

UNITED STATES PATENT AND TRADEMARK OFFICE

---

BEFORE THE PATENT TRIAL AND APPEAL BOARD

---

APPLE INC.,

Petitioner

v.

OPENTV, INC.,

Patent Owner.

---

Case No.: IPR2016-00971

Patent No.: 6,148,081

---

**PATENT OWNER'S MANDATORY  
NOTICES PURSUANT TO 37 C.F.R. § 42.8**

---

Pursuant to 37 C.F.R. § 42.8(a)(2), Patent Owner OpenTV, Inc. (“OpenTV”) hereby submits the following mandatory notices in connection with Apple Inc.’s Petition for *Inter Partes* Review of U.S. Patent No. 6,148,081, Case No. IPR2016-00971. OpenTV timely files these Notices within 21 days of service of the Petition.

**I. OPENTV’S POWER OF ATTORNEY**

OpenTV concurrently files a Power of Attorney appointing lead and back-up counsel with these Notices.

**II. OPENTV’S MANDATORY NOTICES**

**(a) 37 C.F.R. § 42.8(b)(1): Real Party-in-Interest**

The real party-in-interest for the patent owner is OpenTV, Inc.

**(b) 37 C.F.R. § 42.8(b)(2): Related Matters**

OpenTV identifies the following judicial matters that would affect, or be affected by, a decision in this proceeding: *Yahoo! Inc. v. Kudelski SA et al.*, Case No. 5:16-cv-00349 (N.D. Cal.) and *OpenTV, Inc. et al. v. Apple Inc.*, Case No. 5:15-cv-02008 (N.D. Cal.). Although not believed to affect, or be affected by, a decision in this proceeding, OpenTV, out of an abundance of caution, identifies the following administrative matter: U.S. Patent No. 6,038,319.

**c) 37 C.F.R. § 42.8(b)(3) and (4): Lead and Back-Up Counsel,  
and Service Information**

OpenTV designates the following counsel to transact all business in the U.S. Patent and Trademark Office associated with the above-captioned Petition for

*Inter Partes* Review of U.S. Patent No. 6,148,081:

Joshua L. Goldberg (Lead Counsel)  
Finnegan, Henderson, Farabow,  
Garrett & Dunner, LLP  
901 New York Avenue, NW  
Washington, DC 20001-4413

Phone: (202) 408-6092  
Fax: (202) 408-4400  
joshua.goldberg@finnegan.com  
USPTO Reg. No. 59,369

Erika H. Arner (Back-up Counsel)  
Finnegan, Henderson, Farabow,  
Garrett & Dunner, LLP  
11955 Freedom Drive  
Reston, Virginia 20190-5675

Phone: (571) 203-2754  
Fax: (202) 408-4400  
erika.arnier@finnegan.com  
USPTO Reg. No. 57,540

Daniel G. Chung (Back-up Counsel)  
Finnegan, Henderson, Farabow,  
Garrett & Dunner, LLP  
901 New York Avenue, NW  
Washington, DC 20001-4413

Phone: (202) 408-4355  
Fax: (202) 408-4400  
daniel.chung@finnegan.com  
USPTO Reg. No. 63,553

Please address all correspondence regarding this proceeding to lead and back-up counsel. OpenTV also consents to electronic service by email.

Respectfully submitted,

Dated: May 19, 2016

By: /Daniel G. Chung/

Daniel G. Chung, Back-up Counsel

Reg. No. 63,553

Finnegan, Henderson, Farabow,  
Garrett & Dunner, LLP

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a copy of the foregoing Patent Owner's Mandatory Notices Pursuant to 37 C.F.R. § 42.8 was served electronically on May 19, 2016, on counsel of record for the Petitioner at the following:

Mark E. Miller (Reg. No. 31,401)  
markmiller@omm.com  
Ryan K. Yagura (Reg. No. 47,191)  
ryagura@omm.com  
Anne E. Huffsmith (Reg. No. 57,041)  
ahuffsmith@omm.com  
Brian M. Cook (Reg. No. 59,356)  
bcook@omm.com  
Xin-Yi Zhou (Reg. No. 63,366)  
vzhou@omm.com  
John Kevin Murray (Reg. No. 69,529)  
kmurray2@omm.com  
O'Melveny & Myers LLP  
Two Embarcadero Center, 28th Floor  
San Francisco, CA 94111

Petitioner has agreed to electronic service.

Dated: May 19, 2016

By: /Lauren K. Young/  
Lauren K. Young  
Legal Assistant

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, LLP