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UNITED STATES PATENT AND TRADEMARK OFFICE

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**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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ASML NETHERLANDS B.V., ASML US, INC., EXCELITAS TECHNOLOGIES CORP.,  
AND QIOPHIQ PHOTONICS GMBH & Co. KG,  
Petitioners

v.

ENERGETIQ TECHNOLOGY, INC.,  
Patent Owner.

Case IPR2016-00771

JOINT MOTION TO TERMINATE PROCEEDING  
PURSUANT TO 35 U.S.C. § 317 AND 37 C.F.R. § 42.72 AND  
JOINT REQUEST TO KEEP PAPER SEPARATE  
AS BUSINESS CONFIDENTIAL INFORMATION

Pursuant to 35 U.S.C. §317 and 37 C.F.R. § 42.72, Patent Owner Energetiq Technology, Inc. (“Patent Owner”) and Petitioners ASML Netherlands B.V., ASML US, Inc., Excelitas Technologies Corp., and Qioptiq Photonics GmbH & Co. KG (“Petitioners”) (collectively, “the Parties”) jointly request termination of *Inter Partes* Review No. IPR2016-00771, involving claims 1, 6, 8, and 13 of U.S. Patent 9,185,786.

The Parties have settled all of their disputes involving the following patents U.S. Patents Nos. 7,435,982 (“the ’982 patent”); 7,786,455 (“the ’455 patent”); 8,309,943 (“the ’943 patent”); 8,525,138 (“the ’138 patent”); 8,969,841 (“the ’841 patent”); 9,048,000 (“the ’000 patent”); and 9,185,786 (“the ’786 patent”). More specifically, the Parties have agreed to settle and dismiss their related district court litigation (*Energetiq Tech., Inc. v. ASML Netherlands B.V.*, No. 1:15-cv-10240-LTS (D. Mass.)) and International Trade Commission litigation (*In the Matter of Certain Laser-Driven Light Sources, Subsystems Containing Laser-Driven Light Sources, and Products Containing Same*, Inv. 337-TA-983 (U.S. International Trade Commission)). The Parties have also agreed to jointly request termination of this proceeding and all IPRs filed by Petitioners for the above listed patents<sup>1</sup>.

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<sup>1</sup> The Parties are submitting a Joint Motion to Terminate Proceeding in each of the IPRs filed by Petitioners in the above identified patents.

<i>Energetiq patent</i>	<i>IPRs relating to patent</i>
7,435,982	IPR2015-01300 & -01303 IPR2015-01377 IPR2016-00583 IPR2016-00584 IPR2016-00585
7,786,455	IPR2015-01279 IPR2016-00570 IPR2016-00575 IPR2016-00576 IPR2016-00578 IPR2016-00579
8,309,943	IPR2015-01277 IPR2016-00554 IPR2016-00555 IPR2016-00556
8,525,138	IPR2015-01368 IPR2016-00565 IPR2016-00566
8,969,841	IPR2015-01362 IPR2016-00127 IPR2016-00688 IPR2016-00689
9,048,000	IPR2015-01375 IPR2016-00126 IPR2016-00774 IPR2016-00775
9,185,786	IPR2016-00771 IPR2016-00776

Pursuant to 37 C.F.R. § 42.74(b), the Parties' settlement agreement and any collateral agreements made in contemplation of termination of the proceeding are in writing, and true and correct copies of such documents are being filed herewith

as Exhibit 1039. No other such agreements, written or oral, exist between or among the parties.

The Parties hereby jointly request that the settlement related agreement be treated as business confidential information and be kept separate from the files of the above captioned IPR pursuant to 35 U.S.C. § 317(b) and 37 C.F.R. § 42.74(c).

**I. Background**

Petitioners filed several requests for *Inter Partes* Review of the above identified patents. The Board has instituted *Inter Partes* Review on all challenged claims of the first ten IPRs Petitioners filed, and has not issued a decision on institution regarding the remaining IPRs. Specifically, a trial was instituted in the following IPRs:

<b><i>Energetiq patent</i></b>	<b><i>IPRs relating to patent</i></b>
7,435,982	IPR2015-01300 & -01303 IPR2015-01377
7,786,455	IPR2015-01279
8,309,943	IPR2015-01277
8,525,138	IPR2015-01368
8,969,841	IPR2015-01362 IPR2016-00127
9,048,000	IPR2015-01375 IPR2016-00126

Decisions on institution have not yet been ordered for the following IPRs:

<b><i>Energetiq patent</i></b>	<b><i>IPRs relating to patent</i></b>
7,435,982	IPR2016-00583 IPR2016-00584

	IPR2016-00585
7,786,455	IPR2016-00570 IPR2016-00575 IPR2016-00576 IPR2016-00578 IPR2016-00579
8,309,943	IPR2016-00554 IPR2016-00555 IPR2016-00556
8,525,138	IPR2016-00565 IPR2016-00566
8,969,841	IPR2016-00688 IPR2016-00689
9,048,000	IPR2016-00774 IPR2016-00775
9,185,786	IPR2016-00771 IPR2016-00776

On May 5, 2016, the Parties agreed to settle all of their disputes involving U.S. Patent Nos. 7,435,982; 7,786,455; 8,309,943; 8,525,138; 8,969,841; 9,048,000; and 9,185,786, including all litigation and Patent Office proceedings related thereto.

On May 25, 2016, the Parties informed the Board of the settlement and requested authorization to file a joint motion to terminate the proceeding with respect to both the Patent Owner and the Petitioners. In a conference call with the Board on May 31, 2016, the Parties confirmed that settlement had been reached and the Board authorized the filing, on or before June 14, 2016, of the requested joint motion to terminate this proceeding as to both parties. The Board additionally

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