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APPLICATION NUMBER: 12/925,405

FILING DATE: October 19, 2010 PATENT NUMBER: 8166739 ISSUE DATE: May 01, 2012

> H&S Mfg. Co., Inc. v. Oxbo Int'l Co. IPR2016-00950 H&S Mfg Co., Inc. Exhibit 1004-part 1

Certified by

Michelle 76. Zea

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| DOCKET NUMBER | ANTICIPATED CLASSIFICATION OF THIS APPLICATION: | | PRIOR APPLICATION | |
|---------------|---|----------|-------------------|----------|
| | CLASS | SUBCLASS | EXAMINER | ART UNIT |
| 12821.16USC2 | 056 | 192 | A. M. TORRES | 3671 |

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EM106017404US Date of Deposit: October 19, 2010

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

Name: Tina Plante

CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

This is a request for filing a continuation application under 37 CFR § 1.53(b) of Serial No. 11/388,692, filed on March 24, 2006 entitled WINDROW MERGING APPARATUS by the following inventor(s):

| Full Name | Family Name | First Given Name | Second Given Name |
|----------------------------|-----------------------|--------------------------|--------------------------|
| Of Inventor | Dow | Paul | w. |
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| 1. | | Enclosed is the application; including the signed oath or declaration from the prior application, specification, clai and drawings. The continuing application is as follows: 17 pages of specification, 1 claims, 1 page of abstract, 24 sheets of drawings, and 4 pages of oath or declaration. | | | | |
|-----|-------------|---|--|--|--|--|
| | | The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | | | |
| 2. | | The filing fee is calculated below: | | | | |
| | | Small entity status is claimed pursuant to 37 CFR 1.27. | | | | |
| 3. | | Payment of fees: | | | | |
| | | PAYMENT OF THE FILING FEE IS BEING DEFERRED. | | | | |
| 4. | \boxtimes | The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 13-2725. | | | | |
| 5. | | A set of formal drawings (sheets) is enclosed. | | | | |
| 6. | | Priority of application Serial No., filed on in, is claimed under 35 U.S.C. 119. | | | | |
| | | The certified copy has been filed in prior application Serial No., filed. | | | | |
| 7. | \boxtimes | The prior application is assigned of record to Oxbo International Corporation located at 7275 Batavia-Byron Road, Byron, New York 14422/USA. | | | | |
| 8. | \boxtimes | The Power of Attorney in the prior application is to: | | | | |
| | | MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, MN 55402-0903 | | | | |
| 9. | | A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.) | | | | |
| | | Fee for excess claims is attached. | | | | |
| 10. | | A petition and fee has been filed to extend the term in the prior application until . A copy of the petition for extension of time in the prior application is attached. | | | | |
| 11. | | The inventor(s) in this application are less than those named in the prior application and it is requested that the following inventors identified above for the prior application be deleted: | | | | |
| 12. | | A Non-publication Request under 37 CFR 1.213(a) is enclosed. | | | | |
| 13. | | Information Disclosure Statement, Form 1449 and reference(s). | | | | |
| 14. | \boxtimes | Authorization for Extension of Time All Replies. | | | | |
| 15 | M | Pequest for Recognition of Patent Practitioners as being of Record, under rule 37 (C.E.P. & 1.32(c)(3) | | | | |

- 16. Address all future communications to the **Attention of Karen A. Fitzsimmons** associated with the customer number below (may only be completed by attorney or agent of record)
- 17. A return postcard is enclosed.

23552 PATENT TRADEMARK OFFICE

Date: October 19, 2010

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, MN 55402-0903 612.332.5300

Karen A. Fitzsimmons

Reg. No. 50,470 KFitzsimmons/cjc S/N UNKNOWN PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

DOW ET AL.

Examiner:

A. M. TORRESS

Serial No .:

UNKNOWN

Group Art Unit:

3671

Filed:

HEREWITH

Docket No.:

12821.16USC2

Title:

WINDROW MERGING APPARATUS

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EM106017404US

Date of Deposit: October 19, 2010

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

Name: Tina Plante

AUTHORIZATION FOR EXTENSION OF TIME ALL REPLIES

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Please consider this a petition for extension of time for a sufficient number of months to enter these papers or any future papers, if appropriate.

Please further charge any additional fees required to enter these papers or any future papers, or credit any overpayment of fees, to Deposit Account No. 13-2725.

Respectfully submitted,

23552

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

MERCHANT & GOULD P.C.

(612) 332-5300

Date: October 19, 2010

Karen A. Fitzsimmon

Reg. No. 50,470

KAF:cjc

S/N UNKNOWN PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

DOW ET AL.

Examiner:

A. M. TORRES

Serial No.:

UNKNOWN

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Name: Tina Plante

REQUEST FOR RECOGNITION OF PATENT PRACTITIONERS AS BEING OF RECORD, UNDER RULE 37 C.F.R. § 1.32(c)(3)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Because the Power of Attorney named more than ten patent practitioners, this paper is being submitted to indicate that the following patent practitioners are to be recognized by the Office as being of record:

Bruess, Steven C. Reg. No. 34,130 Kalinsky, Robert A. Reg. No. 50,471 Daley, Dennis R. Reg. No. 34,994 Schmaltz, David G. Reg. No. 39,828 Daulton, Julie R. Reg. No. 36,414 Sebald, Gregory A. Reg. No. 33,280 Fitzsimmons, Karen A. Reg. No. 50,470 Skoog, Mark T. Reg. No. 40,178

23552 PATENT TRADEMARK OFFICE Respectfully submitted,

MERCHANT & GOULD P.C.

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Minneapolis, Minnesota 55402-0903

(612) 332-5300

Date: October <u>/9</u>, 2010

Karen A. Fitzsimmons

Reg. No. 50,470

KAF/cjc

Express Label No.: EM106017404US

M&G No. 12821.16-US-C2

WINDROW MERGING APPARATUS

Cross-reference to Related Applications

This application is a continuation of application Serial No. 11/388,692, filed March 24, 2006; which is a continuation of application Serial No. 10/405,030, filed March 31, 2003, now U.S. Patent 7,310,929; which applications are incorporated herein by reference.

Background of the Invention

10 Field of the Invention

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The present invention relates to a windrow merger apparatus and a method of merging windrows. In particular, the present invention relates to a windrow merging apparatus and method having more than two heads and conveyor assemblies.

Description of the Prior Art

Devices for merging windrows are well known and are used to gather material, such as cut hay that might be windrowed, and merge it into a single windrow for harvesting or baling. Such windrow mergers have typically included a single pickup head and are either self propelled or pulled behind a tractor to move the material to a single row at one side of the merger. When used on a relatively small scale, these devices generally function in a satisfactory manner. However, for large scale operations requiring merging on large fields, the capacity of such mergers is limited because of their width and may not be sufficient to merge the material at an adequate rate for subsequent pickup by other devices. The merging must often be performed during a small timeframe when conditions are favorable. A limiting factor for the size of the merger device has been the towing capacity of tractors and other

propulsion devices. However as larger, more powerful tractors are utilized, larger equipment may be used. The larger tractors have led to larger harvesting machinery so that the need exists for a merger with greater merging rates so as not to slow the harvest process.

Although more power may be available to propel merger devices, other limitations are encountered that have restricted the size of windrow mergers. The machines must be transported from field to field and able to accomplish entry and exit in and out of fields, as well as meeting width limitations for transport on public highways and other roads without removing the heads.

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In order to achieve a wider merger apparatus, folding mergers have been developed, such as shown in U.S. Patent No. 6,205,757 to *Dow et al.* The *Dow et al.* '757 patent has a two headed merger device that folds to a storage position for transport. In addition, the *Dow* device utilizes transverse conveyors to move materially laterally and provide various configurations for moving material to the desired windrow. The *Dow et al.* '757 patent teaches a device that is successful in providing higher capacity, greater width, and improved methods of merging while folding to a storage position for transport. However, further improvements to windrow mergers are possible.

Utilizing wider folding heads provides design challenges, especially achieving a linkage for folding wide heads and providing acceptable support, while limiting the loads and torque in operating positions, storage positions and during movement between the use position and the transport position. In order to achieve higher capacity, the heads may be widened, but the design becomes more complicated and difficult due to moving wider heads and staying within height and width limitations when folded. Controls and mechanisms for a folding more than two heads are not contemplated in the prior art.

Moreover, another problem that devices having multiple heads suffer from is that they typically form a gap between the ends of adjacent pickup heads so that some material may be missed in the field as the merger advances. Motors and other drive equipment have typically

been positioned at ends of heads, providing an obstruction between the ends of the heads, requiring a gap between the end row of tines adjacent the heads. Operating prior adjustable conveyors at a sufficient rate so that material is passed from one conveyor to the other has required spacing that allows for adjustment, which prohibits an unobstructed pickup face without gaps. Achieving a conveyor that does not require adjustable end rollers would improve the liability and eliminate constant adjustment for different configurations. If such manual adjustment is not required, control of the merger apparatus and changing between different operating configurations, or changing between storage and use positions could be accomplished remotely by an operator of the towing vehicle without having to manually adjust conveyor heads and other equipment. The utility of such a merger apparatus is increased if such changes and configurations may be made remotely during merging without having to stop.

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It can be seen that a new and improved windrow merging apparatus is needed. Such a merger should provide for a wider effective pickup face that can accommodate various spacing of windrows and material and an unobstructed pickup face so that material is not missed in the field. Such a merger apparatus should provide for a merger having more than two heads and allow for folding of the heads between a use position and a storage position, providing for transport on public roads. A merger having conveyors with fixed heads that do not require adjustment increases the reliability and efficiency of such a merger. The present invention addresses these as well as other problems associated with windrow merging devices and merging operations.

Summary of the Invention

The present invention is directed to a windrow merger apparatus, such as is commonly used to merge cut hay and/or windrows into larger windrows for harvesting or baling. The windrow merger apparatus is configured for being towed by a tractor or other vehicle, or may be self-propelled. The merger includes a frame and three pickup and transfer

assemblies in a preferred embodiment. Each of the pickup and transfer assemblies includes a pickup head and an associated conveyor. The pickup heads have sets of tines that extend radially outward from the head spaced along the length of the head, transverse to the direction of travel. The tines rotate and pick the hay or other material up and send it rearward to an associated conveyor for moving to a single windrow. The heads include removable guards intermediate the sets of tines. Motors and related equipment are recessed so that the heads are closely aligned with the tines having an unobstructed face to the hay or other material as the merger travels. Star wheels having a ratcheted outer periphery configured for engaging material on the ground and rotating to aid in picking up the material may be disposed intermediate ends of adjacent pickup heads so that an unobstructed and continuous pickup face is achieved. In one embodiment, the pickup heads also include skid assemblies that support the heads and ride over the ground. The skid assemblies are positioned to the rear of the heads so as not to interrupt the continuity of the face of the tines as the merger apparatus travels.

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In one embodiment, the pickup heads are placed under a preloaded torque to position the heads slightly lower than without the torque and are spring loaded as the pickup heads travel and engage the uneven terrain. In this manner, the heads more easily "float" over the uneven ground.

The merger has a linkage that is hydraulically driven in a preferred embodiment that folds the outer pickup and transfer assemblies rearward and inward. The center pickup and transfer assembly can be moved upward and downward in a pivoting type motion. When the pickup and transfer assemblies are raised, each outer assembly rests on supports in its raised and folded position. The folding systems are driven by hydraulic cylinders and provide for folding and unfolding even while the merger apparatus is moving and operating.

Each of the pickup and transfer assemblies includes a conveyor assembly associated with each pickup head. Shrouds extend in an arcing configuration at the rear of the conveyors over the top to direct material flung from the heads onto the conveyors. The conveyors are

driven by hydraulic motors in a preferred embodiment and are operable in either direction so that multiple merging configurations and operations are possible. The merging arrangement selected is varied by adjusting both the configuration of the pickup and transfer assemblies and the direction of the conveyors.

In a preferred embodiment, the conveyors include pulleys or rollers that are formed with blades radially disposed around a periphery of the pulley and engaging the conveyor belt. The pulleys do not have a through axle and the blades chop up material that falls off of the conveyor. Such a configuration also helps to avoid clogging, which may occur with material engaging through axles and other moving parts. Such pulleys also reduce the weight of the machine when compared to conventional pulleys.

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Controls for the merger can be remotely actuated from the cab if the merger is self propelled, or from the cab of the tractor or other propulsion device. Since hydraulic motors drive the various systems on the merger, central hydraulic controls provide for simple, reliable remote actuation that can be accomplished from the cab of a towing vehicle while the merger operates and continues to travel. The tractor and the merger may simultaneously be operated from a single location by one person with such a control arrangement.

These features of novelty and various other advantages that characterize the invention are pointed out with particularity in the claims annexed hereto and forming a part hereof. However, for a better understanding of the invention, its advantages, and the objects obtained by its use, reference should be made to the drawings which form a further part hereof, and to the accompanying descriptive matter, in which there is illustrated and described a preferred embodiment of the present invention.

Brief Description of the Drawings

Referring to the drawings wherein like reference numerals and letters indicate corresponding elements throughout the several views:

Figure 1 is a perspective view of a merger apparatus according to the principles of the present invention in a use position with conveyor shrouds removed for clarity;

Figure 2 is a top plan view of the merger shown in Figure 1 in the use position;

Figure 3 is a side elevational view of the merger shown in Figure 1 in the use position;

Figure 4 is a front perspective view of the merger shown in Figure 1 in the use position;

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Figure 5 is a perspective view of the merger shown in Figure 1 with two heads at a folded position;

Figure 6 is a top plan view of the merger shown in Figure 5 with the two outer heads at the folded position;

Figure 7 is a side elevational view of the merger shown in Figure 5 with the two outer heads at the folded position and the center head raised;

Figure 8 is a front perspective view of the merger shown in Figure 1 with one head at a folded position;

Figure 9 is a rear perspective view of the merger shown in Figure 8 with one head at a folded position;

Figure 10 is a side elevational view of a star wheel device for the merger apparatus shown in Figure 1;

Figure 11 shows an end sectional view of a hexagonal shaft construction utilized in the conveyor of the merger apparatus;

Figure 12 shows a schematic view of the control system for the merger apparatus shown in Figure 1;

Figure 13 is an elevational of a pretorque device showing a head angled from the pretorque and at a non-torqued position in phantom;

Figure 14 is a bottom perspective view of the merger shown in Figure 1;

Figure 15 is a perspective view of a head assembly according to the principles of the present invention;

Figure 16 is a bottom perspective view of a conveyor;

Figure 17 is an end sectional view of a pickup and transport assembly;

Figure 18 is an end elevational view of the pre-torque device for the head of the merger;

Figure 19 is a partially exploded perspective view of a pickup and transport assembly for the merger apparatus;

Figure 20 is a perspective partially exploded view of a conveyor for the merger apparatus;

Figure 21 is a perspective view of a conveyor roller; and

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Figures 22-24 are diagrammatic views of patterns of merger methods according to the principles of the present invention.

Detailed Description

Referring now to the drawings, and in particular to Figure 1, there is shown a merger apparatus, generally designated 100. A towing device, generally a tractor 1000 tows the merger apparatus 100. In other configurations, the merger apparatus 100 may be self propelled, such as is well known to those skilled in the art and the principles of the present invention apply to towed and self-propelled devices.

As shown generally in Figures 1-4, the merger includes three pickup and transfer assemblies, designated 104, 106 and 108. The assemblies 104, 106 and 108 are supported on a framework 101 including folding arms 102 that provides for movement of the outer assemblies 104 and 108 between a use position and a folded position, as explained hereinafter. The merger apparatus 100 includes steerable rear wheels 114 and a hitch 110 for mounting to the tractor 1000. A steering linkage 116, such as is well known in the art, connects the towing vehicle 1000 to the rear wheels 114 to improve tracking so that the merger apparatus 100 substantially follows directly behind the towing vehicle 1000. A power take off typically provides the power to the merger apparatus 100 from the towing vehicle 1000. In addition, the controls are mounted in the cab of the towing vehicle 1000, as explained hereinafter, so that an operator may control all merger functions without having to stop the merging operation and make manual adjustments to the merger 100.

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The folding arm 102 is actuated by a linkage 118 to facilitate movement of the pivoting assemblies 104, 106 and 108 between a use position to a storage position. Each pickup and transfer assembly 104, 106 and 108 includes a head unit, designated 124, 126 and 128 respectively. The heads 124, 126 and 128 pick up and deliver the crop rearward to corresponding conveyors 134, 136 and 138. As shown in Figures 4, 15 and 16, each pickup and transfer assembly 104, 106 and 108 includes an arcing shroud 160. The shrouds 160 intercept material that has been thrown rearward and directs it downward onto the corresponding conveyor. The shrouds 160 are shown removed for clarity in several of the views, but is foreseen that the shrouds 160 will be utilized in most merging operations.

The merger 100 has flexibility with respect to conveyor travel direction as well as with respect to the number of heads operating, so that multiple configurations for different merging needs and operation are possible. As shown in Figures 1-4, the merger 100 may be operated with all three pickup and transfer assemblies, 104, 106 and 108 in a lowered operating position. When operated in this mode, the merger 100 can cover a much wider swath, merging more material and a greater number of windrows than is possible with prior merger devices.

As shown in Figures 8 and 9, either of the outer pickup assemblies, namely pickup assembly 104 or pickup assembly 108, may be raised independently of each of the other assemblies. With such a configuration, the merger 100 is able to operate with two of the pickup and transfer assemblies, therefore merging a swath two thirds of the full width of the merger 100, such as when configured as shown in Figures 1-4. Such a two head merging configuration may be needed for irregularities in the terrain, to access smaller fields or irregular shaped fields, or for improved merging at edges of fields.

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As also shown in Figures 8 and 9, either the assembly 104 or the assembly 108 may be raised independently of the other assemblies. This provides for merging while having either of the pickup and transfer assemblies 104 or 108 extending to one side or the other of the towing vehicle 1000. In addition, the conveyors 134, 136 and 138 are reversible, to be operable in both directions so that material may be selectively transported either to the left or the right. The conveyors 134, 136 and 138 may also operate in either direction when one of the pickup and transport assemblies is raised. With such flexibility, it is possible to direct material to the outer ends of the pickup and transport assemblies 104 or 108 or to either of the ends of transport assembly 106, depending on the configuration of the merger 100 and the needs of the merging apparatus.

Referring now to Figures 5-7, the merger 100 may have both pickup assemblies 104 and 108 raised simultaneously while the center pickup and transfer assembly 106 remains lowered in an operating position. The pickup assemblies 104 and 108 generally rest on supports 122 while raised and folded. The pickup assembly 106 may be operated as a single conveyor merger with its head 126 collecting material and throwing it backward to the associated conveyor 136 for transport to either end of the pickup assembly 106. With this configuration, even smaller areas may be accessed with a merger capable of collecting material from much greater area per pass than is possible with prior art merger devices. In addition, as shown in Figure 7, all of the pickup and transfer assemblies 104, 106 and 108 may be raised for transporting the merger when not operating to collect material. In this configuration, with all three pickup and transfer assemblies 104, 106, and 108 are raised, the

merger 100 is capable of transport on public roads. The pickup and transfer assemblies 104 and 108 fold rearward and inward to minimize the overall width and height of the merger 100. The merger 100 has the additional advantage of being centered behind the transport vehicle in its transport configuration. As the conveyors and folding linkages are independently operable, the merger 100 may be operated continuously, even while the pickup and transfer assemblies 104, 106 and 108 are being raised or lowered. This control provides for continuous operation and improved efficiency that is not possible with prior merger devices that require that the merger be stopped to raise, lower or otherwise adjust any of the merger's equipment.

The pickup and transport assemblies 104 and 108 mount to the folding arm 102 on mounts 192 attached to a pickup and transport assembly support frame 190 associated with each assembly 104 or 108. Each of the pickup and transfer assemblies 104, 106 and 108 is interchangeable with the other pickup and transfer assemblies and vary only in their mounting to the merger 100. This interchangeability reduces the parts inventory necessary for servicing the merger device 100 and decreases down time when repair of one of the pickup assemblies is required.

Merger Heads

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Referring now to Figures 14, 15 and 17, the merger heads 124, 126 and 128 include a multiplicity of tines 200 spaced along the length of each head. In addition, as shown most clearly in Figure 17, the tines 200 are also spaced radially around the circumference of an arbor 168 along the length of the head. The tines 200 are somewhat flexible and are pivotally mounted to provide flexure should a tine engage the ground or other obstacle. Intermediate the sets of tines 200 are guards 202 that extend around the top front and bottom of the arbor 168 and provide protection to the inner equipment of the heads. The guards 202 are straight members in a preferred embodiment that are bent and mounted under tension, as explained in co-pending U.S. Patent Application Serial No. 10/027,930, incorporated herein by reference. The tines 200 and guards 202 are easily removable and replaced should damage occur. The

guards 202 may be loosened at one end while remaining attached at a second end to provide easy access to damaged tines 200 and perform other maintenance. The heads include a housing 204 that is mounted to the corresponding pickup and transfer assembly on the preloaded torque assemblies 210 as shown in Figure 19. The preloaded torque assemblies 210 provide a lifting force against the weight of the heads 124, 126 and 128 to improve the ride as the merger 100 travels over irregularities in the terrain. As shown most clearly in Figure 17, the heads 124, 126 and 128 are mounted with approximately 15 degrees of bias from the preloaded torque assembly in variance to a non-torqued position, shown in phantom in Figure 13. The heads are therefore spring loaded and rise up and over rocks and other irregularities with greater ease. In addition to improving the ability to "ride" over irregularities, the preloading force improves performance by increasing contact time with material to be picked up. The flexure also decreases the damage and therefore, maintenance and down time for the merger.

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Referring now to Figure 18, the preloaded torque assembly 210 includes a center rectangular floating element 212 that is supported by four resilient members 214 spaced on the four sides of the center floating element 212. A housing 216 retains the center member 212 and the resilient support members 214 and allows for mounting of the heads to the preloaded torque assembly 210.

