

FDA APPROVED: 1-18-08

1.14.1.2 Annotated Draft Labeling Text

HIGHLIGHTS OF PRESCRIBING INFORMATION

These highlights do not include all the information needed to use Herceptin safely and effectively. See full prescribing information for Herceptin.

HERCEPTIN® (Trastuzumab)
Intravenous Infusion
Initial U.S. Approval: 1998

WARNING

CARDIOMYOPATHY, INFUSION REACTIONS, and PULMONARY TOXICITY

See full prescribing information for complete boxed warning

Cardiomyopathy: Herceptin can result in sub-clinical and clinical cardiac failure manifesting as CHF, and decreased LVEF, with greatest risk when administered concurrently with anthracyclines. Evaluate cardiac function prior to and during treatment. Discontinue Herceptin for cardiomyopathy. (5.1, 2.2)

Infusion reactions, Pulmonary toxicity: Discontinue Herceptin for anaphylaxis, angioedema, interstitial pneumonitis, or acute respiratory distress syndrome. (5.2, 5.4)

RECENT MAJOR CHANGES

| | |
|--|---------|
| Indications and Usage, Adjuvant Treatment of Breast Cancer (1.1) | 01/2008 |
| Dosage and Administration, Recommended Doses and Schedules (2.1) | 01/2008 |
| Dosage and Administration, Dose Modifications (2.2) | 01/2008 |
| Warnings and Precautions, Cardiomyopathy (5.1) | 01/2008 |
| Warnings and Precautions, Interstitial Pneumonitis (5.4) | 01/2008 |
| Warnings and Precautions, Embryo-Fetal Toxicity (5.6) | 01/2008 |

INDICATIONS AND USAGE

Herceptin is a HER2/neu receptor antagonist indicated for the treatment of HER2 overexpressing breast cancer (1.1, 1.2).

DOSAGE AND ADMINISTRATION

For intravenous (IV) infusion only. Do not administer as an IV push or bolus (5.2).

Adjuvant Treatment of HER2-Overexpressing Breast Cancer (2.1)

Administer at either:

- Initial dose of 4 mg/kg over 90 minute IV infusion, then 2 mg/kg over 30 minute IV infusion weekly for 52 weeks.

- Or, initial dose of 8 mg/kg over 90 minutes IV infusion, then 6 mg/kg over 90 minutes IV infusion every three weeks for 52 weeks.

Metastatic HER2-Overexpressing Breast Cancer (2.1)

- Initial dose of 4 mg/kg as a 90 minute IV infusion followed by subsequent weekly doses of 2 mg/kg as 30 minute IV infusions (as tolerated).

DOSAGE FORMS AND STRENGTHS

- Multidose vial nominally containing 440 mg Herceptin as a lyophilized, sterile powder. (3)

CONTRAINDICATIONS

- None. (4)

WARNINGS AND PRECAUTIONS

- Cardiomyopathy (5.1, 6.1)
- Infusion Reactions (5.2, 6.1)
- Pulmonary Toxicity (5.4, 6.1)
- Exacerbation of Chemotherapy-Induced Neutropenia (5.3, 6.1)
- HER2 testing should be performed by laboratories with demonstrated proficiency. (5.5)
- May cause oligohydramnios and fetal harm when administered to a pregnant woman. Pregnancy registry available. (5.6, 8.1)

ADVERSE REACTIONS

Adjuvant Breast Cancer

- Adverse reactions ($\geq 2\%$ higher incidence with Herceptin-containing treatment compared with control treatment) are fatigue, infection, neutropenia, anemia, myalgia, dyspnea, rash/desquamation, headache, diarrhea, and nausea. (6.1)

Metastatic Breast Cancer

- Adverse reactions ($\geq 15\%$ incidence with Herceptin monotherapy or $\geq 5\%$ with Herceptin/ paclitaxel) are nausea, fever, infection, rash, increased cough, vomiting, diarrhea, headache, and anemia. (6.1)

To report SUSPECTED ADVERSE REACTIONS, contact Genentech at 1-888-835-2555 or FDA at 1-800-FDA-1088 or www.fda.gov/medwatch.

See 17 for PATIENT COUNSELING INFORMATION.

