mixture, and formulation into an efficacious therapeutic agent.

The term "substituted", as used herein, means that an one or more hydrogen on the designated atom is 5 replaced with a selection from the indicated group, provided that the designated atom's normal valency is not exceeded, and that the substitution results in a stable compound. When a substituti is keto (i.e., =0), then 2 hydrogens on the atom are replaced.

10

As used herein, "alkyl" is intended to include both branched and straight-chain saturated aliphatic hydrocarbon groups having the specified number of carbon atoms; "haloalkyl" is intended to include both branched

- 15 and straight-chain saturated aliphatic hydrocarbon groups having the specified number of carbon atoms, substituted with 1 or more halogen (for example  $-C_vF_w$ where v = 1 to 3 and w = 1 to (2v+1)); "alkoxy" represents an alkyl group of indicated number of carbon
- 20 atoms attached through an oxygen bridge; "cycloalkyl" is intended to include saturated ring groups, including mono-,bi- or poly-cyclic ring systems, such as cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl, cyclooctyl and adamantyl; and "biycloalkyl"
- 25 is intended to include saturated bicyclic ring groups such as [3.3.0]bicyclooctane, [4.3.0]bicyclononane, [4.4.0]bicyclodecane (decalin), [2.2.2]bicyclooctane, and so forth. "Alkenyl" is intended to include hydrocarbon chains of either a straight or branched
- 30 configuration and one or more unsaturated carbon-carbon bonds which may occur in any stable point along the chain, such as ethenyl, propenyl and the like; and "alkynyl" is intended to include hydrocarbon chains of either a straight or branched configuration and one or

-119-

# **LUPIN EX. 1023**

more triple carbon-carbon bonds which may occur in any stable point along the chain, such as ethynyl, propynyl and the like.

- 5 The phrase "boronic acid" as used herein means a group of the formula  $-B(R^{34})(R^{35})$ , wherein  $R^{34}$  and  $R^{35}$ are independently selected from: -OH; -F;  $-NR^{13}R^{14}$ ; or  $C_1-C_8$ -alkoxy; or  $R^{34}$  and  $R^{35}$  can alternatively be taken together to form: a cyclic boron ester where said chain
- 10 or ring contains from 2 to 20 carbon atoms and, optionally, 1-4 heteroatoms independently selected from N, S, or O; a divalent cyclic boron amide where said chain or ring contains from 2 to 20 carbon atoms and, optionally, 1-4 heteroatoms independently selected from
- 15 N, S, or O; a cyclic boron amide-ester where said chain or ring contains from 2 to 20 carbon atoms and, optionally, 1-4 heteroatoms independently selected from N, S, or O. Such cyclic boron esters, boron amides, or boron amide-esters may also be optionally substituted

20 with 1-5 groups independently selected from R<sup>11</sup>. Boron esters include boronic acid protecting groups, including moieties derived from diols, for example pinanediol and pinacol to form pinanediol boronic acid ester and the pinacol boronic acid,

- 25 respectively. Other illustrations of diols useful for deriving boronic acid esters are perfluoropinacol, ethylene glycol, diethylene glycol, 1,2-ethanediol, 1,3-propanediol, 1,2-propanediol, 1,2-butanediol, 1,4-butanediol, 2,3-butanediol, 2,3-hexanediol,
- 30 1,2-hexanediol, catechol, 1,2-diisopropylethanediol, 5,6-decanediol, 1,2-dicyclohexylethanediol.

"Halo" or "halogen" as used herein refers to fluoro, chloro, bromo and iodo; and "counterion" is used

-120-

to represent a small, negatively charged species such as chloride, bromide, hydroxide, acetate, sulfate and the like.

- As used herein, "aryl" or "aromatic residue" is 5 intended to mean phenyl or naphthyl. As used herein, "carbocycle" or "carbocyclic residue" is intended to mean any stable 3- to 7- membered monocyclic or bicyclic or 7- to 14-membered bicyclic or tricyclic or an up to 26-membered polycyclic carbon ring, any of which may be
- 10 saturated, partially unsaturated, or aromatic. Examples of such carbocyles include, but are not limited to, cyclopropyl, cyclopentyl, cyclohexyl, phenyl, biphenyl, naphthyl, indanyl, adamantyl, or tetrahydronaphthyl (tetralin).
- As used herein, the term "heterocycle" or "heterocyclic ring system" is intended to mean a stable 5- to 7- membered monocyclic or bicyclic or 7- to 10membered bicyclic heterocyclic ring which may be saturated, partially unsaturated, or aromatic, and which
- 20 consists of carbon atoms and from 1 to 4 heteroatoms selected independently from the group consisting of N, O and S and wherein the nitrogen and sulfur heteroatoms may optionally be oxidized, and the nitrogen may optionally be quaternized, and including any bicyclic
- 25 group in which any of the above-defined heterocyclic rings is fused to a benzene ring. The heterocyclic ring may be attached to its pendant group at any heteroatom or carbon atom which results in a stable structure. The heterocyclic rings described herein may be substituted
- 30 on carbon or on a nitrogen atom if the resulting compound is stable. Examples of such heterocycles include, but are not limited to, benzopyranyl, thiadiazine, tetrazolyl, benzofuranyl, benzothiophenyl, indolene, quinoline, isoquinolinyl or benzimidazolyl,

-121-

piperidinyl, 4-piperidone, 2-pyrrolidone, tetrahydrofuran, tetrahydroquinoline, tetrahydroisoquinoline, decahydroquinoline, octahydroisoquinoline, azocine, triazine (including

- 5 1,2,3-, 1,2,4-, and 1,3,5-triazine), 6H-1,2,5thiadiazine, 2H,6H-1,5,2-dithiazine, thiophene, tetrahydrothiophene, thianthrene, furan, pyran, isobenzofuran, chromene, xanthene, phenoxathiin, 2H-pyrrole, pyrrole, imidazole, pyrazole, thiazole,
- 10 isothiazole, oxazole (including 1,2,4- and 1,3,4oxazole), isoxazole, triazole, pyridine, pyrazine, pyrimidine, pyridazine, indolizine, isoindole, 3Hindole, indole, 1H-indazole, purine, 4H-quinolizine, isoquinoline, quinoline, phthalazine, naphthyridine,
- 15 quinoxaline, quinazoline, cinnoline, pteridine, 4aH-carbazole, carbazole, ß-carboline, phenanthridine, acridine, perimidine, phenanthroline, phenazine, phenarsazine, phenothiazine, furazan, phenoxazine, isochroman, chroman, pyrrolidine, pyrroline,
- 20 imidazolidine, imidazoline, pyrazolidine, pyrazoline, piperazine, indoline, isoindoline, quinuclidine, or morpholine. Also included are fused ring and spiro compounds containing, for example, the above heterocycles.

25

As used herein, the term "any group that, when administered to a mammalian subject, cleaves to form a free hydroxyl, amino or sulfhydryl" means any group bonded to an O, N, or S atom, respectively, which is

30 cleaved from the O, N, or S atom when the compound is administered to a mammalian subject to provide a compound having a remaining free hydroxyl, amino, or sulfhydryl group, respectively. Examples of groups that, when administered to a mammalian subject, are

-122-

cleaved to form a free hydroxyl, amino or sulfhydryl, include but are not limited to,  $C_1-C_6$  alkyl substituted with 0-3 R<sup>11</sup>,  $C_3-C_6$  alkoxyalkyl substituted with 0-3 R<sup>11</sup>,  $C_1-C_6$  alkylcarbonyl substituted with 0-3 R<sup>11</sup>,  $C_1-C_6$ 

5 alkoxycarbonyl substituted with 0-3 R<sup>11</sup>, C<sub>1</sub>-C<sub>6</sub> alkylaminocarbonyl substituted with 0-3 R<sup>11</sup>, benzoyl substituted with 0-3 R<sup>12</sup>, phenoxycarbonyl substituted with 0-3 R<sup>12</sup>, phenylaminocarbonyl substituted with 0-3 R<sup>12</sup>. Examples of groups that, when administered to a

10 mammalian subject, are cleaved to form a free hydroxyl, amino or sulfhydryl, include hydroxy, amine or sulfhydryl protecting groups, respectively.

- As used herein, the term "amine protecting group" means any group known in the art of organic synthesis for the protection of amine groups. Such amine protecting groups include those listed in Greene, "Protective Groups in Organic Synthesis" John Wiley &
- 20 Sons, New York (1981) and "The Peptides: Analysis, Sythesis, Biology, Vol. 3, Academic Press, New York (1981), the disclosure of which is hereby incorporated by reference. Any amine protecting group known in the art can be used. Examples of amine protecting groups
- 25 include, but are not limited to, the following: 1) acyl types such as formyl, trifluoroacetyl, phthalyl, and p-toluenesulfonyl; 2) aromatic carbamate types such as benzyloxycarbonyl (Cbz or Z) and substituted benzyloxycarbonyls, 1-(p-biphenyl)-1-

30 methylethoxycarbonyl, and 9-fluorenylmethyloxycarbonyl (Fmoc); 3) aliphatic carbamate types such as tertbutyloxycarbonyl (Boc), ethoxycarbonyl, diisopropylmethoxycarbonyl, and allyloxycarbonyl; 4) cyclic alkyl carbamate types such as

-123-

cyclopentyloxycarbonyl and adamantyloxycarbonyl; 5) alkyl types such as triphenylmethyl and benzyl; 6) trialkylsilane such as trimethylsilane; and 7) thiol containing types such as phenylthiocarbonyl and

5 dithiasuccinoyl. Also included in the term "amine protecting group" are acyl groups such as azidobenzoyl, p-benzoylbenzoyl, o-benzylbenzoyl, p-acetylbenzoyl, dansyl, glycyl-p-benzoylbenzoyl, phenylbenzoyl, m-benzoylbenzoyl, benzoylbenzoyl.

10

As used herein, "pharmaceutically acceptable salts" refer to derivatives of the disclosed compounds wherein the parent compound of formula (I) is modified by making acid or base salts of the compound of formula (I).

15 Examples of pharmaceutically acceptable salts include, but are not limited to, mineral or organic acid salts of basic residues such as amines; alkali or organic salts of acidic residues such as carboxylic acids; and the like.

20

Pharmaceutically acceptable salts of the compounds of the invention can be prepared by reacting the free acid or base forms of these compounds with a stoichiometric amount of the appropriate base or acid in 25 water or in an organic solvent, or in a mixture of the two; generally, nonaqueous media like ether, ethyl acetate, ethanol, isopropanol, or acetonitrile are preferred. Lists of suitable salts are found in <u>Remington's Pharmaceutical Sciences</u>, 17th ed., Mack

30 Publishing Company, Easton, PA, 1985, p. 1418, the disclosure of which is hereby incorporated by reference. The term "amino acid" as used herein means an organic compound containing both a basic amino group and an acidic carboxyl group. Included within this term are

-124-

modified and unusual amino acids, such as those disclosed in, for example, Roberts and Vellaccio (1983) <u>The Peptides</u>, 5: 342-429, the teaching of which is hereby incorporated by reference. Modified or unusual

5 amino acids which can be used to practice the invention include, but are not limited to, D-amino acids, hydroxylysine, 4-hydroxyproline, ornithine, 2,4-diaminobutyric acid, homoarginine, norleucine, N-methylaminobutyric acid, naphthylalanine,

- 10 phenylglycine, B-phenylproline, tert-leucine, 4-aminocyclohexylalanine, N-methyl-norleucine, 3,4-dehydroproline, 4-aminopiperidine-4-carboxylic acid, 6-aminocaproic acid, trans-4-(aminomethyl)cyclohexanecarboxylic acid, 2-, 3-, and 4-(aminomethyl)-
- 15 benzoic acid, 1-aminocyclopentanecarboxylic acid, 1-aminocyclopropanecarboxylic acid, and 2-benzyl-5aminopentanoic acid.

The term "amino acid residue" as used herein means that portion of an amino acid (as defined herein) that 20 is present in a peptide.

The term "peptide" as used herein means a linear compound that consists of two or more amino acids (as defined herein) that are linked by means of a peptide bond. The term "peptide" also includes compounds

25 containing both peptide and non-peptide components, such as pseudopeptide or peptide mimetic residues or other non-amino acid components. Such a compound containing both peptide and non-peptide components may also be referred to as a "peptide analog".

30

A "pseudopeptide" or "peptide mimetic" is a compound which mimics the structure of an amino acid residue or a peptide, for example, by using linking groups other than amide linkages between the peptide mimetic and an amino acid residue (pseudopeptide bonds)

-125-

5

and/or by using non-amino acid substituents and/or a modified amino acid residue.

A "pseudopeptide residue" means that portion of an pseudopeptide or peptide mimetic (as defined herein) that is present in a peptide.

The term "peptide bond" means a covalent amide linkage formed by loss of a molecule of water between the carboxyl group of one amino acid and the amino group of a second amino acid.

10 The term "pseudopeptide bonds" includes peptide bond isosteres which may be used in place of or as substitutes for the normal amide linkage. These substitute or amide "equivalent" linkages are formed from combinations of atoms not normally found in

15 peptides or proteins which mimic the spatial requirements of the amide bond and which should stabilize the molecule to enzymatic degradation.

The terms " $L_n$ ", "linking group" and "linker", used interchangeably throughout, designate the group of atoms 20 separating Q from the metal chelator,  $C_h$ .

The terms "activated  $L_n$  group", "activated  $L_n$ ", "activated linking group" and "activated linker", used interchangeably throughout, refer to a linking group that bears one or more reactive group capable of reacting with, and forming a bond with, a chelator or a

Q.

25

The terms " $C_h$ ", "metal chelator", and "chelator" are used interchangeably throughout to designate a chemical moiety capable of binding to or complexing with a metal nuclide.

30 a metal nuclide.

The term "cyclizing moiety" means the intermediate compound that serves as the precursor to the  $\ensuremath{\mathsf{R}^{31}}$  group of Q.

-126-

· \_

The term "ring substituted cyclizing moiety" is a cyclizing moiety bearin a substituent group one or more of its carbocyclic or heterocyclic rings.

The term "linker modified cyclizing moiety" refers 5 to a cyclizing moiety that bears an activated L<sub>n</sub> group. The term "cyclic compound intermediate" means the intermediate compound that serves as the precursor to the Q group in the claimed compounds.

The term "linker modified cyclic compound 10 intermediate" means a cyclic compound intermediate that bears an activated L<sub>n</sub> group.

The compounds of the present invention can be prepared in a number of ways well known to one skilled in the art of organic synthesis. Preferred methods

15 include but are not limited to those methods described below.

The following abbreviations are used herein:

| 20 | Acm                 | acetamidomethyl                          |
|----|---------------------|--|
|    | D-Abu               | D-2-aminobutyric acid                    |
|    | 5-Aca               | 5-aminocaproamide(5-aminohexanamide)     |
|    | b-Ala, b-Ala or     | <b>.</b>                                 |
|    | bAla                | 3-aminopropionic acid                    |
| 25 | Вос                 | t-butyloxycarbonyl                       |
|    | Boc-iodo-Mamb       | t-butyloxycarbonyl-3-aminomethyl-4-iodo- |
|    |                     | benzoic acid                             |
|    | Boc-Mamb            | t-butyloxycarbonyl-3-aminomethylbenzoic  |
|    | acid                | · · ·                                    |
| 30 | Boc-ON              | [2-(tert-butyloxycarbonyloxylimino)-2-   |
|    |                     | phenylacetonitrile                       |
|    | Cl <sub>2</sub> Bzl | dichlorobenzyl                           |
|    | CBZ, Cbz or Z       | Carbobenzyloxy                           |
|    | DCC                 | dicyclohexylcarbodiimide                 |
|    |                     |  |

-127-

30

PCT/US94/03256

....

|    | DIEA      | diisopropylethylamine                  |
|----|-----------|--|
|    | di-NMeOrn | N-aMe-N-gMe-ornithine                  |
|    | DMAP      | 4-dimethylaminopyridine                |
|    | HBTU      | 2-(1H-Benzotriazol-1-yl)-1,1,3,3-      |
| 5  |           | tetramethyluronium hexafluorophosphate |
|    | NMeArg or |  |
|    | MeArg     | a-N-methyl arginine                    |
|    | NMeAmf    | N-Methylaminomethylphenylalanine       |
|    | NMeAsp    | a-N-methyl aspartic acid               |
| 10 | NMeGly or |  |
|    | MeGly     | N-methyl glycine                       |
|    | NMe-Mamb  | N-methyl-3-aminomethylbenzoic acid     |
|    | NMM       | N-methylmorpholine                     |
| •  | OcHex     | O-cyclohexyl .                         |
| 15 | OBzl      | O-benzyl                               |
|    | oSu       | O-succinimidyl                         |
|    | PNP       | .p-nitrophenyl                         |
|    | TBTU      | 2-(1H-Benzotriazol-1-yl)-1,1,3,3-      |
|    |           | tetramethyluronium                     |
| 20 |           | tetrafluoroborate                      |
|    | Теос      | 2-(Trimethylsilyl)ethyloxycarbonyl     |
|    | Tos       | tosyl                                  |
|    | Tr        | trityl                                 |
|    |           |  |

25 The following conventional three-letter amino acid abbreviations are used herein; the conventional oneletter amino acid abbreviations are <u>not</u> used herein:

| Ala | = | alanine       |   |
|-----|---|---------------|---|
| Arg | = | arginine      |   |
| Asn | = | asparagine    | n |
| Asp | = | aspartic acid | - |
| Cys | = | cysteine      | ~ |
| Gln | = | glutamine     | 2 |
|     |   |               |   |

-128-

5

10

15

|    | Glu | =           | glutamic acid |
|----|-----|-------------|---------------|
| •. | Gly | =           | glycine       |
|    | His | <del></del> | histidine     |
|    | Ile | =           | isoleucine    |
|    | Leu | =           | leucine       |
|    | Lys | =           | lysine        |
|    | Met | =           | methionine    |
|    | Nle | =           | norleucine    |
|    | Phe | =           | phenylalanine |
|    | Phg | =           | phenylglycine |
|    | Pro | =           | proline       |
|    | Ser | =           | serine        |
|    | Thr | =           | threonine     |
|    | Trp | = .         | tryptophan    |
| ×  | Tyr | =           | tyrosine      |
|    | Val | =           | valine        |
|    |     |             |               |

- 20 The compounds of the present invention can be synthesized using standard synthetic methods known to those skilled in the art. Preferred methods include but are not limited to those methods described below. Generally, peptides are elongated by deprotecting
- 25 the a-amine of the C-terminal residue and coupling the next suitably protected amino acid through a peptide linkage using the methods described. This deprotection and coupling procedure is repeated until the desired sequence is obtained. This coupling can be performed 30 with the constituent amino acids in a stepwise fashion, or condensation of fragments (two to several amino
  - acids), or combination of both processes, or by solid phase peptide synthesis according to the method originally described by Merrifield, J. Am. Chem. Soc.,

-129-

85, 2149-2154 (1963), the disclosure of which is hereby incorporated by reference.

The compounds of the invention may also be synthesized using automated peptide synthesizing

- 5 equipment. In addition to the foregoing, procedures for peptide synthesis are described in Stewart and Young, "Solid Phase Peptide Synthesis", 2nd ed, Pierce Chemical Co., Rockford, IL (1984); Gross, Meienhofer, Udenfriend, Eds., "The Peptides: Analysis, Synthesis, Biology, Vol.
- 10 1, 2, 3, 5, and 9, Academic Press, New York, (1980-1987); Bodanszky, "Peptide Chemistry: A Practical Textbook", Springer-Verlag, New York (1988); and Bodanszky et al. "The Practice of Peptide Sythesis" Springer-Verlag, New York (1984), the disclosures of 15 which are hereby incorporated by reference.
  - The coupling between two amino acid derivatives, an amino acid and a peptide, two peptide fragments, or the cyclization of a peptide can be carried out using standard coupling procedures such as the azide method,
- 20 mixed carbonic acid anhydride (isobutyl chloroformate) method, carbodiimide (dicyclohexylcarbodiimide, diisopropylcarbodiimide, or water-soluble carbodiimides) method, active ester (p-nitrophenyl ester, Nhydroxysuccinic imido ester) method, Woodward reagent K
- 25 method, carbonyldiimidazole method, phosphorus reagents such as BOP-Cl, or oxidation-reduction method. Some of these methods (especially the carbodiimide) can be enhanced by the addition of 1-hydroxybenzotriazole. These coupling reactions may be performed in either
- 30 solution (liquid phase) or solid phase.
  - The functional groups of the constituent amino acids must be protected during the coupling reactions to avoid undesired bonds being formed. The protecting groups that can be used are listed in Greene,

-130-

#### PCT/US94/03256

#### WO 94/22494

"Protective Groups in Organic Synthesis" John Wiley & Sons, New York (1981) and "The Peptides: Analysis, Sythesis, Biology, Vol. 3, Academic Press, New York (1981), the disclosure of which is hereby incorporated 5 by reference.

The a-carboxyl group of the C-terminal residue is usually protected by an ester that can be cleaved to give the carboxylic acid. These protecting groups include: 1) alkyl esters such as methyl and t-butyl, 2)

- 10 aryl esters such as benzyl and substituted benzyl, or 3) esters which can be cleaved by mild base treatment or mild reductive means such as trichloroethyl and phenacyl esters. In the solid phase case, the C-terminal amino acid is attached to an insoluble carrier (usually
- 15 polystyrene). These insoluble carriers contain a group which will react with the carboxyl group to form a bond which is stable to the elongation conditions but readily cleaved later. Examples of which are: oxime resin (DeGrado and Kaiser (1980) J. Org. Chem. 45, 1295-1300)
- 20 chloro or bromomethyl resin, hydroxymethyl resin, and aminomethyl resin. Many of these resins are commercially available with the desired C-terminal amino acid already incorporated.

The a-amino group of each amino acid must be

25 protected. Any protecting group known in the art can be used. Examples of these are: 1) acyl types such as formyl, trifluoroacetyl, phthalyl, and ptoluenesulfonyl; 2) aromatic carbamate types such as benzyloxycarbonyl (Cbz) and substituted

30 benzyloxycarbonyls, 1-(p-biphenyl)-1methylethoxycarbonyl, and 9-fluorenylmethyloxycarbonyl (Fmoc); 3) aliphatic carbamate types such as tertbutyloxycarbonyl (Boc), ethoxycarbonyl, diisopropylmethoxycarbonyl, and allyloxycarbonyl; 4)

-131-

cyclic alkyl carbamate types such as cyclopentyloxycarbonyl and adamantyloxycarbonyl; 5) alkyl types such as triphenylmethyl and benzyl; 6) trialkylsilane such as trimethylsilane; and 7) thiol

5 containing types such as phenylthiocarbonyl and dithiasuccinoyl. The preferred a-amino protecting group is either Boc or Fmoc. Many amino acid derivatives suitably protected for peptide synthesis are commercially available.

- 10 The a-amino protecting group is cleaved prior to the coupling of the next amino acid. When the Boc group is used, the methods of choice are trifluoroacetic acid, neat or in dichloromethane, or HCl in dioxane. The resulting ammonium salt is then neutralized either prior
- 15 to the coupling or in situ with basic solutions such as aqueous buffers, or tertiary amines in dichloromethane or dimethylformamide. When the Fmoc group is used, the reagents of choice are piperidine or substituted piperidines in dimethylformamide, but any secondary
- 20 amine or aqueous basic solutions can be used. The deprotection is carried out at a temperature between 0 °C and room temperature.

Any of the amino acids bearing side chain functionalities must be protected during the preparation

- 25 of the peptide using any of the above-identified groups. Those skilled in the art will appreciate that the selection and use of appropriate protecting groups for these side chain functionalities will depend upon the amino acid and presence of other protecting groups in
- 30 the peptide. The selection of such a protecting group is important in that it must not be removed during the deprotection and coupling of the a-amino group.

For example, when Boc is chosen for the a-amine protection the following protecting groups are

-132-

acceptable: *p*-toluenesulfonyl (tosyl) moieties and nitro for arginine; benzyloxycarbonyl, substituted benzyloxycarbonyls, tosyl or trifluoroacetyl for lysine; benzyl or alkyl esters such as cyclopentyl for glutamic

5 and aspartic acids; benzyl ethers for serine and threonine; benzyl ethers, substituted benzyl ethers or 2-bromobenzyloxycarbonyl for tyrosine; p-methylbenzyl, p-methoxybenzyl, acetamidomethyl, benzyl, or tbutylsulfonyl for cysteine; and the indole of tryptophan 10 can either be left unprotected or protected with a

formyl group.

When Fmoc is chosen for the a-amine protection usually tert-butyl based protecting groups are acceptable. For instance, Boc can be used for lysine, tert-butyl ether for serine, threonine and tyrosine, and

15 tert-butyl ether for serine, threonine and tyrosine, and tert-butyl ester for glutamic and aspartic acids. Once the elongation and cyclization of the peptide is completed all of the protecting groups are removed. For the liquid phase synthesis the protecting groups are

20 removed in whatever manner as dictated by the choice of protecting groups. These procedures are well known to those skilled in the art.

When a solid phase synthesis is used, the peptide should be removed from the resin without simultaneously 25 removing protecting groups from functional groups that might interfere with the cyclization process. Thus, if the peptide is to be cyclized in solution, the cleavage conditions need to be chosen such that a free acarboxylate and a free a-amino group are generated

30 without simultaneously removing other protecting groups. Alternatively, the peptide may be removed from the resin by hydrazinolysis, and then coupled by the azide method. Another very convenient method involves the synthesis of peptides on an oxime resin, followed by intramolecular

-133-

nucleophilic displacement from the resin, which generates a cyclic peptide (Osapay, Profit, and Taylor (1990) Tetrahedron Letters 43, 6121-6124). When the oxime resin is employed, the Boc protection scheme is

5 generally chosen. Then, the preferred method for removing side chain protecting groups generally involves treatment with anhydrous HF containing additives such as dimethyl sulfide, anisole, thioanisole, or p-cresol at 0 °C. The cleavage of the peptide can also be

10 accomplished by other acid reagents such as trifluoromethanesulfonic acid/trifluoroacetic acid mixtures.

Unusual amino acids used in this invention can be synthesized by standard methods familiar to those

- 15 skilled in the art ("The Peptides: Analysis, Sythesis, Biology, Vol. 5, pp. 342-449, Academic Press, New York (1981)). N-Alkyl amino acids can be prepared using procedures described in previously (Cheung et al., (1977) Can. J. Chem. 55, 906; Freidinger et al., (1982)
- 20 J. Org. Chem. 48, 77 (1982)), which are incorporated here by reference.

The compounds of the present invention may be prepared using the procedures further detailed below. 25 Representative materials and methods that may be used in preparing the compounds of the invention are described further below.

Manual solid phase peptide synthesis was performed in 25 mL polypropylene filtration tubes purchased from

30 BioRad Inc., or in 60 mL hour-glass reaction vessels purchased from Peptides International. Oxime resin (substitution level = 0.96 mmol/g) was prepared according to published procedures (DeGrado and Kaiser (1980) J. Org. Chem. 45, 1295), or was purchased from

-134-

5

Novabiochem (substitution level = 0.62 mmol/g). All chemicals and solvents (reagent grade) were used as supplied from the vendors cited without further purification. t-Butyloxycarbonyl (Boc) amino acids and other starting amino acids may be obtained commercially from Bachem Inc., Bachem Biosciences Inc. (Philadelphia,

- PA), Advanced ChemTech (Louisville, KY), Peninsula Laboratories (Belmont, CA), or Sigma (St. Louis, MO). 2-(1H-Benzotriazol-1-yl)-1,1,3,3-tetramethyluronium
- 10 hexafluorophosphate (HBTU) and TBTU were purchased from Advanced ChemTech. N-methylmorpholine (NMM), m-cresol, D-2-aminobutyric acid (Abu), trimethylacetylchloride, diisopropylethylamine (DIEA), 3-cyanobenzoic acid and [2-(tert-butyloxycarbonyloxylimino)-phenylacetonitrile]
- 15 (Boc-ON) were purchased from Aldrich Chemical Company. Dimethylformamide (DMF), ethyl acetate, chloroform (CHCl3), methanol (MeOH), pyridine and hydrochloric acid (HCl) were obtained from Baker. Acetonitrile, dichloromethane (DCM), acetic acid (HOAc),
- 20 trifluoroacetic acid (TFA), ethyl ether, triethylamine, acetone, and magnesium sulfate were purchased from EM Science. Palladium on carbon catalyst (10% Pd) was purchased from Fluka Chemical Company. Absolute ethanol was obtained from Quantum Chemical Corporation. Thin
- 25 layer chromatography (TLC) was performed on Silica Gel 60 F254 TLC plates (layer thickness 0.2 mm) which were purchased from EM Separations. TLC visualization was accomplished using UV light, iodine, ninhydrin spray and/or Sakaguchi spray. Melting points were determined
- 30 using a Thomas Hoover or Electrothermal 9200 melting point apparatus and are uncorrected. HPLC analyses were performed on either a Hewlett Packard 1090, Waters Delta Prep 3000, Rainin, or DuPont 8800 system. NMR spectra were recorded on a 300 MHz General Electric QE-300,

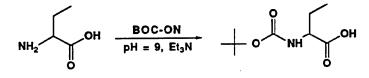
-135-

5

10

Varian 300, or Varian 400 spectrometer. Fast atom bombardment mass spectrometry (FAB-MS) was performed on a VG Zab-E double-focusing mass spectrometer using a Xenon FAB gun as the ion source or a Finnigan MAT 8230.

Boc-D-2-aminobutyric acid (Boc-D-Abu) was prepared by a modification of procedures previously reported in the literature (Itoh, Hagiwara, and Kamiya (1975) *Tett*. *Lett.*, 4393), as shown in the scheme below.



## D-2-aminobutyric acid

15

10.6 mmol) in 20 ml acetone was added. A white precipitate formed which dissolved upon addition of triethylamine (3.37 ml, 24.2 mmol) to give a pale yellow solution (pH = 9, wet pH paper). The solution was stirred at room temperature overnight at which time the

D-2-aminobutyric acid (1.0 g, 9.70 mmol) was dissolved in 20 ml H<sub>2</sub>O and a solution of Boc-ON (2.62 g,

- 20 acetone was removed under reduced pressure. The remaining aqueous layer was extracted with ether three times, acidified to pH 2 with concentrated HCl, and then extracted with ethyl acetate three times. The combined organic layers were dried over anhydrous magnesium
- 25 sulfate and evaporated under reduced pressure to give tbutyloxycarbonyl-D-2-aminobutyric acid as an oil (2.05 g,greater than quantitative yield, contains solvent), which was used without further purification. <sup>1</sup>H NMR (CDCl<sub>3</sub>) 0.98 (t, 3H), 1.45 (s, 9H), 1.73 (m, 1H), 1.90 30 (m, 1H), 4.29 (m, 1H), 5.05 (m, 1H).

-136-

•

## Synthesis of R<sup>31</sup> Cyclizing Moieties

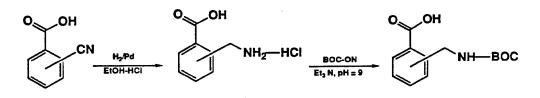
This section teaches the synthesis of certain cyclizing moieties that serve as intermediates to the 5 R<sup>31</sup> groups in Q. Later sections teach the synthesis of other cyclizing moieties.

# Synthesis of Boc-aminomethylbenzoic Acid, Boc-10 aminophenylacetic Acid and Boc-aminomethylphenylacetic Acid Derivatives

Boc-aminomethylbenzoic acid derivatives useful as cyclizing moieties in the synthesis of the compounds of 15 the invention are prepared using standard procedures, for example, as described in Tett. Lett., 4393 (1975); Modern Synthetic Reactions, H.O. House (1972); or Harting et al. J. Am. Chem. Soc., 50: 3370 (1928), and as shown schematically below.

20

٠.



## 3-Aminomethylbenzoic acid • HCl

- 25 3-Cyanobenzoic acid (10.0 g, 68 mmol) was dissolved in 200 ml ethanol by heating in a 35-50°C water bath. Concentrated HCl (6.12 ml, 73 mmol) was added and the solution was transferred to a 500 ml nitrogen-flushed round bottom flask containing palladium on carbon
- 30 catalyst (1.05 g, 10% Pd/C). The suspension was stirred under an atmosphere of hydrogen for 38 hours, filtered

-137-

through a scintered glass funnel, and washed thoroughly with H<sub>2</sub>O. The ethanol was removed under reduced pressure and the remaining aqueous layer, which contained a white solid, was diluted to 250 ml with

- 5 additional H<sub>2</sub>O. Ethyl ether (250 ml) was added and the suspension was transferred to a separatory funnel. Upon vigorous shaking, all solids dissolved and the aqueous layer was then washed two times with ether, evaporated under reduced pressure to a volume of 150 ml, and
- 10 lyophilized to give the title compound (3aminomethylbenzoic acid·HCl) (8.10 g, 64%) as a beige solid. <sup>1</sup>H NMR (D<sub>2</sub>O) 4.27 (s, 2H), 7.60 (t, 1H), 7.72 (d,1H), 8.06 (d, 2H).

## 15 <u>t-Butyloxycarbonyl-3-aminomethylbenzoic Acid (Boc-Mamb)</u>

The title compound was prepared according to a modification of standard procedures previously reported in the literature (Itoh, Hagiwara, and Kamiya (1975)

- 20 Tett. Lett., 4393). 3-Aminomethylbenzoic acid (hydrochloride salt) (3.0 g, 16.0 mmol) was dissolved in 60 ml H<sub>2</sub>O. To this was added a solution of Boc-ON (4.33 g, 17.6 mmol) in 60 ml acetone followed by triethylamine (5.56 ml, 39.9 mmol). The solution
- 25 turned yellow and the pH was adjusted to 9 (wet pH paper) by adding an additional 1.0 ml (7.2 mmol) triethylamine. The solution was stirred overnight at room temperature at which time the acetone was removed under reduced pressure and the remaining aqueous layer
- 30 was washed three times with ether. The aqueous layer was then acidified to pH 2 with 2N HCl and then extracted three times with ethyl acetate. The combined organic layers were washed three times with H<sub>2</sub>0, dried over anhydrous magnesium sulfate, and evaporated to

-138-

dryness under reduced pressure. The material was recrystallized from ethyl acetate/ hexane to give two crops of the title compound (2.58 g, 64%) as an offwhite solid. mp 123-125°C ;<sup>1</sup>H NMR (CDCl<sub>3</sub>) 1.47 (s, 9 5 H), 4.38 (br s, 2 H), 4.95 (br s, 1H), 7.45 (t, 1H), 7.55 (d, 1H), 8.02 (d, 2H).

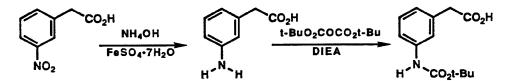
Synthesis of t-Butyloxycarbonyl-3-aminophenylacetic Acid

10

~

t-Butyloxycarbonyl-3-aminophenylacetic acids useful as intermediates in the synthesis of the compounds of the invention are prepared using standard procedures, for example, as described in Collman and Groh (1982) J.
15 Am. Chem. Soc., 104: 1391, and as shown schematically

below.



20

## t-Butyloxycarbonyl-3-aminophenylacetic Acid

A solution of 3-aminophenylacetic acid (Aldrich, 10 g, 66 mmol), di-tert-butyl dicarbonate (15.8 g, 72 25 mmol), and DIEA (8.6 g, 66 mmol) in 50 ml of dichloromethane was stirred overnight at room temperature. The reaction mixture was concentrated, partitioned between dichloromethane-H<sub>2</sub>O, the water layer was separated, acidified to pH 3 with 1N HCl, and 30 extracted with dichloromethane. The extracts were washed

with H<sub>2</sub>O, brine, dried over anhydrous sodium sulfate,

-139-

and evaporated to dryness under reduced pressure. This
material was purified by recrystallization from heptane
to provide the title compound (3.7 g, 22%) as a white
solid. mp 105°C; <sup>1</sup>H NMR (CDCl<sub>3</sub>) 7.35 (s, 1H), 7.25 (m,
5 3H), 6.95 (m, 1H), 6.60 (br s, 1H), 3.65 (s, 2H), 1.50
(s, 9H).

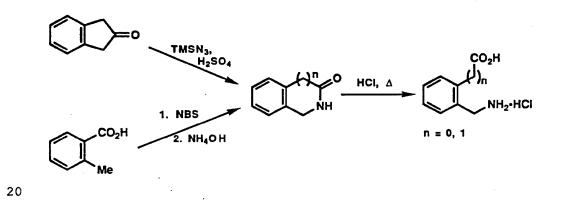
## Synthesis of 2-Aminomethylbenzoic Acid•HCl and 2-Aminomethylphenylacetic Acid•HCl

10

15

2-Aminomethylbenzoic acid·HCl and 2aminomethylphenylacetic acid·HCl useful as intermediates in the synthesis of the compounds of the invention are prepared using standard procedures, for example, as described in Naito et al J. Antibiotics, 30: 698 (1977); or Young and Sweet J. Am. Chem. Soc., 80: 800 (1958),

and as shown schematically below.



2-Aminomethylphenylacetic Acid d-Lactam

The title compound was prepared by modification of procedures previously reported in the literature (Naito

25 et al. (1977) J. Antibiotics, 30: 698). To an ice-cooled suspension of 2-indanone (10.8 g, 82 mmol) and azidotrimethylsilane (9.4 g, 82 mmol) in 115 ml of

-140-

chloroform was added 25 ml of concentrated sulfuric acid at a rate to maintain the temperature between 30-40°C. After an additional 3 hours, the reaction mixture was poured onto ice, and the water layer was made basic with concentrated ammonium hydroxide. The chloroform layer

- 5 concentrated ammonium hydroxide. The chloroform layer was separated, washed with H<sub>2</sub>O, brine, dried over anhydrous magnesium sulfate, and evaporated to dryness under reduced pressure. This material was purified by sublimination (145°C, <1 mm), followed by</p>
- 10 recrystallization from benzene to give the title compound (5.4 g, 45%) as pale yellow crystals. mp 149-150°C; <sup>1</sup>H NMR (CDCl<sub>3</sub>) 7.20 (m, 5H), 4.50 (s, 2H), 3.60 (s, 2H).

## 15 <u>2-Aminomethylphenylacetic Acid•HCl</u>

The title compound was prepared by modification of procedures previously reported in the literature (Naito et al. (1977) J. Antibiotics, 30: 698). A mixture of 2-aminomethylphenylacetic acid d-lactam (6.4 g, 44 mmol)

20 and 21 ml of 6N HCl was heated to reflux for 4 hours. The reaction mixture was treated with activated carbon (Norit A), filtered, evaporated to dryness, and the residual oil triturated with acetone. Filtration provided the title compound (5.5 g, 62%) as colorless 25 crystals. mp 168°C (dec); <sup>1</sup>H NMR (D6-DMSO) 12.65 (br s, 1H), 8.35 (br s, 3H), 7.50 (m, 1H), 7.35 (m, 3H), 4.05 (ABq, 2H), 3.80 (s, 2H).

## 2-Aminomethylbenzoic Acid g-Lactam

30

The title compound was prepared by modification of procedures previously reported in the literature (Danishefsky et al. (1975) *J. Org. Chem.*, 40: 796). A mixture of methyl *o*-toluate (45 g, 33 mol), N-bromosuccinimide (57 g, 32 mol), and dibenzoyl peroxide

-141-

(0.64 g) in 175 ml of carbon tetrachloride was heated to reflux. for 4 hours. The cooled reaction mixture was filtered, evaporated to dryness under reduced pressure, dissolved in 250 ml of methanol, and concentrated

- 5 ammonium hydroxide (75 ml, 1.11 mol) was added. The reaction mixture was heated to reflux for 5 hours, concentrated, filtered, and the solid washed with H<sub>2</sub>O followed by ether. This material was purified by recrystallization from H<sub>2</sub>O to give the title compound
- 10 (11.0 g, 26%) as a white solid. mp 150°C; <sup>1</sup>H NMR (CDCl3) 7.90 (d, 1H), 7.60 (t, 1H), 7.50 (t, 2H), 7.00 (br s, 1H), 4.50 (s, 2H).

## 2-Aminomethylbenzoic Acid·HCl

15 The title compound was prepared using the general procedure described above for 2-aminomethylphenylacetic acid·HCl. The lactam (3.5 g, 26 mmol) was converted to the title compound (2.4 g, 50%) as colorless crystals. mp 233°C (dec); <sup>1</sup>H NMR (D<sub>6</sub>-DMSO) 13.40 (br s, 1H), 8.35
20 (br s, 3H), 8.05 (d, 1H), 7.60 (m, 3H), 4.35 (br s, 2H).

#### Synthesis of Cyclic Compound Intermediates

- 25 This section teaches the synthesis of certain cyclic compound intermediates. These are the intermediate compounds that serve as the precursor to the Q group in the claimed compounds,  $(QL_n) dC_h$ ;  $(Q) d'L_n-C_h$ . These compounds may be directly labeled with
- 30 radioisotopes, or may be modified by attaching linker group(s) and chelator(s).

t-Butyloxycarbonyl-3-aminomethylbenzoic acid (Boc-Mamb) is coupled to oxime resin by a modification of the 35 method described by DeGrado and Kaiser (1980) J. Org.

-142-

.^

Chem. 45, 1295 using 1 equivalent of the 3aminomethylbenzoic acid (with respect to the substitution level of the resin), 1 equivalent of HBTU, and 3 equivalent of NMM. Alternatively, Boc-Mamb (1 5 equivalent) may be coupled to the oxime resin using 1

- equivalent) may be coupled to the oxime lesin using r equivalent each of DCC and DMAP in methylene chloride. Coupling times range from 15 to 96 hours. The substitution level is then determined using either the picric acid test (Sarin, Kent, Tam, and Merrifield,
- 10 (1981) Anal. Biochem. 117, 145-157) or the quantitative ninhydrin assay (Gisin (1972) Anal. Chim. Acta 58, 248-249). Unreacted oxime groups are blocked using 0.5 M trimethylacetylchloride / 0.5 M diisopropylethylamine in DMF for 2 hours. Deprotection of the Boc protecting
- 15 group is accomplished using 25% TFA in DCM for 30 minutes. The remaining amino acids or amino acid derivatives are coupled using between a two and ten fold excess (based on the loading of the first amino acid or amino acid derivative) of the appropriate amino acid or
- 20 amino acid derivatives and HBTU in approximately 8 ml of DMF. The resin is then neutralized in situ using 3 eq. of NMM (based on the amount of amino acid used) and the coupling times range from 1 hour to several days. The completeness of coupling is monitored by qualitative
- 25 ninhydrin assay, or picric acid assay in cases where the amino acid was coupled to a secondary amine. Amino acids are recoupled if necessary based on these results.

After the linear peptide had been assembled, the Nterminal Boc group is removed by treatment with 25% TFA 30 in DCM for 30 minutes. The resin is then neutralized by treatment with 10% DIEA in DCM. Cyclization with concomitant cleavage of the peptide is accomplished using the method of Osapay and Taylor ((1990) J. Am.

Chem. Soc., 112, 6046) by suspending the resin in

-143-

approximately 10 ml/g of DMF, adding one equivalent of HOAc (based on the loading of the first amino acid), and stirring at  $50-60^{\circ}$ C for 60 to 72 hours. Following filtration through a scintered glass funnel, the DMF

- 5 filtrate is evaporated, redissolved in HOAc or 1:1 acetonitrile: H<sub>2</sub>O, and lyophilized to obtain protected, cyclized material. Alternatively, the material may be dissolved in methanol and precipitated with ether to obtain the protected, cyclized material. This is then
- 10 treated using standard procedures with anhydrous hydrogen fluoride (Stewart and Young (1984) "Solid Phase Peptide Synthesis", 2nd. edition, Pierce Chemical Co., 85) containing 1 ml/g *m*-cresol or anisole as scavenger at 0°C for 20 to 60 minutes to remove side chain
- 15 protecting groups. The crude product may be purified by reversed-phase HPLC using a 2.5 cm preparative Vydac C18 column with a linear acetonitrile gradient containing 0.1% TFA to produce pure cyclized material. The following N-a-Boc-protected amino acids may be used for
- 20 the syntheses: Boc-Arg(Tos), Boc-N-a-MeArg(Tos), Boc-Gly, Boc-Asp(OcHex), Boc-3-aminomethyl-4-iodo-benzoic acid, Boc-D-Ile, Boc-NMeAsp(OcHex), Boc-NMe-Mamb, Boc-D-Phg, Boc-D-Asp(OBzl), Boc-L-Asp(OcHex), Boc-aMe-Asp(OcHex), Boc-bMe-Asp(OcHex), Boc-L-Ala, Boc-L-Pro,
- 25 Boc-D-Nle, Boc-D-Leu, Boc-D-Val, Boc-D-2-aminobutyric acid (Boc-D-Abu), Boc-Phe, Boc-D-Ser(Bzl), Boc-D-Ala, Boc-3-aminomethylbenzoic acid (Boc-Mamb), Boc-D-Lys(2-Cl2), Boc-b-Ala, Boc-D-Pro, Boc-D-Phe, Boc-D-Tyr(Cl2Bzl), Boc-NMe-Amf(CBZ), Boc-aminotetralin-
- 30 carboxylic acid, Boc-aminomethylnaphthoic acid, Boc-4aminomethylbenzoic acid, or Boc-NMeGly.

Preferable N-a-Boc-protected amino acids useful in these syntheses are Boc-Arg(Tos), Boc-N-a-MeArg(Tos), Boc-Gly, Boc-Asp(OcHex), Boc-D-Leu, Boc-D-Val, Boc-D-2-

-144-

aminobutyric acid (Boc-D-Abu), Boc-Phe, Boc-D-Ser(Bzl), Boc-D-Ala, Boc-3-aminomethylbenzoic acid (Boc-Mamb), Boc-D-Lys(2-ClZ), Boc-Ala,Boc-D-Pro, or Boc-NMeGly.

The synthesis of the compounds of the invention is 5 further exemplified below. The Tables below set forth representative compounds of the present invention.

Cyclic Compound Intermediate 1

cyclo-(Gly-NMeArg-Gly-Asp-Mamb); the compound of formula 10 (II) wherein J = Gly, K = NMeArg, L = Gly, M = Asp,  $R^1 = R^2 = H$ 

The title compound was prepared using the general 15 procedure described below for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The peptide was prepared on a 0.336 mmol scale to give the protected cyclic peptide (218 mg, 84%). The peptide (200 mg) and 200 mL of *m*-cresol were treated with anhydrous hydrogen fluoride at 0°C for 1

20 hour. The crude material was precipitated with ether, redissolved in aqueous HOAc, and lyophilized to generate the title compound as a pale yellow solid (158 mg, greater than quantitative yield; calculated as the acetate salt). Purification was accomplished by

25 reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 2 to 11% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (21% recovery, overall yield 16.3%). 30 Mass spectrum: M+H = 533.26.

-145-

5

Cyclic Compound Intermediate 2  
cyclo-(D-Ala-NMeArg-Gly-Asp-Mamb); the compound of  
formula (II) wherein 
$$J = D$$
-Ala,  $K = NMeArg$ ,  
 $L = Gly$ ,  $M = Asp$ ,  $R^1 = R^2 = H$ 

The title compound was prepared using the general procedure described below for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). Recoupling of the Boc-N-MeArg(Tos) residue 10 was found to be necessary. The peptide was prepared on a 0.244 mmol scale to give the protected cyclic peptide (117 mg, 61%). The peptide (110 mg) and 110 mL of *m*cresol were treated with anhydrous hydrogen fluoride at 0°C for 1 hour. The crude material was precipitated

- 15 with ether, redissolved in aqueous HOAc, and lyophilized to generate the title compound as a pale yellow solid. Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.25%/ min. gradient of 2 to 11% acetonitrile containing 0.1% 20 TFA and then lyophilized to give the TFA salt of the
- title compound as a fluffy white solid. Mass spectrum: M+H = 547.23.

## Cyclic Compound Intermediate 3

25 cyclo-(D-Abu-NMeArg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Abu, K = NMeArg, L = Gly, M = Asp, R<sup>1</sup> = R<sup>2</sup> = H

The title compound was prepared using the general 30 procedure described below for Cyclic Compound Intermediate 4. The peptide was prepared on a 0.101 mmol scale to give the protected cyclic peptide (51 mg, 63%). The peptide (43 mg) and 50 µL of *m*-cresol were treated with anhydrous hydrogen fluoride at 0°C for 30

-146-

minutes The crude material was precipitated with ether, redissolved in aqueous HOAc, and lyophilized to generate the title compound as a pale yellow solid (23 mg, 68.7%; calculated as the acetate salt). Purification was

5 accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/min. gradient of 7 to 14% acetonitrile containing 0.1% trifluoroacetic acid and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (31% recovery; 10 overall yield 12.4%).

Mass spectrum: M+H = 561.46.

## Cvclic Compound Intermediate 3a

cyclo-(Abu-NMeArg-Gly-Asp-Mamb); the compound of formula 15 (II) wherein J = Abu, K = NMeArg, L = Gly, M = Asp,  $R^1$  = H,  $R^2$  = H

The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-

- 20 Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. TBTU was used as the coupling reagent. The peptide was prepared on a 0.596 mmol scale to give the protected cyclic peptide (182 mg, 38.4%). The peptide
- 25 (176 mg) and 0.176 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 20 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and lyophilized to generate the title compound (116 mg; 90.4%; calculated as the
- 30 fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.45%/ min. gradient of 9 to 27% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy

-147-

white solid (1.92% recovery, overall yield 0.574%); FAB-MS: [M+H] = 561.39.

Cvclic Compound Intermediate 4

5

# cyclo-(D-Val-NMeArg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Val, K = NMeArg, L = Gly, M = Asp, $R^1 = R^2 = H$

To a 25 ml polypropylene tube fitted with a frit 10 was added Boc-Mamb (0.126 g, 0.5 mmol) and 6 ml of DMF. To this was added HBTU (0.194 g, 0.5 mmol), oxime resin (0.52 g, substitution level = 0.96 mmol/g), and Nmethylmorpholine (0.165 ml, 1.50 mmol). The suspension was mixed at room temperature for 24 hours. The resin

- 15 was then washed thoroughly (10-12 ml volumes) with DMF (3x), MeOH (1x), DCM (3x), MeOH (2x) and DCM (3x). The substitution level was determined to be 0.389 mmol/g by quantitative ninhydrin assay. Unreacted oxime groups were blocked by treatment with 0.5 M
- 20 trimethylacetylchloride/ 0.5M DIEA in DMF for 2 hours. The following steps were then performed: (Step 1) The resin was washed with DMF(3x), MeOH (1x), DCM (3x), MeOH (2x), and DCM (3x). (Step 2) The t-Boc group was deprotected using 25% TFA in DCM for 30 minutes. (Step
- 3) The resin was washed with DCM (3x), MeOH (1x), DCM (2x), MeOH (3x) and DMF(3x) (Step 4) Boc-Asp(OCHex) (0.613 g, 1.94 mmol), HBTU (0.753 g, 1.99 mmol), 8 ml of DMF, and N-methylmorpholine (0.642 ml, 5.84 mmol) were added to the resin and the reaction allowed to proceed
- 30 for 2.5 hours. (Step 5) The coupling reaction was found to be complete as assessed by the qualitative ninhydrin assay. Steps 1-5 were repeated until the desired sequence had been attained. The coupling of

-148-

Boc-D-Val to NMeArg was monitored by the picric acid test.

After the linear peptide was assembled, the Nterminal t-Boc group was removed by treatment with 25%

- 5 TFA in DCM (30 min.) The resin was washed thoroughly with DCM (3x), MeOH (2x) and DCM (3x), and then neutralized with 10% DIEA in DCM (2 x 1 min.) The resin was washed thoroughly with DCM (3x) and MeOH (3x) and then dried. Half of the resin (0.101 mmol) was cyclized 10 by treating with 6 ml of DMF containing HOAc (5.8 mL,
  - 0.101 mmol) and heating at 50°C for 72 hours. The resin was then filtered through a scintered glass funnel and washed thoroughly with DMF. The DMF filtrate was evaporated to an oil, redissolved in 1:1 acetonitrile:
  - 15 H<sub>2</sub>0, and lyophilized to give the protected cyclic peptide (49 mg, 60%). The peptide (42 mg) was treated with anhydrous hydrogen fluoride at 0°C, in the presence of 50 mL of *m*-cresol as scavenger, for 30 minutes to remove side chain protecting groups . The crude
  - 20 material was precipitated with ether, redissolved in aqueous HOAc, and lyophilized to generate the title compound as a pale yellow solid (23 mg, 70%; calculated as the acetate salt). Purification was accomplished using reversed-phase HPLC with a preparative Vydac C18
  - 25 column (2.5 cm) and a 0.23%/ minute gradient of 7 to 18% acetonitrile containing 0.1% trifluoroacetic acid to give the TFA salt of the title compound as a fluffy white solid (24% recovery; overall yield 9.4%); FAB-MS: [M+H] = 575.45.

30

# Solution Phase Synthesis of Cyclic Compound Intermediate

4

-149-

5

The following abbreviations are used below for TLC solvent systems: chloroform/methanol 95:5 = CM; chloroform/acetic acid 95:5 = CA; chloroform/methanol/acetic acid 95:5 = CMA

BocNMeArg(Tos)-Gly-OBz1 -- 25 mmol BocNMeArg(Tos) (11.07 g, Bachem), 30 mmol Gly-OBzl tosylate (10.10 g, Bachem), 25 mmol HBTU (O-Benzotriazole-N,N,N',N',tetramethyl-uronium-hexafluorophosphate; 9.48 g;

10 Advanced Chemtech), and 75 mmol DIEA (diisopropylethylamine; Aldrich) were dissolved in 25 ml CH<sub>2</sub>Cl<sub>2</sub>. The reaction was allowed to proceed 1 hr, the solvent was evaporated under reduced pressure at 50° to a syrup, wich was dissolved in 400 ml ethyl acetate.

- 15 This solution was extracted with (150 ml each) 2 x 5% citric acid, 1 x water, 2 x sat. NaHCO<sub>3</sub>, 1 x sat. NaCl. The organic layer was dried over MgSO<sub>4</sub>, and the solvent evaporated under reduced pressure. The resulting oil was triturated with petroleum ether and dried under high
- 20 vacuum for a minimum of 1 hr. yield 14.7 g (99.5%); TLC  $R_{f(CM)} = 0.18 R_{f(CA)} = 0.10$ ; NMR is consistent with structure; FABMS M+H<sup>+</sup> = 590.43 (expected 590.26).

NMeArg(Tos)-Gly-OBzl -- 14.5 g (BocNMeArg(Tos)-Gly-OBzl (24.5 mmol) was dissolved in 30 ml TFA, allowed to react for 5 min., and the solvent evaporated at 1 mm mecury pressure at r.t. The resulting syrup was dissolved in 400 ml ice cold ethyl acetate, and extracted with 100 ml ice cold sat. NaHCO3, the aqueous phase was extracted

30 twice with 200 ml ethyl acetate, and the combined organic phases were extracted once with 25 ml sat. NaCl. The solvent was evaporated under reduced pressure giving a viscous oil that was triturated with 300 ml ether. The resulting solid was filtered and washed with ether,

-150-

giving a hydroscopic compound that was dried in a vacuum desiccator: yield 10.33 g (86.2%); TLC  $R_{f(CM)} = 0.03$ ;  $R_{f(CMA)} = 0.20$ ; NMR is consistent with structure; FABMS M+H<sup>+</sup> = 490.21 (expected 490.20).

5

۰.

Boc-D-Val-NMeArg(Tos)-Gly-OBzl -- 9.80 mmol NMeArg(Tos)-Gly-OBzl (4.80 g), 9.82 mmol Boc-D-Val (2.13 g, Bachem), and 10.0 mmol HBTU (3.79 g) were dissolved in 10 ml methylene chloride. The flask was placed on an

- 10 ice bath, and 20 mmol DIEA (3.48 ml) was added. The reaction was allowed to proceed at 0° for 15 min and 2 days at r.t. The reaction mixture was diluted with 400 ml ethyl acetate, extracted (200 ml each) 2 x 5% citric acid, 1 x sat. NaCl, dried over MgSO<sub>4</sub> and evaporated
- 15 under reduced pressure. The resulting oil was triturated with 50, then 30 ml ether for 30 min with efficient mixing: yield 4.58 g (69%); TLC  $R_{f(CM)} = 0.27$ (also contains a spot near the origin, which is an aromatic impurity that is removed during trituration of
- 20 the product in the next step); NMR is consistent with structure; FABMS M+H<sup>+</sup> = 689.59 (expected 689.43).

Boc-D-Val-NMeArg(Tos)-Gly -- 4.50 g Boc-D-Val-NMeArg(Tos)-Gly-OBzl (4.44 mmol) dissolved in 80 ml 25 methanol was purged with N<sub>2</sub> for 10 min. 1.30 g Pd/C catalyst (10% Fluka lot #273890) was then added, and then H<sub>2</sub> was passed directly over the surface of the reaction. TLC showed the reaction to be complete within approximately 0.5 hr. After 1 hr. the catalyst was 30 removed by filtering through a bed of Celite, and the

solvent removed at 40° under reduced pressure. The resulting solid was triturated well with 50 ml refluxing ether, filtered, and washed with petroleum ether: yield 3.05 g (78%); TLC  $R_{f(CM)} = 0.03$ ;  $R_{f(CMA)} = 0.37$ ; NMR is

-151-

PCT/US94/03256

consistent with structure; FABMS  $M+H^+ = 599.45$  (expected 599.29).

4-Nitrobenzophenone Oxime (Ox) -- 50 g 4-

- 5 nitrobenzophenone (220 mmol, Aldrich) and 30.6 g hydroxylamine hydrochloride (Aldrich, 440 mmol) were heated at reflux in 0.5 L methanol/pyridine (9:1) for 1 hr. The reaction mixture was evaporated under reduced pressure, dissolved in 500 ml ether, and extracted with
- 10 200 ml each of 5% citric acid (2 times) and sat. NaCl (1 time), dried over MgSO<sub>4</sub>, evaporated under reduced pressure and triturated with ether giving 44.35 g (83%) of the oxime as a mixture of the cis and trans isomers: TLC  $R_{f(CM)} = 0.50$ ;  $R_{f(CMA)} = 0.82$ ; NMR is consistent with
- 15 structure; FABMS  $M+H^+ = 242.07$  (expected 242.07).

BocMamb-Ox -- 22 mmol BocMamb (5.522 g), 20 mmol nitrobenzophenone oxime (4.84 g), and 20 mmol DMAP (4-dimethylaminopyridine; Aldrich) were dissolved in 40 ml

- 20 CH<sub>2</sub>Cl<sub>2</sub>. The flask was placed on an ice bath, and 21 mmol DCC (Dicyclohexylcarbodiimide; 4.33 g) was added. The reaction was allowed to proceed on ice for 30 min and at r.t. over night. The dicyclohexylurea formed was filtered, and washed with 40 ml methylene chloride. The
- 25 filtrate was evaporated under reduced pressure at r.t. to a syrup, and dissolved in 400 ml ethyl acetate. This solution was extracted with (150 ml each) 2 x 5% citric acid, 1 x water, 2 x sat. NaHCO<sub>3</sub>, 1 x sat. NaCl. The organic layer was dried over MgSO<sub>4</sub>, and the solvent
- 30 evaporated under reduced pressure. The resulting oil was triturated with petroleum ether and dried under high vacuum for a minimum of 1 hr.: yield 7.51 g (79%); TLC  $R_{f(CM)} = 0.41$ ;  $R_{f(CMA)} = 0.66$ ; NMR is consistent with structure; FABMS M+H<sup>+</sup> = 476.30 (expected 476.18).

-152-

٠.

 $TFA \cdot MAMB - Ox -- BocMamb - Ox , 7.4 g (15.5 mmol) was dissolved in 30 ml methylene chloride plus 10 ml TFA (25% TFA). The reaction was allowed to proceed at r.t. 5 for 1 hr, and the solvent evaporated under reduced pressure at r.t. for 10 min, then at 40° for 15 min. The resulting syrup was triturated with ether (200 ml) at -5°, giving. The resulting crystals were filtered after 1 hr and washed well with ether: yield 7.22 g (95%); R<sub>f(CMA)</sub> = 0.25; NMR is consistent with structure; FABMS M+H<sup>+</sup> = 376.22 (expected 376.12).$ 

Boc-Asp(OcHex)-Mamb-Ox -- 20 mmol Boc-Asp(OcHex) (6.308 g, Bachem) and 44 mmol DIEA (7.66 ml) were dissolved in 20 ml DMF. 20 mmol HBTU (7.58 g, Advanced Chemtech) was added, and the reaction allowed to proceed for 2 minutes with vigorous stirring. TFA·Mamb-Ox (7.13 g, 15 mmol) was added, and the reaction allowed to proceed o.n. at r.t. The solvent was removed under reduced pressure

20 giving an oil, which was dissolved in 500 ml ethyl acetate, and this solution was extracted with (150 ml each) 2 x 5% citric acid, 1 x water, 2 x sat. NaHCO<sub>3</sub>, 1 x sat. NaCl. The organic layer was dried over MgSO<sub>4</sub>, and the solvent evaporated under reduced pressure. The 25 resulting oil was triturated with petroleum ether and dried under high vacuum: yield 9.76 g (97%); TLC R<sub>f</sub>(CM) = 0.55; NMR is consistent with structure; FABMS M+H<sup>+</sup> = 673.45 (expected 673.23).

30 TFA Asp (OcHex)-MAMB-Ox -- 15 mmol Boc-Asp (OcHex)-MAMB-Ox was dissolved in 50 ml 35% TFA in CH<sub>2</sub>Cl<sub>2</sub>, and allowed to react 90 min. The solvent was evaporated under reduced pressure at r.t. for 10 min, then at 40° for 15 min. To remove traces of TFA, 25 ml DMF was

-153-

added and the solvent evaporated at 50°. The resulting syrup was triturated with ether (200 ml), then dried under high vacuum: yield 9.61 g (93%);  $R_{f(CMA)} = 0.45$ ; NMR is consistent with structure; FABMS  $M+H^+ = 573.56$ 

5 (expected 573.23).

> Boc-D-Val-NMeArg(Tos)-Gly-Asp(OcHex)-MAMB-Ox 10.0 mmol each TFA Asp(OcHex)-MAMB-Ox, Boc-D-Val-NMeArg(Tos)-Gly, and HBTU, plus 30 mmol DIEA were dissolved in 20 ml DMF.

- 10 After 4 hr., the solvent was removed under reduced pressure, and the residue taken up in 600 ml ethyl acetate, which was extracted with 300 ml each of 5% citric acid, water and sat. NaCl. The organic layer was dried over MgSO4, evaporated under reduced pressure,
- 15 triturated with ether and dried in vacuo: yield 9.90 g (86%); Rf(CM) = 0.10; NMR is consistent with structure; FABMS  $M+H^+ = 1153.22$  (expected 1153.47).

TFA·D-Val-NMeArg(Tos)-Gly-Asp(OcHex)-MAMB-Ox This 20 compound was prepared from Boc-D-Val-NMeArg(Tos)-Gly-Asp(OcHex)-MAMB-Ox (9.8 g, 8.5 mmol) by treatment with TFA/CH<sub>2</sub>Cl<sub>2</sub> (1:1) for 45 min. The solvent was evaporated and the product triturated with ether: yield 9.73 g (98%); R<sub>f(CM)</sub> = 0.10; NMR is consistent with structure; 25 FABMS  $M+H^+ = 1053.22$  (expected 1053.4).

cyclo(·D-Val-NMeArg(Tos)-Gly-Asp(OcHex)-MAMB) TFA·D-Val-NMeArg(Tos)-Gly-Asp(OcHex)-MAMB-Ox (1.80 g, 1.54 mmol), and 2 mmol each of DIEA and acetic acid were

30 dissolved in 200 ml DMF. The mixture was heated to 50° for 2 days, then evaporated under reduced pressure. The syrup was dissolved in 400 ml ethyl acetate/n-butanol (1:1), and extracted with 200 ml each of 5% citric acid (3x) and sat. NaCl (1x). The organic layer was dried

-154-

٩.

٠.

DM-6591-A

-155-

over MgSO<sub>4</sub> and triturated twice with 200 ml ether: yield 1.07 g (86%);  $R_{f(CM)} = 0.10$ ; NMR is consistent with structure; FABMS M+H<sup>+</sup> = 811.25 (expected 811.38).

- 5 cyclo(·D-Val-NMeArg-Gly-Asp-MAMB) 0.50 g cyclo(D-Val-NMeArg(Tos)-Gly-Asp(OcHex)-MAMB) was treated with 5 ml HF at 0°C, in the presence of 0.5 ml of anisole for 30 min. The HF was removed under reduced pressure and the crude peptide triturated with ether, ethyl acetate and
- 10 ether. The resulting solid was dissolved in 10% acetic acid and lyophilized: yield 0.321 g (82% calculated as the acetate salt). The product was purified with a recovery of approximately 40% using the same method as described for the material synthesized by the solid

15 phase procedure.

# Crystallization Cyclic Compound Intermediate 4 Preparation of Salt Forms of the Compound of Cyclic Compound Intermediate 4

20

It has been discovered that the compounds of the present invention may be isolated by crystallization of the compound from organic and aqueous solvents.

- The zwitterion of Cyclic Compound Intermediate 4 25 was converted to the mesyl (methanesulfonate) salt of Cyclic Compound Intermediate 4 (Cyclic Compound Intermediate 4 (methane-sulfonate)) by refluxing the zwitterion with stirring in isopropanol at 25 mg/ml and slowly adding a solution of 1.0 molar equivalent
- 30 methanesulfonic acid (correcting for the water content of the zwitterion) dissolved in isopropanol. The heat was turned off and the solution cooled to 5°C in an ice bath. After stirring 1 hour, the solution was filtered

-155-

and the solid rinsed three times with cold isopropanol and dried under vacuum to constant weight.

The following salts of the compound of Cyclic 5 Compound Intermediate 4 were prepared using the same procedure, by adding 1.0 equivalent of the appropriate acid:

Cyclic Compound Intermediate 4 (biphenylsulfonate): zwitterion + 1.0 equivalent biphenylsulfonic acid.

Cyclic Compound Intermediate 4 (anaphthalenesulfonate): zwitterion + 1.0 equiv. a-naphthalenesulfonic acid.

15

10

Cyclic Compound Intermediate 4 (bnaphthalenesulfonate): zwitterion + 1.0 equiv. b-naphthalenesulfonic acid.

20 Cyclic Compound Intermediate 4 (benzenesulfonate): zwitterion + 1.0 equiv. benezene-sulfonic acid.

> Cyclic Compound Intermediate 4 (*p*-toluenesulfonate): zwitterion + 1.0 equiv. *p*-toluene-sulfonic acid.

25

The following salts of the compound of Cyclic Compound Intermediate 4 were prepared by crystallization of the compound from aqueous systems.

30 Cyclic Compound Intermediate 4 (sulfate): 10 mg amorphous Cyclic Compound Intermediate 4 (made by lyophilizing the zwitterion from a solution of 2 molar equivalents of acetic acid in water) dissolved per ml 1 N H<sub>2</sub>SO<sub>4</sub>, pH adjusted to 2.5. On standing at room

-156-

30

٠.

temperature, a precipitate formed. This was filtered through a sintered glass funnel and dried under vacuum to constant weight.

5 Cyclic Compound Intermediate 4 (methanesulfonate (mesyl)): 100 mg amorphous DMP728 dissolved per ml water + 1.2 molar equiv. methanesulfonic acid (this was obtained as a 4M aqueous solution). On standing at room 10 temperature, a large flat crystal was formed.

Cyclic Compound Intermediate 4 (benzenesulfonate): 100 mg zwitterion dissolved per ml water + 1.2 equiv. benzenesulfonic acid added. On standing at room 15 temeprature, a precipitate formed. This was filtered through a sintered glass funnel, rinsed with a small volume of isopropanol, and dried under vacuum to constant weight.

toluenesulfonate): 100 mg zwitterion dissolved per ml water + 1.2 molar equiv. toluenesulfonic acid added. On standing at room temperature, a precipitate formed. This was filtered 25 through a sintered glass funnel and dried under vacuum to constant weight.

Cyclic Compound Intermediate 4 (p-

Cyclic Compound Intermediate 4b

cyclo-(D-Val-D-NMeArg-Gly-Asp-Mamb); J = D-Val, K = D-NMeArg, L = Gly, M = Asp,  $R^1 = H$ ,  $R^2 = H$ 

The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP

-157-

method was used for attachment of Boc-Mamb to the oxime resin.. The peptide was prepared on a 0.596 mmol scale to give the protected cyclic peptide (186 mg, 38.6%). The peptide (183 mg) and 0.183 mL of anisole were

- 5 treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and lyophilized to generate the title compound (145 mg, greater than quantitative yield; calculated as the
- 10 fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 9 to 22.5% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy 15 white solid (14.8% recovery, overall yield 5.3%); FAB-
  - MS: [M+H] = 575.31.

Cyclic Compound Intermediate 5

cyclo-(D-Leu-NMeArg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Leu, K = NMeArg,  $L = Gly, M = Asp, R^1 = R^2 = H$ 

The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-25 Asp-Mamb). The peptide was prepared on a 0.115 mmol scale to give the protected cyclic peptide (92.4 mg, 98%). The peptide (92.4 mg) and 93 mL of *m*-cresol were treated with anhydrous hydrogen fluoride at 0°C for 20 minutes. The crude material was precipitated with

30 ether, redissolved in aqueous HOAc, and lyophilized to generate the title compound as a pale yellow solid (45.7 mg, 63%; calculated as the acetate salt). Purification was accomplished by reversed-phase HPLC on a preparative

-158-

۹.

. .

٠.

PCT/US94/03256

Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 7 to 21% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (29% recovery, 5 overall yield 16.5%);FAB-MS: [M+H] = = 589.48.

Cyclic Compound Intermediate 7

cyclo-(D-Nle-NMeArg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Nle, K = NMeArg, L = Gly, M = Asp, R<sup>1</sup> = H, R<sup>2</sup> = H

The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 15 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.586 mmol scale to give the protected cyclic peptide (305 mg, 63.3%). The peptide (295 mg) and 0.295 mL of anisole were

- 20 treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and lyophilized to generate the title compound (207 mg, 95.4%; calculated as the fluoride salt).
- 25 Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 5.4 to 18% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title 30 compound as a fluffy white solid (44% recovery,
- 30 compound as a fluffy white solid (44% recovery, overall yield 22.9%); FAB-MS: [M+H] = 589.26.

# Cyclic Compound Intermediate 11

-159-

cyclo-(D-Phg-NMeArg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Phg, K = NMeArg,  $L = Gly, M = Asp, R^1 = H, R^2 = H$ 

- 5 The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.611 mmol scale
- 10 to give the protected cyclic peptide (296 mg, 57.4%). The peptide (286 mg) and 0.286 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and
- 15 lyophilized to generate the title compound (210 mg, 98.9%; calculated as the fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 5.4 to 18% acetonitrile containing 0.1% TFA and then
- 20 lyophilized to give the TFA salt of the title compound as a fluffy white solid (24.2% recovery, overall yield 11.9%); FAB-MS: [M+H] = 609.27.

#### Cyclic Compound Intermediate 12

25

cyclo-(D-Phe-NMeArg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Phe, K = NMeArg,  $L = Gly, M = Asp, R^1 = H, R^2 = H$ 

The title compound was prepared using the general 30 procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.611 mmol scale to give the protected cyclic peptide (140 mg, 26.7%).

-160-

•.

.

•\_

Å Æ

|    | The peptide (135 mg) and 0.135 mL of anisole were<br>treated with anhydrous hydrogen fluoride at 0°C for 30<br>minutes. The crude material was precipitated with<br>ether, redissolved in aqueous acetonitrile, and |
|----|---|
| 5  | lyophilized to generate the title compound (108 mg,<br>greater than quantitative yield; calculated as the   |
|    | fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column  |
|    | (2.5 cm) using a 0.23%/ min. gradient of 7.2 to 22.5%   |
| 10 | acetonitrile containing 0.1% TFA and then lyophilized to  |
|    | give the TFA salt of the title compound as a fluffy   |
|    | white solid (35% recovery, overall yield 8.7%); FAB-MS:   |
|    | [M+H] = 623.28.   |
| 15 | Solid Phase Synthesis of Cyclic Compound Intermediate   |
|    | <u>13f</u>  |
|    | cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb); the compound of  |
|    | formula (II) wherein $J = D-Lys$ , $K = NMeArg$ ,   |
|    | $L = Gly, M = Asp, R^1 = R^2 = H$   |
| 20 |   |
|    | The title compound was prepared using the general   |
|    | procedure described above for cyclo-(D-Val-NMeArg-Gly-  |
|    | Asp-Mamb). The DCC/DMAP method was used for attachment  |
|    | of Boc-Mamb to the oxime resin. The peptide was   |
| 25 | prepared on a 0.586 mmol scale to give the protected  |
|    | cyclic peptide (349 mg, 58.9%). The peptide (334 mg)  |
|    | and 334 mL of anisole were treated with anhydrous   |
|    | hydrogen fluoride at 0 $^\circ$ C for 30 minutes. The crude   |
|    | material was precipitated with ether, redissolved in  |
| 30 | aqueous acetonitrile, and lyophilized to generate the   |
|    | title compound as a pale yellow solid (168 mg, 79.1%;   |
|    | calculated as the difluoride salt). Purification was  |
|    | accomplished by reversed-phase HPLC on a preparative  |
|    | Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient  |
|    |   |

-161-

of 5.4 to 14.4% acetonitrile containing 0.1% TFA and then Lyophilized to give the TFA salt of the title compound as a fluffy white solid (33.6% recovery, overall yield 12.1%); FAB-MS: [M+H] = 604.32

5

# Solution Phase Synthesis of Cyclic Compound Intermediate 13f

A Scheme depicting the synthesis described below 10 appears immediately after the description.

Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb); the compound of formula (yy) wherein

#### 15 Part A - Boc-Asp(OBz1)

To a solution of Boc-Asp(OBzl) (45.80 g, 140 mmol) and HOSu (N-hydroxysuccinimide; 16.10 g, 140 mmol) in 300 ml p-dioxane at 5-10°C was added DCC (30.20 g, 140 mmol). The solution was stirred for 30 minutes at 5-

20 10°C then the solids were filtered and washed with dioxane (3 X 50 ml). The combined organics were concentrated under reduced pressure to give a clear oil which crystallized to a colorless solid (42.98 g, 73%) when triturated with ethyl ether (3 x 100 ml). NMR is 25 consistent with structure; MP = 98-99°C; DCI-MS: [M+NH4]

= 438.

## Part B - Boc-Asp(OBz1)-Mamb

3-Aminomethylbenzoic acid HCl (Mamb; 13.08 g, 70.0 30 mmol) was dissolved in 120 ml DMF and DIEA (24.32 ml, 140 mmol) was added, changing the pH from 4 to 7.5. The white suspension was stirred for 30 min at room temperature before a solution of Boc-Asp(OBzl)-OSu (29.40 g, 70.0 mmol) in DMF (50 ml) was added. The

-162-

5

۵.

mixture was allowed to stir 24 hr, during which time it turned to a gold solution. The solution was added to 5% citric acid (2000 ml) and cooled to  $5^{\circ}$ C for 3 hr. The solids were then collected by filtration, washed with ice cold water (200 ml) and ice cold ethyl ether (100 ml), and dried under reduced pressure to give the title compound as a colorless solid (29.62 g, 92%); MP = 149-151°C; DCI-MS: [M+NH<sub>4</sub>] = 474.

10 Part C - HCl.H-Asp(OBzl)-Mamb

Boc-Asp(OBz1)-Mamb (7.92 g, 17.4 mmol) was dissolved in 4N HCl in dioxane ( 50 ml), stirred for 2 hr, and the solution concentrated under reduced pressure to give the title compound as a colorless solid (6.80 g, 99%). DCI-MS: [M+NH4] = 374.

Part D - Boc-D-Lys(Tfa)-NMeArg(Tos)-Gly-OBzl

NMeArg(Tos)-Gly-OBzl (14.40 g, 29.4 mmol), Boc-D-Lys(Tfa) (10.00 g, 29.4 mmol), and HBTU (11.37 g, 62.0 20 mmol) were dissolved in methylene chloride (40 ml). After cooling to 0°C, DIEA (10.44 g, 62.0 mmol) was added and the reaction was allowed to proceed 20 minutes

at 0°C and 2 days at room temperature. The reaction mixture was diluted with ethyl acetate (800 ml),

- 25 extracted with 200 ml portions of 0.2 N HCl (1X), sat. NaHCO<sub>3</sub> (1X), and saturated NaCl (2X), dried (MgSO4), and evaporated under reduced pressure to a yellow solid. Purification by flash chromatography (silica gel; 5:1 EtOAc:acetonitrile) gave the title compound as a
- 30 colorless solid (20.34 g, 85%). MP 78-85°C; DCI-MS: [M+NH4] = 831.

Part E - Boc-D-Lys(Tfa)-NMeArg(Tos)-Gly

-163-

A solution of Boc-D-Lys(Tfa)-NMeArg(Tos)-Gly-OBzl (11.00 g, 13.5 mmol) in methanol (200 ml) was placed in a Parr shaker bottle, purged with  $N_2$  for 10 minutes, and treated with 10% palladium on carbon catalyst (10% Pd/C,

- 5 3.6 g). The shaker bottle was further purged with 7 pressurization-evacuation cycles, repressurized, and allowed to shake 90 minutes, during which time the calculated amount of hydrogen was consumed. The catalyst was removed by filtration through a bed of
- 10 Celite and the filtrate was concentrated under reduced pressure yielding a solid. Trituration with refluxing ethyl ether (75 ml) gave pure product (9.18 g, 94%) as a colorless solid. DCI-MS: [M+H] = 724.

#### 15 Part F - Boc-D-Lys (Tfa) -NMeArg (Tos) -Gly-OSu

Boc-D-Lys(Tfa)-NMeArg(Tos)-Gly (8.00 g, 11.0 mmol), HOSu (1.25 g, 10.8 mmol) and DCC (2.22 g, 10.8 mmol) were dissolved in DMF (75 ml) and stirred at room temperature for 2 days. The solids were removed by filtration and washed with DMF (2 x 15 ml). The filtrate was concentrated under reduced pressure and the

resulting syrup dried under reduced pressure at 40°C to give a tan solid (6.50 g, 72%). MP =  $66-69^{\circ}C$ ; FAB-MS: [M+H] = 821.

25

20

#### Part G - Boc-D-Lvs (Tfa) -N-MeArg (Tos) -Glv-Asp (OB21) -Mamb

A suspension of Boc-D-Lys(Tfa)-N-MeArg(Tos)-Gly-OSu (8.85 g, 10.8 mmol) and HCl·Asp(OBzl)-Mamb (4,24 g, 10.8 mmol) in 4:1 dioxane:DMF (100 ml) was treated with DIEA

30 (1.39 g, 10.8 mmol) over 10 minutes. The resulting mixture was stirred 2 days at room temperature and concentrated under reduced pressure to a syrup. This syrup was dissolved in ethyl acetate (300 ml) and washed with 75 ml portions of 0.2N HCl (3X), sat. NaHCO<sub>3</sub> (2X),

-164-

 $H_2O$  (1X), and saturated NaCl (1X). The organic layer was dried (MgSO<sub>4</sub>) and concentrated under reduced pressure at 40<sup>o</sup>C to a sticky amber solid (9.13 g, 78%). MP = 90-93<sup>o</sup>C; FAB-MS: [M+H] = 1062.

5

٩.

۸.

- Part H HCl·D-Lys(Tfa)-N-MeArg(Tos)-Gly-Asp(OBzl)-Mamb Boc-D-Lys(Tfa)-N-MeArg(Tos)-Gly-Asp(OBzl)-Mamb (8.30 g, 7.8 mmol) was partially dissolved in 4N HCl in dioxane (50 ml), stirred at room temperature for 30 min, and concentrated under reduced pressure to give a yellow solid. Trituration with warm EtOAc (60 ml) afforded the product (7.65 g, 98%) as a yellow solid. FAB-MS: [M+H] = 962.
- 15 <u>Part I Cyclo-(D-Lys(Tfa)-N-MeArg(Tos)-Gly-Asp(OBzl)-</u> Mamb)

 $HCl \cdot D-Lys (Tfa) - N-MeArg (Tos) - Gly-Asp (OBzl) - Mamb (3.00 g, 3.0 mmol), DIEA (0.77 g, 6.0 mmol), and TBTU (0.98 g, 3.0 mmol) were dissolved in DMF (100 ml). The$ 

- 20 reaction was stirred at room temperature for 22 hours, and the pH was maintained at 7-8 by the addition of DIEA as necessary. The reaction was concentrated under reduced pressure and the resulting oil dissolved in 3.75:1 ethyl acetate:1-butanol (110 ml). The organic
- 25 solution was washed with 50 ml portions of 0.2 N HCl
  (2X), saturated NaHCO<sub>3</sub> (1X), H<sub>2</sub>O (1X), and saturated
  NaCl (1X), dried (MgSO<sub>4</sub>), concentrated to a brown oil.
  Triturated with ethyl ether (100 ml) gave a brown solid
  which was purified by flash chromatography (silica gel;
  30 5:1 EtOAc:EtOH) to give the title compound (1.62 g, 57%)
  as a colorless solid. MP = 128-130°C; FAB-MS: [M+H] =

944.

Part J - Cyclo-(D-Lys(Tfa)-N-MeArg-Gly-Asp-Mamb)

-165-

Cyclo-(D-Lys(Tfa)-N-MeArg(Tos)-Gly-Asp(OBzl)-Mamb) (0.85 g, 0.9 mmol) was dissolved in TFA (10 ml) and cooled to -10°C. Triflic acid (trifluoromethanesulfonic acid; 10 ml) was slowly added to the stirred reaction

- 5 while maintaining the temperature at  $-5^{\circ}$ C. Anisole (2 ml) was added and stirring was continued for 3 hours at  $-5^{\circ}$ C. The temperature of the reaction was decreased to  $-78^{\circ}$ C, ethyl ether (200 ml) was added, and the reaction was stirred for 1 hour. The white sticky solids were
- 10 removed by filtration and washed with ice cold ether (50
  ml). The solids were dissolved in 1:1 acetone:H<sub>2</sub>O (10
  ml) and lyophilized to give the product (0.63 g, 100%)
  as a fluffy colorless solid. FAB-MS: [M+H] = 700.

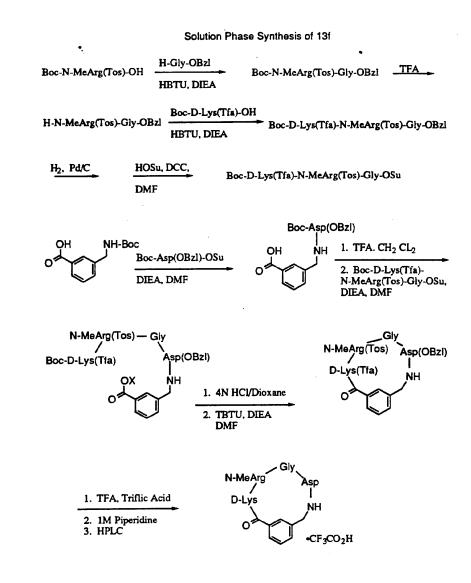
#### 15 Part K - Cvclo-(D-Lys-N-MeArg-Gly-Asp-Mamb)

Cyclo-(D-Lys(Tfa)-N-MeArg-Gly-Asp-Mamb) (0.63 g, 0.9 mmol) was dissolved in 1.0 M aqueous piperdine (10 ml) at 0°C and the reaction was allowed to slowly warm to room temperature over 3 hours. The solution was

20 lyophilized to give a yellow solid. Purification was accomplished by preparative HPLC with a Vydac proteinpeptide C-18 column (2.1 cm) using a 0.36%/min. gradient of 9 to 18% acetonitrile containing 0.1% TFA, and then lyophilized to give the title compound (0.20 g, 90%) as 25 a colorless fluffy solid. MP = 138-142°C; FAB-MS: [M+H] = 604.

-166-

۹.,



# Cyclic Compound Intermediate 13r

| • |   | cyclo-(D-Ile-NMeArg-Gly-Asp-Mamb); the compound of |
|---|---|--|
| * | 5 | formula (II) wherein $J = D$ -Ile,                 |
| * |   | K = NMeArg, L = Gly, M = Asp, R1 = H, R2 = H       |

-167-

20

The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime

- 5 resin. The peptide was prepared on a 0.611 mmol scale to give the protected cyclic peptide (349 mg, 69.2%). The peptide (342 mg) and 0.342 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with
- 10 ether, redissolved in aqueous acetonitrile, and lyophilized to generate the title compound (227 mg, 90%; calculated as the fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient
- 15 of 10.8 to 19.8% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (22.5% recovery, overall yield 12.1%); FAB-MS: [M+H] = 589.34.

#### Cyclic Compound Intermediate 17

cyclo-(D-Met-NMeArg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Met, K = NMeArg, L = Gly, M = Asp,  $R^1 = H$ ,  $R^2 = H$ 

- 25 The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for the attachment of Boc-Mamb to the resin. The peptide was prepared on a 0.179 mmol scale
- 30 to give the protected cyclic peptide (105 mg, 69.7%). The peptide (105 mg) and 0.105 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 20 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and

-168-

10

\*

•

lyophilized to generate the title compound (72 mg; 92.3% yield, calculated as the fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 14.4 to 23.4% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (13.2% recovery, overall yield 7.4%); FAB-MS: [M+H] = 607.3.

Cyclic Compound Intermediate 18 cyclo-(NMeGly-NMeArg-Gly-Asp-Mamb); the compound of formula (II) wherein J = NMeGly, K = NMeArg, L = Gly, M = Asp,  $R^1 = R^2 = H$ 

15 The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.43 mmol scale to give the protected

20 cyclic peptide (205 mg, 60%). The peptide (200 mg) and 200 mL of *m*-cresol were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous HOAc, and lyophilized to generate (18) as a pale yellow solid

(148 mg, 97%; calculated as the acetate salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 7 to 22% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of (18) as

30 a fluffy white solid (14.7% recovery, overall yield 7.9%); FAB-MS: [M+H] = 547.34.

Cyclic Compound Intermediate 24

-169-

4

cyclo-(Pro-NMeArg-Gly-Asp-Mamb); the compound of formula . (II) wherein J = Pro, K = NMeArg, L = Gly, M = Asp,  $R^1 = R^2 = H$ 

- 5 The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.43 mmol scale to give the protected
- 10 cyclic peptide (170 mg, 48.8%). The peptide (164 mg) and 164 mL of *m*-cresol were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous HOAc, and lyophilized to generate (24) as a pale
- 15 yellow solid (101 mg, 79%; calculated as the acetate salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 7 to 22% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA
- 20 salt of (24) as a fluffy white solid (5.8% recovery, overall yield 2.1%);FAB-MS: [M+H] = 573.46.

#### Cyclic Compound Intermediate 25

cyclo-(D-Pro-NMeArg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Pro, K = NMeArg, L = Gly, M = Asp,  $R^1 = R^2 = H$ 

The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-30 Asp-Mamb). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.43 mmol scale to give the protected cyclic peptide (211mg, 60.8%). The peptide (200 mg) and 200 mL of *m*-cresol were treated with anhydrous hydrogen

-170-

ه ز

۰.

• 🗸

•

.

|    | fluoride at $0^{\circ}$ C for 30 minutes. The crude material was |
|----|--|
|    | precipitated with ether, redissolved in aqueous HOAc,            |
|    | and lyophilized to generate (25) as a pale yellow solid          |
|    | (145 mg, 93.3%; calculated as the acetate salt).                 |
| 5  | Purification was accomplished by reversed-phase HPLC on          |
|    | a preparative Vydac C18 column (2.5 cm) using a 0.23%/           |
|    | min. gradient of 7 to 22% acetonitrile containing 0.1%           |
|    | TFA and then lyophilized to give the TFA salt of (25) as         |
|    | a fluffy white solid (6.4% recovery, overall yield               |
| 10 | 3.3%; FAB-MS: [M+H] = = 573.35.                                  |
|    |  |
|    | Cyclic Compound Intermediate 28c                                 |
|    | cyclo-(b-Ala-NMeArg-Gly-Asp-Mamb); the compound of               |
|    | formula (II) wherein $J = b-Ala$ , $K = NMeArg$ ,                |
| 15 | $L = Gly, M = Asp, R^1 = R^2 = H$                                |
|    |  |
|    | The title compound was prepared using the general                |
|    | procedure described above for cyclo-(D-Val-NMeArg-Gly-           |
|    | Asp-Mamb). The DCC/DMAP method was used for attachment           |
| 20 | of Boc-Mamb to the oxime resin. The peptide was                  |
|    | prepared on a 0.586 mmol scale to give the protected             |
|    | cyclic peptide (264 mg, 57.5%). The peptide (258 mg)             |
|    | and 258 mL of anisole were treated with anhydrous                |
|    | hydrogen fluoride at 0°C for 30 minutes. The crude               |
| 25 | material was precipitated with ether, redissolved in             |
|    | aqueous acetonitrile, and lyophilized to generate the            |
|    | title compound as a pale yellow solid (231 mg, greater           |
|    | than quantitative yield; calculated as the fluoride              |
|    | salt). Purification was accomplished by reversed-phase           |
| 30 | HPLC on a preparative Vydac C18 column (2.5 cm) using a          |
|    | 0.23%/ min. gradient of 5.4 to 14.4% acetonitrile                |
|    | containing 0.1% TFA and then lyophilized to give the TFA         |
|    | salt of the title compound as a fluffy white solid               |

-171-

•

(53.2% recovery, overall yield 32.5%); FAB-MS: [M+H] = 547.28:

#### Cyclic Compound Intermediate 28f

5 cyclo-(D-Tyr-NMeArg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Tyr, K = NMeArg, L = Gly, M = Asp, R<sup>1</sup> = H, R<sup>2</sup> = H

- The title compound was prepared using the 10 general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.313 mmol scale to give the
- 15 protected cyclic peptide (342 mg, greater than quantitative yield). The peptide (331 mg) and 0.330 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved
- 20 in aqueous acetonitrile, and lyophilized to generate the title compound (218 mg, greater than quantitative yield; calculated as the fluoride salt). Purification was accomplished by reversedphase HPLC on a preparative Vydac C18 column (2.5
- 25 cm) using a 0.23%/ min. gradient of 9 to 18% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (11.3% recovery, overall yield 10.8%); FAB-MS: [M+H] = 639.54.

30

#### Cyclic Compound Intermediate 29

cyclo-(Gly-Arg-Gly-Asp-Mamb); the compound of formula (II) wherein J = Gly, K = Arg, L = Gly, M = Asp,  $R^1 = R^2 = H$ 

-172-

\*\*\*

• •

•

\*

|    | The title compound was prepared using the general                 |
|----|---|
|    | procedure described above for cyclo-(D-Val-NMeArg-Gly-            |
|    | Asp-Mamb). The peptide was prepared on a 0.283 mmol               |
| 5  | scale and half was cyclized to give the protected cyclic          |
|    | peptide (62 mg, 58%). The peptide (60 mg) and 60 mL of            |
|    | m-cresol were treated with anhydrous hydrogen fluoride            |
|    | at 0 $^{\circ}$ C for 1 hour. The crude material was precipitated |
|    | with ether, redissolved in aqueous HOAc, and lyophilized          |
| 10 | to generate the title compound as a pale yellow solid             |
|    | (48 mg, > quantitative yield; calculated as the acetate           |
|    | salt). Purification was accomplished by reversed-phase            |
|    | HPLC on a preparative Vydac C18 column (2.5 cm) using a           |
|    | 0.30%/ min. gradient of 0 to 9% acetonitrile containing           |
| 15 | 0.1% TFA and then lyophilized to give the TFA salt of             |
|    | the title compound as a fluffy white solid (36%                   |
|    | recovery, overall yield 19.9%); FAB-MS: [M+H] = 519.26.           |
|    |   |
|    | Cyclic Compound Intermediate 30                                   |
| 20 | cyclo-(D-Ala-Arg-Gly-Asp-Mamb); the compound of formula           |
|    | (II) wherein $J = D-Ala$ , $K = Arg$ ,                            |
|    | $L = Gly$ , $M = Asp$ , $R^1 = R^2 = H$                           |
|    |   |
|    | The title compound was prepared using the general                 |
| 25 | procedure described above for cyclo-(D-Val-NMeArg-Gly-            |
|    | Asp-Mamb). The peptide was prepared on a 0.189 mmol               |
|    | scale to give the protected cyclic peptide (211 mg,               |
|    | >quantitative yield). The peptide (195 mg) and 195 mL             |
|    | of m-cresol were treated with anhydrous hydrogen                  |
| 30 | fluoride at 0°C for 1 hour. The crude material was                |
|    | precipitated with ether, redissolved in aqueous HOAc,             |
|    | and lyophilized to generate the title compound as a pale          |
|    | yellow solid (125 mg, 83%; calculated as the acetate              |
|    | -<br>salt). Purification was accomplished by reversed-phase       |
|    |   |
|    |   |

-173-

•

~

10

HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 2 to 11% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid

5 (12.5% recovery, overall yield 13.8%); FAB-MS: [M+H] =
533.26.

<u>Cyclic Compound Intermediate 31</u> cyclo-(Ala-Arg-Gly-Asp-Mamb); the compound of formula (II) wherein J = Ala, K = Arg, L = Gly, M = Asp, R<sup>1</sup> = R<sup>2</sup> = H

The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-15 Asp-Mamb). The peptide was prepared on a 0.324 mmol

- scale to give the protected cyclic peptide (191 mg, 76.4%). The peptide (100 mg) and 100 mL of *m*-cresol were treated with anhydrous hydrogen fluoride at 0°C for 1 hour. The crude material was precipitated with ether,
- 20 redissolved in aqueous HOAc, and lyophilized to generate the title compound as a pale yellow solid (75 mg, 97.4%; calculated as the acetate salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient
- 25 of 2 to 11% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (15.5% recovery, overall yield 10.5%); FAB-MS: [M+H] = 533.25.

-174-

<u>Cyclic Compound Intermediate 32</u>
 cyclo-(D-Val-Arg-Gly-Asp-Mamb); the compound of formula
 (II) wherein J = D-Val, K = Arg,
 L = Gly, M = Asp, R<sup>1</sup> = R<sup>2</sup> = H

The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The peptide was prepared on a 0.193 mmol

- 10 scale to give the protected cyclic peptide (199 mg, > quantitative yield). The peptide (193 mg) and 193 mL of m-cresol were treated with anhydrous hydrogen fluoride at 0°C for 1 hour. The crude material was precipitated with ether, redissolved in aqueous HOAc, and lyophilized
- 15 to generate the title compound as a pale yellow solid (130 mg, 86%; calculated as the acetate salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 2 to 13% acetonitrile containing 0.1%
- 20 TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (57% recovery, overall yield 58.1%); FAB-MS: [M+H] = 561.22.

# Cyclic Compound Intermediate 33

25 cyclo-(D-Leu-Arg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Leu, K = Arg, L = Gly, M = Asp,  $R^1 = R^2 = H$ 

The title, compound was prepared using the general 30 procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The peptide was prepared on a 0.202 mmol scale to give the protected cyclic peptide (152 mg, 93%). The peptide (150 mg) and 150 mL of *m*-cresol were treated with anhydrous hydrogen fluoride at 0°C for 1

-175-

*.*\*

hour. The crude material was precipitated with ether, redissolved in aqueous HOAc, and lyophilized to generate the title compound as a pale yellow solid (78 mg, 66%; calculated as the acetate salt). Purification was

5 accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 5 to 18% acetonitrile containing 0.1% trifluoroacetic acid and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (26% recovery, 10 overall yield 14.8%); FAB-MS: [M+H] = 575.45.

#### Cvclic Compound Intermediate 34

cyclo-(D-Abu-Arg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Abu, K = Arg, L = Gly, M = Asp,  $R^1 = R^2 = H$ 

The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The peptide was prepared on a 0.193 mmol

- 20 scale to give the protected cyclic peptide (210 mg, > quantitative yield). The peptide (206 mg) and 206 mL of m-cresol were treated with anhydrous hydrogen fluoride at 0°C for 1 hour. The crude material was precipitated with ether, redissolved in aqueous HOAc, and lyophilized
- 25 to generate the title compound as a pale yellow solid (158 mg, 99%; calculated as the acetate salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 2 to 11% acetonitrile containing 0.1%
- 30 TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (57% recovery, overall yield 72.2%); FAB-MS: [M+H] = 547.21.

#### Cvclic Compound Intermediate 35

-176-

PCT/US94/03256

```
cyclo-(D-Ser-Arg-Gly-Asp-Mamb); the compound of formula
   (II) wherein J = D-Ser, K = Arg,
   L = Gly, M = Asp, R<sup>1</sup> = R<sup>2</sup> = H
```

- 5 The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The peptide was prepared on a 0.193 mmol scale to give the protected cyclic peptide (224 mg, > quantitative yield). The peptide (210 mg) and 210 ml of
- 10 m-cresol were treated with anhydrous hydrogen fluoride at 0°C for 1 hour. The crude material was precipitated with ether, redissolved in aqueous HOAc, and lyophilized to generate the title compound as a pale yellow solid (145 mg, 89%; calculated as the acetate salt).
- 15 Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 2 to 13% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (22% recovery, 20 overall yield 27%); FAB-MS: [M+H] = 549.31.

#### Cyclic Compound Intermediate 36

cyclo-(D-Phe-Arg-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Phe, K = Arg, L = Gly, M = Asp,  $R^1 = R^2 = H$ 

The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The peptide was prepared on a 0.266 mmol 30 scale to give the protected cyclic peptide (202 mg, 90%). The peptide (157 mg) and 157 mL of *m*-cresol were treated with anhydrous hydrogen fluoride at 0°C for 1 hour. The crude material was precipitated with ether, redissolved in aqueous HOAc, and lyophilized to generate

-177-

10

the title compound as a pale yellow solid (125 mg, > quantitative yield; calculated as the acetate salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/

5 min. gradient of 7 to 23% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (35% recovery, overall yield 29.3%); FAB-MS: [M+H] = 609.25

Cyclic Compound Intermediate 37 cyclo-(Phe-Arg-Gly-Asp-Mamb); the compound of formula (II) wherein J = Phe, K = Arg, L = Gly,  $M = Asp, R^1 = R^2 = H$ 

- 15 The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The peptide was prepared on a 0.335 mmol scale to give the protected cyclic peptide (306 mg, > quantitative yield). The peptide (275 mg) and 275 mL of
- 20 m-cresol were treated with anhydrous hydrogen fluoride at 0°C for 1 hour. The crude material was precipitated with ether, redissolved in aqueous HOAc, and lyophilized to generate the title compound as a pale yellow solid (214 mg, 98%; calculated as the acetate salt).
- 25 Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 9 to 23% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (32% recovery, 30 overall yield 31.5%); FAB-MS: [M+H] = 609.26

Cvclic Compound Intermediate 40

cyclo-(D-Val-NMeAmf-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Val,

-178-

K = NMeAmf, L = Gly, M = Asp,  $R^1 = R^2 = H$ 

The title compound was prepared using the general procedure described for cyclo-(D-Val-5 NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.586 mmol scale to give the protected cyclic peptide (189 mg, 39.9%). The

- 10 peptide (189 mg) and 0.189 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and lyophilized to generate the title compound (212)
- 15 mg, greater than quantitative yield; calculated as the fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 10.8 to 22.5% acetonitrile containing 0.1% TFA and
- 20 then lyophilized to give the TFA salt of the title compound as a fluffy white solid (8.1% recovery, overall yield 4.1%); FAB-MS: [M+H] = 595.23.

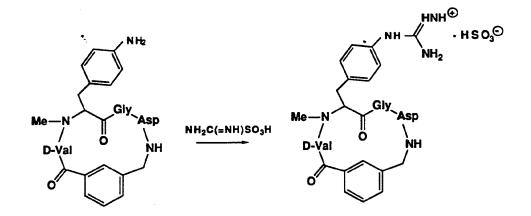
#### Cyclic Compound Intermediate 48a

25

The title compound may be synthesized using procedures described in Mosher et al. Tett. Lett. 29: 3183-3186, and as shown schematically below. This same procedure is a generally useful method for converting a 30 primary amine into a guanidine functionality.

-179-

\_



-

-180-

×

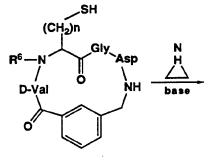
<u>`</u>\_

٠.

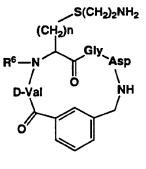
1

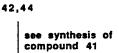
## Cyclic Compound Intermediates 42-45

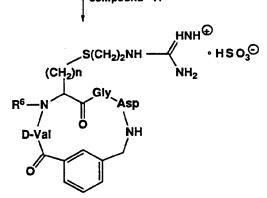
The synthesis of Cyclic Compound Intermediates 42-5 45 is shown schematically below.











## 10

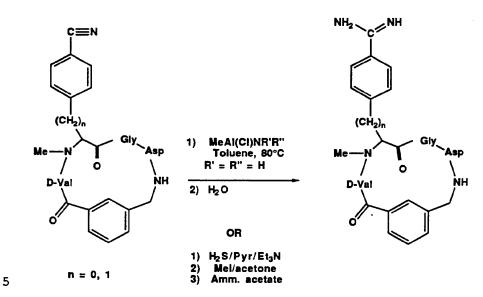
15

Cyclic Compound Intermediate 46 and 47

Cyclic Compound Intermediates 46 and 47 are prepared according to standard procedures, for example, as described in Garigipati, Tett. Lett. (1990) 31: 1969-1972 and in Canadian Patent 2008311, as is shown

-181-

schematically below. The aspartic acid group may be protected (e.g., with a phenacyl protection group) to avoid side reactions.



<u>Cyclic Compound Intermediate 54</u> cyclo-(D-Val-NMeArg-b-Ala-Asp-Mamb); J = D-Val, K = NMeArg, L = b-Ala, M = Asp, R<sup>1</sup> = R<sup>2</sup> = H

The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The DCC/DMAP method was used for attachment

15 of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.586 mmol scale to give the protected cyclic peptide (227 mg, 46.9%). The peptide (219 mg) and 219 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude

20 material was precipitated with ether, redissolved in aqueous acetonitrile, and lyophilized to generate (54) as a pale yellow solid (150 mg, 93.2%; calculated as the

-182-

15

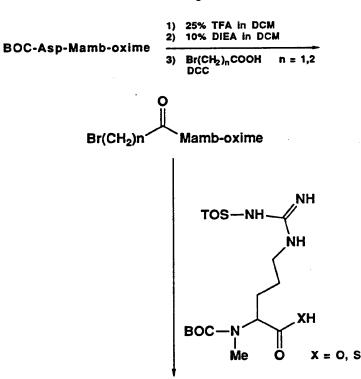
٠.

•

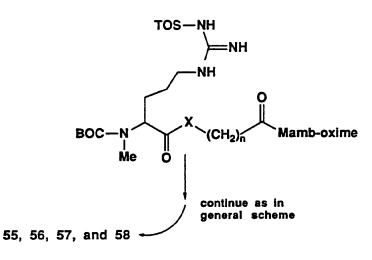
fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 7.2 to 16.2% acetonitrile containing 0.1% TFA and then lyophilized to 5 give the TFA salt of (54) as a fluffy white solid (43.6% recovery, overall yield 16.5%); FAB-MS: [M+H] = 589.32.

Cyclic Compound Intermediate 55-58

The synthesis of Cyclic Compound Intermediates 55-58 is shown schematically below.



-183-



Cyclic Compound Intermediate 58c cyclo-(D-Val-NMeArg-L-Ala-Asp-Mamb); the compound of formula (II) wherein J = D-Val, K = NMeArg, L = L-Ala, M = Asp, R<sup>1</sup> = H, R<sup>2</sup> = H

The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.611 mmol scale to give the protected cyclic peptide (375 mg, 74.6%). The

- 15 peptide (360 mg) and 0.360 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and lyophilized to generate the title compound (220)
- 20 mg, 83%; calculated as the fluoride salt).
  20 Purification was accomplished by reversed-phase
  40 HPLC on a preparative Vydac C18 column (2.5 cm)
  40 using a 0.23%/ min. gradient of 9 to 18%
  40 acetonitrile containing 0.1% TFA and then

-184-

۰.

5

30

lyophilized to give the TFA salt of the title compound as a fluffy white solid (19.9% recovery, overall yield 10.6%); FAB-MS: [M+H] = 589.31.

<u>Cyclic Compound Intermediate 63 and 63a</u> cyclo-(D-Val-NMeArg-Gly-a-MeAsp-Mamb); the compounds of formula (II) wherein J is D-Val; K is NMeArg; L is Gly; M is a-MeAsp;  $R^1 = R^2 = H$ 

- 10 The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.794 mmol scale to give the protected cyclic
- 15 peptide (237 mg, 36.1%). The peptide (237 mg) and 0.237 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and lyophilized to generate the title
- 20 compound (165 mg, 94.3%; calculated as the fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 9 to 18% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of
- 25 the title compound as a fluffy white solid; isomer #1
   (8.36% recovery, overall yield 2.5%); FAB-MS: [M+H] =
   589.29; isomer #2 (9.16% recovery, overall yield 2.7%);
   FAB-MS: [M+H] = 589.27.

<u>Cyclic Compound Intermediates 64 and 64a</u> cyclo-(D-Val-NMeArg-Gly-B-MeAsp-Mamb); the compounds of formula (II) wherein J = D-Val, K = NMeArg, L = Gly, M = B-MeAsp, R<sup>1</sup> = H, R<sup>2</sup> = H

-185-

The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of

- 5 Boc-Mamb to the oxime resin. The peptide was prepared on a 0.611 mmol scale to give the protected cyclic peptide (201 mg, 40.0%). The peptide (200 mg) and 0.200 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for
- 10 30 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and lyophilized to generate the title compound (162 mg, greater than quantitative yield; calculated as the fluoride salt). Purification was accomplished
- 15 by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 9 to 18% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid; isomer #1 (12.7%)
- 20 recovery, overall yield 4.8%); FAB-MS: [M+H] =
  589.43; isomer #2 (13.9% recovery, overall yield
  5.3%); FAB-MS: [M+H] = 589.45.

#### Cyclic Compound Intermediate 64b

25 cyclo-(D-Val-NMeArg-Gly-NMeAsp-Mamb); the compound of formula (II) wherein J = D-Val, K = NMeArg, L = Gly, M = NMeAsp,  $R^1 = H$ ,  $R^2 = H$ 

The title compound was prepared using the 30 general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.611 mmol scale to give the

-186-

protected cyclic peptide (232 mg, 46.1%). The peptide (225 mg) and 0.225 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated 5 with ether, redissolved in aqueous acetonitrile, and lyophilized to generate the title compound (160 mg, 96.4%; calculated as the fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm)

10 using a 0.23%/ min. gradient of 9 to 18%
acetonitrile containing 0.1% TFA and then
lyophilized to give the TFA salt of the title
compound as a fluffy white solid (28.2% recovery,
overall yield 10.9%); FAB-MS: [M+H] = 589.42.

15

### Cvclic Compound Intermediate 64c

20

τ.

The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.611 mmol scale to give 25 the protected cyclic peptide (257 mg, 51.9%). The peptide (250 mg) and 0.250 mL of anisole were treated with anhydrous hydrogen fluoride at  $0^\circ C$  for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, 30 and lyophilized to generate the title compound (192 mg, greater than quantitative yield; calculated as the fluoride salt). Purification was accomplished

by reversed-phase HPLC on a preparative Vydac C18

-187-

٠.

#### WO 94/22494

column (2.5 cm) using a 0.23%/ min. gradient of 9
to 18% acetonitrile containing 0.1% TFA and then
lyophilized to give the TFA salt of the title
compound as a fluffy white solid (44.4% recovery,
5 overall yield 20.7%); FAB-MS: [M+H] = 575.42.

10

## Cyclic Compound Intermediate 89e

The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate

- 20 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. The peptide was prepared on a 0.498 mmol scale to give the protected cyclic peptide (150 mg, 39.3%). The peptide (150 mg) and 0.150 mL of anisole were
- 25 treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and lyophilized to generate the title compound (93 mg, 86%; calculated as the fluoride salt).
- 30 Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.45%/ min. gradient of 3.6 to 18% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title

-188-

۰.

PCT/US94/03256

compound as a fluffy white solid (49.3% recovery, overall yield 14.2%); FAB-MS: [M+H] = 533.34.

Cvclic Compound Intermediate 89f

5

cyclo-(D-Abu-NMeArg-Gly-D-Asp-Mamb); compound of formula (II) wherein J = D-Abu, K = NMeArg, L = Gly, M =D-Asp,  $R^1 = H$ ,  $R^2 = H$ 

The title compound was prepared using the general 10 procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. TBTU was used as the coupling reagent. The peptide was prepared on a 0.596 mmol scale to give the

- 15 protected cyclic peptide (273 mg, 57.6%). The peptide (263 mg) and 0.263 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 20 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and lyophilized to generate the
- 20 title compound (218 mg; greater than quantitative yield; calculated as the fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 10.8 to 19.8% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title 25

compound as a fluffy white solid (40.4% recovery, overall yield 21.9%); FAB-MS: [M+H] = 561.37.

Cyclic Compound Intermediate 89g

30 cyclo-(D-Abu-D-NMeArg-Gly-Asp-Mamb); the compound of formula (II) J = D-Abu, K = D-NMeArg, L = Gly, M = Asp,  $R^1 = H, R^2 = H$ 

The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-35

-189-

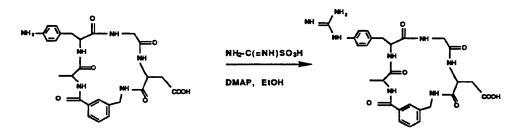
Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-Mamb to the oxime resin. TBTU was used as the coupling reagent. The peptide was prepared on a 0.596 mmol scale to give the

- 5 protected cyclic peptide (241 mg, 50.8%). The peptide (235 mg) and 0.235 mL of anisole were treated with anhydrous hydrogen fluoride at  $0^{\circ}$ C for 20 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile, and lyophilized to generate the
- 10 title compound (168 mg; 98.3%; calculated as the fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 12.6 to 21.6% acetonitrile containing 0.1% TFA and then lyophilized to 15 give the TFA salt of the title compound as a fluffy white solid (2.3% recovery, overall yield 0.99%); FAB-MS: [M+H] = 561.36.

Cyclic Compound Intermediate 89h

20

Cyclo-(D-Ala-p-guanidinyl-Phe-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Ala, K = pguanidinyl-Phe, L = Gly, M = Asp R<sup>1</sup> = H, R<sup>2</sup> = H



25

Dissolved 25 mg (38.3 mmoles) of cyclo-(D-Ala-pamino-Phe-Gly-Asp-Mamb) (TFA salt), 14.3 mg (114.9 umoles) formamidine sulfonic acid, and 18.7 mg (153.2 umoles) of 4-dimethyl-aminopyridine in 5 ml of ethanol

-190-

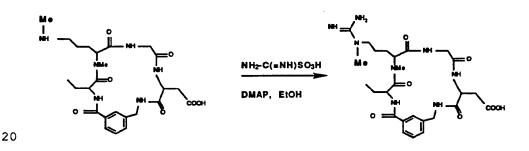
in a 10 ml round bottom flask. Refluxed the mixture for 3 hours, then added an additional 14.3 mg of formamidine sulfonic acid and 18.7 mg of 4-dimethyl-aminopyridine. After refluxing for an additional 3 hours, the reaction 5 was found to be ~75% complete by reversed-phase HPLC. The ethanol was evaporated under reduced pressure, and the residue was purified on a preparative Vydac C18 column (2.5 cm) using a 0.45%/min. gradient of 0 to 18%

10 Lyophilization afforded the TFA salt of the title compound as a white solid (28% recovery), overall yield 26.4%); FAB-MS: [M+H] = 581.30.

acetonitrile containing 0.1% TFA.

#### Cvclic Compound Intermediate 89i

15 cyclo-(D-Abu-(DiNMe,guanidinyl-Orn)-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Abu, K = diNMe,guanidinyl-Orn , L = Gly, D = Asp, R<sup>1</sup> = H, R<sup>2</sup> = H



Dissolved 10.53 mg (16.3 mmoles) of cyclo-(D-AbudiNMeOrn-Gly-Asp-Mamb) (TFA salt), 6.08 mg (48.99 umoles) formamidine sulfonic acid, and 8.00 mg (65.57 umoles) of 4-dimethyl-aminopyridine in 2.5 ml of ethanol in a 10 ml round bottom flask. Refluxed the mixture for 2 hours and then stirred at room temperature overnight. Refluxed for one hour, added an additional 6.08 mg of formamidine sulfonic acid and 8.00 mg of 4-

-191-

."

dimethylaminopyridine and then refluxed for an additional 2 hours. Evaporated the ethanol under reduced pressure and purified the residue on a preparative Vydac C18 column (2.5 cm) using a 0.45%/min. 5 gradient of 3.6 to 18% acetonitrile containing 0.1% TFA. Lyophilization afforded the TFA salt of the title

compound as a white solid (57.2% recovery), overall yield 53.5%); FAB-MS: [M+H] = 575.34.

#### Cvclic Compound Intermediates 89j

cyclo-(D-Abu-Di-NMeLys-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Abu, K = Di-NMeLys, L = Gly,  $M = Asp, R^1 = H, R^2 = H$ 

15

10

cyclo-(D-Abu-NMeLys-Gly-Asp-Mamb); the compound of formula (II) wherein J = D-Abu, K = NMeLys, L = Gly, M = Asp,  $R^1 = H$ ,  $R^2 = H$ 

20 Di-N-methyl amino acid derivatives may be prepared using methods which have been described previously (Olsen, J. Org. Chem. (1970) 35: 1912) or, alternatively, through the use of NaH/CH3I. The mono-NMe-Lysine amino acid was obtained as a side product

- 25 during the synthesis of the corresponding di-NMe-lysine derivative. The title compounds were prepared using conventional solution phase peptide chemistry techniques described previously. Cyclo-(D-Abu-diNMeLys-Gly-Asp-Mamb) was obtained in 0.31% overall yield, FAB-MS: [M+H]
- 30 = 547.3. Cyclo-(D-Abu-NMeLys-Gly-Asp-Mamb) was obtained in 0.25% overall yield, FAB-MS: [M+H] = 533.3.

-192-

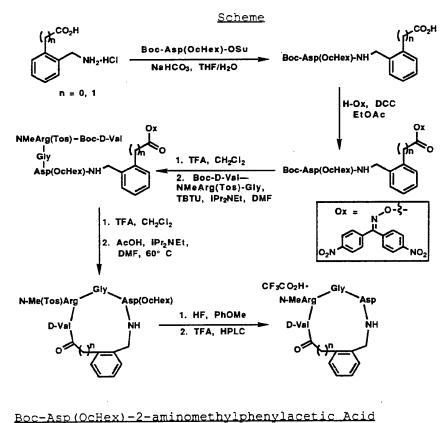
Cyclic Compound Intermediate 90 cyclo-(D-Val-NMeArg-Gly-Asp-2-aminomethylphenylacetic acid)

5

10

٠.

The title compound was prepared by a modification of the general solution-phase chemistry route. This approach employed an amino acid succinimide ester coupling to the aromatic cyclizing moiety, and the dinitrobenzophenone oxime as shown schematically below in the Scheme below (n = 1).



۰.

7

15 <u>Boc-Asp(OcHex)-2-aminomethylphenylacetic Acid</u> To a suspension of 2-aminomethylphenylacetic acid.HCl (4.0 g, 20 mmol) in H<sub>2</sub>O (20 ml) was added

-193-

.

NaHCO3 (5.0 g, 60 mmol), followed by a solution of Boc-Asp(OcHex)-OSu (7.5 g, 18 mmol) in THF (20 ml). The reaction mixture was stirred at room temperature for 3 hours, filtered, diluted with H2O, acidified with 1N

- 5 HCl, and extracted with ethyl acetate. The extracts were washed with H<sub>2</sub>O, brine, dried over anhydrous magnesium sulfate, and evaporated to dryness under reduced pressure. This material was triturated with ether to provide the title compound (7.0 g, 83%) as a white
- 10 powder. <sup>1</sup>H NMR (D<sub>6</sub>-DMSO) 12.40 (br s, 1H), 8.30 (br t, 1H), 7.20 (m, 5H), 4.65 (m, 1H), 4.35 (q, 1H), 4.25 (m, 2H), 3.65 (s, 2H), 2.70 (dd, 1H), 2.55 (dd, 1H), 1.70 (m, 4H), 1.40 (s, 9H), 1.35 (m, 6H).

#### 15 <u>4,4'-Dinitrobenzophenone Oxime</u>

The title compound was prepared by modification of procedures previously reported in the literature (Chapman and Fidler (1936) J. Chem. Soc, 448; Kulin and Leffek (1973) Can. J. Chem., 51: 687). A solution of chromic anhydride (20 g, 200 mmol) in 125 ml of H<sub>2</sub>O was

- 20 chromic anhydride (20 g, 200 mmol) in 125 ml of H<sub>2</sub>O was added dropwise over 4 hours, to a suspension of bis(4nitrophenyl)methane (25 g, 97 mmol) in 300 ml of acetic acid heated to reflux. The reaction mixture was heated at reflux for 1 hour, cooled to room temperature, and
- 25 poured into water. The solid was collected by filtration, washed with H<sub>2</sub>O, 5% sodium bicarbonate, H<sub>2</sub>O, and air-dryed to provide a 1:1 mixture of bis(4nitrophenyl)methane/4,4'-dinitrobenzophenone via <sup>1</sup>H NMR. This material was oxidized with a second portion of
- 30 chromic anhydride (20 g, 200 mmol), followed by an identical work-up procedure to provide the crude product. Trituration with 200 ml of benzene heated to reflux for 16 hours provided 4,4'-dinitrobenzophenone (20.8 g, 79%) as a yellow powder.

-194-

٠.

۰.

7

•

|    | A solution of hydroxylamine hydrochloride (10.2 g,                    |
|----|---|
|    | 147 mmol) was added to a suspension of 4,4'-                          |
| -  | dinitrobenzophenone (19 g, 70 mmol) in 100 ml of                      |
| 5  | ethanol. The reaction mixture was heated to reflux for 2              |
|    | hours, cooled to room temperature, and the solid                      |
|    | collected by filtration. Recrystallization from ethanol               |
|    | provided the title compound (14.0 g, 70%) as pale yellow              |
|    | crystals. mp 194°C; <sup>1</sup> H NMR (D6-DMSO) 12.25 (s, 1H), 8.35  |
| 10 | (d, 2H), 8.20 (d, 2H), 7.60 (d, 4H).                                  |
|    | 4,4'-Dinitrobenzophenone Oxime Boc-Asp(OcHex)-2-                      |
|    | aminomethylphenylacetate  |
|    | To an ice-cooled solution of Boc-Asp(OcHex)-2-                        |
| 15 | aminomethylphenylacetic acid (3.5 g, 7.6 mmol) and $4,4'$ -           |
|    | dinitrobenzophenone oxime (2.2 g, 7.5 mmol) in 50 ml of               |
|    | ethyl acetate and 5 ml of DMF was added DCC (1.6 g, 7.8               |
|    | mmol). The reaction mixture was stirred at room                       |
|    | temperature for 8 hours, filtered, diluted with ethyl                 |
| 20 | acetate, washed with saturated sodium bicarbonate                     |
|    | solution, H <sub>2</sub> O, brine, dried over anhydrous magnesium     |
|    | sulfate, and evaporated to dryness under reduced                      |
|    | pressure. This material was purified by column                        |
|    | chromatography on silica gel (EM Science, 230-400 mesh)               |
| 25 | using 10:1 dichloromethane/ethyl acetate to give the                  |
|    | title compound (4.3 g, 78%) as pale yellow crystals. $^{1}\mathrm{H}$ |
|    | NMR (D <sub>6</sub> -DMSO) 8.30 (dd, 5H), 7.80 (d, 2H), 7.65 (d, 2H), |
|    | 7.15 (m, 5H), 4.65 (m, 1H), 4.35 (q, 1H), 4.15 (m, 2H),               |
|    | 3.90 (s, 2H), 2.70 (dd, 1H), 2.50 (dd, 1H), 1.70 (m,                  |
| 30 | 4H), 1.40 (s, 9H), 1.35 (m, 6H).                                      |
|    | 4,4'-Dinitrobenzophenone Oxime Boc-D-Val-NMeArg(Tos)-                 |
|    |   |

-195-

To a solution of 4,4'-dinitrobenzophenone oxime Boc-Asp(OcHex)-2-aminomethylphenylacetate (1.5 g, 2 mmol) in 4 ml of dichloromethane was added 2 ml of trifluoroacetic acid. The reaction mixture was stirred

5 at room temperature for 1 hour, diluted with dichloromethane, and evaporated to dryness under reduced pressure. The oily residue was concentrated under high vacuum to remove traces of excess trifluoroacetic acid.

- 10 To a solution of the crude TFA salt and Boc-D-Val-NMeArg(Tos)-Gly (1.2 g, 2 mmol) in 5 ml of DMF was added TBTU (640 mg, 2 mmol) and DIEA (780 mg, 6 mmol). The reaction mixture was stirred at room temperature for 16 hours, concentrated under high vacuum, diluted with
- 15 ethyl acetate, washed with 5% citric acid, H<sub>2</sub>O, brine, dried over anhydrous magnesium sulfate, and evaporated to dryness under reduced pressure. This material was triturated with ether to provide the title compound (2.3 g, 95%) as a yellow powder. This material was used
- 20 without further purification.

## cyclo-(D-Val-NMeArg(Tos)-Gly-Asp(OcHex)-2aminomethylphenylacetic acid)

To a solution of 4,4'-dinitrobenzophenone oxime 25 Boc-D-Val-NMeArg(Tos)-Gly-Asp(OcHex)-2aminomethylphenylacetate (1.2 g, 1 mmol) in 4 ml of dichloromethane was added 2 ml of trifluoroacetic acid. The reaction mixture was stirred at room temperature for 3 hours, diluted with dichloromethane, and evaporated to

30 dryness under reduced pressure. The oily residue was concentrated under high vacuum to remove traces of excess trifluoroacetic acid.

-196-

WO 94/22494

۰.

.

~

Ļ.

| To a solution of the crude TFA salt in 100 m  | l of                             |
|---|----------------------------------|
| DMF was added acetic acid (0.50 ml, 8.7 mmol) and   | DIEA                             |
| (1.52 ml, 8.7 mmol). The reaction mixture was stir  | red at                           |
| 60°C for 3 days, concentrated under high vacuum, c  | liluted                          |
| 5 with ethyl acetate, and the solution allowed to   |                                  |
| crystallize overnight. Filtration provided the ti   | tle                              |
| compound (563 mg, 68%) as a yellow powder. $^{1}\mathrm{H}$ NMR   | (D6-                             |
| DMSO) 8.70 (d, 1H), 8.40 (br s, 1H), 8.30 (br s, 1  | .H),                             |
| 8.05 (t, 1H), 7.65 (d, 2H), 7.25 (d, 2H), 7.20 (m,  | 4H),                             |
| 10 7.10 (br d, 1H), 6.80 (br s, 1H), 6.60 (br s, 1H),   | 5.10                             |
| (dd, 1H), 4.65 (m, 1H), 4.55 (m, 1H), 4.40 (m, 2H)  | , 3.85                           |
| (m, 2H), 3.65 (d, 1H), 3.45 (m, 2H), 3.05 (m, 2H),  | 2.80                             |
| (s, 3H), 2.80 (m, 1H), 2.60 (dd, 1H), 2.30 (s, 3H)  | -                                |
| (m, 6H), 1.30 (m, 9H), 0.95 (d, 3H), 0.80 (d, 3H);  |                                  |
| 15 $DCI(NH_3) - MS: [M+H] = 825$ .  |                                  |
|   |                                  |
| <u>cyclo-(D-Val-NMeArg-Gly-Asp-2-aminomethylphenylace</u><br>acid)  | <u>tic</u>                       |
| A mixture of 352 mg (0.43 mmol) of cyclo-(D-V   |                                  |
| 20 NMeArg(Tos)-Gly-Asp(OcHex)-2-aminomethylphenylacet   |                                  |
| acid) and 352 $\mu$ l of anisole was treated at 0°C wit   |                                  |
| of HF for 20 minutes. The excess HF was removed un  |                                  |
| reduced pressure, the residue triturated with ethe  |                                  |
| dissolved in 50% acetonitrile/H2O, and lyophilized  | •                                |
| 25 provide the crude cyclic peptide HF salt as an off   |                                  |
|   |                                  |
| powder. Purification was accomplished by reversed-  |                                  |
| powder. Purification was accomplished by reversed-<br>HPLC on a preparative Vydac C18 column (2.5 cm) us  | phase                            |
| powder. Purification was accomplished by reversed-<br>HPLC on a preparative Vydac C18 column (2.5 cm) us<br>0.8% / minute gradient of 10 to 38% acetonitrile  | phase                            |
| HPLC on a preparative Vydac C18 column (2.5 cm) us  | phase<br>ing a                   |
| HPLC on a preparative Vydac C18 column (2.5 cm) us 0.8% / minute gradient of 10 to 38% acetonitrile   | phase<br>ing a<br>FA             |
| HPLC on a preparative Vydac C18 column (2.5 cm) us 0.8% / minute gradient of 10 to 38% acetonitrile containing 0.1% trifluoroacetic acid to give the T  | phase<br>ing a<br>FA<br>fy       |
| HPLC on a preparative Vydac C18 column (2.5 cm) us<br>0.8% / minute gradient of 10 to 38% acetonitrile<br>containing 0.1% trifluoroacetic acid to give the T<br>30 salt of the title compound (225 mg, 75%) as a fluf | phase<br>ing a<br>FA<br>fy<br>d, |

(dt, 1H), 4.40 (m, 2H), 3.85 (dt, 2H), 3.65 (d, 1H),

-197-

.

. •

3.50 (dd, 1H), 3.45 (d, 1H), 3.10 (m, 2H), 2.90 (s, 3H), 2.75 (dd, 1H), 2.55 (dd, 1H), 2.00 (m, 1H), 1.85 (m, 1H), 1.65 (m, 1H), 1.30 (m, 2H), 0.95 (d, 3H), 0.85 (d, 3H); FAB-MS: [M+H] = 589.

5

# Cyclic Compound Intermediate 91

cyclo-(D-Val-NMeArg-Gly-Asp-2-aminomethylbenzoic acid)

- The title compound was prepared by the general solution-phase procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-2-aminomethylphenylacetic acid), and as shown schematically above in the Cyclic Compound Intermediate 90 Scheme (n = 0). The cyclic peptide (192 mg, 0.24 mmol) was deprotected with excess HF in the
- 15 presence of anisole as scavenger. Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.8% / minute gradient of 10 to 38% acetonitrile containing 0.1% trifluoroacetic acid to give the TFA salt of the title
- 20 compound (20 mg, 12%) as a fluffy white solid; <sup>1</sup>H NMR (D<sub>6</sub>-DMSO) 8.75 (d, 1H), 8.50 (d, 1H), 7.65 (t, 1H), 7.60 (t, 1H), 7.50 (m, 2H), 7.40 (m, 3H), 7.00 (br s, 4H), 5.05 (dd, 1H), 4.50 (t, 1H), 4.30 (m, 2H), 4.10 (dd, 1H), 3.70 (m, 2H), 3.15 (q, 2H), 3.05 (s, 3H), 2.80 (dd, 25 1H), 2.55 (dd, 1H), 2.10 (m, 1H), 1.95 (m, 1H), 1.60 (m, 1H), 1.40 (m, 2H), 1.05 (d, 3H), 0.95 (d, 3H); FAB-MS:
  - [M+H] = 575.

#### Cvclic Compound Intermediate 92

30 <u>cvclo-(D-Val-NMeArg-Gly-Asp-3-aminophenylacetic acid)</u>

The title compound was prepared by the general solution-phase procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb), and as shown schematically in

-198-

٠.

.

|    | the Scheme below. The cyclic peptide (360 mg, 0.44 mmol)       |
|----|--|
|    | was deprotected with excess HF in the presence of              |
|    | anisole as scavenger. Purification was accomplished by         |
|    | reversed-phase HPLC on a preparative LiChrospher RP-18         |
| 5  | column (5 cm) using a 2.3% / minute gradient of 22 to          |
|    | 90% acetonitrile containing 0.1% trifluoroacetic acid to       |
|    | give the TFA salt of the title compound (150 mg, 50%) as       |
|    | a fluffy white solid; $^{1}$ H NMR (D6-DMSO) 12.40 (br s, 1H), |
|    | 8.95 (s, 1H), 8.55 (m, 2H), 8.45 (t, 1H), 7.90 (d, 1H),        |
| 10 | 7.50 (m, 1H), 7.20 (t, 1H), 7.00 (br s, 4H), 6.90 (m,          |
|    | 2H), 5.15 (dd, 1H), 4.65 (q, 1H), 4.55 (t, 1H), 3.65 (m,       |
|    | 2H), 3.60 (dd, 1H), 3.10 (m, 2H), 2.85 (s, 3H), 2.85 (d,       |
|    | 1H), 2.70 (dd, 2H), 2.00 (m, 2H), 1.75 (m, 1H), 1.35 (m,       |
|    | 2H), 0.90 (d, 3H), 0.85 (d, 3H); FAB-MS: [M+H] = 575.          |
| 15 |  |
|    | Cyclic Compound Intermediate 87, 88                            |
|    | cyclo-(D-Val-NMeArg-Gly-Asp-4-aminomethylbenzoic acid);        |
|    | the compound of formula (III) wherein $J = D-Val$ , $K =$      |
|    | NMeArg, L = Gly, M = Asp, $R^1$ = H, $R^2$ = H                 |
| 20 |  |
|    | The title compound was prepared using the general              |

The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The DCC/DMAP method was used for attachment of Boc-4-aminomethylbenzoic acid to the oxime resin. The peptide was prepared on a 0.43 mmol scale to give

- 25 The peptide was prepared on a 0.43 mmol scale to give the protected cyclic peptide (212mg, 60.8%). The peptide (200 mg) and 200 mL of *m*-cresol were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether,
- 30 redissolved in aqueous HOAc, and lyophilized to generate the crude peptide as a pale yellow solid (152 mg, 97%; calculated as the acetate salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient

-199-

٠.

of 7 to 22% acetonitrile containing 0.1% TFA. Two peaks were isolated to give isomer #1 (87) (17.1% recovery, overall yield 9.3%) and isomer #2 (88) (13.4% recovery, overall yield 7.3%); FAB-MS: [M+H] = 575.41 (isomer #1; 87); 575.44 (isomer #2; 88).