As shown most clearly in Figure 15, a hydraulic motor 206 drives each arbor 168 for the heads 124, 126 and 128. The hydraulic motor 206 is recessed into the housing 204 of the heads 124, 126 and 128. With the hydraulic motor 206 recessed, the ends of the heads 124, 126 and 128 are positioned substantially to abut one another. No obstruction due to drive mechanisms, support wheels or other mechanisms inserted intermediate the adjacent heads is required, as was typical with previous merger devices. Therefore, the end tines 202 of one head are close to the tines 202 of another head so that there are no large gaps between the heads as occur with previous merger devices, which had motors and other equipment between heads. In addition, the merger includes star wheels 162 mounted intermediate ends of the heads 124 and 126 and intermediate ends of the heads 126 and 128. The star wheels 162 are

rotatably mounted to the housing 204 at the ends of the heads, as shown most clearly in Figure 19. As shown in Figure 10, the star wheels 162 include a number of teeth spaced around the periphery of the star wheel 162 in a notched configuration. As the star wheels 162 rotate, the notches and teeth intercept material that is not picked by the end tines 202 of the adjacent heads and aids in directing the material rearward. The star wheels 162 eliminate gaps and minimize the material that is not picked up and left on the ground after the merger 100 passes.

The heads 124, 126 and 128 of the present invention provide an unobstructed pickup face to engage the material to be windrowed as the merger 100 moves. Recessed hydraulic motors 206 allow for placing the ends of the heads 124, 126 and 128 in close proximity to one another and eliminate the large gaps where prior art drive support mergers and other mechanisms and machinery were located. Moreover, the star wheels 162 inserted intermediate the ends of heads 124, 126 and intermediate the ends of the heads 126 and 128, as shown most clearly in Figure 4, ensure that the face of the merger is unobstructed and continuous. The merger 100 provides improved pickup while achieving a greater width per pass than has been seen heretofore.

Folding

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A folding linkage 118 that is hydraulically driven accomplishes the folding of the pickup and transfer assemblies 104, 106 and 108. The outer pickup and transfer assemblies 104 and 108 are folded rearward and inward from the use position shown in Figure 1, to the storage position shown in Figure 5. The pickup and transport assemblies 104 and 108 are directed up, in and to the rear at the folded position shown in Figure 5. The arms 102 of the folding frame 101 engage and rest on supports 122 at their folded position. Folding of each of the assemblies 104, 106 and 108 can occur independently from folding of the other assemblies and can occur while the merger 100 is in operation and moving. The pickup and transfer assemblies 104, 106 and 108 are substantially compact and are interchangeable so that a spare head may be utilized and mounted to either of the folding linkages 118 or mounted as the

center head 106.

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Material Transport System

Each of the pickup and transport assemblies 104, 106 and 108 includes an associated conveyor assembly, 134, 136 and 138 respectively, such as shown in Figures 1, 4, 5 and 14. Although not shown in several of the views, the conveyor assemblies include shrouds 160 as shown in Figure 15. The shrouds 160 are generally positioned behind the rear edge of the conveyor and extend upward and forward in an arcing configuration over the conveyors to direct material thrown rearward by the heads down onto the moving conveyor. This configuration ensures that a greater percentage of the material is actually transferred and merged.

Referring to Figures 16, 19 and 20, each of the conveyors 134, 136 and 138 includes a belt 170 mounted above end pulleys (also commonly called rollers) 172. The upper length of the belt 170 travels on horizontal supports 240 extending along the length of the belt. A tensioner pulley 174 is positioned below and intermediate the end pulleys and mounts in a slot 178 in a tensioner plate 176. The slot 178 extends vertically so that the weight of the tensioner pulley 174 aids in providing proper tension to the conveyor belt 170.

Each of the pulleys 172 and 174 is uniquely configured to eliminate a center axle and its associated problems. The pulleys 172 and 174 are similar with the only differences occurring in the manner they are mounted and driven. The rollers 172 and 174 include a plurality of blades 180 spaced about a periphery of bulkheads 182 and center V-rings 184. In the embodiment shown, twelve of such blades 180 are utilized, but other configurations with fewer or more blades could also be utilized. The bulkheads 182 include radially extending slots, as shown in Figure 21 to position and hold the blades 180. The V-rings also provide for engaging a tracking member from the belt 170 in some configurations to ensure that the belt does not drift laterally and remains properly aligned. The blades 180 preferably include a shallow arcing profile so that a slight crown is provided to the belt 170. With the multiple blades 180 and with elimination of a center axle, material that otherwise may fall into the

roller area may be chopped up by the blades 180. In addition, material is less likely to stick, jam or bind axles, as is a common problem with conveyor pulleys. The pulleys 172 and 174 include a bearing housing 188 at one or both ends. In addition, at least one of the rollers includes a drive socket for receiving a drive shaft from a hydraulic conveyor motor 230 as shown in Figure 20. The bearing housings 188 receive bearings 232 that connect over mounts 234. As shown in Figure 11, the merger 100 utilizes hex pins 236 throughout its construction that engage an inner hex shaped surface of the bearings 232 and provides for simpler assembly and maintenance, due to improved tool access and engagement. Tension on the belt 170 is applied by connecting the ends at a seam 220 and tightening. The seam 220 defines a flange, as the belt 170 typically includes a plurality of flanges extending transverse to the length of the belt to aid in moving material. The seam 220 includes a hinge 222 that receives a pin and is folded over for tightening. The ends of the belt 170 are then bolted together or otherwise connected to provide proper tension on the belt.

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With the present configuration of the conveyors, the ends are fixed rather than sliding. This keeps the pulleys 172 at a fixed mounting location and provides advantages in alignment and reconfiguration. With fixed ends, no adjustment is made as the pickup and transport assemblies 104, 106 and 108 are folded or unfolded. Since there is no spacing needed for adjustment, overall width is decreased and ends of the conveyors are maintained in a closer proximity. Moreover, it has been found that with the motors for the heads recessed, the ends of the conveyors 134, 136 and 138 are sufficiently close so that at operating speed, material does not fall between the ends of the belts and is propelled forward with sufficient momentum to reach the belt 170 of the next adjacent conveyor.

The tension is also critical as the hydraulic conveyor motors 230 are reversible so that the conveyors 134, 136 and 138 may be operated to direct material for merging to either end of the merger 100. In addition, the conveyors 134, 136 and 138 are operable independent of one another to provide greater flexibility with merging material than is possible with the prior art. Tensioning becomes more critical as the belt 170 is pulled when the belt travels in a first direction, but is pushed when the belt 170 travels in a second direction. If the belt 170 is not

in a proper tension and does not have proper tracking devices, the conveyors may jam or otherwise malfunction. The present invention provides sufficient tracking, drive and alignment that such problems are overcome.

Controls

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Referring now to Figure 12 there is shown the controls 300 for the merger 100. The controls 300 are remotely actuated from the cab of the tractor 1000 in a preferred embodiment, but may also be utilized in the cab of self propelled embodiments. The control panel 300 includes switches to control the function of the merger 100. Three position toggles switches 304, 306 and 308 control the conveyor belt direction for the pickup and transfer assemblies 104, 106 and 108, respectively. The switch 304 controls the left conveyor and pickup and transfer assembly 104. The switch 304 controls the center conveyor 134 and the head 124. The switch 306 controls the center conveyor 136 and the head 126. The switch 308 controls the center conveyor 138 and the head 128. In one position, the conveyors travel to the left and in another position, the conveyors travel to the right. With the switches 304, 306 or 308 in the middle position, the associated conveyor and pick up head are turned off.

The control panel also includes switches 314, 316 and 318 to control raising and lowering of the pickup and transfer assemblies 104, 106 and 108, respectively. In a preferred embodiment, the switches 314, 316 and 318 are rocker type switches. The switches 314, 316 and 318 allow for clearing obstructions and positioning the pickup and transfer assemblies 104, 106 and 108 for road travel. A switch 320 allows for height adjustment of the heads 124, 126 and 128. The controls 300 are easily accessible by an operator and provide for adjustment while moving. The controls 300 include wiring leading to electro-hydraulic valves on the merger 100 in a preferred embodiment. Hydraulic pressure is provided by the hydraulic system of the tractor 1000 for lifting functions. The heads 124, 126 and 128 and the conveyors 134, 136 and 138 are powered by a tractor power take off driven hydraulic pump. The hydraulic system also provides for a floating operation for the heads 124, 126 and 128 when the associated conveyor is running in the normal operating condition.

Supports

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Referring now to Figures 16 and 19, skid assemblies 140 provide support and height adjustment for the pickup and transport assemblies 104, 106 and 108. The skid assemblies 140 include a rear wheel 142 and one or more front rollers 148 mounted on a skid body 144. A ski type member 146 may extend forward with a lower ramped lead surface to provide for more easily traveling over uneven terrain. The skid assemblies 140 include adjustment holes 154 and 152 for adjusting the position of the rollers 148 as well as their mounting position on the pickup and transfer assemblies 104, 106 and 108. A linkage 218 is utilized for adjusting the position of the skid assembly 140 and therefore the height of the associated support head. The skid assemblies 140 are positioned below the conveyors 134, 136 and 138 to the rear of the heads 124, 126 and 128, as also shown in Figure 17. The positioning of the skid assemblies 140 eliminates impinging on the operation of the heads and provides for achieving an unobstructed face for the pickup heads 124, 126 and 128.

Merging Operations

Referring to Figures 22-24, the merger apparatus 100 may be configured for varying the merging patterns. As shown in Figure 23, the conveyors can be operated with two conveyors driven in a first direction and a third conveyor driven in the opposite direction. Figure 23 shows the merger 100 configured for a typical merging operation with all conveyors operating in the same direction. It can be appreciated that the merger 100 may also be operated with only two heads or one head. Such merging patterns are shown in U.S. Patent 6,205,757 to Dow.

As shown in Figure 24, the merger may create a double windrow B by transferring a single windrow onto another single windrow A. This is accomplished with a single pickup and transfer assembly operating in a first direction. Triple windrows C are created with two pickup and transfer assemblies operating in the same direction to merge two windrows onto a single windrow A. As shown in Figure 22, quadruple windrows D are created when three windrows are transferred onto a single windrow A.

As shown in Figure 23, the merger 100 may be operated with all three pickup and transfer assemblies operating in the same direction. With such a configuration, three windrows may be merged from each side of a single windrow A. Six windrows may be merged to create a septuple windrow G as the merger 100 is advanced up and down the windrows.

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It can be appreciated that the merger 100 of the present invention provides for changing the number of pickup and transfer assemblies operating and the direction of the conveyors to combine windrows into larger merged windrows. Any combination of from one to seven windrows may be achieved by the merger 100 to meet the capabilities of the harvesting equipment and account for the field and weather conditions.

These features of novelty and various other advantages that characterize the invention are pointed out with particularity in the claims annexed hereto and forming a part hereof. However, for a better understanding of the invention, its advantages, and the objects obtained by its use, reference should be made to the drawings which form a further part hereof, and to the accompanying descriptive matter, in which there is illustrated and described a preferred embodiment of the invention.

WE CLAIM:

1. A windrow merger, comprising:

a merger assembly including a center pick up head, a left pick up head, and a right pick up head, each of the center, left, and right pick up heads having a length, the left and right pick up heads each being positionable in an extended use position and a retracted travel position;

wherein the lengths of the left and right pick up heads are generally transverse to a direction of travel when positioned in the extended use position, and wherein the lengths of the left and right pick up heads are generally aligned with the direction of travel when position in the retracted travel position, the left and right pick up heads further being located above the center pick up head when positioned in the retracted travel position.

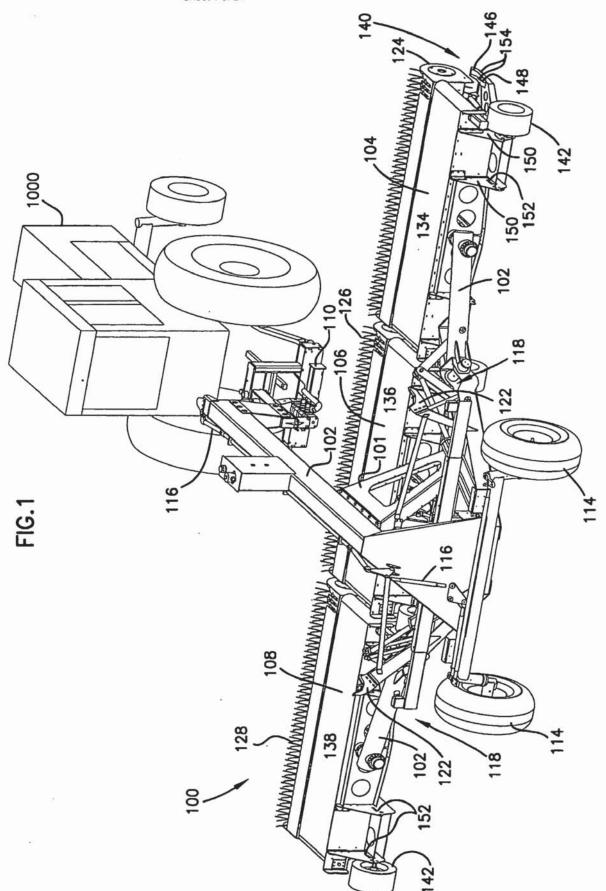
Abstract

A windrow merger has a frame supporting first, second and third pickup assemblies, with the two outside pickup assemblies foldable between an extended use position and a retracted travel position. Each of the pickup assemblies includes a reversible conveyor providing multiple windrow merging configurations. The pickup assemblies are interchangeable and aligned to define an unobstructed pickup face.

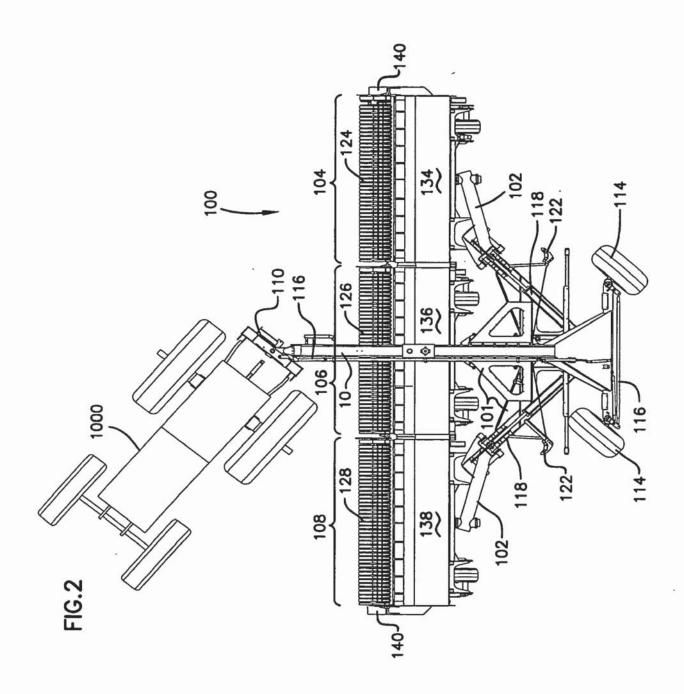
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Inventor: DOW ET AL

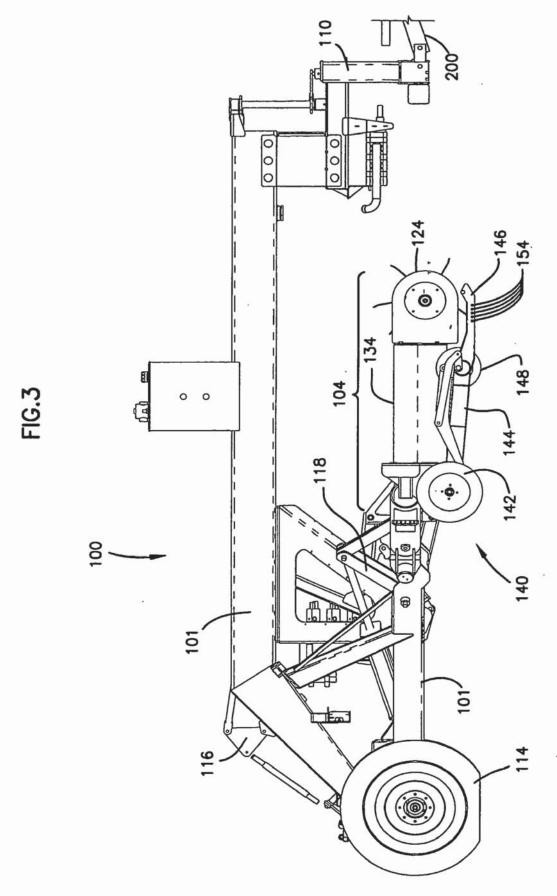
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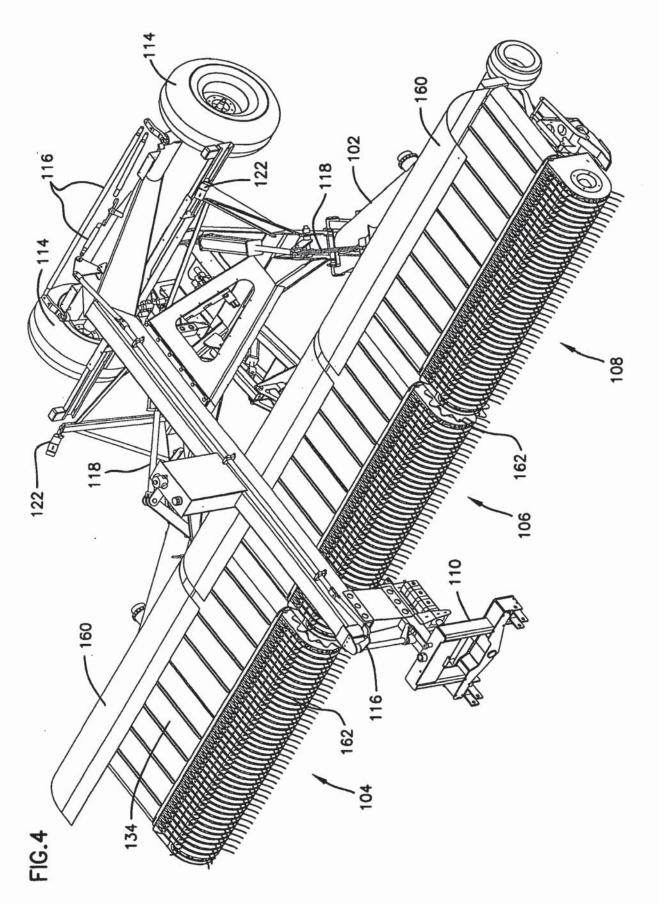
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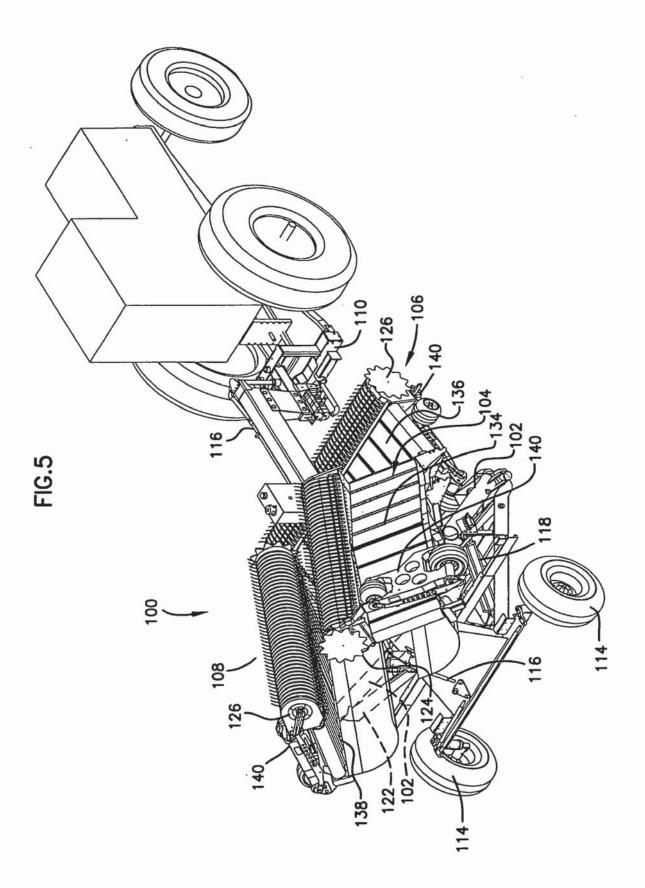
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Sheet 3 of 24



Inventor: DOW ET AL
Docket No.: 12821.16USC2
Title: WINDROW MERGING APPARATUS
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Sheet 4 of 24



Inventor: DOW ET AL
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Title: WINDROW MERGING APPARATUS
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Phone No.: 612.371.5340
Sheet 5 of 24



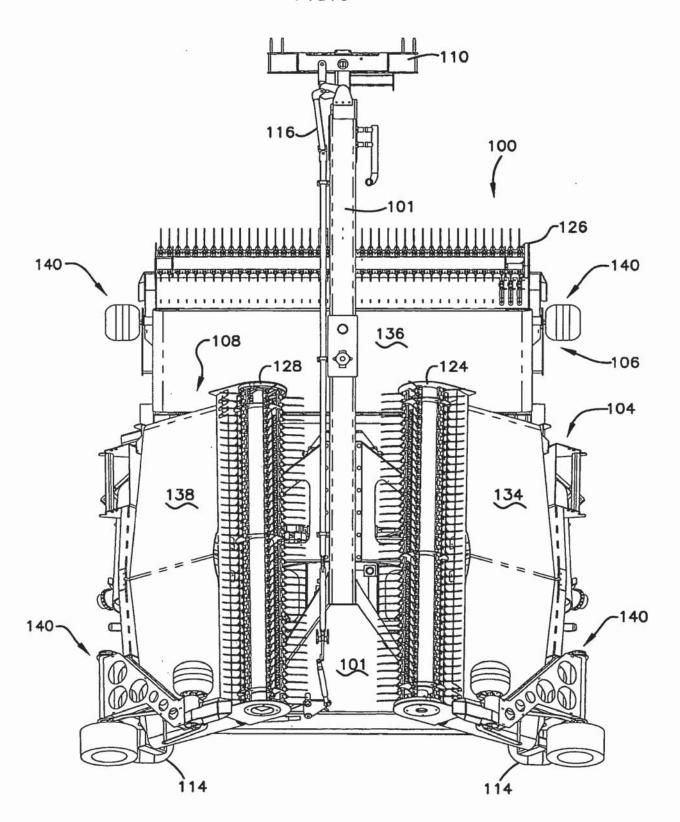
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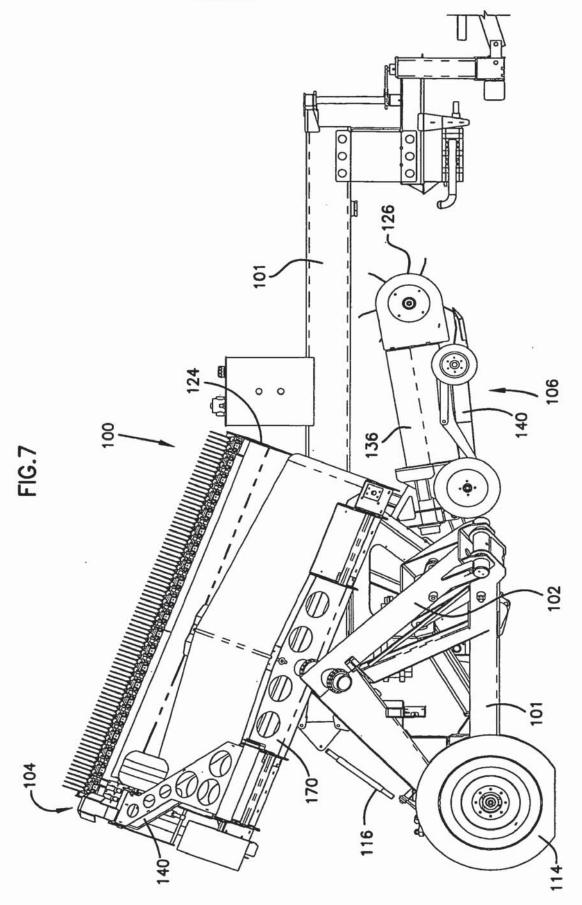
Sheet 6 of 24

