			1							
		Total Files Size (in bytes)	2	18313						
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.										
<u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.										
National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.										
<u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.										

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond <b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875						pplication or l	f information unle Docket Number 7,919	Filing Date 10/04/2004 To be Mailed				
APPLICATION AS FILED – PART I (Column 1) (Column 2)					SMALL ENTITY		OR	OTHER THAN OR SMALL ENTITY				
	FOR		NU	IMBER FIL	ED N	UMBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))		N/A	N/A			N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), d	or (m))		N/A		N/A		N/A			N/A	
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))				N/A	N/A			N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		minus 20 =		us 20 = *	*		X \$ =		OR	X\$ =	
	EPENDENT CLAIM CFR 1.16(h))	s			nus 3 = *			X \$ =			X\$ =	
APPLICATION SIZE FEE (37 CFR 1.16(s))			sheets is \$25 additio	s of pape 50 (\$125 onal 50 s	er, the applicat for small entit heets or fracti	ings exceed 100 tion size fee due y) for each on thereof. See 7 CFR 1.16(s).						
	MULTIPLE DEPEN	IDENT CLA	IM PRE	SENT (37	′ CFR 1.16(j))							
* If t	he difference in colu	ımn 1 is les	s than z	zero, ente	r "0" in column 2			TOTAL			TOTAL	
APPLICATION AS AMENDED – PART II (Column 1) (Column 2) (Column 3)				SMAL	L ENTITY	OR		ER THAN ALL ENTITY				
AMENDMENT	07/12/2008	CLAIMS REMAINI AFTER AMENDM			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
JME	Total (37 CFR 1.16(i))	* 50		Minus	** 50	= 0		X \$ =		OR	X \$50=	0
Ľ Ľ	Independent (37 CFR 1.16(h))	* 7		Minus	***7	= 0		X \$ =		OR	X \$210=	0
AMI	Application Si	ze Fee (37	CFR 1.	16(s))								
`	FIRST PRESEN	ITATION OF	MULTIPL	LE DEPENI	DENT CLAIM (37 C	CFR 1.16(j))				OR		
							-	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	0
		(Colum	n 1)		(Column 2)	(Column 3)		•		-		
		CLAIM REMAIN AFTE AMENDM	ling R		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT C EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
И Ш	Total (37 CFR 1.16(i))	*		Minus	**	=		X \$ =		OR	X\$ =	
DM	Independent (37 CFR 1.16(h))	*		Minus	***	=		X \$ =		OR	X\$ =	
AMENDMENT	Application Si	ze Fee (37	CFR 1.	16(s))								
AM		ITATION OF	MULTIPL	LE DEPENI	DENT CLAIM (37 C	CFR 1.16(j))				OR		
TOTAL ADD'L FEE * If the entry is called the entry is called 2 write #0" is called 2												
<ul> <li>* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.</li> <li>** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".</li> <li>*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".</li> </ul>												
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.												
This c	This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to											

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



33438 7590 09/18/2008 EXAMINER HAMILTON & TERRILE, LLP P.O. BOX 203518 COUGHLAN, PETER D **AUSTIN, TX 78720** ART UNIT PAPER NUMBER 2129 NOTIFICATION DATE DELIVERY MODE 09/18/2008 ELECTRONIC

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docketing@hamiltonterrile.com seaton@hamiltonterrile.com tmunoz@hamiltonterrile.com

9162

	Application No.	Applicant(s)					
	10/957,919	LITTLE ET AL.					
Office Action Summary	Examiner	Art Unit					
	PETER COUGHLAN	2129					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earmed patent term adjustment. See 37 CFR 1.704(b).</li> </ul>							
Status							
1) Responsive to communication(s) filed on <u>12 J</u>	l <u>uly 2008</u> .						
2a)⊠ This action is <b>FINAL</b> . 2b)□ Thi							
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is							
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.							
Disposition of Claims							
4)⊠ Claim(s) <u>1-50</u> is/are pending in the applicatior	۱.						
<ul> <li>4) Claim(s) <u>1-50</u> is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5) Claim(s) is/are allowed.</li> <li>6) Claim(s) <u>1-50</u> is/are rejected.</li> <li>7) Claim(s) is/are objected to.</li> <li>8) Claim(s) are subject to restriction and/or election requirement.</li> </ul>							
Application Papers							
9) The specification is objected to by the Examin	er.						
10)⊠ The drawing(s) filed on <u>10/4/2004</u> is/are: a)⊠	accepted or b) objected to by	the Examiner.					
Applicant may not request that any objection to the	drawing(s) be held in abeyance. Se	ee 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).							
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.							
Priority under 35 U.S.C. § 119							
12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).							
a) All b) Some * c) None of:							
1. Certified copies of the priority documents have been received.							
2. Certified copies of the priority documents have been received in Application No							
3. Copies of the certified copies of the priority documents have been received in this National Stage							
application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.							
See the attached detailed Onice action for a list	t of the centilied copies not receiv	ea.					
Attachment(s)							
1) Notice of References Cited (PTO-892)	4) 🔲 Interview Summar						
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail E 5)						
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	6) (Contraction of the contraction of the contracti	. store philosen					
L U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06) Office A Page 239 of 507	ction Summary P	art of Paper No./Mail Date 09122008 FORD 1004					
raye 239 01 507		FURD 1004					

# Detailed Action

1. This office action is in response to an AMENDMENT entered July 12, 2008 for the patent application 10/957919 filed on October 4, 2004.

2. All previous Office Actions are fully incorporated into this Final Office Action by reference.

# Status of Claims

3. Claims 1-50 are pending.

# 35 USC § 101

4. 35 U.S.C. 101 reads as follows: Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.
Claims 1-46, 48-50 are rejected under 35 U.S.C. 101 for nonstatutory subject

matter. The computer system must set forth a practical application of that § 101 judicial

exception to produce a real-world result. Benson, 409 U.S. at 71-72, 175 USPQ at 676-

77. The invention is ineligible because it has not been limited to a substantial practical

application. Claims that describe a model being broken down into sub-models with

corresponding sub-queries are an invention in an abstract form. These claims can be used in numerous applications. As in claim 47 wherein the model is a vehicle or as in the specification ¶0052 the model is a network environment. These claims are broad enough to map onto different applications. The conclusive result has to be a practical application. Without the lack of a single practical application, the invention can be applied to physical objects as well as mathematical models.

In determining whether the claim is for a "practical application," the focus is not on whether the steps taken to achieve a particular result are useful, tangible and concrete, but rather that the <u>final result</u> achieved by the claimed invention is "useful, tangible and concrete." If the claim is directed to a practical application of the § 101 judicial exception producing a result tied to the physical world that does not preempt the judicial exception, then the claim meets the statutory requirement of 35 U.S.C. § 101. Results may pertain to a design of an automobile or a computer system, but no such results have not been claimed.

The invention must be for a practical application and either:

1) specify transforming (physical thing) or

2) have the FINAL RESULT (not the steps) achieve or produce a

useful (specific, substantial, AND credible),

concrete (substantially repeatable/ non-unpredictable), AND

tangible (real world/ non-abstract) result.

A claim that is so broad that it reads on both statutory and non-statutory subject matter, must be amended.

Claims that recite a model with associated sub-models and queries pertaining to both lack a practical application. There must be a result that is a practical application.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action: A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-46, 48-50 are rejected under 35 U.S.C. 102(b) (hereinafter referred to

as Henson) being anticipated by Henson, U. S. Patent 6167383.

Claim 1

Henson teaches receiving one or more configuration queries representing a

questions involving parts and part relationships in a configuration of a configurable

product (Henson, Fig 3A through Fig 5; An example of a 'configuration sub-model' of

applicant is the different type of 'printers' which are available for that given computer. It

is inherent that each sub-model has it's own related data Being able to process queries

of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson. An example of 'sub-model' of applicant is equivalent to 'video card' of Henson. In this figure there is a check mark next to the 'video card' submodel.' This indicates there is a conflict between the chosen 'video card' and the chosen 'operating system' of Henson. This lack of validation between these two items indicates a 'compatibility relationship' of applicant. The fact that 'compatibility relationship' can be determined is due to each video card having inherent data.); processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-models includes data to define compatibility relationships between parts included in the configuration sub-model (Henson, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer.); (Henson, Fig 3A through Fig 5; Being able to process gueries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson. An example of 'sub-model' of applicant is equivalent to 'video card' of Henson. In this figure there is a check mark next to the 'video card' sub-model.' This indicates there is a conflict between the chosen 'video card' and the chosen 'operating system' of Henson. This lack of validation between these two items indicates a 'compatibility relationship' of applicant. The fact that 'compatibility relationship' can be determined is due to each video card having inherent data.); generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models

**FORD 1004** 

(Henson, C5:19-27; 'Generating a response' of applicant is equivalent to the 'thank you page' of Henson.); and presenting the response to the one or more configuration queries for display by a display device. (Henson, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson.)

#### Claim 2

Henson teaches dividing at least one of the configuration queries into multiple configuration sub-queries, wherein the one or more configuration queries include the multiple configuration sub-queries. (**Henson** Fig 3A; 'Dividing at least one of the configuration queries into multiple configuration sub-queries' of applicant is illustrated by the different types of 'storage products' of Henson. In this example, one of the 'configuration queries' of applicant is the type of 'storage products' of the computer are desired. In this example 'sub-queries' of applicant is equivalent to the different types of storage products available from Henson.)

#### Claim 3

Henson teaches processing each sub-query using at least one configuration submodel per sub-query. (**Henson** Fig 3A; An example of 'sub-model' of applicant is equivalent to 'storage products' of Henson. There are three choices available is the user

wants one. By checking off one of the boxes indicating the desire of a given 'storage product' this is equivalent to a 'sub-query' of applicant.)

#### Claim 4

Henson teaches processing each sub-query using multiple configuration submodels per sub-query. (**Henson**, C6:17-67; 'Processing each sub-query' of applicant is equivalent to 'build a customer configured machine by selecting options listed on the computer screen' of Henson.)

#### Claim 5

Henson teaches wherein the one or more configuration queries relate to a configuration validation problem and processing one or more configuration queries comprises: processing an undivided query using different configuration sub-models until a configuration validation answer can be determined. (**Henson**, 'Configuration validation' of applicant is equivalent to 'validation' of Henson. Henson will notify a user if a conflict of options is chosen and a 'warning message' which allows for a modification of the options.)

#### Claim 6

Henson teaches wherein the data collectively included in the configuration submodels provides a response for each of the sub-queries being processed. (**Henson** 

Figs 3A, 3B; 'Provide a response' of applicant is disclosed by the construction of a personal computer system of Henson.)

### Claim 7

Henson teaches wherein at least two sub-queries include overlapping information. (**Henson** Fig 3A; For example in the 'storage products' sub model, there are three options which represent three sub-queries. All three pertain to 'storage products' thus they have 'overlapping information.')

### Claim 8

Henson teaches dividing a consolidated model into the multiple configuration sub-model in accordance with a predetermined data structure. (**Henson** Fig 3A through Fig 3B; 'Multiple configuration sub-models' of applicant is equivalent to the different items that compose a personal computer system, such as printers, storage products' or 'hard drive' of Henson.)

### Claim 9

Henson teaches wherein the predetermined data structure comprises a data structure divided along configuration model part groups, wherein the part groups are a collection of related parts. (**Henson** Fig 3A; A data structure divided along configuration model parts' of applicant is illustrated by only 'storage products' sub-model contains Application/Control Number: 10/957,919 Art Unit: 2129 items which are only considered 'storage products' and not another sub-model category.)

#### Claim 10

Henson teaches generating response for each processed configuration submodel (**Henson**, Fig 3A; 'Generating a response for each processed configuration submodel' of applicant occurs when an incompatibility issue arises of Henson. If no response occurs, then the processed configuration sub-model passes a validation test without incident.); and combining each response for each processed configuration submodel to generate the answer. (**Henson**, Fig 3A; 'Combining each response ... to generate an answer' of applicant is equivalent to combining all the responses of options desired to make a personal computer system which the user designed of Henson.)

### Claim 11

Henson teaches dividing a consolidated configuration model into the configuration sub-models. (**Henson**, Fig 3A; An example of a 'configuration sub-model' of applicant is equivalent to 'storage products.' Henson divides all the external storage devices under one category of 'storage products.')

#### Claim 12

Henson teaches dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing

capabilities of the computer assisted configuration technology while still representing the relationships including in the consolidation configuration model. (**Henson**, Fig 3A; An example of a 'configuration sub-model' of applicant is equivalent to 'storage products.' Only 'storage products' are within the 'storage products' sub-model. In addition, there are no 'storage products' within another 'sub-model.' This indicates that the configuration model is sufficiently divided.)

### Claim 13

Henson teaches wherein each configuration sub-model represents a portion of the consolidated model. (**Henson**, Fig 3A; An example of a 'model' of applicant is equivalent to 'Dell dimension XPS R' of Henson.) An example of a 'sub-model' of applicant is equivalent to 'storage products' of Henson.)

#### Claim 14

Henson teaches dividing a consolidated configuration model into multiple configuration sub-models (**Henson**, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer.); responding to the one or more configuration queries, wherein responding to the one or more configuration queries comprises: processing the one or more configuration queries using sub-models, where the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model;

#### Page 248 of 507

(Henson, Fig 3A through Fig 5; Being able to process queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson. An example of 'sub-model' of applicant is equivalent to 'video card' of Henson. In this figure there is a check mark next to the 'video card' sub-model.' This indicates there is a conflict between the chosen 'video card' and the chosen 'operating system' of Henson. This lack of validation between these two items indicates a 'compatibility relationship' of applicant. The fact that 'compatibility relationship' can be determined is due to each video card having inherent data.); generating a response to the one or more configuration gueries based upon the processed one or more configuration gueries and the configuration sub-models (Henson, C5:19-27; 'Generating a response' of applicant is equivalent to the 'thank you page' of Henson.); and presenting the response to the one or more configuration queries for display by a display device. (Henson, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson.)

#### Claim 15

Henson teaches a processor (**Henson**, Fig 11; 'Processor' of applicant is equivalent to 'CPU' of Henson.) a storage medium having data encoded therein, the data comprising processor executable code for (**Henson**, Fig 11; 'Storage medium' of applicant is equivalent to 'hard drive/disk' of Henson.): receiving one or more configuration queries representing a questions involving parts and part relationships in a configuration of a

configurable product (**Henson**, Fig 3A through Fig 5; Being able to receive configuration queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson. It is inherent that queries represent questions. 'Parts and part relationships' of applicant is disclosed by the computer and its necessary components.); processing the one or more configuration queries using configuration sub-models, wherein the configurable sub-models collectively model the configurable product and each configuration sub-models includes data to define compatibility relationships between parts including in the configuration sub-model (**Henson**, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer. It is inherent that each sub-model has it's own related data); generating a response to the one or more configuration sub-model sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries and the configuration sub-model has it is processed one or more configuration queries

models (**Henson**, C5:19-27; 'Generating a response' of applicant is equivalent to the 'thank you page' of Henson.); and presenting the response to the one or more configuration queries for display by a display device. (**Henson**, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson.)

#### Claim 16

Henson teaches dividing at least one of the configuration queries into multiple configuration sub-queries, wherein the one or more configuration queries include the

multiple configuration sub-queries. (**Henson** Fig 3A; 'Dividing at least one of the configuration queries into multiple configuration sub-queries' of applicant is illustrated by the different types of 'storage products' of Henson. In this example, one of the 'configuration queries' of applicant is the type of 'storage products' of the computer are desired. In this example 'sub-queries' of applicant is equivalent to the different types of storage products available from Henson.)

#### Claim 17

Henson teaches wherein the one or more configuration queries relate to a configuration completion problem and the code for processing one or more configuration queries further comprises: processing each sub-query using at least one configuration sub-model per sub-query. (**Henson** Fig 3A; An example of 'sub-model' of applicant is equivalent to 'storage products' of Henson. There are three choices available is the user wants one. By checking off one of the boxes indicating the desire of a given 'storage product' this is equivalent to a 'sub-query' of applicant.)

#### Claim 18

Henson teaches processing each sub-query using multiple configuration submodels per sub-query. (**Henson**, C6:17-67; 'Processing each sub-query' of applicant is equivalent to 'build a customer configured machine by selecting options listed on the computer screen' of Henson.)

### Claim 19

Henson teaches processing an undivided query using different configuration submodels until a configuration validation answer can be determined. (**Henson**, 'Configuration validation' of applicant is equivalent to 'validation' of Henson. Henson will notify a user if a conflict of options is chosen and a 'warning message' which allows for a modification of the options.)

### Claim 20

Henson teaches wherein the data collectively included in the configuration submodels is sufficient to provide a response for each of the sub-queries being processed. (**Henson** Figs 3A, 3B; 'Provide a response' of applicant is disclosed by the construction of a personal computer system of Henson.)

### Claim 21

Henson teaches wherein at least two sub-queries include overlapping information. (**Henson** Fig 3A; For example in the 'storage products' sub model, there are three options which represent three sub-queries. All three pertain to 'storage products' thus they have 'overlapping information.')

#### Claim 22.

Henson teaches dividing the configuration sub-models in accordance with a predetermined data structure (**Henson** Fig 3A; A data structure divided along

configuration model parts' of applicant is illustrated by only 'storage products' sub-model contains items which are only considered 'storage products' and not another sub-model category.); and dividing the sub-queries in accordance with sub-model structure. (**Henson** Fig 3A; 'Sub-queries' of applicant are only within a given sub-model. 'Storage products' of Henson is equivalent to a 'sub-model of applicant. A response to one of the choices within 'storage products' is equivalent to 'sub-queries' of applicant.)

#### Claim 23

Henson teaches wherein the predetermined data structure comprises a data structure divided along configuration model part groups, wherein the part groups are a collection of related parts. (**Henson**, Fig 3A; An example of a 'model part groups' of applicant is equivalent to 'storage products.' Henson divides all the external storage devices under one category or 'related parts' of 'storage products.')

#### Claim 24

Henson teaches wherein the code for generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models further comprises code for (**Henson**, Fig 3A; 'Code for generating a response to the one or more configurations' of applicant is equivalent to the code needed to generate the web page which is illustrated in Fig. 3A. This web page is used to generate queries for the development of a design of a personal computer.): generating a response for each processed configuration sub-model

(**Henson**, Fig 3A; 'Generating a response for each processed configuration sub-model' of applicant occurs when an incompatibility issue arises of Henson. If no response occurs, then the processed configuration sub-model passes a validation test without incident.); and combining each response for each processed configuration sub-model to generate the answer. (**Henson**, Fig 3A; 'Combining each response ... to generate an answer' of applicant is equivalent to combining all the responses of options desired to make a personal computer system which the user designed of Henson.)

#### Claim 25

Henson teaches dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer system while still representing the relationships including in the consolidated configuration model. (**Henson**, Fig 3A; An example of a 'configuration sub-model' of applicant is equivalent to 'storage products.' Only 'storage products' are within the 'storage products' sub-model. In addition, there are no 'storage products' within another 'sub-model.' This indicates that the configuration model is sufficiently divided.)

#### Claim 26

Henson teaches dividing a consolidated configuration model into the configuration sub-models. (**Henson**, Fig 3A; 'Model of applicant is equivalent to 'Dell

Application/Control Number: 10/957,919 P Art Unit: 2129 dimension XPS R' of Henson. 'Sub-model' of applicant is equivalent to 'storage products' of Henson.)

#### Claim 27

Henson teaches dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer system while still representing the relationships included in the consolidated configuration model. (**Henson**, Fig 3A; An example of a 'configuration sub-model' of applicant is equivalent to 'storage products.' Only 'storage products' are within the 'storage products' sub-model. In addition, there are no 'storage products' within another 'sub-model.' This indicates that the configuration model is sufficiently divided.)

### Claim 28

Henson teaches wherein each configuration sub-model represents a portion of the consolidated configuration model. (**Henson**, Fig 3A; 'Model of applicant is equivalent to 'Dell dimension XPS R' of Henson. 'Sub-model' of applicant is equivalent to 'storage products' of Henson. 'Storage products', 'speakers' or 'video card' of all portions of a model.)

Claim 29

Henson teaches a processor (Henson, Fig 11; 'Processor' of applicant is equivalent to 'CPU' of Henson.) a storage medium having data encoded therein, the data comprising processor executable code for (Henson, Fig 11; 'Storage medium' of applicant is equivalent to 'hard drive/disk' of Henson.): dividing a consolidated configuration model into multiple configuration sub-models (Henson, Fig 3A; 'Model of applicant is equivalent to 'Dell dimension XPS R' of Henson. 'Sub-model' of applicant is equivalent to 'storage products' of Henson.); responding to the one or more configuration gueries, wherein responding to the one or more configuration gueries comprises (Henson, Fig 3A through Fig 5; Being able to receive configuration gueries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson.): processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-model collectively model the configurable product and each configuration sub-models includes data to define compatibility relationships between parts included in the configuration sub-model (Henson, Fig 3A through Fig 5; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer. It is inherent that each sub-model has it's own related data Being able to process queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson. An example of 'sub-model' of applicant is equivalent to 'video card' of Henson. In this figure there is a check mark next to the 'video card' sub-model.' This indicates there is a conflict between the chosen 'video card' and the chosen 'operating system' of Henson. This lack of validation between these two items indicates a

**FORD 1004** 

Page 18

'compatibility relationship' of applicant. The fact that 'compatibility relationship' can be determined is due to each video card having inherent data.); generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models (**Henson**, C5:19-27; 'Generating a response' of applicant is equivalent to the 'thank you page' of Henson.); and presenting the response to the one or more configuration queries for display by a display device. (**Henson**, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the 'thank you page' is outputted on a 'display' of Henson.)

#### Claim 30

Henson teaches receiving one or more configuration queries representing a questions involving parts and part relationships in a configuration of a configurable product (**Henson**, Fig 3A through Fig 5; Being able to receive configuration queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson. It is inherent that queries are equivalent to questions.); processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-models includes data to define compatibility relationships between parts included in the configuration sub-model (**Henson**, Fig 3A through Fig 5; An example of a 'configuration sub-model' of applicant is the different type of 'printers'

which are available for that given computer. It is inherent that each sub-model has it's own related data Being able to process queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson. An example of 'sub-model' of applicant is equivalent to 'video card' of Henson. In this figure there is a check mark next to the 'video card' sub-model 'This indicates there is a conflict

check mark next to the 'video card' sub-model.' This indicates there is a conflict between the chosen 'video card' and the chosen 'operating system' of Henson. This lack of validation between these two items indicates a 'compatibility relationship' of applicant. The fact that 'compatibility relationship' can be determined is due to each video card having inherent data.); generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models (**Henson**, C5:19-27; 'Generating a response' of applicant is equivalent to the 'thank you page' of Henson.); and presenting the response to the one or more configuration queries for display by a display device. (**Henson**, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson.)

#### Claim 31.

Henson teaches dividing at least one configuration queries into multiple configuration sub-queries, wherein the one or more configuration queries including the multiple configuration sub-queries. (**Henson** Fig 3A; 'Dividing at least one of the configuration queries into multiple configuration sub-queries' of applicant is illustrated by

the different types of 'storage products' of Henson. In this example, one of the 'configuration queries' of applicant is the type of 'storage products' of the computer are desired. In this example 'sub-queries' of applicant is equivalent to the different types of storage products available from Henson.)

#### Claim 32

Henson teaches wherein the one or more configuration queries relate to a configuration completion problem and the code for processing one or more configuration queries further comprises: processing each sub-query using at least one configuration sub-model per sub-query. (**Henson** Fig 3A; An example of 'sub-model' of applicant is equivalent to 'storage products' of Henson. There are three choices available is the user wants one. By checking off one of the boxes indicating the desire of a given 'storage product' this is equivalent to a 'sub-query' of applicant.)

#### Claim 33

Henson teaches processing each sub-query using multiple configuration submodels per sub-query. (**Henson**, C6:17-67; 'Processing each sub-query' of applicant is equivalent to 'build a customer configured machine by selecting options listed on the computer screen' of Henson.)

Claim 34

Henson teaches processing an undivided query using different configuration submodels until a configuration validation answer can be determined. (**Henson**, 'Configuration validation' of applicant is equivalent to 'validation' of Henson. Henson will notify a user if a conflict of options is chosen and a 'warning message' which allows for a modification of the options.)

#### Claim 35

Henson teaches wherein the data collectively included in the configuration sub-models provides a response for each of the sub-queries being processed. (**Henson** Figs 3A, 3B; 'Provide a response' of applicant is disclosed by the construction of a personal computer system of Henson.)

#### Claim 36

Henson teaches wherein at least two sub-queries include overlapping information. (**Henson** Fig 3A; For example in the 'storage products' sub model, there are three options which represent three sub-queries. All three pertain to 'storage products' thus they have 'overlapping information.')

#### Claim 37

Henson teaches dividing the configuration sub-models in accordance with a predetermined data structure (**Henson** Fig 3A; A data structure divided along

configuration model parts' of applicant is illustrated by only 'storage products' sub-model contains items which are only considered 'storage products' and not another sub-model category.); and dividing the sub-queries in accordance with the sub-model structure. (**Henson** Fig 3A; 'Sub-queries' of applicant are only within a given sub-model. 'Storage products' of Henson is equivalent to a 'sub-model of applicant. A response to one of the choices within 'storage products' is equivalent to 'sub-queries' of applicant.)

#### Claim 38

Henson teaches wherein the predetermined data structure comprises a data structure divided along configuration model part groups, wherein the part groups are a collection of related parts. (**Henson**, Fig 3A; An example of a 'model part group' of applicant is equivalent to 'storage products.' Henson divides all the external storage devices under one category of 'storage products.')

#### Claim 39

Henson teaches generating a response for each processed configuration submodel (**Henson**, Fig 3A; 'Generating a response for each processed configuration submodel' of applicant occurs when an incompatibility issue arises of Henson. If no response occurs, then the processed configuration sub-model passes a validation test without incident.); and combining each response for each processed configuration submodel to generate the answer. (**Henson**, Fig 3A; 'Combining each response ... to

generate an answer' of applicant is equivalent to combining all the responses of options desired to make a personal computer system which the user designed of Henson.)

#### Claim 40

Henson teaches dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer system while still representing the relationship included in the consolidated model. (**Henson**, Fig 3A; An example of a 'configuration sub-model' of applicant is equivalent to 'storage products.' Only 'storage products' are within the 'storage products' sub-model. In addition, there are no 'storage products' within another 'sub-model.' This indicates that the configuration model is sufficiently divided.)

#### Claim 41

Henson teaches dividing a consolidated configuration model into the configuration sub-models. (**Henson**, Fig 3A; An example of a 'model' of applicant is equivalent to 'Dell dimension XPS R' of Henson.) An example of a 'sub-model' of applicant is equivalent to 'storage products' of Henson.)

#### Claim 42

Henson teaches dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing available data processing capabilities of the computer system while still representing the relationships including in the

consolidated configuration model. (**Henson**, Fig 3A; An example of a 'configuration submodel' of applicant is equivalent to 'storage products.' Only 'storage products' are within the 'storage products' sub-model. In addition, there are no 'storage products' within another 'sub-model.' This indicates that the configuration model is sufficiently divided.)

#### Claim 43

Henson teaches wherein each configuration sub-model represents a portion of the consolidated configuration model. (**Henson**, Fig 3A; 'Model of applicant is equivalent to 'Dell dimension XPS R' of Henson. 'Sub-model' of applicant is equivalent to 'storage products' of Henson. 'Storage products', 'speakers' or 'video card' of all portions of a model.)

#### Claim 44

Henson teaches dividing a consolidated configuration model into multiple configuration sub-models (**Henson** Fig 3A through Fig 3B; 'Multiple sub-models' of applicant is equivalent to the different items that compose a personal computer system, such as printers, storage products' or 'hard drive' of Henson.); responding to the one or more configuration queries, wherein responding to the one or more configuration queries comprises (**Henson**, Fig 3A through Fig 5; Being able to receive configuration queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson.): processing the one or more configuration queries using the configuration sub-models and the configuration sub-models include data to

define compatibility relationships between parts included in the configurable product(**Henson**, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer.); generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models; and presenting the response to the one or more configuration queries for display by a display device. (**Henson**, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson.)

#### Claim 45

Henson teaches means for receiving one or more configuration queries related to configuration of a configurable product (**Henson**, Fig 3A through Fig 5; Being able to receive configuration queries of applicant is illustrated by the web site page which enables a use to configure a personal computer of Henson.); means for processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and the configuration sub-models include data to define compatibility relationships between parts included in the configurable product (**Henson**, Fig 3B; An example of a 'configuration sub-model' of applicant is the different type of 'printers' which are available for that given computer.); means for generating a response to the one or more configuration queries based upon the processed one or more configuration queries and

# Page 26

the configuration sub-models; and means for presenting the response to the one or more configuration queries for display by a display device. (**Henson**, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson. 'Generating a response' of applicant is the executing of the code which generates the 'thank you page.')

#### Claim 46

Henson teaches means for dividing a consolidated configuration model into the configuration sub-models. (**Henson** Fig 3A through Fig 3B; 'Multiple sub-models' of applicant is equivalent to the different items that compose a personal computer system, such as printers, storage products' or 'hard drive' of Henson.)

#### Claim 48

Henson teaches displaying the response on the display device. (**Henson**, C5:66 through C6:4; Henson is an invention to configuring and purchasing a personal computer from an online store. 'Presenting the response' of applicant is equivalent to the output of the 'thank you page' is outputted on a 'display' of Henson.)

#### Claim 49

Henson teaches wherein the configuration sub-models each comprise data and rules to define compatibility relationships between parts included in a product. (Henson,

Fig 3A; An example of 'sub-model' of applicant is equivalent to 'video card' of Henson. In this figure there is a check mark next to the 'video card' sub-model.' This indicates there is a conflict between the chosen 'video card' and the chosen 'operating system' of Henson. This lack of validation between these two items indicates a 'compatibility relationship' of applicant.)

## Claim 50

Henson teaches wherein the configuration problem comprises a configuration problem involving parts of a product. (**Henson** Figs 3A and 3B; 'Parts of a product' of applicant is equivalent to the parts of a computer of Henson.)

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claim 47 is rejected under 35 U.S.C. 103(a) as being unpatentable over Henson as set forth above, in view of Henson.

('http://web.archive.org/web/20030324212039/http://fordvehicles.com/', referred to as

## FoMoCo)

## Claim 47

Henson does not teach wherein the configurable product is a vehicle.

FoMoCo teaches wherein the configurable product is a vehicle. (**FoMoCo**, 1; The web site for the Ford Motor Company is related to motor vehicles.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Henson by building cars with specific options as taught by FoMoCo to have wherein the configurable product is a vehicle.

For the purpose of enabling the user to see what options are available in a vehicle to aid in the purchase decision making process.

## **Response to Arguments**

5. Applicant's arguments filed on July 12, 2008 for claims 1-50 have been fully considered but are not persuasive.

## REMARKS

Claims 1-50 are pending.

Claims 1-50 stand rejected.

Claims 6, 12, 20, 25, 27, 35, 40, and 42 have been amended with non-narrowing amendments.

Claims 1, 14, 15, 29, 30, 44, and 45 have been amended to better define queries and to better define the parts included in each configuration sub-model and have not been amended for reasons of patentability.

Claims 29 and 30 have been amended to correct minor grammatical errors.

Claim Rejections - 35 U.S.C. § 112

Claims 6, 12, 20, 25, 27, 35, 40, and 42 stand rejected under 35 U.S.C. § 112, second paragraph, as indefinite for including the term "sufficient" or "sufficiently".

Claims 6, 12, 20, 25, 27, 35, 40, and 42 have been amended to delete references to "sufficient" or "sufficiently".

Accordingly, Applicants respectfully request withdrawal of the rejection.

Examiner's response:

The Examiner notes the amended claims and withdraws the rejection.

7. In reference to the Applicant's argument:

Claim Rejections - 35 U.S.C. § 101

Claims 1-46 and 48-50 stand rejected under 35 U.S.C. § 101. Applicants respectfully traverse the rejection.

In the January 17, 2008 Office Action, page 4, the Examiner states that: The invention must be for a practical application and either: 1) specify transforming (physical thing) or

2) have the FINAL RESULT (not the steps) achieve or produce a useful (specific, substantial, AND credible), concrete (substantially repeatable/non-unpredictable), AND tangible (real world/non-abstract) result. A claim that is so broad that it reads on both statutory and non-statutory subject matter, must be amended. Claims that recite a model with associated sub-models and queries pertaining to both lack a practical application.

Applicants respectfully submit that the Present Application discloses a practical application, and the claims are directed to statutory matter pursuant to 35 U.S.C. § 101.

In Arrhythmia Research Technology Inc. v. Corazonix Corp., 958 F.2d 1053 (Fed. Cir. 1992), the Federal Circuit reviewed the following claim for compliance with 35 U.S.C. § 101:

1. A method for analyzing electrocardiograph signals to determine the presence or absence of a predetermined level of high frequency energy in the late QRS signal, comprising the steps of: converting a series of QRS signals to time segments, each segment having a digital value equivalent to the analog value of said signals at said time;

applying a portion of said time segments in reverse time order to high pass filter means;

determining an arithmetic value of the amplitude of the output of said filter; and

comparing said value with said predetermined level.

The court held that the resultant output is not an abstract number, but is a signal related to the patient's heart activity.

In re Alappat, 33 F.3d 1526 (Fed. Cir. 1994), the Federal Circuit reviewed the following claim: A rasterizer for converting vector list data representing sample magnitudes of an input waveform into anti-aliased pixel illumination intensity data to be displayed on a display means comprising:

(a) means for determining the vertical distance between the endpoints of each of the vectors in the data list;

(b) means for determining the elevation of a row of pixels that is spanned by the vector;

(c) means for normalizing the vertical distance and elevation; and

(d) means for outputting illumination intensity data as a predetermined function of the normalized vertical distance and elevation.

In <u>Alappat,</u> the court held that data, transformed by a machine through a series of mathematical calculations to produce a smooth waveform display on a rasterizer monitor, constituted a practical application of an abstract idea (a mathematical algorithm, formula, or calculation), because it produced "a useful, concrete and tangible result"--the smooth waveform.

The Examiner appears to focus on the recitation of "a model with associated submodels and queries." Office Action, p. 4. However, Applicants respectfully submit that examination should be refocused. Claims 1, 14, 15, 29, 30, and 45 recite:

receiving one or more configuration queries representing a questions involving parts and part relationships in a configuration of a configurable product;

processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model;

<u>generating a response</u> to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub- models; and

presenting the response to the one or more configuration queries for display by a display device.

Claim 44 recites the above limitations using 35 U.S.C. § 112, para. 6 means plus function language.