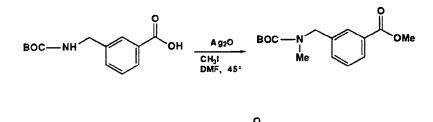
#### <u>R<sup>1</sup> or R<sup>2</sup> Substituted Intermediates</u>

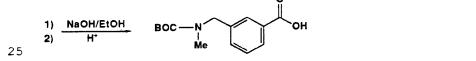
10 Cyclic compound intermediates which incorporate substituents at R<sup>1</sup> or R<sup>2</sup> are synthesized from the corresponding substituted cyclizing moieties. The following Schemes, discussions, and examples teach the preparation of this class of cyclizing moiety and the 15 corresponding cyclic compound intermediates.

## t-Butyloxycarbonyl-N-methyl-3-aminomethylbenzoic Acid (Boc-NMeMamb)

20

The title compound can be prepared according to standard procedures, for examples, as disclosed in Olsen, J. Org. Chem. (1970) 35: 1912), and as shown schematically below.





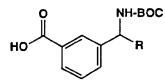
Synthesis of Aminomethylbenzoic Acid Analogs

-200-

\***.** . .

٣

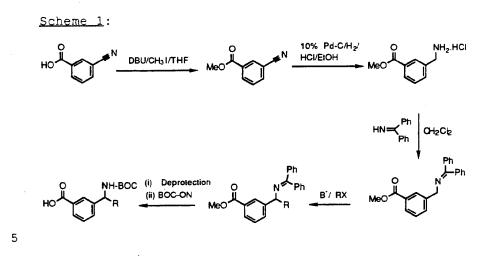
Cyclizing moieties of the formula below may be prepared using standard synthetic procedures, for example, as shown in the indicated reaction schemes shown below.



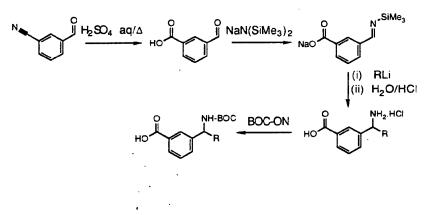
For R = CH3, CH2CH3, CH2CH2CH3, CH2CH2CH2CH3, 10 CH(CH3)2, C(CH3)3, CH(CH3)CH2CH3, benzyl, cyclopentyl, cyclohexyl; see Scheme 1. For R = CH3, CH2CH2CH3, phenyl; see Scheme 2.

For  $R = CH_3$ ,  $CH_2CH_2CH_3$ , phenyl; see Scheme 2. For  $R = CH_3$ , phenyl; see Scheme 3 and 4.

-201-



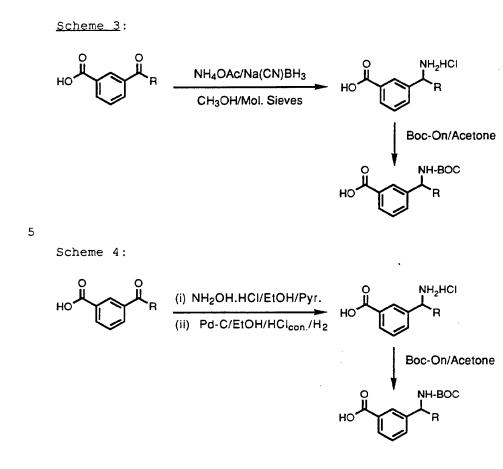
10 <u>Scheme 2</u>:



-202-

ĩ

٠.



10

15

7

## 3-[1'-(t-butyloxycarbonyl)aminolethylbenzoic acid (BOC-MeMAMB)

The title compound for the purpose of this invention was prepared according to the Scheme 4 (above).

3-Acetylbenzoic acid (0.50 g, 3 mmol), hydroxylamine hydrochloride (0.70 g, 10 mmol) and pyridine (0.70 ml, 9 mmol) were refluxed in 10 ml ethanol, for 2 h. Reaction mixture was concentrated, residue triturated with water, filtered and dried. Oxime 20

-203-

λ.

was isolated as a white solid (0.51 g ; 94.4% yield). 1HNMR (CD3OD) 7.45-8.30(m, 4H), 2.30(s, 3H). MS (CH4-CI) [M+H-O] = 164.

A solution of the oxime (0.51 g, 3 mmol) in 5 ethanol, containing 10% Pd on carbon (1.5 g) and conc. HCl (0.25 ml, 3 mmol) was hydrogenated at 30 psi H<sub>2</sub> pressure in a Parr hydrogenator for 5 h. Catalyst was filtered and the filtrate concentrated. Residue was triturated with ether. Amine hydrochloride was isolated

10 as a white solid (0.48 g ; 85.7% yield). <sup>1</sup>HNMR (CD<sub>3</sub>OD) 7.6-8.15(m, 4H), 4.55(q, 1H), 1.70(s, 3H). MS [M+H] = 166.

Amine hydrochloride (0.40 g, 2 mmol) was dissolved in 15 ml water. A solution of BOC-ON (0.52 g, 2.1 mmol)

- 15 in 15 ml acetone was added, followed by the addition of triethylamine (0.8 ml, 6 mmol). Reaction was allowed to proceed for 20 h. Reaction mixture was concentrated, partitioned between ethyl acetate and water. Aqueous layer was acidified to pH 2 using 10% HCl solution.
- 20 Product was extracted in ethyl acetate, which after the usual work up and recrystallization from ethyl acetate/hexane, gave the title compound as a white solid (0.30 g ; 57% yield). m.p. 116-118° C. <sup>1</sup>HNMR (CDCl<sub>3</sub>) 7.35-8.2(m, 4H), 4.6(bs, 1.5H), 1.50(d,

25 3H), 1.40(s, 9H). MS (NH<sub>3</sub>-CI) [M+NH<sub>4</sub>] = 283.

### <u>3-[1'-(t-butyloxycarbonyl)aminolbenzylbenzoic\_acid</u> (BOC-PhMAMB)

30

The title compound for the purpose of this invention was prepared according to the Scheme 4 (above), by the procedure similar to that for the methyl derivative.

-204-

WO 94/22494

٠,

A solution of 3-benzoylbenzoic acid (2.00 g, 9 mmol), hydroxylamine hydrochloride (2.00 g, 29 mmol) and pyridine (2.00 ml, 25 mmol) in ethanol was refluxed for 12 h. After the usual extractive work up, white solid 5 was obtained (2.41 g). The product still contained traces of pyridine, but was used in the next step without further purification. The crude product (2.00 g, ~8 mmol) was dissolved in 200 ml ethanol. 10% Pd-C (2.00 g) and con. HCl (1.3

10 ml, 16 mmol) were added. Reaction mixture was hydrogenated at 30 psi for 1 h. The catalyst was filtered and the reaction mixture concentrated. Upon trituration of the residue with ether and drying under vacuum, amine hydrochloride was obtained as a white solid (2.12 g ; 97% yield). <sup>1</sup>HNMR (CD3OD) 7.4-8.15(m,

10H), 5.75(s, 1H). MS (CH<sub>4</sub>-CI) [M+H-OH] = 211.

Amine hydrochloride (1.00 g, 4 mmol) was converted to its BOC-derivative by a procedure similar to the methyl case. 0.60 g (48% yield) of the recrystallized

20 (from ethanol/hexane) title compound was obtained as a white solid. m.p. 190-192° C. <sup>1</sup>HNMR (CD3OD) 7.2-8.0(m, 10H), 5.90 (2s, 1H, 2 isomers), 1.40(s, 9H). MS (NH3-CI) [M+NH4-C4H8] = 289

25

#### Cyclic Compound Intermediates 68 and 68a

30

-

MeMAMB cyclizing moiety was prepared via Scheme 4 (described earlier). The title compound was made by following the solution phase synthetic route to attach MeMAMB to the tripeptide. Cyclization gave the protected 35 cyclic peptide. Deprotection was achieved by treatment

-205-

#### WO 94/22494

15

of the peptide (390 mg) and anisol (0.390 ml) with anhydrous HF at  $0^{\circ}$ C for 30 minutes. The crude material was precipitated with ether, redissolved in 10% aqueous acetic acid, and lyophilized to give a mixture of the

- 5 two isomers (330 mg; greater than quantitative yield; calculated as the acetate salt). Purification and the separation of the isomers was accomplished by Reverse-Phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.48%/min gradient of 7 to 23% acetonitrile 10 containing 0.1% TFA. Fractions collected at Rf 24.1 min
  - and 26.8 min were lyophilized to give the TFA salts of the isomers 1 and 2 respectively. FAB-MS (Isomer 1): [M+H] = 589.31; FAB-MS (isomer 2): [M+H] = 589.31.

Cyclic Compound Intermediates 76 and 76a cyclo-(D-Val-NMeArg-Gly-Asp-PhMamb); the compound of formula (II) wherein J = D-Val, K = NMeArg, L = Gly, M = Asp, R<sup>1</sup> = Ph, R<sup>2</sup> = H

- 20 PhMAMB cyclizing moiety was prepared via Scheme 4 (described earlier). The title compound was made by following the solution phase synthetic route to attach PhMAMB to the tripeptide. Cyclization gave the protected cyclic peptide. Deprotection was achieved by treatment
- of the peptide (470 mg) and anisol (0.470 ml) with anhydrous HF at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in 10% aqueous acetic acid, and lyophilized to give a mixture of the two isomers (310 mg; 82.4% overall recovery).
- 30 Purification and the separation of the isomers was accomplished by Reverse-Phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.55%/min gradient of 18 to 36% acetonitrile containing 0.1% TFA. Fractions collected at Rf 22 min and 24.6 min were lyophilized to

-206-

۴.

give the TFA salts of the isomers 1 and 2 respectively. FAB-MS (Isomer 1): [M+H] = 651.33; FAB-MS (isomer 2): [M+H] = 651.33.

- 5 <u>Cyclic Compound Intermediate 79</u> cyclo-(D-Val-NMeArg-Gly-Asp-NMeMamb); the compound of formula (II) wherein J = D-Val, K = NMeArg, L = Gly, M = Asp, R<sup>1</sup> = H, R<sup>2</sup> = CH<sub>3</sub>
- 10 The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-NMeMamb to the oxime resin. The peptide was prepared on a 0.456 mmol
- 15 scale to give the protected cyclic peptide (406 mg, greater than quantitative yield). The peptide (364 mg) and 0.364 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in
- 20 aqueous acetonitrile, and lyophilized to generate the title compound (251 mg, 93.5%; calculated as the fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 9 to 18%
- 25 acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (34.2% recovery, overall yield 29.9%); FAB-MS: [M+H] = 589.33.

30

5

#### <u>Ring-Substituted R<sup>31</sup> Cyclizing Moieties</u>

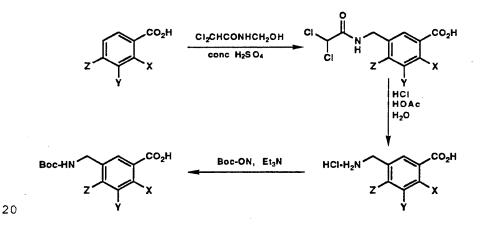
-207-

WO 94/22494

Cyclizing moieties possessing an aromatic ring that bears a substituent group may be prepared using the methods taught in the following examples and Schemes.

5 Synthesis of 4, 5, and 6-Substituted 3-Aminomethylbenzoic Acid•HCl, and 4, 5, and 6-Substituted t-Butyloxycarbonyl-3-aminomethylbenzoic Acid Derivatives

- 4, 5, and 6-Substituted 3-aminomethylbenzoic
  acid•HCl, and 4, 5, and 6-substituted tbutyloxycarbonyl-3-aminomethylbenzoic acid derivatives useful as intermediates in the synthesis of the compounds of the invention are prepared using standard procedures, for example, as described in Felder et al
- 15 Helv. Chim. Acta, 48: 259 (1965); de Diesbach Helv. Chim. Acta, 23: 1232 (1949); Truitt and Creagn J. Org. Chem., 27: 1066 (1962); or Sekiya et al Chem. Pharm. Bull., 11: 551 (1963), and as shown schematically below.



Synthesis of 4-Chloro-3-aminomethylbenzoic Acid • HCl

The title compound was prepared by modification of 25 procedures previously reported in the literature (Felder ٠,

~

5

|    | et al (1965) Helv. Chim. Acta, 48: 259). To a solution   |
|----|--|
|    | of 4-chlorobenzoic acid (15.7 g, 100 mmol) in 150 ml of  |
|    | concentrated sulfuric acid was added N-hydroxymethyl   |
|    | dichloroacetamide (23.7 g, 150 mmol) in portions. The  |
| 5  | reaction mixture was stirred at room temperature for 2   |
|    | days, poured onto 375 g of ice, stirred for 1 hour, the  |
|    | solid was collected by filtration, and washed with $H_2O$ .  |
|    | The moist solid was dissolved in 5% sodium bicarbonate   |
|    | solution, filtered, and acidified to pH 1 with   |
| 10 | concentrated HCl. The solid was collected by filtration,   |
|    | washed with H <sub>2</sub> O, and air-dryed overnight to give 4-   |
|    | chloro-3-dichloroacetylaminomethylbenzoic acid (26.2 g,  |
|    | 89%) as a white powder.  |
|    |  |
| 15 | A suspension of 4-chloro-3-  |
|    | dichloroacetylaminomethylbenzoic acid (26.2 g, 88 mmol)  |
|    | in 45 ml of acetic acid, 150 ml of concentrated HCl, and   |
|    | 150 ml of H <sub>2</sub> O was heated to reflux for 3 hours, filtered  |
|    |  |
|    | while hot, and allowed to cool to room temperature. The  |
| 20 |  |
| 20 | while hot, and allowed to cool to room temperature. The  |
| 20 | while hot, and allowed to cool to room temperature. The<br>solid was collected by filtration, washed with ether,<br>washed with acetone-ether, and air-dryed overnight to<br>give the title compound (7.6 g, 39%) as off-white   |
| 20 | while hot, and allowed to cool to room temperature. The<br>solid was collected by filtration, washed with ether,<br>washed with acetone-ether, and air-dryed overnight to  |
| 20 | while hot, and allowed to cool to room temperature. The<br>solid was collected by filtration, washed with ether,<br>washed with acetone-ether, and air-dryed overnight to<br>give the title compound (7.6 g, 39%) as off-white   |
| 20 | while hot, and allowed to cool to room temperature. The<br>solid was collected by filtration, washed with ether,<br>washed with acetone-ether, and air-dryed overnight to<br>give the title compound (7.6 g, 39%) as off-white<br>crystals. mp 278-9°C; <sup>1</sup> H NMR (D6-DMSO) 13.40 (br s, 1H),   |
|    | while hot, and allowed to cool to room temperature. The solid was collected by filtration, washed with ether, washed with acetone-ether, and air-dryed overnight to give the title compound (7.6 g, 39%) as off-white crystals. mp $278-9^{\circ}$ C; <sup>1</sup> H NMR (D <sub>6</sub> -DMSO) 13.40 (br s, 1H), 8.75 (br s, 3H), 8.20 (s, 1H), 7.95 (dd, 1H), 7.70 (d, 1H), 4.20 (br s, 2H). |
|    | while hot, and allowed to cool to room temperature. The solid was collected by filtration, washed with ether, washed with acetone-ether, and air-dryed overnight to give the title compound (7.6 g, 39%) as off-white crystals. mp $278-9^{\circ}$ C; <sup>1</sup> H NMR (D <sub>6</sub> -DMSO) 13.40 (br s, 1H), 8.75 (br s, 3H), 8.20 (s, 1H), 7.95 (dd, 1H), 7.70 (d,                       |
|    | while hot, and allowed to cool to room temperature. The solid was collected by filtration, washed with ether, washed with acetone-ether, and air-dryed overnight to give the title compound (7.6 g, 39%) as off-white crystals. mp $278-9^{\circ}$ C; <sup>1</sup> H NMR (D <sub>6</sub> -DMSO) 13.40 (br s, 1H), 8.75 (br s, 3H), 8.20 (s, 1H), 7.95 (dd, 1H), 7.70 (d, 1H), 4.20 (br s, 2H). |

•

A suspension of 4-chloro-3-aminomethylbenzoic 30 acid·HCl (6.7 g, 30 mmol) and triethylamine (9.3 g, 92 mmol) in 50 ml of H2O, was added to a solution of Boc-ON (9.2 g, 38 mmol) in 50 ml of tetrahydrofuran cooled to 0°C. The reaction mixture was stirred at room temperature overnight, and the volatile compounds were

-209-

removed by concentration under reduced pressure. The residue was diluted with H<sub>2</sub>O, washed with ether, acidified to pH 3 with 1N HCl, and extracted with ethyl acetate. The extracts were washed with H<sub>2</sub>O, brine, dried

5 over anhydrous magnesium sulfate, and evaporated to dryness under reduced pressure. This material was triturated with ether-hexane to provide the title compound (7.4 g, 87%) as a white powder. mp 159°C (dec); 1<sub>H NMR</sub> (D<sub>6</sub>-DMSO) 13.20 (br s, 1H), 7.90 (s, 1H), 7.80 10 (dd, 1H), 7.60 (br s, 1H), 7.55 (d, 1H), 4.20 (br d,

2H), 1.40 (s, 9H).

#### Synthesis of 3-Aminomethyl-6-iodobenzoic Acid·HCl

The title compound was prepared by modification of 15 procedures previously reported in the literature (Felder et al. (1965) Helv. Chim. Acta, 48: 259). To a solution of 6-iodobenzoic acid (24.8 g, 100 mmol) in 150 ml of concentrated sulfuric acid was added Nhydroxymethyl dichloroacetamide (23.7 g, 150 mmol) in

- 20 portions. The reaction mixture was stirred at room temperature for 7 days, poured onto 375 g of ice, and stirred for 1 hour. The solid was then collected by filtration, and washed with H<sub>2</sub>O. The moist solid was dissolved in 5% sodium bicarbonate solution, filtered,
- 25 and acidified to pH 1 with concentrated HCl. The solid was collected by filtration, washed with H<sub>2</sub>O, and airdried overnight to give 3-dichloroacetyl-aminomethyl-6iodobenzoic acid (32.0 g, 82%) as a white powder.

A suspension of 3-dichloroacetylaminomethyl-6-30 iodobenzoic acid (32.0 g, 82 mmol) in 51 ml of acetic acid, 170 ml of concentrated HCl, and 125 ml ofH2Owas heated to reflux for 3 hours, and filtered while hot, and allowed to cool to room temperature. The solid was collected by filtration, washed with ether, washed with

-210-

۰,

5

#### PCT/US94/03256

acetone-ether, and air-dried overnight to give the title compound (13.2 g, 51%) as a beige powder; 1H NMR (D6-DMSO) 13.50 (br s, 1H), 8.50 (br s, 3H), 8.05 (d, 1H), 7.85 (s, 1H), 7.40 (d, 1H), 4.05 (br s, 2H).

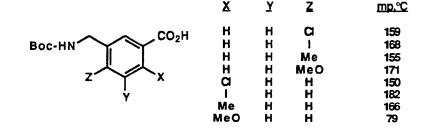
t-Butyloxycarbonyl-3-Aminomethyl-6-Iodobenzoic Acid

A suspension of 3-aminomethyl-6-iodobenzoic acid•HCl (8.0 g, 26 mmol) and triethylamine (8.7 g, 86 mmol) in 32 ml of H2O, was added to a solution of Boc-ON
10 (8.0 g, 32 mmol) in 23 ml of tetrahydrofuran cooled to O°C. The reaction mixture was stirred at room temperature for overnight, and the volatile compounds were removed by concentration under reduced pressure. The residue was diluted with H2O, washed with ether,

- 15 acidified to pH 3 with 1N HCl, and extracted with ethyl acetate. The extracts were washed with H2O, brine, dried over anhydrous magnesium sulfate, and evaporated to dryness under reduced pressure. This material was triturated from ether to provide the title compound (5.7
- 20 g, 59%) as a white powder; mp 182°C (dec); 1H NMR (D6-DMSO) 13.35 (br s, 1H), 7.95 (d, 1H), 7.60 (s, 1H), 7.50 (br t, 1H), 7.10 (d, 1H), 4.10 (d, 2H), 1.40 (s, 9H).

Other examples of ring-substituted R<sup>31</sup> cyclizing 25 moieties prepared using the general procedure described above for t-butyloxycarbonyl-3-aminomethyl-6-iodobenzoic acid are tabulated below.

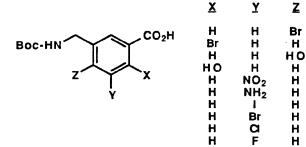
-211-



4-Bromo and 6-Bromo derivatives useful as

- 5 intermediates in the synthesis of the compounds of the invention may be prepared as described above for tbutyloxycarbonyl-3-aminomethyl-6-iodobenzoic acid. 4-Hydroxy and 6-Hydroxy derivatives useful as intermediates in the synthesis of the compounds of the
- 10 invention may be prepared as described in Sekiya et al Chem. Pharm. Bull., 11: 551 (1963). 5-Nitro and 5-Amino derivatives useful as intermediates in the synthesis of the compounds of the invention may be prepared as described in Felder et al Helv. Chim. Acta, 48: 259
- 15 (1965). The 5-amino derivative may be converted to the 5-iodo, 5-bromo, 5-chloro, or 5-fluoro derivatives via the diazonium salt as described in Org. Syn. Coll. Vol., 2: 130 (1943); 2: 299 (1943); 2: 351 (1943); and 3: 185 (1955).

20



-212-

:

۰,

## Synthesis of Cyclic Compound Intermediates Using Ring Substituted R<sup>31</sup> Cyclizing Moieties.

Cyclic compound intermediates in which the 5 cyclizing moiety contains an aromatic ring bearing a substituent group may be prepared as taught in the following examples.

## <u>Cyclic Compound Intermediate 93</u> cyclo-(D-Val-NMeArg-Gly-Asp-3-aminomethyl-4chlorobenzoic acid)</u>

The title compound was prepared by the general solution-phase procedure described above for cyclo-(D-

- 15 Val-NMeArg-Gly-Asp-Mamb). The cyclic peptide (240 mg, 0.28 mmol) was deprotected with excess HF in the presence of anisole as scavenger. Purification was accomplished by reversed-phase HPLC on a preparative LiChrospher RP-18 column (5 cm) using a 1.4% / minute
- 20 gradient of 22 to 90% acetonitrile containing 0.1% trifluoroacetic acid to give the TFA salt of the title compound (80 mg, 39%) as a fluffy white solid; <sup>1</sup>H NMR (D6-DMSO) 9.00 (d, 1H), 8.50 (d, 1H), 8.45 (t, 1H), 7.60 (d, 2H), 7.45 (s, 1H), 7.45 (d, 2H), 7.00 (br s, 4H),
- 25 5.15 (dd, 1H), 4.45 (m, 2H), 4.20 (m, 2H), 4.10 (d, 1H), 3.55 (d, 1H), 3.10 (m, 2H), 2.90 (s, 3H), 2.65 (dd, 1H), 2.50 (m, 1H), 2.05 (m, 2H), 1.50 (m, 1H), 1.30 (m, 2H), 1.05 (d, 3H), 0.85 (d, 3H); FAB-MS: [M+H] = 609.

-213-

#### WO 94/22494

PCT/US94/03256

#### Cyclic Compound Intermediate 94

 $\label{eq:cyclo-(D-Val-NMeArg-Gly-Asp-iodo-Mamb);} the compound of formula (VII) wherein J = D-Val, K 5 = NMeArg, L = Gly, M = Asp, R^1 = R^2 = H, R^{10} = H, R^{10a} = I$ 

The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-

- 10. Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-iodo-Mamb to the oxime resin. The peptide was prepared on a 1.05 mmol scale to give the protected cyclic peptide (460 mg, 46.8%). The peptide (438 mg) and 0.5 mL of anisole were
- 15 treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous acetic acid, and lyophilized to generate the title compound (340 mg, 95.6%; calculated as the acetate salt). Purification
- 20 was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23%/ min. gradient of 12.6 to 22.5% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (39.7% recovery,

25 overall yield 16.6%); 1H NMR (D6-DMSO) ∂ 9.05 (d, 1H), 8.55 (d, 1H), 8.55 (t, 1H), 7.90 (d, 1H), 7.65 (d, 1H), 7.55 (t, 1H), 7.20 (d, 1H), 7.15 (s, 1H),7.00 (br s, 4H), 5.15 (dd, 1H), 4.50 (g, 1H), 4.30 (m, 3H), 3.95 (dd, 1H), 3.60 (d, 1H),

30 3.10 (m, 2H), 3.00 (s, 3H), 2.75 (dd, 1H), 2.55 (dd, 1H), 2.10 (m, 2H), 1.60 (m, 1H), 1.35 (m, 2H), 1.10 (d, 3H), 0.90 (d, 3H); FAB-MS: [M+H] = 701.37.

-214-

#### PCT/US94/03256

30

۰.

•

## <u>Cyclic Compound Intermediate 95</u> <u>cyclo-(D-Val-NMeArg-Gly-Asp-3-aminomethyl-4-</u> <u>methoxybenzoic acid</u>)

- 5 The title compound was prepared by the general solution-phase procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The cyclic peptide (600 mg, 0.71 mmol) was deprotected with excess HF in the presence of anisole as scavenger. Purification was
- 10. accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.33% / minute gradient of 7 to 18% acetonitrile containing 0.1% trifluoroacetic acid to give the TFA salt of the title compound (104 mg, 32%) as a fluffy white solid; <sup>1</sup>H NMR
- 15 (D6-DMSO) 12.40 (br s, 1H), 8.25 (d, 1H), 8.20 (br s, 1H), 8.00 (br s, 2H), 7.85 (d, 1H), 7.75 (s, 1H), 7.65 (br s, 1H), 7.05 (d, 1H), 7.05 (br s, 4H), 5.00 (dd, 1H), 4.60 (q, 1H), 4.30 (d, 1H), 4.25 (d, 2H), 3.85 (s, 3H), 3.85 (dd, 1H), 3.70 (dd, 1H), 3.10 (q, 2H), 3.00 20 (s, 3H), 2.70 (m, 1H), 2.50 (m, 1H), 2.10 (m, 1H), 1.90
- (m, 1H), 1.65 (m, 1H), 1.35 (m, 2H), 1.00 (d, 3H), 0.90 (d, 3H); FAB-MS:  $[M+H_2O+H] = 623$ .

# Cyclic Compound Intermediate 9625cyclo-(D-Val-NMeArg-Gly-Asp-3-aminomethyl-4-<br/>methylbenzoic acid)

The title compound was prepared by the general solution-phase procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The cyclic peptide (210 mg, 0.25 mmol) was deprotected with excess HF in the presence of anisole as scavenger. Purification was accomplished by reversed-phase HPLC on a preparative LiChrospher RP-18 column (5 cm) using a 2.3% / minute

-215-

PCT/US94/03256

. '

gradient of 22 to 90% acetonitrile containing 0.1%
trifluoroacetic acid to give the TFA salt of the title
compound (75 mg, 42%) as a fluffy white solid; <sup>1</sup>H NMR
(D6-DMSO) 12.30 (br s, 1H), 8.85 (d, 1H), 8.55 (d, 1H),
5 8.30 (t, 1H), 7.75 (d, 1H), 7.55 (m, 2H), 7.40 (s, 1H),
7.20 (s, 1H), 7.00 (br s, 4H), 5.20 (dd, 1H), 4.55 (q,
1H), 4.45 (dd, 1H), 4.30 (m, 2H), 4.05 (dd, 1H), 3.60
(d, 1H), 3.10 (q, 2H), 3.00 (s, 3H), 2.70 (dd, 1H), 2.50
(m, 1H), 2.25 (s, 3H), 2.10 (m, 2H), 1.60 (m, 1H), 1.35
10. (m, 2H), 1.10 (d, 3H), 0.90 (d, 3H); FAB-MS: [M+H] =
589.

## <u>Cyclic Compound Intermediate 97</u> <u>cyclo-(D-Val-NMeArg-Gly-Asp-3-aminomethyl-6-</u> <u>chlorobenzoic acid)</u>

15

The title compound was prepared by the general solution-phase procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb), except that 4,4'-

20 dinitrobenzophenone oxime was employed. The cyclic peptide (550 mg, 0.65 mmol) was deprotected with excess HF in the presence of anisole as scavenger. Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.8% / minute gradient

25 of 10 to 38% acetonitrile containing 0.1% trifluoroacetic acid to give the TFA salt of the title compound (254 mg, 54%) as a fluffy white solid; <sup>1</sup>H NMR (D<sub>6</sub>-DMSO) 12.30 (br s, 1H), 9.05 (d, 1H), 8.45 (m, 2H), 7.50 (t, 1H), 7.35 (d, 1H), 7.30 (m, 2H), 7.10 (s, 1H),

30 7.05 (br s, 4H), 5.15 (dd, 1H), 4.45 (dd, 1H), 4.40 (q, 2H), 4.05 (dt, 2H), 3.55 (dd, 1H), 3.15 (q, 2H), 3.10 (s, 3H), 2.70 (dd, 1H), 2.50 (m, 1H), 2.05 (m, 2H), 1.65 (m, 1H), 1.35 (m, 2H), 1.10 (d, 3H), 0.90 (d, 3H); FAB-MS: [M+H] = 609.

-216-

1

30

٩.

٠.

## Cyclic Compound Intermediate 99 cyclo-(D-Val-NMeArg-Gly-Asp-3-aminomethyl-6methoxybenzoic acid)

5 The title compound was prepared by the general solution-phase procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb), except that 4,4'dinitrobenzophenone oxime was employed. The cyclic peptide (256 mg, 0.30 mmol) was deprotected with excess

- 10. HF in the presence of anisole as scavenger. Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.8% / minute gradient of 10 to 38% acetonitrile containing 0.1% trifluoroacetic acid to give the TFA salt of the title
- 15 compound (137 mg, 63%) as a fluffy white solid; <sup>1</sup>H NMR (D6-DMSO) 8.45 (d, 1H), 8.40 (d, 1H), 8.30 (t, 1H), 7.65 (d, 1H), 7.50 (t, 1H), 7.40 (s, 1H), 7.35 (d, 1H), 7.05 (d, 1H), 7.00 (br s, 4H), 5.20 (dd, 1H), 4.55 (dd, 1H), 4.50 (q, 1H), 4.35 (dd, 1H), 4.25 (dd, 1H), 3.95 (dd,
- 20 1H), 3.90 (s, 3H), 3.55 (d, 1H), 3.10 (q, 2H), 3.00 (s, 3H), 2.70 (dd, 1H), 2.50 (m, 1H), 2.05 (m, 2H), 1.60 (m, 1H), 1.35 (m, 2H), 1.10 (d, 3H), 0.95 (d, 3H); FAB-MS: [M+H] = 605.

## <u>Cyclic Compound Intermediate 100</u> cyclo-(D-Val-NMeArg-Gly-Asp-3-aminomethyl-6methylbenzoic acid)

The title compound was prepared by the general solution-phase procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb), except that 4,4'dinitrobenzophenone oxime was employed. The cyclic peptide (230 mg, 0.28 mmol) was deprotected with excess HF in the presence of anisole as scavenger. Purification

-217-

WO 94/22494

was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.8% / minute gradient of 10 to 38% acetonitrile containing 0.1%

- trifluoroacetic acid to give the TFA salt of the title 5 compound (54 mg, 27%) as a fluffy white solid; <sup>1</sup>H NMR (D6-DMSO) 12.30 (br s, 1H), 8.80 (d, 1H), 8.40 (d, 1H), 8.30 (t, 1H), 7.45 (m, 2H), 7.15 (q, 2H), 7.00 (s, 1H), 7.00 (br s, 4H), 5.15 (dd, 1H), 4.45 (m, 3H), 4.05 (m, 2H), 3.55 (dd, 1H), 3.10 (q, 2H), 3.05 (s, 3H), 2.70 10 (dd, 1H), 2.50 (m, 1H), 2.30 (s, 3H), 2.05 (m, 2H), 1.60
  - (m, 1H), 1.35 (m, 2H), 1.05 (d, 3H), 0.90 (d, 3H); FAB-MS: [M+H] = 589.

## Cyclic Compound Intermediate 100a cyclo-(D-Abu-NMeArg-Gly-Asp-3-aminomethyl-6chlorobenzoic acid)

20

15

The title compound was prepared by the general solution-phase procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb), except that 4,4'dinitrobenzophenone oxime was employed. The cyclic peptide (330 mg, 0.40 mmol) was deprotected with excess HF in the presence of anisole as scavenger. Purification was accomplished by reversed-phase HPLC on a preparative

- 25 Vydac C18 column (2.5 cm) using a 1.0% / minute gradient of 10 to 38% acetonitrile containing 0.1% trifluoroacetic acid to give the TFA salt of the title compound (114 mg, 41%) as a fluffy white solid; <sup>1</sup>H NMR (D6-DMSO) 9.00 (d, 1H), 8.40 (m, 2H), 7.50 (m, 1H), 7.40
- 30 (d, 1H), 7.30 (m, 2H), 7.15 (s, 1H), 7.00 (br s, 4H), 5.15 (dd, 1H), 4.65 (q, 1H), 4.50 (dd, 1H), 4.40 (q, 1H), 4.05 (dd, 1H), 3.95 (dd, 1H), 3.65 (dd, 1H), 3.10 (q, 2H), 3.05 (s, 3H), 2.75 (dd, 1H), 2.50 (m, 1H), 1.95

-218-

PCT/US94/03256

(m, 1H), 1.75 (m, 2H), 1.60 (m, 1H), 1.35 (m, 2H), 0.95 (t, 3H); FAB-MS: [M+H] = 595.4.

Cyclic Compound Intermediate 89d

cyclo-(D-Abu-NMeArg-Gly-Asp-iodo-Mamb); the compound of formula (VII) wherein J = D-Abu, K = NMeArg, L = Gly, M = Asp, R<sup>1</sup> = R<sup>2</sup> = H, R<sup>10</sup> = H, R<sup>10a</sup> = I

10

5

•

The title compound was prepared using the general procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-iodo-Mamb

- 15 to the oxime resin. The peptide was prepared on a 3.53 mmol scale to give the protected cyclic peptide (4.07 g, greater than quantitative yield). The peptide (4.07 g) and 4.0 mL of anisole were treated with anhydrous hydrogen fluoride at  $0^{\circ}$ C for 30 minutes. The crude
- 20 material was precipitated with ether, redissolved in aqueous acetic acid, and lyophilized to generate the title compound (2.97 g, greater than quantitative yield; calculated as the acetate salt). Purification was accomplished by reversed-phase HPLC on a preparative
- 25 Vydac C18 column (2.5 cm) using a 0.16%/ min. gradient of 16.2 to 22.5% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (28.7% recovery, overall yield 30.2%); FAB-MS: [M+H] = 687.33.

30

Cyclic Compound Intermediate 100b cvclo-(D-Abu-NMeArg-Gly-Asp-3-aminomethyl-6-iodobenzoic

<u>acid)</u>

-219-

WO 94/22494

The title compound was prepared by the general solution-phase procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb), except that 4,4'- dinitrobenzophenone oxime was employed. The cyclic

- 5 peptide (350 mg, 0.38 mmol) was deprotected with excess HF in the presence of anisole as scavenger. Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 1.0% / minute gradient of 10 to 38% acetonitrile containing 0.1%
- 10 trifluoroacetic acid to give the TFA salt of the title compound (150 mg, 49%) as a fluffy white solid; <sup>1</sup>H NMR (D<sub>6</sub>-DMSO) 8.90 (d, 1H), 8.40 (m, 2H), 7.70 (d, 1H), 7.50 (m, 1H), 7.30 (m, 1H), 7.05 (s, 1H), 7.00 (d, 1H), 7.00 (br s, 4H), 5.15 (dd, 1H), 4.65 (q, 1H), 4.45 (dd, 1H), 15 4.40 (q, 1H), 4.00 (q, 1H), 3.90 (q, 1H), 3.65 (dd, 1H), 3.10 (q, 2H), 3.05 (s, 3H), 2.70 (dd, 1H), 2.50 (m, 1H), 1.95 (m, 1H), 1.75 (m, 2H), 1.60 (m, 1H), 1.40 (m, 2H), 0.95 (t, 3H); FAB-MS: [M+H] = 687.3.

## <u>Cyclic Compound Intermediate 100c</u> cyclo-(D-Abu-NMeArg-Gly-Asp-3-aminomethyl-6methylbenzoic acid)

(the compound of formula (VII) wherein J = D-Abu, K = NMeArg, L = Gly, M = Asp,  $R^{10} = Me$ )

25

20

The title compound was prepared by the general solution-phase procedure described above for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb), except that 4,4'- dinitrobenzophenone oxime was employed. The cyclic

30 peptide (130 mg, 0.16 mmol) was deprotected with excess HF in the presence of anisole as scavenger. Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 1.0% / minute gradient of 10 to 38% acetonitrile containing 0.1%

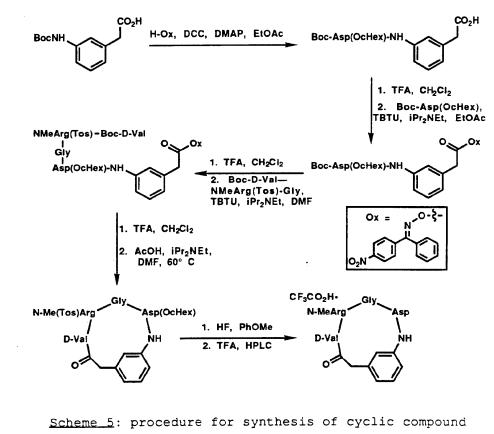
ŝ

trifluoroacetic acid to give the TFA salt of the title compound (31 mg, 28%) as a fluffy white solid; <sup>1</sup>H NMR (D<sub>6</sub>-DMSO) 8.70 (d, 1H), 8.40 (d, 1H), 8.30 (t, 1H), 7.50 (m, 1H), 7.45 (m, 1H), 7.15 (q, 2H), 7.05 (s, 1H), 7.00 (br s, 4H), 5.15 (dd, 1H), 4.65 (q, 1H), 4.45 (m, 2H), 4.00 (m, 2H), 3.65 (dd, 1H), 3.10 (q, 2H), 3.05 (s, 3H), 2.75 (dd, 1H), 2.50 (m, 1H), 2.30 (s, 3H), 2.00 (m, 1H), 1.75 (m, 2H), 1.60 (m, 1H), 1.35 (m, 2H), 0.95 (t, 3H); FAB-MS: [M+H] = 575.4.

10

5

۲.



15

\*

-221-

intermediate.

# Solid-Phase Synthesis of Cyclic Compound Intermediate 101

# cyclo-(D-Val-NMeArg-Gly-Asp-3-aminomethyl-4-iodobenzoic

Acid)

The title compound was prepared using the general procedure previously described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb). The DCC/DMAP method was used for attachment of Boc-iodo-Mamb to the oxime resin. The

- 10 peptide was prepared on a 1.05 mmol scale to give the protected cyclic peptide (460 mg, 46.8%). The peptide (438 mg) and 0.5 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved
- 15 in aqueous acetic acid, and lyophilized to generate the title compound (340 mg, 95.6%; calculated as the acetate salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.23% / minute gradient of 12.6 to 22.5% acetonitrile
- 20 containing 0.1% trifluoroacetic acid and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (39.7% recovery, overall yield 16.6%; <sup>1</sup>H NMR (D6-DMSO) d 9.05 (d, 1H), 8.55 (d, 1H), 8.55 (t, 1H), 7.90 (d, 1H), 7.65 (d, 1H), 7.55 (t, 1H), 7.20 (d, 1H), 7.15 (s, 1H), 7.00 (br s, 4H), 5.15 (dd, 1H), 4.50 (q, 1H), 4.30 (m, 3H), 3.95 (dd, 1H), 3.60 (d, 1H), 3.10 (m, 2H), 3.00 (s, 3H), 2.75 (dd, 1H), 2.55 (dd, 1H), 2.10 (m, 2H), 1.60 (m, 1H), 1.35 (m, 2H), 1.10 (d, 3H), 0.90 (d, 3H); FAB-MS: [M+H] = 701.37.

30

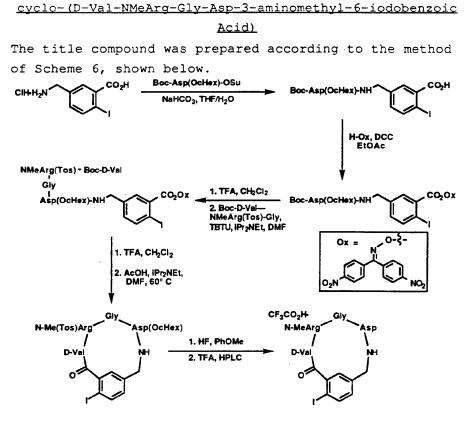
#### Solution-Phase Synthesis of Cyclic Compound Intermediate

<u>102</u>

-222-

٢,

۲.



<u>Scheme 6</u>

#### 1. Boc-Asp(OcHex)-3-aminomethyl-6-iodobenzoic Acid

- To a suspension of 3-aminomethyl-6-iodobenzoic 10 acid•HCl (4.9 g, 16 mmol) in H2O (16 ml) was added NaHCO3 (3.9 g, 47 mmol), followed by a solution of Boc-Asp(OcHex)-OSu (5.9 g, 14 mmol) in THF (16 ml). The reaction mixture was stirred at room temperature overnight, filtered, diluted with H2O, acidified with 1N 15 HCl, and extracted with ethyl acetate. The extracts were
  - washed with H<sub>2</sub>O, brine, dried over anhydrous magnesium sulfate, and evaporated to dryness under reduced pressure. This material was triturated with ether to

-223-

provide the title compound (6.7 g, 82%) as a white powder. <sup>1</sup>H NMR d (D<sub>6</sub>-DMSO) 8.45 (br t, 1H), 7.90 (d, 1H), 7.60 (s, 1H), 7.15 (m, 2H), 4.65 (m, 1H), 4.35 (m, 1H), 4.25 (d, 2H), 2.70 (m, 1H), 2.55 (m, 1H), 1.70 (m, 5 4H), 1.40 (s, 9H), 1.35 (m, 6H).

#### 2. <u>4,4'-Dinitrobenzophenone Oxime</u>

The title compound was prepared by modification of procedures previously reported in the literature (Chapman and Fidler (1936) J. Chem. Soc, 448; Kulin and

- 10 Leffek (1973) Can. J. Chem., 51: 687). A solution of chromic anhydride (20 g, 200 mmol) in 125 ml of H<sub>2</sub>O was added dropwise over 4 hours, to a suspension of bis(4nitrophenyl)methane (25 g, 97 mmol) in 300 ml of acetic acid heated to reflux. The reaction mixture was heated
- 15 at reflux for 1 hour, cooled to room temperature, and poured into water. The solid was collected by filtration, washed with H<sub>2</sub>O, 5% sodium bicarbonate, H<sub>2</sub>O, and air-dryed to provide a 1:1 mixture of bis(4nitrophenyl)methane/4,4'-dinitrobenzophenone via <sup>1</sup>H NMR.
- 20 This material was oxidized with a second portion of chromic anhydride (20 g, 200 mmol), followed by an identical work-up procedure to provide the crude product. Trituration with 200 ml of benzene heated to reflux for 16 hours provided 4,4'-dinitrobenzophenone

25 (20.8 g, 79%) as a yellow powder.

A solution of hydroxylamine hydrochloride (10.2 g, 147 mmol) was added to a suspension of 4,4'dinitrobenzophenone (19 g, 70 mmol) in 100 ml of ethanol. The reaction mixture was heated to reflux for 2

30 hours, cooled to room temperature, and the solid collected by filtration. Recrystallization from ethanol provided the title compound (14.0 g, 70%) as pale yellow crystals. mp 194°C; <sup>1</sup>H NMR (D<sub>6</sub>-DMSO) d 12.25 (s, 1H), 8.35 (d, 2H), 8.20 (d, 2H), 7.60 (d, 4H).

-224-

z

٠.

1

## 3. <u>4,4'-Dinitrobenzophenone Oxime Boc-Asp(OcHex)-3-</u> aminomethyl-6-iodobenzoate

To an ice-cooled solution of Boc-Asp(OcHex)-3aminomethyl-6-iodobenzoic acid (3.3 g, 5.7 mmol) and

- 5 4,4'-dinitrobenzophenone oxime (1.7 g, 5.9 mmol) in 32 ml of ethyl acetate was added DCC (1.2 g, 5.8 mmol). The reaction mixture was stirred at room temperature for 3 hours, filtered, diluted with ethyl acetate, washed with saturated sodium bicarbonate solution, H<sub>2</sub>O, brine, dried
- 10 over anhydrous magnesium sulfate, and evaporated to dryness under reduced pressure. This material was purified by column chromatography on silica gel (EM Science, 230-400 mesh) using 10:1 dichloromethane/ethyl acetate to give the title compound (1.8 g, 36%) as pale
- 15 yellow crystals. <sup>1</sup>H NMR (D<sub>6</sub>-DMSO) d 8.40 (dd, 5H), 7.90 (m, 5H), 7.45 (s, 1H), 7.20 (m, 2H), 4.65 (m, 1H), 4.35 (m, 1H), 4.20 (m, 2H), 2.75 (dd, 1H), 2.50 (dd, 1H), 1.70 (m, 4H), 1.40 (s, 9H), 1.35 (m, 6H). 4. <u>Boc-D-Val-NMeArg(Tos)-Gly</u>
- 20

To a mixture of Boc-NMeArg(Tos) (11.07 g, 25 mmol), and Gly-OBzl tosylate (10.10 g, 30 mmol) in 25 ml of dichloromethane was added HETU (9.48 g, 25 mmol) and DIEA (9.69 g, 75 mmol). The reaction mixture was stirred at room temperature for 1 hour, concentrated under high

- 25 vacuum, diluted with ethyl acetate, washed with 5% citric acid, H<sub>2</sub>O, saturated sodium bicarbonate solution, brine, dried over anhydrous magnesium sulfate, and evaporated to dryness under reduced pressure. The resulting oil was triturated with petroleum ether to 30 provide Boc-NMeArg(Tos)-Gly-OBzl (14.7 g, 100%); FAB-MS:
  - [M+H] = 590.43. This material was used without further purification.

A solution of Boc-NMeArg(Tos)-Gly-OBzl (14.5 g, 24.6 mmol) in 30 ml of trifluoroacetic acid was stirred

-225-

WO 94/22494

at room temperature for 5 minutes, and evaporated to dryness under reduced pressure. The oily residue was diluted with cold ethyl acetate, washed with cold saturated sodium bicarbonate solution, the aqueous phase

5 was extracted with ethyl acetate. The combined organics were washed with brine, evaporated to dryness under reduced pressure, and the resulting oil triturated with ether. The resulting solid was filtered, washed with ether, and dried in a vacuum desiccator to provide 10 NMeArg(Tos)-Gly-OBzl (10.3 g, 86%); FAB-MS: [M+H] =

490.21. This material was used without further purification.

To a solution of NMeArg(Tos)-Gly-OBzl (4.80 g, 9.8 mmol), and Boc-D-Val (2.13 g, 9.8 mmol) in 10 ml of

- 15 dichloromethane, cooled in an ice-bath, was added HBTU (3.79 g, 10.0 mmol) and DIEA (2.58 g, 20.0 mmol). The reaction mixture was stirred at room temperature for 48 hours, diluted with ethyl acetate, washed with 5% citric acid, brine, dried over anhydrous magnesium sulfate, and 20 evaporated to dryness under reduced pressure. The
- resulting oil was triturated with ether to provide Boc-D-Val-NMeArg(Tos)-Gly-OBzl (4.58 g, 68%); FAB-MS: [M+H] = 689.59. This material was used without further purification.
- A solution of Boc-D-Val-NMeArg(Tos)-Gly-OBzl (4.50 g, 6.53 mmol) in 80 ml of methanol was purged with nitrogen gas, 1.30 g of 10% Pd/C was added, and hydrogen gas was passed over the reaction. After 1 hour the

gas was passed over the reaction. After 1 hour the catalyst was removed by filtration through a bed of 30 celite, and the solvent removed under reduced pressure. The resulting solid was triturated with ether, filtered,

and washed with petroleum ether to provide Boc-D-Val-NMeArg(Tos)-Gly (3.05 g, 78%); <sup>1</sup>H NMR (D<sub>6</sub>-DMSO) d 7.90 (br t, 1H), 7.65 (d, 2H), 7.30 (d, 2H), 7.00 (d, 1H),

-226-

٠.

.

|    | 6.85 (br d, 1H), 6.60 (br s, 1H), 5.00 (dd, 1H), 4.15           |
|----|---|
|    | (t, 1H), 3.70 (m, 2H), 3.05 (m, 2H), 2.90 (s, 3H), 2.35         |
|    | (s, 3H), 1.90 (m, 2H), 1.55 (m, 1H), 1.35 (s, 9H), 1.25         |
|    | (m, 2H), 0.80 (br t, 6H); FAB-MS: $[M+H] = 599.45$ .            |
| 5  | 5. <u>4,4'-Dinitrobenzophenone Oxime_Boc-D-Val-NMeArg(Tos)-</u> |
|    | <u>Gly-Asp(OcHex)-3-aminomethyl-6-iodobenzoate</u>              |
|    | To a solution of 4,4'-dinitrobenzophenone oxime                 |
|    | Boc-Asp(OcHex)-3-aminomethyl-6-iodobenzoate (0.5 g, 0.59        |
|    | mmol) in 1 ml of dichloromethane was added 0.5 ml of            |
| 10 | trifluoroacetic acid. The reaction mixture was stirred          |
|    | at room temperature for 90 minutes, diluted with                |
|    | dichloromethane, and evaporated to dryness under reduced        |
|    | pressure. The oily residue was concentrated under high          |
|    | vacuum to remove traces of excess trifluoroacetic acid.         |
| 15 | To a solution of the crude TFA salt and Boc-D-Val-              |
|    | NMeArg(Tos)-Gly (0.52 g, 0.87 mmol) in 3.8 ml of DMF was        |
|    | added TBTU (0.28 g, 0.87 mmol) and DIEA (0.33 g, 2.58           |
|    | mmol). The reaction mixture was stirred at room                 |
|    | temperature overnight, concentrated under high vacuum,          |
| 20 | diluted with ethyl acetate, washed with 5% citric acid,         |
|    | $H_2O$ , brine, dried over anhydrous magnesium sulfate, and     |
|    | evaporated to dryness under reduced pressure. This              |
|    | material was triturated with ether to provide the title         |
|    | compound (0.48 g, 61%) as a powder. This material was           |
| 25 | used without further purification.                              |
|    | 6. cyclo-(D-Val-NMeArg(Tos)-Gly-Asp(OcHex)-3-                   |
|    | aminomethyl-6-iodobenzoic Acid)                                 |
|    | To a solution of 4,4'-dinitrobenzophenone oxime                 |
|    | Boc-D-Val-NMeArg(Tos)-Gly-Asp(OcHex)-3-aminomethyl-6-           |
| 20 | $i$ adobanganta (0.48 $\alpha$ 0.36 mmal) in 1 ml of            |

~

•

30 iodobenzoate (0.48 g, 0.36 mmol) in 1 ml of dichloromethane was added 0.5 ml of trifluoroacetic acid. The reaction mixture was stirred at room temperature for 45 minutes, diluted with dichloromethane, and evaporated to dryness under reduced

-227-

..

|    | pressure. The oily residue was concentrated under high                          |
|----|---|
|    | vacuum to remove traces of excess trifluoroacetic acid.                         |
|    | To a solution of the crude TFA salt in 38 ml of DMF                             |
|    | was added acetic acid (0.09 ml, 1.57 mmol) and DIEA                             |
| 5  | (0.26 ml, 1.49 mmol). The reaction mixture was stirred                          |
|    | at 60°C for 3 days, concentrated under high vacuum,                             |
|    | diluted with ethyl acetate, washed with 5% citric acid,                         |
| •  | brine, dried over anhydrous magnesium sulfate, and                              |
|    | evaporated to dryness under reduced pressure. This                              |
| 10 | material was purified by column chromatography on silica                        |
|    | gel (EM Science, 230-400 mesh) using 10:1                                       |
|    | chloroform/isopropanol to give the title compound (0.13                         |
|    | g, 38%) as a powder; <sup>1</sup> H NMR (D <sub>6</sub> -DMSO) d 8.95 (d, 1H),  |
|    | 8.50 (t, 1H), 8.45 (d, 1H), 7.70 (d, 1H), 7.60 (d, 2H),                         |
| 15 | 7.30 (d, 3H), 7.05 (d, 1H), 7.00 (s, 1H), 6.80 (br s,                           |
|    | 1H), 6.60 (br s, 1H), 5.10 (dd, 1H), 4.65 (m, 1H), 4.45                         |
|    | (m, 1H), 4.35 (m, 1H), 4.00 (m, 1H), 3.55 (dd, 1H), 3.05                        |
|    | (m, 2H), 3.00 (s, 3H), 2.70 (dd, 1H), 2.55 (dd, 1H),                            |
|    | 2.35 (s, 3H), 2.05 (m, 1H), 1.90 (m, 1H), 1.75 (m, 1H),                         |
| 20 | 1.65 (m, 1H), 1.35 (m, 13H), 1.15 (d, 3H), 0.85 (d, 3H);                        |
|    | FAB(GLYC) - MS: [M+H] = 937.  |
|    | 7. cyclo-(D-Val-NMeArg-Gly-Asp-3-aminomethyl-6-                                 |
|    | iodobenzoic Acid)   |
|    | The cyclic peptide (490 mg, 0.52 mmol) was                                      |
| 25 | deprotected with excess HF in the presence of anisole as                        |
|    | scavenger. Purification was accomplished by reversed-                           |
|    | phase HPLC on a preparative Vydac C18 column (2.5 cm)                           |
|    | using a 0.8% / minute gradient of 10 to 38% acetonitrile                        |
|    | containing 0.1% trifluoroacetic acid to give the TFA                            |
| 30 | salt of the title compound (194 mg, 46%) as a fluffy                            |
|    | white solid; <sup>1</sup> H NMR (D <sub>6</sub> -DMSO) d 12.30 (br s, 1H), 9.00 |
|    |   |

(m, 1H), 7.05 (d, 1H), 7.00 (s, 1H), 7.00 (br s, 4H), 5.15 (dd, 1H), 4.40 (d, 1H), 4.40 (q, 2H), 4.0 (m, 2H),

(d, 1H), 8.40 (m, 2H), 7.70 (d, 1H), 7.50 (m, 1H), 7.30

-228-

~

~

PCT/US94/03256

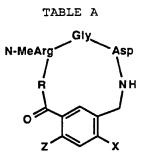
3.55 (dd, 1H), 3.15 (q, 2H), 3.10 (s, 3H), 2.70 (dd, 1H), 2.50 (m, 1H), 2.05 (m, 2H), 1.65 (m, 1H), 1.35 (m, 2H), 1.15 (d, 3H), 0.90 (d, 3H); FAB-MS: [M+H] = 701.

5

٠.

1

Table A shows the FAB-MS obtained for certain cyclic compound intermediates.



| <u>Cyclic</u><br><u>Compound</u><br><u>Intermedia</u><br><u>te Number</u> | R     | X   | <u>Z</u> | <u>FAB-MS (M+H)</u>     |
|---|-------|-----|----------|-------------------------|
| 101   | D-Val | I   | н        | 701.37                  |
| 98, 102   | D-Val | Н   | I        | 701                     |
| 103   | D-Abu | I   | н        | 687.33                  |
| 104   | D-Abu | н   | I        | 687.3                   |
| 105   | D-Val | Cl  | Н        | 609                     |
| 106   | D-Val | н   | Cl       | 609                     |
| 107   | D-Abu | н   | Cl       | 595.4                   |
| 108   | D-Val | Me  | н        | 589                     |
| 109   | D-Val | н   | Me       | 589                     |
| 110   | D-Abu | н   | Me       | 575.4                   |
| 111   | D-Val | MeO | н        | 623 (+H <sub>2</sub> O) |

-229-

| 112 | D-Val | н | MeO | 605 |
|-----|-------|---|-----|-----|
|     |       |   |     |     |

Other ring substituted cyclizing moieties can be synthesized as taught in the following schemes and

- 5 discussion. The moiety of the formula above where Z = NH<sub>2</sub> can be synthesized by at least two different routes. For example, starting with 4-acetamidobenzoic acid (Aldrich Chemical Co.), a Friedel-Crafts alkylation with N-hydroxymethyldichloroacetamide would give the
- 10 dichloroacetyl derivative of 3-aminomethyl-4acetamidobenzoic acid (Felder, Pitre, and Fumagalli (1964), Helv. Chim. Acta, 48, 259-274). Hydrolysis of the two amides would give 3-aminomethyl-4-aminobenzoic acid.

15

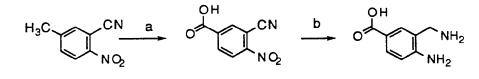
Cl<sub>2</sub>CHCONHCH<sub>2</sub>OH, H<sub>2</sub>SO₄

CO2H  $H_2O, H^4$  $H_2N$  $H_2N$ 

CO<sub>2</sub>H Di-t-butyl Dicarbonate Boc-NH EtaN, MeOH

Alternatively, starting with 3-cyano-4-nitrotoluene, oxidation with chromium trioxide followed by reduction 20 will give 3-aminomethyl-4-aminobenzoic acid.

-230-

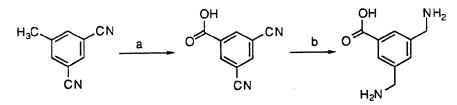


a] CrO3 b] H2-catalyst

5

10

The moiety of the formula above where  $Y = CH_2NH_2$  can be synthesized from 3,5-dicyanotoluene by oxidation of the methyl group with chromium trioxide followed by reduction.



a] CrO<sub>3</sub> b] H<sub>2</sub>-catalyst

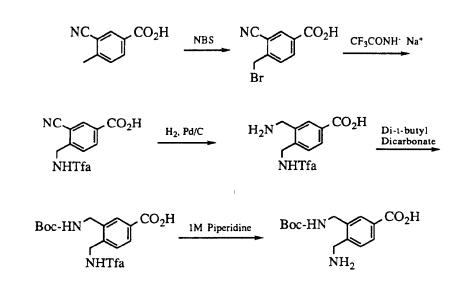
15

2

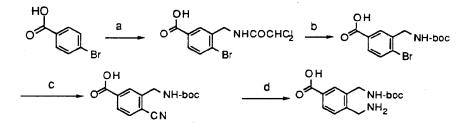
The moiety of the formula above where Z = CH<sub>2</sub>NH<sub>2</sub> can be synthesized from 3-cyano-4-methylbenzoic acid (K & K Rare and Fine Chemicals). Bromination using Nbromosuccinimide would give 4-bromomethyl-3-cyanobenzoic acid. A nucleophilic substitution reaction at the

- 20 bromomethyl position using an amide anion would produce the protected amine. Amide anions which could be used in this reaction include potassium phthalimide (Gabriel synthesis), and the anion of trifluoroacetamide (Usui (1991), Nippon Kagaku Kaishi, 206-212) used in this
- 25 example. Reduction of the nitrile would produce the second aminomethyl group, which would be protected by reaction with di-t-butyl dicarbonate. Removal of the trifluoroacetamide protecting group using aqueous piperidine would give the moiety.

-231-



Alternatively, the moiety can be prepared from 4bromobenzoic acid as shown in the scheme.



10 a] H2SO4, HOCH2NHCOCHCl2 b]H<sup>+</sup>, boc-ON c] CuCN, DMF d] H2-catalyst

These ring substituted cyclizing moieties can be used to synthesize cyclic compound intermediates.

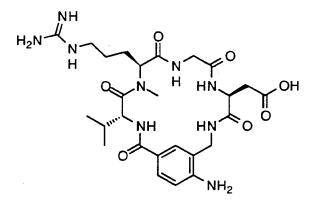
15

5

Cyclic Compound Intermediate 113 Cyclo(D-Val-NMeArg-Gly-Asp-Mamb(4-NH<sub>2</sub>)

Ĩ

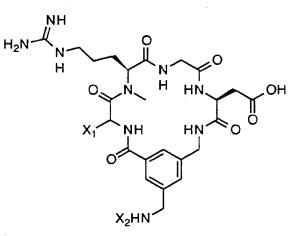
٠,



This compound can be prepared using the procedure 5 described above for Cyclo(D-Val-NMeArg-Gly-Asp-Mamb substituting the ring substituted cyclizing moiety where  $Z = NH_2$ .

10

Cyclic Compound Intermediates 114, 115 and 116



\*

15

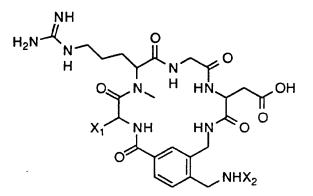
 $X_1 = 2$ -propyl, ethyl, or p-hydroxyphenylmethyl  $X_2 = H$ .

Compounds cyclo(D-Val-NMeArg-Gly-Asp-Mamb(5-CH2NHX2), cyclo(D-Abu-NMeArg-Gly-Asp-Mamb(5-CH2NHX2), and cyclo(D-

Tyr-NMeArg-Gly-Asp-Mamb(5-CH<sub>2</sub>NHX<sub>2</sub>) can be prepared via the methods described above using the ring substituted cyclizing moiety where  $Y = CH_2NH_2$ .

5

Cyclic Compound Intermediates 117, 118 and 119.



 $X_1 = 2$ -propyl, ethyl, or p-hydroxyphenylmethyl  $X_2 = H$ 

10

Compounds cyclo(D-Val-NMeArg-Gly-Asp-Mamb(4-CH2NHX2), cyclo(D-Abu-NMeArg-Gly-Asp-Mamb(4-CH2NHX2), and cyclo(D-Tyr-NMeArg-Gly-Asp-Mamb(4-CH2NHX2) can be prepared via the procedures described above using the ring

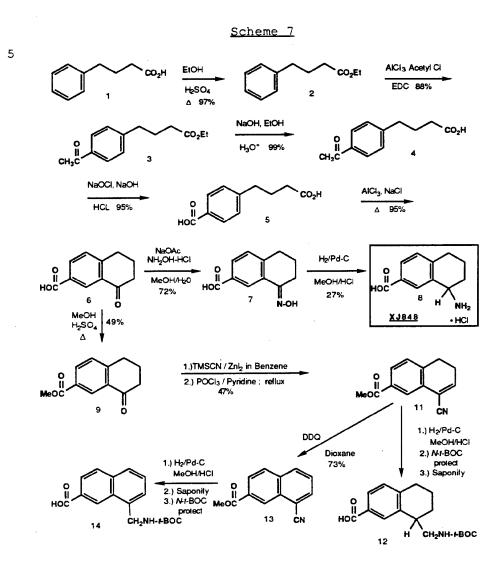
15 substituted cyclizing moiety where  $Z = CH_2NH_2$ .

## Other R<sup>31</sup> Cyclizing Moieties

Alternatives to Mamb useful as cyclizing moieties 20 R<sup>31</sup> in the cyclic peptides of the invention include aminoalkyl-naphthoic acid and aminoalkyltetrahydronaphthoic acid residues. Representative aminoalkyl-naphthoic acid and aminoalkyltetrahydronaphthoic acid intermediates useful in the 25 synthesis of cyclic peptides of the present invention

\*

are described below. The synthesis of these intermediates is outlined below in Scheme 7.



1

•,•



DM-6591-A

-236-

# 8-Amino-5,6,7,8-tetrahydro-2-naphthoic Acid Hydrochloride (8)

5

The title compound was prepared according to a modification of standard procedures previously reported in the literature (Earnest, I., Kalvoda, J., Rihs, G., and Mutter, M., Tett. Lett., Vol. 31, No. 28, pp 4011-4014, 1990).

As shown above in Scheme 7, 4-phenylbutyric acid 10 (1) was converted to the ethyl ester (2) which was acylated via aluminum chloride and acetylchloride to give 4-acetylphenylbutyric acid ethyl ester (3). This ester was subjected to saponification to give 4acetylphenylbutyric acid (4). Subsequently, the acetyl

- 15 group was oxidized to give 4-carboxyphenylbutyric acid (5) which was converted to the 1-tetralin-7-carboxylic acid (6) using aluminum chloride in a Friedel-Crafts cyclization with resonably high yield. At that point, the tetralone was split into two portions and some was
- 20 converted to the oxime (7) using sodium acetate and hydroxylamine hydrochloride. The oxime was subjected to hydrogenolysis to give the racemic mixture of 8-amino-5,6,7,8-tetrahydro-2-naphthoic acid as the hydrochloride (8) for use as an intermediate for incorporation into 25 the cyclic peptide.

Part A - A solution of 4-phenylbutyric acid (50.0 g, 0.3 mol) in ethanol (140 mL) with concentrated sulfuric acid (0.53 mL) was stirred at reflux over 5 hours. The cooled solution was poured into ice water and extracted with ethyl acetate. The combined organic layers were backwashed with brine, dried over anhydrous magnesium sulfate and evaporated to dryness under reduced pressure to give 4-phenylbutyric acid ethyl ester (56.07 g, 0.29).

5

•

1

PCT/US94/03256

mol, 97%) as a yellow liquid. <sup>1</sup>H NMR (CDCl<sub>3</sub>) d 7.3-7.1 (m, 5H), 4.1 (q, 2H, J=7.1 Hz), 2.7 (t, 2H, J=7.7 Hz), 2.3 (t, 2H, J=7.5 Hz), 1.95 (quintet, 2H, J=7.5 Hz), 1.25 (t, 3H, J=7.1 Hz).

- Part B To a solution of aluminum chloride (153 g, 1.15 mol), and acetyl chloride (38.5 mL, 42.5 g, 0.54 mol) in dichloromethane (1500 mL) was added, dropwise, a solution of 4-phenylbutyric acid ethyl ester (50.0 g,
- 10 0.26 mol) in dichloromethane (500 mL). All was stirred at ambient temperature for 15 minutes. The solution was poured into cold concentrated hydrochloric acid (2000 mL) and then extracted with dichloromethane. The combined organic layers were backwashed with brine,
- 15 dried over anhydrous magnesium sulfate and evaporated to dryness under reduced pressure to give 4acetylphenylbutyric acid ethyl ester (53.23 g, 0.23 mol, 88%) as a dark yellow liquid. <sup>1</sup>H NMR (CDCl<sub>3</sub>) d 7.9 (d, 2H, J=8.1 Hz), 7.25 (d, 2H, J=8.4 Hz), 4.1 (q, 2H, J=7.1
- 20 Hz), 2.75 (t, 2H, J=7.6 Hz), 2.6 (s, 3H), 2.35 (t, 2H, J=7.6 Hz), 2.0 (quintet, 2H, J=7.5 Hz), 1.25 (t, 3H, J=7.1 Hz).
- Part C -To a solution of 4-acetylphenylbutyric acid ethyl ester (50.0 g, 0.21 mol) in ethanol (1250 mL) was added, dropwise, a solution of sodium hydroxide (50.0 g) in water (1250 mL). All was stirred at reflux over 4 hours. The solution was concentrated to half volume and then acidified to a pH equal to 1.0 using hydrochloric acid (1N). The resulting precipitate was collected and washed with water to give 4-acetylphenylbutyric acid (53.76 g, 0.26 mol, 99%) as a white solid. mp = 50-52°C; <sup>1</sup>H NMR (CDCl<sub>3</sub>) d 7.9 (d, 2H, J=8.1 Hz), 7.25 (d, 2H,

-237-

PCT/US94/03256

.\*

J=9.1 Hz), 2.75 (t, 2H, J=7.7 Hz), 2.6 (s, 3H), 2.4 (t, 2H, J=7.3 Hz), 2.0 (quintet, 2H, J=7.4 Hz).

Part D - To a solution of sodium hypochlorite (330 mL,

- 5 17.32 g, 0.234 mol) in a solution of sodium hydroxide (50%, 172 mL), warmed to 55°C, was added, portionwise as a solid, 4-acetylphenylbutyric acid (16.0 g, 0.078 mol) while keeping the temperature between 60-70°C. All was stirred at 55°C over 20 hours. The cooled solution was
- 10 quenched by the dropwise addition of a solution of sodium bisulfite (25%, 330 mL). The mixture was then transferred to a beaker and acidified by the careful addition of concentrated hydrochloric acid. The resulting solid was collected, washed with water and
- 15 dried, then triturated sequentially with chlorobutane and hexane to give 4-carboxyphenylbutyric acid (15.31 g, 0.074 mol, 95%) as a white solid. mp = 190-195°C; <sup>1</sup>H NMR (DMSO) d 12.55 (bs, 1H), 8.1 (s, 1H), 7.85 (d, 2H, J=8.1 Hz), 7.3 (d, 2H, J=8.1 Hz), 2.7 (t, 2H, J=7.5 Hz), 2.2 (t, 2H, J=7.4 Hz), 1.8 (quintet, 2H, J=7.5 Hz).

Part E - A mixture of 4-carboxyphenylbutyric acid (10.40 g, 0.05 mol), aluminum chloride (33.34 g, 0.25 mol) and sodium chloride (2.90 g, 0.05 mol) was heated with continual stirring to 190°C over 30 minutes. As the mixture cooled to 60°C, cold hydrochloric acid (1N, 250 mL) was carefully added. The mixture was extracted with dichloromethane. The combined organic layers were

30 dried over anhydrous magnesium sulfate and evaporated to dryness under reduced pressure. The resulting solid was triturated with chlorobutane to give 1-tetralon-7carboxylic acid (9.59 g, 0.05 mol, 100%) as a brown solid. mp = 210-215°C; <sup>1</sup>H NMR (DMSO) d 8.4 (s, 1H), 8.1

backwashed with dilute hydrochloric acid and water,

-238-

٠.

1

(d, 2H, J=8.0 Hz), 7.5 (d, 1H, J=7.9 Hz), 3.0 (t, 2H, J=6.0 Hz), 2.65 (t, 2H, J=6.6 Hz), 2.1 (quintet, 2H, J=6.3 Hz).

- 5 <u>Part F</u> A solution of 1-tetralon-7-carboxylic acid (1.0 g, 0.0053 mol) and sodium acetate (1.93 g, 0.024 mol) and hydroxylamine hydrochloride (1.11 g, 0.016 mol) in a mixture of methanol and water (1:1, 15 mL) was stirred at reflux over 4 hours. The mixture was cooled and then
- 10 added was more water (50 mL). The solid was collected, washed with water and dried, then triturated with hexane to give 1-tetralonoxime-7-carboxylic acid (0.78 g, 0.0038 mol, 72%) as a white solid. mp = 205-215°C; <sup>1</sup>H NMR (DMSO) d 11.3 (s, 2H), 8.4 (s, 1H), 7.8 (d, 1H, 15 J=7.7 Hz), 7.3 (d, 1H, J=7.7 Hz), 2.8 (t, 2H, J=5.9 Hz),
- 15 J=7.7 Hz), 7.3 (d, 1H, J=7.7 Hz), 2.8 (t, 2H, J=5.9 Hz), 2.7 (d, 2H, J=6.6 Hz), 1.9-1.7 (m, 2H).

<u>Part G</u> - A mixture of 1-tetralonoxime-7-carboxylic acid (0.75 g, 0.0037 mol) in methanol (25 mL) with

- 20 concentrated hydrochloric acid (0.54 mL, 0.20 g, 0.0056 mol) and palladium on carbon catalyst (0.10 g, 5% Pd/C) was shaken for 20 hours at ambient temperature under an atmosphere of hydrogen (60 psi). The reaction mixture was filtered over Celite<sup>®</sup> and washed with methanol. The
- filtrate was evaporated to dryness under reduced pressure and the residue was purified by flash chromatography using hexane:ethyl acetate::1:1 to give the racemic mixture of 8-amino-5,6,7,8-tetrahydro-2naphthoic acid hydrochloride (0.225 g, 0.001 mol. 27%) as a white solid. mp = 289-291°C; <sup>1</sup>H NMR (DMSO) d 8.55
  - (bs, 3H), 8.2-8.1 (m, 1H), 7.85-7.8 (m, 1H), 7.35-7.25 (m, 1H), 4.5 (m, 1H), 2.9-2.8 (m, 2H), 2.1-1.9 (m, 3H), 1.85-1.7 (m, 1H).

-239-

# <u>N-(BOC)-8-Aminomethyl-5,6,7,8-tetrahydro-2-naphthoic</u> Acid (12)

- 5 As shown above in Scheme 7, the remaining tetralone was then converted to the methyl ester (9). Using a procedure from Gregory, G.B. and Johnson, A.L, JOC, 1990, 55, 1479, the tetralone methyl ester (9) was converted, first, to the cyanohydrin by treatment with 10 trimethylsilylcyanide and zinc iodide and then, via the *in situ* dehydration with phosphorous oxychloride in pyridine, to the methyl 8-cyano-5,6-dihydro-2-naphthoate (11). This naphthoate was divided into two portions and some was subjected to hydrogenolysis, N-BOC-protection 15 and saponification to give N-(BOC)-8-aminomethyl-
- 5,6,7,8-tetrahydro-2-naphthoic acid (12) as an intermediate for incorporation into the cyclic peptide.
- 20 <u>Part A</u> A mixture of 1-tetralon-7-carboxylic acid (7.0 g, 0.037 mol) in methanol (13.6 mL, 10.8 g, 0.30 mol) with a catalytic amount of hydrochloriic acid (0.07 mL, 0.12 g, 0.0012 mol) was stirred at reflux over 5 hours. The cooled reaction mixture was poured into ice water
- 25 and extracted with ethyl acetate. The combined organic layers were backwashed with water and brine, dried over anhydrous magnesium sulfate and evaporated to dryness under reduced pressure. The resulting solid was purified by flash chromatography using hexane:ethyl
- 30 acetate::75:25. The resulting solid was triturated with hexane to give 1-tetralon-7-carboxylic acid methyl ester (3.61 g, 0.018 mol, 49%) as a yellow solid. mp = 170-172°C; <sup>1</sup>H NMR (CDCl<sub>3</sub>) d 8.7 (s, 1H), 8.15 (d, 1H, J=8.1 Hz), 7.35 (d, 1H, J=8.1 Hz), 3.95 (s, 3H), 3.05 (d, 2H,

-240-

٠.

٩.

PCT/US94/03256

J=6.1 Hz), 2.7 (t, 2H, J=6.4 Hz), 2.15 (quintet, 2H, J=6.2 Hz).

Part B - A solution of 1-tetralon-7-carboxylic acid 5 methyl ester (3.50 g, 0.017 mol), trimethylsilylcyanide (1.98 g, 0.02 mol) and zinc iodide (0.10 g) in benzene (20 mL) was stirred at ambient temperature over 15 hours. Then added, sequentially and dropwise, was pyridine (20 mL) and phosphorous oxychloride (4.0 mL,

- 10 6.55 g, 0.0425 mol). The reaction mixture was stirred at reflux over 1 hour then evaporated to dryness under reduced pressure. The residue was taken up in chloroform, backwashed with water, dried over anhydrous magnesium sulfate and evaporated to dryness under
- 15 reduced pressure to give methyl 8-cyano-5,6-dihydro-2naphthoate (1.70 g, 0.008 mol, 47%) as a yellow solid. mp = 73-75°C; <sup>1</sup>H NMR (CDCl<sub>3</sub>) d 8.0-7.9 (m, 1H), 7.3-7.2 (m, 1H), 6.95 (t, 1H, J=4.8 Hz), 3.95 (s, 3H), 2.9 (t, 2H, J=8.3 Hz), 2.6-2.4 (m, 3H)
- 20

Part C - A mixture of methyl 8-cyano-5,6-dihydro-2naphthoate (0.80 g, 0.0038 mol) in methanol (25 mL) with concentrated hydrochloric acid (0.56 mL) and palladium on carbon catalyst (0.40 g, 5% Pd/C) was shaken for 20

- 25 hours at ambient temperature under an atmosphere of hydrogen (50 psi). The reaction mixture was filtered over Celite and washed with methanol. The filtrate was evaporated to dryness under reduced pressure and the residue was triturated with hexane to give the racemic 30 mixture of methyl 8-aminomethyl-5,6,7,8-tetrahydro-2
  - naphthoate (0.80 g, 0.0037 mol, 97%) as a white solid. mp = 172-179°C; <sup>1</sup>H NMR (DMSO) d 8.2-8.0 (m, 4H), 7.9-7.7 (m, 6H), 7.5-7.2 (m, 4H), 3.9-3.8 (m, 7H), 3.3-2.7(m, 10H), 2.0-1.6 (m, 8H).

-241-

.\*

WO 94/22494

<u>Part D</u> - A solution of methyl 8-aminomethyl-5,6,7,8tetrahydro-2-naphthoate (0.78 g, 0.0036 mol) and triethylamine (0.55 mL, 0.40 g, 0.004 mol) in aqueous

- 5 tetrahydrofuran (50%, 75 mL) was added, portionwise as a solid, 2-(tert-butoxycarbonyloxyimino)-2phenylacetonitrile (0.99 g, 0.004 mol). All was stirred at ambient temperature over 3 hours. The solution was concentrated to half volume and extracted with
- 10 diethylether. The aqueous layer was then acidified to a pH of 1.0 using hydrochloric acid (1N) and then extraced with ethyl acetate. The combined organic layers were dried over anhydrous magnesium sulfate and evaporated to dryness under reduced pressure. The residue was purified
- 15 by flash chromatography using hexane:ethyl acetate::8:2 to give methyl N-(BOC)-8-aminomethyl-5,6,7,8-tetrahydro-2-naphthoate (0.54 g, 0.0017 mol, 47%) as a white solid. mp = 72-80°C; <sup>1</sup>H NMR (DMSO) d 13.8 (s, 1H), 7.8-7.65 (m, 3H), 7.6-7.5 (m, 3H), 7.25-7.20 (m, 1H), 7.15-7.05 (m, 1H), 3.9-3.8 (m, 1H), 3.2-2.8 (m, 4H), 1.8-1.6 (m, 3H),

1.4 (s, 6H).

25

<u>Part E</u> - To a solution of methyl N-(BOC)-8-aminomethyl-5,6,7,8-tetrahydro-2-naphthoate (0.50 g, 0.0016 mol) in ethanol (12.5 mL) was added, dropwise, a solution of

- sodium hydroxide (0.50 g) in water (12.5 mL). All was stirred a reflux over 4 hours. The reaction mixture was concentrated to half volume and then acidified to a pH equal to 1.0 using hydrochloric acid (1N). The residue
- 30 was puified by flash chromatography using a gradient of hexane:ethyl acetate::1:1 to ethyl acetate to ethyl acetate: methanol::9:1 to give the racemic mixture of the title compound, N-(BOC)-2-aminomethyl-5,6,7,8tetrahydro-2-naphthoic acid (0.19 g, 0.00062 mol, 39%)

-242-

5

۰.

÷

PCT/US94/03256

as a white solid.  $mp = 172-176^{\circ}C$ ; <sup>1</sup>H NMR (DMSO) d 7.8 (s, 1H), 7.65 (d, 1H, J=8.1 Hz), 7.15 (d, 1H, J=8.1 Hz), 7.1-7.0 (m, 1H), 3.2-3.1 (m, 2H), 3.0-2.7 (m, 4H), 1.8-1.6 (m, 4H), 1.4 (s, 9H).

## N-(BOC)-8-aminomethyl-2-naphthoic acid (14)

- The remaining naphthoate (11) was treated with 2,3-10 dichloro-5,6-dicyano-1,4-benzoquinone (DDQ) in dioxane to aromatize the adjacent ring to give the methyl 8cyano-2-naphthoate (13). Then, the nitrile was reduced via hydrogentation and the methyl ester saponified to the carboxylic acid. This acid was then N-BOC-protected
- 15 to give N-(BOC)-8-aminomethyl-2-naphthoic acid (14) as an intermediate for incorporation into the cyclic peptide.
- 20 Part A A solution of methyl 8-cyano-5,6-dihydro-2naphthoate (1.0 g, 0.0047 mol) and 2,3-dichloro-5,6dicyano-1,4-benzoquinone (1.07 g, 0.0047 mol) in dioxane (50 mL) was stirred at 120°C over 16 hours. The reaction mixture was poured into ice water and extracted with
- 25 ethyl acetate. The combined organic layers were dried over anhydrous magnesium sulfate and evaporated to dryness under reduced pressure. The residue was purified by flash chromatography using ethyl acetate to give methyl 8-cyano-2-naphthoate (0.72 g, 0.0034 mol, 73%) as 30 a tan solid. mp = 178-182°C; <sup>1</sup>H NMR (CDCl<sub>3</sub>) d 8.95 (s, 1H), 8.3-8.2 (m, 1H), 8.15-8.10 (m, 1H), 8.0-7.95 (m, 2H), 7.7-7.6 (m, 1H), 4.05 (s, 1H).

-243-

Part B - A mixture of methyl 8-cyano-2-naphthoate (1.0 g, 0.0047 mol) in methanol (35 mL) with concentrated hydrochloric acid (0.69 mL) andpalladium on carbon catalyst (0.20 g, 5% Pd/C) was shaken for 6 hours at ambient temperature under anatmosphere of hydrogen (50 psi). The reaction mixture was filtered over Celite<sup>@</sup> and washed with methanol. The filtrate was evaporated to

triturated with hexane to give methyl &-aminomethyl-2naphthoate (0.76 g, 0.0035 mol, 75%) as an oil. <sup>1</sup>H NMR (DMSO) d 8.75 (s, 1H), 8.5 (bs, 2H), 8.2-8.05 (m, 3H), 7.75-7.70 (m, 2H), 4.6 (s, 2H), 3.95 (m, 3H).

dryness under reduced pressure and the residue was

Part C - To a solution of methyl 8-aminomethyl-2-

- 15 naphthoate (0.75 g, 0.0035 mol) in dry tetrahydrofuran (50 mL), cooled to 0°C, was added a solution of lithium hydroxide (0.5 M, 5.83 mL). All was stirred at ambient temperature over 20 hours. Another aliquot of lithium hydroxide was added and all was stirred for an
- 20 additional 20 hours. The solid was collected and the filtrate was evaporated to dryness under reduced pressure. The solids were triturated with diethyl ether to give 8-aminomethyl-2-naphthoic acid (0.67 g, 0.0033 mol, 95%) as a white solid. mp = 223-225°C; <sup>1</sup>H NMR
- 25 (DMSO) d 8.6 (s, 1H), 8.1-7.9 (m, 1H), 7.8-7.7 (m, 4H), 7.55-7.5 (m, 1H), 7,45-7.35 (m, 2H), 4.2 (s, 2H).

<u>Part D</u> - A solution of 8-aminomethyl-2-naphthoic acid (0.50 g, 0.00025 mol) and triethylamine (0.038 mL, 0.028 mL)

30 g, 0.000275 mol) in aqueous tetrahydrofuran (50%, 5 mL) was added, portionwise as a solid, 2-(tertbutoxycarbonyloxyimino)-2-phenylacetonitrile (0.068 g, 0.000275 mol). All was stirred at ambient temperature over 5 hours. The solution was concentrated to half

-244-

٠.

۹.

volume and extracted with diethylether. The aqueous layer was then acidified to a pH of 1.0 using hydrochloric acid (1N) and then extraced with ethyl acetate. The combined organic layers were dried over 5 anhydrous magnesium sulfate and evaporated to dryness under reduced pressure to give the title compound, N-(BOC)-8-aminomethyl-2-naphthoic acid (0.050 g, 0.00017 mol) as a white solid. mp = 190-191°C; <sup>1</sup>H NMR (DMSO) d 13.1 (bs, 1H), 8.8 (s, 1H), 8.0 (q, 2H, J=7.9 Hz), 7.9 10 (d, 1H, J=8.1 Hz), 7.6 (t, 1H, J=7.5 Hz), 7.65-7.55 (m, 2H), 4.6 (d, 2H, J=5.5 Hz), 1.4 (s, 9H).

Cyclic Compound Intermediates 89a and 89b

cyclo-(D-Val-NMeArg-Gly-Asp-aminotetralincarboxylic 15 acid); the compound of formula (VIII) wherein J =D-Val, K = NMeArg, L = Gly, M = Asp,  $R^1 = R^2 = H$ 

The title compound was prepared using the 20 general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-aminotetralin-carboxylic acid to the oxime resin. The peptide was prepared on a 0.164 mmol

- 25 scale to give the protected cyclic peptide (69 mg, 49.3%). The peptide (69 mg) and 0.069 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 30 minutes. The crude material was precipitated with ether, redissolved in aqueous
- 30 acetonitrile, and lyophilized to generate the title compound (59.7 mg, greater than quantitative yield; calculated as the fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a

-245-

5

0.23%/ min. gradient of 16.2 to 27% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid. Two isomers were obtained; isomer #1 (12.5% recovery, overall yield 6.2%, FAB-MS: [M+H] = 615.34; isomer #2 (18.6% recovery, overall yield 9.3%, FAB-MS: [M+H] = 615.35.

Cvclic Compound Intermediate 89c

10 cyclo-(D-Val-NMeArg-Gly-Asp-aminomethylnaphthoic acid); the compound of formula (IX) wherein J = D-Val, K = NMeArg,L = Gly, M = Asp, R<sup>1</sup> = H, R<sup>2</sup> = H

The title compound was prepared using the 15 general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-Mamb) (Cyclic Compound Intermediate 4). The DCC/DMAP method was used for attachment of Boc-aminomethyl-naphthoic acid to the oxime resin. The peptide was prepared on a 0.737 mmol scale to

- 20 give the protected cyclic peptide (463 mg, 73.1%). The peptide (463 mg) and 0.463 mL of anisole were treated with anhydrous hydrogen fluoride at 0°C for 20 minutes. The crude material was precipitated with ether, redissolved in aqueous acetonitrile,
- 25 and lyophilized to generate the title compound (349 mg, greater than quantitative yield; calculated as the fluoride salt). Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.5 cm) using a 0.45%/ min. gradient of 4.5
- 30 to 22.5% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy white solid (12.1% recovery, overall yield 7.8%); FAB-MS: [M+H] = 625.32.

-246-

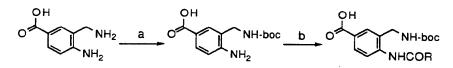
٠.

## Synthesis of Linker Modified Cyclic Compound Intermediates

5 Linker modified cyclic compound intermediates can be synthesized either by incorporating an appropriately protected linker into a cyclizing moiety and then synthesizing the linker modified cyclic compound intermediate or by attaching the linker to a cyclic 10 compound intermediate.

## Linker Modified Cyclizing Moieties

- Linker modified cyclizing moieties can be 15 synthesized either by attaching the linker to a ring substituted cyclizing moiety synthesized as described above or by incorporating an appropriately protected linker into the synthesis of the cyclizing moiety.
- For example, the ring substituted cyclizing moiety described above where X = NH<sub>2</sub> can be reacted with the succinimidyl linker, RCOOSu (R = -(CH<sub>2</sub>)<sub>5</sub>-NH<sub>2</sub> or CH<sub>2</sub>-C<sub>6</sub>H<sub>5</sub>-p-NH<sub>2</sub>), to give a linker attached at position X via an amide group.



a] Boc-ON b] RCOOSu

sį.

30

The ring substituted cyclizing moiety with X = OH can be reacted with a linker derived from tetraethylene glycol. This linker consists of four ethylene units separated by ether groups, and bearing a 2-protected

-247-

5

10

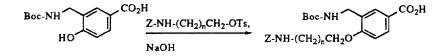
amine group at one end of the tether, and a leaving group such as tosylate at the other end of the tether. This will give a linker attached at position X via an ether group.

The ring substituted cyclizing moiety with  $Z = NH_2$  can be reacted with  $(Z-NH(CH_2)_5CO)_2O$  to give a linker attached at position Z via an amide group.

CO<sub>2</sub>H Boc-NH Boc-NH Z-NH(CH<sub>2</sub>)<sub>5</sub>CONH  $H_2N$ 

Linkers can be attached to the ring substituted cyclizing moiety with Z = OH. Attachment of the linkers to the ring will require the linker having a leaving 15 group suitable for reaction with a phenolate ion. Such leaving groups include halides, aryl sulfonates (e.g., tosylate) and alkyl tosylates (e.g., mesylate). For example, an alkyl chain bearing a tosyl group at one end of the chain and a protected amine at the other end is 20 used. The literature provides several examples of alkylation at a phenolic group in the presence of a carboxylic acid group (See, for example Brockmann, Kluge, and Muxfeldt (1957), Ber. Deutsch. Chem. Ges., 90, 2302.

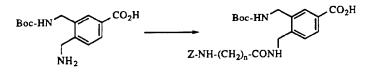
25



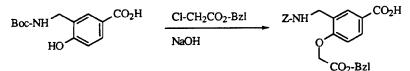
The ring substituted cyclizing moiety with  $Z = CH_2NH_2$  can be reacted with  $Z-NH(CH_2)_n-COOSu$  to give 30 linkers attached at position Z via an amidomethyl group.

-248-

5

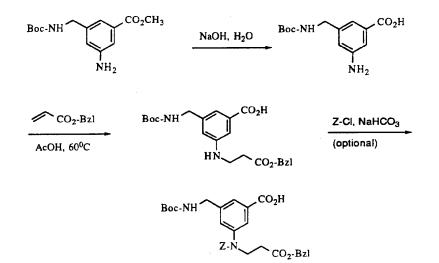


The previous examples have demonstrated the use of linkers which terminate in a protected amine. Linkers 5 that terminate in a carboxylic acid or ester groups may also be desirable. Several such linkers can be attached to the cyclizing moieties described above. For example, in the following scheme, t-Boc protected 3aminomethyl-4-hydroxybenzoic acid is treated with benzyl 10 chloroacetate and base to introduce a short linker terminating in an ester.



A linker can be attached to the ring substituted
15 cyclizing moiety where Y = NH<sub>2</sub>. As shown in Scheme 8, hydrolysis of the methyl ester of t-Boc protected methyl 3-aminomethyl-5-aminobenzoate under mild base conditions, followed by treatment with benzyl acrylate (Lancaster Synthesis, Inc.) and acetic acid catalyst
20 would produce the Michael addition product. Even though this linker modifed cyclizing moiety contains an unprotected secondary amine, it could be used directly in a solid phase synthesis. However, amine protection, if desired, could be accomplished by treatment with
25 benzyl chloroformate and a mild base.

-249-



#### Scheme 8

5 The linker can also be incorporated into the synthesis of the cyclizing moieties. One example is the synthesis of linker modified cyclizing moiety 5-Aca-Mamb.

#### 10 <u>Synthesis of Boc-Mamb(Z-5-Aca)</u>

This synthesis is depicted in Scheme 9, below.

## Part A - Methyl 3-Nitro-5-hydroxymethylbenzoate

15

To a solution of monomethyl 3-nitroisophthalate (396.0 g, 1.76 mol) in anhydrous THF (1000 ml) was added 2.0 M BMS (borane methylsulfide complex) in THF (880 ml, 1.76 mol) dropwise over 1 hour. The resulting solution was heated to reflux for 12 hours, and MeOH (750 ml) was

20 slowly added to quench the reaction. The solution was concentrated to give a yellow solid which was recrystalized from toluene (297.5 g, 80%). <sup>1</sup>H NMR

-250-

٤.

 $(CDCl_3): 8.71-8.70 (m, 1H), 8.41-8.40 (m, 1H), 8.31-8.30 (m, 1H), 4.86 (s, 2H), 3.96 (s, 3H), 2.47 (s, 1H); MP = 76.5-77.5°C; DCI-MS: [M+H] = 212.$ 

#### 5 Part B - 3-Carbomethoxy-5-nitrobenzyl Methanesulfonate

Methyl 3-nitro-5-hydroxymethylbenzoate (296.0 g, 1.40 mol) and proton sponge (360.8 g, 1.68 mol) were dissolved in ethylene dichloride (150 ml). Triflic anhydride (292.3 g, 1.68 mol) dissolved in ethylene

- 10 dichloride (800 ml) was added dropwise to the suspension over 90 minutes and the mixture allowed to stir 18 hour under nitrogen. The reaction was quenched with H<sub>2</sub>O (2000 ml), the two layers were separated, and the organic layer was washed with 1000 ml portions of 1 N
- HCl, H<sub>2</sub>O, saturated NaHCO<sub>3</sub>, H<sub>2</sub>O, and saturated NaCl. The organic layer was dried (MgSO<sub>4</sub>) and concentrated under reduced pressure. The resulting yellow solid was recrystalized from toluene to give the title compound as a tan solid (366.8 g, 91%). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 8.84-8.85
- 20 (m, 1H), 8.45-8.46 (m, 1H), 8.40-8.39 (m, 1H), 5.35 (s, 2H), 3.98 (s, 3H), 3.10 (s, 3H); MP = 96-97°C; DCI-MS: [M+NH<sub>4</sub>] = 307.

## Part C - Methyl 3-Azidomethyl-5-nitrobenzoate

3-Carbomethoxy-5-nitrobenzyl methanesulfonate (300.0 g, 1.04 mol) and sodium azide (81.0 g, 1.25 mol) were suspended in DMF (1700 ml) and stirred at room temperature for 5 hours. The reaction was diluted with ethyl acetate (2000 ml), washed with 1000 ml portions of H<sub>2</sub>O (2X) and saturated NaCl (1X), dried (MgSO<sub>4</sub>), and concentrated under reduced pressure. The resulting amber syrup was dried under vacuum at 40°C to yield the title compound as a tan solid (226.5 g, 92%). <sup>1</sup>H NMR

-251-

PCT/US94/03256

 $(CDCl_3): 8.60 (s, 1H), 8.26 (s, 1H), 8.20 (s, 1H), 4.52 (s, 2H), 3.88 (s, 3H); MP = 44-46^{\circ}C.$ 

Part D - Methyl 3-Amino-5-aminomethylbenzoate

- 5 A solution of Methyl 3-Azidomethyl-5-nitrobenzoate (15.50 g, 65.7 mmol) and benzene sulfonic acid (22.14 g, 140 mmol) in warm methanol (320 ml) was placed in a Parr shaker bottle and purged with nitrogen for 15 minutes. Palladium on carbon catalyst (10% Pd/C, 4.0 g) was added
- 10 and the shaker bottle was further purged with 7 pressurization-evacuation cycles, repressurized, and allowed to shake 18 hours, during which time the required amount of hydrogen was consumed. The catalyst was removed by filtration through a bed of Celite and
- 15 the filtrate was concentrated under reduced pressure yielding a tan oil. Trituration with refluxing EtOAc (2 X 150 ml) followed by cooling 12 hours at -5°C gave a tan solid which was collected by filtration, washed with EtOAc (2 X 50 ml) and dried under vacuum (25.82 g, 80%).
- 20 <sup>1</sup>H NMR (CD<sub>3</sub>OD): 8.25-8.23 (m, 1H), 8.07-8.06 (m, 1H), 7.86-7.80 (m, 5H), 7.49-7.42 (m, 6H), 4.29 (s, 2H), 3.97 (s, 3H).

Part E - Methvl 3-Amino-5-(t-butoxvcarbonvlamino)-

25 <u>methylbenzoate</u>

A solution of methyl 3-amino-5-aminomethylbenzoate (19.32 g, 39.0 mmol), TEA (7.89 g, 78.0 mmol), and di-tbutyl dicarbonate (8.51 g, 39.0 mmol) in MeOH (350 ml) was allowed to react 24 hours at room temperature and

30 concentrated to yield a colorless solid. Purification by flash chromatography (silica gel; 1:1 hexane:EtOAc) gave the product (9.21 g, 84%) as a colorless solid. <sup>1</sup>H NMR (CD<sub>3</sub>OD): 7.26-7.25 (m, 2H), 6.86-6.85 (m, 1H), 4.16

٠.

2

(s, 2H), 3.88 (s, 3H), 1.48 (s, 9H); MP = 57-65°C. ESI-MS: [M+H] = 281.

#### Part F - Boc-Mamb(Z-5-Aca)-OMe

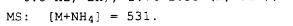
- 5 N-CBZ-e-aminocaproic acid (7.77 g, 29.3 mmol) and TEA (2.97 g, 29.3 mmol) were dissolved in anhydrous THF (250 ml) and cooled to -20°C. Isobutylchloroformate (4.00 g, 29.3 mmol) was added dropwise and the mixture allowed to react for 5 minutes at -20°C. Methyl 3-
- 10 Amino-5-(t-butoxycarbonylamino)methylbenzoate (8.20 g, 29.3 mmol) dissolved in anhydrous THF (50 ml) was cooled to -20°C and added to the reaction. The reaction mixture was allowed to slowly warm to room temperatures and was stirred for an additional 2 days. The solids
- 15 were removed by filtration and the filtrate was concentrated under reduced pressure. The resulting residue was dissolved in EtOAc (125 ml) and washed with two 50 ml portions each of 0.2 N HCl, saturated NaHCO<sub>3</sub>, and saturated NaCl. The organic layer was dried (MgSO<sub>4</sub>)
- 20 and concentrated under reduced pressure. The crude product was purified by flash chromatography (silica gel; 1:2 hexane:EtOAc), and recrystallization from CCl<sub>4</sub> to give the title compound (10.09 g, 65%) as a colorless solid. <sup>1</sup>H NMR (CDCl<sub>3</sub>): 8.03-7.63 (m, 3H), 7.32-7.28
- 25 (m, 5H), 5.12-4.92 (m, 4H), 4.27-4.25 (m, 2H), 3.85 (s, 3H), 3.17-3.12 (m, 2H), 2.34-2.28 (m, 2H), 1.72-1.66 (m, 2H), 1.48-1.53 (m, 2H), 1.43 (s, 9H), 1.36-1.34 (m, 2H); MP = 52-54°C. ESI-MS: [M+H] = 528.
- 30 Part G Boc-Mamb(Z-5-Aca)

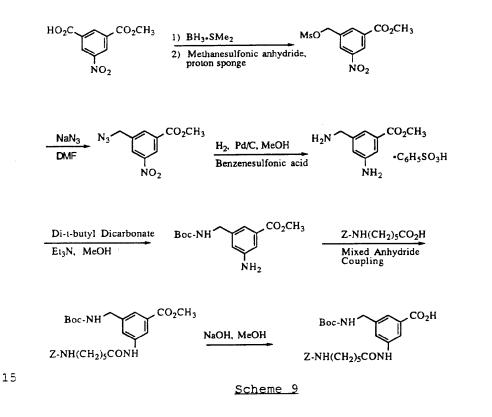
Boc-Mamb(Z-5-Aca)-OMe (22.58 g, 43.0 mmol) was dissolved in 1:1 1 N NaOH:MeOH (500 ml) and allowed to stir 18 hours at room temperature. The reaction was partitioned between EtOAc (300 ml) and  $H_{20}$  (200 ml) and

-253-

the two layers were separated. The pH of the aqueous layer was lowered to 4.5, and the resulting oily precipitate was extracted into EtOAc (2 X 300 ml). The organic extract was dried (MgSO<sub>4</sub>) and concentrated to a

- 5 yellow solid. The solid was triturated with refluxing CCl4 (3 X 100 ml) to give the product (14.17 g, 64%) as a colorless solid. <sup>1</sup>H NMR (CD3OD): 8.04 (s, 1H), 7.71-7.66 (m, 2H), 7.30-7.23 (m, 5H), 5.02 (s, 2H), 4.24 (s, 2H), 3.32 (s, 3H), 3.11 (t, J = 6.8 Hz, 2H), 2.34 (t, J 10 = 6.8 Hz, 2H), 1.74-1.35 (m, 15H); MP = 168-169°C. DCI-
- 10 -

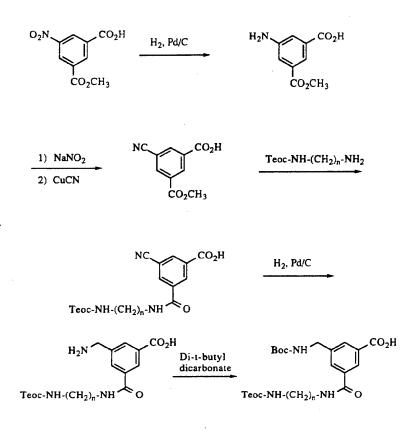




z

Scheme 10 teaches how a linker attached to the cyclizing moiety via a reverse amide functional group can also be synthesized. Reduction of the nitro group of monomethyl 3-nitroisophthalate (Fluka) using

- 5 palladium on carbon would give monomethyl 3aminoisophthalate, which can be converted to the corresponding nitrile by the Sandmeyer procedure. Treatment of this ester with a mono-protected diamine would yield the corresponding amide. The protecting
- 10 group on the diamine must be stable to hydrogenation conditions. The Scheme demonstrates the used of the Teoc (2-trimethylsilylethyloxycarbonyl) group, but others familiar to those skilled in the art can also be used. Reduction of the nitrile using palladium on
- 15 carbon would give the linker modified cyclizing moiety.

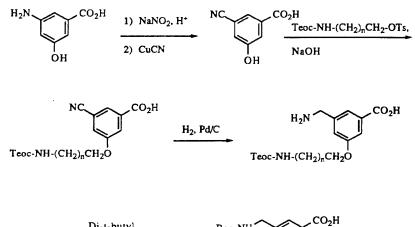


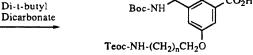
Scheme 10

- 5 Linkers attached at position Y of the ring substituted cyclizing moieties via an ether linkage can be synthesized, starting from 3-hydroxy-5-aminobenzoic acid. A Sandmeyer reaction can be used to convert the amine to a 3-hydroxy-5-cyanobenzoic acid. Alkyklation
- 10 as above introduces the linker. Reduction of the nitrile using palladium on carbon catalyst would provide the aminomethyl group. Protection of the amine with the t-Boc group using di-t-butyl dicarbonate would provide linker modified cyclizing moieties ready for use in a
- 15 solid phase synthesis. This is shown in Scheme 11.

-256-

z.

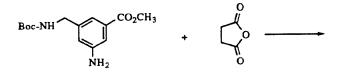


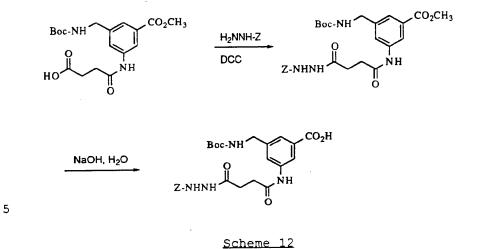


#### Scheme 11

- 5 Linkers terminating in a carboxylic acid group can be synthesized using cyclic anhydrides. Scheme 12 illustrates such a synthesis using succinic anhydride. Reaction of t-Boc protected methyl 3-aminomethyl-5aminobenzoate with succinic anhydride would give the
- 10 carboxylic acid linker. Activation of the carboxylic acid and condensation with benzyl carbazate (Lancaster Synthesis, Inc.) would give the protected hydrazide. This hydrazide serves to protect the carboxylic acid during the remainder of the synthesis. Hydrolysis of
- 15 the methyl ester provides the linker modified cyclizing moiety in a form ready to be used in the solid phase synthesis. After synthesis is complete, removal of the Cbz protecting group from the hydrazide opens the way for the preparation of an azide and azide coupling to 20 the chelator (Hofmann, Magee, and Lindenmann (1950) J.

Amer. Chem. Soc., 72, 2814). This is shown in Scheme 12.





Linkers can also be incorporated into the syntheses 10 of alternate cyclizing moieties. For example, a linker modified heterocyclic cyclizing moiety can be synthesized from 4-amino-6-carbethoxy-1hydroxymethylpyrimidine (Boger (1994), J. Amer. Chem. Soc., 116, 82-92). The alcohol would be converted to 15 the amine in three steps. First, treatment with

toluenesulfonyl chloride and base would give the tosylate, which on treatment with sodium azide would give the azide. Reduction of the azide over palladium on carbon catalyst would yield the diamine. The large

-258-

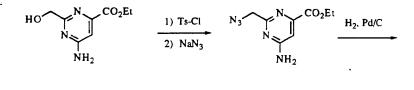
#### PCT/US94/03256

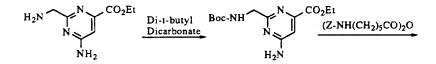
#### WO 94/22494

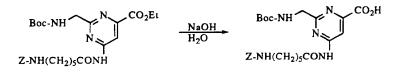
difference in nucleophilicity of the two amines will allow the selective protection of the aminomethyl group using di-t-butyl dicarbonate. Attachment of a protected linker, such as Z-5-Aca, to the remaining amine would be accomplished using mixed anhydride or symmetrical anhydride chemistry. Finally, hydrolysis of the ethyl ester would give the linker modified heterocyclic cyclizing moiety ready to be coupled to solid phase synthesis resin. This is shown in Scheme 13.

10

4







Scheme 13

15

ŝ,

## <u>Linkers</u>

The preparation of the tetraethylene glycol tether discussed above is shown in Scheme 14. The synthesis 20 begins with 1-amino-11-azido-3,6,9-trioxaundecane (Bertozzi and Bednarski (1990), J. Org. Chem., 56, 4326-

-259-

4329). Reduction of the azide with palladium on carbon catalyst gives the amine, which is protected with the Cbz group (designated as "Z" in Scheme 14, and thereafter). The alcohol is now converted to the 5 tosylate using toluenesulsonyl chloride and base.

> $N_3-(CH_2CH_2O)_4-H$  <u>1) H\_2, Pd/C</u> <u>2) Z-Cl, Et<sub>3</sub>N</u>

Z-NH-(CH2CH2O)4-H

Ts-Cl, Et<sub>3</sub>N

Z-NH-(CH<sub>2</sub>CH<sub>2</sub>O)<sub>4</sub>-Ts

#### Scheme 14

- 10 A second type of linker composed of ethylene glycol units is shown in the next Scheme. This linker bears a carboxylic acid group on one end, allowing it to be attached to cyclizing moieties containing amine functional groups. The synthesis begins with the Cbz-
- 15 protected amino alcohol described above. Treatment of the alcohol with ethyl diazoacetate and rhodium(II) acetate dimer would give the e glycolic acid ester having the tetraethylene glycol tail. Hydrolysis of the ethyl ester would provide the linker ready to be coupled 20 to the cyclizing moiety. This is shown in Scheme 15.

Z-NH-(CH<sub>2</sub>CH<sub>2</sub>O)<sub>4</sub>-H

N<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>Et Rh<sub>2</sub>(OAc)<sub>4</sub>

Z-NH-(CH2CH2O)4-CH2CO2Et

NaOH, H<sub>2</sub>O

Z-NH-(CH2CH2O)4-CH2CO2H

Scheme 15

25

As taught below, these linker modified cyclizing moieties can be used to synthesize linker modified cyclic compound intermediates.

5

٦,

2

Linker Modified Cyclic Compound 1 Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb(5-Aca))

The synthesis of the title compound is depicted in Scheme 16, shown below.

10

To a 60 ml peptide reaction vessel was added oxime resin (1.61 g, substitution level = 0.62 mmol/g). The resin was swelled by washing once with DMF (30 ml). To the reaction vessel was added Boc-Mamb(Z-5-Aca) (513 mg, 1.0 mmol), HBTU (379 mg, 1.0 mmol), and DIEA (0.52 ml, 3

- 15 mmol). The suspension was mixed at room temperature for 96 hr. The resin was washed thoroughly with 30 ml portions of DMF (3X), MeOH (1X), DCM (3X), MeOH (2X), and DCM (3X). The substitution level was determined to be 0.381 mmol/g by the picric acid test. Unreacted
- 20 oxime groups were blocked by treatment with 30 ml of 0:5
  M trimethylacetylchloride/0.5 M DIEA in DMF for 2 hours.
  The following steps were then performed: (Step 1)
  The resin was washed with 30 ml portions of DMF (3X),
- MeOH (1X), DCM (3X), MeOH (2X), and DCM (3X). (Step 2)
  25 The resin was washed with 30 ml of 50% TFA in DCM, and the t-Boc group was deprotected using 30 ml of 50% TFA in DCM for 30 minutes. (Step 3) The resin was washed thoroughly with DCM (3X), MeOH (1X), DCM (2X), MeOH (3X), and DMF (3X). (Step 4) Boc-Asp(OBzl) (0.982 g,
  30 3.04 mmol), HBTU (1.153 g, 3.04 mmol), DIEA (1.59 ml, 9.14 mmol), and DMF (14 ml) were added to the resin and the reaction was allowed to proceed for 22 hours. (Step

5) The completeness of the coupling reaction was

-261-

monitored by the picric acid test. Steps 1-5 were repeated until the desired sequence had been attained.

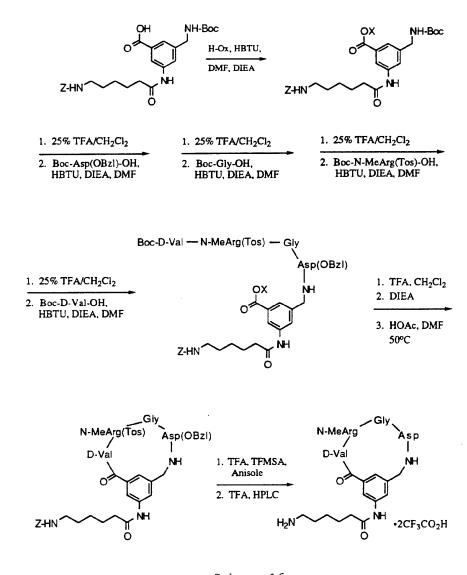
After the linear peptide was assembled, the N-terminal t-Boc group was removed first washing with 50\%

- 5 TFA in DCM, followed by treatment with 30 ml of 50% TFA in DCM for 30 minutes. The resin was washed thoroughly with DCM (3X), MeOH (2X), DCM (3X), and then neutralized with 30 ml portions of 10 DIEA in DCM (2 X 1 min.) The resin was washed with DCM (3X) and MeOH (3X), and dried
- 10 under vacuum to give 1.965 g of brown resin. The resin was cyclized by suspending in DMF (20 ml) containing HOAc (35  $\mu$ l, 0.609 mmol) and heating at 50°C for 72 hours. The resin was filtered in a scintered glass funnel and washed thoroughly with 10 ml of DMF (3X).
- 15 The DMF filtrate was evaporated, and the resulting oil was redissolved in 1:1 acetonitrile:H<sub>2</sub>O (20 ml), and lyophilized to give the protected cyclic peptide (342 mg). Purification was accomplished using reversed-phase HPLC with a preparative Vydac C18 column (2.1 cm) and an
- 20 isocratic mobile phase of 1:1 acetonitrile:H<sub>2</sub>O containing 0.1% TFA. Lyophilization of the product fraction gave purified protected peptide (127 mg). The peptide (120 mg, 0.11 mmol) was deprotected by
  - treating with TFA (1 ml) and triflic acid (1 ml) containing anisole (0.2 ml) for three hours at  $-10^{\circ}$ C.
- 25 containing anisole (0.2 ml) for three hours at -10°C. The peptide was precipitated by the addition of ether and cooling to -35°C for 1.5 hours. The peptide was collected by filtration, washed with ether, and dried. The resulting solid was dissolved in 1:1 acetone:H<sub>2</sub>O (12)
- 30 ml) and the pH is adjusted to 4-6 by treatment with Bio-Rad AG1-8X acetate ion exchange resin. The resin was filtered and washed with water. The filtrate was lyophilized to give HPLC pure peptide (75 mg, overall yield 13.5%); FAB-MS: [M+H] = 703.3951.

s.

ţ,

5



Scheme 16 Linker Modified Cyclic Compound 2 Cyclo-(D-Abu-NMeArg-Gly-Asp-Mamb(5-Aca))

The title compound was prepared using the general procedure described for cyclo-(D-Val-NMeArg-Gly-Asp-

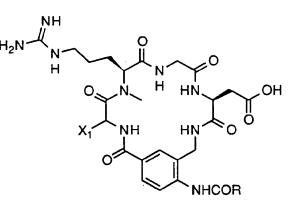
-263-

Mamb(5-Aca)). The peptide was prepared on a 1.35 mmol scale to give the crude cyclic protected peptide (1.05 g, 73%). The peptide (500 mg) was deprotected by treating with TFA (4 ml) and triflic acid (4 ml)

- 5 containing anisole (0.8 ml) for three hours at -10°C. The peptide was precipitated by the addition of ether and cooling to -35°C for 1.5 hours. The peptide was collected by filtration, washed with ether, and dried. The resulting solid was dissolved in 1:1 acetone:H<sub>2</sub>O (50)
- 10 ml) and lyophilized. Purification was accomplished by reversed-phase HPLC on a preparative Vydac C18 column (2.1 cm) using a 0.36%/min. gradient of 9 to 18% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy 15 colorless solid (218 mg, 69% recovery, overall yield

37%); FAB-MS: [M+H] = 689.3735.

Linker Modified Cyclic Compounds 3-8



25

20

Compounds cyclo(D-Val-NMeArg-Gly-Asp-Mamb(4-NHCOR), cyclo(D-Abu-NMeArg-Gly-Asp-Mamb(4-NHCOR), and cyclo(D-

-264-

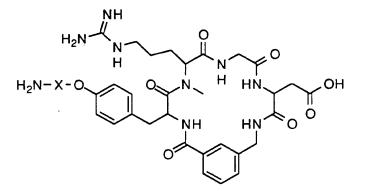
2

PCT/US94/03256

Tyr-NMeArg-Gly-Asp-Mamb(4-NHCOR) can be prepared via the procedure described above.

Linkers can be incorporated into the synthesis of 5 cyclic compound intermediates.

Linker Modified Cyclic Compounds 9,10 and 11



 $X = CH_2CH_2$ ,  $CH_2CH_2CH_2$ ,  $CH_2CH_2CH_2CH_2$ 

10

Cyclo (O-2-aminoethyl-D-Tyr)-NMeArg-Gly-Asp-Mamb), Cyclo (O-3-aminopropyl-D-Tyr)-NMeArg-Gly-Asp-Mamb), Cyclo (O-4-amino-butyl-D-Tyr)-NMeArg-Gly-Asp-Mamb):

15

20

ł,

These compounds can be prepared using the procedure described above for Cyclo(D-Tyr-NMeArg-Gly-Asp-Mamb) using linker modified D-Tyr. The O-derivatized D-Tyr can be prepared via the alkylation of boc-D-Tyr with the aminoprotected 2-bromoethylamine (or 3-bromopropylamine, 4-bromobutylamine) in the presence of a base.

Linkers can also be attached to cyclic compound 25 intermediates.

-265-

Linker Modified Cyclic Compound 12 Cyclo-(D-Lys(5-Aca)-NMeArg-Gly-Asp-Mamb)

5

The preparation of the title compound is depicted in Scheme 17, shown below.

A solution of cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb) (100 mg, 0.12 mmol), Boc-5-aminocaproic acid hydroxysuccinimide ester (47 mg, 0.144 mmol), and Et<sub>3</sub>N

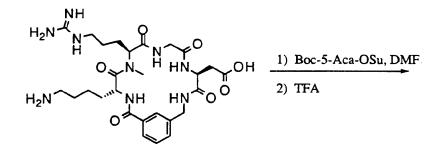
- 10 (50 μl, 0.36 mmol) in DMF (1.50 ml) was allowed to react at room temperature for 60 minutes. The progress of the reaction was monitored by normal phase TLC (90:8:2 CHCl<sub>3</sub>:MeOH:HOAc) using the ninhydrin and Sakaguchi tests. The DMF was removed under reduced pressure. The
- 15 crude conjugate was treated with TFA (3 ml) at room temperature for 45 minutes to remove the t-Boc protecting group. The TFA was removed under reduced pressure and the conjugate was purified using reversedphase HPLC with a preparative Vydac C18 column (2.1 cm)
- 20 using 6% acetonitrile containing 0.1% TFA for 20 minutes, followed by a 3.0%/min. gradient of 6 to 36% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy colorless solid (80 mg, 70%); FAB-MS: [M+H] =

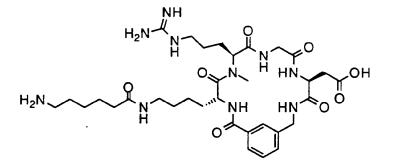
25

-266-

1

4





### <u>Scheme 17</u>

Linker Modified Cyclic Compound 13 Cyclo-([3-(4-hydroxyphenyl)propyl-D-Lys]-NMeArg-Gly-Asp-Mamb)

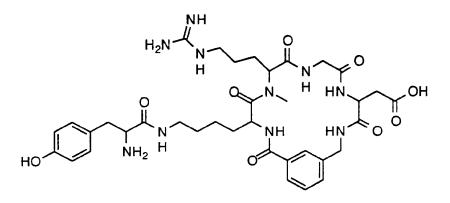
A solution of N-succinimidyl-3-(4-hydroxyphenyl)-10 propionate (Bolton-Hunter reagent; 0.022 g, 0.08 mmol) and DIEA (0.02 ml, 0.10 mmol) in dioxane (5 ml) was added to a solution of cyclo[D-Lys-N-MeArg-Gly-Asp-MAMB] (0.026 g, 0.04 mmol) in pH 9 phosphate buffer (5 ml) and the reaction was allowed to stir for 2 days at room 15 temperature. The solution was lyophilized and the resulting white solid was purified by reversed-phase preparative HPLC on a Vydac C-18 column (2.1 cm) using a 0.36%/min. gradient of 9 to 18% acetonitrile containing

-267-

0.1% TFA to give the product (0.018 g, 60%) as a colorless solid. MP = 146-155°C; ESI-MS: [M] = 751.

5

Linker Modified Cyclic Compound 14 Cyclo((N-E-Tyr-D-Lys)-NMeArg-Gly-Asp-Mamb)



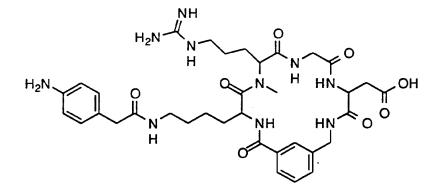
The desired compound can be prepared from the 10 reaction of Cyclo(D-Lys-NMeArg-Gly-Asp-Mamb) with boc-Tyr-OSu in a solvent such as DMF in the presence of a base such as triethylamine, followed by deprotection.

Linker Modified Cyclic Compound 15 15 Cyclo((N-E-(4-aminophenylacetyl)-D-Lys)-NMeArg-Gly-Asp-Mamb)

-268-

Υ.

\$



The desired compound can be prepared from the reaction of Cyclo(D-Lys-NMeArg-Gly-Asp-Mamb) with succinimidyl fmoc-4-aminophenylacetate in a solvent such 5 as DMF in the presence of a base such as triethylamine, followed by deprotection.

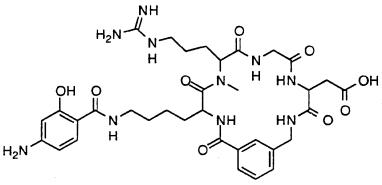
Linker Modified Cyclic Compound 16 Cyclo((N-E-(4-amino-2-hydroxybenzoyl)-D-Lys)-NMeArg-Gly-. Asp-Mamb)



10

\$

4



The desired compound can be prepared from the reaction of Cyclo(D-Lys-NMeArg-Gly-Asp-Mamb) with succimidyl 4-amino-2-hydroxybenzoate in a solvent such

-269-

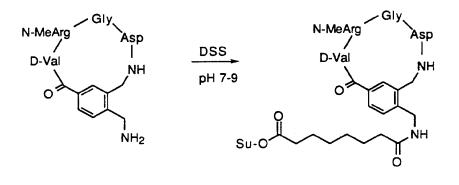
as DMF or THF in the presence of a base such as triethylamine.

- A variety of linker modifed cyclic compounds can be 5 synthesized using bifunctional cross-linking reagents developed for the derivatization of proteins. These reagents consist of two electrophilic groups, such as active esters or isocyanates, separated by a spacer. The reagents can be homobifunctional, meaning that the
- 10 two reactive groups are identical, or heterobifunctional. The spacer can be aliphatic or aromatic and may contain additional functionality to modify the lipophilicity of the conjugates, or to allow cleavage of the chain. The following examples will
- 15 illustrate the use of several commercially available cross-linking reagents using as a starting point a cyclic compound intermediate synthesized with the 4aminomethyl Mamb unit.
- In the first example, the cyclic compound is treated with an excess of DSS (disuccinimidyl suberate, Pierce Chemical Co.) in either aqueous or organic solvent at a pH of between 7 and 9. These are typical reaction conditions for these cross-linking reagents.
- 25 The excess of cross-linker minimizes the amount of dimeric species formed. The pH of 7-9 allows the amine to react at a reasonable rate but does not produce any appreciable hydrolysis of the second reactive group and prevents reaction with the guanidino group on arginine.
- 30 The active ester at the end of the linker is stable enough to allow purification by HPLC or flash chromatography. Once purified, the linker modified cyclic compound can be conjugated to a chelator

-270-

\*

containing a nucleophilic group, such as an amine or thiol. This is depicted in Scheme 18.



5

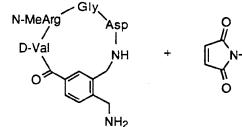
1

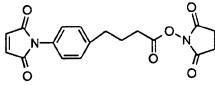
#### Scheme 18

Heterobifunctional reagents are typically used to achieve very selective activatation of peptides and 10 proteins. In the following example SMPB (succinimidyl 4-(p-maleimidophenyl)butyrate, Pierce Chemical Co.) is used to modify an amine-containing cyclic compound and prepare it for coupling to a thiol-containing chelator.

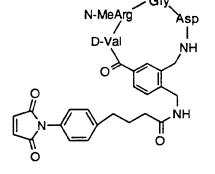
- Treatment of the cyclic compound with SMPB under 15 slightly basic conditions gives the linker modified cyclic compound in which the linker terminates in a maleimido group. Selectivity is achieved because the maleimido group shows low reactivity towards amine groups, and dimerization is minimized. After
- 20 purification, the maleimido group can be coupled to a thiol-containing chelator. This is depicted in Scheme 19.

-271-





рН 7-9



Scheme 19

Linkers containing interior functional groups can be prepared with the reagents shown in Scheme 20. EGS (ethylene glycolbis(succinimidylsuccinimidate), Sigma Chemical Co.) is a bis-succinimidyl ester which reacts preferentially with amines. Dimethyl 3,3'-

- 10 preferentially with amines. Dimethyl 3,3'dithiobispropionimidate (DTBP, also called the Wang and Richards reagent, Pierce Chemical Co.) also reacts preferentially with amines. The disulfide is cleaved by thiols. Meares and coworkers have shown (Int. J.
- 15 Cancer: Supplement 2, **1988**, 99-102) that <sup>111</sup>In labeled antibody-chelate conjugates joined by a disulfidecontaining linker show more rapid clearance of radioactivity from mice than conjugates which did not contain a cleavable linker. The third example of Scheme

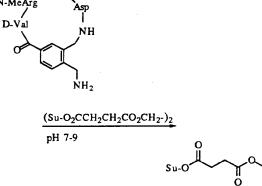
\*,

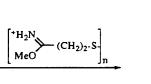
\$

1

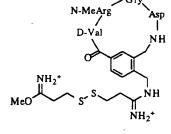
PCT/US94/03256

20 demonstrates the use of BSOCOES (bis[2-(succinimidooxycarbonyloxy)ethyl]sulfone, Pierce Chemical Co.), a homobifunctional cross-linker which contains an interior sulfone group. This reagent produces a carbamate group on conjugation with an amine.





 $(Su-OCO_2CH_2CH_2)_2SO_2$ 

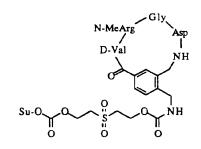


N-MeArg

D-Val

NH

IH

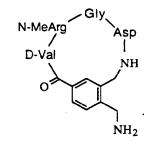


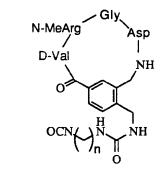
Scheme 20

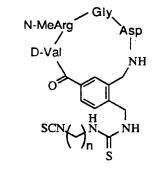
Scheme 21 illustrates the use of bisisocyanates and bisisothiocyanates in the preparation of linker modified cyclic compounds. These reagents react with amines to for urea and thiourea groups, respectively. The 5 reagents would be used in excess to minimize the formation of dimers. The isocyanate and isothiocyanate groups at the end of the linkers are sufficiently stable to allow purification of the products.

OCN-(CH<sub>2</sub>)<sub>n</sub>-NCO

10







<u>Scheme 21</u>

SCN-(CH<sub>2</sub>)<sub>n</sub>-NCS

**Chelators** 

The present invention also provides novel reagents useful for the preparation of radiopharmaceuticals.

-274-

r

Ŧ

#### WO 94/22494

٠,

\$

1

These reagents consist of a chelator, C<sub>h</sub>, attached via a linking group, L<sub>n</sub>, to a cyclic compound intermediate, Q. These reagents can be synthesized in several ways, either by attaching a chelator to a linker modified 5 cyclic compound intermediate or by attaching a chelator bearing a linking group to the cyclic compound intermediate. Preferably, the chelator is attached to linker modified cyclic compound intermediate.

10 Any chelator can be used in this invention provided it forms a stable complex to a radioactive isotope. Typically the radioactive isotope is a metal or transition metal and the complex with the chelator is a metal chelate complex. Examples of metal chelate
15 complexes can be found in a recent review (S. Jurisson et. al., Chem Rev., 1993, 93, 1137-1156) herein

incorporated by reference.

The chelators can be attached to the linkers by a 20 variety of means known to those skilled in the art. In general, a reactive group on the linker can react with the chelator or alternatively a reactive group on the chelator can react with the linker. Suitable reactive groups include active esters, isothiocyanates, alkyl and 25 aryl halides, amines, thiols, hydrazines, maleimides, and the like. Several linker modified cyclic compounds bearing reactive groups are described in the examples below.

30 Representative chelators include: diethylenetriamine- pentaacetic acid (DTPA), ethylenediamine-tetraacetic acid (EDTA), 1,4,7,10tetraazacyclododecane-N,N',N'',N'''-tetraacetic acid (DOTA), 1,4,7,10-tetraaza-cyclododecane-N,N',N''-

-275-

triacetic acid, hydroxybenzyl-ethylene-diamine diacetic acid, N,N'-bis(pyridoxyl- 5-phosphate)ethylene diamine, N,N'-diacetate, 3,6,9-triaza-12- oxa-3,6,9tricarboxymethylene-10-carboxy-13-phenyl-tridecanoic 5 acid, 1,4,7-triazacyclononane-N,N',N''-triacetic acid, 1,4,8,11- tetraazacyclo-tetradecane-N,N'N'',N'''tetraacetic acid, 2,3-bis(S- benzoyl)mercaptoacetamidopropanoic acid and the chelators described below. Other chelators may include metal binding regions derived from 10 metal binding proteins such as, for example,

metallothionines which are sulfhydryl-rich cytoplasmic proteins present in vertebrates, invertebrates and fungi.

### 15 <u>Synthesis of Chelators</u>

Synthesis of 4.5 bis((Sbenzoyl)mercaptoacetamido)pentanoic acid (mapt).

20 The chelator was synthesized as described in Fritzberg et. al., Appl. Radiat. Isot. 1991, 42, 525-530.

<u>Synthesis of (S-</u>

25 <u>benzoyl)mercaptoacetylglycylglycylglycine (MAG3</u>)

The chelator was synthesized as described in Brandau, W. et al., Appl. Radiat. Isot. **1988**, 39, 121-129.

30

Synthesis of Succinimidyl 6-Boc-hydrazinopyridine-3carboxvlate (SHNH)

-276-

۲.

1

The chelator was synthesized as described in Schwartz et. al., 1990, European Patent Application 90301949.5.

5

\*,

# <u>Synthesis of N-[4-(Carboxy)benzyl]-N,N'-bis[(2-</u> <u>triphenylmethylthio)ethyllglycinamide N-</u> <u>hydroxysuccinimide ester</u>

The synthesis of the title compound is depicted 10 below in Scheme 22.

