

Paper No. \_\_\_\_\_  
Filed: January 3, 2017

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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GENERAL ELECTRIC COMPANY,  
Petitioner,

v.

UNITED TECHNOLOGIES CORPORATION,  
Patent Owner

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Case IPR2016-00952  
Patent No. 9,121,412

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**PATENT OWNER'S UPDATED MANDATORY NOTICES  
PURSUANT TO 37 C.F.R. § 42.8**

Patent Owner United Technologies Corporation (“UTC” or “Patent Owner”)  
provides the following updated mandatory notices pursuant to 37 C.F.R. § 42.8.

**I. Lead and Back-Up Counsel and Service Information**

In accordance with 37 C.F.R. § 42.8(b)(3) and (b)(4), Patent Owner  
identifies the following additional back-up counsel:

<b>Back-Up Counsel</b>
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Respectfully submitted,

Dated: January 3, 2017

By: /M. Andrew Holtman/  
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Lead Counsel for Patent Owner

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a copy of the foregoing PATENT OWNER'S UPDATED MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8 was served electronically on January 3, 2017 on counsel of record for the Petitioner as follows:

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Dated: January 3, 2017

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