

Paper No. _____
Filed: May 16, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

GENERAL ELECTRIC COMPANY,
Petitioner,

v.

UNITED TECHNOLOGIES CORPORATION,
Patent Owner

Case IPR2016-00952
Patent No. 9,121,412

**PATENT OWNER'S MANDATORY NOTICES
PURSUANT TO 37 C.F.R. § 42.8**

Patent Owner United Technologies Corporation provides the following mandatory notices pursuant to 37 C.F.R. § 42.8.

I. Real Party-in-Interest

Pursuant to 37 C.F.R. § 42.8(b)(1), the real party-in-interest is United Technologies Corporation, the assignee of record for U.S. Patent No. 9,121,412 (“the ’412 patent”).

II. Related Matters

Patent Owner is not aware of any matters that may be deemed related to this proceeding under 37 C.F.R. § 42.8(b)(2).

III. Lead and Back-Up Counsel and Service Information

In accordance with 37 C.F.R. § 42.8(b)(3) and (b)(4), Patent Owner identifies the following lead and back-up counsel and service information:

Lead Counsel	Back-Up Counsel
M. Andrew Holtman, Ph.D. (Reg. No. 53,032) Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue NW Washington, DC 20001-4413 Telephone: 202-408-4131 Facsimile: 202-408-4400 E-mail: andy.holtman@finnegan.com	Jason E. Stach (Reg. No. 54,464) Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 3500 SunTrust Plaza 303 Peachtree Street, NE Atlanta, GA, 30308-3263 Telephone: 404-653-6428 Facsimile: 404-653-6444 E-mail: jason.stach@finnegan.com

Lead Counsel	Back-Up Counsel
	<p>Jeffrey C. Totten (Reg. No. 65,229) Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue NW Washington, DC 20001-4413 Telephone: 202-408-4232 Facsimile: 202-408-4400 E-mail: jeffrey.totten@finnegan.com</p> <p>James D. Stein (Reg. No. 63,782) Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 3500 SunTrust Plaza 303 Peachtree Street, NE Atlanta, GA, 30308-3263 Telephone: 404-653-6439 Facsimile: 404-653-6444 E-mail: james.stein@finnegan.com</p>

Patent Owner consents to electronic service by email at the following
address: UTC-IPR@finnegan.com.

Respectfully submitted,

Dated: May 16, 2016

By: /M. Andrew Holtman/
M. Andrew Holtman
Reg. No. 53,032

Lead Counsel for Patent Owner

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing PATENT OWNER'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8 was served electronically on May 16, 2016 on counsel of record for the Petitioner as follows:

Anish Desai
Brian Ferguson
Christopher Pepe
Weil, Gotshal & Manges LLP
1300 Eye Street, N.W., Suite 900
Washington, D.C. 20005
GE.412.IPR@weil.com

Dated: May 16, 2016

By: /Valencia Daniel/
Valencia Daniel
Litigation Legal Assistant