# Part A - S-Triphenylmethyl-2-aminoethanethiol

A solution of cysteamine hydrochloride (79.5 g, 0.7 mol) in TFA (500 ml) was treated with triphenylmethanol

- 15 (182 g, 0.7 mol), and stirred at room temperature for one hour. TFA was removed under reduced pressure at a temperature of 45°C and the resulting dark orange oil was dissolved in EtOAc (700 ml). The EtOAc solution was washed with cold 2N NaOH (3 X 350 ml), H<sub>2</sub>O (2 X 350 ml),
- 20 saturated NaHCO<sub>3</sub> (350 ml), and saturated NaCl (350 ml). The combined aqueous washings were back extracted with EtOAc (350 ml). The combined organic layers were dried (MgSO<sub>4</sub>) and concentrated to a yellow solid. Trituration with ether (500 ml) gave product (97.2 g, 43%) as a
- 25 colorless solid, MP 90-92°C (D. Brenner et al., J. Inorg. Chem. 1984, 23, 3793-3797, MP 93-94°C). Concentration of the ether triturant to a volume of 100 ml and cooling produced an additional 40.9 g of product, MP 89-91°C, for a combined yield of 62%.

30

\$

t

# Part B - N-2-Bromoacetyl-S-triphenylmethyl-2-

### <u>aminoethanethiol</u>

A solution S-triphenylmethyl-2-aminoethanethiol (48 g, 0.15 mol) and Et\_3N (20.9 ml, 0.15 mol) in DCM (180

-277-

¥

÷

| 5  | ml) was slowly added to a stirred solution of<br>bromoacetyl bromide (13.9 ml, 0.15 mol) in DCM (100 ml)<br>at a temperature of -20°C. The reaction was allowed to<br>warm to room temperature over a one hour period. The<br>reaction was washed with 500 ml portions of H <sub>2</sub> O, 0.2 N<br>HCl, saturated NaHCO <sub>3</sub> , and saturated NaCl. The organic<br>solution was dried (MgSO <sub>4</sub> ) and concentrated to an oil.<br>This oil was crystallized from DCM-hexane to give<br>product (54.9 g, 83%) as a colorless solid, MP 137-<br>139.5°C (J.A. Wolff, Ph.D. Thesis, Massachusetts<br>Institute of Technology, February 1992, MP 130-135°C. |
|----|--|
|    | Part C - N,N'-Bis[(2-  |
|    | triphenylmethylthio)ethyllglycinamide  |
| 15 | A solution of N-2-Bromoacetyl-S-triphenylmethyl-2-<br>aminoethanethiol (35.2 g, 0.08 mol), S-triphenylmethyl-  |
|    | 2-aminoethanethiol (25.5 g, 0.08 mol), and $Et_3N$ (16.7   |
|    | ml, 0.12 mol) in DCM (375 ml) was kept at room   |
|    | temperature for 24 hours. The solution was washed with   |
| 20 | 200 ml portions of $H_2O$ (1X), saturated NaHCO <sub>3</sub> (2X), $H_2O$  |
|    | (1X), and saturated NaCl (1X), dried (MgSO <sub>4</sub> ), and concentrated to give a viscous oil. The oil was   |
|    | dissolved in 70:30 DCM:EtOAc (150 ml) and cooled in an   |
|    | ice bath. The solid which formed was removed by  |
| 25 | filtration. The filtrate was concentrated to a viscous   |
|    | oil. This oil was purified by flash chromatography over  |
|    | 200-400 mesh, 60Å silica gel using 70:30 DCM:EtOAc   |
|    | mobile phase to give product (34.4 g, 63%) as a colorless, amorphous foamy solid. <sup>1</sup> H NMR (CDCl <sub>3</sub> ) 7.42-  |
| 30 | 7.18 (m, 30H), 3.12-3.01 (m, 4H), 2.48-2.27 (m, 6H).   |
|    |  |
|    | <u> Part D - Methyl 4-(Methanesulfonylmethyl)benzoate</u>  |
|    | A solution of methyl 4-(hydroxymethyl)benzoate   |
|    | (10.8 g, 0.065 mol) and proton sponge (19.5 g, 0.091   |
|    |  |
|    | -278-  |
|    |  |

٦.

1

mol) in DCM (200 ml) was treated with methanesulfonic anhydride (13.94 g, 0.08 mol) and stirred at room temperature for 20 hours. The reaction mixture was washed with 100 ml portions of H<sub>2</sub>O (1X), 1N HCl (2X), 5 H<sub>2</sub>O (1X), saturated NaHCO<sub>3</sub> (1X), and H<sub>2</sub>O (1X). The organic phase was dried (MgSO<sub>4</sub>) and concentrated to give 15.5 g of pale yellow solid. Recrystallization from CCl<sub>4</sub> (150 ml) using decolorizing carbon gave product (14.2 g, 90%) as colorless needles, MP 91-94°C.

# triphenylmethylthio)ethyllglycinamide

A solution of N, N'-Bis[(2-triphenylmethylthio)ethyl]glycinamide (16.27 g, 0.024 mol) and 15 methyl 4-(methanesulfonylmethyl)benzoate (4.88 g, 0.02 mol) in ethylene dichloride (200 ml) was heated to reflux for 28 hours. The reaction was washed with 200 ml portions of saturated NaHCO<sub>3</sub> and H<sub>2</sub>O, dried (MgSO<sub>4</sub>), and concentrated to a light brown oil (30 g). This oil was purified by flash chromatography over 200-400 mesh, 20 60Å silica gel using DCM:EtOAc mobile phase to give product (9.9 g, 60%) as a colorless, amorphous foamy solid.  $^{1}H$  NMR (CDCl<sub>3</sub>) 7.90 (d, 2H, J = 6.5 Hz), 7.49-7.18 (m, 32H), 3.91 (s, 3H), 3.47 (s, 2H), 3.01 (q, 2H, J = 6.2 Hz, 2.88 (s, 2H), 2.43 (t, 2H, J = 6.2 Hz), 25

2.39-2.27 (m, 4H).

# <u>Part F - N-[4-(Carboxy)benzyl]-N,N'-bis[(2-triphenyl-</u> methylthio)ethylglycinamide

30

2

A mixture of N-[4-(carbomethoxy)benzyl]-N,N'bis[(2-triphenylmethylthio)ethyl]glycinamide (6.00 g, 7.26 mmol) in dioxane (65 ml) and 1N NaOH (65 ml) was stirred at room temperature for 24 hours. The mixture was acidified with 2.5 M citric acid (100 ml) and the

-279-

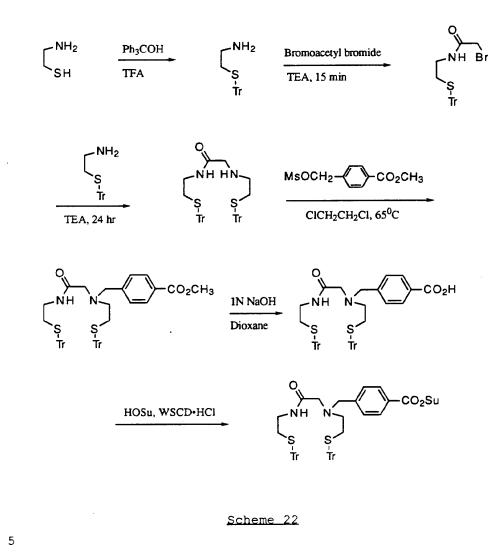
gummy precipitate which formed was extracted into EtOAc (400 ml). The EtOAc solution was washed with  $H_2O$  (3 X 200 ml) and saturated NaCl (100 ml), dried (MgSO<sub>4</sub>), and concentrated to give product (5.90 g, 100%) as a

- 5 colorless, amorphous foamy solid. <sup>1</sup>H NMR (CDCl<sub>3</sub>) 7.96 (d, 2H, J = 8.1 Hz), 7.40-7.16 (m, 32H), 3.71 (s, 3H), 3.49 (s, 2H), 3.00 (q, 2H, J = 5.4 Hz), 2.91 (s, 2H), 2.44 (t, 2H, J = 5.4 Hz), 2.38-2.30 (m, 4H).
- 10 <u>Part G N-[4-(Carboxy)benzyl]-N,N'-bis[(2-</u> <u>triphenylmethylthio)ethyllglycinamide N-</u> <u>hydroxysuccinimide ester</u>

A solution of N-[4-(carboxy)benzyl]-N,N'-bis[(2triphenylmethylthio)ethyl]glycinamide (450 mg, 0.55

- 15 mmol) and N-hydroxysuccinimide (76 mg, 0.66 mmol) in DCM (10 ml) was treated with a solution of WSCD•HCl (122 mg, 0.66 mmol) in DCM (7 ml) and stirred at room temperature for 22 hours. The reaction mixture was concentrated and the solids redissolved in EtOAc (60 ml). The EtOAc
- 20 solution was washed with  $H_2O$  (2 X 25 ml), 0.1 N NaOH (35 ml),  $H_2O$  (2 X 25 ml), and saturated NaCl (35 ml), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated to give product (469 mg, 93%) as a colorless solid.

1



<u>Synthesis of N-[2-(Benzoylthio)propionyl]glycylglycyl-g-</u> <u>Amino-butyric Acid(Bz-Me-MAG<sub>2</sub>-gaba).</u>

10

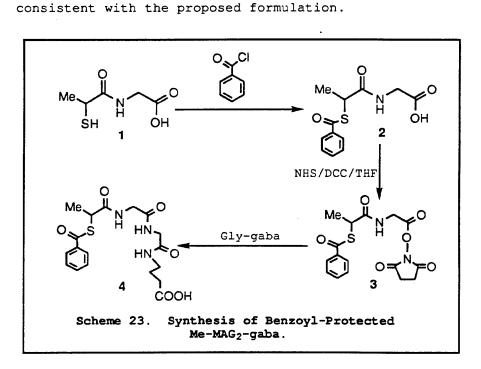
2

1

The title compound was prepared according to Scheme 23 from N-(2-mercaptopropionyl)-glycine (1), which is commercially available from Aldrich. The protection of

-281-

the thiol group in compound 1 is achieved by reacting with benzoyl chloride under basic conditions to give compund 2. The carboxylic group can be activated by forming its succinimide ester (3), which reacts with 5 glycyl-g-aminobutyric acid in 90% methanol solution to give the benzoyl-protected Me-MAG<sub>2</sub>-gaba (4). The spectral (IR, <sup>1</sup>H NMR and FAB-MS) data are completely



10

15

### Step 1: N-[2-(benzoylthiol)propionyl]glycine

(2). Sodium hydroxide (4.5 g, 0.109 mol) and N-(2mercaptopropionyl)glycine (8.20 g, 0.05 mol) were dissolved in a mixture of water (40 mL) and toluene (30 mL). The temperature was lowered to 5-15 °C using an ice bath. Benzoyl chloride (4.6 mL, 0.051 mol) in toluene (10 mL) was added dropwise with vigorously stirring. After addition, the mixture was stirred at 5-

\*

•\_

4

1

•

|    | 15 °C for another 30 min., and then at room temperature  |
|----|--|
|    | for 2 hr. The organic layer was separated, washed with   |
|    | $	extsf{H}_2	extsf{O}$ (2x20 mL), and discarded. Aqueous fractions were  |
|    | combined and acidified to pH $\sim$ 1.5 using concentrated   |
| 5  | HCl while white solid formed. The precipitate was  |
|    | collected by filtration, washed with $H_2O$ and small  |
|    | amount of ethanol, and dried under vacuum. The yield   |
|    | was 13.0 g (97%). Anal. Calcd (found) for $C_{12}H_{13}NO_4S$ : C,   |
|    | 53.90 (53.89); H, 4.90 (4.81); N, 5.24 (5.22). IR (KBr   |
| 10 | disk, in $cm^{-1}$ ): 3375 (s, $n_{N-H}$ ) 3200-2500 (br, $n_{O-H}$ ); 1745  |
|    | (vs, thioester $n_{C=0}$ ); 1663, 1625 (vs, amide and  |
|    | carboxylic $n_{C=O}$ ). <sup>1</sup> H NMR (DMSO- $d_6$ , d in ppm): 1.47 (d,  |
|    | 3H, $CH_3$ , $J = 7.0 H_2$ ; 3.79 (d, 2H, $CH_2$ , $J = 5.9 H_2$ );  |
|    | 4.40 (q, 1H, CH, $J = 7.0 Hz$ ); 7.53 (m, 2H, =CH); 7.69   |
| 15 | (m, 1H, =CH); 7.90 (dd, 2H, =CH, J = 7.0 Hz); 8.59 (t,   |
|    | 1H, NH, $J = 5.8 \text{ Hz}$ ; 12.6 (bs, 1H, COOH ). DCI-MS: $m/z$   |
|    | $= 268 ([M+H]^+).$   |
|    |  |
|    |  |
|    | Step 2: N-[2-(Benzoylthio)propionyl]glycine  |
| 20 | Step 2: N-[2-(Benzoylthio)propionyl]glycine<br>Succinimide Ester (3). To a suspension of N-  |
| 20 |  |
| 20 | Succinimide Ester (3). To a suspension of N-   |
| 20 | Succinimide Ester (3). To a suspension of N-<br>hydroxysuccinimide (5.80 g, 0.05 mol) and N-[2-  |
| 20 | Succinimide Ester (3). To a suspension of N-<br>hydroxysuccinimide (5.80 g, 0.05 mol) and N-[2-<br>(benzoylthiol)propionyl]glycine (13.35 g, 0.05 mol) in  |
| 20 | Succinimide Ester (3). To a suspension of N-<br>hydroxysuccinimide (5.80 g, 0.05 mol) and N-[2-<br>(benzoylthiol)propionyl]glycine (13.35 g, 0.05 mol) in<br>dry THF (400 mL) was added DCC (12.0 g, 0.052 mol) in<br>the same solvent (100 mL THF) at 5-10 °C. The mixture<br>was stirred at 5 - 10 °C for 2hr, and then at room  |
|    | Succinimide Ester (3). To a suspension of N-<br>hydroxysuccinimide (5.80 g, 0.05 mol) and N-[2-<br>(benzoylthiol)propionyl]glycine (13.35 g, 0.05 mol) in<br>dry THF (400 mL) was added DCC (12.0 g, 0.052 mol) in<br>the same solvent (100 mL THF) at 5-10 °C. The mixture  |
|    | Succinimide Ester (3). To a suspension of N-<br>hydroxysuccinimide (5.80 g, 0.05 mol) and N-[2-<br>(benzoylthiol)propionyl]glycine (13.35 g, 0.05 mol) in<br>dry THF (400 mL) was added DCC (12.0 g, 0.052 mol) in<br>the same solvent (100 mL THF) at 5-10 °C. The mixture<br>was stirred at 5 - 10 °C for 2hr, and then at room  |
|    | Succinimide Ester (3). To a suspension of N-<br>hydroxysuccinimide (5.80 g, 0.05 mol) and N-[2-<br>(benzoylthiol)propionyl]glycine (13.35 g, 0.05 mol) in<br>dry THF (400 mL) was added DCC (12.0 g, 0.052 mol) in<br>the same solvent (100 mL THF) at 5-10 °C. The mixture<br>was stirred at 5 - 10 °C for 2hr, and then at room<br>temperature for 2 days. To the reaction mixture was   |
|    | Succinimide Ester (3). To a suspension of N-<br>hydroxysuccinimide (5.80 g, 0.05 mol) and N-[2-<br>(benzoylthiol)propionyl]glycine (13.35 g, 0.05 mol) in<br>dry THF (400 mL) was added DCC (12.0 g, 0.052 mol) in<br>the same solvent (100 mL THF) at 5-10 °C. The mixture<br>was stirred at 5 - 10 °C for 2hr, and then at room<br>temperature for 2 days. To the reaction mixture was<br>added 2-3 mL of acetic acid and then stirred for another   |
|    | Succinimide Ester (3). To a suspension of N-<br>hydroxysuccinimide (5.80 g, 0.05 mol) and N-[2-<br>(benzoylthiol)propionyl]glycine (13.35 g, 0.05 mol) in<br>dry THF (400 mL) was added DCC (12.0 g, 0.052 mol) in<br>the same solvent (100 mL THF) at 5-10 °C. The mixture<br>was stirred at 5 - 10 °C for 2hr, and then at room<br>temperature for 2 days. To the reaction mixture was<br>added 2-3 mL of acetic acid and then stirred for another<br>2 hr. The solid was filtered off, washed with 2x150 mL<br>of THF. The organic fractions were combined and the<br>solvent was removed under reduced pressure to give a  |
| 25 | Succinimide Ester (3). To a suspension of N-<br>hydroxysuccinimide (5.80 g, 0.05 mol) and N-[2-<br>(benzoylthiol)propionyl]glycine (13.35 g, 0.05 mol) in<br>dry THF (400 mL) was added DCC (12.0 g, 0.052 mol) in<br>the same solvent (100 mL THF) at 5-10 °C. The mixture<br>was stirred at 5 - 10 °C for 2hr, and then at room<br>temperature for 2 days. To the reaction mixture was<br>added 2-3 mL of acetic acid and then stirred for another<br>2 hr. The solid was filtered off, washed with 2x150 mL<br>of THF. The organic fractions were combined and the<br>solvent was removed under reduced pressure to give a<br>white solid, which was collected, washed with diethyl   |
| 25 | Succinimide Ester (3). To a suspension of N-<br>hydroxysuccinimide (5.80 g, 0.05 mol) and N-[2-<br>(benzoylthiol)propionyl]glycine (13.35 g, 0.05 mol) in<br>dry THF (400 mL) was added DCC (12.0 g, 0.052 mol) in<br>the same solvent (100 mL THF) at 5-10 °C. The mixture<br>was stirred at 5 - 10 °C for 2hr, and then at room<br>temperature for 2 days. To the reaction mixture was<br>added 2-3 mL of acetic acid and then stirred for another<br>2 hr. The solid was filtered off, washed with 2x150 mL<br>of THF. The organic fractions were combined and the<br>solvent was removed under reduced pressure to give a<br>white solid, which was collected, washed with diethyl<br>ether, and dried in air. The yield was 14.5 g (80%). |
| 25 | Succinimide Ester (3). To a suspension of N-<br>hydroxysuccinimide (5.80 g, 0.05 mol) and N-[2-<br>(benzoylthiol)propionyl]glycine (13.35 g, 0.05 mol) in<br>dry THF (400 mL) was added DCC (12.0 g, 0.052 mol) in<br>the same solvent (100 mL THF) at 5-10 °C. The mixture<br>was stirred at 5 - 10 °C for 2hr, and then at room<br>temperature for 2 days. To the reaction mixture was<br>added 2-3 mL of acetic acid and then stirred for another<br>2 hr. The solid was filtered off, washed with 2x150 mL<br>of THF. The organic fractions were combined and the<br>solvent was removed under reduced pressure to give a<br>white solid, which was collected, washed with diethyl   |

-283-

3290 (s,  $n_{N-H}$ ); 1820 (m, succinimide  $n_{C=0}$ ); 1785 (m, ester  $n_{C=0}$ ); 1735 (vs, thioester  $n_{C=0}$ ); 1600 (vs, amide  $n_{C=0}$ ). <sup>1</sup>H NMR (CDCl<sub>3</sub>, d in ppm): 1.57 (d, 3H, CH<sub>3</sub>, J = 7.0 Hz); 2.79 (s, 4H, CH<sub>2</sub>); 4.33 (q, 1H, CH, J = 7.0 Hz); 4.39 (m, 2H, CH<sub>2</sub>); 7.00 (t, 1H, NH, J = 5.8 Hz); 7.44 (m, 2H, =CH); 7.59 (m, 1H, =CH); 7.93 (dd, 2H, =CH, J = 7.0 Hz). DCI-MS: m/z = 365 ([M+H]<sup>+</sup>).

### Step 3: N-[2-

- 10 (Benzoylthio)propionyl]glycylglycyl-g-Aminobutyric Acid (Bz-Me-MAG<sub>2</sub>-gaba, 4). N-[2-(Benzoylthio)-propionyl]glycine succinimide ester (1.82 g, 5 mmol) and glycyl-g-aminobutyric acid (0.80 g, 5 mmol) were suspended in a mixture of methanol (150 mL)
- 15 and water (30 mL). The mixture was heated to reflux for 5 hr, during which time the cloudy mixture became a clear solution. The solution was then cooled to room temperature and was kept stirring overnight. Evaporation of solvents under reduced pressure give a
- 20 white solid, which was purified by washing with water, and dried under vacuum. The yield was 1.85 g (93%). Anal. Calcd (found) for  $C_{18}H_{23}N_{3}O_{6}S$ : C, 52.78 (52.69); H, 5.66 (5.70); N, 10.27 (10.17). IR (KBr disk, in cm<sup>-1</sup>): 3380, 3320 (s,  $n_{N-H}$ ); 3100-2500 (br,  $n_{O-H}$ ); 1725 (vs,
- 25 thioester  $n_{C=0}$ ; 1680, 1640, 1624 (vs, amide  $n_{C=0}$ ). <sup>1</sup>H NMR (DMSO- $d_6$ , d in ppm): 1.49 (d, 3H, CH<sub>3</sub>, J = 7.0 Hz); 1.62 (qin, 2H, CH<sub>2</sub>, J = 7.1 Hz); 2.21 (t, 2H, CH<sub>2</sub>COOH, J = 7.5 Hz); 3.05 (qart, 2H, NH-CH<sub>2</sub>, J = 7.0 Hz); 3.67 (d, 2H, NH-CH<sub>2</sub>, J = 5.7 Hz); 3.75 (d, 2H, NH-CH<sub>2</sub>, J = 7.0
- 30 Hz); 4.42 (q, 1H, CH, J = 7.0 Hz); 7.57 (m, 2H, =CH); 7.70 (m, 1H, =CH); 7..80 (t, 1H, NH, J = 3.0 Hz); 7.90 (dd, 2H, =CH, J = 7.0 Hz); 8.14 (t, 1H, NH, J = 5.70 Hz); 8.57 (t, 1H, NH, J =

-284-

t.

5.90 Hz), 12.0 (bs, 1H, COOH). DCI-MS: m/z = 410 ([M+H]<sup>+</sup>).

Synthesis of N-[2-

5 (Benzovlthio)propionyllglycylglycylglycine (Bz-Me-MAG<sub>3</sub>)

The title compound was synthesized as described for Bz-Me-MAG<sub>2</sub>-gaba by substituting glycylglycine for glycyl-g-aminobutyric acid. The yield was 83%. Anal.

- 10 Calcd (found) for  $C_{16}H_{19}N_{3}O_{6}S$ : C, 50.39 (50.59); H, 5.02(5.78); N, 11.02 (10.70). IR (KBr disk, in cm<sup>-1</sup>): 3380, 3300 (s,  $n_{N-H}$ ); 3100-2500 (br,  $n_{O-H}$ ); 1738 (vs, thioester  $n_{C=O}$ ); 1680, 1660 (vs, amide  $n_{C=O}$ ). <sup>1</sup>H NMR (DMSO-d<sub>6</sub>, d in ppm): 1.48 (d, 3H, CH<sub>3</sub>, J = 7.05 Hz);
- 15  $3.78 (m, 4H, CH_2); 3.85 (d, 2H, CH_2, J = 6.00 Hz); 4.41$ (m, 1H, CH); 7.52 (m, 2H, =CH); 7.70 (m, 1H, =CH), 7.90 (m, 2H, =CH); 8.15 (t, 1H, NH, J = 3.00 Hz); 8.51 (t, 1H, NH, J = 3.00 Hz); 8.80 (t, 1H, NH, J = 3.00 Hz). FAB-MS: m/z = 382 ([M+H]<sup>+</sup>). ESI-MS: m/z = 381.920 ([M+H]<sup>+</sup>).

Synthesis of N-[2-(Benzoylthio)propionylalycylalycyl-4-Amino-methylcyclohexane Carboxylic Acid (Bz-Me-MAG<sub>2</sub>-ACA).

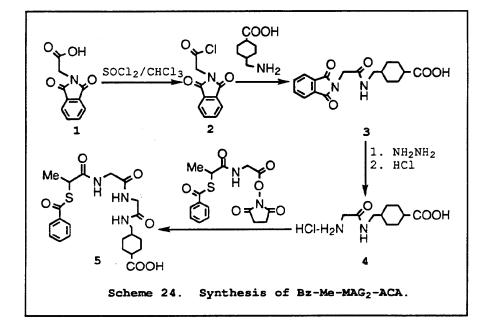
25

<u>.</u>

t

Synthesis of Bz-Me-MAG2-ACA involves several steps (Scheme 24). Compound 1 could be easily converted to its chloride 2, which reacted with 4-trans-amino-methylcyclohexane carboxylic acid to give compound 3.
30 Deprotection of 3 using hydrazine in ethanol, followed by addition of HCl produces 4. Reaction of 4 with Bz-Me-MAG-Succ in methanol in presence of Et<sub>3</sub>N afforded Bz-Me-MAG2-ACA 5.

-285-



Step 1: Phthaloylglycyl Chloride. Phthaloylglycine (40 g) was suspended in chloroform (400 mL), followed by addition of thionyl chloride (60 mL). The mixture was heated to reflux for 2 hr, during which time the mixture became a homogeneous clear solution. The solvent and excess of thionyl chloride was removed under reduced pressure to give an off-white solid, which was dried under vacuum and used without further purification. <sup>1</sup>H

NMR was consistent with the proposed structure.

### Step 2: 4-trans-

[(Phthaloylglycyl)aminomethyl]cyclohexane Carboxylic

15 Acid. Suspended were 4-trans-aminomethylcyclohexane carboxylic acid (7.85 g, 50 mmol) and K<sub>2</sub>CO<sub>3</sub> (5 g, 50 mmol) in DMF (150 mL). To the suspension was added phthaloylglycyl chloride (11.85 g, 50 mmol) in acetonitrile (150 mL). The reaction mixture was

-286-

t

## PCT/US94/03256

#### WO 94/22494

\* \_

\$

t

|    | refluxed for 3 hr and then filtered while hot. Solvents                  |
|----|--|
|    | were removed under reduced pressure to give an oil.                      |
|    | Upon addition of diethyl ether (50 mL), a white solide                   |
|    | formed. The solid was collected by filtration, washed                    |
| 5  | with diethyl ether, and dried in air. The yield was                      |
|    | 10.32 g (60%). <sup>1</sup> H NMR (in DMSO- $d_6$ , d in ppm relative to |
|    | TMS): 0.87-2.00 (m, 9H, CH <sub>2</sub> and CH from cyclohexane          |
|    | ring); 2.10 (m, 1H, CHCOOH); 2.92 (t, 2H, $CH_2$ , $J = 4.6$             |
|    | Hz); 4.19 (s, 2H, CH <sub>2</sub> ); 7.85 (m, 4H, -CH=); 8.21 (t, 1H,    |
| 10 | NH, J = 4.1 Hz).   |
|    |  |
|    | Step 3: Glycyl-4- <i>trans</i> -(Aminomethyl)cyclohexane                 |
|    | Carboxylic Acid Hydrochloride (Gly-ACA·HCl). To a                        |
|    | suspension of 4-trans-   |
| 15 | [(Phthaloylglycyl)aminomethyl]cyclohexane carboxylic                     |
|    | acid   |
|    | (10.32 g, 30 mmol) in ethanol (300 mL) was added 85%                     |
|    | hydrazine hydrate (100 mL). The mixture was heated to                    |
|    | reflux for 12 hr, during which time a white precipitate                  |
| 20 | formed. After solvent was removed, 2 N HCl (200 mL) was                  |
|    | added to the residue. The mixture was warmed up to 60-                   |
|    | 70 °C for 20 min and the solid was filtered off and                      |
|    | discarded. The filtrate was concentrated to 1/3 of its                   |
|    | original volume. The mixture was cooled in an ice bath                   |
| 25 | for 2 hr. The precipitate was collected by filtration,                   |
|    | washed with a small amount of water and ethanol, and                     |
|    | dried under vacuum. The yield was 3.45 g (45%). <sup>1</sup> H NMR       |
|    | (in D <sub>2</sub> O, d in ppm relative to TMS): 1.04 (m, 2H, $CH_2$ );  |
|    | 1.45 (m, 2H, CH <sub>2</sub> ); 1.57 (m, 1H, CH), 1.81-2.05 (m, 4H,      |
| 30 | $CH_2$ ; 2.35 (m, 1H, CHCOOH); 3.15 (d, 2H, $CH_2$ , $J = 4.9$           |
|    | Hz); 3.84 (s, 2H, CH <sub>2</sub> ).                                     |
|    |  |
|    | Step 4: N-[2-(Benzoylthio)propiony]glycylglycyl-4-                       |

Amino-methylcyclohexane Carboxylic Acid (Bz-Me-MAG2-

-287-

WO 94/22494

|     | ACA). Gly-ACA·HCl (1.25 g, 5 mmol), $Et_3N$ (1.0 g, 10  |
|-----|---|
|     | mmol) and Bz-Me-MAG-Succ (1.82 g, 5 mmol) were suspended  |
|     | in a mixture of methanol (200 mL) and acetonitrile (100   |
|     | mL). The mixture was refluxed overnight. Solvents were  |
| 5   | removed under reduced pressure to give a white solid  |
|     | residue, to which was added 6 N HCl (10 mL). The solid  |
|     | was separated by filtration, washed with water and small  |
|     | amount of ethanol, and dried under vacuum. The yield  |
|     | was 1.35 g (58%). Anal. Calcd (found) for $C_{22}H_{29}N_{3}O_{6}S$ :   |
| 10  | C, 57.00 (58.41);   |
|     | H, 6.31 (6.70); N, 9.06 (9.72). IR (KBr disk, in $cm^{-1}$ ):   |
|     | 3600-2000 (br, OHN); 3270 (s, $n_{N-H}$ ); 1720, 1655, 1625,  |
|     | and 1565 (vs, $n_{C=0}$ ). FAB-MS: $m/z = 464$ (M+1). <sup>1</sup> H NMR  |
|     | (in DMSO- $d_6$ , d in ppm relative to TMS): 0.81-1.90 (m,  |
| 15  | 9H, CH <sub>2</sub> and CH from cyclohexane ring); 1.48 (d, 3H, CH <sub>3</sub> ,                                   |
|     | J = 5.2 Hz; 2.10 (t, 1H, CHCOOH, $J = 9.0 Hz$ ); 2.91 (t,   |
|     | 2H, CH <sub>2</sub> , $J = 4.6$ Hz); 3.68 (d, 2H, CH <sub>2</sub> , 4.2 Hz); 3.75                                   |
|     | $(d, 2H, CH_2, J = 4.1 H_2); 4.42 (q, 1H, CH, J = 5.2 H_2);$  |
| 0.0 | 7.50 (t, 2H, $-CH=$ , $J = 5.8 \text{ Hz}$ ); 7.71 (t, 2H, $-CH=$ , $J =$   |
| 20  | 5.4 Hz); 7.91 (d, 1H, $-CH=$ , J = 6.4 Hz); 8.14 (t, 1H, NU, Z, A, 2, U, 2, C, C, (z, 1U, NU, Z, A, 1, U, 2), 12.00 |
|     | NH, $J = 4.2 \text{ Hz}$ ; 8.60 (t, 1H, NH, $J = 4.1 \text{ Hz}$ ), 12.00   |
|     | (bs, 1H, COOH).   |
|     | Synthesis of 3,4-Bis[3-(Benzoylthioacetyl)amido]benzoic   |
| 25  | Acid (Bz-MABA).   |
|     |   |
|     | To a solution of S-benzoylthioacetyl chloride   |
|     | (8.69g, 40 mmol), freshly prepared from the reaction of   |
|     | S-benzoylthioacetic acid with excess of thionyl chloride  |
|     |   |

30 in chloroform, in dry THF (300 mL) was added 3,4diaminobenzoic acid (3.04 g, 20 mmol) while the solution became brown. The solution was refluxed over night, during which time a precipitate formed. The mixture was cooled, and the solid was separated by filtration,

-288-

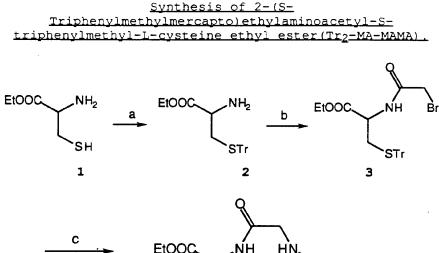
٩,

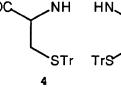
\$

10

15

washed with THF, ethanol and diethyl ether, and dried under vacuum to give a pale gray solid. The yield was 5.8 g (54%). Anal. Calcd (found) for  $C_{25}H_{20}N_{2}O_{6}S_{2}$ : C, 59.04 (58.82); H, 3.96 (4.04); N, 5.51 (5.46). IR (KBr disk, in cm<sup>-1</sup>): 3600-2000 (br, OH---N); 3340 (s, n<sub>N-H</sub>); 1690, 1670, 1655, 1610 and 1595 (s or m, n<sub>C=0</sub>). FAB-MS: m/z = 509 (M+1). <sup>1</sup>H NMR (in CDCl<sub>3</sub>, d in ppm relative to TMS): 4.12 and 4.14 (s, 4H, CH<sub>2</sub>); 7.50-8.30 (m, 13H, aromatic H's); 9.85 and 9.89 (s, 2H, NH); 12.99 (bs, 1H, COOH).





20

25

÷

a: Triphenylmethanol, TFA; b: bromoacetyl bromide, TEA, THF; c: S-triphenylmethyl-2-aminoethanethiol, TEA, methylene chloride

Scheme 25

30

-289-

WO 94/22494

S-Triphenylmethyl-L-cysteine ethyl ester (2): To a solution of L-cysteine ethyl ester hydrochloride (18.6 g, 0.1 mole) in 200 mL TFA was added triphenylmethanol (52 g, 0.2 mole). The resulting dark brown solution was allowed to stir for 2 h at room temperature under 5 nitrogen. The solvent was removed in vacuo and ethanol (100 mL) added to the residue. A 1 M solution of sodium ethoxide (50 mL) was added to the ethanolic solution and stirred for 90 min. during which time the solution turned cloudy. The mixture was filtered, the filtrated 10 was concentrated in vacuo to give an oily residue. Flash column chromatography using ethyl acetate:hexane (1:3) and ethyl acetate gave the desired product (containing some ethyl acetate which is difficult to

15 remove) which was stored under vacuum.

N-Bromoacetyl-S-triphenylmethyl-L-cysteine ethyl ester (3): A solution of S-triphenylmethyl-L-cysteine ethyl ester (18 g, 46 mmol.) and triethylamine (6.4 mL, 46 mmol.) in dry THF (250 mL) under nitrogen was cooled

- 46 mmol.) in dry THF (250 mL) under nitrogen was cooled to 0 °C. A solution of bromoacetyl bromide (9.28 g, 46 mmol.) in dry THF (60 mL) was added dropwise during which time the solution tirned cloudy. The reaction mixture was stirred at 0 °C for 1 h and then at room temperature for 1 h. The reaction mixture was filtered and the filtrate was concentrated in vacuo to give an oil. The oil was partitioned between methylene chloride and water (60 mL each), the organic layer washed with 5% HCl, NaHCO3, dried (magnesium sulfate), filtered, and
- 30 the volatiles removed to give the desired product (69%).

2-(S-Triphenylmethylmercapto)ethylaminoacetyl-Striphenylmethyl-L-cysteine ethyl ester (4): To a solution of N-bromoacetyl-S-Triphenylmethyl-L-cysteine

-290-

÷

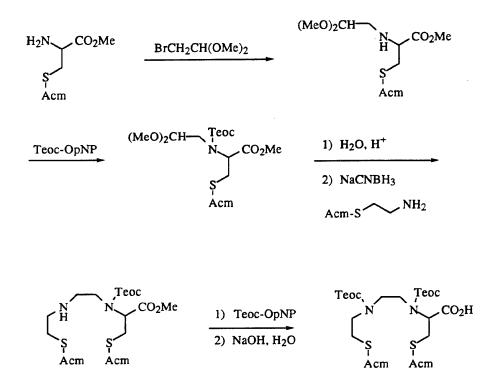
ethyl ester (1.0 g, 1.98 mmol.) and triethylamine (0.4 mL, 2.9 mmol.) in methylene chloride (10 mL) was added S-triphenylmethyl-2-aminoethanethiol (0.64 g, 2.0 mmol.). The reaction mixture allowed to stir at room

- 5 temperature for seven days. Water (10 mL) was added. The organic layer was washed with NaHCO3 (2x10 mL), water (2x10 mL), and brine (10 mL), dried (magnesium sulfate), and concentrated in vacuo to give a foamy product. Flash chromatography using ethyl
- 10 acetate:hexane (3:1) gave the product in 22% yield. MS
   (M+H) = 751, calculated 751.3

The synthesis of a chelator having a single carboxylic acid group availible for attaching the linker

- 15 is shown in Scheme 26. The synthesis begins with the N-alkylation of Cys(Acm)OMe with bromoacetaldehyde dimethylacetal. The secondary amine of the alkylation product is now protected from further reaction with the Teoc group. Other protecting groups which are stable to
- 20 both mild acid and mild base, and can be removed in the presence of sulfur may also be used. The Teoc group is introduced by the use of 2-(trimethylsilyl)ethyl pnitrophenyl carbonate. The acetal is now hydrolyzed with mild aqueous acid and the aldehyde is reductively
- 25 aminated with S-triphenylmethyl-2-aminoethanethiol. The one free amine of the chelator is protected with the Teoc group and the methyl ester is hydrolyzed with aqueous base to give the carboxylic acid ready for reaction with the reactive group of a linker modified 30 cyclic compound.

-291-



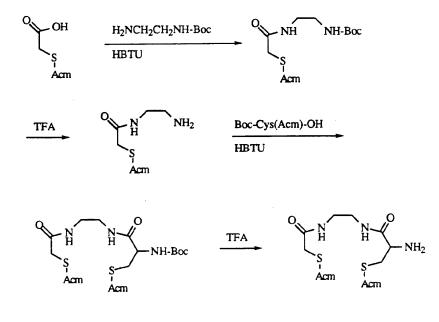
5

10

A chelator having one additional amine available for conjugation to the linker modified cyclic compound can be synthesized according to the procedure of Scheme 27. Acm protected thioglycolic acid would be coupled to N-t-butoxycarbonylethylenediamine using any of the

- standard coupling methods of peptide synthesis. The Boc protecting group would be removed by the use of TFA, and the resulting amine would be coupled to Boc-Cys(Acm)-OH. Removal of the Boc protecting group provides the S-
- 15 protected chelator in a form appropriate for reaction with the reactive group of a linker modified cyclic compound.

€.



5 Also subject to this invention are reagents of the formula (QL<sub>n</sub>)<sub>d</sub>C<sub>h</sub> for radiolabeling which comprise more than one linker modified cyclic compound intermediate attached to a chelator as well as reagents of the formula (Q)<sub>d</sub>·L<sub>n</sub>-C<sub>h</sub>, having two or more cyclic compound intermediates attached to a common linker that also

bears a chelator. An example of a reagent comprising two linker

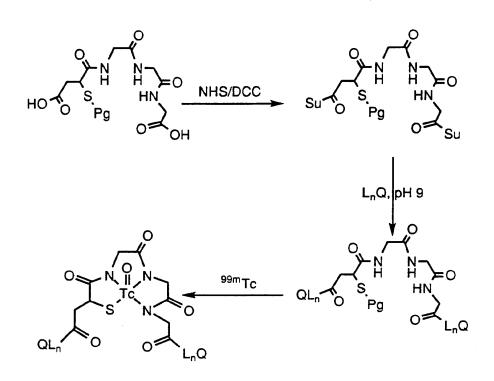
modified cyclic compound intermediates attached to a chelator is shown below (Schemes 28 and 29). Other

15 representative examples are shown in the following schemes. In this scheme, amine groups on two linker intermediate compounds react with the shown two activated ester groups to afford a compound of this invention of formula  $(QL_n)_2C_h$ .

20

.\*

-293-



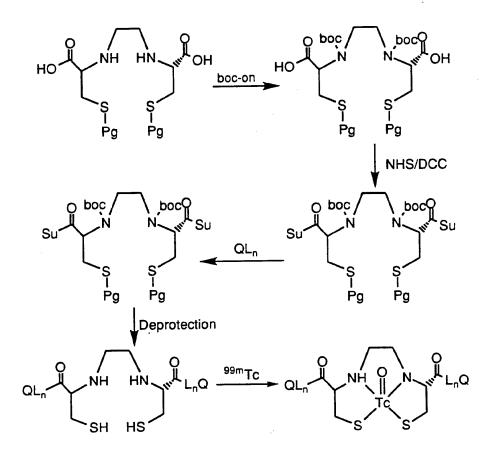
5

- The sulfur protecting group, Pg, shown above, as well as all Pg groups claimed herein, may be any sulfur protecting group capable of being displaced upon reaction with the metal nuclide. Such protecting groups are well known by those skilled in the art. Examples of
- 10 suitable protecting are taught in U.S. Patents Nos. 4,897,255, 4,965,392, and 4,980,147, each of which is herebu incorporated herein by reference.

-294-

10

<u>\*</u>



### Scheme 29

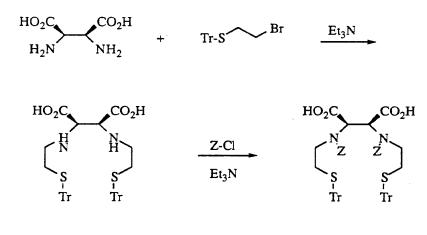
Chelators useful in the synthesis of these reagents are described in Chervu et. al., U.S. Patent 4,883,862 and Bergstein et. al., U.S. Patent 5, 279,811. The synthesis of other useful chelators is described in the following schemes.

The following examples illustrate how three such chelators could be prepared. Scheme 30 outlines the synthesis of a  $N_2S_2$  ligand having two carboxylic acid group to which the targeting cyclic compound can be

-295-

conjugated. The synthesis begins with an alkylation reaction on the two amines of DL-2,3-diaminosuccinic acid (Sigma Chemical Co.), using S-triphenylmethyl-2bromoethanethiol. The secondary amines must now be

- 5 protected to avoid self-condensation when the carboxylic acids are activated. This can be accomplished with any of the standard amine protecting groups. The Z group would be a good choice because it can be removed under acidic conditions (HBr/HOAc or
- 10 TFA/trifluoromethanesulfonic acid) at the same time as the trityl protection on sulfur.

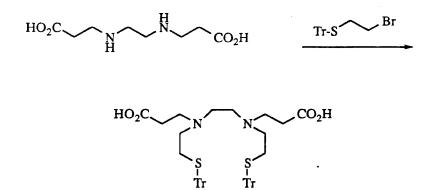


15

<u>Scheme 30</u>

The synthesis of a second N<sub>2</sub>S<sub>2</sub> having two 20 carboxylic acid groups is shown in Scheme 31. Alkylation of ethylenediamine-N,N'-dipropionic acid (American Tokyo Kasei) with S-triphenylmethyl-2bromoethanethiol would give the N<sub>2</sub>S<sub>2</sub> ready for conjugation. The amines are tertiary and no additional 25 protection is required.

-296-



Scheme 32 outlines the synthesis of an  $N_2S_2$  ligand having two additional amine groups for conjugation to targeting cyclic compounds bearing reactive electrophilic groups (e.g., active esters). A reductive

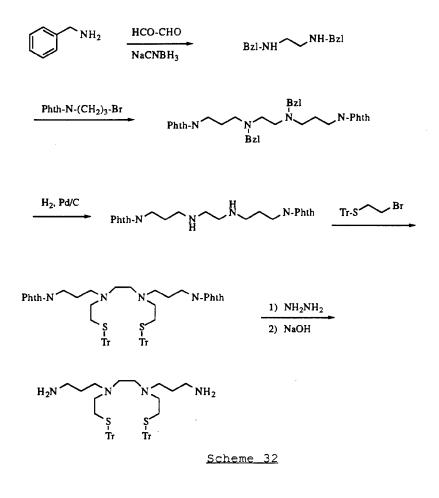
- 10 amination reaction between benzyl amine and glyoxal would give N,N'-dibenzylethylenediamine. Alkylation of the two amines with N-(3-bromopropyl)phthalimide would give the fully protected tetraamine. The benzyl protection on the two secondary amines would be removed
- 15 by catalytic reduction, and the free amines would then be alkylated with S-triphenylmethyl-2-bromoethanethiol to give the fully protected ligand. Selective deprotection of the primary amines would be accomplished with hydrazine.

20

4

5

-297-



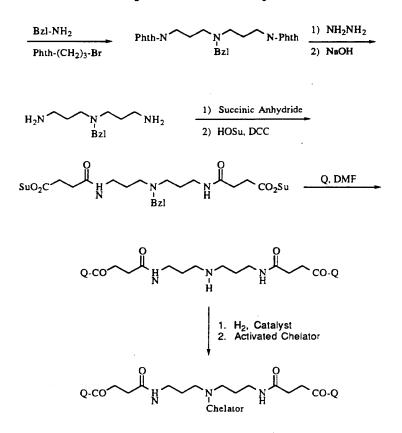
Reagents having two targeting groups and one chelator bound to a common linker can be synthesized according to the route shown in Scheme 33. Reaction of benzylamine with N-(3-bromopropyl)phthalimide will yield N,N-bis(3-phthalimidopropyl)benzylamine (Niitsu and

Samejima (1986), Chem. Pharm. Bul., 34, 1032-1038). Treatment with hydrazine will remove the phthalimido protecting groups. N,N-Bis(3-aminopropyl)benzylamine would then be reacted with succinic anhydride to give the diacid, which would be converted to the bis active

-298-

٠.

ester with DCC and N-hydroxysuccinimide. This bis active ester would then be conjugated to a linker modified cyclic compound. Hydrogenation to remove the benzyl protecting group and conjugation with an activated chelator would yield the final product.





10

.\*

4

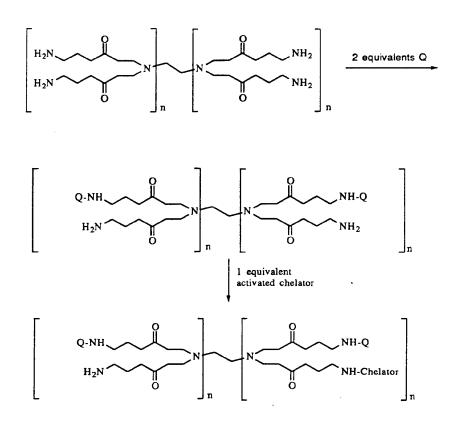
More than two compounds Q and more than one chelator can be joined together by using starburst or cascade dendrimers as linkers. Dendrimers are 15 constructed by adding branched segments onto a

-299-

functionalized core, producing a product having twice the number of functional groups as the original core. This addition of branched units can be carried through several generations to product large polyfunctional

- 5 molecules. One example is the PAMAM (polyamidoamine) dendrimers (Aldrich Chemical Co.), which use ethylenediamine as the initiator core. Scheme 34 shows the generalized preparation of a radiopharmaceutical based on PAMAM dendrimer containing targeting cyclic
- 10 compounds and chelators in a 2:1 ratio. For this structure a generation = 0 (n = 1) dendrimer would have two targeting cyclic compounds and one chelator. A generation = 1 (n = 2) dendrimer would have four targeting cyclic compounds and two dendrimers. The
- 15 ratio and absolute number of targeting cyclic compounds and chelators would be controlled by the stoichiometry of the conjugation reactions.

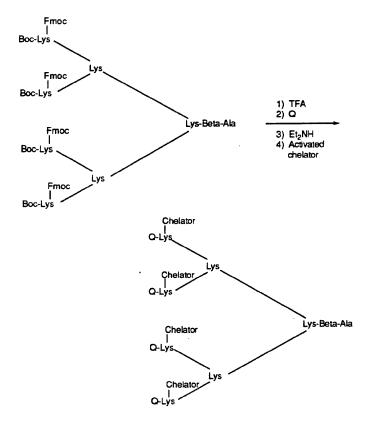
.



Scheme 34

- 5 A similar system, called the multiple antigen peptide (MAP) system was developed by Posnett, McGrath, and Tam (J. Biol. Chem., 263, (1988), 1719) to facilitate the generation of antibodies. This system constructs a branching network on a solid support using
- 10 the two amino groups of lysine. Because the two different amino groups on lysine can be orthogonally protected, this system allows a higher level of control of the conjugation reactions. In Scheme 35 a MAP system terminating in four lysine groups is conjugated first to 15 four targeting cyclic compounds at the alpha amino

-301-



groups, and them to four chelators at the epsilon amino groups.

5

<u>Scheme 35</u>

# Synthesis of Radiolabeled Compounds

10

The radiolabeled cyclic platelet glycoprotein IIb/IIIa compounds of the present invention can be synthesized using standard synthetic methods known to those skilled in the art, using radioisotopes of 15 halogens (such as chlorine, fluorine, bromine and

-302-

۰,

÷

.\*

iodine), technetium and indium, as well as others. Preferable radioisotopes include  $^{123}{\rm I}$ ,  $^{125}{\rm I}$ ,  $^{131}{\rm I}$ ,  $^{99m}{\rm Tc}$ , and  $^{111}{\rm In}$ .

The cyclic platelet glycoprotein IIb/IIIa 5 compounds of the invention may be labeled either directly (that is, by incorporating the radiolabel directly into the compounds) or indirectly (that is, by incorporating the radiolabel into the compounds through a chelator which has been incorporated into the

10 compounds. For direct labeling, as those skilled in the art will recognize, the labeling may be isotopic or nonisotopic. With isotopic labeling, one group already present in the cyclic compound is substituted with (exchanged for) the radioisotope. With nonisotopic

15 labeling, the radioisotope is added to the cyclic compounds without substituting with (exchanging for) an already existing group.

Generally, labeled compounds are prepared by procedures which introduce the labeled atom at a late

- 20 stage of the synthesis. This allows for maximum radiochemical yields, and reduces the handling time of radioactive materials. When dealing with short halflife isotopes, a major consideration is the time required to conduct synthetic procedures, and
- 25 purification methods. Protocols for the synthesis of radiopharmaceuticals are described in Tubis and Wolf, Eds., "Radiopharmacy", Wiley- Interscience, New York (1976); Wolf, Christman, Fowler, Lambrecht, "Synthesis of Radiopharmaceuticals and Labeled Compounds Using
- 30 Short-Lived Isotopes", in Radiopharmaceuticals and Labeled Compounds, Vol 1, p. 345-381 (1973), the disclosures of each of which are hereby incorporated herein by reference, in their entirety.

-303-

Various procedures may be employed in preparing the radiolabeled compounds of the invention where the radiolabel is a halogen. Some common synthetic methodologies for isotopic halogen labeling of aromatic compounds such as the type present here are

iododediazonization, iododeborobation, iododestannylation, iododesilation, iododethallation, and halogen exchange reactions. The most common synthetic methodology for nonisotopic halogen labeling

10 of aromatic compounds such as the type present here is iododeprotonation or electrophilic aromatic substitution reactions. These methods and additional procedures are described in Merkushev, Synthesis, 923 (1988), and Seevers et al, Chem. Rev., 82: 575 (1982), the 15 disclosures of each of which are hereby incorporated

herein by reference, in their entirety. By way of example, isotopically radiolabeled 4, 5

and 6-halo t-butyloxycarbonyl-3-aminomethylbenzoic acid derivatives may be prepared using the general procedures

20 described above for the synthesis of the unlabeled compounds. In carrying out such radiolabeling, it is important that the half-life of the isotope chosen be much longer than the handling time of the reaction sequences. Known starting materials include the 2, 3, 25 and 4-iodo (1231, 1251, and 1311) benzoic acids.

The iodo-radiolabeled Mamb derivatives may also be isotopically prepared from the anilines by the Sandmeyer reaction as described in Ellis et at Aust. J. Chem., 26: 907 (1973).

30 Alternatively, such compounds may prepared by way of isotopic labeling from the unlabeled bromo or iodo derivatives by various two step reaction sequences, such as through the use of trialkylsilyl synthons as described in Wilson et at J. Org. Chem., 51: 483 (1986)

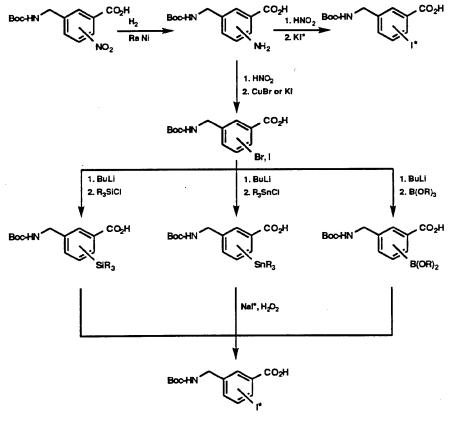
-304-

and Wilbur et al J. Label. Compound. Radiopharm., 19: 1171 (1982), the use of trialkylsilyl synthons as described in Chumpradit et al J. Med. Chem., 34: 877 (1991) and Chumpradit et al J. Med. Chem., 32: 1431 5 (1989), and the use of boronic acid synthons as described in Kabalka et al J. Label. Compound. Radiopharm., 19: 795 (1982) and Koch et al Chem. Ber., 124:2091 (1991). These synthetic transformations are outlined in the Scheme 36 below.

10

:

x



Scheme 36



#### PCT/US94/03256

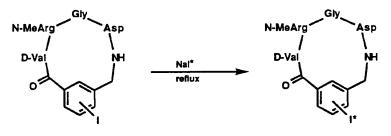
#### WO 94/22494

Although the foregoing protocol may be employed in preparing radiolabeled compounds of the present invention, to maximize radiochemical yields, to reduce the handling time of radioactive materials, and to

- 5 prepare short half-life halogen labeled compounds, it is preferable to perform the isotopic halogen labeling as one of the final steps in the cyclic compound synthesis. The following provides exemplary proceedres for such late stage labeling.
- 10 The unlabeled iodo compounds are versatile precursors which can be converted to the labeled derivatives by any of the two step reaction sequences described above. Useful functionality to incorporate into the Mamb portion of the cyclic compound includes
- 15 the bromo, the nitro, the trialkylsilyl, the trialkyltin, and the boronic acid groups. The synthesis and application of each of these precursors is described above.
- The least complex means of radioiodination of the 20 cyclic compounds of the present invention via isotopic labeling during the final stages of their preparation is the substitution of radioactive iodide for a stable iodine atom already present in the molecule. This can often be done by heating the compound with radioactive
- 25 iodide in an appropriate solvent as described in Ellis et al., Aust. J. Chem., 26: 907 (1973). When applied to aromatic iodides, the extremely small quantities and low concentration of radioactive iodide employed leads to the incorporation of only modest specific activity.

30 This reaction sequence is outlined in the Scheme 37.

-306-



# Scheme 37

The cyclic compounds may also be isotopically iodo-5 labeled during the final stages of their preparation from the anilines by the Sandmeyer reaction as described in Ellis et al., Aust. J. Chem., 26: 907 (1973). This approach leads to a labeled cyclic compound with high specific activity. To avoid complications in the 10 synthesis of the cyclic compound, the nitro group provides an ideal synthon for the aniline.

Alternatively, the cyclic compounds may be isotopically labeled late in the reaction scheme from the unlabeled bromo or iodo derivatives by various two

- 15 step reaction sequences, as described above, such as through the use of trialkylsilyl synthons as described in Wilson et al., J. Org. Chem., 51: 4833 (1986) and Wilbur et al., J. Label. Compound. Radiopharm., 19: 1171 (1982), through the use of trialkylsilyl synthons as
- 20 described in Chumpradit et al., J. Med. Chem., 34: 877 (1991) and Chumpradit et al., J. Med. Chem., 32: 1431 (1989), and through the use of boronic acid synthons as described in Kabalka et al., J. Label. Compound. Radiopharm., 19: 795 (1982) and Koch et al., Chem. Ber., 124:2091 (1991).

4

A related approach where the isotopic halogen radiolabeling may be carried out late in the synthesis scheme involves converting the substituted Mamb

-307-

## PCT/US94/03256

# WO 94/22494

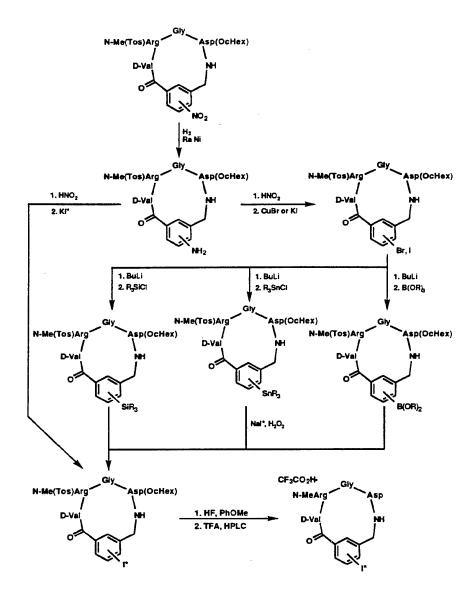
derivatives to cyclic compounds that already incorporate the trialkylsilyl, trialkyltin, or boronic acid groups. The synthesis of each Mamb derivative has been described in an earlier section.

5

The forgoing synthetic transformations on the cyclic compounds are outlined in the Scheme 38.

¢,

;



Scheme 38

Labeled iodo derivatives may also be readily 5 prepared nonisotopically from the amino, hydroxy, or methoxy substituted cyclic compounds as described in

-309-

# PCT/US94/03256

## WO 94/22494

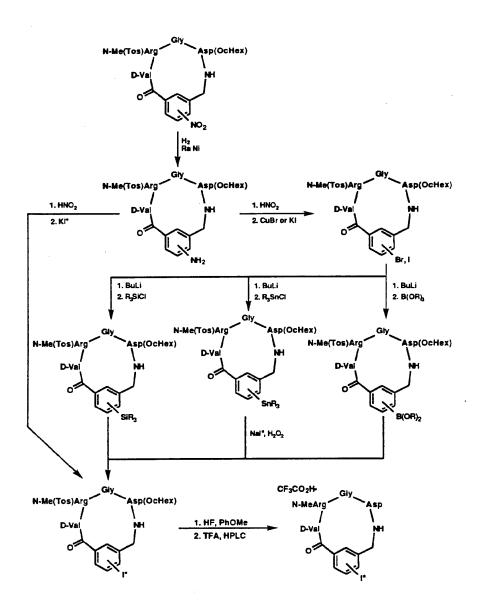
5

Arora et al J. Med. Chem., 30:918 (1987). Electrophilic aromatic substitution reactions are enhanced by the presence of such electron-donating substituents. This synthetic sequence is outlined in Schemes 39 and 40.

4

,

PCT/US94/03256



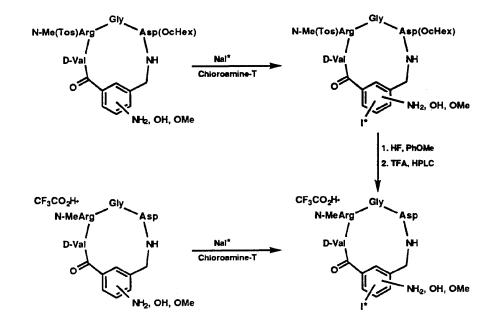
Scheme 39

5

:

1

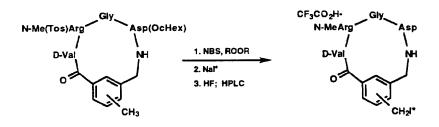
-311-



Scheme 40

10

As an alternate approach to the incorporation of a radiolabeled halogen, the methyl substituted cyclic compounds may be converted to the a-halotoluene derivative with NBS or NCS under free-radical halogenation conditions. The benzylic halides may be smoothly replaced by radiolabeled iodide through a nucleophilic substitution reaction. This synthetic sequence is outlined in Scheme 41.



-312-

\*.

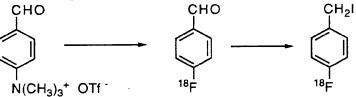
7

## Scheme 41

Although primarily illustrated for the radiolabeled iodo compounds, the above described process chemistry

- can be used to prepare any radioactive halogen isotope. 5 <sup>18</sup>F derivatives of these cyclic compounds can be prepared by conjugation of <sup>18</sup>F functionalized phenyl intermediates. <sup>18</sup>F-functionalized cyclic compounds can be prepared as shown in Scheme 42 (R.H. Mach et al., J.
- Med. Chem., 1993, 36,3707-3720). Reaction of p-10 trimethylammonium-benzaldehyde with [18F]CsF/aqueous DMF at 120 °C for 10 min.(aqueous [<sup>18</sup>F]KF/kryptofix/ACN can also be used to generate the <sup>18</sup>F-phenyl compounds from the corresponding trimethylammonium or nitro groups), followed by LAH/THF/pentane and 57% aqueous HI gives the
- 15

p-18F-benzyl iodide.



Scheme 42

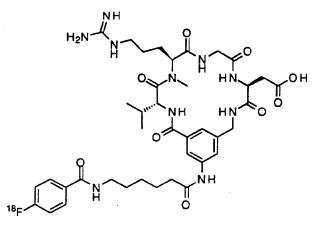
20

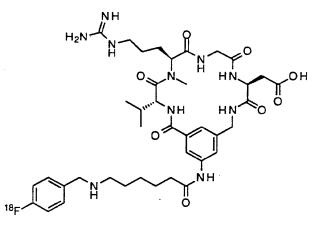
Reaction with the amine funtionality of the cyclic compound intermediate cyclo(D-Lys-NMeArg-Gly-Asp-Mamb) or the linker modifed cyclic compound Cyclo(D-Val-NMeArg-Gly-Asp-Mamb(5-Aca)) can give the <sup>18</sup>F labeled products suitable for use in positron emission tomography(PET):

25

÷

-313-





Various procedures may also be employed in preparing the radiolabeled compounds of the invention where the radiolabel is a metal, such as where the radiolabel is technetium or indium. These procedures are utilized for labeling compounds of this invention of formulae: (QLn)dCh and (Q)d'Ln-Ch. Exemplary procedures for such technetium or indium labeling are disclosed, for example, in Cerqueira et al., Circulation, Vol. 85, No.

1, pp. 298-304 (1992), Pak et al., J. Nucl. Med., Vol.

-314-

\*

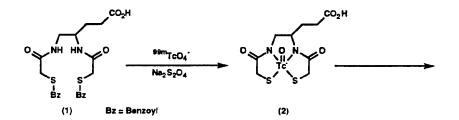
.

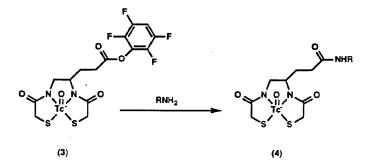
30, No. 5, p. 793, 36th Ann. Meet. Soc. Nucl. Med.
(1989), Epps et al., J. Nucl. Med., Vol. 30, No. 5, p.
794, 36th Ann. Meet. Soc. Nucl. Med. (1989), Pak et al., J. Nucl. Med., Vol. 30, No. 5, p. 794, 36th Ann. Meet.
5 Soc. Nucl. Med. (1989), and Dean et al., J. Nucl. Med., Vol. 30, No. 5, p. 794, 36th Ann. Meet. Soc. Nucl. Med.
(1989), the disclosures of each of which are hereby incorporated herein by reference, in their entirety. In additon, specific procedures are provided in the
10 examples below.

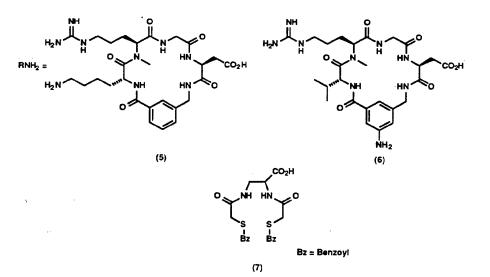
Another useful method for labeling the cyclic compounds of the present invention involves preparing a  $99m_{\rm TC}$  chelator (at the tracer level) and conjugating it to either a cyclic compound intermediate or a linker

- 15 modified cyclic compound. This method is termed the prechelate approach. As shown, for example, in the scheme below, 4,5-bis(Sbenzoyl)mercaptoacetamidopentanoic acid (1) is complexed with 99mTcO4 under reducing conditions to form (2).
- 20 Then (2) is converted to the active ester (3) containing the tetrafluorophenyl group. Complex (3) then may be reacted with an appropriate cyclic compound intermediate such as (5) or (6), to yield radiolabeled compounds (4). Another appropriate technetium chelator is 2,3-bis(S-
- 25 benzoyl)mercaptoacetamido-propanoic acid (7). HPLC purification of the <sup>99m</sup>Tc complex may be performed at each step. This approach is depicted in Scheme 43.

-315-







Scheme 43

-316-

\*

PCT/US94/03256

# <u>Examples</u>

## Section A. Reagents for Radiolabeling

Example 1

Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb(5-Aca)) - N-[4-(carboxy)benzyl]-N,N'-bis[(2-triphenylmethylthio)ethyl]glycinamide Conjugate

10

\*

5

A solution of N-[4-(carboxy)benzyl]-N,N'-bis[(2triphenylmethylthio)ethyl]glycinamide Nhydroxysuccinimide ester (0.017 mmol), cyclo-(D-Val-NMeArg-Gly-Asp-Mamb(5-Aca)) (13.9 mg, 0.015 mmol), and

- 15 Et<sub>3</sub>N (6.25  $\mu$ l, 0.045 mmol) in DMF (350  $\mu$ l) was allowed to stir at room temperature for 14 hours. The progress of the reaction was monitored by normal phase TLC (90:8:2 CHCl<sub>3</sub>:MeOH:HOAc) using the ninhydrin and Sakaguchi tests. The DMF was removed under reduced
- 20 pressure. The conjugate was purified using reversedphase HPLC with a preparative Vydac C18 column (2.1 cm) using a 1.0%/min. gradient of 18 to 36% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy colorless solid 25 (11 mg, 53%); FAB-MS: [M+H] =

## Example 2

Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb) - N-[4-30 (carboxy)benzyl]-N,N'-bis[(2-triphenylmethylthio)ethyl]glycinamide Conjugate

A solution of N-[4-(carboxy)benzyl]-N,N'-bis[(2triphenylmethylthio)ethyl]glycinamide N-

-317-

hydroxysuccinimide ester (30 mg, 0.033 mmol), cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb) (23.8 mg, 0.029 mmol), and Et<sub>3</sub>N (12  $\mu$ l, 0.087 mmol) in DMF (0.60 ml) was allowed to stir at room temperature for 63 hours. The progress of the

- 5 reaction was monitored by normal phase TLC (90:8:2 CHCl<sub>3</sub>:MeOH:HOAc) using the ninhydrin and Sakaguchi tests. The DMF was removed under reduced pressure. The conjugate was purified using reversed-phase HPLC with a preparative Vydac C18 column (2.1 cm) using a 0.9%/min.
- 10 gradient of 18 to 36% acetonitrile containing 0.1% TFA and then lyophilized to give the TFA salt of the title compound as a fluffy colorless solid (24 mg, 60%); ESI-MS: [M] = 1397.3.

# Example 3

Cyclo(D-Val-NMeArg-Gly-Asp-Mamb(N-hydrazinonicotinyl-5-Aca)) TFA salt

20 Part A. Synthesis of Cyclo(D-Val-NMeArg-Gly-Asp-Mamb(Nboc-hydrazino-nicotinyl-5-Aca)) TFA salt

To a solution of cyclo(D-Val-NMeArg-Gly-Asp-Mamb(5-Aca) (10 mg, 0.011 mmol), succinimidyl boc-

25 hydrazinonicotinate (4.6 mg, 0.0132 mmol) in DMF (0.3 mL) was added triethylamine (0.0061 mL, 0.044 mmol) and the reaction stirred at room temperature under nitrogen for 24 hours. The solvent was removed in vacuo and the residue dissolved in a solution of acetonitrile-water

30 and lyophilized overnight to give an off-white solid. Purification of part of the product was accomplished by reversed-phase HPLC on a preparative Vydac C-18 column using a 2.0%/min. gradient of 6.3-72% aqueous acetonitrile containing 0.1% TFA and lyophilized to give

-318-

the TFA salt of the title compound as a fluffy solid. MS (M+H = 938.4849, calc. 938.4848).

Part B. Deprotection to Cyclo(D-Val-NMeArg-Gly-Asp-5 Mamb(N-hydrazinonicotinyl-5-Aca)) TFA salt

Cyclo(D-Val-NMeArg-Gly-Asp-Mamb(N-bochydrazinonicotinyl-5-Aca) TFA salt was dissolved in a mixture of 98:2 TFA:anisole (2 mL) and the reaction

- 10 mixture stirred for 15 min. The solvent was removed in vacuo and the residue disolved in a solution of acetonitrile-water and lyophilized to give a white solid. Purification was accomplished by reversed-phase HPLC on a preparative Vydac C-18 column using a
- 15 2.0%/min. gradient of 6.3-72% aqueous acetonitrile containing 0.1% TFA and lyophilized to give the TFA salt of the title compound as a fluffy solid. MS (M+H = 838.4324, calc. 838.4324).

20

## Example 4

Cyclo(D-Abu-NMeArg-Gly-Asp-Mamb(N-hydrazinonicotinyl-5-Aca)) TFA salt

25

30

.\*

Part A. Synthesis of Cyclo(D-Abu-NMeArg-Gly-Asp-Mamb(Nboc-hydrazino-nicotinyl-5-Aca)) TFA salt

To a solution of cyclo(D-Abu-NMeArg-Gly-Asp-Mamb(5-Aca) TFA salt (10 mg, 0.0109 mmol), succinimidyl bochydrazinonicotinate (4.55 mg, 0.0131 mmol) in DMF (0.4 mL) was added triethylamine (0.0061 mL, 0.044 mmol) and the reaction stirred at room temperature under nitrogen for 24 hours. The solvent was removed in vacuo and the

-319-

WO 94/22494

30

residue dissolved in a solution of acetonitrile-water and lyophilized overnight to give an off-white solid. Purification of part of the product was accomplished by reversed-phase HPLC on a preparative Vydac C-18 column

- 5 using a 2.0%/min. gradient of 6.3-72% aqueous acetonitrile containing 0.1% TFA and lyophilized to give the TFA salt of the title compound as a fluffy solid. MS (M+H = 924.4699, calc. 924.4692).
- 10 Part B. Deprotection to Cyclo(D-Abu-NMeArg-Gly-Asp-Mamb(N-hydrazino-nicotinyl-5-Aca)) TFA salt

Cyclo(D-Abu-NMeArg-Gly-Asp-Mamb(Nhydrazinonicotinyl-5-Aca)) TFA salt: Cyclo(D-Abu-

- 15 NMeArg-Gly-Asp-Mamb(N-boc-hydrazinonicotinyl-5-Aca)) TFA salt was dissolved in a mixture of 98:2 TFA:anisole (2 mL) and the reaction mixture stirred for 15 min. The solvent was removed in vacuo and the residue disolved in a solution of acetonitrile-water and lyophilized to give
- 20 a white solid. Purification was accomplished by reversed-phase HPLC on a preparative Vydac C-18 column using a 2.07%/min. gradient of 6.3-85.5% aqueous acetonitrile containing 0.1% TFA and lyophilized to give the TFA salt of the title compound as a fluffy solid. MS 25 (M+H = xx, calc. xx).

## Example 5

Cyclo((N-E-hydrazinonicotinyl-D-Lys)-NMeArg-Gly-Asp-Mamb) TFA salt

Part A. Synthesis of Cyclo((N-E-boc-hydrazinonicotinyl-D-Lys)-NMeArg-Gly-Asp-Mamb) TFA salt

-320-

WO 94/22494

;

¥

|    | To a solution of cyclo(D-Lys-NMeArg-Gly-Asp-              |
|----|---|
|    | Mamb).2TFA (4.2 mg, 0.005 mmol), succinimidyl boc-        |
|    | hydrazinonicotinate (2.1 mg, 0.006 mmol) in DMF (0.15     |
| 5  | mL) was added triethylamine (0.003 mL, 0.02 mmol) and     |
|    | the reaction stirred at room temperature under nitrogen   |
|    | for 48 hours. The solvent was removed in vacuo and the    |
|    | residue dissolved in a solution of acetonitrile-water     |
|    | and lyophilized overnight to give an off-white solid.     |
| 10 | Purification was accomplished by reversed-phase HPLC on   |
|    | a preparative Vydac C-18 column using a 1.7%/min.         |
|    | gradient of 6.3-85.5% aqueous acetonitrile containing     |
|    | 0.1% TFA and lyophilized to give the TFA salt of the      |
|    | title compound as a fluffy solid. MS ( $M+H = 839.4157$ , |
| 15 | calc. 839.4164).  |
|    |   |
|    | Part B. Deprotection to Cyclo((N-E-hydrazinonicotinyl-D-  |
|    | Lys)-NMeArg-Gly-Asp-Mamb) TFA salt                        |
|    |   |
| 20 | Cyclo((N-E-hydrazinonicotinyl-D-Lys)-NMeArg-Gly-          |
|    | Asp-Mamb) TFA salt: Cyclo((N-E-boc-hydrazinonicotinyl-    |
|    | D-Lys)-NMeArg-Gly-Asp-Mamb) TFA salt (3 mg) was           |
|    | dissolved in a mixture of $98:2$ TFA:anisole (2 mL) and   |
|    | the reaction mixture stirred for 15 min. The solvent      |
| 25 | was removed in vacuo and the residue disolved in a        |
|    | solution of acetonitrile-water and lyophilized to give a  |
|    | white solid. Purification was accomplished by reversed-   |
|    | phase HPLC on a preparative Vydac C-18 column using a     |
|    | 2.0%/min. gradient of 6.3-72% aqueous acetonitrile        |
| 30 | containing 0.1% TFA and lyophilized to give the TFA salt  |

30 containing 0.1% TFA and lyophilized to give the TFA salt of the title compound as a fluffy solid. MS (M+H = 739.3629, calc. 739.3640).

-321-

|    | Example 6.  |
|----|---|
|    | Cyclo-([DTPA-D-Lys]-NMeArg-Gly-Asp-Mamb) Conjugate            |
| 5  |   |
|    | To a solution of 250 mg (2 mmol.) of cyclo(D-Lys-             |
|    | NMeArg-Gly-Asp-Mamb) in 208 mL of 0.1 M Borate (pH 9.88)      |
|    | at room temperature was added DTPA anhydride (743 mg, 10      |
|    | mmol.) with constant stirring. The reaction was allowed       |
| 10 | to stir for 2 h. The crude mixture of products obtained       |
|    | after removal of the solvent was purified by preparative      |
|    | HPLC (Vydac $C_{18}$ column, gradient of 0-50% ACN containing |
|    | 0.1% TFA over 60 min., flow rate 20 mL/min). Two major        |
|    | components were isolated. Component A is Cyclo-([DTPA-D-      |
| 15 | Lys]-NMeArg-Gly-Asp-Mamb). MS: 979.1 (M+H <sup>+</sup> )      |
|    |   |
|    | Example 7.  |
|    |   |
|    | [Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb)]2 - DTPA Conjugate         |
| 20 | · · ·   |
|    | Component B from the synthesis described in Example           |
|    | 6 is [Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb)]2 - DTPA. MS:         |
|    | 1565.4 (M <sup>+</sup> )                                      |
|    |   |
| 25 |   |
|    | Section B. Radiolabeled Compounds                             |
|    | Direct Labeling   |
|    | Example 8.  |
|    |   |
| 30 | Cyclo-(( <sup>125</sup> I)D-Tyr-NMeArg-Gly-Asp-Mamb)          |
|    |   |

To a 5 mL vial was added 22 mCi (45  $\mu$ L) aqueous Na<sup>125</sup>I, 100  $\mu$ L 0.5 M phosphate buffer pH 7.5, 4.5  $\mu$ L 1 N HCl, 75  $\mu$ g of the cyclic compound intermediate Cyclo-(D-

-322-

ŗ.,

¥

WO 94/22494

Tyr-NMeArg-Gly-Asp-Mamb) dissolved in 75 µL 0.1% aqueous TFA, and 50 µg Chloramine-T dissolved in 50 µL H<sub>2</sub>O. The reaction was allowed to proceed for 1 minute then 50 µg of sodium metabisulfite dissolved in H<sub>2</sub>O was added. The product was purified by preparative HPLC. (Zorbax-Rx C<sub>18</sub> column, flow = 1 mL/min, gradient from 100% A to 100% B over 30 minutes; Solvent A = 0.1% TFA in H<sub>2</sub>O, Solvent B = 40% ethanol in A. The product had a retention time of 30 min.

10

## Example 9.

# [(<sup>125</sup>I)N-3-(4-hydroxyphenyl)propionyl]-Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb)

15

To a 5 mL vial was added 11.4 mCi (25  $\mu$ L) aqueous Na<sup>125</sup>I, 100  $\mu$ L 0.5 M phosphate buffer pH 7.5, 4.5  $\mu$ L 1 N HCl, 50  $\mu$ g of the linker modified cyclic compound [N-3-(4-hydroxyphenyl)propionyl]-Cyclo-(D-Tyr-NMeArg-Gly-Asp-

20 Mamb) dissolved in 50 µL 0.1% aqueous TFA, and 50 µg Chloramine-T dissolved in 50 µL H<sub>2</sub>O. The reaction was allowed to proceed for 1 minute then 50 µg of sodium metabisulfite dissolved in H<sub>2</sub>O was added. The product was purified by preparative HPLC, using the condition 25 described in Example 10. The product had a retention time of 32 min.

Indirect Labeling

# Example 10.

30

99mTcO(MAMA)-Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb(5-Aca))

Part A. Deprotection

-323-

The trityl protecting groups on the reagent described in Example 1 are removed: To a separate, clean 10 cc vial was added the reagent and 0.1 mL trifluoroacetic acid (TFA). The solid dissolved to give a yellow solution.

Part B. Synthesis of <sup>99m</sup>Tc-glucoheptonate

A Glucoscan® vial was reconstituted with 1.0 mL Milli-Q H<sub>2</sub>O. 0.2 mL of the solution was removed and 10 added to a clean 10 cc vial followed by ~200 mCi <sup>99m</sup>TcO<sub>4</sub><sup>-</sup>. The reaction proceeded at room temperature for 20 minutes.

Part C. Synthesis of <sup>99m</sup>TcO(MAMA)-Cyclo-(D-Val-NMeArg-15 Gly-Asp-Mamb(5-Aca))

To the deprotected reagent solution from Part A was added 0.2 mL 5 N NaOH, and 0.4 mL 0.2 M phosphate buffer pH 6. The pH was measured and adjusted as needed to 6. This solution was immediately added to the  $99m_{TC}$ -

20 glucoheptonate solution vial, crimped and heated at 100 °C for 15 minutes. After cooling ~2 minutes, 20  $\mu L$  of the solution was analyzed by HPLC using Method 1.(See Table 1)

25

35

## Example 11.

99mTcO(MAMA)-Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb)

30 Part A. Deprotection

The trityl protecting groups on the reagent described in Example 2 are removed: To a separate, clean 10 cc vial was added the reagent and 0.1 mL trifluoroacetic acid (TFA). The solid dissolved to give a yellow solution.

-324-

٢.

Part B. Synthesis of <sup>99m</sup>TcO(MAMA)-Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb)

To the deprotected reagent solution from Part A was added 0.2 mL 5 N NaOH, and 0.4 mL 0.2 M phosphate buffer pH 6. The pH was measured and adjusted as needed to 6. This solution was immediately added to the <sup>99m</sup>Tcglucoheptonate solution vial, generated as described in Example 11, Part B, crimped and heated at 100 °C for 15 minutes. After cooling ~2 minutes, 20 µL of the solution was analyzed by HPLC using Method 1. (See Table

15

1)

## Example 12.

# 99mTc(tricine)2-Cyclo(D-Val-NMeArg-Gly-Asp-Mamb(hydrazino-nicotinyl-5-Aca))

To a solution of 70 mg tricine in 1.0 mL of water was added 0.05 mL 1.0 N NaOH to raise the pH to 7. 0.1 -1.0 mL of 99mTcO4<sup>-</sup> in saline (10 - 100 mCi) was added followed by 10 µg of the reagent described in Example 3 dissolved in 100 µL of 0.1 N HCl and 100 µg of SnCl2 · 25 2H<sub>2</sub>O dissolved in 0.1 N HCl. The reaction proceeded at room temperature for 45 minutes. The product was analyzed by HPLC using the method 1 and by TLC using method 2. (see Table 1)

30

:

t

Example 13.

-325-

WO 94/22494

15

30

# 99mTc(EDDA)-Cyclo(D-Val-NMeArg-Gly-Asp-Mamb(hydrazinonicotinyl-5-Aca))

To a solution of 10 mg ethylenediamine-N,N'-5 diacetic acid (EDDA) in 1.0 mL of water was added 0.05 mL 1.0 N NaOH to raise the pH to 7. 0.1 - 1.0 mL of <sup>99m</sup>TcO<sub>4</sub><sup>-</sup> in saline (10 - 100 mCi) was added followed by 50 μg of the reagent described in Example 3 dissolved in 100 μL of 0.1 N HCl and 100 μg of SnCl<sub>2</sub> · 2H<sub>2</sub>O dissolved

10 in 0.1 N HCl. The reaction proceeded at room temperature for 45 minutes. The product was analyzed by HPLC using the method 1 and by TLC using method 2.(see Table 1)

## Example 14.

# 99mTc(tricine)2-Cyclo(D-Abu-NMeArg-Gly-Asp-Mamb(hydrazino-nicotinyl-5-Aca))

To a solution of 70 mg tricine in 1.0 mL of water was added 0.05 mL 1.0 N NaOH to raise the pH to 7. 0.1 -1.0 mL of <sup>99m</sup>TcO<sub>4</sub><sup>-</sup> in saline (10 - 100 mCi) was added followed by 10 µg of the reagent described in Example 4 dissolved in 100 µL of 0.1 N HCl and 100 µg of SnCl<sub>2</sub> 25 2H<sub>2</sub>O dissolved in 0.1 N HCl. The reaction proceeded at room temperature for 45 minutes. The product was analyzed by HPLC using the method 1 and by TLC using method 2. (see Table 1)

> Example 15. <sup>99m</sup>Tc(tricine)<sub>2</sub>-Cyclo(D-Lys-NMeArg-Gly-Asp-Mamb(hydrazino-nicotinyl-5-Aca))

> > -326-

t

To a solution of 70 mg tricine in 1.0 mL of water was added 0.05 mL 1.0 N NaOH to raise the pH to 7. 0.1 – 1.0 mL of  $^{99m}TcO_4$ - in saline (10 – 100 mCi) was added followed by 10 µg of the reagent described in Example 5 dissolved in 100 µL of 0.1 N HCl and 100 µg of SnCl<sub>2</sub> · 2H<sub>2</sub>O dissolved in 0.1 N HCl. The reaction proceeded at room temperature for 45 minutes. The product was analyzed by HPLC using the method 1 and by TLC using method 2. (see Table 1)

10

Table 1. Analytical and Yield Data for  $^{99m}$ Tc Labeled Reagents

|            | HPLC Retention<br>Time(min) | % Yield |
|------------|-----------------------------|---------|
| Example 10 | 20.4                        | 66      |
| Example 11 | 19.6                        | 95      |
| Example 12 | 13.4                        | 95      |
| Example 13 | 11.5                        | 60      |
| Example 14 | 11.5                        | 97      |
| Example 15 | 8.8                         | 90      |

15

ć

¥

## Example 16.

Cyclo-([<sup>111</sup>In-DTPA-D-Lys]-NMeArg-Gly-Asp-Mamb)

50 μL of <sup>111</sup>InCl<sub>3</sub> (~100 mCi/mL in 0.05 M HCl) obtained from DuPont-NEN Products, Billerica, MA, was combined with an equal volume of freshly prepared 1.0 M ammonium acetate. After about five minutes, 0.1 - 1 mg of the reagent described in Example 6 dissolved in 0.25 mL water was added. The reaction proceeded at room temperature for 30 minutes. The product was analyzed by HPLC using method 3.

-327-

# Example 17.

# 111In-DTPA-[Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb)]2

To 0.5 mL of a solution of the reagent described in 5 Example 7 in water (0.9 mg/1 mL) was added <sup>111</sup>InCl<sub>3</sub> (~3 mCi) in 0.5 mL of 1 N NH<sub>4</sub>OAc solution. The mixture was allowed to stand at room temperature for 30 minutes then analyzed by HPLC using method 3. (See Table 2)

10

Table 2. Analytical and Yield Data for <sup>111</sup>In-labeked Reagents

|            | HPLC Retention | % Yield |
|------------|----------------|---------|
|            | Time(min)      |         |
| Example 16 | 13.3           | 97      |
| Example 17 | 14.5           | 98      |

15

Section C. <sup>99m</sup>Tc Labeled Reagents Via the Prechelate Approach.

The <sup>99m</sup>Tc-labeled reagents described in these 20 examples were synthesized using the prechelate approach. The prechelate approach involves the steps: (1) chelation of <sup>99m</sup>Tc by the chelator; (2) activation of a non-coordinated carboxylic group on the resulting complex by forming its tetrafluorophenyl (TFP) ester;

25 and (3) conjugation of the TFP-ester complex by forming an amide bond with a cyclic compound intermediate or linker modified cyclic compound.

## Example 18.

30

Cyclo-([[<sup>99m</sup>TcO(mapt)]<sup>-</sup>-D-Lys]-NMeArg-Gly-Asp-Mamb)

Part A. Chelation of 99mTc

To a clean 10 cc vial was added 0.35 mL Bz-mapt ( 3.0 mg/mL in 1 N NaOH), 0.10 mL SnCl<sub>2</sub>·2H<sub>2</sub>O (10 mg/mL in 1 5 N HCl), and 200 mCi <sup>99m</sup>TcO<sub>4</sub>- in saline. The vial was crimped and placed in a 100 °C water bath for 25 minutes. After cooling ~2 minutes, 10 μL of the solution was analyzed by HPLC using Method 1.

10 Part B. Activation

To the solution from Part A was added 0.3 mL 0.5 M sodium phosphate pH 6, 0.3 mL 2,3,5,6-tetrafluorophenol (100 mg/mL in 90% acetonitrile), 0.3 mL 1-(3dimethylamino-propyl)-3-ethylcarbodiimide (100 mg/mL in 90% acetonitrile), and ~0.1 mL 1 N HCl. The pH was adjusted as needed to pH 6. The vial was crimped and

heated at 40  $^\circ$ C for 25 minutes. After cooling ~ 2 minutes, 20  $\mu$ L of the solution was analyzed by HPLC using Method 1.

20

15

Part C. Conjugation

1.0 - 2.5 mg of the cyclic compound intermediate Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb) was dissolved in 0.3 mL 0.5 M pH 9 phosphate buffer and added to the solution from Part B. Using 1 N NaOH, the pH was adjusted to 9. The reaction was heated at 40 °C for 30 minutes. After cooling ~2 minutes, 25 µL of the solution was analyzed by HPLC using Method 1. (See Table 3)

30

Example 19.

Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb([<sup>99m</sup>TcO(mapt)]<sup>-5</sup>-Aca))

-329-

30

1.0 - 2.5 mg of the linker modified cyclic compound Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb(5-Aca)) was dissolved in 0.3 mL 0.5 M pH 9 phosphate buffer and added to the solution from Example 18, Part B. Using 1 5 N NaOH, the pH was adjusted to 9. The reaction was heated at 40 °C for 30 minutes. After cooling ~2 minutes, 25 µL of the solution was analyzed by HPLC using Method 1. (See Table 3)

Example 20.

Cyclo-(D-Abu-NMeArg-Gly-Asp-Mamb([<sup>99m</sup>TcO(mapt)]<sup>-</sup>-5-Aca))

1.0 - 2.5 mg of the linker modified cyclic
15 compound Cyclo-(D-Abu-NMeArg-Gly-Asp-Mamb(5-Aca)) was dissolved in 0.3 mL 0.5 M pH 9 phosphate buffer and added to the solution from Example 18, Part B. Using 1 N NaOH, the pH was adjusted to 9. The reaction was heated at 40 °C for 30 minutes. After cooling ~2
20 minutes, 25 µL of the solution was analyzed by HPLC

using Method 1. (See Table 3)

Example 21.

# 25 Cyclo-([([<sup>99m</sup>TcO(mapt)]<sup>-</sup>-5-Aca)D-Lys]-NMeArg-Gly-Asp-Mamb)

1.0 - 2.5 mg of the linker modified cyclic compound Cyclo-((5-Aca)D-Lys-NMeArg-Gly-Asp-Mamb) was dissolved in 0.3 mL 0.5 M pH 9 phosphate buffer and added to the solution from Example 18, Part B. Using 1 N NaOH, the pH was adjusted to 9. The reaction was heated at 40 °C for 30 minutes. After cooling ~2

-330-

.

\*

PCT/US94/03256

minutes, 25  $\mu$ L of the solution was analyzed by HPLC using Method 1. (See Table 3)

Example 22.

Cyclo-([[<sup>99m</sup>TcO(MeMAG<sub>2</sub>gaba)]<sup>-</sup>-D-Lys]-NMeArg-Gly-Asp-Mamb)

Part A. Chelation

To a 10 mL vial was added 100-250 mCi <sup>99m</sup>TcO<sub>4</sub><sup>-</sup> in 10 1.0 mL of saline, 1.0 mL of Bz-MeMAG2gaba solution (1 mg/1 mL in 0.5M pH 12 phosphate buffer), followed by of 0.15-0.20 mL of SnCl<sub>2</sub>·2H<sub>2</sub>O solution (15 mg/3 mL in 1N HCl). The pH was adjusted to ~11 and the mixture was heated for 30 min at 100 °C. The solution was analyzed 15 by HPLC using Method 1.

Part B. Activation

To the solution from Part A was added 0.2 mL of 1N HCl, 0.5 mL of tetrafluorophenol solution (100 mg/mL in 90% CH<sub>3</sub>CN), and 0.5 mL of (1-[3-(dimehtylamino)propyl]-3-ethylcarbodiimide chloride) solution (100 mg/mL in 90% CH<sub>3</sub>CN). The pH was adjusted to 6.0 and the mixture was heated at 50 °C for 30 min.

25 Part C. Conjugation

1.0 - 2.5 mg of the cyclic compound intermediate Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb) dissolved in 0.3 mL 0.5 M pH 9 phosphate buffer and added to the solution from Part B. Using 1 N NaOH, the pH was adjusted to 9. The reaction was heated at 40 °C for 30 minutes. After cooling ~2 minutes, 25 µL of the solution was analyzed by HPLC using Method 1. (See Table 3)

PCT/US94/03256

## Example 23.

Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb([<sup>99m</sup>TcO(MeMAG<sub>2</sub>gaba)]<sup>-5-</sup>

Aca))

5

1.0 - 2.5 mg of the linker modified cyclic compound Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb(5-Aca)) was dissolved in 0.3 mL 0.5 M pH 9 phosphate buffer and added to the solution from Example 22, Part B. Using 1

10 N NaOH, the pH was adjusted to 9. The reaction was heated at 40  $^{\circ}$ C for 30 minutes. After cooling ~2 minutes, 25  $\mu$ L of the solution was analyzed by HPLC using Method 1. (See Table 3)

15

#### Example 24.

Cyclo-(D-Abu-NMeArg-Gly-Asp-Mamb([<sup>99m</sup>TcO(MeMAG<sub>2</sub>gaba)]<sup>-5-</sup>Aca))

- 20 1.0 2.5 mg of the linker modified cyclic compound Cyclo-(D-Abu-NMeArg-Gly-Asp-Mamb(5-Aca)) was dissolved in 0.3 mL 0.5 M pH 9 phosphate buffer and added to the solution from Example 22, Part B. Using 1 N NaOH, the pH was adjusted to 9. The reaction was
- 25 heated at 40  $^\circ C$  for 30 minutes. After cooling ~2 minutes, 25  $\mu L$  of the solution was analyzed by HPLC using Method 1. (See Table 3)

Example 25.

30 Cyclo-([[<sup>99m</sup>TcO(MAG<sub>3</sub>)]-D-Lys]-NMeArg-Gly-Asp-Mamb)

This example was synthesized following the procedure described in Example 22, substituting  $Bz-MAG_3$  as the chelator. (See Table 3)

10

15

20

ŝ

Example 26. Cyclo-([[<sup>99m</sup>TcO(Me-MAG<sub>3</sub>)]--D-Lys]-NMeArg-Gly-Asp-Mamb) This example was synthesized following the procedure described in Example 22, substituting Bz-Me-MAG<sub>3</sub> as the chelator.(See Table 3) Example 27. Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb([<sup>99m</sup>TcO(MeMAG<sub>2</sub>ACA)]<sup>--5-</sup> Aca)) The title compund was prepared according to the procedure procedure described in Example 22, substituting Bz-Me-MAG<sub>2</sub>-ACA as the chelator in Part A and using Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb(5-Aca)) as

and using Cyclo-(D-Val-NMeArg-Gly-Asp-ManD(S-Aca)) as the linker modifed cyclic compound in Part C. (See Table 3)

Example 28. Cyclo-([[<sup>99m</sup>TcO(MABA)]<sup>-</sup>D-Lys]-NMeArg-Gly-Asp-Mamb)

## Part A. Chelation

To a 10 mL vial was added 50-300 mCi <sup>99m</sup>TcO<sub>4</sub><sup>-</sup> in 0.5 25 mL of saline, followed by 0.5 mL of Bz-MABA solution (1 mg/1 mL in 0.5 M pH 12 phosphate buffer) and 0.15 mL of Na<sub>2</sub>S<sub>2</sub>O<sub>4</sub> solution (5mg/mL in 0.5 M in pH 11.5 phosphate buffer) The pH was adjusted to 10-12 using 1 N NaOH and the mixture was heated for 30 min. at 100 °C then analyzed 30 by HPLC using method 1.

Part B. Activation

To the solution from Part A was added 0.2 mL of 1 N HCl, 0.5 mL of TFP solution (50 mg/0.5 mL in 90%  $\rm CH_3CN)\,,$ 

-333-

PCT/US94/03256

and 0.5 mL of DCI solution (50 mg in 0.5 mL in 90%  $CH_3CN$ ). The pH was adjusted to 6 if necessary and the mixture was heated at 45-50 °C for 30 min then analyzed by HPLC using method 1.

Part C. Conjugation

To the solution from Part B was added 2-3 mg of the cyclic compound intermediate Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb) dissolved in 0.5 mL 0.5 M phosphate buffer pH 9 and 10 pH was then adjusted to 9.5-10. The solution was heated at 50 °C for 30 min,then analyzed by HPLC using method 1. (See Table 3)

#### Example 29.

15 Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb([<sup>99m</sup>TcO(MABA)]<sup>-</sup>-5-Aca))

The title compound was synthesized following the procedure described in Example 28, substituting the linker modified cyclic compound Cyclo-(D-Val-NMeArg-Gly-20 Asp-Mamb(5-Aca)) for the cyclic compound intermediate

Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb) in Part C.

## Example 30.

Cyclo-(D-Abu-NMeArg-Gly-Asp-Mamb([<sup>99m</sup>TcO(MABA)]<sup>-</sup>-5-Aca))

25

30

The title compound was synthesized following the procedure described in Example 28, substituting the linker modified cyclic compound Cyclo-(D-Abu-NMeArg-Gly-Asp-Mamb(5-Aca)) for the cyclic compound intermediate Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb) in Part C.

# Example 31.

Cyclo-([[<sup>99m</sup>TcO(MA-MAMA)]-D-Lys]-NMeArg-Gly-Asp-Mamb)

## -334-

Part A. Deprotection.

The trityl groups on the chelator MA-MAMA were removed by dissolving 6 mg in 1 mL of anhydrous trifluoroacetic acid (TFA). The resulting yellow solution was allowed to 5 stand at room temperature for 5 minutes. Triethylsilane (0.5 mL) was added to the yellow solution to give a clear two-layered mixture. Volatiles were removed under reduced pressure to give a white residue.

10 Part B. Hydrolysis of the Ethyl Ester.

To the white residue from Part A was added 0.5 mL of 5 N NaOH and 1 mL of THF. The mixture was heated in a water bath (100  $^{\circ}$ C) for 5 minutes, by which time most of THF was evaporated. To the reaction mixture was added 3 mL of 0.5

M phosphate buffer pH 11.5. The pH was adjusted to 10-12 and sodium dithionite (15-30 mg) was added. The mixture was filtered and the total volume was adjusted to 6 mL using 0.5 M pH 11.5 phosphate buffer.

20 Part C. Chelation.

To a 10 mL vial was added 50-150 mCi <sup>99m</sup>TcO<sub>4</sub>- in 0.5 mL of saline, followed by 0.5 mL of ligand solution from Part B. The pH was adjusted to 10-12 using 1 N NaOH and the mixture was heated for 30 min at 100 °C then analyzed by HPLC using method 1.

Part D. Activation.

30

\*

To the solution from Part C was added 0.2 mL of 1 N HCl, 0.5 mL of TFP solution (50 mg/0.5 mL 90% CH<sub>3</sub>CN), and 0.5 mL of DCI solution (50 mg in 0.5 mL 90% CH<sub>3</sub>CN). The pH was adjusted to 6 if necessary and the mixture was heated at 45-50 °C for 30 min.then analyzed by HPLC using method 1.

-335-

Part E. Conjugation.

method 1.

To the solution from Part D was added 2.5 mg of the cyclic compound intermediate Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb) dissolved in 0.5 mL 0.5 M phosphate buffer pH 9 and 5 the pH was then adjusted to 9.5-10. After heating at 50 °C for 30 min, the solution was analyzed by HPLC using

## Example 32.

10 Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb([<sup>99m</sup>TcO(MA-MAMA)]-5-Aca))

The title compound was synthesized following the procedure described in Example 31, substituting the linker modified cyclic compound Cyclo-(D-Val-NMeArg-Gly-Asp-Mamb(5-Aca)) for the cyclic compound intermediate

Cyclo-(D-Lys-NMeArg-Gly-Asp-Mamb) in Part E.

Table 3. Analytical and Yield Data for  $^{99m}$ Tc-labeled Reagents

20

|            | HPLC Retention<br>Time(min) | % Yield |
|------------|-----------------------------|---------|
| Example 18 | 15.0                        | 60      |
| Example 19 | 16.2                        | 45      |
| Example 20 | 15.3                        | 35      |
| Example 21 | 15.5                        | 55      |
| Example 22 | 14.3                        | 44      |
| Example 23 | 15.5                        | 34      |
| Example 24 | 14.5                        | 70      |
| Example 25 | 13.2                        | 50      |
| Example 26 | 13.0                        | 55      |
| Example 27 | 14.3                        | 40      |
| Example 28 | 18.2                        | 10      |
| Example 29 | 19.1                        | 22      |

 $\mathbf{x}$ 

| Example 30 | 19.3 | 22 |
|------------|------|----|
| Example 31 | 14.8 | 23 |
| Example 32 | 16.2 | 34 |

# Analytical Methods

5 HPLC Method 1 Column: Vydac C18, 250 mm x 4.6 mm, 300 Å pore size Solvent A: 10 mM sodium phosphate, pH 6.0 Solvent B: 100% acetonitrile Gradient: 10 0%B 30%B 75%B 0' 15' 25' Flow rate: 1.0 mL/min Detection by Nal probe 15 TLC Method 2 ITLC-SG strip, 1 cm x 7.5 cm, developed in 1:1 acetone:water. HPLC Method 3 20 Column: Vydac C<sub>18</sub>, 250 mm x 4.6 mm, 300 Å pore size Solvent A: 10 mM sodium phosphate, pH 6.0 Solvent B: 75% acetonitrile in Solvent A Gradient: 5%B 5%B 100%B 25 0' 5' 40' Flow rate: 1.0 mL/min ł Detection by NaI probe <u>Utility</u> The radiolabeled compounds of the invention are 30 useful as radiopharmaceuticals for imaging a thrombus

-337-

WO 94/22494

such as may be present in a patient with unstable angina, myocardial infarction, transient ischemic attack, stroke, atherosclerosis, diabetes, thrombophlebitis, pulmonary emboli, or prosthetic

- 5 cardiac devices such as heart valves, and thus may be used to diagnose such present or potential disorders. The patient may be any type of a mammal, but is preferably a human. The radiolabeled compounds may be used alone, or may be employed as a composition with a
- 10 radiopharmaceutically acceptable carrier, and/or in combination with other diagnostic or therapeutic agents. Suitable radiopharmaceuticals carriers and suitable amounts thereof are well known in the art, and can be found in, for example, Remington's Pharmaceutical
- 15 Sciences, Gennaro, A.R., ed., Mack Publishing Company, Easton, PA (1985), and The United States Pharmacopia -The National Formulary, 22nd Revision, Mack Printing Company, Easton, PA (1990), standard reference texts in the pharmaceutical field. Other materials may be added,
- 20 as convenient, to stabilize the composition, as those skilled in the art will recognize, including antioxidizing agents such as sodium bisulfite, sodium sulfite, ascorbic acid, gentisic acid or citric acid (or their salts) or sodium ethylenediamine tetraacetic acid
- 25 (sodium EDTA), as is well known in the art. Such other materials, as well as suitable amounts thereof, are also described in Remington's Pharmaceutical Sciences and The United States Pharmacopia - The National Formulary, cited above.

30 The present invention also includes radiopharmaceutical kits containing the labeled compounds of the invention. Such kits may contain the labeled compounds in sterile lyophilized form, and may include a sterile container of a radiopharma-ceutically

-338-

#### WO 94/22494

1

acceptable reconstitution liquid. Suitable reconstitution liquids are disclosed in Remington's Pharmaceutical Sciences and The United States Pharmacopia - The National Formulary, cited above. Such

- 5 kits may alternatively contain a sterile container of a composition of the radiolabeled compounds of the invention. Such kits may also include, if desired, other conventional kit components, such as, for example, one or more carriers, one or more additional vials for
- 10 mixing. Instructions, either as inserts or labels, indicating quantities of the labeled compounds of the invention and carrier, guidelines for mixing these components, and protocols for administration may also be included in the kit. Sterilization of the containers
- 15 and any materials included in the kit and lyophilization (also referred to as freeze-drying) of the labeled compounds of the invention may be carried out using conventional sterilization and lyophilization methodologies known to those skilled in the art.
- 20 To carry out the method of the invention, the radiolabeled compounds are generally administered intravenously, by bolus injection, although they may be administered by any means that produces contact of the compounds with platelets. Suitable amounts for
- 25 administration will be readily ascertainable to those skilled in the art, once armed with the present disclosure. The dosage administered will, of course, vary depending up such known factors as the particular compound administered, the age, health and weight or the
- 30 nature and extent of any symptoms experienced by the patient, the amount of radiolabeling, the particular radionuclide used as the label, the rate of clearance of the radiolabeled compounds from the blood.

-339-

### PCT/US94/03256

#### WO 94/22494

Acceptable ranges for administration of radiolabeled materials are tabulated, for example, in the Physicians Desk Reference (PDR) for Nuclear Medicine, published by Medical Exonomics Company, a well-known reference text.

5 A discussion of some of the aforementioned considerations is provided in Eckelman et al., J. Nucl. Med., Vol. 209, pp. 350-357 (1979). By way of general guidance, a dosage range of the radiolabeled compounds of the invention may be between about 1 and about 40

10 mCi.

Once the radiolabeled compounds of the invention are administered, the presence of thrombi may be visualized using a standard radioscintographic imaging system, such as, for example, a gamma camera or a

15 computed tomographic device, and thromboembolic disorders detected. Such imaging systems are well known in the art, and are discussed, for example, in Macovski, A., Medical Imaging Systems, Information and Systems Science Series, Kailath, T., ed., Prentice-Hall, Inc.,

- 20 Englewood Cliffs, NJ (1983). Particularly preferred are single-photon emission computed tomography (SPECT) and positron emission tomography (PET). Specifically, imaging is carried out by scanning the entire patient, or a particular region of the patient suspected of
- 25 having a thrombus formation, using the radioscintographic system, and detecting the radioisotope signal. The detected signal is then converted into an image of the thrombus by the system. The resultant images should be read by an experienced
- 30 observer, such as, for example, a nuclear medicine physician. The foregoing process is referred to herein as "imaging" the patient. Generally, imaging is carried out about 1 minute to about 48 hours following

-340-

#### WO 94/22494

administration of the radiolabeled compound of the invention. The precise timing of the imaging will be dependant upon such factors as the half-life of the radioisotope employed, and the clearance rate of the compound administered, as will be readily apparent to 5 those skilled in the art. Preferably, imaging is carried out between about 1 minute and about 4 hours following administration.

The advantage of employing the radiolabeled compounds of the invention, which have the ability to 10 localize specifically and with high affinity in thrombi, to detect the presence of thrombi and/or to diagnose thromboembolic disorders in a patient, will be readily apparent to those skilled in the art, once armed with 15 the present disclosure.

Arteriovenous Shunt Model: Adult mongrel dogs of either sex (9-13kg) were anesthetized with pentobarbital sodium (35 mg/kg,i.v.) and ventilated with

- room air via an endotracheal tube (12 strokes/min,25 20 ml/kg). For arterial pressure determination, the left carotid artery was cannulated with a saline-filled polyethylene catheter (PE-240) and connected to a Statham pressure transducer (P23ID; Oxnard,CA). Mean
- arterial blood pressure was determined via damping the 25 pulsatile pressure signal. Heart rate was monitored using a cardiotachometer (Biotach, Grass Quincy, MA) triggered from a lead II electrocardiogram generated by limb leads. A jugular vein was cannulated (PE-240) for drug administration. The both femoral arteries and 30 femoral veins were cannulated with silicon treated (Sigmacote, Sigma Chemical Co. St Louis, MO), saline filled polyethylene tubing (PE-200) and connected with a 5 cm section of silicon treated tubing (PE-240) to form

-341-

## WO 94/22494

an extracorporeal arterio-venous shunts (A-V). Shunt patency was monitored using a doppler flow system (model VF-1, Crystal Biotech Inc, Hopkinton, MA) and flow probe (2-2.3 mm, Titronics Med. Inst., Iowa City, IA) placed 5 proximal to the locus of the shunt. All parameters were

monitored continuously on a polygraph recorder (model 7D Grass) at a paper speed of 10 mm/min or 25 mm/sec.

On completion of a 15 min post surgical stabilization period, an occlusive thrombus was formed by the introduction of a thrombogenic surface (4-0 braided silk thread, 5 cm in length, Ethicon Inc., Somerville, NJ) into the shunt one shunt with the other serving as a control. Two consecutive lhr shunt periods

- 15 were employed with the test agent administered as an infusion over 5 min beginning 5 min before insertion of the thrombogenic surface. At the end of each 1 hr shunt period the silk was carefully removed and weighed and the % incorporation determined via well counting.
- 20 Thrombus weight was calculated by subtracting the weight of the silk prior to placement from the total weight of the silk on removal from the shunt. The results are shown in Table 4. Arterial blood was withdrawn prior to the first shunt and every 30 min thereafter for
- 25 determination of blood clearance, whole blood collageninduced platelet aggregation, thrombin-induced platelet degranulation (platelet ATP release), prothrombin time and platelet count. Template bleeding time was also performed at 30 min intervals.

30

<u>Canine Deep Vein Thrombosis Model:</u> This model incorporates the triad of events (hypercoagulatible state, period of stasis, low shear environment) essential for the formation of a venous fibrin-rich

-342-

actively growing thrombus. The procedure was as follows: Adult mongrel dogs of either sex (9-13 kg) were anesthetized with pentobarbital sodium (35 mg/kg,i.v.) and ventilated with room air via an

- 5 endotracheal tube (12 strokes/min, 25 ml/kg). For arterial pressure determination, the right femoral artery was cannulated with a saline-filled polyethylene catheter (PE-240) and connected to a Statham pressure transducer (P23ID; Oxnard,CA). Mean arterial blood
- 10 pressure was determined via damping the pulsatile pressure signal. Heart rate was monitored using a cardiotachometer (Biotach, Grass Quincy, MA) triggered from a lead II electrocardiogram generated by limb leads. The right femoral vein was cannulated (PE-240)
- 15 for drug administration. A 5 cm segment of both jugular veins was isolated, freed from fascia and circumscribed with silk suture. A microthermister probe was placed on the vessel which serves as an indirect measure of venous flow. A balloon embolectomy catheter was utilized to
- 20 induce the 15 min period of stasis during which time a hypercoagulatible state was then induced using 5 U thrombin (American Diagnosticia, Greenwich CT) administered into the occluded segment. Fifteen minutes later, flow was reestablished by deflating the balloon.
- 25 The agent was infused during the first 5 min of reflow and the rate of incorporation monitored using gamma scintigraphy. The results for Examples 12 and 19 are shown in Figure 1.

## Example 33

30

Table 4. Experimental Data from the Arteriovenous Shunt Model (mean± SEM, T/B = thrombus/background)

-343-

| Ex.<br># | Venous<br>Conditions |           | Arterial<br>Conditions |           |
|----------|----------------------|-----------|------------------------|-----------|
|          | Uptake(%id/g)        | T/B ratio | Uptake (%id/g)         | T/B ratio |
| 8        | 0.25±0.15            | 19±9      | 1.81±0.18              | 173±22    |
| 9        | 0.45±0.11            | 8±3       | 2.60±.005              | 44±4      |
| 10       | 0.1 <u>6</u> ±0.02   | 7±0.6     | 5.00±0.51              | 221±16    |
| 12       | 0.46±0.19            | 7.0±2     | 6.15±0.66              | 111±6     |
| 13       | 1.64±1.32            | 33±27     | 8.50±0.20              | 163±14    |
| 16       | 0.08                 | 14        | 0.95±0.29              | 128±24    |
| 18       | 0.04±.01             | 13±3      | 0.47±0.12              | 147±44    |
| 19       | 0.58±0.22            | 13±4      | 5.75±1.28              | 142±24    |
| 21       | 0.06±0.03            | 4.0±2     | 1.6±0.12               | 113±1     |
| 22       | 0.045±0.02           | 7±4       | 1.28±0.44              | 158±5     |
| 23       | 0.21±0.05            | 7±0.4     | 5.41±0.70              | 195±39    |
| 32       | 0                    | 0         | 7.4                    | 102       |

Platelet Aggregation Assay: Canine blood was collected into 10 ml citrated Vacutainer tubes. The 5 blood was centrifuged for 15 minutes at 150 x g at room temperature, and platelet-rich plasma (PRP) was removed. The remaining blood was centrifuged for 15 minutes at 1500 x g at room temperature, and platelet-poor plasma

10 aggregometer (PAP-4 Platelet Aggregation Profiler), using PPP as the blank (100% transmittance). 200 µl of PRP was added to each micro test tube, and transmittance was set to 0%. 20 µl of various agonists (ADP, collagen, arachidonate, epinephrine, thrombin) were

(PPP) was removed. Samples were assayed on a

15 added to each tube, and the aggregation profiles were plotted (% transmittance versus time). The results were expressed as % inhibition of agonist-induced platelet aggregation. For the IC50 evaluation, the test

-344-

compounds were added at various concentrations prior to the activation of the platelets.

Platelet-Fibrinogen Binding Assay: Binding of 125I-fibrinogen to platelets was performed as described by Bennett et al. (1983) Proc. Natl. Acad. Sci. USA 80: 2417-2422, with some modifications as described below. Human PRP (h-PRP) was applied to a Sepharose column for the purification of platelet fractions. Aliquots of

- 10 platelets (5 X 10<sup>8</sup> cells) along with 1 mM calcium chloride were added to removable 96 well plates prior to the activation of the human gel purified platelets (h-GPP). Activation of the human gel purified platelets was achieved using ADP, collagen, arachidonate,
- 15 epinephrine, and/or thrombin in the presence of the ligand, <sup>125</sup>I-fibrinogen. The <sup>125</sup>I-fibrinogen bound to the activated, platelets was separated from the free form by centrifugation and then counted on a gamma counter. For an IC<sub>50</sub> evaluation, the test compounds 20 were added at various concentrations prior to the activation of the platelets.

The novel cyclic glycoprotein IIb/IIIa compounds of the invention may also possess thrombolytic efficacy, 25 that is, they are capable of lysing (breaking up) already formed platelet-rich fibrin blood clots, and thus may useful in treating a thrombus formation, as evidenced by their activity in the tests described below. Preferred cyclic compounds of the present 30 invention for use in thrombolysis would include those compounds having an IC<sub>50</sub> value (that is, the molar concentration of the cyclic compound capable of achieving 50% clot lysis) of less than about 1 mM, more preferably an IC<sub>50</sub> value of less than about 0.1 mM, even

-345-

#### WO 94/22494

more preferably an  $IC_{50}$  value of less than about 0.01 mM, still more preferably an  $IC_{50}$  value of less than about 0.001 mM, and most preferably an  $IC_{50}$  value of about 0.0005 mM.

5

IC<sub>50</sub> determinations may be made using a standard thrombolysis assay, as described below. Another class of preferred thrombolytic compounds of the invention would include those compounds which have a Kd of < 100 nM, 10 preferably < 10 nM, most preferably 0.1 to 1.0 nM.</p>

Thrombolytic Assay: Venous blood was obtained from the arm of a healthy human donor who was drug-free and aspirin free for at least two weeks prior to blood collection, and placed into 10 ml citrated Vacutainer

- 15 tubes. The blood was centrifuged for 15 minutes at 1500 x g at room temperature, and platelet rich plasma (PRP) was removed. To the PRP was then added 1 x  $10^{-3}$  M of the agonist ADP, epinephrine, collagen, arachidonate, serotonin or thrombin, or a mixture thereof, and the PRP
- 20 incubated for 30 minutes. The PRP was centrifuged for 12 minutes at 2500 x g at room temperature. The supernatant was then poured off, and the platelets remaining in the test tube were resuspended in platelet poor plasma (PPP), which served as a plasminogen source.
- 25 The suspension was then assayed on a Coulter Counter (Coulter Electronics, Inc., Hialeah, FL), to determine the platelet count at the zero time point. After obtaining the zero time point, test compounds were added at various concentrations. Test samples were taken at
- 30 various time points and the platelets were counted using the Coulter Counter. To determine the percent of lysis, the platelet count at a time point subsequent to the addition of the test compound was subtracted from the platelet count at the zero time point, and the resulting

-346-

#### WO 94/22494

number divided by the platelet count at the zero time point. Multiplying this result by 100 yielded the percentage of clot lysis achieved by the test compound. For the IC<sub>50</sub> evaluation, the test compounds were added 5 at various concentrations, and the percentage of lysis caused by the test compounds was calculated.

The disclosures of each patent and publication cited in this document are hereby incorporated herein by reference, in their entirety.

10

Various modifications in the invention, in addition to those shown and described herein will be readily apparent to those skilled in the art from the foregoing description. Such modifications are intended to be within the scope of the appended claims.

15

# WHAT IS CLAIMED IS:

 A reagent for preparing a radiopharmaceutical of formulae:

$$(QL_n)_dC_h$$
;  $(Q)_d \cdot L_n - C_h$ ,

10·

5

wherein, d is 1-3, d' is 2-20,  $L_n$  is a linking group,  $C_h$  is a metal chelator, and Q is a compound of formula (I):

Ĭ R<sup>32</sup> I NR<sup>2</sup> (R<sup>22</sup> (R<sup>23</sup>

(I)

15

or a pharmaceutically acceptable salt or prodrug form thereof, wherein:

20

25

 $\rm R^{31}$  is a  $\rm C_6-C_{14}$  saturated, partially saturated, or aromatic carbocyclic ring system, substituted with 0-4  $\rm R^{10}$  or  $\rm R^{10a}$ , and optionally bearing a bond to  $\rm L_n$ ; a heterocyclic ring system, optionally substituted with 0-4  $\rm R^{10}$  or  $\rm R^{10a}$ , and optionally bearing a bond to  $\rm L_n$ ;

 $R^{32}$  is selected from: -C(=O)-;

PCT/US94/03256

-C(=S)--S(=0)<sub>2</sub>-; -S(=0)-;  $-P(=Z)(ZR^{13})-;$ 5 Z is S or O; n" and n' are independently 0-2;  ${\rm R}^1$  and  ${\rm R}^{22}$  are independently selected from the 10 following groups: hydrogen,  $C_1-C_8$  alkyl substituted with 0-2 R<sup>11</sup>; C<sub>2</sub>-C<sub>8</sub> alkenyl substituted with 0-2  $R^{11}$ ; 15 C<sub>2</sub>-C<sub>8</sub> alkynyl substituted with 0-2  $R^{11}$ ; C3-C10 cycloalkyl substituted with 0-2 R<sup>11</sup>; 20 a bond to  $L_n$ ; aryl substituted with 0-2  $R^{12}$ ; a 5-10-membered heterocyclic ring system containing 1-4 heteroatoms independently 25 selected from N, S, and O, said heterocyclic ring being substituted with 0-2 R<sup>12</sup>; í =0, F, Cl, Br, I, -CF<sub>3</sub>, -CN, -CO<sub>2</sub>R<sup>13</sup>, 30 -C(=0)R<sup>13</sup>, -C(=0)N(R<sup>13</sup>)<sub>2</sub>, -CHO, -CH<sub>2</sub>OR<sup>13</sup>, -OC(=0)R<sup>13</sup>, -OC(=0)OR<sup>13a</sup>, -OR<sup>13</sup>,  $-OC(=0)N(R^{13})_2$ ,  $-NR^{13}C(=0)R^{13}$ ,  $-NR^{14}C(=0)OR^{13a}$ ,  $-NR^{13}C(=0)N(R^{13})_2$ ,

-349-

|          | $-NR^{14}SO_{2N}(R^{13})_{2}$ , $-NR^{14}SO_{2R}^{13a}$ , $-SO_{3H}$ ,<br>$-SO_{2R}^{13a}$ , $-SR^{13}$ , $-S(=O)R^{13a}$ , $-SO_{2N}(R^{13})_{2}$ ,<br>$-N(R^{13})_{2}$ , $-NHC(=NH)NHR^{13}$ , $-C(=NH)NHR^{13}$ ,   |
|----------|--|
|          | $-N(R^{13})_2$ , $-NHC(=NH)NHR^{13}$ , $-C(=NH)NHR^{13}$ ,<br>= $NOR^{13}$ , $NO_2$ , $-C(=O)NHOR^{13}$ ,  |
| 5        | $-C (=0) NHNR^{13}R^{13}a$ , $-OCH_2CO_2H$ ,   |
|          | 2-(1-morpholino)ethoxy;  |
|          | $R^1$ and $R^{21}$ can alternatively join to form a 3-   |
| 10       | 7 membered carbocyclic ring substituted with 0-2 R <sup>12</sup> ;   |
|          | when n' is 2, $R^1$ or $R^{21}$ can alternatively  |
|          | be taken together with $R^1$ or $R^{21}$ on an   |
|          | adjacent carbon atom to form a direct  |
| 15       | bond, thereby to form a double or triple   |
|          | bond between said carbon atoms;  |
|          | 01 00  |
|          | $\mathbb{R}^{21}$ and $\mathbb{R}^{23}$ are independently selected from: ,   |
| 20       | R <sup>21</sup> and R <sup>23</sup> are independently selected from:<br>hydrogen;  |
| 20       |  |
| 20       | hydrogen;<br>$C_1-C_4$ alkyl, optionally substituted with<br>1-6 halogen;  |
| 20       | hydrogen;<br>$C_1-C_4$ alkyl, optionally substituted with  |
| 20<br>25 | hydrogen;<br>$C_1-C_4$ alkyl, optionally substituted with<br>1-6 halogen;  |
|          | hydrogen;<br>C <sub>1</sub> -C <sub>4</sub> alkyl, optionally substituted with<br>1-6 halogen;<br>benzyl;<br>R <sup>22</sup> and R <sup>23</sup> can alternatively join to<br>form a 3-7 membered carbocyclic ring   |
|          | hydrogen;<br>C <sub>1</sub> -C <sub>4</sub> alkyl, optionally substituted with<br>1-6 halogen;<br>benzyl;<br>R <sup>22</sup> and R <sup>23</sup> can alternatively join to   |
|          | hydrogen;<br>C <sub>1</sub> -C <sub>4</sub> alkyl, optionally substituted with<br>1-6 halogen;<br>benzyl;<br>R <sup>22</sup> and R <sup>23</sup> can alternatively join to<br>form a 3-7 membered carbocyclic ring<br>substituted with 0-2 R <sup>12</sup> ;<br>when n" is 2, R <sup>22</sup> or R <sup>23</sup> can   |
|          | hydrogen;<br>$C_1-C_4$ alkyl, optionally substituted with<br>1-6 halogen;<br>benzyl;<br>$R^{22}$ and $R^{23}$ can alternatively join to<br>form a 3-7 membered carbocyclic ring<br>substituted with 0-2 $R^{12}$ ;<br>when n" is 2, $R^{22}$ or $R^{23}$ can<br>alternatively be taken together with $R^{22}$  |
| 25       | hydrogen;<br>C <sub>1</sub> -C <sub>4</sub> alkyl, optionally substituted with<br>1-6 halogen;<br>benzyl;<br>R <sup>22</sup> and R <sup>23</sup> can alternatively join to<br>form a 3-7 membered carbocyclic ring<br>substituted with 0-2 R <sup>12</sup> ;<br>when n" is 2, R <sup>22</sup> or R <sup>23</sup> can<br>alternatively be taken together with R <sup>22</sup><br>or R <sup>23</sup> on an adjacent carbon atom to form  |
| 25       | hydrogen;<br>C <sub>1</sub> -C <sub>4</sub> alkyl, optionally substituted with<br>1-6 halogen;<br>benzyl;<br>R <sup>22</sup> and R <sup>23</sup> can alternatively join to<br>form a 3-7 membered carbocyclic ring<br>substituted with 0-2 R <sup>12</sup> ;<br>when n" is 2, R <sup>22</sup> or R <sup>23</sup> can<br>alternatively be taken together with R <sup>22</sup><br>or R <sup>23</sup> on an adjacent carbon atom to form<br>a direct bond, thereby to form a double |
| 25       | hydrogen;<br>C <sub>1</sub> -C <sub>4</sub> alkyl, optionally substituted with<br>1-6 halogen;<br>benzyl;<br>R <sup>22</sup> and R <sup>23</sup> can alternatively join to<br>form a 3-7 membered carbocyclic ring<br>substituted with 0-2 R <sup>12</sup> ;<br>when n" is 2, R <sup>22</sup> or R <sup>23</sup> can<br>alternatively be taken together with R <sup>22</sup><br>or R <sup>23</sup> on an adjacent carbon atom to form  |

-350-

.

10

15

20

25

 $R^1$  and  $R^2$ , where  $R^{21}$  is H, can alternatively join to form a 5-8 membered carbocyclic ring substituted with 0-2  $R^{12}$ ;

R<sup>11</sup> is selected from one or more of the following:

=0, F, Cl, Br, I, -CF<sub>3</sub>, -CN, -CO<sub>2</sub>R<sup>13</sup>, -C(=0)R<sup>13</sup>, -C(=0)N(R<sup>13</sup>)<sub>2</sub>, -CH0, -CH<sub>2</sub>OR<sup>13</sup>, -OC(=0)R<sup>13</sup>, -OC(=0)OR<sup>13a</sup>, -OR<sup>13</sup>, -OC(=0)N(R<sup>13</sup>)<sub>2</sub>, -NR<sup>13</sup>C(=0)R<sup>13</sup>, -NR<sup>14</sup>C(=0)OR<sup>13a</sup>, -NR<sup>13</sup>C(=0)N(R<sup>13</sup>)<sub>2</sub>, -NR<sup>14</sup>SO<sub>2</sub>N(R<sup>13</sup>)<sub>2</sub>, -NR<sup>14</sup>SO<sub>2</sub>R<sup>13a</sup>, -SO<sub>3</sub>H, -SO<sub>2</sub>R<sup>13a</sup>, -SR<sup>13</sup>, -S(=0)R<sup>13a</sup>, -SO<sub>2</sub>N(R<sup>13</sup>)<sub>2</sub>, -N(R<sup>13</sup>)<sub>2</sub>, -NHC(=NH)NHR<sup>13</sup>, -C(=NH)NHR<sup>13</sup>, =NOR<sup>13</sup>, NO<sub>2</sub>, -C(=0)NHOR<sup>13</sup>, -C(=0)NHNR<sup>13</sup>R<sup>13a</sup>, -OCH<sub>2</sub>CO<sub>2</sub>H, 2-(1-morpholino)ethoxy,

C<sub>1</sub>-C<sub>5</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, C<sub>3</sub>-C<sub>6</sub> cycloalkyl, C<sub>3</sub>-C<sub>6</sub> cycloalkylmethyl, C<sub>2</sub>-C<sub>6</sub> alkoxyalkyl, C<sub>3</sub>-C<sub>6</sub> cycloalkoxy, C<sub>1</sub>-C<sub>4</sub> alkyl (alkyl being substituted with 1-5 groups selected independently from:  $-NR^{13}R^{14}$ , -CF<sub>3</sub>, NO<sub>2</sub>, -SO<sub>2</sub>R<sup>13a</sup>, or  $-S (= O) R^{13}a$ ),

30

aryl substituted with 0-2  $R^{12}$ ,

a 5-10-membered heterocyclic ring system containing 1-4 heteroatoms independently selected from N, S, and O, said

-351-

10

15

20

25 .

30

PCT/US94/03256

heterocyclic ring being substituted with  $0-2 R^{12}$ ;

R<sup>12</sup> is selected from one or more of the following:

phenyl, benzyl, phenethyl, phenoxy, benzyloxy, halogen, hydroxy, nitro, cyano, C1-C5 alkyl, C3-C6 cycloalkyl, C3-C6 cycloalkylmethyl, C7-C10 arylalkyl,  $C_1-C_5$  alkoxy,  $-CO_2R^{13}$ ,  $-C (=0) NHOR^{13a}$ ,  $-C (=0) \text{ NHN} (\mathbb{R}^{13})_2$ , =NOR<sup>13</sup>,  $-B (\mathbb{R}^{34}) (\mathbb{R}^{35})$ , C<sub>3</sub>- $C_6$  cycloalkoxy,  $-OC(=0)R^{13}$ ,  $-C(=0)R^{13}$ , - $OC (=0) OR^{13a}$ ,  $-OR^{13}$ ,  $-(C_1-C_4 alkyl) - OR^{13}$ ,  $-N(R^{13})_2$ ,  $-OC(=0)N(R^{13})_2$ ,  $-NR^{13}C(=0)R^{13}$ ,  $-NR^{13}C(=0)OR^{13a}$ ,  $-NR^{13}C(=0)N(R^{13})_2$ , -NR<sup>13</sup>SO<sub>2</sub>N(R<sup>13</sup>)<sub>2</sub>, -NR<sup>13</sup>SO<sub>2</sub>R<sup>13a</sup>, -SO<sub>3</sub>H,  $-SO_{2R}^{13a}$ ,  $-S(=0)R^{13a}$ ,  $-SR^{13}$ ,  $-SO_{2N}(R^{13})_{2}$ , C2-C6 alkoxyalkyl, methylenedioxy, ethylenedioxy, C1-C4 haloalkyl, C1-C4 haloalkoxy, C1-C4 alkylcarbonyloxy, C1-C4 alkylcarbonyl, C1-C4 alkylcarbonylamino, -OCH<sub>2</sub>CO<sub>2</sub>H, 2-(1-morpholino)ethoxy,  $C_1-C_4$ alkyl (alkyl being substituted with  $-N(R^{13})_{2}$ ,  $-CF_{3}$ ,  $NO_{2}$ , or  $-S(=O)R^{13a}$ ;

R<sup>13</sup> is selected independently from: H, C<sub>1</sub>-C<sub>10</sub> alkyl, C<sub>3</sub>-C<sub>10</sub> cycloalkyl, C<sub>4</sub>-C<sub>12</sub> alkylcycloalkyl, aryl, -(C<sub>1</sub>-C<sub>10</sub> alkyl)aryl, or C<sub>3</sub>-C<sub>10</sub> alkoxyalkyl;

R<sup>13a</sup> is C<sub>1</sub>-C<sub>10</sub> alkyl, C<sub>3</sub>-C<sub>10</sub> cycloalkyl, C<sub>4</sub>-C<sub>12</sub> alkylcycloalkyl, aryl, -(C<sub>1</sub>-C<sub>10</sub> alkyl)aryl, or C<sub>3</sub>-C<sub>10</sub> alkoxyalkyl;

-352-

~ •

, .