Revised: 01/2008

FULL PRESCRIBING INFORMATION: CONTENTS*

WARNING – CARDIOMYOPATHY, INFUSION REACTIONS, PULMONARY TOXICITY

1 INDICATIONS AND USAGE

- 1.1 Adjuvant Breast Cancer
- 1.2 Metastatic Breast Cancer

2 DOSAGE AND ADMINISTRATION

- 2.1 Recommended Doses and Schedules
- 2.2 Dose Modifications
- 2.3 Preparation for Administration

3 DOSAGE FORMS AND STRENGTHS

4 CONTRAINDICATIONS

5 WARNINGS AND PRECAUTIONS

- 5.1 Cardiomyopathy
- 5.2 Infusion Reactions
- 5.3 Exacerbation of Chemotherapy-Induced Neutropenia
- 5.4 Pulmonary Toxicity
- 5.5 HER2 Testing
- 5.6 Embryo-Fetal Toxicity

6 ADVERSE REACTIONS

- 6.1 Clinical Trials Experience
- 6.2 Immunogenicity
- 6.3 Post-Marketing Experience

7 DRUG INTERACTIONS

8 USE IN SPECIFIC POPULATIONS

- 8.1 Pregnancy
- 8.3 Nursing Mothers
- 8.4 Pediatric Use
- 8.5 Geriatric Use

10 OVERDOSAGE

11 DESCRIPTION

12 CLINICAL PHARMACOLOGY

- 12.1 Mechanism of Action
- 12.2 Pharmacokinetics

13 NONCLINICAL TOXICOLOGY

- 13.1 Carcinogenesis, Mutagenesis, Impairment of Fertility
- 13.2 Animal Toxicology and/or Pharmacology

14 CLINICAL STUDIES

- 14.1 Adjuvant Breast Cancer
- 14.2 Metastatic Breast Cancer

16 HOW SUPPLIED/STORAGE AND HANDLING

- 16.1 How Supplied
- 16.2 Stability and Storage

17 PATIENT COUNSELING INFORMATION

* Sections or subsections omitted from the Full Prescribing Information are not listed.

FULL PRESCRIBING INFORMATION

WARNING

CARDIOMYOPATHY, INFUSION REACTIONS, and PULMONARY TOXICITY

Cardiomyopathy

Herceptin can result in sub-clinical and clinical cardiac failure manifesting as CHF and decreased LVEF. The incidence and severity of left ventricular cardiac dysfunction was highest in patients who received Herceptin concurrently with anthracycline-containing chemotherapy regimens.

Evaluate left ventricular function in all patients prior to and during treatment with Herceptin. Discontinue Herceptin treatment in patients receiving adjuvant therapy and strongly consider discontinuation of Herceptin treatment in patients with metastatic breast cancer for clinically significant decrease in left ventricular function. [see *Warnings and Precautions (5.1) and Dosage and Administration (2.2)*]

Infusion Reactions; Pulmonary Toxicity

Herceptin administration can result in serious infusion reactions and pulmonary toxicity. Fatal infusion reactions have been reported. In most cases, symptoms occurred during or within 24 hours of administration of Herceptin. Herceptin infusion should be interrupted for patients experiencing dyspnea or clinically significant hypotension. Patients should be monitored until signs and symptoms completely resolve. Discontinue Herceptin for infusion reactions manifesting as anaphylaxis, angioedema, interstitial pneumonitis, or acute respiratory distress syndrome. [see *Warnings and Precautions (5.2, 5.4)*]

1 INDICATIONS AND USAGE

1.1 Adjuvant Breast Cancer

Herceptin is indicated:

- As part of a treatment regimen containing doxorubicin, cyclophosphamide, and paclitaxel for the adjuvant treatment of HER2-overexpressing, breast cancer.
- As a single agent, for the adjuvant treatment of HER2-overexpressing node-negative (ER/PR negative or with one high-risk feature) or node-positive breast cancer, following multi-modality anthracycline based therapy. [see *Clinical Studies (14.1)*]

1.2 Metastatic Breast Cancer

Herceptin is indicated:

- In combination with paclitaxel is indicated for treatment of HER2-overexpressing metastatic breast cancer
- As a single agent for treatment of HER2-overexpressing breast cancer in patients who have received one or more chemotherapy regimens for metastatic disease.

2 DOSAGE AND ADMINISTRATION

2.1 Recommended Doses and Schedules

Do not administer as an intravenous push or bolus. Do not mix Herceptin with other drugs.

