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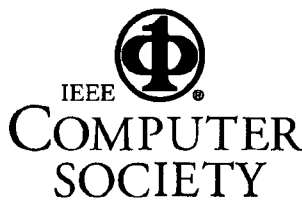
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Mobile Code Security by Java Bytecode Instrumentation*

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Abstract

Mobile code provides significant opportunities and risks. Java bytecode is used to provide executable content to web pages and is the basis for dynamic service configuration in the Jini framework. While the Java Virtual Machine includes a bytecode verifier that checks bytecode programs before execution, and a bytecode interpreter that performs run-time tests, mobile code may still behave in ways that are harmful to users. We present techniques that insert run-time tests into Java code, illustrating them for Java applets and Jini proxy bytecodes. These techniques may be used to contain mobile code behavior or, potentially, insert code appropriate to profiling or other monitoring efforts. The main techniques are class modification, involving subclassing non-final classes, and method-level modifications that may be used when control over objects from final classes is desired.

1. Introduction

Since its early beginnings in the Green project, the Java language [26] has come a long way in its applicability and prevalence. While its initial adoption was fuelled by the ability to add “active content” to web pages, Java has also become a predominant system and application development language, providing useful capabilities over and above the language features through an extensive set of application programming interfaces (APIs). The APIs simplify programming by providing a rich set of domain-dependent libraries, as well as enabling new programmatic and computational paradigms. As an example, the Java Cryptography

API makes it possible for applications to easily implement security protocols for their own needs, while the Jini API provides a specification for Java bytecode based distributed programming. One of the keys to Java’s success and appeal is its platform independence, achieved by compilation of source code to a common intermediate format, namely Java Virtual Machine (JVM) bytecode, which can then be interpreted by various platforms. The ability to transport bytecode between JVMs is most commonly encountered while browsing the net, and Java’s platform independence ensures a client-independent experience.

Although previous language implementations, such as Pascal and Smalltalk systems, have used intermediate bytecode, the use of bytecode as a *medium of exchange* places Java bytecode in a new light. A networked computer can import and execute Java bytecode in ways that are invisible or partly invisible to the user. For example, a user (or his browser) may execute a Java applet embedded within a page as part of the HTTP protocol, or a client may execute a lookup service proxy as it prepares to join a Jini community. To protect against execution of erroneous or intentionally malicious code, the JVM verifies bytecode properties before execution and performs additional checks at run time. However, these checks only enforce some type correctness conditions and basic resource access control. For example, these tests will not protect against large classes of undesirable run-time behavior, including denial-of-service, compromise of integrity, and loss of sensitive information from password or credit card information files, say. The introduction of new security architectures [8] for Java has allowed for digital signature verification and resource access control through the Permissions framework, but suffers from lack of specificity. A more expressive and fine-grained mechanism which can be customized to a user’s security needs and is flexible enough to respond to security holes as they

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are discovered, is needed.

The goal of our work is to develop methods for enforcing foreign bytecode properties, in a manner that may be customized easily. In this paper, we propose a technique, called *bytecode instrumentation*, through which we impose restrictions on bytecode by inserting additional instructions that will perform the necessary run-time tests. These additional instructions may monitor and control resource usage as well as limit code functionality. This approach is essentially a form of software fault isolation [24], tailored to the file structure and commands of the Java language. Our technique falls into two parts: *class-level* modification and *method-level* modification. Class-level modification involves substituting references to a class by another class subclassed from it. As this method employs inheritance, it can not be applied to final classes and interfaces. In these cases, method-level modification, which may be applied on a method-by-method basis without regard to class hierarchy restrictions, enforces the safe behavior that we hope from foreign code.

We have implemented these techniques within two contexts, each of which has a different bytecode delivery path. For the case of Java applets transported via the HTTP protocol, instrumentation is done by a network proxy, which in addition can also function as a GUI-customizable firewall to specific sites, Java classes, and tagged advertisements. For Jini service proxies, for which there are only transport interfaces but no specific transport mechanisms, we chose to modify the bytecode at the client's ClassLoader end, before its execution. Figures 5 and 6 summarize the system architecture for these two cases.

The rest of the paper is organized as follows. Section 2 gives examples of mobile code risks which cannot be checked within the scope of the current Java verifier and security model, and discusses the extent of our technique. The bytecode instrumentation technique itself is presented in Section 3. Section 4 explains how the mobile code transport frameworks for Java applets and Jini proxies are augmented to instrument the component bytecodes. Section 5 presents examples of the techniques presented in Section 3, with one illustrative example for each of class-level and method-level modification. We make comparisons with existing work in Section 6, and conclude in Section 7.

2. Mobile Code Risks

We preface our techniques for enforcing Java bytecode properties by examples of harmful behavior to illustrate the risk associated with untrusted mobile code. While these attacks have been around for a while, recent interest in peer to peer computing has added value to individual machine cycles, and one may presume, incentive to deploying mobile code attacks.

The categorisations below should be taken only as indicative, and not exhaustive. We situate the extent of our techniques w.r.t. the various kinds of attack threats posed by mobile code; Section 5 presents more detail for specific examples.