Thus, the final result is not a model with associated sub-models and queries to both. The final result is a generated "response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration submodels" and presenting the "response" for display.

The Examiner states that the "Results may pertain to a design of an automobile or a computer system, but no such results have [] been claimed" in claims 1-46 and 48-50. Office Action, p. 4.

However, Applicants respectfully submit that a result has clearly been claimed, i.e. <u>"a</u> response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models" which is presented for display. In re Alappat, the Federal Circuit held that "illumination intensity data as a predetermined function of the normalized vertical distance and elevation" was a useful, concrete, and tangible result." Applicants respectfully submit that <u>"a response to the one or more configuration queries based upon the processed one or more configuration</u>

<u>queries and the configuration sub-models</u> which is presented for display is a useful, concrete, and tangible result in at least the same manner as .... illumination intensity data as a predetermined function of the normalized vertical distance and elevation."

Furthermore, the Present Application itself sets forth the practical application of computer assisted product configuration and, thus, the practical application of"\_a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models" which is presented for display. Specifically, the Present Application states that, "Computer assisted product configuration continues to offer substantial benefits to a wide range of users and industries." Present Application, paras. 2. The claims are directed towards the practical application of "computer assisted configuration technology to respond to one or more configuration queries using configuration sub-models." Furthermore, the claims provide a useful, concrete, and tangible result by "generating a response to the one or more configuration queries based upon the processed one or more configuration queries and "presenting the response to the one or more configuration sub-models" and "presenting the response to the one or more configuration sub-models" for display by a display device." Claims 1, 14, 15, 29, 30, 44, and 45.

Accordingly, Applicants respectfully request withdrawal of the rejection.

Examiner's response:

The applicant supports the Examiner position by reciting these two court cases.

In Arrhythmia Research Technology Inc. v. Corazonix Corp., 958 F.2d 1053 (Fed. Cir.

1992) the case is concerned with the analyzing electrocardiograph signals. This is a

specific domain and is considered by the Examiner a having a practical result. Likewise

in re Alappat, 33 F.3d 1526 (Fed. Cir. 1994), the Examiner considers inputting

waveform into anti-aliased pixel illumination intensity data to be displayed on a display

means. Unlike the claimed invention, which has no specific domain which can be

considered a practical application.

8. In reference to the Applicant's argument:

Claim Rejections - 35 U.S.C. § 102

Claims 1-46 and 48-50 stand rejected under 35 U.S.C. § 102(b) as being anticipated by U.S. Patent No. 6,167,383 to Henson (hereinafter "Henson"). Applicants respectfully traverse the rejection.

Henson relates to a "web-based online store [that] includes a configurator, a cart, a checkout, and a database, further in which a user interface of the online store enables a custom configuration of a computer system according to an identification of a user belonging to a prescribed customer set." Henson, Abstract. "The configurator is provided for configuring a computer system with options selected according to a prescribed user input." Id.

Referring to Figures 3A and 3B of Henson, the configuration screen 70 includes a variety of configuration options for the customer. For example, the customer can select a particular memory, a particular display, a particular storage product, available printers, and so on.

The Examiner has identified the "different type of 'printers' which are available for a given computer" as an example of a configuration sub-model. Applicants respectfully submit that the different types of printers and other components are only available selections and are not a "configuration sub-model [that] includes data to define compatibility relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45.

Examiner's response:

The Examiner disagrees and sees the printer as a component of a computer.

Each printer has inherent data which relates to the printer. Each printer is seen as a

sub-model of the computer because a computer printer has no function without a

computer. It is also inherent with Henson computer to sell a computer printer which is

only compatible with a given computer which discloses the 'compatibility relationships'

of applicant. Henson will disclose information if sub-models are not compatible.

### 9. In reference to the Applicant's argument:

Applicants also respectfully submit that Henson teaches that after selection of different components, such as a printer, the selections themselves are used to form a configuration-type query. However, Applicants respectfully submit that Henson fails to teach or suggest processing such configuration-type query "using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45.

More specifically, once the customer using the configuration screen makes a series of selections, such as selection of a printer and of other components, it is desirable to determine if the selections represent a valid configurable build. Determining whether a set of selections represents a valid configurable build can be an example of a configuration query. In fact, Henson contemplates this very scenario. Henson teaches that "The on-line store further includes validation of a configuration built by a customer." Henson, col. 7, lines 57-58. The validation logic of Henson responds to a configuration-type query. More specifically, Henson teaches that:

Validation (or compatibility) provides the customer with a validation message indicating an occurrence of when the options selected for a particular system are not correct. If the options selected for a particular system will adversely affect the shipment of the configured system, then a warning message is issued to enable the user to modify options accordingly. In other words, the validation enhancement lets the customer know when one or more options are not compatible for one reason or another. The validation enhancement includes built-in logic which checks the particular configuration built by the customer and indicates whether or not the selected options can be built together for the particular configuration. If two or more options are incompatible, then in one embodiment, the validation enhancement returns a message indicating that the options are incompatible, as further discussed herein. Id., col. 7, line 58 through col. 8, line 6.

Thus, Applicants respectfully submit that the option selections by the customer in Henson are submitted to validation logic as a type of configuration query, which is then processed. Applicants respectfully submit that the mere selection of a part from a choice of parts, such as selection of a printer from a choice of multiple printers, is not a configuration query "representing [a question] involving parts and part relationships in a configuration of a configurable product" as required by claims 1, 14, 15, 29, 30, 44, and 45

Once the printer, memory, and so on are selected by the customer in Henson and a configuration-type query is formed, Applicants respectfully submit that Henson fails to teach or suggest "processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45. Henson teaches some "built-in logic" to process a configuration-type query; however, Henson fails to teach or suggest any type of configuration sub-model or "processing the one or more configuration queries using configuration sub-models."

Accordingly, for at least the foregoing reasons, Applicants respectfully request withdrawal of the rejection of claims 1, 14, 15, 29, 30, 44, and 45 and of claims directly or indirectly dependent upon claims 1, 14, 15, 29, 30, 44, and 45.

Examiner's response:

Applicant states 'Henson fails to teach or suggest processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model.' In contrast, it seems the applicant is supporting the Examiner's argument by citing Henson, '<u>In other words, the validation enhancement</u> lets the customer know when one or more options are not compatible for one reason or another. The validation enhancement includes built-in logic which checks the particular configuration built by the customer and indicates whether or not the selected options can be built together for the particular configuration.' The Examiner views the reference Henson to map onto the claims of the invention.

#### **Examination Considerations**

10. The claims and only the claims form the metes and bounds of the invention. "Office personnel are to give the claims their broadest reasonable interpretation in light of the supporting disclosure. *In re Morris*, 127 F.3d 1048, 1054-55, 44USPQ2d 1023, 1027-28 (Fed. Cir. 1997). Limitations appearing in the specification but not recited in the claim are not read into the claim. *In re Prater*, 415 F.2d, 1393, 1404-05, 162 USPQ 541, 550-551 (CCPA 1969)" (MPEP p 2100-8, c 2, I 45-48; p 2100-9, c 1, I 1-4). The Examiner has the full latitude to interpret each claim in the broadest reasonable sense. Examiner will reference prior art using terminology familiar to one of ordinary skill in the art. Such an approach is broad in concept and can be either explicit or implicit in meaning.

11. Examiner's Notes are provided to assist the applicant to better understand the nature of the prior art, application of such prior art and, as appropriate, to further indicate other prior art that maybe applied in other office actions. Such comments are entirely consistent with the intent and sprit of compact prosecution. However, and unless otherwise stated, the Examiner's Notes are not prior art but link to prior art that one of ordinary skill in the art would find inherently appropriate.

12. Examiner's Opinion: Paragraphs 10 and 11 apply. The Examiner has full latitude to interpret each claim in the broadest reasonable sense.

#### Conclusion

13. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP
§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37
CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

14. Claims 1-50 are rejected.

## Correspondence Information

15. Any inquiry concerning this information or related to the subject disclosure should be directed to the Examiner Peter Coughlan, whose telephone number is (571) 272-5990. The Examiner can be reached on Monday through Friday from 7:15 a.m. to 3:45 p.m.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor David Vincent can be reached at (571) 272-3080. Any response to this office action should be mailed to:

Commissioner of Patents and Trademarks,

Washington, D. C. 20231;

Hand delivered to:

Receptionist,

Customer Service Window,

Randolph Building,

401 Dulany Street,

Alexandria, Virginia 22313,

(located on the first floor of the south side of the Randolph Building);

or faxed to:

(571) 272-3150 (for formal communications intended for entry.)

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/Peter Coughlan/

Examiner, Art Unit 2129

Peter Coughlan

9/12/2008

/David R Vincent/

Supervisory Patent Examiner, Art Unit 2129

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Part of Paper No.: 09122008

					4	Application/Control No.			Applica Reexa	Applicant(s)/Patent Under Reexamination				
	Inc	dex of (	Claim	IS	1	10957919 L					LITTLE ET AL.			
					E	Examiner			Art Un	Art Unit				
					F	PETER COUGHLAN			2129					
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		37	✓											
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		39	✓											
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U.S. Patent and Trademark Office

**FORD 1004** 

Page 280 of 507

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	10957919	LITTLE ET AL.
	Examiner	Art Unit
	PETER COUGHLAN	2129

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Class	Subclass	Date	Examiner
705	@pd<20041004 and 56	12/24/2007	PDC
706	@pd<20041004 and 20	12/24/2007	PDC
706	@pd<20041004 and 8, 6, 28, 45	9/12/2008	PDC
705	@pd<20041004 with query, configuration, model, compatibility and 26	9/12/2008	PDC

SEARCH NOTES						
Search Notes	Date	Examiner				
East @pd<20041004 and multimedia, knowledge base, structure, query, sub query, model, sub model, answer, sub answer, processor, CPU, Dell, central processing unit, rules, specification, elements, sub-elements, database, overlap, common range, combining answers, matching, retrieving, images, requirements, computer configuration, order, sales, internet	12/24/2007	PDC				
IEEE <2005 Nathan E Little, Brandon M Beck, Brian K Showers, combining answers, matching, retrieving, images, requirements, multimedia, knowledge base, structure, query, sub query, model, sub model, answer, sub answer, processor, CPU, Central processing unit, rules, specification, elements, sub elements, database, overlap, common range	12/24/2007	PDC				
Inventors Nathan E Little, Brandon M Beck, Brian K Showers,	12/24/2007	PDC				
East @pd<20081004 and validation, enhancement, queries, part, configuration, relation, model, compatibility, sub model, computer, assist,	9/12/2008	PDC				

	INTERFERENCE SEA	RCH	
Class	Subclass	Date	Examiner

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
		@pd<"20041004" and multimedia and ("knowledge base" or knowledgebase) and structure and query and (subquery or sub-query or "sub query)	US- PGPUB; USPAT	OR	ON	2007/04/21 10:56
L1	1	"6167383".pn.	US- PGPUB; USPAT	OR	ON	2008/09/12 08:09
L2	1	"6167383".pn. and "validation enhancement"	US- PGPUB; USPAT	OR	ON	2008/09/12 10:44
L3	72	@pd<"20041004" and ((queries with part) with configura\$)	US- PGPUB; USPAT	OR	ON	2008/09/12 12:16
L4	2318	@pd<"20041004" and ((relation\$ with part) with configura\$)	US- PGPUB; USPAT	OR	ON	2008/09/12 12:16
L5	292	@pd<"20041004" and ((relation\$ with part) with configura\$) and model	US- PGPUB; USPAT	OR	ON	2008/09/12 12:16
L6	35	@pd<"20041004" and (((relation\$ with part) with configura\$) same model)	US- PGPUB; USPAT	OR	ON	2008/09/12 12:17
L7	11	@pd<"20041004" and ((queries with part) with compatib\$)	US- PGPUB; USPAT	OR	ON	2008/09/12 12:21
L8	3	@pd<"20041004" and ((submodel or sub-model or "sub model") with quer \$)	US- PGPUB; USPAT	OR	ON	2008/09/12 12:23
L9	9	@pd<"20041004" and ((submodel or sub-model or "sub model") with compatib\$)	US- PGPUB; USPAT	OR	ON	2008/09/12 12:23
L10	579	@pd<"20041004" and (( computer with assist\$ ) with configuration)	US- PGPUB; USPAT	OR	ON	2008/09/12 12:24

Page 282 of 507

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L11	194	@pd<"20041004" and (( computer with assist\$ ) with configuration) and query	US- PGPUB; USPAT	OR	ON	2008/09/12 12:24
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L13	892	110 or 19 or 18 or 17 or 16 or 15	US- PGPUB; USPAT	OR	ON	2008/09/12 12:30
L14	23	113 and "706".clas.	US- PGPUB; USPAT	OR	ON	2008/09/12 12:30
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## Page 283 of 507

L24	18	706/8.ccls. and @pd<"20041004" and quer\$	US- PGPUB; USPAT	OR	ON	2008/09/12 12:36
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Page 284 of 507

S4	0	@pd<"20041004" and (processor or cup) and rule and specifcation and element and (database or "data base") and overlap and (common with range)	US- PGPUB; USPAT	OR	ON	2007/04/21 10:59
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S8	1834	@pd<"20041004" and (database with retrieving) and images and requirement	US- PGPUB; USPAT	OR	ON	2007/04/21 11:01
S9	620	@pd<"20041004" and (database with retrieving) and (database with image) and requirement	US- PGPUB; USPAT	OR	ON	2007/04/21 11:02
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S11	2	@pd<"20041004" and ((model with configuration) with problem) and (submodel or sub-model or "sub model")	US- PGPUB; USPAT	OR	ON	2007/04/21 11:04
S12	3	@pd<"20041004" and (((model with configuration) with problem) same rule)	US- PGPUB; USPAT	OR	ON	2007/04/21 11:04
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## Page 285 of 507

S16	242	710/8.ccls. and @pd<"20041004" and model and configuration	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
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Page 286 of 507

S30	0	"09009401".pn.	US- PGPUB; USPAT	OR	ON	2007/12/21 08:21
S31	0	"9009401".pn.	US- PGPUB; USPAT	OR	ON	2007/12/21 08:22
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S36	1	"6167383".pn. and compat \$	US- PGPUB; USPAT	OR	ON	2007/12/21 10:18
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## Page 287 of 507

S45	1368	707/1.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S46	1690	707/10.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
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S50	371	S49 or S42	US- PGPUB; USPAT	OR	ON	2007/12/24 09:53

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PTO/SB/30EFS (05/07) Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	REQU	JEST FC			N(RCE)TRANSMITTA	L	
			(Submitted	l Only via EFS	-Web)		
Application Number	10/957,919	Filing Date	2004-10-04	Docket Number (if applicable)	T00121	Art Unit	2129
First Named Inventor	Nathan E. Little	•		Examiner Name	Peter D. Coughlan		
Request for C	ontinued Examina	ation (RCE)		R 1.114 does not a	above-identified application oply to any utility or plant applic WWW.USPTO.GOV		prior to June 8,
		S	UBMISSION REQ	UIRED UNDER 37	′ CFR 1.114		
Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).							
	y submitted. If a fir on even if this box			any amendments file	d after the final Office action m	ay be con	sidered as a
□ Co	Consider the arguments in the Appeal Brief or Reply Brief previously filed on						
Oth	ner						
Enclosed							
An 🛛	nendment/Reply						
Info	ormation Disclosu	re Statemer	nt (IDS)				
Aff	Affidavit(s)/ Declaration(s)						
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			ntified application is d 3 months; Fee und		CFR 1.103(c) for a period of n quired)	nonths _	
Other	Petition for an Exte	ension of Ti	me				
				FEES			
🛛 🛛 The Dire	ctor is hereby aut		s required by 37 CF harge any underpay		RCE is filed. it any overpayments, to		
	S	SIGNATUF	RE OF APPLICAN	Γ, ATTORNEY, OF	RAGENT REQUIRED		-
	Practitioner Signa ant Signature	ature					

PTO/SB/30EFS (05/07) Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Signature of Registered U.S. Patent Practitioner				
Signature	/Kent B. Chambers/	Date (YYYY-MM-DD)	2009-03-18		
Name	Kent B. Chambers	Registration Number	38839		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Nathan E. Little, Brandon N	A. Beck, Brian K. S	howers	
Assignee:	Versata Development Group, Inc.			
Title:	Complex Configuration Pro	cessing Using Con	figuration Sub-Models	
Serial No.:	10/957,919	Filing Date:	October 4, 2004	
Examiner:	Peter D. Coughlan	Group Art Unit:	2129	
Docket No.:	T00121	Customer No.:	33438	

Austin, Texas March 18, 2009

## ELECTRONICALLY FILED

# 37 C.F.R. § 1.114 RCE SUBMISSION

Dear Sir:

This paper is a submission in accordance with 37 C.F.R. § 1.114, which accompanies a request for continued examination in the above referenced patent application. This paper responds to the Office Action dated September 18, 2008, having a shortened statutory period expiring December 18, 2008. Accompanying this response is a petition under 37 C.F.R. § 1.136 for extension of time by three (3) months setting a new time for response of March 18, 2009. Further examination and reconsideration are respectfully requested.

# **AMENDMENTS TO THE CLAIMS**

1	1. (Currently Amended) A method for using a computer system, wherein the
2	computer system includes computer assisted configuration technology to respond to one
3	or more configuration queries using configuration sub-models, the method comprising:
4	receiving one or more configuration queries representing [[a]] one or more
5	questions involving parts and part relationships in a configuration of a
6	configurable product;
7	processing the one or more configuration queries using configuration sub-models,
8	wherein the configuration sub-models collectively model the configurable
9	product and each configuration sub-model includes data to define
10	compatibility relationships between parts included in the configuration
11	sub-model and each configuration sub-model (i) represents a portion of a
12	configuration model of the configurable product and (ii) allows answers
13	from each configuration sub-model to be combined to provide a
14	consolidated answer to the one or more configuration queries;
15	generating a response to the one or more configuration queries based upon the
16	processed one or more configuration queries and the configuration sub-
17	models; and
18	presenting providing the response to the one or more configuration queries as data
19	for display by a display device.
1	2. (Previously Presented) The method of claim 1 further comprising:
2	dividing at least one of the configuration queries into multiple configuration sub-
3	queries, wherein the one or more configuration queries include the
4	multiple configuration sub-queries.

1	3.	(Previously Presented) The method of claim 2 wherein the one or more
2	configuration	queries relate to a configuration completion problem and processing one or
3	more configur	ration queries further comprises:
4	proces	ssing each sub-query using at least one configuration sub-model per sub-
5		query.
1	4.	(Original) The method of claim 2 further comprising:
2	proces	ssing each sub-query using multiple configuration sub-models per sub-
3		query.
1	5.	(Previously Presented) The method of claim 2 wherein the one or more
2	configuration	queries relate to a configuration validation problem and processing one or
3	more configu	ration queries further comprises:
4	proces	ssing an undivided query using different configuration sub-models until a
5		configuration validation answer can be determined.
1	6.	(Previously Presented) The method of claim 2 wherein the data
2	collectively in	ncluded in the configuration sub-models provides a response for each of the
3	sub-queries b	eing processed.
1	7.	(Original) The method of claim 2 wherein at least two sub-queries include
2	overlapping in	nformation.
1	8.	(Previously Presented) The method of claim 2 further comprising:
2	dividi	ng a consolidated configuration model into the multiple configuration sub-
3		models in accordance with a predetermined data structure;
4	where	in at least one of the configuration queries into multiple configuration sub-
5		queries further comprises dividing the sub-queries in accordance with the
6		sub-model structure.

1	9.	(Previously Presented) The method of claim 8 wherein the predetermined
2	data structure	comprises a data structure divided along configuration model part groups,
3	wherein the p	art groups are a collection of related parts.
1	10.	(Previously Presented) The method of claim 1 wherein generating a
2	response to th	e one or more configuration queries based upon the processed one or more
3	configuration	queries and the configuration sub-models further comprises:
4	genera	ating a response for each processed configuration sub-model; and
5	combi	ning each response for each processed configuration sub-model to generate
6		the answer.
1	11.	(Original) The method of claim 1 further comprising:
2	dividi	ng a consolidated configuration model into the configuration sub-models.
1	12.	(Previously Presented) The method of claim 11 wherein dividing the
2	consolidated of	configuration model into multiple configuration sub-models further
3	comprises:	
4	dividi	ng the configuration model so that complexity of each configuration sub-
5		model allows processing using available data processing capabilities of the
6		computer assisted configuration technology while still representing the
7		relationships included in the consolidated configuration model.
1	13.	(Original) The method of claim 11 wherein each configuration sub-model
2	represents a p	ortion of the consolidated configuration model.
1	14.	(Currently Amended) A method for using a computer system, wherein the
2	computer syst	tem includes computer assisted configuration technology to respond to one
3	or more confi	guration queries using configuration sub-models, the method comprising:
4	dividi	ng a consolidated configuration model into multiple configuration sub-
5		models; and

6	responding to the one or more configuration queries representing questions
7	involving configuration of a configurable product, wherein responding to
8	the one or more configuration queries comprises:
9	processing the one or more configuration queries using configuration sub-
10	models, wherein the configuration sub-models collectively model
11	the configurable product and each configuration sub-model
12	includes data to define compatibility relationships between parts
13	included in the configuration sub-model and each configuration
14	sub-model (i) represents a portion of a configuration model of the
15	configurable product and (ii) allows answers from each
16	configuration sub-model to be combined to provide a consolidated
17	answer to the one or more configuration queries;
18	generating a response to the one or more configuration queries based upon
19	the processed one or more configuration queries and the
20	configuration sub-models; and
21	presenting providing the response to the one or more configuration queries
22	as data for display by a display device.
1	15. (Currently Amended) A computer system to implement an inference
2	procedure for responding to one or more configuration queries using configuration sub-
3	models, the system comprising:
4	a processor; and
5	a storage medium having data encoded therein, the data comprising processor
6	executable code for:
7	receiving one or more configuration queries representing a questions
8	involving parts and part relationships in a configuration of a
9	configurable product;
10	processing the one or more configuration queries using configuration sub-

14	included in the configuration sub-model and each configuration
15	sub-model (i) represents a portion of a configuration model of the
16	configurable product and (ii) allows answers from each
17	configuration sub-model to be combined to provide a consolidated
18	answer to the one or more configuration queries;
19	generating a response to the one or more configuration queries based upon
20	the processed one or more configuration queries and the
21	configuration sub-models; and
22	presenting providing the response to the one or more configuration queries
23	as data for display by a display device.
1	16. (Previously Presented) The computer system of claim 15 wherein the data
2	further comprises processor executable code for:
3	dividing at least one of the configuration queries into multiple configuration sub-
4	queries, wherein the one or more configuration queries include the
5	multiple configuration sub-queries.
1	17. (Previously Presented) The computer system of claim 16 wherein the one
2	or more configuration queries relate to a configuration completion problem and the code
2	for processing one or more configuration queries further comprises:
4	
	processing each sub-query using at least one configuration sub-model per sub-
5	query.
1	18. (Original) The computer system of claim 16 wherein the data further
2	comprises processor executable code for:
3	processing each sub-query using multiple configuration sub-models per sub-
4	query.

1	19.	(Previously Presented) The computer system of claim 16 wherein the one
2	or more confi	guration queries relate to a configuration validation problem and when
3	solving the co	onfiguration validation problem, and the code for processing one or more
4	configuration	queries further comprises:
5	proces	ssing an undivided query using different configuration sub-models until a
6		configuration validation answer can be determined.
	•	
1	20.	(Previously Presented) The computer system of claim 16 wherein the data
2	-	ncluded in the configuration sub-models provides a response for each of the
3	sub-queries b	eing processed.
1	21.	(Original) The computer system of claim 16 wherein at least two sub-
2	queries includ	le overlapping information.
	1	
1	22.	(Previously Presented) The computer system of claim 16 wherein the code
2	further compr	rises code for:
3	dividi	ng the configuration sub-models in accordance with a predetermined data
4		structure; and
5	dividi	ng the sub-queries in accordance with the sub-model structure.
1	23.	(Durationals Duragented) The commuter system of claim 22 whencin the
1 2		(Previously Presented) The computer system of claim 22 wherein the d data structure comprises a data structure divided along configuration
2	-	oups, wherein the part groups are a collection of related parts.
5	model part gr	oups, wherein the part groups are a concention of related parts.
1	24.	(Previously Presented) The computer system of claim 15 wherein the code
2	for generating	g a response to the one or more configuration queries based upon the
3	processed one	e or more configuration queries and the configuration sub-models further
4	comprises coo	de for:
5	genera	ating a response for each processed configuration sub-model; and
6	combi	ning each response for each processed configuration sub-model to generate
7		the answer.

1	25.	(Previously Presented) The computer system of claim 15 wherein the code	
2	for dividing t	he consolidated configuration model into multiple configuration sub-models	
3	further comp	rises code for:	
4	dividi	ng the configuration model so that complexity of each configuration sub-	
5		model allows processing using available data processing capabilities of the	
6		computer system while still representing the relationships included in the	
7		consolidated configuration model.	
1	26.	(Original) The computer system of claim 15 wherein the data further	
2	comprises pro	ocessor executable code for:	
3	dividi	ng a consolidated configuration model into the configuration sub-models.	
1	27.	(Previously Presented) The computer system of claim 26 wherein the code	
2	for dividing the	he consolidated configuration model into multiple configuration sub-models	
3	further comprises code for:		
4	dividi	ng the configuration model so that complexity of each configuration sub-	
5		model allows processing using available data processing capabilities of the	
6		computer system while still representing the relationships included in the	
7		consolidated configuration model.	
1	28.	(Original) The computer system of claim 26 wherein each configuration	
2	sub-model rej	presents a portion of the consolidated configuration model.	
1	29.	(Currently Amended) A computer system to implement an inference	
2	procedure for	responding to one or more configuration queries using configuration sub-	
3	models, the s	ystem comprising:	
4	a proc	essor; and	
5	a stora	age medium having data encoded therein, the data comprising processor	
6		executable code for:	
7		dividing a consolidated configuration model into multiple configuration	
8		sub-models;	

-8 of 21-

9	responding to the one or more configuration queries representing
10	questions involving configuration of a configurable product,
11	wherein responding to the one or more configuration queries
12	comprises:
13	processing the one or more configuration queries using configuration sub-
14	models, wherein the configuration sub-models collectively model
15	the configurable product and each configuration sub-model
16	includes data to define compatibility relationships between parts
17	included in the configuration sub-model and each configuration
18	sub-model (i) represents a portion of a configuration model of the
19	configurable product and (ii) allows answers from each
20	configuration sub-model to be combined to provide a consolidated
21	answer to the one or more configuration queries;
22	generating a response to the one or more configuration queries based upon
23	the processed one or more configuration queries and the
24	configuration sub-models; and
25	presenting providing the response to the one or more configuration queries
26	as data for display by a display device.
1	30. (Currently Amended) A computer storage medium comprising data
2	embedded therein to cause a computer system to respond to one or more configuration
3	queries using configuration sub-models, wherein the data comprises processor executable
4	code for:
5	receiving one or more configuration queries representing a questions involving
6	parts and part relationships in a configuration of a configurable product;
7	processing the one or more configuration queries using configuration sub-models,
8	wherein the configuration sub-models collectively model the configurable
9	product and each configuration sub-model includes data to define
10	compatibility relationships between parts included in the configuration
11	sub-model and each configuration sub-model (i) represents a portion of a
12	configuration model of the configurable product and (ii) allows answers

13		from each configuration sub-model to be combined to provide a
14		consolidated answer to the one or more configuration queries;
15	gener	rating a response to the one or more configuration queries based upon the
16		processed one or more configuration queries and the configuration sub-
17		models; and
18	prese	nting providing the response to the one or more configuration queries as data
19		for display by a display device.
1	31.	(Previously Presented) The computer storage medium of claim 30 wherein
2	the data furth	ner comprises processor executable code for:
3	divid	ing at least one of the configuration queries into multiple configuration sub-
4		queries, wherein the one or more configuration queries include the
5		multiple configuration sub-queries.
1	32.	(Previously Presented) The computer storage medium of claim 31 wherein
2	the one or m	ore configuration queries relate to a configuration completion problem and
3	the code for	processing one or more configuration queries further comprises:
4	proce	essing each sub-query using at least one configuration sub-model per sub-
5		query.
1	33.	(Original) The computer storage medium of claim 31 wherein the data
2	further comp	rises processor executable code for:
3	proce	essing each sub-query using multiple configuration sub-models per sub-
4		query.
1	34.	(Previously Presented) The computer storage medium of claim 31 wherein
2	the one or m	ore configuration queries relate to a configuration validation problem and the
2 3		ore configuration queries relate to a configuration validation problem and the cessing one or more configuration queries further comprises:
	code for proc	

1	35.	(Previously Presented) The computer storage medium of claim 31 wherein						
2	the data collectively included in the configuration sub-models provides a response for							
3	each of the su	b-queries being processed.						
1	36.	(Original) The computer storage medium of claim 31 wherein at least two						
2	sub-queries ir	nclude overlapping information.						
1	37.	(Previously Presented) The computer storage medium of claim 31 the code						
2	further compr	rises code for:						
3	dividi	ng the configuration sub-models in accordance with a predetermined data						
4		structure; and						
5	dividi	ng the sub-queries in accordance with the sub-model structure.						
1	38.	(Previously Presented) The computer storage medium of claim 37 wherein						
2	the predeterm	ined data structure comprises a data structure divided along configuration						
3	model part gr	oups, wherein the part groups are a collection of related parts.						
1	39.	(Previously Presented) The computer storage medium of claim 30 wherein						
2	the code for g	enerating a response to the one or more configuration queries based upon						
3	the processed	one or more configuration queries and the configuration sub-models further						
4	comprises coo	de for:						
5	genera	ating a response for each processed configuration sub-model; and						
6	combi	ning each response for each processed configuration sub-model to generate						
7		the answer.						
1	40.	(Currently Amended) The computer storage medium of claim 30 wherein						
2	the code for d	lividing the consolidated configuration model into multiple configuration						
3	sub-models fu	arther comprises code for:						
4	dividi	ng the configuration model so that complexity of each configuration sub-						
5		model allows processing using available data processing capabilities of the						

6	computer system while still representing the relationships included in the
7	consolidated configuration model.
1	41. (Original) The computer storage medium of claim 30 wherein the data
2	further comprises processor executable code for:
3	dividing a consolidated configuration model into the configuration sub-models.
1	
1	42. (Previously Presented) The computer storage medium of claim 41 wherein
2	the code for dividing the consolidated configuration model into multiple configuration
3	sub-models further comprises code for:
4	dividing the configuration model so that complexity of each configuration sub-
5	model allows processing using available data processing capabilities of the
6	computer system while still representing the relationships included in the
7	consolidated configuration model.
1	$42 \qquad (Oniginal) The constant of an equivalence of the second state of the second sta$
1	43. (Original) The computer storage medium of claim 41 wherein each
2	configuration sub-model represents a portion of the consolidated configuration model.
1	44. (Currently Amended) A computer storage medium comprising data
2	embedded therein to cause a computer system to respond to one or more configuration
3	queries using configuration sub-models, wherein the data comprises code for:
4	dividing a consolidated configuration model into multiple configuration
5	sub-models;
6	responding to the one or more configuration queries representing
7	questions involving configuration of a configurable product,
8	wherein responding to the one or more configuration queries
9	comprises:
10	processing the one or more configuration queries using configuration sub-
11	models, wherein the configuration sub-models collectively model
12	the configurable product and each configuration sub-model
13	includes data to define compatibility relationships between parts
14	included in the configuration sub-model;

15	generating a response to the one or more configuration queries based upon
16	the processed one or more configuration queries and the
17	configuration sub-models and each configuration sub-model (i)
18	represents a portion of a configuration model of the configurable
19	product and (ii) allows answers from each configuration sub-model
20	to be combined to provide a consolidated answer to the one or
21	more configuration queries; and
22	presenting providing the response to the one or more configuration queries
23	as data for display by a display device.
1	45. (Currently Amended) A computer system to implement an inference
2	procedure for responding to one or more configuration queries using configuration sub-
3	models, the system comprising:
4	means for receiving one or more configuration queries representing a questions
5	involving parts and part relationships in a configuration of a configurable
6	product;
7	means for processing the one or more configuration queries using configuration
8	sub-models, wherein the configuration sub-models collectively model the
9	configurable product and each configuration sub-model includes data to
10	define compatibility relationships between parts included in the
11	configuration sub-model and each configuration sub-model (i) represents a
12	portion of a configuration model of the configurable product and (ii)
13	allows answers from each configuration sub-model to be combined to
14	provide a consolidated answer to the one or more configuration queries;
15	means for generating a response to the one or more configuration queries based
16	upon the processed one or more configuration queries and the
17	configuration sub-models; and
18	means for presenting providing the response to the one or more configuration
19	queries <u>as data</u> for display by a display device.

1	46.	(Original) The computer system of claim 45 further comprising:
2	means	for dividing a consolidated configuration model into the configuration sub-
3		models.
1	47.	(Previously Presented) The method of claim 1 wherein the configurable
2	product is a ve	ehicle.
1	48.	(Previously Presented) The method of claim 1 further comprising:
2	display	ying the response on display device.
1	49.	(Previously Presented) The method of claim 1 wherein the configuration
2	sub-models ea	ch comprise data and rules to define compatibility relationships between
3	parts included	in a product.
1	50.	(Previously Presented) The method of claim 1 wherein the configuration
2	problem comp	prises a configuration problem involving parts of a product.

### **REMARKS**

Claims 1-50 are pending.

Claims 1-50 stand rejected.

Claims 14, 15, 29, 30, 44, and 45 have been amended.

#### Claim Rejections – 35 U.S.C. § 101

Claims 1-46 and 48-50 stand rejected under 35 U.S.C. § 101. Applicants respectfully traverse the rejection.

In the January 17, 2008 Office Action, page 4, the Examiner states that:

The invention must be for a practical application and either: 1) specify transforming (physical thing) or 2) have the FINAL RESULT (not the steps) achieve or produce a useful (specific, substantial, AND credible), concrete (substantially repeatable/non-unpredictable), AND tangible (real world/non-abstract) result. A claim that is so broad that it reads on both statutory and non-statutory subject matter, must be amended. Claims that recite a model with associated sub-models and queries pertaining to both lack a practical application. There must be a result that is a practical application.