Adjuvant Treatment, Breast Cancer:

Administer according to one of the following doses and schedules:

- Initiate Herceptin following completion of anthracycline and concurrently with paclitaxel for the first 12 weeks. Administer Herceptin at an initial dose of 4 mg/kg as a 90 minute intravenous infusion followed by subsequent once weekly doses of 2 mg/kg as 30 minute intravenous infusions, as tolerated, for a total of 52 doses. [see *Dose Modifications (2.2)*]
- Initiate Herceptin following completion of all chemotherapy. Administer Herceptin at an initial dose of 8 mg/kg followed by subsequent doses of 6 mg/kg every three weeks for a total of 17 doses (52 weeks of therapy). Administer all doses ≥ 4 mg/kg as 90 minute intravenous infusions. [see *Dose Modifications (2.2)*]

Metastatic Treatment, Breast Cancer:

Administer Herceptin, alone or in combination with paclitaxel, at an initial dose of 4 mg/kg as a 90 minute intravenous infusion followed by subsequent once weekly doses of 2 mg/kg as 30 minute intravenous infusions until disease progression.

2.2 Dose Modifications

Infusion Reactions

[see *Boxed Warning, Warnings and Precautions (5.2)*]

- Decrease the rate of infusion for mild or moderate infusion reactions
- Interrupt the infusion in patients with dyspnea or clinically significant hypotension
- Discontinue Herceptin for severe or life-threatening infusion reactions.

Cardiomyopathy

[see *Boxed Warning, Warnings and Precautions (5.1)*]

Assess left ventricular ejection fraction (LVEF) prior to initiation of Herceptin and at regular intervals during treatment. Withhold Herceptin dosing for at least 4 weeks for either of the following:

- $\geq 16\%$ absolute decrease in LVEF from pre-treatment values
- LVEF below institutional limits of normal and $\geq 10\%$ absolute decrease in LVEF from pretreatment values.
- Herceptin may be resumed if, within 4–8 weeks, the LVEF returns to normal limits and the absolute decrease from baseline is $\leq 15\%$.
- Permanently discontinue Herceptin for a persistent (> 8 weeks) LVEF decline or for suspension of Herceptin dosing on more than 3 occasions for cardiomyopathy.

2.3 Preparation for Administration

Reconstitution

Reconstitute each 440 mg vial of Herceptin with 20 mL of Bacteriostatic Water for Injection (BWFI), USP, containing 1.1% benzyl alcohol as a preservative to yield a multi-dose solution containing 21 mg/mL Trastuzumab. In patients with known hypersensitivity to benzyl alcohol, reconstitute with 20 mL of Sterile Water for Injection (SWFI) without preservative to yield a single use solution.

Use appropriate aseptic technique when performing the following reconstitution steps:

- Using a sterile syringe, slowly inject the 20 mL of diluent into the vial containing the lyophilized cake of Herceptin. The stream of diluent should be directed into the lyophilized cake.
- Swirl the vial gently to aid reconstitution. **DO NOT SHAKE.**
- Slight foaming of the product may be present upon reconstitution. Allow the vial to stand undisturbed for approximately 5 minutes.
- Parenteral drug products should be inspected visually for particulate matter and discoloration prior to administration, whenever solution and container permit. Inspect visually for particulates and discoloration. The solution should be free of visible particulates, clear to slightly opalescent and colorless to pale yellow.
- Store reconstituted Herceptin at 2–8° C; discard unused Herceptin after 28 days. **If Herceptin is reconstituted with SWFI** without preservative, use immediately and discard any unused portion.

Dilution

- Determine the dose (mg) of Herceptin [see *Dosage and Administration (2.1)*]. Calculate the volume of the 21 mg/mL reconstituted Herceptin solution needed, withdraw this amount from the vial and add it to an infusion bag containing 250 mL of 0.9% Sodium Chloride Injection, USP. **DO NOT USE DEXTROSE (5%) SOLUTION.**
- Gently invert the bag to mix the solution.

3 DOSAGE FORMS AND STRENGTHS

440 mg lyophilized powder per multi-use vial.

4 CONTRAINDICATIONS

None.