FIG.6

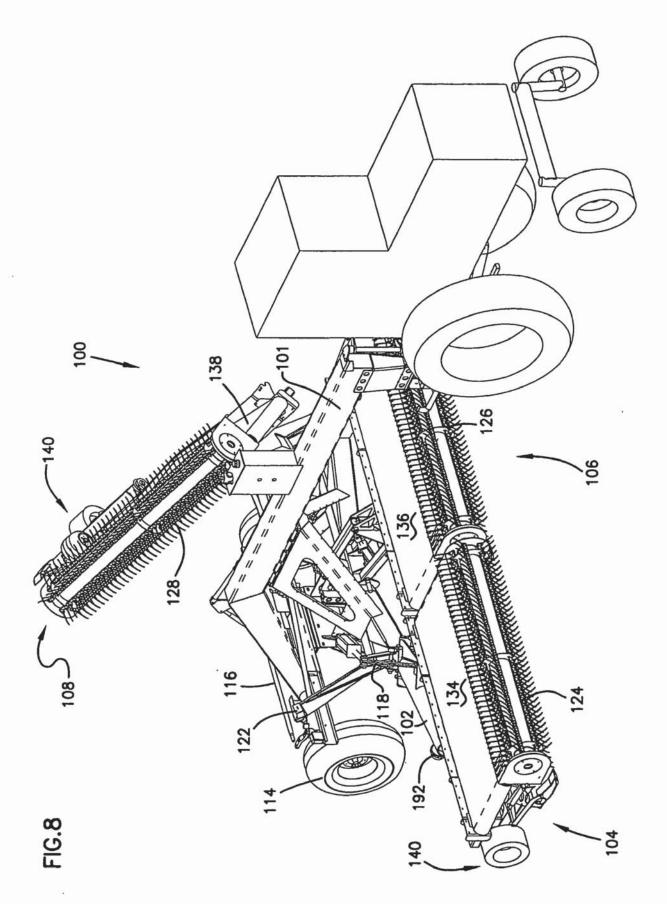


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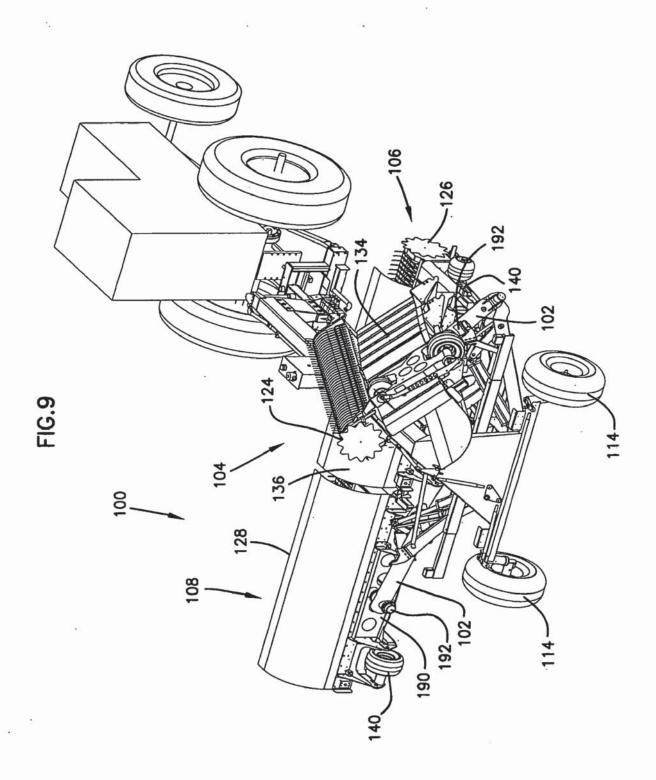
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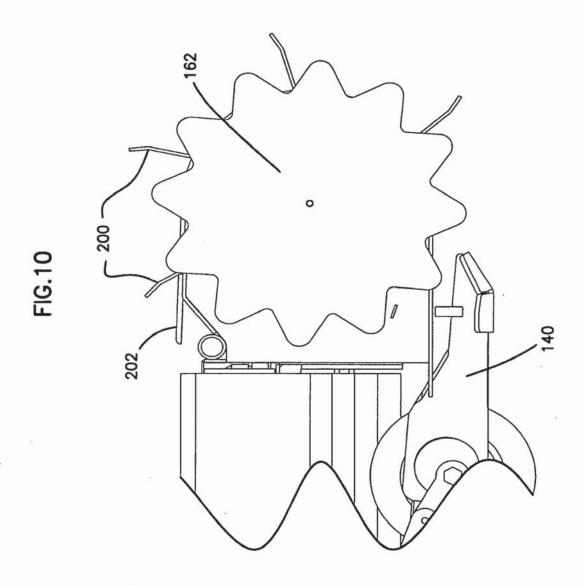
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Phone No.: 612.371.5340
Sheet 8 of 24



Inventor: DOW ET AL
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Phone No.: 612.371.5340
Sheet 9 of 24

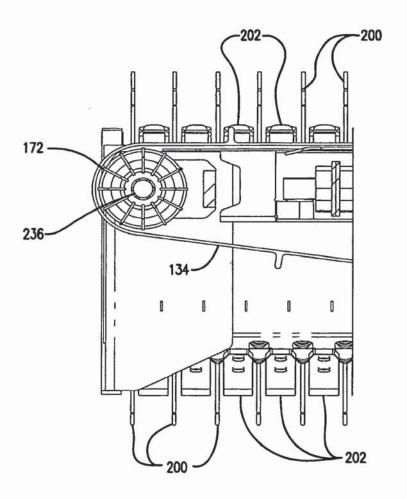


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Sheet 10 of 24

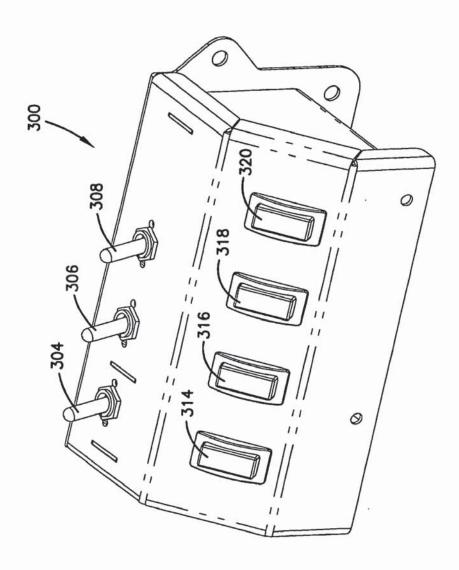


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Docket No.: 12821.16USC2
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Phone No.: 612.371.5340
Sheet 11 of 24

FIG.11

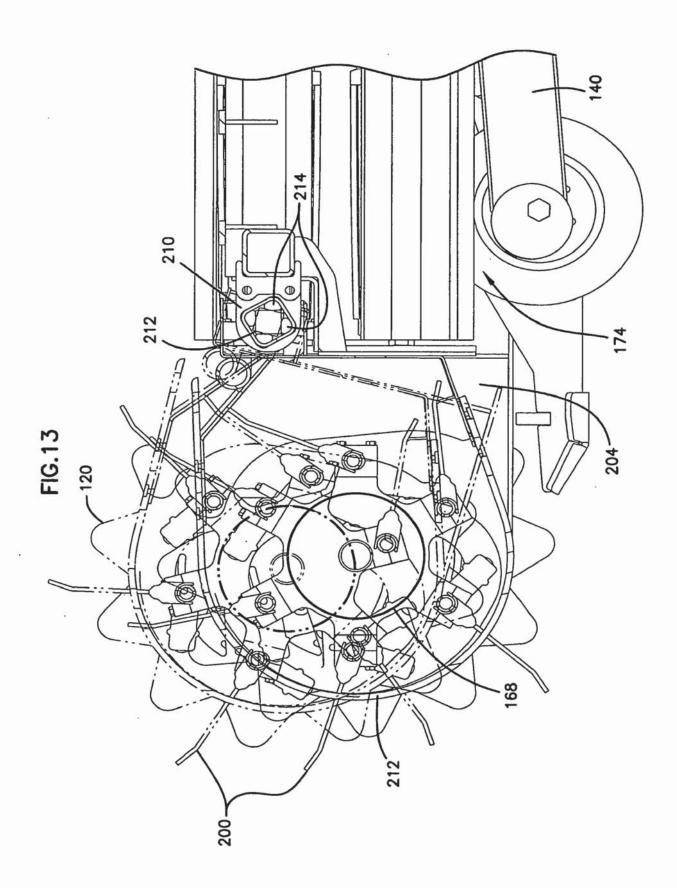


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Phone No.: 612.371.5340
Sheet 12 of 24

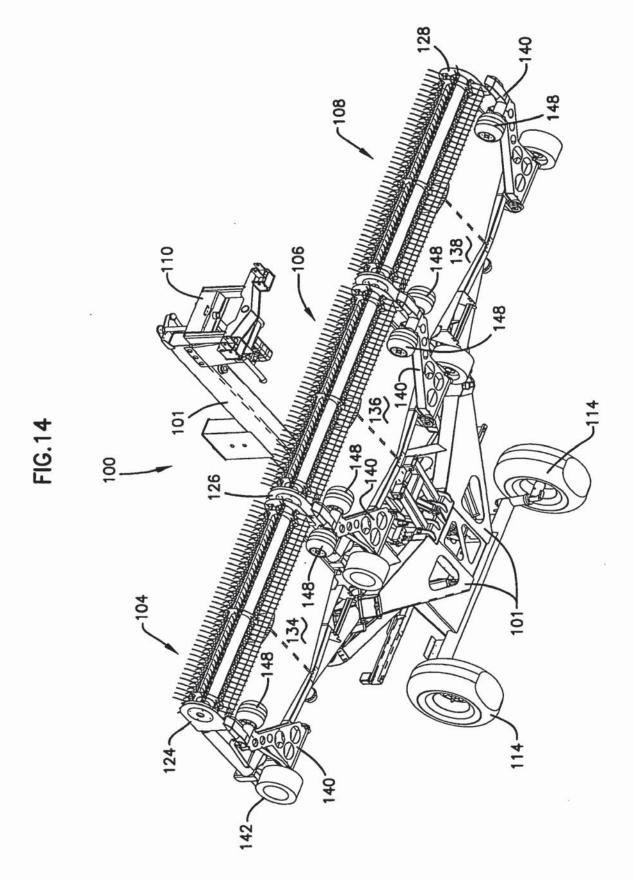


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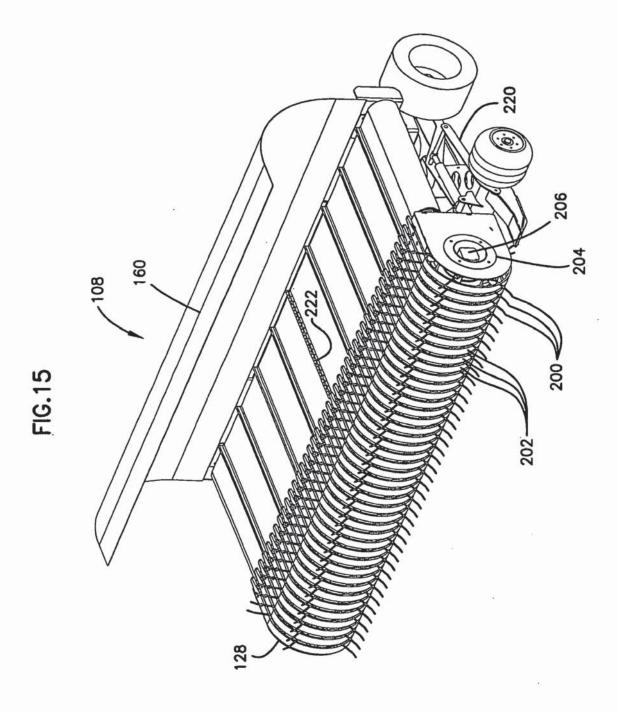
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Sheet 14 of 24

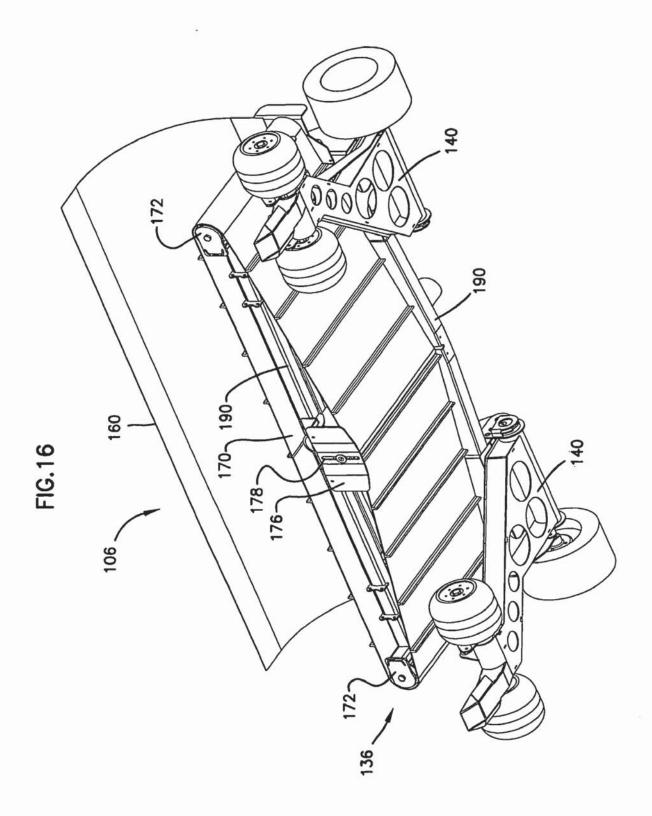


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Sheet 15 of 24

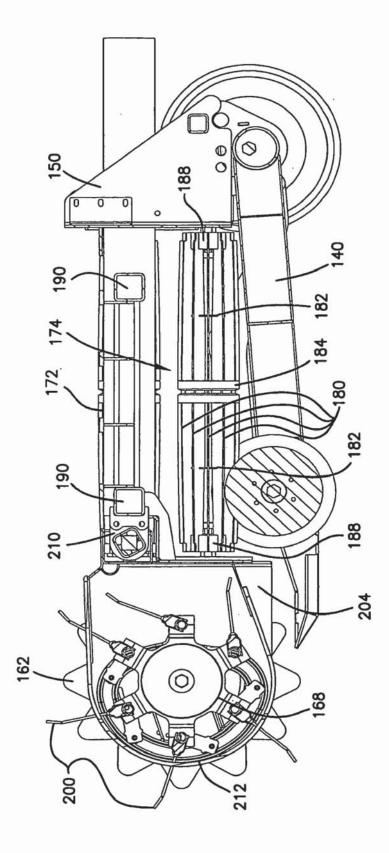


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Phone No.: 612.371.5340
Sheet 16 of 24

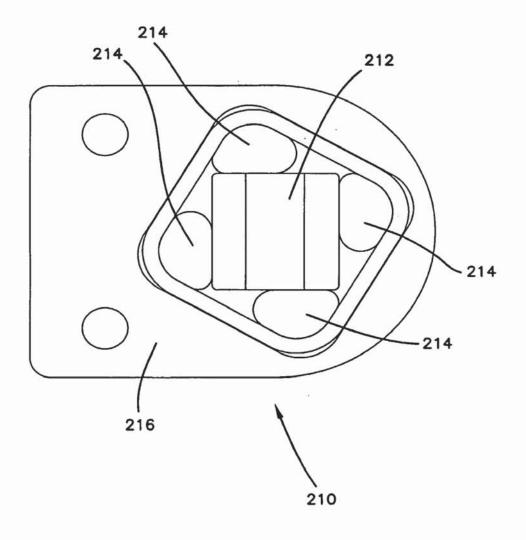


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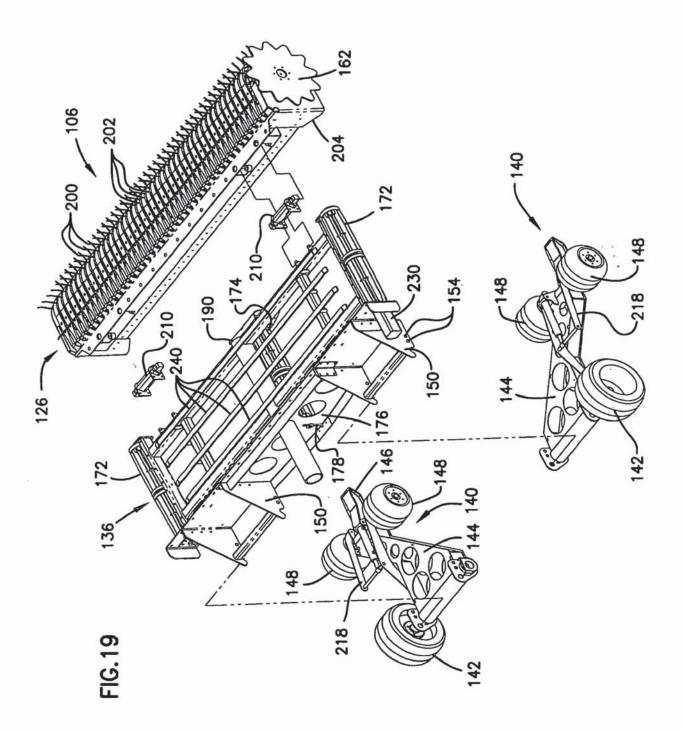


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Docket No.: 12821.16USC2
Title: WINDROW MERGING APPARATUS
Attorney Name: KAREN A. FITZSIMMONS
Phone No.: 612.371.5340
Sheet 18 of 24