•

¥

PCT/US94/03256

|    | when two R <sup>13</sup> groups are bonded to a   |
|----|---|
|    | single N, said R <sup>13</sup> groups may   |
|    | alternatively be taken together to form   |
| 5  | $-(CH_2)_{2-5}$ or $-(CH_2)O(CH_2)$ -;  |
|    | $R^{14}$ is OH, H, C <sub>1</sub> -C <sub>4</sub> alkyl, or benzyl;   |
| 10 | R <sup>2</sup> is H or C <sub>1</sub> -C <sub>8</sub> alkyl;  |
| 10 | $R^{10}$ and $R^{10a}$ are selected independently from  |
|    | one or more of the following:   |
|    | phenyl, benzyl, phenethyl, phenoxy,   |
| 15 | benzyloxy, halogen, hydroxy, nitro,   |
|    | cyano, C <sub>1</sub> -C5 alkyl, C3-C6 cycloalkyl, C3-  |
|    | C6 cycloalkylmethyl, C7-C10 arylalkyl,  |
|    | $C_1-C_5$ alkoxy, $-CO_2R^{13}$ , $-C(=0)N(R^{13})_2$ ,   |
|    | $-C (=0) \text{ NHOR}^{13a}$ , $-C (=0) \text{ NHN} (R^{13})_2$ , $= \text{NOR}^{13}$ ,   |
| 20 | $-B(R^{34})(R^{35})$ , C <sub>3</sub> -C <sub>6</sub> cycloalkoxy,  |
|    | $-OC(=0)R^{13}$ , $-C(=0)R^{13}$ , $-OC(=0)OR^{13a}$ ,  |
|    | $-OR^{13}$ , $-(C_1-C_4 \text{ alkyl}) - OR^{13}$ , $-N(R^{13})_2$ ,  |
|    | $-OC (=0) N (R^{13})_2, -NR^{13}C (=0) R^{13},$   |
|    | $-NR^{13}C(=0)OR^{13a}$ , $-NR^{13}C(=0)N(R^{13})_2$ ,  |
| 25 | $-NR^{13}SO_2N(R^{13})_2$ , $-NR^{13}SO_2R^{13a}$ , $-SO_3H$ ,  |
|    | $-SO_2R^{13a}$ , $-S(=O)R^{13a}$ , $-SR^{13}$ , $-SO_2N(R^{13})_2$ ,  |
|    | C <sub>2</sub> -C <sub>6</sub> alkoxyalkyl, methylenedioxy,   |
|    | ethylenedioxy, C1-C4 haloalkyl (including   |
| 20 | $-C_v F_w$ where $v = 1$ to 3 and $w = 1$ to  |
| 30 | (2v+1)), C1-C4 haloalkoxy, C1-C4  |
|    | alkylcarbonyloxy, C1-C4 alkylcarbonyl,  |
|    | C <sub>1</sub> -C <sub>4</sub> alkylcarbonylamino, -OCH <sub>2</sub> CO <sub>2</sub> H,<br>2-(1-morpholino)ethoxy, C <sub>1</sub> -C <sub>4</sub> alkyl |
|    | $2 - (1 - morphorrmo) = choxy, c_1 - c_4 arkyr$   |

-353-

PCT/US94/03256

|    | (alkyl being substituted with $-N(R^{13})_2$ ,<br>-CF <sub>3</sub> , NO <sub>2</sub> , or $-S(=0)R^{13a}$ );  |
|----|---|
| 5  | J is $\beta$ -Ala or an L-isomer or D-isomer amino acid of structure $-N(R^3)C(R^4)(R^5)C(=0)$ -, wherein:  |
|    | $R^3$ is H or C <sub>1</sub> -C <sub>8</sub> alkyl;   |
| 10 | R <sup>4</sup> is H or C <sub>1</sub> -C <sub>3</sub> alkyl;  |
|    | R <sup>5</sup> is selected from:<br>hydrogen;<br>C1-C8 alkyl substituted with 0-2 R <sup>11</sup> ;   |
| 15 | C <sub>2</sub> -C <sub>8</sub> alkenyl substituted with 0-2 R <sup>11</sup> ;<br>C <sub>2</sub> -C <sub>8</sub> alkynyl substituted with 0-2 R <sup>11</sup> ;<br>C <sub>3</sub> -C <sub>10</sub> cycloalkyl substituted with 0-2<br>R <sup>11</sup> ;  |
| 20 | a bond to L <sub>n</sub> ;  |
|    | aryl substituted with 0-2 $R^{12}$ ;  |
| 25 | a 5-10-membered heterocyclic ring system<br>containing 1-4 heteroatoms independently<br>selected from N, S, or O, said<br>heterocyclic ring being substituted with<br>O-2 R <sup>12</sup> ;   |
| 30 | =0, F, Cl, Br, I, $-CF_3$ , $-CN$ , $-CO_2R^{13}$ ,<br>-C(=0)R <sup>13</sup> , $-C$ (=0)N(R <sup>13</sup> ) <sub>2</sub> , $-CHO$ , $-CH_2OR^{13}$ ,<br>-OC(=0)R <sup>13</sup> , $-OC$ (=0)OR <sup>13a</sup> , $-OR^{13}$ ,<br>-OC(=0)N(R <sup>13</sup> ) <sub>2</sub> , $-NR^{13}C$ (=0)R <sup>13</sup> ,<br>-NR <sup>14</sup> C(=0)OR <sup>13a</sup> , $-NR^{13}C$ (=0)N(R <sup>13</sup> ) <sub>2</sub> , |

-354-

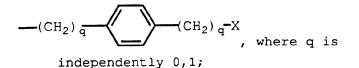
ī,

-NR<sup>14</sup>SO<sub>2</sub>N(R<sup>13</sup>)<sub>2</sub>, -NR<sup>14</sup>SO<sub>2</sub>R<sup>13a</sup>, -SO<sub>3</sub>H, -SO<sub>2</sub>R<sup>13a</sup>, -SR<sup>13</sup>, -S(=0)R<sup>13a</sup>, -SO<sub>2</sub>N(R<sup>13</sup>)<sub>2</sub>, -N(R<sup>13</sup>)<sub>2</sub>, -NHC(=NH)NHR<sup>13</sup>, -C(=NH)NHR<sup>13</sup>, =NOR<sup>13</sup>, NO<sub>2</sub>, -C(=O)NHOR<sup>13</sup>, -C(=O)NHNR<sup>13</sup>R<sup>13a</sup>, =NOR<sup>13</sup>, -B(R<sup>34</sup>)(R<sup>35</sup>), -OCH<sub>2</sub>CO<sub>2</sub>H, 2-(1-morpholino)ethoxy, -SC(=NH)NHR<sup>13</sup>, N<sub>3</sub>, -Si(CH<sub>3</sub>)<sub>3</sub>, (C<sub>1</sub>-C<sub>5</sub> alkyl)NHR<sup>16</sup>;

10

5

 $-(C_0-C_6 alkyl)X;$ 



15

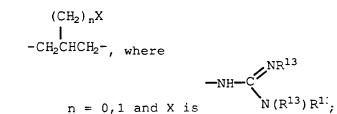
- CH<sub>2</sub> - CH<sub>2</sub>X

 $-(CH_2)_m S(0)_{p'}(CH_2)_2 X$ , where m = 1, 2 and p' = 0-2;

20

wherein X is defined below; and

 $\mathbb{R}^3$  and  $\mathbb{R}^4$  may also be taken together to form



25

-355-

10

15

20

PCT/US94/03256

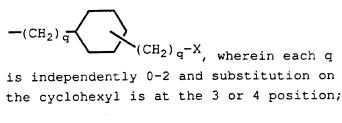
| R <sup>3</sup> z | and $R^5$ can alternatively be taken together<br>to form $-(CH_2)_t$ - or $-CH_2S(O)_p \cdot C(CH_3)_2$ -,<br>where t = 2-4 and p' = 0-2; or |
|------------------|--|
| R <sup>4</sup> a | and $R^5$ can alternatively be taken together<br>to form -(CH <sub>2</sub> ) <sub>u</sub> -, where u = 2-5;                                  |
| R16              | is selected from:  |
|                  | an amine protecting group;   |
|                  | 1-2 amino acids;   |
|                  | 1-2 amino acids substituted with an amine  |
|                  | protecting group;  |
|                  |  |
| K                | is a D-isomer or L-isomer amino acid of  |
|                  | structure .  |
|                  | $-N(R^{6})CH(R^{7})C(=0)-$ , wherein:  |
| R6               | is H or C <sub>1</sub> -C <sub>8</sub> alkyl;  |
| R <sup>7</sup>   | is selected from:  |
|                  | $-(C_1-C_7 alkyl)X;$   |

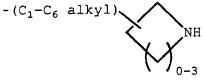
$$-(CH_2)_q$$
, wherein

25

each q is independently 0-2 and substitution on the phenyl is at the 3 or 4 position;

-356-





 $-(CH_2)_{mO}-(C_1-C_4 \text{ alkyl})-X$ , where m = 1 or 2;

10

15

20

÷

 $-(CH_2)_mS(O)_p - (C_1-C_4 alkyl) - X$ , where m = 1 or 2 and p' = 0-2; and

X is selected from:

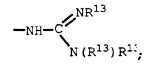
 ${\rm R}^6$  and  ${\rm R}^7$  can alternatively be taken together to form

$$(CH_2)_n X$$
  
 $|$   
 $-(CH_2)_q CH(CH_2)_q^-$ , wherein each q is  
independently 1 or 2 and wherein

25

n = 0 or 1 and X is  $-NH_2$  or

-357-



L

is  $-Y(CH_2)_vC(=0)-$ , wherein:

Y is NH, N(C<sub>1</sub>-C<sub>3</sub> alkyl), O, or S; and v = 1 or 2;

10

**M** is a D-isomer or L-isomer amino acid of structure

 $-NR^{17}-CH-C(=O) - (CH(R^4))_{q},$ 

15

```
wherein:
```

q' is 0-2;

 $R^{17}$  is H,  $C_1-C_3$  alkyl;

20

25

R<sup>8</sup> is selected from: -CO<sub>2</sub>R<sup>13</sup>,-SO<sub>3</sub>R<sup>13</sup>, -SO<sub>2</sub>NHR<sup>14</sup>, -B(R<sup>34</sup>)(R<sup>35</sup>), -NHSO<sub>2</sub>CF<sub>3</sub>, -CONHNHSO<sub>2</sub>CF<sub>3</sub>, -PO(OR<sup>13</sup>)<sub>2</sub>, -PO(OR<sup>13</sup>)R<sup>13</sup>, -SO<sub>2</sub>NH-heteroaryl (said heteroaryl being 5-10-membered and having 1-4 heteroatoms selected independently from N, S, or O) , -SO<sub>2</sub>NH-heteroaryl (said heteroaryl being 5-10-membered and

-358-

WO 94/22494

~

.

:

\*

| 5  | having 1-4 heteroatoms selected<br>independently from N, S, or O),<br>-SO <sub>2</sub> NHCOR <sup>13</sup> , -CONHSO <sub>2</sub> R <sup>13a</sup> ,<br>-CH <sub>2</sub> CONHSO <sub>2</sub> R <sup>13a</sup> , -NHSO <sub>2</sub> NHCOR <sup>13a</sup> ,<br>-NHCONHSO <sub>2</sub> R <sup>13a</sup> , -SO <sub>2</sub> NHCONHR <sup>13</sup> ; |
|----|---|
|    | $R^{34}$ and $R^{35}$ are independently selected from:  |
|    | -ОН,  |
|    | -F,   |
| 10 | $-N(R^{13})_2$ , or   |
|    | C <sub>1</sub> -C <sub>8</sub> -alkoxy;   |
|    | -3435   |
|    | $R^{34}$ and $R^{35}$ can alternatively be taken  |
| 15 | together form:<br>a cyclic boron ester where said chain or  |
| 10 | -   |
|    | ring contains from 2 to 20 carbon atoms<br>and, optionally, 1-4 heteroatoms   |
|    | independently selected from N, S, or O;   |
|    | a divalent cyclic boron amide where said  |
| 20 | chain or ring contains from 2 to 20   |
| 20 | carbon atoms and, optionally, 1-4   |
|    | heteroatoms independently selected from   |
|    | N, S, or O;   |
|    | a cyclic boron amide-ester where said chain or  |
| 25 | ring contains from 2 to 20 carbon atoms   |
|    | and, optionally, 1-4 heteroatoms  |
|    | independently selected from N, S, or O.   |
|    |   |
|    |   |
| 30 | 2. A reagent of Claim 1, wherein:   |
|    |   |
|    | $R^{31}$ is bonded to $(C(R^{23})R^{22})_{n}$ and   |
|    | $(C(R^{21})R^{1})_{n}$ , at 2 different atoms on said   |
|    | carbocyclic ring.   |

-359-

A reagent of Claim 1, wherein: 3. n" is 0 and n' is 0; n" is 0 and n' is 1; 5 n" is 0 and n' is 2; n" is 1 and n' is 0; n" is 1 and n' is 1; n" is 1 and n' is 2; n" is 2 and n' is 0; 10 n" is 2 and n' is 1; or n" is 2 and n' is 2. A reagent of Claim 1 wherein R<sup>6</sup> is methyl, 4. 15 ethyl, or propyl. 5. A reagent of Claim 1 wherein: 20  $\mathbb{R}^{32}$  is selected from: -C(=0)-; -C(=S)--S(=0)<sub>2</sub>-; 25  $R^1$  and  $R^{22}$  are independently selected from the following groups: hydrogen,  $C_1-C_8$  alkyl substituted with 0-2 R<sup>11</sup>, 30  $C_2-C_8$  alkenyl substituted with 0-2 R<sup>11</sup>, C2-C8 alkynyl substituted with 0-2 R<sup>11</sup>, C<sub>3</sub>-C<sub>8</sub> cycloalkyl substituted with 0-2 R<sup>11</sup>,

-360-

10

15

20

25 ·

30

PCT/US94/03256

C6-C10 bicycloalkyl substituted with 0-2 R<sup>11</sup>; a bond to  $L_n$ ; aryl substituted with  $0-2 R^{12}$ ; a 5-10-membered heterocyclic ring system containing 1-4 heteroatoms independently selected from N, S, or O, said heterocyclic ring being substituted with 0-2 R<sup>12</sup>; =0, F, Cl, Br, I, -CF<sub>3</sub>, -CN, -CO<sub>2</sub>R<sup>13</sup>,  $-C (=0) R^{13}$ ,  $-C (=0) N (R^{13})_2$ , -CHO,  $-CH_2OR^{13}$ , -OC(=0)R<sup>13</sup>, -OC(=0)OR<sup>13a</sup>, -OR<sup>13</sup>,  $-OC(=0)N(R^{13})_2$ ,  $-NR^{13}C(=0)R^{13}$ ,  $-NR^{14}C(=0)OR^{13}a$ ,  $-NR^{13}C(=0)N(R^{13})_2$ , -NR<sup>14</sup>SO<sub>2</sub>N(R<sup>13</sup>)<sub>2</sub>, -NR<sup>14</sup>SO<sub>2</sub>R<sup>13a</sup>, -SO<sub>3</sub>H,  $-SO_2R^{13a}$ ,  $-SR^{13}$ ,  $-S(=0)R^{13a}$ ,  $-SO_2N(R^{13})_2$ ,  $-CH_{2}N(R^{13})_{2}$ ,  $-N(R^{13})_{2}$ ,  $-NHC(=NH)NHR^{13}$ , -C (=NH) NHR<sup>13</sup>, NO<sub>2</sub>;  $R^1$  and  $R^{21}$  can alternatively join to form a 5-7 membered carbocyclic ring substituted with  $0-2 R^{12}$ ; when n' is 2,  $R^1$  or  $R^{21}$  can alternatively be taken together with  $R^1$  or  $R^{21}$  on an adjacent carbon atom to form a direct bond, thereby to form a double or triple bond between said carbon atoms;

-361-

PCT/US94/03256

•

|    | R <sup>22</sup> and R <sup>23</sup> can alternatively join to form a<br>3-7 membered carbocyclic ring substituted<br>with 0-2 R <sup>12</sup> ;  |
|----|--|
| 5  | when n" is 2, $R^{22}$ or $R^{23}$ can<br>alternatively be taken together with $R^{22}$<br>or $R^{23}$ on an adjacent carbon atom to form<br>a direct bond, thereby to form a double<br>or triple bond between said carbon atoms;  |
| 10 | R <sup>1</sup> and R <sup>2</sup> , where R <sup>21</sup> is H, can alternatively<br>join to form a 5-8 membered carbocyclic<br>ring substituted with 0-2 R <sup>12</sup> ;  |
| 15 | R <sup>11</sup> is selected from one or more of the following:   |
| 20 | =0, F, Cl, Br, I, -CF <sub>3</sub> , -CN, -CO <sub>2</sub> R <sup>13</sup> ,<br>-C(=0)R <sup>13</sup> , -C(=0)N(R <sup>13</sup> ) <sub>2</sub> , -CHO, -CH <sub>2</sub> OR <sup>13</sup> ,<br>-OC(=0)R <sup>13</sup> , -OC(=0)OR <sup>13a</sup> , -OR <sup>13</sup> ,<br>-OC(=0)N(R <sup>13</sup> ) <sub>2</sub> , -NR <sup>13</sup> C(=0)R <sup>13</sup> ,<br>-NR <sup>14</sup> C(=0)OR <sup>13a</sup> , -NR <sup>13</sup> C(=0)N(R <sup>13</sup> ) <sub>2</sub> ,<br>-NR <sup>14</sup> SO <sub>2</sub> N(R <sup>13</sup> ) <sub>2</sub> , -NR <sup>14</sup> SO <sub>2</sub> R <sup>13a</sup> , -SO <sub>3</sub> H, |
| 25 | $-SO_2R^{13a}$ , $-SR^{13}$ , $-S (=O)R^{13a}$ , $-SO_2N (R^{13})_2$ ,<br>$-CH_2N (R^{13})_2$ , $-N (R^{13})_2$ , $-NHC (=NH) NHR^{13}$ ,<br>$-C (=NH) NHR^{13}$ , $=NOR^{13}$ , $NO_2$ ;  |
| 30 | C1-C5 alkyl, C2-C4 alkenyl, C3-C6 cycloalkyl, C3-C6 cycloalkyl, C2-C6 alkoxyalkyl, C1-C4 alkyl (substituted with $-NR^{13}R^{14}$ , $-CF_3$ , NO <sub>2</sub> , $-SO_2R^{13}$ , or $-S(=O)R^{13}a$ )   |

aryl substituted with 0-2  $R^{12}$ ,

-362-

•

\*

~,

•

| 5  | a 5-10-membered heterocyclic ring system<br>containing 1-4 heteroatoms independently<br>selected from N, S, or O, said<br>heterocyclic ring being substituted with<br>0-2 R <sup>12</sup> ;  |
|----|--|
|    | R <sup>3</sup> is H or CH <sub>3</sub> ;   |
| 10 | R <sup>5</sup> is H, C <sub>1</sub> -C <sub>8</sub> alkyl, C <sub>3</sub> -C <sub>6</sub> cycloalkyl, C <sub>3</sub> -<br>C <sub>6</sub> cycloalkylmethyl, C <sub>1</sub> -C <sub>6</sub><br>cycloalkylethyl, phenyl, phenylmethyl,<br>CH <sub>2</sub> OH, CH <sub>2</sub> SH, CH <sub>2</sub> OCH <sub>3</sub> , CH <sub>2</sub> SCH <sub>3</sub> , |
| 15 | CH <sub>2</sub> CH <sub>2</sub> SCH <sub>3</sub> , (CH <sub>2</sub> ) <sub>s</sub> NH <sub>2</sub> ,<br>(CH <sub>2</sub> ) <sub>s</sub> NHC(=NH)(NH <sub>2</sub> ), (CH <sub>2</sub> ) <sub>s</sub> NHR <sup>16</sup> , where s<br>= 3-5;  |
|    | a bond to L <sub>n</sub> ;   |
| 20 | $R^3$ and $R^5$ can alternatively be taken together<br>to form $-(CH_2)_t-(t = 2-4)$ or<br>$-CH_2SC(CH_3)_2-$ ; or   |
| 25 | <pre>R<sup>7</sup> is selected from:<br/>-(C<sub>1</sub>-C<sub>7</sub> alkyl)X;</pre>  |
|    | $(CH_2)_q$ $(CH_2)_q^{-X}$ , wherein   |
|    | each q is  |
| 30 | independently 0-2 and substitution on the  |

30

:

÷

independently 0-2 and substitution on the phenyl is at the 3 or 4 position;

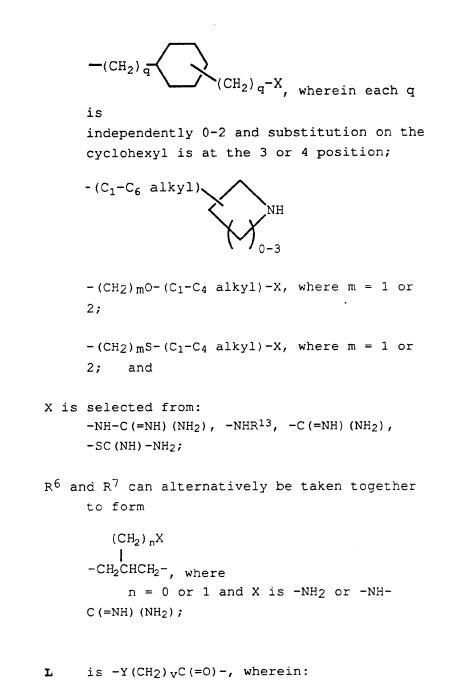
-363-

10

15

20

25



-364-

**`**17

•

.

.

\*

## PCT/US94/03256

Y is NH,  $N(C_1-C_3 \text{ alkyl})$ , O, or S; and v = 1 or 2;

**M** is a D-isomer or L-isomer amino acid of structure

-NR<sup>17</sup>-CH-C(=0)-(CH (R<sup>4</sup>))<sub>q</sub>, R<sup>8</sup>

wherein:

10

5

q' is 0-2;

 $\mathbb{R}^{17}$  is H,  $\mathbb{C}_1-\mathbb{C}_3$  alkyl;

 ${\tt R}^8$  is selected from:

15

20

25

| $-CO_2R^{13}$ , $-SO_3R^{13}$ , $-SO_2NHR^{14}$ , $-B(R^{34})(R^{35})$ ,  |
|---|
| -NHSO <sub>2</sub> CF <sub>3</sub> , -CONHNHSO <sub>2</sub> CF <sub>3</sub> , -PO(OR <sup>13</sup> ) <sub>2</sub> , |
| -PO(OR <sup>13</sup> )R <sup>13</sup> , -SO <sub>2</sub> NH-heteroaryl (said  |
| heteroaryl being 5-10-membered and having   |
| 1-4 heteroatoms selected independently  |
| from N, S, or O) , -SO <sub>2</sub> NH-heteroaryl   |
| (said heteroaryl being 5-10-membered and  |
| having 1-4 heteroatoms selected   |
| independently from N, S, or O),   |
| -SO <sub>2</sub> NHCOR <sup>13</sup> , -CONHSO <sub>2</sub> R <sup>13</sup> <sup>a</sup> ,                          |
| -CH <sub>2</sub> CONHSO <sub>2</sub> R <sup>13a</sup> , -NHSO <sub>2</sub> NHCOR <sup>13a</sup> ,                   |
| -NHCONHSO <sub>2</sub> R <sup>13a</sup> , -SO <sub>2</sub> NHCONHR <sup>13</sup> ;                                  |
|   |

30

 $\mathbb{R}^{34}$  and  $\mathbb{R}^{35}$  are independently selected from: -OH, -F,

-NR<sup>13</sup>R<sup>14</sup>, or

-365-

C<sub>1</sub>-C<sub>8</sub>-alkoxy;

|    | $R^{34}$ and $R^{35}$ can alternatively be taken |
|----|--|
|    | together form:                                   |
| 5  | a cyclic boron ester where said chain or         |
|    | ring contains from 2 to 20 carbon atoms          |
|    | and, optionally, 1-4 heteroatoms                 |
|    | independently selected from N, S, or O;          |
|    | a divalent cyclic boron amide where said         |
| 10 | chain or ring contains from 2 to 20              |
|    | carbon atoms and, optionally, 1-4                |
|    | heteroatoms independently selected from          |
|    | N, S, or O;                                      |
|    | a cyclic boron amide-ester where said            |
| 15 | chain or ring contains from 2 to 20              |
|    | carbon atoms and, optionally, 1-4                |
|    | heteroatoms independently selected from          |
|    | N, S, or O.                                      |
|    |  |

20

6. A reagent of Claim 1, wherein:

 $R^{31}$  is selected from the group consisting of:

25 (a) a 6 membered saturated, partially saturated or aromatic carbocyclic ring substituted with 0-3 R<sup>10</sup> or R<sup>10a</sup>, and optionally bearing a bond to Ln;

30 (b) a 8-11 membered saturated, partially saturated, or aromatic fused bicyclic carbocyclic ring substituted with 0-3 R<sup>10</sup> or R<sup>10a</sup>, and optionally bearing a bond to Ln; or

-366-

## PCT/US94/03256

WO 94/22494

(c) a 14 membered saturated, partially saturated, or aromatic fused tricyclic carbocyclic ring substituted with 0-3  $R^{10}$  or  $R^{10a}$ , and optionally bearing a bond to Ln.

7. A reagent of Claim 1, wherein:

10

15

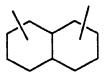
5

 $R^{31}$  is selected from the group consisting of:

(a) a 6 membered saturated, partially saturated, or aromatic carbocyclic ring of formulae:

wherein any of the bonds forming the carbocyclic ring may be a single or double bond, and wherein said carbocyclic ring is substituted with 0-3 R<sup>10</sup>, and optionally bears a bond to Ln;

(b) a 10 membered saturated, partially saturated, or aromatic bicyclic carbocyclic ring of formula:



-367- (

20

25

# PCT/US94/03256

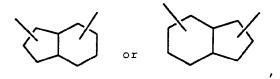
WO 94/22494

wherein any of the bonds forming the carbocyclic ring may be a single or double bond, wherein said carbocyclic ring is substituted independently with 0-4  $R^{10}$ , and optionally bears a bond to  $L_n$ ;

(c) a 9 membered saturated, partially saturated, or aromatic bicyclic carbocyclic ring of formula:



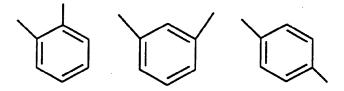
5



wherein any of the bonds forming the carbocyclic ring may be a single or double bond, wherein said carbocyclic ring is substituted independently with 0-4  $R^{10}$ , and optionally bears a bond to  $L_n$ .

8. A reagent of Claim 1, wherein:

R<sup>31</sup> is selected from (the dashed bond may be a single or double bond):



;

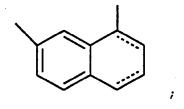
or

-368-

15

20

25



wherein  $R^{31}$  may be independently substituted with 0-3  $R^{10}$  or  $R^{10a},$  and optionally bears a bond to  $L_{\rm n};$ 

n" is 0 or 1; and

n' is 0-2.

10

5

9. A reagent of Claim 1, wherein:

15

20

25

-C(=0)NHN(R<sup>13</sup>)<sub>2</sub>, =NOR<sup>13</sup>, -B(R<sup>34</sup>)(R<sup>35</sup>), C<sub>3</sub>-C<sub>6</sub> cycloalkoxy, -OC(=0)R<sup>13</sup>, -C(=0)R<sup>13</sup>, -OC(=0)OR<sup>13a</sup>, -OR<sup>13</sup>, -(C<sub>1</sub>-C<sub>4</sub> alkyl)-OR<sup>13</sup>, -N(R<sup>13</sup>)<sub>2</sub>, -OC(=0)N(R<sup>13</sup>)<sub>2</sub>, -NR<sup>13</sup>C(=0)R<sup>13</sup>, -NR<sup>13</sup>C(=0)OR<sup>13a</sup>, -NR<sup>13</sup>C(=0)N(R<sup>13</sup>)<sub>2</sub>, -NR<sup>13</sup>SO<sub>2</sub>N(R<sup>13</sup>)<sub>2</sub>, -NR<sup>13</sup>SO<sub>2</sub>R<sup>13a</sup>, -SO<sub>3</sub>H, -SO<sub>2</sub>R<sup>13a</sup>, -S(=0)R<sup>13a</sup>, -SR<sup>13</sup>, -SO<sub>2</sub>N(R<sup>13</sup>)<sub>2</sub>, C<sub>2</sub>-C<sub>6</sub> alkoxyalkyl, methylenedioxy, ethylenedioxy, C<sub>1</sub>-C<sub>4</sub> alkylcarbonyloxy, C<sub>1</sub>-C<sub>4</sub>

R<sup>1</sup> and R<sup>22</sup> are independently selected from: phenyl, benzyl, phenethyl, phenoxy,

benzyloxy, halogen, hydroxy, nitro,

cyano, C1-C5 alkyl, C3-C6 cycloalkyl, C3-C6 cycloalkylmethyl, C7-C10 arylalkyl, C1-C5 alkoxy,  $-CO_2R^{13}$ ,  $-C(=O)NHOR^{13a}$ ,

30

-369-

alkylcarbonyl, C1-C4 alkylcarbonylamino,

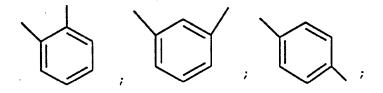
PCT/US94/03256

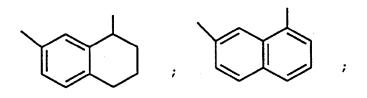
-OCH<sub>2</sub>CO<sub>2</sub>H, 2-(1-morpholino)ethoxy,  $C_1-C_4$ alkyl (alkyl being substituted with -N(R<sup>13</sup>)<sub>2</sub>, -CF<sub>3</sub>, NO<sub>2</sub>, or -S(=0)R<sup>13a</sup>).

5

10. A reagent of Claim 1, wherein:

 $\mathbb{R}^{31}$  is selected from:





10

wherein  $R^{31}$  may be independently substituted with 0-3  $R^{10}$  or  $R^{10a},$  and may optionally bear a bond to  $L_{\rm n};$ 

15

 $R^{32}$  is -C(=0)-;

n" is 0 or 1;

20

n' is 0-2;

-370-

:

PCT/US94/03256

 $R^1$  and  $R^{22}$  are independently selected from H, C1-C4 alkyl, phenyl, benzyl, phenyl-( $C_2-C_4$ ) alkyl,  $C_1-C_4$  alkoxy; and a bond to  $L_n$ ; 5  $R^{21}$  and  $R^{23}$  are independently H or  $C_1-C_4$  alkyl; R2 is H or  $C_1-C_8$  alkyl;  $R^{13}$  is selected independently from: H, C1-C10 10 alkyl, C3-C10 cycloalkyl, C4-C12 alkylcycloalkyl, aryl, -(C1-C10 alkyl)aryl, or C3-C10 alkoxyalkyl; R<sup>13a</sup> is C<sub>1</sub>-C<sub>10</sub> alkyl, C<sub>3</sub>-C<sub>10</sub> cycloalkyl, 15 C4-C12 alkylcycloalkyl, aryl, -(C1-C10 alkyl)aryl, or C3-C10 alkoxyalkyl; when two  $R^{13}$  groups are bonded to a 20 single N, said R<sup>13</sup> groups may alternatively be taken together to form  $-(CH_2)_{2-5}-$  or  $-(CH_2)O(CH_2)-;$  $\mathbb{R}^{14}$  is OH, H, C<sub>1</sub>-C<sub>4</sub> alkyl, or benzyl; 25 ·  $R^{10}$  and  $R^{10a}$  are selected independently from: H,  $C_1-C_8$  alkyl, phenyl, halogen, or  $C_1-C_4$ alkoxy; is  $\beta$ -Ala or an L-isomer or D-isomer amino 30 J acid of structure  $-N(R^3)C(R^4)(R^5)C(=0)-$ , wherein: R3 is H or CH3;

-371-

|    | R <sup>4</sup> is H or C <sub>1</sub> -C <sub>3</sub> alkyl;  |
|----|---|
|    | $R^5$ is H, C <sub>1</sub> -C <sub>8</sub> alkyl, C <sub>3</sub> -C <sub>6</sub> cycloalkyl, C <sub>3</sub> - |
|    | C6 cycloalkylmethyl, C1-C6  |
| 5  | cycloalkylethyl, phenyl, phenylmethyl,  |
|    | CH2OH, CH2SH, CH2OCH3, CH2SCH3,   |
|    | $CH_2CH_2SCH_3$ , $(CH_2)_{sNH_2}$ ,  |
|    | $-(CH_2)_{s}NHC(=NH)(NH_2), -(CH_2)_{s}NHR^{16}$ , where  |
|    | s = 3-5; and a bond to $L_n$ ; or   |
| 10 |   |
|    | ${	t R}^3$ and ${	t R}^5$ can alternatively be taken together   |
|    | to form $-(CH_2)_t - (t = 2-4)$ or  |
| •  | $-CH_2SC(CH_3)_2-;$ or  |
|    |   |
| 15 | $R^4$ and $R^5$ can alternatively be taken together   |
|    | to form $-(CH_2)u^-$ , where $u = 2-5$ ;  |
|    | R <sup>16</sup> is selected from:   |
|    | an amine protecting group;  |
| 20 | 1-2 amino acids; or   |
| 20 | 1-2 amino acids substituted with an amine   |
|    | protecting group;   |
|    | -   |
|    | K is an L-isomer amino acid of structure  |
| 25 | $-N(R^{6})CH(R^{7})C(=0)-$ , wherein:   |
|    |   |
|    | R <sup>6</sup> is H or C <sub>1</sub> -C <sub>8</sub> alkyl;  |
|    |   |
|    | R <sup>7</sup> is   |
|    | $-(CH_2)$   |
| 20 | $\sim \sim $             |
| 30 | 21  |

-372-

•

\*

10

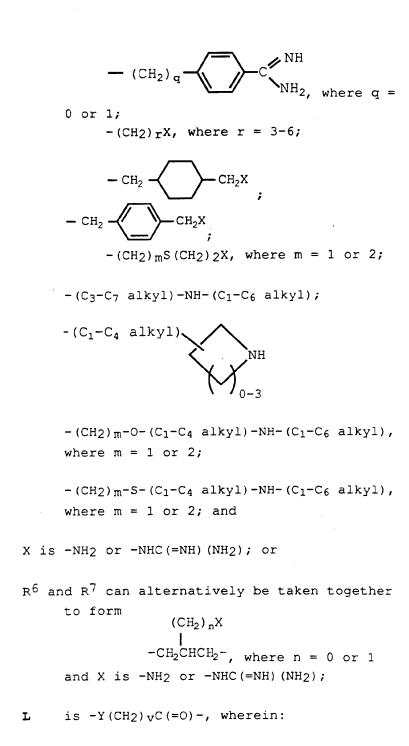
15

20

25

:

÷



-373-

Y is NH, 0, or S; and v = 1 or 2;

M is a D-isomer or L-isomer amino acid of structure

-NR<sup>17</sup>-CH-C(=0)-| (CH(R<sup>4</sup>))<sub>q</sub>, | R<sup>8</sup>

wherein:

10

 $\mathbb{R}^{17}$  is H,  $\mathbb{C}_1-\mathbb{C}_3$  alkyl;

q' is 0-2;

15

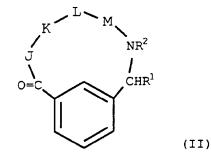
20

25

11. The reagent of Claim 1 that is a 1,3-30 disubstituted phenyl compound of the formula (II):

### -374-

10



#### wherein:

- the shown phenyl ring in formula (II) may be substituted with 0-3  $R^{10}$ , and may optionally bear a bond to  $L_n$ ;
- R<sup>10</sup> is selected independently from: H, C<sub>1</sub>-C<sub>8</sub> alkyl, phenyl, halogen, or C<sub>1</sub>-C<sub>4</sub> alkoxy;
- R<sup>1</sup> is H, C<sub>1</sub>-C<sub>4</sub> alkyl, phenyl, benzyl, phenyl-(C<sub>1</sub>-C<sub>4</sub>)alkyl, or a bond to  $L_n$ ;
- 15  $R^2$  is H or methyl;

  - R<sup>13a</sup> is C<sub>1</sub>-C<sub>10</sub> alkyl, C<sub>3</sub>-C<sub>10</sub> cycloalkyl, C<sub>4</sub>-C<sub>12</sub> alkylcycloalkyl, aryl, -(C<sub>1</sub>-C<sub>10</sub> alkyl)aryl, or C<sub>3</sub>-C<sub>10</sub> alkoxyalkyl;

25

20

when two  ${\rm R}^{13}$  groups are bonded to a single N, said  ${\rm R}^{13}$  groups may

-375-

alternatively be taken together to form  $-(CH_2)_{2-5}$  or  $-(CH_2)O(CH_2)$ -; R<sup>14</sup> is OH, H, C1-C4 alkyl, or benzyl; 5 is  $\beta$ -Ala or an L-isomer or D-isomer amino J acid of structure  $-N(R^3)C(R^4)(R^5)C(=0)-$ , wherein: R3 10 is H or CH3;  $\mathbb{R}^4$ is H or C1-C3 alkyl; <sub>R</sub>5 is H, C1-C8 alkyl, C3-C6 cycloalkyl, C3-15 C6 cycloalkylmethyl, C1-C6 cycloalkylethyl, phenyl, phenylmethyl, CH2OH, CH2SH, CH2OCH3, CH2SCH3, CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, (CH<sub>2</sub>)<sub>s</sub>NH<sub>2</sub>,  $-(CH_2)_{s}NHC(=NH)(NH_2), -(CH_2)_{s}NHR^{16}$ , where 20 s = 3-5, or a bond to  $L_n$ ;  ${\tt R}^3$  and  ${\tt R}^5$  can alternatively be taken together to form -CH2CH2CH2-; or  $\mathbb{R}^4$  and  $\mathbb{R}^5$  can alternatively be taken together to form  $-(CH_2)u^-$ , where u = 2-5; 25  $R^{16}$  is selected from: an amine protecting group; 1-2 amino acids; or 1-2 amino acids substituted with an amine 30 protecting group; is an L-isomer amino acid of structure к  $-N(R^6)CH(R^7)C(=0)-$ , wherein:

-376-

R6 is H or C1-C8 alkyl;  $R^7$ is: 5 NΗ ---(CH<sub>2</sub>) NH2 / NH  $(CH_2)$  $^{\rm NH}_{2}$ , where q = 0 or 1; 10  $-(CH_2)_r X$ , where r = 3-6; CH<sub>2</sub>X  $-CH_2$ - CH<sub>2</sub> CH<sub>2</sub>X  $-(CH_2)_m S(CH_2)_2 X$ , where m = 1 or 2; 15  $-(C_3-C_7 \text{ alkyl})-NH-(C_1-C_6 \text{ alkyl})$  $-(C_1-C_4 \text{ alkyl})$ -3 20  $-(CH_2)_m-O-(C_1-C_4 alkyl)-NH-(C_1-C_6 alkyl),$ where m = 1 or 2;

25

\*

÷

-  $(CH_2)_m$ -S- $(C_1-C_4 \text{ alkyl})$ -NH- $(C_1-C_6 \text{ alkyl})$ , where m = 1 or 2; and

-377-

10

15

20

25

30

PCT/US94/03256

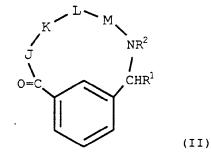
X is -NH2 or -NHC(=NH)(NH2), provided that X is not  $-NH_2$  when r = 4; or  $R^6$  and R7 are alternatively be taken together to form  $(CH_2)_n X$ -CH<sub>2</sub>CHCH<sub>2</sub>where n = 0, 1 and X is -NH2 or -NHC(=NH)(NH2); is  $-Y(CH_2)_vC(=0)$ , wherein: L is NH, O, or S; and v = 1, 2;Y M is a D-isomer or L-isomer amino acid of structure -NR<sup>17</sup>-CH-C(=0)-(CH(R<sup>4</sup>))<sub>q</sub>, <sub>R<sup>8</sup></sub> wherein: q' is 0-2;  $\mathbb{R}^{17}$  is H,  $\mathbb{C}_1-\mathbb{C}_3$  alkyl;  $R^8$  is selected from: -CO2R<sup>13</sup>, -SO3R<sup>13</sup>, -SO2NHR<sup>14</sup>, -B(R<sup>34</sup>)(R<sup>35</sup>), -NHSO<sub>2</sub>CF<sub>3</sub>, -CONHNHSO<sub>2</sub>CF<sub>3</sub>, -PO(OR<sup>13</sup>)<sub>2</sub>, -PO(OR<sup>13</sup>)R<sup>13</sup>, -SO<sub>2</sub>NH-heteroaryl (said heteroaryl being 5-10-membered and having 1-4 heteroatoms selected independently from N, S, or O) , -SO2NH-heteroaryl

(said heteroaryl being 5-10-membered and having 1-4 heteroatoms selected independently from N, S, or O), -SO<sub>2</sub>NHCOR<sup>13</sup>, -CONHSO<sub>2</sub>R<sup>13a</sup>, -CH<sub>2</sub>CONHSO<sub>2</sub>R<sup>13a</sup>, -NHSO<sub>2</sub>NHCOR<sup>13a</sup>, -NHCONHSO<sub>2</sub>R<sup>13a</sup>, -SO<sub>2</sub>NHCONHR<sup>13</sup>.

12. The reagent of Claim 1 that is a 1,3-disubstituted phenyl compound of the formula (II):

10

5



#### wherein:

15

the phenyl ring in formula (II) may be substituted with 0-3 R<sup>10</sup> or R<sup>10a</sup>;

 $R^{10}$  or  $R^{10a}$  are selected independently from: H, C<sub>1</sub>-C<sub>8</sub> alkyl, phenyl, halogen, or C<sub>1</sub>-C<sub>4</sub> alkoxy;

20

R<sup>1</sup> is H, C<sub>1</sub>-C<sub>4</sub> alkyl, phenyl, benzyl, or phenyl- $(C_2-C_4)$  alkyl;

R<sup>2</sup> is H or methyl;

25

....

R<sup>13</sup> is selected independently from: H, C<sub>1</sub>-C<sub>10</sub> alkyl, C<sub>3</sub>-C<sub>10</sub> cycloalkyl, C<sub>4</sub>-C<sub>12</sub>

-379-

.

٠

|      | alkylcycloalkyl, aryl, -(C <sub>1</sub> -C <sub>10</sub> alkyl)aryl, or<br>C3-C <sub>10</sub> alkoxyalkyl;  |
|------|---|
| 5    | when two $R^{13}$ groups are bonded to a single N, said $R^{13}$ groups may alternatively be taken together to form $-(CH_2)_{2-5}$ - or $-(CH_2)O(CH_2)$ -;  |
| 10   | <sub>R</sub> 13a <sub>is</sub> C <sub>1</sub> -C <sub>10</sub> alkyl, C <sub>3</sub> -C <sub>10</sub> cycloalkyl,<br>C <sub>4</sub> -C <sub>12</sub> alkylcycloalkyl, aryl, -(C <sub>1</sub> -C <sub>10</sub><br>alkyl)aryl, or C <sub>3</sub> -C <sub>10</sub> alkoxyalkyl;                                  |
|      | $R^{14}$ is OH, H, C <sub>1</sub> -C <sub>4</sub> alkyl, or benzyl;   |
| 15   | J is $\beta$ -Ala or an L-isomer or D-isomer amino acid<br>of structure -N(R <sup>3</sup> )C(R <sup>4</sup> )(R <sup>5</sup> )C(=0)-, wherein:  |
|      | R <sup>3</sup> is H or CH <sub>3</sub> ;  |
| 20   | R <sup>4</sup> is H;  |
| 20   | R <sup>5</sup> is H, C <sub>1</sub> -C <sub>8</sub> alkyl, C <sub>3</sub> -C <sub>6</sub> cycloalkyl, C <sub>3</sub> -C <sub>6</sub><br>cycloalkylmethyl, C <sub>1</sub> -C <sub>6</sub> cycloalkylethyl,<br>phenyl, phenylmethyl, CH <sub>2</sub> OH, CH <sub>2</sub> SH, CH <sub>2</sub> OCH <sub>3</sub> , |
| 25 . | CH <sub>2</sub> SCH <sub>3</sub> , CH <sub>2</sub> CH <sub>2</sub> SCH <sub>3</sub> , (CH <sub>2</sub> ) <sub>s</sub> NH <sub>2</sub> ,<br>(CH <sub>2</sub> ) <sub>s</sub> NHC(=NH)(NH <sub>2</sub> ), (CH <sub>2</sub> ) <sub>s</sub> R <sup>16</sup> , where s = 3-5;<br>or a bond to $L_n$ ;               |
|      | R <sup>3</sup> and R <sup>5</sup> can alternatively be taken together to form -CH2CH2CH2-;  |
| 30   |   |
|      | R <sup>16</sup> is selected from:<br>an amine protecting group;<br>1-2 amino acids;   |

-380-

•

\*

WO 94/22494

5

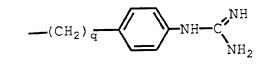
PCT/US94/03256

1-2 amino acids substituted with an amine protecting group;

**K** is an L-isomer amino acid of structure  $-N(R^6)CH(R^7)C(=0)-$ , wherein:

R<sup>6</sup> is H or C<sub>3</sub>-C<sub>8</sub> alkyl;

10 R<sup>7</sup> is



- 
$$(CH_2)_q$$
 -  $C_{NH_2, where q = 0 or}^{NH}$ 

15

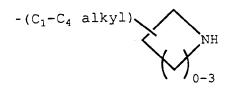
1;

 $-(CH_2)_r X$ , where r = 3-6;

- 
$$CH_2$$
 -  $CH_2X$ , -  $CH_2$ , -  $CH_2X$ ;  
-  $(CH_2)_mS(CH_2)_2X$ , where  $m = 1$  or 2;

20

 $-(C_4-C_7 \text{ alkyl})-NH-(C_1-C_6 \text{ alkyl})$ 



25

2

 $-(CH_2)_{m}-O-(C_1-C_4 \text{ alkyl})-NH-(C_1-C_6 \text{ alkyl})$ , where m = 1 or 2;

-(CH<sub>2</sub>)<sub>m</sub>-S-(C<sub>1</sub>-C<sub>4</sub> alkyl)-NH-(C<sub>1</sub>-C<sub>6</sub> alkyl), where m = 1 or 2; and 5 X is -NH2 or -NHC (=NH) (NH2), provided that X is not  $-NH_2$  when r = 4; or L is -YCH<sub>2</sub>C(=0)-, wherein: 10 Y is NH or O; M is a D-isomer or L-isomer amino acid of structure -NR<sup>17</sup>-CH-C(=0)-(CH(R<sup>4</sup>))<sub>q</sub>, |<sub>R8</sub> , wherein: 15 q' is 1;  $R^{17}$  is H,  $C_1-C_3$  alkyl;  $R^8$  is selected from: 20  $-CO_2H$  or  $-SO_3R^{13}$ . 13. The reagent of Claim 1 that that is a compound of formula (II) above, wherein: 25 the phenyl ring in formula (II) bears a bond to  $L_{n}$ , and may be further substituted with  $0-2 R^{10}$  or R10a; 30  $R^{10}$  or  $R^{10a}$  are selected independently from: H,  $C_1$ - $C_8$  alkyl, phenyl, halogen, or  $C_1-C_4$  alkoxy;

-382-

\*

~

\*

د •

#

|    | R <sup>1</sup> is H;   |
|----|--|
| 5  | R <sup>2</sup> is H;   |
| ·  | <pre>R<sup>13</sup> is selected independently from: H, C<sub>1</sub>-C<sub>10</sub>     alkyl, C<sub>3</sub>-C<sub>10</sub> cycloalkyl, C<sub>4</sub>-C<sub>12</sub>     alkylcycloalkyl, aryl, -(C<sub>1</sub>-C<sub>10</sub> alkyl)aryl, or     C<sub>3</sub>-C<sub>10</sub> alkoxyalkyl;</pre>  |
| 10 | R <sup>13a</sup> is C <sub>1</sub> -C <sub>10</sub> alkyl, C <sub>3</sub> -C <sub>10</sub> cycloalkyl, C <sub>4</sub> -C <sub>12</sub><br>alkylcycloalkyl, aryl, -(C <sub>1</sub> -C <sub>10</sub> alkyl)aryl, or<br>C <sub>3</sub> -C <sub>10</sub> alkoxyalkyl;  |
| 15 | when two $R^{13}$ groups are bonded to a single N, said $R^{13}$ groups may alternatively be taken together to form $-(CH_2)_{2-5}-$ or $-(CH_2)O(CH_2)-;$   |
| 20 | R <sup>14</sup> is OH, H, C <sub>1</sub> -C <sub>4</sub> alkyl, or benzyl;   |
|    | J is $\beta$ -Ala or an L-isomer or D-isomer amino acid of formula -N(R <sup>3</sup> )CH(R <sup>5</sup> )C(=0)-, wherein:  |
| 25 | $R^3$ is H and $R^5$ is H, CH <sub>3</sub> , CH <sub>2</sub> CH <sub>3</sub> , CH(CH <sub>3</sub> ) <sub>2</sub> ,<br>CH(CH <sub>3</sub> )CH <sub>2</sub> CH <sub>3</sub> , CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub> , CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub> ,<br>CH <sub>2</sub> CH <sub>2</sub> SCH <sub>3</sub> , CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub> , (CH <sub>2</sub> ) <sub>4</sub> NH <sub>2</sub> , (C <sub>3</sub> -C <sub>5</sub><br>alkyl)NHR <sup>16</sup> ;<br>or |
| 30 | $R^3$ is CH <sub>3</sub> and $R^5$ is H; or  |
|    | $R^3$ and $R^5$ can alternatively be taken together to form -CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> -;  |

-383-

.

|     | R <sup>16</sup> is selected from:                            |
|-----|--|
|     | an amine protecting group;                                   |
|     | 1-2 amino acids;   |
| 5   | 1-2 amino acids substituted with an amine                    |
|     | protecting group;  |
|     | K is an L-isomer amino acid of formula                       |
| 1.0 | $-N(CH_3)CH(R^7)C(=0)-$ , wherein:                           |
| 10  | $R^7$ is - (CH <sub>2</sub> ) 3NHC (=NH) (NH <sub>2</sub> ); |
|     |  |
|     | L is $-NHCH_2C(=0)-;$ and                                    |
| 15  | <b>M</b> is a D-isomer or L-isomer amino acid of structure   |
|     | -NR <sup>17</sup> -CH-C (=0) -                               |
|     | $-NR^{17}-CH-C(=0) - (CH(R^4))_{q},$ $R^{8}$ , wherein:      |
|     | $R^8$ , wherein:   |
|     | q' is 1;   |
| 20  | q +3 +,  |
|     | R <sup>4</sup> is H or CH <sub>3</sub> ;                     |
|     | $R^{17}$ is H;   |
|     |  |
| 25  | R <sup>8</sup> is  |
|     | -CO <sub>2</sub> H;<br>-SO <sub>3</sub> H.                   |
|     | -3V3n.   |

30 14. The reagent of Claim 1 that that is a compound of formula (II) above, wherein:

-384-

÷ •

÷

-

٠

•

ŧ

PCT/US94/03256

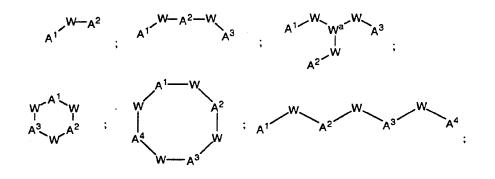
|           | the phenyl ring in formula (II) bears a bond to $L_n;$   |
|-----------|--|
| 5         | R <sup>1</sup> and R <sup>2</sup> are independently selected from H, methyl;   |
| 5         | J is selected from D-Val, D-2-aminobutyric acid, D-<br>Leu, D-Ala, Gly, D-Pro, D-Ser, D-Lys, βAla,<br>Pro, Phe, NMeGly, D-Nle, D-Phg, D-Ile, D-Phe,  |
| 10.       | D-Tyr, Ala, N <sup>£</sup> -p-azidobenzoyl-D-Lys, N <sup>£</sup> -p-<br>benzoylbenzoyl-D-Lys, N <sup>£</sup> -tryptophanyl-D-Lys,<br>N <sup>£</sup> -o-benzylbenzoyl-D-Lys, N <sup>£</sup> -p-acetylbenzoyl-<br>D-Lys, N <sup>£</sup> -dansyl-D-Lys, N <sup>£</sup> -glycyl-D-Lys, N <sup>£</sup> -<br>glycyl-p-benzoylbenzoyl-D-Lys, N <sup>£</sup> -p- |
| 15        | phenylbenzoyl-D-Lys, N <sup>£</sup> -m-benzoylbenzoyl-D-<br>Lys, N <sup>£</sup> -o-benzoylbenzoyl-D-Lys;   |
|           | K is selected from NMeArg, Arg;  |
| 20        | L is selected from Gly, βAla, Ala;<br>M is selected from Asp; ΦMeAsp; βMeAsp; NMeAsp; D-<br>Asp.   |
| 15.<br>25 | The reagent of Claim 1, wherein:   |
|           | $R^{31}$ bears a bond to $L_n$ ;<br>$R^1$ and $R^2$ are independently selected from H,   |
| 30        | methyl;  |
|           | J is selected from: D-Val, D-2-aminobutyric acid,<br>D-Leu, D-Ala, Gly, D-Pro, D-Ser, D-Lys, βAla,<br>Pro, Phe, NMeGly, D-Nle, D-Phg, D-Ile, D-Phe,<br>D-Tyr, Ala;   |

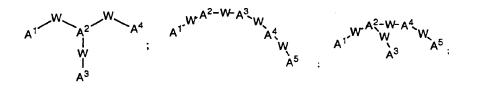
-385-

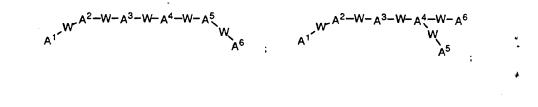
K is selected from NMeArg;

L is Gly;

- M is selected from Asp;  $0\!\!\!$  deAsp;  $\beta\!\!\!$  MeAsp; NMeAsp; D-Asp.
- 16. A reagent as in one of claims 1-15, wherein  $C_h$  is selected from the group:







-386-

10

15

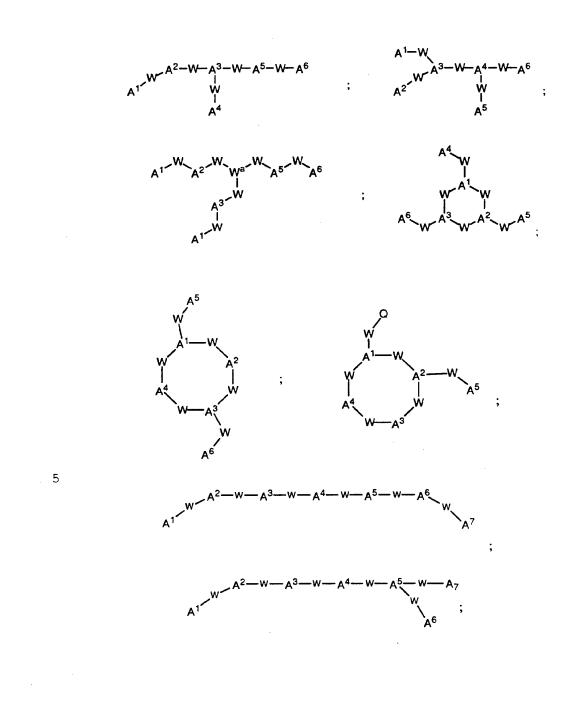
5

~

4

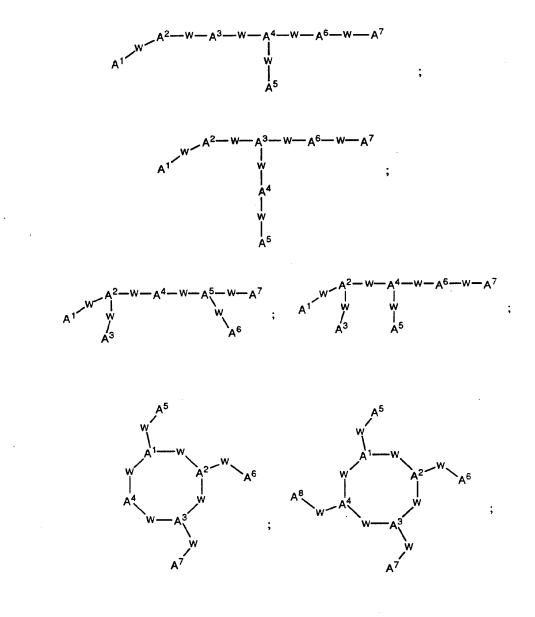
بة ن

÷





ş



# wherein:

-388-

د

10

15

20

25

30

A<sup>1</sup>, A<sup>2</sup>, A<sup>3</sup>, A<sup>4</sup>, A<sup>5</sup>, A<sup>6</sup>, and A<sup>7</sup> are independently selected at each occurrence from the group:  $NR^{40}R^{41}$ , S, SH, S(Pg), O, OH,  $PR^{42}R^{43}$ , P(O) $R^{42}R^{43}$ , P(S) $R^{42}R^{43}$ , P( $NR^{44}$ ) $R^{42}R^{43}$ ;

W is a bond, CH, or a spacer group selected from the group:  $C_1-C_{10}$  alkyl substituted with 0-3 R<sup>52</sup>, aryl substituted with 0-3 R<sup>52</sup>, cycloaklyl substituted with 0-3 R<sup>52</sup>, heterocycloalkyl substituted with 0-3 R<sup>52</sup>, aralkyl substituted with 0-3 R<sup>52</sup> and alkaryl substituted with 0-3 R<sup>52</sup>;

W<sup>a</sup> is a C<sub>1</sub>-C<sub>10</sub> alkyl group or a C<sub>3</sub>-C<sub>14</sub> carbocycle;

R<sup>40</sup>, R<sup>41</sup>, R<sup>42</sup>, R<sup>43</sup>, and R<sup>44</sup> are each independently selected from the group: a bond to L<sub>n</sub>, hydrogen, C<sub>1</sub>-C<sub>10</sub> alkyl substituted with 0-3 R<sup>52</sup>, aryl substituted with 0-3 R<sup>52</sup>, cycloaklyl substituted with 0-3 R<sup>52</sup>, heterocycloalkyl substituted with 0-3 R<sup>52</sup>, aralkyl substituted with 0-3 R<sup>52</sup>, alkaryl substituted with 0-3 R<sup>52</sup>substituted with 0-3 R<sup>52</sup> and an electron, provided that when one of R<sup>40</sup> or R<sup>41</sup> is an electron, then the other is also an electron, and provided that when one of R<sup>42</sup> or R<sup>43</sup> is an electron;

-389-

10

15

20

25

30

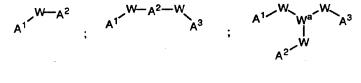
PCT/US94/03256

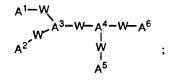
additionally,  $R^{40}$  and  $R^{41}$  may combine to form = $C(C_1-C_3 \text{ alkyl})(C_1-C_3 \text{ alkyl});$  $R^{52}$  is independently selected at each occurrence from the group: a bond to  $L_n$ , =0, F, Cl, Br, I,  $-CF_3$ , -CN,  $-CO_2R^{53}$ ,  $-C(=0)R^{53}$ ,  $-C(=0)N(R^{53})_2$ , -CHO,  $-CH_2OR^{53}$ ,  $-OC(=0)R^{53}$ ,  $-OC(=0)OR^{53a}$ ,  $-OR^{53}$ ,  $-OC(=0)N(R^{53})_2$ ,  $-NR^{53}C(=0)R^{53}$ ,  $-NR^{54}C(=0)OR^{53a}$ ,  $-NR^{53}C(=0)N(R^{53})_2$ , -NR<sup>54</sup>SO<sub>2</sub>N(R<sup>53</sup>)<sub>2</sub>, -NR<sup>54</sup>SO<sub>2</sub>R<sup>53a</sup>, -SO<sub>3</sub>H,  $-SO_{2R}^{53a}$ ,  $-SR^{53}$ ,  $-S(=0)R^{53a}$ ,  $-SO_{2N}(R^{53})_{2}$ ,  $-N(R^{53})_2$ ,  $-NHC(=NH)NHR^{53}$ ,  $-C(=NH)NHR^{53}$ ,  $=NOR^{53}$ ,  $NO_2$ ,  $-C (=0) NHOR^{53}$ ,  $-C (=0) NHNR^{53}R^{53}a$ ,  $-OCH_2CO_2H$ , 2-(1-morpholino)ethoxy, C1-C5 alkyl, C2-C4 alkenyl, C3-C6 cycloalkyl, C3-C6 cycloalkylmethyl, C2-C6 alkoxyalkyl, aryl substituted with  $0-2 R^{53}$ , a 5-10-membered heterocyclic ring system containing 1-4 heteroatoms independently selected from N, S, and O;  $R^{53}$ ,  $R^{53a}$ , and  $R^{54}$  are independently selected at each occurrence from the group: a bond to  $L_n$ , C<sub>1</sub>-C<sub>6</sub> alkyl, phenyl, benzyl, C<sub>1</sub>-C<sub>6</sub> alkoxy, halide, nitro, cyano, and trifluoromethyl; and

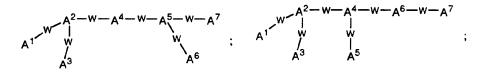
-390-

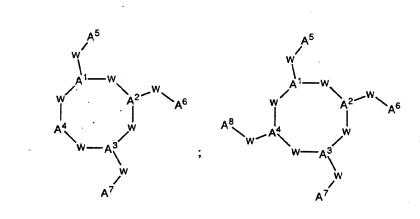
¢

- Pg is a thiol protecting group capable of being displaced upon reaction with a radionuclide.
- 5 17. A reagent as in one of Claims 1-15, wherein  $C_{\rm h}$  is selected from the group:









wherein:

-391-

J

|     | $A^1$ , $A^2$ , $A^3$ , $A^4$ , $A^5$ , $A^6$ , and $A^7$ are         |
|-----|---|
|     | independently selected at each occurrence                             |
|     | from the group: NR <sup>40</sup> R <sup>41</sup> , S, SH, S(Pg),      |
| 5   | OH;   |
|     |   |
|     | W is a bond, CH, or a spacer group selected                           |
|     | from the group: $C_1-C_3$ alkyl substituted                           |
|     | with 0-3 $R^{52}$ ;   |
| 10  |   |
|     | W <sup>a</sup> is a methylene group or a C3-C6 carbocycle;            |
|     |   |
|     | $R^{40}$ , $R^{41}$ , $R^{42}$ , $R^{43}$ , and $R^{44}$ are each     |
|     | independently selected from the group: a                              |
| 15  | bond to $L_n$ , hydrogen, $C_1-C_{10}$ alkyl                          |
|     | substituted with 0-3 $R^{52}$ , and an                                |
|     | electron, provided that when one of $R^{40}$                          |
|     | or $R^{41}$ is an electron, then the other is                         |
| 0.0 | also an electron, and provided that when $c = 2^{4/3}$                |
| 20  | one of $\mathbb{R}^{42}$ or $\mathbb{R}^{43}$ is an electron, then    |
|     | the other is also an electron;  |
|     | additionally, $R^{40}$ and $R^{41}$ may combine to form,              |
|     | =C(C1-C3 alkyl)(C1-C3 alkyl);   |
| 25  |   |
|     |   |
|     | $R^{52}$ is independently selected at each                            |
|     | occurrence from the group: a bond to $L_n$ ,                          |
|     | =0, F, Cl, Br, I, $-CF_3$ , $-CN$ , $-CO_2R^{53}$ ,                   |
| 30  | $-C (=0) R^{53}$ , $-C (=0) N (R^{53})_2$ , $-CHO$ , $-CH_2OR^{53}$ , |
|     | $-OC(=0)R^{53}$ , $-OC(=0)OR^{53a}$ , $-OR^{53}$ ,                    |
|     | $-OC(=0)N(R^{53})_2$ , $-NR^{53}C(=0)R^{53}$ ,                        |
|     | $-NR^{54}C(=0)OR^{53}a$ , $-NR^{53}C(=0)N(R^{53})_2$ ,                |
|     | $-NR^{54}SO_{2}N(R^{53})_{2}, -NR^{54}SO_{2}R^{53a}, -SO_{3}H,$       |

-392-

-

 $-SO_2R^{53a}$ ,  $-SR^{53}$ ,  $-S (=0)R^{53a}$ ,  $-SO_2N (R^{53})_2$ ,  $-N (R^{53})_2$ ,  $-NHC (=NH) NHR^{53}$ ,  $-C (=NH) NHR^{53}$ ,  $=NOR^{53}$ ,  $NO_2$ ,  $-C (=O) NHOR^{53}$ ,  $-C (=O) NHNR^{53}R^{53a}$ ,  $-OCH_2CO_2H$ , 2- (1-morpholino) ethoxy; and

 ${\rm R}^{53},~{\rm R}^{53a},$  and  ${\rm R}^{54}$  are independently selected at each occurrence from the group: a bond to  ${\rm L}_n,$   ${\rm C}_{1}-{\rm C}_{6}$  alkyl.

10

5

18. A reagent as in one of Claims 1-15, of formula:

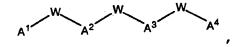
 $(QL_n)_dC_h$ ,

15

wherein d is 1; and

 $C_{\rm h}$  is selected from:

20



wherein:

25

30

| $A^1$ and $A^4$ are SH or SPg;                               |
|--|
| $A^2$ and $A^3$ are NR <sup>41</sup> ;                       |
| W is independently selected from the                         |
| group:   |
| $CHR^{52}$ , $CH_2CHR^{52}$ , $CH_2CH_2CHR^{52}$ and         |
| CHR <sup>52</sup> C=0; and                                   |
| ${\tt R}^{41}$ and ${\tt R}^{52}$ are independently selected |
| from hydrogen and a bond to $L_n$ ,                          |

-393-

and,

A1-W-A2

wherein:

A<sup>1</sup> is NH<sub>2</sub> or N=C(C<sub>1</sub>-C<sub>3</sub> alkyl)(C<sub>1</sub>-C<sub>3</sub> alkyl); W is a bond; A<sup>2</sup> is NHR<sup>40</sup>, wherein R<sup>40</sup> is heterocyc

10

5

 $A^2$  is NHR<sup>40</sup>, wherein R<sup>40</sup> is heterocycle substituted with R<sup>52</sup>, wherein the heterocycle is selected from the group: pyridine, pyrazine, proline, furan, thiofuran, thiazole, and diazine, and R<sup>52</sup> is a bond to L<sub>n</sub>.

15

19. A reagent as in one of Claims 1-15, of formula:

 $(QL_n)_dC_h$ ,

20

wherein d is 1; and

wherein  $C_h$  is:

25

wherein:

30

A<sup>1</sup> is NH<sub>2</sub> or N=C(C<sub>1</sub>-C<sub>3</sub> alkyl)(C<sub>1</sub>-C<sub>3</sub> alkyl);
W is a bond;
A<sup>2</sup> is NHR<sup>40</sup>, wherein R<sup>40</sup> is heterocycle substituted with R<sup>52</sup>, wherein the

-394-

WO 94/22494

•

\*

.

\*

÷

PCT/US94/03256

|    |     | heterocycle is selected from pyridine and thiazole, and ${\tt R}^{52}$ is a bond to ${\tt L}_{\tt n}$ .   |
|----|-----|---|
| 5  | 20. | A reagent as in one of Claims 1-15, wherein Ln<br>is:   |
|    |     | a bond between Q and $C_h$ ; or,<br>a compound of formula:  |
| 10 |     | $M^{1}-[Y^{1}(CR^{55}R^{56})_{h}(Z^{1})_{h},Y^{2}]_{h},-M^{2}$  |
|    |     | wherein:  |
| 15 |     | $M^{1} is -[(CH_{2})_{g}Z^{1}]_{g} - (CR^{55}R^{56})_{g} -;$<br>$M^{2} is - (CR^{55}R^{56})_{g} - [Z^{1}(CH_{2})_{g}]_{g} -;$   |
|    |     | g is independently 0-10;<br>g' is independently 0-1;  |
|    |     | g" is $0-10;$<br>h is $0-10;$   |
| 20 |     | h' is 0-10;<br>h" is 0-1<br>Y <sup>1</sup> and Y <sup>2</sup> , at each occurrence, are   |
|    |     | independently selected from:  |
| 25 |     | a bond, O, NR <sup>56</sup> , C=O, C(=O)O,<br>OC(=O)O,<br>C(=O)NH-, C=NR <sup>56</sup> , S, SO, SO <sub>2</sub> , SO <sub>3</sub> ,   |
|    |     | NHC (=0), (NH) $_2$ C (=0), (NH) $_2$ C=S;  |
| 30 |     | $Z^1$ is independently selected at each<br>occurrence from a C <sub>6</sub> -C <sub>14</sub> saturated,<br>partially saturated, or aromatic<br>carbocyclic ring system, substituted<br>with 0-4 R <sup>57</sup> ; a heterocyclic ring |

-395-

10

15

20

25

30

PCT/US94/03256

system, optionally substituted with 0-4 R<sup>57</sup>;  $R^{55}$  and  $R^{56}$  are independently selected at each occurrence from: hydrogen;  $C_1-C_{10}$  alkyl substituted with 0-5 R<sup>57</sup>; (C1-C10 alkyl)aryl wherein the aryl is substituted with  $0-5 R^{57}$ ;  $\mathbb{R}^{57}$  is independently selected at each occurrence from the group: hydrogen, OH, NHR<sup>58</sup>, C(=0) R<sup>58</sup>, OC(=0) R<sup>58</sup>,  $OC (=0) OR^{58}$ ,  $C (=0) OR^{58}$ ,  $C (=0) NR^{58}$ , C=N,  $SR^{58}$ ,  $SOR^{58}$ ,  $SO_2R^{58}$ , NHC  $(=0) R^{58}$ , NHC  $(=0) NHR^{58}$ , NHC(=S)NHR<sup>58</sup>; or, alternatively, when attached to an additional molecule Q,  $R^{57}$  is independently selected at each occurrence from the group: 0,  $NR^{58}$ , C=0, C(=0)0,  $OC(=0)O, C(=0)N-, C=NR^{58}, S, SO,$ SO2, SO3, NHC(=0), (NH)<sub>2</sub>C(=0), (NH)<sub>2</sub>C=S; and,  $R^{58}$  is independently selected at each occurrence from the group:hydrogen; C1-C<sub>6</sub> alkyl; benzyl, and phenyl. 21. A reagent as in Claim 16, wherein  $L_n$  is: a compound of formula:

-396-

•

~

د. .

•

PCT/US94/03256

|    | $M^{1}-[Y^{1}(CR^{55}R^{56})_{h}(Z^{1})_{h}"Y^{2}]_{h}-M^{2}$   |
|----|---|
| 5  | wherein:  |
| 5  | $M^1$ is -[(CH <sub>2</sub> ) <sub>g</sub> Z <sup>1</sup> ] <sub>g</sub> '-(CR <sup>55</sup> R <sup>56</sup> ) <sub>g</sub> "-; |
|    | $M^2$ is $-(CR^{55}R^{56})_{q"}-[Z^1(CH_2)_q]_{q'}-;$   |
|    | g is independently 0-10;  |
|    | g' is independently 0-1;  |
| 10 | g" is 0-10;   |
| 1  | h is 0-10;  |
|    | h' is 0-10;   |
|    | h" is 0-1   |
|    | $Y^1$ and $Y^2$ , at each occurrence, are   |
| 15 | independently selected from:  |
|    |   |
|    | a bond, O, NR <sup>56</sup> , C=O, C(=O)O,  |
|    | OC (=0) 0,  |
|    | $C(=0)$ NH-, $C=NR^{56}$ , S, SO, SO <sub>2</sub> , SO <sub>3</sub> ,   |
| 20 | NHC(=0), (NH) <sub>2</sub> C(=0), (NH) <sub>2</sub> C=S;  |
|    | Z <sup>1</sup> is independently selected at each  |
|    | occurrence from a $C_6-C_{14}$ saturated,   |
|    | partially saturated, or aromatic  |
| 25 | carbocyclic ring system, substituted  |
|    | with 0-4 $R^{57}$ ; a heterocyclic ring   |
|    | system, optionally substituted with   |
|    | 0-4 R <sup>57</sup> ;   |
|    |   |
| 30 | $R^{55}$ and $R^{56}$ are independently selected at   |
|    | each occurrence from:   |
|    |   |
|    | hydrogen;   |
| 25 | $C_1-C_{10}$ alkyl substituted with 0-5 $R^{57}$ :  |
| 35 | K~';  |

-397-

10 .

15

20

25

30

,

| (C1-C10 alkyl)aryl wherein the aryl is substituted with 0-5 R <sup>57</sup> ;  |
|--|
| $R^{57}$ is independently selected at each<br>occurrence from the group: hydrogen,<br>OH, NHR <sup>58</sup> , C(=O)R <sup>58</sup> , OC(=O)R <sup>58</sup> ,<br>OC(=O)OR <sup>58</sup> , C(=O)OR <sup>58</sup> , C(=O)NR <sup>58</sup> -,<br>C=N, SR <sup>58</sup> , SOR <sup>58</sup> , SO <sub>2</sub> R <sup>58</sup> ,<br>NHC(=O)R <sup>58</sup> , NHC(=O)NHR <sup>58</sup> ,<br>NHC(=S)NHR <sup>58</sup> ; or, alternatively,<br>when attached to an additional<br>molecule Q, R57 is independently<br>selected at each occurrence from the<br>group: O, NR <sup>58</sup> , C=O, C(=O)O,<br>OC(=O)O, C(=O)N-, C=NR <sup>58</sup> , S, SO,<br>SO <sub>2</sub> , SO <sub>3</sub> , NHC(=O), (NH) <sub>2</sub> C(=O),<br>(NH) <sub>2</sub> C=S, and R <sup>57</sup> is attached to an<br>additional molecule Q; and, |
| R <sup>58</sup> is independently selected at each occurrence<br>from the group:hydrogen; C <sub>1</sub> -C <sub>6</sub> alkyl; benzyl,<br>and phenyl.  |
| 22. A reagent as in Claim 17, wherein $L_n$ is:  |
| - (CR <sup>55</sup> R <sup>56</sup> )g"- [Y <sup>1</sup> (CR <sup>55</sup> R <sup>56</sup> )hY <sup>2</sup> ]h'- (CR <sup>55</sup> R <sup>56</sup> )g"-,   |
| wherein:   |
| g" is 1-10;  |
| h is $0-10;$   |
| h' is 1-10;  |

•

Y<sup>1</sup> and Y<sup>2</sup>, at each occurrence, are independently selected from:

-398-

- ..

10

15

20

25

30

٤

•

•

-

| a bond, O, NR <sup>56</sup> , C=O, C(=O)O,<br>OC(=O)O,<br>C(=O)NH-, C=NR <sup>56</sup> , S, SO, SO <sub>2</sub> , SO <sub>3</sub> ,<br>NHC(=O), (NH) <sub>2</sub> C(=O), (NH) <sub>2</sub> C=S;   |
|---|
| R <sup>55</sup> and R <sup>56</sup> are independently selected at each occurrence from:   |
| hydrogen;<br>C1-C10 alkyl substituted with 0-5<br>R <sup>57</sup> ;<br>(C1-C10 alkyl)aryl wherein the aryl<br>is substituted with 0-5 R <sup>57</sup> ;   |
| $R^{57}$ is independently selected at each<br>occurrence from the group: hydrogen,<br>OH, NHR <sup>58</sup> , C(=0)R <sup>58</sup> , OC(=0)R <sup>58</sup> ,<br>OC(=0)OR <sup>58</sup> , C(=0)OR <sup>58</sup> , C(=0)NR <sup>58</sup> -,<br>C=N, SR <sup>58</sup> , SOR <sup>58</sup> , SO2R <sup>58</sup> ,<br>NHC(=0)R <sup>58</sup> , NHC(=0)NHR <sup>58</sup> ,<br>NHC(=S)NHR <sup>58</sup> ; or, alternatively,<br>when attached to an additional<br>molecule Q, R57 is independently<br>selected at each occurrence from the<br>group: O, NR <sup>58</sup> , C=O, C(=O)O,<br>OC(=O)O, C(=O)N-, C=NR <sup>58</sup> , S, SO,<br>SO2, SO3, NHC(=O), (NH) <sub>2</sub> C(=O),<br>(NH) <sub>2</sub> C=S, and R <sup>57</sup> is attached to an<br>additional molecule Q; and, |
| R <sup>58</sup> is independently selected at each occurrence<br>from the group:hydrogen; C <sub>1</sub> -C <sub>6</sub> alkyl; benzyl,<br>and phenyl.   |

35

-399-

```
23. A reagent as in Claim 18, wherein {\tt L}_n is:
              -(CR^{55}R^{56})_{a}"-[Y<sup>1</sup>(CR<sup>55</sup>R<sup>56</sup>)<sub>h</sub>Y<sup>2</sup>]<sub>h</sub>,-(CR<sup>55</sup>R<sup>56</sup>)<sub>a</sub>"-,
 5
              wherein:
                      g" is 1-5;
                     h is 0-5;
                      h' is 1-5;
10
                      Y^1 and Y^2, at each occurrence, are
                             independently selected from:
                             O, NR^{56}, C=0, C(=0)O, OC(=0)O,
                             C (=0) \text{ NH-}, C = \text{NR}^{56}, S, SO, SO_2, SO_3,
15
                             NHC(=0), (NH)<sub>2</sub>C(=0), (NH)<sub>2</sub>C=S;
                      R^{55} and R^{56} are independently selected at
                             each occurrence from:
20
                             hydrogen;
                             C1-C10 alkyl;
                              (C1-C10 alkyl)aryl.
      24. A reagent as in Claim 19, wherein L_{\rm n} is:
25
              -(CR^{55}R^{56})_{q}"-[Y<sup>1</sup>(CR<sup>55</sup>R<sup>56</sup>)<sub>h</sub>Y<sup>2</sup>]<sub>h</sub>-(CR<sup>55</sup>R<sup>56</sup>)<sub>q</sub>"-,
              wherein:
30
                      g" is 1-5;
                      h is 0-5;
                      h' is 1-5;
                      Y^1 and Y^2, at each occurrence, are
                              independently selected from:
35
```

-400-

```
O, NR<sup>56</sup>, C=O, C(=O)O, OC(=O)O,
C(=O)NH-, C=NR<sup>56</sup>, S,
NHC(=O), (NH)<sub>2</sub>C(=O), (NH)<sub>2</sub>C=S;
```

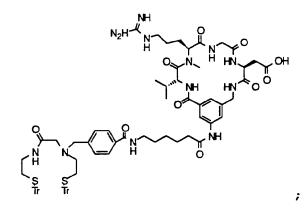
 $\mathbb{R}^{55}$  and  $\mathbb{R}^{56}$  are independently selected at each occurrence from:

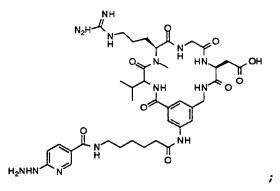
hydrogen.

10

5

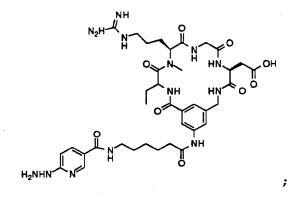
25. The reagents of Claim 1, which are:

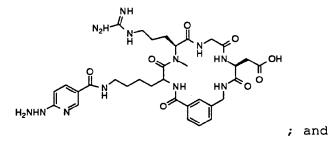


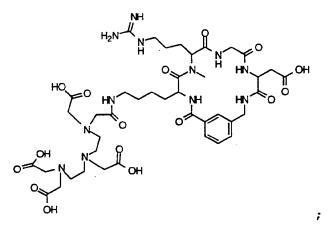


15

-401-







-402-

 $H_{N} = \begin{pmatrix} NH \\ H_{N} = \begin{pmatrix} H_{N} \\ H_{$ 

5

20

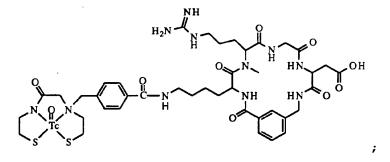
- 26. A kit for preparing a radiopharmaceutical comprising a predetermined quantity of a sterile, pharmaceutically acceptable reagent of Claim 23.
- 10 27. A kit for preparing a radiopharmaceutical comprising a predetermined quantity of a sterile, pharmaceutically acceptable reagent of Claim 24.
- 28. A kit for preparing a radiopharmaceutical comprising
   a predetermined quantity of a sterile,
   pharmaceutically acceptable reagent of Claim 25.
  - 29. A radiopharmaceutical comprising a complex of a reagent of Claims 1-15 and a radionuclide selected from the group  $99m_{TC}$ ,  $94m_{TC}$ ,  $95_{TC}$ ,  $111_{In}$ ,  $62_{Cu}$ ,  $43_{Sc}$ ,  $45_{Ti}$ ,  $67_{Ga}$ ,  $68_{Ga}$ ,  $97_{Ru}$ ,  $72_{AS}$ ,  $82_{Rb}$ , and  $201_{Tl}$ .

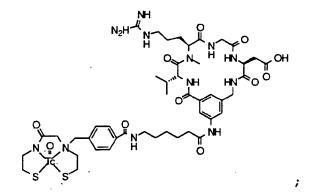
-403-

- 30. A radiopharmaceutical comprising a complex of a reagent of Claim 16 and a radionuclide selected from the group 99m<sub>TC</sub>, 94m<sub>TC</sub>, 95<sub>TC</sub>, 111<sub>In</sub>, 62<sub>Cu</sub>, 43<sub>Sc</sub>, 45<sub>Ti</sub>, 67<sub>Ga</sub>, 68<sub>Ga</sub>, 97<sub>Ru</sub>, 72<sub>As</sub>, 82<sub>Rb</sub>, and 201<sub>Tl</sub>.
- 5
- 31. A radiopharmaceutical comprising a complex of a reagent of Claim 17 and a radionuclide selected from the group 99m<sub>TC</sub>, 94m<sub>TC</sub>, 95<sub>TC</sub>, 111<sub>In</sub>, 62<sub>Cu</sub>, 43<sub>Sc</sub>, 45<sub>Ti</sub>, 67<sub>Ga</sub>, 68<sub>Ga</sub>, 97<sub>Ru</sub>, 72<sub>As</sub>, 82<sub>Rb</sub>, and 201<sub>T1</sub>.
- 10
- 32. A radiopharmaceutical comprising a complex of a reagent of Claim 18 and a radionuclide selected from the group 99m<sub>Tc</sub>, 94m<sub>Tc</sub>, 95<sub>Tc</sub>, 111<sub>In</sub>, 62<sub>Cu</sub>, 43<sub>Sc</sub>, 45<sub>Ti</sub>, 67<sub>Ga</sub>, 68<sub>Ga</sub>, 97<sub>Ru</sub>, 72<sub>As</sub>, 82<sub>Rb</sub>, and 201<sub>Tl</sub>.
- 15
- 33. A radiopharmaceutical comprising a complex of a reagent of Claim 19 and a radionuclide selected from the group 99mTc, 94mTc, 95Tc, 111In, 62Cu, 43Sc, 45Ti, 67Ga, 68Ga, 97Ru, 72As, 82Rb, and 201Tl.
- 20
- 34. A radiopharmaceutical comprising a complex of a reagent of Claim 20 and a radionuclide selected from the group <sup>99m</sup>Tc, <sup>94m</sup>Tc, <sup>95</sup>Tc, <sup>111</sup>In, <sup>62</sup>Cu, <sup>43</sup>Sc, <sup>45</sup>Ti, <sup>67</sup>Ga, <sup>68</sup>Ga, <sup>97</sup>Ru, <sup>72</sup>As, <sup>82</sup>Rb, and <sup>201</sup>Tl.
- 25
- 35. A radiopharmaceutical comprising a complex of a reagent of Claim 21 and a radionuclide selected from the group  $99m_{TC}$ ,  $111_{In}$ , and  $62_{Cu}$ .
- 30 36. A radiopharmaceutical comprising a complex of a reagent of Claim 22 and a radionuclide selected from the group <sup>99m</sup>Tc, <sup>111</sup>In, and <sup>62</sup>Cu.

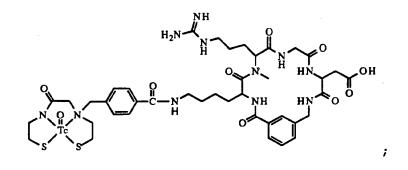
-404-

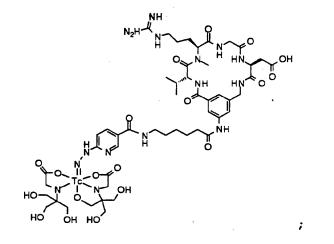
- 37. A radiopharmaceutical comprising a complex of a reagent of Claim 23 and a radionuclide selected from the group  $99m_{TC}$ ,  $111_{In}$ , and  $62_{Cu}$ .
- 5 38. A radiopharmaceutical comprising a complex of a reagent of Claim 24 and a radionuclide selected from the group 99mTc, and 111In.
  - 39. The radiopharmaceuticals of Claim 29, which are:

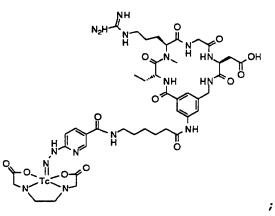




## -405-





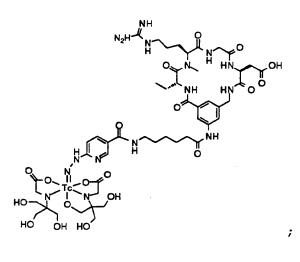


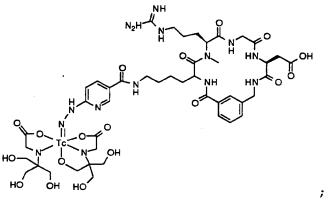
# -406-

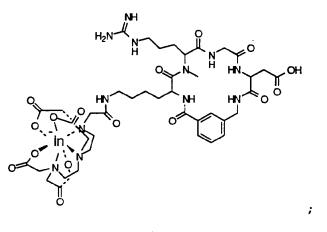
•

4

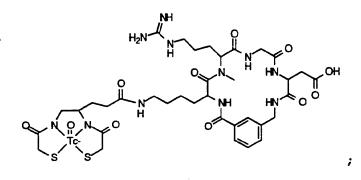
5

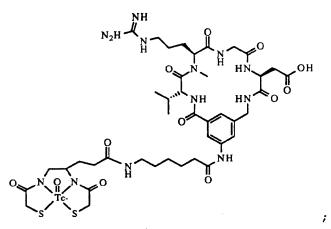


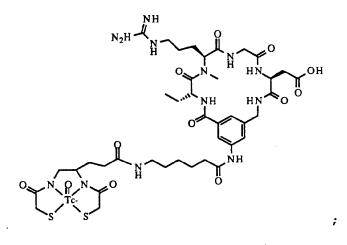




-407-

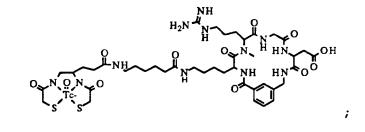


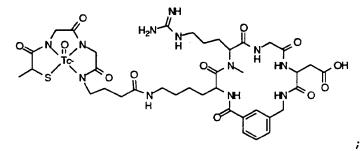


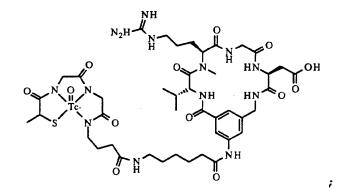


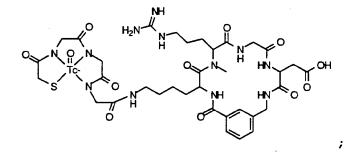
-408-

.

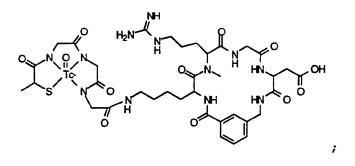


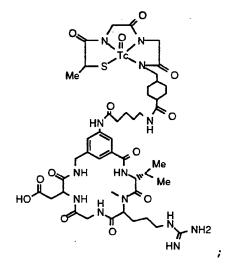


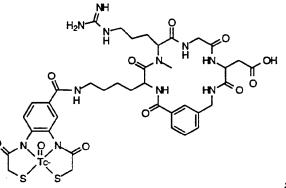




-409-



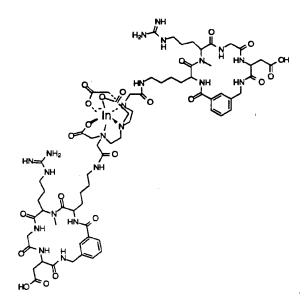




; and

5

-410-



- 40. A method for visualizing sites of platelet deposition in a mammal by radioimaging, comprising
  (i) administering to said mammal an effective amount of a radiopharmaceutical of Claim 29, and (ii) scanning the mammal using a radioimaging devise.
- 10

41. A method for visualizing sites of platelet deposition in a mammal by radioimaging, comprising
(i) administering to said mammal an effective amount of a radiopharmaceutical of Claim 30, and (ii) scanning the mammal using a radioimaging devise.

15 42. A method for visualizing sites of platelet deposition in a mammal by radioimaging, comprising (i) administering to said mammal an effective amount of a radiopharmaceutical of Claim 31, and (ii) scanning the mammal using a radioimaging devise.

20

-411-

WO 94/22494

5

15

- 43. A method for visualizing sites of platelet deposition in a mammal by radioimaging, comprising (i) administering to said mammal an effective amount of a radiopharmaceutical of Claim 32, and (ii) scanning the mammal using a radioimaging devise.
- 44. A method for visualizing sites of platelet deposition in a mammal by radioimaging, comprising (i) administering to said mammal an effective amount of a radiopharmaceutical of Claim 33, and (ii) scanning the mammal using a radioimaging devise.
  - 45. A method for visualizing sites of platelet deposition in a mammal by radioimaging, comprising
    (i) administering to said mammal an effective amount of a radiopharmaceutical of Claim 34, and (ii) scanning the mammal using a radioimaging devise.
- 46. A method for visualizing sites of platelet
  20 deposition in a mammal by radioimaging, comprising

  (i) administering to said mammal an effective amount
  of a radiopharmaceutical of Claim 35, and (ii)
  scanning the mammal using a radioimaging devise.
- 25 47. A method for visualizing sites of platelet deposition in a mammal by radioimaging, comprising (i) administering to said mammal an effective amount of a radiopharmaceutical of Claim 36, and (ii) scanning the mammal using a radioimaging devise.
- 30
- 48. A method for visualizing sites of platelet deposition in a mammal by radioimaging, comprising (i) administering to said mammal an effective amount

-412-

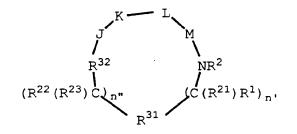
WO 94/22494

5

of a radiopharmaceutical of Claim 37, and (ii) scanning the mammal using a radioimaging devise.

- 49. A method for visualizing sites of platelet deposition in a mammal by radioimaging, comprising
  (i) administering to said mammal an effective amount of a radiopharmaceutical of Claim 38, and (ii) scanning the mammal using a radioimaging devise.
- 10 50. A method for visualizing sites of platelet deposition in a mammal by radioimaging, comprising (i) administering to said mammal an effective amount of a radiopharmaceutical of Claim 39, and (ii) scanning the mammal using a radioimaging devise.

51. A direct radiolabeled compound of formula (I):



(I)

20

15

or a pharmaceutically acceptable salt or prodrug form thereof wherein:

25

 $R^{31}$  is a  $C_6-C_{14}$  saturated, partially saturated, or aromatic carbocyclic ring system substituted with 0-4  $R^{10}$  or  $R^{10a}$ ;

 $\mathbb{R}^{32}$  is selected from:

.

PCT/US94/03256

|    | -C(=0)-;   |
|----|--|
|    | -C (=S) -  |
|    | -S (=0) <sub>2</sub> -;  |
|    | -S (=0) -;   |
| 5  | $-P(=Z)(ZR^{13})-;$  |
|    | Z is S or O;   |
| 10 | n" and n' are independently 0-2;   |
|    | R <sup>1</sup> and R <sup>22</sup> are independently selected from the following groups:   |
| 15 | hydrogen,<br>C1-C8 alkyl substituted with 0-2 R <sup>11</sup> ;  |
| ·  | $C_2-C_8$ alkenyl substituted with 0-2 $R^{11}$ ;  |
|    | $C_2-C_8$ alkynyl substituted with 0-2 $R^{11}$ ;  |
|    | C3-C10 cycloalkyl substituted with 0-2   |
|    | R <sup>11</sup> ;  |
| 20 | aryl substituted with 0-2 $R^{12}$ ;   |
|    | a 5-10-membered heterocyclic ring system   |
|    | containing 1-4 heteroatoms independently   |
| 25 | selected from N, S, and O, said  |
|    | heterocyclic ring being substituted with 0-2 R <sup>12</sup> ;   |
| 30 | =0, F, Cl, Br, I, -CF <sub>3</sub> , -CN, -CO <sub>2</sub> R <sup>13</sup> ,<br>-C(=0)R <sup>13</sup> , -C(=0)N(R <sup>13</sup> ) <sub>2</sub> , -CH0, -CH <sub>2</sub> OR <sup>13</sup> , |
|    | $-OC (=0) R^{13}$ , $-OC (=0) OR^{13a}$ , $-OR^{13}$ ,<br>$-OC (=0) N (R^{13})_2$ , $-NR^{13}C (=0) R^{13}$ ,  |
|    | $-NR^{14}C(=0)OR^{13}a$ , $-NR^{13}C(=0)N(R^{13})_2$ ,<br>$-NR^{14}SO_2N(R^{13})_2$ , $-NR^{14}SO_2R^{13}a$ , $-SO_3H$ ,   |
|    |  |

-414-

.

· •

.

4

٠

.

PCT/US94/03256

|    | ·  |
|----|--|
|    | $-SO_2R^{13a}$ , $-SR^{13}$ , $-S(=O)R^{13a}$ , $-SO_2N(R^{13})_2$ ,<br>$-N(R^{13})_2$ , $-NHC(=NH)NHR^{13}$ , $-C(=NH)NHR^{13}$ ,<br>$=NOR^{13}$ , $NO_2$ , $-C(=O)NHOR^{13}$ ,<br>$-C(=O)NHNR^{13}R^{13a}$ , $-OCH_2CO_2H$ , |
| 5  | 2-(1-morpholino)ethoxy;  |
|    | R <sup>1</sup> and R <sup>21</sup> can alternatively join to form a 3-<br>7 membered carbocyclic ring substituted<br>with 0-2 R <sup>12</sup> ;  |
| 10 |  |
|    | when n' is 2, $R^1$ or $R^{21}$ can alternatively<br>be taken together with $R^1$ or $R^{21}$ on an<br>adjacent carbon atom to form a direct<br>bond, thereby to form a double or triple                                       |
| 15 | bond between said carbon atoms;  |
|    | $R^{22}$ and $R^{23}$ can alternatively join to form a 3-7 membered carbocyclic ring substituted with 0-2 $R^{12}$ ;   |
| 20 | when n" is 2, $R^{22}$ or $R^{23}$ can<br>alternatively be taken together with $R^{22}$<br>or $R^{23}$ on an adjacent carbon atom to form<br>a direct bond, thereby to form a double   |
| 25 | or triple bond between the adjacent carbon atoms;  |
|    | $R^1$ and $R^2$ , where $R^{21}$ is H, canalternatively join to form a 5-8 membered  |
| 30 | carbocyclic ring substituted with 0-2 R <sup>12</sup> ;  |
|    | R <sup>11</sup> is selected from one or more of the following:   |

-415-

| 5  | =0, F, Cl, Br, I, $-CF_3$ , $-CN$ , $-CO_2R^{13}$ ,<br>$-C (=0)R^{13}$ , $-C (=0)N(R^{13})_2$ , $-CHO$ , $-CH_2OR^{13}$ ,<br>$-OC (=0)R^{13}$ , $-OC (=0)OR^{13a}$ , $-OR^{13}$ ,<br>$-OC (=0)N(R^{13})_2$ , $-NR^{13}C (=0)R^{13}$ ,<br>$-NR^{14}C (=0)OR^{13a}$ , $-NR^{13}C (=0)N(R^{13})_2$ ,<br>$-NR^{14}SO_2N(R^{13})_2$ , $-NR^{14}SO_2R^{13a}$ , $-SO_3H$ ,<br>$-SO_2R^{13a}$ , $-SR^{13}$ , $-S (=0)R^{13a}$ , $-SO_2N(R^{13})_2$ , |
|----|--|
| 10 | -N(R <sup>13</sup> ) <sub>2</sub> , -NHC(=NH)NHR <sup>13</sup> , -C(=NH)NHR <sup>13</sup> ,<br>=NOR <sup>13</sup> , NO <sub>2</sub> , -C(=O)NHOR <sup>13</sup> ,<br>-C(=O)NHNR <sup>13</sup> R <sup>13</sup> a, -OCH <sub>2</sub> CO <sub>2</sub> H,<br>2-(1-morpholino)ethoxy,  |
| 15 | C1-C5 alkyl, C2-C4 alkenyl, C3-C6<br>cycloalkyl, C3-C6 cycloalkylmethyl, C2-C6<br>alkoxyalkyl, C3-C6 cycloalkoxy, C1-C4<br>alkyl (alkyl being substituted with 1-5<br>groups selected independently from:  |
| 20 | -NR <sup>13</sup> R <sup>14</sup> , -CF <sub>3</sub> , NO <sub>2</sub> , -SO <sub>2</sub> R <sup>13a</sup> , or<br>-S (=0)R <sup>13a</sup> ),<br>aryl substituted with 0-2 R <sup>12</sup> ,   |
| 25 | a 5-10-membered heterocyclic ring system<br>containing 1-4 heteroatoms independently<br>selected from N, S, and O, said<br>heterocyclic ring being substituted with<br>$0-2 R^{12}$ ;  |
| 30 | R <sup>12</sup> is selected from one or more of the following:<br>phenyl, benzyl, phenethyl, phenoxy, benzyloxy, halogen, hydroxy, nitro,  |

-416-

WO 94/22494

5

10

15

20

25 .

30

cyano, C1-C5 alkyl, C3-C6 cycloalkyl, C3-C6 cycloalkylmethyl, C7-C10 arylalkyl,  $C_1-C_5$  alkoxy,  $-CO_2R^{13}$ ,  $-C (=0) NHOR^{13a}$ ,  $-C (=0) \text{ NHN} (\mathbb{R}^{13})_2$ , =NOR<sup>13</sup>,  $-B (\mathbb{R}^{34}) (\mathbb{R}^{35})$ ,  $C_3^{-1}$  $C_6$  cycloalkoxy,  $-OC(=0)R^{13}$ ,  $-C(=0)R^{13}$ , - $OC (=0) OR^{13a}$ ,  $-OR^{13}$ ,  $-(C_1-C_4 alkyl) - OR^{13}$ ,  $-N(R^{13})_2$ ,  $-OC(=O)N(R^{13})_2$ ,  $-NR^{13}C(=O)R^{13}$ ,  $-NR^{13}C(=0)OR^{13}a$ ,  $-NR^{13}C(=0)N(R^{13})_2$ , -NR13SO2N(R13)2, -NR13SO2R13a, -SO3H,  $-SO_2R^{13a}$ ,  $-S(=O)R^{13a}$ ,  $-SR^{13}$ ,  $-SO_2N(R^{13})_2$ , C2-C6 alkoxyalkyl, methylenedioxy, ethylenedioxy, C1-C4 haloalkyl, C1-C4 haloalkoxy, C1-C4 alkylcarbonyloxy, C1-C4 alkylcarbonyl, C1-C4 alkylcarbonylamino, -OCH<sub>2</sub>CO<sub>2</sub>H, 2-(1-morpholino)ethoxy,  $C_1-C_4$ alkyl (alkyl being substituted with  $-N(R^{13})_2$ ,  $-CF_3$ ,  $NO_2$ , or  $-S(=0)R^{13a}$ ;

R<sup>13</sup> is selected independently from: H, C<sub>1</sub>-C<sub>10</sub> alkyl, C<sub>3</sub>-C<sub>10</sub> cycloalkyl, C<sub>4</sub>-C<sub>12</sub> alkylcycloalkyl, aryl, -(C<sub>1</sub>-C<sub>10</sub> alkyl)aryl, or C<sub>3</sub>-C<sub>10</sub> alkoxyalkyl;

R<sup>13a</sup> is C<sub>1</sub>-C<sub>10</sub> alkyl, C<sub>3</sub>-C<sub>10</sub> cycloalkyl, C<sub>4</sub>-C<sub>12</sub> alkylcycloalkyl, aryl, -(C<sub>1</sub>-C<sub>10</sub> alkyl)aryl, or C<sub>3</sub>-C<sub>10</sub> alkoxyalkyl;

> when two  $R^{13}$  groups are bonded to a single N, said  $R^{13}$  groups may alternatively be taken together to form  $-(CH_2)_{2-5}$ - or  $-(CH_2)O(CH_2)$ -;

 $R^{14}$  is OH, H, C<sub>1</sub>-C<sub>4</sub> alkyl, or benzyl;

-417-

PCT/US94/03256

 $R^{21}$  and  $R^{23}$  are independently selected from:

5

hydrogen; C<sub>1</sub>-C<sub>4</sub> alkyl, optionally substituted with 1-6 halogen; benzyl;

 $R^2$  is H or C<sub>1</sub>-C<sub>8</sub> alkyl;

10

R<sup>10</sup> and R<sup>10a</sup> are selected independently from one or more of the following:

phenyl, benzyl, phenethyl, phenoxy,

15

20

25

30

benzyloxy, halogen, hydroxy, nitro, cyano, C1-C5 alkyl, C3-C6 cycloalkyl, C3-C6 cycloalkylmethyl, C7-C10 arylalkyl,  $C_1-C_5$  alkoxy,  $-CO_2R^{13}$ ,  $-C(=O)N(R^{13})_2$ ,  $-C (=0) \text{ NHOR}^{13a}$ ,  $-C (=0) \text{ NHN} (R^{13})_2$ ,  $= \text{NOR}^{13}$ ,  $-B(R^{34})(R^{35})$ ,  $C_3-C_6$  cycloalkoxy,  $-OC(=0)R^{13}$ ,  $-C(=0)R^{13}$ ,  $-OC(=0)OR^{13a}$ ,  $-OR^{13}$ ,  $-(C_1-C_4 \text{ alkyl}) - OR^{13}$ ,  $-N(R^{13})_2$ ,  $-OC(=0)N(R^{13})_2$ ,  $-NR^{13}C(=0)R^{13}$ ,  $-NR^{13}C(=0)OR^{13a}$ ,  $-NR^{13}C(=0)N(R^{13})_{2i}$ -NR<sup>13</sup>SO<sub>2</sub>N(R<sup>13</sup>)<sub>2</sub>, -NR<sup>13</sup>SO<sub>2</sub>R<sup>13a</sup>, -SO<sub>3</sub>H,  $-SO_{2R}^{13a}$ ,  $-S(=0)R^{13a}$ ,  $-SR^{13}$ ,  $-SO_{2N}(R^{13})_{2}$ , C2-C6 alkoxyalkyl, methylenedioxy, ethylenedioxy, C1-C4 haloalkyl (including  $-C_vF_w$  where v = 1 to 3 and w = 1 to (2v+1)), C1-C4 haloalkoxy, C1-C4 alkylcarbonyloxy, C1-C4 alkylcarbonyl, C1-C4 alkylcarbonylamino, -OCH2CO2H, 2-(1-morpholino)ethoxy,  $C_1-C_4$  alkyl (alkyl being substituted with  $-N(R^{13})_2$ ,  $-CF_3$ , NO<sub>2</sub>, or  $-S(=0)R^{13a}$ ;

-418-

~

.

-

•

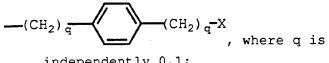
PCT/US94/03256

|    | J                | is $\beta$ -Ala or an L-isomer or D-isomer amino  |
|----|------------------|---|
|    |                  | acid of structure   |
|    |                  | $-N(R^3)C(R^4)(R^5)C(=0)-$ , wherein:   |
| 5  |                  |   |
|    | R3               | is H or C <sub>1</sub> -C <sub>8</sub> alkyl;   |
|    | R <sup>4</sup>   | is H or C1-C3 alkyl;  |
| 10 | R <sup>5</sup> i | s selected from:  |
|    |                  | hydrogen;   |
|    |                  | $C_1-C_8$ alkyl substituted with 0-2 $R^{11}$ ;   |
|    |                  | $C_2-C_8$ alkenyl substituted with 0-2 $R^{11}$ ;   |
|    |                  | $C_2-C_8$ alkynyl substituted with 0-2 $R^{11}$ ;   |
| 15 |                  | $C_3-C_{10}$ cycloalkyl substituted with 0-2 $R^{11}$ ;   |
|    |                  | aryl substituted with 0-2 $R^{12}$ ;  |
| 20 |                  | a 5-10-membered heterocyclic ring system<br>containing 1-4 heteroatoms independently<br>selected from N, S, or O, said<br>heterocyclic ring being substituted with  |
|    |                  | 0-2 R <sup>12</sup> ;   |
| 25 |                  |   |
|    |                  | =0, F, Cl, Br, I, $-CF_3$ , $-CN$ , $-CO_2R^{13}$ ,<br>-C(=0)R <sup>13</sup> , $-C(=0)N(R^{13})_2$ , $-CHO$ , $-CH_2OR^{13}$ ,<br>-OC(=0)R <sup>13</sup> , $-OC(=0)OR^{13a}$ , $-OR^{13}$ ,<br>-OC(=0)N(R <sup>13</sup> ) <sub>2</sub> , $-NR^{13}C(=0)R^{13}$ ,  |
| 30 |                  | -NR <sup>14</sup> C(=0)OR <sup>13a</sup> , -NR <sup>13</sup> C(=0)N(R <sup>13</sup> ) <sub>2</sub> ,<br>-NR <sup>14</sup> SO <sub>2</sub> N(R <sup>13</sup> ) <sub>2</sub> , -NR <sup>14</sup> SO <sub>2</sub> R <sup>13a</sup> , -SO <sub>3</sub> H,<br>-SO <sub>2</sub> R <sup>13a</sup> , -SR <sup>13</sup> , -S(=0)R <sup>13a</sup> , -SO <sub>2</sub> N(R <sup>13</sup> ) <sub>2</sub> ,<br>-N(R <sup>13</sup> ) <sub>2</sub> , -NHC(=NH)NHR <sup>13</sup> , -C(=NH)NHR <sup>13</sup> ,<br>=NOR <sup>13</sup> , NO <sub>2</sub> , -C(=0)NHOR <sup>13</sup> , |

-419-

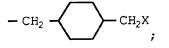
```
-C (=0) \text{ NHNR}^{13} R^{13} a, = \text{NOR}^{13}, -B (R^{34}) (R^{35}),
-OCH<sub>2</sub>CO<sub>2</sub>H, 2-(1-morpholino)ethoxy,
-SC(=NH)NHR<sup>13</sup>, N<sub>3</sub>, -Si(CH<sub>3</sub>)<sub>3</sub>, (C<sub>1</sub>-C<sub>5</sub>
alkyl)NHR<sup>16</sup>;
```

 $-(C_0-C_6 \text{ alkyl})X;$ 



independently 0,1;

10



 $-(CH_2)_m S(0)_{p'}(CH_2)_2 X$ , where m = 1, 2 and p' = 0-2;

15

wherein X is defined below; and

 $\mathbb{R}^3$  and  $\mathbb{R}^4$  may also be taken together to form

 $(CH_2)_n X$ 

20

25

-CH<sub>2</sub>CHCH<sub>2</sub>-, where NR<sup>13</sup> -NH-N (R<sup>13</sup>) R<sup>1:</sup>. n = 0, 1 and X is

 $R^3$  and  $R^5$  can alternatively be taken together to form  $-(CH_2)_t - \text{ or } -CH_2S(0)_p \cdot C(CH_3)_2 -$ , where t = 2-4 and p' = 0-2; or

 ${\tt R}^4$  and  ${\tt R}^5$  can alternatively be taken together to form  $-(CH_2)u^-$ , where u = 2-5;

-420-

10

PCT/US94/03256

R<sup>16</sup> is selected from: an amine protecting group; 1-2 amino acids; 1-2 amino acids substituted with an amine protecting group;

K is a p-isomer or L-isomer amino acid of
structure
 -N(R<sup>6</sup>)CH(R<sup>7</sup>)C(=0)-, wherein:

 $R^6$  is H or  $C_1-C_8$  alkyl;

15  $\mathbb{R}^7$  is selected from:

 $-(C_1-C_7 \text{ alkyl})X;$ 

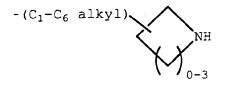
$$-(CH_2)_q$$
 (CH<sub>2</sub>)<sub>q</sub>-X, wherein

20

each q is independently 0-2 and substitution on the phenyl is at the 3 or 4 position;

is independently 0-2 and substitution on

the cyclohexyl is at the 3 or 4 position;



-421-

25

10

15

PCT/US94/03256

 $-(CH_2)_mO^-(C_1-C_4 \text{ alkyl})-X$ , where m = 1 or 2;

 $-(CH_2)_mS(O)_{p'}-(C_1-C_4 alkyl)-X$ , where m = 1 or 2 and p' = 0-2; and

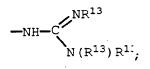
X is selected from:

 $\mathbb{R}^6$  and  $\mathbb{R}^7$  can alternatively be taken together to form

 $(CH_2)_n X$  |  $-(CH_2)_q CH(CH_2)_q^-$ , wherein each q is independently 1 or 2 and wherein

20

n = 0 or 1 and X is -NH<sub>2</sub> or



25

L

is  $-Y(CH_2)_VC(=0)$ -, wherein:

-422-

PCT/US94/03256

Y is NH, N(C<sub>1</sub>-C<sub>3</sub> alkyl), O, or S; and v = 1 or 2;

**M** is a D-isomer or L-isomer amino acid of structure

-NR<sup>17</sup>-CH-C (=0) -(CH(R<sup>4</sup>))<sub>q</sub>, R<sup>8</sup>,

wherein:

10

5

•

÷

•

q' is 0-2;

 $R^{17}$  is H, C<sub>1</sub>-C<sub>3</sub> alkyl;

| 15 | R <sup>8</sup> is selected from:  |
|----|---|
|    | $-CO_2R^{13}$ , $-SO_3R^{13}$ , $-SO_2NHR^{14}$ , $-B(R^{34})(R^{35})$ ,  |
|    | -NHSO <sub>2</sub> CF <sub>3</sub> , -CONHNHSO <sub>2</sub> CF <sub>3</sub> , -PO(OR <sup>13</sup> ) <sub>2</sub> , |
|    | -PO(OR <sup>13</sup> )R <sup>13</sup> , -SO <sub>2</sub> NH-heteroaryl (said  |
|    | heteroaryl being 5-10-membered and having   |
| 20 | 1-4 heteroatoms selected independently  |
|    | from N, S, or O) , -SO <sub>2</sub> NH-heteroaryl   |
|    | (said heteroaryl being 5-10-membered and  |
|    | having 1-4 heteroatoms selected   |
|    | independently from N, S, or O),   |
| 25 | $-SO_2NHCOR^{13}$ , $-CONHSO_2R^{13a}$ ,  |
|    | -CH <sub>2</sub> CONHSO <sub>2</sub> R <sup>13a</sup> , -NHSO <sub>2</sub> NHCOR <sup>13a</sup> ,                   |
|    | -NHCONHSO <sub>2</sub> R <sup>13a</sup> , -SO <sub>2</sub> NHCONHR <sup>13</sup> ;                                  |
|    |   |
|    | $\mathbb{R}^{34}$ and $\mathbb{R}^{35}$ are independently selected from:  |
| 30 | -OH,  |

 $-N(R^{13})_2$ , or

-F,

-423-

C<sub>1</sub>-C<sub>8</sub>-alkoxy;

|    |     | $R^{34}$ and $R^{35}$ can alternatively be taken  |
|----|-----|---|
|    |     | together form:  |
| 5  |     | a cyclic boron ester where said chain or  |
|    |     | ring contains from 2 to 20 carbon atoms   |
|    |     | and, optionally, 1-4 heteroatoms  |
|    |     | independently selected from N, S, or O;   |
|    |     | a divalent cyclic boron amide where said  |
| 10 |     | chain or ring contains from 2 to 20   |
|    |     | carbon atoms and, optionally, 1-4   |
|    |     | heteroatoms independently selected from   |
|    |     | N, S, or O;   |
|    |     | a cyclic boron amide-ester where said   |
| 15 |     | chain or ring contains from 2 to 20   |
|    |     | carbon atoms and, optionally, 1-4   |
|    |     | heteroatoms independently selected from   |
|    |     | N, S, or O; and   |
|    |     |   |
| 20 |     | wherein the radiolabel is selected from the   |
|    |     | group: 123 <sub>1</sub> , 125 <sub>1</sub> , 131 <sub>1</sub> , 18 <sub>F</sub> , 11 <sub>C</sub> , 13 <sub>N</sub> , |
|    |     | 15 <sub>0</sub> , <sup>75</sup> Br.   |
|    |     | κ.  |
|    |     |   |
| 25 | 52. | A radiolabeled compound of Claim 51, wherein:   |
|    |     |   |
|    |     | $R^{31}$ is bonded to (C( $R^{23}$ ) $R^{22}$ ) <sub>n</sub> , and  |
|    |     | $(C(R^{21})R^1)_n$ , at 2 different atoms on said   |
|    |     | carbocyclic ring.   |
| 30 |     |   |
|    | 53. | A radiolabeled compound of Claim 51, wherein:   |
|    |     |   |
|    |     | n" is 0 and n' is 0;  |
|    |     | n" is 0 and n' is 1;  |
|    |     |   |

-424-

n" is 0 and n' is 2; n" is 1 and n' is 0; n" is 1 and n' is 1; n" is 1 and n' is 2; n" is 2 and n' is 0; 5 n" is 2 and n' is 1; or n" is 2 and n' is 2. 54. A radiolabeled compound of Claim 51 wherein R<sup>6</sup> is methyl, ethyl, or propyl. 10 55. A radiolabeled compound of Claim 51, wherein:  $R^{31}$  is selected from the group consisting of: 15 (a) a 6 membered saturated, partially saturated or aromatic carbocyclic ring substituted with 0-3 R<sup>10</sup> or R<sup>10a</sup>; 20 (b) a 8-11 membered saturated, partially saturated, or aromatic fused bicyclic carbocyclic ring substituted with 0-4 R<sup>10</sup> or R<sup>10a</sup>; or 25 (c) a 14 membered saturated, partially saturated, or aromatic fused tricyclic carbocyclic ring substituted with 0-4 R<sup>10</sup> or R<sup>10a</sup>. 30 56. A radiolabeled compound of Claim 51, wherein:  $\ensuremath{\mathbb{R}}^{31}$  is selected from the group consisting of:

-425-

(a) a 6 membered saturated, partially saturated, or aromatic carbocyclic ring of formula:





wherein any of the bonds forming the carbocyclic ring may be a single or double bond,

10

15

and wherein said carbocyclic ring is substituted independently with 0-4 R<sup>10</sup>;

(b) a 10 membered saturated, partially saturated, or aromatic bicyclic carbocyclic ring of formula:

R10a

, wherein any of the bonds forming the carbocyclic ring may be a single or double bond,

and wherein said carbocyclic ring is substituted independently with 0-4  $\rm R^{10}$  or  $\rm R^{10a};$ 

25

20

(c) a 9 membered saturated, partially saturated, or aromatic bicyclic carbocyclic ring of formula:

-426-

or R<sup>10;</sup> . R<sup>10a</sup>  $R^{10}$ 

wherein any of the bonds forming the carbocyclic ring may be a single or double bond,

and wherein said carbocyclic ring is substituted independently with 0-4  $\rm R^{10}$  or  $\rm R^{10a}$  .

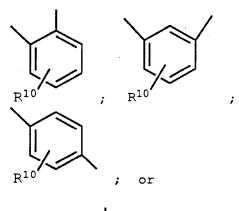
10

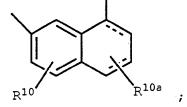
5

57. A radiolabeled compound of Claim 51, wherein:

R<sup>31</sup> is selected from (the dashed bond may be a single or double bond):

15





-427-

15

20

25

PCT/US94/03256

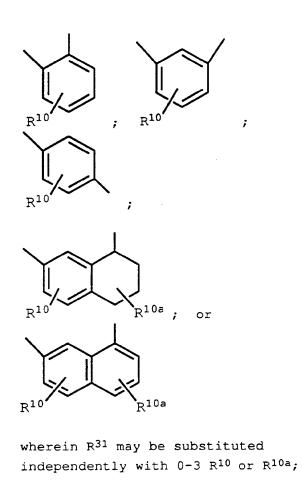
n" is 0 or 1; and n' is 0-2. 5 58. A radiolabeled compound of Claim 51, wherein:  $R^1$  and  $R^{22}$  are independently selected from: phenyl, benzyl, phenethyl, phenoxy, benzyloxy, halogen, hydroxy, nitro, cyano, C1-C5 alkyl, C3-C6 cycloalkyl, C3-C6 cycloalkylmethyl, C7-C10 arylalkyl,  $C_1-C_5$  alkoxy,  $-CO_2R^{13}$ ,  $-C(=O)NHOR^{13a}$ ,  $-C (=0) \text{ NHN} (\mathbb{R}^{13})_2$ ,  $= \text{NOR}^{13}$ ,  $-B (\mathbb{R}^{34}) (\mathbb{R}^{35})$ ,  $C_3 C_6$  cycloalkoxy,  $-OC (=0) R^{13}$ ,  $-C (=0) R^{13}$ , - $OC (=0) OR^{13a}$ ,  $-OR^{13}$ ,  $-(C_1-C_4 alkyl) - OR^{13}$ ,  $-N(R^{13})_2$ ,  $-OC(=0)N(R^{13})_2$ ,  $-NR^{13}C(=0)R^{13}$ ,  $-NR^{13}C(=0)OR^{13a}$ ,  $-NR^{13}C(=0)N(R^{13})_2$ , -NR<sup>13</sup>SO<sub>2</sub>N(R<sup>13</sup>)<sub>2</sub>, -NR<sup>13</sup>SO<sub>2</sub>R<sup>13a</sup>, -SO<sub>3</sub>H,  $-SO_2R^{13a}$ ,  $-S(=O)R^{13a}$ ,  $-SR^{13}$ ,  $-SO_2N(R^{13})_2$ , C2-C6 alkoxyalkyl, methylenedioxy, ethylenedioxy, C1-C4 haloalkyl, C1-C4 haloalkoxy, C1-C4 alkylcarbonyloxy, C1-C4 alkylcarbonyl, C1-C4 alkylcarbonylamino, -OCH2CO2H, 2-(1-morpholino)ethoxy, C1-C4 alkyl (alkyl being substituted with  $-N(R^{13})_2$ ,  $-CF_3$ ,  $NO_2$ , or  $-S(=0)R^{13a}$ .

30

59. A radiolabeled compound of Claim 51, wherein:

 $R^{31}$  is selected from:

-428-



10 R<sup>32</sup> is -C(=0)-;

n" is 0 or 1;

n' is 0-2;

15

20

5

R<sup>1</sup> and R<sup>22</sup> are independently selected from H, C<sub>1</sub>-C<sub>4</sub> alkyl, phenyl, benzyl, phenyl-(C<sub>2</sub>-C<sub>4</sub>)alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy;

 $R^{21}$  and  $R^{23}$  are independently H or  $C_1-C_4$  alkyl;

-429-

10

15

20

25

30

PCT/US94/03256

R<sup>2</sup> is H or  $C_1-C_8$  alkyl;  $R^{13}$  is selected independently from: H, C1-C10 alkyl, C3-C10 cycloalkyl, C4-C12 alkylcycloalkyl, aryl, -(C1-C10 alkyl)aryl, or C3-C10 alkoxyalkyl; R<sup>13a</sup> is C<sub>1</sub>-C<sub>10</sub> alkyl, C<sub>3</sub>-C<sub>10</sub> cycloalkyl, C4-C12 alkylcycloalkyl, aryl, -(C1-C10 alkyl)aryl, or C3-C10 alkoxyalkyl; when two  $R^{13}$  groups are bonded to a single N, said R<sup>13</sup> groups may alternatively be taken together to form  $-(CH_2)_{2-5}$  or  $-(CH_2)O(CH_2)$ -; R<sup>14</sup> is OH, H, C1-C4 alkyl, or benzyl;  $R^{10}$  and  $R^{10a}$  are selected independently from: H,  $C_1-C_8$  alkyl, phenyl, halogen, or  $C_1-C_4$ alkoxy; is  $\beta\text{--Ala}$  or an L-isomer or D-isomer amino J acid of structure  $-N(R^3)C(R^4)(R^5)C(=0)-$ , wherein: RЗ is H or CH3; is H or C1-C3 alkyl;  $R^4$  $R^{5}$ is H, C1-C8 alkyl, C3-C6 cycloalkyl, C3-C6 cycloalkylmethyl, C1-C6 cycloalkylethyl, phenyl, phenylmethyl, CH2OH, CH2SH, CH2OCH3, CH2SCH3,

-430-

10

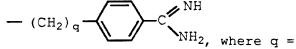
15

20

25

PCT/US94/03256

CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, (CH<sub>2</sub>)<sub>s</sub>NH<sub>2</sub>,  $-(CH_2)_{s}NHC(=NH)(NH_2)$ ,  $-(CH_2)_{s}NHR^{16}$ , where s = 3-5; or $R^{16}$  is selected from: an amine protecting group; 1-2 amino acids; or 1-2 amino acids substituted with an amine protecting group;  $\mathbb{R}^3$  and  $\mathbb{R}^5$  can alternatively be taken together to form  $-(CH_2)_t - (t = 2-4)$  or  $-CH_2SC(CH_3)_2-;$  or  ${\rm R}^4$  and  ${\rm R}^5$  can alternatively be taken together to form  $-(CH_2)_u$ , where u = 2-5; is an L-isomer amino acid of structure ĸ  $-N(R^6)CH(R^7)C(=0)-$ , wherein: Rб is H or C<sub>1</sub>-C<sub>8</sub> alkyl; <sub>R</sub>7 is ΝH<sub>2</sub>.



0 or 1; -(CH<sub>2</sub>)<sub>r</sub>X, where r = 3-6;

-431-

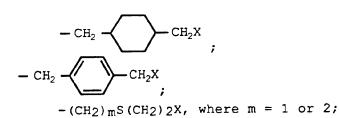
WO 94/22494

5

10

15

PCT/US94/03256



 $-(C_3-C_7 \text{ alkyl})-NH-(C_1-C_6 \text{ alkyl})$ 

 $-(C_1-C_4 alkyl)$ NH

 $-(CH_2)_{m}-O-(C_1-C_4 alkyl)-NH-(C_1-C_6 alkyl),$ where m = 1 or 2;  $-(CH_2)_{m}-S-(C_1-C_4 alkyl)-NH-(C_1-C_6 alkyl),$ where m = 1 or 2; and

X is  $-NH_2$  or  $-NHC(=NH)(NH_2)$ ; or

R<sup>6</sup> and R<sup>7</sup> can alternatively be taken together to form (CH<sub>2</sub>)<sub>n</sub>X

 $-CH_2CHCH_2^-$ , where n = 0 or 1 and X is  $-NH_2$  or  $-NHC(=NH)(NH_2)$ ;

20

L is  $-Y(CH_2)_vC(=0)$ -, wherein:

25

Y is NH, 0, or S; and v = 1 or 2;

M is a D-isomer or L-isomer amino acid of structure

-432-

-NR<sup>17</sup>-CH-C (=0) -(CH (R<sup>4</sup>))<sub>q</sub>, R<sup>8</sup> ,

wherein:

q' is 0-2;

5

10

15

20

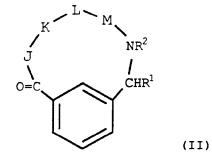
25

 $\mathbb{R}^{17}$  is H,  $\mathbb{C}_1-\mathbb{C}_3$  alkyl;

| <pre>R<sup>8</sup> is selected from:<br/>-CO<sub>2</sub>R<sup>13</sup>,-SO<sub>3</sub>R<sup>13</sup>, -SO<sub>2</sub>NHR<sup>14</sup>, -B(R<sup>34</sup>)(R<sup>35</sup>),<br/>-NHSO<sub>2</sub>CF<sub>3</sub>, -CONHNHSO<sub>2</sub>CF<sub>3</sub>, -PO(OR<sup>13</sup>)<sub>2</sub>,</pre> |
|--|
| -PO(OR <sup>13</sup> )R <sup>13</sup> , -SO <sub>2</sub> NH-heteroaryl (said   |
| heteroaryl being 5-10-membered and having.   |
| 1-4 heteroatoms selected independently from N, S, or O) , $-SO_2NH$ -heteroaryl  |
| (said heteroaryl being 5-10-membered and   |
| having 1-4 heteroatoms selected  |
| <pre>independently from N, S, or O), -SO<sub>2</sub>NHCOR<sup>13</sup>, -CONHSO<sub>2</sub>R<sup>13a</sup>, -CH<sub>2</sub>CONHSO<sub>2</sub>R<sup>13a</sup>, -NHSO<sub>2</sub>NHCOR<sup>13a</sup>,</pre>  |
| $-NHCONHSO_2R^{13a}$ , $-SO_2NHCONHR^{13}$ .   |

60. A radiolabeled compound of Claim 51 that is a radiolabeled 1,3-disubstituted phenyl the formula (II):

· ·



## wherein:

| 5    | the shown phenyl ring in formula (II) may be further substituted with 0-3 R <sup>10</sup> ;   |
|------|---|
| 10   | $R^{10}$ is selected independently from: H, $C_1-C_8$<br>alkyl, phenyl, halogen, or $C_1-C_4$ alkoxy;   |
| 10   | R <sup>1</sup> is H, C <sub>1</sub> -C <sub>4</sub> alkyl, phenyl, benzyl, or<br>phenyl-(C <sub>1</sub> - C <sub>4</sub> )alkyl;  |
| 15   | R <sup>2</sup> is H or methyl;  |
| 15 . | R <sup>13</sup> is selected independently from: H, C <sub>1</sub> -C <sub>10</sub><br>alkyl, C <sub>3</sub> -C <sub>10</sub> cycloalkyl, C <sub>4</sub> -C <sub>12</sub>  |
|      | alkylcycloalkyl, aryl, -(C1-C10<br>alkyl)aryl, or C3-C10 alkoxyalkyl;   |
| 20   | R <sup>13a</sup> is C <sub>1</sub> -C <sub>10</sub> alkyl, C <sub>3</sub> -C <sub>10</sub> cycloalkyl,<br>C <sub>4</sub> -C <sub>12</sub> alkylcycloalkyl, aryl, -(C <sub>1</sub> -C <sub>10</sub><br>alkyl)aryl, or C <sub>3</sub> -C <sub>10</sub> alkoxyalkyl; |
| 25   | when two R <sup>13</sup> groups are bonded to a single N, said R <sup>13</sup> groups may alternatively be taken together to form   |

## -434-

 $-(CH_2)_{2-5}-$  or  $-(CH_2)O(CH_2)-;$ 

10

15

20

25

30

PCT/US94/03256

R<sup>14</sup> is OH, H, C1-C4 alkyl, or benzyl; is  $\beta$ -Ala or an L-isomer or D-isomer amino J acid of structure  $-N(R^3)C(R^4)(R^5)C(=0)-$ , wherein: R<sup>3</sup> is H or CH3; is H or C1-C3 alkyl; R<sup>4</sup> is H, C1-C8 alkyl, C3-C6 cycloalkyl, C3-R<sup>5</sup> C<sub>6</sub> cycloalkylmethyl, C<sub>1</sub>-C<sub>6</sub> cycloalkylethyl, phenyl, phenylmethyl, CH2OH, CH2SH, CH2OCH3, CH2SCH3, CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, (CH<sub>2</sub>)<sub>s</sub>NH<sub>2</sub>, -  $(CH_2)_{s}^{\circ}NHC (=NH) (NH_2)$ , -  $(CH_2)_{s}^{\circ}NHR^{16}$ , where s = 3-5; or $R^{16}$  is selected from: an amine protecting group; 1-2 amino acids; or 1-2 amino acids substituted with an amine protecting group;  $R^3$  and  $R^5$  can alternatively be taken together to form -CH2CH2CH2-; or  $\mathbb{R}^4$  and  $\mathbb{R}^5$  can alternatively be taken together to form  $-(CH_2)_u$ , where u = 2-5; is an L-isomer amino acid of structure K  $-N(R^6)CH(R^7)C(=0)-$ , wherein: R6 is H or C1-C8 alkyl;

-435-

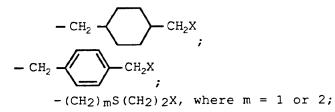
$$-(CH_2)_q$$
  $NH - C_{NH_2}^{NH}$   
 $-(CH_2)_q$   $C_{NH_2}^{NH}$ , where  $q =$ 

0 or 1;

 $-(CH_2)_r X$ , where r = 3-6;

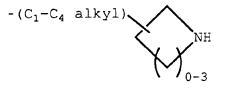
10

5



15

 $-(C_3-C_7 \text{ alkyl})-NH-(C_1-C_6 \text{ alkyl})$ 



 $-(CH_2)_m-O-(C_1-C_4 alkyl)-NH-(C_1-C_6 alkyl),$ where m = 1 or 2;

20

25

 $-(CH_2)_m$ -S-(C<sub>1</sub>-C<sub>4</sub> alkyl)-NH-(C<sub>1</sub>-C<sub>6</sub> alkyl), where m = 1 or 2; and

X is -NH2 or -NHC(=NH)(NH2), provided that X is not -NH2 when r = 4; or

-436-

PCT/US94/03256

```
R^{6} and R7 are alternatively be taken together
to form
(CH_{2})_{n}X
-CH_{2}CHCH_{2}-, where n = 0,1 and X
is -NH<sub>2</sub> or -NHC (=NH) (NH<sub>2</sub>);
```

L is -Y(CH<sub>2</sub>)<sub>v</sub>C(=0)-, wherein:

Y is NH, O, or S; and v = 1, 2;

10

5

M is a D-isomer or L-isomer amino acid of structure

$$-NR^{17}-CH-C(=0) - (CH(R^4))_{q'}$$

15 wherein:

q' is 0-2;

 $\mathbb{R}^{17}$  is H,  $C_1-C_3$  alkyl;

20

25

30

 $R^8$  is selected from:

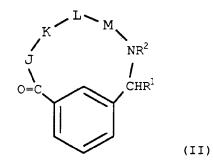
 $-CO_2R^{13}$ ,  $-SO_3R^{13}$ ,  $-SO_2NHR^{14}$ ,  $-B(R^{34})(R^{35})$ ,  $-NHSO_2CF_3$ ,  $-CONHNHSO_2CF_3$ ,  $-PO(OR^{13})_2$ ,  $-PO(OR^{13})R^{13}$ ,  $-SO_2NH$ -heteroaryl (said heteroaryl being 5-10-membered and having 1-4 heteroatoms selected independently from N, S, or O) ,  $-SO_2NH$ -heteroaryl (said heteroaryl being 5-10-membered and having 1-4 heteroatoms selected independently from N, S, or O),

-437-

WO 94/22494

```
-SO<sub>2</sub>NHCOR<sup>13</sup>, -CONHSO<sub>2</sub>R<sup>13a</sup>,
-CH<sub>2</sub>CONHSO<sub>2</sub>R<sup>13a</sup>, -NHSO<sub>2</sub>NHCOR<sup>13a</sup>,
-NHCONHSO<sub>2</sub>R<sup>13a</sup>, -SO<sub>2</sub>NHCONHR<sup>13</sup>.
```

5 61. A radiolabeled compound of Claim 51 that is a radiolabeled 1,3-disubstituted phenyl of the formula (II):



10

wherein:

the phenyl ring in formula (II) may be further substituted with 0-3  $R^{10}$  or  $R^{10a}$ ;

15

 $R^{10}$  or  $R^{10a}$  are selected independently from: H,  $C_1-C_8$  alkyl, phenyl, halogen, or  $C_1-C_4$  alkoxy;

20

R<sup>1</sup> is H, C<sub>1</sub>-C<sub>4</sub> alkyl, phenyl, benzyl, or phenyl-(C<sub>2</sub>- C<sub>4</sub>)alkyl;

R<sup>2</sup> is H or methyl;

25

R<sup>13</sup> is selected independently from: H, C1-C10 alkyl, C3-C10 cycloalkyl, C4-C12 alkylcycloalkyl, aryl, -(C1-C10 alkyl)aryl, or C3-C10 alkoxyalkyl;

PCT/US94/03256

| •<br>· | when two $R^{13}$ groups are bonded to a single N, said $R^{13}$ groups may alternatively be taken together to form $-(CH_2)_{2-5}-$ or $-(CH_2)O(CH_2)-;$  |
|--------|---|
| - 5    | R <sup>13a</sup> is C <sub>1</sub> -C <sub>10</sub> alkyl, C <sub>3</sub> -C <sub>10</sub> cycloalkyl,<br>C <sub>4</sub> -C <sub>12</sub> alkylcycloalkyl, aryl, -(C <sub>1</sub> -C <sub>10</sub><br>alkyl)aryl, or C <sub>3</sub> -C <sub>10</sub> alkoxyalkyl;   |
| 10     | $R^{14}$ is OH, H, C <sub>1</sub> -C <sub>4</sub> alkyl, or benzyl;   |
| 10     | J is $\beta$ -Ala or an L-isomer or D-isomer amino acid of structure -N(R <sup>3</sup> )C(R <sup>4</sup> )(R <sup>5</sup> )C(=0)-, wherein:   |
| 15     | R <sup>3</sup> is H or CH <sub>3</sub> ;  |
| 15     | R <sup>4</sup> is H;  |
| 20     | $R^5$ is H, C <sub>1</sub> -C <sub>8</sub> alkyl, C <sub>3</sub> -C <sub>6</sub> cycloalkyl, C <sub>3</sub> -C <sub>6</sub><br>cycloalkylmethyl, C <sub>1</sub> -C <sub>6</sub> cycloalkylethyl,<br>phenyl, phenylmethyl, CH <sub>2</sub> OH, CH <sub>2</sub> SH, CH <sub>2</sub> OCH <sub>3</sub> ,<br>CH <sub>2</sub> SCH <sub>3</sub> , CH <sub>2</sub> CH <sub>2</sub> SCH <sub>3</sub> , (CH <sub>2</sub> ) <sub>s</sub> NH <sub>2</sub> ,<br>(CH <sub>2</sub> ) <sub>s</sub> NHC (=NH) (NH <sub>2</sub> ), (CH <sub>2</sub> ) <sub>s</sub> R <sup>16</sup> , where s = 3-5; |
| 25     | R <sup>3</sup> and R <sup>5</sup> can alternatively be taken together to form -CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> -;   |
| • 30   | <pre>R<sup>16</sup> is selected from:<br/>an amine protecting group;<br/>1-2 amino acids;<br/>1-2 amino acids substituted with an amine<br/>protecting group;</pre>   |
|        | K is an L-isomer amino acid of structure  |

-439-

.