5 WARNINGS AND PRECAUTIONS

5.1 Cardiomyopathy

Herceptin can cause left ventricular cardiac dysfunction, arrhythmias, hypertension, disabling cardiac failure, cardiomyopathy, and cardiac death [see *Boxed Warning: Cardiomyopathy*]. Herceptin can also cause a symptomatic decline in left ventricular ejection fraction (LVEF).

There is a 4–6 fold increase in the incidence of symptomatic myocardial dysfunction among patients receiving Herceptin as a single agent or in combination therapy compared with those not receiving Herceptin. The highest absolute incidence occurs when Herceptin is administered with an anthracycline.

Withhold Herceptin for $\geq 16\%$ absolute decrease in LVEF from

and $\geq 10\%$ absolute decrease in LVEF from pretreatment values. [see *Dosage and Administration (2.2)*] The safety of continuation or resumption of Herceptin in patients with Herceptin-induced left ventricular cardiac dysfunction has not been studied.

Cardiac Monitoring

Conduct thorough cardiac assessment, including history, physical examination, and determination of LVEF by echocardiogram or MUGA scan, prior to the first dose of Herceptin. The following schedule was used to monitor cardiac function in clinical studies:

- Baseline LVEF measurement immediately prior to initiation of Herceptin
- LVEF measurements every 3 months during and upon completion of Herceptin
- LVEF measurements every 6 months for at least 2 years following completion of Herceptin
- Repeat LVEF measurement at 4 week intervals if Herceptin is withheld for significant left ventricular cardiac dysfunction [see *Dosage and Administration (2.2)*]

In Study 1, 16% (136/844) of patients discontinued Herceptin due to clinical evidence of myocardial dysfunction or significant decline in LVEF. In Study 3, the number of patients who discontinued Herceptin due to cardiac toxicity was 2.6% (44/1678).

Among 32 patients receiving adjuvant chemotherapy (Studies 1 and 2) who developed congestive heart failure, one patient died of cardiomyopathy and all other patients were receiving cardiac medication at last follow-up. Approximately half of the surviving patients had recovery to a normal LVEF (defined as $\geq 50\%$) on continuing medical management at the time of last follow-up. The safety of continuation or resumption of Herceptin in patients with Herceptin-induced left ventricular cardiac dysfunction has not been studied.

Table 1

Incidence of Congestive Heart Failure in Adjuvant Breast Cancer Studies

| Study | Event | Incidence | |
|-------|---------------------------|--------------|---------------|
| | | Herceptin | Control |
| 1 & 2 | Congestive heart failure* | 2% (32/1677) | 0.4% (7/1600) |
| 3 | Congestive heart failure | 2% (30/1678) | 0.3% (5/1708) |

*Includes 1 patient with fatal cardiomyopathy.

Table 2

Incidence of Cardiac Dysfunction* in Metastatic Breast Cancer Studies

| Study | Event | Incidence | | | |
|----------------|-----------------------|-----------|---------|-------------|---------|
| | | NYHA I-IV | | NYHA III-IV | |
| | | Herceptin | Control | Herceptin | Control |
| 4 (AC) | Cardiac Dysfunction | 28% | 7% | 19% | 3% |
| 4 (paclitaxel) | Cardiac Dysfunction | 11% | 1% | 4% | 1% |
| 5 | Cardiac Dysfunction** | 7% | N/A | 5% | N/A |

* Congestive heart failure or significant asymptomatic decrease in LVEF

** Includes 1 patient with fatal cardiomyopathy.

5.2 Infusion Reactions

Infusion reactions consist of a symptom complex characterized by fever and chills, and on occasion included nausea, vomiting, pain (in some cases at

In postmarketing reports, serious and fatal infusion reactions have been reported. Severe reactions which include bronchospasm, anaphylaxis, angioedema, hypoxia, and severe hypotension, were usually reported during or immediately following the initial infusion. However, the onset and clinical course were variable including progressive worsening, initial improvement followed by clinical deterioration, or delayed post-infusion events with rapid clinical deterioration. For fatal events, death occurred within hours to days following a serious infusion reaction.

Interrupt Herceptin infusion in all patients experiencing dyspnea, clinically significant hypotension, and intervention of medical therapy administered, which may include: epinephrine, corticosteroids, diphenhydramine, bronchodilators, and oxygen. Patients should be evaluated and carefully monitored until complete resolution of signs and symptoms. Permanent discontinuation should be strongly considered in all patients with severe infusion reactions.