FIG.18

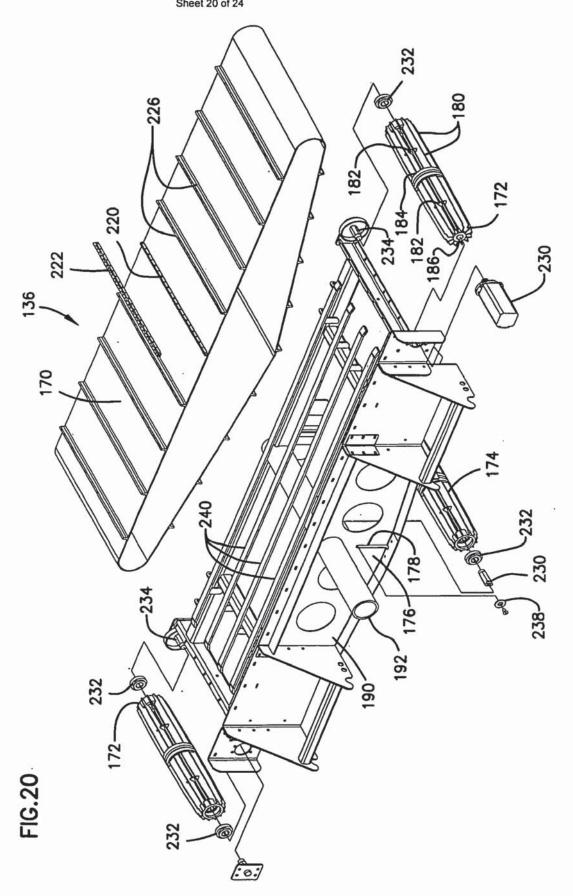


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Sheet 19 of 24

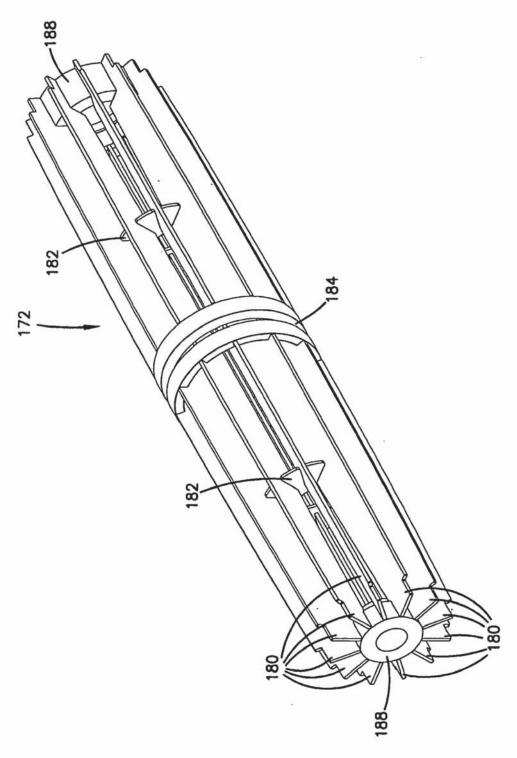


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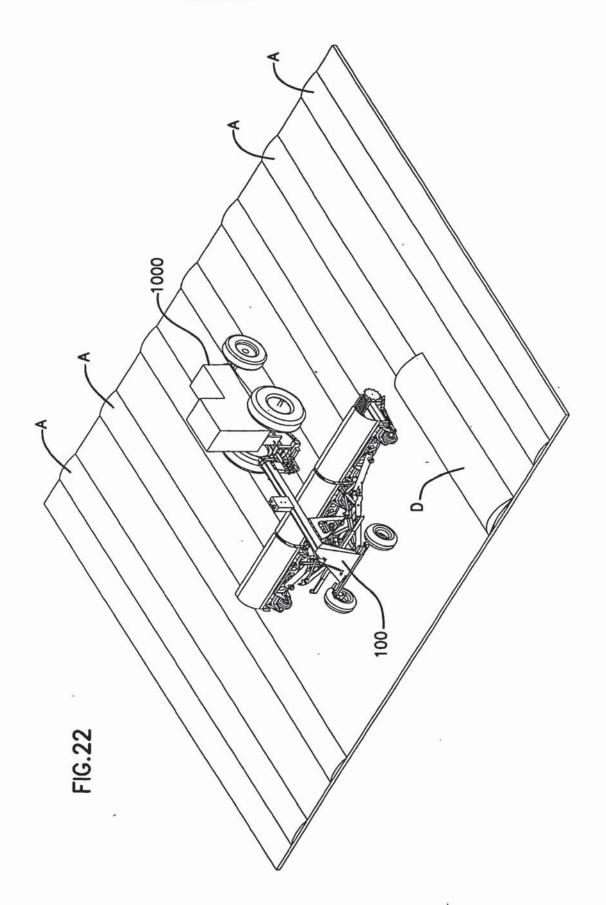
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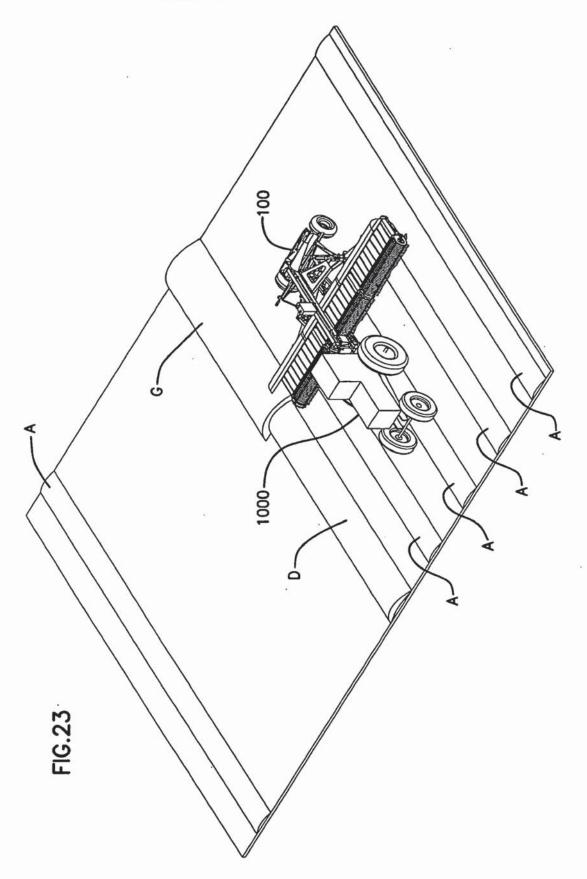
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Sheet 21 of 24



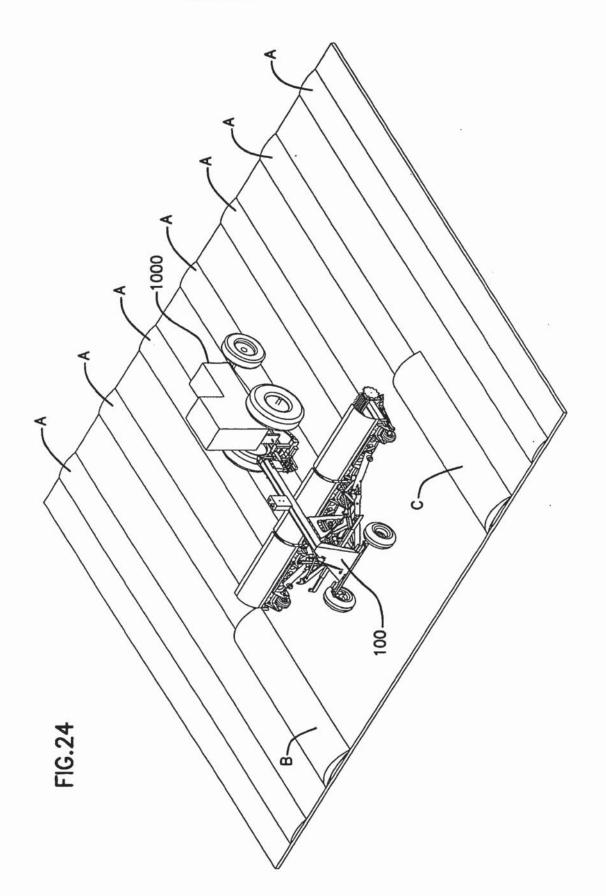
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Sheet 22 of 24



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Sheet 23 of 24



Inventor: DOW ET AL
Docket No.: 12821.16USC2
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Phone No.: 612.371.5340
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The specification of which a.
is attached hereto

MERCHANT & GOULD P.C.

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: WINDROW MERGING APPARATUS.

b. was filed on March 31, 2003 as application serial no. 10/405,030 which I have reviewed and for which I solicit a United States

| patent. | | | | | | |
|--|---------------------------------------|--|--|-----------------------------|---|--------|
| I hereby state that I have review any amendment referred to above | | nderstand the contents of t | he above-identified sp | ecification, in | ncluding the claims, as amended | by |
| I hereby claim foreign priority to certificate listed below and have that of the application on the ba | also ide | ntified below any foreign a | | | | |
| a. \(\subseteq \) no such applications have be such applications have be | | | | | | |
| F | OREIGN A | APPLICATION(S), IF ANY, C | LAIMING PRIORITY UN | DER 35 USC § | 119 | _ |
| COUNTRY | APP | LICATION NUMBER | DATE OF FILING (day, month, year) | | DATE OF ISSUE (day, month, year) | |
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| I hereby claim the benefit under below and, insofar as the subject manner provided by the first part defined in Title 37, Code of Fed or PCT international filing date | t matter of agraph of leral Reg | of each of the claims of thi f Title 35, United States C ulations, § 1.56(a) which o | s application is not dis ode, § 112, I acknowle | closed in the edge the duty | prior United States application i to disclose material information | in the |
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I acknowledge the duty to disclose information that is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (reprinted below):

§ 1.56 Duty to disclose information material to patentability.

OI

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
 - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application:
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

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| Golla, Charles E. | Reg. No. 26,896 | Stoll-DeBell, Kirstin L. | Reg. No. 43,164 |
| Gorman, Alan G. | Reg. No. 38,472 | Sullivan, Timothy | Reg. No. 47,981 |
| Gotfredson, Garen J. | Reg. No. 44,722 | Swenson, Erik G. | Reg. No. 45,147 |
| Gould, John D. | Reg. No. 18,223 | Tellekson, David K. | Reg. No. 32,314 |
| Gregson, Richard | Reg. No. 41,804 | Trembath, Jon R. | Reg. No. 38,344 |
| Gresens, John J. | Reg. No. 33,112 | Tunheim, Marcia A. | Reg. No. 42,189 |
| Hamre, Curtis B. | Reg. No. 29,165 | Underhill, Albert L. | Reg. No. 27,403 |
| Hennings, Mark | Reg. No. 48,982 | Vidovich, Kristin K. | Reg. No. 41,448 |
| Hertzberg, Brett A. | Reg. No. 42,660 | Wahl, John R. | Reg. No. 33,044 |
| Hillson, Randall A. | Reg. No. 31,838 | Welter, Paul A. | Reg. No. 20,890 |
| Hope, Leonard J. | Reg. No. 44,774 | Whitaker, John E. | Reg. No. 42,222 |
| Hornsby, III, Alton | Reg. No. 47,299 | Wiegand, Jamie | Reg. No. 52,361 |
| Jacobson, Charlie A. | Reg. No. P-53,061 | Wier, David D. | Reg. No. 48,229 |
| Johns, Nicholas P. | Reg. No. 48,995 | Williams, Douglas J. | Reg. No. 27,054 |
| Johnston, Scott W. | Reg. No. 39,721 | Withers, James D. | Reg. No. 40,376 |
| Kalinsky, Robert A. | Reg. No. 50,471 | Wong, Bryan A. | Reg. No. 50,836 |
| Kettelberger, Denise | Reg. No. 33,924 | Wong, Thomas S. | Reg. No. 48,577 |
| Keys, Jeramie J. | Reg. No. 42,724 | Xia, Tim Tingkang | Reg. No. 45,242 |
| Knearl, Homer L. | Reg. No. 21,197 | Zeuli, Anthony R. | Reg. No. 45,255 |
| Korver, Joshua W. | Reg. No. 51,894 | | |
| Kowalchyk, Alan W. | Reg. No. 31,535 | | |
| Kowalchyk, Katherine M. | Reg. No. 36,848 | | |
| Lamberty, Michael | Reg. No. 50,760 | | |
| Larson, James A. | Reg. No. 40,443 | | |
| | | | |

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant & Gould P.C. to the contrary.

I understand that the execution of this document, and the grant of a power of attorney, does not in itself establish an attorney-client relationship between the undersigned and the law firm Merchant & Gould P.C., or any of its attorneys.

Please direct all correspondence in this case to Merchant & Gould P.C. at the address indicated below:

Merchant & Gould P.C. P.O. Box 2903 Minneapolis, MN 55402-0903

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| 2 | Full Name Of Inventor | Family Name DOW | First Given Name Paul | | Second Given Name W. |
|-------|----------------------------|-------------------------------|--------------------------------------|----------|---|
| 0 | Residence & Citizenship | City Byron | State or Foreign Country New York | | Country of Citizenship U.S.A. |
| 1 | Mailing Address | Address 7232 South Byron Road | City Byron | | State & Zip Code/Country New York 14422 U.S.A. |
| Signa | ature of Inventor 2 | | | Date: 6/ | 27/03 |
| 2 | Full Name Of Inventor | Family Name DOW | First Given Name Steven | | Second Given Name S. |
| 0 | Residence & Citizenship | City Byron | State or Foreign Country New York | | Country of Citizenship U.S.A. |
| 2 | Mailing Address | Address 6561 Transit Road | City Byron | | State & Zip Code/Country New York 14422 U.S.A. |
| Sign | ature of Inventor 2 | | _ | Date: | 27/03 |
| 2 | Full Name Of Inventor | Family Name WOODRUFF | First Given Name Mark | | Second Given Name M. |
| 0 | Residence & Citizenship | City Bergen | State or Foreign Country New York | | Country of Citizenship U.S.A. |
| 3 | Mailing Address | Address 7311 West Bergen Road | City Bergen | , | State & Zip Code/Country New York 14416 U.S.A. |
| Sign | ature of Inventor | Male N. Wooden | <u> </u> | Date: | 27/03 |



Date

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | PATE | | | TEE DETEI | RMINATION REC | ORD | | | n or Docket Numb /925,405 | er |
|-----------|--------------------------------------|---|------------------------------------|---|---|-------------------------------------|-----------------------------|------|------------------------------|-----------------------------|
| | AP | PLICATION | | .ED – PART Column 1) | (Column 2) | SMALL | ENTITY | OR | OTHER SMALL | |
| | FOR | | NUM | MBER FILED | NUMBER EXTRA | RATE (\$) | FEE (\$) | | RATE (\$) | FEE (\$) |
| | IC FEE | - | | N/A | N/A | N/A | 165 | 1 | N/A | |
| | CFR 1.16(a), (b), or RCH FEE | (c)) | - | N/A | N/A | N/A | 270 | 1 | N/A | |
| | CFR 1.16(k), (i), or | (m)) | ļ | N/A | N/A | N/A | 270 | 1 | IVA | |
| | MINATION FEE CFR 1.16(o), (p), or | (q)) | | N/A | N/A | N/A | 110 | | N/A | |
| гот | AL CLAIMS | | 1. | | | x\$26 | | OR | x\$52 | |
| _ | CFR 1.16(i)) PENDENT CLAIM | IS | 1 | | | x\$110 | 1 | - OR | x\$220 | |
| 37 (| CFR 1.16(h)) | | | minus 3 = | | 23110 | | - | X3220 | |
| FEE | LICATION SIZE CFR 1.16(s)) | | sheets o \$270 (\$1 50 sheet | f paper, the applic | | | | | | |
| MUI | LTIPLE DEPEND | DENT CLAIM PE | RESENT | (37 CFR 1.16(| i)) | 195 | | | 390 | |
| If th | ne difference in o | column 1 is less | than zer | o, enter "0" in c | olumn 2. | TOTAL | 545 | 1 | TOTAL | |
| | AFFL | (Column 1) | T | (Column 2) | (Column 3) | SMALL | | OR | OTHER SMALL | ENTITY |
| NTA | | REMAINING AFTER AMENDMENT | | NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE (\$) | ADDI- TIONAL FEE (\$) | | RATE (\$) | ADDI- TIONAL FEE (\$) |
| AMENDMENT | Total (37 CFR 1.16(i)) | • | Minus | | = | x = | | OR | x = | |
| EN | Independent (37 CFR 1.16(h)) | • | Minus | | = | x = | | OR | x = | |
| A | | e Fee (37 CFR | 1.16(s)) | | | | | 1 | | 750 |
| | FIRST PRESENT | ATION OF MULT | IPLE DEP | ENDENT CLAIM | (37 CFR 1.16(j)) | N/A | | OR | N/A | |
| | | | ****** | | | TOTAL ADD'T FEE | | OR | TOTAL ADD'T FEE | |
| | | (Column 1) | | (Column 2) | (Column 3) | | | OR | | |
| NT B | | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE (\$) | ADDI- TIONAL FEE (\$) | | RATE (\$) | ADDI- TIONAL FEE (\$) |
| OME | Total (37 CFR 1.16(i)) | • | Minus | •• | = | x = | | OR | x = | |
| AMENDMENT | Independent (37 CFR 1.16(h)) | • | Minus | *** | = | x = | | OR | x = | |
| ⋖ | | e Fee (37 CFR | | | | | | - | | |
| | FIRST PRESENT | ATION OF MULT | IPLE DEP | ENDENT CLAIM | (37 CFR 1.16(j)) | N/A | | OR | N/A | |
| | | | | | | TOTAL ADD'T FEE | | OR | TOTAL ADD'T FEE | <u> </u> |
| •• | If the "Highest I | Number Previou Number Previou | sly Paid | For" IN THIS S | 2, write "0" in column PACE is less than 20 PACE is less than 3, ndependent) is the hi | 1 3. , enter "20". enter "3". | in the appropria | 1 | | |

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Pater and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspfto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

12/925.405 10/19/2010 Paul W. Dow 12821.16USC2

CONFIRMATION NO. 1677

FORMALITIES LETTER

Date Mailed: 11/08/2010

23552 MERCHANT & GOULD PC P.O. BOX 2903 MINNEAPOLIS, MN 55402-0903

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The statutory basic filing fee is missing.
 Applicant must submit \$165 to complete the basic filing fee for a small entity.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this notice.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$610 for a small entity

- \$165 Statutory basic filing fee.
- \$65 Surcharge.
- The application search fee has not been paid. Applicant must submit \$270 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$110 to complete the examination fee for a small entity in compliance with 37 CFR 1.27.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

| /thaile/ | |
|--|--|
| | |
| Office of Data Management, Application Assistance Unit (571) | 272-4000, or (571) 272-4200, or 1-888-786-0101 |



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NUMBER | FILING or 371(c) DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | TOT CLAIMS | IND CLAIMS |
|-----------------------|--------------------------|-----------------|---------------|----------------|------------|------------|
| 12/925 405 | 10/19/2010 | 3671 | 0.00 | 12821 16USC2 | 1 | 1 |

CONFIRMATION NO. 1677

FILING RECEIPT

OC00000044363730

Date Mailed: 11/08/2010

23552 MERCHANT & GOULD PC P.O. BOX 2903 MINNEAPOLIS, MN 55402-0903

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Paul W. Dow, Byron, NY; Steven S. Dow, Byron, NY; Mark M. Woodruff, Bergen, NY;

Assignment For Published Patent Application

Oxbo International Corporation, Byron, NY

Power of Attorney:

Gregory Sebald--33280 Mark Skoog--40178
Steven Bruess--34130 Karen Fitzsimmons--50470
Dennis Daley--34994 Robert Kalinsky--50471
Julie Daulton--36414

David Schmaltz--39828

Domestic Priority data as claimed by applicant

This application is a CON of 11/388,692 03/24/2006 PAT 7,827,774 which is a CON of 10/405,030 03/31/2003 PAT 7,310,929

Foreign Applications

If Required, Foreign Filing License Granted: 11/04/2010

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 12/925,405**

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No Early Publication Request: No

page 1 of 3

** SMALL ENTITY ** Title

Windrow merging apparatus

Preliminary Class

056

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

S/N 12/925,405

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

BYRON et al.

Examiner:

Unassigned

Serial No.:

12/925,405

Group Art Unit:

3671

Filed:

October 19, 2010

Docket No.:

12821.16USC2

Customer No.

23552

Confirmation No.

1677

Title:

WINDROW MERGING APPARATUS

COMMUNICATION REGARDING SUBMISSION OF MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In connection with the above-identified application and in reply to the Notice to File Missing Parts dated November 8, 2010, payment of the application filing fees is made.

Payment is made by credit card in the amount of \$610.00 as follows: Missing Parts completion fee \$65.00, Filing Fee \$165.00, Search Fee \$270.00, Examination Fee \$110.00. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, MN 55402-0903

612/332-5300

Gregory A. Sebald

Reg. No. 33,280

GAS/mep

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

BYRON et al.

Examiner:

Unassigned

Serial No.:

12/925,405

Group Art Unit:

3671

Filed:

October 19, 2010

Docket No.:

12821.16USC2

Title:

WINDROW MERGING APPARATUS

PETITION FOR EXTENSION OF TIME

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. §1.136(a), it is respectfully requested that a four-month extension of time be granted in which to respond to the communication mailed November 8, 2010, the period of response being extended from December 8, 2010 to May 8, 2011.

The fee of \$865.00 to cover the required extension fee for a small entity will be paid on line via an authorized credit card.

23552

Respectfully submitted,

MERCHANT & GOULD P.C.

Dated:

Gregory A. Sebalo

Reg. No. 33,280

GAS/mep

| Electronic Patent / | \pp | olication Fee | Transm | ittal | |
|--|-----|--------------------|-------------|-------------|-------------------------|
| Application Number: | 12 | 925405 | | | |
| Filing Date: | 19 | -Oct-2010 | | | |
| Title of Invention: | Wi | ndrow merging app | paratus | | |
| First Named Inventor/Applicant Name: | Pa | ul W. Dow | | | |
| Filer: | Gre | egory A. Sebald/Ma | ry Peterson | | |
| Attorney Docket Number: | 12 | 821.16USC2 | | | |
| Filed as Small Entity | 7. | | | | |
| Utility under 35 USC 111(a) Filing Fees | | | - | | |
| Description | | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
| Basic Filing: | | | | | |
| Utility Search Fee | | 2111 | 1 | 270 | 270 |
| Utility Examination Fee | | 2311 | 1 | 110 | 110 |
| Utility filing Fee(efiling)-Small Entity | | 2011 | 1 | 165 | 165 |
| Pages: | | | | | |
| Claims: | | | | | |
| Miscellaneous-Filing: | | | | | |
| Late filing fee for oath or declaration | | 2051 | 1 | 65 | 65 |
| Petition: | | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|------------------------------------|----------|-----------|--------|-------------------------|
| Patent-Appeals-and-Interference: | · | | - | |
| Post-Allowance-and-Post-Issuance: | | | | |
| Extension-of-Time: | | | | |
| Extension - 4 months with \$0 paid | 2254 | 1 | 865 | 865 |
| Miscellaneous: | | | | |
| | Tot | al in USD | (\$) | 1475 |

| Electronic Acl | knowledgement Receipt |
|--------------------------------------|---------------------------------|
| EFS ID: | 10051653 |
| Application Number: | 12925405 |
| International Application Number: | |
| Confirmation Number: | 1677 |
| Title of Invention: | Windrow merging apparatus |
| First Named Inventor/Applicant Name: | Paul W. Dow |
| Customer Number: | 23552 |
| Filer: | Gregory A. Sebald/Mary Peterson |
| Filer Authorized By: | Gregory A. Sebald |
| Attorney Docket Number: | 12821.16USC2 |
| Receipt Date: | 09-MAY-2011 |
| Filing Date: | 19-OCT-2010 |
| Time Stamp: | 17:56:34 |
| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| Submitted with Payment | yes |
|--|-------------------|
| Payment Type | Credit Card |
| Payment was successfully received in RAM | \$1475 |
| RAM confirmation Number | 5210 |
| Deposit Account | 132725 |
| Authorized User | SEBALD,GREGORY A. |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing age) 64 of 337

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|--------------------|--------------------------------|-----------------------------|--|---------------------|---------------------|
| 1 | Applicant Response to Pre-Exam | MPtransmittal.pdf | 34806 | no | 1 |
| | Formalities Notice | W Callstittenpar | 32739a0dd565431458d9be48c2c8ad9447 41c087 | ,,,, | 27 |
| Warnings: | | | | | |
| Information: | | | | | |
| 2 | Extension of Time | ext.pdf | 28630 | 28630 | |
| | | | 0ecfSba0846eb55d420bd14f59ff3eaaf806c f98 | | 7.5. A |
| Warnings: | | | | | |
| Information: | | | | | |
| 3 | Fee Worksheet (PTO-875) | fee-info.pdf | 38503 | no | 2 |
| | • • | , | 9a4224b2a1961417a6fee769e0df3cSe0699 e0ae | | _ |
| Warnings: | | | | | |
| Information: | | | | | |
| | | Total Files Size (in bytes) | 10 | 01939 | |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

| | PATE | NT APPLI | | ON FEE DE titute for Form | | ION RECO | ORD |) | Applica 12/92 | tion or Docket Num 5,405 | nber |
|-------------------------------|--|--|--|---|---------------------------------------|----------------------------|----------|-----------------------|------------------|-----------------------------|-----------------------|
| | APPLI | CATION AS | | | umn 2) | SMA | ALL E | ENTITY | OR | OTHEF SMALL | |
| FOR NUMBER FILED NUMBER EXTRA | | | | | | | | FEE(\$) |] | RATE(\$) | FEE(\$) |
| | BASIC FEE (37 CFR 1.16(a), (b), or (c)) N/A N/A | | | | N/A | 7 | 165 | 1 | N/A | | |
| SEA | RCH FEE FR 1.16(k), (i), or (m)) | N | /A | N | I/A | N/A | 一 | 270 | 1 | N/A | X |
| EXA | MINATION FEE FR 1.16(o), (p), or (q)) | N | /A | N | I/A | N/A | \neg | 110 | 1 | N/A | |
| TOT | AL CLAIMS FR 1.16(i)) | 1 | minus | 20= | | × 26 | = | 0.00 | OR | | |
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| APF | LICATION SIZE | sheets of p \$270 (\$135 50 sheets | aper, the for small fraction of the formal o | and drawings e e application si all entity) for ea on thereof. See ' CFR 1.16(s). | ze fee due is ch additional | | | 0.00 | | | |
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| ME | (37 CFR 1.16(i)) | | Minus | C21 | | x | = | | OR | x = | |
| AMENDMENT | Independent (37 CFR 1.16(h)) | | Minus | *** | - | × | = | | OR | x = | |
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NUMBER | FILING or 371(c) DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | TOT CLAIMS | IND CLAIMS |
|-----------------------|--------------------------|-----------------|---------------|----------------|------------|------------|
| 12/925 405 | 10/19/2010 | 3671 | 610 | 12821.16USC2 | 1 | |

23552 MERCHANT & GOULD PC P.O. BOX 2903 MINNEAPOLIS, MN 55402-0903 CONFIRMATION NO. 1677 UPDATED FILING RECEIPT



Date Mailed: 05/18/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Paul W. Dow, Byron, NY; Steven S. Dow, Byron, NY; Mark M. Woodruff, Bergen, NY;

Assignment For Published Patent Application

Oxbo International Corporation, Byron, NY

Power of Attorney:

Gregory Sebald--33280 Mark Skoog--40178
Steven Bruess--34130 Karen Fitzsimmons--50470
Dennis Daley--34994 Robert Kalinsky--50471

Julie Daulton--36414 David Schmaltz--39828

Domestic Priority data as claimed by applicant

This application is a CON of $11/388,692\ 03/24/2006\ PAT\ 7,827,774$ which is a CON of $10/405,030\ 03/31/2003\ PAT\ 7,310,929$

Foreign Applications (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.)