PCT/US94/03256

١,

$$-N(R^{6}) CH(R^{7}) C(=0) -, \text{ wherein:}$$

$$R^{6} \text{ is H or } C_{3}-C_{6} \text{ alkyl};$$

$$5 R^{7} \text{ is}$$

$$-(CH_{2}) \xrightarrow{q} (CH_{2}) \xrightarrow{q} (NH - (NH - (NH_{2}) - (CH_{2})) \xrightarrow{q} (CH_{2}) \xrightarrow$$

25

.

-440-

m = 1 or 2; and

.

## PCT/US94/03256

X is  $-NH_2$  or  $-NHC(=NH)(NH_2)$ , provided that X is not  $-NH_2$  when r = 4; or is -YCH<sub>2</sub>C(=0)-, wherein: L 5 is NH or O; Y M is a D-isomer or L-isomer amino acid of structure -NR<sup>17</sup>-CH-C(=0)-(CH(R<sup>4</sup>))<sub>q</sub>, |<sub>R8</sub> , wherein: 10 q' is 1;  $\mathbb{R}^{17}$  is H,  $\mathbb{C}_1-\mathbb{C}_3$  alkyl; 15 R<sup>8</sup> is selected from: -CO2H or -SO3R13. 62. A radiolabeled compound of Claim 51 that is a 20 radiolabeled compound of formula (II) above, wherein: the phenyl ring in formula (II) may be further substituted with 0-2  $R^{10}$  or  $R^{10a}$ ; 25  $R^{10}$  or  $R^{10a}$  are selected independently from: H,  $C_{1}\text{-}$  $C_8$  alkyl, phenyl, halogen, or  $C_1-C_4$  alkoxy; R<sup>1</sup> is H; 30  $R^2$  is H;

-441-

10

PCT/US94/03256

 $\ensuremath{\mathbb{R}^{13}}$  is selected independently from: H,  $\ensuremath{\text{C}_{1}\text{-}\text{C}_{10}}$ alkyl, C3-C10 cycloalkyl, C4-C12 alkylcycloalkyl, aryl, -(C1-C10 alkyl)aryl, or C<sub>3</sub>-C<sub>10</sub> alkoxyalkyl;  $R^{13a}$  is C<sub>1</sub>-C<sub>10</sub> alkyl, C<sub>3</sub>-C<sub>10</sub> cycloalkyl, C<sub>4</sub>-C<sub>12</sub> alkylcycloalkyl, aryl, -(C1-C10 alkyl)aryl, or C3-C10 alkoxyalkyl; when two  $R^{13}$  groups are bonded to a single N, said R<sup>13</sup> groups may alternatively be taken together to form  $-(CH_2)_{2-5}-$  or  $-(CH_2)O(CH_2)-;$  $\mathbb{R}^{14}$  is OH, H, C<sub>1</sub>-C<sub>4</sub> alkyl, or benzyl; is  $\beta$ -Ala or an L-isomer or D-isomer amino acid J formula  $-N(R^3)CH(R^5)C(=0)-$ , wherein: of  $R^3$  is H and  $R^5$  is H, CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, CH(CH<sub>3</sub>)<sub>2</sub>,

20

25

30

15

R<sup>3</sup> is H and R<sup>5</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, CH(CH<sub>3</sub>)<sub>2</sub>, CH(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, (CH<sub>2</sub>)<sub>4</sub>NH<sub>2</sub>, (C<sub>3</sub>-C<sub>5</sub> alkyl)NHR<sup>16</sup>; or

 $R^3$  is CH<sub>3</sub> and  $R^5$  is H; or

 $R^3$  and  $R^5$  can alternatively be taken together to form -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>-;

R<sup>16</sup> is selected from: an amine protecting group; 1-2 amino acids;

-442-

PCT/US94/03256

1-2 amino acids substituted with an amine protecting group;

K is an L-isomer amino acid of formula -N(CH<sub>3</sub>)CH(R<sup>7</sup>)C(=0)-, wherein:

- R<sup>7</sup> is (CH<sub>2</sub>)<sub>3</sub>NHC (=NH) (NH<sub>2</sub>);
- **L** is  $-NHCH_2C(=0)-;$  and

10

5

M is a D-isomer or L-isomer amino acid of structure

 $-NR^{17}-CH-C (=0) - \frac{1}{(CH (R^4))_{q}},$   $R^{8}, \text{ wherein:}$ 

15 q' is l;

 $R^4$  is H or  $CH_3$ ;

R<sup>17</sup> is H;

20

R<sup>8</sup> is -CO<sub>2</sub>H; -SO<sub>3</sub>H.

25

63. A radiolabeled compound of Claim 51 that is a radiolabeled compound of formula (II), or a pharmaceutically acceptable salt thereof, wherein:

30

 $R^1 \mbox{ and } R^2$  are independently selected from H, methyl;

-443-

PCT/US94/03256

+

~

| 5  | <ul> <li>J is selected from D-Val, D-2-aminobutyric acid, D-Leu, D-Ala, Gly, D-Pro, D-Ser, D-Lys, βAla,</li> <li>Pro, Phe, NMeGly, D-Nle, D-Phg, D-Ile, D-Phe,</li> <li>D-Tyr, Ala, N<sup>ε</sup>-p-azidobenzoyl-D-Lys, N<sup>ε</sup>-p-benzoylbenzoyl-D-Lys, N<sup>ε</sup>-tryptophanyl-D-Lys,</li> <li>N<sup>ε</sup>-o-benzylbenzoyl-D-Lys, N<sup>ε</sup>-p-acetylbenzoyl-D-Lys, N<sup>ε</sup>-p-Lys,</li> </ul> |
|----|--|
| 10 | glycyl-p-benzoylbenzoyl-D-Lys, N <sup>E</sup> -p-<br>phenylbenzoyl-D-Lys, N <sup>E</sup> -m-benzoylbenzoyl-D-<br>Lys, N <sup>E</sup> -o-benzoylbenzoyl-D-Lys;  |
|    | K is selected from NMeArg, Arg;  |
| 15 | L is selected from Gly, $oldsymbol{eta}$ Ala, Ala;   |
|    | $M$ is selected from Asp; $0\!$  |
| 20 | 64. A radiolabeled compound of Claim 51 that is a<br>radiolabeled compound of formula (II), or a<br>pharmaceutically acceptable salt thereof,<br>wherein:  |
| 25 | R <sup>1</sup> and R <sup>2</sup> are independently selected from H, methyl;   |
| 30 | J is selected from: D-Val, D-2-aminobutyric acid,<br>D-Leu, D-Ala, Gly, D-Pro, D-Ser, D-Lys, βAla,<br>Pro, Phe, NMeGly, D-Nle, D-Phg, D-Ile, D-Phe,<br>D-Tyr, Ala;   |
|    |  |

-444-

•

ē.

.

.

PCT/US94/03256

|    |     | L is Gly;   |
|----|-----|---|
| 5  |     | M is selected from Asp; $d\!\!\!\!$ deAsp; $\beta\!\!\!\!\!\!\beta$ MeAsp; NMeAsp; D-Asp.   |
|    | 65. | The radiolabeled compounds of Claim 51 that are:  |
| 10 |     | the radiolabeled compound of formula (II)<br>wherein R <sup>1</sup> and R <sup>2</sup> are H; J is D-Val; K is<br>NMeArg; L is Gly; and M is Asp;                 |
| 15 |     | the radiolabeled compound of formula (II)<br>wherein R <sup>1</sup> and R <sup>2</sup> are H; J is D-2-aminobutyric<br>acid; K is NMeArg; L is Gly; and M is Asp; |
| 20 |     | the radiolabeled compound of formula (II)<br>wherein R <sup>1</sup> and R <sup>2</sup> are H; J is D-Leu; K is<br>NMeArg; L is Gly; and M is Asp;                 |
|    |     | the radiolabeled compound of formula (II) wherein $R^1$ and $R^2$ are H; J is D-Ala; K is NMeArg; L is Gly; and M is Asp;   |
| 25 |     | the radiolabeled compound of formula (II)<br>wherein R <sup>1</sup> and R <sup>2</sup> are H; J is Gly; K is<br>NMeArg; L is Gly; and M is Asp;                   |
| 30 |     | the radiolabeled compound of formula (II)<br>wherein R <sup>1</sup> and R <sup>2</sup> are H; J is D-Pro; K is<br>NMeArg; L is Gly; and M is Asp;                 |

-445-

PCT/US94/03256

5

÷

|    | the radiolabeled compound of formula (II)<br>wherein R <sup>1</sup> and R <sup>2</sup> are H; J is D-Lys; K is  |
|----|---|
|    | NMeArg; L is Gly; and M is Asp;   |
| 5  | the radiolabeled compound of formula (II) wherein R <sup>1</sup> and R <sup>2</sup> are H; J is $\beta$ -Ala; K is NMeArg; L is Gly; and M is Asp;                  |
| 10 | the radiolabeled compound of formula (II)<br>wherein R <sup>1</sup> and R <sup>2</sup> are H; J is NMeGly; K is<br>NMeArg; L is Gly; and M is Asp;                  |
| 15 | the radiolabeled compound of formula (II)<br>wherein R <sup>1</sup> is methyl (isomer 1); R <sup>2</sup> are H; J<br>is D-Val; K is NMeArg; L is Gly; and M is Asp; |
| 20 | the radiolabeled compound of formula (II)<br>wherein R <sup>1</sup> is methyl (isomer 2); R <sup>2</sup> are H; J<br>is D-Val; K is NMeArg; L is Gly; and M is Asp; |
| 20 | the radiolabeled compound of formula (II)<br>wherein R <sup>1</sup> is phenyl (isomer 1); R <sup>2</sup> are H; J<br>is D-Val; K is NMeArg; L is Gly; and M is Asp; |
| 25 | the radiolabeled compound of formula (II)<br>wherein J = D-Met, K = NMeArg, L = Gly, M =<br>Asp, $R^1$ = H, $R^2$ = H;  |
| 30 | the radiolabeled compound of formula (II)<br>wherein J = D-Abu, K = diNMe-guanidinyl-Orn ,<br>L = Gly, M = Asp, $R^1$ = H, $R^2$ = H;                               |

-446-

.

•

÷

•

٠

.

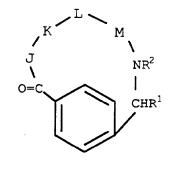
|    | the radiolabeled compound of formula (II)                                 |
|----|---|
|    | wherein $J = D-Abu$ , $K = diNMe-Lys$ , $L = Gly$ , $M = Chy$             |
|    | Asp, $R^1 = H$ , $R^2 = H$ ;  |
| 5  | the radiolabeled compound of formula (II)                                 |
|    | wherein R <sup>1</sup> and R <sup>2</sup> are H; J is N $^{\epsilon}$ -p- |
|    | azidobenzoyl-D-Lysine; K is NMeArg; L is Gly;                             |
|    | and M is Asp;   |
| 10 | the radiolabeled compound of formula (II)                                 |
|    | wherein $R^1$ and $R^2$ are H; J is $N^{\epsilon}-p-$                     |
|    | benzoylbenzoyl-D-Lysine; K is NMeArg; L is                                |
|    | Gly; and M is Asp;  |
| 15 | the radiolabeled compound of formula (II)                                 |
|    | wherein $R^1$ and $R^2$ are H; J is $N^{E}$ -tryptophanyl-                |
|    | D-Lysine; K is NMeArg; L is Gly; and M is Asp;                            |
|    | the radiolabeled compound of formula (II)                                 |
| 20 | wherein $R^1$ and $R^2$ are H; J is $N^{\epsilon}-o-$                     |
|    | <pre>benzylbenzoyl-D-Lysine; K is NMeArg; L is Gly;</pre>                 |
|    | and M is Asp.   |
|    | The radiolabeled compound of formula (II)                                 |
| 25 | wherein R <sup>1</sup> and R <sup>2</sup> are H; J is N $^{\epsilon}$ -p- |
|    | acetylbenzoyl-D-Lysine; K is NMeArg; L is Gly;                            |
|    | and M is Asp;   |
|    | the radiolabeled compound of formula (II)                                 |
| 30 | wherein $R^1$ and $R^2$ are H; J is $N^{E}$ -dansyl-D-                    |
|    | Lysine; K is NMeArg; L is Gly; and M is Asp;                              |
|    |   |

-447-

WO 94/22494

the radiolabeled compound of formula (II) wherein  $R^1$  and  $R^2$  are H; J is  $N^{\varepsilon}$ -glycyl-D-Lysine; K is NMeArg; L is Gly; and M is Asp; 5 the radiolabeled compound of formula (II) wherein  $R^1$  and  $R^2$  are H; J is  $N^{\varepsilon}$ -glycyl-pbenzoylbenzoyl-D-Lysine; K is NMeArg; L is Gly; and M is Asp; 10 the radiolabeled compound of formula (II) wherein  $R^1$  and  $R^2$  are H; J is  $N^{\epsilon}-p$ phenylbenzoyl-D-Lysine; K is NMeArg; L is Gly; and M is Asp; 15 the radiolabeled compound of formula (II) wherein  $R^1$  and  $R^2$  are H; J is  $N^{\epsilon}-m$ benzoylbenzoyl-D-Lysine; K is NMeArg; L is Gly; and M is Asp; 20 the radiolabeled compound of formula (II) wherein  $R^1$  and  $R^2$  are H; J is  $N^{\varepsilon}-o$ benzoylbenzoyl-D-Lysine; K is NMeArg; L is Gly; and M is Asp; 25 the radiolabeled compound of formula (III) wherein  $R^1$  and  $R^2$  are H; J is D-Val; K is NMeArg; L is Gly; and M is Asp;

-448-



# (III);

the radiolabeled compound of formula (II) wherein  $R^1$  and  $R^2$  are H; J is D-Val; K is D-NMeArg; L is Gly; and M is Asp;

the radiolabeled compound of formula (II) wherein  $R^1$  and  $R^2$  are H; J is D-Nle; K is NMeArg; L is Gly; and M is Asp;

the radiolabeled compound of formula (II) wherein  $R^1$  and  $R^2$  are H; J is D-Phg; K is NMeArg; L is Gly; and M is Asp;

15

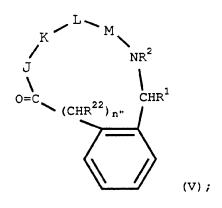
10

5

the radiolabeled compound of formula (II) wherein  $R^1$  and  $R^2$  are H; J is D-Phe; K is NMeArg; L is Gly; and M is Asp;

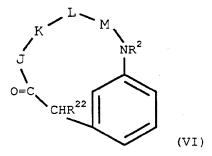
the radiolabeled compound of formula (V) wherein  $R^1$  and  $R^2$  are H; J is D-Ile; K is NMeArg; L is Gly; and M is Asp;

20



the radiolabeled compound of formula (V) wherein n"=1;  $R^1$ ,  $R^2$ , and  $R^{22}$  are H; J is D-Val; K is NMeArg; L is Gly; and M is Asp;

the radiolabeled compound of formula (V) wherein n"=0; R<sup>1</sup> and R<sup>2</sup> are H; J is D-Val; K is NMeArg; L is Gly; and M is Asp;



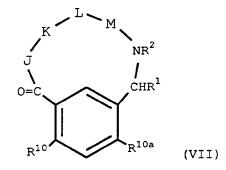
the radiolabeled compound of formula (VI) wherein  $R^2$  and  $R^{22}$  are H; J is D-Val; K is NMeArg; L is Gly; and M is Asp;

15

5

10

-450-



the radiolabeled compound of formula (VII) wherein  $R^1, R^2$ , and  $R^{10}$  are H;  $R^{10a}$  is Cl; J is D-Val; K is NMeArg; L is Gly; and M is Asp;

the radiolabeled compound of formula (VII) wherein  $R^1, R^2$ , and  $R^{10}$  are H;  $R^{10a}$  is I; J is D-Val; K is NMeArg; L is Gly; and M is Asp;

the radiolabeled compound of formula (VII) wherein R<sup>1</sup>, R<sup>2</sup>, and R<sup>10</sup> are H; R<sup>10a</sup> is I; J is D-Abu; K is NMeArg; L is Gly; and M is Asp;

15

20

25

10

5

the radiolabeled compound of formula (VII) wherein  $R^1, R^2$ , and  $R^{10}$  are H;  $R^{10a}$  is Me; J is D-Val; K is NMeArg; L is Gly; and M is Asp;

the radiolabeled compound of formula (VII) wherein  $R^1, R^2$ , and  $R^{10a}$  are H;  $R^{10}$  is Cl; J is D-Val; K is NMeArg; L is Gly; and M is Asp;

the radiolabeled compound of formula (VII) wherein  $R^1, R^2$ , and  $R^{10a}$  are H;  $R^{10}$  is MeO; J is D-Val; K is NMeArg; L is Gly; and M is Asp;

-451-

5

10

15

the radiolabeled compound of formula (VII) wherein  $R^1, R^2$ , and  $R^{10a}$  are H;  $R^{10}$  is Me; J is D-Val; K is NMeArg; L is Gly; and M is Asp;

the radiolabeled compound of formula (VII) wherein  $R^1, R^2$ , and  $R^{10}$  are H;  $R^{10a}$  is Cl; J is D-Abu; K is NMeArg; L is Gly; and M is Asp;

the radiolabeled compound of formula (VII) wherein  $R^1$ ,  $R^2$ , and  $R^{10}$  are H;  $R^{10a}$  is I; J is D-Abu; K is NMeArg; L is Gly; and M is Asp.

The radiolabeled compound of formula (VII) wherein  $R^1$ ,  $R^2$ , and  $R^{10}$  are H;  $R^{10a}$  is Me; J is D-Abu; K is NMeArg; L is Gly; and M is Asp;

the radiolabeled compound of formula (II) wherein  $R^1$  and  $R^2$  are H; J is D-Tyr; K is NMeArg; L is Gly; and M is Asp;

20

30

the radiolabeled compound of formula (II) wherein  $R^1$  and  $R^2$  are H; J is D-Val; K is NMeAmf; L is Gly; and M is Asp;

25 the radiolabeled compound of formula (II) wherein R<sup>1</sup> and R<sup>2</sup> are H; J is D-Val; K is NMeArg; L is Gly; and M is **M**eAsp;

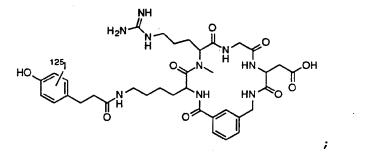
the radiolabeled compound of formula (II) wherein R<sup>1</sup> is H; R<sup>2</sup> is CH3; J is D-Val; K is NMeArg; L is Gly; and M is Asp;

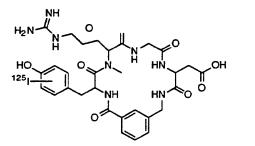
-452-

5

the radiolabeled compound of formula (III) wherein  $R^1$  and  $R^2$  are H; J is D-Val; K is NMeArg; L is Gly; and M is Asp;

the radiolabeled compound of formula
(VIII) wherein J is D-Val; K is NMeArg; L
is Gly; and M is Asp;





- 66. A radiolabeled compound as in one of Claims 51-65 wherein the radiolabel is selected from the group:  $18_{\rm F}$ ,  $11_{\rm C}$ ,  $123_{\rm I}$ , and  $125_{\rm I}$ .
- 67. A radiolabeled compound of Claim 66 wherein the radiolabel is 123I.

10

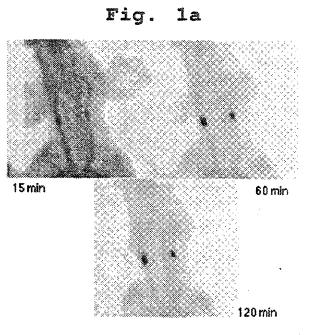
15

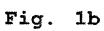
WO 94/22494

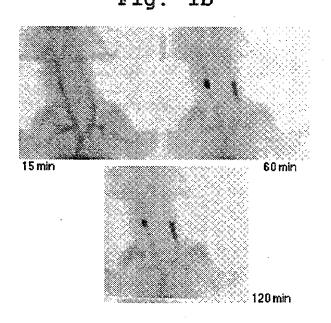
- 68. A radiopharmaceutical composition comprising a radiopharmaceutically acceptable carrier and a radiolabeled compound of any of Claims 51-67.
- 5 69. A method of determining platelet deposition in a mammal comprising administering to said mammal a radiopharmaceutical composition comprising a compound of any of Claims 51-67, and imaging said mammal.
- 10

15

70. A method of diagnosing a disorder associated with platelet deposition in a mammal comprising administering to said mammal a radiopharmaceutical composition comprising a compound of any of Claims 51-67, and imaging said mammal.







1/1

# INTERNATIONAL SEARCH REPORT

۹

4

\*

.

International application No. PCT/US94/03256

|                       |   | FC 1/0394/052  |   |
|-----------------------|---|--|---|
|                       | SSIFICATION OF SUBJECT MATTER   |  |   |
|                       | :424/1.69; 530/317  |  |   |
| According             | o International Patent Classification (IPC) or to both  | national classification and IPC  |   |
| B. FIEI               | LDS SEARCHED  |  |   |
| Minimum d             | ocumentation searched (classification system followe  | d by classification symbols)   |   |
| U.S. :                | 424/1.69,1.45,1.65; 530/317; 930/270; 514/9, 11, 2  | , D1G 802  |   |
|                       | ion searched other than minimum documentation to th<br>02 Digest (1988-date)  | e extent that such documents are included  | d in the fields searched  |
|                       | lata base consulted during the international search (na<br>ee Extra Sheet.  | ame of data base and, where practicable  | e, search terms used)   |
| C. DOC                | UMENTS CONSIDERED TO BE RELEVANT  | ······································   | ·····   |
| Category*             | Citation of document, with indication, where ap   | ppropriate, of the relevant passages   | Relevant to claim No  |
| Y,P                   | WO,A, 93/07170 (DUPONT MER)<br>entire document.   | CK) 15 APRIL 1993. See   | 1-70  |
| A                     | EP, A, 341915 (SMITHKLINE BE<br>1989.   | CKMAN) 15 NOVEMBER   |   |
| Y                     | EP, A, 425212 (SMITHLKINE BE<br>See pages 1-2, and page 7, line 4   |  | 1-70  |
| Y                     | WO, A, 91/02750 (BIOGEN, INC page 47.   | .) 07 MARCH 1991. See  | 51-70   |
| Y,P                   | US, A, 5,279,812 (KRSTENANS)<br>1994. See column 2, lines 5-43,   |  | 51-70   |
| A,P                   | US, A, 5,236,898 (KRSTENANS<br>1993. See columns 1 and 2.   | KY ET AL.) 17 AUGUST   |   |
| X Furth               | er documents are listed in the continuation of Box C  | . See patent family annex.   | · · · · · · · · · · · · · · · · · · ·                             |
| A. due                | scial estegories of cited documents:<br>sument defining the general state of the art which is not considered  | "T" later document published after the int<br>date and not in conflict with the applic<br>principle or theory underlying the inv | ation but cited to understand the                                 |
|                       | be put of particular relevance<br>lier document published on or after the international filing date   | "X" document of particular relevance; th<br>considered novel or cannot be conside  | e claimed invention cannot be<br>red to involve an inventive step |
| erte                  | ument which may throw doubts on priority claim(s) or which is<br>d to establish the publication date of another citation or other<br>cial reason (as specified) | "Y" document of particular relevance; th   |   |
| "()" doc<br>me        | ument referring to an oral disclosure, use, exhibition or other   | considered to involve an inventive<br>combined with one or more other suc<br>being obvious to a person skilled in th             | h documents, such combination                                     |
| the                   | ument published prior to the international filing date but later than<br>priority date claimed  | *& document member of the same patent  | : family  |
|                       | actual completion of the international search   | Date of mailing of the international sea<br>20.07.94   | arch report   |
| 01 JULY               | 1994  | 20.07.34   |   |
| Commission<br>Box PCT | hailing address of the ISA/US<br>her of Patents and Trademarks<br>. D.C. 20231  | Authorized officer Durie Ho<br>- JOHN M. COVERT  | odeup for   |
| Facsimile N           | 0. (703) 305-3230   | Telephone No. (703) 308-0444   |   |

Facsimile No. (703) 305-3230 Form PCT/ISA/210 (second sheet)(July 1992)\* •

# INTERNATIONAL SEARCH REPORT

International application No. PCT/US94/03256

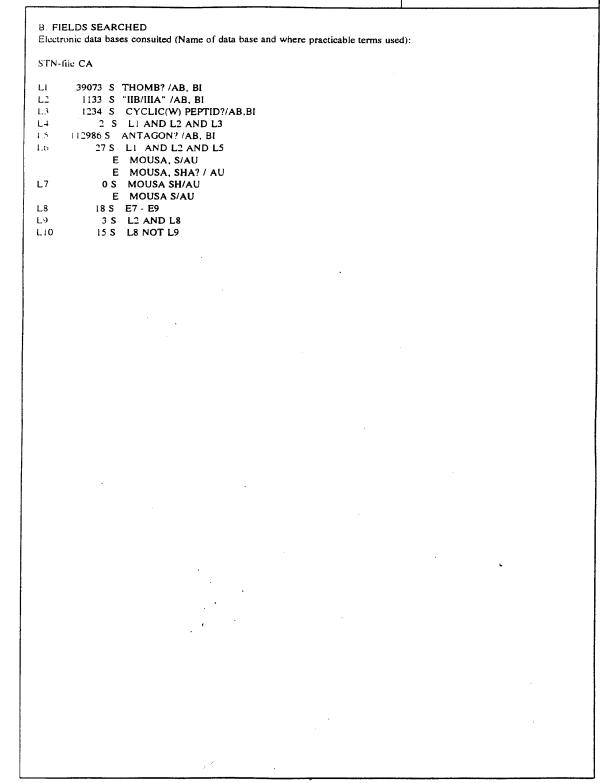
| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| Y,P       | WO, A, 93/15770 (MALLINCKRODT MEDICAL, INC.) 19<br>AUGUST 1993. See pages 8-11.    | 1-50                  |
| A         | US, A, 5,041,380 (RUOSLAHTI ET AL.) 20 AUGUST 1991.<br>See column 2.               |                       |
| A         | US, A, 5,192,380 (RUOSLAHTI ET AL.) 20 AUGUST 1991.<br>See column 2.               |                       |
| A         | US, A, 5,192,746 (LOBL ET AL.) 09 MARCH 1993.                                      |                       |
| A         | US, A, 5, 192,745 (KRSTENANSKY ET AL.) 09 MARCH 1993.                              |                       |
| A         | US, A, 5,023,233 (NUTT ET AL.) 11 JUNE 1991.                                       |                       |
|           |  |                       |
|           |  |                       |
|           | ·  |                       |
|           |  | ·<br>·                |
|           |  |                       |
|           | · · · ·  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*

# INTERNA JNAL SEARCH REPORT

: ]

Inc. ational application No. PCT/US94/03256



.

Form PCT/ISA/210 (extra sheet)(July 1992)\*

| (•           | <ul> <li>(19) World Intellectua<br/>Organization<br/>International Bun</li> <li>43) International Public</li> <li>4 April 2013 (04.0</li> </ul> | l Property<br>1<br>reau<br>ication Date   |  |         | (10) Intern<br>WO                               | national Publication Number<br>2013/048558 A2  |
|--------------|---|---|--|---------|---|--|
|              | - ,   |   |  |         |   |  |
| (51)         | International Patent Cla<br>A61K 31/235 (2006.01)<br>A61K 31/192 (2006.01)  | ssification:<br><i>C12Q 1/00</i> (2000<br><i>G01N 21/78</i> (200                            | 5.01)  |         |   | , <b>Masoud</b> [US/US]; 725 Castle Rock<br>ek, California 94598 (US).   |
|              | A61P 7/00 (2006.01)   |   | 50.01)   | (74)    |   | <b>, Patrick D.</b> ; Perkins Coie LLP, P.O. Washington 98111-1208 (US).   |
| (21)         | International Applicatio  |   | 2012/028620  | (81)    |   | <b>s</b> (unless otherwise indicated, for every protection available): AE, AG, AL, AM  |
| (22)         | International Filing Date   | e:<br>9 March 2012  | (09.03.2012)   |         | AO, AT, AU, AZ                                  | , BA, BB, BG, BH, BR, BW, BY, BZ, CO, CR, CU, CZ, DE, DK, DM, DO   |
| (25)         | Filing Language:  | ,   | English  |         | DZ, EC, EE, EG,                                 | ES, FI, GB, GD, GE, GH, GM, GT, HN,<br>IN, IS, JP, KE, KG, KM, KN, KP, KR,   |
| (26)         | Publication Language:   |   | English  |         | KZ, LA, LC, LK,                                 | , LR, LS, LT, LU, LY, MA, MD, ME,<br>W, MX, MY, MZ, NA, NG, NI, NO, NZ.  |
| (30)         |   | er 2011 (30.09.2011<br>er 2011 (29.11.2011  |  |         | OM, PE, PG, PH,<br>SE, SG, SK, SL, S            | w, MZ, MT, MZ, NZ, NA, NO, N, NO, NZ,<br>PL, PT, QA, RO, RS, RU, RW, SC, SD,<br>SM, ST, SV, SY, TH, TJ, TM, TN, TR,<br>US, UZ, VC, VN, ZA, ZM, ZW.   |
| (71)         | Applicant (for all design<br>ION THERAPEUTICS<br>Blvd., Suite 200, South Sa   | , INC. [US/US];   | 601 Gateway  | (84)    | kind of regional p                              | s (unless otherwise indicated, for every<br>protection available): ARIPO (BW, GH,<br>, MW, MZ, NA, RW, SD, SL, SZ, TZ,   |
| (72)<br>(71) | Inventors; and<br>Applicants : SCHARSC  | HMIDT. Bruce [1]  | олтот. ле от   |         | UG, ZM, ZW), Eu                                 | rasian (AM, AZ, BY, KG, KZ, MD, RU,  |
|              | Francis Boulevard, San F  |   |  |         |   |  |
|              | Francis Boulevard, San F  |   |  |         |   | R, GB, GR, HR, HU, IE, IS, IT, LT, LU,   |
| (54)         | Francis Boulevard, San F  | rancisco, California  | 94127 (US).  | NITRO   | DK, EE, ES, FÎ, F                               | n (AL, AT, BE, BG, CH, CY, CZ, DE,<br>R, GB, GR, HR, HU, IE, IS, IT, LT, LU,<br>[Continued on next page]<br>NG DRUGS   |
| (54)         | Title: METHODS OF THI<br>Figure 2<br>Relationship between<br>Lin  | rancisco, California  | 94127 (US).<br>TORING OF<br>Ind AUC of Amm                                       |         | DK, EE, ES, FÎ, F                               | R, GB, GR, HR, HU, IE, IS, IT, LT, LU,   |
| (54)         | Title: METHODS OF THI<br>Figure 2<br>Relationship between<br>Lin<br>AU<br>5000<br>4000  | Prancisco, California<br>ERAPEUTIC MONI<br>In Fasting Ammonia ar<br>hear Regression and 95% | 94127 (US).<br>TORING OF<br>Id AUC of Arm<br>of of Prediction<br>unique subjects | nonia 0 | DK, EE, ES, FÎ, F                               | <ul> <li>R, GB, GR, HR, HU, IE, IS, IT, LT, LU, [Continued on next page]</li> <li>NG DRUGS</li> <li>(57) Abstract: The present disclosure provides methods for evaluating daily ammonia exposure based on a single fasting ammonia blood level measurement, as well as methods that utilize this technique to adjust the dosage of a nitrogen scavenging drug, determine whether to administer a nitrogen scavenging drug, and treat nitrogen reten-</li> </ul>    |
| (54)         | Title: METHODS OF THI<br>Figure 2<br>Relationship between<br>Lin<br>AU<br>5000<br>4000  | Prancisco, California<br>ERAPEUTIC MONI<br>In Fasting Ammonia ar<br>hear Regression and 95% | 94127 (US).<br>TORING OF<br>Id AUC of Arm<br>of of Prediction<br>unique subjects | nonia 0 | DK, EE, ES, FÌ, F<br>DGEN SCAVENGR<br>-24 hours | <ul> <li>R, GB, GR, HR, HU, IE, IS, IT, LT, LU, [Continued on next page]</li> <li>NG DRUGS</li> <li>(57) Abstract: The present disclosure provides methods for evaluating daily ammonia exposure based on a single fasting ammonia blood level measurement, as well as methods that utilize this technique to adjust the dosage of a nitrogen scavenging drug, determine whether to administer a nitrogen scavenging drug, and treat nitrogen reten-</li> </ul>    |
| (54)         | Title: METHODS OF THI<br>Figure 2<br>Relationship between<br>Lin<br>AJ  | Prancisco, California<br>ERAPEUTIC MONI<br>In Fasting Ammonia ar<br>hear Regression and 95% | 94127 (US).<br>TORING OF<br>Id AUC of Arm<br>of of Prediction<br>unique subjects | nonia 0 | DK, EE, ES, FÌ, F<br>DGEN SCAVENGR<br>-24 hours | <ul> <li>R, GB, GR, HR, HU, IE, IS, IT, LT, LU, [Continued on next page]</li> <li>NG DRUGS</li> <li>(57) Abstract: The present disclosure provides methods for evaluating daily ammonia exposure based on a single fasting ammonia blood level measurement, as well as methods that utilize this technique to adjust the dosage of a nitrogen scavenging drug, determine whether to administer a nitrogen scavenging drug, and treat nitrogen retention</li> </ul> |

# 

LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, **Published**: SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG). *without* 

without international search report and to be republished upon receipt of that report (Rule 48.2(g))

# METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

# **RELATED APPLICATIONS**

**[0001]** The present application claims the benefit of U.S. Provisional Application No. 61/564,668, filed November 29, 2011, and U.S. Provisional Application No. 61/542,100, filed September 30, 2011, the disclosures of which are incorporated by reference herein in their entirety, including drawings.

# BACKGROUND

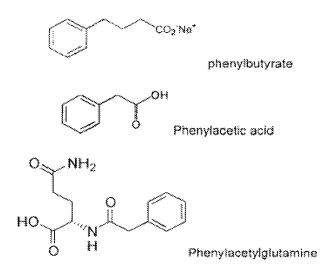
**[0002]** Nitrogen retention disorders associated with elevated ammonia levels include urea cycle disorders (UCDs) and hepatic encephalopathy (HE).

[0003] UCDs include several inherited deficiencies of enzymes or transporters necessary for the synthesis of urea from ammonia, including enzymes involved in the urea cycle. The urea cycle is depicted in Figure 1, which also illustrates how certain ammonia-scavenging drugs act to assist in elimination of excessive ammonia. With reference to Figure 1, N-acetyl glutamine synthetase (NAGS)-derived N-acetylglutamate binds to carbamyl phosphate synthetase (CPS), which activates CPS and results in the conversion of ammonia and bicarbonate to carbamyl phosphate. In turn, carbamyl phosphate reacts with ornithine to produce citrulline in a reaction mediated by ornithine transcarbamylase (OTC). A second molecule of waste nitrogen is incorporated into the urea cycle in the next reaction, mediated by arginosuccinate synthetase (ASS), in which citrulline is condensed with aspartic acid to form argininosuccinic acid. Argininosuccinic acid is cleaved by argininosuccinic lyase (ASL) to produce arginine and fumarate. In the final reaction of the urea cycle, arginase (ARG) cleaves arginine to produce ornithine and urea. Of the two atoms of nitrogen incorporated into urea, one originates from free ammonia  $(NH_4^+)$  and the other from aspartate. UCD individuals born with no meaningful residual urea synthetic capacity typically present in the first few days of life (neonatal presentation). Individuals with residual function typically present later in childhood or even in adulthood, and symptoms may be precipitated by increased dietary protein or physiological stress (e.g., intercurrent illness).

**[0004]** Hepatic encephalopathy (HE) refers to a spectrum of neurologic signs and symptoms believed to result from hyperammonemia, which frequently occur in subjects with cirrhosis or certain other types of liver disease. Subjects with HE typically show altered mental status ranging from subtle changes to coma, features similar to subjects with UCDs.

**[0005]** Subjects with nitrogen retention disorders whose ammonia levels and/or symptoms are not adequately controlled by dietary restriction of protein and/or dietary supplements are generally treated with nitrogen scavenging agents such as sodium phenylbutyrate (NaPBA, approved in the United States as BUPHENYL<sup>®</sup> and in Europe as AMMONAPS<sup>®</sup>) or sodium benzoate. These are often referred to as alternate pathway drugs because they provide the body with an alternate pathway to urea for excretion of waste nitrogen (Brusilow 1980; Brusilow 1991). NaPBA is a phenylacetic acid (PAA) prodrug. Another nitrogen scavenging drug currently in development for the treatment of nitrogen retention disorders is glyceryl tri-[4-phenylbutyrate](HPN-100), which is described in U.S. Patent No. 5,968,979. HPN-100, which is commonly referred to as GT4P or glycerol PBA, is a prodrug of PBA and a pre-prodrug of PAA.

**[0006]** HPN-100 and NaPBA share the same general mechanism of action: PBA is converted to PAA via beta oxidation, and PAA is conjugated enzymatically with glutamine to form phenylacetylglutamine (PAGN), which is excreted in the urine. The structures of PBA, PAA, and PAGN are set forth below.



**[0007]** The clinical benefit of NaPBA and HPN-100 with regard to nitrogen retention disorders derives from the ability of PAGN to effectively replace urea as a vehicle for waste nitrogen excretion and/or to reduce the need for urea synthesis (Brusilow 1991; Brusilow 1993). Because each glutamine contains two molecules of nitrogen, the body rids itself of two waste nitrogen atoms for every molecule of PAGN excreted in the urine. Therefore, two equivalents of nitrogen are removed for each mole of PAA converted to PAGN. PAGN

represents the predominant terminal metabolite, and one that is stoichiometrically related to waste nitrogen removal, a measure of efficacy in the case of nitrogen retention states. The difference between HPN-100 and NaPBA with respect to metabolism is that HPN-100 is a triglyceride and requires digestion, presumably by pancreatic lipases, to release PBA (McGuire 2010).

**[0008]** In contrast to NaPBA or HPN-100, sodium benzoate acts when benzoic acid is combined enzymatically with glycine to form hippuric acid. For each molecule of hippuric acid excreted in the urine, the body rids itself of one waste nitrogen atom.

**[0009]** Methods of determining an effective dosage of PAA prodrugs such as NaPBA or HPN-100 for a subject in need of treatment for a nitrogen retention disorder are described in WO09/1134460 and WO10/025303. Daily ammonia levels, however, may vary greatly in a subject. This can lead to overestimation by the physician of the average daily ammonia levels, which may result in overtreatment. Thus, there is a need in the art for improved methods for PAA prodrug dose determination and adjustment based on ammonia levels in subjects with nitrogen retention disorders such as UCDs or HE.

### SUMMARY

**[0010]** Provided herein in certain embodiments are methods for determining whether to increase a dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder by measuring a fasting blood ammonia level and comparing the fasting blood ammonia level to the upper limit of normal (ULN) for blood ammonia, where a fasting blood ammonia level that is greater than half the ULN for blood ammonia indicates that the dosage needs to be increased. In certain embodiments, the nitrogen retention disorder is a UCD or HE. In certain embodiments, the nitrogen scavenging drug is HPN-100, PBA, NaPBA, sodium benzoate, or any combination thereof (i.e., any combination of two or more of HPN-100, PBA, NaPBA). In certain embodiments, the ULN is around 35  $\mu$ mol/L or 59  $\mu$ g/mL. In certain embodiments, the methods include an additional step of administering an increased dosage of the nitrogen scavenging drug if the need exists, and in certain of these embodiments administration of the nitrogen scavenging drug produces a normal average daily ammonia level in the subject. In certain embodiments wherein a determination is made to administer an increased dosage of nitrogen scavenging drug and wherein the nitrogen scavenging drug is a PAA prodrug, the methods include an additional step of measuring urinary PAGN excretion and determining an effective dosage of the PAA prodrug based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%.

**[0011]** Provided herein in certain embodiments are methods for determining whether to administer a nitrogen scavenging drug to a subject with a nitrogen retention disorder by measuring a fasting blood ammonia level and comparing the fasting blood ammonia level to the ULN for blood ammonia, where a fasting blood ammonia level that is greater than half the ULN for blood ammonia indicates that the nitrogen scavenging drug needs to be administered. In certain embodiments, the nitrogen retention disorder is a UCD or HE. In certain embodiments, the nitrogen scavenging drug is HPN-100, PBA, NaPBA, sodium benzoate, or any combination thereof (i.e., any combination of two or more of HPN-100, PBA, NaPBA). In certain embodiments, the ULN is around 35  $\mu$ mol/L or 59  $\mu$ g/mL. In certain embodiments, the methods include an additional step of administering a nitrogen scavenging drug if the need exists, and in certain of these embodiments administration of the nitrogen scavenging drug produces a normal average daily ammonia level in the subject. In certain embodiments wherein a determination is made to administer a nitrogen scavenging drug and wherein the nitrogen scavenging drug is a PAA prodrug, the methods further include a step of determining an effective initial dosage of the PAA prodrug by determining a target urinary PAGN output based on a target nitrogen output and calculating an effective initial dosage that results in the target urinary PAGN output based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%. In certain embodiments, the methods include a step of administering the calculated effective initial dosage.

**[0012]** Provided herein in certain embodiments are methods for treating a nitrogen retention disorder in a subject who has previously been administered a nitrogen scavenging drug by measuring a fasting blood ammonia level, comparing the fasting blood ammonia level to the ULN for blood ammonia, and administering an increased dosage of the nitrogen scavenging drug if the fasting ammonia level is greater than half the ULN for blood ammonia. In certain embodiments, administration of an increased dosage of the nitrogen scavenging drug produces a normal average daily ammonia level in the subject. In certain embodiments, the nitrogen retention disorder is a UCD or HE. In certain embodiments, the nitrogen scavenging drug is HPN-100, PBA, NaPBA, sodium benzoate, or any combination thereof (i.e., any combination of two or more of HPN-100, PBA, NaPBA). In certain embodiments, the ULN is around 35  $\mu$ mol/L or 59  $\mu$ g/mL. In certain embodiments wherein the nitrogen scavenging drug is a PAA prodrug, the methods include an additional step of measuring urinary PAGN excretion and determining an effective dosage of the PAA prodrug based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%. In certain embodiments, the methods include a step of administering the calculated effective dosage.

4

# BRIEF DESCRIPTION OF DRAWINGS

**[0013]** Figure 1: The urea cycle and how certain nitrogen-scavenging drugs may assist in elimination of excessive ammonia.

**[0014]** Figure 2: Relationship between fasting ammonia and average ammonia UCD patients.

**[0015]** Figure 3: Venous blood ammonia values over 24 hours in (A) adult and (B) pediatric UCD patients.

# **DETAILED DESCRIPTION**

**[0016]** The following description of the invention is merely intended to illustrate various embodiments of the invention. As such, the specific modifications discussed are not to be construed as limitations on the scope of the invention. It will be apparent to one skilled in the art that various equivalents, changes, and modifications may be made without departing from the scope of the invention, and it is understood that such equivalent embodiments are to be included herein.

**[0017]** In subjects with a nitrogen retention disorder, the desired effect of treatment with a nitrogen scavenging drug is control of blood ammonia level. Control of blood ammonia level generally refers to ammonia values within the normal range and avoidance of hyperammonemic crises, which are often defined in the art as transient ammonia values exceeding 100 µmol/L or 178 µg/mL accompanied by clinical signs and symptoms of hyperammonemia. Dosing of nitrogen scavenging drugs is usually based upon clinical assessment and measurement of ammonia. However, assessment of treatment effect and interpretation of ammonia levels is confounded by the fact that individual ammonia values vary several-fold over the course of a day and are impacted by timing of the blood draw in relation to the last meal and dose of drug (see, e.g., Lee 2010; Lichter-Konecki 2011; Diaz 2011).

**[0018]** A random ammonia value obtained during an outpatient visit may fail to provide a reliable measure of a subject's status and the drug effect. For example, basing treatment on a blood sample taken after eating a meal might overestimate average daily ammonia level and result in overtreatment. Conversely, basing treatment on a blood sample taken after drug administration might underestimate average daily ammonia level and result in undertreatment. A fasting ammonia level at or near the ULN might be taken as an indication of satisfactory control without appreciating the fact that the ammonia burden during the day (average and/or highest possible value) might be significantly higher. Thus, a fasting level at or near the ULN may actually reflect undertreatment in a subject already a receiving nitrogen

scavenging drug or the need for treatment in a subject not currently prescribed a nitrogen scavenging drug. A more accurate view of daily ammonia level could be obtained by multiple blood draws in a controlled setting over an extended period of time. Although this is currently done in clinical trials, it is clinically impractical.

**[0019]** As set forth below, the relationship between fasting ammonia levels and daily ammonia exposure was evaluated in subjects with nitrogen retention disorders. It was found that fasting ammonia correlates strongly with daily ammonia exposure, assessed as a 24 hour area under the curve for ammonia, daily average, or maximal daily concentration, and that a target fasting value which does not exceed half of the ULN is a clinically useful and practical predictor of ammonia values over 24 hours. As such, provided herein are clinically practical methods of evaluating ammonia exposure in subjects with nitrogen retention disorders based on fasting ammonia levels, as well as methods of using the resultant information to adjust the dosage of a nitrogen scavenging drug, determine whether to administer a nitrogen scavenging drug, treat a nitrogen retention disorder, and predict daily ammonia burden. The use of fasting ammonia levels to predict ammonia exposure provides a significant advantage over previously developed methods by reducing the number of required blood draws and eliminating the confusion associated with conflicting ammonia levels over the course of the day.

**[0020]** As further disclosed herein, the relationship between ammonia control and neurocognitive outcome was evaluated in UCD patients. Previous research has demonstrated that UCD patients often exhibit lower IQ overall and deficient executive function manifested by difficulty in goal setting, planning, monitoring progress and purposeful problem solving. As set forth herein, it was found that ammonia control with GPB resulted in a significant improvement in executive functions in pediatric patients. Based on these results, methods are provided herein for improving executive function in a pediatric subject with a UCD by administering one or more nitrogen scavenging drugs.

**[0021]** As further disclosed herein, the relationship between elevated PAA levels and neurological adverse events (AEs) was analyzed. Many of the over 30 reports of administration of NaPBA and/or sodium PAA to humans describe AEs, particularly when administered intravenously. IV administration of PAA to cancer patients was shown previously to result in AEs that included fatigue, dizziness, dysgeusia, headache, somnolence, lightheadedness, pedal edema, nausea, vomiting, and rash (Thibault 1994; Thibault 1995). These AEs correlated with PAA levels from 499 to 1285 µg/mL. Although NaPBA has been used in UCD treatment for over two decades and AEs reportedly associated with PAA are

6

# PCT/US2012/028620

# WO 2013/048558

similar to those associated with hyperammonemia, little was known previously about the relationship between PAA levels and neurological AEs in UCD patients. As shown herein, increased PAA levels did not correlate with increased neurological AEs in subjects with UCD. However, PAA levels were associated with an increase in neurological AEs in healthy subjects. Based on these results, methods are provided herein for predicting or diagnosing AEs in a subject by measuring PAA levels. Further provided herein are methods of treating and/or preventing AEs in a subject with elevated PAA levels by administering one or more nitrogen scavenging drugs.

**[0022]** Provided herein are specific target values for blood ammonia upon which an effective dosage of a nitrogen scavenging drug can be based. In certain embodiments, an effective dosage of a nitrogen scavenging drug may be an initial dosage, subsequent/maintenance dosage, improved dosage, or a dosage determined in combination with other factors. In certain embodiments, the effective dosage may be the same as or different than the initial dosage. In other embodiments, the effective dosage may be higher or lower than the initial dosage. In certain embodiments, methods are provided for adjusting the dose or regimen of a nitrogen scavenging drug to achieve a target ammonia level that is predictive of the average daily ammonia level and/or the highest ammonia value that the subject is likely to experience during the day.

**[0023]** Using the methods herein, a subject's fasting blood ammonia level may be used as a predictor of daily ammonia burden, average daily ammonia level, and/or highest daily ammonia value. Whether a subject with a nitrogen retention disorder is receiving an optimum dosage of nitrogen scavenging drug may be determined based on predicted daily ammonia exposure. By optimizing the therapeutic efficacy of a nitrogen scavenging drug, the therapeutic dosage of the nitrogen scavenging drug is adjusted so that the subject experiences the desired nitrogen scavenging effect. In particular, the dose is adjusted so that the subject may experience a normal average daily ammonia level. In certain embodiments, the effective dosage of nitrogen scavenging drug is determined by adjusting (e.g., increasing) a dosage to achieve a fasting blood ammonia level for a subject that is less than or equal to half the ULN for blood ammonia.

**[0024]** Provided herein in certain embodiments are methods of determining whether the dosage of a nitrogen scavenging drug needs to be increased in a subject with a nitrogen retention disorder comprising comparing a fasting blood ammonia level for the subject to a ULN for blood ammonia. If the fasting blood ammonia level has a value that greater than half the ULN, the dosage of the nitrogen scavenging drug needs to be increased. In certain

embodiments, the methods further comprise increasing the dosage of the nitrogen scavenging drug if the need exists, and in certain of these embodiments the methods further comprise administering the increased dosage. In certain of these embodiments, administration of the increased dosage results in a normal average daily ammonia level in the subject. **[0025]** Provided herein in certain embodiments are methods of determining whether the dosage of a nitrogen scavenging drug needs to be increased in a subject with a nitrogen retention disorder comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the dosage of the nitrogen scavenging drug needs to be increased. In certain embodiments, the methods further comprise increasing the dosage of the nitrogen scavenging drug if the need exists, and in certain of these embodiments the methods further comprise administering the increased dosage results in a normal average daily ammonia level in the subject.

**[0026]** Provided herein in certain embodiments are methods of adjusting the dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder comprising comparing a fasting blood ammonia level for the subject to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the dosage of the nitrogen scavenging drug is increased, and if the dosage is less than or equal to half the ULN the dosage of the nitrogen scavenging drug is not increased. In certain embodiments, the methods further comprise administering the increased dosage. In certain of these embodiments, administration of the increased dosage results in a normal average daily ammonia level in the subject.

[0027] Provided herein in certain embodiments are methods of adjusting the dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the dosage of the nitrogen scavenging drug is increased, and if the dosage is less than or equal to half the ULN the dosage of the nitrogen scavenging drug is not increased. In certain embodiments, the methods further comprise administering the increased dosage. In certain of these embodiments, administration of the increased dosage results in a normal average daily ammonia level in the subject.

**[0028]** Provided herein in certain embodiments are methods of adjusting the dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder comprising

measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the dosage of the nitrogen scavenging drug is increased, and if the dosage is significantly less than half the ULN, the dosage of the nitrogen scavenging drug may be decreased. In certain embodiments, the methods further comprise administering the adjusted dosage. In certain of these embodiments, administration of the adjusted dosage results in a normal average daily ammonia level in the subject.

**[0029]** Provided herein in certain embodiments are methods of adjusting the dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder comprising administering an initial dosage of the nitrogen scavenging drug, measuring fasting blood ammonia level, and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, subsequent maintenance dosages of the nitrogen scavenging drug are adjusted to be greater than the initial dosage. In certain embodiments, the methods further comprise administering the increased maintenance dosage results in a normal average daily ammonia level in the subject.

**[0030]** Provided herein in certain embodiments are methods of adjusting the dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder to achieve a fasting blood ammonia level that is less than or equal to half the ULN for blood ammonia comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the subject is administered an increased dosage of the nitrogen scavenging drug. After a time period sufficient for the drug to reach steady state (e.g., 48 hours, 48 to 72 hours, 72 hours to 1 week, 1 week to 2 weeks, greater than 2 weeks), fasting blood ammonia level is measured again and compared to a ULN for blood ammonia. If the fasting blood ammonia level is measured again and compared to a ULN for blood ammonia.

**[0031]** Provided herein in certain embodiments are methods for assessing whether a subject with a nitrogen retention disorder is more or less likely to need a dosage adjustment of a nitrogen scavenging drug comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia, wherein a fasting blood ammonia level that is greater than half the value of ULN indicates that the subject is more likely to need a dosage adjustment and a fasting blood ammonia level

less than or equal to half the value of ULN indicates that the subject is less likely to need a dosage adjustment.

**[0032]** Provided herein in certain embodiments are methods of determining whether to administer a nitrogen scavenging drug to a subject with nitrogen retention disorder comprising comparing a fasting blood ammonia level for the subject to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, a nitrogen scavenging drug needs to be administered to the subject. In certain embodiments, these methods further comprise administering the nitrogen scavenging drug. In certain embodiments, the subject may not have been administered any nitrogen scavenging drugs prior to the determination. In other embodiments, the subject may have previously been administered a nitrogen scavenging drug other than the one being evaluated. In these embodiments, the methods provided herein can be used to determine whether to administer a new nitrogen scavenging drug to a subject.

[0033] Provided herein in certain embodiments are methods of determining whether to administer a nitrogen scavenging drug to a subject with nitrogen retention disorder comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, a nitrogen scavenging drug needs to be administered to the subject. In certain embodiments, these methods further comprise administering the nitrogen scavenging drug. In certain embodiments, the subject may not have been administered any nitrogen scavenging drugs prior to the determination. In other embodiments, the subject may have previously been administered a nitrogen scavenging drug other than the one being evaluated. In these embodiments, the methods provided herein can be used to determine whether to administer a new nitrogen scavenging drug to a subject.

**[0034]** Provided herein in certain embodiments are methods for selecting a dosage of a nitrogen scavenging drug for treating a nitrogen retention disorder in a subject based on blood ammonia levels comprising selecting a dosage that results in a fasting blood ammonia level that is less than or equal to half the ULN for blood ammonia. In certain embodiments, selecting the effective dosage is further based on diet, endogenous waste nitrogen excretion capacity, or any combination thereof. In certain embodiments, the methods further comprise administering the selected dosage.

**[0035]** Provided herein in certain embodiments are methods of treating a subject with a nitrogen retention disorder who has previously been administered a nitrogen scavenging drug comprising measuring a fasting blood ammonia level for the subject and comparing the

fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the subject is administered an increased dosage of the nitrogen scavenging drug. If the fasting blood ammonia level has a value that is less than or equal to half the ULN, the subject is administered the same dosage or a decreased dosage of the nitrogen scavenging drug. In certain embodiments, administration of an increased dosage results in a normal average daily ammonia level in the subject. **[0036]** Provided herein in certain embodiments are methods of treating a subject with a nitrogen retention disorder who has previously been administered an initial dosage of a nitrogen scavenging drug comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the subject is administered a maintenance dosage that is greater than the initial dosage of the nitrogen scavenging drug. If the fasting blood ammonia level has a value that is less than or equal to half the ULN, the subject is administered the initial dosage or a lower dosage. In certain embodiments, administration of an increased maintenance dosage results in a normal average daily ammonia level in the subject.

**[0037]** Provided herein in certain embodiments are methods of treating a subject with a nitrogen retention disorder comprising administering a nitrogen scavenging drug, then measuring a fasting blood ammonia level for the subject at some point after drug administration and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the subject is administered an increased dosage of the nitrogen scavenging drug. If the fasting blood ammonia level has a value that is less than or equal to half the ULN, the subject is administered the original or a lower dosage of the drug.

**[0038]** Provided herein in certain embodiments are methods of treating a subject with a nitrogen retention disorder comprising administering a first dosage of a nitrogen scavenging drug, measuring a fasting blood ammonia level for the subject, and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, a second dosage of a nitrogen scavenging drug that is greater than the first dosage is administered to the subject. A fasting ammonia blood level is measured again in the subject and compared to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the uthat is greater than blood level is measured again in the subject and compared to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, a third dosage of a nitrogen scavenging drug that is greater than the first dosage is administered to the subject.

This process is repeated until the subject exhibits a fasting blood ammonia level with a value less than or equal to half the ULN.

**[0039]** Provided herein in certain embodiments are methods of monitoring the efficacy of nitrogen scavenging drug administration in a subject with a nitrogen retention disorder who has previously been administered a nitrogen scavenging drug comprising measuring a fasting blood ammonia level for the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the fasting blood ammonia level has a value that is greater than half the ULN, the previously administered dosage of the nitrogen scavenging drug is considered inadequate to treat the nitrogen retention disorder. If the fasting blood ammonia level has a value that is less than or equal to half the ULN, the previously administered dosage is considered adequate to treat the nitrogen retention disorder. In certain embodiments where the previously administered dosage is considered inadequate to treat the nitrogen retention disorder. In certain embodiments where the previously administered dosage is considered inadequate to treat the nitrogen retention disorder. In certain embodiments where the previously administered dosage is considered inadequate to treat the nitrogen retention disorder. In certain embodiments where the previously administered dosage is considered inadequate to treat the nitrogen retention disorder.

**[0040]** Provided herein in certain embodiments are methods for monitoring therapy with a nitrogen scavenging drug in a subject having a nitrogen retention disorder comprising measuring a fasting blood ammonia level from the subject and comparing the fasting blood ammonia level to a ULN for blood ammonia, wherein a fasting blood ammonia level that is greater than half the ULN indicates that the subject is more likely to need a dosage adjustment of the nitrogen scavenging drug, and wherein a fasting blood ammonia level less than or equal to half the ULN indicates that the subject is less likely to need a dosage adjustment.

[0041] A nitrogen retention disorder as used herein refers to any condition associated with elevated blood nitrogen/ammonia levels. In certain embodiments, a nitrogen retention disorder may be a UCD. In other embodiments, a nitrogen retention disorder may be HE. [0042] A nitrogen scavenging drug as used herein refers to any drug that decreases blood nitrogen and/or ammonia levels. In certain embodiments, a nitrogen scavenging drug may remove nitrogen in the form of PAGN, and in certain of these embodiments the nitrogen scavenging drug may be an orally administrable drug that contains or is metabolized to PAA. For example, a nitrogen scavenging drug may be a PAA prodrug such as PBA or HPN-100, a pharmaceutically acceptable salt of PBA such as NaPBA, or a pharmaceutically acceptable salt of PAA prodrug. In other embodiments, a nitrogen scavenging drug may remove nitrogen via hippuric acid. In certain of these embodiments, a nitrogen scavenging drug may be benzoic acid, a pharmaceutically acceptable salt of benzoic acid

such as sodium benzoate, or a pharmaceutically acceptable ester, acid, or derivative of benzoic acid.

**[0043]** Increasing the dosage of a nitrogen scavenging drug may refer to increasing the amount of drug per administration (e.g., an increase from a 3 mL dosage to a 6 mL dosage), increasing the number of administrations of the drug (e.g., an increase from once-a-day dosing to twice- or three-times-a-day), or any combination thereof.

**[0044]** A subject that has previously been administered a nitrogen scavenging drug may have been administered the drug for any duration of time sufficient to reach steady state. For example, the subject may have been administered the drug over a period of 2 to 7 days, 1 week to 2 weeks, 2 weeks to 4 weeks, 4 weeks to 8 weeks, 8 weeks to 16 weeks, or longer than 16 weeks.

**[0045]** In certain embodiments of the methods disclosed herein, the fasting period for obtaining a fasting blood ammonia level is overnight. In certain embodiments, the fasting period is 4 hours or more, 5 hours or more, 6 hours or more, 7 hours or more, 8 hours or more, 9 hours or more, 10 hours or more, 11 hours or more, or 12 hours or more, and in certain embodiments the fasting period is 4-8 hours, 6-8 hours, or 8-12 hours. During the fasting period, the subject preferably does not ingest any food. In certain embodiments, the subject may also refrain from ingesting certain non-food substances during the fasting period. For example, in certain embodiments the subject does not ingest any supplements and/or nitrogen scavenging drugs during the fasting period. In certain of these embodiments, the subject may nonetheless ingest one or more drugs other than nitrogen scavenging drugs during the fasting period. In certain of these embodiments, the subject does not ingest any high calorie liquids during the fasting period. In certain of these embodiments, the subject does not ingest any high calorie liquids other than water during the fasting period. In other embodiments, the subject may ingest small amounts of low calorie beverages, such as tea, coffee, or diluted juices.

**[0046]** In certain embodiments of the methods disclosed herein, blood samples used for measuring fasting blood ammonia levels and/or ULN blood ammonias are venous blood samples. In certain embodiments, a blood sample is a plasma blood sample. Any methods known in the art may be used to obtain a plasma blood sample. For example, blood from a subject may be drawn into a tube containing heparin or ethylenediaminetetraacetic acid (EDTA). In certain embodiments, the sample can be placed on ice and centrifuged to obtain plasma within 15 minutes of collection, stored at 2-8°C (36-46°F) and analyzed within 3 hours of collection. In other embodiments, the blood plasma sample is snap frozen, stored at

 $\leq$ -18°C ( $\leq$ 0°F) and analyzed at a later time. For example, the sample may be analyzed at 0-12 hours, 12-24 hours, 24-48, 48-96 hours after freezing, or within any other timeframe over which the sample has demonstrated stability. In certain embodiments, blood samples are taken in a laboratory or hospital setting. In certain embodiments, a single fasting blood sample is used to measure fasting blood ammonia level. However, in other embodiments, multiple fasting blood samples may be obtained. In certain embodiments, a subject's blood ammonia level may be monitored throughout the day. Further, in certain embodiments, the methods disclosed herein comprise an additional step of obtaining one or more blood samples from a subject prior to or after measuring fasting blood ammonia level.

**[0047]** In certain embodiments, a blood sample is analyzed immediately after collection. In other embodiments, the blood sample is stored for some period between collection and analysis. In these embodiments, the sample may be stored for less than 1 hour, 1 hour to 6 hours, 1 hour to 12 hours, 1 hour to 24 hours, or 1 hour to 48 hours. In certain of these embodiments, the blood sample is stored at a temperature between 0-15°C, such as 2-8°C. In other embodiments, the blood sample is stored below 0°C or below -18°C.

**[0048]** Measurement of ammonia levels in a fasting blood sample is carried out using techniques known in the art. For example, ammonia levels may be measured using a colorimetric reaction or an enzymatic reaction. In certain embodiments, a colorimetric reaction may involve the use of bromophenol blue as an ammonia indicator. In these embodiments, ammonia may react with bromophenol blue to yield a blue dye. In certain embodiments, an enzymatic reaction may involve glutamate dehydrogenase catalyzing the reductive amination of 2-oxoglutarate with NH<sup>4+</sup> and NADPH to form glutamate and NADP<sup>+</sup>. The formation of NADP<sup>+</sup> formed is directly proportional to the amount of ammonia present in the blood sample. Therefore, the concentration of ammonia is measured based on a decrease in absorbance.

**[0049]** In certain embodiments of the methods disclosed herein, a subject exhibiting a fasting blood ammonia level less than or equal to half the ULN for blood ammonia has an average likelihood within a confidence interval that their average daily ammonia level will remain within a normal average daily ammonia level. In certain embodiments, the average likelihood of having a normal daily ammonia value is 80% to 90%. In certain embodiments, one may predict with 95% confidence that a blood ammonia level will fall within a certain range. In certain embodiments, one can predict with 95% confidence that a true probability of predicting normal values based on fasting blood ammonia is between 65% and 93%. In other embodiments, one can predict with 80% confidence that a true probability of predicting

normal values based on fasting blood ammonia is at least 70%. In certain embodiments, the average likelihood of predicting normal ammonia value based on fasting blood ammonia is about 84% with 95% confidence that the true probability is between 65% and 93%. **[0050]** In certain embodiments of the methods disclosed herein, a subject exhibiting a fasting blood ammonia level less than or equal to half the ULN for blood ammonia has an average likelihood within a confidence interval that their maximum daily blood ammonia level will not exceed 1.5 times the ULN for blood ammonia. In certain embodiments, the confidence interval is a 95% confidence interval. In certain embodiments, the average likelihood is about 70% to 80%. In certain embodiments, the average likelihood is about 75% with 95% confidence that the true probability is between 58% and 86%.

**[0051]** In certain embodiments of the methods disclosed herein, a subject exhibiting a fasting blood ammonia level less than or equal to half the ULN for blood ammonia has an average likelihood within a confidence interval that their maximum daily blood ammonia level will be less than 100  $\mu$ mol/L. In certain of these embodiments, the average likelihood is 90% to 98%. In certain embodiments, the confidence interval is 95%. In certain embodiments, the average likelihood is about 93% with 95% confidence that the true probability is between 77% and 100%.

**[0052]** The maximal ammonia value refers to the maximum amount of ammonia that may be detected in a subject following consumption of meals, if repeated measurement of blood ammonia can be instituted to detect such maximum value over an extended period of time. Based on well-controlled clinical trials with repeated blood sampling over 24 hours, the maximum blood ammonia has been observed to occur following the third major meal of the day in the early to mid evening hours (4-8PM, assuming that breakfast is approximately 8AM; see, e.g., Lee 2010; Lichter-Konecki 2011).

**[0053]** The ULN for blood ammonia typically represents the highest level in the range of normal values, which may be influenced by a variety of factors such as the assay method, types of regents, standard reference samples used, and specifications and calibration of equipment used to perform the measurement. In certain embodiments of the methods disclosed herein, the ULN for blood ammonia is determined for a subject individually. In other embodiments, the ULN for blood ammonia may be based on measurements obtained across a range of subjects (i.e., subjects with UCD or with a particular subtype of UCD, subjects with HE, healthy subjects, etc.). In certain embodiments, the ULN for blood ammonia may represent a standard reference value disclosed in the art, such as a mean ULN

developed across a particular subset of subjects. In other embodiments, the ULN for blood ammonia may represent a standard measurement that has been developed by a particular entity that performs blood draws and/or blood evaluations, such as a particular clinical laboratory. In certain embodiments, the ULN is a standard reference value utilized by the same entity that measures the fasting blood ammonia level. In these embodiments, one skilled in the art will appreciate that interpretation of average daily ammonia in subject with a nitrogen retention disorder must be made relative to the reference range of normal values at the laboratory in which the ammonia was measured. Furthermore, the units of ammonia measurement may also vary from lab to lab (e.g., µg/mL or µmoI/L), emphasizing the importance of interpreting the subject's ammonia levels relative to the ULN at the laboratory in which the measurement was performed. In certain embodiments, the ULN for blood ammonia may be in the range of 26-64  $\mu$ mol/L. In certain of these embodiments, the ULN for blood ammonia may be in the range of 32-38 µmol/L or 34-36 µmol/L, and in certain of these embodiments the ULN for blood ammonia is 35 µmol/L. In certain embodiments, the ULN for blood ammonia may be in the range of 50-65  $\mu$ g/mL. In certain of these embodiments, the ULN for blood ammonia may be in the range of 55-63  $\mu$ g/mL or 57-61 µg/mL, and in certain of these embodiments the ULN for blood ammonia is 59 µg/mL. **[0054]** In certain embodiments, the average daily ammonia is the average amount of ammonia an individual may experience during the day, if serial blood sampling were performed for ammonia measurements. In well-controlled clinical studies, it has been established that ammonia fluctuates several fold during the day, depending on the timing of blood draw relative to food and drug intake. Due to these fluctuations, the timing of individual or serial blood sampling should be controlled relative to the timing of food and drug intake. Even serial sampling may not be enough to capture the peaks and troughs of the fluctuating ammonia values, unless samples are taken frequently enough. Therefore, obtaining a simple average of several measurements may provide inadequate or misleading information regarding the total ammonia burden a subject may experience during the day. [0055] Provided herein are methods to better estimate a subject's average daily ammonia assessed as the area under the curve for 24-hr ammonia (ammonia  $AUC_{0.24hr}$ ) obtained from adequate and well-spaced samples over 24 hours. This ammonia  $AUC_{0.24hr}$  can be further normalized for the entire actual period of sampling, i.e., ammonia AUC<sub>0-24hr</sub> is divided by the sampling period (e.g., 24 hours). For example, if an AUC of 1440 µmol\*hr/L is calculated using the trapezoidal rule based on 8-11 ammonia values obtained over 24 hours, then the average daily ammonia value or time-normalized AUC<sub>0-24br</sub> would be equal to 1440

µmol\*hr/ml divided by the sampling time of 24 hr, or 60 µmol/L. If the normal reference range at the laboratory which performed the ammonia analysis was 10-35 µmol/L, then the average daily ammonia value for this subject would be approximately 1.71 times the ULN of 35 µmol/L. Similarly, if the ammonia AUC<sub>0-24hr</sub> was determined to be equal to 840 µmol\*hr/L based on multiple, well-spaced samples over 24 hours and analyzed at the same laboratory, and the sampling period was 24 hours, then the time-normalized AUC<sub>0-24hr</sub> would be 35 µmol/L. This corresponds to an average ammonia or daily ammonia burden within the ULN. Finally, subjects with nitrogen retention disorders such as UCDs may experience a hyperammonemic crisis, which is often defined clinically as a blood level exceeding 100 µmol/L and clinical manifestations of hyperammonemia, which may require intervention to prevent irreversible hard and enable recovery.

**[0056]** Provided herein are methods of adjusting nitrogen scavenging drug dosage by measuring fasting blood ammonia to minimize the likelihood a subject may experience an ammonia value (Cmax) over 24 hours that exceeds  $100 \mu mol/L$ . It has been found that 100µmol/L corresponds to approximately 2-3 times the ULN in most laboratories. Previously, if a subject with a nitrogen retention disorder such as UCD had a blood ammonia level within or slightly above the normal reference range for the laboratory which performed the analysis, the subject was considered to be in good clinical control regardless of the timing of the blood draw in relation to meals and last administration of drug dose. However, it has been shown that a subject with a UCD who has a fasting blood ammonia level between the ULN and 1.5 times the ULN (e.g., 35 to 52  $\mu$ mol/L) has an average likelihood of only 45% (with a 95%) confidence interval of 21% to 70%) that his or her average daily ammonia is within the normal range; an average likelihood of only 35% (with a 95% confidence interval of 13% to 60%) that his or her maximal level of ammonia during the day is less than 1.5 times the ULN (e.g., 52 µmol/L); and an average likelihood of 25% that his or her maximal daily ammonia level exceeds 100 µmol/L during the day. Thus, after measuring a UCD subject's fasting blood ammonia, the dosage of a nitrogen scavenging drug may be progressively increased and/or his or her protein intake progressively decreased until the fasting ammonia value is less than or equal to half of the ULN for the local laboratory in which the ammonia analysis was performed.

**[0057]** In certain embodiments of the methods disclosed herein, one or more factors other than ammonia level may be taken into consideration when evaluating nitrogen scavenging drug dosage. For example, blood ammonia measurements may be combined with urinary PAGN measurements in determining whether to administer a nitrogen scavenging drug,

adjusting the dosage of a nitrogen scavenging drug, or treating a nitrogen retention disorder. US Patent Publication No. 2010/0008859 discloses that urinary PAGN levels correlate more closely to PBA prodrug dosage than plasma PAA, PBA, or PAGN levels, and further discloses that PBA prodrugs are converted to urinary PAGN with a mean efficiency of 60-75%. Therefore, certain embodiments of the methods disclosed herein comprise an additional step wherein urinary PAGN levels are measured. In certain of these embodiments, calculation of an effective dosage of nitrogen scavenging drug is based in part on a mean 60-75% conversion of PAA prodrug to urinary PAGN. For example, in certain embodiments the methods disclosed herein for determining whether to administer a nitrogen scavenging drug to a subject comprise an additional step of measuring urinary PAGN and calculating an effective initial dosage based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%. Similarly, in certain embodiments the methods disclosed herein for adjusting the dosage of a nitrogen scavenging drug comprise an additional step of measuring urinary PAGN and calculating an effective dosage based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%. In certain of these embodiments, the effective dosage is calculated based on a target nitrogen output. In certain embodiments, urinary PAGN may be determined as a ratio of the concentration of urinary PAGN to urinary creatinine. In certain embodiments, urinary PAGN is a factor that is taken into consideration when determining whether to administer or increase the dosage of a nitrogen scavenging drug, i.e., urinary PAGN is evaluated in combination with ammonia level to determine whether to administer or increase the dosage of the drug. In other embodiments, ammonia level alone is used to determine whether to administer or increase the dosage of a nitrogen scavenging drug, and urinary PAGN is simply used to calculate the initial or adjusted dosage.

**[0058]** One skilled in the art will recognize that a variety of other factors may be taken into consideration when determining the effective dosage of a nitrogen scavenging drug. For example, factors such as diet (e.g., protein intake) and endogenous waste nitrogen capacity (e.g., urea synthesis capacity) may be considered.

**[0059]** Provided herein in certain embodiments are kits for carrying out the methods disclosed herein. In certain embodiments, kits are provided for determining whether to administer or adjust the dosage of a nitrogen scavenging drug for a subject with a nitrogen retention disorder. The kits disclosed herein may include one or more nitrogen scavenging drugs and/or one or more reagents (e.g., bromophenol blue) or enzymes (e.g., glutamate dehydrogenase) to measure blood ammonia levels in a sample. The kit may additionally include other pigments, binders, surfactants, buffers, stabilizers, and/or chemicals necessary

to obtain a blood sample and to measure the ammonia level in the sample. In certain embodiments, the kits provided herein comprise instructions in a tangible medium. [0060] One of ordinary skill in the art will recognize that the various embodiments described herein can be combined.

**[0061]** The following examples are provided to better illustrate the claimed invention and are not to be interpreted as limiting the scope of the invention. To the extent that specific materials are mentioned, it is merely for purposes of illustration and is not intended to limit the invention. One skilled in the art may develop equivalent means or reactants without the exercise of inventive capacity and without departing from the scope of the invention. It will be understood that many variations can be made in the procedures herein described while still remaining within the bounds of the present invention. It is the intention of the inventors that such variations are included within the scope of the invention.

# **Examples**

Example 1: Analysis of predictability of pharmacodynamic ammonia values from fasting ammonia in UCD patients:

**[0062]** This example demonstrates the relationship between fasting ammonia and the pharmacodynamic (PD) profile of daily ammonia in patients receiving PAA prodrugs for UCDs. Ammonia values vary many-fold over the course of 24 hours in UCD patients. As depicted in Figures 3a and 3b, venous ammonia was measured for 24 hours following one week of dosing with either NaPBA or glycerol phenylbutyrate (GPB). The graphs display ammonia values as mean ±SD over 24 hours, where time zero corresponds to just prior to dosing and breakfast (i.e., fasting state). In view of this variability in daily ammonia levels, a single measurement may not be very informative in determining whether a UCD patient is optimally dosed. The ability to predict the highest potential ammonia a UCD patient may experience during the day and the average 24-hour ammonia from a single measurement such as fasting levels has important practical implications for nitrogen scavenging drug dosing guidelines and patient management.

**[0063]** Data from two Phase 2 studies and one Phase 3 study comparing ammonia control assessed by 24-hour sampling during steady state treatment with HPN-100 versus NaPBA in 65 UCD patients were used for the analysis. The two Phase 2 studies include protocols UP 1204-003 and HPN-100-005 (Lee 2010; Lichter-Konecki 2011). The Phase 3 study includes protocols from HPN-100-006 (Diaz 2011).

**[0064]** Ammonia values obtained from different hospital laboratories with different normal ranges were normalized to a standard laboratory range of 9-35 µmol/L. The patient

population included a broad range of ages, UCD subtypes, and doses of drug, and is summarized in Table 1 below.

| Gender            | Male            | 18 (27.7)       |  |
|-------------------|-----------------|-----------------|--|
| n (%)             | Female          | 47 (72.3)       |  |
| Age at screening  | N               | 65              |  |
| (years)           | Mean (SD)       | 29.46 (15.764)  |  |
|                   | Median          | 24.00           |  |
|                   | Range           | 6.0-75.0        |  |
| UCD diagnosis     | OTC deficiency  | 57 (87.7)       |  |
| n (%)             | CPS1 deficiency | 1 (1.5)         |  |
|                   | ASS deficiency  | 5 (7.7)         |  |
|                   | ASL deficiency  | 1 (1.5)         |  |
|                   | Missing         | 1 (1.5)         |  |
| Duration of NaPBA | N               | 63              |  |
| treatment         | Mean (SD)       | 114.14 (90.147) |  |
| (months)          | Median          | 101.00          |  |
|                   | Range           | 0.2-300.0       |  |
| Daily dose NaPBA  | N               | 64              |  |
|                   | Mean (SD)       | 14.10 (6.255)   |  |
|                   | Median          | 13.50           |  |
|                   | Range           | 1.5-36.0        |  |

Table 1: UCD demographics in studies UP 1204-003, HPN-100-005, and HPN-100-006:

# [0065] <u>Exploratory analysis:</u>

**[0066]** Several PD parameters for steady-state ammonia were explored:  $AUC_{0-24hr}$ , timenormalized AUC, log AUC, maximal ammonia value over 24 hours (Cmax), and average ammonia. Data from 65 subjects from all three studies with steady-state ammonia and fasting ammonia were used. Missing data were imputed per procedures specified in the protocol and statistical analysis plan, except that no imputations were made for subjects who had no PK sampling conducted while on a given study drug.

**[0067]** Sample collection times of 0-hr (before first daily dose) and 24-hours post-dose (before first daily dose of the following day) were both evaluated as representative of fasting ammonia. No noticeable difference in the shape or quality of the relationship due to the choice of time point was observed.

**[0068]** The relationship between fasting ammonia and pharmacokinetic profile was evaluated separately for HPN-100 and NaPBA, with no apparent difference in the strength or magnitude of the relationship. Therefore, all data from both HPN-100 and NaPBA treatments were used and conclusions regarding fasting ammonia pertain to both HPN-100 and NaPBA.

**[0069]** The relationships between (1) fasting ammonia and  $AUC_{0-24hr}$  and (2) fasting ammonia and maximum observed ammonia (Cmax) were visually explored for the whole population. The effects of the following covariates were also observed: age, weight, gender, and dietary protein intake. A positive and strong relationship was observed between fasting ammonia and  $AUC_{0-24hr}$ , with increasing fasting ammonia being associated with higher  $AUC_{0-24hr}$  and maximum observed ammonia (Figure 2).

[0070] <u>Prediction of AUC<sub>0-24hr</sub> through GEE Modeling</u>:

[0071] The aim of this modeling was to predict average daily or highest achieved ammonia based on the subject's fasting ammonia. In order to take into account the differences in normal ranges at different laboratories, all ammonia values were normalized to a reference range of 9-35  $\mu$ mol/L, and the predictions were referenced to the ULN rather than a fixed value.

**[0072]** Generalized Estimating Equations (GEE) were used to model the predictive ability of fasting ammonia against various ammonia PD properties. GEE methodology can be used to analyze repeated measures of categorical data, in which the repeated measures are assumed to be correlated (Liang 1986). The model allows for the specification of the assumed correlation structure without the knowledge of the magnitude of the correlation.

**[0073]** The 24-hour ammonia profile was divided into ordered categories using a variety of endpoints and cutpoints as follows:

- 1) AUC [0-1.0\*ULN, >1.0\*ULN];
- 2) AUC [0-1.5\*ULN, >1.5\*ULN];
- 3) Cmax [0-1.0\*ULN, >1.0\*ULN];
- 4) Cmax [0-1.5\*ULN, >1.5\*ULN]; and
- 5) Cmax [0-100] µmol/L.

**[0074]** Three levels of fasting ammonia were considered in separate models as input:

- 1) [0-0.5\*ULN];
- 2) [>0.5\*ULN-<1.0 ULN]; and
- 3) [>1.0\*ULN-1.5\*ULN].

**[0075]** Using Statistical Analysis Software (SAS) Proc Genmod, generalized linear models were fit with a logit link function. Pre-dose fasting ammonia was the only predictor variable in the model. The repeated nature of the data (two study periods per subject) was modeled using GEE with exchangeable correlation matrix. ULN for fasting ammonia was set at 35  $\mu$ mol/L. ULN for AUC over 24 hours was taken as 840 (35  $\mu$ mol/L \* 24 hours); i.e., the AUC which corresponds to an average daily ammonia less than or equal to 35  $\mu$ mol/L, which

was the normalized ULN among the participating study sites and is derived by dividing the 24-hour area under the curve by the sampling time of 24 hours. The GEE model was bootstrap-resampled 1,000 times according to the method outlined in Davison, A.C. & Hinkley, D.V., Bootstrap Methods and their Application, Cambridge University Press, London (1997), pp.358-362. The results of these models are shown in Table 2 below. <u>Table 2</u>: Summary of results from GEE model to predict ability of fasting ammonia against various ammonia PD properties:

| Model<br># | Fasting<br>ammonia<br>level | Ammonia<br>PK<br>outcome          | Probability of<br>outcome in<br>category | Bootstrap<br>95% c.i. | Bootstrap<br>80% c.i. | Bootstrap<br>pred. error<br>rate*<br>(%) |  |
|------------|-----------------------------|-----------------------------------|--|-----------------------|-----------------------|--|--|
| 1          | 1 [0-0.5<br>ULN]            | AUC in 24<br>hours [0-1.0<br>ULN] | 0.84                                     | 0.67, 0.93            | 0.71, 0.89            | 11.5                                     |  |
| 2          |                             | AUC in 24<br>hours [0-1.5<br>ULN] | Did not converge                         |                       |                       |  |  |
| 3          |                             | Cmax<br>observed [0-<br>1.0 ULN]  | 0.53                                     | 0.38, 0.65            | 0.42, 0.61            | 45.8                                     |  |
| 4          |                             | Cmax<br>observed [0-<br>1.5 ULN]  | 0.76                                     | 0.61, 0.86            | 0.66, 0.82            | 23.3                                     |  |
| 5          |                             | Cmax<br>observed [0-<br>100]      | 0.93                                     | 0.78, 1.00            | 0.85, 0.97            | 5.7                                      |  |
| 6          | [0-<1.0<br>ULN]             | AUC in 24<br>hours [0-1.0<br>ULN] | 0.58                                     | 0.42, 0.73            | 0.48, 0.68            | 42.8                                     |  |
| 7          |                             | AUC in 24<br>hours [0-1.5<br>ULN] | 0.88                                     | 0.78, 0.97            | 0.82, 0.94            | 11.1                                     |  |
| 8          |                             | AUC in 24<br>hours [0-2<br>ULN]   | 0.97                                     | 0.90, 1.00            | 0.93, 1.00            | 2.2                                      |  |
| 9          |                             | Cmax<br>observed [0-<br>1.0 ULN]  | 0.21                                     | 0.11, 0.38            | 0.14, 0.33            | 20.0                                     |  |
| 10         |                             | Cmax<br>observed [0-<br>1.5 ULN]  | 0.52                                     | 0.35, 0.66            | 0.42, 0.61            | 46.0                                     |  |
| 11         |                             | Cmax<br>observed [0-<br>2.0 ULN]  | 0.74                                     | 0.62, 0.85            | 0.91, 1.00            | 27.2                                     |  |
| 12         |                             | Cmax<br>observed [0-              | 0.95                                     | 0.88, 1.00            | 0.66, 0.81            | 4.3                                      |  |

|    |           | 100]         |                  |            |            |    |
|----|-----------|--------------|------------------|------------|------------|----|
| 13 | [>1.0-1.5 | AUC in 24    | 0.45             | 0.24, 0.71 | 0.30, 0.63 | 43 |
|    | ULN]      | hours [0-1.0 |                  |            |            |    |
|    |           | ULN]         |                  |            |            |    |
| 14 |           | AUC in 24    | Did not converge |            |            |    |
|    |           | hours [0-1.5 |                  |            |            |    |
|    |           | ULN]         |                  |            |            |    |
| 15 |           | AUC in 24    | 0.80             | 0.49, 0.99 | 0.63, 0.92 | 27 |
|    |           | hours [0-2   |                  |            |            |    |
|    |           | ULN]         |                  |            |            |    |
| 16 |           | Cmax         | Did not converge |            |            |    |
|    |           | observed [0- |                  |            |            |    |
|    |           | 1.0 ULN]     |                  |            |            |    |
| 17 |           | Cmax         | 0.35             | 0.16, 0.58 | 0.23, 0.51 | 33 |
|    |           | observed [0- |                  |            |            |    |
|    |           | 1.5 ULN]     |                  |            |            |    |
| 18 |           | Cmax         | Did not converge |            |            |    |
|    |           | observed [0- |                  |            |            |    |
|    |           | 2.0 ULN]     |                  |            |            |    |
| 19 |           | Cmax         | Did not converge |            |            |    |
|    |           | observed [0- |                  |            |            |    |
|    |           | 100]         |                  |            |            |    |

**[0076]** From Table 2 above, we can conclude that in the population of UCD patients described in Table 1, we can be 95% confident that, given a fasting ammonia less than or equal to half the ULN, the true probability of having an AUC in the range [0-840] is on average 84%, at least 67%, and as high as 93%.

**[0077]** Row 1 of Table 2 above suggests that a UCD patient with a fasting ammonia of 17  $\mu$ mol/L as determined by a laboratory with a normal reference range of 9-35  $\mu$ mol/L (i.e., a fasting ammonia in the range [0-0.5 ULN]) has an 84% chance (with a 95% confidence interval of 67% to 93%) of having a time normalized AUC<sub>0-24hr</sub> in the normal range [AUC<sub>0-24hr</sub> of 0-840 or an average daily ammonia of 35  $\mu$ mol/L], a 76% chance (with a 95% confidence interval of 61% to 86%) of having a Cmax of less than 1.5 ULN, and a 93% chance (with a 95% confidence interval of 78% to 100%) of never having an ammonia of more than 100  $\mu$ mol/L. Therefore, this patient would be optimally controlled and unlikely to suffer from high ammonia during the day.

**[0078]** This Example shows that fasting ammonia correlates strongly with daily ammonia exposure, assessed as a daily average or as maximal daily concentration, and that a target fasting value which does not exceed half of the upper level of normal for the local lab appears to be a clinically useful as well as practical predictor of ammonia values over 24 hours as well. Furthermore, this Example shows that a subject with a fasting ammonia in the range 0-

0.5 ULN has an 84% chance of having an  $AUC_{0-24hr}$  in the normal range (0-840 or an average daily ammonia of 35 µmol/L).

Example 2: Selecting and adjusting HPN-100 dosage based on fasting blood ammonia levels in a patient with UCD:

**[0079]** Patient A is an adult with UCD being managed with amino acid supplements and dietary protein restriction only. Patient A consumes neither his supplements nor food for approximately 8 hours prior to a fasting morning blood draw. A venous blood draw is performed, and fasting blood ammonia level is determined to be 52  $\mu$ mol/L. This fasting blood ammonia level is compared to the ULN for blood ammonia in the laboratory performing the blood draw, which is 35  $\mu$ mol/L. Based on the correlation of fasting ammonia level to average ammonia level, it is determined that Patient A's fasting blood ammonia level of approximately 1.5 times the ULN represents only a 45% chance on average of having an average ammonia during the day within the normal range. Thus, the ratio of fasting blood ammonia level to ULN for blood ammonia indicates that Patient A will benefit from treatment with a nitrogen scavenging drug.

**[0080]** The physician elects to treat Patient A with HPN-100. Initial dosage is determined based on body surface area or as otherwise instructed according to HPN-100 drug labeling. Patient A's body surface area is  $1.4 \text{ m}^2$ , and therefore the initial dosage is determined to be 9 mL per day or 3 mL TID, which is approximately 60% of the maximum allowed dosage per HPN-100 label. Patient A is treated with 9mL/day of HPN-100 for at least 7 days, and returns for an additional blood draw. The fasting blood ammonia level at this time is 33 µmol/L, which is slightly below the ULN and falls into the range of 0.5 to 1.0 times normal. Patient A's blood ammonia level is monitored throughout the day after administration of a 3 mL dose of HPN-100 with each meal. It is observed that Patient A's maximum ammonia reaches 95 µmol/L after dinner with an average daily ammonia of 66 µmol/L, which is almost two times the upper normal range. Therefore, Patient A's dosage of HPN-100 is increased by approximately one-third to 12 mL total or 4 mL TID. Patient A returns after at least 7 days of treatment with HPN-100. Patient A's fasting ammonia level is 15 µmol/L, which is less than half of the ULN range. It is determined that Patient A has reached satisfactory ammonia control.

**[0081]** It is expected that if Patient A adheres to his prescribed diet, his maximal daily ammonia is not expected to exceed approximately 52  $\mu$ mol/L, i.e., approximately 1.5 times the ULN, with an average likelihood of 75% with 95% confidence. The average ammonia level during the day is expected to remain within normal range with greater than 84%

likelihood and 95% confidence. Moreover, Patient A's maximal daily ammonia is highly unlikely to reach 100 µmol/L during the day.

Example 3: Adjusting HPN-100 dosage based on fasting blood ammonia levels in a patient with UCD:

**[0082]** Patient B is an 11-year UCD patient receiving 24 pills of BUPHENYL<sup>®</sup> per day, amino acid supplements, and restricted dietary protein intake. Patient B does not consume BUPHENYL<sup>®</sup>, supplements, or food for approximately 6 hours prior to a fasting morning blood draw. A venous blood draw is performed, and fasting blood ammonia level is determined to be 40 µmol/L. This fasting blood ammonia level is compared to the ULN for blood ammonia for the laboratory performing the blood draw, which is 35 µmol/L. Based on the correlation of fasting ammonia level to average ammonia level, it is determined that Patient B's fasting blood ammonia level falling between 1 and 1.5 times the ULN represents a 55% chance of having an average ammonia during the day that is greater than the normal range, and as high as a 65% chance that her ammonia will go above 52 µmol/L or 1.5 times ULN during the day.

**[0083]** Based on discussion with the patient and her mother, the physician suspects that Patient B is noncompliant with her medication, and decides to change her to HPN-100. The initial dosage is determined based on the amount of BUPHENYL<sup>®</sup> Patient B was receiving, and it is determined that Patient B needs to take 10.5 mL of HPN-100 per day. Patient B is treated with 3.5mL of HPN-100 3 times a day for at least 7 days, and returns for additional blood draws. Her fasting blood ammonia level at this time is 17  $\mu$ mol/L, which is below the ULN and falls into the range of 0 to 0.5 times normal. It is determined that Patient B has reached satisfactory ammonia control.

**[0084]** It is expected that if Patient B adheres to her prescribed diet, her maximal daily ammonia will not go above approximately 50 µmol/L, which is less than 1.5 times the ULN. Her average ammonia level during the day is expected with greater than 84% average likelihood to remain within normal range. Moreover, there is only a small chance (7%) that Patient B's maximal daily ammonia will exceed 100 µmol/L during the day. Example 4: Selecting and adjusting sodium benzoate dosage based on fasting blood ammonia levels in a patient with UCD:

**[0085]** Patient C is an adult UCD patient who is allergic to PBA and is therefore being managed with amino acid supplements and dietary protein restriction only. Patient C complains of chronic headache and frequent nausea. Patient C consumes neither his supplements nor food for approximately 8 hours prior to a fasting morning blood draw. A

#### PCT/US2012/028620

## WO 2013/048558

venous blood draw is performed, and fasting blood ammonia level is determined to be 77  $\mu$ mol/L. This fasting blood ammonia level is compared to the ULN for blood ammonia for the laboratory performing the blood draw, which is 35  $\mu$ mol/L. Based on the correlation of fasting ammonia level to average ammonia level, it is determined that Patient C's fasting blood ammonia level of approximately 2 times the ULN represents a high likelihood of ammonia levels going over 100  $\mu$ mol/L during the day. Thus, the ratio of fasting blood ammonia level to ULN for blood ammonia indicates that Patient C will benefit from treatment with a nitrogen scavenging drug.

**[0086]** The physician decides to treat Patient C with 15 g of sodium benzoate per day since the patient is allergic to PBA. Patient C is treated with 15 g/day of sodium benzoate for at least 7 days, and returns for additional blood draws. Fasting blood ammonia level at this time is 35  $\mu$ mol/L, which is equal to the ULN. Patient C's dosage of sodium benzoate is increased by approximately 30% to 18 grams per day. After at least 7 days of treatment, Patient C's fasting ammonia level is 15  $\mu$ mol/L, which is less than half of the ULN. It is determined that Patient C has reached satisfactory ammonia control.

[0087] It is expected that if Patient C adheres to his prescribed diet and medication, his maximal daily ammonia will not exceed approximately 52  $\mu$ mol/L, which is approximately 1.5 times the ULN. His average ammonia level during the day is expected with greater than 80% likelihood to remain within normal range. Moreover, Patient C's maximal daily ammonia is highly unlikely to reach 100  $\mu$ mol/L during the day.

Example 5: Evaluation of the effect of ammonia control on neurocognitive outcome: [0088] It has been shown that UCD patients are likely to suffer from diminished intelligence and impaired neurocognitive functions (Kirvitsky 2009). These neuropsychological impairments have been attributed to repeated episodes of acute hyperammonemia interspersed on chronically elevated ammonia. Abnormalities in neuropsychological function and/or brain imaging have been detected even in UCD patients with mild disorders who exhibit normal IQ and/or appear clinical normal (Gropman 2008a; Gropman 2008b). Therefore, it was hypothesized that maintaining average daily ammonia within normal limits and thereby reducing the long term ammonia burden could result in improved cognition.

**[0089]** The relationship between reducing ammonia burden by maintaining fasting ammonia at or close to half ULN and neuropsychological outcomes in pediatric UCD patients was explored in clinical trials. Eleven pediatric patients ages 6-17 were enrolled in short term switch over comparison of NaPBA and HPN-100 in controlling ammonia. These patients

#### PCT/US2012/028620

## WO 2013/048558

underwent 24-hr serial sample collection in a confined setting where the last sample at 24 hr was considered fasting and under supervision of the study personnel. At the end of treatment with HPN-100 the average fasting ammonia at 24-hr time point was 15.5 µmol/L or less than half ULN, indicating good clinical control. These 11 patients along with another 15 pediatric patients were enrolled in two long term studies and received HPN-100 for 12 months, during which monthly fasting ammonia were collected. At the time of enrollment and at the end of the study, all patients underwent assessment for neuropsychological outcomes including the following: BRIEF (Behavior Rating Inventory of Executive Function) to assess day-to-day executive functioning, CBCL (Child Behavior Checklist) to evaluate internalizing (e.g., mood/anxiety) and externalizing behaviors, and WASI (Wechsler Abbreviated Scale of Intelligence) to estimate of intellectual ability.

**[0090]** During the 12 month treatment with HPN-100, pediatric UCD patients experienced fewer episodes of acute hyperammonemia than in the 12 months preceding enrollment (5 episodes during the study versus 9 before enrollment), with peak ammonia dropping from a mean of 233  $\mu$ mol/L before enrollment to 166  $\mu$ mol/L during the study. Fasting ammonia remained controlled and monthly averages were at or close to half ULN, ranging from 17 to 22  $\mu$ mol/L. Although patients had been instructed to remain fasting before monthly study visits, some ammonia samples were taken in a non-fasted state, resulting in average monthly ammonia of slightly above half ULN.

[0091] In pediatric patients, WASI and CBCL scores were stable in comparison to baseline. The majority of the BRIEF subscales at baseline were at or close to 65, consistent with borderline and/or clinically significant dysfunction. Among 22 pediatric subjects who completed the neuropsychological testing at 12 months, all BRIEF domains were improved (lower T scores) with means (SD) at end of study compared to baseline for Behavioral Regulation Index 53.7 (9.79) vs. 60.4 (14.03) (p<0.05); Metacognition Index 57.5 (9.84) vs. 67.5 (13.72) (p<0.001), and Global Executive Scale 56.5 (9.71) vs. 66.2 (14.02) (p<0.001). [0092] The significant improvement in executive functions in this group of pediatric UCD patients indicates the importance of long term animonia control and achieving target levels of fasting ammonia.

# Example 6: Correlation of elevated PAA levels to neurological AEs in UCD and healthy subjects:

**[0093]** Elevated plasma levels of PAA may cause symptoms that mimic those associated with hyperammonemia, including headache, nausea, somnolence, etc. Since such symptoms are common and nonspecific, an ammonia level below half the upper limit of normal in a

subject with a nitrogen retention disorder who exhibits such symptoms and is receiving a PAA prodrug would prompt a physician to check plasma PAA levels.

**[0094]** The relationship between elevated PAA levels and neurological AEs was evaluated in three populations: (1) 130 healthy adults dosed with 4 to 12 mL TID of GPB in a thorough QTc study, (2) 54 adult and 11 pediatric UCD patients (ages 6-17) enrolled in one of 3 protocols involving short term (2-4 week) switchover comparisons of NaPBA vs. GPB, and (3) 77 patients enrolled in two nearly identical 12-month GPB treatment protocols. In populations 1 and 2, maximal PAA (i.e., Cmax) levels were analyzed in relation to neurological AEs as defined by MEDDRA using an Exact non-parametric Mann-Whitney test and Generalized Estimating Equations (GEE) with a logit link function and effects for dose and PAA level. The relationship between PAA levels and the occurrence of the AEs reported by Thiebault was also explored in population 3.

**[0095]** No statistically significant relationship was observed between neurological AEs and PAA levels for either GPB or NaPBA. The odds ratio of a neurological AE occurring for each 20  $\mu$ g/mL increase in PAA levels for the two drugs combined was 0.95, very close to 1. Thus, among UCD patients dosed with HPN-100 or NaPBA over the ranges used in these studies, increasing levels of PAA (ranging up to 244  $\mu$ g/mL) were not associated with an increase in neurological AEs. Similarly, in population 3, PAA levels did not increase over time and exhibited no apparent relationship to neurological AEs, which also did not increase in frequency over time. The pediatric patient with the highest PAA level (410  $\mu$ g/mL) did not report neurological AEs close to the timing of the blood draw.

[0096] Unlike UCD subjects, healthy adult volunteers who reported a nervous system AE had statistically significantly higher PAA  $C_{max}$  levels than those who did not. While this analysis in healthy adults is compromised by the fact that PAA levels were not always available at the time of occurrence of the AEs, as well as by the small sample size in the higher dose groups, the odds ratio of 1.75 (p=0.006) suggests that increasing levels of PAA are associated with increased probability of experiencing a nervous system AE among healthy adults. AEs reported by healthy adults generally began within 36 hours of dosing and, among those adults who remained on study, most resolved with continued dosing. [0097] A significant relationship between PAA levels and occurrence of neurological AEs, which generally resolved with continued dosing, was detected in healthy volunteers. Unlike in healthy adults, PAA  $C_{max}$  did not correlate with nervous system AEs in UCD patients over a similar range of doses and PAA levels. These findings may reflect metabolic differences

among the populations (e.g., UCD patients exhibit high glutamine levels compared with healthy humans) and/or metabolic adaptation with continued dosing.

**[0098]** Population PK model building was performed on 65 UCD patients who participated in the short-term switchover Hyperion studies using NONMEM (version 7.2) based on 2981 ([PBA], [PAA], [PAGN], and urine PAGN [UPAGN])) data points from 53 adult and 11 pediatric UCD patients (ages 6-17) who participated in 3 switchover studies of NaPBA and GPB. The median GPB dose, expressed as grams of PBA per m2, was 8.85 and 7.01 for pediatric and adult subjects, respectively. Diagnostic plots and statistical comparisons were used to select among candidate models, and covariates were assessed by graphical analyses and covariate modeling. Using the final popPK model and parameter estimates, Monte Carlo simulations were performed in ~1000 virtual patients for a range of NaPBA and GPB doses to predict systemic metabolite exposure and UPAGN output.

**[0099]** The final model that best fit the data was characterized by (a) partial conversion of PBA to PAGN prior to reaching the systemic circulation, (b) saturable conversion of PAA to PAGN (Km ~161ug/ml), and (c) ~60% slower PBA absorption when delivered as GPB vs. NaPBA. Body surface area (BSA) was a significant covariate such that metabolite clearance was proportionally related to BSA. Fractional presystemic metabolism of PBA was higher for adults than for pediatric patients receiving GPB (43% vs. 14%), whereas the reverse was true for NaPBA (23% vs. 43%). Predicted median PAA exposure based on simulated GPB dosing at the PBA equivalent of 13g/m2 of NaPBA was ~13%-22% lower in adults than NaPBA (Cmax = 82 vs. 106 µg/mL; AUC<sub>0-24</sub> = 649 vs. 829 µg.h/m) and ~13% higher in pediatric subjects ages 6-17 than NaPBA (Cmax = 154 vs. 138 µg/mL; AUC<sub>0-24</sub> = 1286 vs. 1154 µg.h/ml); predicted upper 95th percentile PAA exposure was below 500 µg/mL and 25%-40% lower for adult subjects on GPB versus NaPBA and similar for pediatric subjects. Simulated dosing at the PBA equivalent of ~5g/m<sup>2</sup> of NaPBA yielded similar and less variable PAA exposure for both drugs and for pediatric and adult patients. Recovery of PBA as UPAGN was very similar whether delivered orally as GPB or NaPBA.

**[00100]** These findings based on PopPK modeling and dosing simulations suggest that while most patients treated with PAA prodrugs including NaPBA or HPN-100 will have PAA levels below those reportedly associated with toxicity and while no relationship between PAA levels and neurological AEs was found on a population basis, individual patients exhibiting symptoms such as headache or nausea might be suffering from either hyperammonemia or high PAA levels and that a fasting ammonia level equal to or below half the upper limit of normal would prompt the physician to check plasma PAA levels.

**[00101]** As stated above, the foregoing is merely intended to illustrate various embodiments of the present invention. The specific modifications discussed above are not to be construed as limitations on the scope of the invention. It will be apparent to one skilled in the art that various equivalents, changes, and modifications may be made without departing from the scope of the invention, and it is understood that such equivalent embodiments are to be included herein. All references cited herein are incorporated by reference as if fully set forth herein.

# **REFERENCES**

- 1. Brusilow Science 207:659 (1980)
- 2. Brusilow Pediatr Res 29:147 (1991)
- 3. Diaz Mol Genet Metab 102:276 (2011)
- 4. Gropman Mol Genet Metab 94:52 (2008a)
- 5. Gropman Mol Genet Metab 95:21 (2008b)
- 6. Lee Mol Genet Metab 100:221 (2010)
- 7. Liang Biometrika 73:13 (1986)
- 8. Lichter-Konecki Mol Genet Metab 103:323 (2011)
- 9. McGuire Hepatology 51:2077 (2010)
- 10. Thibault Cancer Res 54:1690 (1994)
- 11. Thibault Cancer 75:2932 (1995)

What is claimed is:

1. A method for determining whether to increase a dosage of a nitrogen scavenging drug in a subject currently receiving the nitrogen scavenging drug, comprising:

a) measuring a fasting blood ammonia level for the subject; and

b) comparing the fasting blood ammonia level to the upper limit of normal for blood ammonia level to determine whether to increase the dosage of a nitrogen scavenging drug, wherein the dosage needs to be increased if the fasting blood ammonia level is greater than half the upper limit of normal for blood ammonia level.

2. A method for determining whether to administer a nitrogen scavenging drug to a subject having a nitrogen retention disorder comprising:

a) measuring a fasting blood ammonia level for the subject; and

b) comparing the fasting blood ammonia level to the upper limit of normal for blood ammonia level to determine whether to administer a nitrogen scavenging drug to the subject, wherein a nitrogen scavenging drug needs to be administered to the subject if the fasting blood ammonia level is greater than half the upper limit of normal for blood ammonia level.

3. A method of treating a subject with a nitrogen retention disorder who has previously been administered a nitrogen scavenging drug comprising:

a) measuring a fasting blood ammonia level for the subject; and

b) comparing the fasting blood ammonia level to the upper limit of normal for blood ammonia level and administering an increased dosage of the nitrogen scavenging drug if the fasting blood ammonia level is greater than half the upper limit of normal for blood ammonia level.

4. The method of claim 1, further comprising:

c) administering an increased dosage of the nitrogen scavenging drug if the need exists.

5. The method of any of claims 1-3, wherein the nitrogen retention disorder is selected from the group consisting of a urea cycle disorder and hepatic encephalopathy.

6. The method of any of claims 1-3, wherein the nitrogen scavenging drug is a PAA prodrug.

7. The method of claim 6, wherein the PAA prodrug is selected from the group consisting of glyceryl tri-[4-phenylbutyrate] (HPN-100), phenylbutyric acid (PBA), sodium PBA (NaPBA), and a combination of two or more of HPN-100, PBA, and NaPBA.

8. The method of any of claims 1-3, wherein the nitrogen scavenging drug is sodium benzoate.

9. The method of claim 3 or 4, wherein administering an increased dosage of the nitrogen scavenging drug produces a normal average daily ammonia level in the subject.

10. The method of any of claims 1-3, further comprising the step of determining an upper limit of normal for blood ammonia level for the subject prior to step (b).

11. The method of any of claims 1-3, wherein the upper limit of normal blood ammonia level is  $35 \mu$ mol/L.

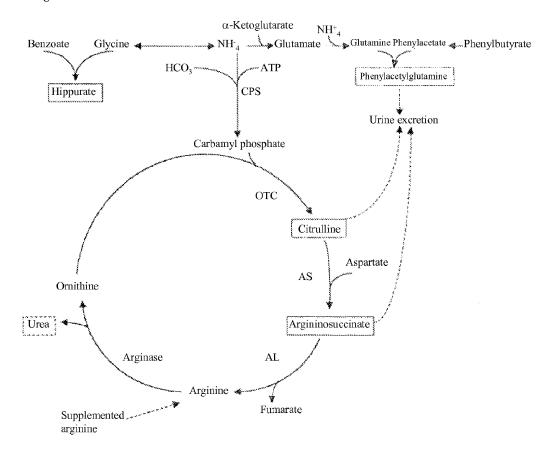
12. The method of claim 6, further comprising:

c) measuring urinary PAGN excretion; and

e) determining an effective dosage of the PAA prodrug based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%.

1/3

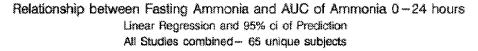
Figure 1

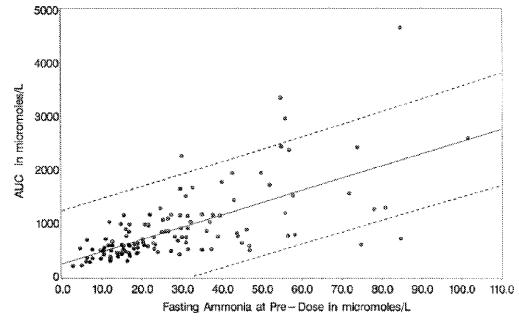


# SUBSTITUTE SHEET (RULE 26)

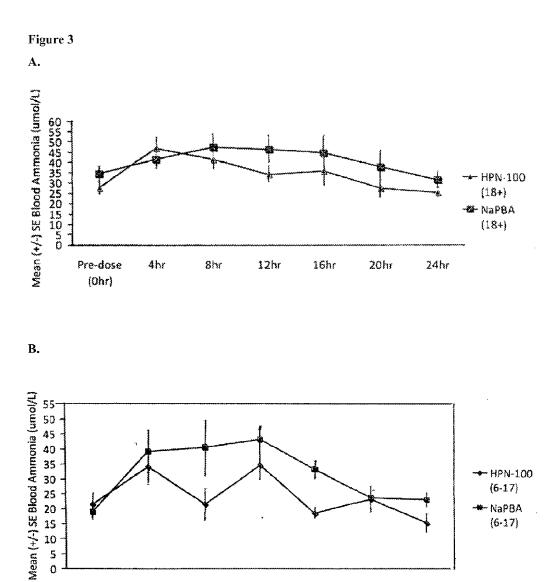
2/3

Figure 2





# SUBSTITUTE SHEET (RULE 26)



3/3

-3-

12hr

16hr

20hr

24hr

# SUBSTITUTE SHEET (RULE 26)

4hr

8hr

Pre-dose

(Ohr)

(6-17)

# (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property

Organization International Bureau



WIPO

- (43) International Publication Date 24 October 2013 (24.10.2013)
- (21) International Application Number: PCT/US2012/054673
- (22) International Filing Date:
  - 11 September 2012 (11.09.2012)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 61/636,256 20 April 2012 (20.04.2012) US
- (72) Inventors; and
- (71) Applicants : SCHARSCHMIDT, Bruce [US/US]; 45 St. Francis Boulevard, San Francisco, California 94127 (US).
   MOKHTARANI, Masoud [US/US]; 725 Castle Rock Road, Walnut Creek, California 94598 (US).
- (74) Agent: MORRIS, Patrick; Perkins Coie LLP, P.O. Box 1208, Seattle, WA 98111-1208 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AF, AG, AL, AM,

# (10) International Publication Number WO 2013/158145 A1

AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

— with international search report (Art. 21(3))

(54) Title: METHODS OF THERAPEUTIC MONITORING OF PHENYLACETIC ACID PRODRUGS

(57) Abstract: The present disclosure provides methods for adjusting the dosage of PAA prodrugs (e.g., HPN-100, PBA) based on measurement of PAA and PAGN in plasma and calculating the PAA:PAGN ratio so as to determine whether PAA to PAGN conversion is saturated.

# METHODS OF THERAPEUTIC MONITORING OF PHENYLACETIC ACID PRODRUGS

# RELATED APPLICATIONS

[0001] The present application claims priority to U.S. Provisional Application No. 61/636,256, filed April 20, 2012, the disclosure of which is incorporated by reference herein in its entirety, including drawings.

# BACKGROUND

**[0002]** Nitrogen retention disorders associated with elevated ammonia levels include urea cycle disorders (UCDs), hepatic encephalopathy (HE), and advanced kidney disease or kidney failure, often referred to as end-stage renal disease (ESRD).

[0003] UCDs include several inherited deficiencies of enzymes or transporters necessary for the synthesis of urea from ammonia, including enzymes involved in the urea cycle. The urea cycle is depicted in Figure 1, which also illustrates how certain ammonia-scavenging drugs act to assist in elimination of excessive ammonia. With reference to Figure 1, N-acetyl glutamine synthetase (NAGS)-derived *N*-acetylglutamate binds to carbamyl phosphate synthetase (CPS), which activates CPS and results in the conversion of ammonia and bicarbonate to carbamyl phosphate. In turn, carbamyl phosphate reacts with ornithine to produce citrulline in a reaction mediated by ornithine transcarbamylase (OTC). A second molecule of waste nitrogen is incorporated into the urea cycle in the next reaction, mediated by arginosuccinate synthetase (ASS), in which citrulline is condensed with aspartic acid to form argininosuccinic acid. Argininosuccinic acid is cleaved by argininosuccinic lyase (ASL) to produce arginine and fumarate. In the final reaction of the urea cycle, arginase (ARG) cleaves arginine to produce ornithine and urea. Of the two atoms of nitrogen incorporated into urea, one originates from free ammonia  $(NH_4^+)$  and the other from aspartate. UCD individuals born with no meaningful residual urea synthetic capacity typically present in the first few days of life (neonatal presentation). Individuals with residual function typically present later in childhood or even in adulthood, and symptoms may be precipitated by increased dietary protein or physiological stress (e.g., intercurrent illness). For UCD patients, lowering blood ammonia is the cornerstone of treatment.

**[0004]** HE refers to a spectrum of neurologic signs and symptoms believed to result from hyperammonemia, which frequently occur in subjects with cirrhosis or certain other types of liver disease. HE is a common manifestation of clinically decompensated liver disease and most

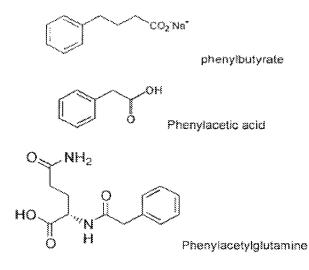
commonly results from liver cirrhosis with diverse etiologies that include excessive alcohol use, hepatitis B or C virus infection, autoimmune liver disease, or chronic cholestatic disorders such as primary biliary cirrhosis. Patients with HE typically show altered mental status ranging from subtle changes to coma, features similar to patients with UCDs. It is believed that an increase in blood ammonia due to dysfunctional liver in detoxifying dietary protein is the main pathophysiology associated with HE (Ong 2003).

**[0005]** ESRD results from a variety of causes including diabetes, hypertension, and hereditary disorders. ESRD is manifested by accumulation in the bloodstream of substances normally excreted in the urine, including but not limited to urea and creatinine. This accumulation in the bloodstream of substances, including toxins, normally excreted in the urine is generally believed to result in the clinical manifestations of ESRD, sometimes referred to also as uremia or uremic syndrome. ESRD is ordinarily treated by dialysis or kidney transplantation. To the extent that urea, per se, contributes to these manifestations and that administration of a phenylacetic (PAA) prodrug may decrease synthesis of urea (see, e.g., Brusilow 1993) and hence lower blood urea concentration, PAA prodrug administration may be beneficial for patients with ESRD.

**[0006]** Subjects with nitrogen retention disorders whose ammonia levels and/or symptoms are not adequately controlled by dietary restriction of protein and/or dietary supplements are generally treated with nitrogen scavenging agents such as sodium phenylbutyrate (NaPBA, approved in the United States as BUPHENYL<sup>®</sup> and in Europe as AMMONAPS<sup>®</sup>), sodium benzoate, or a combination of sodium phenylacetate and sodium benzoate (AMMONUL®). These are often referred to as alternate pathway drugs because they provide the body with an alternate pathway to urea for excretion of waste nitrogen (Brusilow 1980; Brusilow 1991). NaPBA is a PAA prodrug. Another nitrogen scavenging drug currently in development for the treatment of nitrogen retention disorders is glyceryl tri-[4-phenylbutyrate] (HPN-100), which is described in U.S. Patent No. 5,968,979. HPN-100, which is commonly referred to as GT4P or glycerol PBA, is a prodrug of PBA and a pre-prodrug of PAA. The difference between HPN-100 and NaPBA with respect to metabolism is that HPN-100 is a triglyceride and requires digestion, presumably by pancreatic lipases, to release PBA (McGuire 2010), while NaPBA is a salt and is readily hydrolyzed after absorption to release PBA.

[0007] HPN-100 and NaPBA share the same general mechanism of action: PBA is converted to PAA via beta oxidation, and PAA is conjugated enzymatically with glutamine to

form phenylacetylglutamine (PAGN), which is excreted in the urine. The structures of PBA, PAA, and PAGN are set forth below:



**[0008]** The clinical benefit of NaPBA and HPN-100 with regard to nitrogen retention disorders derives from the ability of PAGN to effectively replace urea as a vehicle for waste nitrogen excretion and/or to reduce the need for urea synthesis (Brusilow 1991; Brusilow 1993). Because each glutamine contains two molecules of nitrogen, the body rids itself of two waste nitrogen atoms for every molecule of PAGN excreted in the urine. Therefore, two equivalents of nitrogen are removed for each mole of PAA converted to PAGN. PAGN represents the predominant terminal metabolite, and one that is stoichiometrically related to waste nitrogen removal, a measure of efficacy in the case of nitrogen retention states.

**[0009]** In addition to nitrogen retention states, PAA prodrugs may be beneficial in a variety of other disorders for which PBA and/or PAA are believed to modify gene expression and/or exert post-translational effects on protein function. In the case of maple syrup urine disease (MSUD, also known as branched-chain ketoaciduria), for example, the apparently beneficial effect of NaPBA in lowering plasma levels of branched chain amino acids is reported to be mediated by PBA-induced inhibition of the kinase that regulates activity of branched chain alpha-keto acid dehydrogenase complex or BCKDC. BCKDC is the enzyme that normally breaks down branched-chain amino acids and is genetically defective in MSUD patients (Bruneti-Pieri 2011). Similarly, the putative beneficial effects of PAA prodrugs for the

treatment of cancer (Chung 2000), neurodegenerative diseases (Ryu 2005), and sickle cell disease (Perrine 2008) all involve alteration of gene expression and/or post-translational effects on protein function via PBA and/or PAA.

**[0010]** Numerous publications reports adverse events following administration of PBA and/or PAA (Mokhtarani 2012), and PAA is reported to cause reversible toxicity when present in high levels in circulation. While many of these publications have not recorded PAA blood levels and/or temporally correlated adverse events with PAA levels, toxicities such as nausea, headache, emesis, fatigue, weakness, lethargy, somnolence, dizziness, slurred speech, memory loss, confusion, and disorientation have been shown to be temporally associated with PAA levels ranging from 499–1285 µg/mL in cancer patients receiving PAA intravenously, and these toxicities have been shown to resolve with discontinuation of PAA administration (Thiebault 1994; Thiebault 1995). Therefore, when administering PAA prodrugs for treatment of nitrogen retention disorders and other conditions, it is important to optimize dosing so as to achieve the desired therapeutic effect while minimizing the risk of PAA associated toxicity.

## <u>SUMMARY</u>

**[0011]** Provided herein is a clinically practical approach for utilizing and interpreting blood levels of PAA and PAGN to adjust the dose of a PAA prodrug in order to minimize the risk of toxicities and maximize drug effectiveness.

**[0012]** Provided herein in certain embodiments are methods of treating a nitrogen retention disorder or a condition for which PAA prodrug administration is expected to be beneficial in a subject comprising the steps of administering a first dosage of a PAA prodrug, measuring plasma PAA and PAGN levels, calculating a plasma PAA:PAGN ratio, and determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range. In certain embodiments, the target range is 1 to 2.5, 1 to 2, 1 to 1.5, 1.5 to 2, or 1.5 to 2.5. In certain embodiments, a PAA:PAGN ratio above the target range indicates that the dosage of the PAA prodrug needs to be decreased. In other embodiments, a PAA:PAGN ratio above the target range indicates that the final determination of whether to decrease the dosage taking into account other characteristics of the subject such as biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health. In certain embodiments, a PAA:PAGN ratio below the target range indicates that the dosage of the PAA prodrug needs to be increased. In other embodiments, age, or overall health. In certain embodiments, a PAA:PAGN ratio below the target range indicates that the dosage of the PAA prodrug needs to be increased. In other embodiments, a PAA:PAGN

ratio below the target range indicates that the dosage may need to be increased, with the final determination of whether to increase the dosage taking into account other characteristics of the subject such as biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health. In certain embodiments, a PAA:PAGN ratio that is within the target range but within a particular subrange (e.g., 1 to 1.5 or 2 to 2.5 where the target range is 1 to 2.5) indicates that the dosage of the PAA prodrug does not need to be adjusted, but that the subject needs to be subjected to more frequent monitoring. In certain embodiments, the methods further comprise a step of administering an adjusted second dosage if such an adjustment is determined to be necessary based on the PAA:PAGN ratio and, optionally, other characteristics of the subject. In other embodiments, the methods further comprise a step of administering a second dosage that is the same as or nearly the same as the first dosage if no adjustment in dosage is deemed to be necessary. In certain embodiments, the nitrogen retention disorder is UCD, HE, or ESRD. In certain embodiments, the condition for which PAA prodrug administration is expected to be beneficial is cancer, a neurodegenerative diseases, a metabolic disorder, or sickle cell disease. In certain embodiments, the PAA prodrug is HPN-100 or NaPBA. In certain embodiments, measurement of plasma PAA and PAGN levels takes place after the first dosage of the PAA prodrug has had sufficient time to reach steady state, such as at 48 hours to 1 week after administration.

**[0013]** Provided herein in certain embodiments are methods of treating a nitrogen retention disorder or a condition for which PAA prodrug administration is expected to be beneficial in a subject who has previously received a first dosage of PAA prodrug comprising the steps of measuring plasma PAA and PAGN levels, calculating a plasma PAA:PAGN ratio, and determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range. In certain embodiments, the target range is 1 to 2.5, 1 to 2, 1 to 1.5, 1.5 to 2, or 1.5 to 2.5. In certain embodiments, a PAA:PAGN ratio above the target range indicates that the dosage of the PAA prodrug needs to be decreased. In other embodiments, a PAA:PAGN ratio above the target range indicates that the disage of whether to decrease the dosage taking into account other characteristics of the subject such as biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health. In certain embodiments, a PAA:PAGN ratio below the target range indicates that the dosage of the PAA prodrug needs. In other embodiments, a PAA:PAGN

ratio below the target range indicates that the dosage may need to be increased, with the final determination of whether to increase the dosage taking into account other characteristics of the subject such as biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health. In certain embodiments, a PAA:PAGN ratio that is within the target range but within a particular subrange (e.g., 1 to 1.5 or 2 to 2.5 where the target range is 1 to 2.5) indicates that the dosage of the PAA prodrug does not need to be adjusted, but that the subject needs to be subjected to more frequent monitoring. In certain embodiments, the methods further comprise a step of administering an adjusted second dosage if such an adjustment is determined to be necessary based on the PAA:PAGN ratio and, optionally, other characteristics of the subject. In other embodiments, the methods further comprise a step of administering a second dosage that is the same as or nearly the same as the first dosage if no adjustment in dosage is deemed to be necessary. In certain embodiments, the nitrogen retention disorder is UCD, HE, or ESRD. In certain embodiments, the condition for which PAA prodrug administration is expected to be beneficial is cancer, a neurodegenerative diseases, a metabolic disorder, or sickle cell disease. In certain embodiments, measurement of plasma PAA and PAGN levels takes place after the first dosage of the PAA prodrug has had sufficient time to reach steady state, such as at 48 hours to 1 week after administration.

**[0014]** Provided herein in certain embodiments are methods of adjusting the dosage of a PAA prodrug to be administered to a subject comprising the steps of administering a first dosage of a PAA prodrug, measuring plasma PAA and PAGN levels, calculating a plasma PAA:PAGN ratio, and determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range. In certain embodiments, the target range is 1 to 2.5, 1 to 2, 1 to 1.5, 1.5 to 2, or 1.5 to 2.5. In certain embodiments, a PAA:PAGN ratio above the target range indicates that the dosage of the PAA prodrug needs to be decreased. In other embodiments, a PAA:PAGN ratio above the target range indicates that the final determination of whether to decrease the dosage taking into account other characteristics of the subject such as biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health. In certain embodiments, a PAA:PAGN ratio below the target range indicates that the dosage to be increased. In other embodiments, a PAA:PAGN ratio prodrug needs to be increased, with the final embodiments, a PAA:PAGN ratio below the target range indicates that the dosage of overall health. In certain embodiments, a PAA:PAGN ratio below the target range indicates that the final embodiments, a PAA:PAGN ratio below the target range indicates that the dosage of the PAA prodrug needs to be increased. In other embodiments, a PAA:PAGN

determination of whether to increase the dosage taking into account other characteristics of the subject such as biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health. In certain embodiments, a PAA:PAGN ratio that is within the target range but within a particular subrange (e.g., 1 to 1.5 or 2 to 2.5 where the target range is 1 to 2.5) indicates that the dosage of the PAA prodrug does not need to be adjusted, but that the subject needs to be subjected to more frequent monitoring. In certain embodiments, the methods further comprise a step of administering an adjusted second dosage if such an adjustment is determined to be necessary based on the PAA:PAGN ratio and, optionally, other characteristics of the subject. In other embodiments, the methods further comprise a step of administering a second dosage that is the same as or nearly the same as the first dosage if no adjustment in dosage is deemed to be necessary. In certain embodiments, measurement of plasma PAA and PAGN levels takes place after the first dosage of the PAA prodrug has had sufficient time to reach steady state, such as at 48 hours to 1 week after administration.

[0015] Provided herein in certain embodiments are methods of determining whether a first dosage of a PAA prodrug can be safely administered to a subject comprising the steps of administering the first dosage of a PAA prodrug, measuring plasma PAA and PAGN levels, calculating a plasma PAA: PAGN ratio, and determining whether the first dosage can be safely administered based on whether the PAA:PAGN ratio falls above a target range. In certain embodiments, the target range is 1 to 2.5, 1 to 2, 1 to 1.5, 1.5 to 2, or 1.5 to 2.5. In certain embodiments, a PAA:PAGN ratio above the target range indicates that the first dosage is unsafe and needs to be decreased. In other embodiments, a PAA: PAGN ratio above the target range indicates that the first dosage is potentially unsafe and may need to be decreased, with the final determination of whether to decrease the dosage taking into account other characteristics of the subject such as biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health. In certain embodiments, a PAA:PAGN ratio that is within the target range but within a particular subrange (e.g., 2 to 2.5 where the target range is 1 to 2.5) indicates that the first dosage is likely safe, but that the subject needs to be subjected to more frequent monitoring. In certain embodiments, the methods further comprise a step of administering an adjusted second dosage if such an adjustment is determined to be necessary based on the PAA:PAGN ratio and, optionally, other characteristics of the subject. In certain embodiments, measurement of plasma PAA and PAGN

levels takes place after the first dosage of the PAA prodrug has had sufficient time to reach steady state, such as at 48 hours to 1 week after administration.

[0016] Provided herein in certain embodiments are methods of determining whether a first dosage of a PAA prodrug is likely to be effective for treating a nitrogen retention disorder or another disorder for which PAA prodrug administration is expected to be beneficial comprising the steps of administering the first dosage of a PAA prodrug, measuring plasma PAA and PAGN levels, calculating a plasma PAA: PAGN ratio, and determining whether the first dosage is likely to be effective based on whether the PAA:PAGN ratio falls below a target range. In certain embodiments, the target range is 1 to 2.5, 1 to 2, 1 to 1.5, 1.5 to 2, or 1.5 to 2.5. In certain embodiments, a PAA:PAGN ratio below the target range indicates that the first dosage is unlikely to be effective needs to be increased. In other embodiments, a PAA:PAGN ratio below the target range indicates that the first dosage is potentially ineffective and may need to be increased, with the final determination of whether to increase the dosage taking into account other characteristics of the subject such as biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health. In certain embodiments, a PAA: PAGN ratio that is within the target range but within a particular subrange (e.g., 1 to 1.5 where the target range is 1 to 2.5) indicates that the first dosage is likely effective, but that the subject needs to be subjected to more frequent monitoring. In certain embodiments, the methods further comprise a step of administering an adjusted second dosage if such an adjustment is determined to be necessary based on the PAA: PAGN ratio and, optionally, other characteristics of the subject. In certain embodiments, measurement of plasma PAA and PAGN levels takes place after the first dosage of the PAA prodrug has had sufficient time to reach steady state, such as at 48 hours to 1 week after administration.