There are no data regarding the most appropriate method of identification of patients who may safely be retreated with Herceptin after experiencing a severe infusion reaction. Prior to resumption of Herceptin infusion, the majority of patients who experienced a severe infusion reaction were pre-medicated with antihistamines and/or corticosteroids. While some patients tolerated Herceptin infusions, others had recurrent severe infusion reactions despite pre-medications.

5.3 Exacerbation of Chemotherapy-Induced Neutropenia

In randomized, controlled clinical trials in women with metastatic breast cancer, the per-patient incidences of NCI CTC Grade 3-4 neutropenia and of febrile neutropenia were higher in patients receiving Herceptin in combination with myelosuppressive chemotherapy as compared to those who received chemotherapy alone. The incidence of septic death was not significantly increased. [see *Adverse Reactions (6.1)*].

5.4 Pulmonary Toxicity

Herceptin use can result in serious and fatal pulmonary toxicity. Pulmonary toxicity includes dyspnea, interstitial pneumonitis, pulmonary infiltrates, pleural effusions, non-cardiogenic pulmonary edema, pulmonary insufficiency and hypoxia, acute respiratory distress syndrome, and pulmonary fibrosis. Such events can occur as sequelae of infusion reactions [see *Warnings and Precautions (5.2)*]. Patients with symptomatic intrinsic lung disease or with extensive tumor involvement of the lungs, resulting in dyspnea at rest, appear to have more severe toxicity.

5.5 HER2 Testing

Detection of HER2 protein overexpression is necessary for selection of patients appropriate for Herceptin therapy because these are the only patients studied and for whom benefit has been shown. Assessment for HER2 overexpression and of HER2 gene amplification should be performed by laboratories with demonstrated proficiency in the specific technology being utilized. Improper assay performance, including use of suboptimally fixed tissue, failure to utilize specified reagents, deviation from specific assay instructions, and failure to include appropriate controls for assay validation, can lead to unreliable results.

Several FDA-approved commercial assays are available to aid in the selection of patients for Herceptin therapy. These include HercepTest™ and Pathway® HER-2/neu (IHC assays) and PathVysion® and HER2 FISH pharmDx™ (FISH assays). Users should refer to the package inserts of specific assay kits for information on the validation and performance of each assay.

Limitations in assay precision (particularly for the IHC method) and in the direct linkage between assay result and overexpression of the Herceptin target (for the FISH method) make it inadvisable to rely on a single method to rule out potential Herceptin benefit. A negative FISH result does not rule out HER2 overexpression and potential benefit from Herceptin. Treatment outcomes for metastatic breast cancer (Study 4) as a function of IHC and FISH testing are provided in [Table 9](#). Treatment outcomes for adjuvant breast cancer (Studies 2 and 3) as a function of IHC and FISH testing are provided in [Table 7](#).

HER2 Protein Overexpression Detection Methods

HER2 protein overexpression can be established by measuring HER2 protein using an IHC method. HercepTest®, one test approved for this use, was assessed for concordance with the Clinical Trial Assay (CTA), using tumor specimens collected and stored independently from those obtained in Herceptin clinical studies in women with metastatic breast cancer. Data are provided in the package insert for HercepTest®.

The presence of HER2 protein overexpression and gene amplification are highly correlated, therefore the use of FISH to detect gene amplification may be employed for selection of patients appropriate for Herceptin therapy. PathVysion®, one test approved for this use, was evaluated in an exploratory, retrospective assessment of available CTA 2+ or 3+ tumor specimens collected as part of patient screening for clinical studies in metastatic breast cancer (Studies 4 and 5). Data are provided in the package insert for PathVysion®.

5.6 Embryo-Fetal Toxicity (Pregnancy Category D)

Herceptin can cause fetal harm when administered to a pregnant woman. Post-marketing case reports suggest that Herceptin use during pregnancy increases the risk of oligohydramnios during the second and third trimesters. If Herceptin is used during pregnancy or if a woman becomes pregnant while taking Herceptin, she should be apprised of the potential hazard to a fetus. [see Use in Specific Populations (8.1)].