If Required, Foreign Filing License Granted: 11/04/2010

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 12/925,405**

Projected Publication Date: 08/25/2011

Non-Publication Request: No

page 1 of 3

Early Publication Request: No ** SMALL ENTITY ** Title

Windrow merging apparatus

Preliminary Class

056

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Notice of References Cited Application/Control No. 12/925,405 Examiner ALICIA TORRES Applicant(s)/Patent Under Reexamination DOW ET AL. Art Unit Page 1 of 1

U.S. PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|---|---|--|-----------------|-------------------------|----------------|
| * | А | US-4,409,780 | 10-1983 | Beougher et al. | 56/228 |
| * | В | US-4,658,572 | 04-1987 | Honey et al. | 56/228 |
| * | С | US-4,768,334 | 09-1988 | Honey et al. | 56/228 |
| * | D | US-5,911,625 | 06-1999 | von Allworden, Willhelm | 460/119 |
| * | Е | US-6,205,757 | 03-2001 | Dow et al. | 56/366 |
| * | F | US-7,310,929 | 12-2007 | Dow et al. | 56/192 |
| * | G | US-7,827,774 | 11-2010 | Dow et al. | 56/192 |
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FOREIGN PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification |
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NON-PATENT DOCUMENTS

| 7 | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) | | | | |
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^{*}A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|----------------------------|--------------------------------|----------------------|---------------------|------------------|--|
| 12/925,405 | 10/19/2010 | Paul W. Dow | 12821.16USC2 | 1677 | |
| 23552 MERCHANT & | 7590 06/24/2011 & GOLILD PC | EXAMINER | | | |
| P.O. BOX 2903 | 3 | | TORRES, ALICIA M | | |
| MINNEAPOLIS, MN 55402-0903 | | | ART UNIT | PAPER NUMBER | |
| | | | 3671 | | |
| | | | | | |
| | | | MAIL DATE | DELIVERY MODE | |
| | | | 06/24/2011 | PAPER | |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | Application No. | Applicant(s) | | | | |
|---|------------------------------------|-----------------------|--|--|--|--|
| | | | | | | |
| Office Action Summary | 12/925,405 | DOW ET AL. | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| T. MAN W.O. D. LT. C. V. | ALICIA TORRES | 3671 | | | | |
| The MAILING DATE of this communication app Period for Reply | ears on the cover sheet with the c | orrespondence address | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). | | | | | | |
| Status | | | | | | |
| Responsive to communication(s) filed on 19 October 2010. This action is FINAL. 2b) This action is non-final. Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| Disposition of Claims | | | | | | |
| 4) ☐ Claim(s) 1 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or election requirement. | | | | | | |
| Application Papers | | | | | | |
| 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | |
| Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 4) Interview Summary (PTO-413) Paper No(s)/Mail Date 5) Notice of Informal Patent Application Paper No(s)/Mail Date | | | | | | |

Application/Control Number: 12/925,405 Page 2

Art Unit: 3671

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by Beougher et al. 4,409,780.
- 3. Beougher et al. disclose a windrow merger, comprising:

a merger assembly including a center pick up head (17), a left pick up head (18), and a right pick up head (18), each of the center, left, and right pick up heads (17, 18, 18) having a length, the left and right pick up heads (18, 18) each being positionable in an extended use position (Figure 2) and a retracted travel position (Figures 1 and 5);

wherein the lengths of the left and right pick up heads (18, 18) are generally transverse to a direction of travel when positioned in the extended use position (as seen in Figure 2), and wherein the lengths of the left and right pick up heads (18, 18) are generally aligned with the direction of travel when position in the retracted travel position (as seen in Figures 1 and 5), the left and right pick up heads (18, 18) further being located above the center pick up (17) head when positioned in the retracted travel position (seen in Figures 1 and 5).

Application/Control Number: 12/925,405 Page 3

Art Unit: 3671

Conclusion

4. The prior art made of record and not relied upon is considered pertinent to applicant's

disclosure. Please see the attached PTO-892.

5. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Alicia M. Torres whose telephone number is 571-272-6997. The

examiner can normally be reached Monday through Friday from 8:00 a.m. – 5:30 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Thomas B. Will, can be reached at 571-272-6998.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the group receptionist whose telephone number is 571-272-3600. The fax

number for this Group is 571-273-8300.

/Alicia M Torres/

Primary Examiner, Art Unit 3671

June 20, 2011

EAST Search History

EAST Search History (Prior Art)

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|----------|------|--|---|---------------------|---------|---------------------|
| S1 | 16 | "56"/\$.ccls. and dow.in. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT | OR | ON | 2011/06/20 12:56 |
| S2 | 16 | "56"/\$.ccls. and woodruff.in. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT | OR | ON | 2011/06/20 12:57 |
| S3 | 5 | (("7310929") or ("6205757")).PN. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT | OR | OFF | 2011/06/20 13:01 |
| S4 | 224 | (US-20010018861-\$ or US-20050126153-\$ or US-20060254244-\$ or US-20060248870-\$ or US-20040200203-\$ or US-20070033915-\$ or US-20070144130-\$). did. or (US-6205757-\$ or US-5507139-\$ or US-5272860-\$ or US-4910951-\$ or US-6401440-\$ or US-6658828-\$ or US-66119792-\$ or US-6119792-\$ or US-5155986-\$ or US-5155986-\$ or US-4996833-\$ or US-4977734-\$ or US-4977734-\$ or US-4977734-\$ or US-4977734-\$ or US-4977734-\$ or US-6688093-\$ or US-6675568-\$ or US-6675568-\$ or US-6508050-\$ or US- | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT | OR | O | 2011/06/20 13:03 |

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| 5319911-\$ or US- | | | | ****** |
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| 6457295-\$ or US- | | | | |
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| 6151874-\$ or US- | | | | |
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| | | 6234061-\$ or US- 4386552-\$ or US- 4286386-\$ or US- 4121504-\$ or US- 4402367-\$ or US- 4088346-\$ or US- 4015366-\$ or US- 3214002-\$).did. or (US-3515408-\$ or US-2811003-\$ or US- 2168266-\$ or US- 3343347-\$ or US- 3343347-\$ or US- 3343347-\$ or US- 23497051-\$ or US- 3343583-\$ or US- 23403365-\$ or US- 2195381-\$ or US- 2507635-\$ or US- 2507635-\$ or US- 2507635-\$ or US- 2666518-\$ or US- 2507635-\$ or US- 2666518-\$ or US- 2666518-\$ or US- 2666518-\$ or US- 3240005-\$).did. or (DE-4225249-\$ or EP-439068-\$ or EP- 622007-\$ or GB- 2246938-\$ or DE- 3610865-\$ or DE- 3610865-\$ or DE- 3535683-\$ or EP- 114983-\$).did. or (JP-06133602-\$ or JP-06261602-\$ or | | | | |

| S6 | 821 | (56/192).CCLS. | US-PGPUB; | OR | OFF | 2011/06/20 |
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EAST Search History (Interference)

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Search Notes



| Application/C | ontrol No |
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12925405

Applicant(s)/Patent Under Reexamination

DOW ET AL.

Examiner

ALICIA TORRES

Art Unit

3671

SEARCHED

| Class | Subclass | Date | Examiner |
|-------|----------|---------|----------|
| 56 | 192,228 | 6/20/11 | AT |

| SEARCH NOTES | | | | | | |
|----------------------|---------|----------|--|--|--|--|
| Search Notes | Date | Examiner | | | | |
| Inventor Name Search | 6/20/11 | AT | | | | |
| EAST Search | 6/20/11 | AT | | | | |

| | INTERFERENCE SEARCH | | |
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| Class | Subclass | Date | Examiner |

| /ALICIA TORRES/ |
|--------------------------------|
| Primary Examiner.Art Unit 3671 |



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 1677

| SERIAL NUM | IBER | FILING or 371(c) | | CLASS | GROUP ART | UNIT | ATTORNEY DOCKET | | | |
|--|-----------------------|--|---------------------|-----------------|--------------------|--------------------------------------|-----------------|-------------------|--|---------------------------|
| 12/925,40 |)5 | 10/19/2010 | | 056 | 3671 | 3671 | | | | NO. 2821.16USC2 |
| | | RULE | | | | | | | | |
| APPLICANTS Paul W. Dow, Byron, NY; Steven S. Dow, Byron, NY; Mark M. Woodruff, Bergen, NY; | | | | | | | | | | |
| This appl wh | ication i ich is a | A ************************************ | 2 03/24 3/31/200 | 03 PAT 7,310,92 | | | | | | |
| ** FOREIGN A | PPLICA | ATIONS ************* | ***** | * | | | | | | |
| ** IF REQUIRE 11/04/20 | | REIGN FILING LICENS | SE GRA | NTED ** ** SMA | LL ENTITY ** | | | | | |
| Foreign Priority claim | | Yes No | efter | STATE OR | SHEETS DRAWINGS | . 프로마스 (1.41) 12 1 전 (1.72) 전 (1.42) | | | | |
| | ALICIA M | TORRES/ | rance | COUNTRY NY | DRAWINGS CLAI | | IVIS | CLAIMS 1 | | |
| 3 | Examiner's | Signature Initials | | INT | 24 | s! | | . ! | | |
| P.O. BO | X 2903 POLIS, | GOULD PC MN 55402-0903 S | | | | | | | | |
| TITLE | | | | | | | | | | |
| Windrow | mergin | g apparatus | | | | | | | | |
| | | | | | ☐ All Fe | es | | | | |
| | | A at - 21 t t 2 | | | ☐ 1.16 F | ees (Fil | ing) | | | |
| FILING FEE RECEIVED | | Authority has been giv to charge/c | | | NT 1.17 F | ees (Pr | ocessi | ing Ext. of time) | | |
| 610 | | for following | | | ☐ 1.18 F | ees (lss | sue) | | | |
| | | | | | ☐ Other | | | | | |
| | | | | | ☐ Credit | | | | | |
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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE. United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE 12821.16USC2

12/925,405 10/19/2010 Paul W. Dow

CONFIRMATION NO. 1677

PUBLICATION NOTICE

23552 MERCHANT & GOULD PC P.O. BOX 2903 MINNEAPOLIS, MN 55402-0903

Title: Windrow merging apparatus

Publication No.US-2011-0203244-A1 Publication Date: 08/25/2011

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seg. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---------------------|--------------------------------|----------------------|---------------------|------------------|
| 12/925,405 | 10/19/2010 | Paul W. Dow | 12821.16USC2 | 1677 |
| 23552 MERCHANT & | 7590 08/26/2011 & GOLILD PC | | EXAM | INER |
| P.O. BOX 2903 | | | TORRES, A | ALICIA M |
| MINNEAPOLI | S, MN 55402-0903 | | ART UNIT | PAPER NUMBER |
| | | | 3671 | |
| | | | | λ |
| | | | MAIL DATE | DELIVERY MODE |
| | | | 08/26/2011 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| Applicant-Initiated Interview Summary | 12/925,405 | DOW ET AL. | DOW ET AL. | | | |
|--|--|---------------------------|-------------|--|--|--|
| Applicant-initiated linerview Summary | Examiner | Art Unit | | | | |
| | ALICIA TORRES | 3671 | | | | |
| All participants (applicant, applicant's representative, PTO | personnel): | | | | | |
| (1) <u>ALICIA TORRES</u> . | (3) <u>GEORGE MICHAELS</u> . | | | | | |
| (2) <u>GREGORY SEBALD</u> . | (4) PAUL DOW. | | | | | |
| Date of Interview: 23 August 2011. | | | | | | |
| Type: ☐ Telephonic ☐ Video Conference ☐ Personal [copy given to: ☐ applicant | applicant's representative] | | | | | |
| Exhibit shown or demonstration conducted: Yes If Yes, brief description: | ⊠ No. | | | | | |
| Issues Discussed | | | | | | |
| Claim(s) discussed: 1,7 and 9. | | | | | | |
| Identification of prior art discussed: Beoughor et al. 4,409, | <u>780</u> . | | | | | |
| Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreemen reference or a portion thereof, claim interpretation, proposed amendments, argum | t was reached. Some topics may include: i ents of any applied references etc) | dentification or clarific | cation of a | | | |
| The examiner agrees that proposed dependent claim 9 wo propsed independent claim 7. The examiner will contact the allowance. | | | | | | |
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| Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview | | | | | | |
| Examiner recordation instructions : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised. | | | | | | |
| | | | | | | |
| /Alicia M Torres/ Primary Examiner, Art Unit 3671 | | | | | | |

Application No.

Applicant(s)

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Doc Code: M865 or FAI.REQ.INTV

PTOL-413A (08-10)
Approved for use through 07/31/2012, OMB 0551-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| Applicant Initiated Interview Request Form | | | | | | |
|--|--|--|---|---|--|--|
| Application No.: 12/925,405 Examiner: Alicia M. Torres | First Named Applica | Paul W. Dow Status of Ap | plication:Pending | | | |
| Tentative Participants: (1) Gregory A. Sebald | (2) Paul W. Dow | | | | | |
| (3) George Michaels | (4) | o by in | | | | |
| Proposed Date of Interview: Augu | | | ime: 10:00 A.M. | _ (AM/PM) | | |
| Type of Interview Requested: (1) Telephonic (2) [/] Person | nal (3)[] Vide | o Conference | | | | |
| Exhibit To Be Shown or Demonstra If yes, provide brief description: | ted: [] YES | [/] NO | | | | |
| • | Issues To Be Disc | cussed | | | | |
| Issues Claims/ (Rej., Obj., etc) Fig. #s | Prior Art | Discussed | Agreed | Not Agreed | | |
| (1) | | 1.1 | [] | 1.1 | | |
| (2) | | [] | [] | 11 | | |
| (3) | *** | [] | [] | 11 | | |
| (4) [] Continuation Sheet Attached Brief Description of Arguments to b | Proposed Amende Presented: Review of R | [] Iment or Argumo esponse and possible of | nts Attached | [] | | |
| An interview was conducted on the | above-identified applic | ation on | | | | |
| NOTE: This form should be completed If this form is signed by a registered pra or she is authorized to conduct an interval. 1.34. This is not a power of attorney to which is incorporated by reference. By read the Instruction Sheet. After the int substance of this interview (37 CFR 1.13 because of applicant's failure to submit Applicant/Applicant's Representative Gregory A. Sebald Typed/Printed Name of Applicant or I 33,280 Registration Number, if appli | actitioner not of record, to view on behalf of the print any above named practic signing this form, applicaterview is conducted, applicaterview is conducted, applicated by a written record of this in very segmentative. | the Office will according a light of the land of the land and or practitione plicant is advised in this application application of the land of the lan | ept this as an in 32(a)(3)) pursua struction Sheet or is certifying the to file a stateme | dication that he int to 37 CFR for this form, nat he or she has nt of the ayed from issue | | |

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USFTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.13 and 1.14. This collection is estimated to take 24 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trudemark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED SENTING TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Claims for discussion

U.S. Patent Application 12/925,405

(CURRENTLY AMENDED) A windrow merger, comprising:
 a frame:

a merger assembly including a center pick up head <u>mounted to the frame</u>, a left pick up head <u>mounted to the frame</u>, and a right pick up head <u>mounted to the frame</u>, each of the center, left, and right pick up heads having a length, the left and right pick up heads each being positionable in an extended use position and a retracted travel position;

wherein the lengths of the left and right pick up heads are <u>aligned and</u> generally transverse to a direction of travel when positioned in the extended use position, and wherein the lengths of the left and right pick up heads are generally aligned with the direction of travel when <u>position positioned</u> in the retracted travel position, the left and right pick up heads further being located above the center pick up head when positioned in the retracted travel position.

- 2. (NEW) A windrow merger according to claim 1, wherein the merger is operable with at least one of the heads in the travel position.
- 3. (NEW) A windrow merger according to claim 1, wherein each of the heads is independently driven.
- 4. (NEW) A windrow merger according to claim 1, wherein each of the heads includes a pick up assembly and a belt conveyor assembly and a dedicated motor driving the pick up assembly and the belt conveyor assembly.
- 5. (NEW) A windrow merger according to claim 4, wherein each of the belt conveyors can operate in either direction independently of the other belt conveyors.

- 6. (NEW) A windrow merger according to claim 1, wherein the heads are uncoupled from one another in all positions.
- 7. (NEW) A windrow merger apparatus configured for travel in a first direction, comprising:
 - a frame;
- a first pickup assembly supported by the frame, the first pickup assembly including a first belt conveyor arranged to convey material in a direction transverse to the first direction of travel and driven by a first motor;
- a second pickup assembly supported by the frame, the second pickup assembly including a second belt conveyor arranged to convey material in a direction transverse to the first direction of travel and driven by a second motor; and

a third pickup assembly supported by the frame, the third pickup assembly including a third belt conveyor arranged to convey material in a direction transverse to the first direction of travel and driven by a third motor;

wherein at least two of the pickup assemblies are foldable between an extended position and a retracted position, each of the first, second, and third pickup assemblies being aligned side by side when each of the pickup assemblies is positioned in the extended position such that the first, second, and third pickup assemblies provide an unobstructed continuous line of material pickup.

- 8. (NEW) A windrow merger apparatus according to claim 7, wherein the merger is operable with at least one of the pickup assemblies in the retracted position.
- 9. (NEW) A windrow merger apparatus according to claim 7, each of the first, second and third belt conveyors being operable in either direction independently of the other belt conveyors.

- 10. (NEW) ____A windrow merger_apparatus_according to claim 7, wherein each of the first second and third belt conveyors is independently driven.
- 11. (NEW) A windrow merger_apparatus_according to claim 7, the pickup assemblies being uncoupled from one another in all positions.
- (NEW) A windrow merger, comprising:
 a frame;

a merger assembly including a center pick up head including a first belt conveyor, a left pick up head including a second belt conveyor, and a right pick up head including a third belt conveyor, each of the center, left, and right pick up heads having a length, the left and right pick up heads each being positionable in an extended position and a retracted position;

wherein the first belt conveyor, the second belt conveyor and the third belt conveyor are aligned with the lengths of the left and right pick up heads being generally transverse to a direction of travel when positioned in the extended position.

- 13. (NEW) A windrow merger according to claim 12, wherein: the center pick up head includes a first motor driving the first belt conveyor, the left pick up head includes a second motor driving the second belt conveyor, the right pick up head includes a third motor driving the third belt conveyor.
- 14. A windrow merger, comprising:
 - a frame;
- a merger assembly including a center pick up head including a first conveyor and a first conveyor motor, a left pick up head including a second conveyor and a second conveyor motor, and a right pick up head frame including a third conveyor and a third conveyor motor, each of the center, left, and right pick up heads having a length, the left

and right pick up heads each being positionable in an extended position and a retracted position;

wherein the first conveyor, the second conveyor and the third conveyor are aligned with the lengths of the left and right pick up heads being generally transverse to a direction of travel when positioned in the extended position.

- 15. (NEW) A windrow merger apparatus configured for travel in a first direction, comprising:
 - a frame;
- a first pickup assembly including a first belt conveyor arranged to convey material in a direction transverse to the first direction of travel;
- a second pickup assembly including a second belt conveyor arranged to convey material in a direction transverse to the first direction of travel; and
- a third pickup assembly including a third belt conveyor arranged to convey material in a direction transverse to the first direction of travel;

wherein at least two of the pickup assemblies are foldable between an extended position and a retracted position, each of the first, second, and third pickup assemblies being aligned side by side when each of the pickup assemblies is positioned in the extended use position such that the first, second, and third pickup assemblies provide a continuous line of material pickup.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

BYRON et al.