The Federal Circuit recently addressed the subject of subject matter patentability in *In re Bilski*, 545 *F.3d* 943 (Fed. Cir. 2008) (*en banc*). In *In re Bilski*, the court "conclude[ed] that the "useful, concrete and tangible result" inquiry is inadequate and reaffirm[ed] that the machine-or-transformation test outlined by the Supreme Court is the proper test to apply." *Id.* "The machine-or-transformation test is a two-branched inquiry; an applicant may show that a process claim satisfies §101 either by showing that his claim is tied to a particular machine, or by showing that his claim transforms an article." *Id.* 

Although the two-branched inquiry is stated in the alternative, Applicants respectfully submit that the methods of claims 1 and 14 and claims directly or indirectly dependent thereon meet both of the two-branched inquiries set forth in *In re Bilski*.

S/N: 10/957,919

The methods of claims 1 and 14 are specifically tied to a particular machine, namely "a computer system". Claims 1 and 14. More specifically, claims 1 and 14 are respectively a "method for using <u>a computer system</u>, wherein the computer system <u>includes</u> computer assisted configuration technology to respond to one or more configuration queries using configuration sub-models." *Id*.

Additionally, the method of claim 1 tranforms an article(s) into a different thing. Claim 1 recites "receiving one or more configuration queries" and "generating a response to the one or more configuration queries." Claim 1. The "response" represents an article because the "one or more configuration queries" relate to a physical object, namely "questions involving parts and part relationships in a configuration of a configurable product." *Id*. The "response" is transformed into "<u>data</u> for display by a display device". *Id*.

The method of claim 14 also tranforms an article(s) into a different thing. Claim 1 recites "responding to the one or more configuration queries" and "generating a response to the one or more configuration queries." Claim 14. The "response" represents an article because the "one or more configuration queries" relate to a physical object, namely "questions involving configuration of a configurable product." *Id.* The "response" is transformed into "data for display by a display device". *Id.* 

Although *In re Bilski* specifically relates to 35 U.S.C. § 101 and method claims, applying the criteria of *In re Bilski* claims 15, 29, and 45 are respectively "tied to a particular machine." *In re Bilski*. More specifically, claims 15, 29, and 45 are each "a computer system". Claims 15, 29, and 45.

Claims 30 and 45 recite a "computer storage medium" comprising data embedded therein to cause a computer system to respond to one or more configuration queries using configuration sub-models, wherein the data comprises processor executable code for: ...

The invention embodiment of claim 30 is also related to a physical device and includes processor executable code, namely a "computer storage medium comprising

-16 of 21-

S/N: 10/957,919

data ... wherein the data comprises processor executable code." After the decision in *In re Bilski*, the USPTO Board of Patent Appeals and Interferences (BPAI) addressed subject matter patentability of a computer usable medium in *ex parte Bo Li. Ex parte Bo Li*, Appeal 2008-1213 (USPTO BPAI 2008, November 6, 2008). The BPAI, citing *In re Bilski* and *In re Lowry*, 32 F.3d 1579 (Fed. Cir. 1994), held that a computer program product comprising a computer usable medium having a computer readable program code embodied therein and adapted to be executed to implement a method for generating a report recites patentable subject matter under 35 U.S.C. § 101. Likewise, Applicants respectfully submit that the computer storage medium of claims 30 and 44 claims directly or indirectly dependent thereon also recite patentable subject matter.

Applicants respectfully submit that claims 1-50 accordingly meet the requirements of 35 U.S.C. § 101 as construed by, for example, the Federal Circuit in *In re Bilski* and *In re Lowry*, 32 F.3d 1579 (Fed. Cir. 1994).

Accordingly, Applicants respectfully request withdrawal of the rejection.

#### Claim Rejections – 35 U.S.C. § 102

Claims 1-46 and 48-50 stand rejected under 35 U.S.C. § 102(b) as being anticipated by U.S. Patent No. 6,167,383 to Henson (hereinafter "*Henson*"). Applicants respectfully traverse the rejection.

Applicants hereby rescind all previous remarks in previously filed Office Action responses. Applicants present the following remarks for the allowability of claims 1-46 and 48-50 over *Henson*.

*Henson* relates to a "web-based online store [that] includes a configurator, a cart, a checkout, and a database, further in which a user interface of the online store enables a custom configuration of a computer system according to an identification of a user belonging to a prescribed customer set." *Henson*, Abstract. "The configurator is provided for configuring a computer system with options selected according to a prescribed user input." *Id*.

S/N: 10/957,919

Referring to Figures 3A and 3B of *Henson*, the configuration screen 70 includes a variety of configuration options for the customer. For example, the customer can select a particular memory, a particular display, a particular storage product, available printers, and so on.

The Examiner has identified the "different type of 'printers' which are available for a given computer" as an example of a configuration sub-model. Applicants respectfully submit that the different types of printers and other components are only available selections and are not a "configuration sub-model [that] includes data to define compatibility relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45.

Applicants also respectfully submit that *Henson* teaches that after selection of different components, such as a printer, the selections themselves are used to form a configuration-type query. However, Applicants respectfully submit that *Henson* fails to teach or suggest processing such configuration-type query "using configuration submodels, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45.

More specifically, once the customer using the configuration screen makes a series of selections, such as selection of a printer and of other components, it is desirable to determine if the selections represent a valid configurable build. Determining whether a set of selections represents a valid configurable build can be an example of a configuration query. In fact, *Henson* contemplates this very scenario. *Henson* teaches that "The on-line store further includes validation of a configuration built by a customer." *Henson*, col. 7, lines 57-58. The validation logic of *Henson* responds to a configuration-type query. More specifically, *Henson* teaches that:

Validation (or compatibility) provides the customer with a validation message indicating an occurrence of when the options selected for a particular system are not correct. If the options selected for a particular system will adversely affect the shipment of the configured

S/N: 10/957,919

system, then a warning message is issued to enable the user to modify options accordingly. In other words, the validation enhancement lets the customer know when one or more options are not compatible for one reason or another. The validation enhancement includes built-in logic which checks the particular configuration built by the customer and indicates whether or not the selected options can be built together for the particular configuration. If two or more options are incompatible, then in one embodiment, the validation enhancement returns a message indicating that the options are incompatible, as further discussed herein. *Id.*, col. 7, line 58 through col. 8, line 6.

Thus, Applicants respectfully submit that the option selections by the customer in Henson are submitted to validation logic as a type of configuration query. Once the printer, memory, and so on are selected by the customer in *Henson* and a configurationtype query is formed, Applicants respectfully submit that Henson fails to teach or suggest "processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model and each configuration sub-model (i) represents a portion of a configuration model of the configurable product and (ii) allows answers from each configuration sub-model to be combined to provide a consolidated answer to the one or more configuration queries" as required by claims 1, 14, 15, 29, 30, 44, and 45. *Henson* teaches some "built-in logic" to process a configuration-type query; however, Henson fails to teach or suggest any type of configuration sub-model or "processing the one or more configuration queries using configuration sub-models ... wherein ... each configuration sub-model (i) represents a portion of a configuration model of the configurable product and (ii) allows answers from each configuration submodel to be combined to provide a consolidated answer to the one or more configuration <u>queries</u>" as required by claims 1, 14, 15, 29, 30, 44, and 45.

Accordingly, for at least the foregoing reasons, Applicants respectfully request withdrawal of the rejection of claims 1, 14, 15, 29, 30, 44, and 45 and of claims directly or indirectly dependent upon claims 1, 14, 15, 29, 30, 44, and 45.

## Claim Rejections - 35 U.S.C. § 103

Claim 47 stands rejected under 35 U.S.C. § 103(a) as being unpatentable over Henson in view of Ford Motor Company http://web.archive.org/web/20030324212039/http://fordvehicles.com/.

Claim 47 depends on claim 1. For at least the foregoing reasons given with regard to claim 1, Applicants respectfully request withdrawal of the rejection of claim 47.

## **CONCLUSION**

In view of the amendments and remarks set forth herein, the application is believed to be in condition for allowance and a notice to that effect is solicited. Nonetheless, should any issues remain that might be subject to resolution through a telephonic interview, the examiner is requested to telephone the undersigned 512-338-9100.

#### CERTIFICATE OF TRANSMISSION

I hereby certify that on March 18, 2009 this correspondence is being transmitted via the U.S. Patent & Trademark Office's electronic filing system.

/Kent B. Chambers/

Respectfully submitted,

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Nathan E. Little, Brandon M. Beck, Brian K. Showers							
Assignee:	Versata Development Group, Inc.							
Title:	Complex Configuration Processing Using Configuration Sub-Models							
Serial No.:	10/957,919 Filing Date: October 4, 2004							
Examiner:	Peter D. Coughlan Group Art Unit: 2129							
Docket No.:	T00121	Customer No.:	33438					

FILED ELECTRONICALLY

## **PETITION FOR EXTENSION OF TIME**

Dear Sir:

Applicants respectfully petition for a three (3) month extension of time within which to respond to the Office Action mailed September 18, 2009, such extension allowing the undersigned until March 18, 2009, to respond.

The extension fee is being paid via the USPTO EFS. The Commissioner is authorized to deduct any additional fees which may be required or credit any overpayment to Deposit Account No. 502264.

#### CERTIFICATE OF TRANSMISSION

I hereby certify that on March 18, 2009 this correspondence is being transmitted via the U.S. Patent & Trademark Office's electronic filing system.

/Kent B. Chambers/

Respectfully submitted,

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839 Austin, Texas March 18, 2009

Electronic Patent Application Fee Transmittal							
Application Number:	10	10957919					
Filing Date:	04	-Oct-2004					
Title of Invention:	Complex configuration processing using configuration sub-models						
First Named Inventor/Applicant Name:	Nathan E. Little						
Filer:	Kent Bryan Chambers						
Attorney Docket Number: T00121							
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							
Page 314 of 50 7 <sup>n - 3 months</sup> with \$0 paid		1253	1	1110	FORD 9004		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for continued examination	1801	1	810	810
	Tot	al in USD	(\$)	1920

Electronic Acknowledgement Receipt						
EFS ID:	4987071					
Application Number:	10957919					
International Application Number:						
Confirmation Number:	9162					
Title of Invention:	Complex configuration processing using configuration sub-models					
First Named Inventor/Applicant Name:	Nathan E. Little					
Customer Number:	33438					
Filer:	Kent Bryan Chambers					
Filer Authorized By:						
Attorney Docket Number:	T00121					
Receipt Date:	18-MAR-2009					
Filing Date:	04-OCT-2004					
Time Stamp:	10:37:10					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Document Pa <b>gonBel6</b> C	of 507 <sup>ocument Description</sup>	File Name	File Size(Bytes)/ Message Digest	Multi Pages Par <b>F7,QPR</b> Qif <b>1,90,4</b>			
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2	Amendment Submitted/Entered with	T000121_RCE_Submission_9_1	148133	no	21					
	Filing of CPA/RCE	8_08.pdf	7065d8ec0568804c3d8e71bb4e344609aa6 fe93c							
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3	Extension of Time	T00121_Extension_3_18_2009.	81355	no	1					
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4	Fee Worksheet (PTO-06)	fee-info.pdf	31775	no	2					
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		Total Files Size (in bytes):	29	99910						
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <a href="https://www.new.applications.under/35.0.5.0">https://www.new.applications.under/35.0.5.0.111</a> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.  National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.										
If a new intern an internation and of the Int national secu	<u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.									

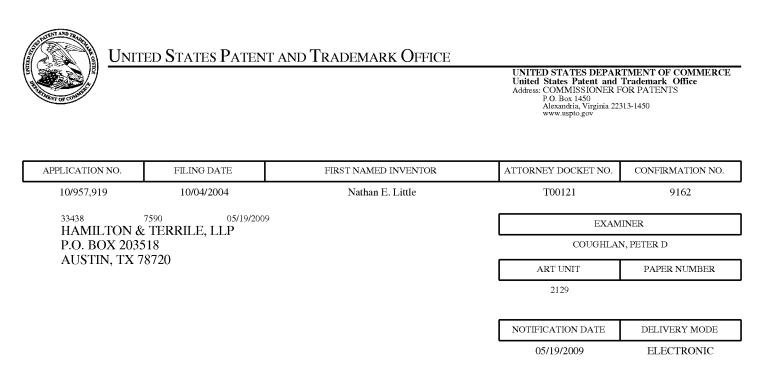
PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respon- <b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875							Application of Docket Number 10/957,919			plays a valid ing Date )4/2004	OMB control number.
	APPLICATION AS FILED – PART I (Column 1) (Column 2)								OR		HER THAN
	FOR	N	UMBER FIL	.ED NUI	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), o	or (c))	N/A		N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A			N/A	
	AL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =		OR	X \$ =	
	EPENDENT CLAIM CFR 1.16(h))			nus 3 = *			X \$ =			X \$ =	
	APPLICATION SIZE 37 CFR 1.16(s))	FEE shee is \$2 addi 35 U	ts of pape 50 (\$125 tional 50 s .S.C. 41(a	ation and drawing er, the application for small entity) sheets or fraction a)(1)(G) and 37	n size fee due for each n thereof. See						
	MULTIPLE DEPEN		,								
* If t	he difference in colu	ımn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL	
	APPI	(Column 1)	AMEND	ED – PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ILL ENTITY
AMENDMENT	03/18/2009	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
OME	Total (37 CFR 1.16(i))	* 50	Minus	** 50	= 0		X \$ =		OR	X \$52=	0
Ľ.	Independent (37 CFR 1.16(h))	* 7	Minus	***7	= 0		X \$ =		OR	X \$220=	0
AME	Application Si	ze Fee (37 CFR <sup>-</sup>	.16(s))								
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
						•	TOTAL ADD'L FEE		OR	total Add'l Fee	0
		(Column 1)		(Column 2)	(Column 3)	_					
⊢		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
И Ш	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
ШN	Application Si	ze Fee (37 CFR <sup>-</sup>	.16(s))								
AN	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
* lf t	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. Legal Instrument Examiner:										
	the "Highest Numbe	-						A D. CHAPMA		UI.	
	f the "Highest Numb "Highest Number P	,				foun	d in the appro	priate box in colu	mn 1		
	The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. his collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to										

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

tmunoz@hamiltonterrile.com

	Application No. Applicant(s								
Interview Summary	10/957,919	LITTLE ET AL.							
interview Summary	Examiner	Art Unit							
	PETER COUGHLAN	2129							
All participants (applicant, applicant's representative, PTO	personnel):								
(1) <u>Mr. Kent Chambers</u> . (3)									
(2) <u><i>Mr. Peter Coughlan.</i></u> (4)									
Date of Interview: <u>5/5/09 &amp; 5/8/09</u> .									
Type: a)⊠ Telephonic b)⊡ Video Conference c)⊡ Personal [copy given to: 1)⊡ applicant 2	2) applicant's representative	9]							
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e) No.								
Claim(s) discussed: <u>1</u> .									
Identification of prior art discussed:									
Agreement with respect to the claims f) was reached.	ŋ)∏ was not reached. h)⊠ N	J/A.							
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>The Examiner contacted Mr. Chambers requesting an interview with himself and the inventors prior to writing the non-final office action in order to move prosecution forward. The reasoning is the claims are just too broad and a number of examples were cited by the Examiner. No formal interview was arranged by Mr. <u>Chambers</u>. (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.) THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.</u>									
/Peter Coughlan/ 2129									
U.S. Patent and Trademark Office			I						

PTOL-413 (Rev. 04-03)

Interview Summary

Paper No. 05122009

UNITED STATES PATENT AND TRADEMARK OFFICE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov					
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/957,919	10/04/2004	Nathan E. Little	T00121	9162	
33438 75	590 05/26/2009		EXAMINER		
HAMILTON P.O. BOX 2035	& TERRILE, LLP				
AUSTIN, TX 78720			ART UNIT	PAPER NUMBER	
			DATE MAILED: 05/26/200	9	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
Notice of Non-Compliant	10/957,919	LITTLE ET AL.				
Amendment (37 CFR 1.121)	Examiner	Art Unit				
	PETER COUGHLAN	2129				
The MAILING DATE of this communication app	1					
The amendment document filed on <u>18 March 2009</u> is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.						
THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:						
<ul> <li>2. Abstract:</li> <li>A. Not presented on a separate sheet. 37 CFR 1.72.</li> <li>B. Other</li> </ul>						
<ul> <li>3. Amendments to the drawings:</li> <li>A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).</li> <li>B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.</li> <li>C. Other</li> </ul>						
<ul> <li>4. Amendments to the claims:</li> <li>A. A complete listing of all of the claims is not present.</li> <li>B. The listing of claims does not include the text of all pending claims (including withdrawn claims)</li> <li>C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).</li> <li>D. The claims of this amendment paper have not been presented in ascending numerical order.</li> <li>E. Other: <i>Claim 40 is indicated as being 'Currently Amended' but there are no amendments indicated on</i></li> </ul>						
<i>the claim</i> . 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4):						
For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.						
TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:						
<ol> <li>Applicant is given no new time period if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the entire corrected amendment must be resubmitted.</li> </ol>						
2. Applicant is given <b>one month</b> , or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a <i>Quayle</i> action. If any of above boxes 1. to 4. are checked, the correction required is only the <b>corrected section</b> of the non-compliant amendment in compliance with 37 CFR 1.121.						
Extensions of time are available under 37 CFR 1.136(a) <u>only</u> if the non-compliant amendment is a non-final amendment or an amendment filed in response to a <i>Quayle</i> action.						
<ul> <li>Failure to timely respond to this notice will result in:</li> <li>Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a <i>Quayle</i> action; or</li> <li>Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.</li> </ul>						
	/David R Vincent/ Supervisory Patent	Examiner, Art Unit 2129				

Page 322 of 507

Docket No.:	T00121	Customer No.:	33438			
Examiner:	Peter D. Coughlan	Group Art Unit:	2129			
Serial No.:	10/957,919	Filing Date:	October 4, 2004			
Title:	Complex Configuration I	Complex Configuration Processing Using Configuration Sub-Models				
Assignee:	Versata Development Gro	Versata Development Group, Inc.				
Applicant(s):	Nathan E. Little, Brandor	Nathan E. Little, Brandon M. Beck, Brian K. Showers				
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE						

June 26, 2009

## ELECTRONICALLY FILED

## **RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**

## Dear Sir:

This paper is responsive to the Notice of Non-Compliant Amendment May 26, 2009, having a shortened statutory period expiring June 26, 2009.

The Notice of Compliant Amendment indicates that each claims had not been provided with the proper status identifier. The correct status indicator of "Previously Presented" has been made to Claim 40 herein.

# **AMENDMENTS TO THE CLAIMS**

1. (Currently Amended) A method for using a computer system, wherein the
computer system includes computer assisted configuration technology to respond to one
or more configuration queries using configuration sub-models, the method comprising:
receiving one or more configuration queries representing [[a]] one or more
questions involving parts and part relationships in a configuration of a
configurable product;
processing the one or more configuration queries using configuration sub-models,
wherein the configuration sub-models collectively model the configurable
product and each configuration sub-model includes data to define
compatibility relationships between parts included in the configuration
sub-model and each configuration sub-model (i) represents a portion of a
configuration model of the configurable product and (ii) allows answers
from each configuration sub-model to be combined to provide a
consolidated answer to the one or more configuration queries;
generating a response to the one or more configuration queries based upon the
processed one or more configuration queries and the configuration sub-
models; and
presenting providing the response to the one or more configuration queries as data
for display by a display device.
2. (Previously Presented) The method of claim 1 further comprising:
dividing at least one of the configuration queries into multiple configuration sub-
queries, wherein the one or more configuration queries include the
multiple configuration sub-queries.

1	3.	(Previously Presented) The method of claim 2 wherein the one or more
2	configuration	queries relate to a configuration completion problem and processing one or
3	more configur	ration queries further comprises:
4	proces	ssing each sub-query using at least one configuration sub-model per sub-
5		query.
1	4.	(Original) The method of claim 2 further comprising:
2	proces	ssing each sub-query using multiple configuration sub-models per sub-
3		query.
1	5.	(Previously Presented) The method of claim 2 wherein the one or more
2	configuration	queries relate to a configuration validation problem and processing one or
3	more configu	ration queries further comprises:
4	proces	ssing an undivided query using different configuration sub-models until a
5		configuration validation answer can be determined.
1	6.	(Previously Presented) The method of claim 2 wherein the data
2	collectively in	ncluded in the configuration sub-models provides a response for each of the
3	sub-queries b	eing processed.
1	7.	(Original) The method of claim 2 wherein at least two sub-queries include
2	overlapping in	nformation.
1	8.	(Previously Presented) The method of claim 2 further comprising:
2	dividi	ng a consolidated configuration model into the multiple configuration sub-
3		models in accordance with a predetermined data structure;
4	where	in at least one of the configuration queries into multiple configuration sub-
5		queries further comprises dividing the sub-queries in accordance with the
6		sub-model structure.

1	9.	(Previously Presented) The method of claim 8 wherein the predetermined
2	data structure	comprises a data structure divided along configuration model part groups,
3	wherein the p	art groups are a collection of related parts.
1	10.	(Previously Presented) The method of claim 1 wherein generating a
2	response to th	e one or more configuration queries based upon the processed one or more
3	configuration	queries and the configuration sub-models further comprises:
4	genera	ating a response for each processed configuration sub-model; and
5	combi	ning each response for each processed configuration sub-model to generate
6		the answer.
1	11.	(Original) The method of claim 1 further comprising:
2	dividi	ng a consolidated configuration model into the configuration sub-models.
1	12.	(Previously Presented) The method of claim 11 wherein dividing the
2	consolidated of	configuration model into multiple configuration sub-models further
3	comprises:	
4	dividi	ng the configuration model so that complexity of each configuration sub-
5		model allows processing using available data processing capabilities of the
6		computer assisted configuration technology while still representing the
7		relationships included in the consolidated configuration model.
1	13.	(Original) The method of claim 11 wherein each configuration sub-model
2	represents a p	ortion of the consolidated configuration model.
1	14.	(Currently Amended) A method for using a computer system, wherein the
2	computer syst	tem includes computer assisted configuration technology to respond to one
3	or more confi	guration queries using configuration sub-models, the method comprising:
4	dividi	ng a consolidated configuration model into multiple configuration sub-
5		models; and

6	responding to the one or more configuration queries representing questions
7	involving configuration of a configurable product, wherein responding to
8	the one or more configuration queries comprises:
9	processing the one or more configuration queries using configuration sub-
10	models, wherein the configuration sub-models collectively model
11	the configurable product and each configuration sub-model
12	includes data to define compatibility relationships between parts
13	included in the configuration sub-model and each configuration
14	sub-model (i) represents a portion of a configuration model of the
15	configurable product and (ii) allows answers from each
16	configuration sub-model to be combined to provide a consolidated
17	answer to the one or more configuration queries;
18	generating a response to the one or more configuration queries based upon
19	the processed one or more configuration queries and the
20	configuration sub-models; and
21	presenting providing the response to the one or more configuration queries
22	as data for display by a display device.
1	15. (Currently Amended) A computer system to implement an inference
2	procedure for responding to one or more configuration queries using configuration sub-
3	models, the system comprising:
4	a processor; and
5	a storage medium having data encoded therein, the data comprising processor
6	executable code for:
7	receiving one or more configuration queries representing a questions
8	involving parts and part relationships in a configuration of a
9	configurable product;
10	processing the one or more configuration queries using configuration sub-

- 11models, wherein the configuration sub-models collectively model12the configurable product and each configuration sub-model
- 13 includes data to define compatibility relationships between parts

14	included in the configuration sub-model and each configuration
15	sub-model (i) represents a portion of a configuration model of the
16	configurable product and (ii) allows answers from each
17	configuration sub-model to be combined to provide a consolidated
18	answer to the one or more configuration queries;
19	generating a response to the one or more configuration queries based upon
20	the processed one or more configuration queries and the
21	configuration sub-models; and
22	presenting providing the response to the one or more configuration queries
23	as data for display by a display device.
1	16. (Previously Presented) The computer system of claim 15 wherein the data
2	further comprises processor executable code for:
3	dividing at least one of the configuration queries into multiple configuration sub-
4	queries, wherein the one or more configuration queries include the
5	multiple configuration sub-queries.
1	17. (Previously Presented) The computer system of claim 16 wherein the one
2	or more configuration queries relate to a configuration completion problem and the code
3	for processing one or more configuration queries further comprises:
4	processing each sub-query using at least one configuration sub-model per sub-
5	query.
1	18. (Original) The computer system of claim 16 wherein the data further
2	comprises processor executable code for:
3	processing each sub-query using multiple configuration sub-models per sub-
4	query.

1	19.	(Previously Presented) The computer system of claim 16 wherein the one	
2	or more confi	iguration queries relate to a configuration validation problem and when	
3	solving the configuration validation problem, and the code for processing one or more		
4	configuration	queries further comprises:	
5	proce	ssing an undivided query using different configuration sub-models until a	
6		configuration validation answer can be determined.	
1	20	(Denotional Denote the denote the sector of the later	
1	20.	(Previously Presented) The computer system of claim 16 wherein the data	
2	-	ncluded in the configuration sub-models provides a response for each of the	
3	sub-queries b	eing processed.	
1	21.	(Original) The computer system of claim 16 wherein at least two sub-	
2	queries inclue	de overlapping information.	
	-		
1	22.	(Previously Presented) The computer system of claim 16 wherein the code	
2	further comp	rises code for:	
3	dividi	ng the configuration sub-models in accordance with a predetermined data	
4		structure; and	
5	dividi	ng the sub-queries in accordance with the sub-model structure.	
1	22	(Denoview of a Denovember 4) The commuter and the follow 22 with the	
1	23.	(Previously Presented) The computer system of claim 22 wherein the	
2	-	d data structure comprises a data structure divided along configuration	
3	model part gi	roups, wherein the part groups are a collection of related parts.	
1	24.	(Previously Presented) The computer system of claim 15 wherein the code	
2	for generating	g a response to the one or more configuration queries based upon the	
3	processed on	e or more configuration queries and the configuration sub-models further	
4	comprises co	de for:	
5	gener	ating a response for each processed configuration sub-model; and	
6	comb	ining each response for each processed configuration sub-model to generate	
7		the answer.	

1	25.	(Previously Presented) The computer system of claim 15 wherein the code
2	for dividing the	he consolidated configuration model into multiple configuration sub-models
3	further compr	ises code for:
4	dividi	ng the configuration model so that complexity of each configuration sub-
5		model allows processing using available data processing capabilities of the
6		computer system while still representing the relationships included in the
7		consolidated configuration model.
1	26.	(Original) The computer system of claim 15 wherein the data further
2	comprises pro	cessor executable code for:
3	dividi	ng a consolidated configuration model into the configuration sub-models.
1	27.	(Previously Presented) The computer system of claim 26 wherein the code
2	for dividing the	he consolidated configuration model into multiple configuration sub-models
3	further compr	rises code for:
4	dividi	ng the configuration model so that complexity of each configuration sub-
5		model allows processing using available data processing capabilities of the
6		computer system while still representing the relationships included in the
7		consolidated configuration model.
1	28.	(Original) The computer system of claim 26 wherein each configuration
2	sub-model rej	presents a portion of the consolidated configuration model.
1	29.	(Currently Amended) A computer system to implement an inference
2	procedure for	responding to one or more configuration queries using configuration sub-
3	models, the sy	ystem comprising:
4	a proc	essor; and
5	a stora	age medium having data encoded therein, the data comprising processor
6		executable code for:
7		dividing a consolidated configuration model into multiple configuration
8		sub-models;

-8 of 21-

9	responding to the one or more configuration queries representing
10	questions involving configuration of a configurable product,
11	wherein responding to the one or more configuration queries
12	comprises:
13	processing the one or more configuration queries using configuration sub-
14	models, wherein the configuration sub-models collectively model
15	the configurable product and each configuration sub-model
16	includes data to define compatibility relationships between parts
17	included in the configuration sub-model and each configuration
18	sub-model (i) represents a portion of a configuration model of the
19	configurable product and (ii) allows answers from each
20	configuration sub-model to be combined to provide a consolidated
21	answer to the one or more configuration queries;
22	generating a response to the one or more configuration queries based upon
23	the processed one or more configuration queries and the
24	configuration sub-models; and
25	presenting providing the response to the one or more configuration queries
26	as data for display by a display device.
1	30. (Currently Amended) A computer storage medium comprising data
2	embedded therein to cause a computer system to respond to one or more configuration
3	queries using configuration sub-models, wherein the data comprises processor executable
4	code for:
5	receiving one or more configuration queries representing a questions involving
6	parts and part relationships in a configuration of a configurable product;
7	processing the one or more configuration queries using configuration sub-models,
8	wherein the configuration sub-models collectively model the configurable
9	product and each configuration sub-model includes data to define
10	compatibility relationships between parts included in the configuration
11	sub-model and each configuration sub-model (i) represents a portion of a
12	configuration model of the configurable product and (ii) allows answers

13		from each configuration sub-model to be combined to provide a
14		consolidated answer to the one or more configuration queries;
15	genera	ating a response to the one or more configuration queries based upon the
16		processed one or more configuration queries and the configuration sub-
17		models; and
18	preser	ting providing the response to the one or more configuration queries as data
19		for display by a display device.
1	31.	(Previously Presented) The computer storage medium of claim 30 wherein
2	the data furth	er comprises processor executable code for:
3	dividi	ng at least one of the configuration queries into multiple configuration sub-
4		queries, wherein the one or more configuration queries include the
5		multiple configuration sub-queries.
1	32.	(Previously Presented) The computer storage medium of claim 31 wherein
2	the one or mo	re configuration queries relate to a configuration completion problem and
3	the code for p	processing one or more configuration queries further comprises:
4	proces	ssing each sub-query using at least one configuration sub-model per sub-
5		query.
1	33.	(Original) The computer storage medium of claim 31 wherein the data
2	further compr	rises processor executable code for:
3	proces	ssing each sub-query using multiple configuration sub-models per sub-
4		query.
1	34.	(Previously Presented) The computer storage medium of claim 31 wherein
2	the one or mo	ore configuration queries relate to a configuration validation problem and the
3	code for proc	essing one or more configuration queries further comprises:
4	proces	ssing an undivided query using different configuration sub-models until a
5		configuration validation answer can be determined.

the data colle	
	ectively included in the configuration sub-models provides a response for
each of the s	ub-queries being processed.
36	(Original) The computer storage medium of claim 31 wherein at least two
sub-queries i	nclude overlapping information.
37.	(Previously Presented) The computer storage medium of claim 31 the code
further comp	rises code for:
divid	ing the configuration sub-models in accordance with a predetermined data
	structure; and
divid	ing the sub-queries in accordance with the sub-model structure.
29	(Previously Presented) The computer storage medium of claim 37 wherein
-	nined data structure comprises a data structure divided along configuration
model part g	roups, wherein the part groups are a collection of related parts.
39.	(Previously Presented) The computer storage medium of claim 30 wherein
the code for	generating a response to the one or more configuration queries based upon
the processed	d one or more configuration queries and the configuration sub-models further
comprises co	de for:
gener	ating a response for each processed configuration sub-model; and
comb	ining each response for each processed configuration sub-model to generate
	the answer.
	(Previously Presented) The computer storage medium of claim 30
40.	
	code for dividing the consolidated configuration model into multiple
wherein the o	code for dividing the consolidated configuration model into multiple n sub-models further comprises code for:
wherein the open configuration	
	37. further comp dividi dividi 38. the predetern model part gr 39. the code for g the processed comprises co gener

6	computer system while still representing the relationships included in the
7	consolidated configuration model.
1	41. (Original) The computer storage medium of claim 30 wherein the data
2	further comprises processor executable code for:
3	dividing a consolidated configuration model into the configuration sub-models.
1	
1	42. (Previously Presented) The computer storage medium of claim 41 wherein
2	the code for dividing the consolidated configuration model into multiple configuration
3	sub-models further comprises code for:
4	dividing the configuration model so that complexity of each configuration sub-
5	model allows processing using available data processing capabilities of the
6	computer system while still representing the relationships included in the
7	consolidated configuration model.
1	$42 \qquad (Oniginal) The constant of an equivalence of the second state of the second sta$
1	43. (Original) The computer storage medium of claim 41 wherein each
2	configuration sub-model represents a portion of the consolidated configuration model.
1	44. (Currently Amended) A computer storage medium comprising data
2	embedded therein to cause a computer system to respond to one or more configuration
3	queries using configuration sub-models, wherein the data comprises code for:
4	dividing a consolidated configuration model into multiple configuration
5	sub-models;
6	responding to the one or more configuration queries representing
7	questions involving configuration of a configurable product,
8	wherein responding to the one or more configuration queries
9	comprises:
10	processing the one or more configuration queries using configuration sub-
11	models, wherein the configuration sub-models collectively model
12	the configurable product and each configuration sub-model
13	includes data to define compatibility relationships between parts
14	included in the configuration sub-model;

15	generating a response to the one or more configuration queries based upon
16	the processed one or more configuration queries and the
17	configuration sub-models and each configuration sub-model (i)
18	represents a portion of a configuration model of the configurable
19	product and (ii) allows answers from each configuration sub-model
20	to be combined to provide a consolidated answer to the one or
21	more configuration queries; and
22	presenting providing the response to the one or more configuration queries
23	as data for display by a display device.
1	45. (Currently Amended) A computer system to implement an inference
2	procedure for responding to one or more configuration queries using configuration sub-
3	models, the system comprising:
4	means for receiving one or more configuration queries representing a questions
5	involving parts and part relationships in a configuration of a configurable
6	product;
7	means for processing the one or more configuration queries using configuration
8	sub-models, wherein the configuration sub-models collectively model the
9	configurable product and each configuration sub-model includes data to
10	define compatibility relationships between parts included in the
11	configuration sub-model and each configuration sub-model (i) represents a
12	portion of a configuration model of the configurable product and (ii)
13	allows answers from each configuration sub-model to be combined to
14	provide a consolidated answer to the one or more configuration queries;
15	means for generating a response to the one or more configuration queries based
16	upon the processed one or more configuration queries and the
17	configuration sub-models; and
18	means for presenting providing the response to the one or more configuration
19	queries <u>as data</u> for display by a display device.

1	46.	(Original) The computer system of claim 45 further comprising:
2	means	for dividing a consolidated configuration model into the configuration sub-
3		models.
1	47	
1	47.	(Previously Presented) The method of claim 1 wherein the configurable
2	product is a ve	ehicle.
1	48.	(Previously Presented) The method of claim 1 further comprising:
2	display	ying the response on display device.
1	49.	(Previously Presented) The method of claim 1 wherein the configuration
2	sub-models ea	ch comprise data and rules to define compatibility relationships between
3	parts included	in a product.
1	50.	(Previously Presented) The method of claim 1 wherein the configuration
2	problem comp	prises a configuration problem involving parts of a product.

#### **REMARKS**

Claims 1-50 are pending.

Claims 1-50 stand rejected.

Claims 14, 15, 29, 30, 44, and 45 have been amended.