6 ADVERSE REACTIONS

The following adverse reactions are discussed in greater detail in other sections of the label:

- Cardiomyopathy [see Warnings and Precautions (5.1)]
- Infusion reactions [see Warnings and Precautions (5.2)]
- Exacerbation of chemotherapy-induced neutropenia [see Warnings and Precautions (5.3)]
- Pulmonary toxicity [see Warnings and Precautions (5.4)]

The most common adverse reactions in patients receiving Herceptin are fever, nausea, vomiting, infusion reactions, diarrhea, infections, increased cough, headache, fatigue, dyspnea, rash, neutropenia, anemia, and myalgia. Adverse reactions requiring interruption or discontinuation of Herceptin treatment include CHF, significant decline in left ventricular cardiac function, severe infusion reactions, and pulmonary toxicity [see Dosage and Administration (2.2)].

6.1 Clinical Trials Experience

Because clinical trials are conducted under widely varying conditions, adverse reaction rates observed in the clinical trials of a drug cannot be directly compared to rates in the clinical trials of another drug and may not reflect the rates observed in practice.

Adjuvant Breast Cancer Studies

The data below reflect exposure to Herceptin across three randomized, open-label studies, Studies 1, 2, and 3, with (n= 3355) or without (n= 3308) trastuzumab in the adjuvant treatment of breast cancer.

The data summarized in Table 3 below, from Study 3, reflect exposure to Herceptin in 1678 patients; the median treatment duration was 51 weeks and median number of infusions was 18. Among the 3386 patients enrolled in Study 3, the median age was 49 years (range: 21 to 80 years), 83% of patients were Caucasian, and 13% were Asian.

Table 3
Adverse Reactions for Study 3, All Grades*:

| MedDRA (v. 7.1) Adverse Event Preferred Term | 1 Year Herceptin (n= 1678) | Observation (n=1708) |
|---|-------------------------------|-------------------------|
| Cardiac | | |
| Hypertension | 64 (4%) | 35 (2%) |
| Dizziness | 60 (4%) | 29 (2%) |
| Ejection Fraction Decreased | 58 (3.5%) | 11 (0.6%) |
| Palpitations | 48 (3%) | 12 (0.7%) |
| Cardiac Arrhythmias** | 40 (3%) | 17 (1%) |
| Cardiac Failure Congestive | 30 (2%) | 5 (0.3%) |
| Cardiac Failure | 9 (0.5%) | 4 (0.2%) |
| Cardiac Disorder | 5 (0.3%) | 0 (0%) |
| Ventricular Dysfunction | 4 (0.2%) | 0 (0%) |
| Respiratory Thoracic Mediastinal Disorders | | |
| Nasopharyngitis | 135 (8%) | 43 (3%) |

Table 3 (cont'd)
Adverse Reactions for Study 3, All Grades*:

| MedDRA (v. 7.1) Adverse Event Preferred Term | 1 Year Herceptin (n= 1678) | Observation (n=1708) |
|--|-------------------------------|-------------------------|
| Cough | 81 (5%) | 34 (2%) |
| Influenza | 70 (4%) | 9 (0.5%) |
| Dyspnea | 57 (3%) | 26 (2%) |
| URI | 46 (3%) | 20 (1%) |
| Rhinitis | 36 (2%) | 6 (0.4%) |
| Pharyngolaryngeal Pain | 32 (2%) | 8 (0.5%) |
| Sinusitis | 26 (2%) | 5 (0.3%) |
| Epistaxis | 25 (2%) | 1 (0.06%) |
| Pulmonary Hypertension | 4 (0.2%) | 0 (0%) |
| Interstitial Pneumonitis | 4 (0.2%) | 0 (0%) |
| Gastrointestinal Disorders | | |
| Diarrhea | 123 (7%) | 16 (1%) |
| Nausea | 108 (6%) | 19 (1%) |
| Vomiting | 58 (3.5%) | 10 (0.6%) |
| Constipation | 33 (2%) | 17 (1%) |
| Dyspepsia | 30 (2%) | 9 (0.5%) |
| Upper Abdominal Pain | 29 (2%) | 15 (1%) |
| Musculoskeletal & Connective Tissue Disorders | | |
| Arthralgia | 137 (8%) | 98 (6%) |
| Back Pain | 91 (5%) | 58 (3%) |
| Myalgia | 63 (4%) | 17 (1%) |
| Bone Pain | 49 (3%) | 26 (2%) |
| Muscle Spasm | 46 (3%) | 3 (0.2%) |
| Nervous System Disorders | | |
| Headache | 162 (10%) | 49 (3%) |
| Paraesthesia | 29 (2%) | 11 (0.6%) |
| Skin & Subcutaneous Tissue Disorders | | |
| Rash | 70 (4%) | 10 (.6%) |
| Nail Disorders | 43 (2%) | 0 (0%) |
| Pruritis | 40 (2%) | 10 (0.6%) |
| General Disorders | | |
| Pyrexia | 100 (6%) | 6 (0.4%) |
| Edema Peripheral | 79 (5%) | 37 (2%) |
| Chills | 85 (5%) | 0 (0%) |
| Aesthenia | 75 (4.5%) | 30 (2%) |
| Influenza-like Illness | 40 (2%) | 3 (0.2%) |
| Sudden Death | 1 (.06%) | 0 (0%) |
| Infections | | |
| Nasopharyngitis | 135 (8%) | 43 (3%) |
| UTI | 39 (3%) | 13 (0.8%) |
| Immune System Disorders | | |
| Hypersensitivity | 10 (0.6%) | 1 (0.06%) |
| Autoimmune Thyroiditis | 4 (0.3%) | 0 (0%) |