Examiner:

Alicia M. Torres

Serial No.:

12/925,405

Group Art Unit:

3671

Filed:

October 19, 2010

Docket No.:

12821.0016USC2

Customer No.

23552

Confirmation No.

1677

Title:

WINDROW MERGING APPARATUS

EXAMINER INTERVIEW SUMMARY

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicants conducted an Examiner interview on August 23, 2011. Present at the U.S. Patent Office were Examiner Alicia Torres, Inventor Paul Dow, Applicants' Representative Gregory Sebald and George Michaels, Vice President of Engineering for Oxbo International, the Assignee of the present application. The Beougher et al. reference was discussed as well as claims that had been proposed. Proposed claims were discussed and it was agreed that at least proposed claim 9 would be allowable. It was further agreed that an official Amendment would be submitted by Applicants. Applicants and their Representative thank Examiner Torres for the cooperation and courtesy extended during the interview.

If a further interview may be helpful in this matter, Examiner Torres is invited to contact Applicants' representative at (612) 336-4728.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725.

23552 PATENT TRADEMARK Respectfully submitted,

MERCHANT & GOULD P.C.

Dated.

By:

Gregory A. Sebald

Reg. No. 33,280

GAS/krn

| Electronic Acknowledgement Receipt | | | | | |
|--------------------------------------|---------------------------------|--|--|--|--|
| EFS ID: | 11051587 | | | | |
| Application Number: | 12925405 | | | | |
| International Application Number: | | | | | |
| Confirmation Number: | 1677 | | | | |
| Title of Invention: | Windrow merging apparatus | | | | |
| First Named Inventor/Applicant Name: | Paul W. Dow | | | | |
| Customer Number: | 23552 | | | | |
| Filer: | Gregory A. Sebald/Karen Nejedly | | | | |
| Filer Authorized By: | Gregory A. Sebald | | | | |
| Attorney Docket Number: | 12821.0016USC2 | | | | |
| Receipt Date: | 26-SEP-2011 | | | | |
| Filing Date: | 19-OCT-2010 | | | | |
| Time Stamp: | 17:02:26 | | | | |
| Application Type: | Utility under 35 USC 111(a) | | | | |

Payment information:

| Submitted with Payment | no |
|------------------------|----|
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File Listing:

| Document Number | Document Description File Name | | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
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| 1 | Applicant summary of interview with | 16sum_20110926150820.pdf | 46862 | no | 1 |
| . | examiner | 103di11_20110320130020.pdf | 84ec0a3ca58074032a1f2b84be5f22fa14ab 3c3b | 110 | |

Warnings:

Information: Page 91 of 337

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| | Applicant Arguments/Remarks Mac | : 4 | 5 | | |
| Warnings: | | | | | |
| Information: | | | | | |
| | | Total Files Size (in bytes) | 152 | 862 | |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

S/N 12/925,405 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

BYRON et al.

Examiner:

Alicia M. Torres

Serial No.:

12/925,405

Group Art Unit:

3671

Filed:

October 19, 2010

Docket No.:

12821.0016USC2

Customer No.

23552

Confirmation No.

1677

Title:

WINDROW MERGING APPARATUS

AMENDMENT

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action mailed June 24, 2011, please amend the above-referenced application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 4 of this paper.

Amendments to the Claims:

This listing of claims will replace all prior versions and listings of claims in the application:

Listing of Claims:

- 1. (CANCELLED)
- 2. (NEW) A windrow merger apparatus configured for travel in a first direction, comprising:

a frame;

a first pickup assembly supported by the frame, the first pickup assembly including a first belt conveyor arranged to convey material in a direction transverse to the first direction of travel and driven by a first motor;

a second pickup assembly supported by the frame, the second pickup assembly including a second belt conveyor arranged to convey material in a direction transverse to the first direction of travel and driven by a second motor; and

a third pickup assembly supported by the frame, the third pickup assembly including a third belt conveyor arranged to convey material in a direction transverse to the first direction of travel and driven by a third motor;

wherein at least two of the pickup assemblies are foldable between an extended position and a retracted position, each of the first, second, and third pickup assemblies being aligned side U.S. Patent Application Serial No. 12/925,405 Reply to Office Action dated June 24, 2011

by side when each of the pickup assemblies is positioned in the extended position such that the first, second, and third pickup assemblies provide an unobstructed continuous line of material pickup;

each of the first, second and third belt conveyors being operable in either direction independently of the other belt conveyors.

Remarks:

Applicants have read and considered the Office Action dated June 24, 2011 and the references cited therein. Claim 1 has been cancelled without prejudice or disclaimer. New claim 2 has been added and is pending. Reconsideration is hereby requested.

Claim 1 was rejected under 35 U.S.C. § 102(b) as being anticipated by Beougher et al. Applicants have cancelled claim 1 without prejudice or disclaimer. Applicants assert that the rejection is therefore moot and requests that it be withdrawn.

New claim 2 has been presented and is believed to patentably distinguish over Beougher and any other prior art or combination thereof. The claim was discussed and agreed to be allowable during an Examiner Interview and Applicants assert that the claim patentably distinguishes over the prior art and is allowable.

Applicants assert that the application is in condition for allowance.

A speedy and favorable action in the form of a Notice of Allowance is hereby solicited. If the Examiner feels that a telephone interview may be helpful in this matter, please contact Applicants' representative at (612) 336-4728.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725.

23552 PATENT TRADEMARK Respectfully submitted,

MERCHANT & GOULD P.C.

Dated:

Gregory A. Sebal

Reg. No. 33,280

GAS/krn

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| PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875 | | | | | Application or Docket Number Filing Date 12/925,405 10/19/2010 | | To be Mailed | | | | |
|---|--|---|------------|---|--|-------|-----------------------|------------------------|----|-----------------------|------------------------|
| APPLICATION AS FILED – PART I (Column 1) (Column 2) | | | | | | SMALL | ENTITY 🛛 | OR | | HER THAN | |
| | FOR NUMBER FILED NUMBER EXTRA | | | | | Г | RATE (\$) | FEE (\$) | | RATE (\$) | FEE (\$) |
| | BASIC FEE (37 CFR 1.16(a), (b), (c) | or (c)) | N/A | | N/A | 1 | N/A | | | N/A | |
| | SEARCH FEE (37 CFR 1.16(k), (i), o | | N/A | | N/A | 1 | N/A | | 1 | N/A | |
| | EXAMINATION FE (37 CFR 1.16(o), (p), | | N/A | | N/A | | N/A | | | N/A | |
| | TAL CLAIMS CFR 1.16(i)) | | mir | us 20 = * | | | X \$ = | | OR | X \$ = | |
| IND | EPENDENT CLAIM CFR 1.16(h)) | s | m | inus 3 = * | | 1 | X \$ = | | 1 | X \$ = | |
| | If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | | | | |
| Ш | MULTIPLE DEPEN | | | | | I, | | | | | |
| * If | the difference in colu | ımn 1 is less than | zero, ente | r "0" in column 2. | | | TOTAL | | l | TOTAL | |
| | APPI | (Column 1) | AMEND | DED - PART II (Column 2) | (Column 3) | | SMAL | L ENTITY | OR | | ER THAN ALL ENTITY |
| AMENDMENT | 09/26/2011 | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | | RATE (\$) | ADDITIONAL FEE (\$) | | RATE (\$) | ADDITIONAL FEE (\$) |
| ME | Total (37 CFR 1.16(i)) | * 1 | Minus | ** 20 | = 0 | | X \$30 = | 0 | OR | X \$ = | |
| Z | Independent (37 CFR 1.16(h)) | · 1 | Minus | ***3 | = 0 | | X \$125 = | 0 | OR | X \$ = | |
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| | FIRST PRESEN | NTATION OF MULTIP | LE DEPEN | DENT CLAIM (37 CF | R 1.16(j)) | | | | OR | | |
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| ᆫ | | (Column 1) | | (Column 2) | (Column 3) | _ | | | | | |
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| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | | | OR | | | |
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| ** If | FEE FEE * If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. | | | | | | | | | | |

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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S/N 12/925,405 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

DOW et al.

Examiner:

Alicia M. Torres

Serial No.:

12/925,405

Group Art Unit:

3671

Filed:

October 19, 2010

Docket No.:

12821.0016USC2

Customer No.

23552

Confirmation No.

1677

Title:

WINDROW MERGING APPARATUS

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application.

Payment is made by credit card in the amount of \$180.00 for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent and/or a copy of each publication, other than U.S. patents and U.S. patent application publications, listed on the accompanying Form 1449 is enclosed.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725.

23552 PATENT TRADEMARK Respectfully submitted,

MERCHANT & GOULD P.C.

Dated:

By:

Gregory A. Seba

GAS/krn

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|--------------------------------------|------------------------------------|--|--|--|--|--|
| EFS ID: | 11062538 | | | | | |
| Application Number: | 12925405 | | | | | |
| International Application Number: | | | | | | |
| Confirmation Number: | 1677 | | | | | |
| Title of Invention: | Windrow merging apparatus | | | | | |
| First Named Inventor/Applicant Name: | Paul W. Dow | | | | | |
| Customer Number: | 23552 | | | | | |
| Filer: | Gregory A. Sebald/Karen Nejedly | | | | | |
| Filer Authorized By: | Gregory A. Sebald | | | | | |
| Attorney Docket Number: | 12821.0016USC2 | | | | | |
| Receipt Date: | 27-SEP-2011 | | | | | |
| Filing Date: | 19-OCT-2010 | | | | | |
| Time Stamp: | 18:26:41 | | | | | |
| Application Type: | Utility under 35 USC 111(a) | | | | | |

Payment information:

| Submitted with Payment | yes |
|--|-------------------|
| Payment Type | Credit Card |
| Payment was successfully received in RAM | \$180 |
| RAM confirmation Number | 6055 |
| Deposit Account | 132725 |
| Authorized User | SEBALD,GREGORY A. |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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| 7 | Foreign Reference | de434_20110927163719.pdf | 301125 | no | 5 |
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| 10 | Non Patent Literature | snel_20110927163843.pdf | 196132 | no | 3 |
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

19 BUNDESREPUBLIK DEUTSCHLAND DEUTSCHES PATENTAMT

Gebrauchsmuster

U 1

(11) Rollennummer G 90 14 438.4
(51) Hauptklasse A01D 78/10
Nebenklasse(n) A01D 80/00 A01B 73/02
(22) Anmeldetag 18.10.90
(47) Eintragungstag 14.02.91
(43) Bekanntmachung im Patentblatt 28.03.91
(54) Bezeichnung des Gegenstandes
Heuwerbungsmaschine
(71) Name und Wohnsitz des Inhabers
H. Niemeyer Söhne GmbH & Co KG, 4446 Hörstel, DE

H. NIEMEYER SÖHNE GMBH & CO. KG 5 Heinrich-Niemeyer-Str. 52 15. Oktober 1990 Blg./Av

D-4446 Hörstel-Riesenbeck

10

HEUWERBUNGSMASCHINE

Die Erfindung bezieht sich auf eine Heuwerbungsmaschine gemäβ dem Oberbegriff des Anspruchs 1.

Bei einer bekannten Maschine dieser Art (DE-OS 30 00 820) sind die Zinkenträger über Kurbelarme in einer Steuerkurve geführt, deren Führungsflächen einander gegenüberliegen und einen zur Drehachse des Kreiselrechens der Heuwerbungsmaschine koaxialen Führungsspalt begrenzen, den an den Kurbelarmen gelagerte Rollen durchlaufen. Derartig ausgebildete Steuerkurven sind geometrisch nicht optimal ausgelegt. Dies führt dazu, daß im Kurvenbereich, in dem die Zinkenarme umgesteuert werden, von den Rollen mehr oder weniger große Axialkräfte übertragen werden müssen. Die Lebensdauer der Rollen kann dadurch beeinflußt werden.

Der Erfindung liegt die Aufgabe zugrunde, eine Heuwerbungsmaschine der im Oberbegriff des Anspruchs 1 angegebenen Art
zu schaffen, die bei großer baulicher Einfachheit die
Steuerung der Zinkenträger und damit die Arbeitsqualität der
Kreiselrechen verbessert sowie die Lebensdauer der Maschine
35 erhöht.

Page 105 of 337

Die Erfindung löst diese Aufgabe durch eine Heuwerbungsmaschine mit den Merkmalen des Anspruchs 1. Hinsichtlich wesentlicher, weiterer Ausgestaltungen wird auf die Ansprüche 2 bis 13 verwiesen.

5

Die erfindungsgemäße Steuerkurve, in der die Laufrollen für die Kurbelarme geführt werden, ist geometrisch optimiert, indem die Erzeugenden der Laufflächen der Rollen und die Erzeugende einer gedachten Mittelfläche zwischen den

10 Führungsflächen einen gemeinsamen Schnittpunkt auf der Drehachse des Kreiselrechens haben. Dadurch wird die Lebensdauer der Rollen wesentlich erhöht und der Kurvenverlauf kann ohne nachteilige Folgen den Erfordernissen den an den Zinkenarmen

15

In dem gemeinsamen Schnittpunkt, der vorzugsweise in der Mitte des vertikalen Stellbereiches der Steuerkurve liegt, treffen sich neben der gedachten Mittelfläche auch die Mittelachsen der Rollen, die an gekröpften Kurbelarmen befestigt sind und die Mittelachsen der radial ausgerichteten Innenteile der Zinkenträger, so daß dieser gemeinsame Schnittpunkt einem Mittelpunkt einer Kugel gleicht, auf den hin sich alle beteiligten Achsen ausrichten.

befestigten Zinken optimal angepaßt werden.

25 Eine vorteilhafte Ausführungsform der Erfindung ist eine Ausbildung der Führungsflächen der Steuerkurve, wenn diese parallel zur gedachten Mittelfläche ausgerichtet sind. Hierdurch wird insbesondere die Herstellung vereinfacht, und zwar besonders dann, wenn die Führungsflächen beispielsweise nicht spanabhebend sondern durch Pressen oder Gießen hergestellt werden.

Die Rollen sind in vorteilhafter Weise mit balligen Laufflächen versehen, damit Fertigungstoleranzen ausgeglichen und dadurch die Herstellung vereinfacht wird. Bei der Heuwerbungsmaschine nach der Erfindung weisen die einzelnen Kreiselrechen eine unterschiedliche Anzahl von Zinkenträger auf. Hierdurch wird der jeweiligen Schwadgröße Rechnung getragen, was insbesondere an den Übergabestellen zu einer problemlosen und schonenden Übergabe des Schwades führt. Hierbei hat vorzugsweise der in Fahrtrichtung erste Kreiselrechen am wenigsten Zinken und der letzte die meisten.

- An den Übergabestellen überlappen sich die Zinkenkreisel, wobei in vorteilhafter Weise nach der Erfindung die abgekröpften Zinkenarme des vorlaufenden Kreisels über den jeweiligen Zinkenträger des nachgeordneten Kreiselrechens so gesteuert wird, daß er über ihn hinweggehoben wird und diesen nicht behindert. Dies wird durch die erfindungsgemäß geometrisch optimierte Steuerkurve erleichtert, da auch steilere Kurvenbahnen sich nicht nachteilig auswirken.
- Zum Straßentransport werden die äußeren Kreiselrechen vorzugsweise um etwa fahrtrichtungsparallele Achsen in eine
 mindestens senkrechte Lage geklappt und verriegelt. Der sich
 unter dem Maschinengestell befindende Kreiselrechen kann
 beispielsweise hydraulisch gegenüber diesem in eine Transportstellung angehoben werden. Dadurch erhält dieser Kreiselrechen eine ausreichende Transporthöhe. Die Laufräder am
 Maschinengestell sind durch eine Lenkvorrichtung steuerbar,
 die automatisch wirkt und bei Kurvenfahrt einen optimalen
 Nachlauf der Heuwerbungsmaschine sicherstellt.
- Weitere Vorteile der Erfindung ergeben sich aus der nachfolgenden Beschreibung und den Zeichnungen, in denen ein Ausführungsbeispiel nach der Erfindung schematisch, angenähert maßstäblich dargestellt ist. Es zeigt:
- 35 Fig. 1 Einen Schwader mit drei Kreiselrechen, vereinfacht dargestellt, in Arbeitsstellung, in einer Seiten-

ansicht.

- Fig. 2 Eine Draufsicht des Schwaders.
- 5 Fig. 3 Eine Ansicht des Schwaders in Fahrtrichtung, in Arbeitsstellung, in vereinfachter Darstellung.
 - Fig. 4 Eine Ansicht des Schwaders in Fahrtrichtung, in Transportstellung, in vereinfachter Darstellung.
- Fig. 5 Eine vereinfachte Draufsicht der Steuerkurve mit den zugeordneten Zinkenträgern.
 - Fig. 6 Einen Schnitt A-A gemäß Fig. 5.

Fig. 7 - Einen Schnitt A-A gemäß Fig. 5 mit einer Ausführungsvariante.

Der als Ausführungsbeispiel in den Zeichnungen dargestellte Schwader ist vorzugsweise über bildlich vernachlässigte Unterlenker eines Dreipunktgestänges mit einem ebenfalls nicht dargestellten Traktor verbunden und wird von diesem in Fahrtrichtung (F) gezogen. Die Kreiselrechen (16,17,18) werden in Arbeitsstellung über die Traktorzapfwelle, Gelenkwellen und Getriebe angetrieben. Der Schwader besteht im wesentlichen aus einem Maschinengestell (1), das an seinem. hinteren Ende vorzugsweise zwei Laufräder (3) aufweist, die über eine Lenkvorrichtung (28) automatisch von der jeweiligen Kurvenfahrt des Traktors abhängig gesteuert werden, und drei Kreiselrechen (16,17,18), deren Verbindungsachse (30) zur Fahrtrichtung einen spitzen Winkel (ß) einschließt. Je nach gewünschter Arbeitsbreite des Schwaders können auch mehrere oder weniger Kreiselrechen dem Maschinengestell (1) zugeordnet werden.

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Der Schwader kann auch als Dreipunktgerät und/oder ohne Laufräder (3) ausgerüstet werden. Die einzelnen Kreiselrechen (16,17,18) sind in Arbeitsstellung begrenzt höhenbeweglich und stützen sich über Laufräder (29) auf dem Boden ab, damit sich die Arbeitswerkzeuge den Bodenunebenheiten optimal anpassen können.

Die Kreiselrechen (16,17,18) weisen eine unterschiedliche Anzahl abgekröpfter Zinkenträger (4) auf, an denen jeweils

Zinken (2) als Arbeitswerkzeuge befestigt sind. Ein in Fahrtrichtung (F) erster Kreiselrechen (16) hat die wenigsten Zinkenträger, deren Anzahl bis zu einem letzten Kreiselrechen (18) zunimmt. Ferner sind die Kreiselrechen (16,17,18) überlappend angeordnet. Die Zinkenträger (4) der jeweils vorauslaufenden Kreiselrechen (16,17) werden im Bereich der Überlappung über die dem nachfolgenden Kreiselrechen (17,18) zugeordneten Zinkenträger (4) hinweggehoben. Dies wird durch eine entsprechende Auslegung der jeweiligen Steuerkurve (21,22) erreicht.

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Durch die Überlappung und die unterschiedliche Anzahl der wirksamen Zinkenarme (4) der Kreiselrechen (16,17,18) wird entsprechend dem Kreiselrechendurchmesser einmal eine relativ schmale Maschine erreicht und zum anderen der Übergabepunkt des Halmgutes zum nächsten Kreiselrechen möglichst weit in Fahrrichtung nach vorn verlegt. Dies kommt der schonenden Behandlung des Halmgutes und der Anpassung an die der Arbeitsbreite entsprechende, zunehmende Schwadgröße zugute.

30

Je nach Einsatzbereich kann der Schwader auch so ausgestaltet sein, daß die äußeren Kreiselrechen (16,18) bei der Arbeit soweit auseinandergezogen und verriegelt werden können, daß Kleinschwaden mit der Arbeitsbreite entsprechend den Kreiselrechendurchmessern und deren Achsabstand gebildet werden.

Es ist auch möglich, den Schwader, ohne die äußeren Kreiselrechen (16,18) hochzuklappen, für den Transport in die
Längsachse hinter den Traktor zu schwenken und zu arretieren. Wegen des relativ kurzen Schwerpunktabstandes zur Koppelebene wirken sich hier die sich überlappenden Kreiselrechen besonders günstig aus.

Die Fig. 1,2 und 3 zeigen den Schwader jeweils in Arbeitsstellung.

10

In der Transportstellung (Fig. 4) werden die äußeren Kreiselrechen (16,18) jeweils etwa um mindestens 90° über fahrtrichtungsparallele Achsen (27) geschwenkt und verriegelt. Der mittlere Kreiselrechen (17) wird nach dem Ausführungsbeispiel um eine Querachse (31) beispielsweise hydraulisch unter das Maschinengestell (1) geschwenkt, so daß der Schwader als aufgesatteltes Gerät mit über die Lenkvorrichtung (28) automatisch gesteuerten Laufräder (3) im Transport gefahren werden kann.

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In Figur 5 ist die Steuerkurve (21,22), die jedem Kreiselrechen (16,17,18) zugeordnet ist, schematisch dargestellt. Die Steuerkurve ist in bekannter Weise radial ein- und feststellbar, damit die Kurvenstellung und damit die Stellung der Zinkenträger (4) und der Zinken (2) den Erfordernissen angepaßt werden kann.

In der Steuerkurve (21,22) werden die Rollen (7,8) vertikal geführt. Sie sind über gekröpfte Kurbelarme (23) mit dem drehbaren Zinkenträger (4) verbunden, an dessen anderem Ende die Zinken (2) befestigt sind. Die Steuerkurve (21,22) steuert also durch ihre Auslegung das Arbeitswerkzeug Zinken(2).

Die Mittelachsen (25,26) der Rollen (7,8) und des Innen-35 teils (24) treffen sich jeweils in einem gemeinsamen Schnittpunkt (12) auf den jeweiligen vertikalen Drehachsen (13,14,15) der einzelnen Kreiselrechen (16,17,18). Wie aus Fig. 6 und Fig. 7 zu sehen ist, liegt der Schnittpunkt (12) vorzugsweise in der Mitte des vertikalen Stellbereiches der Steuerkurven (21,22).