#### Claim Rejections – 35 U.S.C. § 101

Claims 1-46 and 48-50 stand rejected under 35 U.S.C. § 101. Applicants respectfully traverse the rejection.

In the January 17, 2008 Office Action, page 4, the Examiner states that:

The invention must be for a practical application and either: 1) specify transforming (physical thing) or 2) have the FINAL RESULT (not the steps) achieve or produce a useful (specific, substantial, AND credible), concrete (substantially repeatable/non-unpredictable), AND tangible (real world/non-abstract) result. A claim that is so broad that it reads on both statutory and non-statutory subject matter, must be amended. Claims that recite a model with associated sub-models and queries pertaining to both lack a practical application. There must be a result that is a practical application.

The Federal Circuit recently addressed the subject of subject matter patentability in *In re Bilski*, 545 *F.3d* 943 (Fed. Cir. 2008) (*en banc*). In *In re Bilski*, the court "conclude[ed] that the "useful, concrete and tangible result" inquiry is inadequate and reaffirm[ed] that the machine-or-transformation test outlined by the Supreme Court is the proper test to apply." *Id.* "The machine-or-transformation test is a two-branched inquiry; an applicant may show that a process claim satisfies §101 either by showing that his claim is tied to a particular machine, or by showing that his claim transforms an article." *Id.* 

Although the two-branched inquiry is stated in the alternative, Applicants respectfully submit that the methods of claims 1 and 14 and claims directly or indirectly dependent thereon meet both of the two-branched inquiries set forth in *In re Bilski*.

S/N: 10/957,919

The methods of claims 1 and 14 are specifically tied to a particular machine, namely "a computer system". Claims 1 and 14. More specifically, claims 1 and 14 are respectively a "method for using <u>a computer system</u>, wherein the computer system <u>includes</u> computer assisted configuration technology to respond to one or more configuration queries using configuration sub-models." *Id*.

Additionally, the method of claim 1 tranforms an article(s) into a different thing. Claim 1 recites "receiving one or more configuration queries" and "generating a response to the one or more configuration queries." Claim 1. The "response" represents an article because the "one or more configuration queries" relate to a physical object, namely "questions involving parts and part relationships in a configuration of a configurable product." *Id*. The "response" is transformed into "<u>data</u> for display by a display device". *Id*.

The method of claim 14 also tranforms an article(s) into a different thing. Claim 1 recites "responding to the one or more configuration queries" and "generating a response to the one or more configuration queries." Claim 14. The "response" represents an article because the "one or more configuration queries" relate to a physical object, namely "questions involving configuration of a configurable product." *Id.* The "response" is transformed into "data for display by a display device". *Id.* 

Although *In re Bilski* specifically relates to 35 U.S.C. § 101 and method claims, applying the criteria of *In re Bilski* claims 15, 29, and 45 are respectively "tied to a particular machine." *In re Bilski*. More specifically, claims 15, 29, and 45 are each "a computer system". Claims 15, 29, and 45.

Claims 30 and 45 recite a "computer storage medium" comprising data embedded therein to cause a computer system to respond to one or more configuration queries using configuration sub-models, wherein the data comprises processor executable code for: ...

The invention embodiment of claim 30 is also related to a physical device and includes processor executable code, namely a "computer storage medium comprising

-16 of 21-

S/N: 10/957,919

data ... wherein the data comprises processor executable code." After the decision in *In re Bilski*, the USPTO Board of Patent Appeals and Interferences (BPAI) addressed subject matter patentability of a computer usable medium in *ex parte Bo Li. Ex parte Bo Li*, Appeal 2008-1213 (USPTO BPAI 2008, November 6, 2008). The BPAI, citing *In re Bilski* and *In re Lowry*, 32 F.3d 1579 (Fed. Cir. 1994), held that a computer program product comprising a computer usable medium having a computer readable program code embodied therein and adapted to be executed to implement a method for generating a report recites patentable subject matter under 35 U.S.C. § 101. Likewise, Applicants respectfully submit that the computer storage medium of claims 30 and 44 claims directly or indirectly dependent thereon also recite patentable subject matter.

Applicants respectfully submit that claims 1-50 accordingly meet the requirements of 35 U.S.C. § 101 as construed by, for example, the Federal Circuit in *In re Bilski* and *In re Lowry*, 32 F.3d 1579 (Fed. Cir. 1994).

Accordingly, Applicants respectfully request withdrawal of the rejection.

#### Claim Rejections – 35 U.S.C. § 102

Claims 1-46 and 48-50 stand rejected under 35 U.S.C. § 102(b) as being anticipated by U.S. Patent No. 6,167,383 to Henson (hereinafter "*Henson*"). Applicants respectfully traverse the rejection.

Applicants hereby rescind all previous remarks in previously filed Office Action responses. Applicants present the following remarks for the allowability of claims 1-46 and 48-50 over *Henson*.

*Henson* relates to a "web-based online store [that] includes a configurator, a cart, a checkout, and a database, further in which a user interface of the online store enables a custom configuration of a computer system according to an identification of a user belonging to a prescribed customer set." *Henson*, Abstract. "The configurator is provided for configuring a computer system with options selected according to a prescribed user input." *Id*.

S/N: 10/957,919

Referring to Figures 3A and 3B of *Henson*, the configuration screen 70 includes a variety of configuration options for the customer. For example, the customer can select a particular memory, a particular display, a particular storage product, available printers, and so on.

The Examiner has identified the "different type of 'printers' which are available for a given computer" as an example of a configuration sub-model. Applicants respectfully submit that the different types of printers and other components are only available selections and are not a "configuration sub-model [that] includes data to define compatibility relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45.

Applicants also respectfully submit that *Henson* teaches that after selection of different components, such as a printer, the selections themselves are used to form a configuration-type query. However, Applicants respectfully submit that *Henson* fails to teach or suggest processing such configuration-type query "using configuration submodels, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45.

More specifically, once the customer using the configuration screen makes a series of selections, such as selection of a printer and of other components, it is desirable to determine if the selections represent a valid configurable build. Determining whether a set of selections represents a valid configurable build can be an example of a configuration query. In fact, *Henson* contemplates this very scenario. *Henson* teaches that "The on-line store further includes validation of a configuration built by a customer." *Henson*, col. 7, lines 57-58. The validation logic of *Henson* responds to a configuration-type query. More specifically, *Henson* teaches that:

Validation (or compatibility) provides the customer with a validation message indicating an occurrence of when the options selected for a particular system are not correct. If the options selected for a particular system will adversely affect the shipment of the configured

S/N: 10/957,919

system, then a warning message is issued to enable the user to modify options accordingly. In other words, the validation enhancement lets the customer know when one or more options are not compatible for one reason or another. The validation enhancement includes built-in logic which checks the particular configuration built by the customer and indicates whether or not the selected options can be built together for the particular configuration. If two or more options are incompatible, then in one embodiment, the validation enhancement returns a message indicating that the options are incompatible, as further discussed herein. *Id.*, col. 7, line 58 through col. 8, line 6.

Thus, Applicants respectfully submit that the option selections by the customer in Henson are submitted to validation logic as a type of configuration query. Once the printer, memory, and so on are selected by the customer in *Henson* and a configurationtype query is formed, Applicants respectfully submit that Henson fails to teach or suggest "processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model and each configuration sub-model (i) represents a portion of a configuration model of the configurable product and (ii) allows answers from each configuration sub-model to be combined to provide a consolidated answer to the one or more configuration queries" as required by claims 1, 14, 15, 29, 30, 44, and 45. *Henson* teaches some "built-in logic" to process a configuration-type query; however, Henson fails to teach or suggest any type of configuration sub-model or "processing the one or more configuration queries using configuration sub-models ... wherein ... each configuration sub-model (i) represents a portion of a configuration model of the configurable product and (ii) allows answers from each configuration submodel to be combined to provide a consolidated answer to the one or more configuration <u>queries</u>" as required by claims 1, 14, 15, 29, 30, 44, and 45.

Accordingly, for at least the foregoing reasons, Applicants respectfully request withdrawal of the rejection of claims 1, 14, 15, 29, 30, 44, and 45 and of claims directly or indirectly dependent upon claims 1, 14, 15, 29, 30, 44, and 45.

### Claim Rejections - 35 U.S.C. § 103

Claim 47 stands rejected under 35 U.S.C. § 103(a) as being unpatentable over Henson in view of Ford Motor Company http://web.archive.org/web/20030324212039/http://fordvehicles.com/.

Claim 47 depends on claim 1. For at least the foregoing reasons given with regard to claim 1, Applicants respectfully request withdrawal of the rejection of claim 47.

#### **CONCLUSION**

In view of the amendments and remarks set forth herein, the application is believed to be in condition for allowance and a notice to that effect is solicited. Nonetheless, should any issues remain that might be subject to resolution through a telephonic interview, the examiner is requested to telephone the undersigned 512-338-9100.

#### CERTIFICATE OF TRANSMISSION

I hereby certify that on June 26, 2009 this correspondence is being transmitted via the U.S. Patent & Trademark Office's electronic filing system.

/Kent B. Chambers/

Respectfully submitted,

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839

Electronic Acknowledgement Receipt						
EFS ID:	5596396					
Application Number:	10957919					
International Application Number:						
Confirmation Number:	9162					
Title of Invention:	Complex configuration processing using configuration sub-models					
First Named Inventor/Applicant Name:	Nathan E. Little					
Customer Number:	33438					
Filer:	Kent Bryan Chambers/Marniki Hornsby					
Filer Authorized By:	Kent Bryan Chambers					
Attorney Docket Number:	T00121					
Receipt Date:	26-JUN-2009					
Filing Date:	04-OCT-2004					
Time Stamp:	15:14:25					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted with F	Payment		no					
File Listing:								
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Supplemental Response or	то	00121_ResponsetoNoticeofN	136229	no	21		
I	Supplemental Amendment	0	onCompliant_06_26_09.pdf	ef51a9ea901a0785fffeac1043f6adaa82cefc b0	10			
Warnings:		·						
age 345 of	507				FOR	D 1004		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond <b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875							d to a collection of information unle Application or Docket Number 10/957,919			plays a valid ing Date )4/2004	OMB control number.
APPLICATION AS FILED – PART I (Column 1) (Column 2)							SMALL ENTITY		OTHER THAN OR SMALL ENTITY		
FOR NUMBER FILED NUMBER EXTRA						RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)	
	BASIC FEE (37 CFR 1.16(a), (b), c	or (c))	N/A		N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =		OR	X \$ =	
	EPENDENT CLAIM CFR 1.16(h))	S	mi	nus 3 = *			X \$ =			X \$ =	
APPLICATION SIZE FEE (37 CFR 1.16(s)) If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).											
× 16 t	MULTIPLE DEPEN		ι.				TOTAL			TOTAL	
			,				TOTAL			TOTAL	
	APPI	(Column 1)	AMENL	ED – PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	06/26/2009	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
OME	Total (37 CFR 1.16(i))	* 50	Minus	** 50	= 0		X \$ =		OR	X \$52=	0
U L L	Independent (37 CFR 1.16(h))	* 7	Minus	***7	= 0		X \$ =		OR	X \$220=	0
AMI	Application Si	ze Fee (37 CFR 1	.16(s))								
	FIRST PRESEN	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							OR		
						•	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	0
		(Column 1)		(Column 2)	(Column 3)		_			_	
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
N III	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
1EN	Application Size Fee (37 CFR 1.16(s))										
AN	FIRST PRESEN	ITATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR		
TOTAL TOTAL ADD'L OR ADD'L FEE FEE											
** lf	<ul> <li>* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.</li> <li>** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".</li> <li>*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".</li> </ul>										
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.											
This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to											

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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APPLICATION NO.	ION NO. FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.			
10/957,919 10/04/2004 Nathan E. Lit		Nathan E. Little	T00121	9162			
	7590 10/15/200 t TERRILE, LLP	9	EXAM	EXAMINER			
P.O. BOX 2035 AUSTIN, TX 7	518		COUGHLAN	N, PETER D			
AU\$1111, 1A /	0720		ART UNIT	PAPER NUMBER			
			2129				
			NOTIFICATION DATE	DELIVERY MODE			
			10/15/2009	ELECTRONIC			

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

tmunoz@hamiltonterrile.com

	Application No.	Applicant(s)						
	10/957,919	LITTLE ET AL.						
Office Action Summary	Examiner	Art Unit						
	PETER COUGHLAN	2129						
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply								
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>								
Status								
1) Responsive to communication(s) filed on <u>26</u> .	lune 2009.							
	is action is non-final.							
3) Since this application is in condition for allowa	ance except for formal matters, pr	osecution as to the merits is						
closed in accordance with the practice under	<i>Ex parte Quayle</i> , 1935 C.D. 11, 4	53 O.G. 213.						
Disposition of Claims								
4)⊠ Claim(s) <u>1-50</u> is/are pending in the application	n							
4a) Of the above claim(s) is/are withdra								
5) Claim(s) is/are allowed.								
6)⊠ Claim(s) <u>1-50</u> is/are rejected.								
7) Claim(s) is/are objected to.								
8) Claim(s) are subject to restriction and/	or election requirement.							
Application Papers								
9) The specification is objected to by the Examin	er.							
10)⊠ The drawing(s) filed on <u>10/4/2004</u> is/are: a)⊠		the Examiner.						
Applicant may not request that any objection to the	e drawing(s) be held in abeyance. Se	ee 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correct	ction is required if the drawing(s) is o	bjected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the E	xaminer. Note the attached Offic	e Action or form PTO-152.						
Priority under 35 U.S.C. § 119								
12) Acknowledgment is made of a claim for foreig	n priority under 35 LLS C & 119/2	$a_{i}(d)$ or (f)						
a) All b) Some * c) None of:								
1. Certified copies of the priority documer	nts have been received.							
2. Certified copies of the priority documer		tion No						
3. Copies of the certified copies of the prio								
application from the International Burea	application from the International Bureau (PCT Rule 17.2(a)).							
* See the attached detailed Office action for a lis	t of the certified copies not receiv	ed.						
Attachment(s)								
1) X Notice of References Cited (PTO-892)	4) 🔲 Interview Summar							
2) D Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail [ 5)							
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	5) 🛄 Notice of Informal 6) 🛄 Other:							
U.S. Patent and Trademark Office								
PTOL-326 (Rey_08-06) Office A Page 349 of 507	Action Summary F	art of Paper No./Mail.Date 10082009 FORD 1004						

# **Detailed Action**

1. This office action is in response to an AMENDMENT entered June 26, 2009 for the patent application 10/957919 filed on October 4, 2004.

2. All previous Office Actions are fully incorporated into this Non-Final Office Action by reference.

3. Examiner's Comment: The term 'memory' as recited within the specification is viewed only as hardware as disclosed in the specification in ¶54

# Status of Claims

4. Claims 1-50 are pending.

# Claim Rejections - 35 USC § 112

5. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Page 2

Claims 5, 19 and 34 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. These claims use the term 'undivided query.' This term is not mentioned within the specification and is not a term of art.

These claims need to be amended or withdrawn from consideration. Please explain how the applicant defines this phrase.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action: A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-50 are rejected under 35 U.S.C. 102(b) (hereinafter referred to as

Gupta) being anticipated by Gupta et al., U.S. 5825651)

Claim 1

Gupta anticipates receiving one or more configuration queries representing one

or more questions involving parts and part relationships in a configuration of a

configurable product (Gupta, C2:50-60; 'Configuration queries' of applicant maps to a user being able to select and unselect parts of Gupta. Involving parts and parts relations' of applicant maps to the ability of the system to validate user input with the current state of the configuration of Gupta. C1:12-25; An example of a 'configurable product' of applicant is an 'automobile' of Gupta.) processing the one or more configuration gueries using configuration sub-models, wherein the configuration submodels collectively model the configurable product and each configuration sub-models includes data to define compatibility relationships between parts included in the configuration sub-model and each configuration sub model (i) represents a portion of a configuration model of the configuration product and (ii) allows answers from each configuration sub model to be combined to provide a consolidated answer to one or more configurations queries (Gupta, C4:31-38; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. C1:12-25; An example of a 'configurable product' of applicant is an 'automobile' of Gupta. An 'automobile' can be viewed as a 'product.' C8:5 through C9:9, figure 6; 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta. The 'configuration queries' which are used to configure 'sub models' of applicant maps to the arrows from item 602 to items 604, 606, 608, 610 and 614 of Gupta.) generating a response to the one or more configuration queries based upon the processed one or more configuration

applicant maps to the result of a configuration session' of Gupta. 'Configuration queries' of applicant maps to 'A user interface uses various operations such as drag and drop

queries and the configuration sub-models (Gupta, C5:22-43; 'Generating a response' of

and item selection to define a product, for example. Elements that comprise a definition (e.g., of a product) can be added or removed in any order' of Gupta.); and providing the response to the one or more configuration queries as data for display by a display device. (**Gupta**, fig 1, item 117, C4:58 through C5:6; 'Display device' of applicant maps to 'CRT' of Gupta. 'Providing the response to the one or more configuration queries' of applicant maps to the function of a CPU of Gupta.)

#### Claim 2

Gupta anticipates dividing at least one of the configuration queries into multiple configuration sub-queries, wherein the one or more configuration queries include the multiple configuration sub-queries. (**Gupta**, fig 6; 'Multiple configuration sub-queries' of applicant maps to items '622, 624 and 626' of Gupta.)

#### Claim 3

Gupta anticipates processing each sub-query using at least one configuration sub-model per sub-query. (**Gupta**, fig 6, C8:12-27; Processing a sub-query using a submodel of applicant maps to 'A user can drag elements from pane 602 to panes 604-608 to define a product' of Gupta.)

#### Claim 4

Gupta anticipates processing each sub-query using multiple configuration submodels per sub-query. (**Gupta**, fig 6, C8:12-27; 'Processing each sub-query using Application/Control Number: 10/957,919 Art Unit: 2129 multiple configuration sub-models' of applicant maps to 'Part B is dragged from pane 602 to pane 604' of Gupta.)

#### Claim 5

Gupta anticipates wherein the one or more configuration gueries relate to a configuration validation problem and processing one or more configuration queries comprises: processing an undivided guery using different configuration sub-models until a configuration validation answer can be determined. (Gupta, abstract; 'Until a configuration validation answer can be determined' of applicant maps to the result of 'only valid selections can be made at any time' of Gupta.)

#### Claim 6

Gupta anticipates wherein the data collectively included in the configuration submodels provides a response for each of the sub-queries being processed. (Gupta, C2:50-60; 'The data collectively included in the configuration sub-models provides a response for each of the sub-queries being processed' of applicant maps to 'When user input is received, the configuration system validates the input based on the current state of the configuration' of Gupta.)

#### Claim 7

Gupta anticipates wherein at least two sub-queries include overlapping information. (Gupta, figure 6, C6:7-20; Sub-queries of applicant maps to 622,624, and

626 of Gupta. Sub-queries relate to different parts. Thus 'overlapping information' of applicant maps to For example, when a group of parts is assigned a behavior, all members inherit that behavior automatically' of Gupta.)

#### Claim 8

Gupta anticipates dividing a consolidated model into the multiple configuration sub-model in accordance with a predetermined data structure. (**Gupta**, C4:31-38; 'Consolidation model' of applicant maps to 'maintaining and configuring systems' of Gupta. 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta.)

#### Claim 9

Gupta anticipates wherein the predetermined data structure comprises a data structure divided along configuration model part groups, wherein the part groups are a collection of related parts. (**Gupta**, C4:31-38, figure 6; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. 'Part groups' of applicant maps to the examples of 'Group A', 'Group F', 'Group I' and 'group L' of Gupta.)

#### Claim 10

Gupta anticipates generating response for each processed configuration submodel (**Gupta**, C6:21-30; A response for each processed configuration sub-model of applicant maps to the 'Parts to part relationship can be created between parts within a

product' of Gupta.); and combining each response for each processed configuration sub-model to generate the answer. (**Gupta**, C6:21-30; 'To generate an answer' of applicant maps to 'there are four kinds of relationships between parts: requires choice includes, can't work with (or exclude), and removes' of Gupta.)

#### Claim 11

Gupta anticipates dividing a consolidated configuration model into the configuration sub-models. (**Gupta**, C4:31-38, C8:5 through C9:9, figure 6; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. Sub-models of applicant maps to the examples of 'included, requires choice and optional' of Gupta.)

#### Claim 12

Gupta anticipates dividing the configuration model so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer assisted configuration technology while still representing the relationships including in the consolidation configuration model. (**Gupta**, C4:31-38, C8:12-27; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. 'Dividing the configuration model' of applicant maps to the example of the panes 604, 606 and 608 of Gupta.)

Claim 13

Gupta anticipates wherein each configuration sub-model represents a portion of the consolidated model. (**Gupta**, C8:5 through C9:9, figure 6; 'Sub-model' of applicant maps to the panes of 'included, requires choice and optional' of Gupta. The consolidation of these sub-models into a model of applicant maps to 'A user can drag elements from pane 602 to panes 604-608 to define a product' of Gupta.)

#### Claim 14

Gupta anticipates dividing a consolidated configuration into multiple configuration sub-models (Gupta, C4:31-38; 'Consolidation model' of applicant maps to 'maintaining and configuring systems' of Gupta. 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta. ); and responding to the one or more configuration queries representing questions involving configuration of a configurable product, wherein responding to the one or more configuration queries comprises (Gupta, C2:50-60; 'Configuration gueries' of applicant maps to a user being able to select and unselect parts of Gupta. C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta.); processing the one or more configuration queries using sub-models, where the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model and each configuration sub model (i) represents a portion of a configuration model of the configuration product and (ii) allows answers from each configuration sub model to be combined to provide a consolidated answer to one or more configurations queries

(Gupta, C4:31-38; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta. An 'automobile' can be viewed as a 'product.' C8:5 through C9:9, figure 6; 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta. The 'configuration gueries' which are used to configure 'sub models' of applicant maps to the arrows from item 602 to items 604, 606, 608, 610 and 614 of Gupta.); generating a response to the one or more configuration gueries based upon the processed one or more configuration gueries and the configuration sub-models (Gupta, C5:22-43; 'Generating a response' of applicant maps to the result of a configuration session' of Gupta. 'Configuration gueries' of applicant maps to 'A user interface uses various operations such as drag and drop and item selection to define a product, for example. Elements that comprise a definition (e.g., of a product) can be added or removed in any order' of Gupta.); and providing the response to the one or more configuration gueries as data for display by a display device. (Gupta, fig 1, item 117, C4:58 through C5:6; 'Display device' of applicant maps to 'CRT' of Gupta. 'Providing the response to the one or more configuration queries' of applicant maps to the function of a CPU of Gupta.)

#### Claim 15

Gupta anticipates a processor (**Gupta**, fig 1, item 113; 'Processor' of applicant maps to 'CPU' of Gupta.) a storage medium having data encoded therein, the data comprising processor executable code for (**Gupta**, fig 1, item 112; 'Storage medium' of

Art Unit: 2129 applicant maps to 'mass storage' of Gupta.): receiving one or more configuration queries representing a questions involving parts and part relationships in a configura

gueries representing a guestions involving parts and part relationships in a configuration of a configurable product (Gupta, C2:50-60; 'Configuration gueries' of applicant maps to a user being able to select and unselect parts of Gupta. Involving parts and parts relations' of applicant maps to the ability of the system to validate user input with the current state of the configuration of Gupta. C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta. An 'automobile' can be viewed as a 'product.'); processing the one or more configuration gueries using configuration submodels, wherein the configurable sub-models collectively model the configurable product and each configuration sub-models includes data to define compatibility relationships between parts including in the configuration sub-model and each configuration sub model (i) represents a portion of a configuration model of the configuration product and (ii) allows answers from each configuration sub model to be combined to provide a consolidated answer to one or more configurations queries (Gupta, C4:31-38; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta. C8:5 through C9:9, figure 6; 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta. The 'configuration gueries' which are used to configure 'sub models' of applicant maps to the arrows from item 602 to items 604, 606, 608, 610 and 614 of Gupta.); generating a response to the one or more configuration queries based upon the processed one or more configuration gueries and the configuration sub models (Gupta, C5:22-43;

'Generating a response' of applicant maps to the result of a configuration session' of Gupta. 'Configuration queries' of applicant maps to 'A user interface uses various operations such as drag and drop and item selection to define a product, for example. Elements that comprise a definition (e.g., of a product) can be added or removed in any order' of Gupta.); and providing the response to the one or more configuration queries as data for display by a display device. (**Gupta,** fig 1, item 117, C4:58 through C5:6; 'Display device' of applicant maps to 'CRT' of Gupta. 'Providing the response to the one or more configuration queries' of applicant maps to the function of a CPU of Gupta.)

#### Claim 16

Gupta anticipates dividing at least one of the configuration queries into multiple configuration sub-queries, wherein the one or more configuration queries include the multiple configuration sub-queries. (**Gupta**, fig 6; 'Multiple configuration sub-queries' of applicant maps to items '622, 624 and 626' of Gupta.)

#### Claim 17

Gupta anticipates wherein the one or more configuration queries relate to a configuration completion problem and the code for processing one or more configuration queries further comprises: processing each sub-query using at least one configuration sub-model per sub-query. (**Gupta**, fig 6, C8:5-27; Processing a sub-query using a sub-model of applicant maps to 'A user can drag elements from pane 602 to panes 604-608 to define a product' of Gupta. 'Sub-queries' of applicant maps to one of

the items '622, 624 and 626' of Gupta. 'Code for processing one or more configuration queries' of applicant maps to the GUI screen of Gupta.)

#### Claim 18

Gupta anticipates processing each sub-query using multiple configuration submodels per sub-query. (**Gupta**, fig 6, C8:12-27; 'Processing each sub-query using multiple configuration sub-models' of applicant maps to 'Part B is dragged from pane 602 to pane 604' of Gupta.)

#### Claim 19

Gupta anticipates processing an undivided query using different configuration sub-models until a configuration validation answer can be determined. (**Gupta**, abstract; 'Until a configuration validation answer can be determined' of applicant maps to the result of 'only valid selections can be made at any time' of Gupta.)

#### Claim 20

Gupta anticipates wherein the data collectively included in the configuration submodels provides a response for each of the sub-queries being processed. (**Gupta**, C2:50-60; 'The data collectively included in the configuration sub-models provides a response for each of the sub-queries being processed' of applicant maps to 'When user input is received, the configuration system validates the input based on the current state of the configuration' of Gupta.)

#### Claim 21

Gupta anticipates wherein at least two sub-queries include overlapping information. (**Gupta**, figure 6, C6:7-20; Sub-queries of applicant maps to 622,624, and 626 of Gupta. Sub-queries relate to different parts. Thus 'overlapping information' of applicant maps to For example, when a group of parts is assigned a behavior, all members inherit that behavior automatically' of Gupta.)

#### Claim 22.

Gupta anticipates dividing the configuration sub-models in accordance with a predetermined data structure; and dividing the sub-queries in accordance with sub-model structure. (**Gupta**, C4:31-38; 'Consolidation model' of applicant maps to 'maintaining and configuring systems' of Gupta. 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta. The division of the configuration sub-models of applicant maps to the existence of 'requires choice' and 'optional' of Gupta. Dividing the sub-queries relates to queries referring to either 'optional' or 'requires choice' of Gupta.)

#### Claim 23

Gupta anticipates wherein the predetermined data structure comprises a data structure divided along configuration model part groups, wherein the part groups are a collection of related parts. (**Gupta**, C4:31-38, figure 6; 'Configuration model' of applicant

maps to 'maintaining and configuring systems' of Gupta. 'Part groups' of applicant maps to the examples of 'Group A', 'Group F', 'Group I' and 'group L' of Gupta.)

#### Claim 24

Gupta anticipates wherein the code for generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models further comprises code for: generating a response for each processed configuration sub-model (**Gupta**, C6:21-30; A response for each processed configuration sub-model of applicant maps to the 'Parts to part relationship can be created between parts within a product' of Gupta.); and combining each response for each processed configuration sub-model to generate the answer (**Gupta**, C6:21-30; 'To generate an answer' of applicant maps to 'there are four kinds of relationships between parts: requires choice includes, can't work with (or exclude), and removes' of Gupta.)

#### Claim 25

Gupta anticipates dividing the configuration model so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer system while still representing the relationships including in the consolidated configuration model. (**Gupta**, C4:31-38, C8:12-27; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. 'Dividing the

configuration model' of applicant maps to the example of the panes 604, 606 and 608 of Gupta.)

#### Claim 26

Gupta anticipates dividing a consolidated configuration model into the configuration sub-models. (**Gupta**, C4:31-38, C8:5 through C9:9, figure 6; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. Sub-models of applicant maps to the examples of 'included, requires choice and optional' of Gupta.)

#### Claim 27

Gupta anticipates dividing the configuration model so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer system while still representing the relationships included in the consolidated configuration model. (**Gupta**, C4:31-38, C8:12-27; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. 'Dividing the configuration model' of applicant maps to the example of the panes 604, 606 and 608 of Gupta.)

#### Claim 28

Gupta anticipates wherein each configuration sub-model represents a portion of the consolidated configuration model. (**Gupta**, C8:5 through C9:9, figure 6; 'Sub-model'

of applicant maps to the panes of 'included, requires choice and optional' of Gupta. The consolidation of these sub-models into a model of applicant maps to 'A user can drag elements from pane 602 to panes 604-608 to define a product' of Gupta.)

#### Claim 29

Gupta anticipates a processor (Gupta, fig 1, item 113; 'Processor' of applicant maps to 'CPU' of Gupta.) a storage medium having data encoded therein, the data comprising processor executable code for (Gupta, fig 1, item 112; 'Storage medium' of applicant maps to 'mass storage' of Gupta.): dividing a consolidated configuration model into multiple configuration sub-models (Gupta, C4:31-38; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. C8:5 through C9:9, figure 6; 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta.); responding to the one or more configuration queries representing questions involving configuration of a configurable product, wherein responding to the one or more configuration queries comprises (Gupta, C2:50-60; 'Configuration gueries' of applicant maps to a user being able to select and unselect parts of Gupta.): processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-model collectively model the configurable product and each configuration sub-models includes data to define compatibility relationships between parts included in the configuration sub-model and each configuration sub model (i) represents a portion of a configuration model of the configuration product and (ii) allows answers from each configuration sub model to be

combined to provide a consolidated answer to one or more configurations gueries (Gupta, C4:31-38; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta. An 'automobile' can be viewed as a 'product.' C8:5 through C9:9, figure 6; 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta. The 'configuration queries' which are used to configure 'sub models' of applicant maps to the arrows from item 602 to items 604, 606, 608, 610 and 614 of Gupta.); generating a response to the one or more configuration gueries based upon the processed one or more configuration gueries and the configuration sub-models (Gupta, C5:22-43; 'Generating a response' of applicant maps to the result of a configuration session' of Gupta. 'Configuration queries' of applicant maps to 'A user interface uses various operations such as drag and drop and item selection to define a product, for example. Elements that comprise a definition (e.g., of a product) can be added or removed in any order' of Gupta.); and providing the response to the one or more configuration queries as data for display by a display device. (Gupta, fig 1, item 117, C4:58 through C5:6; 'Display device' of applicant maps to 'CRT' of Gupta. 'Providing the response to the one or more configuration queries' of applicant maps to the function of a CPU of Gupta.)

#### Claim 30

Gupta anticipates receiving one or more configuration queries representing a questions involving parts and part relationships in a configuration of a configurable

product (Gupta, C2:50-60; 'Configuration gueries' of applicant maps to a user being able to select and unselect parts of Gupta. 'Involving parts and parts relations' of applicant maps to the ability of the system to validate user input with the current state of the configuration of Gupta. C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta. An 'automobile' can be viewed as a 'product.'); processing the one or more configuration queries using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-models includes data to define compatibility relationships between parts included in the configuration sub-model and each configuration submodel (i) represents a portion of a configuration model of the configurable product and (ii) allows answers from each configuration sub-model to be combined to provide a consolidated answer to the one or more configuration gueries (Gupta, C4:31-38; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta. C8:5 through C9:9, figure 6; 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta. The 'configuration gueries' which are used to configure 'sub models' of applicant maps to the arrows from item 602 to items 604, 606, 608, 610 and 614 of Gupta.); generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models (Gupta, C5:22-43; 'Generating a response' of applicant maps to the result of a configuration session' of Gupta. 'Configuration queries' of applicant maps to 'A user interface uses various operations such as drag and drop and

**FORD 1004** 

item selection to define a product, for example. Elements that comprise a definition (e.g., of a product) can be added or removed in any order' of Gupta.); and providing the response to the one or more configuration queries as data for display by a display device. (**Gupta**, fig 1, item 117, C4:58 through C5:6; 'Display device' of applicant maps to 'CRT' of Gupta. 'Providing the response to the one or more configuration queries' of applicant maps to the function of a CPU of Gupta.)

#### Claim 31.

Gupta anticipates dividing at least one configuration queries into multiple configuration sub-queries, wherein the one or more configuration queries including the multiple configuration sub-queries. (**Gupta**, fig 6; 'Multiple configuration sub-queries' of applicant maps to items '622, 624 and 626' of Gupta.)

#### Claim 32

Gupta anticipates wherein the one or more configuration queries relate to a configuration completion problem and the code for processing one or more configuration queries further comprises: processing each sub-query using at least one configuration sub-model per sub-query. (**Gupta**, fig 6, C8:5-27; Processing a sub-query using a sub-model of applicant maps to 'A user can drag elements from pane 602 to panes 604-608 to define a product' of Gupta. 'Code for processing one or more configuration queries' of applicant maps to the GUI screen of Gupta.)

### Claim 33

Gupta anticipates processing each sub-query using multiple configuration submodels per sub-query. (**Gupta**, fig 6, C8:12-27; 'Processing each sub-query using multiple configuration sub-models' of applicant maps to 'Part B is dragged from pane 602 to pane 604' of Gupta.)

#### Claim 34

Gupta anticipates processing an undivided query using different configuration sub-models until a configuration validation answer can be determined. (**Gupta**, abstract; 'Until a configuration validation answer can be determined' of applicant maps to the result of 'only valid selections can be made at any time' of Gupta.)

#### Claim 35

Gupta anticipates wherein the data collectively included in the configuration submodels provides a response for each of the sub-queries being processed. (**Gupta**, C2:50-60; 'The data collectively included in the configuration sub-models provides a response for each of the sub-queries being processed' of applicant maps to 'When user input is received, the configuration system validates the input based on the current state of the configuration' of Gupta.)

Claim 36

Page 21

Gupta anticipates wherein at least two sub-queries include overlapping information. (**Gupta**, figure 6, C6:7-20; Sub-queries of applicant maps to 622,624, and 626 of Gupta. Sub-queries relate to different parts. Thus 'overlapping information' of applicant maps to For example, when a group of parts is assigned a behavior, all members inherit that behavior automatically' of Gupta.)

