

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	Smith	GROUP NO.:	2881
APPLICATION NO.:	13/964,938	EXAMINER:	McCormack, Jason L.
FILING DATE:	August 12, 2013	CONF. NO.:	1022
TITLE:	Laser-Driven Light Source		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97, 1.98 and 1.56, the Applicant hereby makes of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein. In accordance with 37 C.F.R. 1.98, only copies of any foreign patent documents are enclosed.

Submission of any document(s), publication(s) or reference(s) herewith does not imply concession by Applicant(s) to any characterization of the document(s), publication(s) or reference(s) (including any statements therein), and no such concession is made.

Applicants authorize the Commissioner to charge any necessary fees due in connection with the Information Disclosure Statement filed herewith to Deposit Account No. 50-3081.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (check one)

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☒ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a final action or notice of allowance but on or before the payment of the issue fee, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



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FORM PTO – 1449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTY DOCKET NO.: EGQ-005CP3C1 APPLICANTS: Smith et al. APPLICATION NO.: 13/964,938 FILING DATE: August 12, 2013 GROUP: 2881					
U.S. PATENT DOCUMENTS									
EXAM INIT.		DOCUMENT NO.	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	A27	4,868,458	09/19/1989	Davenport et al.					
	A28	5,801,495	09/01/1998	Smolka et al.					
FOREIGN PATENT DOCUMENTS									
EXAM INIT.		DOCUMENT NO.	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B5	1-296560	11/29/1989	JP				N	Y (Abstract Only)
	B6	05-82087	04/02/1993	JP				N	Y (Abstract Only)
	B7	09-288995	11/04/1997	JP				N	Y (Abstract Only)
	B8	2006-10675	01/12/2006	JP				N	Y (Abstract Only)
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM INIT.		OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)							

EXAMINER	DATE CONSIDERED
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