2.1. Denial of Service

The current Java security model provides a Permissions framework to specify the host resources that mobile code may access. However, the extent of use is not monitored, and code which has legitimate use for a certain resource, say the screen, or the audio driver, may abuse this privilege. The system may be rendered useless by greedy techniques: monopolizing and stealing CPU time, grabbing all available system memory, or starving other threads and system processes. Many variants on this theme exist, a common scheme is for the foreign code to spawn a "resource consuming" thread. The runaway thread redefines its `stop` method to execute a loop and effects an "infinite access" to the resource, which may result in annoying to crippling behavior, for example through screen flooding. Often a complete browser or system shutdown becomes the only viable option.

Since the safety of Java runtime system may be threatened by inordinate system resource use, it is useful to have some mechanism to monitor and control resource usage.

2.2. Information Leaks

An applet may subvert its constrained channels of information flow through various means. A possible third-party channel is available with the URL redirect feature. Normally, an applet may instruct the browser to load any page on the web. An attacker's server could record the URL as a message, then redirect the browser to the original destination [5]. Another scenario exploits the ability of an applet to send out email messages [10]. If the web server is running an SMTP mail daemon, a hostile applet may forge email after connecting to port 25.

Time-delayed access to files also can be used as a covert channel [19]. Specifically, if mobile code fragment *A*, with access to private information is prohibited from accessing the net, information can still be sent out by another mobile code fragment *B*, which shares a file with *A*. Inter-code communication via storage channels may be detected by system logs, but these are hard to analyze in real time.

It is generally accepted that theoretically feasible covert channels like refreshing a page at uneven time intervals to transmit a sequence of bits, are hard to detect. We ignore such arbitrary and unpredictable information channels, while using our techniques to plug more tractable pathways as in the case of email forgery.

2.3. Spoofing

In a spoofing attack, an attacker creates a misleading context in order to trick a user into making an inappropriate security-relevant decision [7]. For example, some applets display URLs as the mouse navigates over various components of a web page, like a graphic or a link. By convention, the URL is shown in a specific position on the status line. If an applet displays a fake URL, the user may be misled into connecting to a potentially hazardous website. It is also possible to abuse weaknesses in mobile code-fetch conventions to spoof the real place of origin of a code fragment, laying client-side security policies regarding network connections to naught.

Bytecode instrumentation is an effective technique against well-specified attacks, which include denial of service and information leaks via specific pathways. In this sense, its scope is monotonic; newly discovered attack specifications can be added to a client's policy files and any additional bytecodes that match them can be instrumented to enforce safety properties. The reader may like to think of this in virus checking terms, where the safety net widens with addition of new entries in the virus signature files. Bytecode instrumentation thus allows for *content-based* protection, since the modification is a function of the bytecode and the client's safety policy.

We now move on to the technical details of our scheme.

3. Bytecode Instrumentation

Our goal is to design a safety mechanism for Java bytecode that extends the signature based security manager with user-controlled content-based control. The basic idea is to restrict bytecode by the insertion of *sentinel code*. In the examples we have implemented and tested, sentinel code may monitor and control resource usage as well as limit functionality. This approach is a form of software fault isolation [24], adapted to the specific structure and representation of Java bytecode programs.

Our safety mechanism substitutes one executable entity, such as a class or a method, with a related executable entity that performs additional run-time tests. For instance, a class such as `Window` can be replaced with a more restrictive class `Safe$Window` that performs additional security and sanity checks. This replacement must occur before the transported bytecode is loaded within the JVM of the client, and we achieve this at different points in the transport path in our experiments with Java applets and Jini proxies (see Section 4). Note that we will use the prefix `Safe$` to indicate a safe class.

The following sections explain how modified executable entities are inserted in Java bytecode. The modifications

may be performed at the level of the class or the method, by modifying the constant pool to replace references to substituted entities by their safe substitutes.

3.1. Class-level Modification

A class such as `Window` can be replaced with a subclass of `Window` (say `Safe$Window`) that restricts resource usage and functionality. For example, `Safe$Window`'s constructor can limit the number of windows that can be open at one time, by calling `Window`'s constructor, and raising an exception when the number of windows opened currently (stored as a private variable in the method) exceeds the limit. Since `Safe$Window` is defined to be a subclass of `Window`, the applet should not notice the change, unless it attempts to create windows exceeding the limit.

This class substitution is done by merely substituting references to class `Window` with references to class `Safe$Window`. When `Safe$Window` is a subtype of `Window`, type `Safe$Window` can be used anywhere type `Window` is expected.

In Java, all references to strings, classes, fields, and methods are through indices into the constant pool of the class file [16]. Therefore, it is the constant pool that should be modified in a Java class file. More specifically, two entries are used to represent a class in the constant pool. A constant pool entry tagged as `CONSTANT_Class` represents a class while referencing a `CONSTANT_Utf8` entry for a UTF-8 string representing a fully qualified name of the class, as in figure 1.

If we replace a class name of a `CONSTANT_Utf8` entry, `Window`, with a new class name, `Safe$Window`, the `CONSTANT_Class` entry will represent the new class, `Safe$Window`, as shown in figure 2.

Substituting a class requires just one modification of a constant pool entry representing a class name string. This is straightforward since a subclass may appear anywhere a superclass is used without any modifications to the program. However, this approach cannot be applied to a final class or an interface class.

3.2. Method-level Modification

In class-level modification, the basic idea is to substitute a potentially harmful method (for example, those that provide direct access to system resources) with a safer version that provides for customized control. Unlike class-level modification, however, there is no relationship between the two methods. This provides more flexibility in that it can be used even when the method is final or is accessed through an interface, but requires more modifications than a simple substitution of methods.

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