#### Claim 37

Gupta anticipates dividing the configuration sub-models in accordance with a predetermined data structure; and dividing the sub-queries in accordance with the sub-model structure. (**Gupta**, C4:31-38; 'Consolidation model' of applicant maps to 'maintaining and configuring systems' of Gupta. 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta. The division of the configuration sub-models of applicant maps to the existence of 'requires choice' and 'optional' of Gupta. Dividing the sub-queries relates to queries referring to either 'optional' or 'requires choice' of Gupta.)

#### Claim 38

Gupta anticipates wherein the predetermined data structure comprises a data structure divided along configuration model part groups, wherein the part groups are a collection of related parts. (**Gupta**, C4:31-38, figure 6; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. 'Part groups' of applicant maps to the examples of 'Group A', 'Group F', 'Group I' and 'group L' of Gupta.)

### Page 370 of 507

#### Claim 39

Gupta anticipates generating a response for each processed configuration submodel (**Gupta**, C6:21-30; A response for each processed configuration sub-model of applicant maps to the 'Parts to part relationship can be created between parts within a product' of Gupta.); and combining each response for each processed configuration sub-model to generate the answer. (**Gupta**, C6:21-30; 'To generate an answer' of applicant maps to 'there are four kinds of relationships between parts: requires choice includes, can't work with (or exclude), and removes' of Gupta.)

#### Claim 40

Gupta anticipates dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing using available data processing capabilities of the computer system while still representing the relationship included in the consolidated model. (**Gupta**, C4:31-38, C8:12-27; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. 'Dividing the configuration model' of applicant maps to the example of the panes 604, 606 and 608 of Gupta.)

#### Claim 41

Gupta anticipates dividing a consolidated configuration model into the configuration sub-models. (**Gupta**, C4:31-38, C8:5 through C9:9, figure 6; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. Sub-

models of applicant maps to the examples of 'included, requires choice and optional' of Gupta.)

#### Claim 42

Gupta anticipates dividing the configuration model sufficiently so that complexity of each configuration sub-model allows processing available data processing capabilities of the computer system while still representing the relationships including in the consolidated configuration model. (**Gupta**, C4:31-38, C8:12-27; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. 'Dividing the configuration model' of applicant maps to the example of the panes 604, 606 and 608 of Gupta.)

### Claim 43

Gupta anticipates wherein each configuration sub-model represents a portion of the consolidated configuration model. (**Gupta**, C8:5 through C9:9, figure 6; 'Sub-model' of applicant maps to the panes of 'included, requires choice and optional' of Gupta. The consolidation of these sub-models into a model of applicant maps to 'A user can drag elements from pane 602 to panes 604-608 to define a product' of Gupta.)

#### Claim 44

Gupta anticipates dividing a consolidated configuration model into multiple configuration sub-models (**Gupta**, C4:31-38; 'Configuration model' of applicant maps to

'maintaining and configuring systems' of Gupta. C8:5 through C9:9, figure 6; 'Submodels' of applicant map to the examples of 'included, requires choice and optional' of Gupta.); responding to the one or more configuration queries representing questions involving configuration of a configurable product, wherein responding to the one or more configuration queries comprises (**Gupta**, C2:50-60; 'Configuration queries' of applicant maps to a user being able to select and unselect parts of Gupta.): processing the one or more configuration queries using the configuration sub-models and the configuration sub-models include data to define compatibility relationships between parts included in the configurable product (**Gupta**, abstract; 'Define compatibility relationships' of

applicant maps to 'availability and compatibility of features and options' of Gupta.); generating a response to the one or more configuration queries based upon the processed one or more configuration queries and the configuration sub-models and each configuration sub model (i) represents a portion of a configuration model of the configuration product and (ii) allows answers from each configuration sub model to be combined to provide a consolidated answer to one or more configurations queries (**Gupta**, C4:31-38; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. C8:5 through C9:9, figure 6; 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta. The 'configuration queries' which are used to configure 'sub models' of applicant maps to the arrows from item 602 to items 604, 606, 608, 610 and 614 of Gupta.) ; and providing the response to the one or more configuration queries as data for display by a display device. (**Gupta**, fig 1, item 117, C4:58 through C5:6; 'Display device' of applicant maps

to 'CRT' of Gupta. 'Providing the response to the one or more configuration queries' of applicant maps to the function of a CPU of Gupta.)

#### Claim 45

Gupta anticipates means for receiving one or more configuration gueries related to configuration of a configurable product (Gupta, C2:50-60; 'Configuration queries' of applicant maps to a user being able to select and unselect parts of Gupta. C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta. An 'automobile' can be viewed as a 'product.'); means for processing the one or more configuration gueries using configuration sub-models, wherein the configuration submodels collectively model the configurable product and the configuration sub-models includes data to define compatibility relationships between parts included in the configuration sub-model and each configuration sub model (i) represents a portion of a configuration model of the configuration product and (ii) allows answers from each configuration sub model to be combined to provide a consolidated answer to one or more configurations queries (Gupta, C4:31-38; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta. C8:5 through C9:9, figure 6; 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta. The 'configuration gueries' which are used to configure 'sub models' of applicant maps to the arrows from item 602 to items 604, 606, 608, 610 and 614 of Gupta.); means for generating a response to the one or more configuration queries

based upon the processed one or more configuration queries and the configuration submodels (**Gupta**, C5:22-43; 'Generating a response' of applicant maps to the result of a configuration session' of Gupta. 'Configuration queries' of applicant maps to 'A user interface uses various operations such as drag and drop and item selection to define a product, for example. Elements that comprise a definition (e.g., of a product) can be added or removed in any order' of Gupta.); and means for providing the response to the one or more configuration queries as data for display by a display device. (**Gupta**, fig 1, item 117, C4:58 through C5:6; 'Display device' of applicant maps to 'CRT' of Gupta. 'Providing the response to the one or more configuration queries' of applicant maps to the function of a CPU of Gupta.)

#### Claim 46

Gupta anticipates means for dividing a consolidated configuration model into the configuration sub-models. (**Gupta**, C4:31-38; 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. C8:5 through C9:9, figure 6; 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta.)

#### Claim 47

Gupta anticipates wherein the configurable product is a vehicle. (**Gupta**, C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta.)

#### Claim 48

Gupta anticipates displaying the response on the display device. (**Gupta**, fig 1, item 117; 'Display device' of applicant maps to 'CRT' of Gupta.)

#### Claim 49

Gupta anticipates wherein the configuration sub-models each comprise data and rules to define compatibility relationships between parts included in a product. (**Gupta**, abstract, 1:63 through C2:3; 'Define compatibility relationships' of applicant maps to 'availability and compatibility of features and options' of Gupta. 'Rules' which define of applicant maps to 'only valid selections' of Gupta.)

#### Claim 50

Gupta anticipates wherein the configuration problem comprises a configuration problem involving parts of a product. (**Gupta**, C2:4-11; 'Parts of a product' of applicant maps to 'Parts used to define a product are selected from a parts catalog' of Gupta.)

#### **Response to Arguments**

6. Applicant's arguments filed on June 26, 2009for claims 1-50 have been fully considered but are not persuasive.

7. In reference to the Applicant's argument:

Page 28

<u>REMARKS</u> Claims 1-50 are pending.

Claims 1-50 stand rejected.

Claims 14, 15, 29, 30, 44, and 45 have been amended.

Claim Rejections - 35 U.S.C. § 101

Claims 1-46 and 48-50 stand rejected under 35 U.S.C. § 101. Applicants respectfully traverse the rejection.

In the January 17, 2008 Office Action, page 4, the Examiner states that:

The invention must be for a practical application and either: 1) specify transforming (physical thing) or 2) have the FINAL RESULT (not the steps) achieve or produce a useful (specific, substantial, AND credible), concrete (substantially repeatable/non-unpredictable), AND tangible (real world/non-abstract) result. A claim that is so broad that it reads on both statutory and non-statutory subject matter, must be amended. Claims that recite a model with associated sub-models and queries pertaining to both lack a practical application. There must be a result that is a practical application.

The Federal Circuit recently addressed the subject of subject matter patentability in In re Bilski, 545 F.3d 943 (Fed. Cir. 2008) (en banc). In In re Bilski, the court "conclude[ed] that the "useful, concrete and tangible result" inquiry is inadequate and reaffirm[ed] that the machine-or-transformation test outlined by the Supreme Court is the proper test to apply." Id. "The machine-or-transformation test is a two-branched inquiry; an applicant may show that a process claim satisfies § 101 either by showing that his claim is tied to a particular machine, or by showing that his claim transforms an article." Id.

Although the two-branched inquiry is stated in the alternative, Applicants respectfully submit that the methods of claims 1 and 14 and claims directly or indirectly dependent thereon meet both of the two-branched inquiries set forth in In re Bilski.

The methods of claims 1 and 14 are specifically tied to a particular machine, namely "a computer system". Claims 1 and 14. More specifically, claims 1 and 14 are respectively a "method for using <u>a computer system</u>, wherein the computer system includes computer assisted configuration technology to respond to one or more configuration queries using configuration sub-models." Id.

Additionally, the method of claim 1 tranforms an article(s) into a different thing. Claim 1 recites "receiving one or more configuration queries" and "generating a response to the one or more configuration queries." Claim 1. The "response" represents an article

because the "one or more configuration queries" relate to a physical object, namely "questions involving parts and part relationships in a configuration of a configurable product." Id. The "response" is transformed into "data for display by a display device". Id.

The method of claim 14 also tranforms an article(s) into a different thing. Claim 1 recites "responding to the one or more configuration queries" and "generating a response to the one or more configuration queries." Claim 14. The "response" represents an article because the "one or more configuration queries" relate to a physical object, namely "questions involving configuration of a configurable product." Id. The "response" is transformed into "data for display by a display device". Id.

Although In re Bilski specifically relates to 35 U.S.C. § 101 and method claims, applying the criteria of In re Bilski claims 15, 29, and 45 are respectively "tied to a particular machine." In re Bilski. More specifically, claims 15, 29, and 45 are each "a computer system". Claims 15, 29, and 45.

Claims 30 and 45 recite a "computer storage medium" comprising data embedded therein to cause a computer system to respond to one or more configuration queries using configuration sub-models, wherein the data comprises processor executable code for: ...

The invention embodiment of claim 30 is also related to a physical device and includes processor executable code, namely a "computer storage medium comprising data ... wherein the data comprises processor executable code." After the decision in In re Bilski, the USPTO Board of Patent Appeals and Interferences (BPAI) addressed subject matter patentability of a computer usable medium in ex parte Bo Li. Ex parte Bo Li, Appeal 2008-1213 (USPTO BPAI 2008, November 6, 2008). The BPAI, citing In re Bilski and In re Lowry, 32 F.3d 1579 (Fed. Cir. 1994), held that a computer program product comprising a computer usable medium having a computer readable program code embodied therein and adapted to be executed to implement a method for generating a report recites patentable subject matter under 35 U.S.C. § 101. Likewise, Applicants respectfully submit that the computer storage medium of claims 30 and 44 claims directly or indirectly dependent thereon also recite patentable subject matter.

Applicants respectfully submit that claims 1-50 accordingly meet the requirements of 35 U.S.C. § 101 as construed by, for example, the Federal Circuit in In re Bilski andIn re Lowry, 32 F.3d 1579 (Fed. Cir. 1994).

Accordingly, Applicants respectfully request withdrawal of the rejection.

Examiner's response:

In light of a change of policies concerning 35 U.S.C. and the applicant's arguments, the Examiner withdraws the rejection.

8. In reference to the Applicant's argument:

Claim Rejections - 35 U.S.C. § 102

Claims 1-46 and 48-50 stand rejected under 35 U.S.C. § 102(b) as being anticipated by U.S. Patent No. 6,167,383 to Henson (hereinafter "Henson"). Applicants respectfully traverse the rejection.

Applicants hereby rescind all previous remarks in previously filed Office Action responses. Applicants present the following remarks for the allowability of claims 1-46 and 48-50 over Henson.

Henson relates to a "web-based online store [that] includes a configurator, a cart, a checkout, and a database, further in which a user interface of the online store enables a custom configuration of a computer system according to an identification of a user belonging to a prescribed customer set." Henson, Abstract. "The configurator is provided for configuring a computer system with options selected according to a prescribed user input." Id.

Referring to Figures 3A and 3B of Henson, the configuration screen 70 includes a variety of configuration options for the customer. For example, the customer can select a particular memory, a particular display, a particular storage product, available printers, and so on.

The Examiner has identified the "different type of 'printers' which are available for a given computer" as an example of a configuration sub-model. Applicants respectfully submit that the different types of printers and other components are only available selections and are not a "configuration sub-model [that] includes data to define compatibility relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45.

Applicants also respectfully submit that Henson teaches that after selection of different components, such as a printer, the selections themselves are used to form a configuration-type query. However, Applicants respectfully submit that Henson fails to teach or suggest processing such configuration-type query "using configuration sub-models, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility

relationships between parts included in the configuration sub-model" as required by claims 1, 14, 15, 29, 30, 44, and 45.

More specifically, once the customer using the configuration screen makes a series of selections, such as selection of a printer and of other components, it is desirable to determine if the selections represent a valid configurable build. Determining whether a set of selections represents a valid configurable build can be an example of a configuration query. In fact, Henson contemplates this very scenario. Henson teaches that "The on-line store further includes validation of a configuration built by a customer." Henson, col. 7, lines 57-58. The validation logic of Henson responds to a configuration-type query. More specifically, Henson teaches that:

Validation (or compatibility) provides the customer with a validation message indicating an occurrence of when the options selected for a particular system are not correct. If the options selected for a particular system will adversely affect the shipment of the configured system, then a warning message is issued to enable the user to modify options accordingly. In other words, the validation enhancement lets the customer know when one or more options are not compatible for one reason or another. The validation enhancement includes built-in logic which checks the particular configuration built by the customer and indicates whether or not the selected options can be built together for the particular configuration. If two or more options are incompatible, then in one embodiment, the validation enhancement returns a message indicating that the options are incompatible, as further discussed herein. Id., col. 7, line 58 through col. 8, line 6.

Thus, Applicants respectfully submit that the option selections by the customer in Henson are submitted to validation logic as a type of configuration query. Once the printer, memory, and so on are selected by the customer in Henson and a configurationtype query is formed, Applicants respectfully submit that Henson fails to teach or suggest "processing the one or more configuration queries using configuration submodels, wherein the configuration sub-models collectively model the configurable product and each configuration sub-model includes data to define compatibility relationships between parts included in the configuration sub-model and each configuration sub-model (i) represents a portion of a configuration model of the configurable product and (ii) allows answers from each configuration sub-model to be combined to provide a consolidated answer to the one or more configuration gueries" as required by claims 1, 14, 15, 29, 30, 44, and 45. Henson teaches some "built-in logic" to process a configuration-type query; however, Henson fails to teach or suggest any type of configuration sub-model or "processing the one or more configuration queries using configuration sub-models ... wherein ... each configuration sub-model (i) represents a portion of a configuration model of the configurable product and (ii) allows answers from each configuration sub- model to be combined to provide a consolidated answer to the one or more configuration flueries" as required by claims 1, 14, 15, 29, 30, 44, and 45.

Accordingly, for at least the foregoing reasons, Applicants respectfully request

withdrawal of the rejection of claims 1, 14, 15, 29, 30, 44, and 45 and of claims directly or indirectly dependent upon claims 1, 14, 15, 29, 30, 44, and 45.

Claim Rejections - 35 U.S.C. § 103

Claim 47 stands rejected under 35 U.S.C. § 103(a) as being unpatentable over Henson in view of Ford Motor Company http://web.archive.org/web/20030324212039/http://fordvehicles.com/.

Claim 47 depends on claim 1. For at least the foregoing reasons given with regard to claim 1, Applicants respectfully request withdrawal of the rejection of claim 47.

Examiner's response:

Neither the Henson or ford Motor o reference is used in the current rejection. The Examiner feels that Gupta addresses the claim elements. 'Configuration gueries' of applicant maps to a user being able to select and unselect parts of Gupta. 'Involving parts and parts relations' of applicant maps to the ability of the system to validate user input with the current state of the configuration of Gupta. C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta. (Gupta, C2:50-60) 'Configuration model' of applicant maps to 'maintaining and configuring systems' of Gupta. C1:12-25; An example of an 'configurable product' of applicant is an 'automobile' of Gupta. An 'automobile' can be viewed as a 'product.' C8:5 through C9:9, figure 6; 'Sub-models' of applicant map to the examples of 'included, requires choice and optional' of Gupta. The 'configuration queries' which are used to configure 'sub models' of applicant maps to the arrows from item 602 to items 604, 606, 608, 610 and 614 of Gupta. (Gupta, C4:31-38) 'Generating a response' of applicant maps to the result of a configuration session' of Gupta. 'Configuration queries' of applicant maps to 'A user interface uses various operations such as drag and drop and item selection to

define a product, for example. Elements that comprise a definition (e.g., of a product) can be added or removed in any order' of Gupta. (**Gupta**, C5:22-43) 'Display device' of applicant maps to 'CRT' of Gupta. 'Providing the response to the one or more configuration queries' of applicant maps to the function of a CPU of Gupta. (**Gupta**, fig 1, item 117, C4:58 through C5:6)

#### **Examination Considerations**

9. The claims and only the claims form the metes and bounds of the invention. "Office personnel are to give the claims their broadest reasonable interpretation in light of the supporting disclosure. *In re Morris*, 127 F.3d 1048, 1054-55, 44USPQ2d 1023, 1027-28 (Fed. Cir. 1997). Limitations appearing in the specification but not recited in the claim are not read into the claim. *In re Prater*, 415 F.2d, 1393, 1404-05, 162 USPQ 541, 550-551 (CCPA 1969)" (MPEP p 2100-8, c 2, I 45-48; p 2100-9, c 1, I 1-4). The Examiner has the full latitude to interpret each claim in the broadest reasonable sense. Examiner will reference prior art using terminology familiar to one of ordinary skill in the art. Such an approach is broad in concept and can be either explicit or implicit in meaning.

10. Examiner's Notes are provided to assist the applicant to better understand the nature of the prior art, application of such prior art and, as appropriate, to further indicate other prior art that maybe applied in other office actions. Such comments are

entirely consistent with the intent and sprit of compact prosecution. However, and unless otherwise stated, the Examiner's Notes are not prior art but link to prior art that one of ordinary skill in the art would find inherently appropriate.

11. Examiner's Opinion: Paragraphs 9 and 10 apply. The Examiner has full latitude to interpret each claim in the broadest reasonable sense.

### Conclusion

12. The prior art of record and not relied upon is considered pertinent to the applicant's disclosure.

-U. S. Patent Publication 20040068342: Bedont

-U. S. Patent 6725257: Cansler

-U. S. Patent Publication: Reyna

13. Claims 1-50 are rejected.

### Correspondence Information

Page 35

14. Any inquiry concerning this information or related to the subject disclosure should be directed to the Examiner Peter Coughlan, whose telephone number is (571) 272-5990. The Examiner can be reached on Monday through Friday from 7:15 a.m. to 3:45

p.m.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor David Vincent can be reached at (571) 272-3080. Any response to this office action should be mailed to:

Commissioner of Patents and Trademarks,

Washington, D. C. 20231;

Hand delivered to:

Receptionist,

Customer Service Window,

Randolph Building,

401 Dulany Street,

Alexandria, Virginia 22313,

(located on the first floor of the south side of the Randolph Building);

or faxed to:

(571) 272-3150 (for formal communications intended for entry.)

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

unpublished applications is available through Private PAIR only. For more information

about the PAIR system, see <u>http://pair-direct.uspto.gov</u>. Should you have any questions

on access to Private PAIR system, contact the Electronic Business Center (EBC) at

866-217-9197 (toll free).

/P. C./

Examiner, Art Unit 2129

Peter Coughlan

10/8/2009

/David R Vincent/

Supervisory Patent Examiner, Art Unit 2129

Notice of References Cited	Application/Control No. 10/957,919	Applicant(s)/Pater Reexamination LITTLE ET AL.	nt Under
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	PETER COUGHLAN	2129	Page 1 of 1

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-5,825,651	10-1998	Gupta et al.	700/103
	В	US-			
	С	US-			
	D	US-			
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	IJ	US-			
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#### FOREIGN PATENT DOCUMENTS

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#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Part of Paper No. 10082009

# Page 386 of 507

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	10957919	LITTLE ET AL.
	Examiner	Art Unit
	PETER COUGHLAN	2129

SEARCHED
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Class	Subclass	Date	Examiner
705	@pd<20041004 and 56	12/24/2007	PDC
706	@pd<20041004 and 20	12/24/2007	PDC
706	@pd<20041004 and 8, 6, 28, 45	9/12/2008	PDC
705	@pd<20041004 with query, configuration, model, compatibility and 26	9/12/2008	PDC
705	@pd<20041004 and 103	10/8/2009	PDC

SEARCH NOTES										
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East @pd<20041004 and multimedia, knowledge base, structure, query, sub query, model, sub model, answer, sub answer, processor, CPU, Dell, central processing unit, rules, specification, elements, sub-elements, database, overlap, common range, combining answers, matching, retrieving, images, requirements, computer configuration, order, sales, internet	12/24/2007	PDC								
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Inventors Nathan E Little, Brandon M Beck, Brian K Showers,	12/24/2007	PDC								
East – @pd<20081004 and validation, enhancement, queries, part, configuration, relation, model, compatibility, sub model, computer, assist,	9/12/2008	PDC								
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Page 389 of 507

Part of Paper No.: 10082009

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# BIB DATA SHEET

#### **CONFIRMATION NO. 9162**

SERIAL NUME	BER	FILING or	371(c)		CLASS	GB	OUP AR1		ΑΤΤΟ	ORNEY D	OCKET			
10/957,919		<b>DAT</b> 10/04/2		2129	•••••		<b>NO.</b> T0012 <sup>-</sup>							
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### **EAST Search History**

### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
		"5825651".pn. and part\$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:53
		"5825651".pn. and sub-\$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:51
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		"5825651".pn. and over\$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:48
		"5825651".pn. and sub\$	US- PGPUB; USPAT	OR	ON	2009/09/10 09:17
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L6	0	"5825651".pn. and sub-q\$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:51

Page 391 of 507

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L9	1	"5825651".pn. and (configuration same product)	US- PGPUB; USPAT	OR	ON	2009/10/08 12:12
L10	2982	@pd<"20041004" and (web.ab. with page)	US- PGPUB; USPAT	OR	ON	2009/10/08 13:19
L11	865	@pd<"20041004" and (web.ab. with page) and model	US- PGPUB; USPAT	OR	ON	2009/10/08 13:20
L12	456	@pd<"20041004" and (web.ab. with page) and model and configuration	US- PGPUB; USPAT	OR	ON	2009/10/08 13:20
L13	72	@pd<"20041004" and (web.ab. with page) and (model same configuration)	US- PGPUB; USPAT	OR	ON	2009/10/08 13:21
L14	30	@pd<"20041004" and (web.ab. with page) and (model with configuration)	US- PGPUB; USPAT	OR	ON	2009/10/08 13:21
L15	42	113 not 114	US- PGPUB; USPAT	OR	ON	2009/10/08 13:27
L16	149	700/103.ccls. and @pd<"20041004"	US- PGPUB; USPAT	OR	ON	2009/10/08 14:05
S1	4	@pd<"20041004" and multimedia and ("knowledge base" or knowledgebase) and structure and query and (subquery or sub-query or "sub query")	US- PGPUB; USPAT	OR	ON	2007/04/21 10:56
S2	0	@pd<"20041004" and model and (submodel or sub-model or "sub model") and answer and (subanswer or sub-answer or "sub answer")	US- PGPUB; USPAT	OR	ON	2007/04/21 10:57
S3	74	@pd<"20041004" and model and (submodel or sub-model or "sub model") and answer	US- PGPUB; USPAT	OR	ON	2007/04/21 10:57

# Page 392 of 507

S4	0	@pd<"20041004" and (processor or cup) and rule and specifcation and element and (database or "data base") and overlap and (common with range)	US- PGPUB; USPAT	OR	ON	2007/04/21 10:59
S5	6	@pd<"20041004" and (processor or cup) and rule and specifcation and element and (database or "data base") and overlap	US- PGPUB; USPAT	OR	ON	2007/04/21 10:59
S6	14	@pd<"20041004" and (common with range) and (combining with average \$) and matching	US- PGPUB; USPAT	OR	ON	2007/04/21 11:00
S7	12673	@pd<"20041004" and retrieving and images and requirement	US- PGPUB; USPAT	OR	ON	2007/04/21 11:01
<b>S</b> 8	1834	@pd<"20041004" and (database with retrieving) and images and requirement	US- PGPUB; USPAT	OR	ON	2007/04/21 11:01
S9	620	@pd<"20041004" and (database with retrieving) and (database with image) and requirement	US- PGPUB; USPAT	OR	ON	2007/04/21 11:02
S10	197	@pd<"20041004" and ((model with configuration) with problem)	US- PGPUB; USPAT	OR	ON	2007/12/21 07:55
S11	2	@pd<"20041004" and ((model with configuration) with problem) and (submodel or sub-model or "sub model")	US- PGPUB; USPAT	OR	ON	2007/04/21 11:04
S12	3	@pd<"20041004" and (((model with configuration) with problem) same rule)	US- PGPUB; USPAT	OR	ON	2007/04/21 11:04
S13	0	710/8.ccls and @pd<"20041004"	US- PGPUB; USPAT	OR	ON	2007/04/21 11:04
S14	1023	710/8.ccls. and @pd<"20041004"	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S15	289	710/8.ccls. and @pd<"20041004" and model	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05

# Page 393 of 507

S16	242	710/8.ccls. and @pd<"20041004" and model and configuration	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S17	39	710/8.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S18	9	703/25.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S19	61	703/25.ccls. and @pd<"20041004" and model and configuration	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S20	85	700/30.ccls. and @pd<"20041004" and model and configuration	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S21	28	700/30.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S22	95	706/46.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:06
S23	112	706/47.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:06
S24	7	706/6.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:06
S25	372	S24 or S23 or S22 or S21 or S20 or S19 or S17	US- PGPUB; USPAT	OR	ON	2007/04/21 11:07
S26	1309	@pd<"20041004" and dell. as.	US- PGPUB; USPAT	OR	ON	2007/12/24 09:50
S27	2	@pd<"20041004" and dell. as. and (internet with sale)	US- PGPUB; USPAT	OR	ON	2007/12/24 08:07
S28	0	"09344391".pn.	US- PGPUB; USPAT	OR	ON	2007/12/21 07:59
S29	0	"9344391".pn.	US- PGPUB; USPAT	OR	ON	2007/12/21 08:21

Page 394 of 507

S30	0	"09009401".pn.	US- PGPUB; USPAT	OR	ON	2007/12/21 08:21
S31	0	"9009401".pn.	US- PGPUB; USPAT	OR	ON	2007/12/21 08:22
S32	8	wyngarden.in.	US- PGPUB; USPAT	OR	ON	2007/12/21 08:22
S33	13	@pd<"20041004" and dell. as. and (internet with configuration)	US- PGPUB; USPAT	OR	ON	2007/12/21 08:46
S34	1	"6167383".pn.	US- PGPUB; USPAT	OR	ON	2007/12/21 10:18
S35	0	"6167383".pn. and compatab\$	US- PGPUB; USPAT	OR	ON	2007/12/21 10:18
S36	1	"6167383".pn. and compat \$	US- PGPUB; USPAT	OR	ON	2007/12/21 10:18
S37	286	@pd<"20041004" and dell. as. and (computer with configuration)	US- PGPUB; USPAT	OR	ON	2007/12/24 08:07
S38	15	@pd<"20041004" and dell. as. and (computer with configuration) and ordering	US- PGPUB; USPAT	OR	ON	2007/12/24 08:07
S39	1	@pd<"20041004" and dell. as. and "706".clas.	US- PGPUB; USPAT	OR	ON	2007/12/24 09:50
S40	511	706/20.ccls. and @pd<"20041004"	US- PGPUB; USPAT	OR	ON	2007/12/24 09:51
S41	319	706/20.ccls. and @pd<"20041004" and (model\$ or silulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:51
S42	340	706/20.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:51
S43	2503	707/102.ccls. and @pd<"20041004"	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S44	1208	707/102.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52

# Page 395 of 507

S45	1368	707/1.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S46	1690	707/10.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S47	789	707/4.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S48	1325	705/26.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S49	31	705/56.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:53
S50	371	S49 or S42	US- PGPUB; USPAT	OR	ON	2007/12/24 09:53
S51	1144	@pd<"20041004" and ((web adj (design or page)) same classes)	US- PGPUB; USPAT	OR	ON	2009/09/09 14:09
S52	432	@pd<"20041004" and ((web adj (design or page)) with classes)	US- PGPUB; USPAT	OR	ON	2009/09/09 14:09
S53	11	@pd<"20041004" and ((web.ab. adj (design or page)) with classes)	US- PGPUB; USPAT	OR	ON	2009/09/09 14:09
S54	151	@pd<"20041004" and ((web adj (design or page)) with "back end")	US- PGPUB; USPAT	OR	ON	2009/09/09 14:11
S55	0	@pd<"20041004" and (("web site" adj (design or page)) with "back end")	US- PGPUB; USPAT	OR	ON	2009/09/09 14:25
S56	0	@pd<"20041004" and (("web page" adj (design or page)) with "back end")	US- PGPUB; USPAT	OR	ON	2009/09/09 14:25
S57	432	@pd<"20041004" and ((web adj (design or page)) with class)	US- PGPUB; USPAT	OR	ON	2009/09/09 14:25
S58	1	@pd<"20041004" and ((web adj (design or page)) with (submodel or sub-model or "sub model"))	US- PGPUB; USPAT	OR	ON	2009/09/09 14:26

# Page 396 of 507

S59	937	@pd<"20041004" and (web adj (design or page)) and (product with configuration)	US- PGPUB; USPAT	OR	ON	2009/09/09 14:29
S60	63	@pd<"20041004" and (web adj (design or page)) and (page with (product with configuration))	US- PGPUB; USPAT	OR	ON	2009/09/09 14:29
S61	2	"5825651".pn. or "5515524".pn.	US- PGPUB; USPAT	OR	ON	2009/09/09 14:33
S62	49	@pd<"20041004" and trilogy.as.	US- PGPUB; USPAT	OR	ON	2009/09/09 14:50
S64	1	"5825651".pn. and input	US- PGPUB; USPAT	OR	ON	2009/09/09 15:14
S65	0	"5825651".pn. and web	US- PGPUB; USPAT	OR	ON	2009/09/09 15:15
S66	0	"5825651".pn. and internet	US- PGPUB; USPAT	OR	ON	2009/09/09 15:15
S67	1	"5825651".pn. and interface	US- PGPUB; USPAT	OR	ON	2009/09/09 15:15
S68	1	"5825651".pn. and product	US- PGPUB; USPAT	OR	ON	2009/09/10 09:04
S69	0	"5825651".pn. and submodel	US- PGPUB; USPAT	OR	ON	2009/09/10 09:17
S70	0	"5825651".pn. and sub- model	US- PGPUB; USPAT	OR	ON	2009/09/10 09:17
S71	0	"5825651".pn. and "sub model"	US- PGPUB; USPAT	OR	ON	2009/09/10 09:17
S72	1	"5825651".pn. and group	US- PGPUB; USPAT	OR	ON	2009/09/10 09:57
S73	1	"5825651".pn. and display	US- PGPUB; USPAT	OR	ON	2009/09/10 11:04
S74	0	"6167383".pn. and compatable	US- PGPUB; USPAT	OR	ON	2009/09/24 12:45

# Page 397 of 507

# **FORD 1004**

file:///Cl/Documents%20and%20Settings/pcoughlan/My%20...7919/EASTSearchHistory.10957919\_AccessibleVersion.htm (7 of 8)10/8/2009 2:11:17 PM

S75	1	"6167383".pn. and compatible	US- PGPUB; USPAT	OR	ON	2009/09/24 12:47
S76	1	"20010032100"	US- PGPUB; USPAT	OR	ON	2009/09/24 14:34

#### 10/8/2009 2:11:12 PM

Applicant(s):	IN THE UNITED STATES PA Nathan E. Little, Brandon		
Assignee:	Versata Development Gro	oup, Inc.	
Title:	Complex Configuration P	rocessing Using Conf	figuration Sub-Models
Serial No.:	10/957,919	Filing Date:	October 4, 2004
Examiner:	Peter D. Coughlan	Group Art Unit:	2129
Docket No.:	T00121	Customer No.:	33438

April 14, 2010

Electronically Filed

# **RESPONSE TO NON-FINAL OFFICE ACTION**

Dear Sir:

This paper is responsive to the Office Action dated October 15, 2009, having a shortened statutory period expiring January 15, 2010. Accompanying this response is a petition under 37 C.F.R. § 1.136 for extension of time by three (3) months, setting a new time for response of April 15, 2010. Further examination and reconsideration are respectfully requested.

# AMENDMENTS TO THE CLAIMS

1	1. (Currently Amended) A method for using a computer system, wherein the
2	computer system includes computer assisted configuration technology to respond to one
3	or more configuration queries using configuration sub-models, the method comprising:
4	receiving one or more configuration queries representing one or more questions
5	involving parts and part relationships in a configuration of a configurable
6	product; <u>and</u>
7	performing with the computer system:
8	dividing one or more configuration queries into multiple configuration
9	sub-queries, wherein the multiple configuration sub-queries
10	represent the one or more configuration queries;
11	processing each sub-query using at least one configuration sub-model per
12	sub-query, processing the one or more configuration queries using
13	configuration sub-models, wherein [[the]] each configuration sub-
14	models sub-model collectively [[model]] models the configurable
15	product and each configuration sub-model includes data to define
16	compatibility relationships between parts included in the
17	configuration sub-model and each configuration sub-model (i)
18	represents a portion of a configuration model of the configurable
19	product and (ii) allows answers from each configuration sub-model
20	to be combined to provide a consolidated answer to the one or
21	more configuration queries;
22	generating a response to the one or more configuration queries based upon
23	the processed one or more configuration queries and the
24	configuration sub-models the processing of each sub-query using
25	at least one configuration sub-model per sub-query; and
26	providing the response to the one or more configuration queries as data for
27	display by a display device.
4	

1 2. Canceled.