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Fig. 6 veranschaulicht schematisch eine Ausführung mit konischen Laufflächen (6) der Rollen (7). Die ebenfalls konischen Führungsflächen (9) der Steuerkurve (21) weisen mit ihren Erzeugenden (19) auf den gemeinsamen Schnitt10 punkt (12).

Die gedachte Mittelfläche (20) entspricht der Mittelebene der Steuerkurve (21), ist der Kurvenform angepaßt und schneidet den Schnittpunkt (12) in der jeweiligen Drehachse (13,14,15). Die Mittelachse (25) der Steuerkurve (21) und eine Erzeugende (19) schließt einen spitzen Winkel (a) ein.

Zwischen der konischen Lauffläche (6) der Rolle (7) und der konischen Führungsfläche (9) ist ausreichendes Spiel vorgesehen, wobei die am Außendurchmesser konische Rolle (7) vorzugsweise ballig ausgeführt wird, damit beispielsweise Fertigungstoleranzen aufgenommen werden können.

In Fig. 7 ist eine Variante des Ausführungsbeispiels schematisch dargestellt. Die Lauffläche (5) der Rolle (8) sowie die korrespondierende Führungsfläche (10) der Steuerkurve (22) ist zylindrisch ausgeführt. Diese Flächen sind jeweils parallel zur Mittelachse (25) der Rolle (8) ausgerichtet, die den Schnittpunkt (12) schneidet. Die gedachte Mittelfläche (20) entspricht auch hier der Mittelebene der Steuerkurve (22).

Zur besseren Veranschaulichung kann als Vergleich ein zylin-35 drischer Fräser herangezogen werden, dessen Mittelachse auf den Schnittpunkt (12) ausgerichtet ist und die jeweilige Kurvenform der Steuerkurve (22) einfräst. Die Mittelachse (25) ist während des gesamten Fräsvorganges der koaxial zur jeweiligen Drehachse (13,14,15) ausgerichteten 5 Steuerkurve (22) zum Schnittpunkt (12) hin ausgerichtet.

Zum Ausgleich von Fertigungstoleranzen ist hier ebenfalls Spiel zwischen der vorzugsweise balligen Lauffläche (5) der Rolle (8) und der Führungsfläche (10) vorgesehen.

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Eine derartig zylindrisch ausgeführte Führungsfläche (10) vereinfacht beispielsweise die Fertigung von gepreßten oder gegossenen Ausführungformen.

- Die in den Ausführungsbeispielen aufgezeigte, vorteilhafte Ausbildung der Steuerkurven (21,22) ist nicht auf die dargestellten Ausführungsformen beschränkt, sondern kann überall dort angewendet werden, wo bei Heuwerbungs- oder anderen Maschinen die dadurch erreichte, vorteilhafte Arbeit der
- 20 Werkzeuge von Vorteil ist.

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15. Oktober 1990 Blg./Av

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D-4446 Hörstel-Riesenbeck

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Ansprüche

- 1. Heuwerbungsmaschine zum Schwaden von Halmgut mit mindestens einem um eine aufrechte Drehachse umlaufend ange-15 triebenen Kreiselrechen, der über ein Maschinengestell (1) mit dem Traktor verbunden ist, wobei sich das Maschinengestell (1) über Laufräder (3) auf dem Boden abstützt und bei dem die Zinkenträger (4) über Kurbelarme 20 in einer Steuerkurve geführt sind, deren Führungsflächen einander gegenüberliegen und einen zur Drehachse des Kreiselrechens koaxialen Führungsspalt begrenzen, den an Kurbelarmen gelagerte Rollen durchlaufen, dadurch gekennzeichnet, daß die Laufflächen (6) der Rollen (7) 25 und die Erzeugende (19) einer gedachten Mittelfläche (20) zwischen den Führungsflächen (9) einen gemeinsamen Schnittpunkt (12) auf der Drehachse (13,14,15) des Kreiselrechens (16,17,18) haben.
- Heuwerbungsmaschine nach Anspruch 1, <u>dadurch gekennzeichnet</u>, daß die Führungsflächen (10) der Steuerkurve (22) parallel zur gedachten Mittelfläche (20) ausgerichtet sind.
- 35 3. Heuwerbungsmaschine nach Anspruch 1, <u>dadurch gekenn-</u> <u>zeichnet</u>, daß die Führungsflächen (9) der Steuer-

kurve (21) zur gedachten Mittelfläche (20) unter einem sich radial nach außen hin öffnenden kleinen, spitzen Winkel (a) verlaufen.

5 4. Heuwerbungsmaschine nach Anspruch 3, <u>dadurch gekenn-zeichnet</u>, daß die Erzeugenden (19) der Führungsflächen (9) der Steuerkurve (21) sich in einem gemeinsamen Schnittpunkt (12) auf der Drehachse (13,14,15) des Kreiselrechens (16,17,18) treffen.

5. Heuwerbungsmaschine nach einem der Ansprüche 1 bis 4,

dadurch gekennzeichnet, daß der gemeinsame Schnittpunkt (12) in der Mitte des vertikalen Stellbereichs der
Steuerkurve (21,22) liegt.

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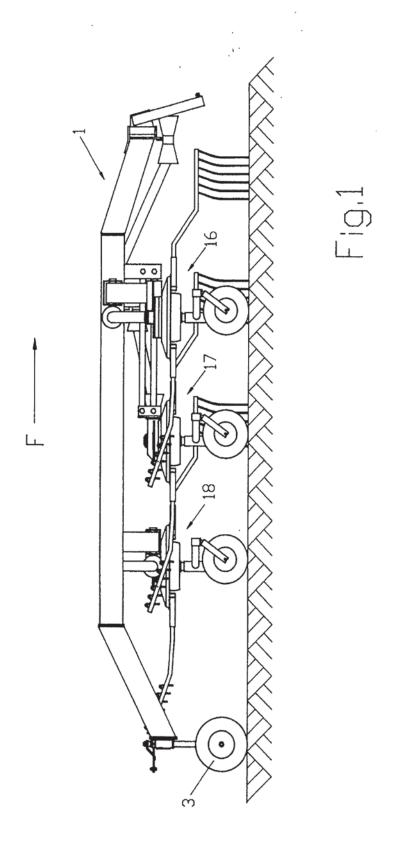
- 6. Heuwerbungsmaschine nach einem der Ansprüche 1 bis 5,

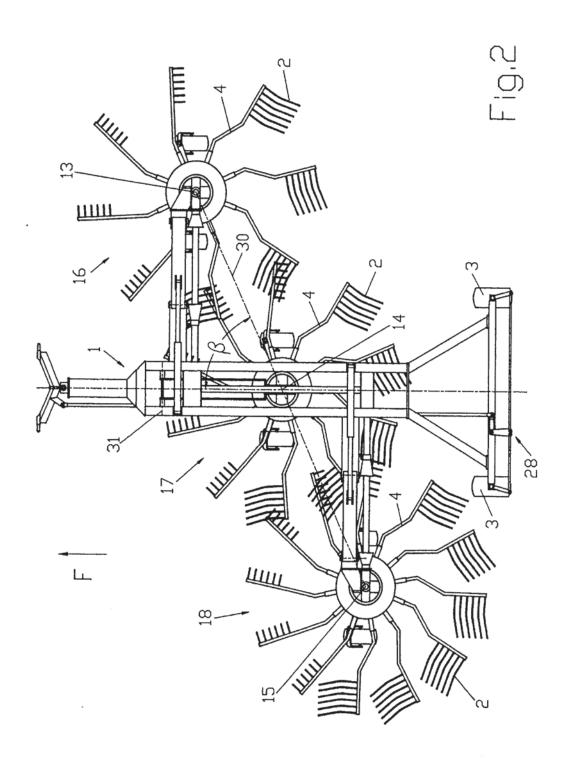
 dadurch gekennzeichnet, daß die Zinkenträger (4) abgekröpfte Kurbelarme (23) und radial ausgerichtete Innenteile (24) aufweisen, deren Mittelachsen (25,26) den gemeinsamen Schnittpunkt (12) auf der Drehachse (13,14,15)
 des Kreiselrechens (16,17,18) ebenfalls treffen.
- 7. Heuwerbungmsmaschine nach einem der Ansprüche 1 bis 6, dadurch gekennzeichnet, daß die Rollen (7,8) eine ballige Lauffläche aufweisen.
- Heuwerbungmaschine nach einem der Ansprüche 1 bis 7, mit mehreren einander benachbarten Kreiselrechen (16,17,18), deren Drehachsen (13,14,15) in einer gemeinsamen, gedachten Ebene verlaufen, die mit der Fahrtrichtungsebene (F) einen von 90° abweichenden Winkel einschließt, dadurch gekennzeichnet, daß jeder Kreiselrechen (16,17,18) eine unterschiedliche Anzahl von Zinkenträgern (4) aufweist.
- 35 9. Heuwerbungsmaschine nach Anspruch 8, <u>dadurch gekenn-</u> <u>zeichnet</u>, daß die Anzahl der Zinkenträger (4) pro Krei-

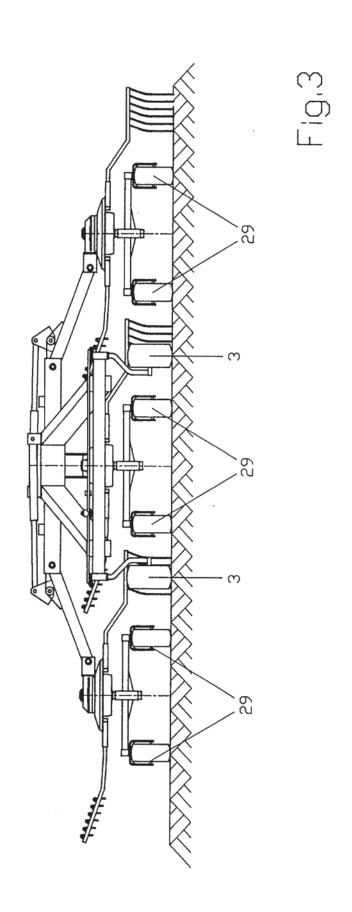
selrechen (16,17,18) in Fahrtrichtung (F) vom ersten (16) zum letzten Kreiselrechen (18) zunimmt.

- 10. Heuwerbungsmaschine nach einem der Ansprüche 8 oder 9,

 dadurch gekennzeichnet, daß die äußeren Kreiselrechen (16,18) um etwa fahrtrichtungsparallele
 Achsen (27) in eine mindestens senkrechte Lage hochklappbar und verriegelbar sind.
- 10 11. Heuwerbungsmaschine nach einem der Ansprüche 8 bis 10, dadurch gekennzeichnet, daß der unter dem Maschinengestell (1) befindliche Kreiselrechen (17) gegenüber diesem in eine Transportstellung anhebbar ist.
- 15 12. Heuwerbungsmaschine nach Anspruch 11, <u>dadurch gekenn-</u>
 <u>zeichnet</u>, daß die Laufräder (3) durch eine Lenkvorrichtung (28) lenkbar sind.
- 13. Heuwerbungsmaschine nach einem der Ansprüche 8 bis 12,
 20 dadurch gekennzeichnet, daß die Zinkenträger (4) benachbarter Kreiselrechen (16,17,18) einander überlappende Arbeitsbereiche aufweisen.







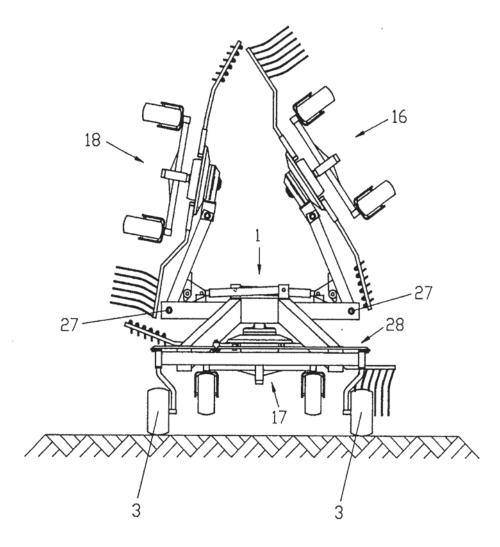
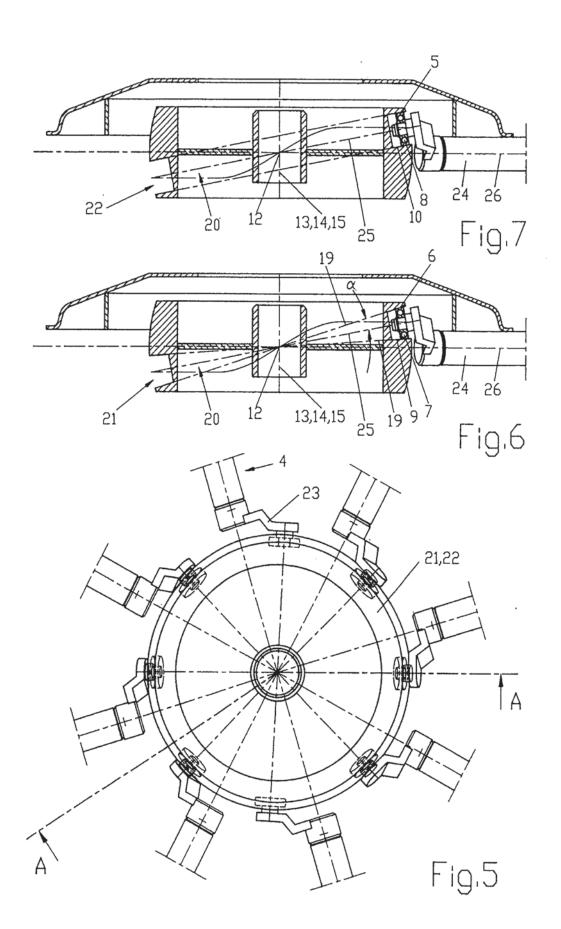


Fig.4



(19) Federal Republic of Germany

German Patent Office

(12) Utility Model

- 2,

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(11)Document Roll No. G 90 14 438.4 (51) Main class A01D 78/10 Secondary class(es) A01D 80/00 A01B 73/02 (22)Filing date 18 Oct 90 (47)Registration date 14 Feb 91 (43)Publication in the Patent Journal 28 Mar 91

Hay-making machine

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Title of the object

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HAY-MAKING MACHINE

The invention relates to a hay-making machine according to the preamble of claim 1.

In one known machine of this kind (DE-OS 30 00 820), the tine holders are led along crank arms in a control cam, whose guiding surfaces lie opposite each other and delimit a guide gap coaxial to the axis of rotation of the rotary rake of the hay-making machine, through which move rollers mounted on the crank arms. Such configured control cams are not designed with optimal geometry. This means that the rollers have to withstand rather large axial forces in the cam region where the tine arms change direction. This can affect the lifetime of the rollers.

The invention is based on the problem of creating a hay-making machine of the kind indicated in the preamble of claim 1, which with great structural simplicity improves the control of the tine holders and thus the working quality of the rotary rake, and also increases the lifetime of the machine.

The invention solves this problem by a hay-making machine with the features of claim 1.

One will refer to claims 2 to 13 for other important modifications.

The control cam of the invention, in which the rollers for the crank arms are guided, is geometrically optimized in that the generatrices of the running surfaces of the rollers and the generatrix of an imaginary middle surface between the guide surfaces have a common point of intersection on the axis of rotation of the rotary rake. This substantially increases the lifetime of the rollers and the trend of the cam can be optimally adapted to the requirements of the tines fastened to the tine arms without harmful consequences.

At the common point of intersection, which preferably lies at the center of the vertical adjustment range of the control cam, there come together the imaginary middle surface, as well as the center axes of the rollers, which are fastened to bent crank arms, and the center axes of the radially oriented inner parts of the tine holders, so that this common point of intersection resembles a midpoint of a circle, toward which all involved axes are oriented.

One advantageous embodiment of the invention is a modification of the guiding surfaces of the control cam, when these are oriented parallel to the imaginary middle surface.

This, in particular, simplifies the manufacture, especially when the guiding surfaces are made, for example, not by machine cutting, but by pressing or casting.

The rollers are advantageously provided with cambered running surfaces, so that manufacturing tolerances are balanced out, thereby simplifying the manufacture.

In the hay-making machine of the invention, the individual rotary rakes have a different number of tine holders. In this way, allowance is made for the particular size of the swath, which leads in particular to a problem-free and gentle transfer of the swath at the transfer points. Preferably the first rotary rake in the direction of travel has the fewest tines and the last rake has the most.

At the transfer points, the tine harrows overlap each other, and in preferable manner according to the invention the bent tine arms of the front harrow are guided above the tine holder of the rotary rake behind it so that it is lifted above it and does not hinder it. This is facilitated by the geometrically optimized control cam of the invention, since even steeper cam movement does not have a detrimental effect.

For road transport, the outer rotary rakes are folded up, preferably about axes roughly parallel to the direction of travel, into an at least vertical position and locked. The rotary rake located underneath the machine frame can be raised hydraulically, for example, into a transport position relative to it. In this way, this rotary rake is given a sufficient transport height. The running wheels on the machine frame can be controlled by a steering device, which works automatically and ensures an optimal curve following of the hay-making machine.

Further benefits of the invention will emerge from the following description and the drawings, in which an exemplary embodiment of the invention is depicted schematically, roughly to scale. There is shown:

Fig. 1 - A swather with three rotary rakes, in simplified representation, in the working position, in a side view.

Fig. 2 - A top view of the swather.

Fig. 3 - A front view of the swather in the direction of travel, in the working position, shown simplified.

Fig. 4 - A front view of the swather in the direction of travel, in transport position, shown simplified.

Fig. 5 - A simplified top view of the control cam with its tine holders.

Fig. 6 - A cross section A-A per Fig. 5.

Fig. 7 - A cross section A-A per Fig. 5 with a variant embodiment.

The swather shown as exemplary embodiment in the drawings is connected preferably by tow bars of a three-point linkage, omitted from the drawing, to a tractor, also not shown, and is pulled by the latter in the direction of travel (F). The rotary rakes (16, 17, 18) are driven into the working position via the tractor stub shaft, cardan shafts and gearing. The swather basically consists of a machine frame (1), having preferably two running wheels (3) at its rear end, which are controlled by a steering device (28) automatically depending on the particular curved travel of the tractor, and three rotary rakes (16, 17, 18), whose axis of connection (30) subtends an acute angle (ß) with the direction of travel. Depending on the desired working width of the swather, more or fewer rotary rakes can be coordinated with the machine frame (1).

The swather can also be outfitted as a three-point device and/or without running wheels (3). The individual rotary rakes (16, 17, 18) have limited height adjustment in the working position and are propped against the ground by running wheels (29), so that the working tools can be optimally adapted to ground irregularities.

The rotary rakes (16, 17, 18) have a different number of bent tine holders (4), to each of which tines (2) are fastened as the working tools. A first rotary rake (16) in the direction of travel (F) has the fewest tine holders, the number of which increases up to a last rotary rake (18). Moreover, the rotary rakes (16, 17, 18) are arranged to overlap. The tine holders (4) of the rotary rakes (16, 17) in front are lifted away from the tine holders (4) belonging to the rotary rakes (17, 18) behind them in the region of the overlapping. This is accomplished by an appropriate design of the respective control cam (21, 22).

Thanks to the overlapping and the different number of active tine arms (4) of the rotary rakes (16, 17, 18), one achieves on the one hand a relatively narrow machine, depending on the diameter of the rotary rakes, and on the other hand the point of transfer of the hay to the next rotary rake is moved forward as much as possible in the direction of travel. This helps with the gentle handling of the hay and the adapting to the swath size, which increases with the working width.

Depending on the area of application, the swather can also be configured so that the outer rotary rakes (16, 18) can be moved so far apart and locked during operation that small swaths can be formed with working width corresponding to the diameters of the rotary rakes and their axial spacing.

It is also possible to swivel the swather into the longitudinal axis behind the tractor and lock it for transport without folding up the outer rotary rakes (16, 18). Owing to the relatively short distance of the center of gravity from the plane of connection, the overlapping rotary rakes have an especially favorable effect here.

Figures 1, 2 and 3 show the swather in its working position.

In the transport position (Fig. 4), the outer rotary rakes (16, 18) are each swiveled by at least 90° about axes (27) which are parallel to the direction of travel and locked. The middle rotary rake (17) according to the exemplary embodiment is swiveled about a transverse axis (31), for example, by hydraulics, underneath the machine frame (1), so that the swather can be driven in transport as a semitrailer device with running wheels (3) automatically controlled via the steering device (28).

Figure 5 shows schematically the control cam (21, 22) coordinated with each rotary rake (16, 17, 18). In familiar fashion, the control cam can be radially adjusted and locked, so that the cam position and thus the position of the tine holder (4) and the tines (2) can be adapted to the requirements.

The rollers (7, 8) are vertically guided in the control cam (21, 22). They are connected by bent crank arms (23) to the rotary tine holder (4), at whose other end the tines (2) are fastened. The control cam (21, 22) thus controls, by its design, the working tool, or the tines (2).

The center axes (25, 26) of the rollers (7, 8) and the inner part (24) meet at a common point of intersection (12) on the respective vertical axes of rotation (13, 14, 15) of the

individual rotary rakes (16, 17, 18). As can be seen from Fig. 6 and 7, the point of intersection (12) preferably lies at the center of the vertical adjustment range of the control cams (21, 22).

Figure 6 shows schematically a design with conical running surfaces (6) of the rollers (7). The likewise conical guide surfaces (9) of the control cam (21) point by their generatrix (19) at the common point of intersection (12).

The imaginary middle surface (20) corresponds to the midplane of the control cam (21), is adapted to the shape of the cam, and crosses the point of intersection (12) at the particular axis of rotation (13, 14, 15). The center axis (25) of the control cam (21) and a generatrix (19) subtend an acute angle (α).

Between the conical running surface (6) of the roller (7) and the conical guide surface (9) there is provided sufficient play, and the roller (7), being conical at its outer diameter, is preferably cambered so that, for example, manufacturing tolerances can be provided for.

Figure 7 shows schematically a variant of the exemplary embodiment. The running surface (5) of the roller (8), as well as the corresponding guide surface (10) of the control cam (22), is cylindrical in shape. These surfaces are each parallel to the center axis (25) of the roller (8) that cuts the point of intersection (12). The imaginary middle surface (20), here as well, corresponds to the midplane of the control cam (22).

