Attorney Docket No. EGQ-786AIPR

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ASML NETHERLANDS B.V., ASML US, INC., EXCELITAS TECHNOLOGIES CORP., AND QIOPTIQ PHOTONICS GMBH & CO. KG, Petitioners

v.

ENERGETIQ TECHNOLOGY, INC., Patent Owner

> Case IPR2016-00771 U.S. Patent No. 9,185,786

MANDATORY NOTICES OF PATENT OWNER PURSUANT TO 37 C.F.R. § 42.8(a)(2)

Pursuant to 37 C.F.R. § 42.8(a)(2), the Patent Owner of U.S. Patent No.

9,185,786 hereby files the following Mandatory Notices. These Mandatory

Notices are filed within 21 days of service of the Petition for Inter Partes Review

for this Case IPR2016-00771, purportedly mailed on March 25, 2016.

I. <u>37 C.F.R. § 42.8(b)(1) – Real Party in interest:</u>

The real party in interest for the Patent Owner is:

Energetiq Technology, Inc.

7 Constitution Way

Woburn, Massachusetts 01801

USA

II. <u>37 C.F.R. § 42.8(b)(2) – Related Matters:</u>

The following litigation matters may affect, or may be affected by, a decision in this proceeding: *Energetiq Technology, Inc. v. ASML Netherlands B.V., et al,* Civil Action No. 1:15-cv-10240-LTS (D. Mass.) and *In the Matter of Certain Laser-Driven Light Sources, Subsystems Containing Laser-Driven Light Sources, and Products Containing Same*, Inv. No. 337-TA-983.

The following patents and pending patent application are identified because they may be affected by a decision in this proceeding: U.S. Patent No. 7,786,455; U.S. Patent No. 8,309,943; U.S. Patent No. 7,989,786; U.S. Patent No. 8,525,138; U.S. Patent No. 9,048,000; U.S. Patent No. 9,185,786; U.S. Patent No. 8,969,841; and U.S. Patent Application No. 14/867,159.

The following Inter Partes Review petitions have been filed for patents that relate to the patent that is the subject of this IPR: IPR2015-01277; IPR2015-01279; IPR2015-01300; IPR2015-01303; IPR2015-01362; IPR2015-01368; IPR2015-

01375; IPR2015-01377; IPR2016-00126; IPR2016-00127; IPR2016-00554; IPR2016-00555; IPR2016-00556; IPR2016-00565; IPR2016-00566; IPR2016-00570; IPR2016-00575; IPR2016-00576; IPR2016-00578; IPR2016-00579; IPR2016-00583; IPR2016-00584; IPR2016-00585; IPR2016-00771 (this matter); and IPR2016-00776.

III. <u>37 C.F.R. § 42.8(b)(3) – Lead and backup counsel:</u>

- (a) Lead counsel: Steven M. Bauer, Registration No. 31,481
- (b) Backup counsel: Joseph A. Capraro Jr., Registration No. 36,471

IV. <u>37 C.F.R. § 42.8(b)(4) – Service information:</u>

(a) Electronic mail address: PTABMattersBoston@proskauer.com

The Patent Owner hereby consents to electronic service to the electronic

email address indicated above.

(b) Postal mailing address:

PROSKAUER ROSE LLP

One International Place

Boston, Massachusetts 02110

- (c) Hand delivery address: same as the postal mailing address
- (d) Telephone number:

Lead counsel: (617) 526-9700

Backup counsel: (617) 526-9800

(e) Facsimile number: (617) 526-9899

Please address all correspondence to both the lead and backup counsel shown above.

V. <u>Power of Attorney:</u>

A power of attorney appointing Proskauer Rose LLP (Customer No. 42,532) is submitted herewith. Lead and backup counsel are partners at Proskauer Rose and are associated with Customer Number 42,532.

The Patent Trial and Appeal Board is authorized to charge any fees incurred by the Patent Owner in this matter to Deposit Account No. 50-3081.

Respectfully submitted, **Proskauer Rose LLP**

<u>/ Steven M. Bauer /</u> Steven M. Bauer, Reg. No. 31,481 Attorney for Patent Owner

Date: March 29, 2016 PROSKAUER ROSE LLP One International Place Boston, Massachusetts 02110

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CERTIFICATE OF SERVICE

The undersigned certifies that on March 29, 2016, pursuant to 37 C.F.R.

§ 42.6(e), copies of the MANDATORY NOTICES OF PATENT OWNER

PURSUANT TO 37 C.F.R. § 42.8(a)(2) and POWER OF ATTORNEY are being

served on Petitioner by PRIORITY MAIL EXPRESS at:

WilmerHale 60 State St. Boston, MA 02109.

> / Gerald E. Worth / Gerald E. Worth Attorney for Patent Owner Proskauer Rose LLP