-2 of 18-

1	3.	(Currently Amended) The method of claim [[2]] 1 wherein the one or			
2	more configur	ration queries relate to a configuration completion problem and processing			
3	one or more configuration queries further comprises:				
4	proces	ssing each sub-query using at least one configuration sub-model per sub-			
5		<del>query.</del>			
1	4.	(Currently Amended) The method of claim [[2]] <u>1</u> further comprising:			
2	proces	ssing each sub-query using multiple configuration sub-models per sub-			
3		query.			
1	5.	(Currently Amended) The method of claim [[2]] 1 wherein the one or			
2	more configu	ration queries relate to a configuration validation problem and processing			
3	-	onfiguration queries further comprises:			
4	proces	using an undivided query at least one of the sub-queries using different			
5		configuration sub-models until a configuration validation answer can be			
6		determined.			
1	6.	(Currently Amended) The method of claim [[2]] 1 wherein the data			
2	collectively ir	ncluded in the configuration sub-models provides a response for each of the			
3		eing processed.			
1	7.	(Currently Amended) The method of claim [[2]] 1 wherein at least two			
2	sub-queries in	nclude overlapping information.			
1	8.	(Currently Amended) The method of claim [[2]] <u>1</u> further comprising:			
2	dividi	ng a consolidated configuration model into the multiple configuration sub-			
3		models in accordance with a predetermined data structure;			
4	where	in at least one of the configuration queries into multiple configuration sub-			
5		queries further comprises dividing the sub-queries in accordance with the			
6		sub-model structure.			

1	9.	(Previously Presented) The method of claim 8 wherein the predetermined		
2	data structure	comprises a data structure divided along configuration model part groups,		
3	wherein the p	art groups are a collection of related parts.		
1	10.	(Previously Presented) The method of claim 1 wherein generating a		
2	response to th	e one or more configuration queries based upon the processed one or more		
3	configuration	queries and the configuration sub-models further comprises:		
4	genera	ating a response for each processed configuration sub-model; and		
5 6	combi	ning each response for each processed configuration sub-model to generate the answer.		
1	11.	(Original) The method of claim 1 further comprising:		
2	dividi	ng a consolidated configuration model into the configuration sub-models.		
1	12.	(Previously Presented) The method of claim 11 wherein dividing the		
2	consolidated	configuration model into multiple configuration sub-models further		
3	comprises:			
4	dividi	ng the configuration model so that complexity of each configuration sub-		
5		model allows processing using available data processing capabilities of the		
6		computer assisted configuration technology while still representing the		
7		relationships included in the consolidated configuration model.		
1	13.	(Original) The method of claim 11 wherein each configuration sub-model		
2	represents a p	ortion of the consolidated configuration model.		
1	14.	(Currently Amended) A method for using a computer system, wherein the		
2	computer system includes computer assisted configuration technology to respond to one			
3	or more configuration queries using configuration sub-models, the method comprising:			
4	dividing a consolidated configuration model into multiple configuration sub-			
5	models; and			
6	perfor	ming with the computer system:		

responding to the one or more configuration queries representing
questions involving configuration of a configurable product,
wherein responding to the one or more configuration queries
comprises:
dividing one or more configuration queries into multiple
configuration sub-queries, wherein the multiple
configuration sub-queries represent the one or more
configuration queries;
processing each sub-query using at least one configuration sub-
model per sub-query, processing the one or more
configuration queries using configuration sub-models,
wherein [[the]] each configuration sub-models sub-model
collectively [[model]] models the configurable product and
each configuration sub-model includes data to define
compatibility relationships between parts included in the
configuration sub-model and each configuration sub-model
(i) represents a portion of a configuration model of the
configurable product and (ii) allows answers from each
configuration sub-model to be combined to provide a
consolidated answer to the one or more configuration
queries;
generating a response to the one or more configuration queries
based upon the processed one or more configuration
queries and the configuration sub-models the processing of
each sub-query using at least one configuration sub-model
per sub-query; and
providing the response to the one or more configuration queries as
data for display by a display device.

1	15. (Currently Amended) A computer system to implement an inference				
2	procedure for responding to one or more configuration queries using configuration sub-				
3	models, the system comprising:				
4	a processor; and				
5	a storage medium having data encoded therein, the data comprising processor				
6	executable code for:				
7	receiving one or more configuration queries representing a questions				
8	involving parts and part relationships in a configuration of a				
9	configurable product;				
10	dividing one or more configuration queries into multiple configuration				
11	sub-queries, wherein the multiple configuration sub-queries				
12	represent the one or more configuration queries;				
13	processing each sub-query using at least one configuration sub-model per				
14	sub-query, processing the one or more configuration queries using				
15	configuration sub-models, wherein [[the]] each configuration sub-				
16	models sub-model collectively [[model]] models the configurable				
17	product and each configuration sub-model includes data to define				
18	compatibility relationships between parts included in the				
19	configuration sub-model and each configuration sub-model (i)				
20	represents a portion of a configuration model of the configurable				
21	product and (ii) allows answers from each configuration sub-model				
22	to be combined to provide a consolidated answer to the one or				
23	more configuration queries;				
24	generating a response to the one or more configuration queries based upon				
25	the processed one or more configuration queries and the				
26	configuration sub-models the processing of each sub-query using				
27	at least one configuration sub-model per sub-query; and				
28	providing the response to the one or more configuration queries as data for				
29	display by a display device.				

-6 of 18-

1 16. (Canceled)

1	17.	(Previously Presented) The computer system of claim 16 wherein the one	
2	or more confi	guration queries relate to a configuration completion problem and the code	
3	for processing one or more configuration queries further comprises:		
4	proces	sing each sub-query using at least one configuration sub-model per sub-	
5		<del>query.</del>	
1	18.	(Canceled)	
1	19.	(Currently Amended) The computer system of claim [[16]] 15 wherein the	
2	one or more c	onfiguration queries relate to a configuration validation problem and when	
3	solving the co	onfiguration validation problem, and the code for processing one or more	
4	configuration	queries further comprises:	
5	proces	using an undivided query at least one of the sub-queries using different	
6		configuration sub-models until a configuration validation answer can be	
7		determined.	
1	20	(Computer Amended) The computer material of alatin [[1(]] 15 when in the	
1 2	20.	(Currently Amended) The computer system of claim [[16]] <u>15</u> wherein the	
2	data collectively included in the configuration sub-models provides a response for each of the sub-queries being processed.		
3	the sub-quene	es being processed.	
1	21.	(Currently Amended) The computer system of claim [[16]] 15 wherein at	
2	least two sub-	queries include overlapping information.	
1	22.	(Currently Amended) The computer system of claim [[16]] 15 wherein the	
2	code further c	comprises code for:	
3	dividi	ng the configuration sub-models in accordance with a predetermined data	
4		structure; and	
5	dividi	ng the sub-queries in accordance with the sub-model structure.	

23. (Previously Presented) The computer system of claim 22 wherein the
 predetermined data structure comprises a data structure divided along configuration
 model part groups, wherein the part groups are a collection of related parts.

- 1 24. (Previously Presented) The computer system of claim 15 wherein the code 2 for generating a response to the one or more configuration queries based upon the 3 processed one or more configuration queries and the configuration sub-models further 4 comprises code for: 5 generating a response for each processed configuration sub-model; and 6 combining each response for each processed configuration sub-model to generate 7 the answer. 1 25. (Previously Presented) The computer system of claim 15 wherein the code 2 for dividing the consolidated configuration model into multiple configuration sub-models 3 further comprises code for: 4 dividing the configuration model so that complexity of each configuration sub-
- 5 model allows processing using available data processing capabilities of the 6 computer system while still representing the relationships included in the 7 consolidated configuration model.
- 26. (Original) The computer system of claim 15 wherein the data further
   comprises processor executable code for:
- 3

dividing a consolidated configuration model into the configuration sub-models.

- 27. (Previously Presented) The computer system of claim 26 wherein the code
   for dividing the consolidated configuration model into multiple configuration sub-models
   further comprises code for:
- dividing the configuration model so that complexity of each configuration submodel allows processing using available data processing capabilities of the
  computer system while still representing the relationships included in the
  consolidated configuration model.

1	28. (Original) The computer system of claim 26 wherein each configuration
2	sub-model represents a portion of the consolidated configuration model.
1	29. (Currently Amended) A computer system to implement an inference
2	procedure for responding to one or more configuration queries using configuration sub-
3	models, the system comprising:
4	a processor; and
5	a storage medium having data encoded therein, the data comprising processor
6	executable code for:
7	dividing a consolidated configuration model into multiple configuration
8	sub-models;
9	responding to the one or more configuration queries representing
10	questions involving configuration of a configurable product,
11	wherein responding to the one or more configuration queries
12	comprises:
13	dividing one or more configuration queries into multiple
14	configuration sub-queries, wherein the multiple
15	configuration sub-queries represent the one or more
16	configuration queries;
17	processing each sub-query using at least one configuration sub-
18	model per sub-query, processing the one or more
19	configuration queries using configuration sub-models,
20	wherein [[the]] <u>each</u> configuration sub-models sub-model
21	collectively [[model]] models the configurable product and
22	each configuration sub-model includes data to define
23	compatibility relationships between parts included in the
24	configuration sub-model and each configuration sub-model
25	(i) represents a portion of a configuration model of the
26	configurable product and (ii) allows answers from each
27	configuration sub-model to be combined to provide a

28	consolidated answer to the one or more configuration
29	queries;
30	generating a response to the one or more configuration queries
31	based upon the processed one or more configuration
32	queries and the configuration sub-models the processing of
33	each sub-query using at least one configuration sub-model
34	per sub-query; and
35	providing the response to the one or more configuration queries as
36	data for display by a display device.
1	30. (Currently Amended) A computer storage medium comprising data
2	embedded therein to cause a computer system to respond to one or more configuration
3	queries using configuration sub-models, wherein the data comprises processor executable
4	code for:
5	receiving one or more configuration queries representing a questions involving
6	parts and part relationships in a configuration of a configurable product;
7	dividing one or more configuration queries into multiple configuration
8	sub-queries, wherein the multiple configuration sub-queries
9	represent the one or more configuration queries;
10	processing each sub-query using at least one configuration sub-model per sub-
11	query, processing the one or more configuration queries using
12	configuration sub-models, wherein [[the]] each configuration sub-models
13	sub-model collectively [[model]] models the configurable product and
14	each configuration sub-model includes data to define compatibility
15	relationships between parts included in the configuration sub-model and
16	each configuration sub-model (i) represents a portion of a configuration
17	model of the configurable product and (ii) allows answers from each
18	configuration sub-model to be combined to provide a consolidated answer
19	to the one or more configuration queries;
20	generating a response to the one or more configuration queries based upon the
21	processed one or more configuration queries and the configuration sub-

22		models the processing of each sub-query using at least one configuration
23		sub-model per sub-query; and
24	provid	ling the response to the one or more configuration queries as data for
25		display by a display device.
1	31.	(Canceled)
1	32.	(Currently Amended) The computer storage medium of claim [[31]] 30
2	wherein the or	ne or more configuration queries relate to a configuration completion
3	problem <u>.</u> -and-	the code for processing one or more configuration queries further
4	comprises:	
5	proces	ssing each sub-query using at least one configuration sub-model per sub-
6		<del>query.</del>
1	33.	(Currently Amended) The computer storage medium of claim [[31]] 30
2	wherein the da	ata further comprises processor executable code for:
3	proces	sing each sub-query using multiple configuration sub-models per sub-
4		query.
1	34.	(Currently Amended) The computer storage medium of claim [[31]] <u>30</u>
2		ne or more configuration queries relate to a configuration validation
3	_	the code for processing one or more configuration queries further comprises:
4	proces	ssing an undivided query at least one of the sub-queries using different
5		configuration sub-models until a configuration validation answer can be
6		determined.
1	25	(Compating Among to d) The compating stores and there of a later [[21]] 20
1	35.	(Currently Amended) The computer storage medium of claim [[31]] <u>30</u>
2		ata collectively included in the configuration sub-models provides a
3	response for e	each of the sub-queries being processed.
1	36.	(Currently Amended) The computer storage medium of claim [[31]] 30
2		(Currently Amended) The computer storage medium of claim [[51]] <u>50</u> ist two sub-queries include overlapping information.
4	wherein at lea	se two sub queries merude overlapping mormation.

1	37.	(Currently Amended) The computer storage medium of claim [[31]] <u>30</u> the
2	code further of	comprises code for:
3	dividi	ng the configuration sub-models in accordance with a predetermined data
4		structure; and
5	dividi	ng the sub-queries in accordance with the sub-model structure.
1	38.	(Previously Presented) The computer storage medium of claim 37 wherein
2	the predeterm	nined data structure comprises a data structure divided along configuration
3	model part gr	roups, wherein the part groups are a collection of related parts.
1	39.	(Previously Presented) The computer storage medium of claim 30 wherein
2	the code for g	generating a response to the one or more configuration queries based upon
3	the processed	one or more configuration queries and the configuration sub-models further
4	comprises co	de for:
5	gener	ating a response for each processed configuration sub-model; and
6	comb	ining each response for each processed configuration sub-model to generate
7		the answer.
1	40.	(Previously Presented) The computer storage medium of claim 30
2	wherein the c	ode for dividing the consolidated configuration model into multiple
3	configuration	sub-models further comprises code for:
4	dividi	ng the configuration model so that complexity of each configuration sub-
5		model allows processing using available data processing capabilities of the
6		computer system while still representing the relationships included in the
7		consolidated configuration model.
1	41.	(Original) The computer storage medium of claim 30 wherein the data
2	further comp	rises processor executable code for:
3	dividi	ng a consolidated configuration model into the configuration sub-models.

1	42.	(Previously Presented) The computer storage medium of claim 41 wherein
2	the code for	dividing the consolidated configuration model into multiple configuration
3	sub-models f	further comprises code for:
4	divid	ing the configuration model so that complexity of each configuration sub-
5		model allows processing using available data processing capabilities of the
6		computer system while still representing the relationships included in the
7		consolidated configuration model.
1	43.	(Original) The computer storage medium of claim 41 wherein each
2	configuration	n sub-model represents a portion of the consolidated configuration model.
1	44.	(Currently Amended) A computer storage medium comprising data
2	embedded th	erein to cause a computer system to respond to one or more configuration
3	queries using	g configuration sub-models, wherein the data comprises code for:
4	divid	ing a consolidated configuration model into multiple configuration sub-
5		models;
6	respo	nding to the one or more configuration queries representing questions
7		involving configuration of a configurable product, wherein responding to
8		the one or more configuration queries comprises:
9		dividing one or more configuration queries into multiple configuration
10		sub-queries, wherein the multiple configuration sub-queries
11		represent the one or more configuration queries:
12		processing each sub-query using at least one configuration sub-model per
13		sub-query, processing the one or more configuration queries using
14		configuration sub-models, wherein [[the]] each configuration sub-
15		models sub-model collectively [[model]] models the configurable
16		product and each configuration sub-model includes data to define
17		compatibility relationships between parts included in the
18		configuration sub-model;
19		generating a response to the one or more configuration queries based upon
20		the processed one or more configuration queries and the

21	configuration sub-models the processing of each sub-query using
22	at least one configuration sub-model per sub-query and each
23	configuration sub-model (i) represents a portion of a configuration
24	model of the configurable product and (ii) allows answers from
25	each configuration sub-model to be combined to provide a
26	consolidated answer to the one or more configuration queries; and
27	providing the response to the one or more configuration queries as data for
28	display by a display device.
1	45. (Currently Amended) A computer system to implement an inference
2	procedure for responding to one or more configuration queries using configuration sub-
3	models, the system comprising:
4	means for receiving one or more configuration queries representing a questions
5	involving parts and part relationships in a configuration of a configurable
6	product;
7	means for dividing one or more configuration queries into multiple configuration
8	sub-queries, wherein the multiple configuration sub-queries represent the
9	one or more configuration queries;
10	means for processing each sub-query using at least one configuration sub-model
11	per sub-query, processing the one or more configuration queries using
12	configuration sub-models, wherein [[the]] each configuration sub-models
13	sub-model collectively [[model]] models the configurable product and
14	each configuration sub-model includes data to define compatibility
15	relationships between parts included in the configuration sub-model and
16	each configuration sub-model (i) represents a portion of a configuration
17	model of the configurable product and (ii) allows answers from each
18	configuration sub-model to be combined to provide a consolidated answer
19	to the one or more configuration queries;
20	means for generating a response to the one or more configuration queries based
21	upon the processed one or more configuration queries and the

22		configuration sub-models the processing of each sub-query using at least
23		one configuration sub-model per sub-query; and
24	means	s for providing the response to the one or more configuration queries as data
25		for display by a display device.
1	46.	(Original) The computer system of claim 45 further comprising:
2	means	s for dividing a consolidated configuration model into the configuration sub-
3		models.
1	47.	(Previously Presented) The method of claim 1 wherein the configurable
2	product is a v	ehicle.
1	40	(Duranianala, Duranautad) The method of claims 1 fouther communician
1	48.	(Previously Presented) The method of claim 1 further comprising:
2	displa	ying the response on display device.
1	49.	(Previously Presented) The method of claim 1 wherein the configuration
2	sub-models e	ach comprise data and rules to define compatibility relationships between
-3		d in a product.
5	Parts monduo	
1	50.	(Previously Presented) The method of claim 1 wherein the configuration
2	problem com	prises a configuration problem involving parts of a product.

### **REMARKS**

Claims 1-50 are pending.

Claims 1-50 stand rejected.

Claims 1, 3-8, 14, 15, 17, 19-22, 29, 30, 32-37, 44, and 45 have been amended.

Claims 2, 16, 18, and 31 have been canceled without prejudice or disclaimer of the subject matter recited therein.

# Claim Rejections – 35 U.S.C. § 112

Claims 5, 19, and 34 stand rejected under 35 U.S.C. § 112, first paragraph.

Applicants have amended claims 5, 19, and 34 to delete "an undivided query" and replace "at least one of the sub-queries".

Accordingly, Applicants respectfully request withdrawal of the rejection.

# Claim Rejections - 35 U.S.C. § 102

Claims 1-50 stand rejected under 35 U.S.C. § 102(b) as being anticipated by U.S. Patent No. 5,825,651 to Gupta (hereinafter "*Gupta*"). Applicants respectfully traverse the rejection.

*Gupta* relates to providing "the ability to interactively select and configure a product among a set of related products based on availability and capability of features and options." *Gupta*, Abstract.

Applicants respectfully submit that *Gupta* neither teaches nor suggests:

dividing one or more configuration queries into multiple configuration sub-queries, wherein the multiple configuration sub-queries represent the one or more configuration queries;

processing each sub-query using at least one configuration sub-model per sub-query ...;

generating a response to the one or more configuration queries based upon the the processing of each sub-query using at least one configuration submodel per sub-query. Claims 1, 14, 15, 29, 30, 44, and 45 (the "Independent Claims").

Figure 6 of Gupta depicts a GUI screen that is divided between a product

definition section 650 and a part relationship definition section 652. Gupta, col. 8, lines

5-7. Gupta further teaches:

Pane 602 displays elements from parts catalog 204. Panes 604, 606, 608 are used to define a product. Panes 604, 606, and 608 represent classifications or product relationships. Panes 610 and 614 and relationship 612 are used to define part relationships.

A user can drag elements from pane 602 to panes 604-608 to define a product. For example, to include Part B in the product definition, Part B is dragged from pane 602 to pane 604. Alternatively, to drag parts B, C, D, and E, group A can be dragged from pane 602 to pane 604. Group A and its component parts (parts B, C, D, and E) are thereby included in the product definition. Similarly, a user can specify that a configuration user must choose a part from a group, e.g., Group I, by dragging one or more parts or a group into pane 606. An optional part or group can be identified by dragging an element, e.g., Group L, into pane 608. If an element from pane 602 is not moved to one of panes 604-608 it is assumed that the maintainer wants to exclude that element from the product that is being defined. The product-level relationships or classifications (or types) illustrated in FIG. 5 can be defined using panes 604-608. *Id.*, lines 7-28.

The Examiner has identified "items 622, 624, and 626" as multiple configuration sub-queries. Office Action, p. 5. Applicants respectfully disagree. Arrows 622, 624, and 626 simply illustrate user action of dragging elements from pane 602 to panes 604-608. The elements in pane 602 are elements from parts catalog 204. Thus, there is no representation of a sub-query associated with Figure 6 of *Gupta*.

Accordingly, Gupta neither teaches nor suggests:

dividing one or more configuration queries into multiple configuration sub-queries, wherein the multiple configuration sub-queries represent the one or more configuration queries;

processing each sub-query using at least one configuration sub-model per sub-query ...;

generating a response to the one or more configuration queries based upon the the processing of each sub-query using at least one configuration submodel per sub-query. Independent Claims.

Accordingly, for at least the foregoing reasons, Applicants respectfully request withdrawal of the rejection of claims 1, 14, 15, 29, 30, 44, and 45 and of claims directly or indirectly dependent upon claims 1, 14, 15, 29, 30, 44, and 45.

### **CONCLUSION**

The application is believed to be in condition for allowance and a notice to that effect is solicited. Nonetheless, should any issues remain that might be subject to resolution through a telephonic interview, the examiner is requested to telephone the undersigned 512-338-9100.

#### CERTIFICATE OF TRANSMISSION

I hereby certify that on April 14, 2010, this correspondence is being transmitted via the U.S. Patent & Trademark Office's electronic filing system.

/Kent B. Chambers/

Respectfully submitted,

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839

PTO/SB/22 (06-09)

Approved for use through 06/30/2009. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARMENT OF COMMERCE

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PETITION	FOR EXTENSION OF TIME UNDER	Docket Number (Optiona	1)						
(Fees	<b>FY 2009</b> pursuant to the Consolidated Appropriations Act,	T00121							
Application N	Number 10/957,919	Filed October 4, 200	)4						
For Com	plex Configuration Processing Using	Configuration Sub-	Models						
Art Unit 212	Art Unit 2129 Examiner Peter D. Coughlan								
This is a req application.	uest under the provisions of 37 CFR 1.13	6(a) to extend the pe	riod for filing a reply in the	above identified					
The requeste	ed extension and fee are as follows (cheo	k time period desired	and enter the appropriate	fee below):					
		Fee	Small Entity Fee						
	One month (37 CFR 1.17(a)(1))	\$130	\$65	\$					
	Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$					
~	Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$ <u>1110</u>					
	Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$					
	Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$					
Applicar	nt claims small entity status. See 37 CFR	1.27.							
A chec	k in the amount of the fee is enclosed	I.							
 Payme	nt by credit card. Form PTO-2038 is a	attached.							
The Dir	rector has already been authorized to	charge fees in this	application to a Deposi	it Account.					
	rector is hereby authorized to charge	any fees which ma	y be required, or credit a	any overpayment, to					
	t Account Number <u>502264</u> IG: Information on this form may become p	ublic. Credit card info	rmation should not be inclu	ded on this form.					
	credit card information and authorization o								
I am the	applicant/inventor.								
	assignee of record of the entir Statement under 37 CFR 3								
	✓ attorney or agent of record. Re	egistration Number	38,839						
	attorney or agent under 37 CF Registration number if acting under								
/Kent B	. Chambers/		April 14, 2010						
Signature Date									
Kent B. Chambers 512-338-9100									
	Typed or printed name		Telephor	ne Number					
	es of all the inventors or assignees of record of the en uired, see below.	ntire interest or their repres	entative(s) are required. Submit n	nultiple forms if more than one					
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USPTO to proces	his collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the ISPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to omplete including gathering, proparing, and submitting the completed application form to the USPTO. Time will vap, depending upon the individual case. Any								

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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The information provided by you in this form will be subject to the following routine uses:

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal								
Application Number:	109	957919						
Filing Date:	04-	Oct-2004						
Title of Invention:	Complex configuration processing using configuration sub-models							
First Named Inventor/Applicant Name:	Na	than E. Little						
Filer:	Kei	nt Bryan Chambers						
Attorney Docket Number:	TO	0121						
Filed as Large Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								
Page 419 of 50 <sup>3</sup> <sup>- 3 months with \$0 paid</sup>		1253	1	1110	FORD 9004			

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	) (\$)	1110

Electronic Ac	Electronic Acknowledgement Receipt							
EFS ID:	7417707							
Application Number:	10957919							
International Application Number:								
Confirmation Number:	9162							
Title of Invention:	Complex configuration processing using configuration sub-models							
First Named Inventor/Applicant Name:	Nathan E. Little							
Customer Number:	33438							
Filer:	Kent Bryan Chambers							
Filer Authorized By:								
Attorney Docket Number:	T00121							
Receipt Date:	15-APR-2010							
Filing Date:	04-OCT-2004							
Time Stamp:	00:40:33							
Application Type:	Utility under 35 USC 111(a)							

# Payment information:

Document Pagen421 of 50 <sup>1</sup>	ocument Description	File Name	File Size(Bytes)/ Message Digest	Multi Pages Par <b>F/QR</b> Qf <b>1QQ</b> 4			
File Listing:							
Authorized User							
Deposit Account							
RAM confirmation Nur	nber	18191					
Payment was successf	ully received in RAM	\$1110					
Payment Type		Credit Card	Credit Card				
Submitted with Payme	ent	yes	yes				

1	Amendment/Req. Reconsideration-After	T000121_ROA_10_15_09.pdf	142007	no	18
	Non-Final Reject		d9d14bebce004374ddbf51d25d071d719c 5d6772		
Warnings:					
Information:					
2	Extension of Time	T00121_Extension_4_14_10.	413555	no	2
		pdf	055631514eb4b5b342e327c30b9bf36f56d 62f1a		
Warnings:					
Information:					
			29651		
3	Fee Worksheet (PTO-875)	fee-info.pdf	e4112ecc43a0ac9fdcb92e3f3c9c4b8679c4 5de6	no	2
Warnings:					
Information:					
		Total Files Size (in bytes)	<b>:</b> 5	85213	
characterized Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) an	ledgement Receipt evidences receip d by the applicant, and including pag described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> ication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin	ge counts, where applicable. tion includes the necessary o R 1.54) will be issued in due	It serves as evidence components for a filir	e of receipt : ng date (see	similar to a 37 CFR
National Star If a timely su U.S.C. 371 an national stag <u>New Internat</u> If a new inter an internation and of the In	ge of an International Application un bmission to enter the national stage of other applicable requirements a F ge submission under 35 U.S.C. 371 wi tional Application Filed with the USP mational application is being filed an onal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/RC urity, and the date shown on this Ack	nder 35 U.S.C. 371 of an international applicati orm PCT/DO/EO/903 indicati ill be issued in addition to the <u>TO as a Receiving Office</u> nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	ing acceptance of the e Filing Receipt, in du ion includes the nece of the International course, subject to pres	application le course. ssary comp Application scriptions c	n as a onents for Number oncerning

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

P/	Under the Paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to response of the paperwork Reduction Act of 1995, no persons are required to						pplication or l	f information unle Docket Number 7,919	Fil	plays a valid ing Date )4/2004	OMB control number.
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	FOR	N	JMBER FIL	.ED NUI	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),	-	N/A		N/A		N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =		OR	X \$ =	
	EPENDENT CLAIM CFR 1.16(h))			inus 3 = *			X \$ =			X \$ =	
	APPLICATION SIZE 37 CFR 1.16(s))	FEE shee is \$2 addit	ts of pape 50 (\$125 ional 50 s	ation and drawing er, the application for small entity) sheets or fraction a)(1)(G) and 37	n size fee due for each n thereof. See						
	MULTIPLE DEPEN	IDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))							
* If t	he difference in colu	umn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL	
	APP	(Column 1)	AMENC	ED – PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ILL ENTITY
AMENDMENT	04/15/2010	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
OME	Total (37 CFR 1.16(i))	* 46	Minus	** 50	= 0		X \$ =		OR	X \$52=	0
Ľ Ľ	Independent (37 CFR 1.16(h))	* 7	Minus	***7	= 0		X \$ =		OR	X \$220=	0
AME	Application Si	ze Fee (37 CFR 1	.16(s))								
		ITATION OF MULTIF	LE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))				OR		
						•	TOTAL ADD'L FEE		OR	total Add'l Fee	0
		(Column 1)		(Column 2)	(Column 3)						
T		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
л Ш	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
DM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
AMENDMENT	Application Si	ze Fee (37 CFR 1	.16(s))								
AM		ITATION OF MULTIF	LE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))				OR		
	he entry in column		,			. 1	TOTAL ADD'L FEE Legal Ir	nstrument Ex	OR	TOTAL ADD'L FEE er:	
***	the "Highest Number f the "Highest Numb	er Previously Paid	For" IN T	HIS SPACE is less	s than 3, enter "3".		/SĂNDF	RA L. TUCKEI	RSMI		
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process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is reduired to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE

05/28/2010



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

33438 7590

HAMILTON & TERRILE, LLP P.O. BOX 203518 AUSTIN, TX 78720 EXAMINER

COUGHLAN, PETER D

ART UNIT PAPER NUMBER

2129 DATE MAILED: 05/28/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/957,919	10/04/2004	Nathan E. Little	T00121	9162

TITLE OF INVENTION: COMPLEX CONFIGURATION PROCESSING USING CONFIGURATION SUB-MODELS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$O	\$O	\$1510	08/30/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



Page 1 of 3

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

appropriate. All further	correspondence includined below or directed other	ng the Patent, advance o	orders and notification of	maintenance fees v	vill be mailed to the currer	should be completed where it correspondence address as parate "FEE ADDRESS" for		
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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/957,919	10/04/2004		Nathan E. Little		T00121	9162		
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/957,919	10/04/2004	Nathan E. Little	T00121	9162
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HAMILTON & T	FERRILE, LLP		COUGHLA	N, PETER D
P.O. BOX 203518	·		ART UNIT	PAPER NUMBER
AUSTIN, TX 7872	20		2129 DATE MAILED: 05/28/201	0

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)	
	10/957,919	LITTLE ET AL.	
Notice of Allowability	Examiner	Art Unit	
	PETER COUGHLAN	2129	
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap ) or other appropriate communication IGHTS. This application is subject t	plication. If not include will be mailed in due of	ed course. <b>THIS</b>
1. This communication is responsive to $4/15/2010$ .			
2. 🔀 The allowed claim(s) is/are <u>1,3-15,17,19-30 and 32-50 rer</u>	numbered claims 1-46.		
<ul> <li>3. Acknowledgment is made of a claim for foreign priority u</li> <li>a) All</li> <li>b) Some*</li> <li>c) None</li> <li>of the:</li> <li>1. Certified copies of the priority documents have</li> <li>2. Certified copies of the priority documents have</li> <li>3. Copies of the certified copies of the priority documents documents</li> </ul>	e been received. e been received in Application No		ion from the
International Bureau (PCT Rule 17.2(a)).	coments have been received in this	national stage applicat	
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the req	uirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which giv			OTICE OF
<ul> <li>5. CORRECTED DRAWINGS ( as "replacement sheets") mutation (a) including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date</li></ul>	son's Patent Drawing Review(PTO s Amendment / Comment or in the 0 .84(c)) should be written on the drawi	Office action of ngs in the front (not the	back) of
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			Note the
Attachment(s)         1. □ Notice of References Cited (PTO-892)         2. □ Notice of Draftperson's Patent Drawing Review (PTO-948)         3. □ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date         4. □ Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. ☐ Notice of Informal F 6. ☐ Interview Summary Paper No./Mail Da 7. ⊠ Examiner's Amend 8. ⊠ Examiner's Stateme 9. ☐ Other	(PTO-413), te ment/Comment	wance
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## Examiner's Amendment

1. An Examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Claim 17 of the application has been amended as follows:

17.(Currently Amended) The computer system of claim [16]<u>15</u> wherein the one or more configurations queries relate to a configuration completion problem.

# Allowable Subject Matter

2. The following is an Examiner's statement of reason for allowance: Claims 21-52, 55 and 56 are considered allowable since when reading the claims in light of the specification, as per the MPEP §2111.01 or Toro Co. v. White Consolidated Industries Inc., 199 F.3d 1295, 1301, 53 USPQ2d 1065, 1069 (Fed. Cir. 1999), none of the references of record alone or in combination disclose or suggest the combination of limitations specified in the independent claims including, at least:

Claims 1, 14, 15, 29, 30, 44 and 45;

...dividing one or more configuration queries into multiple configuration sub-queries, wherein the multiple configuration sub-queries represent the one or more configuration queries; processing each sub-query using at least one configuration sub-model per sub-

Page 2

Application/Control Number: 10/957,919 Art Unit: 2129

query, wherein each configuration sub-model collectively models the configurable product and each configuration sub-models includes...the processing of each sub-query using at least one configuration sub-model per sub-query...

3. A practical application for the invention is disclosed in paragraph 0003 which relates to a configuration model which relates to an automobile.

4. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Peter Coughlan whose telephone number is (571) 272-5990, Monday through Friday from 7:15 a.m. to 3:45 p.m. or contact the Supervisor Mr. Donald Sparks at (571) 272-4201.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mr. Peter Coughlan whose telephone number is (571)272-5990. The examiner can normally be reached on Mon-Fri 7am-3:30pm.