**[0017]** In certain embodiments, methods are provided for optimizing the therapeutic efficacy of a PAA prodrug in a subject who has previously been adminsitered a first dosage of PAA prodrug comprising the steps of measuring plasma PAA and PAGN levels, calculating a plasma PAA:PAGN ratio, and determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range. In certain embodiments, the target range is 1 to 2.5, 1 to 2, 1 to 1.5, 1.5 to 2, or 1.5 to 2.5. In certain embodiments, a PAA:PAGN ratio above the target range indicates that the dosage of the PAA prodrug needs to be decreased. In other embodiments, a PAA:PAGN ratio above the target range indicates that the dosage may

need to be decreased, with the final determination of whether to decrease the dosage taking into account other characteristics of the subject such as biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health. In certain embodiments, a PAA: PAGN ratio below the target range indicates that the dosage of the PAA prodrug needs to be increased. In other embodiments, a PAA:PAGN ratio below the target range indicates that the dosage may need to be increased, with the final determination of whether to increase the dosage taking into account other characteristics of the subject such as biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health. In certain embodiments, a PAA:PAGN ratio that is within the target range but within a particular subrange (e.g., 1 to 1.5 or 2 to 2.5 where the target range is 1 to 2.5) indicates that the dosage of the PAA prodrug does not need to be adjusted, but that the subject needs to be subjected to more frequent monitoring. In certain embodiments, the methods further comprise a step of administering an adjusted second dosage if such an adjustment is determined to be necessary based on the PAA:PAGN ratio and, optionally, other characteristics of the subject. In other embodiments, the methods further comprise a step of administering a second dosage that is the same as or nearly the same as the first dosage if no adjustment in dosage is deemed to be necessary. In certain embodiments, measurement of plasma PAA and PAGN levels takes place after the first dosage of the PAA prodrug has had sufficient time to reach steady state, such as at 48 hours to 1 week after administration.

**[0018]** In certain embodiments, methods are provided for obtaining a plasma PAA:PAGN ratio within a target range in a subject comprising the steps of administering a first dosage of a PAA prodrug, measuring plasma PAA and PAGN levels, calculating a plasma PAA:PAGN ratio, and determining whether the PAA:PAGN ratio falls within the target range. If the PAA:PAGN ratio does not fall within the target range, an adjusted second dosage is administered, and these steps are repeated until a plasma PAA:PAGN ratio falling within the target range is achieved. In certain embodiments, the target range is 1 to 2.5, 1 to 2, 1 to 1.5, 1.5 to 2, or 1.5 to 2.5. In certain embodiments, a PAA:PAGN ratio above the target range indicates that the dosage of the PAA prodrug needs to be decreased and a PAA:PAGN ratio below the target range indicates that the dosage of the PAA prodrug needs to be increased. In certain embodiments, measurement of plasma PAA and PAGN levels takes place after the first dosage

of the PAA prodrug has had sufficient time to reach steady state, such as at 48 hours to 1 week after administration.

# BRIEF DESCRIPTION OF DRAWINGS

[0019] Figure 1: Urea cycle.

[0020] Figure 2: Plasma PAA levels versus plasma PAA:PAGN ratio in (A) all subjects combined (healthy adults, patients age 2 months and above with UCDs, and patients with cirrhosis), (B) patients age 2 months and above with UCDs, and (C) patients with cirrhosis.
[0021] Figure 3: Estimated probability (95% confidence interval (c.i.)) of correctly detecting elevated plasma PAA:PAGN ratio (≥2.0) with a single blood sample at a designated time.
[0022] Figure 4:Distribution of plasma PAA:PAGN ratio (log scale) by time since dosing (hours) and category of maximum PAA:PAGN ratio in all subjects combined.
[0023] Figure 5: Distribution of plasma PAA concentrations (µg/mL) by PAA:PAGN ratio

figure 5: Distribution of plasma PAA concentrations (µg/mL) by PAA:PAGN ratio for (A) all subjects and (B) UCD and HE subjects.

# **DETAILED DESCRIPTION**

**[0024]** The following description of the invention is merely intended to illustrate various embodiments of the invention. As such, the specific modifications discussed are not to be construed as limitations on the scope of the invention. It will be apparent to one skilled in the art that various equivalents, changes, and modifications may be made without departing from the scope of the invention, and it is understood that such equivalent embodiments are to be included herein.

**[0025]** The enzymes responsible for beta oxidation of PBA to PAA are present in most cell types capable of utilizing fatty acids as energy substrates, and the widespread distribution of these enzymes presumably accounts for the rapid and essentially complete conversion of PBA to PAA. However, the enzymes that conjugate PAA with glutamine to form PAGN are found primarily in the liver and to a lesser extend in kidneys (Moldave 1957). Therefore, the conversion of PAA to PAGN may be affected under several circumstances, including the following: a) if conjugation capacity is saturated (e.g., by high doses of PAA prodrug); b) if conjugation capacity is compromised (e.g., by severe hepatic and/or renal dysfunction); c) if the substrate (glutamine) for PAA to PAGN conjugation is rate limiting; d) genetically determined variability (i.e., polymorphisms) in the enzymes responsible for PAA to PAGN conversion, or e) in young children, since the capacity to convert PAA to PAGN varies with body size measured

as body surface area (Monteleone 2012). The presence of any one of these conditions may lead to accumulation of PAA in the body, which causes reversible toxicity.

**[0026]** The goal of PAA prodrug administration in subjects with nitrogen retention disorders is to provide a sufficient dosage to obtain a desired level of nitrogen removal while avoiding excess build-up of PAA. The goal of PAA prodrug administration in patients without a nitrogen retention disorder (e.g., a neurodegenerative disease) is to achieve circulating metabolite levels necessary to produce a clinical benefit by alteration of gene expression and/or protein folding or function. However, there are several difficulties associated with determining the proper dosage in patients with nitrogen retention disorders.

[0027] Plasma PAA and PAGN levels are affected by various factors, including timing of the blood draw in relation to drug administration, hepatic function, availability of metabolizing enzymes, and availability of substrates required for metabolism. A random PAA level drawn during an outpatient visit to determine if levels are in the toxicity range without considering concomitant PAGN level is insufficient to inform dosing. First, PAA levels vary many-fold over the course of the day, fluctuating a great deal between peak and trough levels. For example, in the Hyperion pivotal study evaluating HPN-100 for use in treating adult UCD (Study ID HPN-100-006, Clinical Trials ID NCT00992459), serial blood samples were obtained for PK studies over a 24 hour period during which subjects were receiving HPN-100 or NaPBA. The fluctuation index for PAA over a 24 hour period, which represents the fluctuation between maximum concentration (typically observed after the last daily dose or at approximately 12 hours) and minimum concentration (typically observed in the morning after overnight fasting or at 0 hours), indicated a very high degree of variability (2150% for NaPBA and 1368% for HPN-100). Therefore, a single plasma PAA level may not be representative of the highest PAA level a patient may experience during the day. Second, a high plasma PAA level may only be indicative of the high doses a subject is receiving rather than a point of concern if the subject is effectively conjugating PAA with glutamine to form PAGN. Therefore, basing dose adjustment on only on a high PAA level without considering concomitant plasma PAGN level may result in unnecessary dose reduction and under-treatment of the patient. Conversely, a PAA level seemingly below the levels associated with toxicity might be taken as an indication of satisfactory dosing without appreciating the fact that the concomitant PAGN level may not be proportional to PAA, indicating that PAA is not being efficiently utilized and may be accumulating.

**[0028]** Previous studies have shown that conversion of PAA to PAGN is a saturable process that varies considerably among individuals (see, e.g., Monteleone 2012), and that patients with hepatic impairment have higher PAA levels than patients without hepatic impairment (Ghabril et al., "Glycerol phenylbutyrate (GPD) administration in patients with cirrhosis and episodic hepatic encephalopathy (HE)," submitted to Digestive Disease Week, 2012). If PAGN formation is affected by any of the above factors, PAA will be accumulated and waste nitrogen may not be removed from the body. Previous studies have also shown that a small proportion of individuals, including both healthy adults ad patients with UCDs or HE, have higher PAA levels than the remainder of the population, presumably due to individual differences in conjugating PAA to PAGN, and that PAA levels fluctuate many-fold during the day depending on the dose and the timing of blood sample relative to the last dose so that a single plasma level may not be informative (Lee 2010; Lichter 2011).

**[0029]** Although the goal of PAA prodrug therapy for nitrogen retention disorders is to achieve ammonia levels within a normal limit, there is no correlation between plasma PAA levels and blood ammonia. Nitrogen retention disorder subjects are normally "dosed to effect," meaning that subjects with absent or severely deficient urea synthetic capacity require higher doses of PAA prodrugs than do mildly deficient UCD patients. These higher dosages are generally associated with higher PAA levels, such that the conventional PK/PD response (higher active moiety, i.e., PAA, correlates with lower harmful substance, i.e., ammonia) does not apply. Therefore, there is no single target plasma PAA level that can be applied to patients with UCDs or other nitrogen retention disorders based on their blood ammonia.

**[0030]** Patients with severe hepatic impairment are at increased risk of PAA accumulation due to inadequate levels of PAA conjugating enzymes if treated with PAA-prodrugs. UCD patients without hepatic impairment whose PAA conjugating enzymes are readily saturated are also at increased risk of PAA accumulation if treated with PAA-producing compounds. Other patients without nitrogen retention are at increased risk of PAA accumulation due to limited availability of glutamine as the substrate to form PAGN if treated with PAA-producing compounds, which accumulates in patients with nitrogen retention states.

[0031] WO09/134460 and WO10/025303 disclose methods for determining an effective dosage of a PAA prodrug based on urinary PAGN levels, which was found to be a more reliable indictor of effective dosage than plasma levels of PAA or other metabolites. Although such

measurements are highly useful for evaluating waste nitrogen removal, they do not provide complete information regarding a subject's ability to utilize the prodrug.

**[0032]** Since PAA, PAGN, and ammonia levels do not provide the information necessary to determine whether a subject is effectively converting PBA to PAGN (i.e., effectively utilizing the PAA prodrug), there is a need for improved methods of adjusting PAA prodrug dosage and incorporating such adjustments into methods of treating nitrogen retention disorders.

[0033] As disclosed herein, plasma PAA: PAGN ratio has been found to provide an unexpectedly accurate measure of PAA prodrug metabolism in subjects with nitrogen retention disorders and/or hepatic impairment. It was found that subjects who can readily convert PAA to PAGN and have not reached the saturation point with respect to PAA to PAGN conversion will have a plasma PAA:PAGN ratio of 2.5 or below (when both are measured in  $\mu g/mL$ ), and that subjects with PAA: PAGN ratios above 2.5 have a significantly higher chance of experience a PAA level above 400 µg/mL or 500 µg/mL over a 24 hour period. A PAA/PAGN ratio of less than 2.5 was associated primarily with healthy adult or adolescent subjects and normal liver function, with subjects having a ratio below 2.5 exhibiting a 1% probability of experiencing a PAA level greater than 400 µg/mL and almost no chance of exhibiting a PAA level greater than  $500 \ \mu\text{g/mL}$  at any point during a 24 hour period. A ratio greater than 2.5, on the other hand, was generally seen in subjects with moderate hepatic impairment, a subset of healthy subjects or UCD patients with relatively lower saturation point and difficulty conjugating PAA to form PAGN, and patients with a low body surface area. Subjects with a ratio greater than 2.5, on the other hand, exhibited a 20-36% likelihood of experiencing a PAA level greater than 400 µg/mL during the day, and an approximately 10% likelihood of experiencing a PAA level of 500  $\mu\text{g/L}$ or greater. In subjects with a ratio greater than 3, the likelihood of experiencing a PAA level higher than 500 µg/mL increased to as high as 25%. These results show that a plasma PAA:PAGN ratio exceeding 2.5 in a patient with unexplained neurological adverse events and normal ammonia indicates that dosage adjustment should be considered. Thus, plasma PAA: PAGN ratio provides a clinically useful surrogate for evaluating the efficiency of PAA to PAGN conversion.

**[0034]** Plasma PAA:PAGN ratio indicates whether a PAA prodrug is being effectively utilized and scavenging nitrogen, and therefore provides an indirect and simple measure of saturation of conjugating enzymes, availability of substrate, and possible effect of hepatic or renal impairment on this process. Calculating this ratio will allow effective treatment and dose

adjustment in subjects with known hepatic impairment, subjects presenting with signs and symptoms overlapping between hyperammonemia and PAA toxicities, and subjects who are not clinically controlled despite increasing the dosage of drugs.

[0035] One of ordinary skill in the art would generally not consider the ratio of an active metabolite such as PAA to a terminal metabolite such as PAGN when making therapeutic decisions because they would expect that higher levels of the active metabolite would result in a proportionately higher response (as measured by PAGN production) and increased efficacy (i.e., waste nitrogen removal). However, the results provided herein show that the use of plasma PAA:PAGN ratios to evaluate and adjust PAA prodrug dosage is unexpectedly superior to the use of PAA or PAGN levels alone. Once a subject exceeds a specific PAA:PAGN ratio, there is a high likelihood that they are not effectively utilizing the active moiety and that further increasing PAA prodrug dosage may not increase efficacy and may actually result in PAA accumulation and toxicity.

**[0036]** Based on these findings, methods are provided herein for treating nitrogen retention disorders and evaluating and adjusting the dosage of a PAA prodrug based on plasma PAA:PAGN ratio. Generally, these methods comprise steps of measuring plasma PAA and PAGN levels, calculating the PAA:PAGN ratio, and determining whether the ratio falls within a target range, with this determination being used at least in part to decide whether to adjust PAA prodrug dosage. In these methods, PAA:PAGN ratio can be used to ensure that urinary PAGN output, plasma ammonia concentration, and/or PAA levels fall within a predefined target range. Such methods represent an improvement over previously developed methods for evaluating PAA prodrug dosage and efficacy in that they allow for more accurate dosing, greater efficacy, and decreased risk of toxicity associated with PAA accumulation.

**[0037]** Disclosed herein are target ranges for the ratio of plasma PAA to PAGN in subjects who are receiving PAA prodrug therapy. In certain embodiments, a subject exhibiting a PAA:PAGN ratio falling within a target range is classified as properly dosed, meaning that they do not require a PAA prodrug dosage adjustment, while a subject exhibiting a PAA:PAGN ratio falling outside the target range is classified as improperly dosed, meaning that they require an adjustment in PAA prodrug dosage. In certain of these embodiments, a subject exhibiting a plasma PAA:PAGN ratio falling above a target range is classified as requiring a decreased dosage of PAA prodrug, while a subject exhibiting a plasma PAA:PAGN ratio falling below a target range is classified as requiring an increased dosage of PAA prodrug. In other

embodiments, a subject exhibiting a plasma PAA:PAGN ratio falling above a target range is classified as requiring a decreased dosage of PAA prodrug, while a subject exhibiting a plasma PAA:PAGN ratio falling below a target range is classified as potentially requiring an increase in PAA prodrug dosage. In still other embodiments, a subject exhibiting a plasma PAA:PAGN ratio falling above a target range is classified as potentially requiring a decreased dosage of PAA prodrug, while a subject exhibiting a plasma PAA:PAGN ratio falling above a target range is classified as potentially requiring a decreased dosage of PAA prodrug, while a subject exhibiting a plasma PAA:PAGN ratio falling below a target range is classified as potentially requiring an increase in PAA prodrug dosage. In those embodiments where a subject is classified as potentially requiring an increase or decrease in PAA prodrug dosage based on their PAA:PAGN ratio, a decision as to whether to increase or decrease dosage may be based on one or more additional characteristics of the subject such as biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health.

**[0038]** In certain embodiments, the target range for plasma PAA:PAGN ratio is 1 to 2.5, meaning that a subject exhibiting a PAA:PAGN falling within this range is classified as properly dosed. In other embodiments, the target range for plasma PAA:PAGN ratio is 1 to 2, 1 to 1.5, 1.5 to 2, or 1.5 to 2.5. In certain of those embodiments where the target range is 1 to 2.5, a subject with a PAA:PAGN ratio above 2.5 is classified as requiring a decrease in PAA prodrug dosage, while a subject with a PAA:PAGN ratio falling below 1 is classified as potentially requiring an increase in PAA prodrug dosage. In certain of these embodiments, a subject is necessarily classified as requiring an increase in PAA prodrug dosage if their ratio is below 1. In other embodiments, a subject with a PAA:PAGN ratio of less than 1 is only classified as requiring an increase in PAA prodrug dosage if one or more additional clinical or biochemical characteristics are satisfied (e.g., the subject is exhibiting severe symptoms of a nitrogen retention disorder).

**[0039]** In certain embodiments, the target range for plasma PAA:PAGN ratio may comprise one or more subranges, with subjects falling within different subranges being treated differently despite falling within the target range. For example, where a target range is 1 to 2.5, a subject exhibiting a PAA:PAGN ratio below 1 or above 2.5 may be classified as requiring an adjustment in PAA prodrug dosage. Within the target range, subjects with a PAA:PAGN ratio falling within a particular subrange may be treated as properly dosed, improperly dosed (i.e., requiring a dosage adjustment), or properly dosed but requiring more frequent monitoring. For example, subjects having a PAA:PAGN ratio greater than 2 but not greater than 2.5 may be classified as properly dosed but requiring more frequent monitoring.

[0040] In certain embodiments, subrange boundaries or the treatment of subjects falling within a particular subrange will depend in part on a subject's specific characteristics, including for example biochemical profile or clinical characteristics such as target nitrogen excretion, actual nitrogen excretion, symptom severity, disorder duration, age, or overall health. For example, in certain embodiments a first subject with a PAA: PAGN ratio falling within the subrange of 2 to 2.5 may be classified as properly dosed but requiring frequent monitoring, while a second subject falling within the same subrange may be classified as requiring a decreased dosage of PAA prodrug. Similarly, a first subject with a PAA:PAGN ratio falling within the subrange of 1 to 1.5 may be classified as properly dosed but requiring frequent monitoring, while a second subject falling within the same subrange may be classified as requiring an increased dosage of PAA prodrug. For example, a subject who has recently exhibited particularly acute symptoms associated with a particular disorder may be classified as requiring an increased dosage of PAA prodrug when exhibiting a PAA: PAGN ratio of 1 to 1.5, while a subject who is clinically controlled may be classified as properly dosed despite a ratio falling within the same subrange.

In certain embodiments, methods are provided herein for treating a nitrogen retention [0041] disorder or a condition for which PAA prodrug administration is expected to be beneficial in a subject that has previously received a first dosage of a PAA prodrug. These methods comprise measuring plasma PAA and PAGN levels, calculating the plasma PAA: PAGN ratio, determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range, and administering a second dosage of the PAA prodrug. In certain embodiments, the target range for PAA:PAGN ratio is 1 to 2.5 or 1 to 2. In certain of these embodiments, the second dosage is greater than the first dosage if the PAA:PAGN ratio is less than 1 (i.e., the dosage is increased) and less than the first dosage if the PAA:PAGN ratio is greater than 2.5 (i.e., the dosage is decreased). In other embodiments, the second dosage may or may not be greater than the first dosage if the PAA:PAGN ratio is less than 1, depending on one or more other characteristics of the subject. In certain embodiments, the second dosage is equal to the first dosage when the PAA:PAGN ratio is 1 to 2.5, i.e., falling within the target range. In certain embodiments, the target range is divided into one or more subranges. In certain of these embodiments, the second dosage may be equal to the first dosage if the PAA: PAGN ratio is 1 to

1.5 or 2 to 2.5, but the subject may be subjected to more frequent monitoring. In certain other embodiments, the second dosage may be greater than the first dosage if the PAA: PAGN ratio is 1 to 1.5 or 1 to 2 and the subject has recently exhibited particularly acute symptoms of a nitrogen retention disorder or another condition for which PAA prodrug administration is expected to be beneficial. Similarly, the second dosage may be less than the first dosage if the PAA:PAGN ratio is greater than 1.5 or 2 but not greater than 2.5, depending on the subject's specific characteristics. In certain embodiments, the increase or decrease in the second dosage versus the first dosage depends on the precise plasma PAA:PAGN ratio. For example, where the plasma PAA:PAGN ratio is only slightly less than 1, the dosage may be increased only slightly, but where the PAA:PAGN ratio is significantly less than 1, the dosage may be increased more. Similarly, the decrease in dosage for subjects exhibiting a ratio above 2.5 may vary depending on how far above 2.5 the ratio extends. In certain embodiments, measurement of plasma PAA and PAGN ratio takes place after the PAA prodrug has had sufficient time to reach steady state (e.g., 48 hours, 48 to 72 hours, 72 hours to 1 week, 1 week to 2 weeks, or greater than 2 weeks after PAA prodrug administration). In certain embodiments, the above steps may be repeated until a desired plasma PAA: PAGN ratio (e.g., 1 to 2.5 or 1 to 2) is achieved. For example, the methods may comprise measuring plasma PAA and PAGN levels after administration of the second dosage, calculating the plasma PAA:PAGN ratio, determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within the target range, and administering a third dosage of the PAA prodrug.

**[0042]** In certain embodiments, methods are provided for treating a nitrogen retention disorder or a condition for which PAA prodrug administration is expected to be beneficial in a subject that has not previously been administered a PAA prodrug. These methods comprise administering a first dosage of a PAA prodrug, measuring plasma PAA and PAGN levels, calculating the plasma PAA:PAGN ratio, determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range, and administering a second dosage of the PAA prodrug. In certain embodiments, the target range for PAA:PAGN ratio is 1 to 2.5 or 1 to 2. In certain of these embodiments, the second dosage is greater than the first dosage if the PAA:PAGN ratio is less than 1 (i.e., the dosage is increased) and less than the first dosage if the PAA:PAGN ratio is greater than 2.5 (i.e., the dosage is decreased). In other embodiments, the second dosage may or may not be greater than the first dosage if the PAA:PAGN ratio is less than 1, depending on one or more additional characteristics of the

subject. In certain embodiments, the second dosage is equal to the first dosage when the PAA:PAGN ratio is 1 to 2.5, i.e., falling within the target range. In certain embodiments, the target range is divided into one or more subranges. In certain of these embodiments, the second dosage may be equal to the first dosage if the PAA:PAGN ratio is 1 to 1.5 or 2 to 2.5, but the subject may be subjected to more frequent monitoring. In certain other embodiments, the second dosage may be greater than the first dosage if the PAA:PAGN ratio is 1 to 1.5 or 1 to 2 and the subject has recently exhibited particularly acute symptoms of a nitrogen retention disorder or another condition for which PAA prodrug administration is expected to be beneficial. Similarly, the second dosage may be less than the first dosage if the PAA:PAGN ratio is greater than 1.5 or 2 but not greater than 2.5, depending on the subject's specific clinical or biochemical characteristics. In certain embodiments, the increase or decrease in the second dosage versus the first dosage depends on the precise plasma PAA:PAGN ratio. For example, where the plasma PAA: PAGN ratio is only slightly less than 1, the dosage may be increased only slightly, but where the PAA:PAGN ratio is significantly less than 1, the dosage may be increased more. Similarly, the decrease in dosage for subjects exhibiting a ratio above 2.5 may vary depending on how far above 2.5 the ratio extends. In certain embodiments, measurement of plasma PAA and PAGN ratio takes place after the PAA prodrug has had sufficient time to reach steady state (e.g., 48 hours, 48 to 72 hours, 72 hours to 1 week, 1 week to 2 weeks, or greater than 2 weeks after PAA prodrug administration). In certain embodiments, the above steps may be repeated until a desired plasma PAA:PAGN ratio (e.g., 1 to 2.5 or 1 to 2) is achieved. For example, the methods may comprise measuring plasma PAA and PAGN levels after administration of the second dosage, calculating the plasma PAA:PAGN ratio, determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within the target range, and administering a third dosage of the PAA prodrug.

**[0043]** A method of administering a PAA prodrug to a subject with a nitrogen retention disorder or another condition for which PAA prodrug administration is expected to be beneficial. These methods comprise administering a first dosage of the PAA prodrug, measuring plasma PAA and PAGN levels, calculating the plasma PAA:PAGN ratio, determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range, and administering a second dosage of the PAA prodrug. In certain embodiments, the target range for PAA:PAGN ratio is 1 to 2.5 or 1 to 2. In certain of these embodiments, the second dosage is greater than the first dosage if the PAA:PAGN ratio is less than 1 (i.e., the

dosage is increased) and less than the first dosage if the PAA:PAGN ratio is greater than 2.5 (i.e., the dosage is decreased). In other embodiments, the second dosage may or may not be greater than the first dosage if the PAA: PAGN ratio is less than 1, depending on one or more additional characteristics of the subject. In certain embodiments, the second dosage is equal to the first dosage when the PAA:PAGN ratio is 1 to 2.5, i.e., falling within the target range. In certain embodiments, the target range is divided into one or more subranges. In certain of these embodiments, the second dosage may be equal to the first dosage if the PAA:PAGN ratio is 1 to 1.5 or 2 to 2.5, but the subject may be subjected to more frequent monitoring. In certain other embodiments, the second dosage may be greater than the first dosage if the PAA: PAGN ratio is 1 to 1.5 or 1 to 2 and the subject has recently exhibited particularly acute symptoms of a nitrogen retention disorder or another condition for which PAA prodrug administration is expected to be beneficial. Similarly, the second dosage may be less than the first dosage if the PAA:PAGN ratio is greater than 1.5 or 2 but not greater than 2.5, depending on the subject's specific biochemical or clinical characteristics. In certain embodiments, the increase or decrease in the second dosage versus the first dosage depends on the precise plasma PAA: PAGN ratio. For example, where the plasma PAA: PAGN ratio is only slightly less than 1, the dosage may be increased only slightly, but where the PAA: PAGN ratio is significantly less than 1, the dosage may be increased more. Similarly, the decrease in dosage for subjects exhibiting a ratio above 2.5 may vary depending on how far above 2.5 the ratio extends. In certain embodiments, measurement of plasma PAA and PAGN ratio takes place after the PAA prodrug has had sufficient time to reach steady state (e.g., 48 hours, 48 to 72 hours, 72 hours to 1 week, 1 week to 2 weeks, or greater than 2 weeks after PAA prodrug administration). In certain embodiments, the above steps may be repeated until a desired plasma PAA:PAGN ratio (e.g., 1 to 2.5 or 1 to 2) is achieved. For example, the methods may comprise measuring plasma PAA and PAGN levels after administration of the second dosage, calculating the plasma PAA:PAGN ratio, determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within the target range, and administering a third dosage of the PAA prodrug. [0044] In certain embodiments, methods are provided herein for achieving a target plasma PAA:PAGN ratio in a subject with a nitrogen retention disorder or another condition for which

administering a first dosage of a PAA prodrug, measuring plasma PAA and PAGN levels, calculating the plasma PAA:PAGN ratio, determining whether the PAA prodrug dosage needs to

PAA prodrug administration is expected to be beneficial. These methods comprise

19

be adjusted based on whether the PAA:PAGN ratio falls within a target range, and administering a second dosage of the PAA prodrug based on the PAA:PAGN ratio. If the PAA:PAGN ratio is above the target range, the second dosage is less than the first dosage. If the PAA:PAGN ratio is below the target range, the second dosage is greater than the first dosage. These steps are repeated until a target plasma PAA:PAGN ratio is achieved. In certain embodiments, the target ratio falls within a target range of 1 to 2.5 or 1 to 2. In certain embodiments, the increase or decrease in the second dosage versus the first dosage depends on the precise plasma PAA:PAGN ratio. For example, where the plasma PAA:PAGN ratio is only slightly less than 1, the dosage may be increased more. Similarly, the decrease in dosage for subjects exhibiting a ratio above 2.5 may vary depending on how far above 2.5 the ratio extends. In certain embodiments, measurement of plasma PAA and PAGN ratio takes place after the PAA prodrug has had sufficient time to reach steady state (e.g., 48 hours, 48 to 72 hours, 72 hours to 1 week, 1 week to 2 weeks, or greater than 2 weeks after PAA prodrug administration).

[0045] In certain embodiments, methods are provided for evaluating the dosage of a PAA prodrug in a subject who has previously been administered a first dosage of a PAA prodrug. These methods comprise measuring plasma PAA and PAGN levels, calculating the plasma PAA: PAGN ratio, and determining whether the first dosage of the PAA prodrug is effective based on whether the PAA: PAGN ratio falls within a target range. In certain embodiments, the target range for PAA:PAGN ratio is 1 to 2.5 or 1 to 2. In certain of these embodiments, the first dosage is considered too low if the PAA:PAGN ratio is less than 1, and too high if the PAA:PAGN ratio is greater than 2.5. In other embodiments, the first dosage is considered potentially too low if PAA: PAGN ratio is less than 1, with a final decision depending on one or more additional characteristics of the subject. In certain embodiments, the target range is divided into one or more subranges. In certain of these embodiments, the first dosage is considered potentially effective if the PAA: PAGN ratio is 1 to 1.5 or 2 to 2.5, but the subject may be subjected to more frequent monitoring. In certain other embodiments, the first dosage may be considered too low if the PAA: PAGN ratio is 1 to 1.5 or 1 to 2 and the subject has recently exhibited particularly acute symptoms of a nitrogen retention disorder or another condition for which PAA prodrug administration is expected to be beneficial. Similarly, in certain embodiments the first dosage may be considered too high if the PAA:PAGN ratio is greater than 1.5 or 2 but not greater than 2.5, depending on the subject's specific biochemical or

20

clinical characteristics. In certain embodiments, measurement of plasma PAA and PAGN ratio takes place after the PAA prodrug has had sufficient time to reach steady state (e.g., 48 hours, 48 to 72 hours, 72 hours to 1 week, 1 week to 2 weeks, or greater than 2 weeks after PAA prodrug administration). In certain embodiments, the methods further comprise a step of administering a second dosage that differs from the first dosage, and in certain of these embodiments the above steps may be repeated until a desired plasma PAA:PAGN ratio (e.g., 1 to 2.5 or 1 to 2) is achieved. For example, the methods may comprise administering a second dosage that differs from the first dosage, and PAGN levels after administration of the second dosage, calculating the plasma PAA:PAGN ratio, and determining whether the second dosage of the PAA prodrug is effective based on whether the PAA:PAGN ratio falls within a target range.

[0046] In certain embodiments, methods are provided for adjusting the dosage of a PAA prodrug in a subject who has previously been administered a first dosage of a PAA prodrug. These methods comprise measuring plasma PAA and PAGN levels, calculating the plasma PAA: PAGN ratio, and determining whether to adjust the dosage of the PAA prodrug based on whether the PAA: PAGN ratio falls within a target range. In certain embodiments, the target range for PAA:PAGN ratio is 1 to 2.5 or 1 to 2. In certain of these embodiments where the target range is 1 to 2.5, a PAA:PAGN ratio of less than 1 indicates the PAA prodrug dosage needs to be adjusted upwards, while a PAA: PAGN ratio above 2.5 indicates the PAA prodrug dosage needs to be adjusted downwards. In other embodiments, a PAA: PAGN ratio of less than 1 indicates that the PAA prodrug dosage potentially needs to be adjusted upwards, with a final decision depending on one or more additional characteristics of the subject. In certain embodiments, the target range is divided into one or more subranges. In certain of these embodiments, a PAA: PAGN ratio of 1 to 1.5 or 2 to 2.5 indicates that the dosage need not be adjusted, but that the subject should be subjected to more frequent monitoring. In certain other embodiments, a PAA:PAGN ratio of 1 to 1.5 or 1 to 2 indicates that the dosage needs to be increased when the subject has recently exhibited particularly acute symptoms of a nitrogen retention disorder or another condition for which PAA prodrug administration is expected to be beneficial. Similarly, in certain embodiments a PAA: PAGN ratio greater than 1.5 or 2 but not greater than 2.5 may indicate that the dosage needs to be decreased, depending on the subject's specific biochemical or clinical characteristics. In certain embodiments, measurement of plasma PAA and PAGN ratio takes place after the PAA prodrug has had sufficient time to reach steady

state (e.g., 48 hours, 48 to 72 hours, 72 hours to 1 week, 1 week to 2 weeks, or greater than 2 weeks after PAA prodrug administration). In certain embodiments where a determination is made that the dosage needs to be adjusted, the methods further comprise a step of administering a second dosage that differs from the first dosage, and in certain of these embodiments the above steps may be repeated until a desired plasma PAA:PAGN ratio (e.g., 1 to 2.5 or 1 to 2) is achieved. For example, the methods may comprise administering a second dosage that differs from the first dosage, measuring plasma PAA:PAGN levels after administration of the second dosage, calculating the plasma PAA:PAGN ratio, and determining whether the second dosage of the PAA prodrug needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range. In certain embodiments, the increase or decrease in the second dosage versus the first dosage depends on the precise plasma PAA:PAGN ratio. For example, where the plasma PAA:PAGN ratio is only slightly less than 1, the dosage may be increased more. Similarly, the decrease in dosage for subjects exhibiting a ratio above 2.5 may vary depending on how far above 2.5 the ratio extends.

[0047] In certain embodiments, methods are provided for optimizing the therapeutic efficacy of a PAA prodrug for use in treating a nitrogen retention disorder in a subject. These methods comprise measuring plasma PAA and PAGN levels in a subject who has previously been administered a PAA prodrug, calculating the plasma PAA: PAGN ratio, determining whether to adjust the dosage of the PAA prodrug based on whether the PAA:PAGN ratio falls within a target range, and administering an adjusted dosage of the PAA prodrug as necessary. These steps are repeated until the subject exhibits a plasma PAA: PAGN ratio falling within the target range (e.g., 1 to 2.5 or 1 to 2). In certain embodiments where the target range is 1 to 2.5, a plasma PAA:PAGN ratio of less than 1 indicates that the dosage needs to be adjusted upwards, while a ratio greater than 2.5 indicates that the dosage needs to be decreased. In certain embodiments, the target range is divided into one or more subranges. In certain of these embodiments, a PAA:PAGN ratio of 1 to 1.5 or 2 to 2.5 indicates that the dosage does not need to be adjusted, but that the subject should be subjected to more frequent monitoring. In certain other embodiments, a PAA: PAGN ratio of 1 to 1.5 or 1 to 2 indicates that the dosage needs to be increased when the subject has recently exhibited particularly acute symptoms of a nitrogen retention disorder or another condition for which PAA prodrug administration is expected to be beneficial. Similarly, in certain embodiments a PAA: PAGN ratio greater than 1.5 or 2 but not

greater than 2.5 may indicate that the dosage needs to be decreased, depending on the subject's specific biochemical or clinical characteristics. In certain embodiments, measurement of plasma PAA and PAGN ratio takes place after the PAA prodrug has had sufficient time to reach steady state (e.g., 48 hours, 48 to 72 hours, 72 hours to 1 week, 1 week to 2 weeks, or greater than 2 weeks after PAA prodrug administration). In certain embodiments, the magnitude of the increase or decrease in dosage may be based on the precise PAA:PAGN ratio. For example, a PAA:PAGN ratio that is slightly less than 1 may indicate that the dosage needs to be increased slightly, while a ratio significantly less than 1 may indicate the dosage needs to be increased to a greater degree. In certain embodiments, the above steps are repeated until the subject exhibits a PAA:PAGN ratio falling within the target range.

[0048] In certain embodiments, methods are provided for determining whether a prescribed first dosage of a PAA prodrug can be safely administered to a subject. These methods comprise administering the prescribed first dosage to the subject, measuring plasma PAA and PAGN levels, calculating the plasma PAA:PAGN ratio, and determining whether the prescribed first dosage is safe for the subject based on whether the PAA:PAGN ratio falls above a target range, wherein a PAA: PAGN ratio falling above the target range indicates that the first dosage cannot be or potentially cannot be safely administered to the subject. In certain embodiments, the target range for PAA:PAGN ratio is 1 to 2.5 or 1 to 2. In certain of these embodiments where the target range is 1 to 2.5, a PAA:PAGN ratio above 2.5 indicates the PAA prodrug dosage is unsafe and needs to be adjusted downwards. In certain embodiments, the target range is divided into one or more subranges. In certain of these embodiments, a PAA:PAGN ratio of 2 to 2.5 indicates that the first dosage is safe, but that the subject should be subjected to more frequent monitoring. In other embodiments, a PAA:PAGN ratio of 2 to 2.5 indicates that the first dosage is potentially unsafe, with a final determination of safety taking into account the subject's specific biochemical or clinical characteristics. In certain embodiments, measurement of plasma PAA and PAGN ratio takes place after the PAA prodrug has had sufficient time to reach steady state (e.g., 48 hours, 48 to 72 hours, 72 hours to 1 week, 1 week to 2 weeks, or greater than 2 weeks after PAA prodrug administration). In certain embodiments where a determination is made that the first dosage is unsafe and needs to be decreased, the methods further comprise a step of administering a second dosage that is lower than the first dosage, and in certain of these embodiments the above steps may be repeated until a desired plasma PAA: PAGN ratio (e.g., 1 to 2.5 or 1 to 2) is achieved. For example, the methods may comprise administering a second

23

dosage that is lower than the first dosage, measuring plasma PAA and PAGN levels after administration of the second dosage, calculating the plasma PAA:PAGN ratio, and determining whether the second dosage of the PAA prodrug can be safely administered to the subject based on whether the PAA:PAGN ratio falls above a target range.

[0049] In certain embodiments, methods are provided for determining whether a prescribed first dosage of a PAA prodrug will be effective for treating a nitrogen retention disorder or another disorder for which PAA prodrug administration is expected to be beneficial. These methods comprise administering the prescribed first dosage to the subject, measuring plasma PAA and PAGN levels, calculating the plasma PAA: PAGN ratio, and determining whether the prescribed first dosage will be effective for the subject based on whether the PAA:PAGN ratio falls below a target range, wherein a PAA: PAGN ratio falling below the target range indicates that the first dosage will not be or potentially will not be effective for treating a disorder. In certain embodiments, the target range for PAA:PAGN ratio is 1 to 2.5 or 1 to 2. In certain of these embodiments where the target range is 1 to 2.5, a PAA:PAGN ratio below 1 indicates the PAA prodrug dosage is unlikely to be effective and needs to be adjusted upwards. In other embodiments, a PAA:PAGN ratio below 1 indicates that the first dosage is potentially ineffective, with a final determination of whether the dosage is likely to be ineffective based on the subject's specific biochemical or clinical characteristics. In certain embodiments, the target range is divided into one or more subranges. In certain of these embodiments, a PAA: PAGN ratio of 1 to 1.5 indicates that the first dosage is likely to be effective, but that the subject should be subjected to more frequent monitoring. In other embodiments, a PAA: PAGN ratio of 1 to 1.5 indicates that the first dosage is potentially ineffective, with a final determination of whether the dosage is likely to be ineffective taking into account the subject's specific biochemical or clinical characteristics. In certain embodiments, measurement of plasma PAA and PAGN ratio takes place after the PAA prodrug has had sufficient time to reach steady state (e.g., 48 hours, 48 to 72 hours, 72 hours to 1 week, 1 week to 2 weeks, or greater than 2 weeks after PAA prodrug administration). In certain embodiments where a determination is made that the first dosage is likely to be ineffective and needs to be increased, the methods further comprise a step of administering a second dosage that is higher than the first dosage, and in certain of these embodiments the above steps may be repeated until a desired plasma PAA: PAGN ratio (e.g., 1 to 2.5 or 1 to 2) is achieved. For example, the methods may comprise administering a second dosage that is higher than the first dosage, measuring plasma PAA and PAGN levels after

administration of the second dosage, calculating the plasma PAA:PAGN ratio, and determining whether the second dosage of the PAA prodrug is likely to be ineffective for treating a disorder based on whether the PAA:PAGN ratio falls above a target range.

**[0050]** Provided herein in certain embodiments are methods for monitoring therapy with a PAA prodrug in patients with a nitrogen retention disorder. These methods comprise administering a PAA prodrug to the subject, measuring plasma PAA and PAGN levels, and calculating the plasma PAA:PAGN ratio. In these methods, a PAA:PAGN ratio falling within a target range (e.g., 1 to 2.5 or 1 to 2) indicates that the therapy is effective, while a ratio falling outside this range indicates that the therapy may need to be adjusted. In certain embodiments, the plasma PAA:PAGN ratio is compared to a previously obtained PAA:PAGN ratio from the same subject to evaluate the effectiveness of PAA prodrug administration.

**[0051]** In certain embodiments, the methods provided herein may be used in conjunction with the methods described in WO09/134460 and WO10/025303. In these embodiments, urinary PAGN levels may be determined in addition to plasma PAA:PAGN ratio, with both measurements being used to evaluate or adjust PAA prodrug dosage.

**[0052]** A "PAA prodrug" as used herein refers to any drug that contains or is converted to PAA following administration to a subject, or to any pharmaceutically acceptable salt, ester, acid, or derivative thereof. A PAA prodrug may be administered via any route, including oral or parenteral administration. A PAA prodrug may be converted directly to PAA (e.g., a salt or ester of PAA; PBA or a salt or ester thereof such as NaPBA), or it may be converted to PAA via an intermediate (e.g., a pre-prodrug such as HPN-100). Other examples of PAA prodrugs include butyroyloxymethyl-4-phenylbutyrate.

**[0053]** An adjustment to the dosage of a PAA prodrug as discussed herein may refer to a change in the amount of drug per administration (e.g., an increase from a first dosage of 3 mL to a second dosage of 6 mL), a change in the number of administration within a particular time period (e.g., an increase from once a day to twice a day), or any combination thereof.

**[0054]** A "subject in need thereof" as used herein refers to any individual having a condition or suspected of having a condition for which administration of a PAA prodrug is expected to be beneficial. For example, a subject may be an individual with a nitrogen retention disorder or suspected of having a nitrogen retention disorder, including for example UCD, HE, and/or kidney failure/ESRD (Lee 2010; McGuire 2010; Lichter 2011). Likewise, a subject may have or be suspected of having another condition for which PAA prodrug administration is expected to

be beneficial, including for example cancer (Thiebault 1994; Thiebault 1995), neurodegenerative disorders such as Huntington's Disease (Hogarth 2007), amyotrophic lateral sclerosis (ALS) (Cudkowicz 2009), and spinal muscular atrophy (SMA) (Mercuri 2004; Brahe 2005), metabolic disorders (e.g., maple syrup urine disease (MSUD) (Bruneti-Pieri 2011), or sickle cell disease (Hines 2008).

**[0055]** A subject that has previously been administered a PAA prodrug may have been administered the drug for any duration of time sufficient to reach steady state. For example, the subject may have been administered the drug over a period of 2 to 7 days, 1 week to 2 weeks, 2 weeks to 4 weeks, 4 weeks to 8 weeks, 8 weeks to 16 weeks, or longer than 16 weeks.

**[0056]** A "PAA prodrug" as used herein refers to any drug that contains or is converted to PAA following administration to a subject, or to any pharmaceutically acceptable salt, ester, acid, or derivative thereof. A PAA prodrug may be administered via any route, including oral or parenteral administration. A PAA prodrug may be converted directly to PAA (e.g., PBA or a salt thereof such as NaPBA), or it may be converted to PAA via an intermediate (e.g., a preprodrug such as HPN-100). Other examples of PAA prodrugs include butyroyloxymethyl-4phenylbutyrate.

**[0057]** An adjustment to the dosage of a PAA prodrug as discussed herein may refer to a change in the amount of drug per administration (e.g., an increase from a first dosage of 3 mL to a second dosage of 6 mL), a change in the number of administration within a particular time period (e.g., an increase from once a day to twice a day), or any combination thereof.

[0058] The terms "treat," "treating," or "treatment" as used herein may refer to preventing a disorder, slowing the onset or rate of development of a disorder, reducing the risk of developing a disorder, preventing or delaying the development of symptoms associated with a disorder, reducing or ending symptoms associated with a disorder, generating a complete or partial regression of a disorder, or some combination thereof. For example, where the disorder being treated is a nitrogen retention disorder, "treating" may refer to lowering waste nitrogen levels below a threshold level, preventing waste nitrogen levels from reaching a threshold level, decreasing the likelihood of waste nitrogen levels exceeding a threshold level, reducing or ending symptoms associated with elevated waste nitrogen levels, or a combination thereof.
[0059] With regard to the methods of treatment disclosed herein, interpretation of the PAA:PAGN ratio must be performed in the context of the therapeutic objective. For example, in

waste nitrogen in the form of PAGN. In subjects being treated for other disorders for which PAA prodrug administration is expected to be beneficial (e.g., neurodegenerative disorders, MSUD), the therapeutic objective is safely achieving target plasma levels of PAA and/or PBA. **[0060]** Any methods known in the art may be used to obtain a plasma blood sample. For example, blood from a subject may be drawn into a tube containing heparin or ethylenediaminetetraacetic acid (EDTA). In certain embodiments, the sample can be placed on ice and centrifuged to obtain plasma within 15 minutes of collection, stored at 2-8°C (36-46°F) and analyzed within 3 hours of collection. In other embodiments, the blood plasma sample is snap frozen, stored at  $\leq$ -18°C ( $\leq$ 0°F) and analyzed at a later time. For example, the sample may be analyzed at 0-12 hours, 12-24 hours, 24-48, 48-96 hours after freezing, or within any other timeframe over which the sample has demonstrated stability. In certain of these embodiments, the blood sample is stored at a temperature between 0-15°C, such as 2-8°C. In other embodiments, the blood sample is stored below 0°C or below -18°C.

**[0061]** Measurement of PAA and PAGN levels in a plasma sample is carried out using techniques known in the art. For example, PAA and PAGN levels may be measured using liquid chromatography/mass spec analyses.

[0062] Any combination of embodiments described herein can be envisioned. Although individual features may be included in different claims, these may be advantageously combined. [0063] The following examples are provided to better illustrate the claimed invention and are not to be interpreted as limiting the scope of the invention. To the extent that specific materials are mentioned, it is merely for purposes of illustration and is not intended to limit the invention. One skilled in the art may develop equivalent means or reactants without the exercise of inventive capacity and without departing from the scope of the invention. It will be understood that many variations can be made in the procedures herein described while still remaining within the bounds of the present invention. It is the intention of the inventors that such variations are included within the scope of the invention.

#### EXAMPLES

#### Example 1: Analysis of PAA: PAGN ratio in UCD and HE subjects:

[0064] Plasma PAA and PAGN levels and PAA:PAGN ratio were analyzed in more than 4000 plasma samples obtained from various clinical trials of healthy adults, severely hepatic impaired adults with clinically decompensated Child-Pugh B or C cirrhosis, and UCD patients ages 29 days or older. Healthy and hepatically impaired adults received HPN-100, while UCD subjects received both HPN-100 and NaPBA. Clinical trial populations are summarized in Tables 1 and 2.

| Study<br>Group | Description   | Demographics  | Protocols<br>Included                                      | Analysis<br>Populations |
|----------------|---|---|--|-------------------------|
| 1              | Short-term (<= 2-4 weeks)<br>exposure in UCD subjects               | Adults and children<br>ages 29 days or<br>greater (N=81)  | UP 1204-003<br>HPN-100-005SO<br>HPN-100-006<br>HPN-100-012 | A, B                    |
| 2              | Long-term exposure in<br>UCD and HE subjects                        | Adults and children<br>ages 6 years or<br>greater (N=180) | HPN-100-005SE<br>HPN-100-007<br>HPN-100-008 Part<br>B      | А                       |
| 3              | Short-term (<= 4 weeks)<br>exposure in hepatic<br>impaired subjects | Adults (N=15)   | HPN-100-008 Part<br>A                                      | А, В                    |
| 4              | Short-term exposure (<= 4<br>weeks) in healthy subjects             | Adults (N=98)   | HPN-100-010  | A, B                    |

Table 1: Clinical studies and analysis populations

Table 2: Demographics and number of samples used

|            | Attribute           |       | ). of<br>jects | No. of sample<br>points<br>(Population A) |               | No. of time-<br>specific PK<br>sample points<br>(Population B) |         |
|------------|---------------------|-------|----------------|---|---------------|--|---------|
|            |                     | Count | Percent        | Count                                     | Count Percent |  | Percent |
| Population | Healthy             | 86    | 17.0           | 2126                                      | 34.4          | 2126   | 38.5    |
|            | Hepatic             | 103   | 20.4           | 830                                       | 13.4          | 830  | 15.0    |
|            | Encephalopathy (HE) |       |                |   |               |  |         |
|            | UCD                 | 158   | 31.3           | 1616                                      | 26.1          | 1281   | 23.2    |
|            | Total               | 347   | 100.0          | 4572                                      | 100.0         | 4237   | 100.0   |
| Age        | 29 days -< 6 yrs    | 15    | 4.3            | 110                                       | 2.4           | 110  | 2.6     |
|            | 6 -< 18 yrs         | 47    | 13.5           | 373                                       | 8.2           | 213  | 5.0     |
|            | 18+ yrs             | 285   | 82.1           | 4089                                      | 89.4          | 3914   | 92.4    |
| Sex        | F                   | 199   | 57.3           | 2394                                      | 52.4          | 2152   | 50.8    |
|            | Μ                   | 148   | 42.7           | 2178                                      | 47.6          | 2085   | 49.2    |

**[0065]** Analysis Population A consisted of quantifiable levels of PAA and PAGN metabolites derived from all studies described above. All PAA and PAGN levels used for analysis came from blood samples drawn once dosing with NaPBA or HPN-100 had reached steady state. Analysis Population B consisted of quantifiable levels of PAA and PAGN metabolites during studies in which pharmacokinetics were analyzed and for which blood draws were performed over 12 or 24 hours at steady state and for which the timing of the blood sample in relation to dosing was known. Subjects in study groups 1, 3 and 4 above contributed to these

points. Analysis Population B was the source of analyses that examined how PAA levels changed with time relative to dosing, where dosing could have been with either NaPBA or HPN-100. To be eligible for Analysis Population B, the time of the blood draw relative to the time of initiation of dosing during the dosing period had to have been recorded.

**[0066]** Data on metabolite levels were pooled across a wide range of age levels- infants, toddlers, children, adolescents, and adults. All children, defined as ages under 18, were UCD patients. The majority of the blood sampling points came from adults (89.4%). Newborn infants (< 29 days old) were not studied in any of the clinical trials for the investigational agent HPN-100. The population of blood sampling points were roughly equally divided between female and male (57.3% female, 42.7% male).

[0067] To examine the predictive ability of PAA:PAGN ratios, a subject was considered to have achieved a high value of PAA if any PAA value up to 24 hours since initiation of dosing equaled or exceeded 400  $\mu$ g/mL or equaled or exceeded 500  $\mu$ g/mL. PAA:PAGN ratios were grouped into one of three categorization schemes: a.)  $[0 \le 2.0]$ , [> 2.0], b.)  $[0 \le 2.5, > 2.5]$ , c.)  $[0 - \le 3.0, > 3.0]$ . The repeated measures categorical outcome was modeled using GEE with a logit link function, ratio category as the independent variable, and SUBJECTID as the repeated measures factor. Confidence intervals for the predicted probabilities were computed by bootstrap estimation of 1000 resamplings of the original data, as detailed in Davison & Hinkley. "Bootstrap Methods and Their Application," Cambridge Univ. Press (1997), pp. 358-362. [0068] Results are summarized in Figures 2-5. A striking curvilinear relationship was observed between plasma PAA levels and PAA:PAGN ratio at any given timepoint. Figure 2A shows the relationship between the ratio of PAA:PAGN concentrations and absolute PAA levels in micrograms per milliliter among blood samples that had quantifiable values for both PAA and PAGN. The ratio axis (i.e. 'X' axis) is plotted on a logarithmic (base e) scale. For ratios less than 1.0, increases in ratio are not associated with correspondingly elevated or increased levels of PAA. Above ratios of 1.0, there is a gradual increase in PAA levels, and a noticeable upswing in PAA levels that begins in the vicinity of a ratio of 2.0. This finding suggests that when the ratio of PAA precursor to PAGN product approaches higher values, the values of PAA are also correspondingly high. This increase in the ratio of precursor (PAA) to product (PAGN) implies ineffective PAA to PAGN conversion, regardless of whether the PAA is derived from HPN-100 or NaPBA.

**[0069]** To determine whether excessive PAA build-up is a function of dosing, the plots mentioned above were repeated, but this time adjusting for assigned dose level of NaPBA or HPN-100 at the time of the blood draw. Since the UCD population consisted of a mixture of children and adults undergoing both short-term therapy and long-term therapy, total assigned daily dose for UCD patients was standardized to body surface area and reported in PBA-equivalent grams meter<sup>2</sup>. Healthy and HE subjects were all adults and their assigned dose was not adjusted by body surface area. Dose levels for healthy and HE subjects were reported in NaPBA-equivalent grams.

**[0070]** The excess of PAA over PAGN, indicated by larger ratios as PAA increases, was evident across all dosage groups, disease populations, and types of treatment in UCD patients (i.e., applies to both NaPBA and HPN-100). This finding suggests that analysis of the precursor (PAA) to product (PAGN) ratio may be predictive of the efficiency of conversion among patients with or without liver dysfunction (UCD patients have normal liver function apart from their urea cycle dysfunction) and independently of dose. As a corollary, the presence of liver dysfunction (e.g. cirrhosis) by itself, is not necessarily a reliable determinant of whether a particular patient is at risk for high PAA levels.

[0071] The ability of PAA:PAGN ratios to predict extremely high plasma PAA concentrations was determined by modeling the probability that a subject would exceed a PAA value of 400 or 500  $\mu$ g/mL anytime during a 24 hour dosing period, based on the ratio of PAA to PAGN computed at pre-dose (presumably trough), 12 hours after dosing (presumably peak), and the maximum ratio encountered anytime between pre-dose and 12 hours post-dose. This interval of 0-12 hours was chosen for practical reasons, as it would encompass the entire interval corresponding to the usual outpatient visit.

[0072] Since subjects could have multiple dosing periods within a given clinical study, the probability was modeled using Generalized Estimating Equations. Three categorizations of ratios were modeled: a.)  $[0-\le 2.0] [> 2.0]$ , b.)  $[0-\le 2.5, > 2.5]$ , c.)  $[0-\le 3.0, > 3.0]$ . The models were repeated with PAA values greater than or equal to 500 µg/mL considered extreme. Results are summarized in Table 3.

### WO 2013/158145

| PAA Value Considered<br>High |                | Time of Blood<br>Draw Used For<br>Ratio<br>Classification | Observed Ratio<br>of PAA/PAGN | Probability that a<br>Subject With This<br>Ratio Will Exceed High<br>Value* (%) | Bootstrapped<br>95%<br>Confidence<br>Interval** |  |
|------------------------------|----------------|---|-------------------------------|---|---|--|
|                              |                | t=0 (fasting)   | <= 2.0                        | 0.005 (0.5%) 0.004, 0.020   |   |  |
|                              | >=400          | t 12 h  | > 2.0                         | 0.164 (16.4%)<br>0.003 (0.3%)   | 0.041, 0.281                                    |  |
|                              | µg/mL          | t = 12 hours  | > 2.0                         | 0.227 (22.7%)   | 0.048, 0.412                                    |  |
| [<=2.0,                      |                | MAX(0-12)   | <= 2.0<br>> 2.0               | 0.002 (0.2%)<br>0.143 (14.3%)   | 0.004, 0.010<br>0.036, 0.263                    |  |
| >2.0]                        |                | t=0 (fasting)   | <= 2.0<br>> 2.0               | did not con   | · · · · ·                                       |  |
|                              | >=500<br>µg/mL | t = 12 hours  | <= 2.0<br>> 2.0               | did not con   | iverge  |  |
|                              |                | MAX(0-12)   | <= 2.0<br>> 2.0               | did not con   | iverge  |  |
|                              |                | t=0 (fasting)   | <= 2.5                        | 0.008 (0.8%)  | 0.004, 0.023                                    |  |
|                              |                | t=0 (lasting)   | > 2.5                         | 0.191 (19.1%)   | 0.053, 0.366                                    |  |
|                              | >=400<br>µg/mL | t = 12 hours  | <= 2.5<br>> 2.5               | $\begin{array}{ccc} 0.007 & (0.7\%) \\ 0.364 & (36.4\%) \end{array}$            | 0.004, 0.016<br>0.125, 0.752                    |  |
|                              | μg/mL          |   | <= 2.5                        | 0.003 (0.3%)  | 0.004, 0.013                                    |  |
| [<=2.5,                      |                | MAX(0-12)   | > 2.5                         | 0.200 (20.0%)   | 0.050, 0.381                                    |  |
| >2.5]                        |                |   | <= 2.5                        | 0.003 (0.3%)  | 0.004, 0.011                                    |  |
|                              |                | t=0 (fasting)   | > 2.5                         | 0.084 (8.4%)  | 0.029, 0.214                                    |  |
|                              | >=500<br>µg/mL | t = 12 hours  | <= 2.5<br>> 2.5               | did not con   | iverge  |  |
|                              |                | MAX(0-12)   | <= 2.5<br>> 2.5               | did not con   | iverge  |  |
|                              |                | t=0 (fasting)   | <= 3.0                        | 0.010 (1.0%)  | 0.004, 0.025                                    |  |
|                              |                | ( ) (noung)   | > 3.0                         | 0.205 (20.5%)   | 0.059, 0.398                                    |  |
|                              | >=400          | t = 12 hours  | <= 3.0                        | 0.013 (1.3%)  | 0.004, 0.028                                    |  |
|                              | µg/mL          |   | > 3.0                         | 0.250 (25.0%)   | 0.113, 0.576                                    |  |
|                              |                | MAX(0-12)   | > 3.0                         | $\begin{array}{c cccc} 0.003 & (0.3\%) \\ 0.229 & (22.9\%) \end{array}$         | 0.004, 0.014<br>0.059, 0.438                    |  |
| [<=3,>3]                     |                |   | <= 3.0                        | 0.229 (22.9%)   | 0.004, 0.010                                    |  |
|                              |                | t=0 (fasting)   | > 3.0                         | 0.003 (0.3%)<br>0.102 (10.2%)   | 0.032, 0.255                                    |  |
|                              | >=500<br>µg/mL | t = 12 hours  | <= 3.0<br>> 3.0               | did not converge  |   |  |
|                              |                | MAX(0-12)   | <= 3.0<br>> 3.0               | did not converge  |   |  |

Table 3: Probabilities of extreme PAA values encountered during 24 hour PK sampling with PAA:PAGN ratios (all subjects combined)

Analysis repeated for each ratio cut off category independently.

\* Probability derived for Generalized Estimating Equations model with logit link function.
\*\* Confidence interval derived from method disclosed in Davison & Hinkley, "Bootstrap Methods and Their Application," Cambridge Univ. Press (1997), pp. 358-362, using 1000 re-samplings of original data.

[0073] Because of the sparseness of samples in which PAA equaled or exceeded 500 µg/mL, 400 µg/mL proved to be a more stable and predictable target (i.e. high) value. Of the three categorizations of ratio considered, the cutpoint of 2.5 was the best discriminator and predictor

of the risk of experiencing an high value. For example, referring to Table 3, a subject with a PAA:PAGN ratio > 2.5 at t=12 hours after dosing has a 36.4% chance (95% c. i.= 0.125, 0.752) of exceeding 400  $\mu$ g/mL in PAA sometime during the 24-hour PK sampling period.

[0074] Results were similar whether the ratio was computed from plasma drawn at pre-dose, 12 hours after initiation of dosing, or the maximum ratio encountered anytime between pre-dose and 12 hours after initiation of dosing.

**[0075]** Due to the very high intra-day variability of plasma PAA levels, a PAA:PAGN ratio observed as exceeding 2.0 at a certain time following dosing may not remain greater than 2.0 in subsequent times. To evaluate the optimal time for obtaining a PAA:PAGN ratio measurement (i.e., the time that gives the greatest probability of correctly detecting a subject whose PAA:PAGN ratio ever equals or exceeds 2.0 during the dosing period), ratios were evaluated at 0 (pre-dose) and 2, 4, 6, 8, 10, and 12 hours post-dosing and modeled using GEE methodology. Pairwise differences in sensitivity between time points were evaluated using LS means and confidence intervals were computed.

[0076] Figure 3 plots the estimated probabilities of correctly detecting a ratio profile that ever equals of exceeds 2.0. With the exception of time= 2 hours and time=10 hours, time points of 0, 4, 6, 8, and 12 hours post-dosing were equally effective in detecting subjects who equal or exceed a PAA:PAGN ratio of 2.0 at some point during the dosing period. Sensitivities were in the range of 75-90 percent. There were too few blood samples collected at t=10 hours to analyze inter-time differences. Differences in predictive value were observed. For example, blood samples collected at t=2 hours post-dosing had a significantly lower probability of detecting subjects who equal or exceed a PAA:PAGN ratio of 2.0 than samples collected at t=0 (p =(0.036), 4 (p = 0.032), or 6 hours (p = 0.017) post-dosing (p values are comparisons of t=2 hour probability with other time points). Similarly, a sample collected at t=12 hours following initiation of dosing had the highest probability (87%) of detecting a subject whose ratio ever equals or exceeds 2.0. However, for practical clinical purposes, the differences in predictive value among time points was trivial relative to the dramatically greater variability in PAA values themselves, meaning that random blood draws can be used for measurement of PAA:PAGN ratio.

**[0077]** Further exploration of the fluctuation of PAA:PAGN ratios over time was conducted by dividing the subject population into cohorts according to the maximum PAA:PAGN ratio achieved during the 24-hour PK sampling time during the dosing period. Cohorts were divided

32

into "low" (maximum ratio  $\leq 2.0$ ), "medium" (maximum ratio: 2.01-2.50), and "high" (maximum ratio  $\geq 2.50$ ). Each cohort was then followed over time during the dosing period at t= 0 hours( pre-dose), 4, 6, and 8 hours post-dosing and the distribution of PAA:PAGN ratios within the cohort summarized using a box-and-whisker plot at each time point. This analysis was conducted for the PK-timepoint-specific population as a whole (analysis population B) as well as for each disease subpopulation separately.

[0078] Figure 4 plots the progression of ratios for all subjects combined. Each "panel" of the plot that divides the graphing space into thirds represents one cohort. Subjects in the high cohort had high ratios throughout the day and not only at a particular time point. Therefore, subjects in this cohort (n=73 subject/dosing periods) started with high ratios (median ratio > 2.5) and remained high throughout the first 12 hours. This finding is consistent with the findings plotted in Figure 3 which revealed the consistency of sensitivity in ratios.

[0079] The relationship between PAA levels and PAA:PAGN ratios was further analyzed by categorizing ratios into "low" (maximum ratio  $\leq 2.0$ ), "medium" (maximum ratio: 2.01-2.50), and "high" (maximum ratio  $\geq 2.50$ ). Unlike the previous analysis, this analysis did not associate subject/dosing periods with particular cohorts (i.e., all samples and all time points are combined with regard to the subject or dosing period).

**[0080]** Figure 5A shows the box-and-whisker plots of PAA levels grouped by the above categories of PAA:PAGN ratio for all subjects, while Figure 5B shows the same for UCD and HE subjects only. The results were very similar in both analysis sets. Following a statistically significant overall Kruskal-Wallis test (p < 0.0001), pairwise comparisons of PAA levels were conducted using Wilcoxon-Mann-Whitney with a Bonferroni alpha correction of (0.0167). In both analysis sets, ratios greater than 2.5 had significantly higher PAA levels (p < 0.001) than either ratios between 2.0 - 2.5 or ratios less than 2.0. Furthermore, ratios between 2.0 - 2.5 were associated with significantly higher PAA levels than ratios less than 2.0 (p < 0.001). Example 2: Analysis of PAA:PAGN ratio as a guide to dose adjustment and monitoring in a UCD patient:

[0081] Patient 1 was a 15 year old partial OTC female receiving HPN-100 as maintenance therapy for her UCD at a dose of 9 mL/day. The patient's ammonia had been controlled since her last routine visit around 6 months ago, but she was complaining of headache and lack of appetite for the past 3 days. Ammonia and metabolite levels were tested after overnight fasting and showed the following results: ammonia 55  $\mu$ mol/L, PAA and PAGN below levels of

quantification. The physician suspected non-compliance with drug and repeated the tests in midday several hours after lunch and found the following results: ammonia: 117  $\mu$ mol/L; PAA 55  $\mu$ g/L, PAGN 121  $\mu$ g/L, and PAA:PAGN ratio approximately 0.5. The patient indicated that she had been fully compliant with her medication. Based on the PAA to PAGN ratio of 0.5 and ammonia of 117, the physician decided to increase the dosage of HPN-100 to 12 mL/day. After one week of treatment with the new dose of HPN-100, all symptoms resolved and the laboratory tests after overnight fasting showed the following: ammonia 9  $\mu$ mol/L; PAA 12.9  $\mu$ g/L, PAGN of 9  $\mu$ g/L, and PAA:PAGN ratio of 1.3. Midday tests showed the following: ammonia 35  $\mu$ mol/L, PAA 165  $\mu$ g/L, PAGN 130  $\mu$ g/L, and PAA:PAGN ratio of ~1.2. The patient was considered controlled and the dose remained at 12 mL/day.

Example 3: Analysis of PAA:PAGN ratio as a guide to dose adjustment in a UCD patient: **[0082]** Patient 2 was a 1 year old male OTC receiving 600 mg/kg of NaPBA per day. The patient presented with poor feeding and somnolence. Laboratory tests showed ammonia levels of <9  $\mu$ mol/L, PAA levels of 530  $\mu$ g/L, PAGN levels of 178  $\mu$ g/L, and a PAA:PAGN ratio of >2.5, suggesting that the dose of NaPBA was greater than the patient could effectively convert to PAGN. The treating physician decided to decrease the dose of NaPBA to 450 mg/Kg/day. After one week of treatment with the new dosage, the patient's mother reported that he was eating well and was no longer somnolent. Laboratory tests showed the following: ammonia 20  $\mu$ mol/L, PAA 280  $\mu$ g/L, and PAGN 150  $\mu$ g/L.

Example 4: Analysis of PAA: PAGN ratio as a guide to assessment of importance of a high PAA level in a UCD patient:

**[0083]** Patient 3 is a 25 year old OTC female who is being treated with HPN-100. The physician had to increase the dose of HPN-100 several times in order to achieve clinical and blood ammonia within normal limits. Patient 3 was treated at a dose of 18 mL/day for her UCD for the past month. In her next office visit, she did not have any complaints and the following lab results were reported: ammonia 22  $\mu$ mol/L, PAA 409  $\mu$ g/L, PAGN 259  $\mu$ g/L, and PAA:PAGN ratio of 1.5. Despite the patient's relatively high PAA levels, the PAA:PAGN ratio indicated that the subject was being adequately treated and that the patient was able to effectively metabolize the high dose of HPN-100 that she was receiving. The physician decided to continue the treatment as planned.

Example 5: Analysis of PAA: PAGN ratio as a guide to dose adjustment in a patient with spinal muscular atrophy and concomitant liver disease:

**[0084]** Patient 4 was a 2 year old female being treated with a liquid form of NaPBA for her type II SMA. The patient also suffered from chronic hepatitis C virus infection acquired perinatally from her infected mother. The patient had been having mild to moderate elevation of transaminases since birth, with episodes of icterus and a recent liver biopsy has confirmed presence of chronic hepatitis and cirrhosis. The patient was receiving 4 g of NaPBA per day, and the physician wanted to increase the dosage due to the patient's growth but was concerned about the effects of liver dysfunction on drug metabolism. The physician ordered plasma PAA and PAGN levels and the results were as follows: PAA 110  $\mu$ g/L, PAGN 85  $\mu$ g/L, PAA:PAGN ratio of 1.2. The physician decided to increase the dosage of NaPBA to 6 g/day, and repeated the plasma metabolite level measurements after one week of treatment with the new regimen. The results were as follows: PAA 155  $\mu$ g/L, PAGN 110  $\mu$ g/L, and PAA:PAGN ratio of 1.4. The physician decided to leave the patient on 6 g/day of NaPBA since his liver seems to have adequate capacity to metabolize 6 g of NaPBA.

Example 6: Analysis of PAA:PAGN ratio as a guide to dose adjustment in a patient with Huntington's Disease and concomitant liver disease:

[0085] Patient 5 was a 56 year old male diagnosed with Huntington's disease several years ago. He also had a history of alcohol abuse and was diagnosed with alcoholic cirrhosis last year. His wife enrolled him in clinical trials that involved an experimental drug delivering PBA at a slow rate, thereby enabling once-a-day dosing of the drug. The study had an option for dose escalation after 2 weeks of treatment if clinically safe. Although the protocol did not exclude patients with liver dysfunction, the investigator was concerned about PBA metabolism and possible accumulation of PAA in higher doses due to the patient's liver dysfunction. The investigator enrolled the patient in the low dose group and performed plasma PBA, PAA and PAGN measurements after 6 weeks of treatment with experimental drug. The patient reported improvement in his HD symptoms with no specific complains. Plasma metabolite levels after six weeks of treatment were as follows: PBA 45  $\mu$ g/L; PAA 159  $\mu$ g/L, and PAGN 134  $\mu$ g/L. The dosage of the drug was increased by 50%. After four days of treatment at the new dosage, the patient started to complain about short episodes of somnolence. The investigator performed a blood test and observed the following: PBA 44  $\mu$ g/L; PAA 550  $\mu$ g/L, PAGN 180  $\mu$ g/L, and PAA:PAGN ratio of >3. The PAA:PAGN ratio of greater than 2.5 indicated that the patient's

liver could not effectively metabolize the higher dose of the drug, and the investigator therefore decided to reduce the dosage of the experimental drug and not continue dose escalation. <u>Example 7: Analysis of PAA:PAGN ratio as a guide to dose adjustment in a patient with</u> <u>MSUD</u>:

**[0086]** Patient 6 was a 4 year old female being treated with HPN-100 for MSUD. The patient was receiving 6 mL of HPN-100 once a day, and the physician wanted to increase the dosage due to the patient's growth. Midday plasma PAA and PAGN measurements after the dose of medication were as follows: PAA 550  $\mu$ g/L, PAGN 180  $\mu$ g/L, and PAA:PAGN ratio of >2.5. The physician believed a lower dosage of HPN-100 would not be as effective for the patient, and decided to change the dosing regimen to 3 mL BID instead of 6 mL QD based on the high PAA:PAGN ratio. The tests were repeated after one week of treatment with the new BID regimen, with the following results: PAA 350  $\mu$ g/L, PAGN 190  $\mu$ g/L, and PAA:PAGN ratio of 1.8. Based on the ratio of 1.8, the physician decided to leave the patient on 3 mL BID since she can efficiently use a total dose of 6 mL/day given in divided doses but not as a bolus. Example 8: Analysis of PAA:PAGN ratio as a guide to monitor a patient with HE and hepatic impairment:

[0087] Patient 7 was a 55 year old Caucasian male diagnosed with alcoholic cirrhosis 3 years ago. His transaminase levels had been mildly elevated and he had recently experienced mild episodes of HE. In the last assessment at the time of hospital admission for a grade 2 HE episode, the patient had a blood ammonia of 85 µmol/L, ALT of 55 U/L, and AST of 47 U/L, and a calculated MELD score of 11. The physician decided to start an ammonia scavenging therapy for the patient and treated him with HPN-100 6 mL BID. The patient returned for a follow up visit after 3 months, during which time he had experienced no episodes of HE. His laboratory assessments showed the following: ammonia of 30 µmol/L, plasma PAA level of 285 µg/mL, PAGN level of 120 µg/L, ALT of 66 U/L, AST of 50 U/L, and calculated MELD score of 13. The physician suspected that the patient's hepatic function may be deteriorating and was concerned about possible accumulation of PAA. She calculated the ratio of PAA to PAGN as 2.4, and confirmed that the patient had not experienced any unusual symptoms such as dizziness, headache, or nausea. Considering patient's ammonia control, lack of specific side effects, and clinical remission, the physician decided not to change the dose and to see the patient in two weeks to repeat the laboratory tests. The physician also warned the patient to call her immediately if he experienced any of these symptoms. In two weeks, the patient's laboratory

assessments were essentially unchanged from the previous visit, with a PAA to PAGN ratio of 2.3, and the patient did not report any unusual symptoms. Based on the PAA:PAGN ratio of less than 2.5, the physician decided to continue dosing with 6 mL BID of HPN-100 until the next routine visit.

Example 9: Analysis of PAA: PAGN ratio as a guide to monitoring treatment in a patient with Parkinson's Disease:

[0088] HPN-100 treatment was initiated at a dose of 4mL twice a day in a patient with Parkinson's Disease to produce target circulating levels of PAA expected to produce clinical benefit. After one week of treatment, the patient's circulating PAA level of 50  $\mu$ g/mL was below the target range, and the PAA: PAGN ratio was determined to be 0.9. The physician concluded that the HPN-100 dose could be safely adjusted upward, and the dose was increased by 50% to 6 mL BID. The PAA level and PAA/PAGN ratio one week later were found to be 75 µg/mL and 1.4, respectively. Since 75 µg/mL was still below the therapeutic PAA target level and the PAA:PAGN ratio of 1.4 indicated that conversion of PAA to PAGN had not been saturated, the patient's dosage was increased again by 50% to 9 mL BID. One week later, the patient's PAA and PAA:PAGN ratio were found to be 159 µg/mL and 2.6, respectively. Since the target PAA level was now approximately therapeutic but the PAA: PAGN ratio indicated that PAA to PAGN conversion was approaching saturation, HPN-100 dosage was decreased to 8 mL BID, at which time the patient's circulating PAA level was determined to be close to the target range and his PAA: PAGN ratio was determined to be 2. The patient's dose was not further adjusted and he continued to be monitored.

**[0089]** As stated above, the foregoing is merely intended to illustrate various embodiments of the present invention. The specific modifications discussed above are not to be construed as limitations on the scope of the invention. It will be apparent to one skilled in the art that various equivalents, changes, and modifications may be made without departing from the scope of the invention, and it is understood that such equivalent embodiments are to be included herein. All references cited herein are incorporated by reference as if fully set forth herein.

#### **REFERENCES**

- 1. Brahe Eur J Hum Genet 13:256 (2005)
- 2. Bruneti-Pieri Human Molec Genet 20:631 (2011)
- 3. Brusilow Science 207:659 (1980)
- 4. Brusilow Pediatr Res 29:147 (1991)

### PCT/US2012/054673

## WO 2013/158145

- 5. Brusilow Metabolism 42:1336 (1993)
- 6. Chung Clin Cancer Res 6:1452 (2000)
- 7. Cudkowicz ALS 10:99 (2009)
- 8. Hines Pediatr Blood Cancer 50:357 (2008)
- 9. Hogarth Mov Disord 22:1962 (2007)
- 10. Lee Mol Genet Metab 100:221 (2010)
- 11. Lichter Mol Genet Metab 103:323 (2011)
- 12. McGuire Hepatology 51:2077 (2010)
- 13. Mercuri Neuromuscul Disord 14:130 (2004)
- 14. Mokhtarani Mol Genet Metab 105:342 (2012)
- 15. Moldave J Biol Chem 229:463 (1957)
- 16. Monteleone Mol Genet Metab 105:343 (2012)
- 17. Ong Am J Med 114:188 (2003)
- 18. Perrine Pediatr Ann 37:339 (2008)
- 19. Ryu J Neurochem 93:1087 (2005)
- 20. Thiebault Cancer Res 54:1690 (1994)
- 21. Thiebault Cancer 75:2932 (1995)

What is claimed is:

1. A method of treating a nitrogen retention disorder in a subject comprising:

(a) administering a first dosage of a PAA prodrug,

(b) measuring plasma PAA and PAGN levels,

(c) calculating a plasma PAA:PAGN ratio,

(d) determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range, where a PAA:PAGN ratio below the target range indicates that the dosage potentially needs to be increased and a PAA:PAGN ratio above the target range indicates that the dosage needs to be decreased, and

(e) administering a second dosage of the PAA prodrug based on the determination in (d).

2. A method of treating a nitrogen retention disorder in a subject who has previously been administered a first dosage of a PAA prodrug comprising:

(a) measuring plasma PAA and PAGN levels,

(b) calculating a plasma PAA:PAGN ratio,

(c) determining whether the first PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range, where a PAA:PAGN ratio below the target range indicates that the dosage potentially needs to be increased and a PAA:PAGN ratio above the target range indicates that the dosage needs to be decreased, and

(d) administering a second dosage of the PAA prodrug based on the determination in (c).

3. A method of treating a condition for which PAA prodrug administration is expected to be beneficial in a subject comprising:

(a) administering a first dosage of a PAA prodrug,

(b) measuring plasma PAA and PAGN levels,

(c) calculating a plasma PAA:PAGN ratio,

(d) determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range, where a PAA:PAGN ratio below the target range indicates that the dosage potentially needs to be increased and a PAA:PAGN ratio above the target range indicates that the dosage needs to be decreased, and

(e) administering a second dosage of the PAA prodrug based on the determination in (d).

4. A method of treating a condition for which PAA prodrug administration is expected to be beneficial in a subject who has previously been administered a first dosage of a PAA prodrug comprising: (a) measuring plasma PAA and PAGN levels,

(b) calculating a plasma PAA:PAGN ratio,

(c) determining whether the first PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range, where a PAA:PAGN ratio below the target range indicates that the dosage potentially needs to be increased and a PAA:PAGN ratio above the target range indicates that the dosage needs to be decreased, and

(d) administering a second dosage of the PAA prodrug based on the determination in (c).

5. A method of adjusting the dosage of a PAA prodrug comprising:

(a) administering a first dosage of a PAA prodrug,

(b) measuring plasma PAA and PAGN levels,

(c) calculating a plasma PAA:PAGN ratio,

(d) determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range, where a PAA:PAGN ratio below the target range indicates that the dosage potentially needs to be increased and a PAA:PAGN ratio above the target range indicates that the dosage needs to be decreased, and

(e) administering a second dosage of the PAA prodrug based on the determination in (d).

6. A method of optimizing the therapeutic efficacy of a PAA prodrug in a subject who has previously been administered a first dosage of a PAA prodrug comprising:

(a) measuring plasma PAA and PAGN levels,

(b) calculating a plasma PAA:PAGN ratio,

(c) determining whether the PAA prodrug dosage needs to be adjusted based on whether the PAA:PAGN ratio falls within a target range, where a PAA:PAGN ratio below the target range indicates that the dosage potentially needs to be increased and a PAA:PAGN ratio above the target range indicates that the dosage needs to be decreased, and

(e) administering a second dosage of the PAA prodrug as necessary based on the determination in (c).

7. The method of claim 1 or 2, wherein the nitrogen retention disorder is selected from the group consisting of UCD, HE, and ESRD.

8. The method of claim 3 or 4, wherein the disorder is selected from the group consisting of cancer, a neurodegenerative diseases, a metabolic disorder, and sickle cell disease.

9. The method of any of claims 1-6, wherein the target range is 1 to 2.5.

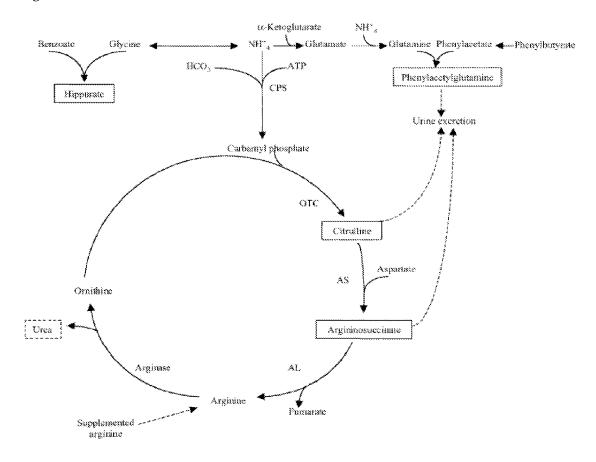
10. The method of any of claims 1-6, wherein the target range is 1 to 2.

11. The method of any of claims 1-6, wherein measurement of PAA and PAGN levels is carried out after the first dosage of PAA prodrug has had sufficient time to reach steady state.

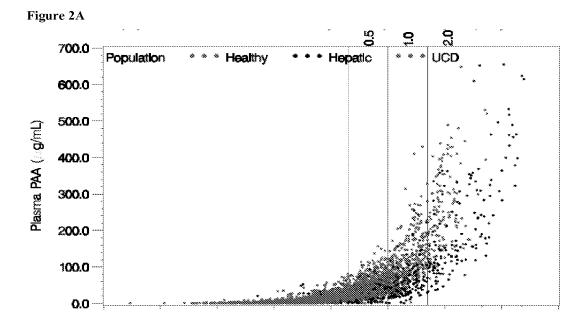
12. The method of claim 11, wherein measurement of PAA and PAGN levels is carried out 48 hours to 1 week after the first dosage of PAA prodrug is administered.

13. The method of any of claims 1-6, wherein the PAA prodrug is selected from the group consisting of NaPBA and HPN-100.

Figure 1

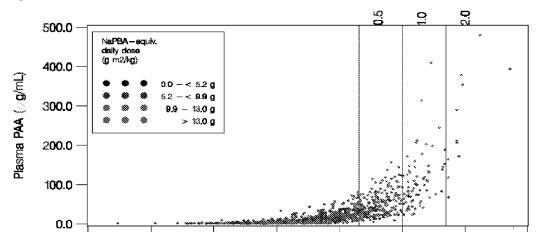


1/7



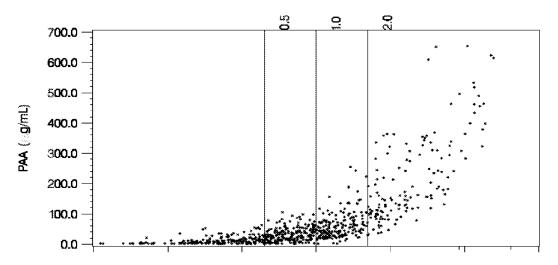
Ratio of Plasma PAA to Plasma PAGN(log scale)





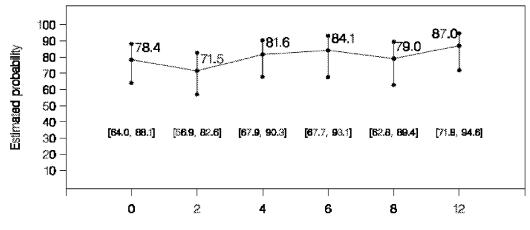
Ratio of Plasma PAA to Plasma PAGN(log scale)





Ratio of Plasma PAA to Plasma PAGN(log scale)

Figure 3

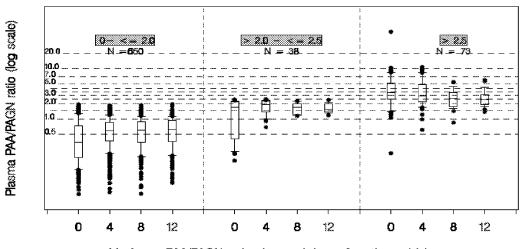


5/7

Time of PK sampling(hours since initiation of dosing)

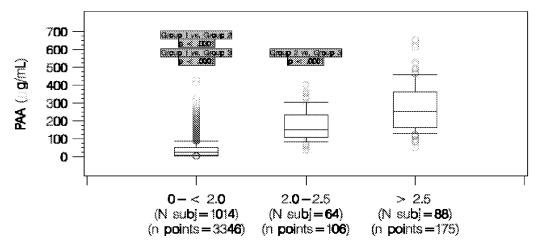
 $\label{eq:t2} transignific less than t=0(p=0.036), t=4(p=0.032), and t=6(p=0.017) \\ No other time differences statistically significant. Time=t0 omitted due to too lew observations.$ 





Maximum PAA/PAGN ratio observed time = 0 to time = 24 hours

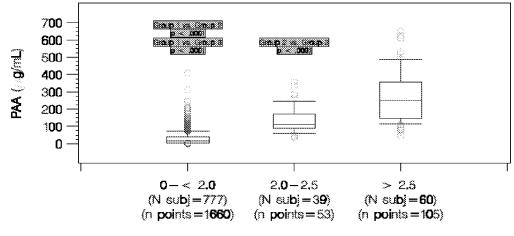
Figure 5A



7/7

Ratio of PAA to PAGN

Figure 5B



Ratio of PAA to PAGN

# INTERNATIONAL SEARCH REPORT

International application No. PCT/US 12/54673

| IPC(8) -<br>USPC -           | SSIFICATION OF SUBJECT MATTER<br>A61K 31/216; A61K 31/185<br>514/533; 514/576; 514/532, 514/553; 554/22<br>to International Patent Classification (IPC) or to both n  |   |   |  |  |
|------------------------------|---|---|---|--|--|
| B. FIEL                      | B. FIELDS SEARCHED  |   |   |  |  |
| IPC(8): A61                  | ocumentation searched (classification system followed by<br>K 31/216; A61K 31/185 (2012.01)<br>/533; 514/576  | classification symbols)   |   |  |  |
|                              | ion searched other than minimum documentation to the ex<br>532, 514/553; 554/220, 554/227 (search terms below)  | tent that such documents are included in the  | fields searched   |  |  |
| PubWEST, I                   | ata base consulted during the international search (name o<br>PatBase, Google Scholar: Plasma, PAA, PAGN, nitroger<br>itrogen retention disorders, target range, dose |   |   |  |  |
| C. DOCU                      | MENTS CONSIDERED TO BE RELEVANT   |   |   |  |  |
| Category*                    | Citation of document, with indication, where an   | ppropriate, of the relevant passages  | Relevant to claim No.                                       |  |  |
| Y                            | US 2012/0022157 A1 (SCHARSCHMIDT) 26 January<br>[0097], [0106], [0116], [0118], [0160], [0173], [0174], [0  |   | 1-13  |  |  |
| Y                            | MCGUIRE et al., Pharmacology and Safety of Glycero<br>Adults with Cirrhosis, HEPATOLOGY, June 2010, Vol.<br>2079, col 2, para 3, page 2081, col 1, para 2;            |   | 1-13  |  |  |
|                              |   |   |   |  |  |
|                              |   |   |   |  |  |
|                              |   |   |   |  |  |
|                              |   |   |   |  |  |
|                              |   |   |   |  |  |
|                              |   |   |   |  |  |
|                              |   |   |   |  |  |
|                              |   |   |   |  |  |
| Furthe                       | er documents are listed in the continuation of Box C.   |   |   |  |  |
| "A" docum                    | l categories of cited documents:<br>ent defining the general state of the art which is not considered<br>f particular relevance                                       | "T" later document published after the intern<br>date and not in conflict with the applic<br>the principle or theory underlying the i | ation but cited to understand                               |  |  |
| "E" earlier<br>filing d      | application or patent but published on or after the international<br>late   | "X" document of particular relevance; the considered novel or cannot be considered  | claimed invention cannot be<br>ered to involve an inventive |  |  |
| cited to<br>special          | ent which may throw doubts on priority claim(s) or which is<br>o establish the publication date of another citation or other<br>reason (as specified)                 | considered to involve an inventive s  | claimed invention cannot be<br>step when the document is    |  |  |
| means                        | ent referring to an oral disclosure, use, exhibition or other<br>ent published prior to the international filing date but later than                                  | combined with one or more other such or<br>being obvious to a person skilled in the<br>"&" document member of the same patent f       | e art   |  |  |
| the price                    | Date of the actual completion of the international search Date of mailing of the international search report  |   |   |  |  |
|                              | 2012 (24.10.2012)   | 20 NOV 20   |   |  |  |
| Name and n                   | nailing address of the ISA/US   | Authorized officer:   | ·   |  |  |
| Mail Stop PC<br>P.O. Box 145 | Aail Stop PCT, Attn: ISA/US, Commissioner for Patents<br>P.O. Box 1450, Alexandria, Virginia 22313-1450<br>PCT Hetpdesk: 571-272-4300                                 |   |   |  |  |
| Facsimile N                  | 0. 571-273-3201   | PCT OSP: 571-272-7774   |   |  |  |

Form PCT/ISA/210 (second sheet) (July 2009)

|                                       | INTERNATIONAL SEARCH REPOR   | r (   |  |  |
|---------------------------------------|--|---|--|--|
|                                       | INTERNATIONAL SEARCH REPORT  |   | International appl   |  |
|                                       |  |   | PCT/US 09/   | /30362   |
| IPC(8) -<br>USPC -                    | SSIFICATION OF SUBJECT MATTER<br>A01N 37/10; A61K 31/19 (2009.01)<br>514/570   | ·   |  |  |
|                                       | to International Patent Classification (IPC) or to both n  | ational classification a                            | nd IPC   |  |
|                                       | DS SEARCHED  |   |  |  |
|                                       | ocumentation searched (classification system followed by<br>N 37/10; A61K 31/19 (2009.01)<br>570   | classification symbols)                             |  |  |
|                                       | ion searched other than minimum documentation to the ex<br>N 37/10; A61K 31/19 (2009.01)<br>570  | tent that such document                             | s are included in the  | fields searched  |
| US WEST(F<br>ammonia sc               | ata base consulted during the international search (name o<br>GPB,USPT,EPAB,JPAB), Google Scholar, Dialog PRO<br>avenging, accumulation, retention, hepatic encephalopa<br>ate, glyceryl tri-(4-phenyl butyrate)     | (Engineering)                                       |  |  |
| · · · · · · · · · · · · · · · · · · · | MENTS CONSIDERED TO BE RELEVANT  |   |  |  |
| Category*                             | Citation of document, with indication, where ap  | opropriate, of the releva                           | int passages   | Relevant to claim No.  |
| Y                                     | US 2004/0229948 A1 (SUMMAR, et al.) 18 November<br>[0035]  | 2004 (18.11.2004), par                              | a (0022), (0029),  | 1-11, 19-22, 28, 29  |
| Y                                     | US 4,284,647 A (BRUSILOW, et al.) 18 August 1981 (<br>In 35-46.  | 18.08.1981) coi 2, in 26                            | i-32; Fig. 3; col 4,   | 1-5, 9-18, 23-27, 29   |
| Y                                     | US 5,968,979 A (BRUSILOW) 19 October 1999 (19.10<br>2, in 25-34; col 3, in 3-7; col 3, in 42-59; col 4, in 1-26;   |   |  | 6-29   |
|                                       | • • •  |   |  |  |
|                                       | · · ·  |   |  |  |
|                                       |  |   |  |  |
|                                       |  |   |  |  |
|                                       |  |   |  |  |
|                                       |  |   |  |  |
|                                       |  | /   |  |  |
|                                       |  |   |  |  |
|                                       |  | , <u> </u>  |  | I  |
| Furthe                                | er documents are listed in the continuation of Box C.  |   |  |  |
| "A" docume                            | categories of cited documents:<br>ent defining the general state of the art which is not considered<br>f particular relevance  | date and not in co                                  | blished after the inter<br>onflict with the applic<br>neory underlying the | national filing date or priority<br>ation but cited to understand<br>invention |
| filing d                              |  | "X" document of part<br>considered novel            | icular relevance; the  | claimed invention cannot be<br>ered to involve an inventive                    |
| cited to<br>special                   | ent which may throw doubts on priority claim(s) or which is<br>o establish the publication date of another citation or other<br>reason (as specified)<br>and referring to an oral disclosure use exhibition or other | "Y" document of part<br>considered to in            | icular relevance; the volve an inventive :                                 | claimed invention cannot be<br>step when the document is                       |
| means<br>"P" docume                   | ent referring to an oral disclosure, use, exhibition or other<br>ent published prior to the international filing date but later than<br>with date claimed  | being obvious to.                                   | a person skilled in the<br>or of the same patent                           |  |
|                                       | ority date claimed<br>actual completion of the international search  | Date of mailing of the                              | e international sear   | ch report  |
| 24 February                           | 2009 (24.02.2009)  | -   | 2 MAR 20   |  |
|                                       | nailing address of the ISA/US  | Authorized office                                   | r:   |  |
|                                       | T, Attn: ISA/US, Commissioner for Patents<br>0, Alexandria, Virginia 22313-1450  |   | Lee W. Young   |  |
| Facsimile N                           | 0. 571-273-3201  | PCT Helpdesk: 571-272-4300<br>PCT OSP: 571-272-7774 | ,  |  |

.

.

Form PCT/ISA/210 (second sheet) (April 2007)

.

~

|  |   |   | •                   |  |
|--|---|---|---------------------|--|
|  | ······  | l lr  | nternational app    | lication No                                    |
|  |   |   | PCT/US200           | 9/055256                                       |
| A. CLASS                               | ification of subject matter<br>G01N33/50  |   |                     |  |
| 1114.                                  | d01N33730   |   |                     |  |
|  |   |   |                     |  |
| According t                            | o International Patent Classification (IPC) or to both national cla                               | ssification and IPC   | <u></u>             | ····   |
|  | SEARCHED  | ification aumhola)  |                     |  |
| GO1N                                   | Contentation searched (Classification system followed by class                                    | incation symbols)   |                     |  |
|  |   |   |                     |  |
| Documenta                              | tion searched other than minimum documentation to the extent                                      | that such documents are include                                     | ed in the fields se | earched  |
|  |   |   |                     |  |
| Electronic d                           | lata base consulted during the international search (name of da                                   | ta base and, where practical, se                                    | earch terms used    | ()   |
|  | ternal, WPI Data, BIOSIS, EMBASE,   | •   |                     | <i>''</i>                                      |
| CI 0-111                               | cernar, wri Data, Di0313, EMDA3E,   | MEDLINE   |                     |  |
|  |   |   |                     |  |
|  |   | -   |                     |  |
|  | ENTS CONSIDERED TO BE RELEVANT  |   |                     |  |
| Category*                              | Citation of document, with indication, where appropriate, of th                                   | e relevant passages .   |                     | Relevant to claim No.                          |
|  |   |   |                     |  |
| Х                                      | SIMELL O ET AL: "Waste nitrog<br>via amino acid acylation: Benz                                   |   |                     | 30-33  |
|  | phenylacetate in lysinuric pro  |   |                     |  |
|  | intolerance"  |   |                     | ~  |
|  | PEDIATRIC RESEARCH, WILLIAMS A<br>BALTIMORE, MD, US,  | ND WILKINS,   | -                   | ч  |
|  | vol. 20, no. 11,  |   |                     |  |
|  | 1 January 1986 (1986-01-01), p  | ages  |                     |  |
|  | 1117-1121, XP009127277<br>ISSN: 0031-3998   |   |                     |  |
| Y                                      | the whole document  |   |                     | 1-29   |
|  |   | · ·   |                     |  |
|  |   | -/  |                     |  |
| -                                      |   |   | . :                 | •  |
|  |   |   | •· .                |  |
|  | · .   |   | -                   |  |
|  |   |   |                     |  |
|  |   |   |                     | a <del>at</del>                                |
|  |   | <b></b>   |                     |  |
| X Funt                                 | ner documents are listed in the continuation of Box C.  | See patent family   | annex.              |  |
| Special c                              | ategories of cited documents :  | "T" later document publish  | ned after the inte  | mational filing date                           |
|  | ent defining the general state of the art which is not<br>ered to be of particular relevance      | or priority date and no<br>cited to understand the                  |                     |  |
|  | locument but published on or after the international  | invention<br>"X" document of particular                             |                     |  |
| 'L' docume                             | nt which may throw doubts on priority claim(s) or   | <ul> <li>cannot be considered<br/>involve an inventive s</li> </ul> |                     | be considered to<br>cument is taken alone      |
| citation                               | is cited to establish the publication date of another<br>nor other special reason (as specified)  |   | to involve an inv   | ventive step when the                          |
| other n                                | ent referring to an oral disclosure, use, exhibition or<br>nearis                                 |   |                     | ore other such docu-<br>us to a person skilled |
|  | ent published prior to the international filing date but  | in the art.<br>"&" document member of t                             | the same patent     | family   |
| ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | actual completion of the international search   | Date of mailing of the  |                     |  |
| <b>.</b>                               |   |   | _                   |  |
| 18                                     | 8 December 2009   | 30/12/200   | )9                  | · .  |
| Name and m                             | nailing address of the ISA/   | Authorized officer  |                     |  |
|  | European Patent Office, P.B. 5818 Patentlaan 2<br>NL - 2280 HV Rijswijk<br>Tol (21, 20, 240, 2040 |   |                     |  |
|  | Tel. (+31–70) 340–2040,<br>Fax: (+31–70) 340–3016   | Moreno de   | e Vega, C           |  |

Form PCT/ISA/210 (second sheet) (April 2005)

----

.

•••

.

.

International application No PCT/US2009/055256

-

~

| C(Continua) | tion). DOCUMENTS CONSIDERED TO BE RELEVANT   |                                   |
|-------------|--|-----------------------------------|
| Category*   | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No.             |
| ſ           | MACARTHUR ROBERT B ET AL:<br>"Pharmacokinetics of sodium phenylacetate<br>and sodium benzoate following intravenous<br>administration as both a bolus and<br>continuous infusion to healthy adult<br>volunteers"<br>MOLECULAR GENETICS AND METABOLISM,<br>ACADEMIC PRESS, SAN DIEGO, CA, US,<br>vol. 81, no. Suppl.1,<br>1 April 2004 (2004-04-01), pages S67-S73,<br>XP009127291<br>ISSN: 1096-7192<br>the whole document | 1-33                              |
| ſ           | TANNER L M ET AL: "Nutrient intake in<br>lysinuric protein intolerance"<br>JOURNAL OF INHERITED METABOLIC DISEASE,<br>KLUWER ACADEMIC PUBLISHERS, DO,<br>vol. 30, no. 5, 21 June 2007 (2007-06-21),<br>pages 716-721, XP019548954<br>ISSN: 1573-2665<br>page 716 - page 717  | 1-33                              |
| <b>K</b>    | LEE B ET AL: "Preliminary data on adult<br>patients with urea cycle disorders (UCD)<br>in an open-label, switch-over,<br>dose-escalation study comparing a new<br>ammonia scavenger, glyceryl<br>tri(4-phenylbutyrate) (HPN-100), to<br>buphenyl (sodium phenylbutyrate (PBA))"<br>JOURNAL OF INHERITED METABOLIC DISEASE,<br>KLUWER, DORDRECHT, NL,   | 1-5,<br>15-17,<br>19-22,<br>30-33 |
| Ý           | vol. 31, no. suppl. 1,<br>1 August 2008 (2008-08-01), page 91,<br>XP009127344<br>ISSN: 0141-8955<br>the whole document   | 1-33                              |
|             |  |                                   |
|             |  |                                   |
| •           | ······································   |                                   |
|             |  | -                                 |
|             |  |                                   |
| 3           |  |                                   |

Form PCT/ISA/210 (continuation of second sheet) (April 2005)

-

# INTERNATIONAL SEARCH REPORT

 $\mathbb{PCT}$ 

A REPART FOR EXAMPLE

(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference<br>079532-8007.WO00  | FOR FURTHER<br>ACTION  | as well                     | see Form PCT/ISA/220<br>as, where applicable, item 5 below.                              |
|--|--|-----------------------------|--|
| International application No.<br>PCT/US2014/060543   | International filing date (day/mo<br>14 October 2014                     | onth/year)                  | (Earliest) Priority Date (day/month/year)<br>14 October 2013                             |
| Applicant<br>HYPERION THERAPEUTICS, INC.   |  |                             | · · · · · · · · · · · · · · · · · · ·  |
| This international search report has be<br>according to Article 18. A copy is bein   | en prepared by this International g transmitted to the International I   | Searching A<br>Bureau.      | Authority and is transmitted to the applicant  |
| This international search report consists  | a copy of each prior art document  | cited in this               | report.  |
| <ol> <li>Basis of the report         <ol> <li>Basis of the report</li> <li>With regard to the language, the international approximation of the internation of the intern</li></ol></li></ol> | e international search was carried<br>lication in the language in which  |                             | asis of:   |
| $\square$ a translation of the i   | nternational application into<br>ed for the purposes of internationa     |                             | which is the language of ules 12.3(a) and 23.1(b)).                                      |
| authorized by or notified t  | o this Authority under Rule 91 (R  | ule 43.6 <i>bis</i> (a      |  |
|  |  |                             | n the international application, see Box No. I.  |
| <ol> <li>Certain claims were four</li> <li>Unity of invention is lack</li> </ol>   | nd unsearchable (see Box No. II).<br>king (see Box No. III).             |                             |  |
| <ul> <li>With regard to the title,</li> <li>the text is approved as sub</li> </ul>   | pritted by the applicant.  |                             |  |
|  | ed by this Authority to read as fol                                      | lows:                       | •  |
|  |  |                             |  |
|  |  | · .                         |  |
| 5. With regard to the abstract,<br>the text is approved as sul   |  |                             |  |
| the text has been establish may, within one month from   | ned, according to Rule 38.2, by thi om the date of mailing of this inter | s Authority a national sear | as it appears in Box No. IV. The applicant rch report, submit comments to this Authority |
| <ol> <li>With regard to the drawings,</li> <li>a. the figure of the drawings to b</li> </ol>   | e published with the abstract is Fi                                      | gure No. <u>1</u>           | ·  |
| as suggested by the  |  |                             | est a figure.  |
| as selected by this A  | Authority, because this figure betto<br>pe published with the abstract.  |                             |  |

Form PCT/ISA/210 (first sheet) (July 2009)

|  |  | FC1/03201         | 4000040   |
|--|--|-------------------|---|
| A. CLASSIFICATION OF SUBJECT MATTER<br>IPC(8) - A61K 49/00 (2014.01)   |  |                   |   |
| CPC - A61K 31/216 (2014.10)<br>According to International Patent Classification (IPC) or to both nati  | onal classification and IPC  |                   |   |
| B. FIELDS SEARCHED   | · · · · ·  |                   |   |
| Minimum documentation searched (classification system followed by cla<br>IPC(8) - A61K 31/192, 49/00; A61P 13/00 (2014.01)<br>CPC - A61K 31/192, 31/216, 31/221 (2014.10) (keyword delimited)  | assification symbols)  |                   |   |
| Documentation searched other than minimum documentation to the exter<br>USPC - 424/9.2; 514/432, 433, 533, 568, 570 (keyword delimited)  | nt that such documents are in  | cluded in the     | fields searched   |
| Electronic data base consulted during the international search (name of d<br>Orbit, PubMed, Google Patents, Google Scholar<br>Search terms used: urea cycle disorder effective dosage phenylacetic<br>phenylbutyrate nitrogen scavenging Hyperion therapeutics   |  |                   |   |
| C. DOCUMENTS CONSIDERED TO BE RELEVANT   |  |                   |   |
| Category* Citation of document, with indication, where app   | ropriate, of the relevant pas  | ssages            | Relevant to claim No.   |
| X WO 2013/048558 A2 (HYPERION THERAPEUTIC INC)   | 04 April 2013 (04.04.2013)   | entire            | 1, 5, 6, 14   |
| Y document   |  |                   | 2-4, 7-13, 15-20  |
| Y US 2012/0022157 A1 (SCHARSCHMIDT) 26 January 2   | 1 (C)  | cument            | 2-4, 8-11, 15-20  |
| Y Monteleone et al. Population Pharmacokinetic Modeling<br>Y of Nitrogen-Scavenging Compounds: Disposition of Glyc<br>Phenylbutyrate in Adult and Pediatric Patients with Urea<br>(7): 699–710, July 2013. [retrieved on 15 December 201<br><url: articles="" http:="" pmc="" pmc392<br="" www.ncbi.nlm.nih.gov="">document</url:> | erol Phenylbutyrate and So<br>Cycle Disorders. J Clin Pha<br>41. Retrieved from the Intern | net.              | 7-13, 19, 20  |
|  |  |                   |   |
|  |  |                   | · · · ·   |
|  |  |                   |   |
|  |  |                   |   |
|  |  |                   |   |
|  |  |                   | · · ·   |
|  |  |                   |   |
| Further documents are listed in the continuation of Box C.   |  |                   |   |
| <ul> <li>Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered</li> </ul>   | "T" later document published<br>date and not in conflict<br>the principle or theory        | t with the appli  | rnational filing date or prior<br>cation but cited to understa<br>invention |
| to be of particular relevance<br>"E" earlier application or patent but published on or after the international<br>filing date  | "V" desumant of particular   | relevance; the    | claimed invention cannot<br>dered to involve an invent                      |
| "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  | "Y" document of particular<br>considered to involve  | relevance; the    | claimed invention cannot<br>sten when the document                          |
| <ul> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than</li> </ul>   | "&" document member of t   | son skilled in tr |   |
| the priority date claimed<br>Date of the actual completion of the international search   | Date of mailing of the inte  |                   |   |
| 15 December 2014   | 23 JAN   |                   |   |
| Name and mailing address of the ISA/US<br>Mail Stop PCT, Attn: ISA/US, Commissioner for Patents<br>P.O. Box 1450, Alexandria, Virginia 22313-1450  | Authorized officer:<br>Blain<br>PCT Helpdesk: 571-272-4300                                 | e R. Copenhe      | eaver   |
| Facsimile No. 571-273-3201   | PCT OSP: 571-272-7774  |                   |   |

# PATENT COOPERATION TREATY

|  |  |  | PCT  |
|--|--|--|--|
| PERKINS COIE LLP   |  |  |  |
| P.O. BOX 1247<br>SEATTLE, WA 98111-1247  |  |  | /RITTEN OPINION OF THE<br>TIONAL SEARCHING AUTHORITY   |
|  |  | . •  | (PCT Rule 43bis.1)   |
|  |  |  |  |
|  |  | Date of mailing<br>(day/month/year)  | 2 3 JAN 2015   |
| Applicant's or agent's file reference<br>079532-8007.WO00  |  | FOR FURTHER  | ACTION<br>See paragraph 2 below  |
| International application No.  | International filing date  | (dav/month/year)   | Priority date (day/month/year)   |
| CT/US2014/060543   | 14 October 2014  | (  | 14 October 2013  |
| nternational Patent Classification (IPC)   | or both national classifica  | tion and IPC   |  |
| PC(8) - A61K 49/00 (2014.01)   |  |  |  |
| CPC - A61K 31/216 (2014.10)<br>Applicant HYPERION THERAPEU   | TICS, INC.   |  |  |
|  | •  |  |  |
|  | · · · · · · · · · · · · · · · · · · ·  |  |  |
| 1. This opinion contains indications rel   | ating to the following ite   | ms:  | •  |
| Box No. I Basis of the op  | oinion   |  |  |
| Box No. II Priority  |  |  |  |
| Box No. III Non-establish  | ment of opinion with rega  | ard to novelty, invent   | tive step and industrial applicability   |
| Box No. IV Lack of unity   |  |  |  |
|  |  | (a)(i) with regard to t  | novelty, inventive step or industrial applicability  |
| Box No. V Reasoned state<br>citations and e  | xplanations supporting s   | uch statement  |  |
| Box No. VI Certain docum   | nents cited  | •  |  |
| Box No. VII Certain defect   | s in the international app   | lication   |  |
| Box No. VIII Certain observ  | 1 C  | 1. · · ·   |  |
| Box No. Vin Centain observ   |  |  | •  |
| 2. FURTHER ACTION  |  |  |  |
| International Preliminary Examining<br>other than this one to be the IPEA a<br>opinions of this International Search | g Authority ("IPEA") exc<br>nd the chosen IPEA has r<br>ling Authority will not be | ept that this does not to the internation of the in | be considered to be a written opinion of the<br>t apply where the applicant chooses an Authorit<br>onal Bureau under Rule 66.1 <i>bis</i> (b) that written |
| Katis suisien is an mauidad about  | , considered to be a writte  | en opinion of the IPE<br>s, before the expiration  | EA, the applicant is invited to submit to the IPEA<br>on of 3 months from the date of mailing of Fom<br>ever expires later.                                |
| For further options, see Form PCT/I  | SA/220.  | •  |  |
|  |  |  |  |
|  | •  |  |  |
|  |  |  |  |
|  |  |  |  |
|  | Data of comulation of  | this opinion   | Authorized officer   |
| Name and mailing address of the ISA/US<br>Mail Stop PCT, Attn: ISA/US  |  | 1<br>1   | Authorized officer:<br>Blaine R. Copenheaver   |
|  | 15 December 20   | 1<br>1   | Authorized officer:<br>Blaine R. Copenheaver<br>PCT Helpdesk: 571-272-4300   |

ż

|  |  | OPINION OF THE   | <b>.</b> ,       | International application   | ation No.               |
|--|--|--|------------------|---|-------------------------|
|  | INTERNATIONAL  | SEARCHING AUTHORIT   | Y                | PCT/US2014/0605   | 543                     |
| Box No. I                              | Basis of this opinion  |  |                  |   |                         |
| 1. With r                              | egard to the language, this  | opinion has been established   | on the basis of: |   |                         |
| $\boxtimes$                            | the international application  | on in the language in which i  | t was filed.     |   |                         |
|  |  | tional application into<br>te purposes of international s<br>blished taking into account th  | earch (Rules 12  |   |                         |
| 2.                                     | to this Authority under Ru   | le 91 (Rule 43 <i>bis</i> 1(a))  |                  |   |                         |
| <ol> <li>With r<br/>establi</li> </ol> | egard to any <b>nucleotide an</b><br>shed on the basis of a seque          | d/or amino acid sequence of ence listing filed or furnished  | disclosed in the | international application,  | , this opinion has been |
| a. (m                                  | eans)  |  |                  |   |                         |
|  | on paper   |  |                  |   |                         |
| L                                      | in electronic form   |  |                  |   |                         |
|  |  |  |                  |   |                         |
| b. (tii                                | in the international ap  | nlication as filed   |                  | ~   |                         |
|  |  | national application in electr   | onic form        |   |                         |
| ·                                      |  | authority for the purposes of  |                  |   |                         |
| L                                      | subsequently to this P   | futionity for the purposes of  |                  |   | <i>·</i>                |
|  | statements that the inform<br>does not go beyond the ap                    | nation in the subsequent or a optication as filed, as appropriate  | dditional copies | e listing has been filed or<br>is identical to that in the<br>shed. | application as filed or |
| 5 Addit                                | does not go beyond the ap  | nation in the subsequent of a splication as filed, as appropriation as filed, as appropriation of the subsequent of a splication of the subsequent of a subseq | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | statements that the inform<br>does not go beyond the aj<br>ional comments: | nation in the subsequent of a splication as filed, as appropriation approprinition appropri | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a opplication as filed, as appropriation as filed, as appropriate the subsequent of a subsequent o | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a opplication as filed, as appropriation as filed, as appropriate the subsequent of a subsequent o | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a splication as filed, as appropriation as filed, as appropriate the state of the | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a opplication as filed, as appropriation as filed, as appropriation as filed, as appropriation of the subsequent of the subseque | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a splication as filed, as appropriation as filed, as appropriate the subsequent of a subsequent of | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a opplication as filed, as appropriation as filed, as appropriate the subsequent of a subsequent o | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a opplication as filed, as appropriation as filed, as appropriation of the subsequent of the | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a opplication as filed, as appropriation as filed, as appropriate the subsequent of a subsequent o | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a spiloation as filed, as appropriation as filed, as a specific as a | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a<br>oplication as filed, as appropr   | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a<br>optication as filed, as appropriation   | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a spiloation as filed, as appropriation as filed, as appropriate the subsequent of a subsequent of | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a spilication as filed, as appropriation as filed, as appropriate the subsequent of a subsequent o | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a<br>optication as filed, as appropri-   | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | nation in the subsequent of a spilication as filed, as appropriation as filed, as appropriate the second se | dditional copies | is identical to that in the   | application as filed or |
| 5. Addit                               | does not go beyond the ap  | hation in the subsequent of a spilication as filed, as appropriation as filed, as appropriate the subsequent of a subsequent of a spilication as filed, as appropriate the subsequent of a spin structure the subsequent of a spin | dditional copies | is identical to that in the   | application as filed or |
| 5. Additi                              | does not go beyond the ap  | hation in the subsequent of a<br>oplication as filed, as appropri-   | dditional copies | is identical to that in the   | application as filed or |

Ξ.

434 of 532

|            | WRITTEN OPINION OF THE<br>INTERNATIONAL SEARCHING AUTHORITY |                                |   | International application No.<br>PCT/US2014/060543 |
|------------|---|--------------------------------|---|--|
| Box No. V  | Reasoned statement un<br>citations and explanati            | nder Rule 43b<br>ons supportin | <i>is.</i> 1(a)(i) with regard to no<br>ag such statement | welty, inventive step or industrial applicability; |
| I. Stateme | ent   |                                |   |  |
| Nov        | elty (N)  | Claims                         | 2-4, 7-13, 15-20  | YES  |
|            |   | Claims                         | 1, 5, 6, 14   | NO   |
| Inve       | ntive step (IS)   | Claims                         | None  | YES  |
|            | -<br>-  | Claims                         | 1-20  | NO   |
| Indu       | strial applicability (IA)                                   | Claims                         | 1-20  | YES  |
|            |   | Claims                         | None  | NO   |

#### Citations and explanations:

Claims 1, 5, 6, and 14 lack novelty under PCT Article 33(2) as being anticipated by Hyperion Therapeutics Inc (hereafter Hyperion Therapeutics).

Regarding claim 1, Hyperion Therapeutics discloses a method of determining an effective dosage of a phenylacetic acid (PAA) prodrug for treating a urea cycle disorder (UCD) in a subject in need thereof (methods for determining whether to increase a dosage of a nitrogen scavenging drug in a subject with a nitrogen retention disorder is a UCD; wherein a determination is made to administer an increased dosage of nitrogen scavenging drug and wherein the nitrogen scavenging drug is a PAA pro-drug, Pg. 3, [0010]) comprising: calculating a body surface area (BSA) for the subject; and comparing the BSA to a predetermined threshold value (Initial dosage is determined based on body surface area (BSA) or as otherwise instructed according to HPN-100 drug labeling. Patient A's body surface area is 1.4 m2, Pg. 24; [0080]), wherein the effective dosage is a first dosage if the BSA is at or above the predetermined threshold value or a second dosage if the BSA is below the predetermined threshold value, and wherein the scond dosage is higher than the first dosage a function of BSA (administering a first dosage of a nitrogen scavenging drug, measuring a fasting blood ammonia level for the subject, and comparing the sating blood ammonia level for the subject, and comparing the fasting blood ammonia level to a ULN for blood ammonia. If the first dosage is administered to the subject, Pg. 11; [0038]).

Regarding claim 5, Hyperion therapeutics discloses the method of claim 1, wherein the PAA pro drug is selected from the group consisting of glyceryl tri-[4-phenylbutyrate] and phenylbutyrate (treatment of nitrogen retention disorders is glyceryl tri-[4-phenylbutyrate] .(HPN-100), Pg. 2; [0005]) or a pharmaceutically acceptable salt thereof including sodium phenylbutyrate (sodium phenylbutyrate (NaPBA, Pa, 2; [0005]).

Regarding claim 6, Hyperion therapeutics discloses a method of evaluating compliance with a phenylacetic acid (PAA) pro-drug treatment regimen in a subject with a urea cycle disorder (UCD) being treated with a PAA pro-drug (the methods disclosed herein for adjusting the dosage of a nitrogen scavenging drug comprise an additional step of measuring urinary PAGN and calculating an effective dosage based on a mean conversion of PAA prodrug to urinary PAGN of 60-75%, Pg. 18; [0057]) comprising: classifying the subject into a dosage group based on the dosage of a PAA pro-drug the subject is currently receiving; determining a urinary phenylacetylglutamine (PAGN) level for the subject (Patient B is an 11-year UCD patient receiving 24 pills of BUPHENYL ®per day, amino acid supplements, and restricted dietary protein intake. Patient B does not consume BUPHENYL ® supplements, or food for approximately 6 hours prior to a fasting morning blood draw, Pg. 25; [0082]); and comparing the urinary PAGN level is a predetermined threshold urinary PAGN level falling between 1 and 1.5 times the Upper limit of normal (ULN) represents a 55% chance of having an average ammonia level falling between 1 and 1.5 times the Upper limit of normal (ULN) represents a 55% chance of having an average ammonia during the day that is greater than the normal range, and as high as a 65% chance that her ammonia will go above 52 micro mol/L or 1.5 times ULN during the day, Pg. 25; [0082]), wherein a urinary PAGN level below the predetermined threshold urinary PAGN level indicates that the subject is non-compliant with the PAA pro-drug treatment regimen (Based on discussion with the patient and her mother, the physician suspects that Patient B is noncompliant with her medication, and decides to change her to HPN-100, Pg. 25; [0083]).

Regarding claim 14, Hyperion therapeutics discloses a method of treating a urea cycle disorder (UCD) in a subject in need thereof comprising: classifying the subject into a body surface area (BSA) group based on the subject's BSA level (Initial dosage is determined based on body surface area, Pg. 24; [0080]. Population PK model building was performed on 65 UCD patients who data points from 53 adult and 11 pediatric UCD patients (ages 6-17) who participated in 3 switch over studies of NaPBA and GPB. The median GPB dose, expressed as grams of PBA per m2, was 8.85 and 7.01 for pediatric and adult subjects, Pg. 29; [0098]); and determining a urinary phenylacety/glutamine (PAGN) level for the subject; comparing the urinary PAGN level to a predetermined threshold urinary PAGN level; phenylacety/glutamine (PAGN) level for the subject if the urinary PAGN level for the subject is below the predetermined threshold urinary PAGN level (The final model that best fit the data was characterized by (a) partial conversion of PBA to PAGN prior to reaching the systemic circulation, (b) saturable conversion of PAA to PAGN (Km about 161 micro g/mI), and (c) about 60% slower PBA absorption when delivered as GPB vs. NaPBA. Body surface area (BSA) was a significant covariate such that metabolite clearance was proportionally related to BSA. Fractional presystemic metabolism of PBA was higher for adults than for pediatric patients receiving GPB (43% vs. 14%), whereas the reverse was true for NaPBA (23% vs. 43%). Predicted median PAA exposure based on simulated GPB dosing at the PBA equivalent of 13g/m2 of NaPBA (23% vs. 43%). Predicted median PAA exposure based on simulated GPB dosing at the PBA equivalent of 13g/m2 of NaPBA was about 13%-22% lower in adults than NaPBA (Cmax = 154 vs. 138 micro g/mL; AUCO\_24 = 649 vs. 829 micro g.h/m) and about 13% higher in pediatric subjects ages 6-17 than NaPBA (Cmax = 154 vs. 138 micro g/mL;

 $z_{A_{i}}$ 

Form PCT/ISA/237 (Box No. V) (July 2011)

| WRITTEN OPINION OF THE            |
|-----------------------------------|
| INTERNATIONAL SEARCHING AUTHORITY |

International application No.

PCT/US2014/060543

## Supplemental Box

In case the space in any of the preceding boxes is not sufficient. Continuation of

Claims 2-4 and 15-18 lack an inventive step under PCT Article 33(3) as being obvious over hereafter Hyperion Therapeutics in view of Scharschmidt.

Regarding claim 2, Hyperion Therapeutics discloses the method of claim 1, wherein the predetermined threshold value is 1.4 m2 (Patient A's body surface area is 1.4 m2, and therefore the initial dosage is determined to be 9 mL per day or 3 mL TID (three-time-a-day), which is approximately 60% of the maximum allowed dosage per HPN-100 label, Pg. 24; [0080]), but fails to explicitly discose wherein the predetermined threshold value is 1.3 m2. Further, Scharschmidtteaches wherein the predetermined threshold value is 1.3 m2 (The threshold level for this analysis, 30 micro mol/L, was the average upper limit for normal ammonia levels among the study sites, Pg. 11; [0098]). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Therapeutics wherein the predetermined threshold value is 1.3 m2, as taught by Scharschmidt. The motivation for doing so would be to provide provides a novel approach for a determining and adjusting the schedule and dose of orally administered nitrogen scavenging drugs (Scharschmidt, Pg. 2; [0021]).

Regarding claim 3, Hyperion therapeutics discloses the method of claim 1, wherein the first dosage (the initial dosage is determined to be 9 mL per day or 3 mL TID, Pg. 24; [0080]; Predicted median PAA exposure based on simulated GPB dosing at the PBA equivalent of 13g/m2 of NaPBA), but fails to explicitly discloses wherein the first dosage is about 7.18 g.m2/day. Further, Scharschmidt in the field of nitrogen scavenging drug (Abstract) teaches wherein the first dosage is about 7.18 g/m2/day (9.9-13.0 g/m2/day, Pg. 4; Table 1; Single Dose-3 g/m2/day, Pg. 5; Table 2; PBA is pro-drug for PAA; Pg. 5; [0041]). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Therapeutics wherein the first dosage is about 7.18 g/m2/day, as taught by Scharschmidt. The motivation for doing so would be to provide provides a novel approach for a determining and adjusting the schedule and dose of orally administered nitrogen scavenging drugs (Scharschmidt, Pg. 2; [0021]).

Regarding claim 4, Hyperion therapeutics discloses the method of claim 1, wherein the second dosage (Patient A's dosage of HPN-100 is increased by approximately one-third to 12 mL total or 4 mL TID, Pg. 24; [0080], his maximal daily ammonia is not expected to exceed approximately 52 micro mol/L, i.e., approximately 1.5 times the ULN, Pg. 24; [0080], his maximal daily ammonia is not expected to exceed dosage is about 8.35 g/m2/day Further, Scharschmidt in the field of nitrogen scavenging drug (Abstract) teaches wherein the second dosage is about 8.35 g/m2/day (For a subject weighing more than 20 kg, a dosage range for HPN-10 would be between 8.6 and 11.2 mL/m2; Pg. 14; [0114]). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Therapeutics wherein the second dosage is about 8.35 g/m2/day (Scharschmidt, For a determining and adjusting the schedule and dose of orally administered nitrogen scavenging drug (Scharschmidt, Pg. 2; [0021]).

Regarding claim 15, Hyperion therapeutics discloses the method of claim 14, but fails to explicitly disclose wherein the predetermined threshold urinary PAGN level is a 25th percentile urinary PAGN level for the subject's BSA group. However, Scharschmidt in the field of in the sold urinary PAGN level for the subject's BSA group (HPN-100 that was excreted in urine was PAGN, accounting for 39% of the administered HPN-100. By contrast, when oral sodium PBA was administered, PAGN accounted for only 23% of the radio labeled material, and unchanged PBA accounted for 48% of the administered dosage of oral sodium PBA, Pg. 26-27; [0290]). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Therapeutics wherein the predetermined threshold urinary PAGN level is a 25th percentile urinary PAGN level is a 25th percentile of the subject's dosage of oral sodium PBA, Pg. 26-27; [0290]). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Therapeutics wherein the predetermined threshold urinary PAGN level is a 25th percentile urinary PAGN level for the subject's dosage group, as taught by Scharschmidt. The motivation for doing so would be to provide provides a novel approach for a determining and adjusting the schedule and dose of orally administered nitrogen scavenging drugs (Scharschmidt, Pg. 2; [0021]).

Regarding claim 16, Hyperion therapeutics in view of Scharschmidt discloses the method of claim 15. Hyperion therapeutics discloses wherein the subject's BSA group is less than or equal to 1.3 m2 or greater than 1.3 m2 (Initial dosage is determined based on body surface area or as otherwise instructed according to HPN-100 drug labeling. Patient A's body surface area is 1.4 m2, Pg. 24; [0080]).

Form PCT/ISA/237 (Supplemental Box) (July 2011)

# WRITTEN OPINION OF THE

International application No. PCT/US2014/060543

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient. Continuation of:

Regarding claim 17, Hyperion therapeutics in view of Scharschmidt discloses the method of claim 16. Hyperion therapeutics fails to explicitly disclose wherein the 25th percentile urinary PAGN level is about 3290 micro g/mL for the less than or equal to 1.3 m2 BSA group; and the 25th percentile urinary PAGN level is about 5259 micro g/mL for the greater than 1.3 m2 BSA group. However, Scharschmidt in the field of in the field of nitrogen scavenging drug (Abstract) teaches wherein the 25th percentile urinary PAGN level is about 8390 micro g/mL for the less than or equal to 1.3 m2 BSA group; and the 25th percentile urinary PAGN level is about 8390 micro g/mL for the less than or equal to 1.3 m2 BSA group; and the 25th percentile urinary PAGN level is about 5259 micro g/mL for the greater than 1.3 m2 BSA group (9.9-13.0 g/m2/day of Sodium PBA; 9.4-12.4 g/m2/day of HPN-100 PBA, Pg. 27; Table ; HPN -100 is typically converted into urinary PAGN with an efficiency of about 40% to 70% (typically about 54% conversion was found in UCD patients), thus the physician would expect to observe about 17 g of urinary PAGN output per day from this dosage of HPN-100. Pg. 27; [0296], Pg. 14; [0114]). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyberion Therapeutics teaches wherein the 25th percentile urinary PAGN level is about 5259 microg/mL for the greater than 1.3 m2 BSA group, as taught by Scharschmidt. The motivation for doing so would be to provides a novel approach for a determining and adjusting the schedule and dose of orally administered nitrogen scavenging drugs (Scharschmidt, Pg. 2; [0021]).

Regarding claim 18, Hyperion therapeutics in view of Scharschmidt discloses the method of claim 16. Hyperion therapeutics fails to explicitly disclose wherein the 25th percentile urinary PAGN level is about 8000 micro g/mL for the less than or equal to 1.3 m2 BSA group; and the 25th percentile urinary PAGN level is about 5000 micro g/mL for the greater than 1. 3m2 BSA group. However, Scharschmidt in the field of in the field of nitrogen scavenging drug (Abstract) teaches wherein the 25th percentile urinary PAGN level is about 8000 micro g/mL for the greater than 1. 3m2 BSA group. However, Scharschmidt in the field of in the field of nitrogen scavenging drug (Abstract) teaches wherein the 25th percentile urinary PAGN level is about 8000 micro g/mL for the greater than 1.3 m2 BSA group (9.9-13.0 g/m2/day of Sodium PBA; 9.4-12.4 g/m2/day of HPN-100 PBA, Pg. 27; Table . HPN-100 is typically converted into urinary PAGN with an efficiency of about 40% to 70% (typically about 54% conversion was found in UCD patients), thus the physician would expect to observe about 17 g of urinary PAGN output per day from this dosage of HPN-100. Pg. 27; [0296], Pg. 14; [0114]). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Therapeutics teaches wherein the 25th percentile urinary PAGN level is about 5000 micro g/mL for the less than or equal to 1.3 m2 BSA group; and the 25th percentile urinary PAGN level is about 5000 micro g/mL for the sets than erequal to 1.3 m2 BSA group, as taught by Scharschmidt. The motivation for doing so would be to provide provides a novel approach for a determining and adjusting the schedule and dose of orally administered nitrogen scavenging drugs (Scharschmidt, Pg. 2; [0021]).

Claims 7, 12, and 13 lack an inventive step under PCT Article 33(3) as being obvious over Hyperion Therapeutics in view of Monteleone et al.

Regarding claim 7, Hyperion therapeutics discloses the method of claim 6, but fails to explicitly disclose wherein the subject is less than 6 years of age. However, Monteleone et al. in the field of impaired urea synthesis and hyperammonemia (Abstract) teach wherein the subject is less than 6 years of age (Patients collectively spanned ages 2 months to 72 years, Abstract and Pg. 5; 6th Para.). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Therapeutics wherein the subject is less than 6 years of age, as taught by Monteleone et al. The motivation for doing so would be to provide a novel approach for particular attention to phenylacetic acid (PAA), which has been associated with adverse events in non-UCD populations (Monteleone et al., Abstract).

Regarding claim 12, Hyperion therapeutics in view of Monteleone et al. discloses the method of claim 7. Hyperion therapeutics discloses wherein a dosage of a PAA pro-drug is administered to the subject if the urinary PAGN level for the subject is below the predetermined threshold urinary PAGN level (In certain embodiments wherein a determination is made to administer an increased dosage of nitrogen scavenging drug is a PAA pro-drug, the methods include an additional step of measuring urinary PAGN excretion and determining an effective dosage of the PAA pro-drug based on a mean conversion of PAA pro-drug to urinary PAGN of 60-75%, Pg. 3; [0010]. These findings based on PopPK modeling and dosing simulations suggest that while most patients treated with PAA pro-drugs including NaPBA or HPN-100 will have PAA levels below those reportedly associated with toxicity, Pg. 29; [00100]).

Regarding claim 13, Hyperion therapeutics in view of Monteleone et al. discloses the method of claim 12. Hyperion therapeutics teaches wherein the dosage of the PAA pro drug is an effective dosage (a determination is made to administer an increased dosage of nitrogen scavenging drug and wherein the nitrogen scavenging drug is a PAA pro-drug, the methods include an additional step of measuring urinary PAGN excretion and determining an effective dosage of the PAA pro-drug, Pg. 3; [0010]).

Form PCT/ISA/237 (Supplemental Box) (July 2011)

3

# Supplemental Box

### In case the space in any of the preceding boxes is not sufficient.

Continuation of:

Claims 8-11 lack an inventive step under PCT Article 33(3) as being obvious over Hyperion Therapeutics in view of Monteleone et al. and Scharschmidt.