For better comprehension, one can use a cylindrical milling cutter for comparison, whose center axis is oriented to the point of intersection (12), and which mills the respective cam shape of the control cam (22). During the entire milling process of the control cam

(22) oriented coaxially to the respective axis of rotation (13, 14, 15), the center axis (25) is oriented to the point of intersection (12).

Here as well, play is present between the preferably cambered running surface (5) of the roller (8) and the guide surface (10), in order to equalize manufacturing tolerances.

Such a cylindrically shaped guide surface (10) simplifies the manufacture of pressed or mold-cast designs, for example.

The preferred configuration of the control cams (21, 22) shown in the exemplary embodiments is not limited to the depicted embodiments, but can be applied wherever the advantageous working of the tools thus accomplished is of benefit in hay-making or other machines.

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15 October 1990

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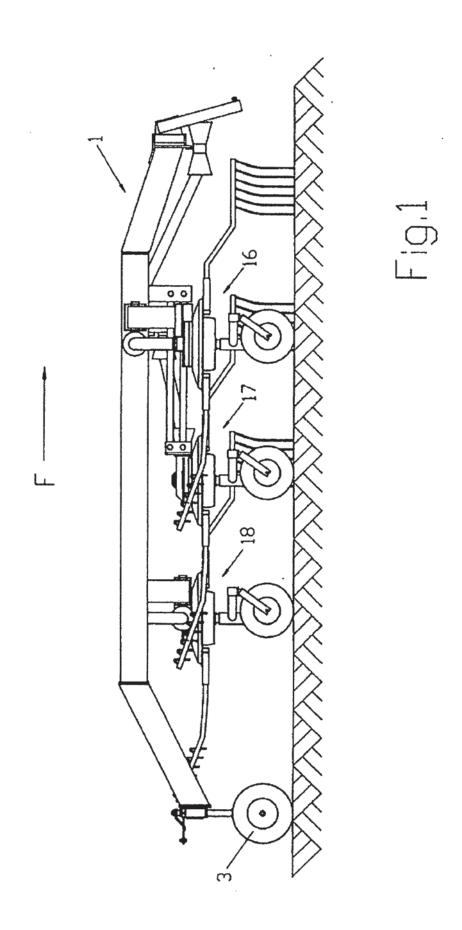
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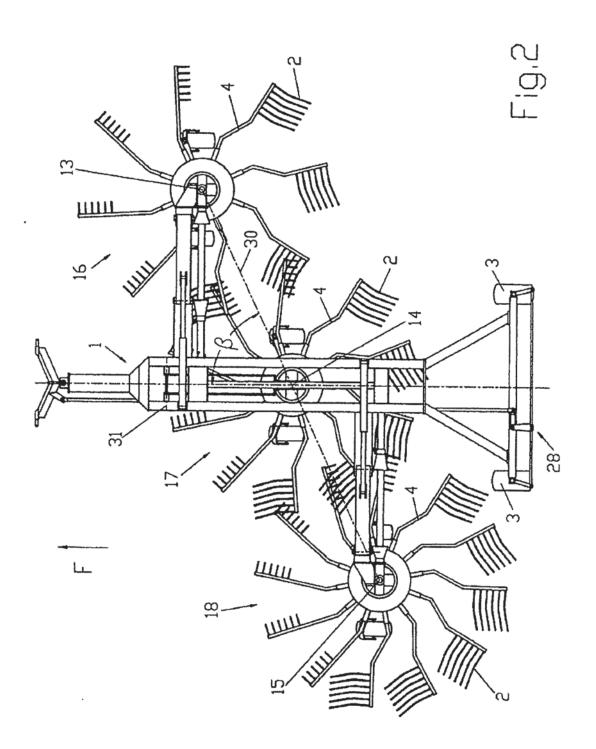
Claims

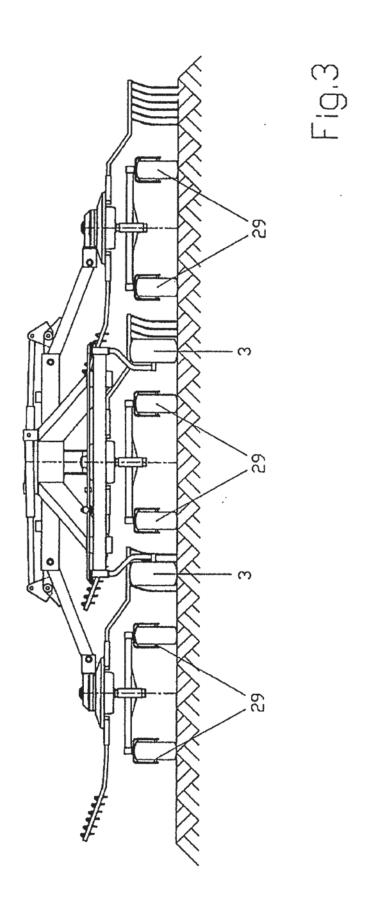
- 1. Hay-making machine for mowing swaths of hay with at least one rotary rake driven in rotation about an upright axis of rotation, which is connected via a machine frame (1) to the tractor, wherein the machine frame (1) is propped against the ground by running wheels (3) and wherein the tine holders (4) are led along crank arms in a control cam, whose guiding surfaces lie opposite each other and delimit a guidance gap coaxial to the axis of rotation of the rotary rake of the hay-making machine, through which move rollers mounted on the crank arms, characterized in that the running surfaces (6) of the rollers (7) and the generatrix (19) of an imaginary middle surface (20) between the guide surfaces (9) have a common point of intersection (12) on the axis of rotation (13, 14, 15) of the rotary rake (16, 17, 18).
- Hay-making machine according to claim 1, <u>characterized in that</u> the guide surfaces
 (10) of the control cam (22) are oriented parallel to the imaginary middle surface (20).
- Hay-making machine according to claim 1, <u>characterized in that</u> the guide surfaces
 (9) of the control cam (21) run at a small, acute angle (α) opening radially outward with respect to the imaginary middle surface (20).

- 4. Hay-making machine according to claim 3, <u>characterized in that</u> the generatrices (19) of the guide surfaces (9) of the control cam (21) meet at a common point of intersection (12) on the axis of rotation (13, 14, 15) of the rotary rake (16, 17, 18).
- Hay-making machine according to one of claims 1 to 4, <u>characterized in that</u> the common point of intersection (12) lies at the center of the vertical adjustment range of the control cam (21, 22).
- 6. Hay-making machine according to one of claims 1 to 5, characterized in that the tine holders (4) have bent crank arms (23) and radially oriented inner parts (24), whose center axes (25, 26) likewise meet the common point of intersection (12) on the axis of rotation (13, 14, 15) of the rotary rake (16, 17, 18).
- Hay-making machine according to one of claims 1 to 6, <u>characterized in that</u> the rollers (7, 8) have a cambered running surface.
- 8. Hay-making machine according to one of claims 1 to 7, with several rotary rakes (16, 17, 18) neighboring each other, whose axes of rotation (13, 14, 15) run in an imaginary common plane that subtends an angle other than 90° with the plane of the direction of travel (F), <u>characterized in that</u> each rotary rake (16, 17, 18) has a differing number of tine holders (4).
- Hay-making machine according to claim 8, <u>characterized in that</u> the number of tine holders (4) per rotary rake (16, 17, 18) increases from the first (16) to the last rotary rake (18) in the direction of travel (F).

- 10. Hay-making machine according to one of claims 8 or 9, <u>characterized in that</u> the outer rotary rakes (16, 18) can be folded up about axes (27) roughly parallel to the direction of travel into an at least vertical position and locked.
- 11. Hay-making machine according to one of claims 8 to 10, <u>characterized in that</u> the rotary rake (17) located underneath the machine frame (1) can be raised into a transport position relative to it.
- Hay-making machine according to claim 11, <u>characterized in that</u> the running wheels (3) can be controlled by a steering device (28).
- 13. Hay-making machine according to one of claims 8 to 12, <u>characterized in that</u> the tine holders (4) of neighboring rotary rakes (16, 17, 18) have overlapping working zones.







Page 135 of 337

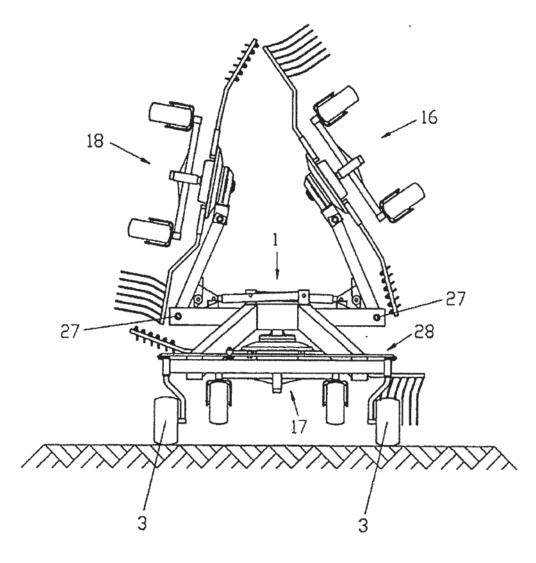
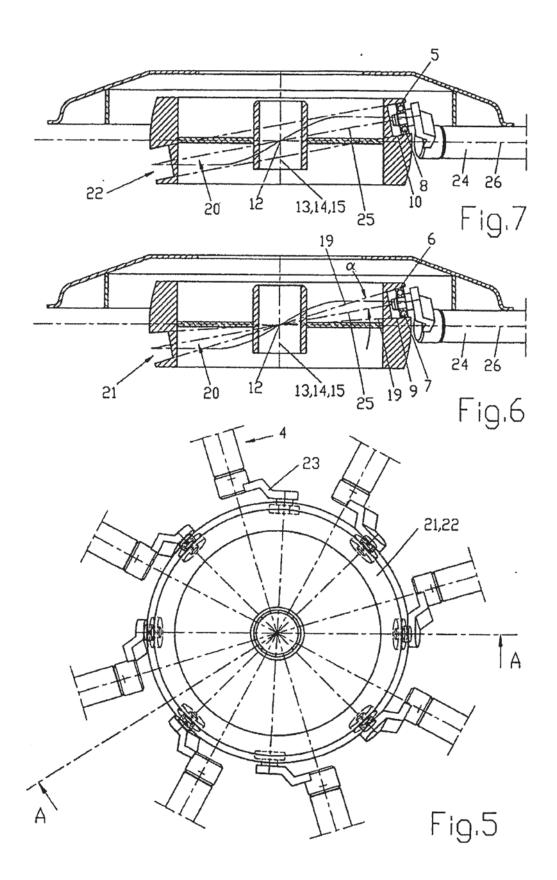


Fig.4



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INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 12821.0016USC2

Application Number:

12/925,405

Applicant: DOW et al.

Filing Date: October 19, 2010

Group Art Unit: 3671

| | | U.S. P | ATENT DOCUMEN | TS | | |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

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Sheet 2 of 3

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INFORMATION DISCLOSURE STATEMENT

Docket Number: 12821.0016USC2

Application Number: 12/925,405

IN AN APPLICATION

(Use several sheets if necessary)

Applicant: DOW et al.

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

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| Date Mailed: | September | <u>a, 1</u> | 2011 |

Sheet 3 of 3

| FORM 1449* INFORMATION DISCLOSURE STATEMENT | Docket Number: Application Number: 12821.0016USC2 12/925,405 | |
|---|--|----------------------|
| IN AN APPLICATION | Applicant: DOW et al. | |
| (Use several sheets if necessary) | Filing Date: October 19, 2010 | Group Art Unit: 3671 |

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Союз Советских Социалистических Республик



Государственный комитет CCCP по делам изобретений и открытий

ОПИСАНИ Е [100 835359 ИЗОБРЕТЕНИЯ

К АВТОРСКОМУ СВИДЕТЕЛЬСТВУ

(61) Дополнительное к авт. свид-ву --

(22) Заявлено 08.08.79 (21) 2808371/30-15

с присоединением заявки —

(23) Приоритет —

(43) Опубликовано 07.06.81. Бюллетень № 21

(45) Дата опубликования описания 26.06.81

(51) M.Кл.³ A 01 D 84/00

(53) УДК 631.353.2 (8.880)

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Фрунзенский конструкторско-технологический институт по кормоуборочным машинам

(54) СЕНОУБОРОЧНАЯ МАШИНА

Изобретение относится к сельскохозяйственному машиностроению, а именно к устройству сеноуборочных машин.

Известна сеноуборочная машина, включающая подбирающее устройство и расположенный за ним поперечный валкообразующий транопортер [1].

Недостатком известной машины является невозможность использования ее для ворошения и вспушивания валков и прокосов без перемещения их на другое место.

Целью изобретения является универсализация машины путем использования ее для ворошения и вспушивания массы.

Указанная цель достигается тем, что валкообразующий транспортер снабжен шарнирно установленным ветровым щитом, смонтированным с возможностью поворота и фиксации в вертикальном и наклонном положениях и винтовым отвалом. 20

На фиг. 1 изображена сеноуборочная машина, состоящая из двух секций, навешенная на трактор, при сгребании одного валка сена по центру; на фиг. 2 — разрез A — A фиг. 1; на фиг. 3 — то же, при сгре- 25 бании двух валков сена; на фиг. 4 — то же, при спребании одного бокового валка; на фиг. 5 — то же, при оборачивании двух валков; на фиг. 6 — винтовой отвал валкообразующего транспортера; на фиг. 7 —

сеноуборочная машина при ворошении прокосов.

На трактор / навешена сцепка 2 сеноуборочной машины, снабженная приводом 3. К сцепке 2 шарнирно присоединены симметрично расположенные рамы 4 и 5 обеих секций, которые дополнительно соединены с трактором 1 растяжками 6 и 7 и опираются на самоустанавливающиеся опорные колеса 8. На рамках 4 и 5 установлены подби-рающие устройства 9, выполненные в виде продольных транопортеров с зубьями 10. За подбирающими устройствами 9 установлены соединенные с приводом 3 поперечные валкообразующие транспортеры 11 и 12, снабженные ветровыми щитами 13 и 14, установленными шарнирно с возможностью поворота и фиксации в вертикальном и наклонном положениях.

На концах поперечных валкообразующих транспортеров 11 и 12 установлены винтовые отвалы 15 для оборачивания

За сцепкой 2 установлены пальцевые колеса 16 для ворошения средней частн прокоса или дополнительный промежуточный короткий транспортер 17 с ветровым щитом 18.

Подбирающие устройства 9 снабжены башмаками 19, а рамы 4 и 5 — прижим-

ными подпружиненными пальцами 20 и гибкими чистиками 21.

Сеноуборочная машина работает следующим образом.

При движении трактора 1 по прокосу посредством зубьев подбирающего устройства 9 сено поступает на поперечные валкообразующие транспортеры 11 и 12 посредством прижимных подпружиненных пальцев 20 и гибких чистиков 21.

При ворошения и вспушивании прокосов скорость подбирающего устройства 9 увеличивается на 45%, ветровые щиты 13 и 14 переводятся в наклонное положение, и пальцевые колеса 16 устанавливаются за сцепкой 2.

Благодаря такой конструкции сеноуборочной машины увеличивается ее универсальность, допускающая использование машины для сгребания сена в один или 20 два валка, их оборачивание, для ворошения и вспушивания прокосов без образования валка.

Формула изобретения

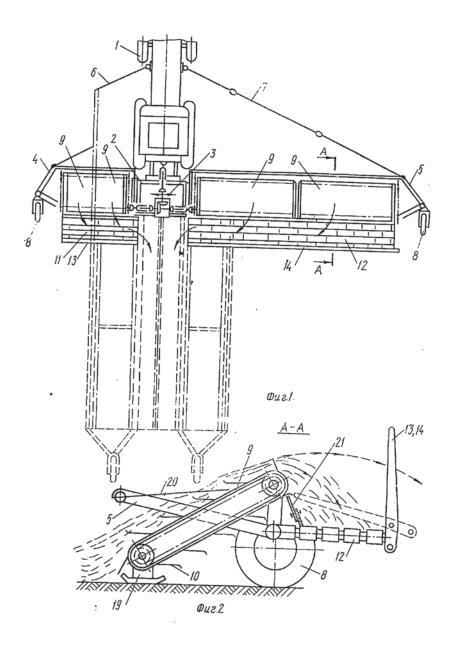
1. Сеноуборочная машина, включающая подбирающее устройство и расположенный за ним поперечный валкообразующий транопортер, отличающаясятем, что, с целью универсализации машины путем использования ее для ворошения и вспушивания массы, валкообразующий транспортер снабжен шарнирно установленным ветровым щитом, смонтированным с возможностью поворота и фиксации в вертикальном и наклонном положениях.

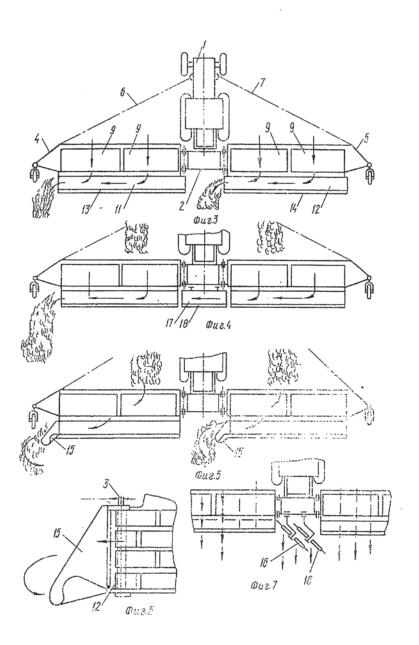
2. Сеноуборочная машина по п. 1, от-15 личающаяся тем, что валкообразующий транопортер снабжен винтовым отва-

лом.

Источник информации, принятый во внимание при экспертизе:

1. Патент ФРГ № 1757750, кл. А 01 D 84/00, 1973 (прототип).





Составитель Г. Журавлева

| Редактор Н. Тимонина | Техред А. Камышникова | Корректор И. Осиновская |
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| НПО «Поиск» Государство | Изд. № 400 Тир енного комитета СССР по 5, Москва, Ж-35, Раушская г | лаж 712 Подписное делам изобретений и открытий наб., д. 4/5 |

Тип. Харьк. фил. пред. «Патент»

| Union of Soviet Socialist . Republics | Description of invention for inventor's certificate | (11) 835359 |
|---|---|--|
| | (61) Addition to inventor's certificate (22) Application Date: August 8, 1979 (21) 2808371/30-15 with attached application (23) Priority: (43) Publication Date: June 7, 1981. Bulletin No. 21 (45) Date of Publication of Description: June 26, 1981 | (51) Int. Cl. ³ A 01 D 84/00 (53) UDC 631.353.2 (088.8) |
| State Committee USSR For inventions and discoveries | | |
| (72) Inventors | V. G. Zhavoronkin, V. G. Ermachkov and | N. M. Zhurov |
| (71) Applicant | The Frunze Design and Technological Institute for Fod | der-Harvesting Machines |

(54) HAYMAKING MACHINE

The invention relates to agricultural machine design, specifically to haymaking machines.

There is a known haymaking machine that includes a gathering device and, situated behind it, a transverse windrow-forming conveyor [1].

The shortcoming of the known machine is the impossibility of using it for turning and fluffing windrows and swaths without moving then to a different place.

The goal of the invention is universalization of the machine by using it to turn and fluff the mass.

This goal is achieved in that the windrow-forming conveyor is equipped with a hinged wind guard mounted with the possibility of being rotated and locked in the vertical and inclined positions and a helical blade.

Figure 1 shows the haymaking machine, which consists of two sections hitched to a tractor, in raking one row of hay through the center; Figure 2 shows section A-A in Figure 1; Figure 3 shows the same thing, in raking two rows of hay; Figure 4 shows the same thing, in raking one side windrow; Figure 5 shows the same thing, in turning over two windrows; Figure 6 shows the helical blade of the windrow-forming conveyor; Figure 7 shows the haymaking machine in turning swaths.

Hitch 2 of the haymaking machine, which is equipped with drive motor 3, is mounted on tractor 1. The symmetrically positioned frames 4 and 5 of both sections are articulately connected to hitch 2 and are additionally connected to tractor 1 by stays 6 and 7 and rest on autonomous support wheels 8. Gathering devices 9, which are made in the form of longitudinal

conveyors with teeth 10, are mounted on frames 4 and 5. Behind the gathering devices 9 are mounted the transverse windrow-forming conveyors 11 and 12, which are connected to drive motor 3, and which are provided with wind guards 13 and 14, which are articulately mounted with the capability of being rotated and locked in the vertical and inclined positions.

At the ends of the transverse windrow-forming conveyors 11 and 12 are mounted helical blades 15 for turning the windrows.

Behind hitch 2 are mounted finger wheels 16 for turning the middle part of the swath or an additional intermediate short conveyor 17 with wind guard 18.

The gathering devices 9 are equipped with shoes 19, while frames 4 and 5 are equipped with spring-operated pinch fingers 20 and flexible cleaners 21.

The haymaking machine operates in the following way.

As tractor 1 moves along a swath, by means of the teeth of the gathering device 9, the hay enters the transverse windrow-forming conveyors 11 and 12 by means of the spring-actuated clamp fingers 20 and flexible cleaners 21.

In the turning and fluffing of swaths the rate of the gathering device 9 increases by 45%, the wind guards 13 and 14 are moved to the inclined position, and the finger wheels 16 are mounted behind hitch 2.

Due to this design of the haymaking machine, its universality increases, which permits the use of the machine for raking hay into one or two windrows, turning the rows, and for turning and fluffing swaths without the formation of a windrow.

Claims

- 1. A haymaking machine that contains a gathering device and, situated behind it, a transverse windrow-forming conveyor, distinguished in that, with the goal of making the machine more universal by means of using it for turning and fluffing the mass, the windrow-forming conveyor is equipped with an articulately mounted wind guard, which is mounted with the possibility of being rotated and locked in the vertical and inclined positions.
- 2. A haymaking machine as in Claim 1, distinguished in that the windrow-forming conveyor is equipped with a helical blade.

Sources of information considered in examiner's evaluation:

1. FRG Patent No. 1757750, Cl. A 01 D 84/00, 1973 (prototype).