Page 3

Application/Control Number: 10/957,919 Art Unit: 2129

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mr. Donald Sparks can be reached on 571-272-4201 The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/PETER COUGHLAN/ Examiner, Art Unit 2129 5/11/2010 /Donald Sparks/ Supervisory Patent Examiner, Art Unit 2129

	Application/Control No.       Applicant(s)/Patent Under Reexamination         Index of Claims       10957919       LITTLE ET AL.         Examiner       Art Unit         PETER COUGHLAN       2129         Rejected       -       Cancelled       N       Non-Elected       A       Appleal														
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Page 432 of 507

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# BIB DATA SHEET

#### **CONFIRMATION NO. 9162**

SERIAL NUMBER	FILING or	371(c)		CLASS	GR	ROUP ART UNIT ATTORNEY DOCKET					
10/957,919	<b>DAT</b> 10/04/2	- 1		706		2129	-		<b>NO.</b> T00121		
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APPLICANTS Nathan E. Little, Austin, TX; Brandon M. Beck, Austin, TX; Brian K. Showers, Cedar Park, TX; ** CONTINUING DATA **********************************											
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#### EAST Search History

#### EAST Search History (Prior Art)

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		"5825651".pn. and part\$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:53
		"5825651".pn. and sub-\$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:51
		"5825651".pn. and sub\$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:50
		"5825651".pn. and over\$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:48
		"5825651".pn. and sub\$	US- PGPUB; USPAT	OR	ON	2009/09/10 09:17
		@pd<"20041004" and multimedia and ("knowledge base" or knowledgebase) and structure and query and (subquery or sub-query or "sub query)	US- PGPUB; USPAT	OR	ON	2007/04/21 10:56
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Page 434 of 507

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Page 435 of 507

S1	4	@pd<"20041004" and multimedia and ("knowledge base" or knowledgebase) and structure and query and (subquery or sub-query or "sub query")	US- PGPUB; USPAT	OR	ON	2007/04/21 10:56
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S5	6	@pd<"20041004" and (processor or cup) and rule and specifcation and element and (database or "data base") and overlap	US- PGPUB; USPAT	OR	ON	2007/04/21 10:59
S6	14	@pd<"20041004" and (common with range) and (combining with average \$) and matching	US- PGPUB; USPAT	OR	ON	2007/04/21 11:00
S7	12673	@pd<"20041004" and retrieving and images and requirement	US- PGPUB; USPAT	OR	ON	2007/04/21 11:01
S8	1834	@pd<"20041004" and (database with retrieving) and images and requirement	US- PGPUB; USPAT	OR	ON	2007/04/21 11:01
S9	620	@pd<"20041004" and (database with retrieving) and (database with image) and requirement	US- PGPUB; USPAT	OR	ON	2007/04/21 11:02
S10	197	@pd<"20041004" and ((model with configuration) with problem)	US- PGPUB; USPAT	OR	ON	2007/12/21 07:55

## Page 436 of 507

S11	2	<pre>@pd&lt;"20041004" and ((model with configuration) with problem) and (submodel or sub-model or "sub model")</pre>	US- PGPUB; USPAT	OR	ON	2007/04/21 11:04
S12	3	@pd<"20041004" and (((model with configuration) with problem) same rule)	US- PGPUB; USPAT	OR	ON	2007/04/21 11:04
S13	0	710/8.ccls and @pd<"20041004"	US- PGPUB; USPAT	OR	ON	2007/04/21 11:04
S14	1023	710/8.ccls. and @pd<"20041004"	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S15	289	710/8.ccls. and @pd<"20041004" and model	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S16	242	710/8.ccls. and @pd<"20041004" and model and configuration	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S17	39	710/8.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S18	9	703/25.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S19	61	703/25.ccls. and @pd<"20041004" and model and configuration	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S20	85	700/30.ccls. and @pd<"20041004" and model and configuration	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S21	28	700/30.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:05
S22	95	706/46.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:06
S23 112		706/47.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:06

# Page 437 of 507

S24	7	706/6.ccls. and @pd<"20041004" and model and configuration and rule	US- PGPUB; USPAT	OR	ON	2007/04/21 11:06	
S25	372	S24 or S23 or S22 or S21 or S20 or S19 or S17	US- PGPUB; USPAT	OR	ON	2007/04/21 11:07	
S26	1309	@pd<"20041004" and dell. as.	US- PGPUB; USPAT	OR	ON	2007/12/24 09:50	
S27	2	@pd<"20041004" and dell. as. and (internet with sale)	US- PGPUB; USPAT	OR	ON	2007/12/24 08:07	
S28	0	"09344391".pn.	US- PGPUB; USPAT			2007/12/21 07:59	
S29	0	0 "9344391".pn. US- OR PGPUB; USPAT		ON	2007/12/21 08:21		
S30	0	"09009401".pn. US- PGPUB; USPAT		OR	ON	2007/12/21 08:21	
S31	0	"9009401".pn.	US- PGPUB; USPAT	OR	ON	2007/12/21 08:22	
S32	8	wyngarden.in.	US- PGPUB; USPAT	OR	ON	2007/12/21 08:22	
S33	13	@pd<"20041004" and dell. as. and (internet with configuration)	US- PGPUB; USPAT	OR	ON	2007/12/21 08:46	
S34	1	"6167383".pn.	US- PGPUB; USPAT	OR	ON	2007/12/21 10:18	
S35	0	"6167383".pn. and compatab\$	US- PGPUB; USPAT	OR	ON	2007/12/21 10:18	
S36	1	"6167383".pn. and compat \$	US- PGPUB; USPAT	OR	ON	2007/12/21 10:18	
S37	286	@pd<"20041004" and dell. as. and (computer with configuration)	US- PGPUB; USPAT	OR	ON	2007/12/24 08:07	
S38 15		@pd<"20041004" and dell. as. and (computer with configuration) and ordering	US- PGPUB; USPAT	OR	ON	2007/12/24 08:07	

# Page 438 of 507

S39	1	@pd<"20041004" and dell. as. and "706".clas.	US- PGPUB; USPAT	OR	ON	2007/12/24 09:50
S40	511	706/20.ccls. and @pd<"20041004"	US- PGPUB; USPAT	OR	ON	2007/12/24 09:51
S41	319	706/20.ccls. and @pd<"20041004" and (model\$ or silulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:51
S42	340	706/20.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:51
S43	2503	707/102.ccls. and @pd<"20041004"	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S44	1208	707/102.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S45	1368	707/1.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S46	1690	707/10.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S47	789	707/4.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S48	1325	705/26.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:52
S49	31	705/56.ccls. and @pd<"20041004" and (model\$ or simulation)	US- PGPUB; USPAT	OR	ON	2007/12/24 09:53
S50	371	S49 or S42	US- PGPUB; USPAT	OR	ON	2007/12/24 09:53
S51	1144	@pd<"20041004" and ((web adj (design or page)) same classes)	US- PGPUB; USPAT	OR	ON	2009/09/09 14:09
S52	432	@pd<"20041004" and ((web adj (design or page)) with classes)	US- PGPUB; USPAT	OR	ON	2009/09/09 14:09
S53	11	@pd<"20041004" and ((web.ab. adj (design or page)) with classes)	US- PGPUB; USPAT	OR	ON	2009/09/09 14:09
S54	151	@pd<"20041004" and ((web adj (design or page)) with "back end")	US- PGPUB; USPAT	OR	ON	2009/09/09 14:11

# Page 439 of 507

## **FORD 1004**

file:///Cl/Documents%20and%20Settings/pcoughlan/My%20...7919/EASTSearchHistory.10957919\_AccessibleVersion.htm (6 of 9)5/11/2010 1:52:30 PM

S55	0	@pd<"20041004" and (("web site" adj (design or page)) with "back end")	US- PGPUB; USPAT	OR	ON	2009/09/09 14:25
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S57	432	@pd<"20041004" and ((web adj (design or page)) with class)	US- PGPUB; USPAT	OR	ON	2009/09/09 14:25
S58	1	@pd<"20041004" and ((web adj (design or page)) with (submodel or sub-model or "sub model"))	US- PGPUB; USPAT	OR	ON	2009/09/09 14:26
S59	937	937 @pd<"20041004" and (web adj (design or page)) and (product with configuration)		OR	ON	2009/09/09 14:29
S60	63 @pd<"20041004" and (web adj (design or page)) and (page with (product with configuration))		US- PGPUB; USPAT	OR	ON	2009/09/09 14:29
S61	2	"5825651".pn. or "5515524".pn.	US- PGPUB; USPAT	OR	ON	2009/09/09 14:33
S62	49	@pd<"20041004" and trilogy.as.	US- PGPUB; USPAT	OR	ON	2009/09/09 14:50
S64	1	"5825651".pn. and input	US- PGPUB; USPAT	OR	ON	2009/09/09 15:14
S65	0	"5825651".pn. and web	US- PGPUB; USPAT	OR	ON	2009/09/09 15:15
S66	0	"5825651".pn. and internet	US- PGPUB; USPAT	OR	ON	2009/09/09 15:15
S67	1	"5825651".pn. and interface	US- PGPUB; USPAT	OR	ON	2009/09/09 15:15
S68	1	"5825651".pn. and product	US- PGPUB; USPAT	OR	ON	2009/09/10 09:04
S69	0	"5825651".pn. and submodel	US- PGPUB; USPAT	OR	ON	2009/09/10 09:17

# Page 440 of 507

S70	0 "5825651".pn. and sub- model		US- PGPUB; USPAT	OR	ON	2009/09/10 09:17
S71	0	"5825651".pn. and "sub model"	US- PGPUB; USPAT	OR	ON	2009/09/10 09:17
S72	1	"5825651".pn. and group	US- PGPUB; USPAT	OR	ON	2009/09/10 09:57
S73	1	"5825651".pn. and display	US- PGPUB; USPAT	OR	ON	2009/09/10 11:04
S74	0	"6167383".pn. and compatable	US- PGPUB; USPAT	OR	ON	2009/09/24 12:45
S75	1	"6167383".pn. and US- OR compatible PGPUB; USPAT		ON	2009/09/24 12:47	
S76	1	"20010032100"	US- PGPUB; USPAT	OR	ON	2009/09/24 14:34
S77	1	"5825651".pn.	US- PGPUB; USPAT	OR	ON	2009/10/08 10:15
S78	1	"5825651".pn. and valid\$	US- PGPUB; USPAT	OR	ON	2009/10/08 10:58
S79	0	"5825651".pn. and overlap \$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:48
S80	0	"5825651".pn. and duplic\$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:48
S81	1	"5825651".pn. and informa \$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:48
S82	0	"5825651".pn. and sub-q\$	US- PGPUB; USPAT	OR	ON	2009/10/08 11:51
S83	1	"5825651".pn. and part	US- PGPUB; USPAT	OR	ON	2009/10/08 11:53
S84	1	"5825651".pn. and configuration	US- PGPUB; USPAT	OR	ON	2009/10/08 12:11
S85	1	"5825651".pn. and (configuration same product)	US- PGPUB; USPAT	OR	ON	2009/10/08 12:12

# Page 441 of 507

## **FORD 1004**

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S87	865	@pd<"20041004" and (web.ab. with page) and model	US- PGPUB; USPAT	OR	ON	2009/10/08 13:20
S88	456	@pd<"20041004" and (web.ab. with page) and model and configuration	US- PGPUB; USPAT	OR	ON	2009/10/08 13:20
S89	72	@pd<"20041004" and (web.ab. with page) and (model same configuration)	US- PGPUB; USPAT	OR	ON	2009/10/08 13:21
S90	30	@pd<"20041004" and (web.ab. with page) and (model with configuration)	US- PGPUB; USPAT	OR	ON	2009/10/08 13:21
S91	42	S89 not S90	us- Pgpub; Uspat	OR	ON	2009/10/08 13:27
S92	149	700/103.ccls. and @pd<"20041004"	US- PGPUB; USPAT	OR	ON	2009/10/08 14:05

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C:\ Documents and Settings\ pcoughlan\ My Documents\ EAST\ Workspaces\ 10957919.wsp

	Application/Control No.	Applicant(s)/Patent Under Reexamination				
Issue Classification	10957919	LITTLE ET AL.				
	Examiner	Art Unit				
	PETER COUGHLAN	2129				

		ORIGI	NAL							INTERNATIONAL	CLAS	SIF	CAT	ION
	CLASS		;	SUBCLASS		CLAIMED						NON-CLAIMED		
706	706 60			G	0	6	F	17 / 00 (2006.01.01)						
CROSS REFERENCE(S)			G	0	6	N	5 / 04 (2006.01.01)							
CLASS	SUB	CLASS (ONE	E SUBCLAS	S PER BLO	CK)									

	Claims renumbered in the same order as presented by applicant								СР	A C	] T.D.	[	_ R.1.	47	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
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/PETER COUGHLAN/ Examiner.Art Unit 2129	5/11/2010	Total Claims Allowed:			
(Assistant Examiner)	(Date)	46			
/Donald Sparks/ Supervisory Patent Examiner, Art Unit 2129		O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	Fig. 4		

Part of Paper No. 05112010

Page 443 of 507

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	10957919	LITTLE ET AL.
	Examiner	Art Unit
	PETER COUGHLAN	2129

SEARCHED
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Class	Subclass	Date	Examiner
705	@pd<20041004 and 56	12/24/2007	PDC
706	@pd<20041004 and 20	12/24/2007	PDC
706	@pd<20041004 and 8, 6, 28, 45	9/12/2008	PDC
705	@pd<20041004 with query, configuration, model, compatibility and 26	9/12/2008	PDC
705	@pd<20041004 and 103	10/8/2009	PDC
706	60	5/11/2010	PDC

SEARCH NOTES									
Search Notes	Date	Examiner							
East @pd<20041004 and multimedia, knowledge base, structure, query, sub query, model, sub model, answer, sub answer, processor, CPU, Dell, central processing unit, rules, specification, elements, sub-elements, database, overlap, common range, combining answers, matching, retrieving, images, requirements, computer configuration, order, sales, internet	12/24/2007	PDC							
IEEE <2005 Nathan E Little, Brandon M Beck, Brian K Showers, combining answers, matching, retrieving, images, requirements, multimedia, knowledge base, structure, query, sub query, model, sub model, answer, sub answer, processor, CPU, Central processing unit, rules, specification, elements, sub elements, database, overlap, common range	12/24/2007	PDC							
Inventors Nathan E Little, Brandon M Beck, Brian K Showers,	12/24/2007	PDC							
East – @pd<20081004 and validation, enhancement, queries, part, configuration, relation, model, compatibility, sub model, computer, assist,	9/12/2008	PDC							
East – @pd<20041004 and valid, overlap, duplication, information, subset, submodel, part, configuration, product, page, web, model	10/8/2009	PDC							
East @pd<20041004 and dividing, queries, sub-queries, subqueries, sub queries, sub-model, submodel, sub model, using, compatibility, relationship, parts, answer, consolidated, each	5/11/2010	PDC							

INTERFERENCE SEARCH							
Class	Subclass	Date	Examiner				
USPGPub	Independent claim keyword .CLM.	5/11/2010	PDC				

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	10957919	LITTLE ET AL.
	Examiner	Art Unit
	PETER COUGHLAN	2129

ORIGINAL						INTERNATIONAL CLASSIFICATION										
	CLASS SUBCLASS								С	LAIMED		NON-CLAIMED				
706			60			G	0	6	F	17 / 00 (2006.01.01)						
CROSS REFERENCE(S)					G	0	6	N	5 / 04 (2006.01.01)							
CLASS	CLASS SUBCLASS (ONE SUBCLASS PER BLOCK)															
										-						

	Claims renumbered in the same order as presented by applicant					СР	A [	] T.D.	[	<b>R.1.</b>	47				
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/PETER COUGHLAN/ Examiner.Art Unit 2129	5/11/2010	Total Claims Allowed: 46			
(Assistant Examiner)	(Date)				
/Donald Sparks/ Supervisory Patent Examiner, Art Unit 2129		O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	Fig. 4		

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Part of Paper No. 05112010

# Page 446 of 507

# FORD 1004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	REQ	JEST FO		D EXAMINATIO	N(RCE)TRANSMITTA -Web)	L					
Application Number	10957919	Filing Date	2004-10-04	Docket Number (if applicable)	T00121	Art Unit	2129				
First Named Inventor	Nathan E. Little			Examiner Name	Peter D. Coughlan		•				
Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV										
		S	UBMISSION REQ	UIRED UNDER 37	' CFR 1.114						
in which they	were filed unless	applicant ins		pplicant does not wi	nents enclosed with the RCE w sh to have any previously filed						
	y submitted. If a fi on even if this box			any amendments file	d after the final Office action m	nay be con	sidered as a				
□ Co	nsider the argume	ents in the A	ppeal Brief or Reply	Brief previously filed	on						
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			ntified application is a difference of the second sec	•	CFR 1.103(c) for a period of m quired)	nonths					
Other											
				FEES							
🗙 The Dire	The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.         Image: The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 502264										
		SIGNATUR	RE OF APPLICANT	Γ, ATTORNEY, OF	R AGENT REQUIRED						
🗙 Patent	Practitioner Sign	ature									
Applica	ant Signature										

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Signature of Registered U.S. Patent Practitioner						
Signature	/Kent B. Chambers/	Date (YYYY-MM-DD)	2010-08-30				
Name	Kent B. Chambers	Registration Number	38839				

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number		10957919		
Filing Date		2004-10-04		
First Named Inventor Natha		n E. Little		
Art Unit		2129		
Examiner Name Peter		D. Coughlan		
Attorney Docket Number		T00121		
	Filing Date First Named Inventor Art Unit Examiner Name	Filing Date         First Named Inventor       Natha         Art Unit         Examiner Name       Peter		

					PATENTS	Remove
Examiner Initial*	Examiner Cite Initial* No Patent Nu		Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	7200582	B1	2007-04-03	Smith	
	2	7464064	B1	2008-12-09	Smith	
	3	5515524		1996-05-07	Lynch	
	4	5708798		1998-01-13	Lynch et al.	
	5	6002854		1999-12-14	Lynch et al.	
	6	7043407	B2	2006-05-09	Lynch et al.	
	7	6115547		2000-09-05	Ghatate et al.	
	8	6430730	B1	2002-08-06	Ghatate et al.	

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT ))

(Not for submission	under 37	CFR	1.99)
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Application Number		10957919		
Filing Date		2004-10-04		
First Named Inventor Natha		n E. Little		
Art Unit		2129		
Examiner Name Peter		D. Coughlan		
Attorney Docket Number		T00121		

	9	6405308	B1	2002-06	6-11	Gupta et al.					
	10	6675294	B1	2004-01	-06	Gupta et al.					
If you wis	If you wish to add additional U.S. Patent citation information please click the Add button.								Add		
			U.S.P	ATENT	APPLIC	CATION PUBI	LICATIONS		Remove		
Examiner Initial*							ant Passag				
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If you wis	h to ado	d additional U.S. Publis	shed Ap	plication	n citation	n information p	please click the Add	d button	Add		
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Examiner Initial*		Foreign Document Number³	Country Code <sup>2</sup>		Kind Code⁴	Publication Date	Name of Patentee Applicant of cited Document	e or	where Rel	or Relevant	<b>T</b> 5
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If you wis	h to add	d additional Foreign Pa	atent Do	cument	citation	information pl	ease click the Add	button	Add		•
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Examiner Initials*	Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.									T⁵	
	1										
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		10957919		
Filing Date		2004-10-04		
First Named Inventor Natha		n E. Little		
Art Unit		2129		
Examiner Name Peter		D. Coughlan		
Attorney Docket Number		T00121		

EXAMINER SIGNATURE								
Examiner Signature		Date Considered						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
<sup>1</sup> See Kind Codes of USPT	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter offic	e that issued the docume	nt, by the two-letter code (WIPO					

See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.
 <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE	Application Number		10957919	
	Filing Date		2004-10-04	
	First Named Inventor Natha		nan E. Little	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2129	
	Examiner Name Peter		ter D. Coughlan	
	Attorney Docket Number		T00121	

CERTIFICATION	STATEMENT
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X None

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Kent B. Chambers/	Date (YYYY-MM-DD)	2010-08-30
Name/Print	Kent B. Chambers	Registration Number	38839

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal						
Application Number:	10	957919				
Filing Date:	04	-Oct-2004				
Title of Invention:	COMPLEX CONFIGURATION PROCESSING USING CONFIGURATION SUB- MODELS					
First Named Inventor/Applicant Name:	Nathan E. Little					
Filer:	Ke	nt Bryan Chambers/	/Terri Munoz			
Attorney Docket Number:	TO	0121				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:	_					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for continued examination	1801	1	810	810
	Tot	al in USD	) (\$)	810

Electronic Ac	knowledgement Receipt
EFS ID:	8317589
Application Number:	10957919
International Application Number:	
Confirmation Number:	9162
Title of Invention:	COMPLEX CONFIGURATION PROCESSING USING CONFIGURATION SUB- MODELS
First Named Inventor/Applicant Name:	Nathan E. Little
Customer Number:	33438
Filer:	Kent Bryan Chambers/Terri Munoz
Filer Authorized By:	Kent Bryan Chambers
Attorney Docket Number:	T00121
Receipt Date:	30-AUG-2010
Filing Date:	04-OCT-2004
Time Stamp:	14:50:25
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$810
RAM confirmation Number	1034
Deposit Account	502264
Authorized User	CHAMBERS,KENT B
The Director of the USPTO is hereby authorized to c	harge indicated fees and credit any overpayment as follows:
Charge any Additional Fees required under 37 C.f	R. Section 1.16 (National application filing, search, and examination fees)

Page 457° of 507 onal Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing FORD 1004

-	any Additional Fees required under 37 C.F.F any Additional Fees required under 37 C.F.F		s)				
File Listin	g:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Request for Continued Examination (RCE)	T00121_RCETransmittal.pdf	697361 2948007aa8f44518a34fb5489875e33892d 7a6ae	no	3		
Warnings:				I			
Information							
2	Information Disclosure Statement (IDS)	T00121_IDS.pdf	612253	no	5		
	Filed (SB/08)	_ '	f8aaff4219b55857bda240a805c8c1a087d7 1962	oda240a805c8c1a087d7			
Warnings:			1				
Information							
3	Fee Worksheet (PTO-875)	e Worksheet (PTO-875) fee-info.pdf		no	2		
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characterize Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Interna</u> If a new inter	ledgement Receipt evidences receipt d by the applicant, and including pag described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> lication is being filed and the applicat nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filing <u>ge of an International Application un</u> bmission to enter the national stage nd other applicable requirements a Fo ge submission under 35 U.S.C. 371 will <u>tional Application Filed with the USP</u> rnational application is being filed an onal filing date (see PCT Article 11 and ternational Filing Date (Form PCT/RC	tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>der 35 U.S.C. 371</u> of an international applicat orm PCT/DO/EO/903 indicat Il be issued in addition to th <u>TO as a Receiving Office</u> ad the international applicat d MPEP 1810), a Notificatior	It serves as evidence components for a filin course and the date s ing acceptance of the e Filing Receipt, in du tion includes the nece of the International J	of receipt s g date (see hown on th the conditic application e course. ssary comp Application	imilar to 37 CFR is ons of 35 as a onents fo Number		



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

33438 7590

HAMILTON & TERRILE, LLP P.O. BOX 203518

09/09/2010

AUSTIN, TX 78720

EXAMINER

COUGHLAN, PETER D

ART UNIT PAPER NUMBER

2129 DATE MAILED: 09/09/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/957,919	10/04/2004	Nathan E. Little	T00121	9162

TITLE OF INVENTION: COMPLEX CONFIGURATION PROCESSING USING CONFIGURATION SUB-MODELS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$O	\$O	\$1510	12/09/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



Page 1 of 3

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

maintenance fee notifica			(a) specifying a new corre	e: A certificate of	mailing	can only be used for	rate "FEE ADDRESS" for r domestic mailings of the or any other accompanying nt or formal drawing, must
33438	7590 09/09	/2010	hav	e its own certificate	e of maili	ing or transmission.	n of formal drawing, must
	& TERRILE, LLP 18		I he Sta add trar	reby certify that th	is Fee(s)	of Mailing or Transm Transmittal is being cient postage for first SSUE FEE address a ) 273-2885, on the da	nission deposited with the United t class mail in an envelope above, or being facsimile ate indicated below.
							(Depositor's name)
			L				(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTOR	NEY DOCKET NO.	CONFIRMATION NO.
10/957,919 TITLE OF INVENTION	10/04/2004 : COMPLEX CONFIGU	IRATION PROCESSIN	Nathan E. Little IG USING CONFIGURATI	ON SUB-MODEL	S	T00121	9162
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0		\$1510	12/09/2010
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	]			
COUGHLAI	N, PETER D	2129	706-060000	-			
<ul> <li>"Fee Address" ind PTO/SB/47; Rev 03-C Number is required.</li> <li>ASSIGNEE NAME A PLEASE NOTE: Unl</li> </ul>	ND RESIDENCE DATA less an assignee is ident h in 37 CFR 3.11. Comp	" Indication form ed. Use of a Customer A TO BE PRINTED ON ified below. no assigne	registered attorney or	vely, and the namy rays or agents. If printed. vely, v	a member les of up no name	r a 2 to is 3 entified below, the do	ocument has been filed for
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	are submitted: Jo small entity discount p # of Copies	permitted)	<ul> <li>4b. Payment of Fee(s): (Pleader in the second sec</li></ul>	rd. Form PTO-2038 v authorized to char	is attac	hed. auired fee(s), any def	,
	s SMALL ENTITY stat	is. See 37 CFR 1.27.	b. Applicant is no lor				
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if requered records of the United Sta	uired) will not be accept tes Patent and Tradema	ted from anyone other than rk Office.	he applicant; a regi	istered at	torney or agent; or the	e assignee or other party in
Authorized Signature				Date			
Typed or printed nam	e			Registration N	No		
This collection of inform an application. Confiden submitting the completed this form and/or suggest Box 1450, Alexandria, V Alexandria Viroina 223	tiality is governed by 35 d application form to the ions for reducing this but virginia 22313-1450. DC	FR 1.311. The informat U.S.C. 122 and 37 CFI USPTO. Time will var rden, should be sent to t NOT SEND FEES OR	tion is required to obtain or R 1.14. This collection is es ry depending upon the indi the Chief Information Offic & COMPLETED FORMS T	retain a benefit by t timated to take 12 f vidual case. Any co er, U.S. Patent and O THIS ADDRESS	the public minutes to mments Tradema S. SEND	c which is to file (and to complete, including on the amount of tin urk Office, U.S. Depa TO: Commissioner for	by the USPTO to process) g gathering, preparing, and ne you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450,

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	ited States Pate	NT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and ' Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/957,919	10/04/2004	Nathan E. Little	T00121	9162
33438 75	90 09/09/2010		EXAN	IINER
HAMILTON & T	FERRILE, LLP		COUGHLA	N, PETER D
P.O. BOX 203518	·		ART UNIT	PAPER NUMBER
AUSTIN, TX 7872	20		2129 DATE MAILED: 09/09/201	0

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)	
	10/957,919	LITTLE ET AL.	
Notice of Allowability	Examiner	Art Unit	
	PETER COUGHLAN	2129	
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	plication. If not includ will be mailed in due	ed course. <b>THIS</b>
1. This communication is responsive to <u>8/30/2010</u> .			
2. 🔀 The allowed claim(s) is/are <u>1,3-15,17,19-30 and 32-50</u> .			
<ul> <li>3.  Acknowledgment is made of a claim for foreign priority ur</li> <li>a) All b) Some* c) None of the:</li> <li>1. Certified copies of the priority documents have</li> <li>2. Certified copies of the priority documents have</li> <li>3. Copies of the certified copies of the priority documents have</li> <li>International Bureau (PCT Rule 17.2(a)).</li> <li>* Certified copies not received:</li> </ul>	e been received. e been received in Application No		tion from the
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the rea	quirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			IOTICE OF
<ul> <li>5. CORRECTED DRAWINGS ( as "replacement sheets") musical constraints of the cons</li></ul>	on's Patent Drawing Review(PTO- s Amendment / Comment or in the C .84(c)) should be written on the drawin	Office action of	e back) of
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT	sit of BIOLOGICAL MATERIAL r	nust be submitted. I	Note the
<ul> <li>Attachment(s)</li> <li>1. ☐ Notice of References Cited (PTO-892)</li> <li>2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 8/30/2010</li> <li>4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	5. ☐ Notice of Informal P 6. ☐ Interview Summary Paper No./Mail Dat 7. ⊠ Examiner's Amendr 8. ⊠ Examiner's Stateme 9. ☐ Other	(PTO-413), te nent/Comment	owance
U.S. Patent and Trademark Office			

#### Examiner's Amendment

1. An Examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Claim 17 of the application has been amended as follows:

17.(Currently Amended) The computer system of claim [16]<u>15</u> wherein the one or more configurations queries relate to a configuration completion problem.

#### Allowable Subject Matter

2. The following is an Examiner's statement of reason for allowance: Claims 21-52, 55 and 56 are considered allowable since when reading the claims in light of the specification, as per the MPEP §2111.01 or Toro Co. v. White Consolidated Industries Inc., 199 F.3d 1295, 1301, 53 USPQ2d 1065, 1069 (Fed. Cir. 1999), none of the references of record alone or in combination disclose or suggest the combination of limitations specified in the independent claims including, at least:

Claims 1, 14, 15, 29, 30, 44 and 45;

...dividing one or more configuration queries into multiple configuration sub-queries, wherein the multiple configuration sub-queries represent the one or more configuration queries; processing each sub-query using at least one configuration sub-model per subApplication/Control Number: 10/957,919 Art Unit: 2129

query, wherein each configuration sub-model collectively models the configurable product and each configuration sub-models includes...the processing of each sub-query using at least one configuration sub-model per sub-query...

3. A practical application for the invention is disclosed in paragraph 0003 which relates to a configuration model which relates to an automobile.

4. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Peter Coughlan whose telephone number is (571) 272-5990, Monday through Friday from 7:15 a.m. to 3:45 p.m. or contact the Supervisor Mr. Donald Sparks at (571) 272-4201.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mr. Peter Coughlan whose telephone number is (571)272-5990. The examiner can normally be reached on Mon-Fri 7am-3:30pm.

Page 3

Application/Control Number: 10/957,919 Art Unit: 2129

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mr. Donald Sparks can be reached on 571-272-4201 The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/PETER COUGHLAN/ Examiner, Art Unit 2129 5/11/2010 /Michael B. Holmes/ Primary Examiner, Art Unit 2129

		Ар	plication/	Contr	ol N	0.	Applic Reexa	ant(s mina	s)/Pat tion	ent Unde	r	
Index of C	109	957919					LITTLE ET AL.					
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Claims renumbered in the same order as presented by applicant CPA T.D. R.1.47												
CLAIM						DATE						
Final Original	09/12/2008 10/	/08/2009 (	05/11/2010	09/03/2	2010							
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25 24	√	✓	=	=								
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36 36	✓	~	=	=								

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Part of Paper No.: 09032010

Page 467 of 507

FORD 1004

	Application/Control No.	Applicant(s)/Patent Under Reexamination					
Issue Classification	10957919	LITTLE ET AL.					
	Examiner	Art Unit					
	PETER COUGHLAN	2129					

	ORIGINAL					INTERNATIONAL CLASSIFICATION									
	CLASS		ę	SUBCLASS					С	LAIMED		NON-CLAIMED			CLAIMED
706	60				G	0	6	F	17 / 00 (2006.01.01)						
	CR	OSS REFI	REFERENCE(S)			G	0	6	N	5 / 04 (2006.01.01)					
CLASS	CLASS SUBCLASS (ONE SUBCLASS PER BLOCK)		CK)												
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	Claims renumbered in the same order as presented by applicant								СР	A C	] T.D.	[	🔲 R.1.47			
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18	15		31	13	47											
	16	32	32	14	48											

/PETER COUGHLAN/ Examiner.Art Unit 2129	9/3/2010	Total Claims Allowed:				
(Assistant Examiner)	(Date)	46				
/Michael B. Holmes/ Primary Examiner, Art Unit 2129	9/3/10	O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1	Fig. 4			

Part of Paper No. 09032010

Page 468 of 507

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	10957919	LITTLE ET AL.
	Examiner	Art Unit
	PETER COUGHLAN	2129

Class	Subclass	Date	Examiner
705	@pd<20041004 and 56	12/24/2007	PDC
706	@pd<20041004 and 20	12/24/2007	PDC
706	@pd<20041004 and 8, 6, 28, 45	9/12/2008	PDC
705	@pd<20041004 with query, configuration, model, compatibility and 26	9/12/2008	PDC
705	@pd<20041004 and 103	10/8/2009	PDC
706	60	5/11/2010	PDC

SEARCH NOTES					
Search Notes	Date	Examiner			
East @pd<20041004 and multimedia, knowledge base, structure, query, sub query, model, sub model, answer, sub answer, processor, CPU, Dell, central processing unit, rules, specification, elements, sub-elements, database, overlap, common range, combining answers, matching, retrieving, images, requirements, computer configuration, order, sales, internet	12/24/2007	PDC			
IEEE <2005 Nathan E Little, Brandon M Beck, Brian K Showers, combining answers, matching, retrieving, images, requirements, multimedia, knowledge base, structure, query, sub query, model, sub model, answer, sub answer, processor, CPU, Central processing unit, rules, specification, elements, sub elements, database, overlap, common range	12/24/2007	PDC			
Inventors Nathan E Little, Brandon M Beck, Brian K Showers,	12/24/2007	PDC			
East @pd<20081004 and validation, enhancement, queries, part, configuration, relation, model, compatibility, sub model, computer, assist,	9/12/2008	PDC			
East @pd<20041004 and valid, overlap, duplication, information, subset, submodel, part, configuration, product, page, web, model	10/8/2009	PDC			
East @pd<20041004 and dividing, queries, sub-queries, subqueries, sub queries, sub-model, submodel, sub model, using, compatibility, relationship, parts, answer, consolidated, each	5/11/2010	PDC			
East 706/46 w/model & w/query	9/3/2010	PDC			
East sub queries, subqueries, subquer\$, divid\$4, configuratioon, model, part, relationship, submodel, sub model, sub-model, collectively, model, compatibility, relationships, answer, combine	9/3/2010	PDC			

## INTERFERENCE SEARCH

Class	Subclass	Date	Examiner
USPGPub	Independent claim keyword .CLM.	5/11/2010	PDC
USPGPub	Independent claim keyword .CLM.	9/3/2010	PDC

## **EAST Search History**

## EAST Search History (Prior Art)

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		"5825651".pn. and sub-\$	US-PGPUB; USPAT	OR	ON	2009/10/08 11:51
		"5825651".pn. and sub\$	US-PGPUB; USPAT	OR	ON	2009/10/08 11:50
		"5825651".pn. and over\$	US-PGPUB; USPAT	OR	ON	2009/10/08 11:48
		"5825651".pn. and sub\$	US-PGPUB; USPAT	OR	ON	2009/09/10 09:17
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L2	0	1 and "sub queries"	US-PGPUB; USPAT	OR	ON	2010/09/03 13:22
L3	0	11 and "sub-queries"	US-PGPUB; USPAT	OR	ON	2010/09/03 13:22
L4	0	11 and subqueries	US-PGPUB; USPAT	OR	ON	2010/09/03 13:22
L5	0	I1 and subquer\$	US-PGPUB; USPAT	OR	ON	2010/09/03 13:23

Page 471 of 507

file:///Cl/Documents%20and%20Settings/pcoughlan/My%20...7919/EASTSearchHistory.10957919\_AccessibleVersion.htm (1 of 10)9/3/2010 3:10:19 PM

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L14	549	706/47.ccls. and query	US-PGPUB; USPAT	OR	ON	2010/09/03 14:42
L15	887	706/47.ccls. and model	US-PGPUB; USPAT	OR	ON	2010/09/03 14:42
L16	368	706/47.ccls. and model and query	US-PGPUB; USPAT	OR	ON	2010/09/03 14:42
L17	331	@pd<"20041004" and ((part.clm. with relationship) with configuration)	US-PGPUB; USPAT	OR	ON	2010/09/03 14:59
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# Page 472 of 507

<b>S</b> 5	6	@pd<"20041004" and (processor or cup) and rule and specifcation and element and (database or "data base") and overlap	US-PGPUB; USPAT	OR	ON	2007/04/21 10:59
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# Page 473 of 507