Regarding claim 8, Hyperion therapeutics in view of Monteleone et al. discloses the method of claim 7. Hyperion therapeutics fails to explicitly disclose wherein the predetermined threshold urinary PAGN level is a 25th percentile urinary PAGN level for the subject's dosage group. However, Scharschmidt in the field of in the field of nitrogen scavenging drug (Abstract) teaches wherein the predetermined threshold urinary PAGN level for the subject's dosage group. However, Scharschmidt in the field of in the field of nitrogen scavenging drug (Abstract) teaches wherein the predetermined threshold urinary PAGN level for the subject's dosage group (HPN-100 that was excreted in urine was PAGN, accounting for 39% of the administered HPN-100. By contrast, when oral sodium PBA was administered, PAGN accounted for only 23% of the radio labeled material, and unchanged PBA accounted for 48% of the administered dosage of oral sodium PBA, Pg. 26-27; [0290]). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Therapeutics wherein the predetermined threshold urinary PAGN level is a 25th percentile urinary PAGN level for the subject's dosage group, as taught by Scharschmidt. The motivation for doing so would be to provide provides a novel approach for a determining and adjusting the schedule and dose of orally administered nitrogen scavenging drugs (Scharschmidt, Pg. 2; [0021]).

Regarding claim 9, Hyperion therapeutics in view of Monteleone et al. discloses the method of claim 8. Hyperion therapeutics fails to explicitly disclose wherein the dosage group is selected from the group consisting of less than 6 mL/m2, 6 to 10 mL/m2, and greater than 10 mL/m2. However, Scharschmidt in the field of nitrogen scavenging drug (Abstract) teaches wherein the dosage group is selected from the group consisting of less than 6 mL/m2, 6 to 10 mL/m2, and greater than 10 mL/m2. However, Scharschmidt in the field of nitrogen scavenging drug (Abstract) teaches wherein the dosage group is selected from the group consisting of less than 6 mL/m2, 6 to 10 mL/m2, and greater than 10 mL/m2 (a daily dosage in a range of 9.9-13.0 g/m2 set according to the subject's size for subjects over 20 kg in weight; and a dosage within a range of 450-600 mg/kg for subjects weighing less than or equal to 20 kg is indicated; For a subject weighing more than 20 kg, a dosage range for HPN-100 would be between 8.6 and 11.2 mL/m2. For a subject weighing less than 20 kg, a dosage range of HPN-100, Pg. 14; (0114]). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Therapeutics wherein the dosage group is selected from the group consisting of less than 6 mL/m2, 6 to 10 mL/m2, and greater than 10 mL/m2, as taught by Scharschmidt. The motivation for doing so would be to provide a novel approach for a determining and adjusting the schedule and dose of orally administered nitrogen scavenging drugs (Scharschmidt, Pg. 2; [0021]).

Regarding claim 10, Hyperion therapeutics in view of Monteleone et al. discloses the method of claim 9. Hyperion therapeutics fails to explicitly disclose wherein the 25th percentile urinary PAGN level is about 1256 micro g/mL for the less than 6 mL/m2 dosage group; the 25th percentile urinary PAGN level is about 3053 micro g/mL for the 6 to 10 mL/m2 dosage group; and the 25th percentile urinary PAGN level is about 10 mL/m2 dosage group. However, Scharschmidt in the field of in the field of nitrogen scavenging drug (Abstract) teaches wherein the 25th percentile urinary PAGN level is about 1256 micro g/mL for the less than 6 mL/m2 dosage group; and the 25th percentile urinary PAGN level is about 10 mL/m2 dosage group; the 25th percentile urinary PAGN level is about 3053 micro g/mL for the 6 to 10 mL/m2 dosage group; and the 25th percentile urinary PAGN level is about 3053 micro g/mL for the 6 to 10 mL/m2 dosage group; and the 25th percentile urinary PAGN level is about 3053 micro g/mL for the 6 to 10 mL/m2 dosage group; and the 25th percentile urinary PAGN level is about 6990 micro g/mL for the greater than 10 mL/m2 dosage group (1 gram of PAA mediates the percentile urinary PAGN level is converted to PAGN; 47% of dietary protein is excreted as waste nitrogen, and 16% of dietary protein consists of nitrogen, Pg. 15; [0124]). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Pg. 15; [0124]). It would have been the 25th percentile urinary PAGN level is about 1056 micro g/mL for the less than 6 mL/m2 dosage group; the 25th percentile urinary PAGN level is about 3053 micro g/mL for the 6 to 10 mL/m2 dosage group; and the 25th percentile urinary PAGN level is about 3050 micro g/mL for the 6 to 10 mL/m2 dosage group; and the 25th percentile urinary PAGN level is about 3053 micro g/mL for the 6 to 10 mL/m2 dosage group; the 25th percentile urinary PAGN level is about 3053 micro g/mL for the 6 to 10 mL/m2 dosage group; and the 25th percentile urinary PAG

Regarding claim 11, Hyperion therapeutics in view of Monteleone et al. discloses the method of claim 9. Hyperion therapeutics fails to explicitly disclose wherein the 25th percentile urinary PAGN level is about 1000 microg/mL for the less than 6 mL/m2 dosage group; the 25th percentile urinary PAGN level is about 3000 microg/mL for the 6 to 10 mL/m2 dosage group; and the 25th percentile urinary PAGN level is about 7000 microg/mL for the greater than 10 mL/m2 dosage group. However, Scharschmidt in the field of in the field of nitrogen scavenging drug (Abstract) teaches wherein the 25th percentile urinary PAGN level is about 1000 microg/mL for the less than 6 mL/m2 dosage group; the 25th percentile urinary PAGN level is about 3000 microg/mL for the 6 to 10 mL/m2 dosage group; and the 25th percentile urinary PAGN level is about 3000 microg/mL for the 6 to 10 mL/m2 dosage group; and the 25th percentile urinary PAGN level is about 7000 microg/mL for the greater than 10 mL/m2 dosage group (Dose 1: 3 mL BID Corresponds to about 0.47 x the dose administered in Example 2, for a 70 kg adult and about 0.35 x the amount of PBA (about 6 1 g) delivered in the maximum approved dose of sodium PBA of 20 g expected to mediate excretion of waste nitrogen associated with about 8 g of dietary protein; Dose 2: 9 mL BID Corresponds to about 1.42 the dose administered in Example 2, for a 70 kg adult and about 1.13 x the amount of PBA (about 1.3 g delivered in the maximum approved dose of sodium PBA of 20 g expected to mediate excretion of waste nitrogen associated with about 25 g of dietary protein; Dose 3: 15 mL BID Corresponds to about 2.36 x the dose administered in Example 2, for a 70 kg adult and about 1.73 x the amount of PBA (about 30.3 g) delivered in the maximum approved dose of sodium PBA of 20 g expected to mediate excretion of waste nitrogen associated with about 4.9 g of dietary protein, PG. 1; Table 5). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion The

Form PCT/ISA/237 (Supplemental Box) (July 2011)

| WRITTEN OPINION OF THE            |
|-----------------------------------|
| INTERNATIONAL SEARCHING AUTHORITY |

Supplemental Box

1

| In case the space in any of the preceding boxes is not sufficient.<br>Continuation of:<br>Claims 19 and 20 lack an inventive step under PCT Article 33(3) as being obvious over Hyperion Therapeutics in view of Scharschmidt<br>and Monteleone.   |
|--|
| Regarding claim 19, Hyperion therapeutics in view of Scharschmidt discloses the method of claim 16. Hyperion therapeutics fails to explicitly disclose wherein the subject is 2 years of age or older and the 25th percentile urinary PAGN level is about 7412 micro g/mL for the less than or equal to 1.3 m2 BSA group. However, Monteleone et al. teach wherein the subject is 2 years of age or older (enrolled patients ages 2 months to 72 years, Abstract) and the 25th percentile urinary PAGN level is about 7412 micro g/mL for the less than or equal to 1.3 m2 BSA group. However, Monteleone et al. teach wherein the subject is 2 years of age or older (enrolled patients ages 2 months to 72 years, Abstract) and the 25th percentile urinary PAGN level is about 7412 micro g/mL for the less than or equal to 1.3 m2 BSA group (Body size (expressed as BSA/1.73) was significant on parameters of clearance, volume, and presystemic conversion (alpha and beta) resulting in small BSA individuals having smaller PK values for these parameters compared to individuals values BSA values, Pg. 7; second Para. The saturable, BSA-dependent conversion of PAA to PAGN is manifested as the generally higt PAA exposure observed in smaller (lower BSA) patients during maximal dosing. while median PAA levels are well below 500 micro g/m even at the maximal dose and in the youngest patients, the upper 95% confidence intervals suggest the theoretical possibility that some pediatric patients would be exposed to PAA values exceeding 500 micro g/mL, Pg. 9; 4th Para.). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Therapeutics wherein the subject is 2 years of age or older and the 25th percentile urinary PAGN level is about 7412 micro g/mL for the less than or equal to 1.3 m2 BSA group, as taught by Monteleone et al. The motivation for doing so would be to provide a novel approach for dose simulations were performed with particula attention to phenylacetic acid (PAA), which has been associate |
| Regarding claim 20, Hyperion therapeutics in view of Scharschmidt discloses the method of claim 16. Hyperion therapeutics fails to explicitly disclose wherein the subject is 2 years of age or older and the 25th percentile urinary PAGN level is about 7000 micro g/mL fo the less than or equal to 1. 3 m2 BSA group. However, Monteleone et al. teach wherein the subject is 2 years of age or older (enrolled patients ages 2 months to 72 years, Abstract) and the 25th percentile urinary PAGN level is about 7000 micro g/mL for the less than or equal to 1. 3m2 BSA group. However, Monteleone et al. teach wherein the subject is 2 years of age or older (enrolled patients ages 2 months to 72 years, Abstract) and the 25th percentile urinary PAGN level is about 7000 micro g/mL for the less than or equal to 1. 3m2 BSA group (Body size (expressed as BSA/1.73) was significant on parameters of clearance, volume, and presystemic conversion (alpha and beta) resulting in small BSA individuals having smaller PK values for these parameters compared to individuals   |

4,

equal ividuals with conversion (alpha and beta) resulting in small BSA individuals having smaller PK values for these parameters compared to individuals with larger BSA values, Pg. 7; second Para. The saturable, BSA-dependent conversion of PAA to PAGN is manifested as the generally higher PAA exposure observed in smaller (lower BSA) patients during maximal dosing while median PAA levels are well below 500 micro g/mL, even at the maximal dose and in the youngest patients, the upper 95% confidence intervals suggest the theoretical possibility that some pediatric patients would be exposed to PAA values exceeding 500 micro g/mL, Pg. 9; 4th Para.). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hyperion Therapeutics wherein the subject is 2 years of age or older and the 25th percentile urinary PAGN level is about 7000 micro g/mL for the less than or equal to 1.3 m2 BSA group, as taught by Monteleone et al. The motivation for doing so would be to provide a novel approach for dose simulations were performed with particular attention to phenylacetic acid (PAA), which has been associated with adverse events in non-UCD populations (Monteleone et al., Abstract). Abstract).

Claims 1-20 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

Form PCT/ISA/237 (Supplemental Box) (July 2011)

| Electronic Acknowledgement Receipt   |   |  |  |  |  |
|--------------------------------------|---|--|--|--|--|
| EFS ID:                              | 22545063  |  |  |  |  |
| Application Number:                  | 13775000  |  |  |  |  |
| International Application Number:    |   |  |  |  |  |
| Confirmation Number:                 | 7929  |  |  |  |  |
| Title of Invention:                  | METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING<br>DRUGS |  |  |  |  |
| First Named Inventor/Applicant Name: | Bruce Scharschmidt  |  |  |  |  |
| Customer Number:                     | 101325  |  |  |  |  |
| Filer:                               | Dennis A. Bennett/Vicki Truman                                    |  |  |  |  |
| Filer Authorized By:                 | Dennis A. Bennett   |  |  |  |  |
| Attorney Docket Number:              | HOR0026-201D1-US  |  |  |  |  |
| Receipt Date:                        | 05-JUN-2015   |  |  |  |  |
| Filing Date:                         | 22-FEB-2013   |  |  |  |  |
| Time Stamp:                          | 18:48:31  |  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)                                       |  |  |  |  |

# Payment information:

| Submitted with Payment |                      | no              | no   |                     |                     |  |  |
|------------------------|----------------------|-----------------|--|---------------------|---------------------|--|--|
| File Listing:          |                      |                 |  |                     |                     |  |  |
| Document<br>Number     | Document Description | File Name       | File Size(Bytes)/<br>Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl.) |  |  |
| 1                      | Foreign Reference    | WO9422494A1.pdf | 12620304                                     | no                  | 460                 |  |  |
|                        |                      | WO9422494AT.pu  | 3c4bf1d6042a077773a3ce513df7225657fa<br>f744 |                     |                     |  |  |
| Warnings:              |                      | ·               | · · · ·                                      |                     |                     |  |  |
| Information:           |                      |                 |  |                     |                     |  |  |

| i            |                       | 1                           | 1  |    |    |
|--------------|-----------------------|-----------------------------|--|----|----|
| 2            | Foreign Reference     | WO2013048558.PDF            | 1888960<br>                                  | no | 37 |
| Warnings:    |                       |                             | 89b  |    |    |
| Information: |                       |                             |  |    |    |
|              |                       |                             | 2539118                                      |    |    |
| 3            | Foreign Reference     | WO2013158145A1.pdf          | 0d5a792e8d76a2e55c5cebc1dab781a5c54<br>c6170 | no | 50 |
| Warnings:    |                       | 1                           | 11   |    |    |
| Information: |                       |                             |  |    |    |
|              | N                     |                             | 2431046                                      |    | _  |
| 4            | Non Patent Literature | Maestri_1995.pdf            | a79699bd040299f284cdaa7dd1dd2b8e7c2<br>61794 | no | 7  |
| Warnings:    |                       | 1                           | 1  |    |    |
| Information: |                       |                             |  |    |    |
| _            |                       |                             | 3434083                                      |    |    |
| 5            | Non Patent Literature | Summar_2001A.pdf            | b0cbe72d5c3b5eeee5ef5d73a0dcc1a0329<br>ca5e3 | no | 10 |
| Warnings:    |                       | 1                           | 1  |    |    |
| Information: |                       |                             |  |    |    |
| _            |                       |                             | 4936519                                      | no | 6  |
| 6            | Non Patent Literature | Phuphanich_2005A.pdf        | 3ed4635fbe8e4b422cd5184d1cb1067b7c2<br>db331 |    |    |
| Warnings:    |                       | 1                           | I  |    |    |
| Information: |                       |                             |  |    |    |
| _            |                       | ScottishMedicinesConsortium | 2923378                                      | no |    |
| 7            | Non Patent Literature | 2006A.pdf                   | d73afe32bbfed3e4e1a0c4c28ce007cb22f6f<br>c62 |    | 5  |
| Warnings:    |                       |                             | 1  |    |    |
| Information: |                       |                             |  |    |    |
|              |                       |                             | 7028036                                      |    |    |
| 8            | Non Patent Literature | Seakins_1971A.pdf           | 4632a6bd2870d9a66e0058c471a5382d075<br>1bb3e | no | 11 |
| Warnings:    |                       | 1                           | 1  |    |    |
| Information: |                       |                             |  |    |    |
| _            |                       |                             | 5019045                                      | no |    |
| 9            | Non Patent Literature | Zeitlin_1999A.pdf           | 5a8a00f809bd8d9c697fd3c1c2b2f3415728<br>3962 |    | 6  |
| Warnings:    |                       |                             |  |    |    |
| Information: |                       | 1                           | 1  |    |    |
| 10           | Non Patent Literature | ANDA_Hyperion.pdf           | 1828552                                      | no | 27 |
|              |                       | ·····                       | 353709de30629160d699c3f58bb3c88d5f6<br>cdafb |    |    |
| Warnings:    |                       |                             |  |    |    |
|              |                       |                             |  |    |    |

|                           |                       |                       | d951375c26b99588866291ef3bff76b01acc<br>74dd  |    |    |
|---------------------------|-----------------------|-----------------------|---|----|----|
| 16                        | Non Patent Literature | EurMedAgency_2005.pdf | 644026  | no | 12 |
| Information:              |                       |                       |   |    |    |
| Warnings:                 |                       |                       | de13c   |    |    |
| 15                        | Non Patent Literature | Clay_2007A.pdf        | 457537<br>e4d8809a6aa69d116482/352ac8e82da6c2 | no | 11 |
| Warnings:<br>Information: |                       |                       | ı   |    |    |
| Warnings:                 |                       |                       | d57da   |    |    |
| 14                        | Non Patent Literature | Blau_1996A.pdf        | 75669dd767ca3508b04350fcf8366689748           | no | 20 |
| Information:              |                       |                       | 8872480                                       |    |    |
| Warnings:<br>Information: |                       |                       |   |    |    |
|                           |                       |                       | 7356b39f946ba4932a55fb3610746c4334d<br>d5377  |    |    |
| 13                        | Non Patent Literature | Batshaw_1975A.pdf     | 3550517                                       | no | 6  |
| Information:              |                       |                       |   |    |    |
| Warnings:                 |                       |                       | a772f6  |    |    |
| 12                        | Non Patent Literature | Carducci_2001.pdf     | 98483   | no | 9  |
| Information:              |                       |                       | 1   |    |    |
| Warnings:                 |                       |                       | 06340   |    |    |
| 11                        | Non Patent Literature | Barsotti_2001A.pdf    | 18d4317a40b5b4c45db7054449ad11294a<br>0e3a40  | no | 10 |

| Information:              |                       |                                       |   |    |    |
|---------------------------|-----------------------|---------------------------------------|---|----|----|
| Warnings:                 |                       |                                       |   |    |    |
| 28                        | Non Patent Literature | NECMP_Infant_2001A.pdf                | 147634<br>fb6b15cb4dca8cd6249421d9f51ebf3848d5<br>b918  | no | 7  |
| Information:              |                       |                                       | 147624  |    |    |
| Warnings:                 |                       | · · · · · · · · · · · · · · · · · · · | ·   |    |    |
| 27                        | Non Patent Literature | NECMP_2001A.pdf                       | 147920<br>720a8605a0bde58796ca4c64a2b95a536ca<br>4036a  | no | 7  |
| Information:              |                       |                                       |   |    |    |
| Warnings:                 |                       |                                       |   |    |    |
|                           |                       |                                       | 7ca7bfee2970723facb70e7d05966c6d9243<br>fce6            |    |    |
| 26                        | Non Patent Literature | Mokhtarani_2012A.pdf                  | 52104   | no | 2  |
| Information:              |                       | 1                                     |   |    |    |
| Warnings:                 |                       | 1                                     | <u> </u>  |    | L  |
| 25                        | Non Patent Literature | Majeed_2001A.pdf                      | 7550179<br>d6094c729956932eba37a92098817f3397fe<br>19aa | no | 12 |
| Information:              |                       |                                       |   |    |    |
| Warnings:                 |                       |                                       | I   |    |    |
| 24                        | Non Patent Literature | Maestri_EnglJMed_1996.pdf             | 03124<br>99d3e298ffce0cd990a4ddf9d112a14a95b8<br>f0a3   | no | 5  |
|                           |                       |                                       | 85124   |    |    |
| Warnings:<br>Information: |                       |                                       |   |    |    |
| M/a                       |                       |                                       | 01c5c816dea1bdd4c2422b284619853c4f3<br>d3973            |    |    |
| 23                        | Non Patent Literature | ISR_PCTUS2009055256.PDF               | 101384  | no | 2  |
| Information:              |                       |                                       | 1   |    | i  |
| Warnings:                 |                       | 1                                     | <u> </u>  |    |    |
| 22                        | Non Patent Literature | ISR_PCTUS200930362.PDF                | 2c6ebf38e29c30c31a802427d47bf195e50d<br>5c2e            | no | 1  |
|                           |                       |                                       | 67423   |    |    |
| Warnings:                 |                       |                                       |   |    |    |
| 14/                       |                       | pdf                                   | ee6c33ebada7b443389b1f24bfdef270dcd8<br>cfad            |    |    |
| 21                        | Non Patent Literature | FDA_Carbaglu_Label_2010A.             | 3533934   | no | 7  |
| Information:              |                       |                                       |   |    |    |
| Warnings:                 |                       |                                       | 0d07  |    |    |
| 20                        | Non Patent Literature | Fernandes_2000A.pdf                   | 3678938<br>c3a3c703d5877ee1da6b6b00f57deacf95809        | no | 8  |

| 29Nen Patent LiteratureSalem_2012,pdfno8Warnings:<br>attraction:Anno830Non Patent LiteratureExamReport_G809155458.PDF05562<br>traction:<br>traction:no130Non Patent LiteratureExamReport_G809155458.PDF05562<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:no8Warnings:<br>Warning:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:no233Non Patent Literature<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction:<br>traction  |              |                       |                            | 131713                                       |    |    |
|--|--------------|-----------------------|----------------------------|--|----|----|
| Image: contract of the second seco  | 29           | Non Patent Literature | Salam_2012.pdf             |  | no | 8  |
| Information:     StamReport_GB09155458.PDF     G5562     no     1       30     Non Patent Literature     ExamReport_GB09155458.PDF     G5562     no     1       31     Non Patent Literature     Par_Invalidity_ContentionA     SE29771     no     93       Warnings:     Information:     Information:     Information:     Information:     Information:     0     93       31     Non Patent Literature     Par_Invalidity_ContentionA     SE29771     no     93       32     Non Patent Literature     ISR_andW0_PCTUS201406054     Information:     0     9       32     Non Patent Literature     ISR_andW0_PCTUS201406054     Information:     0     9       33     Non Patent Literature     Sherwin_1919.Apdf     104/2692     0     0     8       Warnings:     Information:     Information:     0     15     15       34     Non Patent Literature     Smith_JPediatr_2013.pdf     Information:     0     28       Warnings:     Information:     Information:     0     28       35     Non Patent Literature     Smith_JPediatr_2013.pdf     Information:     0     28       Marnings:     Information:     0     242/352     0     0     28       Marnings:   |              |                       |                            |  |    |    |
| 30     Non Patent Literature     ExamReport_G809155458.PDF     65562<br>(v)PRAALEDTRUSTEREDETRUSTERED<br>and REPORTED<br>AND Patent Literature     no     1       31     Non Patent Literature     Par_Invalidity_Contentions.<br>pdf     5829771<br>Medicate/contentions/<br>Medicate/contentions/<br>Medicate/contentions/<br>Medicate/contentions/<br>Medicate/contentions/<br>And State/contentions/<br>Medicate/contentions/<br>And State/contentions/<br>And State/contention/<br>And State/Contentin/<br>And  | Warnings:    |                       |                            |  |    |    |
| 30Non Patent LiteratureExameport_GB99155458.PDPno1Warnings:31Non Patent LiteraturePar_Invalidity_ContentionsA<br>pdfS829771<br>indentificational Attentional Attention Atte  | Information: |                       | i                          | · · · · · ·                                  |    |    |
| Marrings:       Marrings:       31     Non Patent Literature     Par_Invalidity_ContentionsA<br>pdf     S829771<br>tecessities serves reaconcease<br>and serves reaconceas<br>and serves reaconcease<br>an   | 30           | Non Patent Literature | ExamReport GB09155458.PDF  | 65562  | no | 1  |
| Information:       Par_Invalidity_ContentionsA.pdf       S829771<br>(monolity)       no       93         Warnings:       Information:       100,000,000,000,000,000,000,000,000,000  |              |                       |                            |  |    |    |
| 31Non Patent LiteraturePar_Invalidity_ContentionA.<br>pdfS22771<br>Treendedictionno93Warnings:<br>information:   | Warnings:    |                       |                            |  |    |    |
| 31Non Patent LiteraturePar_Invalidity_ContentionA<br>pdfno93Warnings:<br>Information:Image: ContentionA<br>pdfImage: ContentionA<br>market and a contentionA<br>of the contentionA <br< td=""><td>Information:</td><td></td><td></td><td></td><td></td><td></td></br<>   | Information: |                       |                            |  |    |    |
| POTMeansatureWarnings:<br>Information:ISR_andWO_PCTUS201406054<br>Apdf1742695<br>to 000000000000000000000000000000000000   | 31           | Non Patent Literature |                            | 5829771                                      | no | 93 |
| Information:       158_andWO_PCTUS201406054       1742695       no       9         Warnings:       Information:         33       Non Patent Literature       Sherwin_1919A,pdf       4967873<br>1956000000000000000000000000000000000000   |              |                       | pdf                        | bfee90c94d50c9228b3f0b0e507bb8f0d02<br>61d6b | no |    |
| 32         Non Patent Literature         ISR_andWO_PCTUS201406054<br>3A, pdf         1742695<br>broadstatusestroater<br>(%A)         no         9           Warnings:         Information:         9         1742695         no         9           33         Non Patent Literature         Sherwin_1919A, pdf         4967873<br>(%A)         no         8           Warnings:         1042552         no         8           Warnings:         1042552         no         15           34         Non Patent Literature         Smith_JPediatr_2013.pdf         1042552<br>(200)         no         15           34         Non Patent Literature         Smith_JPediatr_2013.pdf         1042552<br>(200)         no         15           35         Non Patent Literature         NORD_Physician_Guide_to_10_Ur<br>ea_Cycle_Disorders.pdf         807700<br>(200)         no         28           Warnings:         Information:         1042552         no         28         15           36         Non Patent Literature         NORD_Physician_Guide_to_10_Ur<br>ea_Cycle_Disorders.pdf         807700<br>(200)         no         28           Warnings:         Information:         10242362<br>(1077)         no         9         9           37         Non Patent Literature         Walsh_1990A, pdf         49321   | Warnings:    |                       |                            | · · · · · ·                                  |    |    |
| 32Non Patent LiteratureISR_andWO_PCIUS201406054<br>3A.pdfno9Warnings:<br>Information:  | Information: |                       |                            |  |    |    |
| Marnings:     Marnings:       33     Non Patent Literature     Sherwin_1919A,pdf     4967873<br>1074088001140110011001100110011001100110011  | 27           | Non Potent Literature |                            | 1742695                                      | 50 | 0  |
| Information:       4967873       no       8         33       Non Patent Literature       Sherwin_1919A,pdf       4967873       no       8         Warnings:       19/4xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx   | 52           | Non atent Enterature  | 3A.pdf                     |  | no | 9  |
| $\begin{array}{c c c c c }\hline & & & & & & & & & & & & & & & & & & &$  | Warnings:    |                       |                            | · · · · ·                                    |    |    |
| 33     Non Patent Literature     Sherwin_1919A,pdf     no     8       Warnings:     Information:     Information:     Information:       34     Non Patent Literature     Smith_JPediatr_2013,pdf     1042582<br>(abs/s000331206:600003606:6000331206:6000331206:6000331206:6000331206:6000331206:6000331206:60000366:6000331206:600003600000000000000000000000000000  | Information: |                       |                            |  |    |    |
| Information:34Non Patent LiteratureSmith_JPediatr_2013.pdf1042582<br>(380-1003)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-66664400.0445)<br>(380-10023)370-507000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-6666440000-666644000-666666400-66664000-66664000-66664000-666664000-6666400-   | 33           | Non Patent Literature | Sherwin, 19194 ndf         | 4967873                                      | no | g  |
| Information:       Information: <t< td=""><td>55</td><td>Non atent Enclature</td><td>Sherwin_1919A.pdf</td><td></td><td>0</td></t<>  | 55           | Non atent Enclature   | Sherwin_1919A.pdf          |  |    | 0  |
| 34Non Patent LiteratureSmith_JPediatr_2013.pdf1042582<br>104258231705457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto120457666468449594631<br>obto1204201<br>ea_Cycle_Disorders.pdf1042582<br>obto1204000076823377054596648449594631<br>obto1204201<br>ea_Cycle_Disorders.pdf1042582<br>obto1200200<br>obto1204576648449594641<br>act4010405766297204868744950457766286887<br>obto12045766488449594631<br>act4104057662972048687447b3050688887<br>obto12042004no15Warnings:<br>Information:Information:Information:36Non Patent LiteratureWalsh_1990A.pdf2442362<br>48000768297204888747b3050688887<br>1771no937Non Patent LiteratureWilcken_2004A.pdf4932118<br>192de06005666617364947b304645668887<br>64888no6   | Warnings:    |                       |                            |  |    |    |
| 34     Non Patent Literature     Smith_JPediatr_2013.pdf   | Information: |                       |                            |  |    |    |
| Importantion:       35     Non Patent Literature     NORD_Physician_Guide_to_Urea_Cycle_Disorders.pdf     802700<br>(19414da9cd41ace4d18bc077ce29eace8<br>887b     no     28       Warnings:       Warnings:       Jaformation:       36     Non Patent Literature     Walsh_1990A.pdf     2442362<br>(1771     no     9       Warnings:       Jaformation:       Jaformation:       Walsh_1990A.pdf     2442362<br>(1771     no     9       Warnings:       Jaformation:       Jafordal Literature       Wilcken_2004A.pdf       Jafordal Jaf   | 34           | Non Patent Literature | Smith IPediatr 2013 ndf    | 1042582                                      | no | 15 |
| Information:       35       Non Patent Literature       NORD_Physician_Guide_to_Ur ea_Cycle_Disorders.pdf       802700       no       28         Warnings:       36       Non Patent Literature       Walsh_1990A.pdf       2442362       no       9         36       Non Patent Literature       Walsh_1990A.pdf       2442362       no       9         Warnings:       36       Non Patent Literature       Walsh_1990A.pdf       44006706297244686874a/h3906cc68346       no       9         Warnings:       37       Non Patent Literature       Wilcken_2004A.pdf       4932118       no       6         37       Non Patent Literature       Wilcken_2004A.pdf       192649003683661726497b3c44c56688       no       6   | <u> </u>     | Non atent Enclature   | Smith_Jrediati_2015.pdf    |  |    |    |
| $\frac{35}{30} \frac{35}{30} \frac{300}{300} \frac{300}{30} \frac{300}{30} \frac{300}{30}$ | Warnings:    |                       |                            | ·  |    |    |
| 35     Non Patent Literature     NORD_Physician_Guide_to_Ur<br>ea_Cycle_Disorders.pdf     Import (Comparison)       Warnings:     Import (Comparison)       36     Non Patent Literature     Walsh_1990A.pdf     2442362<br>(M006578929724848d77ab7b390cce83dd)<br>(C777     no     9       Warnings:     Import (Comparison)     Import (Comparison)     Import (Comparison)     no     9       Warnings:     Import (Comparison)     Import (Comparison)     Import (Comparison)     Import (Comparison)     Import (Comparison)       37     Non Patent Literature     Wilcken_2004A.pdf     4932118<br>(1920e04003683d017a6494/B3cd4c5e608<br>6da99     no     6   | Information: |                       |                            |  |    |    |
| 33     Non Patent Literature     ea_Cycle_Disorders.pdf  | 25           |                       | NORD_Physician_Guide_to_Ur | 802700                                       |    | 20 |
| Information:       36       Non Patent Literature       Walsh_1990A.pdf       2442362<br>4400667e82972c48d847ab7b390cce83dd<br>1c77f       no       9         Warnings:       Information:       9   | 55           | Non Patent Literature | ea_Cycle_Disorders.pdf     |  |    | 28 |
| 36Non Patent LiteratureWalsh_1990A.pdf2442362<br>44f0066782972c48d8d7ab7b390cce83dd<br>1c77fno9Warnings:Information:37Non Patent LiteratureWilcken_2004A.pdf4932118<br>192de04003685d617ac49d7b3cc44c5e608<br>6dag69no6Warnings:   | Warnings:    |                       |                            | ·  |    |    |
| 36Non Patent LiteratureWalsh_1990A.pdfno94400667e82972c48d8d7ab7b390cce83dd<br>1c771no9Warnings:37Non Patent LiteratureWilcken_2004A.pdf4932118<br>192de04003685d617a649d7b3cd4cf5e608<br>6da69no6Warnings:  | Information: |                       |                            |  |    |    |
| 44f00667e82972c48d8d7ab7b390cce83dd<br>1c77f       Warnings:       37     Non Patent Literature       Wilcken_2004A.pdf       192de04003685d617ac49d7b3cc44cf5e608<br>6da69       Warnings:  | 36           | Non Datant Literature | Watch 10004 - 16           | 2442362                                      |    | 0  |
| Information:         37       Non Patent Literature         Wilcken_2004A.pdf       4932118<br>192de04003685d617a649d7b3cc44cf5e608<br>6da69         Warnings:   | 30           | Non Patent Literature | vvaisn_1990A.pdf           |  | no | 9  |
| 37 Non Patent Literature Wilcken_2004A.pdf 4932118 no 6 Warnings:  | Warnings:    |                       |                            | •  |    |    |
| 37 Non Patent Literature Wilcken_2004A.pdf no 6       Warnings:  | Information: |                       |                            |  |    |    |
| Warnings:  | 77           | Non Data at 1 it      |                            | 4932118                                      |    |    |
|  | 3/           | NON PATENT LITERATURE | vviicken_2004A.pdf         |  | no | 0  |
| nformation.  | Warnings:    |                       | 1                          | · ]  |    |    |
|  | Information: |                       |                            |  |    |    |

| Information:              |                       |                                       |  |    |          |  |
|---------------------------|-----------------------|---------------------------------------|--|----|----------|--|
| Warnings:                 |                       |                                       |  |    |          |  |
| 46                        | Non Patent Literature | EuroMedAgency_2009.pdf                | 157291<br>3e182f55fc9c00d0cd9a2556af629e7953e0<br>e757 | no | 2        |  |
| Information:              |                       |                                       | 157001   |    |          |  |
| Warnings:                 |                       |                                       | ·  |    |          |  |
| 45                        | Non Patent Literature | Praphanproj_2000.pdf                  | 7a0989dac96b1b7110f7bd42d66840d0f24<br>19a52           | no | 8        |  |
|                           |                       |                                       | 1939933  |    |          |  |
| Warnings:<br>Information: |                       |                                       |  |    |          |  |
| Wannings                  |                       |                                       | d77cc970b6e723c473bcd81a81e5830a0ca<br>fbcf7           |    | <u> </u> |  |
| 44                        | Non Patent Literature | Lee_2005.pdf                          | 1147552  | no | 9        |  |
| Information:              |                       |                                       |  |    |          |  |
| Warnings:                 |                       |                                       |  |    |          |  |
|                           |                       |                                       | 6a5df5ba61ddffbec8293afe46241b0b07c0<br>fce1           | no | -        |  |
| 43                        | Non Patent Literature | Lizardi-CerveraA.pdf                  | 7193   | no | 2        |  |
| Information:              |                       |                                       |  |    |          |  |
| Warnings:                 |                       |                                       | 073ë/  |    |          |  |
| 42                        | Non Patent Literature | Lee_2013.pdf                          | 76104<br>96ae17e263d71c8085e19d558cec699beaa<br>893e7  | no | 2        |  |
| Information:              |                       |                                       | 76104  |    |          |  |
| Warnings:                 |                       |                                       |  |    |          |  |
| <u> </u>                  |                       | ы                                     | bfbc6f227533169108211e5d133c1968fd27<br>e7ba           |    |          |  |
| 41                        | Non Patent Literature | MOKHTARANI_MolGenetMetab<br>_2013.pdf |  | no | 21       |  |
| Information:              |                       | 1                                     | 1  |    |          |  |
| Warnings:                 |                       | 1                                     | ·  |    |          |  |
| 40                        | Non Patent Literature | Diaz_Hepatology_2013A.pdf             | f63722902b08be17c04b01a8bc7ab0a5ef3<br>aa830           | no | 16       |  |
|                           |                       |                                       | 2063527  |    |          |  |
| Warnings:<br>Information: |                       |                                       |  |    |          |  |
| 14/                       |                       |                                       | e1ca6bb5546112964a3fe86be207d3b264c<br>8e991           |    |          |  |
| 39                        | Non Patent Literature | Rockey_Hepatology_2012A.pdf           | 255974   | no | 1        |  |
| Information:              |                       |                                       |  |    |          |  |
| Warnings:                 |                       |                                       | I  |    |          |  |
| 38                        | Non Patent Literature | Yajima_1982A.pdf                      | c3998e406f9b2fc48d4e654da5bec70798c7<br>c6d0           | no | 8        |  |
|                           |                       |                                       | 2403964  |    | _        |  |

|               |                       | Total Files Size (in bytes)            | 2185   | 64248 |    |
|---------------|-----------------------|--|--|-------|----|
| Information:  |                       |  |  |       |    |
| Warnings:     |                       | I                                      | I <u> </u>                                   |       | I  |
| 52            | Non Patent Literature | Maestri_1991.pdf                       | c2c90bdd4a2f5ab68cb25876e4b1d081460<br>6565f | no    | 6  |
|               |                       |  | 2996575                                      |       |    |
| Information:  |                       |  |  |       |    |
| Warnings:     |                       |  | 6550   |       |    |
| 51            | Non Patent Literature | WilsonC_JInheritMetabDis_200<br>1.pdf  | 795fdd88010e07a52ca029982fc0422ca2d4<br>d59a | no    | 5  |
|               |                       |  | 82320  |       |    |
| Information:  |                       |  |  |       |    |
| <br>Warnings: |                       |  | 76d56  |       |    |
| 50            | Non Patent Literature | CDER_Ammonaps_Med_Revie<br>w_Part2.pdf | 762153662643f719d55205ba08374eaaac2          | no    | 28 |
|               |                       |  | 18000139                                     |       |    |
| Information:  |                       |  |  |       |    |
| <br>Warnings: |                       |  | e467   |       |    |
| 49            | Non Patent Literature | w_Part1.pdf                            | a22da4b3c827c27ef62f9b760a170732da23<br>e467 | no    | 27 |
|               |                       | CDER_Ammonaps_Med_Revie                | 16640989                                     |       |    |
| Information:  |                       |  |  |       |    |
| Warnings:     |                       |  | brita  |       |    |
| 48            | Non Patent Literature | e CDER_Ammonaps_Label.pdf              | c256d47cc011b7bb52bfcb4cc11837d0532<br>b7f7d | no    | 20 |
|               |                       |  | 12642794                                     |       |    |
| Information:  |                       |  |  |       |    |
| Warnings:     |                       |  |  |       |    |
| 47            | Non Patent Literature | CDER_Ammonaps.pdi                      | 020fdbe0556108606fe3dfe5a4dd6ede84a2<br>25c5 | no    | 34 |
| 47            | Non Patent Literature | ature CDER_Ammonaps.pdf                | 18572853                                     | 20    |    |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

| Under the Paperwork Reduction Act of 1995, no persons are requir  | U.S. Patent and Trade   | PTO/SB/30 (07-09<br>roved for use through 07/31/2012. OMB 0651-003<br>mark Office; U.S. DEPARTMENT OF COMMERCI<br>tion unless it contains a valid OMB control number |
|---|---|--|
| Request   | Application Number  | 13/775,000   |
| for<br>Continued Examination (BCE)  | Filing Date   | 02/22/2013   |
| Continued Examination (RCE)<br>Transmittal  | First Named Inventor  | Bruce Scharschmidt   |
| Address to:   | Art Unit  | 1621   |
| Mail Stop RCE<br>Commissioner for Patents   | Examiner Name   | RAO, SAVITHA M.  |
| P.O. Box 1450<br>Alexandria, VA 22313-1450  | Attorney Docket Number  | HOR0026-201D1-US   |
| This is a Request for Continued Examination (RCE) u<br>Request for Continued Examination (RCE) practice under 37 CF<br>1995, or to any design application. See Instruction Sheet for RC | FR 1.114 does not apply to any util                                     | lity or plant application filed prior to June 8,   |
| 1. Submission required under 37 CFR 1.114 Not<br>amendments enclosed with the RCE will be entered in the<br>applicant does not wish to have any previously filed unen<br>amendment(s).  | e order in which they were filed un<br>tered amendment(s) entered, appl | less applicant instructs otherwise. If<br>licant must request non-entry of such  |
| a. Previously submitted. If a final Office action is considered as a submission even if this box is   |   | d after the final Office action may be   |
| i. Consider the arguments in the Appeal Br<br>li. Other   |   | on   |
| b. 🗹 Enclosed   |   |  |
| I. 🖌 Amendment/Reply  | iii. 🗹 Information  | Disclosure Statement (IDS)   |
| ii. Affidavit(s)/ Declaration(s)  | iv. 🖌 Other <u>Noti</u>   | ce of related litigation   |
| 2. Miscellaneous  |   |  |
| a. Suspension of action on the above-identified a period of months. (Period of suspension   |   |  |
| b. Other  |   |  |
| 3. Fees<br>a. Image: a. Image: The RCE fee under 37 CFR 1.17(e) is required to charge the Deposit Account No. 50-4297   | •   |  |
| i. 🗹 RCE fee required under 37 CFR 1.17(e)  |   |  |
| ii. Extension of time fee (37 CFR 1.136 and 1.  | .17)  |  |
| iii. Other  |   |  |
| b Check in the amount of \$   | enclosed  |  |
| c. Payment by credit card (Form PTO-2038 enclose  | ed)   |  |

2.

3.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

|  | SIGNATURE OF APPLICANT, ATTORNEY, OR AGE | NT REQUIRED      |          |  |  |
|--|--|------------------|----------|--|--|
| Signature  | /Lauren L. STEVENS/                      | Date             | 6-2-2015 |  |  |
| Name (Print/Type)  | Lauren L. Stevens                        | Registration No. | 36691    |  |  |
|  | CERTIFICATE OF MAILING OR TRANSMISSION   |                  |          |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.   |  |                  |          |  |  |
| Signature  |  |                  |          |  |  |
| Name (Print/Type)  |  | Date             |          |  |  |
| This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including other prevalence of the user of the USPTO to process. |  |                  |          |  |  |

including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Ale xandria, VA 22313-1450. DO NOT SE ND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/30 (07-09) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# Instruction Sheet for RCEs

(not to be submitted to the USPTO)

## NOTES:

An RCE is not a new application, and filing an RCE will not result in an application being accorded a new filing date.

## Filing Qualifications:

The application must be a utility or plant application filed on or after June 8, 1995. The application cannot be a provisional application, a utility or plant application filed before June 8, 1995, a design application, or a patent under reexamination. See 37 CFR 1.114(e).

## Filing Requirements:

**Prosecution in the application must be closed.** Prosecution is closed if the applicat ion is under appeal, or the last Office action is a final action, a notice of allowance, or an action that otherwise closes prosecution in the application (e.g., an Office action under *Ex parte Quayle*). See 37 CFR 1.114(b).

A submission and a fee are required at the time the RCE is filed. If reply to an Office action under 35 U.S.C. 132 is outstanding (e.g., the application is under final rejection), the submission must meet the reply requirements of 37 CFR 1.111. If there is no outstanding Office action, the submission can be an information disclosure statement, an amendment, new arguments, or new evidence. See 37 CFR 1.114(c). The submission may be a previously filed amendment (e.g., an amendment after final rejection).

## WARNINGS:

## **Request for Suspension of Action:**

All RCE filing requirements must be met before suspension of action is granted. A request for a suspension of action under 37 C FR 1.103(c) does <u>not</u> satisfy the submission requirement and does not permit the filing of the required submission to be suspended.

### Improper RCE will NOT toll Any Time Period:

**Before Appeal -** If the RCE is improper (e.g., prosecution in the application is not closed or the submission or fee has not been filed) and the application is not under appeal, the time period set forth in the last Office action will continue to run and the application will be abandoned after the statutory time period has expired if a reply to the Office action is not timely filed. No additional time will be given to correct the improper RCE.

**Under Appeal -** If the RCE is improper (e.g., the submission or the fee has not been filed) and the application is under appeal, the improper RCE is effective to withdraw the appeal. Withdrawal of the appeal results in the allowance or abandonment of the application depending on the status of the claims. If there are no allowed claims, the application is abandoned. If there is at least one allowed claim, the application will be passed to issue on the allowed claim(s). See MPEP 1215.01.

## See MPEP 706.07(h) for further information on the RCE practice.

Page 2 of 2

## **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## PATENT COOPERATION TREATY

**REVIEWED** By Pense: Lookhert at 11:34 ant, Jan 23, 3018

From the INTERNATIONAL SEARCHING AUTHORITY

| To: Courney Prochnow<br>Perkins Cole LLP<br>P.O. Box 1208  | PCT  |  |
|--|--|--|
| Seattle, Washington 98111-1208   | NOTIFICATION OF TRANSMITTAL OF   |  |
| to be a figure day   | THE INTERNATIONAL SEARCH REPORT AND  |  |
|  | THE WRITTEN OPINION OF THE INTERNATIONAL<br>SEARCHING AUTHORITY, OR THE DECLARATION  |  |
| JAN 2 1 2015   | (PCT Rule 44.1)  |  |
| PERKINS COIE LLP   | Date of mailing<br>(day/month/year) 16 JAN 2015  |  |
| Applicant's or agent's file reference  | FOR FURTHER ACTION See paragraphs 1 and 4 below  |  |
| 795328008WO0   | FOR FURTHER ACTION See paragraphs 1 and 4 below  |  |
| International application No.  | International filing date  |  |
| PCT/US14/58489   | (day/month/year) 30 September 2014 (30.09.2014)  |  |
| Applicant HYPERION THERAPEUTICS, INC.  |  |  |
|  |  |  |
|  |  |  |
|  | the second s |  |
| Authority have been established and are transmitted here   |  |  |
| Filing of amendments and statement under Article 19<br>The applicant is entitled, if he so wishes, to amend the cl   | aims of the international application (see Rule 46):   |  |
| When? The time limit for filing such amendments is not   | rmally two months from the date of transmittal of the international  |  |
| search report.   | ferably through ePCT or on paper to, 34 chemin des Colombettes   |  |
| How? Directly to the International Bureau of WIPO pro<br>1211 Geneva 20, Switzerland, Facsimile No.:   | +41 22 338 82 70   |  |
| For more detailed instructions, see PCT Applicant's Guide, International Phase, paragraphs 9.004 - 9.011.  |  |  |
| 2 The applicant is berahy notified that no international s   | earch report will be established and that the declaration under the International Searching Authority are transmitted herewith.  |  |
|  | ditional fee(s) under Rule 40.2, the applicant is notified that:   |  |
| the protect together with the decision thereon has   | heen transmitted to the International Bureau together with any   |  |
| request to forward the texts of both the protest and   | the decision thereon to the designated Offices.  |  |
| no decision has been made yet on the protest; the  | applicant will be notified as soon as a decision is made.  |  |
| 4. Reminders   |  |  |
| to the international Bureau. These comments will be ma<br>International Bureau will send a copy of such comments<br>examination report has been or is to be established.   | n the written opinion of the International Searching Authority<br>de available to the public after international publication. The<br>to all designated Offices unless an international preliminary   |  |
| International Bureau. If the applicant wishes to avoid or p<br>application, or of the priority claim, must reach the Internatio<br>international publication (Rules 90b/s.1 and 90b/s.3).  | y date, the international application will be published by the<br>ostpone publication, a notice of withdrawal of the international<br>nal Bureau before the completion of the technical preparations for   |  |
| examination must be filed if the applicant wishes to postpone<br>date (in some Offices even later); otherwise, the applican<br>prescribed acts for entry into the national phase before the<br>time limit of 30 months (or later) will annow even if no dema | f some designated Offices, a demand for international preliminary<br>the entry into the national phase until 30 months from the priority<br>at must, within 20 months from the priority date, perform the<br>se designated Offices. In respect of other designated Offices, the<br>nd is filed within 19 months. For details about the applicable time<br>limits.html and the PCT Applicant's Guide, National Chapters.  |  |
| Within 10 months from the priority date, the applicant ma  | v request that a supplementary international search be carried   |  |
| I out by a different international Searching Authority that it   | ffers this service (Rule 45bis.1). The procedure for requesting spplicant's Guide, International Phase, paragraphs 8.006-8.032.  |  |
|  | A showing of these   |  |
| Name and mailing address of the ISA/<br>Mail Stop PCT, Attn: ISA/US  | Authorized officer<br>Shane Thomas   |  |
| Commissioner for Patents<br>P.O. Box 1450, Alexandria, Virginia 22313-1450   |  |  |
| Facsimile No. 571-273-3201   | PCT Helpdesk: 571-272-4300<br>Telephone No. pct OSP 571-272-7774   |  |

Form PCT/ISA/220 (July 2014)

## PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference<br>795328008WC0  | FOR FURTHER<br>ACTION  | as well                                  | see Form PCT/ISA/220<br>as, where applicable, item 5 below.                        |
|--|--|--|--|
| International application No.<br>PCT/US14/58489  | International filing date (day/mo<br>30 September 2014 (30.09.2014)                                      | nth/year)                                | (Earliest) Priority Date <i>(day/month/year)</i><br>30 September 2013 (30.09.2013) |
| Applicant<br>HYPERION THERAPEUTICS, INC.   |  | 2000-100-100-100-100-100-100-100-100-100 |  |
| according to Article 18. A copy is bein<br>This international search report consists         | g transmitted to the International I   | Surcau.                                  | Authority and is transmitted to the applicant report.                              |
| <ol> <li>Basis of the report</li> <li>a. With regard to the language, th</li> </ol>          |  |  |  |
| a translation of the   | Dication in the language in which international application into<br>ed for the purposes of international |  | which is the language of   |
| b. This international search<br>authorized by or notified                                    | report has been established taking<br>to this Authority under Rule 91 (Ru                                | ; into accou<br>ile 43.6 <i>bis</i> (i   | unt the rectification of an obvious mistake  |
| 2. Certain claims were four  | nd unsearchable (see Box No. II).  |  |  |
| 3. Unity of invention is lac   | king (see Box No. III).  |  |  |
| 4. With regard to the title,<br>the text is approved as su<br>the text has been establish    | omitted by the applicant.<br>led by this Authority to read as fol  | ows:                                     |  |
| DIAGNOSING, GRADING, MONITORIN   | G, AND TREATING HEPATIC ENC  | EPHALOPA                                 | ТНҮ  |
| 5. With regard to the abstract,<br>the text is approved as su<br>the text has been establish | ned, according to Rule 38.2, by this   | : Authority                              | as it appears in Box No. IV. The applicant   |
| may, within one month fr<br>6. With regard to the <b>drawings</b> ,                          | om the date of mailing of this inter   | ational sea                              | rch report, submit comments to this Authority.                                     |
| a. the figure of the drawings to b<br>as suggested by the<br>as selected by this             | applicant.<br>Authority, because the applicant fa<br>Authority, because this figure bette                | iled to sugg                             |  |

i,

:

Form PCT/ISA/210 (first sheet) (July 2009)

|  | INTERNATIONAL SEARCH REPORT   | International applic   | ation No.   |
|--|---|--|---|
|  |   | PCT/US14/5   | 8489  |
|  |   |  |   |
| IPC(8) - /   | SSIFICATION OF SUBJECT MATTER<br>4618 5/00; A61K 31/192 (2014.01)<br>4618 5/00; A61K 31/192   | and IDC  |   |
|  | International Patent Classification (IPC) or to both national classification  | 100 11 C   |   |
|  | DS SEARCHED   |  |   |
| IPC(8): A618   | cumentation searched (classification system followed by classification symbols<br>5/00; A61K 31/192 (2014.01)<br>5/00; A61K 31/192; USPC: 424/9.2; 514/570  | 1  |   |
| Documentati  | on searched other than minimum documentation to the extent that such docume   | nts are included in the  | fields searched   |
| PatSeer (US  | ta base consulted during the international search (name of data base and, where<br>-G, US-A, EP-A, EP-B, WO, JP-bib, DE-C,B, DE-A, DE-T, DE-U, GB-A, GB-C<br>IN-A, RU-A, RU-G, RU-U); Google; Google Scholar; Google Patent; ProQue<br>aphalopathy", "hepatic coma", "orientat", letharg", asterixis, somnolence, com | 3, FR-G, FR-A, CN-G,<br>st; PubMed/Medline;  | . CN-G, KR-G, KR-A,   |
| C. DOCUI   | MENTS CONSIDERED TO BE RELEVANT   |  |   |
| Category*  | Citation of document, with indication, where appropriate, of the relevant passages  |  | Relevant to claim No.   |
| X<br>-<br>Y  | US 2010/0016207 A1 (WURTMAN, RJ et al.) January 21, 2010; paragraphs [0059],<br>[0064]-[0065], [0069], [0071]; daim 35  |  | 1-2<br>3-5  |
| X<br>-<br>Y  | MUNOZ, SJ. "Hepatic Encephalopathy". The Medical Clinics of North America. 2008; Vol. 92, pages 797-799, 803  |  | 6<br>7-10, 18-20  |
| X<br>Ÿ   | CORDOBA, J. *New assessment of hepatic encephalopathy*. Journal of He<br>54; pages 1030, 1032, 1038   | patology 2011 vol.   | 11<br>12-20   |
| Υ.   | US 8404215 B1 (SCHARSCHMIDT, B et al.) March 26, 2013; column 1, lin<br>lines 16-19; column 20, lines 10-30   | es 44-46; column 3,  | 3-5, 7-10   |
| Y  | US 2005/0273359 A1 (YOUNG, DE) December 8, 2005; figures 1-2, 5; part<br>[0030], [0037], [0038], [0040], [0044]-[0045]  | agraphs (0021),  | 12-20   |
| Y  | US 8094521 B2 (LEVY, G) January 10, 2012; abstract; column 3, lines 1-1;<br>56-58   | 2, 17-21, 26-28,   | 17  |
|  |   |  |   |
|  |   |  |   |
| Furth  | er documents are listed in the continuation of Box C.   |  |   |
| "A" docum  | and defining the seneral state of the art which is not considered data and not i  | t published after the inter<br>a conflict with the appli<br>or theory underlying the | mational filing date or priority<br>cation but cited to understand<br>invention |
| "E" earlier<br>filing  | application or patent but published on or after the international "X" document of<br>date considered ac<br>step when the  | particular relevance; the<br>wel or cannot be consid<br>document is taken alon       | claimed invention cannot be<br>dered to involve an inventive<br>e               |
| "L" document which may throw doubts on priority claim(s) or which is<br>cited to establish the publication date of another citation or other<br>special reason (as specified)<br>"O" document referring to an oral disclosure, use, exhibition or other<br>means |   | documents, such combination  |   |

document member of the same patent family Date of mailing of the international search report

Shane Thomas

16 JAN 2015 Authorized officer:

PCT Helpdeck: 571-272-4300 PCT OSP: 571-272-7774

Date of the actual completion of the international search

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450

document published prior to the international filing date but later than "&" the priority date claimed

۰P»

means

23 December 2014 (23.12.2014)

Facsimile No. 571-273-3201

Name and mailing address of the ISA/US

# PATENT COOPERATION TREATY

| Tak -  |   | IORITY   | 1   | n  |
|--|---|--|---|--|
| Perk   | To: Courney Prochnow<br>Perkins Cole LLP<br>P.O. Box 1208<br>Seattle, Washington 98111-1208<br>United States of America   |  | WRITTEN OPINION OF THE  |  |
| Seat<br>Unit   |   |  | =   | IONAL SEARCHING AUTHORITY  |
|  |   |  |   | (PCT Rule 43bis.1)   |
|  |   | •  |   |  |
|  |   |  | Date of mailing<br>(day/month/year)   | <u>16 JAN 2015</u>   |
| 1  | t's or agent's file reference<br>008WO0   |  | FOR FURTHER   | ACTION<br>See paragraph 2 below  |
| Internatio   | mal application No.   | International filing date  | e (day/month/year)  | Priority date (day/month/year)   |
| PCT/US   | 14/58489  | 30 September 201   | 4 (30.09.2014)  | 30 September 2013 (30.09.2013)   |
| IPC(8)<br>CPC -  | onal Patent Classification (IPC)<br>- A61B 5/00; A61K 31/19<br>A61B 5/00; A61K 31/19  | 32 (2014.01)<br>32   | ation and IPC   | ·  |
| Applican   | " HYPERION THERAPE  | UTICS, INC.  |   |  |
|  |   | *******  | *****   |  |
| 1. This  | s opinion contains indications r  | elating to the following it  | ems:  |  |
|  | Box No. I Basis of the  | opinion  |   |  |
|  | Box No. II Priority   |  |   |  |
| h  |   |  |   |  |
|  | Box No. III Non-establis  |  | gard to novelty, invent   | ive step and industrial applicability  |
|  | Box No. III Non-establis<br>Box No. IV Lack of unit   | y of invention   |   |  |
|  | Box No. III Non-establis<br>Box No. IV Lack of unit   | y of invention   | (a)(i) with regard to n   |  |
|  | Box No. III Non-establis<br>Box No. IV Lack of unit<br>Box No. V Reasoned st<br>citations and<br>Box No. VI Certain doct  | y of invention<br>atement under Rule 4. <i>bis.</i><br>I explanations supporting<br>iments cited   | l(a)(i) with regard to n<br>such statement  |  |
|  | Box No. III       Non-establis         Box No. IV       Lack of unit         Box No. V       Reasoned st<br>citations and         Box No. VI       Certain doct         Box No. VII       Certain defe  | y of invention<br>atement under Rule 4. <i>bis.</i><br>I explanations supporting<br>iments cited<br>icts in the international ap   | l(a)(i) with regard to n<br>such statement<br>plication   |  |
|  | Box No. III Non-establis<br>Box No. IV Lack of unit<br>Box No. V Reasoned st<br>citations and<br>Box No. VI Certain doct  | y of invention<br>atement under Rule 4. <i>bis.</i><br>I explanations supporting<br>iments cited<br>icts in the international ap   | l(a)(i) with regard to n<br>such statement<br>plication   |  |
| 2. FU  | Box No. III Non-establis<br>Box No. IV Lack of unit<br>Box No. V Reasoned st<br>citations and<br>Box No. VI Certain doct<br>Box No. VII Certain defe<br>Box No. VII Certain obse  | y of invention<br>atement under Rule 4. <i>bis.</i><br>I explanations supporting<br>aments cited<br>ects in the international ap<br>ervations on the internatio  | l(a)(i) with regard to n<br>such statement<br>plication<br>nal application  | ovelty, inventive step or industrial applicabil  |
| If a<br>Inte<br>oth  | Box No. III Non-establis<br>Box No. IV Lack of unit<br>Box No. V Reasoned st<br>citations and<br>Box No. VI Certain doct<br>Box No. VII Certain defe<br>Box No. VII Certain obst<br>RTHER ACTION<br>a demand for international preli<br>ernational Preliminary Examin<br>ter than this one to be the IPEA<br>minors of this International Seau  | y of invention<br>atement under Rule 4. <i>bis.</i><br>I explanations supporting<br>aments cited<br>acts in the international ap<br>ervations on the internation<br>minary examination is ma-<br>ing Authority ("IPEA") ep-<br>and the chosen IPEA has<br>rching Authority will not h  | t(a)(i) with regard to n<br>such statement<br>plication<br>nal application<br>weept that this does not<br>notified the Internatic<br>be so considered.  | ovelty, inventive step or industrial applicabil<br>e considered to be a written opinion of the<br>apply where the applicant chooses an Author<br>nal Bureau under Rule 66.1 <i>bis</i> (b) that writt  |
| If a<br>Inte<br>oth<br>opi<br>If t<br>a w<br>PC  | Box No. III       Non-establis         Box No. IV       Lack of unit         Box No. IV       Lack of unit         Box No. V       Reasoned st<br>citations and         Box No. VI       Certain doct         Box No. VI       Certain doct         Box No. VII       Certain defe         Box No. VII       Certain obst         RTHER ACTION       ademand for international preliter         ational Preliminary Examination of this International Seat       his opinion is, as provided abo         written reply together, where ap T/ISA/220 or before the expirational context       Tripo  | y of invention<br>atement under Rule 4. <i>bis</i> .<br>I explanations supporting<br>aments cited<br>acts in the international ap<br>ervations on the internation<br>ing Authority ("IPEA") en<br>and the chosen IPEA has<br>rehing Authority will not h<br>ve, considered to be a wri<br>propriate, with amendmentation of 22 months from the   | I(a)(i) with regard to n<br>such statement<br>plication<br>nal application<br>ade, this opinion will b<br>reept that this does not<br>notified the Internatio<br>be so considered.<br>tten opinion of the IPE<br>tten opinion of the IPE      | e considered to be a written opinion of the<br>apply where the applicant chooses an Author<br>nal Bureau under Rule 66.1 bis(b) that writt<br>A, the applicant is invited to submit to th IP<br>on of 3 months from the date of mailing of F                             |
| If a<br>Inte<br>oth<br>opi<br>If t<br>a w<br>PC  | Box No. III Non-establis<br>Box No. IV Lack of unit<br>Box No. IV Lack of unit<br>Box No. V Reasoned st<br>citations and<br>Box No. VI Certain doct<br>Box No. VII Certain defe<br>Box No. VII Certain obse<br>RTHER ACTION<br>a demand for international preli<br>emational Preliminary Examin<br>ter than this one to be the IPEA<br>inform of this International Seat<br>his opinion is, as provided abo   | y of invention<br>atement under Rule 4. <i>bis</i> .<br>I explanations supporting<br>aments cited<br>acts in the international ap<br>ervations on the internation<br>ing Authority ("IPEA") en<br>and the chosen IPEA has<br>rehing Authority will not h<br>ve, considered to be a wri<br>propriate, with amendmentation of 22 months from the   | I(a)(i) with regard to n<br>such statement<br>plication<br>nal application<br>ade, this opinion will b<br>reept that this does not<br>notified the Internatio<br>be so considered.<br>tten opinion of the IPE<br>tten opinion of the IPE      | e considered to be a written opinion of the<br>apply where the applicant chooses an Authonal Bureau under Rule 66.1 <i>bis</i> (b) that writt<br>A, the applicant is invited to submit to the IPJ<br>on of 3 months from the date of mailing of F-                       |
| If a<br>Inte<br>oth<br>opi<br>If t<br>a w<br>PC  | Box No. III       Non-establis         Box No. IV       Lack of unit         Box No. IV       Lack of unit         Box No. V       Reasoned st<br>citations and         Box No. VI       Certain doct         Box No. VI       Certain doct         Box No. VII       Certain defe         Box No. VII       Certain obst         RTHER ACTION       ademand for international preliter         ational Preliminary Examination of this International Seat       his opinion is, as provided abo         written reply together, where ap T/ISA/220 or before the expirational context       Tripo  | y of invention<br>atement under Rule 4. <i>bis</i> .<br>I explanations supporting<br>aments cited<br>acts in the international ap<br>ervations on the internation<br>ing Authority ("IPEA") en<br>and the chosen IPEA has<br>rehing Authority will not h<br>ve, considered to be a wri<br>propriate, with amendmentation of 22 months from the   | I(a)(i) with regard to n<br>such statement<br>plication<br>nal application<br>ade, this opinion will b<br>reept that this does not<br>notified the Internatio<br>be so considered.<br>tten opinion of the IPE<br>tten opinion of the IPE      | e considered to be a written opinion of the<br>apply where the applicant chooses an Author<br>nal Bureau under Rule 66.1 bis(b) that writt<br>A, the applicant is invited to submit to th IP<br>on of 3 months from the date of mailing of F                             |
| If a<br>Inte<br>oth<br>opi<br>If t<br>a w<br>PC  | Box No. III       Non-establis         Box No. IV       Lack of unit         Box No. IV       Lack of unit         Box No. V       Reasoned st<br>citations and         Box No. VI       Certain doct         Box No. VI       Certain doct         Box No. VII       Certain defe         Box No. VII       Certain obst         RTHER ACTION       ademand for international preliter         ational Preliminary Examination of this International Seat       his opinion is, as provided abo         written reply together, where ap T/ISA/220 or before the expirational context       Tripo  | y of invention<br>atement under Rule 4. <i>bis</i> .<br>I explanations supporting<br>aments cited<br>acts in the international ap<br>ervations on the internation<br>ing Authority ("IPEA") en<br>and the chosen IPEA has<br>rehing Authority will not h<br>ve, considered to be a wri<br>propriate, with amendmentation of 22 months from the   | I(a)(i) with regard to n<br>such statement<br>plication<br>nal application<br>ade, this opinion will b<br>reept that this does not<br>notified the Internatio<br>be so considered.<br>tten opinion of the IPE<br>tten opinion of the IPE      | e considered to be a written opinion of the<br>apply where the applicant chooses an Author<br>nal Bureau under Rule 66.1 bis(b) that writt<br>A, the applicant is invited to submit to th IP<br>on of 3 months from the date of mailing of F                             |
| If a<br>Inte<br>oth<br>opi<br>If t<br>a w<br>PC<br>For   | Box No. III       Non-establis         Box No. IV       Lack of unit         Box No. IV       Reasoned st<br>citations and         Box No. VI       Certain doct         Box No. VI       Certain doct         Box No. VII       Certain doct         Box No. VIII       Certain obst         RTHER ACTION       Ademand for international preliternational preliternational preliminary Examination for this International Seathis opinion is, as provided aboveritten reply together, where ap T/ISA/220 or before the expiration for the expiration of this International Seathis opinion, see Form PC' | y of invention<br>atement under Rule 4. <i>bis</i> .<br>I explanations supporting<br>ments cited<br>ects in the international ap<br>ervations on the internation<br>minary examination is me<br>ing Authority ("IPEA") en<br>and the chosen IPEA has<br>ching Authority will not l<br>ve, considered to be a wri<br>propriate, with amendment<br>ation of 22 months from the<br>F/ISA/220.     | I(a)(i) with regard to n<br>such statement<br>plication<br>nal application<br>weept that this does not<br>i notified the Internation<br>be so considered.<br>tten opinion of the IPE<br>nts, before the expiration<br>he priority date, which | e considered to be a written opinion of the<br>apply where the applicant chooses an Author<br>nal Bureau under Rule 66.1 bis(b) that writt<br>A, the applicant is invited to submit to th IP<br>on of 3 months from the date of mailing of F                             |
| If a<br>Inte<br>oth<br>opi<br>If t<br>a w<br>PC<br>For<br>For<br>Name a<br>Mail Stop<br>Commis<br>P.O. Box | Box No. III       Non-establis         Box No. IV       Lack of unit         Box No. IV       Lack of unit         Box No. V       Reasoned st<br>citations and         Box No. VI       Certain doct         Box No. VI       Certain doct         Box No. VII       Certain defe         Box No. VII       Certain obst         RTHER ACTION       ademand for international preliter         ational Preliminary Examination of this International Seat       his opinion is, as provided abo         written reply together, where ap T/ISA/220 or before the expirational context       Tripo  | y of invention<br>atement under Rule 4. <i>bis</i> .<br>I explanations supporting<br>ments cited<br>acts in the international ap<br>ervations on the internation<br>minary examination is ma-<br>ing Authority ("IPEA") es-<br>and the chosen IPEA has<br>rching Authority will not I<br>ve, considered to be a wri-<br>propriate, with amendment<br>ation of 22 months from the<br>I/ISA/220. | I(a)(i) with regard to n<br>such statement<br>plication<br>nal application<br>weept that this does not<br>i notified the Internation<br>be so considered.<br>tten opinion of the IPE<br>nts, before the expiration<br>he priority date, which | e considered to be a written opinion of the<br>apply where the applicant chooses an Authonal Bureau under Rule 66.1 <i>bis</i> (b) that written<br>A, the applicant is invited to submit to th IP<br>an of 3 months from the date of mailing of F<br>ever expires later. |

Form PCT/ISA/237 (cover sheet) (July 2011)

|  | WRITTEN OPINION OF THE  |  |
|--|---|--|
|  | INTERNATIONAL SEARCHING AUTHORITY   | PCT/US14/58489                               |
| Box No. I                              | Basis of this opinion   |  |
| 1. With ro                             | gard to the language, this opinion has been established on the basis of:  |  |
| $\boxtimes$                            | the international application in the language in which it was filed.  | -  |
|  | a translation of the international application into   | which is the language of a ) and 23.1(b)).   |
| 2.                                     | This opinion has been established taking into account the rectification of an to this Authority under Rule 91 (Rule $43bis.1(a)$ )  | a obvious mistake authorized by or notified  |
| <ol> <li>With r<br/>establi</li> </ol> | egard to any nucleotide and/or amino acid sequence disclosed in the inte<br>shed on the basis of a sequence listing filed or furnished:   | rnational application, this opinion has been |
|  | cans)   |  |
| Ľ                                      | ] on paper  |  |
| Ē                                      | in electronic form  |  |
| low                                    | und the second se |  |
| b. (ti                                 | me)   |  |
| о. (a                                  | in the international application as filed   |  |
| Ē                                      | together with the international application in electronic form  |  |
| · L                                    | subsequently to this Authority for the purposes of search   |  |
| . <b>L</b>                             | Propodnouth to tup transmit for the burkages of position  |  |
| 5. Addit                               | in addition, in the case that more than one tendent of exposition of equip is a statements that the information in the subsequent or additional copies is i does not go beyond the application as filed, as appropriate, were furnished ional comments:   | đ. ·   |
|  | ۲.  |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  | •   |  |
|  | ,   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   | *******                                      |

455 of 532

| Box No. V Reason  | 44 768 0 0 XM 0 A A   | VINION OF  | FTHE  | International application No.   |  |
|---|---|--|---|---|--|
| Box No. V Reason<br>citation  | RNATIONAL SE  | ARCHING  | AUTHORITY   | PCT/US14/58489  |  |
|   | ed statement unde<br>is and explanation   | r Rule 43 <i>b</i><br>s supportin  | is. I(a)(i) with regard to novelty,<br>g such statement   | nventive step or industrial applica   | bility;  |
| 1. Statement  |   |  |   |   |  |
| Novelty (N)   |   | Claims   | 3-20  |   | YES  |
| Novery (11)   |   | Claims   | 1-2   |   | NO   |
| Inventive step  | (IS)  | Claims   | NONE  |   | YES  |
| •   | • • •   | Claims   | 1-20  |   | NO   |
| Industrial appl   | icability (IA)  | Claims   | 1-20  |   | YES  |
|   |   | Claims   | NONE  |   | NO   |
| painful stimuli (incapable<br>they meet any one of the<br>a coma, without respons<br>As per claim 2, Wurtman<br>meets any one of the cri<br>amino acids (LNAA; leu<br>Claim 6 lacks an inventi<br>As per claim 6, Munoz of<br>comprising: (a) determin<br>997-799) by (i) determin<br>subject has been lethar<br>abnormatilies; page 79<br>in either (i) or (ii) (Table<br>presentation, Table 2; p | e of being assessed<br>e criteria set forth ir<br>se to painful stimuli;<br>in discloses the met<br>iteria set forth in (a)<br>cine / isoleucine / v<br>ive step under PCT<br>discloses a method<br>ning whether a subj<br>ning whether a subj<br>ing whether the subj<br>c. (Table 2, Stage<br>7), wherein the subj<br>c. Stage II; page 7<br>ages 787-799) by<br>er the subject has be<br>t a grade 3 HE epis | (a), paragrapi<br>(a), (b), or<br>paragraphs<br>hod of claim,<br>(b), or (c) (<br>aline / tyrosi<br>Article 33(3<br>of treating a<br>ect is exper<br>bject has be<br>II, consciou<br>ect is classi<br>97); (b) det<br>iii) determini<br>een somnolé<br>de lé theur | h [0090]), wherein the subject is de<br>(c) (the subject is classified with gn<br>(0065), [0069]). <ol> <li>t, wherein a therapeutic interventil<br/>(administering to a subject with gra-<br/>ne / phenylalanine); paragraphs [0]</li> <li>as being obvious over the article<br/>in HE episode in a subject in need<br/>tencing at least a grade 2 HE episo<br/>en disortented as to time, place, or<br/>sness; page 797) and is exhibiting<br/>fied as experiencing at least a grad<br/>simining whether a subject has been c<br/>ent (Table 2, Stage III, consciousne<br/>need the criteria set forth in either [0]</li> </ol> | tilled "Hepatic Encephalopathy" (MUI<br>thereof (Pharmacologic therapy; pag-<br>de (Clinical presentation, Table 2; pa<br>person for and/or (ii) determining wh<br>asterixis (Table 2, Stage II, Neuromu<br>e 2 HE episode if they meet the criter<br>tencing at least a grade 3 HE episode<br>iscortented as to time, place, and pert<br>ss; page 797), wherein the subject is<br>ii) or (iv) (Table 2, Stage III; page 797)<br>mining whether the subject is comato | e subje<br>eutral<br>IOZ).<br>es 803<br>ges<br>ether l<br>scular<br>ta set<br>e (Clini<br>son an |

| WRITTEN OPINION OF THE            |
|-----------------------------------|
| INTERNATIONAL SEARCHING AUTHORITY |

International application No.

PCT/US14/58489

| Supplemental Box  |
|---|
| In case the space in any of the preceding boxes is not sufficient.  |
| Continuation of:<br>-***-Continued from Box V: Citations and Explanations-***-  |
| Claim 11 lacks an inventive step under PCT Article 33(3) as being obvious over the article 'New assessment of hepatic<br>encephalopathy' (CORDOBA).   |
| As per claim 11, Cordoba discloses a tool for screening a subject in need thereof for HE symptoms (monitoring and assessment of episodic HE; page 1030, 1st column) comprising (a) a first set of steps comprising one or more steps selected from: (i) determining whether the subject has unusual difficulty speaking, (ii) determining whether the subject is exhibiting unusual behavior (ahormal behavior; supplementary table 4; section 1; page 1041), and (iii) determining whether the subject is more forgetful or confused than usual difficulty focusing attention; thinking disorganized or incoherent; supplementary table 4; section 32, 39; (b) a second set of steps comprising one or more steps selected from; (v) determining whether the subject can stay awake when being spoken to (lethargy, stupor; supplementary table 4, section 3b), (v) determining whether the subject is disoriented as to person, (vi) determining whether the subject is disoriented as to place, and (vii) determining whether the subject is disoriented as to time, wherein the second set of steps is performed only if one or more criteria from the first set of steps are met (cognitive function assessment in HE can be performed with a categorical or a continuous approach; cognitive assessment: (i) exclude episodic HE; (ii) clinical scales to assess severity of episodic HE; figure 1; page 1032; page 1038, 2nd column). Cordoba does not disclose wherein a user is instructed to contact a physician if one or more criteria from the second set of steps are met. Nevertheless, at the time of the invention it was well known in the art to contact a physician in the event of onset of neurological impairment; and it would have been obvious to one of ordinary skill in the art to contact a physician if the first steps and the second steps were observed in a patient to provide necessary medical intervention. |
| Claims 3-5 lack an inventive step under PCT Article 33(3) as being obvious over Wurtman in view of US 8,404,215 B1 to Scharschmidt, et al. (hereinafter 'Scharschmidt').  |
| As per claim 3, Wurtman discloses the method of claim 2. Wurtman does not disclose wherein the therapeutic intervention is administered<br>at a dosage sufficient to maintain the subject's fasting blood ammonia level at or below a specified threshold of 1.5 times the upper limit of<br>normal. Scharschmidt discloses wherein the therapeutic intervention is administered at a dosage sufficient to maintain the subject's fasting<br>blood ammonia level at or below a specified threshold of 1.5 times the upper limit of normal (a dosage of 24 pills of Buphyenyi per day,<br>amino acid supplements, and restricted dietary protein intake resulted in a patient's fasting blood ammonia level between 1 and 1.5 times the upper limit of normal (ULN); column 1, lines 14-16; column 20, lines 10-21). It would have been obvious to a person of ordinary skill in<br>the upper limit of normal (ULN); column 1, lines 14-16; column 20, lines 10-21). It would have been obvious to a person of ordinary skill in<br>the advantage of treating overt hepatic encephalopathy with an approach that includes treating and monitoring ammonia levels within a<br>patient.  |
| As per claim 4, Wurtman discloses the method of claim 2. Wurtman does not disclose wherein the therapeutic intervention is a nitrogen<br>scavenging drug. Scharschmidt discloses wherein the therapeutic intervention is a nitrogen scavenging drug (the intervention includes the<br>nitrogen scavenging drug sodium benzoate (Buphyenyl); column 3, lines 16-19; column 20, lines 10-12). It would have been obvious to a<br>person of ordinary skill in the art, at the time of the invention, to have modified the method of Wurtman to include the nitrogen scavenging<br>drug of Scharschmidt, for the advantage of treating hyperammonemia, which is commonly associated with hepatic encephalopathy<br>(Scharschmidt; column 1, lines 44-46).   |
| As per claim 5, Wurtman and Scharschmidt, in combination, disclose the method of claim 4. Wurtman does not disclose wherein the<br>nitrogen scavenging drug is selected from the group consisting of a PAA pro drug and sodium benzoate. Scharschmidt discloses wherein<br>the nitrogen scavenging drug is selected from the group consisting of a PAA pro drug and sodium benzoate (nitrogen scavenging drug<br>comprising HPN-100 (a PAA pro drug) and sodium benzoate (Buphyenyl), or any combination thereof; column 3, lines 16-19; column 20,<br>lines 10-12), it would have been obvious to a person of ordinary skill in the art, at the time of the invention, to have modified the method of<br>Wurtman to include the specific nitrogen scavenging drugs of Scharschmidt, for the advantage of treating hyperammonemia, with<br>commonly used medications (Scharschmidt; column 1, lines 44-46).   |
| Claims 7-10 lack an inventive step under PCT Article 33(3) as being obvious over Munoz in view of Scharschmidt.   |
| As per claim 7, Munoz discloses the method of claim 6. Munoz does not disclose wherein the therapeutic intervention is administered at a dosage sufficient to maintain the subject's fasting blood ammonia tevel at or below a specified threshold of 1.5 times the upper limit of normal. Scharschmidt discloses wherein the therapeutic intervention is administered at a dosage sufficient to maintain the subject's fasting blood ammonia tevel at or below a specified threshold of 1.5 times the upper limit of normal. Scharschmidt discloses wherein the therapeutic intervention is administered at a dosage of 24 plits of Buphyenyl per day, amino acid supplements, and restricted distary protein intake resulted in a patient's fasting blood ammonia level at 1.5 times the upper limit of normal (LUN); column 20, lines 10-21). It would have been obvious to a person of ordinary skill in the art, at the time of the invention, to have modified the method of Munoz to include the therapeutic intervention of Scharschmidt, for the advantage of treating overt hepatic encephalopathy with an approach that includes treating and monitoring fasting ammonia levels within a patient.  |
| As per claim 8, Munoz and Scharschmidt, in combination, disclose the method of claim 7. Munoz does not disclose wherein the therapeutic<br>intervention is a nitrogen scavenging drug. Scharschmidt discloses wherein the therapeutic intervention is a nitrogen scavenging drug (the<br>intervention includes the nitrogen scavenging drug sodium benzoate (Buphyenyl); column 3, lines 16-19; column 20, lines 10-12). It would<br>have been obvious to a person of ordinary skill in the art, at the time of the invention, to have modified the method of Munoz to include the<br>nitrogen scavenging drug of Scharschmidt, for the advantage of treating hyperammonemia, which is commonly associated with hepatic<br>encephalopathy (Scharschmidt; column 1, lines 44-46).  |
| -***-Continued Within the Next Supplemental Box-***-  |
|   |
|   |

#### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

,

International application No.

| INTERNATIONAL SEA  | ARCHING AUTHORITY   | PCT/US14/58489  |
|--|---|---|
| Supplemental Box   |   |   |
| In case the space in any of the preceding<br>Continuation of:  | boxes is not sufficient.  |   |
| ***-Continued from Previous Supplemental I   | Box-***-;   |   |
| scavenging drug is selected from the group on<br>nitrogen scavenging drug is selected from the<br>comprising HPN-100 (a PAA pro drug) and s<br>free 1010. It would have been obvious to a                | consisting of a PAA pro drug and sodium ber<br>e group consisting of a PAA pro drug and so<br>codium benzoate (Buphyenyl), or any combir<br>a person of ordinary skill in the art, at the tim<br>nging drugs of Scharschmidt, for the advant        | . Munoz does not disclose wherein the nitrog<br>nzoate, Scharschmidt discloses wherein the<br>dium benzoate (nitrogen scavenging drug<br>nation thereof; column 3, lines 16-19; column<br>e of the invention, to have modified the metho<br>age of treating hyperammonemia, with comm             |
| prodrug is selected from the group consisting<br>and a combination of two or more of HPN-10<br>Irti-[4-phenylbutyrate] (HPN-100) (column 20<br>the luwation to have modified the method of               | g of glyceryl tri-[4-phenylbutyrate] (HPN-100)<br>30, PBA, and NAPBA. Scharschmidt disclose<br>, lines 26-28). It would have been obvious to<br>Munoz to include the specific PAA prodrug   | 9. Munoz does not disclose wherein the PAA<br>), phenylbutyric acid (PBA), sodium PBA (Nal<br>is wherein the PAA prodrug is glyceryl<br>a person of ordinary skill in the art, at the tim<br>of Scharschmidt, for the advantage of treatir<br>uphyenyl (Scharschmidt; column 20, lines 10)        |
| Claims 12-16 lack an inventive step under P  | CT Article 33(3) as being obvious over Cord   | obs in view of US 2005/0273359 A1 (YOUNC  |
| thereof. Young discloses wherein the user is   | s a caregiver of the subject in need thereof (f<br>uld have been obvious to a person of ordina<br>the caregiver of the subject in need thereof  | rein the user is a caregiver of the subject in n<br>health care provider 14 is a private physician<br>ry skill in the art, at the time of the invention,<br>of Young, for the advantage of having a med   |
| (supplementary table 4; section 1) and, if ne<br>disclose wherein the set of steps are perform<br>skill in the art, at the time of the invention, a<br>skill in the art, at the time of the invention, a | icessary, second set of steps (ligure 1; page<br>med on a daily basis to monitor the subject. In<br>nd it would have required only routine expert<br>hed on a daily basis to monitor the subject, si<br>advantage of daily monitoring the HE patien | Cordoba further discloses the first set of step<br>1032; page 1038, 2nd column). Cordoba dos<br>t would have been obvious to a person of ord<br>mentation, to have modified the tool of Cordo<br>noce doing so could be readily achieved throu<br>t, and thereby allowing the caregiver to record |
| As per claim 14, Cordoba and Young, in cor<br>tool are provided in a questionnaire format (  | mbination, disclose the tool of claim 13, and (supplementary tables 3-4).   | Cordoba further discloses wherein the steps   |
| in an electronic format with a branching logic<br>logic algorithm (questions are presented on<br>have been obvious to a person of ordinary s<br>electronic format with a branching logic algo            | ic algorithm. Young discloses wherein a tool<br>a computer 42, with a branching chain logic<br>-bill in the art, at the time of the invention, to   | toba does not disclose wherein the tool is pro-<br>is provided in an electronic format with a bran<br>(algorithm); paragraphs [0044]-[0045]). It wo<br>have modified the tool of Cordoba to include<br>a universally accessible questionnaire with a<br>to the previous section.                  |
| on a web-enabled device. Young discloses<br>and access an internet-based website, for a<br>have been obvious to a person of ordinary<br>internet person of ordinary for the adva                         | wherein a tool is provided on a web-enabled<br>access by computer 42; figures 2, 5; paragra<br>skill in the art, at the time of the invention, to   | doba does not disclose wherein the tool is pro<br>I device (computer system 30 is provided to t<br>phs [0021], [0037], [0038], [0040], [0044]). It<br>have modified the tool of Cordoba to Include<br>questionnaire in a non-physical format, such<br>a web-enabled computer.                     |
| Claim 17 lacks an inventive step under PC  | T Article 33(3) as being obvious over Cordob  | as and Young in view of US 8,094,521 B2 (LE   |
| electronically sent to the caregiver at the sa<br>reminders are electronically sent to the care<br>caregivers in ensuring time-sensitive tasks   | ame time each day to remind the caregiver it<br>egiver at the same time each day to remind<br>are performed within a specific time frame, i<br>21, 26-28, 56-58). It would have been obviou<br>of Cordoba to include the alerting device of L       | ne caregiver to use a tool (alen device assist<br>ncluding daily reminders, and subsequent us<br>is to a person of ordinary skill in the art, at the  |
| -***-Continued Within the Next Supplement  | tal Box-***-  |   |
|  | · · · ·   |   |
|  |   |   |
|  |   |   |
| · · ·  |   |   |

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

| FIAS BOOKANG & SCALANEL DENERG   | \$\$.29£3443 (* * * * * * * * * * * * * * * * * * *   | PCT/US14/58489   |
|--|---|--|
| Supplemental Box   |   |  |
| In case the space in any of the preceding bo   | xes is not sufficient.  |  |
| Continuation of:<br>***-Continued from Previous Supplemental Bo  | X- <sup>***</sup> ~   |  |
| Claims 18-20 lack an inventive step under PC1  |   | a and Young in view of Munoz.  |
| thereof is administered a therapeutic interventil<br>second set of steps. Munoz discloses wherein<br>physician's recommendation if one or more crit<br>develops stage III or IV encephalopathy at high<br>been obvious to a person of ordinary skill in the<br>have modified the tool of Cordoba to include th<br>according to the physician's recommendation,<br>recommendation.  | on according to the physician's recommenda<br>the subject in need thereof is administered a<br>teria are met from the second set of steps (is<br>ner dosages of 30 mL per administration; sec<br>e art, at the time of the invention, and it woul<br>the therapeutic intervention of Munoz, and to it<br>since such recommendations are known in t  | a therapeutic intervention according to trie<br>incluise is administered to a patient who<br>titon titled 'Lactulose', page 803). It would have<br>d have required only routine experimentation to<br>further include the intervention being based<br>he art to be based upon a physician's  |
| As per claim 19, Cordoba, Young and Munoz,<br>subject was previously administered a dosage<br>administered to the subject. Munoz discloses to<br>administered to a patient with stage I or II ence<br>803), the dosage of lactulose is increased and<br>to a patient who develops stage III or IV encep<br>803). It would have been obvious to a person i<br>experimentation to have modified the tool of C<br>higher dosages of Lactulose, if that patient pro<br>achieved through routine experimentation and<br>encephalopathy. | of lactulose, the dosage of lactulose is incre-<br>wherein if the subject was previously adminis<br>ephalopathy at dosages of 30 mL two to four<br>the increased dosage of lactulose is admini-<br>phalopathy at higher dosages of 300 mL per<br>of ordinary skill in the art, at the time of the tr<br>ordoba to include the increased dosages of<br>oursesses to birther levels of headlic encephal | ased and the increased obsige of lactulose is<br>stered a dosage of lactulose (lactulose) is<br>times a day; section titled 'Lactulose', page<br>stered to the subject (lactulose is administered<br>administration; section titled 'Lactulose', page<br>wention, and it would have required only routine<br>Munoz, such that the same patient receives<br>locative, since doing so could be readily |
| threshold of 1.5 times the upper limit of norma<br>to maintain the subject's ammonia level (lactul<br>therapy' and 'Lactulose', page 603). It would have required only routine experimenta<br>would have required only routine experimenta<br>whet the the comparise statement is a safety   | osage sufficient to maintain the subject's tas<br>I. Munoz discloses wherein the therapeutic i<br>lose can decrease ammonia production and<br>have been obvious to a person of ordinary sk<br>tion to have modified the tool of Cordoba to i<br>istered at a dosage sufficient to maintain the<br>coar limit of normal sufficient to cond be  | ting blood ammonia rever at or below a specinico<br>intervention is administered at a dosage sufficien<br>increase ammonia excretion; 'Pharmacologic<br>III in the art, at the time of the invention, and it<br>include the decreased ammonia levels of Munoz<br>e subject's fasting blood ammonia level at or<br>readily achieved through routine experimentatio                                    |
| Claims 1-20 have industrial applicability as de  | fined by PCT Article 33(4) because the subj   | ect matter can be made or used in industry.  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  | · · ·   |  |
|  |   |  |
|  | ·   |  |
|  | t.  |  |
|  | ×   |  |
|  |   |  |
| ма со  |   | ***************************************  |
|  |   |  |

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid

|       | Substitute for forr | m 1449/PTO      | Co                     | mplete if Known    |
|-------|---------------------|-----------------|------------------------|--------------------|
|       | INFORMATION D       | ISCLOSURE       | Application Number     | 13/775000          |
|       | STATEMENT BY        | APPLICANT       | Filing Date            | 02-22-2013         |
|       | Date Submitted: M   | larch 12, 2012  | First Named Inventor   | Bruce Scharschmidt |
|       | Date Submitted. W   | alon 12, 2012   | Art Unit               | 1621               |
| (     | use as many sheet   | s as necessary) | Examiner Name          | Savitha M. Rao     |
| Sheet | 1                   | of 10           | Attorney Docket Number | HOR0026-201D1-US   |

|                  |          |   | U.S. PATENT DO   | CUMENTS   |  |
|------------------|----------|---|------------------|---|--|
| Exami            | Cite     | Document Number                                   | Publication Date | Name of Patentee or   | Pages, Columns,<br>Lines, Where Relevant |
| ner<br>Initials* | No.<br>1 | Number-Kind Code <sup>2</sup> ( <i>if known</i> ) | MM-DD-YYYY       | Applicant of Cited Document   | Passages or Relevant<br>Figures Appear   |
|                  | P1       | 4,457,942   | 07-03-1984       | Brusilow, S.W.  | • · · ·                                  |
|                  | P2       | 5,654,333   | 08-05-1997       | The United States Of<br>America As Represented By<br>The Department Of Health<br>And Human Services |  |
|                  | P3       | 8,094,521   | 01-10-2012       | Nightengale Products LLC  |  |
|                  | P4       | 8,404,215   | 03-26-2013       | Hyperion Therapeutics, Inc.   |  |
|                  | P5       | 2003/0195255                                      | 10-16-2003       | Marshall L. Summar  |  |
|                  | P6       | 2005/0273359                                      | 12-08-2005       | Young, D.E.   |  |
|                  | P7       | 2010/0016207                                      | 01-21-2010       | Wurtman, RJ et al   |  |
|                  | P8       | 2013/0281530                                      | 10-24-2013       | Scharschmidt, B et al   |  |
|                  | P9       | 2014/0142186                                      | 05-22-2014       | Hyperion Therapeutics, Inc.   |  |

|                  |                          |  | FOREIGN PATENT                 | DOCUMENTS   |   |    |
|------------------|--------------------------|--|--------------------------------|---|---|----|
| Exami            |                          | Foreign Patent<br>Document                 |                                |   | Pages, Columns,<br>Lines, Where                       |    |
| ner<br>Initials* | Cite<br>No. <sup>1</sup> | Country Code <sup>3</sup> Publication Date | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Documents | Relevant<br>Passages or<br>Relevant<br>Figures Appear | T6 |
|                  | F1                       | WO1994/22494                               | 10-13-1994                     | The DuPont Merck<br>Pharmaceutical Company          |   |    |
|                  | F2                       | WO2013/048558                              | 04-04-2013                     | Hyperion Therapeutics, Inc.                         |   |    |
|                  | F3                       | WO2013/158145                              | 10-24-2013                     | Hyperion Therapeutics, Inc.                         |   |    |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DNOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|                                   | Substitute for form <sup>-</sup> | 1449/PTO     | Co                     | mplete if Known    |
|-----------------------------------|----------------------------------|--------------|------------------------|--------------------|
| IN                                | FORMATION DIS                    | CLOSURE      | Application Number     | 13/775000          |
| S                                 | ATEMENT BY A                     | PPLICANT     | Filing Date            | 02-22-2013         |
| Date Submitted: March 12, 2012    |                                  |              | First Named Inventor   | Bruce Scharschmidt |
| Da                                | le Submitted. Mai                | CIT 12, 2012 | Art Unit               | 1621               |
| (use as many sheets as necessary) |                                  |              | Examiner Name          | Savitha M. Rao     |
| Sheet 2                           | of                               | 10           | Attorney Docket Number | HOR0026-201D1-US   |

|                           |   | NON PATENT LITERATURE DOCUMENTS  |  |  |  |  |  |
|---------------------------|---|--|--|--|--|--|--|
| Exami<br>ner<br>Initials* | Clie litem (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issu |  |  |  |  |  |  |
|                           | D1  | AMODIO, P., et al., "Detection of Minimal Hepatic Encephalopathy: Normalization and Optimization of the Psychometric Hepatic Encephalopathy Score. A Neuropsychological and Quantified EEG Study," J. Hepatol. 49:346-353 (2008).                              |  |  |  |  |  |
|                           | D2  | ANDA Notice Letter, Par Pharmaceutical, Inc. to Hyperion Therapeutics, inc Re: Glycerol<br>Phenylbutyrate 1.1 gm/ml oral liquid; United States Patent Nos. 8,404,215 and 8,642,012 Notice of<br>Paragraph IV Certification March 12, 2014.                     |  |  |  |  |  |
|                           | D3  | BAJAJ, J. S., et al., "Review Article: The Design of Clinical Trials in Hepatic Encephalopathy -An<br>International Society for Hepatic Encephalopathy and Nitrogen Metabolism (ISHEN) Consensus<br>Statement," Aliment Pharmacol Ther. 33 (7):739-747 (2011). |  |  |  |  |  |
|                           | D4  | Barsotti, Measurement of Ammonia in Blood, 138 J. Pediatrics, S11-S20 (2001)   |  |  |  |  |  |
|                           | D5  | Batshaw, et al., Treatment of Carbamyl Phosphate Synthetase Deficiency with Keto Analogues of Essential Amino Acids, 292 The New England J. Medicine, 1085□90 (1975)   |  |  |  |  |  |
|                           | D6  | Batshaw, M. L. et. al., Alternative Pathway Therapy for Urea Cycle Disorder: Twenty Years Later, 138 J. Pediatrics S46 (2001).   |  |  |  |  |  |
|                           | D7  | Blau, Duran, Blaskovics, Gibson (editors), Physician's Guide to the Laboratory Diagnosis of Metabolic Diseases, 261-276 (2d ed. 1996)  |  |  |  |  |  |
|                           | D8  | BLEI, A. T., et al., "Hepatic Encephalopathy," Am. J. Gastroenterol. 96(7):1968-1976 (2001).   |  |  |  |  |  |
|                           | D9  | Burlina, A.B. et al., Long-Term Treatment with Sodium Phenylbutyrate in Ornithine<br>Transcarbamylase-Deficient Patients, 72 Molecular Genetics and Metabolism 351-355 (2001).   |  |  |  |  |  |
|                           | D10   | Carducci, M., Phenylbutyrate Induces Apoptosis in Human Prostate Cancer and Is More Potent<br>Than Phenylacetate, 2 Clinical Cancer Research 379 (1996).   |  |  |  |  |  |
|                           | D11   | Carducci, M.A. et al., A Phase I Clinical and Pharmacological Evaluation of Sodium Phenylbutyrate on an 120-h Infusion Schedule, 7 Clin. Cancer Res. 3047 (2001).  |  |  |  |  |  |
|                           | D12   | Center for Drug Evaluation and Research, Clinical Pharmacology and Biopharmaceutics Review for New Drug Application No. 20-645 (Ammonul®) (2005).  |  |  |  |  |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid

|       | Substitute for fo              | rm 144 | 19/PTO     | Co                     | mplete if Known    |
|-------|--------------------------------|--------|------------|------------------------|--------------------|
|       | INFORMATION                    | DISCL  | OSURE      | Application Number     | 13/775000          |
|       | STATEMENT B                    | Y APP  | LICANT     | Filing Date            | 02-22-2013         |
|       | Date Submitted: March 12, 2012 |        |            | First Named Inventor   | Bruce Scharschmidt |
|       | Date Submitted. I              | Maich  | 12, 2012   | Art Unit               | 1621               |
|       | (use as many shee              | ets as | necessary) | Examiner Name          | Savitha M. Rao     |
| Sheet | 3                              | of     | 10         | Attorney Docket Number | HOR0026-201D1-US   |

|                           |   | NON PATENT LITERATURE DOCUMENTS   |  |  |  |  |  |
|---------------------------|---|---|--|--|--|--|--|
| Exami<br>ner<br>Initials* | <ul> <li>Cite<br/>No.1</li> <li>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of<br/>item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue<br/>number(s), publisher, city and/or country where published.</li> </ul> |   |  |  |  |  |  |
|                           | D13   | Center for Drug Evaluation and Research, Labeling for New Drug Application No. 20-645 (Ammonul®) (2005).  |  |  |  |  |  |
|                           | D14   | Center for Drug Evaluation and Research, Medical Review for New Drug Application No. 20-645 (Ammonul®) (2005).  |  |  |  |  |  |
|                           | D15   | Chen, Z. et al., Tributyrin: A Prodrug of Butyric Acid for Potential Clinical Application in Differentiation<br>Therapy, 54 Cancer Research 3494 (1994).  |  |  |  |  |  |
|                           | D16   | Clay, A. et. al, Hyperammonemia in the ICU, 132 Chest 1368 (2007).  |  |  |  |  |  |
|                           | D17   | Collins, A.F. et al., Oral Sodium Phenylbutyrate Therapy in Homozygous Beta Thalassemia: A Clinical Trial, 85 Blood 43 (1995).  |  |  |  |  |  |
|                           | D18   | CONN, H. O., et al., "Liver Physiology and Disease: Comparison of Lactulose and Neomycin in the Treatment of Chronic Portal-Systemic Encephalopathy. A Double Blind Controlled Trial," Gastroenterology 72(4):573-583 (1977). |  |  |  |  |  |
|                           | D19   | CORDOBA, J., "New Assessment of Hepatic Encephalopathy," Journal of Hepatology 54: 1030-<br>1040 (2011).  |  |  |  |  |  |
|                           | D20   | Darmaun, D. et al., Phenylbutyrate-Induced Glutamine Depletion in Humans: Effect on Leucine Metabolism, 5 Am. J. of Physiology: Endocrinology and Metabolism E801 (1998).   |  |  |  |  |  |
|                           | D21   | DIAZ, G. A., et al., "Ammonia Control and Neurocognitive Outcome Among Urea Cycle Disorder Patients Treated with Glycerol Phenylbutyrate," Hepatology 57(6):2171-2179 (2013).   |  |  |  |  |  |
|                           | D22   | Dixon, M. A. and Leonard, J.V., Intercurrent Illness in Inborn Errors of Intermediary Metabolism, 67<br>Archives of Disease in Childhood 1387 (1992).   |  |  |  |  |  |
|                           | D23   | Dover, G. et al, Induction of Fetal Hemoglobin Production in Subjects with Sickle Cell Anemia by Oral Sodium Phenylbutyrate, 54 Cancer Research 3494 (1994).  |  |  |  |  |  |
|                           | D24   | Endo, F. et al., Clinical Manifestations of Inborn Errors of the Urea Cycle and Related Metabolic Disorders During Childhood, 134 J. Nutrition 1605S (2004).  |  |  |  |  |  |

| Examiner<br>Signature | Date<br>Considered |  |
|-----------------------|--------------------|--|
|                       |                    |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid

|                                | Substitute for for | rm 144  | 19/PTO     | Co                     | Complete if Known  |  |  |
|--------------------------------|--------------------|---------|------------|------------------------|--------------------|--|--|
|                                | INFORMATION        | DISCL   | OSURE      | Application Number     | 13/775000          |  |  |
|                                | STATEMENT BY       | Y APF   | LICANT     | Filing Date            | 02-22-2013         |  |  |
| Date Submitted: March 12, 2012 |                    |         |            | First Named Inventor   | Bruce Scharschmidt |  |  |
|                                | Date Submitted. I  | viaicii | 12, 2012   | Art Unit               | 1621               |  |  |
|                                | (use as many shee  | ets as  | necessary) | Examiner Name          | Savitha M. Rao     |  |  |
| Sheet                          | 4                  | of      | 10         | Attorney Docket Number | HOR0026-201D1-US   |  |  |

|                           |                          | NON PATENT LITERATURE DOCUMENTS  |    |
|---------------------------|--------------------------|--|----|
| Exami<br>ner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | Тe |
|                           | D25                      | European Medicines Agency, Annex I: Summary of Product Characteristics for Ammonaps.   |    |
|                           | D26                      | European Medicines Agency, European Public Assessment Report: Summary for the Public for Ammonaps (2009).  |    |
|                           | D27                      | European Medicines Agency, Scientific Discussion for Ammonaps (2005).  |    |
|                           | D28                      | European Medicines Agency, Scientific Discussion for Carbaglu (2004).  |    |
|                           | D29                      | FDA Label for Carbaglu, seven pages. (Mar. 2010).  |    |
|                           | D30                      | Feillet, F. and Leonard, J.V., Alternative Pathway Therapy for Urea Cycle Disorders, 21 J. Inher.<br>Metab. Dis. 101-111 (1998).   |    |
|                           | D31                      | Feoli-Fonseca, M. L., Sodium Benzoate Therapy in Children with Inborn Errors of Urea Synthesis:<br>Effect on Carnitine Metabolism and Ammonia Nitrogen Removal, 57 Biochemical and Molecular<br>Medicine 31 (1996).  |    |
|                           | D32                      | FERENCI, P., et al., "Hepatic Encephalopathy-Definition, Nomenclature, Diagnosis, and<br>Quantification: Final Report of the Working Party at the 11th World Congresses of Gastroenterology,<br>Vienna, 1998," Hepatology 35:716-721 (2002).                   |    |
|                           | D33                      | Fernandes, Saudubray, Berghe (editors), Inborn Metabolic Diseases Diagnosis and Treatment, 219-<br>222 (3d ed. 2000)   |    |
|                           | D34                      | Geraghty, M.T. and Brusilow, S.W., Disorders of the Urea Cycle, in LIVER DISEASE IN CHILDREN 827 (F.J. Suchy et al., eds. 2001).   |    |
|                           | D35                      | Ghabril, M. et al., "Glycerol Phenylbutyrate in Patients with Cirrhosis and Episodic Hepatic<br>Encephalopathy: A Pilot Study of Safety and Effect on Venous Ammonia Concentration," Clinical<br>Pharmacology in Drug Development 2(3): 278-284 (2013).        |    |
|                           | D36                      | Gilbert, J. et al., A Phase I Dose Escalation and Bioavailability Study of Oral Sodium Phenylbutyrate<br>in Patients with Refractory Solid Tumor Malignancies, 7 Clin. Cancer Research 2292-2300 (2001).   |    |

| Signature Considered |
|----------------------|
|----------------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DNOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid

|                                | Substitute for fo | rm 144  | 19/PTO     | Co                     | Complete if Known  |  |  |
|--------------------------------|-------------------|---------|------------|------------------------|--------------------|--|--|
|                                | INFORMATION       | DISCI   | OSURE      | Application Number     | 13/775000          |  |  |
|                                | STATEMENT B       | Y APF   | LICANT     | Filing Date            | 02-22-2013         |  |  |
| Date Submitted: March 12, 2012 |                   |         |            | First Named Inventor   | Bruce Scharschmidt |  |  |
|                                | Date Submitted. I | viaicii | 12, 2012   | Art Unit               | 1621               |  |  |
|                                | (use as many shee | ets as  | necessary) | Examiner Name          | Savitha M. Rao     |  |  |
| Sheet                          | 5                 | of      | 10         | Attorney Docket Number | HOR0026-201D1-US   |  |  |

|                           | NON PATENT LITERATURE DOCUMENTS |  |    |  |  |  |  |  |
|---------------------------|---------------------------------|--|----|--|--|--|--|--|
| Exami<br>ner<br>Initials* | Cite<br>No.1                    | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | Le |  |  |  |  |  |
|                           | D37                             | Gore, S. et al., Impact of the Putative Differentiating Agent Sodium Phenylbutyrate on<br>Myelodysplastic Syndromes and Acute Myeloid Leukemia, 7 Clin. Cancer Res. 2330 (2001).   |    |  |  |  |  |  |
|                           | D38                             | Gropman, A.L. et al., Neurological Implications of Urea Cycle Disorders, 30 J. Inherit Metab Dis. 865 (2007).  |    |  |  |  |  |  |
|                           | D39                             | HASSANEIN, T. I., et al., "Randomized Controlled Study of Extracorporeal Albumin Dialysis for Hepatic Encephalopathy in Advanced Cirrhosis," Hepatology 46:1853-1862 (2007).   |    |  |  |  |  |  |
|                           | D40                             | HASSANEIN, T. I., et al., "Introduction to the Hepatic Encephalopathy Scoring Algorithm (HESA),"<br>Dig. Dis. Sci. 53:529-538 (2008).  |    |  |  |  |  |  |
|                           | D41                             | HASSANEIN, T., et al., "Performance of the Hepatic Encephalopathy Scoring Algorithm in a Clinical Trial of Patients With Cirrhosis and Severe Hepatic Encephalopathy," Am. J. Gastroenterol. 104:1392-1400 (2009).   |    |  |  |  |  |  |
|                           | D42                             | Honda, S. et al., Successful Treatment of Severe Hyperammonemia Using Sodium Phenylacetate<br>Power Prepared in Hospital Pharmacy, 25 Biol. Pharm. Bull. 1244 (2002).  |    |  |  |  |  |  |
|                           | D43                             | International Search Report and Written Opinion for PCT/US09/30362, mailed Mar. 2, 2009, 8 pages.  |    |  |  |  |  |  |
|                           | D44                             | International Search Report and Written Opinion for PCT/US2009/055256, mailed Dec. 30, 2009, 13 pages.   |    |  |  |  |  |  |
|                           | D45                             | INTER PARTES REVIEW OF U.S. PATENT NO. 8,404,215   |    |  |  |  |  |  |
|                           | D46                             | INTER PARTES REVIEW OF U.S. PATENT NO. 8,642,012   |    |  |  |  |  |  |
|                           | D47                             | Kleppe, S. et al., Urea Cycle Disorders, 5 Current Treatment Options in Neurology 309- 319 (2003).   |    |  |  |  |  |  |
|                           | D48                             | Kubota, K. and Ishizaki, T., Dose-Dependent Pharmacokinetics of Benzoic Acid Following Oral Administration of Sodium Benzoate to Humans, 41 Eur. J. Clin. Pharmacol. 363 (1991).   |    |  |  |  |  |  |

| Examiner<br>Signature | Date<br>Considered |  |
|-----------------------|--------------------|--|
| Ŭ                     |                    |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DNOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid

|                                | Substitute for fo | rm 144  | 19/PTO     | Co                     | Complete if Known  |  |  |
|--------------------------------|-------------------|---------|------------|------------------------|--------------------|--|--|
|                                | INFORMATION       | DISCL   | OSURE      | Application Number     | 13/775000          |  |  |
|                                | STATEMENT B       | Y APF   | LICANT     | Filing Date            | 02-22-2013         |  |  |
| Date Submitted: March 12, 2012 |                   |         |            | First Named Inventor   | Bruce Scharschmidt |  |  |
|                                | Date Submitted. I | viaicii | 12, 2012   | Art Unit               | 1621               |  |  |
|                                | (use as many shee | ets as  | necessary) | Examiner Name          | Savitha M. Rao     |  |  |
| Sheet                          | 6                 | of      | 10         | Attorney Docket Number | HOR0026-201D1-US   |  |  |

|                           |  | NON PATENT LITERATURE DOCUMENTS  |  |  |  |
|---------------------------|--|--|--|--|--|
| Exami<br>ner<br>Initials* | Cite item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volum |  |  |  |  |
|                           | D49  | Lee, B. and Goss, J., Long-Term Correction of Urea Cycle Disorders, 138 J. Pediatrics S62 (2001).  |  |  |  |
|                           | D50  | Lee, B. et al., Considerations in the Difficult-to-Manage Urea Cycle Disorder Patient, 21 Crit. Care Clin. S19 (2005).   |  |  |  |
|                           | D51  | Lee, B., et al., "Optimizing Ammonia (NH3) Control in Urea Cycle Disorder (UCD) Patients: A<br>Predictive Model," Oral Abstract Platform Presentations, Biochemical Genetics, Phoenix, AZ, March<br>22, 2013   |  |  |  |
|                           | D52  | Leonard, J.V., Urea Cycle Disorders, 7 Semin. Nenatol. 27 (2002).  |  |  |  |
|                           | D53  | Lizardi-Cervera, J. et al., Hepatic Encephalopathy: A Review, 2 Annals of Hepatology 122-120 (2003).   |  |  |  |
|                           | D54  | Maestri NE, et al., Prospective treatment of urea cycle disorders. J Paediatr 1991;119:923-928.  |  |  |  |
|                           | D55  | Maestri, N.E., et al., Long-Term Survival of Patients with Argininosuccinate Synthetase Deficiency, 127 J. Pediatrics 929 (1993).  |  |  |  |
|                           | D56  | Maestri, N.E., Long-Term Treatment of Girls with Ornithine Transcarbamylase Deficiency, 355 N. Engl. J. Med. 855 (1996).   |  |  |  |
|                           | D57  | Majeed, K., Hyperammonemia, eMedicine.com (Dec. 2001).   |  |  |  |
|                           | D58  | Marini, J.C. et al., Phenylbutyrate Improves Nitrogen Disposal via an Alternative Pathway without Eliciting an Increase in Protein Breakdown and Catabolism in Control and Ornithine Transcarbamylase-Deficient Patients, 93 Am. J. Clin. Nutr. 1248 (2011). |  |  |  |
|                           | D59  | Matsuda, I., Hyperammonemia in Pediatric Clinics: A Review of Ornithine Transcarbamylase<br>Deficiency (OTCD) Based on our Case Studies, 47 JMAJ 160 (2004).   |  |  |  |
|                           | D60  | McGuire, B.M. et al., Pharmacokinetic (PK) and Safety Analyses of a Novel Ammonia- Reducing Agent in Healthy Adults and Patients with Cirrhosis, Hyperion Therapeutics, poster, one page (2009).   |  |  |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an applicantin. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| s       | ubstitute for form 14 | 149/PTO      | Co                     | Complete if Known  |  |  |
|---------|-----------------------|--------------|------------------------|--------------------|--|--|
| INF     | ORMATION DISC         | LOSURE       | Application Number     | 13/775000          |  |  |
| ST      | TEMENT BY AP          | PLICANT      | Filing Date            | 02-22-2013         |  |  |
| Date    | Submitted: Marc       | h 10 0010    | First Named Inventor   | Bruce Scharschmidt |  |  |
| Date    | Submitted. Marc       | 11 12, 2012  | Art Unit               | 1621               |  |  |
| (use a  | is many sheets as     | s necessary) | Examiner Name          | Savitha M. Rao     |  |  |
| Sheet 7 | of                    | 10           | Attorney Docket Number | HOR0026-201D1-US   |  |  |

|                           |              | NON PATENT LITERATURE DOCUMENTS   |    |
|---------------------------|--------------|---|----|
| Exami<br>ner<br>Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.  | Le |
|                           | D61          | Mizutani, N. et al., Hyperargininemia: Clinical Course and Treatment with Sodium Benzoate and Phenylacetic Acid, 5 Brain and Development 555 (1983).  |    |
|                           | D62          | MOKHTARANI, M., et al., (2013) "Elevated Phenylacetic Acid Levels Do Not Correlate with Adverse<br>Events in Patients with Urea Cycle Disorders o rHepatic Encephalopathy and Can Be Predicted<br>Based on the Plasma PAA to PAGN Ratio," Mol Genet Metab 110(4):446-453  |    |
|                           | D63          | MOKHTARANI, M., et al., (2012) "Urinary Phenylacetylglutamine as Dosing Biomarker for Patients with Urea Cycle Disorders," Mol Genet Metab 107(3):308-314   |    |
|                           | D64          | MONTELEONE, JPR, et al., (2013) "Population Pharmacokinetic Modeling and Dosing Simulations of Nitrogen-Scavenging Compounds: Disposition of Glycerol Phenylbutyrate and Sodium Phenylbutyrate in Adult and Pediatric Patients with Urea Cycle Disorders," J. Clin. Pharmacol. 53(7): 699-710.                              |    |
|                           | D65          | MUNOZ, S. J., "Hepatic Encephalopathy," Med. Clin. N. Am. 92:795-812 (2008).  |    |
|                           | D66          | Nassogne, M.C., Urea Cycle Defects: Management and Outcome, 28 J. Inherit. Metab. Dis. 407 (2005).  |    |
|                           | D67          | New England Consortium of Metabolic Programs, Acute Illness Protocol: Urea Cycle Disorders: The Infant/Child with Argininosuccinate Lyase Deficiency, adapted from Summar, M and Tuchman, M, Proceedings of a Consensus Conference for the Management of Patients with Urea Cycle Disorders, 138 J. Peds. Suppl. S6 (2001). |    |
|                           | D68          | New England Consortium of Metabolic Programs, Acute Illness Protocol: Urea Cycle Disorders: The Infant/Child with Citrullinemia, adapted from Summar, M and Tuchman, M, Proceedings of a Consensus Conference for the Management of Patients with Urea Cycle Disorders, 138 J. Peds. Suppl. S6 (2001).                      |    |
|                           | D69          | Newmark, H. L. and Young, W. C., Butyrate and Phenylacetate as Differentiating Agents: Practical Problems and Opportunities, 22 J. Cellular Biochemistry 247 (1995).  |    |
|                           | D70          | ORTIZ, M., et al., "Development of a Clinical Hepatic Encephalopathy Staging Scale," Aliment Pharmacol Ther 26:859-867 (2007).  |    |
|                           | D71          | PAR PHARMACEUTICAL, INC.'S INITIAL INVALIDITY CONTENTIONS AND NON-INFRINGEMENT<br>CONTENTIONS FOR U.S. PATENT NOS. 8,404,215 AND 8,642,012  |    |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DNOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid

| Substitute for form 1449/PTO   |                                   |       | 19/PTO | Complete if Known      |                    |  |
|--------------------------------|-----------------------------------|-------|--------|------------------------|--------------------|--|
|                                | INFORMATION                       | DISCL | OSURE  | Application Number     | 13/775000          |  |
|                                | STATEMENT BY APPLICANT            |       |        | Filing Date            | 02-22-2013         |  |
|                                | Date Submitted: March 12, 2012    |       |        | First Named Inventor   | Bruce Scharschmidt |  |
| Date Submitted. March 12, 2012 |                                   |       |        | Art Unit               | 1621               |  |
|                                | (use as many sheets as necessary) |       |        | Examiner Name          | Savitha M. Rao     |  |
| Sheet                          | 8                                 | of    | 10     | Attorney Docket Number | HOR0026-201D1-US   |  |

| NON PATENT LITERATURE DOCUMENTS |                          |  |    |  |  |
|---------------------------------|--------------------------|--|----|--|--|
| Exami<br>ner<br>Initials*       | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | Le |  |  |
|                                 | D72                      | PARSONS-SMITH, B. G., et al., "The Electroencephalograph in Liver Disease," Lancet 273:867-871 (1957).   |    |  |  |
|                                 | D73                      | Phuphanich, S. et al., Oral Sodium Phenylbutyrate in Patients with Recurrent Malignant Gliomas: A Dose Escalation and Pharmacologic Study, Neuro-Oncology 177 (2005).  |    |  |  |
|                                 | D74                      | Praphanproj, V. et al., Three Cases of Intravenous Sodium Benzoate and Sodium Phenylacetate Toxicity Occurring in the Treatment of Acute Hyperammonemia," 23 J. Inherited Metabolic Disease 129 (2000).  |    |  |  |
|                                 | D75                      | ROCKEY, D. C., et al., "Randomized, Controlled, Double Blind Study of Glycerol Phenylbutyrate in Patients with Cirrhosis and Episodic Hepatic Encephalopathy," Hepatology 56:248(A) (2012).  |    |  |  |
|                                 | D76                      | SALAM, M., et al., "Modified-Orientation Log to Assess Hepatic Encephalopathy," Aliment Pharmacol Ther. 35(8):913- 920 (2012).   |    |  |  |
|                                 | D77                      | Scientific Discussion for Ammonaps, EMEA 2005, available at<br>http://www.ema.europa.eu/docs/en_GB/document_library/EPAR<br>Scientific Discussion/human/000219/WC500024748.pdf   |    |  |  |
|                                 | D78                      | Scottish Medicines Consortium, Carglumic Acid 200 mg Dispersible Tablets (Carbaglu®) No. 299/06 (Sept. 8, 2006).   |    |  |  |
|                                 | D79                      | Seakins, J.W.T., The Determination of Urinary Phenylacetylglutamine as Phenylacetic Acid: Studies on its Origin in Normal Subjects and Children with Cystic Fibrosis, 35 Clin. Chim. Acta.121 (1971).  |    |  |  |
|                                 | D80                      | Search and Examination Report for British Patent Application No. GB 0915545.8, dated Oct. 8, 2009, 5 pages.  |    |  |  |
|                                 | D81                      | Sherwin, C. et al., The Maximum Production of Glutamine by the Human Body as Measured by the Output of Phenylacetylglutamine, 37 J. Biol. Chem. 113 (1919).  |    |  |  |
|                                 | D82                      | SMITH, W., et al., "Ammonia Control in Children Ages 2 Months through 5 Years with Urea Cycle Disorders: Comparison of Sodium Phenylbutyrate and Glycerol Phenylbutyrate," J Pediatr. 162(6):1228-1234.e1 (2013).  |    |  |  |
|                                 | D83                      | Summar, M., Current Strategies for the Management of Neonatal Urea Cycle Disorders, 138 J.<br>Pediatrics S30 (2001).   |    |  |  |

| Examiner<br>Signature | Date<br>Considered |  |
|-----------------------|--------------------|--|
| , v                   |                    |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Substitute for form 1449/PTO<br>INFORMATION DISCLOSURE |                    |             | Co                     | Complete if Known  |  |  |
|--|--------------------|-------------|------------------------|--------------------|--|--|
|  |                    |             | Application Number     | 13/775000          |  |  |
| ST   | ATEMENT BY AP      | PLICANT     | Filing Date            | 02-22-2013         |  |  |
| Date Submitted: March 12, 2012                         |                    |             | First Named Inventor   | Bruce Scharschmidt |  |  |
| Dai  | e Subinitieu. Marc | 11 12, 2012 | Art Unit               | 1621               |  |  |
| (use as many sheets as necessary)                      |                    |             | Examiner Name          | Savitha M. Rao     |  |  |
| Sheet 9  | of                 | 10          | Attorney Docket Number | HOR0026-201D1-US   |  |  |

| NON PATENT LITERATURE DOCUMENTS |                          |  |    |  |  |  |
|---------------------------------|--------------------------|--|----|--|--|--|
| Exami<br>ner<br>Initials*       | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T⁰ |  |  |  |
|                                 | D84                      | Summar, M. and Tuchman, M., Proceedings of a Consensus Conference for the Management of Patients with Urea Cycle Disorders, 138 J. Pediatrics S6 (2001).   |    |  |  |  |
|                                 | D85                      | Summar, M., Urea Cycle Disorders Overview, Gene Reviews, www.genetests.org (Apr. 2003).  |    |  |  |  |
|                                 | D86                      | Summar, M. et al., Unmasked Adult-Onset Urea Cycle Disorders in the Critical Care Setting, 21 Crit. Care Clin. S1 (2005).  |    |  |  |  |
|                                 | D87                      | The National Organization for Rare Disorders (2012). The Physician's Guide to Urea Cycle<br>Disorders, at http://nordphysicianguides.org/wp-<br>content/uploads/2012/02/NORD Physician Guide to Urea Cycle Disorders.pdf                                       |    |  |  |  |
|                                 | D88                      | Todo, S. et al., Orthotopic Liver Transplantation for Urea Cycle Enzyme Deficiency, 15 Hepatology 419 (1992).  |    |  |  |  |
|                                 | D89                      | Tuchman, M., and Yudkoff, M., Blood Levels of Ammonia and Nitrogen Scavenging Amino Acids in Patients with Inherited Hyperammonemia, 66 Molecular Genetics and Metabolism 10-15 (1999).  |    |  |  |  |
|                                 | D90                      | UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written<br>Opinion dated January 16, 2015 for PCT/US14/58489.   |    |  |  |  |
|                                 | D91                      | UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written<br>Opinion for PCT/ US2014/060543 dated January 23, 2015.   |    |  |  |  |
|                                 | D92                      | VILSTRUP, H., et al., "Hepatic Encephalopathy in Chronic Liver Disease: 2014 Practice Guideline by the American Association for the Study of Liver Diseases and the European Association for the Study of the Liver," Hepatology 60 (2):715-735 (2014).        |    |  |  |  |
|                                 | D93                      | Walsh et al., Chemical Abstract vol. 112, No. 231744   |    |  |  |  |
|                                 | D94                      | Welbourne, T. et al., The Effect of Glutamine Administration on Urinary Ammonium Excretion in Normal Subjects and Patients with Renal Disease, 51 J. Clin. Investigation 1852 (1972).  |    |  |  |  |
|                                 | D95                      | Wilcken, B., Problems in the Management of Urea Cycle Disorders, 81 Molecular Genetics and Metabolism 85 (2004).   |    |  |  |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

#### PTO/SB/08 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|                        | Substitute for fo                 | rm 144 | 19/PTO | Ca                     | omplete if Known   |
|------------------------|-----------------------------------|--------|--------|------------------------|--------------------|
| INFORMATION DISCLOSURE |                                   |        |        | Application Number     | 13/775000          |
|                        | STATEMENT BY APPLICANT            |        |        | Filing Date            | 02-22-2013         |
|                        | Date Submitted: March 12, 2012    |        |        | First Named Inventor   | Bruce Scharschmidt |
|                        |                                   |        |        | Art Unit               | 1621               |
|                        | (use as many sheets as necessary) |        |        | Examiner Name          | Savitha M. Rao     |
| Sheet                  | 10                                | of     | 10     | Attorney Docket Number | HOR0026-201D1-US   |

|                           |                          | NON PATENT LITERATURE DOCUMENTS  |                |
|---------------------------|--------------------------|--|----------------|
| Exami<br>ner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sub>6</sub> |
|                           | D96                      | Wilson, C.J., et al., Plasma Glutamine and Ammonia Concentrations in Ornithine<br>Carbamoyltransferase Deficiency and Citrullinaemia, 24 J. Inherited Metabolic Disease 691 (2001).  |                |
|                           | D97                      | Wright, G., et al., Management of Hepatic Encephalopathy, 2011 International Journal of Hepatology 1 (2011).   |                |
|                           | D98                      | Wright, P., Review: Nitrogen Excretion: Three End Products, Many Physiological Roles, 198 J. Experimental Biology 273 (1995).  |                |
|                           | D99                      | Yajima, et al. Diurnal Fluctuations of Blood Ammonia Levels in Adult-Type Citrullinemia, 137 Tokohu<br>J. Ex/ Med, 213-220 (1982)  |                |
|                           | D100                     | Yu, Ryan and Potter, Murray, Diagnosis of Urea Cycle Disorders in Adulthood: Late- Onset Carbamyl Phosphate Synthetase 1 Deficiency, 7 MUMJ 30 (2010).   |                |
|                           | D101                     | Yudkoff, M. et al., In Vivo Nitrogen Metabolism in Ornithine Transcarbamylase Deficiency, 98 J. Clin.<br>Invest. 2167 (1996).  |                |
|                           | D102                     | Zeitlin, P., Novel Pharmacologic Therapies for Cystic Fibrosis, 103 J. Clinical Investigation 447 (1999).  |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DNOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

| Electronic Patent Application Fee Transmittal |                                |                        |               |                  |                         |  |
|---|--------------------------------|------------------------|---------------|------------------|-------------------------|--|
| Application Number:                           | 13                             | 775000                 |               |                  |                         |  |
| Filing Date:                                  | 22-                            | 22-Feb-2013            |               |                  |                         |  |
| Title of Invention:                           |                                | THODS OF THERAP<br>UGS | EUTIC MONITOP | RING OF NITROGEN | I SCAVENGING            |  |
| First Named Inventor/Applicant Name:          | Bruce Scharschmidt             |                        |               |                  |                         |  |
| Filer:  | Dennis A. Bennett/Vicki Truman |                        |               |                  |                         |  |
| Attorney Docket Number:                       | нс                             | R0026-201D1-US         |               |                  |                         |  |
| Filed as Small Entity                         |                                |                        |               |                  |                         |  |
| Filing Fees for Utility under 35 USC 111(a)   |                                |                        |               |                  |                         |  |
| Description                                   |                                | Fee Code               | Quantity      | Amount           | Sub-Total in<br>USD(\$) |  |
| Basic Filing:                                 |                                |                        |               |                  |                         |  |
| Pages:  |                                |                        |               |                  |                         |  |
| Claims:                                       |                                |                        |               |                  |                         |  |
| Miscellaneous-Filing:                         |                                |                        |               |                  |                         |  |
| Petition:                                     |                                |                        |               |                  |                         |  |
| Patent-Appeals-and-Interference:              |                                |                        |               |                  |                         |  |
| Post-Allowance-and-Post-Issuance:             |                                |                        |               |                  |                         |  |
| Extension-of-Time:                            |                                |                        |               |                  |                         |  |

| Description                       | Fee Code | Quantity  | Amount | Sub-Total in<br>USD(\$) |
|-----------------------------------|----------|-----------|--------|-------------------------|
| Miscellaneous:                    |          |           |        |                         |
| Request for Continued Examination | 2801     | 1         | 600    | 600                     |
|                                   | Tot      | al in USD | (\$)   | 600                     |
|                                   |          |           |        |                         |

| Electronic Acl                       | knowledgement Receipt   |  |
|--------------------------------------|---|--|
| EFS ID:                              | 22519772  |  |
| Application Number:                  | 13775000         7929   |  |
| International Application Number:    |   |  |
| Confirmation Number:                 | 7929  |  |
| Title of Invention:                  | METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING<br>DRUGS |  |
| First Named Inventor/Applicant Name: | Bruce Scharschmidt  |  |
| Customer Number:                     | 101325  |  |
| Filer:                               | Dennis A. Bennett/Vicki Truman                                    |  |
| Filer Authorized By:                 | Dennis A. Bennett   |  |
| Attorney Docket Number:              | HOR0026-201D1-US  |  |
| Receipt Date:                        | 05-JUN-2015   |  |
| Filing Date:                         | 22-FEB-2013   |  |
| Time Stamp:                          | 18:45:13  |  |
| Application Type:                    | Utility under 35 USC 111(a)                                       |  |

# **Payment information:**

| Submitted with Payment                                  | yes  |
|---|--|
| Payment Type  | Deposit Account  |
| Payment was successfully received in RAM                | \$600  |
| RAM confirmation Number                                 | 5198   |
| Deposit Account   | 504297   |
| Authorized User   | BENNETT, DENNIS A.   |
| The Director of the USPTO is hereby authorized to charg | e indicated fees and credit any overpayment as follows:                |
| Charge any Additional Fees required under 37 C.F.R. Se  | ction 1.16 (National application filing, search, and examination fees) |
| Charge any Additional Fees required under 37 C.F.R. Se  | ction 1.17 (Patent application and reexamination processing fees)      |

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

| Document<br>Number | Document Description               | File Name                       | File Size(Bytes)/<br>Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl.) |
|--------------------|------------------------------------|---------------------------------|--|---------------------|---------------------|
| 1                  |                                    |                                 | 45748  |                     | -                   |
| 1                  |                                    | 20150603_Response.pdf           | 5299ff66bf79eb25251f4a8cfc01fec135b79<br>e78 | yes                 | 5                   |
|                    | Multip                             | oart Description/PDF files in . | zip description                              | · ·                 |                     |
|                    | Document Des                       | scription                       | Start  | Ei                  | nd                  |
|                    | Amendment/Req. Reconsiderati       | on-After Non-Final Reject       | 1  |                     | 1                   |
|                    | Claims                             |                                 | 2  |                     | 4                   |
|                    | Applicant Arguments/Remarks        | Made in an Amendment            | 5  | :                   | 5                   |
| Warnings:          |                                    |                                 | · · · · · ·                                  |                     |                     |
| Information:       |                                    |                                 |  |                     |                     |
| 2                  | Transmittal Letter                 | 20150603_IDS_Trans.pdf          | 20365  | no                  | 2                   |
|                    |                                    |                                 | bdfdae55f1baac2cdc41442dd748cb8aa30<br>5d8ec |                     |                     |
| Warnings:          |                                    |                                 |  |                     |                     |
| Information:       |                                    |                                 |  |                     |                     |
| 3                  | Notice of concurrent proceedings / | 20150603_Notice_Related_Liti    | 19179  | no                  | 2                   |
| 5                  | decisions                          | gation.pdf                      | fe7a75c16cb81156e8a954621fb54db00ab<br>32f2d | ne                  | -                   |
| Warnings:          |                                    |                                 |  |                     |                     |
| Information:       |                                    |                                 |  |                     |                     |
| 4                  | Request for Continued Examination  | 20150603_RCE1.pdf               | 191250                                       | no                  | 3                   |
|                    | (RCE)                              | 20130003_110211.pdf             | d86076c780ea8ab5ca106a532ff93e7ecd65<br>dead | 110                 | 5                   |
| Warnings:          |                                    |                                 |  |                     |                     |
| This is not a USP  | TO supplied RCE SB30 form.         |                                 |  |                     |                     |
| Information:       |                                    |                                 |  |                     |                     |
| 5                  | Non Patent Literature              |                                 | 1364493                                      | 50                  | 7                   |
| 5                  |                                    | Chen_1994.pdf                   | 19286c62e7e60316a06dad0051f8e9c76e8f<br>578a | no                  | 7                   |
| Warnings:          |                                    |                                 | <u> </u>                                     | I                   |                     |

|                       |   | 40ca   |  |   |
|-----------------------|---|--|--|---|
|                       |   | 40ca   |  |   |
| Non Patent Literature | Cordoba_JHepatol_2011.PDF   | 8c4c2ac2cbf8b1f5b467e5905969921e8001   | no   | 11  |
|                       |   | 1646581  |  |   |
|                       |   |  |  |   |
| Non Patent Literature | Conn_Gastroenterology_1977.<br>PDF  | 16141475<br>e16230f8a97d130ea64df7a190a6bcd80a74<br>c531   | no   | 11  |
|                       |   | 16141475   |  |   |
|                       |   |  |  |   |
|                       |   | 4bdad80cf82b7f41501c22e881fdd15fceffc<br>567   |  |   |
| Non Patent Literature | Collins_1995A.pdf   | 6098184  | no   | 8   |
|                       |   |  |  |   |
|                       |   |  |  |   |
|                       |   | b4e0ffd7dd284384abe7dfa76fed08493a12<br>8336   |  |   |
| Non Patent Literature | Carducci_1996A.pdf  | 7645689  | no   | 10  |
|                       |   |  |  |   |
|                       |   | 0102   |  |   |
| Non Patent Literature | Burlina2001A.pdf  | 51386f327257d900efbc1dae48eeb7e24d4f   | no   | 5   |
|                       |   | 2476247  |  |   |
|                       |   |  |  |   |
|                       |   | f88196bfe6c6d004cef616041a1963ae82c3f<br>909   |  |   |
| Non Patent Literature | Batshaw2001A.pdf  | 7711240  | no   | 10  |
|                       | 1   | 1  |  |   |
|                       |   | ·  |  | ·   |
| Non Patent Literature | Blei_AmJGastroenterol_2001.<br>PDF  | fc3b5ed203fad8fad2619130db53a0de1654<br>fedf   | no   | 9   |
|                       |   | 227027   |  |   |
|                       |   |  |  |   |
|                       | 011.PDF   | 814310a71b9c067ca94f43dd2a3740b0f15c<br>3629   |  |   |
| Non Patent Literature | Bajaj_AlimentPharmacolTher_2  | 525014   | no   | 16  |
|                       |   |  |  |   |
|                       |   | uno  |  |   |
| Non Patent Literature | Amodio_JHepatol_2008.PDF  | e023c89f552d47a9386de234659c25f7dd3c   | no   | 8   |
|                       | Non Patent Literature | Non Patent Literature     Bajaj_AlimentPharmacolTher_2<br>011.PDF       Non Patent Literature     Blei_AmJGastroenterol_2001.<br>PDF       Non Patent Literature     Batshaw2001A.pdf       Non Patent Literature     Burlina2001A.pdf       Non Patent Literature     Carducci_1996A.pdf       Non Patent Literature     Carducci_1996A.pdf       Non Patent Literature     Collins_1995A.pdf       Non Patent Literature     Collins_1995A.pdf | Non Patent Literature     Bajaj_AlimentPharmacolTher_2<br>011.PDF     525014       Non Patent Literature     Blei_AmJGastroenterol_2001.<br>PDF     227027       Non Patent Literature     Blei_AmJGastroenterol_2001.<br>PDF     227027       Non Patent Literature     Batshaw2001A.pdf     7711240       Mon Patent Literature     Burlina2001A.pdf     3476217       Non Patent Literature     Burlina2001A.pdf     10102020000000000000000000000000000000 | Non Patent Literature     Amodio_JHepatol_2008.PDF     Amodio_JHepatol_2008.PDF       Non Patent Literature     Bajaj_AlimentPharmacolTher.2<br>011.PDF     525014<br>minimum consecution and consecution |

| Information:              |                       |                              |  |    |          |
|---------------------------|-----------------------|------------------------------|--|----|----------|
| Warnings:                 |                       |                              |  |    |          |
| 23                        | Non Patent Literature | Ghabril_2012A.pdf            | 429f21296330b6190b59429cddd867acb7f<br>14080             | no | 1        |
| Information:              |                       |                              | 696962   |    |          |
| Warnings:<br>Information: |                       |                              |  |    |          |
|                           | Non Fatent Literature | Geraginty_2001A.pdf          | 799396dfc117dcaaf43e2bcc05ada560f664<br>280b             | no | 19       |
| 22                        | Non Patent Literature | Geraghty_2001A.pdf           | 14402723   | no | 19       |
| Information:              |                       |                              |  |    |          |
| Warnings:                 |                       |                              | I  |    | <u> </u> |
| 21                        | Non Patent Literature | Ferenci_Hepatology_2002A.pdf | fc1bf6551ecb0dcc4f17fe4e118ea4d16a281<br>22a             | no | 6        |
| mormation:                |                       |                              | 116563   |    |          |
| Warnings:<br>Information: |                       |                              |  |    |          |
| ) M(                      |                       |                              | fdbcbca624b8860f3f8fd454e510b05a767f2<br>6f1             |    |          |
| 20                        | Non Patent Literature | Feoli_Fonseca_1996A.pdf      | 4802821<br>fdbcbca624b8860f3f8fd454e510b05a767f2         | no | 6        |
| Information:              |                       | 1                            | r  |    |          |
| Warnings:                 |                       | 1                            | 1  |    | l        |
| 19                        | Non Patent Literature | Feillet_1998A.pdf            | 7 152935<br>e04ce74238c3b463debefe70fa195a1a467e<br>0b3b | no | 11       |
| miormation:               |                       | 1                            | 7152935  |    |          |
| Warnings:<br>Information: |                       |                              |  |    |          |
| Waxninger                 |                       |                              | 679ad199e147bec455f0e4a8116ac6a5e52<br>430ca             |    |          |
| 18                        | Non Patent Literature | Endo_2004A.pdf               | 3873814  | no | 5        |
| Information:              |                       |                              |  |    |          |
| Warnings:                 |                       | •                            | ·  |    | •        |
| 17                        | Non Patent Literature | Dover_1994A.pdf              | 9c0f04f904581d0bddfbf4dc8b99deea1d9e<br>06d3             | no | 5        |
|                           |                       |                              | 4221327  |    |          |
| Information:              |                       |                              |  |    |          |
| Warnings:                 |                       |                              | 56719ab372df98c307f5c049926510ae510f<br>282a             |    |          |
| 16                        | Non Patent Literature | Dixon_1992A.pdf              | 4444752  | no | 6        |
| Information:              |                       | 1                            | i  |    |          |
| Warnings:                 |                       |                              |  |    |          |
| 15                        | Non Patent Literature | Darmaun_1998A.pdf            | d45d8b03c974ac017977553df0a080b94a6<br>577fe             | no | 7        |
| 15                        |                       |                              | 6115821  |    | 7        |

| Warnings:    |                       |                                    |  |    |    |
|--------------|-----------------------|------------------------------------|--|----|----|
| 32           | Non Patent Literature | Kubota_1991A.pdf                   | 4739877<br>5a9fe8b52f5899956970c37939f7bdbabfb7<br>cbbd  | no | 6  |
| Information: |                       | 1                                  |  |    | 1  |
| Warnings:    |                       |                                    | d4a  |    |    |
| 31           | Non Patent Literature | Kleppe_2003A.pdf                   | 7683625<br>768f79f170e1b8e94c6d7b1bf0115e0cffdb2         | no | 11 |
| Information: |                       |                                    |  |    |    |
| Warnings:    |                       |                                    |  |    |    |
| 30           | Non Patent Literature | Honda_2002A.pdf                    | 943208904ac5de712fe58fe4ea1365ede899<br>854c             | no | 3  |
|              |                       |                                    | 2260511  |    |    |
| Information: |                       |                                    |  |    |    |
| Warnings:    |                       |                                    | b81c   |    |    |
| 29           | Non Patent Literature | Hassanein_Hepatology_2007A.<br>pdf | 481035<br>b7d7c14d4993dff95b13bf0ec7fb011d9be9           | no | 10 |
| Information: |                       | -                                  |  |    | 1  |
| Warnings:    |                       | 1                                  | I  |    | 1  |
| 28           | Non Patent Literature | Hassanein_DigDisSci_2008A.<br>pdf  | 22039102<br>207cede7e5fb9495559504be5345dc5c0b0<br>30290 | no | 10 |
| Information: |                       |                                    |  |    |    |
| Warnings:    |                       |                                    |  |    |    |
|              |                       | 2009A.pdf                          | 0847c8721514dd408069540f64a3e34ebdb<br>44834             |    |    |
| 27           | Non Patent Literature | Hassanein_AmJGastroenterol_        | 211886   | no | 9  |
| Information: |                       |                                    |  |    |    |
| Warnings:    |                       |                                    | 0029   |    |    |
| 26           | Non Patent Literature | Gropman_2007A.pdf                  | 17152815<br>1c0674d3fb3d4a5de4d79fb4a6a53f1c9da2<br>0859 | no | 26 |
| Information: |                       |                                    |  |    | 1  |
| Warnings:    |                       |                                    |  |    |    |
| 25           | Non Patent Literature | Gore_2001A.pdf                     | cfbeb00403a5811cef1f9eae9e497719d8f3<br>bc88             | no | 11 |
|              |                       |                                    | 8586901  |    |    |
| Information: |                       |                                    |  |    |    |
| Warnings:    |                       |                                    | 5a769918beaae445c0e630488e9b9f0fbb2<br>0735c             |    |    |
| 24           | Non Patent Literature | Gilbert_2001A.pdf                  | 8059098  | no | 10 |

| Non Fatent Literature    |   | c2b30a09a40fc69bd92946dfee5cb2e2230<br>6dda1  |  |  |
|--------------------------|---|---|--|--|
| Non Fatent Literature    |   | c2b30a09a40fc69bd92946dfee5cb2e2230<br>6dda1  |  |  |
| Non Patent Literature    | Nassgone_2005A.pdf  | 4906055   | no   | 8  |
|                          |   |   |  |  |
|                          |   |   |  |  |
| Non Patent Literature    | MizutaniA.pdf   | 8dd6844feb024b905b91ac7c94230bb6b4a<br>4f9fb  | no   | 9  |
|                          |   | 6150952   |  |  |
|                          |   |   |  |  |
|                          |   | b2bc63  |  |  |
| Non Patent Literature    | Matsuda1.pdf  | 9411d02e7c895a92606ab43c56b0892e1b  | no   | 6  |
|                          |   | 3879029   |  |  |
|                          |   |   |  |  |
|                          |   | 4e639a4f462eb4c674245c1eeeda7499470<br>5af20  |  |  |
| Non Patent Literature    | Munoz_MedClinNAm_2008.<br>PDF   | 1119379   | no   | 18   |
|                          |   | ı   |  |  |
|                          | 1   | ı   |  | I  |
| Non Patent Literature    | Monteleone_JClinPharmacol20<br>13.PDF   | 1116517<br>a34616e8eda5e1af86ccfbce58c4f34d08ad<br>71f5   | no   | 19   |
|                          |   | 1116517   |  |  |
|                          |   |   |  |  |
|                          |   | e30193fad22b15a835f23e8c5e30e4579f52<br>a396  |  |  |
| 36 Non Patent Literature | McGuire.pdf   | 78727   | no   | 2  |
|                          |   |   |  |  |
|                          | ·   | ·   |  | •  |
| Non Patent Literature    | Marini.pdf  | b1ff13c289395c414fc8cf03c982805c8c4b6<br>ac3  | no   | 8  |
|                          |   | 261641  |  |  |
|                          |   |   |  |  |
| Non Fatent Literature    | Leonard_2002A.pdf   | fe3818002b4c3fcab9c54a4db3efb20cc5dc<br>07dd  | 110  | 9  |
| Non Datent Literature    |   | 6155259   |  |  |
|                          |   |   |  |  |
|                          |   | 707Ь  |  |  |
| Non Patent Literature    | Lee_2001A.pdf   | d4c375f0e78bf1e1a963e0a75be3378e46fe  | no   | 10   |
|                          | Non Patent Literature | Non Patent Literature Leonard_2002A,pdf<br>Non Patent Literature Marini.pdf<br>Non Patent Literature McGuire.pdf<br>Non Patent Literature McGuire.pdf<br>Non Patent Literature Monteleone_JClinPharmacol20 13.PDF<br>Non Patent Literature Munoz_MedClinNAm_2008. PDF<br>Non Patent Literature Matsuda1.pdf | According to the end of | Non Patent Literature     Lee_2001A.pdf     no       Non Patent Literature     Leonard_2002A.pdf     6155259<br>69180035-016882 (setablickee)<br>0068     no       Non Patent Literature     Marini.pdf     261641<br>1110:0005-016882 (setablickee)<br>0068     no       Non Patent Literature     Marini.pdf     78727<br>1010:0005-016882 (setablickee)<br>0068     no       Non Patent Literature     McGuire.pdf     78727<br>13.PDF     no       Non Patent Literature     Monteleone_JClinPharmacol20<br>13.PDF     1116517<br>13.PDF     no       Non Patent Literature     Munoz_MedClinNAm_2008.<br>PDF     1119379<br>1010070000000000000000000000000000000 |

| Information:              |  |   |  |      |          |
|---------------------------|--|---|--|------|----------|
| Warnings:                 |  |   |  |      |          |
| 50                        | Non Patent Literature                    | Tuchman_1999A.pdf                       | 311dd4e0d7456e2dad5f52bd77183cf9b86<br>af90c   | no   | 6        |
| Information:              |  |   | 4086742  |      |          |
| Warnings:                 |  |   |  |      |          |
| 49                        | Non Patent Literature                    | Todo_1992A.pdf                          | 91d0d0f4901b7a36bd2912866239663544f<br>00deb   | no   | 4        |
|                           |  | Tala 10004 - K                          | 3354072  |      |          |
| Information:              |  |   |  |      |          |
| Warnings:                 |  |   | Sella  |      | <u> </u> |
| 48                        | Non Patent Literature                    | Summar_2005A.pdf                        | 1b9e965fa87095013df5bd18b18c13bc2c9<br>3ef19   | no   | 8        |
|                           |  |   | 6620800  |      |          |
| Information:              |  |   |  |      |          |
| Warnings:                 |  |   | 5b0c   |      |          |
| 47                        | Non Patent Literature                    | Summar_2003.pdf                         | 748564<br>8cae638daee9567ebb8fe4cd5dc85bb8d7ff | no   | 15       |
| Information:              |  |   |  |      |          |
| Warnings:                 |  | · · · · · · · · · · · · · · · · · · ·   | ·  |      |          |
| 46                        | 46 Non Patent Literature Summar_2001.pdf |   | 0ca2bfbb69fcbb90a66e2056c45cc4d1a3b9<br>b164   | no   | 5        |
|                           |  |   | 391683   |      |          |
| Warnings:<br>Information: |  |   |  |      |          |
| Warninge                  |  |   | becbdc52403acd6629f4edf8bca4395cfc19<br>944a   |      |          |
| 45                        | Non Patent Literature                    | SciDiscussionAmmonaps.pdf               | 649610   | no   | 12       |
| Information:              |  |   |  |      |          |
| Warnings:                 |  |   |  |      |          |
|                           |  | PDF                                     | 5d78c2b6952ac94f3ac22209727a3800bcf2<br>5002   |      | ر        |
| 44                        | Non Patent Literature                    | Parsons-Smith_Lancet_1957.              | 8761025  | no   | 5        |
| Information:              |  |   |  |      |          |
| Warnings:                 |  |   | a9b3   |      | <u> </u> |
| 43                        | Non Patent Literature                    | Ortiz_AlimentPharmacolTher_2<br>007.PDF | 91b014c1d855ff4ccce88e72a32139cc750c           | no 9 |          |
| Information:              |  |   | 101842   |      |          |
| Warnings:                 |  |   |  |      |          |
|                           |  |   | 621a57f71ba56d60820eab5248105b5eb29<br>b88f3   |      |          |
| 42                        | Non Patent Literature                    | Newmark_1995A.pdf                       | 6119917  | no   | 7        |

| 51               | Non Patent Literature                  | PCTUS1458489_SearchReporta                     | 21732609                                     | no | 9  |  |  |
|------------------|--|--|--|----|----|--|--|
|                  |  | ndWrittenOpinion.PDF                           | 010baa4a0064449b6cc0f7486278da07cdf6<br>f40c |    |    |  |  |
| Warnings:        |  |  |  |    |    |  |  |
| Information:     |  |  |  |    |    |  |  |
| 52               | Non Patent Literature                  | Vilstrup_Hepatology_2014.PDF                   | 259254                                       | no | 21 |  |  |
|                  |  |  | 5f885958a89ed48b94f5a354ad811c5bed8<br>c1dc9 |    |    |  |  |
| Warnings:        |  |  |  |    |    |  |  |
| Information:     |  |  | ·i   |    |    |  |  |
| 53               | Non Patent Literature                  | Welbourne_1972A.pdf                            | 7410168                                      | no | 9  |  |  |
|                  |  |  | 435fc3d6129c96430b708072119310a26aef<br>4049 |    |    |  |  |
| Warnings:        |  |  |  |    |    |  |  |
| Information:     |  |  |  |    |    |  |  |
| 54               | Non Patent Literature                  | Wright_1995A.pdf                               | 6807016                                      | no | 9  |  |  |
|                  |  | ·····3··· · · · · · · · · · · · · · · · · ·    | 5e945e44c1a731e55209ddc27cd85b5ae5d<br>8e648 |    | -  |  |  |
| Warnings:        |  |  |  |    |    |  |  |
| Information:     |  |  |  |    |    |  |  |
| 55               | Non Patent Literature                  | Wright_2011B.pdf                               | 9355737                                      | no | 11 |  |  |
|                  |  |  | 1375adfd015b5e6df5a67ba4dd8a93904ac<br>e523f |    |    |  |  |
| Warnings:        |  |  |  |    |    |  |  |
| Information:     |  |  |  |    |    |  |  |
| 56               | Non Patent Literature                  | Yu_2010A.pdf                                   | 2574203                                      | no | 3  |  |  |
|                  |  | - <u>-</u> + + + + + + + + + + + + + + + + + + | 5e7b8cd94e2b808baec1a88f00e7dd403d0<br>963af |    |    |  |  |
| Warnings:        |  |  |  |    |    |  |  |
| Information:     |  |  |  |    |    |  |  |
| 57               | Information Disclosure Statement (IDS) | 20150603_IDS.pdf                               | 125394                                       | no | 10 |  |  |
|                  | Form (SB08)                            |  | 23d72473b1d6a4b08b92c090f712d2f9720<br>223ff |    |    |  |  |
| Warnings:        |  |  |  |    |    |  |  |
| Information:     |  |  |  |    |    |  |  |
| This is not an U | SPTO supplied IDS fillable form        |  |  |    |    |  |  |
| 58               | Fee Worksheet (SB06)                   | fee-info.pdf                                   | 30594  | no | 2  |  |  |
| 50               |  | ice molpui                                     | 0d5e0e8b9394483e9feae4ecda77f616e88a<br>28ca |    |    |  |  |
| Warnings:        |  |  |  |    |    |  |  |
| Information:     |  |  |  |    |    |  |  |
|                  |  | Total Files Size (in bytes)                    | 283  |    |    |  |  |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bruce Scharschmidt *et al.* 

Title: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

Appl. No.: 13/775,000

Filing Date: February 22, 2013

Examiner: Savitha M. Rao

Art Unit: 1621

Confirmation 7929 Number:

#### **AMENDMENT**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

Applicant respectfully requests that the application be amended as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2

of this document.