* The incidence of Grade 3/4 adverse reactions was <1% in both arms for each listed term.

** Higher level grouping term.

The data from Studies 1 and 2 were obtained from 3206 patients enrolled,

50 weeks. The median age was 49.0 years (range: 24-80); 84% of patients were White, and 7% were Black, 4% were Hispanic, and 4% were Asian.

In Study 1, only Grade 3-5 adverse events, treatment-related Grade 2 events, and Grade 2-5 dyspnea were collected during and for up to 3 months following protocol-specified treatment. The following non-cardiac adverse reactions of Grade 2-5 occurred at an incidence of at least 2% greater among patients randomized to Herceptin plus chemotherapy as compared to chemotherapy alone: arthralgia (31% vs. 28%), fatigue (28% vs. 22%), infection (22% vs. 14%), hot flashes (17% vs. 15%), anemia (13% vs. 7%), dyspnea (12% vs. 4%), rash/desquamation (11% vs. 7%), neutropenia (7% vs. 5%), headache (6% vs. 4%), and insomnia (3.7% vs. 1.5%). The majority of these events were Grade 2 in severity.

In Study 2, data collection was limited to the following investigator-attributed treatment-related adverse reactions NCI-CTC Grade 4 and 5 hematologic toxicities, Grade 3-5 non-hematologic toxicities, selected Grade 2-5 toxicities associated with taxanes (myalgia, arthralgias, nail changes, motor neuropathy, sensory neuropathy) and Grade 1-5 cardiac toxicities occurring during chemotherapy and/or Herceptin treatment. The following non-cardiac adverse reactions of Grade 2-5 occurred at an incidence of at least 2% greater among patients randomized to Herceptin plus chemotherapy as compared to chemotherapy alone: arthralgia (11% vs. 8.4%), myalgia (10% vs. 8%), nail changes (9% vs. 7%), and dyspnea (2.5% vs. 0.1%). The majority of these events were Grade 2 in severity.

Metastatic Breast Cancer Studies

The data below reflect exposure to Herceptin in one randomized, open-label study, Study 4, of chemotherapy with (n=235) or without (n=234) trastuzumab in patients with metastatic breast cancer, and one single-arm study (Study 5; n=222) in patients with metastatic breast cancer. Data in Table 4 are based on Studies 4 and 5.

Among the 464 patients treated in Study 4, the median age was 52 years (range: 25-77 years). Eighty-nine percent were White, 5% Black, 1% Asian and 5% other racial/ethnic groups. All patients received 4 mg/kg initial dose of Herceptin followed by 2 mg/kg weekly. The percentages of patients who received Herceptin treatment for ≥6 months and ≥12 months were 58% and 9%, respectively.

Among the 352 patients treated in single agent studies (213 patients from Study 5), the median age was 50 years (range 28-86 years), 100% had breast cancer, 86% were White, 3% were Black, 3% were Asian, and 8% in other racial/ethnic groups. Most of the patients received 4 mg/kg initial dose of Herceptin followed by 2 mg/kg weekly. The percentages of patients who received Herceptin treatment for ≥6 months and ≥12 months were 31% and 16%, respectively.