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S9	620	@pd<"20041004" and (database with retrieving) and (database with image) and requirement	US-PGPUB; USPAT	OR	ON	2007/04/21 11:02
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S16	242	710/8.ccls. and @pd<"20041004" and model and configuration	US-PGPUB; USPAT	OR	ON	2007/04/21 11:05
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Page 474 of 507

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# Page 475 of 507

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# Page 476 of 507

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S72	1	"5825651".pn. and group	US-PGPUB; USPAT	OR	ON	2009/09/10 09:57

# Page 477 of 507

## **FORD 1004**

file:///Cl/Documents%20and%20Settings/pcoughlan/My%20...7919/EASTSearchHistory.10957919\_AccessibleVersion.htm (7 of 10)9/3/2010 3:10:19 PM

S73	1	"5825651".pn. and display	US-PGPUB; USPAT	OR	ON	2009/09/10 11:04
S74	0	"6167383".pn. and compatable	US-PGPUB; USPAT	OR	ON	2009/09/24 12:45
S75	1	"6167383".pn. and compatible	US-PGPUB; USPAT	OR	ON	2009/09/24 12:47
S76	1	"20010032100"	US-PGPUB; USPAT	OR	ON	2009/09/24 14:34
S77	1	"5825651".pn.	US-PGPUB; USPAT	OR	ON	2009/10/08 10:15
S78	1	"5825651".pn. and valid\$	US-PGPUB; USPAT	OR	ON	2009/10/08 10:58
S79	0	"5825651".pn. and overlap \$	US-PGPUB; USPAT	OR	ON	2009/10/08 11:48
S80	0	"5825651".pn. and duplic\$	US-PGPUB; USPAT	OR	ON	2009/10/08 11:48
S81	1	"5825651".pn. and informa \$	US-PGPUB; USPAT	OR	ON	2009/10/08 11:48
S82	0	"5825651".pn. and sub-q\$	US-PGPUB; USPAT	OR	ON	2009/10/08 11:51
S83	1	"5825651".pn. and part	US-PGPUB; USPAT	OR	ON	2009/10/08 11:53
S84	1	"5825651".pn. and configuration	US-PGPUB; USPAT	OR	ON	2009/10/08 12:11
<b>S</b> 85	1	"5825651".pn. and (configuration same product)	US-PGPUB; USPAT	OR	ON	2009/10/08 12:12
S86	2982	@pd<"20041004" and (web.ab. with page)	US-PGPUB; USPAT	OR	ON	2009/10/08 13:19
S87	865	@pd<"20041004" and (web.ab. with page) and model	US-PGPUB; USPAT	OR	ON	2009/10/08 13:20
S88	456	@pd<"20041004" and (web.ab. with page) and model and configuration	US-PGPUB; USPAT	OR	ON	2009/10/08 13:20
S89	72	@pd<"20041004" and (web.ab. with page) and (model same configuration)	US-PGPUB; USPAT	OR	ON	2009/10/08 13:21
S90	30	@pd<"20041004" and (web.ab. with page) and (model with configuration)	US-PGPUB; USPAT	OR	ON	2009/10/08 13:21
S91	42	S89 not S90	US-PGPUB; USPAT	OR	ON	2009/10/08 13:27
S92	149	700/103.ccls. and @pd< "20041004"	US-PGPUB; USPAT	OR	ON	2009/10/08 14:05

# Page 478 of 507

# **FORD 1004**

file:///Cl/Documents%20and%20Settings/pcoughlan/My%20...7919/EASTSearchHistory.10957919\_AccessibleVersion.htm (8 of 10)9/3/2010 3:10:19 PM

S93	278	706/60.ccls.	US-PGPUB; USPAT	OR	ON	2010/05/11 13:32
S94	3	3 @pd<"20041004" and (((sub-queries or subqueries or "sub queries") with dividing. clm.) with queries)		OR	ON	2010/05/11 13:41
<b>S</b> 95	5 5 @pd<"20041004" and (((sub-queries or subqueries or "sub queries") with dividing) with queries)		US-PGPUB; USPAT	OR	ON	2010/05/11 13:42
S96	2	S95 not S94	US-PGPUB; USPAT	OR	ON	2010/05/11 13:42
S97	0	<pre>@pd&lt;"20041004" and (((sub-queries or subqueries or "sub queries") with using.clm.) with (sub-model or submodel or "sub model"))</pre>	US-PGPUB; USPAT	OR	ON	2010/05/11 13:43
S98	0	<pre>@pd&lt;"20041004" and (((sub-queries or subqueries or "sub queries") with using) with (sub-model or submodel or "sub model"))</pre>	US-PGPUB; USPAT	OR	ON	2010/05/11 13:43
S99	2	@pd<"20041004" and ((compatibility.clm. with relationship) with part)	US-PGPUB; USPAT	OR	ON	2010/05/11 13:44
S100	10	@pd<"20041004" and ((compatibility with relationship) with part)	US-PGPUB; USPAT	OR	ON	2010/05/11 13:44
S101	8	S100 not S99	US-PGPUB; USPAT	OR	ON	2010/05/11 13:44
S102	0	@pd<"20041004" and (((sub-model or submodel or "sub model") with answer.clm.) with consolidated)	US-PGPUB; USPAT	OR	ON	2010/05/11 13:46
S103	0	<pre>@pd&lt;"20041004" and (((sub-model or submodel or "sub model") with answer) with consolidated)</pre>	US-PGPUB; USPAT	OR	ON	2010/05/11 13:46
S104	0	<pre>@pd&lt;"20041004" and (((sub-queries or subqueries or "sub queries") with each.clm.) with (sub-model or submodel or "sub model"))</pre>	US-PGPUB; USPAT	OR	ON	2010/05/11 13:46

# Page 479 of 507

S105	0	@pd<"20041004" and	US-PGPUB;	OR	ON	2010/05/11
		(((sub-queries or	USPAT			13:47
		subqueries or "sub				
		queries") with each) with				
		(sub-model or submodel or				
		"sub model"))				

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		10957919					
Filing Date		2004-10-04					
First Named Inventor	Natha	n E. Little					
Art Unit		2129					
Examiner Name Peter		D. Coughlan					
Attorney Docket Number		T00121					

		_		U.S	.PATENTS	Remove
Examine Initial*	Cite No	Patent Number		Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/P.C./	1	7200582	B1	2007-04-03	Smith	
000000000000000000000000000000000000000	2	7464064	B1	2008-12-09	Smith	
000000000000000000000000000000000000000	3	5515524		1996-05-07	Lynch	
000000000000000000000000000000000000000	4	5708798		1998-01-13	Lynch et al.	
	5	6002854		1999-12-14	Lynch et al.	
	6 7043407 B2		B2	2006-05-09	Lynch et al.	
	7	6115547	6115547 2000-09-05		Ghatate et al.	
	8	6430730	B1	2002-08-06	Ghatate et al.	

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT **)**)

(Not for submission	under 37	CFR 1.99
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Application Number		10957919				
Filing Date		2004-10-04				
First Named Inventor Natha		n E. Little				
Art Unit		2129				
Examiner Name Peter		D. Coughlan				
Attorney Docket Number		T00121				

/P.C./	9	6405308	B1	2002-06	6-11	Gupta et al.					No. of Concession, Name
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	Application Number		10957919	
	Filing Date		2004-10-04	
INFORMATION DISCLOSURE	First Named Inventor Natha		nan E. Little	
(Not for submission under 37 CFR 1.99)	Art Unit		2129	
	Examiner Name	Peter	D. Coughlan	
	Attorney Docket Number		T00121	

EXAMINER SIGNATURE										
Examiner Signature	/Peter Coughlan/	Date Considered	09/03/2010							
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English language translation is attached.

#### PART B - FEE(S) TRANSMITTAL

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HAMILTON & P.O. BOX 2035 AUSTIN, TX 78					I her	eby certify that th	is Heels	a) Transmittal is heino	<b>hission</b> deposited with the United class mail in an envelope above, or being facsimile te indicated below.
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									(Signature)
									(Date)
APPLICATION NO.	FILING DATE			FIRST NAMED INVEN	TOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/957,919	10/04/2004			Nathan E. Little				T00121	9162
TITLE OF INVENTION	I: COMPLEX CONFIGU	JRATIO	ON PROCESSING	USING CONFIGUR	ATIO	DN SUB-MODEL	5		
APPLN. TYPE	SMALL ENTITY	ISS	SUE FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO		\$1510	\$O		\$0		\$1510	12/09/2010
EXAM	IINER		ART UNIT	CLASS-SUBCLASS					
COUGHLA	N, PETER D		2129	706-060000					
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<ul> <li>4a. The following fee(s) are submitted:</li> <li>4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)</li> <li>A check is enclosed.</li> <li>A check is enclosed.</li> <li>Advance Order - # of Copies</li> <li>Advance Order - # of Copies</li> <li>Advance Order - # of Copies</li> </ul>									iciency, or credit any
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Electronic Patent Application Fee Transmittal										
Application Number:     10957919										
Filing Date:	04-Oct-2004									
Title of Invention:	COMPLEX CONFIGURATION PROCESSING USING CONFIGURATION SUB- MODELS									
First Named Inventor/Applicant Name:	Na	than E. Little								
Filer:	Ke	nt Bryan Chambers/	'Nishi Pasarya							
Attorney Docket Number:	то	0121								
Filed as Large Entity										
Utility under 35 USC 111(a) Filing Fees										
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)					
Basic Filing:										
Pages:										
Claims:										
Miscellaneous-Filing:										
Petition:										
Patent-Appeals-and-Interference:										
Post-Allowance-and-Post-Issuance:										
Utility Appl issue fee		1501	1	1510	1510					
Page 485 of 507					FORD 1004					

# FORD 1004

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	1510

Electronic Ac	knowledgement Receipt
EFS ID:	8994817
Application Number:	10957919
International Application Number:	
Confirmation Number:	9162
Title of Invention:	COMPLEX CONFIGURATION PROCESSING USING CONFIGURATION SUB- MODELS
First Named Inventor/Applicant Name:	Nathan E. Little
Customer Number:	33438
Filer:	Kent Bryan Chambers/Nishi Pasarya
Filer Authorized By:	Kent Bryan Chambers
Attorney Docket Number:	T00121
Receipt Date:	08-DEC-2010
Filing Date:	04-OCT-2004
Time Stamp:	17:13:24
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	yes	
Payment Type	Credit Card	
Payment was successfully received in RAM	\$1510	
RAM confirmation Number	4125	
Deposit Account	502264	
Authorized User	CHAMBERS,KENT B	
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Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listin	g:				
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		T00121_312Amendment.pdf -	105771	yes	15
I			676098656d3213db70cca59d39b0cfc15ae 256c6		
	Multipart Description/PDF files in .zip description				
	Document De	scription	Start	E	nd
	Amendment after Notice of	Allowance (Rule 312)	1		1
	Claims	;	2		14
	Applicant Arguments/Remarks	Made in an Amendment	15		15
Warnings:					
Information:					
2	Issue Fee Payment (PTO-85B)	T00121 JEXMTL odf	91979	no	1
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Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30037	no	2
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Information:			1		
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characterized Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) an	ledgement Receipt evidences receip d by the applicant, and including pa described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> ication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CI ement Receipt will establish the filin	ge counts, where applicable. Ition includes the necessary of FR 1.54) will be issued in due	. It serves as evidence components for a filin	of receipt s og date (see	similar to a 37 CFR
If a timely su U.S.C. 371 an national stag <u>New Internat</u> If a new inter	ge of an International Application un bmission to enter the national stage ad other applicable requirements a F ge submission under 35 U.S.C. 371 w tional Application Filed with the USF rnational application is being filed a	e of an international applicat Form PCT/DO/EO/903 indicat ill be issued in addition to th PTO as a Receiving Office nd the international applicat	ing acceptance of the e Filing Receipt, in du tion includes the nece	applicatior e course. ssary comp	n as a onents for
and of the In	onal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/R urity, and the date shown on this Acl on.	0/105) will be issued in due o	ourse, subject to pres	scriptions co	oncerning

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Nathan E. Little, Brandon M. Beck, Brian K. Showers			
Assignee:	Versata Development Group, Inc.			
Title:	Complex Configuration Processing Using Configuration Sub-Models			
Serial No.:	10/957,919         Filing Date:         October 4, 2004		October 4, 2004	
Examiner:	Peter D. Coughlan	Group Art Unit:	2129	
Docket No.:	T00121 Customer No.: 33438			
			December 8, 2010	

Filed Electronically

December 8, 2010

## AMENDMENT AFTER NOTICE OF ALLOWANCE AND PRIOR TO ISSUE FEE PAYMENT- 37 C.F.R. § 1.312 -

Dear Sir:

This paper is an amendment filed pursuant to 37 C.F.R. § 1.132 after notice of allowance and prior to payment of the issue fee. Pursuant to MPEP Section 714.16, Applicants respectfully submit that entry of the amendment is needed for proper disclosure or protection of the invention and requires no substantial amount of additional work on the part of the Office.

## AMENDMENTS TO THE CLAIMS

1	1. (Currently Amended) A method for using a computer system, wherein the					
2	computer system includes computer assisted configuration technology to respond to one					
3	or more configuration queries using configuration sub-models, the method comprising:					
4	receiving one or more configuration queries representing one or more questions					
5	involving parts and part relationships in a configuration of a configurable					
6	product; and					
7	performing with the computer system:					
8	dividing one or more configuration queries into multiple configuration					
9	sub-queries, wherein the multiple configuration sub-queries					
10	represent the one or more configuration queries;					
11	processing each sub-query using at least one configuration sub-model per					
12	sub-query, wherein each configuration sub-model collectively					
13	models the configurable product and each configuration sub-mode	1				
14	includes data to define compatibility relationships between parts					
15	included in the configuration sub-model and each configuration					
16	sub-model (i) represents a portion of a configuration model of the					
17	configurable product and (ii) allows answers from each					
18	configuration sub-model to be combined to provide a consolidated					
19	answer to the one or more configuration queries;					
20	generating a response to the one or more configuration queries based upon	1				
21	[[the]] the processing of each sub-query using at least one					
22	configuration sub-model per sub-query; and					
23	providing the response to the one or more configuration queries as data for	r				
24	display by a display device.					
1	2. (Canceled).					
1	3. (Previously Presented) The method of claim 1 wherein the one or					
2	more configuration queries relate to a configuration completion problem.					

-2 of 15-

1 4. (Previously Presented) The method of claim 1 further comprising: 2 processing each sub-query using multiple configuration sub-models per sub-3 query. 1 5. (Previously Presented) The method of claim 1 wherein the one or 2 more configuration queries relate to a configuration validation problem and processing 3 one or more configuration queries further comprises: 4 processing at least one of the sub-queries using different configuration submodels until a configuration validation answer can be determined. 5 1 6. (Previously Presented) The method of claim 1 wherein the data 2 collectively included in the configuration sub-models provides a response for each of the 3 sub-queries being processed. 1 7. (Previously Presented) The method of claim 1 wherein at least two 2 sub-queries include overlapping information. 8. 1 (Previously Presented) The method of claim 1 further comprising: 2 dividing a consolidated configuration model into the multiple configuration sub-3 models in accordance with a predetermined data structure; 4 wherein at least one of the configuration queries into multiple configuration sub-5 queries further comprises dividing the sub-queries in accordance with the 6 sub-model structure. 1 9. (Previously Presented) The method of claim 8 wherein the 2 predetermined data structure comprises a data structure divided along configuration 3 model part groups, wherein the part groups are a collection of related parts.

-3 of 15-

1	10. (Previously Presented) The method of claim 1 wherein generating a				
2	response to the one or more configuration queries based upon the processed one or more				
3	configuration queries and the configuration sub-models further comprises:				
4	generating a response for each processed configuration sub-model; and				
5	combining each response for each processed configuration sub-model to generate				
6	the answer.				
1	11. (Original) The method of claim 1 further comprising:				
2	dividing a consolidated configuration model into the configuration sub-models.				
1	12. (Previously Presented) The method of claim 11 wherein dividing				
2	the consolidated configuration model into multiple configuration sub-models further				
2	comprises:				
4	dividing the configuration model so that complexity of each configuration sub-				
5	model allows processing using available data processing capabilities of the				
6	computer assisted configuration technology while still representing the				
7	relationships included in the consolidated configuration model.				
1	13. (Original) The method of claim 11 wherein each configuration sub-				
2	model represents a portion of the consolidated configuration model.				
1	14. (Currently Amended) A method for using a computer system, wherein the				
2	computer system includes computer assisted configuration technology to respond to one				
3	or more configuration queries using configuration sub-models, the method comprising:				
4	dividing a consolidated configuration model into multiple configuration sub-				
5	models; and				
6	performing with the computer system:				
7	responding to the one or more configuration queries representing				
8	questions involving configuration of a configurable product,				
9	wherein responding to the one or more configuration queries				
10	comprises:				

-4 of 15-

11	dividing one or more configuration queries into multiple
12	configuration sub-queries, wherein the multiple
13	configuration sub-queries represent the one or more
14	configuration queries;
15	processing each sub-query using at least one configuration sub-
16	model per sub-query, wherein each configuration sub-
17	model collectively models the configurable product and
18	each configuration sub-model includes data to define
19	compatibility relationships between parts included in the
20	configuration sub-model and each configuration sub-model
21	(i) represents a portion of a configuration model of the
22	configurable product and (ii) allows answers from each
23	configuration sub-model to be combined to provide a
24	consolidated answer to the one or more configuration
25	queries;
26	generating a response to the one or more configuration queries
27	based upon [[the]] the processing of each sub-query using
28	at least one configuration sub-model per sub-query; and
29	providing the response to the one or more configuration queries as
30	data for display by a display device.
1	15. (Currently Amended) A computer system to implement an inference
2	procedure for responding to one or more configuration queries using configuration sub-
3	models, the system comprising:
4	a processor; and
5	a storage medium having data encoded therein, the data comprising processor
6	executable code for:
7	receiving one or more configuration queries representing a questions
8	involving parts and part relationships in a configuration of a
9	configurable product;

-5 of 15-

10		dividing one or more configuration queries into multiple configuration				
11	sub-queries, wherein the multiple configuration sub-queries					
12		represent the one or more configuration queries;				
13		processing each sub-query using at least one configuration sub-model per				
14		sub-query, wherein each configuration sub-model collectively				
15		models the configurable product and each configuration sub-model				
16		includes data to define compatibility relationships between parts				
17		included in the configuration sub-model and each configuration				
18		sub-model (i) represents a portion of a configuration model of the				
19		configurable product and (ii) allows answers from each				
20		configuration sub-model to be combined to provide a consolidated				
21		answer to the one or more configuration queries;				
22		generating a response to the one or more configuration queries based upon				
23		[[the]] the processing of each sub-query using at least one				
24	configuration sub-model per sub-query; and					
25	providing the response to the one or more configuration queries as data for					
26		display by a display device.				
1	16.	(Canceled).				
	1.5					
1	17.	(Previously Presented) The computer system of claim 16 wherein				
2	the one or mo	ore configuration queries relate to a configuration completion problem.				
3						
1	18.	(Canceled).				
1	10.	(Cuntered).				
1	19.	(Previously Presented) The computer system of claim 15 wherein				
2	the one or me	ore configuration queries relate to a configuration validation problem and				
3	when solving	g the configuration validation problem, and the code for processing one or				
4	more configu	aration queries further comprises:				

5	processing at least one of the sub-queries using different configuration sub-				
6	models until a configuration validation answer can be determined.				
1	20.	(Previously Presented)	The computer system of claim 15 wherein		
2	the data colle	ectively included in the confi	guration sub-models provides a response for		
3	each of the s	ub-queries being processed.			
1	21.	(Previously Presented)	The computer system of claim 15 wherein at		
2	least two sub	-queries include overlapping	information.		
1	22.	(Previously Presented)	The computer system of claim 15 wherein		
2	the code furt	her comprises code for:			
3	dividing the configuration sub-models in accordance with a predetermined data				
4		structure; and			
5	divid	ing the sub-queries in accord	ance with the sub-model structure.		
1	23.	(Previously Presented)	The computer system of claim 22 wherein		
2	the predetermined data structure comprises a data structure divided along configuration				
3	model part g	roups, wherein the part group	os are a collection of related parts.		
1	24.	(Previously Presented)	The computer system of claim 15 wherein		
2	the code for	generating a response to the	one or more configuration queries based upon		
3	the processed	d one or more configuration of	queries and the configuration sub-models further		
4	comprises co	ode for:			
5	gener	ating a response for each pro	cessed configuration sub-model; and		
6	combining each response for each processed configuration sub-model to generate				
7		the answer.			

-7 of 15-

1	25.	(Previously P	resented)	The computer system of claim 15 wherein	
2	the code for c	the code for dividing the consolidated configuration model into multiple configuration			
3	sub-models f	urther comprise	s code for:		
4	dividi	ng the configur	ation model	so that complexity of each configuration sub-	
5		model allows	processing u	sing available data processing capabilities of the	
6		computer syst	em while sti	ll representing the relationships included in the	
7		consolidated of	configuration	n model.	
1	26.	(Original)	-	ter system of claim 15 wherein the data further	
2		ocessor executa			
3	dividi	ng a consolidat	ed configurat	tion model into the configuration sub-models.	
1	27.	(Previously P	resented)	The computer system of claim 26 wherein	
2	the code for dividing the consolidated configuration model into multiple configuration				
2		urther comprise		ingulation model into maniple configuration	
4	dividing the configuration model so that complexity of each configuration sub-				
5	model allows processing using available data processing capabilities of the				
6				ll representing the relationships included in the	
7		consolidated		· · ·	
		••••••			
1	28.	(Original)	The compu	ter system of claim 26 wherein each	
2	configuration	sub-model rep	resents a por	tion of the consolidated configuration model.	
1	29.	(Currontly Ar	mandad) A a	omputer system to implement an inference	
1		` <b>·</b>	,		
2	procedure for responding to one or more configuration queries using configuration sub-				
3	models, the system comprising:				
4	a processor; and				
5	a stora	•	•	oded therein, the data comprising processor	
6		executable co		A	
7		•		nfiguration model into multiple configuration	
8		sub-m	odels;		
			0		

-8 of 15-

9	responding to the one or more configuration queries representing
10	questions involving configuration of a configurable product,
11	wherein responding to the one or more configuration queries
12	comprises:
13	dividing one or more configuration queries into multiple
14	configuration sub-queries, wherein the multiple
15	configuration sub-queries represent the one or more
16	configuration queries;
17	processing each sub-query using at least one configuration sub-
18	model per sub-query, wherein each configuration sub-
19	model collectively models the configurable product and
20	each configuration sub-model includes data to define
21	compatibility relationships between parts included in the
22	configuration sub-model and each configuration sub-model
23	(i) represents a portion of a configuration model of the
24	configurable product and (ii) allows answers from each
25	configuration sub-model to be combined to provide a
26	consolidated answer to the one or more configuration
27	queries;
28	generating a response to the one or more configuration queries
29	based upon [[the]] the processing of each sub-query using
30	at least one configuration sub-model per sub-query; and
31	providing the response to the one or more configuration queries as
32	data for display by a display device.
1	30. (Currently Amended) A computer storage medium comprising data
2	embedded therein to cause a computer system to respond to one or more configuration
3	queries using configuration sub-models, wherein the data comprises processor executable
4	code for:
5	receiving one or more configuration queries representing a questions involving
6	parts and part relationships in a configuration of a configurable product;

7	dividing one or more configuration queries into multiple configuration				
8	sub-queries, wherein the multiple configuration sub-queries				
9		represent the one or m	ore configuration queries;		
10	proce	ssing each sub-query using at le	ast one configuration sub-model per sub-		
11		query, wherein each configura	ation sub-model collectively models the		
12		configurable product and each	n configuration sub-model includes data to		
13		define compatibility relations	hips between parts included in the		
14		configuration sub-model and	each configuration sub-model (i) represents a		
15		portion of a configuration mo-	del of the configurable product and (ii)		
16		allows answers from each con	figuration sub-model to be combined to		
17		provide a consolidated answer	r to the one or more configuration queries;		
18	gener	ating a response to the one or m	ore configuration queries based upon [[the]]		
19	the processing of each sub-query using at least one configuration sub-				
20	model per sub-query; and				
21	providing the response to the one or more configuration queries as data for				
22	display by a display device.				
1	31.	(Canceled).			
1	32.	· · · ·	The computer storage medium of claim 30		
2	wherein the one or more configuration queries relate to a configuration completion				
3	problem.				
_					
1	33.	· · · ·	The computer storage medium of claim 30		
2	wherein the c	data further comprises processor	executable code for:		
3	processing each sub-query using multiple configuration sub-models per sub-				
4		query.			

1	34.	(Previously Presented)	The computer storage medium of claim 30		
2	wherein the one or more configuration queries relate to a configuration validation				
3	problem and the code for processing one or more configuration queries further comprises:				
4	proces	sing at least one of the sub-q	ueries using different configuration sub-		
5		models until a configuration	n validation answer can be determined.		
1	35.	(Previously Presented)	The computer storage medium of claim 30		
2	wherein the d	ata collectively included in th	ne configuration sub-models provides a		
3	response for e	each of the sub-queries being	processed.		
1	36.	(Previously Presented)	The computer storage medium of claim 30		
2		• •			
2	wherein at lea	st two sub-queries include ov			
1	37.	(Previously Presented)	The computer storage medium of claim 30		
2	the code furth	er comprises code for:			
3	dividing the configuration sub-models in accordance with a predetermined data				
4	structure; and				
5	dividing the sub-queries in accordance with the sub-model structure.				
1	38.	(Previously Presented)	The computer storage medium of claim 37		
2	wherein the p	redetermined data structure c	omprises a data structure divided along		
3	configuration model part groups, wherein the part groups are a collection of related parts.				
1	20	(Duranianaly, Duranautad)	The commuter stars as modium of slaim 20		
1	39.	(Previously Presented)	The computer storage medium of claim 30		
2	wherein the code for generating a response to the one or more configuration queries				
3	based upon the processed one or more configuration queries and the configuration sub-				
4		r comprises code for:			
5	genera	ting a response for each proc	essed configuration sub-model; and		
6	combining each response for each processed configuration sub-model to generate				
7	the answer.				

-11 of 15-

1	40.	(Previously F	resented)	The computer store	age medium of claim 30
2	wherein the code for dividing the consolidated configuration model into multiple				
3	configuration sub-models further comprises code for:				
4	dividi	ng the configu	ration model so	that complexity of e	ach configuration sub-
5		model allows	processing us	ng available data pro	cessing capabilities of the
6		computer sys	tem while still	representing the relat	tionships included in the
7		consolidated	configuration 1	nodel.	
1	41.	(Original)	The compute	r storage medium of	claim 30 wherein the data
2	further comp	rises processor	executable coc	le for:	
3	dividi	ng a consolidat	ed configuration	on model into the con	figuration sub-models.
1	42.	(Previously F	resented)	The computer stora	age medium of claim 41
2	wherein the c	ode for dividin	g the consolida	ated configuration mo	odel into multiple
3	configuration	sub-models fu	rther comprise	s code for:	
4	dividing the configuration model so that complexity of each configuration sub-				
5	model allows processing using available data processing capabilities of the				
6	computer system while still representing the relationships included in the				
7		consolidated	configuration 1	nodel.	
1	43.	(Original)	The compute	r storage medium of	claim 41 wherein each
2	configuration	sub-model rep	presents a portion	on of the consolidated	d configuration model.
1	44.	(Currently A	mended) A con	nputer storage mediu	m comprising data
2	embedded therein to cause a computer system to respond to one or more configuration				
3	queries using configuration sub-models, wherein the data comprises code for:				
4	dividing a consolidated configuration model into multiple configuration sub-				
5		models;			
6	respon	nding to the on	e or more conf	iguration queries repr	esenting questions
7		involving con	nfiguration of a	configurable produc	t, wherein responding to
8	8 the one or more configuration queries comprises:				
			-12 c	of 15-	S/N: 10/957,919

**FORD 1004** 

9	dividing one or more configuration queries into multiple configuration
10	sub-queries, wherein the multiple configuration sub-queries
11	represent the one or more configuration queries;
12	processing each sub-query using at least one configuration sub-model per
13	sub-query, wherein each configuration sub-model collectively
14	models the configurable product and each configuration sub-model
15	includes data to define compatibility relationships between parts
16	included in the configuration sub-model;
17	generating a response to the one or more configuration queries based upon
18	[[the]] the processing of each sub-query using at least one
19	configuration sub-model per sub-query and each configuration
20	sub-model (i) represents a portion of a configuration model of the
21	configurable product and (ii) allows answers from each
22	configuration sub-model to be combined to provide a consolidated
23	answer to the one or more configuration queries; and
24	providing the response to the one or more configuration queries as data for
25	display by a display device.

1	45. (Currently Amended) A computer system to implement an inference
2	procedure for responding to one or more configuration queries using configuration sub-
3	models, the system comprising:
1	moons for reasiving one or more configuration quaries representing a questions

4	means for receiving one or more configuration queries representing a questions
5	involving parts and part relationships in a configuration of a configurable
6	product;

means for dividing one or more configuration queries into multiple configuration
sub-queries, wherein the multiple configuration sub-queries represent the
one or more configuration queries;

10means for processing each sub-query using at least one configuration sub-model11per sub-query, wherein each configuration sub-model collectively models12the configurable product and each configuration sub-model includes data13to define compatibility relationships between parts included in the

14	configuration sub-model and each configuration sub-model (i) represents a				
15	portion of a configuration model of the configurable product and (ii)				
16	allows answers from each configuration sub-model to be combined to				
17		provide a consolidated ans	wer to the one or more configuration queries;		
18	means	s for generating a response to	o the one or more configuration queries based		
19		upon [[the]] the processing	g of each sub-query using at least one		
20		configuration sub-model p	er sub-query; and		
21	means	s for providing the response	to the one or more configuration queries as data		
22		for display by a display de	vice.		
1	46.	(Original) The compu	ter system of claim 45 further comprising:		
2	means	ns for dividing a consolidated configuration model into the configuration sub-			
3		models.			
1	47.	(Previously Presented)	The method of claim 1 wherein the		
2	2 configurable product is a vehicle.				
1	48.	(Proviously Progented)	The method of claim 1 further communicipat		
1		(Previously Presented)	The method of claim 1 further comprising:		
2	2 displaying the response on display device.				
1	49.	(Previously Presented)	The method of claim 1 wherein the		
2	configuration sub-models each comprise data and rules to define compatibility				
3	relationships	between parts included in a	product.		
1	50.	(Previously Presented)	The method of claim 1 wherein the		

2 configuration problem comprises a configuration problem involving parts of a product

## **REMARKS**

Claims 1, 3-15, 17, 19-30 and 32-50 have been allowed. Claims 1, 14, 15, 29, 30, 44, and 45 have been amended to delete the duplicate occurrence of "the".

Applicants respectfully submit that the claim amendments merely embody the correction of formal matters without changing the scope of the claims and, thus, respectfully requests entry of the amendments.

## **CONCLUSION**

Entry of the amendment submitted herein is respectfully requested.

Should any issues remain that might be subject to resolution through a telephonic interview, the examiner is requested to telephone the undersigned at 512-338-9100.

CERTIFICATE OF TRANSMISSION

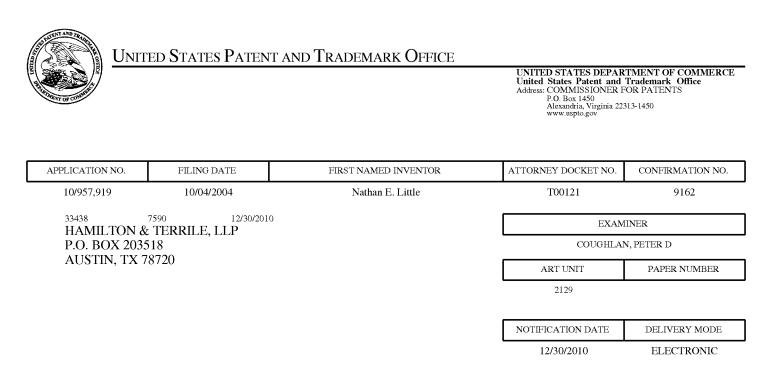
I hereby certify that on December 8, 2010 this correspondence is being transmitted via the U.S. Patent & Trademark Office's electronic filing system.

/Kent B. Chambers/

Respectfully submitted,

/Kent B. Chambers/

Kent B. Chambers Attorney for Applicant(s) Reg. No. 38,839



## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

tmunoz@hamiltonterrile.com

	Application No.	Applicant(s)
	10/957,919	LITTLE ET AL.
Response to Rule 312 Communication	Examiner	Art Unit
	PETER COUGHLAN	2129
The MAILING DATE of this communication	on appears on the cover sheet wi	ith the correspondence address –
. X The amendment filed on <u>08 December 2010</u> under 3 a)	37 CFR 1.312 has been considered	l, and has been:
b) 🛛 entered as directed to matters of form not affe	cting the scope of the invention.	
c) disapproved because the amendment was file Any amendment filed after the date the issu and the required fee to withdraw the applica	ue fee is paid must be accompanied	
d) 🔲 disapproved. See explanation below.		
e) 🔲 entered in part. See explanation below.		
'Donald Sparks/ Supervisory Patent Examiner, Art Unit 2129	/P. C./ Examiner, Art Unit 2 <sup>-</sup>	129
. Patent and Trademark Office OL-271 (Rev. 04-01) <b>Reponse t</b>	o Rule 312 Communication	Part of Paper No. 20101218

Page 505 of 507

**FORD 1004** 

## OK TO ENTER: /P.C./

Applicant(s):	Nathan E. Little, Brandon M. Beck, Brian K. Showers				
Assignee:	Versata Development Group, Inc.				
Title:	Complex Configuration Processing Using Configuration Sub-Models				
Serial No.:	10/957,919 Filing Date:		October 4, 2004		
Examiner:	Peter D. Coughlan	Group Art Unit:	2129		
Docket No.:	T00121 Customer No.: 33438				
			December 8, 2010		

Filed Electronically

December 8, 2010

## AMENDMENT AFTER NOTICE OF ALLOWANCE AND PRIOR TO ISSUE FEE PAYMENT- 37 C.F.R. § 1.312 -

Dear Sir:

This paper is an amendment filed pursuant to 37 C.F.R. § 1.132 after notice of allowance and prior to payment of the issue fee. Pursuant to MPEP Section 714.16, Applicants respectfully submit that entry of the amendment is needed for proper disclosure or protection of the invention and requires no substantial amount of additional work on the part of the Office.



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- F - O

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/957,919	02/01/2011	7882057	T00121	9162
22/28 7	500 01/12/2011			

<sup>33438</sup> 7590 01/12/2011 HAMILTON & TERRILE, LLP P.O. BOX 203518 AUSTIN, TX 78720

# **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

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