Remarks/Arguments follow the amendments to the claims.

Please amend the application as follows:

#### Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### Listing of Claims:

1. (Currently Amended) A method for adjusting the dosage of glyceryl tri-[4phenylbutyrate] in a subject being treated for a urea cycle disorder who has previously been administered an initial dosage of glyceryl tri-[4-phenylbutyrate] and who has a fasting plasma ammonia level less than the upper limit of normal for plasma ammonia level, the method comprising:

(a) measuring a fasting plasma ammonia level for the subject;

(b) comparing the fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(c) administering an adjusted dosage of glyceryl tri-[4-phenylbutyrate], wherein the adjusted dosage is greater than the initial dosage if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level.

2. (Currently Amended) A method of treating a subject with a urea cycle disorder who has previously been administered an initial dosage of glyceryl tri-[4-phenylbutyrate] and who has a fasting plasma ammonia level less than the upper limit of normal for plasma ammonia level, the method comprising:

(a) measuring a fasting plasma ammonia level for the subject;

(b) comparing the fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(c) administering an adjusted dosage of glyceryl tri-[4-phenylbutyrate] that is greater than the initial dosage if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level.

3. (Currently Amended) A method of administering glyceryl tri-[4-phenylbutyrate] to a subject having a urea cycle disorder, the method comprising:

(a) measuring a first fasting plasma ammonia level for the subject;

(b) comparing the first fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(c) administering an initial dosage of glyceryl tri-[4-phenylbutyrate] to the subject if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level and less than the upper limit of normal for plasma ammonia level.

4. (original) The method of claim 1 or 2, wherein administering the adjusted dosage of glyceryl tri-[4-phenylbutyrate] produces a normal average daily ammonia level in the subject.

5. (Currently Amended) The method of claim 4 [[1 or 2]], further comprising repeating steps (a) to (c) until the subject exhibits a fasting plasma ammonia level at or below half the upper limit of normal for plasma ammonia level.

6. (Currently Amended) The method of claim 3, further comprising:

(d) measuring a second fasting plasma ammonia level for the subject;

(e) comparing the second fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and

(f) administering an adjusted dosage of glyceryl tri-[ 4-phenylbutyrate] that is greater than the initial dosage if the second fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level <u>and less than the upper limit of normal for plasma</u> <u>ammonia level</u>.

7. (original) The method of any of claims 1-3, wherein the upper limit of normal for plasma ammonia level is  $35 \mu mol/L$ .

8. (original) The method of any of claims 1-3, wherein the upper limit of normal is specific to the laboratory in which the fasting plasma ammonia level is measured.

9. (original) The method of any of claims 1-3, further comprising the step of determining an upper limit of normal for plasma ammonia level for the subject prior to step (b).

10. (original) The method of claim 1 or 2, wherein the adjusted dosage is calculated by:(i) measuring urinary phenylacetyl glutamine (P AGN) output; and

(ii) calculating an effective adjusted dosage of glyceryl tri-[4-phenylbutyrate] based on the urinary P AGN output, wherein the effective adjusted dosage is calculated based on a mean conversion of glyceryl tri-[ 4-phenylbutyrate] to urinary PAGN of 60 to 75%.

11. (original) The method of claim 3, wherein the initial dosage is calculated by:

(i) determining a target urinary phenylacetyl glutamine (P AGN) output; and (ii) calculating an effective initial dosage of glyceryl tri-[4-phenylbutyrate] based on a mean conversion of glyceryl tri-[4-phenylbutyrate] to urinary PAGN of 60 to 75%.

12. (new) The method of claim 1, wherein the adjusted dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

13. (new) The method of claim 2, wherein the adjusted dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

14. (new) The method of claim 3, wherein the initial dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

15. (new) The method of claim 6, wherein the adjusted dosage of glyceryl tri-[4-phenylbutyrate] is administered orally.

#### REMARKS

With entry of this amendment, claims 1-15 are pending. Certain of the claims have been amended to specify that the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level and less than the upper limit of normal for plasma ammonia level or that the subject with a urea cycle disorder has previously been administered an initial dosage of glyceryl tri-[4-phenylbutyrate] and has a fasting plasma ammonia level less than the upper limit of normal for plasma ammonia level. In addition, claims 12-15, which recite oral administration, have been added. Support for those amendments can be found throughout the specification, e.g., at [0080].

Applicants believe that the present application is now in condition for allowance. Favorable consideration of the application as amended is respectfully requested.

The Examiner is invited to contact the undersigned by telephone or email, if it is felt that an interview would advance the prosecution of the present application.

Respectfully submitted,

By /Lauren L. STEVENS/

Lauren L. Stevens Attorney for Applicant Registration No. 36,691 Istevens@globalpatentgroup.com

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- Applicant: Bruce Scharschmidt et al.
- Title: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS
- Appl. No.: 13/775,000
- Filing Date: February 22, 2013
- Examiner: Savitha M. Rao
- Art Unit: 1621

Confirmation 7929 Number:

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

Applicants submit herewith documents for the Examiner's consideration in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP § 609.

Applicant requests that, in accordance with 37 CFR §1.98(d), the Examiner review all applications relied on for an earlier effective filing date under 35 U.S.C. 120, including application no. 13/417,137, filed 03/09/2012; for copies of references of

record therein that are not being provided here; although Applicant would be pleased to provide copies of any such documents at the Examiner's request.

The submission of any document herein is not admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 C.F.R. § 1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

The Commissioner is hereby authorized to charge any fees which may be due to Deposit Account No. 50-4297.

Respectfully submitted,

By /Lauren L. STEVENS/

Lauren L. Stevens Attorney for Applicant Registration No. 36,691

4829-8462-8754.1

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- Applicant: Bruce Scharschmidt, et al.
- Title: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS
- Appl. No.: 13/775000
- Filing Date: 02-22-2013
- Examiner: Savitha M. Rao
- Art Unit: 1621

Confirmation 7929 Number:

#### NOTICE OF RELATED LITIGATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicant hereby notifies the U.S. Patent and Trademark Office that the subject matter of the present application is involved in litigation in the United States.

Specifically, Par Pharmaceutical, Inc. ("Par") sent a PIV notice letter to Hyperion Therapeutics, Inc. ("Hyperion") on March 12, 2014 providing notice that Par had filed an Abbreviated New Drug Application ("ANDA") with respect to RAVICTI<sup>®</sup> (Glycerol Phenylbutyrate) Oral Liquid, with a certification under 21 U.S.C. § 355(j)(2)(A)(vii)(IV) ("Paragraph IV") alleging that U.S. Patent Nos. 8,404,215 and 8,642,012 are invalid, unenforceable and/or will not be infringed by the commercial manufacture, use or sale of the Watson drug product.

Under 21 U.S.C. § 355(j)(5)(B)(iii), Hyperion had forty-five days from receipt of the ANDA notice letter to file suit against Watson for patent infringement. Accordingly, on April 23, 2014, Hyperion brought suit on those patents against Par in the United

States District Court for the Eastern District of Texas, Marshall Division. The Complaint alleged that Par infringes U.S. Patent Nos. 8,404,215 and 8,642,012. Subsequently, in May of 2015, Horizon Pharma plc ("Horizon") acquired Hyperion Therapeutics, Inc. through a merger. The subject application is a divisional of U.S. Patent No. 8,404,215. The Complaint is provided with an SB-08 filed concurrently herewith.

Respectfully submitted,

By /Lauren L. STEVENS/

Lauren L. Stevens Attorney for Applicant Registration No. 36,691 (650) 387-3813

PTO/SB/06 (09-11) Approved for use through 1/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

|   |  |   |   |   |                                    | to a collection of informati   |                                       | alid OMB control number. |
|---|--|---|---|---|------------------------------------|--|---------------------------------------|--------------------------|
| PATENT APPLICATION FEE DETERMINATION RECORD<br>Substitute for Form PTO-875       Application or Docket Number<br>13/775,000       Filing Date<br>02/22/2013   |  |   |   |   |                                    | To be Mailed   |                                       |                          |
|   |  |   |   |   |                                    | ENTITY: 🔲 L  | arge 🛛 sma                            |                          |
|   |  |   | APPLI   | CATION AS FIL   | .ED – PAR                          | RTI  |                                       |                          |
|   |  | (Column   | 1)  | (Column 2)  |                                    |  |                                       |                          |
| FOR   |  | NUMBER F  | ILED  | NUMBER EXTRA  |                                    | RATE (\$)  | F                                     | =EE (\$)                 |
| BASIC FEE<br>(37 CFR 1.16(a), (b)   |  | N/A   |   | N/A   |                                    | N/A  |                                       |                          |
| SEARCH FEE<br>(37 CFR 1.16(k), (i)  |  | N/A   |   | N/A   |                                    | N/A  |                                       |                          |
| EXAMINATION F<br>(37 CFR 1.16(o), (p)   |  | N/A   |   | N/A   |                                    | N/A  |                                       |                          |
| TOTAL CLAIMS<br>(37 CFR 1.16(i))  |  | m   | inus 20 = *   |   |                                    | X \$ =   |                                       |                          |
| INDEPENDENT CLAI<br>(37 CFR 1.16(h))  | AIMS   | r   | ninus 3 = *   |   |                                    | X \$ =   |                                       |                          |
| APPLICATION SIZ<br>(37 CFR 1.16(s))   | APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets<br>for small entity) for each additional 50 sheets or |   |   |   |                                    |  |                                       |                          |
|   | PENDENT CLA  | MM PRESENT (  | 37 CFR 1.16(j))   |   |                                    |  |                                       |                          |
| * If the difference in co   | column 1 is les  | s than zero, ent  | er "0" in column 2  | •   |                                    | TOTAL  |                                       |                          |
|   | (Colum   | n 1)  | (Column 2)  | TION AS AMEN  |                                    | ART II   | -                                     |                          |
| Image: Def (05/2015)           Total (37 CFR<br>1.16(0))           Independent<br>(37 CFR 1.16(h))           Application  | IS CLAIMS<br>REMAINI<br>AFTER<br>AMENDM  |   | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR   | , PRESENT EX  | (TRA                               | RATE (\$)  | ADDITI                                | ONAL FEE (\$)            |
| Total (37 CFR<br>1.16(i))   | * 24   | Minus   | ** 20   | = 4   |                                    | × \$40 =   |                                       | 160                      |
| Independent<br>(37 CFR 1.16(h))   | * 3  | Minus   | ***3  | = 0   |                                    | x \$210 =  |                                       | 0                        |
|   | on Size Fee (37  | CFR 1.16(s))  |   |   |                                    |  |                                       |                          |
|   | ESENTATION OF  | MULTIPLE DEPE   | NDENT CLAIM (37 C   | CFR 1.16(j))  |                                    |  |                                       |                          |
|   |  |   |   |   |                                    | TOTAL ADD'L FE   | E                                     | 160                      |
|   | (Colum   | n 1)  | (Column 2)  | (Column 3   | 3)                                 |  |                                       |                          |
|   | CLAIN<br>REMAIN<br>AFTE<br>AMENDM  | NING<br>R   | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR   | PRESENT EX  | (TRA                               | RATE (\$)  |                                       | ONAL FEE (\$)            |
| Тоtal (37 СFR<br>Ш 1.16(i))   | *  | Minus   | **  | =   |                                    | X \$ =   |                                       |                          |
| Independent<br>(37 CFR 1.16(h))   | *  | Minus   | ***   | =   |                                    | X \$ =   |                                       |                          |
|   | on Size Fee (37  | CFR 1.16(s))  |   |   |                                    |  |                                       |                          |
|   | ESENTATION OF  | MULTIPLE DEPE   | NDENT CLAIM (37 C   | CFR 1.16(j))  |                                    |  |                                       |                          |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16())     TOTAL ADD'L FEE     TOTAL ADD'L FEE     If the entry in column 1 is less than the entry in column 2, write "0" in column 3.     "* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".     /LINDA HUMES/     TOTAL ADD'L FEE     LIE     /LINDA HUMES/     TOTAL ADD'L FEE     LIE     TOTAL AD |  |   |   |   |                                    |  |                                       |                          |
| * If the entry in column<br>** If the "Highest Num<br>*** If the "Highest Nun<br>The "Highest Number  | mn 1 is less tha<br>umber Previousl<br>lumber Previous<br>ver Previously Pa<br>rmation is requir                               | an the entry in co<br>ly Paid For" IN T<br>sly Paid For" IN<br>aid For" (Total o<br>red by 37 CFR | olumn 2, write "0"<br>HIS SPACE is lea<br>THIS SPACE is lea<br>r Independent) is<br>1.16. The information | in column 3.<br>ss than 20, enter "20<br>ess than 3, enter "3".<br>the highest number<br>tion is required to ob | found in the a<br>tain or retain : | LIE<br>/LINDA HUME<br>appropriate box in colu<br>a benefit by the public | ES/<br>mn 1.<br>which is to file (and |                          |

process) an application. Confidentiality is governed by 35 U.S. 0. 122 and 37 GFH 1.14. This collection is estimated to take 12 minutes to complete, including galaxieng preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Document code: WFEE

United States Patent and Trademark Office Sales Receipt for Accounting Date: 06/08/2015

LHUMES SALE #00000002 Mailroom Dt: 06/05/2015 504297 13775000 01 FC : 2202 160.00 DA



UNITED STATES PATENT AND TRADEMARK OFFICE

| INITED STATES DEPARTMENT OF COMMERCE<br>Juited States Patent and Trademark Office |
|---|
| ddress: COMMISSIONER FOR PATENTS  |
| P.O. Box 1450   |
| Alexandria, Virginia 22313-1450   |
| www.uspto.gov   |

## **NOTICE OF ALLOWANCE AND FEE(S) DUE**

101325 06/18/2015 7590 **GLOBAL PATENT GROUP - HOR** 1005 NORTH WARSON ROAD SUITE 404 SAINT LOUIS, MO 63132

EXAMINER RAO, SAVITHA M

> ART UNIT PAPER NUMBER

1621

DATE MAILED: 06/18/2015

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-----------------|-------------|----------------------|---------------------|------------------|--|
| 13/775,000      | 02/22/2013  | Bruce Scharschmidt   | HOR0026-201D1-US    | 7929             |  |

TITLE OF INVENTION: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

| APPLN. TYPE    | ENTITY STATUS | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|---------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | SMALL         | \$480         | \$0                 | \$O                  | \$480            | 09/18/2015 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

101325 7590 06/18/2015 **GLOBAL PATENT GROUP - HOR** 1005 NORTH WARSON ROAD SUITE 404 SAINT LOUIS, MO 63132

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission** I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

| ł | (Depositor's name |
|---|-------------------|
| / | (Signature        |
| 7 | (Date             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-----------------|-------------|----------------------|---------------------|------------------|--|
| 13/775,000      | 02/22/2013  | Bruce Scharschmidt   | HOR0026-201D1-US    | 7929             |  |

TITLE OF INVENTION: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

|   |   |   | DUDI ICATION FEE DUT   | DELL'EATE LOOLE SEE  | TOTAL PER(0) DUT  |  |  |  |  |
|---|---|---|--|--|---|--|--|--|--|
| APPLN. TYPE                               | ENTITY STATUS   | ISSUE FEE DUE                                       | PUBLICATION FEE DUE  | PREV. PAID ISSUE FEE   | TOTAL FEE(S) DUE  | DATE DUE                                     |  |  |  |
| nonprovisional                            | SMALL   | \$480   | \$0  | \$0  | \$480   | 09/18/2015                                   |  |  |  |
|   |   |   |  |  |   |  |  |  |  |
| EXAN                                      | AINER   | ART UNIT  | CLASS-SUBCLASS   | ]  |   |  |  |  |  |
| RAO, SA                                   | VITHA M   | 1621  | 424-009200   | 24-009200  |   |  |  |  |  |
| 1. Change of correspond<br>CFR 1.363).    | lence address or indicatio                                  | n of "Fee Address" (37                              | 2. For printing on the p   | 10,  | 1   |  |  |  |  |
| _ ′                                       | oondence address (or Cha<br>B/122) attached.                | ange of Correspondence                              | or agents OR, alternativ   |  | icys  |  |  |  |  |
|   |   |   | (2) The name of a sing<br>registered attorney or a                       | le firm (having as a memb<br>agent) and the names of u   | pera 2<br>p to  |  |  |  |  |
| PTO/SB/47; Rev 03-<br>Number is required  | lication (or "Fee Address<br>02 or more recent) attach<br>• | ed. Use of a Customer                               | 2 registered patent atto<br>listed, no name will be                      | le firm (having as a memb<br>agent) and the names of u<br>rneys or agents. If no nam<br>printed. | ne is 3   |  |  |  |  |
| 3. ASSIGNEE NAME A                        | AND RESIDENCE DAT.  | A TO BE PRINTED ON '                                | THE PATENT (print or typ   | pe)  |   |  |  |  |  |
| PLEASE NOTE: Un<br>recordation as set for | less an assignee is ident<br>th in 37 CFR 3.11. Com         | ified below, no assignee pletion of this form is NO | data will appear on the p<br>T a substitute for filing an                | atent. If an assignee is ic<br>assignment.   | dentified below, the docu                               | ment has been filed for                      |  |  |  |
| (A) NAME OF ASSI                          |   |   | -  | and STATE OR COUNT   |   |  |  |  |  |
|   |   |   |  |  |   |  |  |  |  |
|   |   |   |  |  |   |  |  |  |  |
|   |   |   | rinted on the patent):   |  |   |  |  |  |  |
| 4a. The following fee(s)<br>Issue Fee     | are submitted:  | 41  | <ul> <li>b. Payment of Fee(s): (Plea<br/>A check is enclosed.</li> </ul> | ase first reapply any prev   | viously paid issue fee sho                              | wn above)                                    |  |  |  |
|   | No small entity discount                                    | permitted)  | _  | d. Form PTO-2038 is atta   | ched.   |  |  |  |  |
|   | # of Copies   |   |  | authorized to charge the r   |   | ency, or credits any                         |  |  |  |
|   |   |   | overpayment, to Depo   | sit Account Number   | (enclose an ex  | (tra copy of this form).                     |  |  |  |
|   | atus (from status indicate                                  | · · · · · · · · · · · · · · · · · · ·               |  |  |   |  |  |  |  |
| Applicant certifyi                        | ng micro entity status. Se                                  | ee 37 CFR 1.29                                      | <u>NOTE:</u> Absent a valid ce fee payment in the micro                  | rtification of Micro Entity<br>entity amount will not be   | Status (see forms PTO/S)<br>accepted at the risk of app | B/15A and 15B), issue plication abandonment. |  |  |  |
| Applicant assertin                        | ng small entity status. See                                 | e 37 CFR 1.27                                       | <u>NOTE:</u> If the application to be a notification of los              | was previously under mic<br>s of entitlement to micro e  | ro entity status, checking entity status.               | this box will be taken                       |  |  |  |
| Applicant changing                        | ng to regular undiscounte                                   | d fee status.                                       |  | x will be taken to be a not  | •   |  |  |  |  |
| NOTE: This form must                      | be signed in accordance                                     | with 37 CFR 1.31 and 1.3                            | 3. See 37 CFR 1.4 for sign   | ature requirements and cer   | rtifications.   |  |  |  |  |
|   |   |   |  |  |   |  |  |  |  |
| Authorized Signature                      |   |   |  | Date   |   |  |  |  |  |
| Typed or printed nam                      | ne  |   |  | Registration No.   |   |  |  |  |  |
|   |   |   | Page 2 of 3  |  |   |  |  |  |  |
| PTOL-85 Part B (10-13)                    | ) Approved for use throug                                   | gh 10/31/2013.                                      | OMB 0651-0033 U  | J.S. Patent and Trademark  | Office; U.S. DEPARTM                                    | ENT OF COMMERCE                              |  |  |  |

|                              | TED STATES PATE             | NT AND TRADEMARK OFFICE | UNITED STATES DEPAR<br>United States Patent and<br>Address: COMMISSIONER F<br>P.O. Box 1450<br>Alexandria, Virginia 223<br>www.uspto.gov | Trademark Office<br>OR PATENTS |
|------------------------------|-----------------------------|-------------------------|--|--------------------------------|
| APPLICATION NO.              | FILING DATE                 | FIRST NAMED INVENTOR    | ATTORNEY DOCKET NO.  | CONFIRMATION NO.               |
| 13/775,000                   | 02/22/2013                  | Bruce Scharschmidt      | HOR0026-201D1-US   | 7929                           |
| 101325 75                    | 90 06/18/2015               |                         | EXAM   | IINER                          |
| GLOBAL PATE<br>1005 NORTH WA | NT GROUP - HOR<br>RSON ROAD |                         | RAO, SA  | VITHA M                        |
| SUITE 404                    |                             |                         | ART UNIT   | PAPER NUMBER                   |
| SAINT LOUIS, M               | O 63132                     |                         | 1621   |                                |
|                              |                             |                         | DATE MAILED: 06/18/201   | 5                              |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

**The Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

|  | Application No.   | Applicant(s)   |  |  |  |  |
|--|---|--|--|--|--|--|
|  | 13/775.000  |  |  |  |  |  |
| Notice of Allowability   | Examiner  | SCHARSCHMIDT ET AL.  |  |  |  |  |
|  |   |  |  |  |  |  |
|  | SAVITHA RAO   | 1621   |  |  |  |  |
| The MAILING DATE of this communication app<br>All claims being allowable, PROSECUTION ON THE MERITS IS<br>herewith (or previously mailed), a Notice of Allowance (PTOL-85<br>NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT F<br>of the Office or upon petition by the applicant. See 37 CFR 1.31   | GOR REMAINS) CLOSED in this appropriate communication<br>(IGHTS. This application is subject) | oplication. If not included<br>n will be mailed in due course. <b>THIS</b> |  |  |  |  |
| 1. X This communication is responsive to <u>06/05/2015</u> .   |   |  |  |  |  |  |
| 2. An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.   |   |  |  |  |  |  |
| 3. The allowed claim(s) is/are <u>1-15</u> . As a result of the allowed claim(s), you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see <a href="http://www.uspto.gov/patents/init_events/pph/index.jsp">http://www.uspto.gov/patents/init_events/pph/index.jsp</a> or send an inquiry to <a href="http://www.uspto.gov/patents/init_events/pph/index.jsp">PHfeedback@uspto.gov</a> . |   |  |  |  |  |  |
| 4. 🗌 Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).   |   |  |  |  |  |  |
| a) 🔲 All b) 🔲 Some* c) 🛄 None of the:  |   |  |  |  |  |  |
| 1.  Certified copies of the priority documents have been received.   |   |  |  |  |  |  |
| 2. Certified copies of the priority documents hav  | e been received in Application No   | ·  |  |  |  |  |
| 3. 🔲 Copies of the certified copies of the priority documents have been received in this national stage application from the   |   |  |  |  |  |  |
| International Bureau (PCT Rule 17.2(a)).   |   |  |  |  |  |  |
| * Certified copies not received:   |   |  |  |  |  |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements<br>noted below. Failure to timely comply will result in ABANDONMENT of this application.<br>THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  |   |  |  |  |  |  |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") mu  | st be submitted.  |  |  |  |  |  |
| including changes required by the attached Examiner<br>Paper No./Mail Date .   | 's Amendment / Comment or in the  | Office action of   |  |  |  |  |
| Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in   |   |  |  |  |  |  |
| 6. DEPOSIT OF and/or INFORMATION about the deposit of attached Examiner's comment regarding REQUIREMENT F  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| Attachment(s)  | - <b>-</b>  |  |  |  |  |  |
| 1. Notice of References Cited (PTO-892)  | 5. 🔲 Examiner's Ameno   |  |  |  |  |  |
| 2. ☑ Information Disclosure Statements (PTO/SB/08),<br>Paper No./Mail Date <u>06/05/2015</u>   | 6. 🛛 Examiner's Statem  | nent of Reasons for Allowance  |  |  |  |  |
| 3. ☐ Examiner's Comment Regarding Requirement for Deposit 7. ☐ Other<br>of Biological Material   |   |  |  |  |  |  |
| 4. Interview Summary (PTO-413),<br>Paper No./Mail Date   |   |  |  |  |  |  |
| /SAVITHA RAO/  |   |  |  |  |  |  |
| Primary Examiner, Art Unit 1621  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| U.S. Patent and Trademark Office   |   |  |  |  |  |  |
|  | lotice of Allowability  | Part of Paper No./Mail Date 20150611                                       |  |  |  |  |

Application/Control Number: 13/775,000 Art Unit: 1621

The present application is being examined under the pre-AIA first to invent provisions.

#### **DETAILED ACTION**

Claims 1-15 are pending in the instant application.

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 06/05/2015 has been entered.

Applicants amended claim 1 to include the limitation "who has a fasting plasma ammonia level less than the upper limit of normal for plasma ammonia level", and amended claim 2 to include the limitation " and less than the upper limit of normal for plasma ammonia level". Applicants also added new claims 12-15 which are all dependent on previously allowed claims and does not add any new limitations. No new matter is added.

#### Information Disclosure Statement

Receipt is acknowledged of the Information Disclosure Statement filed 06/05/2015 The Examiner has considered the reference cited therein to the extent that each is a proper citation. Please see the attached USPTO Form 1449.

Application/Control Number: 13/775,000 Art Unit: 1621

The information disclosure statement filed 06/05/2015 fails to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609 because citations D45, D46 and D93 on the IDS (which has been lined out in 1449 form) does not contain a date of publication and therefore is not a proper citation in accordance with MPEP 609. It has been placed in the application file, but the information referred to therein has not been considered as to the merits. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609.05(a).

#### **REASONS FOR ALLOWANCE**

Applicants have filed a petition to withdraw this application from issue and filed an RCE so that the newly filed IDS dated 06/05/2015 and the claim amendments as recited above are considered.

Examiner has reviewed the amended claims and the new claims 12-15 and has determined that these claims does not add any limitations which would change the scope of the claims. The amendments to claims 1 and 2 further narrows the claim scope and claims 12-15 are dependent on previously allowed claims which are now determined by the examiner to be allowable

#### Application/Control Number: 13/775,000 Art Unit: 1621

Examiner has reviewed the submitted IDS and its contents and has determined that the cited references do not teach nor provide adequate motivation to arrive at the instantly claimed methods. In lieu of a diligent search conducted during the time of the issuance of this application 05/20/2015 and searches updated at this time, the instant claims including the new claims are seen to be novel and non-obvious over the teachings of the prior art

Following a diligent search it was determined that the prior art neither teaches nor provides adequate motivation to arrive at the instantly claimed method A method for adjusting the dosage of glyceryl tri-[4- phenylbutyrate] in a subject being treated for a urea cycle disorder who has previously been administered an initial dosage of glyceryl tri-[4-phenylbutyrate] and who has a fasting plasma ammonia level less than the upper limit of normal for plasma ammonia level, the method comprising: (a) measuring a fasting plasma ammonia level for the subject; (b) comparing the fasting plasma ammonia level to the upper limit of normal for plasma ammonia level; and (c) administering an adjusted dosage of glyceryl tri-[4-phenylbutyrate], wherein the adjusted dosage is greater than the initial dosage if the fasting plasma ammonia level is greater than half the upper limit of normal for plasma ammonia level,.

#### Conclusion

Claims 1-15 are allowed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SAVITHA RAO whose telephone number is (571)270-5315. The examiner can normally be reached on Mon-Fri 7.00 am to 4.00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Melanie McCormick can be reached at 571-272-8037. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/SAVITHA RAO/

Primary Examiner, Art Unit 1621

|              | Application/Control No. | Applicant(s)/Patent Under<br>Reexamination |
|--------------|-------------------------|--|
| Search Notes | 13775000                | SCHARSCHMIDT ET AL.                        |
|              | Examiner                | Art Unit                                   |
|              | SAVITHA RAO             | 1621                                       |

| CPC- SEARCHED                              |           |          |
|--|-----------|----------|
| Symbol                                     | Date      | Examiner |
| A61K31/216 OR G01N31/221 OR Y10T436/175383 | 5/14/2015 | SR       |

| CPC COMBINATION SETS - SEAR | CHED |          |
|-----------------------------|------|----------|
| Symbol                      | Date | Examiner |
|                             |      |          |

|       | US CLASSIFICATION SEARCHE | D         |          |
|-------|---------------------------|-----------|----------|
| Class | Subclass                  | Date      | Examiner |
| 424   | 9.2                       | 5/14/2015 | SR       |
| 514   | 432, 433, 544, 570, 533   | 5/14/2015 | SR       |
| 436   | 4,113                     | 5/14/2015 | SR       |

| SEARCH NOTES  |            |          |
|---|------------|----------|
| Search Notes  | Date       | Examiner |
| eaST search (See attached)  | 12/21/2014 | SR       |
| Inventor search in EAST and PALM  | 12/21/2014 | SR       |
| Reviewed STN searches from the Parent application, further NPL search in Google | 12/21/2014 | SR       |
| updated EAST search (See attached)  | 5/14/2015  | SR       |
| updated inventor search in EAST   | 5/14/2015  | SR       |
| updated NPL and STN search  | 5/14/2015  | SR       |
| updated EAST search (See attached)  | 6/11/2015  | SR       |
| updated inventor search in EAST   | 6/11/2015  | SR       |

|                         | INTERFERENCE SEARCH     |           |          |
|-------------------------|-------------------------|-----------|----------|
| US Class/<br>CPC Symbol | US Subclass / CPC Group | Date      | Examiner |
| A61K                    | 31/216                  | 5/14/2015 | SR       |
| G01N                    | 31/221                  | 5/14/2015 | SR       |

|  | /SAVITHA RAO/<br>Primary Examiner.Art Unit 1621 |
|--|---|
|  |   |

U.S. Patent and Trademark Office

Г

Part of Paper No. : 20150611

|                         | INTERFERENCE SEARCH     |           |          |
|-------------------------|-------------------------|-----------|----------|
| US Class/<br>CPC Symbol | US Subclass / CPC Group | Date      | Examiner |
| Y10T                    | 436/175383              | 5/14/2015 | SR       |
| 424                     | 9.2                     | 5/14/2015 | SR       |
| 514                     | 533, 432, 433, 544, 570 | 5/14/2015 | SR       |
| 435                     | 4, 113                  | 5/14/2015 | SR       |

|--|

U.S. Patent and Trademark Office

Part of Paper No. : 20150611

## Receipt date: 06/05/2015

# 13775000 ~ GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| $\frown$ | Substitute for for | m 144 | 9/PTO      | Co                     | omplete if Known   |
|----------|--------------------|-------|------------|------------------------|--------------------|
|          | INFORMATION [      | DISCL | OSURE      | Application Number     | 13/775000          |
|          | STATEMENT BY       | APP   | LICANT     | Filing Date            | 02-22-2013         |
|          | Date Submitted: N  | Iarch | 10 0010    | First Named Inventor   | Bruce Scharschmidt |
|          | Date Submitted. N  | aich  | 12, 2012   | Art Unit               | 1621               |
|          | use as many sheel  | ts as | necessary) | Examiner Name          | Savitha M. Rao     |
| Sheet    | 1                  | of    | 10         | Attorney Docket Number | HOR0026-201D1-US   |

|                  |      |   | U.S. PATENT DO   | CUMENTS   |  |
|------------------|------|---|------------------|---|--|
| Exami            | Cite | Document Number   | Publication Date | Name of Patentee or   | Pages, Columns,<br>Lines, Where Relevant |
| ner<br>Initials* | No.  | Number-Kind Code <sup>2</sup> ( <i>if</i><br><i>known</i> ) | MM-DD-YYYY       | Applicant of Cited Document   | Passages or Relevant<br>Figures Appear   |
|                  | P1   | 4,457,942   | 07-03-1984       | Brusilow, S.W.  |  |
|                  | P2   | 5,654,333   | 08-05-1997       | The United States Of<br>America As Represented By<br>The Department Of Health<br>And Human Services |  |
|                  | P3   | 8,094,521   | 01-10-2012       | Nightengale Products LLC  |  |
|                  | P4   | 8,404,215   | 03-26-2013       | Hyperion Therapeutics, Inc.   |  |
|                  | P5   | 2003/0195255  | 10-16-2003       | Marshall L. Summar  |  |
|                  | P6   | 2005/0273359  | 12-08-2005       | Young, D.E.   |  |
|                  | P7   | 2010/0016207  | 01-21-2010       | Wurtman, RJ et al   |  |
|                  | P8   | 2013/0281530  | 10-24-2013       | Scharschmidt, B et al   |  |
|                  | P9   | 2014/0142186  | 05-22-2014       | Hyperion Therapeutics, Inc.   |  |

|                  |                          |  | FOREIGN PATENT                 | DOCUMENTS   |   |    |
|------------------|--------------------------|--|--------------------------------|---|---|----|
| Exami            |                          | Foreign Patent<br>Document   |                                |   | Pages, Columns,<br>Lines, Where                       |    |
| ner<br>Initials* | Cite<br>No. <sup>1</sup> | Country Code <sup>3.</sup><br>Number <sup>4-</sup> Kind Code <sup>5</sup><br>( <i>if known</i> ) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Documents | Relevant<br>Passages or<br>Relevant<br>Figures Appear | Te |
|                  | F1                       | WO1994/22494   | 10-13-1994                     | The DuPont Merck<br>Pharmaceutical Company          |   |    |
|                  | F2                       | WO2013/048558  | 04-04-2013                     | Hyperion Therapeutics, Inc.                         |   |    |
|                  | F3                       | WO2013/158145  | 10-24-2013                     | Hyperion Therapeutics, Inc.                         |   |    |

| Examiner     Date       Signature     Considered |
|--|
|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4835-0776-7055.1

ALE/REFERENCE CONSIDERED EXCEPT WHERE LINED THROUGH. /S.R./

## Receipt date: 06/05/2015

# 13775000 ~ GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Substitute for form 1449/PTO<br>INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT |   |    |    | Complete if Known      |                    |  |
|--|---|----|----|------------------------|--------------------|--|
|  |   |    |    | Application Number     | 13/775000          |  |
|  |   |    |    | Filing Date            | 02-22-2013         |  |
| Date Submitted: March 12, 2012<br>(use as many sheets as necessary)              |   |    |    | First Named Inventor   | Bruce Scharschmidt |  |
|  |   |    |    | Art Unit               | 1621               |  |
|  |   |    |    | Examiner Name          | Savitha M. Rao     |  |
| Sheet  | 2 | of | 10 | Attorney Docket Number | HOR0026-201D1-US   |  |

| NON PATENT LITERATURE DOCUMENTS |                          |  |    |  |  |  |  |  |
|---------------------------------|--------------------------|--|----|--|--|--|--|--|
| Exami<br>ner<br>Initials*       | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | Тę |  |  |  |  |  |
|                                 | D1                       | AMODIO, P., et al., "Detection of Minimal Hepatic Encephalopathy: Normalization and Optimization of the Psychometric Hepatic Encephalopathy Score. A Neuropsychological and Quantified EEG Study," J. Hepatol. 49:346-353 (2008).                              |    |  |  |  |  |  |
|                                 | D2                       | ANDA Notice Letter, Par Pharmaceutical, Inc. to Hyperion Therapeutics, inc Re: Glycerol<br>Phenylbutyrate 1.1 gm/ml oral liquid; United States Patent Nos. 8,404,215 and 8,642,012 Notice of<br>Paragraph IV Certification March 12, 2014.                     |    |  |  |  |  |  |
|                                 | D3                       | BAJAJ, J. S., et al., "Review Article: The Design of Clinical Trials in Hepatic Encephalopathy -An International Society for Hepatic Encephalopathy and Nitrogen Metabolism (ISHEN) Consensus Statement," Aliment Pharmacol Ther. 33 (7):739-747 (2011).       |    |  |  |  |  |  |
|                                 | D4                       | Barsotti, Measurement of Ammonia in Blood, 138 J. Pediatrics, S11-S20 (2001)   |    |  |  |  |  |  |
|                                 | D5                       | Batshaw, et al., Treatment of Carbamyl Phosphate Synthetase Deficiency with Keto Analogues of Essential Amino Acids, 292 The New England J. Medicine, 1085□90 (1975)   |    |  |  |  |  |  |
|                                 | D6                       | Batshaw, M. L. et. al., Alternative Pathway Therapy for Urea Cycle Disorder: Twenty Years Later, 138 J. Pediatrics S46 (2001).   |    |  |  |  |  |  |
|                                 | D7                       | Blau, Duran, Blaskovics, Gibson (editors), Physician's Guide to the Laboratory Diagnosis of Metabolic Diseases, 261-276 (2d ed. 1996)  |    |  |  |  |  |  |
|                                 | D8                       | BLEI, A. T., et al., "Hepatic Encephalopathy," Am. J. Gastroenterol. 96(7):1968-1976 (2001).   |    |  |  |  |  |  |
|                                 | D9                       | Burlina, A.B. et al., Long-Term Treatment with Sodium Phenylbutyrate in Ornithine<br>Transcarbamylase-Deficient Patients, 72 Molecular Genetics and Metabolism 351-355 (2001).   |    |  |  |  |  |  |
|                                 | D10                      | Carducci, M., Phenylbutyrate Induces Apoptosis in Human Prostate Cancer and Is More Potent<br>Than Phenylacetate, 2 Clinical Cancer Research 379 (1996).   |    |  |  |  |  |  |
|                                 | D11                      | Carducci, M.A. et al., A Phase I Clinical and Pharmacological Evaluation of Sodium Phenylbutyrate on an 120-h Infusion Schedule, 7 Clin. Cancer Res. 3047 (2001).  |    |  |  |  |  |  |
|                                 | D12                      | Center for Drug Evaluation and Research, Clinical Pharmacology and Biopharmaceutics Review for New Drug Application No. 20-645 (Ammonul®) (2005).  |    |  |  |  |  |  |

Examiner Signature Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4835-0776-7055.1

AL #REFERENCE ON SIDERED EXCEPT WHERE LINED THROUGH. /S.R./

# 13775000 ~ GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| $\frown$ | Substitute for for | rm 144  | 9/PTO      | Complete if Known      |                    |  |
|----------|--------------------|---------|------------|------------------------|--------------------|--|
|          | INFORMATION I      | DISCL   | OSURE      | Application Number     | 13/775000          |  |
|          | STATEMENT BY       | Y APF   | LICANT     | Filing Date            | 02-22-2013         |  |
|          | Date Submitted: N  | March   | 12 2012    | First Named Inventor   | Bruce Scharschmidt |  |
|          | Date Submitted.    | viaicii | 12, 2012   | Art Unit               | 1621               |  |
|          | (use as many shee  | ts as   | necessary) | Examiner Name          | Savitha M. Rao     |  |
| Sheet    | Sheet 3 of 10      |         |            | Attorney Docket Number | HOR0026-201D1-US   |  |

|                           |                          | NON PATENT LITERATURE DOCUMENTS  |    |
|---------------------------|--------------------------|--|----|
| Exami<br>ner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T€ |
|                           | D13                      | Center for Drug Evaluation and Research, Labeling for New Drug Application No. 20-645 (Ammonul®) (2005).   |    |
|                           | D14                      | Center for Drug Evaluation and Research, Medical Review for New Drug Application No. 20-645 (Ammonul®) (2005).   |    |
|                           | D15                      | Chen, Z. et al., Tributyrin: A Prodrug of Butyric Acid for Potential Clinical Application in Differentiation Therapy, 54 Cancer Research 3494 (1994).  |    |
|                           | D16                      | Clay, A. et. al, Hyperammonemia in the ICU, 132 Chest 1368 (2007).   |    |
|                           | D17                      | Collins, A.F. et al., Oral Sodium Phenylbutyrate Therapy in Homozygous Beta Thalassemia: A<br>Clinical Trial, 85 Blood 43 (1995).  |    |
|                           | D18                      | CONN, H. O., et al., "Liver Physiology and Disease: Comparison of Lactulose and Neomycin in the Treatment of Chronic Portal-Systemic Encephalopathy. A Double Blind Controlled Trial," Gastroenterology 72(4):573-583 (1977).                                  |    |
|                           | D19                      | CORDOBA, J., "New Assessment of Hepatic Encephalopathy," Journal of Hepatology 54: 1030-<br>1040 (2011).   |    |
|                           | D20                      | Darmaun, D. et al., Phenylbutyrate-Induced Glutamine Depletion in Humans: Effect on Leucine Metabolism, 5 Am. J. of Physiology: Endocrinology and Metabolism E801 (1998).  |    |
|                           | D21                      | DIAZ, G. A., et al., "Ammonia Control and Neurocognitive Outcome Among Urea Cycle Disorder Patients Treated with Glycerol Phenylbutyrate," Hepatology 57(6):2171-2179 (2013).  |    |
|                           | D22                      | Dixon, M. A. and Leonard, J.V., Intercurrent Illness in Inborn Errors of Intermediary Metabolism, 67<br>Archives of Disease in Childhood 1387 (1992).  |    |
|                           | D23                      | Dover, G. et al, Induction of Fetal Hemoglobin Production in Subjects with Sickle Cell Anemia by<br>Oral Sodium Phenylbutyrate, 54 Cancer Research 3494 (1994).  |    |
|                           | D24                      | Endo, F. et al., Clinical Manifestations of Inborn Errors of the Urea Cycle and Related Metabolic Disorders During Childhood, 134 J. Nutrition 1605S (2004).   |    |

| Examiner<br>Signature Date<br>Considered |
|--|
|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DONT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4835-0776-7055.1

ALWREFERENGEO CONSIDERED EXCEPT WHERE LINED THROUGH. /S.R./

# 13775000 ~ GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| $\frown$ | Substitute for for | ′m 144 | 9/PTO      | Complete if Known      |                    |  |
|----------|--------------------|--------|------------|------------------------|--------------------|--|
|          | INFORMATION I      | DISCL  | OSURE      | Application Number     | 13/775000          |  |
|          | STATEMENT BY       | ( APP  | LICANT     | Filing Date            | 02-22-2013         |  |
|          | Date Submitted: N  | Jarch  | 12 2012    | First Named Inventor   | Bruce Scharschmidt |  |
|          | Date Submitted.    | and on | 12, 2012   | Art Unit               | 1621               |  |
|          | (use as many shee  | ts as  | necessary) | Examiner Name          | Savitha M. Rao     |  |
| Sheet    | 4                  | of     | 10         | Attorney Docket Number | HOR0026-201D1-US   |  |

|                           |                          | NON PATENT LITERATURE DOCUMENTS  |    |
|---------------------------|--------------------------|--|----|
| Exami<br>ner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T€ |
|                           | D25                      | European Medicines Agency, Annex I: Summary of Product Characteristics for Ammonaps.   |    |
|                           | D26                      | European Medicines Agency, European Public Assessment Report: Summary for the Public for Ammonaps (2009).  |    |
|                           | D27                      | European Medicines Agency, Scientific Discussion for Ammonaps (2005).  |    |
|                           | D28                      | European Medicines Agency, Scientific Discussion for Carbaglu (2004).  |    |
|                           | D29                      | FDA Label for Carbaglu, seven pages. (Mar. 2010).  |    |
|                           | D30                      | Feillet, F. and Leonard, J.V., Alternative Pathway Therapy for Urea Cycle Disorders, 21 J. Inher.<br>Metab. Dis. 101-111 (1998).   |    |
|                           | D31                      | Feoli-Fonseca, M. L., Sodium Benzoate Therapy in Children with Inborn Errors of Urea Synthesis:<br>Effect on Carnitine Metabolism and Ammonia Nitrogen Removal, 57 Biochemical and Molecular<br>Medicine 31 (1996).  |    |
|                           | D32                      | FERENCI, P., et al., "Hepatic Encephalopathy-Definition, Nomenclature, Diagnosis, and<br>Quantification: Final Report of the Working Party at the 11th World Congresses of Gastroenterology,<br>Vienna, 1998," Hepatology 35:716-721 (2002).                   |    |
|                           | D33                      | Fernandes, Saudubray, Berghe (editors), Inborn Metabolic Diseases Diagnosis and Treatment, 219-<br>222 (3d ed. 2000)   |    |
|                           | D34                      | Geraghty, M.T. and Brusilow, S.W., Disorders of the Urea Cycle, in LIVER DISEASE IN CHILDREN 827 (F.J. Suchy et al., eds. 2001).   |    |
|                           | D35                      | Ghabril, M. et al., "Glycerol Phenylbutyrate in Patients with Cirrhosis and Episodic Hepatic<br>Encephalopathy: A Pilot Study of Safety and Effect on Venous Ammonia Concentration," Clinical<br>Pharmacology in Drug Development 2(3): 278-284 (2013).        |    |
|                           | D36                      | Gilbert, J. et al., A Phase I Dose Escalation and Bioavailability Study of Oral Sodium Phenylbutyrate<br>in Patients with Refractory Solid Tumor Malignancies, 7 Clin. Cancer Research 2292-2300 (2001).   |    |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4835-0776-7055.1

ALE/REFERENCEO/OONSIDERED/EXCEPT WHERE2LINED THROUGH. /S.R./

# 13775000 ~ GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| $\frown$      | Substitute for for | rm 144 | 9/PTO      | Complete if Known      |                    |  |
|---------------|--------------------|--------|------------|------------------------|--------------------|--|
|               | INFORMATION        | DISCI  | OSURE      | Application Number     | 13/775000          |  |
|               | STATEMENT BY       | Y APF  | LICANT     | Filing Date            | 02-22-2013         |  |
|               | Date Submitted: N  | March  | 12 2012    | First Named Inventor   | Bruce Scharschmidt |  |
|               | Date Gubinitied. I | viaion | 12, 2012   | Art Unit               | 1621               |  |
|               | (use as many shee  | ets as | necessary) | Examiner Name          | Savitha M. Rao     |  |
| Sheet 5 of 10 |                    |        |            | Attorney Docket Number | HOR0026-201D1-US   |  |

|                           |              | NON PATENT LITERATURE DOCUMENTS  |    |
|---------------------------|--------------|--|----|
| Exami<br>ner<br>Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T۴ |
|                           | D37          | Gore, S. et al., Impact of the Putative Differentiating Agent Sodium Phenylbutyrate on Myelodysplastic Syndromes and Acute Myeloid Leukemia, 7 Clin. Cancer Res. 2330 (2001).  |    |
|                           | D38          | Gropman, A.L. et al., Neurological Implications of Urea Cycle Disorders, 30 J. Inherit Metab Dis. 865 (2007).  |    |
|                           | D39          | HASSANEIN, T. I., et al., "Randomized Controlled Study of Extracorporeal Albumin Dialysis for Hepatic Encephalopathy in Advanced Cirrhosis," Hepatology 46:1853-1862 (2007).   |    |
|                           | D40          | HASSANEIN, T. I., et al., "Introduction to the Hepatic Encephalopathy Scoring Algorithm (HESA),"<br>Dig. Dis. Sci. 53:529-538 (2008).  |    |
|                           | D41          | HASSANEIN, T., et al., "Performance of the Hepatic Encephalopathy Scoring Algorithm in a Clinical Trial of Patients With Cirrhosis and Severe Hepatic Encephalopathy," Am. J. Gastroenterol. 104:1392-1400 (2009).   |    |
|                           | D42          | Honda, S. et al., Successful Treatment of Severe Hyperammonemia Using Sodium Phenylacetate Power Prepared in Hospital Pharmacy, 25 Biol. Pharm. Bull. 1244 (2002).   |    |
|                           | D43          | International Search Report and Written Opinion for PCT/US09/30362, mailed Mar. 2, 2009, 8 pages.  |    |
|                           | D44          | International Search Report and Written Opinion for PCT/US2009/055256, mailed Dec. 30, 2009, 13 pages.   |    |
|                           |              | INTER PARTES REVIEW OF U.S. PATENT NO. 8,404,215   |    |
| *****                     |              | INTER PARTES REVIEW OF U.S. PATENT NO: 8,842,912   |    |
|                           | D47          | Kleppe, S. et al., Urea Cycle Disorders, 5 Current Treatment Options in Neurology 309- 319 (2003).   |    |
|                           | D48          | Kubota, K. and Ishizaki, T., Dose-Dependent Pharmacokinetics of Benzoic Acid Following Oral<br>Administration of Sodium Benzoate to Humans, 41 Eur. J. Clin. Pharmacol. 363 (1991).  |    |

| Examiner<br>Signature Date<br>Considered |
|--|
|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DONT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4835-0776-7055.1

ALEREFERENGEGOONSIDEREDEXCEPT WHERE LINED THROUGH. /S.R./

# 13775000 ~ GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| $\frown$      | Substitute for for | rm 144 | 9/PTO      | Complete if Known      |                    |  |
|---------------|--------------------|--------|------------|------------------------|--------------------|--|
|               | INFORMATION        | DISCL  | OSURE      | Application Number     | 13/775000          |  |
|               | STATEMENT BY       | Y APP  | LICANT     | Filing Date            | 02-22-2013         |  |
|               | Date Submitted: N  | March  | 10 2012    | First Named Inventor   | Bruce Scharschmidt |  |
|               | Date Submitted. I  | viaion | 12, 2012   | Art Unit               | 1621               |  |
|               | (use as many shee  | ets as | necessary) | Examiner Name          | Savitha M. Rao     |  |
| Sheet 6 of 10 |                    |        |            | Attorney Docket Number | HOR0026-201D1-US   |  |

|                           |              | NON PATENT LITERATURE DOCUMENTS  |    |
|---------------------------|--------------|--|----|
| Exami<br>ner<br>Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | Тę |
|                           | D49          | Lee, B. and Goss, J., Long-Term Correction of Urea Cycle Disorders, 138 J. Pediatrics S62 (2001).  |    |
|                           | D50          | Lee, B. et al., Considerations in the Difficult-to-Manage Urea Cycle Disorder Patient, 21 Crit. Care Clin. S19 (2005).   |    |
|                           | D51          | Lee, B., et al., "Optimizing Ammonia (NH3) Control in Urea Cycle Disorder (UCD) Patients: A<br>Predictive Model," Oral Abstract Platform Presentations, Biochemical Genetics, Phoenix, AZ, March<br>22, 2013   |    |
|                           | D52          | Leonard, J.V., Urea Cycle Disorders, 7 Semin. Nenatol. 27 (2002).  |    |
|                           | D53          | Lizardi-Cervera, J. et al., Hepatic Encephalopathy: A Review, 2 Annals of Hepatology 122-120 (2003).   |    |
|                           | D54          | Maestri NE, et al., Prospective treatment of urea cycle disorders. J Paediatr 1991;119:923-928.  |    |
|                           | D55          | Maestri, N.E., et al., Long-Term Survival of Patients with Argininosuccinate Synthetase Deficiency, 127 J. Pediatrics 929 (1993).  |    |
|                           | D56          | Maestri, N.E., Long-Term Treatment of Girls with Ornithine Transcarbamylase Deficiency, 355 N. Engl. J. Med. 855 (1996).   |    |
|                           | D57          | Majeed, K., Hyperammonemia, eMedicine.com (Dec. 2001).   |    |
|                           | D58          | Marini, J.C. et al., Phenylbutyrate Improves Nitrogen Disposal via an Alternative Pathway without Eliciting an Increase in Protein Breakdown and Catabolism in Control and Ornithine Transcarbamylase-Deficient Patients, 93 Am. J. Clin. Nutr. 1248 (2011).   |    |
|                           | D59          | Matsuda, I., Hyperammonemia in Pediatric Clinics: A Review of Ornithine Transcarbamylase<br>Deficiency (OTCD) Based on our Case Studies, 47 JMAJ 160 (2004).   |    |
|                           | D60          | McGuire, B.M. et al., Pharmacokinetic (PK) and Safety Analyses of a Novel Ammonia- Reducing Agent in Healthy Adults and Patients with Cirrhosis, Hyperion Therapeutics, poster, one page (2009).   |    |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DONT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4835-0776-7055.1

ALW, REFERENCES. CONSIDERED EXCEPT WHERE LINED THROUGH. /S.R./

# 13775000 ~ GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| $\frown$ | Substitute for for | rm 144   | ·9/PTO     | Col                    | Complete if Known  |  |  |
|----------|--------------------|----------|------------|------------------------|--------------------|--|--|
|          | INFORMATION I      | DISCL    | OSURE      | Application Number     | 13/775000          |  |  |
|          | STATEMENT BY       | Y APF    | LICANT     | Filing Date            | 02-22-2013         |  |  |
|          | Date Submitted: N  | March    | 12 2012    | First Named Inventor   | Bruce Scharschmidt |  |  |
|          | Date Gubinitied. I | vicitori | 12, 2012   | Art Unit               | 1621               |  |  |
|          | (use as many shee  | ts as    | necessary) | Examiner Name          | Savitha M. Rao     |  |  |
| Sheet    | 7                  | of       | 10         | Attorney Docket Number | HOR0026-201D1-US   |  |  |

|                           |                          | NON PATENT LITERATURE DOCUMENTS   |    |
|---------------------------|--------------------------|---|----|
| Exami<br>ner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.  | Le |
|                           | D61                      | Mizutani, N. et al., Hyperargininemia: Clinical Course and Treatment with Sodium Benzoate and Phenylacetic Acid, 5 Brain and Development 555 (1983).  |    |
|                           | D62                      | MOKHTARANI, M., et al., (2013) "Elevated Phenylacetic Acid Levels Do Not Correlate with Adverse<br>Events in Patients with Urea Cycle Disorders o rHepatic Encephalopathy and Can Be Predicted<br>Based on the Plasma PAA to PAGN Ratio," Mol Genet Metab 110(4):446-453  |    |
|                           | D63                      | MOKHTARANI, M., et al., (2012) "Urinary Phenylacetylglutamine as Dosing Biomarker for Patients with Urea Cycle Disorders," Mol Genet Metab 107(3):308-314   |    |
|                           | D64                      | MONTELEONE, JPR, et al., (2013) "Population Pharmacokinetic Modeling and Dosing Simulations of Nitrogen-Scavenging Compounds: Disposition of Glycerol Phenylbutyrate and Sodium Phenylbutyrate in Adult and Pediatric Patients with Urea Cycle Disorders," J. Clin. Pharmacol. 53(7): 699-710.                              |    |
|                           | D65                      | MUNOZ, S. J., "Hepatic Encephalopathy," Med. Clin. N. Am. 92:795-812 (2008).  |    |
|                           | D66                      | Nassogne, M.C., Urea Cycle Defects: Management and Outcome, 28 J. Inherit. Metab. Dis. 407 (2005).  |    |
|                           | D67                      | New England Consortium of Metabolic Programs, Acute Illness Protocol: Urea Cycle Disorders: The Infant/Child with Argininosuccinate Lyase Deficiency, adapted from Summar, M and Tuchman, M, Proceedings of a Consensus Conference for the Management of Patients with Urea Cycle Disorders, 138 J. Peds. Suppl. S6 (2001). |    |
|                           | D68                      | New England Consortium of Metabolic Programs, Acute Illness Protocol: Urea Cycle Disorders: The Infant/Child with Citrullinemia, adapted from Summar, M and Tuchman, M, Proceedings of a Consensus Conference for the Management of Patients with Urea Cycle Disorders, 138 J. Peds. Suppl. S6 (2001).                      |    |
|                           | D69                      | Newmark, H. L. and Young, W. C., Butyrate and Phenylacetate as Differentiating Agents: Practical Problems and Opportunities, 22 J. Cellular Biochemistry 247 (1995).  |    |
|                           | D70                      | ORTIZ, M., et al., "Development of a Clinical Hepatic Encephalopathy Staging Scale," Aliment Pharmacol Ther 26:859-867 (2007).  |    |
|                           | D71                      | PAR PHARMACEUTICAL, INC.'S INITIAL INVALIDITY CONTENTIONS AND NON-INFRINGEMENT<br>CONTENTIONS FOR U.S. PATENT NOS. 8,404,215 AND 8,642,012  |    |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4835-0776-7055.1

ALE/REFERENCEO/OONSIDERED/EXCEPT WHERE2LINED THROUGH. /S.R./

# 13775000 ~ GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| $\frown$ | Substitute for for | rm 144   | .9/PTO     | Complete if Known                       |                    |  |
|----------|--------------------|----------|------------|---|--------------------|--|
|          | INFORMATION I      | DISCL    | OSURE      | Application Number                      | 13/775000          |  |
|          | STATEMENT BY       | Y APF    | LICANT     | Filing Date                             | 02-22-2013         |  |
|          | Date Submitted: N  | March    | 12 2012    | First Named Inventor                    | Bruce Scharschmidt |  |
|          | Date Gubinitied. I | vicitori | 12, 2012   | Art Unit                                | 1621               |  |
|          | (use as many shee  | ets as   | necessary) | Examiner Name                           | Savitha M. Rao     |  |
| Sheet    | 8                  | of       | 10         | Attorney Docket Number HOR0026-201D1-US |                    |  |

|                           |                          | NON PATENT LITERATURE DOCUMENTS  |    |
|---------------------------|--------------------------|--|----|
| Exami<br>ner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | Le |
|                           | D72                      | PARSONS-SMITH, B. G., et al., "The Electroencephalograph in Liver Disease," Lancet 273:867-871 (1957).   |    |
|                           | D73                      | Phuphanich, S. et al., Oral Sodium Phenylbutyrate in Patients with Recurrent Malignant Gliomas: A Dose Escalation and Pharmacologic Study, Neuro-Oncology 177 (2005).  |    |
|                           | D74                      | Praphanproj, V. et al., Three Cases of Intravenous Sodium Benzoate and Sodium Phenylacetate<br>Toxicity Occurring in the Treatment of Acute Hyperammonemia," 23 J. Inherited Metabolic Disease<br>129 (2000).  |    |
|                           | D75                      | ROCKEY, D. C., et al., "Randomized, Controlled, Double Blind Study of Glycerol Phenylbutyrate in Patients with Cirrhosis and Episodic Hepatic Encephalopathy," Hepatology 56:248(A) (2012).  |    |
|                           | D76                      | SALAM, M., et al., "Modified-Orientation Log to Assess Hepatic Encephalopathy," Aliment Pharmacol Ther. 35(8):913- 920 (2012).   |    |
|                           | D77                      | Scientific Discussion for Ammonaps, EMEA 2005, available at<br>http://www.ema.europa.eu/docs/en_GB/document_library/EPAR<br>Scientific Discussion/human/000219/WC500024748.pdf   |    |
|                           | D78                      | Scottish Medicines Consortium, Carglumic Acid 200 mg Dispersible Tablets (Carbaglu®) No. 299/06 (Sept. 8, 2006).   |    |
|                           | D79                      | Seakins, J.W.T., The Determination of Urinary Phenylacetylglutamine as Phenylacetic Acid: Studies on its Origin in Normal Subjects and Children with Cystic Fibrosis, 35 Clin. Chim. Acta.121 (1971).  |    |
|                           | D80                      | Search and Examination Report for British Patent Application No. GB 0915545.8, dated Oct. 8, 2009, 5 pages.  |    |
|                           | D81                      | Sherwin, C. et al., The Maximum Production of Glutamine by the Human Body as Measured by the Output of Phenylacetylglutamine, 37 J. Biol. Chem. 113 (1919).  |    |
|                           | D82                      | SMITH, W., et al., "Ammonia Control in Children Ages 2 Months through 5 Years with Urea Cycle Disorders: Comparison of Sodium Phenylbutyrate and Glycerol Phenylbutyrate," J Pediatr. 162(6):1228-1234.e1 (2013).  |    |
|                           | D83                      | Summar, M., Current Strategies for the Management of Neonatal Urea Cycle Disorders, 138 J.<br>Pediatrics S30 (2001).   |    |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4835-0776-7055.1

ALEREFERENGEORONSIDERED EXCEPT WHERE LINED THROUGH. /S.R./

# 13775000 ~ GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| $\frown$ | Substitute for for | rm 144 | .9/PTO     | Complete if Known      |                    |  |
|----------|--------------------|--------|------------|------------------------|--------------------|--|
|          | INFORMATION        | DISCL  | OSURE      | Application Number     | 13/775000          |  |
|          | STATEMENT BY       | Y APP  | LICANT     | Filing Date            | 02-22-2013         |  |
|          | Date Submitted: N  | March  | 12 2012    | First Named Inventor   | Bruce Scharschmidt |  |
|          | Date Submitted. I  | viaion | 12, 2012   | Art Unit               | 1621               |  |
|          | (use as many shee  | ets as | necessary) | Examiner Name          | Savitha M. Rao     |  |
| Sheet    | 9                  | of     | 10         | Attorney Docket Number | HOR0026-201D1-US   |  |

| Exami<br>ner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | Le |
|---------------------------|--------------------------|--|----|
|                           | D84                      | Summar, M. and Tuchman, M., Proceedings of a Consensus Conference for the Management of Patients with Urea Cycle Disorders, 138 J. Pediatrics S6 (2001).   |    |
|                           | D85                      | Summar, M., Urea Cycle Disorders Overview, Gene Reviews, www.genetests.org (Apr. 2003).  |    |
|                           | D86                      | Summar, M. et al., Unmasked Adult-Onset Urea Cycle Disorders in the Critical Care Setting, 21 Crit. Care Clin. S1 (2005).  |    |
|                           | D87                      | The National Organization for Rare Disorders (2012). The Physician's Guide to Urea Cycle Disorders, at http://nordphysicianguides.org/wp-content/uploads/2012/02/NORD Physician Guide to Urea Cycle Disorders.pdf  |    |
|                           | D88                      | Todo, S. et al., Orthotopic Liver Transplantation for Urea Cycle Enzyme Deficiency, 15 Hepatology 419 (1992).  |    |
|                           | D89                      | Tuchman, M., and Yudkoff, M., Blood Levels of Ammonia and Nitrogen Scavenging Amino Acids in Patients with Inherited Hyperammonemia, 66 Molecular Genetics and Metabolism 10-15 (1999).  |    |
|                           | D90                      | UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written<br>Opinion dated January 16, 2015 for PCT/US14/58489.   |    |
|                           | D91                      | UNITED STATES PATENT AND TRADEMARK OFFICE, International Search Report and Written<br>Opinion for PCT/ US2014/060543 dated January 23, 2015.   |    |
|                           | D92                      | VILSTRUP, H., et al., "Hepatic Encephalopathy in Chronic Liver Disease: 2014 Practice Guideline by the American Association for the Study of Liver Diseases and the European Association for the Study of the Liver," Hepatology 60 (2):715-735 (2014).        |    |
|                           | <u>D</u> 03              | Walch et al., Chemical Abetract vol. 112, No. 231744   |    |
|                           | D94                      | Welbourne, T. et al., The Effect of Glutamine Administration on Urinary Ammonium Excretion in Normal Subjects and Patients with Renal Disease, 51 J. Clin. Investigation 1852 (1972).  |    |
|                           | D95                      | Wilcken, B., Problems in the Management of Urea Cycle Disorders, 81 Molecular Genetics and Metabolism 85 (2004).   |    |

| Examiner<br>Signature | Date<br>Considered |  |
|-----------------------|--------------------|--|
|                       |                    |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

4835-0776-7055.1

ALE/REFERENCEO/CONSIDERED/EXCEPT WHERE/LINED THROUGH. /S.R./

# 13775000 ~ GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| $\frown$ | Substitute for fo  | rm 144  | 9/PTO                  | Complete if Known    |                    |  |
|----------|--------------------|---------|------------------------|----------------------|--------------------|--|
|          | INFORMATION        | DISCL   | OSURE                  | Application Number   | 13/775000          |  |
|          | STATEMENT B        | Y APF   | LICANT                 | Filing Date          | 02-22-2013         |  |
|          | Date Submitted: I  | March   | 12 2012                | First Named Inventor | Bruce Scharschmidt |  |
|          | Date Gubinitied. I | with on | 12, 2012               | Art Unit             | 1621               |  |
|          | (use as many shee  | ets as  | necessary)             | Examiner Name        | Savitha M. Rao     |  |
| Sheet    | Sheet 10 of 10     |         | Attorney Docket Number | HOR0026-201D1-US     |                    |  |

|                           |                          | NON PATENT LITERATURE DOCUMENTS  |    |
|---------------------------|--------------------------|--|----|
| Exami<br>ner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | Тę |
|                           | D96                      | Wilson, C.J., et al., Plasma Glutamine and Ammonia Concentrations in Ornithine<br>Carbamoyltransferase Deficiency and Citrullinaemia, 24 J. Inherited Metabolic Disease 691 (2001).  |    |
|                           | D97                      | Wright, G., et al., Management of Hepatic Encephalopathy, 2011 International Journal of Hepatology 1 (2011).   |    |
|                           | D98                      | Wright, P., Review: Nitrogen Excretion: Three End Products, Many Physiological Roles, 198 J. Experimental Biology 273 (1995).  |    |
|                           | D99                      | Yajima, et al. Diurnal Fluctuations of Blood Ammonia Levels in Adult-Type Citrullinemia, 137 Tokohu<br>J. Ex/ Med, 213-220 (1982)  |    |
|                           | D100                     | Yu, Ryan and Potter, Murray, Diagnosis of Urea Cycle Disorders in Adulthood: Late- Onset<br>Carbamyl Phosphate Synthetase 1 Deficiency, 7 MUMJ 30 (2010).  |    |
|                           | D101                     | Yudkoff, M. et al., In Vivo Nitrogen Metabolism in Ornithine Transcarbamylase Deficiency, 98 J. Clin.<br>Invest. 2167 (1996).  |    |
|                           | D102                     | Zeitlin, P., Novel Pharmacologic Therapies for Cystic Fibrosis, 103 J. Clinical Investigation 447 (1999).  |    |

| Examine<br>Signatur | /ouvina rido/ | Date<br>Considered | 06/11/2015 |
|---------------------|---------------|--------------------|------------|
| TO VALUE OF         |               |                    |            |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant: 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.3 Enter Office that issued the document, by the two-latter code (WIPO Standard ST.3).4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DNOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4835-0776-7055.1

ALL/REFERENGEG/CONSIDERED/EXCEPT WHERE LINED THROUGH. /S.R./

### **EAST Search History**

### EAST Search History (Prior Art)

| Ref<br># | Hits | Search Query   | DBs   | Default<br>Operator | Plurals | Time<br>Stamp       |
|----------|------|--|---|---------------------|---------|---------------------|
| S1       | 0    | "13417137".rlan. or ("13".src. and<br>"417137".ap.)  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR                  | OFF     | 2012/11/15<br>13:46 |
| S2       | 4    | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR                  | OFF     | 2012/11/15<br>13:46 |
| S3       | 0    | ((MASOUD) near2<br>(MOKHTARANI)).INV.  | US-PGPUB;<br>USPAT; USOCR   | OR                  | OFF     | 2012/11/15<br>13:46 |
| S4       | 9    | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2012/11/15<br>13:56 |
| S5       | 0    | ((MASOUD) near2<br>(MOKHTARANI)).INV.  | US-PGPUB;<br>USPAT; USOCR   | OR                  | OFF     | 2012/11/15<br>13:56 |
| S6       | 0    | ((MASOUD) near2<br>(MOKHTARANI)).INV.  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2012/11/15<br>13:56 |
| S7       | 18   | ("20040229948"   "20060135612"  <br>"4284647"   "6083984"  <br>"20080119554"   "6219567"  <br>"20100008859"   "6050510"  <br>"5968979"   "20100008859"  <br>"6219567").PN. | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2012/11/15<br>13:57 |
| S8       | 0    | S1 and nitrogen  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR                  | OFF     | 2012/11/15<br>14:08 |
| S9       | 8    | S7 and nitrogen  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR                  | OFF     | 2012/11/15<br>14:08 |
| S10      | 2    | S9 and scavenging  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR                  | OFF     | 2012/11/15<br>14:08 |
| S11      | 109  | "nitrogen scavenging"  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR                  | OFF     | 2012/11/15<br>14:12 |
| S12      | 4    | S11 and PAA  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR                  | OFF     | 2012/11/15<br>14:12 |
| S13      | 4    | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR                  | OFF     | 2012/11/15<br>14:13 |
| S14      | 9    | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;                        | OR                  | OFF     | 2012/11/15<br>14:13 |

file:///Cl/Users/srao3/Documents/e-Red%20Folder/13775000/EASTSearchHistory.13775000\_AccessibleVersion.htm[6/11/2015 2:07:53 PM]

|                 |     |  | DERWENT;<br>IBM_TDB   |    | 1   |                     |
|-----------------|-----|--|---|----|-----|---------------------|
| S15             | 18  | ("4284647"   "6083984"   "6050510"  <br>"6219567"   "20040229948"  <br>"20080119554"   "20060135612"  <br>"5968979"   "20100008859").PN.                                   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/11/16<br>07:11 |
| S16             | 2   | S15 and "nitrogen scavenging"  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/11/16<br>07:11 |
| S17             | 1   | ("6083984").PN.  | USPAT; USOCR  | OR | OFF | 2012/11/16<br>07:12 |
| S18             | 9   | ((Saul) near2 (Brusilow)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2012/11/16<br>07:13 |
| S19             | 0   | "13417137".rlan. or ("13".src. and<br>"417137".ap.)  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>10:56 |
| S20             | 4   | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2012/12/20<br>10:56 |
| S21             | 0   | ((MASOUD) near2<br>(MOKHTARANI)).INV.  | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2012/12/20<br>10:56 |
| S22             | 9   | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2012/12/20<br>10:56 |
| S23             | 0   | ((MASOUD) near2<br>(MOKHTARANI)).INV.  | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2012/12/20<br>10:56 |
| S24             | 0   | ((MASOUD) near2<br>(MOKHTARANI)).INV.  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2012/12/20<br>10:56 |
| \$25            | 18  | ("20040229948"   "20060135612"  <br>"4284647"   "6083984"  <br>"20080119554"   "6219567"  <br>"20100008859"   "6050510"  <br>"5968979"   "20100008859"  <br>"6219567").PN. | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2012/12/20<br>10:56 |
| S26             | 0   | S19 and nitrogen   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>10:56 |
| S27             | 8   | S25 and nitrogen   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>10:56 |
| S28             | 2   | S27 and scavenging   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>10:56 |
| <del>S</del> 29 | 109 | "nitrogen scavenging"  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>10:56 |
| S30             | 4   | S29 and PAA  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>10:56 |
| S31             | 4   | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2012/12/20<br>10:56 |

file:///Cl/Users/srao3/Documents/e-Red%20Folder/13775000/EASTSearchHistory.13775000\_AccessibleVersion.htm[6/11/2015 2:07:53 PM]

| S32 | 9   | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2012/12/20<br>10:56 |
|-----|-----|--|---|----|-----|---------------------|
| S33 | 18  | -<br>("4284647"   "6083984"   "6050510"  <br>"6219567"   "20040229948"  <br>"20080119554"   "20060135612"  <br>"5968979"   "20100008859").PN.                              | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>10:56 |
| S34 | 2   | S33 and "nitrogen scavenging"  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>10:56 |
| S35 | 1   | ("6083984").PN.  | USPAT; USOCR  | OR | OFF | 2012/12/20<br>10:56 |
| S36 | 9   | ((Saul) near2 (Brusilow)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2012/12/20<br>10:56 |
| S37 | 0   | "13417137".rlan. or ("13".src. and<br>"417137".ap.)  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>16:43 |
| S38 | 4   | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2012/12/20<br>16:43 |
| S39 | 0   | ((MASOUD) near2<br>(MOKHTARANI)).INV.  | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2012/12/20<br>16:43 |
| S40 | 9   | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2012/12/20<br>16:43 |
| S41 | 0   | ((MASOUD) near2<br>(MOKHTARANI)).INV.  | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2012/12/20<br>16:43 |
| S42 | 0   | ((MASOUD) near2<br>(MOKHTARANI)).INV.  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2012/12/20<br>16:43 |
| S43 | 18  | ("20040229948"   "20060135612"  <br>"4284647"   "6083984"  <br>"20080119554"   "6219567"  <br>"20100008859"   "6050510"  <br>"5968979"   "20100008859"  <br>"6219567").PN. | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2012/12/20<br>16:43 |
| S44 | 0   | S37 and nitrogen   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>16:43 |
| S45 | 8   | S43 and nitrogen   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>16:43 |
| S46 | 2   | S45 and scavenging   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>16:43 |
| S47 | 109 | "nitrogen scavenging"  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>16:43 |
| S48 | 4   | S47 and PAA  | US-PGPUB;<br>USPAT; USOCR;  | OR | OFF | 2012/12/20<br>16:43 |

|     |     |   | DERWENT   | **** |     |                     |
|-----|-----|---|---|------|-----|---------------------|
| S49 | 4   | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.  | US-PGPUB;<br>USPAT; USOCR   | OR   | OFF | 2012/12/20<br>16:43 |
| S50 | 9   | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR   | OFF | 2012/12/20<br>16:43 |
| S51 | 18  | ("4284647"   "6083984"   "6050510"  <br>"6219567"   "20040229948"  <br>"20080119554"   "20060135612"  <br>"5968979"   "20100008859").PN.                                  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR   | OFF | 2012/12/20<br>16:43 |
| S52 | 2   | S51 and "nitrogen scavenging"   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR   | OFF | 2012/12/20<br>16:43 |
| S53 | 1   | ("6083984").PN.   | USPAT; USOCR  | OR   | OFF | 2012/12/20<br>16:43 |
| S54 | 9   | ((Saul) near2 (Brusilow)).INV.  | US-PGPUB;<br>USPAT; USOCR   | OR   | OFF | 2012/12/20<br>16:43 |
| S55 | 0   | "13417137".rlan. or ("13".src. and<br>"417137".ap.)   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR   | OFF | 2012/12/20<br>16:43 |
| S56 | 4   | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.  | US-PGPUB;<br>USPAT; USOCR   | OR   | OFF | 2012/12/20<br>16:43 |
| S57 | 0   | ((MASOUD) near2<br>(MOKHTARANI)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR   | OFF | 2012/12/20<br>16:43 |
| S58 | 9   | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR   | OFF | 2012/12/20<br>16:43 |
| S59 | 0   | ((MASOUD) near2<br>(MOKHTARANI)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR   | OFF | 2012/12/20<br>16:43 |
| S60 | 0   | ((MASOUD) near2<br>(MOKHTARANI)).INV.   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR   | OFF | 2012/12/20<br>16:43 |
| S61 | 18  | ("20040229948"   "20060135612"  <br>"4284647"   "6083984"  <br>"20080119554"   "6219567"  <br>"2010008859"   "6050510"  <br>"5968979"   "20100008859"  <br>"6219567").FN. | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR   | OFF | 2012/12/20<br>16:43 |
| S62 | 0   | S55 and nitrogen  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR   | OFF | 2012/12/20<br>16:43 |
| S63 | 8   | S61 and nitrogen  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR   | OFF | 2012/12/20<br>16:43 |
| S64 | 2   | S63 and scavenging  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR   | OFF | 2012/12/20<br>16:43 |
| S65 | 109 | "nitrogen scavenging"   | US-PGPUB;<br>USPAT; USOCR;  | OR   | OFF | 2012/12/20<br>16:43 |

| <u></u> | <u>  </u>             |  | DERWENT   |    |     | <u></u>             |
|---------|-----------------------|--|---|----|-----|---------------------|
| S66     | 4                     | S65 and PAA  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>16:43 |
| S67     | 4                     | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2012/12/20<br>16:43 |
| S68     | (ÌSCHARŚCHMIDT)).INV. |  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2012/12/20<br>16:43 |
| S69     | 18                    | ("4284647"   "6083984"   "6050510"  <br>"6219567"   "20040229948"  <br>"20080119554"   "20060135612"  <br>"5968979"   "20100008859").PN.                         | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>16:43 |
| S70     | 2                     | S69 and "nitrogen scavenging"  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2012/12/20<br>16:43 |
| S71     | 1                     | ("6083984").PN.  | USPAT; USOCR  | OR | OFF | 2012/12/20<br>16:43 |
| S72     | 9                     | ((Saul) near2 (Brusilow)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2012/12/20<br>16:43 |
| S73     | 49                    | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM TDB | OR | OFF | 2014/12/18<br>12:08 |
| S74     | 127                   | "nitrogen scavenging"  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2014/12/18<br>12:08 |
| S75     | 13                    | S74 and PAA  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2014/12/18<br>12:08 |
| S76     | 11                    | "glyceryl tri-[4-phenylbutyrate]"  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/12/18<br>12:09 |
| S77     | 98                    | ((Lee) near2 (Honigberg)).INV.   | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2014/12/18<br>12:11 |
| S78     | 14                    | ((MASOUD) near2<br>(MOKHTARANI)).INV.  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/12/18<br>12:12 |
| S79     | 9                     | ("20040229948"   "20060135612"  <br>"20080119554"   "20100008859"  <br>"4284647"   "5968979"   "6060510"  <br>"6083984"   "6219567").PN. OR<br>("8642012").URPN. | US-PGPUB;<br>USPAT; USOCR   | OR | OFF | 2014/12/18<br>13:24 |
| S80     | 1                     | "13775000".rlan. or ("13".src. and<br>"775000".ap.)  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2015/05/14<br>07:52 |
| S81     | 159                   | ( (A61K31/216 OR G01N31/221 OR   | US-PGPUB;   | OR | OFF | 2015/05/14          |

|     |     | Y10T436/175383).CPC. AND<br>(514/533).COLS. )  | USPAT; USOCR;<br>DERWENT  |    |     | 07:53               |
|-----|-----|--|---|----|-----|---------------------|
| S82 | 3   | "US 8404215"   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2015/05/14<br>07:54 |
| S83 | 551 | ( (A61K31/216 OR G01N31/221 OR<br>Y10T436/175383).CPC. AND (514/533<br>OR 514/432 OR 514/433 OR 514/544<br>OR 514/570 OR 424/9.2 OR 435/4 OR<br>436/113).CCLS. )           | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2015/05/14<br>07:54 |
| S84 | 233 | S83 and nitrogen   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2015/05/14<br>08:10 |
| S85 | 13  | S84 and scavenging   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2015/05/14<br>08:10 |
| S86 | 13  | "glyceryl tri-[4-phenylbutyrate]"  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2015/05/14<br>08:10 |
| S87 | 6   | S83 and S86  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2015/05/14<br>08:10 |
| S92 | 1   | "13775000".rlan. or ("13".src. and<br>"775000".ap.)  | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2015/06/11<br>12:05 |
| S93 | 54  | ((BRUCE) near2<br>(SCHARSCHMIDT)).INV.   | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2015/06/11<br>12:06 |
| S94 | 19  | ((MASOUD) near2<br>(MOKHTARANI)).INV.  | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2015/06/11<br>12:06 |
| S95 | 25  | ("20040229948"   "20060135612"  <br>"4284647"   "6083984"  <br>"20080119554"   "6219567"  <br>"20100008859"   "6050510"  <br>"5968979"   "20100008859"  <br>"6219567").PN. | US-PGPUB;<br>USPAT; USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2015/06/11<br>12:06 |
| S96 | 8   | S95 and nitrogen   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2015/06/11<br>12:06 |
| S97 | 2   | S96 and scavenging   | US-PGPUB;<br>USPAT; USOCR;<br>DERWENT                                   | OR | OFF | 2015/06/11<br>12:06 |

### EAST Search History (Interference)

| Ref | Hits | Search Query | DBs | Default | Plurals | Time |
|-----|------|--------------|-----|---------|---------|------|
| 1   | 31   |              | 3   | 1       | 1 i     | 1 31 |

file:///Cl/Users/srao3/Documents/e-Red%20Folder/13775000/EASTSearchHistory.13775000\_AccessibleVersion.htm[6/11/2015 2:07:53 PM]

#### EAST Search History

| #    | 1  |  |                                 | Operator | 1   | Stamp               |
|------|--|--|---------------------------------|----------|-----|---------------------|
| S88  | 412 ((A61K31/216 OR G01N31/221 OR<br>Y10T436/175383).CPC. AND (514/533 OR<br>514/432 OR 514/433 OR 514/544 OR<br>514/570 OR 424/9.2 OR 435/4 OR<br>436/113).CCLS.) |  | US-<br>PGPUB;<br>USPAT;<br>UPAD | OR       | OFF | 2015/05/14<br>08:21 |
| S89  | 214  | S88 and nitrogen   | US-<br>PGPUB;<br>USPAT;<br>UPAD | OR       | OFF | 2015/05/14<br>08:21 |
| S90  | 11   | S89 and scavenging   | US-<br>PGPUB;<br>USPAT;<br>UPAD | OR       | OFF | 2015/05/14<br>08:21 |
| S91  | 6  | S90 and "glyceryl tri-[4-phenylbutyrate]"  | US-<br>PGPUB;<br>USPAT;<br>UPAD | OR       | OFF | 2015/05/14<br>08:21 |
| S98  | 415  | ( (A61K31/216 OR G01N31/221 OR<br>Y10T436/175383).CPC. AND (514/533 OR<br>514/432 OR 514/433 OR 514/544 OR<br>514/570 OR 424/9.2 OR 435/4 OR<br>436/113).CCLS. ) | US-<br>PGPUB;<br>USPAT;<br>UPAD | OR       | OFF | 2015/06/11<br>12:06 |
| S99  | 215  | S98 and nitrogen   | US-<br>PGPUB;<br>USPAT;<br>UPAD | OR       | OFF | 2015/06/11<br>12:06 |
| S100 | 11   | S99 and scavenging   | US-<br>PGPUB;<br>USPAT;<br>UPAD | OR       | OFF | 2015/06/11<br>12:06 |
| S101 | 6  | S100 and "glyceryl tri-[4-phenylbutyrate]"   | US-<br>PGPUB;<br>USPAT;<br>UPAD | OR       | OFF | 2015/06/11<br>12:06 |

6/ 11/ 2015 2:07:46 PM H:\ EAST - WKSP\ Workspaces\ 13 applications\ 13775000.wsp

|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|---|
| Issue Classification | 13775000                | SCHARSCHMIDT ET AL.                     |
|                      | Examiner                | Art Unit                                |
|                      | SAVITHA RAO             | 1621                                    |

| CPC    | CPC |     |   |        |      |            |  |
|--------|-----|-----|---|--------|------|------------|--|
| Symbol |     |     |   |        | Туре | Version    |  |
| A61K   |     | 31  | 1 | 216    | F    | 2013-01-01 |  |
| Y10T   |     | 436 | 1 | 175383 | А    | 2015-01-15 |  |
| G01N   |     | 31  | 1 |        | 1    | 2013-01-01 |  |
|        |     |     | 1 |        |      |            |  |
|        |     |     | 1 |        |      |            |  |
|        |     |     | 1 |        |      |            |  |
|        |     |     | 1 |        |      |            |  |
|        |     |     | 1 |        |      |            |  |
|        |     |     | 1 |        |      |            |  |
|        |     |     | 1 |        |      |            |  |
|        |     |     | 1 |        |      |            |  |
|        |     |     | 1 |        |      |            |  |
|        |     |     | 1 |        |      |            |  |
|        |     |     | 1 |        |      |            |  |
|        |     |     | 1 |        |      |            |  |

| CPC Combination Sets |      |     |         |         |  |  |  |  |
|----------------------|------|-----|---------|---------|--|--|--|--|
| Symbol               | Туре | Set | Ranking | Version |  |  |  |  |
|                      |      |     |         |         |  |  |  |  |
|                      |      |     |         |         |  |  |  |  |

| NONE   |            | Total Claims Allowed: |                   |  |  |
|--|------------|-----------------------|-------------------|--|--|
| (Assistant Examiner)                                 | (Date)     | 15                    |                   |  |  |
| /SAVITHA RAO/<br>Primary Examiner.Art Unit 1621      | 06/11/2015 | O.G. Print Claim(s)   | O.G. Print Figure |  |  |
| (Primary Examiner)                                   | (Date)     | 1                     | 1                 |  |  |
| U.S. Patent and Trademark Office Part of Paper No. 2 |            |                       |                   |  |  |

|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|---|
| Issue Classification | 13775000                | SCHARSCHMIDT ET AL.                     |
|                      | Examiner                | Art Unit                                |
|                      | SAVITHA RAO             | 1621                                    |

| US ORIGINAL CLASSIFICATION |   |     |     |     |   |                     |   | INTERNATIONAL    | CLA | SSI              | FIC     | ΑΤΙ | ON |  |  |
|----------------------------|---|-----|-----|-----|---|---------------------|---|------------------|-----|------------------|---------|-----|----|--|--|
|                            | CLASS SUBCLASS                          |     |     |     |   | CLAIMED NON-CLAIMED |   |                  |     |                  | CLAIMED |     |    |  |  |
| 424                        |   |     | 9.2 |     |   | А                   | 6 | 1                | к   | 49 / 00 (2006.0) |         |     |    |  |  |
| CROSS REFERENCE(S)         |   |     |     | Α   | 6 | 1                   | Ρ | 13 / 00 (2006.0) |     |                  |         |     |    |  |  |
| CLASS                      | CLASS SUBCLASS (ONE SUBCLASS PER BLOCK) |     |     | CK) |   |                     |   |                  |     |                  |         |     |    |  |  |
| 514                        | 432                                     | 433 | 533 | 544 |   |                     |   |                  |     |                  |         |     |    |  |  |
| 435                        | 4                                       | 113 |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |
|                            |   |     |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |
|                            |   |     |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |
|                            |   |     |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |
|                            |   |     |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |
|                            |   |     |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |
|                            |   | _   |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |
|                            |   |     |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |
|                            |   |     |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |
|                            |   |     |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |
|                            |   |     |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |
|                            |   |     |     |     |   |                     |   |                  |     |                  |         |     |    |  |  |

| NONE  | Total Claims Allowed: |                     |                   |  |  |
|---|-----------------------|---------------------|-------------------|--|--|
| (Assistant Examiner)  | (Date)                | 15                  |                   |  |  |
| /SAVITHA RAO/<br>Primary Examiner.Art Unit 1621             | 06/11/2015            | O.G. Print Claim(s) | O.G. Print Figure |  |  |
| (Primary Examiner)  | (Date)                | 1                   | 1                 |  |  |
| U.S. Patent and Trademark Office Part of Paper No. 20150611 |                       |                     |                   |  |  |

|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|---|
| Issue Classification | 13775000                | SCHARSCHMIDT ET AL.                     |
|                      | Examiner                | Art Unit                                |
|                      | SAVITHA RAO             | 1621                                    |

| Ø     | ⊠ Claims renumbered in the same order as presented by applicant □ CPA ⊠ T.D. □ R.1.47 |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|-------|---|-------|----------|-------|----------|-------|----------|-------|----------|-------|----------|-------|----------|-------|----------|
| Final | Original  | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|       |   |       |          |       |          |       |          |       |          |       |          |       |          |       |          |

| NONE  | Total Claims Allowed: |                     |                   |  |  |
|---|-----------------------|---------------------|-------------------|--|--|
| (Assistant Examiner)  | (Date)                | 15                  |                   |  |  |
| /SAVITHA RAO/<br>Primary Examiner.Art Unit 1621             | 06/11/2015            | O.G. Print Claim(s) | O.G. Print Figure |  |  |
| (Primary Examiner)  | (Date)                | 1                   | 1                 |  |  |
| U.S. Patent and Trademark Office Part of Paper No. 20150611 |                       |                     |                   |  |  |

| Application Number   | Application/Control No.<br>13/775,000 |              | Applicant(s)/Patent under<br>Reexamination<br>SCHARSCHMIDT ET AL. |  |  |
|----------------------|---------------------------------------|--------------|---|--|--|
|                      |                                       |              |   |  |  |
| Document Code - DISQ | Internal D                            | ocument – DC | NOT MAIL  |  |  |

| TERMINAL<br>DISCLAIMER |   |  |
|------------------------|---|--|
| Date Filed : 5/11/15   | This patent is subject<br>to a Terminal<br>Disclaimer |  |

# Approved/Disapproved by:

The person who signed the terminal disclaimer (only for applicati ns filed on or after September 16,2012

is not the applicant, patentee or an attorney or agent of record. 37 CFR 1.321 (a) and (b). (See FP 14.26.08)

failed to state his/her capacity to sign for the juristic entity, and he/she has not been established as being authorized to act on behalf of the applicant. (See FP 14.26.09). Jean Proctor

U.S. Patent and Trademark Office

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450

| Alexandria, Virginia | 22313-145 |
|----------------------|-----------|
|                      |           |

#### or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fees effortions. maintenance fee notifications

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

101325 7590 06/18/2015 **GLOBAL PATENT GROUP - HOR** 1005 NORTH WARSON ROAD SUITE 404 SAINT LOUIS, MO 63132

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission** I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

|   | (Depositor's name) |
|---|--------------------|
|   | (Signature)        |
| 1 | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 13/775,000      | 02/22/2013  | Bruce Scharschmidt   | HOR0026-201D1-US    | 7929             |

TITLE OF INVENTION: METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING DRUGS

| APPLN. TYPE  | ENTITY STATUS  | ISSUE FEE DUE   | PUBLICATION FEE DUE   | PREV. PAID ISSUE FEE   | TOTAL FEE(S) DUE  | DATE DUE  |
|--|--|---|---|--|---|---|
| nonprovisional   |  |   | \$0   | \$0  |   | 09/18/2015  |
|  | LARGE  | \$960   |   |  | \$960   |   |
| EXAM   | MINER  | ART UNIT  | CLASS-SUBCLASS  |  |   |   |
| RAO, SA  | VITHA M  | 1621  | 424-009200  | 1  |   |   |
| CFR 1.363).<br>CFR 1.363).<br>Change of corress<br>Address form PTO/S<br>Tree Address" in<br>PTO/SB/47; Rev 03-<br>Number is required<br>3. ASSIGNEE NAME /<br>PLEASE NOTE: Un<br>recordation as set for<br>(A) NAME OF ASSI | AND RESIDENCE DATA<br>aless an assignee is ident<br>th in 37 CFR 3.11. Com   | nge of Correspondence<br>" Indication form<br>ed. Use of a Customer<br>A TO BE PRINTED ON<br>ified below, no assignee<br>oletion of this form is NO | (2) The name of a single<br>registered attorney or a  | <ul> <li>3 registered patent attorn<br/>vely,</li> <li>e firm (having as a memb<br/>igent) and the names of u<br/>rneys or agents. If no nam<br/>printed.</li> <li>be)</li> <li>atent. If an assignee is id<br/>assignment.</li> <li>and STATE OR COUNT</li> </ul> | per a 2<br>p to<br>te is 3<br>dentified below, the doc                      | ument has been filed for                            |
| Please check the approp  | riate assignee category or   | categories (will not be p   | rinted on the patent):  | Individual 🛛 Corporati   | ion or other private grou   | p entity 🗖 Government                               |
|  | are submitted:<br>No small entity discount J<br># of Copies  | permitted)  | <ul> <li>b. Payment of Fee(s): (Plea</li> <li>A check is enclosed.</li> <li>Payment by credit car</li> <li>The director is hereby overpayment, to Depo</li> </ul>                               | d. Form PTO-2038 is atta   | ched.   |   |
| Applicant certifyi   | <b>atus</b> (from status indicate<br>ing micro entity status. Se<br>ng small entity status. See<br>ng to regular undiscounte | e 37 CFR 1.29<br>37 CFR 1.27  | <u>NOTE:</u> Absent a valid ce<br>fee payment in the micro<br><u>NOTE:</u> If the application<br>to be a notification of los:<br><u>NOTE:</u> Checking this boz<br>entity status, as applicable | entity amount will not be<br>was previously under mic<br>s of entitlement to micro e<br>s will be taken to be a noti   | accepted at the risk of ar<br>cro entity status, checking<br>entity status. | pplication abandonment.<br>g this box will be taken |
| NOTE: This form must   | be signed in accordance v  | with 37 CFR 1.31 and 1.3  | 3. See 37 CFR 1.4 for sign  | ature requirements and cer   | rtifications.   |   |
| Authorized Signature   | e_/Lauren L. STE   | /ENS/   |   | Date 6/24/20   | )15   |   |
| Typed or printed nan   | ne <u>Lauren L. Stev</u>   | ens   |   | Registration No.   | 36691   |   |
|  |  |   | Page 2 of 3   |  |   |   |

Page 2 of 3

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE OMB 0651-0033

| Electronic Patent Application Fee Transmittal |   |                |          |        |                         |  |  |  |
|---|---|----------------|----------|--------|-------------------------|--|--|--|
| Application Number:                           | 13  | 775000         |          |        |                         |  |  |  |
| Filing Date:                                  | 22-   | 22-Feb-2013    |          |        |                         |  |  |  |
| Title of Invention:                           | METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING<br>DRUGS |                |          |        |                         |  |  |  |
| First Named Inventor/Applicant Name:          | Bruce Scharschmidt  |                |          |        |                         |  |  |  |
| Filer:  | Dennis A. Bennett/Vicki Truman                                    |                |          |        |                         |  |  |  |
| Attorney Docket Number:                       | нс  | R0026-201D1-US |          |        |                         |  |  |  |
| Filed as Large Entity                         |   |                |          |        |                         |  |  |  |
| Filing Fees for Utility under 35 USC 111(a)   |   |                |          |        |                         |  |  |  |
| Description                                   |   | Fee Code       | Quantity | Amount | Sub-Total in<br>USD(\$) |  |  |  |
| Basic Filing:                                 |   |                |          |        |                         |  |  |  |
| Pages:  |   |                |          |        |                         |  |  |  |
| Claims:                                       |   |                |          |        |                         |  |  |  |
| Miscellaneous-Filing:                         |   |                |          |        |                         |  |  |  |
| Petition:                                     |   |                |          |        |                         |  |  |  |
| Patent-Appeals-and-Interference:              |   |                |          |        |                         |  |  |  |
| Post-Allowance-and-Post-Issuance:             |   |                |          |        |                         |  |  |  |
| Utility Appl Issue Fee                        |   | 1501           | 1        | 960    | 960                     |  |  |  |

| Description        | Fee Code | Quantity  | Amount | Sub-Total in<br>USD(\$) |
|--------------------|----------|-----------|--------|-------------------------|
| Extension-of-Time: |          |           |        |                         |
| Miscellaneous:     |          |           |        |                         |
|                    | Tot      | al in USD | (\$)   | 960                     |
|                    |          |           |        |                         |

| Electronic Acl                       | knowledgement Receipt   |
|--------------------------------------|---|
| EFS ID:                              | 22735370  |
| Application Number:                  | 13775000  |
| International Application Number:    |   |
| Confirmation Number:                 | 7929  |
| Title of Invention:                  | METHODS OF THERAPEUTIC MONITORING OF NITROGEN SCAVENGING<br>DRUGS |
| First Named Inventor/Applicant Name: | Bruce Scharschmidt  |
| Customer Number:                     | 101325  |
| Filer:                               | Dennis A. Bennett/Vicki Truman                                    |
| Filer Authorized By:                 | Dennis A. Bennett   |
| Attorney Docket Number:              | HOR0026-201D1-US  |
| Receipt Date:                        | 25-JUN-2015   |
| Filing Date:                         | 22-FEB-2013   |
| Time Stamp:                          | 09:56:27  |
| Application Type:                    | Utility under 35 USC 111(a)                                       |

# Payment information:

| Submitted with Payment   | yes  |  |  |  |  |  |
|--|--|--|--|--|--|--|
| Payment Type   | Deposit Account  |  |  |  |  |  |
| Payment was successfully received in RAM   | \$960  |  |  |  |  |  |
| RAM confirmation Number  | 24396  |  |  |  |  |  |
| Deposit Account  | 504297   |  |  |  |  |  |
| Authorized User  | BENNETT, DENNIS A.   |  |  |  |  |  |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:               |  |  |  |  |  |  |
| Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees) |  |  |  |  |  |  |
| Charge any Additional Fees required under 37 C.F.R. Se   | ection 1.17 (Patent application and reexamination processing fees) |  |  |  |  |  |

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

#### File Listing: Document File Size(Bytes)/ Multi Pages **Document Description File Name** Number **Message Digest** Part /.zip (if appl.) 994313 1 Issue Fee Payment (PTO-85B) 20150625\_lssue\_Fee\_Trans.pdf no 1 81b269eee1cf3666647c6c78c8a4ab6022e a7d6e Warnings: Information: 30641 2 Fee Worksheet (SB06) fee-info.pdf no 2 cb861ff669918b196a1dadbd86ec0f3d08 fe36 Warnings: Information: Total Files Size (in bytes): 1024954 This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

| eceipt d              |             |  |                     |            |                     |   |                               | KNOGADO - GAU:                         |    |
|-----------------------|-------------|--|---------------------|------------|---------------------|---|-------------------------------|--|----|
| SUI                   | PPLEME      | ENTAL II   | NFORMATION          | DISC       | CLOSURE             | Application Number                                | 13/775,000                    |  |    |
|                       |             |  | NT BY APPLI         |            |                     | Confirmation Number<br>Filing Date                | 7929<br>February 22, 2013     |  | _  |
|                       |             | Form PT  | O-1449 (Modif       | ied)       |                     | First Named Inventor                              | SCHARSCHMID                   |  | -  |
|                       |             |  | al sheets if nece   |            | у)                  | Group Art Unit                                    | 1736                          |  |    |
|                       |             |  |                     |            |                     | Examiner Name                                     | 1100                          |  |    |
| Shee                  | t           | 1  | of                  |            | 3                   | Attorney Docket No.                               | 79532.8003.US0                | 3                                      | _  |
| nge(s) app            | lied        |  |                     |            | 1                   |   | •                             |  | _  |
| ocument,              |             |  |                     |            | U.S. PATENT         | DOCUMENTS   |                               |  | _  |
| ).D./                 |             |  | Patent or Applicati | on         |                     |   | Date of                       |  |    |
|                       |             | 0.0.1  | atent of Applicati  |            | 1                   |   | Publication or<br>Filing Date | Pages, Columns, Line                   | S. |
| 5/2015<br>Examiner    | Cite        |  | Kind                |            |                     | atentee or Inventor                               | of Cited                      | Where Relevant Passage                 | es |
| Initials*             | No.         | NUMBE  | · · · · ·           | iown)<br>I |                     | ed Document                                       | Document                      | Relevant Figures Appe                  | a  |
|                       | A1          | 6,219  | ,567                |            | EGGERS              |   | 4/17/2001                     |  |    |
|                       | A2          | 8,642  | ,012                |            | SCHARSCH            | MIDT  | 2/4/2014                      |  |    |
|                       | A3          | 2010/  | 0008859             |            | SCHARSCH            | мірт  | 1/14/2010                     |  |    |
|                       |             |  |                     |            |                     |   | 1/14/2010                     |  |    |
|                       | A4          | 2012/  | 0022157             |            | SCHARSCH            | MIDT  | January 26, 2                 | 2012                                   |    |
|                       | A5          | 2012/  | 0220661             |            | LEE                 |   | August 30                     | , 2012                                 |    |
|                       | A6          | 2013/  | 0210914             |            | SCHARSCH            | MIDT  | August 15, 2                  | 013                                    | -  |
|                       |             |  |                     | <u> </u>   |                     |   | 7,44940000,77,2               |  | _  |
|                       |             | 1  |                     |            |                     | INT DOCUMENTS                                     | Date of                       |  | Т  |
|                       |             | Forei  | gn Patent or Appli  | cation     |                     |   | Publication or                | Pages, Columns, Lines,                 |    |
| Examiner              | Cite        |  | к                   | ind Co     | de Name of          | Patentee or Applicant                             | Filing Date<br>of Cited       | Where Relevant<br>Passages or Relevant |    |
| Initials*             | No.         | Office   |                     | if knov    |                     | Cited Document                                    | Document                      | Figures Appear                         |    |
|                       | B1          | WO   | 2007/00563          | 3          |                     |   | 1/2007                        |  |    |
|                       | B2          | wo   | 2009/08747          | '4         | Akthelia Pł         | narmaceuticals                                    | 7/16/2009                     |  | 1  |
|                       |             | wo   | 2012/02862          |            |                     |   |                               |  | ╉  |
|                       | B3          | 000  |                     |            |                     |   | 3/2012                        |  |    |
|                       |             | r  | -                   | -          | -                   | ENT LITERATURE D<br>ETTERS), title of the article |                               | ) title of the item                    | т  |
| Examiner<br>Initials* | Cite<br>No. | (bo  |                     |            | erial, symposium, c | atalog, etc.), date, page(s)                      | ), volume issue num           |  |    |
|                       | C1          | BATS   | HAW, M.L.           | et al      | l. (1981, Auai      | ust) "New Approa                                  | ches to the D                 | iagnosis and                           |    |
|                       |             |  |                     |            |                     | Synthesis," Pedi                                  |                               |  |    |
|                       | C2          |  |                     |            |                     | -   |                               |  | ┦  |
|                       |             | BRAHE, C., et al., (2005) "Phenylbutyrate Increases SMN Gene Expression in Spinal Muscular Atrophy Patients," <i>Eur J Hum Genet</i> 13:256-259. |                     |            |                     |   |                               |  |    |
|                       | 00          | · ·  |                     | •          |                     |   |                               |  | ┥  |
|                       | C3          |  |                     |            |                     | 11) "Phenylbutyra<br>0(4):631-640.                | le Therapy to                 | r waple Syrup                          |    |
|                       | C4          | СНЛ  |                     | al (       | (2000) "A Nov       | vel Approach for N                                | lasonharvno                   | eal Carcinoma                          | ┫  |
|                       |             |  |                     |            |                     | s a Protein Kinase                                |                               |  |    |
|                       |             |  |                     |            |                     | ted Therapy," Clir                                |                               |  |    |
|                       | 0-          |  |                     |            | <b>v</b>            |   |                               |  | ┥  |
| 1                     | C5          | I CUDI   | NOVVICZ, AL         | _S (2      | 2009) "Phase        | 2 Study of Sodiu                                  | m Phenylbuty                  | rate in ALS,"                          |    |

|   | EXAMINER   | DATE CONSIDERED  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|
|   |  |  |  |  |  |  |  |  |
|   | *EXAMINER: Initial if reference considered, whether or not criteria is in conformation | ance with MPEP 609. Draw line through citation if not in conformance and not |  |  |  |  |  |  |
|   | considered. Include copy of this form with next communication to application(s).       |  |  |  |  |  |  |  |
|   |  | سه است. است. ۲. ۲. ۲. ۲. ۲. ۲. ۲. ۲. ۲. ۲. ۲. ۲. ۲.                          |  |  |  |  |  |  |
| 2 |  |  |  |  |  |  |  |  |

# 13775000 ~ GAU: 1621

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| $\frown$ | Substitute for for | ′m 144  | 9/PTO      | Complete if Known      |                    |  |  |
|----------|--------------------|---------|------------|------------------------|--------------------|--|--|
|          | INFORMATION [      | DISCL   | OSURE      | Application Number     | 13/775000          |  |  |
|          | STATEMENT BY       | ( APP   | LICANT     | Filing Date            | 02-22-2013         |  |  |
|          | Date Submitted: N  | Jarch   | 10 0010    | First Named Inventor   | Bruce Scharschmidt |  |  |
|          | Date Submitted. N  | VIAICII | 12, 2012   | Art Unit               | 1621               |  |  |
|          | use as many shee   | ts as   | necessary) | Examiner Name          | Savitha M. Rao     |  |  |
| Sheet    | 1                  | of      | 10         | Attorney Docket Number | HOR0026-201D1-US   |  |  |

|                     |                  |          |   | U.S. PATENT DOC  | UMENTS  |  |
|---------------------|------------------|----------|---|------------------|---|--|
|                     | Exami            | Cite     | Document Number                                   | Publication Date | Name of Patentee or   | Pages, Columns,<br>Lines, Where Relevant |
|                     | ner<br>Initials* | No.<br>1 | Number-Kind Code <sup>2</sup> ( <i>if known</i> ) | MM-DD-YYYY       | Applicant of Cited Document   | Passages or Relevant<br>Figures Appear   |
|                     |                  | P1       | 4,457,942   | 07-03-1984       | Brusilow, S.W.  |  |
| Change(<br>to docum | ent,             | J P2     | 5,654,333   | 08-05-1997       | The United States Of<br>America As Represented By<br>The Department Of Health<br>And Human Services | Samid                                    |
| /S.R.R.             |                  | P3       | 8,094,521   | 01-10-2012       | Nightengale Products LLC  | Levy                                     |
| 6/30/20             | 15               | P4       | 8,404,215   | 03-26-2013       | Hyperion Therapoutics, Inc.   | Scharschmidt et al.                      |
|                     |                  | P5       | 2003/0195255                                      | 10-16-2003       | Marshall L. Summar  |  |
|                     |                  | P6       | 2005/0273359                                      | 12-08-2005       | Young, D.E.   |  |
|                     |                  | P7       | 2010/0016207                                      | 01-21-2010       | Wurtman, RJ et al   |  |
|                     |                  | P8       | 2013/0281530                                      | 10-24-2013       | Scharschmidt, B et al   |  |
|                     |                  | P9       | 2014/0142186                                      | 05-22-2014       | Hyperion Therapoutics, Inc.   | Scharschmidt et al.                      |

|       |                          |   | FOREIGN PATENT                 | DOCUMENTS   |   |    |
|-------|--------------------------|---|--------------------------------|---|---|----|
| Exami |                          | Foreign Patent<br>Document  |                                |   | Pages, Columns,<br>Lines, Where                       |    |
| nor   | Cite<br>No. <sup>1</sup> | Country Code <sup>3.</sup><br>Number⁴ Kind Code <sup>5</sup><br>( <i>if known</i> ) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Documents | Relevant<br>Passages or<br>Relevant<br>Figures Appear | T6 |
|       | F1                       | WO1994/22494  | 10-13-1994                     | The DuPont Merck<br>Pharmaceutical Company          |   |    |
|       | F2                       | WO2013/048558   | 04-04-2013                     | Hyperion Therapeutics, Inc.                         |   |    |
|       | F3                       | WO2013/158145   | 10-24-2013                     | Hyperion Therapeutics, Inc.                         |   |    |

|  | Examiner<br>Signature |  | Date<br>Considered |  |
|--|-----------------------|--|--------------------|--|
|--|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4835-0776-7055.1

ALL/REFERENCEG. CONSIDERED. EXCEPT WHERE LINED THROUGH. /S.R./

| ceipt date: | 04/18/201       | 3                |            | 0                    | COMPLETE IF KNOTADO - GAU: 16 |  |  |  |
|-------------|-----------------|------------------|------------|----------------------|-------------------------------|--|--|--|
|             |                 |                  |            | Application Number   | 13/775,000                    |  |  |  |
|             | INFORMATION     |                  |            | Confirmation Number  | 7929                          |  |  |  |
|             | STATEMENT B     | _                |            | Filing Date          | 2013-02-22                    |  |  |  |
|             | Form PTO-14     |                  |            | First Named Inventor | Bruce SCHARSCHMIDT            |  |  |  |
| (           | Use several she | ets if necessary | ')         | Group Art Unit       | 1736                          |  |  |  |
|             |                 |                  |            | Examiner Name        | To be assigned                |  |  |  |
| Sheet       | 1               | of               | 10         | Attorney Docket No.  | 79532.8003.US03               |  |  |  |
|             |                 |                  |            |                      |                               |  |  |  |
|             |                 |                  | U.S. PATEN | IT DOCUMENTS         |                               |  |  |  |

|                          |    |              |                          | U.S. PATENT DOCUMENTS                             |  |  |
|--------------------------|----|--------------|--------------------------|---|--|--|
| Examin<br>er<br>Initials | er |              | tion<br>I Code<br>(nown) | Name of Patentee or Inventor<br>of Cited Document | Date of<br>Publication or<br>Filing Date<br>of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Figures Appear |
|                          | A1 | 2004/0229948 | A1                       | SUMMAR et al.                                     | 11/18/2004   |  |
|                          | A2 | 2006/0135612 | A1                       | FERRANTE  | 06/22/2006   |  |
|                          | A3 | 2008/119554  | A1                       | JALAN et al.                                      | 05/22/2008   |  |
|                          | A4 | 4,284,647    |                          | BRUSILOW et al.                                   | 08/18/1981   |  |
|                          | A5 | 5,968,979    |                          | BRUSILOW  | 10/19/1999   |  |
| ange(s) ar               | A6 | 6,050,510    | A                        | BONNEWITZ 04                                      | <del>- 05/09</del> /2000   |  |
| document,                |    | 6,083,984    |                          | BRUSILOW  | 07/04/2000   |  |
| .R. <u>R./</u>           |    |              |                          | FOREIGN PATENT DOCUMENTS                          |  |  |
|                          |    |              |                          |   | Date of  |  |

| ₹.R.∕                                   |             |  |   | FURE   | IGN PATENT DOCUMENTS                               |  |  |   |  |  |
|---|-------------|--|---|--|--|--|--|---|--|--|
| 201 <i>5</i><br>Exami<br>ner<br>Initial | Cite<br>No. | Fo   |   | n<br>d Code<br>known)  | Name of Patentee or Applicant<br>of Cited Document | Date of<br>Publication or<br>Filing Date<br>of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Figures Appear |   |  |  |
|   | B1          | WO   | 2005/053607   | A1   | MEDICIS<br>PHARMACEUTICAL<br>CORP.                 | 06/16/2005   |  |   |  |  |
|   | B2          | WO   | 2006/056794   |  | UCL BUSINESS PLC                                   | 06/01/2006   |  |   |  |  |
|   | B3          | WO   | 2009/087474   |  | AKTHELIA<br>PHARMACEUTICALS                        | 07/16/2009   |  |   |  |  |
|   | B4          | WO   | 2009/134460   | A1   | HYPERION<br>THERAPEUTICS                           | 11/05/2009   |  |   |  |  |
|   | B5          | WO   | 2010/0250303  | A1   | HYPERION<br>THERAPEUTICS                           | 03/04/2010   |  |   |  |  |
|   |             |  | OTHER PRIOR   | ART-N  | ION PATENT LITERATURE D                            | OCUMENTS   |  |   |  |  |
| Examine<br>Initials                     |             |  |   | of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>ournal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city<br>and/or country where published. |  |  |  | Т |  |  |
| C1                                      |             |  | AMBROSE, A.M. et al. (1933). "Further Studies on the Detoxification of Phenylacetic Acid," J. Bio. Chem. 101:669-675. |  |  |  |  |   |  |  |
|   | C2          | BATSHAW, M.L. et al. (December 1980). "Treatment of Hyperammonemic Coma Caused by Inborn Errors of Urea Synthesis," J. Pediatr. 97(6):893-900. |   |  |  |  |  |   |  |  |

| EXAMINER   | DATE CONSIDERED  |  |  |  |  |  |
|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| *EXAMINER: Initial if reference considered, whether or not criteria is in co     | onformance with MPEP 609. Draw line through citation if not in conformance and not |  |  |  |  |  |
| considered. Include copy of this form with next communication to application(s). |  |  |  |  |  |  |
| 79532-8003.US03/ABGAL264226442764ERENCES CONSIDI                                 | ERED EXCEPT WHERE LINED THROUGH. /S.H  |  |  |  |  |  |



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | ISSUE DATE | PATENT NO. | ATTORNEY DOCKET NO. | CONFIRMATION NO. | 1 |
|-----------------|------------|------------|---------------------|------------------|---|
| 13/775,000      | 08/04/2015 | 9095559    | HOR0026-201D1-US    | 7929             |   |

101325 7590 07/15/2015 GLOBAL PATENT GROUP - HOR 1005 NORTH WARSON ROAD SUITE 404 SAINT LOUIS, MO 63132

### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 230 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Bruce Scharschmidt, San Francisco, CA; Horizon Therapeutics, Inc., Palo Alto, CA; Masoud Mokhtarani, Walnut Creek, CA;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.