Table 4 (cont'd)

Per-Patient Incidence of Adverse Events Occurring in ≥5% of Patients in Uncontrolled Studies or at Increased Incidence in the Herceptin Arm (Studies 4 and 5) (Percent of Patients)

| | Single Agent* n = 352 | Herceptin + Paclitaxel n = 91 | Paclitaxel Alone n = 95 | Herceptin + AC n = 143 | AC Alone n = 135 |
|-----------------------------|--------------------------|----------------------------------|----------------------------|---------------------------|---------------------|
| <u>Cardiovascular</u> | | | | | |
| Tachycardia | 5 | 12 | 4 | 10 | 5 |
| Congestive heart failure | 7 | 11 | 1 | 28 | 7 |
| <u>Digestive</u> | | | | | |
| Nausea | 33 | 51 | 9 | 76 | 77 |
| Diarrhea | 25 | 45 | 29 | 45 | 26 |
| Vomiting | 23 | 37 | 28 | 53 | 49 |
| Nausea and vomiting | 8 | 14 | 11 | 18 | 9 |
| Anorexia | 14 | 24 | 16 | 31 | 26 |
| <u>Heme & Lymphatic</u> | | | | | |
| Anemia | 4 | 14 | 9 | 36 | 26 |
| Leukopenia | 3 | 24 | 17 | 52 | 34 |
| <u>Metabolic</u> | | | | | |
| Peripheral edema | 10 | 22 | 20 | 20 | 17 |
| Edema | 8 | 10 | 8 | 11 | 5 |
| <u>Musculoskeletal</u> | | | | | |
| Bone pain | 7 | 24 | 18 | 7 | 7 |
| Arthralgia | 6 | 37 | 21 | 8 | 9 |
| <u>Nervous</u> | | | | | |
| Insomnia | 14 | 25 | 13 | 29 | 15 |
| Dizziness | 13 | 22 | 24 | 24 | 18 |
| Paresthesia | 9 | 48 | 39 | 17 | 11 |
| Depression | 6 | 12 | 13 | 20 | 12 |
| Peripheral neuritis | 2 | 23 | 16 | 2 | 2 |
| Neuropathy | 1 | 13 | 5 | 4 | 4 |
| <u>Respiratory</u> | | | | | |
| Cough increased | 26 | 41 | 22 | 43 | 29 |
| Dyspnea | 22 | 27 | 26 | 42 | 25 |
| Rhinitis | 14 | 22 | 5 | 22 | 16 |
| Pharyngitis | 12 | 22 | 14 | 30 | 18 |
| Sinusitis | 9 | 21 | 7 | 13 | 6 |
| <u>Skin</u> | | | | | |
| Rash | 18 | 38 | 18 | 27 | 17 |
| Herpes simplex | 2 | 12 | 3 | 7 | 9 |
| Acne | 2 | 11 | 3 | 3 | < 1 |
| <u>Urogenital</u> | | | | | |
| Urinary tract infection | 5 | 18 | 14 | 13 | 7 |

* Data for Herceptin single agent were from 4 studies, including 213 patients from Study 5.

Table 4

Per-Patient Incidence of Adverse Reactions Occurring in ≥5% of Patients in Uncontrolled Studies or at Increased Incidence in the Herceptin Arm (Studies 4 and 5) (Percent of Patients)

| | Single Agent* n = 352 | Herceptin + Paclitaxel n = 91 | Paclitaxel Alone n = 95 | Herceptin + AC n = 143 | AC Alone n = 135 |
|------------------------|--------------------------|----------------------------------|----------------------------|---------------------------|---------------------|
| <u>Body as a Whole</u> | | | | | |
| Pain | 47 | 61 | 62 | 57 | 42 |
| Asthenia | 42 | 62 | 57 | 54 | 55 |
| Fever | 36 | 49 | 23 | 56 | 34 |
| Chills | 32 | 41 | 4 | 35 | 11 |
| Headache | 26 | 36 | 28 | 44 | 31 |
| Abdominal pain | 22 | 34 | 22 | 23 | 18 |
| Back pain | 22 | 34 | 30 | 27 | 15 |
| Infection | 20 | 47 | 27 | 47 | 31 |
| Flu syndrome | 10 | 12 | 5 | 12 | 6 |
| Accidental injury | 6 | 13 | 3 | 9 | 4 |
| Allergic reaction | 3 | 8 | 2 | 4 | 2 |

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.