

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

PROOFPOINT, INC., AND ARMORIZE TECHNOLOGIES, INC.,
Petitioner,

v.

FINJAN, INC.,
Patent Owner.

Case IPR2016-00937
Patent 8,141,154

**PATENT OWNER'S MANDATORY NOTICES
UNDER 37 C.F.R. § 42.8(a)(2)**

Patent Owner, Finjan, Inc., provides the following Mandatory Notices in accordance with 37 C.F.R. § 42.8(a)(2).

I. Real Party in Interest

The real party-in-interest for Patent Owner is:

Finjan, Inc.

II. Related Matters

Patent Owner and Petitioner are involved in ongoing litigation, *Finjan, Inc. v. Proofpoint, Inc.*, Case No. 3-13-cv-05808 (N.D. Cal.), in which U.S. Patent No. 8,141,154 (“the ‘154 Patent”) has been asserted. The ‘154 Patent has also been asserted in the following pending matters:

Finjan, Inc. v. Websense, Inc., Case No. 5-13-cv-04398 (N.D. Cal);

Finjan, Inc. v. Symantec Corp., Case No. 3:14-cv-02998-RS (N.D. Cal.)

Finjan, Inc. v. Sophos, Inc., Case No. 3-14-cv-01197 (N.D. Cal.); and

Finjan, Inc. v. Palo Alto Networks, Inc., 3:14-cv-04908 (N.D. Cal.).

Palo Alto Networks, Inc., filed petitions for *Inter Partes* Review of the ‘154 Patent in Case Nos. IPR2015-01979 and IPR2016-00151. Patent Owner filed a Request for Rehearing of the Institution Decision for IPR2016-00151 on May 4, 2016. The instant Petition seeks to join IPR2015-01979, which is pending.

Symantec Corp. filed Petitions for *Inter Partes* Review of the ‘154 Patent in Case Nos. IPR 2015-01547 and IPR2015-00919. Institution of IPR2015-01547

was denied on January 14, 2016 (request for rehearing denied February 25, 2016).

Case No. IPR2015-00919 seeks to join IPR2015-01979.

There are no other matters that involve issues that may affect, or be affected by, a decision in this proceeding.

III. Lead and Backup Counsel

Patent Owner hereby designates the following counsel:

Lead Counsel: James Hannah, KRAMER LEVIN NAFTALIS & FRANKEL LLP, 990 Marsh Road, Menlo Park, CA 94025. Phone: (650) 752-1712. Fax: (650) 752-1812. Email: jhannah@kramerlevin.com. USPTO Reg. No. 56,369.

Backup Counsel: Jeffrey H. Price, KRAMER LEVIN NAFTALIS & FRANKEL LLP, 1177 Avenue of the Americas, New York, NY 10036. Phone: (212) 715-7502. Fax: (212) 715-8302. Email: jprice@kramerlevin.com. USPTO Reg. No. 69,141.

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Backup Counsel: Shannon Hedvat, KRAMER LEVIN NAFTALIS & FRANKEL LLP, 1177 Avenue of the Americas, New York, NY 10036. Phone: (212) 715-9185. Fax: (212) 715-8000. Email: shedvat@kramerlevin.com. USPTO Reg. No. 68,417.

Backup Counsel: Michael Kim, Finjan Inc., 2000 University Ave., Ste. 600, E. Palo Alto, CA 94303. Phone: 650.397.9567. Email: mkim@finjan.com. USPTO Reg. No. 40,450.

IV. Service Information

Patent Owner may be served through its counsel identified above.

Electronic service of documents should be sent to the email addresses of the counsel identified above and additionally sent to the following email address: svdocketing@kramerlevin.com.

Respectfully submitted,

Dated: May 11, 2016

/James Hannah/
James Hannah
Registration No. 56,369
Counsel for Patent Owner

CERTIFICATE OF SERVICE

The undersigned certifies, in accordance with 37 C.F.R. § 42.6(e), that service was made on the Petitioner as detailed below.

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| <i>Date of service</i> | May 11, 2016 |
| <i>Manner of service</i> | Electronic Mail (joe.richetti@bryancave.com, kevin.paganini@bryancave.com, IPR2016-00937@bryancave.com, and PTAB-NY@bryancave.com) |
| <i>Documents served</i> | PATENT OWNER'S MANDATORY NOTICES |
| <i>Persons Served</i> | Joseph Richetti Kevin Paganini Bryan Cave LLP |

/James Hannah/
James Hannah
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Counsel for Patent Owner